

Guarantee Advice Closure User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Guarantee Advice Closure User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps Banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advice Closure

Guarantees/SBLC have a pre-scheduled auto closure date, a few days after the expiry of undertaking. This process enables, closure of a Guarantee/SBLC after the expiry date but before the auto closure date. In the following sections, let's look at the details for Guarantee Advising process:

This section contains the following topics:

| | |
|--------------------------------------|--|
| Registration | Data Enrichment |
| Multi Level Approval | Customer - Acknowledgement letter Format |

Registration

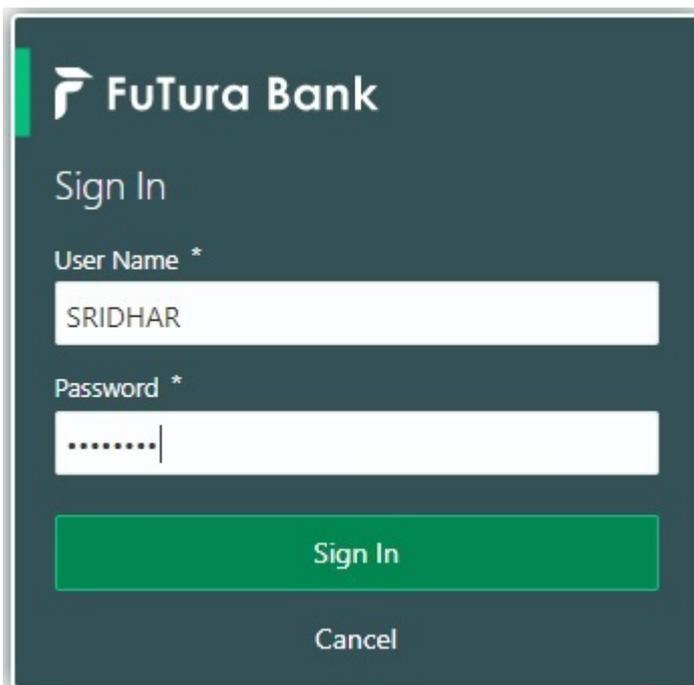
As a registration user, you can register a Guarantee Advice closure request, also can upload relevant documents and verify checklist items. If Guarantee to be advised is received as physical instrument, the Guarantee Advice process starts from the Registration Stage.

During registration stage, user can capture the basic details, check the signature of the authorized signatory of the Guarantee Issuing Bank and upload the guarantee. It also enables the user to capture some additional product related details as an option. On submit, the request will be available for an Guarantee Advice expert to handle the request in the next stage.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



The screenshot shows a dark-themed login window for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' containing masked characters. At the bottom, there are two buttons: a green 'Sign In' button and a white 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The screenshot shows the FuTura Bank Dashboard with the following widgets:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, Status. Data rows: EMR & CO (25-06-2018, G), NA (25-06-2018, G), NA (21-06-2018, G).
- Hand-off Failure:** Table with columns: Branch, Process Name, Stage Name. Data row: Bank Futura, NA, Retry HandOf.
- Priority Details:** Table with columns: Branch, Process Name, Stage Name. Data rows: Bank Futura, NA, Amount Blo; Bank Futura, NA, Amount Blo; 004, NA, Loan Applic.
- High Value Transactions:** Bubble chart showing transactions for GBP. X-axis: -2 to 12, Y-axis: -20K to 140K.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), Priority. Data rows: NA (23474, H, KEERTIVO1), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** Table with columns: Branch, Process Name, Stage Name. Data row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** Table with columns: Branch, Process Name, Stage Name.
- SLA Status:** Cucumber Testing.
- Tasks Detailed:** Cucumber Testing.

3. Click Trade Finance> Bank Guarantee Advice> Guarantee Advise Closure.

The screenshot shows the Oracle dashboard navigation menu with the following items:

- Business Process
- Maintenance
- Completed Tasks
- Free Tasks
- Hold Tasks
- My tasks
- Search
- Supervisor tasks
- Trade Finance
 - Administration
 - Bank Guarantee Advice
 - Guarantee Advise
 - Guarantee Advise Amendment
 - Guarantee Advise Amendment Beneficiary Consent
 - Guarantee Advise Cancellation
 - Guarantee Advise Closure** (highlighted in red)
 - Guarantee Advice Internal Amendment
 - Guarantee SBLC Advised- Claim Settlement
 - Guarantee SBLC Advised- Claim Update
 - Lodge Claim - Guarantee Advised
 - Bank Guarantee Insurance

The registration stage has two sections Application Details and Guarantee Details. Let's look at the registration stage:

Application Details

Guarantee Advise Closure

Documents Remarks Customer Instruction Common Group Messages

Application Details

| | | | |
|--|--------------------------------------|---|---|
| Advising Bank Reference Number PK2GUAD211258003 | Beneficiary * 001044 GOODCARE PLC | Branch * PK2-Oracle Banking Trade Finan... | 32B - Currency Code, Amount * GBP £11,000.00 |
| Amount In Local Currency GBP £11,000.00 | Priority * Medium | Submission Mode * Desk | Process Reference Number PK2GTAC000011390 |
| Transaction Date May 5, 2021 | Issuer * 003763 CITIBANK IRELA | | |

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

| | | | |
|---------------------------------------|-----------------------------------|---|---|
| 22D - Form of Undertaking | Product Code GUAD | Product Description Guarantee Advising | 20 - Undertaking Number |
| 22A - Purpose of Message | 23X - File Identification | 23X - Narrative | 22K - Type of Undertaking |
| 22K - Narrative | 30 - Date of Issue May 5, 2021 | 23B - Expiry Type COND | Date of Expiry Aug 3, 2021 |
| 35G - Expiry Condition/Event dfggf | Applicant 001043 MARKS AND SP | 51- Obligor/ Instructing Party | 40C - Applicable Rules None - Not subject to any rules |
| 40C - Narrative OTHR | 39D - Additional Amounts | Auto Close | Closure Date Sep 2, 2021 |

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

| Field | Description | Sample Values |
|--------------------------------|--|---------------|
| Advising Bank Reference Number | The user can input the Advising Reference.. Alternatively, user can search the Advising Bank Reference Number using LOV. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount. | |
| Beneficiary | Read only field. The Beneficiary of Guarantee/SBLC as per the latest Guarantee/SBLC details should be displayed. | Toggle off |
| Branch | Read only field. System will default the branch from Guarantee Advise. | |
| Currency Code, Amount | The amount of Undertaking as per the latest Guarantee/SBLC details should be displayed.. | GBP, 1200 |
| Amount In Local Currency | System fetches the local currency equivalent value for the transaction amount from back office (with decimal places). | |
| Priority | This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Guarantee Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted. | High |

| Field | Description | Sample Values |
|--------------------------|---|----------------------|
| Submission Mode | Select the submission mode of Guarantee Advice request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Courier - Request received through Courier | Desk |
| Process Reference Number | Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. | 203GTEADV00 15920 |
| Transaction Date | System will default branch date. | 04/13/2018 |
| Issuer | The Issuing Bank as per the latest Guarantee/SBLC details should be displayed. | |

Guarantee Details

Registration user can provide Guarantee Details in this section. Alternately, Guarantee Details can be provided by DE user.

Provide the Guarantee Details based on the description in the following table:

| Field | Description | Sample Values |
|---------------------|---|--------------------|
| Form of Undertaking | Read only field. Form of Undertaking (Guarantee/Standby LC) as per the latest Guarantee/SBLC details is displayed. | |
| Product Code | Read only field. The product code used for SBLC/Guarantee advise should be displayed. | GUIA |
| Product Description | Read only field. The Product description as per the latest Guarantee/SBLC advise is displayed. | Guarantee Advising |
| Undertaking Number | The undertaking number as per the latest Guarantee/SBLC advise is displayed. | |
| Purpose of message | Read Only – The Purpose of message (ACNF/ADVI) used during SBLC/Guarantee advise should be displayed. | |

| Field | Description | Sample Values |
|--------------------------|---|--|
| File Identification | Read Only - The File Identification as per the latest Guarantee/SBLC advise details should be displayed. only and populated from Incoming MT 760. | |
| Narrative | Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed. | |
| Type of Undertaking | Read only field. The type of Undertaking as per the latest Guarantee/SBLC advise details is displayed. | Financial Guarantee |
| Narrative | Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed. | |
| Date of Issue | Read Only - The date of issue as per the latest Guarantee/SBLC advise details should be displayed. | 04/13/18 |
| Expiry Type | Read only field. The type of Expiry as per the latest Guarantee/SBLC advise details is displayed. | |
| Date Of Expiry | Read only field. The date of Expiry as per the latest Guarantee/SBLC advise details is displayed. | 09/30/18 |
| Expiry Condition/Event | Read only field. The expiry condition/event as per the latest Guarantee/SBLC advise details is displayed. | |
| Applicant | Read only field. Applicant details will be auto populated based on the details provided in Application Details section. | 001345 Nestle |
| Obligor/Instructor Party | Read Only - The Obligor/I nstructing Party as per the latest Guarantee/SBLC advise details should be displayed. | |
| Application Rules | Read Only - Applicable Rules as per the latest Guarantee/SBLC advise details should be displayed. | URDG - Uniform rules for demand guarantees |
| Narrative | Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed. | |
| Additional Amounts | Read only field. Any additional amounts related to undertaking as per the latest Guarantee/SBLC advise details is displayed. | |

| Field | Description | Sample Values |
|--------------|---|---------------|
| Auto Close | Read only field. System default the value from the previous versions of the contracts. | |
| Closure Date | Read only field. System default the value from the previous versions of the contracts. | |

Miscellaneous

Guarantee Advise Closure

Documents Remarks Customer Instruction Common Group Messages

Application Details

Advising Bank Reference Number
PK2GUAD211258003

Amount In Local Currency
GBP £11,000.00

Transaction Date
May 5, 2021

Beneficiary *
001044 GOODCARE PLC

Priority *
Medium

Issuer *
003763 CITIBANK IRELA

Branch *
PK2-Oracle Banking Trade Finan...

Submission Mode *
Desk

32B - Currency Code, Amount *
GBP £11,000.00

Process Reference Number
PK2GTAC000011390

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

22D - Form of Undertaking

22A - Purpose of Message

22K - Narrative

35G - Expiry Condition/Event
dfggf

40C - Narrative
OTHR

Product Code
GUAD

23X - File Identification

30 - Date of Issue
May 5, 2021

Applicant
001043 MARKS AND SP

39D - Additional Amounts

Product Description
Guarantee Advising

23X - Narrative

23B - Expiry Type
COND

51- Obligor/ Instructing Party

Auto Close

20 - Undertaking Number

22K - Type of Undertaking

Date of Expiry
Aug 3, 2021

40C - Applicable Rules
None - Not subject to any rules

Closure Date
Sep 2, 2021

Hold Cancel Save & Close Submit

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

| Field | Description | Sample Values |
|-----------|--|---------------|
| Documents | Upload the required documents.: The possible documents submitted under Guarantee/SBLC Advise closure request are: 1. Guarantee/SBLC Advise Closure request | |
| Remarks | Provide any additional information regarding the Guarantee Advice. This information can be viewed by other users processing the request. | |

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Customer Instructions | Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| Common Group Message | Click Common Group Message button, to send MT799 and MT999 messages from within the task. | |
| Action Buttons | | |
| Submit | On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |
| Cancel | Cancels the Guarantee Advice Registration stage inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Checklist | Make sure that the details in the checklist are completed and acknowledge. | |

Document Linkage

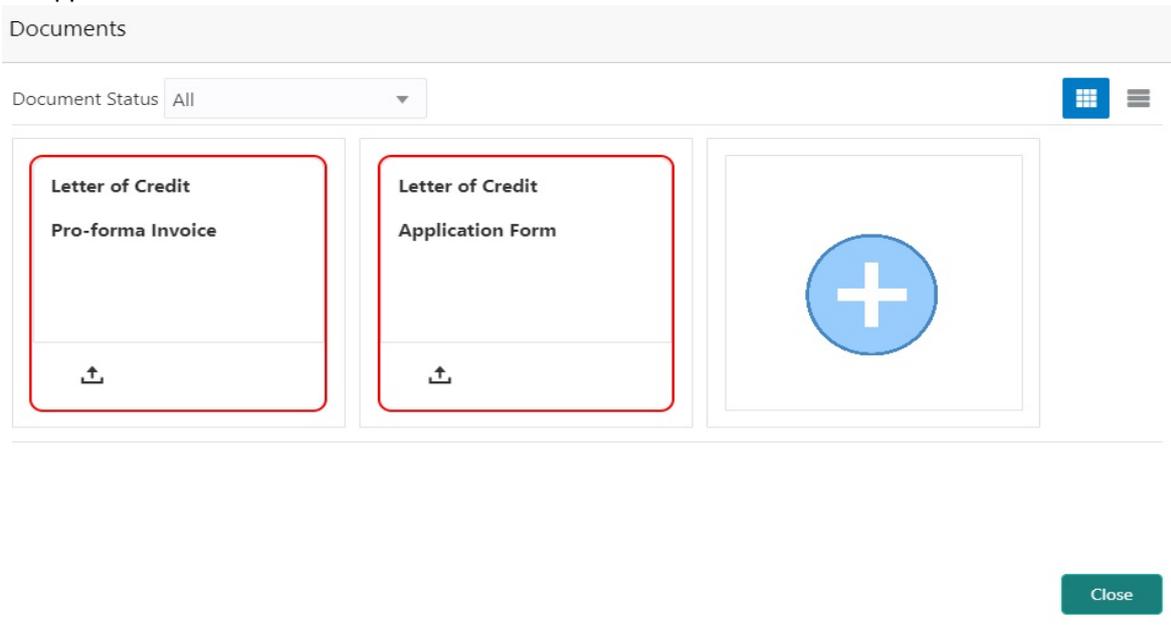
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



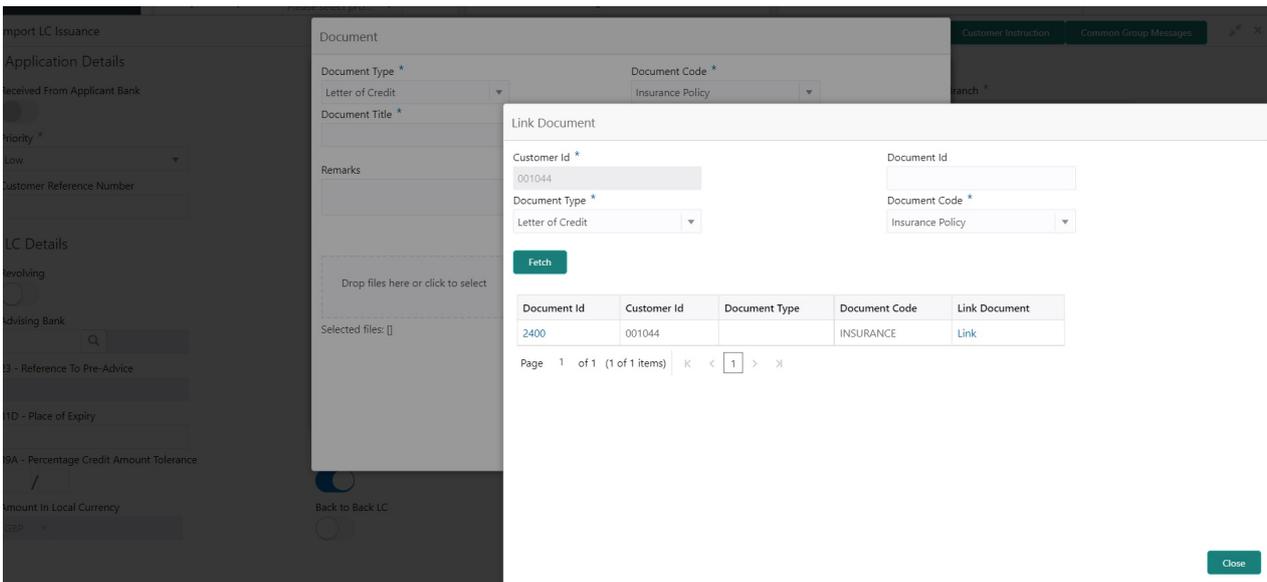
3. Click the Add Additional Documents button/ link. The **Document** screen appears.

| Field | Description | Sample Values |
|----------------|---|---------------|
| Document Type | Select the Document type from list. Indicates the document type from metadata. | |
| Document Code | Select the Document Code from list. Indicates the document Code from metadata. | |
| Document Title | Specify the document title. | |

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Document Description | Specify the document description. | |
| Remarks | Specify the remarks. | |
| Document Expiry Date | Select the document expiry date. | |
| Link Document | The link to link the existing uploaded documents from DMS to the workflow task. | |

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

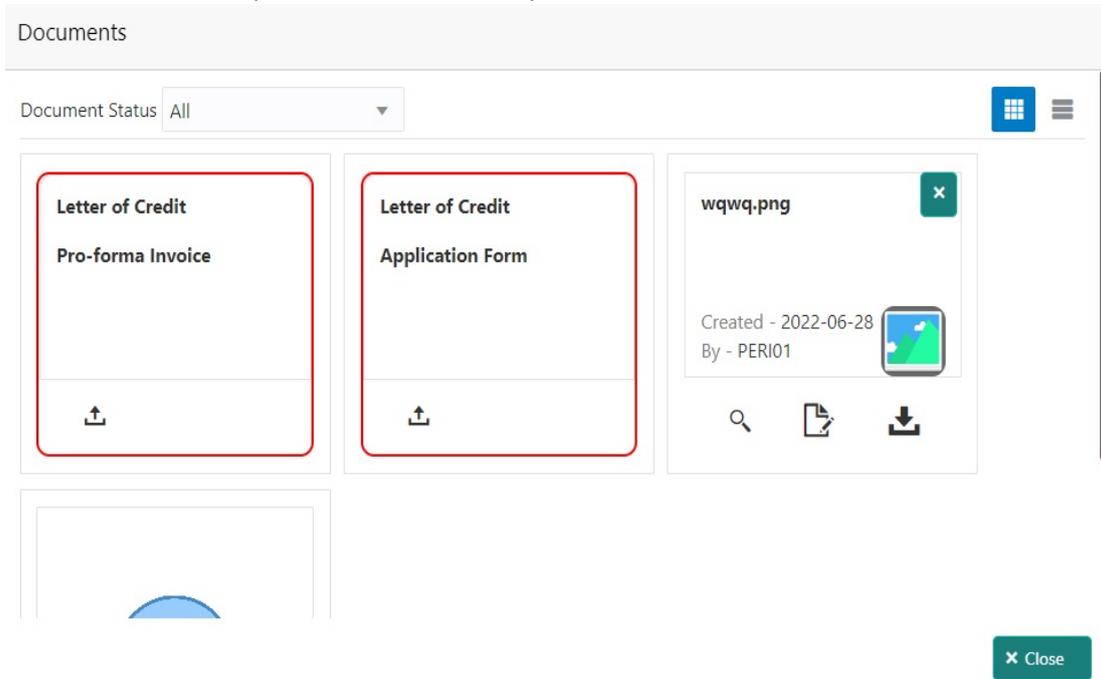


5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Customer ID | This field displays the transaction Customer ID. | |
| Document ID | Specify the document Id. | |
| Document Type | Select the document type from list. | |
| Document Code | Select the document code from list. | |
| Search Result | | |
| Document ID | This field displays the document Code from meta data. | |
| Customer ID | This field displays the transaction Customer ID. | |
| Document Type | This field displays the document type from meta data. | |
| Document Code | This field displays the document code from meta data. | |

| Field | Description | Sample Values |
|---------------|---|---------------|
| Link Document | The link to link the existing uploaded documents from DMS to the workflow task. | |

6. Click **Link** to link the particular document required for the current transaction.



Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

The 'Edit Document' form contains the following fields:

- Document Id: 2400
- Document Title: wqwq
- Application Reference Number: PK2ILCI000019041
- Entity Reference Number: PK2ILCI000019041
- Document Type Id: TFPM_DOCTYPE001
- Document Description: (empty text area)
- Remarks: (empty text area)
- Document Expiry Date: Jun 29, 2022

At the bottom, there is a dashed box with the text 'Drop files here or click to select' and 'Current selected files: []'. 'Update' and 'Cancel' buttons are located at the bottom right.

Data Enrichment

On successful completion of registration of an Guarantee advice closure request, the request moves to DE stage.

Guarantee Advice requests that were received at the desk will move to DE stage post successful registration. The request will have the details entered during the registration stage.

The DE user can view the latest Guarantee/SBLC Advise values displayed in the respective fields.

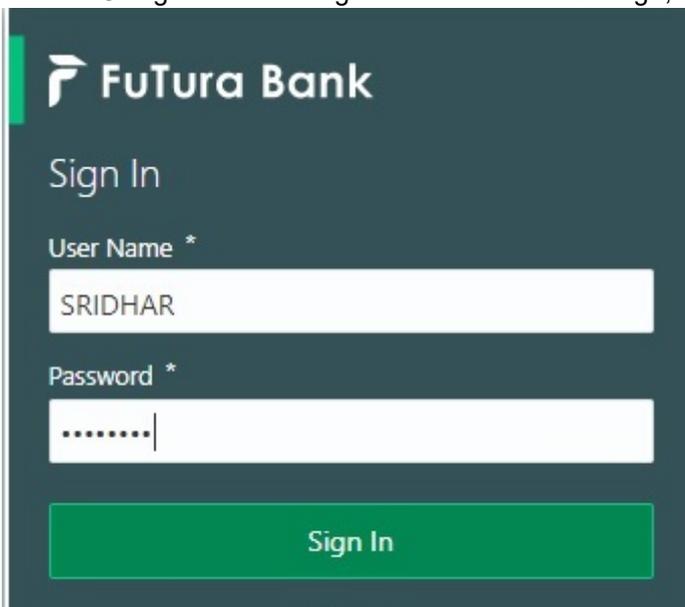


Note

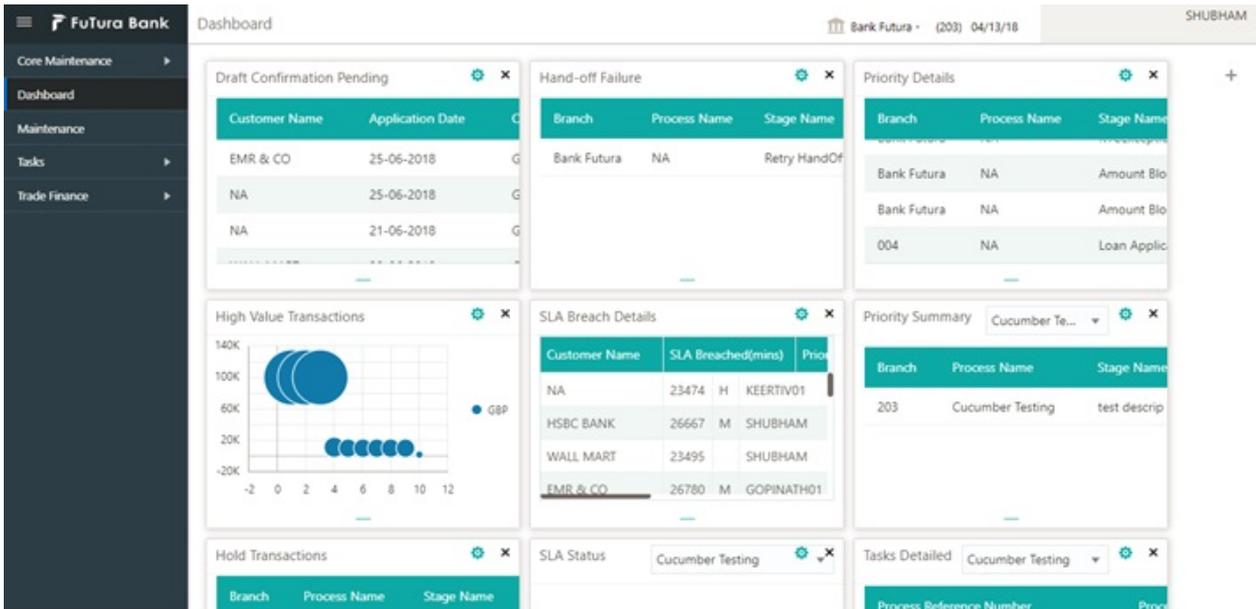
For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at DE stage:

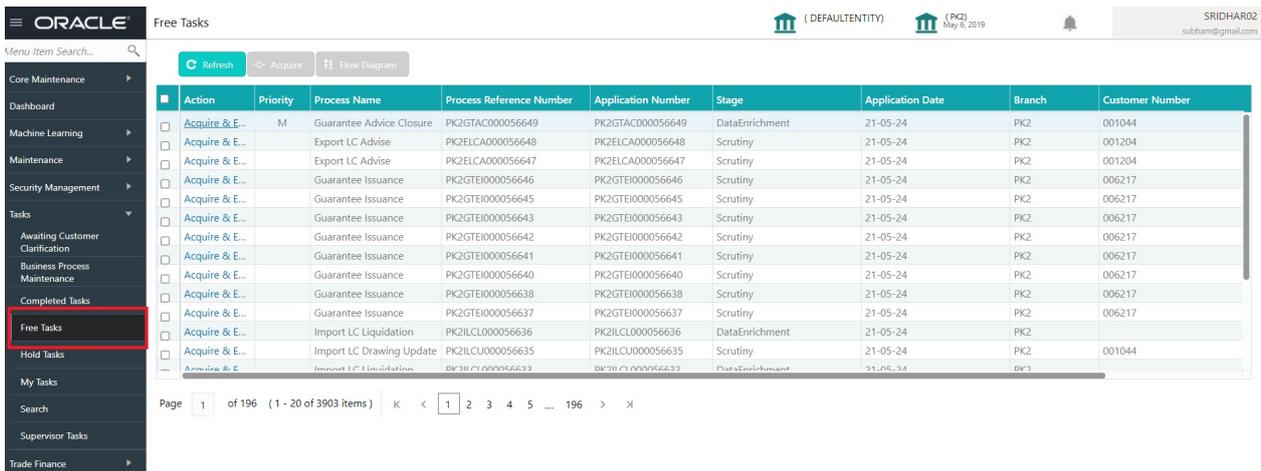
1. Using the entitled login credentials for DE stage, login to the OBTFPM application.

A screenshot of the FuTura Bank login interface. The header shows the FuTura Bank logo and name. Below it is the text 'Sign In'. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button is at the bottom.

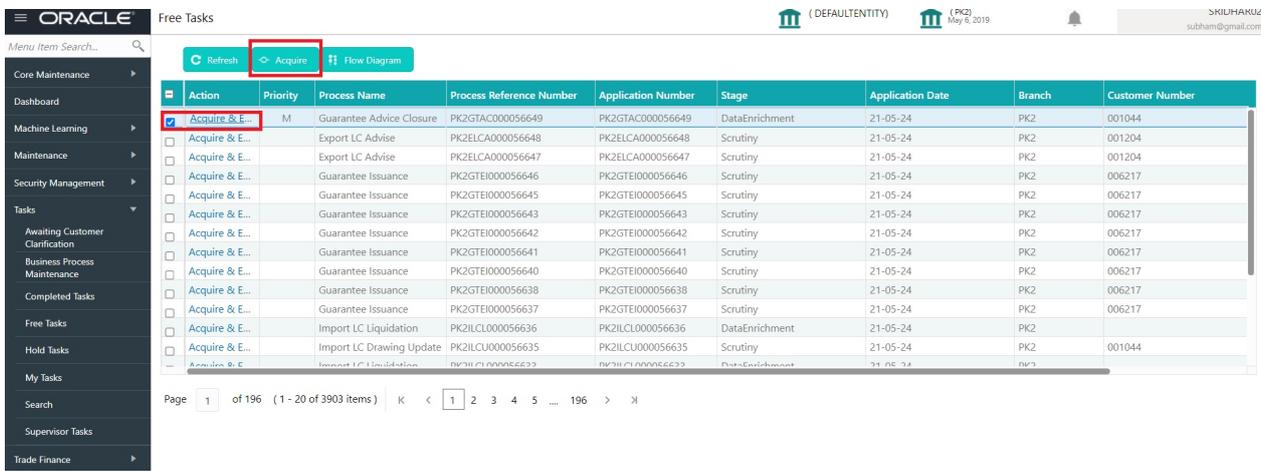
2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click Acquire & Edit to edit the task or click Acquire to edit the task from My Tasks.



5. The acquired task will be available in **My Tasks** tab. Click **Edit** to edit the registered task.

The screenshot shows the Oracle 'My Tasks' interface. At the top, there are navigation icons for 'Refresh', 'Release', 'Escalate', 'Delegate', and 'Flow Diagram'. Below these is a table with columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, Customer Number, and Amount. The first row is selected, and the 'Edit' button in the 'Action' column is highlighted with a red box. The 'My Tasks' tab is also highlighted in the left sidebar.

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number | Amount |
|--|----------|---------------------------|--------------------------|--------------------|------------------------------|------------------|--------|-----------------|--------|
| <input checked="" type="checkbox"/> Edit | M | Guarantee Advice Closu... | PK2GTAC000056649 | PK2GTAC000056649 | DataEnrichment | 21-05-24 | PK2 | 001044 | |
| <input type="checkbox"/> Edit | M | Drawings Under Transfe... | PK2TLC0000056575 | PK2TLC0000056575 | KYC Exceptional approval | 21-05-23 | PK2 | 000152 | |
| <input type="checkbox"/> Edit | | Drawings Under Transfe... | PK2TLC0000056573 | PK2TLC0000056573 | Registration | 21-05-23 | PK2 | 000150 | |
| <input type="checkbox"/> Edit | | Import LC Liquidation | PK2ILCL0000056570 | PK2ILCL0000056570 | DataEnrichment | 21-05-22 | PK2 | | |
| <input type="checkbox"/> Edit | | Export LC Transfer Ame... | PK2ELCT0000056562 | PK2ELCT0000056562 | Registration | 21-05-22 | PK2 | 001044 | |
| <input type="checkbox"/> Edit | M | Export LC Transfer Ame... | PK2ELCT0000056556 | PK2ELCT0000056556 | DataEnrichment | 21-05-22 | PK2 | 001044 | |
| <input type="checkbox"/> Edit | | Export LC Transfer Ame... | PK2ELCT0000056554 | PK2ELCT0000056554 | Registration | 21-05-22 | PK2 | 001044 | |
| <input type="checkbox"/> Edit | M | Export LC Transfer Ame... | PK2ELCT0000056552 | PK2ELCT0000056552 | KYC Exceptional approval | 21-05-22 | PK2 | 001044 | |
| <input type="checkbox"/> Edit | M | ExportLC Amendment B... | PK2ELCA0000056551 | PK2ELCA0000056551 | DataEnrichment | 21-05-22 | PK2 | 001044 | |
| <input type="checkbox"/> Edit | | Import LC Issuance | PK2ILCI0000056548 | PK2ILCI0000056548 | Scrutiny | 21-05-22 | PK2 | 001044 | |
| <input type="checkbox"/> Edit | M | Export LC Transfer Ame... | PK2ELCT0000056498 | PK2ELCT0000056498 | KYC Exceptional approval | 21-05-21 | PK2 | 000156 | |
| <input type="checkbox"/> Edit | M | Guarantee SBLC Advise... | PK2GADC0000056493 | PK2GADC0000056493 | DataEnrichment | 21-05-20 | PK2 | 001044 | |
| <input type="checkbox"/> Edit | M | Guarantee SBLC Advise... | PK2GADC0000056467 | PK2GADC0000056467 | AmountBlock Exception App... | 21-05-20 | PK2 | 001044 | |
| <input type="checkbox"/> Edit | M | Guarantee SBLC Advise... | PK2GADC0000056436 | PK2GADC0000056436 | AmountBlock Exception App... | 21-05-20 | PK2 | 001044 | |

The DE stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for DE stage. User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** field. Refer to [Application Details](#) for more information of the fields.

Guarantee Advice Closure
DataEnrichment :: Application No:- PK2GTAC000071141

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Main Details Additional Fields Advices Additional Details Settlement Details Summary

Main Details

Application Details

Advising Bank Reference Number: PK2GUAD211253503

Amount In Local Currency: GBP £10,000.00

Transaction Date: May 5, 2021

Beneficiary

Priority: Medium

Issuer

Branch: PK2-Oracle Banking Trade Finan...

Submission Mode: Desk

32B - Currency Code, Amount: GBP £10,000.00

Process Reference Number: PK2GTAC000071141

Guarantee Details

22D - Form of Undertaking

22A - Purpose of Message

22K - Narrative

35G - Expiry Condition/Event: dfggf

40C - Narrative: OTHR

Product Code: GUAD

23X - File Identification

30 - Date of Issue: May 5, 2021

Applicant

39D - Additional Amounts

Product Description: Guarantee Advising

23X - Narrative

23B - Expiry Type: COND

51- Obligor/ Instructing Party

Auto Close

20 - Undertaking Number

22K - Type of Undertaking

Date of Expiry: Aug 3, 2021

40C - Applicable Rules: None - Not subject to any rules

Closure Date: Sep 2, 2021

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (1 / 6)

| Field | Description | Sample Values |
|--------------------------------|--|----------------------|
| Advising Bank Reference Number | Read Only - The Advising Bank Reference Number as per the latest Guarantee/SBLC advise details should be displayed. | |
| Beneficiary | Read only field. The Beneficiary of Guarantee/SBLC as per the latest Guarantee/SBLC advise details should be displayed. | Toggle off |
| Branch | Read only field. System will default the branch from Guarantee Advise. | |
| Currency code, Amount | The amount of Undertaking as per the latest Guarantee/SBLC advise details should be displayed.. | GBP |
| Amount In Local Currency | System fetches the local currency equivalent value for the transaction amount from back office (with decimal places). | |
| Priority | This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Guarantee Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted. | High |
| Submission Mode | Read Only - Submission mode should default as 'Desk'. User should be allowed to change the defaulted mode to another mode. Allowed values are Desk, Fax, Email and Courier. | Desk |
| Process Reference Number | Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. | 203GTEADV00 15920 |
| Transaction Date | Read Only - System will default branch date. | 04/13/2018 |
| Issuer | Read Only - The Issuing Bank as per the latest Guarantee/SBLC details should be displayed. | |

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in

Registration. Refer to [Guarantee Details](#) for more information of the fields.

| Field | Description | Sample Values |
|---------------------|---|---------------------|
| Form of Undertaking | Read only field. Form of Undertaking (Guarantee/Standby LC) as per the latest Guarantee/SBLC details is displayed. | |
| Product Code | Read only field. The product code used for SBLC/Guarantee advise should be displayed. | GUIA |
| Product Description | Read only field. The Product description as per the latest Guarantee/SBLC advise is displayed. | Guarantee Advising |
| Undertaking Number | The undertaking number as per the latest Guarantee/SBLC advise is displayed. | |
| Purpose of message | Read Only – The Purpose of message (ACNF/ADVI) used during SBLC/Guarantee advise should be displayed. | |
| File Identification | Read Only - The File Identification as per the latest Guarantee/SBLC advise details should be displayed. only and populated from Incoming MT 760. | |
| Narrative | Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed. | |
| Type of Undertaking | Read only field. The type of Undertaking as per the latest Guarantee/SBLC advise details is displayed. | Financial Guarantee |
| Narrative | Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed. | |
| Date of Issue | Read Only - The date of issue as per the latest Guarantee/SBLC advise details should be displayed. | 04/13/18 |

| Field | Description | Sample Values |
|--------------------------|---|---|
| Expiry Type | Read only field. The type of Expiry as per the latest Guarantee/SBLC advise details is displayed. | |
| Date Of Expiry | Read only field. The date of Expiry as per the latest Guarantee/SBLC advise details is displayed. | 09/30/18 |
| Expiry Condition/Event | Read only field. The expiry condition/event as per the latest Guarantee/SBLC advise details is displayed. | |
| Applicant | Read only field. Applicant details will be auto populated based on the details provided in Application Details section. | 001345 Nestle |
| Obligor/Instructor Party | Read Only - The Obligor/Instructing Party as per the latest Guarantee/SBLC advise details should be displayed. | |
| Application Rules | Read Only - Applicable Rules as per the latest Guarantee/SBLC advise details should be displayed. | URDG - Uniform rules for demand guarantees |
| Narrative | Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed. | |
| Additional Amounts | Read only field. Any additional amounts related to undertaking as per the latest Guarantee/SBLC advise details is displayed. | |
| Auto Close | Read only field. System default the value from the previous versions of the contracts. | |
| Closure Date | Read only field. System default the value from the previous versions of the contracts. | |

Action Buttons

Use action buttons based on the description in the following table:

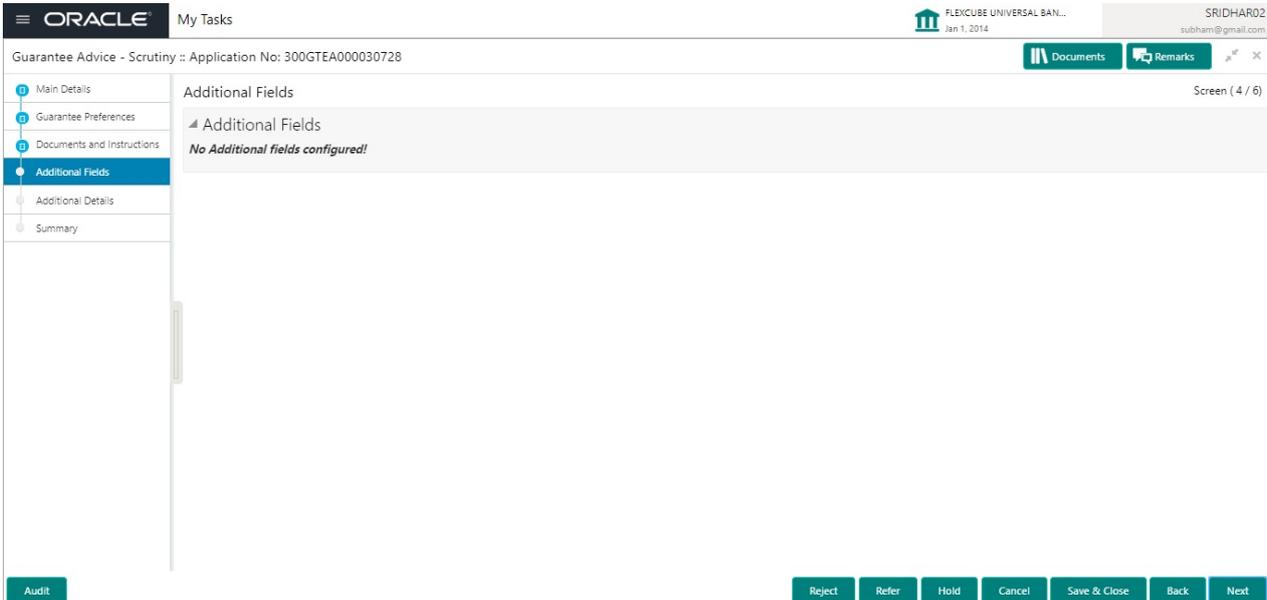
| Field | Description | Sample Values |
|-----------|--|---------------|
| Documents | Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Remarks | <p>Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p> | |
| Overrides | Click to view overrides, if any. | |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| Common Group Message | Click Common Group Message button, to send MT799 and MT999 messages from within the task. | |
| Incoming Message | <p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Cancel | Cancel the Guarantee Advice Closure DE inputs. | |

| Field | Description | Sample Values |
|--------|---|---------------|
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |

Additional Fields

DE user can view the additional fields implemented by the bank for Guarantee Advise Closure. Banks can configure these additional fields during implementation.



Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|------------------|--|---------------|
| Documents | Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents. | |
| Remarks | Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task. | |
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |

| Field | Description | Sample Values |
|--------------|---|---------------|
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Cancel | Cancel the Guarantee Advice Closure DE inputs. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Back | Click Back to move to previous step in DE stage. | |
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |

Advices

This section defaults the advices for Closure of Guarantee/SBLC Advise, based on the advices maintained at the Product level.

DE User can view the advices generated for Closure of Guarantee/SBLC Advise request. Some of the possible advices are Closure of Guarantee/SBLC Issued and Payment Message.

The screenshot shows the Oracle Financials interface for 'Guarantee Advice Closure - DataEnrichment'. The application number is PK2GTAC000056649. The 'Advices' section displays two cards:

- Advice : LC_CLOSE_ADV**
 - Advice Name : LC_CLOSE_ADV
 - Advice Party :
 - Party Name :
 - Suppress : YES
 - Advice :
- Advice : PAYMENT_MESSAGE**
 - Advice Name : PAYMENT_MESSAGE
 - Advice Party :
 - Party Name :
 - Suppress : NO
 - Advice :

Navigation buttons at the bottom include: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next.

The user can also suppress the Advice, if required.

The 'Advice Details' dialog box contains the following information:

- Advice Details**
 - Suppress Advice:
 - Advice Name: GUA_CLAIM_ADV
 - Medium: MAIL
 - Advice Party: APP
 - Party ID: 001044
 - Party Name: GOODCARE PLC
- FFT Code**
 - Buttons: +, -
 - Text: No data to display.
- Instructions**
 - Buttons: OK, Cancel

| Field | Description | Sample Values |
|-----------------|--|---------------|
| Suppress Advice | <p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p> | |
| Advice Name | User can select the instruction code as a part of free text. | |
| Medium | The medium of advices is defaulted from the system. User can update if required. | |
| Advice Party | Value be defaulted from Guarantee /SBLC Issuance. User can update if required. | |
| Party ID | Value be defaulted from Guarantee /SBLC Issuance. User can update if required. | |

| Field | Description | Sample Values |
|---|---|---------------|
| Party Name | Read only field. Value be defaulted from Guarantee /SBLC Issuance. | |
| Free Format Text | | |
| FFT Code | User can select the FFT code as a part of free text. | |
| FFT Description | FFT description is populated based on the FFT code selected. | |
|  | Click plus icon to add new FFT code. | |
|  | Click minus icon to remove any existing FFT code. | |
| Instruction Details | | |
| Instruction Code | User can select the instruction code as a part of free text. | |
| Instruction Description | Instruction description is populated based on the FFT code selected. | |
|  | Click plus icon to add new instruction code. | |
|  | Click minus icon to remove any existing instruction code. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------|--|---------------|
| Documents | Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents. | |
| Remarks | Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Overrides | Click to view overrides, if any. | |
| Incoming Message | <p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Cancel | Cancel the Guarantee Advice Closure DE inputs. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

| Field | Description | Sample Values |
|-------|---|---------------|
| Refer | User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others | |
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |
| Back | Click Back to move to previous step in DE stage. | |

Additional Details

DE User can view the Additional Details during Guarantee Advise Closure request. Some of the possible additional details could be:

- Limits and Collateral (Non-editable)
- Commission, Charges and Taxes
- Preview Messages (Non-editable)

Charge Details

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Provide the Charge Details based on the description provided in the following table:

Commission,Charges and Taxes x

Recalculate Redefault

⌵ Commission Details

Event

Event Description

| Component | Rate | Modified Rate | Currency | Amount | Modified | Defer | Waive | Charge Party | Settlement Account |
|---------------------|------|---------------|----------|--------|----------|-------|-------|--------------|--------------------|
| No data to display. | | | | | | | | | |

Page 1 (0 of 0 items) K < 1 > X

⌵ Charge Details

| Component | Tag currency | Tag Amount | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|---------------------|--------------|------------|----------|--------|----------|---------|-------|-------|--------------|--------------------|
| No data to display. | | | | | | | | | | |

Page 1 (0 of 0 items) K < 1 > X

⌵ Tax Details

| Component | Type | Value Date | Currency | Amount | Billing | Defer | Settlement Account |
|---------------------|------|------------|----------|--------|---------|-------|--------------------|
| No data to display. | | | | | | | |

Save & Close Cancel

Commission Details

Provide the Commission Details based on the description provided in the following table:

| Field | Description | Sample Values |
|-------------------|---|---------------|
| Event | Read only field. This field displays the event name. | |
| Event Description | Read only field. This field displays the description of the event. | |
| Component | Select the commission component | |
| Rate | Defaults from product. User can change the rate, if required. | |
| Modified Rate | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field. | |
| Currency | Defaults the currency in which the commission needs to be collected | |
| Amount | An amount that is maintained under the product code defaults in this field. User can modify the value, if required. | |
| Modified Amount | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field. | |
| Defer | Select the check box, if charges/commissions has to be deferred and collected at any future step. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. | |
| Waive | Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder. This field is disabled, if 'Defer' toggle is enabled. | |
| Charge Party | Charge party will be 'Beneficiary' by Default. You can change the value to Applicant. | |

| Field | Description | Sample Values |
|--------------------|------------------------------------|---------------|
| Settlement Account | Details of the Settlement Account. | |

Charge Details

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Component | Charge Component type. | |
| Tag Currency | Defaults the tag currency in which the charges have to be collected. | |
| Tag Amount | Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required. | |
| Currency | Defaults the currency in which the charges have to be collected. | |
| Amount | An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required. | |
| Modified Amount | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field. | |
| Billing | If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. | |
| Defer | If charges have to be deferred and collected at any future step, this check box has to be selected. | |
| Waive | If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. | |
| Charge Party | Charge party will be beneficiary by default. You can change the value to applicant | |
| Settlement Account | Details of the settlement account. | |

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

| Field | Description | Sample Values |
|-----------|--------------------|---------------|
| Component | Tax Component type | |

| Field | Description | Sample Values |
|--------------------------|---|---------------|
| Type | Type of tax Component. | |
| Value Date | This field displays the value date of tax component. | |
| Currency | The tax currency is the same as the commission. | |
| Amount | The tax amount defaults based on the percentage of commission maintained. You can edit the same. | |
| Billing | If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled. | |
| Defer | If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation. | |
| Settlement Account | Details of the settlement Account. | |
| Charges from Beneficiary | Input the amount to be collected from beneficiary on account of this transaction. | |

Limits and Collaterals

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

Limits and Collaterals x

▲ Limit Details

| Customer ID | Linkage Type | Liability Number | Line Id/Linkage Ref No | Line Serial | Contribution % | Contribution Currency | Contribution Amount | Limit Check Response | Response Message |
|---------------------|--------------|------------------|------------------------|-------------|----------------|-----------------------|---------------------|----------------------|------------------|
| No data to display. | | | | | | | | | |

▲

Cash Collateral Details

Collateral Percentage * +

Collateral Currency and amount +

Exchange Rate +

| Sequence Number | Settlement Account Currency | Settlement Account | Exchange Rate | Collateral % | Contribution Amount | Contribution Amount in Account Currency | Account Balance Check Respons |
|-----------------|-----------------------------|--------------------|---------------|--------------|---------------------|---|-------------------------------|
| 1 | | PK20010440017 | 1 | 100 | | | |

▲ Deposit Linkage Details +

| <input type="checkbox"/> | Deposit Account | Deposit Currency | Deposit Maturity Date | Transaction Currency | Deposit Available In Transaction Currency | Linkage Amount(Transaction Currency) | Edit | Delete |
|--------------------------|------------------|------------------|-----------------------|----------------------|---|--------------------------------------|------------------|--------|
| <input type="checkbox"/> | PK2CDP1221100002 | GBP | 2023-04-20 | GBP | 87508 | £495.00 | PK2CDP1221100002 | |

Save & Close Cancel

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office

Limit Details

Limit Details
✕

| | |
|--|---|
| <p>Customer Id 001044 <input type="text"/></p> <p>Contribution % * 1.0 <input type="text"/></p> <p>Contribution Currency GBP <input type="text"/></p> <p>Limit/Liability Currency GBP <input type="text"/></p> <p>Limit Check Response Available <input type="text"/></p> <p>Expiry Date <input type="text"/></p> <p>Response Message The Earmark can be performed as the f <input type="text"/></p> | <p>Linkage Type * Facility <input type="text"/></p> <p>Liability Number * PK2LIAB01 <input type="text"/></p> <p>Line Id/Linkage Ref No * PK2L01SL1 <input type="text"/></p> <p>Limits Description <input type="text"/></p> <p>Contribution Amount * £220.00 <input type="text"/></p> <p>Limit Available Amount £999,999,903.89 <input type="text"/></p> <p>ELCM Reference Number <input type="text"/></p> |
|--|---|

| Field | Description | Sample Values |
|--|--|---------------|
| <p>Plus Icon</p>  | Click plus icon to add new Limit Details. | |
| <p>Limit Details</p> <p>Click + plus icon to add new limit details.</p> <p>Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.</p> | | |
| Customer ID | Applicant's/Applicant Bank customer ID will get defaulted. | |
| Linkage Type | <p>Select the linkage type.</p> <p>Linkage type can be:</p> <ul style="list-style-type: none"> • Facility • Liability <p>By default Linkage Type should be "Facility".</p> | |

| Field | Description | Sample Values |
|---------------------------|--|---------------|
| Contribution% | <p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p> | |
| Liability Number | <p>Click Search to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p> | |
| Contribution Currency | The LC currency will be defaulted in this field. | |
| Line ID/Linkage Ref No | <p>Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p> Note</p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> <p>This field is disabled and read only, if Linkage Type is Liability.</p> | |
| Line Serial | <p>Displays the serial of the various lines available and mapped under the customer id.</p> <p>This field appears on the Limits grid.</p> | |
| Limit/ Liability Currency | Limit Currency will be defaulted in this field, when you select the Liability Number | |
| Limits Description | This field displays the limits description. | |
| Limit Check Response | Response can be 'Success' or 'Limit not Available' based on the limit service call response. | |

| Field | Description | Sample Values |
|------------------------|---|---------------|
| Contribution Amount | Contribution amount will default based on the contribution %. User can change the value. | |
| Expiry Date | This field displays the date up to which the Line is valid | |
| Limit Available Amount | This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button. | |
| Response Message | Detailed Response message. The value in this field appears, if you click the Verify button. | |
| ELCM Reference Number | This field displays the ELCM reference number. | |

Collateral Details

Collateral Details
✕

Total Collateral Amount *

Sequence Number

Collateral Contribution Amount *

Settlement Account Currency

Contribution Amount in Account Currency

Response

Collateral Amount to be Collected *

Collateral Split % *

Settlement Account *

Exchange Rate

Account Available Amount

Response Message

| Field | Description | Sample Values |
|-----------------------------------|--|---------------|
| Cash Collateral Details | | |
| Collateral Percentage | The percentage of collateral to be linked to this transaction. | |
| Collateral Currency and amount | Read only field. System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount. | |
| Exchange Rate | Read only field. System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified. | |
| Total Collateral Amount | Read only field. This field displays the total collateral amount provided by the user. | |
| Collateral Amount to be Collected | Read only field. This field displays the collateral amount yet to be collected as part of the collateral split. | |
| Sequence Number | Read only field. The sequence number is auto populated with the value, generated by the system. | |
| Collateral Split % | The collateral split% to be collected against the selected settlement account. | |
| Collateral Contribution Amount | Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified. | |
| Settlement Account | Read Only field. The settlement account for the collateral. | |
| Settlement Account Currency | Read Only field. The Settlement Account Currency. | |

| Field | Description | Sample Values |
|---|--|---------------|
| Exchange Rate | Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency. | |
| Contribution Amount in Account Currency | Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system. | |
| Account Available Amount | Read only field. Account available amount will be auto-populated based on the Settlement Account selection. | |
| Collateral % | Read Only field. The percentage of collateral to be linked to this transaction. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified". | |
| Currency | Read Only field. The guarantee currency will get defaulted in this field. | |
| Contribution Amount | Read Only field. Collateral contribution amount will get defaulted in this field. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified". | |
| Account Balance Check Response | This field displays the account balance check response. | |
| Response | Read Only field. Response can be 'Success' or 'Amount not Available'. | |

| Field | Description | Sample Values |
|------------------|--|---------------|
| Response Message | Read only field. Detailed Response message. | |

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Deposit Account

Deposit Available Amount

Exchange Rate

Linkage Percentage % *

Deposit Branch

Deposit Maturity Date

Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency) *

| Field | Description | Sample Values |
|---|--|---------------|
| Click + plus icon to add new deposit details. | | |
| Deposit Account | Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage. | |
| Deposit Branch | Branch will be auto populated based on the Deposit account selection. | |
| Deposit Available Amount | Amount will be auto-populated based on the Deposit Account selection. | |
| Deposit Maturity Date | Maturity Date of deposit is displayed based on the Deposit Account selection. | |
| Exchange Rate | Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core. | |

| Field | Description | Sample Values |
|--|--|---------------|
| Deposit Available in Transaction Currency | Deposit amount available should be displayed after exchange rate conversion, if applicable. | |
| Linkage Percentage% | Specify the value for linkage percentage. | |
| Linkage Amount (Transaction Currency): | System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount. | |
| Below fields appear in the Deposit Details grid along with the above fields. | | |
| Deposit Currency | The currency will get defaulted in this field. | |
| Transaction Currency | The currency will get defaulted in this field from the underlying task. | |
| Delete Icon  | Click minus icon to remove the existing Linked deposit details by selecting the Deposit. | |
| Edit Link | Click edit link to edit any existing deposit Details. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|------------------|--|---------------|
| Documents | Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents. | |
| Remarks | Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task. | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Cancel | Cancel the Guarantee Advice DE inputs. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others | |
| Back | Click Back to move to previous step in DE stage. | |

| Field | Description | Sample Values |
|-------|---|---------------|
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |

Settlement Details

The user can view the settlement details during Closure of Guarantee advised request.

The screenshot displays the Oracle Banking Trade Finance interface for Settlement Details. The main content area shows a table with the following data:

| Component | Currency | Debit/Credit | Account | Account Description | Account Currency | Netting Indicator | Current Event | Original Exchange Rate | Exchange Rate | Deal Reference No |
|-------------------|----------|--------------|---------------|---------------------|------------------|-------------------|---------------|------------------------|---------------|-------------------|
| AVL_SET_LCAMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| AVL_SET_LCAMTEQ | GBP | Credit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| CLAIM_CUST_AMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| CLAIM_CUST_AMT_FX | GBP | Debit | PK2003763016 | CITIBANK IRELAND | GBP | No | No | | | |
| COLLAMT_OSEQ | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| COLLAMNDAMTEQ | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | Yes | | | |
| COLL_AMTEQ | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |
| COLL_AMT_DECR | GBP | Credit | PK20010440017 | GOODCARE PLC | GBP | No | Yes | | | |
| COLL_AMT_INCR | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | Yes | | | |
| COLL_AVALAMTEQ | GBP | Credit | PK20010440017 | GOODCARE PLC | GBP | No | No | | | |

The following fields should be displayed during Closure of Guarantee/SBLC Issued:

| Field | Description | Sample Values |
|---------------|---|---------------|
| Current Event | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. | |
| Component | Read only field. System defaults the components based on the product selected. | |
| Currency | Read only field. System displays the currency for components. | |
| Debit/Credit | Read only field. System defaults the debit/credit indicators for the components. | |

| Field | Description | Sample Values |
|------------------------|---|---------------|
| Account | Read only field. System displays the account number chosen. | |
| Account Description | Read only field. System displays the account description for the account chosen. | |
| Account Currency | Read only field. System displays the account currency for all items based on account number. | |
| Netting Indicator | Read only field. System displays the applicable netting indicator. | |
| Current Event | Read only field. System displays the current event a Y or N. | |
| Original Exchange Rate | System displays the Original Exchange Rate as simulated in settlement details section from OBTF | |
| Exchange Rate | The exchange rate. | |
| Deal Reference Number | The exchange deal reference number. | |

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

| Field | Description | Sample Values |
|----------------|---|---------------|
| Transfer Type | Select the transfer type from the drop list: <ul style="list-style-type: none"> ● Customer Transfer ● Bank Transfer for own account ● Direct Debit Advice ● Managers Check ● Customer Transfer with Cover ● Bank Transfer | |
| Charge Details | Select the charge details for the transactions: <ul style="list-style-type: none"> ● Beneficiary All Charges ● Remitter Our Charges ● Remitter All Charges | |

| Field | Description | Sample Values |
|--|---|---------------|
| Netting Indicator | Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No | |
| Ordering Customer | Select the ordering customer from the LOV. | |
| Ordering Institution | Select the ordering institution from the LOV. | |
| Senders Correspondent | Select the senders correspondent from the LOV. | |
| Receivers Correspondent | Select the receivers correspondent from the LOV. | |
| Intermediary Institution | Select the intermediary institution from the LOV. | |
| Account with Institution | Select the account with institution from the LOV. | |
| Beneficiary Institution | Select the beneficiary institution from the LOV. | |
| Ultimate Beneficiary | Select the ultimate beneficiary from the LOV. | |
| Intermediary Reimbursement Institution | Select the intermediary reimbursement institution from the LOV. | |

Payment Details

Provide the Payment Details based on the description in the following table:

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Sender to Receiver 1 | Provide the sender to receiver message. | |
| Sender to Receiver 2 | Provide the sender to receiver message. | |
| Sender to Receiver 3 | Provide the sender to receiver message. | |
| Sender to Receiver 4 | Provide the sender to receiver message. | |
| Sender to Receiver 5 | Provide the sender to receiver message. | |
| Sender to Receiver 6 | Provide the sender to receiver message. | |

Remittance Information

Provide the Payment Details based on the description in the following table:

| Field | Description | Sample Values |
|------------------|------------------------------|---------------|
| Payment Detail 1 | Provide the payment details. | |
| Payment Detail 2 | Provide the payment details. | |
| Payment Detail 3 | Provide the payment details. | |
| Payment Detail 4 | Provide the payment details. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|------------------|---|---------------|
| Documents | <p>Click the Documents icon to Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> | |
| Remarks | <p>Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p> | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | <p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Cancel | Cancel the Guarantee Advice DE inputs. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |

| Field | Description | Sample Values |
|--------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others | |
| Back | Click Back to move to previous step in DE stage. | |
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |

Summary

User can review the summary of details updated in DE Guarantee Advice Closure request. When you log in to Oracle Banking Trade Finance Process Management (OBTFPM) system, you can see the summary

tiles. The tiles must display a list of important fields with values. User can drill down from summary tiles into respective data segments.

The screenshot shows the Oracle application interface for 'Guarantee Advice Closure'. The 'Summary' page is displayed, showing various data segments:

- Main Details:** SBLC/Guarantee Type : **BILL**, Submission Mode : **Desk**, Date of Issue : **2019-03-22**
- Additional Fields:** Click here to view Additional fields
- Advices:** Advice 1, Advice 2
- Limits and Collaterals:** Limit Currency, Limit Contribution, Limit Status : **Not Verified**, Collateral Currency : **GBP**, Collateral Contr. : **2700**, Collateral Status : **Not Verified**
- Commission, Charges and Taxes:** Charge, Commission, Tax, Block Status : **Not Initia**
- Preview Message:** Language : **ENG**, Preview Message : +-
- Parties Details:** Beneficiary : **GOODCARE PLC**, Applicant : **Blackworth**, Confirming Bank : **CITIBANK**
- Settlement Details:** Component, Account Number, Currency
- Accounting Details:** Event : **GCLM**, Account Number : **PK10000154**, Branch : **PK1**

Tiles Displayed in Summary

- Main Details - User can view application details and Guarantee Advice Closure details.
- Additional Fields - User can view the additional field details.
- Advices - User can view the advices details.
- Settlement Details: User can view the Settlement details.
- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes.
- Preview Message : User can view the SWIFT message and Mail Advice.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Accounting Details: User can view the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------|--|---------------|
| Documents | Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents. | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Remarks | <p>Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p> | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | <p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p> | |
| View Undertaking | Clicking this button allows the user should to view the undertaking details. | |
| Signatures | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p> | |
| Submit | <p>Task will get moved to next logical stage of Guarantee Advice.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Cancel | Cancel the DE Stage Inputs. | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |

| Field | Description | Sample Values |
|--------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Back | Click Back to move to previous step in DE stage. | |

Multi Level Approval

User can review and approve the Guarantee Advise Closure. The user can view the summary of details updated in multilevel approval stage for Guarantee Advise Closure request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Contract Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey
✕

Documents
Remarks

Currency

USD
▼
✓

Contract Amount

\$1,000.00
✓

Refer
Close
Proceed

Approval Summary

Free Tasks

(DEFAULTENTITY)
(PK2) May 8, 2019
SRIDHAR01
subham@gmail.com

Guarantee Advice Closure - Approval Task Level 1 :: Application No: PK2GTAC000056649

Documents
Remarks
Overrides
View Undertaking

| Main Details | Additional Fields | Advices | Limits and Collaterals | Commission, Charges and Taxes |
|---|---|---|--|---|
| SBLC/Guarantee Type : BILL Submission Mode : Desk Date of Issue : 2019-03-22 | Click here to view : Additional fields | Advice 1 : Advice 2 : | Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 2700 Collateral Status : Not Verified | Charge : Commission : Tax : Block Status : Not Initia |
| Preview Message | Parties Details | Settlement Details | Accounting Details | |
| Language : ENG Preview Message : - | Beneficiary : GOODCARE PLC Applicant : Blackworth Confirming Bank : CITIBANK | Component : Account Number : Currency : | Event : GCLM Account Number : PK10000154 Branch : PK1 | |

Audit
Reject
Hold
Refer
Cancel
Approve

Tiles Displayed in Summary:

- Main Details - User can view application details and Guarantee/SBLC Closure details.
- Additional Fields - User can view the additional field details.
- Advices - User can view the advices details.
- Settlement Details: User can view the Settlement details.
- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes.
- Preview Message - : User can view the SWIFT message and Mail Advice.
- Party Details - User can view party details like beneficiary, advising bank etc.

- Accounting Details: User can view the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|---------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others | |
| Cancel | <p>Cancel the Guarantee Advice approval.</p> | |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p> | |

Customer - Acknowledgement letter Format

Customer Acknowledgment is generated every time a new Guarantee Advise is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your Closure of Guarantee Advise Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for Closure of Guarantee Advise with the below details:

APPLICANT: <APPLICANT NAME>

BENEFICIARY NAME: <BENEFICIARY>

CURRENCY: < CCY>

AMOUNT: <AMT>

DATE OF ISSUE: <DATE OF ISSUE>

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute Closure of Guarantee/SBLC Issued.

Thank You for banking with us.

Regards,

<DEMO BANK>

Notice: This document is strictly private, confidential and personal to its recipients and will not be copied, distributed or reproduced in whole or in part, nor passed to any third party. The information contained in this e-mail/ message and/or attachments to it may contain confidential or privileged information. If you are not the intended recipient, any dissemination, use, review, distribution, printing or copying of the information contained in this e-mail message and/or attachments to it are strictly prohibited. If you have received this communication in error, please notify us by reply e-mail or telephone and immediately and permanently delete the message and any attachments. Thank you

Customer - Reject Letter Format

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Guarantee Application for Closure of Guarantee Advise <User Ref> under our <Process Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Closure of Guarantee Advise application request dated <Application Date –DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to close the required Guarantee Advise.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the Guarantee due to the below reasons:

<Reject Reason 1>

<Reject Reason 2>

<Reject Reason 3>

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Closure of Guarantee/SBLC Issued application review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

A

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

Documentation Accessibility

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