

Import LC Internal Amendment User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Import LC Internal Amendment User Guide
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Contents

Oracle Banking Trade Finance Process Management	1
Overview.....	1
Benefits.....	1
Key Features	1
Import LC Internal Amendment	2
Common Initiation Stage.....	2
Registration.....	3
Application Details	4
LC Amendment Details.....	6
Miscellaneous	8
Document Linkage.....	10
Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.....	14
Data Enrichment	14
Main Details	17
Acknowledgement - MT730 Details.....	23
Additional Fields	26
Advices	29
Additional Details.....	33
Settlement Details	49
Provide the settlement details based on the description in the following table:.....	49
Summary	52
Multi Level Approval.....	55
Re-Key.....	55
Reference and Feedback	60
References.....	60
Documentation Accessibility	60
Feedback and Support.....	60

Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import LC Internal Amendment

Import LC Internal Amendment process enables the user to make an amendment to the underlying LC details without impacting the terms and conditions of the LC.

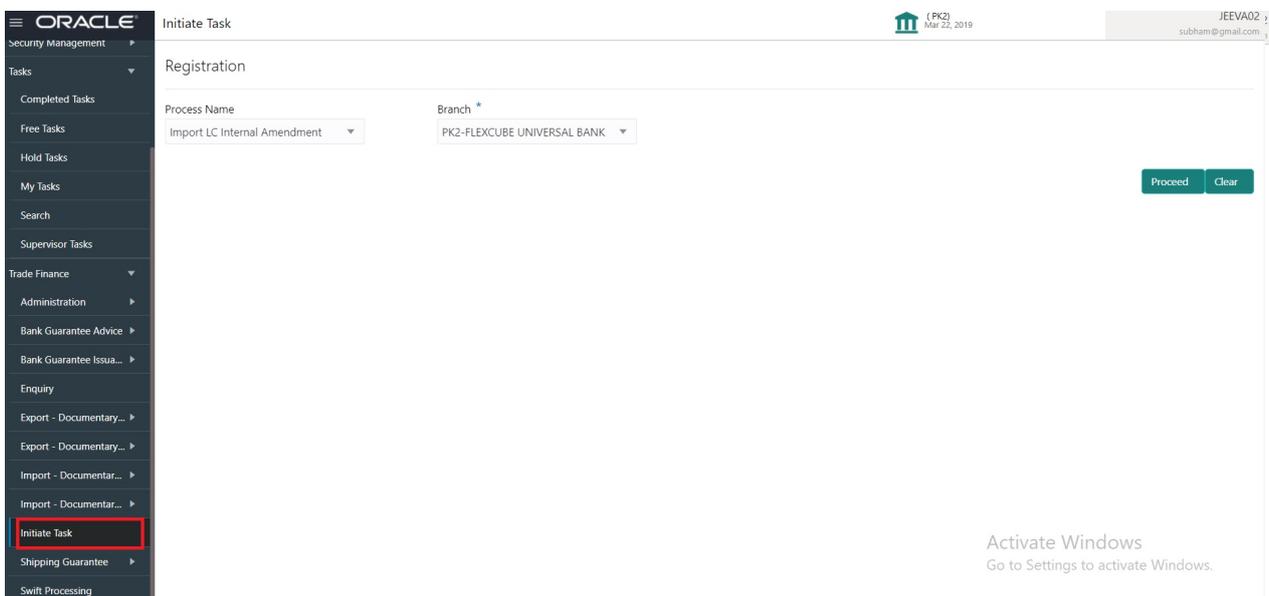
This section contains the following topics:

Common Initiation Stage	Registration
Data Enrichment	Multi Level Approval

Common Initiation Stage

The user can initiate the new import LC internal amendment request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

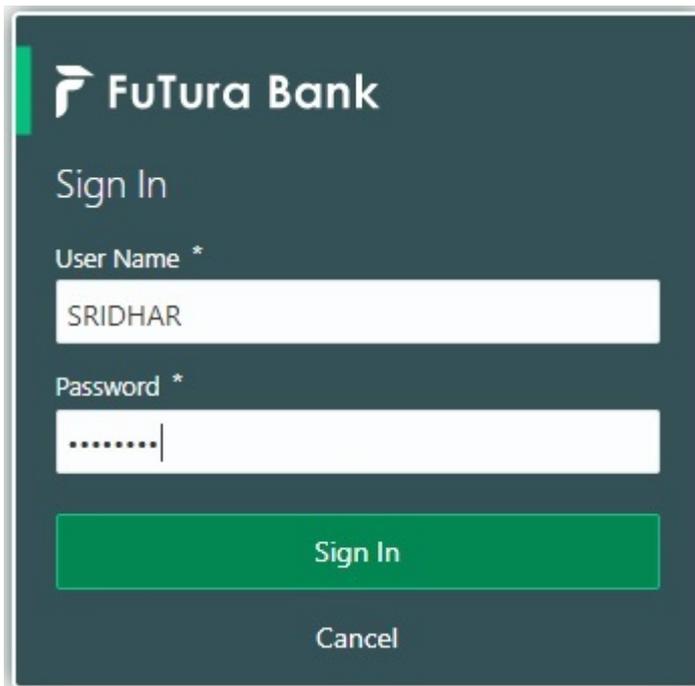
User can register request of new Import LC internal amendment received at the front desk through branch either by fax, mail, or physical application form, the Import LC internal amendment process starts from the Registration Stage.

During Registration stage, user can capture the basic details of the amendment application, check the signature of the applicant and upload the related documents of the applicant. On submit of the request, the customer will be notified with an acknowledgment and the request will be available for an LC expert to handle the request in the next stage.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

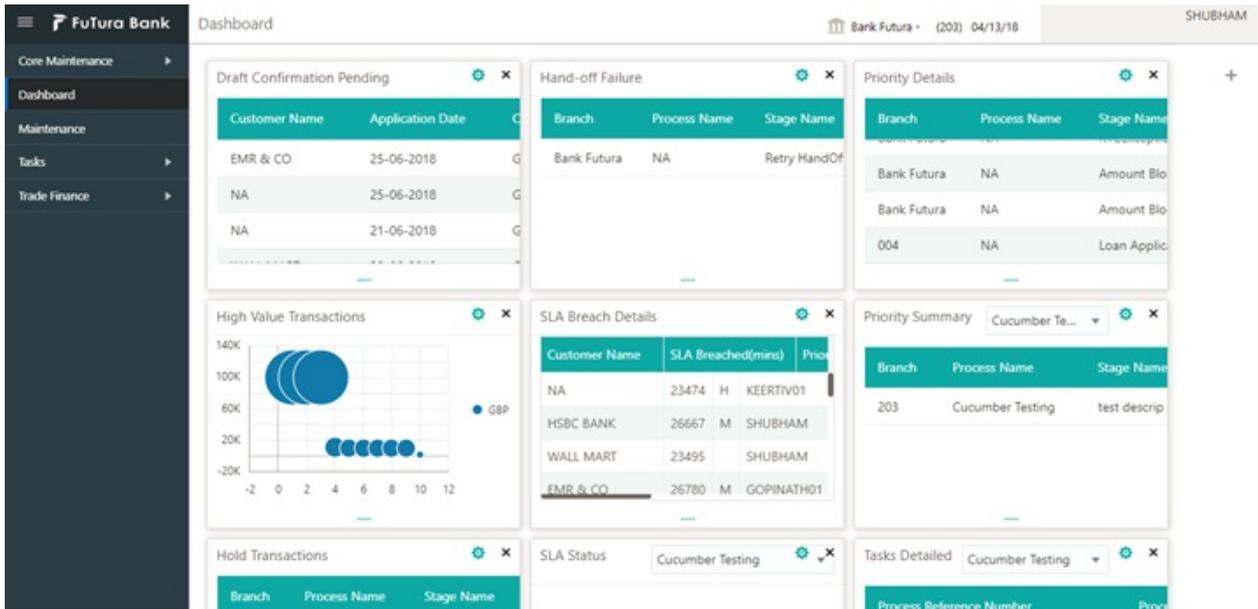
The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The screenshot shows a dark-themed login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the text 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button is positioned below the password field, and a 'Cancel' link is located at the bottom of the form.

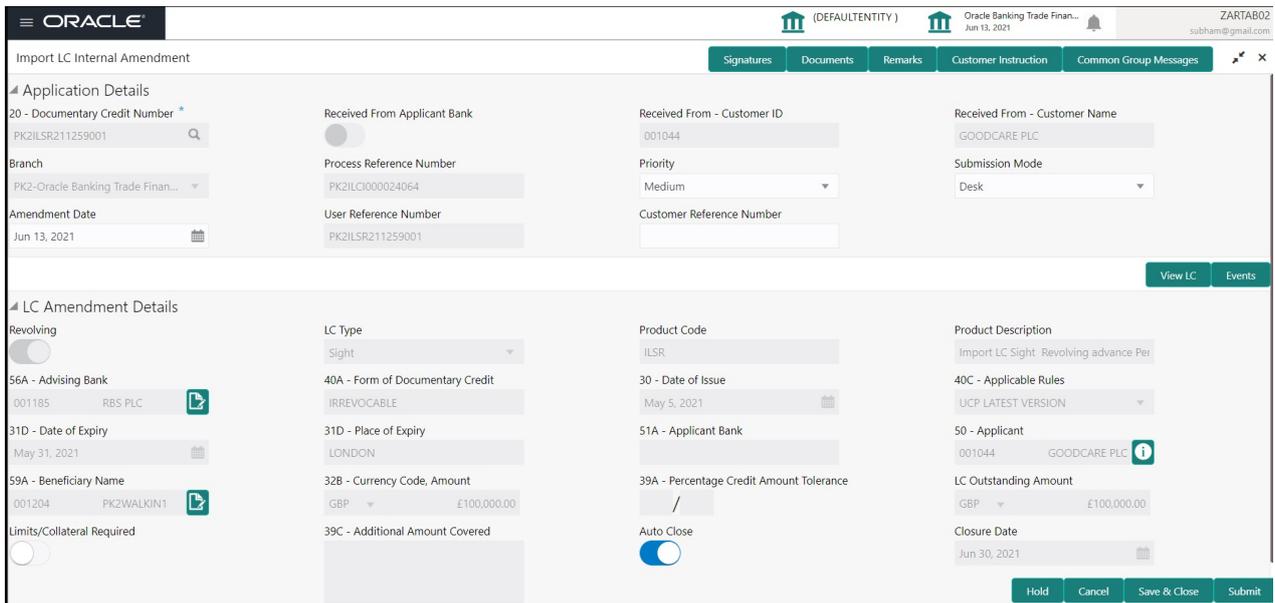
2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Import - Documentary Credit > Import LC Internal Amendment.

The Registration stage has two sections Application Details and LC Amendment Details. Let's look at the details of Registration screens below:

Application Details



Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Credit Number	Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV. In LOV search/advanced LOV search, user can input Customer ID, Beneficiary, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable LC to be amended.	
Received From Applicant bank	Read only field. Value will be defaulted as available in LC.	Toggle off
Received From - Customer ID	Read only field. Customer ID will be auto-populated based on the selected LC from the LOV.	001344
Received From - Customer Name	Read only field. Customer Name will be defaulted as available in LC.	EMR & CO
Branch	Read only field. Branch details will be defaulted from LC.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance. User are allowed to change the value.	High
Submission Mode	Select the submission mode of Import LC Amendment request. By default the submission mode will have the value as 'Desk' for transactions created via registration. Desk- Request received through Desk Fax- Request received through Fax Email- Request received through Email Courier- Request received through Courier	Desk
Amendment Date	By default, the application will display branch's current date. User cannot change the date to a back date or future date.	04/13/2018
User Reference Number	Read only field. User Reference Number will be auto populated by the system based on selected LC.	

Field	Description	Sample Values
Customer Reference Number	User can enter the 'Reference number' provided by the applicant/ applicant bank. Enables the user to provide a unique Customer Reference Number for the amendment.	

LC Amendment Details

The LC Amendment Details section allows the registration user to view the latest LC values defaulted in the respective fields. All fields displayed in LC details section are read only fields.

The screenshot shows the 'LC Amendment Details' form with the following fields and values:

- Revolving:**
- LC Type:** Slight
- Product Code:** ILSR
- Product Description:** Import LC Sight Revolving advance Per
- 56A - Advising Bank:** 000329 MANHATTAN B
- 40A - Form of Documentary Credit:** IRREVOCABLE
- 30 - Date of Issue:** May 5, 2021
- 40C - Applicable Rules:** UCP LATEST VERSION
- 31D - Date of Expiry:** May 12, 2021
- 31D - Place of Expiry:** LONDON
- 51A - Applicant Bank:**
- 50 - Applicant:** 001204 PK2WALKIN1
- 59A - Beneficiary Name:** 001044 GOODCARE PLC
- 32B - Currency Code, Amount:** USD \$110,000.00
- 39A - Percentage Credit Amount Tolerance:** 10 / 10
- LC Outstanding Amount:** USD \$0.00
- Limits/Collateral Required:**
- 39C - Additional Amount Covered:**
- Auto Close:**
- Closure Date:** Jun 11, 2021

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Read only field. If LC type is revolving this option is enabled. If LC type is revolving, this option is disabled.	
LC Type	Read only field. This field displays the value used for LC Type as per the latest LC details.	
Product Code	Read only field. This field displays the product code used during Issuance of the selected LC.	
Product Description	Read only field. This field displays the description of the product as in Import LC Issuance.	
Advising Bank	This field displays the advising bank as per the latest LC details.	
40A - Form of Documentary Credit	Read only field. This field displays the value available in LC record.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the applicable rule as per the latest LC details.	

Field	Description	Sample Values
Date Of Expiry	This field displays the expiry date as per the latest LC details.	09/30/18
Place of Expiry	This field displays the place of expiry as per the latest LC details.	
Applicant Bank	This field displays the applicant bank if available as per the latest LC details.	
Applicant	This field displays the applicant as per the latest LC details.	
Beneficiary Name	<p>This field displays the beneficiary as per the latest LC details.</p>  <p>Note If the user amend this field and the selected beneficiary/ party is blacklisted the system displays a warning message.</p>	
Currency Code, Amount	This field displays the currency code/ LC Amount as per the latest LC details.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details as per the latest LC details.	
LC Outstanding Amount	This field displays the Outstanding LC Amount as per the latest LC details.	
Limits/ Collateral Required	<p>Toggle On: Set the toggle 'On' to enable limit check.</p> <p>Toggle Off: Set the toggle 'Off' to disable limit check.</p>	
Additional Amount Covered	This field displays the details of additional amount covered as per the latest LC details.	
Auto Close	<p>Toggle On: Enable the toggle, if Auto close is required for that transactions.</p> <p>Toggle Off: Disable the toggle, if Auto close is not required for that transactions.</p>	
Closure Date	<p>System default the "Closure Date" value, if any, from the contract.</p> <p>If the system defaulted value for Auto Close is Yes, then Closure Date field will be a display only field and user is not allowed to edit the same.</p> <p>If the system defaulted value for Auto Close is No, then user can edit the Closure Date field by enabling the "Auto Close" toggle as "Yes".</p> <p>User can provide the value in this field, if Auto Close is enabled as a part of this internal amendment.</p>	

Miscellaneous

Import LC Internal Amendment Islamic

Signatures Documents Remarks Customer Instruction Common Group Messages

Application Details

20 - Documentary Credit Number *
PK2IRLU211255001

Branch
PK2-Oracle Banking Trade Finan...

Amendment Date
May 5, 2021

Received From Applicant Bank

Process Reference Number
PK2IIIA00011690

Customer Reference Number

Received From - Customer ID
001044

Priority
Medium

Received From - Customer Name
GOODCARE PLC

Submission Mode
Desk

View LC Events

LC Amendment Details

Revolving

56A - Advising Bank
001041 WELLS FARGO L

31D - Date of Expiry
Dec 30, 2021

59A - Beneficiary Name
001043 MARKS AND SPI

Limits/Collateral Required

LC Type
Sight

40A - Form of Documentary Credit
IRREVOCABLE

31D - Place of Expiry
Chennai

32B - Currency Code, Amount
GBP £100,000.00

39C - Additional Amount Covered

Product Code
IRLU

30 - Date of Issue
May 5, 2021

51A - Applicant Bank

39A - Percentage Credit Amount Tolerance
/

Product Description
Import Non Revolving Sight

40C - Applicable Rules
UCP LATEST VERSION

50 - Applicant
001044 GOODCARE PLC

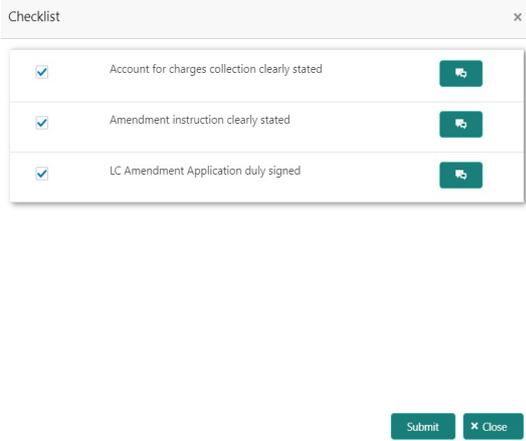
LC Outstanding Amount
GBP

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Documents	Upload the required documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
View LC	Enables user to view the details of the underlying LC.	
Action Buttons		
Submit	<p>On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Import LC Internal Amendment.</p> <p>If mandatory fields have not been captured or mandatory documents were not uploaded or mandatory checklists are not selected, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save & Close, saves the information provided and displays the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	The Task gets cancelled and system should clear the details captured in the screen. The task will get deleted.	
Hold	<p>The details entered in the screen will be saved and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> <p>The checklist items under Registration Stage are:</p> <ul style="list-style-type: none"> ● Application signed and stamped ● Customer signature verified ● Any correction or alteration initialed by the applicant 	

Document Linkage

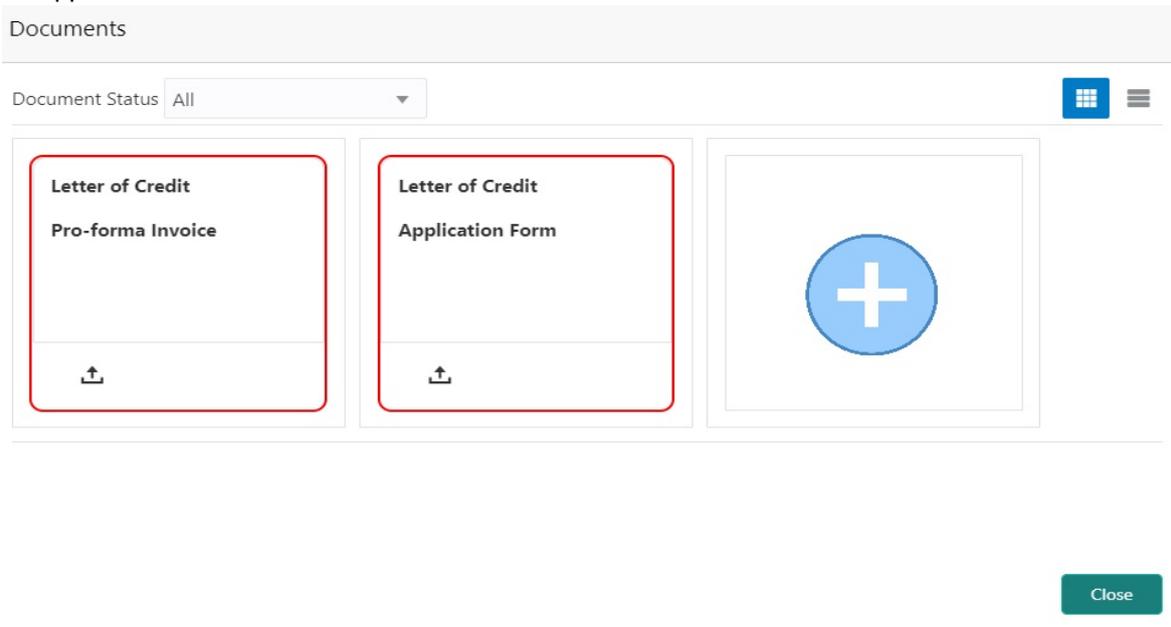
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



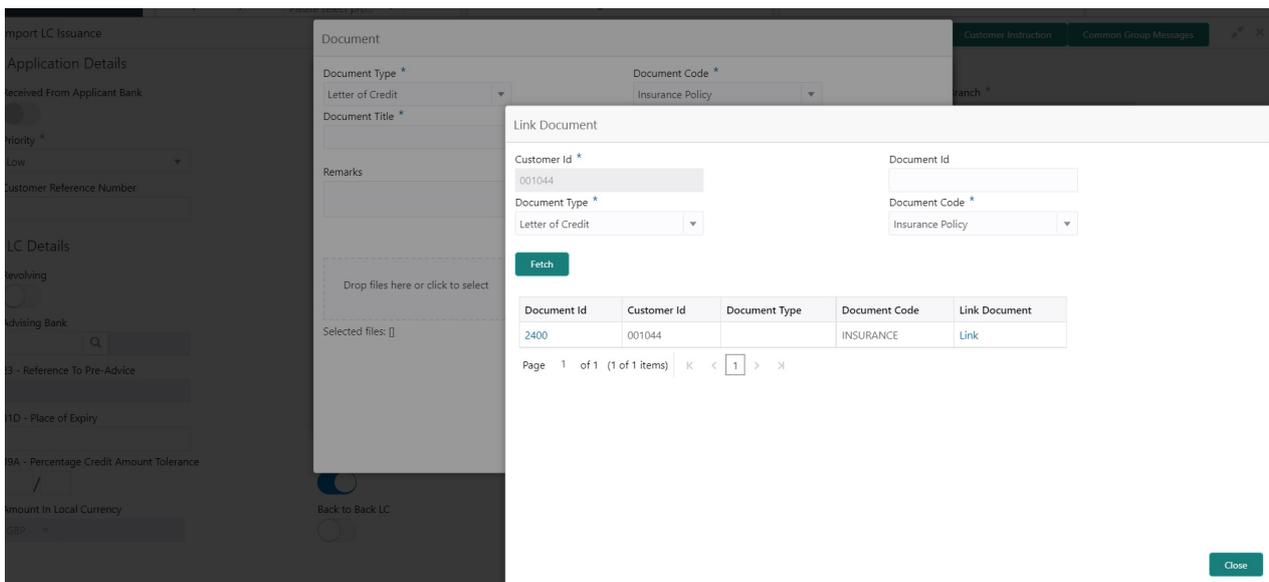
3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

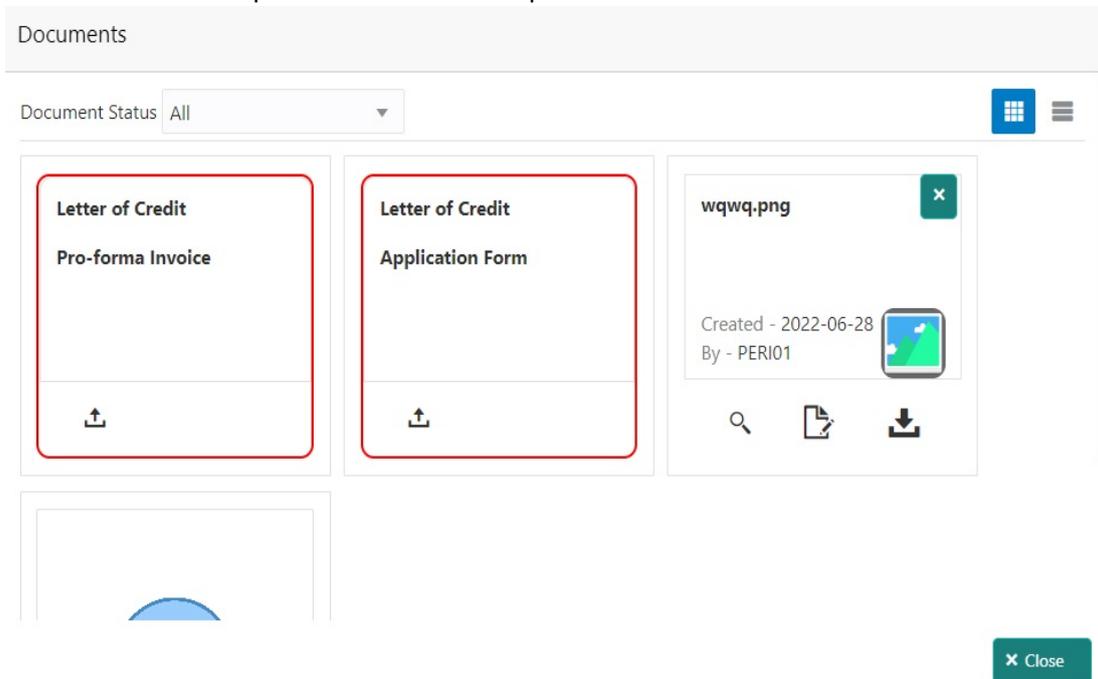


5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from metadata.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from metadata.	
Document Code	This field displays the document code from metadata.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.



Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document

The 'Edit Document' form contains the following fields:

- Document Id: 2400
- Document Title: wqwq
- Application Reference Number: PK2ILCI000019041
- Entity Reference Number: PK2ILCI000019041
- Document Type Id: TFPM_DOCTYPE001
- Document Description: (empty text area)
- Remarks: (empty text area)
- Document Expiry Date: Jun 29, 2022

At the bottom, there is a file upload area with the text 'Drop files here or click to select' and 'Current selected files: []'. 'Update' and 'Cancel' buttons are located at the bottom right.

Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
 - Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
1. Customer Maintenance details are replicated from OBTF to OBTFPM.
 2. In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online".
 3. In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

Data Enrichment

On successful completion of Registration of an Internal LC Amendment request, the request moves to Data Enrichment stage. At this stage the user enter/update the basic details of the amendment request and can verify if the request can be progressed further.

Non-Online Channel - Internal LC Amendment request that were received at the desk will move to Data Enrichment stage post successful Registration. The transaction will have the details entered during the Registration stage.

Online Channel - Requests that are received via SWIFT (MT730) are available directly for further processing in OBTFPM from Data Enrichment stage and relevant data should be auto populated.

For MT 730, system should validate the incoming MT 730 based on Related Reference field to identify whether it is Acknowledgment for Import LC or Export LC. If the MT 730 is for Import LC, system should process the MT 730 under Internal Amendment to Import LC.

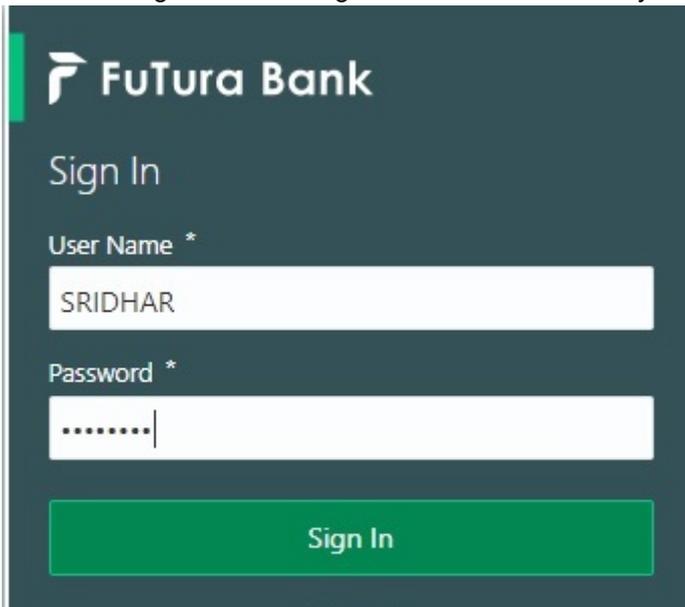


Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

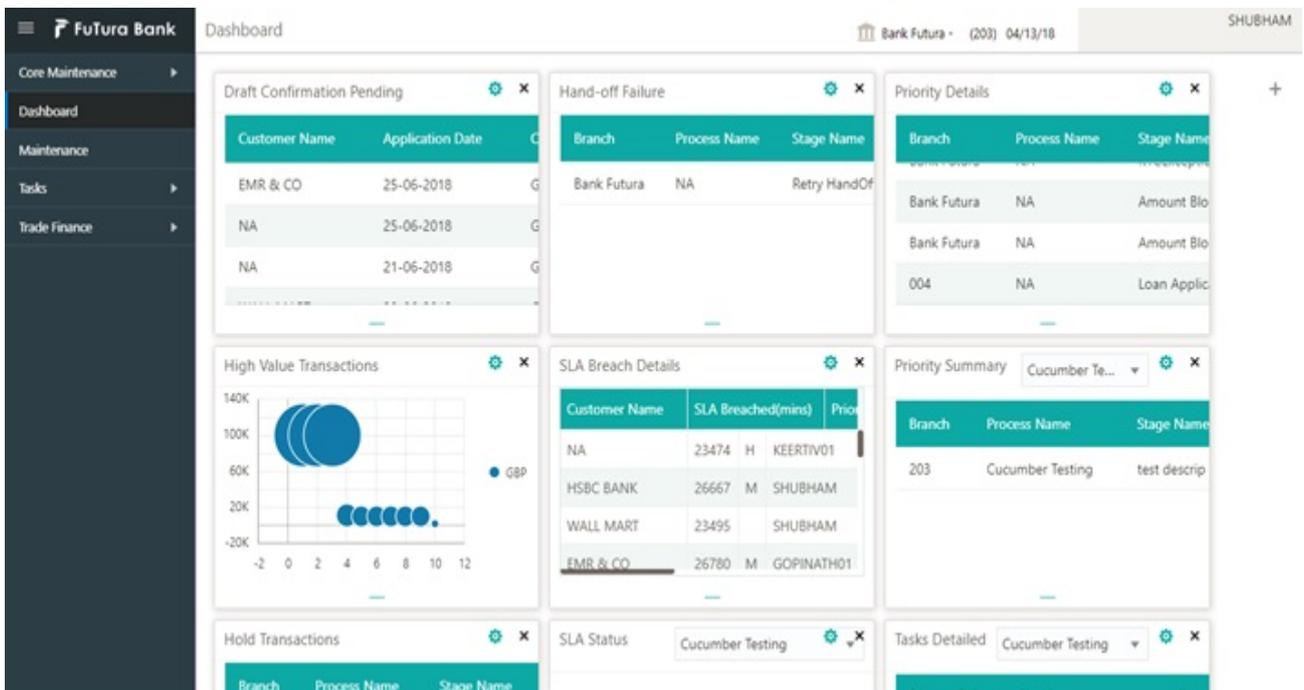
Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the FuTura Bank logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image displays the FuTura Bank dashboard. The left sidebar contains navigation options: Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main dashboard area is titled "Dashboard" and shows the user's name "SHUBHAM" and the date "04/13/18". The dashboard is populated with several widgets:

- Draft Confirmation Pending:** A table with columns "Customer Name", "Application Date", and "Status". Data rows include "EMR & CO" (25-06-2018), "NA" (25-06-2018), and "NA" (21-06-2018).
- Hand-off Failure:** A table with columns "Branch", "Process Name", and "Stage Name". Data row: "Bank Futura", "NA", "Retry HandOf".
- Priority Details:** A table with columns "Branch", "Process Name", and "Stage Name". Data rows include "Bank Futura", "NA", "Amount Blo" and "004", "NA", "Loan Applic".
- High Value Transactions:** A bubble chart showing transactions for GBP. The y-axis ranges from -20K to 140K, and the x-axis ranges from -2 to 12. There are several blue bubbles of varying sizes.
- SLA Breach Details:** A table with columns "Customer Name", "SLA Breached(mins)", and "Priority". Data rows include "NA" (23474, H, KEERTIV01), "HSBC BANK" (26667, M, SHUBHAM), "WALL MART" (23495, SHUBHAM), and "EMR & CO" (26780, M, GOPINATH01).
- Priority Summary:** A table with columns "Branch", "Process Name", and "Stage Name". Data row: "203", "Cucumber Testing", "test descrip".
- Hold Transactions:** A table with columns "Branch", "Process Name", and "Stage Name".
- SLA Status:** A widget showing "Cucumber Testing".
- Tasks Detailed:** A widget showing "Cucumber Testing".

3. Click **Trade Finance> Tasks> Free Tasks**.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	M	Export Documentary Co...	001EDCB000036964	001EDCB000036964	DataEnrichment	20-09-21	300	000804
Acquire & E...	M	Import Documentary C...	000IDCB000036962	000IDCB000036962	DataEnrichment	20-09-21	300	001183
Acquire & E...	M	Import LC Internal Ame...	300ILCI000036961	300ILCI000036961	DataEnrichment	20-09-21	300	001506
Acquire & E...	M	Import LC Amendment ...	300ILCA000036959	300ILCA000036959	BeneficiaryResponse	20-09-21	300	001506
Acquire & E...	M	Export Documentary Co...	300EDCU000036957	300EDCU000036957	AmountBlock Exception App...	20-09-21	300	001506
Acquire & E...	M	Import LC Amendment ...	300ILCA000036950	300ILCA000036950	Approval Task Level 1	20-09-21	300	001506
Acquire & E...	M	Import LC Amendment ...	300ILCA000036936	300ILCA000036936	DataEnrichment	20-09-20	300	001506
Acquire & E...	M	Import LC Internal Ame...	300ILCI000036932	300ILCI000036932	Approval Task Level 1	20-09-18	300	001506
Acquire & E...	M	Import LC Internal Ame...	300ILCI000036923	300ILCI000036923	Handoff RetryTask	20-09-18	300	001506
Acquire & E...	M	Import LC Internal Ame...	300ILCI000036917	300ILCI000036917	Handoff RetryTask	20-09-18	300	001506
Acquire & E...	M	Import LC Internal Ame...	300ILCI000036912	300ILCI000036912	Handoff RetryTask	20-09-18	300	001506
Acquire & E...	M	Import LC Internal Ame...	300ILCI000036911	300ILCI000036911	Handoff RetryTask	20-09-18	300	001506
Acquire & E...	M	Import LC Internal Ame...	300ILCI000036900	300ILCI000036900	Handoff RetryTask	20-09-17	300	001506
Acquire & E...	M	Import LC Internal Ame...	300ILCI000036884	300ILCI000036884	Approval Task Level 1	20-09-17	300	001506

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Numr
Acquire & Edit	M	Export Documentary Co...	001EDCB000036964	001EDCB000036964	DataEnrichment	20-09-21	300	000804
Acquire & Edit	M	Import Documentary C...	000IDCB000036962	000IDCB000036962	DataEnrichment	20-09-21	300	001183
Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036961	300ILCI000036961	DataEnrichment	20-09-21	300	001506
Acquire & Edit	M	Import LC Amendment ...	300ILCA000036959	300ILCA000036959	BeneficiaryResponse	20-09-21	300	001506
Acquire & Edit	M	Export Documentary Co...	300EDCU000036957	300EDCU000036957	AmountBlock Exception App...	20-09-21	300	001506
Acquire & Edit	M	Import LC Amendment ...	300ILCA000036950	300ILCA000036950	Approval Task Level 1	20-09-21	300	001506
Acquire & Edit	M	Import LC Amendment ...	300ILCA000036936	300ILCA000036936	DataEnrichment	20-09-20	300	001506
Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036932	300ILCI000036932	Approval Task Level 1	20-09-18	300	001506
Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036923	300ILCI000036923	Handoff RetryTask	20-09-18	300	001506
Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036917	300ILCI000036917	Handoff RetryTask	20-09-18	300	001506
Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036912	300ILCI000036912	Handoff RetryTask	20-09-18	300	001506
Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036911	300ILCI000036911	Handoff RetryTask	20-09-18	300	001506
Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036900	300ILCI000036900	Handoff RetryTask	20-09-17	300	001506
Acquire & Edit	M	Import LC Internal Ame...	300ILCI000036884	300ILCI000036884	Approval Task Level 1	20-09-17	300	001506

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Edit	M	Import LC Internal Ame...	300ILCI000036965	300ILCI000036965	Registration	20-09-21	300	001506
Edit	M	Import LC Internal Ame...	300ILCI000036961	300ILCI000036961	DataEnrichment	20-09-21	300	001506
Edit	M	Guarantee Advise	300GTEA000036948	300GTEA000036948	Scrutiny	20-09-21	300	001506
Edit	M	Export LC Amendment	300ELCA000036928	300ELCA000036928	Registration	20-09-18	300	001564
Edit	M	Export LC Advise	300ELCA000036927	300ELCA000036927	Scrutiny	20-09-18	300	001506
Edit	M	Import LC Issuance	300ILCI000036896	300ILCI000036896	DataEnrichment	20-09-17	300	001506
Edit	M	Import LC Issuance	300ILCI000036898	300ILCI000036898	Registration	20-09-17	300	001506
Edit	M	Import LC Issuance	300ILCI000036897	300ILCI000036897	Registration	20-09-17	300	001506
Edit	M	Import LC Issuance	300ILCI000036895	300ILCI000036895	Registration	20-09-17	300	001506
Edit	M	Export LC Advise	300ELCA000036891	300ELCA000036891	Registration	20-09-17	300	001506
Edit	M	Import LC Issuance	300ILCI000036890	300ILCI000036890	Registration	20-09-17	300	001506
Edit	M	Import LC Internal Ame...	300ILCI000036886	300ILCI000036886	Registration	20-09-17	300	001506
Edit	M	Import LC Internal Ame...	300ILCI000036882	300ILCI000036882	Registration	20-09-17	300	001506
Edit	M	Import LC Internal Ame...	300ILCI000036879	300ILCI000036879	Registration	20-09-17	300	001506

The Data Enrichment stage has five sections as follows:

- Main Details
- Acknowledgement Details

- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields that are already having value from Registration /online channels may not be editable.

Audit - This button provides user audit trail transaction, initiated date, stage wise detail etc.

Task Audit Trail Details					
Application No.	Branch Code	Initiated Date	Initiated By		
300ILCI000036961	300	9/21/2020	JEEVA02		
Process Name					
Import LC Internal Amendment					
S.No	Stage Name	Pickup Time	Completed Time	Completed By	Outcome
1	Registration	Mon, 21 Sep 2020 10:51:06 GMT	Mon, 21 Sep 2020 10:51:06 GMT	JEEVA02	COMPLETED

Close

Main Details

Main details section has three sub section as follows:

- Application Details
- LC Amendment Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

The screenshot shows the Oracle application interface for 'Import LC Internal Amendment'. The main details section is expanded to show 'Application Details' and 'LC Amendment Details'. The 'Application Details' section includes fields for '20 - Documentary Credit Number', 'Received From Applicant Bank', 'Received From - Customer ID', 'Received From - Customer Name', 'Branch', 'Process Reference Number', 'Priority', 'Submission Mode', 'Amendment Date', 'User Reference Number', and 'Customer Reference Number'. The 'LC Amendment Details' section includes fields for 'Revolving', 'LC Type', 'Product Code', 'Product Description', '56A - Advising Bank', '40A - Form of Documentary Credit', '30 - Date of Issue', '40C - Applicable Rules', '31D - Date of Expiry', '31D - Place of Expiry', '51A - Applicant Bank', '50 - Applicant', '59A - Beneficiary Name', '32B - Currency Code, Amount', '39A - Percentage Credit Amount Tolerance', 'LC Outstanding Amount', 'Limits/Collateral Required', '39C - Additional Amount Covered', 'Auto Close', and 'Closure Date'. The interface also features a navigation menu on the left, a top navigation bar with 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Common Group Messages', 'Incoming Message', 'View LC', and 'Signatures', and a bottom navigation bar with 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

LC Amendment Details

The fields listed under this section are same as the fields listed under the [LC Amendment Details](#) section in [Registration](#). Refer to [LC Amendment Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Following are the fields on the landing page of the LC Main screen with the latest LC values. Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
Received From Applicant bank	Read only field. Value will be defaulted as available in LC.	Toggle off
Received From - Customer ID	Read only field. Customer ID will be auto-populated based on the selected LC from the LOV.	001344
Received From - Customer Name	Read only field. Customer Name will be defaulted as available in LC.	EMR & CO
Documentary Credit Number	Non Online: Ready only defaults from Registration stage. Online: Read only. Received from the online request/ Incoming MT730.	
Branch	Read only field. Branch details will be defaulted from LC.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/ High based on maintenance. User are allowed to change the value.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Import LC Amendment request. By default the submission mode will have the value as 'Desk' for transactions created via registration.	Desk
Amendment Date	By default, the application will display branch's current date. User cannot change the date to a back date or future date.	04/13/2018
Customer Reference Number	User can enter the 'Reference number' provided by the applicant/ applicant bank. Enables the user to provide a unique Customer Reference Number for the amendment.	

LC Details

All fields displayed in LC details section are read only fields.

Field	Description	Sample Values
Revolving	Read only field. This field displays the value used for 'Revolving' as per the latest LC details.	
LC Type	Read only field. This field displays the value used for LC Type as per the latest LC details.	
Product Code	Read only field. This field displays the product code used during Issuance of the selected LC.	
Product Description	Read only field. This field displays the description of the product as in Import LC Issuance.	
Advising Bank	This field displays the advising bank as per the latest LC details.	
40A - Form of Documentary Credit	Read only field. This field displays the value available in LC record.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the applicable rule as per the latest LC details.	
Date Of Expiry	This field displays the expiry date as per the latest LC details.	09/30/18
Place of Expiry	This field displays the place of expiry as per the latest LC details.	

Field	Description	Sample Values
Applicant Bank	This field displays the applicant bank if available as per the latest LC details.	
Applicant	This field displays the applicant as per the latest LC details.	
Beneficiary Name	This field displays the beneficiary as per the latest LC details.	
Currency Code, Amount	This field displays the currency code/ Outstanding LC Amount as per the latest LC details.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details as per the latest LC details.	
LC Outstanding Amount	This field displays the LC Outstanding amount details as per the latest LC details.	
Limits/ Collateral Required	Toggle On: Set the toggle 'On' to enable limit check. Toggle Off: Set the toggle 'Off' to disable limit check.	
Additional Amount Covered	This field displays the details of additional amount covered as per the latest LC details.	
Auto Close	Toggle On: Enable the toggle, if Auto close is required for that transactions. Toggle Off: Disable the toggle, if Auto close is not required for that transactions.	
Closure Date	System default the "Closure Date" value, if any, from the contract. If the system defaulted value for Auto Close is Yes , then Closure Date field will be a display only field and user is not allowed to edit the same. If the system defaulted value for Auto Close is No , then user can edit the Closure Date field by enabling the "Auto Close" toggle as "Yes". User can provide the value in this field, if Auto Close is enabled as a part of this internal amendment.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>User can view the incoming SWIFT message MT730.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View LC	Enables user to view the details of the underlying LC.	

Field	Description	Sample Values
SignatureS	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Acknowledgement - MT730 Details

User must scrutinize the incoming MT730 details of an Internal LC amendment request for the different fields under the respective data segments.

At this stage the incoming MT730 details are auto populated. If required, the MT 730 details can also be entered by the user.

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Acknowledgment Details		
Advising Bank Reference	<p>Non Online: User can enter the Advising Bank Reference details.</p> <p>Online: Read only.</p> <p>Details received from the online request/ Incoming MT730 will get auto populated.</p>	
Account Identification	User can enter the account identification details.	
Date of Acknowledgment	<p>Non Online: User can enter the date.</p> <p>Online: Read only.</p> <p>Details received from the online request/ Incoming MT730 will get auto populated.</p>	
Amount of Charges	<p>Non Online: User can enter the amount of charges.</p> <p>Online: Read-only. System defaults the Amount of Charge from the incoming MT730 received.</p>	

Field	Description	Sample Values
Account with Bank	<p>Non Online: User can enter the account with bank details.</p> <p>Online: Read-only. System defaults the Account with Bank from the incoming MT730 received. User can manually enter the details if not processed as STP.</p>	
Charges	<p>Non Online: User can enter the charge details.</p> <p>Online: Read-only. System defaults the charges from the incoming MT730 received. User can manually enter the details if not processed as STP.</p>	
Sender to Receiver Information	<p>Non Online: User to enter the details.</p> <p>Online: Read-only. System defaults the Sender to Receiver information from the incoming MT730 received.</p>	
Narrative	<p>Non Online: User can enter the details.</p> <p>Online: Read-only. System defaults the Narrative from the incoming MT730 received</p>	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>User can view the incoming SWIFT message MT730.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View LC	Enables user to view the details of the underlying LC.	
SignatureS	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Cancel	Cancel the task window and return to dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Fields

Banks can configure these additional fields during implementation.

The screenshot displays the Oracle Data Enrichment application interface. At the top, the Oracle logo and 'My Tasks' are visible. The main header shows the application name 'Import LC Internal Amendment - DataEnrichment :: Application No: 3001LC1000036961' and user information 'JEEVAQ2 subham@gmail.com'. A navigation menu on the left lists options: Main Details, Acknowledgement Details, Additional Fields (selected), Advices, Additional Details, Settlement Details, and Summary. The main content area is titled 'Additional Fields' and contains the message 'No Additional fields configured!'. At the bottom, a toolbar includes buttons for 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'. The interface also shows a date '(300) Jan 1, 2016' and a 'Screen (3 / 7)' indicator.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>User can view the incoming SWIFT message MT730.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View LC	Enables user to view the details of the underlying LC.	

Field	Description	Sample Values
SignatureS	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Advices

This screen displays the advices maintained for the product as maintained at the product level.

Import LC Internal Amendment - DataEnrichment :: Application No: PK2ILCI00000707

Customer Instruction Common Group Messages Incoming Message View LC Signatures

Main Details Acknowledgement Details Additional Fields **Advices** Additional Details Summary

Advices Screen (4 / 6)

<p>Advice : LC_AMND_INSTR</p> <p>Advice Name : LC_AMND_INSTR Advice Party : ABK Party Name : MANHATTAN BANK Suppress : NO Advice</p>	<p>Advice : LC_CASH_COL_A...</p> <p>Advice Name : LC_CASH_COL_ADV Advice Party : ACC Party Name : PHIL HAMPTON Suppress : NO Advice</p>	<p>Advice : AMD_IMP_CR</p> <p>Advice Name : AMD_IMP_CR Advice Party : APP Party Name : PK2WALKIN1 Suppress : NO Advice</p>	<p>Advice : LC_AM_INST_CO...</p> <p>Advice Name : LC_AM_INST_COPY Advice Party : APP Party Name : PK2WALKIN1 Suppress : NO Advice</p>
<p>Advice : LC_AMD_AUTH_...</p> <p>Advice Name : LC_AMD_AUTH_REB Advice Party : Party Name : Suppress : YES Advice</p>	<p>Advice : PAYMENT_MESS...</p> <p>Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice</p>		

Audit Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

Party ID

Advice Name

Medium

Advice Party

Party Name

Free Format Text

Select	FFT Code	FFT Description
No data to display.		

Instructions

OK Cancel

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	Value be defaulted from LC issuance. User can update if required.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from LC Issuance. User can update if required.	
Party ID	Value be defaulted from LC Issuance. User can update if required.	

Field	Description	Sample Values
Party Name	Read only field. Value be defaulted from LC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click delete icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
Edit	Click Edit icon to edit the instruction code description.	
Action	Click Edit icon to edit the instruction code. Click Delete icon to delete the instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	The user can view the remarks captured in the process during earlier stages.	

Field	Description	Sample Values
Overrides	User can view the various overrides that have been generated and accepted.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>User can view the incoming SWIFT message MT730.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View LC	Enables user to view the details of the underlying LC.	
SignatureS	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Additional Details

Import LC Internal Amendment - DataEnrichment :: Application No: PK2ILCI00000707

Overrides Customer Instruction Common Group Messages Incoming Message View LC Signatures

Main Details Acknowledgement Details Additional Fields Advices **Additional Details** Summary

Additional Details Screen (5 / 6)

Limits and Collaterals	Commission, Charges and...	Preview Messages	Insurance Details
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : USD Collateral : 12100 Contribution : Collateral Status :	Charge : GBP 100.00 Commission : GBP 1163.46 Tax : USD 7508.32 Block Status :	Language : Preview Advice : -	Company : Insured Amount : Expiry Date :

Linked Loan Details
Loan Account : Loan Currency : Loan Amount :

Audit Reject Refer Hold Cancel Save & Close Back Next

Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
No data to display.									

Cash Collateral Details

Collateral Percentage * 20.0

Collateral Currency and amount GBP £220.00

Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response
1		PK20010440017	1	100			

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
PK2CDP1221100002	GBP	2023-04-20	GBP	87508	£495.00	PK2CDP1221100002	

Save & Close Cancel

Limit Details
✕

<p>Customer Id 001044 🔍</p> <p>Contribution % * 1.0 ▼ ▲</p> <p>Contribution Currency GBP</p> <p>Limit/Liability Currency GBP</p> <p>Limit Check Response Available</p> <p>Expiry Date 📅</p> <p>Response Message The Earmark can be performed as the f</p>	<p>Linkage Type * Facility ▼</p> <p>Liability Number * PK2LIAB01 🔍</p> <p>Line Id/Linkage Ref No * PK2L01SL1 🔍</p> <p>Limits Description <div style="background-color: #f0f0f0; height: 20px; width: 100%;"></div></p> <p>Contribution Amount * <div style="text-align: right;">£220.00</div></p> <p>Limit Available Amount <div style="text-align: right;">£999,999,903.89</div></p> <p>ELCM Reference Number <div style="background-color: #f0f0f0; height: 20px; width: 100%;"></div></p>
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Verify
Save & Close
Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Limit Details Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> • Facility • Liability By default Linkage Type should be "Facility".	

Field	Description	Sample Values
Contribution%	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Liability Number	<p>Click Search to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p>	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	<p>Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p> Note</p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> <p>This field is disabled and read only, if Linkage Type is Liability.</p>	
Line Serial	<p>Displays the serial of the various lines available and mapped under the customer id.</p> <p>This field appears on the Limits grid.</p>	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	

Field	Description	Sample Values
Contribution Amount	Contribution amount will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	

Collateral Details

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Collateral Details
✕

<p>Total Collateral Amount * £23,000.00</p> <p>Sequence Number 1.0</p> <p>Collateral Contribution Amount * £120.00</p> <p>Settlement Account Currency GBP</p> <p>Contribution Amount in Account Currency NaN</p> <p>Response VN</p> <p style="text-align: center;">Verify</p>	<p>Collateral Amount to be Collected * £23,000.00</p> <p>Collateral Split % * 0.52173913</p> <p>Settlement Account * PK20010440017</p> <p>Exchange Rate 1</p> <p>Account Available Amount £0.00</p> <p>Response Message</p>
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✓ Save & Close
✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		

Field	Description	Sample Values
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.	
Settlement Account	Select the settlement account for the collateral amount.	
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	

Field	Description	Sample Values
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.	
Response	Read only field. System populates the response on clicking the Verify button.	
Response Message	Read only field. System populates the response message on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified".	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	

Field	Description	Sample Values
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Deposit Account

Deposit Branch

Deposit Available Amount

GBP ▼ £87,508.00

Deposit Maturity Date

Apr 20, 2023

Exchange Rate

1

Deposit Available In Transaction Currency

▼ 87,508.00

Linkage Percentage % *

45.00 ▼ ▲

Linkage Amount(Transaction Currency) *

GBP ▼ £495.00

Save & Close Close

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	

Field	Description	Sample Values
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	
Below fields appear in the Deposit Details grid along with the above fields.		
Deposit Currency	The currency will get defaulted in this field.	
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

Commission, Charge and Taxes

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and Taxes

Recalculate Redefault

Event BISS

Event Description Booking LC or Guarantee Issue

Commission Details

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
AILSR_COMM	1.25		GBP	£1,250.00		<input type="checkbox"/>	<input type="checkbox"/>		PK20010440017

Page 1 of 1 (1 of 1 items)

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURAMND			GBP	£100.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK20010440017
LCSWIFTAMN			GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		PK20010440017

Page 1 of 1 (1-2 of 2 items)

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
LCTAX				\$8,000.00	<input type="checkbox"/>	<input type="checkbox"/>	PK20010440017
LCTAX1				\$4.00	<input type="checkbox"/>	<input type="checkbox"/>	PK20010440017
LCTAX2				\$62.50	<input type="checkbox"/>	<input type="checkbox"/>	PK20010440017

Save & Close Cancel

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	

Commission Details

Commission Details are auto-populated from back-end system.

Component	This field displays the commission component	
Rate	This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>This field displays the amount that is maintained under the product code.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Charge Details		
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Currency	Defaults the currency in which the charges have to be collected.	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not enable/disable the option, if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled..</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>Enable the toggle, if charges has to be waived.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if Defer toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	

Field	Description	Sample Values
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Preview

The Preview Message tile, draft message from the back office should be simulated and displayed.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		

Field	Description	Sample Values
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	
Default Email list	Default email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

Insurance Details

The screenshot shows a form titled "Insurance Details" with the following fields and values:

- Insurance Company Details:**
 - Code: BAJALZ
 - Company Name: Bajaj Allianz
 - Company Address: 12 downing street.
- Policy Information:**
 - Policy Number: AIG202012001
 - Open Policy:
 - Cover Date: Feb 29, 2020
 - Expiry Date: Nov 29, 2021
- Insurance Amount:**
 - Insurance Amount: GBP £10,000,000.00
 - Utilized Amount: GBP £100,000.00

Buttons at the bottom right: Save & Close, Cancel

Provide the Insurance details based on the description in the following table:

Field	Description	Sample Values
Company Details		
Company Code	Select the Company Code from the LOV.	
Company Name	Read only field. Insurance company details will be displayed as per the selected Company Code from the LOV.	
Company Address	Read only field. Insurance company address will be displayed as per the selected Insurance Company Code from the LOV.	

Field	Description	Sample Values
Policy Number	Provide the policy number.	
Open Policy	If enabled, this field denotes whether the policy is an open policy.	
Cover Date	This field displays the date up to which the policy is covered.	
Expiry Date	This field displays the expiry date of the policy.	
Insurance Amount	The insurance policy amount.	
Utilized Amount	The utilized amount.	

Linked Loan Details

This user can view the details of linked loan accounts.

Linked Loan Details					
Linked Loan Details					
Drawing Reference Number	Drawing Currency	Drawing Amount	Loan Account	Loan Currency	Loan Amount
PK2IUULL21125B5A7	GBP	£20,000.00			
PK2IUULL21125B5A8	GBP	£20,000.00			
PK2IUULL21125B5A6	GBP	£20,000.00			
PK2IUULL21125B5A9	GBP	£20,000.00			

[Cancel](#)

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Drawing Reference Number	This field displays the drawing reference number of the linked loan account.	
Drawing Currency	This field displays the drawing currency of the linked loan account.	
Drawing Amount	This field displays the drawing amount of the linked loan account.	
Loan Account	This field displays the loan account number.	
Loan Currency	This field displays the currency of the loan account.	
Loan Amount	This field displays the loan amount.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>User can view the incoming SWIFT message MT730.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View LC	Enables user to view the details of the underlying LC.	

Field	Description	Sample Values
SignatureS	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Settlement Details

Import LC Internal Amendment
DataEnrichment :: Application No:- PK2ILCI000072287

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View LC Signatures

- 1 Main Details
- 2 Acknowledgement Details
- 3 Additional Fields
- 4 Advises
- 5 Additional Details
- 6 Settlement Details
- 7 Summary

Settlement Details
Screen (6 / 7)

Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference N
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sig	GBP	No	No			
ARC1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			

COLL_AMNDAMTEQ - Party Details

Transfer Type
Bank Transfer

Ordering Institution

Account With Institution

Payment Details

Sender To Receiver 1

Sender To Receiver 5

Charge Details
Remitter All Charges

Senders Correspondent

Beneficiary Institution

Sender To Receiver 2

Sender To Receiver 6

Netting Indicator

Receivers Correspondent

Ultimate Beneficiary

Sender To Receiver 3

Ordering Customer

Intermediary Institution

Intermediary Reimbursement Institution

Sender To Receiver 4

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	System defaults the current event as Y or N.	

Field	Description	Sample Values
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	<p>User can view the incoming SWIFT message MT730.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View LC	Enables user to view the details of the underlying LC.	
SignatureS	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Cancel	Cancel the task window and return to dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

User can review the summary of details updated in Data Enrichment Import LC Internal Amendment request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

Import LC Internal Amendment - DataEnrichment :: Application No: PK2ILC100000707

Customer Instruction Common Group Messages Incoming Message View LC Signatures

Screen (6 / 6)

Main Details	Acknowledgement Details	Additional Fields	Advices
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05 Date of Expiry : 2021-05-12 Place of Expiry : LONDON	Account Identification : Date of : Acknowledgement Amount : Currency :	Click here to view : Additional fields :	Advice 1 : LC_AMND_IN Advice 2 : LC_CASH_CO Advice 3 : AMD_IMP_CR Advice 4 : LC_AM_INST Advice 5 : LC_AMD_AUT
Limits and Collaterals	Commission,Charges and Taxes	Preview Messages	Parties Details
Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 12100 Collateral Status : Not Verified	Charge : GBP100 Commission : GBP1163.46 Tax : USD7508.32 Block Status : Not Initia	Language : ENG Preview Message : -	Applicant : PK2WALKIN1 Advise Through Bank : HSBC BANK Advising Bank : MANHATTAN Beneficiary : GOODCARE PLC
Accounting Details	Insurance Details	Linked Loan Details	
Event : CLIQ AccountNumber : 263200001 Branch : PK2	Company : Insured Amount : Expiry Date :	loanAcc : Loan Currency : Loan Amount :	

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view and modify the application details and LC details, if required.
- Acknowledgement Details - User can view and modify the MT730 details, if required.
- Additional Fields - User can view and modify the details of additional fields, if required.
- Advices - User can view and modify the advices details, if required.
- Settlement Details - User can view the settlement details.
- Limits and Collaterals - User can view the captured details of limits and collateral.
- Commission, Charges and Taxes - User can view and modify the commission, charge and taxes details, if required.
- Preview Message - User can preview the message (MT799) generated if any.

- Parties Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Insurance Details - User can view and modify insurance details, if required.
- Linked Loan Details - User can view the linked loan details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

Field	Description	Sample Values
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	User can view the incoming SWIFT message MT730. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View LC	Enables user to view the details of the underlying LC.	
SignatureS	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Cancel	Cancel the task window and return to dashboard. The data input will not be saved.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Submit	Task will get moved to next logical stage of Import LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Re-Key

User can input Rekey before Approval. On successful Rekey of data, user should be able to proceed to the Approval Summary screen.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC Amendment. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	
Action Buttons		
Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R5 - Others 	
Cancel	Cancel the Import LC Amendment Approval Rekey.	

Summary

Main Details		Acknowledgement Details		Additional Fields		Advices		Limits and Collaterals	
Form of LC : IRREVOCABLE	Account Identification :	Click here to view :	Advice 1 :	Contribution Currency :	Submission Mode : Desk	Ack. date : 2021-06-13	Advice 2 :	Contribution Amount :	Limit Status : Not Verified
Date of Issue : 2021-05-05	Amount :	Additional fields :		Collateral Currency :	Date of Expiry : 2021-05-31	Currency :		Collateral Contr. :	Collateral Status : Not Verified
Place of Expiry : LONDON									
Commission,Charges and Taxes		Preview Messages		Parties Details		Accounting Details		Linked Loan Details	
Charge :	Language : ENG	Beneficiary : PK2WALKIN1	Event :	LoanAcc :	Commission :	Preview Message : -	AccountNumber :	Loan Currency :	Loan Amount :
Tax :		Advising Bank : RBS PLC	Branch :		Block Status : Not Initiated				
		Applicant : GOODCARE PLC							
Exception(Approval)									
EXCEPTION :	Nil								

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Acknowledgement Details - User can view the MT730 details.
- Additional Fields - User can view the additional fields.
- Advices - User can view to the advices generated.
- Limits and Collaterals - User can view the captured details of limits and collateral.
- Commission, Charges and Taxes - User can view and modify charge details, if required.
- Preview Message - User can preview the message (MT799) generated if any.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction checks.
- Accounting Entries - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

A	
Additional Details	
Action Buttons	38
Charge Details	35, 50
Limits & Collateral	31
Preview	53
Revolving Details	30, 45
Availability & Shipment	
Action Buttons	24
Availability Details	19
Description Of Goods And Or Services	24
Shipment Details	21
B	
Benefits	4
C	
Customer - Acknowledgement	68
Customer - Reject Letter	69
Customer Response - Draft Confirmation	
Customer Response	55
Action Buttons	57
Draft Confirmation	56
Summary	56
D	
Data Enrichment	40
Additional Details	45
Additional Fields	44
Amendment Details	44
Availability & Shipment	42
Documents & Conditions	43
Main Details	42
Payment Details	44
Summary	54
Documents & Conditions	
Additional Conditions	43
Documents Required	43
E	
Exceptions	
Exception - Amount Block	58
Exception - Know Your Customer (KYC)	60
Exception - Limit Check/Credit	62
I	
Import LC Amendment	5
Customer Response - Draft Confirmation	55
Data Enrichment	40
Exceptions	57
Multi Level Approval	65
Registration	5
Scrutiny	12
K	
Key Features	4
M	
Main Details	
Action Buttons	17
Application Details	15
LC Amendment Details	16
Multi Level Approval	
Authorization Re-Key	65
O	
Overview	4
P	
Payment Details	
Action Buttons	28
Payment Details	26
R	
Registration	5
Application Details	7
LC Amendment Details	9
Miscellaneous	11
Reject Approval	70
Action Buttons	70
Application Details	70
Summary	70
S	
Scrutiny	12
Additional Details	30
Additional Fields	29
Amendment Details	29
Availability & Shipment	18
Main Details	15
Payment Details	26
Summary	38

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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