

Guarantee Advice Internal Amendment - Islamic User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Guarantee Advice Internal Amendment User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTfPM) User Guide. This guide provides an overview on the OBTfPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTfPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTfPM is a Trade Finance Middle Office Platform, which enables Bank to streamline the Trade Finance operations. OBTfPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTfPM helps Banks to manage Trade Finance operations across the globe in different currencies. OBTfPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during Transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advice Internal Amendment - Islamic

Conventional Guarantee Advise Internal Amendment process enables the user to make the following amendments to the Guarantee which has been already issued.

The various stages involved in Internal Amendment of Guarantee Issued are:

- Receive and verify documents and input the basic details - Registration stage
- Input/Modify details - Data Enrichment stage
- Check for limit availability
- Check balance availability for amount block
- Earmark limits/Create amount block for cash margin/charges
- Capture remarks for other users to check and act
- Generate acknowledgements.
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Advise Internal Amendment process flow is similar to that of conventional Guarantee Advise Internal Amendment process flow.

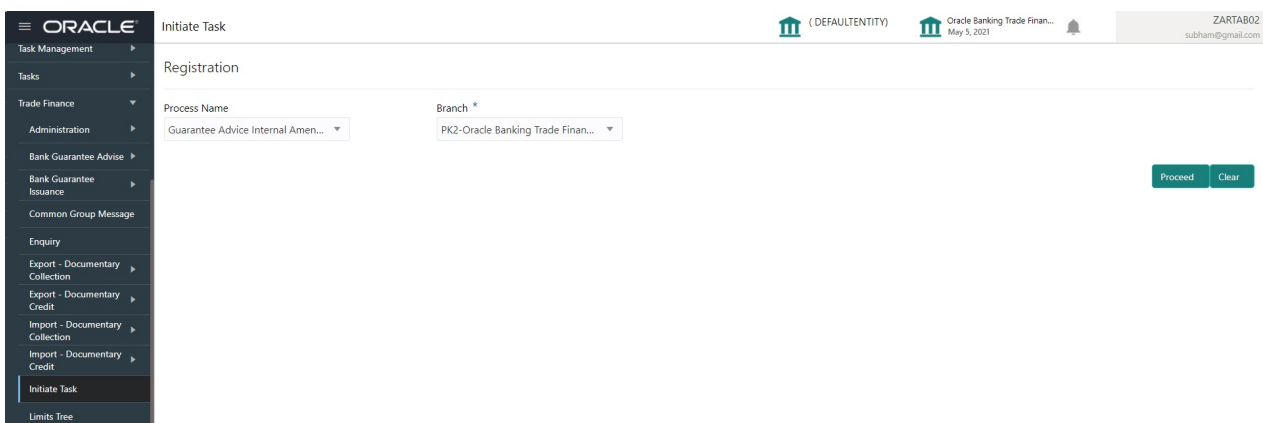
This chapter contains the following topics:

Common Initiation Stage	Registration
Bi-Directional Flow	Multi Level Approval

Common Initiation Stage

The user can initiate the new Islamic guarantee advise internal amendment request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

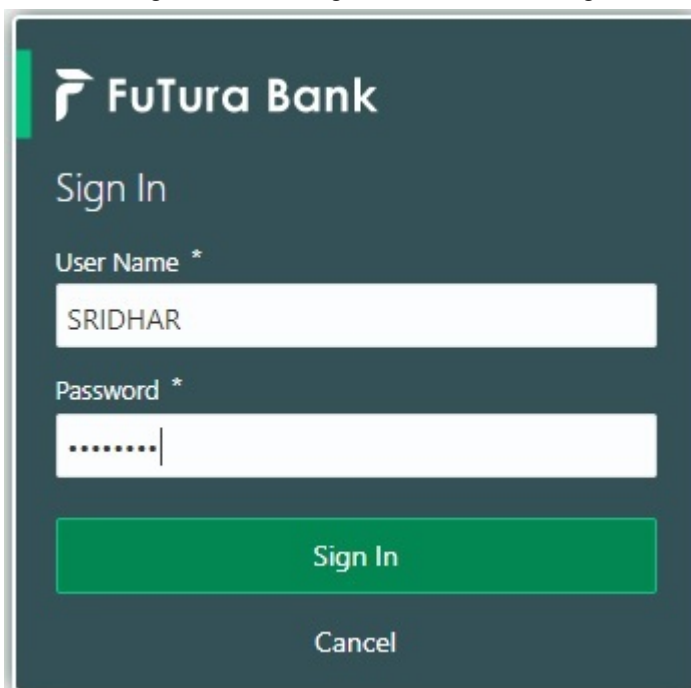
Registration

As a Registration user, you can register an Islamic internal amendment to a Guaranteed/SBLC Advised request received at the front desk (as an application received physically/received by mail/fax). On submit of the amendment request, the customer should be notified with acknowledgment and the request should be available for an Guarantee Amendment expert to handle in the next stage.

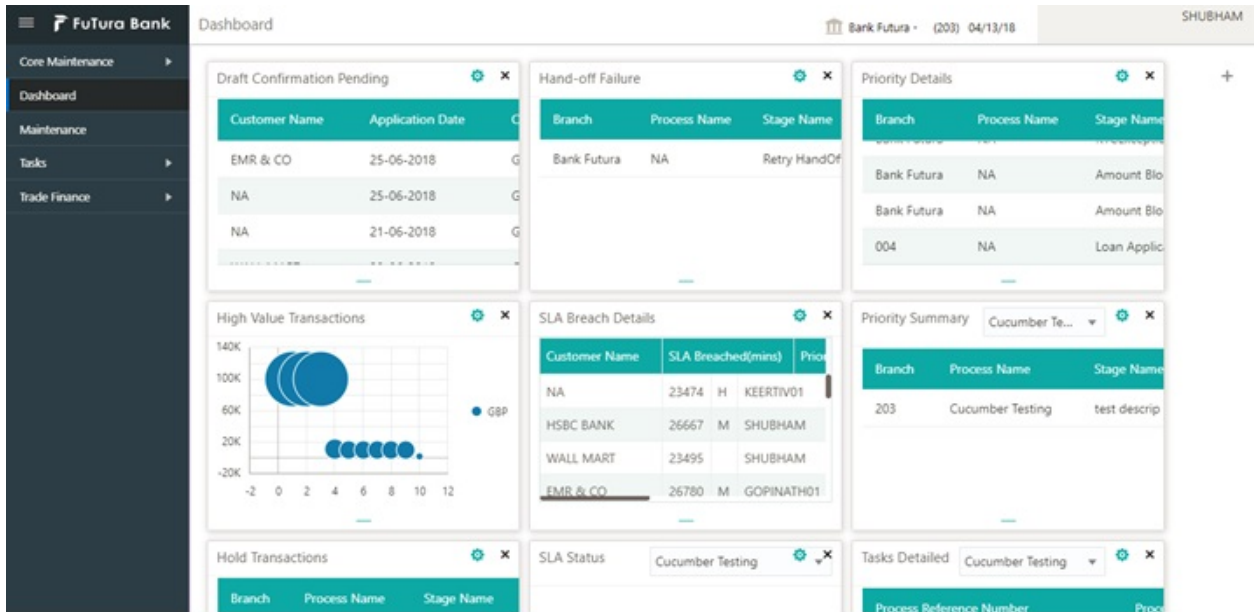
The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

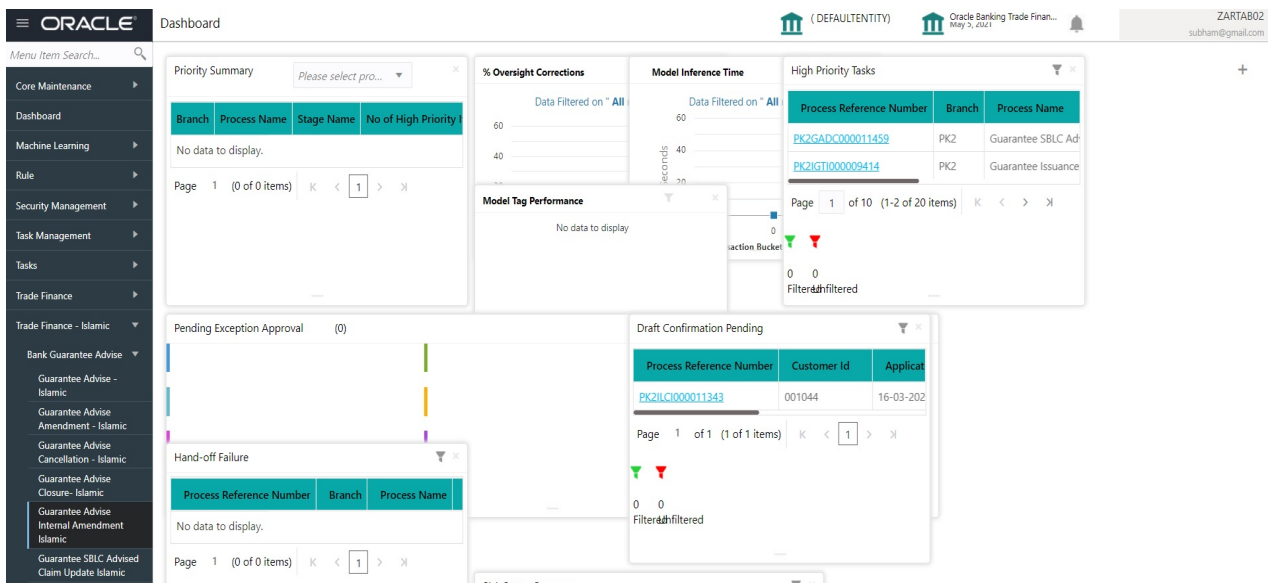
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

The image shows a login interface for FuTura Bank. At the top left is the FuTura Bank logo, which consists of a stylized 'F' icon followed by the text 'FuTura Bank'. Below the logo, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the text 'SRIDHAR' entered, and 'Password *' with masked characters '.....'. Below these fields are two buttons: a green 'Sign In' button and a white 'Cancel' button with a green border.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance - Islamic > Bank Guarantee Advise > Guarantee Advice Internal Amendment - Islamic**.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the Registration stage:

In case of STP of incoming SWIFT MT 768, a task should be directly created in Data Enrichment Stage after the required business validations and Registration stage is not applicable.

Application Details

ORACLE [DEFAULT IDENTITY] Oracle Banking Trade Finance May 24, 2021 ZARTAB02 subham@gmail.com

Guarantee Advise Internal Amendment Islamic

Signatures Documents Remarks Customer Instruction Common Group Messages

Application Details

Advising Bank Reference Number: PK2GUAI211254002

Submission Mode: Desk

Amendment Date: May 24, 2021

Beneficiary: 001044 GOODCARE PLC

Process Reference Number: PK2IGIA00011518

Branch: PK2-Oracle Banking Trade Finan...

Issuer: 001043 MARKS AND SPI

Priority: Select

Issuing Bank Reference: 1

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

22D - Form of Undertaking: DGAR - Guarantee

32B - Undertaking Amount: GBP £12,000.00

23X - File Identification

50 - Applicant Name: 001041 WELLS FARGO L

23B - Expiry Type: FIXD

Auto Close: ☐

20 - Undertaking Number

Amount In Local Currency: GBP £12,000.00

23X - Narrative

59A - Beneficiary Name: 001044 GOODCARE PLC

31E - Date of Expiry: Aug 3, 2021

Closure Date: Sep 2, 2021

Product Code: GUAI

22K - Type of Undertaking

40C - Applicable Rules: URDG - Uniform rules for dema...

56A - Advising Bank

35G - Expiry Condition/ Event

Product Description: Islamic Export LC - advising of Guarant...

22A - Purpose of Message: ADVI - Advice of issued underta...

40C - Narrative

39D - Additional Amounts

51 - Obligor/ Instructing Party

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	Enter the advising bank reference number or alternatively select it from LOV'. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount.	
Beneficiary	Read only field. Beneficiary name is auto-populated from Guarantee /SBLC Advise.	001345
Branch	Read only field. Branch Name will be auto-populated from Guarantee /SBLC Advise.	203-Bank Futura -Branch FZ1
Priority	This field will be defaulted based on the priority maintained for the customer. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	Select the submission mode of Guarantee Advice Internal Amendment request. Desk - Request received through Desk Courier - Request received through Courier Email - Request received through Email	Desk
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEADV0015920

Field	Description	Sample Values
Issuer	Read only field. System defaults the Issuing Bank as per the Guarantee Advice details.	
Issuing Bank Reference	Read only field. System defaults the Issuing Bank reference from Guarantee Advice details.	Advising Bank Reference
Amendment Date	System defaults the branch's current system date.	04/13/2018

Guarantee Details

Registration user can view the latest LC values defaulted in the respective fields in the Guarantee Details in this section. All the fields in the Guarantee Details section is read only.

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. System defaults the value from Guarantee Advice details.	
Undertaking Number	Read only field. System defaults the value from Guarantee/ SBLC Advise.	
Product Code	Read only field. System defaults the value from Guarantee/ SBLC Advised.	GUIA
Product Description	Description of the product. Read only field. System defaults the value from Guarantee/ SBLC Advised.	Guarantee Advising
Undertaking Amount	Read only field. System defaults the outstanding value available from Guarantee/ SBLC Advise.	

Field	Description	Sample Values
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Type of Undertaking	Read only field. System defaults the value from Guarantee/ SBLC Advised.	ADVP
Purpose of message	Read only field. System defaults the value from Guarantee/ SBLC Advised.	
File Identification	The type of delivery channel and its associated file name or reference. Read only field. System defaults the value from Guarantee/ SBLC Advised.	
Narrative	Read only field. System defaults the value from Guarantee/ SBLC Advised.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised.	URDG - Uniform rules for demand guarantees
Narrative	Read only field. System defaults the value from Guarantee/ SBLC Advised.	
Applicant Name	Read only field. System defaults the value from Guarantee/ SBLC Advised.	001345 Nestle
Beneficiary Name	Read only field. System defaults the beneficiary from Guarantee/ SBLC Issuance. User can modify the beneficiary if required.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank from Guarantee/ SBLC Advised.	
Additional Amounts	Read only field. Additional Amount covered as per the latest LC details is displayed in Guarantee Advised.	
Expiry Type	Validity of the guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised.	

Field	Description	Sample Values
Date Of Expiry	Expiry Date of Guarantee. System defaults the value from Guarantee/ SBLC Advised.	09/30/18
Expiry Condition/Event	Expiry Date of Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised.	09/30/18
Obligor/Instructor Party	The party obligated to reimburse the issuer.	
Auto Close	Toggle On: Enable the toggle, if Auto close is required for that transactions. Toggle Off: Disable the toggle, if Auto close is not required for that transactions.	
Closure Date	System default the "Closure Date" value, if any, from the contract. If the system defaulted value for Auto Close is Yes , then Closure Date field will be a display only field and user is not allowed to edit the same. If the system defaulted value for Auto Close is No , then user can edit the Closure Date field by enabling the "Auto Close" toggle as "Yes". User can provide the value in this field, if Auto Close is enabled as a part of this internal amendment.	

Miscellaneous

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Documents	Upload the required documents. E.g.: Guarantee/ SBLC Application, Indemnity, Counter Guarantee	
Remarks	Provide any additional information regarding the Guarantee Advise Amendment. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	

Field	Description	Sample Values
View Guarantee/SBLC	Clicking on View Guarantee button, user can view the the snapshot of latest Guarantee Advised details.	
Guarantee/SBLC Events	Clicking on Guarantee Events button, user can view the snapshot of various events under the Guarantee Advised.	
Action Buttons		
Submit	On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advise Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Guarantee Advise Amendment. Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	System displays the mandatory and optional checklist items. Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Bi-Directional Flow

1. In OBTFPM, user clicks on **Request Clarification**, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online". In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder.
2. In case submission mode is not "Online", the system will validates if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
3. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'.
4. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

Data Enrichment

At this stage you can register a new request for Islamic Internal Amendment of Guarantee/SBLC Advised.

As part of Data Enrichment, you can update the details already captured in Registration stage. If details are not captured in DE stage, you can input the details.

If the request is received by mail/Courier, the user can to update the request. The request will have the details entered during the Registration stage.

If the request is received by SWIFT, then the task needs to be auto created and available for the user to handle.



Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task which completed the Registration and currently at Data enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBT FPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Tasks > Free Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	Medium	Guarantee Advise Internal Ame...	PK2IGIA000071690	PK2IGIA000071690	DataEnrichment	22-03-28	PK2	001044
Acquire & E...	High	Guarantee Advise	PK2GTEA000071660	PK2GTEA000071660	Registration	22-03-25	PK2	
Acquire & E...	High	Guarantee Advise	PK2GTEA000071659	PK2GTEA000071659	Registration	22-03-25	PK2	
Acquire & E...	--	Guarantee Issuance Closure	PK2GTED000071655	PK2GTED000071655	Registration	22-03-25	PK2	000325
Acquire & E...	--	Guarantee Issuance Closure	PK2GTED000071654	PK2GTED000071654	Registration	22-03-25	PK2	000325
Acquire & E...	--	Guarantee Issuance Closure	PK2GTED000071653	PK2GTED000071653	Registration	22-03-25	PK2	000325
Acquire & E...	--	Guarantee Issuance Closure	PK2GTED000071650	PK2GTED000071650	Registration	22-03-25	PK2	000325
Acquire & E...	Medium	Guarantee Issuance	PK2GTED000071644	PK2GTED000071644	Scrutiny	22-03-25	PK2	001204
Acquire & E...	Medium	Guarantee Issuance	PK2GTED000071643	PK2GTED000071643	Scrutiny	22-03-25	PK2	001204
Acquire & E...	Medium	Guarantee Issuance Amendme...	PK2GTED000071629	PK2GTED000071629	Handoff RetryTask	22-03-25	PK2	001044
Acquire & E...	High	Guarantee Issuance	PK2GTED000071640	PK2GTED000071640	Scrutiny	22-03-25	PK2	001043
Acquire & E...	Medium	Guarantee Issuance Closure	PK2GTED000071641	PK2GTED000071641	DataEnrichment	22-03-25	PK2	000325
Acquire & E...	Medium	Guarantee Issuance Closure	PK2GTED000071639	PK2GTED000071639	DataEnrichment	22-03-25	PK2	000325

4. Select the appropriate amendment task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

Free Tasks

Menu Item Search...

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
☒ Acquire & Edit	Medium	Guarantee Issuance Internal Amendment L...	PK2IGI000071696	PK2IGI000071696	DataEnrichment	22-03-28	PK2	000153
☐ Acquire & Edit	Medium	Guarantee advise claim lodging	PK2GAD000071693	PK2GAD000071693	DataEnrichment	22-03-28	PK2	001044
☐ Acquire & Edit	Medium	Guarantee SBLC Advised-Claim Update	PK2GAD000071689	PK2GAD000071689	DataEnrichment	22-03-28	PK2	001044
☐ Acquire & Edit	Medium	Guarantee advise claim lodging	PK2GAD000071686	PK2GAD000071686	DataEnrichment	22-03-28	PK2	001044
☐ Acquire & Edit	Medium	Import LC issuance	PK1ILCI000071684	PK1ILCI000071684	Handoff RetryTask	22-03-27	PK2	000325
☐ Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071683	PK2IGTM000071683	Registration	22-03-26	PK2	001044
☐ Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071682	PK2IGTM000071682	Registration	22-03-26	PK2	001044
☐ Acquire & Edit	Medium	Lodge Claim - Guarantee Issued	PK2GTEC000071647	PK2GTEC000071647	DataEnrichment	22-03-25	PK2	001044
☐ Acquire & Edit	High	Guarantee Advise	PK2GTEA000071660	PK2GTEA000071660	Registration	22-03-25	PK2	
☐ Acquire & Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071658	PK2GTEC000071658	DataEnrichment	22-03-25	PK2	000325
☐ Acquire & Edit	High	Guarantee Advise	PK2GTEA000071659	PK2GTEA000071659	Registration	22-03-25	PK2	
☐ Acquire & Edit	--	Guarantee Issuance Closure	PK2GTEC000071655	PK2GTEC000071655	Registration	22-03-25	PK2	000325
☐ Acquire & Edit	--	Guarantee Issuance Closure	PK2GTEC000071654	PK2GTEC000071654	Registration	22-03-25	PK2	000325

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5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

Menu Item Search...

Refresh Release Escalate Delegate Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☒ Edit	Medium	Guarantee Advise Internal Amendment Isla...	PK2GIA000071690	PK2GIA000071690	DataEnrichment	22-03-28	PK2	001044	
☐ Edit	Medium	Islamic Export LC Closure	PK2IECL000071551	PK2IECL000071551	Approval Task Level 1	22-03-23	PK2	001043	
☐ Edit	Medium	Islamic ExportLC Amendment BeneficiaryC...	PK2IETB000071466	PK2IETB000071466	KYC Exceptional appr...	22-03-22	PK2	001204	
☐ Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071450	PK2IGTM000071450	Registration	22-03-22	PK2	000153	
☐ Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071448	PK2IGTM000071448	Registration	22-03-22	PK2	001044	
☐ Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071396	PK2GTEC000071396	DataEnrichment	22-03-17	PK2	001044	
☐ Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071394	PK2GTEC000071394	DataEnrichment	22-03-17	PK2	001044	
☐ Edit	Medium	Guarantee Advise Amendment	PK2GTAA000071391	PK2GTAA000071391	DataEnrichment	22-03-17	PK2	001044	
☐ Edit	--	Guarantee Issuance Closure	PK2GTEC000071390	PK2GTEC000071390	Registration	22-03-17	PK2	001044	
☐ Edit	Medium	Islamic Export Documentary Collection Ret...	PK2IEDC000071379	PK2IEDC000071379	DataEnrichment	22-03-17	PK2	001044	
☐ Edit	Medium	Import LC Issuance Islamic	PK1ILIU000071365	PK1ILIU000071365	Registration	22-03-17	PK2	000321	
☐ Edit	--	Import LC Amendment Islamic	PK2IILM000071364	PK2IILM000071364	Registration	22-03-17	PK2	001044	
☐ Edit	--	Import LC Amendment	PK2ILCA000071361	PK2ILCA000071361	Registration	22-03-17	PK2	001044	

Page 1 of 3 (1 - 20 of 41 items) K < 1 2 3 > X

The Guarantee Advise Internal Amendment - Data Enrichment stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Islamic Guarantee Advice Internal Amendment - Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

Refer to [Application Details](#) in the Registration section for more information of the fields.

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields. User can Input/ update the fields except the Product Code field.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Field	Description	Sample Values
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the details captured in the screen.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

Field	Description	Sample Values
Next	Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

Guarantee Advise Internal Amendment Islamic
DataEnrichment :: Application No:- PK2IGIA000071690

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Additional Fields
Additional Fields
No Additional fields configured!

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (2 / 6)

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the details captured in the screen.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Next	Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level. The Data Enrichment user can verify the Advices data segment of Islamic Guarantee Advise Internal Amendment.

Guarantee Advise Internal Amendment Islamic
DataEnrichment : Application No:- PK2IGIA000011779

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Advices

Advice : PAYMENT_MESS...

Advice Name : PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : NO
Advice

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (3 / 6)

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice ☐

Advice Name: PAYMENT_MESSAGE Medium: Advice Party:

Party ID: Party Name:

FFT Code

No data to display.

Instructions

OK Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	

Field	Description	Sample Values
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
Delete icon	Click delete icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
Delete icon	Click delete icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Field	Description	Sample Values
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the details captured in the screen.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

Field	Description	Sample Values
Next	Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Additional Details

In the Additional details section, user can enter, update and verify the basic additional details Data Segment of Internal Amendment of Guarantee/ SBLC Advised request. User can change the values in Limits and Collateral section and Charges section.

Change to existing Line or cash collateral is applicable when the advising bank has also confirmed the SBLC and changes the details Issuing bank Line/ Cash Collateral.

Guarantee Advise Internal Amendment Islamic
DataEnrichment : Application No:- PK2IGIA000071690

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Additional Details

Limit & Collateral	Charge Details
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : GBP Collateral : 96.96 Contribution : Not Verified Collateral Status :	Charge : Commission : Tax : Block Status :

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (4 / 6)

Limit and Collateral

In this section user can to attach more than one line.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits Details

Limits and Collaterals

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
No data to display.									

Cash Collateral Details

Collateral Percentage *
20.0

Collateral Currency and amount
GBP £220.00

Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response
1		PK20010440017	1	100			

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
☐ PK2CDP1221100002	GBP	2023-04-20	GBP	87508	£495.00	PK2CDP1221100002	

Save & Close

Cancel

Limit Details

Customer Id
001044

Linkage Type *
Facility

Contribution % *
1.0

Liability Number *
PK2LIAB01

Contribution Currency
GBP

Line Id/Linkage Ref No *
PK2L01SL1

Limit/Liability Currency
GBP

Limits Description

Limit Check Response
Available

Contribution Amount *
£220.00

Expiry Date

Limit Available Amount
£999,999,903.89

Response Message
The Earmark can be performed as the f

ELCM Reference Number

Verify

Save & Close

Close

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Plus Icon	Click plus icon to add new Limit Details.	

Field	Description	Sample Values
Limit Details Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: • Facility • Liability By default Linkage Type should be "Facility".	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Liability Number	Click Search to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field. This field is disabled and read only, if Linkage Type is Liability.	

Field	Description	Sample Values
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Contribution Amount	Contribution amount will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	

Collateral Details

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contrubution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼ ▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼ ▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as:

✓ Save & Close

✕ Cancel

Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
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Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Contribution Amount	Collateral contribution amount will get defaulted in this field. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	

Field	Description	Sample Values
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	
Below fields appear in the Cash Collateral Details grid along with the above fields.		
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details

Deposit Account

PK2CDP1221100002

Deposit Available Amount

GBP

£87,508.00

Exchange Rate

1

Linkage Percentage % *

45.00

Deposit Branch

PK2

Deposit Maturity Date

Apr 20, 2023

Deposit Available In Transaction Currency

87,508.00

Linkage Amount(Transaction Currency) *

GBP

£495.00

Save & Close

Close

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	
Below fields appear in the Deposit Details grid along with the above fields.		
Deposit Currency	The currency will get defaulted in this field.	

Field	Description	Sample Values
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

Commission, Charges and Taxes Details

After Advices, clicking on Next button and landing on the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission,Charges and Taxes ×

Recalculate Rodefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
Page 1 (0 of 0 items) < 1 >										

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
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Save & Close Cancel

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	User can enter a new amount in 'Modified' field. This will be the new charge for the modified component.	

Field	Description	Sample Values
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	

Field	Description	Sample Values
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

Preview

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

The preview message simulated from the back office and the user can view the message.

Preview Message

Preview - SWIFT Message

Language

English

Message Type

Select

Preview Advice

Preview - Mail Advice

Language

English

Advice Type

GUARANTEE

Preview Advice

Save & Close

Close

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	

Field	Description	Sample Values
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	

Field	Description	Sample Values
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the details captured in the screen.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Next	Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Settlement Details

In the Settlement details section, user can enter the basic settlement details Data Segment of Internal Amendment of Guarantee/ SBLC Advised request.

(DEFAULTTENTY)
Oracle Banking Trade Finan
May 24, 2021
ZARTAB02
subham@gmail.com

Guarantee Advise Internal Amendment Islamic
DataEnrichment :: Application No:- PK2IGIA000011518

Clarification Details
Documents
Remarks
Overrides
Customer Instruction
Common Group Messages
Incoming Message
View Undertaking
Signatures

Main Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Settlement Details
☐ Current Event
Screen (5 / 6)

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference N
AVL_SET_LCAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AVL_SET_LCAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
CLAIM_CUST_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
CLAIM_CUST_AMT_FX	GBP	Debit	PK2003763016	CITIBANK IRELAND	GBP	No	No			
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLL_AMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes			
COLL_AMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLL_AMT_DECR	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	Yes			
COLL_AMT_INCR	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes			
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			

Audit
Request Clarification
Reject
Refer
Hold
Cancel
Save & Close
Back
Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Field	Description	Sample Values
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the details captured in the screen.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

Field	Description	Sample Values
Next	Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Summary

User can review the summary of details updated in Data Enrichment Islamic Internal Amendment of Guarantee/SBLC Advised request.

The Summary tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User can drill down from Summary Tiles into respective data segments.

Guarantee Advise Internal Amendment Islamic
DataEnrichment :: Application No:- PK2IGIA000071690

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Screen (6 / 6)

Main Details	Additional Fields	Advices	Settlement Details
SBLC/Guarantee Type : OTHR Submission Mode : Desk Date of Issue : 2021-05-05	Click here to view : Additional fields	Advice 1 : Advice 2 :	Component : Account Number : Currency :
Limits and Collaterals	Commission,Charges and Taxes	Preview Messages	Compliance details
Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 96.96 Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	KYC : Not Initia Sanctions : Not Initia AML : Not Initia
Parties Details	Accounting Details		
Applicant : MARKS AND Beneficiary : GOODCARE PLC Confirming Bank : RABO BANK	Event : Account Number : Branch :		

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can only view but cannot modify the details.
- Additional Fields – User can view the UDF maintained.
- Advices - User can view the advice details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Guarantee Details - User can view the Guarantee details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot modify the details.
- Accounting Entries - User can view the accounting entries generated in back office.

**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the details captured in the screen.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Submit	On submit, task will get moved to next logical stage of Islamic Guarantee Advice Internal Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided	

Multi Level Approval

The Approval user can approve a Guarantee Advice Internal Amendment Transaction.

Log in into OBTFPM application and acquire the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

The user should be able to view the Approval summary.

Summary

Guarantee Advise Internal Amendment Islamic
Approval Task Level 1 :- Application No:- PK2IGIA000071690

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main Details	Additional Fields	Advices	Settlement Details	Limits and Collaterals
SBLC/Guarantee Type : OTHR Submission Mode : Desk Date of Issue : 2021-05-05	Click here to view : Additional fields	Advice 1 : Advice 2 :	Component : Account Number : Currency :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 96.96 Collateral Status : Not Verified
Commission,Charges and Taxes	Preview Messages	Compliance details	Parties Details	Accounting Details
Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	KYC : Verified Sanctions : Not Initia AML : Not Initia	Beneficiary : GOODCARE PLC Confirming Bank : RABO BANK Applicant : MARKS AND	Event : Account Number : Branch :
Exception(Approval)				
EXCEPTION : Nil				

Audit

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

The tiles pertaining to the fields that are amended is highlighted in different color for the approver user.

- Main Details - User can view the application details and Guarantee/ Standby details. User can only view but cannot modify the details.
- Additional Fields – User can view the UDF maintained.
- Advices - User can view the advice details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Guarantee Details - User can view the Guarantee details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot modify the details.
- Accounting Entries - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Guarantee Advice approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Handoff:

Once the task is Approved, the task is handed off to the back office (LCDGUONL) for postings. In the back office, the relevant accounting entries are posted, advises are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task goes to retry handoff queue. The user can manually try to initiate handoff.

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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