

Guarantee Advise Cancellation User Guide

# **Oracle Banking Trade Finance Process Management**

Release 14.7.0.0.0

**Part No. F73628-01**

November 2022

Oracle Banking Trade Finance Process Management - Guarantee Advise Cancellation User Guide  
Oracle Financial Services Software Limited

Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India

Worldwide Inquiries:

Phone: +91 22 6718 3000

Fax: +91 22 6718 3001

[www.oracle.com/financialservices/](http://www.oracle.com/financialservices/)

Copyright © 2018-2022, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

---

# Contents

<b>Oracle Banking Trade Finance Process Management</b> .....	<b>1</b>
Overview.....	1
Benefits.....	1
Key Features .....	1
<b>Guarantee Advise Cancellation</b> .....	<b>2</b>
Common Initiation Stage.....	2
Registration.....	3
Application Details .....	5
Guarantee Details.....	6
Miscellaneous.....	9
Document Linkage.....	10
Data Enrichment .....	14
Main Details.....	16
Guarantee Preference .....	19
Additional Fields .....	22
Advices .....	24
Additional Details.....	28
Preview Message .....	41
Settlement Details .....	44
Summary .....	46
Multi Level Authorization.....	49
Re-Key Authorization.....	50
Handoff:.....	52
<b>Reference and Feedback</b> .....	<b>54</b>
References.....	54
Documentation Accessibility.....	54
Feedback and Support.....	54

---

# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

## Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of Trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# Guarantee Advise Cancellation

As a part of Guarantee Advise Cancellation, the user requests for cancellation of a Guarantee/SBLC advised. On the issuing bank request, the Guarantee Advise Cancellation is initiated. The cancellation request is indicated in the incoming MT 767 message, field 23S Cancellation Request.

If the field 23S has value 'CANCEL', then Guarantee/SBLC should be initiated.

This section contains the following topics:

[Common Initiation Stage](#)

[Registration](#)

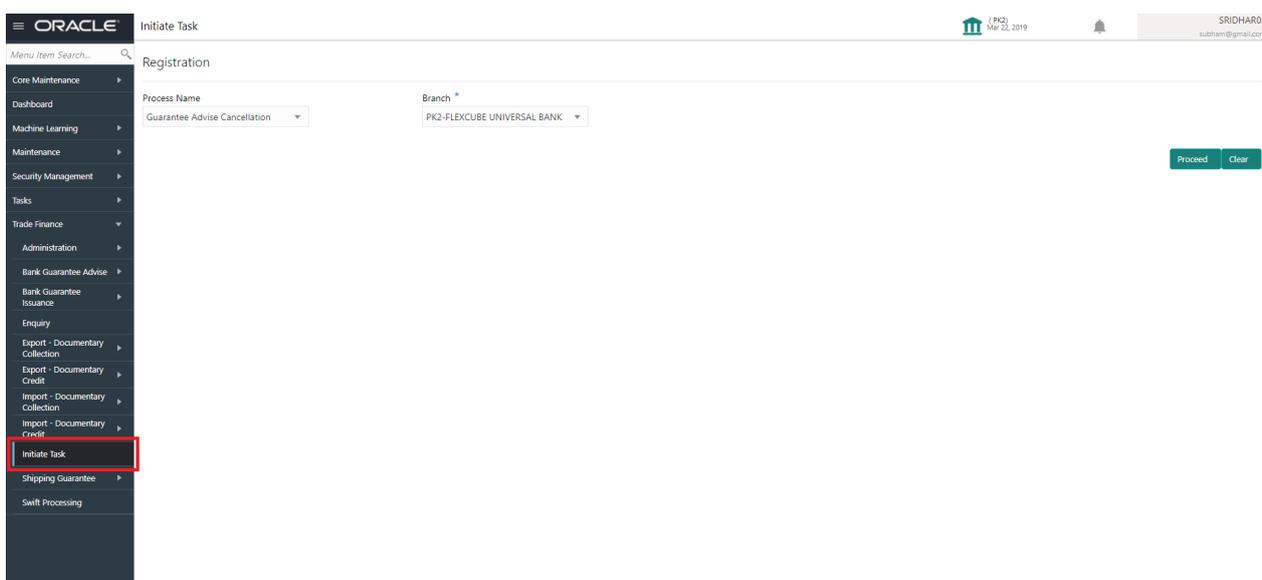
[Data Enrichment](#)

[Multi Level Authorization](#)

## Common Initiation Stage

The user can initiate the Guarantee Advise Cancellation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.

Field	Description
Clear	The user can clear the contents update and can input values again.

## Registration

The first stage of Guarantee Advise Cancellation process starts from the Registration Stage. During Registration stage, the user can register Guarantee/SBLC Cancellation request received from the Applicant. If the Guarantee Advise cancellation request is given through email or physical application form (courier), the user can update the request.

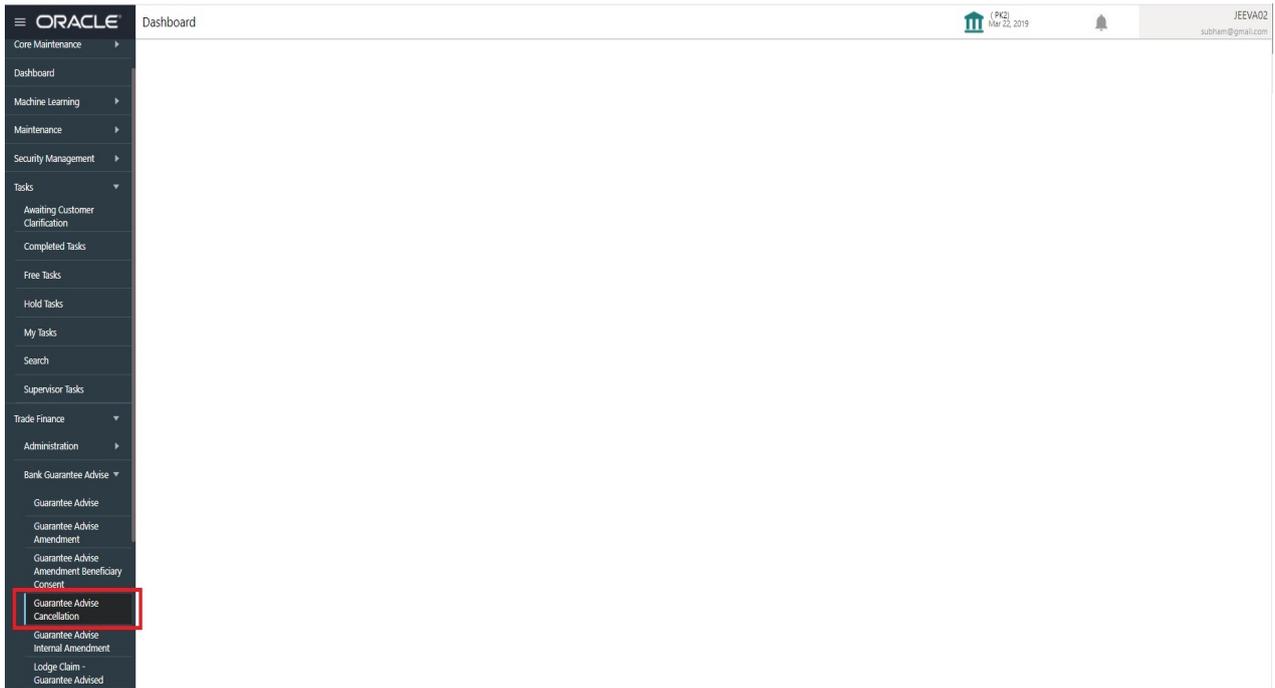
The user has the option to submit, hold, save and hold and cancel the application.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E ( of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.
3. Click **Trade Finance > Bank Guarantee Advise > Guarantee Advise Cancellation**.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the details of Registration screens below:

# Application Details

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	The user can input the Advising Reference of the Guarantee to be cancelled. Alternatively, user can search the Advising Bank Reference Number using LOV. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount.	
Beneficiary	Read only field. System will default the name of the customer as available in Guarantee Advise.	Toggle off
Branch	Read only field. System will default the branch from Guarantee Advise.	
Priority	System will default the Priority as Low/Medium/High based on maintenance. If no priority is maintained, system defaults the priority as Medium.	High
Submission Mode	Submission mode of Guarantee. Cancellation request. By default the submission mode will have the value as 'Desk'. <b>Desk</b> - Request received through Desk <b>Email</b> - Request received through Email <b>Courier</b> - Request received through Courier	Desk

Field	Description	Sample Values
Amendment Number	Read only field. Unique Amendment sequence number defaults from the back office.	
Process Reference Number	Unique sequence reference number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134
Issuing Bank	Issuing Bank Name defaults from the Guarantee Advise details.	
Cancellation Date	By default, the application will display branch's current date. User can change the date to back date, future date is not allowed.	

## Guarantee Details

The user can view the latest LC values defaulted in the respective fields. All fields displayed in Guarantee details section are read only fields.

The screenshot displays the 'Guarantee Details' form with the following fields and values:

- 22D - Form of Undertaking:** [Dropdown]
- 32B - Undertaking Amount:** GBP, £19,000.00
- 23X - Narrative:** [Text area]
- 22K - Narrative:** [Text area]
- 35G - Expiry Condition/Event:** dfggf
- 39D - Additional Amounts:** [Text area]
- 20 - Undertaking Number:** Amount In Local Currency: GBP, £19,000.00
- 40C - Applicable Rules:** None - Not subject to any rules
- 30 - Date of Issue:** May 5, 2021
- Applicant:** 001043 MARKS AND SP
- Beneficiary Consent Required:** [Radio button]
- Product Code:** GUAD
- 22A - Purpose of Message:** Advice of amendment to issued ...
- 40C - Narrative:** OTHR
- 23B - Expiry Type:** COND
- 51 - Obligor/ Instructing Party:** [Text area]
- Product Description:** Guarantee Advising
- 23X - File Identification:** [Dropdown]
- 22K - Type of Undertaking:** [Dropdown]
- Date of Expiry:** Aug 3, 2021
- Advise Through Bank:** [Text area]

Buttons at the bottom: Hold, Cancel, Save & Close, Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. Form of Undertaking defaults from Guarantee Advised.	
Undertaking Number	Read only field. Form of Undertaking number from Guarantee Advised.	
Product Code	Read only field. This field displays the product code defaulted from Guarantee Advised.	
Product Description	Read only field. This field displays the description of the product as per the product code available in Guarantee Advised.	

Field	Description	Sample Values
Undertaking Amount	Read only field. System defaults the outstanding value available in Guarantee Advised.	
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Purpose of Message	Read only field. Purpose of message defaults from Guarantee Advised.	
File Identification	Read only field. System will default the value available in Guarantee Advised.	
Narrative	Read only field. System defaults the value available in Guarantee Advised.	
Applicable Rules	Read only field. This field displays the rules of the Guarantee Advised.	
Narrative	System defaults the value available in Guarantee Advised.	
Type Of Undertaking	Read only field. System defaults the value available from Guarantee Advised details.	
Narrative	Read Only Field. System defaults the value available in Guarantee Advised.	
Date of Issue	Application will default the branch's current date in date of issue. User cannot change the defaulted date.  Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of registration.	04/13/18
Expiry Type	Read Only Field. System defaults the expiry type available in Guarantee Advised.	
Date of Expiry	Read Only Field. System defaults the expiry date available in Guarantee Advised.	
Expiry Condition/ Event	Read Only Field. System defaults the expiry condition available in Guarantee Advised.	

Field	Description	Sample Values
Applicant	Read only field. This system defaults the applicant name available in Guarantee Advised.	
Obligor/ Instructing Party	Read only field. This system defaults the value available in Guarantee Advised.	
Advice Through Bank	Read only field. System defaults the value available in Guarantee Advised.	
Additional Amounts	Additional Amount Covered as per the latest LC details is displayed.	
Beneficiary Consent Required	<p><b>Toggle on:</b> Beneficiary consent required for cancellation.</p> <p><b>Toggle off:</b> Switch off the toggle if beneficiary consent is not required for cancellation.</p>	

## Miscellaneous

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. System displays the mandatory and optional documents that are maintained in Document Maintenance. If mandatory documents are not uploaded, system should display an error on submit.	
Remarks	Provide any additional information regarding the Guarantee Advice. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View Guarantee/SBLC	Clicking on View Guarantee/SBLC button enables user to view the details of the Guarantee/ SBLC.	
Guarantee/SBLC Events	Clicking on Events button enables the user to view the snapshot of various events under the Guarantee/SBLC details.	

Field	Description	Sample Values
<b>Action Buttons</b>		
Submit	On Submit, system trigger advice to the customer and will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advice Cancellation.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request.	
Cancel	The task gets canceled and system should clear the Guarantee Advice Cancellation Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	
Verify Signature	System will display the details of Authorized signatories. The pop up box will display the signature id, signature title and image of the signature for verification.	

## Document Linkage

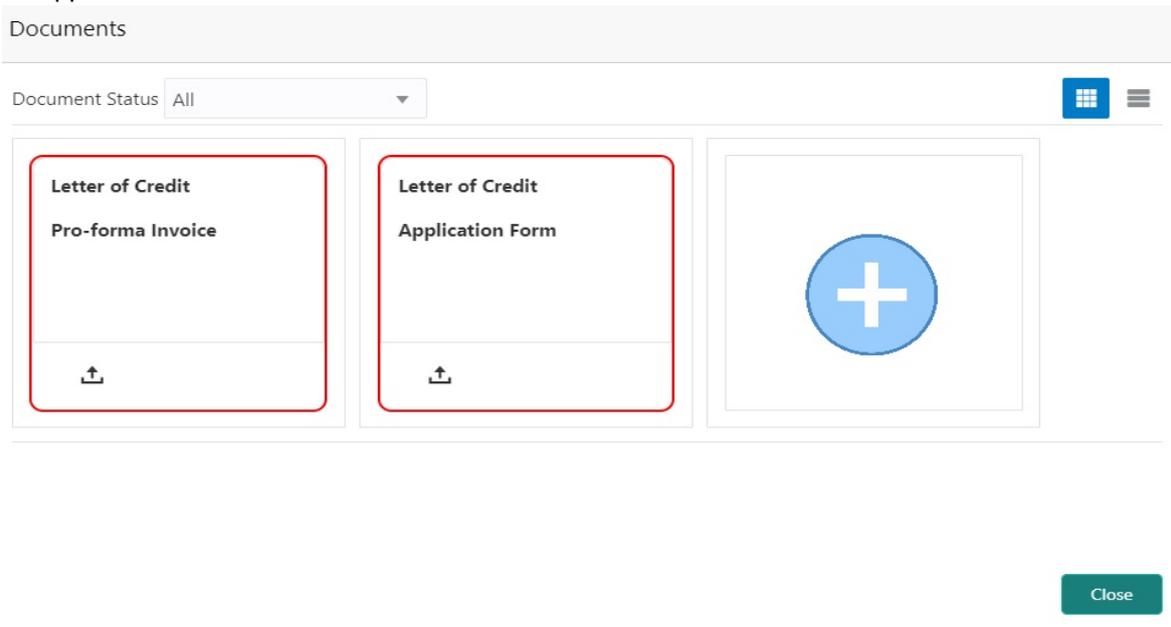
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



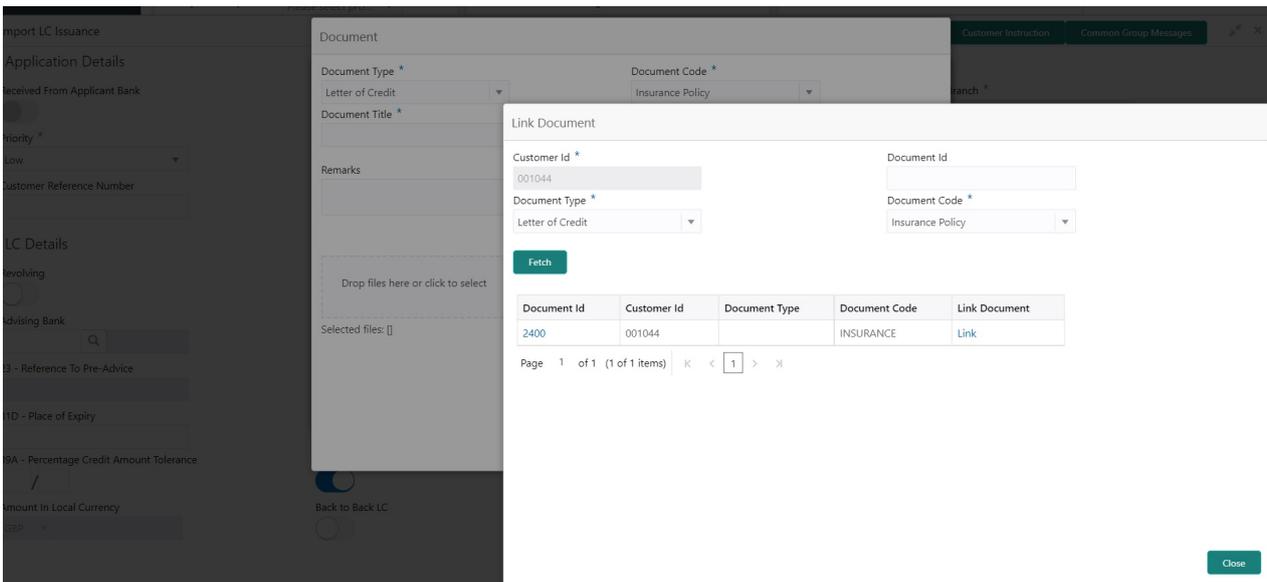
3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

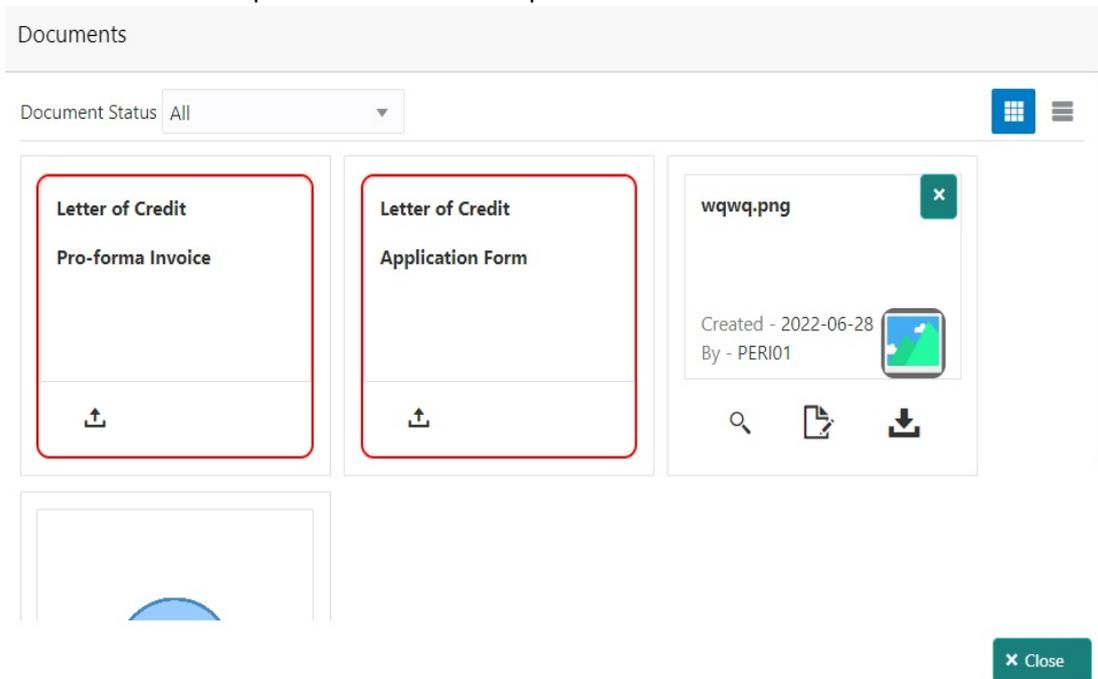


5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
<b>Search Result</b>		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.



Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

The 'Edit Document' form contains the following fields:

- Document Id: 2400
- Document Title: wqwq
- Application Reference Number: PK2ILCI000019041
- Entity Reference Number: PK2ILCI000019041
- Document Type Id: TFPM\_DOCTYPE001
- Document Description: (empty text area)
- Remarks: (empty text area)
- Document Expiry Date: Jun 29, 2022

At the bottom, there is a dashed box with the text 'Drop files here or click to select' and 'Current selected files: []'. 'Update' and 'Cancel' buttons are located at the bottom right.

## Data Enrichment

As part of Data Enrichment, user can register and update the Guarantee Cancellation request received from the Issuing Bank. If the request is received by mail/Courier, the user should be able to update the request. In case the message is received by SWIFT, then the cancellation task needs to be auto created and available for the user to handle.

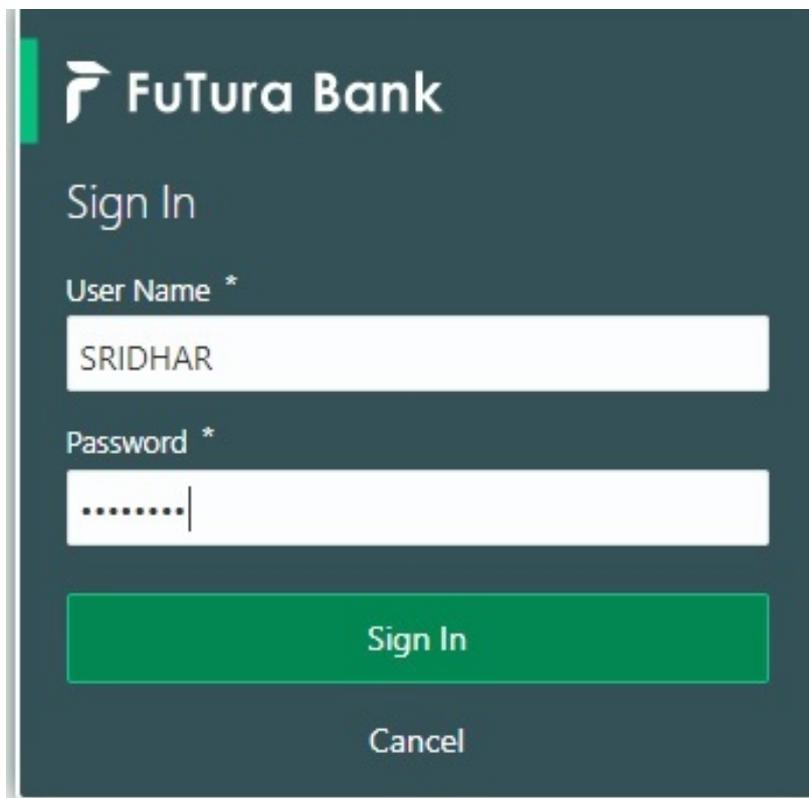


### Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

### 3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & E...	M	Guarantee Advise Cancellation	PK2GTAC000045576	PK2GTAC000045576	DataEnrichment	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045574	PK2ELCU000045574	Scrutiny	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000045572	PK2ILCI000045572	Reject Approval	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000045570	PK2ILCI000045570	Reject Approval	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000045565	PK2ILCI000045565	DataEnrichment	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Lodge Claim-Guarantee Advised	PK2GADC000045567	PK2GADC000045567	DataEnrichment	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Lodge Claim-Guarantee Advised	PK2GADC000045560	PK2GADC000045560	DataEnrichment	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045554	PK2ELCU000045554	Scrutiny	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import Documentary Collection...	PK2IDCB000045552	PK2IDCB000045552	Handoff RetryTask	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export Documentary Collection...	PK2EDCU000045548	PK2EDCU000045548	Handoff RetryTask	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045547	PK2ELCU000045547	Registration	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Drawing Update	PK2ILCU000045541	PK2ILCU000045541	Scrutiny	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	H	Export LC Advise	PK2ELCA000045532	PK2ELCA000045532	Approval Task Level 1	21-02-04	PK2	001043

### 4. Select the appropriate cancellation task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & E...	M	Guarantee Advise Cancellation	PK2GTAC000045576	PK2GTAC000045576	DataEnrichment	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045574	PK2ELCU000045574	Scrutiny	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000045572	PK2ILCI000045572	Reject Approval	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000045570	PK2ILCI000045570	Reject Approval	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	PK2ILCI000045565	PK2ILCI000045565	DataEnrichment	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Lodge Claim-Guarantee Advised	PK2GADC000045567	PK2GADC000045567	DataEnrichment	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Lodge Claim-Guarantee Advised	PK2GADC000045560	PK2GADC000045560	DataEnrichment	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045554	PK2ELCU000045554	Scrutiny	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import Documentary Collection...	PK2IDCB000045552	PK2IDCB000045552	Handoff RetryTask	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export Documentary Collection...	PK2EDCU000045548	PK2EDCU000045548	Handoff RetryTask	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Drawing Update	PK2ELCU000045547	PK2ELCU000045547	Registration	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Import LC Drawing Update	PK2ILCU000045541	PK2ILCU000045541	Scrutiny	21-02-04	PK2	001044
<input type="checkbox"/> Acquire & E...	H	Export LC Advise	PK2ELCA000045532	PK2ELCA000045532	Approval Task Level 1	21-02-04	PK2	001043

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/> Edit	M	Guarantee Advise Canc...	PK2GTAC000042650	PK2GTAC000042650	DataEnrichment	20-12-17	PK2	001044	£27,000.00
<input type="checkbox"/> Edit	M	Guarantee Advise Canc...	PK2GTAC000042649	PK2GTAC000042649	Registration	20-12-17	PK2	001044	£50,000.00
<input type="checkbox"/> Edit	M	Guarantee Advise Canc...	PK2GTAC000042647	PK2GTAC000042647	Registration	20-12-17	PK2	001044	£50,000.00
<input type="checkbox"/> Edit	M	Guarantee Issuance Ame...	PK2GTEI000042613	PK2GTEI000042613	DataEnrichment	20-12-16	PK2	001044	£1,000.00
<input type="checkbox"/> Edit	M	Guarantee Issuance Ame...	PK2GTEI000042611	PK2GTEI000042611	DataEnrichment	20-12-16	PK2	001044	£1,000.00
<input type="checkbox"/> Edit	M	Guarantee Advise Amen...	PK2GTEA000042568	PK2GTEA000042568	DataEnrichment	20-12-16	PK2	001044	£27,000.00
<input type="checkbox"/> Edit	M	Import Documentary C...	PK2IDCR000042559	PK2IDCR000042559	DataEnrichment	20-12-15	PK2	001043	£50,000.00
<input type="checkbox"/> Edit	M	Import Documentary C...	PK2IDCU000042558	PK2IDCU000042558	DataEnrichment	20-12-15	PK2	001044	£100,200.00
<input type="checkbox"/> Edit	M	Guarantee Issuance Ame...	PK2GTEI000042555	PK2GTEI000042555	DataEnrichment	20-12-15	PK2	001044	£1,000.00
<input type="checkbox"/> Edit	M	Guarantee Issuance Ame...	PK2GTEI000042551	PK2GTEI000042551	Registration	20-12-15	PK2	001044	£93,355.00
<input type="checkbox"/> Edit	M	Guarantee Amendment	PK2GTEA000042536	PK2GTEA000042536	DataEnrichment	20-12-15	PK2	001044	£2,000.00
<input type="checkbox"/> Edit	M	Import Documentary C...	PK2IDCR000042517	PK2IDCR000042517	DataEnrichment	20-12-15	PK2	001044	£2,000.00
<input type="checkbox"/> Edit	M	Import Documentary C...	PK2IDCU000042515	PK2IDCU000042515	DataEnrichment	20-12-15	PK2	001044	£999,999.00
<input type="checkbox"/> Edit	M	Import Documentary C...	PK2IDCL000042513	PK2IDCL000042513	DataEnrichment	20-12-15	PK2	000149	£1,000.00

The Guarantee Advise Cancellation - Data Enrichment stage has three sections as follows:

- Main Details
- Guarantee Preferences
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Guarantee Advise Cancellation Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

## Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

## Application Details

Refer to [Application Details](#) section of [Registration](#) stage for more information of the fields.

Guarantee Advise Cancellation - DataEnrichment :: Application No: PK2GTAC000007159

Screen (1 / 7)

<b>Main</b>	Main			
Guarantee Preference	Application Details			
Additional Fields	Advising Bank Reference Number PK2GUAD211250001	Beneficiary 001044 GOODCARE PLC	Branch PK2-Oracle Banking Trade Finan...	Priority * Medium
Advices	Submission Mode Desk	Amendment Number 3	Process Reference Number PK2GTAC000007159	Issuing Bank * 003763 CITIBANK IRELA
Additional Details	Cancellation Date May 5, 2021			
Settlement Details	Guarantee Details			
Summary	22D - Form of Undertaking DGAR - Guarantee	20 - Undertaking Number	Product Code GUAD	Product Description Guarantee Advising
	32B - Undertaking Amount GBP £22,000.00	Amount In Local Currency GBP £22,000.00	22A - Purpose of Message Advice of amendment to issued ...	23X - File Identification
	23X - Narrative	40C - Applicable Rules None - Not subject to any rules	40C - Narrative OTHR	22K - Type of Undertaking
	22K - Narrative	30 - Date of Issue May 5, 2021	23B - Expiry Type COND	Date of Expiry Aug 3, 2021
	35G - Expiry Condition/Event dfggf	Applicant 001043 MARKS AND SPI	51- Obligor/ Instructing Party	Advise Through Bank
	39D - Additional Amounts	Beneficiary Consent Required <input type="checkbox"/>		

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

## Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#).

**Guarantee Details**

22D - Form of Undertaking <input type="text"/>	20 - Undertaking Number <input type="text"/>	Product Code GUAD <input type="text"/>	Product Description Guarantee Advising <input type="text"/>
32B - Undertaking Amount GBP £19,000.00	Amount In Local Currency GBP £19,000.00	22A - Purpose of Message Advice of amendment to issued ...	23X - File Identification <input type="text"/>
23X - Narrative	40C - Applicable Rules None - Not subject to any rules	40C - Narrative	22K - Type of Undertaking <input type="text"/>
22K - Narrative	30 - Date of Issue May 5, 2021	23B - Expiry Type COND	Date of Expiry Aug 3, 2021
35G - Expiry Condition/Event dfggf	Applicant 001043 MARKS AND SPI	51 - Obligor/ Instructing Party <input type="text"/>	Advise Through Bank <input type="text"/>
39D - Additional Amounts	Beneficiary Consent Required <input type="checkbox"/>		

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

## Guarantee Preference

In this section user can enter and update the acknowledgment details and response details.

The screenshot displays the Oracle Guarantees application interface. At the top, the Oracle logo and 'My Tasks' are visible. The main header shows 'Guarantee Advise Cancellation - DataEnrichment :: Application No: PK2GTAC000043100'. A navigation bar contains buttons for 'Clarification Details', 'Overrides', 'Incoming Message', and 'View Undertaking'. The left sidebar lists navigation options: Main, Guarantees Preference (selected), Additional Fields, Advices, Additional Details, Settlement Details, and Summary. The main content area is titled 'Guarantee Preference' and contains two sections: '72-Sender to Receiver Information' with a search field for 'snd2recmt760' and a download icon, and 'MT768 - Acknowledgement Details' with a red warning: 'Applicable in case of Counter Guarantee/Counter Guarantee Issuing Bank.' Below this, there are input fields for '25 - Account Identification', 'Date of Message Ack', '32A - Amount of Charges' (set to USD \$2,300.00), and '57A - Account with Bank'. A bottom toolbar includes buttons for 'Audit', 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'. The user's name 'SRIDHAR02' and email 'subham@gmail.com' are shown in the top right corner.

Provide the Guarantee Preference based on the following table.

Field	Description	Sample Values
<b>Sender to Receiver Information</b>		
Sender to Receiver Information	Select the additional information for receiver from the LOV.	
<b>MT768- Acknowledgment Details</b>		
Account Identification	Provide the values for account identification.	
Date of Message Ack	Read Only. System defaults the current system date as date of message acknowledgment.	
Amount of Charges	Provide the values for the amount of charges.	
Account with Bank	User can enter the account with bank details.	
Charge Details	Provide the details of charges if applicable.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	<p>Clicking on View Undertaking button enables user to view the details of the undertaking.</p>	

## Additional Fields

In this step system defaults the Additional details based on the Additional fields maintained in the system.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	<p>On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

## Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The screenshot displays the Oracle system interface for 'Guarantee Advise Cancellation - DataEnrichment :: Application No: PK2GTAC000043100'. The 'Advices' section is active, showing five advice cards:

- Advice : GUA\_AMD\_INSTR**  
Advice Name : GUA\_AMD\_INSTR  
Advice Party : BEN  
Party Name : GOODCARE PLC  
Suppress : YES  
Advice
- Advice : GUAR\_RELEASE**  
Advice Name : GUAR\_RELEASE  
Advice Party : ISB  
Party Name : CITIBANK IRELAND  
Suppress : NO  
Advice
- Advice : LC\_ACK\_AMND**  
Advice Name : LC\_ACK\_AMND  
Advice Party : ISB  
Party Name : CITIBANK IRELAND  
Suppress : NO  
Advice
- Advice : LC\_CASH\_COL\_ADV**  
Advice Name : LC\_CASH\_COL\_ADV  
Advice Party : ISB  
Party Name : CITIBANK IRELAND  
Suppress : NO  
Advice
- Advice : PAYMENT\_MESSAGE**  
Advice Name : PAYMENT\_MESSAGE  
Advice Party :  
Party Name :  
Suppress : NO  
Advice

The interface also features a navigation menu on the left (Main, Guarantee Preference, Additional Fields, Advices, Additional Details, Settlement Details, Summary) and a bottom toolbar with buttons for Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

The user can also suppress the Advice, if required.

Advice Details x

▲ Advice Details  
 Suppress Advice

Party ID: 001044  
 Advice Name: GUA\_AMD\_INSTR  
 Medium:   
 Advice Party: BEN  
 Party Name: GOODCARE PLC

▲ FFT Code + -

Select	FFT Code	FFT Description
No data to display.		

▲ Instructions + -

OK Cancel

Field	Description	Sample Values
Suppress Advice	<p><b>Toggle on:</b> Switch on the toggle if advice is suppressed.</p> <p><b>Toggle off:</b> Switch off the toggle if suppress advice is not required.</p>	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC advise. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC advise. User can update if required.	
Party Name	<p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC advise.</p>	
Free Format Text		
FTT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	



Field	Description	Sample Values
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

## Additional Details

In the Additional details section, the user can verify/input/update the additional details data segment of the Guarantee/SBLC Cancellation request.

Guarantee cancellation may have impact on the Charges & Commission section.

The screenshot displays the Oracle Banking Trade Finance application interface. The main content area is titled 'Additional Details' and contains two data tables:

Limit & Collateral	Charge Details
Contribution Currency :	Charge :
Contribution Amount :	Commission :
Limit Status :	Tax :
Collateral Currency :	Block Status :
Collateral :	
Contribution :	
Collateral Status :	

The interface also features a navigation menu on the left with options like Main, Guarantee Preference, Additional Fields, Advices, Additional Details (selected), Settlement Details, and Summary. The bottom toolbar includes buttons for Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

## Limit and Collateral

The limits and collateral details are displayed as tile. The tiles displays a list of important fields with values.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.



### Note

For Guarantee Advising MT 760, user can input the values, applicable if Advising bank confirms undertaking.

Limits and Collaterals

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
No data to display.									

Cash Collateral Details

Collateral Percentage \* 20.0

Collateral Currency and amount GBP £220.00

Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Respons
1		PK20010440017	1	100			

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
<input type="checkbox"/> PK2CDP1221100002	GBP	2023-04-20	GBP	87508	£495.00	<input type="checkbox"/> PK2CDP1221100002	<input type="checkbox"/>

Save & Close Cancel

Limit Details

Customer Id 001044

Linkage Type \* Facility

Contribution % \* 1.0

Liability Number \* PK2LIAB01

Contribution Currency GBP

Line Id/Linkage Ref No \* PK2L01SL1

Limit/Liability Currency GBP

Limits Description

Limit Check Response Available

Contribution Amount \* £220.00

Expiry Date

Limit Available Amount £999,999,903.89

Response Message The Earmark can be performed as the f

ELCM Reference Number

Verify Save & Close Close

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Plus Icon	Click plus icon to add new Limit Details.	



Field	Description	Sample Values
<p>Limit Details</p> <p>Click + plus icon to add new limit details.</p> <p>Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.</p>		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	<p>Select the linkage type.</p> <p>Linkage type can be:</p> <ul style="list-style-type: none"> <li>• Facility</li> <li>• Liability</li> </ul> <p>By default Linkage Type should be "Facility".</p>	
Contribution%	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Liability Number	<p>Click <b>Search</b> to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p>	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	<p>Click <b>Search</b> to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p> <b>Note</b></p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> <p>This field is disabled and read only, if <b>Linkage Type</b> is <b>Liability</b>.</p>	

Field	Description	Sample Values
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the <b>Liability Number</b>	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Contribution Amount	Contribution amount will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	

Provide the collateral details based on the description provided in the following table:

✕
Collateral Details

<p>Total Collateral Amount *  <input style="width: 90%;" type="text" value="\$67.00"/></p> <p>Sequence Number  <input style="width: 90%;" type="text" value="2.0"/></p> <p>Collateral Contribution Amount *  <input style="width: 90%;" type="text" value="\$67.00"/></p> <p>Settlement Account Currency  <input style="width: 90%;" type="text" value="GBP"/></p> <p>Contribution Amount in Account Currency  <input style="width: 90%;" type="text" value="£0.00"/></p> <p>Response  <input style="width: 90%;" type="text" value="VS"/></p> <p style="text-align: center;"><input type="button" value="Verify"/></p>	<p>Collateral Amount to be Collected *  <input style="width: 90%;" type="text" value="\$0.00"/></p> <p>Collateral Split % *  <input style="width: 90%;" type="text" value="100.0"/> <span style="float: right;">▼ ▲</span></p> <p>Settlement Account *  <input style="width: 90%;" type="text" value="PK1000327018"/> <span style="float: right;">🔍</span></p> <p>Exchange Rate  <input style="width: 90%;" type="text" value="1.3"/> <span style="float: right;">▼ ▲</span></p> <p>Account Available Amount  <input style="width: 90%;" type="text" value="£99,999,393,343.91"/></p> <p>Response Message  <input style="width: 90%;" type="text" value="The amount block can be performed as:"/></p>
---	---

Field	Description	Sample Values
<b>Cash Collateral Details</b>		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	

Field	Description	Sample Values
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field.  This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field.  This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field.  Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.  System populates the response on clicking the <b>Verify</b> button.	
Response Message	Detailed Response message.  System populates the response on clicking the <b>Verify</b> button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message “Defaulted Collateral Percentage modified”.</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.</p>	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

### Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Deposit Account

Deposit Available Amount

Exchange Rate

Linkage Percentage % \*

Deposit Branch

Deposit Maturity Date

Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency) \*

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Deposit Account	Click <b>Search</b> to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value.  System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	

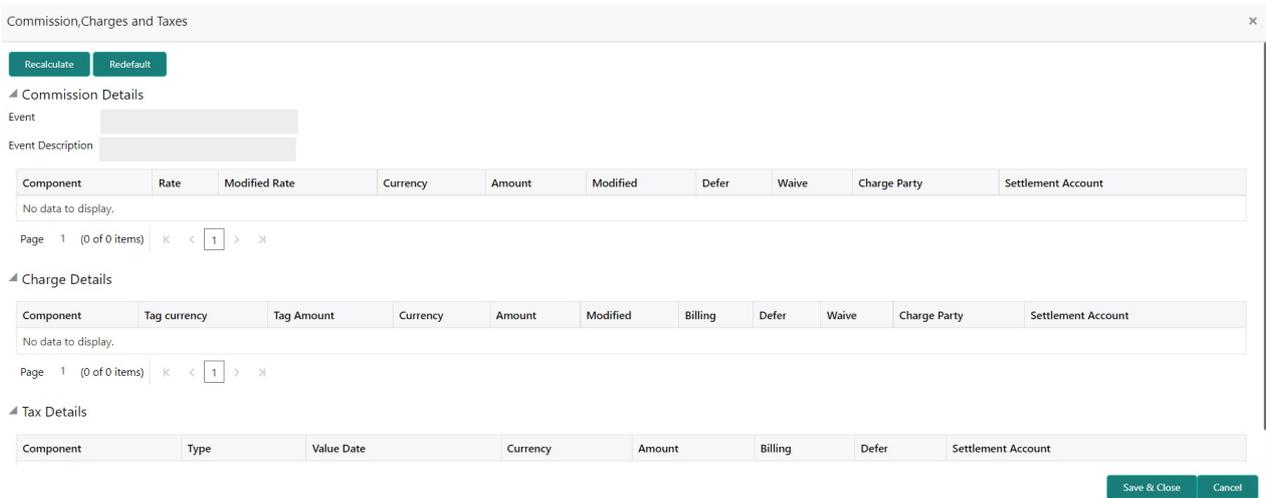
Below fields appear in the **Deposit Details** grid along with the above fields.

Deposit Currency	The currency will get defaulted in this field.	
------------------	--	--

Field	Description	Sample Values
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

## Commission, Charges and Taxes Details

After Advices, click on Next button and on landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.



## Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step. This field is disabled, if 'Defer' toggle is enabled.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

## Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same is updated in the modified amount field.	

Field	Description	Sample Values
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>Charges can not be deferred further.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	<p>Charge party will be applicant by default. You can change the value to beneficiary.</p>	
Settlement Account	<p>Details of the settlement account.</p>	

## Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	

Field	Description	Sample Values
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Cancel	Cancel the task window and return to dashboard. The data entered will not be saved.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Next	Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	

Field	Description	Sample Values
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

## Preview Message

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

Based on the Guarantee Cancellation details captured in the previous screen, the preview message simulated from the Back Office and the user can view the message.

Field	Description	Sample Values
Preview SWIFT Message		
Currency	The tax currency is the same as the commission.	
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	

Field	Description	Sample Values
Response Date	Customer Response received date.	
Default Email list	Default email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard.</p> <p>The data entered will not be saved.</p>	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Next	Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.  In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.  In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

## Settlement Details

Oracle Banking Trade Finan  
May 24, 2021

ZARTAB2  
subham@gmail.com

Guarantee Advise Cancellation  
DataEnrichment :: Application No:- PK2IGAD000011525

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

View Undertaking

Settlement Details

Current Event

Screen ( 6 / 7 )

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference
AVI_SET_LCAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AVI_SET_LCAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
CLAIM_CUST_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
CLAIM_CUST_AMT_FX	GBP	Debit	PK2003763016	CITIBANK IRELAND	GBP	No	No			
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLL_AMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes			
COLL_AMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLL_AMT_DECR	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	Yes			
COLL_AMT_INCR	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes			
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Currency Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Currency Event	System displays the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	

Field	Description	Sample Values
Deal Reference Number	The exchange deal reference number.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	

Field	Description	Sample Values
Next	Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.  Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.  In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.  In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

## Summary

User can review the summary screen of Guarantee/SBLC Advise Cancellation request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

### Tiles Displayed in Summary

- Main Details - User can view the details about application and Guarantee/Standby. User can only view but cannot edit any of the details.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot edit any of the details.
- Guarantees Details - User can view the Guarantees Details. User can only view but cannot edit any of the details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot edit any of the details.
- Limits and Collaterals - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.
- Commission, Charges, Taxes - User can view the charge details. User can only view but cannot edit any of the details.
- Additional Fields - User can view the UDF maintained.
- Preview Message - User can have a preview of the message.
- Advices - User can view the advices details.
- Accounting Details - User can view the accounting entries generated by back office system.



#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Submit	<p>Task will get moved to next logical stage of Guarantee Advise Cancellation.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.  In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.  In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

## Multi Level Authorization

The Approval user can approve a Guarantee Cancellation request.

As an approver user, log in into OBTFPM application the Guarantee/SBLC Cancellation task should be available in the Free Task. The user can acquire the task.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Re-Key Authorization

If rekey authorization set up is available, then on clicking Acquire, the task will land on the rekey authorization screen otherwise the task will land on the summary screen.

Approval Rekey

Documents Remarks

Undertaking Amount

£25,000.00 ✓

Undertaking Currency

GBP ✓

Refer Close Proceed

The user can view the details of multilevel approval stage of Guarantee Cancellation request in the Summary screen.

Click Next to view the Summary

### Documents and Checklist: Documents:

The approver user can view the uploaded documents and verify the same. Verify the uploaded documents.

**Checklist:** The approver user can verify the uploaded documents.

**Remarks:** The approver user can view the remarks captured during various stages.

**Incoming Message:** As approval user, I should be able to view the incoming message, if the process is initiated through STP of incoming MT 767.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others. The user would be able to select a Reject code and give a Reject Description</li> </ul> <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes.</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>
Back	<p>On click <b>Back</b>, user navigates to previous step.</p>

## Approval Summary Screen

The screenshot shows the Oracle Approval Summary Screen for a KYC Exceptional approval. The application number is PK2GTAC000043100. The screen is divided into several summary tiles:

- Main:** SBLC/Guarantee Type : BILL, Submission Mode : Desk, Date of Issue : 2019-03-22
- Guarantee Preference:** FFT Code 1, FFT Code 2
- Additional Fields:** Click here to view Additional fields
- Advices:** Advice 1 : GUA\_AMD\_IN, Advice 2 : GUAR\_RELEASE, Advice 3 : LC\_ACK\_AMND, Advice 4 : LC\_CASH\_CO, Advice 5 : PAYMENT\_ME
- Limits and Collaterals:** Limit Currency, Limit Contribution, Limit Status : Not Verified, Collateral Currency : GBP, Collateral Contr. : 2000, Collateral Status : Not Verified
- Commission, Charges and Taxes:** Charge : GBP100, Commission, Tax, Block Status : Not Initia
- Preview Message:** Language : ENG, Preview Message

Navigation buttons at the bottom include: Audit, Reject, Refer, Hold, Approve, Back, Next.

### Tiles Displayed in Summary

- Main Details - User can view the details about application and Guarantee/Standby. User can only view but cannot edit any of the details.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot edit any of the details.
- Guarantee Details - User can view the Guarantee Details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot edit any of the details.
- Limits and Collaterals - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.
- Commission, Charges, Taxes - User can view the charge details. User can only view but cannot edit any of the details.
- Additional Fields - User can view the UDF maintained.
- Preview Message - User can have a preview of the message.
- Advices - User can view the advices details.

### Handoff:

On Approve, the task is handed off to the back office (LCDGUAMD) for postings. In the back office, the relevant accounting entries are posted, advices are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task lands to retry handoff queue. The user can manually try to initiate handoff.

## A

Acknowledgement Details .....	15
Action Buttons .....	10, 15, 17, 20, 24
Additional Details .....	21
Action Buttons .....	24, 27
Additional Fields .....	17
Action Buttons .....	17
Advices .....	18
Action Buttons .....	20
Application Details .....	5, 13
Approval Summary .....	33
Approval Summary Screen .....	33

## B

Benefits .....	1
----------------	---

## C

Charge Details .....	22
Commission Details .....	23
Common Initiation Stage .....	2
Action Buttons .....	2

## D

Data .....	10
Data Enrichment .....	10

## G

Guarantee Details .....	6
Guarantee Preference .....	15
Action Buttons .....	16
Guarantee Preferences	
Demand Indicator .....	18

## H

Handoff .....	33
---------------	----

## K

Key Features .....	1
--------------------	---

## M

Main Details .....	12
Action Buttons .....	13
Application Details .....	13
Guarantee Details .....	13
Miscellaneous .....	9
Multi Level Authorization .....	30

## O

Overview .....	1
----------------	---

## P

Preview – SWIFT and Advise .....	26
Preview Message .....	26

## R

Registration .....	3
Action Buttons .....	9
Guarantee Details .....	10
Re-Key Authorization .....	30

## S

SBLC/ Guarantee Details .....	13
Scrutiny	
Summary .....	24
Summary .....	28
Action Buttons .....	29, 32
Summary .....	28, 33

## T

Tax Details .....	24
Tiles Displayed in Summary	
.....	33

### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

### Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

### Feedback and Support

Oracle welcomes customers' comments and suggestions on the quality and usefulness of the document. Your feedback is important to us. If you have a query that is not covered in this user guide or if you still need assistance, please contact documentation team.