

Guarantee SBLC Issuance Claim Settlement - Islamic User Guide
**Oracle Banking Trade Finance Process
Management**

Release 14.7.0.0.0

Part No. F73628-01

November 2022

Oracle Banking Trade Finance Process Management - Guarantee SBLC Issuance Claim Settlement - Islamic User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Issuance Claim Settlement - Islamic

The claim requested for Guarantee/SBLC can be settled through the Settlement Process.

The various stages involved for Claim Settlement of Guarantee Issued are:

- Receive and verify documents (Non Online Channel) - Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents
- Verify documents and capture details (Online/Non Online Channels)- Data Enrichment stage
- Check balance availability for amount block
- Check for sanctions & KYC status
- Create amount block for charges
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Issuance Claim Settlement process flow is similar to that of conventional Guarantee issuance process flow.

This section contains the following topics:.

[Common Initiation Stage](#)

[Registration](#)

[Bi-Directional Flow](#)

[Multi Level Approval](#)

Common Initiation Stage

The user can initiate the new update a claim lodged under a Islamic Guarantee/SBLC Issued request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot displays the Oracle OBTFPM application interface. The top navigation bar includes the Oracle logo, the page title 'Initiate Task', and user information: '(DEFAULTTENITY)', 'Oracle Banking Trade Finan...' (dated May 3, 2021), and 'ZARTAB01 subham@gmail.com'. The main content area is titled 'Registration' and contains two dropdown menus: 'Process Name' (selected: 'Guarantee Issuance Claim Settl...') and 'Branch *' (selected: 'PK2-Oracle Banking Trade Finan...'). To the right of these fields are 'Proceed' and 'Clear' buttons. The left sidebar menu is expanded to show 'Initiate Task' under the 'Trade Finance' category.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

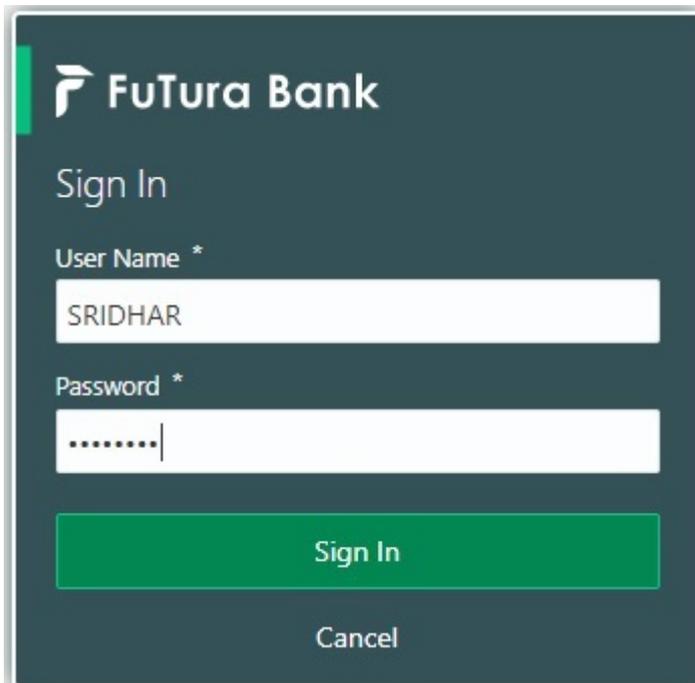
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

During the Registration stage, the user can register settlement of claim under a Guarantee/SBLC Issued.

In this stage the user can initiate Settlement of Claim under a Guarantee/ SBLC. The user can capture the basic details of the application.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

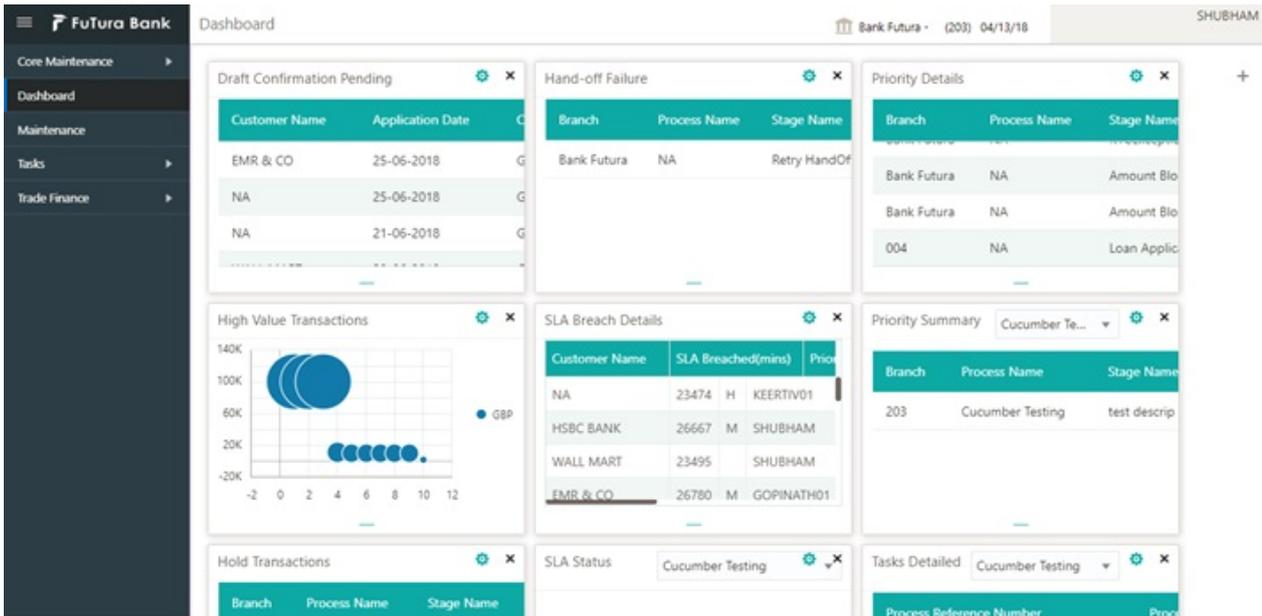
Password *

.....

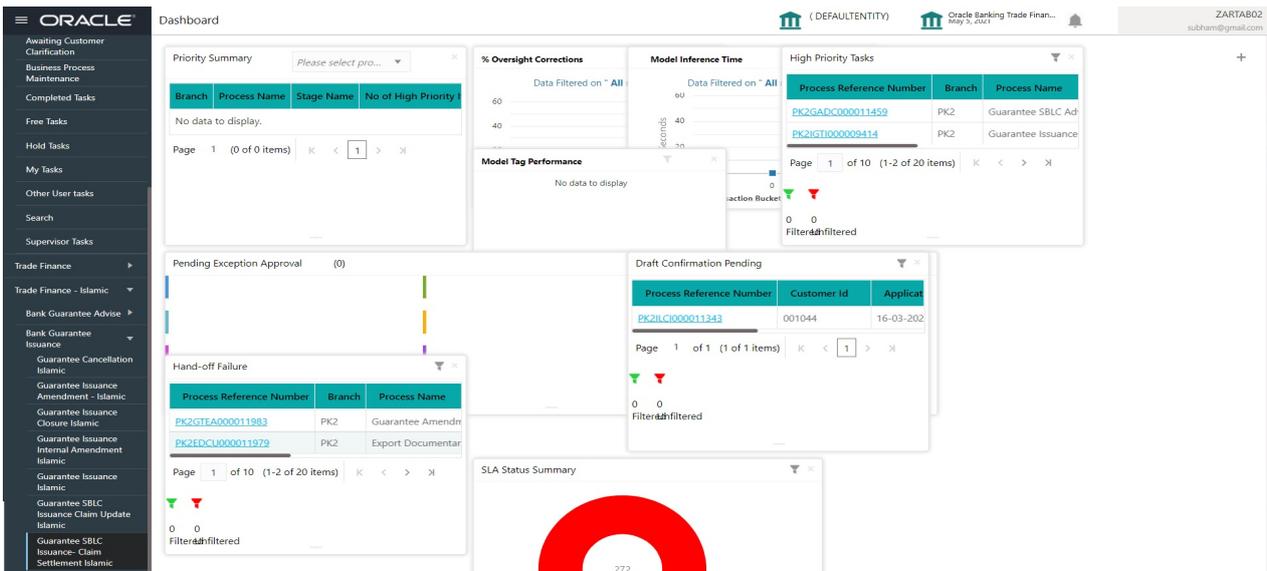
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance - Islamic > Bank Guarantee Issuance > Guarantee SBLC Issuance - Claim Settlement - Islamic.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

Application Details

Guarantee SBLC Issuance- Claim Settlement Islamic

Documents Remarks Customer Instruction

Application Details - Main

Guarantee/SBLC Number PK2GLIS211250002	Claim Serial Number 3	Beneficiary ID/Name * 001043 MARKS AND SP	Branch PK2-PK2-Oracle Banking Trade F...
Process Reference Number PK2IGIC000012024	Priority Medium	Submission Mode Desk	Claim Settlement Date May 5, 2021
Beneficiary Reference Number	Issuing Bank	Issuing Bank Reference Number	Version 1

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

Guarantee Type	30 Date of Issue May 5, 2021	Purpose of Message ISCO	23B Expiry Type OPEN
31E Date of Expiry Aug 3, 2021	Claim Date May 5, 2021	Claim Expiry Date Aug 13, 2021	Outstanding Currency/ Amount * GBP £10,000.00
40C Applicable Rules URDG - Uniform rules for dema...	Applicant Bank	50 Applicant 001044 GOODCARE PLC	59A Beneficiary 001043 MARKS AND SP
Advising Bank	Advise Through Bank	Counter Guarantee Issuing Bank 001041 WELLS FARGO L	Local Guarantee Issuing Bank
Claim Amount GBP £1.00	Claim Payment Amount GBP	Unlinked FX rate	

Hold Cancel Save & Close Submit

The request is received at the Branch/ Front office or Processing centre. The user should be able to input the following details.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Guarantee/SBLC Number	User can enter the undertaking number. The user can also search the undertaking number through LOV search.	
Claim Serial Number	User can enter the claim serial number to which update has to be done.	
Beneficiary ID/ Name	Read only field. System defaults the Beneficiary ID/ Name from Guarantee/ SBLC claim.	001345
Branch	Customer's home branch will be displayed. Read only field. System defaults the branch name from Guarantee/ SBLC Issuance.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Fax - Request received through Fax Email - Request received through Email	Desk
Claim Settlement Date	By default, the application will display branch's current date for the claim settlement date. Read only field.  Note Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	Read only field. System defaults the Beneficiary Reference Number from Guarantee/ SBLC claim.	
Issuing Bank	Read only field.	
Issuing Bank Reference Number	Read only field.	203GTEISS000 001134
Version	System defaults the version number.	

Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	ADVP

Field	Description	Sample Values
Date of Issue	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	04/13/18
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ SBLC Issuance.	
Expiry Type	Read only field. System defaults the expiry type from Guarantee/ SBLC Issuance.	
Date Of Expiry	Expiry date of the Guarantee Issuance. System defaults the expiry date from Guarantee/ SBLC Issuance.	09/30/18
Claim Date	System defaults the claim date from Guarantee/ SBLC Issuance.	04/13/2018
Claim Expiry Date	System defaults the claim expiry date from Guarantee/ SBLC Issuance.	04/13/2018
Outstanding Currency/ Amount	System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Issuance.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ SBLC Issuance.	001345 Nestle
Applicant	Read only field. System defaults the applicant from Guarantee/ SBLC Issuance.	001345 Nestle
Beneficiary	Read only field. System defaults the beneficiary from Guarantee/ SBLC Issuance.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank if available.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available.	Advising Bank Reference
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank if available.	

Field	Description	Sample Values
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank if available.	
Claim Amount	Read only field. System defaults the claim amount from the Guarantee Claim Lodgment.	
Claim Payment Amount	User can enter the claim payment amount.	
Unlinked FX rate	If claim currency is different from local currency system will display the unlinked FX rate.	

Miscellaneous

Guarantee SBLC Issuance- Claim Settlement Islamic

Documents Remarks Customer Instruction

Application Details - Main

Guarantee/SBLC Number: PK2GLIS211250002

Process Reference Number: PK2IGIC000012024

Beneficiary Reference Number:

Claim Serial Number: 3

Priority: Medium

Issuing Bank:

Beneficiary ID/Name: 001043 MARKS AND SP

Submission Mode: Desk

Issuing Bank Reference Number:

Branch: PK2-PK2-Oracle Banking Trade F...

Claim Settlement Date: May 5, 2021

Version: 1

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

Guarantee Type:

31E Date of Expiry: Aug 3, 2021

40C Applicable Rules: URDG - Uniform rules for dema...

Advising Bank:

Claim Amount: GBP £1.00

30 Date of Issue: May 5, 2021

Claim Date: May 5, 2021

Applicant Bank:

Advise Through Bank:

Claim Payment Amount: GBP

Purpose of Message: ISCO

Claim Expiry Date: Aug 13, 2021

50 Applicant: 001044 GOODCARE PLC

Counter Guarantee Issuing Bank: 001041 WELLS FARGO L

Unlinked FX rate:

23B Expiry Type: OPEN

Outstanding Currency/ Amount: GBP £10,000.00

59A Beneficiary: 001043 MARKS AND SP

Local Guarantee Issuing Bank:

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	User can upload the claim documents. Application will display the mandatory and optional documents.	
Remarks	User can enter the additional information regarding the Claim Guarantee Issuance. This information can be viewed by other users in other stages of the process. Content from Remarks Field should be handed off to Remarks field in Backend application.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
View Guarantee/SBLC	User can view all the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Guarantee/Standby LC.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	The Task gets cancelled and system should clear the details captured in the screen. The task will be deleted.	
Save & Close	<p>Save the information provided and holds the task in 'My Task' for working later.</p> <p>This option will not submit the request.</p>	
Submit	<p>On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee/ SBLC Claim.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> <ol style="list-style-type: none"> 1. Signatures on Claim verified 2. Mandatory claim Documents received 	

Bi-Directional Flow

1. In OBTFPM, user clicks on **Request Clarification**, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online". In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder.
2. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.

3. In case submission mode is not “Online”, and if the “Trade Finance Portal” flag is set to ‘No’ in Customer Maintenance Table, the system should display the error message that ‘The customer is not subscribed to Trade Finance Portal’.
4. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

Data Enrichment

On successful completion of Registration of a Guarantee SBLC Claim settlement request, the request moves to Data Enrichment stage. At this stage the bank user can update the various claim fields. The user can input the transaction details.

A Data Enrichment User can input/Update basic details of the incoming claim Settlement request. At this stage the gathered information during Registration stage and claim settlement request are scrutinized.



Note

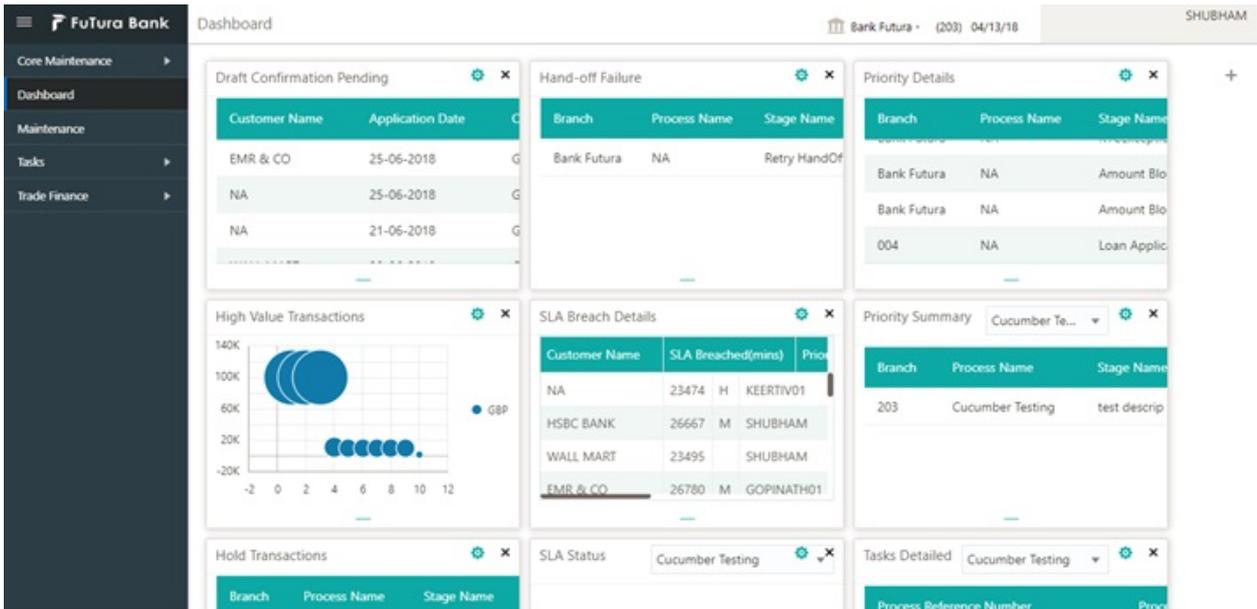
For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Data Enrichment stage:

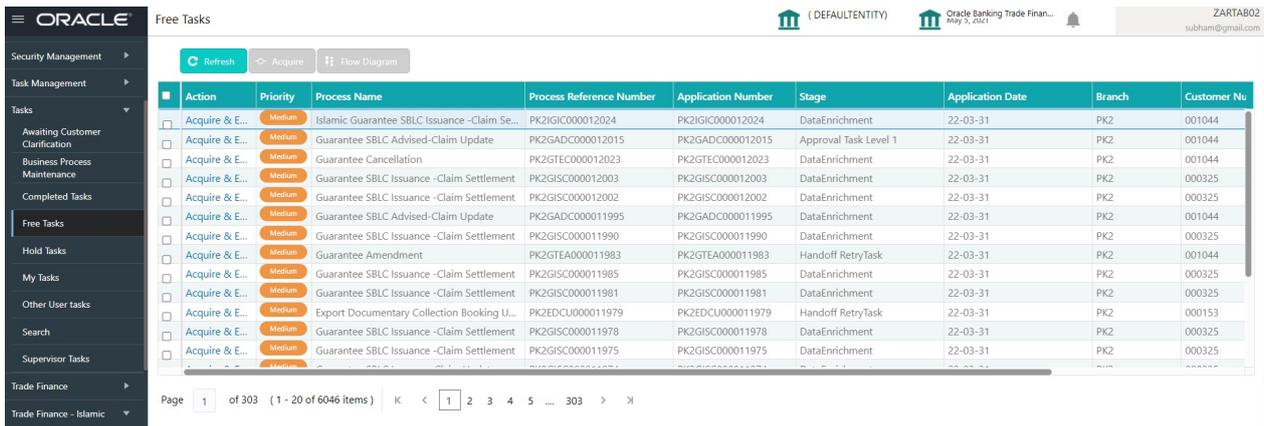
1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.

A screenshot of the FuTura Bank login interface. The header shows the FuTura Bank logo and name. Below it is the text "Sign In". There are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters ".....". A green "Sign In" button is located at the bottom of the form.

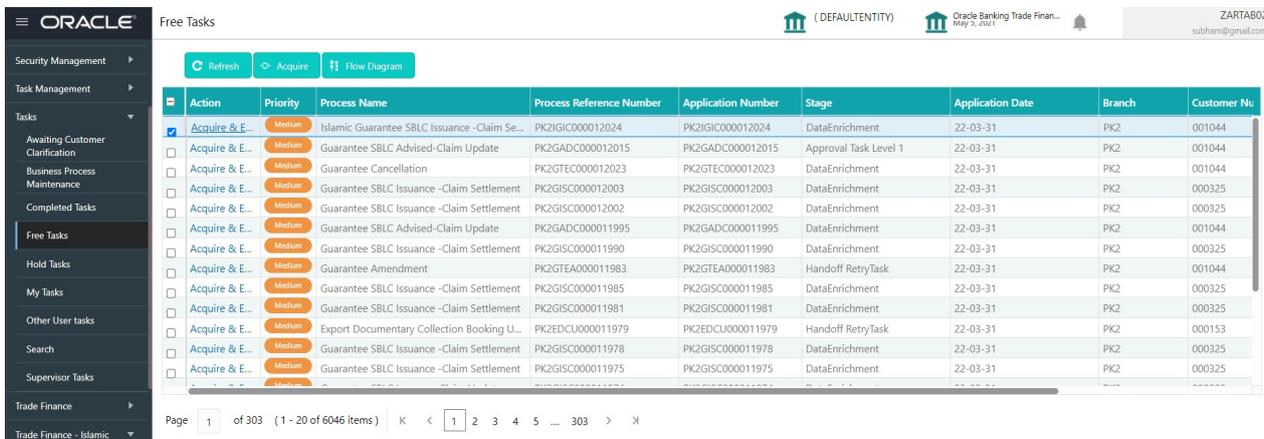
2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Tasks > Free Tasks**.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.



5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amc
<input checked="" type="checkbox"/>	Medium	Islamic Guarantee SBLC Issuance -Claim Set...	PK2IGIC000012024	PK2IGIC000012024	DataEnrichment	22-03-31	PK2	001044	
<input type="checkbox"/>	Medium	Islamic Guarantee Advice Closure	PK2IGIC000011999	PK2IGIC000011999	Approval Task Level 1	22-03-31	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Cancellation Islamic	PK2IGIC000011961	PK2IGIC000011961	DataEnrichment	22-03-31	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Update Isla...	PK2IGICU000011844	PK2IGICU000011844	Approval Task Level 1	22-03-29	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Advise Internal Amendment Isla...	PK2IGIA000011779	PK2IGIA000011779	DataEnrichment	22-03-28	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance -Claim Settlement	PK2GISC000011777	PK2GISC000011777	DataEnrichment	22-03-28	PK2	000325	
<input type="checkbox"/>	--	Guarantee Advise Internal Amendment Isla...	PK2IGIA000011776	PK2IGIA000011776	Registration	22-03-28	PK2	001044	
<input type="checkbox"/>	Medium	Islamic ExportLC Amendment BeneficiaryC...	PK2IETB000011585	PK2IETB000011585	DataEnrichment	22-03-23	PK2	001204	
<input type="checkbox"/>	Medium	Islamic ExportLC Amendment BeneficiaryC...	PK2IETB000011582	PK2IETB000011582	DataEnrichment	22-03-22	PK2	001204	
<input type="checkbox"/>	High	Guarantee SBLC Advised -Claim Settlement	PK2GADC000011460	PK2GADC000011460	Approval Task Level 1	22-03-19	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Amendment	PK2GTEA000011389	PK2GTEA000011389	DataEnrichment	22-03-17	PK2	001044	
<input type="checkbox"/>	Medium	Islamic Export Documentary Collection Ret...	PK2IEDC000011384	PK2IEDC000011384	Approval Task Level 1	22-03-17	PK2	001044	
<input type="checkbox"/>	--	Import LC Amendment	PK2ILCA000011376	PK2ILCA000011376	Registration	22-03-17	PK2	001044	

The Data Enrichment stage has five sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of settlement of claim under Guarantee/SBLC - Data Enrichment Stage.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) in the Registration stage for more information of the fields.

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee/ SBLC Claim update DE stage inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

Field	Description	Sample Values
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	

Field	Description	Sample Values
Documents	Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

Advices

A Data Enrichment User can verify the advices details data segment of the incoming claim Settlement request. This section defaults the advices maintained for the product based on the advices maintained at the Product level.

Islamic Guarantee SBLC Issuance -Claim Settlement
DataEnrichment :: Application No:- PK2IGIC000012024

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Advices
Advice : GUA_PAY_ADV

Advice Name : GUA_PAY_ADV
Advice Party : ABR
Party Name : RBS PLC
Suppress : NO
Advice

Screen (3 / 6)

Audit

Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details x

Suppress Advice
 Advice Name: GUA_CLAIM_ADV
Medium: MAIL
Advice Party: APP

Party ID: 001044
Party Name: GOODCARE PLC

FFT Code + -

No data to display.

Instructions OK Cancel

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	<p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC Issuance.</p>	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
Action	<p>Click Delete icon to remove any existing FFT code.</p> <p>Click Edit icon to edit the existing FFT code.</p>	

Instruction Details

Field	Description	Sample Values
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
Action	Click Delete icon to remove any existing instruction code. Click Edit icon to edit the existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p>	
View Undertaking	<p>Clicking this button allows the user to view the undertaking details.</p>	

Additional Details

A DE user can verify and enter the basic additional details available in the Claim Settlement request. In case the request is received through online channel user will verify the details populated. As a part of Additional details section, Guarantee /Standby claim settlement may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

The screenshot shows the Oracle claim settlement system interface. The main content area is titled 'Additional Details' and contains four tabs: 'Limit & Collateral', 'Charge Details', 'Preview Message', and 'Payment Details'. Below these tabs are several data entry fields for each section. The 'Limit & Collateral' section includes fields for Contribution Currency, Contribution Amount, Limit Status, Collateral Currency, Collateral, Contribution, and Collateral Status. The 'Charge Details' section includes Charge, Commission, Tax, and Block Status. The 'Preview Message' section includes Language and Preview Message. The 'Payment Details' section includes Component, Contract Currency, and Amount. There is also an 'FX Linkage' section with fields for FX Reference Number, Contract Currency, and Linked Amount. The interface includes a navigation menu on the left and a bottom toolbar with buttons for 'Audit', 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Commission, Charges and Taxes

On landing the Additional Details section, the default commission, charges and tax if any will get populated. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

The screenshot shows the 'Charge Details' pop-up window. It features buttons for 'Recalculate' and 'Redefault'. The window is divided into three sections: 'Commission Details', 'Charge Details', and 'Tax Details'. Each section contains a table with columns for Component, Rate, Modified Rate, Currency, Amount, Modified, Defer, Waive, Charge Party, and Settlement Account. The 'Charge Details' table shows two rows of data for LCGCLM with a tag amount of £50.00. The 'Tax Details' section is currently empty, showing 'No data to display.' The window also includes a pagination control and a 'Save & Close' button.

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Collateral

System displays the collateral mapped at the time of Guarantee Issuance.

Limits and Collaterals x

▲ Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
No data to display.									

▲

Cash Collateral Details

Collateral Percentage * Collateral Currency and amount Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Respons
1		PK20010440017	1	100			

Provide the collateral details based on the description provided in the following table:

Collateral Details
✕

Total Collateral Amount * <input style="width: 90%;" type="text" value="£90,000.00"/>	Collateral Amount to be Collected * <input style="width: 90%;" type="text" value="£90,000.00"/>
Sequence Number <input style="width: 90%;" type="text" value="2.0"/>	Collateral Split % * <input style="width: 80%;" type="text" value="75.0"/> ▼ ▲
Collateral Contribution Amount * <input style="width: 90%;" type="text" value="£67,500.00"/>	Settlement Account * <input style="width: 90%;" type="text" value="PK20010440017"/> 🔍
Settlement Account Currency <input style="width: 90%;" type="text" value="GBP"/>	Exchange Rate <input style="width: 80%;" type="text" value="1"/> ▼ ▲
Contribution Amount in Account Currency <input style="width: 90%;" type="text"/>	Account Available Amount <input style="width: 90%;" type="text" value="£999,999,999,957,803,300.00"/>
Response <input style="width: 90%;" type="text" value="VS"/>	Response Message <input style="width: 90%;" type="text" value="The amount block can be performed as:"/>

Verify
✔ Save & Close
✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	

Field	Description	Sample Values
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	
Below fields appear in the Cash Collateral Details grid along with the above fields.		
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Account Balance Check Response	Response for account balance check is defaulted in this field.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	

Field	Description	Sample Values
Edit Link	Click edit link to edit any existing Collateral Details.	

Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

The screenshot shows a 'Preview Message' window with two main sections:

- Preview - SWIFT Message:** Includes dropdowns for 'Language' (English) and 'Message Type' (760). The preview area shows a SWIFT message body with fields like (1:F01AAEMNL21AXXX111111111), (2:1760RBOSGB2LXXXXN), (3:(108:12621607875104)), (4:), :15A:, :27:1/1, :22A:SSU, :15B:, :20:PK2GUIR19126BOKT, :30:190506, :22D:DGAR, :40C:URDG, :23B:FIXD, :31E:210630, :50:MARKS AND SPENCER, and MARGUS2SXXX.
- Preview - Mail Advice:** Includes dropdowns for 'Language' (English) and 'Advice Type' (MARKS AND SPENCER). The preview area shows 'GUARANTEE CLAIM ADVICE' with details: Branch Name (FLEXCUBE UNIVERSAL BANK), Branch Address 1 (Unit 1), Branch Address 2 (Block A), Branch Address 3 (California), Country (GB), Date (06-MAY-19), and PAGE: 1. The 'TO' field lists APPLICANT (MARKS AND SPENCER), Address 1 (MARGUS2SXXX), and Address 2.

Buttons for 'Save & Close' and 'Close' are visible at the bottom right.

The Preview section consists of following.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Preview Message	This toggle enables the user to select if draft confirmation is required or not	

Payment Details

As part of DE, the bank user can capture the payment details under a claim. The user can also be able to input the transaction details.

The user can scrutinize the claim settlement request and input data as required.

Provide the payment details based on the description in the following table:

Field	Description	Sample Values
Liquidate using Collateral	If the claim settlement has to be paid, vide collateral the user has to select the Liquidate using collateral. User can liquidate using collateral only if collateral has been mapped at the time of Guarantee Issuance. In case collateral not mapped during issuance, user cannot add collaterals and use the same during liquidation.	
Outstanding Collateral Amount	Read Only field. System defaults the outstanding collateral amount (if mapped).	
Settle Available Amount	If partial settlement amount is debited from applicant and the balance have to be settled against loan, user to select this option.	
Split Settlement	Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill	Disable
Settlement Details		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	

Field	Description	Sample Values
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Branch	Application displays the branch of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate for the split settlement.	
Deal Reference Number	The exchange deal reference number.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Plus Icon 	Click plus icon to add new split settlement details record.	
Icon 	Click minus icon to remove any existing split settlement details record.	
Select	Check box to select the record	
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Currency	Currency for the split settlement.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	

Field	Description	Sample Values
Exchange Rate	The exchange rate for the split settlement.	
Deal Reference Number	The exchange deal reference number.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the Guarantee/SBLC Claim Settlement. FX Linkage call should be triggered on DE-submit.

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
000FNDF20076AGPT	USD	GBP	£10,000.00	7.1055 5556	£10,000.00			Mar 23, 2020	

Page 1 of 1 (1 of 1 items) |

Average FX Rate
0

FX Reference Number *
000FNDF20076A9NB

Contract Amount
USD \$100.00

Linkage Amount *
USD \$100.00

Amount in Contract Currency
76.34

FX Delivery Period From

Currency
USD

Available Contract Amount
USD \$100.00

Rate
1.31

FX Expiry Date
Mar 20, 2020

FX Delivery Period To

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at guarantee would be as follows,</p> <ul style="list-style-type: none"> • Counterparty of the FX contract should be the counterparty of the Guarantee Claim. • Active Forward FX transactions authorized not marked for auto liquidation. <p>Guarantee Claim currency should be Sold currency for claim settlement for Guarantees Issued.</p>	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available ContractAmount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linkage Amount	<p>Sum of Linked amount will not be greater than Guarantee Claim Settlement amount.</p> <p>Linked amount will not be greater than the available amount for linkage.</p>	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
Current Utilized amount	This field displays the the already utilized amount. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	

Field	Description	Sample Values
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

Settlement Details

A DE user can verify and enter the basic settlement details available in the Claim Settlement request. In case the request is received through online channel user will verify the details populated.

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference Num
AGLIS_COM1_LIQD		No	PK20010440017		GBP	No	No			
AGLIS_COMM_LIQD		No	PK20010440017		GBP	No	No			
AVL_SET_LCAMT		No	PK20010440017		GBP	No	No			
AVL_SET_LCAMTEQ		No	PK20010440017		GBP	No	No			
COLLAMT_OSEQ		No	PK20010440017		GBP	No	No			
COLL_AMNDAMTEQ		No	PK20010440017		GBP	No	No			
COLL_AMTEQ		No	PK20010440017		GBP	No	No			
COLL_AMT_DECR		No	PK20010440017		GBP	No	No			
COLL_AMT_INCR		No	PK20010440017		GBP	No	No			
COLL_AVALAMTEQ		No	PK20010440017		GBP	No	No			

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Read only field. System defaults the value from Guarantee /SBLC claim.	
Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	
Debit/Credit	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Description	Read only field. System defaults the value from Guarantee /SBLC claim.	

Field	Description	Sample Values
Account Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	
Netting Indicator	Read only field. System defaults the value from Guarantee /SBLC claim.	
Current Event	System displays the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	

Field	Description	Sample Values
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	

Field	Description	Sample Values
Documents	Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

Summary

User can review the summary details in Data Enrichment stage of Guarantee /Standby Claim settlement request.

In this section the user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User must be also able to drill down from summary tiles into respective data segments.

Islamic Guarantee SBLC Issuance - Claim Settlement
DataEnrichment :: Application No:- PK2IGIC000071819

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main Additional Fields Advices Commission, Charges and taxes

Booking Date : 2021-05-05
Submission Mode : Desk
Amount : GBP 12

Click here to view :
Additional fields

Advice 1 :
Advice 2 :

Charge :
Commission :
Tax :
Block Status : Not Initia

Preview Messages Payment Details Settlement Details Party Details

Language : ENG
Preview Message :-

Advance by Loan :
Liquidate using :
Collateral :

Component :
Account Number :
Currency :

Applicant : GOODCARE PLC
Beneficiary : MARKS AND

Compliance Accounting Details

KYC : Not Initia
Sanctions : Not Initia
AML : Not Initia

Event : GCLM
Account Number : PK20010440
Branch : PK2

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Screen (6 / 6)

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Payment Details - User can view the payment details.
- Loan Preferences – User can view the loan preferences.
- FX Linkage – User can view the FX Linkages.
- Charges - User can view the details provided for charges. User can modify the details if required.

- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details – User should be able to view the settlement details.
- Split Settlement Details – User should be able to view the split settlement details.
- Accounting Entries - User can see the accounting details.



Note
When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Compliance – The compliance tile has the KYC, Sanctions and AML.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Submit	On clicking Submit, system validates for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory steps, then error message is displayed and force the user to visit mandatory tabs/update mandatory fields.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

Multi Level Approval

This stage allows the approver user to approve a Claim settlement under Guarantee Issued Transaction. The user can view the summary of details updated in multilevel approval stage for Islamic Guarantee Claim Settlement request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Name
- Beneficiary Name
- Undertaking Currency
- Undertaking Amount
- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey ✕

📄 Documents 🗨️ Remarks

Claim Amount ✓

Claim Currency ✓

Expiry Date ✓

Refer Close Proceed

Approval Summary

Islamic Guarantee SBLC Issuance -Claim Settlement
Approval Task Level 1 :: Application No:- PK2IGIC000071819

Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking

Main	Additional Fields	Advices	Commission, Charges and taxes	Preview Messages
Booking Date : 2021-05-05 Submission Mode : Desk Amount : GBP 12	Click here to view : Additional fields :	Advice 1 : Advice 2 :	Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -
Payment Details	Settlement Details	Party Details	Compliance	Accounting Details
Advance by Loan : Liquidate using : Collateral :	Component : Account Number : Currency :	Beneficiary : MARKS AND Applicant : GOODCARE PLC	KYC : Verified Sanctions : Verified AML : Verified	Event : GCLM Account Number : 313100003 Branch : PK2
Exception(Approval)				
AmountBlock : EXCEPTION PLEASE VISIT : - REMARKS FOR MORE : DETAILS :				

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
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- Payment Details - User can view the payment details.
- Loan Preferences – User can view the loan preferences.
- FX Linkage – User can view the FX Linkages.
- Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details – User should be able to view the settlement details.
- Split Settlement Details – User should be able to view the split settlement details.
- Accounting Entries - User can see the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Compliance – The compliance tile has the KYC, Sanctions and AML

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Guarantee Issuance approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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