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Backup and Recovery User's Guide

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Glossary

Index

Preface

This preface contains the following topics:

- Audience
- Documentation Accessibility
- Related Documentation
- Conventions

Audience

Backup and Recovery User's Guide is intended for database administrators who perform the following tasks:

- Back up, restore, and recover Oracle databases
- Perform maintenance on backups of database files
- Transfer data between a file system and Oracle Automatic Storage Management or between platforms when installing Oracle Database

To use this document, you must know the following:

- Relational database concepts and basic database administration as described in *Oracle Database Concepts* and the *Oracle Database Administrator's Guide*
- The operating system environment under which you run the database

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees,

customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Related Documentation

For more information about backup and recovery, see these Oracle resources:

- *Oracle Database Backup and Recovery Reference*
- *Oracle Database Utilities*
- *Oracle Automatic Storage Management Administrator's Guide*

You can access information about the Backup Solutions Program (BSP) at

`http://www.oracle.com/technetwork/database/features/availability/bsp-088814.html`

Many books in the documentation set use the sample schemas of the seed database, which is installed by default when you install Oracle Database. Refer to *Oracle Database Sample Schemas* for information about how these schemas were created and how you can use them yourself.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
`monospace`	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Part I
Overview of Backup and Recovery

The chapters in this part introduce backup and recovery and explain how to devise a backup and recovery strategy:

- Introduction to Backup and Recovery
- Getting Started with RMAN

1

Introduction to Backup and Recovery

Become familiar with Oracle Database backup and recovery solutions.

> **Note:**
>
> To get started with Recovery Manager (RMAN) right away, proceed to Getting Started with RMAN.

1.1 Purpose of Backup and Recovery

As a backup administrator, your principal duty is to devise, implement, and manage a backup and recovery strategy.

In general, the purpose of a backup and recovery strategy is to protect the database against data loss and reconstruct the database after data loss. Typically, backup administration tasks include the following:

- Planning and testing responses to different kinds of failures
- Configuring the database environment for backup and recovery
- Setting up a backup schedule
- Monitoring the backup and recovery environment
- Troubleshooting backup problems
- Recovering from data loss if the need arises

As a backup administrator, you may also be asked to perform other duties that are related to backup and recovery:

- Data archival, which involves creating a database copy for long-term storage
- Data transfer, which involves moving data from one database or one host to another

The purpose of this manual is to explain how to perform the preceding tasks.

1.1.1 About Data Protection

As a backup administrator, your primary job is making and monitoring backups for data protection.

A backup is a copy of data of a database that you can use to reconstruct data. A backup can be either a physical backup or a logical backup.

Physical backups are copies of the physical files used in storing and recovering a database. These files include data files, control files, and archived redo logs. Ultimately, every physical backup is a copy of files that store database information to another location, whether on disk or on offline storage media such as tape.

Logical backups contain logical data such as tables and stored procedures. You can use Oracle Data Pump to export logical data to binary files, which you can later import into the database. The Data Pump command-line clients `expdp` and `impdp` use the `DBMS_DATAPUMP` and `DBMS_METADATA` PL/SQL packages.

Physical backups are the foundation of any sound backup and recovery strategy. Logical backups are a useful supplement to physical backups in many circumstances but are not sufficient protection against data loss without physical backups.

Unless otherwise specified, the term **backup** as used in the backup and recovery documentation refers to a physical backup. Backing up a database is the act of making a physical backup. The focus in the backup and recovery documentation set is almost exclusively on physical backups.

Most of this manual focuses on RMAN-based backup and recovery. The most noteworthy are the following:

- Incremental backups

  An incremental backup stores only blocks changed since a previous backup. Thus, they provide more compact backups and faster recovery, thereby reducing the need to apply redo during data file media recovery. If you enable block change tracking, then you can improve performance by avoiding full scans of every input data file. You use the `BACKUP INCREMENTAL` command to perform incremental backups.

- Block media recovery

  You can repair a data file with only a small number of corrupt data blocks without taking it offline or restoring it from backup. You use the `RECOVER BLOCK` command to perform block media recovery.

- Binary compression

  A binary compression mechanism integrated into Oracle Database reduces the size of backups.

- Encrypted backups

  RMAN uses backup encryption capabilities integrated into Oracle Database to store backup sets in an encrypted format. To create encrypted backups on disk, the database must use the Advanced Security Option. To create encrypted backups directly on tape, RMAN must use the Oracle Secure Backup SBT interface, but does not require the Advanced Security Option.

- Automated database duplication

  Easily create a copy of your database, supporting various storage configurations, including direct duplication between ASM databases.

- Cross-platform data conversion

Whether you use RMAN or user-managed methods, you can supplement physical backups with logical backups of schema objects made with Data Pump Export utility. You can later use Data Pump Import to re-create data after restore and recovery. Logical backups are mostly beyond the scope of the backup and recovery documentation.

See Also:

- Backing Up the Database
- Performing User-Managed Backup and Recovery

1.1.2 About Failures that Require Database Recovery

Although several problems can halt the normal operation of an Oracle Database or affect database I/O operations, not all of them require DBA intervention.

The following problems typically require DBA intervention and data recovery: media failure, user errors, and application errors. Other failures may require DBA intervention without causing data loss or requiring recovery from backup. For example, you may need to restart the database after an instance failure or allocate more disk space after statement failure because of a full data file.

Media Failures

A media failure is a physical problem with a disk that causes a failure of a read from or write to a disk file that is required to run the database. Any database file can be vulnerable to a media failure. The appropriate recovery technique following a media failure depends on the files affected and the types of backup available.

One particularly important aspect of backup and recovery is developing a disaster recovery strategy to protect against catastrophic data loss, for example, the loss of an entire database host.

User Errors

User errors occur when, either due to an error in application logic or a manual mistake, data in a database is changed or deleted incorrectly. User errors are estimated to be the greatest single cause of database downtime.

Data loss due to user error can be either localized or widespread. An example of localized damage is deleting the wrong person from the employees table. This type of damage requires surgical detection and repair. An example of widespread damage is a batch job that deletes the company orders for the current month. In this case, drastic action is required to avoid a extensive database downtime.

While user training and careful management of privileges can prevent most user errors, your backup strategy determines how gracefully you recover the lost data when user error does cause data loss.

Application Errors

Sometimes a software malfunction can corrupt data blocks. In a physical corruption, which is also called a media corruption, the database does not recognize the block at all: the checksum is invalid, the block contains all zeros, or the header and footer of the block do not match. If the corruption is not extensive, then you can often repair it easily with block media recovery.

> **See Also:**
>
> *Oracle Database Utilities* to learn how to use Data Pump

1.1.3 About Data Archival

Data archival, although related to data protection, serves a different purpose. An archival backup is exempted from the normal backup and recovery strategy. These backups are typically archived onto separate storage media and retained for long periods.

For example, you may need to preserve a copy of a database as it existed at the end of a business quarter. This backup is not part of the disaster recovery strategy. The media to which these backups are written are often unavailable after the backup is complete. You may send the tape into fire storage or ship a portable hard drive to a testing facility. RMAN provides a convenient way to create a backup and exempt it from your backup retention policy. This type of backup is known as an archival backup.

> **See Also:**
>
> Making Database Backups for Long-Term Storage

1.1.4 About Data Transfer

RMAN backups can be used to transport databases and tablespaces across different platforms.

In some situations you may need to take a backup of a database or database component and move it to another location. For example, you can use Recovery Manager (RMAN) to create a database copy, create a tablespace copy that can be imported into another database, or move an entire database from one platform to another. These tasks are not strictly speaking part of a backup and recovery strategy, but they do require the use of database backups, and so may be included in the duties of a backup administrator.

> **See Also:**
>
> The chapters in Transferring Data with RMAN

1.2 Oracle Backup and Recovery Solutions

Oracle provides multiple solutions for performing backup and recovery.

The following solutions are available when implementing a backup and recovery strategy:

- Recovery Manager (RMAN)

Recovery Manager is fully integrated with the Oracle Database to perform a range of backup and recovery activities, including maintaining an RMAN repository of historical data about backups. You can access RMAN through the command line or through Oracle Enterprise Manager.

- Oracle Enterprise Manager Cloud Control

  Oracle Enterprise Manager Cloud Control (Cloud Control) provides a graphical front end and scheduling facilities for RMAN. You enter job parameters, specify a job schedule, and Cloud Control runs RMAN to conduct the backup and recovery operations.

- Zero Data Loss Recovery Appliance (Recovery Appliance)

  Recovery Appliance is a cloud-scale Engineered System that provides data protection for all Oracle Databases in the enterprise. Integrated with RMAN and Cloud Control, the Recovery Appliance provides a single repository for backups of multiple databases as described in "About Zero Data Loss Recovery Appliance".

- User-managed backup and recovery

  In this solution, you perform backup and recovery with a mixture of host operating system commands and SQL*Plus recovery commands. You are responsible for determining all aspects of when and how backups and recovery are done.

These solutions are supported by Oracle and are fully documented, but RMAN is the preferred solution for database backup and recovery. RMAN provides a common interface for backup tasks across different host operating systems, and offers several backup techniques not available through user-managed methods.

> **See Also:**
>
> "RMAN and Oracle Enterprise Manager Cloud Control"

1.3 Comparison of Oracle Backup Techniques

You can use multiple techniques to create backups of the Oracle Database. This section compares the Recovery Manager (RMAN), user-managed backups, and Data Pump techniques.

Table 1-1 summarizes the features of the different backup techniques.

Table 1-1 Feature Comparison of Backup Techniques

Feature	Recovery Manager	User-Managed
Closed database backups	Supported. Requires instance to be mounted.	Supported.
Open database backups	Supported. No need to use `BEGIN/END BACKUP` statements.	Supported. Must use `BEGIN/END BACKUP` statements.
Incremental backups	Supported.	Not supported.
Corrupt block detection	Supported. Identifies corrupt blocks and logs in `V$DATABASE_BLOCK_CORRUPTION`.	Not supported.

Table 1-1 (Cont.) Feature Comparison of Backup Techniques

Feature	Recovery Manager	User-Managed
Automatic specification of files to include in a backup	Supported. Establishes the name and locations of all files to be backed up (whole database, tablespaces, data files, control files, and so on).	Not supported. Files to be backed up must be located and copied manually.
Backup repository	Supported. Backups are recorded in the control file, which is the main repository of RMAN metadata. Additionally, you can store this metadata in a recovery catalog, which is a schema in a different database.	Not supported. DBA must keep own records of backups.
Backups to a media manager	Supported. Interfaces with a media mnagement software. RMAN also supports proxy copy, a feature that enables a media manager to manage completely the transfer of data between disk and backup media.	Supported. Backup to tape is manual or controlled by a media manager.
Backup of initialization parameter file	Supported.	Supported.
Backup of password and networking files	Not supported.	Supported.
Platform-independent language for backups	Supported.	Not supported.
Backups to Oracle Cloud Infrastructure	Supported.	Supported.

1.4 About Oracle Flashback Technology

Oracle Flashback technology provides a set of features that complements your physical backup and recovery strategy.

Oracle Flashback Technology provides an additional layer of data protection. Specifically, you can use the various features of Oracle Flashback to view past states of data and rewind your database without restoring backups or performing point-in-time recovery. In general, flashback features are more efficient and less disruptive than media recovery in most situations in which they apply.

Oracle Flashback Technology enables you to use the following functionality:

- Logical Flashback Features
- Flashback Database

1.4.1 Logical Flashback Features

The logical-level flashback features of Oracle Database do not depend on RMAN and are available whether or not RMAN is part of your backup strategy.

Most of the flashback features of Oracle operate at the logical level, enabling you to view and manipulate database objects. Except for Oracle Flashback Drop, the logical flashback features rely on **undo data**, which are records of the effects of each database update and the values overwritten in the update.

Oracle Database includes the following logical flashback features:

- Oracle Flashback Query

  You can specify a target time and run queries against a database, viewing results as they would appear at the target time. To recover from an unwanted change like an update to a table, you could choose a target time before the error and run a query to retrieve the contents of the lost rows. *Oracle Database Development Guide* explains how to use this feature.

- Oracle Flashback Version Query

  You can view all versions of all rows that ever existed in one or more tables in a specified time interval. You can also retrieve metadata about the differing versions of the rows, including start and end time, operation, and transaction ID of the transaction that created the version. You can use this feature to recover lost data values and to audit changes to the tables queried. *Oracle Database Development Guide* explains how to use this feature.

- Oracle Flashback Transaction Query

  You can view changes made by a single transaction, or by all the transactions during a specific time period. *Oracle Database Development Guide* explains how to use this feature.

- Oracle Flashback Transaction

  You can reverse a transaction. Oracle Database determines the dependencies between transactions and in effect creates a compensating transaction that reverses the unwanted changes. The database rewinds to a state as if the transaction, and any transactions that could be dependent on it, had never happened. *Oracle Database Development Guide* explains how to use this feature.

- Oracle Flashback Table

  You can recover a table or set of tables to a specified point in time earlier without taking any part of the database offline. In many cases, Flashback Table eliminates the need to perform more complicated point-in-time recovery operations. Flashback Table restores tables while automatically maintaining associated attributes such as current indexes, triggers, and constraints, and in this way enabling you to avoid finding and restoring database-specific properties. "Rewinding a Table with Flashback Table" explains how to use this feature.

- Oracle Flashback Drop

  You can reverse the effects of a `DROP TABLE` statement. "Rewinding a DROP TABLE Operation with Flashback Drop" explains how to use this feature.

A flashback data archive enables you to use some logical flashback features to access data from far back in the past. A flashback data archive consists of one or more tablespaces or parts of tablespaces. When you create a flashback data archive, you specify the name, retention period, and tablespace. You can also specify a default flashback data archive. The database automatically purges old historical data the day after the retention period expires.

You can turn flashback archiving on and off for individual tables. By default, flashback archiving is turned off for every table.

> **See Also:**
>
> - Performing Flashback and Database Point-in-Time Recovery to learn how to perform Flashback Table and Flashback Drop
> - *Oracle Database Development Guide* for more information on the logical flashback features

1.4.2 Flashback Database

Flashback Database enables you to revert an Oracle Database to a previous point in time.

At the physical level, Oracle Flashback Database provides a more efficient data protection alternative to database point-in-time recovery (DBPITR). If the current data files have unwanted changes, then you can use the RMAN command `FLASHBACK DATABASE` to revert the data files to their contents at a past time. The end product is much like the result of a DBPITR, but is generally much faster because it does not require restoring data files from backup and requires less redo than media recovery.

Flashback Database uses flashback logs to access past versions of data blocks and some information from archived redo logs. Flashback Database requires that you configure a fast recovery area for a database because the flashback logs are stored there (by default). Flashback logging is not enabled by default. Space used for flashback logs is managed automatically by the database and balanced against space required for other files in the fast recovery area.

Starting with Oracle Database 23c, when you configure the fast recovery area, you can designate a separate disk location for flashback logging. If you have write-intensive database workloads, flashback logs can slow down the database if the fast recovery area is not fast enough. Therefore, you can choose to write the flashback logs to faster disks outside the fast recovery area to improve database performance.

Oracle Database also supports restore points along with Flashback Database and backup and recovery. A restore point is an alias corresponding to a system change number (SCN). You can create a restore point at any time if you anticipate needing to return part or all of a database to its contents at that time. A guaranteed restore point ensures that you can use Flashback Database to return a database to the time of the restore point.

> **See Also:**
>
> "Rewinding a Database with Flashback Database" to learn how to perform Flashback Database with the `FLASHBACK DATABASE` command

1.5 RMAN and Oracle Enterprise Manager Cloud Control

RMAN functionality can also be accessed using Oracle Enterprise Manager Cloud Control.

This section contains:

- About Oracle Enterprise Manager Cloud Control
- Accessing the Database Home Page Using Cloud Control
- Performing Backup and Recovery Tasks with Cloud Control

1.5.1 About Oracle Enterprise Manager Cloud Control

Oracle Enterprise Manager Cloud Control (Cloud Control) is a browser-based management interface for Oracle Database.

Cloud Control provides a graphical front end and scheduling facilities for RMAN. You enter job parameters, specify a job schedule, and Cloud Control runs RMAN at the designated time or designated repeat interval to conduct the backup and recovery operations. Cloud Control provides access to RMAN through a set of wizards. These wizards lead you through a variety of recovery procedures based on an analysis of your database, your available backups, and your data recovery objectives.

By using Cloud Control, you can perform the simpler restore and recovery scenarios outlined in this documentation. You can also use more sophisticated restore and recovery techniques such as point-in-time recovery and Oracle Flashback operations, which allow for efficient repair of media failures and user errors. Using Cloud Control is often simpler than the RMAN command-line client.

To use Cloud Control, you start by accessing the Database Home page as described in Accessing the Database Home Page Using Cloud Control.

Performing Backup and Recovery Tasks with Cloud Control describes how to use Cloud Control for backup and recovery.

1.5.2 Accessing the Database Home Page Using Cloud Control

The Database Home page is the main database management page in Oracle Enterprise Manager Cloud Control (Cloud Control).

After you log in to Cloud Control, you go to the Database Home page for the target database for backup and recovery tasks.

To access the Database Home page in Cloud Control:

1. Start Cloud Control.

   The URL for accessing Cloud Control has the following syntax:

   ```
   http://hostname.domain:portnumber/em
   ```

   Obtain the URL from your Oracle Enterprise Manager administrator or your database administrator.
2. On the Welcome page, enter your Cloud Control user name and password, and then click **Login**.
3. From the **Targets** menu, select **Databases**.
4. On the Databases page, if not already selected, select **Search List** to display a list of the available target databases.
5. Select the target database that you want to modify by clicking the database name.

The home page for the target database appears. The first time that you interact with the database (for example, by selecting from the menu options), the Database Login page appears.

6. On the login page for the target database, log in as a user with the appropriate privileges. For example, to log in as user `SYS` with the `SYSDBA` privilege:
   - **User Name**: Enter `SYS`.
   - **Password**: Enter the `SYS` user's password.
   - **Connect As**: From the list, select **Sysdba Role**.

1.5.3 Performing Backup and Recovery Tasks with Cloud Control

You can perform a variety of both simple and advanced backup and recovery tasks with Oracle Enterprise Manager Cloud Control (Cloud Control).

To perform backup and recovery tasks with Cloud Control:

1. Access the Database Home page for the target database as described in Accessing the Database Home Page Using Cloud Control.
2. From the Availability menu, select **Backup and Recovery**, and then select an option.

1.6 About Zero Data Loss Recovery Appliance

Zero Data Loss Recovery Appliance (Recovery Appliance) is a cloud-scale Engineered System that is designed to protect all the Oracle Databases in your enterprise. It achieves significant efficiencies in performance and manageability of backups by offloading most Oracle Database backup and restore processing to a centralized Recovery Appliance.

Recovery Appliance stores and manages backups of multiple databases on a unified disk pool, using an incremental-forever backup strategy described in "About the Incremental-Forever Backup Strategy for Recovery Appliance". It continually compresses, deduplicates, and validates backups at the Oracle block level, while quickly creating full virtual backups on demand.

> **See Also:**
>
> *Zero Data Loss Recovery Appliance Protected Database Configuration Guide* for information about the advantages of Recovery Appliance

Multiple client databases—known as protected databases—share a single, centrally-managed Recovery Appliance catalog that resides on the Recovery Appliance. All protected databases that send backups to Recovery Appliance must use this catalog. Virtual private catalog technology enforces separation of duties among the protected database DBAs and the Recovery Appliance administrator.

Real-time redo transport minimizes the window of potential data loss that exists between successive archived log backups. Redo data from the protected database is written directly to the recovery appliance as it is generated.

Recovery Appliance is integrated with Cloud Control and RMAN. An accompanying media management library is used for communication between RMAN and Recovery Appliance. Cloud Control enables administrators to centrally manage and monitor the data protection of all protected databases in the enterprise.

Oracle Secure Backup, the tape management component of Recovery Appliance, is preinstalled on Recovery Appliance. For disaster recovery, Recovery Appliance can replicate backups to other Recovery Appliances. A single Recovery Appliance can service multiple protected databases of different versions and from different platforms.

See Also:

- *Zero Data Loss Recovery Appliance Administrator's Guide*
- *Zero Data Loss Recovery Appliance Protected Database Configuration Guide*

1.6.1 Using RMAN with Recovery Appliance

You can use most RMAN commands to back up to and recover from Zero Data Loss Recovery Appliance (Recovery Appliance).

To make backups to Recovery Appliance, you must first set the required configuration parameters and allocate one or more SBT channels.

See Also:

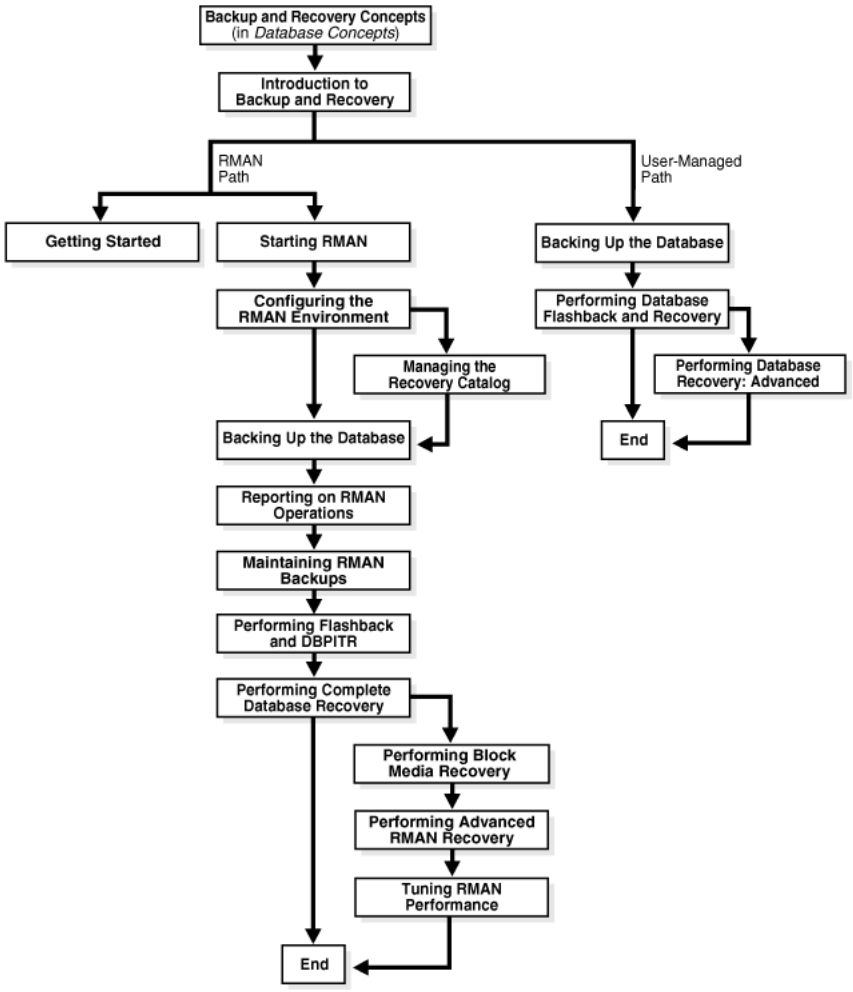
- About RMAN in a Recovery Appliance Environment
- About Real-time Redo Transport for Recovery Appliance
- About the Incremental-Forever Backup Strategy for Recovery Appliance
- Configuring RMAN to Make Backups to Recovery Appliance
- *Zero Data Loss Recovery Appliance Protected Databases Configuration Guide* for information about RMAN commands with modified behavior in Recovery Appliance

1.7 Backup and Recovery Documentation Roadmap

The backup and recovery documentation roadmap is divided into two main paths: RMAN and user-managed backup and recovery. Optional paths are shown as splitting off and then rejoining each main path.

Figure 1-1 illustrates the recommended way to navigate the backup and recovery documentation. You can either implement your backup and recovery strategy with RMAN, which is recommended, or with user-managed tools.

Figure 1-1 Backup and Recovery Documentation Roadmap



> **Note:**
>
> Starting in Oracle Database 23c, the Data Recovery Advisor (DRA) feature is desupported.
>
> The desupport of DRA includes desupporting the following Oracle Recovery Manager (RMAN) commands: `LIST FAILURE`, `ADVISE FAILURE`, `REPAIR FAILURE`, and `CHANGE FAILURE`. Database administrators will no longer have access to these commands. There is no replacement feature for DRA.

1.7.1 Recovery Manager Documentation Roadmap

This section provides a roadmap for navigating the backup and recovery documentation when using RMAN as your principal backup and recovery solution.

Begin by reading "Getting Started with RMAN". This brief chapter, which explains the most basic RMAN techniques, may be adequate for your purposes. For a more

comprehensive explanation of how to implement a backup and recovery strategy with RMAN, read the chapters in the following order (optional chapters are not listed):

1. Read " Starting and Interacting with the RMAN Client".

   This chapter explains how to start the RMAN client and connect to databases.

2. Read " Configuring the RMAN Environment".

   This chapter explains how to perform basic tasks such as configuring a fast recovery area, backup retention policy, and archived redo log deletion policy.

3. Read " Backing Up the Database".

   This chapter explains how to implement a basic backup strategy.

4. Read "Reporting on RMAN Operations".

   This chapter explains how to monitor RMAN backup and recovery operations. Specifically, the chapter explains how to use the reporting commands (`LIST`, `REPORT`, and `SHOW`) and the relevant V$ and recovery catalog views.

5. Read " Maintaining RMAN Backups and Repository Records".

   This chapter explains how to verify the existence of backups, change the repository status of backups, delete backups, and perform other maintenance tasks.

6. Read " Performing Flashback and Database Point-in-Time Recovery".

   This chapter explains how to use the `FLASHBACK DATABASE` command and perform point-in-time recovery with the `RECOVER DATABASE` command.

7. Read " Performing Complete Database Recovery".

   This chapter explains how to recover individual tablespaces or the database.

1.7.2 User-Managed Backup and Recovery Documentation Roadmap

This section provides a roadmap for navigating the backup and recovery documentation when you do *not* use RMAN as your principal backup and recovery solution.

In this case, you must use third-party tools to make your backups and SQL or SQL*Plus commands to perform recovery. Read the chapters in the following order:

1. Read Making User-Managed Database Backups.

   This chapter explains how to make backups with third-party tools.

2. Read Performing User-Managed Database Flashback and Recovery.

   This chapter explains how to use the SQL statement `FLASHBACK DATABASE` and to perform recovery with the SQL*Plus `RECOVER` command.

3. Read Performing User-Managed Recovery: Advanced Scenarios.

   This chapter explains various recovery scenarios.

2
Getting Started with RMAN

New users can start with RMAN right away by understanding the most important RMAN concepts and learning how to perform backup and recovery tasks.

Note that the topics described in this chapter are not a substitute for the rest of the backup and recovery documentation set.

2.1 Overview of the RMAN Environment

Recovery Manager (RMAN) is an Oracle Database client that performs backup and recovery tasks on your databases and automates administration of your backup strategies. It greatly simplifies backing up, restoring, and recovering database files.

The RMAN environment consists of the utilities and databases that play a role in backing up your data. At a minimum, the environment for RMAN must include the following components:

- A target database

  An Oracle Database to which RMAN is connected with the `TARGET` keyword. A target database is a database on which RMAN is performing backup and recovery operations. RMAN always maintains metadata about its operations on a database in the control file of the database. The RMAN metadata is known as the RMAN repository.

- The RMAN client

  An Oracle Database executable that interprets commands, directs server sessions to execute those commands, and records its activity in the target database control file. The RMAN executable is automatically installed with the database and is typically located in the same directory as the other database executables. For example, the RMAN client on Linux is located in `$ORACLE_HOME/bin`.

Some environments use the following optional components:

- A fast recovery area

  A disk location in which the database can store and manage files related to backup and recovery. You set the fast recovery area location and size with the `DB_RECOVERY_FILE_DEST` and `DB_RECOVERY_FILE_DEST_SIZE` initialization parameters.

- A media management software

  An application required for RMAN to interact with sequential media devices such as tape libraries. A media manager controls these devices during backup and recovery, managing the loading, labeling, and unloading of media. Media management devices are sometimes called SBT (system backup to tape) devices.

- A recovery catalog

  A separate database schema used to record RMAN activity against one or more target databases. A recovery catalog preserves RMAN repository metadata if the control file is lost, making it much easier to restore and recover following the loss of the control file. The database may overwrite older records in the control file, but RMAN maintains records forever in the catalog unless the records are deleted by the user.

This chapter explains how to use RMAN in the most basic configuration, which is *without* a recovery catalog or media manager.

> **See Also:**
>
> - Recovery Manager Architecture for a more detailed overview of the RMAN environment
> - *Oracle Database Backup and Recovery Reference* for `BACKUP` command syntax and semantics

2.2 Starting RMAN and Connecting to a Database: Quick Start

Before you perform any operations using RMAN, you must connect to a target database.

The RMAN client is started by issuing the `rman` command at the command prompt of your operating system. RMAN displays a prompt for your commands as shown in the following example:

```
% rman
RMAN>
```

RMAN connections to a database are specified and authenticated in the same way as SQL*Plus connections to a database. The only difference is that RMAN connections to a target or auxiliary database require either the `SYSDBA` or `SYSBACKUP` privilege. Any user can be granted this privilege.

> **Caution:**
>
> Good security practice requires that you not enter passwords in plain text on the command line. Enter passwords in RMAN only when requested by an RMAN prompt. See *Oracle Database Security Guide* to learn about password protection.

> **Note:**
>
> For RMAN operations, auditing is always enabled, by default, in the unified auditing mode. However, auditing is disabled if you using a mixed mode auditing environment. See *Oracle Database Security Guide* to learn more about auditing.

You can connect to a database with command-line options or by using the `CONNECT TARGET` command. The following example starts RMAN and then connects to a target database through Oracle Net as user `sbu`, which is created with the `SYSBACKUP` privilege. RMAN prompts for a password.

```
% rman
RMAN> CONNECT TARGET "sbu@prod AS SYSBACKUP"

target database Password: password
connected to target database: PROD (DBID=39525561)
```

When using the multitenant architecture, you can connect to the root or to a specified pluggable database (PDB) as described in "Making Database Connections with RMAN".

To quit the RMAN client, enter `EXIT` at the RMAN prompt:

```
RMAN> EXIT
```

Syntax of Common RMAN Command-line Options

```
RMAN
[ TARGET connectStringSpec
| { CATALOG connectStringSpec }
| LOG ['] filename ['] [ APPEND ]
.
.
.
]...

connectStringSpec::=
['] [userid] [/ [password]] [@net_service_name] ['] 
```

The following example appends the output from an RMAN session to a text file at `/tmp/msglog.log`

```
% rman TARGET / LOG /tmp/msglog.log APPEND
```

> **See Also:**
>
> Starting and Interacting with the RMAN Client, to learn more about starting and using the RMAN client

About the `SYSBACKUP` Administrative Privilege

When you install Oracle Database, the `SYSBACKUP` user account is automatically created. The `SYSBACKUP` user account provides the `SYSBACKUP` administrative privilege to a designated user.

Oracle recommends that you create a user and grant the `SYSBACKUP` administrative privilege to the user to perform Oracle Recovery Manager (RMAN) backup and recovery operations from RMAN or SQL*Plus. Do not use the default `SYSBACKUP` user account for this purpose.

For example, the following statement starts RMAN and then connects to a target database as a common user `sbu` who is granted the `SYSBACKUP` privilege:

```
% rman
RMAN> CONNECT TARGET 'sbu@prod AS SYSBACKUP'
```

> **Note:**
>
> Starting with Oracle Database Release 23c, the `SYSBACKUP` administrative privilege has dictionary protection enabled for security reasons. To prevent improper use of system privileges, Oracle recommends that you retain the dictionary protection for the `SYSBACKUP` user account. You can temporarily disable the dictionary protection, if necessary. See, Managing Dictionary Protection for Oracle-Maintained Schemas, in the *Oracle Database Security Guide*.

2.3 Showing the Default RMAN Configuration

The RMAN backup and recovery environment is preconfigured for each target database. The configuration is persistent and applies to all subsequent operations on this target database, even if you exit and restart RMAN.

RMAN configuration settings can specify backup devices, set up connections to those devices (known as channels), set policies affecting backup strategy, and more.

To show the current configuration for a database:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".
2. Run the `SHOW ALL` command.

   For example, enter the command at the RMAN prompt as follows:

   ```
   RMAN> SHOW ALL;
   ```

The output lists the `CONFIGURE` commands to re-create this configuration.

> **See Also:**
>
> Configuring the RMAN Environment, and Configuring the RMAN Environment: Advanced Topics, to learn how to configure the RMAN environment

2.4 Backing Up a Database: Quick Start

Use the BACKUP command to back up files. RMAN backs up data to the configured default device for the type of backup requested.

By default, RMAN creates backups on disk. If a fast recovery area is enabled, and if you do not specify the `FORMAT` parameter (see Table 2-1), then RMAN creates backups in the recovery area and automatically gives them unique names.

By default, RMAN creates backup sets rather than image copies. A backup set consists of one or more backup pieces, which are physical files written in a format that only RMAN can access. A multiplexed backup set contains the blocks from multiple input files. RMAN can write backup sets to disk or tape.

If you specify `BACKUP AS COPY`, then RMAN copies each file as an image copy, which is a bit-for-bit copy of a database file created on disk. Image copies are identical to copies created with operating system commands like `cp` on Linux or `COPY` on Windows, but are recorded in the RMAN repository and so are usable by RMAN. You can use RMAN to make image copies while the database is open.

The following sections describe backing up databases in different modes:

- About Typical RMAN Backup Options
- Backing Up a Database in ARCHIVELOG Mode
- Backing Up a Database in NOARCHIVELOG Mode
- Making Incremental Backups
- Making Incrementally Updated Backups
- Scripting RMAN Operations

See Also:

- RMAN Backup Concepts, to learn concepts relating to RMAN backups
- Backing Up the Database, to learn how to back up database files with RMAN
- *Oracle Database Backup and Recovery Reference* for `BACKUP` command syntax and semantics

2.4.1 About Typical RMAN Backup Options

The `BACKUP` command includes a host of options, parameters, and clauses that control backup output.

Table 2-1 lists some typical backup options.

Table 2-1 Common Backup Options

Option	Description	Example
`FORMAT`	Specifies a location and name for backup pieces and copies. You must use substitution variables to generate unique file names. The most common substitution variable is `%U`, which generates a unique name. Others include `%d` for the DB_NAME, `%t` for the backup set time stamp, `%s` for the backup set number, and `%p` for the backup piece number.	`BACKUP FORMAT 'AL_%d/%t/%s/%p' ARCHIVELOG LIKE '%arc_dest%';`

Table 2-1 (Cont.) Common Backup Options

Option	Description	Example
TAG	Specifies a user-defined string as a label for the backup. If you do not specify a tag, then RMAN assigns a default tag with the date and time. Tags are always stored in the RMAN repository in uppercase.	`BACKUP TAG 'weekly_full_db_bkup' DATABASE MAXSETSIZE 10M;`

See Also:

- "Specifying Backup Output Options"
- *Oracle Database Backup and Recovery Reference* for information about the format options

2.4.2 Backing Up a Database in ARCHIVELOG Mode

If a database runs in `ARCHIVELOG` mode, then you can back up the database while it is open.

A backup is called an inconsistent backup if it contains changes after its checkpoint. If you have the archived redo logs needed to recover the backup, open database backups are as effective for data protection as consistent backups.

To back up the database and archived redo logs while the database is open:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".
2. Run the `BACKUP DATABASE` command.

   For example, enter the following command at the RMAN prompt to back up the database and all archived redo log files to the default backup device:

   ```
   RMAN> BACKUP DATABASE PLUS ARCHIVELOG;
   ```

2.4.3 Backing Up a Database in NOARCHIVELOG Mode

If a database runs in `NOARCHIVELOG` mode, then the only valid database backup is a consistent backup.

For the backup to be consistent, the database must be mounted after a consistent shutdown. Recovery is not specifically required after restoring the backup, but you would lose any transactions made after the backup. You can recover with archived logs from a consistent backup to minimize data loss.

To make a consistent database backup:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".
2. Shut down the database consistently and then mount it.

   For example, enter the following commands to *guarantee* that the database is in a consistent state for a backup:

   ```
   RMAN> SHUTDOWN IMMEDIATE;
   RMAN> STARTUP FORCE DBA;
   RMAN> SHUTDOWN IMMEDIATE;
   RMAN> STARTUP MOUNT;
   ```

3. Run the `BACKUP DATABASE` command.

   For example, enter the following command at the RMAN prompt to back up the database to the default backup device:

   ```
   RMAN> BACKUP DATABASE;
   ```

   The following variation of the command creates image copy backups of all data files in the database:

   ```
   RMAN> BACKUP AS COPY DATABASE;
   ```

4. Open the database and resume normal operations.

   The following command opens the database:

   ```
   RMAN> ALTER DATABASE OPEN;
   ```

2.4.4 Making Incremental Backups: Quick Start

Incremental backups capture block-level changes to a database made after a previous incremental backup.

If you specify `BACKUP INCREMENTAL`, then RMAN creates an incremental backup of a database. Incremental backups are generally smaller and faster to make than full database backups. Recovery with incremental backups is faster than using redo logs alone.

The starting point for an incremental backup strategy is a level 0 incremental backup, which backs up all blocks in the database. An incremental backup at level 0 is identical in content to a full backup, however, unlike a full backup the level 0 backup is considered a part of the incremental backup strategy.

A level 1 incremental backup contains only blocks changed after a previous incremental backup. If no level 0 backup exists in either the current or parent database incarnation when you run a level 1 backup, then RMAN makes a level 0 backup automatically.

> **Note:**
>
> You cannot make incremental backups when a `NOARCHIVELOG` database is open, although you can make incremental backups when the database is mounted after a consistent shutdown.

A level 1 backup can be a cumulative incremental backup, which includes all blocks changed since the most recent level 0 backup, or a differential incremental backup, which includes only blocks changed since the most recent incremental backup. Incremental backups are differential by default.

During a restore operation, RMAN will first restore a level 0 backup, then automatically apply incremental backups and redo logs as needed. This will re-apply the changes that were made to the database since the start of the backup.

To make incremental backups of the database:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".
2. Run the `BACKUP INCREMENTAL` command.

   The following example creates a level 0 incremental backup to serve as a base for an incremental backup strategy:

   ```
   BACKUP INCREMENTAL LEVEL 0 DATABASE;
   ```

   The following example creates a level 1 cumulative incremental backup:

   ```
   BACKUP INCREMENTAL LEVEL 1 CUMULATIVE DATABASE;
   ```

   The following example creates a level 1 differential incremental backup:

   ```
   BACKUP INCREMENTAL LEVEL 1 DATABASE;
   ```

> **See Also:**
>
> "About RMAN Incremental Backups" for a more detailed conceptual overview of incremental backups and "Making and Updating RMAN Incremental Backups"

2.4.5 Making Incrementally Updated Backups

Incrementally updated backups enable you to implement an efficient incremental forever backup strategy.

The RMAN incrementally updated backup feature has the following main features:

- The strategy requires a level 0 data file copy as a base. This copy has either a system-defined or user-defined tag.
- Periodically, level 1 differential backups are created with the same tag as the level 0 data file copy. The `BACKUP FOR RECOVER OF COPY` command specifies that an incremental backup contains *only* blocks changed since the most recent incremental backup with the same tag.
- Periodically, the incremental backups are applied to the level 0 data file copy. Because the data file copy has been updated with more recent changes, it now requires less media recovery.

Table 2-2 explains which options to use with `FOR RECOVER OF COPY` to implement an incrementally updated backup strategy.

Table 2-2 FOR RECOVER OF COPY Options

BACKUP Option	Description	Example

`FOR RECOVER OF COPY WITH TAG 'tag_name'`	Use `TAG` to identify the tag of the data file copy serving as basis for the backup strategy. RMAN automatically assigns the same tag to every level 1 backup of this copy.
	If no level 0 data file copy with the specified tag exists in either the current or parent database incarnation, then RMAN creates a level 0 data file copy with the specified tag.
		`BACKUP`
		`  INCREMENTAL LEVEL 1`
		`  FOR RECOVER OF COPY`
		`  WITH TAG 'incr_update'`
		`  DATABASE;`

`FOR RECOVER OF COPY DATAFILECOPY FORMAT 'format'`	Specifies where RMAN creates the data file copy if a copy does not exist. If you add a new data file to the database, then you do not need to change your script, because RMAN automatically creates the level 0 copy required by the incremental backup routine.	`BACKUP`
		`  INCREMENTAL LEVEL 1`
		`  FOR RECOVER OF COPY`
		`  DATAFILECOPY FORMAT`
		`  '/disk2/df1.cpy'`
		`  DATABASE;`

To implement an incrementally updated backup strategy:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".
2. Run the `RECOVER COPY` and `BACKUP INCREMENTAL` commands.

   The following script, run on a regular basis, is all that is required to implement a strategy based on incrementally updated backups.

```
RECOVER COPY OF DATABASE
  WITH TAG 'incr_update';
BACKUP
  INCREMENTAL LEVEL 1
  FOR RECOVER OF COPY WITH TAG 'incr_update'
  DATABASE;
```

> **See Also:**
>
> "Incrementally Updating Backups"

2.4.6 Validating Database Files and Backups: Quick Start

RMAN validation checks a backup to determine whether it can be restored. Validation also checks for corrupt blocks and missing files.

Use the `VALIDATE` command to confirm that all database files exist, are in their correct location, and are free of physical corruption. The `CHECK LOGICAL` option also checks for logical block corruption.

To validate database files:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".

2. Run the `BACKUP VALIDATE ...` command for the desired files.

   For example, enter the following commands to validate all database files and archived redo log files for physical and logical corruption:

   ```
   BACKUP VALIDATE CHECK LOGICAL
     DATABASE ARCHIVELOG ALL;
   ```

   You can also use the `VALIDATE` command to check individual data blocks, as shown in the following example:

   ```
   VALIDATE DATAFILE 4 BLOCK 10 TO 13;
   ```

   You can also validate backup sets, as shown in the following example:

   ```
   VALIDATE BACKUPSET 3;
   ```

   You specify backup sets by primary key, which is shown in the output of the `LIST BACKUP` command.

> **See Also:**
>
> - Validating Database Files and Backups
> - *Oracle Database Backup and Recovery Reference* for `VALIDATE` command syntax and semantics

2.4.7 Scripting RMAN Operations

RMAN supports the use of command files to manage recurring tasks such as weekly backups.

A command file is a client-side text file containing RMAN commands, exactly as you enter them at the RMAN prompt. You can use any file extension.

Stored scripts are an alternative to command files that allow scripts to be available to any RMAN client that can connect to the target database and its recovery catalog.

To create and run a command file:

1. Use a text editor to create a command file.

   For example, create a command file with the following contents:

   ```
   # my_command_file.txt
   CONNECT TARGET /
   BACKUP DATABASE PLUS ARCHIVELOG;
   LIST BACKUP;
   EXIT;
   ```

2. Start RMAN and then execute the contents of a command file by running the `@` command at the RMAN prompt:

   ```
   @/my_dir/my_command_file.txt  # runs specified command file
   ```

   You can also start RMAN with a command file to run, as shown here:

   ```
   % rman @/my_dir/my_command_file.txt
   ```

See Also:

- "Using Command Files with RMAN" to learn more about command files
- "Using Substitution Variables in Command Files" to learn how to use substitution variables in command files and pass parameters at run time

2.5 Reporting on RMAN Operations: Quick Start

RMAN can use the information stored in the RMAN repository to generate reports on backup activities.

Use the RMAN `LIST` and `REPORT` commands for reporting on backup operations. Use the `SHOW ALL` command to display the current RMAN configuration. In addition, RMAN provides a comprehensive set of views for generating custom reports.

This section contains the following topics:

- Listing Backups
- Reporting on Database Files and Backups

2.5.1 Listing Backups: Quick Start

The `LIST BACKUP` and `LIST COPY` commands display information about backups and data file copies listed in the repository.

For backups, you can control the format of `LIST` output with the options in Table 2-3 and Table 2-4.

Table 2-3 LIST Options for Backups

Option	Example	Explanation
`BY BACKUP`	`LIST BACKUP OF DATABASE BY BACKUP`	Organizes the output by backup set. This is the default mode of presentation.
`BY FILE`	`LIST BACKUP BY FILE`	Lists the backups according to which file was backed up.
`SUMMARY`	`LIST BACKUP SUMMARY`	Displays summary output.

For both backups and copies you have additional options shown in Table 2-4.

Table 2-4 Additional LIST Options

Option	Example	Explanation
`EXPIRED`	`LIST EXPIRED COPY`	Lists backups that are recorded in the RMAN repository but that were not present at the expected location on disk or tape during the last `CROSSCHECK` command. An expired backup may have been deleted by an operating system utility.

Table 2-4 (Cont.) Additional LIST Options

Option	Example	Explanation
RECOVERABLE	LIST BACKUP RECOVERABLE	Lists data file backups or copies that have status AVAILABLE in the RMAN repository and that can be restored and recovered.

To list backups and copies:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".
2. Run the LIST command at the RMAN prompt.

   You can display specific objects, as in the following examples:

```
LIST BACKUP OF DATABASE;
LIST COPY OF DATAFILE 1, 2;
LIST BACKUP OF ARCHIVELOG FROM SEQUENCE 10;
LIST BACKUPSET OF DATAFILE 1;
```

See Also:

- "Listing Backups and Recovery-Related Objects" to learn more about the LIST command
- *Oracle Database Backup and Recovery Reference* for LIST command syntax

2.5.2 Reporting on Database Files and Backups: Quick Start

The REPORT command performs more complex reporting analysis than the LIST command.

Table 2-5 displays some of the main options of the REPORT command.

Table 2-5 REPORT Options

Option	Example	Explanation
NEED BACKUP	REPORT NEED BACKUP DATABASE	Shows which files need backing up under current retention policy. Use optional REDUNDANCY and RECOVERY WINDOW parameters to specify different criteria.
OBSOLETE	REPORT OBSOLETE	Lists backups that are obsolete under the configured backup retention policy. Use the optional REDUNDANCY and RECOVERY WINDOW parameters to override the default.
SCHEMA	REPORT SCHEMA	Reports the tablespaces and data files in the database at the current time (default) or a different time.

Table 2-5 (Cont.) REPORT Options

Option	Example	Explanation
UNRECOVERABLE	REPORT UNRECOVERABLE	Lists all data files for which an unrecoverable operation has been performed against an object in the data file since the last backup of the data file.

To generate reports of database files and backups:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".
2. Run the `REPORT` command at the RMAN prompt.

   The following example reports backups that are obsolete according to the currently configured backup retention policy:

   ```
   REPORT OBSOLETE;
   ```

   The following example reports the data files and temp files in the database:

   ```
   REPORT SCHEMA;
   ```

> **See Also:**
>
> "Reporting on Backups and Database Schema" to learn how to use the `REPORT` command for RMAN reporting

2.6 Maintaining RMAN Backups

RMAN repository metadata is always stored in the control file of the target database. The RMAN maintenance commands use this metadata when managing backups.

This section contains the following topics:

- Cross-checking Backups
- Deleting Obsolete Backups

2.6.1 Cross-checking Backups: Quick Start

Use the `CROSSCHECK` command to synchronize the logical records of RMAN backups and copies with the files on storage media.

If a backup is on disk, then `CROSSCHECK` determines whether the header of the file is valid. If a backup is on tape, then RMAN queries the RMAN repository for the names and locations of the backup pieces. It is a good idea to crosscheck backups and copies before deleting them.

To crosscheck all backups and copies on disk:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".
2. Run the `CROSSCHECK` command, as shown in the following example:

```
CROSSCHECK BACKUP;
CROSSCHECK COPY;
```

> **See Also:**
>
> "Crosschecking the RMAN Repository" to learn how to crosscheck RMAN backups

2.6.2 Deleting Obsolete Backups: Quick Start

The DELETE command removes RMAN backups and copies from disk and tape, updates the status of the files to `DELETED` in the control file repository, and removes the records from the recovery catalog (if you use a catalog).

If you run RMAN interactively, and if you do not specify the `NOPROMPT` option, then `DELETE` displays a list of files and prompts for confirmation before deleting any file in the list. The `DELETE OBSOLETE` command is particular useful because RMAN deletes backups and data file copies recorded in the RMAN repository that are obsolete, that is, no longer needed. You can use options on the `DELETE` command to specify what is obsolete or use the configured backup retention policy.

To delete obsolete backups and copies:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".
2. Run the `DELETE OBSOLETE` command, as shown in the following example:

```
DELETE OBSOLETE;
```

> **See Also:**
>
> "Deleting RMAN Backups and Archived Redo Logs" to learn how to use the `DELETE` command

2.7 Rewinding a Database with Flashback Database: Quick Start

You can use the Oracle Flashback Database to rewind the whole database to a past time. Unlike media recovery, you do not need to restore data files to return the database to a past state.

To use the RMAN `FLASHBACK DATABASE` command, your database must have been previously configured to generate flashback logs. This configuration task is described in "About Flashback Database". Flashback Database works by rewinding changes to the data files that exist at the moment that you run the command. You cannot use the flashback database to repair media failures or missing data files.

The database must be mounted when you issue `FLASHBACK DATABASE`. You can flashback to any time within the flashback database window. If you have previously created a restore point, that is a convenience, but not required.

To rewind a database with Flashback Database:

1. Start RMAN and connect to a target database as described in "Starting RMAN and Connecting to a Database".
2. Ensure that the database is in a mounted state.

   The following commands shut down and then mount the database:

   ```
   SHUTDOWN IMMEDIATE;
   STARTUP MOUNT;
   ```

3. Run the `FLASHBACK DATABASE` command.

   The following examples illustrate different forms of the command:

   ```
   FLASHBACK DATABASE TO SCN 861150;

   FLASHBACK DATABASE
     TO RESTORE POINT BEFORE_CHANGES;

   FLASHBACK DATABASE
     TO TIMESTAMP TO_DATE(04-DEC-2009  03:30:00','DD-MON-YYYY HH24:MI:SS');
   ```

4. After performing the Flashback Database, open the database read-only in SQL*Plus and run some queries to verify the database contents.

   Open the database read-only as follows:

   ```
   ALTER DATABASE OPEN READ ONLY;
   ```

5. If satisfied with the results, then issue the following sequence of commands to shut down and then open the database:

   ```
   SHUTDOWN IMMEDIATE;
   STARTUP MOUNT;
   ALTER DATABASE OPEN RESETLOGS;
   ```

> **See Also:**
>
> "Rewinding a Database with Flashback Database"

2.8 Restoring and Recovering Database Files: Quick Start

Use the `RESTORE` and `RECOVER` commands for RMAN restore and recovery of physical database files.

Restoring data files is retrieving them from backups as needed for a recovery operation. Media recovery is the application of changes from redo logs and incremental backups to a restored data file to bring the data file forward to a desired SCN or point in time.

This section contains the following topics:

- Preparing to Restore and Recover Database Files
- Recovering the Whole Database

- Recovering Tablespaces
- Recovering Individual Data Blocks

> **See Also:**
>
> Performing Complete Database Recovery

2.8.1 Preparing to Restore and Recover Database Files: Quick Start

To recover the database because a media failure damages database files, then first ensure that you have the necessary backups.

You can use the `RESTORE ... PREVIEW` command to report, but not restore, the backups that RMAN can use to restore to the specified time. RMAN queries the metadata and does not actually read the backup files. The database can be open when you run this command.

To preview a database restore and recovery:

1. Start RMAN and connect to the target database as described in "Starting RMAN and Connecting to a Database".
2. Optionally, list the current tablespaces and data files, as shown in the following command:

   ```
   RMAN> REPORT SCHEMA;
   ```

3. Run the `RESTORE DATABASE` command with the `PREVIEW` option.

   The following command specifies `SUMMARY` so that the backup metadata is not displayed in verbose mode (sample output included):

   ```
   RMAN> RESTORE DATABASE PREVIEW SUMMARY;

   Starting restore at 21-MAY-13
   allocated channel: ORA_DISK_1
   channel ORA_DISK_1: SID=80 device type=DISK

   List of Backups
   ===============
   Key     TY LV S Device Type Completion Time #Pieces #Copies Compressed Tag
   ------- -- -- - ----------- --------------- ------- ------- ---------- ---
   11      B  F  A DISK        18-MAY-13       1       2       NO
   TAG20070518T181114
   13      B  F  A DISK        18-MAY-13       1       2       NO
   TAG20070518T181114
   using channel ORA_DISK_1

   List of Archived Log Copies for database with db_unique_name PROD
   =====================================================================

   Key     Thrd Seq     S Low Time
   ------- ---- ------- - ---------
   47      1    18      A 18-MAY-13
           Name: /disk1/oracle/dbs/db1r_60ffa882_1_18_0622902157.arc

   Media recovery start SCN is 586534
   Recovery must be done beyond SCN 587194 to clear datafile fuzziness
   validation succeeded for backup piece
   Finished restore at 21-MAY-13
   ```

2.8.2 Recovering the Whole Database: Quick Start

Use the `RESTORE DATABASE` and `RECOVER DATABASE` commands to recover the whole database.

You must have previously made backups of all needed files. This scenario assumes that you can restore all data files to their original locations. If the original locations are inaccessible, then use the `SET NEWNAME` command as described in "About Restoring Data Files to a Nondefault Location".

To recover the whole database:

1. Prepare for recovery as explained in "Preparing to Restore and Recover Database Files".
2. Place the database in a mounted state.

   The following example terminates the database instance (if it is started) and mounts the database:

   ```
   RMAN> STARTUP FORCE MOUNT;
   ```

3. Restore the database.

   The following example uses the preconfigured disk channel to restore the database:

   ```
   RMAN> RESTORE DATABASE;
   ```

4. Recover the database, as shown in the following example:

   ```
   RMAN> RECOVER DATABASE;
   ```

5. Open the database, as shown in the following example:

   ```
   RMAN> ALTER DATABASE OPEN;
   ```

2.8.3 Recovering Tablespaces: Quick Start

Use the `RESTORE TABLESPACE` and `RECOVER TABLESPACE` commands on individual tablespaces when the database is open. In this case, you must take the tablespace that needs recovery offline, restore and then recover the tablespace, and bring the recovered tablespace online.

If you cannot restore a data file to its original location, then use the RMAN `SET NEWNAME` command within a `RUN` block to specify the new file name and location. Afterward, use a `SWITCH DATAFILE ALL` command to update the control file to reflect the new names for all data files for which a `SET NEWNAME` has been issued in the `RUN` command.

Unlike user-managed media recovery, you do *not* place an online tablespace in backup mode. RMAN does not require extra logging or backup mode because it knows the format of data blocks.

To recover an individual tablespace when the database is open:

1. Prepare for recovery as explained in "Preparing to Restore and Recover Database Files".
2. Take the tablespace to be recovered offline.

   The following example takes the `USERS` tablespace offline:

   ```
   RMAN> ALTER TABLESPACE users OFFLINE;
   ```

3. Restore and recover the tablespace.

The following `RUN` command, which you execute at the RMAN prompt, sets a new name for the data file in the `USERS` tablespace:

```
RUN
{
  SET NEWNAME FOR DATAFILE '/disk1/oradata/prod/users01.dbf'
    TO '/disk2/users01.dbf';
  RESTORE TABLESPACE users;
  SWITCH DATAFILE ALL;   # update control file with new file names
  RECOVER TABLESPACE users;
}
```

4. Bring the tablespace online, as shown in the following example:

```
RMAN> ALTER TABLESPACE users ONLINE;
```

You can also use `RESTORE DATAFILE` and `RECOVER DATAFILE` for recovery at the data file level.

> **See Also:**
>
> - "Performing Complete Recovery of a Tablespace"
> - "About Online Backups and Backup Mode"

2.8.4 Recovering Individual Data Blocks: Quick Start

RMAN can recover individual corrupted data file blocks.

When RMAN performs a complete scan of a file for a backup, any corrupted blocks are listed in `V$DATABASE_BLOCK_CORRUPTION`. Corruption is usually reported in alert logs, trace files, or results of SQL queries.

To recover data blocks:

1. Start RMAN and connect to the target database as described in "Starting RMAN and Connecting to a Database".
2. Obtain the block numbers of the corrupted blocks if you do not have this information.

   ```
   RMAN> SELECT NAME, VALUE FROM V$DIAG_INFO;
   ```

3. Run the `RECOVER` command to repair the blocks.

   The following RMAN command recovers all corrupted blocks:

   ```
   RMAN> RECOVER CORRUPTION LIST;
   ```

   You can also recover individual blocks, as shown in the following example:

   ```
   RMAN> RECOVER DATAFILE 1 BLOCK 233, 235 DATAFILE 2 BLOCK 100 TO 200;
   ```

> **See Also:**
>
> Performing Block Media Recovery

Part II

Starting and Configuring RMAN and Flashback Database

The chapters in this part explain the basic components of the RMAN environment and how to configure it. This part contains the following chapters:

- Recovery Manager Architecture
- Starting and Interacting with the RMAN Client
- Configuring the RMAN Environment
- Configuring the RMAN Environment: Advanced Topics
- Using Flashback Database and Restore Points

3
Recovery Manager Architecture

Learn about the Recovery Manager (RMAN) interface and the basic components of the RMAN environment.

3.1 About the RMAN Environment

The Recovery Manager environment consists of the various applications and databases that play a role in a backup and recovery strategy.

Table 3-1 lists some components in a typical RMAN environment.

Table 3-1 Components of the RMAN Environment

Component	Description
RMAN client	The client application that manages backup and recovery operations for a target database. The RMAN client can use Oracle Net to connect to a target database, so it can be located on any host that is connected to the target host through Oracle Net.
target database	A database containing the control files, data files, and optional archived redo logs that RMAN backs up or restores. RMAN uses the target database control file to gather metadata about the target database and to store information about its own operations. The work of backup and recovery is performed by server sessions running on the target database.
recovery catalog database	A database containing a recovery catalog, which contains metadata that RMAN uses to perform backup and recovery. You can create one recovery catalog that contains the RMAN metadata for multiple target databases. Unless you are using RMAN with a physical standby database, a recovery catalog is optional when using RMAN because RMAN stores its metadata in the control file of each target database.
recovery catalog schema	The user within the recovery catalog database that owns the metadata tables maintained by RMAN. RMAN periodically propagates metadata from the target database control file into the recovery catalog.
physical shatndby database	A copy of the primary database that is updated with redo generated by the primary database. You can fail over to the standby database if the primary database becomes inaccessible. RMAN can create, back up, or recover a standby database. Backups that you make at a physical standby database are usable at the primary database or another physical standby database for the same production database. The recovery catalog is required when you use RMAN to back up a physical standby database. **Note:** A logical standby database is treated as a separate database by RMAN because it has a different DBID from its primary database.

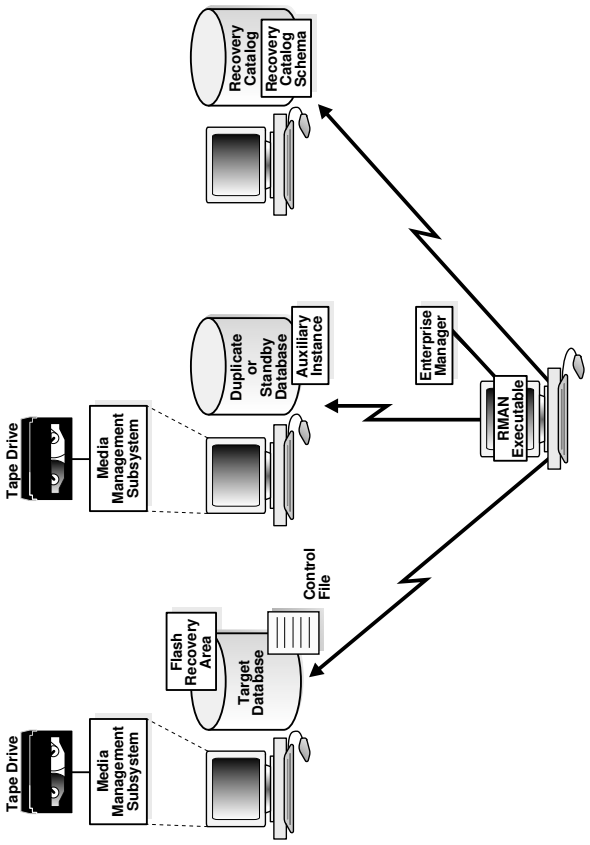
Table 3-1 (Cont.) Components of the RMAN Environment

Component	Description
fast recovery area	A disk location that you can use to store recovery-related files such as control file and online redo log copies, archived redo logs, flashback logs, and RMAN backups. Oracle Database and RMAN manage the files in the fast recovery area automatically.
media management software	A vendor-specific application that enables RMAN to back up to a storage system such as tape
media management catalog	A vendor-specific repository of metadata about a media management application
Oracle Enterprise Manager	A browser-based interface to the database, including backup and recovery through RMAN

The only required components in an RMAN environment are a target database and RMAN client, but most real-world configurations are more complicated. For example, you use an RMAN client connecting to multiple media managers and multiple target databases, all accessed through Enterprise Manager.

Figure 3-1 illustrates components in a possible RMAN environment. The figure shows that the primary database, standby database, and recovery catalog databases all reside on different computers. The primary and standby database hosts use a locally attached tape drive. The RMAN client and Enterprise Manager console run on a separate computer.

Figure 3-1 Sample RMAN Environment



Related Topics

- *Oracle Data Guard Concepts and Administration*
- *Oracle Database Net Services Administrator's Guide*

3.2 About RMAN Command-Line Client

Use the RMAN command-line client to enter commands that you can use to manage all aspects of backup and recovery operations.

RMAN uses a command language interpreter that can execute commands in interactive or batch mode.

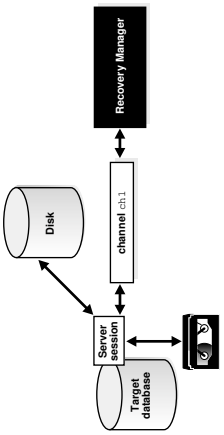
3.3 About RMAN Channels

An RMAN channel represents one stream of data to a device, and corresponds to one database server session. During a backup or restore operation, the channel reads data from the input device, processes it, and writes it to the output device.

The RMAN client directs database server sessions to perform all backup and recovery tasks. What constitutes a session depends on the operating system. For example, on Linux, a server session corresponds to a server process, whereas on Windows it corresponds to a thread within the database service. The RMAN client itself does not perform backup, restore, or recovery operations.

Most RMAN commands are executed by channels, which must be either configured to persist across RMAN sessions, or manually allocated in each RMAN session. As illustrated in Figure 3-2, a channel establishes a connection from the RMAN client to a target or auxiliary database instance by starting a server session on the instance.

Figure 3-2 Channel Allocation



Related Topics

- Basic Concepts of RMAN Performance Tuning

3.3.1 About RMAN Channels and Devices

The RMAN-supported device types are DISK and SBT (system backup to tape).

An SBT device is controlled by a third-party media management software. Typically, SBT devices are tape libraries and tape drives.

If you use a DISK channel for a backup, then the channel creates the backup on disk in the file name space of the target database instance creating the backup. You can make a backup on any device that can store a data file. RMAN does not call a media manager when making DISK backups.

To create backups on non-disk media, you must use media management software such as Oracle Secure Backup and allocate channels supported by this software. RMAN contacts the media manager whenever the channel type allocated is not DISK. How and when the SBT channels cause the media manager to allocate resources is vendor-specific. Some media managers allocate resources when you issue the command; others do not allocate resources until you open a file for reading or writing.

Related Topics

- Configuring the Default Device for Backups: Disk or SBT
  Backups for which no destination device type is specified are directed to the configured default device. RMAN is preconfigured to use disk as the default device type. No additional configuration is necessary.

3.3.2 About RMAN Automatic and Manual Channels

RMAN can use automatic channels or manual channels for backup and recover operations.

You can use the `CONFIGURE CHANNEL` command to configure channels for use with disk or tape across RMAN sessions. This technique is known as automatic channel allocation. RMAN comes preconfigured with one `DISK` channel that you can use for backups to disk.

When you run a command that can use automatic channels, RMAN automatically allocates the channels with the options that you specified in the `CONFIGURE` command. For the `BACKUP` command, RMAN allocates only the type of channel required to back up to the specified media. For the `RESTORE` command and RMAN maintenance commands, RMAN allocates all necessary channels for the device types required to execute the command. RMAN determines the names for automatic channels.

You can also manually allocate channels. Each manually allocated channel uses a separate connection to the database. When you manually allocate a channel, you give it a user-defined name such as `dev1` or `ch2`.

The number of channels available for use with a device when you run a command determines whether RMAN reads from or write to this device in parallel while performing the command. When the work is done in parallel, the backup of the files is done by multiple channels. Each channel may back up multiple files, but unless a multisection backup is performed, no file is backed up by more than one channel.

Related Topics

- Configuring Channels
  An RMAN channel is a connection to a database server session. RMAN uses channels to perform most tasks.
- *Oracle Database Backup and Recovery Reference*

3.4 About the RMAN Repository

The RMAN repository is a collection of metadata about the target databases that RMAN uses for backup, recovery, and maintenance.

RMAN always stores its metadata in the control file. The version of this metadata in the control file is the authoritative record of RMAN backups of your database. This is one reason why protecting your control file is an important part of your backup strategy. RMAN can conduct all necessary backup and recovery operations using just the control file to store the RMAN repository information, and maintains all records necessary to meet your configured retention policy.

You can also create a recovery catalog, which is a repository of RMAN metadata stored in an Oracle Database schema. The control file has limited space for records of backup activities, whereas a recovery catalog can store a much longer history. You can simplify backup and recovery administration by creating a single recovery catalog that contains the RMAN metadata for all of your databases.

The owner of a recovery catalog can grant or revoke restricted access to the catalog to other database users. Each restricted user has full read/write access to their own metadata, which is called a virtual private catalog. When one or more virtual private catalogs exist in a database, the database contains just one set of catalog tables. These tables are owned by the base recovery catalog owner. The owner of the base recovery catalog controls which databases each virtual private catalog user can access.

Some RMAN features only function when you use a recovery catalog. For example, you can create a stored script in the recovery catalog and use this script to execute RMAN jobs. Other RMAN commands are specifically related to managing the recovery catalog and so are not available (and not needed) if RMAN is not connected to a recovery catalog.

The recovery catalog is maintained solely by RMAN. A target database instance never accesses the catalog directly. RMAN propagates information about the database structure, archived redo logs, backup sets, and data file copies into the recovery catalog from the target database control file after any operation that updates the repository, and also before certain operations.

Related Topics

- Maintaining RMAN Backups and Repository Records
  Use RMAN commands to manage the RMAN repository records, backups, and copies.
- Managing a Recovery Catalog
  Managing the RMAN recovery catalog includes tasks such as creating the catalog, registering databases with the catalog, and creating virtual private catalog.

3.5 About Media Management Using RMAN

The Oracle Media Management Layer (MML) API lets third-party vendors build media management software that works with RMAN to allow backups to sequential media devices such as tape drives.

Media management software handles loading, unloading, and labeling of sequential media such as tapes. You must install media management software to use RMAN with sequential media devices.

When backing up or restoring, the RMAN client connects to a target database instance and directs the instance to send requests to its media manager. No direct communication occurs between the RMAN client and the media manager.

3.5.1 About RMAN Interaction with a Media Manager

Before performing backup or restore to a media manager, you must allocate one or more channels to handle the communication with the media manager.

You can also configure default channels for the media manager. The default channels are used for all backup and recovery tasks that employ the media manager and for which you have not explicitly allocated channels.

RMAN does not issue specific commands to load, label, or unload tapes. When backing up, RMAN gives the media manager a stream of bytes and associates a unique name with this stream. When RMAN must restore the backup, it asks the media manager to retrieve the byte stream. All details of how and where that stream is stored are handled entirely by the media manager. For example, the media manager labels and keeps track of the tape and names of files on each tape, and automatically loads and unloads tapes, or signals an operator to do so.

Some media managers support proxy copy functionality, in which they handle the entire data movement between data files and the backup devices. These products may use technologies such as high-speed connections between storage and media subsystems to reduce the load on the primary database server. RMAN provides a list of files requiring backup or restore to the media manager, which in turn makes all decisions regarding how and when to move the data.

Related Topics

- Configuring SBT Channels for Use with a Media Manager
  Configuring SBT channels creates a persistent setting that is the default used for backup and recovery operations with a media manager.

3.5.2 About RMAN and Oracle Secure Backup

Oracle Secure Backup is a media manager that provides reliable and secure data protection through file system backup to tape. All major tape drives and tape libraries in SAN, Gigabit Ethernet, and SCSI environments are supported.

Although Oracle Secure Backup has no specialized knowledge of database backup and recovery algorithms, it can serve as a media management layer for RMAN through the SBT interface. In this capacity, Oracle Secure Backup provides the same services for RMAN as other supported third-party SBT libraries. Oracle Secure Backup has some features, however, that are not available in other media managers.

Related Topics

- *Oracle Secure Backup Administrator's Guide*

3.5.3 About the Backup Solutions Program

The Oracle Backup Solutions Program (BSP), part of the Oracle PartnerNetwork, is a group of media manager vendors whose products are compliant with Oracle's MML specification. Several products may be available for your platform from media management vendors.

For more information, contact your Oracle representative for a list of available products, contact individual vendors to ask them if they participate, or access the Backup Solutions Program website at:

http://www.oracle.com/technetwork/database/features/availability/bsp-088814.html

Oracle does not certify media manager vendors for compatibility with RMAN. Questions about availability, version compatibility, and functionality can only be answered by the media manager vendor, not Oracle.

3.6 About the Fast Recovery Area

The fast recovery area is an optional disk location that can be used to store recovery-related files.

The components that create different backup and recovery-related files have no knowledge of each other or of the size of the file systems where they store their data. With automatic disk-based backup and recovery, you can create a fast recovery area (also called the **recovery area**), which automates management of backup-related files.

A fast recovery area minimizes the need to manually manage disk space for backup-related files and balance the use of space among the different types of files. In this way, a fast recovery area simplifies the ongoing administration of your database. Oracle recommends that you enable a recovery area to simplify backup management.

When you create a recovery area, you choose a location on disk and set an upper bound for storage space. You also set a backup retention policy that governs how long backup files are needed for recovery. The database manages the storage used for backups, archived redo logs, and other recovery-related files for the database within this space. Files no longer needed are eligible for deletion when RMAN must reclaim space for new files.

Related Topics

- Configuring the Fast Recovery Area
  The fast recovery area feature enables you to set up a disk area where the database can create and manage a variety of files related to backup and recovery.

3.7 About RMAN in a Data Guard Environment

Data Guard maintains standby databases as transactionally consistent copies of production database. A standby database can be either a physical standby database or a logical standby database.

A database in a Data Guard environment is uniquely identified by the `DB_UNIQUE_NAME` parameter in the initialization parameter file. For RMAN to work correctly in a Data Guard environment, the `DB_UNIQUE_NAME` must be unique across all the databases with the same DBID.

When using RMAN in a Data Guard environment, a recovery catalog is required. The recovery catalog can store the metadata for all primary and standby databases.

Related Topics

- *Oracle Data Guard Concepts and Administration*

3.7.1 About RMAN Configuration in a Data Guard Environment

To simplify ongoing use of RMAN for backup and recovery, you can set some persistent configuration settings for each primary and physical standby database in a Data Guard environment. These settings control many aspects of RMAN behavior.

For example, you can configure the backup retention policy, default destinations for backups to tape or disk, or default backup device type.

You can use the `CONFIGURE` command with the `FOR DB_UNIQUE_NAME` clause to create a persistent configuration for a database in a Data Guard environment without connecting to the standby database or primary database as `TARGET`. For example, you connect RMAN to the recovery catalog, run the `SET DBID` command, and then can create a configuration for a physical standby database before its creation so that the RMAN configuration applies when the database is created.

RMAN updates the control file of the database when connected to it as `TARGET` during a recovery catalog resynchronization. If you use `FOR DB_UNIQUE_NAME` for a database without being connected as `TARGET` to this database, however, then RMAN changes configurations in the recovery catalog only.

Related Topics

- Configuring RMAN in a Data Guard Environment
  If you use RMAN in a Data Guard environment, then you can use the `CONFIGURE` command to register and configure settings for the physical databases in this environment.

3.7.2 About RMAN File Management in a Data Guard Environment

RMAN uses a recovery catalog to track file names for all database files in a Data Guard environment.

The catalog also records where the online redo log files, standby redo log files, temp files, archived redo log files, backup sets, and image copies are created.

3.7.2.1 About Interchangeability of Backups in a Data Guard Environment

RMAN commands use the recovery catalog metadata to function transparently across different physical databases in the Data Guard environment. For example, you can back up a tablespace on a physical standby database and restore and recover it on the primary database. Similarly, you can back up a tablespace on a primary database and restore and recover it on a physical standby database.

Backups of standby control files and nonstandby control files are interchangeable. For example, you can restore a standby control file on a primary database and a primary control file on a physical standby database. This interchangeability means that you can offload control file backups to one database in a Data Guard environment. RMAN automatically updates the file names for database files during restore and recovery at the databases.

> **Note:**
>
> Backups of logical standby databases are not usable at the primary database.

3.7.2.2 About Association of Backups in a Data Guard Environment

The recovery catalog tracks the files in the Data Guard environment by associating every database file or backup file with a `DB_UNIQUE_NAME`. The database that creates a file is associated with the file.

For example, if RMAN backs up the database with the unique name of `standby1`, then `standby1` is associated with this backup. A backup remains associated with the database that created it unless you use the `CHANGE ...RESET DB_UNIQUE_NAME` command to associate the backup with a different database.

3.7.2.3 About Accessibility of Backups in a Data Guard Environment

The accessibility of a backup is different from its association. In a Data Guard environment, the recovery catalog considers disk backups as accessible only to the database with which they are associated, whereas tape backups created on one database are accessible to all databases.

If a backup file is not associated with any database, then the row describing it in the recovery catalog view shows `null` for the `SITE_KEY` column. By default, RMAN associates a file whose `SITE_KEY` is `null` with the database to which they are connected as `TARGET`.

RMAN commands such as `BACKUP`, `RESTORE`, and `CROSSCHECK` work on any accessible backup. For example, for a `RECOVER COPY` operation, RMAN considers only image copies that are associated with the database as eligible to be recovered. RMAN considers the incremental backups on disk and tape as eligible to recover the image copies. In a database recovery, RMAN considers only the disk backups associated with the database and all files on tape as eligible to be restored.

To illustrate the differences in backup accessibility, assume that databases `prod` and `standby1` reside on different hosts. RMAN backs up data file `1` on `prod` to `/prmhost/disk1/df1.dbf` on the production host and also to tape. RMAN backs up data file `1` on `standby1` to `/sbyhost/disk2/df1.dbf` on the standby host and also to tape. If RMAN is connected to database `prod`, then you cannot use RMAN commands to perform operations with the `/sbyhost/disk2/df1.dbf` backup located on the standby host. However, RMAN does consider the tape backup made on `standby1` as eligible to be restored.

> **Note:**
>
> You can transfer a backup from a standby host to a primary host or vice versa, connect as `TARGET` to the database on this host, and then use the `CATALOG` command to catalog the backup. After a file is cataloged by the target database, the file is associated with the target database.

Related Topics

- About Maintenance Commands in a Data Guard Environment
  The database in a Data Guard environment that creates a backup or copy is associated with the file. For example, if RMAN is connected to target database `standby1` and backs it up, then this backup is associated with `standby1`.
- Managing a Recovery Catalog
  Managing the RMAN recovery catalog includes tasks such as creating the catalog, registering databases with the catalog, and creating virtual private catalog.
- *Oracle Database Backup and Recovery Reference*
- *Oracle Data Guard Concepts and Administration*

3.8 About RMAN in a Recovery Appliance Environment

RMAN is fully-integrated with Zero Data Loss Recovery Appliance and RMAN commands can be used to back up protected databases to Recovery Appliance.

Related Topics

- Creating RMAN Backups to Recovery Appliance
  Recovery Appliance provides a centralized remote repository for backups of all target databases in the enterprise. Backups and backup metadata for all target databases is managed by a central recovery catalog (the Recovery Appliance catalog) on Recovery Appliance.

3.8.1 Creating RMAN Backups to Recovery Appliance

Recovery Appliance provides a centralized remote repository for backups of all target databases in the enterprise. Backups and backup metadata for all target databases is managed by a central recovery catalog (the Recovery Appliance catalog) on Recovery Appliance.

Before you use Recovery Appliance to manage backups of your target database, you must perform some configuration steps both on the Recovery Appliance and on the target database.

To back up a target database to Recovery Appliance:

1. Ensure that the target database meets the requirements for protected databases in Recovery Appliance environments.

   > **See Also:**
   >
   > *Zero Data Loss Recovery Appliance Administrator's Guide* for information about the supported Oracle Database releases

2. Install the Recovery Appliance backup module on the target database. This backup module is a shared library that is used by the target database to transfer backups to Recovery Appliance.

> **See Also:**
>
> *Zero Data Loss Recovery Appliance Protected Database Configuration Guide* for the steps to install the Recovery Appliance backup module

3. Enroll the target database as a protected database with the Recovery Appliance.

   This step includes creating a protection policy, configuring a Recovery Appliance database user that will be used by protected databases to authenticate with Recovery Appliance, and registering the protected database with the Recovery Appliance catalog.

> **See Also:**
>
> - *Zero Data Loss Recovery Appliance Administrator's Guide* for the enrolment steps on the Recovery Appliance
> - *Zero Data Loss Recovery Appliance Protected Database Configuration Guide* for the enrolment steps on the protected database

4. (Optional) Configure backup and recovery settings for the target database. These settings will be used when you perform backup and recovery operations with Recovery Appliance.

   The `CONFIGURE` command is used to configure backup and recovery settings for protected databases.

> **See Also:**
>
> - Configuring the RMAN Environment
> - *Zero Data Loss Recovery Appliance Protected Database Configuration Guide* for information about configuring settings in a Recovery Appliance environment

5. Start RMAN and connect as `TARGET` to the protected database and as `CATALOG` to the Recovery Appliance catalog.

   The connection to the target database must be as a user with the `SYSDBA` or `SYSBACKUP` privilege. The connection to the Recovery Appliance is as the Recovery Appliance user that has privileges required to perform backup and recovery operations for the protected database.

> **See Also:**
>
> *Zero Data Loss Recovery Appliance Protected Database Configuration Guide* for information about creating connections using RMAN

6. Allocate one or more RMAN SBT channels that point to the Recovery Appliance backup module. These channels are used to transfer data to the Recovery Appliance.

> **See Also:**
>
> "Configuring RMAN to Make Backups to Recovery Appliance"

7. Back up the target database to Recovery Appliance. You use the regular RMAN commands to back up the database to Recovery Appliance.

> **See Also:**
>
> *Zero Data Loss Recovery Appliance Protected Database Configuration Guide* for the steps to back up protected databases

4

Starting and Interacting with the RMAN Client

Use the RMAN command-line interface to make connections to a multitenant container database (CDB),pluggable database (PDB), or application root.

4.1 Starting and Exiting RMAN

The RMAN executable is automatically installed with the database and is typically located in the same directory as the other database executables. For example, the RMAN client on Linux is located in `$ORACLE_HOME/bin`.

You have the following basic options for starting RMAN:

- Start the RMAN executable at the operating system command line without specifying any connection options, as in the following example:

  ```
  % rman
  ```

- Start the RMAN executable at the operating system command line, as in the following examples:

  ```
  % rman TARGET /
  % rman TARGET sbu@prod NOCATALOG
  ```

To quit RMAN and terminate the program, enter `EXIT` or `QUIT` at the RMAN prompt:

```
RMAN> EXIT
```

Related Topics

- Making Database Connections from the RMAN Prompt
  If you start RMAN without a connect string on the operating system command line, then you must issue a `CONNECT TARGET` command at the RMAN prompt to connect to a target database.
- Making RMAN Connections from the Operating System Command Line
  RMAN connections to the root container can be made from the operating system command line.

4.2 Making Database Connections with RMAN

You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

4.2.1 About RMAN Database Connection Types

To perform useful work, the RMAN client must connect to a database.

Table 4-1 describes the types of database connections that you can make with RMAN.

Table 4-1 Overview of RMAN Database Connections

Type of Database Connection	Keyword	Description
target database	`TARGET`	A database to be backed up or recovered by RMAN
recovery catalog database	`CATALOG`	A database that provides an optional backup store for the RMAN repository in addition to the control file.
auxiliary instance or auxiliary database	`AUXILIARY`	A physical standby database, or a database instance created for performing a specific task such as creating a duplicate database, transporting tablespaces, or performing tablespace point-in-time recovery (TSPITR) . For many tasks that use an auxiliary database, RMAN creates an automatic auxiliary instance for use during the task, connects to it, performs the task, and then destroys it when the task is completed. You do not give any explicit command to connect to automatic auxiliary instances.

4.2.2 About Authentication for RMAN Database Connections

Users connecting with RMAN to a target or auxiliary database require either the `SYSDBA` or `SYSBACKUP` system privilege.

These privileges are not required when connecting to the recovery catalog. You must grant the `RECOVERY_CATALOG_OWNER` role to the catalog schema owner. Users can also connect to the recovery catalog using the VPC credentials that have been created by the recovery catalog owner.

The same authentication options that are available with SQL*Plus are available with RMAN. The most common ways to authenticate with the target and auxiliary databases are:

- Operating system authentication

  The prerequisites for connecting using operating system authentication are described in "*Authentication Using the Operating System*".

- Password file authentication

  The prerequisites for connecting using password file authentication are described in "*Authentication Using a Password File*".

Neither of these methods requires the database to be open. Operating system authentication is used only to connect locally. Password file authentication can be used to connect locally or remotely.

Related Topics

- Authentication Using the Operating System
  RMAN connections to a target or auxiliary database can be made using operating system authentication.
- Authentication Using a Password File
  Use a password file for either local or remote access. If a database uses a password file to authenticate administrative users, then RMAN can connect using a password.

4.2.2.1 Authentication Using the Operating System

RMAN connections to a target or auxiliary database can be made using operating system authentication.

The following are the prerequisites for connecting to a database using operating system authentication (OS authentication):

- You must set the `ORACLE_SID` environment variable, specifying the system identifier (SID) for the database.

  For example, to set the SID to `prod` in some UNIX shells, you enter:

  ```
  % ORACLE_SID=prod; export ORACLE_SID
  ```

- You must be a member of the OSDBA operating system group to connect with the `SYSDBA` privilege or the OSBACKUPDBA operating system group to connect with the `SYSBACKUP` privilege.

  On UNIX and Linux, the OSDBA group is typically named `dba`, and the OSBACKUPDBA group is typically named `backupdba`. These names are assigned during database installation.

4.2.2.2 Authentication Using a Password File

Use a password file for either local or remote access. If a database uses a password file to authenticate administrative users, then RMAN can connect using a password.

The database must use a password file for you to connect remotely using a net service name.

> **Caution:**
>
> Good security practice requires that passwords not be entered in plain text on the command line. Enter passwords in RMAN only when requested by an RMAN prompt.

The database creates an entry in the password file when you grant the `SYSDBA` or `SYSBACKUP` privilege to a user. You can then connect to the target or auxiliary database as this user even if the database is not open.

To support connecting through the password file with the `SYSBACKUP` privilege, the password file must be created in or upgraded to the format for Oracle Database 12*c* Release 1 (12.1) or later.

If neither `AS SYSBACKUP` nor `AS SYSDBA` is specified in the connection string, then the default used is `AS SYSDBA`. In this case, no enclosing quotes are required.

Example 4-1 Password File Authentication as SYSDBA - Explicit

In this example, the `sdba` user has been granted the `SYSDBA` privilege:

```
% rman target '"sdba@prod1 as sysdba"'
```

```
target database Password: password
connected to target database: PROD1 (DBID=39525561)
```

Example 4-2 Password File Authentication as SYSBACKUP - Explicit

In this example, the `sbu` user is granted the `SYSBACKUP` privilege in the target database:

```
% rman target '"sbu@prod1 as sysbackup"'

target database Password: password
connected to target database: PROD1 (DBID=39525561)
```

Example 4-3 Password File Authentication as SYSDBA - Implicit

```
% rman target sbu@prod1

target database Password: password
connected to target database: PROD1 (DBID=39525561)
```

Related Topics

- *Oracle Database Security Guide*

4.2.3 Methods of Making RMAN Database Connections

Database connections can be made from the RMAN client or the operating system command line. These connections can be authenticated using a password file or with operating system authentication.

4.2.3.1 Making Database Connections from the RMAN Prompt

If you start RMAN without a connect string on the operating system command line, then you must issue a `CONNECT TARGET` command at the RMAN prompt to connect to a target database.

To make a database connection from the RMAN prompt:

1. On the operating system command line, start the RMAN client without making a database connection.

   ```
   % rman
   RMAN>
   ```

2. At the RMAN prompt, enter one or more `CONNECT` commands.

Example 4-4 Connecting from RMAN Prompt with OS Authentication - Implicit

```
RMAN> connect target /
```

Because no system privilege is specified, `ASSYSDBA` is assumed.

Example 4-5 Connecting from RMAN Prompt with OS Authentication - Explicit

```
RMAN> connect target "/ as sysdba"
```

When including a system privilege, the enclosing quotation marks (single or double) are required.

Related Topics

- *Oracle Database Backup and Recovery Reference*

4.2.3.2 Making RMAN Connections from the Operating System Command Line

RMAN connections to the root container can be made from the operating system command line.

To make database connections from the operating system command line:

1. Ensure that the prerequisites described in "*Authentication Using the Operating System*" are met.
2. Enter the `rman` command followed by the connection information.

   Use the `CATALOG` keyword to connect to a recovery catalog. You can also start RMAN without specifying `NOCATALOG` or `CATALOG`. If you do not specify `NOCATALOG` on the command line, and if you do not specify `CONNECT CATALOG` after RMAN has started, then RMAN defaults to `NOCATALOG` mode the first time that you run a command that requires the use of the RMAN repository.
3. Execute the required RMAN commands after the RMAN prompt is displayed.

> **Note:**
>
> After you have executed a command that uses the RMAN repository in `NOCATALOG` mode, you must exit and restart RMAN to be able to connect to a recovery catalog.

Example 4-6 Connecting to a Target Database from the System Prompt Using Operating System Authentication

This example illustrates a connection to a root container in a target database using operating system authentication. The `NOCATALOG` option indicates that a recovery catalog is not used in the session.

```
% rman TARGET / NOCATALOG

connected to target database: PROD (DBID=39525561)
using target database control file instead of recovery catalog
```

Example 4-7 Connecting to a Target Database from the System Prompt by Using Net Service Names

This example illustrates a connection to a target database that uses a net service name and password file authentication. RMAN prompts for the password.

```
% rman TARGET sbu@prod NOCATALOG

target database Password: password
connected to target database: PROD (DBID=39525561)
```

Related Topics

- Authentication Using the Operating System
  RMAN connections to a target or auxiliary database can be made using operating system authentication.

4.2.4 About Performing Operations on CDBs and PDBs

You can perform RMAN operations on a whole multitenant container database (CDB), the root only, or one or more pluggable databases (PDBs).

Make RMAN connections to CDBs according to the following rules:

- To perform operations on the whole CDB (for example, to back up the whole CDB), connect as target to the root.
- To perform operations on the root only (for example, to back up the root), connect as target to the root.
- To perform operations on a single PDB, connect as target either to the root or to the PDB.
  - If you connect to the root, you must use the `PLUGGABLE DATABASE` syntax in your RMAN commands. For example, to back up a PDB, you use the `BACKUP PLUGGABLE DATABASE` command.
  - If instead you connect directly to the PDB, use the same commands that you would use when connected to a database. For example, to back up a PDB, you would use the `BACKUP DATABASE` command.
- To perform operations on two or more PDBs with a single command, you connect as target to the root.

  For example, to back up both the `sales` and `hr` PDBs, you connect to the root and submit the following command:

  ```
  BACKUP PLUGGABLE DATABASE sales, hr;
  ```

> **Note:**
>
> If you connect as target to a CDB with operating system authentication, you are connected to the root.

4.2.5 Connecting as Target to the Root

There are several ways to connect as target to the root.

The three most common ways are as follows:

- Connecting locally as a common user, as shown in Example 4-8
- Connecting with operating system authentication, as shown in Example 4-9
- Connecting as a common user through Oracle Net Services, using a net service name, as shown in Example 4-12

In all cases, you must connect as a user with the `SYSDBA` or `SYSBACKUP` privilege.

Example 4-8 Connecting Locally to the Root

This example connects locally to the root using the `SYS` user, which is a common user. The connection is established using the `SYSDBA` privilege.

```
rman target sys

target database Password: password
connected to target database: CDB (DBID=659628168)
```

Example 4-9 Connecting to the Root using Operating System Authentication with SYSDBA Privilege - Implicit

This example connects locally to the root using operating system authentication. The connection is established as the `SYS` user with `SYSDBA` privilege.

```
rman target /

connected to target database: CDB (DBID=659628168)
```

If neither `AS SYSBACKUP` nor `AS SYSDBA` is specified in the connection string, then the default used is `AS SYSDBA`.

Example 4-10 Connecting to the Root using Operating System Authentication with the SYSDBA Privilege - Explicit

This example connects locally to the root using operating system authentication. The connection is established as the `SYS` user with `SYSBACKUP` privilege explicitly mentioned.

```
% rman target '"/ as sysbackup"'
```

Example 4-11 Connecting to Target Database and a Recovery Catalog

In this example, the target connection uses operating system authentication, and the recovery catalog database connection uses a net service name and password file authentication. The recovery catalog owner is user `rco`. RMAN prompts for the password of the recovery catalog user.

```
RMAN> connect target /
RMAN> connect catalog rco@catdb

recovery catalog database Password: password
connected to recovery catalog database
```

Example 4-12 Connecting to the Root with a Net Service Name

This example assumes that there is a `sales` net service name that resolves to a database service for the root, and that there is a common user named `c##bkuser` that has the `SYSBACKUP` privilege.

```
rman target c##bkuser@sales

target database Password: password
connected to target database: CDB (DBID=659628168)
```

Example 4-13 Connecting with Password File Authentication

```
RMAN> connect target "sbu@prod AS SYSBACKUP"

target database Password: password
connected to target database: PROD (DBID=39525561)
```

Example 4-14 Connecting to a Target Database from System Prompt When Password Contains a Semi-colon

This example illustrates a connection to a target database that uses a net service name and password file authentication. The password contains a special character, the semi-colon.

```
rman TARGET "'sbu/rman;pwd@prod AS SYSBACKUP'"

connected to target database: PROD (DBID=2004181664)
```

> **Note:**
>
> For security reasons, Oracle recommends that you do not specify the password at the command line. You should supply the password only when prompted to do so.

Example 4-15 Connecting to Target and a Recovery Catalog from the System Prompt

This example illustrates a connection that uses Oracle Net authentication for the target and recovery catalog databases. In both cases RMAN prompts for a password.

```
% rman TARGET sbu@prod CATALOG rco@catdb

target database Password: password
connected to target database: PROD (DBID=39525561)
recovery catalog database Password: password
connected to recovery catalog database
```

4.2.6 Connecting as Target to a PDB

You can connect to a PDB either from the RMAN prompt or the operating system command line.

To connect as target to a PDB, you must:

- Connect with a net service name that resolves to a database service for that PDB.
- Connect as a local user or common user with the `SYSDBA` privilege.

Example 4-16 Connecting As Target to a PDB

This example illustrates a connection to a PDB. It assumes the following

- You want to perform RMAN operations on a PDB named `hrpdb`.
- The net service name `hrpdb` resolves to a database service for the `hrpdb` PDB.
- The local user `hrbkup` was created in the `hrpdb` PDB and granted the `SYSDBA` privilege.

```
rman target hrbkup@hrpdb

target database Password: password
connected to target database: CDB (DBID=659628168)
```

Example 4-17 Connecting to a PDB and Recovery Catalog

This example illustrates a connection to a PDB and recovery catalog. It assumes the following:

- You want to perform operations on a PDB named `salespdb`. The `SYS` user is used to connect to the PDB.
- The net service name `salespdb` resolves to a database service for the `salespdb` PDB.
- The connection to the recovery catalog is created using the recovery catalog owner, `rco`. The net service name for the recovery catalog database is `catdb`.

Enter the passwords for the `sys` and `rco` users when prompted.

```
RMAN> connect target "sys@salespdb as sysdba"
target database Password:
connected to target database: DBMAIN:SALESPDB (DBID=1661283172)

RMAN> connect catalog rco@catdb
recovery catalog database Password:
connected to recovery catalog database
```

4.2.6.1 Restrictions When Connected to a PDB

Certain restrictions apply when you connect directly to a pluggable database (PDB):

The following operations are not available when you connect as target directly to a PDB:

- Back up archived logs
- Delete archived logs
- Delete archived log backups
- Restore archived logs (RMAN does restore archived logs when required during media recovery.)
- Point-in-time recovery (PITR) when using shared undo mode
- TSPITR when using shared undo mode
- Table recovery when using shared undo mode
- Duplicate database when using shared undo mode
- Flashback operations when using shared undo mode
- Report/delete obsolete
- Register database
- Import catalog
- Reset database
- Configuring the RMAN environment (using the `CONFIGURE` command)

4.2.7 Connecting RMAN to an Auxiliary Database

Connection to an auxiliary database is required for certain tasks such as database duplication and tablespace point-in-time recovery (TSPITR).

The form of an auxiliary connection is identical to a target database connection, except that you use the `AUXILIARY` keyword instead of the `TARGET` keyword.

> **Note:**
>
> When you use the `DUPLICATE ... FROM ACTIVE DATABASE` command, a net service name is required.

Example 4-18 Connecting to Target and Auxiliary Databases from the RMAN Prompt

This example illustrates a connection to a target database using operating system authentication, and the auxiliary database connection uses a net service name and password file authentication.

```
% rman
RMAN> CONNECT TARGET /
RMAN> CONNECT AUXILIARY sbu@aux

auxiliary database Password: password
connected to auxiliary database: AUX (DBID=30472568)
```

Example 4-19 Connecting to Target and Auxiliary Databases from the System Prompt

This example illustrates a connection to a target database and an auxiliary database from the system prompt. The target connection uses operating system authentication and the auxiliary connection uses a net service name and password file authentication.

```
% rman target / auxiliary sbu@aux

auxiliary database Password: password
connected to auxiliary database: AUX (DBID=30472568)
```

Related Topics

- Creating an Initialization Parameter File for the Auxiliary Instance
  Multiple methods are available to create the initialization parameter file that is required to start the auxiliary instance.

4.2.8 Making RMAN Database Connections Within Command Files

You can make a database connection by creating an RMAN command file containing a `CONNECT` command.

If you create an RMAN command file that uses a `CONNECT` command with database level credentials (user name and password), then anyone with read access to this file can learn the password. There is no secure way to incorporate a `CONNECT` string with a password into a command file.

If you create an RMAN command file that uses a `CONNECT` command, then RMAN does not echo the connect string when you run the command file with the `@` command. This behavior prevents connect strings from appearing in any log files that contain RMAN output. For example, suppose that you create a command file `listbkup.rman` as follows:

```
cat > listbkup.rman << EOF
CONNECT TARGET /
LIST BACKUP;
EOF
```

You execute this script by running RMAN with the `@` command line option as follows:

```
% rman @listbkup.rman
```

When the command file executes, RMAN replaces the connection string with an asterisk, as shown in the following output:

```
RMAN> CONNECT TARGET *
2> LIST BACKUP;
3>
connected to target database: RDBMS (DBID=771530996)

using target database control file instead of recovery catalog

List of Backup Sets
===================
. . .
```

4.3 Diagnosing RMAN Connection Problems

When you are diagnosing errors that RMAN encounters in connecting to the target, catalog and auxiliary databases, consider using SQL*Plus to connect to the databases directly. This action can reveal underlying problems with the connection information or the databases.

4.3.1 Diagnosing Target and Auxiliary Database Connection Problems

RMAN connects to target and auxiliary databases using the `SYSDBA` or `SYSBACKUP` privilege. Thus, when you use SQL*Plus to diagnose connection problems to the target or auxiliary databases, request a `SYSDBA` or `SYSBACKUP` connection to reproduce RMAN behavior.

For example, suppose that the following RMAN command encountered connection errors:

```
RMAN> CONNECT TARGET /
```

You reproduce the preceding connection attempt with the SQL*Plus command as follows:

```
SQL> CONNECT / AS SYSBACKUP
```

4.3.2 Diagnosing Recovery Catalog Connection Problems

Use SQL*Plus to diagnose recovery catalog connection problems.

When RMAN connects to the recovery catalog database, it does not use the `SYSDBA` or `SYSBACKUP` privilege. When you use SQL*Plus to diagnose connection problems to the recovery catalog database, you must enter the database connect string exactly as it was entered into RMAN. Do not specify `AS SYSBACKUP` or `AS SYSDBA`.

4.4 Entering RMAN Commands

You can enter RMAN commands either directly from the RMAN prompt or read them in from a text file.

This section describes the ways of running RMAN commands.

4.4.1 Entering RMAN Commands at the RMAN Prompt

When the RMAN client is ready for your commands, it displays the command prompt.

The following is an example of the RMAN command prompt:

```
RMAN>
```

Enter commands for RMAN to execute. For example:

```
RMAN> CONNECT TARGET
RMAN> BACKUP DATABASE;
```

Most RMAN commands take several parameters and must end with a semicolon. Some commands, such as `STARTUP`, `SHUTDOWN`, and `CONNECT`, can be used with or without a semicolon.

When you enter a line of text that is not a complete command, RMAN prompts for continuation input with a line number. For example:

```
RMAN> BACKUP DATABASE
2> INCLUDE CURRENT
3> CONTROLFILE
4> ;
```

4.4.2 Using Command Files with RMAN

For repetitive tasks, you can create a text file containing RMAN commands, and start the RMAN client with the `@` argument, followed by a file name.

For example, create a text file `cmdfile1` in the current directory containing one line of text as shown here:

```
BACKUP DATABASE PLUS ARCHIVELOG;
```

You can run this command file from the command line as shown in this example, and the command contained in it is executed:

```
% rman TARGET / @cmdfile1
```

After the command completes, RMAN exits.

You can also use the `@` command at the RMAN command prompt to execute the contents of a command file during an RMAN session. RMAN reads the file and executes the commands in it. For example:

```
RMAN> @cmdfile1
```

After the command file contents have been executed, RMAN displays the following message:

```
RMAN>  **end-of-file**
```

Unlike the case where a command file is executed from the operating system command line, RMAN does not exit.

4.4.3 Entering Comments in RMAN Command Files

The comment character in RMAN is a pound sign (#). All text from the pound sign to the end of the line is ignored.

For example, the contents of the following command file back up the database and archived redo log files and include comments:

```
# Command file name: mybackup.rman
# The following command backs up the database
BACKUP DATABASE;
# The following command backs up the archived redo logs
BACKUP ARCHIVELOG ALL;
```

The following example shows how you can break a single RMAN command across multiple lines:

```
RMAN> BACKUP # this is a comment
2> SPFILE;
```

4.4.4 Using Substitution Variables in Command Files

When running a command file, you can specify one or more values in a `USING` clause for use in substitution variables in a command file. In this way, you can make your command files dynamic.

As in SQL*Plus, `&1` indicates where to place the first value, `&2` where to place the second value, and so on. The substitution variable syntax is `&`*`integer`* followed by an optional period, for example, `&1.3`. The optional period is part of the variable and replaced with the value, thus enabling the substitution text to be immediately followed by another integer. For example, if you pass the value `mybackup` to a command file containing the variable `&1.3`, then the result of the substitution is `mybackup3`.

The following procedure explains how to create and use a dynamic shell script that calls a command file containing substitution variables.

1. Create an RMAN command file that uses substitution variables.

   The following example shows the contents of a command file named `quarterly_backup.cmd`, which is run every quarter. The script uses substitution variables for the name of the tape set, for a string in the `FORMAT` specification, and for the name of the restore point to be created.

   ```
   # quarterly_backup.cmd
   CONNECT TARGET /
   RUN
   {
     ALLOCATE CHANNEL c1
       DEVICE TYPE sbt
       PARMS 'ENV=(OB_MEDIA_FAMILY=&1)';
   ```

```
  BACKUP DATABASE
    TAG &2
    FORMAT '/disk2/bck/&1%U.bck'
    KEEP FOREVER
    RESTORE POINT &3;
}
EXIT;
```

2. Create a shell script that you can use to run the RMAN command file created in the previous step.

   The following example creates a shell script named `runbackup.sh`. The example creates shell variables for the format and restore point name and accepts the values for these variables as command-line arguments to the script.

   ```
   #!/bin/tcsh
   # name: runbackup.sh
   # usage: use the tag name and number of copies as arguments
   set media_family = $argv[1]
   set format = $argv[2]
   set restore_point = $argv[3]
   rman @'/disk1/scripts/quarterly_backup.cmd'
   USING $media_family $format $restore_point
   ```

3. Execute the shell script created in the previous step, specifying the desired arguments on the command line.

   The following example runs the `runbackup.sh` shell script and passes it `archival_backup` as the media family name, `bck0906` as the format string, and `FY06Q3` as the restore point name.

   ```
   % runbackup.sh archival_backup bck0906 FY06Q3
   ```

4.5 Setting Globalization Support Environment Variables for RMAN

Before invoking RMAN, it may be useful to set the `NLS_DATE_FORMAT` and `NLS_LANG` environment variables. These variables determine the format used for the time parameters in RMAN commands such as `RESTORE`, `RECOVER`, and `REPORT`.

The following example shows typical language and date format settings:

```
NLS_LANG=american
NLS_DATE_FORMAT='Mon DD YYYY HH24:MI:SS'
```

If you are going to use RMAN to connect to an unmounted database and mount the database later while RMAN is still connected, then set the `NLS_LANG` environment variable so that it also specifies the character set used by the database.

A database that is not mounted assumes the default character set, which is `US7ASCII`. If your character set is different from the default, then RMAN returns errors after the database is mounted. For example, if the character set is `WE8DEC`, then to avoid errors, you can set the `NLS_LANG` variable as follows:

```
NLS_LANG=american_america.we8dec
```

For the environment variable `NLS_DATE_FORMAT` to be applied and override the defaults set for the server in the server initialization file, the environment variable `NLS_LANG` must also be set.

Related Topics

- *Oracle Database Globalization Support Guide*

4.6 Specifying the Location of RMAN Output

By default, RMAN writes command output to standard output. To redirect output to a log file, enter the `LOG` parameter on the command line when you start RMAN.

The following example writes RMAN command output to the file `rman.log`:

```
% rman LOG /tmp/rman.log
```

In this case, RMAN displays command input but does not display the RMAN output. The easiest way to send RMAN output both to a log file and to standard output is to use the Linux `tee` command or its equivalent. For example, the following technique enables both input and output to be visible in the RMAN command-line interface:

```
% rman | tee rman.log
RMAN>
```

Related Topics

- *Oracle Database Backup and Recovery Reference*

4.7 Checking RMAN Syntax

You can test RMAN commands for syntactic correctness without executing them. Use the command-line argument `CHECKSYNTAX` to start the RMAN client in a mode in which it only parses the commands that you enter and returns an `RMAN-00558` error for commands that are not legal RMAN syntax.

You can check the syntax of RMAN commands either at the command line or in command files.

Related Topics

- *Oracle Database Backup and Recovery Reference*

4.7.1 Checking RMAN Syntax at the Command Line

You can check the syntax of RMAN commands interactively without actually executing the commands.

To check RMAN syntax at the command line:

1. Start RMAN with the `CHECKSYNTAX` parameter:

   ```
   % rman CHECKSYNTAX
   ```

2. Enter the RMAN commands to be tested.

The following example shows a sample interactive session, with user-entered text in bold.

```
RMAN> run [ backup database; ]

RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
```

```
RMAN-00558: error encountered while parsing input commands
RMAN-01006: error signaled during parse
 RMAN-02001: unrecognized punctuation symbol "["

RMAN> run { backup database; }

The command has no syntax errors

RMAN>
```

4.7.2 Checking RMAN Syntax in Command Files

To test commands in a command file, start RMAN with the `CHECKSYNTAX` parameter and use the `@` command to name the command file to be passed.

To test commands in a command file:

1. Use a text editor to create a command file.

   Assume that you create the `/tmp/goodcmdfile` with the following contents:

   ```
   # command file with legal syntax
   RESTORE DATABASE;
   RECOVER DATABASE;
   ```

   Assume that you create another command file, `/tmp/badcmdfile`, with the following contents:

   ```
   # command file with bad syntax commands
   RESTORE DATABASE
   RECOVER DATABASE
   ```

2. Run the command file from the RMAN prompt in the following format, where *`filename`* is the name of the command file:

   ```
   % rman CHECKSYNTAX @filename
   ```

Example 4-20 Checking the Syntax of a Command File with Correct Syntax

This example shows the output when you run `/tmp/goodcmdfile` with `CHECKSYNTAX`:

```
RMAN> # command file with legal syntax
2> restore database;
3> recover database;
4>
The cmdfile has no syntax errors

Recovery Manager complete.
```

Example 4-21 Checking the Syntax of a Command File with Bad Syntax

This example shows the output when you run `/tmp/badcmdfile` with `CHECKSYNTAX`:

```
RMAN> #command file with syntax error
2> restore database
3> recover
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS===============
RMAN-00571: ===========================================================
RMAN-00558: error encountered while parsing input commands
RMAN-01005: syntax error: found "recover": expecting one of: "archivelog,
      channel, check, controlfile, clone, database, datafile, device,
```

```
      from, force, high, (, preview, ;, skip, spfile, standby, tablespace,
      until, validate"
RMAN-01007: at line 3 column 1 file: /tmp/badcmdfile
```

Example 4-22 Checking the Syntax of a Command File that Contains Substitution Variables

You make your command files dynamic by including substitution variables. When you check the syntax of a command file that contains substitution variables, RMAN prompts you to enter values. This example illustrates what happens if you enter invalid values when checking the syntax of a dynamic command file. The text in bold indicates text entered at the prompt.

```
RMAN> CONNECT TARGET *
2> BACKUP TAG
Enter value for 1: mybackup
abc COPIES
Enter value for 2: mybackup
abc
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
RMAN-00558: error encountered while parsing input commands
RMAN-01009: syntax error: found "identifier": expecting one of: "integer"
RMAN-01008: the bad identifier was: mybackup
RMAN-01007: at line 2 column 25 file: /tmp/whole_db.cmd
```

RMAN indicates a syntax error because the string `mybackup` is not a valid argument for `COPIES`.

4.8 Using the RMAN Pipe Interface

The RMAN pipe interface is an alternative method for issuing commands to RMAN and receiving the output from those commands. Using this interface, it is possible to write a portable programmatic interface to RMAN.

With the pipe interface, RMAN obtains commands and sends output by using the `DBMS_PIPE` PL/SQL package instead of the operating system shell. The pipe interface is invoked by using the `PIPE` command-line parameter for the RMAN client. RMAN uses two private pipes: one for receiving commands and the other for sending output. The names of the pipes are derived from the value of the `PIPE` parameter. For example, you can invoke RMAN with the following command:

```
% rman PIPE abc TARGET /
```

RMAN opens the two pipes in the target database: `ORA$RMAN_ABC_IN`, which RMAN uses to receive user commands, and `ORA$RMAN_ABC_OUT`, which RMAN uses to send all output back to RMAN. All messages on both the input and output pipes are of type `VARCHAR2`.

RMAN does not permit the pipe interface to be used with public pipes, because they are a potential security problem. With a public pipe, any user who knows the name of the pipe can send commands to RMAN and intercept its output.

If the pipes are not initialized, then RMAN creates them as private pipes. If you want to put commands on the input pipe before starting RMAN, you must first create the pipe by calling `DBMS_PIPE.CREATE_PIPE`. Whenever a pipe is not explicitly created as a private pipe, the first access to the pipe automatically creates it as a public pipe, and RMAN returns an error if it is told to use a public pipe.

> **Note:**
>
> If multiple RMAN sessions can run against the target database, then you must use unique pipe names for each RMAN session. The `DBMS_PIPE.UNIQUE_SESSION_NAME` function is one method that you can use to generate unique pipe names.

4.8.1 Executing Multiple RMAN Commands in Succession Through a Pipe: Example

This example assumes that the application controlling RMAN wants to run multiple commands in succession. After each command is sent down the pipe and executed and the output returned, RMAN pauses and waits for the next command.

To execute RMAN commands through a pipe:

1. Start RMAN by connecting to a target database (required) and specifying the `PIPE` option. For example, enter:

   ```
   % rman PIPE abc TARGET /
   ```

   You can also specify the `TIMEOUT` option, which forces RMAN to exit automatically if it does not receive any input from the input pipe in the specified number of seconds. For example, enter:

   ```
   % rman PIPE abc TARGET / TIMEOUT 60
   ```

2. Connect to the target database and put the desired commands on the input pipe by using `DBMS_PIPE.PACK_MESSAGE` and `DBMS_PIPE.SEND_MESSAGE`. In pipe mode, RMAN issues message `RMAN-00572` when it is ready to accept input instead of displaying the standard RMAN prompt.
3. Read the RMAN output from the output pipe by using `DBMS_PIPE.RECEIVE_MESSAGE` and `DBMS_PIPE.UNPACK_MESSAGE`.
4. Repeat Steps 2 and 3 to execute further commands with the same RMAN instance that was started in Step 1.
5. If you used the `TIMEOUT` option when starting RMAN, then RMAN terminates automatically after not receiving any input for the specified length of time. To force RMAN to terminate immediately, send the `EXIT` command.

4.8.2 Executing RMAN Commands in a Single Job Through a Pipe: Example

This example assumes that the application controlling RMAN wants to run one or more commands as a single job. After running the commands that are on the pipe, RMAN exits.

To execute RMAN commands in a single job through a pipe:

1. After connecting to the target database, create a pipe (if it does not already exist under the name `ORA$RMAN_`*pipe*`_IN`).

2. Put the desired commands on the input pipe. In pipe mode, RMAN issues message `RMAN-00572` when it is ready to accept input instead of displaying the standard RMAN prompt.
3. Start RMAN with the `PIPE` option, and specify `TIMEOUT 0`. For example, enter:

   ```
   % rman PIPE abc TARGET / TIMEOUT 0
   ```

4. RMAN reads the commands that were put on the pipe and executes them by using `DBMS_PIPE.PACK_MESSAGE` and `DBMS_PIPE.SEND_MESSAGE`. When it has exhausted the input pipe, RMAN exits immediately.
5. Read RMAN output from the output pipe by using `DBMS_PIPE.RECEIVE_MESSAGE` and `DBMS_PIPE.UNPACK_MESSAGE`.

Related Topics

- *Oracle Database PL/SQL Packages and Types Reference*

5
Configuring the RMAN Environment

Use the `CONFIGURE` command to specify the behavior of your backup and recovery environment.

Related Topics

- Configuring the RMAN Environment: Advanced Topics
  RMAN configuration tasks include configuring advanced backup compression options.
- *Oracle Database Backup and Recovery Reference*

5.1 About Configuring the Environment for RMAN Backups

RMAN provides sensible defaults for most parameters required to perform basic backup and recovery. You can modify the value of default parameters or override these values for a particular session.

When implementing an RMAN-based backup strategy, you can use RMAN more effectively if you understand the most common configurations.

To simplify ongoing use of RMAN, you can set several persistent configuration settings for each target database. These settings control many aspects of RMAN behavior. For example, you can configure the backup retention policy, default destinations for backups, default backup device type, and so on. You can use the `SHOW` and `CONFIGURE` commands to view and change RMAN configurations.

This section explains what an RMAN configuration is and how you can use the `CONFIGURE` command to change RMAN default behavior for your backup and recovery environment. This section also introduces the major settings available to you and their more common values.

Related Topics

- Configuring RMAN to Make Backups to a Media Manager
  On most platforms, to back up to and restore from sequential media such as tape, you must integrate a media management software with your Oracle database. You can use the Oracle-supplied native SBT libraries, Oracle Secure Backup, or any third party software, as a media manager.
- *Oracle Database Backup and Recovery Reference*

5.2 Showing and Clearing Persistent RMAN Configurations

You can use the `SHOW` command to display the current value of RMAN configured settings for the target database. You can also view whether these commands are currently set to their default values.

To view or change your `CONFIGURE` command settings:

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. Run the RMAN `SHOW` command.

For example, run `SHOW ALL` as shown in the following example (sample output included). The output includes both parameters that you have changed and those that are set to the default. The configuration is displayed as the series of RMAN commands required to re-create the configuration. You can save the output in a text file and use this command file to re-create the configuration on the same or a different database.

```
SHOW ALL;

RMAN configuration parameters for database with db_unique_name
PROD1 are:
CONFIGURE RETENTION POLICY TO RECOVERY WINDOW OF 3 DAYS;
CONFIGURE BACKUP OPTIMIZATION ON;
CONFIGURE DEFAULT DEVICE TYPE TO DISK; # default
CONFIGURE CONTROLFILE AUTOBACKUP ON; # default
CONFIGURE CONTROLFILE AUTOBACKUP FORMAT FOR DEVICE TYPE SBT_TAPE TO
'%F'; # default
CONFIGURE CONTROLFILE AUTOBACKUP FORMAT FOR DEVICE TYPE DISK TO
'%F'; # default
CONFIGURE DEVICE TYPE 'SBT_TAPE' PARALLELISM 2 BACKUP TYPE TO
COMPRESSED BACKUPSET;
CONFIGURE DEVICE TYPE DISK PARALLELISM 1 BACKUP TYPE TO BACKUPSET;
# default
CONFIGURE DATAFILE BACKUP COPIES FOR DEVICE TYPE SBT_TAPE TO 1; #
default
CONFIGURE DATAFILE BACKUP COPIES FOR DEVICE TYPE DISK TO 1; #
default
CONFIGURE ARCHIVELOG BACKUP COPIES FOR DEVICE TYPE SBT_TAPE TO 1; #
default
CONFIGURE ARCHIVELOG BACKUP COPIES FOR DEVICE TYPE DISK TO 1; #
default
CONFIGURE CHANNEL DEVICE TYPE 'SBT_TAPE' PARMS
'ENV=(OB_DEVICE=tape1)';
CONFIGURE MAXSETSIZE TO UNLIMITED; # default
CONFIGURE ENCRYPTION FOR DATABASE OFF; # default
CONFIGURE ENCRYPTION ALGORITHM 'AES128'; # default
CONFIGURE COMPRESSION ALGORITHM 'BASIC' AS OF RELEASE 'DEFAULT'
OPTIMIZE FOR LOAD TRUE ; # default
CONFIGURE RMAN OUTPUT TO KEEP FOR 7 DAYS; # default
CONFIGURE ARCHIVELOG DELETION POLICY TO NONE; # default
CONFIGURE SNAPSHOT CONTROLFILE NAME TO '/disk1/oracle/dbs/
snapcf_ev.f'; # default
```

You can also use the `SHOW` command with the name of a particular configuration. For example, you can view the retention policy and default device type as follows:

```
SHOW RETENTION POLICY;
SHOW DEFAULT DEVICE TYPE;
```

3. Optionally, use the `CONFIGURE ... CLEAR` command to return any configuration to its default value, as shown in the following examples:

```
CONFIGURE BACKUP OPTIMIZATION CLEAR;
CONFIGURE RETENTION POLICY CLEAR;
CONFIGURE CONTROLFILE AUTOBACKUP FORMAT FOR DEVICE TYPE DISK CLEAR;
```

Related Topics

- *Oracle Database Backup and Recovery Reference*

5.3 Configuring the Default Device for Backups: Disk or SBT

Backups for which no destination device type is specified are directed to the configured default device. RMAN is preconfigured to use disk as the default device type. No additional configuration is necessary.

You may need to change the default device type from disk to tape, or change it back from tape to disk. Table 5-1 shows the commands that configure the default device.

Table 5-1 Commands to Configure the Default Device Type

Command	Explanation
`CONFIGURE DEFAULT DEVICE TYPE TO DISK`	Specifies that backups go to disk by default. If a recovery area is enabled, then the backup location defaults to the fast recovery area. Otherwise, the backup location defaults to an operating system-specific directory on disk. When backing up to disk, the logical block size of the database file must be an even multiple of the physical block size of the destination device. For example, a device of type DISK with a block size of 2 kilobytes can only be used as a destination for backups of database files with logical block sizes of 2 KB, 4 KB, 6 KB, and so on. Most disk drives have physical block sizes of 512 bytes, so this limitation rarely affects backup to disk drives. Nevertheless, you can encounter this limitation when backing up to a writable DVD or a device that has a larger physical block size.
`CONFIGURE DEFAULT DEVICE TYPE TO sbt`	Specifies that backups go to tape by default. When RMAN can communicate with the media manager, you can configure RMAN to make backups to tape and specify SBT as the default device type.

You can always override the default device by using the `DEVICE TYPE` clause of the `BACKUP` command, as shown in the following examples:

```
BACKUP DEVICE TYPE sbt DATABASE;
BACKUP DEVICE TYPE DISK DATABASE;
```

To change the configured default device type:

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. Run the `SHOW ALL` command to show the currently configured default device.

3. Run the `CONFIGURE DEFAULT DEVICE TYPE` command, specifying either `TO DISK` or `TO sbt`.

Related Topics

- Configuring RMAN to Make Backups to a Media Manager
  On most platforms, to back up to and restore from sequential media such as tape, you must integrate a media management software with your Oracle database. You can use the Oracle-supplied native SBT libraries, Oracle Secure Backup, or any third party software, as a media manager.
- *Oracle Database Backup and Recovery Reference*

5.4 Configuring the Default Type for Backups: Backup Sets or Copies

The `BACKUP` command can create either backup sets or image copies. For disk, you can configure RMAN to create either backup sets or image copies as its default backup type with the `CONFIGURE DEVICE TYPE DISK BACKUP TYPE TO` command.

> **Note:**
>
> Because RMAN can write an image copy only to disk, the backup type for tape can only be a backup set.

The default backup type for disk is an uncompressed backup set.

RMAN can create backup sets using binary compression. You can configure RMAN to use compressed backup sets by default on a device type by specifying the `COMPRESSED` option in the `BACKUP TYPE TO ... BACKUPSET` clause. To disable compression, use the `CONFIGURE DEVICE TYPE` command with arguments specifying your other desired settings, but omit the `COMPRESSED` keyword.

To configure the default type of backup:

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. Configure backup sets or image copies as the default backup type.

   The following examples configure the backup type for disk backups to copies and backup sets:

   ```
   CONFIGURE DEVICE TYPE DISK BACKUP TYPE TO COPY; # image copies
   CONFIGURE DEVICE TYPE DISK BACKUP TYPE TO BACKUPSET; # uncompressed
   ```

   The following examples configure compression for backup sets:

   ```
   CONFIGURE DEVICE TYPE DISK BACKUP TYPE TO COMPRESSED BACKUPSET;
   CONFIGURE DEVICE TYPE sbt BACKUP TYPE TO COMPRESSED BACKUPSET;
   ```

Related Topics

- About Backup Sets
  When you execute the `BACKUP` command in RMAN, you create one or more backup sets or image copies. By default, RMAN creates backup sets regardless of whether the destination is disk or a media manager.
- Making Compressed Backups
  When creating backup sets, you can use RMAN support for binary compression of backup sets by including the `AS COMPRESSED BACKUPSET` option to the `BACKUP` command.

5.5 Configuring Channels

An RMAN channel is a connection to a database server session. RMAN uses channels to perform most tasks.

5.5.1 About Channel Configuration

Use the `CONFIGURE CHANNEL` command to configure options for disk or SBT channels. You can configure generic channel settings for a device type, that is, a template that is used for any channels created based on configured settings for that device.

> **Note:**
>
> This section explains configuration of disk channels. To learn how to configure channels for tape, see Configuring SBT Channels for Use with a Media Manager.

`CONFIGURE CHANNEL` takes the same options used to specify one-time options with the `ALLOCATE CHANNEL` command.

If you use `CONFIGURE CHANNEL` to specify generic channel settings for a device, any previous settings are discarded, even if the settings are not in conflict. For example, after the second `CONFIGURE CHANNEL` command, which specifies only the `FORMAT` for configured disk channels, the `MAXPIECESIZE` for the disk channel is returned to its default value:

```
CONFIGURE CHANNEL DEVICE TYPE DISK MAXPIECESIZE 2G;
CONFIGURE CHANNEL DEVICE TYPE DISK FORMAT /tmp/%U;
```

5.5.2 Configuring Channels for Disk

By default, RMAN allocates one disk channel for all operations. You can specify different options for this channel, for example, a new default location for backups.

Example 5-1 Configuring a Nondefault Backup Location

This example configures RMAN to write disk backups to the `/disk1` directory and specifies a nondefault format for the relative file name.

```
CONFIGURE CHANNEL DEVICE TYPE DISK FORMAT '/disk1/ora_df%t_s%s_s%p';
```

RMAN automatically replaces the format specifier `%t` with a four byte time stamp, `%s` with the backup set number, and `%p` with the backup piece number.

> **Note:**
>
> When you configure an explicit format for disk channels, RMAN does not create backups by default in the fast recovery area. In this case, you lose the disk space management capabilities of the fast recovery area.

Example 5-2 Configuring an ASM Disk Location

This example demonstrates how to configure an ASM disk location.

```
CONFIGURE CHANNEL DEVICE TYPE DISK FORMAT '+dgroup1';
```

Related Topics

- Backing Up Database Files with RMAN
  You can back up a whole CDB, the root only, one or more pluggable databases (PDBs), or one ore more tablespaces.

5.5.3 Configuring Parallel Channels for Disk and SBT Devices

The number of channels available for a device type when you run a command determines whether RMAN reads or writes in parallel. As a rule, the number of channels used in executing a command should match the number of devices accessed.

For tape backups, allocate one channel for each tape drive. For disk backups, allocate one channel for each physical disk, unless you can optimize the backup for your disk subsystem architecture with multiple channels. Failing to allocate the right number of channels adversely affects RMAN performance during I/O operations.

You can configure channel parallelism settings, binary compression for backup sets, and other options for an SBT device with `CONFIGURE DEVICE TYPE sbt`. You set the configuration for the device type independently of the channel configuration.

Example 5-3 Configuring Parallelism for an SBT Device

This example changes the SBT device (sample output included) so that RMAN can back up to a media manager using two tape drives in parallel. Each configured SBT channel backs up approximately half the total data.

```
RMAN> CONFIGURE DEVICE TYPE sbt PARALLELISM 2;

old RMAN configuration parameters:
CONFIGURE DEVICE TYPE 'SBT_TAPE' BACKUP TYPE TO COMPRESSED BACKUPSET
PARALLELISM 1;
new RMAN configuration parameters:
CONFIGURE DEVICE TYPE 'SBT_TAPE' PARALLELISM 2 BACKUP TYPE TO
COMPRESSED BACKUPSET;
new RMAN configuration parameters are successfully stored
```

Example 5-4 Configuring the Backup Type for an SBT Device

This example changes the default backup type for the SBT device to an uncompressed backup set (sample output included).

The `CONFIGURE DEVICE TYPE` commands used in this example only affect parallelism and backup type and do not affect the values of settings not specified. In Example 5-3, the default backup type of compressed backup set was not changed by changing the parallelism setting. In this example, the ability to use multiple tape drives in parallel is not affected by changing the default backup type.

```
RMAN> CONFIGURE DEVICE TYPE sbt BACKUP TYPE TO BACKUPSET;
old RMAN configuration parameters:
CONFIGURE DEVICE TYPE 'SBT_TAPE' PARALLELISM 2 BACKUP TYPE TO COMPRESSED
BACKUPSET;
new RMAN configuration parameters:
CONFIGURE DEVICE TYPE 'SBT_TAPE' BACKUP TYPE TO BACKUPSET PARALLELISM 2;
new RMAN configuration parameters are successfully stored
```

Related Topics

- Specifying Multiple Formats for Disk Backups
  When backing up to disk, you can specify a format to spread the backup across several drives for improved performance.
- *Oracle Database Backup and Recovery Reference*
- *Oracle Real Application Clusters Administration and Deployment Guide*

5.5.4 Manually Overriding Configured Channels

If you manually allocate a channel during a job, then RMAN disregards any configured channel settings.

To manually override configured channels:

- Assume that the default device type is SBT. Run the following command to override the default configuration.

  ```
  RUN
  {
    ALLOCATE CHANNEL c1 DEVICE TYPE DISK;
    BACKUP TABLESPACE users;
  }
  ```

  In this case, RMAN uses only the disk channel that you manually allocated within the `RUN` command, overriding any defaults set by using `CONFIGURE DEVICE TYPE`, `CONFIGURE DEFAULT DEVICE`, or `CONFIGURE CHANNEL` settings.

Related Topics

- About RMAN Channels
  An RMAN channel represents one stream of data to a device, and corresponds to one database server session. During a backup or restore operation, the channel reads data from the input device, processes it, and writes it to the output device.
- *Oracle Database Backup and Recovery Reference*

5.6 Configuring Control File and Server Parameter File Autobackups

You can configure RMAN to automatically back up the control file and server parameter file. The autobackup occurs whenever a backup record is added.

If the database runs in `ARCHIVELOG` mode, then an autobackup is also taken whenever the database structure metadata in the control file changes. A control file autobackup enables RMAN to recover the database even if the current control file, recovery catalog, and server parameter file are lost.

Because the file name for the autobackup follows a well-known format, RMAN can search for it without access to a repository and then restore the server parameter file. After you have started the instance with the restored server parameter file, RMAN can restore the control file from an autobackup. After you mount the control file, the RMAN repository is available, and RMAN can restore the data files and find the archived redo logs.

To enable the autobackup feature:

```
CONFIGURE CONTROLFILE AUTOBACKUP ON;
```

To disable the autobackup feature:

```
CONFIGURE CONTROLFILE AUTOBACKUP OFF;
```

By default, control file autobackups are turned on for CDBs and standalone databases that have the `COMPATIBLE` initialization parameter set to 12.2 or higher.

Related Topics

- About RMAN Control File and Server Parameter File Autobackups
  Having recent backups of your control file and server parameter file is extremely valuable in many recovery situations. To ensure that you have backups of these files, the database supports control file and server parameter file autobackups.

5.6.1 Configuring the Control File Autobackup Format

By default, the format of the autobackup file for all configured devices is the substitution variable `%F` in the `FORMAT` clause.

The `%F` variable format translates into *`c-IIIIIIIIII-YYYYMMDD-QQ`*, with the placeholders defined as follows:

- *`IIIIIIIIII`* stands for the DBID.
- *`YYYYMMDD`* is a time stamp of the day the backup is generated.
- *`QQ`* is the hexadecimal sequence that starts with `00` and has a maximum of `FF`.

To change the default format for the autobackup file:

- Use the following command, where *deviceSpecifier* is any valid device type, and '*string*' must contain the substitution variable %F (and no other substitution variables) and is a valid handle for the specified device:

```
CONFIGURE CONTROLFILE AUTOBACKUP FORMAT
  FOR DEVICE TYPE deviceSpecifier TO 'string';
```

For example, you can run the following command to specify a nondefault file name for the control file autobackup. In the file name, ? stands for ORACLE_HOME.

```
CONFIGURE CONTROLFILE AUTOBACKUP FORMAT
  FOR DEVICE TYPE DISK TO '?/oradata/cf_%F';
```

The following example configures the autobackup to write to an Automatic Storage Management disk group:

```
CONFIGURE CONTROLFILE AUTOBACKUP FORMAT
  FOR DEVICE TYPE DISK TO '+dgroup1/%F';
```

> **Note:**
>
> The valid formats for control file autobackups are: %D, %I, %M, %Y, %F, %T, %d, and %n. If you use formats other than these, you may not be able to restore the control file autobackup.

To clear control file autobackup formats for a device:

- , Use the following commands:

```
CONFIGURE CONTROLFILE AUTOBACKUP FORMAT FOR DEVICE TYPE DISK CLEAR;
CONFIGURE CONTROLFILE AUTOBACKUP FORMAT FOR DEVICE TYPE sbt CLEAR;
```

If you have set up a fast recovery area for the database, then you can direct control file autobackups to the fast recovery area by clearing the control file autobackup format for disk.

> **Note:**
>
> All files in the fast recovery area are maintained by Oracle Database and associated file names are maintained in the Oracle Managed Files (OMF) format.

5.6.2 Overriding the Configured Control File Autobackup Format

The SET CONTROLFILE AUTOBACKUP FORMAT command, which you can specify either within a RUN command or at the RMAN prompt, overrides the configured autobackup format in the current session only.

The order of precedence is:

1. SET CONTROLFILE AUTOBACKUP FORMAT (within a RUN block)

2. `SET CONTROLFILE AUTOBACKUP FORMAT` (at `RMAN` prompt)
3. `CONFIGURE CONTROLFILE AUTOBACKUP FORMAT`

The following example shows how the two forms of `SET CONTROLFILE AUTOBACKUP FORMAT` interact:

```
SET CONTROLFILE AUTOBACKUP FORMAT FOR DEVICE TYPE DISK TO 'controlfile_%F';
BACKUP AS COPY DATABASE;
RUN
{
  SET CONTROLFILE AUTOBACKUP FORMAT FOR DEVICE TYPE DISK TO '/tmp/%F.bck';
  BACKUP AS BACKUPSET
    DEVICE TYPE DISK
    DATABASE;
}
```

The first `SET CONTROLFILE AUTOBACKUP FORMAT` controls the name of the control file autobackup until the RMAN client exits, overriding any configured control file autobackup format. The `SET CONTROFILE AUTOBACKUP FORMAT` in the `RUN` block overrides the `SET CONTROLFILE AUTOBACKUP FORMAT` outside the `RUN` block for the duration of the `RUN` block.

5.7 Configuring RMAN to Make Backups to a Media Manager

On most platforms, to back up to and restore from sequential media such as tape, you must integrate a media management software with your Oracle database. You can use the Oracle-supplied native SBT libraries, Oracle Secure Backup, or any third party software, as a media manager.

RMAN uses the Oracle-supplied System Backup to Tape (SBT) media library for backups and restores with Oracle Cloud Infrastructure (OCI), Zero Data Loss Recovery Appliance (Recovery Appliance), and Amazon S3 Cloud. Starting with Oracle Database 23c, native SBT libraries are included in the Oracle home directory of a target database.

Oracle Secure Backup supports both database and file system backups to tape. You can use Oracle Secure Backup as your media manager. You can also use any third-party media management software.

> **Note:**
>
> Starting with Oracle Database 23c, depending on the backup destination for your target database, you can configure RMAN to use a native SBT library available with the target database installation, instead of downloading a compatible SBT library from Oracle Technology Network (OTN).

This section describes the steps required for configuring RMAN to use a media manager. To learn how to configure RMAN to use the native SBT libraries, you can directly skip to Configuring RMAN to Use a Native SBT Media Library. If you are using a third-party media manager, then follow the appropriate steps described below.

> **Note:**
>
> If you use a third-party media manager, then the RMAN configuration steps depends on the media management product that you install and the platform on which you run the database. If you opt to use RMAN with a third-party media manager, then you must obtain all product-specific information from the vendor.

To configure RMAN for use with a media manager:

1. Ensure that the prerequisites for using a media manager are met as described in the "Prerequisites for Using a Third-Party Media Manager with RMAN" section.
2. Determine the location of the third-party media management library used to communicate with the sequential media as described in the "Determining the Location of the Third-Party Media Management Library" section.
3. Configure the media management software as described in the "Configuring a Third-Party Media Management Software for RMAN Backups" section.
4. Check if RMAN can create backups using the media manager as described in the "Testing Whether the Media Manager Library Is Integrated Correctly" section.
5. Configure an RMAN channel that can be used as a default for all tape backups as described in the "Configuring SBT Channels for Use with a Media Manager" section.

Related Topics

- Configuring RMAN to Use a Native SBT Media Library
  Starting with Oracle Database 23c, RMAN SBT libraries are available as part of a target database release version.
- Prerequisites for Using a Third-Party Media Manager with RMAN
  Before you can begin using RMAN with a third-party media manager, you must install the media manager and ensure that RMAN can communicate with it.
- Determining the Location of a Third-Party Media Management Library
  Before attempting to use RMAN with a third-party media manager, determine the location of the media management library.
- Configuring a Third-Party Media Management Software for RMAN Backups
  After installing the media management software, perform the configuration required by your vendor so that the software can accept RMAN backups.
- Testing Whether the Media Manager Library Is Integrated Correctly
  After you have confirmed that the database server can load the media management library, test to ensure that RMAN can back up to the media manager.
- Configuring SBT Channels for Use with a Media Manager
  Configuring SBT channels creates a persistent setting that is the default used for backup and recovery operations with a media manager.
- *Oracle Secure Backup Administrator's Guide*

5.7.1 Configuring RMAN to Use a Native SBT Media Library

Starting with Oracle Database 23c, RMAN SBT libraries are available as part of a target database release version.

In previous releases of Oracle database, you were required to download the SBT libraries from Oracle Technology Network (OTN), and then configure RMAN to use the SBT library stored in a specified directory on the target database. Additionally, you had to periodically download the latest SBT library to ensure compatibility with the target database version.

Starting with Oracle Database 23c, you can configure the RMAN SBT channel to use the native SBT libraries that are included with the target database release version. The operating system specific native SBT libraries enable backups and restores for Oracle Cloud, Recovery Appliance, and Amazon S3 Cloud.

Each native SBT library has a predefined alias name. For example, `oracle.oci` is the predefined alias name for the SBT library that enables RMAN to perform backups and restores to Oracle Cloud.

Depending on the backup destination for your target database, configure the RMAN SBT channel using the alias name of the relevant SBT library. This creates a persistent configuration for RMAN. While performing backup and restores,RMAN uses the configured alias name to adopt a native SBT library available in Oracle home directory of the target database.

This table describes the native SBT libraries and their predefined alias names.

Table 5-2 Native SBT Libraries for Performing RMAN Backups and Restores

Backup Destination	Alias	Purpose
Oracle Cloud Infrastructure	`oracle.oci`	Operating system-specific SBT library that enables cloud backups and restores with the Oracle Cloud Infrastructure.
Zero Data Loss Recovery Appliance	`oracle.zdlra`	Operating system-specific SBT library that enables backups and restores with the Zero Data Loss Recovery Appliance.
Amazon S3 Cloud	`oracle.osbws`	Operating system-specific SBT library that enables backups and restores with Amazon S3 Cloud.

The following examples show how you can configure the RMAN SBT channel using the native SBT libraries of a target database. The location to which backups are stored is determined by the configuration that is currently in use.

Example 5-5 Configuring RMAN to Back Up to Oracle Cloud Infrastructure

To backup a target database to Oracle Cloud using RMAN, you must first install the Oracle Database Backup Cloud Service on the target database server. You must then configure the RMAN channel to use the native SBT library that corresponds to the Backup Cloud Service module.

For information about installing the Backup Cloud Service module, see Installing the Backup Module for Oracle Database Backup Cloud Service in the *Oracle Database Backup Cloud Service User's Guide*.

This example configures an RMAN channel that uses the Oracle Database Cloud Backup Module for OCI for backup and restore operations with Oracle Cloud Infrastructure.

The `SBT_LIBRARY` parameter specifies the alias name (`oracle.oci`) of the native SBT library that enables RMAN backups and restores with OCI. `opc<ORACLE_SID>.ora` is the Backup Cloud Service configuration file that is created when you install the Backup Cloud Service module. `SID` is the system identifier of the Oracle database being backed up to Oracle Database Backup Cloud Service.

```
RMAN> CONFIGURE CHANNEL DEVICE TYPE sbt
 PARMS='SBT_LIBRARY=oracle.oci'
 SBT_PARMS=(OPC_PFILE=/orclhome/dbs/opc<ORACLE_SID>.ora)';
```

When RMAN attempts to backup to OCI, it uses the native SBT library for OCI as specified by the `SBT_LIBRARY` parameter.

Example 5-6 Configuring RMAN to Back Up to Zero Data Loss Recovery Appliance

To backup a target database to Recovery Appliance using RMAN, you must first install the Recovery Appliance backup module in the Oracle home of the target database. You must then configure the RMAN channel to use the native SBT library that corresponds to the Recovery Appliance backup module.

See, Steps to Configure RMAN for Backups to Recovery Appliance to learn more about how to install and configure the Recovery Appliance backup module.

This example configures an RMAN channel that uses the Recovery Appliance backup module for backup and restores with Recovery Appliance.

The `SBT_LIBRARY` parameter specifies the alias name (`oracle.zdlra`) of the native SBT library that corresponds with the Recovery Appliance. When RMAN attempts to backup to Recovery Appliance, it uses the native SBT library for Recovery Appliance as specified by the `SBT_LIBRARY` parameter.

```
RMAN> CONFIGURE CHANNEL DEVICE TYPE sbt
PARMS 'SBT_LIBRARY=oracle.zdlra';
```

Example 5-7 Configuring RMAN to Back Up to Oracle Secure Backup Cloud Module on Amazon S3

To backup a target database to Amazon S3 Cloud, you must first install the S3 Backup installer. You must then configure the RMAN channel to use the native SBT library that corresponds to the Amazon S3 backup module.

See the *Backup and Recovery Reference* to learn how to install and configure the Oracle Secure Backup Cloud Module.

This example configures an RMAN channel that uses the Oracle Secure Backup Cloud Module for backup and restores with Amazon S3 Cloud.

The `SBT_LIBRARY` parameter specifies the alias name (`oracle.osbws`) of the native SBT library that corresponds to Amazon S3 Cloud. `osbsws<ORACLE_SID>.ora` is the Oracle Secure Backup configuration file that is created when you install the Secure Backup Cloud

module. `SID` is the system identifier of the Oracle database being backed up to Amazon S3 Cloud.

```
RMAN> CONFIGURE CHANNEL DEVICE TYPE sbt
PARMS 'SBT_LIBRARY=oracle.osbws'
ENV=(OSB_WS_PFILE=/orclhome/dbs/osbsws<ORACLE_SID>.ora)';
```

When RMAN attempts to backup to Amazon S3 Cloud, it uses the native SBT library as specified by the `SBT_LIBRARY` parameter.

5.7.2 Prerequisites for Using a Third-Party Media Manager with RMAN

Before you can begin using RMAN with a third-party media manager, you must install the media manager and ensure that RMAN can communicate with it.

Refer to the media management vendor's software documentation for instructions on installing the media manager and backing up files to the media manager without using RMAN.

In general, you begin by installing and configuring the media management software on the target host or production network. Ensure that you can make non-RMAN backups of operating system files on the target database host. This step makes later troubleshooting much easier by confirming that the basic integration of the media manager with the target host has been successful.

Then, obtain and install the third-party media management module for integration with the database server. This module contains the media management library that the Oracle database loads and uses when accessing the media manager. It is generally a third-party product that must be purchased separately. Contact your media management vendor for details.

> **Note:**
>
> RMAN uses the Oracle-supplied native SBT media libraries for backups and restores to Oracle Cloud, Recovery Appliance, and Amazon S3 Cloud. To learn about using native SBT libraries, see Configuring RMAN to Use a Native SBT Media Library.

5.7.3 Determining the Location of a Third-Party Media Management Library

Before attempting to use RMAN with a third-party media manager, determine the location of the media management library.

When allocating or configuring a channel for RMAN to use to communicate with a third-party media manager, you must specify the `SBT_LIBRARY` parameter in an `ALLOCATE CHANNEL` or `CONFIGURE CHANNEL` command. The `SBT_LIBRARY` parameter specifies the path to the library.

When RMAN allocates channels to communicate with a media manager, it attempts to load the library indicated by the `SBT_LIBRARY` parameter. If you do not provide a value

for the SBT_LIBRARY parameter in an allocated or preconfigured channel, then RMAN looks in a platform-specific and secured default location.

On Linux and UNIX, the SBT library is loaded from:

```
/opt/oracle/extapi/[32,64]/{SBT}/{VENDOR}/{VERSION}/libobk.so
```

The SBT library file name extension name varies according to platform:

- .so, .sl on HP-UX,
- .a on AIX,

On Windows, the SBT library is loaded from:

```
%SYSTEM_DRIVE%\oracle\extapi\[32,64]\{SBT}\{VENDOR}\{VERSION}\orasbt.dll
```

If RMAN cannot use the secured default location or if you are using Oracle Database 11*g* or earlier, then RMAN loads the SBT library from the location designated by the environment variables PATH or LIBPATH.

In some cases, your organization may have security or regulatory compliance requirements that prohibit the use of environment variables PATH or LIBPATH to designate a library directory. To disable this behavior, set the PARMS string to SBT_SECURE=1.

> **Note:**
>
> The default media management library file is *not* part of the standard database installation. It is present only if you install third-party media management software.

> **See Also:**
>
> Your operating system-specific database documentation and the documentation supplied by your media vendor for instructions on how to achieve media manager integration on your platform

Example 5-8 Configuring the Third-Party Media Management Library Location

The following example shows the channel syntax, where *pathname* is the absolute file name of the library:

```
CONFIGURE CHANNEL DEVICE TYPE sbt
  PARMS 'SBT_LIBRARY=pathname';
```

5.7.4 Configuring a Third-Party Media Management Software for RMAN Backups

After installing the media management software, perform the configuration required by your vendor so that the software can accept RMAN backups.

Depending on the type of media management software that you installed, you may have to define media pools, configure users and classes, and so on. Consult your media management vendor documentation for the appropriate RMAN settings. The PARMS parameter

sends instructions to the media manager. If PARMS values are needed for the ALLOCATE CHANNEL or the CONFIGURE CHANNEL command, or if a FORMAT string is recommended for the BACKUP or CONFIGURE command, then refer to the vendor documentation for this information.

Example 5-9 PARMS Setting for Oracle Secure Backup

This example shows a PARMS setting for Oracle Secure Backup. This PARMS settings instructs the media manager to back up to a family of tapes called datafile_mf. The PARMS settings are always vendor-specific.

```
CONFIGURE CHANNEL DEVICE TYPE 'SBT_TAPE'
  PARMS 'ENV=(OB_MEDIA_FAMILY=datafile_mf)';
```

Related Topics

- *Oracle Secure Backup Reference*

5.7.5 Testing Whether the Media Manager Library Is Integrated Correctly

After you have confirmed that the database server can load the media management library, test to ensure that RMAN can back up to the media manager.

You can first test the ALLOCATE CHANNEL command on the media manager and then try backup/restore operations on the media manager.

5.7.5.1 Testing ALLOCATE CHANNEL on the Media Manager

The ALLOCATE CHANNEL command is used to perform a basic test of RMAN communication with the media manager.

To test channel allocation on the media manager:

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. Run the ALLOCATE CHANNEL command with the PARMS required by your media management software.

   The following RUN command shows sample vendor-specific PARMS settings:

   ```
   RUN
   {
     ALLOCATE CHANNEL c1 DEVICE TYPE sbt
       PARMS 'SBT_LIBRARY=/mydir/lib/libobk.so,
       ENV=(OB_DEVICE=drive1,OB_MEDIA_FAMILY=datafile_mf)';
   }
   ```

3. Examine the RMAN output.

If you do not receive an error message, then the database successfully loaded the media management library. If you receive the `ORA-27211` error, the media management library could not be loaded:

```
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
RMAN-03009: failure of allocate command on c1 channel at 11/30/2007
13:57:18
ORA-19554: error allocating device, device type: SBT_TAPE, device name:
ORA-27211: Failed to load Media Management Library
Additional information: 25
```

In this case, check the media management installation to ensure that the library is correctly installed, and recheck the value for the `SBT_LIBRARY` parameter as described in the "Determining the Location of the Media Management Library" section.

If the database cannot locate a media management library in the location specified by the `SBT_LIBRARY` parameter or the default location, then RMAN issues an `ORA-27211` error and exits.

Whenever channel allocation fails, the database writes a trace file to the `trace` subdirectory in the Automatic Diagnostic Repository (ADR) home directory. The following shows sample output:

```
SKGFQ OSD: Error in function sbtinit on line 2278
SKGFQ OSD: Look for SBT Trace messages in file /oracle/rdbms/log/sbtio.log
SBT Initialize failed for /oracle/lib/libobk.so
```

Related Topics

- Determining the Location of a Third-Party Media Management Library
  Before attempting to use RMAN with a third-party media manager, determine the location of the media management library.

5.7.5.2 Testing Backup and Restore Operations on the Media Manager

After testing a channel allocation on the media manager, create and restore a test backup.

Use the `BACKUP` and `RESTORE` commands to perform backup and recovery operations on the media manager. If the backup and restore operations succeed, then you are ready to use the media manager with RMAN.

Possible reasons for failures include the following cases:

- The backup hangs.

  A hanging backup usually indicates that the media manager is waiting to mount a tape. Check if there are any media manager jobs in tape mount request mode and fix the problem. Ensure that the steps in the "Configuring RMAN to Make Backups to a Media Manager" section are correctly done.

- The backup fails with `ORA-27211: Failed to load Media Management Library`.

  This error indicates that the media management software is not correctly configured. Ensure that the steps in the "Configuring RMAN to Make Backups to a Media Manager" section are correctly done. Also, ensure that you have the `PARMS` and `FORMAT` strings required by your media management software.

Example 5-10 Backing Up the Server Parameter File to Tape

You can use the command in this example (substituting the channel settings required by your media management vendor) to test whether a backup can be created on the media manager. If your database does not use a server parameter file, then back up the current control file instead.

```
RUN
{
  ALLOCATE CHANNEL c1 DEVICE TYPE sbt
    PARMS 'SBT_LIBRARY=/mydir/lib/libobk.so,
    ENV=(OB_DEVICE=drive1,OB_MEDIA_FAMILY=datafile_mf)';
  BACKUP SPFILE;
  # If your database does not use a server parameter file, use:
  # BACKUP CURRENT CONTROLFILE;
}
```

Example 5-11 Restoring the Server Parameter File from Tape

This example restores the backup created in Example 5-10 to a temporary directory. If the backup to the media manager succeeds, then attempt to restore the server parameter file as an initialization parameter file.

```
RUN
{
  ALLOCATE CHANNEL c1 DEVICE TYPE sbt
    PARMS 'SBT_LIBRARY=/mydir/lib/libobk.so,
    ENV=(OB_DEVICE=drive1,OB_MEDIA_FAMILY=datafile_mf)';
  RESTORE SPFILE TO PFILE '/tmp/test_restore.f';
  # If your database does not use a server parameter file, use:
  # RESTORE CURRENT CONTROLFILE TO '/tmp/test_restore.f';
}
```

Related Topics

- Configuring RMAN to Make Backups to a Media Manager
  On most platforms, to back up to and restore from sequential media such as tape, you must integrate a media management software with your Oracle database. You can use the Oracle-supplied native SBT libraries, Oracle Secure Backup, or any third party software, as a media manager.
- Testing the Media Management API
  On some platforms, Oracle provides a diagnostic tool called `sbttest`. This utility performs a simple test of the media management software by acting as the Oracle database server and attempting to communicate with the media manager.
- Troubleshooting RMAN Operations
  Use RMAN message output and dynamic performance views to troubleshoot RMAN operations.

5.7.6 Configuring SBT Channels for Use with a Media Manager

Configuring SBT channels creates a persistent setting that is the default used for backup and recovery operations with a media manager.

5.7.6.1 About Media Manager Backup Piece Names

A backup piece name is determined by the `FORMAT` string specified in the `BACKUP` command, `CONFIGURE CHANNEL` command, or `ALLOCATE CHANNEL` command.

The media manager considers the backup piece name as the name of the backup file, so every backup piece must have a unique name in the media management catalog. You can use the substitution variables in a `FORMAT` parameter to generate unique backup piece names. For example, `%d` specifies the name of the database, whereas `%t` specifies the backup time stamp. For most purposes, you can use `%U`, in which case RMAN automatically generates a unique file name. The backup piece name `12i1nk47_1_1` is an example. If you do not specify the `FORMAT` parameter, then RMAN automatically generates a unique file name with the `%U` substitution variable.

Your media manager may impose restrictions on file names and sizes. In this case, you may need more control over the naming of backup pieces so that they obey media manager restrictions. For example, some media managers only support a 14-character backup piece name, and some require special `FORMAT` strings. The unique names generated by the `%U` substitution variable do not exceed 14 characters.

Some media managers may have limits on the maximum size of files that they can back up or restore. You must ensure that RMAN does not produce backup sets larger than those limits. To limit backup piece sizes, use the parameter `MAXPIECESIZE`, which you can set in the `CONFIGURE CHANNEL` and`ALLOCATE CHANNEL` commands.

Related Topics

- About Number and Size of RMAN Backup Pieces
  By default a backup set contains one backup piece. To restrict the size of each backup piece, specify the `MAXPIECESIZE` option of the `CONFIGURE CHANNEL` or `ALLOCATE CHANNEL` commands.

> **See Also:**
>
> Your media management documentation to determine the string character limit for the media manager

5.7.6.2 Configuring Automatic SBT Channels

The easiest technique for backing up to a media manager is to configure automatic SBT channels

You can use a tape device as your default backup destination.

To configure channels for use with a media manager:

1. Configure a generic SBT channel.

   In the configuration, enter all parameters that you tested in the "Testing Backup and Restore Operations on the Media Manager" section. The following example configures vendor-specific channel parameters and sets the default device:

   ```
   CONFIGURE CHANNEL DEVICE TYPE sbt
     PARMS 'ENV=(OB_RESOURCE_WAIT_TIME=1minute,OB_DEVICE=tape1)';
   ```

2. Configure the default device type to SBT, as shown in the following command:

```
CONFIGURE DEFAULT DEVICE TYPE TO sbt;
```

   If you use multiple tape devices, then you must specify the channel parallelism as described in the "Configuring Parallel Channels for Disk and SBT Devices" section. The following configuration enables you to back up to two tape drives in parallel:

```
CONFIGURE DEVICE TYPE sbt PARALLELISM 2;
```

   Optionally, check your channel configuration by running the following command:

```
SHOW CHANNEL FOR DEVICE TYPE sbt;
```

3. Make a test backup to tape.

   The following command backs up the server parameter file to tape:

```
BACKUP SPFILE;
```

4. List your backups to ensure that the test backup went to the media manager:

```
LIST BACKUP OF SPFILE;
```

Related Topics

- Testing Backup and Restore Operations on the Media Manager
  After testing a channel allocation on the media manager, create and restore a test backup.
- Configuring Parallel Channels for Disk and SBT Devices
  The number of channels available for a device type when you run a command determines whether RMAN reads or writes in parallel. As a rule, the number of channels used in executing a command should match the number of devices accessed.

5.8 Configuring RMAN to Make Backups to Recovery Appliance

RMAN commands can be used to back up target databases to Zero Data Loss Recovery Appliance (Recovery Appliance). Certain configuration steps are required to use the Recovery Appliance as a centralized repository for the target database backups.

To configure RMAN to create backups to Recovery Appliance:

1. Ensure that the prerequisites described in the "Prerequisites for Using Recovery Appliance" section are met.
2. Complete the steps listed in the "Steps to Configure RMAN for Backups to Recovery Appliance" section.

Related Topics

- Prerequisites for Using Recovery Appliance
  Before you can use RMAN to back up a target database to Zero Data Loss Recovery Appliance (Recovery Appliance), you must install the Recovery Appliance backup module in the Oracle home of the target database.

- Steps to Configure RMAN for Backups to Recovery Appliance
  RMAN configuration is required before you can back up Oracle databases to Zero Data Loss Recovery Appliance (Recovery Appliance).
- *Zero Data Loss Recovery Appliance Protected Database Configuration Guide*

5.8.1 Prerequisites for Using Recovery Appliance

Before you can use RMAN to back up a target database to Zero Data Loss Recovery Appliance (Recovery Appliance), you must install the Recovery Appliance backup module in the Oracle home of the target database.

The backup module can be installed in the default location or a user-specified location. Installing the Recovery Appliance backup module creates the shared library used to transfer backups to the Recovery Appliance and the Oracle wallet containing credentials used to authenticate the target database with Recovery Appliance.

Related Topics

- *Zero Data Loss Recovery Appliance Protected Database Configuration Guide*

5.8.2 Steps to Configure RMAN for Backups to Recovery Appliance

RMAN configuration is required before you can back up Oracle databases to Zero Data Loss Recovery Appliance (Recovery Appliance).

1. Determine the location of the Recovery Appliance backup module as described in the "Determining the Location of the Recovery Appliance Backup Module" section.
2. Specify Recovery Appliance configuration parameters that must be used by RMAN to create backups to Recovery Appliance as described in the "Specifying Recovery Appliance Configuration Settings for RMAN Backups" section.
3. Allocate one or more RMAN channels that will be used by RMAN to backup the database to Recovery Appliance.

   You must specify the `SBT_LIBRARY` and the `ENV` parameters while using Recovery Appliance. `SBT_LIBRARY` provides the location of the Recovery Appliance backup module and `ENV` provides the configuration parameters.

   Instead of allocating RMAN channels, you can also configure an RMAN SBT channel that will be used to back up to Recovery Appliance. In this case, the channel configuration is persistent and the settings are applicable until they are reset (using `CONFIGURE` command) or overridden for a particular operation using an `ALLOCATE` statement.

Related Topics

- Determining the Location of the Recovery Appliance Backup Module
  Before using RMAN to back up target databases to Zero Data Loss Recovery Appliance (Recovery Appliance), you need to determine the location of the Recovery Appliance backup module on the target database host. This location is used while configuring or allocating RMAN channels for Recovery Appliance.
- Specifying Recovery Appliance Configuration Settings for RMAN Backups
  The client configuration file, stored on the protected database, contains the configuration settings that are used by the Zero Data Loss Recovery Appliance (Recovery Appliance) backup module to communicate with the Recovery Appliance. This file is created automatically when the Recovery Appliance backup module is installed.
- *Zero Data Loss Recovery Appliance Protected Database Configuration Guide*

5.8.3 Determining the Location of the Recovery Appliance Backup Module

Before using RMAN to back up target databases to Zero Data Loss Recovery Appliance (Recovery Appliance), you need to determine the location of the Recovery Appliance backup module on the target database host. This location is used while configuring or allocating RMAN channels for Recovery Appliance.

The `SBT_LIBRARY` parameter in the `CONFIGURE` or `ALLOCATE` command specifies the location of the Recovery Appliance backup module. When RMAN attempts to back up to Recovery Appliance, it loads the shared library indicated by the `SBT_LIBRARY` parameter.

RMAN uses the Oracle-supplied System Backup to Tape (SBT) media library for backups and restores with Recovery Appliance. When you run the Recovery Appliance backup module installer, you can choose to download the SBT library and then configure the `SBT_LIBRARY` parameter by specifying the absolute path name to the location where the downloaded SBT library is stored.

You can specify a file name for the `SBT_LIBRARY` parameter. If you specify a file name, then RMAN searches for the file in an operating system-specific location. By default, the Recovery Appliance backup module is located in `$ORACLE_HOME/lib/libra.so` on UNIX/Linux and in `%ORACLE_HOME\database\lib\libra.sso` on Windows.

Starting with Oracle Database 23c, you can configure RMAN to use the database release version specific native SBT library instead of downloading a compatible SBT library using the installer. `oracle.zdlra` is the predefined alias name for the native SBT library that corresponds to the Recovery Appliance backup module. After installing the database, you can configure the RMAN SBT channel using the alias name `oracle.zdlra`. This creates a persistent configuration for RMAN while performing backup and restores with Recovery Appliance. See, Configuring RMAN to Use a Native SBT Media Library for more information.

Example 5-12 Specifying the Recovery Appliance Backup Module Location During Channel Configuration

The following command configures an RMAN SBT channel by specifying the absolute path name of the Recovery Appliance backup module on Linux:

```
CONFIGURE CHANNEL DEVICE TYPE sbt PARAMS 'SBT_LIBRARY=/u01/oracle/lib/
libra.so';
```

Example 5-13 Specifying the Recovery Appliance Native SBT Library During Channel Configuration

The `SBT_LIBRARY` parameter specifies the alias name `oracle.zdlra` of the native SBT library that corresponds with the Recovery Appliance. When RMAN attempts to backup to Recovery Appliance, it uses the native SBT library for Recovery Appliance as specified by the `SBT_LIBRARY` parameter.

```
RMAN> CONFIGURE CHANNEL DEVICE TYPE sbt
PARMS 'SBT_LIBRARY=oracle.zdlra';
```

5.8.4 Specifying Recovery Appliance Configuration Settings for RMAN Backups

The client configuration file, stored on the protected database, contains the configuration settings that are used by the Zero Data Loss Recovery Appliance (Recovery Appliance) backup module to communicate with the Recovery Appliance. This file is created automatically when the Recovery Appliance backup module is installed.

The client configuration file must contain the location of the Oracle wallet that stores credentials required to authenticate the target database with Recovery Appliance. Other optional settings may be included.

When using Recovery Appliance, you can include the client configuration settings in an RMAN command. Use the `ENV` parameter of the RMAN `CONFIGURE CHANNEL` or `ALLOCATE CHANNEL` command to directly specify client configuration parameters for Recovery Appliance.

Example 5-14 Specifying Recovery Appliance Client Configuration Settings

The following command specifies the Recovery Appliance client configuration settings directly as part of the `CONFIGURE CHANNEL` command:

```
CONFIGURE CHANNEL DEVICE TYPE 'SBT_TAPE' PARAMS 'SBT_LIBRARY= libra.so,
ENV=(BA_WALLET=location=file:/home/oracle/product/12.1.0/dbhome_1/wallet
credential_alias=ra-scan:1521/zdlra5:dedicated)';
```

In this example, `ra-scan` is the SCAN of the Recovery Appliance and `zdlra5` is the service name of the Recovery Appliance metadata database.

Related Topics

- *Zero Data Loss Recovery Appliance Protected Database Configuration Guide*

5.9 Configuring Locations for Control Files and Redo Logs

Use initialization parameters to configure the default locations for control files and redo logs.

5.9.1 Configuring Online Redo Log Locations

The initialization parameters that determine where online redo log files are created are `DB_CREATE_ONLINE_LOG_DEST_n`, `DB_RECOVERY_FILE_DEST`, and `DB_CREATE_FILE_DEST`.

The following SQL statements can create online redo logs in the fast recovery area:

- `CREATE DATABASE`
- `ALTER DATABASE ADD LOGFILE`
- `ALTER DATABASE ADD STANDBY LOGFILE`
- `ALTER DATABASE OPEN RESETLOGS`

The default size of an online log created in the fast recovery area is 100 megabytes. The log member file names are automatically generated by the database.

Related Topics

- *Oracle Database SQL Language Reference*

5.9.2 Configuring Control File Locations

The initialization parameters `CONTROL_FILES`, `DB_CREATE_ONLINE_LOG_DEST_n`, `DB_RECOVERY_FILE_DEST`, and `DB_CREATE_FILE_DEST` all interact to determine the location where the database control files are created.

If the database creates an Oracle managed control file, and if the database uses a server parameter file, then the database sets the `CONTROL_FILES` initialization parameter in the server parameter file. If the database uses a client-side initialization parameter file, then you must set the `CONTROL_FILES` initialization parameter manually in the initialization parameter file.

Related Topics

- *Oracle Database SQL Language Reference*

5.9.3 Configuring Archived Redo Log Locations

Oracle recommends that you the use fast recovery area as an archiving location because the archived logs are automatically managed by the database.

The generated file names for the archived logs in the fast recovery area are for Oracle-managed files and are not determined by the parameter `LOG_ARCHIVE_FORMAT`. Whatever archiving scheme you choose, it is always advisable to create multiple copies of archived redo logs.

You have the following basic options for archiving redo logs, listed from most to least recommended:

1. Enable archiving to the fast recovery area *only* and use disk mirroring to create the redundancy needed to protect the archived redo logs.

   If `DB_RECOVERY_FILE_DEST` is specified and no `LOG_ARCHIVE_DEST_n` is specified, then `LOG_ARCHIVE_DEST_10` is implicitly set to the recovery area. You can override this behavior by setting `LOG_ARCHIVE_DEST_10` to an empty string.

2. Enable archiving to the fast recovery area and set other `LOG_ARCHIVE_DEST_n` initialization parameter to locations outside the fast recovery area.

   If a fast recovery area is configured, then you can add the fast recovery area as an archiving destination by setting any `LOG_ARCHIVE_DEST_n` parameter to `LOCATION=USE_DB_RECOVERY_FILE_DEST`.

3. Set `LOG_ARCHIVE_DEST_n` initialization parameters to archive *only* to non-fast recovery area locations.

If you use the fast recovery area, then you cannot use the `LOG_ARCHIVE_DEST` and `LOG_ARCHIVE_DUPLEX_DEST` initialization parameters. Using either of these parameters prevents you from starting the instance. Instead, set the `LOG_ARCHIVE_DEST_n` parameters. After your database is using `LOG_ARCHIVE_DEST_n`, you can configure a recovery area.

Note also that if you enable archiving but do not set any value for `LOG_ARCHIVE_DEST`, `LOG_ARCHIVE_DEST_n`, or `DB_RECOVERY_FILE_DEST`, then the redo logs are archived to a default location that is platform-specific. For example, on Solaris the default is `?/dbs`.

5.10 Configuring the Fast Recovery Area

The fast recovery area feature enables you to set up a disk area where the database can create and manage a variety of files related to backup and recovery.

Use of the fast recovery area is strongly recommended. Consider configuring a fast recovery area as a first step in implementing a backup strategy.

Related Topics

- Maintaining the Fast Recovery Area
  Although the fast recovery area is largely self-managing, some situations may require database administration intervention.

5.10.1 Overview of Files in the Fast Recovery Area

The fast recovery area can contain control files, online redo logs, archived redo logs, flashback logs, and RMAN backups.

Files in the recovery area are *permanent* or *transient.* Permanent files are active files used by the database instance. All files that are not permanent are transient. In general, Oracle Database eventually deletes transient files after they become obsolete under the backup retention policy or have been backed up to tape.

The fast recovery area is an Oracle Database managed space that can be used to hold RMAN disk backups, control file autobackups and archived redo log files. The files placed in this location are maintained by Oracle Database and the generated file names are maintained in Oracle Managed Files (OMF) format.

"Table 5-3" describes the files in the recovery area, the classification of each file as permanent or temporary, and how database availability is affected.

Table 5-3 Files in the Fast Recovery Area

Files	Type	Database Behavior When Fast Recovery Area Is Inaccessible
Multiplexed copies of the current control file	Permanent	The instance fails if the database cannot write to a multiplexed copy of the control file stored in the fast recovery area. Failure occurs even if accessible multiplexed copies are located outside the recovery area.
Online redo log files	Permanent	Instance availability is not affected if a mirrored copy of the online redo log exists in an accessible location outside the fast recovery area. Otherwise, the instance fails.
Archived redo log files	Transient	Instance availability is not affected if the log is archived to an accessible location outside the fast recovery area. Otherwise, the database eventually halts because it cannot archive the online redo logs.

Table 5-3 (Cont.) Files in the Fast Recovery Area

Files	Type	Database Behavior When Fast Recovery Area Is Inaccessible
Foreign archived redo log files	Transient	Instance availability is not affected. **Note:** Foreign archived redo logs are received by a logical standby database for a LogMiner session. Unlike a normal archived log, a foreign archived redo logs is associated with a different DBID. For this reason, it cannot be backed up or restored on a logical standby database.
Image copies of data files and control files	Transient	Instance availability is not affected.
Backup pieces	Transient	Instance availability is not affected.
Flashback logs	Transient	Instance availability is not affected if guaranteed restore points are not defined. In this case, the database automatically disables Flashback Database, writes a message to the alert log, and continues with database processing. If guaranteed restore points are configured, the instance fails because of interdependencies on the flashback logs. The Oracle Flashback Database feature, which provides a convenient alternative to database point-in-time recovery (DBPITR), generates flashback logs. Flashback logs are transient files and they are stored in the fast recovery area by default. If the fast recovery area has insufficient space to store flashback logs and meet other backup retention requirements, then the recovery area may delete flashback logs. Starting with Oracle Database 23c, you can optionally store flashback logs in a separate storage disk location, preferably a fast disk storage, outside the fast recovery area.

If you are on a Windows platform, then you can use the Volume Shadow Copy Service (VSS) with the Oracle VSS writer. In this case, the fast recovery area automates management of files that are backed up in a VSS snapshot and deletes them as needed.

Related Topics

- Configuring Control File Locations
  The initialization parameters `CONTROL_FILES`, `DB_CREATE_ONLINE_LOG_DEST_n`, `DB_RECOVERY_FILE_DEST`, and `DB_CREATE_FILE_DEST` all interact to determine the location where the database control files are created.
- Configuring Online Redo Log Locations
- Configuring Archived Redo Log Locations
  Oracle recommends that you the use fast recovery area as an archiving location because the archived logs are automatically managed by the database.
- Enabling Flashback Database
  Use the `ALTER DATABASE` command to enable Flashback Database
- Performing Database Backup and Recovery with VSS

5.10.1.1 Fast Recovery Area with Oracle Managed Files and Automatic Storage Management

The fast recovery area can be used with Oracle Managed Files (OMF) and Automatic Storage Management (ASM)

Because the fast recovery area is built on top of OMF, it can be stored anywhere that Oracle Managed Files can. You can also use the recovery area with ASM.

Even if you choose not to set up the fast recovery area in ASM storage, you can still use Oracle Managed Files to manage your backup files in an ASM disk group. However, you lose a major benefit of the fast recovery area: the automatic deletion of files no longer needed to meet your recoverability goals as space is needed for more recent backups. Nevertheless, the other automatic features of OMF still function.

When your store backups, using OMF on top of ASM without using a fast recovery area is supported but discouraged. It is awkward to directly manipulate files under ASM.

5.10.1.2 How Oracle Manages Disk Space in the Fast Recovery Area

Space in the fast recovery area is balanced among backups and archived logs that must be kept according to the retention policy, and other files that may be subject to deletion.

Oracle Database does not delete eligible files from the fast recovery area until the space must be reclaimed for some other purpose. However, starting with Oracle Database Release 19c, flashback logs that are beyond the configured retention period are automatically deleted. Files recently moved to tape are often still available on disk for use in recovery. The recovery area can thus serve as a cache for tape. When the fast recovery area is full, Oracle Database automatically deletes eligible files to reclaim space in the recovery area as needed.

Related Topics

- Deletion Rules for the Fast Recovery Area
  RMAN uses certain rules to determine when files can be deleted from the fast recovery area.
- Responding to a Full Fast Recovery Area
  If the RMAN retention policy requires keeping a set of backups larger than the fast recovery area disk quota, or if the retention policy is set to `NONE`, then the fast recovery area can fill completely with no reclaimable space.
- Managing Space for Flashback Logs
  You cannot manage the flashback logs in the fast recovery area or in the flashback log destination directly other than by setting the flashback retention target or using guaranteed restore points.

5.10.2 Enabling the Fast Recovery Area

You enable the fast recovery area by setting two initialization parameters. These parameters enable the fast recovery area with or without having to shut down and restart the database instance.

Table 5-4 discusses both the mandatory and optional parameters for enabling the fast recovery area.

In an Oracle Real Application Clusters (Oracle RAC) database, all instances must have the same values for these initialization parameters. The location must be on a cluster file system, ASM, or a shared directory.

Table 5-4 Initialization Parameters for the Fast Recovery Area

Initialization Parameter	Required	Description
DB_RECOVERY_FILE_DEST_SIZE	Yes	Specifies the disk quota, which is maximum storage in bytes of data to be used by the recovery area for this database. You must set this parameter *before* DB_RECOVERY_FILE_DEST. The DB_RECOVERY_FILE_DEST_SIZE setting does not include the following kinds of disk overhead: • Block 0 or the operating system block header of each Oracle Database file is not included. Allow an extra 10% for this data when computing the actual disk usage required for the fast recovery area. • DB_RECOVERY_FILE_DEST_SIZE does not indicate the real size occupied on disk when the underlying file system is mirrored, compressed, or affected by overhead not known to Oracle Database. For example, if the recovery area is on a two-way mirrored ASM disk group, each file of *x* bytes occupies 2*x* bytes on the ASM disk group. In this case, set DB_RECOVERY_FILE_DEST_SIZE to no more than half the size of the disks for the ASM disk group. Likewise, when using a three-way mirrored ASM disk group, DB_RECOVERY_FILE_DEST_SIZE must be no more than one third the size of the disks in the disk group, and so on.
DB_RECOVERY_FILE_DEST	Yes	Specifies the recovery area location, which can be a file system directory or ASM disk group, but not a raw disk. The location must be large enough for the disk quota.
DB_FLASHBACK_RETENTION_TARGET	No	Specifies the upper limit (in minutes) on how far back in time the database may be flashed back. This parameter is required only for Flashback Database. This parameter indirectly determines how much flashback log data is kept in the recovery area. The size of flashback logs generated by the database can vary considerably depending on the database workload. If more blocks are affected by database updates during a given interval, then more disk space is used by the flashback log data generated for that interval.

Table 5-4 (Cont.) Initialization Parameters for the Fast Recovery Area

Initialization Parameter	Required	Description
DB_FLASHBACK_LOG_DEST	No	Starting with Oracle Database 23c, set the DB_FLASHBACK_LOG_DEST parameter if you want to write flashback logs to a separate disk location outside the fast recovery area. Maintaining flashback logs in a separate disk location has the following advantages: • Improves database performance • Eliminates the manual administration tasks required to make space for flashback logs in the fast recovery area
DB_FLASHBACK_LOG_DEST_SIZE	No	Starting with Oracle Database 23c, this parameter specifies the maximum limit (in bytes) on the total space to be used by flashback log files stored in DB_FLASHBACK_LOG_DEST. When configuring the DB_FLASHBACK_LOG_DEST parameter, you must also specify a value for the DB_FLASHBACK_LOG_DEST_SIZE parameter. .

To enable the fast recovery area:

1. Set the size of the fast recovery area with the parameter DB_RECOVERY_FILE_DEST_SIZE.
2. Set the physical location of the flash recovery files with the parameter DB_RECOVERY_FILE_DEST.

Related Topics

- *Oracle Database SQL Language Reference*
- *Oracle Database Administrator's Guide*

5.10.2.1 Considerations When Setting the Size of the Fast Recovery Area

The larger the fast recovery area is, the more useful it becomes.

Ideally, the fast recovery area is large enough to contain the control files, online redo logs, archived redo logs, and flashback logs. It should be able to contain a copy of all data files in the database and the incremental backups used by your chosen backup strategy.

If providing this much space is impractical, then it is best to create an area large enough to keep a backup of the most important tablespaces and all the archived logs not yet on tape. At an absolute minimum, the fast recovery area must be large enough to contain the archived redo logs not yet on tape. If the recovery area has insufficient space to store new flashback logs and meet other backup retention requirements, then to make room, the recovery area may delete older flashback logs.

Formulas for estimating a useful fast recovery area size depend on whether:

- Your database has a small or large number of data blocks that change frequently
- You store backups only on disk, or on disk and tape

- You use a redundancy-based backup retention policy, or a recovery window-based retention policy
- You plan to use Flashback Database or a guaranteed restore point as alternatives to point-in-time recovery in response to logical errors

If you plan to enable flashback logging, then the volume of flashback log generation is approximately the same order of magnitude as redo log generation. For example, if you intend to set `DB_FLASHBACK_RETENTION_TARGET` to 24 hours, and if the database generates 20 gigabytes of redo in a day, then a general rule of thumb is to allow 20 GB to 30 GB of disk space for the flashback logs. The same rule applies to guaranteed restore points when flashback logging is enabled. For example, if the database generates 20 GB of redo every day, and if the guaranteed restore point is kept for a day, then plan to allocate 20 to 30 GB.

Suppose that you want to determine the size of a fast recovery area when the backup retention policy is set to `REDUNDANCY 1` and you intend to follow Oracle's suggested strategy of using an incremental forever policy. In this example, you use the following formula to estimate the disk quota, where *n* is the interval in days between incremental updates and *y* is the delay in applying the foreign archived redo logs on a logical standby database:

```
Disk Quota =
Size of a copy of database +
Size of an incremental backup +
Size of (n+1) days of archived redo logs +
Size of (y+1) days of foreign archived redo logs (for logical standby)
+
Size of control file +
Size of an online redo log member * number of log groups +
Size of flashback logs (based on DB_FLASHBACK_RETENTION_TARGET value)
```

5.10.2.2 Considerations When Setting the Location of the Fast Recovery Area

Place the fast recovery area on a separate disk from the database area, where the database maintains active database files such as data files, control files, and online redo logs.

Keeping the fast recovery area on the same disk as the database area exposes you to loss of both your live database files and backups if a media failure occurs.

Oracle recommends that `DB_RECOVERY_FILE_DEST` be set to a different value from `DB_CREATE_FILE_DEST` or any of the `DB_CREATE_ONLINE_LOG_DEST_n` initialization parameters. The database writes a warning to the alert log if `DB_RECOVERY_FILE_DEST` equals these parameters.

Multiple databases can have the same value for `DB_RECOVERY_FILE_DEST`, but one of the following must be true:

- No two databases for which the `DB_UNIQUE_NAME` initialization parameters are specified have the same value for `DB_UNIQUE_NAME`.
- For those databases where no `DB_UNIQUE_NAME` is provided, no two databases have the same value for `DB_NAME`.

When databases share a single recovery area in this way, the location should be large enough to hold the files for all databases. Add the values for

DB_RECOVERY_FILE_DEST_SIZE for the databases, then allow for overhead such as mirroring or compression.

5.10.2.3 Setting the Fast Recovery Area Location and Initial Size

Use database initialization parameters to set the location and size of the fast recovery area.

Table 5-4 lists the initialization parameters that you must set to enable the fast recovery area. This section explains how to specify a location for the recovery area and set its initial size.

To determine the optimum size for the fast recovery area and set the recovery area location:

1. If you plan to use flashback logging or guaranteed restore points, then query V$ARCHIVED_LOG to determine how much redo the database generates in the time to which you intend to set DB_FLASHBACK_RETENTION_TARGET.
2. Set the recovery area size.

   If you plan to use flashback logging or guaranteed restore points, then ensure that the size value obtained from Step 1 is incorporated into the setting. Set the DB_RECOVERY_FILE_DEST_SIZE initialization parameter by any of the following means:

   - Shut down the database and set the DB_RECOVERY_FILE_DEST_SIZE parameter in the initialization parameter file of the database, as shown in the following example:

     ```
     DB_RECOVERY_FILE_DEST_SIZE = 10G
     ```

   - Specify the parameter with the SQL statement ALTER SYSTEM SET when the database is open, as shown in the following examples:

     ```
     ALTER SYSTEM SET
       DB_RECOVERY_FILE_DEST_SIZE = 10G
       SCOPE=BOTH SID='*';
     ```

     > **Note:**
     >
     > The DB_RECOVERY_FILE_DEST_SIZE and DB_RECOVERY_FILE_DEST settings must be persistent across database startup and shutdown. If a server parameter file is used, then setting SCOPE=BOTH results in the settings being persistent. However, if a server parameter file is not used, then the changes made by the ALTER SYSTEM command are not persistent. You must also add these parameters to the initialization parameter file.

   - Use the Database Configuration Assistant to set the size.
3. Set the recovery area location.

   Set the initialization parameters by any of the following means:

   - Set DB_RECOVERY_FILE_DEST in the parameter file of the database, as shown in the following example:

     ```
     DB_RECOVERY_FILE_DEST = '/u01/oradata/rcv_area'
     ```

   - Specify DB_RECOVERY_FILE_DEST with the SQL statement ALTER SYSTEM SET when the database is open.

     The following example sets the fast recovery area to an Automatic Storage Management (ASM) disk group named disk1:

```
ALTER SYSTEM SET
  DB_RECOVERY_FILE_DEST = '+disk1'
  SCOPE=BOTH SID='*';
```

The following example sets the fast recovery area to the file system directory `/disk1/fast_recovery_area`:

```
ALTER SYSTEM SET
  DB_RECOVERY_FILE_DEST = '/disk1/fast_recovery_area'
  SCOPE = BOTH SID = '*';
```

- Use the Database Configuration Assistant to set the location.

If you do *not* plan to use flashback logging, then open the database (if it is closed) and do not complete the rest of the steps in this procedure.

4. If flashback logging is enabled, then run the database under a normal workload for the time period specified by `DB_FLASHBACK_RETENTION_TARGET`.

   In this way, the database can generate a representative sample of flashback logs.

5. Query the `V$FLASHBACK_DATABASE_LOG` view as follows:

   ```
   SELECT ESTIMATED_FLASHBACK_SIZE
   FROM   V$FLASHBACK_DATABASE_LOG;
   ```

   The result is an estimate of the disk space needed to meet the current flashback retention target, based on the database workload since Flashback Database was enabled.

6. If necessary, adjust the flashback log space requirement based on the actual size of flashback logs generated during the time period specified by `DB_FLASHBACK_RETENTION_TARGET`.

Related Topics

- Managing Space for Flashback Logs
  You cannot manage the flashback logs in the fast recovery area or in the flashback log destination directly other than by setting the flashback retention target or using guaranteed restore points.

5.10.3 Disabling the Fast Recovery Area

You can use the `ALTER SYSTEM` command to disable the fast recovery area.

If you have enabled Flashback Database or use the fast recovery area for archive logs, then take the appropriate steps from those that follow below. Otherwise, skip to Step 3:

1. If Flashback Database is enabled, then disable it before you disable the fast recovery area.

   ```
   ALTER DATABASE FLASHBACK OFF;
   ```

2. If you are using the fast recovery area for archive logs, then set the initialization parameter `LOG_ARCHIVE_DEST_`*n* to use a non-fast recovery area location.

   For example, to change the fast recovery area for `LOG_ARCHIVE_DEST_1` to a non-fast recovery area location, use the command `ALTER SYSTEM SET`:

   ```
   LOG_ARCHIVE_DEST_1='LOCATION=USE_DB_RECOVERY_FILE_DEST'
   ```

```
ALTER SYSTEM SET LOG_ARCHIVE_DEST_1='LOCATION=/ORACLE/DBS/';
```

3. Disable the fast recovery area initialization parameter.

```
ALTER SYSTEM SET DB_RECOVERY_FILE_DEST='';
```

5.10.4 Configuring RMAN File Creation in the Fast Recovery Area

Certain RMAN commands or implicit actions (such as control file autobackups) can create files in the fast recovery area.

This section explains how to control whether a command creates files in the fast recovery area or in another destination. The commands are:

- `BACKUP`

  If you do not specify the `FORMAT` clause for disk backups, then RMAN creates backup pieces and image copies in the fast recovery area, with names in Oracle Managed Files (OMF) format. If a fast recovery area is enabled, and if you *do* specify `FORMAT` on `BACKUP` or a channel, then RMAN creates the backup in a platform-specific location rather than in the recovery area.

- Control File Autobackup

  RMAN can create control file autobackups in the fast recovery area. Use the RMAN command `CONFIGURE CONTROLFILE AUTOBACKUP FORMAT FOR DEVICE TYPE DISK CLEAR` to clear any configured format option for the control file autobackup location on disk. RMAN creates control file autobackups in the fast recovery area when no other destination is configured.

- `RESTORE ARCHIVELOG`

  Explicitly or implicitly set a `LOG_ARCHIVE_DEST_`*`n`* parameter to `LOCATION=USE_DB_RECOVERY_FILE_DEST`. If you do not specify `SET ARCHIVELOG DESTINATION` to override this behavior, then RMAN restores archived redo log files to the fast recovery area.

- `RECOVER DATABASE` or `RECOVER TABLESPACE`, `RECOVER ... BLOCK`, and `FLASHBACK DATABASE`

  These commands restore archived redo log files from backup for use during media recovery, as required by the command. RMAN restores any redo log files needed during these operations to the fast recovery area and deletes them after they are applied during media recovery.

  To direct the restored archived logs to the fast recovery area, set a `LOG_ARCHIVE_DEST_`*`n`* parameter to `LOCATION = USE_DB_RECOVERY_FILE_DEST`. Verify that you are not using `SET ARCHIVELOG DESTINATION` to direct restored logs to some other destination.

5.11 Configuring the Backup Retention Policy

The backup retention policy specifies which backups must be retained to meet your data recovery requirements.

This policy can be based on a recovery window or redundancy. Use the `CONFIGURE RETENTION POLICY` command to specify the retention policy.

This section contains the following topics:

- Configuring a Redundancy-Based Retention Policy

- Configuring a Recovery Window-Based Retention Policy
- Disabling the Retention Policy

> **See Also:**
>
> - About Backup Retention Policies
> - *Oracle Database Backup and Recovery Reference* for `CONFIGURE` syntax

5.11.1 Configuring a Redundancy-Based Retention Policy

The `REDUNDANCY` parameter of the `CONFIGURE RETENTION POLICY` command specifies how many full or level 0 backups of each data file and control file that RMAN keeps. The default retention policy is `REDUNDANCY 1`.

If the number of full or level 0 backups for a specific data file or control file exceeds the `REDUNDANCY` setting, then RMAN considers the extra backups as obsolete. As you produce more backups, RMAN keeps track of which ones to retain and which are obsolete. RMAN retains all archived logs and incremental backups that are needed to recover the nonobsolete backups.

Assume that you make a full backup of data file 7 on Monday, Tuesday, Wednesday, and Thursday. You now have four full backups of this data file. If `REDUNDANCY` is `2`, then the Monday and Tuesday backups are obsolete. If you make another backup on Friday, then the Wednesday backup of data file 7 becomes obsolete.

Assume a different case in which `REDUNDANCY` is `1`. You run a level 0 database backup at noon on Monday, a level 1 cumulative backup at noon on Tuesday and Wednesday, and a level 0 backup at noon on Thursday. Immediately after each daily backup you run the command `DELETE OBSOLETE`. The Wednesday `DELETE` command does not remove the Tuesday level 1 backup because this backup is not redundant: the Tuesday level 1 backup could be used to recover the Monday level 0 backup to a time between noon on Tuesday and noon on Wednesday. However, the `DELETE` command on Thursday removes the previous level 0 and level 1 backups.

Run the `CONFIGURE RETENTION POLICY` command at the RMAN prompt, as in the following example:

```
CONFIGURE RETENTION POLICY TO REDUNDANCY 3;
```

> **See Also:**
>
> Deleting Obsolete RMAN Backups Based on Retention Policies

5.11.2 Configuring a Recovery Window-Based Retention Policy

The `RECOVERY WINDOW` parameter of the `CONFIGURE` command specifies the number of days between the current time and the earliest point of recoverability.

RMAN does not consider any full or level 0 incremental backup as obsolete if it falls within the recovery window. Additionally, RMAN retains all archived logs and level 1 incremental backups that are needed to recover to a random point within the window. Use the `CONFIGURE RETENTION POLICY` command at the RMAN prompt to configure a recovery window-based retention policy.

The following example ensures that you can recover the database to any point within the last week:

```
CONFIGURE RETENTION POLICY TO RECOVERY WINDOW OF 7 DAYS;
```

RMAN does not automatically delete backups rendered obsolete by the recovery window. Instead, RMAN shows them as `OBSOLETE` in the `REPORT OBSOLETE` output and in `the OBSOLETE` column of `V$BACKUP_FILES`. RMAN deletes obsolete files if you run the `DELETE OBSOLETE` command.

> **See Also:**
>
> Deleting Obsolete RMAN Backups Based on Retention Policies

5.11.3 Disabling the Retention Policy

When you disable the retention policy, RMAN does not consider any backup as obsolete.

To disable the retention policy, run this command:

```
CONFIGURE RETENTION POLICY TO NONE;
```

Configuring the retention policy to `NONE` is different from clearing it. Clearing returns the default setting of `REDUNDANCY 1`, whereas `NONE` disables it.

If you disable the retention policy and run `REPORT OBSOLETE` or `DELETE OBSOLETE` commands without passing a retention policy option to the command, then RMAN issues an error because no retention policy exists to determine which backups are obsolete.

> **Caution:**
>
> If you are using a fast recovery area, then do not run your database with the retention policy disabled. If files are never considered obsolete, then a file can only be deleted from the fast recovery area if it has been backed up to some other disk location or to a tertiary storage device such as tape. This action is likely to use all of the space in your recovery area, which interferes with the normal operation of your database. See "How Oracle Manages Disk Space in the Fast Recovery Area"

5.12 Backup Optimization and the CONFIGURE command

Run the RMAN `CONFIGURE` command to enable and disable backup optimization. Backup optimization skips the backup of files in certain circumstances if the identical file or an identical version of the file has been backed up.

This section contains the following topics:

- Overview of Backup Optimization
- Effect of Retention Policies on Backup Optimization for SBT Backups
- Configuring Backup Optimization

5.12.1 Overview of Backup Optimization

When you enable backup optimization, the `BACKUP` command skips backing up files when the identical file has been backed up to the specified device type.

Table 5-5 describes criteria that RMAN uses to determine whether a file is identical to a file that it already backed up.

Table 5-5 Criteria to Determine an Identical File

Type of File	Criteria to Determine an Identical File
Data file	The data file must have the same DBID, checkpoint SCN, creation SCN, and `RESETLOGS` SCN and time as a data file in a backup. The data file must be offline-normal, read-only, or closed normally.
Archived log	Same DBID, thread, sequence number, and `RESETLOGS` SCN and time
Backup set	Same DBID, backup set record ID, and stamp

If RMAN determines that a file is identical and it has been backed up, then it is a candidate to be skipped. RMAN must do further checking to determine whether to skip the file, however, because both the retention policy and the backup duplexing feature are factors in the algorithm that determines whether RMAN has sufficient backups on the specified device type.

RMAN uses backup optimization when the following conditions are true:

- The `CONFIGURE BACKUP OPTIMIZATION ON` command has been run to enable backup optimization.
- You run `BACKUP DATABASE`, `BACKUP ARCHIVELOG` with `ALL` or `LIKE` options, or `BACKUP BACKUPSET ALL`, `BACKUP RECOVERY AREA`, `BACKUP RECOVERY FILES`, or `BACKUP DATAFILECOPY`.

> **Note:**
>
> When `TO DESTINATION` is used with `BACKUP RECOVERY AREA` or `BACKUP RECOVERY FILES`, RMAN only skips backups of files that have identical backups in the `TO DESTINATION` location that you provide.

- Only one type of channel is allocated, do not mix disk and SBT channels in the same backup command.

> **Note:**
>
> In backup undo optimization, RMAN excludes undo changes (that are not needed for recovery of a backup) for transactions that have been committed. You can enable and disable backup optimization, but backup undo optimization is built-in behavior.

For example, assume that you have configured backup optimization. These commands back up to tape the database, all archived logs, and all backup sets:

```
BACKUP DEVICE TYPE sbt DATABASE PLUS ARCHIVELOG;
BACKUP DEVICE TYPE sbt BACKUPSET ALL;
```

If no backed-up file has changed since the last backup, then RMAN does not back up the files again. RMAN also does not signal an error if it skips all files specified in the command because the files have already been backed up.

You can override optimization at any time by specifying the `FORCE` option on the `BACKUP` command. For example, you can run:

```
BACKUP DATABASE FORCE;
BACKUP ARCHIVELOG ALL FORCE;
```

> **See Also:**
>
> The `CONFIGURE` entry in *Oracle Database Backup and Recovery Reference* for a complete description of the backup optimization rules

5.12.2 Effect of Retention Policies on Backup Optimization for SBT Backups

Backup optimization is not always applied when backing up to SBT devices.

The exceptions to normal backup optimization behavior for recovery window-based and redundancy-based retention policies are described in the following sections.

- About Backup Optimization for SBT Backups with Recovery Window Retention Policy
- About Backup Optimization for SBT Backups With Redundancy Retention Policy
- Configuring Backup Optimization

> **Note:**
>
> Use caution when enabling backup optimization if you use a media manager with its own internal expiration policy. Run the `CROSSCHECK` command periodically to synchronize the RMAN repository with the media manager. Otherwise, RMAN may skip backups due to optimization without recognizing that the media manager has discarded backups stored on tape.

5.12.2.1 About Backup Optimization for SBT Backups with Recovery Window Retention Policy

Suppose that backup optimization is enabled, and a recovery window backup retention policy is in effect. In this case, when performing SBT backups RMAN always backs up data files whose most recent backup is older than the recovery window.

For example, assume the following scenario:

- Today is February 21.
- The recovery window is 7 days.
- The most recent backup of tablespace `tools` to tape is January 3.
- Tablespace `tools` is read-only.

On February 21, when you issue a command to back up tablespace `tools` to tape, RMAN backs it up even though it did not change after the January 3 backup (because it is read-only). RMAN makes the backup because no backup of the tablespace exists within the 7-day recovery window.

This behavior enables the media manager to expire old tapes. Otherwise, the media manager is forced to keep the January 3 backup of tablespace `TOOLS` indefinitely. By making a more recent backup of tablespace `tools` on February 21, RMAN enables the media manager to expire the tape containing the January 3 backup.

5.12.2.2 About Backup Optimization for SBT Backups With Redundancy Retention Policy

Assume that you configure a retention policy for redundancy. In this case, RMAN only skips backups of offline or read-only data files to SBT when there are *r* + 1 backups of the files, where *r* is set in `CONFIGURE RETENTION POLICY TO REDUNDANCY` *r*.

For example, assume that you enable backup optimization and set the following retention policy:

```
CONFIGURE DEFAULT DEVICE TYPE TO sbt;
CONFIGURE BACKUP OPTIMIZATION ON;
CONFIGURE RETENTION POLICY TO REDUNDANCY 2;
```

With these settings, RMAN only skips backups when three identical files are backed up. Also assume that you have never backed up the `users` tablespace, which is read/write, and that you perform the actions described in Table 5-6 over the course of the week.

Table 5-6 Effect of Redundancy Setting on Backup Optimization

Day	Action	Result	Redundant Backup
Monday	Take `users` offline normal.		
Tuesday	`BACKUP DATABASE`	The `users` tablespace is backed up.	
Wednesday	`BACKUP DATABASE`	The `users` tablespace is backed up.	
Thursday	`BACKUP DATABASE`	The `users` tablespace is backed up.	Tuesday backup
Friday	`BACKUP DATABASE`	The `users` tablespace is *not* backed up.	Tuesday backup
Saturday	`BACKUP DATABASE`	The `users` tablespace is *not* backed up.	Tuesday backup
Sunday	`DELETE OBSOLETE`	The Tuesday backup is deleted.	
Monday	`BACKUP DATABASE`	The `users` tablespace is backed up.	Wednesday backup

The backups on Tuesday, Wednesday, and Thursday back up the offline `users` tablespace to satisfy the condition that three backups must exist (one more than redundancy setting). The Friday and Saturday backups do not back up the `users` tablespace because of backup optimization. The Tuesday backup of `users` is obsolete beginning on Thursday.

On Sunday, you delete all obsolete backups, which removes the Tuesday backup of `users`. The Tuesday backup is obsolete because of the retention policy setting. The whole database backup on Monday then backs up the `users` tablespace to satisfy the condition that three backups must exist (one more than redundancy setting). In this way, you can recycle your tapes over time.

> **See Also:**
>
> "Backup Optimization and the CONFIGURE command"

5.12.3 Configuring Backup Optimization

By default, backup optimization is configured to `OFF`. You can use the `SHOW BACKUP OPTIMIZATION` command to view the current settings of backup optimization.

To configure backup optimization:

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. Run the `SHOW BACKUP OPTIMIZATION` command to determine whether optimization is currently enabled.

   For example, enter the following command:

   ```
   SHOW BACKUP OPTIMIZATION;
   ```

Sample output for `SHOW BACKUP OPTIMIZATION` follows:

```
RMAN configuration parameters for database with db_unique_name PROD1 are:
CONFIGURE BACKUP OPTIMIZATION OFF;
```

3. Enable backup optimization by running the following command:

```
CONFIGURE BACKUP OPTIMIZATION ON;
```

> **See Also:**
>
> "Using Backup Optimization to Skip Files" for examples of how to optimize RMAN backups

5.13 Configuring an Archived Redo Log Deletion Policy

You can use RMAN to create a persistent configuration that governs when archived redo logs are eligible for deletion from disk.

This section contains the following topics:

- About Archived Redo Log Deletion Policies
- Enabling an Archived Redo Log Deletion Policy

5.13.1 About Archived Redo Log Deletion Policies

You can use the `CONFIGURE ARCHIVELOG DELETION POLICY` command to specify when archived redo logs are eligible for deletion.

This deletion policy applies to all archiving destinations, including the fast recovery area.

Archived redo logs can be deleted automatically by the database or by user-initiated RMAN commands. Only logs in the fast recovery area can be deleted automatically by the database. For archived redo log files in the fast recovery area, the database retains them as long as possible and automatically deletes eligible logs when additional disk space is required. You can manually delete eligible logs from any location, whether inside or outside the fast recovery area, when you issue `BACKUP ... DELETE INPUT` or `DELETE ARCHIVELOG` commands.

5.13.1.1 When the Archived Redo Log Deletion Policy Is Disabled

By default, there is no archived redo log deletion policy and this is why the archive redo log policy is set to the `NONE` clause.

In this particular case, the fast recovery area considers archived redo log files in the recovery area as eligible for deletion if they have been backed up at least once to disk or SBT or the logs are obsolete according to the backup retention policy. The backup retention policy considers logs obsolete only if the logs are not needed by a guaranteed restore point and the logs are not needed by Oracle Flashback Database. Archived redo logs are needed by Flashback Database if the logs were created later than `SYSDATE-'DB_FLASHBACK_RETENTION_TARGET'`.

> **See Also:**
>
> - The `CONFIGURE ARCHIVELOG DELETION POLICY` entry in *Oracle Database Backup and Recovery Reference* for detailed information about policy options
> - *Oracle Data Guard Concepts and Administration* to learn how to configure an archived log deletion policy in a Data Guard environment

5.13.1.2 When the Archived Redo Log Deletion Policy Is Enabled

You can use the `CONFIGURE ARCHIVELOG DELETION POLICY BACKED UP integer TIMES TO DEVICE TYPE` command to enable an archived log deletion policy. This configuration specifies that archived logs are eligible for deletion only when the specified number of archived log backups exist on the specified device type.

If the deletion policy is configured with the `BACKED UP integer TIMES` clause, then a `BACKUP ARCHIVELOG` command copies the logs unless *integer* backups exist on the specified device type. If *integer* backups of the logs exist, then the `BACKUP ARCHIVELOG` command skips the logs. In this way, the archived log deletion policy functions as a default `NOT BACKED UP integer TIMES` clause on the `BACKUP ARCHIVELOG` command. You can override the deletion policy by specifying the `FORCE` option on the `BACKUP` command.

The archived log deletion policy also has options specific to a Data Guard environment. For example, if you specify the `APPLIED ON STANDBY` clause, then RMAN can delete logs after they have been applied at all mandatory remote destinations. If you specify `SHIPPED TO STANDBY`, then RMAN can delete logs when they have been transferred to all mandatory standby destinations.

> **See Also:**
>
> - The `CONFIGURE ARCHIVELOG DELETION POLICY` entry in *Oracle Database Backup and Recovery Reference* for detailed information about policy options
> - *Oracle Data Guard Concepts and Administration* to learn how to configure an archived log deletion policy in a Data Guard environment

5.13.2 Enabling an Archived Redo Log Deletion Policy

By default the archived redo log deletion policy is set to `NONE`.

To enable an archived redo log deletion policy:

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. Run the `CONFIGURE ARCHIVELOG DELETION POLICY` command with the desired options.

   The following example specifies that archived redo logs are eligible to be deleted from the fast recovery area and all local archiving destinations when logs have been backed up at least twice to tape:

```
CONFIGURE ARCHIVELOG DELETION POLICY
  TO BACKED UP 2 TIMES TO SBT;
```

See Also:

- Deleting Archived Redo Logs After Backups
- *Oracle Data Guard Concepts and Administration* to learn how to manage archived redo logs in a Data Guard environment
- *Oracle Database Backup and Recovery Reference* for a complete explanation of the `CONFIGURE ARCHIVELOG DELETION POLICY` command and the conditions under which archived logs are eligible for deletion

5.14 Configuring RMAN in a Data Guard Environment

If you use RMAN in a Data Guard environment, then you can use the `CONFIGURE` command to register and configure settings for the physical databases in this environment.

RMAN uses the `DB_UNIQUE_NAME` initialization parameter to distinguish one database from another. Thus, it is critical that you maintain the uniqueness of the `DB_UNIQUE_NAME` in the Data Guard environment.

RMAN must be connected to a recovery catalog when you create or alter a configuration for a database in the Data Guard environment. If you use the `SET DBID` command to set the DBID in the RMAN session, then you can configure a standby database even when RMAN is not connected as `TARGET` to a database in the Data Guard environment. You can even create a configuration for a standby database that has not yet been created.

You can use the following forms of the `CONFIGURE` command:

- `CONFIGURE DB_UNIQUE_NAME` defines a connection to a physical standby database and implicitly registers the new database.

  New standby databases are also automatically registered when RMAN connects as `TARGET` to a standby database for the first time.

- `CONFIGURE FOR DB_UNIQUE_NAME` configures settings for a database in the Data Guard environment.

  For example, you can configure channels, default devices, and so on for a specified database or for all databases in the environment. You can use `SHOW ALL FOR DB_UNIQUE_NAME` to show the configuration for a specific standby database or `SHOW ALL FOR DB_UNIQUE_NAME ALL` to show configurations for all known databases.

A Data Guard environment involves many considerations that are only relevant for Data Guard. For example, you can configure an archived redo log deletion policy based on whether archived logs are transferred to or applied on a standby database.

See Also:

- *Oracle Data Guard Concepts and Administration* to learn how to configure the RMAN environment for use with a standby database
- *Oracle Database Backup and Recovery Reference* for a complete explanation of the `CONFIGURE ARCHIVELOG DELETION POLICY` command and the conditions under which archived logs are eligible for deletion

6
Configuring the RMAN Environment: Advanced Topics

RMAN configuration tasks include configuring advanced backup compression options.

6.1 Configuring Advanced Channel Options

The `CONFIGURE CHANNEL` command is used to configure RMAN channel options.

This section contains the following topics about advanced channel option:

- About Channel Control Options
- Configuring Specific Channel Parameters

> **See Also:**
>
> - About RMAN Channels for a conceptual overview of configured and allocated channels
> - Configuring Channels the basics for configuring channels
> - *Oracle Database Backup and Recovery Reference* for `CONFIGURE` syntax

6.1.1 About Channel Control Options

Whether you allocate channels manually or use automatic channel allocation, you can use channel commands and options to control behavior.

Table 6-1 summarizes the ways in which you can control channel behavior. Unless noted, all channel parameters are supported in both `CONFIGURE CHANNEL` and `ALLOCATE CHANNEL` commands.

Table 6-1 Channel Control Options

Type of Channel Control	Commands
Limit I/O bandwidth consumption	Use the `RATE` channel parameter to act as a throttling mechanism for backups.
Limit backup sets and pieces	Use the `MAXPIECESIZE` channel parameter to set limits on the size of backup pieces. You can also use the `MAXSETSIZE` parameter on the `BACKUP` and `CONFIGURE` commands to set a limit for the size of backup sets.

Table 6-1 (Cont.) Channel Control Options

Type of Channel Control	Commands
Vendor-specific instructions	Use the `PARMS` channel parameter to specify vendor-specific information for a media management software. You can also use the `SEND` command to send vendor-specific commands to a media manager.
Channel parallel backup and restore operations	Use `CONFIGURE DEVICE TYPE ... PARALLELISM` for persistent channel parallelism or multiple `ALLOCATE CHANNEL` commands for job-level parallelism.
Connection settings for database instances	Specify which instance performs an operation with the `CONNECT` channel parameter.

See Also:

- *Oracle Database Backup and Recovery Reference* for `ALLOCATE CHANNEL` syntax
- *Oracle Database Backup and Recovery Reference* for `CONFIGURE` syntax

6.1.2 Configuring Specific Channel Parameters

In addition to configuring parameters that apply to all channels of a particular type, you can also use the `CONFIGURE` command to configure parameters that apply to one specific channel.

Configure specific channels by number when it is necessary to control the parameters set for each channel separately. This technique is necessary in the following situations:

- When running an Oracle Real Application Clusters (Oracle RAC) database in which individual nodes do not have access to the full set of backups. Each channel must be configured with a node-specific connect string so that all backups are accessible by at least one channel.
- When using a media manager that requires different `PARMS` settings on each channel.

To configure specific channel parameters:

- Run the `CONFIGURE CHANNEL` *n* command (where *n* is a positive integer less than 255) to configure a specific channel.

  When manually numbering channels, you must specify one or more channel options (for example, `MAXPIECESIZE` or `FORMAT`) for each channel. When you use that specific numbered channel in a backup, the configured settings for that channel are used instead of the configured generic channel settings.

> **See Also:**
>
> *Oracle Real Application Clusters Administration and Deployment Guide* to learn about RMAN backups in an Oracle RAC environment

6.1.2.1 Configuring Specific Channels: Examples

Example 6-1 Configuring Channel Parallelism for Disk Devices

This example sends disk backups to two different disks. Configure disk channels as follows:

```
CONFIGURE DEFAULT DEVICE TYPE TO disk;        # backup goes to disk
CONFIGURE DEVICE TYPE disk PARALLELISM 2;     # two channels used in parallel
CONFIGURE CHANNEL 1 DEVICE TYPE DISK FORMAT '/disk1/%U' # 1st channel to
disk1
CONFIGURE CHANNEL 2 DEVICE TYPE DISK FORMAT '/disk2/%U' # 2nd channel to
disk2
BACKUP DATABASE; # backup - first channel goes to disk1 and second to disk2
```

Example 6-2 Configuring Channel Parallelism for Tape Devices

This example configures channels to create parallel database backups. You have two tape drives and want each drive to use tapes from a different tape media family. The backup data is divided between the two tape devices. Each configured channel backs up approximately half the total data.

```
CONFIGURE DEFAULT DEVICE TYPE TO sbt;    # backup goes to sbt
CONFIGURE DEVICE TYPE sbt PARALLELISM 2; # two sbt channels allocated by
default
# Configure channel 1 to pool named first_pool
CONFIGURE CHANNEL 1 DEVICE TYPE sbt
  PARMS 'ENV=(OB_MEDIA_FAMILY=first_pool)';
# configure channel 2 to pool named second_pool
CONFIGURE CHANNEL 2 DEVICE TYPE sbt
  PARMS 'ENV=(OB_MEDIA_FAMILY=second_pool)';
BACKUP DATABASE; # first stream goes to 'first_pool' and second to
'second_pool'
```

6.1.2.2 Relationship Between CONFIGURE CHANNEL and Parallelism Setting

The `PARALLELISM` setting is not constrained by the number of specifically configured channels.

For example, if you back up to 20 different tape devices, then you can configure 20 different SBT channels, each with a manually assigned number (from 1 to 20) and each with a different set of channel options. In such a situation, you can set `PARALLELISM` to any value up to the number of devices, in this instance 20.

RMAN always numbers parallel channels starting with `1` and ending with the `PARALLELISM` setting. For example, if the default device is SBT and parallelism is set to 3, then RMAN names the channels as follows:

```
ORA_SBT_TAPE_1
ORA_SBT_TAPE_2
ORA_SBT_TAPE_3
```

RMAN always uses the name `ORA_SBT_TAPE_`*n* even if you configure `DEVICE TYPE sbt` (not the synonymous `sbt_tape`). RMAN always allocates the number of channels specified in `PARALLELISM`, using specifically configured channels if you have configured them and generic channels if you have not. If you configure specific channels with numbers higher than the parallelism setting, then this setting prevents RMAN from using them.

> **See Also:**
>
> About RMAN Channels to learn about channels

6.2 Configuring Advanced Backup Options

Backup options enable you to control aspects such as backup size, backup compression, and backup encryption.

"About Configuring the Environment for RMAN Backups" explains the basics for configuring RMAN to make backups. This section explains more advanced configuration options. This section contains the following topics:

- Configuring the Maximum Size of Backup Sets
- Configuring the Maximum Size of Backup Pieces
- Configuring Backup Duplexing
- Configuring Tablespaces for Exclusion from Whole Database Backups
- Configuring Compression Options
- Configuring Backup Encryption

6.2.1 Configuring the Maximum Size of Backup Sets

The `CONFIGURE MAXSETSIZE` command limits the size of backup sets created on a channel. This `CONFIGURE` setting applies to any channel, whether manually allocated or configured, when the `BACKUP` command is used to create backup sets. The default value is given in bytes and is rounded down to the lowest kilobyte value.

In tape backups, it is possible for a multiplexed backup set to span multiple tapes, which means that blocks from each data file in the backup set are written to multiple tapes. If one tape of a multivolume backup set fails, then you lose the data on all the tapes rather than just one. If a backup is not a multisection backup, then a backup set always includes a whole data file rather than a partial data file. You can use

MAXSETSIZE to specify that each backup set fits on one tape rather than spanning multiple tapes.

The value set by the CONFIGURE MAXSETSIZE command is a default for the given channel. You can override the configured MAXSETSIZE value by specifying a MAXSETSIZE option for an individual BACKUP command.

Assume that you issue the following commands at the RMAN prompt:

```
CONFIGURE DEFAULT DEVICE TYPE TO sbt;
CONFIGURE CHANNEL DEVICE TYPE sbt PARMS 'ENV=(OB_MEDIA_FAMILY=first_pool)';
CONFIGURE MAXSETSIZE TO 7500K;
BACKUP TABLESPACE users;
BACKUP TABLESPACE tools MAXSETSIZE 5G;
```

The results are as follows:

- The backup of the users tablespace uses the configured SBT channel and the configured default MAXSETSIZE setting of 7500K.
- The backup of the tools tablespace uses the MAXSETSIZE setting of 5G specified in the BACKUP command.

> **See Also:**
>
> - Limiting the Size of Backup Sets with BACKUP ... MAXSETSIZE
> - *Oracle Database Backup and Recovery Reference* for BACKUP syntax

6.2.2 Configuring the Maximum Size of Backup Pieces

Backup piece size is an issue when it exceeds the maximum file size permitted by the file system or media management software. You can use the MAXPIECESIZE parameter of the CONFIGURE CHANNEL or ALLOCATE CHANNEL command to limit the size of backup pieces.

For example, to limit the backup piece size to 2 gigabytes or less, you can configure the automatic DISK channel as follows and then run BACKUP DATABASE:

```
CONFIGURE CHANNEL DEVICE TYPE DISK MAXPIECESIZE 2G;
BACKUP DATABASE;
```

> **Note:**
>
> In version 2.0 of the media management API, media management vendors can specify the maximum size of a backup piece that can be written to their media manager. RMAN respects this limit regardless of the settings that you configure for MAXPIECESIZE.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* to learn about the `CONFIGURE CHANNEL ... MAXPIECESIZE` command

6.2.3 Configuring Backup Duplexing

Use the `CONFIGURE ... BACKUP COPIES` command to specify how many copies of each backup piece are created on the specified device type for the specified type of file. This type of backup is known as a duplexed backup set.

RMAN can duplex backups to either disk or tape, but cannot duplex backups to tape and disk simultaneously. When backing up to tape, ensure that the number of copies does not exceed the number of available tape devices. The `CONFIGURE` settings for duplexing only affect backups of data files, control files, and archived logs into backup sets, and do not affect image copies.

> **Note:**
>
> A control file autobackup is *never* duplexed.

By default, `CONFIGURE ... BACKUP COPIES` is set to `1` for each device type.

The following examples show possible duplexing configurations:

```
# Makes 2 disk copies of each data file and control file backup set
# (autobackups excluded)
CONFIGURE DATAFILE BACKUP COPIES FOR DEVICE TYPE DISK TO 2;
# Makes 3 copies of every archived redo log backup to tape
CONFIGURE ARCHIVELOG BACKUP COPIES FOR DEVICE TYPE sbt TO 3;
```

To return a `BACKUP COPIES` configuration to its default value, run the same `CONFIGURE` command with the `CLEAR` option, as in the following example:

```
CONFIGURE DATAFILE BACKUP COPIES FOR DEVICE TYPE sbt CLEAR;
```

> **Note:**
>
> If you do not want to create a persistent copies configuration, then you can specify copies with the `BACKUP COPIES` and the `SET BACKUP COPIES` commands.

See Also:

- About Multiple Copies of RMAN Backups for an overview of duplexed backups
- Duplexing Backup Sets to learn how to create duplexed backups
- *Oracle Database Backup and Recovery Reference* for `BACKUP` syntax
- *Oracle Database Backup and Recovery Reference* for `CONFIGURE` syntax
- *Oracle Database Backup and Recovery Reference* for `SET` syntax

6.2.4 Configuring Tablespaces for Exclusion from Whole Database Backups

Sometimes you may want to omit a specified tablespace from part of the regular backup schedule. Use the `CONFIGURE` command to configure tablespace exclusion.

Here are some possible scenarios to consider:

- A tablespace is easy to rebuild, so it is more cost-effective to rebuild it than back it up every day.
- A tablespace contains temporary or test data that you do not need to back up.
- A tablespace does not change often and therefore should be backed up on a different schedule from other backups.

You can run `CONFIGURE EXCLUDE FOR TABLESPACE` to exclude the specified tablespace from the `BACKUP DATABASE` command. The exclusion condition applies to any data files that you add to this tablespace in the future.

For example, you can exclude testing tablespaces `cwmlite` and `example` from whole database backups as follows:

```
CONFIGURE EXCLUDE FOR TABLESPACE cwmlite;
CONFIGURE EXCLUDE FOR TABLESPACE example;
```

If you run the following command, then RMAN backs up all tablespaces in the database except `cwmlite` and `example`:

```
BACKUP DATABASE;
```

You can still back up the configured tablespaces by explicitly specifying them in a `BACKUP` command or by specifying the `NOEXCLUDE` option on a `BACKUP DATABASE` command. For example, you can enter one of the following commands:

```
BACKUP DATABASE NOEXCLUDE; #backs up database, including cwmlite and example
BACKUP TABLESPACE cwmlite, example;  # backs up only cwmlite and example
```

You can disable the exclusion feature for `cwmlite` and `example` as follows:

```
CONFIGURE EXCLUDE FOR TABLESPACE cwmlite CLEAR;
CONFIGURE EXCLUDE FOR TABLESPACE example CLEAR;
```

RMAN includes these tablespaces in future whole database backups.

> **See Also:**
>
> - *Oracle Database Backup and Recovery Reference* for `BACKUP` and `CONFIGURE` syntax

6.2.5 Configuring Compression Options

RMAN supports precompression processing and binary compression of backup sets. The `CONFIGURE COMPRESSION ALGORITHM` command enables you to configure compression options.

This following topics contain additional information about compression:

- About RMAN Precompression Block Processing
- About RMAN Supported Compression Levels

6.2.5.1 About RMAN Precompression Block Processing

Better backup compression ratios are achieved by consolidating the free space in each data block, and setting that free space to binary zeroes. This precompression processing stage has the most benefit for data blocks that have been the subject of many deletes and inserts operations. Conversely, it has no effect on data blocks that are still in their initial loaded state.

The `OPTIMIZE FOR LOAD` option controls precompression processing. By specifying the default, `OPTIMIZE FOR LOAD TRUE`, you ensure that RMAN optimizes CPU usage and avoids precompression block processing. By specifying `OPTIMIZE FOR LOAD FALSE`, RMAN uses additional CPU resources to perform precompression block processing.

> **See Also:**
>
> - *Oracle Database Backup and Recovery Reference* for `CONFIGURE` and `SET` syntax

6.2.5.2 About RMAN Supported Compression Levels

Oracle Database provides two categories of compression algorithms: a default compression algorithm and a group of compression algorithms available with the Oracle Advanced Compression option.

The default algorithm is a standard feature of Oracle Database while the Oracle Advanced Compression option is a separately purchased option.

See Also:

- About RMAN Default Compression
- About Oracle Advanced Compression Option

6.2.5.2.1 About RMAN Default Compression

Use the CONFIGURE command to configure the default compression algorithm, which does not require the Oracle Advanced Compression option.

Example 6-3 Configuring Basic Compression for Backup

The following example configures basic compression for RMAN backups..

```
CONFIGURE COMPRESSION ALGORITHM 'BASIC';
```

6.2.5.2.2 About Oracle Advanced Compression Option

If you have enabled the Oracle Advanced Compression option, you can choose from the compression levels listed in the following table.

Compression Level	Performance Benefits and Trade-Offs
`HIGH`	Best suited for backups over slower networks where the limiting factor is network speed.
`MEDIUM`	Recommended for most environments. Good combination of compression ratios and speed.
`LOW`	Least effect on backup throughput.

The compression ratio generally increases from low to high, with a trade-off of potentially consuming more CPU resources.

Because the performance of the various compression levels depends on the nature of the data in the database, network configuration, system resources and the type of computer system and its capabilities, Oracle cannot document universally applicable performance statistics. Which level is best for your environment depends on how balanced your system is regarding bandwidth into the CPU and the actual speed of the CPU. It is highly recommended that you run tests with the different compression levels on the data in your environment. Choosing a compression level based on your environment, network traffic characteristics (workload), and data set is the only way to ensure that the backup set compression level can satisfy your organization's performance requirements and applicable service level agreements.

> **Note:**
>
> Restoring a compressed backup is performed inline, and does not require decompression.

> **See Also:**
>
> - See *Oracle Database Licensing Information User Manual* for more information about the Oracle Advanced Compression option.
> - If you are backing up to tape and your tape device performs its own compression, then do not use both RMAN backup set compression and the media manager vendor's compression. See the discussion of tuning RMAN's tape backup performance in Tuning RMAN Performance.

6.2.6 Configuring Backup Encryption

For improved security, you can configure backup encryption for RMAN backup sets. Encrypted backups cannot be read if they are obtained by unauthorized users.

This section contains the following topics:

- About Backup Encryption
- Configuring RMAN Backup Encryption Modes
- Configuring the Backup Encryption Algorithm

6.2.6.1 About Backup Encryption

The `V$RMAN_ENCRYPTION_ALGORITHMS` view contains a list of encryption algorithms supported by RMAN.

RMAN encryption requires the `COMPATIBLE` initialization parameter at a target database to be at least 10.2.0.

RMAN encrypts new backups using the encryption algorithm set in the RMAN configuration.

In previous releases, RMAN used AES-CFB encryption algorithms for backup security.

Starting with Oracle Database 23c, RMAN supports enhanced security for backups. If the `COMPATIBLE` initialization parameter is set to 23.0.0 or higher, then RMAN encrypts new backups using one of these AES-XTS encryption algorithms:

- AES256-bit key (XTS)
- AES128-bit key (XTS)

RMAN is preconfigured to use AES256 (XTS) as the default encryption algorithm for new RMAN backups.

You can use the `CONFIGURE ENCRYPTION ALGORITHM` command to change the encryption algorithm to AES128 (XTS). Alternatively, you can explicitly override the

RMAN encryption setting using the `SET ENCRYPTION` command at the RMAN session level.

> **Note:**
>
> If the `COMPATIBLE` initialization parameter for a target database is set to 23.0.0 or higher, then RMAN does not allow encrypting new backups with the AES192 encryption algorithm. This is because the AES-XTS encryption mode does not support AES192-bit encryption.

Table 6-2 RMAN Supported Backup Encryption Algorithms

`COMPATIBLE` initialization parameter settings	Encryption Algorithm Used
23.0.0 or higher	AES-XTS encryption algorithms: • AES256 • AES128 AES256 (XTS) is the encryption algorithm set in the RMAN configuration.
Lower than 23.0.0	AES-CFB encryption algorithms: • AES256 • AES128 • AES192 AES128 (CFB) is the encryption algorithm set in the RMAN configuration.

Starting with Oracle Database 23c, when you backup an existing backupset, you can encrypt the new backup using a new encryption algorithm configured in RMAN settings. This feature is helpful when you copy your on-premises database backups to Oracle Cloud. Your new backups can adopt the latest backup security practices based on the encryption algorithm configured in RMAN settings. You can also create encrypted backups of existing unencrypted backupsets, and then copy the new backups to Oracle Cloud. See, About Encryption for RMAN Backup Sets to learn how to backup backupsets using a new encryption algorithm.

The Oracle Secure Backup media management software is the only supported interface for making encrypted RMAN backups directly to tape. RMAN issues an `ORA-19919` error if you attempt to create encrypted RMAN backups using a media manager other than Oracle Secure Backup.

Encrypted backups are decrypted automatically during restore and recovery, if the required decryption keys are available. Each backup set gets a separate key. The key is stored in encrypted form in the backup piece. The backup is decrypted with keys obtained by a user-supplied password or the Oracle software keystore.

RMAN Encryption Modes

RMAN offers the following encryption modes:

- Transparent encryption

  This is the default mode and uses the Oracle software keystore. A keystore is a password-protected container used to store a Transparent Data Encryption (TDE) key. In previous releases, this container was referred to as a wallet.

- Password encryption

This mode uses only password protection. You must provide a password when creating and restoring encrypted backups.

- Dual mode encryption

  This mode requires either the keystore or a password.

> **Note:**
>
> Keystore-based encryption is more secure than password-based encryption because no passwords are involved. Use password-based encryption only when it is absolutely necessary because your backups must be transportable.

> **See Also:**
>
> - Transparent Encryption of Backups
> - Password Encryption of Backups
> - Dual Mode Encryption of Backups
> - About Encryption for RMAN Backup Sets
> - Copying a Backupset with a New Encryption Algorithm
> - *Oracle Database Advanced Security Guide* for details about configuring the Oracle keystore

6.2.6.1.1 Transparent Encryption of Backups

Transparent encryption can create and restore encrypted backups with no DBA intervention, if the required Oracle key management infrastructure is available.

Transparent encryption is best suited for day-to-day backup operations, where backups are restored to the same database from which they were created. Transparent encryption is the default for RMAN encryption.

When you use transparent encryption, you must first configure an Oracle software keystore for each database. Transparent backup encryption supports both the auto-login software keystore and password-based software keystore. When you use the auto-login software keystore, encrypted backup operations can be performed at any time, because the auto-login keystore is always open. When you use the password-based software keystore, the keystore must be opened before you can perform backup encryption.

> **Caution:**
>
> If you use an auto-login keystore, do not back it up along with your encrypted backup data, because users can read the encrypted backups if they obtain both the backups and the autologin keystore. It is safe to back up the Oracle keystore because that form of the keystore cannot be used without the keystore password.

After the Oracle keystore is configured, encrypted backups can be created and restored with no further DBA intervention. If some columns in the database are encrypted with Transparent Data Encryption (TDE) column encryption, and if those columns are backed up using backup encryption, then those columns are encrypted a second time during the backup. When the backup sets are decrypted during a restore operation, the encrypted columns are returned to their original encrypted form.

Because the Oracle key management infrastructure archives all previous master keys in the Oracle keystore, changing or resetting the current database master key does not affect your ability to restore encrypted backups performed with an older master key. You can reset the database master key at any time. RMAN can restore all encrypted backups that were ever created by this database.

> **Caution:**
>
> If you lose your Oracle keystore, then you are unable to restore any transparently encrypted backups.

> **Note:**
>
> *Oracle Database Advanced Security Guide* for information about configuring an Oracle software keystore

6.2.6.1.2 Password Encryption of Backups

Password encryption requires that the DBA provide a password when creating and restoring encrypted backups. Restoring a password-encrypted backup requires the same password that was used to create the backup.

Password encryption is useful for backups that are restored at remote locations, but which must remain secure in transit. Password encryption cannot be persistently configured. You do not need to configure an Oracle keystore if password encryption is used exclusively.

> **Caution:**
>
> If you forget or lose the password that you used to encrypt a password-encrypted backup, then you are unable to restore the backup.

To use password encryption, use the `SET ENCRYPTION ON IDENTIFIED BY` *`password`* `ONLY` command in your RMAN scripts.

6.2.6.1.3 Dual Mode Encryption of Backups

Dual-mode encrypted backups can be restored either transparently or by specifying a password.

Dual-mode encrypted backups are useful when you create backups that are normally restored on-site using the Oracle keystore, but which occasionally must be restored offsite, where the Oracle keystore is not available.

When restoring a dual-mode encrypted backup, you can use either the Oracle keystore or a password for decryption.

> **Caution:**
>
> If you forget or lose the password that you used to encrypt a dual-mode encrypted backup and you also lose your Oracle keystore, then you are unable to restore the backup.

To create dual-mode encrypted backup sets, specify the `SET ENCRYPTION ON IDENTIFIED BY` *`password`* command in your RMAN scripts.

6.2.6.2 Configuring RMAN Backup Encryption Modes

You can use the `CONFIGURE` command to persistently configure transparent encryption of backups.

You can use the command to specify the following:

- Whether to use transparent encryptions for backups of all database files
- Whether to use transparent encryptions for backups of specific tablespaces
- Which algorithm to use for encrypting backups

You can also use the `SET ENCRYPTION` command to perform the following actions:

- Override the encryption settings specified by the `CONFIGURE ENCRYPTION` command. For example, you can use `SET ENCRYPTION OFF` to create an unencrypted backup, even though a database is configured for encrypted backups.
- Set a password for backup encryption, persisting until the RMAN client exits. Because of the sensitive nature of passwords, RMAN does not permit configuration of passwords that persist across RMAN sessions.

Using or not using persistent configuration settings controls whether archived redo log backups are encrypted. Backup sets containing archived redo log files are encrypted if any of the following are true:

- `SET ENCRYPTION ON` is in effect when the archive log backup is being created.
- Encryption is configured for backups of the whole database or at least one tablespace.

This behavior ensures that the redo associated with any encrypted backup of a data file is also encrypted.

To configure the environment so that all RMAN backups are encrypted:

1. Set up the Oracle keystore as explained in *Oracle Database Advanced Security Guide*.
2. Issue the following RMAN command:

   ```
   CONFIGURE ENCRYPTION FOR DATABASE ON;
   ```

   At this stage, all RMAN backup sets created by this database use transparent encryption by default.

You can explicitly override the persistent encryption configuration for an RMAN session with the following command:

```
SET ENCRYPTION ON;
```

The encryption setting remains in effect until you issue the `SET ENCRYPTION OFF` command during an RMAN session, or change the persistent setting again with the following command:

```
CONFIGURE ENCRYPTION FOR DATABASE OFF;
```

6.2.6.3 Configuring the Backup Encryption Algorithm

You can use the `CONFIGURE` command to persistently configure the default algorithm to use for encryption when writing backup sets.

Starting with Oracle Database 23c, RMAN enhances security for backups.

If the `COMPATIBLE` initialization parameter for a target database is set to 23.0.0 or higher, then RMAN is preconfigured to use `AES256` (XTS) as the encryption algorithm for new backups.

You can use the `CONFIGURE ENCRYPTION ALGORITHM` command to change the encryption algorithm to AES128 (XTS). Alternatively, you can explicitly override the encryption settings using the `SET ENCRYPTION` command at the RMAN session level.

RMAN will continue to support restores using existing backups which used the `AES-CFB` encryption algorithms, which includes `AES128`, `AES256`, and `AES192` encryption algorithms.

The supported encryption modes and algorithms are listed in `V$RMAN_ENCRYPTION_ALGORITHMS`.

> **Note:**
>
> If the `COMPATIBLE` initialization parameter for a target database is set to 23.0.0 or higher, then RMAN does not allow encrypting new backups with the AES192 encryption algorithm. This is because the AES-XTS encryption mode does not support AES192-bit encryption.

To configure the backup encryption algorithm:

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. Ensure that the target database is mounted or open.

3. Execute the `CONFIGURE ENCRYPTION ALGORITHM` command, specifying a valid value from `V$RMAN_ENCRYPTION_ALGORITHMS.ALGORITHM_NAME`.

   The following example configures the encryption algorithm as AES128 (XTS mode):

   ```
   CONFIGURE ENCRYPTION ALGORITHM TO 'AES128';
   ```

6.3 Configuring Auxiliary Instance Data File Names

You may want to set the names of data files in the auxiliary instance when performing operations such as data file tablespace point-in-time recovery (TSPITR) or data transfer with RMAN. You set these names before starting the TSPITR or database duplication.

The command is as follows, where *`datafileSpec`* identifies some data file by its original name or data file number, and *`filename`* is the new path for the specified file:

```
CONFIGURE AUXNAME FOR datafileSpec TO 'filename';
```

For example, you might configure a new auxiliary name for data file `2` as follows:

```
CONFIGURE AUXNAME FOR DATAFILE 2 TO '/newdisk/datafiles/df2.df';
```

As with other settings, the `CONFIGURE` command setting persists across RMAN sessions until cleared with `CONFIGURE ... CLEAR`, as shown in the following example:

```
CONFIGURE AUXNAME FOR DATAFILE 2 CLEAR;
```

If you are performing TSPITR or running the `DUPLICATE` command, then by using `CONFIGURE AUXNAME` you can preconfigure the file names for use on the auxiliary database without manually specifying the auxiliary file names during the procedure.

When renaming files with the `DUPLICATE` command, `CONFIGURE AUXNAME` is an alternative to `SET NEWNAME` command. The difference is that after you set the `AUXNAME` the first time, you do not need to reset the file name when you issue another `DUPLICATE` command; the `AUXNAME` setting remains in effect until you issue `CONFIGURE AUXNAME ... CLEAR`. In contrast, you must reissue the `SET NEWNAME` command every time you rename files.

> **See Also:**
>
> - Performing RMAN Tablespace Point-in-Time Recovery (TSPITR) for more details on using `CONFIGURE AUXNAME` for TSPITR
> - Duplicating Databases for more details on using `CONFIGURE AUXNAME` in performing database duplication

6.4 Configuring the Snapshot Control File Location

When RMAN needs a read-consistent version of the control file, it creates a temporary snapshot control file. RMAN needs a snapshot control file when resynchronizing with the recovery catalog or when making a backup of the current control file.

The default location for the snapshot control file is platform-specific and depends on the Oracle home of each target database. For example, the default file name on some Linux platforms is `$ORACLE_HOME/dbs/snapcf_@.f`. If a fast recovery area is configured for a target database, then the default location for the snapshot control file is *not* the fast recovery area.

This section contains the following topics:

- Viewing the Configured Location of the Snapshot Control File
- Setting the Location of the Snapshot Control File

6.4.1 Viewing the Configured Location of the Snapshot Control File

You can see the current snapshot location by running the `SHOW` command.

This example shows a snapshot location that is determined by the default rule:

```
RMAN> SHOW SNAPSHOT CONTROLFILE NAME;
CONFIGURE SNAPSHOT CONTROLFILE NAME TO '/oracle/dbs/snapcf_trgt.f'; # default
```

This example shows a snapshot control file that has a nondefault file name:

```
RMAN> SHOW SNAPSHOT CONTROLFILE NAME;
CONFIGURE SNAPSHOT CONTROLFILE NAME TO '/oracle/oradata/trgt/snap_trgt.ctl';
```

6.4.2 Setting the Location of the Snapshot Control File

Use the `CONFIGURE SNAPSHOT CONTROLFILE NAME TO '`*`filepath`*`'` command to change the name and path of the snapshot control file. Subsequent snapshot control files that RMAN creates use the specified name and path.

In an Oracle Real Application Clusters (Oracle RAC) environment, the snapshot control file location must be on shared storage—that is, storage that is accessible to all Oracle RAC instances.

For example, start RMAN, connect to the target database, and then enter:

```
CONFIGURE SNAPSHOT CONTROLFILE NAME TO '/oracle/oradata/trgt/snap_trgt.ctl';
```

You can also set the snapshot control file name to a raw device.

To reset the snapshot control file location to the default, run the `CONFIGURE SNAPSHOT CONTROLFILE NAME CLEAR` command.

> **See Also:**
>
> - Resynchronizing the Recovery Catalog
> - *Oracle Real Application Clusters Administration and Deployment Guide* for details about handling snapshot control files in Oracle RAC configurations

6.5 Configuring RMAN for Use with a Shared Server

RMAN cannot connect to a target database through a shared server dispatcher. RMAN requires a dedicated server process.

If your target database is configured for a shared server, then you must modify your Oracle Net configuration to provide dedicated server processes for RMAN connections.

To ensure that RMAN does not connect to a dispatcher when a target database is configured for a shared server, the net service name used by RMAN must include `(SERVER=DEDICATED)` in the `CONNECT_DATA` attribute of the connect string.

Oracle Net configuration varies greatly from system to system. The following procedure illustrates only one method. This scenario assumes that the following service name in `tnsnames.ora` file connects to a target database using the shared server architecture, where `inst1` is a value of the `SERVICE_NAMES` initialization parameter:

```
inst1_shs =
  (DESCRIPTION=
    (ADDRESS=(PROTOCOL=tcp)(HOST=inst1_host)(port=1521))
    (CONNECT_DATA=(SERVICE_NAME=inst1)(SERVER=shared))
  )
```

> **Note:**
>
> Starting with Oracle Database 19c, customer use of the `SERVICE_NAMES` parameter is deprecated. It can be desupported in a future release. To manage your services, Oracle recommends that you use the `SRVCTL` or `GDSCTL` command line utilities, or the `DBMS_SERVICE` package.

To use RMAN with a shared server:

1. Create a net service name in the `tnsnames.ora` file that connects to the nonshared SID. For example, enter:

   ```
   inst1_ded =
     (DESCRIPTION=
       (ADDRESS=(PROTOCOL=tcp)(HOST=inst1_host)(port=1521))
   ```

```
    (CONNECT_DATA=(SERVICE_NAME=inst1)(SERVER=dedicated))
  )
```

2. Start SQL*Plus and then connect using both the shared server and dedicated server service names to confirm the mode of each session.

   For example, connect with `SYSBACKUP` or `SYSDBA` privilege to `inst1_ded` and then execute the following `SELECT` statement (sample output included):

```
SQL> SELECT SERVER
  2  FROM   V$SESSION
  3  WHERE  SID = (SELECT DISTINCT SID FROM V$MYSTAT);

SERVER
---------
DEDICATED
1 row selected.
```

   To connect to a shared server session, connect with `SYSBACKUP` or `SYSDBA` privilege to `inst1_shs` and then execute the following `SELECT` statement (sample output included):

```
SQL> SELECT SERVER
  2  FROM   V$SESSION
  3  WHERE  SID = (SELECT DISTINCT SID FROM V$MYSTAT);

SERVER
---------
SHARED
1 row selected.
```

3. Start RMAN and connect to the target database using the dedicated service name. Optionally, connect to a recovery catalog.

> **See Also:**
>
> Your platform-specific Oracle documentation and the *Oracle Database Net Services Reference* for a complete description of Oracle Net connect string syntax

6.6 Enabling Lost Write Detection

A data block lost write occurs when an I/O subsystem acknowledges the completion of the block write, but the write did not occur in the persistent storage. On a subsequent block read, the I/O subsystem returns the stale version of the data block, which might be used to update other blocks of the database, thereby corrupting it.

You can set the `DB_LOST_WRITE_PROTECT` initialization parameter to `TYPICAL` or `FULL` so that a database records buffer cache block reads in the redo log. The default setting is `NONE`. When the parameter is set to `TYPICAL`, the instance logs buffer cache reads for read/write tablespaces in the redo log, but not read-only tablespaces. When set to `FULL`, the instance

also records reads for read-only tablespaces. The performance overhead for `TYPICAL` mode is approximately 5 to 10% and potentially higher for `FULL` mode.

Lost write detection is most effective when used with Data Guard. In this case, you set `DB_LOST_WRITE_PROTECT` in both primary and standby databases. When a standby database applies redo during managed recovery, it reads the corresponding blocks and compares the SCNs with the SCNs in the redo log. If the block SCN on the primary database is lower than on the standby database, then it detects a lost write on the primary database and throws an external error (`ORA-752`). If the SCN is higher, it detects a lost write on the standby database and throws an internal error (`ORA-600 [3020]`). In either case, the standby database writes the reason for the failure in the alert log and trace file.

To repair a lost write on a primary database, you must initiate failover to the standby database. To repair a lost write on a standby database, you must re-create the entire standby database or restore a backup of only the affected files.

Enabling lost write detection is also useful when you are not using Data Guard. In this case, you can encounter a lost write in two ways: during normal database operation or during media recovery. In the first case, there is no direct way to detect the error. Indirect symptoms such as inconsistent tables cannot be unambiguously traced to the lost write. If you retained a backup made *before* the suspected lost write, however, then you can restore this backup to an alternative location and recover it. To diagnose the problem, recover the database or tablespace to the SCN of the stale block read, which then generates the lost write error (`ORA-752`).

If a lost write error is encountered during media recovery, the only response is to open the database with the `RESETLOGS` option. The database is in a consistent state, but all data after the `RESETLOGS` SCN is lost. If you recover a backup made after database creation, you have no guarantee that other stale blocks have not corrupted the database. This possibility exists because the restored backup may have been made after an earlier lost write. To guarantee that no lost writes have corrupted the database, you must perform media recovery from database creation, which is not a practical strategy for most database environments.

See Also:

- *Oracle Data Guard Concepts and Administration* to learn how to use a standby database for lost write detection and repair
- *Oracle Database Reference* to learn about the `DB_LOST_WRITE_PROTECT` initialization parameter

6.7 Enabling Shadow Lost Write Protection

Shadow lost write protection provides fast detection and immediate response to a data block lost rewrite thereby minimizing data loss and database repair time. A standby database is not mandatory for using shadow lost write protection.

A data block lost write occurs when an I/O subsystem acknowledges the completion of a block write, but the write did not occur in the storage. Subsequent block reads will return the stale version of the data block, which may be used to update other data

blocks, thus corrupting data. Shadow lost write protection uses shadow tablespaces to store only SCNs for the tracked data files. When a tracked data block is read from disk, shadow lost write protection detects lost writes by comparing the SCN for the block in the shadow tablespace with the SCN of the most recent write in the block being read.

Shadow lost write protection can be enabled at the database level, PDB level, tablespace level, or data file level. The database compatibility level must be 18.0.0 or higher.

To use shadow lost write protection:

- Create one or more shadow tablespaces for shadow lost write protection using the `CREATE BIGFILE TABLESPACE` command with the `LOST WRITE PROTECTION` clause.
- Enable shadow lost write protection at the required level (database, PDB, tablespace, or data file). Use the `ALTER` command with the `ENABLE LOST WRITE PROTECTION` clause to enable shadow lost write protection.

When shadow lost write protection is enabled, RMAN checks the blocks being read for lost writes. If any lost writes are found, an error is displayed and the backup operation is terminated.

> **Note:**
>
> Shadow lost write protection is not related to lost write protection that is configured using the `DB_LOST_WRITE_PROTECT` initialization parameter.

Related Topics

- *Oracle Database Administrator's Guide*

7

Using Flashback Database and Restore Points

Use RMAN to configure, monitor, and maintain restore points as part of an overall data protection strategy

This chapter explains Flashback Database and restore points. It discusses configuring, monitoring, and maintaining these features as part of an overall data protection strategy.

> **Note:**
>
> Detailed information on recovery scenarios that use Flashback Database and normal and guaranteed restore points can be found in Performing Flashback and Database Point-in-Time Recovery.

7.1 Overview of Flashback Database, Restore Points and Guaranteed Restore Points

Oracle Flashback Database and restore points are related data protection features that enable you to rewind data back in time to correct any problems caused by logical data corruption or user errors within a designated time window.

These features provide a more efficient alternative to point-in-time recovery and does not require a backup of the database to be restored first. The effects are similar to database point-in-time recovery (DBPITR). Flashback Database and restore points are not only effective in traditional database recovery situations but can also be useful during database upgrades, application deployments and testing scenarios when test databases must be quickly created and re-created. Flashback Database also provides an efficient alternative to rebuilding a failed primary database after a Data Guard failover.

Restore points provide capabilities related to Flashback Database and other media recovery operations. In particular, a guaranteed restore point created at a system change number (SCN) ensures that you can use Flashback Database to rewind the database to this SCN. You can use restore points and Flashback Database independently or together.

Flashback Database is accessible through both RMAN and SQL as `FLASHBACK DATABASE`. You can use either language to quickly recover the database from logical data corruption or user errors. The following examples return the database to a specified SCN or restore point:

```
FLASHBACK DATABASE TO RESTORE POINT 'before_upgrade';
FLASHBACK DATABASE TO SCN 202381;
```

> **See Also:**
>
> *Oracle Data Guard Concepts and Administration*

7.1.1 About Flashback Database

Flashback Database is similar to conventional point-in-time recovery in its effects. It enables you to return a database to its state at a time in the recent past. Flashback Database is much faster than point-in-time recovery because it does not require restoring data files from backup and requires applying fewer changes from the archived redo logs.

You can use Flashback Database to reverse most unwanted changes to a database if the data files are intact. You can return a database to its state in a previous incarnation, and undo the effects of an `ALTER DATABASE OPEN RESETLOGS` statement. "Rewinding a Database with Flashback Database" explains how to use the `FLASHBACK DATABASE` command to reverse database changes.

Flashback Database uses its own logging mechanism, creating flashback logs and storing them in the fast recovery area. You can only use Flashback Database if flashback logs are available. To take advantage of this feature, you must set up your database in advance to create flashback logs.

To enable Flashback Database, you configure a fast recovery area and set a flashback retention target. This retention target specifies how far back you can rewind a database with Flashback Database.

From that time onwards, at regular intervals, the database copies images of each altered block in every data file into the flashback logs. These block images can later be reused to reconstruct the data file contents for any moment at which logs were captured.

When you use Flashback Database to rewind a database to a past target time, the command determines which blocks changed after the target time and restores them from the flashback logs. The database restores the version of each block that is immediately before the target time. The database then uses redo logs to reapply changes that were made after these blocks were written to the flashback logs.

Redo logs on disk or tape must be available for the entire time period spanned by the flashback logs. For example, if the flashback retention target is 1 week, then you must ensure that online and archived redo logs that contain all changes for the past week are accessible. In practice, redo logs are typically needed much longer than the flashback retention target to support point-in-time recovery.

7.1.2 About Flashback Database Window

The range of SCNs for which there is currently enough flashback log data to support the `FLASHBACK DATABASE` command is called the flashback database window. The flashback database window cannot extend further back than the earliest SCN in the available flashback logs.

By default, flashback logs are always stored in the fast recovery area. To increase the likelihood that enough flashback logs are retained to meet the flashback database window, you can increase the space in your fast recovery area.

To eliminate the manual administration required to manage the fast recovery area storage space, starting with Oracle Database 23c, you can optionally store flashback logs in a separate storage disk location, preferably a fast disk location, outside the fast recovery area. For example, if you have write-intensive database workloads, then flashback database logging can slow down the database and may require that you manually manage disk space. In this scenario, you can choose to write the flashback logs to a faster disk storage location, outside the fast recovery area, to improve database performance.

(see "Table 5-4").

If the fast recovery area is not large enough to hold the flashback logs and files such as archived redo logs and other backups needed for the retention policy, then the database may delete flashback logs from the earliest SCNs forward to make room for other files. Consequently, the flashback database window can be shorter than the flashback retention target, depending on the size of the fast recovery area, other backups that must be retained, and how much flashback logging data is needed. The flashback retention target is a target, not a guarantee that Flashback Database is available.

If you cannot use `FLASHBACK DATABASE` because the flashback database window is not long enough, then usually you can use database point-in-time recovery (DBPITR) to achieve a similar result. Guaranteed restore points are the only way to ensure that you can use Flashback Database to return to a specific point in time or guarantee the size of the flashback window.

Note:

Some database operations, such as dropping a tablespace cannot be reversed with Flashback Database. See "Limitations of Flashback Database" for details.

See Also:

- Rewinding a Database with Flashback Database to learn about Flashback Database
- Performing Database Point-in-Time Recovery to learn about DBPITR

7.1.3 Limitations of Flashback Database

Because Flashback Database works by undoing changes to the data files that exist at the moment when you run the command, it has certain limitations.

Following are the limitations of Flashback Database:

- Flashback Database can only undo changes to a data file made by Oracle Database. It cannot be used to repair media failures, or to recover from accidental deletion of data files.
- You cannot use Flashback Database alone to retrieve a dropped data file. If you flash back a database to a time when a dropped data file existed in the database, only the data file entry is added to the control file. You can only recover the dropped data file by using RMAN to fully restore and recover the data file.

- If the database control file is restored from backup or re-created, all accumulated flashback log information is discarded. You cannot use `FLASHBACK DATABASE` to return to a point in time before the restore or re-creation of a control file.
- When using Flashback Database with a target time at which a `NOLOGGING` operation was in progress, block corruption is likely in the database objects and data files affected by the `NOLOGGING` operation. For example, if you perform a direct-path `INSERT` operation in `NOLOGGING` mode, and that operation runs from 9:00 to 9:15 on April 3, 2005, and you later use Flashback Database to return to the target time 09:07 on that date, the objects and data files updated by the direct-path `INSERT` may be left with block corruption after the Flashback Database operation completes.

  If possible, avoid using Flashback Database with a target time or SCN that coincides with a `NOLOGGING` operation. Also, perform a full or incremental backup of the affected data files immediately after any `NOLOGGING` operation to ensure recoverability to points in time after the operation. If you expect to use Flashback Database to return to a point in time during an operation such as a direct-path `INSERT`, consider performing the operation in `LOGGING` mode.

> **See Also:**
>
> *Oracle Database SQL Language Reference* for more information about operations that support `NOLOGGING` mode.

7.1.4 About Normal Restore Points

Creating a normal restore point assigns a restore point name to an SCN or specific point in time.

Thus, a restore point functions as a bookmark or alias for this SCN. Before performing any operation that you may have to reverse, you can create a normal restore point. The control file stores the name of the restore point and the SCN.

If you use flashback features or point-in-time recovery, then you can use the name of the restore point instead of a time or SCN. The following commands support this use of restore points:

- The `RECOVER DATABASE` and `FLASHBACK DATABASE` commands in RMAN
- The `FLASHBACK TABLE` statement in SQL

Creating a normal restore point eliminates manually recording an SCN in advance or determine the correct SCN after the fact by using features such as Flashback Query.

Normal restore points are lightweight. The control file can maintain a record of thousands of normal restore points with no significant effect on database performance. Normal restore points eventually age out of the control file if not manually deleted, so they require no ongoing maintenance.

> **See Also:**
>
> *Oracle Database Development Guide* to learn how to use Flashback Query

7.1.5 About Guaranteed Restore Points

Like a normal restore point, a guaranteed restore point serves as an alias for an SCN in recovery operations. A principal difference is that guaranteed restore points never age out of the control file and must be explicitly dropped.

In general, you can use a guaranteed restore point as an alias for an SCN with any command that works with a normal restore point. Except as noted, the information about where and how to use normal restore points applies to guaranteed restore points as well.

A guaranteed restore point ensures that you can use Flashback Database to rewind a database to its state at the restore point SCN, even if the generation of flashback logs is disabled. If flashback logging is enabled, then a guaranteed restore point enforces the retention of flashback logs required for Flashback Database to any SCN after the earliest guaranteed restore point. Thus, if flashback logging is enabled, you can rewind the database to any SCN in the continuum rather than to a single SCN only.

> **Note:**
>
> If flashback logging is disabled, then you *cannot* `FLASHBACK DATABASE` directly to SCNs between the guaranteed restore points and the current time. You can, however, flashback to the guaranteed restore point first and then recover to SCN's between the guaranteed restore point and current time.

If the recovery area has enough disk space to store the needed logs, then you can use a guaranteed restore point to rewind a whole database to a known good state days or weeks ago. As with Flashback Database, even the effects of `NOLOGGING` operations like direct load inserts can be reversed with guaranteed restore points.

> **Note:**
>
> Limitations that apply to Flashback Database also apply to guaranteed restore points. For example, dropping a tablespace can prevent flashing back the affected data files to the guaranteed restore point. See "Limitations of Flashback Database" for details. In addition, when there are guaranteed restore points in the database, the database compatibility parameter cannot be set to a higher database version. An attempt to do so results in an error. This restriction exists because flashback database is currently unable to reverse the effects of increasing the database version with the compatibility initialization parameter.

7.1.5.1 Guaranteed Restore Points versus Storage Snapshots

In practice, guaranteed restore points provide a useful alternative to storage snapshots.

Storage snapshots are often used to protect a database before risky operations such as large-scale database updates or application patches or upgrades. Rather than creating a snapshot or duplicate database to test the operation, you can create a guaranteed restore point on a primary or physical standby database. You can then perform the risky operation with the certainty that the required flashback logs are retained.

7.1.6 Overview of Restore Points in a Multitenant Environment

You can create both normal and guaranteed restore points in a multitenant environment.

The basic concepts of restore points for databases are also applicable to restore points in a multitenant environment. You can create the following types of restore points in a multitenant environment:

- CDB restore point
- PDB restore point
- Clean PDB restore point

> **See Also:**
>
> - Overview of Flashback Database, Restore Points and Guaranteed Restore Points

7.1.6.1 About CDB Restore Points

A CDB restore point serves as an alias for an SCN or a point in time in a multitenant container database (CDB). It can be a normal restore point or a guaranteed restore point.

You connect to the root of the target database as a common user with the `SYSDBA` or `SYSBACKUP` privilege to create CDB restore points. You can create CDB restore points starting with Oracle Database 12*c* Release 1 (12.1). CDB restore points are accessible to every pluggable database within the CDB. However, a CDB restore point does not reflect the PDB sub-incarnation of any of its PDBs.

CDB restore points are useful in the following scenarios:

- The whole CDB needs to be recovered to a particular point in time
- Multiple PDBs in a CDB need to be recovered to a particular point in time

> **See Also:**
>
> - About Restore Points in PDBs

7.1.6.2 About Restore Points in PDBs

You can create normal and guaranteed restore points in a pluggable database (PDB). PDB restore points are accessible only to the PDB in which they are defined.

PDB Restore Points

A PDB restore point is a bookmark to a point in time or an SCN in a particular pluggable database (PDB). It pertains only to the PDB for which it is created and is only usable for operations on that PDB. A PDB restore point represents the PDB sub-incarnation of the point in time at which it was created.

PDB restore points can be normal restore points or guaranteed restore points. A guaranteed PDB restore point guarantees that you can perform a flashback operation for the PDB to this restore point.

A PDB restore point can be used to perform Flashback Database operations or point-in-time recovery only for the PDB in which it was created.

> **Note:**
>
> Creating a guaranteed PDB restore point requires careful consideration because such a restore point can prevent required flashback logs in the multitenant container database (CDB) from being reused. This can potentially impact CDB functioning because the fast recovery area could run out of space.

Clean PDB Restore Points

A clean PDB restore point is a PDB restore point that is created when the PDB is closed and when there are no outstanding transactions for that PDB. Clean PDB restore points are only applicable to CDBs that use shared undo.

Clean PDB restore points can be normal or guaranteed restore points. Use the `CREATE CLEAN RESTORE POINT` command to explicitly create a clean PDB restore point. For a CDB that uses shared undo, if a PDB is closed and it has no outstanding transactions, any PDB restore point created is marked as a clean PDB restore point.

If you anticipate that you may need to rewind a PDB to a particular point in time, for example, to a state just before an application upgrade, then it is recommended that you create a clean PDB guaranteed restore point.

For CDBs that use shared undo, a Flashback Database operation to a clean PDB restore point is faster than a Flashback Database operation to an SCN or other restore points that are not clean PDB restore points. This is because RMAN does not need to restore any backups while performing a flashback operation to a clean PDB restore point.

See Also:

- About the Namespace for PDB Restore Points
- About CDB Restore Points
- About Flashback Database Operations with PDBs
- Performing a Flashback Database Operation for PDBs
- About Incarnations of PDBs

7.1.6.3 About the Namespace for PDB Restore Points

Each pluggable database (PDB) has its own namespace for restore points. Therefore, you can define a PDB restore point with the same name in more than one PDB.

In a multitenant environment, when you use a restore point name in a PDB or for a PDB operation, the name is first interpreted as a PDB restore point for the concerned PDB. If a PDB restore point with the specified name is not found, then it is interpreted as a CDB restore point.

See Also:

About Restore Points in PDBs

7.2 About Logging for Flashback Database and Guaranteed Restore Points

Logging for Flashback Database and guaranteed restore points involves capturing images of data file blocks before changes are applied. The `FLASHBACK DATABASE` command can use these images to return the data files to their previous state.

The chief differences between normal flashback logging and logging for guaranteed restore points are related to when blocks are logged and whether the logs can be deleted in response to space pressure in the fast recovery area. These differences affect space usage for logs and database performance.

Your recoverability goals partially determine whether to enable logging for flashback database, or use guaranteed restore points, or both. The implications in performance and in space usage for these features, separately and when used together, also factor into your decision.

Flashback logs are stored in the fast recovery area by default. Starting with Oracle Database 23c, you can choose to write the flashback logs to faster disks outside the fast recovery area to improve database performance and eliminate the manual administration required to manage the fast recovery area space usage.

7.2.1 Guaranteed Restore Points and Fast Recovery Area Space Usage

Certain rules govern the usage of space in the fast recovery area.

When you create a guaranteed restore point, with or without enabling full flashback database logging, you must monitor the space available in your fast recovery area. "Managing Space for Flashback Logs" explains how to monitor fast recovery area disk space usage.

The following rules govern creating, retaining, overwriting and deleting of flashback logs in the fast recovery area:

- If the fast recovery area has enough space, then a flashback log is created whenever necessary to satisfy the flashback retention target.
- If a flashback log is old enough that it is no longer needed to satisfy the flashback retention target, then the flashback log may be reused or deleted.
- If the database must create a flashback log and the fast recovery area is full or there is no disk space, then the oldest flashback log is reused instead.

> **Note:**
>
> Reusing the oldest flashback log shortens the flashback database window. If enough flashback logs are reused due to a lack of disk space, then the flashback retention target may not be satisfied.

- If the fast recovery area is full, then an archived redo log that is reclaimable according to the fast recovery area rules may be automatically deleted by the fast recovery area to make space for other files. In this case, any flashback logs that require the use of that redo log file for the use of `FLASHBACK DATABASE` are also deleted.

> **Note:**
>
> According to fast recovery area rules, a file is reclaimable when one of the following criteria is true:
>
> – The file is reported as obsolete and not needed by the flashback database. For example, the file is outside the `DB_FLASHBACK_RETENTION_TARGET` parameters.
>
> – The file is backed up to tape.

- Files in the fast recovery area are not eligible for deletion or reuse if they are required to satisfy a guaranteed restore point. However, archived redo logs required to satisfy a guaranteed restore point may be deleted after they are backed up to disk or tape. When you use the RMAN `FLASHBACK DATABASE` command, if the archived redo logs required to satisfy a specified guaranteed restore point are not available in the fast recovery area, they are restored from the backups.

  Retention of flashback logs and other files required to satisfy the guaranteed restore point, in addition to files required to satisfy the backup retention policy, can cause the fast recovery area to fill completely. Consult "Responding to a Full Fast Recovery Area" if your fast recovery area becomes full.

> **Caution:**
>
> If no files are eligible for deletion from the fast recovery area because of the requirements imposed by your retention policy and the guaranteed restore point, then the database performs as if it has encountered a disk full condition. In many circumstances, this causes your database to halt. See "Responding to a Full Fast Recovery Area".

7.2.2 About Logging for Guaranteed Restore Points with Flashback Logging Disabled

Assume that you create a guaranteed restore point when logging for Flashback Database is disabled. In this case, the first time a data file block is modified after the time of the guaranteed restore point, the database stores an image of the block before the modification in the flashback logs. Thus, the flashback logs preserve the contents of every changed data block when the guaranteed restore point was created. Later modifications to the same block do not cause the contents to be logged again unless another guaranteed restore point was created after the block was last modified or a subsequent flashback database operation has restored the original contents of the block. When you use Flashback Database to restore a database multiple times to the same restore point, it is common practise to drop and recreate the guaranteed restore point each time. This deletes the old flashback logs and also ensures that the space quota for the fast recovery area is not exceeded.

This method of logging has the following important consequences:

- `FLASHBACK DATABASE` can re-create the data file contents at the time of a guaranteed restore point by using the block images.
- For workloads that repeatedly modify the same data, disk space usage can be less than normal flashback logging. Less space is needed because each changed block is only logged once. Applications with low volume inserts may benefit from this disk space saving. This advantage is less likely for applications with high volume inserts or large batch inserts. The performance overhead of logging for a guaranteed restore point without flashback database logging enabled can also be lower.

Assume that your primary goal is the ability to return your database to the time at which the guaranteed restore point was created. In this case, it is usually more efficient to turn off flashback logging and use only guaranteed restore points. For example, suppose that you are performing an application upgrade on a database host over a weekend. You could create a guaranteed restore point at the start of the upgrade. If the upgrade fails, then reverse the changes with the `FLASHBACK DATABASE` command.

7.2.3 About Logging for Flashback Database with Guaranteed Restore Points Defined

If you enable Flashback Database and define one or more guaranteed restore points, then the database performs normal flashback logging.

In this case, the recovery area retains the flashback logs required to flash back to any arbitrary time between the present and the earliest currently defined guaranteed

restore point. Flashback logs are not deleted in response to space pressure if they are required to satisfy the guarantee.

Flashback logs are stored in the fast recovery area by default. Flashback logging causes some performance overhead. Depending upon the pattern of activity on your database, it can also cause significant space pressure in the fast recovery area. Thus, you should monitor space used in the fast recovery area.

However, if you have write-intensive database workloads and if flashback logging slows down database performance, starting with Oracle Database 23c, you can choose to write the flashback logs to faster disks outside the fast recovery area. Maintaining the flashback logs in a separate disk location helps to eliminate the need to monitor disk space usage in the fast recovery area and also improves database performance.

7.3 Prerequisites for Flashback Database and Restore Points

To ensure successful operation of Flashback Database and guaranteed restore points, you must first set some key database options.

Flashback Database

Configure the following database settings before enabling Flashback Database:

- Your database must be running in `ARCHIVELOG` mode, because archived logs are used in the Flashback Database operation.
- You must have a fast recovery area enabled.

  By default, flashback logs are stored in the fast recovery area. However, starting with Oracle Database 23c, you can optionally store flashback logs in a separate storage disk location, preferably a fast disk storage, outside the fast recovery area. Storing flashback logs outside the fast recovery area can help reduce performance issues and eliminate the need to manually manage the fast recovery area space usage caused by flashback logging.
- For Oracle Real Application Clusters (Oracle RAC) databases, the fast recovery area must be in a clustered file system or in ASM.
- For creating restore points in CDBs, the `COMPATIBLE` initialization parameter must be set to 12.1.0 or higher.

Guaranteed Restore Points

To use guaranteed restore points, the database must satisfy the following additional prerequisite: the `COMPATIBLE` initialization parameter must be set to 10.2.0 or greater.

> **Note:**
>
> There are no special prerequisites to set before using normal restore points.

Restore Points in PDBs

To create restore points in a pluggable database (PDB), the `COMPATIBLE` initialization parameter must be set to 12.2.0 or higher.

7.4 Using Normal and Guaranteed Restore Points

You can create, monitor, and drop both normal and guaranteed restore points.

> **See Also:**
>
> - *Oracle Database SQL Language Reference* for reference information about the SQL `CREATE RESTORE POINT` statement

7.4.1 Creating CDB Restore Points

To create or guaranteed restore points in a multitenant container database (CDB), use the `CREATE RESTORE POINT` SQL command. Provide a name for the restore point and specify whether it is to be a guaranteed restore point or a normal one (the default).

To create a CDB restore point:

1. Ensure that the prerequisites described in Prerequisites for Flashback Database and Restore Points are satisfied.
2. Connect SQL*Plus to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
3. Ensure that the CDB is open or mounted. If the CDB is mounted, then it must have been shut down cleanly (unless it is a physical standby database).
4. Run the `CREATE RESTORE POINT` statement to create a CDB restore point.

   The following command creates a normal CDB restore point:

   ```
   SQL> CREATE RESTORE POINT cdb_before_upgrade;
   ```

   The following command creates a guaranteed CDB restore point:

   ```
   SQL> CREATE RESTORE POINT cdb_grp_before_upgrade GUARANTEE
   FLASHBACK DATABASE;
   ```

> **See Also:**
>
> - About CDB Restore Points

7.4.2 Creating PDB Restore Points

You use the `CREATE RESTORE POINT` SQL statement to create normal PDB restore points, guaranteed PDB restore points, or clean PDB restore points in a pluggable database (PDB).

You can create PDB restore points either when connected to the PDB or to the root. When a PDB uses shared undo, you can create a clean restore point only if the PDB does not have any outstanding transactions.

To create a PDB restore point when connected to the PDB:

1. Ensure that the prerequisites described in Prerequisites for Flashback Database and Restore Points are met.
2. Connect SQL*Plus to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.
3. If you are creating a clean PDB restore point in a CDB that uses shared undo, then the PDB must be closed.

   The following command displays the state of the PDB:

   ```
   SQL> SELECT name, open_mode FROM V$PDBs;
   ```

   Use the following command to close the PDB:

   ```
   SQL> ALTER PLUGGABLE DATABASE CLOSE;
   ```

4. If the multitenant container database (CDB) is in mounted state, then it must have been shut down consistently (unless it is a physical standby database).
5. Set the current container to the PDB.

   The following command sets to current container to the PDB `my_pdb`:

   ```
   SQL> ALTER SESSION SET CONTAINER=my_pdb;
   ```

6. Create a PDB restore point by using the `CREATE RESTORE POINT` command.

   The following command creates a normal PDB restore point:

   ```
   SQL> CREATE RESTORE POINT before_patching;
   ```

   The following command creates a guaranteed PDB restore point:

   ```
   SQL> CREATE RESTORE POINT before_upgrade GUARENTEE FLASHBACK DATABASE;
   ```

   The following command explicitly creates a clean PDB restore point. If a clean restore point cannot be created, then an error is returned.

   ```
   SQL> CREATE CLEAN RESTORE POINT before_patching;
   ```

To create a PDB restore point when connected to the CDB:

1. Ensure that the prerequisites described in Prerequisites for Flashback Database and Restore Points are met.
2. Connect SQL*Plus to the root of the target database as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
3. If you are creating a clean PDB restore point in a CDB that uses shared undo, then the PDB must be closed.

   The following command closes the PDB `my_pdb`:

   ```
   SQL> ALTER PLUGGABLE DATABASE my_pdb CLOSE;
   ```

4. The CDB that contains the PDB can be open or mounted. If the CDB is mounted, then it must have been shut down consistently (unless it is a physical standby database).

   The following commands place the CDB in a mounted state:

   ```
   SQL> SHUTDOWN IMMEDIATE;
   SQL> STARTUP MOUNT;
   ```

5. Set the current container to the root.

   ```
   SQL> ALTER SESSION SET CONTAINER = CDB$ROOT;
   ```

6. Create a PDB restore point by using the `CREATE RESTORE POINT` command with the `FOR PLUGGABLE DATABASE` clause.
   The following command creates a normal PDB restore point:

   ```
   SQL> CREATE RESTORE POINT mypdb_before_patching FOR PLUGGABLE
   DATABASE my_pdb;
   ```

   The following command creates a guaranteed PDB restore point:

   ```
   SQL> CREATE RESTORE POINT mypdb_grp_before_upgrade FOR PLUGGABLE
   DATABASE my_pdb GUARANTEE FLASHBACK DATABASE;
   ```

   The following command explicitly creates a clean PDB restore point (when the PDB is closed and has no pending transactions). If the restore point cannot be created, then an error is displayed.

   ```
   SQL> CREATE CLEAN RESTORE POINT mypdb_crp_before_patching FOR
   PLUGGABLE DATABASE my_pdb;
   ```

See Also:

- About Undo and Flashback Database Operations for PDBs
- About Restore Points in PDBs

7.4.3 Listing Restore Points Using the LIST Command

Use the `LIST` command to list either a specific restore point or all restore points known to the RMAN repository.

The variations of the `LIST` command are as follows:

```
LIST RESTORE POINT restore_point_name;
LIST RESTORE POINT ALL;
```

RMAN indicates the SCN and time of the restore point, the type of restore point, and the name of the restore point. The following example shows sample output:

```
RMAN> LIST RESTORE POINT ALL;

using target database control file instead of recovery catalog
SCN              RSP Time  Type       Time      Name
---------------- --------- ---------- --------- ----
341859           28-APR-15            28-APR-15 NORMAL_RS
343690           28-APR-15 GUARANTEED 28-APR-15 GUARANTEED_RS
```

> **Note:**
>
> The `LIST` command does not display details such as the PDB incarnation number and whether a restore point is a PDB restore point. To view additional details about restore points in a multitenant environment, see Listing Restore Points Using the V$RESTORE_POINT View.

> **See Also:**
>
> "Rewinding a Database with Flashback Database"

7.4.4 Listing Restore Points Using the V$RESTORE_POINT View

You can use the `V$RESTORE_POINT` control file view to obtain information about all currently-defined restore points (normal and guaranteed), including CDB restore points and PDB restore points.

The `V$RESTORE_POINT` view contains additional information about restore points in a multitenant environment that is not displayed by the `LIST RESTORE POINT` command. This includes details such as the incarnation of the pluggable database (PDB) in which a PDB restore point was created and whether a restore point is a PDB restore point or clean PDB restore point.

The following steps display information about PDB restore points for all PDBs in the CDB:

1. Connect SQL*Plus to the target database. If the target database is a multitenant container database (CDB), then connect to the root.
   - To view restore points for all PDBs, you must connect to the root as a common use with the `SYSDBA` or `SYSBACKUP` privilege.

- To view the restore points in a particular PDB, you can connect to that PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

2. Query the `V$RESTORE_POINT` view to display information about restore points.

Example 7-1 Displaying Restore Points in a Multitenant Environment

The following query displays details about all restore points in a multitenant environment (query output formatted to fit in the page):

```
SELECT name, guarantee_flashback_database, pdb_restore_point,
clean_pdb_restore_point, pdb_incarnation#, storage_sizeFROM v$restore_point;

NAME                          GUARANTEE_  PDB_RESTORE_POINT
CLEAN_PDB_RESTORE_POINT  STORAGE_SIZE
--------                      ----------  ----------------
----------------------  ------------
CDB_GRP_BEFORE_PATCH             YES         NO
NO                     84586
PDB_GRP_BEFORE_UPGRADE_TEMP      YES         YES
NO                      4562
CDB_RP1                          NO          NO
NO                            0
PDB1_BEFORE_PATCHING             NO          YES
NO                            0
MYPDB_CLEAN_GRP_BEFORE_UPGRADE   NO          YES
YES                           0
```

For normal restore points, `STORAGE_SIZE` is zero. For guaranteed restore points, `STORAGE_SIZE` indicates the approximate number of bytes of disk space in the fast recovery area that is tied up retaining logs required to guarantee `FLASHBACK DATABASE` to that restore point.

See Also:

- *Oracle Database Reference* for information about `V$RESTORE_POINT`
- Listing Restore Points Using the LIST Command
- Rewinding a Database with Flashback Database
- Performing a Flashback Database Operation
- Performing a Flashback Database Operation for PDBs

7.4.5 Dropping Restore Points

When you are satisfied that you do not need an existing restore point, or when you want to create a restore point with the name of an existing restore point, you can drop the restore point, using the `DROP RESTORE POINT` SQL*Plus statement.

For example:

```
SQL> DROP RESTORE POINT before_app_upgrade;
Restore point dropped.
```

The same statement is used to drop both normal and guaranteed restore points.

> **Note:**
>
> Normal restore points eventually age out of the control file, even if not explicitly dropped. The rules governing retention of restore points in the control file are:
>
> - The most recent 2048 restore points are always kept in the control file, regardless of their age.
> - Any restore point more recent than the value of `CONTROL_FILE_RECORD_KEEP_TIME` is retained, regardless of how many restore points are defined.
>
> Normal restore points that do not meet either of these conditions may age out of the control file.

Guaranteed restore points never age out of the control file. They remain until they are explicitly dropped.

> **See also:**
>
> *Oracle Database SQL Language Reference* for reference information about the SQL `DROP RESTORE POINT` statement

7.5 Using Flashback Database

To use flashback logging for a target database, you must enable Flashback Database. Certain guidelines can be followed to ensure optimal performance of Flashback Database.

This section contains the following topics:

- Enabling Flashback Database
- Disabling Flashback Database Logging
- Configuring the Environment for Optimal Flashback Database Performance
- Monitoring the Effect of Flashback Database on Performance
- About Flashback Writer (RVWR) Behavior with I/O Errors

7.5.1 Enabling Flashback Database

Use the `ALTER DATABASE` command to enable Flashback Database

To enable flashback logging:

1. Configure the recovery area as described in "Enabling the Fast Recovery Area".
2. Ensure the database instance is open or mounted. If the instance is mounted, then the database must be shut down cleanly unless it is a physical standby database. Other Oracle Real Application Clusters (Oracle RAC) instances can be in any mode.

3. Optionally, set the `DB_FLASHBACK_RETENTION_TARGET` to the length of the desired flashback window in minutes:

   ```
   ALTER SYSTEM SET DB_FLASHBACK_RETENTION_TARGET=4320 SCOPE=BOTH; # 3 days
   ```

   By default `DB_FLASHBACK_RETENTION_TARGET` is set to 1 day (1440 minutes).

   > **Note:**
   >
   > This setting must be persistent across database startup and shutdown.

4. Enable the Flashback Database feature for the whole database:

   ```
   ALTER DATABASE FLASHBACK ON;
   ```

5. Optionally, disable flashback logging for specific tablespaces.

   By default, flashback logs are generated for all permanent tablespaces. You can reduce overhead by disabling flashback logging for specific tablespaces as in the following example:

   ```
   ALTER TABLESPACE tbs_3 FLASHBACK OFF;
   ```

   You can re-enable flashback logging for a tablespace later with this command:

   ```
   ALTER TABLESPACE tbs_3 FLASHBACK ON;
   ```

   If you disable Flashback Database for a tablespace, then you must take its data files offline before running `FLASHBACK DATABASE`.

When you enable Flashback Database while the database is open, there is a very small chance the command may not be able to obtain the memory it needs. If the command fails because of that reason, retry the command after a while or retry after a shutdown and restart of the instance.

When you enable Flashback Database on a physical standby database, you can flash back a standby database. Flashback Database of standby databases has some applications in the Data Guard environment.

> **See Also:**
>
> *Oracle Data Guard Concepts and Administration* for details about standby databases

7.5.2 Disabling Flashback Database Logging

Use the `ALTER DATABASE` command to disable Flashback Database.

On a database instance that is either in mount or open state, issue the following command:

```
ALTER DATABASE FLASHBACK OFF;
```

7.5.3 Configuring the Environment for Optimal Flashback Database Performance

Maintaining flashback logs imposes comparatively limited overhead on a database instance. Changed blocks are written from memory to the flashback logs at relatively infrequent, regular intervals, to limit processing and I/O overhead.

To achieve good performance for large production databases with Flashback Database enabled, Oracle recommends the following:

- Use a fast file system for your fast recovery area, preferably without operating system file caching.

  Files that the database creates in the fast recovery area, including flashback logs, are typically large. Operating system file caching is typically not effective for these files, and may actually add CPU overhead for reading from and writing to these files. Thus, it is recommended to use a file system that avoids operating system file caching, such as Automatic Storage Management (ASM).

- Configure enough disk spindles for the file system that holds the fast recovery area.

  For large production databases, multiple disk spindles may be needed to support the required disk throughput for the database to write the flashback logs effectively.

- If the storage system used to hold the fast recovery area does not have nonvolatile RAM, then try to configure the file system on striped storage volumes.

  Use a relatively small stripe size such as 128 KB. This technique enables each write to the flashback logs to be spread across multiple spindles, improving performance.

- For large databases, set the initialization parameter `LOG_BUFFER` to at least 8 MB.

The overhead of logging for Flashback Database depends on the mixture of reads and writes in the database workload. When you have a write-intensive workload, the Flashback Database logging overhead is high since it must log all those database changes. Queries do not change data and thus do not contribute to logging activity for Flashback Database.

7.5.4 Monitoring the Effect of Flashback Database on Performance

Several data analysis methods are available to monitor the Flashback Database workload on your system.

- AWR reports

  The Automatic Workload Repository (AWR) automates database statistics gathering by collecting, processing, and maintaining performance statistics for database problem detection and self-tuning. You can compare AWR reports from before and after the Flashback Database was turned on to monitor performance effects.

- AWR snapshots

  You can review AWR snapshots to pinpoint system usage caused by flashback logging. For example, if `flashback buf free by RVWR` is the top wait event, then you know that Oracle Database cannot write flashback logs very quickly. Therefore, you might want to tune the file system and storage used by the fast recovery area, possibly using a technique described in "Configuring the Environment for Optimal Flashback Database Performance"

- `V$FLASHBACK_DATABASE_STAT` view

  The `V$FLASHBACK_DATABASE_STAT` view shows the bytes of flashback data logged by the database. Each row in the view shows the statistics accumulated (typically over the course of an hour). The `FLASHBACK_DATA` and `REDO_DATA` columns describe bytes of flashback data and redo data written respectively during the time interval, while the `DB_DATA` column describes bytes of data blocks read and written. The columns `FLASHBACK_DATA` and `REDO_DATA` correspond to sequential writes, whereas `DB_DATA` column corresponds to random reads and writes.

- `V$SYSSTAT` view

  Because of the difference between sequential I/O and random I/O, a better indication of I/O overhead is the number of I/O operations issued for flashback logs. The `V$SYSSTAT` statistics shown in Table 7-1 can tell you the number of I/O operations that your instance has issued for various purposes.

Table 7-1 V$SYSSTAT Statistics

Column Name	Column Meaning
Physical write I/O request	The number of write operations issued for writing data blocks
Physical read I/O request	The number of read operations issued for reading data blocks
Redo writes	The number of write operations issued for writing to the redo log
Flashback log writes	The number of write operations issued for writing to flashback logs
Flashback log write bytes	Total size in bytes of flashback database data written from this instance

See Also:

- *Oracle Database Reference* for more details on columns in the `V$SYSSTAT` view
- *Oracle Database Performance Tuning Guide* to learn about AWR
- *Oracle Database Get Started with Performance Tuning* for more information about AWR reports

7.5.5 About Flashback Writer (RVWR) Behavior with I/O Errors

When flashback is enabled or when there are guaranteed restore points, the background process RVWR writes flashback data to flashback database logs in the fast recovery area.

If RVWR encounters an I/O error, then the following behavior is expected:

- If there are any guaranteed restore points defined, then the instance fails when RVWR encounters I/O errors.
- If no guaranteed restore points are defined, then the instance remains unaffected when RVWR encounters I/O errors. Note the following cases:

- On a primary database, Oracle Database automatically disables Flashback Database while the database is open. All existing transactions and queries proceed unaffected. This behavior is expected for both single-instance and Oracle RAC databases.
- On a physical or logical standby, RVWR appears to have stopped responding, retrying the I/O periodically. This may eventually cause the logical standby or the managed recovery of the physical standby to suspend. (Oracle Database does not cause the standby instance to fail because it does not want to cause the primary database to fail in maximum protection mode.) To resolve the issue, you can issue either a `SHUTDOWN ABORT` or an `ALTER DATABASE FLASHBACK OFF` command.

Part III
Backing Up and Archiving Data

The chapters in this part describe how to use the RMAN utility to perform advanced backup and recovery operations, and explain RMAN performance tuning and troubleshooting.

This part contains these chapters:

- RMAN Backup Concepts
- Backing Up the Database
- Backing Up the Database: Advanced Topics

8
RMAN Backup Concepts

Learn about the general concepts that you must understand to make any type of RMAN backup.

8.1 About Consistent and Inconsistent RMAN Backups

Use the RMAN `BACKUP` command to create both consistent and inconsistent backups.

The RMAN `BACKUP` command supports backing up the following types of files:

- Data files and control files
- Server parameter file
- Archived redo logs
- RMAN backups

Although the database depends on other types of files, such as network configuration files, password files, and the contents of the Oracle home, you cannot back up these files with RMAN. Likewise, some features of Oracle Database, such as external tables, may depend upon files other than the data files, control files, and redo log. RMAN cannot back up these files. Use general-purpose backup software such as Oracle Secure Backup to protect files that RMAN does not support.

When you execute the `BACKUP` command in RMAN, the output is always either one or more backup sets or one or more image copies. A backup set is an RMAN-specific proprietary format, whereas an image copy is a bit-for-bit copy of a file. By default, RMAN creates backup sets.

8.1.1 About Consistent RMAN Backups

A consistent backup occurs when the database is in a consistent state. You can use the `BACKUP` command to make consistent backups of the database.

A database is in a consistent state after being shut down with the `SHUTDOWN NORMAL`, `SHUTDOWN IMMEDIATE`, or `SHUTDOWN TRANSACTIONAL` commands. A consistent shutdown guarantees that all redo has been applied to the data files. If you mount the database and make a backup at this point, then you can restore the database backup later and open it without performing media recovery. But you will, of course, lose all transactions that occurred after the backup was created.

8.1.2 About Inconsistent RMAN Backups

Any database backup that is not consistent is an inconsistent backup. A backup made when the database is open is inconsistent, as is a backup made after an instance failure or `SHUTDOWN ABORT` command.

When a database is restored from an inconsistent backup, Oracle Database must perform media recovery before the database can be opened, applying changes from the redo logs that took place after the backup was created.

> **Note:**
>
> RMAN does not permit you to make inconsistent backups when the database is in `NOARCHIVELOG` mode. If you employ user-managed backup techniques for a `NOARCHIVELOG` database, then you must not make inconsistent backups of this database.

If the database runs in `ARCHIVELOG` mode, and you back up the archived redo logs and data files, inconsistent backups can be the foundation for a sound backup and recovery strategy. Inconsistent backups offer superior availability because you do not have to shut down the database to make backups that fully protect the database.

8.2 About Online Backups and Backup Mode

You can create RMAN backups or user-managed backups.

When performing a user-managed backup of an online tablespace or database, an operating system utility can back up a data file at the same time that the database writer (DBWR) is updating the file. It is possible for the utility to read a block in a half-updated state, so that the block that is copied to the backup media is updated in its first half, while the second half contains older data. This type of logical corruption is known as a fractured block, that is, a block that is not consistent with an SCN. If this backup must be restored and the block requires recovery, then recovery fails because the block is not usable.

For third-party snapshot technologies, you must use one of the following techniques to eliminate the risk of creating fractured blocks:

- Ensure that the snapshot technology complies with Oracle requirements for online backups
- Take the database or data files offline
- Place the database in backup mode before using a third-party snapshot backup

Unlike user-managed tools, RMAN does not require extra logging or backup mode because it knows the format of data blocks. RMAN is guaranteed not to back up fractured blocks. During an RMAN backup, a database server session reads each data block and checks whether it is fractured by comparing the block header and footer. If a block is fractured, then the session rereads the block. If the same fracture is found, then the block is considered permanently corrupt. Also, RMAN does not need to freeze the data file header checkpoint because it knows the order in which the blocks are read, which enables it to capture a known good checkpoint for the file.

Related Topics

- Making Backups with Third-Party Snapshot Technologies
- Recovery Using Storage Snapshot Optimization

8.3 About Backup Sets

When you execute the `BACKUP` command in RMAN, you create one or more backup sets or image copies. By default, RMAN creates backup sets regardless of whether the destination is disk or a media manager.

> **Note:**
>
> Data file backup sets are typically smaller than data file image copies and take less time to write.

8.3.1 About Backup Sets and Backup Pieces

RMAN can store backup data in a logical structure called a backup set, which is the smallest unit of an RMAN backup.

A backup set contains the data from one or more data files, archived redo logs, control files, or server parameter file. Backup sets, which are only created and accessed through RMAN, are the only form in which RMAN can write backups to media managers such as tape drives and tape libraries.

A backup set contains one or more binary files in an RMAN-specific format. Each of these files is known as a backup piece. A backup set can contain multiple data files. For example, you can back up 10 data files into a single backup set consisting of a single backup piece. In this case, RMAN creates one backup piece as output. The backup set contains only this backup piece.

If you specify the `SECTION SIZE` parameter on the `BACKUP` command, then RMAN produces a multisection backup. This is a backup of a single large file, produced by multiple channels in parallel, each of which produces one backup piece. Each backup piece contains one file section of the file being backed up.

For non-multisection backups, RMAN only records backup sets in the repository that complete successfully. There is no such thing as a partial backup set. This differs from an unsuccessful multisection backup, where it is possible for RMAN metadata to contain a record for a partial backup set. In the latter case, you must use the `DELETE` command to delete the partial backup set.

> **Note:**
>
> RMAN never considers partial backups as candidates for restore and recovery.

Related Topics

- Backing Up the Database
  Use the BACKUP command to back up all or part of your database.

8.3.2 About RMAN Block Compression for Backup Sets

RMAN can use block compression when creating backup sets.

The following types of block compression are available:

- Unused Block Compression (Supports disk backup and Oracle Secure Backup tape backup)
- Null Block Compression (Supports all backups)

RMAN block compression is not traditional binary compression. Rather, it is a set of techniques that RMAN uses to altogether avoid backing up certain blocks that are not needed in this backup.

8.3.2.1 About Unused Block Compression

When employing unused block compression, RMAN skips reading, and backing up, any database blocks that are not currently allocated to some database object. This is regardless of whether those blocks had previously been allocated.

So if a database table is dropped, RMAN will not back up the space that was occupied by that table until new objects are created in that space.

Unused block compression is used automatically when the following conditions are true:

- The `COMPATIBLE` initialization parameter is set to 10.2 or higher.
- There are currently no guaranteed restore points defined for the database.
- The data file is locally managed.
- The data file is being backed up to a backup set as part of a full backup or a level 0 incremental backup.
- The backup set is created on disk, or Oracle Secure Backup is the media manager.

8.3.2.2 About Null Block Compression

When employing null block compression, RMAN omits from its output any block that has never contained data.

Null block compression is always used with level 0 or full backups that are created in backup set format.

8.3.3 About Binary Compression for RMAN Backup Sets

RMAN supports binary compression of backup sets. Binary compression is only enabled when you specify `AS COMPRESSED BACKUPSET` in the `BACKUP` command, or one-time with the `CONFIGURE DEVICE TYPE [DISK | SBT] BACKUP TYPE TO COMPRESSED BACKUPSET` command.

You have two binary compression options:

- You can use the `BASIC` compression algorithm, which does not require the Oracle Advanced Compression option. This setting offers a compression ratio comparable to `MEDIUM`, at the expense of additional CPU consumption.
- If you have enabled the Oracle Advanced Compression option, you can choose from the compression levels outlined in "About Oracle Advanced Compression Option".

Related Topics

- About Oracle Advanced Compression Option
- Configuring Compression Options
  RMAN supports precompression processing and binary compression of backup sets. The `CONFIGURE COMPRESSION ALGORITHM` command enables you to configure compression options.
- *Oracle Database Backup and Recovery Reference*

8.3.4 About RMAN Backup Undo Optimization

In backup undo optimization, RMAN excludes undo not needed for recovery of a backup, that is, for transactions that have been committed.

Backup undo optimization works for disk backups and Oracle Secure Backup tape backups. Unlike backup optimization, backup undo optimization is not configurable.

8.3.5 About Encryption for RMAN Backup Sets

RMAN supports backup encryption for backup sets. You can use keystore-based transparent encryption, password-based encryption, or both.

Starting with Oracle Database 23c, RMAN supports enhanced security for backups. If the `COMPATIBLE` initialization parameter is set to 23.0.0 or higher, then RMAN is preconfigured to use AES256 (XTS) as the default encryption algorithm for new RMAN backups.

You can use the `CONFIGURE ENCRYPTION ALGORITHM` command to change the encryption algorithm to AES128 (XTS). Alternatively, you can explicitly override the RMAN encryption setting using the `SET ENCRYPTION` command at the RMAN session level.

Starting with Oracle Database 23c, when you backup an existing backupset, you can change the encryption for the new backup based on the encryption algorithm configured in RMAN settings. The primary benefit of this feature is that when you copy your existing on-premise database backups to Oracle cloud, you can encrypt the new backups using the latest backup encryption practices configured in RMAN settings. You can also encrypt backups of existing unencrypted backupsets, and then copy the new backups to Oracle Cloud.

Use the `SET ENCRYPTION ON` command before the `BACKUP BACKUPSET` command to specify that RMAN must encrypt a new backup using the encryption algorithm configured in RMAN settings. Use the `SET ENCRYPTION OFF` command before the `BACKUP BACKUPSET` command if you want to disable encryption for new backups of existing backupsets.

If the `COMPATIBILITY` initialization parameter is set to 23.0 or higher, RMAN is configured to use the AES256 (XTS) encryption algorithm for new backups. Consider these examples that describe how you can create backups with new encryption properties.

- Assume that you want to create a new backup of an existing password mode backupset that uses AES128 (CFB) encryption. Password mode encrypted backups require that you specify the correct password to decrypt and reencrypt the new backups.

Use the `SET ENCRYPTION ON` command along with the `SET DECRYPTION IDENTIFIED BY *password*` option, and then specify the `BACKUP BACKUPSET` command to create a new backup. RMAN creates a new password mode backup with AES256 (XTS) encryption.

- Consider that you want to create a new backup of an existing transparent mode backupset that uses AES128 (CFB) encryption.
  You must ensure that the Oracle software keystore is open. When you run the `SET ENCRYPTION ON FOR BACKUP BACKUPSET` command, RMAN creates a new transparent mode backup with AES256 (XTS) encryption.
- Consider that you want to create a new backup of an existing dual-mode backupset that uses AES128 (CFB) encryption. You must ensure that the Oracle software keystore is open. Use the `SET ENCRYPTION ON` command along with the `SET ENCRYPTION IDENTIFIED BY <*password*>` option, and then run the `BACKUP BACKUPSET` command to create a new backup. RMAN will create a new dual-mode backup with AES256 (XTS) encryption.

If the `COMPATIBLE` initialization parameter is lower than 23.0.0, then the `SET ENCRYPTION ON` command specifies that RMAN must create new backups using a different AES-CFB encryption algorithm (AES192, AES128, or AES256) configured in the RMAN settings.

For example, consider that you want to create a new backup of an existing transparent mode backupset that uses AES128 (CFB) encryption. Assume that AES256 (CFB) is the current value configured in the RMAN settings. Use the `SET ENCRYPTION ON FOR BACKUP BACKUPSET` command to create a new transparent mode backup with AES256 (CFB mode) encryption.

RMAN only creates an extra copy or a new backup with the same encryption properties of the original backupset, if any of these conditions are true:

- You run only the `BACKUP BACKUPSET` command to create a new backup of a backupset
- You run the `SET ENCRYPTION ON` command along with the `BACKUP BACKUPSET` command in a scenario where the encryption properties of an existing backupset matches the encryption setting in the RMAN configuration

> **Note:**
>
> Keystore-based encryption is more secure than password-based encryption because no passwords are involved. Use password-based encryption only when it is absolutely necessary because your backups must be transportable.

To create encrypted backups on disk with RMAN, the database must use the Advanced Security Option. For encrypted RMAN backups directly to tape, the Oracle Secure Backup SBT is the only supported interface.

Related Topics

- Configuring Backup Encryption
  For improved security, you can configure backup encryption for RMAN backup sets. Encrypted backups cannot be read if they are obtained by unauthorized users.
- Encrypting RMAN Backups
  You can protect RMAN backup sets with backup encryption. Encrypted backups cannot be read if they are obtained by unauthorized users.
- Copying a Backupset with a New Encryption Algorithm
  When you backup a backupset, the new backup can either retain the same encryption properties as the original backupset, or use a new encryption algorithm set in the RMAN configuration. The original backupset will continue to retain the same encryption properties.

8.3.6 About File Names for RMAN Backup Pieces

You can either let RMAN determine a unique name for backup pieces or use the `FORMAT` clause to specify a name.

If you do not specify the `FORMAT` parameter, then RMAN automatically generates a unique file name with the `%U` substitution variable in the default backup location.

An example of RMAN generating an SBT backup piece name by `%U` is:

```
2i1nk47_1_1
```

An example of a non-Oracle Managed File (OMF) backup piece on disk is:

```
/backups/TEST/2i1nk47_1_1
```

The `FORMAT` clause supports substitution variables other than `%U` for generating unique file names. For example, you can use `%d` to generate the name of the database, `%I` for the DBID, `%t` for the time stamp, and so on.

You can specify up to four `FORMAT` parameters. If you specify multiple `FORMAT` parameters, then RMAN uses the multiple `FORMAT` parameters only when you specify multiple copies. You can create multiple copies by using the `BACKUP ... COPIES`, `SET BACKUP COPIES`, or `CONFIGURE ... BACKUP COPIES` commands.

> **Note:**
>
> If you use a media manager, then check your vendor documentation for restrictions on `FORMAT`, such as the size of the name, the naming conventions, and so on.

Related Topics

- Specifying a Format for RMAN Backups
  RMAN provides a range of options to name the files generated by the `BACKUP` command.
- *Oracle Database Backup and Recovery Reference*

8.3.7 About Number and Size of RMAN Backup Pieces

By default a backup set contains one backup piece. To restrict the size of each backup piece, specify the `MAXPIECESIZE` option of the `CONFIGURE CHANNEL` or `ALLOCATE CHANNEL` commands.

The `MAXPIECESIZE` option limits backup piece size to the specified number of bytes. If the total size of the backup set is greater than the specified backup piece size, then RMAN creates multiple physical pieces to hold the backup set contents.

You can use this option for media managers that cannot manage a backup piece that spans multiple tapes. For example, if a tape can hold 10 GB, but the backup set being created must hold 80 GB of data, then you must instruct RMAN to create backup pieces of 10 GB, small enough to fit on the tapes used with the media manager. In this case, the backup set media consists of eight tapes. Media managers supporting SBT 2.0 can return a value to RMAN indicating the largest supported backup piece size, which RMAN uses in planning backup activities.

If you specify the `SECTION SIZE` parameter on the `BACKUP` command, then RMAN can create a multisection backup. In this case, a single backup set can contain multiple backup pieces, each containing a file section. The purpose of multisection backups is to enable multiple channels to back up a large file in parallel.

Related Topics

- Configuring the Maximum Size of Backup Pieces
  Backup piece size is an issue when it exceeds the maximum file size permitted by the file system or media management software. You can use the `MAXPIECESIZE` parameter of the `CONFIGURE CHANNEL` or `ALLOCATE CHANNEL` command to limit the size of backup pieces.

8.3.8 About Number and Size of RMAN Backup Sets

You use the *`backupSpec`* clause of the `BACKUP` command to specify the objects to be backed up. Each *`backupSpec`* clause produces at least one backup set.

The total number and size of backup sets depends mostly on an internal RMAN algorithm. However, you can influence RMAN behavior with the `MAXSETSIZE` parameter in the `CONFIGURE` or `BACKUP` command. By limiting the size of the backup set, the parameter indirectly limits the number of files in the set and can possibly force RMAN to create additional backup sets. Also, you can specify `BACKUP ... FILESPERSET` to specify the maximum number of files in each backup set.

Related Topics

- About Backup Set Size
  The `MAXSETSIZE` parameter of the `BACKUP` command specifies a maximum size for a backup set in units of bytes (default), kilobytes, megabytes, or gigabytes.
- *Oracle Database Backup and Recovery Reference*

8.3.9 About Multiplexed RMAN Backup Sets

When creating backup sets, RMAN can simultaneously read multiple files from disk and then write their blocks into the same backup set. The combination of blocks from multiple files is called backup multiplexing.

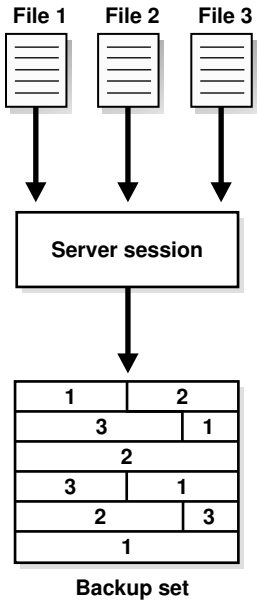
For example, RMAN can read from two data files simultaneously, and then combine the blocks from these data files into a single backup piece.

> **Note:**
>
> If RMAN creates a multisection backup of a data file, then the data file is not multiplexed with any other data file or file section.

Image copies, by contrast, are never multiplexed.

As Figure 8-1 illustrates, RMAN can back up three data files into a backup set that contains only one backup piece. This backup piece contains the intermingled data blocks of the three input data files.

Figure 8-1 Data File Multiplexing



RMAN multiplexing is determined by several factors. For example, the `FILESPERSET` parameter of the `BACKUP` command determines how many data files to put in each backup set. The `MAXOPENFILES` parameter of `ALLOCATE CHANNEL` or `CONFIGURE CHANNEL` defines how many data files RMAN can read from simultaneously. The basic multiplexing algorithm is as follows:

- Number of files in each backup set

  This number is the minimum of the `FILESPERSET` setting and the number of files read by each channel. The `FILESPERSET` default is 64.

- The level of multiplexing

  This is the number of input files simultaneously read and then written into the same backup piece. The level of multiplexing is the minimum of `MAXOPENFILES` and the number of files in each backup set. The `MAXOPENFILES` default is 8.

Suppose that you back up 12 data files with one channel when `FILEPERSET` is set to 4. The level of multiplexing is the lesser of this number and 8. Thus, the channel simultaneously writes blocks from 4 data files into each backup piece.

Now suppose that you back up 50 data files with one channel. The number of files in each backup set is 50. The level of multiplexing is the lesser of this number and 8. Thus, the channel simultaneously writes blocks from 8 data files into each backup piece.

RMAN multiplexing of backup sets is different from media manager multiplexing. One type of media manager multiplexing occurs when the media manager writes the concurrent output from multiple RMAN channels to a single sequential device. Another type occurs when a backup mixes database files and non-database files on the same tape.

> **Note:**
>
> Oracle recommends that you never use media manager multiplexing for RMAN backups.

Related Topics

- Allocation of Input Disk Buffers
  During a backup, an RMAN channel reads the blocks from the input files into I/O disk buffers. The database files on the disk subsystem can be managed by either Automatic Storage Management (ASM) or an alternative volume manager or file system. The considerations for backup tuning change depending on whether you manage database files with ASM.

8.3.10 About RMAN Proxy Copies

During a proxy copy, RMAN turns over control of the data transfer to a media manager that supports this feature. The `PROXY` option of the `BACKUP` command specifies that a backup is a proxy copy.

Proxy copy can only be used with media managers that support it and cannot be used with channels of type `DISK`.

For each file that you attempt to back up with the `BACKUP PROXY` command, RMAN queries the media manager to determine whether it can perform a proxy copy. If the media manager cannot proxy copy the file, then RMAN backs up the file as if the `PROXY` option had not been used. (Use the `PROXY ONLY` option to force RMAN to fail if a proxy copy cannot be performed.)

Control files are never backed up with proxy copy. If the `PROXY` option is specified on an operation backing up a control file, then it is silently ignored for the purposes of backing up the control file.

Related Topics

- BACKUP

8.4 About RMAN Image Copies

An image copy is an exact copy of a single data file, archived redo log file, or control file.

Image copies are not stored in an RMAN-specific format. They are identical to the results of copying a file with operating system commands. RMAN can use image copies during RMAN restore and recover operations, and you can also use image copies with non-RMAN restore and recovery techniques.

8.4.1 About RMAN-Created Image Copies

To create image copies and have them recorded in the RMAN repository, you run the RMAN `BACKUP AS COPY` command.

Alternatively, you can configure the default backup type for disk as image copies. A database server session is used to create the copy. The server session also performs actions such as validating the blocks in the file and recording the image copy in the RMAN repository.

As with backup pieces, `FORMAT` variables are used to specify the names of image copies. The default format `%U`, which was explained in "About File Names for RMAN Backup Pieces", is defined differently for image copies. The following example shows the name for data file `2` generated by `%U`:

```
/d1/oracle/work/orcva/RDBMS/datafile/o1_mf_sysaux_2qylngm3_.dbf
```

When creating image copies, you can also name the output copies with the `DB_FILE_NAME_CONVERT` parameter of the `BACKUP` command. This parameter works identically to the `DB_FILE_NAME_CONVERT` initialization parameter. Pairs of file name prefixes are provided to change the names of the output files. If a file is not converted by any of the pairs, then RMAN uses the `FORMAT` specification: if no `FORMAT` is specified, then RMAN uses the default format `%U`.

Example 8-1 Specifying File Names with DB_FILE_NAME_CONVERT

This example copies the data files whose file name is prefixed with `/maindisk/oradata/users` so that they are prefixed with `/backups/users_ts`.

```
BACKUP AS COPY
  DB_FILE_NAME_CONVERT ('/maindisk/oradata/users',
                        '/backups/users_ts')
  TABLESPACE users;
```

If you run a `RESTORE` command, then by default RMAN restores a data file or control file to its original location by copying an image copy backup to that location. Image copies are chosen over backup sets because of the extra overhead of reading through an entire backup set in search of files to be restored.

If you must restore and recover a current data file, and if you have an image copy available on disk, then you do not need to have RMAN copy the image copy back to its old location.

Instead, you can use the image copy in place as a replacement for the data file to be restored as described in "Performing Complete Recovery After Switching to a Copy".

Related Topics

- Performing Complete Recovery After Switching to a Copy
  You can recover a database by switching to image copies of inaccessible data files. This technique takes less time than traditional restore and recovery because no backups need to be restored.
- Specifying Backup Set or Copy for an RMAN Backup to Disk
  RMAN can create backups on disk as image copies or as backup sets.
- *Oracle Database Backup and Recovery Reference*

8.4.2 About User-Managed Image Copies

RMAN can use image copies created by mechanisms outside of RMAN, such as native operating system file copy commands or third-party utilities that leave image copies of files on disk. This type of copy is known as a user-managed backup or operating system backup.

You can use the `CATALOG` command to inspect an existing image copy and enter its metadata into the RMAN repository. However, the `CATALOG` command does not do the following:

- Read all blocks in the data file copy to ensure there are no corruptions
- Guarantee that the image copy was correctly made in backup mode

After you catalog these files, you can use them with the `RESTORE` or `SWITCH` commands just as you can for RMAN-generated image copies.

Some sites store their data files on mirrored disk volumes, which permit the creation of image copies by breaking a mirror. After you have broken the mirror, you can notify RMAN of the existence of a new user-managed copy, thus making it eligible for a backup. You must notify RMAN when the copy is no longer available by using the `CHANGE...UNCATALOG` command.

Related Topics

- Making User-Managed Database Backups
  You can back up an Oracle database in a user-managed backup and recovery strategy, that is, a strategy that does not depend on using Recovery Manager (RMAN).
- Adding Backup Records to the RMAN Repository
  You can use the `CATALOG` command to make RMAN aware of the existence of archived logs not recorded in the repository or copies of database files that are created through means other than RMAN.
- *Oracle Database Backup and Recovery Reference*

8.5 About Sparse Backups

Use the RMAN `BACKUP` command to back up data blocks from sparse data files, tablespaces containing sparse data files, sparse pluggable databases (PDBs), and multitenant container databases (CDBs) containing sparse PDBs.

Sparse backups help in efficiently managing storage space and facilitate faster backup and recovery. To perform sparse backup and recovery operations, your database must have the `COMPATIBLE` initialization parameter set to 12.2 or higher.

A sparse data file is a logical Oracle object that is created as a shadow of a base data file object and is stored in a physical storage space known as delta space. For instance, consider a sparse database `DB0` that is created from a base database `DB`. In a sparse environment, it is mandatory that the base objects must be read-only. Unlike the base database, sparse databases are read-write databases. In this case, `DB`, a read-only database, consists of 5 data files. `DB0` recreates the logical versions of each of these 5 base data files and assigns a separate delta storage space for each individual file. When the sparse database `DB0` updates a data block in one of the sparse data files, only the updated block is logically stored in the delta space of that modified data file. When you choose to perform a sparse backup on `DB0`, the operation will copy data only from the delta storage space of the database and the delta space of the sparse data files. A sparse backup can either be in the backup set format (default) or the image copy format. RMAN restores sparse data files from sparse backups and then recovers them from archive and redo logs. You can perform a complete or a point-in-time recovery on sparse data files.

When the `COMPATIBLE` initialization parameter is set to 12.2 or higher, and you perform a full or level 0 incremental backup on a non-sparse (normal) data file, then RMAN performs a traditional full or level 0 incremental backup of the specified data file. If you perform a full or level 0 incremental backup on a sparse data file, then RMAN performs a backup only of all the latest changes from the delta storage space of that particular data file. Sparse backups can be encrypted.

If the `COMPATIBLE` initialization parameter is set to a value that is less than 12.2, RMAN backup and recovery operations are not influenced by the sparseness of a data file.

> **Note:**
>
> The base (read-only) data files in a sparse database are not encrypted. Ensure that the base data files are stored in a protected storage and accessed using secured communications.

Sparse Backup of Database Files located in an ACFS Snapshot or fshare

When a database file is located inside an Oracle Advanced Cluster File System (Oracle ACFS) snapshot, or when a database file is an fshare, RMAN can be used to back up these database files as a sparse backup.

Use the RMAN `BACKUP` command to back up data blocks from data files, tablespaces, pluggable databases (PDBs), and multitenant container databases (CDBs) located inside an ACFS snapshot or fshare.

For example, a PDB snapshot copy on ACFS, uses an ACFS snapshot or fshares to implement a space-efficient snapshot of the source PDB. When you choose to perform a sparse backup of a PDB snapshot copy, the operation will copy only those blocks which are changed in the PDB snapshot copy. The file in the source of the snapshot or fshare must remain unchanged since the snapshot or fshare was created. You can also perform a sparse backup of a CDB or PDB that was manually created inside a read-write ACFS snapshot. The file being backed up can be either in an ACFS snapshot or be an fshare, but not both.

See, Understanding Oracle ACFS Advanced Topics in the *Oracle Advanced Cluster File System Administrator's Guide* for detailed instructions to perform an RMAN sparse backup and restore of a PDB using an ACFS snapshot or an ACFS fshare.

Related Topics

- Backing Up Sparse Databases with RMAN
  Use the `BACKUP` command to back up sparse databases.
- How to perform an RMAN sparse backup and restore of a PDB using an ACFS fshare
- How to perform an RMAN sparse backup and restore of a PDB using an ACFS snapshot

8.6 About Preplugin Backups

A preplugin backup is an RMAN backup of a non-CDB or a PDB that was created before that non-CDB or PDB was plugged in to a different CDB.

The CDB into which the non-CDB or PDB is plugged in is referred to as the destination CDB. Preplugin backups can be used for PDB restore and recovery operations in destination CDB. To perform media recovery, all the required archive redo logs must be included in the preplugin backup.

For preplugin backups to be usable in the destination CDB, metadata about the preplugin backups must be exported to the RMAN repository of the destination CDB. When a PDB is unplugged from a source CDB, the required metadata is stored in the XML file created during the unplug operation. With non-CDBs, metadata required to make preplugin backups usable in the destination CDB is added to the non-CDB's data dictionary using the `DBMS_PDB.EXPORTRMANBACKUP()` procedure.

Preplugin backups are usable only on the destination CDB into which the source non-CDB or PDB are plugged in. For example, assume that you migrate a PDB from the CDB `src_cdb` to the CDB `stage_cdb`. Subsequently, you migrate the source PDB from the CDB `stage_cdb` to the CDB `prod_cdb`. The PDB backups created on the CDB `src_pdb` are accessible to CDB `stage_pdb`. However, the CDB `prod_cdb` can only access the PDB backups created on the CDB `stage_cdb`, not the PDB backups created on the CDB `src_cdb`.

Related Topics

- Creating Preplugin Backups of PDBs Using RMAN
  Use preplugin backups of a PDB to perform restore and recovery operations after the PDB is migrated and plugged in to a different destination CDB. You can create preplugin backups of PDBs to disk or tape.
- Creating a Preplugin Backup of the Whole Database
  Preplugin backups ensure that an RMAN backup is usable after a non-CDB is plugged in as a PDB into a CDB. Preplugin backups can be either tape and disk backups.

8.7 About Multiple Copies of RMAN Backups

RMAN enables you to make multiple, identical copies of backups.

Use one of the following ways:

- Duplex backups with the `BACKUP ... COPIES` command, in which case RMAN creates multiple copies of each backup set
- Back up your files as backup sets or image copies, and then back up the backup sets or image copies with the RMAN `BACKUP BACKUPSET` or `BACKUP COPY OF` commands

8.7.1 About Duplexed Backup Sets

When backing up data files, archived redo log files, server parameter files, and control files into backup pieces, RMAN can create a duplexed backup set, producing up to four identical copies of each backup piece in the backup set on different backup destinations with one `BACKUP` command.

Duplexing is not supported for backup operations that produce image copies.

You can use the `COPIES` parameter in the `CONFIGURE`, `SET`, or `BACKUP` commands to specify duplexing of backup sets when using the `BACKUP` command. RMAN can duplex backups to either disk or tape, but cannot duplex backups to tape and disk simultaneously. When backing up to tape, ensure that the number of copies does not exceed the number of available tape devices.

The `FORMAT` parameter of the `BACKUP` command specifies the destinations for duplexed backups. The following example creates three copies of the backup of data file 7:

```
BACKUP DEVICE TYPE DISK COPIES 3 DATAFILE 7
  FORMAT '/disk1/%U','?/oradata/%U','?/%U';
```

RMAN places the first copy of each backup piece in `/disk1`, the second in `?/oradata`, and the third in the Oracle home. RMAN does not produce three backup sets, each with a different unique backup set key. Rather, RMAN produces one backup set with a unique key, and generates three identical copies of each backup piece in the set.

Related Topics

- Configuring Backup Duplexing
  Use the `CONFIGURE ... BACKUP COPIES` command to specify how many copies of each backup piece are created on the specified device type for the specified type of file. This type of backup is known as a duplexed backup set.
- Duplexing Backup Sets
  RMAN can make up to four copies of a backup set simultaneously, each an exact duplicate of the others.

8.7.2 About Backups of RMAN Backups

You can use the `BACKUP` command to back up existing backup sets and image copies. Backing up existing backups enables you to make multiple, identical copies of RMAN backups.

8.7.2.1 Backups of Backup Sets

The RMAN `BACKUP BACKUPSET` command backs up backup sets. The command is a useful way to spread backups among multiple media.

If RMAN discovers that one copy of a backup set is corrupted or missing, then it searches for other copies of the same backup set. This behavior is similar to the behavior of RMAN when backing up archived redo logs that exist in multiple archiving destinations.

Starting with Oracle Database Release 18c, while backing up backup sets, you can compress backup sets that were not previously compressed by using the `BACKUP AS COMPRESSED BACKUPSET` command.

In previous releases, you used the `BACKUP BACKUPSET` command with the `DEVICE TYPE` clause to copy backups from disk to tape, or from disk to disk.

Starting with Oracle Database 23c, RMAN enables you to backup backupsets from tape to tape, or from tape to disk.

You begin by manually allocating a channel using the `ALLOCATE CHANNEL` command along with the `DEVICE TYPE` clause and the `INPUT DEVICE PARMS` clause. The `DEVICE TYPE` clause is used to specify the disk or tape location to store the new backups. The `INPUT DEVICE PARMS` specifies the input tape location of the source backupsets.

Example 8-2 Backing up Backupsets from Tape to Disk

The following example backs up all the backupsets on the input tape device, and creates the new backups on disk. The `INPUT DEVICE PARMS` specifies the parameters for the source SBT media that contains the source backupsets to be backed up to the disk location.

```
RUN
{
ALLOCATE CHANNEL t1
DEVICE TYPE DISK
INPUT DEVICE PARMS = 'SBT_LIBRARY=oracle.oci';
BACKUP BACKUPSET ALL;
}
```

Example 8-3 Backing up Backupsets from Tape to Tape

The following example backups up all the backupsets on the input tape device and creates the new backups on another tape device. The `INPUT DEVICE PARMS` specifies the parameters for the source SBT media that contains the source backupsets to be backed up. The `DEVICE TYPE` clause specifies the tape location to store the new backups.

```
RUN
{
ALLOCATE CHANNEL t1
DEVICE TYPE sbt PARMS ='SBT_LIBRARY=oracle.zdlra';
INPUT DEVICE PARMS = 'SBT_LIBRARY=oracle.oci';
BACKUP BACKUPSET ALL;
}
```

Example 8-4 Backing Up Backup Sets to Tape

This example shows how you might run the `BACKUP` command weekly as part of the production backup schedule. In this way, you ensure that all your backups exist on both disk and tape.

```
BACKUP DEVICE TYPE DISK AS BACKUPSET
  DATABASE PLUS ARCHIVELOG;
BACKUP
```

```
DEVICE TYPE sbt
BACKUPSET ALL; # copies backup sets on disk to tape
```

> **Note:**
>
> Backups to `sbt` that use automatic channels require that you first run the `CONFIGURE DEVICE TYPE sbt` command.

Example 8-5 Managing Space Allocation

You can also use `BACKUP BACKUPSET` to manage backup space allocation. This example backs up backup sets that were created more than a week ago from disk to tape, and then deletes them from disk.

```
BACKUP
  DEVICE TYPE sbt
  BACKUPSET COMPLETED BEFORE 'SYSDATE-7'
  DELETE INPUT;
```

`DELETE INPUT` here is equivalent to `DELETE ALL INPUT`. RMAN deletes all existing copies of the backup set. If you duplexed a backup to four locations, then RMAN deletes all four copies of the pieces in the backup set.

Related Topics

- Copying a Backupset with a New Encryption Algorithm
  When you backup a backupset, the new backup can either retain the same encryption properties as the original backupset, or use a new encryption algorithm set in the RMAN configuration. The original backupset will continue to retain the same encryption properties.
- About Backup Encryption
  The `V$RMAN_ENCRYPTION_ALGORITHMS` view contains a list of encryption algorithms supported by RMAN.
- Backing Up RMAN Backups

8.7.2.2 Backups of Image Copies

You can use the `BACKUP COPY OF` command to back up existing image copies of database files either as backup sets or as image copies. When using this command, an image copy of every data file specified in the command must exist. If there are multiple copies of a data file, then the latest one is used. If you specify a tablespace or the whole database, then RMAN issues an error if there are data files in the database or tablespace for which there are no image copy backups.

8.8 About RMAN Control File and Server Parameter File Autobackups

Having recent backups of your control file and server parameter file is extremely valuable in many recovery situations. To ensure that you have backups of these files, the database supports control file and server parameter file autobackups.

The autobackup occurs independently of any backup of the current control file explicitly requested as part of your `BACKUP` command. With a control file autobackup, RMAN can recover the database even if the current control file, recovery catalog, and server parameter file are inaccessible. Because the path used to store the autobackup follows a well-known format, RMAN can search for and restore the server parameter file from that autobackup. After you have started the instance with the restored server parameter file, RMAN can restore the control file from the autobackup. After you mount the control file, use the RMAN repository in the mounted control file to restore the data files.

It is recommended that you turn on control file autobackups. Otherwise, RMAN point-in-time recovery may not work effectively when it needs to undo data file additions or deletions.

8.8.1 When RMAN Performs Control File Autobackups

Depending on the configuration of the target database, RMAN can perform autobackups of the control file and server parameter file.

For CDBs and standalone databases with the `COMPATIBLE` initialization parameter set to 12.0 or higher, by default, the control file autobackup is turned on.

If the database runs in `ARCHIVELOG` mode, RMAN makes control file autobackups when a structural change to the database affects the contents of the control file.

> **Note:**
>
> Beginning with Oracle Database Release 11*g* Release 2, RMAN takes only one control file autobackup when multiple structural changes contained in a script (for example, adding tablespaces, altering the state of a tablespace or data file, adding a new online redo log, renaming a file, and so on) have been applied.

8.8.2 How RMAN Performs Control File Autobackups

The first channel allocated during the backup job creates the autobackup and places it into its own backup set. For autobackups after database structural changes, the server process associated with the structural change makes the backup.

If a server parameter file is in use by the database, then RMAN backs it up in the same backup set as the control file autobackup. After the autobackup completes, the database writes a message containing the complete path of the backup piece and the device type to the alert log located in the Automatic Diagnostic Repository (ADR).

> **Note:**
>
> Control file autobackups are never duplexed.

The control file autobackup file name has a default format of `%F` for all device types, so that RMAN can determine the file location and restore it without a repository. You can

specify a different format with the `CONFIGURE CONTROLFILE AUTOBACKUP FORMAT` command, but all autobackup formats must include the `%F` variable. If you do not use the default format, then during disaster recovery you must specify the format that was used to generate the autobackups. Otherwise, RMAN cannot restore the autobackup.

Related Topics

- Configuring Control File and Server Parameter File Autobackups
  You can configure RMAN to automatically back up the control file and server parameter file. The autobackup occurs whenever a backup record is added.

8.9 About RMAN Incremental Backups

An incremental backup copies only those data blocks that have changed since a previous backup. You can use RMAN to create incremental backups of data files, tablespaces, or the whole database.

By default, RMAN makes full backups. A full backup of a data file includes every allocated block in the file being backed up. A full backup of a data file can be an image copy, in which case every data block is backed up. It can also be stored in a backup set, in which case data file blocks not in use may be skipped.

A full backup has no effect on subsequent incremental backups. Image copies are always full backups because they include every data block in a data file. A backup set is by default a full backup because it can potentially include every data block in a data file, although umused block compression means that blocks never used are excluded and, in some cases, currently unused blocks are excluded.

A full backup cannot be part of an incremental backup strategy; that is, it cannot be the parent for a subsequent incremental backup.

Related Topics

- About RMAN Block Compression for Backup Sets
  RMAN can use block compression when creating backup sets.

8.9.1 About Multilevel Incremental Backups

RMAN can create multilevel incremental backups. Each incremental level is denoted by a value of 0 or 1.

A level 0 incremental backup, which is the base for subsequent incremental backups, copies all blocks containing data. The only difference between a level 0 incremental backup and a full backup is that a full backup is never included in an incremental strategy. Thus, an incremental level 0 backup is a full backup that happens to be the parent of incremental backups whose level is greater than 0.

A level 1 incremental backup can be either of the following types:

- A differential incremental backup, which backs up all blocks changed after the most recent incremental backup at level 1 or 0
- A cumulative incremental backup, which backs up all blocks changed after the most recent incremental backup at level 0

Incremental backups are differential by default.

Incremental backups at level 0 can be either backup sets or image copies, but incremental backups at level 1 can only be backup sets.

> **Note:**
>
> Cumulative backups are preferable to differential backups when recovery time is more important than disk space, because fewer incremental backups must be applied during recovery.

The size of the backup file depends solely upon the number of blocks modified, the incremental backup level, and the type of incremental backup (differential or cumulative).

Related Topics

- About Differential Incremental Backups
  In a differential level 1 backup, RMAN backs up all blocks that have changed since the most recent incremental backup at level 1 (cumulative or differential) or level 0.
- About Cumulative Incremental Backups
  In a cumulative level 1 backup, RMAN backs up all blocks used since the most recent level 0 incremental backup in either the current or parent incarnation.

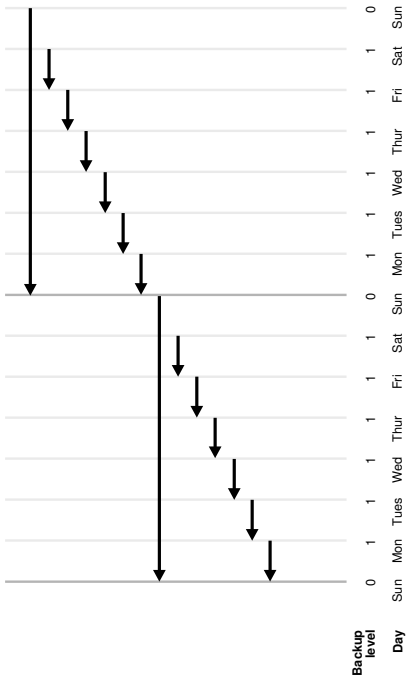
8.9.1.1 About Differential Incremental Backups

In a differential level 1 backup, RMAN backs up all blocks that have changed since the most recent incremental backup at level 1 (cumulative or differential) or level 0.

For example, in a differential level 1 backup, RMAN determines which level 1 backup occurred most recently and backs up all blocks modified after that backup. If no level 1 is available, then RMAN copies all blocks changed since the base level 0 backup.

If no level 0 backup is available in either the current or parent incarnation, then the behavior varies with the compatibility mode setting. If compatibility is >=10.0.0, RMAN copies all blocks that have been changed since the file was created. Otherwise, RMAN generates a level 0 backup.

Figure 8-2 Differential Incremental Backups



In the example shown in Figure 8-2, the following activity occurs each week:

- Sunday

  An incremental level 0 backup backs up *all* blocks that have ever been in use in this database.

- Monday through Saturday

  On each day from Monday through Saturday, a differential incremental level 1 backup backs up all blocks that have changed since the most recent incremental backup at level 1 or 0. The Monday backup copies blocks changed since Sunday level 0 backup, the Tuesday backup copies blocks changed since the Monday level 1 backup, and so forth.

8.9.1.2 About Cumulative Incremental Backups

In a cumulative level 1 backup, RMAN backs up all blocks used since the most recent level 0 incremental backup in either the current or parent incarnation.

Cumulative incremental backups reduce the work needed for a restore operation by ensuring that you only need one incremental backup from any particular level. Cumulative backups require more space and time than differential backups because they duplicate the work done by previous backups at the same level.

In the example shown in Figure 8-3, the following occurs each week:

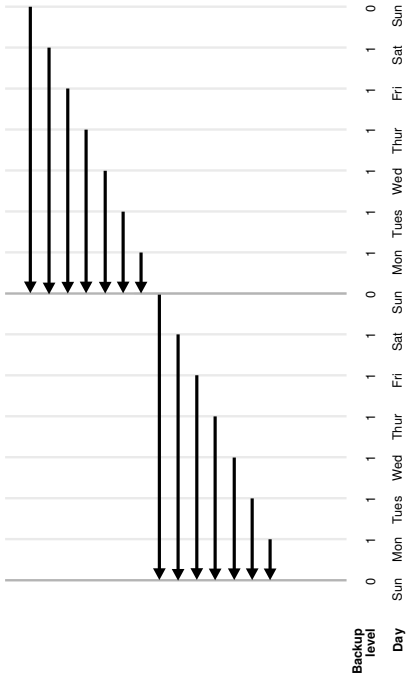
- Sunday

  An incremental level 0 backup backs up *all* blocks that have ever been in use in this database.

- Monday - Saturday

A cumulative incremental level 1 backup copies all blocks changed since the most recent level 0 backup. Because the most recent level 0 backup was created on Sunday, the level 1 backup on each day Monday through Saturday backs up all blocks changed since the Sunday backup.

Figure 8-3 Cumulative Incremental Backups



Related Topics

- Making and Updating RMAN Incremental Backups
  An incremental backup copies only data file blocks that have changed since a specified previous backup. Use the `BACKUP` command to create incremental backups.

8.9.2 About Block Change Tracking

The block change tracking feature for incremental backups improves incremental backup performance by recording changed blocks in each data file in a block change tracking file.

The block change tracking file is a small binary file stored in the database area. RMAN tracks changed blocks as redo is generated.

If block change tracking is enabled, then RMAN uses the change tracking file to identify changed blocks for incremental backups, thus avoiding the need to scan every block in the data file. RMAN only uses block change tracking when the incremental level is greater than 0, because a level 0 incremental backup includes all blocks.

Related Topics

- Using Block Change Tracking to Improve Incremental Backup Performance
  The block change tracking feature for incremental backups improves backup performance by recording changed blocks for each data file.

8.9.3 About the Incremental Backup Algorithm

Certain concepts are essential for understanding the algorithm that RMAN uses to make incremental backups.

- Checkpoint SCN

  Every data file has a data file checkpoint SCN, which you can view in `V$DATAFILE.CHECKPOINT_CHANGE#`. All changes with an SCN *lower* than this SCN are guaranteed to be in the file. When a level 0 incremental backup is restored, the restored data file contains the checkpoint SCN that it had when the level 0 was created. When a level 1 incremental backup is applied to a file, the checkpoint SCN of the file is advanced to the checkpoint SCN that the file had when the incremental level 1 backup was created.

- Incremental start SCN

  This SCN applies only to level 1 incremental backups. All blocks whose SCN is greater than or equal to the incremental start SCN are included in the backup. Blocks whose SCN is lower than the incremental start SCN are not included in the backup. The incremental start SCN is most often the checkpoint SCN of the parent of the level 1 backup.

- Block SCN

  Every data block in a data file records the SCN at which the most recent change was made to the block.

When RMAN makes a level 1 incremental backup of a file, RMAN reads the file, examines the SCN of every block, and backs up blocks whose SCN is greater than or equal to the incremental start SCN for this backup. If the backup is differential, then the incremental start SCN is the checkpoint SCN of the most recent level 1 backup. If the backup is cumulative, then the incremental start SCN is the checkpoint SCN of the most recent level 0 backup.

When block change tracking is enabled, RMAN uses bitmaps to avoid reading blocks that have not changed during the range from incremental start SCN to checkpoint SCN. RMAN still examines every block that is read and uses the SCN in the block to decide which blocks to include in the backup.

One consequence of the incremental backup algorithm is that RMAN applies all blocks containing changed data during recovery, even if the change is to an object created with the `NOLOGGING` option. Thus, if you restore a backup made before `NOLOGGING` changes were made, then incremental backups are the only way to recover these changes.

8.9.4 About Recovery with Incremental Backups

During media recovery, RMAN examines the restored files to determine whether it can recover them with an incremental backup. If it has a choice, then RMAN always chooses incremental backups over archived redo logs because applying changes at a block level is faster than applying redo.

RMAN does not need to restore a base incremental backup of a data file to apply incremental backups to the data file during recovery. For example, you can restore data file image copies and recover them with incremental backups.

Related Topics

- About Selection of Incremental Backups and Archived Redo Logs
  RMAN automates media recovery. RMAN automatically restores and applies both incremental backups and archived redo logs in whatever combination is most efficient.

8.9.5 About the Incremental-Forever Backup Strategy for Recovery Appliance

The incremental-forever backup strategy eliminates the need for creating recurring full backups when backing up to Zero Data Loss Recovery Appliance (Recovery Appliance). Although Recovery Appliance supports other RMAN backup strategies, the recommended method for ongoing backups is the incremental-forever backup strategy.

With the incremental-forever backup strategy, you need to create only one initial level 0 full backup and subsequent level 1 incremental backups. The initial backup and the subsequent incrementals must be RMAN backup sets and not image copies.

Note that the Recovery Appliance incremental-forever backup strategy is different from the incrementally updated backup strategy in a conventional RMAN setup. With RMAN, incrementally updated backups use an initial image copy, followed by incremental backups which are eventually merged into the full backup. Therefore, there is always at least one full image copy, a few incremental backups, and some archived redo logs.

Related Topics

- About Real-time Redo Transport for Recovery Appliance
  Zero Data Loss Recovery Appliance (Recovery Appliance) substantially reduces the window of potential data loss that exists between successive archived redo log backups. You to recover target databases to within a few subseconds of a database failure.
- *Zero Data Loss Recovery Appliance Protected Database Configuration Guide*

8.10 About Backup Retention Policies

You can use the `CONFIGURE RETENTION POLICY` command to create a persistent and automatic backup retention policy.

When a backup retention policy is in effect, RMAN considers a backup of data files or control files as an obsolete backup, that is, no longer needed for recovery, according to criteria specified in the `CONFIGURE` command. You can use the `REPORT OBSOLETE` command to view obsolete files and the `DELETE OBSOLETE` command to delete them.

As you produce backups over time, older backups become obsolete as they are no longer needed to satisfy the retention policy. RMAN can identify the obsolete files for you, but it does not automatically delete them. You must use the `DELETE OBSOLETE` command to delete files that are no longer needed to satisfy the retention policy.

If a fast recovery area is configured, then the database automatically deletes files that are either obsolete or backed up to tape when more recovery area space is needed for new files. The disk quota rules are distinct from the retention policy rules, but the database never deletes files in violation of the retention policy to satisfy the disk quota.

A backup is obsolete when `REPORT OBSOLETE` or `DELETE OBSOLETE` determines, based on the user-defined retention policy, that it is not needed for recovery. A backup is considered an expired backup only when RMAN performs a crosscheck and cannot find the file. In short, *obsolete* means a file is not needed, whereas *expired* means it is not found.

A backup retention policy applies only to full or level 0 data file and control file backups. For data file copies and proxy copies, if RMAN determines that the copy or proxy copy is not needed, then the copy or proxy copy can be deleted. For data file backup sets, RMAN cannot delete the backup set until all data file backups within the backup set are obsolete.

The retention policy is not responsible for deleting or rendering obsolete archived redo logs and incremental level 1 backups. Rather, these files become obsolete when no full backups exist that need them. Besides affecting full or level 0 data file and control file backups, the retention policy affects archived redo logs and level 1 incremental backups. First, RMAN decides which data file and control file backups are obsolete. Then, RMAN considers as obsolete all archived logs and incremental level 1 backups that are not needed to recover the oldest data file or control file backup that must be retained.

> **Note:**
>
> RMAN cannot implement an automatic retention policy if backups are deleted by non-RMAN techniques, for example, through the media manager's tape retention policy. The media manager must never expire a tape until all RMAN backups on that tape have been removed from the media manager's catalog.

There are two mutually exclusive options for implementing a retention policy:

- redundancy
- recovery window.

Related Topics

- Responding to a Full Fast Recovery Area
  If the RMAN retention policy requires keeping a set of backups larger than the fast recovery area disk quota, or if the retention policy is set to `NONE`, then the fast recovery area can fill completely with no reclaimable space.

8.10.1 About the Recovery Window

A recovery window is a period that begins with the current time and extends backward in time to the point of recoverability. The point of recoverability is the earliest time for a hypothetical point-in-time recovery, that is, the earliest point to which you can recover following a media failure.

For example, if you implement a recovery window of 1 week, then RMAN retains full backups and required incremental backups and archived logs so that the database can be recovered up to 7 days in the past. You implement this retention policy as follows:

```
CONFIGURE RETENTION POLICY TO RECOVERY WINDOW OF 7 DAYS;
```

This command ensures that for each data file, one backup that is older than the point of recoverability is retained. For example, if the recovery window is `7`, then there must always exist one backup of each data file that satisfies the following condition:

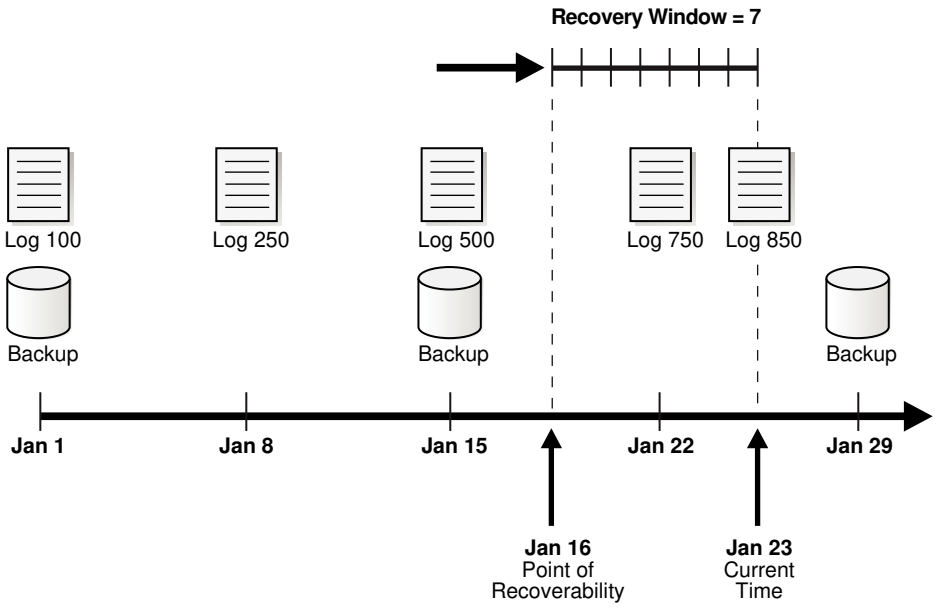
```
SYSDATE - BACKUP CHECKPOINT TIME >= 7
```

All backups older than the most recent backup that satisfied this condition are obsolete.

Assume the retention policy illustrated in Figure 8-4. The retention policy has the following aspects:

- The recovery window is 7 days.
- Database backups are scheduled every two weeks on these days:
  - January 1
  - January 15
  - January 29
  - February 12
- The database runs in `ARCHIVELOG` mode, and archived logs are saved on disk only if needed for the retention policy.

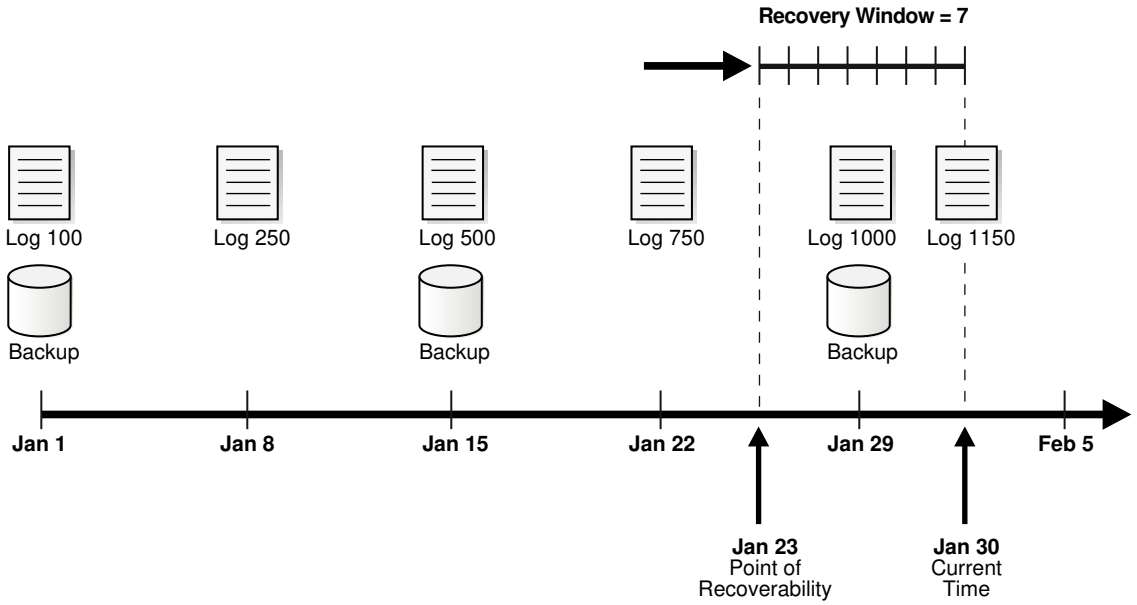
Figure 8-4 Recovery Window, Part 1



As illustrated in Figure 8-4, the current time is January 23 and the point of recoverability is January 16. Hence, the January 15 backup is needed for recovery, and so are the archived logs from log sequence 500 through 850. The logs before 500 and the January 1 backup are obsolete because they are not needed for recovery to a point within the window.

Assume the same scenario a week later, as depicted in Figure 8-5.

Figure 8-5 Recovery Window, Part 2



In this scenario, the current time is January 30 and the point of recoverability is January 23. Note how the January 15 backup is *not* obsolete even though a more recent backup (January 29) exists in the recovery window. This situation occurs because restoring the January 29 backup does not enable you to recover to the earliest time in the window, January 23. To ensure recoverability to any point in the window, you must save the January 15 backup and all archived logs from sequence 500 to 1150.

Related Topics

- Configuring a Recovery Window-Based Retention Policy
  The `RECOVERY WINDOW` parameter of the `CONFIGURE` command specifies the number of days between the current time and the earliest point of recoverability.

8.10.2 About Backup Redundancy

A redundancy-based retention policy specifies how many backups of each data file must be retained.

The default retention policy is configured to `REDUNDANCY 1`.

For example, you can configure a redundancy of 2 as follows:

```
CONFIGURE RETENTION POLICY TO REDUNDANCY 2;
```

Note that in some cases using a recovery window can complicate disk space planning because the number of backups that must be retained is not constant and depends on the backup schedule.

Related Topics

- Configuring a Redundancy-Based Retention Policy
  The `REDUNDANCY` parameter of the `CONFIGURE RETENTION POLICY` command specifies how many full or level 0 backups of each data file and control file that RMAN keeps. The default retention policy is `REDUNDANCY 1`.

8.10.3 About Batch Deletes of Obsolete Backups

You can run the `REPORT OBSOLETE` command to determine which backups are currently obsolete according to the retention policy.

A companion command, `DELETE OBSOLETE`, deletes all files that are obsolete according to the retention policy. You can run `DELETE OBSOLETE` periodically to minimize space wasted by storing obsolete backups. For example, you can run `DELETE OBSOLETE` in a weekly script.

You can also override the configured retention policy by specifying the `REDUNDANCY` or `RECOVERY WINDOW` options on the `REPORT` or `DELETE` commands. Using `DELETE OBSOLETE` with a recovery window shorter than the configured recovery window effectively reduces the window of recoverability. For example, if the configured window is 14 days, but you execute `DELETE OBSOLETE RECOVERY WINDOW OF 7 DAYS`, then you no longer have the ability to recover to a time between 7 and 14 days ago.

Related Topics

- Reporting on RMAN Operations
  Run reports on RMAN operations to determine files that need back up, monitor space usage, and query backup metadata.

8.10.4 About Backup Retention Policy and Fast Recovery Area Deletion Rules

If you configure a fast recovery area, then the database uses an internal algorithm to select files in the fast recovery area that are no longer needed to meet the configured retention policy.

The retention policy is never violated when determining which files to delete from the fast recovery area to satisfy the disk quota rules. These backups have status `OBSOLETE` and are eligible for deletion to satisfy the disk quota rules.

The RMAN status `OBSOLETE` is always determined in reference to a retention policy. For example, if a database backup is considered `OBSOLETE` in the RMAN repository, then it is because it is either not needed for recovery to a point within the recovery window, or it is redundant.

There is one important difference between the fast recovery area rules for `OBSOLETE` status and the disk quota rules for deletion eligibility. Assume that archived logs 1000 through 2000, which are on disk, are needed for the current recovery window and so are not obsolete. If you back up these logs to tape, then the retention policy considers the disk logs as required, that is, not obsolete. Nevertheless, the fast recovery area disk quota algorithm considers the logs on disk as eligible for deletion because they have been backed up to tape. The logs on disk do not have `OBSOLETE` status in the repository, but they are eligible for deletion by the fast recovery area.

Related Topics

- Deletion Rules for the Fast Recovery Area
  RMAN uses certain rules to determine when files can be deleted from the fast recovery area.

9
Backing Up the Database

Use the BACKUP command to back up all or part of your database.

> **See Also:**
>
> - Backing Up the Database: Advanced Topics to learn about more advanced topics such as backup optimization, duplexing, backup encryption, and restartable backups
> - *Oracle Data Guard Concepts and Administration* to learn how to perform RMAN backup and recovery in a Data Guard environment

9.1 Overview of RMAN Backups

RMAN backups are created using the `BACKUP` command.

This section provides an overview of RMAN backups.

9.1.1 Purpose of RMAN Backups

The primary purpose of RMAN backups is to protect your data. If a media failure or disaster occurs, then you can restore your backups and recover lost changes.

You can also make backups to preserve data for long-time archival and to transfer data.

Related Topics

- Making Database Backups for Long-Term Storage
  This section explains the basic concepts and tasks involved in making backups for long-term storage.
- Transferring Data with RMAN

9.1.2 Basic Concepts of RMAN Backups

You can back up all or part of your database with the `BACKUP` command from within the RMAN client.

In many cases, after your database has been configured in accordance with your backup strategy, you can back up the database by entering the following command at the RMAN prompt:

```
RMAN> BACKUP DATABASE;
```

RMAN uses the configured settings, the records of previous backups, and the control file record of the database structure to determine an efficient set of steps for the backup. RMAN then performs these steps.

You might want to perform nightly backups of the whole multitenant container database (CDB) by using an incremental backup strategy, or you might want to make frequent separate backups of individual pluggable databases (PDBs) and do less frequent backups of either the whole CDB or of the root. In terms of the ability to recover from data loss, separately backing up the root and all PDBs, including the CDB seed, is equivalent to backing up the whole CDB. The main difference is in the number of RMAN commands that you must enter and the time to recover. Recovering a whole CDB requires less time than recovering the root plus all PDBs.

You can run RMAN backups at any database in a Data Guard environment. Any backup of any database in the environment is usable for recovery of any other database if the backup is accessible. You can offload all backups of database files, including control file backups, to a physical standby database and thereby avoid consuming resources on the primary database.

Related Topics

- About RMAN File Management in a Data Guard Environment
  RMAN uses a recovery catalog to track file names for all database files in a Data Guard environment.
- *Oracle Database Backup and Recovery Reference*
- *Oracle Data Guard Concepts and Administration*

9.2 Specifying Backup Output Options

You can provide arguments to the `BACKUP` command to override the default backup options.

If you specify only the minimum required options for an RMAN command such as `BACKUP DATABASE`, then RMAN automatically determines the destination device, locations for backup output, and a backup tag based on your configured environment and built-in RMAN defaults.

The most typical backup options are described in this section.

Related Topics

- Backing Up the Database: Advanced Topics
  Learn how to perform advanced RMAN backup procedures such as backup optimization, duplexing backup sets, and limiting the size of backups.

9.2.1 Specifying the Device Type for an RMAN Backup

The `BACKUP` command takes a `DEVICE TYPE` clause that specifies whether to back up to disk or tape device.

When you run `BACKUP` without a `DEVICE TYPE` clause, RMAN stores the backup on the configured default device (disk or SBT). You set the default device with the `CONFIGURE DEFAULT DEVICE TYPE` command.

Example 9-1 Specifying Device Type DISK

This example illustrates a backup to disk.

```
BACKUP
   DEVICE TYPE DISK
   DATABASE;
```

Related Topics

- Configuring the Default Device for Backups: Disk or SBT
  Backups for which no destination device type is specified are directed to the configured default device. RMAN is preconfigured to use disk as the default device type. No additional configuration is necessary.

9.2.2 Specifying Backup Set or Copy for an RMAN Backup to Disk

RMAN can create backups on disk as image copies or as backup sets.

If a default device has been configured, you can override this default with the `AS COPY` or `AS BACKUPSET` clauses.

Example 9-2 Making Image Copies

This example uses `BACKUP AS COPY` to back up to disk as image copies.

```
BACKUP AS COPY
  DEVICE TYPE DISK
  DATABASE;
```

Example 9-3 Making Backup Sets

To back up your data into backup sets, use the `AS BACKUPSET` clause. This example illustrates how you can allow backup sets to be created on the configured default device, or direct them specifically to disk or tape.

```
BACKUP AS BACKUPSET
  DATABASE;

BACKUP AS BACKUPSET
  DEVICE TYPE DISK
  DATABASE;

BACKUP AS BACKUPSET
  DEVICE TYPE SBT
  DATABASE;
```

Related Topics

- Configuring the Default Type for Backups: Backup Sets or Copies
  The `BACKUP` command can create either backup sets or image copies. For disk, you can configure RMAN to create either backup sets or image copies as its default backup type with the `CONFIGURE DEVICE TYPE DISK BACKUP TYPE TO` command.

9.2.3 Specifying a Format for RMAN Backups

RMAN provides a range of options to name the files generated by the `BACKUP` command.

RMAN uses the following set of rules to determine the format of the output files, which are listed in order of precedence:

1. If a `FORMAT` parameter is specified on the `BACKUP` command, then this setting controls the generated file name.

   For example, you can direct the output to a specific location, as shown in the following command:

   ```
   BACKUP DATABASE
     FORMAT "/disk1/backup_%U";  # specifies a location on the file
   system
   ```

   In this case, backups are stored with generated unique file names with the prefix `/disk1/backup_`. The `%U` substitution variable, used to generate a unique string at this point in the file name, is required.

   You can also use the `FORMAT` parameter to name an ASM disk group as the backup destination, as shown in the following example:

   ```
   BACKUP DATABASE
     FORMAT '+dgroup1';  # specifies an ASM disk group
   ```

   No `%U` is required in this case because Automatic Storage Management (ASM) generates unique file names as needed. However, you can specify `%U` if desired.

   > **Note:**
   >
   > If you specify `FORMAT` when a fast recovery area is enabled, then RMAN obeys the `FORMAT` setting. If no location is specified in the `FORMAT` clause, then RMAN creates the backup in a platform-specific location.

2. If a `FORMAT` setting is configured for the specific channel used for the backup, then this setting controls the generated file name.
3. If a `FORMAT` setting is configured for the device type used for the backup, then this setting controls the generated file name.
4. If a fast recovery area is enabled during a disk backup, and if `FORMAT` is not specified, then RMAN creates the backup with an automatically generated name in the fast recovery area.
5. If no other conditions in this list apply, then the default location and file name format of the backup are platform-specific.

Related Topics

- *Oracle Database Backup and Recovery Reference*

9.2.3.1 Specifying Multiple Formats for Disk Backups

When backing up to disk, you can specify a format to spread the backup across several drives for improved performance.

- To back up a database to multiple disk drives, allocate one `DISK` channel for each disk drive and specify the format string on the `ALLOCATE CHANNEL` command so that the file names are on different disks.

```
RUN
{
  ALLOCATE CHANNEL disk1 DEVICE TYPE DISK FORMAT '/disk1/%d_backups/%U';
  ALLOCATE CHANNEL disk2 DEVICE TYPE DISK FORMAT '/disk2/%d_backups/%U';
  ALLOCATE CHANNEL disk3 DEVICE TYPE DISK FORMAT '/disk3/%d_backups/%U';
  BACKUP AS COPY DATABASE;
}
```

- To create a default configuration that distributes backups to multiple disk drives by default, configure multiple disk channels.

```
CONFIGURE DEVICE TYPE DISK PARALLELISM 3;
CONFIGURE DEFAULT DEVICE TYPE TO DISK;
CONFIGURE CHANNEL 1 DEVICE TYPE DISK FORMAT '/disk1/%d_backups/%U';
CONFIGURE CHANNEL 2 DEVICE TYPE DISK FORMAT '/disk2/%d_backups/%U';
CONFIGURE CHANNEL 3 DEVICE TYPE DISK FORMAT '/disk3/%d_backups/%U';
BACKUP AS COPY DATABASE;
```

Typically, you do not need to specify a format when backing up to tape because the default `%U` variable generates a unique file name for tape backups.

9.2.4 Specifying Tags for an RMAN Backup

RMAN attaches a character string called a tag to every backup it creates, as a way of identifying the backup. You can either accept the default tag or specify your own with the `TAG` parameter of the `BACKUP` command.

9.2.4.1 About Backup Tags

User-specified tags are a useful way to indicate the purpose or usage of different classes of backups or copies.

You can tag backup sets, proxy copies, data file copies, or control file copies. For example, you can tag data file copies that you intend to use in a `SWITCH` command as `for_switch_only` and file copies to use only for a `RESTORE` command as `for_restore_only`.

Tags do not need to be unique, so multiple backup sets or image copies can have the same tag (for example, `weekly_backup`). Assume that you specify that a data file be restored from backups that have a specific tag. If multiple backups of the requested file have the desired tag, then RMAN restores the most recent backup that has the desired tag, within any constraints on the `RESTORE` command.

In practice, tags are often used to distinguish a series of backups created as part of a single strategy, such as an incremental backup strategy. For example, you might create a weekly

incremental backup with a tag like `BACKUP TAG weekly_incremental`. Many forms of the `BACKUP` command let you associate a tag with a backup, and many `RESTORE` and `RECOVER` commands let you specify a tag to restrict which backups to use in the `RESTORE` or `RECOVER` operation.

If you do not explicitly specify a tag with the `TAG` parameter of the `BACKUP` command, then RMAN implicitly creates a default tag for backups (except for control file autobackups). The format of the tag is `TAG`*`YYYYMMDD`*`T`*`HHMMSS`*, where *`YYYY`* is the year, *`MM`* is the month, *`DD`* is the day, *`HH`* is the hour (in 24-hour format), *`MM`* is the minutes, and *`SS`* is the seconds. For example, a backup of data file `1` may get the tag `TAG20070208T133437`. The date and time refer to when RMAN started the backup in the time zone of the instance performing the backup. If multiple backup sets are created by one `BACKUP` command, then each backup piece has the same default tag.

Tags are stored in uppercase, regardless of the case used when entering them. The maximum length of a backup tag is 30 bytes. Tags cannot use operating system environment variables or use special formats such as `%T` or `%D`.

Related Topics

- *Oracle Database Backup and Recovery Reference*

9.2.4.2 Specifying Tags for Backup Sets and Image Copies

Use the `TAG` parameter with the `BACKUP` command to specify tags for backup sets and image copies.

The characters in a tag must be limited to the characters that are legal in file names on the target database file system. For example, Automatic Storage Management (ASM) does not support the use of the hyphen (`-`) in the file names it uses internally, so a tag including a hyphen (such as `weekly-incr`) is not a legal tag name for backups in ASM disk groups.

When you tag a backup set, the tag is an attribute of each backup piece in a given copy of a backup set. If you create a multiplexed backup set, then each copy of the backup set is assigned the same tag.

Example 9-4 Applying a Tag to a Backup Set

This example creates one backup set with the tag `MONDAYBKP`.

```
BACKUP AS BACKUPSET
  COPIES 1
  DATAFILE 7
  TAG mondaybkp;
```

Example 9-5 Applying Tags to Image Copies

This example shows that copies of data files in tablespaces `users` and `tools` are assigned the tag `MONDAYCPY`. When you specify a tag for image copies, the tag applies to each individual copy.

```
BACKUP AS COPY
  TABLESPACE users, tools
  TAG mondaycpy;
```

Example 9-6 Assigning Tags to Output Copies

This example creates new copies of all image copies of the database that have the tag `full_cold_copy` and gives the new copies the tag `new_full_cold_copy`. You can use `FROM TAG` to copy an image copy with a specific tag, and then use `TAG` to assign the output copy a different tag.

```
BACKUP AS COPY
  COPY OF DATABASE
    FROM TAG full_cold_copy
  TAG new_full_cold_copy;
```

9.2.5 Making Compressed Backups

When creating backup sets, you can use RMAN support for binary compression of backup sets by including the `AS COMPRESSED BACKUPSET` option to the `BACKUP` command.

RMAN compresses the backup set contents before writing them to disk. The details of which binary compression level is used are automatically recorded in the backup set. There is no need to explicitly mention the type of compression used or how to decompress the backup set in the recovery operation.

Binary compression creates some performance overhead during backup and restore operations. Binary compression consumes CPU resources, so do not routinely schedule compressed backups when CPU usage is high. However, the following circumstances may warrant paying the performance penalty:

- You are using disk-based backups when disk space in your fast recovery area or other disk-based backup destination is limited.
- You are performing your backups to some device over a network when reduced network bandwidth is more important than CPU usage.
- You are using some archival backup media such as CD or DVD, where reducing backup sizes saves on media costs and archival storage.

Example 9-7 Making Compressed Backups

This example backs up the entire database and archived logs to the configured default backup destination (disk or tape), producing compressed backup sets.

```
BACKUP
  AS COMPRESSED BACKUPSET
  DATABASE PLUS ARCHIVELOG;
```

Related Topics

- About Binary Compression for RMAN Backup Sets
  RMAN supports binary compression of backup sets. Binary compression is only enabled when you specify `AS COMPRESSED BACKUPSET` in the `BACKUP` command, or one-time with the `CONFIGURE DEVICE TYPE [DISK | SBT] BACKUP TYPE TO COMPRESSED BACKUPSET` command.
- *Oracle Database Backup and Recovery Reference*

9.2.6 Specifying Multisection Incremental Backups

A multisection backup enables large data files to be divided into sections that can be backed up in parallel across multiple channels. This provides faster backup performance and better recovery times.

A multisection backup contains multiple backup pieces. During a multisection backup operation, RMAN writes to each backup piece, in parallel, by using a separate channel for each backup piece.

Multisection full backups of databases and data files are supported starting with Oracle Database 11*g* Release 1. Starting with Oracle Database 12*c* Release 1 (12.1), RMAN supports multisection incremental backups. Wherever applicable, RMAN also uses unused block compression and block change tracking while creating multisection incremental backups. When backup sets are used, you can create multisection full or incremental backups.

To create level 0 multisection incremental backups, the `COMPATIBLE` parameter must be set to 11.0 or higher. However, to create multisection incremental backups of level 1 or higher, you must set the `COMPATIBLE` parameter to 12.0.0 or higher. RMAN always creates multisection incremental backups with `FILESPERSET` set to 1.

Use the `SECTION SIZE` clause of the `BACKUP` command to create multisection backups. The `SECTION SIZE` clause specifies the size of each backup section. If you specify a section size that is larger than the size of the file, then RMAN does not use multisection backups for that file. If you specify a small section size that would produce more than 256 sections, then RMAN increases the section size to a value that results in exactly 256 sections.

Views to Identify Multisection Backups

Use the `MULTI_SECTION` column of the `V$BACKUP_SET` view or the recovery catalog view `RC_BACKUP_SET` to determine if a backup is a multisection backup. For multisection backups, the `MULTI_SECTION` column contains YES.

Views That Contain Metadata for Multisection Backups

The `V$BACKUP_DATAFILE` and `RC_BACKUP_DATAFILE` views provide information about the number of blocks in each section of a multisection backup. The `BLOCKS` column specifies the number of blocks in each multisection backup.

Example 9-8 Multisection Incremental Backup of Database as Backup Sets

The following example creates a multisection level 1 incremental backup of the data file as backup sets.

1. Ensure that the initialization parameter `COMPATIBLE` of the target database is set to 12.0.0 or higher.
2. Start RMAN and connect to a target database as a user with the `SYSBACKUP` or `SYSDBA` privilege.
3. If required, configure RMAN to write in parallel to the backup device.

   The following example configures RMAN to use two disk channels in parallel.

   ```
   CONFIGURE DEVICE TYPE disk PARALLELISM 2;
   ```

4. Execute `BACKUP` with `SECTION SIZE` to indicate that a multisection backup must be created.

   The following example creates a multisection section level 1 backup of the data file `users_df.dbf` using backup sets. Each backup piece is 100MB.

   ```
   BACKUP
      INCREMENTAL LEVEL 1
      SECTION SIZE 100M
      DATAFILE '/oradata/datafiles/users_df.dbf';
   ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- About Unused Block Compression
  When employing unused block compression, RMAN skips reading, and backing up, any database blocks that are not currently allocated to some database object. This is regardless of whether those blocks had previously been allocated.
- Using Block Change Tracking to Improve Incremental Backup Performance
  The block change tracking feature for incremental backups improves backup performance by recording changed blocks for each data file.

9.2.7 Making Multisection Backups Using Image Copies

While an image copy is being created, RMAN uses multiple channels to write files sections. However, the output of this operation is one copy for each data file.

Multisection backups provide better performance by using multiple channels to back up large files in parallel. Starting with Oracle Database 12*c* Release 1 (12.1), you can create multisection full backups that are stored as image copies.

- Use the `SECTION SIZE` clause of the `BACKUP` command to create multisection backups.

  If the section size that you specify is larger than the size of the file, then RMAN does not use multisection backups for that file. If you specify a small section size that would produce more than 256 sections, then RMAN increases the section size to a value that results in exactly 256 sections

Example 9-9 Multisection Backup of Data File as Image Copies

Use the following steps to create a multisection backup of a database as image copies:

1. Ensure that the `COMPATIBLE` parameter for the target database is set to 12.0.0 or higher.
2. Start RMAN and connect to a target database as a user with the `SYSBACKUP` or `SYSDBA` privilege.
3. If required, configure channel parallelism so that RMAN can perform the backup operation using multiple drives in parallel.
4. Execute `BACKUP` with `SECTION SIZE` and `AS COPY` to indicate that a multisection backup must be created using image copies.

The following command creates a multisection incremental backup of the entire database using image copies. Each backup piece is 500MB.

```
BACKUP
   AS COPY
   SECTION SIZE 500M
   DATABASE;
```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- Specifying Multisection Incremental Backups
  A multisection backup enables large data files to be divided into sections that can be backed up in parallel across multiple channels. This provides faster backup performance and better recovery times.

9.3 Backing Up Database Files with RMAN

You can back up a whole CDB, the root only, one or more pluggable databases (PDBs), or one ore more tablespaces.

> **Note:**
>
> Backups of a non-CDB are not usable after the non-CDB is plugged in as a PDB into another CDB.

9.3.1 Backing Up a Whole CDB

Backing up a whole multitenant container database (CDB) backs up the root, all the pluggable databases (PDBs), and the archived redo logs.

You can perform a whole database backup with the database mounted or open. Use the `BACKUP DATABASE`command to perform a whole database backup. You may want to exclude specified tablespaces from a whole database backup. You can persistently skip tablespaces across RMAN sessions by executing the `CONFIGURE EXCLUDE` command for each tablespace that you always want to skip. You can override the configured setting with `BACKUP ... NOEXCLUDE`.

To backup up a whole CDB:

1. Start RMAN and connect to the root as a common user with the `SYSBACKUP` or `SYSDBA` privilege and to a recovery catalog (if used).
2. Ensure that the database is mounted or open.
3. Issue the `BACKUP DATABASE` command at the RMAN prompt.

   The simplest form of the command requires no options or parameters:

   ```
   BACKUP DATABASE;
   ```

The list of files backed up depends on the keyword used with the `BACKUP` command.

The following example backs up the CDB, switches the online redo logs, and includes archived logs in the backup:

```
BACKUP DATABASE PLUS ARCHIVELOG;
```

By archiving the logs immediately after the backup, you ensure that you have a full set of archived logs through the time of the backup. In this way, you guarantee that you can perform media recovery after restoring this backup.

> **Note:**
>
> Proxy PDBs are not backed up while backing up a CDB.

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- Skipping Offline, Read-Only, and Inaccessible Files
  By default, the `BACKUP` command terminates when it cannot access a data file.

9.3.2 Backing Up the Root with RMAN

You can use RMAN to make a backup of only the root. Because the root contains critical metadata for the whole CDB, Oracle recommends that you back up the root or back up the whole CDB at regular intervals.

1. Start RMAN and connect to the root as a common user with the `SYSBACKUP` or `SYSDBA` privilege.
2. Enter the following command:

   ```
   BACKUP DATABASE ROOT;
   ```

Related Topics

- Connecting as Target to the Root
  There are several ways to connect as target to the root.

9.3.3 Backing Up the Root with Oracle Enterprise Manager Cloud Control

Oracle Enterprise Manager Cloud Control (Cloud Control) can be used to back up the root.

Use the following steps to back up the root with Cloud Control:

1. From the Database Home page, select **Backup & Recovery** from the Availability menu, and then select **Schedule Backup**.
2. If you have not logged in to the database previously, then the Database Login page is displayed. Log in to the database using Named or New credentials and then click **Login**.

   Cloud Control displays the Schedule Backup page.

3. From the Customized Backup section, choose **Container Database Root**, and then click **Schedule Customized Backup**.

   The Schedule Backup Wizard appears and displays the Options page.

4. Complete the wizard by navigating the remainder of the pages to back up the root. For more information about each page of the wizard, click **Help**.

> **See Also:**
>
> Accessing the Database Home Page Using Cloud Control

9.3.4 Backing Up PDBs with RMAN

RMAN enables you to back up one or more PDBs in a CDB using the `BACKUP` command.

There are two approaches to backing up a PDB with RMAN:

- Connect to the root and then use the `BACKUP PLUGGABLE DATABASE` command. This approach enables you to back up multiple PDBs with a single command.

  When you connect to the root and back up a PDB, this backup is visible to the root and to that particular PDB but not to the other PDBs.

- Connect to the PDB and use the `BACKUP DATABASE` command. This approach backs up only a single PDB and enables you to use the same commands used for backing up databases.

  Backups created when connected to any PDB are visible when connected to the root.

When you back up individual PDBs, the archived redo logs are not backed up.

To back up one or more PDBs while connected to the root:

1. Start RMAN. Connect to the root as a common user with the `SYSBACKUP` or `SYSDBA` privilege and to a recovery catalog (if used), as described in Connecting as Target to the Root.
2. Issue a `BACKUP PLUGGABLE DATABASE` command at the RMAN prompt.

   The following example backs up the PDBs `sales` and `hr`:

   ```
   BACKUP PLUGGABLE DATABASE sales, hr;
   ```

To back up one PDB while connected to the PDB:

1. Start RMAN and connect to the PDB as a local user with the `SYSBACKUP` or `SYSDBA` privilege and to a recovery catalog (if used).
2. Issue a `BACKUP DATABASE` command at the RMAN prompt.

   ```
   BACKUP DATABASE;
   ```

> **Note:**
>
> Backing up a proxy PDB using RMAN is not supported.

> **Note:**
>
> PDB backups can be used to perform media recovery only if the backups include all the archived redo log files that contain changes for this PDB. When creating a backup while connected to the PDB, there may be some situations in which all the required logs are not backed up.

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

9.3.5 Backing Up PDBs with Oracle Enterprise Manager Cloud Control

Oracle Enterprise Manager Cloud Control (Cloud Control) can be used to perform backups of pluggable databases (PDBs).

To back up one or more PDBs with Cloud Control, complete the following steps:

1. From the Database Home page, select **Backup & Recovery** from the Availability menu, and then select **Schedule Backup**.
2. If you have not logged in to the database previously, then the Database Login page is displayed. Log in to the database using Named or New credentials and then click **Login**.

   Cloud Control displays the Schedule Backup page.
3. From the Customized Backup section, select **Pluggable Databases**, and then click **Schedule Customized Backup**.

   The Schedule Backup Wizard appears and displays the Pluggable Databases page.
4. Select the PDBs that you want to back up by following these steps:
   a. Click **Add** to display the Available Pluggable Databases page.
   b. From the list of PDBs shown, click in the **Select** column to designate the PDBs you want to back up. Optionally, you can click **Select All** to turn on the Select option for all available PDBs. Click **Select None** to deselect all PDBs.
   c. Click the **Select** button to return to the Pluggable Databases page.
   d. Optionally, you can remove PDBs from the table by clicking in the **Select** column for each PDB that you want to remove and then clicking **Remove**.
5. Click **Next** to move to the Options page of the wizard.
6. Complete the wizard by navigating the remainder of the pages to back up the PDBs. For more information about each page of the wizard, click **Help**.

> **See Also:**
>
> Accessing the Database Home Page Using Cloud Control

9.3.6 Backing Up Tablespaces and Data Files with RMAN

You can back up one or more tablespaces with the `BACKUP TABLESPACE` command or one or more data files with the `BACKUP DATAFILE` command.

When you specify tablespaces, RMAN translates the tablespace name internally into a list of data files. The database can be mounted or open. Tablespaces can be read/write or read-only.

> **Note:**
>
> Transportable tablespaces do not have to be in read/write mode for backup as in previous releases.

RMAN automatically backs up the control file and the server parameter file (if the instance was started with a server parameter file) when data file `1` is included in the backup. If controlfile autobackup is enabled, then RMAN writes the current control file and server parameter file to a separate autobackup piece. Otherwise, RMAN includes these files in the backup set that contains data file `1`.

To back up tablespaces or data files:

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. If the database instance is not started, then either mount or open the database.
3. Run the `BACKUP TABLESPACE` command or `BACKUP DATAFILE` command at the RMAN prompt.

The following example backs up the `users` and `tools` tablespaces to tape:

```
BACKUP
  DEVICE TYPE sbt
  TABLESPACE users, tools;
```

The following example uses an SBT channel to back up data files `1` through `4` and a data file copy stored at `/tmp/system01.dbf` to tape:

```
BACKUP
  DEVICE TYPE sbt
  DATAFILE 1,2,3,4
  DATAFILECOPY '/tmp/system01.dbf';
```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

9.3.7 Backing Up Tablespaces and Data Files in a PDB

Because tablespaces in different PDBs can have the same name, to eliminate ambiguity you must connect directly to a PDB to back up one or more of its tablespaces.

In contrast, because data file numbers and paths are unique across the CDB, you can connect to either the root or a PDB to back up PDB data files. If you connect to the root, you can back up data files from multiple PDBs with a single command. If you connect to a PDB, you can back up only data files in that PDB.

Ensure that the CDB is open or mounted. Tablespaces can be read-only or read-write.

To back up tablespaces in a PDB:

1. Start RMAN. Connect to the PDB as a local user with the `SYSBACKUP` or `SYSDBA` privilege and to a recovery catalog (if used), as described in Connecting as Target to a PDB.
2. Issue a `BACKUP TABLESPACE` command.

   The following example backs up the tablespaces `users` and `examples` to the configured default device.

   ```
   BACKUP TABLESPACE users, examples;
   ```

To back up data files in a PDB:

1. Do one of the following:
   - Start RMAN and connect to the root as a common user with the `SYSBACKUP` or `SYSDBA` privilege.
   - Start RMAN and connect to the PDB as a local user with the `SYSBACKUP` or `SYSDBA` privilege.
2. Issue a `BACKUP DATAFILE` command.

   ```
   BACKUP DATAFILE 10, 13;
   ```

Related Topics

- Connecting as Target to the Root
  There are several ways to connect as target to the root.
- Connecting as Target to a PDB
  You can connect to a PDB either from the RMAN prompt or the operating system command line.

9.3.8 Backing Up Control Files with RMAN

You can back up the control file when the database is mounted or open. RMAN uses a snapshot control file to ensure a read-consistent version.

If the `CONFIGURE CONTROLFILE AUTOBACKUP` command is set to `ON` (by default it is `OFF`), then RMAN automatically backs up the control file and server parameter file after every backup and after database structural changes. The controlfile autobackup contains metadata about the previous backup, which is crucial for disaster recovery.

> **Note:**
>
> You can restore a backup of a control file made on one Data Guard database to any other database in the environment. Primary and standby control file backups are interchangeable.

If the autobackup feature is not set, then you must manually back up the control file in one of the following ways:

- Run `BACKUP CURRENT CONTROLFILE`.
- Include a backup of the control file within any backup by using the `INCLUDE CURRENT CONTROLFILE` option of the `BACKUP` command.
- Back up data file `1`, because RMAN automatically includes the control file and server parameter file in backups of data file `1`.

> **Note:**
>
> If the control file block size is different from the block size for data file `1`, then the control file cannot be written into the same backup set as the data file. RMAN writes the control file into a backup set by itself if the block size is different. The `V$CONTROLFILE.BLOCK_SIZE` column indicates the control file block size, whereas the `DB_BLOCK_SIZE` initialization parameter indicates the block size of data file `1`.

9.3.8.1 About Manual Backups of the Control File

A manual backup of the control file is different from a control file autobackup. RMAN makes a control file autobackup after the files specified in the `BACKUP` command are backed up.

Thus, the autobackup—unlike a manual control file backup—contains metadata about the backup that just completed. Also, RMAN can automatically restore autobackups without the use of a recovery catalog.

You can make a manual backup of the current control file either as a backup set or as an image copy. For a backup set, RMAN first creates a snapshot control file for read consistency. You can configure the file name and location of the snapshot control file. A snapshot control file is not needed for an image copy.

In an Oracle Real Application Clusters (Oracle RAC) environment, the following restrictions apply:

- The snapshot control file location must be on shared storage—that is, storage that is accessible by all Oracle RAC instances.

- The destination of an image copy of the current control file must be shared storage.

Related Topics

- Configuring the Snapshot Control File Location
  When RMAN needs a read-consistent version of the control file, it creates a temporary snapshot control file. RMAN needs a snapshot control file when resynchronizing with the recovery catalog or when making a backup of the current control file.
- Making a Manual Backup of the Control File
  To make a manual backup, you can either specify `INCLUDE CURRENT CONTROLFILE` when backing up other files or specify `BACKUP CURRENT CONTROLFILE`.

9.3.8.2 Making a Manual Backup of the Control File

To make a manual backup, you can either specify `INCLUDE CURRENT CONTROLFILE` when backing up other files or specify `BACKUP CURRENT CONTROLFILE`.

You can also back up control file copies on disk by specifying the `CONTROLFILECOPY` parameter.

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. Ensure that the target database is mounted or open.
3. Execute the `BACKUP` command with the desired control file clause.

Example 9-10 Backing Up a Tablespace and Current Control File to Tape

This example backs up tablespace `users` to tape and includes the current control file in the backup:

```
BACKUP DEVICE TYPE sbt
  TABLESPACE users
  INCLUDE CURRENT CONTROLFILE;
```

Example 9-11 Backing Up Current Control File to Fast Recovery Area

This example backs up the current control file to the fast recovery area as a backup set:

```
BACKUP CURRENT CONTROLFILE;
```

RMAN first creates a snapshot control file.

Example 9-12 Backing Up the Current Control File as Image Copy

This example backs up the current control file to the default disk device as an image copy:

```
BACKUP AS COPY
  CURRENT CONTROLFILE
  FORMAT '/tmp/control01.ctl';
```

Example 9-13 Backing Up a Control File Copy

This example backs up the control file copy created in the previous example to tape:

```
BACKUP AS COPY
  CURRENT CONTROLFILE
  FORMAT '/tmp/control01.ctl';
BACKUP DEVICE TYPE sbt
  CONTROLFILECOPY '/tmp/control01.ctl';
```

A snapshot control file is not needed when backing up a control file copy.

If the control file autobackup feature is enabled, then RMAN makes two control file backups in these examples: the explicit backup of the files specified in the `BACKUP` command and the control file and server parameter file autobackup.

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggable database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- *Oracle Database Backup and Recovery Reference*

9.3.9 Backing Up Server Parameter Files with RMAN

RMAN automatically backs up the current server parameter file in certain cases. The `BACKUP SPFILE` command backs up the parameter file explicitly. The server parameter file that is backed up is the one currently in use by the instance.

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. Ensure that the target database is mounted or open.

   The database must have been started with a server parameter file. If the instance is started with a client-side initialization parameter file, then RMAN issues an error if you execute `BACKUP ... SPFILE`.
3. Execute the `BACKUP ... SPFILE` command.

   The following example backs up the server parameter file to tape:

   ```
   BACKUP DEVICE TYPE sbt SPFILE;
   ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggable database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- Backing Up Control Files with RMAN
  You can back up the control file when the database is mounted or open. RMAN uses a snapshot control file to ensure a read-consistent version.

9.3.10 Backing Up a Database in NOARCHIVELOG Mode

You can only back up a database in `NOARCHIVELOG` mode when the database is closed and in a consistent state.

The script shown in Example 9-14 puts the database into the correct mode for a consistent, whole database backup and then backs up the database. The script assumes that control file autobackup is enabled for the database.

You can skip tablespaces, such as read-only tablespaces, but any skipped tablespace that has not been offline or read-only since its last backup is lost if the database has to be restored from a backup.

Example 9-14 Backing Up a Database in NOARCHIVELOG Mode

```
SHUTDOWN IMMEDIATE;
# Start the database in case it suffered instance failure or was
# closed with SHUTDOWN ABORT before starting this script.
STARTUP FORCE DBA;
SHUTDOWN IMMEDIATE;
STARTUP MOUNT;
# this example uses automatic channels to make the backup
BACKUP
  INCREMENTAL LEVEL 0
  MAXSETSIZE 10M
  DATABASE
  TAG 'BACKUP_1';
# Now that the backup is complete, open the database.
ALTER DATABASE OPEN;
```

9.3.11 Creating a Preplugin Backup of the Whole Database

Preplugin backups ensure that an RMAN backup is usable after a non-CDB is plugged in as a PDB into a CDB. Preplugin backups can be either tape and disk backups.

Preplugin backups of non-CDBs are supported with Oracle Database Release 18c and Oracle Database Release 19c. Use the procedure in this topic to create preplugin backups of Oracle Database Release 18c or Oracle Database Release 19c non-CDBs. These preplugin backups are used for restore and recover operations after the non-CDB is plugged in as a PDB in a destination CDB.

To create a preplugin backup of a non-CDB:

1. Start RMAN and connect to the target database (non-CDB) as a user with the `SYSDBA` or `SYSBACKUP` privilege. Connect to a recovery catalog if one is used.
2. Ensure that the prerequisites for preplugin backups, described in the `BACKUP` command in the *Oracle Database Backup and Recovery Reference*, are met.
3. (Optional) Configure autobackups for the database control file and server parameter file.
4. Perform a full backup of the non-CDB, including archived redo log files.

   If backups of the non-CDB already exist, then you can just create backups of the archived redo log files. All existing RMAN backups are usable after their metadata is exported into the data dictionary by using the `dbms_pdb.exportrmanbackup()` procedure.

Although it is not mandatory to backup archived redo log files, it is recommended that you do so. By archiving the logs immediately after the backup, you ensure that you have a full set of archived logs through the time of the backup. This enables you to perform media recovery after restoring this backup.

The following command backs up a non-CDB including all archived redo log files. The `TAG` clause specifies the backup tag that is used to identify this RMAN backup.

```
RMAN> BACKUP DATABASE PLUS ARCHIVELOG ALL TAG for_migration;
```

5. Exit RMAN.
6. In the target database, connect to SQL*Plus as a user with the `SYSDBA` or `SYSBACKUP` privilege.
7. Export the RMAN backup metadata for the non-CDB into its data dictionary by using the `DBMS_PDB.EXPORTRMANBACKUP` procedure.

```
SQL> EXECUTE DBMS_PDB.EXPORTRMANBACKUP();
```

While plugging the non-CDB as a PDB in a destination CDB, this backup metadata of the source non-CDB is copied into the data dictionary of the destination CDB.

Related Topics

- About Preplugin Backups
  A preplugin backup is an RMAN backup of a non-CDB or a PDB that was created before that non-CDB or PDB was plugged in to a different CDB.
- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- Configuring Control File and Server Parameter File Autobackups
  You can configure RMAN to automatically back up the control file and server parameter file. The autobackup occurs whenever a backup record is added.
- *Oracle Database Backup and Recovery Reference*

9.3.12 Creating Preplugin Backups of PDBs Using RMAN

Use preplugin backups of a PDB to perform restore and recovery operations after the PDB is migrated and plugged in to a different destination CDB. You can create preplugin backups of PDBs to disk or tape.

> **Note:**
>
> The destination CDB does not manage backups created on the source CDB after it has been plugged in to the destination CDB.

To create preplugin backups of a PDB:

1. Ensure that the prerequisites for preplugin backups, described in the `BACKUP` command in the *Oracle Database Backup and Recovery Reference*, as met.

2. Start RMAN and connect using one of the following techniques:
   - Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
   - Connect to the PDB as a local user or common user with the `SYSDBA` or `SYSBACKUP` privilege.
3. (Optional) Configure control file and server parameter file autobackups for the target database as described in "Configuring Control File and Server Parameter File Autobackups".
4. Create a full backup of the PDB including the archived redo log files.

   If RMAN backups of the PDB already exist, then these backups are usable after the PDB is migrated to another destination CDB. However, it is recommended that you back up the archived redo log files.

   The following command backs up the PDB when you are connected to the PDB as a local user with the `SYSDBA` or `SYSBACKUP` privilege:

   ```
   RMAN> BACKUP DATABASE PLUS ARCHIVELOG ALL TAG for_migration;
   ```

   The following command backs up the PDB `my_pdb` when connected to the `ROOT` as a common user with the `SYSDBA` or `SYSBACKUP` privilege:

   ```
   RMAN> BACKUP PLUGGABLE DATABASE my_pdb PLUS ARCHIVELOG ALL TAG
   for_migration;
   ```

   > **Note:**
   >
   > When you use `BACKUP PLUGGABLE DATABASE`, all the required archived redo logs are backed up only when the CDB uses local undo.

The metadata that is required for these preplugin backups to be usable in a destination CDB is included in the XML file created when the PDB is unplugged from the source CDB.

Related Topics

- About Preplugin Backups
  A preplugin backup is an RMAN backup of a non-CDB or a PDB that was created before that non-CDB or PDB was plugged in to a different CDB.
- Configuring Control File and Server Parameter File Autobackups
  You can configure RMAN to automatically back up the control file and server parameter file. The autobackup occurs whenever a backup record is added.
- *Oracle Database Backup and Recovery Reference*

9.3.12.1 Example: Creating a Preplugin Backup of a PDB with RMAN

This example creates a preplugin backup of the PDB `my_pdb` that is contained in CDB `cdb_prod`. The CDB uses shared undo and is open in read-write mode.

1. Ensure that the prerequisites required for creating preplugin backups are met as described in *Oracle Database Backup and Recovery Reference*.
2. Start RMAN and connect to the root as a common user with the `SYSBACKUP` privilege.

The following command uses password file authentication to connect to the root. `cdb_prod` is the net service name of the CDB.

```
CONNECT TARGET "sbu@cdb_prod AS SYSBACKUP";
```

Enter the password for the `sbu` user when prompted.

3. Enable control file autobackup for the CDB.

```
CONFIGURE CONTROLFILE AUTOBACKUP ON;
```

4. Back up the PDB using the `BACKUP PLUGGABLE DATABASE` command. Include archived redo logs in the backup so that you can use this backup to perform media recovery, if required.

   The following command creates a preplugin backup of `my_pdb`, including the archived redo log files. The tag used when naming the backups is `mypdb_bkup`.

```
BACKUP PLUGGABLE DATABASE my_pdb PLUS ARCHIVELOG TAG mypdb_bkup;
```

9.4 Backing Up Application Containers

Use the RMAN `BACKUP` command to perform backup operations on the application root, one or more application PDBs, and the application container.

9.4.1 About Backing Up Application Containers

RMAN can back up application containers, application PDBs, and the application root.

An application container is an optional component of a CDB that stores data for one or more applications and shares application metadata and common data. A CDB can contain zero or more application containers. An application container consists of exactly one application root (different from the root in its CDB) and one or more application PDBs. The application root serves as the parent container to all the application PDBs plugged into it.

An application container typically contains one or more application common users. An application common user can only connect to the application root in which it was created or a PDB that is plugged in to this application root. An application root has its own service name, and you can connect to the application root in the same way that you connect to a PDB.

To perform backup and recovery tasks for the application root or application PDBs, you connect either to the application root or CDB root.

Related Topics

- *Oracle Database Concepts*
- *Oracle Multitenant Administrator's Guide*

9.4.2 Backing Up the Application Root

Use the RMAN `BACKUP` command to back up the application root.

The `COMPATIBLE` parameter for the CDB must be set to 19.0 or higher.

To back up the application root:

1. Start RMAN and connect to the CDB root as a common user with the `SYSDBA` or `SYSBACKUP` privilege, or to the application root as an application common user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Use the `BACKUP APPLICATION ROOT` command to back up the application root.

```
BACKUP APPLICATION ROOT DATABASE;
```

> **See Also:**
>
> Making Database Connections with RMAN

9.4.3 Backing Up the Application Root and its Application PDBs

Use the `BACKUP` command to back up an application container, which consists of the application root and all the application PDBs that belong to the application root.

The `COMPATIBLE` parameter for the CDB must be set to 12.2 or higher.

To connect to the CDB and back up the application root and all its application PDBs:

1. Start RMAN and connect to the CDB root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Use the following command, where `hr_appcont` is the name of the application root:

```
BACKUP PLUGGABLE DATABASE hr_appcont
```

To connect to the application root and back up the application root and all its application PDBs:

1. Start RMAN and connect to the application root as an application common user with the `SYSDBA` or `SYSBACKUP` privilege.

   The application root has its own service name and you can connect to the application root in the same way that you connect to a PDB.
2. Use the following command to back up the application container:

```
BACKUP DATABASE;
```

> **See Also:**
>
> Making Database Connections with RMAN

9.4.4 Backing Up Application PDBs

The `BACKUP` command is used to back up one or more application PDBs.

The `COMPATIBLE` parameter for the CDB must be set to 12.2 or higher.

To back up one or more application PDBs:

1. Start RMAN and establish one of the following types of connections:
   - Connect to the application root as an application common user with the `SYSDBA` or `SYSBACKUP` privilege.

     The application root has its own service name and you can connect to the application root in the same way that you connect to a PDB.
   - Connect to the CDB root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Use the `BACKUP PLUGGABLE DATABASE` command to back up the application PDB. To back up multiple application PDBs, use a comma-separated list of application PDB names.

   The following command backs up an application PDB named `hr_app_pdb`:

   ```
   BACKUP PLUGGABLE DATABASE hr_app_pdb;
   ```

> **See Also:**
>
> Making Database Connections with RMAN

9.5 Backing Up Sparse Databases with RMAN

Use the `BACKUP` command to back up sparse databases.

> **Note:**
>
> The base (read-only) data files in a sparse database are not encrypted. Ensure that the base data files are stored in a protected storage and accessed using secured communications.

9.5.1 Backing Up a Sparse Database with RMAN

You can use the `BACKUP` command to back up an entire sparse database or a database containing some sparse PDBs. The backups can be in the form of backup sets or image copies.

While backing up a sparse database, RMAN backs up data only from the delta storage space of the database. This contains the latest changes made to the data blocks within the sparse database.

Ensure you meet the following requirements before backing up a sparse database:

- The base database for your sparse database must be read-only.
- The `COMPATIBLE` initialization parameter of the database being backed up must be set to 12.2 or higher.

> **Note:**
>
> You can use the RMAN `BACKUP` command to create a sparse backup for database files located in an Oracle Advanced Cluster File System (Oracle ACFS) snapshot or fshare. See, *Oracle Advanced Cluster File System Administrator's Guide* for detailed instructions.

To back up a sparse database:

1. Start RMAN and connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Ensure that the database is mounted or open and is in `ARCHIVELOG` mode.
3. Run the `BACKUP` command with the `AS SPARSE` option. To specify your backup format, use one of the following options:
   - To create backup sets, use the `AS SPARSE BACKUPSET` option. The following example creates a sparse backup in the backup set format:

     ```
     BACKUP AS SPARSE BACKUPSET DATABASE PLUS ARCHIVELOG;
     ```

   - To create image copies, use the `AS SPARSE COPY` option. The following example creates a sparse backup in the image copy format:

     ```
     BACKUP AS SPARSE COPY DATABASE PLUS ARCHIVELOG;
     ```

   - To create compressed backup sets, use the `COMPRESSED BACKUPSET` option. The following example creates a backup in the compressed backups set format:

     ```
     BACKUP AS SPARSE COMPRESSED BACKUPSET DATABASE;
     ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

- *Oracle Database Backup and Recovery Reference*
- How to perform an RMAN sparse backup and restore of a PDB using an ACFS snapshot
- How to perform an RMAN sparse backup and restore of a PDB using an ACFS fshare

9.5.2 Backing Up Sparse Tablespaces and Data Files with RMAN

You can back up one or more tablespaces containing sparse data files or individual sparse data files using the `BACKUP` command.

While backing up tablespaces, RMAN translates the `BACKUP` command to individual data files that are sparse compatible. Similarly, only data files containing sparse data blocks are eligible for a sparse backup. RMAN creates sparse backups of sparse data files and non-sparse backups of non-sparse data files.

Ensure that the database containing the sparse tablespace or data file has the `COMPATIBLE` initialization parameter set to 12.2 or higher.

1. Start RMAN and connect to a target database. For tablespaces and data files in CDB, you must connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Ensure that the database instance is mounted or open.
3. Run the `BACKUP` command for the tablespace or data file with the `AS SPARSE` option. Specify whether you want the backup in the backup set format, image copy format, or compressed backup set format. To do this, state one of the following options:
   - To create your backup in the backup set format, use the `AS SPARSE BACKUPSET` option. The following example performs a sparse backup in the backup set format:

     ```
     BACKUP AS SPARSE BACKUPSET TABLESPACE MYTBS1;
     ```

   - To create your backup in the image copy format use the `AS SPARSE COPY` option. The following example performs a backup in the image copy format:

     ```
     BACKUP AS SPARSE COPY DATAFILE 8,9,10;
     ```

   - To create your backup in the compressed backup set format use the `COMPRESSED BACKUPSET` option. The following example performs a backup in the compressed backups set format:

     ```
     BACKUP AS SPARSE COMPRESSED BACKUPSET TABLESPACE MYTBS3;
     ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- *Oracle Database Backup and Recovery Reference*

9.5.3 Backing Up a Sparse PDB with RMAN

RMAN enables you to back up one or more sparse PDBs in a CDB.

Ensure that the `COMPATIBLE` initialization parameter is set to 12.2 or higher.

To back up a sparse PDB while connected to root:

1. Start RMAN and connect to the root as a common user with the `SYSBACKUP` or `SYSDBA` privilege.
2. Run the `BACKUP` command for the pluggable database. Specify the format for your backup:
   - To create your backup in the backup set format, use the `AS SPARSE BACKUPSET` option. The following example performs a sparse backup in the backup set format:

     ```
     BACKUP AS SPARSE BACKUPSET PLUGGABLE DATABASE PDB1;
     ```

   - To create your backup in the image copy format use the `AS SPARSE COPY` option. The following example performs a backup in the image copy format:

     ```
     BACKUP AS SPARSE COPY PLUGGABLE DATABASE PDB1;
     ```

   - To create your backup in the compressed backup set format use the `COMPRESSED BACKUPSET` option. The following example performs a backup in the compressed backups set format:

     ```
     BACKUP AS SPARSE COMPRESSED BACKUPSET PLUGGABLE DATABASE PDB1;
     ```

To back up a sparse PDB while connected to the PDB:

1. Start RMAN. Connect to the sparse PDB as a local user with the `SYSBACKUP` or `SYSDBA` privilege.
2. Run the `BACKUP` command at the RMAN prompt, with the `AS SPARSE` option and the format required. To specify the format for your backup, use one of the following commands:
   - To create your backup in the backup set format, use the `AS SPARSE BACKUPSET` option. The following example performs a sparse backup in the backup set format:

     ```
     BACKUP AS SPARSE BACKUPSET DATABASE;
     ```

   - To create your backup in the image copy format use the `AS SPARSE COPY` option. The following example performs a backup in the image copy format:

     ```
     BACKUP AS SPARSE COPY DATABASE;
     ```

   - To create your backup in the compressed backup set format use the `COMPRESSED BACKUPSET` option. The following example performs a backup in the compressed backups set format:

     ```
     BACKUP AS SPARSE COMPRESSED BACKUPSET DATABASE;
     ```

3. To back up a tablespace from a sparse PDB, connect to the selected PDB directly and then run the `BACKUP` command with the `AS SPARSE` option, the appropriate backup format, and the tablespace name.
4. To back up a data file from a sparse PDB, you can either connect to root or directly to the PDB. Run the `BACKUP` command with the `AS SPARSE` option, the backup format, and the data file name, to back up an individual data file.

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- Performing Recovery of a Sparse PDB with RMAN
  You can recover a sparse PDB while you are connected at the root level or at the CDB level.

9.6 Backing Up Archived Redo Logs with RMAN

Archived redo logs are the key to successful media recovery. You should back them up regularly.

9.6.1 About Backups of Archived Redo Logs

Several features of RMAN backups are specific to archived redo logs. For example, you can use `BACKUP ... DELETE` to delete one or all copies of archived redo logs from disk after backing them up to backup sets.

To perform operations with archived redo logs, such as backing up or switching, you must connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. You cannot perform these operations when connected to a PDB as a local user with `SYSDBA` or `SYSBACKUP`.

9.6.1.1 About Archived Redo Log Failover

Even if your redo logs are being archived to multiple destinations and you use RMAN to back up archived redo logs, RMAN selects only one copy of the archived redo log file to include in the backup set. Because logs with the same log sequence number are identical, RMAN does not need to include more than one log copy.

The archived redo log failover feature enables RMAN to complete a backup even when some archiving destinations are missing logs or contain logs with corrupt blocks. If at least one log corresponding to a given log sequence and thread is available in the fast recovery area or any of the archiving destinations, then RMAN tries to back it up. If RMAN finds a corrupt block in a log file during backup, it searches other destinations for a copy of that log without corrupt blocks.

For example, assume that you archive logs 121 through 124 to two destinations: `/arch1` and `/arch2`. Table 9-1 shows the archived redo log records in the control file.

Table 9-1 Sample Archived Redo Log Records

Sequence	File Name in /arch1	File Name in /arch2
121	/arch1/archive1_121.arc	/arch2/archive1_121.arc
122	/arch1/archive1_122.arc	/arch2/archive1_122.arc
123	/arch1/archive1_123.arc	/arch2/archive1_123.arc
124	/arch1/archive1_124.arc	/arch2/archive1_124.arc

However, unknown to RMAN, a user deletes logs 122 and 124 from the `/arch1` directory. Afterward, you run the following backup:

```
BACKUP ARCHIVELOG
  FROM  SEQUENCE 121
  UNTIL SEQUENCE 125;
```

With failover, RMAN completes the backup, using logs 122 and 124 in `/arch2`.

9.6.1.2 About Online Redo Log Switching

Automatic online redo log switching is an important RMAN feature.

To make an open database backup of archived redo logs that includes the most recent online redo log, you can execute the `BACKUP` command with any of the following clauses:

- `PLUS ARCHIVELOG`
- `ARCHIVELOG ALL`
- `ARCHIVELOG FROM ...`

Before beginning the backup, RMAN switches out of the current redo log group, and archives all online redo logs that have not yet been archived, up to and including the redo log group that was current when the command was issued. This feature ensures that the backup contains all redo generated before the start of the command.

An effective way of backing up archived redo logs is the `BACKUP ... PLUS ARCHIVELOG` command, which causes RMAN to do the following:

1. Run the `ALTER SYSTEM ARCHIVE LOG CURRENT` statement.
2. Run `BACKUP ARCHIVELOG ALL` . If backup optimization is enabled, then RMAN skips logs that it has already backed up to the specified device.
3. Back up the rest of the files specified in the `BACKUP` command.
4. Run the `ALTER SYSTEM ARCHIVE LOG CURRENT` statement.
5. Back up any remaining archived logs generated during the backup. If backup optimization is not enabled, then RMAN backs up the logs generated in Step 1 plus all the logs generated during the backup.

The preceding steps guarantee that data file backups taken during the command are recoverable to a consistent state. Also, unless the online redo log is archived at the end of the backup, `DUPLICATE` is not possible with the backup.

9.6.2 Backing Up Archived Redo Log Files

To back up archived logs, use the `BACKUP ARCHIVELOG` command.

If backup optimization is enabled, then RMAN skips backups of archived logs that have already been backed up to the specified device.

To back up archived redo log files:

1. Start RMAN and connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in Making Database Connections with RMAN. Connect to a recovery catalog (if used).
2. Ensure that the target database is mounted or open.
3. Execute the `BACKUP ARCHIVELOG` or `BACKUP ... PLUS ARCHIVELOG` command.

   The following example backs up the database and all archived redo logs:

   ```
   BACKUP DATABASE PLUS ARCHIVELOG;
   ```

   The following example uses a configured disk or SBT channel to back up one copy of each log sequence number for all archived redo logs:

   ```
   BACKUP ARCHIVELOG ALL;
   ```

   You can also specify a range of archived redo logs by time, SCN, or log sequence number, as in the following example:

   ```
   BACKUP ARCHIVELOG
     FROM TIME  'SYSDATE-30'
     UNTIL TIME 'SYSDATE-7';
   ```

9.6.3 Backing Up Only Archived Redo Logs That Need Backups

You can indicate that RMAN should *automatically* skip backups of archived redo logs.

Use one of the following techniques:

- Configure backup optimization.

  If you enable backup optimization, then the `BACKUP ARCHIVELOG` command skips backing up files when an identical archived log has been backed up to the specified device type. An archived log is considered identical to another when it has the same DBID, thread, sequence number, and `RESETLOGS` SCN and time.

- Configure an archived redo log deletion policy.

  If the deletion policy is configured with the `BACKED UP` *`integer`* `TIMES` clause, then a `BACKUP ARCHIVELOG` command copies the logs unless *`integer`* backups exist on the specified device type. If *`integer`* backups of the logs exist, then the `BACKUP ARCHIVELOG` command skips the logs.

The `BACKUP ... NOT BACKED UP` *`integer`* `TIMES` command specifies that RMAN backs up only those archived log files that have not been backed up at least *`integer`* times to

the specified device. To determine the number of backups for a file, RMAN only considers backups created on the same device type as the current backup.

The `BACKED UP` clause is a convenient way to back up archived logs to a specified device type. For example, you can specify that RMAN should keep two copies of each archived redo log on tape and skip additional backups.

1. Start RMAN and connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Connect to a recovery catalog (if used).
2. Ensure that the target database is mounted or open.
3. Ensure that appropriate channels are configured for the backup.
4. Execute the `BACKUP ARCHIVELOG` command with the `NOT BACKED UP` clause.

```
BACKUP ARCHIVELOG ALL NOT BACKED UP 2 TIMES;
```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- Configuring an Archived Redo Log Deletion Policy
  You can use RMAN to create a persistent configuration that governs when archived redo logs are eligible for deletion from disk.
- Using Backup Optimization to Skip Files
  You run the `CONFIGURE BACKUP OPTIMIZATION` command to enable backup optimization. When certain criteria are met, RMAN skips backups of files that are identical to files that are already backed up.

9.6.4 Deleting Archived Redo Logs After Backups

The `BACKUP ARCHIVELOG... DELETE INPUT` command deletes archived log files after they are backed up. This command eliminates the separate step of manually deleting archived redo logs.

With `DELETE INPUT`, RMAN deletes only the specific copy of the archived log chosen for the backup set. With `DELETE ALL INPUT`, RMAN deletes each backed-up archived redo log file from all log archiving destinations.

The `BACKUP ... DELETE INPUT` and `DELETE ARCHIVELOG` commands obey the archived redo log deletion policy for logs in all archiving locations. For example, if you specify that logs be deleted only when backed up at least twice to tape, then `BACKUP ... DELETE` honors this policy.

For the following procedure, assume that you archive to `/arc_dest1`, `/arc_dest2`, and the fast recovery area.

1. Start RMAN and connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege. Connect to a recovery catalog (if used).
2. Ensure that the target database is mounted or open.
3. Run the `BACKUP` command with the `DELETE INPUT` clause.

Assume that you run the following `BACKUP` command:

```
BACKUP DEVICE TYPE sbt
  ARCHIVELOG ALL
    DELETE ALL INPUT;
```

In this case, RMAN backs up only one copy of each log sequence number in these archiving locations. RMAN deletes all copies of any log that it backed up from both the fast recovery area and the other archiving destinations.

If you specify `DELETE INPUT` rather than `DELETE ALL INPUT`, then RMAN only deletes the specific archived redo log files that it backed up. For example, RMAN deletes the logs in `/arc_dest1` if these files were used as the source of the backup, but leave the contents of the `/arc_dest2` intact.

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- Configuring an Archived Redo Log Deletion Policy
  You can use RMAN to create a persistent configuration that governs when archived redo logs are eligible for deletion from disk.
- Deleting RMAN Backups and Archived Redo Logs
  You can use the RMAN `DELETE` command to delete archived redo logs and RMAN backups.

9.7 Making and Updating RMAN Incremental Backups

An incremental backup copies only data file blocks that have changed since a specified previous backup. Use the `BACKUP` command to create incremental backups.

An incremental backup is either a cumulative incremental backup or a differential incremental backup.

Although the content of the backups is the same, `BACKUP DATABASE` and `BACKUP INCREMENTAL LEVEL 0 DATABASE` are different. A full backup is not usable as part of an incremental strategy, whereas a level 0 incremental backup is the basis of an incremental strategy. No RMAN command can change a full backup into a level 0 incremental backup.

As with full backups, RMAN can make incremental backups of an `ARCHIVELOG` mode database that is open. If the database is in `NOARCHIVELOG` mode, then RMAN can make incremental backups only after a consistent shutdown.

This section describes how to plan and implement an incremental backup strategy.

9.7.1 Purpose of RMAN Incremental Backups

RMAN incremental backups provide multiple benefits.

The primary reasons for making incremental backups part of your strategy are:

- Faster daily backups if block change tracking is enabled

- Ability to roll forward data file image copies, thereby reducing recovery time and avoiding repeated full backups
- Less bandwidth consumption when backing up over a network
- Improved performance when the aggregate tape bandwidth for tape write I/Os is much less than the aggregate disk bandwidth for disk read I/Os
- Possibility of recovering changes to objects created with the `NOLOGGING` option

  For example, direct load inserts do not create redo log entries, so their changes cannot be reproduced with media recovery. Direct load inserts do change data blocks, however, and these blocks are captured by incremental backups.
- Ability to synchronize a physical standby database with the primary database

  You can use the RMAN `BACKUP INCREMENTAL FROM SCN` command to create a backup on the primary database that starts at the current SCN of the standby database, which you can then use to roll forward the standby database.

Related Topics

- Using Block Change Tracking to Improve Incremental Backup Performance
  The block change tracking feature for incremental backups improves backup performance by recording changed blocks for each data file.
- *Oracle Data Guard Concepts and Administration*

9.7.2 Planning an Incremental Backup Strategy

Choose a backup strategy according to an acceptable MTTR (mean time to recover).

For example, you can implement a three-level backup scheme so that a level 0 backup is taken monthly, a cumulative level 1 is taken weekly, and a differential level 1 is taken daily. In this strategy, you never have to apply more than a day of redo for complete recovery.

When deciding how often to take level 0 backups, a general rule is to take a new level 0 backup whenever 20% or more of the data has changed. If the rate of change to your database is predictable, then you can observe the size of your incremental backups to determine when a new level 0 backup is appropriate. The following SQL query determines the number of blocks written to an incremental level 1 backup of each data file with at least 20% of its blocks backed up:

```
SELECT   FILE#, INCREMENTAL_LEVEL, COMPLETION_TIME,
         BLOCKS, DATAFILE_BLOCKS
FROM     V$BACKUP_DATAFILE
WHERE    INCREMENTAL_LEVEL > 0
AND      BLOCKS / DATAFILE_BLOCKS > .2
ORDER BY COMPLETION_TIME;
```

Compare the number of blocks in level 1 backups to a level 0 backup. For example, if you create only level 1 cumulative backups, then take a new level 0 backup when the most recent level 1 backup is about half the size of the level 0 backup.

An effective way to conserve disk space is to make incremental backups to disk, and then offload the backups to tape with the `BACKUP AS BACKUPSET` command. Incremental backups are generally smaller than full backups, which limits the space required to store them until they are moved to tape. When the incremental backups on disk are backed up to tape, the tape is more likely to stream because all blocks of the incremental backup are copied to tape.

There is no possibility of delay due to time required for RMAN to locate changed blocks in the data files.

Another strategy is to use incrementally updated backups. In this strategy, you create an image copy of each data file, and then periodically roll forward this copy by making and then applying a level 1 incremental backup. In this way you avoid the overhead of making repeated full image copies of your data files, but enjoy all of the advantages.

In a Data Guard environment, you can offload incremental backups to a physical standby database. Incremental backups of a standby and primary database are interchangeable. Thus, you can apply an incremental backup of a standby database to a primary database, or apply an incremental backup of a primary database to a standby database.

Related Topics

- Incrementally Updating Backups
  By incrementally updating backups, you can avoid the overhead of making full image copy backups of data files, while also minimizing time required for media recovery of your database.
- *Oracle Data Guard Concepts and Administration*

9.7.3 Making Incremental Backups

Use the `BACKUP INCREMENTAL` command to create incremental backups. By default incremental backups are differential.

1. Start RMAN and connect to a target database and a recovery catalog (if used).
2. Ensure that the target database is mounted or open.
3. Execute the `BACKUP INCREMENTAL` command with the desired options.

   Use the `LEVEL` parameter to indicate the incremental level. The following example makes a level 0 incremental database backup.

   ```
   BACKUP
     INCREMENTAL LEVEL 0
     DATABASE;
   ```

   The following example makes a differential incremental backup at level 1 of the `SYSTEM` and `tools` tablespaces. It only backs up those data blocks changed since the most recent level 1 or level 0 backup.

   ```
   BACKUP
     INCREMENTAL LEVEL 1
     TABLESPACE SYSTEM, tools;
   ```

   The following example makes a cumulative incremental backup at level 1 of the tablespace `users`, backing up all blocks changed since the most recent level 0 backup.

   ```
   BACKUP
     INCREMENTAL LEVEL 1 CUMULATIVE
     TABLESPACE users;
   ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

9.7.3.1 Making Incremental Backups of a VSS Snapshot

You can use the Volume Shadow Copy Service (VSS) with the Oracle VSS writer to make a shadow copy or snapshot of files in a database.

You must use a third-party backup program other than RMAN to make VSS snapshots with the Oracle VSS writer. In this case, the fast recovery area automates management of files that are backed up in a VSS snapshot and deletes them as needed.

You can use the `BACKUP INCREMENTAL LEVEL 1 ... FROM SCN` command in RMAN to create incremental backups in the fast recovery area. Thus, you can use this command to create an incremental level 1 backup of a VSS shadow copy. RMAN can apply incremental backups during recovery transparently.

Related Topics

- *Oracle Database Administrator's Reference for Microsoft Windows*

9.7.4 Incrementally Updating Backups

By incrementally updating backups, you can avoid the overhead of making full image copy backups of data files, while also minimizing time required for media recovery of your database.

For example, if you run a daily backup script, then you never have more than 1 day of redo to apply for media recovery.

1. Create a full image copy backup of a data file with a specified tag.
2. At regular intervals (such as daily), make a level 1 differential incremental backup of the data file and use the same tag as the base data file copy.
3. Apply the incremental backup to the most recent backup with the same tag.

This technique rolls forward the backup to the time when the level 1 incremental backup was made. RMAN can restore this incremental forever and apply changes from the redo log. The result equals restoring a data file backup taken at the SCN of the most recently applied incremental level 1 backup.

> **Note:**
>
> If you run `RECOVER COPY` daily *without* specifying an `UNTIL TIME`, then a continuously updated image copy cannot satisfy a recovery window of more than a day. The incrementally updated backup feature is an optimization for fast media recovery.

9.7.4.1 Incrementally Updating Backups: Basic Example

To create incremental backups for use in an incrementally updated backup strategy, use the `BACKUP...FOR RECOVER OF COPY WITH TAG` form of the `BACKUP` command.

The command is best understood in a sample script that implements the strategy.

The script in the following example, run regularly, is all that is required to implement a strategy based on incrementally updated backups.

Example 9-15 Basic Incremental Update Script

```
RUN
{
  RECOVER COPY OF DATABASE
    WITH TAG 'incr_update';
  BACKUP
    INCREMENTAL LEVEL 1
    FOR RECOVER OF COPY WITH TAG 'incr_update'
    DATABASE;
}
```

To understand the script and the strategy, you must understand the effects of these two commands when no data file copies or incremental backups exist. Note two important features:

- The `BACKUP` command in script does not always create a level 1 incremental backup.
- The `RECOVER` command in script causes RMAN to apply any available incremental level 1 backups with the specified tag to a set of data file copies with the same tag.

Table 9-2 shows the effect of the script when it is run once per day starting on Monday.

Table 9-2 Effect of Basic Script When Run Daily

Command	Monday	Tuesday	Wednesday	Thursday Onward
`RECOVER`	Because no incremental backup or data file copy exists, the command generates a message (but not an error). That is, the command has no effect.	A database copy now exists, but no incremental level 1 backup exists with which to recover it. Thus, the `RECOVER` command has no effect.	The level 1 incremental backup made on Tuesday is applied to the database copy, bringing the copy up to the checkpoint SCN of the level 1 incremental backup.	The level 1 incremental backup made yesterday is applied to the database copy, bringing the copy up to the checkpoint SCN of the level 1 incremental backup.
`BACKUP`	No level 0 image copy exists, so the command creates an image copy of the database and applies the tag `incr_update`. This copy is needed to begin the cycle of incremental updates. **Note:** If the script sets `DEVICE TYPE sbt`, then the first run creates the copy on disk, not on tape. Subsequent runs make level 1 backups on tape.	The command makes an incremental level 1 backup and assigns it the tag `incr_update`. This backup contains blocks that changed between Monday and Tuesday.	The command makes an incremental level 1 backup and assigns it the tag `incr_update`. This backup contains blocks that changed between Tuesday and Wednesday.	The command makes an incremental level 1 backup and assigns it the tag `incr_update`. This backup contains blocks that changed between now and the most recent backup with the tag `incr_update`.

Note the following additional details about Example 9-15:

- Each time a data file is added to the database, an image copy of the new data file is created the next time the script runs. The next run makes the first level 1 incremental for the added data file. On all subsequent runs the new data file is processed like any other data file.
- You must use tags to identify the data file copies and incremental backups in this strategy so that they do not interfere with other backup strategies. If you use multiple incremental backup strategies, then RMAN cannot unambiguously create incremental level 1 backups unless you tag level 0 backups.

  The incremental level 1 backups to apply to those image copies are selected based upon the tag of the image copy data files and the available incremental level 1 backups. The tag is essential in the selection of the incremental level backups.
- After the third run of the script, the following files are available for a point-in-time recovery:
  - An image copy of the database, as of the checkpoint SCN of the preceding run of the script, 24 hours earlier
  - An incremental backup for the changes after the checkpoint SCN of the preceding run
  - Archived redo logs including all changes between the checkpoint SCN of the image copy and the current time

  If you must restore and recover your database during the following 24 hours, then you can restore the data files from the incrementally updated data file copies. You can then apply changes from the most recent incremental level 1 and the redo logs to reach the desired SCN. At most, you have 24 hours of redo to apply, which limits how long point-in-time recovery takes to finish.

9.7.4.2 Incrementally Updated Backups: Advanced Example

This example provides fast recoverability to a window greater than 24 hours

You can extend the basic script in Example 9-15.

Example 9-16 Advanced Incremental Update Script

This example shows how to maintain a window of 7 days by specifying the beginning time of your window of recoverability in the `RECOVER` command.

```
RUN
{
  RECOVER COPY OF DATABASE
    WITH TAG 'incr_update'
    UNTIL TIME 'SYSDATE - 7';
  BACKUP
    INCREMENTAL LEVEL 1
    FOR RECOVER OF COPY WITH TAG 'incr_update'
    DATABASE;
}
```

The following table shows the effect of the script when it is run once per day starting on Monday, January 1.

Table 9-3 Effect of Advanced Script When Run Daily

Command	Monday 1/1	Tuesday 1/2 - Monday 1/8	Tuesday 1/9	Wednesday 1/10 Onward
`RECOVER`	Because no incremental backup or data file copy exists, the command generates a message (but not an error). That is, the command has no effect.	A database copy exists, but `SYSDATE-7` specifies a time *before* the base copy was created. For example, on Wednesday `SYSDATE-7` specifies the Wednesday *before* Monday 1/1. Thus, the `RECOVER` command has no effect.	`SYSDATE-7` now specifies a date *after* the base copy was created. The database copy made on Monday 1/1 is updated with the incremental backup made on Tuesday 1/2, bringing the copy up to the checkpoint SCN of the level 1 incremental backup.	The database copy is updated with the incremental backup made 7 days ago, bringing the copy up to the checkpoint SCN of the level 1 incremental backup.
`BACKUP`	No level 0 image copy exists, so the command creates an image copy of the database and applies the tag `incr_update`. This copy is needed to begin the cycle of incremental updates. **Note:** If the script sets `DEVICE TYPE sbt`, then the first run creates the copy on disk, not on tape. Subsequent runs make level 1 backups on tape.	The command makes an incremental level 1 backup and assigns it the tag `incr_update`. This backup contains blocks that changed between yesterday and today.	The command makes an incremental level 1 backup and assigns it the tag `incr_update`. This backup contains blocks that changed between Monday 1/8 and Tuesday 1/9.	The command makes an incremental level 1 backup and assigns it the tag `incr_update`. This backup contains blocks that changed between yesterday and today.

As with the basic script in Example 9-15, you have fast recoverability to any point in time between the SCN of the data file copies and the present. RMAN can use both block changes from the incremental backups and individual changes from the redo logs. Because you have the daily level 1 incremental backups, you never need to apply more than 1 day of redo.

Related Topics

- *Oracle Database Backup and Recovery Reference*

9.7.5 Creating a Base Backup of New Data Files

When you use an automated backup strategy that includes scheduled archived redo logs backups, you must back up new data files that have not yet been included in a level 0 or level 1 backup.

If one or more data files are created as part of a transportable tablespace import, plugging in a pluggable database (PDB), or creating a PDB, the archived redo logs created after the data files are created will include changes to these data files. However, there is no base backup of the new data files to which the changes in the archived redo log files can be applied. For the new data files to be recoverable, a base backup of these data files is essential.

Based on your backup strategy, use one of the following techniques to create a base backup of data files added as part of the operations described in the this topic:

- For incremental backups, use a command such as the following to back up data files that are not yet backed up:

  ```
  BACKUP DEVICE TYPE disk INCREMENTAL LEVEL 1 DATABASE TAG 'incr_bkup' NOT
  BACKED UP;
  ```

- For incrementally updated backups, use the following command to back up data files that are not yet backed up.

  ```
  BACKUP AS COPY DATABASE NOT BACKED UP TAG 'incr_upd';
  ```

  Note that `incr_upd` is the tag that was specified in the `BACKUP DATABASE ...FOR RECOVER OF TAG` command.

- For backup strategies that use the `FOR RECOVER OF TAG` clause, use a command such as the following to back up data files that are yet to be backed up:

  ```
  BACKUP DEVICE TYPE sbt INCREMENTAL LEVEL 1 FOR RECOVER OF TAG 'ibkup_tag'
  DATABASE NOT BACKED UP;
  ```

9.7.6 Using Block Change Tracking to Improve Incremental Backup Performance

The block change tracking feature for incremental backups improves backup performance by recording changed blocks for each data file.

This section describes how to manage block change tracking.

9.7.6.1 About Block Change Tracking

Block change tracking tracks data file blocks affected by each database update.

If block change tracking is enabled on a primary or standby database, then RMAN uses a block change tracking file to identify changed blocks for incremental backups. By reading this small bitmap file to determine which blocks changed, RMAN avoids having to scan every block in the data file that it is backing up.

Block change tracking is disabled by default. Nevertheless, the benefits of avoiding full data file scans during backup are considerable, especially if only a small percentage of data blocks are changed between backups. If your backup strategy involves incremental backups, then block change tracking is recommended. Block change tracking does not change the commands used to perform incremental backups. The block change tracking file requires no maintenance after initial configuration.

You can only enable block change tracking at a physical standby database if a license for the Oracle Active Data Guard option is enabled.

9.7.6.1.1 About Space Management in the Block Change Tracking File

Oracle Database automatically manages space in the change tracking file to retain block change data that covers the eight most recent backups. After the maximum of eight bitmaps is reached, the oldest bitmap is overwritten by the bitmap that tracks the current changes.

The block change tracking file maintains bitmaps that mark changes in the data files between backups. The database performs a bitmap switch before each backup.

The first level 0 incremental backup scans the entire data file. Subsequent incremental backups use the block change tracking file to scan only the blocks that have been marked as changed since the last backup. An incremental backup can be optimized only when it is based on a parent backup that was made after the start of the oldest bitmap in the block change tracking file.

Consider the eight-bitmap limit when developing your incremental backup strategy. For example, if you make a level 0 database backup followed by seven differential incremental backups, then the block change tracking file now includes eight bitmaps. If you then make a cumulative level 1 incremental backup, then RMAN cannot optimize the backup, because the bitmap corresponding to the parent level 0 backup is overwritten with the bitmap that tracks the current changes.

9.7.6.1.2 Location of the Block Change Tracking File

By default, the block change tracking file is created as an Oracle managed file in the destination specified by the `DB_CREATE_FILE_DEST` initialization parameter. You can also place the block change tracking file in any location that you choose, by specifying its name when enabling block change tracking.

One block change tracking file is created for the whole database. Oracle recommends against using a raw device (that is, a disk without a file system) as a change tracking file.

> **Note:**
>
> In an Oracle Real Application Clusters (Oracle RAC) environment, the change tracking file must be located on shared storage accessible from all nodes in the cluster.

RMAN does not support backup and recovery of the change tracking file. The database resets the change tracking file when it determines that the change tracking file is invalid. If you restore and recover the whole database or a subset, then the database resets the block change tracking file and starts tracking changes again. After you make a level 0 incremental backup, the next incremental backup can use change tracking data.

9.7.6.1.3 About the Size of the Block Change Tracking File

The size of the block change tracking file is proportional to the size of the database and the number of enabled threads of redo.

The size of the block change tracking file can increase and decrease as the database changes. The size is not related to the frequency of updates to the database. Typically, the space required for block change tracking for a single instance is approximately 1/30,000 the size of the data blocks to be tracked. For an Oracle RAC environment, it is 1/30,000 of the size of the database, times the number of enabled threads.

The following factors that may cause the file to be larger than this estimate suggests:

- To avoid the overhead of allocating space as your database grows, the block change tracking file size starts at 10 megabytes. New space is allocated in 10 MB increments. Thus, for any database up to approximately 300 gigabytes, the file size is no smaller than 10 MB, for up to approximately 600 gigabytes the file size is no smaller than 20 megabytes, and so on.
- For each data file, a minimum of 320 kilobytes of space is allocated in the block change tracking file, regardless of the size of the data file. Thus, if you have a large number of relatively small data files, the change tracking file is larger than for databases with a smaller number of larger data files containing the same data.

9.7.6.2 Enabling Block Change Tracking

You can enable block change tracking when the database is either open or mounted.

This section assumes that you intend to create the block change tracking file as an Oracle managed file in the database area, which is where the database maintains active database files such as data files, control files, and online redo log files.

1. Start SQL*Plus and connect to a target database with administrator privileges.
2. Ensure that the `DB_CREATE_FILE_DEST` initialization parameter is set.

   ```
   SHOW PARAMETER DB_CREATE_FILE_DEST
   ```

   If the parameter is not set, and if the database is open, then you can set the parameter with the following form of the `ALTER SYSTEM` statement:

   ```
   ALTER SYSTEM SET
     DB_CREATE_FILE_DEST = '/disk1/bct/'
     SCOPE=BOTH SID='*';
   ```

3. Enable block change tracking.

   Execute the following `ALTER DATABASE` statement:

   ```
   ALTER DATABASE ENABLE BLOCK CHANGE TRACKING;
   ```

   You can also create the change tracking file in a location that you choose yourself by using the following form of SQL statement:

   ```
   ALTER DATABASE ENABLE BLOCK CHANGE TRACKING
     USING FILE '/mydir/rman_change_track.f' REUSE;
   ```

   The `REUSE` option tells Oracle Database to overwrite any existing block change tracking file with the specified name.

> **Note:**
>
> If you enable block change tracking on a physical standby database, the change will take effect the next time the managed recovery process starts. Therefore, if you enable block change tracking when the managed recovery is in progress, then you must stop and restart the managed recovery process to benefit from fast incremental backups.

9.7.6.3 Disabling Block Change Tracking

When you disable block change tracking, the database removes the block change tracking file from the operating system.

This section assumes that the block change tracking feature is currently enabled.

To disable block change tracking:

1. Start SQL*Plus and connect to a target database with administrator privileges.
2. Ensure that the target database is mounted or open.
3. Disable block change tracking.

   Execute the following `ALTER DATABASE` statement:

```
ALTER DATABASE DISABLE BLOCK CHANGE TRACKING;
```

9.7.6.4 Checking Whether Change Tracking Is Enabled

You can query the `V$BLOCK_CHANGE_TRACKING` view to determine whether change tracking is enabled, and if it is, the file name of the block change tracking file.

To determine whether change tracking is enabled:

Enter the following query in SQL*Plus (sample output included):

```
COL STATUS   FORMAT A8
COL FILENAME FORMAT A60

SELECT STATUS, FILENAME
FROM   V$BLOCK_CHANGE_TRACKING;

STATUS   FILENAME
-------- ------------------------------------------------------------
ENABLED  /disk1/bct/RDBMS/changetracking/o1_mf_2f71np5j_.chg
```

9.7.6.5 Changing the Location of the Block Change Tracking File

To move the change tracking file, use the `ALTER DATABASE RENAME FILE` statement.

The database must be mounted. The statement updates the control file to refer to the new location and preserves the contents of the change tracking file. If you cannot shut down the database, then you can disable and enable block change tracking. In this case, you lose the contents of the existing block change tracking file.

To change the location of the change tracking file:

1. Start SQL*Plus and connect to a target database.
2. If necessary, determine the current name of the change tracking file:

```
SQL> SELECT FILENAME FROM V$BLOCK_CHANGE_TRACKING;
```

3. If possible, shut down the database. For example:

```
SQL> SHUTDOWN IMMEDIATE
```

   If you shut down the database, then skip to the next step. If you choose not to shut down the database, then execute the following SQL statements and skip all remaining steps:

```
SQL> ALTER DATABASE DISABLE BLOCK CHANGE TRACKING;
SQL> ALTER DATABASE ENABLE BLOCK CHANGE TRACKING USING FILE
'new_location';
```

   In this case you lose the contents of the block change tracking file.
4. Using host operating system commands, move the block change tracking file to its new location.
5. Mount the database and move the change tracking file to a location that has more space. For example:

```
ALTER DATABASE RENAME FILE
   '/disk1/bct/RDBMS/changetracking/o1_mf_2f71np5j_.chg' TO
   '/disk2/bct/RDBMS/changetracking/o1_mf_2f71np5j_.chg';
```

   This statement changes the location of the change tracking file while preserving its contents.
6. Open the database:

```
SQL> ALTER DATABASE OPEN;
```

> **See Also:**
>
> *Oracle Database SQL Language Reference* to learn about the `ALTER DATABASE` statement and the `ALTER SYSTEM` statement

9.8 Making Database Backups for Long-Term Storage

This section explains the basic concepts and tasks involved in making backups for long-term storage.

9.8.1 Purpose of Archival Backups

You can use `BACKUP... KEEP` to create a backup that is both all-inclusive and exempt from the backup retention policy.

The backup is all-inclusive because every file needed to restore and recover the database is backed up to a single disk or tape location. The `KEEP` option also specifies that the backup is exempt from the retention policy either forever or for a specified period. The general name for a backup created with `BACKUP ... KEEP` is an archival backup.

One purpose of a backup and recovery strategy is to preserve data. You can use `BACKUP ... KEEP` to retain a database backup for longer than the time dictated by the retention policy. For example, you can back up the database on the first day of every year to satisfy a regulatory requirement and store the media off-site. Years after you make the archival backup, you can restore and recover it to query the data as it appeared at the time of the backup.

Another purpose of an archival backup is to create a backup that you want to restore for testing purposes and then delete. For example, you can back up the database, restore the database in a test environment, and then discard the archival backup after the test database is operational. A related purpose is to create a self-contained backup that you can delete after transferring it to another user or host. For example, another user might want a copy of the database for reporting or testing.

9.8.2 Basic Concepts of Archival Backups

You can exempt a backup from the retention policy by using the `KEEP` option with the `BACKUP` command.

You can also use the `KEEP` and `NOKEEP` options of the `CHANGE` command to change the status of an existing backup. Backups with `KEEP` attributes are valid backups that can be recovered like any other backups.

You can specify an end date for an archival backup with the `KEEP UNTIL TIME` clause, or specify that the backup is kept `FOREVER`. If you specify `UNTIL`, then RMAN marks the backup as obsolete when the `UNTIL` time has passed, regardless of any configured retention policy. For example, if you specify `KEEP UNTIL TIME '01-JAN-13'`, then the backup is obsolete one second after midnight on January 1, 2013. If you specify an `UNTIL TIME` of 9:00 p.m, then the backup is obsolete at 9:01 p.m.

When you specify `KEEP` on the `BACKUP` command, RMAN generates multiple backup sets. Note the following characteristics of the `BACKUP ... KEEP` command:

- It automatically backs up the data files, control file (even if the control file autobackup is disabled), and the server parameter file.
- It automatically generates an archived redo log backup to ensure that the database backup can be recovered to a consistent state.
- If the `FORMAT`, `POOL`, or `TAG` parameters are specified, then they are used for all backups. For this reason, the `FORMAT` string must allow for the creation of multiple backup pieces. Specifying the `%U` substitution variable is the easiest way to meet this requirement.

- It supports an optional `RESTORE POINT` clause that creates a normal restore point, which is a label for an SCN to which the backup must be recovered to be made consistent. The SCN is captured just after the data file backups complete. RMAN resynchronizes restore points with the recovery catalog and maintains the restore points while the backup exists.

Related Topics

- Listing Restore Points Using the LIST Command
  Use the `LIST` command to list either a specific restore point or all restore points known to the RMAN repository.

9.8.3 Making an Archival Backup for Long-Term Storage

Typically, you make an archival backup to tape. Because your data protection backups are most likely to be on a set of tapes that remain accessible and are recycled, it is advisable to reserve a set of tapes for the archival backup.

You can write the archival backup to this special set of tapes and then place them in off-site storage. You can vary the procedure for creating an archival backup by creating a stored script or shell script that updates dynamically. When you run the script, you can dynamically set the name of the restore point, backup format, and so on.

Related Topics

- Using Substitution Variables in Command Files
  When running a command file, you can specify one or more values in a `USING` clause for use in substitution variables in a command file. In this way, you can make your command files dynamic.
- Creating and Executing Dynamic Stored Scripts
  You can specify substitution variables in the `CREATE SCRIPT` command.

9.8.3.1 Making an Archival Backup

Use the `BACKUP` command with the `KEEP` option to make archival backups.

This scenario makes a long-term archival backup with a backup tag of `QUARTERLY` and assigns it to a special family of Oracle Secure Backup tapes reserved for long-term storage. Note the following features of this example:

- The `FOREVER` keyword indicates that this backup is never eligible for deletion by the backup retention policy.
- The `BACKUP` command creates the restore point named `FY06Q4` to match the SCN at which point this backup is consistent.

To make a long-term archival backup:

1. Start RMAN and connect to a target database and recovery catalog.

   The target database can be open or mounted. A recovery catalog is required for `KEEP FOREVER`, but is not required for any other `KEEP` option.

2. Run `BACKUP ... KEEP` to make the backup.

   The following example generates a data file and archived log backup and creates a normal restore point. The specified restore point must not already exist.

   The log backup contains just those archived logs needed to restore this backup to a consistent state. The database performs an online redo log switch to archive the redo

that is in the current online logs and is necessary to make this new backup consistent. The control file autobackup has a copy of the restore point, so it can be referenced as soon as the control file is restored.

```
RUN
{
  ALLOCATE CHANNEL c1
    DEVICE TYPE sbt
    PARMS 'ENV=(OB_MEDIA_FAMILY=archival_backup)';
  BACKUP DATABASE
    TAG quarterly
    KEEP FOREVER
    RESTORE POINT FY06Q4;
}
```

The following variation keeps the backup for 365 days instead of keeping it forever. After a year has passed, the backup becomes obsolete regardless of the backup retention policy settings.

```
RUN
{
  ALLOCATE CHANNEL c1 DEVICE TYPE sbt
    PARMS 'ENV=(OB_MEDIA_FAMILY=archival_backup)';
  BACKUP DATABASE
    TAG quarterly
    KEEP UNTIL TIME 'SYSDATE+365'
    RESTORE POINT FY06Q4;
}
```

3. Validate the backup to verify that your database can be recovered using this backup.

   An archival backup may be invalidated if certain operations, such as plugging in a PDB, are performed when the archival backup is being created. Validate the backup to verify that your archival backup can be used to successfully recover the database. It is good practice to validate archival backups at regular intervals.

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.
- Overview of Flashback Database, Restore Points and Guaranteed Restore Points
  Oracle Flashback Database and restore points are related data protection features that enable you to rewind data back in time to correct any problems caused by logical data corruption or user errors within a designated time window.
- Validating Backups Before Restoring Them
  You can run `RESTORE...VALIDATE` to test whether RMAN can restore a specific file or set of files from a backup. RMAN chooses which backups to use.

9.8.4 Making a Temporary Archival Backup

One purpose of an archival backup is to create a test database.

The technique for making a test database is essentially the same as the technique described in "Making an Archival Backup for Long-Term Storage". The difference is that you intend to delete the backup soon after creating it.

You can specify the temporary status of the backup with the `BACKUP ... KEEP UNTIL` parameter. Assume that you want to make a backup and then restore it to a new host the same day. In this case, you can specify `KEEP UNTIL TIME SYSDATE+1` to indicate that RMAN overrides the retention policy for this backup for only one day. After one day, the backup becomes obsolete, regardless of any configured backup retention policy.

Example 9-17 Creating a Temporary Archival Backup

This example makes an archival backup on a temporary disk with the tag `TESTDB`. It creates a normal restore point, which is a label for the time to which the backup is recovered. RMAN only backs up the archived redo logs if the database is open during the backup. Archived logs are not needed for offline backups and so are not backed up.

```
BACKUP DATABASE
  FORMAT '/disk1/oraclebck/%U'
  TAG TESTDB
  KEEP UNTIL TIME 'SYSDATE+1'
  RESTORE POINT TESTDB06;
```

The recommended technique for restoring an archival backup is to use the `DUPLICATE` command.

Related Topics

- Making an Archival Backup for Long-Term Storage
  Typically, you make an archival backup to tape. Because your data protection backups are most likely to be on a set of tapes that remain accessible and are recycled, it is advisable to reserve a set of tapes for the archival backup.

9.9 Backing Up RMAN Backups

This section explains how to back up backup sets and image copies.

9.9.1 About Backups of RMAN Backups

You can use the `BACKUP BACKUPSET` command to back up backup sets produced by other backup jobs. You can also use `BACKUP RECOVERY AREA` to back up recovery files created in the current and all previous fast recovery area destinations.

Recovery files are full and incremental backup sets, control file autobackups, data file copies, and archived redo logs. SBT and disk backups are supported for `BACKUP RECOVERY AREA`. For disk backups of the recovery files, you must use the `TO DESTINATION` option.

The preceding commands are especially useful in the following scenarios:

- Ensuring that all backups exist both on disk and on tape.

- Moving backups from disk to tape and then freeing space on disk. This task is especially important when the database uses a fast recovery area so that the space can be reused as needed.

You can also use the `BACKUP COPY OF` command to back up image copies of data files, control files, and archived redo logs. The output of this command can be either backup sets or image copies, so you can generate backup sets from image copies. This form of backup is used to back up a database backup created as image copies on disk to tape.

> **Note:**
>
> In a multitenant environment, you cannot back up backup sets and image copies of PDBs that have been dropped. RMAN skips backing up these backups.

9.9.1.1 About Multiple Copies of RMAN Backup Sets

The `BACKUP BACKUPSET` command creates additional copies of backup pieces in a backup set, but does not create a new backup set.

Thus, `BACKUP BACKUPSET` is similar to using the `DUPLEX` or `MAXCOPIES` option of `BACKUP`. The extra copy of a backup set created by `BACKUP BACKUPSET` is not a new backup set, just as copies of a backup set produced by other forms of the `BACKUP` command are not separate backup sets.

Related Topics

- Duplexing Backup Sets
  RMAN can make up to four copies of a backup set simultaneously, each an exact duplicate of the others.

9.9.1.2 Viewing the Effect of a Backup Retention Policy on Backups of Backups

For a backup retention policy based on redundancy, a backup set is counted as one instance of a backup. This statement is true even if there are multiple copies of the backup pieces that form the backup set, such as when a backup set has been backed up from disk to tape.

For a recovery window retention policy, either all of the copies of a backup set are obsolete, or none of them are. This point is easiest to grasp when viewing the output of the `LIST` and `REPORT` commands.

To view the effect of a backup retention policy on backups of backups:

1. Back up a data file.

   The following example backs up data file 5:

   ```
   BACKUP AS BACKUPSET DATAFILE 5;
   ```

2. Run the `LIST` command for the data file backup from Step 1.

For example, run the following command (sample output included).

```
LIST BACKUP OF DATAFILE 5 SUMMARY;

List of Backups
===============
Key     TY LV S Device Type Completion Time #Pieces #Copies Compressed Tag
------- -- -- - ----------- --------------- ------- ------- ---------- ---
18      B  F  A DISK        04-AUG-13       1       1       NO
TAG20070804T160 134
```

3. Use the backup set key from the previous step to back up the backup set.

   For example, enter the following command:

   ```
   BACKUP BACKUPSET 18;
   ```

4. Run the same `LIST` command that you ran in Step 2.

   For example, run the following command (sample output included).

   ```
   LIST BACKUP OF DATAFILE 5 SUMMARY;

   List of Backups
   ===============
   Key     TY LV S Device Type Completion Time #Pieces #Copies Compressed Tag
   ------- -- -- - ----------- --------------- ------- ------- ---------- ---
   18      B  F  A DISK        04-AUG-13       1       2       NO
   TAG20070804T160 134
   ```

   Only one backup set is shown in this output, but there are now two copies of it.

5. Generate a report to see the effect of these copies under a redundancy-based backup retention policy.

   For example, issue the following command:

   ```
   REPORT OBSOLETE REDUNDANCY 1;
   ```

   No copy is reported as obsolete because both copies of the backup set have the same values for `set_stamp` and `set_count`.

6. Generate a report to see the effect of these copies under a recovery window-based backup retention policy.

   For example, issue the following command:

   ```
   REPORT OBSOLETE RECOVERY WINDOW OF 1 DAYS;
   ```

   No copy of the backup set is reported as obsolete or based on the `CHECKPOINT_CHANGE#` of this backup set, with the current time and the availability of other backups.

Related Topics

- Configuring a Redundancy-Based Retention Policy
  The `REDUNDANCY` parameter of the `CONFIGURE RETENTION POLICY` command specifies how many full or level 0 backups of each data file and control file that RMAN keeps. The default retention policy is `REDUNDANCY 1`.
- Reporting on RMAN Operations
  Run reports on RMAN operations to determine files that need back up, monitor space usage, and query backup metadata.

9.9.2 Backing Up Backup Sets with RMAN

Use the `BACKUP BACKUPSET` command to copy backup sets from disk to tape.

The procedure in this section assumes that you have configured an SBT device as your default device.

1. If you are backing up a subset of available backup sets, then execute the `LIST BACKUPSET` command to obtain their primary keys.

   The following example lists the backup sets in summary form:

```
RMAN> LIST BACKUPSET SUMMARY;

List of Backups
===============
Key TY LV S Device Type Completion Time #Pieces #Copies Comp Tag
--- -- -- - ----------- --------------- ------- ------- ---- ---
1   B  F  A DISK        28-MAY-13       1       1       NO
TAG20070528T132432
2   B  F  A DISK        29-MAY-13       1       1       NO
TAG20070529T132433
3   B  F  A DISK        30-MAY-13       1       1       NO
TAG20070530T132434
```

   The following example lists details about backup set 3:

```
RMAN> LIST BACKUPSET 3;

List of Backup Sets
===================

BS Key  Type LV Size       Device Type Elapsed Time Completion Time
------- ---- -- ---------- ----------- ------------ ---------------
3       Full    8.33M      DISK        00:00:01     30-MAY-13
        BP Key: 3   Status: AVAILABLE  Compressed: NO  Tag:
TAG20070530T132434
        Piece Name: /disk1/oracle/dbs/c-35764265-20070530-02
  Control File Included: Ckp SCN: 397221       Ckp time: 30-MAY-13
  SPFILE Included: Modification time: 30-MAY-13
  SPFILE db_unique_name: PROD
```

2. Execute the `BACKUP BACKUPSET` command.

The following example backs up all disk backup sets to tape and then deletes the input disk backups:

```
BACKUP BACKUPSET ALL
  DELETE INPUT;
```

The following example backs up only the backup sets with the primary key 1 and 2 to tape and then deletes the input disk backups:

```
BACKUP BACKUPSET 1,2
  DELETE INPUT;
```

3. Optionally, execute the `LIST` command to see a listing of backup sets and pieces.

   The output lists all copies, including backup piece copies created by `BACKUP BACKUPSET` .

9.9.3 Backing Up Image Copy Backups with RMAN

Use the `BACKUP` command to back up image copies to tape.

This section assumes that you have configured an SBT device as your default device.

When you back up image copies that have multiple copies of the data files, specifying tags for the backups makes it easier to identify the input image copy. All image copies of data files have tags. The tag of an image copy is inherited by default when the image copy is backed up as a new image copy.

1. Issue the `BACKUP ... COPY OF` or `BACKUP DATAFILECOPY` command.

   The following example backs up data file copies that have the tag `DBCopy`:

   ```
   BACKUP DATAFILECOPY FROM TAG DBCopy;
   ```

   The following example backs up the latest image copies of a database to tape, assigns the tag `QUARTERLY_BACKUP`, and deletes the input disk backups:

   ```
   BACKUP DEVICE TYPE sbt
     TAG "quarterly_backup"
     COPY OF DATABASE
     DELETE INPUT;
   ```

2. Optionally, issue the `LIST` command to see a listing of backup sets. The output lists all copies, including backup piece copies created by the `BACKUP` command with the `BACKUPSET` clause.

10

Backing Up the Database: Advanced Topics

Learn how to perform advanced RMAN backup procedures such as backup optimization, duplexing backup sets, and limiting the size of backups.

> **See Also:**
>
> Backing Up the Database for basic backup procedures

10.1 Limiting the Size of RMAN Backup Sets

You can use the `CONFIGURE` command to create persistent settings that govern backup set size.

This control is helpful when backing up very large files. If you do not have a backup set size persistently configured, then you can also use the `BACKUP... MAXSETSIZE` command to limit the size of backup sets.

You can use the `CONFIGURE` command, but not the `BACKUP` command, to set a limit for the size of individual backup pieces. This control is especially useful when you use a media manager that has restrictions on the sizes of files, or when you must back up very large files.

Related Topics

- Configuring the Maximum Size of Backup Sets
  The `CONFIGURE MAXSETSIZE` command limits the size of backup sets created on a channel. This `CONFIGURE` setting applies to any channel, whether manually allocated or configured, when the `BACKUP` command is used to create backup sets. The default value is given in bytes and is rounded down to the lowest kilobyte value.
- Configuring the Maximum Size of Backup Pieces
  Backup piece size is an issue when it exceeds the maximum file size permitted by the file system or media management software. You can use the `MAXPIECESIZE` parameter of the `CONFIGURE CHANNEL` or `ALLOCATE CHANNEL` command to limit the size of backup pieces.

10.1.1 About Backup Set Size

The `MAXSETSIZE` parameter of the `BACKUP` command specifies a maximum size for a backup set in units of bytes (default), kilobytes, megabytes, or gigabytes.

For example, to limit a backup set to 305 MB, specify `MAXSETSIZE 305M`. RMAN attempts to limit all backup sets to this size.

You can use `BACKUP...MAXSETSIZE` to limit the size of backup sets so that the database is divided among multiple backup sets. If the backup fails part way through, then you can use the restartable backup feature to back up only those files that were not backed up during the previous attempt.

In some cases the MAXSETSIZE value may be too small to contain the largest file that you are backing up. When determining whether MAXSETSIZE is too small, RMAN uses the size of the original data file rather than the file size after compression. RMAN displays an error stack such as the following:

```
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
RMAN-03002: failure of backup command at 11/03/13 14:40:33
RMAN-06182: archive log larger than MAXSETSIZE: thread 1 seq 1
            /oracle/oradata/trgt/arch/archive1_1.dbf
```

Related Topics

- Restarting RMAN Backups
  With the restartable backup feature, RMAN backs up only those files that were not backed up after a specified date.
- *Oracle Database Backup and Recovery Reference*

10.1.2 Limiting the Size of Backup Sets with BACKUP ... MAXSETSIZE

Backup piece size is an issue in those situations where it exceeds the maximum file size of the file system or media management software. Use the MAXSETSIZE parameter of the CONFIGURE CHANNEL or ALLOCATE CHANNEL command to limit the size of backup pieces.

To limit the size of backup sets:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Execute the BACKUP command with the MAXSETSIZE parameter.

   The following example backs up archived logs to tape, limiting the size of each backup set to 100 MB:

   ```
   BACKUP DEVICE TYPE sbt
     MAXSETSIZE 100M
     ARCHIVELOG ALL;
   ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

10.1.3 Dividing the Backup of a Large Data File into Sections

If you specify the SECTION SIZE parameter on the BACKUP command, then RMAN creates a backup set in which each backup piece contains the blocks from one file section.

A file section is a contiguous range of blocks in a file. This type of backup is called a multisection backup.

> **Note:**
>
> You cannot specify `SECTION SIZE` with `MAXPIECESIZE`.

The purpose of multisection backups is to enable RMAN channels to back up a single large file in parallel. RMAN divides the work among multiple channels, with each channel backing up one file section in a file. Backing up a file in separate sections can improve the performance of backups of large data files.

If a multisection backup completes successfully, then no backup set generated during the backup contains a partial data file. If a multisection backup is unsuccessful, then the RMAN metadata can contain a record for a partial backup set. RMAN does not consider partial backups for restore and recovery. You must use the `DELETE` command to delete the partial backup set.

If you specify a section size that is larger than the size of the file, then RMAN does not use multisection backup for the file. If you specify a small section size that would produce more than 256 sections, then RMAN increases the section size to a value that results in exactly 256 sections.

To make a multisection backup:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. If necessary, configure channel parallelism so that RMAN can make the backup parallel.
3. Execute `BACKUP` with the `SECTION SIZE` parameter.

   For example, suppose that the `users` tablespace contains a single data file of 900 MB. Also assume that three SBT channels are configured, with the parallelism setting for the SBT device set to 3. You can break up the data file in this tablespace into file sections as shown in the following example:

   ```
   BACKUP
     SECTION SIZE 300M
     TABLESPACE users;
   ```

   In this example, each of the three SBT channels backs up a 300 MB file section of the `users` data file.

Related Topics

- Checking for Block Corruption with the VALIDATE Command
  You can use the `VALIDATE` command to manually check for physical and logical corruptions in database files.

10.2 Using Backup Optimization to Skip Files

You run the `CONFIGURE BACKUP OPTIMIZATION` command to enable backup optimization. When certain criteria are met, RMAN skips backups of files that are identical to files that are already backed up.

Example 10-1 Configuring Backup Optimization

This example shows an RMAN configuration that is used in the examples in this section on backup optimization. The commands configure backup optimization and a retention policy.

```
CONFIGURE DEFAULT DEVICE TYPE TO sbt;
CONFIGURE BACKUP OPTIMIZATION ON;
CONFIGURE RETENTION POLICY TO RECOVERY WINDOW OF 4 DAYS;
```

With this configuration, you run the following command every night to back up the database to tape:

```
BACKUP DATABASE;
```

Because backup optimization is configured, RMAN skips backups of offline and read-only data files only if the most recent backups were made on or after the earliest point in the recovery window. RMAN does not skip backups when the most recent backups are older than the window. For example, optimization ensures you do not end up with a new backup of a read-only data file every night, so long as one backup set containing this file exists within the recovery window.

Related Topics

- Backup Optimization and the CONFIGURE command
  Run the RMAN `CONFIGURE` command to enable and disable backup optimization. Backup optimization skips the backup of files in certain circumstances if the identical file or an identical version of the file has been backed up.
- About Backup Optimization for SBT Backups with Recovery Window Retention Policy
- *Oracle Database Backup and Recovery Reference*

10.2.1 Optimizing a Daily Archived Log Backup to a Single Tape: Scenario

Assume that you want to back up all the archived logs every night, but you do not want to have multiple copies of each log sequence number. With RMAN configured as shown in Example 10-1, you run the following command in a script nightly at 1 a.m.:

```
BACKUP DEVICE TYPE sbt
  ARCHIVELOG ALL;
```

RMAN skips all logs except those produced in the last 24 hours. In this way, you keep only one copy of each archived log on tape.

10.2.2 Optimizing a Daily Archived Log Backup to Multiple Media Families: Scenario

In Oracle Secure Backup, a media family is a named group of volumes with a set of shared, user-defined attributes. In this scenario, you back up logs that are not on tape

to one media family, then back up the same logs to a second media family. Finally, you delete old logs.

Example 10-2 Backing Up Archived Redo Logs to Multiple Media Families

With RMAN configured as shown in this example, run the following script at the same time every night to back up the logs generated during the previous day to two separate media families.

```
# The following command backs up just the logs that are not on tape. The
# first copies are saved to the tapes from the media family "log_family1".
RUN
{
  ALLOCATE CHANNEL c1 DEVICE TYPE sbt
    PARMS 'ENV=(OB_MEDIA_FAMILY=log_family1)';
  BACKUP ARCHIVELOG ALL;
}
# Make one more copy of the archived logs and save them to tapes from a
# different media family
RUN
{
  ALLOCATE CHANNEL c2 DEVICE TYPE sbt
    PARMS 'ENV=(OB_MEDIA_FAMILY=log_family2)';
  BACKUP ARCHIVELOG
    NOT BACKED UP 2 TIMES;
}
```

After running the script in this example, you can delete unneeded logs by executing `DELETE ARCHIVELOG ALL`.

If your goal is to delete logs from disk that have been backed up two times to SBT, then the simplest way to achieve the goal is with an archived redo log deletion policy. The following one-time configuration specifies that archived redo logs are eligible for deletion from disk if two archived log backups exist on tape:

```
CONFIGURE ARCHIVELOG DELETION POLICY
  TO BACKED UP 2 TIMES TO DEVICE TYPE sbt;
```

10.2.3 Creating a Weekly Secondary Backup of Archived Logs: Example

Assume a more sophisticated scenario in which your goal is to back up the archived logs to tape every day. You are worried about tape failure, however, so you want to ensure that you have more than one copy of each log sequence number on a separate tape before you perform your weekly deletion of logs from disk. This scenario assumes that the database is not using a fast recovery area.

First, perform a one-time configuration as follows:

```
CONFIGURE BACKUP OPTIMIZATION ON;
CONFIGURE DEVICE TYPE sbt PARALLELISM 1;
CONFIGURE default DEVICE TYPE TO sbt;
CONFIGURE CHANNEL DEVICE TYPE sbt PARMS 'ENV=(OB_MEDIA_FAMILY=first_copy);
```

Because you have optimization enabled, you can run the following command every evening to back up all archived logs to the `first_copy` media family that have not already been backed up:

```
BACKUP ARCHIVELOG ALL TAG first_copy;
```

Every Friday evening you create an additional backup of all archived logs in a different media family. After the backup, you want to delete all archived logs that have at least two copies on tape. So you run the following script:

```
RUN
{
  # manually allocate a channel, to specify that the backup run by this
  # channel goes to both media families "first_copy" and "second_copy"
  ALLOCATE CHANNEL c1 DEVICE TYPE sbt
      PARMS 'ENV=(OB_MEDIA_FAMILY=second_copy)';
  ALLOCATE CHANNEL c2 DEVICE TYPE sbt
      PARMS 'ENV=(OB_MEDIA_FAMILY=first_copy)';
  BACKUP
    CHANNEL c1
    ARCHIVELOG
    UNTIL TIME 'SYSDATE'
    NOT BACKED UP 2 TIMES # back up only logs without 2 backups on tape
    TAG SECOND_COPY;
  BACKUP
    CHANNEL c2
    ARCHIVELOG
    UNTIL TIME 'SYSDATE'
    NOT BACKED UP 2 TIMES # back up only logs without 2 backups on tape
    TAG FIRST_COPY;
}

# now delete from disk all logs that have been backed up to tape at
least twice
DELETE
  ARCHIVELOG ALL
  BACKED UP 2 TIMES TO DEVICE TYPE sbt;
```

The following table explains the effects of the daily and weekly backup scripts.

Table 10-1 Effects of Daily and Weekly Scripts

Script	Tape Contents After Script	Disk Contents After Script
Daily	Archived logs that have not yet been backed up are now in media family `first_copy`.	All archived logs created since the last `DELETE` command are still on disk.
Weekly	Archived logs that have fewer than two backups on tape are now in media families `first_copy` and `second_copy`.	All archived logs that have been backed up at least twice to tape are deleted.

After the weekly backup, you can send the tape from the media family `second_copy` to offsite storage. Use this tape backup only if the primary tape from pool `first_copy` is damaged. Because the secondary tape is offsite, you do not want RMAN to use it for recovery, so you can mark the backup as unavailable:

```
CHANGE BACKUP OF ARCHIVELOG TAG SECOND_COPY UNAVAILABLE;
```

Related Topics

- Maintaining RMAN Backups and Repository Records
  Use RMAN commands to manage the RMAN repository records, backups, and copies.
- *Oracle Database Backup and Recovery Reference*

10.3 Skipping Offline, Read-Only, and Inaccessible Files

By default, the `BACKUP` command terminates when it cannot access a data file.

You can specify parameters to prevent termination, as listed in Table 10-2.

Table 10-2 BACKUP ... SKIP Options

If you specify...	Then RMAN skips...
`SKIP INACCESSIBLE`	Data files that RMAN cannot read.
`SKIP OFFLINE`	Offline data files. Some offline data files can still be read because they exist on disk. Others have been deleted or moved and so cannot be read, making them inaccessible.
`SKIP READONLY`	Data files in read-only tablespaces.

The following example uses an automatic channel to back up the database, and skips all data files that might cause the backup job to terminate.

Example 10-3 Skipping Files During an RMAN Backup

```
BACKUP DATABASE
  SKIP INACCESSIBLE
  SKIP READONLY
  SKIP OFFLINE;
```

10.4 Duplexing Backup Sets

RMAN can make up to four copies of a backup set simultaneously, each an exact duplicate of the others.

A copy of a duplexed backup set is a copy of each backup piece in the backup set, with each copy getting a unique copy number (for example, `0tcm8u2s_1_1` and `0tcm8u2s_1_2`). It is not possible to duplex backup sets to the fast recovery area.

You can use `BACKUP...COPIES` or `CONFIGURE...BACKUP COPIES` to duplex backup sets. RMAN can duplex backups to either disk or tape, but cannot duplex backups to tape and disk simultaneously. For `DISK` channels, specify multiple values in the `FORMAT` option to direct the multiple copies to different physical disks. For SBT channels, if you use a media manager that supports Version 2 of the SBT API, then the media manager automatically writes each

copy to a separate medium (for example, a separate tape). When backing up to tape, ensure that the number of copies does not exceed the number of available tape devices.

Duplexing applies only to backup sets, not image copies. It is an error to specify the `BACKUP... COPIES` when creating image copy backups, and the `CONFIGURE... BACKUP COPIES` setting is ignored for image copy backups.

Related Topics

- About Multiple Copies of RMAN Backups
  RMAN enables you to make multiple, identical copies of backups.

10.4.1 Duplexing Backup Sets with CONFIGURE BACKUP COPIES

The `CONFIGURE...BACKUP COPIES` command specifies the number of identical backup sets to create on the specified device type.

This setting applies to all backup sets except control file autobackups (because the autobackup of a control file always produces one copy) and backup sets when backed up with the `BACKUP BACKUPSET` command.

To duplex a backup with CONFIGURE ... BACKUP COPIES:

1. Configure the number of copies on the desired device type for data files and archived redo logs on the desired device types.

   By default, `CONFIGURE...BACKUP COPIES` is set to `1` for each device type. The following example configures duplexing for data files and archived logs on tape and also duplexing for data files (but not archived redo logs) on disk:

   ```
   CONFIGURE DEVICE TYPE sbt PARALLELISM 1;
   CONFIGURE DEFAULT DEVICE TYPE TO sbt;
   CONFIGURE CHANNEL DEVICE TYPE DISK FORMAT '/disk1/%U', '/disk2/%U';
   CONFIGURE DATAFILE BACKUP COPIES FOR DEVICE TYPE sbt TO 2;
   CONFIGURE ARCHIVELOG BACKUP COPIES FOR DEVICE TYPE sbt TO 2;
   CONFIGURE DATAFILE BACKUP COPIES FOR DEVICE TYPE DISK TO 2;
   ```

2. Execute the `BACKUP` command.

   The following command backs up the database and archived logs to tape, making two copies of each data file and archived log:

   ```
   BACKUP AS BACKUPSET DATABASE PLUS ARCHIVELOG;
   ```

   Because of the configured formats for the disk channel, the following command backs up the database to disk, placing one copy of the backup sets produced in the `/disk1` directory and the other in the `/disk2` directory:

   ```
   BACKUP DEVICE TYPE DISK AS BACKUPSET DATABASE;
   ```

If the FORMAT clause were not configured on CONFIGURE CHANNNEL, then you specify FORMAT on the BACKUP command itself. For example, you issue the following command:

```
BACKUP AS BACKUPSET DATABASE
  FORMAT '/disk1/%U',
         '/disk2/%U';
```

3. Issue a LIST BACKUP command to see a listing of backup sets and pieces.

   For example, enter the following command:

   ```
   LIST BACKUP SUMMARY;
   ```

   The #Copies column shows the number of backup sets, which may have been produced by duplexing or by multiple backup commands.

Related Topics

- Configuring Backup Duplexing
  Use the CONFIGURE ... BACKUP COPIES command to specify how many copies of each backup piece are created on the specified device type for the specified type of file. This type of backup is known as a duplexed backup set.
- About Configuring the Environment for RMAN Backups
  RMAN provides sensible defaults for most parameters required to perform basic backup and recovery. You can modify the value of default parameters or override these values for a particular session.

10.4.2 Duplexing Backup Sets with BACKUP ... COPIES

The COPIES option of the BACKUP command overrides every other COPIES or DUPLEX setting to control duplexing of backup sets.

To duplex a backup with BACKUP ... COPIES:

1. Specify the number of identical copies with the COPIES option of the BACKUP command. For example, run the following to make three copies of each backup set in the default DISK location:

   ```
   BACKUP AS BACKUPSET DEVICE TYPE DISK
     COPIES 3
     INCREMENTAL LEVEL 0
     DATABASE;
   ```

   Because you specified COPIES in the BACKUP command, RMAN makes three backup sets of each data file regardless of the CONFIGURE DATAFILE COPIES setting.

2. Issue a LIST BACKUP command to see a listing of backup sets and pieces (the #Copies column shows the number of copies, which may have been produced through duplexing or through multiple invocations of the BACKUP command). For example, enter:

   ```
   LIST BACKUP SUMMARY;
   ```

10.5 Making Split Mirror Backups with RMAN

Many sites keep a backup of the database stored on disk in case a media failure occurs on the primary database or an incorrect user action requires point-in-time recovery. A data file backup on disk simplifies the restore step of recovery, making recovery much quicker and more reliable.

> **Caution:**
>
> Never make backups, split mirror or otherwise, of online redo logs. Restoring online redo log backups can create two archived logs with the same sequence number but different contents. Also, it is best to use the `BACKUP CONTROLFILE` command rather than a split mirror to make control file backups.

One way of creating a data file backup on disk is to use disk mirroring. For example, the operating system can maintain three identical copies of each file in the database. In this configuration, you can split off a mirrored copy of the database to use as a backup.

RMAN does not automate the splitting of mirrors, but can make use of split mirrors in backup and recovery. For example, RMAN can treat a split mirror of a data file as a data file copy, and can also back up this copy to disk or tape. The procedure in this section explains how to make a split mirror backup with the `ALTER SYSTEM SUSPEND/RESUME` functionality.

Some mirroring technology does not require Oracle Database to suspend all I/O before a mirror can be separated and used as a backup. Refer to your storage manager, volume manager, or file system documentation for information about whether you must suspend I/O from the database instance.

To make a split mirror backup of a tablespace by using SUSPEND/RESUME:

1. Start RMAN and then place the tablespaces to back up into backup mode with the `ALTER TABLESPACE ... BEGIN BACKUP` statement. (To place all tablespaces in backup mode, you can the `ALTER DATABASE BEGIN BACKUP` instead.)

   For example, to place tablespace `users` in backup mode, you connect RMAN to a target database and run the following `SQL` command:

   ```
   ALTER TABLESPACE users BEGIN BACKUP;
   ```

2. Suspend I/O if your mirroring software or hardware requires it. For example, enter the following command in RMAN:

   ```
   ALTER SYSTEM SUSPEND;
   ```

3. Split the mirrors for the underlying data files contained in these tablespaces.

4. Take the database out of the suspended state. For example, enter the following command in RMAN:

   ```
   ALTER SYSTEM RESUME;
   ```

5. Take the tablespaces out of backup mode. For example, enter:

   ```
   ALTER TABLESPACE users END BACKUP;
   ```

   You can also use `ALTER DATABASE END BACKUP` to take all tablespaces out of backup mode.

6. Catalog the user-managed mirror copies as data file copies with the `CATALOG` command. For example, enter:

   ```
   CATALOG DATAFILECOPY '/dk2/oradata/trgt/users01.dbf'; # catalog split
   mirror
   ```

7. Back up the data file copies. For example, run the `BACKUP DATAFILECOPY` command at the prompt:

   ```
   BACKUP DATAFILECOPY '/dk2/oradata/trgt/users01.dbf';
   ```

8. When you are ready to resilver a split mirror, first use the `CHANGE...UNCATALOG` command to uncatalog the data file copies you cataloged in Step 6. For example, enter:

   ```
   CHANGE DATAFILECOPY '/dk2/oradata/trgt/users01.dbf' UNCATALOG;
   ```

9. Resilver the split mirror for the affected data files.

Related Topics

- Making User-Managed Backups in SUSPEND Mode
- *Oracle Multitenant Administrator's Guide*
- *Oracle Database SQL Language Reference*

10.6 Encrypting RMAN Backups

You can protect RMAN backup sets with backup encryption. Encrypted backups cannot be read if they are obtained by unauthorized users.

The RMAN backup encryption feature requires the Enterprise Edition of the database.

10.6.1 About RMAN Backup Encryption Settings

Backup encryption is performed based on the specified encryption settings.

Encryption can be set with the following commands:

- `CONFIGURE ENCRYPTION`

  You can use this command to persistently configure transparent encryption. You cannot persistently configure dual mode or password mode encryption.

- `SET ENCRYPTION`

You can use this command to configure dual mode or password mode encryption at the RMAN session level.

> **Note:**
>
> Keystore-based encryption is more secure than password-based encryption because no passwords are involved. Use password-based encryption only when absolutely necessary because your backups must be transportable.

The database uses a new encryption key for every encrypted backup. The backup encryption key is then encrypted with either the password, the database master key, or both, depending on the chosen encryption mode. Individual backup encryption keys or passwords are never stored in clear text.

A single restore operation can process backups encrypted in different modes. For each backup piece that it restores, RMAN checks whether it is encrypted. Transparently encrypted backups need no intervention if the Oracle keystore is open and available.

If password encryption is detected, then RMAN searches for a matching key in the list of passwords entered in the `SET DECRYPTION` command. If RMAN finds a usable key, then the restore operation proceeds. Otherwise, RMAN searches for a key in the Oracle keystore. If RMAN finds a usable key, then the restore operation proceeds; otherwise, RMAN signals an error that the backup piece cannot be decrypted.

> **Note:**
>
> If RMAN restores a set of backups created with different passwords, then all required passwords must be included with `SET DECRYPTION`.

RMAN encryption is a CPU-intensive operation and can affect backup performance. The actual amount of CPU utilization during encryption depends on whether both input and output devices for disk and SBT produce and consume data faster than the CPU can encrypt it. Here are a few guidelines for managing and trying to maximize CPU performance:

- Because encrypted backups consume more CPU resources than unencrypted backups, you can improve performance of encrypted backups to disk by using more RMAN channels. A general rule is to use the same number of channels as the number of CPU cores in your system. For example, use two channels for a dual-core processor.
- If both the disk subsystem and SBT-subsystem are fast, you can expect very high CPU utilization. You may want to consider slowing the rate of the backup by setting the RMAN `READRATE` parameter. For example, you can set an upper limit for block reads so that RMAN does not consume excessive disk bandwidth and thereby degrade online performance.

Related Topics

- Performing Complete Database Recovery
  RMAN and Oracle Enterprise Manager Cloud Control (Cloud Control) provide full support for backup and recovery of whole multitenant container database (CDB), only the root, or one or more pluggable databases (PDBs).
- Determining the Encryption Status of Backup Pieces
  The `ENCRYPTED` column of the `V$BACKUP_PIECE` and `V$RMAN_BACKUP_PIECE` views indicates whether a backup piece is encrypted (`YES`) or unencrypted (`NO`).
- *Oracle Database Backup and Recovery Reference*

10.6.2 Making Transparent-Mode Encrypted Backups

If you have configured transparent encryption with the `CONFIGURE` command, then no additional commands are required to create encrypted backups. Make RMAN backups as normal.

Related Topics

- Configuring RMAN Backup Encryption Modes
  You can use the `CONFIGURE` command to persistently configure transparent encryption of backups.

10.6.3 Making Password-Mode Encrypted Backups

You can set an encryption password in an RMAN session by executing the `SET ENCRYPTION BY PASSWORD` command. If transparent encryption is configured, then specify the `ONLY` keyword to indicate that the backups are protected with a password and not with the configured transparent encryption.

> **Note:**
>
> Create a password that is secure. See *Oracle Database Security Guide* for more information.

To make password-mode encrypted backups:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Execute the `SET ENCRYPTION ON IDENTIFIED BY` *`password`* `ONLY` command.

   The following example sets the encryption password for all tablespaces (where *password* is a placeholder for the actual password that you enter) in the backup and specifies `ONLY` to indicate that the encryption is password-only:

   ```
   SET ENCRYPTION IDENTIFIED BY password ONLY ON FOR ALL TABLESPACES;
   ```

3. Back up the database.

   For example, enter the following command:

   ```
   BACKUP DATABASE PLUS ARCHIVELOG;
   ```

10.6.4 Making Dual-Mode Encrypted Backups

Use the `SET ENCRYPTION BY PASSWORD` command at the RMAN prompt to make password-protected backups. If transparent encryption is configured, then *omit* the `ONLY` keyword to indicate that the backups are protected with both a password and the configured transparent encryption.

> **Note:**
>
> Create a password that is secure. See *Oracle Database Security Guide* for more information.

To make dual-mode encrypted backups:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Execute the `SET ENCRYPTION BY PASSWORD` command, making sure to omit the `ONLY` keyword.

   The following example sets the encryption password for all tablespaces (where *password* is a placeholder for the actual password that you enter) in the backup and omits `ONLY` to indicate dual-mode encryption:

   ```
   SET ENCRYPTION IDENTIFIED BY password ON FOR ALL TABLESPACES;
   ```

3. Back up the database.

   For example, enter the following command:

   ```
   BACKUP DATABASE PLUS ARCHIVELOG;
   ```

10.6.5 Copying a Backupset with a New Encryption Algorithm

When you backup a backupset, the new backup can either retain the same encryption properties as the original backupset, or use a new encryption algorithm set in the RMAN configuration. The original backupset will continue to retain the same encryption properties.

If the `COMPATIBLE` initialization parameter for the target database is set to 23.0.0 or higher, then RMAN is preconfigured to use AES256 (XTS) as the encryption algorithm for new backups.

You can use the `CONFIGURE ENCRYPTION ALGORITHM` command to change the encryption algorithm to AES128 (XTS). Alternatively, you can explicitly override the RMAN encryption setting using the `SET ENCRYPTION` command at the RMAN session level.

If the `COMPATIBLE` initialization parameter is lower than 23.0.0, then RMAN continues to encrypt backups using the AES-CFB mode encryption algorithms (AES256, AES128, and AES192). AES 128-bit (CFB) is the default encryption algorithm configured in RMAN settings.

See, About Backup Encryption for detailed information about the supported backup encryption algorithms.

Copying a Backupset with a New Encryption Algorithm

Use the `SET ENCRYPTION ON` command before the `BACKUP BACKUPSET` command to specify that RMAN must encrypt a new backup using the encryption algorithm configured in RMAN settings.

Your existing password-mode encrypted backupsets require the password to decrypt and reencrypt new backups that you create. In this case, use the `SET ENCRYPTION ON` command along with the `SET DECRYPTION IDENTIFIED BY` *`password`* option before you run the `BACKUP BACKUPSET` command. If you do not provide the password, then RMAN will create the new backup with the same encryption algorithm as the original backupset.

For your existing transparent mode encrypted backupsets, use the `SET ENCRYPTION ON FOR BACKUP BACKUPSET` command to create a new transparent mode backup with the new encryption algorithm configured in the RMAN settings. You must ensure that the Oracle software keystore is open.

If you want to backup an existing dual-mode backupset and retain the same encryption mode, then you must provide the Oracle keystore and the password that was used to encrypt the original backup. In this case, use the `SET ENCRYPTION ON` command along with the `SET DECRYPTION IDENTIFIED BY` *`password`* option before you run the `BACKUP BACKUPSET` command

Consider these examples that assume that the `COMPATIBILITY` initialization parameter is set to 23.0 and RMAN is configured to use AES256 (XTS) for new backups.

Assume that an existing password mode backupset uses the AES128 (CFB mode) encryption. Assume that you want to store new backups on tape and delete old backupsets stored on disk. This example first backs up the disk-based backupset to tape, then reencrypts the new backup using the AES256 (XTS) algorithm, and then deletes the original backupset on disk.

```
CONFIGURE ENCRYPTION ALGORITHM 'AES256';
SET ENCRYPTION ON;
SET DECRYPTION IDENTIFIED by password;
BACKUP DEVICE TYPE sbt BACKUPSET ALL DELETE INPUT;
```

In the above example, the `SET ENCRYPTION ON` command specifies that RMAN must encrypt the backup using the new encryption algorithm, and the `SET DECRYPTION IDENTIFIED BY` command specifies the password. The `BACKUP BACKUPSET` command creates a new backup with the AES256 (XTS) encryption. RMAN uses the same password for the new backupset.

> **Note:**
>
> If a password mode backupset has a unique password for each individual backup piece, then specify all of the required passwords on the `SET DECRYPTION` command. RMAN automatically uses the correct password with each backup set. For example, `SET DECRYPTION IDENTIFIED by password1, password2, password3;`

The following example backups a disk-based transparent mode backupset to tape. RMAN creates a new backup with the new AES256 (XTS) encryption algorithm.

```
SET ENCRYPTION ON FOR BACKUP BACKUPSET;
BACKUP DEVICE TYPE sbt BACKUPSET ALL;
```

A new backup will retain the same encryption mode of the original backupset. However, be aware of these considerations when you use the `SET ENCRYPTION ON` command along with the `BACKUP BACKUPSET` command for creating a new backup of an existing dual-mode encrypted backupset:

- RMAN will create the new backup as a dual-mode encrypted backup only if you provide the Oracle keystore and the password that was used to encrypt the original backup
- If you provide only the password, then RMAN will create the new backup as a password-mode encrypted backup
- If you provide only the Oracle keystore, then RMAN will create the new backup as a transparent mode encrypted backup

RMAN only creates an extra copy or a new backup with the same encryption properties of the original backupset, if any of these conditions are true:

- You run only the `BACKUP BACKUPSET` command to create a new backup of a backupset
- You run the `SET ENCRYPTION ON` command along with the `BACKUP BACKUPSET` command in a scenario where the encryption properties of an existing backupset matches the encryption setting in the RMAN configuration

Disabling Encryption for a New Backup of a Backupset

If you want to disable encryption for the new backupset, then use the `SET ENCRYPTION OFF` option before the `BACKUP BACKUPSET` command.

This example backups a disk-based transparent mode encrypted backupset to tape, and disables encryption for the new backup. The original backupset remains on disk and retains the same encryption properties.

```
SET ENCRYPTION FOR BACKUPSET OFF;
BACKUP DEVICE TYPE sbt BACKUPSET ALL;
```

Related Topics

- About Backup Encryption
  The `V$RMAN_ENCRYPTION_ALGORITHMS` view contains a list of encryption algorithms supported by RMAN.
- Configuring the Backup Encryption Algorithm
  You can use the `CONFIGURE` command to persistently configure the default algorithm to use for encryption when writing backup sets.
- Determining the Encryption Status of Backup Pieces
  The `ENCRYPTED` column of the `V$BACKUP_PIECE` and `V$RMAN_BACKUP_PIECE` views indicates whether a backup piece is encrypted (`YES`) or unencrypted (`NO`).
- *Oracle Database Backup and Recovery Reference*

10.7 Restarting RMAN Backups

With the restartable backup feature, RMAN backs up only those files that were not backed up after a specified date.

10.7.1 About Restartable Backups

The minimum unit of restartability is a data file. However, if a backup set contains one backup piece, and if this piece contains blocks from multiple data files, then the unit of restartability is the backup piece. The unit of restartability for image copies is a data file.

The benefit of restartable backups is that if the backup generates multiple backup sets, then the backup sets that completed successfully do not have to be rerun. However, if the entire database is written into one backup set, and if the backup fails halfway through, then the entire backup has to be restarted.

Any I/O errors that RMAN encounters when reading files or writing to the backup pieces or image copies cause RMAN to terminate the backup job in progress. For example, if RMAN tries to back up a data file but the data file is not on disk, then RMAN terminates the backup. If multiple channels are being used or redundant copies of backups are being created, however, then RMAN may be able to continue the backup without user intervention.

RMAN can back up only those files that have not been backed up since a specified date. Use this feature after a backup fails to back up the parts of the database missed by the failed backup.

You can restart a backup by specifying the `SINCE TIME` clause on the `BACKUP` command. If the `SINCE TIME` is later than the completion time, then RMAN backs up the file. If you use `BACKUP DATABASE NOT BACKED UP` without the `SINCE TIME` parameter, then RMAN only backs up files that have never been backed up.

Related Topics

- *Oracle Database Backup and Recovery Reference*

10.7.2 Restarting a Backup After It Partially Completes

Use the `SINCE TIME` parameter of the `BACKUP` command to specify a date after which a new backup is required.

If the `SINCE TIME` is later than the completion time, then RMAN backs up the file. If you use `BACKUP DATABASE NOT BACKED UP` without the `SINCE TIME` parameter, then RMAN only backs up files that have never been backed up.

To only back up files that were not backed up after a specified date:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Run the `BACKUP ... NOT BACKED UP SINCE TIME` command.

Specify a valid date in the `SINCE TIME` parameter. The following example uses the default configured channel to back up all database files and archived redo logs that have not been backed up in the last two weeks:

```
BACKUP
  NOT BACKED UP SINCE TIME 'SYSDATE-14'
  DATABASE PLUS ARCHIVELOG;
```

Related Topics

- *Oracle Database Backup and Recovery Reference*

10.8 Managing Backup Windows

This section explains how to use backup windows to set limits for the time span in which a backup job can complete.

10.8.1 About Backup Windows

A backup window is a period of time during which a backup must complete. For example, you may want to restrict your database backups to a window of time when user activity on your system is low, such as between 2:00 a.m. and 6:00 a.m.

RMAN backs up the least recently backed up files first. By default, RMAN backs up the files at the maximum possible speed. Specifying a window does not mean that RMAN backs up data faster than normal to ensure that the backup completes before the window ends.

By default, if the backup is not complete within the `DURATION` time, then RMAN interrupts the backup and reports an error. If the `BACKUP` command is in a `RUN` command, then the `RUN` command terminates. Any completed backup sets are retained and can be used in restore operations, even if the entire backup is not complete. Thus, if you retry a job that was interrupted when the available duration expired, each successive attempt covers more of the files needing backup. Any incomplete backup sets are discarded.

10.8.2 Specifying a Backup Duration

Use the `DURATION` parameter of the `BACKUP` command to specify how long a given backup job is allowed to run.

To specify a backup duration:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Execute the `BACKUP DURATION` command.

   For example, run the following command at 2:00 a.m. to specify that the backup runs until 6:00 a.m.:

   ```
   BACKUP
     DURATION 4:00
     TABLESPACE users;
   ```

Related Topics

- *Oracle Database Backup and Recovery Reference*

10.8.3 Permitting Partial Backups in a Backup Window

When you specify `PARTIAL`, RMAN does not report an error when a backup is interrupted because of the end of the backup window. Instead, RMAN displays a message showing which files are not backed up.

If the `BACKUP` command is part of a `RUN` block, then the remaining commands in the `RUN` block continue to execute.

If you specify `FILESPERSET 1`, then RMAN puts each file into its own backup set. When a backup is interrupted at the end of the backup window, only the backup of the file currently being backed up is lost. All backup sets completed during the window are saved, minimizing the lost work caused by the end of the backup window.

To prevent RMAN from issuing an error when a backup partially completes:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Execute the `BACKUP DURATION` command with the `PARTIAL` option.

   For example, you run the following command at 2:00 a.m. to specify that the backup runs until 6:00 a.m. and that each data file is in a separate backup set:

```
BACKUP
  DURATION 4:00 PARTIAL
  TABLESPACE users
  FILESPERSET 1;
```

10.8.4 Minimizing Backup Load and Duration

When using `DURATION` you can run the backup with the maximum possible performance, or run as slowly as possible while still finishing within the allotted time, to minimize the performance impact of backup tasks.

Example 10-4 Using MINIMIZE TIME with BACKUP DURATION

This example maximizes performance by using the `MINIMIZE TIME` option with `DURATION`.

```
BACKUP
  DURATION 4:00 PARTIAL
  MINIMIZE TIME
  DATABASE
  FILESPERSET 1;
```

Example 10-5 Using MINIMIZE LOAD with BACKUP DURATION

This example uses the `MINIMIZE LOAD` option to extend the backup to use the full time available. RMAN monitors the progress of the running backup, and periodically estimates how long the backup takes to complete at its present rate. If RMAN estimates that the backup will finish before the end of the backup window, then it slows down the rate of backup so that

the full available duration is used. This reduces the overhead on the database associated with the backup.

```
BACKUP
  DURATION 4:00
  MINIMIZE LOAD
  DATABASE
  FILESPERSET 1;
```

Note these issues when using `DURATION` and `MINIMIZE LOAD` with a tape backup:

- Efficient backup to tape requires tape streaming. If you use `MINIMIZE LOAD`, then RMAN may reduce the rate of backup to the point where tape streaming is not optimal.
- RMAN holds the tape resource for the entire duration of the backup window. This prevents the use of the tape resource for any other purpose during the backup window.

Because of these concerns, it is not recommended that you use `MINIMIZE LOAD` when backing up to tape.

Related Topics

- Media Manager Component of the Write Phase for SBT
  Multiple factors affect the speed of the backup to tape.

Part IV
Managing RMAN Backups

The following chapters describe how to manage RMAN backups. This part of the book contains these chapters:

- Reporting on RMAN Operations
- Maintaining RMAN Backups and Repository Records
- Managing a Recovery Catalog

11
Reporting on RMAN Operations

Run reports on RMAN operations to determine files that need back up, monitor space usage, and query backup metadata.

11.1 Overview of RMAN Reporting

This section explains the purpose and basic concepts of RMAN reporting.

11.1.1 Purpose of RMAN Reporting

As part of your backup and recovery strategy, you should periodically run reports that indicate what you have backed up. You can determine which data files need backups or which files were not backed up recently. You can also preview which backups RMAN must restore if a problem occurs.

Another important aspect of backup and recovery is monitoring space usage. If you back up to disk, then it is possible for the disk to fill, which can create performance problems or even cause the database to halt. You can use RMAN to determine whether a backup is an obsolete backup and can therefore be deleted.

You may also need to obtain historical information about RMAN jobs. For example, you may want to know how many backup jobs have been issued, the status of each backup job (for example, whether it failed or completed), when a job started and finished, and what type of backup was performed.

11.1.2 Basic Concepts of RMAN Reporting

RMAN stores metadata of every database on which it performs operations.

RMAN always stores its RMAN repository of metadata in the control file of the target database. For example, suppose that you use RMAN to back up the `prod1` and `prod2` databases. RMAN stores the metadata for backups of `prod1` in the control file of `prod1`, and the metadata for backups of `prod2` in the control file of `prod2`.

Optionally, you can use RMAN with a recovery catalog. In this case, RMAN maintains an additional repository of metadata in a set of tables in a separate recovery catalog database. For example, you could create a recovery catalog in `prod3`. You can register multiple target databases in this recovery catalog. For example, if you register `prod1` and `prod2` in the recovery catalog stored in `prod3`, then RMAN stores metadata about its backups of `prod1` and `prod2` in the recovery catalog schema.

The following table lists the techniques used to access metadata from the RMAN repository.

Table 11-1 Techniques for Accessing Data from the RMAN Repository

Technique	Description	Additional Information
RMAN `LIST` and `REPORT` commands	The RMAN `LIST` and `REPORT` commands provide extensive information about available backups and how they can be used to restore and recover your database.	• *Listing Backups and Recovery-Related Objects* • *Reporting on Backups and Database Schema* • `LIST` , `REPORT`, and `RESTORE` commands in *Oracle Database Backup and Recovery Reference*
`V$` views	When the database is open, several `V$` views provide direct access to RMAN repository records in the control file of each target database. Some `V$` views such as `V$DATAFILE_HEADER`, `V$PROCESS`, and `V$SESSION` contain information not found in the recovery catalog views.	*Oracle Database Reference*
`RC_` views	If your database is registered in a recovery catalog, then `RC_` views provide direct access to the RMAN repository data stored in the recovery catalog. The `RC_` views mostly correspond to the `V$` views.	*Recovery Catalog Views* in *Oracle Database Backup and Recovery Reference*
`RESTORE ... PREVIEW` and `RESTORE ... VALIDATE HEADER` commands	These commands list the backups that RMAN can restore to the specified time. `RESTORE ... PREVIEW` queries the metadata but does not read the backup files. The `RESTORE ... VALIDATE HEADER` command performs the same work, but in addition to listing the files needed for restore and recovery operations, the command validates the backup file headers to determine whether the files on disk or in the media management catalog correspond to the metadata in the RMAN repository.	*Previewing Backups Used in Restore Operations*

The RMAN repository can sometimes fail to reflect the reality on disk and tape. For example, a user may delete a backup with an operating system utility, so that the RMAN repository incorrectly reports the backup as available. You can use commands such as `CHANGE`, `CROSSCHECK`, and `DELETE` to update the RMAN repository to reflect the actual state of available backups. Otherwise, the output of the commands and views may be misleading, which means that RMAN may not be able to find the backups to restore and recover your database.

Related Topics

- Listing Backups and Recovery-Related Objects
  The `LIST` command uses the information in the RMAN repository to provide lists of backups and other objects relating to backup and recovery.
- Reporting on Backups and Database Schema
  The RMAN `REPORT` command analyzes the available backups and your database. You can view reports on the metadata related to a multitenant container database (CDB), the root only, or one or more pluggable databases (PDBs).
- Previewing Backups Used in Restore Operations
  Previewing backups helps you to ensure that all backups required for a restore and recovery operation are available.
- *Oracle Database Backup and Recovery Reference*
- *Oracle Database Reference*

11.1.3 Reporting in a Data Guard Environment

In a Data Guard environment, you can use the `LIST`, `REPORT`, and `SHOW` commands just as you can when not using Data Guard. You can run these commands with the `FOR DB_UNIQUE_NAME` clause to show the backups associated with a specified database.

Every backup is associated with the primary or standby database that created it. For example, if you backed up the database with the `DB_UNIQUE_NAME` of `standby1`, then the `standby1` database is associated with this backup.

For example, the following command lists archived redo logs associated only with `sfstandby`:

```
LIST ARCHIVELOG ALL FOR DB_UNIQUE_NAME sfstandby;
```

If you use the `LIST`, `REPORT`, and `SHOW` commands in a Data Guard environment *without* specifying the `FOR DB_UNIQUE_NAME` clause, then RMAN shows the files that are accessible to the target database.

In a Data Guard environment, you must use RMAN with a recovery catalog. RMAN stores the metadata for all backup and recovery files in the Data Guard environment in the recovery catalog. When running the RMAN reporting commands, you can either connect RMAN as `TARGET` to a mounted or open database, or identify the database with the `SET DBID` command.

Related Topics

- About RMAN File Management in a Data Guard Environment
  RMAN uses a recovery catalog to track file names for all database files in a Data Guard environment.
- About Association of Backups in a Data Guard Environment
  The recovery catalog tracks the files in the Data Guard environment by associating every database file or backup file with a `DB_UNIQUE_NAME`. The database that creates a file is associated with the file.
- *Oracle Data Guard Concepts and Administration*

11.2 Listing Backups and Recovery-Related Objects

The `LIST` command uses the information in the RMAN repository to provide lists of backups and other objects relating to backup and recovery.

To list backups and other objects in the CDB, connect to the root as a common user with the `SYSBACKUP` or `SYSDBA` privilege.

To list backups and other objects in a PDB, connect to the PDB as a common user or local user with the `SYSBACKUP` or `SYSDBA` privilege. Or, you can connect to the root as a common user or local user with the `SYSBACKUP` or `SYSDBA` privilege and use the `LIST` command with the `PLUGGABLE DATABASE` clause.

11.2.1 About the LIST Command

The primary purpose of the `LIST` command is to list backup and copies.

For example, you can list:

- Backups and proxy copies of a database, tablespace, data file, archived redo log, or control file
- Backups that have expired
- Backups restricted by time, path name, device type, tag, or recoverability
- Archived redo log files and disk copies

Besides backups and copies, RMAN can list other types of data. The following table summarizes several useful objects that you can list.

Table 11-2 LIST Objects

Contents of List	Command	Description
Backup sets and proxy copies	`LIST BACKUP`	You can list all backup sets, copies, and proxy copies of a database, tablespace, data file, archived redo log, control file, or server parameter file.
Image copies	`LIST COPY`	You can list data file copies and archived redo log files. By default, `LIST COPY` displays copies of all database files and archived redo logs. Both usable and unusable image copies are included in the output, even those that cannot be restored or are expired or unavailable.

Table 11-2 (Cont.) LIST Objects

Contents of List	Command	Description
Archived redo log files	`LIST ARCHIVELOG`	You can list archive redo log files. You can list all archive log redo log files or specify individual archive log files through SCN, time, or sequence number ranges. If you specify a range you can further restrict the list returned by specifying an incarnation number.
Preplugin backups	`LIST ... PREPLUGIN`	You can list all preplugin backups and preplugin archived redo log files.
Database incarnations	`LIST INCARNATION`	You can list all incarnations of a database. A new database incarnation is created when you open with the `RESETLOGS` option.
Databases in a Data Guard environment	`LIST DB_UNIQUE_NAME`	A database in a Data Guard environment is distinguished by its `DB_UNIQUE_NAME` initialization parameter setting. You can list all databases that have the same DBID.
Backups and copies for a primary or standby database in a Data Guard environment	`LIST ... FOR DB_UNIQUE_NAME`	You can list all backups and copies for a specified database in a Data Guard environment or for all databases in the environment. RMAN restricts the output to files or objects associated exclusively with the database with the specified `DB_UNIQUE_NAME`. For example, you can use `LIST` with `FOR DB_UNIQUE_NAME` to display the list of archived redo log files associated with a particular standby or primary database. Objects that are not owned by any database (`SITE_KEY` column in the recovery catalog view is null) are not listed.
Restore points	`LIST RESTORE POINT`	You can list restore points known to the RMAN repository.

Table 11-2 (Cont.) LIST Objects

Contents of List	Command	Description
Names of stored scripts	`LIST SCRIPT NAMES`	You can list the names of recovery catalog scripts created with the `CREATE SCRIPT` or `REPLACE SCRIPT` command. A recovery catalog is required.

The `LIST` command supports options that control how output is displayed. Table 11-3 summarizes the most common `LIST` options.

Table 11-3 Most Common LIST Options

LIST Option	Description
`LIST EXPIRED`	Lists backups or copies that are recorded in the RMAN repository but that were not present at the expected location on disk or tape during the most recent crosscheck. Such backups may have been deleted outside of RMAN.
`LIST ... BY FILE`	Lists backups of each data file, archived redo log file, control file, and server parameter file. Each row describes a backup of a file.
`LIST ... SUMMARY`	Provides a one-line summary of each backup.

The `LIST` objects and options are not exhausted by the contents of the preceding tables. For example, you can list backups restricted by time, path name, device type, tag, or recoverability.

Related Topics

- *Oracle Database Backup and Recovery Reference*

11.2.2 Listing All Backups and Copies

Specify the desired objects with the *`listObjList`* or *`recordSpec`* clause. If you do not specify an object, then RMAN displays copies of all database files and archived redo log files.

By default, RMAN serially lists each backup or proxy copy and then identifies the files included in the backup. You can also list backups by file. By default, RMAN lists in verbose mode, which means that it provides extensive, multiline information. You can also list backups in a summary mode if the verbose mode generates too much output.

To view a summary report of all backups and copies, run the `LIST` command with the `SUMMARY` option.

- To list objects in the entire CDB, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
- To list objects in a PDB, use one of the following techniques:

- Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `LIST...PLUGGABLE DATABASE` command. For example:

  ```
  LIST BACKUP OF PLUGGABLE DATABASE hr_pdb, sales_pdb;
  ```

- Connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `LIST` command.

Example 11-1 Summary Listing of All Backups

This example shows a summary of all RMAN backups.

```
RMAN> list backup summary;

List of Backups
===============
Key     TY LV S Device Type Completion Time #Pieces #Copies Compressed Tag
------- -- -- - ----------- --------------- ------- ------- ---------- ---
1       B  A  A SBT_TAPE    21-OCT-13       1       1       NO         TAG20131021T094505
2       B  F  A SBT_TAPE    21-OCT-13       1       1       NO         TAG20131021T094513
3       B  A  A SBT_TAPE    21-OCT-13       1       1       NO         TAG20131021T094624
4       B  F  A SBT_TAPE    21-OCT-13       1       1       NO         TAG20131021T094639
5       B  F  A DISK        04-NOV-13       1       1       YES        TAG20131104T195949
```

To view verbose output for backups and copies, run the `LIST` command without the `SUMMARY` option.

Example 11-2 Verbose Listings of Backups and Copies

This example lists RMAN backups and copies with the default verbose output.

```
RMAN> list backup;

List of Backup Sets
===================

BS Key  Size       Device Type Elapsed Time Completion Time
------- ---------- ----------- ------------ ---------------
7       136M       DISK        00:00:20     04-NOV-13
        BP Key: 7   Status: AVAILABLE  Compressed: NO  Tag:
TAG20071104T200759
        Piece Name: /d2/RDBMS/backupset/2013_11_04/
o1_mf_annnn_TAG20071104T200759_ztjxx3k8_.bkp

  List of Archived Logs in backup set 7
  Thrd Seq     Low SCN    Low Time  Next SCN   Next Time
  ---- ------- ---------- --------- ---------- ---------
  1    1       173832     21-OCT-13 174750     21-OCT-13
  1    2       174750     21-OCT-13 174755     21-OCT-13
  1    3       174755     21-OCT-13 174758     21-OCT-13

BS Key  Type LV Size       Device Type Elapsed Time Completion Time
------- ---- -- ---------- ----------- ------------ ---------------
8       Full    2M         DISK        00:00:01     04-NOV-13
        BP Key: 8   Status: AVAILABLE  Compressed: NO  Tag:
TAG20071104T200829
        Piece Name: /disk1/oracle/dbs/c-774627068-20131104-01
```

```
  Controlfile Included: Ckp SCN: 631510       Ckp time: 04-NOV-13
  SPFILE Included: Modification time: 21-OCT-13


RMAN> list copy;

List of Datafile Copies
=======================

Key     File S Completion Time Ckp SCN    Ckp Time
------- ---- - --------------- ---------- ---------------
1       7    A 11-OCT-13       360072     11-OCT-13
        Name: /work/orcva/RDBMS/datafile/o1_mf_tbs_2_2lv7bf82_.dbf
        Tag: DF7COPY

2       8    A 11-OCT-13       360244     11-OCT-13
        Name: /work/orcva/RDBMS/datafile/o1_mf_tbs_2_2lv7qmcj_.dbf
        Tag: TAG20131011T184835

List of Control File Copies
===========================

Key     S Completion Time Ckp SCN    Ckp Time
------- - --------------- ---------- ---------------
3       A 11-OCT-13       360380     11-OCT-13
        Name: /d2/RDBMS/controlfile/
o1_mf_TAG20131011T185335_2lv80zqd_.ctl
        Tag: TAG20131011T185335

List of Archived Log Copies for database with db_unique_name RDBMS
=====================================================================

Key     Thrd Seq     S Low Time
------- ---- ------- - ---------
1       1    1       A 11-OCT-13
        Name: /work/arc_dest/arcr_1_1_603561743.arc

2       1    2       A 11-OCT-13
        Name: /work/arc_dest/arcr_1_2_603561743.arc

3       1    3       A 11-OCT-13
        Name: /work/arc_dest/arcr_1_3_603561743.arc
```

Example 11-3 Listing Backups By File

This example illustrates how to list backups by file using `LIST` with the `BY FILE` option.

```
RMAN> list backup by file;

List of Datafile Backups
========================

File Key     TY LV S Ckp SCN    Ckp Time  #Pieces #Copies Compressed Tag
---- ------- -  -- - ---------- --------- ------- ------- ---------- ---
1    5       B  F  A 631092     04-NOV-13 1       1       YES        TAG20131104T195949
     2       B  F  A 175337     21-OCT-13 1       1       NO         TAG20131021T094513
2    5       B  F  A 631092     04-NOV-13 1       1       YES        TAG20131104T195949
```

```
    2       B  F  A 175337     21-OCT-13 1       1       NO         TAG20131021T094513

... some rows omitted

List of Archived Log Backups
============================

Thrd Seq     Low SCN    Low Time  BS Key  S #Pieces #Copies Compressed Tag
---- ------- ---------- --------- ------- - ------- ------- ---------- ---
1    1       173832     21-OCT-13 7       A 1       1       NO         TAG20131104T200759
                                   1       A 1       1       NO         TAG20131021T094505
1    2       174750     21-OCT-13 7       A 1       1       NO         TAG20131104T200759
                                   1       A 1       1       NO         TAG20131021T094505
... some rows omitted
1    38      575472     03-NOV-13 7       A 1       1       NO         TAG20131104T200759
1    39      617944     04-NOV-13 7       A 1       1       NO         TAG20131104T200759


List of Controlfile Backups
===========================
CF Ckp SCN Ckp Time  BS Key  S #Pieces #Copies Compressed Tag
---------- --------- ------- - ------- ------- ---------- ---
631510     04-NOV-13 8       A 1       1       NO         TAG20131104T200829
631205     04-NOV-13 6       A 1       1       NO         TAG20131104T200432

List of SPFILE Backups
======================
Modification Time BS Key  S #Pieces #Copies Compressed Tag
----------------- ------- - ------- ------- ---------- ---
21-OCT-13         8       A 1       1       NO         TAG20131104T200829
21-OCT-13         6       A 1       1       NO         TAG20131104T200432
```

11.2.3 Listing Selected Backups and Copies

You can specify several different conditions to narrow your `LIST` output.

To list selected backups and copies:

1. Start RMAN and connect to a target database and recovery catalog (if used).
   - To list selected objects in the entire CDB, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
   - To list selected objects in a PDB, use one of the following:
     - Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `LIST...PLUGGABLE DATABASE` command.
     - Connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `LIST` command.
2. Run `LIST COPY` or `LIST BACKUP` with the *`listObjList`* or *`recordSpec`* clause. For example, enter any of the following commands:

```
# lists backups of all files in database
LIST BACKUP OF DATABASE;
# lists copy of specified datafile
LIST COPY OF DATAFILE 'ora_home/oradata/trgt/system01.dbf';
# lists specified backup set
LIST BACKUPSET 213;
# lists datafile copy
LIST DATAFILECOPY '/tmp/tools01.dbf';
```

You can also restrict the search by specifying the *maintQualifier* or RECOVERABLE clause. For example, enter any of the following commands:

```
# specify a backup set by tag
LIST BACKUPSET TAG 'weekly_full_db_backup';
# specify a backup or copy by device type
LIST COPY OF DATAFILE 'ora_home/oradata/trgt/system01.dbf' DEVICE
TYPE sbt;
# specify a backup by directory or path
LIST COPY LIKE '/tmp/%';
# specify a backup or copy by a range of completion dates
LIST COPY OF DATAFILE 2 COMPLETED BETWEEN '10-DEC-2012' AND '17-
DEC-2012';
# specify logs backed up at least twice to tape
LIST ARCHIVELOG ALL BACKED UP 2 TIMES TO DEVICE TYPE sbt;
# specify backup sets backed up at least once to disk
LIST BACKUPSET BACKED UP 1 TIMES TO DISK;
# specify backups of PDB backed up at least twice to sbt
LIST BACKUP OF PLUGGABLE DATABASE my_pdb BACKED UP 2 TIMES TO SBT;
```

3. Examine the output.

   The output depends upon the options that you pass to the LIST command. For example, the following lists copies of data file 1 contained in backup sets.

```
RMAN> LIST BACKUP OF DATAFILE 1;

List of Backup Sets
===================

BS Key  Type LV Size       Device Type Elapsed Time Completion Time
------- ---- -- ---------- ----------- ------------ ---------------
2       Full    230M       SBT_TAPE    00:00:49     21-OCT-13
        BP Key: 2   Status: AVAILABLE  Compressed: NO  Tag:
TAG20131021T094513
        Handle: 02f4eatc_1_1   Media: /smrdir
  List of Datafiles in backup set 2
  File LV Type Ckp SCN    Ckp Time  Name
  ---- -- ---- ---------- --------- ----
  1       Full 175337     21-OCT-13 /oracle/dbs/tbs_01.f

BS Key  Type LV Size       Device Type Elapsed Time Completion Time
------- ---- -- ---------- ----------- ------------ ---------------
5       Full    233M       DISK        00:04:30     04-NOV-13
        BP Key: 5   Status: AVAILABLE  Compressed: NO  Tag:
TAG20131104T195949
        Piece Name: /disk1/2013_11_04/
o1_mf_nnndf_TAG20131104T195949_ztjxfvgz_.bkp
  List of Datafiles in backup set 5
  File LV Type Ckp SCN    Ckp Time  Name
  ---- -- ---- ---------- --------- ----
  1       Full 631092     04-NOV-13 /oracle/dbs/tbs_01.f
```

Related Topics

- *Oracle Database Backup and Recovery Reference*

11.2.4 Listing Backups of Dropped PDBs

Use the `LIST` command with the `GUID` option to list backups of pluggable databases (PDBs) that have been dropped from a multitenant container database (CDB).

After a PDB is dropped, you cannot perform operations or query data dictionary views by using the PDB name. However, you can obtain information about dropped PDBs by querying using GUID of a PDB.

To list backups of dropped PDBs:

1. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Query the `DBA_PDB_HISTORY` view to determine the GUID of the PDB that was dropped.

   The following example displays the PDBs that were dropped from the CDB `test_db`:

   ```
   SELECT pdb_name, pdb_guid FROM dba_pdb_history
   WHERE db_name = 'test_db';
   ```

3. Use the `LIST` command with the `GUID` option to display backups of a dropped PDB.

   The following commands display backup sets and image copies of a dropped PDB with the specified GUID:

   ```
   LIST BACKUP GUID 'CDFFD672330A7527D0147204CD0E08D4';
   LIST COPY GUID 'CDFFD672330A7527D0147204CD0E08D4';
   ```

11.2.5 Listing Preplugin Backups

Use the `LIST` command to list preplugin backups and preplugin archived redo log files.

The `COMPATIBLE` parameter for the target CDB must be set to 18.0.0 or higher.

1. Start RMAN and connect to the root of the target database as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Connect to a recovery catalog, if used.
2. Ensure that the target CDB is in read-write or read-only mode.
3. Set the current container to the PDB whose backup objects you want to display by using the `SET` command.

   The following command sets the current container to `my_pdb`.

   ```
   SET PREPLUGIN CONTAINER = my_pdb;
   ```

4. Run the `LIST PREPLUGIN` command with the *`listObjList`* or *`recordSpec`* clause to display preplugin backups.

   To list preplugin backups of a PDB, use the following command:

   ```
   LIST PREPLUGIN BACKUP OF PLUGGABLE DATABASE pdb1;
   ```

To list all preplugin archived redo log files in the target CDB, use the following command:

```
LIST PREPLUGIN ARCHVELOG ALL;
```

11.2.6 Listing Database Incarnations

Each time an `OPEN RESETLOGS` operation is performed on a database, this operation creates a new incarnation of the database.

When performing incremental backups, RMAN can use a backup from a previous incarnation or the current incarnation as a basis for subsequent incremental backups. When performing restore and recovery operations, RMAN can use backups from a previous incarnation just as it can use backups from the current incarnation, if all archived logs are available.

To list database incarnations:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Run the `LIST INCARNATION` command, as shown in the following example:

```
LIST INCARNATION;
```

If you are using a recovery catalog, and if you register multiple target databases in the same catalog, then you can distinguish them by using the `OF DATABASE` option:

```
LIST INCARNATION OF DATABASE prod3;
```

Following is a sample output of listing the incarnation of a particular database:

```
RMAN> LIST INCARNATION OF DATABASE rdbms;

List of Database Incarnations
DB Key  Inc Key DB Name  DB ID            STATUS  Reset SCN  Reset
Time
------- ------- -------- ---------------- ------  ----------
----------
1       1       RDBMS    774627068        PARENT  1          21-
OCT-13
2       2       RDBMS    774627068        CURRENT 173832     21-
OCT-13
```

The preceding output indicates that a `RESETLOGS` operation was performed on database `rdbms` at SCN 173832, resulting in a new incarnation. The incarnation is distinguished by incarnation key (represented in the `Inc Key` column).

Related Topics

- About Database Incarnations
  A database incarnation is created whenever you open the database with the `RESETLOGS` option.
- *Oracle Database Backup and Recovery Reference*

11.3 Reporting on Backups and Database Schema

The RMAN `REPORT` command analyzes the available backups and your database. You can view reports on the metadata related to a multitenant container database (CDB), the root only, or one or more pluggable databases (PDBs).

11.3.1 About Reports of RMAN Backups

The `REPORT` command provides various reports of RMAN backups.

Use the `REPORT` command to answer important questions, such as:

- Which files need a backup?
- Which files have had unrecoverable operations performed on them?
- Which backups are obsolete and can be deleted?
- What was the physical schema of the target database or a database in the Data Guard environment at some previous time?
- Which files have not been backed up recently?

Reports enable you to confirm that your backup and recovery strategy is in fact meeting your requirements for database recoverability. The two major forms of `REPORT` used to determine whether your database is recoverable are:

- `REPORT NEED BACKUP`

  Reports which database files must be backed up to meet a configured or specified retention policy

- `REPORT UNRECOVERABLE`

  Reports which database files require backup because they have been affected by some `NOLOGGING` operation such as a direct-path `INSERT`

The RMAN repository contains other information that you can access with the `REPORT` command. The following table summarizes the `REPORT` options.

Table 11-4 REPORT Options

Contents of Report	Command	Description
Obsolete backups	`REPORT OBSOLETE`	Full backups, data file copies, and archived redo logs recorded in the RMAN repository that can be deleted because they are no longer needed
Database schema	`REPORT SCHEMA`	The names of all data files (permanent and temporary) and tablespaces for the target database at the specified point in time. If you use RMAN in a Data Guard environment, then you can report the schema for a specified `DB_UNIQUE_NAME`.

Related Topics

- *Oracle Database Backup and Recovery Reference*

11.3.2 Reporting on Files Needing a Backup Under a Retention Policy

Use the `REPORT NEED BACKUP` command to determine which database files need backup under a specific retention policy. With no arguments, this command reports which objects need backup under the currently configured retention policy.

- To report on objects in the whole CDB, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `REPORT NEED BACKUP` command.
- To report on objects in a PDB, use one of the following:
  - Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `REPORT NEED BACKUP PLUGGABLE DATABASE` command.
  - Connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `REPORT NEED BACKUP` command.

The output for a configured retention policy of `REDUNDANCY 1` is similar to this example:

```
RMAN> REPORT NEED BACKUP;

RMAN retention policy will be applied to the command
RMAN retention policy is set to redundancy 1
Report of files with less than 1 redundant backups
File #bkps Name
---- ----- -----------------------------------------------------
2    0     /oracle/oradata/trgt/undotbs01.dbf
```

> **Note:**
>
> If you disable the retention policy using `CONFIGURE RETENTION POLICY TO NONE` , then `REPORT NEED BACKUP` returns an error message, because without a retention policy, RMAN cannot determine which files must be backed up.

11.3.2.1 Using RMAN REPORT NEED BACKUP with Different Retention Policies

You can use options of the `REPORT NEED BACKUP` command to specify different retention policies.

Use one of the following forms to specify different criteria for `REPORT NEED BACKUP`:

- `REPORT NEED BACKUP RECOVERY WINDOW OF` *`n`* `DAYS`

  Displays objects requiring backup to satisfy a recovery window-based retention policy

- `REPORT NEED BACKUP REDUNDANCY` *`n`*

  Displays objects requiring backup to satisfy a redundancy-based retention policy

- `REPORT NEED BACKUP DAYS n`

  Displays files that require more than `n` days' worth of archived redo log files for recovery

- `REPORT NEED BACKUP INCREMENTAL n`

  Displays files that require application of more than `n` incremental backups for recovery

11.3.2.2 Using RMAN REPORT NEED BACKUP with Tablespaces and Data Files

The `REPORT NEED BACKUP` command can check the entire database, skip specified tablespaces, or check only specific tablespaces or data files against different retention policies.

The following are examples:

```
REPORT NEED BACKUP RECOVERY WINDOW OF 2 DAYS DATABASE SKIP TABLESPACE TBS_2;
REPORT NEED BACKUP REDUNDANCY 2 DATAFILE 1;
REPORT NEED BACKUP TABLESPACE TBS_3; # uses configured retention policy
REPORT NEED BACKUP INCREMENTAL 2; # checks entire database
```

Related Topics

- *Oracle Database Backup and Recovery Reference*

11.3.2.3 Using REPORT NEED BACKUP with Backups on Tape or Disk Only

You can limit the backups tested by the `REPORT NEED BACKUP` command to disk-based or tape-based backups only.

Following are some examples:

```
REPORT NEED BACKUP RECOVERY WINDOW OF 2 DAYS DATABASE DEVICE TYPE sbt;
REPORT NEED BACKUP DEVICE TYPE DISK;
REPORT NEED BACKUP TABLESPACE TBS_3 DEVICE TYPE sbt;
```

11.3.3 Reporting on Data Files Affected by Unrecoverable Operations

When a data file has been changed by an unrecoverable operation, such as a direct load insert, normal media recovery cannot be used to recover the file, because an unrecoverable operation does not generate redo. You must perform either a full or incremental backup of affected data files after such operations, to ensure that data blocks affected by the unrecoverable operation can be recovered using RMAN.

To identify data files affected by an unrecoverable operation:

1. Start RMAN and connect to a target database and recovery catalog (if used).
   - To report on objects in the database, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `REPORT UNRECOVERABLE` command.
   - To report on objects in a PDB, use one of the following:
     - Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `REPORT UNRECOVERABLE PLUGGABLE DATABASE` command.
     - Connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `REPORT UNRECOVERABLE` command.

2. Run the `REPORT UNRECOVERABLE` command with the required clauses.

   The following example includes sample output when connected to the root:

```
RMAN> REPORT UNRECOVERABLE;

Report of files that need backup due to unrecoverable operations
File Type of Backup Required Name
---- ----------------------- -----------------------------------
1    full                    /oracle/oradata/trgt/system01.dbf
```

11.3.4 Reporting on Obsolete Backups

You can report backup sets, backup pieces, and data file copies that are obsolete that is, not needed to meet a specified retention policy by specifying the `OBSOLETE` keyword.

To report obsolete backups:

1. Start RMAN and connect to the target database and recovery catalog (if used).
   - To report on objects in the database, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `REPORT OBSOLETE` command.
   - To report on objects in a PDB, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Use the `REPORT OBSOLETE OF PLUGGABLE DATABASE` command.

   You cannot view reporting information about obsolete backups or delete obsolete backups when connected to a PDB.
2. Execute the `CROSSCHECK` command to update the status of backups in the repository compared to their status on disk.

   In the simplest case, you could crosscheck all backups on disk, tape or both, using any one of the following commands:

```
CROSSCHECK BACKUP DEVICE TYPE DISK;
CROSSCHECK BACKUP DEVICE TYPE sbt;
CROSSCHECK BACKUP; # crosschecks all backups on all devices
CROSSCHECK BACKUP OF PLUGGABLE DATABASE my_pdb;    #when connected
to the root
```

3. Run `REPORT OBSOLETE` to identify which backups are obsolete because they are no longer needed for recovery.

   To display the obsolete backups for a particular PDB when connected to the root, use the `PLUGGABLE DATABASE` clause with the `REPORT` command. For example:

```
RMAN> REPORT OBSOLETE OF PLUGGABLE DATABASE my_pdb;
```

   If you do not specify any other options, then `REPORT OBSOLETE` displays the backups that are obsolete according to the current retention policy, as shown in the following example:

```
RMAN> REPORT OBSOLETE;
```

```
RMAN retention policy will be applied to the command
RMAN retention policy is set to redundancy 1
Report of obsolete backups and copies
Type                 Key    Completion Time    Filename/Handle
-------------------- ------ ------------------ --------------------
Datafile Copy        44     08-FEB-13          /backup/
ora_df549738566_s70_s1
Datafile Copy        45     08-FEB-13          /backup/
ora_df549738567_s71_s1
Datafile Copy        46     08-FEB-13          /backup/
ora_df549738568_s72_s1
Backup Set           26     08-FEB-13
  Backup Piece       26     08-FEB-13          /backup/
ora_df549738682_s76_s1
.
.
.
```

You can also check which backups are obsolete under different recovery window-based or redundancy-based retention policies, by using `REPORT OBSOLETE` with `RECOVERY WINDOW` and `REDUNDANCY` options, as shown in these examples:

```
REPORT OBSOLETE RECOVERY WINDOW OF 3 DAYS;
REPORT OBSOLETE REDUNDANCY 1;
```

Related Topics

- Maintaining RMAN Backups and Repository Records
  Use RMAN commands to manage the RMAN repository records, backups, and copies.
- Configuring the Backup Retention Policy
  The backup retention policy specifies which backups must be retained to meet your data recovery requirements.

11.3.5 Reporting on the Database Schema

The `REPORT SCHEMA` command lists and displays information about the database files, tablespaces, and so on.

If you do not specify `FOR DB_UNIQUE_NAME` with `REPORT SCHEMA`, then a recovery catalog connection is optional, but a target database connection is required. In a Data Guard environment, you can specify `REPORT SCHEMA FOR DB_UNIQUE_NAME` to report the schema for a database in the environment. In this case, an RMAN connection to a target database is not required. You can connect RMAN to the recovery catalog and set the DBID instead.

To report on the database schema:

1. Start RMAN and connect to the desired databases.
2. If you did not connect RMAN to a target database in the previous step, and you intend to specify the `FOR DB_UNIQUE_NAME` clause on `REPORT SCHEMA`, then set the database DBID. For example, enter the following command:

   ```
   RMAN> SET DBID 28014364;
   ```

3. Run the `REPORT SCHEMA` command as shown in the following example:

```
RMAN> REPORT SCHEMA;

Report of database schema for database with db_unique_name DGRDBMS

List of Permanent Datafiles
===========================
File Size(MB) Tablespace           RB segs Datafile Name
---- -------- -------------------- ------- ------------------------
1    450      SYSTEM               YES     /disk1/oracle/dbs/t_db1.f
2    141      SYSAUX               NO      /disk1/oracle/dbs/t_ax1.f
3    50       UD1                  YES     /disk1/oracle/dbs/
t_undo1.f
4    50       TBS_11               NO      /disk1/oracle/dbs/
tbs_111.f
5    50       TBS_11               NO      /disk1/oracle/dbs/
tbs_112.f

List of Temporary Files
=======================
File Size(MB) Tablespace           Maxsize(MB) Tempfile Name
---- -------- -------------------- ----------- --------------------
1    40       TEMP                 32767       /disk1/oracle/dbs/
t_tmp1.f
```

If you use a recovery catalog, then you can use the *atClause* to specify a past time, SCN, or log sequence number, as shown in these examples of the command:

```
RMAN> REPORT SCHEMA AT TIME 'SYSDATE-14';     # schema 14 days ago
RMAN> REPORT SCHEMA AT SCN 1000;              # schema at scn 1000
RMAN> REPORT SCHEMA AT SEQUENCE 100 THREAD 1; # schema at sequence
100
RMAN> REPORT SCHEMA FOR DB_UNIQUE_NAME standby1; # schema for
database standby1
```

Related Topics

- *Oracle Database Backup and Recovery Reference*

11.4 Using V$ Views to Query Backup Metadata

In some cases, V$ views supply information that is not available through use of the `LIST` and `REPORT` commands.

This section describes cases in which V$ views are particularly useful.

11.4.1 Querying Details of Past and Current RMAN Jobs

An RMAN job is the set of commands executed within an RMAN session. Thus, one RMAN job can contain multiple commands.

For example, you may execute two separate `BACKUP` commands and a `RECOVER COPY` command in a single session. An RMAN backup job is the set of `BACKUP` commands executed in one RMAN job. For example, a `BACKUP DATABASE` and `BACKUP ARCHIVELOG ALL` command executed in the same RMAN job constitute a single RMAN backup job.

The views `V$RMAN_BACKUP_JOB_DETAILS` and `V$RMAN_BACKUP_SUBJOB_DETAILS` and their corresponding recovery catalog versions provide details of RMAN backup jobs. For example, the views show how long a backup took, how many backup jobs have been issued, the status of each backup job (for example, whether it failed or completed), when a job started and finished, and what type of backup was performed. The `SESSION_KEY` column is the unique key for the RMAN session in which the backup job occurred.

RMAN backups often write less than they read. Because of RMAN compression, the `OUTPUT_BYTES_PER_SEC` column cannot be used as the measurement of backup speed. The appropriate column to measure backup speed is `INPUT_BYTES_PER_SEC`. The ratio between read and written data is described in the `COMPRESSION_RATIO` column.

To query details about past and current RMAN jobs:

1. Connect SQL*Plus to the database whose backup history you intend to query.
2. Query the `V$RMAN_BACKUP_JOB_DETAILS` view for information about the backup type, status, and start and end time.

   The following query shows the backup job history ordered by session key, which is the primary key for the RMAN session:

   ```
   COL STATUS FORMAT a9
   COL hrs    FORMAT 999.99
   SELECT SESSION_KEY, INPUT_TYPE, STATUS,
          TO_CHAR(START_TIME,'mm/dd/yy hh24:mi') start_time,
          TO_CHAR(END_TIME,'mm/dd/yy hh24:mi')   end_time,
          ELAPSED_SECONDS/3600                   hrs
   FROM V$RMAN_BACKUP_JOB_DETAILS
   ORDER BY SESSION_KEY;
   ```

   The following sample output shows the backup job history:

   ```
   SESSION_KEY INPUT_TYPE    STATUS    START_TIME     END_TIME           HRS
   ----------- ------------- --------- -------------- -------------- -------
             9 DATAFILE FULL COMPLETED 04/18/07 18:14 04/18/07 18:15     .02
            16 DB FULL       COMPLETED 04/18/07 18:20 04/18/07 18:22     .03
           113 ARCHIVELOG    COMPLETED 04/23/07 16:04 04/23/07 16:05     .01
   ```

3. Query the `V$RMAN_BACKUP_JOB_DETAILS` view for the rate of backup jobs in an RMAN session.

The following query shows the backup job speed ordered by session key, which is the primary key for the RMAN session. The columns `in_sec` and `out_sec` display the data input and output per second.

```
COL in_sec FORMAT a10
COL out_sec FORMAT a10
COL TIME_TAKEN_DISPLAY FORMAT a10
SELECT SESSION_KEY,
       OPTIMIZED,
       COMPRESSION_RATIO,
       INPUT_BYTES_PER_SEC_DISPLAY in_sec,
       OUTPUT_BYTES_PER_SEC_DISPLAY out_sec,
       TIME_TAKEN_DISPLAY
FROM   V$RMAN_BACKUP_JOB_DETAILS
ORDER BY SESSION_KEY;
```

The following sample output shows the speed of the backup jobs:

```
SESSION_KEY OPT COMPRESSION_RATIO IN_SEC     OUT_SEC    TIME_TAKEN
----------- --- ----------------- ---------- ---------- ----------
          9 NO                  1     8.24M      8.24M  00:01:14
         16 NO         1.32732239     6.77M      5.10M  00:01:45
        113 NO                  1     2.99M      2.99M  00:00:44
```

4. Query the `V$RMAN_BACKUP_JOB_DETAILS` view for the size of the backups in an RMAN session.

   If you run `BACKUP DATABASE`, then `V$RMAN_BACKUP_JOB_DETAILS.OUTPUT_BYTES` shows the total size of backup sets written by the backup job for the database that you are backing up. To view backup set sizes for all registered databases, query `V$RMAN_BACKUP_JOB_DETAILS`.

   The following query shows the backup job size and throughput ordered by session key, which is the primary key for the RMAN session. The columns `in_size` and `out_size` display the data input and output per second.

```
COL in_size  FORMAT a10
COL out_size FORMAT a10
SELECT SESSION_KEY,
       INPUT_TYPE,
       COMPRESSION_RATIO,
       INPUT_BYTES_DISPLAY in_size,
       OUTPUT_BYTES_DISPLAY out_size
FROM   V$RMAN_BACKUP_JOB_DETAILS
ORDER BY SESSION_KEY;
```

   The following sample output shows the size of the backup jobs:

```
SESSION_KEY INPUT_TYPE    COMPRESSION_RATIO IN_SIZE    OUT_SIZE
----------- ------------- ----------------- ---------- ----------
         10 DATAFILE FULL                 1   602.50M    602.58M
         17 DB FULL              1.13736669   634.80M    558.13M
```

11.4.2 Determining the Encryption Status of Backup Pieces

The ENCRYPTED column of the V$BACKUP_PIECE and V$RMAN_BACKUP_PIECE views indicates whether a backup piece is encrypted (YES) or unencrypted (NO).

For example, you can run the following query in SQL*Plus to determine which backup pieces are encrypted:

```
COL BS_REC    FORMAT 99999
COL BP_REC    FORMAT 99999
COL MB        FORMAT 9999999
COL ENCRYPTED FORMAT A7
COL TAG       FORMAT A25

SELECT S.RECID AS "BS_REC", P.RECID AS "BP_REC", P.ENCRYPTED,
       P.TAG, P.HANDLE AS "MEDIA_HANDLE"
FROM   V$BACKUP_PIECE P, V$BACKUP_SET S
WHERE  P.SET_STAMP = S.SET_STAMP
AND    P.SET_COUNT = S.SET_COUNT;
```

The following sample output shows that the backups are encrypted:

```
BS_REC BP_REC ENCRYPT TAG
------ ------ ------- -------------------------
MEDIA_HANDLE
--------------------------------------------------------------------------------
---
     1      1 YES     TAG20070711T140124
/disk1/c-39525561-20070711-00

     2      2 YES     TAG20070711T140128
/disk1/c-39525561-20070711-01

     3      3 YES     TAG20070711T140130
/disk1/c-39525561-20070711-02
```

Related Topics

- V_BACKUP_PIECE

11.5 Querying Recovery Catalog Views

The LIST, REPORT, and SHOW commands provide the easiest means of accessing the data in the control file and the recovery catalog.

Nevertheless, you can sometimes also obtain useful information from the recovery catalog views, which reside in the recovery catalog schema and use the RC_ prefix.

11.5.1 About Recovery Catalog Views

RMAN obtains backup and recovery metadata from a target database control file and stores it in the tables of the recovery catalog. The recovery catalog views are derived from these tables. The recovery catalog views are not normalized or optimized for user queries.

In general, the recovery catalog views are not as user-friendly as the RMAN reporting commands. For example, when you start RMAN and connect to a target database, you obtain the information for this target database only when you issue `LIST`, `REPORT`, and `SHOW` commands. If you have 10 different target databases registered in the same recovery catalog, then any query of the catalog views shows the metadata for all incarnations of all 10 databases. You often must perform complex selects and joins among the views to extract usable information about a database incarnation.

Most of the catalog views have a corresponding `V$` view in the database. For example, `RC_BACKUP_PIECE` corresponds to `V$BACKUP_PIECE`. The primary difference between the recovery catalog view and corresponding `V$` view is that each recovery catalog view contains metadata about *all* the target databases registered in the recovery catalog. The `V$` view contains information only about itself.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for a description of recovery catalog views

11.5.1.1 About Unique Identifiers for Registered Databases

Most recovery catalog views contain the columns `DB_KEY` and `DBINC_KEY`. Each database registered in the recovery catalog can be uniquely identified by either the primary key, which is the `DB_KEY` column value, or the `DBID`, which is the 32-bit unique database identifier. Each incarnation of a database is uniquely identified by the `DBINC_KEY` column.

You can use `DB_KEY` and `DBINC_KEY` to retrieve the records of a specific incarnation of a target database. Then, you can perform joins with most of the other catalog views to isolate records belonging to this incarnation.

An important difference between catalog and `V$` views is that a different system of unique identifiers is used for backup and recovery files. For example, many `V$` views such as `V$ARCHIVED_LOG` use the `RECID` and `STAMP` columns to form a concatenated primary key. The corresponding recovery catalog view uses a derived value as its primary keys and stores this value in a single column. For example, the primary key in `RC_ARCHIVED_LOG` is the `AL_KEY` column. The `AL_KEY` column value is the primary key that RMAN displays in the `LIST` command output.

11.5.1.2 About Unique Identifiers in a Data Guard Environment

Special considerations apply when querying the recovery catalog in a Data Guard environment.

In a Data Guard environment, multiple databases share the same DBID. Several views contain a DB_UNIQUE_NAME column, which indicates the DB_UNIQUE_NAME of the database incarnation to which the record belongs. All databases in a Data Guard environment share the same DBID but have different DB_UNIQUE_NAME values.

The value of DB_UNIQUE_NAME is null when the database name is not known to the catalog, as for Oracle9*i* databases that are registered in a recovery catalog. Also, the column value is null when a database is upgraded to Oracle Database 11*g* or later but the recovery catalog schema has not reconciled the database names for all files.

In the recovery catalog views, the primary database and its associated standby databases share the same DB_KEY. However, every database in a Data Guard environment has a unique RC_SITE.SITE_KEY value. For example, a primary database prod and its standby database standby1 might both have the DB_KEY value of 1, whereas the SITE_KEY of prod is 3 and the SITE_KEY of standby1 is 30.

Some recovery catalog views do not have a DB_UNIQUE_NAME column, but include a SITE_KEY column. You can use the SITE_KEY column to join with RC_SITE.SITE_KEY to determine the DB_UNIQUE_NAME of the database associated with a file. As explained in "About RMAN File Management in a Data Guard Environment", every file in a Data Guard environment is associated with the primary or standby database that created it.

> **See Also:**
>
> *Oracle Data Guard Concepts and Administration* to learn how to report on and manage files in a Data Guard environment

11.5.2 Querying Catalog Views for the Target DB_KEY or DBID Values

The DB_KEY value, which is the primary key for a registered database, is used only in the recovery catalog. The easiest way is to obtain the DB_KEY is to use the DBID of the target database, which is displayed whenever you connect RMAN to a database as TARGET.

The DBID distinguishes databases registered in the RMAN recovery catalog.

Assume that you want to obtain information about a database registered in the recovery catalog.

To query the catalog for information about the current incarnation of a database:

1. Determine the DBID for the database whose records you want to view.

   You can obtain the DBID by looking at the output displayed when RMAN connects to the database, querying V$RMAN_OUTPUT, or querying a V$DATABASE view. The following example connects SQL*Plus to the desired database and queries the DBID:

```
SQL> CONNECT / AS SYSBACKUP
SQL> SELECT DBID
  2  FROM   V$DATABASE;

     DBID
---------
598368217
```

2. Start SQL*Plus and connect to the recovery catalog database as the owner of the recovery catalog.
3. Obtain the database key for the database whose DBID you obtained in Step 1.

   To obtain the `DB_KEY` for a database run the following query, where *`dbid_of_target`* is the DBID obtained in Step 1:

   ```
   SELECT DB_KEY
   FROM   RC_DATABASE
   WHERE  DBID = dbid_of_target;
   ```

4. Query the records for the current incarnation of the database whose DBID you obtained in Step 1.

   To obtain information about the current incarnation of a target database, specify the target database `DB_KEY` value and perform a join with the `RC_DATABASE_INCARNATION` view. Use a `WHERE` condition to specify that the `CURRENT_INCARNATION` column value is set to `YES`. For example, to obtain information about backup sets in the current incarnation of a target database with the `DB_KEY` value of `1`, query as follows:

   ```
   SELECT BS_KEY, BACKUP_TYPE, COMPLETION_TIME
   FROM   RC_DATABASE_INCARNATION i, RC_BACKUP_SET b
   WHERE  i.DB_KEY = 1
   AND    i.DB_KEY = b.DB_KEY
   AND    i.CURRENT_INCARNATION = 'YES';
   ```

See Also:

- *Oracle Database Backup and Recovery Reference* for details about the `RC_DATABASE_INCARNATION` view
- About Database Incarnations

11.5.3 Querying RC_BACKUP_FILES

You can query the view `RC_BACKUP_FILES` for information about all backups of any database registered in the recovery catalog. Before querying `RC_BACKUP_FILES`, however, you must call the `DBMS_RCVMAN.SETDATABASE` procedure.

Specify the DBID of a database registered in the recovery catalog, as shown in the following example:

```
SQL> CALL DBMS_RCVMAN.SETDATABASE(null,null,null,2283997583);
```

The fourth parameter must be the DBID of a database registered in the recovery catalog. The other parameters must all be `NULL`.

See Also:

- *Oracle Database Backup and Recovery Reference* for details about the `RC_BACKUP_FILES` view
- Determining the DBID of the Database for techniques for determining the DBID of a database

12

Maintaining RMAN Backups and Repository Records

Use RMAN commands to manage the RMAN repository records, backups, and copies.

> **See Also:**
>
> Managing a Recovery Catalog for RMAN maintenance issues that are specific to a recovery catalog

12.1 Overview of RMAN Backup and Repository Maintenance

This section explains the purpose and basic concepts of RMAN repository maintenance.

12.1.1 Purpose of Backup and Repository Maintenance

The recommended maintenance strategy is to configure a fast recovery area, a backup retention policy, and an archived redo log deletion policy.

In this case, the database automatically maintains and deletes backups and archived redo logs as needed. However, manual maintenance of database backups and archived redo logs is sometimes necessary.

Managing RMAN backups involves the following related tasks:

- Managing the database backups that are stored on disk or tape
- Managing the records of those backups in the RMAN repository

An important part of RMAN maintenance is deleting backups that are no longer needed. If you configure a fast recovery area, then the database automatically deletes unneeded files in this area automatically; even so, you may want to delete backups and copies from tape. You may even need to delete an entire database. You can use an RMAN command to perform these tasks.

The fast recovery area may require occasional maintenance. For example, the fast recovery area may become full, in which case you can add space to it. Alternatively, you may want to change the location of the recovery area.

It is possible for the RMAN repository to fail to reflect the true state of files on disk or tape. For example, a user may delete a backup from disk with an operating system utility. In this case, the RMAN repository shows that the file exists when it does not. In a similar situation, a tape containing RMAN backups may be corrupted. You can use RMAN maintenance commands to update the repository with accurate information.

12.1.2 Basic Concepts of Backup and Repository Maintenance

RMAN provides multiple commands to maintain RMAN backups and repository records.

Following are the RMAN maintenance commands:

- The `CATALOG` command enables you to add records about RMAN and user-managed backups that are currently not recorded in the RMAN repository, or to remove records for backups that are recorded.
- The `CHANGE` command enables you to update the status of records in the RMAN repository.
- The `CROSSCHECK` command enables you to synchronize the logical backup records with the physical reality of files in backup storage.
- The `DELETE` command enables you to delete backups from the operating system.

12.1.2.1 About Maintenance Commands and RMAN Repository Metadata

RMAN always stores its metadata in the control file of each target database on which it performs operations. If you register a target database in the recovery catalog, then RMAN stores the metadata for this target database in the recovery catalog.

All of the RMAN maintenance commands work with or without a recovery catalog.

Related Topics

- Maintaining a Recovery Catalog
  Maintaining the recovery catalog consists of tasks such as resynchronizing, updating, and upgrading the recovery catalog.

12.1.2.2 About Maintenance Commands in a Data Guard Environment

The database in a Data Guard environment that creates a backup or copy is associated with the file. For example, if RMAN is connected to target database `standby1` and backs it up, then this backup is associated with `standby1`.

If backups are accessible to RMAN according to the criteria specified in "*About RMAN File Management in a Data Guard Environment*", you can use RMAN maintenance commands such as `CHANGE`, `DELETE`, and `CROSSCHECK` for backups when connected to any primary or standby database.

Related Topics

- About RMAN File Management in a Data Guard Environment
  RMAN uses a recovery catalog to track file names for all database files in a Data Guard environment.

12.1.2.2.1 About Crosschecks in a Data Guard Environment

For a crosscheck, RMAN can only update the status of a file from `AVAILABLE` to `EXPIRED` when connected to the database associated with the file.

Thus, if RMAN crosschecks a file and does not find it, and if the file is associated with a database to which it is not connected as `TARGET`, then RMAN prompts you to perform

the crosscheck when connected to the target database associated with the file. RMAN performs a crosscheck when you run the `CROSSCHECK` or `CHANGE ... AVAILABLE` command.

12.1.2.2.2 About Deletion in a Data Guard Environment

RMAN can delete files when connected to any database. If RMAN is not connected as `TARGET` to the database associated with a file, and if RMAN cannot delete a file successfully, then RMAN prompts you to connect as `TARGET` to the database associated with the file. You must then use `DELETE ... FORCE` to delete the file metadata.

12.1.2.2.3 About Updates to RMAN Metadata in a Data Guard Environment

If a maintenance command changes RMAN metadata only, then you can connect RMAN as `TARGET` to any database in the Data Guard environment.

Commands that change only metadata include:

- `CHANGE ... UNAVAILABLE` or `CHANGE ... UNCATALOG`
- `CHANGE ... KEEP` or `CHANGE ... NOKEEP`
- `CHANGE ... RESET DB_UNIQUE_NAME`

By default, the `CHANGE` command only operates on files that are accessible according to the rules specified in "*About Accessibility of Backups in a Data Guard Environment*". However, you can change the status of files associated with a database other than the target database by using the `FOR DB_UNIQUE_NAME` option.

Related Topics

- About Accessibility of Backups in a Data Guard Environment
  The accessibility of a backup is different from its association. In a Data Guard environment, the recovery catalog considers disk backups as accessible only to the database with which they are associated, whereas tape backups created on one database are accessible to all databases.

12.1.2.2.4 About Files Not Associated with a Database

A backup remains associated with a database unless you explicitly use the `CHANGE ... RESET DB_UNIQUE_NAME` to associate the backup with a different database.

In some cases the `DB_UNIQUE_NAME` may not be known for a specific file. For example, the value of `DB_UNIQUE_NAME` is `null` when the database name is not known to the recovery catalog, as for Oracle9*i* databases that are registered in a recovery catalog. Also, rows can have a `DB_UNIQUE_NAME` of `null` because a database has been upgraded to the current version, but the recovery catalog schema has not reconciled the `DB_UNIQUE_NAME` values for all files. By default, RMAN associates files whose `SITE_KEY` is `null` with the database to which RMAN is connected as `TARGET`.

Related Topics

- *Oracle Database Backup and Recovery Reference*

12.2 Maintaining the Control File Repository

RMAN is designed to work without a recovery catalog. If you choose not to use a recovery catalog, however, then the control file of each target database is the exclusive repository for RMAN metadata.

You must know how information is stored in the control file and ensure that your backup and recovery strategy protects the control file.

Related Topics

- *Oracle Database Administrator's Guide*

12.2.1 About Control File Records

The control file contains two types of records: circular reuse records and noncircular reuse records.

Circular reuse records contain noncritical information that is eligible to be overwritten if needed. These records contain information that is continually generated by the database. When all available record slots are full, the database either expands the control file to make room for a new record or overwrites the oldest record. The `CONTROL_FILE_RECORD_KEEP_TIME` initialization parameter specifies the minimum age, in days, of a record before it can be reused.

Noncircular reuse records contain critical information that does not change often and cannot be overwritten. Some examples of information in noncircular reuse records include data files, online redo log files, and redo threads.

As you make backups of a target database, the database records these backups in the control file. To prevent the control file from growing too large because of the addition of new records, records can be reused if they are older than a threshold that you specify. The `CONTROL_FILE_RECORD_KEEP_TIME` initialization parameter determines the minimum age, in days, of a record before it can be overwritten.

```
CONTROL_FILE_RECORD_KEEP_TIME = integer
```

For example, if the parameter value is `14`, then any record of age 14 days or older is a candidate for reuse. Information in an overwritten record is lost. The oldest record available for reuse is used first.

> **Note:**
>
> When records are overwritten, the backup pieces associated with the metadata are orphaned and will not managed by RMAN.

When the database must add new RMAN repository records to the control file, but no record is older than the threshold, the database attempts to expand the size of the control file. If the underlying operating system prevents the expansion of the control file (due to a disk full condition, for instance), then the database overwrites the oldest record in the control file.

The database records the overwrite in the alert log located in the Automatic Diagnostic Repository. For each record that it overwrites, the database records an entry in the alert log similar to the following:

```
kccwnc: following control file record written over:
RECID #72 Recno 72 Record timestamp
07/28/06 22:15:21
Thread=1 Seq#=3460
Backup set key: stamp=372031415, count=17
Low scn: 0x0000.3af33f36
07/27/06 21:00:08
Next scn: 0x0000.3af3871b
07/27/06 23:23:54
Resetlogs scn and time
scn: 0x0000.00000001
```

12.2.1.1 About Fast Recovery Area and Control File Records

When a control file record containing information about a file created in the fast recovery area is about to be reused, the database attempts to delete the file from the fast recovery area when the file is eligible for deletion. Otherwise, the database expands the size of the control file section containing the record for this file.

The database logs the expansion in the alert log with a message like this example, where *nnnn* is the number of the control file record type:

```
kccwnc: trying to expand control file section nnnn for Oracle Managed Files
```

If the control file is at the maximum size supported under the host operating system, then the database cannot expand the control file. In such a situation, this warning appears in the alert log:

```
WARNING: Oracle Managed File filename is unknown to control file. This is
the result of limitation in control file size that could not keep all
recovery area files.
```

The preceding message means that the control file cannot hold a record of all fast recovery area files needed to satisfy the configured retention policy. The next section explains how to respond to this situation.

Related Topics

- CONTROL_FILE_RECORD_KEEP_TIME

12.2.2 Preventing the Loss of Control File Records

The best way to prevent the loss of RMAN metadata because of overwritten control file records is to use a recovery catalog.

If you cannot use a recovery catalog, then you can take the following measures:

- Set the `CONTROL_FILE_RECORD_KEEP_TIME` value to slightly longer than the oldest file that you must keep. For example, if you back up the whole database once a week, then you

must keep every backup for at least 7 days. Set `CONTROL_FILE_RECORD_KEEP_TIME` to a value such as `10` or `14`. The default value of `CONTROL_FILE_RECORD_KEEP_TIME` is 7 days.

> **Caution:**
>
> Regardless of whether you use a recovery catalog, never use RMAN when `CONTROL_FILE_RECORD_KEEP_TIME` is set to 0. If you do, then you may lose backup records.

- Store the control file in a file system rather than on a raw device so that it can expand.
- Monitor the alert log to ensure that Oracle Database is not overwriting control file records. The alert log is located in the Automatic Diagnostic Repository (ADR).

If you use a fast recovery area, then follow these guidelines to avoid a situation in which the control file cannot hold a record of all fast recovery area files needed to satisfy the backup retention policy:

- If the block size of the control file is not at its maximum, then use a larger block size, preferably 32 kilobytes.

  To achieve this aim, you must set the `SYSTEM` tablespace block size to be greater than or equal to the control file block size, and re-create the control file after changing `DB_BLOCK_SIZE`. The files in the fast recovery area are recataloged, but the records for files on tape are lost.
- Make the files in the fast recovery area eligible for deletion by backing them up to tertiary storage such as tape.

  For example, you can use `BACKUP RECOVERY AREA` to specifically back up files in the fast recovery area to a media manager.
- If the backup retention policy is keeping backups and archived logs longer than your business requirements, then you can make more files in the fast recovery area eligible for deletion by changing the retention policy to a shorter recovery window or lower degree of redundancy.

12.2.3 Protecting the Control File

If you are not using a recovery catalog to store RMAN metadata, then it is doubly important that you protect each target database control file.

You can use the following strategy to protect the control file.

To protect the control file:

1. Create redundant copies of control files through multiplexing or operating system mirroring.

   In this way, the database can survive the loss of a subset of the control files without requiring you to restore a control file from backup. Oracle recommends that you use a minimum of two multiplexed or mirrored control files on separate disks.
2. Configure the control file autobackup feature.

In this case, RMAN automatically backs up the control file when you run certain RMAN commands. If a control file autobackup is available, RMAN can restore the server parameter and backup control file, and mount the database. After the control file is mounted, you can restore the remainder of the database.

3. Keep a record of the database DBID.

   If you lose the control files, then you can use the DBID to recover the database.

Related Topics

- Backing Up Control Files with RMAN
  You can back up the control file when the database is mounted or open. RMAN uses a snapshot control file to ensure a read-consistent version.
- About RMAN Control File and Server Parameter File Autobackups
  Having recent backups of your control file and server parameter file is extremely valuable in many recovery situations. To ensure that you have backups of these files, the database supports control file and server parameter file autobackups.

12.3 Maintaining the Fast Recovery Area

Although the fast recovery area is largely self-managing, some situations may require database administration intervention.

12.3.1 Deletion Rules for the Fast Recovery Area

RMAN uses certain rules to determine when files can be deleted from the fast recovery area.

The following rules govern when files become eligible for deletion from the recovery area:

- Permanent files are never eligible for deletion.
- Files that are obsolete under the retention policy are eligible for deletion.
- Transient files that have been copied to tape are eligible for deletion.
- Archived redo logs are not eligible for deletion until all the consumers of the logs have satisfied their requirements.

  Consumers of logs can include RMAN, standby databases, and the Flashback Database feature.
- Foreign archived logs that have been mined by a LogMiner session on a logical standby database are eligible for deletion. Because it is generated from a different database than the current database, a foreign archived redo log has a different DBID than the current archived redo logs.

The safe and reliable way to control deletion of files from the fast recovery area is to configure your retention policy and archived log deletion policy. To increase the likelihood that files moved to tape are retained on disk, increase the fast recovery area quota.

Related Topics

- Overview of Files in the Fast Recovery Area
  The fast recovery area can contain control files, online redo logs, archived redo logs, flashback logs, and RMAN backups.
- Configuring an Archived Redo Log Deletion Policy
  You can use RMAN to create a persistent configuration that governs when archived redo logs are eligible for deletion from disk.

12.3.2 Monitoring Fast Recovery Area Space Usage

You can use the `V$RECOVERY_FILE_DEST` and `V$RECOVERY_AREA_USAGE` views to determine whether you have allocated enough space for your fast recovery area.

Query the `V$RECOVERY_FILE_DEST` view to discover the current location, disk quota, space in use, space reclaimable by deleting files, and total number of files in the fast recovery area. The space columns specify the amount in bytes. Query the `V$RECOVERY_AREA_USAGE` view to discover the percentage of the total disk quota used by different types of files. Also, you can determine how much space for each type of file can be reclaimed by deleting files that are obsolete, redundant, or backed up to tape.

When guaranteed restore points are defined on your database, you must monitor the amount of space used in your fast recovery area for files required to meet the guarantee. Use the query for viewing guaranteed restore points in "*Listing Restore Points Using the V$RESTORE_POINT View*" and see the `STORAGE_SIZE` column to determine the space required for files related to each guaranteed restore point.

Example 12-1 Fast Recovery Area Space Consumption

The following example displays details about the fast recovery area such as the location, disk quota, space usage, and number of files.

```
SELECT * FROM V$RECOVERY_FILE_DEST;

NAME           SPACE_LIMIT SPACE_USED SPACE_RECLAIMABLE
NUMBER_OF_FILES  CON_ID
-------------  ----------- ---------- -----------------
---------------  ------
/mydisk/rcva    5368709120 109240320             256000
28       0
```

Example 12-2 Fast Recovery Area Space Usage Based on Type of Files

The following example displays the percentage of space used, percentage of space that can be reclaimed, and number of files in the fast recovery area for each type of file.

```
SELECT * FROM V$RECOVERY_AREA_USAGE;

FILE_TYPE    PERCENT_SPACE_USED PERCENT_SPACE_RECLAIMABLE
NUMBER_OF_FILES  CON_ID
------------ ------------------ -------------------------
---------------  ------
CONTROLFILE                   0
0               0       0
ONLINELOG                     2                         0
22       0
ARCHIVELOG                 4.05                      2.01
31       0
BACKUPPIECE                3.94
3.86               8       0
IMAGECOPY                 15.64                     10.43
```

```
66        0
FLASHBACKLOG                .08                          0
1         0
```

Related Topics

- Listing Restore Points Using the V$RESTORE_POINT View
  You can use the `V$RESTORE_POINT` control file view to obtain information about all currently-defined restore points (normal and guaranteed), including CDB restore points and PDB restore points.

12.3.3 Managing Space for Flashback Logs

You cannot manage the flashback logs in the fast recovery area or in the flashback log destination directly other than by setting the flashback retention target or using guaranteed restore points.

By default, flashback logs are stored in the fast recovery area. You can manage fast recovery area space as a whole to maximize the space available for retention of flashback logs. In this way you increase the likelihood of achieving the flashback target.

However, starting with Oracle Database 23c, Oracle recommends that you write flashback logs to a faster disk location outside the fast recovery area. Set the `DB_FLASHBACK_LOG_DEST` parameter to specify the flashback log destination, which is a separate disk location to store flashback logs. Set the `DB_FLASHBACK_LOG_DEST_SIZE` parameter to specify the maximum limit (in bytes) on the total space to be used by the flashback log files stored in the flashback log destination defined by `DB_FLASHBACK_LOG_DEST`.

The view `V$FLASHBACK_LOG_DEST` provides information about the disk quota and current disk usage for the flashback database log storage area. See, the *Oracle Database Reference* more information about the `V$FLASHBACK_LOG_DEST` view.

Maintaining flashback logs in a separate disk location has the following advantages:

- Reduces database performance issues caused by flashback logging
- Eliminates the need to backup the contents of the fast recovery area to make space for flashback logs

Oracle Database monitors flashback logs stored in the fast recovery area or the flashback log destination, and automatically deletes flashback logs that are beyond the retention period. When the retention target is reduced, flashback logs that are beyond the retention period are deleted immediately.

The `COMPATIBLE` initialization parameter must be set to 19.0.0 or higher for flashback logs to be automatically deleted.

In scenarios where a sudden workload spike causes a large number of flashback logs to be created, the workload is monitored for several days before deleting flashback logs that are beyond the retention period. This avoids the overhead of recreating the flashback logs, if another peak workload occurs soon after.

> **Note:**
>
> You cannot back up flashback logs. Thus, the `BACKUP RECOVERY AREA` operation does not include the flashback logs when backing up the fast recovery area contents to tape.

Related Topics

- About Logging for Flashback Database with Guaranteed Restore Points Defined
  If you enable Flashback Database and define one or more guaranteed restore points, then the database performs normal flashback logging.

12.3.4 Responding to a Full Fast Recovery Area

If the RMAN retention policy requires keeping a set of backups larger than the fast recovery area disk quota, or if the retention policy is set to `NONE`, then the fast recovery area can fill completely with no reclaimable space.

The database issues a warning alert when reclaimable space is less than 15% and a critical alert when reclaimable space is less than 3%. To warn the DBA of this condition, an entry is added to the alert log and to the `DBA_OUTSTANDING_ALERTS` table (used by Enterprise Manager). Nevertheless, the database continues to consume space in the fast recovery area until there is no reclaimable space left.

When the recovery area is completely full, the error displayed is as follows, where *nnnnn* is the number of bytes required and *mmmmm* is the disk quota:

```
ORA-19809: limit exceeded for recovery files
ORA-19804: cannot reclaim nnnnn bytes disk space from mmmmm limit
```

You have several choices for how to resolve a full fast recovery area when no files are eligible for deletion:

- Make more disk space available and increase `DB_RECOVERY_FILE_DEST_SIZE` to reflect the additional space.
- Move backups from the fast recovery area to tertiary storage such as tape.

  One convenient way to back up all of your recovery area files to tape together is the `BACKUP RECOVERY AREA` command. After you transfer backups from the recovery area to tape, you can delete files from the fast recovery area. Flashback logs cannot be backed up outside the recovery area and are not backed up by `BACKUP RECOVERY AREA`.
- Run `DELETE` for any files that have been removed with an operating system utility.

  If you use host operating system commands to delete files, then the database is not aware of the resulting free space. You can run the RMAN `CROSSCHECK` command to have RMAN recheck the contents of the fast recovery area and identify expired files, and then use the `DELETE EXPIRED` command to delete every expired backup from the RMAN repository.
- Ensure that your guaranteed restore points are necessary. If not, delete them.

  Flashback logs that are not needed for a guaranteed restore point are deleted automatically to gain space for other files in the fast recovery area. A guaranteed

restore point forces the retention of flashback logs required to perform Flashback Database to the restore point SCN.

- Review your backup retention policy and, if using Data Guard, your archived redo log deletion policy.

Related Topics

- Deleting RMAN Backups and Archived Redo Logs
  You can use the RMAN `DELETE` command to delete archived redo logs and RMAN backups.
- Dropping Restore Points
  When you are satisfied that you do not need an existing restore point, or when you want to create a restore point with the name of an existing restore point, you can drop the restore point, using the `DROP RESTORE POINT` SQL*Plus statement.

12.3.5 Changing the Fast Recovery Area to a New Location

Use the `ALTER SYSTEM` command to change the location of the fast recovery area.

If you must move the fast recovery area of your database to a new location, then follow this procedure:

1. Start SQL*Plus on the target database and change the `DB_RECOVERY_FILE_DEST` initialization parameter. For example, enter the following command to set the destination to the ASM disk group `disk1`:

   ```
   ALTER SYSTEM SET DB_RECOVERY_FILE_DEST='+disk1' SCOPE=BOTH SID='*';
   ```

   After you change this parameter, all new fast recovery area files are created in the new location.
2. Either leave or move the permanent files, flashback logs, and transient files in the old flash recovery location.

   If you leave the existing files in the flash recovery, then the database deletes the transient files from the old fast recovery area as they become eligible for deletion.

   If you must move the old files to the new fast recovery area, then see the *Oracle Automatic Storage Management Administrator's Guide*. The procedure for moving database files into and out of an ASM disk group with RMAN works when moving files into and out of a fast recovery area.

12.3.6 Disabling the Fast Recovery Area

The `ALTER SYSTEM` command can be used to disable the fast recovery area.

Before disabling the fast recovery area, you must first drop all guaranteed restore points and then turn off Flashback Database.

You can then set the `DB_RECOVERY_FILE_DEST` initialization parameter to a null string to disable the fast recovery area. For example, use the following SQL statement to change the parameter on a running database:

```
ALTER SYSTEM SET DB_RECOVERY_FILE_DEST='' SCOPE=BOTH SID='*';
```

The database no longer provides the space management features of the fast recovery area for the files stored in the old `DB_RECOVERY_FILE_DEST` location. The files are still known to the RMAN repository, however, and available for backup and restore activities.

12.3.7 Responding to an Instance Crash During File Creation

As a rule, the fast recovery area is self-maintaining. When an instance crashes during the creation of a file in the fast recovery area, however, the database may leave the file in the fast recovery area.

When this situation occurs, the alert log contains the following error, where *`location`* is the location of the fast recovery area:

```
ORA-19816: WARNING: Files may exist in location that are not known to database.
```

In such a situation, use the RMAN command `CATALOG RECOVERY AREA` to recatalog any such files. If the file header of the file in question is corrupted, then delete the file manually with an operating system utility.

12.4 Updating the RMAN Repository

Several situations can cause a discrepancy between the repository and the files that it records, including tape or disk failures and user-managed copies or deletions of RMAN-related files.

This section explains how to ensure that the RMAN repository accurately reflects the reality of the RMAN-related files stored on disk and tape.

12.4.1 Crosschecking the RMAN Repository

To ensure that data about backups in the recovery catalog or control file is synchronized with corresponding data on disk or in the media management catalog, perform a crosscheck. The `CROSSCHECK` command operates only on files that are currently recorded in the RMAN repository.

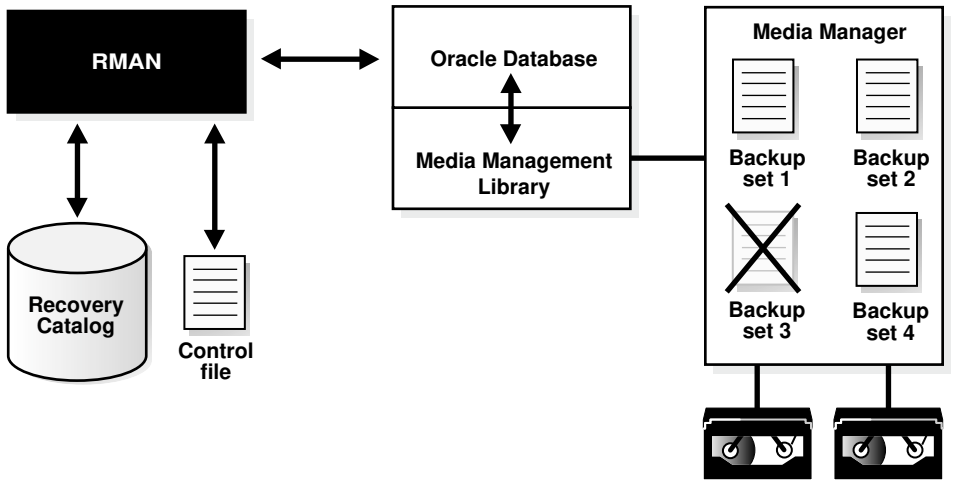
If you use a fast recovery area, backup retention policy, and archived redo log deletion policy, then you do not need to perform crosschecks very often. If you delete files by means other than RMAN, then you must perform a crosscheck periodically to ensure that the repository data stays current.

12.4.1.1 About RMAN Crosschecks

Crosschecks update outdated RMAN repository information about backups whose repository records do not match their physical status. For example, if a user removes archived logs from disk with an operating system command, the repository still indicates that the logs are on disk, when in fact they are not.

Figure 12-1 illustrates a crosscheck of a media manager. RMAN queries the RMAN repository for the names and locations of the four backup sets to be checked. RMAN sends this information to the target database server, which queries the media management software about the backups. The media management software then checks its media catalog and reports back to the server that backup set `3` is missing. RMAN updates the status of backup set `3` to `EXPIRED` in the repository. The record for backup set `3` is deleted after you run `DELETE EXPIRED`.

Figure 12-1 Crosschecking a Media Manager



Crosschecks are useful because they can do the following:

- Update outdated information about backups that disappeared from disk or tape or became corrupted
- Update the repository if you delete archived redo logs or other files with operating system commands

Use the crosscheck feature to check the status of a backup on disk or tape. If the backup is on disk, then `CROSSCHECK` checks whether the header of the file is valid. If a backup is on tape, then the command checks that the backups exist in the media management software catalog.

Backup pieces and image copies can have the status `AVAILABLE`, `EXPIRED`, or `UNAVAILABLE`. You can view the status of backups by running the RMAN `LIST` command or by querying `V$BACKUP_FILES` or recovery catalog views such as `RC_DATAFILE_COPY` or `RC_ARCHIVED_LOG`. A crosscheck updates the RMAN repository so that all of these techniques provide accurate information. RMAN updates each backup in the RMAN repository to status `EXPIRED` if the backup is no longer available. If a new crosscheck determines that an expired backup is available again, then RMAN updates its status to `AVAILABLE`.

> **Note:**
>
> The `CROSSCHECK` command does *not* delete operating system files or remove repository records. You must use the `DELETE` command for these operations.

You can issue the `DELETE EXPIRED` command to delete all expired backups. RMAN removes the record for the expired file from the repository. If for some reason the file still exists on the media, then RMAN issues warnings and lists the mismatched objects that cannot be deleted.

12.4.1.2 Crosschecking All Backups and Copies

After connecting to the target database and recovery catalog (if you use one), run `CROSSCHECK` commands as needed to verify the status and availability of backups known to RMAN.

You can configure or manually allocate multiple channels before issuing `CROSSCHECK` or `DELETE` commands. RMAN searches for each backup on all channels that have the same device type as the channel used to create the backup. The multichannel feature is primarily intended for use when crosschecking or deleting backups on both disk and tape within a single command. For example, assume that you have an SBT channel configured as follows:

```
CONFIGURE DEVICE TYPE sbt PARALLELISM 1;
CONFIGURE DEFAULT DEVICE TYPE sbt;
```

In this case you can run the following commands to crosscheck both disk and SBT:

```
CROSSCHECK BACKUP;
CROSSCHECK COPY;
```

RMAN uses both the SBT channel and the preconfigured disk channel to perform the crosscheck. Sample output follows:

```
allocated channel: ORA_SBT_TAPE_1
channel ORA_SBT_TAPE_1: sid=12 devtype=SBT_TAPE
channel ORA_SBT_TAPE_1: WARNING: Oracle Test Disk API
using channel ORA_DISK_1
crosschecked backup piece: found to be 'AVAILABLE'
backup piece handle=/oracle/dbs/16c5esv4_1_1 recid=36 stamp=408384484
crosschecked backup piece: found to be 'AVAILABLE'
backup piece handle=/oracle/dbs/c-674966176-20000915-01 recid=37
stamp=408384496
crosschecked backup piece: found to be 'AVAILABLE'
backup piece handle=12c5erb2_1_1 recid=32 stamp=408382820
     .
     .
     .
```

If you do not have an automatic SBT channel configured, then you can manually allocate maintenance channels on disk and tape.

```
ALLOCATE CHANNEL FOR MAINTENANCE DEVICE TYPE sbt;
CROSSCHECK BACKUP;
CROSSCHECK COPY;
```

You do not have to manually allocate a disk channel because RMAN uses the preconfigured disk channel.

12.4.1.3 Crosschecking Specific Backup Sets and Copies

You can use the `LIST` command to report your backups and then use the `CROSSCHECK` command to check that specific backups described in the `LIST` output still exist.

The `DELETE EXPIRED` command deletes repository records for backups that fail the crosscheck.

To crosscheck specified backups:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Run a `LIST` command to identify the backups to be checked.

   For example, run the following command:

   ```
   LIST BACKUP;  # lists all backup sets, proxy copies, and image copies
   ```

   Crosscheck the desired backups or copies.

   The following sample commands illustrate different types of crosschecks:

   ```
   CROSSCHECK BACKUP;  # checks backup sets, proxy copies, and image copies
   CROSSCHECK COPY OF DATABASE;
   CROSSCHECK BACKUPSET 1338, 1339, 1340;
   CROSSCHECK BACKUPPIECE TAG 'nightly_backup';
   CROSSCHECK BACKUP OF ARCHIVELOG ALL SPFILE;
   CROSSCHECK BACKUP OF DATAFILE "?/oradata/trgt/system01.dbf"
     COMPLETED AFTER 'SYSDATE-14';
   CROSSCHECK BACKUPSET DEVICE TYPE disk BACKED UP 3 TIMES TO sbt;
   CROSSCHECK BACKUP OF DATABASE BACKED UP 2 TIMES TO sbt;
   CROSSCHECK CONTROLFILECOPY '/tmp/control01.ctl';
   CROSSCHECK DATAFILECOPY 113, 114, 115;
   CROSSCHECK PROXY 789;
   ```

12.4.1.4 Crosschecking Preplugin Backups

Use the `CROSSCHECK` command to verify the status and availability of preplugin backups and preplugin archived redo log files known to RMAN.

Ensure that the preplugin backups and preplugin archived redo log files created on the source CDB are accessible to the target database.

To crosscheck preplugin backups:

1. Start RMAN and connect to the root of the target database as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Connect to a recovery catalog, if used.
2. Ensure that the target CDB is open in read-write mode.

   The following command displays the open mode of the database:

   ```
   SELECT OPEN_MODE FROM V$DATABASE;
   ```

3. Set the preplugin container to the PDB whose preplugin backups must be crosschecked.

The following example sets the preplugin container to the PDB `my_pdb`:

```
SET PREPLUGIN CONTAINER=my_pdb;
```

4. Run the `CROSSCHECK` command to verify the status and availability of preplugin backups.

   The following command crosschecks the backups for PDB `my_pdb`.

   ```
   CROSSCHECK PREPLUGIN BACKUP OF PLUGGABLE DATABASE my_pdb;
   ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

12.4.2 Changing the Repository Status of Backups and Copies

RMAN provides multiple methods of changing the repository status of backups and copies.

Perform any of the following tasks to change the repository status of backups and copies:

- Making backups available or unavailable

  You can change the status of a backup if it becomes temporarily available or unavailable. For example, if a mounted disk undergoes maintenance, then you can update the records for backups on the disk to status `UNAVAILABLE`.

- Including or exempting backups from the retention policy

  Archival backups can be created by using the `KEEP` clause to exempt backups from the configured retention policy. You can also change the status of an archival backup and subsequently include it in the configured retention policy.

Related Topics

- Updating a Backup to Status AVAILABLE or UNAVAILABLE
  Run the `CHANGE...UNAVAILABLE` command when a backup cannot be found or has migrated offsite.
- Changing the Status of an Archival Backup
  You can designate backups as exempt from the retention policy. This technique is useful for archiving backups to comply with business requirements.

12.4.2.1 Updating a Backup to Status AVAILABLE or UNAVAILABLE

Run the `CHANGE...UNAVAILABLE` command when a backup cannot be found or has migrated offsite.

RMAN does not use files with status `UNAVAILABLE` in `RESTORE` or `RECOVER` commands. If the file is later found or returns to the main site, then you can update its status again by issuing `CHANGE...AVAILABLE` . The files in the fast recovery area cannot be marked as `UNAVAILABLE`.

To update the status of a file in the repository to UNAVAILABLE or AVAILABLE:

1. Issue a `LIST` command to determine the availability status of RMAN backups. For example, issue the following command to list all backups:

   ```
   LIST BACKUP;
   ```

2. Run `CHANGE` with the `UNAVAILABLE` or `AVAILABLE` keyword to update its status in the RMAN repository.

   The following examples illustrate forms of the `CHANGE` command:

   ```
   CHANGE DATAFILECOPY '/tmp/control01.ctl' UNAVAILABLE;
   CHANGE COPY OF ARCHIVELOG SEQUENCE BETWEEN 1000 AND 1012 UNAVAILABLE;
   CHANGE BACKUPSET 12 UNAVAILABLE;
   CHANGE BACKUP OF SPFILE TAG "TAG20120208T154556" UNAVAILABLE;
   CHANGE DATAFILECOPY '/tmp/system01.dbf' AVAILABLE;
   CHANGE BACKUPSET 12 AVAILABLE;
   CHANGE BACKUP OF SPFILE TAG "TAG20120208T154556" AVAILABLE;
   ```

12.4.2.2 Changing the Status of an Archival Backup

You can designate backups as exempt from the retention policy. This technique is useful for archiving backups to comply with business requirements.

An archival backup is still a fully valid backup, however, and can be restored just as any other RMAN backup.

> **Note:**
>
> The `KEEP FOREVER` clause requires the use of a recovery catalog, because the control file cannot contain an infinitely large set of RMAN repository data.

You can use the `CHANGE` command to alter the `KEEP` status of an existing backup. For example, you may decide that you no longer want to keep a long-term backup. The same options available for `BACKUP...KEEP` are available with `CHANGE...KEEP` .

You cannot set `KEEP` attributes for backup sets or files stored in the fast recovery area.

To alter the KEEP status of an archival backup:

1. Issue a `LIST` command to list the backups. For example, issue the following command to list all backups:

   ```
   LIST BACKUP;
   ```

2. Issue a `CHANGE...KEEP` command to define a different retention period for this backup, or a `CHANGE...NOKEEP` command to let the retention policy apply to this file.

   This example allows a backup set to be subject to the backup retention policy:

   ```
   CHANGE BACKUPSET 231 NOKEEP;
   ```

This example makes a data file copy exempt from the retention policy for 180 days:

```
CHANGE DATAFILECOPY '/tmp/system01.dbf' KEEP UNTIL TIME
'SYSDATE+180';
```

Related Topics

- Making Database Backups for Long-Term Storage
  This section explains the basic concepts and tasks involved in making backups for long-term storage.

12.4.2.3 Changing the Status of Backups for Dropped PDBs

Use the `CHANGE` command with the `GUID` option to change the status of backups that correspond to pluggable databases (PDBs) that have been dropped from a multitenant container database (CDB).

After you drop a PDB, you cannot use the PDB name to change the status of backups associated with the dropped PDB. Instead, use the GUID to identify the dropped PDB.

1. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Query the `DBA_PDB_HISTORY` view to determine the GUID of the PDB that was dropped.

   The following example displays the PDBs that were deleted from the CDB `test_db`:

   ```
   SELECT pdb_name, pdb_guid FROM dba_pdb_history
   WHERE db_name = 'test_db';
   ```

3. Use the `CHANGE` command with the `GUID` clause to modify the status of a backup corresponding to a dropped PDB.

   The following commands remove RMAN repository records of backup pieces and image copies associated with a dropped PDB that is identified using its GUID.

   ```
   CHANGE BACKUPPIECE GUID 'DFCE8C3A437F214EB4230070EC0D294E'
   UNCATALOG;
   CHANGE COPY GUID 'DFCE8C3A437F214EB4230070EC0D294E' UNCATALOG;
   ```

12.4.2.4 Changing the Status of Preplugin Backups

Use the `CHANGE` command to modify the repository status of preplugin backups and preplugin archived redo log files.

Ensure that the preplugin backups and archived redo log files created on the source CDB are accessible to the target database.

To change the status of preplugin backups:

1. Start RMAN and connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Connect to a recovery catalog, if used.
2. Ensure that the target CDB is open in read-write mode.

The following command displays the open mode of the database:

```
SELECT OPEN_MODE FROM V$DATABASE;
```

3. Set the current container to the PDB whose preplugin backups must be crosschecked.

   The following example sets the preplugin container to the PDB `my_pdb`:

   ```
   SET PREPLUGIN CONTAINER=my_pdb;
   ```

4. Run the `CHANGE` command to verify the status and availability of preplugin archived redo log files.

   The following command makes all preplugin archived redo log files available.

   ```
   CHANGE PREPLUGIN BACKUP OF ARCHIVELOG ALL AVAILABLE;
   ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

12.4.3 Adding Backup Records to the RMAN Repository

You can use the `CATALOG` command to make RMAN aware of the existence of archived logs not recorded in the repository or copies of database files that are created through means other than RMAN.

12.4.3.1 About Cataloging Operations

The target database control file keeps records of all archived redo logs generated by the target database and all RMAN backups. The purpose of the `CATALOG` command is to add metadata to the repository when it does not have a record of files for RMAN to manage.

Run the RMAN `CATALOG` command when:

- You use an operating system utility to make copies of data files, archived logs, or backup pieces. In this case, the repository has no record of them.
- You perform recovery with a backup control file and you change the archiving destination or format during recovery. In this situation, the repository does not have information about archived logs needed for recovery, and you must catalog these logs.
- You want to catalog data file copy as a level 0 backup, thus enabling you to perform an incremental backup later by using the data file copy as the base of an incremental backup strategy.
- You want to catalog user-managed copies of Oracle7 database files created before you migrated to a higher release, or of Oracle8 and higher database files created before you started to use RMAN. These data file copies enable you to recover the database if it fails after migration but before you have a chance to take a backup of the migrated database.

Whenever you make a user-managed copy, for example, by using the UNIX `cp` command to copy a data file, be sure to catalog it. When making user-managed copies, you can use the `ALTER TABLESPACE...BEGIN/END BACKUP` statement to make data file copies off an online

tablespace. Although RMAN does not create such data file copies, you can use the CATALOG command to add them to the recovery catalog so that RMAN is aware of them.

For a user-managed copy to be cataloged, it must be:

- Accessible on disk
- A complete image copy of a single file
- Either a data file copy, control file copy, archived redo log copy, or backup piece copy

For example, if you store data files on mirrored disk drives, then you can create a user-managed copy by breaking the mirror. In this scenario, use the CATALOG command to notify RMAN of the existence of the user-managed copy after breaking the mirror. Before reforming the mirror, run a CHANGE...UNCATALOG command to notify RMAN that the file copy no longer exists.

12.4.3.2 Cataloging User-Managed Data File Copies

Use the CATALOG command to propagate information about user-managed copies to the RMAN repository. After the files are cataloged, you can run the LIST command or query V$BACKUP_FILES view to confirm the information is contained in the RMAN repository.

To create and catalog a user-managed copy of a data file:

1. Make a data file copy with an operating system utility. ALTER TABLESPACE BEGIN/END BACKUP is necessary if the database is open and the data files are online while the backup is in progress. This example backs up an online data file, using the SQL*Plus HOST command to issue an operating system command.

   ```
   SQL> ALTER TABLESPACE users BEGIN BACKUP;
   SQL> HOST CP $ORACLE_HOME/oradata/trgt/users01.dbf /tmp/users01.dbf;
   SQL> ALTER TABLESPACE users END BACKUP;
   ```

2. Start RMAN and connect to a target database and recovery catalog (if used).
3. Run the CATALOG command.

   For example, enter the following command to catalog a user-managed data file copy:

   ```
   CATALOG DATAFILECOPY '/tmp/users01.dbf';
   ```

   If you try to catalog a data file copy from a database other than the connected target database, then RMAN issues an error such as the following:

   ```
   RMAN-00571:
   ===========================================================
   RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS
   ===============
   RMAN-00571:
   ===========================================================
   RMAN-03009: failure of catalog command on default channel at
   08/29/2013 14:44:34
   ```

```
ORA-19563: datafile copy header validation failed for file /tmp/
tools01.dbf
```

12.4.3.3 Cataloging Backup Pieces

You can catalog backup pieces on disk. This technique is useful if you use an operating system utility to copy backup pieces from one location to another on the same host, or from one host to another.

You can even catalog a backup piece from a prior incarnation of the database. RMAN can determine whether that backup piece can be used during a subsequent restore and recovery operation.

To catalog a backup piece:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Catalog the file names of the backup pieces.

   For example, enter the following command:

   ```
   CATALOG BACKUPPIECE '/disk2/09dtq55d_1_2', '/disk2/0bdtqdou_1_1';
   ```

3. Optionally, run a `LIST` command or query `V$` views to verify your changes.

   Views include `V$BACKUP_PIECE`, `V$BACKUP_SET`, `V$BACKUP_DATAFILE`, `V$BACKUP_REDOLOG`, and `V$BACKUP_SPFILE`. The following query shows the names of backup pieces:

   ```
   SELECT HANDLE
   FROM   V$BACKUP_PIECE;
   ```

12.4.3.4 Cataloging All Files in a Disk Location

If you use Automatic Storage Management (ASM), an Oracle Managed Files framework, or the fast recovery area, then you may want to recatalog files that are known to the disk management system but are no longer listed in the RMAN repository. This situation can occur when the intended mechanism for tracking file names fails due to media failure, software bug, or user error.

The `CATALOG START WITH` command enables you to search through all files in an ASM disk group, Oracle Managed Files location, or traditional file system directory and investigate those that are not recorded in the RMAN repository. If the command can catalog a file, then it does so. If it cannot catalog the file, then it makes its best guess about the contents of the skipped file.

The `CATALOG RECOVERY AREA` command enables you to catalog all files in the recovery area. Typically, you do not need to run this command manually because RMAN automatically runs it as needed, for example, when you restore or create a control file. You can run this command when files are copied into the fast recovery area by operating system utilities.

To catalog all files in a disk location:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Run the `CATALOG` command, specifying the disk location whose files you want to catalog.

For example, enter the following commands:

```
CATALOG START WITH '+disk'; # catalog all files from an ASM disk
group
CATALOG START WITH '/fs1/datafiles/'; # catalog all files in
directory
```

> **Note:**
>
> Wildcard characters are not legal in the `START WITH` clause.

You can use the `CATALOG RECOVERY AREA` command to catalog all files in the recovery area. During this operation, any files in the recovery area not listed in the RMAN repository are added. For example:

```
CATALOG RECOVERY AREA;
```

3. Run a `LIST` command to verify that the files were cataloged.

12.4.3.5 Cataloging Preplugin Archived Redo Logs

Use the `CATALOG` command to add preplugin archived redo log files to the RMAN repository.

Ensure that the preplugin archived redo log files created on the source CDB are accessible to the target database.

To catalog preplugin backups of archived redo log files:

1. Start RMAN and connect to the root of the target database as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Connect to a recovery catalog, if used.
2. Ensure that the target CDB is open in read-write mode.

   The following command displays the open mode of the database:

   ```
   SELECT OPEN_MODE FROM V$DATABASE;
   ```

3. Set the current container to the PDB whose preplugin backups must be crosschecked.

   The following example sets the preplugin container to the PDB `my_pdb`:

   ```
   SET PREPLUGIN CONTAINER=my_pdb;
   ```

4. Run the `CATALOG` command to catalog preplugin archived redo log files in the RMAN repository.

   The following command catalogs the specified preplugin archived redo log.

   ```
   CATALOG PREPLUGIN ARCHIVELOG '/disk1/backups/DB18c/backupset/
   2017_10_09/o1_mf_annnn_MYPDB_MIGR_dxq2r45h_.bkp';
   ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

12.4.4 Removing Records from the RMAN Repository

You can remove records for files from the RMAN repository.

12.4.4.1 About Uncataloging Operations in the RMAN Repository

Run the `CHANGE...UNCATALOG` command to perform the following actions on RMAN repository records:

- Update a backup record in the control file repository to status `DELETED`
- Delete a specific backup record from the recovery catalog (if you use one)

RMAN does not change the specified physical files: it only alters the repository records for these files.

You can use this command when you have deleted a backup through a means other than RMAN. For example, if you delete archived redo logs with an operating system utility, then remove the record for this log from the repository by issuing a `CHANGE ARCHIVELOG ... UNCATALOG` command.

12.4.4.2 Removing Records for Files Deleted with Operating System Utilities

You can use the `CHANGE ... UNCATALOG` command to update the RMAN repository for the absent files.

In some circumstances, users may have removed backups or archived redo logs with operating system utilities. Unless you run `CROSSCHECK`, RMAN does not know about the deletion. In such cases, you can use the `CHANGE..UNCATALOG` command.

To remove the catalog record for a backup or archived redo log:

1. Run a `CHANGE ... UNCATALOG` command for the backups that you deleted from the operating system with operating system commands. This example deletes repository references to disk copies of the control file and data file `1`:

   ```
   CHANGE CONTROLFILECOPY '/tmp/control01.ctl' UNCATALOG;
   CHANGE DATAFILECOPY '/tmp/system01.dbf' UNCATALOG;
   CHANGE BACKUPSET '/disk1/oradata/backups/db1_full.bkp' UNCATALOG;
   ```

2. Optionally, view the relevant recovery catalog view, for example, `RC_DATAFILE_COPY` or `RC_CONTROLFILE_COPY`, to confirm that a given record was removed. This query confirms that the record of copy `4833` was removed:

   ```
   SELECT CDF_KEY, STATUS
   FROM RC_DATAFILE_COPY
   WHERE CDF_KEY = 4833;

   CDF_KEY    STATUS
   ```

```
---------- ------
0 rows selected.
```

12.5 Deleting RMAN Backups and Archived Redo Logs

You can use the RMAN `DELETE` command to delete archived redo logs and RMAN backups.

For backups on disk, deleting backups physically removes the backup file from disk. For backups on SBT devices, the RMAN `DELETE` command instructs the media manager to delete the backup pieces or proxy copies on tape. In either case, RMAN updates the RMAN repository to reflect the deletion.

> **Note:**
>
> In a CDB, you can delete archived logs only when you connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege. Archived redo logs cannot be deleted when connected to a PDB.

12.5.1 Overview of Deleting RMAN Backups

Every RMAN backup produces a corresponding record in the RMAN repository. This record is stored in the control file. If a recovery catalog is used, then the record can also be found in the recovery catalog after the recovery catalog is resynchronized from the control file.

For example, if you generate a full database backup set, then you can view the record for this backup set in `V$BACKUP_SET`. If you use a recovery catalog, then you can also access the record in the `RC_BACKUP_SET` catalog view.

The `V$` control file views and recovery catalog views differ in the way that they store information, and this affects how RMAN handles repository records. The recovery catalog RMAN repository is stored in actual database tables, while the control file version of the repository is stored in an internal structure in the control file.

When you use an RMAN command to delete a backup or archived redo log file, RMAN does the following:

- Removes the physical file from the operating system (if the file is still present)
- Updates the file records in the control file to status `DELETED`
- Removes the file records from the recovery catalog tables (if RMAN is connected to a recovery catalog)

Because of the way that control file data is stored, RMAN cannot remove the record from the control file, only update it to `DELETED` status. Because the recovery catalog tables are ordinary database tables, however, RMAN deletes rows from them in the same way that rows are deleted from any table.

12.5.1.1 About RMAN Deletion Commands

You can delete backups and recovery catalog records for backups.

The following table describes the RMAN commands that can delete backups.

Table 12-1 RMAN Deletion Commands

Command	Purpose
`DELETE`	To delete backups, update the control file records to status `DELETED`, and remove their records from the recovery catalog (if a recovery catalog is used). You can specify that `DELETE` removes backups that are `EXPIRED` or `OBSOLETE`. If you run `DELETE EXPIRED` on a backup that exists, then RMAN issues a warning and does not delete the backup. If you use the `DELETE` command with the optional `FORCE` keyword, then RMAN deletes the specified backups, but ignores any I/O errors, including those that occur when a backup is missing from disk or tape. It then updates the RMAN repository to reflect the fact that the backup is deleted, regardless of whether RMAN was able to delete the file or whether the file was missing. RMAN uses all configured channels to perform the deletion. If you use `DELETE` for files on devices that are not configured for automatic channels, then you must first use `ALLOCATE CHANNEL FOR MAINTENANCE` command. For example, if you made a backup with the SBT channel, but only a disk channel is configured, then you must manually allocate an SBT channel for `DELETE`. An automatic or manually allocated maintenance channel is required when you use `DELETE` command on a disk-only file.
`BACKUP...DELETE [ALL] INPUT`	To back up archived logs, data file copies, or backup sets, then delete the input files from the operating system after the successful completion of the backup. RMAN also deletes and updates repository records for the deleted input files. If you specify `DELETE INPUT` (without `ALL`), then RMAN deletes only the specific files that it backs up. If you specify `ALL INPUT`, then RMAN deletes all copies of the files recorded in the RMAN repository.
`CHANGE...UNCATALOG`	To delete recovery catalog records for specified backups and change their control file records to status `DELETED`. The `CHANGE...UNCATALOG` command only changes the RMAN repository record of backups, and does not actually delete backups.

The RMAN repository record for an object can sometimes fail to reflect the physical status of the object. For example, you back up an archived redo log to disk and then use an operating system utility to delete it. If you run `DELETE` without first running `CROSSCHECK`, then the repository erroneously lists the log as `AVAILABLE`.

If you run RMAN interactively, then RMAN asks for confirmation before deleting any files. You can suppress these confirmations by using the `NOPROMPT` keyword with any form of the `BACKUP` command:

```
DELETE NOPROMPT ARCHIVELOG ALL;
```

Related Topics

- *Oracle Database Backup and Recovery Reference*

12.5.1.2 About Deletion of Archived Redo Logs

The recommended maintenance strategy is to configure a fast recovery area, a backup retention policy, and an archived redo log deletion policy.

By default, the archived redo logs deletion policy is configured to `NONE`. In this case, the fast recovery area considers the logs eligible for deletion if they have been backed up at least once to disk or tape or the logs are obsolete according to the backup retention policy.

Archived redo logs can be deleted automatically by the database or by any of the user-initiated RMAN commands listed in "*RMAN Deletion Commands*". For logs in the recovery area, the database retains them as long as possible and automatically deletes eligible logs when disk space is required. You can delete eligible logs from any location, inside or outside the recovery area, with `BACKUP ... DELETE INPUT` or `DELETE ARCHIVELOG`. Both of these commands obey the archive redo log deletion policy when the policy is any setting other than `NONE`. You can override the archived redo log deletion policy settings by using the `FORCE` option in the `DELETE` command.

Related Topics

- Basic Concepts of Backup and Repository Maintenance
  RMAN provides multiple commands to maintain RMAN backups and repository records.
- Configuring an Archived Redo Log Deletion Policy
  You can use RMAN to create a persistent configuration that governs when archived redo logs are eligible for deletion from disk.

12.5.2 Deleting All Backups and Copies

Use the RMAN `DELETE` command to delete backups and image copies.

In some circumstances, you may need to delete all backup sets, proxy copies, and image copies associated with a database. For example, you no longer need a database and want to remove all related files from the system. An image copy is a file generated with `BACKUP AS COPY` command, a log archived by the database, or a file cataloged with the `CATALOG` command.

To delete all backups and copies:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. If necessary, allocate maintenance channels for the devices containing the backups to be deleted.

As explained in Table 12-1, RMAN uses all configured channels to perform the deletion. If channels are configured, then you do not need to manually allocate maintenance channels.

3. Crosscheck the backups and copies to ensure that the logical records are synchronized with the physical media.

```
CROSSCHECK BACKUP;
CROSSCHECK COPY;
```

4. Delete the backups and copies.

   For example, enter the following commands and then enter `YES` when prompted:

```
DELETE BACKUP;
DELETE COPY;
```

   If disk and tape channels are configured, then RMAN uses both the configured SBT channel and the preconfigured disk channel when deleting. RMAN prompts you for confirmation before deleting any files.

12.5.3 Deleting Specified Backups and Copies

You can use both the `DELETE` and `BACKUP ... DELETE` commands to delete specific backups and copies.

The `BACKUP ... DELETE` command backs up the files first, typically to tape, and then deletes the input files afterward.

The `DELETE` command supports a wide range of options to identify objects to delete. When deleting archived redo logs, RMAN uses the configured settings to determine whether a log can be deleted.

To delete specified backups and copies:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. If necessary, allocate maintenance channels for the devices containing the backups to be deleted.

   RMAN uses all configured channels to perform the deletion. If channels are configured, then you do not need to manually allocate maintenance channels.

3. Delete the specified backups and copies.

   The following examples show many of the common ways to specify backups and archived logs to delete with the `DELETE` command:

   - Deleting backups using primary keys from `LIST` output:

```
DELETE BACKUPPIECE 101;
```

   - Deleting backups by file name on disk:

```
DELETE CONTROLFILECOPY '/tmp/control01.ctl';
```

- Deleting archived redo logs:

```
DELETE NOPROMPT ARCHIVELOG UNTIL SEQUENCE 300;
```

- Deleting backups based on tags:

```
DELETE BACKUP TAG 'before_upgrade';
```

- Deleting backups based on the objects backed up and the media or disk location where the backup is stored:

```
DELETE BACKUP OF TABLESPACE users DEVICE TYPE sbt; # delete only
from tape
DELETE COPY OF CONTROLFILE LIKE '/tmp/%';
```

- Deleting archived redo logs from disk based on whether they are backed up on tape:

```
DELETE ARCHIVELOG ALL
   BACKED UP 3 TIMES TO sbt;
```

- Deleting backup sets that were backed up twice to tape:

```
DELETE BACKUPSET DEVICE TYPE disk BACKED UP 2 TIMES TO sbt;
```

- Deleting backups of the target database that were backed up once to tape:

```
DELETE BACKUP OF DATABASE DEVICE TYPE disk BACKED UP 1 TIMES TO
sbt;
```

Related Topics

- Configuring an Archived Redo Log Deletion Policy
  You can use RMAN to create a persistent configuration that governs when archived redo logs are eligible for deletion from disk.

12.5.3.1 Deleting Specified Files with BACKUP ... DELETE

You can use `BACKUP ... DELETE` to back up archived redo logs, data file copies, or backup sets and then delete the input files after successfully backing them up.

Specifying the `DELETE INPUT` option is equivalent to issuing the `DELETE` command for the input files. RMAN uses the configured settings to determine whether an archived redo log can be deleted.The `ALL` option in the `DELETE ALL INPUT` clause applies only to archived redo logs. If you run `BACKUP ... DELETE ALL INPUT`, then the command deletes all copies of corresponding archived redo logs or data file copies that match the selection criteria in the `BACKUP` command.

Related Topics

- Configuring an Archived Redo Log Deletion Policy
  You can use RMAN to create a persistent configuration that governs when archived redo logs are eligible for deletion from disk.

12.5.4 Deleting Expired RMAN Backups and Copies

If you run `CROSSCHECK`, and if RMAN cannot locate the files, then it updates their records in the RMAN repository to `EXPIRED` status. You can then use the `DELETE EXPIRED` command to remove records of expired backups and copies from the RMAN repository.

The `DELETE EXPIRED` command issues warnings if any files marked as `EXPIRED` actually exist. In rare cases, the repository can mark a file as `EXPIRED` even though it exists. For example, a directory containing a file is corrupted at the time of the crosscheck, but is later repaired, or the media manager was not configured properly and reported some backups as not existing when they really existed.

To delete expired repository records:

1. If you have not performed a crosscheck recently, then issue a `CROSSCHECK` command. For example, issue:

   ```
   CROSSCHECK BACKUP;
   ```

2. Delete the expired backups. For example, issue:

   ```
   DELETE EXPIRED BACKUP;
   ```

12.5.5 Deleting Obsolete RMAN Backups Based on Retention Policies

The RMAN `DELETE` command supports an `OBSOLETE` option, which deletes backups that are no longer needed to satisfy specified recoverability requirements.

You can delete files that are obsolete according to the configured default retention policy, or another retention policy that you specify as an option to the `DELETE OBSOLETE` command. As with other forms of the `DELETE` command, the files deleted are removed from backup media, deleted from the recovery catalog, and marked as `DELETED` in the control file.

If you specify the `DELETE OBSOLETE` command with no arguments, then RMAN deletes all obsolete backups defined by the configured retention policy. For example:

```
DELETE OBSOLETE;
```

12.5.5.1 DELETE OBSOLETE Behavior When KEEP UNTIL TIME Expires

If the `KEEP UNTIL TIME` period has not expired for an archival backup, RMAN does not consider the backup as obsolete. As soon as the `KEEP UNTIL` period expires, however, the backup is immediately considered to be obsolete, regardless of any configured backup retention policy. Thus, `DELETE OBSOLETE` deletes any backup created with `BACKUP ... KEEP UNTIL TIME` if the `KEEP` time has expired.

Related Topics

- *Oracle Database Backup and Recovery Reference*

12.5.6 Deleting Backups of Dropped PDBs

Use the `DELETE` command with the `GUID` option to delete backups of pluggable databases (PDBs) that have been dropped from a multitenant container database (CDB).

The `DELETE BACKUP ... OF PLUGGABLE DATABASE` command deletes backups of the specified PDB. However, after a PDB is dropped, you cannot use this command because the a PDB with the specified name no longer exists. In such cases, use the `DELETE BACKUP … GUID` command to delete backups of dropped PDBs. Each PDB has a globally unique identifier (GUID) which can be used to uniquely identify a PDB. The GUID of dropped PDBs is available in the `DBA_PDB_HISTORY` view.

To delete backups of a dropped PDB:

1. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Query the `DBA_PDB_HISTORY` view to determine the GUID of the PDB that was dropped.

   The following example displays the PDBs that were deleted from the CDB `prod_db`:

   ```
   SELECT pdb_name, pdb_guid FROM dba_pdb_history
   WHERE db_name = 'prod_db';
   ```

3. Use the `DELETE` command with the `GUID` option to delete backups or copies associated with the dropped PDB.

   The following commands delete backup sets and image copies of a dropped PDB with the specified GUID:

   ```
   DELETE BACKUP GUID '100E64EC12445321C0352900AF0FAC93';
   DELETE COPY GUID '100E64EC12445321C0352900AF0FAC93';
   ```

12.5.7 Deleting Preplugin Backups

Use the `DELETE` command to delete preplugin backups and prelplugin archived redo log files.

Ensure that the preplugin backups created on the source database are accessible to the target CDB.

To delete preplugin backups:

1. Start RMAN and connect to the root of the target CDB as a common user with the `SYSDBA` or `SYSBACKUP` privilege. Connect to a recovery catalog, if used.
2. Ensure that the target CDB is open in read-write mode.

   The following command displays the open mode of the database:

   ```
   SELECT OPEN_MODE FROM V$DATABASE;
   ```

3. Set the current container to the PDB whose preplugin backups must be crosschecked.

The following example sets the preplugin container to the PDB `my_pdb`:

```
SET PREPLUGIN CONTAINER=my_pdb;
```

4. Run the `DELETE` command to verify the status and availability of preplugin backups.

   The following command deletes preplugin backups of the PDB `my_pdb`.

   ```
   DELETE PREPLUGIN BACKUP OF PLUGGABLE DATABASE my_pdb;
   ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

12.6 Dropping a Database

To remove a database from the operating system, you can use the RMAN `DROP DATABASE` command. RMAN removes the server parameter file, all data files, online redo logs, and control files belonging to the target database.

`DROP DATABASE` requires that RMAN be connected to the target database, and that the target database be mounted. The command does not require connection to the recovery catalog. If RMAN is connected to the recovery catalog, and if you specify the option `INCLUDE COPIES AND BACKUPS`, then RMAN also unregisters the database.

To delete a database:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Catalog all backups that are associated with the database. For example, the following commands catalog files in the fast recovery area, and then in a secondary archiving destination:

   ```
   CATALOG START WITH '+disk1';    # all files from recovery area on ASM disk
   CATALOG START WITH '/arch_dest2';  # all files from second archiving
   location
   ```

3. Delete all backups and copies associated with the database. For example:

   ```
   DELETE BACKUPSET; # deletes all backups
   DELETE COPY; # deletes all image copies (including archived logs)
   ```

4. Remove the database from the operating system.

   The following command deletes the database and automatically unregisters it from the recovery catalog (if used). RMAN prompts for confirmation.

   ```
   DROP DATABASE;
   ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

- Dropping a Database with SQL*Plus

13
Managing a Recovery Catalog

Managing the RMAN recovery catalog includes tasks such as creating the catalog, registering databases with the catalog, and creating virtual private catalog.

This chapter explains how to manage an RMAN recovery catalog. You can also manage the RMAN repository as stored in the control file, without a recovery catalog. This technique is described in "*Maintaining RMAN Backups and Repository Records*".

Related Topics

- Maintaining RMAN Backups and Repository Records
  Use RMAN commands to manage the RMAN repository records, backups, and copies.

13.1 Overview of the RMAN Recovery Catalog

This section explains the basic concepts related to managing a recovery catalog.

13.1.1 Purpose of the RMAN Recovery Catalog

A recovery catalog is a database schema used by RMAN to store metadata about one or more Oracle databases. Typically, you store the catalog in a dedicated database.

A recovery catalog provides the following benefits:

- A recovery catalog creates redundancy for the RMAN repository stored in the control file of each target database. The recovery catalog serves as a secondary metadata repository. If the target control file and all backups are lost, then the RMAN metadata still exists in the recovery catalog.
- A recovery catalog centralizes metadata for all your target databases. Storing the metadata in a single place makes reporting and administration tasks easier to perform.
- A recovery catalog can store metadata history much longer than the control file. This capability is useful if you must do a recovery that goes further back in time than the history in the control file. The added complexity of managing a recovery catalog database can be offset by the convenience of having the extended backup history available.

Some RMAN features function only when you use a recovery catalog. For example, you can store RMAN scripts in a recovery catalog. The chief advantage of a stored script is that it is available to any RMAN client that can connect to the target database and recovery catalog. Command files are only available if the RMAN client has access to the file system on which they are stored.

A recovery catalog is required when you use RMAN in a Data Guard environment. By storing backup metadata for all primary and standby databases, the catalog enables you to offload backup tasks to one standby database while enabling you to restore backups on other databases in the environment.

Starting with Oracle Database 23c, RMAN automatically disconnects from the recovery catalog database (if used) before performing a backup operation for a target database

instance. This feature provides significant performance improvement on the recovery catalog database server by releasing catalog connections when not in use.

13.1.2 Basic Concepts for the RMAN Recovery Catalog

The recovery catalog contains metadata about RMAN operations for each registered target database. When RMAN is connected to a recovery catalog, RMAN obtains its metadata exclusively from the catalog.

The catalog includes the following types of metadata:

- Data file and archived redo log backup sets and backup pieces
- Data file copies
- Archived redo logs and their copies
- Database structure (tablespaces and data files)
- Stored scripts, which are named user-created sequences of RMAN commands
- Persistent RMAN configuration settings

13.1.2.1 About Database Registration in an RMAN Recovery Catalog

The process of enrolling of a database in a recovery catalog for RMAN use is called registration.

The recommended practice is to register every target database in your environment in a single recovery catalog. For example, you can register databases `prod1`, `prod2`, and `prod3` in a single catalog owned by `rco` in the database `catdb`.

Related Topics

- Registering a Database in the Recovery Catalog
  Registering a target database in the recovery catalog maintains the database's records in the recovery catalog.

13.1.2.2 About Centralization of Metadata in a Base RMAN Recovery Catalog

The owner of a centralized recovery catalog, which is also called the base recovery catalog, can grant or revoke restricted access to the catalog to other database users.

Each restricted user has full read/write access to their own metadata, which is called a virtual private catalog. The RMAN metadata is stored in the schema of the virtual private catalog owner. The owner of the base recovery catalog determines which objects each virtual private catalog user can access.

You can use a recovery catalog in an environment in which you use or have used different versions of Oracle Database. As a result, your environment can have different versions of the RMAN client, recovery catalog database, recovery catalog schema, and target database. You can merge multiple recovery catalog schemas into one.

Related Topics

- Importing and Moving a Recovery Catalog
  You can use the `IMPORT CATALOG` command in RMAN to merge one recovery catalog schema into another.

- Creating and Managing Virtual Private Catalogs
  RMAN provides multiple commands to create and manage virtual private catalogs.

13.1.2.3 About RMAN Recovery Catalog Resynchronization

For RMAN operations such as backup, restore, and crosscheck, RMAN always first updates the control file and then propagates the metadata to the recovery catalog.

This flow of metadata from the mounted control file to the recovery catalog, which is known as recovery catalog resynchronization, ensures that the metadata that RMAN obtains from the control file is current.

Related Topics

- Resynchronizing the Recovery Catalog
  To perform resynchronization, RMAN reads the control file of a target database and then updates the recovery catalog with the metadata that is missing or changed.

13.1.2.4 About Stored Scripts

You can use a stored script as an alternative to a command file for managing frequently used sequences of RMAN commands. The script is stored in the recovery catalog rather than on the file system.

A local stored script is associated with the target database to which RMAN is connected when the script is created, and can only be executed when you are connected to this target database. A global stored script can be run against any database registered in the recovery catalog. A virtual private catalog user has read-only access to global scripts. Creating or updating global scripts must be done while connected to the base recovery catalog.

Related Topics

- Managing Stored Scripts
  You can create and store scripts in the recovery catalog.

13.1.2.5 Recovery Catalog in a Data Guard Environment

You must use a recovery catalog to manage RMAN metadata for all physical databases, both primary and standby databases, in the Data Guard environment. RMAN uses the recovery catalog as the single source of truth for the Data Guard environment.

RMAN can use the recovery catalog to update a primary or standby control file in a reverse resynchronization. In this case, the metadata flows from the catalog to the control file rather than the other way around. RMAN automatically performs resynchronizations in most situations in which they are needed. Thus, you do not need to use the `RESYNC` command to manually resynchronize very often.

Related Topics

- *Oracle Data Guard Concepts and Administration*

13.1.3 Basic Steps of Managing a Recovery Catalog

Managing a recovery catalog consists of creating the catalog and then registering your target databases with the catalog.

The basic steps for setting up a recovery catalog for use by RMAN are as follows:

1. Create the recovery catalog.

   "Creating a Recovery Catalog" explains how to perform this task.

2. Register your target databases in the recovery catalog.

   This step enables RMAN to store metadata for the target databases in the recovery catalog. "Registering a Database in the Recovery Catalog" explains this task.

3. If needed, catalog any older backups whose records are no longer stored in the target control file.

   "Cataloging Backups in the Recovery Catalog" explains how to perform this task.

4. If needed, create virtual private catalogs for specific users and determine the metadata to which they are permitted access.

   "Creating and Managing Virtual Private Catalogs" explains how to perform this task.

5. Protect the recovery catalog by including it in your backup and recovery strategy.

   "Protecting the Recovery Catalog" explains how to back up and recover the catalog, and increase its availability.

Related Topics

- Managing Stored Scripts
  You can create and store scripts in the recovery catalog.
- Reporting on RMAN Operations
  Run reports on RMAN operations to determine files that need back up, monitor space usage, and query backup metadata.
- Maintaining a Recovery Catalog
  Maintaining the recovery catalog consists of tasks such as resynchronizing, updating, and upgrading the recovery catalog.
- Dropping a Recovery Catalog
  The `DROP CATALOG` command removes those objects that were created by the `CREATE CATALOG` command. If the user who owns the recovery catalog also owns objects that were *not* created by `CREATE CATALOG`, then the `DROP CATALOG` command does not remove these objects.

13.2 Creating a Recovery Catalog

Creating a recovery catalog consists of multiple phases that includes configuring the recovery catalog database, creating the recovery catalog schema owner, and then creating the catalog.

To create a recovery catalog:

1. Configure the database that contains the recovery catalog.
2. Create the database user that owns the recovery catalog.
3. Create the recovery catalog.

Related Topics

- Configuring the Recovery Catalog Database
  When you use a recovery catalog, RMAN requires that you maintain a recovery catalog schema. The recovery catalog is stored in the default tablespace of the

schema. Privileged users such as `SYS` cannot be the owner of the recovery catalog.

- Creating the Recovery Catalog Schema Owner
  After choosing the recovery catalog database and creating the necessary space, you are ready to create the owner of the recovery catalog and grant this user necessary privileges.
- Running the CREATE CATALOG Command
  After creating the catalog owner, create the catalog tables with the RMAN `CREATE CATALOG` command. The command creates the catalog in the default tablespace of the catalog owner.

13.2.1 Configuring the Recovery Catalog Database

When you use a recovery catalog, RMAN requires that you maintain a recovery catalog schema. The recovery catalog is stored in the default tablespace of the schema. Privileged users such as `SYS` cannot be the owner of the recovery catalog.

Decide which database you will use to install the recovery catalog schema, and also how you will back up this database. Also, decide whether to operate the catalog database in `ARCHIVELOG` mode, which is recommended.

> **Note:**
>
> Do not use the target database to be backed up as the database for the recovery catalog. The recovery catalog must be protected if the target database is lost.

13.2.1.1 Planning the Size of the Recovery Catalog Schema

You must allocate space to be used by the catalog schema. The size of the recovery catalog schema depends upon the number of databases monitored by the catalog.

The schema also grows as the number of archived redo log files and backups for each database increases. Finally, if you use RMAN stored scripts stored in the catalog, some space must be allocated for those scripts.

For example, assume that the `trgt` database has 100 files, and that you back up the database once a day, producing 50 backup sets containing 1 backup piece each. If you assume that each row in the backup piece table uses the maximum amount of space, then one daily backup consumes less than 170 kilobytes in the recovery catalog. So, if you back up once a day for a year, then the total storage in this period is about 62 megabytes. Assume approximately the same amount for archived logs. Thus, the worst case is about 120 megabytes for a year for metadata storage. For a more typical case in which only a portion of the backup piece row space is used, 15 MB for each year is realistic.

If you plan to register multiple databases in your recovery catalog, then remember to add up the space required for each one based on the previous calculation to arrive at a total size for the default tablespace of the recovery catalog schema.

13.2.1.2 Allocating Disk Space for the Recovery Catalog Database

If you are creating your recovery catalog in an existing database, then add enough room to hold the default tablespace for the recovery catalog schema.

If you are creating a new database to hold your recovery catalog, then in addition to the space for the recovery catalog schema itself, allow space for other files in the recovery catalog database:

- `SYSTEM` and `SYSAUX` tablespaces
- Temporary tablespaces
- Undo tablespaces
- Online redo log files

Most of the space used in the recovery catalog database is devoted to supporting tablespaces, for example, the `SYSTEM`, temporary, and undo tablespaces. Table 13-1 describes typical space requirements.

Table 13-1 Typical Recovery Catalog Space Requirements for 1 Year

Type of Space	Space Requirement
`SYSTEM` tablespace	90 MB
Temp tablespace	5 MB
Rollback or undo tablespace	5 MB
Recovery catalog tablespace	15 MB for each database registered in the recovery catalog
Online redo logs	1 MB each (three groups, each with two members)

> **Caution:**
>
> Ensure that the recovery catalog and target databases do *not* reside on the same disk. If both your recovery catalog and your target database suffer hard disk failure, then your recovery process is much more difficult. If possible, take other measures as well to eliminate common points of failure between your recovery catalog database and the databases that you are backing up.

13.2.2 Creating the Recovery Catalog Schema Owner

After choosing the recovery catalog database and creating the necessary space, you are ready to create the owner of the recovery catalog and grant this user necessary privileges.

Assume the following background information for the instructions in the following sections:

- A tablespace called `TOOLS` in the recovery catalog database `CATDB` stores the recovery catalog. If you use an RMAN reserved word as a tablespace name, you must enclose it in quotes and put it in uppercase.
- A tablespace called `TEMP` exists in the recovery catalog database.

To create the recovery catalog schema in the recovery catalog database:

1. Start SQL*Plus and connect to the recovery catalog database as the `SYS` user with `SYSDBA` privilege. In this example, the database is `catdb`.

2. Run the `dbmsrmansys.sql` script to grant additional privileges that are required for the `RECOVERY_CATALOG_OWNER` role.

```
SQL> @$ORACLE_HOME/rdbms/admin/dbmsrmansys.sql
```

3. Create a user and schema for the recovery catalog. For example, you could enter the following SQL statement (replacing *password* with a user-defined password):

```
CREATE USER rco IDENTIFIED BY password
  TEMPORARY TABLESPACE temp
  DEFAULT TABLESPACE tools
  QUOTA UNLIMITED ON tools;
```

> **Note:**
>
> Create a password that is secure.

4. Grant the `RECOVERY_CATALOG_OWNER` role to the schema owner. This role provides the user with all privileges required to maintain and query the recovery catalog.

```
GRANT RECOVERY_CATALOG_OWNER TO rco;
```

5. (Optional) Enable the VPD model for the recovery catalog by running the `dbmsrmanvpc.sql` script with the `-vpd` option.

   The following command enables the VPD model for the recovery catalog owned by the user `rco`:

```
SQL> @/$ORACLE_HOME/rdbms/admin/dbmsrmanvpc.sql -vpd rco;
```

   To ensure that the recovery catalog schema owner can control virtual private catalogs using the VPD model, specify the `ORACLE_HOME` path that corresponds to the exact database version used by the recovery catalog schema. For example, specify the 19c `ORACLE_HOME` path if the recovery catalog is connected to Oracle Database 19*c*.

Related Topics

- *Oracle Database Security Guide*

13.2.3 Running the CREATE CATALOG Command

After creating the catalog owner, create the catalog tables with the RMAN `CREATE CATALOG` command. The command creates the catalog in the default tablespace of the catalog owner.

> **Note:**
>
> Starting with Oracle Database 12*c* Release 1 (12.1.0.2), the recovery catalog database must use the Enterprise Edition of Oracle Database.

To create the recovery catalog:

1. Enable Oracle Partitioning for the recovery catalog database.
2. Start RMAN and connect to the database that will contain the catalog. Connect to the database as the recovery catalog owner.
3. Run the `CREATE CATALOG` command to create the catalog. The creation of the catalog can take several minutes. If the catalog tablespace is this user's default tablespace, then you can run the following command:

   ```
   RMAN> CREATE CATALOG;
   ```

   You can specify the tablespace name for the catalog in the `CREATE CATALOG` command. For example:

   ```
   RMAN> CREATE CATALOG TABLESPACE cat_tbs;
   ```

   > **Note:**
   >
   > If the tablespace name for the recovery catalog is an RMAN reserved word, then it *must* be uppercase and enclosed in quotes. For example:
   >
   > ```
   > CREATE CATALOG TABLESPACE 'CATALOG';
   > ```

4. You can check the results by using SQL*Plus to query the recovery catalog to see which tables were created:

   ```
   SQL> SELECT TABLE_NAME FROM USER_TABLES;
   ```

Related Topics

- *Oracle Database Backup and Recovery Reference*

13.3 Registering a Database in the Recovery Catalog

Registering a target database in the recovery catalog maintains the database's records in the recovery catalog.

13.3.1 About Registration of a Database in the Recovery Catalog

The process of enrolling of a target database in a recovery catalog is called registration.

If a target database is not registered in the recovery catalog, then RMAN cannot use the catalog to store metadata for operations on this database. You can still perform RMAN operations on an unregistered database: RMAN always stores its metadata in the control file of the target database.

If you are *not* using the recovery catalog in a Data Guard environment, then use the `REGISTER` command to register each database. Each database must have a unique DBID. If you use the RMAN `DUPLICATE` command or the `CREATE DATABASE` statement in SQL, then the database is assigned a unique DBID automatically. If you create a

database by other means, then the copied database may have the same DBID as its source database. You can change the DBID with the `DBNEWID` utility so that you can register the source and copy databases in the same catalog.

You can use the `UNREGISTER` command to unregister a database from the recovery catalog.

13.3.1.1 About Standby Database Registration

In a Data Guard environment, the primary and standby databases share the same DBID and database name. To be eligible for registration in the recovery catalog, each database in the Data Guard environment must have different `DB_UNIQUE_NAME` values.

The `DB_UNIQUE_NAME` parameter for a database is set in its initialization parameter file.

If you use RMAN in a Data Guard environment, then you can use the `REGISTER DATABASE` command to explicitly register a primary database or a physical standby database to the recovery catalog.

If you run the `REGISTER DATABASE` command when connected to a primary database as `TARGET`, then RMAN registers the primary database and also performs a full resynchronization using the primary database control file.

Starting with Oracle Database 23c, if RMAN is connected to a physical standby database as `TARGET`, then you can use the `REGISTER DATABASE` command to explicitly register the physical standby database in the recovery catalog. RMAN registers the standby database and also performs a full resynchronization using the standby control file. Therefore, you can avoid RMAN connections to the primary database to perform a full resynchronization.

In this example, RMAN is connected to the physical standby database `dgprod2` as `TARGET`. RMAN is also connected to the recovery catalog `catdb` as user `rco` (recovery catalog owner). The `REGISTER DATABASE` command registers the standby database `dgprod2` in the recovery catalog and also performs a full resynchronization.

```
RMAN> CONNECT TARGET sbu@dgprod2
RMAN> CONNECT CATALOG rco@catdb
Recovery Manager: Release 23.1.0.0.0 - Development on Tue Jan 21 02:34:29
2023

RMAN-06568: connected to target database: DGPROD2 (DBID=2095739936)
RMAN-06008: connected to recovery catalog database

RMAN> REGISTER DATABASE;

RMAN-08006: database registered in recovery catalog
RMAN-08002: starting full resync of recovery catalog
RMAN-08004: full resync complete

Recovery Manager complete.
```

Related Topics

- About RMAN Recovery Catalog Resynchronization
  For RMAN operations such as backup, restore, and crosscheck, RMAN always first updates the control file and then propagates the metadata to the recovery catalog.

- *Oracle Data Guard Concepts and Administration*
- *Oracle Database Utilities*

13.3.2 Registering a Database with the REGISTER DATABASE Command

The first step in using a recovery catalog with a target database is registering the target database in the recovery catalog.

If you use RMAN in a Data Guard environment, then you can use the `REGISTER DATABASE` command to register a primary database or a physical standby database in the recovery catalog. RMAN registers the database in the recovery catalog and also performs a full resynchronization using the control file of the database to which RMAN is connected as `TARGET`.

For example, if you run the `REGISTER DATABASE` command when RMAN connected is to the physical standby database as `TARGET`, then RMAN registers the physical standby database and also performs a full resynchronization using the standby control file.

> **Note:**
>
> See, About RMAN Recovery Catalog Resynchronization to learn about the limitations for RMAN to perform a full resynchronization using the standby control file.

When you run the `REGISTER DATABASE` command,

Use the following procedure:

1. Start RMAN and connect to a target database and recovery catalog. The recovery catalog database must be open.

   For example, issue the following command to connect to the catalog database with the net service name `catdb` as user `rco` (who owns the catalog schema):

   ```
   % rman TARGET / CATALOG rco@catdb;
   ```

2. If the target database is not mounted, then mount or open it:

   ```
   STARTUP MOUNT;
   ```

3. Register the target database in the connected recovery catalog:

   ```
   REGISTER DATABASE;
   ```

   RMAN creates rows in the catalog tables to contain information about the target database, then copies all pertinent data about the target database from the control file into the catalog, synchronizing the catalog with the control file.

4. Verify that the registration was successful by running `REPORT SCHEMA`:

```
REPORT SCHEMA;

Report of database schema for database with db_unique_name TRGT

List of Permanent Datafiles===========================
File Size(MB)   Tablespace       RB segs Datafile Name
---- ---------- ---------------- ------- ------------------
1        307200 SYSTEM             NO    /oracle/oradata/trgt/system01.dbf
2         20480 UNDOTBS            YES   /oracle/oradata/trgt/
undotbs01.dbf
3         10240 CWMLITE            NO    /oracle/oradata/trgt/
cwmlite01.dbf
4         10240 DRSYS              NO    /oracle/oradata/trgt/drsys01.dbf
5         10240 EXAMPLE            NO    /oracle/oradata/trgt/
example01.dbf
6         10240 INDX               NO    /oracle/oradata/trgt/indx01.dbf
7         10240 TOOLS              NO    /oracle/oradata/trgt/tools01.dbf
8         10240 USERS              NO    /oracle/oradata/trgt/users01.dbf

List of Temporary Files
=======================
File Size(MB) Tablespace           Maxsize(MB) Tempfile Name
---- -------- -------------------- ----------- --------------------
1    200      TEMP                 32767       /oracle/oradata/trgt/
tbs_tmp.dbf
```

13.4 Cataloging Backups in the Recovery Catalog

If you have data file copies, backup pieces, or archived logs on disk, then you can catalog them in the recovery catalog with the `CATALOG` command.

When using a recovery catalog, cataloging older backups that have aged out of the control file lets RMAN use the older backups during restore operations.

- Use the `CATALOG` command with one of the following clauses to catalog backups: `DATAFILECOPY`, `ARCHIVELOG`, `BACKUPPIECE`, or `START WITH`.

Example 13-1 Cataloging Backups

This example shows multiple commands that catalog older backups by using different clauses in the `CATALOG` command.

```
CATALOG DATAFILECOPY '/disk1/old_datafiles/01_01_2003/users01.dbf';
CATALOG ARCHIVELOG '/disk1/arch_logs/archive1_731.dbf',
                   '/disk1/arch_logs/archive1_732.dbf';
CATALOG BACKUPPIECE '/disk1/backups/backup_820.bkp';
```

Example 13-2 Cataloging Multiple Backup Files in a Directory

This example catalogs multiple backup files in a directory by using the `CATALOG START WITH` command.

```
CATALOG START WITH '/disk1/backups/';
```

RMAN lists the files to be added to the RMAN repository and prompts for confirmation before adding the backups. Be careful when creating your prefix with `CATALOG START WITH`. RMAN scans all paths for all files on disk that begin with the specified prefix.

Note that the prefix is not just a directory name. Using the wrong prefix can cause the cataloging of the wrong set of files. For example, assume that a group of directories `/disk1/backups`, `/disk1/backups-year2003`, `/disk1/backupsets`, `/disk1/backupsets/test` and so on, all contain backup files. The following command catalogs all files in all of these directories, because `/disk1/backups` is a prefix for the paths for all of these directories:

```
CATALOG START WITH '/disk1/backups';
```

```
/disk1/backups
```

```
CATALOG START WITH '/disk1/backups/';
```

Related Topics

- *Oracle Database Backup and Recovery Reference*
- *Oracle Database Upgrade Guide*

13.5 Creating and Managing Virtual Private Catalogs

RMAN provides multiple commands to create and manage virtual private catalogs.

13.5.1 Overview of Virtual Private Catalogs

By default, all of the users of an RMAN recovery catalog have full privileges to read, select, insert, update, and delete any metadata in the catalog. For example, if the administrators of two unrelated databases share the same recovery catalog, each administrator could, whether inadvertently or maliciously, destroy catalog data for the other's database. In many enterprises, this situation is tolerated because the same people manage many different databases and also manage the recovery catalog. But in other enterprises where clear separation of duty exists between administrators of various databases, and between the DBA and the administrator of the recovery catalog, you may desire to restrict each database administrator to modify only backup metadata belonging to those databases that they are responsible for, while still keeping the benefits of a single, centrally-managed, RMAN recovery catalog. This goal can be achieved by implementing virtual private catalogs.

Every RMAN recovery catalog starting with Oracle Database 11*g* supports virtual private catalogs, but they are not used unless explicitly created. There is no restriction on the number of virtual private catalogs that can be created beneath one recovery

catalog. Each virtual private catalog is owned by a database schema user which is different than the user who owns the recovery catalog.

After you set up a virtual private catalog user, the administrator for the recovery catalog grants each virtual private catalog the privilege to use that catalog for one or more databases that are currently registered in the recovery catalog. The administrator of the recovery catalog can also grant the privilege to register new databases while using a virtual private catalog.

> **Note:**
>
> Every virtual private catalog has access to all global stored scripts, and those non-global stored scripts that belong to those databases for which this virtual private catalog has privileges. Virtual private catalogs cannot access non-global stored scripts that belong to databases that they do not have privileges for, and they cannot create global stored scripts.

13.5.2 About Using the VPD Model for Virtual Private Catalogs

RMAN uses the Virtual Private Database (VPD) functionality to implement virtual private catalogs.

The VPD functionality is not enabled by default when the RMAN base recovery catalog is created. You need to explicitly enable the VPD model for a base recovery catalog by running the `$ORACLE_HOME/rdbms/admin/dbmsrmanvpc.sql` script after upgrading the base catalog schema.

The format of the `dbmsrmanvpc.sql` script is as follows:

```
$ORACLE_HOME/rdbms/admin/dbmsrmanvpc.sql [[-vpd | -novpd | -scan ]
base_catalog_schema_name[...]] | -all
```

The RMAN base catalog schema names are provided as command-line parameters when running `dbmsrmanvpc.sql`. You can specify a maximum of ten base catalog schema names each time you run the script.

Table 13-2 describes the options that you can use when running the `dbmsrmanvpc.sql` script. You must use one of the command line options or provide a catalog schema name.

Table 13-2 dbmsrmanvpc.sql Options

dbmsrmanvpc.sql Option Name	Description
`-vpd`	Grants the privileges required to support the VPD protected catalog.
`-novpd`	Disables VPD functionality by cleaning up the base recovery catalog schema, revoking grants, and removing database objects. This option can only be used when there are no existing VPC users registered in the base recovery catalog.
`-scan`	Performs a scan of the RMAN base catalog owner schemas and reports on the roles granted and the status of VPC users.
`-all`	Automatically detects the RMAN base catalog schemas and upgrades.

Example 13-3 Enabling VPD Model for VPC User Schemas

Connect to SQL*Plus and use the following command to enable the VPD model for all the virtual private catalogs of the RMAN base catalog `rman_cat`.

```
SQL> @$ORACLE_HOME/rdbms/admin/dbmsrmanvpc.sql -vpd rman_cat
```

13.5.3 Creating Virtual Private Catalogs

Creating a virtual private catalog is a multi-step process in which you first create the database user who will own the virtual private catalog and then create the virtual private catalog.

> **Note:**
>
> If the recovery catalog is a virtual private catalog, then the RMAN client connecting to it must be at patch level 10.1.0.6 or 10.2.0.3. Oracle9*i* RMAN clients cannot connect to a virtual private catalog. This version restriction does not affect RMAN client connections to an Oracle Database 11*g* base recovery catalog, even if it has some virtual private catalog users.

Assume that the following databases are registered in the base recovery catalog: `prod1`, `prod2`, and `prod3`. The database user who owns the base recovery catalog is `rco`. You want to create database user `vpc1` and grant this user access privileges only to `prod1` and `prod2`. By default, a virtual private catalog owner has no access to the base recovery catalog.

The base RMAN recovery catalog must be created before you create virtual private catalogs.

To create a virtual private catalog:

1. Start SQL*Plus and connect to the recovery catalog database as the `SYS` user with `SYSDBA` privilege.
2. Run the `dbmsrmansys.sql` script to grant additional privileges that are required for the `RECOVERY_CATALOG_OWNER` role.

   ```
   SQL> @$ORACLE_HOME/rdbms/admin/dbmsrmansys.sql
   ```

3. Create the user who will own the virtual private catalog.

   For example, if you want database user `vpc1` to own the virtual private catalog, then execute the following command (replacing *password* with a user-defined password):

   ```
   SQL> CREATE USER vpc1 IDENTIFIED BY password
     2  DEFAULT TABLESPACE vpcusers
     3  QUOTA UNLIMITED ON vpcusers;
   ```

> **Note:**
>
> Create a password that is secure. See *Oracle Database Security Guide* for more information.

4. Grant the `CREATE SESSION` privilege to the user who owns the virtual private catalog and then exit SQL*Plus.

   The following example grants the privilege to user `vpc1`:

   ```
   SQL> GRANT CREATE SESSION TO vpc1;
   SQL> EXIT;
   ```

5. Start RMAN and connect to the recovery catalog database as the base recovery catalog owner (*not* the virtual private catalog owner).

   The following example connects to the base recovery catalog as `rco`:

   ```
   % rman
   RMAN> CONNECT CATALOG rco@catdb;

   recovery catalog database Password: password
   connected to recovery catalog database
   ```

6. Grant desired privileges to the virtual private catalog owner.

   The following example gives user `vpc1` access to the metadata for `prod1` and `prod2` (but not `prod3`):

   ```
   RMAN> GRANT CATALOG FOR DATABASE prod1 TO vpc1;
   RMAN> GRANT CATALOG FOR DATABASE prod2 TO vpc1;
   ```

   You can also use a DBID rather than a database name. The virtual private catalog user does not have access to the metadata for any other databases registered in the recovery catalog.

   The following example gives the user `vpc1` access to the metadata for the PDB `hr_pdb`:

   ```
   GRANT CATALOG FOR PLUGGABLE DATABASE hr_pdb TO vpc1;
   ```

   You can also grant the user the ability to register new target databases in the recovery catalog. For example:

   ```
   RMAN> GRANT REGISTER DATABASE TO vpc1;
   ```

Related Topics

- *Oracle Database Backup and Recovery Reference*
- *Oracle Database Security Guide*

13.5.4 Registering a Database with a Virtual Private Catalog

To store backup metadata for a target database in a virtual private catalog, you must register the database with the virtual private catalog.

Create the virtual private catalog before you register a target database with it.

To register database with a virtual private catalog and store backup metadata:

1. Start RMAN and connect to the recovery catalog database as the virtual private catalog owner (*not* the base recovery catalog owner). Connect to the database that you want to register as `TARGET`.

   ```
   %rman
   RMAN> CONNECT TARGET /
   RMAN> CONNECT CATALOG vpc1@catdb;
   ```

2. Register the database whose metadata must be stored in the virtual private catalog.

   The following example registers the database with the virtual private catalog owner `vpc1`.

   ```
   RMAN> REGISTER DATABASE;
   ```

3. Back up the database using the `BACKUP` command with the required clauses.

   Metadata related to the backup is stored in the virtual private catalog.

13.5.5 Revoking Privileges from a Virtual Private Catalog Owner

After you create a virtual private catalog, you can revoke catalog access privileges as necessary.

Assume that two databases are registered in the base recovery catalog: `prod1` and `prod2`. As owner of the base recovery catalog, you have granted the `vpc1` user access privileges to `prod1`. You have also granted this user the right to register databases in their virtual private catalog. Now you want to revoke privileges from `vpc1`.

To revoke privileges from a virtual private catalog owner:

1. Start RMAN and connect to the recovery catalog database as the recovery catalog owner (*not* the virtual private catalog owner).

   The following example connects to the recovery catalog as `rco`:

   ```
   % rman
   RMAN> CONNECT CATALOG rco@catdb;
   ```

2. Revoke specified privileges from the virtual private catalog owner.

   The following command revokes access to the metadata for `prod1` from virtual private catalog owner `vpc1`:

   ```
   REVOKE CATALOG FOR DATABASE prod1 FROM vpc1;
   ```

You can also specify a DBID rather than a database name. The catalog `vpc1` retains all other granted catalog privileges.

The following command revokes access to the metadata for the PDB `hr_pdb` from the virtual private catalog owner `vpc1`:

```
REVOKE CATALOG FOR PLUGGABLE DATABASE hr_pdb FROM vpc1;
```

You can also revoke the privilege to register new target databases in the recovery catalog. For example:

```
REVOKE REGISTER DATABASE FROM vpc1;
```

13.5.6 Upgrading Virtual Private Catalogs

This section describes how to use the `UPGRADE CATALOG` command to upgrade a virtual private catalog.

RMAN uses the Virtual Private Database (VPD) functionality to implement virtual private catalogs. If you created a recovery catalog and virtual private catalogs by using a version lower than Oracle Database 12*c* Release 1 (12.1.0.2) or if your database is not upgraded to Oracle Database 12*c* Release 2 (12.2) or higher, then you must upgrade these virtual private catalogs. RMAN provides scripts, located in the *$ORACLE_HOME*`/rdbms/admin` directory, to upgrade virtual private catalogs.

To upgrade virtual private catalogs:

1. Use SQL*Plus to connect to the recovery catalog database as the `SYS` user with `SYSDBA` privilege.
2. Run the `dbmsrmansys.sql` script to grant additional privileges that are required for the `RECOVERY_CATALOG_OWNER` role.

   ```
   SQL> @$ORACLE_HOME/rdbms/admin/dbmsrmansys.sql
   ```

3. Run the `dbmsmanvpc.sql` script to upgrade virtual private catalog schemas to the VPD model.

   The base recovery catalog schema name must be provided as an input parameter to this script. You can specify a maximum of 10 schema names. Alternately, you can use the `-all` option to automatically detect base catalog schemas and upgrade all associated virtual private catalog schemas.

   The following command upgrades the virtual private catalog schemas of the base recovery catalog owned by `rco`:

   ```
   SQL> @$ORACLE_HOME/rdbms/admin/dbmsrmanvpc.sql -vpd rco
   ```

4. Connect RMAN to the base recovery catalog, upgrade the base recovery catalog, and then exit RMAN.

Assume that the database user who owns the base recovery catalog is `rco`. The following command upgrades the base recovery catalog. The `UPGRADE CATALOG` command must be entered twice to confirm the upgrade.

```
$ rman CATALOG rco@catdb
recovery catalog database password:
RMAN> UPGRADE CATALOG;
RMAN> UPGRADE CATALOG;
RMAN> EXIT;
```

Related Topics

- About Using the VPD Model for Virtual Private Catalogs
  RMAN uses the Virtual Private Database (VPD) functionality to implement virtual private catalogs.

13.6 Protecting the Recovery Catalog

Include the recovery catalog database in your backup and recovery strategy. If you do not back up the recovery catalog and a disk failure occurs that destroys the recovery catalog database, then you may lose the metadata in the catalog. Without the recovery catalog contents, recovery of your other databases is likely to be more difficult.

13.6.1 Backing Up the Recovery Catalog

A single recovery catalog can store metadata for multiple target databases. Consequently, loss of the recovery catalog can be disastrous. You must back up the recovery catalog frequently.

This section provides general guidelines for developing a strategy for protecting the recovery catalog.

13.6.1.1 Backing Up the Recovery Catalog Frequently

The recovery catalog database is a database like any other, and is also a key part of your backup and recovery strategy. Protect the recovery catalog like any other part of your database, by backing it up. The backup strategy for your recovery catalog database is part of an overall backup and recovery strategy.

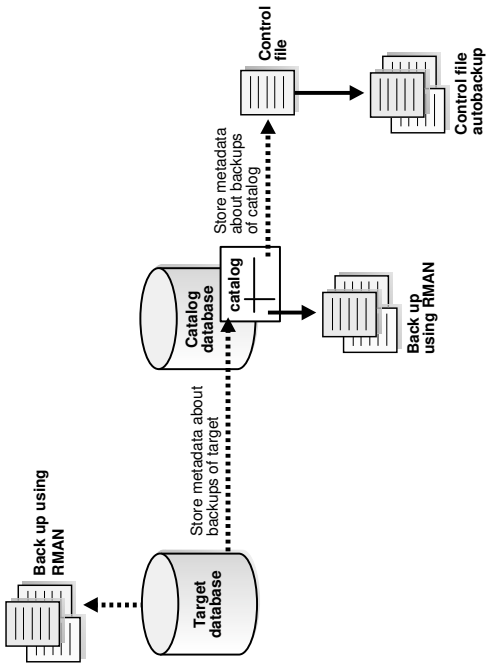
Back up the recovery catalog with the same frequency that you back up a target database. For example, if you make a weekly whole database backup of a target database, then back up the recovery catalog after the backup of the target database. This backup of the recovery catalog can help you in a disaster recovery scenario. Even if you must restore the recovery catalog database with a control file autobackup, you can use the full record of backups in your restored recovery catalog database to restore the target database.

13.6.1.2 Choosing the Appropriate Technique for Physical Backups

When backing up the recovery catalog database, you can use RMAN to make the backups.

As illustrated in Figure 13-1, start RMAN with the `NOCATALOG` option so that the repository for RMAN is the control file in the catalog database.

Figure 13-1 Using the Control File as the Repository for Backups of the Recovery Catalog



Follow these guidelines when developing an RMAN backup strategy for the recovery catalog database:

- Run the recovery catalog database in `ARCHIVELOG` mode so that you can do point-in-time recovery if needed.
- Set the retention policy to a `REDUNDANCY` value greater than `1`.
- Back up the database to two separate media (for example, disk and tape).
- Run `BACKUP DATABASE PLUS ARCHIVELOG` at regular intervals, to a media manager if available, or just to disk.
- Do not use another recovery catalog as the repository for the backups.
- Configure the control file autobackup feature to `ON`.

With this strategy, the control file autobackup feature ensures that the recovery catalog database can always be recovered, so long as the control file autobackup is available.

Related Topics

- Performing Disaster Recovery
  Disaster recovery includes the restoration and recovery of the target database after the loss of the entire target database, the recovery catalog database, all current control files, all online redo log files, and all parameter files.

13.6.1.3 Separating the Recovery Catalog from the Target Database

A recovery catalog is only effective when separated from the data that it is designed to protect. Thus, you must never store a recovery catalog containing the RMAN repository for a database in the same database as the target database. Also, do not store the catalog database on the same disks as the target database.

To illustrate why data separation is advised, assume that you store the catalog for database `prod1` in `prod1`. If `prod1` suffers a total media failure, and if the recovery catalog for `prod1` is also stored in `prod1`, then if you lose the database you also lose the recovery catalog. At this point the only option is to restore an autobackup of the control file for `prod1` and use it to restore and recover the database without the benefit of any information stored in the recovery catalog.

13.6.1.4 Exporting the Recovery Catalog Data for Logical Backups

Logical backups of the RMAN recovery catalog created with the Data Pump Export utility can be a useful supplement for physical backups.

For damage to a recovery catalog database, you can use Data Pump Import to quickly reimport the exported recovery catalog data into another database and rebuild the catalog.

13.6.2 Recovering the Recovery Catalog

Restoring and recovering the recovery catalog database is much like restoring and recovering any other database with RMAN.

You can restore the control file and server parameter file for the recovery catalog database from an autobackup, then restore and perform complete recovery on the rest of the database. If you are in a situation where you are using multiple recovery catalogs, then you can also use another recovery catalog to record metadata about backups of this recovery catalog database.

If recovery of the recovery catalog database through the normal Oracle recovery procedures is not possible, then you must re-create the catalog. Examples of this worst-case scenario include:

- A recovery catalog database that has never been backed up
- A recovery catalog database that has been backed up, but cannot be recovered because the data file backups or archived logs are not available

You have the following options for partially re-creating the contents of the missing recovery catalog:

- Use the `RESYNC CATALOG` command to update the recovery catalog with any RMAN repository information from the control file of the target database or a control file copy. Any metadata from control file records that aged out of the control file is lost.
- Issue `CATALOG START WITH` commands to recatalog any available backups.

To minimize the likelihood of this worst-case scenario, your backup strategy must at least include backing up the recovery catalog. This technique is described in "*Backing Up the Recovery Catalog*".

Related Topics

- Backing Up the Recovery Catalog
  A single recovery catalog can store metadata for multiple target databases. Consequently, loss of the recovery catalog can be disastrous. You must back up the recovery catalog frequently.
- *Oracle Database Backup and Recovery Reference*

13.7 Managing Stored Scripts

You can create and store scripts in the recovery catalog.

Related Topics

- About Stored Scripts
  You can use a stored script as an alternative to a command file for managing frequently used sequences of RMAN commands. The script is stored in the recovery catalog rather than on the file system.

13.7.1 About Stored Scripts

You can use a stored script as an alternative to a command file for managing frequently used sequences of RMAN commands. The script is stored in the recovery catalog rather than on the file system.

Stored scripts can be local or global. A local script is associated with the target database to which RMAN is connected when the script is created, and can only be executed when you are connected to that target database. A global stored script can be run against any database registered in the recovery catalog, if the RMAN client is connected to the recovery catalog and a target database.

The commands allowable within the brackets of the `CREATE SCRIPT` command are the same commands supported within a `RUN` block. Any command that is legal within a `RUN` command is permitted in the stored script. The following commands are not legal within stored scripts: `RUN`, `@`, and `@@`.

When specifying a script name, RMAN permits but generally does not require that you use quotes around the name of a stored script. If the name begins with a digit or is an RMAN reserved word, however, then you must put quotes around the name to use it as a stored script name. Consider avoiding stored script names that begin with nonalphabetic characters or that are the same as RMAN reserved words.

Consider using a naming convention to avoid confusion between global and local stored scripts. For the `EXECUTE SCRIPT`, `DELETE SCRIPT` and `PRINT SCRIPT` commands, if the script name passed as an argument is not the name of a script defined for the connected target instance, then RMAN looks for a global script by the same name. For example, if the global script `global_backup` is in the recovery catalog, but no local stored script `global_backup` is defined for the target database, then the following command deletes the global script:

```
DELETE SCRIPT global_backup;
```

To use commands related to stored scripts, even global scripts, you must be connected to both a recovery catalog and a target database instance.

13.7.2 Creating Stored Scripts

You can use the `CREATE SCRIPT` command to create a stored script.

If `GLOBAL` is specified, then a global script with this name must not exist in the recovery catalog. If `GLOBAL` is not specified, then a local script must not exist with the same name for the same target database. You can also use the `REPLACE SCRIPT` to create a new script or update an existing script.

To create a stored script:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Run the `CREATE SCRIPT` command.

   The following example illustrates creation of a local script:

   ```
   CREATE SCRIPT full_backup
   {
     BACKUP DATABASE PLUS ARCHIVELOG;
     DELETE OBSOLETE;
   }
   ```

   For a global script, the syntax is similar:

   ```
   CREATE GLOBAL SCRIPT global_full_backup
   {
     BACKUP DATABASE PLUS ARCHIVELOG;
     DELETE OBSOLETE;
   }
   ```

   Optionally, you can provide a `COMMENT` with descriptive information:

   ```
   CREATE GLOBAL SCRIPT global_full_backup
   COMMENT 'use only with ARCHIVELOG mode databases'
   {
     BACKUP DATABASE PLUS ARCHIVELOG;
     DELETE OBSOLETE;
   }
   ```

   You can also create a script by reading its contents from a text file. The file must begin with a left brace (`{`) character, contain a series of commands valid within a `RUN` block, and end with a right brace (`}`) character. Otherwise, a syntax error is signalled, just as if the commands were entered at the keyboard.

   ```
   CREATE SCRIPT full_backup
     FROM FILE '/tmp/my_script_file.txt';
   ```

3. Examine the output.

   If no errors are displayed, then RMAN successfully created the script and stored in the recovery catalog.

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggable database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

13.7.3 Replacing Stored Scripts

To update stored scripts, use the `REPLACE SCRIPT` command.

If you are replacing a local script, then you must be connected to the target database that you connected to when you created the script. If the script does not exist, then RMAN creates it.

To replace a stored script:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Execute `REPLACE SCRIPT`.

   This following example updates the script `full_backup` with new contents:

   ```
   REPLACE SCRIPT full_backup
   {
     BACKUP DATABASE PLUS ARCHIVELOG;
   }
   ```

   You can update global scripts by specifying the `GLOBAL` keyword as follows:

   ```
   REPLACE GLOBAL SCRIPT global_full_backup
   COMMENT 'A script for full backup to be used with any database'
   {
     BACKUP AS BACKUPSET DATABASE PLUS ARCHIVELOG;
   }
   ```

   As with `CREATE SCRIPT`, you can update a local or global stored script from a text file with the following form of the command:

   ```
   REPLACE GLOBAL SCRIPT global_full_backup
     FROM FILE '/tmp/my_script_file.txt';
   ```

Related Topics

- *Oracle Database Backup and Recovery Reference*

13.7.4 Running Stored Scripts

Use the `EXECUTE SCRIPT` command to run a stored script.

If `GLOBAL` is specified, then a global script with this name must exist in the recovery catalog; otherwise, RMAN returns error `RMAN-06004`. If `GLOBAL` is not specified, then RMAN searches for a local stored script defined for the current target database. If no local script with this name is found, then RMAN searches for a global script by the same name and executes it if one is found.

To run a stored script:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. If needed, use `SHOW` to examine your configured channels.

Your script uses the automatic channels configured at the time you execute the script. Use `ALLOCATE CHANNEL` commands in the script if you must override the configured channels. Because of the `RUN` block, if an RMAN command in the script fails, subsequent RMAN commands in the script do not execute.

3. Run `EXECUTE SCRIPT` . This command requires a `RUN` block, as shown in the following example:

```
RUN
{
  EXECUTE SCRIPT full_backup;
}
```

The preceding command invokes a local script if one exists with the name specified. If no local script is found, but there is a global script with the name specified, then RMAN executes the global script.

You can also use `EXECUTE GLOBAL SCRIPT` to control which script is invoked if a local and a global script have the same name. If there is no local script called `global_full_backup`, the following two commands have the same effect:

```
RUN
{
  EXECUTE GLOBAL SCRIPT global_full_backup;
}

RUN
{
  EXECUTE SCRIPT global_full_backup;
}
```

Related Topics

- *Oracle Database Backup and Recovery Reference*

13.7.5 Creating and Executing Dynamic Stored Scripts

You can specify substitution variables in the `CREATE SCRIPT` command.

When you start RMAN on the command line, the `USING` clause specifies one or more values for use in substitution variables in a command file. As in SQL*Plus, `&1` indicates where to place the first value, `&2` indicates where to place the second value, and so on.

To create and use a dynamic stored script:

1. Create a command file that contains a `CREATE SCRIPT` statement with substitution variables for values that must be dynamically updated.

   The following example uses substitution variables for the name of the tape set, for a string in the `FORMAT` specification, and for the name of the restore point.

```
CREATE SCRIPT quarterly {
  ALLOCATE CHANNEL c1
    DEVICE TYPE sbt
    PARMS 'ENV=(OB_MEDIA_FAMILY=&1)';
  BACKUP
```

```
    TAG &2
    FORMAT '/disk2/bck/&1%U.bck'
    KEEP FOREVER
    RESTORE POINT &3
    DATABASE;
}
```

2. Connect RMAN to a target database (which must be mounted or open) and recovery catalog, specifying the initial values for the recovery catalog script.

   For example, enter the following command:

   ```
   % rman TARGET / CATALOG rco@catdb USING arc_backup bck0906 FY06Q3
   ```

   A recovery catalog is required for `KEEP FOREVER`, but is not required for any other `KEEP` option.

3. Run the command file created in the first step to create the stored script.

   For example, run the `/tmp/catscript.rman` command file as follows:

   ```
   RMAN> @/tmp/catscript.rman
   ```

   This step creates but does not execute the stored script.

4. Every quarter, execute the stored script, passing values for the substitution variables.

   The following example executes the recovery catalog script named `quarterly`. The example specifies `arc_backup` as the name of the media family (set of tapes), `bck1206` as part of the `FORMAT` string and `FY06Q4` as the name of the restore point.

   ```
   RUN
   {
     EXECUTE SCRIPT quarterly
       USING arc_backup
             bck1206
             FY06Q4;
   }
   ```

Related Topics

- Making Database Backups for Long-Term Storage
  This section explains the basic concepts and tasks involved in making backups for long-term storage.

13.7.6 Printing Stored Scripts

The `PRINT SCRIPT` command displays a stored script or writes it out to a file.

To print stored scripts:

1. Start RMAN and connect to a target database and recovery catalog.
2. Run the `PRINT SCRIPT` command as follows:

   ```
   PRINT SCRIPT full_backup;
   ```

To send the contents of a script to a file, use this form of the command:

```
PRINT SCRIPT full_backup
  TO FILE '/tmp/my_script_file.txt';
```

For global scripts, the analogous syntax is as follows:

```
PRINT GLOBAL SCRIPT global_full_backup;
PRINT GLOBAL SCRIPT global_full_backup
  TO FILE '/tmp/my_script_file.txt';
```

Related Topics

- *Oracle Database Backup and Recovery Reference*

13.7.7 Listing Stored Script Names

Use the `LIST ... SCRIPT NAMES` command to display the names of scripts defined in the recovery catalog.

`LIST GLOBAL SCRIPT NAMES` and `LIST ALL SCRIPT NAMES` are the only commands that work when RMAN is connected to a recovery catalog without connecting to a target instance; the other forms of the `LIST ... SCRIPT NAMES` command require a recovery catalog connection.

To list stored script names:

1. Start RMAN and connect to a target database and recovery catalog.
2. Run the `LIST ... SCRIPT NAMES` command.

   For example, run the following command to list the names of all global and local scripts that can be executed for the currently connected target database:

   ```
   LIST SCRIPT NAMES;
   ```

   The following example lists *only* global script names:

   ```
   LIST GLOBAL SCRIPT NAMES;
   ```

   To list the names of all scripts stored in the current recovery catalog, including global scripts and local scripts for all target databases registered in the recovery catalog, use the following form of the command:

   ```
   LIST ALL SCRIPT NAMES;
   ```

   For each script listed, the output indicates which target database the script is defined for (or whether a script is global).

Related Topics

- *Oracle Database Backup and Recovery Reference*

13.7.8 Deleting Stored Scripts

Use the `DELETE GLOBAL SCRIPT` command to delete a stored script from the recovery catalog.

To delete a stored script:

1. Start RMAN and connect to a target database and recovery catalog.
2. Enter the `DELETE SCRIPT` command.

   If you use `DELETE SCRIPT` without `GLOBAL`, and there is no stored script for the target database with the specified name, then RMAN looks for a global stored script by the specified name and deletes the global script if it exists. For example, suppose you enter the following command:

   ```
   DELETE SCRIPT 'global_full_backup';
   ```

   In this case, RMAN looks for a script `global_full_backup` defined for the connected target database, and if it did not find one, it searches the global scripts for a script called `global_full_backup` and delete that script.

   To delete a global stored script, use `DELETE GLOBAL SCRIPT`:

   ```
   DELETE GLOBAL SCRIPT 'global_full_backup';
   ```

Related Topics

- *Oracle Database Backup and Recovery Reference*

13.7.9 Running a Stored Script at RMAN Startup

To run the RMAN client and start a stored script in the recovery catalog on startup, use the `SCRIPT` argument when starting the RMAN client.

For example, you could enter the following command to execute script `/tmp/fbkp.cmd`:

```
% rman TARGET / CATALOG rco@catdb SCRIPT '/tmp/fbkp.cmd';
```

You must connect to the recovery catalog, which contains the stored script, and the target database, to which the script applies, when starting the RMAN client.

If local and global stored scripts are defined with the same name, then RMAN always executes the local script.

13.8 Maintaining a Recovery Catalog

Maintaining the recovery catalog consists of tasks such as resynchronizing, updating, and upgrading the recovery catalog.

This section describes various management and maintenance tasks.

13.8.1 About Recovery Catalog Maintenance

After you have created a recovery catalog and registered your target databases, you must maintain this catalog.

For example, you must run the RMAN maintenance commands to update backup records and to delete backups that are no longer needed. You must perform this type of maintenance regardless of whether you use RMAN with a recovery catalog. Other types of maintenance, such as upgrading a recovery catalog schema, are specific to use of RMAN with a recovery catalog.

If you use a recovery catalog in a Data Guard environment, then special considerations apply for backups and database files recorded in the catalog. See "*About RMAN File Management in a Data Guard Environment*" for an explanation of when backups are accessible to RMAN and how RMAN maintenance commands work with accessible backups.

Related Topics

- Maintaining RMAN Backups and Repository Records
  Use RMAN commands to manage the RMAN repository records, backups, and copies.
- About RMAN File Management in a Data Guard Environment
  RMAN uses a recovery catalog to track file names for all database files in a Data Guard environment.

13.8.2 Resynchronizing the Recovery Catalog

To perform resynchronization, RMAN reads the control file of a target database and then updates the recovery catalog with the metadata that is missing or changed.

Most RMAN commands perform a resynchronization automatically when the target control file is mounted and the catalog is available. In a Data Guard environment, RMAN can perform a reverse resynchronization to update a database control file with metadata from the catalog.

13.8.2.1 About Resynchronization of the Recovery Catalog

Resynchronization of the recovery catalog ensures that the metadata that RMAN obtains from the control file stays current. Resynchronizations can be full or partial.

In a partial resynchronization, RMAN reads the control file of the target database to update changed metadata about new backups, new archived redo logs, and so on. RMAN does not resynchronize metadata about the database physical schema.

In a full resynchronization, RMAN updates all changed records, including those for the database schema. RMAN performs a full resynchronization after structural changes to database (adding or dropping database files, creating new incarnation, and so on) or after changes to the RMAN persistent configuration.

RMAN creates a snapshot control file, which is a temporary backup control file, when it performs a full resynchronization. The database ensures that only one RMAN session accesses a snapshot control file at any point in time. RMAN creates the snapshot control file in an operating system-specific location on the target database host. You can specify the name and location of the snapshot control file.

This snapshot control file ensures that RMAN has a consistent view of the control file. Because the control file is intended for short-term use, it is not registered in the catalog. RMAN records the control file checkpoint in the recovery catalog to indicate the currency of the catalog.

Resynchronization to the recovery catalog is done using an Oracle database transaction that updates the recovery catalog data with all the changed metadata from a database control file. Oracle Database 23c introduces multiple savepoints in the resynchronization process. As RMAN propagates the metadata from the control file to the recovery catalog, the predefined savepoints enables RMAN to capture the data in a consistent manner. In case of any unexpected failures during resynchronization, then RMAN rolls back the process to a specific savepoint within the transaction. For example, assume that a resync transaction runs for 20 minutes. If a failure occurs at 19 minutes, then RMAN rolls back the transaction to the most recent savepoint, and does not end the entire transaction.

Related Topics

- Configuring the Snapshot Control File Location
  When RMAN needs a read-consistent version of the control file, it creates a temporary snapshot control file. RMAN needs a snapshot control file when resynchronizing with the recovery catalog or when making a backup of the current control file.
- *Oracle Database Backup and Recovery Reference*

13.8.2.1.1 About RMAN Recovery Catalog Resynchronization in a Data Guard Environment

RMAN resynchronizes the recovery catalog using the control file of a database to which RMAN is connected as `TARGET`. RMAN does not resynchronize every database in a Data Guard environment when connected as `TARGET` to one database in the environment.

In previous releases, RMAN performed a partial resynchronization of the recovery catalog when connected to physical standby database as `TARGET`.

Starting with Oracle Database 23c, if RMAN is connected to a physical standby database as `TARGET`, then RMAN can perform a full resynchronization of the recovery catalog using the standby control file. The primary benefit of this feature is that, if RMAN is connected to a physical standby database, you can avoid connections to a primary database to perform a full resynchronization.

There are a few limitations for RMAN to perform a full resynchronization using the standby control file. RMAN will either fall back to resynchronize the catalog using the primary database control file, or issue an error message, if any of these conditions are true:

- In the `V$DATABASE` view, the `CONTROLFILE_CONVERTED` column is set to the value `YES`
- Dictionary check is set for one or more pluggable database (PDB) in the standby control file
  To clear the dictionary check for a PDB, you can open the PDB in read-only mode at a physical standby database

If RMAN cannot perform a full resynchronization using the standby control file, then the process automatically falls back to the primary database. For RMAN to automatically connect remotely to the primary database, you must have previously run the `CONFIGURE` command to specify the connect identifiers for the primary database and the standby database. If you have not set the connect identifiers in RMAN configuration, then resynchronization fails because RMAN cannot establish a network connectivity to the primary database. In this case, RMAN issues an error message.

You can use the `RESYNC CATALOG FROM DB_UNIQUE_NAME` command to manually resynchronize the recovery catalog with a database in the Data Guard environment.

For an example of a manual resynchronization, assume that RMAN is connected as `TARGET` to production database `prod`, and that you have used `CONFIGURE` to create a configuration for `dgprod3`. If you run `RESYNC CATALOG FROM DB_UNIQUE_NAME dgprod3`, then RMAN resynchronizes the recovery catalog with the `dgprod3` control file. In this case RMAN performs both a normal resynchronization, in which metadata flows from the `dgprod3` control file to the catalog, and a reverse resynchronization. In a reverse resynchronization, RMAN uses the persistent configurations in the recovery catalog to update the `dgprod3` control file.

Starting from Oracle Database 23c, if your RMAN configuration does not include the connect identifiers for a primary and standby database, then you can use the `SET` command as a quick alternative to provide the connect identifiers only for the duration of an RMAN session. Use the `SET` command along with the `DB_UNIQUE_NAME` and the connect identifier to connect with the *db_unique_name* database only for the duration of a RMAN session.

For example, this statement defines the connect identifiers for a primary database `prod` and a standby database `dgprod3` in your Data Guard environment with the `CONNECT IDENTIFIER` clause of the `SET` command.

```
SET DB_UNIQUE_NAME prod CONNECT IDENTIFIER 'inst1';
SET DB_UNIQUE_NAME dgprod3 CONNECT IDENTIFIER 'inst2'
```

This example shows that the RMAN configuration does not include the connect identifiers required to establish a connectivity with the primary database, and therefore RMAN issues an error message when you run the `RESYNC CATALOG` command.

```
RMAN> RESYNC CATALOG;
RESYNC CATALOG;
RMAN-08246: starting resync from primary
RMAN-06615: resyncing from database with DB_UNIQUE_NAME dgvw2
RMAN-01112: RMAN Command Id : 2023-04-27T09:06:58
RMAN-01112: RMAN Command Id : 2023-04-27T09:06:58
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
RMAN-03009: failure of resync command on default channel at 04/27/2023 09:07:13
RMAN-06613: Connect identifier for DB_UNIQUE_NAME dgvw24 not configured
```

This example shows that you can use the `SET` command in the same RMAN session to specify the connect identifiers for the primary database and standby database. When you run the `RESYNC CATALOG` command in the same session, RMAN uses the connect identifier to establish a network connection and performs a full resynchronization.

```
RMAN> SET DB_UNIQUE_NAME dgvw2  CONNECT IDENTIFIER 'inst4';
SET DB_UNIQUE_NAME dgvw2  CONNECT IDENTIFIER 'inst4';
RESYNC CATALOG;
RMAN-03023: executing command: SET CONFIGURE DBUNAME
RMAN-08246: starting resync from primary
RMAN-06615: resyncing from database with DB_UNIQUE_NAME dgvw2
RMAN-08244: resync from primary complete
RMAN-08243: starting resync of recovery catalog
RMAN-08245: resync complete
```

Related Topics

- *Oracle Data Guard Concepts and Administration*

13.8.2.2 Deciding When to Resynchronize the Recovery Catalog

RMAN automatically resynchronizes the recovery catalog when RMAN is connected to a target database and recovery catalog and you have executed RMAN commands.

Thus, you do not need to manually run the `RESYNC CATALOG` command very often. The topics in this section describe situations requiring a manual catalog resynchronization.

13.8.2.2.1 Resynchronizing After the Recovery Catalog is Unavailable

If the recovery catalog is unavailable when you issue RMAN commands that cause a partial resynchronization, then open the catalog database later and resynchronize it manually with the `RESYNC CATALOG` command.

For example, the target database may be in New York while the recovery catalog database is in Japan. You may not want to make daily backups of the target database in `CATALOG` mode, to avoid depending on the availability of a geographically distant database. In such a case you could connect to the catalog as often as feasible and run the `RESYNC CATALOG` command.

13.8.2.2.2 Resynchronizing in ARCHIVELOG Mode When You Back Up Infrequently

Assume that a target database runs in `ARCHIVELOG` mode. Also assume that you do the following:

- Back up the database infrequently (for example, hundreds of redo logs are archived between database backups)
- Generate a high number of log switches every day (for example, 1000 switches between catalog resynchronizations)

In this case, you may want to manually resynchronize the recovery catalog regularly because the recovery catalog is *not* updated automatically when a redo log switch occurs or when a redo log is archived. The database stores metadata about redo log switches and archived redo logs only in the control file. You must periodically resynchronize to propagate this information into the recovery catalog.

How frequently you must resynchronize the recovery catalog depends on the rate at which the database archives redo logs. The cost of the operation is proportional to the number of records in the control file that have been inserted or changed since the previous resynchronization. If no records have been inserted or changed, then the cost of resynchronization is very low; if many records have been inserted or changed, then the resynchronization is more time-consuming.

13.8.2.2.3 Resynchronizing After Configuring a Standby Database

You can create or change an RMAN configuration for a standby database even when not connected to this database as `TARGET`.

You perform this task with the `CONFIGURE DB_UNIQUE_NAME` or `CONFIGURE ... FOR DB_UNIQUE_NAME` command. You can resynchronize the standby database manually to update the control file of the standby database.

Related Topics

- Manually Resynchronizing the Recovery Catalog
  Use `RESYNC CATALOG` to force a full resynchronization of the recovery catalog with a target database control file.

13.8.2.2.4 Resynchronizing the Recovery Catalog Before Control File Records Age Out

Your goal is to ensure that the metadata in the recovery catalog is current. Because the recovery catalog obtains its metadata from the target control file, the currency of the data in the catalog depends on the currency of the data in the control file. You must make sure that the backup metadata in the control file is recorded in the catalog before it is overwritten with new records.

The `CONTROL_FILE_RECORD_KEEP_TIME` initialization parameter determines the minimum number of days that records are retained in the control file before they are candidates for being overwritten. Thus, you must ensure that you resynchronize the recovery catalog with the control file records before these records are erased.

Perform either of the following actions at intervals less than the `CONTROL_FILE_RECORD_KEEP_TIME` setting:

- Make a backup, thereby performing an implicit resynchronization of the recovery catalog
- Manually resynchronize the recovery catalog with the `RESYNC CATALOG` command

Make sure that `CONTROL_FILE_RECORD_KEEP_TIME` is longer than the interval between backups or resynchronizations. Otherwise, control file records could be reused before they are propagated to the recovery catalog. An extra week is a safe margin in most circumstances.

> **Caution:**
>
> Never set `CONTROL_FILE_RECORD_KEEP_TIME` to `0`. If you do, then backup records may be overwritten in the control file before RMAN can add them to the catalog.

One problem can arise if the control file becomes too large. The size of the target database control file grows depending on the number of:

- Backups that you perform
- Archived redo logs that the database generates
- Days that this information is stored in the control file

If the control file grows so large that it can no longer expand because it has reached either the maximum number of blocks or the maximum number of records, then the database may overwrite the oldest records even if their age is less than the `CONTROL_FILE_RECORD_KEEP_TIME` setting. In this case, the database writes a message to the alert log. If you discover that this situation occurs frequently, then reducing the value of `CONTROL_FILE_RECORD_KEEP_TIME` and increase the frequency of resynchronizations.

Related Topics

- Preventing the Loss of Control File Records
  The best way to prevent the loss of RMAN metadata because of overwritten control file records is to use a recovery catalog.
- *Oracle Database Administrator's Guide*

13.8.2.3 Manually Resynchronizing the Recovery Catalog

Use `RESYNC CATALOG` to force a full resynchronization of the recovery catalog with a target database control file.

You can specify a database unique name with `RESYNC CATALOG FROM DB_UNIQUE_NAME` or `ALL`, depending on whether you want to resynchronize a specific database or all databases in the Data Guard environment. To use `DB_UNIQUE_NAME ALL`, you must connect to the target database using password file authentication and as the `SYS` user. Typically, you perform this operation after you run the `CONFIGURE` command for a standby database, but have not yet connected to this standby database.

1. Start RMAN and connect to a target database and recovery catalog.
2. Mount or open the target database:

   ```
   STARTUP MOUNT;
   ```

3. Resynchronize the recovery catalog.

   Run the `RESYNC CATALOG` command at the RMAN prompt as follows:

   ```
   RESYNC CATALOG;
   ```

   The following example resynchronizes the control file of `standby1`:

   ```
   RESYNC CATALOG FROM DB_UNIQUE_NAME standby1;
   ```

   The following variation, when connected to the target database as the `SYS` user and using password file authentication, resynchronizes the control files for all databases in the Data Guard environment:

   ```
   RESYNC CATALOG FROM DB_UNIQUE_NAME ALL;
   ```

Related Topics

- *Oracle Database Backup and Recovery Reference*
- *Oracle Data Guard Concepts and Administration*

13.8.3 Updating the Recovery Catalog After Changing a DB_UNIQUE_NAME

You may decide to change the `DB_UNIQUE_NAME` of a database in a Data Guard environment. In this case, you can run the `CHANGE DB_UNIQUE_NAME` command to associate the metadata stored in recovery catalog for the old `DB_UNIQUE_NAME` to the new `DB_UNIQUE_NAME`.

The `CHANGE DB_UNIQUE_NAME` command does not actually change the `DB_UNIQUE_NAME` of the database itself. Instead, it updates the catalog metadata for the database whose unique name has been or will be changed.

The following procedure assumes that the `DB_UNIQUE_NAME` of the primary database is `prodny`, and that you have changed the `DB_UNIQUE_NAME` of a standby database from `prodsf1` to `prodsf2`. You can use the same procedure after changing the `DB_UNIQUE_NAME` of a *primary* database, except in Step 1 connect RMAN as `TARGET` to a standby database instead of a primary database.

To update the recovery catalog after DB_UNIQUE_NAME is changed:

1. Connect RMAN to the *primary* database as `TARGET` and also to the recovery catalog. For example, enter the following commands:

```
% rman
RMAN> CONNECT CATALOG rco@catdb

recovery catalog database Password: password
connected to recovery catalog database

RMAN> CONNECT TARGET sbu@prodny

target database Password: password
connected to target database: PRODNY (DBID=39525561)
```

2. List the `DB_UNQUE_NAME` values known to the recovery catalog.

   Run the following `LIST` command:

```
RMAN> LIST DB_UNIQUE_NAME OF DATABASE;
```

3. Change the `DB_UNIQUE_NAME` in the RMAN metadata.

   The following example changes the database unique name from standby database `prodsf1` to `prodsf2`:

```
RMAN> CHANGE DB_UNIQUE_NAME FROM prodsf1 TO prodsf2;
```

13.8.4 Unregistering a Target Database from the Recovery Catalog

You can use the `UNREGISTER DATABASE` command to unregister a database from the recovery catalog.

When a database is unregistered from the recovery catalog, all RMAN repository records in the recovery catalog are lost. The database can be registered again, but the recovery catalog records for that database are then based on the contents of the control file at the time of reregistration. Records older than the `CONTROLFILE_RECORD_KEEP_TIME` setting in the target database control file are lost. Stored scripts, which are not stored in the control file, are also lost.

13.8.4.1 Unregistering a Target Database When Not in a Data Guard Environment

Use the `UNREGISTER DATABASE` command to unregister a target database.

This scenario assumes that you are not using the recovery catalog to store metadata for primary and standby databases.

To unregister a database:

1. Start RMAN and connect as `TARGET` to the database to unregister. Also connect to the recovery catalog.

   It is not necessary to connect to the target database, but if you do not, then you must specify the name of the target database in the `UNREGISTER` command. If multiple databases have the same name in the recovery catalog, then you must create a `RUN` block around the command and use `SET DBID` to set the DBID for the database.

2. Make a note of the DBID as displayed by RMAN at startup.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=39525561)
   ```

3. As a precaution, it may be useful to list all of the backups recorded in the recovery catalog using `LIST BACKUP SUMMARY` and `LIST COPY SUMMARY`. This way, you can recatalog backups not known to the control file if you later decide to reregister the database.

4. If your intention is to actually delete all backups of the database completely, then run `DELETE` statements to delete all existing backups. Do not delete all backups if your intention is only to remove the database from the recovery catalog and rely on the control file to store the RMAN metadata for this database.

   The following commands illustrate how to delete backups:

   ```
   DELETE BACKUP DEVICE TYPE sbt;
   DELETE BACKUP DEVICE TYPE DISK;
   DELETE COPY;
   ```

   RMAN lists the backups that it intends to delete and prompts for confirmation before deleting them.

5. Run the `UNREGISTER DATABASE` command. For example:

   ```
   UNREGISTER DATABASE;
   ```

   RMAN displays the database name and DBID, and prompts you for a confirmation:

```
database name is "RDBMS" and DBID is 931696259

Do you really want to unregister the database (enter YES or NO)? yes
```

When the process is complete, RMAN outputs the following message:

```
database unregistered from the recovery catalog
```

13.8.4.2 Unregistering a Standby Database

The `UNREGISTER` command supports a `DB_UNIQUE_NAME` clause for use in a Data Guard environment. You can use this clause to remove metadata for a specific database.

The recovery catalog associates a backup with a particular database. When you unregister a database, RMAN updates the database name for these backup files to `null`. Thus, the backups are still recorded but have no owner. You can execute the `CHANGE ... RESET DB_UNIQUE_NAME` command to associate ownership of the currently ownerless backups to a different database. If you specify `INCLUDING BACKUPS` on the `UNREGISTER` command, then RMAN removes the backup metadata for the unregistered database as well.

In this scenario, assume that primary database `lnx3` has associated standby database `standby1`. You want to unregister the standby database.

To unregister a standby database:

1. Start RMAN and connect as `TARGET` to the *primary* database. Also, connect RMAN to a recovery catalog.

   For example, enter the following commands:

   ```
   % rman
   RMAN> CONNECT TARGET "sbu@lnx3 AS SYSBACKUP";

   target database Password: password
   connected to target database: LNX3 (DBID=781317675)

   RMAN> CONNECT CATALOG rco@catdb;
   ```

2. List the database unique names.

   For example, execute the `LIST DB_UNIQUE_NAME` command as follows:

   ```
   RMAN> LIST DB_UNIQUE_NAME OF DATABASE;

   List of Databases
   DB Key  DB Name DB ID            Database Role    Db_unique_name
   ------- ------- ----------------- ---------------- ------------------
   1       LNX3    781317675         STANDBY          STANDBY1
   1       LNX3    781317675         PRIMARY          LNX3
   ```

3. Run the `UNREGISTER DB_UNIQUE_NAME` command.

For example, execute the UNREGISTER command as follows to unregister database standby:

```
RMAN> UNREGISTER DB_UNIQUE_NAME standby1;
```

RMAN displays the database name and DBID, and prompts you for a confirmation:

```
database db_unique_name is "standby1", db_name is "LNX3" and DBID is 781317675

Do you really want to unregister the database (enter YES or NO)? yes
```

When the process is complete, RMAN outputs the following message:

```
database with db_unique_name standby1 unregistered from the recovery catalog
```

13.8.4.3 Unregistering a Target Database in a Recovery Appliance Environment

In a Zero Data Loss Recovery Appliance (Recovery Appliance) environment, the UNREGISTER DATABASE command cannot be used to unregister a protected database from the Recovery Appliance catalog. Instead, use the DBMS_RA.DELETE_DB procedure.

To unregister a target database from the Recovery Appliance recovery catalog:

1. Obtain the DB_NAME of the protected database that you want to unregister.
2. (Optional) To delete all the backups associated with this protected database, perform the following steps:
   - Connect to the protected database as a user with the SYSDBA or SYSBACKUP privilege.
   - Use the following commands to delete all backups:

     ```
     DELETE BACKUP DEVICE TYPE sbt;
     DELETE BACKUP DEVICE TYPE DISK;
     DELETE COPY;
     ```

     RMAN lists the backups that it intends to delete and prompts for confirmation before deleting them.
3. Start SQL*Plus and connect to the Recovery Appliance metadata database as RASYS (Recovery Appliance catalog owner).
4. Unregister the protected database from Recovery Appliance using the DBMS_RA.DELETE_DB procedure.

   ```
   begin
         DBMS_RA.DELETE_DB('TEST_DB');
   end;
   /
   ```

   RMAN prompts you to confirm the unregister database operation.

Related Topics

- *Zero Data Loss Recovery Appliance Administrator's Guide*

13.8.5 Resetting the Database Incarnation in the Recovery Catalog

You create an incarnation of the database when you open the database with the `RESETLOGS` option. You can access a record of the new incarnation in the `V$DATABASE_INCARNATION` view.

If you open the database with the `RESETLOGS` option, then a new database incarnation record is automatically created in the recovery catalog. The database also implicitly and automatically issues a `RESET DATABASE` command, which specifies that this new incarnation of the database is the current incarnation. All subsequent backups and log archiving done by the target database is associated with the new database incarnation.

Whenever RMAN returns the database to an SCN before the current `RESETLOGS` SCN, either with `RESTORE` and `RECOVER` or `FLASHBACK DATABASE`, the `RESET DATABASE TO INCARNATION` command is required. However, you do not need to execute `RESET DATABASE TO INCARNATION` explicitly in the following scenarios because RMAN runs the command implicitly with Flashback.

- You use `FLASHBACK DATABASE` to rewind the database to an SCN in the direct ancestral path.
- You use `FLASHBACK DATABASE` to rewind the database to a restore point.

The following procedure explains how to reset the database incarnation when recovering through a `RESETLOGS`.

1. Determine the incarnation key of the desired database incarnation. Obtain the incarnation key value by issuing a `LIST` command:

```
LIST INCARNATION OF DATABASE trgt;

List of Database Incarnations
DB Key  Inc Key   DB Name   DB ID       STATUS     Reset SCN
Reset Time
------- -------   -------   ------      -------    ----------
----------
1       2         TRGT      1224038686  PARENT     1            02-
JUL-12
1       582       TRGT      1224038686  CURRENT    59727        10-
JUL-12
```

   The incarnation key is listed in the `Inc Key` column.

2. Reset the database to the old incarnation. For example, enter:

```
RESET DATABASE TO INCARNATION 2;
```

3. If the control file of the previous incarnation is available and mounted, then skip to Step 6 of this procedure. Otherwise, shut down the database and start it without mounting. For example:

```
SHUTDOWN IMMEDIATE
STARTUP NOMOUNT
```

4. Restore a control file from the old incarnation. If you have a control file tagged, then specify the tag. Otherwise, you can run the `SET UNTIL` command, as in this example:

```
RUN
{
  SET UNTIL 'SYSDATE-45';
  RESTORE CONTROLFILE; # only if current control file is not available
}
```

5. Mount the restored control file:

```
ALTER DATABASE MOUNT;
```

6. Run `RESTORE` and `RECOVER` commands to restore and recover the database files from the prior incarnation, then open the database with the `RESETLOGS` option. For example, enter:

```
RESTORE DATABASE;
RECOVER DATABASE;
ALTER DATABASE OPEN RESETLOGS;
```

Related Topics

- About Database Incarnations
  A database incarnation is created whenever you open the database with the `RESETLOGS` option.
- *Oracle Database Backup and Recovery Reference*

13.8.6 Upgrading the Recovery Catalog

This section explains what a recovery catalog upgrade is and when you must do it.

13.8.6.1 About Recovery Catalog Upgrades

If you are upgrading to Oracle Database 12*c* Release 1 (12.1.0.2) or later, then the recovery catalog database must use the Enterprise Edition of Oracle Database.

If you use a version of the recovery catalog schema that is older than that required by the RMAN client, then you must upgrade it. The compatibility matrix in *Oracle Database Backup and Recovery Reference* explains which schema versions are compatible with which versions of RMAN. For example, you must upgrade the catalog if you use an Oracle Database 11*g* RMAN client with a release 10.2 version of the recovery catalog schema.

The Oracle Database 10*g* Release 1 version of the recovery catalog schema requires the `CREATE TYPE` privilege. If you created the recovery catalog owner in a release before 10*g*R1, and if you granted the `RECOVERY_CATALOG_OWNER` role when it did not include the `CREATE TYPE` privilege, then you must grant `CREATE TYPE` to this user explicitly before upgrading the catalog.

You receive an error when issuing `UPGRADE CATALOG` if the recovery catalog is at a version greater than that required by the RMAN client. RMAN permits the `UPGRADE CATALOG` command to be run if the recovery catalog is current and does not require upgrading, however, so that you can re-create packages at any time if necessary. Check the message log for error messages generated during the upgrade.

13.8.6.1.1 Special Considerations for Upgrading the Recovery Catalog in a Data Guard Environment

Assume that you upgrade the recovery catalog schema to Oracle Database 11*g* or later in a Data Guard environment. When RMAN connects to a standby database, it automatically registers the new database information and resynchronizes to obtain the file names from the control file.

During the resynchronization, RMAN associates the names with the target database name. Because the recovery catalog contains historical metadata, some records in the catalog are not known to the control file. For example, the `standby1` control file does not know about all backups made on `primary1`. The database unique name for these old records is `null`. You can use `CROSSCHECK` to fix these records.

Related Topics

- About Recovery Catalog Maintenance
  After you have created a recovery catalog and registered your target databases, you must maintain this catalog.

13.8.6.2 Determining the Schema Version of the Recovery Catalog

The schema version of the recovery catalog is stored in the recovery catalog itself. The information is important in case you maintain multiple databases of different versions in your production system, and you must determine whether the catalog schema version is usable with a specific target database version.

To determine the schema version of the recovery catalog:

1. Start SQL*Plus and connect to the recovery catalog database as the catalog owner.
2. Query the `RCVER` table to obtain the schema version, as in the following example (sample output included):

```
SELECT *
FROM   rcver;

VERSION
------------
12.01.00.01
```

If the table displays multiple rows, then the highest version in the `RCVER` table is the current catalog schema version. The table stores only the major version numbers and not the patch numbers. For example, assume that the `rcver` table displays the following rows:

```
VERSION
------------
10.02.00
11.02.00
12.01.00.01
```

These rows indicate that the catalog was created with a release 10.2.0 executable, then upgraded to release 11.2.0, and finally upgraded to release 12.1.0.1. The current version of the catalog schema is 12.1.0.1.

Related Topics

- *Oracle Database Backup and Recovery Reference*

13.8.6.3 Using the UPGRADE CATALOG Command

This scenario assumes that you are upgrading a recovery catalog schema to the current version.

> **Note:**
>
> Starting with Oracle Database 12*c* Release 1 (12.1.0.2), the recovery catalog database must use the Enterprise Edition of Oracle Database.

To upgrade the recovery catalog:

1. Enable Oracle Partitioning for the recovery catalog database.
2. If the recovery catalog database uses the Standard Edition, then use one of the following techniques:
   - Migrate the recovery catalog database from Standard Edition to Enterprise Edition.
   - Move the recovery catalog into an Oracle Enterprise Edition database and then use the `IMPORT CATALOG` command to import the recovery catalog into this database.
3. Use SQL*Plus to connect to the recovery catalog database as the `SYS` user with the `SYSDBA` privilege.
4. Run the `dbmsrmansys.sql` script to grant additional privileges that are required for the `RECOVERY_CATALOG_OWNER` role.

   ```
   SQL> @$ORACLE_HOME/rdbms/admin/dbmsrmansys.sql
   ```

5. (Optional) Enable the VPD model for the recovery catalog by running the `dbmsrmanvpc.sql` script with the `-vpd` option..

   The following command enables the VPD model for the recovery catalog owned by the user `rco`:

   ```
   SQL> @/$ORACLE_HOME/rdbms/admin/dbmsrmanvpc.sql -vpd rco;
   ```

6. Exit SQL*Plus.
7. Start RMAN and connect RMAN to the recovery catalog database.
8. Run the `UPGRADE CATALOG` command:

   ```
   RMAN> UPGRADE CATALOG;

   recovery catalog owner is rman
   enter UPGRADE CATALOG command again to confirm catalog upgrade
   ```

9. Run the `UPDATE CATALOG` command again to confirm:

```
RMAN> UPGRADE CATALOG;

recovery catalog upgraded to version 12.01.00.01
DBMS_RCVMAN package upgraded to version 12.01.00.01
DBMS_RCVCAT package upgraded to version 12.01.00.01
```

> **Note:**
>
> To bypass this step, add the `NOPROMPT` option after the `UPGRADE CATALOG` command in step 8.

Related Topics

- *Oracle Database Backup and Recovery Reference*
- *Oracle Database Upgrade Guide*

13.8.6.4 Managing Recovery Catalog Upgrades

Learn the different options you can use to manage a recovery catalog upgrade.

When you issue the `UPGRADE CATALOG` command, the default RMAN behavior is to start the upgrade process only if there are no ongoing RMAN jobs connected to the recovery catalog schema. If this requirement is not met, then the `UPGRADE CATALOG` command exits with an error message.

Your production databases may have catalog schema related RMAN jobs that may run for long periods of time. Therefore, it may be difficult to plan a suitable time window to complete a recovery catalog upgrade without any bottlenecks caused by the background jobs.

Blocking connections are recovery catalog schema related RMAN jobs which started before you issued the `UPGRADE CATALOG` command. RMAN jobs which started after you issued the `UPGRADE CATALOG` command are called waiting connections.

Starting with Oracle Database 23c, RMAN enables you to override the default behavior of the `UPGRADE CATALOG` operation, and achieve better control over catalog schema connections while performing a recovery catalog upgrade. You can use options to:

- Run the `UPGRADE CATALOG` command after a given time delay, so that blocking connections can complete.
- Run the `UPGRADE CATALOG` command to start immediately by forcibly terminating all blocking connections.
- Run the `UPGRADE CATALOG` command to start after a given time delay and after forcibly terminating any blocking connections at the end of the time delay.
- Connect RMAN to the recovery catalog in the maintenance mode, and then take action on catalog schema connections, particularly if blocking or waiting catalog connections are affecting the progress of the recovery catalog upgrade.

13.8.6.4.1 UPGRADE CATALOG Options

Review the different options you can use with the `UPGRADE CATALOG` command.

Table 13-3 Options to Override the Default Behavior of the `UPGRADE CATALOG` command

Option	Description	Example
`WAIT`	Use the `WAIT` option to specify a time delay for the `UPGRADE CATALOG` command.	Use this statement to define a wait time delay of 600 seconds (10 minutes) for the `UPGRADE CATALOG` command to run. `UPGRADE CATALOG WAIT 600;` If there are blocking connections at the end of the wait time, then the `UPGRADE CATALOG` command exits with an error message.
`TERMINATE CONNECTED USERS`	Use `TERMINATE CONNECTED USERS` to run `UPGRADE CATALOG` immediately after forcibly terminating blocking connections.	Use this statement to run the `UPGRADE CATALOG` command immediately. `UPGRADE CATALOG TERMINATE CONNECTED USERS;`
`WAIT` and `TERMINATE CONNECTED USERS`	Combine the `WAIT` and the `TERMINATE CONNECTED USERS` options to run `UPGRADE CATALOG` after a given delay and after forcibly terminating all blocking connections at the end of the time delay.	Use this statement to run the `UPGRADE CATALOG` command after terminating all the blocking connections at the end of a 10 minute wait time delay. `UPGRADE CATALOG WAIT 600; TERMINATE CONNECTED USERS;`

13.8.6.4.2 Override the Default Behavior of the UPGRADE CATALOG Command

By default, the `UPGRADE CATALOG` command exits with an error message if there are blocking connections to the catalog schema. Learn how you can run the `UPGRADE CATALOG` command with different options to override the default behavior.

Use one of these options to ensure that the `UPGRADE CATALOG` command completes successfully without any bottlenecks caused by blocking connections.

- Run the `UPGRADE CATALOG` command with the `WAIT` option to introduce a specific time delay before a recovery catalog upgrade. RMAN begins the upgrade process only if all the blocking connections have completed within the specified waiting period. If there are blocking connections at the end of the wait time, then the `UPGRADE CATALOG` command exits with an error message.

- Run the `UPGRADE CATALOG` command with the `TERMINATE CONNECTED USERS` option to start an upgrade immediately after forcibly terminating all blocking connections.
- Run the `UPGRADE CATALOG` command along with the `WAIT` option and the `TERMINATE CONNECTED USERS` option to start a recovery catalog upgrade after forcibly terminating all any blocking connections at the end of the wait time delay.

In this example, the `UPGRADE CATALOG` command executes after a given time delay of 600 seconds. RMAN completes the catalog upgrade by terminating a blocking connection at the end of the time delay.

```
RMAN> CONNECT CATALOG rco@catdb;
UPGRADE CATALOG WAIT 600 TERMINATE CONNECTED USERS;
UPGRADE CATALOG WAIT 600 TERMINATE CONNECTED USERS;

#RMAN-06008: connected to recovery catalog database

#RMAN-06435: recovery catalog owner is RMAN2
#RMAN-06442: enter UPGRADE CATALOG command again to confirm catalog
upgrade

RMAN-07558: Following sessions are connected to catalog schema
RMAN-07559: ============================================
RMAN-07560: Logon_time             SID   Serial User
RMAN-07559: ============================================
RMAN-07561: 2023-08-01 11:17:13     53    34909 RMAN
RMAN-07561: 2023-08-01 11:18:12     54    29938 RMAN3
RMAN-07561: 2023-08-01 11:19:02     51     4252 RMAN
RMAN-07559: ============================================
RMAN-07556: Following sessions are blocking the catalog schema upgrade
RMAN-07559: ============================================
RMAN-07560: Logon_time             SID   Serial User
RMAN-07559: ============================================
RMAN-07561: 2023-08-01 11:17:13     53    34909 RMAN
RMAN-07559: ============================================
RMAN-07556: Following sessions are blocking the catalog schema upgrade
RMAN-07559: ============================================
RMAN-07560: Logon_time             SID   Serial User
RMAN-07559: ============================================
RMAN-07561: 2023-08-01 11:17:13     53    34909 RMAN
RMAN-06958: Executing: alter system kill session '53, 34909'
RMAN-07559: ============================================
#RMAN-06408: recovery catalog upgraded to version 23.01.00.23.02
#RMAN-06452: DBMS_RCVMAN package upgraded to version 23.01.00.23
#RMAN-06452: DBMS_RCVCAT package upgraded to version 23.01.00.23.
#
#Recovery Manager complete.
```

13.8.6.4.3 Using the Maintenance Mode for Monitoring Recovery Catalog Upgrades

You can enable the maintenance mode to take action on RMAN jobs connected to the recovery catalog schema.

Starting with Oracle Database 23c, you can connect to the recovery catalog in maintenance mode and manage catalog schema connections. This is particularly helpful to take action on blocking or waiting catalog schema connections during a recovery catalog upgrade.

In the maintenance mode, you can run commands to:

- List and terminate all connections to the recovery catalog schema
- List and terminate all catalog schema connections that are blocking the progress of the `UPGRADE CATALOG` command.
  Blocking connections are recovery catalog schema connections which started before you issued the `UPGRADE CATALOG` command
- View and terminate all catalog schema connections that are waiting for the `UPGRADE CATALOG` command to complete execution
  Waiting connections are recovery catalog schema connections which started after you issued the `UPGRADE CATALOG` command.

When RMAN is connected to a recovery catalog, run the `SET CATALOG MAINTENANCE ON` command to enable the RMAN maintenance mode, as shown in this example.

```
RMAN> CONNECT CATALOG rco@catdb;
RMAN> SET CATALOG MAINTENANCE ON;
```

Connect to the recovery catalog as a recovery catalog owner, and then run the maintenance commands, as shown in this example.

```
RMAN> CONNECT CATALOG rco@catdb;
LIST CONNECTED USERS;
RMAN-03023: executing command: SET catalog maintenance ON

RMAN-06008: connected to recovery catalog database

RMAN-07556: Following sessions are blocking the catalog schema upgrade
RMAN-07559: ============================================
RMAN-07560: Logon_time             SID   Serial User
RMAN-07559: ============================================
RMAN-07561: 2023-08-01 10:54:14    191    35956 RMAN
RMAN-07561: 2023-08-01 10:54:20    192    42240 RMAN3
RMAN-07559: ============================================
```

If the `UPGRADE CATALOG` command is in execution, then run the maintenance commands to view and take action on blocking connections or waiting connections, as shown in this example.

```
RMAN> SET CATALOG MAINTENANCE ON;
CONNECT CATALOG rco@catdb;
LIST BLOCKING CONNECTED USERS;
TERMINATE BLOCKING CONNECTED USERS;

RMAN-03023: executing command: SET catalog maintenance ON

RMAN-06008: connected to recovery catalog database
RMAN-07556: Following sessions are blocking the catalog schema upgrade
RMAN-07559: ============================================
```

```
RMAN-07560: Logon_time             SID   Serial User
RMAN-07559: ============================================
RMAN-07561: 2023-08-01 11:17:13     53    34909 RMAN
RMAN-07559: ============================================

RMAN-07556: Following sessions are blocking the catalog schema upgrade
RMAN-07559: ============================================
RMAN-07560: Logon_time             SID   Serial User
RMAN-07559: ============================================
RMAN-07561: 2023-08-01 11:17:13     53    34909 RMAN
RMAN-06958: Executing: alter system kill session '53, 34909'
RMAN-07559: ============================================
```

Run `SET CATALOG MAINTENANCE OFF` to disable the RMAN maintenance mode, as shown in this example.

```
SET CATALOG MAINTENANCE OFF;
```

See, Maintenance Mode Commands for Recovery Catalog for the commands you can run in the RMAN maintenance mode.

13.8.6.4.4 Maintenance Mode Commands for Recovery Catalog

When the `UPGRADE CATALOG` command is in progress, connect to a recovery catalog in maintenance mode and run maintenance commands on catalog schema connections.

Table 13-4 Maintenance Commands for Recovery Catalog Upgrades

Command	Description	Example

`LIST CONNECTED USERS`	When RMAN is connected to a recovery catalog in the maintenance mode, run this command to display all the blocking and waiting connections.	`RMAN> CONNECT CATALOG rco@catdb;`
		`RMAN> SET CATALOG MAINTENANCE ON;`
		`RMAN> CONNECT CATALOG rco@catdb;`
		`LIST CONNECTED USERS;`

`TERMINATE CONNECTED USERS`	When RMAN is connected to a recovery catalog in the maintenance mode, run this command to terminate all the blocking and waiting connections.	`RMAN> CONNECT CATALOG rco@catdb;`
		`RMAN> SET CATALOG MAINTENANCE ON;`
		`RMAN> CONNECT CATALOG rco@catdb;`
		`TERMINATE CONNECTED USERS;`

Table 13-4 (Cont.) Maintenance Commands for Recovery Catalog Upgrades

Command	Description	Example

`LIST BLOCKING CONNECTED USERS`	When the `UPGRADE CATALOG` command is in progress, connect to the recovery catalog in maintenance mode and run this command to list all the blocking connections.	`RMAN> CONNECT CATALOG rco@catdb;`
		`UPGRADE CATALOG;`
		`UPGRADE CATALOG;`
		`SET CATALOG MAINTENANCE ON;`
		`LIST BLOCKING CONNECTED USERS;`

`TERMINATE BLOCKING CONNECTED USERS`	When the `UPGRADE CATALOG` command is in progress, connect to the recovery catalog in maintenance mode and run this command to terminate all the blocking connections.	`RMAN> CONNECT CATALOG rco@catdb;`
		`UPGRADE CATALOG;`
		`UPGRADE CATALOG;`
		`SET CATALOG MAINTENANCE ON;`
		`TERMINATE BLOCKING CONNECTED USERS;`

`LIST WAITING CONNECTED USERS`	When the `UPGRADE CATALOG` command is in progress, connect to the recovery catalog in maintenance mode and run this command to list all the waiting connections.	`RMAN> CONNECT CATALOG rco@catdb;`
		`UPGRADE CATALOG;`
		`UPGRADE CATALOG;`
		`SET CATALOG MAINTENANCE ON;`
		`LIST WAITING CONNECTED USERS;`

`TERMINATE WAITING CONNECTED USERS`	When the `UPGRADE CATALOG` command is in progress, connect to the recovery catalog in maintenance mode and run this command to terminate all the waiting connections.	`RMAN> CONNECT CATALOG rco@catdb;`
		`UPGRADE CATALOG;`
		`UPGRADE CATALOG;`
		`SET CATALOG MAINTENANCE ON;`
		`TERMINATE WAITING CONNECTED USERS;`

13.8.7 Importing and Moving a Recovery Catalog

You can use the `IMPORT CATALOG` command in RMAN to merge one recovery catalog schema into another.

This command is useful in the following situations:

- You have multiple recovery catalog schemas for different versions of the database. You want to merge all existing schemas into one without losing backup metadata.
- You want to move a recovery catalog from one database to another database.

13.8.7.1 About Recovery Catalog Imports

When using `IMPORT CATALOG`, the **source catalog schema** is the catalog schema to import into a different schema. The **destination catalog schema** is the catalog schema into which you intend to import the source catalog schema.

By default, RMAN imports metadata from all target databases registered in the source recovery catalog. Optionally, you can specify the list of database IDs to be imported from the source catalog schema.

By default, RMAN unregisters the imported databases from the source catalog schema after a successful import. To indicate whether the unregister was successful, RMAN prints messages before and after unregistering the merged databases. You can also specify the `NO UNREGISTER` option to specify that the databases is not unregistered from the source catalog.

A stored script is either global or local. It is possible for global scripts, but not local scripts, to have name conflicts during import because the destination schema already contains the script name. In this case, RMAN renames the global script name to `COPY OF script_name`. For example, RMAN renames `bp_cmd` to `COPY OF bp_cmd`.

If the renamed global script is still not unique, then RMAN renames it to `COPY(2) OF script_name`. If this script name also exists, then RMAN renames the script to `COPY(3) OF script_name`. RMAN continues the `COPY(n) OF` pattern until the script is uniquely named.

13.8.7.2 About Importing Recovery Catalogs in a Recovery Appliance Environment

In a Recovery Appliance environment, a single, centrally-managed Recovery Appliance catalog residing on the Recovery Appliance is shared by all the protected databases. This catalog must be used by all protected databases that send backups to Recovery Appliance.

When you move protected databases to a data protection strategy that uses Recovery Appliance, you can choose to migrate existing backups and backup metadata to Recovery Appliance. To migrate backup metadata, you must import the RMAN recovery catalog into the Recovery Appliance catalog.

The Recovery Appliance documentation contains an overview of the Recovery Appliance catalog and describes how to migrate backups and backup metadata.

Related Topics

- *Zero Data Loss Recovery Appliance Administrator's Guide*
- *Zero Data Loss Recovery Appliance Protected Database Configuration Guide*

13.8.7.3 Prerequisites for Importing a Recovery Catalog

A target database, recovery catalog database, and recovery catalog schema can be at different database versions. The recommended practice is to import all existing

recovery catalogs into a single recovery catalog at the latest version of the recovery catalog schema.

Check the compatibility matrix to determine which schema versions are compatible in your environment.

When using `IMPORT CATALOG`, the version of the source recovery catalog schema must be equal to the current version of the RMAN executable with which you run the command. If the source catalog schema is a *lower* version, then upgrade it to the current version before importing the schema. If the source recovery catalog schema is a *higher* version, then retry the import with a higher version RMAN executable.

No database can be registered in both the source and destination catalog schema. If a database is currently registered in both catalog schemas, then unregister the database from source catalog schema before performing the import.

Related Topics

- Determining the Schema Version of the Recovery Catalog
  The schema version of the recovery catalog is stored in the recovery catalog itself. The information is important in case you maintain multiple databases of different versions in your production system, and you must determine whether the catalog schema version is usable with a specific target database version.
- Upgrading the Recovery Catalog
  This section explains what a recovery catalog upgrade is and when you must do it.

13.8.7.4 Importing a Recovery Catalog

When importing one recovery catalog into another, no connection to a target database is necessary. RMAN only needs connectivity to the source and destination catalogs.

In this example, database `srcdb` contains a 10.2 recovery catalog schema owned by user `102cat`, while database `destdb` contains an 11.1 recovery catalog schema owned by user `111cat`.

To import a recovery catalog:

1. Start RMAN and connect as `CATALOG` to the destination recovery catalog schema. For example:

   ```
   % rman
   RMAN> CONNECT CATALOG 111cat@destdb;
   ```

2. Import the source recovery catalog schema, specifying the connection string for the source catalog.

   For example, enter the following command to import the catalog owned by `102cat` on database `srcdb`:

   ```
   IMPORT CATALOG 102cat@srcdb;
   ```

A variation is to import metadata for a subset of the target databases registered in the source catalog. You can specify the databases by DBID or database name, as shown in the following examples:

```
IMPORT CATALOG 102cat@srcdb DBID=1423241, 1423242;
IMPORT CATALOG 102cat@srcdb DB_NAME=prod3, prod4;
```

3. Optionally, connect to a target database to check that the metadata was successfully imported. For example, the following commands connect to database `prod1` as `TARGET` and list all backups for this database:

```
CONNECT TARGET "sbu@prod1 AS SYSBACKUP";
LIST BACKUP;
```

`sbu` is a user who is granted the `SYSBACKUP` privilege in the target database.

13.8.7.5 Moving a Recovery Catalog

The procedure for moving a recovery catalog from one database to another is a variation of the procedure for importing a catalog.

In this scenario, the source database is the database containing the existing recovery catalog, while the destination database contains the moved recovery catalog.

To move a recovery catalog from the source database to the destination database:

1. Create a recovery catalog on the destination database, but do not register any databases in the new catalog.
2. Import the source catalog into the catalog created in the preceding step.

Related Topics

- Creating a Recovery Catalog
  Creating a recovery catalog consists of multiple phases that includes configuring the recovery catalog database, creating the recovery catalog schema owner, and then creating the catalog.
- Importing a Recovery Catalog
  When importing one recovery catalog into another, no connection to a target database is necessary. RMAN only needs connectivity to the source and destination catalogs.

13.9 Dropping a Recovery Catalog

The `DROP CATALOG` command removes those objects that were created by the `CREATE CATALOG` command. If the user who owns the recovery catalog also owns objects that were *not* created by `CREATE CATALOG`, then the `DROP CATALOG` command does not remove these objects.

If you drop a recovery catalog, and if you have no backups of the recovery catalog schema, then backups of all target databases registered in this catalog may become unusable. However, the control file of every target database still retains a record of recent backups of this database.

The `DROP CATALOG` command is not appropriate for unregistering a single database from a recovery catalog that has multiple target databases registered. Dropping the recovery catalog deletes the recovery catalog record of backups for all target databases registered in the catalog.

To drop a recovery catalog schema:

1. Start RMAN and connect to a target database and recovery catalog. Connect to the recovery catalog as the owner of the catalog schema to be dropped.

   The following example connects to a recovery catalog as user `rco`:

   ```
   % rman TARGET / CATALOG rco@catdb
   ```

2. Run the `DROP CATALOG` command:

   ```
   DROP CATALOG;

   recovery catalog owner is rco
   enter DROP CATALOG command again to confirm catalog removal
   ```

   > **Note:**
   >
   > To bypass the next confirmation step, add the `NOPROMPT` option with the `DROP CATALOG` command in this step.

3. Run the `DROP CATALOG` command again to confirm:

   ```
   DROP CATALOG;
   ```

   > **Note:**
   >
   > Even after you drop the recovery catalog, the control file still contains records about the backups. To purge RMAN repository records from the control file, re-create the control file.

Related Topics

- Unregistering a Target Database from the Recovery Catalog
  You can use the `UNREGISTER DATABASE` command to unregister a database from the recovery catalog.
- *Oracle Database Backup and Recovery Reference*

Part V
Diagnosing and Responding to Failures

The following chapters describe how to diagnose and respond to media failures and data corruptions. This part of the book contains the following chapters:

- RMAN Data Repair Concepts
- Validating Database Files and Backups
- Performing Complete Database Recovery
- Performing Flashback and Database Point-in-Time Recovery
- Performing Block Media Recovery
- Performing RMAN Recovery: Advanced Scenarios
- Performing RMAN Tablespace Point-in-Time Recovery (TSPITR)
- Recovering Tables and Table Partitions from RMAN Backups

14
RMAN Data Repair Concepts

Understand basic concepts that are required to perform data repair.

14.1 Overview of RMAN Data Repair

As explained in "About Data Protection", a principal purpose of a backup and recovery strategy is data protection. The key to an effective, efficient strategy is to understand the basic options of data repair.

14.1.1 About Problems Requiring Data Repair

While several problems can halt the normal operation of an Oracle database or affect database I/O operations, only the following typically require DBA intervention and data repair: user errors, application errors, and media failures.

Table 14-1 Problems Requiring Data Repair

Problem	Description
User errors	User errors occur when, either due to an error in application logic or a manual mistake, data in your database is changed or deleted incorrectly. For example, a user logs in to the wrong database and drops a database table. User errors are estimated to be the greatest single cause of database downtime.
Application errors	Sometimes a software malfunction can corrupt data blocks. In a physical corruption, which is also called a media corruption, the database does not recognize the block
Media failures	A media failure occurs when a problem external to the database prevents it from reading from or writing to a file during normal operations. Typical media failures include disk failures and the deletion of database files. Media failures are less common than user or application errors, but your backup and recovery strategy should prepare for them.

14.1.2 About RMAN Data Repair Techniques

RMAN provides multiple methods of data repair.

Depending on the situations you anticipate, consider incorporating each of the options described in the following table into your strategy for responding to data loss, and then set up your database to make these options possible.

Table 14-2 RMAN Data Repair Techniques

Data Repair Technique	Description	Additional Information
logical flashback features	This subset of Oracle Flashback Technology features enables you to view or rewind individual database objects or transactions to a past time. These features do not require use of RMAN.	"Overview of Oracle Flashback Technology and Database Point-in-Time Recovery"
Oracle Flashback Database	Flashback Database is a block-level recovery mechanism that is similar to media recovery, but is generally faster and does not require a backup to be restored. You can return your whole database to a previous state without restoring old copies of your data files from backup, if you have enabled flashback logging in advance. You must have a fast recovery area configured for logging for flashback database or guaranteed restore points.	"About Point-in-Time Recovery and Flashback Features"
data file media recovery	This form of media recovery enables you to restore data file backups and apply archived redo logs or incremental backups to recover lost changes. You can either recover a whole database or a subset of the database. Data file media recovery is the most general-purpose form of recovery and can protect against both physical and logical failures.	• "Performing Complete Database Recovery" • "Performing Database Point-in-Time Recovery"
block media recovery	This form of media recovery enables you to recover individual blocks within a data file rather than the whole data file.	"Overview of Block Media Recovery"
tablespace point-in-time recovery	This is a specialized form of point-in-time recovery in which you recover one or more tablespaces to a time earlier than the rest of the database.	"Overview of RMAN TSPITR"

In general, the concepts required to use the preceding repair techniques are explained along with the techniques. This chapter explains concepts that are common to several RMAN data repair solutions.

Note:

Starting in Oracle Database 23c, the Data Recovery Advisor (DRA) feature is desupported.

The desupport of DRA includes desupporting the following Oracle Recovery Manager (RMAN) commands: `LIST FAILURE`, `ADVISE FAILURE`, `REPAIR FAILURE`, and `CHANGE FAILURE`. Database administrators will no longer have access to these commands. There is no replacement feature for DRA.

14.2 About RMAN Restore Operations

In an RMAN restore operation, you select files to be restored and then run the `RESTORE` command. Typically, you restore files in preparation for media recovery.

You can restore the following types of files:

- Database (all data files)
- Tablespaces
- Control files
- Archived redo logs
- Server parameter files

You can specify either the default location or a new location for restored data files and control files. If you restore to the default location, then RMAN overwrites any files with the same name that currently exist in this location. Alternatively, you can use the `SET NEWNAME` command to specify new locations for restored data files. You can then run a `SWITCH` command to update the control file to indicate that the restored files in their new locations are now the current data files.

See Also:

- *Oracle Database Backup and Recovery Reference* for `RESTORE` syntax and prerequisites
- *Oracle Database Backup and Recovery Reference* for `SET NEWNAME` syntax
- *Oracle Database Backup and Recovery Reference* for `SWITCH` syntax

14.2.1 About RMAN Backup Selection

RMAN uses the records of available backup sets or image copies in the RMAN repository to select the best available backups for use in the restore operation.

The most recent backup available, or the most recent backup satisfying any `UNTIL` clause specified in the `RESTORE` command, is the preferred choice. If two backups are from the same point in time, then RMAN prefers image copies over backup sets because RMAN can restore more quickly from image copies than from backup sets (especially those stored on tape).

All specifications of the `RESTORE` command must be satisfied before RMAN restores a backup. Unless limited by the `DEVICE TYPE` clause, the `RESTORE` command searches for backups on all device types of configured channels. If no available backup in the repository satisfies all the specified criteria, then RMAN returns an error indicating that the file cannot be restored.

If you use only manually allocated channels, then a backup job may fail if there is no usable backup on the media for which you allocated channels. Configuring automatic channels makes it more likely that RMAN can find and restore a backup that satisfies the specified criteria.

> **See Also:**
>
> "Configuring Advanced Channel Options"

14.2.2 About RMAN Restore Failover

RMAN automatically uses restore failover to skip corrupted or inaccessible backups and look for usable backups. When a backup is not found, or contains corrupt data, RMAN automatically looks for another backup from which to restore the desired files.

RMAN generates messages that indicate the type of failover that it is performing. For example, when RMAN fails over to another backup of the same file, it generates a message similar to the following:

```
failover to piece handle=/u01/backup/db_1 tag=BACKUP_031009
```

If no usable copies are available, then RMAN searches for previous backups. The message generated is similar to the following example:

```
ORA-19624: operation failed, retry possible
ORA-19505: failed to identify file "/u01/backup/db_1"
ORA-27037: unable to obtain file status
SVR4 Error: 2: No such file or directory
Additional information: 3
failover to previous backup
```

RMAN performs restore failover repeatedly until it has exhausted all possible backups. If all of the backups are unusable or no backups exists, then RMAN attempts to re-create the data file. Restore failover is also used when there are errors restoring archived redo logs during `RECOVER`, `RECOVER ... BLOCK`, and `FLASHBACK DATABASE` commands.

14.2.3 About RMAN Restore of Encrypted Backups

RMAN automatically decrypts backup sets that are protected with backup encryption when their contents are restored. Additional steps, if any, depend on the on the technique that was used to encrypt the backups.

- Transparent backup encryption using an auto-login software keystore requires no intervention if the Oracle keystore is available.

When restoring a backup on a host that is different from the source host on which the backup is created, manually copy the Oracle keystore from the source database to the destination host.

- Transparent backup encryption using a password-based keystore requires the keystore to be open.

  Manually copy the Oracle software keystore to the destination host on which the backup is being restored and provide the password required to open the keystore. The `SET DECRYPTION WALLET OPEN IDENTIFIED BY` command to provide the password.

- Password-encrypted backups require the correct password to be entered before they can be restored.

  Use the `SET DECRYPTION IDENTIFIED BY` command to specify the password that must be used to decrypt the encrypted backup.

- Dual-mode encrypted backups can be restored either transparently, by using the Oracle software keystore, or by specifying a password for decryption.
- If you backed up the Oracle software keystore (wallet) along with a dual mode encrypted backup, then during restore you can choose to restore the wallet. This restoration is a quick alternative to using the `SET DECRYPTION IDENTIFIED BY` command to decrypt the encrypted backup.

TDE Master Keys and Transparently Encrypted Backups

When you reset (or rotate, rekey) a TDE master encryption key, RMAN can still restore backups that were encrypted using the old TDE master encryption key. This is possible because the Oracle keystore stores a history of all retired TDE master encryption keys.

> **Note:**
>
> If the Oracle keystore that contains the TDE master key is lost and needs to be recreated, then any backups that were encrypted using the old TDE master keys are invalidated and cannot be used.

> **See Also:**
>
> *Oracle Database Advanced Security Guide*

14.2.4 About RMAN Restore Operations and ASM

When Automatic Storage Management (ASM) disk groups are used, an RMAN restore operation creates new copies of data files only if the full name of a data file, including the incarnation, does not match with the name of an existing data file.

A fully qualified ASM file name is of the form `+diskgroup/dbname/filetype/filetypetag.file.incarnation`. When you first restore the control file and then restore the other database files, the names of the data files in the control file may not match with the names of the existing data files and therefore the data files are recreated.

Use one of the following methods to ensure that existing data files are not recreated during a restore or duplicate operation:

- In the control file, use alias names for each data file. The alias must not include the ASM incarnation number.
- After restoring the control file and before restoring the other database files, use the `CATALOG` command to ensure that the existing data files are cataloged in the restored control file. Next, use the `SWITCH` command to make the restored control file point to the existing data files.
- Use `SET NEWNAME` to rename the data files before restoring the data files and after restoring the control file.

14.2.5 About RMAN Restore Optimization

RMAN uses restore optimization to avoid restoring data files from backup when possible. If a data file is present in the correct location and its header contains the expected information, then RMAN does not restore the data file from backup.

> **Note:**
>
> Restore optimization only checks the data file header. It does not the scan the data file body for corrupted blocks.

You can use the `FORCE` option of the `RESTORE` command to override this behavior and restore the requested files unconditionally.

Restore optimization is particularly useful when an operation that restores several data files is interrupted. For example, assume that a full database restore encounters a power failure after all except one data file has been restored. If you run the same `RESTORE` command again, then RMAN only restores the single data file that was not restored during the previous attempt.

Restore optimization is also used when duplicating a database. If a data file at the duplicate is in the correct place with the correct header contents, then the data file is not duplicated. Unlike `RESTORE`, `DUPLICATE` does not support a `FORCE` option. To force RMAN to duplicate a data file that is skipped due to restore optimization, delete the data file from the duplicate before running the `DUPLICATE` command.

> **See Also:**
>
> *Oracle Real Application Clusters Administration and Deployment Guide* for description of `RESTORE` behavior in an Oracle RAC configuration

14.3 About RMAN Media Recovery

In media recovery, RMAN applies changes to restored data to roll forward this data in time.

RMAN can perform either data file media recovery or block media recovery.

Data file media recovery is the application of redo logs or incremental backups to a restored data file to update it to the current time or some other specified time. You can use RMAN to perform complete recovery, database point-in-time recovery (DBPITR), , or tablespace point-in-time recovery (TSPITR). You can use the `RESTORE` command to restore backups of lost and damaged data files or control files and the `RECOVER` command to perform media recovery.

Block media recovery is the recovery of individual data blocks rather than entire data files. This section explains data file media recovery only. Block media recovery, which is a specialized form of media recovery, is explained in "Overview of Block Media Recovery".

14.3.1 About Selection of Incremental Backups and Archived Redo Logs

RMAN automates media recovery. RMAN automatically restores and applies both incremental backups and archived redo logs in whatever combination is most efficient.

If the RMAN repository indicates that no copies of a required log sequence number exist on disk, then it automatically restores the required log from backup. By default, RMAN restores the archived logs to the fast recovery area, if an archiving destinations is set to `USE_DB_RECOVERY_FILE_DEST`. Otherwise, RMAN restores the logs to the first local archiving destination specified in the initialization parameter file.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for `CROSSCHECK` syntax

14.3.2 About Database Incarnations

A database incarnation is created whenever you open the database with the `RESETLOGS` option.

After complete recovery, you can resume normal operations without an `OPEN RESETLOGS` . After a DBPITR or recovery with a backup control file, however, you must open the database with the `RESETLOGS` option, thereby creating a new incarnation of the database. The database requires a new incarnation to avoid confusion when two different redo streams have the same SCNs, but occurred at different times. If you apply the wrong redo to your database, then you corrupt it.

The existence of multiple incarnations of a single database determines how RMAN treats backups that are not in the current incarnation path. Usually, the current database incarnation is the correct one to use. Nevertheless, in some cases resetting the database to a previous incarnation is the best approach. For example, you may be dissatisfied with the results of a point-in-time recovery that you have performed and want to return the database to a time before the `RESETLOGS`. An understanding of database incarnations is helpful to prepare for such situations.

14.3.2.1 About RMAN OPEN RESETLOGS Operations

RMAN performs certain actions when you open the database with the `RESETLOGS` option.

The action performed are as follows:

- Archives the current online redo logs (if they are accessible) and then erases the contents of the online redo logs and resets the log sequence number to 1.

For example, if the current online redo logs are sequence 1000 and 1001 when you open RESETLOGS, then the database archives logs 1000 and 1001 and then resets the online redo logs to sequence 1 and 2.

- Creates the online redo log files if they do not currently exist.
- Initializes redo thread records and online redo log records in the control file to the beginning of the new database incarnation.

  More specifically, the database sets the redo thread status to closed, sets the current thread sequence in the redo thread records to 1, sets the thread checkpoint of each redo thread to the beginning of log sequence 1, chooses one redo log from each thread and initialize its sequence to 1, and so on.
- Updates all current data files and online redo logs and all subsequent archived redo logs with a new RESETLOGS SCN and time stamp.

Because the database does not apply an archived redo log to a data file unless the RESETLOGS SCN and time stamps match, the RESETLOGS requirement prevents you from corrupting data files with archived logs that are not from direct parent incarnations of the current incarnation. The relationship among incarnations is explained more fully in the following section.

In previous releases, it was recommended that you back up the database immediately after the OPEN RESETLOGS. Because you can now easily recover a pre-RESETLOGS backup like any other backup, making a new database backup is optional. To perform recovery through RESETLOGS you must have all archived logs generated after the most recent backup and at least one control file (current, backup, or created).

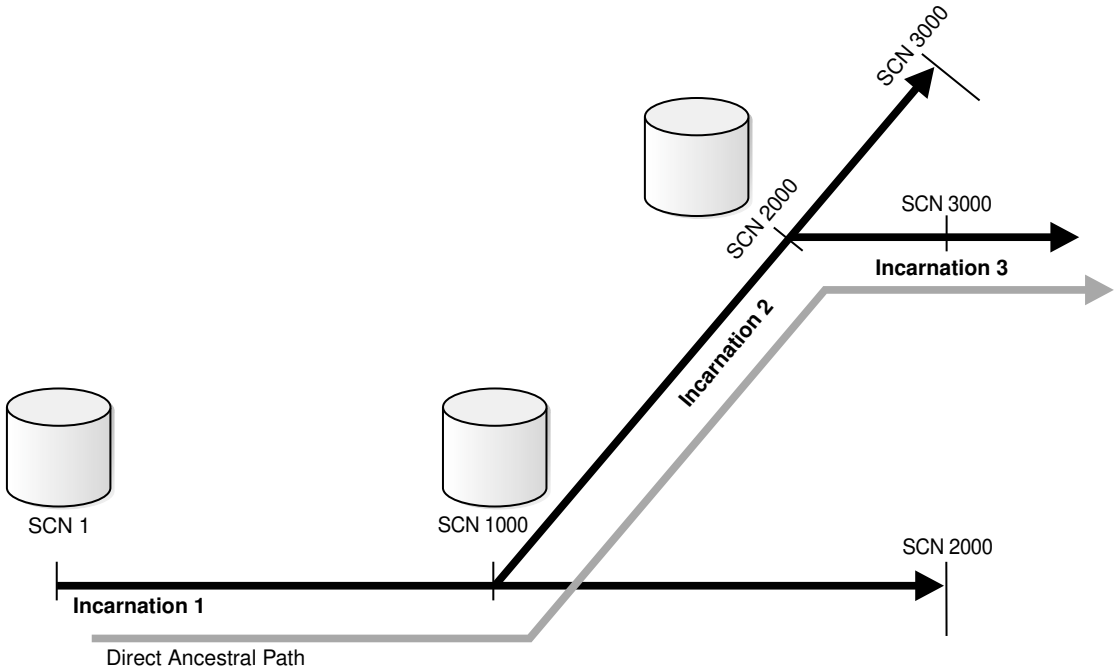
14.3.2.2 Relationship Among Database Incarnations

Database incarnations can stand in the following relationships to each other:

- The current incarnation is the one in which the database is currently operating.
- The incarnation from which the current incarnation branched following an OPEN RESETLOGS operation is the parent incarnation of the current incarnation.
- The parent of the parent incarnation is an ancestor incarnation. Any parent of an ancestor incarnation is also an ancestor of the current incarnation.
- The direct ancestral path of the current incarnation begins with the earliest incarnation and includes only the branches to an ancestor of the current incarnation, the parent incarnation, or the current incarnation.

An incarnation number is used to uniquely tag and identify a stream of redo. Figure 14-1 illustrates a database that goes through several incarnations, each with a different incarnation number.

Figure 14-1 Database Incarnation History



Incarnation 1 of the database starts at SCN 1 and continues through SCN 1000 to SCN 2000. Suppose that at SCN 2000 in incarnation 1, you perform a point-in-time recovery back to SCN 1000, and then open the database with the `RESETLOGS` option. Incarnation 2 now begins at SCN 1000 and continues to SCN 3000. In this example, incarnation 1 is the parent of incarnation 2.

Suppose that at SCN 3000 in incarnation 2, you perform a point-in-time recovery to SCN 2000 and open the database with the `RESETLOGS` option. In this case, incarnation 2 is the parent of incarnation 3. Incarnation 1 is an ancestor of incarnation 3.

When DBPITR or Flashback Database has occurred in database, an SCN can refer to multiple points in time, depending on which incarnation is current. For example, SCN 1500 in Figure 14-1 could refer to an SCN in incarnation 1 or 2.

You can use the `RESET DATABASE TO INCARNATION` command to specify that SCNs are to be interpreted in the frame of reference of a specific database incarnation. The `RESET DATABASE TO INCARNATION` command is required when you use `FLASHBACK`, `RESTORE`, or `RECOVER` to return to an SCN in a noncurrent database incarnation. However, RMAN executes the `RESET DATABASE TO INCARNATION` command implicitly with Flashback, as explained in "Resetting the Database Incarnation in the Recovery Catalog".

See Also:

- "Recovering the Database to an Ancestor Incarnation"
- *Oracle Database Backup and Recovery Reference* for details about the `RESET DATABASE` command

14.3.2.3 About Incarnations of PDBs

A pluggable database (PDB) incarnation is a subincarnation of the multitenant container database (CDB) and is expressed as `(database_incarnation, pdb_incarnation)`.

For example, if the CDB is incarnation `5`, and a PDB is incarnation `3`, then the fully specified incarnation number of the PDB is `(5, 3)`. The initial incarnation of a PDB is 0. Subsequent incarnations are unique but not always sequential numbers.

The `V$PDB_INCARNATION` view contains information about all PDB incarnations. Use the following query to display the current incarnation of a PDB:

```
SELECT PDB_INCARNATION# FROM V$PDB_INCARNATION
   WHERE STATUS = 'CURRENT' AND CON_ID = PDB_container_id;
```

14.3.2.4 About Orphaned Backups

When a database goes through multiple incarnations, some backups can become orphaned backups. Orphaned backups are backups created during incarnations of the database that are not in the direct ancestral path.

Assume the scenario shown in Figure 14-1. If incarnation 3 is the current incarnation, then the following backups are orphaned:

- All backups from incarnation 1 after SCN 1000
- All backups from incarnation 2 after SCN 2000

In contrast, the following backups are not orphaned because they are in the direct ancestral path:

- All backups from incarnation 1 before SCN 1000
- All backups from incarnation 2 before SCN 2000
- All backups from incarnation 3

You can use orphaned backups when you intend to restore the database to an SCN not in the direct ancestral path. RMAN can restore backups from parent and ancestor incarnations and recover to the current time, even across `OPEN RESETLOGS` operations, if a continuous path of archived logs exists from the earliest backups to the point to which you want to recover. If you restore a control file from an incarnation in which the changes represented in the backups had not been abandoned, then RMAN can also restore and recover orphaned backups.

14.3.2.5 About Orphaned PDB Backups

Orphan PDB backups can result when you perform point-in-time recovery on a pluggable database (PDB) and then open the PDB using the `RESETLOGS` option.

After recovering a PDB to a specified point-in-time, when you open the PDB using the `RESETLOGS` option, a new incarnation of the PDB is created. Orphan PDB backups are backups that were created when the SCN or time value was between the SCN or time to which the PDB was recovered and the SCN or time at which the PDB was opened

in RESETLOGS mode. The END_RESETLOGS_SCN column of the V$PDB_INCARNATION view contains the SCN at which the PDB is opened in RESETLOGS mode.

15
Validating Database Files and Backups

Use the RMAN `VALIDATE` command to check the integrity of database files and backups.

15.1 Overview of RMAN Validation

Validation enables you to check the integrity of your backups.

15.1.1 Purpose of RMAN Validation

The main purpose of RMAN validation is to check for corrupt blocks and missing files. You can also use RMAN to determine whether backups can be restored.

You can use the following RMAN commands to perform validation:

- `VALIDATE`
- `BACKUP ... VALIDATE`
- `RESTORE ... VALIDATE`

> **See Also:**
>
> - *Oracle Database Backup and Recovery Reference* for `VALIDATE` syntax
> - *Oracle Database Backup and Recovery Reference* for `RESTORE ... VALIDATE` syntax

15.1.2 Basic Concepts of RMAN Validation

The database prevents operations that result in unusable backup files or corrupted restored data files.

The database automatically does the following:

- Blocks access to data files while they are being restored or recovered
- Permits only one restore operation for each data file at a time
- Ensures that incremental backups are applied in the correct order
- Stores information in backup files to allow detection of corruption
- Checks a block every time it is read or written in an attempt to report a corruption as soon as it has been detected

15.1.2.1 About Checksums and Corrupt Blocks

A corrupt block is a block that has been changed so that it differs from what Oracle Database expects to find.

Block corruptions can be caused by several different failures including, but not limited to the following:

- Faulty disks and disk controllers
- Faulty memory
- Oracle Database software defects

`DB_BLOCK_CHECKSUM` is a database initialization parameter that controls the writing of checksums for the blocks in data files and online redo log files in the database (not backups). If `DB_BLOCK_CHECKSUM` is `typical`, then the database computes a checksum for each block during normal operations and stores it in the header of the block before writing it to disk. When the database reads the block from disk later, it recomputes the checksum and compares it to the stored value. If the values do not match, then the block is corrupt.

By default, the `BACKUP` command computes a checksum for each block and stores it in the backup. The `BACKUP` command ignores the values of `DB_BLOCK_CHECKSUM` because this initialization parameter applies to data files in the database, not backups.

15.1.2.2 About Physical and Logical Block Corruption

In a physical corruption, which is also called a media corruption, the database does not recognize the block at all: the checksum is invalid, the block contains all zeros, or the header and footer of the block do not match.

> **Note:**
>
> By default, the `BACKUP` command computes a checksum for each block and stores it in the backup. If you specify the `NOCHECKSUM` option, then RMAN does not perform a checksum of the blocks when creating the backup.

In a logical corruption, the contents of the block are logically inconsistent. Examples of logical corruption include corruption of a row piece or index entry. If RMAN detects logical corruption, then it logs the block in the alert log and server session trace file.

By default, RMAN does not check for logical corruption. If you specify `CHECK LOGICAL` on the `BACKUP` command, however, then RMAN tests data and index blocks for logical corruption, such as corruption of a row piece or index entry, and log them in the alert log located in the Automatic Diagnostic Repository (ADR). If you use RMAN with the following configuration when backing up or restoring files, then it detects all types of block corruption that are possible to detect:

- In the initialization parameter file of a database, set `DB_BLOCK_CHECKSUM=typical` so that the database calculates data file checksums automatically (not for backups, but for data files in use by the database)

- Do not precede the `BACKUP` command with `SET MAXCORRUPT` so that RMAN does not tolerate any unmarked block corruptions.
- In a `BACKUP` command, do *not* specify the `NOCHECKSUM` option so that RMAN calculates a checksum when writing backups
- In `BACKUP` and `RESTORE` commands, specify the `CHECK LOGICAL` option so that RMAN checks for logical and physical corruption

15.1.2.3 About Limits for Corrupt Blocks in RMAN Backups

You can use the `SET MAXCORRUPT` command to set the total number of unmarked corruptions permitted in a file for RMAN backups. The default is zero, meaning that RMAN does not tolerate unmarked corrupt blocks of any kind.

If the `MAXCORRUPT` limit is exceeded when RMAN encounters an unmarked corrupt block during a backup, then RMAN terminates the backup. Otherwise, RMAN writes the newly detected corrupt block to the backup with a special header indicating that the block is marked corrupt. You can use the `VALIDATE` command to determine which blocks are marked as corrupt and to find any unmarked corrupt blocks.

Because RMAN allows marked corrupt blocks in a backup, and because RMAN can be instructed to allow unmarked corrupt blocks to be marked as corrupt in the backup (when `MAXCORRUPT` is used), it is possible to restore a data file that has several blocks marked as corrupt. If you backup this restored data file (assuming no new corruptions have happened), even without `MAXCORRUPT` setting, the backup succeeds. This is because the previously marked corruptions do not stop RMAN from completing the backup.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for `SET MAXCORRUPT` syntax

15.1.2.4 About Detecting Block Corruption

Oracle Database supports different techniques for detecting, repairing, and monitoring block corruption.

The technique depends on whether the corruption is interblock corruption or intrablock corruption. In intrablock corruption, the corruption occurs within the block itself. This corruption can be either physical or logical. In an interblock corruption, the corruption occurs between blocks and can only be logical.

For example, the `V$DATABASE_BLOCK_CORRUPTION` view records intrablock corruptions, while the Automatic Diagnostic Repository (ADR) tracks all types of corruptions. Table 15-1 summarizes how the database treats different types of block corruption.

Table 15-1 Detection, Repair, and Monitoring of Block Corruption

Response	Intrablock Corruption	Interblock Corruption
Detection	All database utilities detect intrablock corruption, including RMAN (for example, the `BACKUP` command) and the DBVERIFY utility. If a database process can encounter the `ORA-1578` error, then it can detect the corruption and monitor it.	Only DBVERIFY and the `ANALYZE` statement detect interblock corruption.
Tracking	The `V$DATABASE_BLOCK_CORRUPTION` view displays blocks marked corrupt by Oracle Database components such as RMAN commands, `ANALYZE`, SQL queries, and so on. Any process that encounters an intrablock corruption records the block corruption in this view and in ADR.	The database monitors this type of block corruption in ADR.
Repair	Repair techniques include block media recovery, restoring data files, recovering with incremental backups, and block newing. Block media recovery can repair physical corruptions. However, block media recovery may not be able to repair all logical block corruptions. In these cases, alternate recovery methods, such as tablespace point-in-time recovery, or dropping and re-creating the affected objects, may repair the corruption. Any RMAN command that fixes or detects that a block is repaired updates `V$DATABASE_BLOCK_CORRUPTION`. For example, RMAN updates the repository at end of successful block media recovery. If a `BACKUP`, `RESTORE`, or `VALIDATE` command detects that a block is no longer corrupted, then it removes the repaired block from the view.	You must fix interblock corruption using manual techniques such as dropping an object, rebuilding an index, and so on.

See Also:

- Performing Complete Database Recovery
- Performing Block Media Recovery
- *Oracle Database Administrator's Guide* to learn about ADR

15.2 Checking for Block Corruption with the VALIDATE Command

You can use the `VALIDATE` command to manually check for physical and logical corruptions in database files.

This command performs the same types of checks as `BACKUP VALIDATE`, but `VALIDATE` can check a larger selection of objects. For example, you can validate individual blocks with the `VALIDATE DATAFILE ... BLOCK` command.

To specify a copy number for the backup piece being validated, run the `VALIDATE FROM COPY NUMBER` command.

When validating whole files, RMAN checks every block of the input files. If the backup validation discovers previously unmarked corrupt blocks, then RMAN updates the `V$DATABASE_BLOCK_CORRUPTION` view with rows describing the corruptions.

Use `VALIDATE BACKUPSET` when you suspect that one or more backup pieces in a backup set are missing or have been damaged. This command checks every block in a backup set to ensure that the backup can be restored. If RMAN finds block corruption, then it issues an error and terminates the validation. The command `VALIDATE BACKUPSET` enables you to choose which backups to check, whereas the `VALIDATE` option of the `RESTORE` command lets RMAN choose.

To use VALIDATE to check database files and backups:

1. Start RMAN and connect to the root of the target database as a common user with the `SYSBACKUP` or `SYSDBA` privilege, as described in "Making Database Connections with RMAN".
2. Execute the `VALIDATE` command with the desired options.

   For example, to validate all data files and control files (and the server parameter file if one is in use) in the database, execute the following command at the RMAN prompt:

   ```
   RMAN> VALIDATE DATABASE;
   ```

   Alternatively, you can validate a particular backup set by using the form of the command shown in the following example (sample output included).

   ```
   RMAN> VALIDATE BACKUPSET 22;

   Starting validate at 17-AUG-13
   using channel ORA_DISK_1
   allocated channel: ORA_SBT_TAPE_1
   channel ORA_SBT_TAPE_1: SID=89 device type=SBT_TAPE
   channel ORA_SBT_TAPE_1: Oracle Secure Backup
   channel ORA_DISK_1: starting validation of datafile backup set
   channel ORA_DISK_1: reading from backup piece
   /disk1/oracle/work/orcva/RDBMS/backupset/2013_08_16/o1_mf_nnndf_
   TAG20130816T153034_2g774bt2_.bkp
   channel ORA_DISK_1: piece
   handle=/disk1/oracle/work/orcva/RDBMS/backupset/2013_08_16/o1_mf_nnndf_
   ```

```
TAG20130816T153034_2g774bt2_.bkp tag=TAG20130816T153034
channel ORA_DISK_1: restored backup piece 1
channel ORA_DISK_1: validation complete, elapsed time: 00:00:01
Finished validate at 17-AUG-13
```

The following example illustrates how you can check individual data blocks within a data file for corruption.

```
RMAN> VALIDATE DATAFILE 1 BLOCK 10;

Starting validate at 17-AUG-13
using channel ORA_DISK_1
channel ORA_DISK_1: starting validation of datafile
channel ORA_DISK_1: specifying datafile(s) for validation
input datafile file number=00001 name=/disk1/oracle/dbs/tbs_01.f
channel ORA_DISK_1: validation complete, elapsed time: 00:00:01
List of Datafiles
=================
File Status Marked Corrupt Empty Blocks Blocks Examined High SCN
---- ------ -------------- ------------ --------------- ----------
1    OK     0              2            127             481907
  File Name: /disk1/oracle/dbs/tbs_01.f
  Block Type Blocks Failing Blocks Processed
  ---------- -------------- ----------------
  Data       0              36
  Index      0              31
  Other      0              58

Finished validate at 17-AUG-13
```

Make Parallel the Validation of a Data File

If you must validate a large data file, then RMAN can make the work parallel by dividing the file into sections and processing each file section in parallel. If multiple channels are configured or allocated, and if you want the channels to make parallel the validation, then specify the `SECTION SIZE` parameter of the `VALIDATE` command.

If you specify a section size that is larger than the size of the file, then RMAN does not create file sections. If you specify a small section size that would produce more than 256 sections, then RMAN increases the section size to a value that results in exactly 256 sections.

To make parallel the validation of a data file:

1. Start RMAN and connect to the root of the target database as a common user with the `SYSBACKUP` or `SYSDBA` privilege. The target database must be mounted or open.
2. Run `VALIDATE` with the `SECTION SIZE` parameter.

   The following example allocates two channels and validates a large data file. The section size is 1200 MB.

```
RUN
{
  ALLOCATE CHANNEL c1 DEVICE TYPE DISK;
  ALLOCATE CHANNEL c2 DEVICE TYPE DISK;
```

```
  VALIDATE DATAFILE 1 SECTION SIZE 1200M;
}
```

> **See Also:**
>
> - Dividing the Backup of a Large Data File into Sections
> - *Oracle Database Backup and Recovery Reference* to learn about the `VALIDATE` command

15.2.1 Validating PDBs

There are multiple methods to validate PDBs.

Use one of the following techniques to validate PDBs:

- Connect to the root and use the `VALIDATE PLUGGABLE DATABASE` or `RESTORE PLUGGABLE DATABASE VALIDATE` command. This enables you to validate one or more PDBs.

  The following command, when connected to the root, validates the PDBs `hr_pdb` and `sales_pdb`.

  ```
  VALIDATE PLUGGABLE DATABASE hr_pdb, sales_pdb;
  ```

- Connect to the PDB and use the `VALIDATE DATABASE` and `RESTORE DATABASE VALIDATE` commands to validate only one PDB. The commands used here are the same commands that you would use for a whole database.

  The following command, when connected to a PDB, validates the restore of the database.

  ```
  RESTORE DATABASE VALIDATE;
  ```

> **See Also:**
>
> "Making Database Connections with RMAN"

15.3 Validating Database Files with BACKUP VALIDATE

You can also use the `BACKUP VALIDATE` command to perform validation.

This command can perform the following tasks:

- Check data files for physical and logical block corruption
- Confirm that all database files exist and are in the correct locations

When you run `BACKUP VALIDATE`, RMAN reads the files to be backed up in their entirety, as it does during a real backup. RMAN does not, however, actually produce any backup sets or image copies.

You cannot use the `BACKUPSET`, `MAXCORRUPT`, or `PROXY` parameters with `BACKUP VALIDATE`. To validate specific backup sets, run the `VALIDATE` command.

To validate files with the BACKUP VALIDATE command:

1. Start RMAN and connect to a target database and recovery catalog (if used).
2. Run the `BACKUP VALIDATE` command.

   For example, you can validate that all database files and archived logs can be backed up by running a command as shown in the following example. This command checks for physical corruptions only.

```
BACKUP VALIDATE
  DATABASE
  ARCHIVELOG ALL;
```

   To check for logical corruptions in addition to physical corruptions, run the following variation of the preceding command:

```
BACKUP VALIDATE
  CHECK LOGICAL
  DATABASE
  ARCHIVELOG ALL;
```

   In the preceding examples, the RMAN client displays the same output as when really backing up the files. If RMAN cannot back up one or more of the files, then it issues an error message. For example, RMAN may show output similar to the following:

```
RMAN-00571:
===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS
===============
RMAN-00571:
===========================================================
RMAN-03002: failure of backup command at 08/29/2013 14:33:47
ORA-19625: error identifying file /oracle/oradata/trgt/arch/
archive1_6.dbf
ORA-27037: unable to obtain file status
SVR4 Error: 2: No such file or directory
Additional information: 3
```

See Also:

- *Oracle Database Backup and Recovery Reference* for `BACKUP` syntax
- Performing Block Media Recoveryto learn how to repair corrupt blocks discovered by `BACKUP VALIDATE`

15.4 Validating Backups Before Restoring Them

You can run `RESTORE...VALIDATE` to test whether RMAN can restore a specific file or set of files from a backup. RMAN chooses which backups to use.

The database must be mounted or open for this command. You do not have to take data files offline when validating the restore of data files, because validation of backups of the data files only reads the backups and does not affect the production data files.

When validating files on disk or tape, RMAN reads all blocks in the backup piece or image copy. RMAN also validates offsite backups. The validation is identical to a real restore operation except that RMAN does not write output files.

RMAN also allows to specify a copy number for the backup pieces being validated.

> **Note:**
>
> As an additional test measure, you can perform a trial recovery with the `RECOVER ... TEST` command. A trial recovery applies redo in a way similar to normal recovery, but it is in memory only and it rolls back its changes after the trial.

To validate backups with the RESTORE command:

1. Start RMAN and connect to the root of the target database as a common user with the `SYSBACKUP` or `SYSDBA` privilege, as described in "Making Database Connections with RMAN".
2. Run the `RESTORE` command with the `VALIDATE` option.

   This following example illustrates validating the restore of the database and all archived redo logs:

   ```
   RESTORE DATABASE VALIDATE;
   RESTORE ARCHIVELOG ALL VALIDATE;
   ```

   If you do *not* see an RMAN error stack, then skip the subsequent steps. The lack of error messages means that RMAN had confirmed that it can use these backups successfully during a real restore and recovery.
3. If you see error messages in the output and the `RMAN-06026` message, then investigate the cause of the problem. If possible, correct the problem that is preventing RMAN from validating the backups and retry the validation.

   The following error means that RMAN cannot restore one or more of the specified files from your available backups:

   ```
   RMAN-06026: some targets not found - aborting restore
   ```

   The following sample output shows that RMAN encountered a problem reading the specified backup:

   ```
   RMAN-03009: failure of restore command on c1 channel at 12-DEC-12 23:22:30
   ORA-19505: failed to identify file "oracle/dbs/1fafv9gl_1_1"
   ORA-27037: unable to obtain file status
   ```

```
SVR4 Error: 2: No such file or directory
Additional information: 3
```

See Also:

Oracle Database Backup and Recovery Reference to learn about the `RESTORE...VALIDATE` command

16
Performing Complete Database Recovery

Use RMAN to return your database to normal operation after the loss of one or more data files.

16.1 Overview of Complete Database Recovery

Complete recovery returns your database to normal operation after the loss of one or more database files.

This section contains the following topics:

- Purpose of Complete Database Recovery
- Scope of This Chapter
- About Real-time Redo Transport for Recovery Appliance

16.1.1 Purpose of Complete Database Recovery

Complete recovery is recovering a database to the most recent point in time, without the loss of any committed transactions.

This chapter assumes that some or all of your data files are lost or damaged. Typically, this situation is caused by a media failure or accidental deletion. Your goal is to return the database to normal operation by restoring the damaged files from RMAN backups and recovering all database changes.

16.1.2 Scope of This Chapter

The complete recovery operations described in this chapter are subject to certain conditions.

This chapter makes the following assumptions:

- You have lost some or all data files and your goal is to recover all changes, but you have not lost all current control files or an entire online redo log group.
- Your database is using the current server parameter file.
- You have the complete set of archived redo logs and incremental backups needed for recovery of your data file backups. Every data file either has a backup, or a complete set of online and archived redo logs goes back to the creation of a data file with no backup.

  RMAN can handle lost data files without user intervention during restore and recovery. When a data file is lost, the possible cases can be classified as follows:

  – The control file knows about the data file, that is, you backed up the control file after data file creation, but the data file itself is not backed up. If the data file record is in the control file, then `RESTORE` creates the data file in the original location or in a user-specified location. The `RECOVER` command can then apply the necessary logs to the data file.

  - The control file does not have the data file record, that is, you did not back up the control file after data file creation. During recovery, the database detects the missing data file and reports it to RMAN, which creates a data file and continues recovery by applying the remaining logs. If the data file was created in a parent incarnation, then it is created during the restore or recovery phase as appropriate.

- You are not restoring and recovering an encrypted tablespace.

  If you perform media recovery on an encrypted tablespace, then the Oracle keystore must be open when performing media recovery of this tablespace.

- Your database runs in a single-instance configuration.

  Although RMAN can restore and recover databases in Oracle RAC and Data Guard configurations, these scenarios are beyond the scope of this manual.

- You are using the RMAN client rather than Oracle Enterprise Manager.

> **See Also:**
>
> - " Performing Flashback and Database Point-in-Time Recovery" for information about recovering some but not all database changes
> - "Performing Recovery with a Backup Control File" for information about recovering the database when all control files are lost
> - "Restoring the Server Parameter File" for information about restoring a backup server parameter file

16.1.3 About Real-time Redo Transport for Recovery Appliance

Zero Data Loss Recovery Appliance (Recovery Appliance) substantially reduces the window of potential data loss that exists between successive archived redo log backups. You to recover target databases to within a few subseconds of a database failure.

When real-time redo transport is configured for a target database, redo data from the current redo log groups is written asynchronously to Recovery Appliance as it is generated. As the redo stream is received, it is stored as a complete RMAN archived redo log. If the target database crashes, the redo data received from the current redo log group, until the time of the crash, is used during restore and recovery operations.

You must perform certain configuration steps to enable real-time redo transport for the target database.

> **Note:**
>
> Real-time redo transport can be used only with Recovery Appliance.

> **See Also:**
>
> *Zero Data Loss Recovery Appliance Protected Databases Configuration Guide* for the steps to configure real-time redo transport

16.2 Preparing for Complete Database Recovery

You must plan your database restore and recovery strategy based on your recovery goal and which database files have been lost.

This section contains the following topics:

- Identifying the Database Files to Restore or Recover
- Determining the DBID of the Database
- Previewing Backups Used in Restore Operations
- Validating Backups Before Restoring Them
- Restoring Archived Redo Logs Needed for Recovery
- Providing the Password Required to Decrypt Encrypted Backups

16.2.1 Identifying the Database Files to Restore or Recover

The techniques for determining which files require restore or recovery depend upon the type of file that is lost.

This section contains the following topics:

- Identifying a Lost Control File
- Identifying Data Files Requiring Media Recovery

16.2.1.1 Identifying a Lost Control File

The database shuts down immediately when any of the multiplexed control files become inaccessible.

If you try to start the database without a valid control file at each location specified in the `CONTROL_FILES` initialization parameter, then the database reports an error.

Loss of some but not all copies of your control file does not require you to restore a control file from backup. If at least one control file remains intact, then you can either copy an intact copy of the control file over the damaged or missing control file, or update the initialization parameter file so that it does not refer to the damaged or missing control file. After the `CONTROL_FILES` parameter references only present, intact copies of the control file, you can restart your database.

If you restore the control file from backup, then you must perform media recovery of the whole database and then open it with the `OPEN RESETLOGS` option, even if no data files must be restored. This technique is described in "Performing Recovery with a Backup Control File".

16.2.1.2 Identifying Data Files Requiring Media Recovery

The decision about when and how to recover depends on the state of the database and the location of its data files.

Use RMAN or SQL*Plus to identify data files that require media recovery.

> **See Also:**
>
> - Identifying Data Files with RMAN
> - Identifying Data Files with SQL

16.2.1.2.1 Identifying Data Files with RMAN

An easy technique for determining which data files are missing is to run a `VALIDATE DATABASE` command.

Example 16-1 VALIDATE DATABASE

This example validates the database and tries to read all specified data files (sample output included).

```
RMAN> VALIDATE DATABASE;

Starting validate at 20-OCT-13
allocated channel: ORA_DISK_1
channel ORA_DISK_1: SID=90 device type=DISK
could not read file header for datafile 7 error reason 4
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
RMAN-03002: failure of backup command at 10/20/2013 13:05:43
RMAN-06056: could not access datafile 7
```

The output of `VALIDATE DATABASE` command indicates that data file 7 is inaccessible. You can then run the `REPORT SCHEMA` command to obtain the tablespace name and file name for data file 7 as follows (sample output included):

```
RMAN> REPORT SCHEMA;

Report of database schema for database with db_unique_name RDBMS

List of Permanent Datafiles
===========================
File Size(MB) Tablespace           RB segs Datafile Name
---- -------- -------------------- ------- ------------------------
1    450      SYSTEM               ***     +DATAFILE/tbs_01.f
2    86       SYSAUX               ***     +DATAFILE/tbs_ax1.f
3    15       UD1                  ***     +DATAFILE/tbs_undo1.f
4    2        SYSTEM               ***     +DATAFILE/tbs_02.f
5    2        TBS_1                ***     +DATAFILE/tbs_11.f
6    2        TBS_1                ***     +DATAFILE/tbs_12.f
7    2        TBS_2                ***     +DATAFILE/tbs_21.f
```

```
List of Temporary Files
=======================
File Size(MB) Tablespace           Maxsize(MB) Tempfile Name
---- -------- -------------------- ----------- --------------------
1    40       TEMP                 32767       +DATAFILE/tbs_tmp1.f
```

16.2.1.2.2 Identifying Data Files with SQL

Although `VALIDATE DATABASE` is a good technique for determining whether files are inaccessible, you may want to use SQL queries to obtain more detailed information.

To determine whether data files require media recovery:

1. Start SQL*Plus and connect to the target database instance with administrator privileges.
2. Determine the status of the database by executing the following SQL query:

   ```
   SELECT STATUS FROM V$INSTANCE;
   ```

   If the status is `OPEN`, then the database is open. Nevertheless, some data files may require media recovery.
3. Query `V$DATAFILE_HEADER` to determine the status of your data files. Run the following SQL statements to check the data file headers:

   ```
   SELECT FILE#, STATUS, ERROR, RECOVER, TABLESPACE_NAME, NAME
   FROM   V$DATAFILE_HEADER
   WHERE  RECOVER = 'YES'
   OR     (RECOVER IS NULL AND ERROR IS NOT NULL);
   ```

   Each row returned represents a data file that either requires media recovery or has an error requiring a restore. Check the `RECOVER` and `ERROR` columns. `RECOVER` indicates whether a file needs media recovery, and `ERROR` indicates whether there was an error reading and validating the data file header.

   If `ERROR` is not `NULL`, then the data file header cannot be read and validated. Check for a temporary hardware or operating system problem causing the error. If there is no such problem, then you must restore the file or switch to a copy.

   If the `ERROR` column is `NULL` and the `RECOVER` column is `YES`, then the file requires media recovery (and may also require a restore from backup).

   > **Note:**
   >
   > Because `V$DATAFILE_HEADER` only reads the header block of each data file, it does not detect all problems that require the data file to be restored. For example, this view cannot tell whether a data file contains corrupt data blocks.

4. Optionally, query `V$RECOVER_FILE` to list data files requiring recovery by data file number with their status and error information. For example, execute the following query:

   ```
   SELECT FILE#, ERROR, ONLINE_STATUS, CHANGE#, TIME
   FROM   V$RECOVER_FILE;
   ```

> **Note:**
>
> You cannot use `V$RECOVER_FILE` with a control file restored from backup or a control file that was re-created after the time of the media failure affecting the data files. A restored or re-created control file does not contain the information needed to update `V$RECOVER_FILE` accurately.

To find data file and tablespace names, you can also perform joins using the data file number and the `V$DATAFILE` and `V$TABLESPACE` views, as shown in the following example.

```
SELECT r.FILE# AS df#, d.NAME AS df_name, t.NAME AS tbsp_name,
       d.STATUS, r.ERROR, r.CHANGE#, r.TIME
FROM V$RECOVER_FILE r, V$DATAFILE d, V$TABLESPACE t
WHERE t.TS# = d.TS#
AND d.FILE# = r.FILE#;
```

The `ERROR` column identifies the problem for each file requiring recovery.

> **See Also:**
>
> - `V$DATAFILE_HEADER` in *Oracle Database Reference*
> - `V$RECOVER_FILE` in *Oracle Database Reference*
> - `V$DATAFILE` in *Oracle Database Reference*
> - `V$TABLESPACE` in *Oracle Database Reference*

16.2.2 Determining the DBID of the Database

It is recommended that you record the DBID of your database.

In situations requiring the recovery of your server parameter file or control file from autobackup, you must know the DBID. Be sure to record the DBID along with other basic information about your database.

If you do not have a record of the DBID of your database, then you can find it in the following places without opening your database:

- The DBID is used in forming the file name for the control file autobackup. Locate this file, and then refer to "Configuring the Control File Autobackup Format" to determine where the DBID appears in the file name.
- If you have any text files that preserve the output from an RMAN session, then the DBID is displayed by the RMAN client when it starts up and connects to your database. Typical output follows:

```
% rman TARGET /

Recovery Manager: Release 12.1.0.1.0 - Production on Wed Jan 16 17:51:30 2013

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```

```
connected to target database: PROD (DBID=36508508)
```

16.2.3 Previewing Backups Used in Restore Operations

Previewing backups helps you to ensure that all backups required for a restore and recovery operation are available.

You can apply `RESTORE ... PREVIEW` to any `RESTORE` operation to create a detailed list of every backup to be used in the requested `RESTORE` operation, and the necessary target SCN for recovery after the `RESTORE` operation is complete. This command accesses the RMAN repository to query the backup metadata, but does not actually read the backup files to ensure that they can be restored.

As an alternative to `RESTORE ... PREVIEW`, you can use the `RESTORE ... VALIDATE HEADER` command. In addition to listing the files needed for restore and recovery, the `RESTORE ... VALIDATE HEADER` command validates the backup file headers to determine whether the files on disk or in the media management catalog correspond to the metadata in the RMAN repository.

When planning your restore and recovery operation, use `RESTORE ... PREVIEW` or `RESTORE ... VALIDATE HEADER` to ensure that all required backups are available or to identify situations in which you may want to direct RMAN to use or avoid specific backups.

To preview backups to be used in a restore operation:

1. Run a `RESTORE` command with the `PREVIEW` option.

   For example, run one of the following commands:

   ```
   RESTORE DATABASE PREVIEW;
   RESTORE ARCHIVELOG FROM TIME 'SYSDATE-7' PREVIEW;
   ```

   If the report produced by `RESTORE ... PREVIEW` provides too much information, then specify the `SUMMARY` option as shown in the following example:

   ```
   RESTORE DATABASE PREVIEW SUMMARY;
   ```

   If you are satisfied with the output, then stop here. If the output indicates that RMAN will request a backup from a tape that you know is temporarily unavailable, then continue with this procedure. If the output indicates that a backup is stored off-site, then skip to "Recalling Off-site Backups".

2. If needed, use the `CHANGE` command to set the backup status of any temporarily unavailable backups to `UNAVAILABLE`.

   "Updating a Backup to Status AVAILABLE or UNAVAILABLE" explains how to perform this task.

3. Optionally, run `RESTORE ... PREVIEW` again to confirm that the restore operation does not attempt to use unavailable backups.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference*for details on interpreting `RESTORE ... PREVIEW` output, which is in the same format as the output of the `LIST` command

16.2.3.1 Recalling Off-site Backups

An offsite backup is stored in a remote location, such as a secure storage facility, and cannot be restored unless the media manager retrieves the media.

Some media managers provide status information to RMAN about which backups are off-site. Off-site backups are marked as `AVAILABLE` in the RMAN repository even though the media must be retrieved from storage before the backup can be restored. If RMAN attempts to restore an off-site backup, then the restore job fails.

To recall offsite backups:

1. (Optional) Identify the off-site backups using the `RESTORE ... PREVIEW` command. The command output indicates whether backups are stored off-site, as shown by the text after the sample output in the following example.

```
List of Backup Sets
===================


BS Key  Size       Device Type Elapsed Time Completion Time
------- ---------- ----------- ------------ ---------------
9       2.25M      SBT_TAPE    00:00:00     21-MAY-13
        BP Key: 9   Status: AVAILABLE  Compressed: NO  Tag:
TAG20130521T144258
        Handle: 0aii9k7i_1_1   Media: 0aii9k7i_1_1

  List of Archived Logs in backup set 9
  Thrd Seq     Low SCN    Low Time  Next SCN   Next Time
  ---- ------- ---------- --------- ---------- ---------
  1    1       392314     21-MAY-13 392541     21-MAY-13
  1    2       392541     21-MAY-13 392545     21-MAY-13
  1    3       392545     21-MAY-13 392548     21-MAY-13
  1    4       392548     21-MAY-13 395066     21-MAY-13
  1    5       395066     21-MAY-13 395095     21-MAY-13
  1    6       395095     21-MAY-13 395355     21-MAY-13

List of remote backup files
===========================
        Handle: aii9k7i_1_1   Media: 0aii9k7i_1_1
validation succeeded for backup piece
Finished restore at 21-MAY-13
released channel: dev1
```

   You can use `RESTORE ... PREVIEW RECALL` to instruct the media manager to make off-site backups available.

2. If backups are stored offsite, then execute a `RESTORE ... PREVIEW` command with the `RECALL` option.

The following example initiates recall for the off-site archived log backups shown in the previous step (sample output included):

```
RESTORE ARCHIVELOG ALL PREVIEW RECALL;
```

The following sample output indicates that RMAN initiated a recall:

```
List of Backup Sets
===================


BS Key  Size       Device Type Elapsed Time Completion Time
------- ---------- ----------- ------------ ---------------
9       2.25M      SBT_TAPE    00:00:00     21-MAY-13
        BP Key: 9   Status: AVAILABLE  Compressed: NO  Tag: TAG20130521T144258
        Handle: VAULT0aii9k7i_1_1   Media: /tmp,VAULT0aii9k7i_1_1

  List of Archived Logs in backup set 9
  Thrd Seq     Low SCN    Low Time  Next SCN   Next Time
  ---- ------- ---------- --------- ---------- ---------
  1    1       392314     21-MAY-13 392541     21-MAY-13
  1    2       392541     21-MAY-13 392545     21-MAY-13
  1    3       392545     21-MAY-13 392548     21-MAY-13
  1    4       392548     21-MAY-13 395066     21-MAY-13
  1    5       395066     21-MAY-13 395095     21-MAY-13
  1    6       395095     21-MAY-13 395355     21-MAY-13

Initiated recall for the following list of remote backup files
============================================================
        Handle: VAULT0aii9k7i_1_1   Media: /tmp,VAULT0aii9k7i_1_1
validation succeeded for backup piece
Finished restore at 21-MAY-13
released channel: dev1
```

3. Run the `RESTORE ... PREVIEW` command. If necessary, return to the previous step until no backups needed for the restore operation are reported as off-site.

16.2.4 Validating Backups Before Restoring Them

Validating backups determines if the backups are usable.

Although the output of a restore preview operation indicates which backups will be restored, the usability of the backups is not actually verified. You can run RMAN commands to test the availability of usable backups for any `RESTORE` operation, or test the contents of a specific backup for use in `RESTORE` operations. The contents of the backups are actually read and checked for corruption.

Use one of the following validation options:

- `RESTORE ... VALIDATE` to test whether RMAN can restore a specific object from a backup. RMAN chooses which backups to use.
- `VALIDATE BACKUPSET` to test the validity of a backup set that you specify.

> **See Also:**
>
> Validating Database Files and Backups

16.2.5 Restoring Archived Redo Logs Needed for Recovery

RMAN restores archived redo log files from backup automatically as needed to perform recovery.

You can also restore archived redo logs manually to save the time needed to restore these files later during the `RECOVER` command, or if you want to store the restored archived redo log files in some new location. RMAN also gives you the flexibility of restoring all archive redo log files, the current ones, or archive redo log files from a specified previous incarnation of the database.

In case of missing archived redo logs during disaster recovery, RMAN enables you to automate the database recovery till the last available archived redo log, using the `UNTIL AVAILABLE REDO` option. You can use this option only when performing recovery for a whole database. Using this option for a data file, tablespace, or pluggable database is not supported. To perform point-in-time recovery for a pluggable database, you must provide the SCN number as the point of recovery.

By default, RMAN restores archived redo logs with names constructed using the `LOG_ARCHIVE_FORMAT` and the highest `LOG_ARCHIVE_DEST_`*n* parameters of the target database. These parameters are combined in a platform-specific fashion to form the name of the restored archived log.

This section contains the following topics:

- "Restoring Archived Redo Logs to a New Location"
- "Restoring Archived Redo Logs to Multiple Locations"

16.2.5.1 Restoring Archived Redo Logs to a New Location

RMAN enables you to override the default location for restored archived redo log files.

The `SET ARCHIVELOG DESTINATION` command manually stages archived logs to different locations while a database restore operation is occurring. During recovery, RMAN knows where to find the newly restored archived logs; it does not require them to be in the location specified in the initialization parameter file.

To restore archived redo logs to a new location:

1. Start RMAN and connect to a target database, as described in "Making Database Connections with RMAN".
2. Ensure that the database is mounted or open.
3. Perform the following operations within a `RUN` command:
   a. Specify the new location for the restored archived redo logs using `SET ARCHIVELOG DESTINATION`.
   b. Either explicitly restore the archived redo logs or execute commands that automatically restore the logs.

   The following sample `RUN` command explicitly restores all backup archived logs to a new location:

```
RUN
{
  SET ARCHIVELOG DESTINATION TO '/oracle/temp_restore';
```

```
  RESTORE ARCHIVELOG ALL;
  # restore and recover data files as needed
  .
  .
  .
}
```

The following example sets the archived log destination and then uses `RECOVER DATABASE` to restore archived logs from this destination automatically:

```
RUN
{
  SET ARCHIVELOG DESTINATION TO '/oracle/temp_restore';
  RESTORE DATABASE;
  RECOVER DATABASE; # restores and recovers logs automatically
}
```

16.2.5.2 Restoring Archived Redo Logs to Multiple Locations

To manage disk space that is used to contain the restored logs, you can specify restore destinations for archived logs multiple times in one `RUN` block, to distribute restored logs among several destinations.

Note that you cannot specify multiple destinations simultaneously to produce multiple copies of the same log during the restore operation.

The following example restores 300 archived redo logs from backup, distributing them across the directories `/fs1/tmp`, `/fs2/tmp`, and `/fs3/tmp`:

```
RUN
{
  # Set a new location for logs 1 through 100.
  SET ARCHIVELOG DESTINATION TO '/fs1/tmp';
  RESTORE ARCHIVELOG FROM SEQUENCE 1 UNTIL SEQUENCE 100;
  # Set a new location for logs 101 through 200.
  SET ARCHIVELOG DESTINATION TO '/fs2/tmp';
  RESTORE ARCHIVELOG FROM SEQUENCE 101 UNTIL SEQUENCE 200;
  # Set a new location for logs 201 through 300.
  SET ARCHIVELOG DESTINATION TO '/fs3/tmp';
  RESTORE ARCHIVELOG FROM SEQUENCE 201 UNTIL SEQUENCE 300;
  # restore and recover data files as needed
  .
  .
  .
}
```

When you issue a `RECOVER` command, RMAN finds the needed restored archived logs automatically across the destinations to which they were restored, and applies them to the data files.

16.2.6 Providing the Password Required to Decrypt Encrypted Backups

For backups encrypted using certain techniques, you must provide the password that will be used to decrypt these backups.

- Backups that were encrypted using transparent encryption with an auto-login keystore require no intervention to restore, if the keystore is available. RMAN decrypts these backups while restoring their contents.

- For backups that were encrypted using transparent encryption with a password-protected software keystore, the keystore must be available and the keystore password must be provided before the restore operation is performed. Use the `SET` command with the `DECRYPTION WALLET OPEN IDENTIFIED BY` option to specify the password that must be used to open the password-based software keystore.

  The following command sets the keystore password for a password-based software keystore (where *`password`* is a placeholder for the actual password that you enter):

  ```
  SET DECRYPTION WALLET OPEN IDENTIFIED BY password;
  ```

  If a user with the `SYSBACKUP` privilege is performing the recovery, and a password-protected keystore is used, grant the `SYSKM` privilege to this user.

- Backups created using password-mode encryption require the correct password to be entered before they can be restored. Use the `SET DECRYPTION` command to specify the password used to decrypt the backups. If you are restoring from a group of backups that were created with different passwords, then specify all of the required passwords on the `SET DECRYPTION` command. RMAN automatically uses the correct password with each backup set. The `SET` command must be used before executing the `RESTORE` and `RECOVER` commands.

  The following command sets the password used to decrypt backups (where *`password`* is a placeholder for the actual password that you enter):

  ```
  SET DECRYPTION IDENTIFIED BY password;
  ```

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for additional information about performing restore operations using encrypted backups

16.3 Performing Complete Database Recovery

RMAN and Oracle Enterprise Manager Cloud Control (Cloud Control) provide full support for backup and recovery of whole multitenant container database (CDB), only the root, or one or more pluggable databases (PDBs).

During complete recovery RMAN restores one or more data files and then applies all the redo generated after the restored backup.

16.3.1 About Complete Database Recovery

You use the `RESTORE` and `RECOVER` commands to restore and recover the database.

During the recovery, RMAN automatically restores backups of any needed archived redo logs. If backups are stored on a media manager, then channels must be configured in advance or a `RUN` block with `ALLOCATE CHANNEL` commands must be used to enable access to backups stored there.

If RMAN restores archived redo logs to the fast recovery area during a recovery, then it automatically deletes the restored logs after applying them to the data files. Otherwise, you can use the `DELETE ARCHIVELOG` command to delete restored archived redo logs

from disk when they are no longer needed for recovery. For example, you can enter the following command:

```
RECOVER DATABASE DELETE ARCHIVELOG;
```

16.3.1.1 About Restoring Data Files to a Nondefault Location

If you cannot restore data files to their default locations, then you must update the control file to reflect the new locations of the data files.

Use the RMAN `SET NEWNAME` command within a `RUN` command to specify the new file name. Afterward, use a `SWITCH` command to update the names of the data files in the control file. `SWITCH DATAFILE ALL` updates the control file to reflect the new names for all data files for which a `SET NEWNAME` has been issued in a `RUN` command.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for `SWITCH` syntax

16.3.2 Performing Complete Recovery of a Whole CDB

When you recover a whole CDB, you recover the root and all PDBs in a single operation.

After restore and recovery of a whole database, when the database is open, missing temporary tablespaces that were recorded in the control file are re-created with their previous creation size, `AUTOEXTEND`, and `MAXSIZE` attributes. Only temporary tablespaces that are missing are re-created. If a temp file exists at the location recorded in the RMAN repository but has an invalid header, then RMAN does not re-create the temp file.

If the temp files were created as Oracle-managed files, then they are re-created in the current `DB_CREATE_FILE_DEST` location. Otherwise, they are re-created at their previous locations. If RMAN cannot re-create the file due to an I/O error or some other cause, then the error is reported in the alert log and the database open operation continues.

> **See Also:**
>
> "Scope of This Chapter" for some of the assumptions used in the recovery procedures

To recover a whole CDB:

1. Complete the preparation steps that are required for your scenario, as described in "Preparing for Complete Database Recovery".
2. Start RMAN and connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in "Connecting as Target to the Root". Connect to a recovery catalog (if used).

   RMAN displays the database status when it connects: `not started`, `not mounted`, `not open` (when the database is mounted but not open), or `none` (when the database is open).

3. If the database is not mounted, then mount but do not open the database. Use the following command:

   ```
   STARTUP MOUNT;
   ```

4. Use the `SHOW` command to see which channels are preconfigured.

   If the necessary devices and channels are configured, then no action is necessary. Otherwise, you can use the `CONFIGURE` command to configure automatic channels, or include `ALLOCATE CHANNEL` commands within a `RUN` block.

5. Restore and recover the database. This procedure assumes that a fast recovery area is being used.

   Do one of the following:

   - If you are restoring all data files to their original locations, then execute `RESTORE DATABASE` and `RECOVER DATABASE` sequentially at the RMAN prompt.

     For example, enter the following commands if automatic channels are configured:

     ```
     RESTORE DATABASE;
     RECOVER DATABASE;
     ```

     If you manually allocate channels, then you must issue the `RESTORE` and `RECOVER` commands together within a `RUN` block as shown in the following example:

     ```
     RUN
     {
       ALLOCATE CHANNEL c1 DEVICE TYPE sbt;
       RESTORE DATABASE;
       RECOVER DATABASE;
     }
     ```

   - If you are restoring some data files to new locations, then execute `RESTORE DATABASE` and `RECOVER DATABASE` sequentially in a `RUN` command. Use the `SET NEWNAME` command to rename data files.

     The following example restores the database, specifying new names for three of the data files, and then recovers the database:

     ```
     RUN
     {
       SET NEWNAME FOR DATAFILE 2 TO '/disk2/df2.dbf';
       SET NEWNAME FOR DATAFILE 3 TO '/disk2/df3.dbf';
       SET NEWNAME FOR DATAFILE 4 TO '/disk2/df4.dbf';
       RESTORE DATABASE;
       SWITCH DATAFILE ALL;
       RECOVER DATABASE;
     }
     ```

6. Examine the output to see if media recovery was successful. If so, open the database.

For example, enter the following command:

```
ALTER DATABASE OPEN;
```

> **See Also:**
>
> About Restoring Data Files to a Nondefault Location

16.3.3 Performing Complete Recovery of the Root

You might consider recovering only the root if a data corruption or user error occurs that affects only the root.

However, Oracle strongly recommends that you recover all PDBs after recovering the root to prevent metadata inconsistencies among the root and the PDBs. In this case, it might be preferable to perform a complete recovery of the whole CDB.

> **See Also:**
>
> "Scope of This Chapter" for some of the assumptions used in the recovery procedures

To recover the root:

1. Complete the preparation steps that are required for your recovery scenario, as described in "Preparing for Complete Database Recovery".
2. Start RMAN and connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in "Connecting as Target to the Root".
3. Place the CDB in mounted mode.

   ```
   SHUTDOWN IMMEDIATE;
   STARTUP MOUNT;
   ```

4. (Optional) Use the `CONFIGURE` command to configure the default device type and automatic channels.
5. Restore and recover the root with the following commands:

   ```
   RESTORE DATABASE ROOT;
   RECOVER DATABASE ROOT;
   ```

6. Examine the output to see if media recovery was successful. If so, proceed to the next step.
7. (Strongly recommended) Recover all PDBs, including the CDB seed.
   a. Issue the `RESTORE PLUGGABLE DATABASE` and `RECOVER PLUGGABLE DATABASE` commands.

      The following example recovers the PDBs `sales` and `hr`:

      ```
      RESTORE PLUGGABLE DATABASE 'PDB$SEED', sales, hr;
      RECOVER PLUGGABLE DATABASE 'PDB$SEED', sales, hr;
      ```

b. Examine the output to see if media recovery was successful. If so, proceed to the next step.

8. Open the CDB and all PDBs.

```
ALTER DATABASE OPEN;
ALTER PLUGGABLE DATABASE ALL OPEN;
```

> **See Also:**
>
> Performing Complete Recovery of a Whole CDB

16.3.4 Performing Complete Recovery of a Tablespace in a CDB

Use the `RESTORE` and `RECOVER` commands with the `TABLESPACE` option to perform complete recovery of a tablespace in the CDB root.

Scope of This Chapter for some of the assumptions used in the recovery procedures

In the basic scenario, the database is open, and some but not all of the data files are damaged. You want to restore and recover the damaged tablespace while leaving the database open so that the rest of the database remains available. This scenario assumes that database `TRGT` has lost tablespace `USERS`.

To restore and recover a tablespace in the root:

1. Complete the preparation steps that are required for your recovery scenario as described in "Preparing for Complete Database Recovery".
2. Start RMAN and connect to the root of the target database as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in "Making Database Connections with RMAN". Connect to a recovery catalog (if used).
3. If the database is open, then take the tablespace requiring recovery offline.

   For example, enter the following command to take `USERS` offline:

   ```
   ALTER TABLESPACE users OFFLINE IMMEDIATE;
   ```

4. Use the `SHOW` command to see which channels are preconfigured.

   For example, enter the following command (sample output is included):

   ```
   SHOW ALL;

   RMAN configuration parameters for database with db_unique_name
   PROD1 are:
   .
   .
   .
   CONFIGURE DEFAULT DEVICE TYPE TO DISK; # default
   CONFIGURE DEVICE TYPE DISK PARALLELISM 1 BACKUP TYPE TO BACKUPSET;
   # default
   CONFIGURE DEVICE TYPE SBT_TAPE PARALLELISM 1 BACKUP TYPE TO
   BACKUPSET; # default
   ```

```
CONFIGURE CHANNEL DEVICE TYPE 'SBT_TAPE' PARMS  "SBT_
LIBRARY=/usr/local/oracle/backup/lib/libobk.so";
```

If the necessary devices and channels are configured, then no action is necessary. Otherwise, you can use the `CONFIGURE` command to configure automatic channels, or include `ALLOCATE CHANNEL` commands within a `RUN` block.

5. Restore and recover the tablespace. Do one of the following:
   - If you are restoring data files to their original locations, then run the `RESTORE TABLESPACE` and `RECOVER TABLESPACE` commands at the RMAN prompt.

     For example, enter the following command if automatic channels are configured (sample output included):

```
RMAN> RESTORE TABLESPACE users;

Starting restore at 20-JUN-13
allocated channel: ORA_DISK_1
channel ORA_DISK_1: SID=37 device type=DISK
allocated channel: ORA_SBT_TAPE_1
channel ORA_SBT_TAPE_1: SID=38 device type=SBT_TAPE
channel ORA_SBT_TAPE_1: Oracle Secure Backup

channel ORA_DISK_1: starting datafile backup set restore
channel ORA_DISK_1: specifying datafile(s) to restore from backup set
channel ORA_DISK_1: restoring datafile 00012 to /disk1/oracle/dbs/
users01.f
channel ORA_DISK_1: restoring datafile 00013 to /disk1/oracle/dbs/
users02.f
channel ORA_DISK_1: restoring datafile 00021 to /disk1/oracle/dbs/
users03.f
channel ORA_DISK_1: reading from backup piece
 /disk1/oracle/work/orcva/TKRM/backupset/2013_06_20/o1_mf_nnndf_
TAG20130620T105435_29jflwor_.bkp
channel ORA_DISK_1: piece
 handle=/disk1/oracle/work/orcva/TKRM/backupset/2013_06_20/
o1_mf_nnndf_
TAG20130620T105435_29jflwor_.bkp tag=TAG20130620T105435
channel ORA_DISK_1: restored backup piece 1
channel ORA_DISK_1: restore complete, elapsed time: 00:00:01
Finished restore at 20-JUN-13


RMAN> RECOVER TABLESPACE users;

Starting recover at 20-JUN-13
using channel ORA_DISK_1
using channel ORA_SBT_TAPE_1

starting media recovery

archived log for thread 1 with sequence 27 is on disk as file
 /disk1/oracle/work/orcva/TKRM/archivelog/2013_06_20/
o1_mf_1_27_29jjmtc9_.arc
archived log for thread 1 with sequence 28 is on disk as file
```

```
 /disk1/oracle/work/orcva/TKRM/archivelog/2013_06_20/
o1_mf_1_28_29jjnc5x_.arc
.
.
.
channel ORA_DISK_1: starting archived log restore to default
destination
channel ORA_DISK_1: restoring archived log
archived log thread=1 sequence=5
channel ORA_DISK_1: restoring archived log
archived log thread=1 sequence=6
channel ORA_DISK_1: restoring archived log
archived log thread=1 sequence=7
.
.
.
channel ORA_DISK_1: reading from backup piece
 /disk1/oracle/work/orcva/TKRM/backupset/2013_06_20/o1_mf_annnn_
TAG20130620T113128_29jhr197_.bkp
channel ORA_DISK_1: piece
 handle=/disk1/oracle/work/orcva/TKRM/backupset/2013_06_20/
o1_mf_annnn_
TAG20130620T113128_29jhr197_.bkp tag=TAG20130620T113128
channel ORA_DISK_1: restored backup piece 1
channel ORA_DISK_1: restore complete, elapsed time: 00:00:02
archived log file name=/disk1/oracle/work/orcva/TKRM/archivelog/
2013_06_
20/o1_mf_1_5_29jkdvjq_.arc thread=1 sequence=5
channel default: deleting archived log(s)
archived log file name=/disk1/oracle/work/orcva/TKRM/archivelog/
2013_06_
20/o1_mf_1_5_29jkdvjq_.arc RECID=91 STAMP=593611179
archived log file name=/disk1/oracle/work/orcva/TKRM/archivelog/
2013_06_
20/o1_mf_1_6_29jkdvbz_.arc thread=1 sequence=6
channel default: deleting archived log(s)
.
.
.
media recovery complete, elapsed time: 00:00:01
Finished recover at 20-JUN-13
```

- If you are restoring some data files to new locations, then execute `RESTORE TABLESPACE` and `RECOVER TABLESPACE` in a `RUN` command. Use the `SET NEWNAME` command to rename data files, as described in "About Restoring Data Files to a Nondefault Location".

  The following example restores the data files in tablespace `users` to a new location, and then performs recovery. Assume that the old data files were stored in the `/disk1` path and the new ones will be stored in the `/disk2` path.

```
RUN
{
  # specify the new location for each datafile
  SET NEWNAME FOR DATAFILE '/disk1/oracle/dbs/users01.f' TO
```

```
                              '/disk2/users01.f';
  SET NEWNAME FOR DATAFILE '/disk1/oracle/dbs/users02.f' TO
                              '/disk2/users02.f';
  SET NEWNAME FOR DATAFILE '/disk1/oracle/dbs/users03.f' TO
                              '/disk2/users03.f';
  RESTORE TABLESPACE users;
  SWITCH DATAFILE ALL;   # update control file with new file names
  RECOVER TABLESPACE users;
}
```

6. Examine the output to see if recovery was successful. If so, bring the recovered tablespace back online.

   For example, enter the following command:

   ```
   ALTER TABLESPACE users ONLINE;
   ```

16.3.5 Performing Complete Recovery of PDBs with RMAN

You can perform complete recovery of one or more PDBs without affecting operations of other open PDBs.

> **See Also:**
>
> "Scope of This Chapter" for some of the assumptions used in the recovery procedures

There are two approaches to recovering a PDB with RMAN:

- Connect to the root and then use the `RESTORE PLUGGABLE DATABASE` and `RECOVER PLUGGABLE DATABASE` commands. This approach enables you to recover multiple PDBs with a single command.
- Connect to the PDB and use the `RESTORE DATABASE` and `RECOVER DATABASE` commands. This approach recovers only a single PDB and enables you to use the same commands used for recovering databases.

To recover one or more PDBs while connected to the root:

1. Complete the preparation steps that are required for your recovery scenario, as described in "Preparing for Complete Database Recovery".
2. Start RMAN. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege and to a recovery catalog (if used), as described in "Connecting as Target to the Root".
3. Close the PDBs that you want to recover.

   ```
   ALTER PLUGGABLE DATABASE sales, hr CLOSE;
   ```

   If any data files are missing, an error occurs and you cannot close a PDB. You must then connect to the PDB to which the missing data file belongs, take the missing data file offline, and then close the PDB.

   The following command takes the data file 12 offline:

```
ALTER PLUGGABLE DATABASE DATAFILE 12 OFFLINE;
```

> **Note:**
>
> If the data files that store the `SYSTEM` tablespace of a PDB are missing, then follow the recovery steps that are described in "Performing Complete Recovery of Tablespaces or Data Files in a PDB with RMAN".

4. (Optional) Use the `CONFIGURE` command to configure the default device type and automatic channels.
5. Issue the `RESTORE PLUGGABLE DATABASE` and `RECOVER PLUGGABLE DATABASE` commands.

   The following example recovers the CDB seed, `PDB$SEED`, and the PDBs `sales` and `hr`:

   ```
   RESTORE PLUGGABLE DATABASE 'pdb$seed', sales, hr;
   RECOVER PLUGGABLE DATABASE 'pdb$seed', sales, hr;
   ```

6. If any data files were taken offline in Step 2, make these data files online.

   Connect to the PDB to which the missing data file belongs and then make the data file online. The following command makes the data file 12 online:

   ```
   ALTER DATABASE DATAFILE 12 ONLINE;
   ```

7. Examine the output to see if media recovery was successful. If so, open the PDBs.

   ```
   ALTER PLUGGABLE DATABASE sales, hr OPEN;
   ```

To connect to and recover one PDB:

1. Complete the preparation steps that are required for your recovery scenario, as described in "Preparing for Complete Database Recovery".
2. Start RMAN. Connect to the PDB as a local user with the `SYSDBA` system privilege and to a recovery catalog (if used), as described in "Connecting as Target to a PDB".
3. Close the PDB.

   ```
   ALTER PLUGGABLE DATABASE CLOSE;
   ```

   If any data files are missing, an error occurs and you cannot close the PDB. You must take the missing data file offline and then close the PDB.

   The following command takes the data file 12 offline:

   ```
   ALTER DATABASE DATAFILE 12 OFFLINE;
   ```

   > **Note:**
   >
   > If the data files that store the `SYSTEM` tablespace of a PDB are missing, then follow the recovery steps described in "Performing Complete Recovery of Tablespaces or Data Files in a PDB with RMAN".

4. (Optional) Use the `CONFIGURE` command to configure the default device type and automatic channels.

5. Issue the `RESTORE DATABASE` and `RECOVER DATABASE` commands.

```
RESTORE DATABASE;
RECOVER DATABASE;
```

6. If any data files were taken offline in Step 2, make these data files online.

   The following command makes the data file 12 online:

```
ALTER DATABASE DATAFILE 12 ONLINE;
```

7. Open the PDB.

```
ALTER PLUGGABLE DATABASE OPEN;
```

16.3.6 Performing Complete Recovery of PDBs with Cloud Control

Enterprise Manager Cloud Control (Cloud Control) provides an interface to recover PDBs.

To recover one or more PDBs with Cloud Control:

1. From the Database Home page, select **Backup & Recovery** from the Availability menu, and then select **Perform Recovery**.
2. If you have not logged in to the database previously, the Database Login page is displayed. Log in to the database using Named or New credentials and then click **Login**.

   Cloud Control displays the Perform Recovery page.
3. From the User Directed Recovery section, select **Pluggable Databases** from the Recovery Scope drop-down list, and then click **Recover**.

   The Perform Pluggable Database Recovery Wizard appears and displays the Pluggable Databases page.
4. Select the PDBs that you want to recover by following these steps:
   a. Click **Add** to display the Available Pluggable Databases page.
   b. From the list of PDBs shown, click in the **Select** column to designate the PDBs that you want to recover. Optionally, you can click **Select All** to turn on the Select option for all available PDBs. Click **Select None** to deselect all PDBs.
   c. Click the **Select** button to return to the Pluggable Databases page.
   d. Optionally, you can remove PDBs from the table by clicking in the **Select** column for each PDB that you want to remove and then clicking **Remove**.
5. Complete the wizard by navigating through the remainder of the pages to recover the PDBs. For more information about each page of the wizard, click **Help**.

> **See Also:**
>
> "Accessing the Database Home Page Using Cloud Control"

16.3.7 Performing Complete Recovery of Tablespaces or Data Files in a PDB with RMAN

Because tablespaces in different PDBs can have the same name, to eliminate ambiguity, you must connect directly to a PDB to recover one or more of its tablespaces. In contrast, because data file numbers and paths are unique across the CDB, you can connect either to the root or to a PDB when recovering PDB data files.

If you connect to the root, you can recover data files from multiple PDBs with a single command. If you connect to a PDB, you can recover only data files in that PDB.

To restore and recover a non-SYSTEM tablespace in a PDB:

1. Complete the preparation steps that are required for your recovery scenario, as described in "Preparing for Complete Database Recovery".
2. Start RMAN. Connect to a target database and to a recovery catalog (if used), as described in "Making Database Connections with RMAN."
3. If the database is open, then take the tablespace requiring recovery offline.

   For example, enter the following command to take the `USERS` tablespace offline:

   ```
   ALTER TABLESPACE users OFFLINE IMMEDIATE;
   ```

4. Use the `SHOW` command to see which channels are preconfigured.

   If the necessary devices and channels are configured, then no action is necessary. Otherwise, you can use the `CONFIGURE` command to configure automatic channels, or include `ALLOCATE CHANNEL` commands within a `RUN` block.

5. Restore and recover the tablespace. Do one of the following:
   - If you are restoring data files to their original locations, then run the `RESTORE TABLESPACE` and `RECOVER TABLESPACE` commands at the RMAN prompt.

     For example, enter the following commands if automatic channels are configured:

     ```
     RMAN> RESTORE TABLESPACE users;
     RMAN> RECOVER TABLESPACE users;
     ```

   - If you are restoring some data files to new locations, then execute `RESTORE TABLESPACE` and `RECOVER TABLESPACE` in a `RUN` command. Use the `SET NEWNAME` command to rename data files.
6. Examine the output to see if recovery was successful. If so, bring the recovered tablespace back online.

   For example, enter the following command:

   ```
   ALTER TABLESPACE users ONLINE;
   ```

To restore and recover the SYSTEM tablespace in a PDB:

1. Complete the preparation steps that are required for your recovery scenario, as described in "Preparing for Complete Database Recovery".
2. Start RMAN. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege and to a recovery catalog (if used), as described in "Connecting as Target to the Root".
3. Shut down the CDB and restart it in mount mode.

```
SHUTDOWN IMMEDIATE;
STARTUP MOUNT;
```

4. Restore and recover the data files that store the `SYSTEM` tablespace of the affected PDB.

```
RESTORE DATAFILE 2,3;
RECOVER DATAFILE 2,3;
```

5. Open all the PDBs in the CDB.

```
ALTER PLUGGABLE DATABASE ALL OPEN READ WRITE;
```

To recover non-SYSTEM data files in a PDB:

1. Complete the preparation steps that are required for your recovery scenario, as described in "Preparing for Complete Database Recovery".
2. Do one of the following:
   - Start RMAN. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege and to a recovery catalog (if used), as described in "Connecting as Target to the Root".
   - Start RMAN. Connect to the PDB as a local user with the `SYSDBA` privilege and to a recovery catalog (if used), as described in "Connecting as Target to a PDB".
3. Issue the `RESTORE DATAFILE` and `RECOVER DATAFILE` commands.

```
RESTORE DATAFILE 10, 13;
RECOVER DATAFILE 10, 13;
```

> **See Also:**
>
> "About Restoring Data Files to a Nondefault Location"

16.3.8 Performing Complete Recovery of Tablespaces in a PDB with Cloud Control

Oracle Enterprise Manager Cloud Control (Cloud Control) provides an interface to recover tablespaces within a PDB.

To perform complete recovery of tablespaces in a PDB with Cloud Control:

1. From the Database Home page, select **Backup & Recovery** from the Availability menu, and then select **Perform Recovery**.

2. If you have not logged in to the database previously, the Database Login page is displayed. Log in to the database using Named or New credentials and then click **Login**.

   Cloud Control displays the Perform Recovery page.

3. From the User Directed Recovery section, select **Tablespaces** from the Recovery Scope drop-down list, and then click **Recover**.
4. On the Perform Object Level Recovery:Point-in-time page, ensure that **Recover to the current time** is selected, and click **Next**.
5. On the Perform Object Level Recovery: Tablespaces page, select the tablespaces that you want to recover by completing these steps:
   a. Click **Add** to display the Available Tablespaces page.

      The Search Results table shows all available tablespaces and includes the name of the PDB to which each tablespace belongs.

   b. Click **Select** to designate the tablespaces that you want to recover. Optionally, you can click **Select All** to turn on the Select option for all available tablespaces. Click **Select None** to deselect all tablespaces.
   c. Click the **Select** button to return to the Perform Object Level Recovery: Tablespaces page.
   d. Optionally, you can remove tablespaces from the table by turning on the Select option for each tablespace that you want to remove and then clicking **Remove**.
6. Click **Next** to move to the next step in the wizard.
7. Complete the wizard by navigating through the remainder of the pages to recover the PDB tablespace. For more information about each page of the wizard, click **Help**.

> **See Also:**
>
> "Accessing the Database Home Page Using Cloud Control"

16.3.9 Performing Complete Recovery After Switching to a Copy

You can recover a database by switching to image copies of inaccessible data files. This technique takes less time than traditional restore and recovery because no backups need to be restored.

If you have image copies of the inaccessible data files in the fast recovery area, then you can use the `SWITCH DATAFILE ... TO COPY` command to point the control file at the data file copy and then use `RECOVER` to recover lost changes. You can also use the `SWITCH DATABASE TO COPY` command to point the control file at a copy of the whole database.

> **Note:**
>
> A `SWITCH TABLESPACE ... TO COPY` command is also supported for cases when all data files in a tablespace are lost and copies of all data files exist. The same restriction exists for `SWITCH DATABASE ... TO COPY`.

16.3.9.1 Performing Recovery After Switching to a Data File Copy

When one or more data files are damaged, you can perform recovery by switching to existing image copies of the damaged data files.

"Scope of This Chapter" for some of the assumptions used in the recovery procedures

In the basic scenario, the database is open, and some but not all of the data files are damaged. During the course of the day, a data file goes missing due to storage failure. You must repair this file, but cannot afford the time to do a restore and recovery from a backup. You decide to use a recent image copy backup as the new file, thus eliminating restore time. This scenario assumes that database `trgt` has lost data file 4.

To switch to a data file copy and perform recovery:

1. Complete the preparation steps required for your scenario, as described in "Preparing for Complete Database Recovery".
2. Start RMAN and connect to a target database, as described in "Making Database Connections with RMAN".
   - To switch to a data file in a CDB, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
   - To switch to a data file in a PDB, you can either connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege or to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.
3. If the database is open, then take the tablespace requiring recovery offline.

   Enter the following command to take data file 4 offline:

   ```
   ALTER DATABASE DATAFILE 4 OFFLINE;
   ```

4. Switch the offline data file to the latest copy.

   Enter the following command to point the control file to the latest image copy of data file 4:

   ```
   SWITCH DATAFILE 4 TO COPY;
   ```

5. Recover the data file with the `RECOVER DATAFILE` command.

   Enter the following command:

   ```
   RECOVER DATAFILE 4;
   ```

RMAN automatically restores archived redo logs and incremental backups. Because the database uses a fast recovery area, RMAN automatically deletes them after they have been applied.

6. Examine the output to see if recovery was successful. If so, bring the recovered data file back online.

   Enter the following command to bring data file 4 online:

   ```
   ALTER DATABASE DATAFILE 4 ONLINE;
   ```

16.3.9.2 Performing Complete Recovery After Switching to a Database Copy

You can perform complete database recovery by switching to image copies of the damaged data files instead of restoring these data files.

"Scope of This Chapter" for some of the assumptions used in the recovery procedures

In this scenario, the database is shut down, and all of the data files are damaged. You have image copies of all the damaged data files and decide to use the existing image copies as the new data files, thus eliminating restore time.

To switch to a database copy and perform recovery:

1. Complete the preparation steps required for your scenario, as described in "Preparing for Complete Database Recovery".
2. Start RMAN and connect to the root of a target database, as described in "Making Database Connections with RMAN".
   - To switch to a CDB, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
   - To switch to a PDB, you can either connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege or to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.
3. Mount the database.
4. Switch the database to the latest copy.

   To point the control file to the latest image copy of the CDB, enter the following command:

   ```
   SWITCH DATABASE TO COPY;
   ```

   To switch a PDB to the latest copy, the command used depends on how the RMAN connection was established. If you connected to the root, use the `SWITCH PLUGGABLE DATABASE TO COPY` command. If you connected to the PDB, use the `SWITCH DATABASE TO COPY` command.
5. Recover the database.
   - Enter the following command to recover a CDB:

     ```
     RECOVER DATABASE;
     ```

RMAN automatically restores archived redo logs and incremental backups. Because the database uses a fast recovery area, RMAN automatically deletes them after they have been applied.

- To recover a PDB when connected to the root, use the `RECOVER PLUGGABLE DATABASE` command, To recover a PDB when connected to the PDB, use the `RECOVER DATABASE` command.

6. Examine the output to see if recovery was successful. If so, open the database.

   Enter the following command to open the CDB:

   ```
   ALTER DATABASE OPEN;
   ```

   Enter the following command, when connected to the root, to open a PDB:

   ```
   ALTER PLUGGABLE DATABASE OPEN;
   ```

16.4 Performing Complete Recovery Using Preplugin Backups

Use the `RECOVER` command to perform complete recovery using preplugin backups.

This section contains the following topics:

- About Complete Recovery of PDBs Using PrePlugin Backups
- Performing Complete Recovery of PDBs Using Preplugin Backups
- Example: Performing Complete Recovery of PDBs Using Preplugin Backups

16.4.1 About Complete Recovery of PDBs Using PrePlugin Backups

Preplugin backups are used to restore and recover a PDB to its state at a time in the past that was before the PDB was plugged in to the current CDB.

To perform complete recovery using preplugin backups, use the `FROM PREPLUGIN` clause of the `RESTORE` and `RECOVER` commands. RMAN restores data files using preplugin backups and then uses the preplugin incremental backups and archived redo logs to recover data files to a point in time that is before the PDB was plugged in to the destination CDB.

The metadata for the preplugin backups is migrated to the destination CDB when you unplug the PDB or use the `DBMS_PDB.EXPORTRMANBACKUP()` procedure with non-CDBs. Preplugin backups are not automatically migrated to the destination CDB. You must ensure that the destination CDB has access to the backups created on the source database.

The location to which RMAN restores preplugin archived redo logs depends on whether a fast recovery area is configured in the destination CDB. If a fast recovery area is not the default destination for archived redo log files in the CDB, then RMAN restores preplugin archived redo logs to the fast recovery area. If the fast recovery area is the default destination for archived redo log files in the CDB, then you must use the `SET ARCHIVELOG DESTINATION` command to specify a location for the preplugin archived redo log files.

> **See Also:**
>
> - About Preplugin Backups
> - Performing Complete Recovery of PDBs Using Preplugin Backups
> - Example: Performing Complete Recovery of PDBs Using Preplugin Backups

16.4.2 Performing Complete Recovery of PDBs Using Preplugin Backups

RMAN performs complete recovery of a PDB using preplugin backups. These preplugin backups were created on a source non-CDB or a source CDB before the PDB was migrated to the current target CDB.

Preplugin backups must include all archived redo logs that are required to recover the PDB.

To perform complete recovery using preplugin backups of a non-CDB, the non-CDB backups must be created using the procedure described in "Creating a Preplugin Backup of the Whole Database".

> **Note:**
>
> You cannot recover a PDB using preplugin backups if the preplugin backup was created before the PDB was opened with the `RESETLOGS` option.

To perform complete recovery of a PDB using preplugin backups:

1. Ensure that the prerequisites for performing recovery using preplugin backups described in *Oracle Database Backup and Recovery Reference* are met.
2. Ensure that the shared location containing preplugin backups of the PDB is accessible to the destination host.

   > **Note:**
   >
   > Using shared disk is the only method that is supported to share prelugin backups with the destination host. Manually copying the required backups to the destination is not supported.

3. Connect to the target CDB using one of the following techniques:
   - Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege
   - Connect to the PDB that needs to be recovered as a user with the `SYSDBA` or `SYSBACKUP` privilege.

See "Making Database Connections with RMAN".

4. Close the PDB that is being recovered. For example:

```
ALTER PLUGGABLE DATABASE pdb1 CLOSE IMMEDIATE;
```

5. Set the current container to the PDB that is being recovered. For example:

```
SET PREPLUGIN CONTAINER=pdb1;
```

6. (Optional) To view the preplugin backups, use the `LIST` command.

```
LIST PREPLUGIN BACKUP OF PLUGGABLE DATABASE pdb1;
LIST PREPLUGIN ARCHIVELOG ALL;
```

7. (Optional) To catalog any backups that were not stored in the source database control file before migration, use the `CATALOG...PREPLUGIN` command.

   The `ROOT` must be open in read-write mode for cataloging backups. The following command catalogs the specified archived redo log:

```
CATALOG PREPLUGIN ARCHIVELOG '/disk1/o1_mf_annnn_dmy2r45h_.bkp';
```

8. Restore the PDB using preplugin backups.

```
RESTORE PLUGGABLE DATABASE pdb1 FROM PREPLUGIN;
```

9. Recover the PDB using preplugin backups.

   The `RECOVER ... FROM PREPLUGIN` command performs preplugin recovery. If the destination CDB uses the fast recovery area as the archivelog destination, then use `SET ARCHIVELOG DESTINATION` to specify the destination to which preplugin backups must be recovered.

```
RUN
{
   SET ARCHIVELOG DESTINATION TO '/disk1/alog_dest';
   RECOVER PLUGGABLE DATABASE pdb1 FROM PREPLUGIN;
}
```

10. Restore any new data files that were added after `pdb1` was plugged in to the destination CDB and then perform normal recovery of `pdb1`.

```
   RESTORE PLUGGABLE DATABASE pdb1 SKIP PREPLUGIN;
   RECOVER PLUGGABLE DATABASE pdb1;
```

11. Open the recovered PDB.

    The following command opens the PDB called `pdb1`.

```
ALTER PLUGGABLE DATABASE pdb1 OPEN;
```

See Also:

- Example: Performing Complete Recovery of PDBs Using Preplugin Backups
- About Complete Recovery of PDBs Using PrePlugin Backups

16.4.3 Example: Performing Complete Recovery of PDBs Using Preplugin Backups

This example performs complete recovery of a PDB `my_pdb` in the destination CDB `prod_cdb` using preplugin backups.

The PDB `my_pdb` was unplugged from the CDB `test_cdb` and then plugged in to the CDB `prod_cdb`. When this PDB was unplugged from `test_cdb`, its metadata was stored in the file `mypdb.xml`. This metadata was used to plug `my_pdb` into `prod_cdb`. The backups of `my_pdb` that were created in the source CDB `test_cdb` are stored in the shared location `/oracle/database/backups`. The CDB `prod_cdb` uses the fast recovery area to store archived redo log files.

1. Ensure that the prerequisites for performing recovery using preplugin backups described in *Oracle Database Backup and Recovery Reference* are met.
2. Set up a shared disk to share the preplugin backups created on the source with the destination host.
3. Start RMAN and connect to the root of `prod_cdb` as a common user with the `SYSBABKUP` privilege.

   The following command uses password file authentication to connect to the root as a common user with the `SYSBACKUP` privilege.

   ```
   CONNECT TARGET sbu@prod_cdb as SYSBACKUP;
   ```

4. Close the PDB `my_pdb`.

   ```
   ALTER PLUGGABLE DATABASE my_pdb CLOSE IMMEDIATE;
   ```

5. Set the preplugin container to the PDB that is being recovered.

   ```
   SET PREPLUGIN CONTAINER=my_pdb;
   ```

6. Restore `my_pdb` using the preplugin backups that were created on the source CDB `test_cdb` before `my_pdb` was plugged in to `prod_cdb`.

   ```
   RESTORE PLUGGABLE DATABASE my_pdb FROM PREPLUGIN;
   ```

7. Recover `my_pdb` using preplugin backups. Because the fast recovery area is configured to store archived redo log files for the destination CDB, you must specify an alternate destination for the restored preplugin archived redo log files.

```
RUN
{
   SET ARCHIVELOG DESTINATION TO '/disk1/arc_dest/';
   RECOVER PLUGGABLE DATABASE my_pdb FROM PREPLUGIN;
}
```

8. If any data files were added to `my_pdb` after it was plugged in to `prod_cdb`, then restore these data files and then recover the PDB `my_pdb`.

```
RESTORE PLUGGABLE DATABASE my_pdb SKIP PREPLUGIN;
RECOVER PLUGGABLE DATABASE my_pdb;
```

9. Open the PDB `my_pdb`.

```
ALTER PLUGGABLE DATABASE my_pdb OPEN;
```

16.5 Performing Complete Recovery of Application Containers

RMAN enables you to use the `RESTORE` and `RECOVER` commands to perform complete recovery of the application containers without impacting the other containers in the CDB.

See Also:

- Performing Complete Recovery of the Application Root
- Performing Complete Recovery of the Application Root and Application PDBs
- Performing Complete Recovery of Application PDBs

16.5.1 Performing Complete Recovery of the Application Root

Use the `RESTORE` and `RECOVER` commands to perform complete recovery of the application root.

The `COMPATIBLE` parameter for the CDB must be set to 19.0 or higher.

To recover the application root when connected to the root in a CDB

1. Perform one of the following steps:
   - Start RMAN and connect to the application root as an application common user with the `SYSDBA` or `SYSBACKUP` privilege.

     The application root has its own service name and you can connect to the application root in the same way that you connect to a PDB.
   - Start RMAN and connect to the CDB root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.

2. Close the application container whose application root needs to be recovered.

   When connected to the application root or CDB, use the following command to close the application container whose application root is called `hr_appcont`:

   ```
   ALTER PLUGGABLE DATABASE hr_appcont CLOSE;
   ```

3. (Optional) Use the `CONFIGURE` command to configure the default device type and automatic channels.
4. Restore and recover the application root.

   The following commands restore and recover an application root named hr_appcont:

   ```
   RESTORE APPLICATION ROOT DATABASE hr_appcont;
   RECOVER APPLICATION ROOT DATABASE hr_appcont;
   ```

5. Examine the output to see if media recovery was successful. If so, proceed to the next step.
6. Open the application root.

   When connected to the application root, use the following command:

   ```
   ALTER DATABASE OPEN;
   ```

   When connected to the CDB, use the following command:

   ```
   ALTER PLUGGABLE DATABASE hr_appcont OPEN;
   ```

> **See Also:**
>
> Making Database Connections with RMAN

16.5.2 Performing Complete Recovery of the Application Root and Application PDBs

You can perform complete recovery of an application container, which includes the application root and all its application PDBs without impacting the other PDBs within the CDB.

The `COMPATIBLE` parameter for the CDB must be set to 12.2 or higher.

To perform complete recovery of the application root and all its application PDBs:

1. Start RMAN and connect to the application root as an application common user with the `SYSDBA` or `SYSBACKUP` privilege.

   The application root has its own service name and you can connect to the application root in the same way that you connect to a PDB.

2. Close the application container.

   The following command closes the application container whose application root is called `hr_appcont`:

   ```
   ALTER PLUGGABLE DATABASE hr_appcont CLOSE;
   ```

3. (Optional) Use the `CONFIGURE` command to configure the default device type and automatic channels.
4. Restore and recover the application container (application root and all application PDBs) using the following commands.

   ```
   RESTORE DATABASE;
   RECOVER DATABASE;
   ```

5. Examine the output to see if media recovery was successful. If so, proceed to the next step.
6. Open the application root and all the application PDBs.

   ```
   ALTER DATABASE OPEN;
   ALTER PLUGGABLE DATABASE ALL OPEN;
   ```

> **See Also:**
>
> Making Database Connections with RMAN

16.5.3 Performing Complete Recovery of Application PDBs

Use the `RESTORE` and `RECOVER` commands to perform complete recovery of one or more application PDBs.

The `COMPATIBLE` parameter for the CDB must be set to 12.2 or higher.

To perform complete recovery of an application PDB:

1. Start RMAN and establish one of the following types of connections:
   - Connect to the application root as an application common user with the `SYSDBA` or `SYSBACKUP` privilege.

     The application root has its own service name and you can connect to the application root in the same way that you connect to a PDB.
   - Connect to the CDB root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Close the application PDB for which complete recovery is required.

   The following command closes the application PDB called `hr_appcont_pdb1`:

   ```
   ALTER PLUGGABLE DATABASE hr_appcont_pdb1 CLOSE;
   ```

3. (Optional) Use the `CONFIGURE` command to configure the default device type and automatic channels.

4. Restore and recover the application PDB.

   The following commands perform complete recovery of an application PDB called `hr_appcont_pdb1`

   ```
   RESTORE PLUGGABLE DATABASE hr_appcont_pdb1;
   RECOVER PLUGGABLE DATABASE hr_appcont_pdb1;
   ```

5. Examine the output to see if media recovery was successful. If so, proceed to the next step.
6. Open the application PDB.

   The following commands opens the application PDB called `hr_appcont_pdb1`:

   ```
   ALTER PLUGGABLE DATABASE hr_appcont_pdb1 OPEN;
   ```

> **See Also:**
>
> Making Database Connections with RMAN

16.6 Performing Complete Recovery of Sparse Databases with RMAN

You can recover sparse databases to the most recent point in time using the `RESTORE` and `RECOVER` commands.

> **Note:**
>
> The base (read-only) data files in a sparse database are not encrypted. Ensure that the base data files are stored in a protected storage and accessed using secured communications.

16.6.1 Performing Complete Recovery of a Sparse CDB

Performing complete recovery of a CDB containing is very similar to performing the complete recovery of a database.

RMAN first restores the logical data that was backed up from the delta storage space of the database and then recovers the database by reading from the redo log and applying the logical data file blocks. You can perform either complete recovery or point-in-time recovery of a sparse database, tablespace, or data file.

To recover a sparse CDB:

1. Complete the preparation steps required for your scenario, as described in "Preparing for Complete Database Recovery".

2. Start RMAN and connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in "Making Database Connections with RMAN".
3. If the CDB is not mounted, then mount but do not open the CDB.

   For example, enter the following command:

   ```
   STARTUP MOUNT;
   ```

4. Use the `SHOW` command to see which channels are preconfigured.

   If the necessary devices and channels are configured, then no action is necessary. Otherwise, you can use the `CONFIGURE` command to configure automatic channels, or include `ALLOCATE CHANNEL` commands within a `RUN` block.
5. Restore and recover the sparse CDB. The following commands perform complete recovery of a sparse CDB:

   ```
   RESTORE FROM SPARSE DATABASE;
   RECOVER DATABASE;
   ```

6. To recover a specific tablespace containing sparse data files, use the `RESTORE` and `RECOVER` commands for the tablespace.

   The following example restores and recovers the tablespace `MY_TBS`

   ```
   RESTORE FROM SPARSE TABLESPACE MY_TBS;
   RECOVER TABLESPACE MY_TBS;
   ```

16.6.2 Performing Recovery of a Sparse PDB with RMAN

You can recover a sparse PDB while you are connected at the root level or at the CDB level.

To recover one or more sparse PDBs while connected to the root:

1. Complete the preparation steps required for your recovery scenario, as described in "Preparing for Complete Database Recovery".
2. Start RMAN and connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in "Making Database Connections with RMAN".
3. Close the PDBs that you want to recover.

   ```
   ALTER PLUGGABLE DATABASE sales, hr CLOSE;
   ```

   If any data files are missing, an error occurs and you cannot close a PDB. You must then connect to the PDB to which the missing data file belongs, take the missing data file offline, and then close the PDB.

   The following command takes the data file 12 offline:

   ```
   ALTER PLUGGABLE DATABASE DATAFILE 12 OFFLINE;
   ```

> **Note:**
>
> If the data files that store the `SYSTEM` tablespace of a PDB are missing, then follow the recovery steps described in "Performing Complete Recovery of Tablespaces or Data Files in a PDB with RMAN".

4. (Optional) Use the `CONFIGURE` command to configure the default device type and automatic channels.
5. Run the `RESTORE` and `RECOVER` commands for the pluggable database.

   The following example performs complete recovery of the PDB `HR_PDB` when connected to the root:

   ```
   RESTORE FROM SPARSE PLUGGABLE DATABASE HR_PDB;
   RECOVER PLUGGABLE DATABASE HR_PDB;
   ```

To connect to and recover one sparse PDB:

1. Complete the preparation steps required for your recovery scenario, as described in "Preparing for Complete Database Recovery".
2. Start RMAN and connect to the PDB as a local user with the `SYSDBA` or `SYSBACKUP` system privilege, as described in "Making Database Connections with RMAN".
3. Close the PDB.

   ```
   ALTER PLUGGABLE DATABASE CLOSE;
   ```

   If any data files are missing, an error occurs and you cannot close the PDB. You must take the missing data file offline and then close the PDB.

   The following command takes the data file 12 offline:

   ```
   ALTER DATABASE DATAFILE 12 OFFLINE;
   ```

> **Note:**
>
> If the data files that store the `SYSTEM` tablespace of a PDB are missing, then follow the recovery steps described in "Performing Complete Recovery of Tablespaces or Data Files in a PDB with RMAN".

4. (Optional) Use the `CONFIGURE` command to configure the default device type and automatic channels.
5. Issue the `RESTORE` and `RECOVER` commands.

   The following example restores and recovers the PDB `USERS_PDB`

   ```
   RESTORE FROM SPARSE DATABASE FROM USERS_PDB;
   RECOVER DATABASE USERS_PDB;
   ```

.

17

Performing Flashback and Database Point-in-Time Recovery

RMAN enables you to investigate unwanted database changes, and select and perform an appropriate recovery strategy, based upon Oracle Flashback Technology and database backups.

17.1 Overview of Oracle Flashback Technology and Database Point-in-Time Recovery

This overview describes the purpose and basic concepts of Oracle Flashback Technology and database point-in-time recovery.

17.1.1 Purpose of Flashback and Database Point-in-Time Recovery

Certain situations are suited for using point-in-time recovery or flashback features to return the database or database object to its state at a previous point in time.

Some typical situations include the following:

- A user error or corruption removes needed data or introduces corrupted data. For example, a user or DBA might erroneously delete or update the contents of one or more tables, drop database objects that are still needed during an update to an application, or run a large batch update that fails midway.
- A database upgrade fails or an upgrade script goes awry.
- A complete database recovery after a media failure cannot succeed because you do not have all of the needed redo logs or incremental backups.

17.1.2 About Point-in-Time Recovery and Flashback Features

Database point-in-time recovery (DBPITR) and Flashback features enable you to recover your database to a prior point in time.

DBPITR is the most basic solution to unwanted database changes. It is sometimes called incomplete recovery because it does not use all of the available redo or completely recover all changes to your database. In this case, you restore a whole database backup and then apply redo logs or incremental backups to re-create all changes up to a point in time before the unwanted change.

If unwanted database changes are extensive but confined to specific tablespaces, then you can use tablespace point-in-time recovery (TSPITR) to return these tablespaces to an earlier system change number while the unaffected tablespaces remain available.

If unwanted database changes are limited to specific tables or table partitions, then you can use a previously created RMAN backup to return only these objects to a point in time before the unwanted changes occurred.

Oracle Database also provides a set of features collectively known as Flashback Technology that supports viewing past states of data, and winding and rewinding data back and forth in time, without requiring the restore of the database from backup. Depending on the changes to your database, Flashback Technology can often reverse the unwanted changes more quickly and with less impact on database availability.

See Also:

- Performing RMAN Tablespace Point-in-Time Recovery (TSPITR)
- Recovering Tables and Table Partitions

17.1.2.1 About Flashback Database and PITR for PDBs

Certain dependencies may exist between database point-in-time recovery (DBPITR) and flashback operations.

For pluggable databases (PDBs) that use local undo, DBPITR and flashback operations are independent of each other.

For PDBs that use shared undo, DBPITR and flashback operations are independent with the following caveat:

If you perform a flashback operation for a PDB or recover a PDB to a particular point in time, Oracle Database may apply undo data during the PDB `RESETLOGS` operation to back out transactions that are not committed at that point in time. If you subsequently recover the entire multitenant container database (CDB) to a point in time that is in the middle of the PDB `RESETLOGS` operation, then you will receive a warning that some PDBs may not be opened. For such PDBs, you must perform one of the following mutually exclusive actions:

- Recover the entire CDB or perform a flashback operation for the entire CDB to a different SCN
- Recover all the affected PDBs or perform a flashback database operation for all the affected PDBs to a different SCN

Starting with Oracle Database 21c, you can perform a PDB flashback or point-in-time recovery operation to an orphan PDB incarnation within the same database incarnation or an orphan PDB incarnation within an ancestor database incarnation. Within a CDB, you can simultaneously perform flashback or PITR multiple PDBs to different database and PDB incarnations with the current database ancestor incarnation. For example, consider two PDBs named `pdb1` and `pdb2` in a CDB. you can flash back `pdb1` to point on PDB incarnation (5,3) and perform PITR on `pdb2` to a point on PDB incarnation (6,9) provided both incarnation 5 and incarnation 6 are on the current database ancestor path.

Note:

You cannot perform PDB flashback or PITR operation to a PDB incarnation within an orphan database incarnation.

> **See Also:**
>
> - Basic Concepts of Database Point-in-Time Recovery
> - Basic Concepts of Flashback Technology

17.1.3 Basic Concepts of Database Point-in-Time Recovery

DBPITR works at the physical level to return the data files to their state at a target time in the past.

In an RMAN DBPITR operation, you specify a target SCN, log sequence, restore point, or time. RMAN restores the database from backups created before the target time, and then applies incremental backups and logs to recreate all changes between the time of the data file backups and the end point of recovery. When the end point is specified as an SCN, the database applies the redo logs and stops after each redo thread or the specified SCN, whichever occurs first. When the end point is specified as a time, the database internally determines a suitable SCN for the specified time and then recovers to this SCN.

If your backup strategy is properly designed and your database is running in `ARCHIVELOG` mode, then DBPITR is an option in nearly all circumstances. Given a target SCN, data files are restored from backup and recovered efficiently with no intervention from the user. Nevertheless, RMAN DBPITR has the following disadvantages:

- You cannot return selected objects to their earlier state, only the entire database.
- Your entire database is unavailable during the DBPITR.
- DBPITR can be time-consuming because RMAN must restore all data files. Also, RMAN may need to restore redo logs and incremental backups to recover the data files. If backups are on tape, then this process can take even longer.

> **Note:**
>
> RMAN simplifies DBPITR in comparison to the user-managed DBPITR described in "Performing Incomplete Database Recovery".

17.1.3.1 Basic Concepts of Point-in-Time Recovery for PDBs

You can perform point-in-time recovery (PITR) of one or more PDBs. Backups created before the PITR operation remain valid and they can be used if a media failure occurs.

During a PDB PITR operation, all the data files for the PDBs are recovered in place. If the CDB uses shared undo, the `UNDO` tablespace cannot be recovered in place because it is shared by all PDBs in the CDB. Therefore, RMAN restores the `UNDO`, `SYSTEM`, and `SYSAUX` tablespaces to an auxiliary destination and then uses the undo information to recover the PDB to the target time.

When a fast recovery area is configured, RMAN uses it as the auxiliary destination during PDB PITR. If no fast recovery area is configured, use the `AUXILIARY DESTINATION` clause to specify the location used to store auxiliary database files. If the fast recovery area does not

have sufficient space to restore the root tablespaces and the undo tablespace, use the `AUXILIARY DESTINATION` clause to specify an alternate location.

In a Data Guard environment, for the standby database to follow a primary database in which a PDB was restored to a particular point in time, you may need to either flash back the entire standby database, restore the PDB, or flash back the PDB.

> **See Also:**
>
> Performing Database Point-in-Time Recovery

17.1.4 Basic Concepts of Flashback Technology

The flashback features of the Oracle Database are more efficient than media recovery in most circumstances in which they are available. You can use them to investigate past states of the database.

17.1.4.1 About Physical Flashback Features Useful in Backup and Recovery

Oracle Flashback Database is the most efficient alternative to DBPITR.

Unlike the other flashback features, it operates at a physical level and reverts the current data files to their contents at a past time. The result is like the result of a DBPITR, including the `OPEN RESETLOGS`, but Flashback Database is typically faster because it does not require you to restore data files and requires only limited application of redo compared to media recovery.

A fast recovery area is required for Flashback Database. To enable logging for Flashback Database, you must set the `DB_FLASHBACK_RETENTION_TARGET` initialization parameter and issue the `ALTER DATABASE FLASHBACK ON` statement.

During normal operation, the database periodically writes old images of data file blocks to the flashback logs. Flashback logs are written sequentially and often in bulk. In some respects, flashback logging is like a continuous backup. The database automatically creates, deletes, and resizes flashback logs in the recovery area. Flashback logs are not archived. You need only be aware of flashback logs for monitoring performance and determining disk space allocation for the recovery area.

When you perform a Flashback Database operation, the database uses flashback logs to access past versions of data blocks and also uses some data in the archived redo logs. Consequently, you cannot enable Flashback Database *after* a failure is discovered and then use Flashback Database to rewind through this failure. You can use the related capability of guaranteed restore points to protect the contents of your database at a fixed point in time, such as immediately before a risky database change.

If any unrecoverable operations are encountered during the small amount of redo apply required, then logically corrupt data blocks will result. This can lead to Oracle errors when such blocks are accessed.

> **See Also:**
>
> - Rewinding a Database with Flashback Database
> - Configuring the Fast Recovery Area
> - *Oracle Database Administrator's Guide*

17.1.4.1.1 About Flashback Database Operations with PDBs

You can perform a Flashback Database operation for a whole multitenant container database (CDB) or for a particular pluggable database (PDB).

> **Note:**
>
> You cannot perform a flashback operation only on the root. You must perform a flashback operation on the whole CDB.

Flashback Database on a whole CDB enables you to rewind the entire CDB, including all its PDBs, to a previous point in time. The target time can be specified by system change number (SCN), log sequence number, restore point, or time.

Flashback Database on a PDB enables you to reverse unwanted changes caused by logical data corruption or user errors in that PDB. Other PDBs can remain open and operational while performing Flashback Database on a particular PDB.

The desired target point in time is specified by a PDB restore point, a CDB restore point, an SCN, or a time expression. A flashback operation on a PDB to a CDB restore point is equivalent to a flashback operation on the PDB to the restore point SCN on the CDB incarnation. In general, for PDBs, a flashback operation to a PDB restore point is more accurate than a flashback operation to a CDB restore point. This is because a PDB restore point represents the PDB sub-incarnation of the point in time at which it was created.

Multiple flashback operations can be performed on a single PDB. However, you can only perform a flashback operation on a PDB to one of its ancestor incarnations. A PDB must always stay in a past incarnation that is compatible with the overall database incarnation.

PDB backups remain valid even after a Flashback Database operation is performed on the PDB. In case of a media failure, you can perform recovery by using these backups. This type of PDB recovery can recover through database resetlogs and PDB resetlogs.

You can also perform a Flashback Database operation for a PDB on a physical standby database after performing the same operation on the primary database.

> **Note:**
>
> To perform a flashback operation for an application container, you must perform flashback operations for the application root and all the individual application PDBs that are part of the application container. Performing a flashback operation on the application root reverts only the application root to the specified point in time.

> **See Also:**
>
> - Rewinding a Database with Flashback Database
> - Performing Flashback Database with SQL*Plus

17.1.4.2 About Logical Flashback Features Useful in Backup and Recovery

Logical flashback features are used to recover tables and their contents to a past time.

The logical features are as follows:

- Flashback Table

  You can recover a table or set of tables to a specified earlier point in time without taking any part of the database offline. In many cases, Flashback Table eliminates the need to perform more complicated point-in-time recovery operations. Flashback Table restores tables while automatically maintaining associated attributes such as current indexes, triggers, and constraints, and not requiring you to find and restore application-specific properties.

- Flashback Drop

  You can reverse the effects of a `DROP TABLE` statement.

All logical flashback features except Flashback Drop rely on **undo data**. Used primarily for providing read consistency for SQL queries and rolling back transactions, undo records contain the information required to reconstruct data as it existed at a past time and examine the record of changes since that past time.

Flashback Drop relies on a mechanism called the recycle bin, which the database uses to manage dropped database objects until the space they occupied is needed for new data. There is no fixed amount of space allocated to the recycle bin, and no guarantee regarding how long dropped objects remain in the recycle bin. Depending on system activity, a dropped object may remain in the recycle bin for seconds or for months.

> **See Also:**
>
> - Rewinding a Table with Flashback Table
> - Rewinding a DROP TABLE Operation with Flashback Drop
> - *Oracle Database Administrator's Guide* for more information about undo data and automatic undo management

17.1.4.3 About Undo and Flashback Database Operations for PDBs

A multitenant container database (CDB) can use shared undo or local undo. The technique used by RMAN to perform flashback database operations depends on the type of undo configuration for the CDB.

When a CDB uses local undo, performing a flashback database operation on a pluggable database (PDB) is straight-forward because only data files related to that PDB need to be modified.

In a CDB that uses shared undo, one set of tablespaces is shared by all PDBs. Undo data for multiple PDBs may be mixed within the undo tablespaces and even within individual data blocks. Therefore, to perform a flashback database operation for a PDB, RMAN automatically uses an auxiliary instance to restore shared undo tablespaces and certain tablespaces in the root and then recovers data to the required point in time. This process may involve restoring backups for a relatively small amount of data. When you perform a flashback database operation on a PDB to a clean PDB restore point, no auxiliary instance or restoring of backups is required.

By default, the auxiliary instance is created in the fast recovery area. You can use the `AUXILIARY DESTINATION` clause in the `FLASHBACK DATABASE` command to specify an alternate location for the auxiliary instance.

See Also:

- About Flashback Database Operations with PDBs
- Rewinding a Database with Flashback Database

17.1.5 About Managing Redo Corruption in CDBs

RMAN provides methods to manage redo corruption to data blocks in a PDB.

In very rare circumstances, the redo logs in a multitenant container database (CDB) may be corrupted. In such a scenario, if the affected data blocks reside only in one pluggable database (PDB), then you can do one of the following:

- perform a flashback operation on the PDB to a point in time before the corruption and then open the PDB with `RESETLOGS`
- perform a point-in-time recovery of the PDB to a point in time before the corruption and then open the PDB with `RESETLOGS`

After you perform one of these steps on the primary database, any standby database of this primary database can also skip the corrupted redo provided you perform the steps required to enable a standby to follow a primary after a PITR or Flashback on the PDB.

See Also:

- About Flashback Database Operations with PDBs
- *Oracle Data Guard Concepts and Administration* for steps to enable a standby to follow a primary

17.2 Rewinding a Table with Flashback Table

Flashback Table uses information in the undo tablespace rather than restored backups to retrieve the table. When a Flashback Table operation occurs, new rows are deleted and old rows are reinserted. The rest of your database remains available while the flashback of the table is being performed.

To rewind a table to a previous point in time:

1. Ensure that the prerequisites described in "Prerequisites for Flashback Table" are met.
2. Perform a Flashback Table operation on the table, as described in "Performing a Flashback Table Operation".

> **See Also:**
>
> *Oracle Database Administrator's Guide* for more information about automatic undo management

17.2.1 Prerequisites for Flashback Table

To perform a Flashback Table operation, the table must be eligible to be flashed back and the user performing the operation must have the required privileges.

You must have the following privileges to use the Flashback Table feature:

- You must have been granted the `FLASHBACK ANY TABLE` system privilege or you must have the `FLASHBACK` object privilege on the table.
- You must have `READ` or `SELECT`, `INSERT`, `DELETE`, and `ALTER` privileges on the table.
- To flash back a table to a restore point, you must have the `SELECT ANY DICTIONARY` or `FLASHBACK ANY TABLE` system privilege or the `SELECT_CATALOG_ROLE` role.

For an object to be eligible to be flashed back, the following prerequisites must be met:

- The object must **not** be included the following categories: tables that are part of a cluster, materialized views, Advanced Queuing (AQ) tables, static data dictionary tables, system tables, remote tables, object tables, nested tables, or individual table partitions or subpartitions.
- The structure of the table must not have been changed between the current time and the target flashback time.

  The following Data Definition Language (DDL) operations change the structure of a table: upgrading, moving, or truncating a table; adding a constraint to a table, adding a table to a cluster; modifying or dropping a column; adding, dropping, merging, splitting, coalescing, or truncating a partition or subpartition (except adding a range partition).
- Row movement must be enabled on the table, which indicates that rowids change after the flashback occurs.

This restriction exists because if rowids before the flashback were stored by the application, then there is no guarantee that the rowids correspond to the same rows after the flashback. If your application depends on rowids, then you cannot use Flashback Table.

- The undo data in the undo tablespace must extend far enough back in time to satisfy the flashback target time or SCN.

  The point to which you can perform Flashback Table is determined by the undo retention period, which is the minimal time for which undo data is kept before being recycled, and tablespace characteristics. The undo data contains information about data blocks before they were changed. The flashback operation uses undo to re-create the original data.

  To ensure that the undo information is retained for Flashback Table operations, Oracle suggests setting the `UNDO_RETENTION` parameter to 86400 seconds (24 hours) or greater for the undo tablespace.

> **Note:**
>
> `FLASHBACK TABLE ... TO BEFORE DROP` is a use of the Flashback Drop feature, not Flashback Table, and therefore is not subject to these prerequisites. See "Rewinding a DROP TABLE Operation with Flashback Drop" for more information.

17.2.2 Performing a Flashback Table Operation

To use the Flashback Table feature on one or more tables, use the `FLASHBACK TABLE` SQL statement with a target time or SCN.

Assume that you want to perform a flashback of the `hr.temp_employees` table after a user made some incorrect updates. Use the following steps:

1. Ensure that the prerequisites that are described in "Prerequisites for Flashback Table" are met.
2. (Optional) To keep database triggers enabled during the flashback table operation, see "Keeping Triggers Enabled During Flashback Table".
3. Connect SQL*Plus to the PDB that contains the table, as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege. Identify the current database (CDB) SCN.

   You cannot roll back a `FLASHBACK TABLE` statement, but you can issue another `FLASHBACK TABLE` statement and specify a time just before the current time. Therefore, it is advisable to record the current SCN.
4. Identify the time, SCN, or restore point to which you want to return the table.

   If you have created restore points, then you can list available restore points by executing the following query:

   ```
   SELECT NAME, SCN, TIME FROM V$RESTORE_POINT;
   ```

5. Ensure that enough undo data exists to rewind the table to the specified target.

If the `UNDO_RETENTION` initialization parameter is set, and the undo retention guarantee is on, then you can use the following query to determine how long undo data is being retained:

```
SELECT NAME, VALUE/60 MINUTES_RETAINED
FROM   V$PARAMETER
WHERE  NAME = 'undo_retention';
```

6. Ensure that row movement is enabled for all objects that you are rewinding with Flashback Table.

   You can enable row movement for a table with the following SQL statement:

   ```
   ALTER TABLE hr.temp_employees ENABLE ROW MOVEMENT;
   ```

7. Determine whether the table that you intend to flash back has dependencies on other tables. If dependencies exist, then decide whether to flash back these tables as well.

   You can issue the following SQL query to determine the dependencies, where *schema_name* is the schema for the table to be flashed back and *table_name* is the name of the table:

   ```
   SELECT other.owner, other.table_name
   FROM   sys.all_constraints this, sys.all_constraints other
   WHERE  this.owner = schema_name
   AND    this.table_name = table_name
   AND    this.r_owner = other.owner
   AND    this.r_constraint_name = other.constraint_name
   AND    this.constraint_type='R';
   ```

8. Execute a `FLASHBACK TABLE` statement for the objects to flash back.

   The following SQL statement returns the `hr.temp_employees` table to the restore point named `temp_employees_update`:

   ```
   FLASHBACK TABLE hr.temp_employees
     TO RESTORE POINT temp_employees_update;
   ```

   The following SQL statement rewinds the `hr.temp_employees` table to its state when the database was at the time specified by the SCN:

   ```
   FLASHBACK TABLE hr.temp_employees
     TO SCN 123456;
   ```

   As shown in the following example, you can also specify the target point in time with `TO_TIMESTAMP`:

   ```
   FLASHBACK TABLE hr.temp_employees
     TO TIMESTAMP TO_TIMESTAMP('2013-10-17 09:30:00', 'YYYY-MM-DD
   HH:MI:SS');
   ```

> **Note:**
>
> The mapping of time stamps to SCNs is not always exact. When you use time stamps with the `FLASHBACK TABLE` statement, the time to which the table is flashed back can vary by up to approximately 3 seconds of the time specified for `TO_TIMESTAMP`. If an exact point in time is required, then use an SCN rather than a time.

9. Optionally, query the table to check the data.

> **See Also:**
>
> Keeping Triggers Enabled During Flashback Table

17.2.2.1 Keeping Triggers Enabled During Flashback Table

By default, the database disables triggers on the affected table before performing a `FLASHBACK TABLE` operation. After the operation, the database returns the triggers to the state they were in before the operation (enabled or disabled).

To keep triggers enabled during the flashback of the table, add an `ENABLE TRIGGERS` clause to the `FLASHBACK TABLE` statement.

For example, assume that at 17:00 an HR administrator discovers that an employee is missing from the `hr.temp_employees` table. This employee was included in the table at 14:00, the last time the report was run. Therefore, someone accidentally deleted the record for this employee between 14:00 and 17:00. The HR administrator uses Flashback Table to return the table to its state at 14:00, respecting any triggers set on the `hr.temp_employees` table, by using the SQL statement in the following example:

```
FLASHBACK TABLE hr.temp_employees
  TO TIMESTAMP TO_TIMESTAMP('2013-03-03 14:00:00' , 'YYYY-MM-DD HH:MI:SS')
  ENABLE TRIGGERS;
```

> **See Also:**
>
> - *Oracle Database Administrator's Guide* to learn how to recover tables with the Flashback Table feature
> - *Oracle Database SQL Language Reference* for a simple Flashback Table scenario

17.3 Rewinding a DROP TABLE Operation with Flashback Drop

You can retrieve objects from the recycle bin with the `FLASHBACK TABLE ... TO BEFORE DROP` statement.

This section contains the following topics:

- About Flashback Drop
- Prerequisites of Flashback Drop
- Performing a Flashback Drop Operation

17.3.1 About Flashback Drop

Flashback Drop reverses the effects of a `DROP TABLE` operation. Flashback Drop is faster than other recovery mechanisms that can be used in this situation, such as point-in-time recovery, and does not lead to downtime or loss of recent transactions.

When you drop a table, the database does not immediately remove the space associated with the table. Instead, the table is renamed and, along with any associated objects, placed in the recycle bin. System-generated recycle bin object names are unique. You can query objects in the recycle bin, just as you can query other objects.

A flashback operation retrieves the table from the recycle bin. When retrieving dropped tables, you can specify either the original user-specified name of the table or the system-generated name.

When you drop a table, the table and all of its dependent objects go into the recycle bin together. Likewise, when you perform Flashback Drop, the objects are generally all retrieved together. When you restore a table from the recycle bin, dependent objects such as indexes do not get their original names back; they retain their system-generated recycle bin names. Oracle Database retrieves all indexes defined on the table except for bitmap join indexes, and all triggers and constraints defined on the table except for referential integrity constraints that reference other tables.

Some dependent objects such as indexes may possibly have been reclaimed because of space pressure. In such cases, the reclaimed dependent objects are not retrievable from the recycle bin.

17.3.2 Prerequisites of Flashback Drop

Prerequisites must be met before you perform a Flashback Drop operation.

The user privileges required for the operations related to Flashback Drop and the recycle bin are as follows:

- `DROP`

  Any user with `DROP` privileges over an object can drop the object, placing it in the recycle bin.

- `FLASHBACK TABLE ... TO BEFORE DROP`

  Privileges for this statement are tied to the privileges for `DROP`. That is, any user who can drop an object can perform Flashback Drop to retrieve the dropped object from the recycle bin.

- `PURGE`

  Privileges for a purge of the recycle bin are tied to the `DROP` privileges. Any user having `DROP TABLE`, `DROP ANY TABLE`, or `PURGE DBA_RECYCLE_BIN` privileges can purge the objects from the recycle bin.

- `READ` or `SELECT` and `FLASHBACK` for objects in the Recycle Bin

Users must have the `READ` or `SELECT` and `FLASHBACK` privileges over an object in the recycle bin to query the object in the recycle bin. Any users who had the `READ` or `SELECT` privilege over an object before it was dropped continue to have the `READ` or `SELECT` privilege over the object in the recycle bin. Users must have `FLASHBACK` privilege to query any object in the recycle bin, because these are objects from a past state of the database.

Objects must meet the following prerequisites to be eligible for retrieval from the recycle bin:

- The recycle bin is only available for non-system, locally managed tablespaces. If a table is in a non-system, locally managed tablespace, but one or more of its dependent segments (objects) is in a dictionary-managed tablespace, then these objects are protected by the recycle bin.
- Tables that have fine-grained auditing (FGA) and Virtual Private Database (VPD) policies defined over them are not protected by the recycle bin.
- Partitioned index-organized tables are not protected by the recycle bin.
- The table must not have been purged, either by a user or by Oracle Database during a space reclamation operation.

17.3.3 Performing a Flashback Drop Operation

Use the `FLASHBACK TABLE ... TO BEFORE DROP` statement to recover objects from the recycle bin. You can specify either the name of the table in the recycle bin or the original table name.

This section assumes a scenario in which you drop the wrong table. Many times you have been asked to drop tables in the test databases, but in this case you accidentally connect to the production database instead and drop `hr.employee_demo` in a PDB. You decide to use `FLASHBACK TABLE` to retrieve the dropped object.

To retrieve a dropped table:

1. Ensure that the prerequisites described in "Prerequisites of Flashback Drop" are met.
2. Connect SQL*Plus to the PDB as a common user or local user with `SYSDBA` or `SYSBACKUP` privilege and obtain the name of the dropped table in the recycle bin.

   You can use the SQL*Plus command `SHOW RECYCLEBIN` as follows:

```
SHOW RECYCLEBIN;

ORIGINAL NAME    RECYCLEBIN NAME                   TYPE         DROP TIME
---------------- --------------------------------- ------------
-------------
EMPLOYEE_DEMO    BIN$gk3lsj/3akk5hg3j2lkl5j3d==$0  TABLE
2019-04-11:17:08:54
```

   The `ORIGINAL NAME` column shows the original name of the object, whereas the `RECYCLEBIN NAME` column shows the name of the object as it exists in the bin.

Alternatively, you can query `USER_RECYCLEBIN` or `DBA_RECYCLEBIN` to obtain the table name. The following example queries the `RECYCLEBIN` view to determine the original names of dropped objects:

```
SELECT object_name AS recycle_name, original_name, type
FROM   recyclebin;

RECYCLE_NAME                    ORIGINAL_NAME          TYPE
------------------------------  ---------------------  ----------
BIN$gk3lsj/3akk5hg3j2lkl5j3d==$0  EMPLOYEE_DEMO          TABLE
BIN$JKS983293M1dsab4gsz/I249==$0  I_EMP_DEMO             INDEX
```

If you plan to manually restore original names for dependent objects, then ensure that you make note of each dependent object's system-generated recycle bin name before you restore the table.

> **Note:**
>
> Object views such as `DBA_TABLES` do not display the recycle bin objects.

3. Optionally, query the table in the recycle bin.

   You must use the recycle bin name of the object in your query rather than the object's original name. The following example queries the table with the recycle bin name of `BIN$gk3lsj/3akk5hg3j2lkl5j3d==$0`:

   ```
   SELECT * FROM  "BIN$gk3lsj/3akk5hg3j2lkl5j3d==$0";
   ```

   Quotation marks are required because of the special characters in the recycle bin name.

   > **Note:**
   >
   > If you have the necessary privileges, then you can also use Flashback Query on tables in the recycle bin, but only by using the recycle bin name rather than the original table name. You cannot use Data Manipulation Language (DML) or DDL statements on objects in the recycle bin.

4. Retrieve the dropped table.

   Use the `FLASHBACK TABLE ... TO BEFORE DROP` statement. The following example restores the `BIN$gk3lsj/3akk5hg3j2lkl5j3d==$0` table, changes its name back to `hr.employee_demo`, and purges its entry from the recycle bin:

   ```
   FLASHBACK TABLE "BIN$gk3lsj/3akk5hg3j2lkl5j3d==$0" TO BEFORE DROP;
   ```

   The table name is enclosed in quotation marks because of the possibility of special characters appearing in the recycle bin object names.

Alternatively, you can use the original name of the table:

```
FLASHBACK TABLE HR.EMPLOYEE_DEMO TO BEFORE DROP;
```

You can also assign a new name to the restored table by specifying the `RENAME TO` clause. For example:

```
FLASHBACK TABLE "BIN$gk3lsj/3akk5hg3j2lkl5j3d==$0" TO BEFORE DROP
  RENAME TO hr.emp_demo;
```

5. Optionally, verify that all dependent objects retained their system-generated recycle bin names.

   The following query determines the names of the indexes of the retrieved `hr.employee_demo` table:

```
SELECT INDEX_NAME
FROM   USER_INDEXES
WHERE  TABLE_NAME = 'EMPLOYEE_DEMO';

INDEX_NAME
------------------------------
BIN$JKS983293M1dsab4gsz/I249==$0
```

6. Optionally, rename the retrieved indexes to their original names.

   The following statement renames the index to its original name of `i_emp_demo`:

```
ALTER INDEX "BIN$JKS983293M1dsab4gsz/I249==$0" RENAME TO I_EMP_DEMO;
```

7. If the retrieved table had referential constraints before it was placed in the recycle bin, then re-create them.

   This step must be performed manually because the recycle bin does not preserve referential constraints on a table.

> **See Also:**
>
> Retrieving Objects Using Flashback Drop When Multiple Objects Share the Same Original Name

17.3.3.1 Retrieving Objects Using Flashback Drop When Multiple Objects Share the Same Original Name

You can create, and then drop, several objects with the same original name. All dropped objects are stored in the recycle bin.

For example, consider the SQL statements in the following example:

Example 17-1 Dropping Multiple Objects with the Same Name

```
CREATE TABLE temp_employees ( ...columns ); # temp_employees version 1
DROP TABLE temp_employees;
```

```
CREATE TABLE temp_employees ( ...columns ); # temp_employees version 2
DROP TABLE temp_employees;

CREATE TABLE temp_employees ( ...columns ); # temp_employees version 3
DROP TABLE temp_employees;
```

Each table `temp_employees` is assigned a unique name in the recycle bin when it is dropped. You can use a `FLASHBACK TABLE ... TO BEFORE DROP` statement with the original name of the table, as shown in this example:

```
FLASHBACK TABLE temp_employees TO BEFORE DROP;
```

The most recently dropped table with this original name is retrieved from the recycle bin, with its original name.

The following example shows the retrieval from the recycle bin of all three dropped `temp_employees` tables from the previous example, with each assigned a new name.

Example 17-2 Renaming Dropped Tables

```
FLASHBACK TABLE temp_employees TO BEFORE DROP
  RENAME TO temp_employees_VERSION_3;
FLASHBACK TABLE temp_employees TO BEFORE DROP
  RENAME TO temp_employees_VERSION_2;
FLASHBACK TABLE temp_employees TO BEFORE DROP
  RENAME TO temp_employees_VERSION_1;
```

Because the original name in `FLASHBACK TABLE` refers to the most recently dropped table with this name, the last table dropped is the first retrieved.

You can also retrieve any table from the recycle bin, regardless of any collisions among original names, by using the unique recycle bin name of the table. For example, assume that you query the recycle bin as follows (sample output included):

```
SELECT object_name, original_name, createtime
FROM   recyclebin;

OBJECT_NAME                    ORIGINAL_NAME   CREATETIME
------------------------------ --------------- -------------------
BIN$yrMKlZaLMhfgNAgAIMenRA==$0 TEMP_EMPLOYEES  2013-02-05:21:05:52
BIN$yrMKlZaVMhfgNAgAIMenRA==$0 TEMP_EMPLOYEES  2013-02-05:21:25:13
BIN$yrMKlZaQMhfgNAgAIMenRA==$0 TEMP_EMPLOYEES  2013-02-05:22:05:53
```

You can use the following command to retrieve the middle table:

```
FLASHBACK TABLE BIN$yrMKlZaVMhfgNAgAIMenRA==$0 TO BEFORE DROP;
```

See Also:

- *Oracle Database Administrator's Guide* to learn how to use Flashback Drop and manage the recycle bin
- *Oracle Database SQL Language Reference* for information about the `FLASHBACK TABLE` statement

17.4 Rewinding a Database with Flashback Database

Flashback Database reverses unwanted changes by returning your database to its state at a previous point in time.

17.4.1 Prerequisites of Flashback Database

Flashback Database works by undoing changes to the data files that exist at the moment that you run the command. Prerequisites must be met to perform a Flashback Database operation.

To use the `FLASHBACK DATABASE` command to return your database contents to points in time within the flashback window, your database must have been previously configured for flashback logging. To return the database to a guaranteed restore point, you must have previously defined a guaranteed restore point.

Note the following important prerequisites:

- No current data files are lost or damaged. You can only use `FLASHBACK DATABASE` to rewind changes to a data file made by an Oracle database, not to repair media failures.
- You are not trying to recover from accidental deletion of data files or undo a change to the database name.
- You are not trying to use `FLASHBACK DATABASE` to return to a point in time before the restore or re-creation of a control file. If the database control file is restored from backup or re-created, then all accumulated flashback log information is discarded.
- You are not trying to use `FLASHBACK DATABASE` to undo a compatibility change.

> **See Also:**
>
> - "Overview of Flashback Database, Restore Points and Guaranteed Restore Points"
> - "Using Normal and Guaranteed Restore Points"
> - *Oracle Database Backup and Recovery Reference* for a complete list of command prerequisites and usage notes for `FLASHBACK DATABASE`

17.4.2 Performing a Flashback Database Operation

You can rewind a whole multitenant container database (CDB) to a past point in time by using the `FLASHBACK DATABASE` command.

This topic presents a basic technique for performing a flashback of the database, specifying the desired target point in time with a time expression, the name of a normal or guaranteed restore point, or an SCN. It makes the following assumptions:

- You are rewinding the database to a point in time within the current database incarnation.

- The SCN used in the `FLASHBACK DATABASE` command refers to an SCN in the direct ancestral path of the database incarnations. An incarnation is in this path if it was not abandoned after the database was previously opened with the `RESETLOGS` option.

To perform a flashback database operation for a whole CDB:

1. Ensure that the prerequisites described in "Prerequisites of Flashback Database" are met.
2. Connect SQL*Plus to the target CDB and determine the desired SCN, restore point, or point in time for the `FLASHBACK DATABASE` command.

   Obtain the earliest SCN in the flashback database window as follows:

   ```
   SELECT OLDEST_FLASHBACK_SCN, OLDEST_FLASHBACK_TIME
   FROM V$FLASHBACK_DATABASE_LOG;
   ```

   The most recent SCN that can be reached with Flashback Database is the current SCN of the database. The following query returns the current SCN:

   ```
   SELECT CURRENT_SCN FROM V$DATABASE;
   ```

   You can query available guaranteed restore points as follows (sample output included):

   ```
   SELECT NAME, SCN, TIME, DATABASE_INCARNATION#,
          GUARANTEE_FLASHBACK_DATABASE
   FROM   V$RESTORE_POINT
   WHERE  GUARANTEE_FLASHBACK_DATABASE='YES';

   NAME                    SCN TIME
   DATABASE_INCARNATION# GUA
   --------------- ---------- ----------------------
   -------------------- ---
   BEFORE_CHANGES     5753126 04-MAR-12 12.39.45
   AM                       2 YES
   ```

   > **Note:**
   >
   > If the flashback window does not extend far enough back into the past to reach the desired target time, and if you do not have a guaranteed restore point at the desired time, then you can achieve similar results by using database point-in-time recovery, as described in "Performing Point-in-Time Recovery of a Database".

3. Shut down the database consistently, ensure that it is not opened by any instance, and then mount it:

   ```
   SHUTDOWN IMMEDIATE;
   STARTUP MOUNT;
   ```

4. Repeat the query in Step 2 of this procedure.

Some flashback logging data is generated when the database is shut down. If flashback logs were deleted due to space pressure in the fast recovery area, then your target SCN may not be reachable.

> **Note:**
>
> If you run `FLASHBACK DATABASE` when your target SCN is outside the flashback window, then `FLASHBACK DATABASE` fails with an `ORA-38729` error. In this case, your database does not change.

5. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in "Making Database Connections with RMAN".
6. To see which channels are preconfigured, run the `SHOW` command .

   During the flashback operation, RMAN may need to restore archived redo logs from backup. To see whether channels are configured, enter the following command (sample output is included):

   ```
   SHOW ALL;
   ```

   If the necessary devices and channels are configured, then no action is necessary. Otherwise, use the `CONFIGURE` command to configure automatic channels, or include `ALLOCATE CHANNEL` commands within a `RUN` block.
7. Run the `FLASHBACK DATABASE` command to perform a flashback operation for the whole CDB to a specified point in time.

   You can specify the target time by using an SCN, a time expression, or a CDB restore point.

   The following examples perform a flashback database operation for the whole CDB:

   ```
   FLASHBACK DATABASE TO SCN 345588;
   FLASHBACK DATABASE TO RESTORE POINT cdb_before_upgrade;
   ```

   When the `FLASHBACK DATABASE` command completes, the database is left mounted and recovered to the specified target time.
8. Open the CDB read-only in SQL*Plus and run some queries to verify the database contents.

   Open the CDB read-only as follows:

   ```
   ALTER DATABASE OPEN READ ONLY;
   ```

   If you are satisfied with the state of the database, then end the procedure with Step 9. If you are *not* satisfied with the state of the database, skip to Step 10.
9. If you are satisfied with the results, then perform either of the following mutually exclusive actions:

- Make the database available for updates by opening the database with the `RESETLOGS` option. If the database is open read-only, then execute the following commands in SQL*Plus:

```
SHUTDOWN IMMEDIATE
STARTUP MOUNT
ALTER DATABASE OPEN RESETLOGS;
```

> **Note:**
>
> After you perform this `OPEN RESETLOGS` operation, all changes to the database after the target SCN for `FLASHBACK DATABASE` are abandoned. Nevertheless, you can use the technique in "Rewinding the Database to an SCN in an Abandoned Incarnation Branch" to return the database to that range of SCNs while they remain in the flashback window.

- Make a logical backup of the objects whose state was corrupted by using Oracle Data Pump Export. Afterward, use RMAN to recover the database to the present time:

```
RECOVER DATABASE;
```

  This step undoes the effect of the Flashback Database by reapplying all changes in the redo logs to the database, returning it to the most recent SCN.

  After reopening the database read/write, you can import the exported objects with the Data Pump Import utility. See *Oracle Database Utilities* to learn how to use Data Pump.

10. If you find that you used the wrong restore point, time, or SCN for the flashback, then mount the database and perform one of the following mutually exclusive options:

    - If your chosen target time was not far enough in the past, then use another `FLASHBACK DATABASE` command to rewind the database further back in time:

```
FLASHBACK DATABASE TO SCN 42963;  #earlier than current SCN
```

    - If you chose a target SCN that is too far in the past, then use `RECOVER DATABASE UNTIL` to wind the database forward in time to the desired SCN:

```
RECOVER DATABASE UNTIL SCN 56963; #later than current SCN
```

    - If you want to completely undo the effect of the `FLASHBACK DATABASE` command, then you can perform complete recovery of the database by using the `RECOVER DATABASE` command without an `UNTIL` clause or `SET UNTIL` command:

```
RECOVER DATABASE;
```

The `RECOVER DATABASE` command reapplies all changes to the database, returning it to the most recent SCN.

11. Since the PDBs are not automatically opened when the CDB is opened, open the PDBs. The following command, when connected to the root, opens all the PDBs:

```
ALTER PLUGGABLE DATABASE ALL OPEN;
```

If you want to open only some PDBs, then you can open each PDB separately. The following command, when connected to the root, opens the PDB `my_pdb`.

```
ALTER PLUGGABLE DATABASE my_pdb OPEN;
```

> **Note:**
>
> When the `COMPATIBLE` initialization parameter is set to 12.1.0, in rare cases, performing a flashback database operation on a CDB across PDB (pluggable database) point-in-time recovery (PITR) or PDB flashback may result in the following error:
>
> ```
> ORA-39866: Data files for Pluggable Database <PDB_name> must be offline
> to flashback across special 12.1 PDB resetlogs
> ```
>
> To resolve this error and perform a flashback operation on a CDB across PDB PITR or PDB flashback, use the steps described in “Performing Flashback Database Operations on a CDB When a PDB Was Recovered Using DBPITR” in the *Oracle Database Backup and Recovery User’s Guide 12c Release 1 (12.1)*.

17.4.3 Performing a Flashback Database Operation for PDBs

You can perform a flashback database operation for a single pluggable database (PDB) using the `FLASHBACK DATABASE` command. Only data files related to that PDB are modified. The remaining PDBs in the CDB are not impacted and are available for use.

This topic presents a basic technique for performing a flashback of the PDB, specifying the desired target point in time with a time expression, the name of a normal or guaranteed restore point, or an SCN. It makes the following assumptions:

- You are rewinding the PDB to a point in time within the current database incarnation.
- The SCN used in the `FLASHBACK DATABASE` command refers to an SCN in the direct ancestral path of the PDB incarnations.

To perform a Flashback Database operation for a PDB:

1. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in Making Database Connections with RMAN.
2. Ensure that the CDB is open.

The following command, when connected to the root, displays the mode in which the CDB is open.

```
SELECT open_mode from V$DATABASE;
```

3. Determine the desired SCN, restore point, or point in time for the Flashback Database command.

   When using restore points, you can perform a flashback database operation either to a CDB restore point, PDB restore point, PDB clean restore point, or PDB guaranteed restore point.

   Query the `V$RESTORE_POINT` view to obtain the list of PDB restore points. `V$FLASHBACK_DATABASE_LOG` displays the oldest SCN to which a flashback operation can be performed.

4. Ensure that the PDB for which the Flashback Database operation must be performed is closed. Other PDBs can be open and operational.

   When connected to the root, the following `ALTER PLUGGABLE DATABASE` command closes the PDB `my_pdb`.

   ```
   ALTER PLUGGABLE DATABASE my_pdb CLOSE;
   ```

5. Perform a Flashback Database operation for the specified PDB to the desired point in time.

   The following are some examples of flashback database operations for PDBs.

   - For a PDB that uses local undo:

     ```
     FLASHBACK PLUGGABLE DATABASE my_pdb TO SCN 24368;
     FLASHBACK PLUGGABLE DATABASE my_pdb TO RESTORE POINT guar_rp;
     FLASHBACK PLUGGABLE DATABASE my_pdb TO CLEAN RESTORE POINT
     clean_rp;
     ```

   - For a PDB that uses shared undo, you can optionally include the `AUXILIARY DESTINATION` clause to specify a location for the auxiliary instance that stores data files restored as part of the Flashback Database operation. If you omit this clause, then the auxiliary instance is created in the fast recovery area.

     ```
     FLASHBACK PLUGGABLE DATABASE my_pdb TO SCN 24368 AUXILIARY
     DESTINATION '+data';
     FLASHBACK PLUGGABLE DATABASE my_pdb TO RESTORE POINT
     before_appl_changes AUXILIARY DESTINATION '/temp/aux_dest';
     FLASHBACK PLUGGABLE DATABASE my_pdb TO TIME
     "TO_DATE('03/20/15','MM/DD/YY')";
     ```

6. Open the PDB with `RESETLOGS`.

   The following command opens the PDB named `my_pdb` with `RESETLOGS`:

   ```
   ALTER PLUGGABLE DATABASE my_pdb OPEN RESETLOGS;
   ```

> **Note:**
>
> Flashback operations are not supported for proxy PDBs.

> **Note:**
>
> - About Restore Points in PDBs
> - About Flashback Database Operations with PDBs

17.4.4 Performing a Flashback Operation on a PDB to an Ancestor or Orphan Incarnation

You can flash back a PDB to an orphan PDB incarnation that is either within the same CDB incarnation or in an ancestor CDB incarnation.

> **Note:**
>
> - Flashback of a PDB to an orphan incarnation is supported only when the database uses local undo.
> - Flashback of a PDB to an orphan CDB incarnation is not supported.

For example, assume today is Friday. You perform a flashback operation on a PDB, `pdb1`, to 4 pm on Wednesday and then open the PDB with `RESETLOGS`. All SCNs between 4pm on Wednesday and the current time are now on an orphan PDB incarnation. Starting with Oracle Database Release 21c, you can perform a flashback operation on `pdb1` to any time on Thursday because this time is within the current CDB incarnation.

Performing a flashback operation on a particular PDB modifies the data files for that PDB only. The remaining PDBs in the CDB are not impacted. The point in time to which the PDB must be flashed back is specified using a specific time, SCN, CDB restore point, PDB restore point, PDB clean restore point, or PDB guaranteed restore point.

Flashback data, until the point-in-time specified for the flashback, must be available.

1. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. See "Making Database Connections with RMAN".
2. Ensure that the CDB is open.

   The following command, when connected to the root, displays the current mode of the CDB.

   ```
   SELECT open_mode from V$DATABASE;
   ```

3. If the CDB uses an Oracle keystore to encrypt backup data, ensure that the keystore is open.

The following command opens the keystore:

```
ADMINISTER KEY MANAGEMENT SET KEYSTORE OPEN IDENTIFIED BY
"my_password";
```

4. Determine the desired SCN, restore point, or point in time for the Flashback Database command. This point must be within the current CDB incarnation or an ancestor CDB incarnation.
5. Ensure that the PDB that must be flashed back is closed. Other PDBs can be open and operational.

   When connected to the root, the following command closes the PDB `my_pdb`.

   ```
   ALTER PLUGGABLE DATABASE my_pdb CLOSE;
   ```

6. Set the orphan PDB incarnation to which the flashback PDB operation must be performed.

   This step is required only if the flashback operation is to an SCN or a CDB restore point in an orphan PDB incarnation. You need not set the orphan PDB incarnation, if the flashback operation is to a specified time or PDB restore point.

   The following command, when connected to the root, sets the recovery target PDB incarnation for the PDB named `my_pdb` to 5:

   ```
   RESET PLUGGABLE DATABASE my_pdb INCARNATION TO 5;
   ```

7. Perform a Flashback Database operation for the specified PDB to the desired point in time.

   The following are examples of flashback database operations on a PDB that uses local undo:

   ```
   FLASHBACK PLUGGABLE DATABASE my_pdb TO SCN 153478;


   FLASHBACK PLUGGABLE DATABASE my_pdb TO RESTORE POINT clean_rp;
   ```

8. Open the PDB with `RESETLOGS`.

   The following command opens the PDB named `my_pdb` with `RESETLOGS`:

   ```
   ALTER PLUGGABLE DATABASE my_pdb OPEN RESETLOGS;
   ```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

17.4.5 Monitoring Flashback Database

Data dictionary views contain information that is used to monitor flashback database.

When you use Flashback Database to rewind a database to a past target time, Flashback Database determines which blocks changed after the target time and restores them from the flashback logs. This is called the **restore phase**. After this phase completes, Flashback Database then uses redo logs to reapply changes that were made after these blocks were written to the flashback logs. This is called the **recovery phase**.

The progress of Flashback Database during the restore phase can be monitored by querying the `V$SESSION_LONGOPS` view. The `opname` is `Flashback Database`. Under the column `TOTALWORK` is the number of megabytes of flashback logs that must be read. The column `SOFAR` in the following example lists the number of megabytes that have been currently read.

Example 17-3 Tracking Flashback Database Progress - Restore Phase

```
SQL> SELECT sofar, totalwork, units FROM v$session_longops WHERE opname = 'Flashback
Database';

SOFAR  TOTALWORK  UNITS
-----  ---------- --------------------------------
   17          60 Megabytes
```

The progress of Flashback Database during the recovery phase can be monitored by querying the view `V$RECOVERY_PROGRESS`.

> **See Also:**
>
> The *Oracle Database Reference* for information about the view `V$RECOVERY_PROGRESS`

17.5 Performing Database Point-in-Time Recovery

Use the `RECOVER` command to perform point-in-time recovery (PITR) of container databases (CDBs) and pluggable databases (PDBs). PITR of PDBs can only be performed using RMAN.

RMAN DBPITR restores the database from backups before the target time for recovery, then uses incremental backups and redo to roll the database forward to the target time. You can recover to an SCN, time, log sequence number, or restore point. Oracle recommends that you create restore points at important times to make point-in-time recovery more manageable if it ever becomes necessary.

Oracle recommends that you perform Flashback Database rather than database point-in-time recovery if possible. Media recovery with backups are the last option when flashback technologies cannot be used to undo the most recent changes.

17.5.1 Prerequisites of Database Point-in-Time Recovery

Certain prerequisites must be met to perform database point-in-time recovery (DBPITR).

This includes the following:

- Your database must be running in `ARCHIVELOG` mode.
- You must have backups of all data files from before the target SCN for DBPITR and archived logs for the period between the SCN of the backups and the target SCN.
- If the backups were created using transparent encryption, and if a password-protected software keystore was used, then the keystore password must be provided before the restore operation is performed. Use the `SET` command with the `DECRYPTION WALLET OPEN IDENTIFIED BY` option to specify the password that must be used to open the password-based keystore.

  If a user with the `SYSBACKUP` privilege is performing the recovery, and a password-protected software keystore is used, grant the `SYSKM` privilege to this user.
- If the backups were created using password-mode encryption, then you must provide the password used to decrypt backups before you run the `RESTORE` and `RECOVER` commands. Use the `SET DECRYPTION IDENTIFIED BY` command to specify the password used to decrypt the backups.

> **See Also:**
>
> - `SET` command in *Oracle Database Backup and Recovery Reference* for the syntax and usage of this command
> - `RECOVER` command in *Oracle Database Backup and Recovery Reference* for a complete account of command prerequisites and usage notes

17.5.2 Performing Point-in-Time Recovery of a Database

Use the `RESTORE` and `RECOVER` commands to perform point-in-time recovery for a whole CDB.

When performing DBPITR, you can avoid errors by using the `SET UNTIL` command to set the target time at the beginning of the procedure, rather than specifying the `UNTIL` clause on the `RESTORE` and `RECOVER` commands individually. This ensures that the data files restored from backup have time stamps early enough to be used in the subsequent `RECOVER` operation.

This section makes the following assumptions:

- You are performing DBPITR within the current database incarnation. If your target time is not in the current incarnation, then see "Recovering the Database to an Ancestor Incarnation" for more information about DBPITR to ancestor incarnations.
- The control file is current. If you must restore a backup control file, then see "Performing Recovery with a Backup Control File".
- Your database is using the current server parameter file. If you must restore a backup server parameter file, then see "Restoring the Server Parameter File".

To perform point-in-time recovery of a whole CDB:

1. Ensure that the prerequisites described in "Prerequisites of Database Point-in-Time Recovery" are met.
2. Determine the time, SCN, restore point, or log sequence that ends recovery.

   You can use the Flashback Query features to help you identify when the logical corruption occurred. If you have a flashback data archive enabled for a table, then you can query data that existed far in the past.

   You can also use the alert log to try to determine the time of the event from which you must recover.

   Alternatively, you can use a SQL query to determine the log sequence number that contains the target SCN and then recover through this log. For example, run the following query to list the logs in the current database incarnation (sample output included):

   ```
   SELECT RECID, STAMP, THREAD#, SEQUENCE#, FIRST_CHANGE#
          FIRST_TIME, NEXT_CHANGE#
   FROM   V$ARCHIVED_LOG
   WHERE  RESETLOGS_CHANGE# =
          ( SELECT RESETLOGS_CHANGE#
            FROM   V$DATABASE_INCARNATION
            WHERE  STATUS = 'CURRENT');

   RECID      STAMP      THREAD#    SEQUENCE#  FIRST_CHAN FIRST_TIM
   NEXT_CHANG
   ---------- ---------- ---------- ---------- ---------- ---------
   ----------
            1  344890611          1          1      20037 24-SEP-13
   20043
            2  344890615          1          2      20043 24-SEP-13
   20045
            3  344890618          1          3      20045 24-SEP-13
   20046
   ```

   For example, if you discover that a user accidentally dropped a tablespace at 9:02 a.m., then you can recover to 9 a.m., just before the drop occurred. You lose all changes to the database made after this time.
3. If you are using a target time expression instead of a target SCN, then ensure that the time format environment variables are appropriate before invoking RMAN.

   The following are sample Globalization Support settings:

   ```
   NLS_LANG = american_america.us7ascii
   NLS_DATE_FORMAT="Mon DD YYYY HH24:MI:SS"
   ```
4. Connect RMAN to the root as a common user with the `SYSBACKUP` or `SYSDBA` privilege, as described in "Connecting as Target to the Root". If applicable, connect to a recovery catalog.
5. Bring the CDB to a mounted state.

   ```
   SHUTDOWN IMMEDIATE;
   STARTUP MOUNT;
   ```
6. Perform the following operations within a `RUN` block:

a. For DBPITR, use `SET UNTIL` to specify the target time, SCN, or log sequence number, or use `SET TO` to specify a restore point. If specifying a time, then use the date format specified in the `NLS_LANG` and `NLS_DATE_FORMAT` environment variables.

b. If automatic channels are not configured, then manually allocate disk and tape channels as needed.

c. Restore and recover the CDB.

The following example performs DBPITR on the target CDB until SCN 1000:

```
RUN
{
  SET UNTIL SCN 1000;
  RESTORE DATABASE;
  RECOVER DATABASE;
}
```

As shown in the following examples, you can also use time expressions, restore points, or log sequence numbers to specify the `SET UNTIL` time:

```
SET UNTIL TIME 'Nov 15 2013 09:00:00';
SET UNTIL SEQUENCE 9923;
SET TO RESTORE POINT before_update;
```

If the operation completes without errors, then DBPITR has succeeded.

7. Perform either of the following mutually exclusive actions:

- Open your CDB for read/write, abandoning all changes after the target SCN. In this case, you must shut down the CDB, mount it, and then execute the following command:

  ```
  ALTER DATABASE OPEN RESETLOGS;
  ```

  The `OPEN RESETLOGS` operation fails if a data file is offline unless the data file went offline normally or is read-only. You can bring files in read-only or offline normal tablespaces online after the `RESETLOGS` because they do not need any redo.

- Export one or more objects from your CDB with Data Pump Export. You can then recover the CDB to the current point in time and reimport the exported objects, thus returning these objects to their state before the unwanted change without abandoning all other changes.

8. Open all the PDBs.

PDBs are not opened when the CDB is opened. The following command, when connected to the root, opens all the PDBs.

```
ALTER PLUGGABLE DATABASE ALL OPEN;
```

You can open each PDB separately.

17.5.3 Performing Point-in-Time Recovery of PDBs

When you recover one or more PDBs to a specified point-in-time, the remaining PDBs in the CDB are not affected and they can be open and operational.

> **Note:**
>
> If you are not using a recovery catalog, it is recommended that you turn on control file auto backups. Otherwise, PITR for PDBs may not work effectively when RMAN needs to undo data file additions or deletions.

When performing DBPITR on one or more PDBs in a CDB that uses shared undo, backups of the root and the CDB seed (`PDB$SEED`) of the CDB that contains the PDBs are required.

Starting with Oracle Database 12*c* Release 2 (12.2), if the `COMPATIBLE` initialization parameter is set to 12.2.0 or higher, you can perform flashback database for a CDB across a PDB flashback operation or PDB PITR.

To perform DBPITR on a PDB:

1. Ensure that the prerequisites described in "Prerequisites of Database Point-in-Time Recovery" are met.
2. Determine the time, SCN, restore point, or log sequence that ends recovery.

   Use Flashback Query or the alert log to determine when the logical corruption occurred. Or, use a SQL query to determine the log sequence number that contains the target SCN and then recover through this log.
3. If you are using a target time expression instead of a target SCN, then ensure that the time format environment variables are appropriate before invoking RMAN.

   The following are sample Globalization Support settings:

   ```
   NLS_LANG = american_america.us7ascii
   NLS_DATE_FORMAT="Mon DD YYYY HH24:MI:SS"
   ```
4. Connect RMAN to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in "Making Database Connections with RMAN". If applicable, connect to a recovery catalog.
5. Close the PDB that is being recovered. The other PDBs and the CDB can remain open.

   ```
   ALTER PLUGGABLE DATABASE pdb1 CLOSE;
   ```
6. Perform the following operations within a `RUN` block:
   a. For DBPITR, use `SET UNTIL` to specify the target time, SCN, or log sequence number, or use `SET TO` to specify a restore point. If specifying a time, then use the date format specified in the `NLS_LANG` and `NLS_DATE_FORMAT` environment variables.
   b. If automatic channels are not configured, then manually allocate disk and tape channels as needed.
   c. Restore and recover the CDB.

   The following example performs DBPITR on the PDB `my_pdb` until SCN 1000:

```
RUN
{
  SET UNTIL SCN 1000;
  RESTORE PLUGGABLE DATABASE my_pdb;
  RECOVER PLUGGABLE DATABASE my_pdb;
}
```

If the operation completes without errors, then DBPITR has succeeded.

7. Open the PDB abandoning all changes after the target SCN.

   The following example opens the PDB named `my_pdb`.

```
ALTER PLUGGABLE DATABASE my_pdb OPEN RESETLOGS;
```

Example 17-4 Recovering a PDB to a Specified Point-in-time

This example recovers a PDB named `PDB5` up to the SCN 1066 and then opens it for read/write access. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege and enter the following commands:

```
ALTER PLUGGABLE DATABASE pdb5 CLOSE;
run
{
   SET UNTIL SCN 1066;
   RESTORE PLUGGABLE DATABASE pdb5;
   RECOVER PLUGGABLE DATABASE pdb5;
}
ALTER PLUGGABLE DATABASE pdb5 OPEN RESETLOGS;
```

This example assumes that a fast recovery area is being used. If you do not use a fast recovery area, then you must specify the temporary location of the auxiliary set files by using the `AUXILIARY DESTINATION` clause.

Opening the PDB with `RESETLOGS` creates a new PDB incarnation. You can query the `V$PDB_INCARNATION` view for the incarnation number.

> **See Also:**
>
> "Basic Concepts of Point-in-Time Recovery for PDBs" for information about the fast recovery area usage during point-in-time recovery of PDBs

17.5.4 Performing Point-in-Time Recovery of PDBs to an Ancestor or Orphan Incarnation

You can perform point-in-time recovery (PITR) of a PDB to an orphan PDB incarnation that is either within the same CDB incarnation or in an ancestor CDB incarnation.

Performing PITR on a particular PDB modifies the data files for that PDB only. The remaining PDBs in the CDB are not impacted. The point in time to which the PDB must be recovered is specified as a specific time, SCN, CDB restore point, PDB restore point, PDB clean restore point, or PDB guaranteed restore point.

> **Note:**
>
> - Point-in-time recovery of a PDB to an orphan incarnation is supported only when the database uses local undo.
> - Point-in-time recovery of a PDB to an orphan CDB incarnation is not supported.

Backups of all files, until the point specified for PITR, must be available.

1. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. See "Making Database Connections with RMAN".
2. Ensure that the CDB is open.

   The following command, when connected to the root, displays the current mode of the CDB.

   ```
   SELECT open_mode from V$DATABASE;
   ```

3. If the CDB uses an Oracle keystore to encrypt backup data, ensure that the keystore is open.

   The following command opens the keystore:

   ```
   ADMINISTER KEY MANAGEMENT SET KEYSTORE OPEN IDENTIFIED BY "my_password";
   ```

4. Determine the desired SCN, restore point, or point in time for the PDB PITR operation. This point must be within the current CDB incarnation or in an ancestor CDB incarnation.
5. Ensure that the PDB that must be recovered is closed. Other PDBs can be open and operational.

   When connected to the root, the following command closes the PDB `my_pdb`.

   ```
   ALTER PLUGGABLE DATABASE my_pdb CLOSE;
   ```

6. Set the orphan PDB incarnation to which PDB PITR must be performed.

   This step is required only if the PITR is to an SCN or a CDB restore point in an orphan PDB incarnation. You need not set the orphan PDB incarnation, if the PITR is to a specified time or PDB restore point.

   The following command, when connected to the root, sets the recovery target PDB incarnation for the PDB named `my_pdb` to 5:

   ```
   RESET PLUGGABLE DATABASE INCARNATION TO 5;
   ```

7. Perform point-in-time recovery of the specified PDB to the desired point in time.

   The following are some examples of point-in-time recovery operations for a PDB that uses local undo.

   ```
   RESTORE PLUGGABLE DATABASE my_pdb TO RESTORE POINT clean_rp;
   RECOVER PLUGGABLE DATABASE my_pdb TO RESTORE POINT clean_rp;
   ```

8. Open the PDB with `RESETLOGS`.

The following command opens the PDB named `my_pdb` with `RESETLOGS`:

```
ALTER PLUGGABLE DATABASE my_pdb OPEN RESETLOGS;
```

Related Topics

- Making Database Connections with RMAN
  You can create connections to the root or a pluggale database (PDB). There are multiple methods of connecting to the database and authenticating these connections.

17.5.5 Recovering a Dropped PDB

Use the `RECOVER PLUGGABLE DATABASE` command to recover a PDB that was dropped.

Ensure that the backups required to recover the PDB are available. You need a backup of the control file, root, and the PDB that was dropped.

To recover a dropped PDB:

1. Ensure that the prerequisites described in "Prerequisites of Database Point-in-Time Recovery" are met.

   If the PDB was configured with isolated mode setting, then open the PDB keystore.

2. Determine the time, SCN, or log sequence to which the PDB must be recovered.

   Use Flashback Query or the alert log to determine when the logical corruption occurred. Or, use a SQL query to determine the log sequence number that contains the target SCN and then recover through this log.

3. If you are using a target time expression instead of a target SCN, then ensure that the time format environment variables are appropriate before invoking RMAN.

4. Connect RMAN to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in "Making Database Connections with RMAN". If applicable, connect to a recovery catalog.

5. Perform the following operations within a `RUN` block:

   a. Use `SET UNTIL` to specify the target time, SCN, or log sequence number, or use `SET TO` to specify a restore point. If specifying a time, then use the date format specified in the `NLS_LANG` and `NLS_DATE_FORMAT` environment variables.

   b. If automatic channels are not configured, then manually allocate disk and tape channels as needed.

   c. Recover the dropped PDB.

      The following example recovers the PDB `mypdb` that was previously dropped.

      ```
      run
      {
          SET UNTIL SCN 146898;
          RECOVER PLUGGABLE DATABASE mypdb;
      }
      ```

      If a fast recovery area is not used, include the `AUXILIARY DESTINATION` clause in the `RECOVER` command.

6. Open the PDB abandoning all changes after the target SCN.

   The following example opens the PDB named `mypdb`:

   ```
   ALTER PLUGGABLE DATABASE mypdb OPEN RESETLOGS;
   ```

17.6 Performing Point-in-Time Recovery of Application PDBs

Use the `RESTORE` and `RECOVER` commands to perform point-in-time recovery of an application PDB.

The `COMPATIBLE` parameter for the CDB must be set to 12.2 or higher.

To perform point-in-time recovery of an application PDB:

1. Ensure that the prerequisites for point-in-time recovery are met. Ensure that the prerequisites for point-in-time recovery are met, as described in "Prerequisites of Database Point-in-Time Recovery".
2. Start RMAN and connect to the application rooot as an application common user with the `SYSDBA` or `SYSBACKUP` privilege.

   The application root has its own service name and you can connect to the application root in the same way that you connect to a PDB.
3. Determine the time, SCN, restore point, or log sequence that ends recovery.
4. Close the application PDB that must be recovered.

   The following command closes the application PDB called `hr_appcont_pdb1`.

   ```
   ALTER PLUGGABLE DATABASE hr_appcont_pdb1 CLOSE;
   ```

5. Perform the following operations within a `RUN` block:
   a. Use `SET UNTIL` to specify the target time, SCN, or log sequence number, or use `SET TO` to specify a restore point. If specifying a time, then use the date format specified in the `NLS_LANG` and `NLS_DATE_FORMAT` environment variables.
   b. If automatic channels are not configured, then manually allocate disk and tape channels as needed.
   c. Restore and recover the application container.

   ```
   RUN
   {
   SET UNTIL SCN 34506;
   RESTORE PLUGGABLE DATABASE hr_appcont_pdb1;
   RECOVER PLUGGABLE DATABASE hr_appcont_pdb1;
   }
   ```

6. Open the application PDB with `RESETLOGS`. This results in all changes after the target SCN being abandoned.

   ```
   ALTER PLUGGABLE DATABASE hr_appcont_pdb1 OPEN RESETLOGS;
   ```

> **See Also:**
>
> Making Database Connections with RMAN

17.7 Performing Point-in-Time Recovery of Sparse Databases

Performing point-in-time recovery of sparse databases is similar to performing point-in-time recovery of normal databases.

Ensure that the `COMPATIBLE` initialization parameter of the database being recovered is set to 12.2 or higher.

> **Note:**
>
> The base (read-only) data files in a sparse database are not encrypted. Ensure that the base data files are stored in a protected storage and accessed using secured communications.

To perform point-in-time recovery of a sparse database:

1. Ensure that the prerequisites described in "Prerequisites of Database Point-in-Time Recovery" are met.
2. Determine the time, SCN, restore point, or log sequence that ends recovery.

   You can use the Flashback Query features to help you identify when the logical corruption occurred. If you have a flashback data archive enabled for a table, then you can query data that existed far in the past.

   You can also use the alert log to try to determine the time of the event from which you must recover.

   Alternatively, you can use a SQL query to determine the log sequence number that contains the target SCN and then recover through this log. For example, run the following query to list the logs in the current database incarnation (sample output included):

```
SELECT RECID, STAMP, THREAD#, SEQUENCE#, FIRST_CHANGE#
       FIRST_TIME, NEXT_CHANGE#
FROM   V$ARCHIVED_LOG
WHERE  RESETLOGS_CHANGE# =
       ( SELECT RESETLOGS_CHANGE#
         FROM   V$DATABASE_INCARNATION
         WHERE  STATUS = 'CURRENT');

RECID      STAMP      THREAD#    SEQUENCE#  FIRST_CHAN FIRST_TIM NEXT_CHANG
---------- ---------- ---------- ---------- ---------- --------- ----------
         1  344890611          1          1      20037 24-SEP-13      20043
         2  344890615          1          2      20043 24-SEP-13      20045
         3  344890618          1          3      20045 24-SEP-13      20046
```

For example, if you discover that a user accidentally dropped a tablespace at 9:02 a.m., then you can recover to 9 a.m., just before the drop occurred. You lose all changes to the database made after this time.

3. If you are using a target time expression instead of a target SCN, then ensure that the time format environment variables are appropriate before invoking RMAN.

   The following are sample Globalization Support settings:

   ```
   NLS_LANG = american_america.us7ascii
   NLS_DATE_FORMAT="Mon DD YYYY HH24:MI:SS"
   ```

4. Connect RMAN to the target database and, if applicable, the recovery catalog database, as described in "Making Database Connections with RMAN".

5. Bring the database to a mounted state.

   ```
   SHUTDOWN IMMEDIATE;
   STARTUP MOUNT;
   ```

   If the operation completes without errors, then DBPITR has succeeded.

6. Perform the following operations within a `RUN` block:

   a. For DBPITR, use `SET UNTIL` to specify the target time, SCN, or log sequence number, or use `SET TO` to specify a restore point. If specifying a time, then use the date format specified in the `NLS_LANG` and `NLS_DATE_FORMAT` environment variables.

   b. If automatic channels are not configured, then manually allocate disk and tape channels as needed.

   c. Restore and recover the sparse database with the `FROM SPARSE` option.

      The following example performs point-in-time recovery for a sparse database till the SCN `2775080`:

      ```
      RUN
      {
      SET UNTIL SCN 2775080;
      RESTORE FROM SPARSE DATABASE;
      RECOVER DATABASE;
      }
      ```

7. Perform either of the following mutually exclusive actions:

   - Open your database for read/write, abandoning all changes after the target SCN. In this case, you must shut down the database, mount it, and then execute the following command:

     ```
     ALTER DATABASE OPEN RESETLOGS;
     ```

     The `OPEN RESETLOGS` operation fails if a data file is offline unless the data file went offline normally or is read-only. You can bring files in read-only or offline normal tablespaces online after the `RESETLOGS` because they do not need any redo.

   - Export one or more objects from your database with Data Pump Export. You can then recover the database to the current point in time and reimport the exported objects, thus returning these objects to their state before the unwanted change without abandoning all other changes.

17.8 Flashback and Database Point-in-Time Recovery Scenarios

This section describes variations of the basic Flashback Database and DBPITR scenarios.

This section contains the following topics:

- Rewinding an OPEN RESETLOGS Operation with Flashback Database
- Rewinding the Database to an SCN in an Abandoned Incarnation Branch
- Recovering the Database to an Ancestor Incarnation

17.8.1 Rewinding an OPEN RESETLOGS Operation with Flashback Database

Flashback Database can be used to undo an `OPEN RESETLOGS` operation.

The procedure for using Flashback Database to reverse an unwanted `ALTER DATABASE OPEN RESETLOGS` statement is similar to the general case described in "Performing a Flashback Database Operation". Rather than specifying a particular SCN or point in time for the `FLASHBACK DATABASE` command, however, you use `FLASHBACK DATABASE TO BEFORE RESETLOGS`.

To undo an `OPEN RESETLOGS` operation:

1. Connect SQL*Plus to the target database and verify that the beginning of the flashback window is earlier than the time of the most recent `OPEN RESETLOGS`.

   Run the following queries:

   ```
   SELECT RESETLOGS_CHANGE# FROM V$DATABASE;
   SELECT OLDEST_FLASHBACK_SCN FROM V$FLASHBACK_DATABASE_LOG;
   ```

   If `V$DATABASE.RESETLOGS_CHANGE#` is greater than `V$FLASHBACK_DATABASE_LOG.OLDEST_FLASHBACK_SCN`, then you can use Flashback Database to reverse the `OPEN RESETLOGS` operation.

2. Shut down the database, mount it, and recheck the flashback window. If the resetlogs SCN is still within the flashback window, then proceed to the next step.

3. Connect RMAN to the target database, as described in "Making Database Connections with RMAN".

4. Perform a flashback to the SCN immediately before the `RESETLOGS`.

   Use the following form of the `FLASHBACK DATABASE` command:

   ```
   FLASHBACK DATABASE TO BEFORE RESETLOGS;
   ```

   As with other uses of `FLASHBACK DATABASE`, if the target SCN is before the beginning of the flashback database window, an error is returned and the database is not modified. If the command completes successfully, then the database is left mounted and recovered to the most recent SCN before the `OPEN RESETLOGS` operation in the previous incarnation.

5. Open the database read-only in SQL*Plus and perform queries as needed to ensure that the effects of the logical corruption have been reversed.

   Open the database read-only as follows:

   ```
   ALTER DATABASE OPEN READ ONLY;
   ```

6. To make the database available for updates again, shut down the database, mount it, and then execute the following command:

   ```
   ALTER DATABASE OPEN RESETLOGS;
   ```

17.8.1.1 About Undoing an OPEN RESETLOGS on Standby Databases with Flashback Database

Flashback Database across `OPEN RESETLOGS` may be used to perform multiple functions in a Data Guard environment.

This includes the following:

- Flashback to undo logical standby switchovers

  In this case, the database reverts to its role (primary or standby) at the target time for the Flashback Database operation.

- Undo of a physical standby activation

  You can temporarily activate a physical standby database, use it for testing or reporting purposes, and then use Flashback Database to return it to its role as a physical standby.

- Ongoing use of a standby database for testing

  The use of Flashback Database means that you do not require the use of storage snapshots.

> **See Also:**
>
> *Oracle Data Guard Concepts and Administration* for details on these advanced applications of Flashback Database with Data Guard

17.8.2 Rewinding the Database to an SCN in an Abandoned Incarnation Branch

You can use Flashback Database to rewind a database to an abandoned database incarnation.

The effect of Flashback Database or DBPITR followed by an `OPEN RESETLOGS` operation is to return the database to a previous SCN, and to abandon changes after this point. Therefore, some SCNs after that point can refer either to changes that were abandoned or changes in the current history of the database. In this way, a target SCN specified in `FLASHBACK DATABASE` can be ambiguous.

Unlike SCNs, time expressions and restore points are not ambiguous. A time expression is always associated with the incarnation that was current at that time. A restore point is always associated with the current incarnation when it was created. This is true even for times and restore points that correspond to abandoned database incarnations. The database

incarnation is automatically reset to the incarnation that was current at the specified time or when the restore point was created.

You may want to use Flashback Database to rewind the database to an SCN in the parent incarnation that is later than the SCN of the `OPEN RESETLOGS` operation at which the current incarnation path branched from the old incarnation. Figure 14-1 shows how SCNs can be generated in an incarnation branch even after an `OPEN RESETLOGS` operation creates a new incarnation. As shown in the diagram, the database could be at SCN 3000 in incarnation 3 when you must return to the abandoned SCN 1500 in incarnation 1.

If the SCN to which you are rewinding is in the direct ancestral path, or if you are rewinding the database to a restore point, then an explicit `RESET DATABASE` command is not necessary for Flashback Database. However, an explicit `RESET DATABASE TO INCARNATION` command is required when you use `FLASHBACK DATABASE` to rewind the database to an SCN in an abandoned database incarnation.

To rewind the database to an SCN in an abandoned incarnation branch:

1. Use SQL*Plus to connect to the target database and verify that the flashback logs contain enough information to flash back to the SCN.

   For example, execute the following query:

   ```
   SELECT OLDEST_FLASHBACK_SCN FROM V$FLASHBACK_DATABASE_LOG;
   ```

2. Determine the target incarnation number for the Flashback Database operation, that is, the incarnation key for the parent incarnation.

   For example, execute the following query:

   ```
   SELECT PRIOR_INCARNATION#
   FROM   V$DATABASE_INCARNATION
   WHERE  STATUS = 'CURRENT';
   ```

3. Start RMAN and connect to the target database, as described in "Making Database Connections with RMAN".

4. Shut down the database, and then mount it as follows:

   ```
   SHUTDOWN IMMEDIATE;
   STARTUP MOUNT;
   ```

5. Set the database incarnation to the parent incarnation.

   For example, use the following command to return to incarnation 1:

   ```
   RESET DATABASE TO INCARNATION 1;
   ```

6. Run the `FLASHBACK DATABASE` command, specifying the target SCN.

   For example, use the following command to rewind the database to SCN 1500:

   ```
   FLASHBACK DATABASE TO SCN 1500;
   ```

7. Open the database read-only in SQL*Plus and perform queries as needed to ensure that the effects of the logical corruption have been reversed.

   Open the database read-only as follows:

   ```
   ALTER DATABASE OPEN READ ONLY;
   ```

8. To make the database available for updates again, shut down the database, mount it, and then execute the following command:

```
ALTER DATABASE OPEN RESETLOGS;
```

> **See Also:**
>
> - "About Database Incarnations" for useful background information about database incarnations, abandoned changes, and the effects of `ALTER DATABASE OPEN RESETLOGS`
> - *Oracle Database Backup and Recovery Reference* for details about the `RESET DATABASE` command

17.8.3 Recovering the Database to an Ancestor Incarnation

To perform DPITR to an noncurrent database incarnation, you must explicitly execute the `RESET DATABASE` to reset the database to the incarnation that was current at the target SCN. You must also restore a control file from the database incarnation containing the target SCN.

When RMAN is connected to a recovery catalog, a `RESTORE CONTROLFILE` command only searches the current database incarnation for the closest time specified in the `UNTIL` clause. To restore a control file from a noncurrent incarnation, you must execute `LIST INCARNATION` to identify the target database incarnation and specify this incarnation in the `RESET DATABASE TO INCARNATION` command.

When RMAN is not connected to a recovery catalog, you cannot execute the `RESET DATABASE TO INCARNATION` command before the database is mounted. Thus, you must execute `SET UNTIL`, restore the control file from autobackup, and then mount it.

Assume the following situation:

- RMAN is connected to a recovery catalog.
- You have a backup of target database `trgt` from October 2, 2013.
- DBPITR was performed on this database on October 10, 2013 to correct an earlier error. The `OPEN RESETLOGS` operation after that DBPITR started a new incarnation.

On October 25, you discover that you need crucial data that was dropped from the database at 8:00 a.m. on October 8, 2013. This time is before the beginning of the current incarnation.

To perform DBPITR to a noncurrent incarnation:

1. Ensure that the prerequisites described in "Prerequisites of Database Point-in-Time Recovery" are met.
2. Start RMAN and connect to a target database and recovery catalog, if required, as described in "Making Database Connections with RMAN".
3. Determine which database incarnation was current at the time of the backup.

   Use `LIST INCARNATION` to find the primary key of the incarnation that was current at the target time:

   ```
   LIST INCARNATION OF DATABASE trgt;

   List of Database Incarnations
   DB Key  Inc Key   DB Name   DB ID       STATUS     Reset SCN    Reset Time
   ------- -------   -------   ------      -------    ----------   ----------
   ```

```
1       2         TRGT      1224038686  PARENT     1            02-OCT-13
1       582       TRGT      1224038686  CURRENT    59727        10-OCT-13
```

Look at the `Reset SCN` and `Reset Time` columns to identify the correct incarnation, and note the incarnation key in the `Inc Key` column. In this example, the backup was made 2 October 2013. In this case, the incarnation key value is 2.

4. Ensure that the database is started but not mounted.

```
STARTUP FORCE NOMOUNT;
```

5. Reset the target database to the incarnation obtained in Step 2.

In this example, specify the incarnation current at the time of the backup of 2 October. Use the value from the `Inc Key` column to identify the incarnation.

```
RESET DATABASE TO INCARNATION 2;
```

6. Restore and recover the database, performing the following actions in the `RUN` command:
   - Set the end time for recovery to the time just before the loss of the data.
   - Allocate any channels required that are not configured.
   - Restore the control file from the October 2 backup and mount it.
   - Restore the data files and recover the database. Use the `RECOVER DATABASE ... UNTIL` command to perform DBPITR, bringing the database to the target time of 7:55 a.m. on October 8, just before the data was lost.

The following example shows all of the steps required in this case:

```
RUN
{
  SET UNTIL TIME 'Oct 8 2013 07:55:00';
  RESTORE CONTROLFILE;
  # without recovery catalog, use RESTORE CONTROLFILE FROM AUTOBACKUP
  ALTER DATABASE MOUNT;
  RESTORE DATABASE;
  RECOVER DATABASE;
}
ALTER DATABASE OPEN RESETLOGS;
```

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for details about the `RESET DATABASE` command

18
Performing Block Media Recovery

You can restore and recover individual data blocks within a data file.

> **See Also:**
>
> - *Oracle Database Backup and Recovery Reference* for `RECOVER` syntax
> - *Oracle Database Reference* for details about the `V$DATABASE_BLOCK_CORRUPTION` view

18.1 Overview of Block Media Recovery

Block media recovery recovers provides lower mean time to recover (MTTR) by recovering corrupt data blocks.

This section contains the following topics:

- Purpose of Block Media Recovery
- Basic Concepts of Block Media Recovery

18.1.1 Purpose of Block Media Recovery

Use block media recovery to recover one or more corrupt data blocks within a data file.

Block media recovery provides the following advantages over data file media recovery:

- Lowers the mean time to recover (MTTR) because only blocks needing recovery are restored and recovered
- Enables affected data files to remain online during recovery

  Without block media recovery, if even a single block is corrupt, then you must take the data file offline and restore a backup of the data file. You must apply all redo generated for the data file after the backup was created. The entire file is unavailable until media recovery completes. With block media recovery, only the blocks actually being recovered are unavailable during the recovery.

Block media recovery is most useful for physical corruption problems that involve a small, well-known number of blocks. Block-level data loss usually results from intermittent, random I/O errors that do not cause widespread data loss, and memory corruptions that are written to disk. Block media recovery is not intended for cases where the extent of data loss or corruption is unknown and the entire data file requires recovery. In such cases, data file media recovery is the best solution.

18.1.2 Basic Concepts of Block Media Recovery

Usually, the database marks a block as media corrupt and then writes it to disk when the corruption is first encountered. No subsequent read of the block is successful until the block is recovered. You can perform block recovery only on blocks that are marked corrupt or that fail a corruption check.

Typically, block corruption is reported in the following locations:

- Results of the `VALIDATE` command or the `BACKUP ... VALIDATE` command
- The `V$DATABASE_BLOCK_CORRUPTION` view
- Error messages in standard output
- The alert log
- User trace files
- Results of the SQL commands `ANALYZE TABLE` and `ANALYZE INDEX`
- Results of the DBVERIFY utility
- Third-party media management output

For example, you may discover the following messages in a user trace file:

```
ORA-01578: ORACLE data block corrupted (file # 7, block # 3)
ORA-01110: data file 7: '/oracle/oradata/trgt/tools01.dbf'
ORA-01578: ORACLE data block corrupted (file # 2, block # 235)
ORA-01110: data file 2: '/oracle/oradata/trgt/undotbs01.dbf'
```

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for `RECOVER ... BLOCK` syntax

18.1.2.1 About Block Recovery and Standby Databases

Block recovery behavior depends on whether the data block corruption was discovered on the primary database or the physical standby database.

If the database on which the corruption occurs is associated with a real-time query physical standby database, then the database *automatically* attempts to perform block media recovery. The primary database searches for good copies of blocks on the standby database and, if they are found, repairs the blocks with no impact to the query that encountered the corrupt block. The Oracle Database physical block corruption message (`ORA-1578`) is displayed only if the database cannot repair the corruption.

Whenever block corruption has been automatically detected, you can perform block media recovery manually with the `RECOVER ... BLOCK` command. By default, RMAN first searches for good blocks in the real-time query physical standby database, then flashback logs and then blocks in full or level 0 incremental backups.

> **Note:**
>
> For block media recovery to work automatically, the physical standby database must be in real-time query mode. An Oracle Active Data Guard license is required.

If a corrupt data block is discovered on a real-time query physical standby database, the server attempts to repair the corruption by obtaining a copy of the block from the primary database. The repair is performed in the background, enabling subsequent queries to succeed if the repair is successful. Automatic block repair is attempted if the following database initialization parameters are configured on the standby database as described:

- The `LOG_ARCHIVE_CONFIG` parameter is configured with a `DG_CONFIG` list and a `LOG_ARCHIVE_DEST_n` parameter is configured for the primary database with the `DB_UNIQUE_NAME` attribute

  or

- The `FAL_SERVER` parameter is configured and its value contains an Oracle Net service name for the primary database

> **Note:**
>
> If a corrupt block is detected during validation, such as by the RMAN `VALIDATE` command, then recovery is *not* initiated automatically.

> **See Also:**
>
> - *Oracle Database Backup and Recovery Reference* for `RECOVER ... BLOCK` syntax
> - *Oracle Data Guard Concepts and Administration* to learn about the real-time query option for standby databases

18.1.2.2 About Identifying Corrupt Blocks

The `V$DATABASE_BLOCK_CORRUPTION` view displays blocks marked corrupt by database components such as RMAN, `ANALYZE`, and SQL queries.

The following types of corruption result in the addition of rows to this view:

- Physical corruption (sometimes called media corruption)

  The database does not recognize the block: the checksum is invalid, the block contains all zeros, or the block header is corrupt.

  Physical corruption checking is enabled by default. You can turn off checksum checking by specifying the `NOCHECKSUM` option of the `BACKUP` command, but other physical consistency checks, such as checks of the block headers and footers, cannot be disabled.

- Logical corruption

  The block has a valid checksum, the header and footer match, and so on, but the contents are logically inconsistent. Block media recovery may not be able to repair all logical block corruptions. In these cases, alternate recovery methods, such as tablespace point-in-time recovery, or dropping and re-creating the affected objects, may repair the corruption.

  Logical corruption checking is disabled by default. You can turn it on by specifying the `CHECK LOGICAL` option of the `BACKUP`, `RESTORE`, `RECOVER`, and `VALIDATE` commands.

The database can detect some corruptions by validating relationships between blocks and segments, but cannot detect them by a check of an individual block. The `V$DATABASE_BLOCK_CORRUPTION` view does not record at this level of granularity.

18.1.2.3 About Missing Redo During Block Recovery

Block media recovery only requires an unbroken set of redo changes for the blocks being recovered. This is unlike data file recovery that requires an unbroken series of redo changes from the beginning of recovery to the end.

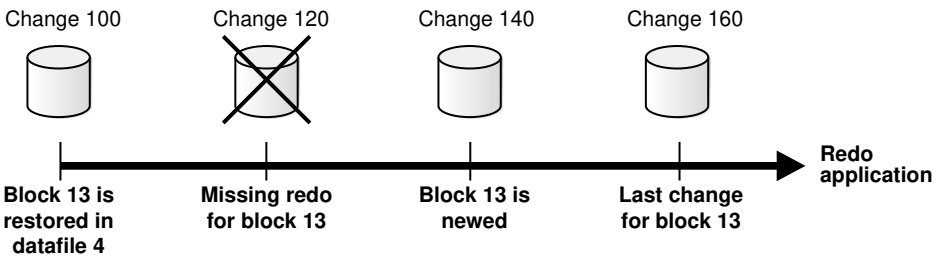
Like data file media recovery, block media recovery cannot generally survive a missing or inaccessible archived log, although it attempts restore failover when looking for usable copies of archived redo log files. Also, block media recovery cannot survive physical redo corruptions that result in checksum failure. However, block media recovery can survive gaps in the redo stream if the missing or corrupt redo records do not affect the blocks being recovered.

> **Note:**
>
> Each block is recovered independently during block media recovery, so recovery may be successful for a subset of blocks.

When RMAN first detects missing or corrupt redo records during block media recovery, it does not immediately signal an error because the block undergoing recovery may create one later in the redo stream. When a block is re-created, all previous redo for that block becomes irrelevant because the redo applies to an old incarnation of the block. For example, the database creates a new a block when users drop or truncate a table and then use the block for other data.

Assume that media recovery is performed on block 13 as depicted in Figure 18-1.

Figure 18-1 Performing RMAN Media Recovery



After block recovery begins, RMAN discovers that change 120 is missing from the redo stream, either because the log block is corrupt or because the log cannot be found. RMAN continues recovery if block 13 is re-created later in the redo stream. Assume that in change 140 a user drops the table `employees` stored in block 13, allocates a new table in this block, and inserts data into the new table. At this point, the database formats block 13 as a new block. Recovery can now proceed with this block even though some redo preceding the recreation operation was missing.

> **See Also:**
>
> About RMAN Restore Failover

18.2 Prerequisites for Block Media Recovery

Certain prerequisites must be met before you perform block media recovery by using the `RECOVER ... BLOCK` command.

The prerequisites include the following:

- The target database must run in `ARCHIVELOG` mode and be open or mounted with a current control file.
- If the target database is a standby database, then it must be in a consistent state, recovery cannot be in session, and the backup must be older than the corrupted file.
- The backups of the data files containing the corrupt blocks must be full or level 0 backups. They cannot be proxy copies or incremental backups.

  If only proxy copy backups exist, then you can restore them to a nondefault location on disk, in which case RMAN considers them data file copies and searches them for blocks during block media recovery.
- RMAN can use only archived redo logs for the recovery.

  RMAN cannot use level 1 incremental backups. Block media recovery cannot survive a missing or inaccessible archived redo log, although it can sometimes survive missing redo records.
- Flashback Database must be enabled on the target database for RMAN to search the flashback logs for good copies of corrupt blocks.

  If flashback logging is enabled and contains older, uncorrupted versions of the corrupt blocks, then RMAN can use these blocks, possibly speeding up the recovery.
- The target database must be associated with a real-time query physical standby database for RMAN to search the database for good copies of corrupt blocks.

18.3 Recovering Individual Blocks

Use the `RECOVER...BLOCK` command to recover individual corrupt blocks in a data file.

18.3.1 Recovering Individual Blocks Using the RECOVER...BLOCK Command

You identify the blocks that require recovery and then use any available backup to restore and recover these blocks.

To recover specific data blocks using the RECOVER...BLOCK command:

1. Obtain the data file numbers and block numbers of the corrupted blocks.

   The easiest way to locate trace files and the alert log is to connect SQL*Plus to the target database and execute the following query:

   ```
   SELECT NAME, VALUE FROM V$DIAG_INFO;
   ```

2. Start RMAN and connect to the root of the target database as a common user with the `SYSDBA` or `SYSBACKUP` privilege, as described in "Making Database Connections with RMAN".
3. Ensure that the target database is mounted or open.
4. Run the `SHOW ALL` command to confirm that the appropriate channels are preconfigured.
5. Run the `RECOVER ... BLOCK` command at the RMAN prompt, specifying the file and block numbers for the corrupted blocks.

   The following example recovers two blocks.

   ```
   RECOVER
     DATAFILE 8 BLOCK 13
     DATAFILE 2 BLOCK 19;
   ```

   You can also specify various options to control RMAN behavior. The following example indicates that only backups with the tag `mondayam` are used when searching for blocks. You could use the `FROM BACKUPSET` option to restrict the type of backup that RMAN searches, or the `EXCLUDE FLASHBACK LOG` option to restrict RMAN from searching the flashback logs.

   ```
   RECOVER
     DATAFILE 8 BLOCK 13
     DATAFILE 2 BLOCK 199
     FROM TAG mondayam;
   ```

18.4 Recovering All Blocks in V$DATABASE_BLOCK_CORRUPTION

RMAN can automatically recover all blocks listed in the `V$DATABASE_BLOCK_CORRUPTION` view.

To recover all blocks logged in V$DATABASE_BLOCK_CORRUPTION:

1. Start SQL*Plus and connect to the target database.

2. Query `V$DATABASE_BLOCK_CORRUPTION` to determine whether corrupt blocks exist. For example, execute the following statement:

   ```
   SQL> SELECT * FROM V$DATABASE_BLOCK_CORRUPTION;
   ```

3. Start RMAN and connect to the target database, as descried in "Making Database Connections with RMAN".

4. Recover all blocks marked corrupt in `V$DATABASE_BLOCK_CORRUPTION`.

   The following command repairs all physically corrupted blocks recorded in the view:

   ```
   RMAN> RECOVER CORRUPTION LIST;
   ```

   After the blocks are recovered, the database removes them from `V$DATABASE_BLOCK_CORRUPTION`.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* to learn about the `RECOVER ... BLOCK` command

19

Performing RMAN Recovery: Advanced Scenarios

Learn how to perform recovery scenarios that are less common or more complicated than the basic scenarios.

19.1 Recovering a NOARCHIVELOG Database with Incremental Backups

You can perform limited recovery of changes to a database running in `NOARCHIVELOG` mode by applying incremental backups. The incremental backups must be consistent, like all backups of a database run in `NOARCHIVELOG` mode, so you cannot make backups of the database when it is open.

Restoring a database running in `NOARCHIVELOG` mode is similar to restoring a database in `ARCHIVELOG` mode. The main differences are:

- Only consistent backups can be used in restoring a database in `NOARCHIVELOG` mode.
- Media recovery is not possible because no archived redo logs exist.

When you are recovering a `NOARCHIVELOG` database, specify the `NOREDO` option on the `RECOVER` command to indicate that RMAN does not attempt to apply archived redo logs. Otherwise, RMAN returns an error.

To recover a NOARCHIVELOG database with incremental backups:

1. After connecting to the target database and the recovery catalog, place the database in a mounted state:

   ```
   STARTUP FORCE MOUNT
   ```

2. Restore and recover the database.

   For example, you can perform incomplete recovery with the following commands:

   ```
   RESTORE DATABASE
     FROM TAG "consistent_whole_backup";
   RECOVER DATABASE NOREDO;
   ```

3. Open the database with the `RESETLOGS` option.

   For example, enter the following command:

   ```
   ALTER DATABASE OPEN RESETLOGS;
   ```

19.2 Restoring the Server Parameter File

RMAN can restore a lost server parameter file to its default location or to a location of your choice. Unlike the loss of the control file, the loss of the server parameter file does not cause

the instance to immediately stop. The instance may continue operating, although you must shut it down and restart it after restoring the server parameter file.

Note the following considerations when restoring the server parameter file:

- If the instance is already started with the server parameter file, then you cannot overwrite the existing server parameter file.
- When the instance is started with a client-side initialization parameter file, RMAN restores the server parameter file to the default location if the `TO` clause is not used in the restore command. The default location is platform-specific, for example, `?/dbs/spfile.ora` on Linux.
- A recovery catalog simplifies the recovery procedure because you can avoid recording and remembering the DBID. This procedure assumes that you are *not* using a recovery catalog.

To restore the server parameter file from autobackup:

1. Start RMAN and do one of the following:
   - If the database instance is started at the time of the loss of the server parameter file, then connect to the target database.
   - If the database instance is not started when the server parameter file is lost, and if you are not using a recovery catalog, then run the `SET DBID` command to set the DBID of the target database.
2. Shut down the database instance and restart it without mounting the database.

   When the server parameter file is not available, RMAN starts the instance with a dummy parameter file. For example, enter the following command:

   ```
   STARTUP FORCE NOMOUNT;
   ```
3. Execute a `RUN` command to restore the server parameter file.

   Depending on the situation, you may need to execute multiple commands in the `RUN` command. Note the following considerations:
   - If restoring from tape, then use `ALLOCATE CHANNEL` to allocate an SBT channel manually. If restoring from disk, then RMAN uses the default disk channel.
   - If the autobackups were not produced with the default format (`%F`), then use the `SET CONTROLFILE AUTOBACKUP FOR DEVICE TYPE` command to specify the format in effect when the autobackup was performed.
   - If the most recent autobackup was not created today, then use `SET UNTIL` to specify the date from which to start the search.
   - If RMAN is not connected to a recovery catalog, then use `SET DBID` to set the DBID for the target database.
   - To restore the server parameter file to a nondefault location, specify the `TO` clause or `TO PFILE` clause on the `RESTORE SPFILE` command.
   - If you know that RMAN never produces more than *n* autobackups each day, then you can set the `RESTORE SPFILE FROM AUTOBACKUP ... MAXSEQ` parameter to *n* to reduce the search time. `MAXSEQ` is set to 255 by default, and `RESTORE` counts backward from `MAXSEQ` to find the last backup of the day. To terminate the restore operation if you do not find the autobackup in the current day (or specified day), set `MAXDAYS 1` on the `RESTORE` command.

The following example illustrates a `RUN` command that restores a server parameter file from an autobackup on tape:

```
RUN
{
  ALLOCATE CHANNEL c1 DEVICE TYPE sbt PARMS ...;
  SET UNTIL TIME 'SYSDATE-7';
  SET CONTROLFILE AUTOBACKUP FORMAT
    FOR DEVICE TYPE sbt TO '/disk1/control_files/autobackup_%F';
  SET DBID 123456789;
  RESTORE SPFILE
    TO '/tmp/spfileTEMP.ora'
    FROM AUTOBACKUP MAXDAYS 10;
}
```

4. Restart the database instance with the restored file.

   If you are restarting RMAN with a server parameter file in a nondefault location, then create an initialization parameter file with the line `SPFILE=`*`new_location`*, where *`new_location`* is the path name of the restored server parameter file. Then, restart the instance with the client-side initialization parameter file.

   For example, create a file `/tmp/init.ora` which contains the single line:

   ```
   SPFILE=/tmp/spfileTEMP.ora
   ```

   You can use the following RMAN command to restart the instance with the restored server parameter file:

   ```
   STARTUP FORCE PFILE=/tmp/init.ora;
   ```

> **See Also:**
>
> "Determining the DBID of the Database" for details on determining the DBID

19.2.1 Restoring the Server Parameter File from a Control File Autobackup

If you have configured control file autobackups, then the server parameter file is backed up with the control file whenever an autobackup is taken.

To restore the server parameter file from the control file autobackup:

1. Set the DBID for your database.
2. Use the `RESTORE SPFILE FROM AUTOBACKUP` command.

   If the autobackup is in a nondefault format, then first use the `SET CONTROLFILE AUTOBACKUP FORMAT` command to specify the format.

Example 19-1 Restoring the Server Parameter File from a Control File Autobackup

This example sets the DBID and restores the server parameter file from a control file autobackup in a nondefault location. RMAN uses the autobackup format and DBID to search for control file autobackups. If a control file autobackup is found, then RMAN restores the server parameter file from that backup to its default location.

```
SET DBID 320066378;
RUN
{
```

```
  SET CONTROLFILE AUTOBACKUP FORMAT
    FOR DEVICE TYPE DISK TO 'autobackup_format';
  RESTORE SPFILE FROM AUTOBACKUP;
}
```

See Also:

- Description of `CONFIGURE CONTROLFILE AUTOBACKUP FORMAT` in the entry for the `CONFIGURE` command in *Oracle Database Backup and Recovery Reference* to learn how to determine the correct value for *autobackup_format*
- "Determining the DBID of the Database" for details on how to determine the DBID

19.2.2 Creating an Initialization Parameter File with RMAN

You can also restore the server parameter file as a client-side initialization parameter file with the `TO PFILE 'filename'` clause.

The file name that you specify must be on a file system accessible from the host where the RMAN client is running. This file need not be accessible directly from the host running the instance.

The following RMAN command creates an initialization parameter file named `/tmp/initTEMP.ora` on the system running the RMAN client:

```
RESTORE SPFILE TO PFILE '/tmp/initTEMP.ora';
```

To restart the instance with the initialization parameter file, use the following command, again running RMAN on the same client host:

```
STARTUP FORCE PFILE='/tmp/initTEMP.ora';
```

19.3 Performing Recovery with a Backup Control File

When all current control files are lost, you must restore a backup control file.

This section contains the following topics:

- About Recovery with a Backup Control File
- Performing Recovery with a Backup Control File and No Recovery Catalog

19.3.1 About Recovery with a Backup Control File

If all copies of the current control file are lost or damaged, then you must restore and mount a backup control file. You must then run the `RECOVER` command, even if no data files have been restored, and open the database with the `RESETLOGS` option.

During recovery, RMAN automatically searches for online and archived logs that are not recorded in the RMAN repository and catalogs any that it finds. RMAN attempts to find a valid archived redo log in any current archiving destination with the current log format. The current format is specified in the initialization parameter file used to start

the instance (or all instances in an Oracle RAC configuration). Similarly, RMAN attempts to find the online redo logs by using the file names listed in the control file.

If you changed the archiving destination or format during recovery, or if you added new online log members after the backup of the control file, then RMAN may not be able to automatically catalog a needed online or archived log. Whenever RMAN cannot find online redo logs and you did not specify an `UNTIL` time, RMAN reports errors similar to the following:

```
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
RMAN-03002: failure of recover command at 08/29/2013 14:23:09
RMAN-06054: media recovery requesting unknown log: thread 1 scn 86945
```

In this case, you must use the `CATALOG` command to manually add the required redo logs to the repository so that recovery can proceed.

> **Note:**
>
> If some copies of the current control file are usable, however, then you can follow the procedure in "Responding to the Loss of a Subset of the Current Control Files" and avoid the recovery and `RESETLOGS` operation.

> **See Also:**
>
> The discussion of `RESTORE CONTROLFILE` in *Oracle Database Backup and Recovery Reference* for more details about restrictions on using `RESTORE CONTROLFILE` in different scenarios (such as when using a recovery catalog, or restoring from a specific backup)

19.3.1.1 About Control File Locations During RMAN Restore

When restoring the control file, the default destination is all of the locations defined in the `CONTROL_FILES` initialization parameter. If the `CONTROL_FILES` initialization parameter is not set, then the database uses the same rules to determine the destination for the restored control file that it uses when creating a control file if the `CONTROL_FILES` parameter is not set.

One way to restore the control file to one or more new locations is to change the `CONTROL_FILES` initialization parameter, and then use the `RESTORE CONTROLFILE` command with no arguments to restore the control file to the default locations. For example, if you are restoring your control file after a disk failure made some but not all `CONTROL_FILES` locations unusable, you can change `CONTROL_FILES` to replace references to the failed disk with path names pointing to another disk, and then run `RESTORE CONTROLFILE` with no arguments.

You can also restore the control file to any location that you choose other than the `CONTROL_FILES` locations, by using the form `RESTORE CONTROLFILE TO ' filename'`:

```
RESTORE CONTROLFILE TO '/tmp/my_controlfile';
```

You can perform this operation with the database in `NOMOUNT`, `MOUNT`, or `OPEN` states, because you are not overwriting any of the control files currently in use. Any existing file named

'*filename*' is overwritten. After restoring the control file to a new location, you can then update the CONTROL_FILES initialization parameter to include the new location.

> **See Also:**
>
> - The description of the CREATE CONTROLFILE statement in *Oracle Database SQL Language Reference* for the rules that determine the destination of restored control files
> - *Oracle Database Backup and Recovery Reference* for RESTORE CONTROLFILE syntax

19.3.1.2 About RMAN Recovery With and Without a Recovery Catalog

The process of recovering a control file depends on whether a recovery catalog is used.

When RMAN is connected to a recovery catalog, the recovery procedure with a backup control file is identical to recovery with a current control file. The RMAN metadata missing from the backup control file is available from the recovery catalog. The only exception is if the database name is not unique in the catalog, in which case you must use SET DBID command before restoring the control file.

If you are not using a recovery catalog, then you must restore your control file from an autobackup. To restore the control file from autobackup, the database must be in a NOMOUNT state. You must first set the DBID for your database, and then use the RESTORE CONTROLFILE FROM AUTOBACKUP command.

Example 19-2 Setting the DBID and Restoring the Control File from Autobackup

In this example, RMAN uses the autobackup format and DBID to determine where to hunt for the control file autobackup. If one is found, RMAN restores the control file to all control file locations listed in the CONTROL_FILES initialization parameter.

```
SET DBID 320066378;
RUN
{
  SET CONTROLFILE AUTOBACKUP FORMAT
    FOR DEVICE TYPE DISK TO 'autobackup_format';
  RESTORE CONTROLFILE FROM AUTOBACKUP;
}
```

> **See Also:**
>
> - "Determining the DBID of the Database" to learn how to determine your DBID
> - The description of CONFIGURE CONTROLFILE AUTOBACKUP FORMAT in the entry for CONFIGURE in *Oracle Database Backup and Recovery Reference* to learn how to determine the correct value for the autobackup format

19.3.1.3 About RMAN Recovery When Using a Fast Recovery Area

The commands for restoring a control file are the same whether or not the database uses a fast recovery area.

If the database uses a fast recovery area, then RMAN updates a control file restored from backup by crosschecking all disk-based backups and image copies recorded in the control file. RMAN catalogs any backups in the recovery area that are not recorded. As a result, the restored control file has a complete and accurate record of all backups in the recovery area and any other backups known to the control file at the time of the backup.

RMAN does not automatically crosscheck tape backups after restoring a control file. If you are using tape backups, then you can restore and mount the control file, and optionally crosscheck the backups on tape, as shown in the following example:

```
CROSSCHECK BACKUP DEVICE TYPE sbt;
```

19.3.2 Performing Recovery with a Backup Control File and No Recovery Catalog

Recovering a database with a backup control file and when no recovery catalog is used requires you to restore the control file from an autobackup.

This section assumes that you have RMAN backups of the control file, but do not use a recovery catalog. It also assumes that you enabled the control file autobackup feature for the target database and can restore an autobackup of the control file.

Because the autobackup uses a well-known format, RMAN can restore it even though it does not have a repository available that lists the available backups. You can restore the autobackup to the default or a new location. RMAN replicates the control file to all `CONTROL_FILES` locations automatically.

> **Note:**
>
> If you know the backup piece name that contains the control file (for example, from the media manager or because the piece is on disk), then you can specify the piece name using the `RESTORE CONTROLFILE FROM 'filename'` command. The database records the location of every autobackup in the alert log.

Because you are not connected to a recovery catalog, the RMAN repository contains only information about available backups at the time of the control file backup. If you know the location of other usable backup sets or image copies, then add them to the control file RMAN repository with the `CATALOG` command.

To recover the database with a control file autobackup in `NOCATALOG` mode:

1. Start RMAN and connect to a target database, as described in "Making Database Connections with RMAN".
2. Start the target database instance without mounting the database. For example:

   ```
   STARTUP NOMOUNT;
   ```

3. Set the database identifier for the target database with the `SET DBID` command.

   RMAN displays the DBID whenever you connect to a target database. You can also obtain it by inspecting saved RMAN log files, querying the catalog, or looking at the file names of control file autobackup. For example, run:

   ```
   SET DBID 676549873;
   ```

4. Write an RMAN command file to restore the autobackup control file and perform recovery.

   The command file contains the following steps:

   a. Optionally, specify the most recent backup time stamp that RMAN can use when searching for a control file autobackup to restore.

   b. If you know that a different control file autobackup format was in effect when the control file autobackup was created, then specify a nondefault format for the restore of the control file.

   c. If an SBT channel created the control file autobackup, then allocate one or more SBT channels. Because no recovery catalog is available, you cannot use preconfigured channels.

   d. Restore the autobackup of the control file, optionally setting the maximum number of days backward that RMAN can search and the initial sequence number that it uses in its search for the first day.

   e. If you know that the control file contains information about configured channels that is useful to you in the rest of the restore process, then you can exit RMAN to clear manually allocated channels from Step 4.c.

      If you restart the RMAN client and mount the database, then these configured channels are available for your use. If you do not care about using configured channels from your control file, then you can simply mount the database.

   f. This step depends on whether the online redo logs are available. The option `OPEN RESETLOGS` is always required after recovery with a backup control file, regardless of whether logs are available.

      If the online redo logs are usable, then RMAN can find and apply these logs. Perform a complete restore and recovery as described in "Performing Complete Database Recovery".

      If the online redo logs are unusable, then perform DBPITR as described in "Performing Database Point-in-Time Recovery". An `UNTIL` clause is required to specify a target time, SCN, or log sequence number for the recovery before the first SCN of the online redo logs (otherwise, RMAN issues the `RMAN-6054` error).

      When you perform DBPITR with a backup control file, before opening the database with `RESETLOGS`, you can open the database read-only using SQL*Plus and run queries as needed to verify that the effects of the logical corruption have been reversed. If you are satisfied with the results, then you can open the database with `RESETLOGS`.

> **Note:**
>
> When specifying log sequences, if the last created archived redo log has sequence *n*, then specify `UNTIL SEQUENCE n+1` so that RMAN applies *n* and then stops.

In the following example, the online redo log files have been lost, and the most recent archived redo log sequence number is 13243. This example shows how to restore the control file autobackup and recover through the latest log.

```
RUN
{
  # Optionally, set upper limit for eligible time stamps of control file
  # backups
  # SET UNTIL TIME '09/10/2017 13:45:00';
  # Specify a nondefault autobackup format only if required
  # SET CONTROLFILE AUTOBACKUP FORMAT FOR DEVICE TYPE DISK
  #   TO '?/oradata/%F.bck';
  ALLOCATE CHANNEL c1 DEVICE TYPE sbt PARMS '...'; # allocate manually
  RESTORE CONTROLFILE FROM AUTOBACKUP
    MAXSEQ 100           # start at sequence 100 and count down
    MAXDAYS 180;         # start at UNTIL TIME and search back 6 months
  ALTER DATABASE MOUNT;
}
# Now use automatic channels configured in restored control file
RESTORE DATABASE UNTIL SEQUENCE 13244;
RECOVER DATABASE UNTIL SEQUENCE 13244;
```

5. If recovery was successful, then open the database and reset the online logs:

```
ALTER DATABASE OPEN RESETLOGS;
```

19.4 Performing Disaster Recovery

Disaster recovery includes the restoration and recovery of the target database after the loss of the entire target database, the recovery catalog database, all current control files, all online redo log files, and all parameter files.

This section contains the following topics:

- Prerequisites of Disaster Recovery
- Recovering the Database After a Disaster

19.4.1 Prerequisites of Disaster Recovery

Certain prerequisites must be met before you perform disaster recovery using RMAN.

You must have the following:

- Backups of all data files
- All archived redo logs generated after the creation time of the oldest backup that you intend to restore

- At least one control file autobackup
- A record of the DBID of the database

19.4.2 Recovering the Database After a Disaster

Assume that the Linux server on which your database was running has been damaged beyond repair. Fortunately, you backed up the database to Oracle Secure Backup and have the tapes available. You can recover the database by using these backups.

The procedure for disaster recovery is similar to the procedure for recovering the database with a backup control file in `NOCATALOG` mode. If you are restoring the database to a new host, then review the considerations described in "Restoring a Database on a New Host".

. The scenario assumes the following:

- Oracle Database is installed on the new host.
- You are restoring the database to a new Linux host with the same directory structure as the old host.
- You have one tape drive containing backups of all the data files and archived redo logs through log 1124, and autobackups of the control file and server parameter file.
- You do not use a recovery catalog with the database.

To recover the database on the new host:

1. Ensure that the prerequisites described in "Prerequisites of Disaster Recovery" are met.
2. If possible, restore or re-create all relevant network files such as `tnsnames.ora` and `listener.ora` and a password file.
3. Start RMAN and connect to the target database instance, as described in "Making Database Connections with RMAN".

   At this stage, no initialization parameter file exists. If you have set `ORACLE_SID` and `ORACLE_HOME`, then you can use operating system authentication to connect as `SYSDBA` or `SYSBACKUP`.
4. Specify the DBID for the target database with the `SET DBID` command, as described in "Restoring the Server Parameter File".

   For example, enter the following command:

   ```
   SET DBID 676549873;
   ```
5. Run the `STARTUP NOMOUNT` command.

   When the server parameter file is not available, RMAN attempts to start the instance with a dummy server parameter file.
6. Allocate a channel to the media manager and then restore the server parameter file from autobackup.

   For example, enter the following command to restore the server parameter file from Oracle Secure Backup:

   ```
   RUN
   {
   ```

```
  ALLOCATE CHANNEL c1 DEVICE TYPE sbt;
  RESTORE SPFILE FROM AUTOBACKUP;
}
```

7. Restart the instance with the restored server parameter file.

```
STARTUP FORCE NOMOUNT;
```

8. Write a command file to perform the restore and recovery operation, and then execute the command file. The command file must do the following:
   a. Allocate a channel to the media manager.
   b. Restore a control file autobackup (see "Performing Recovery with a Backup Control File and No Recovery Catalog").
   c. Mount the restored control file.
   d. Catalog any backups not recorded in the repository with the `CATALOG` command.
   e. Restore the data files to their original locations. If volume names have changed, then run `SET NEWNAME` commands before the restore operation and perform a switch after the restore operation to update the control file with the new locations for the data files, as shown in the following example.
   f. Recover the data files. RMAN stops recovery when it reaches the log sequence number specified.

```
RMAN> RUN
{
  # Manually allocate a channel to the media manager
  ALLOCATE CHANNEL t1 DEVICE TYPE sbt;
  # Restore autobackup of the control file. This example assumes that you have
  # accepted the default format for the autobackup name.
  RESTORE CONTROLFILE FROM AUTOBACKUP;
  #  The set until command is used in case the database
  #  structure has changed in the most recent backups, and you want to
  #  recover to that point in time. In this way RMAN restores the database
  #  to the same structure that the database had at the specified time.
  ALTER DATABASE MOUNT;
  SET UNTIL SEQUENCE 1124 THREAD 1;
  RESTORE DATABASE;
  RECOVER DATABASE;
}
```

The following example of the `RUN` command shows the same scenario except with new file names for the restored data files:

```
RMAN> RUN
{
  #  If you must restore the files to new locations,
  #  use SET NEWNAME commands:
  SET NEWNAME FOR DATAFILE 1 TO '/dev/vgd_1_0/rlvt5_500M_1';
  SET NEWNAME FOR DATAFILE 2 TO '/dev/vgd_1_0/rlvt5_500M_2';
  SET NEWNAME FOR DATAFILE 3 TO '/dev/vgd_1_0/rlvt5_500M_3';
  ALLOCATE CHANNEL t1 DEVICE TYPE sbt;
  RESTORE CONTROLFILE FROM AUTOBACKUP;
  ALTER DATABASE MOUNT;
  SET UNTIL SEQUENCE 124 THREAD 1;
  RESTORE DATABASE;
  SWITCH DATAFILE ALL; # Update control file with new location of data files.
  RECOVER DATABASE;
}
```

9. If recovery was successful, then open the database and reset the online logs:

```
ALTER DATABASE OPEN RESETLOGS;
```

19.5 Restoring a Database on a New Host

Use the `RESTORE` and `RECOVER` commands to restore a database on a new host. Restoring a database on a new host is useful when you want to perform a test run of your disaster recovery procedures or to permanently move a database to a new host.

If you use the procedure in this section, then the DBID for the restored database is the same as the DBID for the original database. Do not register a test database created in this way in the same recovery catalog as the source database. Because the DBID of the two databases is the same, the metadata for the test database can interfere with RMAN's ability to restore and recover the source database.

If your goal is to create a copy of your target database for ongoing use on a new host, then use the RMAN `DUPLICATE` command instead of this procedure. The `DUPLICATE` command assigns a new DBID to the database it creates, enabling it to be registered in the same recovery catalog as the original database.

To restore a database on a new host:

1. Complete the steps that must be performed before you restore the database, as described in "Preparing to Restore a Database on a New Host".
2. Transfer the target database backups to the new host and restore the backups, as described in "Restoring Disk Backups to a New Host".

> **See Also:**
>
> Testing the Restore of a Database on a New Host

19.5.1 Preparing to Restore a Database on a New Host

Certain steps must be preformed to prepare for the restoration of the database on a new host.

The steps include the following:

- Record the DBID for your source database.
- Make the source database initialization parameter file accessible on the new host. Copy the file from the old host to a new host by using an operating system utility.
- If you perform a test restore operation only, then ensure that RMAN is *not* connected to the recovery catalog. Otherwise, RMAN records metadata about the restored data files in the recovery catalog. This metadata interferes with future attempts to restore and recover the primary database.

  If you must use a recovery catalog because the control file is not large enough to contain the RMAN repository data on all of the backups that you must restore, then use Oracle Data Pump to export the catalog and import it into a different schema or database. Afterward, use the copied recovery catalog for the test restore. Otherwise, the recovery catalog considers the restored database as the current target database.

- Ensure that backups used for the restore operation are accessible on the restore host. For example, if the backups were made with a media manager, then verify that the tape device is connected to the new host. If you are using disk copies, then use the procedure in the following section.
- If you are performing a trial restore of the production database, then perform either of the following actions before restoring the database in the test environment:
  - If the test database will use a fast recovery area that is physically *different* from the recovery area used by the production database, then set `DB_RECOVERY_FILE_DEST` in the test database instance to the new location.
  - If the test database will use a fast recovery area that is physically the *same* as the recovery area used by the production database, then set `DB_UNIQUE_NAME` in the test database instance to a different name from the production database.

  If you do not perform either of the preceding actions, then RMAN assumes that you are restoring the production database and deletes flashback logs from the fast recovery area because they are considered unusable.

> **See Also:**
>
> "Determining the DBID of the Database" to learn how to determine the DBID fo your database

19.5.2 Restoring Disk Backups to a New Host

To move the database to a new host by using data file copies or backup sets on disk, you must transfer the files manually to the new host. This procedure assumes that RMAN is using a recovery catalog.

To restore backup files to a new host:

1. Start RMAN and connect to a target database and recovery catalog, as described in "Making Database Connections with RMAN".
2. Run a `LIST` command to see a listing of backups of the data file and control file autobackups.

   For example, enter the following command to view data file copies:

   ```
   LIST COPY;
   ```

   For example, enter the following command to view control file backups:

   ```
   LIST BACKUP OF CONTROLFILE;
   ```

   The piece name of the autobackup must use the `%F` substitution variable, so the autobackup piece name includes the string `c-IIIIIIIIII-YYYYMMDD-QQ`, where `IIIIIIIIII` stands for the DBID, `YYYYMMDD` is a time stamp in the Gregorian calendar of the day the backup is generated, and `QQ` is the sequence in hexadecimal.
3. Copy the backups to the new host with an operating system utility.

   Enter a command such as the following to copy all data file copies to the `?/oradata/trgt` directory on the new host:

   ```
   % cp -r /disk1/*dbf /net/new_host/oracle/oradata/trgt
   ```

Enter a command such as the following to copy the autobackup backup piece to the `/tmp` directory on the new host:

```
% cp -r /disk1/auto_bkp_loc/c-1618370911-20130208-00 /net/new_host/tmp
```

You must use the `SET CONTROLFILE AUTOBACKUP FORMAT` command when restoring an autobackup from a nondefault location.

> **See Also:**
>
> "Restoring the Server Parameter File from a Control File Autobackup"

19.5.3 Testing the Restore of a Database on a New Host

It is recommended that you test whether you can restore your database to a new host.

In this scenario, you have two networked Linux hosts, `hosta` and `hostb`. A target database named `trgta` is on `hosta` and is registered in recovery catalog `catdb`. You want to test the restore and recovery of `trgta` on `hostb`, while keeping database `trgta` up and running on `hosta`.

Now, assume that the directory structure of `hostb` is different from `hosta`. The target database is located in `/net/hosta/dev3/oracle/dbs`, but you want to restore the database to `/net/hostb/oracle/oradata/test`. You have tape backups of data files, control files, archived redo logs, and the server parameter file on a media manager accessible by both hosts. The `ORACLE_SID` for the `TRGTA` database is `TRGTA` and does not change for the restored database.

> **Caution:**
>
> If you are restoring the database for test purposes, then *never* connect RMAN to the test database and the production recovery catalog.

To restore the database on a new host:

1. Ensure that the backups of the target database are accessible on the new host.

   To test disaster recovery, you must have a recoverable backup of the target database. When preparing your disaster recovery strategy, ensure that the backups of the data files, control files, and server parameter file are restorable on `hostb`. Thus, you must configure the media management software so that `hostb` is a media manager client and can read the backup sets created on `hosta`. Consult the media management vendor for support on this issue.

2. Configure the `ORACLE_SID` on `hostb`.

   This scenario assumes that you want to start the RMAN client on `hostb` and authenticate yourself through the operating system. However, you must be connected to `hostb` either locally or through a net service name.

   After logging in to `hostb` with administrator privileges, edit the `/etc/group` file so that you are included in the DBA group:

```
dba:*:614:<your_user_name>
```

Set the `ORACLE_SID` environment variable on `hostb` to the same value used on `hosta`:

```
% setenv ORACLE_SID trgta
```

3. Start RMAN on `hostb` and connect to the target database *without* connecting to the recovery catalog.

   For example, enter the following command:

   ```
   % rman NOCATALOG
   RMAN> CONNECT TARGET /
   ```

4. Set the DBID and start the database instance without mounting the database.

   For example, run `SET DBID` to set the DBID, then run `STARTUP NOMOUNT`:

   ```
   SET DBID 1340752057;
   STARTUP NOMOUNT
   ```

   RMAN fails to find the server parameter file, which has not yet been restored, but starts the instance with a "dummy" file. Sample output follows:

   ```
   startup failed: ORA-01078: failure in processing system parameters
   LRM-00109: could not open parameter file '/net/hostb/oracle/dbs/inittrgta.ora'

   trying to start the Oracle instance without parameter files ...
   Oracle instance started
   ```

5. Restore and edit the server parameter file.

   Because you enabled the control file autobackup feature when making your backups, the server parameter file is included in the backup. If you are restoring an autobackup that has a nondefault format, then use the `SET CONTROLFILE AUTOBACKUP FORMAT` command to indicate the format.

   Allocate a channel to the media manager, then restore the server parameter file as a client-side parameter file and use the `SET` command to indicate the location of the autobackup (in this example, the autobackup is in `/tmp`):

   ```
   RUN
   {
     ALLOCATE CHANNEL c1 DEVICE TYPE sbt PARMS '...';
     SET CONTROLFILE AUTOBACKUP FORMAT FOR DEVICE TYPE DISK TO '/tmp/%F';
     RESTORE SPFILE
       TO PFILE '?/oradata/test/inittrgta.ora'
       FROM AUTOBACKUP;
     SHUTDOWN ABORT;
   }
   ```

6. Edit the restored initialization parameter file.

   Change any location-specific parameters, for example, those ending in `_DEST`, to reflect the new directory structure. For example, edit the following parameters:

   ```
   - IFILE
   - LOG_ARCHIVE_DEST_1
   - CONTROL_FILES
   ```

7. Restart the instance with the edited initialization parameter file.

   For example, enter the following command:

   ```
   STARTUP FORCE NOMOUNT PFILE='?/oradata/test/inittrgta.ora';
   ```

8. Restore the control file from an autobackup and then mount the database.

   For example, enter the following command:

   ```
   RUN
   {
     ALLOCATE CHANNEL c1 DEVICE TYPE sbt PARMS '...';
     RESTORE CONTROLFILE FROM AUTOBACKUP;
     ALTER DATABASE MOUNT;
   }
   ```

   RMAN restores the control file to whatever locations you specified in the `CONTROL_FILES` initialization parameter.

9. Catalog the data file copies that you copied in "Restoring Disk Backups to a New Host", using their new file names or `CATALOG START WITH` (if you know all the files are in directories with a common prefix easily addressed with a `CATALOG START WITH` command). For example, run:

   ```
   CATALOG START WITH '/oracle/oradata/trgt/';
   ```

   If you want to specify files individually, then you can execute a `CATALOG` command as follows:

   ```
   CATALOG DATAFILECOPY
     '/oracle/oradata/trgt/system01.dbf', '/oracle/oradata/trgt/undotbs01.dbf',
     '/oracle/oradata/trgt/cwmlite01.dbf', '/oracle/oradata/trgt/drsys01.dbf',
     '/oracle/oradata/trgt/example01.dbf', '/oracle/oradata/trgt/indx01.dbf',
     '/oracle/oradata/trgt/tools01.dbf', '/oracle/oradata/trgt/users01.dbf';
   ```

10. Start a SQL*Plus session on the new database and query the database file names recorded in the control file.

    Because the control file is from the `trgta` database, the recorded file names use the original `hosta` file names. You can query `V$` views to obtain this information. Run the following query in SQL*Plus:

    ```
    COLUMN NAME FORMAT a60
    SPOOL  LOG '/tmp/db_filenames.out'
    SELECT FILE# AS "File/Grp#", NAME
    FROM   V$DATAFILE
    UNION
    SELECT GROUP#,MEMBER
    FROM   V$LOGFILE;
    SPOOL OFF
    EXIT
    ```

11. Write the RMAN restore and recovery script. The script must include the following steps:

    a. For each data file on the destination host that is restored to a different path than it had on the source host, use a `SET NEWNAME` command to specify the new path on the destination host. If the file systems on the destination system are set up to have the same paths as the source host, then do not use `SET NEWNAME` for those files restored to the same path as on the source host.

    b. For each online redo log that is to be created at a different location than it had on the source host, use SQL `ALTER DATABASE RENAME FILE` commands to specify the path name on the destination host. If the file systems on the destination system are set up to have the same paths as the source host, then do not use `ALTER DATABASE RENAME FILE` for those files restored to the same path as on the source host.

c. Perform a `SET UNTIL` operation to limit recovery to the end of the archived redo logs. The recovery stops with an error if no `SET UNTIL` command is specified.

d. Restore and recover the database.

e. Run the `SWITCH DATAFILE ALL` command so that the control file recognizes the new path names as the official new names of the data files.

The following code shows the RMAN script `reco_test.rman` that can perform the restore and recovery operation.

```
RUN
{
  # allocate a channel to the tape device
  ALLOCATE CHANNEL c1 DEVICE TYPE sbt PARMS '...';

  # rename the data files and online redo logs
  SET NEWNAME FOR DATAFILE 1 TO '?/oradata/test/system01.dbf';
  SET NEWNAME FOR DATAFILE 2 TO '?/oradata/test/undotbs01.dbf';
  SET NEWNAME FOR DATAFILE 3 TO '?/oradata/test/cwmlite01.dbf';
  SET NEWNAME FOR DATAFILE 4 TO '?/oradata/test/drsys01.dbf';
  SET NEWNAME FOR DATAFILE 5 TO '?/oradata/test/example01.dbf';
  SET NEWNAME FOR DATAFILE 6 TO '?/oradata/test/indx01.dbf';
  SET NEWNAME FOR DATAFILE 7 TO '?/oradata/test/tools01.dbf';
  SET NEWNAME FOR DATAFILE 8 TO '?/oradata/test/users01.dbf';
  ALTER DATABASE RENAME FILE '/dev3/oracle/dbs/redo01.log'
      TO '?/oradata/test/redo01.log';
  ALTER DATABASE RENAME FILE '/dev3/oracle/dbs/redo02.log'
      TO '?/oradata/test/redo02.log';

  # Do a SET UNTIL to prevent recovery of the online logs
  SET UNTIL SCN 123456;
  # restore the database and switch the data file names
  RESTORE DATABASE;
  SWITCH DATAFILE ALL;

  # recover the database
  RECOVER DATABASE;
}
EXIT
```

12. Execute the script created in the previous step.

    For example, start RMAN to connect to the target database and run the `@` command:

```
% rman TARGET / NOCATALOG
RMAN> @reco_test.rman
```

13. Open the restored database with the `RESETLOGS` option.

    From the RMAN prompt, open the database with the `RESETLOGS` option:

```
ALTER DATABASE OPEN RESETLOGS;
```

> **Caution:**
>
> When you re-open your database in the next step, do not connect to the production recovery catalog. Otherwise, the new database incarnation created is registered automatically in the recovery catalog, and the file names of the production database are replaced by the new file names specified in the script.

14. Optionally, delete the test database with all of its files.

> **Note:**
>
> If you used an ASM disk group, then the `DROP DATABASE` command is the only way to safely remove the files of the test database. If you restored to non-ASM storage then you can also use operating system commands to remove the database.

Use the `DROP DATABASE` command to delete all files associated with the database automatically. The following example deletes the database files:

```
STARTUP FORCE NOMOUNT PFILE='?/oradata/test/inittrgta.ora';
DROP DATABASE;
```

Because you did not perform the restore and recovery operation when connected to the recovery catalog, the recovery catalog contains no records for any of the restored files or the procedures performed during the test. Likewise, the control file of the `trgta` database is completely unaffected by the test.

> **See Also:**
>
> "Making Database Connections with RMAN"

19.6 Restoring Backups Created Using Older Versions of RMAN

You can restore backups that were created using older versions of RMAN, up to Oracle Database 9*i* Release 2 (9.2.0.8).

There must be a supported upgrade path between the Oracle Database version on which the backups were created and the Oracle software version on which you want to run the restored database.

In this example, the source database is an Oracle Database 11*g* Release 2 database and it is configured to use a server parameter file (spfile). The database runs in `ARCHIVELOG` mode and uses a fast recovery area. Control file autobackups are also configured. You then create RMAN backups of the source database, including the archived redo logs.

The destination host on which these backups are restored has Oracle Database 12*c* Release 1 installed.

To restore RMAN backups that were created using an RMAN version that is older than the current target database version:

1. Verify that there is a supported upgrade path from the database version on which the backups were created to the Oracle server version on which you plan to restore the database.

For example, if your RMAN backups were created on Oracle Database 11g Release 2 (11.2.0.3) and you want to run the restored database on Oracle Database 12*c* Release 1 (12.1), then you must verify that there is a supported upgrade path from release 11.2.0.3 to release 12.1.

> **See Also:**
>
> *Oracle Database Upgrade Guide* for information about the database upgrade paths

2. Ensure that the source database backups are available at the destination host on which they must be restored.

   You can either use an operating system utility to copy the backups to the destination host or store the backups in a shared location that is accessible to the destination host.

3. Shut down the destination database.

4. On the destination host, set the `ORACLE_SID` to the same value that was used on the source database.

   ```
   %  setenv ORACLE_SID db112
   ```

5. Start RMAN on the destination host and connect to the target database using operating system authentication and without a recovery catalog.

   ```
   % rman target / NOCATALOG
   ```

6. Set the DBID to the same value as the source database.

   The following command sets the DBID to 699892390, which is the DBID of the source database whose backups are being restored.

   ```
   RMAN> set DBID 699892390;
   ```

7. Start the target database in nomount mode.

   ```
   RMAN> startup nomount;
   ```

   RMAN fails to find the server parameter file, which has not yet been restored. However, the instance is started with a “dummy” file and the following output is displayed:

   ```
   startup failed: ORA-01078: failure in processing system parameters
   LRM-00109: could not open parameter file '/oracle/dbs/inittrgta.ora'
   trying to start the Oracle instance without parameter files ...
   Oracle instance started
   ```

8. Restore the server parameter file from the source database autobackup.

   Because controlfile autobackups were enabled in the source database, the server parameter file is included in the backup. To restore an autobackup that has a nondefault format, use the `SET CONTROLFILE AUTOBACKUP FORMAT` command to indicate the format.

   The following example sets the autobackup format, restores the spfile in the source database to the pfile `/dev3/oracle/network/init_db112.ora`, and then shuts down the target database.

   ```
   run
   {
       set controlfile autobackup format for device type disk to '/scratch/fra/cf/
   %F.bck';
       restore spfile to pfile '/dev3/oracle/network/init_db112.ora' from autobackup
   ```

```
recovery area '/scratch /fra/cf' db_name 'DB112';
    shutdown abort;
}
```

9. Edit the restored initialization parameter file and modify the required initialization parameters.

   This includes the `COMPATIBLE` parameter, if the compatibility requirement for the target database is different from that set in the source database, and parameters that are deprecated in the target database release. Also update any location-specific parameters such as those ending with `_DEST` to reflect the new directory structure.

   In this example, you must edit the pfile located at `/dev3/oracle/network/init_db112.ora`.

10. Restart the instance with the edited initialization parameter file.

    The following command starts the database instance in nomount mode using the edited parameter file.

    ```
    RMAN> startup force nomount pfile='/dev3/oracle/network/init_db112.ora';
    ```

11. Restore the control file from an autobackup and then mount the database.

    The following example sets the format for the control file autobackups, restores the control file from an autobackup, and then mounts the database.

    ```
    run
    {
        set controlfile autobackup format for device type disk to '/
    scratch/fra/cf/%F.bck';
        restore controlfile from autobackup recovery area '/scratch/fra/cf'
    db_name 'DB112';
        alter database mount;
    }
    ```

    The control file is restored to the location specified in the `CONTROL_FILES` initialization parameter in the edited initialization parameter file.

12. Catalog data file copies of the source database that were made available to the destination host.

    If all the files are in directories with a common prefix, then use the `CATALOG START WITH` command. If you want to specify the file names individually, then use the `CATALOG DATAFILECOPY` command.

    In the following example, all the data file copies are stored in a single folder `/scratch/fra/DB112/backupset` and so we use the `CATALOG START WITH` command.

    ```
    RMAN> catalog start with '/scratch/fra/DB112/backupset';
    ```

13. Restore and recover the source database.

    If the data files are restored to a different path than those on the source database, you must specify a new path on the destination host by using the `SET NEWNAME` command. If the online redo logs are to be created in a different location than those on the source database, then use the `ALTER DATABASE RENAME FILE` command to specify the location of each redo log file on the destination database.

    In this example, the `SET NEWNAME FOR DATABASE` command is used to specify the new location for all restored data files. The new location for each online redo log

file is specified using an `ALTER DATABASE RENAME FILE` command. Recovery is performed until the SCN specified in the command.

```
run
{
    set newname for database to '/ade/b/1885631999/oracle/dbs/%U.f';
    alter database rename file '/dev1/oracle/dbs/redo01.log' to '/dev3/oracle/dbs/
redo1.log';
    alter database rename file '/dev1/oracle/dbs/redo02.log' to '/dev3/oracle/dbs/
redo2.log';
    set until scn 1092435;
    restore database;
    switch datafile all;
    recover database;
}
```

14. Open the restored database with the `RESETLOGS` and `UPGRADE` options.

```
RMAN> alter database open resetlogs upgrade;
Statement processed
RMAN-06900: WARNING: unable to generate V$RMAN_STATUS or V$RMAN_OUTPUT row
RMAN-06901: WARNING: disabling update of the V$RMAN_STATUS and V$RMAN_OUTPUT rows
ORACLE error from target database:
ORA-04023: Object SYS.STANDARD could not be validated or authorized
```

    The error is caused by database packages that need to be revalidated as part of the upgrade process.

15. Exit RMAN.
16. Upgrade the target database to the desired Oracle release by performing the steps required to upgrade a database.

> **See Also:**
>
> *Oracle Database Upgrade Guide* for information about upgrading the database

19.7 Restoring and Recovering Files Over the Network

RMAN enables you to restore or recover files by connecting, over the network, to a physical standby database that contains the required files. You can restore an entire database, data files, control files, server parameter file, or tablespaces. Restoring files over the network is very useful in scenarios where you need to synchronize the primary and standby databases.

Backup sets are used to restore or recover files over the network. Therefore, you can use multisection backups, encryption, and compression to improve backup and restore performance.

Restoring and recovering files over the network is supported starting with Oracle Database 12*c* Release 1 (12.1).

This section includes:

- About Restoring Files Over the Network
- About Recovering Files Over the Network
- Scenarios for Restoring and Recovering Files Over the Network

- Restoring Data Files Over the Network
- Rolling Forward a Physical Standby Database Using the RECOVER Command

19.7.1 About Restoring Files Over the Network

RMAN restores database files, over the network, from a physical standby database by using the `FROM SERVICE` clause of the `RESTORE` command.

The `FROM SERVICE` clause provides the service name of the physical standby database from which the files must be restored. During the restore operation, RMAN creates backup sets, on the physical standby database, of the files that need to be restored and then transfers these backup sets to the target database over the network.

Use the `SECTION SIZE` clause of the `RESTORE` command to perform a multisection restore operation. To encrypt the backup sets created on the physical standby database, use the `SET ENCRYPTION` command before the `RESTORE` command to specify the encryption algorithm used.

To transfer files from the physical standby database as compressed backup sets, use the `USING COMPRESSED BACKUPSET` clause in the `RESTORE` command. By default, RMAN compresses backup sets using the algorithm that is set in the RMAN configuration. You can override the default and set a different algorithm by using the `SET COMPRESSION ALGORITHM` command before the `RESTORE` statement.

19.7.2 About Recovering Files Over the Network

You can perform recovery by fetching an incremental backup, over the network, from a primary database and then applying this incremental backup to the physical standby database.

RMAN is connected as `TARGET` to the physical standby database. The recovery process is optimized by restoring only the used data blocks in a data file. Use the `FROM SERVICE` clause to specify the service name of the primary database from which the incremental backup must be fetched.

To use multisection backup sets during the recovery process, specify the `SECTION SIZE` clause in the `RECOVER` command. To transfer the required files from the primary database as encrypted backup sets, use the `SET ENCRYPTION` command before the `RESTORE` command to specify the encryption algorithm used to create the backup sets.

To compress backup sets that are used to recover files over the network, use the `USING COMPRESSED BACKUPSET`. RMAN compresses backup sets when it creates them on the primary database and then transfers these backup sets to the target database.

19.7.3 Scenarios for Restoring and Recovering Files Over the Network

Recovering files by connecting, over the network, to a physical standby database is useful in certain scenarios.

These scenarios include the following:

- You need to roll-forward a physical standby database to make it in-sync with the primary database.

After creating an incremental backup of the latest changes on the primary database, you can restore the physical standby database using the incremental backup.

- You want to restore lost data files, control files, or tablespaces on a primary database using the corresponding files on the physical standby database. You can also restore files on a physical standby database by using the primary database.

19.7.4 Restoring Data Files Over the Network

Use the `RESTORE` command to restore lost or damaged data files by connecting over the network to a primary database or physical standby database.

In this example, the `DB_UNIQUE_NAME` of the primary database is `MAIN` and the `DB_UNIQUE_NAME` of the physical standby database is `STANDBY`. The data file `sales.dbf` on the primary database was lost. You want to restore this data file from the physical standby database. The service name of the physical standby database is `standby_tns`. The `RESTORE` command with the `FROM SERVICE` clause enables you to restore the lost data file in the primary database by using the data file in the physical standby database. The password file in the primary database and the physical standby database are the same.

Use the following steps to restore the data file `sales.dbf` in the primary database by using the data file in the physical standby database:

1. Connect to the primary database as a user with the `SYSBACKUP` privilege.

   ```
   %RMAN
   RMAN> CONNECT TARGET "sbu@main AS SYSBACKUP";
   ```

   Enter the password for the `sbu` user when prompted.

2. Specify that the backup sets must be encrypted using the AES128 encryption algorithm

   ```
   RMAN> SET ENCRYPTION ALGORITHM 'AES128';
   ```

3. Ensure that the `tnsnames.ora` file in the physical standby database contains an entry corresponding to the primary database. Also ensure that the password files on the primary and physical standby database are the same.

4. Restore the data file on the primary database by using the data file on the physical standby database. The following command creates multisection backup sets to perform the restore operation.

   ```
   RESTORE DATAFILE '/oradata/datafiles/sales.dbf'
   FROM SERVICE standby_tns
   SECTION SIZE 120M;
   ```

19.7.5 Rolling Forward a Physical Standby Database Using the RECOVER Command

RMAN rolls forward a physical standby database by creating an incremental backup that contains the changes to the primary database, transferring the incremental backup over the network to the physical standby database, and then applying the incremental backup to the physical standby database. All changes to data files on the primary database, beginning with the SCN in the standby data file header, are included in the incremental backup.

You can use the `RECOVER ... FROM SERVICE` command to synchronize the data files on the physical standby database with those on the primary database. This command refreshes the standby data files and rolls them forward to the same point-in-time as the primary. However,

the standby control file still contains old SCN values which are lower than the SCN values in the standby data files. Therefore, to complete the synchronization of the physical standby database, you must refresh the standby control file and then update the data file names, online redo log file names, and the standby redo log file names in the refreshed standby control file.

If network resources are a constraint, then you can use the `BACKUP INCREMENTAL` command to create incremental backups on the primary database, and then use the incremental backups to roll forward the physical standby database.

"Steps to Refresh a Physical Standby Database with Changes Made to the Primary Database" describes the steps to refresh a physical standby using the `FROM SERVICE` clause.

> **See Also:**
>
> *Oracle Data Guard Concepts and Administration* for information about using the `BACKUP INCREMENTAL` command to roll forward a physical standby database

19.7.5.1 Steps to Refresh a Physical Standby Database with Changes Made to the Primary Database

Use the `RECOVER STANDBY DATABASE` command with the `FROM SERVICE` clause to refresh a physical standby database with changes that were made to the primary database.

Consider a primary database whose `DB_UNIQUE_NAME` is `MAIN` and net service name is `primary_db`. The `DB_UNIQUE_NAME` of the physical standby database is `STANDBY` and its net service name is `standby_db`.

To refresh the physical standby database with changes made to the primary database:

1. Ensure that the following prerequisites are met:
   - Oracle Net connectivity is established between the physical standby database and the primary database.

     You can establish connectivity by adding an entry corresponding to the primary database in the `tnsnames.ora` file of the physical standby database.
   - The password files on the primary database and the physical standby database are the same.
   - The `COMPATIBLE` initialization parameter, for the primary database and physical standby database, is set to 12.0 or later.
2. Start RMAN, and connect as target to the physical standby database. It is recommended that you also connect to a recovery catalog.

   The following commands connect as `TARGET` to the physical standby database, and as `CATALOG` to the recovery catalog. The connection to the physical standby is established using the `sbu` user, who has been granted `SYSBACKUP` privilege. The

net service name of the physical standby database is `standby_db` and that of the recovery catalog is `catdb`.

```
CONNECT TARGET "sbu@standby_db AS SYSBACKUP";
CONNECT CATALOG rman@catdb;
```

3. Roll forward the physical standby database using the `RECOVER STANDBY DATABASE` command with the `FROM SERVICE` clause.

   The `FROM SERVICE` clause specifies the service name of the primary database. The physical standby is rolled forward by applying changes that were made to the primary database. The physical standby database is restarted after the roll forward operation.

   The following example rolls forward the physical standby database and applies changes that were made to the primary database with service name is `primary_db`.

   ```
   RECOVER STANDBY DATABASE FROM SERVICE primary_db;
   ```

4. (For Active Data Guard only) Perform the following steps to recover redo data and open the physical standby database in read-only mode:

   ```
   ALTER DATABASE RECOVER MANAGED STANDBY DATABASE UNTIL CONSISTENT;
   ALTER DATABASE OPEN READ ONLY;
   ```

5. Start the managed recovery processes on the physical standby database.

   The following command starts the managed recovery process:

   ```
   ALTER DATABASE RECOVER MANAGED STANDBY DATABASE DISCONNECT FROM SESSION;
   ```

   When using Data Guard Broker, use the following command to start the managed recovery process:

   ```
   DGMGRL> edit database standby_db set state='APPLY-ON';
   ```

Related Topics

- Connecting to a Database Service

20

Performing RMAN Tablespace Point-in-Time Recovery (TSPITR)

TSPITR recovers one or more tablespaces in a database to a previous point in time.

20.1 Overview of RMAN TSPITR

To use RMAN tablespace point-in-time recovery (TSPITR) effectively, it is helpful to understand what types of problems it can resolve, its components, what RMAN does during TSPITR, and the various limitations and restrictions on when and how it can be run. This section explains the basic concepts, preparatory tasks, and modes of running RMAN TSPITR.

To perform TSPITR for CDBs and PDBs, you must connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege. To perform TSPITR of one more more PDBs, you must have a backup of the root and the CDB seed of the CDB that contains the PDBs.

20.1.1 Purpose of RMAN TSPITR

Recovery Manager (RMAN) TSPITR enables quick recovery of one or more tablespaces in a database to an earlier time without affecting the rest of the tablespaces and objects in the database.

RMAN TSPITR is most useful for the following situations:

- To recover a logical database to a point different from the rest of the physical database, when multiple logical databases exist in separate tablespaces of one physical database. For example, you maintain logical databases in the `orders` and `personnel` tablespaces. An incorrect batch job or data manipulation language (DML) statement corrupts the data in only one tablespace.
- To recover data lost after data definition language (DDL) operations that change the structure of tables. You cannot use Flashback Table to rewind a table to before the point of a structural change such as a truncate table operation.
- To recover a table after it has been dropped with the `PURGE` option.
- To recover from the logical corruption of a table.
- To recover dropped tablespaces. In fact, RMAN can perform TSPITR on dropped tablespaces even when a recovery catalog is not used.

You can also use Flashback Database to rewind data, but you must rewind the entire database rather than just a subset. Also, unlike TSPITR, the Flashback Database feature necessitates the overhead of maintaining flashback logs. The point in time to which you can flash back the database is more limited than the TSPITR window, which extends back to your earliest recoverable backup.

20.1.2 Basic Concepts of RMAN TSPITR

Understand the concepts of RMAN TSPITR such as the terminology and modes used.

This section contains the following topics:

- Common Terms for RMAN TSPITR
- Modes of RMAN TSPITR
- How RMAN TSPITR Works With an RMAN-Managed Auxiliary Database

20.1.2.1 Common Terms for RMAN TSPITR

This section defines some common entities that are used by RMAN TSPITR.

Table 20-1 RMAN TSPITR Entities

Name	Explanation
Target instance	Contains the tablespace to be recovered to the target time
Target time	Point in time or SCN of the tablespace after TSPITR completes
Auxiliary database	A database used in the recovery process to perform the work of recovery. The auxiliary database has other files associated with it. See auxiliary set for a complete list.
Auxiliary destination	An optional disk location that RMAN uses to temporarily store the auxiliary set files. The auxiliary destination is used only with an RMAN-managed auxiliary database. Specifying an auxiliary destination with a user-managed auxiliary database results in an error. All references to auxiliary destination in this chapter assume use of an RMAN-managed auxiliary database.
Recovery set	Data files in the tablespaces that you intend to recover
Auxiliary set	Data files required for TSPITR that are not part of the recovery set. The auxiliary set typically includes: • The `SYSTEM` and `SYSAUX` tablespaces. • Data files containing rollback or undo segments from the target database instance. • Temporary tablespaces. • Control file from source database. • Archived redo logs that must be restored to recover the auxiliary database to specified point in time. • Online redo logs of the auxiliary database. These logs are different from the online redo logs of the source database. They are created when the auxiliary database is opened with the `RESETLOGS` option. The auxiliary set does not include the parameter file, password file, or associated network files.

20.1.2.2 Modes of RMAN TSPITR

There are several modes of running RMAN TSPITR. The difference between the various modes of operation corresponds to how much automation versus customization you require in your environment.

You start RMAN TSPITR with the RMAN `RECOVER TABLESPACE` command. There are three ways to run the utility:

- Fully Automated (the default)

  In this mode, RMAN manages the entire TSPITR process including the auxiliary database. You specify the tablespaces of the recovery set, an auxiliary destination, the target time, and you allow RMAN to manage all other aspects of TSPITR.

  The default mode is recommended unless you specifically need more control over the location of recovery set files after TSPITR, auxiliary set files during TSPITR, channel settings and parameters or some other aspect of your auxiliary database.

- Automated: RMAN-Managed Auxiliary Database with User Settings

  You can override some defaults of RMAN TSPITR while still using an RMAN-managed auxiliary database and destination. This variation of the default mode enables you to benefit from some built-in management that RMAN TSITR provides while being able to specify:

  – Location of auxiliary set or recovery set files

  – Initialization parameters

- Non-Automated: TSPITR and User-Managed Auxiliary Database

  This mode of RMAN TSPITR requires you to set up and manage all aspects of the auxiliary database and some aspects of the TSPITR process. This mode may be appropriate if, for example, you must allocate a different number of channels or change the channel parameters for your user-managed auxiliary database.

> **See Also:**
>
> - Performing Fully Automated RMAN TSPITR
> - Overriding Defaults for RMAN TSPITR with an RMAN-Managed Auxiliary Database
> - Performing RMAN TSPITR Using Your Own Auxiliary Database

20.1.2.3 How RMAN TSPITR Works With an RMAN-Managed Auxiliary Database

Select tablespaces from the recovery set, an auxiliary destination, and a target time before you perform fully automated RMAN TSPITR (default).

The automated mode of RMAN TSPITR shares many of these high-level processing steps. RMAN TSPITR automatically performs the following actions:

1. If the tablespaces in the recovery set have not been dropped, checks to see if they are self-contained by executing the `DBMS_TTS.TRANSPORT_SET_CHECK` for the recovery set tablespaces and then checking that the view `TRANSPORT_SET_VIOLATIONS` is empty. If the query returns rows, RMAN stops TSPITR processing. You must resolve any tablespace containment violations before TSPITR can proceed. Example 20-1 shows you how to set up and run the query before invoking RMAN TSPITR.
2. Checks to see if a connection to a user-managed auxiliary database was provided. If it is, then RMAN TSPITR uses it. If not, RMAN TSPITR creates the auxiliary database, starts it, and connects to it.

3. Takes the tablespaces to be recovered offline in the target database, if the tablespaces in the recovery set have not been dropped.
4. Restores a backup control file from a point in time before the target time to the auxiliary database.
5. Restores the data files from the recovery set and the auxiliary set to the auxiliary database.

   Files are restored either in the:

   - Locations that you specify for each file
   - Original location of the file (for recovery set data files)
   - Auxiliary destination (if you used the `AUXILIARY DESTINATION` argument of `RECOVER TABLESPACE` and an RMAN-managed auxiliary database)
6. Recovers the restored data files in the auxiliary database to the specified time.
7. Opens the auxiliary database with the `RESETLOGS` option.
8. Makes the recovery set tablespaces read-only in the auxiliary database.
9. Exports the recovery set tablespaces from the auxiliary database using the Data Pump utility to produce a transportable tablespace dump file.
10. Shuts down the auxiliary database.
11. Drops the recovery set tablespaces from the target.
12. Data Pump utility reads the transportable tablespace dump file and plugs the recovery set tablespaces into the target.
13. Makes the tablespaces that were put in the target database read/write and immediately takes them offline.
14. Deletes all auxiliary set files.

At this point, RMAN TSPITR has finished. The recovery set data files are returned to their contents at the specified point in time, and belong to the target database.

The recovery set tablespaces are left offline for you to back up and then bring back online. These last steps follow Oracle's recommendation and best practice of backing up recovered tablespaces as soon as TSPITR completes.

20.2 TSPITR Restrictions, Special Cases, and Limitations

Some database problems cannot be resolved with TSPITR because of certain restrictions and limitations.

The following list explains when you *cannot* perform TSPITR:

- If there are no archived redo logs or if the database runs in `NOARCHIVELOG` mode.
- If TSPITR is used to recover a renamed tablespace to a point in time before it was renamed, you must use the previous name of the tablespace to perform the recovery operation.

  In this case when TSPITR completes, the target database contains two copies of the same tablespace, the original tablespace with the new name and the TSPITR tablespace with the old name. If this is not your goal, then you can drop the new tablespace with the new name.

- If constraints for the tables in tablespace `tbs1` are contained in tablespace `tbs2`, then you cannot recover `tbs1` without also recovering `tbs2`.
- If a table and its indexes are stored in different tablespaces, then the indexes must be dropped before performing TSPITR.
- You cannot use TSPITR to recover the current default tablespace.
- You cannot use TSPITR to recover tablespaces containing any of the following objects:
  - Objects with underlying objects (such as materialized views) or contained objects (such as partitioned tables) unless all of the underlying or contained objects are in the recovery set. Additionally, if the partitions of a partitioned table are stored in different tablespaces, then you must either drop the table before performing TSPITR or move all the partitions to the same tablespace before performing TSPITR.
  - Undo or rollback segments
  - Oracle8-compatible advanced queues with multiple recipients
  - Objects owned by the user `SYS`. Examples of these types of objects are: PL/SQL, Java classes, callout programs, views, synonyms, users, privileges, dimensions, directories, and sequences.

20.2.1 Limitations of TSPITR

There are some limitations to consider when performing TSPITR.

After TSPITR completes, RMAN recovers the data files in the recovery set to the target time. Note the following special cases:

- TSPITR does not recover query optimizer statistics for recovered objects.You must gather new statistics after TSPITR completes.
- If you run TSPITR on a tablespace and bring the tablespace online at time *t*, then backups of the tablespace created before time *t* are no longer usable for recovery with a current control file. You cannot use the current control file to recover the database to any time less than or equal to *t*.
- If one or more data files in the recovery set have Oracle Managed File (OMF) names and the compatibility in the target database is set to version 10.1 or earlier, RMAN cannot reuse the data file. This restriction is true even if no SET NEWNAME command is provided for the data file. A new OMF name is created for the recovery set data file. This action temporarily doubles the space requirements for the data file. This is because `DB_CREATE_FILE_DEST` has two copies of the data file (the original data file and the one used by TSPITR) until the tablespace is dropped in the target and the original data file is deleted.

RMAN uses the transportable tablespaces functionality to perform TSPITR. Therefore, any limitations on transportable tablespaces are also applicable to TSPITR.

> **See Also:**
>
> *Oracle Database Administrator's Guide* for information about limitations on transportable tablespaces

20.2.2 About Special Considerations When Not Using a Recovery Catalog

Be aware of certain precautions when not using a recovery catalog during TSPITR.

The precautions include the following:

- Because RMAN has no historical record of the undo in the control file, RMAN assumes that the current set of tablespaces with rollback or undo segments were the same set present at the time when recovery was performed. If the tablespace set has changed since that time, then the current rollback or undo segments were the same segments present at the time to which recovery is performed. If the undo segments have changed since that time, then you can use `UNDO TABLESPACE` to indicate the correct set of tablespaces with undo at the point in time where the tablespaces are being recovered.
- TSPITR to a time that is too old may not succeed if Oracle Database has reused the control file records for needed backups. (In planning your database, set the `CONTROL_FILE_RECORD_KEEP_TIME` initialization parameter to a value large enough to ensure that control file records needed for TSPITR are kept.)
- To rerun TSPITR when you are not using a recovery catalog, you must first drop the tablespace to be used by TSPITR from the target database.

20.3 Planning and Preparing for TSPITR

Certain steps must be completed when preparing to perform TSPITR.

To prepare for TSPITR:

1. Read and understand the considerations described in "TSPITR Restrictions, Special Cases, and Limitations".
2. Select the target time until which the tablespace must be recovered, as described in "Selecting the Right Target Time for TSPITR".
3. Determine the recovery set, as described in "Determining the Recovery Set".
4. Identify and preserve objects that will be lost after the TSPITR operation completes, as described in "Identifying and Preserving Objects That Are Lost After TSPITR".

20.3.1 Selecting the Right Target Time for TSPITR

It is extremely important that you choose the right target time or SCN for your TSPITR. Note that after you bring a tablespace online after TSPITR, you cannot use any backup from a time earlier than the moment you brought the tablespace online.

If you have a recovery catalog, then you can perform repeated TSPITR operations to different target times because the catalog contains tablespace history information. If RMAN uses only a control file, however, repeated TSPITR is only possible after dropping the tablespace because the control file does not have the tablespace history. In this case, RMAN only knows about the current set of tablespaces. The tablespace on which TSPITR was performed has a creation time equal to the time it was brought online.

To identify a target time for TSPITR, investigate past states of your data and find the point in time when unwanted changes occurred by using one of the following techniques:

- Flashback Query
- Oracle Transaction Query
- Flashback Version Query

> **See Also:**
>
> - "TSPITR Restrictions, Special Cases, and Limitations"
> - *Oracle Database Development Guide* for more information on Flashback Query, Flashback Transaction Query, and Flashback Version Query

20.3.2 Determining the Recovery Set

Initially, your recovery set includes the data files for the tablespaces that you intend to recover. However, if objects in the tablespaces that you need have relationships (such as constraints) to objects in other tablespaces, then you must account for these relationships before you can perform TSPITR

You have the following choices when faced with such a relationship:

- Add the tablespace including the related objects to your recovery set
- Remove the relationship
- Suspend the relationship for the duration of TSPITR

> **See Also:**
>
> "Identify and Resolve Dependencies on the Primary Database " for information about resolving relationships to other tablespaces

20.3.2.1 Identify and Resolve Dependencies on the Primary Database

RMAN TSPITR requires that the tablespace that is being recovered be self-contained and that no `SYS`-owned objects reside in the tablespace.

To identify and resolve dependencies:

1. Use the `DBMS_TTS.TRANSPORT_SET_CHECK` procedure to locate objects outside the tablespace and identify relationships between objects that span the recovery set boundaries.

   If the `TRANSPORT_SET_VIOLATIONS` view returns rows, you must investigate and correct the problem according to the choices described in "Determining the Recovery Set".
2. Record all actions performed during this step so that you can re-create any suspended or removed relationships after completing TSPITR.

Proceed with TSPITR *only* when the `TRANSPORT_SET_VIOLATIONS` view is empty for the tablespaces in the recovery set.

> **Note:**
>
> If one or more of the tablespaces in the recovery set have been dropped, RMAN TSPITR cannot run the procedure `DBMS_TTS.TRANSPORT_SET_CHECK`. In this case, `DBMS_TTS.TRANSPORT_SET_CHECK` is run when the Data Pump export of the auxiliary database occurs. Just like RMAN TSPITR, if the export operation encounters any tablespaces that are not self-contained, it fails.

Example 20-1 Querying DBMS_TTS.TRANSPORT_SET_CHECK for a Subset of Tablespaces

This example illustrates how to use the `DBMS_TTS.TRANSPORT_SET_CHECK` procedure for an initial recovery set consisting of tablespaces `tools` and `users`. It queries the transportable tablespace violations table to manage any dependencies. No rows are returned from this query when all dependencies are managed.

```
BEGIN
   DBMS_TTS.TRANSPORT_SET_CHECK('USERS,TOOLS', TRUE,TRUE);
END;
/
SELECT * FROM  TRANSPORT_SET_VIOLATIONS;
```

> **See Also:**
>
> *Oracle Database PL/SQL Packages and Types Reference* for more information about the `DBMS_TTS.TRANSPORT_SET_CHECK` procedure and corresponding view

20.3.3 Identifying and Preserving Objects That Are Lost After TSPITR

When you perform RMAN TSPITR on a tablespace, objects created after the target recovery time are lost. You can preserve such objects after they are identified by exporting them before TSPITR with the Data Pump Export utility and reimporting them afterward with Data Pump Import.

To determine which objects are lost in TSPITR, query the `TS_PITR_OBJECTS_TO_BE_DROPPED` view on the primary database. Filter the view for objects whose `CREATION_TIME` is after the target time for TSPITR. The following table describes the contents of the view.

Table 20-2 TS_PITR_OBJECTS_TO_BE_DROPPED View

Column Name	Meaning
`OWNER`	Owner of the object to be dropped
`NAME`	The name of the object that is lost by undergoing TSPITR

Table 20-2 (Cont.) TS_PITR_OBJECTS_TO_BE_DROPPED View

Column Name	Meaning
CREATION_TIME	Creation time stamp for the object
TABLESPACE_NAME	Name of the tablespace containing the object

Example 20-2 Querying TS_PITR_OBJECTS_TO_BE_DROPPED

This example displays the objects that need to be preserved when performing TSPITR with a recovery set consisting of `users` and `tools` and a recovery point in time of November 2, 2017, 7:03:11 am.

```
SELECT OWNER, NAME, TABLESPACE_NAME,
       TO_CHAR(CREATION_TIME, 'YYYY-MM-DD:HH24:MI:SS')
       FROM TS_PITR_OBJECTS_TO_BE_DROPPED
WHERE TABLESPACE_NAME IN ('USERS','TOOLS')
AND CREATION_TIME > TO_DATE('02-NOV-17:07:03:11','YY-MON-DD:HH24:MI:SS')
ORDER BY TABLESPACE_NAME, CREATION_TIME;
```

The `TO_CHAR` and `TO_DATE` functions are used to avoid issues with different national date formats. Of course, you can use local date formats in your own work.

Example 20-3 Using SCN and TS_PITR_OBJECTS_TO_BE_DROPPED

If the SCN to recover tablespaces `USERS` and `TOOLS` is 1645870, this example determines the objects that are dropped. Use conversion functions to determine the time stamp associated with the SCN and the objects that are dropped.

```
SELECT OWNER, NAME, TABLESPACE_NAME,
       TO_CHAR(CREATION_TIME,'YYYY-MM-DD:HH24:MI:SS')
       FROM TS_PITR_OBJECTS_TO_BE_DROPPED
WHERE TABLESPACE_NAME IN ('USERS','TOOLS')
AND CREATION_TIME > TO_DATE(TO_CHAR(SCN_TO_TIMESTAMP(1645870),
'MM/DD/YYYY HH24:MI:SS'),
'MM/DD/YYYY HH24:MI:SS')
ORDER BY TABLESPACE_NAME, CREATION_TIME;
```

See Also:

Oracle Database Reference for more information about the `TS_PITR_OBJECTS_TO_BE_DROPPED` view

20.4 Performing Fully Automated RMAN TSPITR

In the default mode, RMAN bases as much of the configuration for TSPITR as possible on the target database.

During TSPITR, the recovery set data files are written in their current locations on the target database (For OMF files, see "Limitations of TSPITR"). The same channel configurations for the target database are used on the auxiliary database when restoring files from backup. Auxiliary set data files and other auxiliary database files, however, are stored in the auxiliary destination.

Use the AUXILIARY DESTINATION parameter to set a location for RMAN to use for the auxiliary set data files. The auxiliary destination must be a location on disk with enough space to hold auxiliary set data files. Even if you use other techniques to rename some or all of the auxiliary set data files, specifying an AUXILIARY DESTINATION parameter provides a default location for auxiliary set data files for which names are not specified. TSPITR does not fail if you inadvertently do not provide names for all auxiliary set data files.

To perform fully automated RMAN TSPITR, the user performing TSPITR must be able to connect with the SYSBACKUP or SYSDBA privilege using operating system authentication.

To perform fully automated RMAN TSPITR:

1. Review the information in "TSPITR Restrictions, Special Cases, and Limitations".
2. Perform the tasks in "Planning and Preparing for TSPITR".
3. Start an RMAN session on the target database and, if applicable, connect to a recovery catalog, as described in "Making Database Connections with RMAN".

   > **Note:**
   >
   > Do not connect to an auxiliary database when starting the RMAN client for automated TSPITR. If RMAN is connected to an auxiliary database when you run RECOVER TABLESPACE, then RMAN assumes that you are managing your own auxiliary database, as described in "Performing RMAN TSPITR Using Your Own Auxiliary Database", and tries to use the connected auxiliary for TSPITR.

4. Configure any channels required for TSPITR on the target instance.

   The auxiliary database uses the same channel configuration as the target instance when performing TSPITR.

5. Run the RECOVER TABLESPACE command, specifying both the UNTIL clause and the AUXILIARY DESTINATION parameter.

   This example returns the USERS and TOOLS tablespaces to the end of log sequence number 1299, and stores the auxiliary set files in the /disk1/auxdest directory.

   ```
   RECOVER TABLESPACE users, tools
     UNTIL LOGSEQ 1300 THREAD 1
     AUXILIARY DESTINATION '/disk1/auxdest';
   ```

6. View the results of the RECOVER command to decide which step to take:

   - If no error occurs during TSPITR, then proceed to Step 7.

     The tablespaces are taken offline by RMAN, restored from backup and recovered to the desired point in time on the auxiliary database, and then reimported to the target database. The tablespaces are left offline. All auxiliary set data files and other auxiliary database files are cleaned up from the auxiliary destination.

   - If an error occurs during TSPITR, then proceed to "Troubleshooting RMAN TSPITR".

7. If TSPITR completes successfully, then back up the recovered tablespaces before bringing them online.

   For example, enter the following command:

   ```
   BACKUP TABLESPACE users, tools;
   ```

   After you perform TSPITR on a tablespace, you can no longer use previous backups of that tablespace after TSPITR successfully completes. If you use the recovered tablespaces without taking a backup, then you run your database without a usable backup of these tablespaces.

8. Bring the tablespaces back online.

   For example, enter the following command:

   ```
   RMAN> ALTER TABLESPACE users, tools ONLINE;
   ```

   Your recovered tablespaces are now ready for use.

20.5 Overriding Defaults for RMAN TSPITR with an RMAN-Managed Auxiliary Database

You can customize some aspects of RMAN TSPITR while still mostly following the procedure for performing fully automated RMAN TSPITR.

These include the following:

- Rename or relocate your recovery set data files so that the data files making up the recovered tablespaces are not stored in the original locations after TSPITR. This may be necessary if the disk that originally contained the tablespace is not usable.
- Specify a location other than the auxiliary destination for some or all auxiliary set data files. You might choose this option if no single location on disk has enough space for all auxiliary set files.
- Rename files in an Oracle Managed Files format.
- Set up image copy backups of your auxiliary set data files in advance to avoid having to restore data files during TSPITR.
- Customize initialization parameters for your RMAN-managed auxiliary database.

See Also:

- "Renaming TSPITR Recovery Set Data Files with SET NEWNAME"
- "Naming TSPITR Auxiliary Set Data Files"
- "Considerations When Renaming OMF Auxiliary Set Files in TSPITR"
- "Using Image Copies for Faster RMAN TSPITR Performance"
- "Customizing Initialization Parameters for the Automatic Auxiliary Database in TSPITR"

20.5.1 Renaming TSPITR Recovery Set Data Files with SET NEWNAME

You may not want the recovery set data files restored and recovered in their original locations. The `SET NEWNAME` command enables you to specify a new destination. When you specify a new destination for the recovery set, RMAN does not remove the original data files of the tablespaces.

To specify new recovery set file names, create a `RUN` block and use `SET NEWNAME` commands within it. Be sure to assign names that do not conflict with each other or with the names of your current data files.

Example 20-4 Renaming Recovery Set Files

This example specifies new names for recovery set data files. In this example, RMAN takes the following actions:

- Restores each specified data file to the new location during TSPITR.
- Uses the image copy if one exists at the specified location and its checkpoint is before the specified point in time. If this criteria is not met, then RMAN overwrites the image copy.
- Plugs the newly recovered data file into the target control file.

RMAN does not detect conflicts between names set with `SET NEWNAME` and current data file names on the target database until the actual recovery. If RMAN detects a conflict, then TSPITR fails and RMAN reports an error. The valid data file is not overwritten.

```
RUN
{
   .
   .
   .
   SET NEWNAME FOR DATAFILE 'ORACLE_HOME/oradata/trgt/users01.dbf'
     TO '/newfs/users01.dbf';
   ...other SET NEWNAME commands...
   RECOVER TABLESPACE users, tools UNTIL SEQUENCE 1300 THREAD 1;
}
```

20.5.2 Naming TSPITR Auxiliary Set Data Files

Unlike recovery set data files, which are usually stored in their original locations, auxiliary set data files must not overwrite the corresponding original files in the target database. If you do not specify an auxiliary set file location that is different from its original location, then TSPITR fails. The failure occurs when RMAN attempts to overwrite the corresponding file in the original database and discovers the file in use.

The simplest way to provide locations for auxiliary set data files is to specify an auxiliary destination for TSPITR. However, RMAN supports the following alternatives for controlling the location of auxiliary set data files, which are listed in order of precedence shown in Table 20-3.

Table 20-3 Order of Precedence for Naming Files

Order	Technique	Section
1	`SET NEWNAME`	"Using SET NEWNAME to Name Auxiliary Set Data Files During TSPITR"
2	`CONFIGURE AUXNAME`	"Using SET NEWNAME and CONFIGURE AUXNAME with Auxiliary Set Image Copies"
3	`DB_FILE_NAME_CONVERT`	"Using DB_FILE_NAME_CONVERT to Name Auxiliary Set Data Files During TSPITR". If the target database uses OMF names for auxiliary set, then you cannot use `DB_FILE_NAME_CONVERT`. See "Considerations When Renaming OMF Auxiliary Set Files in TSPITR".
4	`AUXILIARY DESTINATION` argument to `RECOVER TABLESPACE` when using an RMAN-managed auxiliary database	

Settings higher on the list override settings lower on the list in situations where both have been applied. For example, you might run `RECOVER TABLESPACE... AUXILIARY DESTINATION` on a target database when some auxiliary set data files have auxiliary names configured with `CONFIGURE AUXNAME`.

Even if you intend to use either of the preceding techniques to provide locations for specific files, Oracle recommends that you provide an `AUXILIARY DESTINATION` argument to `RECOVER TABLESPACE` when using an RMAN-managed auxiliary database. If you overlook renaming some auxiliary set data files, then TSPITR still succeeds. Any files not otherwise renamed are placed in the auxiliary destination.

> **Note:**
>
> You can view any current `CONFIGURE AUXNAME` settings by running the `SHOW AUXNAME` command, which is described in *Oracle Database Backup and Recovery Reference*.

20.5.2.1 Considerations When Renaming OMF Auxiliary Set Files in TSPITR

Auxiliary set data files can have Oracle Managed Files (OMF) in the target and can use Automatic Storage Management (ASM) or non-ASM storage. TSPITR performs name conversion differently when the `DB_FILE_NAME_CONVERT` initialization parameter is set and the OMF files are in ASM or non-ASM storage.

20.5.2.1.1 Using ASM Storage

You can use `DB_FILE_NAME_CONVERT` and `LOG_FILE_NAME_CONVERT` initialization parameters for the auxiliary database to specify the conversion of the disk group. RMAN uses the pattern to

convert the ASM disk group name and generates a valid OMF file name in the converted disk group.

For Oracle Managed Files (OMF) that use ASM storage, the database converts only disk group names as in: +DISK1 to +DISK2.

The following command specifies the conversion for the log files:

```
LOG_FILE_NAME_CONVERT='+onlinelogs','+tmpasm'
```

If the `DB_FILE_NAME_CONVERT` and `LOG_FILE_NAME_CONVERT` parameters change a substring other than the disk group name, the conversion is ignored and the resulting disk group name is used. For example:

```
DB_FILE_NAME_CONVERT='+DATAFILE/prod','+DATAFILE/tspitr'
```

The preceding command results in an invalid ASM OMF file name and the change is ignored. Instead, the files are created in disk group name +DATAFILE and the following message is issued:

```
WARNING: DB_FILE_NAME_CONVERT resulted in invalid ASM names; names changed to
disk group only
```

If auxiliary set data files are stored in ASM disk groups, then you can use the `SET NEWNAME` command to redirect individual files to a specific disk group accessible from the auxiliary database (and allow the database to generate the file name within the disk group).

Example 20-5 Redirecting ASM files

This example shows how to use the `SET NEWNAME` command to redirect individual files to a specific disk group.

```
RUN
{
  SET NEWNAME FOR DATAFILE 1 TO "+DISK2";
  SET NEWNAME FOR DATAFILE 2 TO "+DISK3";
  RECOVER TABLESPACE users, tools
    UNTIL LOGSEQ 1300 THREAD 1
    AUXILIARY DESTINATION '/disk1/auxdest';
}
```

20.5.2.1.2 Using Non-ASM Storage

Multiple methods are available to rename OMF (non-ASM) file names for the auxiliary database.

The initialization parameters `DB_FILE_NAME_CONVERT` and `LOG_FILE_NAME_CONVERT` cannot be used to rename OMF (non-ASM) file names for the auxiliary database because this method generates invalid OMF file names. If you must control the generation of new OMF file names that do not use ASM storage, you must rename them using one of the following alternate techniques.

The various naming options are listed in order from most recommended to least recommended.

1. Use an auxiliary destination, as described in "Performing Fully Automated RMAN TSPITR".

2. Specify locations for new OMF files with one or more of the OMF initialization parameters for the auxiliary database so that all of the necessary OMF files are handled:
   - `DB_CREATE_FILE_DEST` for the auxiliary set data files
   - `DB_CREATE_ONLINE_LOG_DEST_n` with `DB_CREATE_FILE_DEST` for the online redo logs of the auxiliary database if the online logs are not created in the `DB_CREATE_FILE_DEST`

20.5.2.2 Using SET NEWNAME to Name Auxiliary Set Data Files During TSPITR

To specify a new name for an auxiliary set data file, you can enclose `RECOVER TABLESPACE` in a `RUN` command and use a `SET NEWNAME` command within the `RUN` block to rename the file.

Example 20-6 Renaming Auxiliary Set Oracle Managed Files (OMF) in TSPITR

This example illustrates the basic technique of using SET NEWNAME to rename files. The result depends on whether `/disk1/auxdest/system01.dbf` exists when `RECOVER TABLESPACE` is executed. If `?/oradata/system01.dbf` exists at the specified location and was created at an SCN before the `UNTIL` time for TSPITR, then the `DATAFILECOPY` is used and the restore operation is not necessary. Otherwise, RMAN restores the auxiliary set data file to the `NEWNAME` instead of the default location. If your intention is to control where the auxiliary set data files are stored, then ensure that no file is stored at the location specified by `SET NEWNAME` before performing TSPITR.

```
RUN
{
  SET NEWNAME FOR DATAFILE '?/oradata/prod/system01.dbf'
    TO '/disk1/auxdest/system01.dbf';
  SET NEWNAME FOR DATAFILE '?/oradata/prod/sysaux01.dbf'
    TO '/disk1/auxdest/sysaux01.dbf';
  SET NEWNAME FOR DATAFILE '?/oradata/prod/undotbs01.dbf'
    TO '/disk1/auxdest/undotbs01.dbf';
  RECOVER TABLESPACE users, tools
    UNTIL LOGSEQ 1300 THREAD 1
    AUXILIARY DESTINATION '/disk1/auxdest';
}
```

> **See Also:**
>
> "Using SET NEWNAME and CONFIGURE AUXNAME with Auxiliary Set Image Copies"

20.5.2.3 Using DB_FILE_NAME_CONVERT to Name Auxiliary Set Data Files During TSPITR

When you do not want to use an auxiliary destination for all of your auxiliary set data files, but you also do not want to name every file individually, you can include a `DB_FILE_NAME_CONVERT` initialization parameter in the initialization parameter file used by the auxiliary database.

You can use this technique only when one of the following situations exists:

- You create your own initialization parameter file for an automatically managed auxiliary database, as described in "Customizing Initialization Parameters for the Automatic Auxiliary Database in TSPITR"

- You create your own auxiliary database, as described in "Performing RMAN TSPITR Using Your Own Auxiliary Database"

The DB_FILE_NAME_CONVERT initialization parameter in the auxiliary database specifies how to derive names for files in the auxiliary database from the original names of the corresponding files in the target database instance. The parameter consists of a list of pairs of strings. For any file name that contains the first string of a pair as a substring, the name of the corresponding file in the auxiliary database is generated by substituting the second string of the pair into the original file name.

For example, assume that the target instance contains the following files:

- ?/oradata/trgt/system01.dbf of the SYSTEM tablespace
- ?/oradata/trgt/sysaux01.dbf of the SYSAUX tablespace
- ?/oradata/trgt/undotbs01.dbf of the undotbs tablespace

To place the corresponding files of the auxiliary database in /bigtmp, you add the following line to the auxiliary database parameter file:

```
DB_FILE_NAME_CONVERT=('?/oradata/trgt', '/bigtmp')
```

New file names for the corresponding auxiliary database files are /bigtmp/trgt/system01.dbf, /bigtmp/trgt/sysaux01.dbf, and /bigtmp/trgt/undotbs01.dbf.

The most important point to remember is that DB_FILE_NAME_CONVERT *must* be present in the auxiliary database parameter file. If the auxiliary database was manually created, then add DB_FILE_NAME_CONVERT to the auxiliary database parameter file.

You can still rename individual auxiliary set data files with the SET NEWNAME or CONFIGURE AUXNAME command. Also, files that do not match the patterns provided in DB_FILE_NAME_CONVERT are not renamed. When using RMAN-managed auxiliary database, you can use the AUXILIARY DESTINATION parameter of RECOVER TABLESPACE command to ensure that all auxiliary set data files are sent to some destination. If the renaming methods do not provide a new name for a file at the auxiliary database, then TSPITR fails.

20.5.2.3.1 Renaming Temp Files During TSPITR

Temp files are considered part of the auxiliary set for your database. When the auxiliary database is instantiated, RMAN recreates the temporary tablespaces of the target database and generates their names with the regular rules for the auxiliary data file names.

To rename temp files, you can use one of the following:

- SET NEWNAME FOR TEMPFILE command
- DB_FILE_NAME_CONVERT initialization parameter of the auxiliary database. If the temporary files have non-ASM Oracle Managed File names, you cannot use this parameter option.
- AUXILIARY DESTINATION clause of the RECOVER command when using an RMAN-managed auxiliary database

> **See Also:**
>
> Considerations When Renaming OMF Auxiliary Set Files in TSPITR

20.5.3 Using Image Copies for Faster RMAN TSPITR Performance

TSPITR performance can be enhanced by redirecting RMAN to use existing image copies of the recovery set and auxiliary set data files. In this case, RMAN does not need to restore the data files from backup.

In general, if a suitable image copy is available in the specified location, then RMAN uses the image copy to perform TSPITR, and the data file copy is uncataloged from the target control file.

You can use the following techniques to tell RMAN about the possible existence of an image copy of a data file:

- Use the `CONFIGURE AUXNAME` command with image copies of auxiliary set data files
- Use the `SET NEWNAME` command with image copies of recovery set data files or auxiliary set data files

> **See Also:**
>
> - Using SET NEWNAME and CONFIGURE AUXNAME with Auxiliary Set Image Copies
> - Using SET NEWNAME with Recovery Set Image Copies

20.5.3.1 Using SET NEWNAME with Recovery Set Image Copies

The `SET NEWNAME` command enables you to specify the location of the image copies when performing TSPITR using image copies.

During TSPITR, RMAN looks in the specified `NEWNAME` location for the data file. RMAN checks whether an image copy backup of the data file exists with a data file checkpoint SCN early enough that it can be recovered to the target time. If RMAN finds a usable image copy, then RMAN uses it in TSPITR. Otherwise, RMAN restores the data file to the `NEWNAME` location. Any file in the location specified by the `NEWNAME` is overwritten. The specified `NEWNAME` becomes the name of the data file in the target database after TSPITR completes.

Example 20-7 Using SET NEWNAME

This example performs TSPITR by using image copies of recovery set files. The `SET NEWNAME` command specifies the location of the image copies of the specified tablespace.

```
RUN
{
SET NEWNAME FOR DATAFILE 'ORACLE_HOME/oradata/trgt/users01.dbf'
  TO '/newfs/users1.dbf';
...other RMAN commands, if any...
```

```
RECOVER TABLESPACE users, tools UNTIL SEQUENCE 1300 THREAD 1;
}
```

20.5.3.2 Using SET NEWNAME and CONFIGURE AUXNAME with Auxiliary Set Image Copies

The `CONFIGURE AUXNAME` command sets a persistent alternative location for an auxiliary set data file image copy, whereas the `SET NEWNAME` command sets an alternative location for the duration of a `RUN` command.

Assume that you use `SET NEWNAME` or `CONFIGURE AUXNAME` to specify a new location for an auxiliary set data file. Also assume that there is an image copy at that location with an SCN that can be used in TSPITR. In this case, RMAN uses the image copy. If there is no usable image copy at that location, however, then RMAN restores a usable copy from backup. (If an image copy is present but the SCN is after the target time for TSPITR, then the data file is overwritten by the restored file.)

As with all auxiliary set files, the file is deleted after TSPITR. This behavior occurs regardless of whether it was an image copy created before TSPITR or restored by RMAN during TSPITR.

The primary use of `CONFIGURE AUXNAME` is to make TSPITR faster by eliminating restore times. If you anticipate performing TSPITR, then you can include in your backup routine the maintenance of a set of image copies of the auxiliary set data files, and update these periodically to the earliest point to which you expect to perform TSPITR. The recommended usage model is:

1. Configure the `AUXNAME` for the files once when setting up this strategy.
2. Perform `BACKUP AS COPY DATAFILE` *`n`* `FORMAT` *`auxname`* regularly to maintain the updated image copy. For better performance, use an incrementally updated backup strategy to keep the image copies up-to-date without performing full backups of the data files.
3. When TSPITR is needed, specify a target time after the last update of the image copy.

20.5.3.3 Performing TSPITR with CONFIGURE AUXNAME and Image Copies: Scenario

This procedure uses `CONFIGURE AUXNAME` when performing TSPITR using image copies.

Assume that you have enough disk space to save image copies of your entire database for use in TSPITR. In preparation for the possibility of TSPITR, you do the following:

- Configure an `AUXNAME` for each data file in the auxiliary set by using a command of the following form:

  ```
  CONFIGURE AUXNAME FOR DATAFILE n TO auxname_n;
  ```

- Take an image copy of the auxiliary set every Sunday by using a command of the following form:

  ```
  BACKUP AS COPY DATAFILE n FORMAT auxname_n
  ```

If the image copies are all in the same location on disk, and if they are named similarly to the original data files, then you can avoid performing backups of every data file. Instead, you can use the FORMAT or DB_FILE_NAME_CONVERT options of the BACKUP command and use BACKUP AS COPY DATABASE. For example, if the configured auxiliary names are a translation of the location maindisk to auxdisk, then you use the following command:

```
BACKUP AS COPY
  DATABASE
  DB_FILE_NAME_CONVERT (maindisk, auxdisk);
```

> **Note:**
>
> Because Oracle managed file names cannot generally be translated using a simple substitution, you cannot typically use DB_FILE_NAME_CONVERT to generate names for image copies stored in OMF.

After these steps, you are prepared for TSPITR without restoring the auxiliary set from backup. For example, if an erroneous batch job, started on November 15, 2013, at 19:00:00, incorrectly updates the tables in the tablespace parts, you use the following command to perform TSPITR on tablespace parts:

```
RECOVER TABLESPACE parts UNTIL TIME 'November 15 2013, 19:00:00';
```

Because AUXNAME locations are configured and refer to data file copies from an SCN before the TSPITR target time, the auxiliary set is not restored from backup. Instead, the data file copies are used in recovery, which reduces the restore overhead.

You can also prevent the recovery set from being restored. You must take frequent image copies of the tablespaces and use SET NEWNAME to specify the location of these copies. This method ensures that the recovery set is not restored and the tablespace changes location after TSPITR successfully completes.

20.5.4 Customizing Initialization Parameters for the Automatic Auxiliary Database in TSPITR

The automatic auxiliary database uses a set of default initialization parameters. You can add other parameters, if required.

The automatic auxiliary database looks for additional initialization parameters to complement the default parameters in a location that is operating system-dependent. For example, in UNIX this location is: ?/rdbms/admin/params_auxinst.ora. RMAN always looks for this additional parameter file for an RMAN-automatic auxiliary database when performing TSPITR. If the file is not found, then RMAN does not generate an error. Instead, RMAN uses the default parameters listed in the following table for the RMAN-managed automatic auxiliary database.

Table 20-4 Default Initialization Parameters for the RMAN-Managed Auxiliary Database

Initialization Parameter	Value
DB_NAME	Same as DB_NAME of the source database
COMPATIBLE	Same as the COMPATIBLE setting of the target database

Table 20-4 (Cont.) Default Initialization Parameters for the RMAN-Managed Auxiliary Database

Initialization Parameter	Value
DB_UNIQUE_NAME	RMAN auto-generated unique value based on DB_NAME
DB_BLOCK_SIZE	Same as the DB_BLOCK_SIZE of the target database
DB_CREATE_FILE_DEST	Auxiliary destination (only if the AUXILIARY DESTINATION argument is specified when using an RMAN-managed auxiliary database). RMAN creates Oracle Managed Files for the auxiliary set files in this location.
LOG_ARCHIVE_DEST_1	Auxiliary destination (only if the AUXILIARY DESTINATION clause is specified when using an RMAN-managed auxiliary database). Archived logs needed for recovery are restored to this location.
SGA_TARGET	Same as the SGA_TARGET of the target database
DB_FILES	Same as DB_FILES of the target database
PROCESSES	200

Usually it is not necessary to alter or add to the values of these initialization parameters, especially if you provide an AUXILIARY DESTINATION clause to the RECOVER TABLESPACE command when using a RMAN-managed auxiliary database. If you override an initialization parameter in Table 20-4 with an inappropriate value, then TSPITR may fail due to problems with the auxiliary database. Nevertheless, you can add other parameters besides these basic parameters if needed. For example, you can use DB_FILE_NAME_CONVERT to specify the names of the data files in the auxiliary and recovery sets.

To override or specify parameters for the automatic auxiliary database, you can do either of the following:

- Place the initialization parameters in the operating system specific default auxiliary parameter file name. For example, in UNIX, the file name is: ?/rdbms/admin/params_auxinst.ora.
- Perform these steps:
  1. Place the initialization parameters in a file.
  2. Specify the location of this file with the SET AUXILIARY INSTANCE PARAMETER FILE command before executing TSPITR.

Regardless of the method that you choose, the parameters that you specify take precedence over defaults and can override the value of an AUXILIARY DESTINATION clause.

This section contains the following topics:

- Specifying the Auxiliary Database Archived Logs in TSPITR
- Specifying the Auxiliary Database Control File Location in TSPITR
- Specifying the Auxiliary Database Online Log Location in TSPITR

20.5.4.1 Specifying the Auxiliary Database Control File Location in TSPITR

If you use an initialization parameter file, then you can use the `CONTROL_FILES` initialization parameter to specify your own location for the control file of your auxiliary database

If you do not explicitly specify a control file location, and if you use the `AUXILIARY DESTINATION` clause, then RMAN locates the control file in the auxiliary destination. If you do not use the `AUXILIARY DESTINATION` clause, then the auxiliary database control files are stored in an operating system-specific location.

No matter where you store your auxiliary database control file, it is removed at the end of the TSPITR operation. Because control files are relatively small, it is rare that RMAN encounters a problem creating an auxiliary control file. If there is not enough space to create the control file, however, then TSPITR fails.

20.5.4.2 Specifying the Auxiliary Database Archived Logs in TSPITR

To perform recovery on the auxiliary and recovery sets after restoring them at the auxiliary database, RMAN may need to restore archived logs. When an auxiliary destination is being used, the archived logs are restored to that location.

In the absence of an auxiliary destination and any other initialization parameters, the archived logs are restored to an operating system specific location. For details, consult your operating system specific documentation. You can use the `LOG_ARCHIVE_DEST_1` initialization parameter to specify an alternative location where the archived logs are restored.

20.5.4.3 Specifying the Auxiliary Database Online Log Location in TSPITR

If you specify the `LOG_FILE_NAME_CONVERT` initialization parameter in your auxiliary database parameter file and the parameter successfully converts the names of the online redo logs of the target, then this parameter determines the online redo log location.

The same restrictions that apply to OMF data files, as described in "Considerations When Renaming OMF Auxiliary Set Files in TSPITR", apply to OMF online redo logs. If RMAN is managing the auxiliary database and an auxiliary destination is specified, RMAN creates the online redo log in the auxiliary destination.

Alternatively, you can use `DB_CREATE_FILE_DEST` or `DB_CREATE_FILE_DEST` and `DB_CREATE_ONLINE_LOG_1` to specify the location where the auxiliary database redo logs are created. If you choose the latter option, then you must use `DB_CREATE_ONLINE_LOG_1` with `DB_CREATE_FILE_DEST`.

TSPITR fails to create the online redo logs if you do not specify a location for them by using one of the following:

- `LOG_FILE_NAME_CONVERT`
- `DB_CREATE_FILE_DEST`
- `DB_CREATE_FILE_DEST` and `DB_CREATE_ONLINE_LOG_1`
- `AUXILIARY DESTINATION`

20.6 Performing RMAN TSPITR Using Your Own Auxiliary Database

Although Oracle recommends that you let RMAN manage all aspects of the auxiliary database, there may be times when you must create and manage your own auxiliary database. If you select this mode, you are responsible for setting up, starting, stopping and cleaning up the auxiliary database used in TSPITR.

One reason that you might want to create your own instance is to exercise control of channels used in TSPITR. The automatic auxiliary database uses the configured channels of the target database as the basis for the channels to configure on the auxiliary database and to use during the restore operation. You may need different channel settings and may not want to use the `CONFIGURE` command to change the settings on the target database. In this case, you can operate your own auxiliary database. By connecting to the auxiliary database before invoking `RECOVER`, a run block can provide specific channel allocations using the `ALLOCATE AUXILIARY CHANNEL` command.

This section contains the following topics:

- Preparing Your Own Auxiliary Database for RMAN TSPITR
- Preparing RMAN Commands for TSPITR with Your Own Auxiliary Database
- Executing TSPITR with Your Own Auxiliary Database
- Performing TSPITR with Your Own Auxiliary Database: Scenario

20.6.1 Preparing Your Own Auxiliary Database for RMAN TSPITR

Creating an Oracle instance suitable for use as an auxiliary database requires you to perform a set of steps.

The steps include the following:

- Step 1: Create an Oracle Password File for the Auxiliary Database
- Step 2: Create an Initialization Parameter File for the Auxiliary Database
- Step 3: Check Oracle Net Connectivity to the Auxiliary Database

20.6.1.1 Step 1: Create an Oracle Password File for the Auxiliary Database

There are multiple ways to create a password file for the auxiliary database.

> **See Also:**
>
> *Oracle Database Administrator's Guide*to learn how to create and maintain Oracle password files

20.6.1.2 Step 2: Create an Initialization Parameter File for the Auxiliary Database

Use a text editor to create an initialization parameter file for the auxiliary database on the target database host.

> **Note:**
>
> For TSPITR, the target and auxiliary database instances must be on the same host.

In this example, assume that your parameter file is placed at `/tmp/initAux.ora`. Set the parameters described in the following table:

Table 20-5 Initialization Parameters in a User-Managed Auxiliary Database

Initialization Parameter	Mandatory?	Value
`DB_NAME`	YES	The same name as the target database
`DB_UNIQUE_NAME`	YES	A value different from any database in the same Oracle home. For simplicity, specify *`_dbname`*. For example, if the target database name is `trgt`, then specify `_trgt`.
`REMOTE_LOGIN_PASSWORDFILE`	YES	Set to `EXCLUSIVE` when connecting to the auxiliary database with a password file. Otherwise, set to `NONE`.
`COMPATIBLE`	YES	The same value as the parameter in the target database
`DB_BLOCK_SIZE`	YES	If this initialization parameter is set in the target database, then it must be set to the same value in the auxiliary database.
`LOG_FILE_NAME_CONVERT`	NO	Patterns to generate file names for the online redo logs of the auxiliary database based on the online redo log names of the target database. Query `V$LOGFILE.MEMBER`to obtain target instance online redo log file names, and ensure that the conversion pattern matches the format of the file name shown in the view. **Note:** Some platforms do not support ending patterns in a forward or backward slash (`\` or `/`). **See Also:** "Specifying the Auxiliary Database Online Log Location in TSPITR" for restrictions on possible values for `LOG_FILE_NAME_CONVERT` with OMF file names and "Considerations When Renaming OMF Auxiliary Set Files in TSPITR"

Table 20-5 (Cont.) Initialization Parameters in a User-Managed Auxiliary Database

Initialization Parameter	Mandatory?	Value
DB_FILE_NAME_CONVERT	NO	Patterns to convert file names for the data files of the auxiliary database. You can use this parameter to generate file names for those files that you did not name with SET NEWNAME or CONFIGURE AUXNAME. Obtain the data file names by querying V$DATAFILE.NAME, and ensure that the conversion pattern matches the format of the file name displayed in the view. **Note:** Some platforms do not support ending patterns in a forward or backward slash (\ or /). **See Also:** "Using DB_FILE_NAME_CONVERT to Name Auxiliary Set Data Files During TSPITR" and "Considerations When Renaming OMF Auxiliary Set Files in TSPITR".
DB_CREATE_FILE_DEST	NO	Identifies a location for all auxiliary set files.
LOG_ARCHIVE_DEST_*n*	NO	Identifies where archived logs required for recover are created.
DB_CREATE_ONLINE_LOG_*n*	NO	With DB_CREATE_FILE_DEST identifies a different location where online redo logs are created.
CONTROL_FILES	NO	File names that do not conflict with the control file names of the target instance (or any other existing file).
SGA_TARGET	NO (Recommended)	280M
STREAMS_POOL_SIZE	NO YES	If SGA_TARGET is set If SGA_TARGET is not set

Set other parameters as needed, including the parameters to specify how much memory the auxiliary database uses.

The following example shows possible initialization parameter settings for an auxiliary database for TSPITR:

```
DB_NAME=trgt
DB_UNIQUE_NAME=_trgt
CONTROL_FILES=/tmp/control01.ctl
DB_FILE_NAME_CONVERT=('/oracle/oradata/trgt/','/tmp/')
LOG_FILE_NAME_CONVERT=('/oracle/oradata/trgt/redo','/tmp/redo')
REMOTE_LOGIN_PASSWORDFILE=exclusive
COMPATIBLE =11.0.0
DB_BLOCK_SIZE=8192
```

> **Note:**
>
> After setting these initialization parameters, ensure that you do not overwrite the initialization settings for the production files at the target database.

20.6.1.3 Step 3: Check Oracle Net Connectivity to the Auxiliary Database

The auxiliary database must have a valid net service name. Before proceeding, use SQL*Plus to ensure that you can establish a `SYSBACKUP` or `SYSDBA` connection to the auxiliary database.

> **See Also:**
>
> *Oracle Database Administrator's Guide* for more information about Oracle Net

20.6.2 Preparing RMAN Commands for TSPITR with Your Own Auxiliary Database

Keep in mind certain guidelines when performing TSPITR with your own auxiliary instance.

If you run your own auxiliary database, then it is possible for the sequence of commands required for TSPITR to be long. This situation can occur when you allocate a complex channel configuration for restoring from backup and you are not using `DB_CREATE_FILE_DEST` to determine file naming of auxiliary set files.

You may want to store the series of commands for TSPITR in an RMAN command file. Review the command file carefully to catch any errors. To read the command file into RMAN, use the `@` command (or the `CMDFILE` command-line argument when starting RMAN).

The following example runs the command file named `/tmp/tspitr.rman`:

```
@/tmp/tspitr.rman;
```

> **See Also:**
>
> "Using Command Files with RMAN"

20.6.2.1 Planning Channels for TSPITR with Your Own Auxiliary Database

The default behavior for channels when you use your own auxiliary database for TSPITR can be overridden.

When you run your own auxiliary database, the default behavior is to use the automatic channel configuration of the target database. If you decide to allocate your own channels with a different configuration (changing the number of channels or channel parameters), you can include `ALLOCATE AUXILIARY CHANNEL` commands in a `RUN` block along with the `RECOVER TABLESPACE` command for TSPITR. Plan these commands, if necessary, and add them to the sequence of commands you run for TSPITR.

> **See Also:**
>
> "Performing TSPITR with Your Own Auxiliary Database: Scenario" to learn how to include channel allocation in your TSPITR script

20.6.2.2 Planning Data File Names with Your Own Auxiliary Database: SET NEWNAME

You may want to use `SET NEWNAME` commands to refer to existing image copies of auxiliary set files to improve TSPITR performance, or to assign new names to the recovery set files for after TSPITR. Plan these commands, if necessary, and add them to the sequence of commands that you run for TSPITR.

> **See Also:**
>
> Renaming TSPITR Recovery Set Data Files with SET NEWNAME

20.6.3 Executing TSPITR with Your Own Auxiliary Database

Complete the prerequisites and then follow the steps in this section to perform TSPITR with your own auxiliary database.

Prerequisites to perform this task include:

- "Preparing Your Own Auxiliary Database for RMAN TSPITR"
- "Preparing RMAN Commands for TSPITR with Your Own Auxiliary Database"

Use the following steps to perform TSPITR with your own auxiliary instance:

- Step 1: Start the Auxiliary Database in NOMOUNT Mode
- Step 2: Connect the RMAN Client to Target and Auxiliary Databases
- Step 3: Execute the RECOVER TABLESPACE Command

> **See Also:**
>
> Performing TSPITR with Your Own Auxiliary Database: Scenario

20.6.3.1 Step 1: Start the Auxiliary Database in NOMOUNT Mode

Before beginning RMAN TSPITR, you must start the auxiliary database. Because the auxiliary database does not yet have a control file, you can only start the instance in `NOMOUNT` mode.

Do not create a control file or try to mount or open the auxiliary database for TSPITR.

To start the auxiliary database:

1. Start SQL*Plus and connect to the auxiliary database with `SYSOPER` privileges.
2. Start the auxiliary database in `NOMOUNT` mode, specifying a parameter file if necessary.

   For example, enter the following SQL*Plus command:

   ```
   SQL> STARTUP NOMOUNT PFILE='/tmp/initAux.ora'
   ```

   Remember that if you specify `PFILE`, then the path for the `PFILE` is a client-side path on the host from which you run SQL*Plus.

20.6.3.2 Step 2: Connect the RMAN Client to Target and Auxiliary Databases

Start RMAN and connect to the target database and the manually created auxiliary database.

For example, use a command such as the following:

```
rman target dba AUXILIARY auxusr@aux
```

> **See Also:**
>
> "Making Database Connections with RMAN"

20.6.3.3 Step 3: Execute the RECOVER TABLESPACE Command

Use the `RECOVER TABLESPACE` command to perform TSPITR with your own auxiliary instance.

In the simplest case, run a `RECOVER TABLESPACE... UNTIL` command such as the following at the RMAN prompt:

```
RECOVER TABLESPACE ts1, ts2... UNTIL TIME 'time';
```

If you want to use the `ALLOCATE AUXILIARY CHANNEL` or `SET NEWNAME` commands, then include these commands before the `RECOVER TABLESPACE` command within a `RUN` command. The following example illustrates this technique:

```
RUN
{
   ALLOCATE AUXILIARY CHANNEL c1 DEVICE TYPE DISK;
   ALLOCATE AUXILIARY CHANNEL c2 DEVICE TYPE sbt;
  # and so on...
   RECOVER TABLESPACE ts1, ts2 UNTIL TIME 'time';
}
```

20.6.4 Performing TSPITR with Your Own Auxiliary Database: Scenario

This procedure uses the `RECOVER TABLESPACE... UNTIL` command to perform TSPITR.

This scenario illustrates the following features of RMAN TSPITR:

- Managing your own auxiliary database
- Configuring channels for restore of backups from disk and SBT devices
- Using recoverable image copies for some auxiliary set data files using `SET NEWNAME`
- Specifying new names for recovery set data files using `SET NEWNAME`

To use TSPITR with your own auxiliary database:

1. Prepare the auxiliary database, as described in "Preparing Your Own Auxiliary Database for RMAN TSPITR". Specify a password for the auxiliary database in the password file, and set up the auxiliary database parameter file `/bigtmp/init_tspitr_prod.ora` with the following settings:

```
DB_NAME=PROD
DB_UNIQUE_NAME=tspitr_PROD
CONTROL_FILES=/bigtmp/tspitr_cntrl.dbf
DB_CREATE_FILE_DEST=/bigtmp
COMPATIBLE=11.0.0
BLOCK_SIZE=8192
REMOTE_LOGIN_PASSWORD=exclusive
```

2. Create service name `pitprod` for the auxiliary database, and check for connectivity.
3. Using SQL*Plus, connect to the auxiliary database with `SYSOPER` privileges. Start the instance in `NOMOUNT` mode:

```
SQL> STARTUP NOMOUNT PFILE=/bigtmp/init_tspitr_prod.ora
```

4. Start RMAN and connect to the target and auxiliary database instances, as described in "Making Database Connections with RMAN".

```
rman target / auxiliary '"sbu@pitprod AS SYSBACKUP"'
```

5. Enter the following commands in a `RUN` block to set up and execute TSPITR:

```
RUN
{
# Specify NEWNAME for recovery set data files
  SET NEWNAME FOR TABLESPACE clients
                        TO '?/oradata/prod/rec/%b';

# Specify NEWNAMES for some auxiliary set
# data files that have a valid image copy to avoid restores:
  SET NEWNAME FOR DATAFILE '?/oradata/prod/system01.dbf'
                        TO '/backups/prod/system01_monday_noon.dbf';
  SET NEWNAME FOR DATAFILE '?/oradata/prod/system02.dbf'
                        TO '/backups/prod/system02_monday_noon.dbf';
  SET NEWNAME FOR DATAFILE '?/oradata/prod/sysaux01.dbf'
                        TO '/backups/prod/sysaux01_monday_noon.dbf';
  SET NEWNAME FOR DATAFILE '?/oradata/prod/undo01.dbf'
                        TO '/backups/prod/undo01_monday_noon.dbf';

# Specify the types of channels to use
  ALLOCATE AUXILIARY CHANNEL c1 DEVICE TYPE DISK;
  ALLOCATE AUXILIARY CHANNEL t1 DEVICE TYPE sbt;

# Recover the clients tablespace to 24 hours ago:
  RECOVER TABLESPACE clients UNTIL TIME 'sysdate-1';
}
```

Consider storing this command sequence in a command file and executing the command file.

If the TSPITR operation is successful, then the results are:

- The recovery set data files are registered in the target database control file under the names specified with `SET NEWNAME`, with their contents as of the time specified time for TSPITR.

- The auxiliary files are removed by RMAN, including the control files, online logs, and auxiliary set data files of the auxiliary database.
- The auxiliary database is shut down.

If the TSPITR operation fails, the auxiliary set files are removed and the auxiliary database is shut down. The recovery set files are left in the specified location and in an unresolved state from the failed TSPITR run.

20.7 Troubleshooting RMAN TSPITR

A variety of problems can cause RMAN TSPITR to fail. The problem must be identified and fixed.

Some of the possible areas to check and fix are as follows:

- File name conflicts
- Mismatched or incorrect TSPITR target times for sets of tablespaces and undo segments
- Management issues with auxiliary databases not created by RMAN

> **See Also:**
>
> - Troubleshooting File Name Conflicts During TSPITR
> - Troubleshooting the Identification of Tablespaces with Undo Segments During TSPITR
> - Troubleshooting the Restart of a Manual Auxiliary Database After TSPITR Failure

20.7.1 Troubleshooting File Name Conflicts During TSPITR

Name conflicts can occur between files in the target database, file names assigned by the `SET NEWNAME` or `CONFIGURE AUXNAME` commands, and file names generated by the effect of the `DB_FILE_NAME_CONVERT` parameter.

Suppose that `SET NEWNAME`, `CONFIGURE AUXNAME`, and `DB_FILE_NAME_CONVERT` cause multiple files in the auxiliary or recovery sets to have the same name. In this case, RMAN reports an error during TSPITR. To correct the problem, use different values for these parameters.

20.7.2 Troubleshooting the Identification of Tablespaces with Undo Segments During TSPITR

During TSPITR, RMAN needs information about which tablespaces had undo segments at the TSPITR target time. This information is usually available in the recovery catalog, if one is used.

If there is no recovery catalog or if the information is not found in the recovery catalog, RMAN assumes that the set of tablespaces with undo segments at the target time equals the set of tablespaces with undo segments at the present time. If this assumption is not correct, then TSPITR fails with an error. In this case, use the `UNDO TABLESPACE` clause to provide a list of tablespaces with undo segments at the target time.

20.7.3 Troubleshooting the Restart of a Manual Auxiliary Database After TSPITR Failure

If you are managing your own auxiliary database and TSPITR fails, do not attempt to rerun TSPITR without resolving the errors.

You must follow this approach:

1. Identify and fix the problems that prevented TSPITR from a successful run.
2. Start the auxiliary database in `NOMOUNT`.
3. Run TSPITR again.

21
Recovering Tables and Table Partitions

Use the `RECOVER` command to recover tables and table partitions to a specified point-in-time.

21.1 Overview of Recovering Tables and Table Partitions

The RMAN `RECOVER` command enables you to recover tables and table partitions from RMAN backups.

> **Note:**
>
> There are other methods of recovering tables to a specified point in time such as Oracle Flashback and TSPITR. For more information about the scenarios in which these methods are useful and how to recover tables using these methods, see:
>
> - Performing Flashback and Database Point-in-Time Recovery
> - Performing RMAN Tablespace Point-in-Time Recovery (TSPITR)

21.1.1 Purpose of Recovering Tables and Table Partitions from RMAN Backups

RMAN enables you to recover one or more tables or table partitions to a specified point in time without affecting the remaining database objects. You can use previously-created RMAN backups to recover tables and table partitions to a specified point in time.

Recovering tables and table partitions from RMAN backups is useful in the following scenarios:

- You need to recover a very small number of tables to a particular point in time. In this situation, TSPITR is not the most effective solution because it moves all the objects in the tablespace to a specified point in time.
- You need to recover tables that have been logically corrupted or have been dropped and purged.
- Flashback Table is not possible because the desired point-in-time is older than available undo.
- You want to recover data that is lost after a DDL operation modified the structure of tables. Using Flashback Table is not possible because a DDL was run on the tables between the desired point in time and the current time. Flashback Table cannot rewind tables through structural changes such as a truncate table operation.

21.1.2 Basic Concepts of Recovering Tables and Table Partitions from RMAN Backups

RMAN uses the `RECOVER` command to recover tables or table partitions to a specified point in time.

To recover tables and table partitions from an RMAN backup, you need to provide the following information:

- Names of tables or table partitions that must be recovered
- Point in time to which the tables or table partitions must be recovered
- Whether the recovered tables or table partitions must be imported into the target database

RMAN uses this information to automate the process of recovering the specified tables or table partitions. As part of the recovery process, RMAN creates an auxiliary database that is used to recover tables or table partitions to the specified point in time.

If the recovered tables or table partitions need to be renamed, mapped to a new tablespace, or mapped to a new schema, then you must specify the new names for the tables, tablespaces, or schemas.

21.1.2.1 RMAN Backups Required to Recover Tables and Table Partitions

To recover tables or table partitions, you need a full backup of undo, `SYSTEM`, `SYSAUX`, and the tablespace that contains the tables or table partitions.

To recover a table, all partitions that contain the dependent objects of the table must be included in the recovery set. If the indexes or partitions for a table in tablespace `tbs1` are contained in tablespace `tbs2`, then you can recover the table only if tablepsace `tbs2` is also included in the recovery set.

To recover tables in a PDB, you need backups of the following:

- `SYSTEM`, `SYSAUX`, and undo tablespace of the root, CDB seed, and the PDB containing the tables
- Tablespace containing the tables or partitions

> **See Also:**
>
> Prerequisites for Recovering Tables and Table Partitions from RMAN Backups

21.1.2.2 Steps Performed By RMAN to Recover Tables and Table Partitions

RMAN performs a series of steps while automating the process of recovering tables or table partitions from an RMAN backup.

The steps include the following:

1. Determines which backup contains the tables or table partitions that need to be recovered, based on the point in time specified for the recovery.
2. Determines if there is sufficient space on the target host to create the auxiliary instance that will be used during the table or partition recovery process.

   If the required space is not available, then RMAN displays an error and exits the recovery operation.
3. Creates an auxiliary database on the target host and recovers the specified tables or table partitions, until the specified point in time, into this auxiliary database.

   You can specify the location on the target host to which the recovered data files are stored in the auxiliary database.
4. Creates a Data Pump export dump file that contains the recovered tables or table partitions.

   You can specify the name and the location of the Data Pump export dump file used to store the metadata of the recovered tables or table partitions.
5. (Optional) Imports the Data Pump export dump file into the target instance.

   You can choose not to import the export dump file that contains the recovered tables or table partitions into the target database. If you do not import the export dump file as part of the recovery process, you must manually import it later using the Data Pump Import utility.
6. (Optional) Renames the recovered tables or table partitions in the target database.

   You can also import recovered objects into a tablespace or schema that is different from the one in which they originally existed.

21.1.3 Guidelines for Recovering Tables and Table Partitions

You can follow guidelines for recovering tables and tables partitions from RMAN backups.

21.1.3.1 Specify the Location of Auxiliary Database Files Created During Table Recovery

RMAN creates an auxiliary database that it uses during the process of recovering the specified tables or table partitions. Multiple techniques are available to specify the location of auxiliary database files.

On the target host that is used to store data files for the auxiliary database, use one of the following methods:

- `SET NEWNAME` command

  Use a `RUN` block that contains the `RECOVER` command and the required `SET NEWNAME` commands that rename data files.
- `AUXILIARY DESTINATION` clause in the `RECOVER` command

  This is the recommended method. When you use the `SET NEWNAME` command, if you omit the name of even one data file that is required during recovery process, the tables or table partitions cannot be recovered.

> **See Also:**
>
> - `RECOVER` command syntax in *Oracle Database Backup and Recovery Reference*
> - `SET` command syntax in *Oracle Database Backup and Recovery Reference*

21.1.3.2 Specify the Name and Location of the Data Pump Export Dump File

After recovering tables or table partitions to the specified point in time, RMAN creates a Data Pump export dump file that contains the recovered objects. You can either specify a name and location for this dump file or allow RMAN to use a default name and location.

- Use the `DATAPUMP DESTINATION` clause of the `RECOVER` command to specify the operating system directory in which the Data Pump export dump file is created.

  If you omit this clause, the dump file is stored in the location specified by the `AUXILIARY DESTINATION` parameter. If you do not specify an auxiliary destination, the dump file is stored in a default operating system-specific location. On Linux, this default location is `$ORACLE_HOME/dbs`. On Windows, the default location is `%ORACLE_HOME\database`.

- Use the `DUMP FILE` clause of the `RECOVER` command to specify the name of the Data Pump export dump file.

  If you omit this clause, RMAN uses a default operating system-specific name for the dump file. On Linux and Windows, the default dump file name is `tspitr_`*`SID-of-clone`*`_n.dmp`, where *`SID-of-clone`* is the Oracle SID of the auxiliary database created by RMAN to perform the recovery and *`n`* is any randomly-generated number. If a file with the name specified by `DUMP FILE` exists in the location in which the dump file must be created, then the recover operation fails.

21.1.3.3 Decide Whether to Import Recovered Tables and Table Partitions into the Target Database

You can choose not to import the recovered tables or table partitions.

By default, RMAN recovers the tables or table partitions to the specified point in time, creates an export dump file that contains these recovered objects, and imports them into the target database. To skip importing recovered objects into the target database, include the `NOTABLEIMPORT` clause in the `RESTORE` command. When you need the recovered objects, you must manually import the export dump file into your target database by using the Data Pump Import utility.

If an error occurs during the import operation, RMAN does not delete the export dump file at the end of the table recovery. This enables you to manually import the dump file.

21.1.3.4 Rename the Recovered Tables and Table Partitions

Use the `REMAP TABLE` clause to rename recovered tables or table partitions in the target database.

If the target database contains a table with the same name as that of the recovered table, RMAN displays an error message indicating that you must rename the recovered table by using the `REMAP TABLE` clause.

When you recover table partitions, each table partition is recovered into a separate table. Use the `REMAP TABLE` clause to specify the table names into which each recovered partition must be imported. If you do not explicitly specify table names, RMAN generates table names by concatenating the recovered table name and partition name. The generated names are in the format *`tablename_partitionname`*. If a table with this name exists in the target database, then RMAN appends _1 to the name. If this name too exists, then RMAN appends _2 to the name and so on.

To import the recovered tables or table partitions into a tablespace that is different from the one in which these objects originally existed, use the `REMAP TABLESPACE` clause of the `RECOVER` command. Only the tables or table partitions that are being recovered are remapped, the existing objects are not changed.

> **Note:**
>
> When you use the `REMAP TABLE` clause, named constraints and indexes cannot be imported.

21.1.3.5 Recover Tables and Partitions Into a New Schema

Recovering tables or table partitions into a different schema enables you to avoid name conflicts that may be caused by constraint, index, or trigger names that already existing in the source schema.

Starting with Oracle Database 12*c* Release 2 (12.2), you can recover tables or table partitions into a schema that is different from the source schema (the schema in which they originally existed). While recovering objects into a different schema, you can either retain their original names or rename them. You can rename tables and remap the schema in a single recovery operation. For example, you can recover the `HR.EMPLOYEES` table either into the `NEW_HR.EMPLOYEES` table, the `HR.NEW_EMPLOYEES` table, or the `NEW_HR.NEW_EMPLOYEES` table. The `REMAP TABLE` clause enables you to rename objects and recover them into a different schema.

During table recovery, use the `REMAP TABLE` clause of the `RECOVER TABLE` command to map the source schema to a new schema. The new schema must exist in the target database before you perform the recovery.

> **Note:**
>
> Table recovery is not supported on physical standby databases. For logical standby databases, objects that are recovered on the primary database are propagated to the logical standby.

> **See Also:**
>
> Example: Recovering a Table into a New Schema

21.1.4 Limitations of Recovering Tables and Table Partitions from RMAN Backups

Recovering tables and table partitions from RMAN backups by using the `RECOVER` command is subject to certain limitations.

The limitations include the following:

- Tables and table partitions belonging to `SYS` schema cannot be recovered.
- Tables and table partitions from `SYSTEM` and `SYSAUX` tablespaces cannot be recovered.
- Tables and table partitions on standby databases cannot be recovered.
- Tables with named `NOT NULL` constraints cannot be recovered with the `REMAP` option.

21.2 Preparing to Recover Tables and Table Partitions

You must perform some preliminary tasks before you prepare to recover tables or table partitions .

The preparation for recovering tables or table partitions from RMAN backups includes the following steps:

- Review the limitations described in "Limitations of Recovering Tables and Table Partitions from RMAN Backups".
- Verifying that the prerequisites required to recover tables or table partitions are met. See Prerequisites for Recovering Tables and Table Partitions from RMAN Backups.
- Determining the point in time to which the tables or table partitions must be recovered
- Deciding if the recovered tables or table partitions must be imported into the target database

  By default, RMAN imports the recovered tables or table partitions into the target database. However, you can specify that RMAN must not import the recovered objects.
- Deciding if the recovered tables or table partitions must be renamed, mapped to a new tablespace, or mapped to a new schema.

21.2.1 Prerequisites for Recovering Tables and Table Partitions from RMAN Backups

Certain prerequisites must be met before you recover tables or table partitions from RMAN backups.

They include the following:

- The target database must be in read-write mode.
- The target database must be in `ARCHIVELOG` mode.
- You must have RMAN backups of the tables or table partitions as they existed at the point in time to which you want recover these objects.
- To recover single table partitions, the `COMPATIBLE` initialization parameter for target database must be set to 11.1.0 or higher.

> **See Also:**
>
> RMAN Backups Required to Recover Tables and Table Partitions

21.2.2 Determining the Point-in-time to Which Tables and Table Partitions Must be Recovered

It is important to determine the exact point in time to which you want to recover the tables or table partitions. There are multiple ways to specify the point in time to which objects must be recovered.

Use one of the following methods:

- SCN

  Recovers tables or table partitions to the state that they were at the time specified by the SCN.

- Time

  Recovers tables or table partitions to the state they were in at the specified time. Use the date format specified in the `NLS_LANG` and `NLS_DATE_FORMAT` environment variables. You can also use data constants such as `SYSDATE` to specify the time, for example `SYSDATE-30`.

  Note: `SYSDATE` uses the time zone of either the database host system, or the database depending on the setting of the `TIME_AT_DBTIMEZONE` initialization parameter. See, *Oracle Database Reference* for more information.

- Sequence number

  Recovers tables or table partitions to the state they were at the time specified by the log sequence number and thread number.

21.3 Recovering Tables and Table Partitions

Use the `RESTORE` and `RECOVER` commands to recover tables or table partitions.

To recover tables or table partitions in a non-CDB to a specified point in time:

1. Perform the planning tasks described in "Preparing to Recover Tables and Table Partitions".
2. Start RMAN and connect as `TARGET` to the target database. You must connect as a user with the `SYSBACKUP` or `SYSDBA` privilege, as described in "Making Database Connections with RMAN".
3. Recover the selected tables or table partitions to the specified point in time by using the `RECOVER TABLE` command. You must use the `AUXILIARY DESTINATION` clause and one of the following clauses to specify the point in time for recovery: `UNTIL TIME`, `UNTIL SCN`, or `UNTIL SEQUENCE`.

   You can use the following additional clauses in the `RECOVER` command:

   - `DUMP FILE` and `DATAPUMP DESTINATION`

     Specifies the name of the export dump file containing recovered tables or table partitions and the location in which it must be stored.

     See "Specify the Name and Location of the Data Pump Export Dump File" for information.

   - `NOTABLEIMPORT`

     Indicates that the recovered tables or table partitions must not be imported into the target database.

     See "Decide Whether to Import Recovered Tables and Table Partitions into the Target Database"

   - `REMAP TABLE`

     Renames the recovered tables or table partitions in the target database. This clause is also used to recover tables or table partitions into a schema that is different from the source schema.

     See "Rename the Recovered Tables and Table Partitions and Recover Tables and Partitions Into a New Schema"

   - `REMAP TABLESPACE`

     Recovers the tables or table partitions into a tablespace that is different from the one in which these objects originally existed.

     See "Rename the Recovered Tables and Table Partitions"

> **Note:**
>
> Recovering tables is only supported when connected locally to the target database.

> **See Also:**
>
> Examples: Recovering Tables and Table Partitions From RMAN Backups

21.4 Recovering Tables and Table Partitions in PDBs

Use the `RECOVER` command to recover one or more tables or table partitions in a pluggable database (PDB) to a specified point-in-time, without impacting other objects in the PDB.

To recover tables or table partitions in a PDB:

1. Perform the planning tasks described in "Preparing to Recover Tables and Table Partitions".
2. Start RMAN and connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege, as described in "Making Database Connections with RMAN".
3. Recover the tables or table partitions to the specified point in time by using the `RECOVER TABLE ... OF PLUGGABLE DATABASE` command.

   You must use the `AUXILIARY DESTINATION` clause and one of the following clauses: `UNITL TIME`, `UNTIL SCN`, or `UNTIL SEQUENCE`.

   Depending on your requirements, you may also need to use the one or more of the following clauses: `DUMP FILE`, `DATAPUMP DESTINATION`, `NOTABLEIMPORT`, `REMAP TABLE`, or `REMAP TABLESPACE`.

   The following command recovers the table `PDB_EMP` in the PDB `HR_PDB` to the state that it was in 4 days before the current date. `HR` is the name of the schema that contains the table. The recovered table is renamed to `EMP_RECVR`.

```
RECOVER TABLE HR.PDB_EMP OF PLUGGABLE DATABASE HR_PDB
UNTIL TIME 'SYSDATE-4'
AUXILIARY DESTINATION '/tmp/backups'
REMAP TABLE 'HR'.'PDB_EMP':'EMP_RECVR';
```

> **Note:**
>
> Recovering tables is only supported when connected locally to the target database.

> **See Also:**
>
> - Guidelines for Recovering Tables and Table Partitions
> - *Oracle Database Backup and Recovery Reference* for information about the `RECOVER` command

21.5 Examples: Recovering Tables and Table Partitions From RMAN Backups

This section contains examples that cover multiple scenarios for recovering tables and table partitions.

- Example: Recovering Tables to a Specified Point in Time
- Example: Recovering Table Partitions to a Specified Log Sequence Number
- Example: Recovering a Table into a New Schema

21.5.1 Example: Recovering Tables to a Specified Point in Time

This example recovers multiple tables to a specified point in time that is represented using `SYSDATE`.

Assume that you want to recover two tables `EMP` and `DEPT` to the state they were in two days ago, before some logical corruption occurred. However, you do not want RMAN to import these tables into the target database. RMAN must only create the export dump file, called `emp_dept_exp_dump.dat`, in the location `/tmp/recover/dumpfiles`. Using `NOTABLEIMPORT` indicates that these tables must not be imported into the target database. You can import these tables, when required, by using the Data Pump import utility. The auxiliary destination used during the recovery process is `/tmp/oracle/recover`.

To recover tables `EMP` and `DEPT` without importing them into the target database:

1. Perform the planning tasks described in "Preparing to Recover Tables and Table Partitions".

   In this example, you need to recover tables to a point in time specified by an expression that uses `SYSDATE`. However, the recovered tables must not be imported in to the target database.

2. Start an RMAN session and connect as `TARGET` to the target database as described in "Making Database Connections with RMAN".

3. Recover the tables `EMP` and `DEPT` using the following clauses in the `RECOVER` command: `DATAPUMP DESTINATION`, `DUMP FILE`, `REMAP TABLE`, and `NOTABLEIMPORT`.

   The following `RECOVER` command recovers the `EMP` and `DEPT` tables.

```
RECOVER TABLE SCOTT.EMP, SCOTT.DEPT
    UNTIL TIME 'SYSDATE-1'
    AUXILIARY DESTINATION '/tmp/oracle/recover'
    DATAPUMP DESTINATION '/tmp/recover/dumpfiles'
    DUMP FILE 'emp_dept_exp_dump.dat'
    NOTABLEIMPORT;
```

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for additional examples about recovering tables to a specified point in time

21.5.2 Example: Recovering Table Partitions to a Specified Log Sequence Number

This example uses RMAN backups to recover multiple table partitions.

In this example, the table `sales`, in the schema `sh`, contains the following partitions: `sales_1998`, `sales_1999`, `sales_2000`, and `sales_2001`. This table is stored in the `sales_ts` tablespace. You need to recover two partitions, `sales_1998` and `sales_1999`, to a point in time that is specified by a redo log sequence number. The recovered tables must be automatically imported into the target database and mapped to the tablespace `SALES_PRE_2000_TS`.

To recover the partitions `sales_1998` and `sales_1999` to a specified log sequence number:

1. Perform the planning tasks described in "Preparing to Recover Tables and Table Partitions".

   In this example, you need to recover two table partitions to a specified log sequence number and then import these partitions into the target database.

2. Start an RMAN session and connect as `TARGET` to the target database as described in "Making Database Connections with RMAN".

3. Recover partitions using the following `RECOVER` command with the `REMAP TABLE` and `REMAP TABLESPACE` clauses.

   ```
   RECOVER TABLE SH.SALES:SALES_1998, SH.SALES:SALES_1999
       UNTIL SEQUENCE 354
       AUXILIARY DESTINATION '/tmp/oracle/recover'
       REMAP TABLE 'SH'.'SALES':'SALES_1998':'HISTORIC_SALES_1998',
                 'SH'.'SALES':'SALES_1999':'HISTORIC_SALES_1999'
       REMAP TABLESPACE 'SALES_TS':'SALES_PRE_2000_TS';
   ```

   In this case, the specified table partitions are imported as separate tables, called `historic_sales_1998` and `historic_sales_1999`, into the `sales_pre_2000_ts` tablespace of the target database. The `REMAP TABLE` clause specifies the names used for the imported tables. The auxiliary destination used during the recovery process is `/tmp/oracle/recover`.

   If you omit the `REMAP TABLE` clause, RMAN uses default names for the imported tables. The name is a combination of the original table name and the partition name.

21.5.3 Example: Recovering a Table into a New Schema

This example recovers multiple tables into a new schema that is different from the source schema.

In this example, the `HR.DEPARTMENTS` and `SH.CHANNELS` tables need to be recovered to the state that they were in one day ago, before a logical corruption occurred. The recovered tables must be renamed as `NEW_DEPARTMENTS` and `NEW_CHANNELS` and imported into the `EXAMPLE` schema. The schema `EXAMPLE` exists at the time this example is run.

The `REMAP TABLE` clause is used to indicate how the source schema is mapped to a new target schema. The auxiliary destination used during the recovery process is `/tmp/auxdest`.

1. Perform the planning tasks required to recover tables from RMAN backups. In this example, you need to recover tables to a point in time specified by an expression that uses `SYSDATE`.

   See Preparing to Recover Tables and Table Partitions.

2. Start an RMAN session and connect to the target database as described in "Making Database Connections with RMAN".

3. Recover the `HR.DEPARTMENTS` and `SH.CHANNELS` tables, rename them to `NEW_DEPARTMENTS` and `NEW_CHANNELS` respectively, and then import them into the `EXAMPLE` schema.

   The following `RECOVER` command performs the required table recovery:

   ```
   RECOVER TABLE HR.DEPARTMENTS, SH.CHANNELS
   UNTIL TIME 'SYSDATE - 1'
   AUXILIARY DESTINATION '/tmp/auxdest'
   REMAP TABLE hr.departments:example.new_departments,
   sh.channels:example.new_channels;
   ```

Part VI
Tuning and Troubleshooting

The following chapters describe how to tune and troubleshoot RMAN operations. This part of the book contains these chapters:

- Tuning RMAN Performance
- Troubleshooting RMAN Operations

22
Tuning RMAN Performance

Improve RMAN performance by identifying bottlenecks and then tuning backup performance.

22.1 Purpose of RMAN Performance Tuning

The purpose of RMAN tuning is to identify the bottlenecks for a given job and use RMAN commands, initialization parameters, or adjustments to physical media to improve performance.

An RMAN backup or restore job can be divided into separate phases or components. The slowest of these phases in any RMAN job is called the **bottleneck**.

22.2 Basic Concepts of RMAN Performance Tuning

Tuning RMAN performance requires a detailed understanding of how RMAN creates a backup. The work of a backup is performed by one or more channels. A channel represents a stream of bytes to a storage device.

For the purposes of illustration, you can think of the byte stream as passing from the input buffers in memory through the CPU to the output buffers, and from there to the storage device. To direct a backup to two tape devices, you allocate two tape channels so that each byte stream goes to a different device.

The work of each channel, whether of type disk or System Backup Tape (SBT), is subdivided into distinct phases. The following table describes these phases.

Table 22-1 Phases in Channel Work

Sequence	Phase	Description	Additional Information
1	Read phase	A channel reads blocks from disk into input I/O buffers.	"Read Phase"
2	Copy phase	A channel copies blocks from input buffers to output buffers and performs additional processing on the blocks.	"Copy Phase"
3	Write phase	A channel writes the blocks from output buffers to storage media. The write phase can take either of the following mutually exclusive forms, depending on the type of backup media: write phase for System Backup Tape (SBT) or write phase for disk.	• "Write Phase for System Backup Tape (SBT)" • "Write Phase for Disk"

Figure 22-1 depicts two channels backing up data stored on three disks. Each channel reads the data into the input buffers, processes the data while copying it from the input to the output buffers, and then writes the data from the output buffers to disk.

Figure 22-1 Phases of a Multichannel Backup to Disk

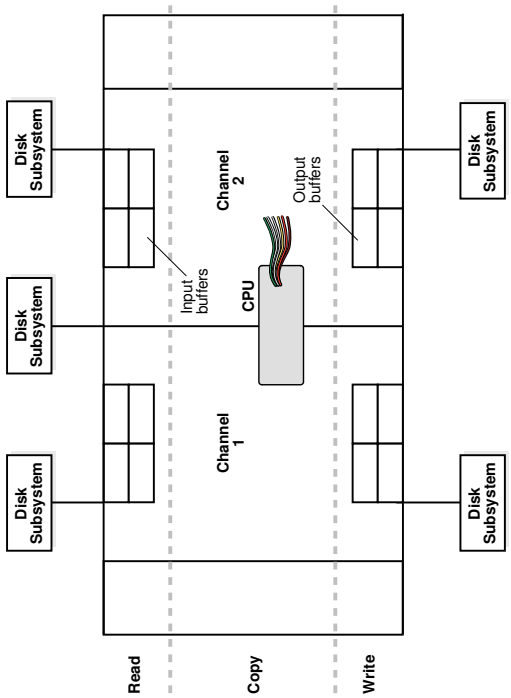
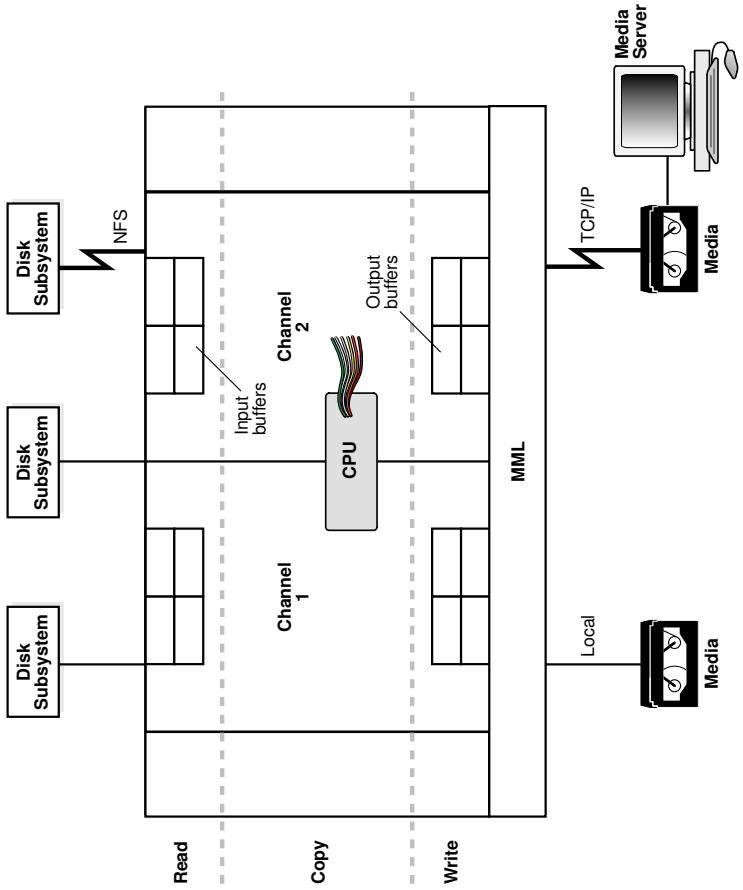


Figure 22-2 also depicts two channels backing up data stored on three disks, but one disk is mounted remotely over the network. Each channel reads the data into the input buffers, processes the data while copying it from the input buffers to the output buffers, and then writes the data from the output buffers to tape. Channel 1 writes the data to a locally attached tape drive, whereas channel 2 sends the data over the network to a remote media server.

Figure 22-2 Phases of a Multichannel Backup to Tape



When restoring data, a channel performs these steps in reverse order and reverses the reading and writing operations. The following sections explain RMAN tuning concepts in terms of a backup.

The number of channels available for use with a device determines whether RMAN can read from and write to this device in parallel. It is recommended that the number of channels be equal to the number of storage devices used. Therefore, when RMAN uses disk, the number of channels must be equal to the number of physical disks accessed. When RMAN uses tape, the number of channels must be equal to the number of tape drives accessed by RMAN.

22.2.1 Read Phase

Multiple factors can affect the performance when an RMAN channel is reading data from disk.

This following topics in this section explains these factors:

- Allocation of Input Disk Buffers
- Synchronous and Asynchronous Disk I/O
- Disk I/O Processes
- RATE Channel Parameter

22.2.1.1 Allocation of Input Disk Buffers

During a backup, an RMAN channel reads the blocks from the input files into I/O disk buffers. The database files on the disk subsystem can be managed by either Automatic Storage Management (ASM) or an alternative volume manager or file system. The considerations for backup tuning change depending on whether you manage database files with ASM.

The allocation of the input buffers depends on how the files are multiplexed. Backup multiplexing is RMAN's ability to read several files in a backup simultaneously from different sources and then write them to a single backup piece. The level of multiplexing, which is the number of input files simultaneously read and then written into the same backup piece, is determined by the algorithm described in "About Multiplexed RMAN Backup Sets". Review this section before proceeding.

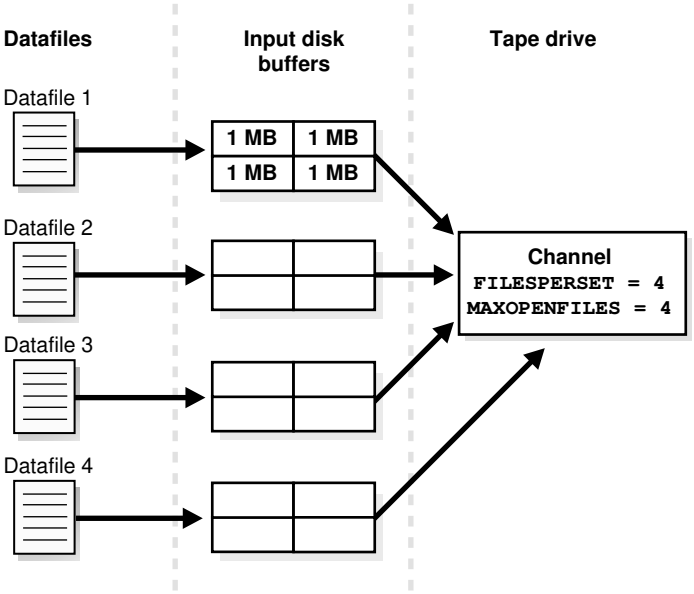
When an RMAN channel backs up files from disk, it uses the rules described in Table 22-2 to determine how large to make the input disk buffers.

Table 22-2 Data File Read Buffer Sizing Algorithm

Level of Multiplexing	Input Disk Buffer Size
Less than or equal to 4	The RMAN channel allocates 16 buffers of size 1 megabyte (MB) so that the total buffer size for all the input files is 16 MB.
Greater than 4 but less than or equal to 8	The RMAN channel allocates a variable number of disk buffers of size 512 kilobytes (KB) so that the total buffer size for all the input files is less than 16 MB.
Greater than 8	The RMAN channel allocates 4 disk buffers of 128 KB for each file, so that the total buffer size for each input file is 512 KB.

In the example shown in Figure 22-3, one channel is backing up four data files. `MAXOPENFILES` is set to 4 and `FILESPERSET` is set to 4. Thus, the level of multiplexing is 4. So, the total size of the buffers for each data file is 4 MB. The combined size of all the buffers is 16 MB.

Figure 22-3 Disk Buffer Allocation




```
SGA if BACKUP_DISK_IO_SLAVES ≠ 0
                  or
PGA if BACKUP_DISK_IO_SLAVES = 0
```

If a channel is backing up files stored in ASM, then the number of input disk buffers equals the number of physical disks in the ASM disk group only if the level of multiplexing is 1. For example, if a data file is stored in an ASM disk group that contains 16 physical disks, then the channel allocates 16 input buffers for the data file backup.

If a channel is restoring a backup from disk, then 4 buffers are allocated. The size of the buffers is dependent on the operating system.

22.2.1.2 Synchronous and Asynchronous Disk I/O

When a channel reads from or writes to disk, the I/O is either synchronous I/O or asynchronous I/O.

When the disk I/O is synchronous, a server process can perform only one task at a time. When the disk I/O is asynchronous, a server process can begin an I/O operation and then perform other work while waiting for the I/O to complete. RMAN can also begin multiple I/O operations before waiting for the first to complete.

When reading from an ASM disk group, use asynchronous disk I/O if possible. Also, if a channel reads from a raw device managed with a volume manager, then asynchronous disk I/O also works well. Some operating systems support native asynchronous disk I/O. The database takes advantage of this feature if it is available.

22.2.1.3 Disk I/O Processes

On operating systems that do not support native asynchronous I/O, the database can simulate it with special I/O processes. These processes are dedicated to performing I/O on behalf of another process.

You can control disk I/O child processes by setting the `DBWR_IO_SLAVES` initialization parameter, which is not dynamic. The parameter specifies the number of I/O server processes used by the database writer process (DBWR). By default, the value is 0 and I/O server processes are not used. If asynchronous I/O is disabled, then RMAN allocates four backup disk I/O child processes for any nonzero value of `DBWR_IO_SLAVES`.

When attempting to get shared buffers for I/O child, the database does the following:

- If the `LARGE_POOL_SIZE` initialization parameter is set, and if the `DBWR_IO_SLAVES` parameter is set to a nonzero value, then the database attempts to get memory from the large pool. If this value is not large enough, then an error is recorded in the alert log, the database does not try to get buffers from the shared pool, and asynchronous I/O is not used.
- If the `LARGE_POOL_SIZE` initialization parameter is not set or is set to zero, then the database attempts to get memory from the shared pool.
- If the database cannot get enough memory, then it obtains I/O buffer memory from the Program Global Area (PGA) and writes a message to the `alert.log` file indicating that synchronous I/O is used for this backup.

The memory from the large pool is used for many features, including the shared server, parallel query, and RMAN I/O child buffers. Configuring the large pool prevents RMAN from competing with other subsystems for the same memory.

Requests for contiguous memory allocations from the shared pool are usually small (under 5 KB). However, a request for a large contiguous memory allocation can either fail or require significant memory housekeeping to release the required amount of contiguous memory. Although the shared pool may be unable to satisfy this memory request, the large pool can do so. The large pool does not have a least recently used (LRU) list; the database does not attempt to age memory out of the large pool.

22.2.1.4 RATE Channel Parameter

You can use the `RATE` parameter to set an upper limit for bytes read so that RMAN does not consume excessive disk bandwidth and degrade online performance. Essentially, `RATE` serves as a backup throttle.

In the `ALLOCATE` and `CONFIGURE CHANNEL` commands, the `RATE` parameter specifies the bytes per second that are read on a channel. For example, if you set `RATE 1500K`, and if each disk drive delivers 3 megabytes per second, then the channel leaves some disk bandwidth available to the online system.

22.2.2 Copy Phase

In the copy phase, a channel copies blocks from the input buffers to the output buffers and performs additional processing.

For example, if a channel reads data from disk and backs up to tape, then the channel copies the data from the disk buffers to the output tape buffers.

The copy phase involves the following types of processing:

- Validation
- Compression
- Encryption

When performing validation of the blocks, RMAN checks them for corruption. Typically, this processing is not CPU-intensive.

When performing binary compression, RMAN applies a compression algorithm to the data in backup sets. Binary compression can be CPU-intensive. You can choose which compression algorithm RMAN uses for backups. The basic compression level for RMAN has a good compression ratio for most scenarios. If you enabled the Oracle Advanced Compression option, there are several different levels to choose from that provide tradeoffs between compression ratios and required CPU resources.

When performing backup encryption, RMAN encrypts backup sets by using an algorithm listed in `V$RMAN_ENCRYPTION_ALGORITHMS`. RMAN offers three modes of encryption: transparent, password-protected, and dual-mode. Backup encryption can be CPU-intensive.

See Also:

- Validating Database Files and Backups
- Making Compressed Backups
- Encrypting RMAN Backups

22.2.3 Write Phase for System Backup Tape (SBT)

When backing up to SBT, RMAN gives the media management software a stream of bytes and associates a unique name with this stream. All details of how and where that stream is stored are handled entirely by the media manager. Thus, a backup to tape involves the interaction of both RMAN and the media manager.

Factors that affect the write phase for SBT are described in the following topics:

- RMAN Component of the Write Phase for SBT
- Media Manager Component of the Write Phase for SBT

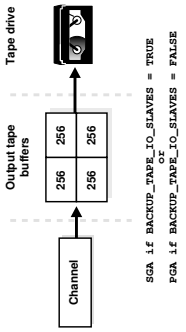
22.2.3.1 RMAN Component of the Write Phase for SBT

The RMAN-specific factors affecting the SBT write phase are analogous to the factors affecting disk reads. In both cases, the buffer allocation, child processes, and synchronous or asynchronous I/O affect performance.

22.2.3.1.1 Allocation of Tape Buffers

If you back up to or restore from an SBT device, then by default the database allocates four buffers for each channel for the tape writers (or reads if restoring data as shown in Figure 22-4). The size of the tape I/O buffers is platform-dependent. You can change this value with the `PARMS` and `BLKSIZE` parameters of the `ALLOCATE CHANNEL` or `CONFIGURE CHANNEL` command.

Figure 22-4 Allocation of Tape Buffers



22.2.3.1.2 Tape I/O Processes

RMAN allocates the tape buffers in the System Global Area (SGA) or the Program Global Area (PGA), depending on whether I/O child processes are used. If you set the initialization parameter `BACKUP_TAPE_IO_SLAVES=true`, then RMAN allocates tape buffers from the SGA. Tape devices can only be accessed by one process at a time, so RMAN starts as many child processes as necessary for the number of tape devices. If the `LARGE_POOL_SIZE` initialization parameter is also set, then RMAN allocates buffers from the large pool. If you set `BACKUP_TAPE_IO_SLAVES=false`, then RMAN allocates the buffers from the PGA.

If you use I/O child processes, then set the `LARGE_POOL_SIZE` initialization parameter to dedicate SGA memory to holding these large memory allocations. This parameter prevents RMAN I/O buffers from competing with the library cache for SGA memory. If I/O child processes for tape I/O were requested but there is not enough space in the SGA for them, the child processes are not used, and a message appears in the alert log.

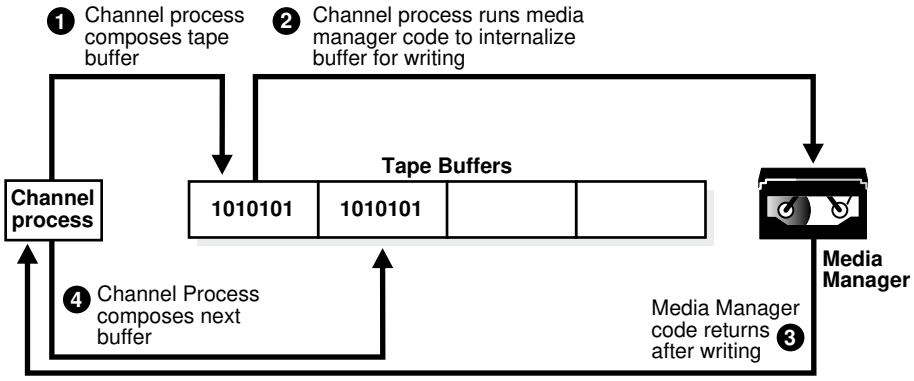
The parameter `BACKUP_TAPE_IO_SLAVES` specifies whether RMAN uses child processes rather than the number of child processes. Tape devices can only be accessed by one process at a time, and RMAN uses the number of child processes necessary for the number of tape devices.

22.2.3.1.3 Synchronous and Asynchronous I/O

When an SBT channel reads or writes data to tape, the I/O is always synchronous. For tape I/O, each channel allocated (whether manually or automatically) corresponds to a server process, called here a channel process.

Figure 22-5 shows synchronous I/O in a backup to tape.

Figure 22-5 Synchronous Tape I/O

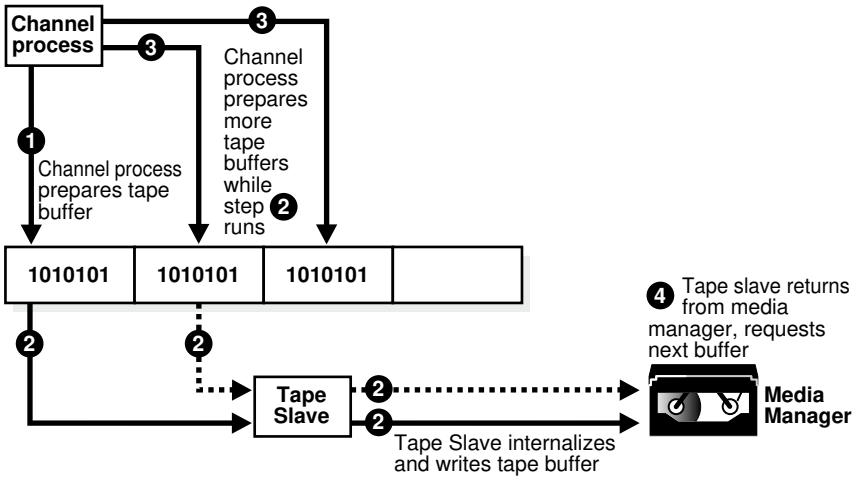


The following steps occur:

1. The channel process composes a tape buffer.
2. The channel process executes media manager code that processes the tape buffer and internalizes it for further processing and storage by the media manager.
3. The media manager code returns a message to the server process stating that it has completed writing.
4. The channel process can initiate a new task.

Figure 22-6 shows asynchronous I/O in a tape backup. Asynchronous I/O to tape is simulated by using tape child processes. In this case, each allocated channel corresponds to a server process, which in the explanation that follows is identified as a channel process. For each channel process, one tape child process is started (or more than one, if multiple copies exist).

Figure 22-6 Asynchronous Tape I/O



The following steps occur:

1. A channel process writes blocks to a tape buffer.

2. The channel process sends a message to the tape child process to process the tape buffer. The tape child process executes media manager code that processes the tape buffer and internalizes it so that the media manager can process it.
3. While the tape child process is writing, the channel process is free to read data from the data files and prepare more output buffers.
4. After the tape child channel returns from the media manager code, it requests a new tape buffer, which usually is ready. Thus waiting time for the channel process is reduced, and the backup is completed faster.

22.2.3.2 Media Manager Component of the Write Phase for SBT

Multiple factors affect the speed of the backup to tape.

They include the following:

- Network Throughput
- Native Transfer Rate
- Tape Compression
- Tape Streaming
- Physical Tape Block Size

22.2.3.2.1 Network Throughput

If the tape device is remote, then the media manager must transfer data over the network.

For example, an administrative domain in Oracle Secure Backup can contain multiple networked client hosts, media servers, and tape devices. If the database is on one host, but the output tape drive is attached to a different host, then Oracle Secure Backup manages the data transfer over the network. The network throughput is the upper limit for backup performance.

22.2.3.2.2 Native Transfer Rate

The tape native transfer rate is the speed of writing to a tape without compression. This speed represents the upper limit of the backup rate.

The upper limit of your backup performance should be the aggregate transfer rate of all of your tape drives. If your backup is performing at that rate, and if it is not using an excessive amount of CPU, then RMAN performance tuning does not help.

22.2.3.2.3 Tape Compression

The level of tape compression is very important for backup performance. If the tape has good compression, then the sustained backup rate is faster.

For example, if the compression ratio is 2:1 and native transfer rate of the tape drive is 6 megabytes per second, then the resulting backup speed is 12 megabytes per second. In this case, RMAN must be able to read disks with a throughput of more than 12 megabytes per second or the disk becomes the bottleneck for the backup.

> **Note:**
>
> Do not use both tape compression provided by the media manager and binary compression provided by RMAN. If the media manager compression is efficient, then it is usually the better choice. Using RMAN-compressed backup sets can be an effective alternative to reduce bandwidth used to move uncompressed backup sets over a network to the media manager, if the CPU overhead required to compress the data in RMAN is acceptable.

22.2.3.2.4 Tape Streaming

Tape streaming during write operations has a major effect on tape backup performance.

Many tape drives are fixed-speed, streaming tape drives. Because such drives can write data at only one speed, when they run out of data to write to tape, the tape must slow and stop. Typically, when the drive's buffer empties, the tape is moving so quickly that it actually overshoots; to continue writing, the drive must rewind the tape to locate the point where it stopped writing. Multiple speed tape drives are available that alleviate this problem.

22.2.3.2.5 Physical Tape Block Size

The physical tape block size can affect backup performance.

The block size is the amount of data written by media management software to a tape in one write operation. In general, the larger the tape block size, the faster the backup. The physical tape block size is not controlled by RMAN or Oracle database, but by media management software. See your media management software's documentation for details.

22.2.4 Write Phase for Disk

The principal factor affecting the write phase for disk is the buffer size.

When the output of the backup resides on disk, each channel allocates four output buffers of 1 MB each. The disk channel writes the blocks to the disk subsystem. When restoring files, the read phase is similar to the write phase when backing up files, except the blocks move in the opposite direction.

If RMAN reads from a disk asynchronously, then it writes to the disk asynchronously. When writing to disk, you can make use of disk I/O child processes just as when reading from disk.

If RMAN is backing up files to a disk-based output destination striped over multiple disks, then you can allocate multiple channels. The number of channels is limited only to the number of disks over which the destination is striped. ASM is one example of a destination striped over multiple disks.

22.3 Using V$ Views to Diagnose RMAN Performance Problems

Typically, you begin the tuning process by using `V$` views to determine where RMAN backup and restore operations are encountering problems.

This section contains the following topics:

- Monitoring RMAN Job Progress with V$SESSION_LONGOPS

- Identifying Bottlenecks with V$BACKUP_SYNC_IO and V$BACKUP_ASYNC_IO

22.3.1 Monitoring RMAN Job Progress with V$SESSION_LONGOPS

You can monitor the progress of backups and restore jobs by querying the view `V$SESSION_LONGOPS`. RMAN uses two types of rows in `V$SESSION_LONGOPS`: detail rows and aggregate rows.

Detail rows describe the files being processed by one job step, whereas aggregate rows describe the files processed by all job steps in an RMAN command. A job step is the creation or restoration of one backup set or data file copy. Detail rows are updated with every buffer that is read or written during the backup step, so their granularity of update is small. Aggregate rows are updated when each job step completes, so their granularity of update is large.

Table 22-3 describes the columns in `V$SESSION_LONGOPS` that are most relevant for RMAN. Typically, you view the detail rows rather than the aggregate rows to determine the progress of each backup set.

Table 22-3 Columns of V$SESSION_LONGOPS Relevant for RMAN

Column	Description for Detail Rows
`SID`	The server session ID corresponding to an RMAN channel
`SERIAL#`	The server session serial number. This value changes each time a server session is reused.
`OPNAME`	A text description of the row. Examples of details rows include `RMAN: datafile copy`, `RMAN: full datafile backup`, and `RMAN: full datafile restore`. **Note:** `RMAN: aggregate input` and `RMAN: aggregate output` are the only aggregate rows.
`CONTEXT`	For backup output rows, this value is `2`. For all other rows except proxy copy (which does not update this column), the value is `1`.
`SOFAR`	The meaning of this column depends on the type of operation described by this row: • For image copies, the number of blocks that have been read • For backup input rows, the number of blocks that have been read from the files being backed up • For backup output rows, the number of blocks that have been written to the backup piece • For restores, the number of blocks that have been processed to the files that are being restored in this one job step • For proxy copies, the number of files that have been copied
`TOTALWORK`	The meaning of this column depends on the type of operation described by this row: • For image copies, the total number of blocks in the file. • For backup input rows, the total number of blocks to be read from all files processed in this job step. • For backup output rows, the value is `0` because RMAN does not know how many blocks that it will write into any backup piece. • For restores, the total number of blocks in all files restored in this job step. • For proxy copies, the total number of files to be copied in this job step.

Each server session performing a backup or restore job reports its progress compared to the total work required for a job step. For example, if you restore the database with two channels, and each channel has two backup sets to restore (a total of four sets), then each server session reports its progress through a single backup set. When a set is completely restored, RMAN begins reporting progress on the next set to restore.

To monitor RMAN job progress:

1. Before starting the RMAN job, create a script file (called, for this example, `longops`) containing the following SQL statement:

```
SELECT SID, SERIAL#, CONTEXT, SOFAR, TOTALWORK,
       ROUND(SOFAR/TOTALWORK*100,2) "%_COMPLETE"
FROM   V$SESSION_LONGOPS
WHERE  OPNAME LIKE 'RMAN%'
AND    OPNAME NOT LIKE '%aggregate%'
AND    TOTALWORK != 0
AND    SOFAR <> TOTALWORK;
```

2. Start RMAN and connect to the target database and recovery catalog (if used).
3. Start an RMAN job. For example, enter:

```
RMAN> RESTORE DATABASE;
```

4. While the RMAN job is running, start SQL*Plus and connect to the target database, and execute the `longops` script to check the progress of the RMAN job. If you repeat the query while the RMAN job progresses, then you see output such as the following:

```
SQL> @longops
       SID    SERIAL#    CONTEXT      SOFAR  TOTALWORK %_COMPLETE
---------- ---------- ---------- ---------- ---------- ----------
         8         19          1      10377      36617      28.34

SQL> @longops
       SID    SERIAL#    CONTEXT      SOFAR  TOTALWORK % COMPLETE
---------- ---------- ---------- ---------- ---------- ----------
         8         19          1      21513      36617      58.75

SQL> @longops
       SID    SERIAL#    CONTEXT      SOFAR  TOTALWORK % COMPLETE
---------- ---------- ---------- ---------- ---------- ----------
         8         19          1      29641      36617      80.95

SQL> @longops
       SID    SERIAL#    CONTEXT      SOFAR  TOTALWORK % COMPLETE
---------- ---------- ---------- ---------- ---------- ----------
         8         19          1      35849      36617       97.9

SQL> @longops
no rows selected
```

5. If you run the `longops` script at intervals of 2 minutes or more and the `%_COMPLETE` column does not increase, then RMAN is encountering a problem. See "Monitoring RMAN Interaction with the Media Manager" to obtain more information.

If you frequently monitor the execution of long-running tasks, then you could create a shell script or batch file under your host operating system that runs SQL*Plus to execute this query repeatedly.

22.3.2 Identifying Bottlenecks with V$BACKUP_SYNC_IO and V$BACKUP_ASYNC_IO

You can use the `V$BACKUP_SYNC_IO` and `V$BACKUP_ASYNC_IO` views to determine the source of backup or restore bottlenecks and to see detailed progress of backup jobs.

`V$BACKUP_SYNC_IO` contains rows when the I/O is synchronous to the process (or thread on some platforms) performing the backup. `V$BACKUP_ASYNC_IO` contains rows when the I/O is asynchronous. Asynchronous I/O is obtained either with I/O processes or because it is supported by the underlying operating system.

The results of a backup or restore job remain in memory until the database instance shuts down. Thus, you can query the views after the job completes.

To determine whether the tape is streaming when the I/O is synchronous:

1. Start SQL*Plus and connect to the target database.
2. Query the `EFFECTIVE_BYTES_PER_SECOND` column in the `V$BACKUP_SYNC_IO` or `V$BACKUP_ASYNC_IO` view.

   If `EFFECTIVE_BYTES_PER_SECOND` is less than the raw capacity of the hardware, then the tape is not streaming. If `EFFECTIVE_BYTES_PER_SECOND` is greater than the raw capacity of the hardware, the tape may or may not be streaming. Compression may cause the `EFFECTIVE_BYTES_PER_SECOND` to be greater than the speed of real I/O.

> **See Also:**
>
> *Oracle Database Reference* for more information about these views

22.3.2.1 Identifying Bottlenecks with Synchronous I/O

Query the `V$BACKUP_SYNC_IO` view to identify bottlenecks with synchronoous I/O.

With synchronous I/O, it is difficult to identify specific bottlenecks because all synchronous I/O is a bottleneck to the process. The only way to tune synchronous I/O is to compare the rate (in bytes per second) with the device's maximum throughput rate. If the rate is lower than the rate that the device specifies, then consider tuning this aspect of the backup and restore process.

To determine the rate of synchronous I/O:

1. Start SQL*Plus and connect to the target database.
2. Query the `DISCRETE_BYTES_PER_SECOND` column in the `V$BACKUP_SYNC_IO` view to display the I/O rate.

   If you see data in `V$BACKUP_SYNC_IO`, then the problem is that you have not enabled asynchronous I/O or you are not using disk I/O child processes.

22.3.2.2 Identifying Bottlenecks with Asynchronous I/O

Query the V$BACKUP_ASYNC_IO to identify bottlenecks with asynchronous I/O.

Long waits are the number of times the backup or restore process told the operating system to wait until an I/O was complete. **Short waits** are the number of times the backup or restore process made an operating system call to poll for I/O completion in a nonblocking mode. **Ready** indicates the number of times when I/O was ready for use, so there was no need to make an operating system call to poll for I/O completion.

To determine the rate of asynchronous I/O:

1. Start SQL*Plus and connect to the target database.
2. Query the LONG_WAITS and IO_COUNT columns in the V$BACKUP_ASYNC_IO view to display the I/O rate.

   The simplest way to identify the bottleneck is to find the data file that has the largest ratio for LONG_WAITS divided by IO_COUNT. For example, you can use the following query:

```
SELECT   LONG_WAITS/IO_COUNT, FILENAME
FROM     V$BACKUP_ASYNC_IO
WHERE    LONG_WAITS/IO_COUNT > 0
ORDER BY LONG_WAITS/IO_COUNT DESC;
```

> **Note:**
>
> If you have synchronous I/O but you set BACKUP_DISK_IO_SLAVES, then the I/O is displayed in V$BACKUP_ASYNC_IO.

> **See Also:**
>
> *Oracle Database Reference*for descriptions of the V$BACKUP_SYNC_IO and V$BACKUP_ASYNC_IO views

22.4 Tuning RMAN Backup Performance

Many factors can affect backup performance. Often, finding the solution to a slow backup is a process of trial and error.

To obtain the best performance for a backup, follow these steps:

1. Remove the RATE parameter for channel settings, as described in "Removing the RATE Parameter from Channel Settings".
2. If your disk does not support asynchronous I/O, then set the DBWR_IO_SLAVES parameter, as described in "Setting DBWR_IO_SLAVES to Simulate Asynchronous I/O".
3. Set the LARGE_POOL_SIZE parameter, as described in "Setting LARGE_POOL_SIZE to Resolve Shared Memory Issues".

4. Remove bottlenecks that affect backup performance, as described in "Tuning the Read, Write, and Copy Phases".

22.4.1 Removing the RATE Parameter from Channel Settings

The `RATE` parameter on a channel is intended to reduce, rather than increase, backup throughput so that more disk bandwidth is available for other database operations. If the backup is not streaming to tape, then confirm that the `RATE` parameter is not set.

To remove the RATE parameter:

1. Examine your backup script.
2. Do one of the following:
   - If the backup is in a `RUN` command, then remove the `RATE` parameter, if it is specified, from the `ALLOCATE` command. Skip the remaining steps.
   - If the backup is not in a `RUN` command, then start RMAN, connect to the target database, and proceed to the next step.
3. Execute the `SHOW ALL` command to show the currently configured settings.
4. Remove the `RATE` parameter, if it is set, from the `CONFIGURE CHANNEL` command.

> **See Also:**
>
> RATE Channel Parameter

22.4.2 Setting DBWR_IO_SLAVES to Simulate Asynchronous I/O

Some operating systems support native asynchronous I/O. If and only if your disk does *not* support asynchronous I/O, then set `DBWR_IO_SLAVES`. Any nonzero value for `DBWR_IO_SLAVES` causes a fixed number of disk I/O child processes to be used for backup and restore, which simulates asynchronous I/O.

To enable disk I/O child processes:

1. Start SQL*Plus and connect to the target database.
2. Shut down the database.
3. Set `DBWR_IO_SLAVES` initialization parameter to a nonzero value.

   This setting enables the database writer processes to use child processes. Thus, you may need to increase the value of the `PROCESSES` initialization parameter.
4. Restart the database.
5. Restart the RMAN backup.

> **See Also:**
>
> Synchronous and Asynchronous Disk I/O

22.4.3 Setting LARGE_POOL_SIZE to Resolve Shared Memory Issues

Set the `LARGE_POOL_SIZE` initialization parameter if the database reports an error in the alert log stating that it does not have enough memory and that it cannot start I/O child processes.

The alert log message resembles the following:

```
ksfqxcre: failure to allocate shared memory means sync I/O will be used whenever
async I/O to file not supported natively
```

The large pool is used for RMAN and for other purposes, so its total size must accommodate all uses. This is especially true if `DBWR_IO_SLAVES` has been set and the DBWR process needs buffers.

To set the large pool size:

1. Start SQL*Plus and connect to the target database.
2. Optionally, query `V$SGASTAT.POOL` to determine in which pool (shared pool or large pool) the memory for an object resides.
3. Set the `LARGE_POOL_SIZE` initialization parameter in the target database.

   You can execute an `ALTER SYSTEM SET` statement to set the parameter dynamically. The formula for setting `LARGE_POOL_SIZE` is as follows:

   ```
   LARGE_POOL_SIZE =  number_of_allocated_channels *
                      (16 MB + ( 4 *  size_of_tape_buffer ) )
   ```

4. Restart the RMAN backup.

> **See Also:**
>
> - *Oracle Database Concepts* for more information about the large pool
> - *Oracle Database Reference* for complete information about initialization parameters

22.4.4 Tuning the Read, Write, and Copy Phases

You can perform several tasks to identify and remedy bottlenecks that affect backup performance.

This includes the following tasks:

- Using Backup Validation To Distinguish Between Read and Write Bottlenecks
- Tuning the Read Phase
- Tuning the Copy and Write Phases

22.4.4.1 Using Backup Validation To Distinguish Between Read and Write Bottlenecks

One reliable way to determine whether the output device or input disk I/O is the bottleneck in a given backup job is to compare the time required to run backup tasks with the time required to run `BACKUP VALIDATE` of the same tasks. `BACKUP VALIDATE` of a backup performs the same disk reads as a real backup but performs no I/O to an output device.

To compare backup and validation times:

1. Ensure your NLS environment date format variable is set to show the time. For example, set the NLS variables as follows:

   ```
   setenv NLS_LANG  AMERICAN_AMERICA.WE8DEC;
   setenv NLS_DATE_FORMAT "MM/DD/YYYY HH24:MI:SS"
   ```

2. Edit your backup script to use the `BACKUP VALIDATE` command instead of the `BACKUP` command.
3. Run the backup script.
4. Examine the RMAN output and calculate the difference between the times displayed in the `Starting backup at` and `Finished backup at` messages.
5. Edit the backup script to use the `BACKUP` command instead of the `BACKUP VALIDATE` command.
6. Run the backup script.
7. Examine the RMAN output and calculate the difference between the times displayed in the `Starting backup at` and `Finished backup at` messages.
8. Compare the backup times for the validation and real backup.

   If the time for the `BACKUP VALIDATE` to tape is about the same as the time for a real backup to tape, then reading from disk is the likely bottleneck.

   If the time for the `BACKUP VALIDATE` to tape is significantly less than the time for a real backup to tape, then writing to the output device is the likely bottleneck.

> **See Also:**
>
> - Tuning the Read Phase
> - Tuning the Copy and Write Phases.

22.4.4.2 Tuning the Read Phase

Tuning the read phase can help to improve RMAN performance.

RMAN may not be able to send data blocks to the output device fast enough to keep it occupied. For example, during an incremental backup, RMAN only backs up blocks changed since a previous data file backup as part of the same strategy. If you do not turn on block change tracking, then RMAN must scan whole data files for changed blocks, and fill output buffers as it finds such blocks. If few blocks changed, and if

RMAN is making an SBT backup, then RMAN may not fill output buffers fast enough to keep the tape drive streaming.

You can improve backup performance by adjusting the level of multiplexing, which is number of input files simultaneously read and then written into the same RMAN backup piece. The level of multiplexing is the minimum of the `MAXOPENFILES` setting on the channel and the number of input files placed in each backup set. The following table makes recommendations for adjusting the level of multiplexing.

Table 22-4 Adjusting the Level of Multiplexing

ASM	Striped Disk	Recommendation
No	Yes	Increase the level of multiplexing. Determine which is the minimum, `MAXOPENFILES` or the number of files in each backup set, and then increase this value. In this way, you increase the rate at which RMAN fills tape buffers, which makes it more likely that buffers are sent to the media manager fast enough to maintain streaming.
No	No	Increase the `MAXOPENFILES` setting on the channel.
Yes	Not applicable	Set the `MAXOPENFILES` parameter on the channel to `1` or `2`.

See Also:

- "About Multiplexed RMAN Backup Sets" to learn how the `MAXOPENFILES` and `FILESPERSET` settings affect the level of multiplexing
- "About RMAN Incremental Backups" for a conceptual overview

22.4.4.3 Tuning the Copy and Write Phases

If the read phase is performing well, then the copy or write phases are probably the bottleneck. In particular, if RMAN is sending data blocks to the tape drive fast enough to support streaming, but the tape is not streaming, then the SBT write phase is the bottleneck.

Try to improve performance using one of the techniques described in the following table.

Table 22-5 Techniques for Improving Copy and Write Performance

Technique	Description	Additional Informaiton
If the backup is a full backup, then consider using incremental backups	Incremental level 1 backups write only the changed blocks from data files to tape, so that any bottleneck on writing to tape has less impact on your overall backup strategy. In particular, if tape drives are not locally attached to the node of the database being backed up, then incremental backups can be faster. .	"Making and Updating RMAN Incremental Backups"

Table 22-5 (Cont.) Techniques for Improving Copy and Write Performance

Technique	Description	Additional Informaiton
If the backup uses the basic compression algorithm, then consider using the Oracle Advanced Compression option If the database host uses multiple CPUs, and if the backup uses binary compression, then increase the number of channels		• "Configuring Compression Options" • "About Binary Compression for RMAN Backup Sets"
If the backup is encrypted, then change the encryption algorithm to `AES128`	The `AES128` algorithm is the least CPU-intensive algorithm.	"Configuring the Backup Encryption Algorithm"
(For tape backups only) Adjust the size of the tape I/O buffers	Use the `PARMS` and `BLKSIZE` parameters of the `ALLOCATE CHANNEL` or `CONFIGURE CHANNEL` command to set the size. The size of the tape I/O buffers is platform-dependent. The `BLKSIZE` setting overrides the default.	
(For tape backups only) Adjust settings in the media management software	Some media manager settings, including the tape block size, may affect backup performance.	
If RMAN is backing up files to ASM, then increase the number of channels	For example, if RMAN is backing up the database to a single disk group with 16 physical disks, then allocate or configure at least 4 disk channels, up to a maximum of 16.	

23
Troubleshooting RMAN Operations

Use RMAN message output and dynamic performance views to troubleshoot RMAN operations.

23.1 Interpreting RMAN Message Output

Recovery Manager provides detailed error messages that can aid in troubleshooting problems.

Also, Oracle Database and the third-party media vendors generate useful debugging output of their own. The following discussion explains how to identify and interpret the different errors that you may encounter.

23.1.1 Identifying Types of RMAN Message Output

Output that is useful for troubleshooting failed or unresponsive RMAN jobs is located in several different places.

The following table provides an overview of where to locate message output that can be used to troubleshoot RMAN backup problems.

Table 23-1 Types of Message Output

Type of Output	Produced By	Location	Description
RMAN messages	RMAN	Completed job information is in `V$RMAN_STATUS` and `RC_RMAN_STATUS`. Current job information is in `V$RMAN_OUTPUT`. When running RMAN from the command line, you can direct output to the following places: • Standard output • A log file specified by `LOG` on the command line or the `SPOOL LOG` command • A file created by redirecting RMAN output (for example, in UNIX, using the'>' operator)	Contains actions relevant to the RMAN job and error messages generated by RMAN, the database server, and the media vendor. RMAN error messages have an `RMAN-` prefix. Normal action descriptions do not have a prefix. You can execute the following PL/SQL to remove all entries from `V$RMAN_STATUS`: `update node set high_rsr_recid=0 where db_key = our_target_database_db_key ;` The preceding function removes all job-related entries. No rows are visible until new backup jobs are shown in `V$RMAN_BACKUP_JOB_DETAILS`.
`alert_SID.log`	Oracle Database	The `alert` subdirectory of the Automatic Diagnostic Repository (ADR) home	Contains a chronological log of errors, initialization parameter settings, and administration operations. Records values for overwritten control file records.

Table 23-1 (Cont.) Types of Message Output

Type of Output	Produced By	Location	Description
Oracle trace file	Oracle Database	The `trace` subdirectory of the ADR home	Contains detailed output generated by Oracle Database processes. This file is created when an `ORA-600` or `ORA-3113` error message occurs, whenever RMAN cannot allocate a channel, and when the database fails to load the media management library.
`sbtio.log`	Third-party media management software	The `trace` subdirectory of the ADR home	Contains vendor-specific information written by the media management software. This log does not contain Oracle Database or RMAN errors.
Media manager log file	Third-party media management software	The file names for any media manager logs other than `sbtio.log` are determined by the media management software.	Contains information about the functioning of the media management device

23.1.2 Troubleshooting Long-Running RMAN Operations

RMAN message output provides information about the progress of backup and recovery operations. Use this information to take any required actions to troubleshoot operations that are stuck or awaiting resources.

Certain operations such as backup, restore, recovery, and duplication for large databases typically take a long time to complete. However, it is not always clear if the operation is progressing or waiting on some resources. Starting with Oracle Database Release 18c, RMAN message output contains additional logging information that indicates if a job is waiting on resources. Every 10 minutes, RMAN checks if there is a change in the number of blocks processed. If there no change in the blocks processed, then RMAN displays a message with the associated wait event.

The following is an example of the RMAN output for a `RESTORE` operation:

```
allocated channel: c1
channel c1: SID=123 device type=SBT_TAPE
channel c1: WARNING: Oracle Test Disk API

Starting restore at 18-JAN-18

channel c1: starting datafile backup set restore
channel c1: specifying datafile(s) to restore from backup set
channel c1: restoring datafile 00002 to /ade/b/2776899351/oracle/dbs/
tbs_ax1.f
channel c1: reading from backup piece 01sov1t4_1_1

***** Hang Detected ***** at 2018-01-18 04:11:23 for channel c1,
INSTID: 1, SID: 123, serial: 35831
No change in read blocks, thus showing wait event[Total blocks =
192000, Blocks read/recovered = 41530]
Seq_No   Event                          Waiting Time(mirco secs)
```

```
602      Backup: MML read backup piece    38094371

***** Hang Detected ***** at 2018-01-18 04:11:33 for channel c1, INSTID: 1,
SID: 123, serial: 35831
No change in read blocks, thus showing wait event[Total blocks = 192000,
Blocks read/recovered = 41530]
Seq_No   Event                            Waiting Time(mirco secs)
602      Backup: MML read backup piece    48106104

channel c1: piece handle=01sov1t4_1_1 tag=TAG20180118T040804
channel c1: restored backup piece 1
channel c1: restore complete, elapsed time: 00:02:35
Finished restore at 18-JAN-18
released channel: c1
```

The output indicates that the restore was stuck because of a problem with a media manager read operation. After the read operation completed, the RMAN restore was successful.

23.1.3 Recognizing RMAN Error Message Stacks

RMAN reports errors as they occur. If an error is not retrievable, that is, if RMAN cannot perform failover to another channel to complete a particular job step, then RMAN also reports a summary of the errors after all job sets complete. This feature is known as deferred error reporting.

One way to determine whether RMAN encountered an error is to examine its return code. A second way is to search the RMAN output for the string `RMAN-00569`, which is the message number for the error stack banner. All RMAN errors are preceded by this error message. If you do not see an `RMAN-00569` message in the output, then there are no errors.

Starting with Oracle Database 23c, RMAN uses an in-memory tracing mechanism to diagnose intermittent and hard to diagnose RMAN failures. RMAN has the debug mode enabled by default, and creates an in-memory record of RMAN client and server process traces. When a failure occurs, RMAN dumps the client and server traces in separate files. The RMAN message output provides information about the location of the trace files using these error codes:

- `RMAN-01109`
  RMAN client failures are logged as a `RMAN-01109` message in the output. `RMAN-01109` indicates the file name where the client diagnostics traces are logged
- `RMAN-01110`
  RMAN failures during the command execution phase are logged as a `RMAN-01110` message in the output. `RMAN-01110` indicates the file name where the server diagnostics traces are logged.
- If the RMAN client fails to connect to a server, then the RMAN output includes the error code `RMAN-01111` indicating that RMAN server traces were not dumped

Example 23-1 RMAN Syntax Error

This example shows an RMAN syntax error. The `RMAN-00569` message is followed by other error messages that indicate the reason for the error.

```
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
```

```
RMAN-00558: error encountered while parsing input commands
RMAN-01005: syntax error: found ")": expecting one of: "archivelog, backup,
 backupset, controlfilecopy, current, database, datafile, datafilecopy, (,
plus, ;, tablespace"
RMAN-01007: at line 1 column 18 file: standard input
```

Example 23-2 RMAN Error Indicating the Trace File Names

This examples shows an RMAN error message with `RMAN-01109` and `RMAN-01110` error codes indicating the location of the RMAN client and server process trace files.

```
RMAN-00571: ===========================================================
RMAN-03002: failure of sql command at 06/08/2023 10:33:29
RMAN-03014: implicit resync of recovery catalog failed
RMAN-03009: failure of partial resync command on default channel at 06/08/2023
10:33:29
RMAN-20110: set_stamp set_count conflict
RMAN-01109: RMAN Client Diagnostic Trace file :
/ade/b/368400417/oracle/log/diag/clients/user_name/host_name/trace/
ora_570883_140446658743104.trc
RMAN-01111: RMAN Server Diagnostic Trace file :
/ade/b/368400417/oracle/log/diag/rdbms/tst/tst/trace/tst_ora_570897.trc
```

Note:

To avoid storage space related issues, you must ensure that the in-memory trace files are regularly purged. Use the Automatic Diagnostic Repository Command Interpreter (ADRCI) utility to set policies that control automatic purging of diagnostic trace files. See the *Oracle Database Utilities* to learn how to purge diagnostic data.

See Also:

Identifying RMAN Return Codes

23.1.4 Identifying RMAN Error Codes

You can use the error codes in RMAN message stacks to troubleshoot problems with RMAN commands.

Typically, you find the following types of error codes in RMAN message stacks:

- Errors prefixed with `RMAN-`

  These are RMAN errors.
- Errors prefixed with `ORA-`

  Media manager errors use the `ORA-` prefix.
- Errors preceded by the line `Additional information:`

See Also:

- RMAN Error Message Numbers for the error ranges of RMAN errors
- ORA-19511: Media Manager Errors for the error ranges of media manager errors
- *Oracle Database Error Messages Reference*for explanations of `RMAN` and `ORA` error codes

23.1.4.1 RMAN Error Message Numbers

RMAN error messages are prefixed with RMAN-.

The following table indicates the error ranges for common RMAN error messages, all of which are described in *Oracle Database Error Messages Reference*.

Table 23-2 RMAN Error Message Ranges

Error Range	Cause
01108-01112	Information about in-memory debug tracing of RMAN client and server processes
0550-0999	Command-line interpreter
1000-1999	Keyword analyzer
2000-2999	Syntax analyzer
3000-3999	Main layer
4000-4999	Services layer
5000-5499	Compilation of `RESTORE` or `RECOVER` command
5500-5999	Compilation of `DUPLICATE` command
6000-6999	General compilation
7000-7999	General execution
8000-8999	PL/SQL programs
9000-9999	Low-level keyword analyzer
10000-10999	Server-side execution
11000-11999	Interphase errors between PL/SQL and RMAN
12000-12999	Recovery catalog packages

23.1.4.2 ORA-19511: Media Manager Errors

If a media manager error occurs, `ORA-19511` is signaled, and the media manager is expected to provide RMAN a descriptive error. RMAN displays the error passed back to it by the media manager.

For example, you might see this:

```
ORA-19511: Error received from media manager layer, error text:
   sbtpvt_open_input: file .* does not exist or cannot be accessed, errno = 2
```

The message from the media manager should provide you with enough information to let you fix the root problem. If it does not, then refer to the documentation for your media manager or contact your media management vendor support representative for further information. `ORA-19511` errors originate with the media manager, not with Oracle Database. The database just passes on the message from the media manager. The cause can be addressed only by the media management vendor.

If you are still using an SBT 1.1-compliant media management layer, you may see some additional error message text. Output from an SBT 1.1-compliant media management layer is similar to the following:

```
ORA-19507: failed to retrieve sequential file, handle="c-140148591-20031014-06",
parms=""
ORA-27007: failed to open file
Additional information: 7000
Additional information: 2
ORA-19511: Error received from media manager layer, error text:
   SBT error = 7000, errno = 0, sbtopen: backup file not found
```

The "Additional information" provided uses error codes specific to SBT 1.1. The values displayed correspond to the media manager message numbers and error text listed in Table 23-3. RMAN again signals the error, as an ORA-19511 `Error received from media manager layer` error, and a general error message related to the error code returned from the media manager and including the SBT 1.1 error number is then displayed.

The SBT 1.1 error messages are listed here for your reference. Table 23-3 lists media manager message numbers and their corresponding error text. In the error codes, *O/S* stands for *operating system*. The errors marked with an asterisk (*) are internal and are not typically seen during normal operation.

Table 23-3 Media Manager Error Message Ranges

Cause	No.	Message
sbtopen	7000	Backup file not found (only returned for read)
	7001	File exists (only returned for write)
	7002*	Bad mode specified
	7003	Invalid block size specified
	7004	No tape device found
	7005	Device found, but busy; try again later
	7006	Tape volume not found
	7007	Tape volume is in-use
	7008	I/O Error
	7009	Can't connect with Media Manager
	7010	Permission denied
	7011	O/S error for example malloc, fork error
	7012*	Invalid argument(s) to sbtopen

Table 23-3 (Cont.) Media Manager Error Message Ranges

Cause	No.	Message
sbtclose	7020*	Invalid file handle or file not open
	7021*	Invalid flags to sbtclose
	7022	I/O error
	7023	O/S error
	7024*	Invalid argument(s) to sbtclose
	7025	Can't connect with Media Manager
sbtwrite	7040*	Invalid file handle or file not open
	7041	End of volume reached
	7042	I/O error
	7043	O/S error
	7044*	Invalid argument(s) to sbtwrite
sbtread	7060*	Invalid file handle or file not open
	7061	EOF encountered
	7062	End of volume reached
	7063	I/O error
	7064	O/S error
	7065*	Invalid argument(s) to sbtread
sbtremove	7080	Backup file not found
	7081	Backup file in use
	7082	I/O Error
	7083	Can't connect with Media Manager
	7084	Permission denied
	7085	O/S error
	7086*	Invalid argument(s) to sbtremove
sbtinfo	7090	Backup file not found
	7091	I/O Error
	7092	Can't connect with Media Manager
	7093	Permission denied
	7094	O/S error
	7095*	Invalid argument(s) to sbtinfo
sbtinit	7110*	Invalid argument(s) to sbtinit
	7111	O/S error
	7112	The current RDBMS version does not support RA_FORMAT=TRUE.
	7113	
	7114	Auto allocated buffers required when using RA_FORMAT=TRUE.
		RA_REPLICATION and RA_FORMAT cannot both be set to true.

Table 23-3 (Cont.) Media Manager Error Message Ranges

Cause	No.	Message
common error codes	7500	Operator intervention requested
	7501	Media Management Error
	7502	File not found
	7503	File already exists
	7504	End of file
	7505	cannot proxy copy the specified file
	7506	no proxy work in progress
	7507	no buffer available
	7508	aborting due to signal

Starting with Oracle Database 23c, native SBT libraries are available in Oracle home directory as part of the database installation. After installing the database, you can configure RMAN channels to use the native SBT libraries for backup and restores with Oracle Cloud, Recovery Appliance, and Amazon S3.

If any issues occur when Oracle uses the native SBT media libraries, RMAN signals `ORA-19511` followed by a descriptive error message that is prefixed with *KBHS*. Error messages prefixed with *KBHS* provide a clear indication of the cause of the error.

Example 23-3 *KBHS* Error Message Displayed when Oracle uses Native SBT Libraries to Access Cloud Storage Locations

This example shows an error that occurs when Oracle access a native SBT library. The `ORA-19511` is followed by the `KBHS-01025` message that indicates the cause.

```
RMAN-03090: Starting backup at APR 17 2018 16:00:21
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
RMAN-03002: failure of backup command at 04/17/2018 16:00:23
ORA-19554: error allocating device, device type: SBT_TAPE, device name:
ORA-27023: skgfqsbi: media manager protocol error
ORA-19511: non RMAN, but media manager or vendor specific failure, error text:
KBHS-01025: Parameter OPC_WALLET and OPC_CREDENTIAL_OBJECT cannot both be
specified
```

KBHS error messages are listed here for your reference, and also described in the *Oracle Database Error Messages Reference*.

Table 23-4 *KBHS* Error Messages (Range 00100 - 00900)

KBHS - Error No - Message	Cause	Action
KBHS - 00100 - Parameter *string* value *string* is not a multiple of BLKSIZE *string* specified in SBT parms	`CHUNK_SIZE` specified in configuration was not a multiple of `BLKSIZE`	Change `BLKSIZE` or `CHUNK_SIZE` configuration and retry the command.
KBHS - 00101 - file string already exists; use `FORMAT '%number_%%U'` option for unique name	An attempt was made to create a file with a name that is already used.	Use `%d_%U` in the `FORMAT` option of the `BACKUP` command and retry the command.

Table 23-4 (Cont.) *KBHS* Error Messages (Range 00100 - 00900)

***KBHS - Error No* - Message**	**Cause**	**Action**
KBHS - 00103 - Version *strings* of *string* is not licensed	The indicated version of the library was not licensed.	Contact Oracle Support Services for assistance.
KBHS - 00104 - License of *string* version *string* expired on *string*	The indicated version of the library has expired.	Renew your license. Contact Oracle Support Services for assistance.
KBHS - 00200 - Product *string* is not licensed	The system backup tape (SBT) library was not licensed.	Contact Oracle Support Services for assistance.
KBHS - 00201 - The license of *string* expired on *string*	The system backup tape (SBT) library license has expired.	Renew your license. Contact Oracle Support Services for assistance.
KBHS - 00202 - cannot create log bucket *string*; retry after library registration	An attempt was made to re-create the log bucket specified in the license file. This attempt failed.	Retry the command after library registration.
KBHS - 00203 - maximum number of session licenses (*string*) exceeded	All licenses were in use.	Increase the value of the `LICENSE_MAX_SESSIONS` parameter.
KBHS - 00204 - data bucket *string* location conflicts with log bucket *string* location	Log and Data buckets were in different geographic location.	Delete the bucket that is in conflict and retry the command.
KBHS - 00205 - location of bucket *string* is *string*, which conflicts with location *string* specified	Log or Data bucket were in different geographic location specified.	Re-run installer with location and reRegister option to change geographic location and retry the command.
KBHS - 00206 - file string already exists; use `AS` option to rename the piece	An attempt was made to create a file with a name that is already used.	Use '`AS backuppiece name`' option to rename the backup piece and retry the command.
KBHS - 00207 - parameter *string* value *string* conflicts with bucket/container *string* property *string*	Bucket/Container with different property specified.	Check the specified parameter in the configuration file.
KBHS - 00208 - server side encryption container *string* is not supported	Container specified has server side encryption enabled. This is not supported.	Disable server side encryption or retry the command with different container name.
KBHS - 00209 - life cycle tiering policy for container *string* must exclude XML objects	The specified tiering-enabled container would archive XML objects. This is not supported.	Exclude XML objects in the container LTP.
*KBHS - 0400** - NETTEST BACKUP: number bytes sent in number microseconds	Displays NETTEST BACKUP result.	This is an undocumented output for internal usage only. No action required.
*KBHS - 00401** - NETTEST RESTORE: number bytes received in number microseconds	Displays NETTEST RESTORE result.	This is an undocumented output for internal usage only. No action required.
*KBHS - 00402** - NETTEST successfully completed	NETTEST command returns successfully.	This is an undocumented output for internal usage only. No action required.

Table 23-4 (Cont.) *KBHS* Error Messages (Range 00100 - 00900)

***KBHS - Error No* - Message**	**Cause**	**Action**
KBHS - 00600 - internal error	An internal error in Oracle HTTP SBT occurred.	Contact Oracle Customer Support.
KBHS - 00601 - fatal error in Oracle HTTP SBT	A fatal error has occurred.	This message should be accompanied by other error message(s) indicating the cause of the error. See `SBTIO.LOG` file for more information.
KBHS - 00700 - HTTP response error '*string*'	An HTTP operation returned an error.	See accompanying error messages for more information.
KBHS - 00701 - no host name or port number or host name too long	Host name absent or too long, port number absent or invalid.	Ensure the host name is correct and the port number is valid.
KBHS - 00702 - connect failed because host *string* is unreachable	The address specified was unreachable or not valid, or the service at the address was unavailable.	Verify that `HOST` and `PROXY` parameters are valid.
KBHS - 00703 - unable to connect to HTTP server *string*; received ORA-*string*	Connect to HTTP server failed or timed out.	Verify `HOST` and `PROXY` parameter values. See ORA error description for more information.
KBHS - 00704 - unable to do register connection; received ORA-*string*	Registering the connection failed.	See ORA error description for more information.
KBHS - 00705 - connection closed or lost contact; received ORA-*string*	HTTP server has disconnected.	See ORA error description for more information.
KBHS - 00706 - unable to wait for notification; received ORA-*string*	Wait for event notification on registered connection failed.	See ORA error description for more information.
KBHS - 00707 - HTTP response timed out; waited *string* seconds	The HTTP server did not respond within the specified timeout.	This is usually a HTTP server issue. Contact HTTP server administrator or increase the timeout value.
KBHS - 00708 - unable to receive data from HTTP server; error ORA-*string*	Receive from HTTP server failed.	See ORA error description for more information.
KBHS - 00709 - unable to send data to HTTP server; error ORA-*string*	HTTP send operation to server failed.	See ORA error description for more information.
KBHS - 00710 - unable to flush data from buffer; error ORA-*string*	Flushing data from local buffer to HTTP server failed.	See ORA error description for more information.
KBHS - 00711 - unable to close HTTP session; error ORA-*string*	HTTP close session operation failed.	See ORA error description for more information.

Table 23-4 (Cont.) *KBHS* Error Messages (Range 00100 - 00900)

***KBHS - Error No* - Message**	**Cause**	**Action**
KBHS - 00712 - ORA-string received from local HTTP service	An error occurred during HTTP processing.	See accompanying error messages for more information.
KBHS - 00713 - HTTP client error '*string*'	The HTTP response indicated an HTTP client error.	Fix the HTTP client error and retry the HTTP request.
KBHS - 00714 - HTTP server error '*string*'	The HTTP response indicated an HTTP server error.	Fix the HTTP server error and retry the HTTP request. Contact the administrator of the HTTP server when necessary.
KBHS - 00715 - HTTP error occurred '*string'*	The specified HTTP protocol error happened.	See accompanying error messages for more information.
KBHS - 00717 - file name *string* contains an illegal character *string*	The specified file name contained a character that was not allowed within an SBT backup file name.	Use a file name that does not contain the specified illegal character and retry the command.
KBHS - 00718 - operation failed, retry possible	A HTTP HEAD, DELETE, PUT or GET operation failed with an error. The operation may be retried.	This message is used by SBT HTTP API to decide whether or not to retry the operation.
KBHS - 00719 - Error '*string*'; *string*	Description of error returned by HTTP operation.	See accompanying error messages for more information.
KBHS - 00720 - bucket cannot be accessed using end-point for request *string*	The end-point specified in the `HOST` parameter was not the home location for the bucket in the URL.	Change `HOST` to the home location of the bucket.
KBHS - 00721 - resource busy, retry possible	An HTTP HEAD, DELETE, PUT or GET operation failed because the resource was busy. The operation may be retried.	This message is used by SBT HTTP API to decide whether or not to retry the operation.
KBHS - 00722 - missing or invalid EC2 instance metadata for IAM role *string*	The metadata corresponding to the specified IAM role does not exist or the temporary security credentials are invalid.	Verify IAM role name.
KBHS - 00723 - missing header *string* in HTTP response	The expected header is not available in the HTTP response.	Check if the submitted HTTP request is appropriate.
KBHS - 00724 - invalid value *string* for header *string*	header value is invalid	Check if the submitted HTTP request is appropriate.
KBHS - 00900 - virtual file initialization failed	Unable to initialize virtual file.	Contact Oracle Customer Support.

Table 23-5 *KBHS* Error Messages (Range 01000-01803)

***KBHS - Error No* - Message**	**Cause**	**Action**
KBHS-01000 - value of parameter *string* must be a number; specified *string*	The value given for the specified parameter was not numeric.	Change the value of the parameter to numeric and retry the command.
KBHS-01001 - value of parameter *string* must be TRUE or FALSE; specified *string*	The value given for the specified parameter was not TRUE or FALSE.	Change the parameter to TRUE or FALSE and retry the command.
KBHS - 01002 - unable to open *string* parameter file *string*	Encountered an error while trying to open the parameter file.	Ensure that the specified file exists and has read permission.
KBHS - 01003 string	An error occurred when parsing a parameter.	See accompanying error messages indicating the cause of error.
KBHS-01004 - LRM-*string* occurred when parsing a parameter	An error occurred when parsing a parameter.	See accompanying error messages indicating the cause of error.
KBHS-01005 - syntax error was encountered while parsing *string* parameter file *string*	An error occurred when parsing parameter file.	See accompanying error messages for more information.
KBHS-01006 - Parameter *string* was not specified	Failed to specify the indicated parameter.	Specify the value of the parameter and retry the command.
KBHS-01008 - syntax error was encountered while parsing *string* parameter *string*	An error occurred when parsing parameter string.	See accompanying error messages for more information.
KBHS-01009 - Parameter *string* must not be specified as sub-domain format	The specified parameter cannot be used in sub-domain.	Remove the sub-domain format and retry the command.
KBHS-01010 - user name or password was not specified in the wallet	user name or password specified in the wallet was null.	Ensure that username and password is not null and retry the command.
KBHS-01012 - ORA-*string* occurred during wallet operation; WRL *string*	An operation on the wallet failed due to indicated error.	Refer to indicated oracle message for more information.
KBHS-01013 - specified *string* alias *string* not found in wallet	The specified `WALLET` alias did not appear in the wallet.	Check the `WALLET` alias or create an alias in the wallet for the specified attribute and retry the command.
KBHS-01014 - Parameter *string* must contain *string* attribute	The `WALLET` parameter was missing the mandatory attribute.	Add the missing attribute and retry the command.
KBHS-01015 - value of parameter *string* is out of range (*string* - *string*)	The value given for the specified parameter was not valid.	Change the value of the parameter within the range and retry the command.
KBHS-01016 - syntax error was encountered while parsing parameter *string*	An error occurred when parsing parameter.	See accompanying error messages for more information.

Table 23-5 (Cont.) *KBHS* Error Messages (Range 01000-01803)

***KBHS - Error No* - Message**	**Cause**	**Action**
KBHS-01017 - Parameter *string* value string contains too many attributes	The `WALLET` parameter contains many attributes.	Check the syntax of `WALLET` parameter and retry the command.
KBHS-01018 - parameter *string* must not be specified	The indicated parameter should not be specified.	Remove the indicated parameter and retry the command.
KBHS-01019 - value of parameter *string* is invalid; specified *string*	The value given for the specified parameter was not valid.	Change the parameter to valid value and retry the command.
KBHS-01020 - value of parameter *string* must be TRUE for authentication *string*	The value given for the specified parameter was not valid.	Change the parameter to valid value and retry the command.
KBHS-01021 - AWS region could not be determined	AWS region could not be determined.	Change authentication scheme and retry the command.
KBHS-01022 - endpoint to restore archive objects cannot be constructed	`HOST` parameter is not under the v1 namespace.	Use `HOST` parameter under v1 namespace.
KBHS - 01023 - Either parameter *string* or parameter *string* must be specified	Specifying one of the parameter is mandatory.	Specify one of the parameter and retry the command.
KBHS - 01024 - invalid credential object [*schema = string, name = string*]	user name or password not specified in the credential object.	Ensure that the credential object is valid and retry the command.
KBHS - 01025 - Parameter *string* and *string* cannot both be specified	Cannot specify both parameter.	Specify only one of the parameter and retry the command.
KBHS - 01026 - `SSLWallet` location could not be retrieved from database	`SSLWallet` location was not found in database.	Ensure that `SSLWallet` location is specified in database and retry the command.
KBHS - 01100 - end-of-file for backup piece *string*	There were no more chunks for this backup piece to read or read bytes specified in the metadata file.	If the end-of-file was reached prematurely, check if all the chunks are present in the backup piece.
KBHS - 01102 - unable to read backup piece *string* because of missing chunk *string*	Unable to read the backup piece because the specified chunk was found to be missing.	Retry the command after restoring the chunk file in the specified location.
KBHS - 01103 - backup piece *string* missing	Unable to read the backup piece because the specified piece was found to be missing.	Retry the command after restoring the file in the specified location.
KBHS - 01104 - file *string* is not in backup piece format	Unable to read the backup piece header because the specified file was not in backup piece format.	Retry the command after restoring the file in the specified location.

Table 23-5 (Cont.) *KBHS* Error Messages (Range 01000-01803)

***KBHS - Error No* - Message**	**Cause**	**Action**
KBHS - 01105 - Block Pool format restore does not support password decryption	When a backup is performed using `RA_FORMAT=TRUE` and encryption, the restore requires Transparent Data Encryption/Decryption.	Retry the command without password.
KBHS - 01300 - unable to read backup piece *string* because of missing metadata file *string*	The backup piece could not be read because the metadata file was missing.	Retry the command after restoring the metadata file in the specified location.
KBHS - 01400 - Unable to load message file from virtual file system	Unable to find the message file in virtual file system.	Contact Oracle Customer Support.
KBHS - 01401 - memory allocation failure	Insufficient memory available to satisfy requested allocation.	Terminate other processes to free up memory or add memory to the system.
KBHS - 01402 - Oracle error occurred while converting a date: ORA-number: *string*	An internal error occurred while converting a date.	Contact Oracle Support Services.
KBHS - 01403 - could not open trace file *string*	An error occurred when trying to open the trace file.	Check that the directory exists and that the file has write permissions.
KBHS - 01405 - Automatic retry wait time limit *string* reached	An attempt was made to automatically retry the operation but the configured wait time limit was reached.	Retry the operation manually.
KBHS - 01406 - value of parameter *string* must be between *string* and *string*; specified *string*	The length of the parameter was not within the limits.	Specify a value of length within the limits and retry the command.
KBHS - 01407 - bucket name *string* contains an illegal character string	One of the following restrictions were not followed in the specified bucket name: • Can only contain lowercase letters, numbers, periods(.) and dashes(-). • Must start with a number or letter. • Should not end with a dash(-) • Cannot have dashes appear next to periods • Cannot be in an IP address style (eg. 192.168.5.4)	Use a bucket name that follows the restriction and retry the command.
KBHS - 01408 - log in to Recovery Appliance failed with Oracle error:*string*	An Oracle error was reported while attempting to log in to the Recovery Appliance.	Follow the actions for the specified Oracle error.

Table 23-5 (Cont.) *KBHS* Error Messages (Range 01000-01803)

***KBHS - Error No* - Message**	**Cause**	**Action**
KBHS - 01409 - Oracle error reported from Recovery Appliance while executing *string*	An Oracle error was reported when executing an Oracle Call Interface (OCI) operation in Recovery Appliance.	Follow the actions for the specified Oracle error.
KBHS - 01410 - Oracle HTTP Server not running in Recovery Appliance	Oracle HTTP Server was not running in Recovery Appliance.	Start the HTTP Server in Recovery Appliance and retry the command.
KBHS - 01411 - Recovery Appliance access was not granted	Recovery Appliance access was not granted to this user.	Grant database access to this user and then retry the command.
KBHS - 01412 - failed for connection string (@string)	This is an informational message. This precedes error 1410 or 1411.	No action is required.
KBHS - 01413 - connect string could not be resolved	The specified host is not resolvable.	Check the spelling of the host name or the IP address. Make sure that the host name or the IP address is resolvable on the Recovery Appliance.
KBHS - 01500 - syntax error was encountered while parsing SBT command *string*	An error occurred when parsing SBT command.	See accompanying error messages for more information.
KBHS - 01600 - backup piece *string* header validation failed	The header was not recognized as a valid backup piece header. One reason could be that the backup piece was converted at the target and a converted backup piece cannot be transmitted.	Ensure the backup piece is not converted and retry the backup operation.
KBHS - 01601 - Data Pump dump file backup piece *string* is not supported	Data Pump dump file backup was requested. This cannot be transmitted and is not supported.	Ensure the backup piece does not include a Data Pump dump file and retry the backup operation.
KBHS - 01602 - backup piece *string* is not encrypted	Backups sent to Oracle Public Cloud Storage was not encrypted. Only encrypted backups can be stored in Oracle Public Cloud Storage.	Configure RMAN to create encrypted backups and retry the command.
KBHS - 01603 - Incremental backups that include control or `spfile` not supported	Backups with `RA_FORMAT=TRUE` do not support mixing data files with either control or sp files.	Configure RMAN to use `AUTOBACKUP ON` when using `RA_FORMAT=TRUE`.
KBHS - 01605 - The current ZDLRA version does not support `RA_FORMAT=TRUE`.	When `RA_FORMAT=TRUE` is set, the ZDLRA needs to be patched to accept the new formatted backups.	Update the ZDLRA software to the appropriate version.
KBHS - 01606 - Invalid compression algorithm when using `RA_FORMAT=TRUE`.	When `RA_FORMAT=TRUE` is set, the only supported compression algorithm is LOW.	Set the RMAN compression algorithm to LOW.

Table 23-5 (Cont.) *KBHS* Error Messages (Range 01000-01803)

***KBHS - Error No* - Message**	**Cause**	**Action**
KBHS - 01607 - Password encryption is not supported when using `RA_FORMAT=TRUE`.	When RA_FORMAT=TRUE is set, the only supported encryption method is Transparent Data Encryption.	Use Transparent Data Encryption instead of password encryption.
KBHS - 01608 - Previously compressed backups not supported with `RA_FORMAT=TRUE`.	When `RA_FORMAT=TRUE` is set, backups that are already compressed are not supported.	Take the initial backup without compression.
KBHS - 01609 - Previously encrypted backups not supported with `RA_FORMAT=TRUE`.	When `RA_FORMAT=TRUE` is set, backups that are already encrypted are not supported.	Take the initial backup without encryption.
KBHS - 01610 - `MAXPIECESIZE` backups not supported	Backups with `RA_FORMAT=TRUE` do not support backups that also have `MAXPIECESIZE`.	If you need maxpiecesize backups, configure RMAN without `RA_FORMAT=TRUE`.
KBHS - 01700 - Error occurred in XML processing string	An error occurred when processing the XML document.	Check the given error message and fix the appropriate problem.
KBHS - 01701 - XML parsing failed	XML parser returned an error while trying to parse the document.	Check if the document to be parsed is valid.
KBHS - 01800 - unmatched quote in parameter *string*	Missing close quote was encountered.	Add missing quote and retry command.
KBHS - 01801 - invalid keyword (*string*)	This is an informational message indicating unrecognized keyword was encountered.	Correct the syntax and retry the command.
KBHS - 01802 - incomplete/ malformed command	This is an informational message indicating the command was not complete.	Correct the syntax and retry the command.
KBHS - 01803 - invalid parameter (*string*)	This is an informational message indicating the identifier token that caused a syntax error.	Correct the syntax and retry the command.

23.1.5 Interpreting RMAN Error Stacks

It is important to identify the relevant messages in the RMAN error stack.

Note the following tips and suggestions while interpreting RMAN messages:

- Read the messages from the bottom up, because this is the order in which RMAN issues the messages. The last one or two errors displayed in the stack are often the most informative.
- When you are using an SBT 1.1 media management layer and you are presented with SBT 1.1 style error messages containing the "`Additional information:`" numeric error codes, look for the `ORA-19511` message that follows for the text of

error messages passed back to RMAN by the media manager. These messages identify the real failure in the media management layer.

- Look for the `RMAN-03002` or `RMAN-03009` message (`RMAN-03009` equals `RMAN-03002` but includes the channel ID), immediately following the error banner. These messages indicate which command failed. Syntax errors generate `RMAN-00558`.
- Identify the basic type of error according to the error range chart in Table 23-2 and then refer to the error messages for information about the most important messages.

See Also:

- Interpreting RMAN Errors: Example and Interpreting Server Errors: Example for examples of RMAN error messages
- Interpreting SBT 2.0 Media Management Errors: Example and Interpreting SBT 1.1 Media Management Errors: Example for examples of interpreting media management errors
- *Oracle Database Error Messages* for information about the error messages

23.1.5.1 Interpreting RMAN Errors: Example

Errors prefixed by RMAN- indicate errors caused by RMAN commands.

You attempt a backup of tablespace `users` and receive the following message:

```
Starting backup at 29-AUG-13
using channel ORA_DISK_1
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
RMAN-03002: failure of backup command at 08/29/2013 15:14:03
RMAN-20202: tablespace not found in the recovery catalog
RMAN-06019: could not translate tablespace name "USESR"
```

The `RMAN-03002` error indicates that the `BACKUP` command failed. You read the last two messages in the stack first and immediately see the problem: no tablespace `users` appears in the recovery catalog because you mistyped the name as `usesr`.

23.1.5.2 Interpreting Server Errors: Example

Errors from the server are prefixed with ORA-.

Assume that you attempt to recover a tablespace and receive the following errors:

```
RMAN> RECOVER TABLESPACE users;

Starting recover at 29-AUG-13
using channel ORA_DISK_1

starting media recovery
media recovery failed
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
```

```
RMAN-03002: failure of recover command at 08/29/2013 15:18:43
RMAN-11003: failure during parse/execution of SQL statement: alter database
recover if needed tablespace USERS
ORA-00283: recovery session canceled due to errors
ORA-01124: cannot recover data file 8 - file is in use or recovery
ORA-01110: data file 8: '/oracle/oradata/trgt/users01.dbf'
```

As suggested, you start reading from the bottom up. The `ORA-01110` message explains there was a problem with the recovery of data file `users01.dbf`. The second error indicates that the database cannot recover the data file because it is in use or being recovered. The remaining RMAN errors indicate that the recovery session was canceled due to the server errors. Hence, you conclude that because you were not recovering this data file, the problem must be that the data file is online and you must take it offline and restore a backup.

23.1.5.3 Interpreting SBT 2.0 Media Management Errors: Example

This example shows how to interpret errors caused at the media manager level.

Assume that you use a tape drive and see the following output during a backup job:

```
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
ORA-19624: operation failed, retry possible
ORA-19507: failed to retrieve sequential file, handle="/tmp/mydir", parms=""
ORA-27029: skgfrtrv: sbtrestore returned error
ORA-19511: Error received from media manager layer, error text:
  sbtpvt_open_input:file /tmp/mydir does not exist or cannot be accessed, errno=2
```

The error text displayed following the `ORA-19511` error is generated by the media manager and describes the real source of the failure. See the media manager documentation to interpret this error.

23.1.5.4 Interpreting SBT 1.1 Media Management Errors: Example

This example shows the output of a backup job that has errors media management errors.

Assume that you use a tape drive and see the following output during a backup job:

```
RMAN-00571: ===========================================================
RMAN-00569: =============== ERROR MESSAGE STACK FOLLOWS ===============
RMAN-00571: ===========================================================
RMAN-03009: failure of backup command on c1 channel at 09/04/2013 13:18:19
ORA-19506: failed to create sequential file, name="07d36ecp_1_1", parms=""
ORA-27007: failed to open file
SVR4 Error: 2: No such file or directory
Additional information: 7005
Additional information: 1
ORA-19511: Error received from media manager layer, error text:
   SBT error = 7005, errno = 2, sbtopen: system error
```

The main information of interest returned by SBT 1.1 media managers is the error code in the "Additional information" line:

```
Additional information: 7005
```

Referring to Table 23-3, you discover that error 7005 means that the media management device is busy. So, the media management software is not able to write to the device because it is in use or there is a problem with it.

> **Note:**
>
> The sbtio.log contains information written by the media management software, *not* Oracle Database. Thus, you must consult your media vendor documentation to interpret the error codes and messages. If no information is written to the sbtio.log, then contact your media manager support to ask whether they are writing error messages in some other location, or whether there are steps you must take to have the media manager errors appear in sbtio.log.

23.1.6 Identifying RMAN Return Codes

One way to determine whether RMAN encountered an error is to examine its return code or exit status. The RMAN client returns 0 to the shell from which it was invoked if no errors occurred, and a nonzero error value otherwise.

How you access this return code depends upon the environment from which you invoked the RMAN client. For example, if you run UNIX with the C shell, then, when RMAN completes, the return code is placed in a shell variable called $status. The method of returning exit status is a detail specific to the host operating system rather than the RMAN client.

23.2 Using V$ Views for RMAN Troubleshooting

When LIST, REPORT, and SHOW do not provide all the information that you need for RMAN operations, some V$ views can provide useful details.

Sometimes it is useful to identify exactly what a server session performing a backup and recovery job is doing. The views described in the following table are useful for obtaining information about RMAN jobs.

Table 23-6 Useful V$ Views for Troubleshooting

View	Description
V$PROCESS	Identifies currently active processes
V$SESSION	Identifies currently active sessions. Use this view to determine which database server sessions correspond to which RMAN allocated channels.
V$SESSION_WAIT	Lists the events or resources for which sessions are waiting

You can use the preceding views to perform the following tasks:

- Monitoring RMAN Interaction with the Media Manager
- Correlating Server Sessions with RMAN Channels

23.2.1 Monitoring RMAN Interaction with the Media Manager

You can use the event names in the dynamic performance event views to monitor RMAN calls to the media management API. The event names have one-to-one correspondence with SBT functions.

See the following example:

```
Backup: MML v1 open backup piece
Backup: MML v1 read backup piece
Backup: MML v1 write backup piece
Backup: MML v1 query backup piece
Backup: MML v1 delete backup piece
Backup: MML v1 close backup piece
.
.
.
```

To obtain the complete list of SBT events, you can use the following query:

```
SELECT NAME
FROM   V$EVENT_NAME
WHERE  NAME LIKE '%MML%';
```

Before making a call to any of functions in the media management API, the server adds a row in `V$SESSION_WAIT`, with the `STATE` column including the string `WAITING`. The `V$SESSION_WAIT.SECONDS_IN_WAIT` column shows the number of seconds that the server has been waiting for this call to return. After an SBT function is returned from the media manager, this row disappears.

A row in `V$SESSION_WAIT` corresponding to an SBT event name does not indicate a problem, because the server updates these rows at run time. The rows appear and disappear as calls are made and returned. However, if the `SECONDS_IN_WAIT` column is high, then the media manager may be suspended.

To monitor the SBT events, you can run the following SQL query:

```
COLUMN EVENT FORMAT a17
COLUMN SECONDS_IN_WAIT FORMAT 999
COLUMN STATE FORMAT a15
COLUMN CLIENT_INFO FORMAT a30

SELECT p.SPID, s.EVENT, s.SECONDS_IN_WAIT AS SEC_WAIT,
       sw.STATE, s.CLIENT_INFO
FROM   V$SESSION_WAIT sw, V$SESSION s, V$PROCESS p
WHERE  sw.EVENT LIKE '%MML%'
AND    s.SID=sw.SID
AND    s.PADDR=p.ADDR;
```

Examine the SQL output to determine which SBT functions are waiting. For example, the following output indicates that RMAN has been waiting for the `sbtbackup` function to return for 10 minutes:

```
SPID EVENT             SEC_WAIT   STATE           CLIENT_INFO
---- ----------------- ---------- --------------- ------------------------------
8642 Backup: MML creat 600        WAITING         rman channel=ORA_SBT_TAPE_1
```

> **Note:**
>
> The `V$SESSION_WAIT` view shows only database events, not media manager events.

> **See Also:**
>
> *Oracle Database Reference* for descriptions of the `V$SESSION_WAIT` view.

23.2.2 Correlating Server Sessions with RMAN Channels

To identify which server sessions correspond to which RMAN channels, you can query `V$SESSION` and `V$PROCESS`.

The `SPID` column of `V$PROCESS` identifies the operating system ID number for the process or thread. For example, on UNIX the `SPID` column shows the process ID, whereas on Windows the `SPID` column shows the thread ID. You have two basic methods for obtaining this information, depending on whether you have multiple RMAN sessions active concurrently.

This section contains the following topics:

- Matching Server Sessions with Channels When One RMAN Session Is Active
- Matching Server Sessions with Channels in Multiple RMAN Sessions

23.2.2.1 Matching Server Sessions with Channels When One RMAN Session Is Active

When only one RMAN session is active, the easiest method for determining the server session ID for an RMAN channel is to query the target database.

Run the following query on the target database while the RMAN job is executing:

```
COLUMN CLIENT_INFO FORMAT a30
COLUMN SID FORMAT 999
COLUMN SPID FORMAT 9999

SELECT s.SID, p.SPID, s.CLIENT_INFO
FROM   V$PROCESS p, V$SESSION s
WHERE  p.ADDR = s.PADDR
AND    CLIENT_INFO LIKE 'rman%';
```

The following shows sample output:

```
 SID SPID         CLIENT_INFO
---- ------------ ------------------------------
  14 8374         rman channel=ORA_SBT_TAPE_1
```

If you set an ID using the RMAN `SET COMMAND ID` command instead of using the system-generated default ID, then search for that value in the `CLIENT_INFO` column instead of `'rman%'`.

23.2.2.2 Matching Server Sessions with Channels in Multiple RMAN Sessions

If multiple RMAN sessions are active, then the `V$SESSION.CLIENT_INFO` column can yield the same information for a channel in each session.

For example:

```
 SID SPID         CLIENT_INFO
---- ------------ ------------------------------
  14 8374         rman channel=ORA_SBT_TAPE_1
   9 8642         rman channel=ORA_SBT_TAPE_1
```

In this case, you have the following methods for determining which channel corresponds to which `SID` value.

23.2.2.2.1 Obtaining the Channel ID from the RMAN Output

You must first obtain the `sid` values from the RMAN output and then use these values in your SQL query.

To correlate a process with a channel during a backup:

1. In an active session, run the RMAN job as usual and examine the output to get the SID for the channel. For example, the output may show:

   ```
   Starting backup at 21-AUG-13
   allocated channel: ORA_SBT_TAPE_1
   channel ORA_SBT_TAPE_1: sid=14 devtype=SBT_TAPE
   ```

2. Start a SQL*Plus session and then query the joined `V$SESSION` and `V$PROCESS` views *while the RMAN job is executing*. For example, enter:

   ```
   COLUMN CLIENT_INFO FORMAT a30
   COLUMN SID FORMAT 999
   COLUMN SPID FORMAT 9999

   SELECT s.SID, p.SPID, s.CLIENT_INFO
   FROM   V$PROCESS p, V$SESSION s
   WHERE  p.ADDR = s.PADDR
   AND    CLIENT_INFO LIKE 'rman%'
   /
   ```

   Use the `sid` value obtained from the first step to determine which channel corresponds to which server session:

   ```
          SID SPID         CLIENT_INFO
   ---------- ------------ ------------------------------
           14 2036         rman channel=ORA_SBT_TAPE_1
           12 2066         rman channel=ORA_SBT_TAPE_1
   ```

23.2.2.2.2 Correlating Server Sessions with Channels by Using SET COMMAND ID

You specify a command ID string in the RMAN backup script. You can then query `V$SESSION.CLIENT_INFO` for this string.

To correlate a process with a channel during a backup:

1. In each session, set the `COMMAND ID` to a different value *after* allocating the channels and then back up the desired object. For example, enter the following in session 1:

```
RUN
{
  ALLOCATE CHANNEL c1 TYPE disk;
  SET COMMAND ID TO 'sess1';
  BACKUP DATABASE;
}
```

Set the command ID to a string such as `sess2` in the job running in session 2:

```
RUN
{
  ALLOCATE CHANNEL c1 TYPE sbt;
  SET COMMAND ID TO 'sess2';
  BACKUP DATABASE;
}
```

2. Start a SQL*Plus session and then query the joined `V$SESSION` and `V$PROCESS` views *while the RMAN job is executing*. For example, enter:

```
SELECT SID, SPID, CLIENT_INFO
FROM   V$PROCESS p, V$SESSION s
WHERE  p.ADDR = s.PADDR
AND    CLIENT_INFO LIKE '%id=sess%';
```

If you run the `SET COMMAND ID` command in the RMAN job, then the `CLIENT_INFO` column displays in the following format:

```
id=command_id,rman channel=channel_id
```

For example, the following shows sample output:

```
 SID SPID         CLIENT_INFO
---- ------------ ------------------------------
  11 8358         id=sess1
  15 8638         id=sess2
  14 8374         id=sess1,rman channel=c1
   9 8642         id=sess2,rman channel=c1
```

The rows that contain the string `rman channel` show the channel performing the backup. The remaining rows are for the connections to the target database.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for `SET COMMAND ID` syntax, and *Oracle Database Reference* for more information about `V$SESSION` and `V$PROCESS`

23.3 Testing the Media Management API

On some platforms, Oracle provides a diagnostic tool called `sbttest`. This utility performs a simple test of the media management software by acting as the Oracle database server and attempting to communicate with the media manager.

This section contains the following topics:

- Obtaining the sbttest Utility
- Obtaining Online Documentation for the sbttest Utility
- Using the sbttest Utility

23.3.1 Obtaining the sbttest Utility

The default location of the `sbttest` utility depends on the platform.

On UNIX, the `sbttest` utility is typically located in `$ORACLE_HOME/bin`. If for some reason the utility is not included with your platform, then contact Oracle Support Services to obtain the C version of the program. You can compile this version of the program on all UNIX platforms.

On platforms such as Solaris, you do not have to relink when using `sbttest`. On other platforms, relinking may be necessary.

23.3.2 Obtaining Online Documentation for the sbttest Utility

Use the `sbttest` command, without arguments, to list the various arguments for this program.

For online documentation of `sbttest`, issue the following on the command line:

```
% sbttest
```

The program displays the list of possible arguments for the program:

```
Error: backup file name must be specified
Usage: sbttest backup_file_name # this is the only required parameter
               <-dbname database_name>
               <-trace trace_file_name>
               <-remove_before>
               <-no_remove_after>
               <-read_only>
               <-no_regular_backup_restore>
               <-no_proxy_backup>
               <-no_proxy_restore>
               <-file_type n>
               <-copy_number n>
               <-media_pool n>
               <-os_res_size n>
               <-pl_res_size n>
               <-block_size block_size>
               <-block_count block_count>
               <-proxy_file os_file_name bk_file_name
                           [os_res_size pl_res_size block_size block_count]>
               <-libname sbt_library_name>
```

The display also indicates the meaning of each argument. For example, following is the description for two optional parameters:

```
Optional parameters:
  -dbname  specifies the database name which will be used by SBT
           to identify the backup file. The default is "sbtdb"
  -trace   specifies the name of a file where the Media Management
           software will write diagnostic messages.
```

23.3.3 Using the sbttest Utility

Use `sbttest` to perform a quick test of the media manager.

If `sbttest` returns 0, then the test ran without error, which means that the media manager is correctly installed and can accept a data stream and return the same data when requested. If `sbttest` returns a nonzero value, then either the media manager is not installed or it is not configured correctly.

To use sbttest:

1. Confirm that the program is installed and included in the system path by typing `sbttest` at the command line:

   ```
   % sbttest
   ```

   If the program is operational, then you see a display of the online documentation.

2. Execute the program, specifying any of the arguments described in the online documentation. For example, enter the following to create test file `some_file.f` and write the output to `sbtio.log`:

   ```
   % sbttest some_file.f -trace sbtio.log
   ```

   You can also test a backup of an existing data file. For example, this command tests data file `tbs_33.f` of database `prod`:

   ```
   % sbttest tbs_33.f -dbname prod
   ```

3. Examine the output. If the program encounters an error, then it provides messages describing the failure. For example, if the database cannot find the library, you see:

   ```
   libobk.so could not be loaded. Check that it is installed properly, and that
    LD_LIBRARY_PATH environment variable (or its equivalent on your platform)
    includes the directory where this file can be found. Here is some additional
    information on the cause of this error:
   ld.so.1: sbttest: fatal: libobk.so: open failed: No such file or directory
   ```

In some cases, `sbttest` can work but an RMAN backup does not. The reasons can be the following:

- The user who starts `sbttest` is not the owner of the Oracle Database processes.
- If the database server is not linked with the media management library or cannot load it dynamically when needed, then RMAN backups to the media manager fail, but `sbttest` may still work.
- The `sbttest` program passes all environment parameters from the shell but RMAN does not.

23.4 Terminating an RMAN Command

There are several ways to terminate an RMAN command in the middle of execution.

They include the following:

- The preferred method is to press Ctrl+C (or the equivalent "attention" key combination for your system) in the RMAN interface. This also terminates allocated channels, unless they

are suspended in the media management code, as happens when, for example, they are waiting for a tape to be mounted.

- You can end the server session corresponding to the RMAN channel by running the SQL `ALTER SYSTEM KILL SESSION` statement as described in Terminating the Session with ALTER SYSTEM KILL SESSION.
- You can terminate the server session corresponding to the RMAN channel on the operating system as described in Terminating the Session at the Operating System Level.

23.4.1 Terminating the Session with ALTER SYSTEM KILL SESSION

To terminate an RMAN session by using the ALTER SYSTEM statement, you need the Oracle session ID for the RMAN channel and the serial number. This information is contained in the RMAN log for messages.

Search for messages with the format shown in the following example:

```
channel ch1: sid=15 devtype=SBT_TAPE
```

The `sid` and `devtype` are displayed for each allocated channel. The Oracle Database `sid` is different from the operating system process ID. You can end the session using a SQL `ALTER SYSTEM KILL SESSION` statement.

`ALTER SYSTEM KILL SESSION` takes two arguments, the `sid` printed in the RMAN message and a serial number, both of which can be obtained by querying `V$SESSION`.

For example, run the following statement, where *`sid_in_rman_output`* is the number from the RMAN message:

```
SELECT SERIAL#
FROM   V$SESSION
WHERE  SID=sid_in_rman_output;
```

Then, run the following statement, substituting the *`sid_in_rman_output`* and serial number obtained from the query:

```
ALTER SYSTEM KILL SESSION 'sid_in_rman_output,serial#';
```

This statement has no effect on the session if the session stopped in media manager code.

23.4.2 Terminating the Session at the Operating System Level

Finding and terminating the processes that are associated with the server sessions is operating system-specific. On some platforms, the server sessions are not associated with any processes at all. See your operating system-specific documentation for more information.

23.4.3 Terminating an RMAN Session That Is Not Responding in the Media Manager

You may sometimes need to terminate an RMAN job that is not responding in the media manager. The best way to terminate RMAN when the channel connections are

not responding in the media manager is to terminate the session in the media manager.

If this action does not solve the problem, then on some platforms, such as Linux, you may be able to terminate the Oracle Database processes of the connections. (Terminating the Oracle processes may cause problems with the media manager. See your media manager documentation for details.)

This section contains the following topics:

- Components of an RMAN Session
- Process Behavior During a Suspended Job
- Terminating an RMAN Session: Basic Steps

23.4.3.1 Components of an RMAN Session

The nature of an RMAN session depends on the operating system.

In UNIX, an RMAN session has the following processes associated with it:

- The *RMAN client process* itself
- The *default channel*, the initial connection to the target database
- One *target connection* to the target database corresponding to each allocated channel
- The *catalog connection* to the recovery catalog database, if you use a recovery catalog
- An *auxiliary connection* to an auxiliary instance, during `DUPLICATE` or TSPITR operations
- A *polling connection* to the target database, used for monitoring RMAN command execution on the various allocated channels. By default, RMAN makes one polling connection. RMAN makes additional polling connections if you use different connect strings in the `ALLOCATE CHANNEL` or `CONFIGURE CHANNEL` commands. One polling connection exists for each distinct connect string used in the `ALLOCATE CHANNEL` or `CONFIGURE CHANNEL` command.

23.4.3.2 Process Behavior During a Suspended Job

RMAN usually stops responding because a channel connection is waiting in the media manager code for a tape resource. The catalog connection and the default channel appear to suspend, because they are waiting for RMAN to tell them what to do. Polling connections seem to be in an infinite loop while polling the RPC under the control of the RMAN process.

If you terminate the RMAN process itself, then you also terminate the catalog connection, the auxiliary connection, the default channel, and the polling connections. If target and auxiliary connections are suspended but not while executing media manager code, they also terminate. If either the target connection or any of the auxiliary connections are executing in the media management layer, then they do not terminate until the processes are manually terminated at the operating system level.

Not all media managers can detect the termination of the Oracle Database process. Those which cannot may keep resources busy or continue processing. Consult your media manager documentation for details.

Terminating the catalog connection does not cause the RMAN process to terminate because RMAN is not performing catalog operations while the backup or restore is in progress. Removing default channel and polling connections causes the RMAN process to detect that a channel is no longer present and then to exit. In this case, the connections to the unresponsive channels remain active as described previously.

23.4.3.3 Terminating an RMAN Session: Basic Steps

After the unresponsive channels in the media manager code are terminated, the RMAN process detects this termination and exits, removing all connections except target connections that are still operative in the media management layer.

The warning about the media manager resources still applies in this case.

To terminate an Oracle Database process that is not responding in the media manager:

1. Query `V$SESSION` and `V$SESSION_WAIT`, as described in "Using V$ Views for RMAN Troubleshooting". For example, execute the following query:

```
COLUMN EVENT FORMAT a17
COLUMN SECONDS_IN_WAIT FORMAT 999
COLUMN STATE FORMAT a10
COLUMN CLIENT_INFO FORMAT a30

SELECT p.SPID, s.EVENT, s.SECONDS_IN_WAIT AS SEC_WAIT,
       sw.STATE, s.CLIENT_INFO
FROM   V$SESSION_WAIT sw, V$SESSION s, V$PROCESS p
WHERE  sw.EVENT LIKE '%MML%'
AND    s.SID=sw.SID
AND    s.PADDR=p.ADDR;
```

   Examine the SQL output to determine which SBT functions are waiting. For example, the output may be as follows:

```
SPID EVENT             SEC_WAIT   STATE      CLIENT_INFO
---- ----------------  ---------- ---------- ------------------------------
8642 Backup:MML write   600        WAITING    rman channel=ORA_SBT_TAPE_1
8374 Backup:MML write   600        WAITING    rman channel=ORA_SBT_TAPE_2
```

2. Using operating system-level tools appropriate to your platform, end the unresponsive sessions. For example, on Linux execute a `kill -9` command:

```
% kill -9 8642 8374
```

   Some platforms include a command-line utility called `orakill` that enables you to terminate a specific thread. From a command prompt, run the following command, where *`sid`* identifies the database instance to target, and the *`thread_id`* is the `SPID` value from the query in Step 1:

```
orakill sid thread_id
```

3. Check that the media manager also clears its processes. If any remain, the next backup or restore operation may freeze again, due to the previous problems in the backup or restore operation. In some media managers, the only solution is to shut down and restart the media manager. If the documentation from the media manager does not provide the needed information, contact technical support for the media manager.

> **See Also:**
>
> Your operating system-specific documentation for the relevant commands

Part VII
Transferring Data with RMAN

The following chapters describe how to use RMAN for database and tablespace transport and migration. This part of the book contains these chapters:

- Duplicating a Database
- Duplicating a Database: Advanced Topics
- Creating Transportable Tablespace Sets
- Transporting Data Across Platforms
- Simplified Data Transport Using RMAN Backups

24
Duplicating Databases

This chapter describes how to use the `DUPLICATE` command to create an independently functioning database copy.

> **Note:**
>
> A multitenant container database is the only supported architecture in Oracle Database 21c and later releases. While the documentation is being revised, legacy terminology may persist. In most cases, "database" and "non-CDB" refer to a CDB or PDB, depending on context. In some contexts, such as upgrades, "non-CDB" refers to a non-CDB from a previous release.

24.1 Overview of RMAN Database Duplication

Database duplication is the use of the `DUPLICATE` command to copy all or a subset of the data in a source database. The duplicate database (the copied database) functions entirely independently from the source database (the database being copied).

This section contains the following topics:

- Purpose of Database Duplication
- Basic Concepts of Database Duplication
- Types of Database Duplication
- How RMAN Duplicates a Database
- Contents of a Duplicate Database
- About the Destination Host for Database Duplication
- About Duplicate Database File Names
- About Duplicating a Database to a Past Point-in-Time
- Prerequisites for Duplicating a Database

24.1.1 Purpose of Database Duplication

A duplicate database is useful for a variety of purposes, most of which involve testing.

You can perform the following tasks in a duplicate database:

- Test backup and recovery procedures

  For example, you can duplicate the production database on `host1` to `host2`, and then use the duplicate database on `host2` to practice restoring and recovering this database while the production database on `host1` operates as usual.
- Test an upgrade to a new release of Oracle Database

- Test the effect of applications on database performance
- Create a standby database

  You can create a physical standby database or an Oracle Data Guard far sync instance. A standby database is a copy of the primary database that you update continually with archived redo log files from the primary database. If the primary database is inaccessible, then you can fail over to the standby database, which becomes the new primary database. A database copy, however, cannot be used in this way: it is not intended for failover scenarios and does *not* support the various standby recovery and failover options.
- Generate reports

> **See Also:**
>
> *Oracle Data Guards Concepts and Administration* to learn how to create a standby database with the `DUPLICATE` command

24.1.2 Basic Concepts of Database Duplication

You must understand some basic concepts before duplicating a database.

The source host is the computer that hosts the source database. The **source database instance** is the instance that is associated with the source database.

The destination host is the computer that hosts the duplicate database. The source host and destination host can be the same or different computers.

For the duplication process, the database instance that is associated with the duplicate database is called the auxiliary instance.

RMAN must perform database point-in-time recovery, even when no explicit point in time is provided for duplication. Point-in-time recovery is required because the online redo log files in the source database are not backed up and cannot be applied to the duplicate database. The farthest point of recovery of the duplicate database is the most recent redo log file archived by the source database.

RMAN assigns a new DBID to the duplicate database (except when a standby database is created, in which case the source DBID is retained). You can then register the duplicate database in the same recovery catalog as the source database.

If you copy a database with operating system utilities rather than the `DUPLICATE` command, then the DBID of the copied database remains the same as the original database. To register the copied database in the same recovery catalog with the original, you must change the DBID with the DBNEWID utility.

> **See Also:**
>
> *Oracle Database Utilities*

24.1.2.1 Initialization Parameters for the Auxiliary Instance

Certain mandatory initialization parameters must be set for the auxiliary instance.

The following table describes a subset of the possible initialization parameters for the auxiliary instance.

Table 24-1 Auxiliary Instance Initialization Parameters

Initialization Parameter	Value	Status
DB_NAME	The same name used in the DUPLICATE command. If you use the DUPLICATE command to create a standby database, then the name must be the same as the primary database. The DB_NAME initialization parameter for the duplicate database must be unique among databases in its Oracle home.	Required
CONTROL_FILES	Control file locations .	Required
DB_BLOCK_SIZE	The block size for the duplicate database. This block size must match the block size of the source database. If the source database parameter file contains a value for the DB_BLOCK_SIZE initialization parameter, then you must specify the same value for the auxiliary instance. However, if no DB_BLOCK_SIZE parameter is specified in the source database initialization parameter file, then do not specify DB_BLOCK_SIZE parameter in the auxiliary instance.	Required, if this initialization parameter is set in the source database
DB_FILE_NAME_CONVERT	Pairs of strings for converting the names of data files and temp files. You can also specify DB_FILE_NAME_CONVERT parameter on the DUPLICATE command itself. See "Using the DB_FILE_NAME_CONVERT Parameter to Generate Names for Non-OMF or ASM Data Files".	Optional
LOG_FILE_NAME_CONVERT	Pairs of strings for naming online redo log files. See "Using the LOG_FILE_NAME_CONVERT Parameter to Generate Names for Non-OMF or ASM Log Files".	Optional
DB_CREATE_FILE_DEST	Location for Oracle managed data files.	Optional

Table 24-1 (Cont.) Auxiliary Instance Initialization Parameters

Initialization Parameter	Value	Status
DB_CREATE_ONLINE_LOG_DEST_*n*	Location for Oracle managed online redo log files.	Optional
DB_RECOVERY_FILE_DEST	Location for fast recovery area.	Optional
Oracle Real Application Cluster (Oracle RAC) parameters: • *<INSTANCESIDn>*.INSTANCE_NAME • *<INSTANCESIDn>*.INSTANCE_NUMBER • *<INSTANCESIDn>*.THREAD • *<INSTANCESIDn>*.UNDO_TABLESPACE • *<INSTANCESIDn>*.LOCAL_LISTENER	Set these parameters for each instance of the Oracle RAC database.	Required for Oracle RAC configuration

See Also:

- *Oracle Database Reference* for more information about initialization parameters for the auxiliary instance
- Table 25-1 to learn about options for naming duplicate files

24.1.2.2 About Parallelizing Backup Set Creation During Active Database Duplication

RMAN multisection backups provide faster backup performance by backing up very large data files in parallel. Multiple backup pieces are created, with a separate channel writing to each backup piece. Starting with Oracle Database 12*c* Release 1 (12.1), you can use multisection backup sets to transfer the source files that are required to perform active database duplication.

Use the `SECTION SIZE` option in the `DUPLICATE` command to create multisection backup sets. The following command creates multisection backup sets, with the size of each backup piece being 400MB. Assume that the connection to the target database and auxiliary instance has been made by using net service names.

```
DUPLICATE TARGET DATABASE TO dup_db
FROM ACTIVE DATABASE
PASSWORD FILE
SECTION SIZE 400M;
```

24.1.2.3 About Encrypting Backup Sets During Active Database Duplication

RMAN can use backup sets to transfer the source database files that need to be duplicated. The backup sets are transferred over the network to the auxiliary database.

Backup sets can be encrypted for additional security. Use the `SET ENCRYPTION ALGORITHM` command before the `DUPLICATE` command to specify the encryption algorithm.

Before you perform active database duplication, use one of the following techniques to ensure that the encryption is successful:

- If the source database uses transparent encryption, then you must share the Oracle software keystore that contains the encryption key between the source database and the auxiliary instance, as described in Making the Oracle Keystore Available to the Destination Host.
- If the source database uses password encryption, then you must specify the password used to encrypt backups.

  The following command sets the encryption password (where *password* is a placeholder for the actual password that you enter):

  ```
  SET ENCRYPTION ON IDENTIFIED BY password;
  ```

24.1.2.4 About Compressing Backup Sets During Active Database Duplication

When backup sets are used to perform active database duplication, RMAN can use backup compression to minimize the size of the backup sets that are used to transfer files from the source database to the destination host. Thus, compression can enhance the performance of the duplication process.

Compressing backup sets used for active database duplication is supported starting with Oracle Database 12*c* Release 1 (12.1).

Use the `USING COMPRESSED BACKUPSET` clause of the `DUPLICATE` command to compress the backup sets that contain data which is required to perform active database duplication. The following command performs active database duplication by using compressed backup sets. Assume that the connection to the target database and auxiliary instance has been made using net service names.

```
DUPLICATE TARGET DATABASE TO dup_db
FROM ACTIVE DATABASE
PASSWORD FILE
USING COMPRESSED BACKUPSET;
```

24.1.3 Types of Database Duplication

RMAN enables you to perform two main types of database duplication.

They include the following:

- Backup-based duplication

  The duplicate database is created by using preexisting RMAN backups or copies of the source database. You can use different techniques to duplicate a database by using backup-based duplication.
- Active database duplication

  The duplicate database is created by copying the live source database over the network to the auxiliary instance. The duplication can be performed by using backup sets or image copies.

You can use any type of duplication to duplicate a database either to the local host or to a remote host.

> **See Also:**
>
> - Techniques for Performing Backup-Based Duplication
> - Techniques for Performing Active Database Duplication

24.1.3.1 Overview of Backup-Based Duplication

In backup-based duplication, preexisting RMAN backups of the source database are used to create the duplicate database. A combination of full and incremental backups can be used. RMAN determines which backups and archived redo log files must be used based on the `UNTIL` condition.

In backup-based duplication, the primary work of duplicating the database is performed by auxiliary channels. You can configure additional channels as described in Configuring RMAN Channels for Use in Duplication.

Backup-based duplication can be used in the following scenarios:

- A connection to the source database is not available, but backups of the source database are available.
- Network bandwidth between the source host and the destination host is a constraint.

  When network bandwidth between the source host and destination host is limited, using active database duplication may result in reduced performance. For example, the source host and the destination host are in different geographical locations and are connected over a WAN. In such cases, it may be preferable to use backup-based duplication.

> **See Also:**
>
> Overview of Active Database Duplication for details of scenarios in which active database duplication is preferred.

24.1.3.2 Techniques for Performing Backup-Based Duplication

Multiple techniques are available for performing backup-based duplication.

Use one of the following mutually-exclusive techniques to perform backup-based duplication:

- Backup-Based Duplication with a Target Connection
- Backup-Based Duplication Without a Target Connection
- Backup-Based Duplication Without a Target Database and Recovery Catalog Connection

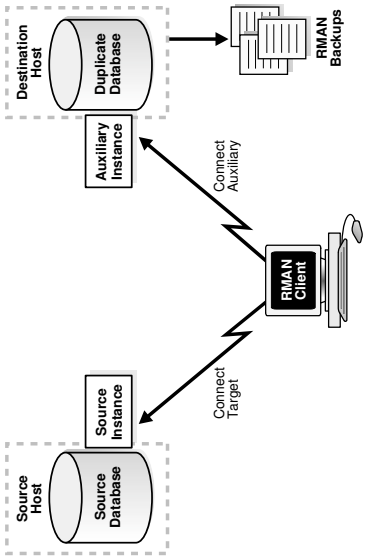
24.1.3.2.1 Backup-Based Duplication with a Target Connection

In this method, you must connect as `TARGET` to the source database and as `AUXILIARY` to the auxiliary instance.

Figure 24-1 below illustrates backup-based duplication *with* a target connection. You may connect to a recovery catalog but it is not mandatory (not in figure). RMAN uses the metadata in the control file of the source database to determine which backups or copies must be used to perform the duplication.

The destination host must have access to the RMAN backups that are required to create the duplicate database.

Figure 24-1 Backup-Based Duplication with a Target Connection



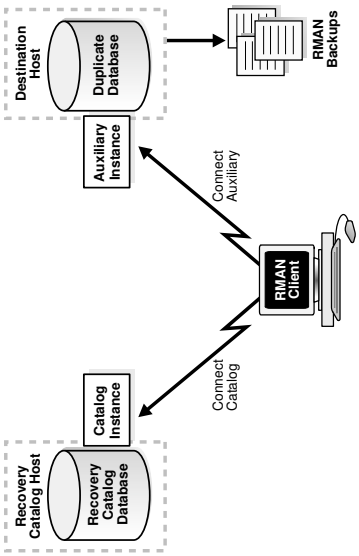
24.1.3.2.2 Backup-Based Duplication Without a Target Connection

In this method, you connect as `CATALOG` to the recovery catalog database and as `AUXILIARY` to the auxiliary instance.

Figure 24-2 illustrates backup-based duplication *without* a target connection. RMAN uses the metadata in the recovery catalog to determine which backups or copies are required to perform the duplication.

The destination host must have access to the RMAN backups required to create the duplicate database.

Figure 24-2 Backup-Based Duplication Without a Target Connection



24.1.3.2.3 Backup-Based Duplication Without a Target Database and Recovery Catalog Connection

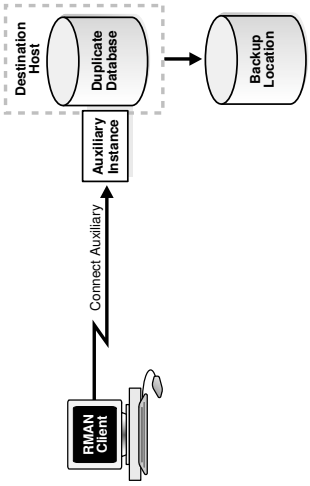
In this method, there is no connection to either the source database or the recovery catalog.

Figure 24-3 illustrates backup-based duplication *without* connections to the target or to the recovery catalog database instance. You perform duplication by connecting to the auxiliary instance and using backups or copies of the source database that are stored in a disk location on the destination host. RMAN obtains metadata about where the backups and copies reside from the `BACKUP LOCATION` clause of the `DUPLICATE` command.

A disk backup location containing all the backups or copies required for duplication must be available to the destination host.

> **Note:**
>
> This method is not supported for backups that are stored on tape devices.

Figure 24-3 Backup-Based Duplication Without a Target Connection or Recovery Catalog Connection



24.1.3.3 Overview of Active Database Duplication

Active database duplication does not require backups of the source database. It duplicates the live source database to the destination host by copying the database files over the network to the auxiliary instance. RMAN can copy the required files as image copies or backup sets.

For active database duplication, the duplication technique used determines which channel performs the principal work. When active database duplication is performed using backup sets, the principal work of duplication is performed by the auxiliary channels. When image copies are used, the primary work is performed by the target channels.

To perform active database duplication, a connection to the target database is required. Oracle recommends that you use active database duplication in general, unless network bandwidth between the source host and the destination host is a constraint. Active database duplication requires minimal setup and is simpler to perform.

> **Note:**
>
> For active database duplication, the source database must use a server parameter file.

Some of the scenarios in which active database duplication using backup sets may be preferred over using image copies are:

- You want to use multisection backups, compression, or encryption while duplicating your database.
- The source database does not have sufficient network resources to transfer the required database files to the duplicate database.
- You want to minimize the resources used by the duplication process.

Active database duplication with backup sets uses minimal resources on the source database.

24.1.3.4 Techniques for Performing Active Database Duplication

Multiple techniques are available for performing active database duplication.

Use one of the two mutually-exclusive methods to perform active database duplication:

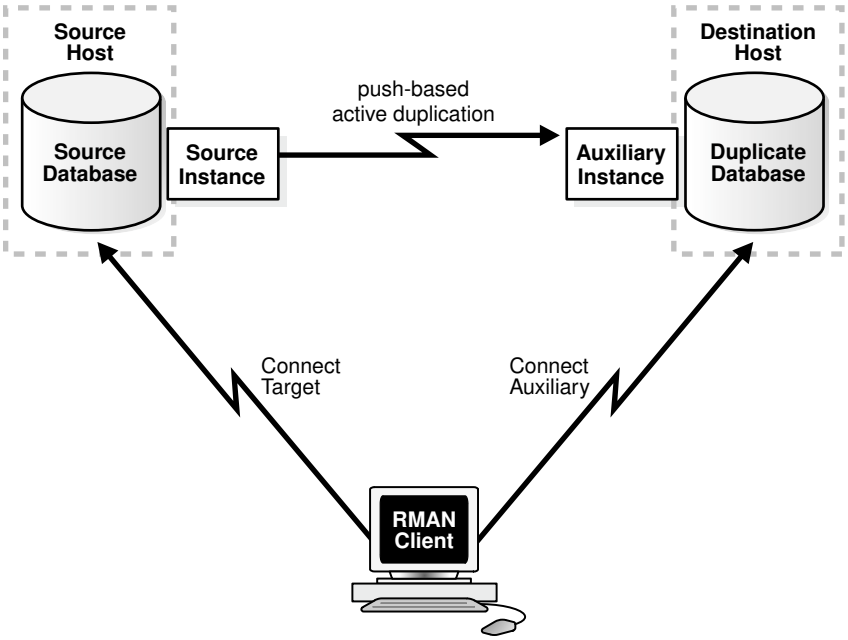
- Active Database Duplication Using Image Copies
- Active Database Duplication Using Backup Sets

24.1.3.4.1 Active Database Duplication Using Image Copies

In this method, RMAN connects as `TARGET` to the source database and as `AUXILIARY` to the auxiliary instance. The source database then transfers the required database files over the network to the auxiliary instance. This method is referred to as the **push-based method** of active database duplication.

Figure 24-4 illustrates active database duplication using image copies. Using image copies for active database duplication may require additional resources on the source database. You can configure additional target channels to improve the duplication performance as described in Configuring RMAN Channels for Use in Duplication.

Figure 24-4 Active Database Duplication Using Image Copies



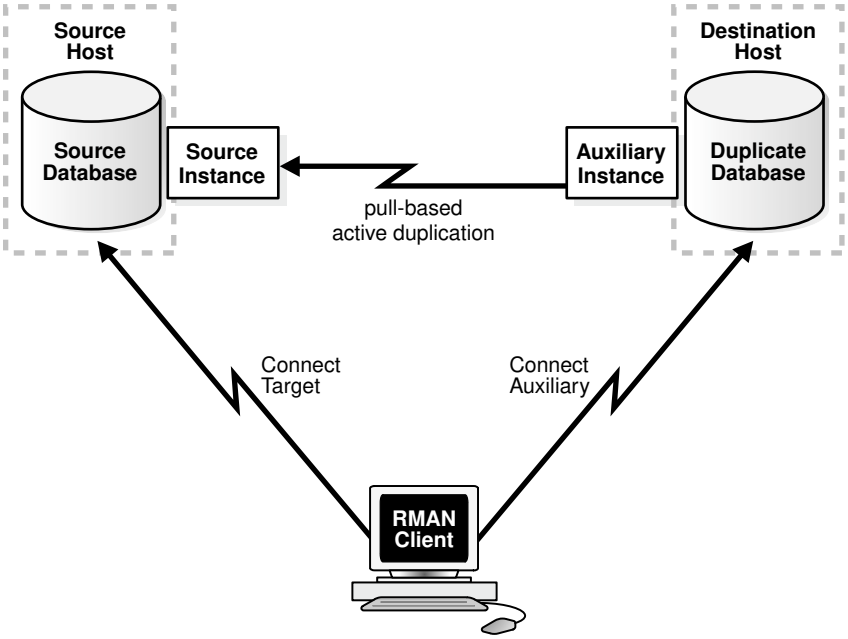
24.1.3.4.2 Active Database Duplication Using Backup Sets

Using backup sets to perform active database duplication is also known as the **pull-based method** of active database duplication.

In this method, RMAN connects as `TARGET` to the source database and as `AUXILIARY` to the auxiliary instance. The auxiliary instance then connects to the source database

through Oracle Net Services and retrieves the required database files, over the network, from the source database. Figure 24-5 illustrates active database duplication using backup sets.

Figure 24-5 Active Database Duplication Using Backup Sets



> **Note:**
>
> Performing active database duplication using backup sets is available starting Oracle Database 12*c* Release 1 (12.1).

Using backup sets for active database duplication provides the following advantages:

- RMAN can use unused block compression, thus reducing the size of backups that must be transported over the network.
- Backup sets can be created in parallel, on the source database, by using multisection backups.
- Backup sets created on the source database can be encrypted.

> **See Also:**
>
> - About Compressing Backup Sets During Active Database Duplication
> - About Parallelizing Backup Set Creation During Active Database Duplication
> - About Encrypting Backup Sets During Active Database Duplication

24.1.3.5 Factors that Determine Whether Backup Sets or Image Copies Are Used for Active Database Duplication

RMAN can use backup sets or image copies to perform active database duplication.

RMAN uses backup sets to perform active database duplication when the connection to the target database is established using a net service name and any one of the following conditions is satisfied:

- The `DUPLICATE ... FROM ACTIVE DATABASE` command contains either the `USING BACKUPSET`, `USING COMPRESSED BACKUPSET`, or `SECTION SIZE` clause.
- The number of auxiliary channels allocated is equal to or greater than the number of target channels allocated.

Otherwise, RMAN uses image copies to perform active database duplication.

> **Note:**
>
> Oracle recommends that you use backup sets to perform active database duplication.

24.1.4 How RMAN Duplicates a Database

RMAN performs a set of automated steps to duplicate a database.

The steps include the following:

1. Create a default server parameter file for the auxiliary instance, if the following conditions are true:
   - Duplication does not involve a standby database
   - Server parameter files are not being duplicated
   - The auxiliary instance was not started with a server parameter file
2. Restores from backup or copies from the active database the latest control file that satisfies the `UNTIL` clause requirements.
3. Mounts the auxiliary instance by using the restored control file or the backup control file that is copied from the active database.
4. Use metadata in the RMAN repository to select the backups that are used to restore the data files to the auxiliary instance. This step applies to backup-based duplication.
5. Copy the duplicate database files to the destination host and restores them to a noncurrent point in time by using incremental backups and archived redo log files.
6. Shut down and restarts the auxiliary database instance on the destination host in `NOMOUNT` mode.
7. Create a new control file, which then creates and stores the new DBID in the data files.

8. Open the duplicate database with the RESETLOGS option and creates the online redo log for the new database. If you do not want to open the duplicate database, use the NOOPEN clause in the DUPLICATE statement, as described in Deciding the State of the Duplicate Database.

> **See Also:**
>
> The DUPLICATE entry in *Oracle Database Backup and Recovery Reference* for a complete list of which files are copied to the duplicate database

24.1.5 Contents of a Duplicate Database

A duplicate database can include the same contents as the source database or only a subset of the tablespaces in the source database.

For example, you can use the TABLESPACE option of the DUPLICATE command to duplicate only specified tablespaces, or the SKIP READONLY option to exclude read-only tablespaces from the duplicate database.

24.1.5.1 About Duplicating a Subset of the Source Database

The DUPLICATE command contains clauses that enable you to duplicate a subset of the entire source database.

It is not always necessary to duplicate all tablespaces of a database. For example, you may plan to generate reports that require only a subset of tablespaces from your source database.

The following table explains the DUPLICATE command options to specify subsets of tablespaces for the duplicate database:

Table 24-2 Options to Specify Subsets of Tablespaces for the Duplicate Database

DUPLICATE Options	Explanation
SKIP READONLY	Excludes the data files of read-only tablespaces from the duplicate database.
SKIP TABLESPACE *'tablespace_name ', ...*	Excludes the specified tablespaces from the duplicate database. You cannot exclude the SYSTEM and SYSAUX tablespaces, tablespaces with SYS objects, undo tablespaces, tablespaces with undo segments, tablespaces with materialized views, or tablespaces in such a way that the duplicated tablespaces are not self-contained.
TABLESPACE *'tablespace_name ', ...*	Automatically includes the SYSTEM, SYSAUX, and undo tablespaces. The included tablespaces must be self-contained and the resulting skipped tablespaces must not contain SYS objects or materialized views.

> **Note:**
>
> When you exclude tablespaces in backup-based duplication *without* a target connection or *without* a target and a recovery catalog connection, RMAN has special prerequisites. See the Prerequisites section of the `DUPLICATE` command in *Oracle Database Backup and Recovery Reference*for details.

24.1.6 About the Destination Host for Database Duplication

RMAN creates the duplicate database on the specified destination host. The destination host can be the same as the source host or different.

When the same computer is used as the source host and the destination host, the duplication is termed as duplicating to the local host. When the source host and the destination host are on different computers, the duplication is termed as duplicating to a remote host.

About Duplicating a Database to the Local Host

When you duplicate a database to the local host, you must store the duplicate database files by using a directory structure that is different from that of the source database. For example, if the source database files are stored in the `/disk1/oracle` directory, then the duplicate database files can be stored in the `/disk2/oracle` directory. The duplicate database file names can be the same as those of the source database or different. The techniques for specifying alternate names for duplicate database files are described in "Methods of Generating Database File Names for the Duplicate Database".

> **Caution:**
>
> Using `NOFILENAMECHECK` when duplicating to the local host overwrites the source database files.

About Duplicating a Database to a Remote Host

When you duplicate a database to a remote host, the duplicate database files can either use the same directory structure and file names as the source database or use a different directory structure and file names. If you choose to name duplicate database files differently, then you must use one the techniques described in Methods of Generating Database File Names for the Duplicate Database to specify how duplicate database files are named.

> **Note:**
>
> Duplication to a remote host requires a password file and an Oracle Net Services connection to the auxiliary instance.

24.1.7 About Duplicate Database File Names

Depending on the destination host used and your duplication scenario, the duplicate database files can either use the same names as the source database or different names. The database files include the data file, control files, online redo log files, and temp files.

If you choose to name duplicate database files differently, you must specify a strategy for naming these files.

> **See Also:**
>
> - Choosing a Strategy for Naming Duplicate Database Files
> - About the Destination Host for Database Duplication

24.1.8 About Duplicating a Database to a Past Point-in-Time

You can use clauses in the `DUPLICATE` command to duplicate a database to a past point in time.

By default, the `DUPLICATE` command creates the duplicate database by using the most recent backups of the target database and then performs recovery to the most recent consistent point contained in the incremental backups and archived redo logs. However, you can recover the duplicate database to a past point in time by using one of the following methods:

- `DUPLICATE … UNTIL` command
- `SET UNTIL` command before the `DUPLICATE` command

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for an example of duplicating a database to a past point in time

24.1.9 Prerequisites for Duplicating a Database

The prerequisites depend on the type of database duplication being performed. Some prerequisites are common to all types of duplication and others are specific to a particular type of duplication.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for details about prerequisites for each duplication technique

24.2 Planning to Duplicate a Database

Before duplicating a database, you must make some decisions about the duplication process.

Planning to duplicate a database includes the following tasks:

- Choosing a Duplication Technique
- Choosing a Strategy for Naming Duplicate Database Files
- Installing the Oracle Database Software on the Destination Host
- Deciding the State of the Duplicate Database

24.2.1 Choosing a Duplication Technique

Your business requirements and the database environment determine which duplication technique is best for your situation.

Consider the following questions:

- Are you familiar with the prerequisites for each duplication technique?

  Review the Prerequisites section of the `DUPLICATE` command description in *Oracle Database Backup and Recovery Reference* for a complete list.

  Some prerequisites are specific and depend on the duplication technique. For example, active duplication requires that the source and auxiliary instances use the same password as the source database, whereas backup-based duplication without connections to the target database and recovery catalog requires only that all backups and database copies reside in a single location.

- Do backups of the source database exist?

  The principal advantage of active database duplication is that it does not require source database backups. Active duplication copies mounted or online database files over a network to the auxiliary instance. One disadvantage of this technique is the negative performance effect on the network. Another disadvantage is that the source database is running processes required to transfer the files to the auxiliary host, thereby affecting the source database and production workload.

  If the source database backups exist, and if the effect on the network is unacceptable, then backup-based duplication may be a better option. You can copy backups to temporary storage and transfer them manually to the destination host. If duplication is made with a connection to the target or the recovery catalog, then the backup files on the destination host must have the same file specification as they had on the source host. Otherwise, this is not a requirement.

- Is a recovery catalog available?

  If a recovery catalog exists, then you can perform backup-based duplication without connecting RMAN as TARGET to the source database. This technique is advantageous where network connections from the auxiliary host to the source database are restricted or prone to intermittent disruptions. When you perform duplication without using a target connection, the source database is unaffected by the duplication.

- How much disk space is available on the destination host?

When you perform duplication by using disk backups, disk space on the destination host can be an issue. For example, if the source database is 1 terabyte (TB), and if you duplicate the database from disk backups *without* using shared disk or network file system (NFS), then you must have at least 2 terabytes (TB) of space available on the destination host. In some environments, manual transfer of backups is necessary because NFS performance is a bottleneck.

- Are the source and destination hosts connected by a LAN or a WAN?

  Performance of active database duplication is probably slower on a wide area network (WAN) than on a local area network (LAN). If the performance degradation on a WAN is unacceptable, then backup-based duplication may be the only viable option.

- When do you plan to duplicate the database?

  If you must duplicate the database during a period of high user activity, then the loss of network throughput caused by active duplication may be a problem, making backup-based duplication a better choice. Also, in active database duplication, the RMAN channels that are required for copying files to the auxiliary host can affect performance.

24.2.2 Choosing a Strategy for Naming Duplicate Database Files

When you duplicate a database, RMAN generates names for the database files in the duplicate database. This includes the control files, data files, temp files, and online redo log files.

Depending on your duplication scenario, you can name the duplicate database files by using one of the following techniques:

- Using the Same Names for Database Files in the Source Database and Duplicate Database
- Using Different Names for the Database Files in the Source Database and Duplicate Database

If you do not specify a strategy to generate names for duplicate database files, then RMAN uses the same file names and directory structure as the source database for the duplicate database. Only when duplicating to a remote host, use the `NOFILENAMECHECK` clause to indicate that RMAN must not display an error when the names of the database files are the same in the source and duplicate database.

Some of the methods used to specify alternate names for duplicate database files may generate file names that are the same as the ones used by the source database. This may happen if, for example, you used the `SET NEWNAME` or the `CONFIGURE AUXNAME` commands to specify names for the duplicate database files. Use caution when you specify the file names for the duplicate database, else you may mistakenly overwrite the source database files.

24.2.2.1 Using the Same Names for Database Files in the Source Database and Duplicate Database

Certain conditions must be met to use the same names for files in the source and duplicate database.

The simplest duplication strategy is to configure the duplicate database to use the same directory structure and file names as the source database. You can use the same directory structure and names only when duplicating to a remote host.

Using the same directory structure and file names means that your environment meets the following requirements:

- If the source database uses ASM disk groups, then the duplicate database must use ASM disk groups with the same names.
- If the source database files are Oracle Managed Files, then the auxiliary instance must set the `DB_CREATE_FILE_DEST` parameter to the same directory location as the source database. Although the directories are the same on the source and destination hosts, Oracle Database chooses the relative names for the duplicate files.
- If the names of the database files in the source database contain a path, then this path name must be the same in the duplicate database.
- For Oracle Real Application Clusters (Oracle RAC) environments, use the same value for the `ORACLE_SID` parameter of the source and destination databases.

When you configure your environment as suggested, no additional configuration is required to name the duplicate files.

24.2.2.2 Using Different Names for the Database Files in the Source Database and Duplicate Database

If the source host and the destination host use different directory structures, or if they use the same directory structures but you want to name the database files differently, then you must specify how RMAN should generate names for the duplicate database files.

> **Note:**
>
> It is recommended that you use different names for the ASM disk groups in the source and duplicate database.

> **See Also:**
>
> Methods of Generating Database File Names for the Duplicate Database

24.2.2.3 Methods of Generating Database File Names for the Duplicate Database

Depending on the method that you choose, RMAN can either automatically generate file names or use specific names for the duplicate database files. The database files include the data files, control files, online redo log files, and temp files.

Use one of the following methods, listed in the order of precedence, to generate file names for the duplicate database:

- `SET NEWNAME` command

Provides specific names for the duplicate database files. Based on your requirement, use the `SET NEWNAME FOR DATABASE`, `SET NEWNAME FOR DATAFILE`, `SET NEWNAME FOR TABLESPACE`, or `SET NEWNAME FOR TEMPFILE` command.

For OMF and ASM database files, you must use the `SET NEWNAME...TO NEW` comand and not explicitly provide names for the database files.

- `CONFIGURE AUXNAME` command

  Specifies non-OMF and non-ASM alternative names for duplicate database files.

- `SPFILE` clause of the `DUPLICATE` command

  Sets all the necessary initialization parameters that are related to duplicate database file names, with the exception of the `DB_FILE_NAME_CONVERT` parameter.

- (Online redo log files only) `LOGFILE` clause of the `DUPLICATE` command

  Names online redo log files in the duplicate database. You cannot use this method while creating a standby database.

> **Note:**
>
> When duplicating to the local host or to a remote host without the `NOFILENAMECHECK` clause, ensure that you do not use the name of an online redo log file that is currently in use by the source database.

- `DB_FILE_NAME_CONVERT` and `LOG_FILE_NAME_CONVERT` initialization parameters

  Specifies a rule for converting file names in the source database to names in the duplicate database. You can specify multiple conversion pairs.

  When you use the `DB_FILE_NAME_CONVERT` parameter for ASM file names, only disk group name changes must be performed.

> **Note:**
>
> If the source database uses Oracle Managed Files, then you cannot use this method to specify alternative names for duplicate database files.

- `DB_CREATE_FILE_DEST` and `DB_CREATE_ONLINE_LOG_DEST_`n parameters

  Creates Oracle Managed Files at the location specified by these parameters. This is the recommended method to specify alternative names for OMF and ASM.

If more than one method is used to specify alternate names for duplicate database files, then the order of precedence decides which technique is used to name files. Any files that are not renamed by a particular method are renamed by the method that follows it. For example, if two data files are not included in the `SET NEWNAME` command, then these data files are renamed by using the `DB_FILE_NAME_CONVERT` parameter.

> **See Also:**
>
> - Specifying Alternative Names for Duplicate Database Files
> - The `DUPLICATE` command in *Oracle Database Backup and Recovery Reference* for the `LOGFILE` and `SPFILE` clauses

Preventing File Name Checking During Database Duplication

It is possible for the `CONFIGURE AUXNAME` command, the `SET NEWNAME` command, or the `DB_FILE_NAME_CONVERT` parameter to generate a name that is already in use in the target database. In this case, RMAN displays an error during duplication. When duplicating to a remote host, use the `NOFILENAMECHECK` option to avoid this error message.

> **Note:**
>
> Using `NOFILENAMECHECK` when duplicating to the local host overwrites the target database files.

Generating Names for Control Files in the Duplicate Database

By default, RMAN creates the control file in the default location in the duplicate database. You can specify alternate files names and directory names to store the duplicate database control files. While choosing names for the control files, ensure that you do not mistakenly overwrite the control files of the source database.

Use one of the following techniques, listed in the order of precedence, to specify the location of the duplicate database control files:

- Set the `CONTROL_FILES` initialization parameter in the auxiliary instance's initialization parameter file.
- Create an OMF-based control file in a location which is determined by setting one of the following parameters:
  - `DB_CREATE_ONLINE_LOG_DEST_n`
  - `DB_CREATE_FILE_DEST`
  - `DB_RECOVERY_FILE_DEST`

  If more than one of these parameters is set, then the order of precedence used is the order in which these parameters are listed.

24.2.3 Installing the Oracle Database Software on the Destination Host

When the source and destination host are different, you must install the Oracle Database software on the destination host, so that the auxiliary instance can be created.

> **Note:**
>
> Ensure that you install the same release of Oracle Database software, with the same patch level, on both the source and destination host.

Use one of the following techniques to install the software:

- Perform a normal installation with the installer.

  Install an Oracle Database whose release number is the same as that of the source database. Do not create a database; install the software only. Apply any required patches.
- Clone the source Oracle home.

  Use the installer to clone the source Oracle home. Doing this ensures that all patches applied to the source database are present in the duplicate database.

24.2.4 Deciding the State of the Duplicate Database

When you use the RMAN `DUPLICATE` command, the duplicate database is created and opened in `RESETLOGS` mode. You can use the `NOOPEN` clause in the `DUPLICATE` command to specify that the duplicate database must not be opened.

You may not want to open the duplicate database immediately after creation in the following situations:

- Opening the duplicate database may cause errors.
- You need to modify the initialization parameters of the duplicate database.

  For example, you need to modify flashback database settings, configure fast incremental backups, or modify block change tracking.
- You are creating a new database as part of an upgrade procedure.

  During an upgrade you cannot open the database with the `RESETLOGS` option. The `NOOPEN` clause enables you to duplicate the database and then leave it in a state that is ready for opening in upgrade mode and for subsequent execution of upgrade scripts.

24.3 Preparing to Duplicate Databases

Preparing to duplicating databases includes mandatory and optional tasks.

Perform the following tasks when preparing for database duplication:

- (Optional) Configure target channels and auxiliary channels, as described in Configuring RMAN Channels for Use in Duplication.
- (Backup-based duplication only) Ensure that backups required for database duplication are made available to the destination database, as described in Making Backups Accessible to the Duplicate Instance.
- Create the auxiliary instance, as described in Preparing the Auxiliary Instance.
- Ensure that the source database is open in the required state, as described in Placing the Source Database in a Proper State.

- Start RMAN and connect to the target database and auxiliary instance, as described in Starting RMAN and Connecting to Databases.

24.3.1 Configuring RMAN Channels for Use in Duplication

The primary job of database duplication is performed by RMAN channels. Each channel corresponds to an Oracle Database server session that performs the duplication tasks. Depending on the duplication technique, RMAN uses either auxiliary channels or target channels.

Use one of the following methods to configure channels:

- Automatically allocate channels by using the `CONFIGURE` command
- Manually allocate channels by using the `ALLOCATE` command

If no automatic channels are configured, then you can manually allocate at least one channel before you being the duplication. The `ALLOCATE` command that allocates channels must be in the same `RUN` block as the `DUPLICATE` command.

RMAN can use the same channel configurations on the source database for duplication on the destination host even if the source database channels do not specify the `AUXILIARY` option.

> **See Also:**
>
> - Configuring Channels for Backup-based Duplication
> - Configuring Channels for Active Database Duplication

24.3.1.1 Configuring Channels for Backup-based Duplication

For backup-based duplication, the principal work of the duplication is performed by the auxiliary channels. An auxiliary channel corresponds to a server session on the auxiliary instance on the destination host. RMAN uses the channels to restore backups in the auxiliary instance.

Configure additional auxiliary channels to improve the performance of the duplicate operation. If you do not explicitly configure auxiliary channels, then RMAN uses the same channel configurations on the source database for duplication on the destination host. RMAN can use these configurations even if the source database channels do not specify the `AUXILIARY` option.

Note the following additional considerations:

- With disk-based backups, you can increase the speed of the duplication operation by allocating additional channels.

  With tape-based duplication, you can allocate only as many channels as the number of tape devices that are available.
- The channel type (DISK or sbt) of the auxiliary channel must match the backup media. In general, the more channels you allocate for disk backups, the faster the duplication. You cannot increase the speed of duplication after the disks reach

their maximum read/write rate. For tape backups, limit the number of channels to the number of devices that are available.

- If the auxiliary channels need special parameters (for example, to point to a different media manager), then you can configure an automatic channel with the `AUXILIARY` option of the `CONFIGURE` command.
- When you perform duplication without a target connection and without a recovery catalog, only disk channels can be used. If no user-allocated channels are used, then only one channel initially restores the control file. After the control file is mounted, the number of allocated channels depends on the configuration in the restored control file.
- If you omit the `USING BACKUPSET` clause from the `DUPLICATE` command and the number of allocated auxiliary channels is greater than or equal to the number of target channels, then RMAN still uses active database duplication with backup sets.
- If the auxiliary channels cannot access backups of the required data files and archived redo log files, then the duplication fails.

Example 24-1 Configuring Auxiliary Channels for Disk-based Backups

The following example allocates three auxiliary channels to duplicate a database to disk.

```
run
{
ALLOCATE AUXILIARY CHANNEL c1 DEVICE TYPE disk;
ALLOCATE AUXILIARY CHANNEL c2 DEVICE TYPE disk;
ALLOCATE AUXILIARY CHANNEL c3 DEVICE TYPE disk;
. . .
DUPLICATE DATABASE . . . ;
}
```

24.3.1.2 Configuring Channels for Active Database Duplication

With active database duplication, you need not change your source database channel configuration or configure auxiliary channels.

When you duplicate a database, you may want to increase the parallelism setting of the source database disk channels so that RMAN copies files over the network in parallel.

The type of active database duplication technique used determines which channels perform the principal work of duplication. When image copies are used to perform active database duplication, the primary work is performed by the target channels. Configure multiple target channels on the source database to improve the duplication performance. When active database duplication is performed by using backup sets, the principal work of duplication is performed by the auxiliary channels. Therefore, it is recommended that you allocate additional auxiliary channels. The number of auxiliary channels must be greater than or equal to the number of target channels. Using backup sets for active duplication also enables parallelism, which can improve the speed of the duplication process.

24.3.2 Making Backups Accessible to the Duplicate Instance

Names of backups used during duplication are stored in the RMAN repository or control file.

> **Note:**
>
> If you are performing active database duplication, then this step is not necessary.

When duplicating with a target connection and recovery catalog connection or with just a target connection, RMAN uses metadata in the RMAN repository to locate backups and archived redo log files that are required for duplication. If RMAN is connected to a recovery catalog, then RMAN obtains the backup metadata from the catalog. If RMAN is not connected to a catalog, as may be the case when you perform backup-based duplication *with* a target connection, then RMAN obtains metadata from the control file.

Unless you are duplicating without a connection to the target and to the recovery catalog, the names of the backups must be available with the same names recorded in the RMAN repository. Ensure that auxiliary channels on the destination host can access all data file backups and archived redo log files. This is required to restore and recover the duplicate database to the desired point in time. If not, duplication fails. The archived redo log files can be available either as image copies or backup sets.

> **Note:**
>
> The database backup need not have been generated with the `BACKUP DATABASE` command. You can mix full and incremental backups of individual data files, but a full backup of every data file is required.

> **See Also:**
>
> - Making SBT Backups Accessible to the Auxiliary Instance
> - Making Disk Backups Accessible to the Auxiliary Instance

24.3.2.1 Making SBT Backups Accessible to the Auxiliary Instance

The steps to make SBT backups accessible to the auxiliary instance are specific to your media manager configuration. If the backup metadata is stored in an XML file, then ensure that the XML file is stored in a location that is accessible to the destination database during duplication.

1. Use these generic steps to make SBT backups accessible to the auxiliary instance. If the source database backups are stored in a non-disk location, such as Object Storage containers, then proceed to step 2.

a. Install media management software on the destination host, if necessary.

b. Make the tapes with the backups accessible to the destination host. Typically, you do one of the following:

   - Physically move the tapes to a drive attached to the remote host.
   - Use a network-accessible tape server.

c. If necessary, inform the remote media management software about the existence of the tapes.

You can duplicate a database using its backup metadata stored in an XML format. The backup-based duplication technique is supported. When the backup metadata file is used, run the `DUPLICATE` command with the `BACKUP LOCATION FROM FILE` option to specify the location of the XML file. RMAN uses the metadata stored in the XML file to identify the backups required for duplication. In this case, you must ensure that the XML file is stored in a location that is accessible to the destination host.

2. Use these steps to make the backup metadata file accessible to the destination database:

   a. Install the media management software on the destination host, if necessary.

      For example, if the source database backups, required for the duplication, are stored in an Object Storage container on Oracle Cloud, then you must install the Oracle Database Backup Cloud Service module on the destination database before performing the duplication.

      See, the *Using Oracle Database Backup Cloud Service* to learn how to extract the backup metadata for backups stored in an Object Storage containers.

   b. Ensure that you save the backup metadata file in a location that is accessible to the destination database during duplication.

      This is an example of an XML file `duplicate.xml` that contains the backup metadata for the source database `SALESDB`. The `duplicate.xml` file is stored in the location `/dsk1/bkps` on the destination database.

```
<?xml version = "1.0" encoding="US-ASCII"?>
<MetaData>
   <File>
      <Filename>6GJ28IEKFL_07CEC18D372F4D29E06379624664E053</Filename>
      <SetStamp>1150345378</SetStamp>
      <IsSpfile>NO</IsSpfile>
      <IsControlFile>NO</IsControlFile>
      <PieceNo>1</PieceNo>
      <Dbname>SALESDB</Dbname>
      <Dbid>3483424530</Dbid>
   </File>
   <File>
      <Filename>6GJ28IEKFL_07CEC18D374B4D29E06379624664E053</Filename>
      <SetStamp>1150345398</SetStamp>
      <IsSpfile>NO</IsSpfile>
      <IsControlFile>NO</IsControlFile>
      <PieceNo>1</PieceNo>
      <Dbname>SALESDB</Dbname>
      <Dbid>3483424530</Dbid>
   </File>
   <File>
```

```
      <Filename>6GJ28IEKFL_07CEC1A625064D36E0637962466444CD</
Filename>
      <SetStamp>1150345378</SetStamp>
      <IsSpfile>NO</IsSpfile>
      <IsControlFile>NO</IsControlFile>
      <PieceNo>1</PieceNo>
      <Dbname>SALESDB</Dbname>
      <Dbid>3483424530</Dbid>
   </File>
   <File>
      <Filename>6GJ28IEKFL_07CEC1A6254D4D36E0637962466444CD</
Filename>
      <SetStamp>1150345451</SetStamp>
      <IsSpfile>NO</IsSpfile>
      <IsControlFile>NO</IsControlFile>
      <PieceNo>1</PieceNo>
      <Dbname>SALESDB</Dbname>
      <Dbid>3483424530</Dbid>
   </File>
    </MetaData>
```

See *Backup and Recovery Reference to learn more about using the* `DUPLICATE` *command.*

24.3.2.2 Making Disk Backups Accessible to the Auxiliary Instance

When you make disk backups accessible to the auxiliary instance, your strategy depends on whether you duplicate the database while connected to the target or recovery catalog. If you do not connect to the target or recovery catalog, then you must designate a backup location for the duplication by using the `BACKUP LOCATION` clause.

When you use a backup location, the backups and copies can reside in a shared location or can be moved to the location on the destination host. In the latter case, you do not need to preserve the name or the original path of the backup or copy. The location specified in the `BACKUP LOCATION` option must contain sufficient backup sets, image copies, and archived logs to restore all of the files that are being duplicated, and recover them to the desired point in time.

It is not required that all of the backups be from the same point in time, or that they all be backup sets, or all image copies. Data file backups can be supplied as either image copies or backup sets. Archived logs can be supplied either in their normal format or as backup sets of archived logs.

When you use backups from different points in time, the backup location must contain archived logs covering the time from the start of the oldest backup until the desired recovery point.

If the backup location contains backup files from multiple databases, then the `DATABASE` clause must specify the name of the database that is to be duplicated. If the backup location contains backup files from multiple databases having the same name, then the `DATABASE` clause must specify both the name and `DBID` of the database that is to be duplicated.

The source database's Fast Recovery Area is particularly well suited for use as a backup location because it almost always contains all the files that are required for the

duplication. To use a Fast Recovery Area as a backup location, you can either remotely access it from the destination system, or copy its contents to the destination system.

When you are not using a backup location, your strategy depends on the following mutually exclusive scenarios:

- Identical file systems for source and destination hosts

  This scenario is the simplest and Oracle recommends it. For example, assume that the backups of the source database are stored in the `/dsk1/bkp` directory. In this case, you can make disk backups accessible to the destination host in either of these ways:

  – Manually transfer backups from the source host to an identical path in the destination host. For example, if the backups are in the `/dsk1/bkp` directory on the source host, then use FTP to transfer them to the `/dsk1/bkp` directory on the destination host.

  – Use NFS or shared disks and ensure that the same path is accessible in the destination host. For example, assuming that the source host can access the `/dsk1/bkp` directory, use NFS to mount the `/dsk1/bkp` directory on the destination host and use `/dsk1/bkp` as the mount point name.

- Different file systems for source and destination hosts

  In this case you *cannot* use the same directory name on the destination host as you use on the source host. You have the following options:

  – You can use shared disk to make backups available. This section explains the shared disk technique.

  – You cannot use shared disk to make backups available. Making Disk Backups Accessible Without Shared Disk describes this technique.

  Assume that you have two hosts, `srchost` and `dsthost`, and access to NFS or shared disk. The database on `srchost` is called `srcdb`. The backups of `srcdb` reside in the `/dsk1/bkp` directory on host `srchost`. The directory `/dsk1/bkp` is in use on the destination host, but the directory `/dsk2/dup` is not in use on either host.

To transfer the backups from the source host to the destination host:

1. Create a backup storage directory in either the source or destination host.

   For this example, create backup directory `/dsk2/dup` on the destination host.

2. Mount the directory created in the previous step on the other host, ensuring that the directory and the mount point names are the same.

   For example, if you created the `/dsk2/dup` directory on the destination host, then use NFS to mount this directory as `/dsk2/dup` on the source host.

3. Make the backups available in the new location on the destination host. You can use either of the following techniques:

   - Connect RMAN to the source database as TARGET and use the `BACKUP` command to back up the backups. For example, use the `BACKUP COPY OF DATABASE` command to copy the backups in the `/dsk1/bkp` directory on the source host to the `/dsk2/dup` directory on the destination host. In this case, RMAN automatically catalogs the backups in the new location.

     If you are duplicating a PDB, then use the `PLUGGABLE DATABASE` syntax of the `BACKUP COPY OF` command to copy only the backups of the PDB.

   - Use an operating system utility to transfer the backups to the new location. For example, use FTP to transfer the backups from the `/dsk1/bkp` directory on the

source host to the `/dsk2/dup` directory on the destination host, or use the `cp` command to copy the backups from the `/dsk1/bkp` directory on the source host to the `/dsk2/dup` directory on the destination host. Afterward, connect RMAN to the source database as TARGET and use the `CATALOG` command to update the RMAN repository with the location of the manually transferred backups.

24.3.3 Preparing the Auxiliary Instance

RMAN uses an auxiliary instance to create the duplicate database. You must prepare the auxiliary instance before you begin the duplication.

Depending on your duplication scenario, you need to perform either some or the tasks that are described in this section. Preparing the auxiliary instance includes the following tasks:

- Creating Directories for the Duplicate Database
- Creating an Initialization Parameter File for the Auxiliary Instance
- Creating a Password File for the Auxiliary Instance
- Establishing Oracle Net Connectivity Between the Source Database and Auxiliary Instance
- Starting the Auxiliary Instance
- Making the Oracle Keystore Available to the Destination Host

24.3.3.1 Creating Directories for the Duplicate Database

On the destination host, you must create the directories that are used to store the duplicate database files on the destination host. This includes the directories that store the data files, control files, online redo log files, and temp files.

24.3.3.2 Creating an Initialization Parameter File for the Auxiliary Instance

Multiple methods are available to create the initialization parameter file that is required to start the auxiliary instance.

Use one of the following methods to create the initialization parameter file:

- Create an initialization parameter file manually.

  If the source database does not use a server parameter file, then you must set all the necessary parameters for the auxiliary instance in a text-based initialization parameter file.
- Direct RMAN to use the initialization parameter file of the source database for the auxiliary instance.

  This technique is applicable only if the source database uses a server parameter file. Copying the initialization parameter file from the source database is useful when the duplicate database must use the same parameter settings as the source.

> **Note:**
>
> It is recommended to use a server parameter file instead of a text-based initialization parameter file for duplication.

The client-side parameter file for the auxiliary instance must reside on the same host as the RMAN client that performs the duplication.

It is recommended that you create the initialization parameter file in the default location on the auxiliary instance. On Windows, the default initialization parameter file is *ORACLE_HOME*\database\init*ORACLE_SID*.ora and on Linux the file name is *ORACLE_HOME*/dbs/init*ORACLE_SID*.ora.

Related Topics

- Initialization Parameters for the Auxiliary Instance
- Steps to Create an Initialization Parameter File for the Auxiliary Instance
- Copying the Server Parameter File from the Source Database

24.3.3.2.1 Steps to Create an Initialization Parameter File for the Auxiliary Instance

When you duplicate a database, use this procedure to copy or to create the auxiliary instance initialization parameter file on the destination host.

The initialization parameter file for the auxiliary instance must contain at least the DB_NAME and DB_DOMAIN initialization parameters. If required, you can specify additional parameters.

Ensure that the initialization parameter file is on the same host as the RMAN client that performs the duplication.

1. Do one of the following:
   - Copy the initialization parameter file from the source host to the destination host, placing it in the operating system-specific default location, and then modify the DB_NAME and DB_DOMAIN initialization parameters.

     If you are duplicating a CDB, then ensure that the ENABLE_PLUGGABLE_DATABASE parameter is present, and set to TRUE.

     See Copying the Server Parameter File from the Source Database.
   - Create the initialization parameter file by using these steps:
     a. Using a text editor, create an empty file for use as a text-based initialization parameter file, and save it in the operating system-specific default location.
     b. In the parameter file, set the DB_NAME and DB_DOMAIN initialization parameters. These are the only required parameters.

        Setting the DB_DOMAIN parameter enables you to connect to the default database service when you connect with a net service name.
2. Set the various location parameters, such as CONTROL_FILES and DB_RECOVERY_FILE_DEST.
3. If necessary, set other initialization parameters, such as those needed for Oracle Real Application Clusters.
4. Set the required environment variables, such as ORACLE_HOME and ORACLE_SID.

5. (Optional) Set initialization parameters that specify the location of the duplicate database files if one of the following conditions is satisfied:

   - The source host and the destination host are the same (duplication to the local host).
   - The duplicate database uses a directory structure that is different from that of the source host to store database files.

   Depending on the technique used to specify alternate names for duplicate database files, include one or more of the following parameters in the initialization parameter file: `CONTROL_FILES`, `DB_FILE_NAME_CONVERT`, `LOG_FILE_NAME_CONVERT`, `DB_CREATE_FILE_DEST`, `DB_CREATE_ONLINE_FILE_DEST_n`, and `RECOVERY_FILE_DEST`.

   > **Note:**
   >
   > Oracle recommends that you verify that all paths specified are accessible to the destination host and to the server session of the auxiliary instance.

   See "Methods of Generating Database File Names for the Duplicate Database" in *Oracle Database Backup and Recovery User's Guide*.

6. Start SQL*Plus, and connect to the auxiliary instance as a user with `SYSDBA` or `SYSBACKUP` privileges. Start the auxiliary instance in `NOMOUNT` mode. If the file is in the default location, then no `PFILE` parameter is required on the `STARTUP` command.

   ```
   SQL> STARTUP NOMOUNT;
   ```

Example 24-2 Initialization Parameter File for the Auxiliary Instance

```
DB_NAME=dupdb
CONTROL_FILES=(/dup/oracle/oradata/prod/control01.ctl,
               dup/oracle/oradata/prod/control02.ctl)
DB_FILE_NAME_CONVERT=(/oracle/oradata/prod/,/dup/oracle/oradata/prod/)
LOG_FILE_NAME_CONVERT=(/oracle/oradata/prod/redo,/dup/oracle/oradata/prod/redo)
```

Related Topics

- Methods of Generating Database File Names for the Duplicate Database
  Depending on the method that you choose, RMAN can either automatically generate file names or use specific names for the duplicate database files. The database files include the data files, control files, online redo log files, and temp files.

24.3.3.2.2 Copying the Server Parameter File from the Source Database

The way the initialization parameter file is copied from the source database to the auxiliary instance depends on the parameter file type, and the duplication method that you select.

- If the source database uses a server parameter file, then including the `SPFILE` option in the `DUPLICATE` command directs RMAN to use the server parameter file from the source database for the auxiliary instance.

- If you use backup-based duplication, then the server parameter file is restored from backups.
- If you use active database duplication, then the server parameter file is copied from the source database to the auxiliary instance.
- If the source database uses a text-based initialization parameter file, then use the `PFILE` clause in the `DUPLICATE` command to copy the source database's initialization parameter file to the auxiliary instance.

You can modify the values that were copied or restored from the server parameter file of the source database by using either the `PARAMETER_VALUE_CONVERT` option of `SPFILE`, or the `SET` clause of the `DUPLICATE`. For example, you can use the `SET` clause to change the value of the `DB_FILE_NAME_CONVERT` parameter in the auxiliary instance's server parameter file.

If the source database does not use a server parameter file, or if RMAN cannot restore a backup of the server parameter file, then you must manually create a text-based initialization parameter file.

Related Topics

- Steps to Create an Initialization Parameter File for the Auxiliary Instance
  When you duplicate a database, use this procedure to copy or to create the auxiliary instance initialization parameter file on the destination host.

24.3.3.3 Creating a Password File for the Auxiliary Instance

Connections to the auxiliary instance can be established by using operating system authentication or password file authentication.

To connect to the database using password file authentication, you must create a password file for the database. Password file authentication is mandatory when duplicating to a remote host or performing active database duplication. For backup-based duplication, you can either create a password file or use operating system authentication to connect to the auxiliary instance.

The default location for the password file is *$ORACLE_BASE*`\database` on Microsoft Windows and *$ORACLE_BASE*`/dbs` on Linux and Unix.

> **Note:**
>
> When you create a standby database by using RMAN duplication, password files are always copied. In all other cases, password files are copied only if you specify the `PASSWORD FILE` option in the `DUPLICATE` command.

Use one of the following options on the destination host to create a password file for the auxiliary instance:

- Use operating system-specific utilities to copy the source database password file to the destination host and then rename it to match the auxiliary instance name. This is applicable only if the source and destination hosts are on the same platform.
- Create the password file manually. Ensure that the password for the `SYSDBA` and `SYSBACKUP` users are the same in the source database and auxiliary instance.

- Create the password file with the `orapwd` utility. The `SYSBACKUP` option creates a `SYSBACKUP` entry in the new password file.

  The following example creates a password file in the 12.2 format names `orapworcl` that is located in the default location in an operating system file:

  ```
  orapwd FILE='/u01/oracle/dbs/orapworcl' FORMAT=12.2
  ```

- Specify the `PASSWORD FILE` option on the `DUPLICATE... FROM ACTIVE DATABASE` command.

  RMAN copies the source database password file to the destination host and overwrites any existing password file for the auxiliary instance. This technique is useful if the source database password file has multiple passwords to make available on the duplicate database.

  When you use active database duplication, the password file must contain at least two passwords, for the `SYS` user and the `SYSBACKUP` user. These passwords must match the passwords in the source database.

> **Note:**
>
> If you create a standby database with the `FROM ACTIVE DATABASE` option, then RMAN always copies the password file to the standby host.

> **See Also:**
>
> *Oracle Database Administrator's Guide*

24.3.3.4 Establishing Oracle Net Connectivity Between the Source Database and Auxiliary Instance

For certain forms of duplication, you must establish a connection between the source database and auxiliary instance.

If any of the following conditions is true, the auxiliary instance must be available through Oracle Net Services:

- The RMAN client is run from a host other than the destination host
- The duplication technique chosen is active database duplication
- The destination host is different from the source host

To perform active database duplication, you must connect to the auxiliary instance with `SYSDBA` or `SYSBACKUP` privilege and by using a net service name. The source database to which RMAN is connected as `TARGET` uses this net service name to connect directly to the auxiliary database instance.

To establish Oracle Net connectivity and set up a static listener:

- Follow the instructions *Oracle Database Net Services Administrator's Guide* to configure a client for connection to a database and add static service information for the listener.

Example 24-3 Establishing Oracle Net Connectivity Between the Source Database and Auxiliary Instance

Assume that the `DB_NAME` of the source database is `src` and the source host is `src.example.com`. The `DB_NAME` of the auxiliary instance is `dup` and the auxiliary instance is created on the host `dup.example.com`.

Use the following steps to establish Oracle Net connectivity between the source database and the auxiliary instance:

1. In the `tnanames.ora` file of the source database, add the following entry that corresponds to the duplicate database:

```
dupdb = (DESCRIPTION=(ADDRESS=(PROTOCOL=TCP)(HOST=dup.example.com)
(PORT=1521))(CONNECT_DATA=(SERVICE_NAME=dup)))
```

2. On the destination host, create the `tnsnames.ora` file in the *`$ORACLE_HOME`*`/admin/network` folder. Add the following entry that corresponds to the source database.

```
srcdb = (DESCRIPTION=(ADDRESS=(PROTOCOL=TCP)(HOST=src.example.com)
(PORT=1521))(CONNECT_DATA=(SERVICE_NAME=src)))
```

Related Topics

- Configuring and Administering Oracle Net Listener

24.3.3.5 Starting the Auxiliary Instance

The initialization parameter file that you create is used to start the auxiliary instance.

RMAN shuts down and restarts the auxiliary instance as part of the duplication. Hence, it is a good idea to create a server-side initialization parameter file for the auxiliary instance in the default location. If you do not have a server-side initialization parameter file in the default location, then you must specify the client-side initialization parameter file with the `PFILE` parameter on the `DUPLICATE` command.

> **Note:**
>
> Because the auxiliary instance does not yet have a control file, you can only start the instance in `NOMOUNT` mode. Do not create a control file or try to mount or open the auxiliary instance.

To start the auxiliary instance:

1. Start RMAN.

```
% rman
```

2. Connect to the auxiliary instance as a user with the SYSDBA or SYSBACKUP privilege. The following example uses password file authentication to connect to the auxiliary instance.

```
RMAN> CONNECT SYS@dupdb AS SYSDBA;
```

The following example uses operating system authentication to connect to the auxiliary instance by using the SYSBACKUP privilege.

```
RMAN> CONNECT / AS SYSBACKUP;
```

3. Start the auxiliary instance in NOMOUNT mode.

```
RMAN > STARTUP FORCE NOMOUNT;
```

Related Topics

- Creating an Initialization Parameter File for the Auxiliary Instance
  Multiple methods are available to create the initialization parameter file that is required to start the auxiliary instance.

24.3.3.6 Making the Oracle Keystore Available to the Destination Host

If transparent encryption is configured on the source database, then you must ensure that the Oracle software keystore from the source database is available to the auxiliary instance. Manually copy the keystore from the source database to the destination host.

The Oracle software keystore contains the TDE master key that is used to:

- decrypt encrypted backups when performing backup-based duplication.
- decrypt database or tablespace data when performing active database duplication of TDE-encrypted databases or tablespaces.

The following are the requirements for the keystore at the duplicate database:

- The keystore must be in the default location, or in the location indicated by the sqlnet.ora file.
- Permissions on the Oracle keystore file must be set so that the database can access the file.
- During duplication, the auxiliary instance is restarted thereby causing the Oracle software keystore to become unavailable. To ensure that the auxiliary instance has access to the keystore, set the ENCRYPTION_WALLET_LOCATION parameter in the sqlnet.ora file such that it points to the keystore location.

  The ENCRYPTION_WALLET_LOCATION sqlnet.ora parameter is deprecated in Oracle Database Release 19c. Use the WALLET_ROOT initialization parameter with the TDE_CONFIGURATION initialization parameter to configure the software keystore location.
- If a user with the SYSBACKUP privilege is performing the duplication, and the source database uses a password-protected keystore, grant the SYSKM privilege to the user performing the duplication.
- With Oracle Real Application Clusters (Oracle RAC), register the auxiliary instance statically with an Oracle Grid Infrastructure listener and use the ENVS parameter in

the `sqlnet.ora` file of the Oracle Grid home to specify environment variables that set the keystore location and the unique name of the database.

The following example sets the `ENVS` parameter in `sqlnet.ora` to specify the keystore location and unique database name:

```
 (ENVS="ORACLE_UNQNAME=cdbrptl,
ENCRYPTION_WALLET_LOCATION=(SOURCE=(METHOD=FILE)
(METHOD_DATA=(DIRECTORY=/etc/ORACLE/WALLETS/cdbrpt1)))")
```

- If the source database uses a password-based software keystore (not an auto-login software keystore), then you must provide the keystore password before you begin the duplication.

  Use the `SET` command with the `DECRYPTION WALLET OPEN IDENTIFIED BY` clause to specify the password that must be used to open the keystore.

  The following command specifies the password used to open the keystore (where *`password`* is a placeholder for the actual password that you enter):

  ```
  SET DECRYPTION WALLET OPEN IDENTIFIED BY password;
  ```

- In an Oracle Grid Infrastructure environment, add the `TNS_ADMIN` and `ORACLE_UNQNAME` initialization parameters to both the `listener.ora` file and the static listener for Data Guard Broker. The listener must be stopped and restarted after these changes are made.

  The following is an example of setting the `TNS_ADMIN` and `ORACLE_UNQNAME` parameters:

  ```
  (SID_DESC=(GLOBAL_DBNAME=sales.example.com) (ORACLE_HOME=/u01/app/
  oracle/19)
  (SID_NAME=sales) (ENVS="TNS_ADMIN=/u01/app/oracle/19/network/admin")
  (ENVS="ORACLE_UNQNAME=sales"))
  ```

See Also:

- *Oracle Database Advanced Security Guide* for information about specifying the Oracle keystore location in `sqlnet.ora`
- *Oracle Database Advanced Security Guide* for information about configuring Oracle keystores
- *Oracle Database Backup and Recovery Reference* for information about the `SET` command

24.3.4 Placing the Source Database in a Proper State

If RMAN is connected to the source database as `TARGET`, then the source database must be in a proper state for duplication.

> **Note:**
>
> If you are performing backup-based duplication without a target connection, then skip to Starting RMAN and Connecting to Databases.

To ensure that the source database is in a proper state:

1. If the source database instance is not mounted or open, then mount or open it.
2. If you are performing active database duplication, then ensure that the following additional requirements are met:
   - If the source database is open, then archiving must be enabled.
   - If the source database is not open, then the database does not require instance recovery.

24.3.5 Starting RMAN and Connecting to Databases

To start RMAN and connect to the target and auxiliary instances after duplication, use this procedure.

You must start the RMAN client and connect to the database instances as required by the chosen duplication technique. The RMAN client can be located on any host, so long as it can connect to the necessary databases over the network.

- For active database duplication using image copies, you must connect to the source database as `TARGET` and to the auxiliary instance as `AUXILIARY`. You must supply the net service name to connect to the `AUXILIARY` instance. A recovery catalog connection is optional. On both instances, the password for the user performing the duplication must be the same. Any user with a `SYSDBA` or `SYSBACKUP` privilege can perform duplication.
- For active database duplication using backup sets, you must connect to the source database as `TARGET` by using a net service name. The auxiliary instance uses this net service name to connect to the source database and retrieve the backup sets that are required for the duplication. Connect to the auxiliary instance as `AUXILIARY`. If you are connecting to the auxiliary instance remotely or intend to use the `PASSWORD FILE` option of the `DUPLICATE` command, then connect to the auxiliary instance with a net service name. On both instances, the password for the user performing the duplication must be the same. Any user with a `SYSDBA` or `SYSBACKUP` privilege can perform duplication. A recovery catalog connection is optional.
- For backup-based duplication *without* a target connection, you must connect to the auxiliary instance as `AUXILIARY` and the recovery catalog as `CATALOG`.

- For backup-based duplication *with* a target connection, you must connect to the source database as `TARGET` and the auxiliary instance as `AUXILIARY`. A recovery catalog is optional.
- For backup-based duplication without target and recovery catalog connections, you must connect to the auxiliary instance as `AUXILIARY`.

To start RMAN and connect to the target and auxiliary instances:

1. Start the RMAN client on any host that can connect to the necessary database instances.

   For example, enter the following command at the operating system prompt on the destination host:

   ```
   % rman
   ```

2. At the RMAN prompt, run `CONNECT` commands for the database instances that are required for your duplication technique.
   - To duplicate a whole database or multiple PDBs, connect to the root of the source database and the auxiliary instance.
   - To duplicate a single PDB, depending on the duplication scenario, you can either connect to the root or to the PDB. Connect to the root of the auxiliary database.

> **Note:**
>
> You cannot connect as `TARGET` to a standby database.

Example 24-4 Connecting to the Required Databases When Performing Active Database Duplication

In this example, a connection is established to the source database and the auxiliary instance using net service names. The Net Service name of the source database is `srcdb` and that of the auxiliary instance is `dupdb`.

To connect to required databases from the destination host:

1. Start the RMAN client on the destination host.

   ```
   % rman
   ```

2. Connect to the root in the source database as `TARGET`.

   ```
   RMAN> CONNECT TARGET "sys@srcdb AS SYSBACKUP";
   ```

   Enter the password for the `SYS` user on the source database when prompted.

3. Connect to the root in the auxiliary instance as `AUXILIARY`.

   ```
   RMAN> CONNECT AUXILIARY sys@dupdb;
   ```

   Enter the password for the `SYS` user on the auxiliary instance when prompted.

24.3.6 Using the DUPLICATE Command to Duplicate Databases

RMAN provides multiple options for duplicating databases by using the `DUPLICATE` command.

Use one of the following options of the `DUPLICATE` command:

- `DUPLICATE DATABASE` or `DUPLICATE...ACTIVE DATABASE`

  Use these for duplicating CDBs.

- `DUPLICATE DATABASE ... FOR STANDBY`

  Use this to create a standby database by duplicating the source database.

  Use the `DUPLICATE DATABASE ... FOR FARSYNC` command to create an Oracle Data Guard far sync instance using duplication.

- `DUPLICATE PLUGGABLE DATABASE`

  Use this to duplicate one or more PDBs while connected to the root.

When you use the `SET NEWNAME` command to specify alternate names for duplicate database files, ensure that you enclose the `DUPLICATE` command and the `SET NEWNAME` commands within a `RUN` block.

> **See Also:**
>
> - Examples: Duplicating Databases
> - The `DUPLICATE` command in *Oracle Database Backup and Recovery Reference* for additional examples on duplication

24.4 Duplicating Databases

You can use the `DUPLICATE` command to duplicate all or part of the database.

> **Note:**
>
> Performing simultaneous duplication operations using the same source database is not supported.

> **Note:**
>
> When duplicating an entire database or part of a database, if there are contents or state information that are not required or expected in the duplicate database, discard that information before you perform any other actions. For example, if the state of the source database was saved before duplication, discard this information before you perform any actions in the duplicate database.

24.4.1 Duplicating the Whole Database

Use the `DUPLICATE` command to duplicate a whole multitenant container database (CDB).

To duplicate a CDB:

1. Complete the planning tasks described in "Planning to Duplicate a Database" with the following change:

   In "Making Backups Accessible to the Duplicate Instance" and "Making Disk Backups Accessible Without Shared Disk", note the following adjustment:

   Use the `PLUGGABLE DATABASE` syntax of the `BACKUP` command to copy only the backups of a specific PDB.

   The following command transfers the backup files for the entire CDB:

   ```
   BACKUP COPY OF DATABASE;
   ```

   The following command transfers only the backup files for the PDB `pdb3`:

   ```
   BACKUP COPY OF PLUGGABLE DATABASE pdb3;
   ```

2. Ensure that the prerequisites for the selected duplication technique are met, as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance", with the following changes:
   - You must create the auxiliary instance as a CDB. To do so, start the instance with the following declaration in the initialization parameter file:

     ```
     enable_pluggable_database=TRUE
     ```

   - When instructed to create an initialization parameter file for the auxiliary instance, you must copy the file from the source database. This ensures that the auxiliary instance is also a CDB. After you copy the file, perform the following steps:
     - Modify the `DB_NAME` parameter
     - Modify the various destination or location parameters
   - When instructed to connect to the necessary instances, connect to the root as a user with `SYSDBA` or `SYSBACKUP` privilege. On both instances, the password for the user performing the duplication must be the same.
4. Start RMAN and connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.

   On both the auxiliary instance and the target database, the password for the user performing the duplication must be the same.

Depending on your duplication technique, you may need to connect to one or more of the following: target database, auxiliary instance, or recovery catalog.

5. Place source database in a proper state, if necessary, as described in "Placing the Source Database in a Proper State".
6. Configure RMAN channels, if necessary, as described in "Configuring RMAN Channels for Use in Duplication".

   The primary task of duplication is performed by RMAN channels. Configuring additional channels improves the duplication performance.
7. Use the `DUPLICATE` command to duplicate the source CDB.

   See "Using the DUPLICATE Command to Duplicate Databases".

When you perform active database duplication, you can encrypt or compress the backup sets that are used to transfer files from the source database to the duplicate database. Additionally, you can create backup sets on the source database in parallel by using multisection backups.

24.4.2 Duplicating a Subset of the Source Database Tablespaces

You can duplicate specified tablespaces within a source database.

To duplicate some tablespaces in a database:

1. Ensure that the prerequisites for the selected duplication technique are met.

   See Prerequisites for Duplicating a Database.
2. Complete the required planning tasks before you begin database duplication.

   See Planning to Duplicate a Database.
3. Prepare the auxiliary instance that is used when creating the duplicate database.

   See Preparing the Auxiliary Instance.
4. Start RMAN and connect to required databases. Depending on your duplication technique, you may need to connect to one or more of the following: target database, auxiliary instance, or recovery catalog.

   See Starting RMAN and Connecting to Databases.
5. Place the source database in a proper state (if necessary).

   See Placing the Source Database in a Proper State.
6. (Optional) Configure RMAN channels to improve duplication performance. Channels perform the primary task of duplicating the database.

   See Configuring RMAN Channels for Use in Duplication.
7. Run the `DUPLICATE` command with one or more of the options in Table 1–1.

   Other factors that influence what tablespaces are copied include the `OFFLINE NORMAL` option. When tablespaces are taken offline with the `OFFLINE NORMAL` option before duplication, RMAN does not duplicate the associated data files, and issues `DROP TABLESPACE` statement for these tablespaces on the duplicate database. Therefore, you do not have to specify options to exclude these tablespaces.

> **Note:**
>
> RMAN does duplicate tablespaces that are taken offline with any other option besides `NORMAL` (unless they are named in a `SKIP TABLESPACE` option). Only `OFFLINE NORMAL` tablespaces are skipped automatically. As with online tablespaces, RMAN requires a valid backup for these tablespaces when you use backup-based duplication.

Example 24-5 Excluding Read-Only Tablespaces

This example shows how to skip read-only tablespaces during database duplication

```
DUPLICATE TARGET DATABASE TO dupdb
FROM ACTIVE DATABASE
SKIP READONLY;
```

Example 24-6 Excluding Specified Tablespaces

This example shows how to skip a tablespace named `tools` during database duplication.

```
DUPLICATE TARGET DATABASE
 TO dupdb
FROM ACTIVE DATABASE
SKIP TABLESPACE tools;
```

Example 24-7 Including Specified Tablespaces

You can use the `TABLESPACE` option to specify which tablespaces to include in the specified database. The remaining tablespaces are skipped. The duplicated subset of tablespaces must be self-contained. The resulting set of skipped tablespaces must not have undo segments or materialized views.

This example includes the `users` tablespace, which is assumed to be self-contained, and all other tablespaces are excluded, except for `SYSTEM` and `SYSAUX` tablespaces and tablespaces with undo segments.

```
DUPLICATE TARGET DATABASE
TO dupdb
FROM ACTIVE DATABASE
  TABLESPACE users;
```

Example 24-8 Including Specified Tablespaces with Undo Segments

This example performs backup-based duplication with a target connection, but without a recovery catalog connection. You want to specify a subset of tablespaces for duplication. If the target database is not open in this scenario, then RMAN has no way to obtain the names of the tablespaces with undo segments. Thus, you must specify the `UNDO TABLESPACE` option

for these tablespaces. The `users` tablespace must be self-contained. The resulting set of skipped tablespaces must not have undo segments or materialized views.

```
DUPLICATE TARGET DATABASE TO dupdb
 TABLESPACE users
 UNDO TABLESPACE undotbs;
```

24.4.3 Duplicating PDBs

You can duplicate a PDB to a new multitenant container database (CDB) or an existing CDB.

Topics:

- About Duplicating PDBs
- Restrictions on Duplicating a PDB to an Existing CDB
- Duplicating a PDB to a New CDB
- Duplicating a PDB to an Existing CDB
- Duplicating Sparse PDBs

24.4.3.1 About Duplicating PDBs

You can duplicate a single PDB, a set of PDBs, or a set of tablespaces within a PDB by using the `DUPLICATE` command.

To duplicate PDBs, you must log in to the root of the CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege. When duplicating a PDB to a new CDB, you must create the auxiliary instance as a CDB. To do so, start the auxiliary instance with the declaration `enable_pluggable_database=TRUE` in the initialization parameter file.

When you duplicate one or more PDBs, RMAN also duplicates the root (`CDB$ROOT`) and the CDB seed (`PDB$SEED`). The resulting duplicate database is a fully functional CDB that contains the root, the CDB seed, and the duplicated PDBs.

Table 24-3 Techniques for Duplicating PDBs

Technique	Description	Additional Information
Duplicate a PDB to an existing CDB	To duplicate a PDB into an existing CDB, use the `DUPLICATE PLUGGABLE DATABASE` *`pdb_name`* `TO` *`cdb_name`* syntax. Here, *`cdb_name`* is the name of an existing CDB.	Duplicating a PDB to an Existing CDB

Table 24-3 (Cont.) Techniques for Duplicating PDBs

Technique	Description	Additional Information

Duplicate specified PDBs to a new CDB	Use one of the following techniques:
	• Duplicate the specified PDBs to a new CDB by using the following syntax:
	`DUPLICATE DATABASE TO cdb_name PLUGGABLE DATABASE pdb_name;`
	Use a comma-delimited list to duplicate multiple PDBs.
	• Duplicate all the PDBs, except the PDBs specified by *pdb_name*, to a new CDB by using the following syntax:
	`DUPLICATE DATABASE TO cdb_name SKIP PLUGGABLE DATABASE pdb_name`
	Use a comma-delimited list to specify multiple PDBs that must be excluded.
		Duplicating a PDB to a New CDB

Table 24-3 (Cont.) Techniques for Duplicating PDBs

Technique	Description	Additional Information

Duplicate specified tablespaces within a PDB to a new CDB	Use one of the following techniques:
	• Duplicate specified tablespaces within a PDB to a new CDB by usingthe following syntax:
	`DUPLICATE DATABASE TO cdb_name TABLESPACE pdb_name:tablespace_name;`
	The tablespace name must be prefixed with the name of the PDB that contains the tablespace. If you omit the name of the PDB, root is taken as the default.
	• Duplicate all tablespaces in the CDB except the specified tablespaces to a new CDB by usingthe following syntax:
	`DUPLICATE DATABASE TO cdb_name SKIP TABLESPACE pdb_name:tablespace_name`
		Duplicating Tablespaces Within a PDB to a New CDB

> **Note:**
>
> When you include or exclude tablespaces in backup-based duplication *without* a target connection or *without* a target and a recovery catalog connection, RMAN has special prerequisites. See the Prerequisites section of the `DUPLICATE` command in *Oracle Database Backup and Recovery Reference*for details.

24.4.3.2 Restrictions on Duplicating a PDB to an Existing CDB

Duplicating a PDB to an existing CDB is subject to certain restrictions.

- Only active database duplication is supported.
- Only the following clauses of the `DUPLICATE` command are supported: `NORESUME`, `DB_FILE_NAME_CONVERT`, `SECTION SIZE`, and `USING COMPRESSED BACKUPSET`.
- The following clauses of the `DUPLICATE` command are not supported: `SPFILE`, `NO STANDBY`, `FARSYNC STANDBY`, and `LOG_FILE_NAME_CONVERT`.

- Duplicating a PDB to a CDB that is a standby database is not supported.
- Only one PDB can be duplicated at a time.
- Partial PDB duplication is not supported, only complete PDB duplication is supported. For example, you cannot include or exclude specific tablespaces while duplicating a PDB.
- Duplicating a non-CDB as a PDB in an existing CDB is not supported.
- Before duplicating a PDB that contains TDE encrypted tablespaces, ensure to export the PDB master keys from the source CDB, and import the keys into an existing target CDB. This method applies only if the source PDB is configured in united mode.

24.4.3.3 Export and Import Master Keys for a PDB Containing TDE Encrypted Tablespaces

Perform this prerequisite task before duplicating a PDB that contains encrypted tablespaces. Ensure that the source PDB has the keystore configured in united mode.

You can duplicate a PDB containing encrypted tablespaces to an existing CDB. Before you perform the duplicate operation, use this procedure to first export and then import the master encryption keys for the source PDB.

1. To export the master keys for the source PDB:
   a. Connect `SQL*Plus` to the CDB root as a common user who has the `ADMINISTER KEY MANAGEMENT` or `SYSKM` privilege.
   b. Set the current container to the source PDB that you want to duplicate.

      This command sets the current container to the source PDB `my_pdb` that contains encrypted tablespaces:

      ```
      SQL> ALTER SESSION SET CONTAINER=my_pdb;
      ```

   c. Run this command to export the TDE master encryption key of the source PDB:

      ```
      ADMINISTER KEY MANAGEMENT EXPORT ENCRYPTION KEYS
      WITH SECRET "export_secret" TO '/etc/TDE/export.exp'
      [FORCE KEYSTORE]
      IDENTIFIED BY password
      ```

      In this statement:

      - `export_secret` is a password that you can specify to encrypt the export file that contains the exported keys. Enclose this secret in double quotation marks (" "), or you can omit the quotation marks if the secret has no spaces.
      - `FORCE KEYSTORE` temporarily opens the password-protected keystore for this operation. You must open the keystore for this operation.
      - `IDENTIFIED BY` *password* is the password of the keystore that contains the keys.

      This statement exports the master encryption keys of the PDB `my_pdb` to a file called `export.exp`.
2. Import the exported TDE master encryption keys into the target keystore on the destination CDB.
   a. Connect `SQL*Plus` to the CDB root as a common user who has the `ADMINISTER KEY MANAGEMENT` or `SYSKM` privilege.

b. Run this command to import the TDE master encryption keys:

```
ADMINISTER KEY MANAGEMENT IMPORT ENCRYPTION KEYS
WITH SECRET "export_secret"
FROM '/etc/TDE/export.exp'
[FORCE KEYSTORE]
IDENTIFIED BY keystore_password
[WITH BACKUP [USING 'backup_identifier']]
```

In this statement:

- `WITH SECRET` specifies the same password that you have used to encrypt the keys during the export operation on the source CDB.
- The `FROM` clause specifies the *file_name*, which is the complete path and name of the file `export.exp` that contains the keys for the import operation.
- `FORCE KEYSTORE` temporarily opens the password-protected keystore for this operation. You must open the keystore for this operation.
- `IDENTIFIED BY` *keystore_password* is the password of the software keystore where the keys are being imported.
- Use `WITH BACKUP` to backup the target keystore before the import operation. *backup_identifier* is an optional string that you can provide to identify the keystore backup.

Related Topics

- Duplicating a PDB to an Existing CDB
  Use the `DUPLICATE` command to duplicate a PDB to an existing CDB.

24.4.3.4 Duplicating a PDB to an Existing CDB

Use the `DUPLICATE` command to duplicate a PDB to an existing CDB.

To duplicate a PDB to an existing CDB:

1. Ensure that the required prerequisites are met. This includes the following:
   - Prerequisites for active database duplication
   - Additional prerequisites for duplicating a PDB to an existing CDB

   See *Oracle Database Backup and Recovery Reference*.
2. Review the limitations of duplicating a PDB to an existing CDB, as described in "Restrictions on Duplicating a PDB to an Existing CDB".
3. Choose a strategy for naming duplicate database files, as described in "Choosing a Strategy for Naming Duplicate Database Files".
4. Create the directories that store the duplicate database files on the destination CDB, as described in "Creating Directories for the Duplicate Database".
5. Establish Oracle net connectivity between the source CDB and the destination CDB, as described in "Establishing Oracle Net Connectivity Between the Source Database and Auxiliary Instance".

6. Review the prerequisites to duplicate a PDB that contains encrypted tablespaces, as described in Export and Import Master Keys for a PDB Containing TDE Encrypted Tablespaces.
7. Start RMAN and make the following connections:
   - Connect `AS TARGET` to the root of the source CDB as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
   - Connect `AS AUXILIARY` to the root of the destination CDB as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
8. Ensure that the destination CDB is open in read-write mode.
9. Configure RMAN channels, if necessary, as described in "Configuring RMAN Channels for Use in Duplication".

   The primary task of duplication is performed by RMAN channels. Configuring additional channels improves the duplication performance.
10. Duplicate the PDB by using the `DUPLICATE PLUGGABLE DATABASE` command.

    The following command duplicates the PDB `mypdb` to an existing CDB `cdb_sales`.

    ```
    DUPLICATE PLUGGABLE DATABASE mypdb TO cdb_sales
    DB_FILE_NAME_CONVERT('cdb1','pdb1')
    FROM ACTIVE DATABASE
    SECTION SIZE 400M;
    ```

    To use a different name for the PDB in the destination (duplicate) database, use the `PLUGGABLE DATABASE mypdb AS pdb_dup TO cdb_sales` syntax.
11. Delete the foreign archived redo log files that were restored to the location specified by the `remote_recovery_file_dest` initialization parameter as part of the duplication.

> **Note:**
>
> Duplicating a PDB to an existing CDB is supported starting with Oracle Database Release 18c.

> **See Also:**
>
> - About Duplicating PDBs
> - Example: Duplicating a PDB to an Existing CDB by Using Active Duplication

24.4.3.5 Duplicating a PDB to a New CDB

Use the `DUPLICATE` command to duplicate one or more PDBs to a new CDB.

To duplicate a PDB to a new CDB:

1. Complete the planning tasks described in "Planning to Duplicate a Database" with the following change:

In "Making Backups Accessible to the Duplicate Instance" and "Making Disk Backups Accessible Without Shared Disk", note the following adjustment:

Use the `PLUGGABLE DATABASE` syntax of the `BACKUP` command to copy only the backups of a specific PDB.

For example, the following command transfers only the backups files for the PDB `pdb3`:

```
BACKUP COPY OF PLUGGABLE DATABASE pdb3;
```

2. Ensure that the prerequisites for the selected duplication technique are met, as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance", with the following changes:
   - You must create the auxiliary instance as a CDB. To do so, start the instance with the following declaration in the initialization parameter file:

     ```
     enable_pluggable_database=TRUE
     ```
   - When instructed to create an initialization parameter file for the auxiliary instance, you must copy the file from the source database. This ensures that the auxiliary instance is also a CDB. After you copy the file, perform the following steps:
     - Modify the `DB_NAME` parameter
     - Modify the various destination/location parameters
   - When instructed to connect to the necessary instances, connect to the root as a user with `SYSDBA` or `SYSBACKUP` privilege. On both instances, the password for the user performing the duplication must be the same.
4. Start RMAN and connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.

   On both the auxiliary instance and the target database, the password for the user performing the duplication must be the same.
5. Place source database in proper state, if necessary, as described in "Placing the Source Database in a Proper State".
6. Configure RMAN channels, if necessary, as described in "Configuring RMAN Channels for Use in Duplication".

   The primary task of duplication is performed by the RMAN channels. Configuring additional channels improves the duplication performance.
7. Duplicate the PDB by using the `DUPLICATE…PLUGGABLE DATABASE` command.

   When performing duplication without a target and recovery catalog connection, you must include the root in the list of PDBs being duplicated.

Examples: Duplicating PDBs

- To duplicate the PDB `pdb1` to the CDB `cdb1`, use the following command:

  ```
  DUPLICATE DATABASE TO cdb1 PLUGGABLE DATABASE pdb1;
  ```
- To duplicate the PDBs `pdb1`, `pdb3`, and `pdb4` to the database `cdb1`, use the following command:

  ```
  DUPLICATE DATABASE TO cdb1 PLUGGABLE DATABASE pdb1,pdb3,pdb4;
  ```

- To duplicate all the PDBs in the CDB, except the PDB `pdb3`, use the following command:

```
DUPLICATE DATABASE TO cdb1 SKIP PLUGGABLE DATABASE pdb3;
```

> **See Also:**
>
> About Duplicating PDBs

24.4.4 Duplicating Tablespaces Within a PDB to a New CDB

You can duplicate one or more tablespaces within a PDB to a new CDB by using the `DUPLICATE` command.

To duplicate tablespaces within a PDB:

1. Complete the planning tasks described in "Planning to Duplicate a Database" with the following change:

   In "Making Backups Accessible to the Duplicate Instance" and "Making Disk Backups Accessible Without Shared Disk", note the following adjustment:

   Use the `PLUGGABLE DATABASE` syntax of the `BACKUP` command to copy only the backups of a specific PDB.

   For example, the following command transfers only the backups files for the PDB `pdb3`:

   ```
   BACKUP COPY OF PLUGGABLE DATABASE pdb3;
   ```

2. Ensure that the prerequisites for the selected duplication technique are met, as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance", with the following changes:
   - You must create the auxiliary instance as a CDB. To do so, start the instance with the following declaration in the initialization parameter file:

     ```
     enable_pluggable_database=TRUE
     ```

   - When instructed to create an initialization parameter file for the auxiliary instance, you must copy the file from the source database. This ensures that the auxiliary instance is also a CDB. After you copy the file, perform the following steps:
     - Modify the `DB_NAME` parameter
     - Modify the various destination/location parameters
   - When instructed to connect to the necessary instances, connect to the root as a user with `SYSDBA` or `SYSBACKUP` privilege. On both instances, the password for the user performing the duplication must be the same.
4. Start RMAN and connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.

   On both the auxiliary instance and the target database, the password for the user performing the duplication must be the same.
5. Run the `DUPLICATE` command with the `TABLESPACE` option described in About Duplicating PDBs.

When performing duplication without a target and recovery catalog connection, you must include the root in the `PLUGGABLE DATABASE` clause.

Example 24-9 Duplicating a Tablespace with a PDB

This example duplicates the `users` tablespace that is part of PDB `pdb1` to a new CDB `dup_cdb`. Assume that a connection is established with the target database and a recovery catalog.

```
DUPLICATE DATABASE TO dup_cdb
    TABLESPACE pdb1:users;
```

Example 24-10 Duplicating a PDB and a Tablespace Within a PDB

This example duplicates the PDB `pdb1` and the `users` tablespace in PDB `pdb2` to a new CDB. Assume that a connection is established with the target database and a recovery catalog.

```
DUPLICATE DATABASE TO dup_cdb
    PLUGGABLE DATABASE pdb1 TABLESPACE pdb2:users;
```

Example 24-11 Duplicating a PDB, without a Recovery Catalog Connection

This example skips the tablespaces `tbs_arch` and `data`, from the PDB `pdb3`, while duplicating `pdb3` to a new CDB named `dup_cdb`. Because there is no connection to a recovery catalog and target database, the `root` must be included in the list of PDBs.

```
run
{
   DUPLICATE DATABASE TO dup_cdb
     PLUGGABLE DATABASE pdb3, root
     SKIP TABLESPACE PDB3:TBS_ARCH, PDB3:DATA
     BACKUP LOCATION '/tmp/2963778449/oracle/work/dup_cdb';
}
```

24.4.5 Duplicating an Oracle RAC Database

The steps to duplicate an Oracle Real Application Clusters (Oracle RAC) database contain minor variations from the ones used to duplicate databases.

1. Ensure that the prerequisites for the selected duplication technique are met.

   See Prerequisites for Duplicating a Database.

2. Complete the required planning tasks before you begin database duplication.

   See Planning to Duplicate a Database.

3. Prepare the auxiliary instance that is used when creating the duplicate database.

   While duplicating an Oracle Real Application Clusters (Oracle RAC) database, set the `CLUSTER_DATABASE` initialization parameter on the auxiliary database to FALSE. This parameter can be reset to TRUE after the duplication completes.

   See Preparing the Auxiliary Instance.

4. Start RMAN and connect to required databases. Depending on your duplication technique, you may need to connect to one or more of the following: target database, auxiliary instance, or recovery catalog.

   See Starting RMAN and Connecting to Databases.

5. Place the source database in a proper state (if necessary).

   See Placing the Source Database in a Proper State.

6. (Optional) Configure RMAN channels to improve duplication performance. Channels perform the primary task of duplicating the database.

   See Configuring RMAN Channels for Use in Duplication.

7. Use the `DUPLICATE` command to duplicate the source database.

   See Using the DUPLICATE Command to Duplicate Databases.

> **Note:**
>
> For more information about duplicating an Oracle RAC database, refer to My Oracle Support Note 1617946.1 at `https://support.oracle.com/rs?type=doc&id=1617946.1`

24.4.6 Duplicating Sparse Databases

The duplication process for a sparse database begins with an implicit restore and then completes duplicating the database containing sparse data files.

> **Note:**
>
> - The base (read-only) data files in a sparse database are not encrypted. Ensure that the base data files are stored in a protected storage and accessed using secured communications.
> - Active database duplication is not supported for sparse databases.

24.4.6.1 Duplicating a Whole Sparse CDB

Duplicate a whole sparse multitenant container database (CDB) using the `DUPLICATE` command.

Ensure that the backing file of the sparse data file is available for duplication.

To duplicate a sparse CDB:

1. Ensure that the prerequisites for the selected duplication technique are met, as described in "Prerequisites for Duplicating a Database".
2. Complete the planning tasks described in "Planning to Duplicate a Database" with the following change:

In "Making Backups Accessible to the Duplicate Instance" and "Making Disk Backups Accessible Without Shared Disk", note the following adjustment:

Use the `PLUGGABLE DATABASE` syntax of the `BACKUP` command to copy only the backups of a specific PDB.

The following command transfers the backup files for the entire CDB:

```
BACKUP COPY OF DATABASE;
```

The following command transfers only the backup files for the PDB `pdb3`:

```
BACKUP COPY OF PLUGGABLE DATABASE pdb3;
```

3. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance", with the following changes:
   - You must create the auxiliary instance as a CDB. To do so, start the instance with the following declaration in the initialization parameter file:

     ```
     enable_pluggable_database=TRUE
     ```
   - When instructed to create an initialization parameter file for the auxiliary instance, you must copy the file from the source database. This ensures that the auxiliary instance is also a CDB. After you copy the file, perform the following steps:
     - Modify the `DB_NAME` parameter
     - Modify the various destination/location parameters
   - When instructed to connect to the necessary instances, connect to the root as a user with `SYSDBA` or `SYSBACKUP` privilege. On both instances, the password for the user performing the duplication must be the same.
   - While duplicating an Oracle Real Application Clusters (Oracle RAC) database, set the `CLUSTER_DATABASE` initialization parameter on the auxiliary database to FALSE. This parameter can be reset to TRUE after the duplication completes.
4. Start RMAN and connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.

   On both the auxiliary instance and the target database, the password for the user performing the duplication must be the same.
5. Place the source database in a proper state, if necessary, as described in "Placing the Source Database in a Proper State".
6. (Optional) Configure RMAN channels, if necessary, as described in "Configuring RMAN Channels for Use in Duplication".

   The primary task of duplication is performed by RMAN channels. Configuring additional channels improves the duplication performance.
7. Run the `DUPLICATE` command with the `FROM SPARSE` option.

   For example, the following command duplicates the CDB on to `cdb2`:

   ```
   DUPICATE FROM SPARSE TO cdb2 DATABASE;
   ```

24.4.6.2 Duplicating Sparse PDBs

Use the `DUPLICATE` command to duplicate sparse pluggable databases (PDBs).

To duplicate a sparse PDB:

1. Complete the planning tasks described in "Planning to Duplicate a Database" with the following change:

   In "Making Backups Accessible to the Duplicate Instance" and "Making Disk Backups Accessible Without Shared Disk", use the `PLUGGABLE DATABASE` syntax of the `BACKUP` command to copy only the backups of a specific PDB.

   For example, the following command transfers only the backups files for the PDB `pdb3`:

   ```
   BACKUP COPY OF PLUGGABLE DATABASE pdb3;
   ```

2. Ensure that the prerequisites for the selected duplication technique are met as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance as described in "Preparing the Auxiliary Instance", with the following changes:
   - Create the auxiliary instance as a CDB. To do so, start the instance with the following declaration in the initialization parameter file:

     ```
     enable_pluggable_database=TRUE
     ```

   - When instructed to create an initialization parameter file for the auxiliary instance, you must copy the file from the source database. This ensures that the auxiliary instance is also a CDB. After copying, perform the following steps:
     - Modify the `DB_NAME` parameter
     - Modify the various destination/location parameters
   - When instructed to connect to the necessary instances, connect to the root as a user with `SYSDBA` or `SYSBACKUP` privilege. On both instances, the password for the user performing the duplication must be the same.
4. Start RMAN and connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.

   On both the auxiliary instance and the target database, the password for the user performing the duplication must be the same.
5. Place source database in proper state (if necessary) as described in "Placing the Source Database in a Proper State".
6. (Optional) Configure RMAN channels to improve duplication performance as described in "Configuring RMAN Channels for Use in Duplication".
7. Run the `DUPLICATE` command with the `PLUGGABLE DATABASE` and `FROM SPARSE` options.

   To duplicate PDB `pdb1` to CDB `cdb2`, run the following command:

   ```
   DUPLICATE FROM SPARSE to cdb2 PLUGGABLE DATABASE pdb1;
   ```

> **Note:**
>
> The base (read-only) data files in a sparse database are not encrypted. Ensure that the base data files are stored in a protected storage and accessed using secured communications.

24.5 Duplicating Databases to Oracle Cloud

Use the `DUPLICATE` command to duplicate an on-premise database to Oracle Cloud. Both backup-based and active duplication are supported.

Oracle databases on Oracle Cloud are always encrypted. Therefore, when you duplicate a database or part of a database to Oracle Cloud, any tablespaces created in Oracle Cloud are encrypted even if no encryption clause is specified during duplication.

The `COMPATIBLE` parameter of the source and Oracle Cloud database must be set to 18.0.0 or higher.

1. Ensure that the prerequisites for the selected duplication technique are met. It is recommended that you use active database duplication.

   See "Prerequisites for Duplicating a Database".

2. Configure the Oracle Database Cloud Backup Module. This backup module is an SBT interface that enables you to perform backup and recovery operations to Oracle Cloud.

   See *Oracle Cloud Using Oracle Database Backup Service* for information about installing the Oracle Database Cloud Backup Module

3. Complete the planning tasks, as described in "Planning to Duplicate a Database".

4. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance", with the following changes when duplicating CDBs:

   - You must create the auxiliary instance as a CDB. To do so, start the instance with the following declaration in the initialization parameter file:

     ```
     enable_pluggable_database=TRUE
     ```

   - When instructed to create an initialization parameter file for the auxiliary instance, you must copy the file from the source database. This ensures that the auxiliary instance is also a CDB. After you copy the file, perform the following steps:
     - Modify the `DB_NAME` parameter
     - Modify the various destination/location parameters
   - Start the auxiliary instance in `NOMOUNT` mode.

> **Note:**
>
> Because the auxiliary instance must be created on Oracle Cloud, the Oracle Cloud administrator must perform the steps to prepare the auxiliary instance.

5. Start RMAN and connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.

   On both the auxiliary instance and the target database, the password for the user performing the duplication must be the same.

6. If the source CDB uses encryption, then open the Oracle keystore that contains the master key on the source CDB.

7. Configure RMAN channels, if necessary, as described in "Configuring RMAN Channels for Use in Duplication".

   The RMAN channels perform the primary task of duplication. Configuring additional channels improves the duplication performance.

8. On the destination CDB, open the Oracle keystore from the source CDB.

   If the destination CDB uses a password-based software keystore, then you must specify the password used to open this keystore. The following command sets the password used to open a password-based software keystore (replace *password* with your keystore password):

   ```
   SET DECRYPTION WALLET OPEN IDENTIFIED BY 'password';
   ```

9. Use the `DUPLICATE` command to duplicate the source CDB.

   See "Using the DUPLICATE Command to Duplicate Databases".

> **Note:**
>
> Using duplication to create a standby database to Oracle Cloud is not supported.

> **See Also:**
>
> RMAN Duplicate from an Active Database for more details about duplicating databases to Oracle Cloud Infrastructure

24.6 Duplicating an Oracle Cloud Database as an On-premise Database

Use the `DUPLICATE` command to duplicate an Oracle Cloud Database as an on-premise database. Both backup-based and active duplication are supported.

Oracle Cloud databases are always encrypted. When you duplicate a database from Oracle Cloud, the Oracle keystore that stores the master key must be copied to the on-premise

database. This key is required to decrypt the data files that are duplicated from the Oracle Cloud database. The duplicate database may or may not use encryption.

> **Note:**
>
> Duplicating `AS STANDBY` by using `AS ENCRYPTED` or `AS DECRYPTED` is not supported.

The `COMPATIBLE` parameter of the source and destination database must be set to 18.0.0 or higher.

1. Ensure that the prerequisites for the selected duplication technique are met, as described in "Prerequisites for Duplicating a Database". It is recommended that you use active database duplication.
2. Configure the Oracle Database Cloud Backup Module. This backup module is an SBT interface that enables you to perform backup and recovery operations to Oracle Cloud.

   See *Oracle Cloud Using Oracle Database Backup Service* for information about installing the Oracle Database Cloud Backup Module
3. Complete the planning tasks described in "Planning to Duplicate a Database".
4. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance", with the following changes:
   - You must create the auxiliary instance as a CDB. To do so, start the instance with the following declaration in the initialization parameter file:

     ```
     enable_pluggable_database=TRUE
     ```
   - When instructed to create an initialization parameter file for the auxiliary instance, you must copy the file from the source database. This ensures that the auxiliary instance is also a CDB. After you copy the file, perform the following steps:
     - Modify the `DB_NAME` parameter
     - Modify the various destination/location parameters
   - When instructed to connect to the necessary instances, start RMAN and connect to the root as a common user with `SYSDBA` or `SYSBACKUP` privilege. On both instances, the password for the user performing the duplication must be the same.
5. Copy the Oracle keystore from Oracle Cloud to the auxiliary instance.

   The auxiliary instance needs to decrypt the data files from Oracle Cloud before encrypting them again by using the Oracle keystore in the Oracle Cloud database.
6. Configure RMAN channels, if necessary, as described in "Configuring RMAN Channels for Use in Duplication".

   The primary task of duplication is performed by RMAN channels. Configuring additional channels improves the duplication performance.
7. On the auxiliary instance, open the Oracle keystore that was copied from the source Oracle Cloud database.

If the destination CDB uses a password-based software keystore, then you must specify the password used to open this keystore. The following command sets the password used to open a password-based software keystore (replace *password* with your keystore password):

```
SET DECRYPTION WALLET OPEN IDENTIFIED BY 'password';
```

8. Use the `DUPLICATE` command to duplicate the source CDB.

   To create a duplicate database that does not use encryption, use the `AS DECRYPTED` option in the `DUPLICATE` command. For example:

   ```
   DUPLICATE DATABASE TO my_cdb
   FROM ACTIVE DATABASE
   AS DECRYPTED;
   ```

   See "Using the DUPLICATE Command to Duplicate Databases".

> **Note:**
>
> Any tablespace in the PDB that was explicitly encrypted is not decrypted. Only tablespaces that were encrypted by a `DUPLICATE AS ENCRYPTED` command are decrypted.

24.7 Restarting DUPLICATE After a Failure

RMAN automatically optimizes a `DUPLICATE` command that is a repeat of a previously failed `DUPLICATE` command.

The repeat `DUPLICATE` command notices which data files were successfully copied earlier and does not copy them again. This applies to all forms of duplication, whether they are backup-based (with or without a target connection) or active database duplication. The automatic optimization of the `DUPLICATE` command can be especially useful when a failure occurs during the duplication of very large databases.

To restart a duplicate operation:

1. Exit RMAN.
2. Start SQL*Plus and connect to the auxiliary instance with `SYSDBA` or `SYSBACKUP` privilege. Start the auxiliary instance in `NOMOUNT` mode with the same `SPFILE` or `PFILE` specification that you used initially. If you omitted this specification initially, then omit it again here.

   This example starts the auxiliary instance by using the parameters in the file `/home/my_pfile.ora`:

   ```
   STARTUP FORCE PFILE=/home/my_pfile.ora
   ```

3. Exit SQL*Plus and start RMAN.
4. Connect to the same databases as initially.
5. Repeat the `DUPLICATE` command.

   The second `DUPLICATE` operation:

- Locates the data files that were successfully duplicated by the initial `DUPLICATE` command.
- Displays a message similar to the following for each data file that it does not need to duplicate again:

```
RMAN-05560: Using previous duplicated file /oradata/new/data01.f
for datafile 1 with checkpoint SCN of 1654665
```

- Restores only the missing or incomplete data files, thereby avoiding recopying and restoring all the data files.

If you do not want RMAN to automatically recover from a failed `DUPLICATE` operation, specify the keyword `NORESUME` to disable the functionality. Using the keyword `NORESUME` in the first invocation of `DUPLICATE` prevents a subsequent `DUPLICATE` command for the new database from using this automatic optimization.

24.8 Examples: Duplicating Databases

This section contains examples on duplicating databases by using different duplication techniques.

See Also:

- Example: Duplicating a Database to a Remote ASM Host by Using Active Database Duplication with Backup Sets
- Example: Duplicating a Database to a Remote Host by Using Active Database Duplication with Image Copies
- Example: Duplicating a Database to a Remote Host by Using Backup-based Duplication without a Target Connection or Recovery Catalog
- Example: Duplicating a Database to a Remote Host by Using Backup-Based Duplication with a Recovery Catalog
- Example: Duplicating a Database to a Remote Host by Using Backup-based Duplication with a Target Connection
- Example: Duplicating a Database to the Local Host by Using Active Database Duplication
- Example: Duplicating PDBs to a New CDB by Using Active Database Duplication
- Example: Duplicating a PDB to an Existing CDB by Using Active Duplication
- Example: Performing Backup-based Duplication by Using Encrypted Backups

24.8.1 Example: Duplicating a Database to a Remote ASM Host by Using Active Database Duplication with Backup Sets

This example describes how to use active database duplication to duplicate a database to a remote ASM host.

This example assumes the following scenario:

- The source host and the destination host are different.
- Both the source database and the duplicate database manage database files by using ASM.
- The duplicate database files use a different directory structure than the source database.
- Network bandwidth on the source host is limited.
- The duplicate database must be opened after the duplication process completes.

Use the following steps to create a duplicate database for the scenario that is described in this example:

1. Plan the duplication, as described in "Planning to Duplicate a Database".

   This includes the following tasks:

   - Choose a duplication technique that suits the scenario and requirements.

     Because network bandwidth on the source host is limited, active database duplication by using backup sets is performed.

   - Choose a strategy to name duplicate database files.

     In this example, the `DB_CREATE_FILE_DEST` initialization parameter is used to specify the location of the duplicate database files.

   - Configure six auxiliary channels on the auxiliary instance, as described in "Configuring RMAN Channels for Use in Duplication".

     In this example, there are two target channels configured on the source database. For RMAN to use backup sets to perform active database duplication, the number of auxiliary channels must be equal to or greater than the number of target channels.

2. Ensure that the prerequisites for the chosen duplication technique are met, as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance".

   - Create the disk groups that will store the database files on the destination host.

     If it does not already exist, create the `+DGROUP2` disk group to store the duplicate database files.

   - Copy the password file from the source database to the destination database, as described in "Creating a Password File for the Auxiliary Instance".
   - Set up Oracle net services connectivity between the source database and the auxiliary instance by using a static listener.
   - Copy the source database software keystore to the destination host. Specify the password that must be used to open the password-based software keystore by using the `SET` command.

     See "Making the Oracle Keystore Available to the Destination Host".

- Start the auxiliary instance in `NOMOUNT` mode, as described in "Starting the Auxiliary Instance".

4. Start RMAN and connect to the source database as `TARGET` and to the auxiliary instance as `AUXILIARY`.

```
%rman
RMAN> CONNECT TARGET 'sys@srcdb as SYSBACKUP';
RMAN> CONNECT AUXILIARY 'sys@dupdb AS SYSBACKUP';
```

> **See Also:**
>
> For active database duplication, connection to the auxiliary instance must also use password file authentication.

5. Duplicate the database by using the `DUPLICATE` command.

   The `SPFILE` clause directs RMAN to copy the server parameter file from the source database to the auxiliary instance. Use the `DB_CREATE_FILE_DEST` parameter to specify the disk group that is used to store the duplicate database files in the duplicate database.

```
DUPLICATE DATABASE to dupdb
FROM ACTIVE DATABASE
PASSWORD FILE
SPFILE
SET DB_CREATE_FILE_DEST='+DGROUP2';
```

> **See Also:**
>
> Examples: Duplicating Databases

24.8.2 Example: Duplicating a Database to a Remote Host by Using Active Database Duplication with Image Copies

This example uses active database duplication with image copies to duplicate a database to a remote host.

This example assumes the following scenario:

- The source host and the destination host are different.
- The duplicate database files use a directory structure that is different from that of the source database.
- The source database and the duplicate database use Oracle Managed Files (OMF) to create database files.
- The source database must be available during the duplication process.
- The duplicate database must be opened after the duplication process completes.

Use the following steps to create a duplicate database for the scenario that is described in this example:

1. Plan the duplication, as described in "Planning to Duplicate a Database". This includes the following tasks:
   - Choose a duplication technique that suits the scenario and requirements.

     Since the bandwidth between the source and destination is limited, active database duplication by using image copies is performed.
   - Choose a strategy to name duplicate database files.

     In this example, the `DB_FILE_NAME_CONVERT` and `LOG_FILE_NAME_CONVERT` initialization parameters are used to specify how the source database file names are converted to duplicate database file names.
   - When you perform active database duplication by using image copies, RMAN uses image copies either if no auxiliary channels are configured or if the number of auxiliary channels is lesser than the number of target channels. Therefore, no additional channels need to be configured to perform active database duplication by using image copies.
2. Ensure that the prerequisites for the chosen duplication technique are met, as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance".
   - Create the directories that store the database files on the destination host.

     In this example, create the `/app/db_home2/database` directory to store the data files, control file, and server parameter file and the `/app/db_home2/logfiles` directory to store the online redo log files.
   - On the destination host, create a minimal initialization parameter file for the auxiliary instance. The file is called `initdup.ora` and is located in the `/app/db_home1/database` directory. It contains the following entries:

     ```
     DB_NAME=dup
     DB_DOMAIN = dup.example.com
     ```

     See "Creating an Initialization Parameter File for the Auxiliary Instance".
   - Copy the password file from the source database to destination host, as described in "Creating a Password File for the Auxiliary Instance"
   - Set up Oracle net services connectivity between the source database and the auxiliary instance by using a static listener, as described in "Establishing Oracle Net Connectivity Between the Source Database and Auxiliary Instance".
   - Start the auxiliary instance in `NOMOUNT` mode, as described in "Starting the Auxiliary Instance".
4. Start RMAN and connect to the source database as `TARGET` and to the auxiliary instance as `AUXILIARY`.

   ```
   % rman
   RMAN> CONNECT TARGET sys@srcdb as SYSDBA;
   RMAN> CONNECT AUXILIARY sys@dupdb AS SYSBACKUP;
   ```

In this example, a connection is established to the source database `srcdb` and the auxiliary instance `dupdb`. The net service name of the source database is `srcdb` and that of the auxiliary instance is `dupdb`.

> **See Also:**
>
> For active database duplication, connection to the auxiliary instance must also use password file authentication.

5. Duplicate the database by using the `DUPLICATE` command. Include the `SPFILE` clause with the `DB_FILE_NAME_CONVERT` and `LOG_FILE_NAME_CONVERT` parameters to specify that the server parameter file from the source database must be used for the auxiliary instance.

   The duplicate database files are stored in the duplicate database by using OMF-generated names. The `PARAMETER_VALUE_CONVERT` option of the `SPFILE` clause specifies that the path name `/app/db_home1` should be converted to `/app_db_home2`.

```
DUPLICATE DATABASE TO dupdb
FROM ACTIVE DATABASE
PASSWORD FILE
SPFILE PARAMETER_VALUE_CONVERT='/app/dbhome1','/app/db_home2'
SET db_file_name_convert='/app/dbhome1/dbs','/app/db_home2/database/
dbs'
SET log_file_name_convert='/app/dbhome1/log','/app/db_home2/
logfiles';
```

> **See Also:**
>
> Examples: Duplicating Databases

24.8.3 Example: Duplicating a Database to a Remote Host by Using Backup-based Duplication without a Target Connection or Recovery Catalog

This example describes how to perform duplication to a remote host by using backup-based duplication without a target connection or recovery catalog.

This example uses the following scenario:

- A complete backup of the source database including the control files, data files, and archived redo log files is available in the `/backups/db_files` directory on the destination host.
- A connection to the target database or recovery catalog is not available.
- The source host and destination host are different.

- The duplicate database uses a directory structure that is different from that used by the source database to store the duplicate database files. The data files and control file of the duplicate database files are stored in the `/oracle2/database` directory and the online redo logs files are stored in `/oracle2/database/logs` directory.
- The `DB_NAME` of the source database is `db12` and that of the duplicate database is `dup`.
- The duplicate database must be opened after the duplication process completes.

Use the following steps to create a duplicate database for the scenario that is described in this example:

1. Plan the duplication, as described in "Planning to Duplicate a Database". This includes the following tasks:
   - Choose a duplication technique that suits the scenario and requirements.

     In this example, backup-based duplication without a target connection or recovery catalog connection is performed. Therefore, we use the `BACKUP LOCATION` clause to specify the location of the source database backups.
   - Because the duplicate database uses a directory structure that is different from the source database, you must choose a strategy to generate duplicate database file names.

     In this example, the `SET NEWNAME FOR DATABASE` command specifies the location of the data files and control file. The `LOGFILE` clause of the `DUPLICATE` command specifies the location of the online redo log files.
   - Copy the required backups to the destination host by using the same directory structure used on the source database.

     In this example, the backups of the data files and archived redo log files must be stored in `/backups/db_files` and the backups of the control files and server parameter file in `/backups/cf` on the destination host.
2. Ensure that the prerequisites for the chosen duplication technique are met, as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance.
   - Create the directories that will store the duplicate database files on the destination host.

     In this example, you create the `/oracle2/database` directory to store the data files, control file, and server parameter file. Create the `/oracle2/database/logs` directory to store the online redo log files.
   - Create a minimal initialization parameter file for the auxiliary instance, as described in "Creating an Initialization Parameter File for the Auxiliary Instance".

     The file is called `initdup.ora` and is located in the `/oracle2/database` directory. In addition to any other specific settings, it must contain the following entries:

     ```
     DB_NAME = dup
     DB_DOMAIN = dupdb.example.com
     ```

   - Create a password file for the auxiliary instance by using the `orapwd` utility. A password file is required because the duplicate database is being created on a remote host.

     See "Creating a Password File for the Auxiliary Instance".

- Set up Oracle Net Services connectivity between the source database and the auxiliary instance by using a static listener, as described in "Establishing Oracle Net Connectivity Between the Source Database and Auxiliary Instance".

  This is required because this example duplicates a database to a remote host.
- Start the auxiliary instance in `NOMOUNT` mode, as described in "Starting the Auxiliary Instance".

4. Start RMAN and connect to the auxiliary instance as `AUXILIARY`.

```
%rman
RMAN> CONNECT AUXILIARY sys@dup AS SYSBACKUP;
```

   Enter the passwords when prompted.

5. Duplicate the database by using the `DUPLICATE` command.

   Include the `BACKUP LOCATION` clause to specify the location of the source database backups. Enclose the `SET NEWNAME FOR DATABASE` and `DUPLICATE` command within a `RUN` block. The `LOGFILE` clause specifies the names and location of the online redo log files.

```
run
{
set newname for database to '/oracle2/database/%b';
duplicate database 'db12' to 'dup'
logfile group 1 ('/oracle2/database/logs/r1.f','/ oracle2/database/
logs/r2.f') size 4m reuse,
        group 2 ('/ oracle2/database/logs/r3.f','/oracle2/database/
logs/r4.f') size 4m reuse
backup location '/backups/db_files';
}
```

> **See Also:**
>
> Examples: Duplicating Databases

24.8.4 Example: Duplicating a Database to a Remote Host by Using Backup-Based Duplication with a Recovery Catalog

This example describes how to perform duplication to a remote host by using backup-based duplication with a recovery catalog.

This example assumes the following scenario:

- A complete backup of the source database is available on the source host. The backups of the data files and archived redo log files are stored in `/scratch/backups/db_files`. The backups of the control files and server parameter file are stored in `/scratch/backups/cf`.

- A connection to the source database is not available, but a connection to the recovery catalog is available.
- The source host and destination host are different. The destination host used OMF and has the Oracle Database software installed.
- The duplicate database stores database files in a different directory structure than the source database. The database files of the duplicate database must be stored in the `/app/oracle2/dbs` directory.
- The `DB_NAME` of the source database is `ora` and its Net Service name is `oradb`. The `DB_NAME` of the duplicate database is `dup` and its Net Service name is `dupdb`.
- The read-only tablespaces in the source database must be excluded from the duplicate database.
- The duplicate database must not be opened after the duplication process completes.

Use the following steps to create a duplicate database for the scenario that is described in this example:

1. Plan the duplication. as described in "Planning to Duplicate a Database". This includes the following tasks:
   - Choose a duplication technique that suits the scenario and requirements.

     In this example, backup-based duplication using a recovery catalog connection is performed.
   - Choose a strategy to generate duplicate database file names.

     Since the duplicate database uses OMF, use the `DB_CREATE_FILE_DEST` parameter in the auxiliary instance's initialization parameter file to specify the directory in which the duplicate database files are stored.
   - Use the `NOOPEN` clause of the `DUPLICATE` command to specify that the duplicate database must not be opened using `RESETLOGS` after the duplication completes.
   - Copy the required backups to the destination host using the same directory structure used on the source database.

     In this example, the backups of the data files and archived redo log files must be stored in `/scratch/backups/db_files` and the backups of the control files and server parameter file in `/scratch/backups/cf` on the destination host.
2. Ensure that the prerequisites for the chosen duplication technique are met, as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance".
   - Create the directories that will store the duplicate database files on the destination host.

     In this example, you create the `/app/oracle2/dbs` directory to store the data files, control file, online redo log files, and server parameter file.
   - Create an initialization parameter file for the auxiliary instance. The file is called `initdup.ora` and is located in the `/app/oracle2/dbs` directory. In addition to any other specific settings, it must contain the following entries:

     ```
     DB_NAME=dup
     DB_DOMAIN = dupdb.example.com
     DB_CREATE_FILE_DEST= /app/oracle2/dbs
     ```

See "Creating an Initialization Parameter File for the Auxiliary Instance".

- Create a password file for the auxiliary instance by using the `orapwd` utility. A password file is required because the duplicate database is being created on a remote host.

  See "Creating a Password File for the Auxiliary Instance".

- Set up Oracle net services connectivity between the source database and the auxiliary instance using a static listener. This is required because this example duplicates a database to a remote host.

  See "Establishing Oracle Net Connectivity Between the Source Database and Auxiliary Instance".

- Start the auxiliary instance in `NOMOUNT` mode, as described in "Starting the Auxiliary Instance".

4. Start RMAN and connect the auxiliary instance as `AUXILIARY`. Connect to the recovery catalog as the recovery catalog owner. In this example, the recovery catalog owner is the user `rco`.

   ```
   %rman
   RMAN> CONNECT AUXILIARY 'sys@dupdb AS SYSBACKUP';
   RMAN> CONNECT CATALOG rco@catdb;
   ```

   Enter the passwords when prompted.

5. Duplicate the database by using the `DUPLICATE` command.

   Include the `SKIP READONLY` clause to exclude the read-only tablespaces from the duplicate database. Because there is no connection to a target database, you must specify the name of the target database that is being duplicated.

   ```
   DUPLICATE DATABASE db12 TO dup
   SKIP READONLY;
   ```

> **See Also:**
>
> Examples: Duplicating Databases

24.8.5 Example: Duplicating a Database to a Remote Host by Using Backup-based Duplication with a Target Connection

This example describes how to perform duplication to a remote host by using backup-based duplication with a target connection.

This example uses the following scenario:

- A complete backup of the source database including the control file, data files, and archived redo log files is available.
- A connection to the source database is available.
- The source host and destination host are different.

- The source database is configured to use transparent encryption with a password-based software keystore.
- The duplicate database uses the same directory structure and file names as the source database to store database files.

  On the source host, the data file, control files and server parameter file are stored in `/app/db_home1/database` and the online redo log files are stored in `/app/db_home1/logfiles`.
- The `DB_NAME` of the source database is `src` and its Net Service name is `srcdb`. The `DB_NAME` of the duplicate database is `dup` and its Net Service name is `dupdb`.
- On the source host, backups of the data files and archived redo log files are stored in `/bkups/oradata/db_files`. The backups of the control files and server parameter file are stored in `/bkups/oradata/cf`.
- The tablespaces `HR` and `SH` must be excluded from the duplicate database.

  The remaining tablespaces in the source database are self-contained and do not have links to the `hr` and `sh` tablespaces.
- The duplicate database must be opened after the duplication process completes.

Use the following steps to create a duplicate database for the scenario that is described in this example:

1. Plan the duplication, as described in "Planning to Duplicate a Database". This includes the following tasks:
   - Choose a duplication technique that is suitable for your scenario.

     In this example, backup-based duplication by using a target connection is performed.
   - Because the duplicate database uses the same directory structure as source database, you need not specify an alternative file naming strategy.

     However, use the `NOFILENAMECHECK` clause in the `DUPLICATE` command to prevent RMAN from checking if the data files and online redo logs files of the source database use the same names as that on the duplicate database.
   - Configure three additional auxiliary channels, as described in "Configuring RMAN Channels for Use in Duplication". Using additional auxiliary channels enhances the performance of the duplication process
   - Copy the required backups to the destination host using the same directory structure used on the source database.

     In this example, the backups of the data files and archived redo log files must be stored in the `/bkups/oradata/db_files` directory and the backups of the control file and server parameter file in the `/bkups/oradata/cf` directory on the destination host.
2. Ensure that the prerequisites for the chosen duplication technique are met, as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance".
   - Create the directories that store the duplicate database files on the destination host.

     In this example, the source database and the duplicate database use the same directory structure. Create the `/app/database` directory to store the data files, control file, and server parameter file and the `/app/logfiles` directory to store the online redo log files.

- Create a minimal initialization parameter file for the auxiliary instance. The file is called `initdup.ora` and is located in the `/app/database` directory. It contains the following entries:

  ```
  DB_NAME=dup
  DB_DOMAIN = dup.example.com
  ```

  See "Creating an Initialization Parameter File for the Auxiliary Instance".
- Create a password file for the auxiliary instance by copying the password file from the source database to the duplicate database, as described in "Creating a Password File for the Auxiliary Instance".
- Set up Oracle Net Services connectivity between the source database and the auxiliary instance by using a static listener, as described in "Establishing Oracle Net Connectivity Between the Source Database and Auxiliary Instance".
- Start the auxiliary instance in `NOMOUNT` mode, as described in "Starting the Auxiliary Instance"

4. Start RMAN and connect to the source database as `TARGET` and to the auxiliary instance as `AUXILIARY`. These connections are established from the destination host and the auxiliary connection uses operating system authentication.

   ```
   %rman
   RMAN> CONNECT TARGET sys@dupdb AS SYSBACKUP;
   RMAN> CONNECT AUXILIARY /
   ```

5. Duplicate the database by using the `DUPLICATE` command.

   Include the `SKIP TABLEPACE` clause to specify the tablespaces that must be omitted during the duplication process. Use the `SPFILE` clause to specify that the server parameter file from the source database must be restored and copied to the duplicate database.

   ```
   DUPLICATE DATABASE TO dup
   SPFILE
   SKIP TABLESPACE HR, SH
   NOFILENAMECHECK;
   ```

> **See Also:**
>
> Examples: Duplicating Databases

24.8.6 Example: Duplicating a Database to the Local Host by Using Active Database Duplication

This example uses active database duplication to duplicate a database to the local host.

This example assumes the following scenario:

- The source host and the destination host are the same.
- Both the source database and the duplicate database manage database files by using Oracle Managed Files (OMF).
- The duplicate database files use a different directory structure than the source database.
- The source database is run in `ARCHIVELOG` mode and is available during the duplication process.
- The service name of the source database `dbsrc` and that of the duplicate database is `dbdup`. The source database uses a server parameter file (spfile).

Use the following steps to create a duplicate database for the scenario that is described in this example:

1. Plan the duplication, as described in "Planning to Duplicate a Database".

   This includes the following tasks:

   - Choose a duplication technique that suits the scenario and requirements

     Because network bandwidth on the source host is limited, active database duplication by using backup sets is performed.

   - Choose a strategy to name duplicate database files

     In this example, the `DB_CREATE_FILE_DEST` initialization parameter is used to specify the location of the duplicate database files.

     To ensure that the source database files are not overwritten, do not include the `NOFILENAMECHECK` clause in the `DUPLICATE` command.

   - Configure auxiliary channels on the auxiliary instance

     In this example, there are three target channels configured on the source database. For RMAN to use backup sets to perform active database duplication, the number of auxiliary channels must be equal to or greater than the number of target channels.

     See "Configuring RMAN Channels for Use in Duplication".

2. Ensure that the prerequisites for the chosen duplication technique are met, as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance".

   - Create the directories that store the database files on the destination host

     In this example, create the `/app/db_home3/dbs` directory to store the data files, control file, and server parameter file and the `/app/db_home3/logfiles` directory to store the online redo log files.

   - Copy the password file from the source database to the duplicate database, as described in "Creating a Password File for the Auxiliary Instance".
   - Create an initialization parameter file for the auxiliary instance with the following minimum parameters: `DB_NAME`, `CONTROL_FILES`, `DB_CREATE_FILE_DEST`, and `LOG_CREATE_FILE_DEST`.
   - Set up Oracle net services connectivity between the source database and the auxiliary instance by using a static listener.
   - Start the auxiliary instance in `NOMOUNT` mode, as described in "Starting the Auxiliary Instance".

4. Start RMAN and connect to the source database as `TARGET` and to the auxiliary instance as `AUXILIARY`. Both connections user net service names.

```
%rman
RMAN> CONNECT TARGET sys@srcdb as SYSDBA;
RMAN> CONNECT AUXILIARY sys@dupdb AS SYSBACKUP;
```

Note: For active database duplication, the connection to the auxiliary instance must also use password file authentication.

5. Duplicate the database by using the `DUPLICATE` command.

```
DUPLICATE DATABASE to dbdup
FROM ACTIVE DATABASE;
```

> **See Also:**
>
> Examples: Duplicating Databases

24.8.7 Example: Duplicating PDBs to a New CDB by Using Active Database Duplication

This example describes how to use active database duplication to duplicate a PDB to a new CDB.

This example assumes the following scenario:

- The source host and the destination host are different.
- Both the source database and the duplicate database manage database files by using OMF.
- Network bandwidth on the source host is limited.
- The duplicate database files use a different directory structure than the source database.

Use the following steps to create a duplicate database for the scenario that is described in this example:

1. Plan the duplication, as described in "Planning to Duplicate a Database". This includes the following tasks:
   - Choose a duplication technique that suits the scenario and requirements.

     Because network bandwidth on the source host is limited, active database duplication by using backup sets is performed.
   - Choose a strategy to name duplicate database files.

     In this example, the `DB_FILE_NAME_CONVERT` and `LOG_FILE_NAME_CONVERT` initialization parameters are used to specify the location of the duplicate database files.
   - Configure four auxiliary channels on the auxiliary instance, as described in "Configuring RMAN Channels for Use in Duplication".

In this example, two target channels are configured on the source database. For RMAN to use backup sets to perform active database duplication, the number of auxiliary channels must be equal to or greater than the number of target channels.

2. Ensure that the prerequisites for the chosen duplication technique are met, as described in "Prerequisites for Duplicating a Database".
3. Prepare the auxiliary instance, as described in "Preparing the Auxiliary Instance".
   - Create the directories that store the database files on the destination host.

     In this example, create the `/app/dbhome3/database` directory to store the data files, control file, and server parameter file and the `/app/dbhome3/logfiles` directory to store the online redo log files.
   - On the destination host, create a minimal initialization parameter file for the auxiliary instance. The file is called `initdup.ora` and contains the following entries:

     ```
     ENABLE_PLUGGABLE_DATABASE=true
     DB_NAME=dup
     DB_DOMAIN = dup.example.com
     ```

   - Create the password file on the destination database by using the `PASSWORD FILE` option in the `DUPLICATE` command..
   - Set up Oracle net services connectivity between the source database and the auxiliary instance by using a static listener.
   - Start the auxiliary instance in `NOMOUNT` mode, as described in "Starting the Auxiliary Instance". Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
4. Start RMAN and connect to the root of the source database as `TARGET` and to the auxiliary instance as `AUXILIARY`.

   ```
   %rman
   RMAN> CONNECT TARGET sys@srcdb as SYSDBA;
   RMAN> CONNECT AUXILIARY sys@dupdb AS SYSBACKUP;
   ```

   Note: For active database duplication, connection to the auxiliary instance must also use password file authentication.
5. Ensure that the source CDB is open or mounted.
6. Duplicate the database by using the `DUPLICATE` command.

   The `SPFILE` option directs RMAN to copy the server parameter file from the source database to the auxiliary instance. Use the `DB_CREATE_FILE_DEST` parameter to specify the disk group that is used to store the duplicate database files in the duplicate database.

   ```
   DUPLICATE DATABASE to dupdb
   PLUGGABLE DATABASE my_pdb
   FROM ACTIVE DATABASE
   PASSWORD FILE
   SET DB_FILE_NAME_CONVERT='/app/dbhome/database','/app/dbhome3/database'
   SET LOG_FILE_NAME_CONVERT='/app/dbhome/logfiles','/app/dbhome3/logfiles';
   ```

> **See Also:**
>
> Examples: Duplicating Databases

24.8.8 Example: Duplicating a PDB to an Existing CDB by Using Active Duplication

This example describes how to use active database duplication to duplicate a PDB into an existing CDB.

The example assumes the following scenario:

- The source CDB, `cdb_src`, and the destination CDB, `cdb_dest`, are on different hosts.
- Both source and destination CDB use OMF to manage database files.
- The source and destination CDBs have compatible set to 18.0.0 or higher.
- The source CDB and the destination CDB use local undo.
- The PDB being duplicated, `my_pdb`, is in read-write mode.
- The source CDB and the destination CDB are open in read-write mode.
- The initialization parameter `REMOTE_RECOVERY_FILE_DEST` which determines the location to which foreign archived redo log files are restored is set for the destination CDB.

1. Plan the duplication, as described in "Planning to Duplicate a Database". This includes the following tasks:
   - Because the PDB is being duplicated to an existing CDB, the only duplication technique available is active duplication.
   - Choose a strategy to name duplicate database files.

     In this example, the `DB_FILE_NAME_CONVERT` initialization parameter is used to specify the location of the duplicate database files.
   - Configure four auxiliary channels on the destination CDB, as described in "Configuring RMAN Channels for Use in Duplication".

     In this example, there are three target channels configured on the source database. For RMAN to use backup sets to perform active database duplication, the number of auxiliary channels must be equal to or greater than the number of target channels.
2. Ensure that the prerequisites for active duplication and the additional prerequisites for duplicating a PDB to an existing CDB are met, as described in *Oracle Database Backup and Recovery Reference*.
3. Establish Oracle net connectivity between the source CDB and the destination CDB, as described in "Establishing Oracle Net Connectivity Between the Source Database and Auxiliary Instance".
4. Create the directories that will store the duplicate database files on the destination host, as described in "Creating Directories for the Duplicate Database".

5. Start RMAN and connect as `TARGET` to the root of the source CDB and as `AUXILIARY` to the root of the destination CDB.

```
%rman
RMAN> CONNECT TARGET sys@cdbsrc as SYSDBA;
RMAN> CONNECT AUXILIARY sys@cdbdup AS SYSBACKUP;
```

Note: For active database duplication, connection to the destination CDB must use password file authentication.

6. Duplicate the database by using the `DUPLICATE` command.

Use the `DB_CREATE_FILE_DEST` parameter to specify the disk group that is used to store the duplicate database files in the duplicate database.

```
DUPLICATE PLUGGABLE DATABASE my_pdb AS dup_pdb TO cdb_dest
FROM ACTIVE DATABASE
DB_FILE_NAME_CONVERT='/disk1/oracle/dbs','disk2/oracle/dbs';
```

7. Delete the foreign archived redo log files that were restored to the location specified by the `remote_recovery_file_dest` initialization parameter as part of the duplication.

24.8.9 Example: Performing Backup-based Duplication by Using Encrypted Backups

RMAN enables you to use the `DUPLICATE` command to perform backup-based duplication by using encrypted backups.

This example uses the following scenario:

- The source host and destination host are different.
- Both source and destination database use OMF to manage database file names. However, the duplicate database uses a directory structure that is different from that of the source database.
- Source database backups are encrypted by using transparent-mode encryption with the encryption key stored in a password-based software keystore. The keystore password is set up by using the following command (where *`password`* is a placeholder for the actual password that you enter):

```
ALTER SYSTEM SET ENCRYPTION KEY IDENTIFIED BY password;
```

- A complete backup of the source database including the control files, data files, and archived redo log files is stored in the `/oracle2/rman_backups` directory.
- The `DB_NAME` of source database is `db_src` and that of the duplicate database is `dup_db`.
- The `EXAMPLE` and `TOOLS` tablespaces must be excluded from the duplicate database.
- The duplicate database must be opened after the duplication process completes.

To perform backup-based database duplication by using encrypted backups:

1. Plan the duplication, as described in "Planning to Duplicate a Database". This includes the following tasks:
   a. Choose a duplication technique that suits the scenario and requirements.

In this example, backup-based duplication without target connection or recovery catalog connection is performed. The `BACKUP LOCATION` option is used to specify the location of the source database backups.

b. Choose a strategy to generate duplicate database file names.

   Because the duplicate database uses a directory structure that is different from the source database, use the `DB_CREATE_FILE_DEST` parameter in the auxiliary instance's initialization parameter file to specify the location in which duplicate database files are stored.

c. Copy the required backups to the destination host by using the same directory structure that was used on the source database.

2. Ensure that the prerequisites for the chosen duplication technique are met, as described in "Prerequisites for Duplicating a Database".

3. Prepare the auxiliary instance.

   a. Create the directories that store the database files on the destination host.

      In this example, create the `/app/db_home2/database` directory to store the data files, control file, and server parameter file and the `/app/db_home2/logfiles` directory to store the online redo log files.

   b. Create a minimal initialization parameter file for the auxiliary instance.

      The file is called `initdup.ora` and is located in the `/app/db_home2/database` directory. It contains the following entries:

      ```
      DB_NAME=dup_db
      DB_DOMAIN = dup.example.com
      DB_CREATE_FILE_DEST = /app/db_home2/database
      ```

      See "Creating an Initialization Parameter File for the Auxiliary Instance".

   c. Copy the password file from the source database to destination host, as described in "Creating a Password File for the Auxiliary Instance".

      A password file is required because the duplicate database is being created on a remote host.

   d. Set up Oracle net services connectivity between the source database and the auxiliary instance by using a static listener. This is required because this example duplicates a database to a remote host.

      See "Establishing Oracle Net Connectivity Between the Source Database and Auxiliary Instance".

   e. Start the auxiliary instance in `NOMOUNT` mode by using the parameter file `initdupdb.ora` created in Step 3b.

4. Start RMAN and connect to the source database as `TARGET` and to the auxiliary instance as `AUXILIARY`.

   ```
   %rman
   RMAN> CONNECT TARGET /
   RMAN> CONNECT AUXILIARY sys@dup_db AS SYSBACKUP
   ```

   Enter the passwords when prompted.

5. Because the source database backups used to duplicate the database are encrypted backups, specify the password that must be used to open the software

keystore that contains the encryption key (where *password* is a placeholder for the actual password that you enter).

```
SET DECRYPTION WALLET OPEN IDENTIFIED BY password;
```

Note that the password specified in the `SET` command must the same as the one that was set on the source database by using the `ALTER SYSTEM SET ENCRYPTION KEY` command.

6. Duplicate the database by using the `DUPLICATE` command.

   Before you perform the duplication, you must specify the password that must be used to decrypt the RMAN backups.

```
DUPLICATE TARGET DATABASE TO 'dup_db'
          SKIP TABLESPACE example, tools
          PFILE '/ app/db_home2/initdupdb.ora'
          BACKUP LOCATION '/oracle2/rman_backups';
```

> **See Also:**
>
> Examples: Duplicating Databases

24.9 Example: Script to Duplicate a Database Using Backup-based Duplication

This example shows how to use a script to automate the process of duplicating a target database.

This example assumes the following:

- The backups of the target database are available to the auxiliary instance.
- The connection to the RMAN recovery catalog that contains metadata for the target database is available (connection to the target database is not required).
- Both source and duplicate database use Oracle Managed Files (OMF).
- The operating system used is Linux or UNIX.
- The audit directory is created on the auxiliary database host.
- The prerequisites for backup-based duplication are met.

The script provided in this example performs the following tasks:

- Drops the auxiliary database.
- Backs up the target database.
- Creates a dummy auxiliary instance and opens it in `NOMOUNT` mode.
- Duplicates the target database by using the target database backups and metadata in the RMAN recovery catalog.

  The duplicate database control file is stored as `+REDO/`*`ORACLE_SID`*`/CONTROLFILE/cf3.ctl` and the data files are stored in the `+DATA` directory.
- Verifies that the required objects are created in the duplicate database.

To duplicate a target database by using backup-based duplication without a target connection:

1. Create a parameter file (pfile) for the auxiliary instance. The pfile contains only the DB_NAME initialization parameter, which is set to the SID of the duplicate database.

   The following pfile, called init_dup.ora and located in the /home/oracle directory, sets the DB_NAME parameter. Replace *dup_db* with the SID of your duplicate database:

   ```
   *.db_name = 'dup_db'
   ```

2. Use a text editor and create a Shell script (called dup_db.sh in this example) with the contents shown below and with the following modifications:

   - Replace the value of the ORACLE_HOME variable with the Oracle home directory of your auxiliary instance.
   - Replace the value of the logdir variable with the directory in which you want to store log files.
   - Replace the following placeholders (shown in Italics) with values appropriate to your duplication scenario:

     dup_db: SID and service name of the auxiliary instance

     tgt_db: SID and service name of the target database

     sys_pswd: Password for the SYS user of the target database

     rman_cat_user: Name of the RMAN catalog user

     cat_user_pswd: Password for the RMAN catalog user *rman_cat_user*

     rman_catalog_db: SID of the RMAN catalog database

     system_pswd: Password for the SYSTEM user in the target database
   - If you want to store the duplicate database control file using a name and location that is different from +REDO/*ORACLE_SID*/CONTROLFILE/cf3.ctl, then replace the value of control_files in the dup_aux_db function with a value that is appropriate for your duplication scenario.
   - If you want to store the duplicate data files in a directory that is different from +DATA, then replace the value of db_create_file_dest in the dup_aux_db function with a value that is appropriate for your duplication scenario.

   ```
   #!/bin/bash
   export ORACLE_HOME=/u01/app/oracle/product/11.2.0.4/dbhome_2
   export ORACLE_BASE=/uo1/app/oracle
   export ORACLE_SID=dup_db
   export PATH=$PATH:$HOME/bin:$ORACLE_HOME/bin:$ORACLE_HOME/Opatch
   export LD_LIBRARY_PATH=$ORACLE_HOME/lib:$ORACLE_HOME/rdbms/lib:/
   lib:/usr/lib;
   export LD_LIBRARY_PATH
   export logdir=/home/oracle/log
   export dt='date +%y%m%d%H%M%S'
   export NLS_DATE_FORMAT='DD-MM-YYYY HH24:MI:SS'

   function drop_aux_db {
   ```

```
export ORACLE_SID=dup_db
$ORACLE_HOME/bin/sqlplus -s '/ as sysdba' <<EOF2
set pagesize 999 linesize 999 heading off feedback off
select name, open_mode from v\$database;
shutdown immediate;
startup mount exclusive restrict;
drop database;
exit;
EOF2
}

echo "Backup the target database"
function backup_source_db {
$ORACLE_HOME/bin/rman target sys/sys_pswd@tgt_db catalog
rman_cat_user/cat_user_pswd@rman_catalog_db <<EOF
RUN {
backup as backupset cumulative incremental level 1 database include
current
controlfile plus archivelog not backed up delete input;}
exit;
EOF
}

sleep 120

echo "List the backup of the target database"
function check_source_db_backup {
$ORACLE_HOME/bin/rman target sys/sys_pswd@tgt_db catalog
rman_cat_user/cat_user_pswd@rman_catalog_db <<EOF
LIST BACKUP OF DATABASE COMPLETED AFTER '(SYSDATE-1/24)';
EOF
}

echo "Start the auxiliary database in FORCE NOMOUNT mode"
function nomount_aux_db {
export ORACLE_SID=dup_db
$ORACLE_HOME/bin/rman target / <<EOF2
startup force nomount pfile='/home/oracle/init_dup.ora';
exit;
EOF2
}

echo "Duplicate the target database"
function dup_aux_db {
export ORACLE_SID=dup_db
$ORACLE_HOME/bin/rman catalog rman_cat_user/cat_user_pswd@rman_catalog_db
AUXILIARY /
<<EOF
duplicate database tgt_db to dup_db spfile
set control_files '+REDO/${ORACLE_SID}/CONTROLFILE/cf3.ctl'
set db_create_file_dest '+DATA/' ;
exit;
EOF
}
```

```
echo "Check schema objects on the target"
function check_source_db {
$ORACLE_HOME/bin/sqlplus -s system/system_pswd@tgt_db <<EOF2
set pagesize 999 linesize 999 heading off feedback off
select name, open_mode from v\$database;
select table_name, num_rows from dba_tables where owner='SOE';
exit;
EOF2
}

echo "Check schema objects on the auxiliary"
function check_aux_db {
export ORACLE_SID=dup_db
$ORACLE_HOME/bin/sqlplus -s '/ as sysdba' <<EOF2
set pagesize 999 linesize 999 heading off feedback off
select name, open_mode from v\$database;
select table_name, num_rows from dba_tables where owner='SOE';
exit;
EOF2
}

drop_aux_db
backup_source_db
check_source_db_backup
nomount_aux_db
dup_aux_db
check_source_db
check_aux_db
```

3. Set execute permissions for the script `dup_db.sh` by using the `chmod` command.

   ```
   $ chmod +x dup_db.sh
   ```

4. On the duplicate host (that hosts the duplicate database), run the `dup_db.sh` script.

   The following command runs the `dup_db.sh` script that is stored in the `/home/my_scripts/duplication` directory:

   ```
   $./home/my_scripts/duplication/dup_db.sh
   ```

25
Duplicating Databases: Advanced Topics

Advanced forms of database duplication include methods of specifying alternative names for duplicate database files.

25.1 Specifying Alternative Names for Duplicate Database Files

If the source database and duplicate database do not use the same names for database files, then you must choose an alternative naming strategy for the duplicate files.

Depending on whether the source and duplicate databases use Oracle Managed Files (OMF) or Oracle Automatic Storage Management (ASM), use one of the following strategies:

- Specifying Non-OMF or Non-ASM Alternative Names for Duplicate Database Files
- Using the CONFIGURE AUXNAME Command to Name File System Data Files and OMF or ASM Target Data Files

  If the source data files use OMF, then you cannot rename them by using the `DB_FILE_NAME_CONVERT` initialization parameter. Using Non-ASM Storage discusses the details and options of OMF-managed data files.

25.1.1 Specifying Non-OMF or Non-ASM Alternative Names for Duplicate Database Files

When the source and duplicate database either use different directory structures or use the same structure but you want to name the duplicate files differently, then you must specify how duplicate database files must be named.

Table 25-1 summarizes the formats that are available for naming each type of file.

Table 25-1 Substitution Variables for the SET NEWNAME Command

Variable	Description
`%b`	Specifies the file name stripped of directory paths. For example, if a data file is named `/oradata/prod/financial.dbf`, then `%b` results in `financial.dbf`.
`%f`	Specifies the absolute file number of the data file for which the new name is generated. For example, if data file 2 is duplicated, then `%f` generates the value 2.
`%I`	Specifies the DBID.
`%N`	Specifies the tablespace name.
`%U`	Specifies the following format: `data-D-%d_id-%I_TS-%N_FNO-%f`

Use one of the following techniques to provide alternate names for non-OMF or non-ASM duplicate database files:

- Using the SET NEWNAME Command to Name File System Data Files and Temp Files
- Using the CONFIGURE AUXNAME Command to Name File System Data Files and OMF or ASM Target Data Files

25.1.1.1 Using the SET NEWNAME Command to Name File System Data Files and Temp Files

Use the `SET NEWNAME` command before you execute the `DUPLICATE` command to name duplicate data files.

RMAN supports the following commands, listed in order of precedence:

1. `SET NEWNAME FOR DATAFILE` and `SET NEWNAME FOR TEMPFILE`
2. `SET NEWNAME FOR TABLESPACE`
3. `SET NEWNAME FOR DATABASE`

The order of precedence means that the `SET NEWNAME FOR TABLESPACE` command specifies names for files that are not named by the `SET NEWNAME FOR DATAFILE` and `SET NEWNAME FOR TEMPFILE` commands, whereas the `SET NEWNAME FOR DATABASE` command specifies names for files that are not named by the `SET NEWNAME FOR TABLESPACE`, `SET NEWNAME FOR DATAFILE`, or `SET NEWNAME FOR TEMPFILE` commands.

When yo use the `SET NEWNAME FOR DATAFILE` command, you can specify a full path as a literal, as in `/oradata1/system01.dbf`. However, when you use the `SET` command with the `FOR DATABASE` or `FOR TABLESPACE` options, you must use at least one of these substitution variables, described in Table 25-1: `%b`, `%f`, `%U`(`%I` and `%N` are optional).

To use the `SET NEWNAME` command to specify new file names:

1. Ensure that the prerequisites for the selected duplication technique are met.

   See "Prerequisites for Duplicating a Database".
2. Complete the required planning tasks before you begin database duplication.

   See "Planning to Duplicate a Database".
3. Prepare the auxiliary instance that is used when you create the duplicate database.

   While duplicating an Oracle Real Application Clusters (Oracle RAC) database, set the `CLUSTER_DATABASE` initialization parameter on the auxiliary database to `FALSE`. This parameter can be reset to `TRUE` after the duplication process completes.

   See "Preparing the Auxiliary Instance".
4. Start RMAN and connect to required databases. Depending on your duplication technique, you may need to connect to one or more of the following: target database, auxiliary instance, or recovery catalog.

   See "Starting RMAN and Connecting to Databases".
5. Place the source database in a proper state, if necessary.

   See "Placing the Source Database in a Proper State".
6. (Optional) Configure RMAN channels to improve duplication performance. Channels perform the primary task of duplicating the database.

See "Configuring RMAN Channels for Use in Duplication".

7. Within a `RUN` command, issue the `SET NEWNAME` command before you issue the `DUPLICATE` command.

Example 25-1 Duplicating Databases with the SET NEWNAME FOR DATAFILE Command

This example illustrates a script that specifies new names for data files 1 through 5 and temp file 1. The script does not set a new name for data file 6 because it is in the `TOOLS` tablespace, which is excluded from the duplicate database.

Assume the following:

- DBID is 87650928
- Database name is `PROD`

Use the following command to duplicate the database:

```
RUN
{
SET NEWNAME FOR DATAFILE 1 TO '/oradata1/system01.dbf';
SET NEWNAME FOR DATAFILE 2 TO '/oradata2/sysaux01.dbf';
SET NEWNAME FOR DATAFILE 3 TO '/oradata3/undotbs01.dbf';
SET NEWNAME FOR DATAFILE 4 TO '/oradata4/users01.dbf';
SET NEWNAME FOR DATAFILE 5 TO '/oradata5/users02.dbf';
SET NEWNAME FOR TEMPFILE 1 TO '/oradatat/temp01.dbf';
DUPLICATE TARGET DATABASE TO dupdb
  SKIP TABLESPACE tools
  LOGFILE
     GROUP 1 ('/duplogs/redo01a.log','/duplogs/redo01b.log') SIZE 4M REUSE,
     GROUP 2 ('/duplogs/redo02a.log', '/duplogs/redo02b.log') SIZE 4M REUSE;
}
```

Example 25-2 Duplicating Databases with the SET NEWNAME FOR DATAFILE and SET NEWNAME FOR TABLESPACE Commands

This example is a variation of Example 25-1 and uses a single `SET NEWNAME` command to name all data files in the tablespace `users`. After the example completes, the file names for tablespace `users` are set to: `/oradata4/users01.dbf` and `/oradata5/users02.dbf`.

Use the following command to duplicate the database:

```
{
SET NEWNAME FOR TABLESPACE users TO '/oradata%f/%b';
SET NEWNAME FOR DATAFILE 1 TO '/oradata1/system01.dbf';
SET NEWNAME FOR DATAFILE 2 TO '/oradata2/sysaux01.dbf';
SET NEWNAME FOR DATAFILE 3 TO '/oradata3/undotbs01.dbf';
SET NEWNAME FOR TEMPFILE 1 TO '/oradatat/temp01.dbf';
DUPLICATE TARGET DATABASE TO dupdb
SKIP TABLESPACE tools
LOGFILE
   GROUP 1 ('/duplogs/redo01a.log','/duplogs/redo01b.log') SIZE 4M REUSE,
   GROUP 2 ('/duplogs/redo02a.log','/duplogs/redo02b.log') SIZE 4M REUSE;
}
```

Example 25-3 Duplicating Database with the SET NEWNAME FOR DATABASE Command

This example is a variation of Example 25-1 and uses a single `SET` command to name all data files in the database.

Use the following command to duplicate the database:

```
RUN
{
SET NEWNAME FOR DATABASE TO '/oradata/%U';
DUPLICATE TARGET DATABASE TO dupdb
  SKIP TABLESPACE tools
  LOGFILE
    GROUP 1 ('/duplogs/redo01a.log','/duplogs/redo01b.log') SIZE 4M
REUSE,
    GROUP 2 ('/duplogs/redo02a.log','/duplogs/redo02b.log') SIZE 4M
REUSE;
}
```

The following table shows the results from this example.

Table 25-2 Results for the SET NEWNAME DATABASE Command

Before SET NEWNAME DATABASE	Tablespace Name	Data File Number	After SET NEWNAME DATABASE TO '/oradata/%U';
.../system01.dbf	SYSTEM	1	/oradata/data-D-PROD_id-87650928_TS-SYSTEM_FNO-1
.../sysaux01.dbf	SYSAUX	2	/oradata/data-D-PROD_id-87650928_TS-SYSAUX_FNO-2
.../undotbs01.dbf	UNDOTS	3	/oradata/data-D-PROD_id-87650928_TS-UNDOTS_FNO-3
.../users01.dbf	USERS	4	/oradata/data-D-PROD_id-87650928_TS-USERS_FNO-4
.../users02.dbf	USERS	5	/oradata/data-D-PROD_id-87650928_TS-USERS_FNO-5
.../temp01.dbf	TEMP	1	/oradata/data-D-PROD_id-87650928_TS-TEMP_FNO-1

See Also:

Oracle Database Backup and Recovery Reference for details on substitution variables usable in `SET NEWNAME`

25.1.1.2 Using the CONFIGURE AUXNAME Command to Name File System Data Files and OMF or ASM Target Data Files

The `CONFIGURE AUXNAME` command is an alternative to the `SET NEWNAME` command. The difference is that after you configure the auxiliary name the first time, additional `DUPLICATE` commands reuse the configured settings. In contrast, you must reissue the `SET NEWNAME` command every time you execute the `DUPLICATE` command.

To use the `CONFIGURE AUXNAME` command to specify names for duplicate data files:

1. Issue a `CONFIGURE AUXNAME` command for each file to name in the duplicate database.

   For example, enter the following commands at the RMAN prompt to specify names for files data files 1 through 5:

   ```
   CONFIGURE AUXNAME FOR DATAFILE 1 TO '/oradata1/system01.dbf';
   CONFIGURE AUXNAME FOR DATAFILE 2 TO '/oradata2/sysaux01.dbf';
   CONFIGURE AUXNAME FOR DATAFILE 3 TO '/oradata3/undotbs01.dbf';
   CONFIGURE AUXNAME FOR DATAFILE 4 TO '/oradata4/users01.dbf';
   CONFIGURE AUXNAME FOR DATAFILE 5 TO '/oradata5/users02.dbf';
   ```

2. Issue a `DUPLICATE` command.

   For example, enter the following command at the RMAN prompt:

   ```
   SET NEWNAME FOR TEMPFILE 1 TO '/oradatat/temp01.dbf';
   DUPLICATE TARGET DATABASE
     TO dupdb
     SKIP TABLESPACE tools
     LOGFILE
        GROUP 1 ('/duplogs/redo01a.log','/duplogs/redo01b.log') SIZE 4M
   REUSE,
        GROUP 2 ('/duplogs/redo02a.log','/duplogs/redo02b.log') SIZE 4M
   REUSE;
   ```

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for details on using `CONFIGURE AUXNAME`

25.1.2 Specifying OMF or ASM Alternative Names for Duplicate Database Files

You must specify how to name duplicate database files when the source database and duplicate database do not use the same names for database files.

The following sections discuss requirements and procedures for creating a duplicate database when some or all files of the duplicate database use OMF or ASM:

- Settings and Restrictions for OMF Initialization Parameters

- Setting Initialization Parameters for ASM
- Examples: Duplicating Databases to ASM
- Using the SET NEWNAME Command to Create OMF or ASM Files
- Using the DB_FILE_NAME_CONVERT Parameter to Generate Names for Non-OMF or ASM Data Files
- Using the LOG_FILE_NAME_CONVERT Parameter to Generate Names for Non-OMF or ASM Log Files

> **See Also:**
>
> *Oracle Automatic Storage Management Administrator's Guide* for an introduction to ASM and OMF

25.1.2.1 Settings and Restrictions for OMF Initialization Parameters

When you create a duplicate database that uses OMF, you must set initialization parameters in the auxiliary instance. If you use the `SPFILE` option of the `DUPLICATE` command to name the files, then you can set initialization parameters in the `SPFILE` option.

Table 25-3 describes the relevant parameters and recommended settings.

Table 25-3 Initialization Parameters for Oracle Managed Files

Initialization Parameter	Purpose	Recommendation
`DB_CREATE_FILE_DEST`	Specifies the default location for Oracle managed data files. This location is also the default location for Oracle managed control files and online logs if no `DB_CREATE_ONLINE_LOG_DEST` initialization parameters are specified.	Set this parameter to the location for OMF. Any database files that have no location specified are created in the directory specified by the `DB_CREATE_FILE_DEST` parameter. You can override the default for specific files by using the `SET NEWNAME` command, as described in "Using the SET NEWNAME Command to Create OMF or ASM Files".
`DB_CREATE_ONLINE_LOG_DEST_`*n*	Specifies the default location for Oracle managed control files and online redo logs. If multiple parameters are set, then one control file and one online redo log is created in each location.	Set these parameters (_1, _2, and so on) only if you want to multiplex the control files and online redo log files in multiple locations.
`DB_RECOVERY_FILE_DEST`	Specifies the default location for the fast recovery area. The fast recovery area contains multiplexed copies of current control files and online redo log files.	Set this parameter if you want a multiplexed copy of the control file and online redo log file in the recovery area.

Table 25-4 lists the restrictions on setting other initialization parameters.

Table 25-4 Initialization Parameter Restrictions for Oracle Managed Files

Initialization Parameter	Purpose	Restriction
CONTROL_FILES	Specifies one or more names of control files, separated by commas.	Do not set this parameter if you want the duplicate database control files in an OMF format. When you use control files in an OMF format, Oracle recommends that you use a server parameter file at the duplicate database .
DB_FILE_NAME_CONVERT	Converts the file name of a new data file on the primary database to a file name on the duplicate database.	Do not set this parameter. Omitting this parameter enables the database to generate valid Oracle managed file names for the duplicate data files.
LOG_FILE_NAME_CONVERT	Converts the file name of a new log file on the primary database to the file name of a log file on the standby database.	Do not set this parameter. Omitting this parameter allows the database to generate valid Oracle managed online redo log file names. To direct duplicate database online redo log files to Oracle managed storage, you can use the DB_CREATE_FILE_DEST, DB_RECOVERY_FILE_DEST, or DB_CREATE_ONLINE_LOG_DEST_*n* initialization parameters to identify an Oracle managed location for the online logs.

25.1.2.2 Setting Initialization Parameters for ASM

You must identify the initialization parameters that control the location where files are created and set these parameters to an ASM disk group.

The procedure for creating a duplicate database to an ASM location is similar to that of creating a duplicate database to OMF. For example, set the DB_CREATE_FILE_DEST, DB_CREATE_ONLINE_DEST_*n*, and CONTROL_FILES parameters to +DISK1.

> **See Also:**
>
> Settings and Restrictions for OMF Initialization Parameters

25.1.2.3 Examples: Duplicating Databases to ASM

This section contains examples on duplicating databases to ASM.

Example 25-4 Duplicating a Database from a File System to ASM

In this example, you use active database duplication. If the source database uses a server parameter file (or a backup is available), then you can create a temporary initialization parameter file on the destination host and set only the DB_NAME parameter.

Assume that the source database `prod` is on `host1` and stores its data files in a non-ASM file system. The control files for `prod` are located in `/oracle/oradata/prod/`. You want to duplicate the source database to database `dupdb` on remote host `host2`. You want to store the duplicate database files in ASM disk group `+DISK1`.

After connecting RMAN to the target, duplicate, and recovery catalog databases, run the following RMAN script to duplicate the database.

```
DUPLICATE TARGET DATABASE TO dupdb
FROM ACTIVE DATABASE
SPFILE
PARAMETER_VALUE_CONVERT '/oracle/oradata/prod/', '+DISK1'
SET DB_CREATE_FILE_DEST +DISK1;
```

When the `DUPLICATE` command completes, the duplicate database is created, with data files, online redo log files, and control files in ASM disk group `+DISK1`.

Example 25-5 Duplicating a Database from ASM to ASM

In this example, you use active database duplication. If the source database uses a server parameter file (or a backup is available), then you can create a temporary initialization parameter file on the destination host and set only the `DB_NAME` parameter.

Assume that the source database `prod` is on `host1` and stores its data files in ASM disk group `+DISK1`. You want to duplicate the target to database `dupdb` on remote host `host2`. You want to store the data files for `dupdb` in ASM. Specifically, you want to store the data files and control files in disk group `+DISK2`.

In the `DUPLICATE` command, set `PARAMETER_VALUE_CONVERT` to convert all directory locations from `+DISK1` to `+DISK2`. The new file names in `+DISK2` are generated by ASM and do not match the original file names in disk group `+DISK1`.

After connecting to the target, duplicate, and catalog databases, run the following RMAN script to duplicate the database.

```
DUPLICATE TARGET DATABASE
TO dupdb
FROM ACTIVE DATABASE
SPFILE PARAMETER_VALUE_CONVERT '+DISK1','+DISK2'
SET DB_RECOVERY_FILE_DEST_SIZE='750G';
```

When the `DUPLICATE` command completes, the duplicate database is created, with data files, online redo logs, and control files in the larger ASM disk group `+DISK2`.

25.1.2.4 Using the SET NEWNAME Command to Create OMF or ASM Files

To name Oracle managed data files, you can use the `SET NEWNAME` command with the `TO NEW` option instead of the `TO 'filename'` option. RMAN creates the specified data files or temp files with OMF names in the location specified by the `DB_CREATE_FILE_DEST` parameter.

To use the `SET NEWNAME` command to specify names for OMF:

1. Set the `DB_CREATE_FILE_DEST` initialization parameter at the auxiliary instance to the desired location.

2. Enclose the `DUPLICATE` command in a `RUN` block and use the `SET NEWNAME` command with the `TO NEW` option for OMF.

Example 25-6 Duplicating Databases with the SET NEWNAME FOR DATAFILE and SET NEWNAME FOR TABLESPACE Commands

This example illustrates a script that specifies literal names for data files 1-5. The only Oracle Managed Files in the source database are the data files in the `users` tablespace. Therefore, the `TO NEW` option is specified in the `SET NEWNAME` command for these files.

```
RUN
{
SET NEWNAME FOR TABLESPACE users TO NEW;
SET NEWNAME FOR DATAFILE 3 TO NEW;
SET NEWNAME FOR DATAFILE 1 TO '/oradata1/system01.dbf';
SET NEWNAME FOR DATAFILE 2 TO '/oradata2/sysaux01.dbf';
SET NEWNAME FOR TEMPFILE 1 TO '/oradatat/temp01';
DUPLICATE TARGET DATABASE TO dupdb
  SKIP TABLESPACE tools
  LOGFILE
    GROUP 1 ('/duplogs/redo01a.log','/duplogs/redo01b.log') SIZE 4M REUSE,
    GROUP 2 ('/duplogs/redo02a.log','/duplogs/redo02b.log') SIZE 4M REUSE;
}
```

Example 25-7 Using the SET NEWNAME Command to Create Files in an ASM Disk Group

This example uses the `SET NEWNAME` command to direct individual data files, temp files, or tablespaces to a specified ASM disk group.

```
RUN
{
SET NEWNAME FOR DATAFILE 1 TO "+DGROUP1";
SET NEWNAME FOR DATAFILE 2 TO "+DGROUP2";
.
.
.
DUPLICATE TARGET DATABASE
TO dupdb
FROM ACTIVE DATABASE
SPFILE SET DB_CREATE_FILE_DEST +DGROUP3;
}
```

See Also:

Using the SET NEWNAME Command to Name File System Data Files and Temp Files

25.1.2.5 Using the DB_FILE_NAME_CONVERT Parameter to Generate Names for Non-OMF or ASM Data Files

In addition to using the `SET NEWNAME` or `CONFIGURE AUXNAME` commands, you can use the `DB_FILE_NAME_CONVERT` parameter to transform target file names. You can either specify the `DB_FILE_NAME_CONVERT` option in the `DUPLICATE` command or you can set it in the initialization parameter file of the auxiliary instance.

For example, you can change the target file name from the `/oracle/` directory to the `/dup/oracle/` directory. The `DB_FILE_NAME_CONVERT` parameter allows you to specify multiple conversion file name pairs, however, starting with Oracle Database 12c Release 2 (12.2), Oracle recommends that you do not exceed 99 pairs of file name strings. The `DB_FILE_NAME_CONVERT` parameter can also be used to produce names for data files and temp files.

Restrictions of the DB_FILE_NAME_CONVERT Parameter

You cannot use the `DB_FILE_NAME_CONVERT` option of the `DUPLICATE` command to control generation of new names for files at the duplicate instance that are in the OMF format at the source database instance.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference*

25.1.2.6 Using the LOG_FILE_NAME_CONVERT Parameter to Generate Names for Non-OMF or ASM Log Files

If the `LOG_FILE` clause has been omitted and none of the OMF initialization parameters `DB_CREATE_FILE_DEST`, `DB_CREATE_ONLINE_DEST_n`, or `DB_RECOVERY_FILE_DEST` are specified, then the `LOG_FILE_NAME_CONVERT` parameter can transform target file names. This works in the same way that the `DB_FILE_NAME_CONVERT` parameter does to transform target file names from `log_*` to `duplog_*`.

You can specify multiple conversion file name pairs with this parameter. When you specify the `LOG_FILE_NAME_CONVERT` parameter, RMAN uses the `REUSE` parameter when creating the online redo logs. If an online redo log file exists at the named location and is of the correct size, then it is reused for the duplication process.

Restrictions of the LOG_FILE_NAME_CONVERT Parameter

- If you set OMF initialization parameters, do not specify the `LOG_FILE_NAME_CONVERT` parameter.
- The `LOG_FILE_NAME_CONVERT` parameter cannot be specified as a clause in the `DUPLICATE` command, it can only be specified in the initialization parameter of the auxiliary instance.
- You cannot use the `LOG_FILE_NAME_CONVERT` initialization parameter to control generation of new names for files at the duplicate instance that are in the OMF format at the source database instance.

25.2 Making Disk Backups Accessible Without Shared Disk

You can use the shared disk technique to make backups available to the auxiliary instance. When NFS or shared disk is not an option, then the path that stores the backups must exist on *both* the source and destination hosts, unless the `BACKUP LOCATION` option is used for the `DUPLICATE` command without a target or recovery catalog connection.

Assume that you maintain two hosts, `srchost` and `dsthost`. The database on `srchost` is `srcdb`. The RMAN backups of `srcdb` reside in the `/dsk1/bkp` directory on host `srchost`. The directory `/dsk1/bkp` is in use on the destination host, so you intend to store backups in the `/dsk2/dup` directory on the destination host.

To transfer the backups from the source host to the destination host:

1. Create a directory in the source host that has the same name as the directory on the destination host that contains the backups.

   For example, if you intend to store the RMAN backups in the `/dsk2/dup` directory on the destination host, then create the `/dsk2/dup` directory on the source host.

2. On the source host, copy the backups to the directory created in the previous step, and then catalog the backups. You can use either of the following approaches:

   - Connect RMAN to the source database as `TARGET` and use the `BACKUP` command to back up the backups. For example, use the `BACKUP COPY OF DATABASE` command to copy the backups in `/dsk1/bkp` on the source host to `/dsk2/dup` on the source host. In this case, RMAN automatically catalogs the backups in the new location. If you are duplicating a PDB, then use the `PLUGGABLE DATABASE` syntax of the `BACKUP COPY OF` command to copy only the backups of the PDB.
   - Use an operating system utility to copy the backups in the `/dsk1/bkp` directory on the source host to the `/dsk2/dup` directory on the source host. Afterward, connect RMAN to the source database as `TARGET` and use the `CATALOG` command to update the source control file with the location of the manually transferred backups.

3. Manually transfer the backups in the new directory on the source host to the identically named directory on the destination host.

   For example, use FTP to transfer the backups in the `/dsk2/dup` directory on the source host to the `/dsk2/dup` directory on the destination host.

The auxiliary channel can search for backups in the `/dsk2/dup` directory on the destination host and restore them.

26
Creating Transportable Tablespace Sets

You can use RMAN to create transportable tablespace sets by restoring backups.

26.1 Overview of Creating Transportable Tablespace Sets

You can use RMAN or the transportable tablespaces feature to create transportable tablespace sets.

This section explains the basic concepts and tasks involved in creating transportable tablespace sets from RMAN backups. The discussion in this chapter assumes that you are familiar with the transportable tablespace procedure described in *Oracle Database Administrator's Guide*. The procedure in this chapter is an alternative technique for generating transportable tablespace sets.

26.1.1 Purpose of Creating Transportable Tablespace Sets

A transportable tablespace set contains data files for a set of tablespaces and an export file containing structural metadata for the set of tablespaces. The export file is generated by Data Pump Export.

One use of transportable tablespace sets is to create a tablespace repository. For example, if you have a database with some tablespaces used for quarterly reporting, you can create transportable sets for these tablespaces for storage in a tablespace repository. Subsequently, versions of the tablespace can be requested from the repository and attached to another database for use in generating reports.

A key benefit of the RMAN `TRANSPORT TABLESPACE` command is that it does not need access to the live data files from the tablespaces to be transported. In contrast, the transportable tablespace technique described in *Oracle Database Administrator's Guide* requires that the tablespaces to be transported are open read-only during the transport. Thus, transporting from backups improves database availability, especially for large tablespaces, because the tablespaces to be transported can remain open for writes during the operation. Also, placing a tablespace in read-only mode can take a long time, depending on current database activity.

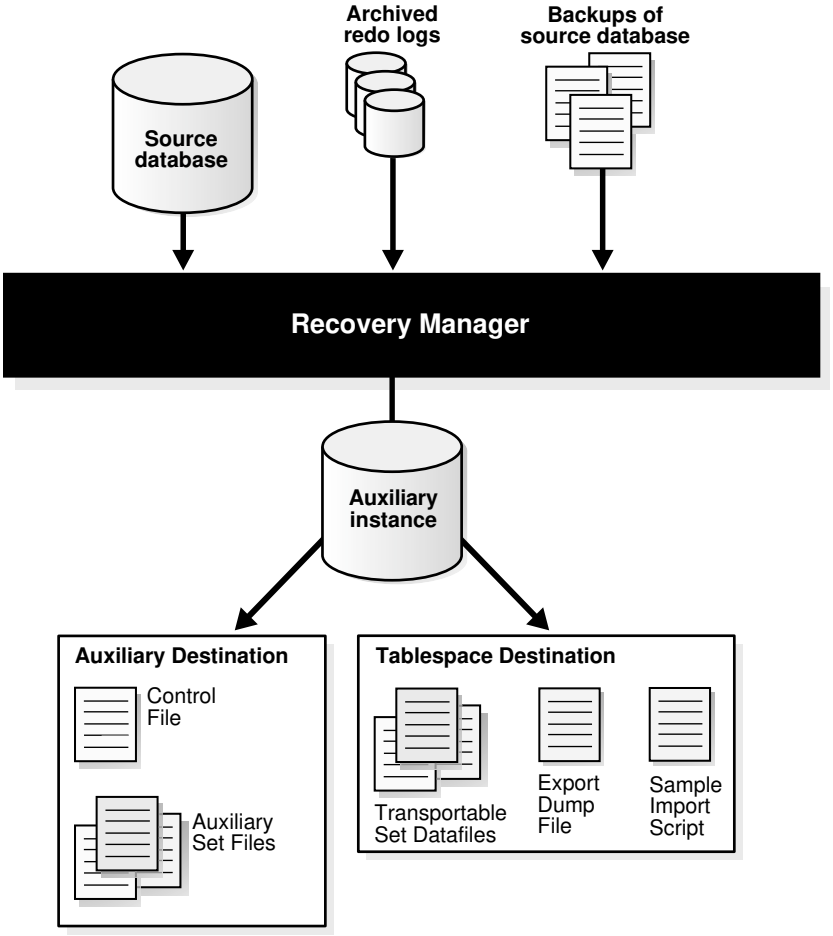
The RMAN `TRANSPORT TABLESPACE` command also enables you to specify a target point in time, SCN, or restore point during your recovery window and transport tablespace data as it existed at that time. For example, if your backup retention policy guarantees a 1 week recovery window, and if you want to create transportable tablespaces based on the contents of the database on the last day of the month, then RMAN can perform this task at any time during the first week of the next month.

26.1.2 Basic Concepts of Transportable Tablespace Sets

You create a transportable tablespace set by connecting RMAN to a source database as `TARGET` and then executing the `TRANSPORT TABLESPACE` command. The source database contains the tablespaces to be transported.

You must have a backup of all needed tablespaces and archived redo log files available for use by RMAN that can be recovered to the target point in time for the `TRANSPORT TABLESPACE` operation. Figure 26-1 illustrates the basic process of transportable tablespace creation.

Figure 26-1 RMAN Transportable Tablespace from Backup: Architecture



The process shown in Figure 26-1 occurs in the following phases:

1. RMAN starts an auxiliary instance.

   An auxiliary instance is created by RMAN on the same host as the source database to perform the restore and recovery of the tablespaces. RMAN automatically creates an initialization parameter file for the auxiliary instance and starts it `NOMOUNT`.

2. RMAN restores a backup of the source database control file to serve as the auxiliary instance control file and mounts this control file.

3. RMAN restores auxiliary set and transportable set data files from the backups of the source database.

   The auxiliary set includes data files and other files required for the tablespace transport but which are not themselves part of the transportable tablespace set. The auxiliary set typically includes the `SYSTEM` and `SYSAUX` tablespaces, temp files,

and data files containing rollback or undo segments. The auxiliary instance has other files associated with it, such as its own control file, parameter file, and online logs, but they are not part of the auxiliary set.

RMAN stores the auxiliary data files in the selected auxiliary destination. The auxiliary destination is a disk location where RMAN can store auxiliary set files such as the parameter file, data files (other than those in the transportable set), control files, and online logs of the auxiliary instance during the transport. If the transport succeeds, then RMAN deletes these files.

RMAN stores the transportable set files in the tablespace destination. The tablespace destination is a disk location that by default contains the data file copies and other output files when the tablespace transport command completes.

4. RMAN performs database point-in-time recovery (DBPITR) at the auxiliary instance.

   The recovery updates auxiliary and transportable set data files to their contents as of the target time specified for the `TRANSPORT TABLESPACE` command. If no target time is specified, then RMAN recovers with all available redo. RMAN restores archived redo logs from backup as necessary at the auxiliary destination (or other location) and deletes them after they are applied.

5. RMAN opens the auxiliary database with the `RESETLOGS` option.

   The data files now reflect the tablespace contents as of the target SCN for the tablespace transport operation.

6. RMAN places the transportable set tablespaces of the auxiliary instance into read-only mode. RMAN also invokes Data Pump Export in transportable tablespace mode to create the export dump file for the transportable set.

   By default, the dump file is located in the tablespace destination. You can specify an alternate location for the dump file.

   RMAN also generates the sample Data Pump import script for use when plugging in the transported tablespaces at a target database. The contents of this script are written to a file named `impscript.sql` in the tablespace destination. The commands for the script are also included in the RMAN command output.

7. If the preceding steps are successful, then RMAN shuts down the auxiliary instance and deletes all files created during the `TRANSPORT TABLESPACE` operation except for the transportable set files, the Data Pump Export file, and the sample import script.

> **See Also:**
>
> "Specifying Locations for Data Pump Files"

26.1.3 Basic Steps of Creating Transportable Tablespace Sets

To create transportable tablespace sets, you set the required parameters in the auxiliary instance initialization parameter file and then use the `TRANSPORT TABLESPACE` command.

Before creating transportable tablespace sets you must meet several prerequisites.

The basic steps of creating transportable tablespace sets are as follows:

1. Start the RMAN client and connect to the source database and, if used, the recovery catalog.

2. Ensure that the prerequisites for the `TRANSPORT TABLESPACE` command are met.
3. If necessary, set additional parameters in the auxiliary instance parameter file.

   This task is described in "Customizing Initialization Parameters for the Auxiliary Instance".
4. Execute the `TRANSPORT TABLESPACE` command.

   This basic technique is described in "Creating a Transportable Tablespace Set". Variations on this technique are described in "Transportable Tablespace Set Scenarios".
5. If the `TRANSPORT TABLESPACE` command fails, troubleshoot the problem and then retry the command until it succeeds.

   This technique is described in "Troubleshooting the Creation of Transportable Tablespace Sets".
6. Return to the procedure for transporting tablespaces described in *Oracle Database Administrator's Guide*.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for the prerequisites of the `TRANSPORT TABLESPACE` command

26.2 Customizing Initialization Parameters for the Auxiliary Instance

RMAN enables you to customise initialization parameters that are used for the auxiliary instance.

When RMAN creates the auxiliary instance, it creates an initialization parameter file. The default values work for most `TRANSPORT TABLESPACE` cases, especially if you specify the `AUXILIARY DESTINATION` option on the `TRANSPORT TABLESPACE` command. RMAN can also use an auxiliary instance parameter file that contains values for additional initialization parameters. These values override the values of parameters defined in the default initialization parameter file.

> **See Also:**
>
> - Using Initialization Parameters to Name Auxiliary Files
> - About Setting Initialization Parameters for the RMAN Auxiliary Instance
> - Setting the Location of the Auxiliary Instance Parameter File

26.2.1 About Setting Initialization Parameters for the RMAN Auxiliary Instance

RMAN defines certain basic initialization parameters for the automatic auxiliary instance that is used with transportable tablespaces.

You might use an auxiliary instance parameter file to include additional parameters for the following reasons:

- To increase `STREAMS_POOL_SIZE` and `SHARED_POOL_SIZE` if needed for Data Pump Export.
- To manage locations for auxiliary instance data files. For example, you do not want all auxiliary instance data files stored in the same location on disk, but you do not want to specify the location of every file individually.
- To specify names for online redo logs with `LOG_FILE_NAME_CONVERT` .

The auxiliary instance parameter file is not intended to be a complete initialization parameter file for the auxiliary instance. Any parameters specified are added to or override the default parameters for the auxiliary instance. It is not necessary to specify parameters in the initialization file that you do not intend to override.

The following table describes the initialization parameters for the auxiliary instance.

Table 26-1 Default Initialization Parameters for the Auxiliary Instance

Initialization Parameter	Value
`DB_NAME`	Same as `DB_NAME` of the source database.
`COMPATIBLE`	Same as the compatible setting of the source database.
`DB_UNIQUE_NAME`	Generated unique value based on `DB_NAME`.
`DB_BLOCK_SIZE`	Same as the `DB_BLOCK_SIZE` of the source database.
`DB_FILES`	Same value as `DB_FILES` for the source database
`SGA_TARGET`	280M recommended value.
`DB_CREATE_FILE_DEST`	Auxiliary destination (only if the `AUXILIARY DESTINATION` argument to `TRANSPORT TABLESPACE` is set). RMAN creates Oracle managed control files and online logs in this location.

Overriding a basic initialization parameter with an inappropriate value in the auxiliary instance parameter file can cause `TRANSPORT TABLESPACE` to fail. If you encounter a problem, then try returning the initialization parameter to its default value.

> **See Also:**
>
> "Using Initialization Parameters to Name Auxiliary Files" to learn how to use `DB_FILE_NAME_CONVERT` and `LOG_FILE_NAME_CONVERT` to name files

26.2.2 Setting the Location of the Auxiliary Instance Parameter File

By default, RMAN looks for the auxiliary initialization parameter file at an operating system-dependent location on the host running the RMAN client. This location may not be on the host running the auxiliary instance. For UNIX systems, this location is `?/rdbms/admin/params_auxint.ora`, where the question mark (`?`) stands for `ORACLE_HOME` on the host running RMAN. If no file is found in the default location, then RMAN does not generate an error.

If you use the default initialization parameters for the auxiliary instance, then check whether an auxiliary instance parameter file exists before running `TRANSPORT TABLESPACE` .

To specify a different location for the auxiliary instance parameter file, you can use the RMAN `SET AUXILIARY INSTANCE PARAMETER FILE` command in a `RUN` block before the `TRANSPORT TABLESPACE` command. As with the default location of the auxiliary instance parameter file, the path specified when using the `SET AUXILIARY INSTANCE PARAMETER FILE` command is a client-side path.

Example 26-1 Specifying an Auxiliary Instance Parameter File

This example describes how you can use the initialization parameter file with `TRANSPORT TABLESPACE`.

Create a file named `/tmp/auxinstparams.ora` on the host running the RMAN client. This file contains the following initialization parameter:

```
SHARED_POOL_SIZE=150M;
```

The `SHARED_POOL_SIZE` parameter in `/tmp/auxinstparams.ora` overrides the default value used for `SHARED_POOL_SIZE` when RMAN creates the auxiliary instance.

The following commands use an initialization parameter file when creating transportable tablespaces.

```
RUN
{
  SET AUXILIARY INSTANCE PARAMETER FILE TO '/tmp/auxinstparams.ora';
  TRANSPORT TABLESPACE tbs_2
    TABLESPACE DESTINATION '/disk1/transportdest'
    AUXILIARY DESTINATION '/disk1/auxdest';
}
```

26.3 Creating a Transportable Tablespace Set

This procedure describes the use of `TRANSPOR TTABLESPACE` in the most basic and automated case.

It is assumed that you have met the prerequisites required for the `TRANSPORT TABLESPACE` command. *Oracle Database Backup and Recovery Reference*. It is also assumed that you have met the following requirements described in *Oracle Database Administrator's Guide*:

- Confirmed that tablespace transport is supported between your source and destination platforms
- Identified a self-contained set of tablespaces to include in the transportable set

To create a transportable tablespace set:

1. Start the RMAN client and connect to the source database and, if used, the recovery catalog database.
2. Run the `TRANSPORT TABLESPACE` command in RMAN.

   In the most basic case, you specify an `AUXILIARY DESTINATION` clause, which is optional but recommended. RMAN uses default values that work for most cases. If you do not specify an auxiliary location, then ensure that locations are specified for all auxiliary instance files. See the rules described in "Specifying Auxiliary File Locations with Transportable Tablespaces" to learn how to name auxiliary files.

   The following example creates a transportable tablespace set that includes tablespaces `tbs_2` and `tbs_3`.

   ```
   TRANSPORT TABLESPACE tbs_2, tbs_3
      TABLESPACE DESTINATION '/disk1/transportdest'
      AUXILIARY DESTINATION '/disk1/auxdest';
   ```

   After the command completes successfully, note the following results:

   - The transportable set data files are left in the location `/disk1/transportdest` with their original names. The transportable tablespace set data files are not automatically converted to the endian format of the destination database by `TRANSPORT TABLESPACE`. If necessary, use the RMAN `CONVERT` command to convert the data files to the endian format of the destination database after creating the transportable set.
   - The Data Pump export dump file for the transportable set is named `dmpfile.dmp`, the export log is named `explog.log`, and the sample import script is named `impscrpt.sql`.

     All files are created in `/disk1/transportdest`. If a file under the name of the export dump file exists in the tablespace destination, then `TRANSPORT TABLESPACE` fails when it calls Data Pump Export. If you are repeating a previous `TRANSPORT TABLESPACE` operation, delete the previous output files, including the export dump file.
   - The auxiliary set files are removed from `/disk1/auxdest`.
3. If necessary, edit the sample import script.

   The sample import script assumes that the files used to import the tablespaces into the destination database are stored in the same locations where they were created by `TRANSPORT TABLESPACE`. If files have been moved to new disk locations before being plugged in, then you must update the sample script with the new locations of the files before using the script to plug in the transported tablespaces.
4. Return to the process for transporting tablespaces described in *Oracle Database Administrator's Guide*.

> **See Also:**
>
> "Transportable Tablespace Set Scenarios" for variations on the basic case described in this section.

26.4 Troubleshooting the Creation of Transportable Tablespace Sets

When the RMAN `TRANSPORT TABLESPACE` command fails, the failed auxiliary instance files are left intact in the auxiliary instance destination for troubleshooting.

If your `SET NEWNAME`, `CONFIGURE AUXNAME`, and `DB_FILE_NAME_CONVERT` settings cause multiple files in the auxiliary or transportable tablespace sets to have the same name, then RMAN reports an error during the `TRANSPORT TABLESPACE` command. To correct the problem, use different values for these parameters to ensure that duplicate file names are not created. Naming techniques are described in "Specifying Auxiliary File Locations with Transportable Tablespaces".

26.5 Transportable Tablespace Set Scenarios

This section contains the following topics:

- Creating a Transportable Tablespace Set at a Specified Time or SCN
- Specifying Locations for Data Pump Files
- Specifying Auxiliary File Locations with Transportable Tablespaces

26.5.1 Creating a Transportable Tablespace Set at a Specified Time or SCN

You can specify a target time or SCN with the `TRANSPORT TABLESPACE` command. During the tablespace transport operation, RMAN restores the tablespace at the auxiliary instance with backups from before the target time and performs point-in-time recovery on the auxiliary database to the specified target time. Backups and archived redo logs needed for this point-in-time recovery must be available.

Example 26-2 Specifying an End SCN

This example specifies the target time with an SCN (in the current incarnation or its ancestors).

```
TRANSPORT TABLESPACE tbs_2
   TABLESPACE DESTINATION '/disk1/transportdest'
   AUXILIARY DESTINATION '/disk1/auxdest'
   UNTIL SCN 11379;
```

Example 26-3 Specifying an End Restore Point

This example specifies a restore point as the target time for the transportable tablespace set creation.

```
TRANSPORT TABLESPACE tbs_2
   TABLESPACE DESTINATION '/disk1/transportdest'
   AUXILIARY DESTINATION '/disk1/auxdest'
   TO RESTORE POINT 'before_upgrade';
```

Example 26-4 Specifying an End Time

This example specifies an end time which is used as the target time for the transportable tablespace set creation.

```
TRANSPORT TABLESPACE tbs_2
   TABLESPACE DESTINATION '/disk1/transportdest'
   AUXILIARY DESTINATION '/disk1/auxdest'
   UNTIL TIME 'SYSDATE-1';
```

26.5.2 Specifying Locations for Data Pump Files

You can change the names of the Data Pump export dump file for the transportable set, the sample import script for use at the target database, the log file generated by Data Pump Export, and the directory to which they are written.

By default, these files are stored in the tablespace destination and named as follows:

- The Data Pump export dump file is named `dmpfile.dmp`.
- The export log file is named `explog.log`.
- The sample import script is named `impscrpt.sql`.

You can place the dump file and the export log in a different directory by using the `DATAPUMP DIRECTORY` clause of the `TRANSPORT TABLESPACE` command, passing in the name of a database directory object. The database directory object used by the `DATAPUMP DIRECTORY` clause is not the directory path of an actual file system directory. The value passed corresponds to the `DIRECTORY` command-line argument of Data Pump Export.

You can rename these files with the `DUMP FILE EXPORT LOG` , and `IMPORT SCRIPT` clauses of the `TRANSPORT TABLESPACE` command. The file names cannot contain full file paths with directory names. If the `DUMP FILE` or `EXPORT LOG` file names specify file paths, then `TRANSPORT TABLESPACE` fails when it attempts to generate the export dump files. Use the `DATAPUMP DIRECTORY` clause to specify a database directory object that identifies a location for the outputs of Data Pump Export.

The following scenario illustrates the use of `TRANSPORT TABLESPACE` with the `DATAPUMP DIRECTORY` , `DUMP FILE`, `EXPORT LOG` , and `IMPORT SCRIPT` file names specified. Assume that you create a database directory object as follows for use with Data Pump Export:

```
CREATE OR REPLACE DIRECTORY mypumpdir as '/datapumpdest';
```

Example 26-5 Specifying Output File Locations

This example shows a `TRANSPORT TABLESPACE` command with optional arguments that specify output file locations.

```
TRANSPORT TABLESPACE tbs_2
   TABLESPACE DESTINATION '/transportdest'
   AUXILIARY DESTINATION '/auxdest'
   DATAPUMP DIRECTORY  mypumpdir
   DUMP FILE 'mydumpfile.dmp'
   IMPORT SCRIPT 'myimportscript.sql'
   EXPORT LOG 'myexportlog.log';
```

After a successful run, RMAN cleans up the auxiliary destination, creates the Data Pump export dump file and the export log in the directory referenced by `DATAPUMP DIRECTORY` (/

`datapumpdest/mydumpfile.dmp` and `/datapumpdest/myexportlog.log`), and stores the transportable set data files in `/transportdest`.

> **See Also:**
>
> *Oracle Database Utilities* for more details on the use of directory objects with Data Pump Export

26.5.3 Specifying Auxiliary File Locations with Transportable Tablespaces

Several rules are applicable to the location of auxiliary instance files created during the transport.

If RMAN determines that any of the auxiliary files, designated by any of the methods for specifying auxiliary file locations, contain a data file copy that is suitable to be used for the desired point in time for this transport operation, then that data file copy is used instead of restoring the data file. Any data file copies that are present, but not suitable for this transport operation, because they are more recent than the requested point in time, or are not recognized as part of the target database, are overwritten when the data files are restored.

The simplest technique is to use the `AUXILIARY DESTINATION` clause of the `TRANSPORT TABLESPACE` command and let RMAN manage all file locations automatically.

The following table lists the techniques available for specifying file locations when relocating some or all auxiliary instance files, in the order of precedence that RMAN uses:

Table 26-2 Options for specifying auxiliary file locations

Order of Precedence	Auxiliary File Naming Technique	Additional Information

1	`SET NEWNAME FOR DATAFILES`
	`SET NEWNAME FOR TABLESPACE`
	`SET NEWNAME FOR DATABASE`
		"Using SET NEWNAME for Auxiliary Data Files"

2	`CONFIGURE AUXNAME`	"Using CONFIGURE AUXNAME for Auxiliary Data Files"
3	`AUXILIARY DESTINATION` clause of the `TRANSPORT TABLESPACE` command	"Using AUXILIARY DESTINATION to Specify a Location for Auxiliary Files"
4	`LOG_FILE_NAME_CONVERT` and `DB_FILE_NAME_CONVERT` in the initialization parameter file	"Using Initialization Parameters to Name Auxiliary Files"

If you use several of these options, then the first option in the list that applies to a file determines the file name.

26.5.3.1 Using SET NEWNAME for Auxiliary Data Files

You can use the `SET NEWNAME` command to specify a location for auxiliary data files.

Use the following `SET NEWNAME` commands in a `RUN` block to specify file names for use in the `TRANSPORT TABLESPACE` command:

- `SET NEWNAME FOR DATAFILE`
- `SET NEWNAME FOR DATABASE`
- `SET NEWNAME FOR TABLESPACE`

Example 26-6 Using SET NEWNAME FOR DATAFILE to Name Auxiliary Data Files

The `SET NEWNAME FOR DATAFILE` commands in this example cause the auxiliary instance data files to be restored to the locations named instead of to `/disk1/auxdest`.

```
RUN
{
  SET NEWNAME FOR DATAFILE '/oracle/dbs/tbs_12.f'
    TO '/bigdrive/auxdest/tbs_12.f';
  SET NEWNAME FOR DATAFILE '/oracle/dbs/tbs_11.f'
    TO '/bigdrive/auxdest/tbs_11.f';
  TRANSPORT TABLESPACE tbs_2
    TABLESPACE DESTINATION '/disk1/transportdest'
    AUXILIARY DESTINATION '/disk1/auxdest';
}
```

The `SET NEWNAME` command is best used with one-time operations. If you expect to create transportable tablespaces from backup regularly for a particular set of tablespaces, then consider using the `CONFIGURE AUXNAME` command instead of the `SET NEWNAME` command to make persistent settings for the location of the auxiliary instance data files.

26.5.3.2 Using CONFIGURE AUXNAME for Auxiliary Data Files

You can use the `CONFIGURE AUXNAME` command to specify persistent locations for transportable tablespace set or auxiliary set data files. RMAN restores each data file for which a `CONFIGURE AUXNAME` command has been used to the specified location before recovery. RMAN deletes auxiliary set data files when the operation is complete, unless the operation failed.

An example illustrates the relationship between the `CONFIGURE AUXNAME` and `TRANSPORT ... AUXILIARY DESTINATION` commands. Suppose that you want to transport tablespace `tbs_11`. The tablespace `tbs_12`, which contains data file `tbs_12.f`, is part of the auxiliary set. You execute the following steps:

1. You use the `CONFIGURE AUXNAME` statement to set a persistent nondefault location for the auxiliary set data file `/oracle/dbs/tbs_12.f`.

   For example, you enter the following command:

   ```
   CONFIGURE AUXNAME FOR DATAFILE '/oracle/dbs/tbs_12.f'
                     TO '/disk1/auxdest/tbs_12.f';
   ```

2. You execute the `TRANSPORT TABLESPACE` command with the `AUXILIARY DESTINATION` and `TABLESPACE DESTINATION` options.

   For example, you enter the following command:

```
TRANSPORT TABLESPACE tbs_11
  AUXILIARY DESTINATION '/myauxdest'
  TABLESPACE DESTINATION '/disk1/transportdest';
```

In the preceding scenario, RMAN restores the auxiliary set copy of data file `/oracle/dbs/tbs_12.f` to `/disk1/auxdest/tbs_12.f` instead of the location specified by the `AUXILIARY DESTINATION` option. The `CONFIGURE AUXNAME` command setting is higher in the order of precedence than the `AUXILIARY DESTINATION` option.

> **Note:**
>
> You can view any current `CONFIGURE AUXNAME` settings by executing the `SHOW AUXNAME` command, which is described in *Oracle Database Backup and Recovery Reference*.

26.5.3.3 Using AUXILIARY DESTINATION to Specify a Location for Auxiliary Files

If you use the `AUXILIARY DESTINATION` option with the `TRANSPORT TABLESPACE` command, then any auxiliary set file that is not moved to another location using a `SET NEWNAME` or `CONFIGURE AUXNAME` command is stored in the auxiliary destination during the `TRANSPORT TABLESPACE` operation.

If you do not use the `AUXILIARY DESTINATION` option, then you must use `LOG_FILE_NAME_CONVERT` parameter to specify the location of the online redo log files for the auxiliary instance. Neither the `SET NEWNAME` nor `CONFIGURE AUXNAME` commands can affect the location of the auxiliary instance online redo logs. Thus, if you do not use the `AUXILIARY DESTINATION` option or `LOG_FILE_NAME_CONVERT` parameter, then RMAN has no information about where to create the online redo logs.

26.5.3.4 Using Initialization Parameters to Name Auxiliary Files

You can use the `LOG_FILE_NAME_CONVERT` and `DB_FILE_NAME_CONVERT` initialization parameters in an auxiliary instance parameter file to determine the names for online redo logs and other database files at the auxiliary instance. If no `AUXILIARY DESTINATION` clause is specified on the `TRANSPORT TABLESPACE` command, then these parameters determine the location of any files for which no `CONFIGURE AUXNAME` or `SET NEWNAME` command was run.

You cannot use the `LOG_FILE_NAME_CONVERT` or `DB_FILE_NAME_CONVERT` parameters to generate new Oracle Managed Files (OMF) names for files at the auxiliary instance when the original files are OMF files. The database manages the generation of unique file names in each OMF destination. You must use an `AUXILIARY DESTINATION` clause to control the location of the online redo log files. You must use the `AUXILIARY DESTINATION` clause, `SET NEWNAME` or `CONFIGURE AUXNAME` commands, or `DB_CREATE_FILE_DEST` initialization parameter to specify the location for OMF data files.

See Also:

Oracle Database Reference for more details on the `LOG_FILE_NAME_CONVERT` and `DB_FILE_NAME_CONVERT` initialization parameters

27
Transporting Data Across Platforms

Data can be transported across platforms by using image copies or backup sets.

You can use RMAN to transport tablespaces across platforms with different endian formats. You can also use RMAN to transport an entire database to a different platform so long as the two platforms have the same endian format.

27.1 About Cross-Platform Data Transport

Cross-platform transportable tablespace is a variation of ordinary transportable tablespace. All of the restrictions that apply to transportable tablespaces apply here also, such as the need to ensure that all of the objects being transported are completely contained within the set of tablespaces being transported. Cross-platform transportable tablespace can be performed between platforms that have the same, or different, endian format.

Cross-platform transportable database is not the same thing as transportable tablespace. In this case you are copying an entire database, including the `SYSTEM` tablespace from one platform to another. Containment checks are irrelevant, and because the `SYSTEM` tablespace is being copied, no export/import step is required. Cross-platform transportable database can only be performed between platforms that have the same endian format.

27.1.1 Purpose of Cross-Platform Data Transport

You can transport tablespaces in a database that runs on one platform into a database that runs on a different platform. Typical uses of cross-platform transportable tablespaces include the following:

- Publishing structured data as transportable tablespaces for distribution to customers, who can convert the tablespaces for integration into their existing databases regardless of platform
- Moving data from a large data warehouse server to data marts on smaller computers such as Linux-based workstations or servers
- Sharing read-only tablespaces across a heterogeneous cluster in which all hosts share the same endian format
- Migrating tablespaces across platforms with minimal application downtime

A full discussion of transportable tablespaces, their uses, and the different techniques for creating and using them is found in *Oracle Database Administrator's Guide*.

You can also use RMAN to transport an entire database from one platform to another. For example, business requirements demand that you run your databases on less expensive servers that use a different platform. In this case, you can use RMAN to transport the entire database rather than re-create it and use import utilities or transportable tablespaces to repopulate the data.

You can convert a database on the destination host or source host. Reasons for converting on the destination host include:

- Avoiding performance overhead on the source host due to the conversion process
- Distributing a database from one source system to multiple recipients on several different platforms
- Evaluating a migration path for a new platform

27.1.2 Methods of Transporting Data Across Platforms

RMAN enables you to transport data files, tablespaces, or an entire database from one platform to another. When you transport an entire database to a different platform, the destination platform must have the same endian format as the source platform.

Use one of the following methods to transport data across platforms:

- Transport data using image copies
- Transport data using backup sets

> **See Also:**
>
> – "Overview of Cross-Platform Data Transport Using Image Copies"
> – "Overview of Cross-Platform Data Transport Using Backup Sets "

27.1.3 Platforms that Support Cross-Platform Data Transport

The Oracle Database maintains a list of internal names for each platform that supports cross-platform data transport. These names are stored in the `V$TRANSPORTABLE_PLATFORM` view. Use this view to determine the internal name of the source platform or destination platform. While transporting data across platforms, you may need to specify the exact name of the source or destination platform. Any platform names specified as a parameter of the `CONVERT` or `BACKUP` command must be entered exactly as shown in the `V$TRANSPORTABLE_PLATFORM` view.

Use the following query to obtain the platform name of the connected database:

```
SELECT PLATFORM_NAME
    FROM V$TRANSPORTABLE_PLATFORM
    WHERE PLATFORM_ID =
       ( SELECT PLATFORM_ID FROM V$DATABASE );
```

Use the following query to obtain the name of the Linux platform:

```
SELECT PLATFORM_ID, PLATFORM_NAME, ENDIAN_FORMAT
FROM V$TRANSPORTABLE_PLATFORM
WHERE UPPER(PLATFORM_NAME) LIKE '%LINUX%';
```

27.2 Overview of Cross-Platform Data Transport Using Image Copies

RMAN enables you to use image copies to transport tablespaces, data files, or an entire database. You use the RMAN `CONVERT` command to perform cross-platform transport with image copies. Tablespace transport is sometimes performed by

individually transporting the data files that store the tablespace data. However, it is not possible to transport a single data file that is part of a tablespace that consists of multiple data files.

You must use the RMAN `CONVERT` command in a transportable tablespace operation when the source platform is different from the destination platform and the endian formats are different. If you are converting part of the database between platforms that have the same endian format, you can use operating system methods to copy the files from the source to the destination. If you are converting an entire, same endian database, any data files with undo information must be converted. You cannot copy these files directly from the source to the destination platform.

27.2.1 Overview of Tablespace and Data File Conversion Using Image Copies

You can perform tablespace conversion with the RMAN `CONVERT TABLESPACE` command on the source host, but not on the destination host. The `CONVERT TABLESPACE` command does not perform in-place conversion of data files. Rather, the command produces output files in the correct format for use on the destination platform. The command does not alter the contents of data files in the source database.

You can use the `CONVERT DATAFILE` command to convert files. Typically, the `CONVERT DATAFILE` command is used on the destination host and the `CONVERT TABLESPACE` command is used on the source host. When you use the `CONVERT DATAFILE` command on the source host, ensure that data files are cleanly offline or the tablespaces containing those data files are read-only. The Data Pump Export utility generates an export dump file that, with data files manually copied to the destination host, can be imported into the destination database. Until the Data Pump export dump file is imported into the destination database, the data files are not associated with a tablespace name in the database. In this case, RMAN cannot translate the tablespace name into a list of data files. Therefore, you must use `CONVERT DATAFILE` and identify the data files by file name.

> **Note:**
>
> Using `CONVERT TABLESPACE` or `CONVERT DATAFILE` is only one step in using cross-platform transportable tablespaces. Read the discussion of transportable tablespaces in *Oracle Database Administrator's Guide in its entirety* before attempting to follow the procedures in this chapter.

> **See Also:**
>
> The following sections describe how to perform cross-platform transport of tablespaces and data files using image copies:
>
> - "Performing Cross-Platform Tablespace Conversion with Image Copies"
> - "Performing Cross-Platform Data File Conversion with Image Copies"

27.2.2 Overview of Database Conversion Using Image Copies

To convert a whole database to a different platform, both platforms must use the same endian format. The RMAN `CONVERT DATABASE` command automates the movement of an entire database from a source platform to a destination platform. The transported database contains the same data as the source database and also has, with a few exceptions, the same settings as the source database.

Files automatically transported to the destination platform include:

- Data files that belong to permanent tablespaces

  Unlike transporting tablespaces across platforms, transporting entire databases requires that certain types of blocks, such as blocks in undo segments, be reformatted to ensure compatibility with the destination platform. Even though the endian formats for the source and destination platforms are the same, certain types of files must undergo a conversion process. See "Checking the Database Before Cross-Platform Database Conversion" for details about the types of files that need conversion.

- Initialization parameter file or server parameter file

  If the database uses a text-based initialization parameter file, then RMAN transports it. If the database uses a server parameter file, then RMAN generates an initialization parameter file based on the server parameter file, transports it and creates a new server parameter file at the destination based on the settings in the initialization parameter file.

  Usually, some parameters in the initialization parameter file require manual updating for the new database. For example, you may change the `DB_NAME` and parameters such as `CONTROL_FILES` that indicate the locations of files on the destination host.

You can convert the format of the data files either on the source platform or on the destination platform. The `CONVERT DATABASE ON DESTINATION PLATFORM` command does not convert the format of data files. Rather, it generates scripts that you can run manually to perform the conversion. The `CONVERT SCRIPT` parameter creates a convert script that you can manually execute at the destination host to convert data file copies in batch mode. The `TRANSPORT SCRIPT` parameter generates a transport script that contains SQL statements to create the new database on the destination platform.

> **See Also:**
>
> My Oracle Support Note 1079563.1, "RMAN DUPLICATE/RESTORE/ RECOVER Mixed Platform Support" for the following information:
>
> - List of platform combinations that do not require the `CONVERT DATABASE` command
> - Prerequisites for the source database and destination databases

> **See Also:**
>
> http://www.oracle.com/goto/maa for best practices on using cross-platform transportable tablespace and database procedures as part of data migration tasks

> **See Also:**
>
> The following sections describe how to perform cross-platform transport of a database using image copies:
>
> - "Checking the Database Before Cross-Platform Database Conversion"
> - "Converting Data Files on the Source Host When Transporting a Database"
> - "Converting Data Files on the Destination Host When Transporting a Database"

27.3 Performing Cross-Platform Tablespace Conversion with Image Copies

You can transport tablespaces across platforms by performing the required conversion on the source database.

See the list of `CONVERT` command prerequisites described in *Oracle Database Backup and Recovery Reference.* Meet all these prerequisites before doing the steps in this section.

For purposes of illustration, assume that you must transport tablespaces from the source database `prod_source`, which runs on a Sun Solaris host. You plan to transport them to the destination database `prod_dest` running on a Linux PC. You plan to store the converted data files in the temporary directory `/tmp/transport_linux/` on the source host.

> **See Also:**
>
> "Overview of Tablespace and Data File Conversion Using Image Copies"

To perform cross-platform tablespace conversion with image copies:

1. Start SQL*Plus and connect to the source database `prod_source` with administrator privileges.
2. Query the name for the destination platform in the `V$TRANSPORTABLE_PLATFORM` view.

   The `PLATFORM_NAME` for Linux on a PC is `Linux IA (64-bit)`.

> **See Also:**
>
> "Platforms that Support Cross-Platform Data Transport" for information about determining the platform name

3. Check if the tablespaces to be transported are self-contained by executing the `DBMS_TTS.TRANSPORT_SET_CHECK` procedure. If the `TRANSPORT_SET_VIOLATIONS` view contains rows corresponding to the specified tablespaces, then you must resolve the dependencies before proceeding with the conversion.

   For tablespaces in a pluggable databae (PDB), you must change the container to the PDB by using the `ALTER SESSION SET CONTAINER` command.

   > **See Also:**
   >
   > Example 20-1 for information about executing the `DBMS_TTS.TRANSPORT_SET_CHECK` procedure

4. Place the tablespaces to be transported in read-only mode. For example, enter:

   ```
   ALTER TABLESPACE finance READ ONLY;
   ALTER TABLESPACE hr READ ONLY;
   ```

5. Choose a method for naming the output files.

   > **See Also:**
   >
   > "About Renaming Output Files During RMAN Cross-Platform Data File Conversion"

6. Start RMAN and connect as `TARGET` to the *source* database (not the destination database) and as a common user with the `SYSDBA` or `SYSBACKUP` privilege. If the tablespace is in a PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

   The following example connects to a PDB as the `SYS` user:

   ```
   RMAN> connect target "sys@my_pdb as sysdba"

   target database Password:
   connected to target database: DB203:MY_PDB (DBID=1139070871)
   ```

7. Run the `CONVERT TABLESPACE` command to convert the data files into the endian format of the destination host.

   In the following example, the `FORMAT` argument controls the name and location of the converted data files:

   ```
   RMAN> CONVERT TABLESPACE finance, hr
   2>   TO PLATFORM 'Linux IA (64-bit)'
   3>   FORMAT '/tmp/transport_linux/%U';
   ```

The result is a set of converted data files in the `/tmp/transport_linux/` directory, with data in the correct endian format for the Linux IA (64-bit) platform.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for the full semantics of the `CONVERT` command

8. Follow the rest of the general outline for transporting tablespaces:
   a. Use the Oracle Data Pump Export utility to create the export dump file on the source host.
   b. Move the converted data files and the export dump file from the source host to the desired directories on the destination host.
   c. Plug the tablespace in to the new database with the DataPump Import utility.
   d. If applicable, place the transported tablespaces into read/write mode.

> **See Also:**
>
> *Oracle Database Administrator's Guide* for information about using transportable tablespaces

27.4 Performing Cross-Platform Data File Conversion with Image Copies

You can use the `CONVERT DATAFILE` command to perform cross-platform conversion of tablespaces with image copies.

27.4.1 About Renaming Output Files During RMAN Cross-Platform Data File Conversion

Data file conversion necessitates that you choose a technique for naming the output files. You must use the `FORMAT` or `DB_FILE_NAME_CONVERT` arguments to the `CONVERT` command to control the naming of output files. The rules are listed in order of precedence:

1. Any file that matches any pattern provided in the `DB_FILE_NAME_CONVERT` clause is named based upon this pattern.
2. If you specify a `FORMAT` clause, then any file not named based on the pattern provided in the `DB_FILE_NAME_CONVERT` clause is named based on the `FORMAT` pattern.

> **Note:**
>
> You cannot use the `DB_FILE_NAME_CONVERT` clause to generate output file names for the `CONVERT` command when both the source and destination files are Oracle Managed Files.

If the source and destination platforms differ, then you must specify the `FROM PLATFORM` parameter. View platform names by querying the `V$TRANSPORTABLE_PLATFORM`. The `FROM PLATFORM` value must match the format of the data files to be converted to avoid an error. If you do not specify `FROM PLATFORM`, then this parameter defaults to the value of the destination platform.

> **See Also:**
>
> "Platforms that Support Cross-Platform Data Transport" for information about determining the platform name

27.4.2 Performing Tablespace Transportation on the Destination Host Using RMAN CONVERT DATAFILE

Tablespaces can be transported by using the `CONVERT DATAFILE` on the destination database, to convert the data files that are associated with the tablespace.

This section explains how to use the `CONVERT DATAFILE` command. The section assumes that you intend to transport tablespaces `finance` (data files `fin/fin01.dbf` and `fin/fin02.dbf`) and `hr` (data files `hr/hr01.dbf` and `hr/hr02.dbf`) from a source database named `prod_source`. The database runs on a Sun Solaris host. You plan to transport these tablespaces into a destination database named `prod_dest`, which runs on a Linux PC. You plan to perform conversion on the destination host.

When the data files are plugged in to the destination database, you plan to store them in `/orahome/dbs` and preserve the current directory structure. That is, data files for the `hr` tablespace are stored in the `/orahome/dbs/hr` subdirectory, and data files for the `finance` tablespace are stored in the `/orahome/dbs/fin` directory.

> **See Also:**
>
> "Overview of Tablespace and Data File Conversion Using Image Copies"

To perform cross-platform data file conversion with image copies:

1. See the list of `CONVERT` command prerequisites described in *Oracle Database Backup and Recovery Reference*. Meet these prerequisites before performing the steps in this section.

2. Start SQL*Plus and connect to the source database `prod_source` with administrator privileges.
3. Query the name for the source platform in `V$TRANSPORTABLE_PLATFORM`.

   For this scenario, assume that the `PLATFORM_NAME` for the source host is `Solaris[tm] OE (64-bit)`.

   > **See Also:**
   >
   > "Platforms that Support Cross-Platform Data Transport" for information about determining the platform name

4. Identify the tablespaces to be transported from the source database and place them in read-only mode. For tablespaces in a pluggable databae (PDB), you must change the container to the PDB by using the `ALTER SESSION SET CONTAINER` command.

   For example, enter the following SQL statements to place `finance` and `hr` in read-only mode:

   ```
   ALTER TABLESPACE finance READ ONLY;
   ALTER TABLESPACE hr READ ONLY;
   ```

5. On the source host, use Data Pump Export to create the export dump file.

   In this example, the dump file is named `expdat.dmp`.

6. Make the export dump file and the data files to be transported available to the destination host.

   You can use NFS to make the dump file and current database files (not copies) accessible. Alternatively, you can use an operating system utility to copy these files to the destination host.

   In this example, you store the files in the `/tmp/transport_solaris/` directory of the destination host. You preserve the subdirectory structure from the original location of the files; that is, the data files are stored as:

   - `/tmp/transport_solaris/fin/fin01.dbf`
   - `/tmp/transport_solaris/fin/fin02.dbf`
   - `/tmp/transport_solaris/hr/hr01.dbf`
   - `/tmp/transport_solaris/hr/hr02.dbf`

7. Start RMAN and connect to the destination database (not the source database) as `TARGET`, as a common user with the `SYSDBA` or `SYSBACKUP` privilege. If the tablespaces must be transported into a PDB, connect as `TARGET` to the PDB, as a common user with the `SYSDBA` or `SYSBACKUP` privilege.

   For example, the following command connects to the target database `prod_dest` using the `sbu` user who is granted the `SYSBACKUP` privilege:

   ```
   % rman
   RMAN> CONNECT TARGET "sbu@prod_dest AS SYSBACKUP";
   ```

8. Execute the `CONVERT DATAFILE` command to convert the data files into the endian format of the destination host.

In this example, you use `DB_FILE_NAME_CONVERT` to control the name and location of the converted data files. You also specify the `FROM PLATFORM` clause.

```
RMAN> CONVERT DATAFILE
2>   '/tmp/transport_solaris/fin/fin01.dbf',
3>   '/tmp/transport_solaris/fin/fin02.dbf',
4>   '/tmp/transport_solaris/hr/hr01.dbf',
5>   '/tmp/transport_solaris/hr/hr02.dbf'
6>   DB_FILE_NAME_CONVERT
7>     '/tmp/transport_solaris/fin','/orahome/dbs/fin',
8>     '/tmp/transport_solaris/hr','/orahome/dbs/hr'
9>   FROM PLATFORM 'Solaris[tm] OE (64-bit)';
```

The result is a set of converted data files in the `/orahome/dbs/` directory that are named as follows:

- `/orahome/dbs/fin/fin01.dbf`
- `/orahome/dbs/fin/fin02.dbf`
- `/orahome/dbs/hr/hr01.dbf`
- `/orahome/dbs/hr/hr02.dbf`

9. Follow the rest of the general outline for transporting tablespaces:
   a. Plug the tablespace in to the new database with the DataPump Import utility.
   b. If applicable, place the transported tablespaces into read-only mode.

> **See Also:**
>
> - *Oracle Database Backup and Recovery Reference* for the syntax and semantics of the `CONVERT` command
> - *Oracle Database Administrator's Guide* for information about transportable tablespaces

27.5 Performing Cross-Platform Database Conversion with Image Copies

When you perform cross-platform database conversion with image copies, you can convert the data files on either the source host or the destination host.

27.5.1 Checking the Database Before Cross-Platform Database Conversion

Use the RMAN `CONVERT DATABASE` command to automate the copying of an entire database from one platform to another.

Prerequisites:

- Before converting the database, confirm that you meet all the `CONVERT DATABASE` command prerequisites described in *Oracle Database Backup and Recovery Reference*.
-
- Both the source and destination platform must share the same endian format. For example, you can transport a database from Microsoft Windows to Linux for x86 (both little-endian), or from HP-UX to AIX (both big-endian), but not from HP-UX to Linux for x86 (big-endian to little-endian).

  > **Note:**
  >
  > If you cannot use the `CONVERT DATABASE` command because the platforms do not share endian formats, then you can create a new database on the destination platform and then use cross-platform transportable tablespace to copy your data.

- When you transport entire databases, note that certain files require RMAN conversion to ensure compatibility with the destination platform. Even though the endian formats for the source and destination platform are the same, these files cannot be simply copied from the source to the destination system. The following kinds of files require RMAN conversion:
  - Any file containing undo segments
  - Any file containing automatic segment space management (ASSM) segment headers that is being transported to or from the HP Tru64 platform

  > **Note:**
  >
  > When converting to or from Tru64 UNIX platform, even if the databases use the same endian format, you must use the `CONVERT` command to convert data files with automatic segment space management (ASSM) headers. See My Oracle Support Note 732053.1 for information about identifying data files that contain undo data or ASSM headers.

- The `CONVERT DATABASE` command, by default, processes all data files in the database using RMAN conversion. The RMAN conversion copies the files from one location to another, even when it does not make any changes to the file. If you have other preferred means to copy those files that do not require RMAN conversion, you can use the `SKIP UNNECESSARY DATAFILES` option of the `CONVERT DATABASE` command. If you select this option, then the `CONVERT DATABASE` command only processes the files that require conversion. All other files must either be made accessible to the user or copied from the source to the destination database.

  Whether the data file conversion is performed at the source or destination host, you must copy the files while the source database is open in read-only mode.

To check the database before cross-platform conversion:

1. On the source database, start a SQL*Plus session as a common user with the `SYSDBA` or `SYSBACKUP` privilege.

2. Open the database in read-only mode.

```
SHUTDOWN IMMEDIATE
STARTUP MOUNT
ALTER DATABASE OPEN READ ONLY;
```

3. Ensure that server output is on in SQL*Plus.

   For example, enter the following SQL*Plus command:

```
SET SERVEROUTPUT ON
```

4. Execute the `DBMS_TDB.CHECK_DB` function.

   This check ensures that no conditions prevent the transport of the database, such as incorrect compatibility settings, in-doubt or active transactions, or incompatible endian formats between the source platform and destination platform.

   You can call `CHECK_DB` without arguments to see if a condition at the source database prevents transport. You can also call this function with the arguments shown in Table 27-1.

Table 27-1 CHECK_DB Function Parameters

Parameter	Description
`target_platform_name`	The name of the destination platform as it appears in the `V$DB_TRANSPORTABLE_PLATFORM` view. This parameter is optional, but is required when the `skip_option` parameter is used. If omitted, it is assumed that the destination platform is compatible with the source platform, and only the conditions not related to platform compatibility are tested.
`skip_option`	Specifies which, if any, parts of the database to skip when checking whether the database can be transported. Supported values (of type `NUMBER`) are: • `SKIP_NONE` (or `0`), which checks all tablespaces • `SKIP_OFFLINE` (or `2`), which skips checking data files in offline tablespaces • `SKIP_READONLY` (or `3`), which skips checking data files in read-only tablespaces

The following example illustrates executing `CHECK_DB` on a 32-bit Linux platform for transporting a database to 32-bit Windows, skipping read-only tablespaces.

```
DECLARE
  db_ready BOOLEAN;
BEGIN
  db_ready :=
       DBMS_TDB.CHECK_DB('Microsoft Windows IA (32-
bit)',DBMS_TDB.SKIP_READONLY);
END;
/

PL/SQL procedure successfully completed.
```

If no warnings appear, or if `DBMS_TDB.CHECK_DB` returns `TRUE`, then you can transport the database. Proceed to Step 6.

If warnings appear, or if `DBMS_TDB.CHECK_DB` returns `FALSE`, then you cannot currently transport the database. Proceed to Step 5.

5. Examine the output to learn why the database cannot be transported, fix the problem if possible, and then return to the Step 4.

> **See Also:**
>
> *Oracle Database PL/SQL Packages and Types Reference* for information about `DBMS_TDB`

6. Execute the `DBMS_TDB.CHECK_EXTERNAL` function to identify any external tables, directories, or BFILEs. RMAN cannot automate the transport of these files, so you must copy the files manually and re-create the database directories.

   The following example shows how to call the `DBMS_TDB.CHECK_EXTERNAL` function.

```
DECLARE
     external BOOLEAN;
BEGIN
    /* value of external is ignored, but with SERVEROUTPUT set to ON
     * dbms_tdb.check_external displays report of external objects
     * on console */
    external := DBMS_TDB.CHECK_EXTERNAL;
END;
/
```

   If no external objects exist, then the procedure completes with no output. If external objects exist, however, then the output is similar to the following:

```
The following external tables exist in the database:
SH.SALES_TRANSACTIONS_EXT
The following directories exist in the database:
SYS.DATA_PUMP_DIR, SYS.MEDIA_DIR, SYS.DATA_FILE_DIR, SYS.LOG_FILE_DIR
The following BFILEs exist in the database:
PM.PRINT_MEDIA

PL/SQL procedure successfully completed.
```

27.5.2 Converting Data Files on the Source Host When Transporting a Database

Use the `CONVERT DATBASE` command to perform cross-platform transport of a database by converting data files on the source host.

When you transport entire databases, certain types of blocks such as blocks in undo segments must be reformatted to ensure compatibility with the destination platform. Even though the endian formats for the source and destination platform are the same, certain data

files must undergo a conversion process and cannot be simply copied from one platform to another.

Data files with undo information and those from the HP Tru64 platform must be converted. By default, all data files are converted when the `CONVERT DATABASE` command is executed. If, however, `SKIP UNNECESSARY DATAFILES` is used in the `CONVERT DATABASE` command, then the data files with undo segments and those from the HP Tru64 platform are converted. All other data files do not require conversion and can be copied to the new database using FTP, an operating system copy command, or some other mechanism.

> **See Also:**
>
> "Overview of Database Conversion Using Image Copies"

This section assumes that you have met all of the `CONVERT DATABASE` prerequisites and followed the steps in "Checking the Database Before Cross-Platform Database Conversion". The goal of this procedure is to convert the format of data files on the source host as part of a cross-platform database transport.

Assume that you want to convert a database running on Solaris to a database that runs on Windows.

To convert the database on the source host:

1. Open the source database in read-only mode.

```
SHUTDOWN IMMEDIATE;
STARTUP MOUNT;
ALTER DATABASE OPEN READ ONLY;
```

2. Start RMAN and connect to the source database as `TARGET` as described in "Making Database Connections with RMAN".
3. Run the `CONVERT DATABASE` command.

   The following example shows a `CONVERT DATABASE` command (sample output included). The `TRANSPORT SCRIPT` parameter specifies the location of the generated SQL script that you can use to create the new database. The `TO PLATFORM` parameter indicates the platform of the destination database. The `DB_FILE_NAME_CONVERT` parameter specifies the naming scheme for the generated data files.

```
RMAN> CONVERT DATABASE
2>   NEW DATABASE 'newdb'
3>   TRANSPORT SCRIPT '/tmp/convertdb/transportscript.sql'
4>   TO PLATFORM 'Microsoft Windows IA (32-bit)'
5>   DB_FILE_NAME_CONVERT '/disk1/oracle/dbs' '/tmp/convertdb';

Starting conversion at source at 25-NOV-13
using channel ORA_DISK_1

External table SH.SALES_TRANSACTIONS_EXT found in the database
.
.
.
Directory SYS.ORACLE_HOME found in the database
```

```
Directory SYS.ORACLE_BASE found in the database
Directory SYS.LOG_FILE_DIR found in the database
.
.
.
BFILE PM.PRINT_MEDIA found in the database

User SYS with SYSDBA and SYSOPER privilege found in password file
User SBU with SYSBACKUP privilege found in password file
channel ORA_DISK_1: starting datafile conversion
input datafile fno=00001 name=/disk1/oracle/dbs/tbs_01.f
converted datafile=/tmp/convertdb/tbs_01.f
channel ORA_DISK_1: datafile conversion complete, elapsed time: 00:00:15
channel ORA_DISK_1: starting datafile conversion
input datafile fno=00002 name=/disk1/oracle/dbs/tbs_ax1.f
converted datafile=/tmp/convertdb/tbs_ax1.f
channel ORA_DISK_1: datafile conversion complete, elapsed time: 00:00:03
.
.
.
channel ORA_DISK_1: starting datafile conversion
input datafile fno=00016 name=/disk1/oracle/dbs/tbs_52.f
converted datafile=/tmp/convertdb/tbs_52.f
channel ORA_DISK_1: datafile conversion complete, elapsed time: 00:00:01
Edit init.ora file init_00gb3vfv_1_0.ora. This PFILE will be used to
  create the database on the target platform
Run SQL script /tmp/convertdb/transportscript.sql on the target platform
  to create database
To recompile all PL/SQL modules, run utlirp.sql and utlrp.sql on
  the target platform
To change the internal database identifier, use DBNEWID Utility
Finished conversion at source at 25-NOV-13
```

4. After `CONVERT DATABASE` completes, you can open the source database read/write again.
5. Move the data files generated by `CONVERT DATABASE` to the desired locations on the destination host.

   In Step 3, the command creates the files in the `/tmp/convertdb/` directory on the source host. Move these files to the directory on the destination host that will contain the destination database files.
6. If the path to the data files is different on the destination host, then edit the transport script to refer to the new data file locations.
7. If necessary, edit the initialization parameter file to change any settings for the destination database.

   You must edit several entries at the top of the initialization parameter file when the database is moved to the destination platform. For example, the initialization parameter file may look as follows:

   ```
   # Please change the values of the following parameters:
    control_files            = "/tmp/convertdb/cf_D-NEWDBT_id-1778429277_00gb9u2s"
    service_names            = "NEWDBT.example.com"
    db_recovery_file_dest    = "/tmp/convertdb/orcva"
    db_recovery_file_dest_size= 10737418240
    instance_name            = "NEWDBT"
    db_name                  = "NEWDBT"
    plsql_native_library_dir = "/tmp/convertdb/plsqlnld1"
   ```
8. If necessary, edit the transport script to use the new names for the converted data files.

In the example in Step 3, the transport script is named `/tmp/convertdb/transportscript.sql`. You run this script on the destination host to create the database. Thus, you must edit this script with the correct names for the data files.

9. On the destination host, start SQL*Plus and connect to the destination database instance as `SYSDBA` or `SYSBACKUP` using operating system authentication.

   For example, connect as follows:

   ```
   SQL> CONNECT / AS SYSBACKUP
   ```

   If you choose not to use operating system authentication, you can create a password file and then connect with a user name and password.

10. Execute the transport script in SQL*Plus to create the new database on the destination host.

    ```
    SQL> @transportscript
    ```

When the transport script finishes, the creation of the new database is complete.

> **See Also:**
>
> *Oracle Database Administrator's Guide* for information about operating system authentication and password file authentication

27.5.3 Converting Data Files on the Destination Host When Transporting a Database

The procedure converts the format of data files on the destination host as part of a cross-platform database transport.

Perform the data file conversion in the following phases:

1. Ensure that you have met all of the prerequisites described in "Checking the Database Before Cross-Platform Database Conversion".
2. Convert data files on the source host and generate the required scripts, as described in "Performing Preliminary Data File Conversion Steps on the Source Host".
3. Run the generated scripts on the destination host, as described in "Running the Conversion Scripts on the Destination Host".

27.5.3.1 Performing Preliminary Data File Conversion Steps on the Source Host

When you use the `CONVERT DATBASE` command on the source host, RMAN generates an initialization parameter file and scripts that you can edit for use on the destination host. You also copy the unconverted data files from the source host to the destination host..

To perform preliminary data file conversion steps on the source host:

1. Ensure that the database is open in read-only mode.

2. Start RMAN and connect as `TARGET` to the *source* database and as a common user with the `SYSDBA` or `SYSBACKUP` privilege. See "Making Database Connections with RMAN".

3. Run the `CONVERT DATABASE ON DESTINATION PLATFORM` command.

   The following example shows a sample `CONVERT DATABASE` command (sample output included). The `ON DESTINATION PLATFORM` parameter specifies that any `CONVERT` commands required for data files are executed on the destination platform rather than the source platform. The `FORMAT` parameter specifies the naming scheme for the generated files.

```
RMAN> CONVERT DATABASE
2>    ON DESTINATION PLATFORM
3>    CONVERT SCRIPT '/tmp/convertdb/convertscript-target'
4>    TRANSPORT SCRIPT '/tmp/convertdb/transportscript-target'
5>    NEW DATABASE 'newdbt'
6>    FORMAT '/tmp/convertdb/%U';

Starting conversion at source at 28-JAN-13
using target database control file instead of recovery catalog
allocated channel: ORA_DISK_1
channel ORA_DISK_1: sid=39 devtype=DISK

External table SH.SALES_TRANSACTIONS_EXT found in the database
.
.
.
Directory SYS.ORACLE_HOME found in the database
Directory SYS.ORACLE_BASE found in the database
Directory SYS.LOG_FILE_DIR found in the database
.
.
.
BFILE PM.PRINT_MEDIA found in the database

User SYS with SYSDBA and SYSOPER privilege found in password file
User SBU with SYSBACKUP privilege found in password file
channel ORA_DISK_1: starting to check datafiles
input datafile fno=00001 name=/disk1/oracle/dbs/tbs_01.f
channel ORA_DISK_1: datafile checking complete, elapsed time: 00:00:00
channel ORA_DISK_1: starting to check datafiles
input datafile fno=00002 name=/disk1/oracle/dbs/tbs_ax1.f
channel ORA_DISK_1: datafile checking complete, elapsed time: 00:00:00
channel ORA_DISK_1: starting to check datafiles
input datafile fno=00017 name=/disk1/oracle/dbs/tbs_03.f
channel ORA_DISK_1: datafile checking complete, elapsed time: 00:00:00
.
.
.
channel ORA_DISK_1: starting to check datafiles
input datafile fno=00015 name=/disk1/oracle/dbs/tbs_51.f
channel ORA_DISK_1: datafile checking complete, elapsed time: 00:00:00
channel ORA_DISK_1: starting to check datafiles
input datafile fno=00016 name=/disk1/oracle/dbs/tbs_52.f
channel ORA_DISK_1: datafile checking complete, elapsed time: 00:00:00
Edit init.ora file /tmp/convertdb/init_00gb9u2s_1_0.ora. This PFILE will be used
to create the database on the target platform
Run SQL script /tmp/convertdb/transportscript-target on the target platform to
create database
Run RMAN script /tmp/convertdb/convertscript-target on target platform to convert
datafiles
```

```
To recompile all PL/SQL modules, run utlirp.sql and utlrp.sql on the target
platform
To change the internal database identifier, use DBNEWID Utility
Finished conversion at source at 28-JAN-13

Starting Control File Autobackup at 28-JAN-13
piece handle=/disk2/oracle/backups/c-1678658224-20131202-02 comment=NONE
Finished Control File Autobackup at 28-JAN-13
```

The previous command creates a transport script, an initialization parameter file for the new database, and a convert script containing RMAN `CONVERT DATAFILE` commands for each data file being converted.

> **Note:**
>
> `CONVERT DATABASE ON DESTINATION PLATFORM` does *not* produce converted data file copies. The command only creates scripts.

4. Use an operating system utility to copy the following files to a temporary location on the destination host:
   - The data files to be converted
   - The convert script
   - The transport script
   - The initialization file for the destination database
5. Make the source database read/write.

27.5.3.2 Running the Conversion Scripts on the Destination Host

Convert data files on the destination host and complete the cross-platform database transport by running the scripts that were generated on the source host during database conversion.

The convert script created in the previous phase uses the original data file names of the source database files. The `FORMAT` parameter specifies the name that was generated with the `FORMAT` or `DB_FILE_NAME_CONVERT` parameter of the `CONVERT DATABASE` command.

If the data files of the source database are accessible from the destination host with the same path names, then so long as the source database is read-only you can run the convert script on the destination host without any changes. For example, if the source and destination hosts both use NFS to mount a disk containing the source data files, and if the mount point for both hosts is `/fs1/dbs/`, then no editing is needed.

To run the conversion scripts on the destination host:

1. If necessary, edit the convert script.

   In the script, one `CONVERT DATAFILE` command exists for each data file to be converted. The convert script must indicate the current temporary file names of the

unconverted data files and the output file names of the converted data files. A typical convert script looks as follows:

```
RUN
{
 CONVERT
 FROM PLATFORM 'Linux IA (32-bit)'
 PARALLELISM 10
  DATAFILE '/disk1/oracle/dbs/tbs_01.f'
  FORMAT '/tmp/convertdb/data_D-TV_I-1778429277_TS-SYSTEM_FNO-1_7qgb9u2s'

  DATAFILE '/disk1/oracle/dbs/tbs_ax1.f'
  FORMAT '/tmp/convertdb/data_D-TV_I-1778429277_TS-SYSAUX_FNO-2_7rgb9u2s'

  DATAFILE '/disk1/oracle/dbs/tbs_03.f'
  FORMAT '/tmp/convertdb/data_D-TV_I-1778429277_TS-SYSTEM_FNO-17_7sgb9u2s'

 DATAFILE '/disk1/oracle/dbs/tbs_51.f'
 FORMAT '/tmp/convertdb/data_D-TV_I-1778429277_TS-TBS_5_FNO-15_8egb9u2u'

 DATAFILE '/disk1/oracle/dbs/tbs_52.f'
  FORMAT '/tmp/convertdb/data_D-TV_I-1778429277_TS-TBS_5_FNO-16_8fgb9u2u';
}
```

Edit each `DATAFILE` command in the convert script to specify the temporary location of each data file as input. Also, edit the `FORMAT` parameter of each command to specify the desired final location of the data files of the transported database.

2. If necessary, edit the initialization parameter file on the destination host to change settings for the destination database.

   You must edit several entries at the top of the initialization parameter file. For example, the initialization parameter file may look as follows:

```
# Please change the values of the following parameters:
control_files            = "/tmp/convertdb/cf_D-
NEWDBT_id-1778429277_00gb9u2s"
db_recovery_file_dest    = "/tmp/convertdb/orcva"
db_recovery_file_dest_size= 10737418240
instance_name            = "NEWDBT"
service_names            = "NEWDBT.example.com"
plsql_native_library_dir = "/tmp/convertdb/plsqlnld1"
db_name                  = "NEWDBT"
```

3. On the destination host, use SQL*Plus to start the database instance in `NOMOUNT` mode.

   Specify the initialization parameter file that you copied in the preceding step. For example, enter the following command:

```
SQL> STARTUP NOMOUNT PFILE='/tmp/init_convertdb_00i2gj63_1_0.ora'
```

4. Start RMAN and connect to the destination database (not the source database) as `TARGET` and as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For example, enter the following command:

```
% rman
RMAN> CONNECT TARGET "sbu@prod_dest AS SYSBACKUP";
```

5. Run the convert script at the RMAN prompt. For example, enter the following command:

```
RMAN> @/tmp/convertdb/convertscript-target
```

6. Shut down the database instance.

   This step is necessary because the transport script that must execute includes a `STARTUP NOMOUNT` command.

7. If necessary, edit the transport script to use the new names for the converted data files.

   In the example in Step 3, the transport script is `/tmp/convertdb/transportscript.sql`. You run this script on the destination host to create the database. Thus, you must edit this script with the correct names for the data files.

8. Execute the transport script in SQL*Plus.

   For example, create the new database on the destination host as follows:

```
SQL> @/tmp/convertdb/transportscript
```

When the transport script completes, the destination database is created.

27.6 Overview of Cross-Platform Data Transport Using Backup Sets

RMAN can transport databases, data files, and tablespaces across platforms by using backup sets. With backup sets, you can reduce the size of backups using block compression. This improves backup performance and reduces the time taken to transport backups over the network.

> **Note:**
>
> To perform cross-platform data transport using backup sets, the version of the destination database must be Oracle Database 12*c* Release 1 (12.1) or later.

When you transport an entire database to a different platform, the source platform and the destination platform must use the same endian format. However, user tablespaces can be transported to a destination platform that uses a different endian format from the source platform.

A *cross-platform backup* is an RMAN backup that can be restored on a destination platform that is different from the source platform. Cross-platform backups can be restored on any platform that is supported in the `V$TRANSPORTABLE_PLATFORM` view.

RMAN does not catalog backup sets created for cross-platform transport in the control file. This ensures that backup sets created for cross-platform transport are not used during regular restore operations.

27.6.1 Basic Terms Used in Cross-Platform Data Transport Using Backup Sets

Before using backup sets to perform cross-platform data transport, it is useful to understand the following terms.

Foreign Data File

Data files that do not belong to the destination database are called foreign data files. These data files are being plugged in to the destination database as part of a data transfer to the destination database. In the source database, this data file is identified by its original data file number.

Foreign Tablespace

A foreign tablespace is a set of foreign data files that comprise a tablespace in the source database. These foreign data files do not belong to the destination database, but are being transported into the destination database and are identified by the original tablespace name in the source database.

Foreign Data File Copy

A foreign data file copy is a data file that was restored from a cross-platform backup. It cannot be directly plugged in to the destination database because it is inconsistent. You must apply a cross-platform incremental backup to this data file and recover it before you can plug it in to the destination database.

Data Pump Destination

A Data Pump destination is a location on the disk of the server host of the destination database on which the Data Pump export dump file and the Data Pump log files are stored.

27.6.2 High-Level Steps to Transport Data Across Platforms Using Backup Sets

High-level steps to transport data across using backup sets include creating a cross-platform backup of the source database, making the source database backups accessible to the destination, and restoring backups on the destination database.

Transporting data across platforms using backup sets consists of the following high-level steps:

1. On the source database, use the `BACKUP` command to create a cross-platform backup of the database, tablespaces, or data files that need to be transported to a different platform. The backup is created as backup sets on the source host.

Use the `FOR TRANSPORT` or `TO PLATFORM` clause in the `BACKUP` command to create cross-platform backups. When you create a cross-platform backup of read-only tablespaces using either of these clauses, RMAN can also create a Data Pump export dump file containing the metadata required to plug these tablespaces into the destination database.

2. Transfer the backup sets created on the source host to the destination host.

   You can transport the backup sets using operating system utilities. For example, if your operating system is Linux or UNIX, you can use the `cp` command to transfer backup sets.

3. On the destination database, restore the backup sets that were transferred from the source host. Use the `RESTORE` command to restore cross-platform backups.

   When you are transporting tablespaces across platforms by using inconsistent tablespace backups, the additional step of recovering the tablespaces is required as described in "Performing Cross-Platform Transport of Tablespaces Using Inconsistent Backups".

> **Note:**
>
> Although the `TO PLATFORM` and `FOR TRANSPORT` clauses are not supported in Oracle Database 10*g* Release 2 (10.2) or Oracle Database 11*g*, you can transport data from these versions of the database to Oracle Database 12*c* Release 1 (12.1). On the source database, you first create backup sets of the tablespaces to be transported and then create the Data Pump export dump file by using the `expdp` command. To restore these backups on the destination database, you perform a restore operation using the `RESTORE` command and then use the `impdp` command to import the Data Pump export dump file.

> **See Also:**
>
> Guidelines for Cross-Platform Data Transport Using Backup Sets

27.6.3 Scenarios in Which RMAN Automatically Creates a Cross-Platform Backup of the Database

Under certain conditions, RMAN automatically creates a cross-platform backup of the entire database.

When you use backup sets to back up an entire database, RMAN automatically creates a cross-platform backup of the database (in addition to the specified backup) if the following conditions are met:

- Prerequisites for transporting an entire database as a backup set are satisfied, as described in Performing Cross-Platform Transport of a Whole Database with Backup Sets.
- The `BACKUP` command does not contain any clause that is incompatible with the `FOR TRANSPORT` or `TO PLATFORM` clause.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for information about the incompatible clauses

Example 27-1 Implicit Creation of a Cross-Platform Database Backup

The following `BACKUP` command creates a cross-platform backup of the database. Although the command does not contain either the `FOR TRANSPORT` or `TO PLATFORM` clause to indicate that it is a cross-platform backup, because the prerequisites for transporting an entire database using backup sets are met, an implicit cross-platform backup of the database is created.

```
RUN
{
   ALLOCATE CHANNEL c1 DEVICE TYPE DISK;
   ALLOCATE CHANNEL c2 DEVICE TYPE DISK;
   ALLOCATE CHANNEL c3 DEVICE TYPE DISK;
   BACKUP
      SKIP OFFLINE
      FILESPERSET 1
      FORMAT '/tmp/xplat_backups/implicit_full_db_%U'
   DATABASE;}
```

27.6.4 Guidelines for Cross-Platform Data Transport Using Backup Sets

Follow guidelines for performing cross-platform transport with backup sets.

You can specify the names and locations of restored data files and specify the objects that must be imported into the destination database.

27.6.4.1 About Backing Up Data on the Source Database for Cross-Platform Data Transport

Use the `BACKUP` command on the source database to create a backup set containing data that must be transported to the destination database. To indicate that you are creating a cross-platform backup, include either the `FOR TRANSPORT` or `TO PLATFORM` clause.

Use one of the following clauses with the `BACKUP` command:

- `FOR TRANSPORT` clause

  The backup set that is created can be transported to any destination database. If the destination database uses an endian format that is different from that of the source database, then the required endian format conversion is performed on the destination database. The benefit of this method is that the processing overhead of the conversion operation is offloaded to the destination database.

- `TO PLATFORM` clause

  The endian format conversion is performed on the source database. The target platform specified by the `TO PLATFORM` clause must be a supported platform for cross-platform transport. The `V$TRANSPORTABLE_PLATFORM` view contains the list of supported platforms.

> **See Also:**
>
> - *Oracle Database Backup and Recovery Reference* for an example of cross-platform backups that contain multiple backup pieces
> - *Oracle Database Backup and Recovery Reference* for a list of clauses that are not supported when creating cross-platform backups using the `BACKUP` command

27.6.4.2 About the Data Pump Export Dump File Used for Cross-Platform Tablespace Transport

An export dump file is used to store the metadata required to plug in restored tablepaces into the destination database.

When you create a cross-platform consistent tablespace backup, the backup set contains the data files that contain data related to the specified tablespaces. A *consistent tablespace backup* is a backup of one or more tablespaces that is created when the tablespaces are in read-only mode. After you restore this backup in your destination database, the tablespaces must be plugged in to the destination database. To do this, in addition to the backup set containing the tablespace data, you need the metadata for these tablespaces from the source database.

On the source database, use the `DATAPUMP` clause in the `BACKUP` command to create the metadata required to plug tablespaces in to the target database. The metadata is stored in a Data Pump export dump file as a separate backup set. Use this backup set to plug the transported tablespaces in to the target database.

You can specify how the backup set containing the tablespace metadata is named by using the `FORMAT` option with the `DATAPUMP` clause. If you omit the `FORMAT` option, then the format specified in the `BACKUP` command is used to name the export dump file. When no `FORMAT` option is specified in the `BACKUP` command, the default format is used.

> **Note:**
>
> When you use the `DATAPUMP` clause, the tablespaces that are being transported must be made read-only.

27.6.4.3 About Restoring Data on the Destination Host During Cross-Platform Data Transport

Use the `foreignFileSpec` clause of the `RESTORE` command to restore the database, tablespaces, or data files contained in a cross-platform backup consisting of backup sets.

Specify the following information when you restore cross-platform backups:

- Backup set that contains data that was backed up on the source database

Use the `BACKUPSET` option of the `foreignFileSpec` subclause to specify the name of the cross-platform backup set from which data must be restored. If the cross-platform backup consists of multiple backup sets, use a separate `BACKUPSET` clause for each backup set. To restore tablespaces, you must specify the backup sets that contain the tablespace data using the `BACKUPSET` clause and the backup set that contains the tablespace metadata using the `DUMP FILE` option of the `foreignFileSpec` subclause.

Using multiple backup sets is not supported during recovery. You cannot apply multiple backup sets to a set of foreign data files.

- Data file numbers or names of tablespaces as they exist in the source database

  If you are restoring data files or tablespaces, you can restore specific tablespaces or data files that are contained in a cross-platform backup.

- Location where the restored data files must be stored

  Use the `FORMAT` clause to specify the location and the names used to store the restored data files.

  If you do not provide a destination, then the `DB_CREATE_FILE_DEST` initialization parameter must be set in the target platform. RMAN restores the data files to the location specified by this parameter using new Oracle Managed File (OMF) names.

- Name of the source platform (only when conversion is performed on the destination database)

  Use `FROM PLATFORM` to specify the name of the source platform on which the backup sets were created. The platform name must exactly match the name specified while creating the backup set. If there is a difference in the platform names, the restore operation fails.

See Also:

- *Oracle Database Backup and Recovery Reference* for information about `RESTORE` command clauses
- "About Names and Locations for Restored Objects on the Destination Database"
- "About Backing Up Data on the Source Database for Cross-Platform Data Transport"

27.6.4.4 About Selecting Objects to Be Restored from Cross-Platform Backups

While restoring data from a cross-platform backup, you can either restore all the data contained in the cross-platform backup or only certain objects.

Restoring All Data Contained in the Cross-Platform Backup

To restore the entire database, use the `FOREIGN DATABASE` clause in the `RESTORE` command. This clause can only be used when restoring from a whole database backup set and when both the source platform and destination database use the same endian format. You can optionally use the `FORMAT` clause to specify the pattern used to name restored files.

To restore all the data files contained in the cross-platform backup, use the `ALL FOREIGN DATAFILES` clause in the `RESTORE` command.

Restoring Part of the Data Contained in the Cross-Platform Backup Set

You can restore some data files or tablespaces contained in a cross-platform backup. To restore only some data files, use the `FOREIGN DATAFILE` clause in the `RESTORE` command. Specify the absolute file number of the data file in the source database while restoring data. To restore only some tablespaces contained in a cross-platform backup, use the `FOREIGN TABLESPACE` clause in the `RESTORE` command. Specify the names of the tablespaces that must be restored as part of this clause.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for more information about the clauses described in this section

27.6.4.5 About Names and Locations for Restored Objects on the Destination Database

While restoring a cross-platform backup, specify the data file names and the location to which the data files must be restored.

Use one of the following clauses in the `RESTORE` command:

- Use the `TO NEW` option with the `ALL FOREIGN DATAFILES` clause to restore the data files to the location specified by the `DB_CREATE_FILE_DEST` parameter. By default, RMAN uses OMF names for the data files.
- Use the `FORMAT` option to specify the pattern used to name restored data files. You can also specify the directory for these files as part of the `FORMAT` specification.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for more information about the clauses described in this section

27.6.4.6 About Importing the Data Pump Export Dump File Created During Cross-Platform Tablespace Transport

When restoring a cross-platform backup of read-only tablespaces on the destination database, the backup set that contains the Data Pump export dump file created must be restored.

Use the `DUMP FILE ... FROM BACKUPSET` option of the `foreignFileSpec` subclause to restore the backup set that contains the Data Pump export dump file that was created on the source database. This file contains the metadata required to plug the tablespace in to the destination database.

Use the `DATAPUMP` clause in the `RESTORE` command to specify the location, in the destination host, to which the export dump file is restored. If you omit this clause, the dump file is restored to a default operating system-specific location.

By default, RMAN automatically imports the export dump file after all the required foreign data files are restored. You can choose not to import the export dump file by specifying the `NOIMPORT` clause. If you do not import the export dump file as part of the restore operation, then you must manually import the dump file when you want to plug the tablespaces in to the destination database.

> **Note:**
>
> If the export dump file is automatically imported (that is, the `NOIMPORT` clause is not used), then the destination database must be open in read/write mode.

27.7 Performing Cross-Platform Database Transport with Backup Sets

You can transport a whole multitenant container database (CDB), the root only, or one or more pluggable databases (PDBs) across platforms.

27.7.1 About Cross-Platform Transport of PDBs

To transport an entire PDB to a different platform, the source platform and destination platform must use the same endian format. The `COMPATIBLE` parameter on the source and destination CDB must be set to 12.1 or higher.

Use one of the following techniques to transport PDBs across platforms:

- Connect to the root and use the `BACKUP FOR TRANSPORT ... PLUGGABLE DATABASE` or `BACKUP TO PLATFORM ... PLUGGABLE DATABASE` command to create a cross-platform backup of one or more PDBs.

  When you are connected to the root, the following command creates a cross-platform backup of the PDBs `hr_pdb` and `sales_pdb`. The PDBs must be read-only mode before the cross-platform backup is created.

  ```
  BACKUP FOR TRANSPORT
  PLUGGABLE DATABASE hr_pdb, sales_pdb FORMAT '/tmp/backups/pdb_%U';
  ```

- Connect to the PDB and use the `BACKUP FOR TRANSPORT` or `BACKUP TO PLATFORM` commands to create backup sets that can be used to transport the PDB data to another platform.

> **Note:**
>
> Performing cross-platform data transport of one or more PDBs by using the `CONVERT` command is not supported.

> **See Also:**
>
> "Making RMAN Connections to a CDB"

27.7.2 Performing Cross-Platform Transport of a Whole Database with Backup Sets

When transporting an entire database across platforms using backup sets, you can convert the database either on the source database or the destination database. The benefit of performing the conversion on the destination database is that the processing overhead of the convert operation is offloaded from the source to the destination database.

Prerequisites for Creating a Cross-Platform Backup of the Entire Database:

- The `COMPATIBLE` parameter in the server parameter file of the source database and the destination database must be set to 12.0.0 or higher.
- The source database must be open in read-only mode.
- The `DBMS_TDB.CHECK_DB` procedure must run successfully. See "Checking the Database Before Cross-Platform Database Conversion".
- The source platform and destination platform must use the same endian format.

To transport a whole database from one platform to another:

1. Start SQL*Plus and connect to the root of the source database `prod_source` as a common user with the `SYSDBA` privilege.

   ```
   % sqlplus sys@prod_source as SYSDBA
   ```

   When prompted, enter the password for the `sys` user.

2. Query the name of the destination platform in `V$TRANSPORTABLE_PLATFORM`.

   To transport the entire database, the endian formats of the source platform and the destination platform must be the same.

   > **See Also:**
   >
   > "Platforms that Support Cross-Platform Data Transport" for information about determining the platform name

3. Choose a method for naming the output files.

   Use the `FORMAT` clause of the `BACKUP` command to specify the names of the output files.

For example, the following FORMAT clause specifies that the output files must be stored using unique names that begin with transport_ in the directory /oradata/backups/special.

```
FORMAT '/oradata/backups/special/transport_%U'
```

4. Start RMAN and connect to the source database as TARGET and as a common user with the SYSDBA or SYSBACKUP privilege.

   The source database is the database that contains the data that needs to be transported to a different platform.

   In this example, sbu is a user who is granted the SYSBACKUP privilege in the source database prod_source.

   ```
   % RMAN
   RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
   ```

   Enter the password for the sbu user when prompted.

5. Place the database in read-only mode.

   ```
   SHUTDOWN IMMEDIATE;
   STARTUP MOUNT;
   ALTER DATABASE OPEN READ ONLY;
   ```

6. Back up the source database using the FOR TRANSPORT or TO PLATFORM clause in the BACKUP command. Using either of these clauses creates a cross-platform backup that uses backup sets.

   The following example creates a cross-platform backup of the entire database. This backup can be restored on any supported platform that uses the same endian format as the source database. The source platform is Sun Solaris x86 64-bit. Because the FOR TRANSPORT clause is used, the conversion is performed on the destination database. The cross-platform database backup is stored in db_trans.bck in the /tmp/xplat_backups directory on the source host.

   ```
   BACKUP
   FOR TRANSPORT
   FORMAT '/tmp/xplat_backups/db_trans.bck'
   DATABASE;
   ```

7. Disconnect from the source database.
8. Move the backup sets created by the BACKUP command to the destination host.

   Use operating system-specific utilities to transfer the created backup sets from the source host to the destination host.

   For example, if the operating system of your source and destination hosts is Linux or UNIX, use the cp command to move files.

9. Connect to the destination database (to which the database must be transported) as TARGET and as a common user with the SYSDBA or SYSBACKUP privilege.

In this example, sbu is a user who is granted the SYSBACKUP privilege in the destination database prod_dest.

```
% RMAN
RMAN> CONNECT TARGET "sbu@prod_dest AS SYSBACKUP";
```

Enter the password for the sbu user when prompted.

10. Ensure that the destination database is in NOMOUNT state.
11. Restore the backup sets that were transferred from the source by using the RESTORE command with the FOREIGN DATABASE clause.

    The following example restores the cross-platform database backup created in Step 6. The destination database uses the same endian format as the source database. The FROM PLATFORM clause specifies the name of the platform on which the backup was created. This clause is required to convert backups on the destination. The backup set containing the cross-platform database backup is stored in the /tmp/xplat_restores directory on the destination host. The TO NEW option specifies that the restored foreign data files must use new OMF-specified names in the destination database. Ensure that the DB_CREATE_FILE_DEST initialization parameter is set.

```
RESTORE
FROM PLATFORM 'Solaris Operating System (x86-64)'
FOREIGN DATABASE TO NEW
FROM BACKUPSET '/tmp/xplat_restores/db_trans.bck';
```

27.7.3 Performing Cross-Platform Transport of a Closed PDB

Pluggable database (PDBs) can be transported and plugged in to a destination multitenant container database (CDB) which is on a different platform than the source CDB. In addition to an RMAN backup of the PDB, you need the metadata required to plug the PDB into the destination CDB.

The COMPATIBLE parameter on the source CDB and destination CDB must be set to 12.2. The source CDB and the destination CDB must use the same endian format.

To perform cross-platform transport of a closed PDB into a destination CDB:

1. Perform the following steps on the source CDB:
   a. Connect to the root as a common user with the SYSDBA or SYSBACKUP privilege.
   b. Close the PDB that needs to be transported.

      The following statement closes the PDB hr_pdb.

```
RMAN> ALTER PLUGGABLE DATABASE hr_pdb CLOSE IMMEDIATE;
```

   c. Create a cross-platform full backup of the PDB that must be transported by using the BACKUP PLUGGABLE DATABASE command.

      To create a cross-platform backup, include either the FOR TRANSPORT or TO PLATFORM clause.

The following example creates a cross-platform backup of the PDB `hr_pdb` for the `Linux x86 64-bit` platform. The metadata required to plug this PDB into the destination CDB is specified using the `UNPLUG INTO` clause and stored in the XML file `metadata_hrpdb.xml`.

```
BACKUP TO PLATFORM= 'Linux x86 64-bit'
   UNPLUG INTO '/u01/oradata/backups/metadata_hrpdb.xml'
   PLUGGABLE DATABASE hr_pdb
   FORMAT '/u01/oradata/backups/transport_hrpdb.bck';
```

2. Transport the backup sets and the XML file created in Step 1c to the destination CDB.
3. Perform the following steps on the destination CDB:
   a. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
   b. Ensure that the CDB is open in read-write mode.

      The following command displays the current mode of the CDB.

      ```
      RMAN> SELECT open_mode FROM V$DATABASE;
      ```

   c. Determine if the source PDB that is being transported is compatible with the destination CDB by using the `DBMS_PDB.CHECK_PLUG_COMPATIBILITY` procedure.

      The following function determines if the source PDB `hr_pdb`, whose metadata is stored in an XML file `metadata_hrpdb.xml`, can be plugged in to the destination CDB. The function returns `TRUE` is the source PDB is compatible with the destination CDB.

      ```
      SQL> declare
        2     c boolean;
        3  begin
        4     c:=dbms_pdb.check_plug_compatibility('/u02/backup_restore/
      metadata_hrpdb.xml','HR_PDB');
        5     if (c) then dbms_output.put_line('True');
        6       else dbms_output.put_line('False');
        7     end if;
        8  end;
        9  /
      PL/SQL procedure successfully completed.
      ```

   d. Restore the PDB backup that was created on the source CDB.

      The `USING` clause specifies the name of the XML file that contains the metadata required to plug the source PDB into the destination CDB. To copy data files to a different location than described in the XML file, use the `FILE_NAME_CONVERT` clause.

      The following statement restores the backup of the PDB `hr_pdb` that is stored in the backupset `transport_hrpdb.bck`. The metadata for this PDB is stored in `metadata_hrpdb.xml`.

      ```
      RESTORE
         USING '/u02/backup_restore/metadata_hrpdb.xml'
         FOREIGN PLUGGABLE DATABASE hr_pdb FORMAT '/u02/oracle/
      oradata/%U'
         FROM BACKUPSET '/u02/backup_restore/transport_hrpdb.bck';
      ```

e. Open the restored PDB.

The following command opens the PDB `hr_pdb`.

```
RMAN> ALTER PLUGGABLE DATABASE hr_pdb OPEN;
```

> **Note:**
>
> If a PDB with the same name as the one being transported exists on the destination database, then the restore operation fails.

> **Note:**
>
> Performing Cross-Platform Transport of a PDB Using Inconsistent Backups

27.7.4 Performing Cross-Platform Transport of a PDB Using Inconsistent Backups

You can use a combination of inconsistent and consistent backups to transport a PDB and plug it into a CDB that is on a different platform. Inconsistent backups enable you to reduce application downtime because the PDB can be open while the backup is performed

When the PDB is open, you create cross-platform inconsistent backups. The first backup is an incremental level 0 backup. Subsequent backups are incremental level 1 backups that contain changes made to the PDB since the last incremental backup. There is no restriction on the number of inconsistent level 1 backups. Finally, close the PDB, create the last consistent incremental level 1 backup and the XML file containing the metadata required to plug the source PDB into a destination CDB.

The `COMPATIBLE` parameter on the source CDB and destination CDB must be set to 12.2. The source CDB and the destination CDB must use the same endian format.

1. Perform the following steps on the source CDB:
   a. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
   b. Ensure that the PDB that must be transported is in read-write mode.
   c. Note the database SCN before a level 0 backup is created.

      ```
      SELECT CHECKPOINT_CHANGE# FROM V$DATABASE;
      ```

   d. Create a cross-platform incremental level 0 backup of the PDB that must be transported by using the `BACKUP ... PLUGGABLE DATABASE` command.

      Use either the `FOR TRANSPORT` or `TO PLATFORM` clause to specify a cross-platform backup. Include the `ALLOW INCONSISTENT` clause to indicate that the PDB is not in a consistent state.

The following statement creates a cross-platform incremental level 0 backup of the PDB `hr_pdb`.

```
BACKUP INCREMENTAL LEVEL 0
   FOR TRANSPORT
   ALLOW INCONSISTENT
   PLUGGABLE DATABASE hr_pdb FORMAT '/u01/backups/hr_pdb_level0.bck';
```

Because the PDB is in read-write mode, an inconsistent backup is created.

e. Close the PDB being transported.

The following command closes the PDB `hr_pdb`.

```
RMAN> ALTER PLUGGABLE DATABASE hr_pdb CLOSE IMMEDIATE;
```

f. Create a consistent cross-platform incremental backup. The point-in-time for the incremental backup must be from the SCN noted in Step 1c.

Include the `UNPLUG INTO` clause to specify the name of the XML that stores the metadata required to plug this PDB into the destination CDB.

The following statement creates a cross-platform incremental backup of the PDB `hr_pdb`. This is a consistent PDB backup.

```
BACKUP INCREMENTAL FROM SCN 36462
   FOR TRANSPORT
   UNPLUG INTO '/u01/backups/metadata_hr_pdb.xml'
   PLUGGABLE DATABASE hr_pdb FORMAT '/u01/backups/
hr_pdb_level1_con.bck';
```

2. Transport all the PDB backups and the XML file containing the PDB metadata to the destination database.

> **Note:**
>
> Typically, you would transport the inconsistent backups as they are created and then restore them on the destination CDB.

3. Perform the following steps on the destination CDB:

a. Connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.

b. Ensure that the destination CDB is open in read-write mode.

c. Restore the cross-platform inconsistent level 0 backup of the PDB that was created on the source CDB.

This restore operation creates a set of foreign data files that correspond to the source PDB in the destination CDB.

The following statement restores the cross-platform level 0 backup of the PDB `hr_pdb` that is stored in the backupset `hr_pdb_level0.bck`.

```
RESTORE
   FOREIGN PLUGGABLE DATABASE hr_pdb FORMAT '/u02/oradata/%U'
   FROM BACKUPSET '/u02/backup_restore/hr_pdb_level0.bck';
```

d. Apply the cross-platform level 1 incremental backup created when the source PDB was closed to the data files restored in Step 3c. Use the XML file containing the source PDB metadata to plug the PDB into the destination CDB.

   The following command recovers the foreign data files by using the incremental level 1 backup `hr_pdb_level1_con.bck`. There are two restored foreign data files specified using the `FOREIGN DATAFILECOPY` clause. The `USING` clause specifies the XML file that contains metatdata required to plug the PDB into the destination CDB.

```
RECOVER
USING '/u02/backup_restore/metadata_hr_pdb.xml'
FOREIGN DATAFILECOPY '/u2/oradata/09qurbdp_1_1','/u2/oradata/
03bcdqrv_2_5'
FROM BACKUPSET '/u02/backup_restore/hr_pdb_level1_con.bck';
```

e. Determine the name of the transported PDB in the destination CDB.

   The source PDB is plugged in to the destination using a different name. Typically, the name is the unique name of the CDB followed by a randomly-generated number.

   The following command displays the list of PDBs.

```
SELECT name FROM V$PDBS;
```

f. Open the recovered PDB.

   The following statement opens the PDB `mycdb_72346`.

```
RMAN> ALTER PLUGGABLE DATABASE mycdb_72346 OPEN;
```

> **Note:**
>
> If a PDB with the same name as the one being transported exists on the destination database, then the restore operation fails.

> **Note:**
>
> Performing Cross-Platform Transport of a Closed PDB

27.8 Performing Cross-Platform Transport of Tablespaces Using Backup Sets

You can transport tablespaces in the root or pluggable database (PDB) using backup sets.

27.8.1 Performing Cross-Platform Transport of Read-Only Tablespaces Using Backup Sets

Use the `BACKUP` command to create backup sets used to transport read-only tablespaces from one platform to another. You must also export the metadata required to plug these tablespaces in to the destination database.

The source and destination platform can use different endian formats. You can restore all or some of the data files or tablespaces contained in a cross-platform backup. After restoring these objects, you can specify the name and location for the restored data files.

Prerequisites:

- `COMPATIBLE` parameter in the server parameter file of the source database and destination database is set to 12.0.0 or greater.
- The tablespaces to be transported are self-contained.

  Execute the `DBMS_TTS.TRANSPORT_SET_CHECK` procedure to check for dependencies. If the `TRANSPORT_SET_VIOLATIONS` view contains rows corresponding to the specified tablespaces, then you must resolve the dependencies before creating the cross-platform backup.

  > **See Also:**
  >
  > Example 20-1 for information about executing the `DBMS_TTS.TRANSPORT_SET_CHECK` procedure

- The tablespaces to be transported are in read-only mode, unless the `ALLOW INCONSISTENT` clause is used in the `BACKUP` command.

To transport read-only tablespaces across platforms using backup sets:

1. Connect to the source database from which you need to transport tablespaces as `TARGET`.

   For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablspaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

   In this example, `sbu` is a user who is granted the `SYSBACKUP` privilege on the source database `prod_source`.

   ```
   $ RMAN
   RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
   ```

Enter the password for the `sbu` user when prompted.

> **Note:**
>
> To perform cross-platform transport of an encrypted tablespace, you must connect to the PDB as a common user with the `SYSDBA` or `SYSBACKUP` privilege.

2. Query the name of the destination platform in `V$TRANSPORTABLE_PLATFORM`.

> **See Also:**
>
> "Platforms that Support Cross-Platform Data Transport" for information about determining the platform name

3. Place the tablespaces to be transported in read-only mode.

   The following command places the tablespace `EXAMPLE` in read-only mode.

   ```
   ALTER TABLESPACE example READ ONLY;
   ```

4. Choose a method for naming the output files.

   Use the `FORMAT` clause of the `BACKUP` command to specify a pattern for naming the output files.

> **See Also:**
>
> "About Names and Locations for Restored Objects on the Destination Database"

5. If the tablespace being transported is a TDE-encrypted tablespace, then specify the passphrase that will be used to wrap the master key before storing it in the backupset.

   The following command sets the passphrase to `encr_temp`.

   ```
   RMAN> SET PASSPHRASE ON IDENTIFIED BY encr_temp;
   ```

6. Back up the tablespace on the source database using the `BACKUP` command with the `TO PLATFORM` or `FOR TRANSPORT` clause. Use the `DATAPUMP` clause to indicate that an export dump file for the tablespaces must be created. The export dump file is created in its own backup piece.

   The following example creates a cross-platform backup of the tablespaces `projects` and `tasks` that can be restored on the Solaris[tm] OE (64-bit) platform. This backup is stored in the backup set `trans_ts.bck` in the `/tmp/xplat_backups` directory. The Data Pump export dump file containing metadata required to plug

the tablespaces in to the destination database is stored in `trans_ts_dmp.bck` in the `/tmp/xplat_backups` directory.

```
RMAN > BACKUP
          TO PLATFORM 'Solaris[tm] OE (64-bit)'
          FORMAT '/tmp/xplat_backups/trans_ts.bck'
          DATAPUMP FORMAT '/tmp/xplat_backups/trans_ts_dmp.bck'
          TABLESPACE projects, tasks;
```

Because the `TO PLATFORM` clause is used, conversion to the endian format of the destination database is performed on the source database.

> **Note:**
>
> When you use the `DATAPUMP` clause, ensure that the target database is open.

7. Disconnect from the source database.
8. Move the backup sets created by the `BACKUP` command and the Data Pump export dump file to the destination host.

   You can use operating system utilities to move the backup sets from the source host to the destination host.
9. Connect to the destination database, into which the tablespaces must be transported, as `TARGET`.

   For tablespaces in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablspaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

   In this example, `sbu` is a user who is granted the `SYSBACKUP` privilege on the destination database `prod_dest`.

   ```
   % RMAN
   RMAN> CONNECT TARGET "sbu@prod_dest AS SYSBACKUP";
   ```

   Enter the password for the `sbu` user when prompted.
10. If the tablespace being transported is a TDE-encyrpted tablespace, then provide the passphrase that was used on the source database to wrap the master key.

    The following example sets the passphrase to encr_temp.

    ```
    SET PASSPHRASE ON IDENTIFIED BY encr_temp;
    ```

11. Restore the backup sets that were transported from the source database using the `RESTORE` command. Use the `DUMP FILE` clause to import the export dump file containing the tablespace metadata and plug the tablespaces in to the destination database.

    The following example restores the `projects` and `tasks` tablespaces from the cross-platform backup created in Step 6. The backup set `trans_ts.bck` in the `/tmp/xplat_restores` directory on the destination host. The Data Pump export dump file

containing the metadata that is required to plug these tablespaces in to the destination database is stored in the `trans_ts_dump.bck` in the `/tmp/xplat_restores` directory.

```
RMAN> RESTORE
      FOREIGN TABLESPACE projects, tasks TO NEW
      FROM BACKUPSET '/tmp/xplat_restores/trans_ts.bck'
      DUMP FILE FROM BACKUPSET '/tmp/xplat_restores/
trans_ts_dmp.bck';
```

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for additional examples on performing cross-platform backup and restore operations

27.8.2 Performing Cross-Platform Transport of Tablespaces Using Inconsistent Backups

You can transport inconsistent tablespaces across platforms using backup sets or image copies. Use the `BACKUP` command to create a cross-platform backup using backup sets.

> **See Also:**
>
> For more information about using scripts to perform cross-platform transport using backup sets, refer to the My Oracle Support Note 1389592.1 at https://support.oracle.com/rs?type=doc&id=1389592.1

27.8.2.1 Overview of Cross-Platform Transport of Tablespaces Using Inconsistent Backups

You can perform cross-platform transport of read-write tablespaces. Create one or more incremental cross-platform backups when the tablespaces are in read-write mode. Then create one final incremental backup when the tablespace is in read only mode.

An *inconsistent tablespace backup* is a backup of one or more tablespaces that is created when the tablespaces are in read/write mode. The term inconsistent refers to the fact that data files in the backup contain changes that were made after the files were checkpointed. The foreign data files produced during a cross-platform inconsistent backup operation cannot be directly plugged in to the destination database. They must be made consistent before they can be opened on the destination database. You make the foreign data files consistent by applying a cross-platform incremental backup, created when the tablespaces are placed in read-only mode, to these foreign data files. This backup must also include the export dump file containing the metadata required for plug the transported tablespaces in to the destination database.

Inconsistent tablespace backups enable you to reduce application downtime. When the tablespaces are online and available to the users, you create cross-platform inconsistent backups on the source database. The first backup must be a level 0 incremental backup. Subsequently, create smaller level 1 incremental backups that contain the changes made to the tablespaces since the most recent level 1 backup. These level 0 and level 1 incremental backups can be restored and applied on the destination database even as other level 1 incremental backups are being created on the source database. You need not wait until all the level 1 incremental backups are created on the source database before you start applying previously-created level 1 backups on the destination database. Since the tablespaces are still online while these incremental backups are being created, there is no application downtime at this stage. The final level 1 incremental backup is created with the tablespaces placed in read-only mode. The application downtime begins at this stage. This final backup must include the metadata required to plug the tablespaces in to the destination database.

On the destination database, you first restore the level 0 incremental backup to create a set of foreign data files. Next, apply the level 1 incremental backups that were created when the tablespaces were in read/write mode to these restored foreign data files. Apply these backups in the same order in which they were created. In most cases, the destination database catches up with the last level 1 incremental backup before the final incremental backup, taken with the tablespaces placed in read-only mode, is created on the source database. The last step is to restore the final level 1 incremental backup, created when the tablespaces were placed in read-only mode, to make the foreign data files consistent. This backup contains the tablespace metadata required to plug the tablespaces in to the destination database.

The `CONVERT` command creates cross-platform backups using image copies.

About Creating Inconsistent and Incremental Backups on the Source Database

Use the `ALLOW INCONSISTENT` clause in a `BACKUP` or `CONVERT` command to create a cross-platform inconsistent backup of one or more tablespaces. The tablespaces being transported are in read/write mode when an inconsistent backup is created. To create incremental backups, use the `INCREMENTAL LEVEL 1` clause in the `BACKUP` command.

The first inconsistent backup is a level 0 incremental backup. Subsequently, you can create multiple cross-platform level 1 incremental backups. The final cross-platform incremental backup must be a consistent backup that is created when the tablespaces are read-only. When you create this final incremental backup, use the `DUMP FILE` clause in the `BACKUP` command to create the dump file containing the tablespace metadata.

When you use the `CONVERT` command, you must explicitly create the export dump file that contains the metadata for the tablespaces by using the Data Pump Export utility.

> **Note:**
>
> The `ALLOW INCONSISTENT` clause cannot be used for cross-platform whole database backups.

About Restoring and Recovering Inconsistent Backups on the Destination Database

You first restore the cross-platform level 0 incremental backup, taken when the tablespaces are placed in read/write mode, on the destination database. This operation creates restores the backup and creates foreign data file copies. These foreign data files are inconsistent because the tablespaces were not placed in read-only mode when the backup was created.

To make these foreign data files consistent and achieve a consistent checkpointed SCN, apply the incremental backups in the order in which they were created. The final incremental backup applied must be a cross-platform incremental backup that was created when the tablespaces were in read-only mode. Next, to plug the tablespaces in to the destination database, you restore and import the dump file that contains the metadata of the tablespaces being transported.

27.8.2.2 Requirements for Applying Cross-Platform Incremental Backups to the Restored Data Files

Certain requirements must be met to apply cross-platform incremental backups to the restore data files on the destination database.

To successfully apply a cross-platform incremental tablespace backup to a set of restored foreign data files:

- For each data file that is included in the cross-platform incremental backup, the start SCN must be lower than the current checkpoint SCN of the foreign data file copy.
- The foreign data file copies created by the restore operation must not be modified.

  For example, if a foreign data file copy has been plugged in to the destination database, made read/write, and then made read-only, then RMAN considers that this file has been modified.

27.8.2.3 Steps to Transport Inconsistent Tablespaces to a Different Platform

This section describes the high-level steps to perform cross-platform transport of inconsistent tablespaces using backup sets or image copies.

Prerequisites:

- Confirm that the prerequisites for transporting inconsistent tablespaces using backup sets, described in "Performing Cross-Platform Transport of Read-Only Tablespaces Using Backup Sets", are met.
- Confirm that conditions required to apply cross-platform incremental backups to restore data files, as described in Requirements for Applying Cross-Platform Incremental Backups to the Restored Data Files, are met.

To transport inconsistent tablespaces to a different platform:

1. Create the files required to transport one more tablespaces in the source database as described in Creating Files Required to Transport Tablespaces to a Different Platform
2. Transfer files from the source host to the destination host as described in Transferring Files Created on the Source Host to the Destination Host.
3. Restore tablespaces and plug them into the destination database as described in Restoring Tablespaces and Plugging them in to the Destination Database.

27.8.2.3.1 Creating Files Required to Transport Tablespaces to a Different Platform

This step consists of performing the following tasks in the source database:

1. If the tablespace being transported is a TDE-encrypted tablespace, then specify the passphrase that will be used to wrap the master key before storing it in the backupset.

   The following command sets the passphrase to `encr_temp`.

   ```
   RMAN> SET PASSPHRASE ON IDENTIFIED BY encr_temp;
   ```

2. Create a cross-platform level 0 inconsistent backup of the tablespaces that must be transported to a different platform. The tablespaces are in read/write mode.

   Use the `ALLOW INCONSISTENT` and `INCREMENTAL LEVEL 0` clauses in the `BACKUP` command to indicate that the backup is an inconsistent backup of one or more tablespaces.

3. Create a cross-platform level 1 incremental backup of the tablespaces that must be transported to another platform. The tablespace are in read/write mode.

   Subsequent to the first level 0 inconsistent backup, you can create any number of level 1 incremental backups when the tablespaces are in read/write mode. Use the `ALLOW INCONSISTENT` and `INCREMENTAL LEVEL 1` clause to create these incremental backups. Performing frequent incremental backups when the tablespaces are in read/write mode is advantageous because this reduces the amount of changed data that needs to be applied to the destination database using the final incremental backup that is taken when the tablespace is read-only.

4. Create a cross-platform level 1 incremental backup of the tablespaces with the tablespaces in read-only mode.

   This is the final incremental backup and it must include the dump file that contains the metadata required to plug the transported tablespaces in to the destination database. Use the `INCREMENTAL LEVEL 1` clause in the `BACKUP` command to create a level 1incremental backup.

   When you perform cross-platform transport using the `BACKUP` command, use the `DATAPUMP` clause to create the Data Pump export dump files along with the incremental backup. The dump file is created in a separate backup set. When you create cross-platform incremental backups using image copies, you must explicitly create the dump file containing tablespace metadata by using the Data Pump Export utility.

27.8.2.3.2 Transferring Files Created on the Source Host to the Destination Host

Use FTP, an operating system copy command, or some other mechanism to move the backup sets, data files, and the dump file that were created in the source database to the destination host.

27.8.2.3.3 Restoring Tablespaces and Plugging them in to the Destination Database

This step consists of performing the following tasks on the destination database:

1. If the tablespace being transported is a TDE-encyrpted tablespace, then provide the passphrase that was used on the source database to wrap the master key.

   The following example sets the passphrase to encr_temp.

   ```
   SET PASSPHRASE ON IDENTIFIED BY encr_temp;
   ```

2. Restore the cross-platform level 0 inconsistent backup.

   This restore operation creates a set of foreign data files on the destination database. These foreign data files are inconsistent, and they need recovery before they can be plugged in to the destination database.

Use the `RESTORE` command to restore the cross-platform level 0 inconsistent backup. When you restore a cross-platform inconsistent backups that consist of backup sets, use the `FROM BACKUPSET` clause to specify the name of the backup set that contains the level 0 inconsistent backup.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for information about using the `RESTORE` command for cross-platform restore operations

3. Apply the cross-platform level 1 incremental backup, taken when the tablespaces were in read/write mode, to the foreign data files restored in Step 1.

   If you created multiple cross-platform level 1 incremental backups, these incremental backups must be applied in the order in which they were created. Use the `RECOVER` command to apply the incremental backups. The `FOREIGN DATAFILECOPY` clause of the `RECOVER` command must list each data file to which the incremental backup must be applied. Use the `FROM BACKPSET` clause to specify the name of the backup set that contains the data to be recovered.

4. Apply the cross-platform level 1 incremental backup, taken when the tablespaces were in read-only mode, to the foreign data files restored in Step 1.

   Use the `RECOVER` command to apply the incremental backup. The `FOREIGN DATAFILECOPY` clause of the `RECOVER` command must list each data file to which the incremental backup needs to be applied. Use the `FROM BACKPSET` clause to specify the name of the backup set that contains the data to be recovered.

5. Restore the backup set containing the tablespace metadata.

   Use the `RESTORE` command to restore the backup set that contains the dump file created during the cross-platform incremental backup. The tablespaces were in read-only mode when this backup was created. You can optionally use the `DUMP FILE` clause to specify a name for the dump file on the destination database and the `DATAPUMP DESTINATION` clause to specify the directory in which the dump file is restored. If these clauses are omitted, RMAN uses the configured defaults. When transporting data using backup sets, use the `FROM BACKUPSET` clause to specify the name of the backup set that contains the dump file.

6. Import the dump file containing the tablespace metadata into the destination database.

   Plug the recovered tablespaces in to the destination database by using the Data Pump Import utility to import the dump file created during the incremental backup. You must run the Data Pump Import utility as a user with the `SYSDBA` privilege.

27.8.2.4 Example: Performing Cross-Platform Inconsistent Tablespace Transport Using Backup Sets

This example transports the inconsistent tablespace `my_tbs` from the source database, which is on the Sun Solaris platform, to a destination database on the Linux x86 64-bit platform.

> **See Also:**
>
> "Steps to Transport Inconsistent Tablespaces to a Different Platform " for conceptual information about each step in this example

The following steps enable you to transport the inconsistent tablespace `my_tbs` across platforms using backup sets:

1. Connect to the source database as a user who is granted the `SYSBACKUP` privilege.

   ```
   RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
   ```

2. Ensure that the prerequisites required to transport tablespaces to another platform are met, as described in Performing Cross-Platform Transport of Read-Only Tablespaces Using Backup Sets.

3. Create a cross-platform level 0 inconsistent backup of the tablespace `my_tbs` when the tablespace is read/write mode. This backup is stored in a backup set named `my_tbs_incon.bck` in the directory `/tmp/xplat_backups`.

   ```
   BACKUP
   FOR TRANSPORT
   ALLOW INCONSISTENT
   INCREMENTAL LEVEL 0
   TABLESPACE my_tbs FORMAT '/tmp/xplat_backups/my_tbs_incon.bck';
   ```

   Because `FOR TRANSPORT` is used instead of `TO PLATFORM`, this cross-platform backup can be restored on any platform. The conversion will be performed on the destination database.

4. Create a cross-platform level 1 incremental backup of the tablespace `my_tbs` that contains the changes made after backup in Step 3 was created. The tablespace is still in read/write mode. This incremental backup is stored in `my_tbs_incon1.bck` in the directory `/tmp/xplat_backups`.

   ```
   BACKUP
   FOR TRANSPORT
   ALLOW INCONSISTENT
   INCREMENTAL LEVEL 1
   TABLESPACE my_tbs FORMAT '/tmp/xplat_backups/my_tbs_incon1.bck';
   ```

   To minimize application downtime, the level 0 and level 1 incremental backups created in Steps 3 and 4 can be restored and applied on the destination database while the source tablespace is still in read/write mode. When the destination database catches up with last level 1 incremental backup, you can create the final incremental backup with the tablespace placed in read-only mode.

5. Place the tablespace `my_tbs` in read-only mode.

   ```
   ALTER TABLESPACE my_tbs READ ONLY;
   ```

6. Create the final cross-platform level 1 incremental backup of the tablespace `my_tbs`. This backup contains changes made to the database after the backup that was created in Step 4. It must include the export dump file that contains the tablespace metadata.

   ```
   BACKUP
   FOR TRANSPORT
   INCREMENTAL LEVEL 1
   TABLESPACE my_tbs
   ```

```
FORMAT '/tmp/xplat_backups/my_tbs_incr.bck'
DATAPUMP FORMAT '/tmp/xplat_backups/my_tbs_incr_dp.bck';
```

The incremental backup is stored in `my_tbs_incr.bck`. The export dump file containing the tablespace metadata is stored in a backup set named `my_tbs_incr_dp.bck`.

The following is a formatted output of the `BACKUP` command that was run in this step. The output is edited to display only the relevant information. Observe that the dump file is called `backup_tts_RDBMS_13462.dmp`, which is a name assigned by the operating system, and is stored in the directory specified by the `DESTINATION` clause.

```
Starting backup at 12-SEP-12
……
Performing export of metadata for specified tablespaces...
EXPDP> Starting "SYS"."TRANSPORT_EXP_RDBMS_zocc":
   .........
   EXPDP>
 ******************************************************************************
   EXPDP> Dump file set for SYS.TRANSPORT_EXP_RDBMS_zocc is:
   EXPDP>   /ade/b/191802369/oracle/backup_tts_RDBMS_13462.dmp
   EXPDP>
 ******************************************************************************
  .......
Export completed
.......
……
channel ORA_DISK_1: specifying datafile(s) in backup set
input datafile file number=00006 name=/ade/b/191802369/oracle/dbs/tbs_11.f
input datafile file number=00007 name=/ade/b/191802369/oracle/dbs/tbs_12.f
input datafile file number=00020 name=/ade/b/191802369/oracle/dbs/tbs_14.f
input datafile file number=00010 name=/ade/b/191802369/oracle/dbs/tbs_13.f
……
……
Finished backup at 12-SEP-12
```

7. Move the backup sets and the export dump file generated in Steps 3, 4, and 6 from the source host to the desired directories on the destination host.

   In this example, all the required files are moved to the directory `/tmp/xplat_restores` on the destination host.

8. Connect to the destination database as a user who is granted the `SYSBACKUP` privilege.

   ```
   RMAN> CONNECT TARGET "sbu@prod_dest AS SYSBACKUP";
   ```

   `sbu` is a user who is granted the `SYSBACKUP` privilege in the destination database.

9. Restore the cross-platform level 0 inconsistent backup created in Step 3.

   Use the `FOREIGN DATAFILE` clause to specify the data files that must be restored. The `FROM PLATFORM` clause specifies the name of the platform on which the backup was created. This clause is required to convert backups on the destination database.

   In this example, the data files with numbers 6, 7, 20, and 10 are restored to the names specified in the `FORMAT` clause corresponding to that data file. The data file numbers must be the numbers used on the source database. You can obtain the

data file numbers from the RMAN output of the inconsistent backup created in Step 3.

```
RESTORE
FROM PLATFORM 'Solaris[tm] OE (64-bit)'
FOREIGN DATAFILE 6
FORMAT '/tmp/aux/mytbs_6.df',
7
FORMAT '/tmp/aux/mytbs_7.df',
20
FORMAT '/tmp/aux/mytbs_20.df',
10
FORMAT '/tmp/aux/mytbs_10.df'
FROM BACKUPSET '/tmp/xplat_restores/my_tbs_incon.bck';
```

10. Recover the foreign data files obtained in Step 9 by applying the first cross-platform level 1 incremental backup that was created Step 4.

```
RECOVER
FROM PLATFORM 'Solaris[tm] OE (64-bit)'
FOREIGN DATAFILECOPY '/tmp/aux/mytbs_6.df','/tmp/aux/mytbs_7.df','/tmp/aux/
mytbs_20.df','/tmp/aux/mytbs_10.df'
FROM BACKUPSET '/tmp/xplat_restores/my_tbs_incon1.bck';
```

    In this example, the incremental backup that is being applied to the restored foreign data files is stored in `/tmp/xplat_restores/my_tbs_incon1.bck`.

11. Recover the foreign data files obtained in Step 9 by applying the final cross-platform level 1 incremental backup that was created in Step 6. This backup was created with the tablespaces in read-only mode.

```
RECOVER
FROM PLATFORM 'Solaris[tm] OE (64-bit)'
FOREIGN DATAFILECOPY '/tmp/aux/mytbs_6.df','/tmp/aux/mytbs_7.df','/tmp/aux/
mytbs_20.df','/tmp/aux/mytbs_10.df'
FROM BACKUPSET '/tmp/xplat_restores/my_tbs_incr.bck';
```

    In this example, the incremental backup that is being applied to the restored foreign data files is stored in `/tmp/xplat_restores/my_tbs_incr.bck`.

12. Restore the backup set containing the export dump file. This dump file contains the tablespace metadata required to plug the tablespaces into the destination database.

```
RESTORE
FROM PLATFORM 'Solaris[tm] OE (64-bit)'
DUMP FILE 'my_tbs_restore_md.dmp'
DATAPUMP DESTINATION '/tmp/dump'
FROM BACKUPSET '/tmp/xplat_restores/my_tbs_incr_dp.bck';
```

    In this example, the dump file is restored to a file called `my_tbs_restore_md.dmp` in the directory `/tmp/dump`. You can omit the name of the dump file and the `DATAPUMP DESTINATION` clause and allow RMAN to use operating-system defaults for these parameters.

13. Plug the tablespace in to the destination database. Use the Data Pump import utility to import the dump file containing the tablespace metadata in to the destination database.

```
# impdp directory=dp_dir dumpfile=backup_tts_RDBMS_13462.dmp
transport_datafiles='/tmp/aux/mytbs_6.df','/tmp/aux/mytbs_7.df','/tmp/aux/
mytbs_20.df','/tmp/aux/mytbs_10.df' nologfile=Y
```

When prompted for a user name and password, enter the credentials of the `SYS` user. In this example, `dp_dir` is a directory object that was created using `CREATE DIRECTORY` command and is mapped to the `/tmp` directory.

27.8.3 Performing Cross-Platform Transport of Tablespaces in a PDB

RMAN enables you to transport user tablespaces contained in a PDB to a different platform by using either the `CONVERT` or `BACKUP` command. In this case, the source platform and the destination platform can use different endian formats.

Use one of the following techniques to transport a tablespace in a PDB:

- Connect to the PDB as `TARGET` and use the `BACKUP TABLESPACE` command to create a cross-platform backup of the selected tablespaces.

  See "Steps to Transport Read-Only Tablespaces to a Different Platform Using Backup Sets".

- Connect to the PDB as `TARGET` and use the `CONVERT TABLESPACE` command to transport a read-only tablespace.

  The following command, when connected to the PDB, converts the read-only tablespace `my_tbs`:

  ```
  CONVERT TABLESPACE my_tbs
      TO PLATFORM 'Solaris[tm] OE (64-bit)'
      FORMAT '/tmp/xplat_backups/my_tbs_%U.bck';
  ```

- Connect to the PDB as `TARGET` and use the `CONVERT DATAFILE` command.

  The following command, when connected to the PDB, converts the data file `sales.df`:

  ```
  CONVERT
      FROM PLATFORM 'Solaris[tm] OE (64-bit)'
      DATAFILE '/u01/app/oracle/oradata/orcl/sales.df'
      FORMAT '/tmp/xplat_backups/sales_df_solaris.dat'
  ```

However, when connected as `TARGET` to a PDB, you cannot use the `CONVERT DATAFILE` command to convert a tablespace that contains undo segments.

> **See Also:**
>
> Example: Transporting a Tablespace in a PDB

27.8.3.1 Example: Transporting a Tablespace in a PDB

This example uses the `CONVERT` command to transport the tablespace `sales_tbs` from the PDB `pdb5` to the destination PDB `pdb3`. The source PDB is on a Sun Solaris platform and the destination PDB is on a Linux x86 64-bit platform.

1. Ensure that the required prerequisites are met, as described in Performing Cross-Platform Transport of Read-Only Tablespaces Using Backup Sets.

> **See Also:**
>
> *Oracle Database Backup and Recovery Reference* for the `CONVERT` command prerequisites

2. Start SQL*Plus and connect to the source PDB as a user who is granted the `SYSDBA` or `SYSBACKUP` privilege.

   In this example, `sbu` is a user who has been granted the `SYSBACKUP` privilege on the source PDB `pdb5`.

   ```
   % sqlplus sbu@pdb5 AS SYSBACKUP
   ```

3. Query the name for the destination platform in the `V$TRANSPORTABLE_PLATFORM` view.

   In this example, the platform name for the destination platform is `Linux x86 64-bit`.

4. Verify that the tablespace that is to be transported is self-contained.

> **See Also:**
>
> *Oracle Database Administrator's Guide* for information about determining whether tablespaces are self-contained

5. Place the tablespace to be transported in read-only mode.

   ```
   SQL> ALTER TABLESPACE sales_tbs READ ONLY;
   ```

6. Create the directory object that is used to store the files generated by the DataPump export and import utilities.

   ```
   SQL> CREATE OR REPLACE DIRECTORY xtt_dir AS '/scratch/xtt';
   Directory created.
   ```

7. Start RMAN and connect to the source PDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.

   The following example starts RMAN and connects to the source PDB `pdb5` as the `sbu` user who has been granted the `SYSBACKUP` privilege.

   ```
   % rman
   RMAN> CONNECT TARGET "sbu@pdb5 as sysbackup"
   ```

8. Convert the tablespace on the source database using the `CONVERT` command.

   The following command converts the tablespace `sales_tbs` to the destination platform Linux x86-64 bit. The converted data files are stored in `/tmp/xplat_convert/sales_tbs_conv.bck`.

   ```
   RMAN> CONVERT TABLESPACE 'SALES_TBS'
   TO PLATFORM 'Linux x86 64-bit'
   FORMAT  '/tmp/xplat_convert/sales_tbs_conv.bck';
   ```

9. Exit RMAN.
10. On the source database, use the DataPump export utility to create an export dump file containing the metadata for tablespace `sales_tbs`. Use the credentials of the `SYS` user to perform the export.

    The following command creates an export dump file called `sales_tbs_conv.dmp` in the location specified by the directory object `xtt_dir`. The credentials used to perform the export are that of the `SYS` user.

    ```
    # expdp "'"sys@pdb5 as sysdba"'" directory=xtt_dir
    dumpfile=sales_tbs_conv.dmp logfile=sales_tbs_conv.log
    transport_tablespaces=sales_tbs
    ```

11. Copy the converted data files created in Step 8 and the export dump file created in Step 10 to the destination PDB. You can use operating system commands to copy the files.
12. On the destination PDB, plug the tablespace into the PDB by using the DataPump import utility. Use the credentials of the `SYS` user to perform the import.

    The following example imports the metadata contained in the export dump file `sales_tbs_conv.dmp` and the converted data files in `/tmp/xplat_convert/sales_tbs_conv.bck` into the PDB `pdb3`.

    ```
    #impdp "'"sys@pdb3 as sysdba"'" directory=xtt_dir
    dumpfile=sales_tbs_conv.dmp datafiles=/tmp/xplat_convert/
    sales_tbs_conv.bck
    ```

13. Start SQL*Plus and connect to the destination PDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.

    The following command connects to the PDB `pdb3` as the `sbu` user who has been granted the `SYSBACKUP` privilege.

    ```
    %sqlplus sbu@pdb3 as sysbackup
    ```

14. Verify the status of the converted tablespace on the destination PDB.

    The following query determines the status of the tablespace `sales_tbs`.

    ```
    SQL> SELECT status FROM dba_tablespaces
         WHERE tablespace_name LIKE 'SALES_TBS';

    STATUS
    ---------
    READ ONLY
    ```

15. Make the tablespace `sales_tbs` in the destination PDB `pdb3` online.

    ```
    SQL> ALTER TABLESPACE sales_tbs READ WRITE;
    ```

27.9 Performing Cross-Platform Transport of Data Files Over the Network

RMAN enables you to perform cross-platform transport of data files over the network.

RMAN can connect to a source database, create the required data file backups in the backupset format, transfer them to the destination, and then restore the backups on the destination database. This task is performed by using the `FROM SERVICE` clause along with the `RESTORE FOREIGN DATAFILE` command.

You need to establish connectivity between the source database and the target database by adding the required entries to the `tnsnames.ora` and `listener.ora` files. The `COMPATIBLE` initialization parameter of the source and destination databases must be set to 12.2.

1. Open RMAN and connect `AS TARGET` to the target database (on which the data file must be restored) as a user with the `SYSDBA` or `SYSBACKUP` privilege
2. Restore the required data files by using the corresponding data files on the source database.

   The `FROM SERVICE` clause specifies the service name of the source database from which the data files must be restored.

   The following statement restores the data files 21 and 22 using data files from a source database with service name `source_db`. The `TO NEW` clause indicates that the data files restored for the specified tablespace must use new names that are different from those on the source database.

   ```
   RESTORE
   FOREIGN DATAFILE 21,22 TO NEW
   FROM SERVICE 'source_db';
   ```

3. Recover the foreign data files that were restored in Step 2.

   The `FROM SERVICE` clauses specifies the service name of the source database.

   The following statement recovers the foreign data files specified by the `FOREIGN DATAFILECOPY` clause using data files in the source database whose service name is `source_db`.

   ```
   RECOVER
   FOREIGN DATAFILECOPY '/u01/oracle/oradata/db1_tbs21.dbf','/u01/oracle/
   oradata/db1_tbs22.db'
   FROM SERVICE 'source_db';
   ```

28

Simplified Data Transport Using RMAN Backups

Starting with Oracle Database 23c, RMAN provides a simplified approach for transporting data across platforms.

28.1 Simplified Data Transport Concepts

You can use regular RMAN backups stored on tape to transport tablespaces and pluggable databases (PDB) from a source platform to a destination platform.

In the simplified data transport method, you can leverage preexisting backups available as part of your regular production backup schedules. On a destination platform, RMAN restores the data files from the RMAN backups and plugs in a tablespace or a PDB on the destination database to complete the data transport process in a simplified and efficient manner.

For example, to quickly transport a PDB, you can restore the data files from a preexisting backup of a PDB, and then plug in the PDB to the destination CDB.

RMAN obtains the backup information either from a recovery catalog or from a transport file. In the `NOCATALOG` mode, the transport file provides RMAN with the backup information in `XML` format.

Using RMAN backups to transport data across platforms provides the following benefits:

- You can offload all the complexities associated with transferring and restoring data from a source platform to a destination platform.
- You can leverage regular RMAN backups to transport data. This eliminates any additional steps required to prepare tablespaces or PDBs for transport.
- You can avoid extensive application downtime for transporting data. The source database can remain operational for the entire period when you create backups on a source platform and restore the data files from backups on a destination platform. The process requires minimal application downtime only when RMAN needs to perform a final restore operation on a destination platform.

28.1.1 Methods of Transporting Data Using RMAN Backups

Starting with Oracle Database 23c, use one of these methods to transport PDBs and tablespaces using their corresponding RMAN backups:

- Transport PDBs and tablespaces across platforms with a recovery catalog connection

  In this method, RMAN must be connected to the same recover catalog when you create backups and perform restores.

  On a destination database, you must first run the `RESTORE PREVIEW` command along with the `TO TRANSPORT LIST` option to generate an in-memory transport list. You can then run the `RESTORE` command using the transport list. RMAN restores the data files from

backups ,rolls forward the restored data files by applying incremental backups, and then plugs in a tablespace or PDB on the destination database.

- Transport PDBs and tablespaces across platforms in `NOCATALOG` mode

  In this method, you must create a transport file on the source database. Run the `RESTORE PREVIEW` command along with the `TO TRANSPORT FILE` option to store information about the source database backups in a transport file.

  On the destination database, run the `RESTORE` command using the transport file. RMAN restores the data files from backups ,rolls forward the restored data files by applying incremental backups, and then plugs in a tablespace or PDB on the destination database.

- Transport pluggable databases and tablespaces over the network

  In this method, RMAN uses the source database files to optimally transfer data over the network. RMAN can connect to the source platform, create the required backups, transfer the backups over the network to the destination platform, and perform the restore operation.

28.1.2 Prerequisites for Transporting Data Using RMAN Backups

Ensure that you meet the generic prerequisites before you transport data using RMAN backups.

- Before you transport a PDB to a different platform, ensure that:
  - The destination platform follows the same endian format as the source platform
  - The PDB uses local undo
- Before you transport a tablespace, ensure that the tablespace is self-contained. To determine whether a set of tablespaces is self-contained, run the `TRANSPORT_SET_CHECK` procedure in the Oracle supplied package `DBMS_TTS`. You can transport tablespaces to a destination platform that uses a different endian format from the source platform.

These prerequisites are specific to the type of method you choose for transporting data.

- In the recovery catalog based method, ensure that:
  - The `COMPATIBLE` initialization parameter of the source and destination databases must be set to 23.0.
  - The source database and the destination database are both registered in the same recovery catalog.

  .
- In the `NOCATALOG` mode based method, RMAN requires a transport file that contains backup information stored in `XML` format. Before you restore the data files from backups on a destination database, ensure to store the transport file in a shared location or a network file location (NFS) path that is accessible to the destination host.
- In the network-based method:
  - You must create a database link on the destination database before you perform the final transport of a PDB or a tablespace to a destination platform.

Use the SQL*PLUS CREATE DATABASE LINK statement to create a PUBLIC dblink.

For example, in the following statement, user rco on the destination database defines a database link called networklink that refers to the pluggable database pdb1 on the CDB cdb1.

```
CREATE PUBLIC DATABASE LINK pluginlink CONNECT TO rco IDENTIFIED BY
password USING 'cdb1_pdb1'
```

The dblink is used to transport the export dump file or the PDB unplug XML file from the source database to the destination database.

– Before you perform a final transport of a tablespace over the network, grant the EXP_FULL_DATABASE privilege to the SYSBACKUP user on the source database.

28.2 Transporting PDBs Across Platforms with a Recovery Catalog

Learn the different ways in which you can transport a PDB by using a backup of the PDB when RMAN is connected to a recovery catalog.

28.2.1 About Transporting PDBs with a Recovery Catalog Connection

In this method, RMAN queries the metadata stored in the recovery catalog to determine the exact backups required for the restore operation and to plug in a PDB on a destination CDB.

These are the essential steps you need to perform to transport a PDB by using a PDB backup when RMAN is connected to a recovery catalog:

On a source CDB:

- Connect RMAN to a recovery catalog
- Use a preexisting backup of a PDB or create a new backup using the BACKUP PLUGGABLE DATABASE command
- Create a final incremental level 1 backup of the source PDB when the PDB is in a read-only mode.
  - Use the BACKUP PLUGGABLE DATABASE command to create a final incremental level 1 backup.
  - Use the DATAPUMP clause to create an export dump file along with the incremental backup.

On a destination CDB:

- Connect RMAN to the same recovery catalog as the source CDB.
- Use the SET command along with the FOREIGN DBID clause to set the DBID of the source PDB
- Use the RESTORE command along with the PREVIEW clause and the TO TRANSPORT LIST clause to generate a transport list. The transport list remains in-memory and indicates the PDB backups that RMAN needs to perform a restore operation on the destination CDB.

- Use the `RESTORE` command with the `FROM TRANSPORT LIST` clause to perform a restore operation using the transport list. RMAN restores the data files from backups, rolls forward, and plugs in the PDB on the destination CDB.

> **Note:**
>
> If the Oracle Active Data Guard logical database rolling upgrade process is running on a destination database, RMAN cannot successfully complete the final step involved in transporting data using backups. This is because the rolling upgrade process restricts RMAN from importing the backup metadata required to restore a final backup and plug in a PDB on the destination database.

28.2.2 Quickly Transport a PDB with a Recovery Catalog Connection

Oracle recommends this method for transporting small-sized PDBs that take less time to backup.

In this quick transport method, you must create an incremental level 0 backup of the source PDB, and an export dump file along with the backup. On the destination platform, generate a transport list and perform a single restore operation using the transport list. RMAN restores the data files from the level 0 backup, and then plugs in the PDB on the destination CDB.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.2.2.1 Source CDB: Preparing to Quickly Transport a PDB with a Recovery Catalog Connection

When the source PDB is in read-only mode, create an incremental level 0 backup and an export dump file along with the backup.

1. On the source CDB, connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Connect to a recovery catalog.
3. Close the PDB that you want to transport to a destination platform.

   The following command closes the PDB `hr_pdb`.

   ```
   RMAN> ALTER PLUGGABLE DATABASE hr_pdb CLOSE IMMEDIATE;
   ```

4. Create an incremental level 0 backup of the PDB, and unplug the PDB from the source CDB.

   For example, the following statement creates an incremental level 0 backup of the PDB `hr_pdb`. The PDB is unplugged from the source CDB. The `UNPLUG INTO` clause specifies the `XML` file to store the structural metadata of the PDB when it is

unplugged from the source CDB. The `TAG` clause specifies the backup tag that is used to identify the RMAN backup.

```
RMAN> BACKUP
UNPLUG INTO '/tmp/pdb_dumpfiles/hr_pdb_unplug.xml'
INCREMENTAL LEVEL 0
PLUGGABLE DATABASE hr_pdb
XML BACKUP FORMAT '/tmp/pdb_backups/hr_pdb_unplug.bck'
TAG 'hr_pdb_plugin_tag';
```

In this example, the `hr_pdb_unplug.xml` file contains the metadata required to plug in the PDB on the destination CDB. The `hr_pdb_unplug.bck` file contains the backup of the unplug file `hr_pdb_unplug.xml`.

5. Make a note of the source DBID as displayed by RMAN.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=39525561)
   ```

28.2.2.2 Destination CDB: Quickly Restore and Plug In a PDB with Recovery Catalog Connection

Perform the final steps required to quickly transport a PDB to a destination CDB when RMAN is connected to a recovery catalog.

1. Connect the destination CDB to a recovery catalog.
2. Use the `SET` command with the `FOREIGN DBID` clause to specify the DBID of source database.

   The following command sets the DBID of the source database:

   ```
   RMAN> SET FOREIGN DBID 39525561;
   ```

Perform these steps in a single RMAN session to ensure that the in-memory transport list is available for RMAN during the restore operation.

3. Run the `RESTORE... PREVIEW` command along with the `TO TRANSPORT LIST` option to generate an in-memory list of backups required by RMAN for the restore operation.

   Use the `PLUGIN TAG` clause to specify the same tag associated with the final incremental backup of the source PDB. During the restore operation, RMAN will use the tag to restore the data files from the incremental backup created with the same tag.

   Use the `PLUGGABLE DATABASE` command to specify the source PDB.

   The following example generates an in memory transport list of the source PDB backup files which RMAN needs to restore on the destination CDB.

   ```
   RMAN> RESTORE PREVIEW
         TO TRANSPORT LIST
         PLUGIN TAG 'hr_pdb_plugin_tag'
         PLUGGABLE DATABASE 'hr_pdb';
   ```

4. Run this command to clear the foreign database ID.

```
RMAN> SET FOREIGN DBID CLEAR;
```

5. Use the `CONNECT TARGET` command to connect to the destination CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.
6. Ensure that the destination CDB is open in read-write mode.

   The following command displays the current mode of the CDB:

```
RMAN> SELECT open_mode FROM V$DATABASE;
```

Restore data files from backups and plug in the PDB using the transport list.

7. Run the `RESTORE` command with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM TRANSPORT LIST` clause.

```
RMAN> RESTORE
FOREIGN PLUGGABLE DATABASE hr_pdb
FORMAT '/oradata/%U'
FROM TRANSPORT LIST;
```

   RMAN restores the data files from the incremental level 0 backup, and then plugs in the PDB on the destination CDB.

28.2.3 Transport a PDB by Using a Preexisting Backup and Recovery Catalog Connection

RMAN enables you to transport a PDB by leveraging a preexisting PDB backup (level 0) that is available as part of your regular backup schedule.

When the source PDB is in read-only mode, you must create a final incremental level 1 backup of the source PDB and an export dump file along with the incremental backup.

On the destination CDB, generate a transport file and then perform a single restore operation using the transport file. RMAN restores the data files using the most recent preexisting level 0 backup of the PDB, rolls-forward the restored data files by applying the final incremental level 1 backup, and then plugs in the PDB on the destination CDB.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.2.3.1 Source CDB: Preparing to Transport a PDB Using a Preexsiting Backup and Recovery Catalog Connection

You can use a most recent preexsiting backup of a PDB to transport the PDB to a destination platform.

1. Connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Connect to a recovery catalog.

3. Close the PDB that you want to transport to a destination platform.

   The following command closes the PDB `hr_pdb`.

   ```
   RMAN> ALTER PLUGGABLE DATABASE hr_pdb CLOSE IMMEDIATE;
   ```

4. Create a final incremental level 1 backup of the PDB and unplug the PDB from the source CDB.

   The following statement creates a final incremental level 1 backup of the PDB `hr_pdb`. The PDB is unplugged from the source CDB. The `UNPLUG INTO` clause specifies the `XML` file to store the structural metadata of the PDB when it is unplugged from the source CDB. The `TAG` clause specifies the tag used to identify the RMAN backup.

   ```
   RMAN> BACKUP
   UNPLUG INTO '/tmp/pdb_dumpfiles/hr_pdb_unplug.xml'
   INCREMENTAL LEVEL 1
   PLUGGABLE DATABASE hr_pdb
   XML BACKUP FORMAT '/tmp/pdb_backups/hr_pdb_unplug.bck'
   TAG 'hr_pdb_plugin_tag';
   ```

   In this example, the `hr_pdb_unplug.xml` file contains the metadata required to plug in the PDB on the destination CDB. The `hr_pdb_unplug.bck` file contains the backup of the unplug file `hr_pdb_unplug.xml`.

5. Make a note of the DBID as displayed by RMAN at start up.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=39525561)
   ```

28.2.3.2 Destination CDB: Restore Data Files from a Preexisting Backup and Plug In a PDB with Recovery Catalog Connection

Restore the data files from a preexisting PDB backup and plug in the PDB on the destination CDB.

1. Connect the destination CDB to a recovery catalog.
2. Use the `SET` command with the `FOREIGN DBID` clause to specify the DBID of source database.

   The following command sets the DBID of the source database:

   ```
   RMAN> SET FOREIGN DBID 39525561;
   ```

Perform these steps in a single RMAN session to ensure that the in-memory transport list is available for RMAN during the restore operation.

3. Run the `RESTORE... PREVIEW` command along with the `TO TRANSPORT LIST` option to generate an in-memory list of backups that will be used by RMAN in the restore operation.

Use the `PLUGIN TAG` clause to specify the same tag associated with the final incremental level 1 backup of the PDB. During the restore operation, RMAN will use the tag to restore the data files from the incremental level 1 backup created with the same tag.

Use the `PLUGGABLE DATABASE` command to specify the source PDB.

The following example generates an in memory transport list of the source PDB backup files which RMAN must use for the restore operation on the destination CDB.

```
RMAN> RESTORE PREVIEW
      TO TRANSPORT LIST
      PLUGIN TAG 'hr_pdb_plugin_tag'
      PLUGGABLE DATABASE 'hr_pdb';
```

4. Run this command to clear the foreign database ID.

```
RMAN> SET FOREIGN DBID CLEAR;
```

5. Use the `CONNECT TARGET` command to connect to the destination CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.
6. Ensure that the CDB is open in read-write mode.

   The following command displays the current mode of the CDB:

```
RMAN> SELECT open_mode FROM V$DATABASE;
```

7. Run the `RESTORE` command with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM TRANSPORT LIST` clause.

```
RMAN> RESTORE
FOREIGN PLUGGABLE DATABASE hr_pdb
FORMAT '/oradata/%U'
FROM TRANSPORT LIST;
```

   RMAN restores the data files from the level 0 backup of the PDB, rolls-forward the restored data files by applying the incremental level 1 backup, and then plugs in the PDB on the destination CDB.

28.2.4 Transport a PDB Using Multiple Incremental Backups and Recovery Catalog Connection

Oracle recommends this method for transporting large-size PDBs across platforms with minimum application downtime on the source PDB.

On the destination CDB, you must first restore the data files from a preexisting backup or new backup of the PDB. You can then roll forward the restored data files by applying multiple incremental level 1 backups periodically. While the source PDB remains open and operational, you can continue to apply any number of incremental level 1 backups on the destination CDB until you want to perform the final transport.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.2.4.1 Source CDB: Preparing to Transport a PDB Using Multiple Incremental Backups and Recovery Catalog Connection

You can leverage a preexisting PDB backup. Optionally, use this procedure to create an incremental level 0 backup of the source PDB.

If you want to begin by using a preexisting level 0 backup of a source PDB, then skip to step 2.

1. Connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Connect to a recovery catalog.
3. On the source CDB, use the `BACKUP PLUGGABLE DATABASE` command to create a incremental level 0 backup of the PDB you want to transport to a destination CDB.

   The following statement creates an incremental level 0 backup of the PDB `sales_pdb`:

   ```
   RMAN> BACKUP
   INCREMENTAL LEVEL 0
   PLUGGABLE DATABASE sales_pdb;
   ```

4. Make a note of the DBID as displayed by RMAN at start up.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=39525561)
   ```

28.2.4.2 Destination CDB: Restore Data Files from a Level 0 Backup of a PDB with Recovery Catalog Connection

The level 0 backup serves as a basis for RMAN to apply subsequent increment level 1 backups of the PDB.

1. Connect the destination CDB to a recovery catalog.
2. Use the `SET` command with the `FOREIGN DBID` clause to specify the `DBID` of source PDB.

   The following command sets the `DBID` of the source PDB:

   ```
   RMAN> SET FOREIGN DBID 39525561;
   ```

Perform these steps in a single RMAN session to ensure that the in-memory transport list is available for RMAN during the restore operation.

3. Run the `RESTORE... PREVIEW` command along with the `TO TRANSPORT LIST` option to generate an in-memory list of backups that will be used by RMAN for the restore operation.

   Use the `PLUGGABLE DATABASE` command to specify the name of the PDB that you want to transport to the destination host.

The following example generates an in memory transport list of the source PDB backup files which RMAN must transport and restore on the destination CDB.

```
RMAN> RESTORE PREVIEW
      TO TRANSPORT LIST
      PLUGGABLE DATABASE 'sales_pdb';
```

4. Run this command to clear the current setting of the foreign database ID.

```
RMAN> SET FOREIGN DBID CLEAR;
```

5. Use the `CONNECT TARGET` command to connect to the destination CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.
6. Ensure that the CDB is open in read-write mode.

   The following command displays the current mode of the CDB:

```
RMAN> SELECT open_mode FROM V$DATABASE;
```

7. Run the `RESTORE` command with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM TRANSPORT LIST` clause.

```
RMAN> RESTORE
FOREIGN PLUGGABLE DATABASE sales_pdb
FORMAT '/oradata/%U'
FROM TRANSPORT LIST;
```

   On the destination CDB, RMAN restores the data files from the incremental level 0 backup of the source PDB `sales_pdb`.

28.2.4.3 Restore Incremental Backups of a PDB with Recovery Catalog Connection

On the destination CDB, roll forward the restored data files by applying incremental level 1 backups periodically.

While the source PDB remains open, you can create incremental level 1 backups of the source PDB and then perform a restore operation on the destination CDB. This method minimizes the application downtime and helps to reduce the amount of changed data that needs to be applied to the destination database when you perform the final transport of the PDB.

There is no restriction on the number of times you can repeat this procedure. When you are ready to perform the final transport of the PDB, proceed to step 4.

On the source CDB, create an incremental level 1 backup of the PDB

1. Connect to the root as user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Connect to a recovery catalog.
3. On the source CDB, use the `BACKUP PLUGGABLE DATABASE` command to create an incremental level 1 backup of the PDB.

The following statement creates an incremental level 1 backup of the PDB `sales_pdb`:

```
RMAN> BACKUP
INCREMENTAL LEVEL 1
PLUGGABLE DATABASE sales_pdb;
```

4. Make a note of the DBID as displayed by RMAN at start up.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=39525561)
   ```

On the destination CDB, restore data files from the PDB backup

5. Connect the destination CDB to a recovery catalog.
6. Use the `SET` command with the `FOREIGN DBID` clause to specify the `DBID` of source database.

   The following command sets the `DBID` of the source database

   ```
   RMAN> SET FOREIGN DBID 39525561;
   ```

Perform these steps in a single RMAN session to ensure that the in-memory transport list is available for RMAN during the restore operation.

7. Run the `RESTORE... PREVIEW` command along with the `TO TRANSPORT LIST` option to generate an in-memory list of the PDB backups that will be used by RMAN in the subsequent restore operation.

   Use the `PLUGGABLE DATABASE` command to specify the source PDB.

   The following example generates an in memory transport list of the source PDB backup files which RMAN must transport and restore on the destination CDB.

   ```
   RMAN> RESTORE PREVIEW
         TO TRANSPORT LIST
         PLUGGABLE DATABASE 'sales_pdb';
   ```

8. Run this command to clear the foreign database ID.

   ```
   RMAN> SET FOREIGN DBID CLEAR;
   ```

9. Use the `CONNECT TARGET` command to connect to the destination CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.
10. Ensure that the CDB is open in read-write mode.

    The following command displays the current mode of the CDB:

    ```
    RMAN> SELECT open_mode FROM V$DATABASE;
    ```

11. Run the `RESTORE` command with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM TRANSPORT LIST` clause.

    ```
    RMAN> RESTORE
    FOREIGN PLUGGABLE DATABASE sales_pdb
    ```

```
FORMAT '/oradata/%U'
FROM TRANSPORT LIST;
```

On the destination CDB, RMAN rolls forward the previously restored data files by applying the incremental level 1 backup of the source PDB. If you have created multiple incremental level 1 backups, then RMAN applies the incremental backups in the same order in which the backups were created on the source PDB.

28.2.4.4 Source CDB: Create a Final Incremental Backup of a PDB with Recovery Catalog Connection

Prepare a PDB for the final transport to the destination CDB when RMAN is connected to a recovery catalog.

1. Connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Connect to a recovery catalog.
3. Close the PDB that you want to transport to a destination platform.

   The following command closes the PDB `sales_pdb`.

   ```
   RMAN> ALTER PLUGGABLE DATABASE sales_pdb CLOSE IMMEDIATE;
   ```

4. Create a final incremental level 1 backup of the PDB and unplug the PDB from the source CDB.

   The following statement creates an incremental level 1 backup of the PDB `sales_pdb`. The PDB is unplugged from the source CDB. The `UNPLUG INTO` clause specifies the `XML` file to store the structural metadata of the PDB when it is unplugged from the source CDB. The `TAG` clause specifies the backup tag that is used to identify the RMAN backup.

   ```
   RMAN> BACKUP
   UNPLUG INTO '/tmp/pdb_dumpfiles/sales_pdb_unplug.xml'
   INCREMENTAL LEVEL 1
   PLUGGABLE DATABASE sales_pdb
   XML BACKUP FORMAT '/tmp/pdb_backups/sales_pdb_unplug.bck'
   TAG 'sales_pdb_plugin_tag';
   ```

   In this example, the `sales_pdb_unplug.xml` file contains the metadata required to plug in the PDB on the destination CDB. The `sales_pdb_unplug.bck` file contains the backup of the unplug file `sales_pdb_unplug.xml`.

5. Make a note of the DBID as displayed by RMAN at start up.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=39525561)
   ```

28.2.4.5 Destination CDB: Perform the Final Transport of a PDB with Recovery Catalog Connection

As a final step, restore data files from the PDB backup and plug in the PDB to the destination CDB when RMAN is connected to a recovery catalog.

1. Connect the destination CDB to a recovery catalog.
2. Use the `SET` command with the `FOREIGN DBID` clause to specify the `DBID` of source PDB.

   The following command sets the `DBID` of the source PDB:

   ```
   RMAN> SET FOREIGN DBID 39525561;
   ```

Perform these steps in a single RMAN session to ensure that the in-memory transport list is available for RMAN during the restore operation.

3. Run the `RESTORE... PREVIEW` command along with the `TO TRANSPORT LIST` option to generate an in-memory list of the PDB backups that will be used by RMAN in the restore operation.

   Use the `PLUGIN TAG` clause to specify the same tag associated with the final incremental level 1 PDB backup created on the source CDB. During the restore operation, RMAN will use the tag to apply the data files from the incremental level 1 backup created with the same tag.

   Use the `PLUGGABLE DATABASE` command to specify the source PDB.

   The following example generates an in memory transport list of the source PDB backup files which RMAN must transport and restore on the destination CDB.

   ```
   RMAN> RESTORE PREVIEW
         TO TRANSPORT LIST
         PLUGIN TAG 'sales_pdb_plugin_tag'
         PLUGGABLE DATABASE 'sales_pdb';
   ```

4. Run this command to clear the foreign database ID.

   ```
   RMAN> SET FOREIGN DBID CLEAR;
   ```

5. Use the `CONNECT TARGET` command to connect to the destination CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.
6. Ensure that the destination CDB is open in read-write mode.

   The following command displays the current mode of the CDB:

   ```
   RMAN> SELECT open_mode FROM V$DATABASE;
   ```

7. Run the `RESTORE` command with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM TRANSPORT LIST` clause.

   ```
   RMAN> RESTORE
   FOREIGN PLUGGABLE DATABASE sales_pdb
   FORMAT '/oradata/%U'
   FROM TRANSPORT LIST;
   ```

RMAN rolls forward the previously restored data files using the final incremental level 1 backup, and then plugs in the PDB on the destination CDB.

28.3 Transporting PDBs Across Platforms in NOCATALOG Mode

In the NOCATALOG mode, you must create a transport file to store information about the source PDB backups. Learn the different ways to transport a PDB by using PDB backups and a transport file.

28.3.1 About Transporting PDBs in NOCATALOG MODE

To transport a PDB using PDB backups, RMAN needs to identify the exact backups from the source PDB and then perform a restore operation on the destination CDB.

In the NOCATALOG mode, you must create a transport file on the source CDB. The transport file is an XML format file to store the backup metadata of the PDB you want to transport to a destination CDB. RMAN requires the transport file to restore the data files from backups and plug in a PDB on the destination CDB.

These are the essential steps required to transport a PDB in NOCATALOG mode:

On the source CDB:

- Use a preexisting level 0 backup or create a new backup using the BACKUP PLUGGABLE DATABASE command.
- Create a final incremental level 1 backup of the source PDB when the PDB is set to read-only.
  - Use the BACKUP PLUGGABLE DATABASE command to create a final incremental level 1 backup.
  - Use the DATAPUMP clause to create an export dump file along with the incremental backup.
- On the source CDB, use the RESTORE PREVIEW command along with the TO TRANSPORT FILE clause to create a transport XML file.
- Use operating system specific utilities to manually copy the transport XML file from the source host to the destination host. Alternatively, store the XML file in a network file system (NFS) path or any shared location accessible to the destination host.

On the destination CDB, perform a single restore operation.

Use the RESTORE command with the FROM TRANSPORT FILE clause and the PLUGGABLE DATABASE clause. RMAN uses the specified transport file to restore the data files from backups, and plug in the PDB on the destination CDB.

> **Note:**
>
> If the Oracle Active Data Guard logical database rolling upgrade process is running on a destination database, then RMAN cannot successfully complete the final step involved in transporting data using backups. This is because the rolling upgrade process restricts RMAN from importing the backup metadata required to restore a final backup and plug in a PDB on to a destination CDB.

28.3.2 Quickly Transport a PDB in NOCATALOG MODE

Oracle recommends this method for transporting small-sized PDBs that take less time to backup.

In this quick transport method, you must create an incremental level 0 backup of the source PDB and an export dump file along with the backup. On the destination platform, perform a single restore operation to restore the data files from the level 0 backup, and plug in the PDB on the destination CDB to complete the PDB transport.

In the `NOCATALOG` mode, you must generate a transport file to store information about the PDB backups in `XML` format.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.3.2.1 Source CDB: Preparing to Quickly Transport a PDB in NOCATALOG Mode

Prepare to quickly transport a PDB by using a PDB backup and a transport file.

1. Connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Close the PDB that you want to transport to a destination platform.

   The following command closes the PDB `hr_pdb`.

   ```
   RMAN> ALTER PLUGGABLE DATABASE hr_pdb CLOSE IMMEDIATE;
   ```

3. Create an incremental level 0 backup of the PDB and unplug the PDB from the source CDB.

   The following statement creates an incremental level 0 backup of the PDB `hr_pdb`. The PDB is unplugged from the source CDB. The `UNPLUG INTO` clause specifies the `XML` file to store the structural metadata of the PDB when it is unplugged from the source CDB. The `TAG` clause specifies the backup tag that is used to identify this RMAN backup.

   ```
   RMAN> BACKUP
   UNPLUG INTO '/tmp/pdb_dumpfiles/hr_pdb_metadata.xml'
   INCREMENTAL LEVEL 0
   PLUGGABLE DATABASE hr_pdb
   XML BACKUP FORMAT '/tmp/pdb_backups/hr_pdb_unplug.bck'
   TAG 'hr_pdb_plugin_tag';
   ```

   In this example, the `hr_pdb_unplug.xml` file contains the metadata required to plug in the PDB on the destination CDB. The `hr_pdb_unplug.bck` file stores the backup of the unplug file `hr_pdb_unplug.xml`.

4. On the source CDB, use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT FILE` option to create a transport file. The transport file is an `XML` file that stores information about backups corresponding to the PDB being transported. RMAN requires the transport `XML` file to restore data files, roll forward, and plug in the PDB on the destination CDB.

   The following statement creates a transport file named `'hr_pdb_transportfile.xml'`. The `PLUGIN TAG` tag identifies the export dump file corresponding to the source PDB `hr_pdb`.

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT FILE '/tmp/xplat_backups/hr_pdb_transportfile.xml'
   PLUGIN TAG 'hr_pdb_plugin_tag'
   PLUGGABLE DATABASE hr_pdb;
   ```

5. Use operating system specific utilities to manually copy the transport file from the source host to the destination host. Alternatively, store the transport file in a network file system (NFS) path or any shared location accessible to the destination host.

28.3.2.2 Destination CDB: Quickly Restore Data Files and Plug In a PDB in NOCATALOG Mode

Perform a single restore operation to complete the quick transport of a PDB using a PDB backup and a transport file.

1. Use the `CONNECT TARGET` command to connect to the destination CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Ensure that the destination CDB is open in read-write mode.

   The following command displays the current mode of the CDB:

   ```
   RMAN> SELECT open_mode FROM V$DATABASE;
   ```

3. Run the `RESTORE` command along with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM TRANSPORT FILE` clause.

   In the `FROM TRANSPORT FILE` clause, specify the transport `XML` file created on the source host.

   The following example restores the data files from the level 0 backup of the source PDB, and then plugs in the PDB `hr_pdb` on to a destination CDB. The metadata required for the restore operation is specified using the `FROM TRANSPORT FILE` clause and stored in the `hr_pdb_transportfile.xml` file .

   ```
   RMAN> RESTORE
   FOREIGN PLUGGABLE DATABASE hr_pdb
   FORMAT '/oradata/%U'
   FROM TRANSPORT FILE '/tmp/xplat_backups/hr_pdb_transportfile.xml';
   ```

   If you are transporting a PDB using a backup and the PDB unplug `XML` file backup made in a previous release of Oracle Database, then you must run the `RESTORE` command to include:

- The `XMLFILE` clause to specify that RMAN needs to restore the unplug `XML` file.
- The `XMLFILE DESTINATION` clause to specify the directory where you want to extract and store the PDB unplug `XML` file on the destination database.
- The `FROM BACKUPSET` clause to specify the name of the backup set that contains the PDB unplug `XML` file.

```
RMAN> RESTORE
FOREIGN PLUGGABLE DATABASE hr_pdb
FORMAT '/oradata/%U'
FROM TRANSPORT FILE '/tmp/xplat_backups/hr_pdb_transportfile.xml'
XMLFILE 'hr_pdb_unplug.xml' XMLFILE DESTINATION '/tmp/xplat_backups/'
FROM BACKUPSET 'hr_pdb_unplug.bck';
```

In this example, `/tmp/xplat_backups/` is the location specified to extract the unplug `XML` file `hr_pdb_unplug.xml` from the backup set `hr_pdb_unplug.bck`.

28.3.3 Transport a PDB Using a Preexisting PDB Backup and NOCATALOG Mode

Use this procedure to transport a PDB by using a preexisting PDB backup (level 0) and a transport file.

When the source PDB is in read-only mode, you can use RMAN to restore the data files from the most recent preexisting level 0 backup of a PDB, and then plug in the PDB on a destination CDB.

In the `NOCATALOG` mode, you must perform an additional step to generate a transport file to store information about the source PDB backups in `XML` format.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.3.3.1 Source CDB: Preparing to Transport a PDB Using a Preexisting Backup and NOCATALOG Mode

Transport a PDB using a most recent preexisting backup of a PDB and a transport file.

1. Connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Close the PDB that you want to transport to a destination platform.

   The following command closes the PDB `hr_pdb`.

   ```
   RMAN> ALTER PLUGGABLE DATABASE hr_pdb CLOSE IMMEDIATE;
   ```

3. Create a final incremental level 1 backup of the PDB and unplug the PDB from the source CDB.

   The following statement creates an incremental level 1 backup of the PDB `hr_pdb`. The PDB is unplugged from the source CDB. The `UNPLUG INTO` clause specifies the `XML` file to

store the structural metadata of the PDB when it is unplugged from the source CDB. The `TAG` clause specifies the backup tag that is used to identify this RMAN backup.

```
RMAN> BACKUP
UNPLUG INTO '/tmp/pdb_dumpfiles/hr_pdb_unplug.xml'
INCREMENTAL LEVEL 1
PLUGGABLE DATABASE hr_pdb
XML BACKUP FORMAT '/tmp/pdb_backups/hr_pdb_unplug.bck'
TAG 'hr_pdb_plugin_tag';
```

In this example, the `hr_pdb_unplug.xml` file contains the metadata required to plug in the PDB on the destination CDB. The `hr_pdb_unplug.bck` file stores the backup of the unplug file `hr_pdb_unplug.xml`.

4. On the source database, use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT FILE` option to create a transport file. The transport file is an `XML` file to store information about backups corresponding to the PDB being transported.

   The following statement creates a transport file named `hr_pdb_transportfile.xml`. The plug in tag identifies the export dump file corresponding to the source PDB `hr_pdb`.

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT FILE '/tmp/xplat_backups/hr_pdb_transportfile.xml'
   PLUGIN TAG 'hr_pdb_plugin_tag'
   PLUGGABLE DATABASE hr_pdb;
   ```

5. Use operating system specific utilities to manually copy the transport file from the source host to the destination host. Alternatively, store the transport file in a network file system (NFS) path or any shared location accessible to the destination host.

28.3.3.2 Destination CDB: Restore Data Files From a Preexisting Backup and Plug In a PDB in NOCATALOG Mode

Use a transport file to restore data files from PDB backups and plug in a PDB on the destination CDB.

1. Use the `CONNECT TARGET` command to connect to the destination CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Ensure that the CDB is open in read-write mode.

   The following command displays the current mode of the CDB:

   ```
   RMAN> SELECT open_mode FROM V$DATABASE;
   ```

3. Run the `RESTORE` command with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM TRANSPORT FILE` clause.

   In the `FROM TRANSPORT FILE` clause, specify the transport `XML` file created on the source host.

In the following example, RMAN restores the data files from the most recent preexisting level 0 backup of the source PDB, rolls forward the restored data files by applying the incremental level 1 backup, and then plugs in the PDB `hr_pdb` on to a destination CDB. The metadata required for the restore operation is specified using the `FROM TRANSPORT FILE` clause and stored in the `hr_pdb_transportfile.xml` file .

```
RMAN> RESTORE
FOREIGN PLUGGABLE DATABASE hr_pdb
FORMAT '/oradata/%U'
FROM TRANSPORT FILE '/tmp/xplat_backups/hr_pdb_transportfile.xml';
```

If you are transporting a PDB using a backup and a unplug `XML` file made in a previous release of Oracle Database, then you must run the `RESTORE` command to include:

- The `XMLFILE` clause to specify that RMAN needs to restore the unplug `XML` file.
- The `XMLFILE DESTINATION` clause to specify the directory where you want to extract and store the PDB unplug `XML` file on the destination database.
- The `FROM BACKUPSET` clause to specify the name of the backup set that contains the PDB unplug `XML` file.

```
RMAN> RESTORE
FOREIGN PLUGGABLE DATABASE hr_pdb
FORMAT '/oradata/%U'
FROM TRANSPORT FILE '/tmp/xplat_backups/hr_pdb_transportfile.xml'
XMLFILE 'hr_pdb_unplug.xml' XMLFILE DESTINATION '/tmp/xplat_backups/'
FROM BACKUPSET 'hr_pdb_unplug.bck';
```

In this example, `/tmp/xplat_backups/` is the location specified to extract the unplug `XML` file `hr_pdb_unplug.xml` from the backup set `hr_pdb_unplug.bck`.

28.3.4 Transport a PDB Using Multiple Incremental Backups In NOCATALOG Mode

Oracle recommends this method for transporting large size PDBs across platforms with minimum application downtime on the source PDB.

On the destination CDB, you must first restore the data files from a preexisting backup or new backup of the PDB. You can then roll forward the restored data files by applying multiple incremental level 1 backups periodically. While the source PDB remains open and operational, you can continue to apply any number of incremental level 1 backups on the destination CDB until you want to perform the final transport of the PDB.

In the `NOCATALOG` mode, you must create a transport file to store the information about the source PDB backups in `XML` format.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.3.4.1 Source CDB: Creating a Base Incremental Backup of a PDB

Use a preexisting level 0 backup or create a new backup of the source PDB.

1. On the source CDB, connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. If you want leverage a preexisting incremental level 0 backup of the PDB, then proceed to step 3.

   Alternatively, use the `BACKUP PLUGGABLE DATABASE` command to create an incremental level 0 backup of the PDB you want to transport to a destination CDB.

   The following statement creates an incremental level 0 backup of the PDB `sales_pdb`:

   ```
   RMAN> BACKUP
   INCREMENTAL LEVEL 0
   PLUGGABLE DATABASE sales_pdb;
   ```

3. Use the `BACKUP PLUGGABLE DATABASE` command to create an incremental level 1 backup of the PDB.

   The following statement creates an incremental level 1 backup of the PDB `sales_pdb`:

   ```
   RMAN> BACKUP
   INCREMENTAL LEVEL 1
   PLUGGABLE DATABASE sales_pdb;
   ```

4. On the source database, use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT FILE` option to create a transport file. The transport file is an `XML` file created to store information about the backups corresponding to the PDB being transported.

   The following statement creates a transport file named `sales_pdb_transportfile.xml`

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT FILE '/tmp/xplat_backups/sales_pdb_transportfile.xml'
   PLUGGABLE DATABASE sales_pdb;
   ```

5. Use operating system specific utilities to manually copy the transport file from the source host to the destination host. Alternatively, store the transport file in a network file system (NFS) path or any shared location accessible to the destination host.

28.3.4.2 Step 2: (Destination CDB) Restore Data Files From a Base Incremental Backup of a PDB in NOCATALOG Mode

On the destination CDB, the level 0 backup serves as a basis for RMAN to restore the data files from subsequent increment level 1 backups of the PDB.

1. Use the `CONNECT TARGET` command to connect to the destination CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Ensure that the CDB is open in read-write mode.

The following command displays the current mode of the CDB:

```
RMAN> SELECT open_mode FROM V$DATABASE;
```

3. Run the `RESTORE` command with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM TRANSPORT FILE` clause.

   For example, the following statement restores the data files from the incremental level 0 backup of the PDB `sales_pdb`:

```
RMAN> RESTORE
FOREIGN PLUGGABLE DATABASE sales_pdb
FORMAT '/oradata/%U'
FROM TRANSPORT FILE '/tmp/xplat_backups/sales_pdb_transportfile.xml';
```

28.3.4.3 Step 3: Restore Data Files Using Incremental Backups of a PDB in NOCATALOG Mode

On the destination CDB, you can periodically apply incremental level 1 backups to roll forward the base backup until you want to perform the final transport of the PDB.

While the source PDB remains open, you can create incremental level 1 backups of the source PDB and then perform a restore operation on the destination CDB. This method minimizes the application downtime and helps to reduce the amount of changed data that needs to be applied to the destination database when you perform the final transport of the PDB.

There is no restriction on the number of times you can repeat this procedure. When you are ready to perform the final transport of the PDB, proceed to Step 4: (Source CDB) Create a Final Incremental Backup of a PDB in NOCATALOG Mode.

In the `NOCATALOG` mode, you must create a transport file on the source host, and then use the transport file to perform a restore operation on the destination host.

On the source CDB, create an incremental level 1 backup of the PDB

1. Connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. On the source CDB, use the `BACKUP PLUGGABLE DATABASE` command to create a incremental level 1 backup of the PDB.

   The following statement creates an incremental level 1 backup of the PDB `sales_pdb`:

```
RMAN> BACKUP
INCREMENTAL LEVEL 1
PLUGGABLE DATABASE sales_pdb;
```

3. On the source PDB, use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT FILE` option to create a transport file. The transport file is an `XML` file created to store information about the backups corresponding to the PDB being transported.

   The following statement creates a transport file named `hr_pdb_transportfile.xml`

```
RMAN> RESTORE PREVIEW
TO TRANSPORT FILE '/tmp/xplat_backups/sales_pdb_transportfile.xml'
PLUGGABLE DATABASE sales_pdb;
```

4. Use operating system specific utilities to manually copy the transport file from the source host to the destination host. Alternatively, store the transport file in a network file system (NFS) path or any shared location accessible to the destination host.

On the destination CDB, restore the data files from the PDB backup

5. Use the `CONNECT TARGET` command to connect to the destination CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege.
6. Ensure that the destination CDB is open in read-write mode.

   The following command displays the current mode of the CDB:

   ```
   RMAN> SELECT open_mode FROM V$DATABASE;
   ```

7. Run the `RESTORE` command with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM TRANSPORT FILE` clause.

   In the following example, RMAN rolls forward the previously restored data files by applying the incremental level 1 backup of the source PDB `sales_pdb`. If you have created multiple incremental level 1 backups, RMAN applies the incremental backups in the same order in which they were created on the source PDB.

   ```
   RMAN> RESTORE
   FOREIGN PLUGGABLE DATABASE sales_pdb
   FORMAT '/oradata/%U'
   FROM TRANSPORT FILE '/tmp/xplat_backups/hr_pdb_transportfile.xml';
   ```

28.3.4.4 Step 4: (Source CDB) Create a Final Incremental Backup of a PDB in NOCATALOG Mode

Use this procedure to create a final incremental level 1 backup and an export dump file along with the backup to prepare a source PDB for the final transport.

1. Connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Close the PDB that you want to transport to the destination CDB.

   The following command closes the PDB `sales_pdb`.

   ```
   RMAN> ALTER PLUGGABLE DATABASE sales_pdb CLOSE IMMEDIATE;
   ```

3. Create a final incremental level 1 backup of the PDB and unplug the PDB from the source CDB.

   The following statement creates an incremental level 1 backup of the PDB `sales_pdb`, and also creates an unplug backup.

   ```
   RMAN> BACKUP
   UNPLUG INTO '/tmp/pdb_dumpfiles/sales_pdb_unplug.xml'
   INCREMENTAL LEVEL 1
   PLUGGABLE DATABASE sales_pdb
   XML BACKUP FORMAT '/tmp/pdb_backup/sales_pdb_unplug.bck'
   TAG 'sales_pdb_plugin_tag';
   ```

4. Use the RESTORE command with the PREVIEW option and the TO TRANSPORT FILE option to create a transport file. The transport file is an XML file to store information about the backups corresponding to the PDB being transported.

   The following statement creates a transport file named sales_pdb_transportfile.xml

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT FILE '/tmp/xplat_backups/sales_pdb_transportfile.xml'
   PLUGIN TAG 'sales_pdb_plugin_tag'
   PLUGGABLE DATABASE sales_pdb;
   ```

5. Use operating system specific utilities to manually copy the transport file from the source host to the destination host. Alternatively, store the transport file in a network file system (NFS) path or any shared location accessible to the destination host.

28.3.4.5 Step 5: (Destination CDB) Perform the Final Transport of a PDB in NOCATALOG Mode

As a final step, use the transport file to restore the data files from PDB backups, and plug in the PDB to the destination CDB.

Use operating system utilities to copy the transport XML file to a network file system (NFS), or shared location that is accessible to the destination CDB.

1. Use the CONNECT TARGET command to connect to the destination CDB as a user with the SYSDBA or SYSBACKUP privilege.
2. Ensure that the destination CDB is open in read-write mode.

   The following command displays the current mode of the CDB:

   ```
   RMAN> SELECT open_mode FROM V$DATABASE;
   ```

3. Run the RESTORE command with the FOREIGN PLUGGABLE DATABASE clause and the FROM TRANSPORT FILE clause. RMAN restores the data files from the final incremental level 1 backup, rolls forward, and plugs in the PDB on the destination CDB.

   ```
   RMAN> RESTORE
   FOREIGN PLUGGABLE DATABASE sales_pdb
   FORMAT '/oradata/%U'
   FROM TRANSPORT FILE '/tmp/xplat_backups/sales_pdb_transportfile.xml'
   ```

   If you are transporting a PDB using a backup and a unplug XML file made in a previous release of Oracle Database, then you must run the RESTORE command to include:

   - The XMLFILE clause to specify that RMAN needs to restore the unplug XML file.
   - The XMLFILE DESTIANTION clause to specify the directory where you want to extract and store the PDB unplug XML file on the destination database.
   - The FROM BACKUPSET clause to specify the name of the backup set that contains the PDB unplug XML file.

   ```
   RMAN> RESTORE
   FOREIGN PLUGGABLE DATABASE hr_pdb
   FORMAT '/oradata/%U'
   ```

```
FROM TRANSPORT FILE '/tmp/xplat_backups/hr_pdb_transportfile.xml'
XMLFILE 'hr_pdb_unplug.xml' XMLFILE DESTINATION '/tmp/
xplat_backups/'
FROM BACKUPSET 'hr_pdb_unplug.bck';
```

In this example, `/tmp/xplat_backups/` is the location specified to extract the unplug `XML` file `hr_pdb_unplug.xml` from the backup set `hr_pdb_unplug.bck`.

28.4 Transporting Pluggable Databases Over the Network

RMAN enables you to transport pluggable databases from one platform to another platform using the network.

28.4.1 About Transporting PDBs Over the Network

RMAN can use the network to connect to a source PDB, create a backup of a PDB, transfer the source data files optimally over the network, and then restore the data files from backups on the destination CDB. RMAN also plugs in the a PDB on the destination CDB.

To transport a PDB through the network, RMAN uses the `RESTORE` command along with the `FROM SERVICE` clause, the `FOREIGN PLUGGABLE DATABASE` clause, and the `PLUGIN DBLINK` clause.

You use the `RECOVER` command to periodically roll forward the incremental backups of the PDB on the destination CDB. The source PDB can remain open and operational until you want to perform a final step to roll forward the final incremental backup and plug in the PDB on the destination CDB.

These are the essential steps required to transport a PDB from a source platform to a destination platform over the network:

On the destination database:

- Run the `RESTORE` command along with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM SERVICE` clause. RMAN transfers an incremental level 0 backup of the source PDB and restores the data files on the destination CDB.
- You can optionally run the `RECOVER` command along with the `FOREIGN PLUGGABLE DATABASE` clause and the `FROM SERVICE` clause. RMAN applies incremental level 1 backups of the source PDB and rolls forward the PDB backup on the destination CDB.
- Before you perform a final restore of the PDB on a destination CDB, you must use `SQL*PLUS` to create a database link or `dblink` on the destination CDB. The `dblink` is required for RMAN to transport the export dump file or the PDB unplug file from the source host to the destination host.
- When the source PDB is in read-only mode, use the `RECOVER` command with the `FOREIGN PLUGGABLE DATABASE` clause, the `FROM SERVICE` clause, and the `PLUGIN DBLINK` clause to recover the data files using the final incremental backup of the PDB, and then plug in the PDB on the destination CDB.

> **Note:**
>
> If the Oracle Active Data Guard logical database rolling upgrade process is running on a destination database, then RMAN cannot successfully complete the final step involved in transporting data using backups. This is because the rolling upgrade process restricts RMAN from importing the backup metadata required to restore a final backup and plug in a PDB on to a destination CDB.

28.4.2 Quickly Transport a Pluggable Database Over the Network

Transport a PDB by restoring the data files from a level 0 backup of a PDB over the network. Oracle recommends this method for transporting small-sized PDBs that take less time to backup.

1. Perform these steps on a source CDB:
   a. Connect to the root as a user with the `SYSDBA` or `SYSBACKUP` privilege.
   b. Close the PDB that you want to transport to a destination database.

      The following statement closes the PDB `hr_pdb`:

      ```
      RMAN> ALTER PLUGGABLE DATABASE hr_pdb CLOSE IMMEDIATE;
      ```

2. Perform these steps on the destination database.
   a. Use the `CONNECT TARGET` command to connect to the destination CDB as a user with the `SYSDBA` or `SYSBACKUP` privilege
   b. Ensure that the CDB is open in read-write mode.

      The following command displays the current mode of the CDB:

      ```
      RMAN> SELECT open_mode FROM V$DATABASE;
      ```

   c. Before you perform the final restore operation, use `SQL*PLUS` to create a database link on the destination CDB. In the network-based transport method, the `dblink` is used to transport the export dump file or the PDB unplug `XML` file from the source database to the destination database.

      Use the `SQL*PLUS CREATE DATABASE LINK` statement to create a `PUBLIC dblink`.

      For example, in the following statement, user `rco` on the destination database defines a database link called `hrpdbpluginlink` that refers to the pluggable database `pdb1` on the CDB `cdb1`.

      ```
      CREATE PUBLIC DATABASE LINK hrpdbpluginlink CONNECT TO rco IDENTIFIED
      BY password USING 'cdb1_pdb1'
      ```

   d. Perform a single restore operation which enables RMAN to connect to the source CDB, transfer the data files over the network to the destination host, and then restore the data files on to the destination CDB. RMAN also plugs in the PDB on to the destination CDB.

      Use the `FOREIGN PLUGGABLE DATABASE` command to specify the name of the PDB on the source database.

Use the FROM SERVICE clause to specify the service name of the source CDB.

Optionally, use the PLUGIN FILE clause to specify a file name and location to store the structural metadata of the PDB when it is unplugged from the source CDB.

Use the PLUGIN DBLINK clause to specify the database link to access the destination database.

The following statement restores the data files from a level 0 backup of the PDB hr_pdb from a source database with the service name source_db. The PLUGIN FILE clause specifies that the structural metadata of the PDB must be stored in the location /tmp/pdb_dumpfiles/hr_pdb_metadata.xml. The PLUGIN DBLINK specifies the database link hrpdbpluginlink created on the destination database.

```
RMAN> RESTORE
FOREIGN PLUGGABLE DATABASE 'hr_pdb'
FORMAT 'hrpdb%f.f'
FROM SERVICE 'source_db'
PLUGIN FILE '/tmp/pdb_dumpfiles/hr_pdb_metadata.xml'
PLUGIN DBLINK 'hrpdbpluginlink';
```

28.4.3 Transport a Pluggable Database by Restoring Backups Incrementally Over the Network

Use the network to restore data files from a level 0 backup of a PDB, and then recover the restored data files on the destination CDB.

1. Open RMAN and connect as TARGET to a destination database as a user with the SYSDBA or SYSBACKUP privilege.
2. Ensure that the destination CDB is open in read-write mode.

   The following command displays the current mode of the CDB:

   ```
   RMAN> SELECT open_mode FROM V$DATABASE;
   ```

3. On the destination CDB, perform a restore operation. RMAN restores data files from a level 0 backup of the source PDB.

   RMAN connects to the source CDB, creates the required backups, transfers the data files over the network to the destination host, and then restores the data files on the destination CDB. The first backup is always an incremental level 0 backup that serves as a base to apply subsequent incremental level 1 backups.

   Run the RESTORE command with the FOREIGN PLUGGABLE DATABASE clause to specify the name of the source PDB.

   Use the FROM SERVICE clause to specify the service name of the source CDB.

   The following statement restores the data files of a PDB hr_pdb from a source database with the service name source_db.

   ```
   RMAN> RESTORE
   FOREIGN PLUGGABLE DATABASE 'hr_pdb'
   ```

```
FORMAT 'hr_pdb%f.f'
FROM SERVICE 'source_db';
```

While the source PDB remains open, you can periodically perform recover operations on the destination CDB. The `RECOVER` command enables RMAN to roll forward the previously restored data files by applying incremental level 1 backups of the source PDB. There is no restriction on the number of times you can perform the recover operation until you want to perform the final transport of the PDB.

4. Run the `RECOVER` command along with the `FOREIGN PLUGGABLE DATABASE` clause to specify the name of the PDB on the source database.

   Use the `FROM SERVICE` clause to specify the service name of the source CDB.

   The following statement recovers the data files for the PDB `hr_pdb` from a source database with the service name `source_db`.

   ```
   RMAN> RECOVER
   FOREIGN PLUGGABLE DATABASE 'hr_pdb'
   FORMAT 'pdb1%f.f'
   FROM SERVICE 'source_db';
   ```

5. Before you perform the final recover operation, use `SQL*Plus` to create a database link on the destination CDB. In the network-based transport method, the `dblink` is required for RMAN to transport the export dump file or the PDB unplug `XML` file from the source database to the destination database.

   Use the `SQL*PLUS CREATE DATABASE LINK` statement to create a `PUBLIC dblink`.

   For example, in the following statement, user `rco` on the destination database defines a database link called `hrpdbpluginlink` that refers to the pluggable database `pdb1` on the CDB `cdb1`.

   ```
   CREATE PUBLIC DATABASE LINK hrpdbpluginlink CONNECT TO rco IDENTIFIED BY
   password USING 'cdb1_pdb1'
   ```

6. When the source PDB is in read-only mode, you can recover the data files using a final incremental backup of the PDB, and plug-in the PDB on to the destination CDB.

   Run the `RECOVER` command with the `FOREIGN PLUGGABLE DATABASE` clause to specify the name of the source PDB.

   Use the `FROM SERVICE` clause to specify the service name of the source CDB.

   Optionally, use the `PLUGIN FILE` clause to specify a file name and location to store the structural metadata of the PDB when it is unplugged from the source CDB.

   Use the `PLUGIN DBLINK` clause to specify the database link required to access the destination database.

   The following statement recovers the data files from the final incremental level 1 backup of the PDB `hr_pdb` from a source database with the service name `source_db`. The `PLUGIN FILE` clause specifies that the structural metadata of the PDB must be stored in the location `/tmp/pdb_dumpfiles/hr_pdb_metadata.xml`. The `PLUGIN DBLINK` specifies the database link `hrpdbpluginlink` created on the destination database.

   ```
   RMAN> RECOVER
   FOREIGN PLUGGABLE DATABASE 'hr_pdb'
   ```

```
FORMAT 'hrpdb%f.f'
FROM SERVICE 'source_db'
PLUGIN FILE '/tmp/pdb_dumpfiles/hr_pdb_metadata.xml'
PLUGIN DBLINK 'hrpdbpluginlink';
```

RMAN connects to the source CDB, transfers the data files over the network to the destination CDB, recovers the data files from the final incremental backup, and then plugs in the PDB on to the destination CDB.

28.5 Transporting Tablespaces with Recovery Catalog Connection

Learn the different ways in which you can transport a tablespace by using a backup of the tablespace when RMAN is connected to a recovery catalog.

28.5.1 About Transporting Tablespaces with a Recovery Catalog

RMAN can transport a tablespace by restoring the data files from a tablespace backup when RMAN is connected to a recovery catalog.

RMAN queries the metadata stored in the recovery catalog to determine the exact backups required for the restore operation on a destination database.

These are the essential steps you need to perform to transport a tablespace when RMAN is connected to the recovery catalog:

On the source database:

- Connect RMAN to a recovery catalog
- Use a preexisting backup of the tablespace or create a new backup using the `BACKUP TABLESPACE` command
- Create a final incremental level 1 backup of the tablespace when the tablespace is in read-only mode.
  - Use the `BACKUP TABLESPACE` command to create a final incremental level 1 backup
  - Use the `DATAPUMP` clause to create an export dump file along with the incremental backup

On the destination database:

- Connect RMAN to the same recovery catalog as the source database
- Use the `SET` command along with the `FOREIGN DBID` clause to set the `DBID` of the source PDB
- Use the `RESTORE` command along with the `PREVIEW` clause and the `TO TRANSPORT LIST` clause to generate a transport list. The transport list remains in-memory and indicates the tablespace backups required by RMAN to perform the restore operation on the destination database
- Use the `RESTORE` command with the `FROM TRANSPORT LIST` clause to perform a restore operation using the transport list. RMAN restores the data files from the tablespace backups, and plugs in the tablespace on the destination database.

> **Note:**
>
> If the Oracle Active Data Guard logical database rolling upgrade process is running on a destination database, then RMAN cannot successfully complete the final step involved in transporting data using backups. This is because the rolling upgrade process restricts RMAN from importing the backup metadata required to restore a final backup and plug in a tablespace or a PDB on to a destination database.

28.5.2 Quickly Transport a Tablespace with Recovery Catalog Connection

Oracle recommends this method for transporting small sized tablespaces that take less time to backup.

In this quick transport method, you create an incremental level 0 backup of the source tablespace and an export dump file along with the backup. You must then restore the data files from the tablespace backup, and plug in the tablespace on the destination database.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.5.2.1 Source Database: Preparing to Quickly Transport a Tablespace with Recovery Catalog Connection

When the source tablespace is in read-only mode, create an incremental level 0 backup and an export dump file along with the backup.

1. Connect to the source database from which you need to transport tablespaces as `TARGET`.

   For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablepaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

   In this example, `sbu` is a user who is granted the `SYSBACKUP` privilege on the source database `prod_source`.

   ```
   RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
   ```

   Enter the password for the `sbu` user when prompted.

2. Connect to a recovery catalog.
3. Place the tablespace in read-only mode.

   The following command places the tablespace `mf_tbs` in read-only mode.

   ```
   ALTER TABLESPACE mf_tbs READ ONLY;
   ```

4. Use the `BACKUP TABLESPACE` command to create a incremental level 0 backup of the tablespace you want to transport to the destination platform.

   Use the `DATAPUMP` clause to indicate that an export dump file must be created. The export dump file contains the metadata required to plug the tablespace on the destination database.

The following statement creates a incremental level 0 backup and an export dump file for the tablespace `mf_tbs`.

```
RMAN> BACKUP
INCREMENTAL LEVEL 0
TABLESPACE mf_tbs
FORMAT '/tmp/xplat_backups/mf_tbs_incr.bck'
DATAPUMP FORMAT 'pump.dmp'
TAG 'mf_plugin_tag';
```

In this example, the `mf_tbs_incr.bck` file stores the incremental backup. The `pump.dmp` file stores the export dump file backup.

5. Make a note of the source `DBID` as displayed by RMAN.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=699892390)
   ```

28.5.2.2 Destination Database: Restore and Plug In a Tablespace with Recovery Catalog Connection

Perform the final steps required to quickly transport a tablespace to a destination database when RMAN is connected to a recovery catalog.

1. Connect to a recovery catalog.
2. Use the `SET` command with the `FOREIGN DBID` option to specify the database identifier or `DBID` of the source database containing the tablespace backup you want to use for the restore operation.

   The following command sets the foreign DBID to `699892390`, which is the DBID of the source database containing the tablespace `mf_tbs` whose backups are being restored:

   ```
   RMAN> SET FOREIGN DBID 699892390;
   ```

Perform these steps in a single RMAN session to ensure that the in-memory transport list is available for RMAN during the restore operation.

3. Use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT LIST` clause to create an in-memory list of backups required by RMAN for the restore operation. The results of the `RESTORE PREVIEW` operation remains in-memory.

   Use the `PLUGIN TAG` to specify the tag applied to the data pump export dump file backup created on the source host. During the restore operation, RMAN uses the specified tag to uniquely identify the metadata corresponding to the tablespace that needs to be transported to the destination host.

   Use the `TABLESPACE` command to specify the tablespace name.

The following example creates an in-memory transport list using the backups of the source tablespace `mf_tbs`. RMAN requires the transport list to restore the data files on the destination host.

```
RMAN> RESTORE PREVIEW
TO TRANSPORT LIST
PLUGIN TAG 'mf_plugin_tag'
TABLESPACE mf_tbs;
```

4. Run this command to clear the current setting of the foreign database ID.

```
RMAN> SET FOREIGN DBID CLEAR;
```

5. Use the `CONNECT TARGET` command to connect to the destination database as a user with the `SYSDBA` or `SYSBACKUP` privilege.
6. Run the `RESTORE` command with the `FOREIGN TABLESPACE` option and the `TO TRANSPORT LIST` clause.

```
RMAN> RESTORE
FOREIGN TABLESPACE mf_tbs
FORMAT '/oradata/%U'
FROM TRANSPORT LIST;
```

RMAN restores the data files from the level 0 backup of the tablespace `mf_tbs`, and then plugs in the tablespace on the destination database.

28.5.3 Transport a Tablespace Using a Preexisting Tablespace Backup and Recovery Catalog

RMAN enables you to easily transport a tablespace by leveraging a preexisting tablespace backup that is available as part of your regular backup schedule.

When a source tablespace is in read-only mode, you can create a final incremental level 1 backup of the tablespace and an export dump file along with the incremental backup.

On the destination database, you can perform a single restore operation to transport the tablespace. RMAN first restores the data files from a preexisting level 0 backup of the tablespace. During the same restore operation, RMAN rolls forward the restored data files by applying the final incremental level 1 backup, and then plugs in the tablespace on the destination database.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.5.3.1 Source Database: Preparing to Transport a Tablespace by Using a Preexisting Backup and Recovery Catalog

Use a most recent backup of a tablespace to transport the tablespace to a destination database.

1. Connect to the source database from which you need to transport tablespaces as `TARGET`.

For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablepaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

In this example, `sbu` is a user who is granted the `SYSBACKUP` privilege on the source database `prod_source`.

```
RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
```

Enter the password for the `sbu` user when prompted.

2. Connect to a recovery catalog.
3. Place the tablespace in read-only mode.

   The following command places the tablespace `mf_tbs` in read-only mode.

   ```
   ALTER TABLESPACE mf_tbs READ ONLY;
   ```

4. Create a final incremental level 1 backup of the tablespace that you want to transport to a destination platform.

   Use the `DATAPUMP` clause to generate an export dump file along with the tablespace backup. The export dump file contains the metadata required to plug in restored tablespaces on the destination database.

   The following statement creates a incremental level 1 backup and an export dump file for the tablespace `mf_tbs`.

   ```
   RMAN> BACKUP
   INCREMENTAL LEVEL 1
   TABLESPACE mf_tbs
   FORMAT '/tmp/xplat_backups/mf_tbs_incr.bck'
   DATAPUMP FORMAT 'pump.dmp'
   TAG 'mf_plugin_tag';
   ```

   In this example, the `mf_tbs_incr.bck` file stores the incremental backup. The `pump.dmp` file stores the export dump file backup.

5. Make a note of the source `DBID` as displayed by RMAN.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=699892390)
   ```

28.5.3.2 Destination Database: Restore Backup and Plug In a Tablespace Using Recovery Catalog

Perform a single restore operation to restore the data files from a preexisting backup and to plug in the tablespace to the destination database.

1. Connect to a recovery catalog.

2. Use the `SET` command with the `FOREIGN DBID` option to specify the database identifier or `DBID` of the source database containing the tablespace backup you want to use for the restore operation.

   The following command sets the foreign DBID to `699892390`, which is the DBID of the source database containing the tablespace `mf_tbs` whose backups are being restored:

   ```
   RMAN> SET FOREIGN DBID 699892390;
   ```

Perform these steps in a single RMAN session to ensure that the in-memory transport list is available for RMAN during the restore operation.

3. Use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT LIST` option to create an in-memory list of backups used by RMAN in the restore operation. The results of the `RESTORE PREVIEW` operation remains in-memory.

   Use the `PLUGIN TAG` to specify the tag applied to the data pump export dump file backup created on the source host. During the restore operation, RMAN uses the specified tag to uniquely identify the metadata corresponding to the tablespace that needs to be transported to the destination host.

   Use the `TABLESPACE` command to specify the tablespace name.

   The following example creates an in-memory transport list of backups which RMAN must use to restore the tablespace `mf_tbs` on the destination host.

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT LIST
   PLUGIN TAG 'mf_plugin_tag'
   FOREIGN TABLESPACE mf_tbs;
   ```

4. Run this command to clear the foreign database ID.

   ```
   RMAN> SET FOREIGN DBID CLEAR;
   ```

5. Use the `CONNECT TARGET` command to connect to the destination database as a user with the `SYSDBA` or `SYSBACKUP` privilege.
6. Run the `RESTORE` command with the `FOREIGN TABLESPACE` option and the `TO TRANSPORT LIST` clause.

   ```
   RMAN> RESTORE
   FOREIGN TABLESPACE mf_tbs
   FORMAT '/oradata/%U'
   FROM TRANSPORT LIST;
   ```

   RMAN restores the data files from a preexisting level 0 backup of the tablespace, rolls forward the restored data files by applying the incremental level 1 backup, and then plugs in the tablespace on the destination database.

28.5.4 Transport a Tablespace Using Multiple Incremental Backups and Recovery Catalog

Oracle recommends this method for transporting large tablespaces across platforms.

On the destination database, you must first restore the data files from a preexisting backup or a new backup of the tablespace. You can then roll forward the restored data files by applying multiple incremental level 1 backups periodically. The source tablespace can remain open for writes while you apply any number of incremental level 1 backups on the destination database. The application downtime begins only when you create the final incremental backup.

This method helps to improve database availability while transporting large tablespaces.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.5.4.1 Source Database: Preparing to Transport a Tablespace by Creating a Base Incremental Backup

RMAN needs to first restore a level 0 backup of the tablespace on the destination database. The level 0 backup serves as a basis for subsequent incremental level 1 backups.

1. Connect to the source database from which you need to transport tablespaces as `TARGET`.

   For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablepaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

   In this example, `sbu` is a user who is granted the `SYSBACKUP` privilege on the source database `prod_source`.

   ```
   RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
   ```

   Enter the password for the `sbu` user when prompted.

2. Connect to a recovery catalog.

3. Perform one of the following steps:

   - If you want to leverage a preexisting level 0 backup of the tablespace, then create an incremental level 1 backup to include all the latest changes that occurred in the tablespace since the level 0 backup was taken.
     The following statement creates an incremental level 1 backup of the tablespace `mf_tbs`:

     ```
     RMAN> BACKUP
     INCREMENTAL LEVEL 1
     TABLESPACE 'mf_tbs';
     ```

   - Alternatively, create a level 0 backup of the tablespace.
     The following statement creates an incremental level 0 backup of the tablespace `mf_tbs`:

     ```
     RMAN> BACKUP
     INCREMENTAL LEVEL 1
     TABLESPACE 'mf_tbs';
     ```

4. Make a note of the source `DBID` as displayed by RMAN.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=699892390)
   ```

28.5.4.2 Destination Database: Restore Data Files From a Base Incremental Level 0 Backup of a Tablespace with Recovery Catalog

On the destination database, restore data files from the level 0 incremental backup of the tablespace created on the source database. The level 0 backup serves as a basis for RMAN to roll forward the data files with subsequent increment level 1 backups of the tablespace.

1. Connect to a recovery catalog.
2. Use the `SET` command with the `FOREIGN DBID` option to specify the database identifier or `DBID` of the source database containing the tablespace backup you want to use for the restore operation.

   The following command sets the foreign DBID to `699892390`, which is the DBID of the source database containing the tablespace `mf_tbs` whose backups are being restored:

   ```
   RMAN> SET FOREIGN DBID 699892390;
   ```

Perform these steps in a single RMAN session to ensure that the in-memory transport list is available for RMAN during the restore operation.

3. Use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT LIST` option to create an in-memory list of backups used by RMAN in the restore operation. The results of the `RESTORE PREVIEW` operation remains in-memory.

   Use the `TABLESPACE` command to specify the tablespace name.

   The following example creates an in-memory transport list of backups which RMAN must use to restore the tablespace `mf_tbs` on the destination host.

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT LIST
   FOREIGN TABLESPACE mf_tbs;
   ```

4. Run this command to clear the foreign database ID.

   ```
   RMAN> SET FOREIGN DBID CLEAR;
   ```

5. Use the `CONNECT TARGET` command to connect to the destination database as a user with the `SYSDBA` or `SYSBACKUP` privilege.
6. Run the `RESTORE` command with the `FOREIGN TABLESPACE` option and the `TO TRANSPORT LIST` clause.

   ```
   RMAN> RESTORE
   FOREIGN TABLESPACE mf_tbs
   FROM TRANSPORT LIST;
   ```

RMAN first restores the data files from the level 0 backup of the tablespace and then rolls forward the data files by applying the incremental level 1 backup.

28.5.4.3 Create and Restore Incremental Backups of a Tablespace with Recovery Catalog

On the destination database, you can periodically roll-forward the previously restored data files to keep the data in-sync with the source tablespace.

Performing frequent incremental backups when the tablespaces are in read/write mode is advantageous because this reduces the amount of changed data that needs to be applied to the destination database using the final incremental backup that is taken when the tablespace is read-only. There is no restriction on the number of incremental level 1 backups you can apply on the destination database.

On the source database, create an incremental level 1 backup of the tablespace

1. Connect to the source database from which you need to transport tablespaces as `TARGET`.

   For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablepaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

   In this example, `sbu` is a user who is granted the `SYSBACKUP` privilege on the source database `prod_source`.

   ```
   RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
   ```

   Enter the password for the `sbu` user when prompted.

2. Connect to a recovery catalog.
3. On the source database, use the `INCREMENTAL LEVEL 1` clause in the `BACKUP TABLESPACE` command to create a incremental level 1 backup of the tablespace you want to transport to a destination platform.

   The following statement creates an incremental level 1 backup of the tablespace `mf_tbs`:

   ```
   RMAN> BACKUP
   INCREMENTAL LEVEL 1
   TABLESPACE mf_tbs;
   ```

4. Make a note of the source `DBID` as displayed by RMAN.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=699892390)
   ```

On the destination database, restore data files using the incremental level 1 backup of the tablespace

5. Connect to a recovery catalog.

6. Use the `SET` command with the `FOREIGN DBID` option to specify the database identifier or `DBID` of the source database containing the tablespace backup you want to use for the restore operation.

   The following command sets the foreign DBID to `699892390`, which is the DBID of the source database containing the tablespace `mf_tbs` whose backups are being restored:

   ```
   RMAN> SET FOREIGN DBID 699892390;
   ```

Perform these steps in a single RMAN session to ensure that the in-memory transport list is available for RMAN during the restore operation.

7. Use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT LIST` option to create an in-memory list of backups used by RMAN in the restore operation. The results of the `RESTORE PREVIEW` operation remains in-memory.

   Use the `TABLESPACE` command to specify the tablespace name.

   The following example creates an in-memory transport list of backups which RMAN must use to restore the tablespace `mf_tbs` on the destination host.

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT LIST
   FOREIGN TABLESPACE mf_tbs;
   ```

8. Run this command to clear the foreign database ID.

   ```
   RMAN> SET FOREIGN DBID CLEAR;
   ```

9. Use the `CONNECT TARGET` command to connect to the destination database as a user with the `SYSDBA` or `SYSBACKUP` privilege.

10. Run the `RESTORE` command with the `FOREIGN TABLESPACE` option and the `TO TRANSPORT LIST` clause.

    ```
    RMAN> RESTORE
    FOREIGN TABLESPACE mf_tbs
    FROM TRANSPORT LIST;
    ```

    RMAN rolls forward the previously restored data files by applying the incremental level 1 backup of the tablespace `mf_tbs`.

28.5.4.4 Source Database: Create a Final Incremental Backup of a Tablespace with Recovery Catalog

Prepare a tablespace for the final transport to the destination database when RMAN is connected to a recovery catalog.

1. Connect to the source database from which you need to transport tablespaces as `TARGET`.

   For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablepaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

In this example, `sbu` is a user who is granted the `SYSBACKUP` privilege on the source database `prod_source`.

```
RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
```

Enter the password for the `sbu` user when prompted.

2. Connect to a recovery catalog.
3. Place the tablespace to be transported in read-only mode.

   The following command places the tablespace `mf_tbs` in read-only mode.

   ```
   ALTER TABLESPACE mf_tbs READ ONLY;
   ```

4. Create a final incremental level 1 backup of the tablespace that is being transported to a destination platform.

   Use the `DATAPUMP` clause to generate an export dump file. The export dump file contains the metadata required to plug in the tablespaces on the destination database.

   The following statement creates a incremental level 1 backup and an export dump file for the tablespace `mf_tbs`.

   ```
   RMAN> BACKUP
   INCREMENTAL LEVEL 1
   TABLESPACE mf_tbs
   FORMAT '/tmp/xplat_backups/my_tbs_incr.bck'
   DATAPUMP FORMAT 'pump.dmp'
   TAG 'mf_plugin_tag';
   ```

5. Make a note of the source `DBID` as displayed by RMAN.

   For example, RMAN outputs a line of the following form when it connects to a target database that is open:

   ```
   connected to target database: PROD (DBID=699892390)
   ```

28.5.4.5 Destination Database: Perform the Final Transport of a Tablespace with Recovery Catalog

Restore the data files from the final incremental backup of the tablespace and then plug in the restored tablespace to the destination database when RMAN is connected to a recovery catalog.

1. Connect to a recovery catalog.
2. Use the `SET` command with the `FOREIGN DBID` option to specify the database identifier or `DBID` of the source database containing the tablespace backup you want to use for the restore operation.

The following command sets the foreign DBID to `699892390`, which is the DBID of the source database containing the tablespace `mf_tbs` whose backups are being restored:

```
RMAN> SET FOREIGN DBID 699892390;
```

Perform these steps in a single RMAN session to ensure that the in-memory transport list is available for RMAN during the restore operation.

3. Use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT LIST` option to create an in-memory list of backups used by RMAN in the restore operation. The results of the `RESTORE PREVIEW` operation remains in-memory.

   Use the `PLUGIN TAG` to specify the tag applied to the data pump export dump file backup created on the source host. During the restore operation, RMAN uses the specified tag to uniquely identify the metadata corresponding to the tablespace that needs to be transported to the destination host.

   Use the `TABLESPACE` command to specify the tablespace name.

   The following example creates an in-memory transport list of backups which RMAN must use to restore the tablespace `mf_tbs` on the destination host.

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT LIST
   PLUGIN TAG 'mf_plugin_tag'
   FOREIGN TABLESPACE mf_tbs;
   ```

4. Run this command to clear the foreign database ID.

   ```
   RMAN> SET FOREIGN DBID CLEAR;
   ```

5. Use the `CONNECT TARGET` command to connect to the destination database as a user with the `SYSDBA` or `SYSBACKUP` privilege.

6. Run the `RESTORE` command with the `FOREIGN TABLESPACE` option and the `TO TRANSPORT LIST` clause.

   ```
   RMAN> RESTORE
   FOREIGN TABLESPACE mf_tbs
   FORMAT '/oradata/%U'
   FROM TRANSPORT LIST;
   ```

   RMAN restores the data files from the final incremental level 1 backup and plugs in the tablespace on the destination database.

28.6 Transporting Tablespaces in NOCATALOG Mode

In the `NOCATALOG` mode, you must create a transport file to store information about the source tablespace backups. Learn the different ways to transport a tablespace by using a backup of the tablespace backup and a transport file.

28.6.1 About Transporting Tablespaces Across Platforms in NOCATALOG Mode

To transport a tablespace using tablespace backups, RMAN needs to identify the exact backups from the source database and then perform a restore operation on the destination database. In the `NOCATALOG` mode, you must create a transport file on the source database. The transport file is an `XML` format file to store the backup metadata. RMAN requires the transport file to restore the data files from backups and plug in a tablespace on the destination database.

These are the essential steps required to transport a tablespace in `NOCATALOG` mode:

On the source database:

- Use a preexisting level 0 backup of the tablespace or create a new backup using the `BACKUP TABLESPACE` command.
- Create a final incremental level 1 backup of the tablespace when the tablespace is in read-only mode:
  - Use the `BACKUP TABLESPACE` command to create the final incremental level 1 backup.
  - Use the `DATAPUMP` clause to create an export dump file along with the incremental backup.
- Use the `RESTORE PREVIEW` command along with the `TO TRANSPORT FILE` clause to create a transport `XML` file.
- Use operating system utilities to manually copy the transport `XML` file from the source host to the destination host. Alternatively, store the `XML` file in a network file system (NFS) path or any shared location accessible to the destination host.

On the destination database, perform a single restore operation using the transport file created on the source database.

Use the `RESTORE` command with the `FROM TRANSPORT FILE` clause and the `FOREIGN TABLESPACE` clause. RMAN restores the data files from the level 0 backup, rolls forward the data files by applying the incremental level 1 backup, and then plugs in the tablespace on the destination database.

> **Note:**
>
> If the Oracle Active Data Guard logical database rolling upgrade process is running on a destination database, then RMAN cannot successfully complete the final step involved in transporting data using backups. This is because the rolling upgrade process restricts RMAN from importing the backup metadata required to restore a final incremental backup and plug in a tablespace on the destination database.

28.6.2 Quickly Transport a Tablespace in NOCATALOG Mode

You can quickly transport a tablespace by restoring the data files from a level 0 backup of the tablespace on the destination database.

Oracle recommends this method for transporting small sized tablespaces that take less time to backup.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.6.2.1 Source CDB: Preparing to Quickly Transport a Tablespace in NOCATALOG Mode

Prepare to quickly transport a tablespace by creating a level 0 backup of the tablespace and a transport file on the source database.

1. Connect to the source database from which you need to transport tablespaces as `TARGET`.
2. For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablespaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

   In this example, `sbu` is a user who is granted the `SYSBACKUP`privilege on the source database `prod_source`.

   ```
   RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
   ```

   Enter the password for the `sbu` user when prompted.
3. Place the tablespace in read-only mode.

   The following command places the tablespace `mf_tbs` in read-only mode.

   ```
   ALTER TABLESPACE mf_tbs READ ONLY;
   ```

4. Use the `BACKUP TABLESPACE` command to create an incremental level 0 backup of the tablespace that you want to transport to a destination database.

   Use the `DATAPUMP` clause to create an export dump file along with the level 0 backup.

   The following statement creates an incremental level 1 backup and an export dump file for the tablespace `my_tbs`.

   ```
   RMAN> BACKUP
   INCREMENTAL LEVEL 0
   TABLESPACE mf_tbs
   FORMAT '/tmp/xplat_backups/mf_tbs_incr.bck'
   DATAPUMP FORMAT 'pump.bck'
   TAG 'mf_tbs_plugin_tag';
   ```

   In this example, the `mf_tbs_incr.bck` file stores the incremental backup. The `pump.dmp` file stores the export dump file backup.
5. On the source database, use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT FILE` option to create a transport file. The transport file stores the tablespace backup information in `XML` format.

The following statement creates a transport file named `'mf_tbs_transportfile.xml'`. The plug in tag `mf_tbs_plugin_tag` identifies the export dump file corresponding to the tablespace `mf_tbs`.

```
RMAN> RESTORE PREVIEW
TO TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml'
PLUGIN TAG 'mf_tbs_plugin_tag'
TABLESPACE mf_tbs;
```

6. Use operating system specific utilities to manually copy the transport file from the source database to the destination database. Alternatively, store the transport file in a network file system (NFS) path or any shared location accessible to the destination host.

28.6.2.2 Destination Database: Quickly Restore Data Files and Plug In a Tablespace in NOCATALOG Mode

Use a transport file to restore the data files from a level 0 backup of the tablespace on the destination database.

1. Use the `CONNECT TARGET` command to connect to the destination database as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Run the `RESTORE FOREIGN TABLESPACE` command with the `FROM TRANSPORT FILE` option to specify the transport file that you have created on the source database containing the tablespace.

   The following statement restores the data files from a level 0 backup of the tablespace `my_tbs`, and plugs in the tablespace on the destination database. The `mf_tbs_transportfile.xml` file is the transport file that contains the backup information required for the restore operation.

   ```
   RMAN> RESTORE
   FOREIGN TABLESPACE mf_tbs
   FORMAT '/oradata/%U'
   FROM TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml';
   ```

   If you are transporting a tablespace using a backup and an export dump file backup made in a previous release of Oracle Database, then you must run the `RESTORE` command to include:

   - The `DUMP FILE` clause to specify that RMAN needs to restore the export dump file created during the tablespace backup.
     The `DATAPUMP DESTINATION` clause to specify the directory into which you want to extract and store the export dump file.
   - The `FROM BACKUPSET` clause to specify the name of the backup set that contains the export dump file.

   ```
   RMAN> RESTORE
   FOREIGN TABLESPACE mf_tbs
   FORMAT '/oradata/%U'
   FROM TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml'
   ```

```
DUMP FILE DATAPUMP DESTINATION '/tmp/xplat_backups/'
FROM BACKUPSET 'mf_tbs_datapump.bck';
```

In this example, `/tmp/xplat_backups/` is the location specified to extract the dump file from the backup set `mf_tbs_datapump.bck`.

28.6.3 Transport a Tablespace Using a Preexisting Tablespace Backup and without Recovery Catalog

RMAN enables you to transport a tablespace by leveraging a preexisting tablespace backup (level 0) that is available as part of your regular backup schedule.

In the `NOCATALOG` mode, you must generate a transport file on the source database. The transport file stores tablespace backup information in `XML` format. On the destination database, RMAN uses the transport file to restore the data files from tablespace backups created on the source database.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.6.3.1 Source Database: Preparing to Transport a Tablespace Using a Preexisting Backup in NOCATALOG Mode

Transport a tablespace by using a most recent level 0 backup of the tablespace and a transport file on the source database.

1. Connect to the source database from which you need to transport tablespaces as `TARGET`.
2. For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablespaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

   In this example, `sbu` is a user who is granted the `SYSBACKUP`privilege on the source database `prod_source`.

   ```
   RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
   ```

   Enter the password for the `sbu` user when prompted.
3. Place the tablespace in read-only mode.

   The following command places the tablespace `mf_tbs` in read-only mode.

   ```
   ALTER TABLESPACE mf_tbs READ ONLY;
   ```

4. Create a final incremental level 1 backup of the tablespace.

   Use the `DATAPUMP` clause to create an export dump file containing the metadata required to plug in the tablespace on to the destination host.

The following statement creates an incremental level 1 backup of the tablespace `my_tbs` .

```
RMAN> BACKUP
INCREMENTAL LEVEL 1
TABLESPACE mf_tbs
FORMAT '/tmp/xplat_backups/my_tbs_incr.bck'
DATAPUMP FORMAT 'pump.bck'
TAG 'mf_tbs_plugin_tag';
```

In this example, the `mf_tbs_incr.bck` file stores the incremental backup. The `pump.dmp` file stores the export dump file backup.

5. On the source database, use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT FILE` option to create a transport file.

   The following statement creates a transport file named `'mf_tbs_transportfile.xml'`. The plug in tag `mf_tbs_plugin_tag` identifies the export dump file corresponding to the tablespace `mf_tbs`.

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml'
   PLUGIN TAG 'mf_tbs_plugin_tag'
   TABLESPACE mf_tbs;
   ```

6. Use operating system specific utilities to manually copy the transport file from the source database to the destination database. Alternatively, store the transport file in a network file system (NFS) path or any shared location accessible to the destination host.

28.6.3.2 Destination Database: Restore Data Files From a Preexisting Backup and Plug In a Tablespace in NOCATALOG Mode

Restore the most recent backup of a tablespace on the destination database using a transport file.

1. Use the `CONNECT TARGET` command to connect to the destination database as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Use the `RESTORE FOREIGN TABLESPACE` command with the `FROM TRANSPORT FILE` option to specify the transport file you have created on the source database.

   The following statement restores the data files using the backups of the tablespace `mf_tbs`. RMAN first restores the data files from the most recent level 0 backup, rolls forward the restored data files by applying the incremental level 1 backup, and then plugs in the tablespace on the destination database.

   The transport file `mf_tbs_transportfile.xml` contains the backup information required for the restore operation.

   ```
   RMAN> RESTORE
   FOREIGN TABLESPACE my_tbs
   FORMAT '/oradata/%U'
   FROM TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml';
   ```

If you are transporting a tablespace using a backup and an export dump file backup made in a previous release of Oracle Database, then you must run the `RESTORE` command to include:

- The `DUMP FILE` clause to specify that RMAN needs to restore the export dump file created during the tablespace backup
- The `DATAPUMP DESTINATION` clause to specify the directory into which you want to extract and store the export dump file
- The `FROM BACKUPSET` clause to specify the name of the backup set that contains the export dump file

```
RMAN> RESTORE
FOREIGN TABLESPACE mf_tbs
FORMAT '/oradata/%U'
FROM TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml'
DUMP FILE DATAPUMP DESTINATION '/tmp/xplat_backups/'
FROM BACKUPSET 'mf_tbs_datapump.bck';
```

In this example, `/tmp/xplat_backups/` is the location specified to extract the export dump file from the backup set `mf_tbs_datapump.bck`.

28.6.4 Transport a Tablespace Using Multiple Incremental Backups in NOCATALOG Mode

Use this method for transporting large tablespaces in `NOCATALOG` mode.

On the destination database, you must first restore the data files from a preexisting backup or a new backup of the tablespace. You can then roll forward the restored data files by applying multiple incremental level 1 backups periodically. The source tablespace can remain open for writes while you apply any number of incremental level 1 backups on the destination database. The application downtime begins only when you create the final incremental backup.

This method helps to improve database availability while transporting large tablespaces.

Ensure that you meet the prerequisites described in Prerequisites for Transporting Data Using RMAN Backups.

28.6.4.1 Source Database: Creating a Base Incremental Backup of a Tablespace

Use a preexisting tablespace backup or create a level 0 backup of the tablespace on the source database.

1. Connect to the source database from which you need to transport tablespaces as `TARGET`.
2. For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablespaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

In this example, `sbu` is a user who is granted the `SYSBACKUP`privilege on the source database `prod_source`.

```
RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
```

Enter the password for the `sbu` user when prompted.

3. Perform one of the following steps:
   - If you want to leverage a preexisting level 0 backup of the tablespace, then create an incremental level 1 backup to include all the latest changes that occurred in the tablespace since the level 0 backup was taken.
     The following statement creates an incremental level 1 backup of the tablespace `mf_tbs`:

     ```
     RMAN> BACKUP
     INCREMENTAL LEVEL 1
     TABLESPACE 'mf_tbs';
     ```

   - Alternatively, create a level 0 backup of the tablespace.
     The following statement creates an incremental level 0 backup of the tablespace `mf_tbs`:

     ```
     RMAN> BACKUP
     INCREMENTAL LEVEL 1
     TABLESPACE 'mf_tbs';
     ```

4. On the source database, use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT FILE` option to create a transport file.

   The following statement creates a transport file named `mf_tbs_transportfile.xml`

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT FILE '/tmp/xplat_backups/sales_pdb_transportfile.xml'
   TABLESPACE mf_tbs;
   ```

5. Use operating system specific utilities to manually copy the transport file from the source host to the destination host. Alternatively, store the transport file in a network file system (NFS) path or any shared location accessible to the destination host.

28.6.4.2 Destination Database: Restore the Base Incremental Backup of a Tablespace in NOCATALOG Mode

On the destination database, use a transport file to restore the base incremental level 0 backup of the tablespace being transported. The level 0 backup serves as a basis for RMAN to restore subsequent increment level 1 backups of the tablespace.

1. Use the `CONNECT TARGET` command to connect to the destination database as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Use the `RESTORE FOREIGN TABLESPACE` command with the `FROM TRANSPORT FILE` option to specify the transport file created on the source host.

The following statement restores the data files from the backup of the tablespace `my_tbs`. RMAN first restores the data files from the level 0 backup, rolls forward the data files by applying any incremental level 1 backups. The `mf_tbs_transportfile.xml` transport file contains the backup information required for the restore operation.

```
RMAN> RESTORE
FOREIGN TABLESPACE mf_tbs
FORMAT '/oradata/%U'
FROM TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml';
```

28.6.4.3 Restore Data Files Using Incremental Backups of a Tablespace in NOCATALOG Mode

On the destination database, you can periodically apply incremental level 1 backups to roll forward the previously restored data files. The source tablespace can remain open for writes during the backup and restore operation.

On the source database, create an incremental level 1 backup of the tablespace

1. Connect to the source database from which you need to transport tablespaces as `TARGET`.
2. For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablespaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

   In this example, `sbu` is a user who is granted the `SYSBACKUP`privilege on the source database `prod_source`.

   ```
   RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
   ```

   Enter the password for the `sbu` user when prompted.
3. Use the `INCREMENTAL LEVEL 1` clause in the `BACKUP TABLESPACE` command to create a incremental level 1 backup of the tablespace. You can create multiple incremental level 1 backups and then perform a single restore operation on the destination database.

   This example creates an incremental level 1 backup of the tablespace `my_tbs`:

   ```
   RMAN> BACKUP
   INCREMENTAL LEVEL 1
   TABLESPACE my_tbs;
   ```
4. On the source database, use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT FILE` option to create a transport file.

   The following statement creates a transport file named `mf_tbs_transportfile.xml`

   ```
   RMAN> RESTORE PREVIEW
   TO TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml'
   TABLESPACE mf_tbs;
   ```

5. Use operating system specific utilities to manually copy the transport XML file from the source host to the destination host. Alternatively, store the XML file in a network file system (NFS) path or any shared location accessible to the destination host.

On the destination database, roll-forward the previously restored data files by applying the incremental level 1 backups of the tablespace.

6. Use the CONNECT TARGET command to connect to the destination database as a user with the SYSDBA or SYSBACKUP privilege.
7. Restore the data files from the tablespace backup created on the source host. Use the RESTORE FOREIGN TABLESPACE command with the FROM TRANSPORT FILE option.

   If you have created multiple incremental level 1 backups of the source tablespace, RMAN applies the incremental backups in the same order in which the backups were created.

   The following statement restores the data files from the incremental backups of the tablespace mf_tbs on the destination host. The mf_tbs_transportfile.xml transport file contains the backup information required for the restore operation.

```
RMAN> RESTORE
FOREIGN TABLESPACE mf_tbs
FORMAT '/oradata/%U'
FROM TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml';
```

28.6.4.4 Destination Database: Create a Final Incremental Backup of a Tablespace in NOCATALOG Mode

Create a final incremental level 1 backup and an export dump file to prepare a tablespace for the final transport to the destination database.

1. Connect to the source database from which you need to transport tablespaces as TARGET.
2. For a tablespace in the root, connect to the root as a common user with the SYSDBA or SYSBACKUP privilege. For tablespaces in the PDB, connect to the PDB as a common user or local user with the SYSDBA or SYSBACKUP privilege.

   In this example, sbu is a user who is granted the SYSBACKUPprivilege on the source database prod_source.

```
RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
```

   Enter the password for the sbu user when prompted.

3. Place the tablespaces to be transported in read-only mode.

   The following command places the tablespace mf_tbs in read-only mode:

```
ALTER TABLESPACE mf_tbs READ ONLY;
```

4. Create a final incremental level 1 backup of the tablespace. Use the DATAPUMP clause to create an export dump file along with the incremental backup.

This example creates a final incremental level 1 backup of the tablespace `mf_tbs`:

```
RMAN> BACKUP
INCREMENTAL LEVEL 1
TABLESPACE mf_tbs
FORMAT '/tmp/xplat_backups/my_tbs_incr.bck'
DATAPUMP FORMAT 'pump.dmp'
TAG 'mf_plugin_tag';
```

In this example, the `mf_tbs_incr.bck` file stores the incremental backup. The `pump.dmp` file stores the export dump file backup.

5. On the source database, use the `RESTORE` command with the `PREVIEW` option and the `TO TRANSPORT FILE` option to create a transport `XML` file.

```
RMAN> RESTORE PREVIEW
TO TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transport.xml'
PLUGIN TAG 'mf_plugin_tag'
TABLESPACE mf_tbs;
```

In this example, the plug in tag `mf_plugin_tag` identifies the dump file backup corresponding to the source tablespace `mf_tbs`.

6. Use operating system utilities to copy the transport `XML` file to a network file system (NFS), or shared location that is accessible to the destination database host.

28.6.4.5 Destination Database: Perform the Final Transport of a Tablespace in NOCATALOG Mode

As a final step, use the transport file to restore the data files and plug in the tablespace to the destination database.

1. Use the `CONNECT TARGET` command to connect to the destination database as a user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Use the `RESTORE FOREIGN TABLESPACE` command with the `FROM TRANSPORT FILE` option to specify the transport file created on the source host.

   The following statement restores the `mf_tbs` tablespace on the destination database. The `FROM TRANSPORT FILE` command specifies the transport file created on the source database.

```
RMAN> RESTORE
FOREIGN TABLESPACE mf_tbs
FORMAT '/oradata/%U'
FROM TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml';
```

If you are transporting a tablespace using a backup and an export dump file backup made in a previous release of Oracle Database, then you must run the `RESTORE` command to include:

- The `DUMP FILE` clause to specify that RMAN needs to restore the export dump file created during the tablespace backup.

The `DATAPUMP DESTINATION` clause to specify the directory into which you want to extract and store the export dump file.

- The `FROM BACKUPSET` clause to specify the name of the backup set that contains the export dump file.

```
RMAN> RESTORE
FOREIGN TABLESPACE mf_tbs
FORMAT '/oradata/%U'
FROM TRANSPORT FILE '/tmp/xplat_backups/mf_tbs_transportfile.xml'
DUMP FILE DATAPUMP DESTINATION '/tmp/xplat_backups/'
FROM BACKUPSET 'mf_tbs_datapump.bck';
```

In this example, `/tmp/xplat_backups/` is the location specified to extract the export dump file from the backup set `mf_tbs_datapump.bck`.

28.7 Transporting Tablespaces Over the Network

RMAN enables you to transport tablespaces over the network.

28.7.1 About Transporting Tablespaces Over the Network

Starting with Oracle Database 23c, you can use RMAN to transport tablespaces over the network from a source database to a destination database.

RMAN can connect to a source database, create the required backups of a tablespace, transfer the data files optimally over the network, and then restore the data files on the destination database. RMAN also plugs in the tablespace on the destination database.

To transport a tablespace through the network, RMAN uses the `RESTORE` command along with the `FROM SERVICE` clause, the `FOREIGN TABLESPACE` clause, and the `PLUGIN DBLINK` clause.

Additionally, you can use the `RECOVER` command to periodically roll forward the restored tablespace backup on the destination database.

These are the major steps required to transport a tablespace from a source database to a destination database over the network:

On the destination database:

- Run the `RESTORE` command along with the `FOREIGN TABLESPACE` clause and the `FROM SERVICE` clause. RMAN restores the data files from a level 0 backup of the source tablespace.
- You can optionally run the `RECOVER` command along with the `FOREIGN TABLESPACE` clause and the `FROM SERVICE` clause. RMAN rolls forward the previously restored data files using the incremental level 1 backups of the source tablespace.
- When the source tablespace is in read-only mode, use the `RECOVER` command with the `FOREIGN TABLESPACE` clause, the `FROM SERVICE` clause, and the `PLUGIN DBLINK` clause to recover the data files from a final incremental backup, and plug in the tablespace on the destination database.

> **Note:**
>
> If the Oracle Active Data Guard logical database rolling upgrade process is running on a destination database, then RMAN cannot successfully complete the final step involved in transporting data using backups. This is because the rolling upgrade process restricts RMAN from importing the backup metadata required to restore a final backup and plug in a tablespace on to a destination database.

28.7.2 Quickly Transport a Tablespace Over the Network

Use RMAN to quickly transport a tablespace by restoring the data files from a tablespace backup over the network. Oracle recommends this method for transporting small-sized PDBs that take less time to backup.

Ensure that you meet the prerequisites as described in Prerequisites for Transporting Data Using RMAN Backups.

1. Perform these steps on a source database:
   a. Connect to the source database from which you need to transport tablespaces as `TARGET`.

      For a tablespace in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablepaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

      In this example, `sbu` is a user who is granted the `SYSBACKUP` privilege on the source database `prod_source`.

      ```
      RMAN> CONNECT TARGET "sbu@prod_source AS SYSBACKUP";
      ```

      Enter the password for the `sbu` user when prompted.

   b. Place the tablespace in read-only mode.

      The following command places the tablespace `mf_tbs` in read-only mode:

      ```
      ALTER TABLESPACE mf_tbs READ ONLY;
      ```

   c. Grant the `EXP_FULL_DATABASE` privilege to the `SYSBACKUP` user on the source database.
2. Perform these steps on the destination database.
   a. Connect to the destination database, into which the tablespaces must be transported, as `TARGET`.

      For tablespaces in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege. For tablespaces in the PDB, connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` privilege.

      In this example, `sbu` is a user who is granted the `SYSBACKUP` privilege on the destination database `prod_dest`.

      ```
      RMAN> CONNECT TARGET "sbu@prod_dest AS SYSBACKUP";
      ```

Enter the password for the `sbu` user when prompted.

b. Use the `SQL*PLUS CREATE DATABASE LINK` statement to create a `PUBLIC dblink`.

   For example, in the following statement, user `rco` on the destination database defines a database link called `mftbspluginlink` that refers to the database `pdb1` on the CDB `cdb1`.

```
CREATE PUBLIC DATABASE LINK mftbspluginlink CONNECT TO rco
IDENTIFIED BY password USING 'cdb1_pdb1'
```

c. Perform a single restore operation which enables RMAN to connect to the source database, create a level 0 backup of the tablespace, transfer the data files over the network, and then restore the data files on the destination database. RMAN also plugs in the restored tablespace on to the destination database.

   Use the `FOREIGN TABLESPACE` command to specify the name of the tablespace on the source database.

   Use the `FROM SERVICE` clause to specify the service name of the source database.

   Optionally, use the `PLUGIN FILE` clause to specify the file name and location to store the export dump file that contains the metadata required to plug in the tablespace on the destination database.

   Use the `PLUGIN DBLINK` clause to specify the database link to access the destination database.

   The following statement restores the data files using a backup of the tablespace `mf_tbs` from a source database with the service name `source_db`. The `PLUGIN FILE` clause specifies that the structural metadata of the tablespace must be stored in `/tmp/xplat_backups/mf_tbs.dmp`. The `PLUGIN DBLINK` clause specifies the database link `mftbspluginlink` created on the destination database.

```
RMAN> RESTORE
FOREIGN TABLESPACE 'mf_tbs'
FORMAT 'tbs1%f.f'
FROM SERVICE 'source_db'
PLUGIN FILE '/tmp/xplat_backups/mf_tbs.dmp'
PLUGIN DBLINK 'mftbspluginlink';
```

28.7.3 Transport a Tablespace by Restoring Data Files Using Incremental Backups Over the Network

Use the network to restore data files from a level 0 backup of a tablespace, and then recover the restored data files until you want to perform the final transport of the tablespace over the network.

Ensure that the prerequisites, as described in Prerequisites for Transporting Data Using RMAN Backups are met.

1. Connect to the destination database, into which the tablespaces must be transported, as TARGET.

   For tablespaces in the root, connect to the root as a common user with the SYSDBA or SYSBACKUP privilege. For tablespaces in the PDB, connect to the PDB as a common user or local user with the SYSDBA or SYSBACKUP privilege.

   In this example, sbu is a user who is granted the SYSBACKUP privilege on the destination database prod_dest.

   ```
   RMAN> CONNECT TARGET "sbu@prod_dest AS SYSBACKUP";
   ```

   Enter the password for the sbu user when prompted.

2. On the destination database, perform a restore operation using the FROM SERVICE clause.

   RMAN connects to the source database, creates the required backup of the source tablespace, transfers the data files over the network, and then restores the data files on the destination database. The first backup is always an incremental level 0 backup that serves as a base to apply subsequent incremental level 1 backups.

   Run the RESTORE command with the FOREIGN TABLESPACE command to specify the name of the source tablespace.

   Use the FROM SERVICE clause to specify the service name of the source database.

   The following statement restores the data files from a level 0 backup of the tablespace mf_tbs from a source database with the service name source_db.

   ```
   RMAN> RESTORE
   FOREIGN TABLESPACE 'mf_tbs'
   FORMAT 'tbs1%f.f'
   FROM SERVICE 'source_db';
   ```

While the source tablespace remains open for writes, you can periodically perform recover operations on the destination database. The RECOVER command enables RMAN to roll forward the data files by applying incremental level 1 backups of the source tablespace. There is no restriction on the number of times you can perform the recover operation until you want to transport the tablespace with a final incremental backup.

3. Run the RECOVER command along with the FOREIGN TABLESPACE clause to specify the name of the tablespace on the source database.

   Use the FROM SERVICE clause to specify the service name of the source database.

   The following statement recovers the tablespace mf_tbs from a source database with the service name source_db:

   ```
   RECOVER
   FOREIGN TABLESPACE 'mf_tbs'
   FORMAT 'tbs1%f.f'
   FROM SERVICE 'source_db';
   ```

4. Use the SQL*PLUS CREATE DATABASE LINK statement to create a PUBLIC dblink.

For example, in the following statement, user `rco` on the destination database defines a database link called `mftbspluginlink` that refers to the database `pdb1` on the CDB `cdb1`.

```
CREATE PUBLIC DATABASE LINK mftbspluginlink CONNECT TO rco
IDENTIFIED BY password USING 'cdb1_pdb1'
```

5. Grant the `EXP_FULL_DATABASE` privilege to the `SYSBACKUP` user on the source database.
6. When the source tablespace is set to read-only mode, you can recover the data files using a final incremental backup, and then plug-in the tablespace on to the destination database.

   Run the `RECOVER` command with the `FOREIGN TABLESPACE` command to specify the name of the source tablespace.

   Use the `FROM SERVICE` clause to specify the service name of the source database.

   Optionally, use the `PLUGIN FILE` clause to specify the file name and location to store the export dump file that contains the metadata required to plug in the tablespace on the destination database.

   Use the `PLUGIN DBLINK` clause to specify the database link required to access the destination database.

   The following statement restores the data files of the tablespace `mf_tbs` from a source database with the service name `source_db`. The `PLUGIN FILE` clause specifies that the structural metadata of the tablespace must be stored in `/tmp/xplat_backups/mf_tbs.dmp`. The `PLUGIN DBLINK` specifies the database link `mftbsdblink` created on the destination database.

   ```
   RMAN> RESTORE
   FOREIGN TABLESPACE 'mf_tbs1'
   FORMAT 'tbs1%f.f'
   FROM SERVICE 'source_db'
   PLUGIN FILE '/tmp/xplat_backups/mf_tbs.dmp'
   PLUGIN DBLINK 'mftbspluginlink';
   ```

   RMAN recovers the data files from the final incremental backup, and then plugs in the tablespace on to the destination database.

Part VIII

Performing User-Managed Backup and Recovery

The following chapters describe how to perform backup and recovery when using a user-managed backup and recovery strategy, that is, one that does not depend upon RMAN. This part of the book contains these chapters:

- Making User-Managed Database Backups
- Performing User-Managed Database Flashback and Recovery
- Performing User-Managed Recovery: Advanced Scenarios

29
Making User-Managed Database Backups

You can back up an Oracle database in a user-managed backup and recovery strategy, that is, a strategy that does not depend on using Recovery Manager (RMAN).

> **Note:**
>
> A multitenant container database is the only supported architecture in Oracle Database 21c and later releases. While the documentation is being revised, legacy terminology may persist. In most cases, "database" and "non-CDB" refer to a CDB or PDB, depending on context. In some contexts, such as upgrades, "non-CDB" refers to a non-CDB from a previous release.

29.1 Querying V$ Views to Obtain Backup Information

Before making a backup, you must identify all the files in your database and decide what to back up. You can use V$ views to obtain this information.

This section contains the following topics:

- Listing Database Files Before a Backup
- Determining Data File Status for Online Tablespace Backups

29.1.1 Listing Database Files Before a Backup

Use the `V$DATAFILE` and `V$CONTROLFILE` views to identify the data files and control files for your database. This same procedure works whether you named these files manually or allowed Oracle Managed Files to name them.

> **Caution:**
>
> Never back up online redo log files.

To list data files and control files:

1. Start SQL*Plus and query `V$DATAFILE` to obtain a list of data files. For example, enter:

   ```
   SELECT NAME FROM V$DATAFILE;
   ```

   You can also join the `V$TABLESPACE` and `V$DATAFILE` views to obtain a listing of data files along with their associated tablespaces:

   ```
   SELECT   t.NAME "Tablespace", f.NAME "Data File"
   FROM     V$TABLESPACE t, V$DATAFILE f
   ```

```
WHERE    t.TS# = f.TS#
ORDER BY t.NAME;
```

2. Obtain the file names of the current control files by querying the `V$CONTROLFILE` view. For example, issue the following query:

   ```
   SELECT NAME FROM V$CONTROLFILE;
   ```

   You only need to back up one copy of a multiplexed control file.

3. If you plan to perform control file backup with the `ALTER DATABASE BACKUP CONTROLFILE TO 'filename'` statement, then save a list of all data files and online redo log files with the control file backup. Because the current database structure may not match the database structure at the time a given control file backup was created, saving a list of files recorded in the backup control file can aid the recovery procedure.

29.1.2 Determining Data File Status for Online Tablespace Backups

To check whether a data file is part of a current online tablespace backup, query the `V$BACKUP` view.

This view is useful only for user-managed online tablespace backups, because neither RMAN backups nor offline tablespace backups require the data files of a tablespace to be in backup mode. Some user-managed backup procedures require you to place the tablespace in backup mode to protect against the possibility of a fractured block. However, updates to the database create more than the usual amount of redo in backup mode.

The `V$BACKUP` view is most useful when the database is open. It is also useful immediately after an instance failure because it shows the backup status of the files at the time of the failure. Use this information to determine whether you have left any tablespaces in backup mode.

`V$BACKUP` is not useful if the control file currently in use is a restored backup or a new control file created after the media failure occurred. A restored or re-created control file does not contain the information that the database needs to populate `V$BACKUP` accurately. Also, if you have restored a backup of a file, this file's `STATUS` in `V$BACKUP` reflects the backup status of the older version of the file, not the most current version. Thus, this view can contain misleading data about restored files.

For example, the following query displays which data files are currently included in a tablespace that has been placed in backup mode:

```
SELECT t.name AS "TB_NAME", d.file# as "DF#", d.name AS "DF_NAME", b.status
FROM   V$DATAFILE d, V$TABLESPACE t, V$BACKUP b
WHERE  d.TS#=t.TS#
AND    b.FILE#=d.FILE#
AND    b.STATUS='ACTIVE';
```

The following sample output shows that the `tools` and `users` tablespaces currently have `ACTIVE` status:

```
TB_NAME              DF#        DF_NAME                         STATUS
-------------------- ---------- ------------------------------- ------
TOOLS                7          /oracle/oradata/trgt/tools01.dbf  ACTIVE
USERS                8          /oracle/oradata/trgt/users01.dbf  ACTIVE
```

In the STATUS column, NOT ACTIVE indicates that the file is not currently in backup mode (that is, you have not executed the ALTER TABLESPACE ... BEGIN BACKUP or ALTER DATABASE BEGIN BACKUP statement), whereas ACTIVE indicates that the file is currently in backup mode.

29.2 Making User-Managed Backups of Databases

You can create user-manged backups of CDBs and PDBs.

You can make a consistent whole database backup of all files in a database after the database has been shut down with the NORMAL, IMMEDIATE, or TRANSACTIONAL options. A whole database backup taken while the database is open or after an instance failure or SHUTDOWN ABORT command is inconsistent. In such cases, the files are inconsistent with the database checkpoint SCN.

You can make a whole database backup if a database is operating in either ARCHIVELOG or NOARCHIVELOG mode. If you run the database in NOARCHIVELOG mode, however, then the backup must be consistent; that is, you must shut down the database cleanly before the backup.

The set of backup files that results from a consistent whole database backup is consistent because all files are checkpointed to the same SCN. You can restore the consistent database backup without further recovery. After restoring the backup files, you can perform additional recovery steps to recover the database to a more current time if the database is operated in ARCHIVELOG mode. Also, you can take inconsistent whole database backups if your database is in ARCHIVELOG mode.

Control files play a crucial role in database restore and recovery. For databases running in ARCHIVELOG mode, Oracle recommends that you back up control files with the ALTER DATABASE BACKUP CONTROLFILE TO '*filename*' statement.

> **See Also:**
>
> "Making User-Managed Backups of the Control File" for more information about backing up control files

To make a consistent whole database backup for a CDB:

1. Open SQL*Plus.
2. Connect to the root as a common user with the SYSDBA or SYSBACKUP system privilege, as described in "Connecting as Target to the Root".
3. If the database is open, then use SQL*Plus to shut down the database with the NORMAL, IMMEDIATE, or TRANSACTIONAL options.
4. Use an operating system utility to make backups of all data files and all control files specified by the CONTROL_FILES parameter of the initialization parameter file. Also, back up the initialization parameter file and other Oracle product initialization files. To find these files, do a search for *.ora starting in your Oracle home directory and recursively search all of its subdirectories.

For example, you can back up the data files, control files, and archived logs to `/disk3/backup` as follows:

```
% cp $ORACLE_HOME/oradata/cdb1/*.dbf /disk3/backup
% cp $ORACLE_HOME/oradata/cdb1/arch/* /disk3/backup/arch
```

5. Restart the database with the `STARTUP` command in SQL*Plus.

> **See Also:**
>
> - *Oracle Database SQL Language Reference* for more information about using `ALTER DATABASE` command for CDBs
> - *Oracle Database Administrator's Guide* for more information about starting up and shutting down a database

To make a consistent backup of a PDB:

1. Open SQL*Plus.
2. Connect to the PDB as a common user or local user with the `SYSDBA` or `SYSBACKUP` system privilege, as described in "Connecting as Target to a PDB".
3. Begin the backup with the SQL `ALTER DATABASE` command.

   ```
   ALTER DATABASE BEGIN BACKUP;
   ```

4. Use an operating system utility to copy the data files belonging to the PDB to a backup device.
5. End the backup with the SQL `ALTER DATABASE` command.

   ```
   ALTER DATABASE END BACKUP;
   ```

29.3 Making User-Managed Backups of Tablespaces and Data Files

The technique for making user-managed backups of tablespaces and data files depends on whether the files are offline or online.

This section contains the following topics:

- Making User-Managed Backups of Offline Tablespaces and Data Files
- Making User-Managed Backups of Online Tablespaces and Data Files

29.3.1 Making User-Managed Backups of Offline Tablespaces and Data Files

Identify the data files associated with a tablespace before creating a user-managed backup of the tablespace.

Note the following guidelines when backing up offline tablespaces:

- You cannot take offline the `SYSTEM` tablespace or a tablespace with active undo segments. The following technique cannot be used for such tablespaces.
- Assume that a table is in tablespace `Primary` and its index is in tablespace `Index`. Taking tablespace `Index` offline while leaving tablespace `Primary` online can cause errors when data manipulation language (DML) is issued against the indexed tables located in `Primary`. The problem appears only when the access method chosen by the optimizer must access the indexes in the `Index` tablespace.

To back up offline tablespaces:

1. Open SQL*Plus.
2. Perform one of the following operations:
   - To back up offline tablespaces in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` system privilege.
   - To back up offline tablespaces in a PDB, connect to the PDB as a common user or local user with `SYSDBA` or `SYSBACKUP` system privilege.
3. Before beginning a backup of a tablespace, identify the tablespace's data files by querying the `DBA_DATA_FILES` view. For example, assume that you want to back up the `users` tablespace. Enter the following statement in SQL*Plus:

```
SELECT TABLESPACE_NAME, FILE_NAME
  FROM SYS.DBA_DATA_FILES
  WHERE TABLESPACE_NAME = 'USERS';

TABLESPACE_NAME                FILE_NAME
------------------------------ --------------------------------
USERS                          /oracle/oradata/trgt/users01.dbf
```

   In this example, `/oracle/oradata/trgt/users01.dbf` is a fully specified file name corresponding to the data file in the `users` tablespace.
4. Take the tablespace offline using normal priority if possible, because it guarantees that you can subsequently bring the tablespace online without having to recover it. For example:

```
SQL> ALTER TABLESPACE users OFFLINE NORMAL;
```

5. Back up the offline data files. For example:

```
% cp /oracle/oradata/trgt/users01.dbf /d2/users01_'date "+%m_%d_%y"'.dbf
```

6. Bring the tablespace online. For example:

```
ALTER TABLESPACE users ONLINE;
```

> **Note:**
>
> If you took the tablespace offline using temporary or immediate priority, then you cannot bring the tablespace online unless you perform tablespace recovery.

7. Archive the unarchived redo logs so that the redo required to recover the tablespace backup is archived. For example, enter:

```
ALTER SYSTEM ARCHIVE LOG CURRENT;
```

29.3.2 Making User-Managed Backups of Online Tablespaces and Data Files

You can back up all or only specific data files of an online tablespace while the database is open. The procedure differs depending on whether the online tablespace is read/write or read-only.

> **Note:**
>
> Do not back up temporary tablespaces.

29.3.2.1 Making User-Managed Backups of Online Read/Write Tablespaces

You must put a read/write tablespace in backup mode to make user-managed data file backups when the tablespace is online and the database is open.

The `ALTER TABLESPACE...BEGIN BACKUP` statement places a tablespace in backup mode. In backup mode, the database copies whole changed data blocks into the redo stream. After you take the tablespace out of backup mode with the `ALTER TABLESPACE...END BACKUP` or `ALTER DATABASE...END BACKUP` statement, the database advances the data file checkpoint SCN to the current database checkpoint SCN.

When restoring a data file backed up in this way, the database asks for the appropriate set of redo log files to apply if recovery is needed. The redo logs contain all changes required to recover the data files and make them consistent.

To back up online read/write tablespaces in an open database:

1. Before beginning a backup of a tablespace, use the `DBA_DATA_FILES` data dictionary view to identify all of the data files in the tablespace. For example, assume that you want to back up the `users` tablespace. Enter the following:

```
SELECT TABLESPACE_NAME, FILE_NAME
FROM   SYS.DBA_DATA_FILES
WHERE  TABLESPACE_NAME = 'USERS';

TABLESPACE_NAME                FILE_NAME
------------------------------ --------------------
USERS                          /oracle/oradata/trgt/users01.dbf
USERS                          /oracle/oradata/trgt/users02.dbf
```

2. Mark the beginning of the online tablespace backup. For example, the following statement marks the start of an online backup for the tablespace `users`:

```
SQL> ALTER TABLESPACE users BEGIN BACKUP;
```

> **Caution:**
>
> If you do not use `BEGIN BACKUP` to mark the beginning of an online tablespace backup and wait for this statement to complete before starting your copies of online tablespaces, then the data file copies produced are not usable for subsequent recovery operations. Attempting to recover such a backup is risky and can return errors that result in inconsistent data. For example, the attempted recovery operation can issue a fuzzy file warning, and can lead to an inconsistent database that you cannot open.

3. Back up the online data files of the online tablespace with operating system commands. For example, Linux and UNIX users might enter:

```
% cp /oracle/oradata/trgt/users01.dbf /d2/users01_'date "+%m_%d_%y"'.dbf
% cp /oracle/oradata/trgt/users02.dbf /d2/users02_'date "+%m_%d_%y"'.dbf
```

4. After backing up the data files of the online tablespace, run the SQL statement `ALTER TABLESPACE` with the `END BACKUP` option. For example, the following statement ends the online backup of the tablespace `users`:

```
SQL> ALTER TABLESPACE users END BACKUP;
```

5. Archive the unarchived redo logs so that the redo required to recover the tablespace backup is archived. For example, enter:

```
SQL> ALTER SYSTEM ARCHIVE LOG CURRENT;
```

> **Caution:**
>
> If you fail to take the tablespace out of backup mode, then Oracle Database continues to write copies of data blocks in this tablespace to the online redo logs, causing performance problems. Also, you receive an `ORA-01149` error if you try to shut down the database with the tablespaces still in backup mode.

29.3.2.2 Making Multiple User-Managed Backups of Online Read/Write Tablespaces

When backing up several online tablespaces, depending on your needs, you can back them up either serially or in parallel.

29.3.2.2.1 Backing Up Online Tablespaces in Parallel

You can simultaneously create data file copies of multiple tablespaces requiring backups in backup mode.

Note, however, that by putting all tablespaces in online mode together, you can generate large redo logs if there is heavy update activity on the affected tablespaces, because the redo must contain a copy of each changed data block in each changed data file. Be sure to consider the size of the likely redo before using the procedure outlined here.

To back up online tablespaces in parallel:

1. Prepare the online tablespaces for backup by issuing all necessary `ALTER TABLESPACE` statements together. For example, put tablespaces `users`, `tools`, and `indx` in backup mode as follows:

   ```
   SQL> ALTER TABLESPACE users BEGIN BACKUP;
   SQL> ALTER TABLESPACE tools BEGIN BACKUP;
   SQL> ALTER TABLESPACE indx BEGIN BACKUP;
   ```

   If you are backing up all tablespaces, you can use this command:

   ```
   SQL> ALTER DATABASE BEGIN BACKUP;
   ```

2. Back up all files of the online tablespaces. For example, a Linux or UNIX user might back up data files with the `*.dbf` suffix as follows:

   ```
   % cp $ORACLE_HOME/oradata/trgt/*.dbf /disk2/backup/
   ```

3. Take the tablespaces out of backup mode as in the following example:

   ```
   SQL> ALTER TABLESPACE users END BACKUP;
   SQL> ALTER TABLESPACE tools END BACKUP;
   SQL> ALTER TABLESPACE indx END BACKUP;
   ```

Again, if you are handling all data files together, you can use the `ALTER DATABASE` command instead of `ALTER TABLESPACE`:

```
SQL> ALTER DATABASE END BACKUP;
```

4. Archive the online redo logs so that the redo required to recover the tablespace backups is available for later media recovery. For example, enter:

```
SQL> ALTER SYSTEM ARCHIVE LOG CURRENT;
```

29.3.2.2.2 Backing Up Online Tablespaces Serially

You can place all tablespaces requiring online backups in backup mode one at a time.

Oracle recommends the serial backup option because it minimizes the time between `ALTER TABLESPACE...BEGIN/END BACKUP` statements. During online backups, more redo information is generated for the tablespace because whole data blocks are copied into the redo log.

To back up online tablespaces serially:

1. Prepare a tablespace for online backup. For example, to put tablespace `users` in backup mode enter the following:

```
SQL> ALTER TABLESPACE users BEGIN BACKUP;
```

   In this case you probably do not want to use `ALTER DATABASE BEGIN BACKUP` to put all tablespaces in backup mode simultaneously, because of the unnecessary volume of redo log information generated for tablespaces in online mode.

2. Back up the data files in the tablespace. For example, enter:

```
% cp /oracle/oradata/trgt/users01.dbf /d2/users01_'date "+%m_%d_%y"'.dbf
```

3. Take the tablespace out of backup mode. For example, enter:

```
SQL> ALTER TABLESPACE users END BACKUP;
```

4. Repeat this procedure for each remaining tablespace.
5. Archive the unarchived redo logs so that the redo required to recover the tablespace backups is archived. For example, enter:

```
SQL> ALTER SYSTEM ARCHIVE LOG CURRENT;
```

29.3.2.3 Ending a Backup After an Instance Failure or SHUTDOWN ABORT

Multiple reasons can cause a tablespace backup to fail and thereby require failure recovery.

The following situations can cause a tablespace backup to fail and be incomplete:

- The backup completed, but you did not run the `ALTER TABLESPACE...END BACKUP` statement.
- An instance failure or `SHUTDOWN ABORT` interrupted the backup.

Whenever recovery from a failure is required, if a data file is in backup mode when an attempt is made to open it, then the database does not open the data file until either a recovery command is issued, or the data file is taken out of backup mode.

For example, the database may display a message such as the following at startup:

```
ORA-01113: file 12 needs media recovery
ORA-01110: data file 12: '/oracle/dbs/tbs_41.f'
```

If the database indicates that the data files for multiple tablespaces require media recovery because you forgot to end the online backups for these tablespaces, then so long as the database is mounted, running the `ALTER DATABASE END BACKUP` statement takes all the data files out of backup mode simultaneously.

In high availability situations, and in situations when no database administrator (DBA) is monitoring the database, the requirement for user intervention is intolerable. Hence, you can write a failure recovery script that does the following:

1. Mounts the database
2. Runs the `ALTER DATABASE END BACKUP` statement
3. Runs the `ALTER DATABASE OPEN` statement, enabling the system to start automatically

An automated crash recovery script containing `ALTER DATABASE END BACKUP` is especially useful in the following situations:

- All nodes in an Oracle Real Application Clusters (Oracle RAC) configuration fail.
- One node fails in a (that is, a cluster that is *not* an Oracle RAC configuration in which the secondary node must mount and recover the database when the first node fails).

Alternatively, you can take the following manual measures after the system fails with tablespaces in backup mode:

- Recover the database and avoid issuing `END BACKUP` statements altogether.
- Mount the database, then run the `ALTER TABLESPACE...END BACKUP` statement for each tablespace still in backup mode.

29.3.2.3.1 Ending Backup Mode with the ALTER DATABASE END BACKUP Statement

You can run the `ALTER DATABASE END BACKUP` statement when you have multiple tablespaces still in backup mode. The primary purpose of this command is to allow a crash recovery script to restart a failed system without DBA intervention.

You can also perform the following procedure manually.

To take tablespaces out of backup mode simultaneously:

1. Mount but do not open the database. For example, enter:

```
SQL> STARTUP MOUNT
```

2. If you are performing this procedure manually (that is, not as part of a failure recovery script), query the `V$BACKUP` view to list the data files of the tablespaces that were being backed up before the database was restarted:

```
SQL>  SELECT * FROM V$BACKUP WHERE STATUS = 'ACTIVE';
FILE#      STATUS             CHANGE#    TIME       CON_ID
---------- ------------------ ---------- ---------  -------
        12 ACTIVE                  20863 25-NOV-02        0
        13 ACTIVE                  20863 25-NOV-02        0
        20 ACTIVE                  20863 25-NOV-02        0
 3 rows selected.
```

3. Issue the `ALTER DATABASE END BACKUP` statement to take all data files currently in backup mode out of backup mode. For example, enter:

```
SQL> ALTER DATABASE END BACKUP;
```

You can use this statement only when the database is mounted but not open. If the database is open, then use `ALTER TABLESPACE...END BACKUP` or `ALTER DATABASE DATAFILE...END BACKUP` for each affected tablespace or data file.

> **Caution:**
>
> Do not use `ALTER DATABASE END BACKUP` if you have restored any of the affected files from a backup.

29.3.2.3.2 Ending Backup Mode with the SQL*Plus RECOVER Command

Using the SQL*Plus `RECOVER` command to respond to a failed online user-managed backup is useful when you are not sure whether someone has restored a backup.

Because, if someone has indeed restored a backup, then the `RECOVER` command brings the backup up-to-date. Only run the `ALTER DATABASE END BACKUP` or `ALTER TABLESPACE...END BACKUP` statement if you are sure that the files are current.

> **Note:**
>
> The `RECOVER` command method is slow because the database must scan redo generated from the beginning of the online backup.

To take tablespaces out of backup mode with the RECOVER command:

1. Mount the database. For example, enter:

```
SQL> STARTUP MOUNT
```

2. Recover the database as usual. For example, enter:

```
SQL> RECOVER DATABASE
```

3. Use the `V$BACKUP` view to confirm that there are no active data files:

```
SQL>  SELECT * FROM V$BACKUP WHERE STATUS = 'ACTIVE';

no rows selected.
```

> **See Also:**
>
> Performing User-Managed Database Flashback and Recovery for information about recovering a database

29.3.2.4 Making User-Managed Backups of Read-Only Tablespaces

When backing up an online read-only tablespace, you can simply back up the online data files. You do not have to place the tablespace in backup mode because the database is not permitting changes to the data files.

If the set of read-only tablespaces is self-contained, then in addition to backing up the tablespaces with operating system commands, you can also export the tablespace metadata with the transportable tablespace functionality. If a media error or a user error occurs (such as accidentally dropping a table in the read-only tablespace), you can transport the tablespace back into the database.

> **See Also:**
>
> *Oracle Database Administrator's Guide* to learn how to transport tablespaces

To back up online read-only tablespaces in an open database:

1. Open SQL*Plus.
2. Perform one of the following operations:
   - To back up offline tablespaces in the root, connect to the root as a common user with the `SYSDBA` or `SYSBACKUP` system privilege.
   - To back up offline tablespaces in a PDB, connect to the PDB as a common user or local user with `SYSDBA` or `SYSBACKUP` system privilege.
3. Query the `DBA_TABLESPACES` view to determine which tablespaces are read-only. For example, run this query:

```
SELECT TABLESPACE_NAME, STATUS
FROM DBA_TABLESPACES
WHERE STATUS = 'READ ONLY';
```

4. Before beginning a backup of a read-only tablespace, identify all of the tablespace's data files by querying the `DBA_DATA_FILES` data dictionary view. For example, assume that you want to back up the `history` tablespace:

```
SELECT TABLESPACE_NAME, FILE_NAME
FROM SYS.DBA_DATA_FILES
WHERE TABLESPACE_NAME = 'HISTORY';

TABLESPACE_NAME                FILE_NAME
------------------------------ --------------------
HISTORY                        /oracle/oradata/trgt/history01.dbf
HISTORY                        /oracle/oradata/trgt/history02.dbf
```

5. Back up the online data files of the read-only tablespace with operating system commands. You do not have to take the tablespace offline or put the tablespace in backup mode because users are automatically prevented from making changes to the read-only tablespace. For example:

```
% cp $ORACLE_HOME/oradata/trgt/history*.dbf  /disk2/backup/
```

> **Note:**
>
> When restoring a backup of a read-only tablespace, take the tablespace offline, restore the data files, then bring the tablespace online. A backup of a read-only tablespace is still usable if the read-only tablespace is made read/write after the backup, but the restored backup requires recovery.

6. Optionally, export the metadata in the read-only tablespace. By using the transportable tablespace feature, you can quickly restore the data files and import the metadata in case of media failure or user error. For example, export the metadata for tablespace `history` as follows:

```
% expdp DIRECTORY=dpump_dir1 DUMPFILE=hs.dmp
TRANSPORT_TABLESPACES=history
  LOGFILE=tts.log
```

> **See Also:**
>
> *Oracle Database Reference* for more information about the `DBA_DATA_FILES` and `DBA_TABLESPACES` views

29.4 Making User-Managed Backups of the Control File

Back up the control file of a database after making a structural modification to a database operating in `ARCHIVELOG` mode. To back up a database's control file, you must have the `ALTER DATABASE` system privilege.

This section contains the following topics:

- Backing Up the Control File to a Binary File
- Backing Up the Control File to a Trace File

29.4.1 Backing Up the Control File to a Binary File

The primary method for backing up the control file is to use a SQL statement to generate a binary file. A binary backup is preferable to a trace file backup because it contains additional information such as the archived log history, offline range for read-only and offline tablespaces, and backup sets and copies (if you use RMAN). If `COMPATIBLE` is 10.2 or higher, binary control file backups include temp file entries.

To back up the control file after a structural change:

1. Make the desired change to the database. For example, you may create a tablespace:

   ```
   CREATE TABLESPACE tbs_1 DATAFILE 'file_1.f' SIZE 10M;
   ```

2. Back up the database's control file, specifying a file name for the output binary file. The following example backs up a control file to `/disk1/backup/cf.bak`:

   ```
   ALTER DATABASE BACKUP CONTROLFILE TO '/disk1/backup/cf.bak' REUSE;
   ```

   Specify `REUSE` to make the new control file overwrite one that currently exists.

29.4.2 Backing Up the Control File to a Trace File

You can back up the control file to a text file that contains a `CREATE CONTROLFILE` statement. You can edit the trace file to create a script that creates a new control file based on the control file that was current when you created the trace file.

If you specify neither the `RESETLOGS` nor `NORESETLOGS` option in the SQL statement, then the resulting trace file contains versions of the control file for both `RESETLOGS` and `NORESETLOGS` options. Temp file entries are included in the output using `ALTER TABLESPACE ... ADD TEMPFILE` statements.

To avoid recovering offline normal or read-only tablespaces, edit them out of the `CREATE CONTROLFILE` statement. When you open the database with the re-created control file, the database marks these omitted files as `MISSING`. You can run an `ALTER DATABASE RENAME FILE` statement to rename them to their original file names.

The trace file containing the `CREATE CONTROLFILE` statement is stored in a subdirectory determined by the `DIAGNOSTIC_DEST` initialization parameter. You can look in the database alert log for the name and location of the trace file to which the `CREATE CONTROLFILE` statement was written. See *Oracle Database Administrator's Guide* to learn how to locate the alert log.

To back up the control file to a trace file:

1. Mount or open the database.
2. Execute the following SQL statement:

   ```
   ALTER DATABASE BACKUP CONTROLFILE TO TRACE;
   ```

> **See Also:**
>
> "Recovery of Read-Only Files with a Re-Created Control File" for special issues relating to read-only, offline normal, and temporary files included in `CREATE CONTROLFILE` statements

29.5 Making User-Managed Backups of Archived Redo Logs

To save disk space in your primary archiving location, you may want to back up archived logs to tape or to an alternative disk location. If you archive to multiple locations, then only back up one copy of each log sequence number.

To back up archived redo logs:

1. To determine which archived redo log files the database has generated, query `V$ARCHIVED_LOG`. For example, run the following query:

   ```
   SELECT THREAD#,SEQUENCE#,NAME
   FROM V$ARCHIVED_LOG;
   ```

2. Back up one copy of each log sequence number by using an operating system utility. This example backs up all logs in the primary archiving location to a disk devoted to log backups:

   ```
   % cp $ORACLE_HOME/oracle/trgt/arch/* /disk2/backup/arch
   ```

> **See Also:**
>
> *Oracle Database Reference* for more information about the data dictionary views

29.6 Making User-Managed Backups in SUSPEND Mode

This section contains the following topics:

- About the Suspend/Resume Feature
- Making Backups in a Suspended Database

29.6.1 About the Suspend/Resume Feature

Some third-party tools allow you to mirror a set of disks or logical devices, that is, maintain an exact duplicate of the primary data in another location, and then *split the mirror*. Splitting the mirror involves separating the copies so that you can use them independently.

With the `SUSPEND`/`RESUME` functionality, you can suspend I/O to the database, then split the mirror and make a backup of the split mirror. By using this feature, which complements the backup mode functionality, you can suspend database I/Os so that no new I/O can be performed. You can then access the suspended database to make backups without I/O interference.

Usually, you do not need to use `SUSPEND`/`RESUME` to make split mirror backups, although it is necessary if your system requires the database cache to be free of *dirty buffers* before a volume can be split. Some RAID devices benefit from suspending writes while the split operation is occurring; your RAID vendor can advise you on whether your system would benefit from this feature.

The `ALTER SYSTEM SUSPEND` statement suspends the database by halting I/Os to data file headers, data files, and control files. When the database is suspended, all preexisting I/O operations can complete; however, any new database I/O access attempts are queued.

The `ALTER SYSTEM SUSPEND` and `ALTER SYSTEM RESUME` statements operate on the database and not just the instance. If the `ALTER SYSTEM SUSPEND` statement is entered on one system in an Oracle RAC configuration, then the internal locking mechanisms propagate the halt request across instances, thereby suspending I/O operations for all active instances in a given cluster.

29.6.2 Making Backups in a Suspended Database

After a successful database suspension, you can back up the database to disk or break the mirrors. Because suspending a database does not guarantee immediate termination of I/O, Oracle recommends that you precede the `ALTER SYSTEM SUSPEND` statement with a `BEGIN BACKUP` statement so that the tablespaces are placed in backup mode.

You must use conventional user-managed backup methods to back up split mirrors. RMAN cannot make database backups or copies because these operations require reading the data file headers. After the database backup is finished or the mirrors are resilvered, then you can resume normal database operations using the `ALTER SYSTEM RESUME` statement.

Backing up a suspended database without splitting mirrors can cause an extended database outage because the database is inaccessible during this time. If backups are taken by splitting mirrors, however, then the outage is nominal. The outage time depends on the size of cache to flush, the number of data files, and the time required to break the mirror.

Note the following restrictions for the `SUSPEND/RESUME` feature:

- In an Oracle RAC configuration, do not start a new instance while the original nodes are suspended.
- No checkpoint is initiated by the `ALTER SYSTEM SUSPEND` or `ALTER SYSTEM RESUME` statements.
- You cannot issue `SHUTDOWN` with `IMMEDIATE`, `NORMAL`, or `TRANSACTIONAL` options while the database is suspended.
- Issuing `SHUTDOWN ABORT` on a database that is suspended reactivates the database. This prevents media recovery or failure recovery from getting into a unresponsive state.

To make a split mirror backup in SUSPEND mode:

1. Place the database tablespaces in backup mode. For example, to place tablespace `users` in backup mode, enter:

```
ALTER TABLESPACE users BEGIN BACKUP;
```

If you are backing up all of the tablespaces for your database, you can instead use:

```
ALTER DATABASE BEGIN BACKUP;
```

> **Caution:**
>
> Do not use the `ALTER SYSTEM SUSPEND` statement as a substitute for placing a tablespace in backup mode.

2. If your mirror system has problems with splitting a mirror while disk writes are occurring, then suspend the database. For example, issue the following statement:

```
ALTER SYSTEM SUSPEND;
```

3. Verify that the database is suspended by querying the `V$INSTANCE` view. Run only this query in a separate SQL session.

   For example:

```
SELECT DATABASE_STATUS FROM V$INSTANCE;

DATABASE_STATUS
-----------------
SUSPENDED
```

4. Split the mirrors at the operating system or hardware level.
5. End the database suspension. For example, issue the following statement:

```
ALTER SYSTEM RESUME;
```

6. Establish that the database is active by querying the `V$INSTANCE` view. For example, enter:

```
SELECT DATABASE_STATUS FROM V$INSTANCE;

DATABASE_STATUS
-----------------
ACTIVE
```

7. Take the specified tablespaces out of backup mode. For example, enter the following statement to take tablespace `users` out of backup mode:

```
ALTER TABLESPACE users END BACKUP;
```

8. Copy the control file and archive the online redo logs as usual for a backup.

> **See Also:**
>
> - "Making Split Mirror Backups with RMAN"
> - *Oracle Database Administrator's Guide* for more information about the `SUSPEND`/`RESUME` feature
> - *Oracle Database SQL Language Reference* for the `ALTER SYSTEM SUSPEND` syntax

29.7 Making User-Managed Backups to Raw Devices

A raw device is a disk or partition that does not have a file system. A raw device can contain only a single file. Backing up files on raw devices poses operating system specific issues. The following sections discuss some of these issues on UNIX, Linux, and Windows.

This section contains the following topics:

- Backing Up to Raw Devices on Linux and UNIX
- Backing Up to Raw Devices on Windows

29.7.1 Backing Up to Raw Devices on Linux and UNIX

The `dd` command on Linux and UNIX is the most common backup utility for backing up to or from raw devices. See your operating system-specific documentation for complete details about this utility.

Using `dd` effectively requires that you specify the correct options, based on your database. Table 29-1 lists details about your database that affect the options you use for `dd`.

Table 29-1 Aspects of the Database Important for dd Usage

Data	Explanation
Block size	You can specify the size of the buffer that `dd` uses to copy data. For example, you can specify that `dd` copies data in units of 8 KB or 64 KB. The block size for `dd` need not correspond to either the Oracle block size or the operating system block size: it is merely the size of the buffer used by `dd` when making the copy.
Raw offset	On some systems, the beginning of the file on the raw device is reserved for use by the operating system. This storage space is called the **raw offset**. Oracle Database does not back up or restore these bytes.
Size of Oracle Database block `0`	At the beginning of every Oracle database file, the operating system-specific code places an Oracle block called **block 0**. The generic Oracle code does not recognize this block, but the block is included in the size of the file on the operating system. Typically, this block is the same size as the other Oracle blocks in the file.

The information in Table 29-1 enables you to set the `dd` options specified in Table 29-2.

Table 29-2 Options for dd Command

This Option ...	Specifies ...
`if`	The name of the input file, that is, the file that you are reading
`of`	The name of the output file, that is, the file to which you are writing
`bs`	The buffer size used by `dd` to copy data

Table 29-2 (Cont.) Options for dd Command

This Option ...	Specifies ...
`skip`	The number of `dd` buffers to skip on the input raw device if a raw offset exists. For example, if you are backing up a file on a raw device with a 64 KB raw offset, and the `dd` buffer size is 8 KB, then you can specify `skip=8` so that the copy starts at offset 64 KB.
`seek`	The number of `dd` buffers to skip on the output raw device if a raw offset exists. For example, if you are backing up a file onto a raw device with a 64 KB raw offset, and the `dd` buffer size is 8 KB, then you can specify `skip=8` so that the copy starts at offset 64 KB.
`count`	The number of blocks on the input raw device for `dd` to copy. It is best to specify the exact number of blocks to copy when copying from a raw device to a file system; otherwise extra space at the end of the raw volume that is not used by the Oracle data file is copied to the file system. Remember to include block `0` in the total size of the input file. For example, if the `dd` block size is 8 KB, and you are backing up a 30720 KB data file, then you can set `count=3841`. This value for `count` actually backs up 30728 KB: the extra 8 KB are for Oracle block `0`.

Because a raw device can be the input or output device for a backup, you have four possible scenarios for the backup. The possible options for `dd` depend on which scenario you choose, as illustrated in Table 29-3.

Table 29-3 Scenarios Involving dd Backups

Backing Up from ...	Backing Up to ...	Options Specified for dd Command
Raw device	Raw device	`if`, `of`, `bs`, `skip`, `seek`, `count`
Raw device	File system	`if`, `of`, `bs`, `skip`, `count`
File system	Raw device	`if`, `of`, `bs`, `seek`
File system	File system	`if`, `of`, `bs`

29.7.1.1 Backing Up with the dd Utility on Linux and UNIX: Examples

For these examples of `dd` utility usage, assume the following:

- You are backing up a 30720 KB data file.
- The beginning of the data file has a block 0 of 8 KB.
- The raw offset is 64 KB.
- You set the `dd` block size to 8 KB when a raw device is involved in the copy.

In the following example, you back up from one raw device to another raw device:

```
% dd if=/dev/rsd1b of=/dev/rsd2b bs=8k skip=8 seek=8 count=3841
```

In the following example, you back up from a raw device to a file system:

```
% dd if=/dev/rsd1b of=/backup/df1.dbf bs=8k skip=8 count=3841
```

In the following example, you back up from a file system to a raw device:

```
% dd if=/backup/df1.dbf of=/dev/rsd2b bs=8k seek=8
```

In the following example, you back up from a file system to a file system, and set the block size to a high value to boost I/O performance:

```
% dd if=/oracle/dbs/df1.dbf of=/backup/df1.dbf bs=1024k
```

29.7.2 Backing Up to Raw Devices on Windows

Like Linux and UNIX, Windows supports raw disk partitions in which the database can store data files, online logs, and control files. Each raw partition is assigned either a drive letter or physical drive number and does not contain a file system. As in Linux and UNIX, each raw partition on Windows is mapped to a single file.

Windows differs from Linux and UNIX in the naming convention for Oracle files. On Windows, raw data file names are formatted as follows:

```
\\.\drive_letter:
\\.\PHYSICALDRIVEdrive_number
```

For example, the following are possible raw file names:

```
\\.\G:
\\.\PHYSICALDRIVE3
```

The procedure for making user-managed backups of raw data files is basically the same as for copying files on a Windows file system, except that you use the Oracle `OCOPY` utility rather than the Windows-supplied `copy.exe` or `ntbackup.exe` utilities. `OCOPY` supports 64-bit file I/O, physical raw drives, and raw files. The `OCOPY` utility cannot back up directly to tape.

To display online documentation for `OCOPY`, enter `OCOPY` by itself at the Windows prompt. Sample output follows:

```
Usage of OCOPY:
     ocopy from_file [to_file [a | size_1 [size_n]]]
     ocopy -b from_file to_drive
     ocopy -r from_drive to_dir
```

Note the important `OCOPY` options described in Table 29-4.

Table 29-4 OCOPY Options

Option	Action
`b`	Splits the input file into multiple output files. This option is useful for backing up to devices that are smaller than the input file.
`r`	Combines multiple input files and writes to a single output file. This option is useful for restoring backups created with the `-b` option.

29.7.2.1 Backing Up with OCOPY: Example

In this example, assume the following:

- Data file `12` is mounted on the `\\.\G:` raw partition.

- The `C:` drive mounts a file system.
- The database is open.

To back up the data file on the raw partition `\\.\G:` to a local file system, you can run the following command at the prompt after placing data file `12` in backup mode:

```
OCOPY "\\.G:" C:\backup\datafile12.bak
```

29.7.2.2 Specifying the -b and -r Options for OCOPY: Example

In this example, assume the following:

- `\\.\G:` is a raw partition containing data file `7`
- The `E:` drive is a removable disk drive.
- The database is open.

To back up the data file onto drive `E:`, you can execute the following command at the Windows prompt after placing data file `7` in backup mode:

```
# first argument is file name, second argument is drive
OCOPY -b "\\.\G:" E:\
```

When drive `E:` fills up, you can use another disk. In this way, you can divide the backup of data file `7` into multiple files.

Similarly, to restore the backup, take the tablespace containing data file `7` offline and run this command:

```
# first argument is drive, second argument is directory
OCOPY -r E:\ "\\.\G:"
```

29.8 Making Backups with Third-Party Snapshot Technologies

You can use Storage Snapshot Optimization to take third-party snapshots of the database, without placing the database in backup mode. The snapshots must conform to the requirements described in this section.

Storage Snapshot Optimization provides the following benefits:

- Eliminates the complexity and overhead associated with placing the database in backup mode.
- Performs the recovery in a single step by using the `RECOVER ... SNAPSHOT TIME` command. You can recover either to the current time or to a point in time after the snapshot was taken.

To use Snapshot Storage Optimization, the third-party snapshot technology must conform to the following requirements:

- The database is crash consistent during the snapshot.
- The snapshot preserves write order for each file.
- The snapshot technology stores the time at which the snapshot is completed.

If the vendor cannot guarantee compliance with these requirements, then you must place your data files into backup mode by using the `ALTER DATABASE` or `ALTER TABLESPACE` statement with the `BEGIN BACKUP` clause. Place your data files in backup mode just before

you create the snapshot. When a tablespace is in backup mode, the database writes the before image for an entire block to the redo stream before modifying a block. The database also records changes to the block in the online redo log. Backup mode also freezes the data file checkpoint until the file is removed from backup mode. Oracle Database performs this safeguard because it cannot guarantee that a third-party backup tool copies the file header before copying the data blocks. Immediately after the snapshot is created, use the `ALTER DATABASE` or `ALTER TABLESPACE` command with the `END BACKUP` clause to take the data files out of backup mode. You need not wait until the snapshot is actually copied to the backup media to end backup mode.

> **Note:**
>
> RMAN does not support storage-based replication technologies when the database being backed-up resides on Oracle Automatic Storage Management (Oracle ASM).

> **See Also:**
>
> "Recovery Using Storage Snapshot Optimization"

Volume Shadow Copy Service (VSS) is a set of Windows APIs that enable applications to create consistent snapshots called **shadow copies**. The Oracle VSS writer runs as a service on Windows systems and is integrated with VSS-enabled applications. You can use these applications to create snapshots of database files managed by the Oracle instance. For example, you can make shadow copies of an Oracle database while it is open read/write.

> **See Also:**
>
> *Oracle Database Platform Guide for Microsoft Windows* to learn how to back up and recover the database with VSS-enabled applications

29.9 Verifying User-Managed Data File Backups

You must periodically verify your backups to ensure that they are usable for recovery.

This section contains the following topics:

- Testing the Restoration of Data File Backups
- Running the DBVERIFY Utility

29.9.1 Testing the Restoration of Data File Backups

The best way to test the usability of data file backups is to restore them to a separate host and attempt to open the database, performing media recovery if necessary. This option requires that you have a separate host available for the restore procedure.

> **See Also:**
>
> "Performing Complete Database Recovery" to learn how to recover files with SQL*Plus

29.9.2 Running the DBVERIFY Utility

The `DBVERIFY` program is an external command-line utility that performs a physical data structure integrity check on an offline data file. Use `DBVERIFY` to ensure that a user-managed backup of a data file is valid before it is restored or as a diagnostic aid when you have encountered data corruption problems.

The name and location of `DBVERIFY` is dependent on your operating system. For example, to perform an integrity check on data file `users01.dbf` on Linux or UNIX, run the `dbv` command as follows:

```
% dbv file=users01.dbf
```

Sample `dbv` output follows:

```
DBVERIFY - Verification starting : FILE = users01.dbf


DBVERIFY - Verification complete

Total Pages Examined         : 250
Total Pages Processed (Data) : 1
Total Pages Failing   (Data) : 0
Total Pages Processed (Index): 0
Total Pages Failing   (Index): 0
Total Pages Processed (Other): 2
Total Pages Processed (Seg)  : 0
Total Pages Failing   (Seg)  : 0
Total Pages Empty            : 247
Total Pages Marked Corrupt   : 0
Total Pages Influx           : 0
```

> **See Also:**
>
> *Oracle Database Utilities* to learn about `DBVERIFY`

30

Performing User-Managed Database Flashback and Recovery

A user-managed backup and recovery strategy means a method that does not depend on RMAN. Use the flashback features of Oracle Database in a user-managed backup and recovery strategy.

30.1 Performing Flashback Database with SQL*Plus

You can use SQL*Plus to perform flashback database operations on multitenant container databases (CDBs) and pluggable databases (PDBs). Oracle Flashback Database returns your entire database or an entire PDB to a previous state without requiring you to restore files from backup.

Flashback Database requires you to create a fast recovery area for your database and enable the collection of flashback logs. The requirements and preparations for flashback database are the same whether you use RMAN or SQL*Plus.

> **See Also:**
>
> - Performing Flashback and Database Point-in-Time Recovery for details about how the Flashback Database feature works, requirements for using Flashback Database, and how to enable the collection of flashback logs required for Flashback Database

30.1.1 Performing Flashback Database of CDBs with SQL*Plus

Use the SQL*Plus `FLASHBACK DATABASE` command to return a whole multitenant container database (CDB) to a prior state. This command performs the same function as the RMAN `FLASHBACK DATABASE` command.

Prerequisites:

The prerequisites for performing a flashback database operation are described in "Prerequisites for Flashback Database and Restore Points".

To perform a flashback of the whole CDB using SQL*Plus:

1. Connect SQL*Plus to the root as a common user with the `SYSDBA` or `SYSBACKUP` privilege.
2. Query the target database to determine the range of possible flashback SCNs. The following SQL*Plus queries show you the latest and earliest SCN in the flashback window:

```
SELECT CURRENT_SCN FROM V$DATABASE;
```

```
SELECT OLDEST_FLASHBACK_SCN, OLDEST_FLASHBACK_TIME
FROM   V$FLASHBACK_DATABASE_LOG;
```

3. Use other flashback features if necessary to identify the SCN or time of the unwanted changes to your database.
4. Ensure that the target database is mounted.

   The following commands start the database in `MOUNT` mode.

   ```
   SHUTDOWN IMMEDIATE;
   STARTUP MOUNT;
   ```

5. Run the `FLASHBACK DATABASE` command to return the CDB to a prior timestamp, SCN, or restore point.

   The following are some examples of flashback operations on CDBs:

   ```
   FLASHBACK DATABASE TO RESTORE POINT cdb_grp;
   FLASHBACK DATABASE TO SCN 34468;
   FLASHBACK DATABASE TO TIMESTAMP '2013-11-05 14:00:00';
   FLASHBACK DATABASE
     TO TIMESTAMP to_timestamp('2013-11-11 16:00:00', 'YYYY-MM-DD
   HH24:MI:SS');
   ```

6. When the operation completes, open the database read-only and perform queries to verify that you have recovered the data you need.

   If your chosen target time was not far enough in the past, then use another `FLASHBACK DATABASE` statement. Otherwise, you can use `RECOVER DATABASE` to return the database to the present time and then try another `FLASHBACK DATABASE` statement.
7. When satisfied with the results, open the database with the `RESETLOGS` option.

   If appropriate, you can also use Data Pump Export to save lost data, use `RECOVER DATABASE` to return the database to the present, and reimport the lost object.
8. Since the pluggable databases (PDBs) are not automatically opened when the CDB is opened, open the PDBs.

   The following command, when connected to the root, opens all the PDBs:

   ```
   ALTER PLUGGABLE DATABASE ALL OPEN;
   ```

   If you want to open only some PDBs, then you can open each PDB separately. The following command, when connected to the root, opens the PDB `my_pdb`.

   ```
   ALTER PLUGGABLE DATABASE my_pdb OPEN;
   ```

> **See Also:**
>
> Performing Flashback and Database Point-in-Time Recovery for details about how the Flashback Database feature works, requirements for using Flashback Database, and how to enable the collection of flashback logs required for Flashback Database

30.1.2 Performing Flashback Database of PDBs with SQL*Plus

Use the SQL*Plus `FLASHBACK DATABASE` command to return a specific pluggable database (PDB) to a prior state. The remaining PDBs in the multitenant container database (CDB) are not impacted by the flashback operation on a single PDB.

The SQL*Plus `FLASHBACK DATABASE` command performs the same function as the RMAN `FLASHBACK DATABASE` command.

The prerequistes for performing a flashback database operation are described in Prerequisites for Flashback Database and Restore Points.

To perform a flashback of a PDB using SQL*Plus:

1. Connect SQL*PLus to the root as a common user with the `SYSDBA` privilege.
2. Ensure that the CDB is open.

   The following command, when connected to the root, displays the mode in which the CDB is open.

   ```
   SELECT open_mode from V$DATABASE;
   ```

3. Determine the desired SCN, restore point, or point in time for the `FLASHBACK DATABASE` command.

   Query the `V$RESTORE_POINT` view to obtain the list of PDB restore points. `V$FLASHBACK_DATABASE_LOG` displays the oldest SCN to which a flashback operation can be performed.
4. Ensure that the PDB for which the Flashback Database operation must be performed is closed. Other PDBs can be open and operational.

   When connected to the root, the following `ALTER PLUGGABLE DATABASE` command closes the PDB `my_pdb`.

   ```
   ALTER PLUGGABLE DATABASE my_pdb CLOSE;
   ```

5. Perform a Flashback Database operation on the specified PDB to the desired point in time.

   The following are some examples of flashback database operations on PDBs.

   - For a PDB that uses local undo:

     ```
     FLASHBACK PLUGGABLE DATABASE my_pdb TO SCN 24368;
     FLASHBACK PLUGGABLE DATABASE my_pdb TO RESTORE POINT guar_rp;
     ```

   - For a PDB that uses shared undo, you can only use SQL*Plus to perform a flashback operation if you are flashing back the PDB to a clean PDB restore point. For example:

     ```
     FLASHBACK PLUGGABLE DATABASE my_pdb TO RESTORE POINT
     before_appl_changes;
     ```

6. Open the PDB with `RESETLOGS`.

The following command opens the PDB named `my_pdb` with `RESETLOGS`:

```
ALTER PLUGGABLE DATABASE my_pdb OPEN RESETLOGS;
```

> **Note:**
>
> Flashback operations on a proxy PDB are not supported.

> **See Also:**
>
> Performing Flashback and Database Point-in-Time Recovery for details about how the Flashback Database feature works, requirements for using Flashback Database, and how to enable the collection of flashback logs required for Flashback Database

30.2 Overview of User-Managed Media Recovery

This section provides an overview of recovery with SQL*Plus. This section contains the following topics:

- About User-Managed Restore and Recovery
- Automatic Recovery with the RECOVER Command
- Recovery When Archived Logs Are in the Default Location
- Recovery When Archived Logs Are in a Nondefault Location
- Recovery Using Storage Snapshot Optimization
- Recovery Cancellation
- Parallel Media Recovery

30.2.1 About User-Managed Restore and Recovery

Typically, you restore a file when a media failure or user error has damaged or deleted one or more data files. In a user-managed restore operation, you use an operating system utility to restore a backup of the file.

If a media failure affects data files, then the recovery procedure depends on:

- The archiving mode of the database: `ARCHIVELOG` or `NOARCHIVELOG`
- The type of media failure
- The files affected by the media failure (data files, control files, archived redo logs, and the server parameter file are all candidates for restore operations)

If either a permanent or temporary media failure affects any data files of a database operating in `NOARCHIVELOG` mode, then the database automatically shuts down. If the media failure is temporary, then correct the underlying problem and restart the database. Usually, crash recovery recovers all committed transactions from the online

redo log. If the media failure is permanent, then recover the database as described in "Recovering a Database in NOARCHIVELOG Mode".

Table 30-1 explains the implications for media recovery when you lose files in a database that runs in ARCHIVELOG mode.

Table 30-1 User-Managed Restore Operations

If You Lose...	Then...
Data files in the SYSTEM tablespace or data files with active undo segments	The database automatically shuts down. If the hardware problem is temporary, then fix it and restart the database. Usually, crash recovery recovers lost transactions. If the hardware problem is permanent, then restore the data files from backups and recover the database as described in "Performing Closed Database Recovery".
Data files not in the SYSTEM tablespace or data files that do not contain active rollback or undo segments	Affected data files are taken offline, but the database stays open. If the unaffected portions of the database must remain available, then do not shut down the database. Take tablespaces containing problem data files offline using the temporary option, then recover them as described in "Performing Open Database Recovery".
All copies of the current control file	You must restore a backup control file and then open the database with the RESETLOGS option. If you do not have a backup, then you can attempt to re-create the control file. If possible, use the script included in the ALTER DATABASE BACKUP CONTROLFILE TO TRACE output. Additional work may be required to match the control file structure with the current database structure.
One copy of a multiplexed control file	Copy an intact multiplexed control file into the location of the damaged or missing control file and open the database. If you cannot copy the control file to its original location, then edit the initialization parameter file to reflect a new location or remove the damaged control file. Then, open the database.
One or more archived logs required for media recovery	You must restore backups of these archived logs for recovery to proceed. You can restore either to the default or nondefault location. If you do not have backups, then you must perform incomplete recovery up to an SCN before the first missing redo log and open RESETLOGS.
The server parameter file (SPFILE)	If you have a backup of the server parameter file, then restore it. Alternatively, if you have a backup of the client-side initialization parameter file, then you can restore a backup of this file, start the instance, and then re-create the server parameter file.

> **Note:**
>
> Restore and recovery of Oracle Managed Files is no different from restore and recovery of user-named files.

To perform media recovery, Oracle recommends that you use the RECOVER statement in SQL*Plus. You can also use the SQL statement ALTER DATABASE RECOVER, but the RECOVER statement is often simpler. To start any type of media recovery, you must adhere to the following restrictions:

- You must have administrator privileges.
- All recovery sessions must be compatible.

- One session cannot start complete media recovery while another performs incomplete media recovery.
- You cannot start media recovery if you are connected to the database through a shared server process.

30.2.2 Automatic Recovery with the RECOVER Command

When using SQL*Plus to perform media recovery, the easiest strategy is to perform automatic recovery with the SQL*Plus `RECOVER` command. Automatic recovery initiates recovery without manually prompting SQL*Plus to apply each individual archived redo log.

When using SQL*Plus, you have the following options for automating the application of the default file names of archived redo logs needed during recovery:

- Issuing `SET AUTORECOVERY ON` before issuing the `RECOVER` command. If you perform recovery with `SET AUTORECOVERY OFF`, which is the default, then you must enter file names manually or accept the suggested file name by pressing Enter.
- Specifying the `AUTOMATIC` keyword as an option of the `RECOVER` command.

In either case, no interaction is required when you issue the `RECOVER` command if the necessary files are in the correct locations with the correct names. When the database successfully applies a redo log file, the following message is returned:

```
Log applied.
```

You are then prompted for the next redo log in the sequence. If the most recently applied log is the last required log, then recovery is terminated.

The file names used for automatic recovery are derived from the concatenated values of `LOG_ARCHIVE_FORMAT` with `LOG_ARCHIVE_DEST_`*`n`*, where *`n`* is the highest value among all enabled, local destinations. For example, assume that the following initialization parameter settings are in effect in the database instance:

```
LOG_ARCHIVE_DEST_1 = "LOCATION=/arc_dest/loc1/"
LOG_ARCHIVE_DEST_2 = "LOCATION=/arc_dest/loc2/"
LOG_ARCHIVE_DEST_STATE_1 = DEFER
LOG_ARCHIVE_DEST_STATE_2 = ENABLE
LOG_ARCHIVE_FORMAT = arch_%t_%s_%r.arc
```

In this example, SQL*Plus automatically suggests the file name `/arc_dest/loc2/arch_%t_%s_%r.arc` (where `%t` is the thread, `%s` is the sequence and `%r` is the resetlogs ID).

> **See Also:**
>
> - Automatic Recovery with SET AUTORECOVERY
> - Automatic Recovery with the AUTOMATIC Option of the RECOVER Command

30.2.2.1 Automatic Recovery with SET AUTORECOVERY

After restoring data file backups, you can run the `SET AUTORECOVERY ON` command to enable automatic recovery. For example, you could enter the following commands in SQL*Plus to perform automatic recovery and open the database:

```
STARTUP MOUNT
SET AUTORECOVERY ON
RECOVER DATABASE
ALTER DATABASE OPEN;
```

> **Note:**
>
> After issuing the SQL*Plus `RECOVER` command, you can view all files that have been considered for recovery in the `V$RECOVERY_FILE_STATUS` view. You can access status information for each file in the `V$RECOVERY_STATUS` view. These views are not accessible after you terminate the recovery session.

30.2.2.2 Automatic Recovery with the AUTOMATIC Option of the RECOVER Command

Besides using `SET AUTORECOVERY` to turn on automatic recovery, you can also simply specify the `AUTOMATIC` keyword in the `RECOVER` command. For example, you could enter the following commands in SQL*Plus to perform automatic recovery and open the database:

```
STARTUP MOUNT
RECOVER AUTOMATIC DATABASE
ALTER DATABASE OPEN;
```

If you use an Oracle Real Application Clusters configuration, and if you are performing incomplete recovery or using a backup control file, then the database can only compute the name of the first archived redo log file from the *first* redo thread. You may have to manually apply the first log file from the other redo threads. After the first log file in a given thread has been supplied, the database can suggest the names of the subsequent logs in this thread.

30.2.3 Recovery When Archived Logs Are in the Default Location

No additional setup is required to perform recovery when the archived redo log files are present in the default location.

During recovery, as a log is needed, the database suggests the file name. If you run nonautomatic media recovery with SQL*Plus, then the output is displayed in the format shown by this example:

```
ORA-00279: change 53577 generated at 11/26/02 19:20:58 needed for thread 1
ORA-00289: suggestion : /oracle/oradata/trgt/arch/arcr_1_802.arc
ORA-00280: change 53577 for thread 1 is in sequence #802
Specify log: [<RET> for suggested | AUTO | FROM logsource | CANCEL ]
```

Similar messages are returned when you use an `ALTER DATABASE ... RECOVER` statement. However, no prompt is displayed.

The database constructs suggested archived log file names by concatenating the current values of the initialization parameters LOG_ARCHIVE_DEST_*n* (where *n* is the highest value among all enabled, local destinations) and LOG_ARCHIVE_FORMAT and using log history data from the control file. The following are possible settings:

```
LOG_ARCHIVE_DEST_1 = 'LOCATION = /oracle/oradata/trgt/arch/'
LOG_ARCHIVE_FORMAT = arcr_%t_%s.arc

SELECT NAME FROM V$ARCHIVED_LOG;

NAME
----------------------------------------
/oracle/oradata/trgt/arch/arcr_1_467.arc
/oracle/oradata/trgt/arch/arcr_1_468.arc
/oracle/oradata/trgt/arch/arcr_1_469.arc
```

Thus, if all the required archived log files are present at the LOG_ARCHIVE_DEST_1 destination, and if the value for LOG_ARCHIVE_FORMAT is never altered, then the database can suggest and apply log files to complete media recovery automatically.

30.2.4 Recovery When Archived Logs Are in a Nondefault Location

To perform media recovery when archived redo log files are stored in a nondefault location, you must specify the location of archived redo log files.

You have the following mutually exclusive options when performing media recovery when archived logs are not in their default location:

- Edit the LOG_ARCHIVE_DEST_*n* parameter that specifies the location of the archived redo logs, then recover as usual.

  This task is described in Resetting the Archived Log Destination.
- Use the SET statement in SQL*Plus to specify the nondefault log location before recovery, or the LOGFILE parameter of the RECOVER command.

  This task is described in Overriding the Archived Log Destination.

30.2.4.1 Resetting the Archived Log Destination

You can edit the initialization parameter file or issue ALTER SYSTEM statements to change the default location of the archived redo logs.

To change the default archived log location before recovery:

1. Use an operating system utility to restore the archived logs to a nondefault location. For example, enter:

   ```
   % cp /backup/arch/* /tmp/
   ```

2. Change the value for the archive log parameter to the nondefault location. You can issue ALTER SYSTEM statements while the instance is started, or edit the initialization parameter file and then start the database instance. For example, while the instance is shut down edit the parameter file as follows:

   ```
   LOG_ARCHIVE_DEST_1 = 'LOCATION=/tmp/'
   LOG_ARCHIVE_FORMAT = arcr_%t_%s.arc
   ```

3. Using SQL*Plus, start a new instance by specifying the edited initialization parameter file, and then mount the database. For example, enter:

```
STARTUP MOUNT
```

4. Begin media recovery as usual. For example, enter:

```
RECOVER DATABASE
```

30.2.4.2 Overriding the Archived Log Destination

In some cases, you may want to override the current setting for the archiving destination parameter as a source for archived log files.

To recover archived logs in a nondefault location with SET LOGSOURCE:

1. Using an operating system utility, copy the archived redo logs to an alternative location. For example, enter:

```
% cp $ORACLE_HOME/oradata/trgt/arch/* /tmp
```

2. Specify the alternative location within SQL*Plus for the recovery operation. Use the `LOGSOURCE` parameter of the `SET` statement. For example, start SQL*Plus and run:

```
SET LOGSOURCE "/tmp"
```

3. Recover the offline tablespace. For example, to recover the offline tablespace `users` do the following:

```
RECOVER AUTOMATIC TABLESPACE users
```

4. Alternatively, you can avoid running `SET LOGSOURCE` and simply run:

```
RECOVER AUTOMATIC TABLESPACE users FROM "/tmp"
```

> **Note:**
>
> Overriding the redo log source does not affect the archive redo log destination for online redo log groups being archived.

30.2.5 Recovery Using Storage Snapshot Optimization

Storage Snapshot Optimization enables you to use third-party snapshots of the database, taken when the database is not in backup mode, to recover the database either to the current time or to a specified point in time after the snapshot was created. If the database was not placed in backup mode when the storage snapshot was created, then you can perform recovery using this snapshot only if the snapshot conforms to Oracle requirements. See "Making Backups with Third-Party Snapshot Technologies". If these conditions are met, then you can take the same basic recovery steps as any other backup method, using either RMAN or SQL*Plus.

If the storage snapshot does not conform to the requirements for using Storage Snapshot Optimization, then you create a snapshot by placing the data files in backup mode. To perform recovery using such snapshots, use the procedure described in Performing Complete Database Recovery Using SQL*Plus or Performing Incomplete Database Recovery.

Specifying the Time for Snapshot Recovery

If a storage snapshot was created when the database was not in backup mode, you must specify the `SNAPSHOT TIME` option while using this snapshot to recover the database. The `SNAPSHOT TIME` option can be used in both the RMAN or SQL*Plus `RECOVER` command. The time specified using the `SNAPSHOT TIME` option must be a time that is immediately after the snapshot is complete. If you specify an incorrect time, then the database may be corrupt in a way that is not repairable.

Because the time clocks in the storage array, where the snapshot takes place, and the machine hosting the Oracle Database may not be perfectly synchronized, it is recommended that you add a few seconds to the time that you specify in the `SNAPSHOT TIME` option. This helps you avoid any possibility of leaving the files in an inconsistent state by recovering to a point before the snapshot was taken.

All times specified in the `RECOVER` command, including in the `SNAPSHOT TIME` clause, are assumed to be in the time zone of the Oracle Database host. However, the time clocks in the storage array may be in a different time zone from the Oracle Database host. If the storage array reports its snapshot times in a different time zone, then you must take that difference into account when specifying the time in the `SNAPSHOT TIME` option.

> **Note:**
>
> The recovery point, specified by the `UNTIL` option, cannot be earlier than the specified `SNAPSHOT TIME`.

Examples: Recovery Using Storage Snapshots

The examples in this section use the `RECOVER DATABASE` command to perform recovery using snapshots. You can use the `RECOVER DATABASE` command from RMAN or SQL*Plus. However, the `UNTIL CANCEL` clause is valid only in SQL*Plus.

To completely recover a database:

```
RECOVER DATABASE;
```

To recover a database using a particular snapshot:

This example recovers uses a snapshot taken on August 15 at 2:00 P.M. to recover the database. The `UNTIL TIME` clause can specify any time after the snapshot.

```
RECOVER DATABASE UNTIL TIME '10/15/2012 15:00:00' SNAPSHOT TIME '10/15/2012
14:00:00';
```

To perform a partial recovery using archived redo log files:

This example uses the log files from a snapshot taken on August 15 at 2:00 P.M.

```
RECOVER DATABASE UNTIL CANCEL SNAPSHOT TIME '10/15/2012 14:00:00';
```

30.2.6 Recovery Cancellation During User-Managed Recovery

If you start media recovery and must then interrupt it, then either enter `CANCEL` when prompted for a redo log file, or use your operating system's interrupt signal if you must terminate when recovering an individual data file, or when automated recovery is in progress. After recovery is canceled, you can resume it later with the `RECOVER` command. Recovery resumes where it left off when it was canceled.

30.2.7 Parallel Media Recovery

By default, Oracle Database uses **parallel media recovery** to improve performance of the roll forward phase of media recovery. In parallel recovery of media, the database uses a "division of labor" approach to allocate different processes to different data blocks while rolling forward, thereby making the procedure more efficient. The number of processes used is derived from the `CPU_COUNT` initialization parameter, which by default equals the number of CPUs on the system. For example, if parallel recovery is performed on a system where `CPU_COUNT` is `4`, and only one data file is recovered, then four spawned processes read blocks from the archive logs and apply redo.

Typically, media recovery is limited by data block reads and writes. Parallel recovery attempts to use all of the available I/O bandwidth of the system to improve performance. Unless there is a system I/O bottleneck or poor asynchronous I/O support, parallel recovery is likely to improve performance of recovery.

To override the default behavior of performing parallel recovery, use the SQL*Plus `RECOVER` command with the `NOPARALLEL` option, or `RECOVER PARALLEL 0`. The `RECOVERY_PARALLELISM` initialization parameter controls instance or crash recovery *only*. Media recovery is not affected by the value used for `RECOVERY_PARALLELISM`.

> **See Also:**
>
> *SQL*Plus User's Guide and Reference* for more information about the SQL*Plus `RECOVER ... PARALLEL` and `NOPARALLEL` commands

30.3 Performing Complete Database Recovery Using SQL*Plus

Typically, you perform complete recovery of the database when a media failure has made one or more data files inaccessible. During complete database recovery, you use all available redo to recover the database to the current SCN.

The `V$RECOVER_FILE` view indicates which files need recovery. Depending on the circumstances, you can either recover the whole database or recover individual tablespaces or data files. Because you do not have to open the database with the `RESETLOGS` option after complete recovery, you have the option of recovering some data files at one time and the remaining data files later.

The topics in this section describe the steps necessary to complete media recovery operations.

> **See Also:**
>
> - Performing Closed Database Recovery
> - Performing Open Database Recovery
> - Performing Crash and Instance Recovery of CDBs

30.3.1 Performing Closed Database Recovery

When performing complete recovery while the database is not open, you can recover either all damaged data files in one operation or perform individual recovery of each damaged data file in separate operations.

This procedure assumes the following:

- The current control file is available.
- You have backups of all needed data files.
- All necessary archived redo logs are available.

To restore and recover damaged or missing data files:

1. If the database is open, query `V$RECOVER_FILE` to determine which data files must be recovered and why they must be recovered.

   If you are planning to perform complete recovery rather than point-in-time recovery, then you can recover only those data files that require recovery, rather than the whole database. For point-in-time recovery, you must restore and recover all data files, unless you perform RMAN TSPITR. You can also use Flashback Database, but this procedure affects all data files and returns the entire database to a past time.

   You can query the `V$RECOVER_FILE` view to list data files requiring recovery by data file number with their status and error information.

   ```
   SELECT FILE#, ERROR, ONLINE_STATUS, CHANGE#, TIME
   FROM V$RECOVER_FILE;
   ```

   > **Note:**
   >
   > You cannot use `V$RECOVER_FILE` with a control file restored from backup or a control file that was re-created after the time of the media failure affecting the data files. A restored or re-created control file does not contain the information needed to update `V$RECOVER_FILE` accurately.

   You can also perform useful joins by using the data file number and the `V$DATAFILE` and `V$TABLESPACE` views to get the data file and tablespace names.

   The `ERROR` column identifies the problem for each file requiring recovery.

2. Query the `V$ARCHIVED_LOG` and `V$RECOVERY_LOG` views to determine which archived redo log files are needed.

V$ARCHIVED_LOG lists file names for all archived redo logs, whereas V$RECOVERY_LOG lists only the archived redo logs that the database needs to perform media recovery. The latter view also includes the probable names of the files based on the naming convention specified by using the LOG_ARCHIVE_FORMAT parameter.

> **Note:**
>
> V$RECOVERY_LOG is only populated when media recovery is required for a data file. Thus, this view is not useful for a planned recovery, such as recovery from a user error.
>
> If a data file requires recovery, but no backup of the data file exists, then you need all redo generated starting from the time when the data file was added to the database.

3. If all archived logs are available in the default location, then skip to the Step 4.

   If some archived logs must be restored, and if sufficient space is available, then restore the required archived redo log files to the location specified by LOG_ARCHIVE_DEST_1. The database locates the correct log automatically when required during media recovery. For example, you might enter a command such as the following on Linux or UNIX:

   ```
   % cp /disk2/arch/* $ORACLE_HOME/oradata/trgt/arch
   ```

   If sufficient space is not available, then restore some or all of the required archived redo log files to an alternative location.

4. If the database is open, then shut it down. For example:

   ```
   SHUTDOWN IMMEDIATE
   ```

5. Inspect the media to determine the source of the problem.

   If the hardware problem that caused the media failure was temporary, and if the data was undamaged (for example, a disk or controller power failure occurred), then no media recovery is required: start the database and resume normal operations.

   If you cannot repair the problem, then proceed to the Step 6.

6. If the files are permanently damaged, then identify the most recent backups for the damaged files. Restore *only* the data files damaged by the media failure: do not restore undamaged data files or any online redo log files.

   For example, if *ORACLE_HOME*/oradata/trgt/users01.dbf is the only damaged file, then you may find that /backup/users01_10_24_02.dbf is the most recent backup of this file. If you do not have a backup of a specific data file, then you may be able to create an empty replacement file that can be recovered.

7. Use an operating system utility to restore the data files to their default location or to a new location. For example, a Linux or UNIX user restoring users01.dbf to its default location might enter:

   ```
   % cp /backup/users01_10_24_06.dbf $ORACLE_HOME/oradata/trgt/users01.dbf
   ```

   Use the following guidelines when determining where to restore data file backups:

   - If the hardware problem is repaired and you can restore the data files to their default locations, then restore the data files to their default locations and begin media recovery.

- If the hardware problem persists and you cannot restore data files to their original locations, then restore the data files to an alternative storage device. Indicate the new location of these files in the control file with the `ALTER DATABASE RENAME FILE` statement. See *Oracle Database Administrator's Guide*.
- If you are restoring a data file to a raw disk or partition, then the technique is basically the same as when you are restoring to a file on a file system. Be aware of the naming conventions for files on raw devices (which differ depending on the operating system), and use an operating system utility that supports raw devices.

8. Connect to the database with administrator privileges. Then start a new instance and mount, but do not open, the database. For example, enter:

```
STARTUP MOUNT
```

9. If you restored one or more damaged data files to alternative locations, then update the control file of the database to reflect the new data file names. For example, to change the file name of the data file in tablespace `users` you might enter:

```
ALTER DATABASE RENAME FILE '?/oradata/trgt/users01.dbf' TO
                           '/disk2/users01.dbf';
```

10. Obtain the data file names and statuses of all data files by checking the list of data files that normally accompanies the current control file or by querying the `V$DATAFILE` view. For example, enter:

```
SELECT NAME,STATUS FROM V$DATAFILE;
```

11. Ensure that all data files requiring recovery are online. The only exceptions are data files in an offline tablespace that was taken offline normally or data files in a read-only tablespace. For example, to guarantee that a data file named `/oracle/dbs/tbs_10.f` is online, enter the following:

```
ALTER DATABASE DATAFILE '/oracle/dbs/tbs_10.f' ONLINE;
```

    If a specified data file is already online, then the database ignores the statement. If you prefer, create a script to bring all data files online simultaneously, as in the following example:

```
SPOOL onlineall.sql
SELECT 'ALTER DATABASE DATAFILE '''||name||''' ONLINE;' FROM V$DATAFILE;
SPOOL OFF

SQL> @onlineall
```

12. If you restored archived redo logs to an alternative location, then you can specify the location before media recovery with the `LOGSOURCE` parameter of the `SET` command in SQL*Plus. For example, if the logs are staged in `/tmp`, you can enter the following command:

```
SET LOGSOURCE /tmp
```

    Alternatively, you can skip Step 12 and use the `FROM` parameter on the `RECOVER` command as in Step 13. For example, if the logs are staged in `/tmp`, you can enter the following command:

```
RECOVER AUTOMATIC FROM '/tmp' DATABASE
```

> **Note:**
>
> Overriding the redo log source does not affect the archive redo log destination for online redo log groups being archived.

13. Issue a statement to recover the database, tablespace, or data file. For example, enter one of the following `RECOVER` commands:

```
RECOVER AUTOMATIC DATABASE   # whole database
RECOVER AUTOMATIC TABLESPACE users   # specific tablespace
RECOVER AUTOMATIC DATAFILE '?/oradata/trgt/users01.dbf'; # specific data file
```

    If you choose not to automate the application of archived redo logs, then you must accept or reject each prompted log. If you automate recovery, then the database applies the logs automatically. Recovery continues until all required archived and online redo logs have been applied to the restored data files. The database notifies you when media recovery is complete:

```
Media recovery complete.
```

    If no archived redo logs are required for complete media recovery, then the database applies all necessary online redo log files and terminates recovery.

14. After recovery terminates, open the database for use:

```
ALTER DATABASE OPEN;
```

15. After archived logs are applied, and after making sure that a copy of each archived log group still exists in offline storage, delete the restored copy of the archived redo log file to free disk space. For example:

```
% rm /tmp/*.arc
```

> **See Also:**
>
> - "Recovering After the Loss of All Current Control Files" and "Re-Creating a Control File" for information about restoring or re-creating the control file
> - "Re-Creating Data Files When Backups Are Unavailable" for information about performing recovery when data file backups are missing
> - "Performing Incomplete Database Recovery" for information about performing database point-in-time recovery when you are missing redo required to completely recover the database
> - "Overview of User-Managed Media Recovery" for an overview of log application during media recovery

30.3.2 Performing Open Database Recovery

You can perform complete recovery of non-`SYSTEM` data files in a database while the database is open.

This procedure assumes the following:

- The current control file is available.

- You have backups of all needed data files.
- All necessary archived redo logs are available.

It is possible for a media failure to occur while the database remains open, leaving the undamaged data files online and available for use. Damaged data files—but not the tablespaces that contain them—are automatically taken offline if the database writer cannot write to them. If the database writer cannot open a data file, an error is still returned. Queries that cannot read damaged files return errors, but the data files are not taken offline because of the failed queries. For example, you may run a SQL query and see output such as:

```
ERROR at line 1:
ORA-01116: error in opening database file 3
ORA-01110: data file 11: '/oracle/oradata/trgt/cwmlite02.dbf'
ORA-27041: unable to open file
SVR4 Error: 2: No such file or directory
Additional information: 3
```

> **Note:**
>
> You cannot use the procedure in this section to perform complete media recovery on the `SYSTEM` tablespace while the database is open. If the media failure damages data files of the `SYSTEM` tablespace, then the database automatically shuts down.

To restore data files in an open database:

1. Follow Step 1 through Step 3 in "Performing Closed Database Recovery".
2. If the database is open, then take all tablespaces containing damaged data files offline. For example, if the tablespaces `USERS` and `TOOLS` contain damaged data files, then execute the following SQL statements:

   ```
   ALTER TABLESPACE users OFFLINE TEMPORARY;
   ALTER TABLESPACE tools OFFLINE TEMPORARY;
   ```

   If you specify `TEMPORARY`, then Oracle Database creates a checkpoint for all online data files in the tablespace. Files that are offline when you issue this statement may require media recovery before you bring the tablespace back online. If you specify `IMMEDIATE`, then you must perform media recovery on the tablespace before bringing it back online.
3. Inspect the media to determine the source of the problem.

   You can use the DBVERIFY utility to run an integrity check on offline data files.

   If the hardware problem that caused the media failure was temporary, and if the data was undamaged, then no media recovery is required. You can bring the offline tablespaces online and resume normal operations. If you cannot repair the problem, or if DBVERIFY reports corrupt blocks, then proceed to the Step 4.
4. If files are permanently damaged, then use operating system commands to restore the most recent backup files of *only* the data files damaged by the media failure. For example, to restore `users01.dbf` you might use the `cp` command on Linux or UNIX as follows:

   ```
   % cp /disk2/backup/users01.dbf $ORACLE_HOME/oradata/trgt/users01.dbf
   ```

If the hardware problem is fixed and the data files can be restored to their original locations, then do so. Otherwise, restore the data files to an alternative storage device. Do not restore undamaged data files, online redo logs, or control files.

> **Note:**
>
> In some circumstances, if you do not have a backup of a specific data file, you can use the `ALTER DATABASE CREATE DATAFILE` statement to create an empty replacement file that is recoverable.

5. If you restored one or more damaged data files to alternative locations, then update the control file of the database to reflect the new data file names. For example, to change the file name of the data file in tablespace `users` you might enter:

```
ALTER DATABASE RENAME FILE '?/oradata/trgt/users01.dbf' TO
                           '/disk2/users01.dbf';
```

6. If you restored archived redo logs to an alternative location, then you can specify the location before media recovery with the `LOGSOURCE` parameter of the `SET` command in SQL*Plus. For example, if the logs are staged in `/tmp`, you can enter the following command:

```
SET LOGSOURCE /tmp
```

   Alternatively, you can skip Step 6 and use the `FROM` parameter on the `RECOVER` command as in Step 7. For example, if the logs are staged in `/tmp`, you can enter the following command:

```
RECOVER AUTOMATIC FROM '/tmp' TABLESPACE users, tools;
```

> **Note:**
>
> Overriding the redo log source does not affect the archive redo log destination for online redo log groups being archived.

7. Connect to the database with administrator privileges, and start offline tablespace recovery of all damaged data files in one or more offline tablespaces using one step. For example, recover `users` and `tools` as follows:

```
RECOVER AUTOMATIC TABLESPACE users, tools;
```

   The database begins the roll forward phase of media recovery by applying the necessary archived and online redo logs to reconstruct the restored data files. Unless the application of files is automated with the `RECOVER AUTOMATIC` or `SET AUTORECOVERY ON` commands, the database prompts for each required redo log file.

   Recovery continues until all required archived logs have been applied to the data files. The online redo logs are then automatically applied to the restored data files to complete media recovery. If no archived redo logs are required for complete media recovery, then the database does not prompt for any. Instead, all necessary online redo logs are applied, and media recovery is complete.

8. When the damaged tablespaces are recovered up to the moment that media failure occurred, bring the offline tablespaces online. For example, to bring tablespaces `USERS` and `TOOLS` online, issue the following statements:

```
ALTER TABLESPACE users ONLINE;
ALTER TABLESPACE tools ONLINE;
```

See Also:

- *Oracle Database Administrator's Guide* to learn about creating data files and *Oracle Database SQL Language Reference* to learn about `ALTER DATABASE RENAME FILE`
- "Running the DBVERIFY Utility"
- "Recovering After the Loss of All Current Control Files" and "Re-Creating a Control File" for information about restoring or re-creating the control file
- "Re-Creating Data Files When Backups Are Unavailable" for information about performing recovery when data file backups are missing
- "Performing Incomplete Database Recovery" for information about performing database point-in-time recovery when you are missing redo required to completely recover the database

30.3.3 Performing Crash and Instance Recovery of CDBs

Oracle Database performs crash and instance recovery for the entire multitenant container database (CDB). You cannot recover individual pluggable databases (PDBs).

This procedure assumes the following:

- The current control file is available.
- You have backups of all needed data files.
- All necessary archived redo logs are available.

To perform crash and instance recovery for a CDB:

1. Open a SQL client such as SQL*Plus.
2. Connect to the root as a common user with the `ALTER PLUGGABLE DATABASE` system privilege.
3. Follow the procedures in "Performing Closed Database Recovery".

   If you do not want to recover a particular PDB, take its files offline.

See Also:

- "Recovering After the Loss of All Current Control Files" and "Re-Creating a Control File" for information about restoring or re-creating the control file
- "Re-Creating Data Files When Backups Are Unavailable" for information about performing recovery when data file backups are missing
- "Performing Incomplete Database Recovery" for information about performing database point-in-time recovery when you are missing redo required to completely recover the database

30.4 Performing Incomplete Database Recovery

Incomplete recovery is also known as database point-in-time recovery.

Typically, you perform database point-in-time recovery (DBPITR) in the following situations:

- You want to recover the database to an SCN before a user or administrative error.
- The database contains corrupt blocks.
- Complete database recovery failed because all necessary archived redo logs were not available.
- You are creating a test database or a reporting database from production database backups.

If the database is operating in `ARCHIVELOG` mode, and if the only copy of an archived redo log file is damaged, then the damaged file does not affect the present operation of the database. Table 30-2 describes situations that can arise depending on when the redo log was written and when you backed up the data file.

Table 30-2 Loss of Archived Redo Logs

If You Backed Up...	Then...
All data files after the filled online redo log group (which is now archived) was written	The archived version of the filled online redo log group is not required for complete media recovery.
A specific data file before the filled online redo log group was written	If the corresponding data file is damaged by a permanent media failure, then use the most recent backup of the damaged data file and perform tablespace point-in-time recovery of the damaged data file, up to the damaged archived redo log file.

Caution:

If you know that an archived redo log group has been damaged, then immediately back up all data files so that you have a whole database backup that does not require the damaged archived redo log.

The technique for DBPITR is very similar to the technique for performing closed database recovery, except that you terminate DBPITR by specifying a particular time or SCN or entering `CANCEL`. Cancel-based recovery prompts you with the suggested file names of archived redo logs. Recovery stops when you specify `CANCEL` instead of a file name or when all redo has been applied to the data files. Cancel-based recovery is the best technique to control which archived log terminates recovery.

> **See Also:**
>
> - "Performing Cancel-Based Incomplete Recovery"
> - "Performing Time-Based or Change-Based Incomplete Recovery"
> - "Performing Closed Database Recovery"

30.4.1 Performing Cancel-Based Incomplete Recovery

In cancel-based recovery, recovery proceeds by prompting you with the suggested file names of archived redo log files. Recovery stops when you specify `CANCEL` instead of a file name or when all redo has been applied to the data files.

This procedure assumes the following:

- The current control file is available.
- You have backups of all needed data files.

To perform cancel-based recovery:

1. Follow Step 1 through Step 8 in "Performing Closed Database Recovery".
2. Begin cancel-based recovery by issuing the following command in SQL*Plus:

   ```
   RECOVER DATABASE UNTIL CANCEL
   ```

   > **Note:**
   >
   > If you fail to specify the `UNTIL` clause on the `RECOVER` command, then the database assumes a complete recovery and does not open until all redo is applied.

   The database applies the necessary redo log files to reconstruct the restored data files. The database supplies the name it expects to find from `LOG_ARCHIVE_DEST_1` and requests you to stop or proceed with applying the log file. If the control file is a backup, then you must supply the names of the online redo logs if you want to apply the changes in these logs.
3. Continue applying redo log files until the last log has been applied to the restored data files, then cancel recovery by executing the following command:

   ```
   CANCEL
   ```

The database indicates whether recovery is successful. If you cancel before all the data files have been recovered to a consistent SCN and then try to open the database, then you get an `ORA-1113` error if more recovery is necessary. You can query `V$RECOVER_FILE` to determine whether more recovery is needed, or if a backup of a data file was not restored before starting incomplete recovery.

4. Open the database with the `RESETLOGS` option. You must always reset the logs after incomplete recovery or recovery with a backup control file. For example:

```
ALTER DATABASE OPEN RESETLOGS;
```

If you attempt to use `OPEN RESETLOGS` when you should not, or if you neglect to reset the log when you should, then the database returns an error and does not open the database. Correct the problem and try again.

5. After opening the database with the `RESETLOGS` option, check the alert log.

> **Note:**
>
> The easiest way to locate trace files and the alert log is to run the following SQL query: `SELECT NAME, VALUE FROM V$DIAG_INFO`.

When you open with the `RESETLOGS` option, the database returns different messages depending on whether recovery was complete or incomplete. If the recovery was complete, then the following message appears in the alert log:

```
RESETLOGS after complete recovery through change scn
```

If the recovery was incomplete, then this message is reported in the alert log, where *scn* refers to the end point of incomplete recovery:

```
RESETLOGS after incomplete recovery UNTIL CHANGE scn
```

Also check the alert log to determine whether the database detected inconsistencies between the data dictionary and the control file. Table 30-3 describes two possible scenarios.

Table 30-3 Inconsistencies Between Data Dictionary and Control File

Data File Listed in Control File	Data File Listed in the Data Dictionary	Result
Yes	No	References to the unlisted data file are removed from the control file. A message in the alert log indicates what was found.
No	Yes	The database creates a placeholder entry in the control file under `MISSINGnnnnn` (where `nnnnn` is the file number in decimal). `MISSINGnnnnn` is flagged in the control file as offline and requiring media recovery. You can make the data file corresponding to `MISSINGnnnnn` accessible by using `ALTER DATABASE RENAME FILE` for `MISSINGnnnnn` so that it points to the data file. If you do not have a backup of this data file, then drop the tablespace.

> **See Also:**
>
> - "About User-Managed Media Recovery Problems" for descriptions of situations that can cause `ALTER DATABASE OPEN RESETLOGS` to fail
> - "Recovering After the Loss of All Current Control Files" for information about restoring or re-creating the control file
> - "Re-Creating Data Files When Backups Are Unavailable" for information about performing recovery when data file backups are missing

30.4.2 Performing Time-Based or Change-Based Incomplete Recovery

You can specify an SCN or time for the end point of incomplete recovery.

If your database is affected by seasonal time changes (for example, daylight savings time), then you may experience a problem if a time appears twice in the redo log and you want to recover to the second, or later time. To handle time changes, perform cancel-based or change-based recovery.

This procedure assumes the following:

- The current control file is available.
- You have backups of all needed data files.

To perform change-based or time-based recovery:

1. Follows Step 1 through Step 8 in "Performing Closed Database Recovery".
2. Issue the `RECOVER DATABASE UNTIL` statement to begin recovery. If recovering to an SCN, then specify as a decimal number without quotation marks. For example, to recover through SCN 10034 issue:

   ```
   RECOVER DATABASE UNTIL CHANGE 10034;
   ```

   If recovering to a time, then the time is always specified using the following format, delimited by single quotation marks: `'YYYY-MM-DD:HH24:MI:SS'`. The following statement recovers the database up to a specified time:

   ```
   RECOVER DATABASE UNTIL TIME '2000-12-31:12:47:30'
   ```

3. Apply the necessary redo log files to recover the restored data files. The database automatically terminates the recovery when it reaches the correct time, and returns a message indicating whether recovery is successful.

> **Note:**
>
> Unless recovery is automated, the database supplies the name from `LOG_ARCHIVE_DEST_1` and asks you to stop or proceed with after each log. If the control file is a backup, then after the archived logs are applied you must supply the names of the online logs.

4. Follow Steps 4 and 5 in "Performing Cancel-Based Incomplete Recovery".

> **See Also:**
>
> - "Recovering After the Loss of All Current Control Files" for information about restoring or re-creating the control file
> - "Re-Creating Data Files When Backups Are Unavailable" for information about performing recovery when data file backups are missing

30.5 Recovering a Database in NOARCHIVELOG Mode

If a media failure damages data files in a `NOARCHIVELOG` database, then the only option for recovery is usually to restore a consistent whole database backup. If you are using logical backups created by Oracle Data Pump Export to supplement regular physical backups, then you can also attempt to restore the database by importing an exported backup of the database into a re-created database or a database restored from an old backup.

To restore and recover the most recent whole database backup:

1. If the database is open, then shut down the database. For example, enter:

   ```
   SHUTDOWN IMMEDIATE
   ```

2. If possible, correct the media problem so that the backup database files can be restored to their original locations.
3. Restore the most recent whole database backup with operating system commands. Restore all of the data files and control files of the whole database backup, not just the damaged files. If the hardware problem has not been corrected and some or all of the database files must be restored to alternative locations, then restore the whole database backup to a new location. The following example restores a whole database backup to its default location:

   ```
   % cp /backup/*.dbf $ORACLE_HOME/oradata/trgt/
   ```

4. If necessary, edit the restored initialization parameter file to indicate the new location of the control files. For example:

   ```
   CONTROL_FILES = "/new_disk/oradata/trgt/control01.dbf"
   ```

5. Start an instance using the restored and edited parameter file and mount, but do not open, the database. For example:

   ```
   STARTUP MOUNT
   ```

6. If the restored data file names are different (such as when you restore to a different file system or directory, on the same node or a different node), then update the control file to reflect the new data file locations. For example, to rename data file `1` you might enter:

   ```
   ALTER DATABASE RENAME FILE '?/oradata/trgt/system01.dbf' TO
                              '/new_disk/oradata/system01.dbf';
   ```

7. If the online redo logs were located on a damaged disk, and the hardware problem is not corrected, then specify a new location for each affected online log. For example, enter:

   ```
   ALTER DATABASE RENAME FILE '?/oradata/trgt/redo01.log' TO
                              '/new_disk/oradata/redo_01.log';
   ```

```
ALTER DATABASE RENAME FILE '?/oradata/trgt/redo02.log' TO
                           '/new_disk/oradata/redo_02.log';
```

8. Because online redo logs are never backed up, you cannot restore them with the data files and control files. To enable the database to reset the online redo logs, you must first mimic incomplete recovery:

   ```
   RECOVER DATABASE UNTIL CANCEL
   CANCEL
   ```

9. Open the database in `RESETLOGS` mode. This command clears the online redo logs and resets the log sequence to 1:

   ```
   ALTER DATABASE OPEN RESETLOGS;
   ```

   If you restore a `NOARCHIVELOG` database backup and then reset the log, the action discards all changes to the database made from the time the backup was taken to the time of the failure.

> **See Also:**
>
> *Oracle Database Administrator's Guide* for more information about renaming and relocating data files, and *Oracle Database SQL Language Reference* to learn about `ALTER DATABASE RENAME FILE`

30.6 Troubleshooting Media Recovery

This section describes how to troubleshoot user-managed media recovery, that is, media recovery performed without using Recovery Manager (RMAN). This section includes the following topics:

- About User-Managed Media Recovery Problems
- Investigating the Media Recovery Problem: Phase 1
- Trying to Fix the Recovery Problem Without Corrupting Blocks: Phase 2
- Deciding Whether to Allow Recovery to Mark as Corrupt Blocks: Phase 3
- Allowing Recovery to Corrupt Blocks: Phase 4
- Performing Trial Recovery

30.6.1 About User-Managed Media Recovery Problems

Table 30-4 describes potential problems that can occur during media recovery.

Table 30-4 Media Recovery Problems

Problem	Description
Missing or misnamed archived log	Recovery stops because the database cannot find the archived log recorded in the control file.

Table 30-4 (Cont.) Media Recovery Problems

Problem	Description
When you attempt to open the database, error `ORA-1113` indicates that a data file needs media recovery.	This error commonly occurs because: • You are performing incomplete recovery but failed to restore all needed data file backups. • Incomplete recovery stopped before data files reached a consistent SCN. • You are recovering data files from an online backup, but not enough redo was applied to make the data files consistent. • You are performing recovery with a backup control file, and did not specify the location of a needed online redo log. • A data file is undergoing media recovery when you attempt to open the database. • Data files needing recovery were not brought online before you execute the `RECOVER DATABASE` command, and so were not recovered.
Redo record problems	Two possible cases are as follows: • Recovery stops because of failed consistency checks, a problem called **stuck recovery**. Stuck recovery can occur when an underlying operating system or storage system loses a write issued by the database during normal operation. • The database signals an internal error when applying the redo. This problem can be caused by an Oracle Database bug. If checksum verification is not being used, then the errors can also be caused by corruptions to the redo or data blocks.
Corrupted archived logs	Logs may be corrupted while they are stored on or copied between storage systems. If `DB_BLOCK_CHECKSUM` is enabled, then the database usually signals a checksum error. If checksum checking is disabled, then log corruption may appear as a problem with redo.
Archived logs with incompatible parallel redo format	If you enable the parallel redo feature, then the database generates redo logs in a new format. Prior releases of Oracle are unable to apply parallel redo logs. However, releases before Oracle9*i* Database Release 2 (9.2) can detect the parallel redo format and indicate the inconsistency with the following error message: `External error 00303, 00000, "cannot process Parallel Redo"`.
Corrupted data blocks	A data file backup may have contained a corrupted data block, or the data block may become corrupted either during recovery or when it is copied to the backup. If `DB_BLOCK_CHECKSUM` is enabled, then the database computes a checksum for each block during normal operations and stores it in the block before writing it to disk. When the database reads the block from disk later, it recomputes the checksum and compares it to the stored value. If they do not match, then the database signals a checksum error. If checksum checking is disabled, then the problem may also appear as a redo corruption.
Random problems	Memory corruptions and other transient problems can occur during recovery.

The symptoms of media recovery problems are usually external or internal errors signaled during recovery. For example, an external error indicates that a redo block or a data block

has failed checksum verification checks. Internal errors can be caused by either bugs in the database or errors arising from the underlying operating system and hardware.

If media recovery encounters a problem while recovering a database backup, then whether it is a stuck recovery problem or a problem during redo application, the database always stops and leaves the data files undergoing recovery in a consistent state, that is, at a consistent SCN preceding the failure. You can then do one of the following:

- Open the database read-only to investigate the problem.
- Open the database with the `RESETLOGS` option, if the requirements for opening `RESETLOGS` have been met. The `RESETLOGS` restrictions apply to opening the physical standby database as well, because a standby database is updated by a form of media recovery.

In general, opening the database read-only or opening with the `RESETLOGS` option requires all online data files to be recovered to the same SCN. If this requirement is not met, then the database may signal `ORA-1113` or other errors when you attempt to open it. Some common causes of `ORA-1113` are described in Table 30-4.

The basic methodology for responding to media recovery problems occurs in the following phases:

1. Try to identify the cause of the problem. Run a trial recovery if needed.
2. If the problem is related to missing redo logs or if you suspect that there is a redo log, memory, or data block corruption, then try to resolve the problem using the methods described in Table 30-5.
3. If you cannot resolve the problem using the methods described in Table 30-5, then do one of the following:
   - Open the database with the `RESETLOGS` option if you are recovering a whole database backup. If you have performed serial media recovery, then the database contains all the changes up to but not including the changes at the SCN where the corruption occurred. No changes from this SCN onward are in the recovered part of the database. If you have restored online backups, then opening `RESETLOGS` succeeds only if you have recovered through all the `ALTER ... END BACKUP` operations in the redo stream.
   - Proceed with recovery by allowing media recovery to corrupt data blocks. After media recovery completes, try performing block media recovery using RMAN.
   - Call Oracle Support Services as a last resort.

> **See Also:**
>
> "Performing Disaster Recovery" to learn about block media recovery

30.6.2 Investigating the Media Recovery Problem: Phase 1

If media recovery encounters a problem, then obtain as much information as possible after recovery halts. You do not want to waste time fixing the wrong problem, which may make matters worse.

The goal of this initial investigation is to determine whether the problem is caused by incorrect setup, corrupted redo logs, corrupted data blocks, memory corruption, or other problems. If you see a checksum error on a data block, then the data block is corrupted. If you see a checksum error on a redo log block, then the redo log is corrupted.

Sometimes the cause of a recovery problem can be difficult to determine. Nevertheless, the methods in this section enable you to quickly recover a database even when you do not completely understand the cause of the problem.

To investigate media recovery problems:

1. Examine the `alert.log` to see whether the error messages give general information about the nature of the problem. For example, does the `alert_SID.log` indicate any checksum failures? Does the `alert_SID.log` indicate that media recovery may have to corrupt data blocks to continue?
2. Check the trace file generated by the Oracle Database during recovery. It may contain additional error information.

30.6.3 Trying to Fix the Recovery Problem Without Corrupting Blocks: Phase 2

Depending on the type of media recovery problem you suspect, you have different solutions at your disposal. You can try one or a combination of the techniques described in Table 30-5. These solutions are common repair techniques and fairly safe for resolving most media recovery issues.

Table 30-5 Media Recovery Solutions

If You Suspect...	Then...
Missing or misnamed archived redo logs	Determine whether you entered the correct file name. If you did, then check whether the log is missing from the operating system. If it is missing, and if you have a backup, then restore the backup and apply the log. If you do not have a backup, then if possible perform incomplete recovery up to the point of the missing log.
`ORA-1113` for `ALTER DATABASE OPEN`	Review the causes of this error in Table 30-4. Ensure that all read/write data files requiring recovery are online. If you use a backup control file for recovery, then the control file and data files must be at a consistent SCN for the database to be opened. If you do not have the necessary redo, then you must re-create the control file.
Corrupt archived logs	The log is corrupted if the checksum verification on the log redo block fails. If `DB_BLOCK_CHECKSUM` was not enabled either during the recovery session or when the database generated the redo, then recovery problems may be caused by corrupted logs. If the log is corrupt and an alternate copy of the corrupt log is available, then try to apply it and see whether this tactic fixes the problem. The `DB_BLOCK_CHECKSUM` initialization parameter determines whether checksums are computed for redo log and data blocks.

Table 30-5 (Cont.) Media Recovery Solutions

If You Suspect...	Then...
Archived logs with incompatible parallel redo format	If you run an Oracle Database release before Oracle9*i* Database Release 2, and if you attempt to apply redo logs created with the parallel redo format, then you must do the following steps: 1. Upgrade the database to a later release. 2. Perform media recovery. 3. Shut down the database consistently and back up the database. 4. Downgrade the database to the original release.
Memory corruption or transient problems	You may be able to fix the problem by shutting down the database and restarting recovery. The database should be left in a consistent state if the second attempt also fails.
Corrupt data blocks	Restore and recover the data file again with user-managed methods, or restore and recover individual data blocks with the RMAN `RECOVER ... BLOCK` command. This technique may fix the problem. A data block is corrupted if the checksum verification on the block fails. If `DB_BLOCK_CHECKING` is disabled, then a corrupted data block problem may appear as a redo problem. If you must proceed with media recovery, then you may want to allow media recovery to mark the block as corrupt for now, continue recovery, and then use RMAN to perform block media recovery later.

If you cannot fix the problem with the methods described in Table 30-5, then there may be no easy way to fix the problem without losing data. You have these options:

- Open the database with the `RESETLOGS` option (for whole database recovery).

  This solution discards all changes after the point where the redo problem occurred, but guarantees a logically consistent database.

- Allow media recovery to corrupt one or more data blocks and then proceed.

  This option only succeeds if the alert log indicates that recovery can continue if it is allowed to corrupt a data block, which is the case for most recovery problems. This option is best if you must bring up the database quickly and recover all changes. If you are considering this option, then proceed to "Deciding Whether to Allow Recovery to Mark as Corrupt Blocks: Phase 3".

> **See Also:**
>
> " Performing Block Media Recovery "to learn how to perform block media recovery with the `RECOVER ... BLOCK` command

30.6.4 Deciding Whether to Allow Recovery to Mark as Corrupt Blocks: Phase 3

When media recovery encounters a problem, the alert log may indicate that recovery can continue if it is allowed to mark as corrupt the data block causing the problem. The alert log contains information about the block: its block type, block address, the

tablespace it belongs to, and so forth. For blocks containing user data, the alert log may also report the data object number.

In this case, the database can proceed with recovery if it is allowed to mark the problem block as corrupt. Nevertheless, this response is not always advisable. For example, if the block is an important block in the `SYSTEM` tablespace, marking the block as corrupt can eventually prevent you from opening the recovered database. Another consideration is whether the recovery problem is isolated. If this problem is followed immediately by many other problems in the redo stream, then you may want to open the database with the `RESETLOGS` option.

For a block containing user data, you can usually query the database to discover which object or table owns this block. If the database is not open, then you can open the database read-only, even if you are recovering a whole database backup. The following example cancels recovery and opens the database read-only:

```
CANCEL
ALTER DATABASE OPEN READ ONLY;
```

Assume that the data object number reported in the `alert_SID.log` is `8031`. You can determine the owner, object name, and object type by issuing this query:

```
SELECT OWNER, OBJECT_NAME, SUBOBJECT_NAME, OBJECT_TYPE
FROM DBA_OBJECTS
WHERE DATA_OBJECT_ID = 8031;
```

To determine whether a recovery problem is isolated, you can run a diagnostic **trial recovery**, which scans the redo stream for problems but does not actually make any changes to the recovered database. If a trial recovery discovers any recovery problems, then it reports them in the `alert_SID.log`. You can use the `RECOVER ... TEST` statement to invoke trial recovery, as described in "Executing the RECOVER... TEST Statement".

After you have done these investigations, you can follow the guidelines in Table 30-6 to decide whether to allow recovery to permit corrupt blocks.

Table 30-6 Guidelines for Allowing Recovery to Permit Corrupt Blocks

If the Problem Is...	and the Block Is...	Then...
Not isolated		You can open the database with the `RESETLOGS` option. This response is important for stuck recovery problems, because stuck recovery can be caused by the operating system or a storage system losing writes. If an operating system or storage system suddenly fails, then it can cause stuck recovery problems on several blocks.
Isolated	In the `SYSTEM` tablespace	Do not corrupt the block, because it may eventually prevent you from opening the database. However, sometimes data in the `SYSTEM` tablespace is unimportant. If you must corrupt a `SYSTEM` block and recover all changes, then contact Oracle Support Services.
Isolated	Index data	Consider corrupting index blocks because the index can be rebuilt later after the database has been recovered.

Table 30-6 (Cont.) Guidelines for Allowing Recovery to Permit Corrupt Blocks

If the Problem Is...	and the Block Is...	Then...
Isolated	User data	Decide based on the importance of the data. If you continue with data file recovery and corrupt a block, then you lose data in the block. However, you can use RMAN to perform block media recovery later, after data file recovery completes. If you open `RESETLOGS`, then the database is consistent but loses any changes made after the point where recovery was stopped.
Isolated	Rollback or undo data	If all of the transactions are committed, then consider corrupting the rollback or undo block. The database is not harmed if the transactions that generated the undo are never rolled back. However, if those transactions are rolled back, then corrupting the undo block can cause problems. If you are unsure, then contact Oracle Support Services.

See Also:

"Performing Trial Recovery" to learn how to perform trial recovery, and "Allowing Recovery to Corrupt Blocks: Phase 4" if you decide to allow recovery to permit corrupt blocks

30.6.5 Allowing Recovery to Corrupt Blocks: Phase 4

If you decide to allow recovery to proceed despite block corruptions, then run the `RECOVER` command with the `ALLOW` *n* `CORRUPTION` clause, where *n* is the number of allowable corrupt blocks.

To allow recovery to corrupt blocks:

1. Ensure that all normal recovery preconditions are met. For example, if the database is open, then take tablespaces offline before attempting recovery.
2. Run the `RECOVER` command as in the following example:

```
RECOVER DATABASE ALLOW 5 CORRUPTION
```

30.6.6 Performing Trial Recovery

When problems such as stuck recovery occur, you have a difficult choice. If the block is relatively unimportant, and if the problem is isolated, then it is better to corrupt the block. But if the problem is not isolated, then it may be better to open the database with the `RESETLOGS` option.

Because of this situation, Oracle Database supports trial recovery. A trial recovery applies redo in a way similar to normal media recovery, but it never writes its changes to disk and it always rolls back its changes. Trial recovery occurs only in memory.

This section contains the following topics:

- How Trial Recovery Works

- Executing the RECOVER... TEST Statement

> **See Also:**
>
> "Allowing Recovery to Corrupt Blocks: Phase 4"

30.6.6.1 How Trial Recovery Works

By default, if a trial recovery encounters a stuck recovery or similar problem, then it always marks the data block as corrupt in memory when this action can allow recovery to proceed. The database writes errors generated during trial recovery to alert files. These errors are clearly marked as test run errors.

Like normal media recovery, trial recovery can prompt you for archived log file names and ask you to apply them. Trial recovery ends when:

- The database runs out of the maximum number of buffers in memory that trial recovery is permitted to use
- An unrecoverable error is signaled, that is, an error that cannot be resolved by corrupting a data block
- You cancel or interrupt the recovery session
- The next redo record in the redo stream changes the control file
- All requested redo has been applied

When trial recovery ends, the database removes all effects of the test run from the system—except the possible error messages in the alert files. If the instance fails during trial recovery, then the database removes all effects of trial recovery from the system, because trial recovery never writes changes to disk.

Trial recovery lets you foresee what problems might occur if you were to continue with normal recovery. For problems caused by ongoing memory corruption, trial recovery and normal recovery can encounter different errors.

30.6.6.2 Executing the RECOVER... TEST Statement

You can use the `TEST` option for any `RECOVER` command. For example, you can start SQL*Plus and then issue any of the following commands:

```
RECOVER DATABASE TEST
RECOVER DATABASE USING BACKUP CONTROLFILE UNTIL CANCEL TEST
RECOVER TABLESPACE users TEST
RECOVER DATABASE UNTIL CANCEL TEST
```

By default, trial recovery always attempts to corrupt blocks in memory if this action allows trial recovery to proceed. Trial recovery by default can corrupt an unlimited number of data blocks. You can specify the `ALLOW` *n* `CORRUPTION` clause on the `RECOVER ... TEST` statement to limit the number of data blocks that trial recovery can corrupt in memory.

A trial recovery command is usable in any scenario in which a normal recovery command is usable. Nevertheless, you only need to run trial recovery when recovery runs into problems.

See Also:

How Trial Recovery Works

31

Performing User-Managed Recovery: Advanced Scenarios

You can recover from several common media failure scenarios when using a user-managed backup and recovery strategy, that is, a strategy that does not depend on Recovery Manager.

This chapter describes several common media failure scenarios. It shows how to recover from each failure when using a user-managed backup and recovery strategy, that is, a strategy that does not depend on Recovery Manager.

> **Note:**
>
> A multitenant container database is the only supported architecture in Oracle Database 21c and later releases. While the documentation is being revised, legacy terminology may persist. In most cases, "database" and "non-CDB" refer to a CDB or PDB, depending on context. In some contexts, such as upgrades, "non-CDB" refers to a non-CDB from a previous release.

31.1 Responding to the Loss of a Subset of the Current Control Files

Use the following procedures to recover a database if a permanent media failure has damaged one or more control files of a database and at least one current control file has *not* been damaged by the media failure.

This section contains the following topics:

- Copying a Multiplexed Control File to a Default Location
- Copying a Multiplexed Control File to a Nondefault Location

31.1.1 Copying a Multiplexed Control File to a Default Location

If the disk and file system containing the lost control file are intact, then you can simply copy an intact control file to the location of the missing control file. In this case, you do not have to edit the `CONTROL_FILES` initialization parameter.

To replace a damaged control file by copying a multiplexed control file:

1. If the instance is still running, then shut it down:

   ```
   SQL> SHUTDOWN ABORT
   ```

2. Correct the hardware problem that caused the media failure. If you cannot repair the hardware problem quickly, then proceed with database recovery by restoring damaged

control files to an alternative storage device, as described in "Copying a Multiplexed Control File to a Nondefault Location".

3. Use an intact multiplexed copy of the database's current control file to copy over the damaged control files. For example, to replace `bad_cf.f` with `good_cf.f`, you might enter:

```
% cp /oracle/good_cf.f /oracle/dbs/bad_cf.f
```

4. Start a new instance and mount and open the database. For example, enter:

```
SQL> STARTUP
```

31.1.2 Copying a Multiplexed Control File to a Nondefault Location

If the disk and file system containing the lost control file are not intact, then you cannot copy a good control file to the location of the missing control file. In this case, you must alter the `CONTROL_FILES` initialization parameter to indicate a new location for the missing control file.

To restore a control file to a nondefault location:

1. If the instance is still running, then shut it down:

```
SQL> SHUTDOWN ABORT
```

2. If you cannot correct the hardware problem that caused the media failure, then copy the intact control file to alternative location.

   For example, to copy a good version of `control01.dbf` to a new disk location you might issue the following commands:

```
% cp /disk1/oradata/trgt/control01.dbf /new_disk/control01.dbf
```

3. Edit the parameter file of the database so that the `CONTROL_FILES` parameter reflects the current locations of all control files and excludes all control files that were not restored.

   Assume that the initialization parameter file contains the following setting:

```
CONTROL_FILES='/disk1/oradata/trgt/control01.dbf','/bad_disk/control02.dbf'
```

   You can edit the `CONTROL_FILES` initialization parameter as follows:

```
CONTROL_FILES='/disk1/oradata/trgt/control01.dbf','/new_disk/control02.dbf'
```

4. Start a new instance and mount and open the database. For example:

```
SQL> STARTUP
```

31.2 Recovering After the Loss of All Current Control Files

Use the following procedures to restore a backup control file if a permanent media failure has damaged all control files of a database and you have a backup of the control file. When a control file is inaccessible, you can start the instance, but not mount the database. If you attempt to mount the database when the control file is unavailable, then you receive the following error message:

```
ORA-00205: error in identifying control file, check alert log for more info
```

> **Note:**
>
> The easiest way to locate trace files and the alert log is to run the following SQL query: `SELECT NAME, VALUE FROM V$DIAG_INFO`.

You cannot mount and open the database until the control file is accessible again. If you restore a backup control file, then you must open the database with the `RESETLOGS` option.

As indicated in Table 31-1, the procedure for restoring the control file depends on whether the online redo logs are available.

Table 31-1 Scenarios When Control Files Are Lost

Status of Online Logs	Status of Data Files	Restore Procedure
Available	Current	If the online logs contain redo necessary for recovery, then restore a backup control file and apply the logs during recovery. You must specify the file name of the online logs containing the changes to open the database. After recovery, open the database with the `RESETLOGS` option. **Note:** If you re-create a control file, then it is not necessary to use the `OPEN RESETLOGS` option after recovery when the online redo logs are accessible.
Unavailable	Current	If the online logs contain redo necessary for recovery, then re-create the control file. Because the online redo logs are inaccessible, open `RESETLOGS`.
Available	Backup	Restore a backup control file, perform complete recovery, and then open the database with the `RESETLOGS` option.
Unavailable	Backup	Restore a backup control file, perform incomplete recovery, and then open `RESETLOGS`.

This section contains the following topics:

- Recovering with a Backup Control File in the Default Location
- Recovering with a Backup Control File in a Nondefault Location
- Recovering Through an Added Data File with a Backup Control File
- Recovering Read-Only Tablespaces with a Backup Control File

31.2.1 Recovering with a Backup Control File in the Default Location

If possible, restore the control file to its original location. In this way, you avoid having to specify new control file locations in the initialization parameter file.

To restore a backup control file to its default location:

1. If the instance is still running, shut it down:

```
SQL> SHUTDOWN ABORT
```

2. Correct the hardware problem that caused the media failure.
3. Restore the backup control file to all locations specified in the `CONTROL_FILES` parameter in the server parameter file or initialization parameter file. For example, if `/disk1/oradata/trgt/control01.dbf` and `/disk2/oradata/trgt/control02.dbf` are the control file locations listed in the server parameter file, then use an operating system utility to restore the backup control file to these locations:

```
% cp /backup/control01.dbf /disk1/oradata/trgt/control01.dbf
% cp /backup/control02.dbf /disk2/oradata/trgt/control02.dbf
```

4. Start a new instance and mount the database. For example, enter:

```
SQL> STARTUP MOUNT
```

5. Begin recovery by executing the `RECOVER` command with the `USING BACKUP CONTROLFILE` clause. Specify `UNTIL CANCEL` if you are performing incomplete recovery. For example, enter:

```
SQL> RECOVER DATABASE USING BACKUP CONTROLFILE UNTIL CANCEL
```

6. Apply the prompted archived logs. If you then receive another message saying that the required archived log is missing, then it probably means that a necessary redo record is located in the online redo logs. This situation can occur when unarchived changes were located in the online logs when the instance failed.

   For example, assume that you see the following:

```
ORA-00279: change 55636 generated at 11/08/2002 16:59:47 needed for thread 1
ORA-00289: suggestion : /oracle/work/arc_dest/arcr_1_111.arc
ORA-00280: change 55636 for thread 1 is in sequence #111
Specify log: {<RET>=suggested | filename | AUTO | CANCEL}
```

   You can specify the name of an online redo log and press Enter (you may have to try this a few times until you find the correct log):

```
ORACLE_HOME/oradata/redo01.dbf
Log applied.
Media recovery complete.
```

   If the online logs are inaccessible, then you can cancel recovery without applying them. If all data files are current, and if redo in the online logs is required for recovery, then you cannot open the database without applying the online logs. If the online logs are inaccessible, then you must re-create the control file, using the procedure described in "Re-Creating a Control File".

7. Open the database with the `RESETLOGS` option after finishing recovery:

```
SQL> ALTER DATABASE OPEN RESETLOGS;
```

31.2.2 Recovering with a Backup Control File in a Nondefault Location

If you cannot restore the control file to its original place because the media damage is too severe, then you must specify new control file locations in the server parameter file. A valid control file must be available in all locations specified by the `CONTROL_FILES` initialization parameter. If not, then the database prevents you from the mounting the database.

To restore a control file to a nondefault location:

1. If the instance is still running, shut it down:

```
SQL> SHUTDOWN ABORT
```

2. Correct the hardware problem that caused the media failure.
3. Edit all locations specified in the `CONTROL_FILES` initialization parameter to reflect the new control file locations. Assume that the control file locations listed in the server parameter file are as follows, and both disks are inaccessible:

   ```
   CONTROL_FILES='/disk1/oradata/trgt/control01.dbf',
                 '/disk2/oradata/trgt/control02.dbf'
   ```

   You can edit the initialization parameter file and specify accessible locations, as shown in the following example:

   ```
   CONTROL_FILES='/disk3/cf/control01.dbf','/disk4/cf/control02.dbf'
   ```

4. Restore the backup control file to all locations specified in the `CONTROL_FILES` parameter in the server parameter file or initialization parameter file. For example, if `/disk1/oradata/trgt/control01.dbf` and `/disk2/oradata/trgt/control02.dbf` are the control file locations listed in the server parameter file, then use an operating system utility to restore the backup control file to these locations:

   ```
   % cp /backup/control01.dbf /disk1/oradata/trgt/control01.dbf
   % cp /backup/control02.dbf /disk2/oradata/trgt/control02.dbf
   ```

5. Start a new instance and mount the database. For example, enter:

   ```
   SQL> STARTUP MOUNT
   ```

6. Begin recovery by executing the `RECOVER` command with the `USING BACKUP CONTROLFILE` clause. Specify `UNTIL CANCEL` if you are performing incomplete recovery. For example, enter:

   ```
   SQL> RECOVER DATABASE USING BACKUP CONTROLFILE UNTIL CANCEL
   ```

7. Apply the prompted archived logs. If you then receive another message saying that the required archived log is missing, then it probably means that a necessary redo record is located in the online redo logs. This situation can occur when unarchived changes were located in the online logs when the instance failed.

   For example, assume that you see the following:

   ```
   ORA-00279: change 55636 generated at 11/08/2002 16:59:47 needed for thread 1
   ORA-00289: suggestion : /oracle/work/arc_dest/arcr_1_111.arc
   ORA-00280: change 55636 for thread 1 is in sequence #111
   Specify log: {<RET>=suggested | filename | AUTO | CANCEL}
   ```

   You can specify the name of an online redo log and press Enter (you may have to try this a few times until you find the correct log):

   ```
   ORACLE_HOME/oradata/redo01.dbf
   Log applied.
   Media recovery complete.
   ```

   If the online logs are inaccessible, then you can cancel recovery without applying them. If all data files are current, and if redo in the online logs is required for recovery, then you cannot open the database without applying the online logs. If the online logs are inaccessible, then you must re-create the control file, using the procedure described in "Re-Creating a Control File".

8. Open the database with the `RESETLOGS` option after finishing recovery:

   ```
   SQL> ALTER DATABASE OPEN RESETLOGS;
   ```

31.2.3 Recovering Through an Added Data File with a Backup Control File

If database recovery with a backup control file rolls forward through a `CREATE TABLESPACE` or an `ALTER TABLESPACE ADD DATAFILE` operation, then the database stops recovery when applying the redo record for the added files and lets you confirm the file names.

For example, suppose that the following sequence of events occurs:

1. You back up the database.
2. You create a tablespace containing the following data files: `/disk1/oradata/trgt/test01.dbf` and `/disk1/oradata/trgt/test02.dbf`.
3. You restore a backup control file and perform media recovery through the `CREATE TABLESPACE` operation.

You may see the following error when applying the `CREATE TABLESPACE` redo data:

```
ORA-00283: recovery session canceled due to errors
ORA-01244: unnamed datafile(s) added to control file by media recovery
ORA-01110: data file 11: '/disk1/oradata/trgt/test02.dbf'
ORA-01110: data file 10: '/disk1/oradata/trgt/test01.dbf'
```

To recover through an ADD DATAFILE operation:

1. View the files added by querying `V$DATAFILE`., as in the following example:

```
SELECT FILE#,NAME
FROM V$DATAFILE;

FILE#           NAME
--------------- ----------------------
1               /disk1/oradata/trgt/system01.dbf
.
.
.
10               /disk1/oradata/trgt/UNNAMED00001
11               /disk1/oradata/trgt/UNNAMED00002
```

2. If multiple unnamed files exist, then determine which unnamed file corresponds to which data file by using one of these methods:
   - Open the `alert_SID.log`, which contains messages about the original file location for each unnamed file.
   - Derive the original file location of each unnamed file from the error message and `V$DATAFILE`: each unnamed file corresponds to the file in the error message with the same file number.
3. Issue the `ALTER DATABASE RENAME FILE` statement to rename the data files. For example, enter:

```
ALTER DATABASE RENAME FILE '/db/UNNAMED00001' TO
                           '/disk1/oradata/trgt/test01.dbf';
ALTER DATABASE RENAME FILE '/db/UNNAMED00002' TO
                           '/disk1/oradata/trgt/test02.dbf';
```

4. Continue recovery by issuing the recovery statement. For example:

```
RECOVER AUTOMATIC DATABASE USING BACKUP CONTROLFILE UNTIL CANCEL
```

31.2.4 Recovering Read-Only Tablespaces with a Backup Control File

If you have a read-only tablespace on read-only or slow media, then you may encounter errors or poor performance when recovering with the `USING BACKUP CONTROLFILE` option. This situation occurs when the backup control file indicates that a tablespace was read/write when the control file was backed up. In this case, media recovery may attempt to write to the files. For read-only media, the database issues an error saying that it cannot write to the files. For slow media, such as a hierarchical storage system backed up by tapes, performance may suffer.

To avoid these problems, use current control files rather than backups to recover the database. If you must use a backup control file, then you can also avoid this problem if the read-only tablespace has not suffered a media failure. You have the following alternatives for recovering read-only and slow media when using a backup control file:

- Take data files from read-only tablespaces offline before doing recovery with a backup control file, and then bring the files online after media recovery.
- Use the correct version of the control file for the recovery. If the tablespace is read-only when recovery completes, then the control file backup must be from a time when the tablespace was read-only. Similarly, if the tablespace is read/write after recovery, then the control file must be from a time when the tablespace was read/write.

31.3 Re-Creating a Control File

If all control files have been lost in a permanent media failure, but all online redo log members remain intact, then you can recover the database after creating a new control file. You are *not* required to open the database with the `RESETLOGS` option after the recovery.

Depending on the existence and currency of a control file backup, you have the options listed in Table 31-2 for generating the text of the `CREATE CONTROLFILE` statement. The changes to the database are recorded in the *alert_SID.log*, so check this log when you are deciding which option to choose.

Table 31-2 Options for Creating the Control File

If you...	Then...
Executed `ALTER DATABASE BACKUP CONTROLFILE TO TRACE NORESETLOGS` after you made the last structural change to the database, and if you have saved the SQL command trace output	Use the `CREATE CONTROLFILE` statement from the trace output as-is.
Performed your most recent execution of `ALTER DATABASE BACKUP CONTROLFILE TO TRACE` before you made a structural change to the database	Edit the output of `ALTER DATABASE BACKUP CONTROLFILE TO TRACE` to reflect the change. For example, if you recently added a data file to the database, then add this data file to the `DATAFILE` clause of the `CREATE CONTROLFILE` statement.

Table 31-2 (Cont.) Options for Creating the Control File

If you...	Then...
Backed up the control file with the `ALTER DATABASE BACKUP CONTROLFILE TO filename` statement (not the `TO TRACE` option)	Use the control file copy to obtain SQL output. Create a temporary database instance, mount the backup control file, and then run `ALTER DATABASE BACKUP CONTROLFILE TO TRACE NORESETLOGS`. If the control file copy predated a recent structural change, then edit the trace option to reflect the change.
Do not have a control file backup in either `TO TRACE` format or `TO filename` format	Execute the `CREATE CONTROLFILE` statement manually (See *Oracle Database SQL Language Reference*).

> **Note:**
>
> If your character set is not the default US7ASCII, then you must specify the character set as an argument to the `CREATE CONTROLFILE` statement. The database character set is written to the alert log at startup. The character set information is also recorded in the `BACKUP CONTROLFILE TO TRACE` output.

To create a control file and recover the database:

1. Start the database in `NOMOUNT` mode. For example, enter:

   ```
   STARTUP NOMOUNT
   ```

2. Create the control file with the `CREATE CONTROLFILE` statement, specifying the `NORESETLOGS` option (See to Table 31-2 for options). The following example assumes that the character set is the default US7ASCII:

   ```
   CREATE CONTROLFILE REUSE DATABASE SALES NORESETLOGS ARCHIVELOG
        MAXLOGFILES 32
        MAXLOGMEMBERS 2
        MAXDATAFILES 32
        MAXINSTANCES 16
        MAXLOGHISTORY 1600
   LOGFILE
        GROUP 1 (
          '/diska/prod/sales/db/log1t1.dbf',
          '/diskb/prod/sales/db/log1t2.dbf'
        )  SIZE 100K,
        GROUP 2 (
          '/diska/prod/sales/db/log2t1.dbf',
          '/diskb/prod/sales/db/log2t2.dbf'
        )  SIZE 100K
   DATAFILE
        '/diska/prod/sales/db/database1.dbf',
        '/diskb/prod/sales/db/filea.dbf';
   ```

   After creating the control file, the instance mounts the database.

3. Recover the database as usual (*without* specifying the `USING BACKUP CONTROLFILE` clause):

```
RECOVER DATABASE
```

4. Open the database after recovery completes (the `RESETLOGS` option is not required):

```
ALTER DATABASE OPEN;
```

5. Immediately back up the control file. The following SQL statement backs up a database's control file to `/backup/control01.dbf`:

```
ALTER DATABASE BACKUP CONTROLFILE TO '/backup/control01.dbf' REUSE;
```

To create a control file for a multitenant container database:

1. Ensure that the multitenant container database (CDB) is not mounted in any instance.
2. Open SQL*Plus.
3. Connect to the root as a user with the `SYSDBA` privilege.
4. Follow the previous procedure for creating a control file and recovering the database.

> **See Also:**
>
> "Backing Up the Control File to a Trace File", and "Re-Creating Data Files When Backups Are Unavailable"

31.3.1 Recovering Through a RESETLOGS with a Created Control File

You can recover backups through an `OPEN RESETLOGS` operation so long as:

- You have a current, backup, or created control file that detects prior incarnations
- You have all available archived redo logs

If you must re-create the control file, then the trace file generated by `ALTER DATABASE BACKUP CONTROLFILE TO TRACE` contains the necessary commands to reconstruct the complete incarnation history. The `V$DATABASE_INCARNATION` view displays the `RESETLOGS` history of the control file, and the `V$LOG_HISTORY` view displays the archived log history.

It is possible for the incarnation history to be incomplete in the in re-created control file. For example, archived logs necessary for recovery may be missing. In this case, it is possible to create incarnation records explicitly with the `ALTER DATABASE REGISTER LOGFILE` statement.

In the following example, you register four logs that are necessary for recovery but are not recorded in the re-created control file, and then recover the database:

```
ALTER DATABASE REGISTER LOGFILE '/disk1/oradata/trgt/arch/arcr_1_1_42343523.arc';
ALTER DATABASE REGISTER LOGFILE '/disk1/oradata/trgt/arch/arcr_1_1_34546466.arc';
ALTER DATABASE REGISTER LOGFILE '/disk1/oradata/trgt/arch/arcr_1_1_23435466.arc';
ALTER DATABASE REGISTER LOGFILE '/disk1/oradata/trgt/arch/arcr_1_1_12343533.arc';
RECOVER AUTOMATIC DATABASE;
```

31.3.2 Recovery of Read-Only Files with a Re-Created Control File

If a current or backup control file is unavailable for recovery, then you can execute a `CREATE CONTROLFILE` statement. Do not list read-only files in the `CREATE CONTROLFILE` statement so recovery can skip them. No recovery is required for read-only data files unless you restored backups of these files when the data files were read/write.

After you create a control file and attempt to mount and open the database, the database performs a data dictionary check against the files listed in the control file. For each file that is not listed in the `CREATE CONTROLFILE` statement but is present in the data dictionary, an entry is created for them in the control file. These files are named as `MISSING`*`nnnnn`*, where *`nnnnn`* is a 5-digit number starting with `0`.

After the database is open, rename the read-only files to their correct file names by executing the `ALTER DATABASE RENAME FILE` statement for all the files whose names are prefixed with `MISSING`.

To prepare for a scenario in which you might have to re-create the control file:

Run the following statement when the database is mounted or open to obtain the `CREATE CONTROLFILE` syntax:

```
ALTER DATABASE BACKUP CONTROLFILE TO TRACE;
```

The preceding SQL statement produces a trace file that you can edit and use as a script to re-create the control file. You can specify either the `RESETLOGS` or `NORESETLOGS` (default) keywords to generate `CREATE CONTROLFILE ... RESETLOGS` or `CREATE CONTROLFILE ... NORESETLOGS` versions of the script.

All the restrictions related to read-only files in `CREATE CONTROLFILE` statements also apply to offline normal tablespaces, except that you must to bring the tablespace online after the database is open. Omit temp files from the `CREATE CONTROLFILE` statement and add them after opening the database.

> **See Also:**
>
> "Backing Up the Control File to a Trace File" to learn how to make trace backups of the control file

31.4 Re-Creating Data Files When Backups Are Unavailable

If a data file is damaged and no backup of the file is available, then you can still recover the data file if:

- All archived log files written after the creation of the original data file are available
- The control file contains the name of the damaged file (that is, the control file is current, or is a backup taken after the damaged data file was added to the database)

> **Note:**
>
> You cannot re-create any of the data files for the `SYSTEM` tablespace by using the `CREATE DATAFILE` clause of the `ALTER DATABASE` statement because the necessary redo is not available.

To re-create a data file for recovery:

1. Create an empty data file to replace a damaged data file that has no corresponding backup. For example, assume that data file `/disk1/oradata/trgt/users01.dbf` is damaged, and no backup is available. The following statement re-creates the original data file (same size) on `disk2`:

   ```
   ALTER DATABASE CREATE DATAFILE '/disk1/oradata/trgt/users01.dbf' AS
                                  '/disk2/users01.dbf';
   ```

   This statement creates an empty file that is the same size as the lost file. The database looks at information in the control file and the data dictionary to obtain size information. The old data file is renamed as the new data file.

2. Perform media recovery on the empty data file. For example, enter:

   ```
   RECOVER DATAFILE '/disk2/users01.dbf'
   ```

3. All archived logs written after the original data file was created must be applied to the new, empty version of the lost data file during recovery.

31.5 Recovering NOLOGGING Tables and Indexes

You can create tables and indexes with the `CREATE TABLE AS SELECT` statement. You can also specify that the database create them with the `NOLOGGING` option. When you create a table or index as `NOLOGGING`, the database does not generate redo log records for the operation. Thus, you cannot recover objects created with `NOLOGGING`, even if you run in `ARCHIVELOG` mode.

> **Note:**
>
> If you cannot afford to lose tables or indexes created with `NOLOGGING`, then make a backup after the unrecoverable table or index is created.

Be aware that when you perform media recovery, and some tables or indexes are created normally whereas others are created with the `NOLOGGING` option, the `NOLOGGING` objects are marked logically corrupt by the `RECOVER` operation. Any attempt to access the unrecoverable objects returns an `ORA-01578` error message. Drop the `NOLOGGING` objects and re-create them if needed.

Because it is possible to create a table with the `NOLOGGING` option and then create an index with the `LOGGING` option on that table, the index is not marked as logically corrupt after you perform media recovery. The table was unrecoverable (and thus marked as corrupt after recovery), however, so the index points to corrupt blocks. The index must be dropped, and the table and index must be re-created if necessary.

> **See Also:**
>
> *Oracle Data Guard Concepts and Administration* for information about the effect of `NOLOGGING` on a database

31.6 Recovering Transportable Tablespaces

The transportable tablespace feature of Oracle Database enables a user to transport a set of tablespaces from one database to another. Transporting a tablespace into a database is like creating a tablespace with loaded data. Using this feature is often an advantage for the following reasons:

- It is faster than using the Data Pump Export or SQL*Loader utilities because it involves only copying data files and integrating metadata
- You can use it to move index data, hence avoiding the necessity of rebuilding indexes

> **See Also:**
>
> *Oracle Database Administrator's Guide* for detailed information about using the transportable tablespace feature

Like normal tablespaces, transportable tablespaces are recoverable. However, even though you can recover normal tablespaces without a backup, you must have a consistent version of the transported data files to recover a transported tablespace.

To recover a transportable tablespace, use the following procedure:

1. If the database is open, then take the transported tablespace offline. For example, if you want to recover the `users` tablespace, then issue the following statement:

   ```
   ALTER TABLESPACE users OFFLINE IMMEDIATE;
   ```

2. Restore a backup of the transported data files with an operating system utility. The backup can be the initial version of the transported data files or any backup taken after the tablespace is transported. For example, enter:

   ```
   % cp /backup/users.dbf $ORACLE_HOME/oradata/trgt/users01.dbf
   ```

3. Recover the tablespace as usual. For example, enter:

   ```
   RECOVER TABLESPACE users
   ```

You may see the error `ORA-01244` when recovering through a transportable tablespace operation just as when recovering through a `CREATE TABLESPACE` operation. In this case, rename the unnamed files to the correct locations using the procedure in "Recovering Through an Added Data File with a Backup Control File".

31.7 Recovering After the Loss of Online Redo Log Files

If a media failure has affected the online redo logs of a database, then the appropriate recovery procedure depends oncertain considerations.

The considerations include the following:

- The configuration of the online redo log: mirrored or non-mirrored
- The type of media failure: temporary or permanent

- The types of online redo log files affected by the media failure: current, active, unarchived, or inactive

The following table displays `V$LOG` status information that can be crucial in a recovery situation involving online redo logs.

Table 31-3 STATUS Column of V$LOG

Status	Description
`UNUSED`	The online redo log has never been written to.
`CURRENT`	The online redo log is active, that is, needed for instance recovery, and it is the log to which the database is currently writing. The redo log can be open or closed.
`ACTIVE`	The online redo log is active, that is, needed for instance recovery, but is not the log to which the database is currently writing. It may be in use for block recovery, and may or may not be archived.
`CLEARING`	The log is being re-created as an empty log after an `ALTER DATABASE CLEAR LOGFILE` statement. After the log is cleared, then the status changes to `UNUSED`.
`CLEARING_CURRENT`	The current log is being cleared of a closed thread. The log can stay in this status if there is some failure in the switch such as an I/O error writing the new log header.
`INACTIVE`	The log is no longer needed for instance recovery. It may be in use for media recovery, and may or may not be archived.

31.7.1 Recovering After Losing a Member of a Multiplexed Online Redo Log Group

You can recover after losing a member of a multiplexed online redo log group. The database continues to function as usual during the following conditions:

If the online redo log of a database is multiplexed, and if at least one member of each online redo log group is not affected by the media failure, then the database continues functioning as usual, but error messages are written to the log writer trace file and the `alert_`*`SID`*`.log` of the database.

You can resolve the problem of a missing member of a multiplexed online redo log group by taking one of the following actions:

- If the hardware problem is temporary, then correct it. The log writer process accesses the previously unavailable online redo log files as if the problem never existed.
- If the hardware problem is permanent, then drop the damaged member and add a new member by using the following procedure.

> **Note:**
>
> The newly added member provides no redundancy until the log group is reused.

1. Locate the file name of the damaged member in `V$LOGFILE`. The status is `INVALID` if the file is inaccessible:

```
SELECT GROUP#, STATUS, MEMBER
FROM V$LOGFILE
WHERE STATUS='INVALID';

GROUP#    STATUS       MEMBER
-------   -----------  ---------------------
0002      INVALID      /disk1/oradata/trgt/redo02.log
```

2. Drop the damaged member. For example, to drop member `redo02.log` from group `2`, issue the following statement:

```
ALTER DATABASE DROP LOGFILE MEMBER '/disk1/oradata/trgt/redo02.log';
```

3. Add a new member to the group. For example, to add `redo02.log` to group `2`, issue the following statement:

```
ALTER DATABASE ADD LOGFILE MEMBER '/disk1/oradata/trgt/redo02b.log'
  TO GROUP 2;
```

   If the file to add already exists, then it must be the same size as the other group members, and you must specify the `REUSE` option. For example:

```
ALTER DATABASE ADD LOGFILE MEMBER '/disk1/oradata/trgt/redo02b.log'
  REUSE TO GROUP 2;
```

31.7.2 Recovering After Losing All Members of an Online Redo Log Group

If a media failure damages all members of an online redo log group, then different scenarios can occur depending on the type of online redo log group affected by the failure and the archiving mode of the database.

If the damaged online redo log group is current and active, then it is needed for crash recovery; otherwise, it is not. Table 31-4 outlines the various recovery scenarios.

Table 31-4 Recovering After the Loss of an Online Redo Log Group

If the Group Is...	Then...	And You Can...
Inactive	It is not needed for crash recovery	Clear the archived or unarchived group.
Active	It is needed for crash recovery	Attempt to issue a checkpoint and clear the log; if impossible, then you must either use Flashback Database or restore a backup and perform incomplete recovery up to the most recent available redo log.

Table 31-4 (Cont.) Recovering After the Loss of an Online Redo Log Group

If the Group Is...	Then...	And You Can...
Current	It is the redo log that the database is currently writing to	Attempt to clear the log; if impossible, then you must either use Flashback Database or restore a backup and perform incomplete recovery up to the most recent available redo log.

To determine whether the damaged group is active or inactive.

1. Locate the file name of the lost redo log in `V$LOGFILE` and then look for the group number corresponding to it. For example, enter:

```
SELECT GROUP#, STATUS, MEMBER FROM V$LOGFILE;

GROUP#    STATUS       MEMBER
-------   -----------  ---------------------
0001                    /oracle/dbs/log1a.f
0001                    /oracle/dbs/log1b.f
0002      INVALID       /oracle/dbs/log2a.f
0002      INVALID       /oracle/dbs/log2b.f
0003                    /oracle/dbs/log3a.f
0003                    /oracle/dbs/log3b.f
```

2. Determine which groups are active.

   For example, execute the following SQL query (sample output included):

```
SELECT GROUP#, MEMBERS, STATUS, ARCHIVED
FROM V$LOG;

GROUP#  MEMBERS           STATUS     ARCHIVED
------  -------           ---------  -----------
 0001   2                 INACTIVE   YES
 0002   2                 ACTIVE     NO
 0003   2                 CURRENT    NO
```

3. Perform one of the following actions:
   - If the affected group is inactive, then follow the procedure in "Losing an Inactive Online Redo Log Group".
   - If the affected group is active (as in the preceding example), then follow the procedure in "Losing an Active Online Redo Log Group ".

31.7.2.1 Losing an Inactive Online Redo Log Group

If all members of an online redo log group with `INACTIVE` status are damaged, then the procedure depends on whether you can fix the media problem that damaged the inactive redo log group. If the failure is temporary, then fix the problem. The log writer can reuse the redo log group when required. If the failure is permanent, then the damaged inactive online redo log group eventually halts normal database operation. Reinitialize the damaged group manually by issuing the `ALTER DATABASE CLEAR LOGFILE` statement as described in this section.

31.7.2.1.1 Clearing Inactive, Archived Redo

You can clear an inactive redo log group when the database is open or closed. The procedure depends on whether the damaged group has been archived.

To clear an inactive, online redo log group that has been archived:

1. If the database is shut down, then start a new instance and mount the database:

   ```
   STARTUP MOUNT
   ```

2. Reinitialize the damaged log group. For example, to clear redo log group `2`, issue the following statement:

   ```
   ALTER DATABASE CLEAR LOGFILE GROUP 2;
   ```

31.7.2.1.2 Clearing Inactive, Unarchived Redo

Clearing a not-yet-archived redo log allows it to be reused without archiving it. This action makes backups unusable if they were started before the last change in the log, unless the file was taken offline before the first change in the log. Hence, if you need the cleared log file for recovery of a backup, then you cannot recover that backup. Clearing a not-yet-archived-redo-log, prevents complete recovery from backups due to the missing log.

To clear an inactive, online redo log group that has not been archived:

1. If the database is shut down, then start a new instance and mount the database:

   ```
   SQL> STARTUP MOUNT
   ```

2. Clear the log using the `UNARCHIVED` keyword.

   For example, to clear log group `2`, issue the following SQL statement:

   ```
   SQL> ALTER DATABASE CLEAR UNARCHIVED LOGFILE GROUP 2;
   ```

   If there is an offline data file that requires the cleared log to bring it online, then the keywords `UNRECOVERABLE DATAFILE` are required. The data file must be dropped because the redo logs necessary to bring the data file online are being cleared, and there is no copy of it. For example, enter:

   ```
   SQL> ALTER DATABASE CLEAR UNARCHIVED LOGFILE GROUP 2 UNRECOVERABLE DATAFILE;
   ```

3. Immediately back up all data files in the database with an operating system utility, so that you have a backup you can use for complete recovery without relying on the cleared log group. For example, enter:

   ```
   % cp /disk1/oracle/dbs/*.dbf /disk2/backup
   ```

4. Back up the database's control file with the `ALTER DATABASE` statement. For example, enter:

   ```
   SQL> ALTER DATABASE BACKUP CONTROLFILE TO '/oracle/dbs/cf_backup.f';
   ```

31.7.2.1.3 Failure of CLEAR LOGFILE Operation

The `ALTER DATABASE CLEAR LOGFILE` statement can fail with an I/O error due to media failure when it is not possible to:

- Relocate the redo log file onto alternative media by re-creating it under the currently configured redo log file name
- Reuse the currently configured log file name to re-create the redo log file because the name itself is invalid or unusable (for example, due to media failure)

In these cases, the `ALTER DATABASE CLEAR LOGFILE` statement (before receiving the I/O error) successfully informs the control file that the log is being cleared and does not require archiving. The I/O error occurs at the step in which the `CLEAR LOGFILE` statement attempts to create the new redo log file and write zeros to it. This fact is reflected in `V$LOG.CLEARING_CURRENT`.

31.7.2.2 Losing an Active Online Redo Log Group

If the database is still running and the lost active redo log is *not* the current log, then issue the `ALTER SYSTEM CHECKPOINT` statement. If the operation is successful, then the active redo log becomes inactive, and you can follow the procedure in "Losing an Inactive Online Redo Log Group". If the operation is unsuccessful, or if your database has halted, then perform one of procedures in this section, depending on the archiving mode.

The current log is the one `LGWR` is currently writing to. If a `LGWR` I/O operation fails, then LGWR terminates and the instance fails. In this case, you must restore a backup, perform incomplete recovery, and open the database with the `RESETLOGS` option.

31.7.2.2.1 Recovering from the Loss of Active Logs in NOARCHIVELOG Mode

In this scenario, the database archiving mode is `NOARCHIVELOG`.

To recover from the loss of an active online log group in NOARCHIVELOG mode:

1. If the media failure is temporary, then correct the problem so that the database can reuse the group when required.
2. Restore the database from a consistent, whole database backup (data files and control files). For example, enter:

   ```
   % cp /disk2/backup/*.dbf $ORACLE_HOME/oradata/trgt/
   ```

3. Mount the database:

   ```
   STARTUP MOUNT
   ```

4. Because online redo logs are not backed up, you cannot restore them with the data files and control files. To allow the database to reset the online redo logs, you must first mimic incomplete recovery:

   ```
   RECOVER DATABASE UNTIL CANCEL
   CANCEL
   ```

5. Open the database using the `RESETLOGS` option:

   ```
   ALTER DATABASE OPEN RESETLOGS;
   ```

6. Shut down the database consistently. For example, enter:

   ```
   SHUTDOWN IMMEDIATE
   ```

7. Make a whole database backup.

If the media failure is temporary, then correct the problem so that the database can reuse the group when required. If the media failure is not temporary, then use the following procedure.

31.7.2.2.2 Recovering from Loss of Active Logs in ARCHIVELOG Mode

In this scenario, the database archiving mode is `ARCHIVELOG`.

To recover from loss of an active online redo log group in ARCHIVELOG mode:

1. Begin incomplete media recovery, recovering up through the log before the damaged log.
2. Ensure that the current name of the lost redo log can be used for a newly created file. If not, then rename the members of the damaged online redo log group to a new location. For example, enter:

```
ALTER DATABASE RENAME FILE "/disk1/oradata/trgt/redo01.log" TO "/tmp/
redo01.log";
ALTER DATABASE RENAME FILE "/disk1/oradata/trgt/redo02.log" TO "/tmp/
redo02.log";
```

3. Open the database using the `RESETLOGS` option:

```
ALTER DATABASE OPEN RESETLOGS;
```

> **Note:**
>
> All updates executed from the end point of the incomplete recovery to the present must be reexecuted.

31.7.2.3 Loss of Multiple Redo Log Groups

If you have lost multiple groups of the online redo log, then use the recovery method for the most difficult log to recover. The order of difficulty, from most difficult to least difficult, is as follows:

1. The current online redo log
2. An active online redo log
3. An unarchived online redo log
4. An inactive online redo log

31.8 Recovering from a Dropped Table Without Using Flashback Features

One common error is the accidental dropping of a table from your database. In general, the fastest and simplest solution is to use the Flashback Drop feature to reverse the dropping of the table. If you cannot use Flashback Table (for example, because Flashback Drop is disabled or the table was dropped with the `PURGE` option), then you can perform the procedure in this section.

In this scenario, assume that you do not have the Flashback Database functionality enabled, so the `FLASHBACK DATABASE` command is not an option. However, you do have physical backups of the database. If possible, keep the database that experienced the user error online and available for use.

> **Note:**
>
> Grant powerful privileges only to appropriate users to minimize user errors that require recovery.

To recover a table that has been accidentally dropped:

1. Back up all data files of the existing database in case an error is made during the remaining steps of this procedure.
2. Restore a partial backup of the database to an alternative location. At minimum, restore the following:
   - `SYSTEM` and `SYSAUX` tablespaces
   - Tablespaces containing undo or rollback segments
   - Self-contained tablespaces that contain the data to be retrieved
3. Perform incomplete recovery of this backup using a restored backup control file, to the point just before the table was dropped.
4. Export the lost data from the temporary, restored version of the database using Data Pump Export. In this case, export the accidentally dropped table.

   > **Note:**
   >
   > System audit options are exported.
5. Use the Data Pump Import utility to import the data back into the production database.
6. Delete the files of the temporary copy of the database to conserve space.

> **See Also:**
>
> *Oracle Database Utilities* for more information about Oracle Data Pump

31.9 Dropping a Database with SQL*Plus

You may need to remove a database, that is, the database files that form the database, from the operating system. For example, this scenario can occur when you create a test database and then no longer have a use for it. The SQL statement `DROP DATABASE` can perform this function.

> **See Also:**
>
> "Dropping a Database" to learn how to use the equivalent RMAN command `DROP DATABASE`

To drop a database with SQL*Plus:

1. After connecting to the database with administrator privileges, ensure that the database is either mounted or open in restricted mode with no users connected.

   For example, enter the following command:

   ```
   SQL> STARTUP RESTRICT FORCE MOUNT
   ```

2. Remove the data files and control files from the operating system.

   For example, enter the following command:

   ```
   SQL> DROP DATABASE; # deletes all database files, both ASM and non-ASM
   ```

   If the database is on raw disk, then the command does not delete the actual raw disk special files.

3. Use an operating system utility to delete all backups and archived logs associated with the database.

   For example, on Linux and UNIX enter the following command:

   ```
   % rm /backup/* /disk1/oradata/trgt/arch/*
   ```

Glossary

active database duplication

A duplicate database that is created over a network without restoring backups of the target database. This technique is an alternative to backup-based duplication.

ancestor incarnation

The parent incarnation is the database incarnation from which the current incarnation branched following an `OPEN RESETLOGS` operation. The parent of the parent incarnation is an ancestor incarnation. Any parent of an ancestor incarnation is also an ancestor incarnation.

application container

A named set of application PDBs that are plugged in to an application root.

application PDB

A PDB that is plugged in to an application container.

application root

The root container within an application container. Every application container has exactly one application root. An application root can contain common objects and is created with the `CREATE PLUGGABLE DATABASE` statement.

archival backup

A database backup that is exempted from the normal backup and recovery strategy. Typically, these backups are archived onto separate storage media and retained for long periods.

archived redo log

A copy of a filled member of an online redo log group made when the database is in `ARCHIVELOG` mode. After the LGWR process fills each online redo log with redo records, the archiver process copies the log to one or more redo log archiving destinations. This copy is the archived redo log. RMAN does not distinguish between an original archived redo log and an image copy of an archived redo log; both are considered image copies.

archived redo log deletion policy

A configurable, persistent RMAN policy that governs when archived redo logs can be deleted. You can configure the policy with the `CONFIGURE ARCHIVELOG DELETION POLICY` command.

archived redo log failover

An RMAN feature that enables RMAN to complete a backup even when some archived log destinations are missing logs or have logs with corrupt blocks. For example, if you back up logs in the fast recovery area that RMAN determines are corrupt, RMAN can search for logs in other archiving locations and back them up instead if they are intact.

ARCHIVELOG mode

The mode of the database in which Oracle Database copies filled online redo logs to disk. Specify the mode at database creation or with the `ALTER DATABASE ARCHIVELOG` statement.

See Also: archived redo log, NOARCHIVELOG mode

archiving

The operation in which a filled online redo log file is copied to an offline log archiving destination. An offline copy of an online redo logs is called an archived redo log. You must run the database in `ARCHIVELOG` mode to archive redo logs.

asynchronous I/O

A server process can begin an I/O and then perform other work while waiting for the I/O to complete while RMAN is either reading or writing data. RMAN can also begin multiple I/O operations before waiting for the first I/O to complete.

automatic channel allocation

The ability of RMAN to perform backup and restore tasks without requiring the use of the `ALLOCATE CHANNNEL` command. You can use the `CONFIGURE` command to specify disk and tape channels. Then, you can issue commands such as `BACKUP` and `RESTORE` at the RMAN command prompt without manually allocating channels. RMAN uses whatever configured channels that it needs to execute the commands.

Automatic Diagnostic Repository (ADR)

A system-managed repository for storing and organizing database trace files and other diagnostic data. ADR provides a comprehensive view of all the serious errors encountered by the database and maintains all relevant data needed for problem diagnostic and their eventual resolution. The repository contains data describing

incidents, traces, dumps, alert messages, data repair records, data integrity check records, SQL trace information, core dumps, and so on.

The initialization parameter `DIAGNOSTIC_DEST` specifies the location of the ADR base, which is the directory that contains one or more ADR homes. Each ADR home is used by a product or a product instance to store diagnostic data in well-defined subdirectories. For example, diagnostic data for an Oracle Database instance is stored in its ADR home, which includes an `alert` subdirectory for alert messages, a `trace` subdirectory for trace files, and so on. The easiest way to locate trace files and the alert log is to run the following SQL query: `SELECT NAME, VALUE FROM V$DIAG_INFO`.

Automatic Storage Management (ASM)

A vertical integration of both the file system and the volume manager built specifically for Oracle Database files. ASM consolidates storage devices into easily managed disk groups and provides benefits such as mirroring and striping without requiring a third-party logical volume manager.

automatic undo management mode

A mode of the database in which undo data is stored in a dedicated undo tablespace. The only undo management that you must perform is the creation of the undo tablespace. All other undo management is performed automatically.

auxiliary channel

An RMAN channel that is connected to an auxiliary instance. An auxiliary channel is specified with the `AUXILIARY` keyword of the `ALLOCATE CHANNEL` or `CONFIGURE CHANNEL` command.

auxiliary database

(1) A database created from target database backups with the RMAN `DUPLICATE` command.

(2) A temporary database that is restored to a new location and then started with a new instance name during tablespace point-in-time recovery (TSPITR). A TSPITR auxiliary database contains the recovery set and auxiliary set.

auxiliary destination

In a transportable tablespace operation, the location on disk where auxiliary set files such as the parameter file, data files (other than those of the tablespaces being transported), control files, and online redo logs of the auxiliary instance can be stored.

auxiliary instance

The Oracle instance associated with an auxiliary database, or the temporary instance used in tablespace point-in-time recovery (TSPITR) or a transportable tablespace operation.

auxiliary set

In TSPITR, the set of files that is not in the recovery set but which must be restored in the auxiliary database for the TSPITR operation to be successful. In a transportable tablespace operation, the auxiliary set includes data files and other files required for the tablespace transport but which are not themselves part of the recovery set.

backup

(1) A backup copy of data, that is, a database, tablespace, table, data file, control file, or archived redo log. Backups can be physical (at the database file level) or logical (at the database object level). Physical backups can be created by using RMAN to back up one or more data files, control files or archived redo log files. You can create logical backups with Data Pump Export.

(2) In an RMAN context, the output of the `BACKUP` command. The output format of a backup can be a backup set, proxy copy, or image copy. Logs archived by the database are considered copies rather than backups.

backup and recovery

The set of concepts, procedures, and strategies involved in protecting the database against data loss due to media failure or users errors.

backup-based duplication

A duplicate database that is created by restoring and recovering backups of the target database. This technique is an alternative to active database duplication.

backup control file

A backup of the control file. You can back up the control file with the RMAN `backup` command or with the SQL statement `ALTER DATABASE BACKUP CONTROLFILE TO '`*`filename`*`'`.

backup encryption

The encryption of backup sets by using an algorithm listed in `V$RMAN_ENCRYPTION_ALGORITHMS`. RMAN can transparently encrypt data written to backup sets and decrypt those backup sets when they are needed in a `RESTORE` operation. RMAN offers three modes of encryption: transparent, password protected, and dual mode.

backup mode

The database mode (also called **hot backup mode**) initiated when you issue the `ALTER TABLESPACE ... BEGIN BACKUP` or `ALTER DATABASE BEGIN BACKUP` command before taking an online backup. You take a tablespace out of backup mode when you issue the `ALTER TABLESPACE ... END BACKUP` or `ALTER DATABASE END BACKUP` command.

When making a user-managed backup of data files in an online tablespace, you must place the tablespace in backup mode to protect against the possibility of a fractured block. In backup mode, updates to the database create more than the usual amount of redo. Each time a block in the buffer cache becomes dirty, the database must write an image of the changed block to the redo log file, in addition to recording the changes to the data. RMAN does *not* require you to put the database in backup mode.

See Also: corrupt block

backup optimization

A configuration enabling RMAN to automatically skip backups of files that it has already backed up. You enable and disable backup optimization with the `CONFIGURE` command.

backup piece

The physical file format used to store an RMAN backup set. Each logical backup set contains one or more physical backup pieces.

backup retention policy

A user-defined policy for determining how long backups and archived logs must be retained for media recovery. You can define a retention policy in terms of backup redundancy or a recovery window. RMAN retains the data file backups required to satisfy the current retention policy, and any archived redo logs required for complete recovery of those data file backups.

backup set

A backup of one or more data files, control files, server parameter files, and archived redo log files. Each backup set consists of one or more binary files. Each binary file is called a backup piece. Backup pieces are written in a proprietary format that can only be created or restored by RMAN.

Backup sets are produced by the RMAN `BACKUP` command. A backup set usually consists of only one backup piece. RMAN divides the contents of a backup set among multiple backup pieces only if you limit the backup piece size using the `MAXPIECESIZE` option of the `ALLOCATE CHANNEL` or `CONFIGURE CHANNEL` command.

See Also: unused block compression, multiplexing, RMAN

backup undo optimization

The exclusion of undo not needed for recovery of an RMAN backup because the it describes and contains already-committed transactions. For example, a user updates the `salaries` table in the `USERS` tablespace. The change is written to the `USERS` tablespace, while the before image of the data is written to the `UNDO` tablespace. A subsequent RMAN backup of the `UNDO`

tablespace may not include the undo for the salary change. Backup undo optimization is built-in RMAN behavior and cannot be disabled.

backup window

A period of time during which a backup activity must complete.

base recovery catalog

The entirety of the recovery catalog schema. The base recovery catalog is distinguished from a virtual private catalog, which is a subset of a recovery catalog.

binary compression

A technique whereby RMAN applies a compression algorithm to data in backup sets.

block change tracking

A database option that causes Oracle Database to track data file blocks affected by each database update. The tracking information is stored in a block change tracking file. When block change tracking is enabled, RMAN uses the record of changed blocks from the change tracking file to improve incremental backup performance by only reading those blocks known to have changed, instead of reading data files in their entirety.

block change tracking file

A binary file used by RMAN to record changed blocks to improve incremental backup performance. You create and rename this file with the `ALTER DATABASE` statement.

block media recovery

The recovery of specified blocks within a data file with the Recovery Manager `RECOVER ... BLOCK` command. Block media recovery leaves the affected data files online and restores and recovers only the damaged or corrupted blocks.

breaking a mirror

The termination of a disk mirroring procedure so that a mirror image is no longer kept up-do-date.

CDB

An Oracle Database installation that contains at least one PDB. A CDB also contains one root and one seed. Every Oracle Database is a CDB.

CDB restore point

An alias for an SCN or a point in time in a multitenant container database (CDB). CDB restore points are accessible to all PDBs within the CDB.

channel

An RMAN channel represents one stream of data to or from a backup device. A channel can either be a `DISK` channel (used to perform disk I/O) or an SBT channel (used to perform I/O through a third-party media management software). Each allocated channel starts a new Oracle Database session. The session then performs backup, restore, and recovery operations.

See Also: target database

channel parallelism

Allocating multiple channels for RMAN operations.

checkpoint

A data structure that defines an SCN in the redo thread of a database. Checkpoints are recorded in the control file and each data file header, and are a crucial element of recovery.

checksum

A number calculated by the database from all the bytes stored in a data or redo block. If the `DB_BLOCK_CHECKSUM` initialization parameter is enabled, then the database calculates the checksum for every data file or online redo log block and stores it in the block header when writing to disk. The database can use the checksum value to check consistency.

circular reuse records

Control file records containing information used by RMAN for backups and recovery operations. These records are arranged in a logical ring. When all available record slots are full, Oracle either expands the control file to make room for a new records or overwrites the oldest record. The `CONTROL_FILE_RECORD_KEEP_TIME` initialization parameter controls how many days records must be kept before they can be overwritten. The default for `CONTROL_FILE_RECORD_KEEP_TIME` is 7 days.

See Also: noncircular reuse records

closed backup

A backup of one or more database files taken while the database is closed. Typically, closed backups are whole database backups. If you closed the database consistently, then all the files in the backup are consistent. Otherwise, the backups are inconsistent.

See Also: consistent shutdown, consistent backup

cold backup

See closed backup

command file

In an RMAN context, a client-side text file containing a sequence of RMAN commands. You can run command files with the `@` or `@@` commands from within RMAN or from the operating system prompt with the `@` or `CMDFILE` parameters.

common user

In a multitenant container database (CDB), a database user that exists with the same identity in the root and in every existing and future PDB.

complete recovery

Recovery of one or more data files that applies all redo generated after the restored backup. Typically, you perform complete media recovery when media failure damages one or more data files or control files. You fully recover the damaged files using all redo generated since the restored backup was taken.

See Also: incomplete recovery

consistent backup

A whole database backup that you can open with the `RESETLOGS` option without performing media recovery. You do not need to apply redo to this backup to make it consistent. Unless you apply the redo generated since the consistent backup was created, however, you lose all transactions since the time of the consistent backup.

You can only take consistent backups after you have performed a consistent shutdown of the database. The database must not be re-opened until the backup has completed.

See Also: fuzzy file, inconsistent backup

consistent shutdown

A database shut down with the `IMMEDIATE`, `TRASACTIONAL`, or `NORMAL` options of the statement. A database shut down cleanly does not require recovery; it is already in a consistent state.

control file autobackup

The automatic backup of the current control file and server parameter file that RMAN makes after backups and, if the database is in `ARCHIVELOG` mode, after structural changes.

The control file autobackup has a default file name that allows RMAN to restore it even if the control file and recovery catalog are lost. You can override the default file name.

convert script

A script generated by the `CONVERT DATABASE` command that you can use to convert data file formats on the destination host.

copy

To back up a bit-for-bit image of an Oracle file (Oracle data files, control files, and archived redo logs) onto disk. You can copy in two ways:

- Using operating system utilities (for example, the UNIX `cp` or `dd`)
- Using the RMAN `BACKUP AS COPY` command

See Also: backup

corrupt block

An Oracle block that is not in a recognized Oracle format, or whose contents are not internally consistent. Typically, corruptions are caused by faulty hardware or operating system problems. Oracle identifies corrupt blocks as either logically corrupt (an Oracle internal error) or media corrupt (the block format is not correct).

You can repair a media corrupt block with block media recovery, or dropping the database object that contains the corrupt block so that its blocks are reused for another object. If media corruption is due to faulty hardware, then neither solution works until the hardware fault is corrected.

crash recovery

The automatic application of online redo records to a database after either a single-instance database crashes or all instances of an Oracle Real Applications Cluster configuration crash. Crash recovery only requires redo from the online logs; archived redo logs are not required.

See Also: recover

cross-platform backup

A backup that is created on the source database and can be restored on the destination database that is running on a different platform than the source platform. Cross-platform backups are used to transport data across platforms.

Cross-platform operations can be performed either on the source database or destination database. However, they are often performed on the destination database because this is the usually the non-production database.

crosscheck

A check to determine whether files on disk or in the media management catalog correspond to the data in the RMAN repository. Because the media management software can mark tapes as expired or unusable, and because files can be deleted from disk or otherwise become corrupted, the RMAN repository can contain outdated information about backups. Run the `CROSSCHECK` command to perform a crosscheck.

See Also: validation

cumulative incremental backup

An incremental backup that backs up all the blocks changed since the most recent backup at level 0. When recovering with cumulative incremental backups, only the most recent cumulative incremental backup must be applied.

See Also: differential incremental backup, incremental backup

current incarnation

The database incarnation in which the database is currently generating redo.

current online redo log

The online redo log file in which the LGWR background process is currently logging redo records.

See Also: redo log, redo log group

data integrity check

An invocation of a checker, which is a diagnostic procedure registered with the Health Monitor.

data repair

The use of media recovery or Oracle Flashback Technology to recover lost or corrupted data.

database area

A location for the Oracle managed data files, control files, and online redo log files. The database area is specified by the `DB_CREATE_FILE_DEST` initialization parameter.

database checkpoint

The thread checkpoint that has the lowest SCN. All changes in all enabled redo threads with SCNs before the database checkpoint SCN are guaranteed to have been written to disk.

See Also: checkpoint, data file checkpoint

database identifier

See DBID

database point-in-time recovery (DBPITR)

The recovery of an entire database to a specified past target time, SCN, or log sequence number.

See Also: incomplete recovery, tablespace point-in-time recovery (TSPITR)

database registration

See registration

data file checkpoint

A data structure that defines an SCN in the redo thread of a database for a particular data file. Every data file has a checkpoint SCN, which you can view in `V$DATAFILE.CHECKPOINT_CHANGE#`. All changes with an SCN lower than this SCN are guaranteed to be in the data file.

data file media recovery

The application of redo records to a restored data file to roll it forward to a more current time. Unless you are doing block media recovery, the data file must be offline while being recovered.

DBID

An internal, uniquely generated number that differentiates databases. Oracle creates this number automatically when you create the database.

destination database

The database into which data from the source database is being transported.

destination host

The computer on which a duplicate database resides.

destination platform

When transporting data across platforms, the platform on which the destination database is running.

differential incremental backup

A type of incremental backup that backs up all blocks that have changed since the most recent backup at level 1 or level 0. For example, in a differential level 1 backup RMAN determines which level 1 or level 0 incremental backup is most recent and then backs up all blocks changed since that backup. Differential backups are the default type of incremental backup. When recovering using differential incremental backups, RMAN must apply all differential incremental level 1 backups since the restored data file backup.

See Also: cumulative incremental backup, incremental backup

direct ancestral path

When multiple `OPEN RESETLOGS` operations have been performed, the incarnation path that includes the parent incarnation of the current database incarnation and each ancestor incarnation of the current incarnation.

disaster recovery

A strategic response to the loss of all data associated with a database installation. For example, a fire may destroy a server in a data center, forcing you to reinstall Oracle Database on a new server and recover the lost database from backups.

disk controller

A hardware component that is responsible for controlling one or more disk drives.

disk group

A collection of disks that are managed as a unit by Automatic Storage Management (ASM). The components of a disk group include disks, files, and allocation units.

disk quota

A user-specified limit to the size of the fast recovery area. When the disk quota is reached, Oracle automatically deletes files that are no longer needed.

duplexed backup set

In RMAN, a duplexed backup set is an RMAN-generated identical copy of a backup set. Each backup piece is in the original backup set is copied, with each copy getting a unique copy number (for example, `0tcm8u2s_1_1` and `0tcm8u2s_1_2`).

duplicate database

A database created from target database backups using the RMAN duplicate command.

See Also: auxiliary database

expired backup

A backup whose status in the RMAN repository is `EXPIRED`, which means that the backup was not found. RMAN marks backups and copies as expired when you run a `CROSSCHECK` command and the files are absent or inaccessible.

export

The extraction of logical data (that is, not physical files) from a database into a binary file using Data Pump Export. You can then use Data Pump Import to import the data into a database.

See Also: logical backup

export dump file

A file created by the Data Pump Export utility. The dump file set is made up of one or more disk files that contain table data, database object metadata, and control information. The files are written in a proprietary, binary format.

fast recovery area

An optional disk location that you can use to store recovery-related files such as control file and online redo log copies, archived redo log files, flashback logs, and RMAN backups. Oracle Database and RMAN manage the files in the fast recovery area automatically. You can specify the disk quota, which is the maximum size of the fast recovery area. Formerly referred to as flash recovery area.

file section

A contiguous range of blocks in a data file. A multisection backup processes a large file in parallel by copying each section to a separate backup piece.

flashback data archive

A historical repository of transactional changes to every record in a table for the duration of the record's lifetime. A flashback data archive enables you to use some logical flashback features to transparently access historical data from far in the past.

flashback database window

The range of SCNs for which there is currently enough flashback log data to support the `FLASHBACK DATABASE` command. The flashback database window cannot extend further back than the earliest SCN in the available flashback logs.

flashback logs

Oracle-generated logs used to perform flashback database operations. By default, flashback logs are stored in the fast recovery area. However, Oracle recommends that you write flashback logs to a faster disk location outside the fast recovery area. Flashback logs are written sequentially and are not archived. They cannot be backed up to disk.

flashback retention target

A user-specified time or SCN that specifies how far into the past you want to be able to perform a flashback of the database.

foreign archived redo log

An archived redo log received by a logical standby database for a LogMiner session. Unlike normal archived logs, foreign archived logs have a different DBID. For this reason, they cannot be backed up or restored on a logical standby database.

foreign data file

A data file that does not belong to the target database, but is being plugged into the target database during a tablespace transport operation.

foreign data file copy

A data file that was created when a cross-platform backup is restored in the destination database. It cannot be directly plugged in to the destination database because it is inconsistent.

foreign tablespace

A set of foreign data files that comprise a tablespace in the source database. These foreign data files do not belong to the target database, but are being transported into the target database from the source database.

fractured block

A block in which the header and footer are not consistent at a given SCN. In a user-managed backup, an operating system utility can back up a data file at the same time that DBWR is updating the file. It is possible for the operating system utility to read a block in a half-updated state, so that the block that is copied to the backup media is updated in its first half, while the second half contains older data. In this case, the block is fractured.

For non-RMAN backups, the `ALTER TABLESPACE ... BEGIN BACKUP` or `ALTER DATABASE BEGIN BACKUP` command is the solution for the fractured block problem. When a tablespace is in backup mode, and a change is made to a data block, the database logs a copy of the entire block image before the change so that the database can reconstruct this block if media recovery finds that this block was fractured.

full backup

A non-incremental RMAN backup. The word "full" does not refer to how much of the database is backed up, but to the fact that the backup is not incremental. Consequently, you can make a full backup of one data file.

full resynchronization

An RMAN operation that updates the recovery catalog with all changed metadata in the database's control file. You can initiate a full catalog resynchronization by issuing the RMAN command `RESYNC CATALOG`. (It is rarely necessary to use `RESYNC CATALOG` because RMAN automatically performs resynchronizations when needed.)

fuzzy file

A data file that contains at least one block with an SCN greater than or equal to the checkpoint SCN in the data file header. Fuzzy files are possible because database writer does not update the SCN in the file header with each file block write. For example, this situation occurs when Oracle updates a data file that is in backup mode. A fuzzy file that is restored always requires media recovery.

guaranteed restore point

A restore point for which the database is guaranteed to retain the flashback logs for an Oracle Flashback Database operation. Unlike a normal restore point, a guaranteed restore point does not age out of the control file and must be explicitly dropped. Guaranteed restore points use space in the fast recovery area, which must be defined.

hot backup

See online backup

hot backup mode

See backup mode

image copy

A bit-for-bit copy of a single data file, archived redo log file, or control file that is:

- Usable as-is to perform recovery (unlike a backup set, which uses unused block compression and is in an RMAN-specific format)
- Generated with the RMAN `BACKUP AS COPY` command, an operating system command such as the UNIX `cp`, or by the Oracle archiver process

incarnation

A separate version of a database. The incarnation of the database changes when you open it with the `RESETLOGS` option, but you can recover backups from a prior incarnation so long as the necessary redo is available.

incomplete recovery

A synonym for database point-in-time recovery (DBPITR).

See Also: complete recovery, media recovery, recover

inconsistent backup

A backup in which some files in the backup contain changes that were made after the files were checkpointed. This type of backup needs recovery before it can be made consistent. Inconsistent backups are usually created by taking online database backups. You can also make an inconsistent backup by backing up data files while a database is closed, either:

- Immediately after the crash of an Oracle instance (or, in an Oracle RAC configuration, all instances)
- After shutting down the database using `SHUTDOWN ABORT`

Inconsistent backups are only useful if the database is in `ARCHIVELOG` mode and all archived redo logs created since the backup are available.

See Also: consistent backup, online backup, system change number (SCN), whole database backup

incremental backup

An RMAN backup in which only modified blocks are backed up. Incremental backups are classified by **level**. A level 0 incremental backup performs the same function as a full backup in that they both back up all blocks that have ever been used. The difference is that a full backup does not affect blocks backed up by subsequent

incremental backups, whereas an incremental backup affects blocks backed up by subsequent incremental backups.

Incremental backups at level 1 back up only blocks that have changed since previous incremental backups. Blocks that have not changed are not backed up. An incremental backup can be either a differential incremental backup or a cumulative incremental backup.

incremental forever

After a full backup, only incremental backups are stored. This allows for faster recovery because the current image copy of the database is readily available.

incrementally updated backup

An RMAN data file copy that is updated by an incremental backup. An effective backup strategy is to copy a data file, make an incremental backup, and then merge the incremental backup into the image copy. This strategy reduces the time required for media recovery because the image copy is updated with the latest data block changes.

instance failure

The termination of an Oracle instance due to a hardware failure, Oracle internal error, or `SHUTDOWN ABORT` statement. Crash or instance recovery is always required after an instance failure.

instance recovery

In an Oracle RAC configuration, the application of redo data to an open database by an instance when this instance discovers that another instance has crashed.

See Also: recover

interblock corruption

A type of block corruption in which the corruption occurs between blocks rather than within the block itself. This type of corruption can only be logical corruption.

intrablock corruption

A type of block corruption in which the corruption occurs within the block itself. This type of corruption can be either a physical corruption or logical corruption.

level 0 incremental backup

An RMAN incremental backup that backs up all data blocks in the data files being backed up. An incremental backup at level 0 is identical in content to a full backup, but unlike a full backup the level 0 backup is considered a part of the incremental backup strategy.

level of multiplexing

The number of input files simultaneously read and then written into the same RMAN backup piece.

local user

In a multitenant container database (CDB), any user that is not a common user. A local user exists in exactly one PDB.

LogMiner

A utility that enables log files to be read, analyzed, and interpreted by SQL statements.

See Also: archived redo log

log sequence number

A number that uniquely identifies a set of redo records in a redo log file. When Oracle fills one online redo log file and switches to a different one, Oracle automatically assigns the new file a log sequence number.

See Also: log switch, redo log

log switch

The point at which LGWR stops writing to the active redo log file and switches to the next available redo log file. LGWR switches when either the active log file is filled with redo records or you force a switch manually.

See Also: redo log

logical backup

A backup of database schema objects, such as tables. Logical backups are created and restored with the Oracle Data Pump Export utility. You can restore objects from logical backups using the Data Pump Import utility.

logical corruption

A type of corruption in which the block has a valid checksum, the header and footer match, and so on, but the contents are logically inconsistent.

logical flashback features

The set of Oracle Flashback Technology features other than Oracle Flashback Database. The logical features enable you to view or rewind individual database objects or transactions to a past time.

long-term backup

A backup that you want to exclude from a backup retention policy, but want to record in the recovery catalog. Typically, long-term backups are snapshots of the database that you may want to use in the future for report generation.

lost write

A write to persistent storage that the database believes has occurred based on information from the I/O subsystem, when in fact the write has not occurred.

mean time to recover (MTTR)

The time required to perform recovery.

media failure

Damage to the disks containing any of the files used by Oracle, such as the data files, archived redo log files, or control file. When Oracle detects media failure, it takes the affected files offline.

See Also: media recovery

media management catalog

A catalog of records maintained by a media management software. This catalog is completely independent from the RMAN recovery catalog. The Oracle Secure Backup catalog is an example of a media management catalog.

media management library

A software library that RMAN can use to back up to tertiary storage. An SBT interface conforms to a published API and is supplied by a media management vendor. Oracle Secure Backup includes an SBT interface for use with RMAN.

media management software

An Oracle or third-party software library that integrates with Recovery Manager so that database backups can be written directly to SBT devices.

media manager multiplexing

Multiplexing in which the media management software rather than RMAN manages the mixing of blocks during an RMAN backup. One type of media manager multiplexing occurs when the media manager writes the concurrent output from multiple RMAN channels to a single sequential device. Another type occurs when a backup mixes database files and non-database files on the same tape.

media recovery

The application of redo or incremental backups to a restored backup data file or individual data block.

When performing media recovery, you can recover a database, tablespace, data file, or set of blocks within a data file. Media recovery can be either complete recovery (in which all changes in the redo logs are applied) or incomplete recovery (in which only changes up to a specified point in time are applied). Media recovery is only possible when the database is in `ARCHIVELOG` mode.

See Also: block media recovery, recover

mirroring

Maintaining identical copies of data on one or more disks. Typically, mirroring is performed on duplicate hard disks at the operating system level, so that if one disk is unavailable, the other disk can continue to service requests without interruptions. When mirroring files, Oracle Database writes once while the operating system writes to multiple disks. When multiplexing files, Oracle Database writes the same data to multiple files.

MTTR

See mean time to recover (MTTR)

multiplexed backup set

A backup set that contains blocks from multiple input files. For example, you could multiplex 10 data files into one backup set. Only whole files, never partial files, are included in a backup set.

multiplexing

The meaning of the term depends on which files are multiplexed.

Online redo logs: The automated maintenance of multiple identical copies of the online redo log.

Control file: The automated maintenance of multiple identical copies of a database control file.

Backup set: The RMAN technique of reading database files *simultaneously* from the disks and then writing the blocks to the *same* backup piece.

Archived redo logs: The Oracle archiver process can archive multiple copies of a redo log.

See Also: mirroring

multisection backup

An RMAN backup set in which each backup piece contains a file section, which is a contiguous range of blocks in a data file. A multisection backup set contains multiple backup pieces, but a backup set never contains only a part of a data file.

You create a multisection backup by specifying the `SECTION SIZE` parameter on the `BACKUP` command. An RMAN channel can process each file section independently, either serially or in parallel. Thus, in a multisection backup, multiple channels can back up a single file.

multitenant architecture

The architecture that enables an Oracle database to function as a multitenant container database (CDB).

multitenant container database (CDB)

See CDB

native transfer rate

In a tape drive, the speed of writing to a tape without compression. This speed represents the upper limit of the backup rate.

NOARCHIVELOG mode

The mode of the database in which Oracle does not require filled online redo logs to be archived before they can be overwritten. Specify the mode at database creation or change it with the `ALTER DATABASE NOARCHIVELOG` command.

If you run in `NOARCHIVELOG` mode, it severely limits the possibilities for recovery of lost or damaged data.

See Also: archived redo log, ARCHIVELOG mode

noncircular reuse records

Control file records containing critical information needed by the Oracle database. These records are never automatically overwritten. Some examples of information in noncircular reuse records include the locations of data files and online redo logs.

See Also: circular reuse records

normal restore point

A label for an SCN or time. For commands that support an SCN or time, you can often specify a restore point. Normal restore points exist in the circular list and can be overwritten in the control file. However, if the restore point pertains to an archival backup, then it is preserved in the recovery catalog.

obsolete backup

A backup that is not needed to satisfy the current backup retention policy. For example, if your retention policy dictates that you must maintain one backup of each data file, but you have two backups of data file `1`, then the second backup of data file `1` is considered obsolete.

offline normal

A tablespace is offline normal when taken offline with the `ALTER TABLESPACE ... OFFLINE NORMAL` statement. The data files in the tablespace are checkpointed and do not require recovery before being brought online. If a tablespace is not taken offline normal, then its data files must be recovered before being brought online.

offsite backup

An SBT backup that requires retrieval by the media management software before RMAN can restore it. You can list offsite backups with `RESTORE ... PREVIEW`.

online backup

A backup of one or more data files taken while a database is open and the data files are online.

online redo log

The online redo log is a set of two or more files that record all changes made to the database. Whenever a change is made to the database, Oracle generates a redo record in the redo buffer. The LGWR process writes the contents of the redo buffer into the online redo log.

The current online redo log is the one being written to by LGWR. When LGWR gets to the end of the file, it performs a log switch and begins writing to a new log file. If you run the database in `ARCHIVELOG` mode, then each filled online redo log file must be copied to one or more archiving locations before LGWR can overwrite them.

See Also: archived redo log

online redo log group

The Oracle online redo log consists of two or more online redo log groups. Each group contains one or more identical online redo log members. An online redo log member is a physical file containing the redo records.

online redo log member

A physical online redo log file within an online redo log group. Each log group must have one or more members. Each member of a group is identical.

operating system backup

See user-managed backup

operating system backup and recovery

See user-managed backup and recovery

Oracle Enterprise Manager Cloud Control

The primary product for managing your database is Oracle Enterprise Manager Cloud Control (Cloud Control), a Web-based interface. After you have installed the Oracle Database software, created or upgraded a database, and configured the network, you can use Cloud Control to manage your database. Cloud Control also provides an interface for performance advisors and for Oracle utilities such as SQL*Loader and Recovery Manager (RMAN).

Oracle Flashback Database

The return of the whole database to a prior consistent SCN by the `FLASHBACK DATABASE` command in RMAN or SQL. A database flashback is different from traditional media recovery because it does not involve the restore of physical files, instead restoring your current data files to past states using saved images of changed data blocks. This feature uses flashback logs and archived redo logs.

Oracle Flashback Technology

A set of Oracle Database features that provide an additional layer of data protection. These features include Oracle Flashback Query, Oracle Flashback Version Query, Oracle Flashback Transaction Query, Oracle Flashback Transaction, Oracle Flashback Table, Oracle Flashback Drop, and Oracle Flashback Database.

You can use flashback features to view past states of data and rewind parts or all of your database. In general, flashback features are more efficient and less disruptive than media recovery in most situations in which they apply.

Oracle managed file

A database file managed by the Oracle Managed Files feature.

Oracle Managed Files (OMF)

A service that automates naming, location, creation, and deletion of database files such as control files, redo log files, data files and others, based on a few initialization parameters. You can use Oracle managed files on top of a traditional file system supported by the host operating system, for example, VxFS or ODM. It can simplify many aspects of the database administration by eliminating the need to devise your own policies for such details.

Oracle Secure Backup

An Oracle media manager that supplies reliable data protection through file system backup to tape. The Oracle Secure Backup SBT interface also enables you to use RMAN to back up Oracle databases. All major tape drives and tape libraries in SAN, Gigabit Ethernet, and SCSI environments are supported.

Oracle-suggested backup strategy

A backup strategy available through a wizard in Oracle Enterprise Manager. The strategy involves periodically applying a level 1 incremental backup to a level 0 backup to create an incremental forever. If run daily, this strategy provides 24 hour point-in-time recovery from disk.

Oracle VSS writer

A service on Windows systems that acts as coordinator between an Oracle Database instance and other Volume Shadow Copy Service (VSS) components, enabling data providers to create a shadow copy of files managed by the Oracle instance. For example, the Oracle VSS writer can place data files in hot backup mode to provide a recoverable copy of these data files in a shadow copy set.

orphaned backups

Backups that were not made in the direct ancestral path of the current incarnation of the database. Orphaned backups cannot be used in the current incarnation.

parallel recovery

A form of recovery in which several processes simultaneously apply changes from redo log files. The `RECOVERY_PARALLELISM` initialization parameter determines the level of parallelism for instance and crash recovery. You can use the `PARALLEL` and `NOPARALLEL` options of the `RECOVER` command to control parallelism for media recovery.Oracle Database automatically chooses the optimum degree of recovery parallelism. Usually, manually setting the level of parallelism for instance, crash, or media recovery is not recommended or necessary.

parent incarnation

The database incarnation from which the current incarnation branched following an `OPEN RESETLOGS` operation.

partial resynchronization

A type of resynchronization in which RMAN transfers data about archived logs, backup sets, and data file copies from the target control file to the recovery catalog.

password file

A file created by the `ORAPWD` command, and required if you want to connect using the `SYSDBA` or `SYSBACKUP` privilege over a network. For details on password files, see the *Oracle Database Administrator's Guide*.

PDB

In a multitenant container database (CDB), a portable collection of schemas, schema objects, and nonschema objects that appears to an application as a separate database.

PDB restore point

An alias for an SCN or a point in time in a particular pluggable database (PDB). A PDB restore point is applicable only to the PDB in which it is defined and cannot be used to perform operations either on other PDBs or the CDB.

physical backup

A backup of physical files. A physical backup contrasts with a logical backup such as a table export.

physical corruption

A type of corruption in which the database does not recognize a corrupt block. The database may not recognize the block because the checksum is invalid, the block contains all zeros, or the header and footer of the block do not match.

physical schema

The data files, control files, and redo logs in a database at a given time. Issue the RMAN `REPORT SCHEMA` command to obtain a list of tablespaces and data files.

physical standby database

A copy of a production database that you can use for disaster protection.

pluggable database (PDB)

See PDB

point-in-time recovery

The incomplete recovery of database files to a noncurrent time. Point-in-time recovery is also known as incomplete recovery.

See Also: media recovery, recover

problem

A critical error in the database that is recorded in the Automatic Diagnostic Repository (ADR). Critical errors include internal errors and other severe errors. Each problem has a problem key, which is a set of attributes that describe the problem. The problem key includes the ORA error number, error parameter values, and other information.

proxy copy

A backup in which the media management software manages the transfer of data between the media storage device and disk during RMAN backup and restore operations.

raw device

A disk or partition without a file system. Thus, you cannot use `ls`, Windows Explorer, and so on to view their contents. The raw partition appears to Oracle Database as a single file.

recover

To recover a database file or a database is typically to perform media recovery, crash recovery, or instance recovery. This term can also be used generically to refer to reconstructing or re-creating lost data by any means.

See Also: complete recovery, incomplete recovery

recovery

When used to refer to a database file or a database, the application of redo data or incremental backups to database files to reconstruct lost changes. The three types of recovery are instance recovery, crash recovery, and media recovery. Oracle Database performs the first two types of recovery automatically using online redo records; only media recovery requires you to restore a backup and issue commands.

See Also: complete recovery, incomplete recovery

recovery catalog

A set of Oracle tables and views used by RMAN to store RMAN repository information about one or more Oracle databases. RMAN uses this metadata to manage the backup, restore, and recovery of Oracle databases.

Use of a recovery catalog is optional although it is highly recommended. For example, starting with Oracle Database 11g, a single recovery catalog schema can keep track of database file names for all databases in a Data Guard environment. This catalog schema also keeps track of where the online redo logs, standby redo logs, temp files, archived redo logs, backup sets, and image copies are created for all databases.

The primary storage for RMAN repository information for a database is always in the control file of the database. A recovery catalog is periodically updated with RMAN

repository data from the control file. For the loss of a control file, the recovery catalog can provide most or all of the lost metadata required for restore and recovery of the database. The recovery catalog can also store records of archival backups and RMAN stored scripts for use with target databases.

See Also: recovery catalog database

recovery catalog database

An Oracle Database that contains a recovery catalog schema.

recovery catalog schema

The recovery catalog database schema that contains the recovery catalog tables and views.

Recovery Manager (RMAN)

The primary utility for physical backup and recovery of Oracle databases. RMAN keeps records of Oracle databases in its own structure called an RMAN repository, manages storage of backups, validates backups. You can use it with or without the central information repository called a recovery catalog. If you do not use a recovery catalog, then RMAN uses the database's control file to store information necessary for backup and recovery operations. You can use RMAN with third-party media management software to back up files to tertiary storage.

See Also: backup piece, backup set, copy, media management software, recovery catalog

recovery set

One or more tablespaces that are being recovered to an earlier point in time during tablespace point-in-time recovery (TSPITR). After TSPITR, all database objects in the recovery set have been recovered to the same point in time.

See Also: auxiliary set

recovery window

A recovery window is one type of RMAN backup retention policy, in which the DBA specifies a period of time and RMAN ensures retention of backups and archived redo logs required for point-in-time recovery to any time during the recovery window. The interval always ends with the current time and extends back in time for the number of days specified by the user.

For example, if the retention policy is set for a recovery window of seven days, and the current time is 11:00 AM on Tuesday, RMAN retains the backups required to allow point-in-time recovery back to 11:00 AM on the previous Tuesday.

recycle bin

A data dictionary table containing information about dropped objects. Dropped tables and any associated objects such as indexes, constraints, nested tables, and so on are not removed

and still occupy space. The Flashback Drop feature uses the recycle bin to retrieve dropped objects.

redo log

A redo log can be either an online redo log or an archived redo log. The online redo log is a set of two or more redo log groups that records all changes made to Oracle data files and control files. An archived redo log is a copy of an online redo log that has been written to an offline destination.

redo log group

Each online redo log member (which corresponds to an online redo log file) belongs to a redo log group. Redo log groups contain one or more members. A redo log group with multiple members is called a multiplexed redo log group. The contents of all members of a redo log group are identical.

redo thread

The redo generated by an instance. If the database runs in a single instance configuration, then the database has only one thread of redo.

redundancy

In a retention policy, the setting that determines many copies of each backed-up file to keep. A redundancy-based retention policy is contrasted with retention policy that uses a recovery window.

redundancy set

A set of backups enabling you to recover from the failure or loss of any Oracle Database file.

registration

In RMAN, the execution of a `REGISTER DATABASE` command to record the existence of a target database in the recovery catalog. A target database is uniquely identified in the catalog by its DBID. You can register multiple databases in the same catalog, and also register the same database in multiple catalogs.

RESETLOGS

A technique for opening a database that archives any current online redo logs (if using `ARCHIVELOG` mode), resets the log sequence number to 1, and clears the online redo logs. An `ALTER DATABASE OPEN RESETLOGS` statement begins a new database incarnation. The starting SCN for the new incarnation, sometimes called the `RESETLOGS` SCN, is the incomplete recovery SCN of the media recovery preceding the `OPEN RESETLOGS`, plus one.

An `ALTER DATABASE OPEN RESETLOGS` statement is required after incomplete recovery or recovery with a backup control file. An `OPEN RESETLOGS` operation does not affect the recoverability of the database. Backups from before the `OPEN RESETLOGS` operation remain valid and can be used along with backups taken after the `OPEN RESETLOGS` operation to repair any damage to the database.

resilver a split mirror

The process of making the contents of a split mirror identical with the contents of the storage devices from which the mirror was split. The operating system or the hardware managing the mirror refreshes a broken mirror from the half that is up-to-date and then maintains both sides of the mirror.

restartable backup

The feature that enables RMAN to back up only those files that have not been backed up since a specified date. The unit of restartability is last completed backup set or image copy. You can use this feature after a backup fails to back up the parts of the database missed by the failed backup.

restore

The replacement of a lost or damaged file with a backup. You can restore files either with commands such as UNIX `cp` or the RMAN `RESTORE` command.

restore failover

The automatic search by RMAN for usable backups in a restore operation if a corrupted or inaccessible backup is found.

restore optimization

The default behavior in which RMAN avoids restoring data files from backup when possible.

restore point

A user-defined a name associated with an SCN of the database corresponding to the time of the creation of the restore point. A restore point can be a guaranteed restore point or a normal restore point.

resynchronization

The operation that updates the recovery catalog with current metadata from the target database control file. You can initiate a full resynchronization of the catalog by issuing a `RESYNC CATALOG` command. A partial resynchronization transfers information to the recovery

catalog about archived redo log files, backup sets, and data file copies. RMAN resynchronizes the recovery catalog automatically when needed.

retention policy

See backup retention policy

reverse resynchronization

In a Data Guard environment, the updating of a primary or standby database control file with metadata obtained from the recovery catalog. For example, if you configure persistent RMAN settings for a standby database that is not the connected target database, then RMAN performs a reverse resynchronization the next time RMAN connects as target to the standby database. In this way, the recovery catalog keeps the metadata in the control files in a Data Guard environment up to date.

RMAN

See Recovery Manager (RMAN)

RMAN backup job

The set of `BACKUP` commands executed within a single RMAN session. For example, assume that you start the RMAN client, execute `BACKUP DATABASE`, `BACKUP ARCHIVELOG`, and `RECOVER COPY`, and then exit the RMAN client. The RMAN backup job consists of the database backup and the archived redo log backup.

RMAN client

An Oracle Database executable that interprets commands, directs server sessions to execute those commands, and records its activity in the target database control file. The RMAN executable is automatically installed with the database and is typically located in the same directory as the other database executables. For example, the RMAN client on Linux is named `rman` and is located in `$ORACLE_HOME/bin`.

RMAN job

The set of RMAN commands executed in an RMAN session. For example, assume that you start the RMAN client, execute `BACKUP DATABASE`, `BACKUP ARCHIVELOG`, and `RECOVER COPY`, and then exit the RMAN client. The RMAN job consists of the two backups and the roll forward of the data file copy.

RMAN maintenance commands

Commands that you can use to manage RMAN metadata records and backups. The maintenance commands are `CATALOG`, `CHANGE`, `CROSSCHECK`, and `DELETE`.

RMAN repository

The record of RMAN metadata about backup and recovery operations on the target database. The authoritative copy of the RMAN repository is always stored in the control file of the target database. A recovery catalog can also be used for longer-term storage of the RMAN repository, and can serve as an alternate source of RMAN repository data if the control file of your database is lost.

See Also: recovery catalog database, resynchronization

RMAN session

An RMAN session begins when the RMAN client is started and ends when you exit from the client or the RMAN process is terminated. Multiple RMAN commands can be executed in a single RMAN session.

rollback segments

Database segments that record the before-images of changes to the database.

rolling back

The use of rollback segments to undo uncommitted changes applied to the database during the rolling forward stage of recovery.

rolling forward

The application of redo records or incremental backups to data files and control files to recover changes to those files.

See Also: rolling back

root

In a multitenant container database (CDB), a system-supplied set of schemas, schema objects, and non-schema objects that functions as the parent for PDBs. Every CDB has only one root and all PDBs belong to the root.

RUN block

A series of RMAN commands that are executed sequentially.

SBT

System Backup to Tape. This term specifies a nondisk backup device type, typically a tape library or tape drive. RMAN supports channels of type disk and SBT.

shadow copy

In the Volume Shadow Copy Service (VSS) infrastructure on Windows, a consistent snapshot of a component or volume.

snapshot control file

A copy of a database control file created in an operating system-specific location by Recovery Manager. RMAN creates the snapshot control file so that it has a consistent version of a control file to use when either resynchronizing the recovery catalog or backing up the control file.

source database

The database that you are copying when you create a duplicate database. When transporting data to another platform, the source database is the database that contains the data that is to be transported.

source host

The host on which a source database resides.

source platform

When transporting data, the platform on which the source database is running. The source database contains the data to be transported to a destination database running on a different platform.

sparse backup

An RMAN backup that backs up one or more sparse data files. For each sparse data file, a full backup of the shadow file (not the base file) is created.

sparse database

A database that contains one or more sparse data files.

sparse data file

A logical Oracle object that is created as the shadow of a base data file. The base data file is read-only. The sparse data file is read-write and contains the updates made to the base data file.

split mirror backup

A backup of database files that were previously mirrored. Some third-party tools enable you to use mirroring a set of disks or logical devices, that is, maintain an exact duplicate of the primary data in another location. Splitting a mirror involves separating the file copies so that you can use them independently. With the `ALTER SYSTEM`

SUSPEND/RESUME database feature, you can suspend I/O to the database, split the mirror, and make a backup of the split mirror.

stored script

A sequence of RMAN commands stored in the recovery catalog. Stored scripts can be global or local. Global scripts can be shared by all databases registered in the recovery catalog.

synchronous I/O

A server process can perform only one task at a time while RMAN is either reading or writing data.

system change number (SCN)

A stamp that defines a committed version of a database at a point in time. Oracle assigns every committed transaction a unique SCN.

tablespace destination

In a transportable tablespace operation, the location on disk which (by default) contains the data file copies and other output files when the tablespace transport command completes.

tablespace point-in-time recovery (TSPITR)

The recovery of one or more non-SYSTEM tablespaces to a noncurrent time. You use RMAN to perform TSPITR.

tag

Identifier for an RMAN backup. If you generate a backup set, then the tag is assigned to each backup piece rather than to the backup set. If you do not specify a tag for a backup, then RMAN assigns one automatically.

target database

In an RMAN environment, the database to which you are connected as TARGET. The target database is the database on which you are performing RMAN operations.

target host

The computer on which a target database resides.

target instance

In an RMAN environment, the instance associated with a target database.

temp file

A file that belongs to a temporary tablespace and is created with the `TEMPFILE` option. Temporary tablespaces cannot contain permanent database objects such as tables, and are typically used for sorting. Because temp files cannot contain permanent objects, RMAN does not back them up. RMAN does keep track of the locations of temp files in the control file, however, and during recovery re-creates the temp files as needed at those locations.

transport script

A script generated by the `CONVERT DATABASE` command. This script contains SQL statements used to create the new database on the destination platform.

transportable tablespace

A feature that transports a set of tablespaces from one database to another, or from one database to itself. Transporting a tablespace into a database is like creating a tablespace with loaded data.

transportable tablespace set

Data files for the set of tablespaces in a transportable tablespace operation, and an export file containing metadata for the set of tablespaces. You use Data Pump Export to perform the export of metadata.

trial recovery

A simulated recovery initiated with the `RECOVER ... TEST` command in RMAN or SQL*Plus. A trial recovery applies redo in a way similar to normal media recovery, but it never writes its changes to disk and it always rolls back its changes. Trial recovery occurs only in memory.

undo retention period

The minimum amount of time that Oracle Database attempts to retain old undo data in the undo tablespace before overwriting it. Old (committed) undo data that is older than the current undo retention period is said to be expired. Old undo data with an age that is less than the current undo retention period is said to be unexpired.

undo tablespace

A dedicated tablespace that stores only undo information when the database is run in automatic undo management mode.

unused block compression

A feature by which RMAN reduces the size of data file backup sets by skipping data blocks. RMAN always skips blocks that have never been used. Under certain

conditions, which are described in the `BACKUP AS BACKUPSET` entry in *Oracle Database Backup and Recovery Reference*, RMAN also skips previously used blocks that are not currently being used.

user-managed backup

A backups made using a non-RMAN method, for example, using an operating system utility. For example, you can make a user-managed backup by running the `cp` command on Linux or the `COPY` command on Windows. A user-managed backup is also called an operating system backup.

user-managed backup and recovery

A backup and recovery strategy for an Oracle Database that does not use RMAN. This term is equivalent to operating system backup and recovery. You can back up and restore database files using operating system utilities (for example, the `cp` command in UNIX), and recover using the SQL*Plus `RECOVER` command.

validation

In an RMAN context, a test that checks database files for block corruption or checks a backup set to determine whether it can be restored. RMAN can check for both physical and logical block corruption.

virtual private catalog

A subset of the metadata in a base recovery catalog to which a database user is granted access. The owner of a base recovery catalog can grant or revoke restricted access to the recovery catalog to other database users. Each restricted user has full read/write access to their own virtual private catalog.

Volume Shadow Copy Service (VSS)

An infrastructure on Windows server platforms that enables requestors, writers, and providers to participate in the creation of a consistent snapshot called a shadow copy. The VSS service uses well-defined COM interfaces. See *Oracle Database Platform Guide for Microsoft Windows* to learn how to use RMAN with VSS.

whole database backup

A backup of the control file and all data files that belong to a database.

Zero Data Loss Recovery Appliance (Recovery Appliance)

An enterprise-level, cloud-scale Engineered System, that provides a single, centralized repository for backups of all your Oracle Databases. You can use RMAN commands to backup your target databases to Recovery Appliance.

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