

# Upload Format User Guide

Oracle FLEXCUBE Investor Servicing  
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# 1. About This Manual

## 1.1 Introduction

Welcome to Oracle FLEXCUBE Investor Servicing™, a comprehensive mutual funds automation software from Oracle Financial Servicing Software Ltd. ©.

This Oracle FLEXCUBE Investor Servicing User Manual helps you use the system to achieve optimum automation of all your mutual fund investor servicing processes. It contains guidelines for specific tasks, descriptions of various features and processes in the system and general information.

## 1.2 Related Documents

The User Manual is organized in to various parts, each discussing a component of the Oracle FLEXCUBE Investor Servicing system.

## 1.3 Audience

This Fund Manager User Manual is intended for the Fund Administrator users and system operators in the AMC.

## 1.4 Organization

This volume of the Fund Manager User manual is organized under the following chapter sequence:

| Chapter   | Description   |
|-----------|---|
| Chapter 1 | <i>About This Manual</i> explains the structure, audience, organization, and related documents of this manual.  |
| Chapter 2 | <i>Upload Format – Appendix - Upload File Formats A</i> explains various upload file formats for external files containing the information that is to be imported from the bulk client. |
| Chapter 3 | <i>Upload Format – Appendix - Upload File Formats B</i> explains segment identification numbers, their descriptions, whether the segment is mandatory or optional etc.                  |

## 1.5 Conventions Used in this Manual

Before you begin using this User Manual, it is important to understand the typographical conventions used in it.



## 1.5.1 General Conventions





| Convention         | Type of Information   |
|--------------------|---|
| <i>Italic type</i> | Functional /foreign terms<br>Validations for fields on a screen<br>References to related Headings/Users Manuals<br>For emphasis |
| Numbered Bullet    | Step by step procedures   |

## 1.5.2 Keyboard Conventions

| Convention    | Type of Information   |
|---------------|---|
| Keys          | All keys of the keyboard are represented in capital letters. For example, <CTRL>. |
| Shortcut keys | All short cut keys are contained in brackets. For example, <ALT+SHIFT>.           |

## 1.6 Glossary of Icons

This User Manual may refer to all or some of the following icons

| Icons   | Function    |
|---|-------------|
|  | Exit        |
|  | Add Row     |
|  | Delete Row  |
|  | Option List |

## 1.7 Abbreviations and Acronyms

The following acronyms and abbreviations are adhered to in this User Manual:

| Abbreviation/ Acronym | Meaning                                   |
|-----------------------|---|
| ADMIN                 | User Administrator                        |
| AGY                   | The Agency Branch component of the system |
| AMC                   | Asset Management Company                  |
| BOD                   | Beginning of Day                          |
| CDSC                  | Contingent Deferred Sales Charge          |
| CGT                   | Capital Gains Tax                         |

| <b>Abbreviation/<br/>Acronym</b> | <b>Meaning</b>                           |
|----------------------------------|--|
| CIF                              | Customer Information File                |
| EOD                              | End of Day                               |
| EPU                              | Earnings per unit                        |
| FC-IS                            | Oracle FLEXCUBE Investor Servicing       |
| FMG                              | The Fund Manager component of the system |
| FPADMIN                          | Oracle FLEXCUBE Administrator            |
| ID                               | Identification                           |
| IHPP                             | Inflation Hedged Pension Plan            |
| IPO                              | Initial Public Offering                  |
| LEP                              | Life and Endowment Products              |
| LOI                              | Letter of Intent                         |
| NAV                              | Net Asset Value                          |
| REG                              | The Registrar component of the system    |
| ROA                              | Rights of Accumulation                   |
| ROI                              | Return on Investment                     |
| SI                               | Standing Instructions                    |
| SMS                              | Security Management System               |
| URL                              | Uniform Resource Locator                 |
| VAT                              | Value Added Tax                          |
| WAUC                             | Weighted Average Unit Cost               |

## 1.8 Getting Help

Online help is available for all tasks. You can get help for any function by clicking the help icon provided or by pressing F1.

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## 2. Appendix A - Upload File Formats A

This chapter contains the following sections:

- [Section 2.1, "Upload File Formats"](#)
- [Section 2.2, "Unit Holder File Format"](#)
- [Section 2.3, "Transactions File Format"](#)
- [Section 2.4, "Standing Instruction File Format"](#)
- [Section 2.5, "Income Distribution Setup File Format"](#)
- [Section 2.6, "Fund Price File Format"](#)
- [Section 2.7, "Broker File Format"](#)
- [Section 2.8, "Unit Holder Deal File Format"](#)
- [Section 2.9, "Policy Transaction Upload Format"](#)
- [Section 2.10, "Light Weight Transaction File Format"](#)
- [Section 2.11, "Holiday Upload Format"](#)
- [Section 2.12, "Dividend Declaration Upload"](#)
- [Section 2.13, "Dividend Equalization Adjustment Upload"](#)
- [Section 2.14, "Exchange Rate Upload Format"](#)
- [Section 2.15, "AuthRep Upload Format"](#)
- [Section 2.16, "Entity Upload Format"](#)
- [Section 2.17, "Entity Bulk Import Pension Upload"](#)
- [Section 2.18, "UH KYC Chasing Upload"](#)
- [Section 2.19, "Customer Fund Upload Format"](#)
- [Section 2.20, "Customer Address Upload Format"](#)
- [Section 2.21, "EMP Upload Format"](#)
- [Section 2.22, "FATCA Upload Format"](#)
- [Section 2.23, "GIIN Upload Format"](#)
- [Section 2.24, "Help Desk Upload"](#)
- [Section 2.25, "Gen EPU Upload Format"](#)
- [Section 2.26, "Bank Statement Upload Format"](#)
- [Section 2.27, "Bulk GL Setup Upload Format"](#)
- [Section 2.28, "Bulk FSA Upload Format"](#)
- [Section 2.29, "GO Confirmation Upload Format"](#)
- [Section 2.30, "Fund Upload Format"](#)
- [Section 2.31, "Unit Holder Deal File Format"](#)
- [Section 2.32, "Cheque Tracking File Format"](#)
- [Section 2.33, "Pseudo Switch Lag Upload"](#)
- [Section 2.34, "Emergency Day Transaction Processing Upload"](#)
- [Section 2.35, "Emergency Day Maintenance Upload"](#)
- [Section 2.36, "Campaign Upload"](#)
- [Section 2.37, "Special Discount Upload"](#)
- [Section 2.38, "Pension Order Upload"](#)

- Section 2.39, "Payroll and Pension Data Interface Standard (PAPDIS) Upload"
- Section 2.40, "Contribution File Upload (CFU) Upload"
- Section 2.41, "CFU - Order Generation"
- Section 2.42, "Pension Order Import"
- Section 2.43, "Pension Allocation Import from TA"
- Section 2.44, "Pension Investment Account (Incremental Upload)"
- Section 2.45, "Pension Allocation Hand-off"
- Section 2.46, "Load Maintenance Export"
- Section 2.47, "Broker Export"
- Section 2.48, "Load Maintenance Upload"
- Section 2.49, "Broker Import"
- Section 2.50, "Pension Held-away Holdings Upload"
- Section 2.51, "Identification Details Upload"
- Section 2.52, "Bank Account Details Upload"
- Section 2.53, "Address Details Upload"
- Section 2.54, "Party Role Mapping Upload"
- Section 2.55, "Group Maintenance Upload"
- Section 2.56, "Investment Account Upload"
- Section 2.57, "Portfolio Mapping Upload"
- Section 2.58, "Portfolio Charge Upload"
- Section 2.59, "Rebalancing Rule Mapping Upload"
- Section 2.60, "Party Main Upload"
- Section 2.61, "Fund Export"
- Section 2.62, "Fund Price Export"
- Section 2.63, "Investment Account Export"
- Section 2.64, "Asset NAV Import from TA"
- Section 2.65, "Pension Order Export"
- Section 2.66, "Asset Import from TA"
- Section 2.67, "Asset Maintenance Import"
- Section 2.68, "Import From External System To Amount Block Upload Format"
- Section 2.69, "AmountBlock Export to External Systems Modify Upload Format"
- Section 2.70, "AmountBlock Export to External Systems Close Upload Format"
- Section 2.71, "Import From External System To Amount Block Upload Format"
- Section 2.72, "Accounting Export Upload Format"

## 2.1 Upload File Formats

The external files containing the information that is to be imported from the bulk client are required to be in the following format:

| File Type         | ASCII EXCEL              |
|-------------------|--------------------------|
| ASCII file format | Delimited<br>Fixed width |

| File Type  | ASCII EXCEL                                     |
|--|---|
| Field delimiter                                    | Comma<br>Tab<br>Colon<br>Semi colon<br>Space    |
| String delimiter                                   | Single quotes<br>Double quotes<br>NULL          |
| Date Format<br>(all dates will have 4 digit years) | DMY<br>MDY<br>DMonY<br>YMD                      |
| Date delimiter                                     | / (Slash)<br>Hyphen<br>(Space)<br>From registry |
| Decimal symbol                                     | Point<br>Comma                                  |
| Digit grouping symbol                              | Point<br>Comma                                  |
| Negative Sign symbol                               | Hyphen<br>Parenthesis                           |
| Time delimiter                                     | Null<br>Colon<br>Semi colon<br>Space            |
| Column Header on first line                        | True / False                                    |

#### Note

Using the client country flag 'UPLDFILEMODULE', business can configure to use the module ID in the uploaded file or the logged in Module ID for the User-function access validation.

User-Function access is validated for uploads based on the Module ID, User ID and Function ID.

- If this client country flag is enabled, then the module details provided in the upload file will be used for the User-Function access validation.
- If this client country flag is disabled, then the logged in Module ID will be used for the User-Function access validation.

You can maintain only one sheet in the template. For Excel Upload, the data should be in text format.

## **2.2 Unit Holder File Format**

This section contains the following topics:

- [Section 2.2.1, "Segment Identification number:"](#)
- [Section 2.2.2, "Unit Holder File Format"](#)
- [Section 2.2.3, "Unit Holder KYC Details"](#)
- [Section 2.2.4, "Unit Holder Beneficiary Details"](#)
- [Section 2.2.5, "Unit Holder Bank Details"](#)
- [Section 2.2.6, "Unit Holder Intermediary Details"](#)
- [Section 2.2.7, "Unit Holder Delivery Details"](#)
- [Section 2.2.8, "Unit Holder Related Parties Details"](#)
- [Section 2.2.9, "Unit Holder Joint Holder Details"](#)
- [Section 2.2.10, "Unit Holder Nominee Details"](#)
- [Section 2.2.11, "Unit Holder Director Details"](#)
- [Section 2.2.12, "Unit Holder Additional Information Details"](#)
- [Section 2.2.13, "Unit Holder KYC Application Form Details"](#)
- [Section 2.2.14, "Unit Holder Stop Account Details"](#)
- [Section 2.2.15, "Unit Holder Communication Mode Restriction Details"](#)
- [Section 2.2.16, "Unit Holder KIID Details"](#)
- [Section 2.2.17, "Unit Holder Contact information"](#)

## 2.2.1 Segment Identification number:

| <b>Segment Identification Number</b> | <b>Description</b>   | <b>Segment Mandatory / Optional?</b> |
|--------------------------------------|--|--------------------------------------|
| 00UH                                 | This segment is used for providing UnitHolder related Information                      | Mandatory                            |
| 01KYC                                | This segment is used for providing KYC related Information                             | Mandatory                            |
| 02BEN                                | This segment is used for providing Beneficiary related Information                     | Mandatory                            |
| 03BNK                                | This segment is used for providing UnitHolder Bank related Information                 | Mandatory                            |
| 04INM                                | This segment is used for providing UnitHolder Intermediary related Information         | Mandatory                            |
| 05DEL                                | This segment is used for providing UnitHolder Delivery related Information             | Optional                             |
| 06REL                                | This segment is used for providing UnitHolder Related Parties related Information      | Optional                             |
| 07JNT                                | This segment is used for providing UnitHolder JointHolder related Information          | Optional                             |
| 08NME                                | This segment is used for providing Nominee related Information                         | Optional                             |
| 09DIR                                | This segment is used for providing Director related Information                        | Optional                             |
| 10ADD                                | This segment is used for providing Additional Information related Information          | Optional                             |
| 11KAP                                | This segment is used for providing KYC Application form related Information            | Optional                             |
| 12STP                                | This segment is used for providing Stop Code Restrictions related Information          | Optional                             |
| 13COM                                | This segment is used for providing Communication Mode Restrictions related Information | Optional                             |
| 14KII                                | This segment is used for providing Unit Holder KIID Details                            | Optional                             |

| <b>Segment Identification Number</b> | <b>Description</b>   | <b>Segment Mandatory / Optional?</b> |
|--------------------------------------|--|--------------------------------------|
| 15CON                                | This segment is used for providing Unit Holder Contact information | Optional                             |

**Note**

For all imports of unit holder new account and information change data, the external hand-off file containing the information must:

- Always be named in the format “BulkUH\*. \*”
- Always contain all information marked as mandatory in the table below.
- Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below.

**2.2.2 Unit Holder File Format**

Serial Number: 1

Segment Identification Number: 00UH

Description: This segment is used for providing UnitHolder related Information.

Segment Mandatory / Optional: Mandatory

| <b>Field Name (as expected in the file)</b> | <b>Data type</b> | <b>Length</b> | <b>Mandatory</b> | <b>Characteristics/rules</b> | <b>Values</b>  |
|---|------------------|---------------|------------------|------------------------------|--|
| SEGMENTID                                   | VAR-CHAR2        | 20            | Mandatory        |                              | Segment ID. Value of Segment ID is 00UH  |
| SEQUENCE-NUMBER                             | Number           | 10            | Mandatory        |                              | System generated sequence number of records in the file  |
| PROCESSID                                   | String           | 50            | Mandatory        |                              | The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely.<br><br>Each file must have a unique Process ID. This should be same for all records within a file. |



| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules | Values  |
|--|--------------|--------|-----------|---------------------------|---|
| BULKCLI-<br>ENTCODE                        | String       | 12     | Mandatory |                           | The Code of the Bulk client.  |
| IMPORT-<br>FILENAME                        | String       | 255    | Mandatory |                           | Name of the file being uploaded should be specified. Each file must have a unique name.   |
| UPLOAD-<br>MODE                            | String       | 1      | Mandatory |                           | “N” for New unit holder uploads and ‘I’ for Incremental change.   |
| AMCID                                      | String       | 12     | Mandatory |                           | The ID of the AMC/ Distributor for which the bulk client has maintained the defaults details.   |
| CIFNUMBER                                  | String       | 9      | Optional  |                           | CIF Number  |
| UNITHOLDE-<br>RID                          | String       | 12     | Mandatory |                           | Is blank for new record   |
| REFEREN-<br>CENUMBER                       | String       | 12     | Mandatory |                           | Accepted from hand off file contents; or system generated reference number, according to the option selected in the Bulk Account Client Defaults for “unit holder import” or “both” |
| ACCOUN-<br>TOPENING-<br>DATE               | DATE         |        | Optional  |                           | If this is not found in the hand off file, the application date is deemed as the account opening date by default.   |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules  | Values  |
|--|--------------|--------|-----------|--|---|
| TITLE                                      | String       | 15     | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | This should be one<br>of the valid Title<br>codes as main-<br>tained in system<br>parameters.   |
| FIRSTNAME                                  | String       | 100    | Mandatory |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents   |
| MIDDLE-<br>NAME                            | String       | 40     | Optional  |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents   |
| LASTNAME                                   | String       | 40     | Optional  |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents   |
| ACCOUNT-<br>OPERATION-<br>TYPE             | String       | 1      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be any of the<br>values in the fol-<br>lowing list: S- Sin-<br>gle, E- Either Or<br>Survivor, J-Joint   |
| FIRSTNOMI-<br>NEE                          | String       | 60     |           |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents – This<br>information is man-<br>datory for Account<br>Operation type as<br>“J” – Joint |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules  | Values   |
|--|--------------|--------|-----------|--|--|
| SECOND-<br>NOMINEE                         | String       | 60     |           |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                         |
| THIRDNOMI-<br>NEE                          | String       | 60     | Optional  |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                         |
| FATHER_SP<br>OUSENAME                      | String       | 20     | Optional  |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                         |
| INVESTOR-<br>TYPE                          | String       | 1      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be any of the<br>values from the fol-<br>lowing list: I – Indi-<br>vidual Or C –<br>Corporate – Man-<br>datory |
| CONTAC-<br>TADDRESS-<br>LINE1              | String       | 105    | Optional  |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                         |
| CONTAC-<br>TADDRESS-<br>LINE2              | String       | 105    | Optional  |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                         |
| PRIMARYC-<br>ITY                           | String       | 105    | Optional  |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                         |
| PRIMARYS-<br>TATE                          | String       | 80     | Optional  |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                         |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules | Values  |
|--|--------------|--------|-----------|---------------------------|---|
| PRIMARY-<br>USSTATE                        | Number       | 1      | Optional  |                           | Should be left<br>blank   |
| ZIPCODE                                    | String       | 10     | Optional  |                           | Hand off file con-<br>tents   |
| SECONDAR-<br>YADDRESS-<br>LINE1            | String       | 105    | Optional  |                           | Address Line 1  |
| SECONDAR-<br>YADDRESS-<br>LINE2            | String       | 105    | Optional  |                           | Address Line 2  |
| SECOND-<br>ARYCITY                         | String       | 105    | Optional  |                           | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents |
| SECONDAR-<br>YSTATE                        | String       | 80     | Optional  |                           | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents |
| SECOND-<br>ARY-<br>USSTATE                 | Number       | 1      | Optional  |                           |   |
| SECOND-<br>ARYZIP-<br>CODE                 | String       | 10     | Optional  |                           | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents |
| SWIFTAD-<br>DRESSLINE1                     | String       | 105    | Optional  |                           | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents |
| SWIFTAD-<br>DRESSLINE2                     | String       | 105    | Optional  |                           | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents |
| SWIFTAD-<br>DRESSLINE3                     | String       | 105    | Optional  |                           | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules  | Values  |
|--|--------------|--------|-----------|--|---|
| SWIFTAD-<br>DRESSLINE4                     | String       | 80     | Optional  |  | Address Line 4  |
| SWIFT-<br>COUNTRY-<br>CODE                 | String       | 3      | Optional  |  | Country Code  |
| BICCODE                                    | String       | 16     | Optional  |  | BIC Code  |
| HOMETELE-<br>PHONENUM-<br>BER              | String       | 60     | Optional  |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents     |
| OFFICET-<br>ELEPHONE-<br>NUMBER            | String       | 60     | Optional  |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents     |
| FAXNUMBER                                  | String       | 120    | Optional  |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents     |
| TAXDEDUC-<br>TEDAT-<br>SOURCE              | Number       | 1      | Optional  |  | Could be either<br>true (1) or false (0)  |
| TAXID                                      | String       | 50     | Optional  |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents     |
| TAXCIRCLE                                  | String       | 15     | Optional  |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents     |
| IDENTIFICA-<br>TIONTYPE                    | String       | 3      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be any of the<br>values maintained<br>in Params mainte-<br>nance for Identifi-<br>cation Type |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules  | Values   |
|--|--------------|--------|-----------|--|--|
| IDENTIFICA-<br>TIONNUM-<br>BER             | String       | 50     | Mandatory |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents  |
| IDENTIFICA-<br>TIONISSUE-<br>DATE          | DATE         |        | Optional  |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents  |
| IDENTIFICA-<br>TIONEX-<br>PIRYDATE         | DATE         |        | Optional  |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents  |
| RESIDENT-<br>STATUS                        | String       | 1      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be any of the<br>values from the fol-<br>lowing list:<br>"R";"Resi-<br>dent";;"F";"Foreign"  |
| UHCATE-<br>GORY                            | String       | 2      | Mandatory |  | Could be any of the<br>valid values from<br>the Category list<br>maintained  |
| ACCOUNT-<br>STMTFREQ                       | String       | 1      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be any of the<br>values in the fol-<br>lowing list from<br>Frequency Table :<br>D – Daily, W -<br>Weekly, F - Fort-<br>nightly, M –<br>Monthly, Q - Quar-<br>terly, H - Half<br>Yearly, Y - Yearly |
| YTDST-<br>MTREQD                           | Number       | 1      | Optional  |  | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents. By<br>default, it is<br>deemed to be 'No'   |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules  | Values  |
|--|--------------|--------|-----------|--|---|
| DATEOF-<br>BIRTH                           | DATE         |        | Optional  |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                                      |
| GUARDIAN-<br>NAME                          | String       | 70     | Optional  |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                                      |
| RELATION-<br>SHIP                          | String       | 70     | Optional  |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                                      |
| BENEFI-<br>CIARY                           | String       | 70     | Optional  |  | Accepted from the user's specifica-<br>tion for the same in<br>the hand off file<br>contents                                      |
| SEX  | String       | 1      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be either of<br>the following val-<br>ues - "M" (Male) or<br>"F" (Female)   |
| MARITAL-<br>STATUS                         | String       | 1      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be either of<br>the following val-<br>ues - "S" (Single)<br>,"M" (Married). The<br>default status is<br>taken to be Single. |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules  | Values   |
|--|--------------|--------|-----------|--|--|
| OCCUPA-<br>TION                            | String       | 3      | Mandatory | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be any of the<br>values maintained<br>in Occupation<br>Maintenance   |
| NATIONAL-<br>ITY                           | String       | 3      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents  |
| COUNTRY-<br>OFDOMICILE                     | String       | 3      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be any of the<br>values maintained<br>in Country Mainte-<br>nance  |
| CORPORA-<br>TIONTYPE                       | String       | 3      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be any of the<br>valid values main-<br>tained in Corpora-<br>tion Maintenance.<br><br>This information<br>can be specified<br>only for corporate<br>investors (Investor<br>Type – C) |
| FIRSTAU-<br>THORIZED-<br>SIGNATORY         | String       | 70     | Optional  |  | This information<br>can be specified<br>only for corporate<br>investors (Investor<br>Type – C)   |



| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules | Values   |
|--|--------------|--------|-----------|---------------------------|--|
| SECONDAU-<br>THORIZED-<br>SIGNATORY        | String       | 70     | Optional  |                           | This information<br>can be specified<br>only for corporate<br>investors (Investor<br>Type – C)   |
| THIRDAU-<br>THORIZED-<br>SIGNATORY         | String       | 70     | Optional  |                           | This information<br>can be specified<br>only for corporate<br>investors (Investor<br>Type – C)   |
| CONTACT-<br>NAME                           | String       | 70     | Optional  |                           | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents  |
| MINNOOF-<br>CORPORA-<br>TESIGNATO<br>RY    | Number       |        | Optional  |                           | Accepted from the<br>user's specifica-<br>tion for the same in<br>the hand off file<br>contents  |
| SCHEME-<br>CROSS-<br>REFNO                 |              | 60     | Optional  |                           | Scheme/Cross<br>Reference Number   |
| UHBASE-<br>CURRENCY                        | String       | 3      | Optional  |                           | Could be any of the<br>valid currencies<br>maintained in Cur-<br>rency Mainte-<br>nance. If this<br>information is not<br>given in the import<br>file, then the<br>default unit holder<br>base currency<br>specified in the<br>Bulk Client<br>Defaults Mainte-<br>nance for the bulk<br>client will be used. |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules | Values  |
|--|--------------|--------|-----------|---------------------------|---|
| LANGUAGE                                   | String       | 12     | Optional  |                           | Could be any of the languages defined in the system. If this information is not given in the import file, then the Default Language Value mentioned in the Bulk Client Defaults Maintenance for the bulk client will be used.                                 |
| REDEMP-<br>TIONMOP                         | String       | 1      | Optional  |                           | Could be either of the following Values: "Q" - Cheque, "T" – Transfer. If this information is not given in the import file, then the Default Redemption Mode of Payment specified in the Bulk Client Defaults Maintenance for the bulk client will be used.   |
| ACCOUNT-<br>STMTCUR-<br>RENCY              | String       | 3      | Optional  |                           | Could be any of the valid currencies maintained in Currency Maintenance. If this information is not given in the import file, then the Default Account Statement Currency mentioned in the Bulk Client Defaults Maintenance for the bulk client will be used. |
| EMAILID                                    | String       | 255    | Optional  |                           | Accepted from the user's specification for the same in the hand off file contents   |
| FIRSTJOIN-<br>TUHNAME                      | String       | 60     | Optional  |                           | The primary unit holder name in case of joint accounts.   |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules   | Values   |
|--|--------------|--------|-----------|---|--|
| SECOND-<br>JOIN-<br>TUHNAME                | String       | 60     | Optional  |   | The secondary unit holder name in case of joint accounts.  |
| THIRDJOIN-<br>TUHNAME                      | String       | 60     | Optional  |   | The third unit holder name in case of joint accounts.  |
| ALTAD-<br>DRESS-<br>FROMDATE               | DATE         |        | Optional  |   | Alternate Address From date  |
| ALTAD-<br>DRESSTO-<br>DATE                 | DATE         |        | Optional  |   | Alternate Address To date  |
| TELEPHO-<br>NERED                          | Number       | 1      | Optional  | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Could be either 0 (false) or 1 (true) to indicate whether redemption transactions can be accepted through telephone for the unit holder or not. If null, it will be picked up from the bulk client defaults table. |
| TELE-<br>PHONESWI                          | Number       | 1      | Optional  | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Could be either 0 (false) or 1 (true) to indicate whether redemption transactions can be accepted through telephone for the unit holder or not. If null, it will be picked up from the bulk client defaults table. |
| WRITE-<br>CHECK-<br>FORMMF                 | Number       | 1      | Optional  | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Could be either 0 (False) or 1 (True). If not supplied, will be taken from the bulk client defaults table.   |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules  | Values   |
|--|--------------|--------|-----------|--|--|
| FEDWIRE-<br>ACHPAY-<br>MENT                | Number       | 1      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be either 0<br>(False) or 1 (True).<br>If not supplied, will<br>be taken from the<br>bulk client defaults<br>table.  |
| IRSNOTIFI-<br>CATION                       | String       | 10     | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Could be either 0<br>(False) or 1 (True).<br>If not supplied, will<br>be taken from the<br>bulk client defaults<br>table.  |
| ROAINVES-<br>TOR                           | Number       | 1      | Optional  |  | Could be either 0<br>(False) or 1 (True).<br>This should be<br>read only when<br>'ROA Option at<br>Investor' in AMC<br>policies table is<br>true.  |
| ALLOW-<br>ROAATCI-<br>FLEVEL               | Number       | 1      | Optional  |  | Could be either 0<br>(False) or 1 (True).<br>If an investor is an<br>ROA investor, then<br>this option will be<br>set based on the<br>value of the 'Allow<br>ROA LOI at CIF<br>level' in the AMC<br>policies table |
| UHAC-<br>COUNTTYPE                         | String       | 2      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Should be a valid<br>unit holder account<br>type as available in<br>the Params main-<br>tenance  |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules   | Values   |
|--|--------------|--------|-----------|---|--|
| RPOCODE                                    | String       | 10     | Optional  | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Should be a valid code as maintained in the Params table for the Param code RPOESCHCODE. If not supplied, will be picked up from the bulk client defaults table.         |
| REGISTRA-<br>TIONTYPE                      | String       | 2      | Optional  | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Should be a valid code as maintained in the Params table for the Param code UHREGISTRA-<br>TIONTYPE. If not supplied, will be taken from the bulk client defaults table. |
| REGISTRA-<br>TIONNAME                      | String       | 50     | Optional  |   | If registration type = 'SN' (Street name), only then this value is to be read.   |
| ACCOUN-<br>TOPENING-<br>PURPOSE            | String       | 30     | Optional  |   | Account Opening Purpose  |
| REMARKS                                    | String       | 255    | Optional  |   | Remarks  |
| PRE-<br>FERRED-<br>MAILMODE                | String       | 2      | Optional  | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Should be a valid code as read from the Params table for the Param code PREFERRED-<br>MAILMODE. If not supplied, will be taken from the bulk client defaults table.      |
| NOTEPA-<br>DAVAILABLE                      | Number       | 1      | Optional  |   | Could be either 0 (False) or 1 (True). If not supplied, default will be 1 (True)   |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory | Characteri<br>stics/rules  | Values  |
|--|---------------|--------|-----------|--|---|
| FEECATE-<br>GORY                           | String        | 3      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Should be a valid<br>category for the<br>type of investor<br>(individual or cor-<br>porate). If not sup-<br>plied, will be taken<br>from the bulk client<br>defaults table<br>based on the<br>investor category |
| FEESUB-<br>CATEGORY                        | String        | 6      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Should be a valid<br>sub category for<br>the category. If not<br>supplied will be<br>taken from the bulk<br>client defaults table<br>based on the<br>investor category  |
| AGENT-<br>CODE                             | Number        | 12     | Mandatory |  | Agent Code  |
| BRANCH-<br>CODE                            | Number        | 12     | Mandatory |  | Branch  |
| USERID                                     | VAR-<br>CHAR2 | 15     | Mandatory |  | Represents the<br>user ID who has<br>entered the latest<br>record.  |
| AUTH_STAT                                  | VAR-<br>CHAR2 | 1      | Optional  |  | Auth Status   |
| AUTHTYPE                                   | String        | 1      | Mandatory |  | Could be either of<br>the following val-<br>ues: "U" - Unau-<br>thorized, "A" -<br>Authorized   |
| STOPAC-<br>COUNTR EA-<br>SON               | String        | 255    | Optional  |  | Must be left blank  |
| RELEASE-<br>DATE                           | DATE          |        | Optional  |  | Must be left blank  |
| ALLSIGNA-<br>TORIES-<br>REQDTOSIG<br>N     | Number        | 1      | Optional  |  | Must be left blank  |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules   | Values   |
|--|--------------|--------|-----------|---|--|
| TAXEX-EMPTED                               | Number       | 1      | Optional  |   | Either 0 or 1 to indicate if tax is exempted for this Unit holder  |
| INDEXATIONONCGT                            | String       | 1      | Optional  |   | Must be left blank   |
| CERTDELIVERYPREF                           | String       | 1      | Optional  |   | Could be any of the values from the following list to indicate the preferred certificate delivery option:<br>'A','C','F','P','R' |
| TEMPLATEID                                 | String       | 6      |           | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Template ID  |
| EFFECTIVE-DATE                             | DATE         |        | Optional  |   | Effective Date   |
| CELL-PHONENUMBER                           | String       | 16     | Optional  |   | Cell Phone Number  |
| PRIMARY-COUNTRY-CODE                       | String       | 3      | Optional  |   | Primary Country Code   |
| ALTCELL-PHONENUMBER                        | String       | 16     | Optional  |   | Alternate Cell phone Number  |
| ALTHOME-PHONENUMBER                        | String       | 15     | Optional  |   | Alternate Home phone Number  |
| ALTOFFICE-PHONENUMBER                      | String       | 15     | Optional  |   | Alternate Office phone Number  |
| ALTEMAILID                                 | String       | 60     | Optional  |   | Alternate Email ID   |
| SECONDARYCOUNTRYCODE                       | String       | 3      | Optional  |   | Secondary Country Code   |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules  | Values   |
|--|--------------|--------|-----------|--|--|
| PLACEOFIS-<br>SUE                          | String       | 3      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Place of Issue   |
| ALTCON-<br>TACTNAME                        | String       | 70     | Optional  |  | Alternate Contact<br>Name  |
| ALTFAX-<br>NUMBER                          | String       | 15     | Optional  |  | Alternate Fax<br>Number  |
| PRE-<br>FERRED-<br>FUNDFORR<br>EDEMPTION   | String       | 6      | Optional  | Value<br>defaulted<br>as main-<br>tained in<br>Bulk client<br>defaults in<br>the<br>absence of<br>information<br>in the file | Should be a valid<br>fund ID which<br>would be used for<br>redeeming the<br>trailer commission<br>to pay the interme-<br>diary |
| GUARDIAN-<br>PANGIRNO                      | String       | 15     | Optional  |  | If the unit holder is<br>a minor then the<br>PAN (tax ID) details<br>of the Guardian   |
| GUARDIAN-<br>FORM6061                      | Number       | 1      | Optional  |  | Must be left blank   |
| PRIMARYU-<br>HFORM6061                     | Number       | 1      | Optional  |  | Must be left blank   |
| UNITHOLD-<br>ERNRI                         | Number       | 1      | Optional  |  | Indicate if the Unit<br>holder is a Non<br>resident  |



| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules  | Values  |
|--|--------------|--------|-----------|--|---|
| EUSDOP-<br>TION                            | String       | 1      | Optional  | Applicable<br>only for<br>EUSD<br>Countries<br><br>Value<br>defaulted<br>from<br>defaults<br>mainte-<br>nance in the<br>absence of<br>information<br>in the file | W – Withholding<br>Tax<br>E – Exchange of<br>Information<br>T- Tax Certificate  |
| TAXCERTIFI-<br>CATEEXP-<br>DATE            | Date         |        | Optional  | If the EUSD<br>Option is 'T'<br>then tax<br>certificate<br>expiry date<br>is manda-<br>tory  | Tax certificate<br>expiry date  |
| PLACEOF-<br>BIRTH                          | String       | 80     | Optional  | If the EUSD<br>Option is 'T'<br>then tax<br>certificate<br>expiry date<br>is manda-<br>tory  | Unit Holder's Place<br>of birth   |
| COUNTRY-<br>OFBIRTH                        | String       | 3      | Optional  | If the EUSD<br>Option is 'T'<br>then tax<br>certificate<br>expiry date<br>is manda-<br>tory  | Unit Holder's<br>Country of birth. It<br>should be the<br>country code of the<br>country  |
| FATFOR-<br>NONFATF                         | String       | 1      | Optional  |  | F – FATF Country<br>N- Non FATF<br>Country  |
| CHASING-<br>DATE                           | Date         |        | Optional  |  | In case the KYC<br>list is incomplete<br>for a unit holder<br>and the document<br>has been<br>requested, the date<br>on which the docu-<br>ment chasing<br>action must be initi-<br>ated. |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules                                  | Values  |
|--|--------------|--------|-----------|--|---|
| ADDNCOM-<br>MENTS                          | String       | 255    | Optional  |  | Any additional nar-<br>rative, or descrip-<br>tive text, if any,<br>concerning the<br>document and the<br>chasing date                            |
| ALLKYCRE-<br>CIEVED                        | Number       | 1      | Optional  |  | Can have a value<br>of 0 or 1.<br><br>1 - All the<br>requested docu-<br>ments have been<br>received from the<br>unit holder.                      |
| RETAINTRA-<br>DEACK                        | Number       | 1      | Optional  |  | 0 or 1<br><br>1 to indicate that<br>trade acknowl-<br>edgements for the<br>unitholder has to<br>be retained.                                      |
| RETAINCON-<br>TRACT-<br>NOTES              | Number       | 1      | Optional  |  | 0 or 1<br><br>1 implies that con-<br>tract notes in<br>respect of the unit<br>holder should be<br>retained.                                       |
| NOTRE-<br>PORTKY-<br>CREQ                  | Number       | 1      | Optional  |  | 0 or 1<br><br>Value 1 to indicate<br>that any KYC docu-<br>ments yet to be<br>submitted must not<br>be reported in<br>trade acknowl-<br>edgements |
| UHBICCODE                                  | String       | 6      | Optional  |  | Unit holder's swift<br>BIC Code   |
| ALTLANG-<br>FIRSTNAME                      | String       | 100    | Optional  | Should not<br>exist in the<br>absence of<br>First Name     | First Name speci-<br>fied in alternate<br>language.   |
| ALTLANG-<br>MIDDLE-<br>NAME                | String       | 40     | Optional  | Should not<br>exist in the<br>absence of<br>Middle<br>Name | Middle Name spec-<br>ified in alternate<br>language   |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory | Characteri<br>stics/rules  | Values   |
|--|---------------|--------|-----------|--|--|
| ALT-<br>LANGLAST-<br>NAME                  | VAR-<br>CHAR2 | 40     | Optional  |  | Alternate Lan-<br>guage LastName   |
| ALTLANG-<br>FIRSTAUTH-<br>SIGN             | String        | 70     | Optional  | Should not<br>exist in the<br>absence of<br>First author-<br>ized signa-<br>tory     | First authorized<br>signatory specified<br>in alternate lan-<br>guage    |
| ALT-<br>LANGSEC-<br>ONDAUTHSI<br>GN        | String        | 70     | Optional  | Should not<br>exist in the<br>absence of<br>Second<br>authorized<br>signatory        | Second authorized<br>signatory specified<br>in alternate lan-<br>guage   |
| ALTLANGTH-<br>IRDAUTH-<br>SIGN             | String        | 70     | Optional  | Should not<br>exist in the<br>absence of<br>Third<br>authorized<br>signatory         | Third authorized<br>signatory specified<br>in alternate lan-<br>guage    |
| ALTLANG-<br>CONTACT-<br>PERSON             | String        | 70     | Optional  | Should not<br>exist in the<br>absence of<br>Contact<br>person                        | Contact person<br>specified in alter-<br>nate language                   |
| ALTLANG-<br>CORAD-<br>DRESSLINE1           | String        | 80     | Optional  | Should not<br>exist in the<br>absence of<br>Corre-<br>spondence<br>address line<br>1 | Correspondence<br>address line 1<br>specified in alter-<br>nate language |
| ALTLANG-<br>CORAD-<br>DRESSLINE2           | String        | 80     | Optional  | Should not<br>exist in the<br>absence of<br>Corre-<br>spondence<br>address line<br>2 | Correspondence<br>address line 2<br>specified in alter-<br>nate language |
| ALTLANG-<br>CORAD-<br>DRESSLINE3           | String        | 80     | Optional  | Should not<br>exist in the<br>absence of<br>Corre-<br>spondence<br>address line<br>3 | Correspondence<br>address line 3<br>specified in alter-<br>nate language |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory | Characteri<br>stics/rules  | Values   |
|--|--------------|--------|-----------|--|--|
| ALTLANG-<br>CORAD-<br>DRESSLINE4           | String       | 80     | Optional  | Should not<br>exist in the<br>absence of<br>Corre-<br>spondence<br>address line<br>4 | Correspondence<br>address line 4<br>specified in alter-<br>nate language |
| ALTLANGAL-<br>TADDRESS-<br>LINE1           | String       | 80     | Optional  | Should not<br>exist in the<br>absence of<br>address<br>line1                         | Address line 1<br>specified in alter-<br>nate language                   |
| ALTLANGAL-<br>TADDRESS-<br>LINE2           | String       | 80     | Optional  | Should not<br>exist in the<br>absence of<br>address<br>line2                         | Address line 2<br>specified in alter-<br>nate language                   |
| ALTLANGAL-<br>TADDRESS-<br>LINE3           | String       | 80     | Optional  | Should not<br>exist in the<br>absence of<br>address line<br>3                        | Address line 3<br>specified in alter-<br>nate language                   |
| ALTLANGAL-<br>TADDRESS-<br>LINE4           | String       | 80     | Optional  | Should not<br>exist in the<br>absence of<br>address line<br>4                        | Address line 4<br>specified in alter-<br>nate language                   |
| DATEOF-<br>CONTRIBU-<br>TION               | Date         |        | Optional  |  | Date Member<br>became Contribu-<br>tory                                  |
| TRANSFER-<br>DATE                          | Date         |        | Optional  |  | Date Member<br>details were trans-<br>ferred                             |
| RETIRE-<br>MENTAGE                         | Number       | 5,2    | Optional  |  | Employee Retire-<br>ment Age   |
| RETIRE-<br>MENTDATE                        | Date         |        | Optional  |  | Employee Retire-<br>ment Date  |
| DATEOF-<br>DEATH                           | Date         |        | Optional  |  | Date of Death  |
| DEATH-<br>CAUSE                            | String       |        | Optional  |  | Cause of Death   |
| DISPATCH-<br>DATE                          | Date         |        | Optional  |  | Dispatch Date  |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory | Characteri<br>stics/rules                       | Values  |
|--|---------------|--------|-----------|---|---|
| RETURNED-<br>DATE                          | Date          |        | Optional  |   | Returned Date   |
| PRIMARYU-<br>HMFIN                         | VAR-<br>CHAR2 | 15     | Optional  | Applicable<br>only if<br>unitholder is<br>major | Primary MFIN of<br>the Primary<br>Unitholder              |
| GUARDIAN-<br>MFIN                          | VAR-<br>CHAR2 | 15     | Optional  | Applicable<br>only if<br>unitholder is<br>minor | Mutual fund identi-<br>fication number of<br>the Guardian |
| RECOV-<br>ERC GT                           | NUM-<br>BER   | 1      | Optional  |   | For Calculating<br>Capital Gain Tax                       |
| CAMPAIGN-<br>CODE                          | String        | 6      | Optional  |   | Used to capture<br>the CAMPAIGN-<br>CODE                  |
| PROCES-<br>SID1                            | VAR-<br>CHAR2 | 60     | Optional  |   | Process ID  |
| INITIALS                                   | VAR-<br>CHAR2 | 30     | Optional  |   | Initials  |
| KYCPUR-<br>CHASELIMIT                      | NUM-<br>BER   | 30     | Optional  |   | KYC Purchase<br>Limit                                     |
| KYCANNU-<br>ALDEBI-<br>TORDERLIMI<br>T     | NUM-<br>BER   | 30     | Optional  |   | KYC Annual Debit<br>Order Limit                           |
| CAMPAIGN-<br>CODE                          | VAR-<br>CHAR2 | 6      | Optional  |   | Campaign Code   |
| REDEMP-<br>TIONPAY-<br>OUT                 | VAR-<br>CHAR2 | 1      | Optional  |   | Redemption Pay-<br>out                                    |
| DIVIDEND-<br>PAYOUT                        | VAR-<br>CHAR2 | 1      | Optional  |   | Dividend Payout   |
| PRIMARYIN-<br>DITEL-<br>CODE1              | VAR-<br>CHAR2 | 10     | Optional  |   | Int. Dialing Code 1                                       |
| PRIMARYIN-<br>DITEL-<br>CODE2              | VAR-<br>CHAR2 | 10     | Optional  |   | Int. Dialing Code 2                                       |
| PRIMARYIN-<br>DIPHONE-<br>CODE             | VAR-<br>CHAR2 | 10     | Optional  |   | Primary Phone<br>Code                                     |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory | Characteri<br>stics/rules | Values                              |
|--|---------------|--------|-----------|---------------------------|-------------------------------------|
| PRIMARYIN-<br>DIFAXCODE                    | VAR-<br>CHAR2 | 10     | Optional  |                           | Primary Fax code                    |
| SECOND-<br>ARYINDITEL-<br>CODE1            | VAR-<br>CHAR2 | 10     | Optional  |                           | Int. Dialing Code 1                 |
| SECOND-<br>ARYINDITEL-<br>CODE2            | VAR-<br>CHAR2 | 10     | Optional  |                           | Int. Dialing Code 2                 |
| SECOND-<br>ARYINDI-<br>FAXCODE             | VAR-<br>CHAR2 | 10     | Optional  |                           | Secondary Phone<br>Code             |
| DUALNA-<br>TIONALITY                       | VAR-<br>CHAR2 | 3      | Optional  |                           | Dual Nationality                    |
| SUBSTAN-<br>TIALSTAYI-<br>NUS              | NUM-<br>BER   | 1      | Optional  |                           | Substantial Stay in<br>US           |
| TAXCERTIFI-<br>CATEEFFED-<br>ATE           | DATE          | 10     | Optional  |                           | Tax Effective Certifi-<br>cate Date |
| COUNTRYO-<br>FINCORPO-<br>RATION           | VAR-<br>CHAR2 | 3      | Optional  |                           | Country Of Incor-<br>poration       |
| SECON-<br>DIDENTITY-<br>TYPE               | VAR-<br>CHAR2 | 12     | Optional  |                           | Identification Type                 |
| SECON-<br>DIDENTITY-<br>NUMBER             | VAR-<br>CHAR2 | 50     | Optional  |                           | Identification Num-<br>ber          |
| SECONDIS-<br>SUEDATE                       | DATE          | 10     | Optional  |                           | Second Issue Date                   |
| SECONDEX-<br>PIRYDATE                      | DATE          | 10     | Optional  |                           | Second Expiry<br>Date               |
| SECOND-<br>PLACEOFIS-<br>SUE               | DATE          | 10     | Optional  |                           | Second Place<br>Issue               |
| DUEDILI-<br>GENCELEVE<br>L                 | VAR-<br>CHAR2 | 2      | Optional  |                           | Due Diligence<br>Level              |
| REVIEWEDB<br>Y                             | VAR-<br>CHAR2 | 255    | Optional  |                           | Reviewed By                         |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory | Characteri<br>stics/rules | Values                              |
|--|---------------|--------|-----------|---------------------------|-------------------------------------|
| LASTREV-<br>IEWEDDATE                      | DATE          | 10     | Optional  |                           | Last Reviewed<br>date               |
| CHASERID                                   | VAR-<br>CHAR2 | 255    | Optional  |                           | Chaser ID                           |
| CHASING-<br>MODE                           | VAR-<br>CHAR2 | 2      | Optional  |                           | Chasing Mode                        |
| NAMES-<br>CHECKOP-<br>TION                 | VAR-<br>CHAR2 | 2      | Optional  |                           | Names Check<br>Option               |
| NAMES-<br>CHECK-<br>REMARKS                | VAR-<br>CHAR2 | 255    | Optional  |                           | Names Check<br>Remarks              |
| ACCOUNT-<br>STATEMENT                      | VAR-<br>CHAR2 | 1      | Optional  |                           | Account Statement                   |
| CONTRACT-<br>NOTES                         | VAR-<br>CHAR2 | 1      | Optional  |                           | Contract notes                      |
| INVESTOR-<br>RISKLEVEL                     | VAR-<br>CHAR2 | 1      | Optional  |                           | Investor risk level                 |
| HEALTHSTA-<br>TUS                          | VAR-<br>CHAR2 | 1      | Optional  |                           | Health status                       |
| UHSEGMENT-<br>TID                          | VAR-<br>CHAR2 | 12     |           |                           | For GTA segmen-<br>tID is mandatory |

### 2.2.3 Unit Holder KYC Details

Serial Number: 2

Segment Identification Number: 01KYC

Description: This segment is used for providing KYC related Information

Segment Mandatory / Optional: Mandatory

| Field Name<br>(as expected<br>in the file) | Data type     | Length | Mandator<br>y/<br>Optional | Character<br>istics/<br>rules | Values   |
|--|---------------|--------|----------------------------|-------------------------------|--|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory                  |                               | Segment Id. Value<br>of Segment Id is<br>01KYC |
| SEQUENCE-<br>NUMBER                        | NUMBER        | 10     | Mandatory                  |                               | Sequence Number                                |

| Field Name<br>(as expected<br>in the file) | Data type     | Length | Mandatory/<br>Optional | Character<br>istics/<br>rules                            | Values   |
|--|---------------|--------|------------------------|--|--|
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |  | Process ID   |
| BULKCLIENT-<br>CODE                        | VAR-<br>CHAR2 | 12     | Mandatory              |  | Bulk Client Code   |
| IMPORTFILE-<br>NAME                        | VAR-<br>CHAR2 | 255    | Mandatory              |  | Import File Name   |
| UPLOAD-<br>MODE                            | VAR-<br>CHAR2 | 1      | Mandatory              |  | Upload Mode  |
| AMCID                                      | VAR-<br>CHAR2 | 12     | Mandatory              |  | AMC ID   |
| UHKYCSLNO                                  | NUMBER        | 10     | Mandatory              |  | Represents a serial<br>number  |
| UNITHOLDE-<br>RID                          | VAR-<br>CHAR2 | 12     | Mandatory              |  | Unitholder ID  |
| AUTH_STAT                                  | VAR-<br>CHAR2 | 1      | Optional               |  | Authorization Status   |
| REQUESTED                                  | VAR-<br>CHAR2 | 1      | Optional               | For Stand-<br>ard Doc's<br>this flag<br>should be<br>'1' | Flag which speci-<br>fies whether the<br>document is<br>requested or not.    |
| DOCUMENT-<br>DESCRIP-<br>TION              | VAR-<br>CHAR2 | 255    | Optional               |  | Represents the<br>description of docu-<br>ment produced for<br>the KYC form. |
| FAXRE-<br>CEIVED                           | VAR-<br>CHAR2 | 1      | Optional               |  | Represents the Fax<br>received is a check<br>box field Y - Yes ,N-<br>No     |
| ORIGINALRE-<br>CEIVED                      | VAR-<br>CHAR2 | 1      | Optional               |  | Represents the<br>Original received is<br>a check box field Y -<br>Yes ,Nno  |
| RECEIVED-<br>DATE                          | DATE          | 7      | Optional               |  | Represents the<br>Received date  |
| USERCOM-<br>MENT                           | VAR-<br>CHAR2 | 255    | Optional               |  | Represents the<br>User comment   |
| WORKFLOW-<br>REFNO                         | VAR-<br>CHAR2 | 20     | Optional               |  | Represents the<br>Work flow refer-<br>ence no                                |



| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                       |
|--------------------------------------|-----------|--------|--------------------|-----------------------|------------------------------|
| DOCUMENT-TYPE                        | VAR-CHAR2 | 1      | Optional           |                       | Represents the Document type |

## 2.2.4 Unit Holder Beneficiary Details

Serial Number: 3

Segment Identification Number: 02BEN

Description: This segment is used for providing Beneficiary related Information.

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                       | Segment Id. Value of Segment Id is 02BEN                                     |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID   |
| BENEFICIARYID                        | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the unique identification code for the beneficiary of unitholder. |
| BENEFICIARY-TYPE                     | VAR-CHAR2 | 2      | Mandatory          |                       | Indicates the type of beneficiary.   |
| BENEFICIARYNAME                      | VAR-CHAR2 | 105    | Mandatory          |                       | Indicates the descriptive name for the beneficiary.                          |
| BENEFICIARY-DOB                      | DATE      | 7      | Optional           |                       | Represents the Date of Birth for the beneficiary.                            |
| BENEFICIARYRELATION                  | VAR-CHAR2 | 70     | Optional           |                       | Represents the relation of beneficiary to the mentioned unitholder.          |
| BENEFICIARYUIN                       | VAR-CHAR2 | 15     | Optional           |                       | US Indicia Available   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| BENEFICIARYGROUP                     | VAR-CHAR2 | 1      | Optional           |                       | Represents the group for the beneficiary.                          |
| BENEFICIARY-GENDER                   | VAR-CHAR2 | 1      | Optional           |                       | Indicates the gender of beneficiary.                               |
| BENEFICIARY-IDTYPE                   | VAR-CHAR2 | 3      | Optional           |                       | Indicates the identification type of beneficiary.                  |
| BENEFICIARY-IDNUMBER                 | VAR-CHAR2 | 50     | Optional           |                       | Indicates the unique identification number for the beneficiary     |
| BENEFICIARYAWARD                     | NUMBER    | 5      | Optional           |                       | Indicates the award percentage applicable for the beneficiary      |
| BENEFICIARYBANKCODE                  | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the bank identification code for the beneficiary.       |
| BENEFICIARYBRANCHCODE                | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the branch identification code for the beneficiary.     |
| BENEFICIARYACCTTYPE                  | VAR-CHAR2 | 1      | Optional           |                       | Represents the beneficiary's account type.                         |
| BENEFICIARYACCNAME                   | VAR-CHAR2 | 105    | Optional           |                       | Represents the beneficiary's account name.                         |
| BENEFICIARYACCCY                     | VAR-CHAR2 | 3      | Optional           |                       | Represents the account currency of the beneficiary's bank account. |
| ACCOUNT-NUMBER                       | VAR-CHAR2 | 20     | Mandatory          |                       | Indicates the account number of the beneficiary                    |
| COUNTRYOF-DOMICILE                   | VAR-CHAR2 | 3      | Optional           |                       | Country Of Domicile  |
| IBAN                                 | VAR-CHAR2 | 40     | Optional           |                       | Indicates the International Bank Account Number.                   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| FATCASTATUS                          | VAR-CHAR2 | 25     | Optional           |                       | FATCA Status  |
| ADDRESS-LINE1                        | VAR-CHAR2 | 105    | Mandatory          |                       | Represents the first line of beneficiary's address.             |
| ADDRESS-LINE2                        | VAR-CHAR2 | 105    | Mandatory          |                       | Represents the second line of beneficiary's address.            |
| ADDRESS-LINE3                        | VAR-CHAR2 | 105    | Optional           |                       | Represents the third line of beneficiary's address.             |
| ADDRESS-LINE4                        | VAR-CHAR2 | 80     | Optional           |                       | Represents the fourth line of beneficiary's address.            |
| ZIPCODE                              | VAR-CHAR2 | 10     | Mandatory          |                       | Indicates the locational ZIP code for the beneficiary's address |
| COUNTRY                              | VAR-CHAR2 | 3      | Mandatory          |                       | Indicates the country of beneficiary                            |
| TELEPHONE1                           | VAR-CHAR2 | 60     | Optional           |                       | Telephone Number 1  |
| EMAIL                                | VAR-CHAR2 | 255    | Optional           |                       | Email ID  |
| CONTACTPERSON                        | VAR-CHAR2 | 160    | Optional           |                       | Indicates the name of beneficiary's contact person.             |
| EXISTINGU-HORCIF                     | VAR-CHAR2 | 1      | Mandatory          |                       | Indicates whether its existing UH or CIF                        |

### 2.2.5 Unit Holder Bank Details

Serial Number: 4

Segment Identification Number: 03BNK

Description: This segment is used for providing UnitHolder Bank related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|---------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                       | Segment Id. Value of Segment Id is 03BNK  |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                       | Process ID  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                       | Sequence Number   |
| BANKCODE                             | VAR-CHAR2 | 12     | Mandatory           |                       | Represents the Entity bank code.  |
| BRANCHCODE                           | VAR-CHAR2 | 12     | Mandatory           |                       | Represents the Entity branch code.  |
| ACCOUNTTYPE                          | VAR-CHAR2 | 1      | Mandatory           |                       | Represents Type of the Account.   |
| ACCOUNTNUMBER                        | VAR-CHAR2 | 20     | Mandatory           |                       | Represents Entity account number.   |
| ACCOUNT-NAME                         | VAR-CHAR2 | 100    | Mandatory           |                       | Represents Entity account name.   |
| ACCOUNTCURRENCY                      | VAR-CHAR2 | 3      | Mandatory           |                       | Represents Entity account currency.   |
| DEFAULTDETAILS                       | NUMBER    | 1      | Mandatory           |                       | Indicates the default details   |
| DIRECTDEBITAPPLICABLE                | NUMBER    | 1      | Optional            |                       | Indicates whether direct debit is applicable for the entity. If Yes (1) else (0). |
| ACCOUNTCATEGORY                      | VAR-CHAR2 | 1      | Optional            |                       | Indicates the account category for the unitholder.                                |
| INITIALS                             | VAR-CHAR2 | 10     | Optional            |                       | Indicates the initials for the unitholder entity.                                 |
| LASTNAME                             | VAR-CHAR2 | 40     | Optional            |                       | Indicates the last name for the unitholder entity.                                |
| IDENTIFICATIONTYPE                   | VAR-CHAR2 | 3      | Optional            |                       | Indicates the type of identification used for the unitholder.                     |
| IDENTIFICATIONNUMBER                 | VAR-CHAR2 | 50     | Mandatory           |                       | Indicates the identification number used for the unitholder.                      |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|---------------------|-----------------------|---|
| STATUS                               | VAR-CHAR2 | 1      | Optional            |                       | Indicates the Transaction status  |
| IBAN                                 | VAR-CHAR2 | 40     | Optional            |                       | Indicates the International Bank Account Number.  |
| UNITHOLDERID                         | VAR-CHAR2 | 12     | Mandatory           |                       | Unitholder ID   |
| REFERENCE-NUMBER                     | VAR-CHAR2 | 12     | Optional            |                       | Indicates a unique reference Number for a Transaction. It can be entered by the user, otherwise it is system generated.   |
| FUNDID                               | VAR-CHAR2 | 6      | Mandatory           |                       | Represents a unique Identification for each Fund in the system. It consist of maximum of 6 alphanumeric character. Its Foreign key is FUNDID column Of FUNDDEMOGRAPHICSTBL Table. |
| TRANSACTION-TYPE                     | VAR-CHAR2 | 3      | Mandatory           |                       | Indicates the Transaction Type for which Transaction Rules will be specified.   |
| UHBICCODE                            | VAR-CHAR2 | 24     | Optional            |                       | UnitHolder BIC Code   |
| SWIFTFORMAT                          | VAR-CHAR2 | 6      | Optional            |                       | Specifies Swift Format used for the entity  |
| BANKCHARGED                          | VAR-CHAR2 | 12     | Optional            |                       | Indicates bank charged  |
| BENEFICIARY                          | VAR-CHAR2 | 35     | Optional            |                       | Specifies Beneficiary name of the entity.   |
| BENEFICIARY-ADD1                     | VAR-CHAR2 | 105    | Optional            |                       | Specifies Entity's Beneficiary address line1.   |
| BENEFICIARY-ADD2                     | VAR-CHAR2 | 105    | Optional            |                       | Specifies Entity's Beneficiary address line2.   |
| BENEFICIARY-ADD3                     | VAR-CHAR2 | 105    | Optional            |                       | Specifies Entity's Beneficiary address line3.   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|---------------------|-----------------------|--|
| BENEFICIARY-BICCODE                  | VAR-CHAR2 | 24     | Optional            |                       | Specifies BIC Code of the Beneficiary.                                 |
| BENEFICIARY-YACCTNO                  | VAR-CHAR2 | 34     | Optional            |                       | Specifies Beneficiary's Account Number.                                |
| BENEFICIARY-CODE                     | VAR-CHAR2 | 24     | Optional            |                       | Specifies Unique code of the beneficiary.                              |
| BENEFICIARY-INFO                     | VAR-CHAR2 | 100    | Optional            |                       | Specifies Additional information about the beneficiary.                |
| INTERMEDIARY                         | VAR-CHAR2 | 35     | Optional            |                       | Specifies Intermediary name of the entity.                             |
| INTERMEDIARYADD1                     | VAR-CHAR2 | 105    | Optional            |                       | Specifies Entity's Intermediary address line1.                         |
| INTERMEDIARYADD2                     | VAR-CHAR2 | 105    | Optional            |                       | Specifies Entity's Intermediary address line2.                         |
| INTERMEDIARYADD3                     | VAR-CHAR2 | 105    | Optional            |                       | Specifies Entity's Intermediary address line3.                         |
| INTERMEDIARYBICCODE                  | VAR-CHAR2 | 24     | Optional            |                       | Specifies BIC Code of the Intermediary.                                |
| INTERMEDIARYYACCTNO                  | VAR-CHAR2 | 34     | Optional            |                       | Specifies Intermediary's Account number                                |
| INTERMEDIARYCODE                     | VAR-CHAR2 | 24     | Optional            |                       | Specifies Unique code of the intermediary.                             |
| INTERMEDIARYINFO                     | VAR-CHAR2 | 100    | Optional            |                       | Specifies Additional information about the intermediary.               |
| FURTHER-CREDITNAME                   | VAR-CHAR2 | 35     | Optional            |                       | Specifies further credit name  |
| FURTHER-CREDITACCTNO                 | VAR-CHAR2 | 35     | Optional            |                       | Specifies Account number to which further credits must be carried out. |
| REFERENCE1                           | VAR-CHAR2 | 35     | Optional            |                       | Specifies Reference 1 for the Entity.                                  |
| REFERENCE2                           | VAR-CHAR2 | 35     | Optional            |                       | Specifies Reference 2 for the Entity.                                  |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|---------------------|-----------------------|--|
| RELATIONSHIP                         | VAR-CHAR2 | 40     | Optional            |                       | Indicates the relationship between the beneficiary and the customer. |
| BENEFICIARY-IBAN                     | VAR-CHAR2 | 40     | Optional            |                       | Specifies Beneficiary's International Bank Account Number.           |
| INTERMEDIARYIBAN                     | VAR-CHAR2 | 40     | Optional            |                       | Specifies Intermediary's International Bank Account Number.          |

## 2.2.6 Unit Holder Intermediary Details

Serial Number: 5

Segment Identification Number: 04INM

Description: This segment is used for providing UnitHolder Intermediary related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|---------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                       | Segment Id. Value of Segment Id is 04INM  |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory           |                       | Process ID  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                       | Sequence Number   |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Mandatory           |                       | Indicates the type of entity who acts as an intermediary for the unitholder. Along with UNITHOLDERID, forms the primary key of the table. |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| ENTITYID                             | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the unique identification code for the entity who acts as the intermediary for the unitholder. Along with UNITHOLDERID, forms the primary key of the table. |
| SPLITPERCENT                         | NUMBER    | 5      | Mandatory          |                       | Indicates the commission percentage entitled for the respective unitholder's intermediary.   |
| DEFAULTINTERMEDIARY                  | NUMBER    | 1      | Mandatory          |                       | Default intermediary   |

## 2.2.7 Unit Holder Delivery Details

Serial Number: 6

Segment Identification Number: 05DEL

Description: This segment is used for providing UnitHolder Delivery related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment Id. Value of Segment Id is 05DEL |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Sequence Number                          |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory          |                       | Process ID                               |
| ENTITYID                             | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the Entityid                  |
| ACCOUNTTYPE                          | VAR-CHAR2 | 1      | Mandatory          |                       | Represents the Accounttype               |
| ACCOUNTNUMBER                        | VAR-CHAR2 | 20     | Mandatory          |                       | Represents the Accountnumber             |



| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values                        |
|--------------------------------------|-----------|--------|---------------------|-----------------------|-------------------------------|
| ACCOUNTNAME                          | VAR-CHAR2 | 105    | Mandatory           |                       | Represents the Accountname    |
| DEFAULTDETAILS                       | NUMBER    | 1      | Mandatory           |                       | Represents the Defaultdetails |

## 2.2.8 Unit Holder Related Parties Details

Serial Number: 7

Segment Identification Number: 06REL

Description: This segment is used for providing UnitHolder Related Parties related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|---------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                       | Segment Id. Value of Segment Id is 06REL  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                       | Sequence Number   |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory           |                       | Process ID  |
| ENTITYID                             | VAR-CHAR2 | 12     | Mandatory           |                       | Indicates the unique identification code for the related party entity associated with the unitholder. |
| ENTITYTYPE                           | VAR-CHAR2 | 2      | Mandatory           |                       | Indicates the entity type of the unitholder's related party.  |
| RELATIONSHIP                         | VAR-CHAR2 | 10     | Optional            |                       | Indicates the relationship between the related party entity and the unitholder.                       |
| REPORTINGLEVEL                       | VAR-CHAR2 | 1      | Optional            |                       | Indicates the reporting level of the related party entity to the unitholder.                          |
| PREFMAILMODE                         | VAR-CHAR2 | 1      | Optional            |                       | Indicates the preferred mail mode for the related party entity.                                       |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| REMARKS                              | VAR-CHAR2 | 255    | Optional           |                       | Indicates the descriptive remarks column for the record. |

## 2.2.9 Unit Holder Joint Holder Details

Serial Number: 8

Segment Identification Number: 07JNT

Description: This segment is used for providing UnitHolder JointHolder related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment Id. Value of Segment Id is 07JNT                    |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Process ID  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Sequence Number   |
| BENEFICIARYID                        | VAR-CHAR2 | 12     | Optional           |                       | Indicates the joint holder Id.                              |
| BENEFICIARYNAME                      | VAR-CHAR2 | 100    | Optional           |                       | Indicates the descriptive name for the joint holder.        |
| BENEFICIARY-TYPE                     | VAR-CHAR2 | 2      | Mandatory          |                       | Indicates the type of joint holder.                         |
| BENEFICIARY-DOB                      | DATE      | 10     | Mandatory          |                       | Represents the Date of Birth for the joint holder.          |
| BENEFICIARY-GENDER                   | VAR-CHAR2 | 1      | Mandatory          |                       | Indicates the gender of joint holder                        |
| BENEFICIARYP-ANNO                    | VAR-CHAR2 | 15     | Optional           |                       | Indicates the Permanent Account Number of the joint holder. |

|                    |            |     |           |  |   |
|--------------------|------------|-----|-----------|--|---|
| BENEFICIARY-FORM60 | NUMBER     | 1   | Optional  |  | Indicates whether the Form 60 is needed in case the joint holder doesn't have a valid PAN number. |
| BENEFICIARYMFIN    | VAR-CHAR 2 | 15  | Optional  |  | Indicates the MFIN information for the joint holder.  |
| COUNTRYOF-DOMICILE | VAR-CHAR 2 | 3   | Optional  |  | Indicates the country of domicile of joint holder.  |
| RECOVERCGT         | NUMBER     | 1   | Optional  |  | Indicates whether the capital gain tax is to be deducted from the transaction or not.             |
| ADDRESSLINE1       | VAR-CHAR 2 | 105 | Mandatory |  | Represents the first line of joint holder's address.  |
| ADDRESSLINE2       | VAR-CHAR 2 | 105 | Mandatory |  | Represents the second line of joint holder's address.   |
| ADDRESSLINE3       | VAR-CHAR 2 | 105 | Optional  |  | Represents the third line of joint holder's address.  |
| ADDRESSLINE4       | VAR-CHAR 2 | 80  | Optional  |  | Represents the fourth line of joint holder's address.   |
| ZIPCODE            | VAR-CHAR 2 | 10  | Mandatory |  | Indicates the locational ZIP code for the joint holder's address                                  |
| COUNTRY            | VAR-CHAR 2 | 3   | Mandatory |  | Indicates the country of joint holder   |
| EMAIL              | VAR-CHAR 2 | 255 | Optional  |  | Indicates the email address of joint holder.  |
| CONTACTPERSON      | VAR-CHAR 2 | 160 | Optional  |  | Indicates the name of joint holder's contact person.  |
| EXISTINGU-HORCIF   | VAR-CHAR 2 | 1   | Mandatory |  | Indicates whether its existing UH or CIF  |

### 2.2.10 Unit Holder Nominee Details

Serial Number: 9

Segment Identification Number: 08NME

Description: This segment is used for providing Nominee related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment Id. Value of Segment Id is 08NME   |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory          |                       | Sequence Number  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID   |
| BENEFICIARYID                        | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the unique identification code for the nominee of unitholder.                     |
| BENEFICIARYNAME                      | VAR-CHAR2 | 100    | Mandatory          |                       | Indicates the type of nominee.   |
| BENEFICIARY-TYPE                     | VAR-CHAR2 | 2      | Mandatory          |                       | Indicates the descriptive name for the nominee.  |
| BENEFICIARY-DOB                      | DATE      | 10     | Mandatory          |                       | Represents the Date of Birth for the nominee.  |
| BENEFICIARY-GENDER                   | VAR-CHAR2 | 1      | Optional           |                       | Indicates the gender of nominee.   |
| BENEFICIARYID-TYPE                   | VAR-CHAR2 | 3      | Optional           |                       | Indicates the identification type of nominee.  |
| BENEFICIARYID-NUMBER                 | VAR-CHAR2 | 50     | Optional           |                       | Indicates the unique identification number for the nominee                                   |
| BENEFICIARYP-ANNO                    | VAR-CHAR2 | 15     | Optional           |                       | Indicates the Permanent Account Number of the nominee.                                       |
| BENEFICIARY-FORM60                   | NUMBER    | 1      | Optional           |                       | Indicates whether the Form 60 is needed in case the nominee doesn't have a valid PAN number. |
| BENEFICIARYRELATION                  | VAR-CHAR2 | 70     | Optional           |                       | Represents the relation of nominee to the mentioned unitholder.                              |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| BENEFICIAR-YAWARD                    | NUMBER    | 5      | Optional           |                       | Indicates the award percentage applicable for the nominee      |
| ADDRESSLINE1                         | VAR-CHAR2 | 105    | Mandatory          |                       | Represents the first line of nominee's address.                |
| ADDRESSLINE2                         | VAR-CHAR2 | 105    | Mandatory          |                       | Represents the second line of nominee's address.               |
| ADDRESSLINE3                         | VAR-CHAR2 | 105    | Optional           |                       | Represents the third line of nominee's address.                |
| ADDRESSLINE4                         | VAR-CHAR2 | 80     | Optional           |                       | Represents the fourth line of nominee's address.               |
| ZIPCODE                              | VAR-CHAR2 | 10     | Mandatory          |                       | Indicates the locational ZIP code for the nominee's address    |
| COUNTRY                              | VAR-CHAR2 | 3      | Mandatory          |                       | Indicates the country of nominee                               |
| EMAIL                                | VAR-CHAR2 | 255    | Optional           |                       | Indicates the email address of nominee.                        |
| CONTACTPERSON                        | VAR-CHAR2 | 160    | Optional           |                       | Indicates the name of nominee's contact person.                |
| BENEFICIARYBANKCODE                  | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the bank identification code for the nominee.       |
| BENEFICIARYBRANCHCODE                | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the branch identification code for the nominee.     |
| BENEFICIARYACCTTYPE                  | VAR-CHAR2 | 1      | Optional           |                       | Represents the nominee's account type.                         |
| BENEFICIARYACCCY                     | VAR-CHAR2 | 3      | Optional           |                       | Represents the nominee's account name.                         |
| ACCOUNTNUMBER                        | VAR-CHAR2 | 20     | Mandatory          |                       | Represents the account currency of the nominee's bank account. |
| BENEFICIARYACCCNAME                  | VAR-CHAR2 | 100    | Optional           |                       | Indicates the account number of the nominee                    |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| IBAN                                 | VAR-CHAR2 | 40     | Optional           |                       | Indicates the International Bank Account Number. |
| COUNTRYOF-DOMICILE                   | VAR-CHAR2 | 25     | Optional           |                       | Indicates the country of domicile of nominee.    |
| EXISTINGUHOR-CIF                     | VAR-CHAR2 | 1      | Mandatory          |                       | Indicates whether its existing UH or CIF         |

### 2.2.11 Unit Holder Director Details

Serial Number: 10

Segment Identification Number: 09DIR

Description: This segment is used for providing Director related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment Id. Value of Segment Id is 09DIR                                  |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory          |                       | Sequence Number   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID  |
| EXISTINGUHOR-CIF                     | VAR-CHAR2 | 1      | Optional           |                       | Indicates whether its existing UH or CIF                                  |
| BENEFICIARYNAME                      | VAR-CHAR2 | 100    | Optional           |                       | Indicates the descriptive name for the Director.                          |
| BENEFICIARYID                        | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the unique identification code for the Director of unitholder. |
| BENEFICIARY-DOB                      | DATE      | 10     | Mandatory          |                       | Represents the Date of Birth for the Director.                            |
| BENEFICIARY-GENDER                   | VAR-CHAR2 | 1      | Mandatory          |                       | Indicates the gender of Director.   |
| BENEFICIARYID-TYPE                   | VAR-CHAR2 | 3      | Optional           |                       | Indicates the identification type of Director.                            |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| BENEFICIARYID-NUMBER                 | VAR-CHAR2 | 50     | Mandatory          |                       | Indicates the unique identification number for the Director     |
| COUNTRYOF-DOMICILE                   | VAR-CHAR2 | 3      | Optional           |                       | Indicates the country of domicile of Director.                  |
| ADDRESSLINE1                         | VAR-CHAR2 | 105    | Mandatory          |                       | Represents the first line of Director's address.                |
| ADDRESSLINE2                         | VAR-CHAR2 | 105    | Mandatory          |                       | Represents the second line of Director's address.               |
| ADDRESSLINE3                         | VAR-CHAR2 | 105    | Optional           |                       | Represents the third line of Director's address.                |
| ADDRESSLINE4                         | VAR-CHAR2 | 80     | Optional           |                       | Represents the fourth line of Director's address.               |
| COUNTRY                              | VAR-CHAR2 | 3      | Optional           |                       | Indicates the country of Director                               |
| ZIPCODE                              | VAR-CHAR2 | 10     | Mandatory          |                       | Indicates the locational ZIP code for the Director's address    |
| EMAIL                                | VAR-CHAR2 | 255    | Optional           |                       | Indicates the email address of Director.                        |
| CONTACTPERSON                        | VAR-CHAR2 | 160    | Optional           |                       | Indicates the name of Director's contact person.                |
| BENEFICIARYBANKCODE                  | VAR-CHAR2 | 12     | Optional           |                       | Represents the bank identification code for the Director.       |
| BENEFICIARYBRANCHCODE                | VAR-CHAR2 | 12     | Optional           |                       | Represents the branch identification code for the Director.     |
| BENEFICIARYACCOUNTTYPE               | VAR-CHAR2 | 1      | Optional           |                       | Represents the Director's account type.                         |
| BENEFICIARYACCOUNTCURRENCY           | VAR-CHAR2 | 3      | Optional           |                       | Represents the account currency of the Director's bank account. |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|---------------------|-----------------------|---|
| ACCOUNTNUMBER                        | VAR-CHAR2 | 20     | Optional            |                       | Represents the account currency of the Director's bank account. |
| BENEFICIARYACCNAME                   | VAR-CHAR2 | 100    | Optional            |                       | Represents the Director's account name.                         |
| IBAN                                 | VAR-CHAR2 | 40     | Optional            |                       | Indicates the International Bank Account Number.                |
| PERCENTHOLDINGS                      | NUMBER    | 5      | Optional            |                       | Indicate Percentage Holding                                     |
| DESIGNATION                          | VAR-CHAR2 | 100    | Optional            |                       | Designation   |

### 2.2.12 Unit Holder Additional Information Details

Serial Number: 11

Segment Identification Number: 10ADD

Description: This segment is used for providing Additional Information related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values                                   |
|--------------------------------------|-----------|--------|---------------------|-----------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                       | Segment Id. Value of Segment Id is 10ADD |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory           |                       | Sequence Number                          |
| PROCESSID                            | VAR-CHAR2 | 200    | Mandatory           |                       | Process ID                               |
| ADDINFOLABEL                         | VAR-CHAR2 | 120    | Mandatory           |                       | Addinfo Label                            |
| FIELDVALUE                           | CHAR      | 92     | Mandatory           |                       | Field Value                              |

### 2.2.13 Unit Holder KYC Application Form Details

Serial Number: 12

Segment Identification Number: 11KAP



Description: This segment is used for providing KYC Application form related Information.

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory | Characteristics/<br>rules | Values            |
|---|-----------|--------|-----------|---------------------------|-------------------|
| SEG-MENTID                              | VAR-CHAR2 | 20     | YES       |                           | Segment ID        |
| SEQUENCE-NUMBER                         | NUMBER    | 22     | YES       |                           | Sequence number   |
| PROCESSID                               | VAR-CHAR2 | 50     | YES       |                           | Process ID        |
| UNITHOLDERID                            | VAR-CHAR2 | 12     | YES       |                           | Unit holder ID    |
| APPLICABLELEVEL                         | VAR-CHAR2 | 1      | NO        |                           | Applicable level  |
| APPLICABLEENTITYID                      | VAR-CHAR2 | 12     | NO        |                           | Applicable Entity |
| COPYRECEIVED                            | VAR-CHAR2 | 1      | NO        |                           | Copy received     |
| ORIGINALRECEIVED                        | VAR-CHAR2 | 1      | NO        |                           | Original received |

#### **2.2.14 Unit Holder Stop Account Details**

Serial Number: 13

Segment Identification Number: 12STP

Description: This segment is used for providing Stop Code Restrictions related Information.

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandat<br>ory | Charact<br>eristics/<br>rules | Values   |
|--|-----------|--------|---------------|-------------------------------|--|
| SEGMENTID                                  | VARCHAR2  | 20     | YES           |                               | Segment Id. Value<br>of Segment Id is<br>12STP |
| SEQUENCE-<br>NUMBER                        | NUMBER    | 10     | YES           |                               | Sequence Number                                |
| PROCESSID                                  | VARCHAR2  | 50     | YES           |                               | Process ID                                     |
| UNITHOLDE-<br>RID                          | VARCHAR2  | 12     | YES           |                               | Unitholder ID                                  |
| STOPCODE                                   | VARCHAR2  | 25     | YES           |                               | Stop Code                                      |
| STOPAC-<br>COUNTCODE                       | VARCHAR2  | 20     | YES           |                               | Stop Account<br>Code                           |
| REASON                                     | VARCHAR2  | 255    | NO            |                               | Stop Account Rea-<br>son                       |
| EFFECTIVE-<br>DATE                         | DATE      |        | YES           |                               | Stop Account<br>Effective Date                 |
| RELEASE-<br>DATE                           | DATE      |        | NO            |                               | Stop Account<br>Release Date                   |

### 2.2.15 Unit Holder Communication Mode Restriction Details

Serial Number: 14

Segment Identification Number: 13COM

Description: This segment is used for providing Communication Mode Restrictions related Information.

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data type     | Length | Mandat<br>ory | Charact<br>eristics/<br>rules | Values                                      |
|--|---------------|--------|---------------|-------------------------------|---|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | YES           |                               | Segment Id. Value of<br>Segment Id is 13COM |
| SEQUENCE-<br>NUMBER                        | NUMBER        | 10     | YES           |                               | Sequence Number                             |

| Field Name<br>(as expected<br>in the file) | Data type     | Length | Mandat<br>ory | Charact<br>eristics/<br>rules | Values             |
|--|---------------|--------|---------------|-------------------------------|--------------------|
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | YES           |                               | Process ID         |
| UNITHOLDE-<br>RID                          | VAR-<br>CHAR2 | 12     | YES           |                               | Unitholder ID      |
| COMMUNI-<br>CATION-<br>MODE                | VAR-<br>CHAR2 | 2      | YES           |                               | Communication Mode |
| RESTRIC-<br>TION                           | VAR-<br>CHAR2 | 1      | YES           |                               | Restriction Value  |

## 2.2.16 Unit Holder KIID Details

Serial Number: 15

Segment Identification Number: 14KII

Description: This segment is used for providing KIID Details.

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data type     | Length | Mandat<br>ory | Charact<br>eristics/<br>rules | Values                                      |
|--|---------------|--------|---------------|-------------------------------|---|
| SEGMENT-<br>TID                            | VAR-<br>CHAR2 | 80     | YES           |                               | Segment Id. Value of<br>Segment Id is 14KII |
| SEQUEN-<br>CENUMBER                        | NUMBER        | 22     | YES           |                               | Sequence Number                             |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | YES           |                               | Process ID                                  |
| UNITHOLD-<br>ERID                          | VAR-<br>CHAR2 | 12     | NO            |                               | Unitholder ID                               |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | NO            |                               | Fund ID                                     |
| EFFECTIVE-<br>DATE                         | DATE          | 7      | NO            |                               | Effective Date                              |

## 2.2.17 Unit Holder Contact information

Serial Number: 16

Segment Identification Number: 15CON

Description: This segment is used for providing Unit Holder Contact information.

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data type     | Length | Mandatory | Characteristics/<br>rules | Values   |
|--|---------------|--------|-----------|---------------------------|--|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Yes       |                           | Segment Id.<br>Value of Segment<br>Id is 15CON |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Yes       |                           | Sequence Num-<br>ber                           |
| SEQUENCE-<br>NUMBER                        | NUMBER        | 10     | Yes       |                           | Process ID                                     |
| UNITHOLDER<br>ID                           | VARCHAR<br>2  | 12     | Yes       |                           | Unitholder ID                                  |
| REFERENCE<br>NUMBER                        | VARCHAR<br>2  | 12     | Yes       |                           | Reference<br>Number                            |
| ADDRESSTY<br>PE                            | VARCHAR<br>2  | 2      | Yes       |                           | Address Type                                   |
| ADDRESSLIN<br>E1                           | VARCHAR<br>2  | 105    | No        |                           | Address Line 1                                 |
| ADDRESSLIN<br>E2                           | VARCHAR<br>2  | 105    | No        |                           | Address Line 2                                 |
| ADDRESSLIN<br>E3                           | VARCHAR<br>2  | 105    | No        |                           | Address Line 3                                 |
| ADDRESSLIN<br>E4                           | VARCHAR<br>2  | 80     | No        |                           | Address Line 4                                 |
| ZIPCODE                                    | VARCHAR<br>2  | 10     | No        |                           | Zip Code                                       |
| COUNTRY                                    | VARCHAR<br>2  | 3      | No        |                           | Country  |
| USSTATE                                    | NUMBER        | 1      | No        |                           | Us State                                       |

| Field Name<br>(as expected<br>in the file) | Data type    | Length | Mandatory | Charact<br>eristics/<br>rules | Values                 |
|--|--------------|--------|-----------|-------------------------------|------------------------|
| TELEPHONE<br>1                             | VARCHAR<br>2 | 105    | No        |                               | Telephone 1            |
| TELEPHONE<br>2                             | VARCHAR<br>2 | 60     | No        |                               | Telephone 2            |
| CELLPHONE<br>NUMBER                        | VARCHAR<br>2 | 60     | No        |                               | Cell Phone<br>Number   |
| FAX  | VARCHAR<br>2 | 120    | No        |                               | Fax Number             |
| EMAIL                                      | VARCHAR<br>2 | 255    | No        |                               | Email                  |
| CONTACTPE<br>RSON                          | VARCHAR<br>2 | 160    | No        |                               | Contact Person         |
| EFFECTIVEF<br>ROMDATE                      | DATE         |        | No        |                               | Effective From<br>Date |
| EFFECTIVET<br>ODATE                        | DATE         |        | No        |                               | Effective To Date      |
| BICCODE                                    | VARCHAR<br>2 | 16     | No        |                               | Biccode                |
| INTDIALLING<br>CODEPH1                     | VARCHAR<br>2 | 10     | No        |                               | Phone Number 1         |
| INTDIALLING<br>CODEPH2                     | VARCHAR<br>2 | 10     | No        |                               | Phone Number 2         |
| INTDIALLING<br>CODECELL                    | VARCHAR<br>2 | 10     | No        |                               | Phone Number 3         |
| INTDIALLING<br>CODEFAX                     | VARCHAR<br>2 | 10     | No        |                               | Fax Number             |

## 2.3 Transactions File Format

This section contains the following topics:

- [Section 2.3.1, "Segment Identification Number"](#)
- [Section 2.3.2, "Transaction related Information"](#)
- [Section 2.3.3, "Transaction Settlement related Information"](#)
- [Section 2.3.4, "KYC Transaction related Information"](#)
- [Section 2.3.5, "Transaction Additional Information Details"](#)

- [Section 2.3.6, "Transaction Intermediary related Information"](#)
- [Section 2.3.7, "Transaction Certificate related Information"](#)
- [Section 2.3.8, "Transaction Receipt related Information"](#)
- [Section 2.3.9, "Transaction Delivery related Information"](#)
- [Section 2.3.10, "Transaction Limit Order related Information"](#)
- [Section 2.3.11, "Transaction Load Override related Information"](#)
- [Section 2.3.12, "Transaction Derived Load Override related Information"](#)
- [Section 2.3.13, "Transaction Pseudo Switch related Information"](#)
- [Section 2.3.14, "Transaction Switch In Fund Load Override related Information"](#)
- [Section 2.3.15, "Transaction Switch In Fund Derived Load Override related Information"](#)

### 2.3.1 Segment Identification Number

#### Note

For all imports of new and amended transactions data, the external hand-off file containing the information must:

- Always be named in the format "BulkTxn\*.\*".
- Always contain all information marked as mandatory in the table below.
- Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below:

#### Note

If you have disallowed upload of amended transactions for a particular client, by selecting the 'No' option in the Allow Amendment field, when you are setting up the default information for the bulk client, amended orders are not imported for the bulk client, but errors are logged in respect of them.

The upload file would contain various segment identification numbers in the first column to indicate the type of data that is present in that entire row. Each segment identified by a unique tag, indicates the type of transaction information such as Transaction, Settlement info and so on. The possible segments that would be used in Transaction upload are given below for reference:

| Segment Identification Number | Description   | Segment Mandatory / Optional?   |
|-------------------------------|---|---|
| 00CON                         | This segment is used for providing Transaction related Information            | Mandatory; and will be the driving header segment for all the other segments. |
| 01SET                         | This segment is used for providing Transaction Settlement related Information | Mandatory   |
| 02KYC                         | This segment is used for providing KYC Transaction related Information        | Optional  |

| <b>Segment Identification Number</b> | <b>Description</b>  | <b>Segment Mandatory / Optional?</b> |
|--------------------------------------|---|--------------------------------------|
| 03AIF                                | This segment is used for providing Additional Information Details                           | Optional                             |
| 04INT                                | This segment is used for providing Transaction Intermediary related Information             | Optional                             |
| 05CER                                | This segment is used for providing Certificate related Information                          | Optional                             |
| 06REC                                | This segment is used for providing Transaction Receipt related Information                  | Optional                             |
| 07DEL                                | This segment is used for providing Delivery related Information                             | Optional                             |
| 08LMO                                | This segment is used for providing Limit Order related Information                          | Optional                             |
| 09LOD                                | This segment is used for providing Transaction Load Override related Information            | Optional                             |
| 10DLO                                | This segment is used for providing Derived Load Override related Information                | Optional                             |
| 11PSE                                | This segment is used for providing Pseudo Switch related Information                        | Optional                             |
| 12PSL                                | This segment is used for providing Switch In Fund Load Override related Information         | Optional                             |
| 13PSD                                | This segment is used for providing Switch In Fund Derived Load Override related Information | Optional                             |

### **2.3.2 Transaction related Information**

Serial Number: 1

Segment Identification Number: 00CON

Description: This segment is used for providing Transaction related Information.

## Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|---------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                       | Segment ID - 00CON  |
| TXNSERIAL-NUMBER                     | NUMBER    | 10     | Mandatory           |                       | Process ID  |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                       | Sequence Number   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                       | Transaction Serial Number   |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Mandatory           |                       | Bulk Client Code  |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Mandatory           |                       | Import File Name  |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory           |                       | Mode of Transaction , N – New, E- Modify, D - Delete, A - Auth, R-Reversal, I – Incremental |
| AMCID                                | VAR-CHAR2 | 12     | Mandatory           |                       | AMC ID  |
| TRANSACTION-NUMBER                   | VAR-CHAR2 | 16     | Mandatory           |                       | Transaction Number  |
| REFERENCE-NUMBER                     | VAR-CHAR2 | 16     | Optional            |                       | Reference Number  |
| TRANSACTION-DATE                     | DATE      | 7      | Mandatory           |                       | Transaction Date  |
| UNITHOLDERID                         | VAR-CHAR2 | 60     | Mandatory           |                       | Unitholder Id   |
| REFUNITHOLDERID                      | VAR-CHAR2 | 12     | Mandatory           |                       | Reference Unit Holder ID  |
| AGENTCODE                            | VAR-CHAR2 | 12     | Mandatory           |                       | Agent Code  |
| BRANCHCODE                           | VAR-CHAR2 | 12     | Mandatory           |                       | Branch Code   |
| FUNDID                               | VAR-CHAR2 | 25     | Mandatory           |                       | Fund ID   |



| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|---------------------|-----------------------|---|
| AMOUNT                               | NUMBER    | 15     | Optional            |                       | Amount  |
| PAYMENTMODE                          | VAR-CHAR2 | 1      | Optional            |                       | Payment Mode.C-Cash, Q-Cheque, T-Transfer, R-Card, M-Multi-payment, D-Draft.              |
| CHEQUENUMBER                         | VAR-CHAR2 | 16     | Optional            |                       | Cheque Number   |
| CLEARINGDATE                         | DATE      | 7      | Optional            |                       | Clearing Date   |
| TRANSACTION-CURRENCY                 | VAR-CHAR2 | 3      | Optional            |                       | Transaction Currency  |
| TRANSACTION-MODE                     | VAR-CHAR2 | 1      | Optional            |                       | Transaction Mode.A-Amount, U-Units, P-Percentage  |
| UNITSAPPLIED                         | NUMBER    | 15     | Optional            |                       | Units   |
| PERCENTAPPLIED                       | NUMBER    | 5      | Optional            |                       | Percentage  |
| TOFUNDID                             | VAR-CHAR2 | 25     | Optional            |                       | To Fund ID  |
| TOUNITHOLDERID                       | VAR-CHAR2 | 60     | Optional            |                       | To Unitholder Id  |
| REFTOUNITHOLDERID                    | VAR-CHAR2 | 12     | Optional            |                       | Reference To Unit Holder ID   |
| TRANSACTION-TYPE                     | VAR-CHAR2 | 2      | Mandatory           |                       | Transaction Type. 01-IPO Subscription,02-Subscription,03-Redemption,04-Switch,05-Transfer |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/rules   | Values   |
|--------------------------------------|-----------|--------|---------------------|---|--|
| REFTYPE                              | VAR-CHAR2 | 2      | Optional            |   | Ref Type. For example ,reftype - 56, transaction Type - 05 it will consider as "No Change of beneficiary ownership' Transfer transaction |
| REMARKS                              | VAR-CHAR2 | 255    | Optional            |   | Remarks  |
| CIFNUMBER                            | VAR-CHAR2 | 12     | Optional            |   | CIF Number   |
| TOCIFNUMBER                          | VAR-CHAR2 | 12     | Optional            |   | To CIF Number  |
| GROSSORNET                           | VAR-CHAR2 | 1      | Optional            | For the redemption transactions, by default the value will be 'Net', if the same is not provided by the user. | Gross Or Net.G-Gross,N-Net   |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|---------------------|-----------------------|--|
| SUBPAYMENT-MODE                      | VAR-CHAR2 | 2      | Optional            |                       | Sub Payment Mode.T-Money Transfer, Q-Cheque, R-Credit Card, D-Demand Draft, F-Against Payment, I-In Kind Payment, AT-Advice, BQ-Broker Cheque, CQ-Cashier cheque, DR-Debit Card, DT-Direct Debit, FQ-Foreign Cheque, GQ-Government Cheque, IQ-Other Institution Cheque, LQ-Local Cheque, OQ-Out Of Town Cheque, PD-Pay Order, PQ-Payroll Deduction, RQ-Reserve Bank Cheque, TT-Telegraphic Transfer, WT-Wire Transfer. |
| COMMUNICATIONMODE                    | VAR-CHAR2 | 2      | Optional            |                       | Communication Mode.CW-Check Writing,DI-Direct (In Person),EM-E-Mail,IN-Internet,RA-Registered Investment Advisor,RD-Registered Dealer,SP-Savings Plan,SW-SWIFT,TL-Telephone  |
| POLICYNUMBER                         | VAR-CHAR2 | 16     | Optional            |                       | Policy Number  |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|---------------------|-----------------------|---|
| PRODUCTID                            | VAR-CHAR2 | 10     | Optional            |                       | Product Id  |
| LOIAPPLICABLE                        | NUMBER    | 1      | Optional            |                       | LOI Transaction. 1-Yes,0-No   |
| REFFUNDID                            | VAR-CHAR2 | 6      | Mandatory           |                       | Reference Fund ID   |
| REFTOFUNDID                          | VAR-CHAR2 | 6      | Optional            |                       | Reference To Fund ID  |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Optional            |                       | Entity Type.A-Agent, N-Branch Code, I-IFA,O-Account Officer, B-Broker |
| ENTITYID                             | VAR-CHAR2 | 12     | Optional            |                       | Entity Id   |
| SETTLEMENT-DATE                      | DATE      | 7      | Optional            |                       | Settlement Date   |
| DELIVERYOPTION                       | VAR-CHAR2 | 1      | Optional            |                       | Delivery Option. Y-On, N-Off  |
| TRANSACTION-SUBTYPE                  | VAR-CHAR2 | 1      | Optional            |                       | Transaction Sub Type  |
| REVERSAL-CODE                        | VAR-CHAR2 | 2      | Optional            |                       | Reversal Code   |
| CANCELINSTRUCTION                    | VAR-CHAR2 | 2      | Optional            |                       | Cancel Instruction.SI-Standing Instruction                            |
| FROMFUND-PRICEBASIS                  | VAR-CHAR2 | 10     | Optional            |                       | Fund Price Basis  |
| TOFUNDPRICE-BASIS                    | VAR-CHAR2 | 10     | Optional            |                       | Switch In Price Basis   |
| TXN_OR_PRICE_DATE_FLAG               | VAR-CHAR2 | 1      | Optional            |                       | Transaction/ price date   |
| FROMPRICE-DATE                       | DATE      | 7      | Optional            |                       | Price Date  |
| TOPRICEDATE                          | DATE      | 7      | Optional            |                       | Switch In Price Date  |
| SUBSCRIPTIONFUNDID                   | VAR-CHAR2 | 6      | Optional            |                       | Subscription Fund ID  |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|---------------------|-----------------------|--|
| SUBSCRIPTIONPRICEBASIS               | VAR-CHAR2 | 10     | Optional            |                       | Subscription Price Basis   |
| PAYMENTALLOWED                       | NUMBER    | 1      | Optional            |                       | Payment Allowed  |
| PROCESSID1                           | VAR-CHAR2 | 51     | Mandatory           |                       | Process ID . Should be same as the Process ID given above.   |
| SUBSETTLEMENTDATE                    | DATE      | 10     | Optional            |                       | Settlement Date  |
| SUBPRICEDATE                         | DATE      | 10     | Optional            |                       | Subscription price Date  |
| BASECOST                             | NUMBER    | 15     | Optional            |                       | Base cost  |
| EXCHANGERATEDETAILS                  | VAR-CHAR2 | 35     | Optional            |                       | Exchange Rate Details - Unit Currency, Quoted Currency, Exchange Rate. Separated by '#' for instance, ZAR#GBP#0.2# |
| DEALINGDATE                          | DATE      | 10     | Mandatory           |                       | Dealing Date   |
| COMPLETIONDATE                       | DATE      | 10     | Mandatory           |                       | Completion Date  |
| EUSDOPTION                           | VAR-CHAR2 | 1      | Mandatory           |                       | EUSD Option.W-With Holding Tax, E-Exchange of Information, T-Tax Exemption Certificate                             |
| TODEALINGDATE                        | DATE      | 10     | Mandatory           |                       | Switch In Dealing Date   |
| KYCCHASINGDATE                       | DATE      | 10     | Mandatory           |                       | KYC Chasing Date   |
| KYCRECEIVED                          | NUMBER    | 1      | Mandatory           |                       | KYC Received.1-Yes,0-No  |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/rules           | Values                                      |
|--------------------------------------|-----------|--------|---------------------|---------------------------------|---|
| LOCKINPERIODFORGAIN                  | NUMBER    | 4      | Optional            |                                 | Lock-In Period for Gain                     |
| SWIINEXCHANGERATEAPPLIED             | NUMBER    | 15     | Mandatory           |                                 | Switch-In Exchange Rate Applied             |
| AUTOCLEARPROVISIONALBALANCE          | NUMBER    | 1      | Optional            | Valid values are 0, 1 and 2     | Auto Clear Provisional Balance. 1-Yes, 0-No |
| AUTOCLEARREINVESTMENTS               | NUMBER    | 1      | Optional            | Valid values are 0, 1 and 2     | Auto Clear Reinvestments. 1-Yes, 0-No       |
| FEECURRENCY                          | VAR-CHAR2 | 3      | Optional            | Valid values are 'FBC' and 'TC' | Fee Currency                                |
| MATURITYDATE                         | DATE      | 10     | Optional            |                                 | Maturity Date                               |
| SUBSOURCEID                          | VAR-CHAR2 | 6      | Optional            |                                 | Subscription Source ID                      |
| CERTSPILLOVER                        | NUMBER    | 1      | Optional            |                                 | Certificate Spill-Over                      |
| ISSUED                               | NUMBER    | 1      | Optional            |                                 | From Issued Balances. 1-Yes, 0-No           |
| BLOCKTXNNUMBER                       | VAR-CHAR2 | 16     | Optional            |                                 | Block Transaction Number                    |
| CPMFBONUSPERCENT                     | NUMBER    | 30     | Optional            |                                 | CPMF Bonus Percent                          |
| CPMFCREDITLAG                        | NUMBER    | 3      | Optional            |                                 | CPMF Credit Lag                             |
| RETAINAGEING                         | NUMBER    | 1      | Optional            |                                 | Retain Ageing                               |
| CAMPAIGNCODE                         | VAR-CHAR2 | 6      | Optional            |                                 | Campaign Code                               |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/rules  | Values   |
|--------------------------------------|-----------|--------|---------------------|--|--|
| FXDEALDATE                           | DATE      | 10     | Optional            | Applicable for only IPO Subscription/Subscription/Redemption and Pseudo Switch | FX Deal Date   |
| FXVALUEDATE                          | DATE      | 10     | Optional            | Applicable for only IPO Subscription/Subscription/Redemption and Pseudo Switch | FX Value Date  |
| USERID                               | VAR-CHAR2 | 15     | Mandatory           |  | Represents the user id who has entered the latest record       |
| AUTH_STAT                            | VAR-CHAR2 | 1      | Mandatory           |  | Authorisation Status. A- Authorized, U- Unauthorized           |
| ORDERRECEIVEDDATE                    | DATE      | 7      | Optional            |  | Order Received Date  |
| ORDERRECEIVEDTIME                    | VAR-CHAR2 | 5      | Optional            |  | Order Received Time  |
| TXNCATEGORY                          | VAR-CHAR2 | 1      | Optional            |  | Transaction Category (E-Execution only L-Legacy A-Advise Mode) |
| LOADREFTYPE                          | VAR-CHAR2 | 4      | Optional            |  | Load Ref Type  |
| SOURCEID                             | VAR-CHAR2 | 6      | Optional            |  | Source ID  |
| KIIDRECEIVED                         | VAR-CHAR2 | 1      | Optional            |  | KIID Received  |
| ORDERRECD-TIMEZONE                   | VAR-CHAR2 | 12     | Mandatory           |  | Order Received Time Zone                                       |

**Note**

If upload mode is 'R', the system will consider transaction number and reversal code in the template for the reversal of transaction.

**2.3.3 Transaction Settlement related Information**

Serial Number: 2

Segment Identification Number: 01SET

Description: This segment is used for providing Transaction Settlement related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values   |
|--------------------------------------|-----------|--------|---------------------|------------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory           |                        | Segment ID - 01SET   |
| TXNSERIALNUMBER                      | NUMBER    | 10     | Mandatory           |                        | Transaction Serial Number  |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory           |                        | Sequence Number  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process ID   |
| BULKCLIENTCODE                       | VAR-CHAR2 | 12     | Mandatory           |                        | Bulk Client Code   |
| IMPORTFILENAME                       | VAR-CHAR2 | 255    | Mandatory           |                        | Import File Name   |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Optional            |                        | Upload Mode  |
| AMCID                                | VAR-CHAR2 | 12     | Optional            |                        | AMC ID   |
| PAYMENTREFERENCE NUMBER              | VAR-CHAR2 | 50     | Optional            |                        | Payment Reference Number   |
| PAYMENTMODE                          | VAR-CHAR2 | 2      | Optional            |                        | Payment Mode.C-Cash,Q-Cheque,T-Transfer,R-Card,M-Multipayment,D-Draft. |



| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values  |
|--------------------------------------|-----------|--------|---------------------|------------------------|---|
| SUBPAYMENT-MODE                      | VAR-CHAR2 | 2      | Optional            |                        | Sub Payment Mode. T-Money Transfer, Q-Cheque, R-Credit Card, D-Demand Draft, F-Against Payment, I-In Kind Payment, AT-Advice, BQ-Broker Cheque, CQ-Cashier cheque, DR-Debit Card, DT-Direct Debit, FQ-Foreign Cheque, GQ-Government Cheque, IQ-Other Institution Cheque, LQ-Local Cheque, OQ-Out Of Town Cheque, PD-Pay Order, PQ-Payroll Deduction, RQ-Reserve Bank Cheque, TT-Telegraphic Transfer, WT-Wire Transfer. |
| PAYMENTCURRENCY                      | VAR-CHAR2 | 3      | Optional            |                        | Payment Currency  |
| PAYMENTDATE                          | DATE      | 10     | Optional            |                        | Payment Date  |
| PAYMENTAMOUNT                        | NUMBER    | 15     | Optional            |                        | Payment Amount  |
| BANKCHARGES                          | NUMBER    | 15     | Optional            |                        | Bank Charges  |
| PAYMENTCHARGES                       | NUMBER    | 15     | Optional            |                        | Payment Charges   |
| EXCHANGERATE-SOURCE                  | VAR-CHAR2 | 6      | Optional            |                        | Exchange Rate Source  |
| EXCHANGERATE                         | NUMBER    | 15     | Optional            |                        | F X Rate  |
| PAYMENTALTERNATEAMOUNT               | NUMBER    | 30     | Optional            |                        | Payment Alternate Amount  |
| PAIDDATE                             | DATE      | 10     | Optional            |                        | Paid Date   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values   |
|--------------------------------------|-----------|--------|---------------------|------------------------|--|
| AUTOSETTLEMENT                       | NUMBER    | 1      | Optional            |                        | Auto Settle.1-Yes,0-No   |
| SETTLEMENT-METHOD                    | VAR-CHAR2 | 5      | Optional            |                        | Settlement Method  |
| SETTLEMENTSTATUS                     | VAR-CHAR2 | 2      | Optional            |                        | Settlement Status  |
| CHEQUEDATE                           | DATE      | 10     | Optional            |                        | Cheque Date  |
| CHEQUENUMBER                         | VAR-CHAR2 | 16     | Optional            |                        | Cheque Number  |
| CHEQUECURRENCY                       | VAR-CHAR2 | 3      | Optional            |                        | Cheque Currency  |
| CHEQUEAMOUNT                         | NUMBER    | 15     | Optional            |                        | Cheque Amount  |
| DRAWEEBANK-CODE                      | VAR-CHAR2 | 12     | Optional            |                        | Drawee Bank Code   |
| DRAWEE-BRANCHNAME                    | VAR-CHAR2 | 20     | Optional            |                        | Drawee Branch Name   |
| PAYMENTREMARKS                       | VAR-CHAR2 | 255    | Optional            |                        | Payment Remarks  |
| PAYMENTTYPE                          | VAR-CHAR2 | 1      | Optional            |                        | Payment Type.S-Self,T-Third Party,B-Broker,R-Retained,AP-Advanced Payment,A-Absorbed/Paid back |
| THIRDPARTYADDRESS                    | VAR-CHAR2 | 255    | Optional            |                        | Third Party Address  |
| THIRDPARTYADDRESSLINE1               | VAR-CHAR2 | 80     | Optional            |                        | Third Party Address line 1   |
| THIRDPARTYADDRESSLINE2               | VAR-CHAR2 | 80     | Optional            |                        | Third Party Address line 2   |
| THIRDPARTYADDRESSLINE3               | VAR-CHAR2 | 80     | Optional            |                        | Third Party Address line 3   |
| THIRDPARTYADDRESSLINE4               | VAR-CHAR2 | 80     | Optional            |                        | Third Party Address line 4   |
| THIRDPARTYBIC-CODE                   | VAR-CHAR2 | 12     | Optional            |                        | Third Party BIC Code   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                               |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--------------------------------------|
| THIRDPARTY-COUNTRYCODE               | VAR-CHAR2 | 3      | Optional           |                       | Third Party Country                  |
| THIRDPARTYPAYMENTREFERENCE           | VAR-CHAR2 | 255    | Optional           |                       | Third Party Reference                |
| THIRDPARTYS-TATE                     | VAR-CHAR2 | 20     | Optional           |                       | Third Party State                    |
| THIRDPARTY-USSTATE                   | NUMBER    | 1      | Optional           |                       | Third Party US State                 |
| THIRDPARTYZIP-CODE                   | VAR-CHAR2 | 10     | Optional           |                       | Third Party Zip Code                 |
| TRANSFERAC-COUNTCURRENCY             | VAR-CHAR2 | 3      | Optional           |                       | Transfer Account Currency            |
| TRANSFERAC-COUNTHOLDER-NAME          | VAR-CHAR2 | 100    | Optional           |                       | Transfer Account Holder Name         |
| TRANSFERAC-COUNTNUMBER               | VAR-CHAR2 | 20     | Optional           |                       | Transfer Account Number              |
| TRANSFERAC-COUNTTYPE                 | VAR-CHAR2 | 1      | Optional           |                       | Transfer Account Type                |
| TRANSFER-BRANCHCODE                  | VAR-CHAR2 | 12     | Optional           |                       | Transfer Branch Code                 |
| TRANSFERREFERENCE-COUNTNUMBER        | VAR-CHAR2 | 20     | Optional           |                       | Transfer Reference Number            |
| CREDITCARDREFNO                      | VAR-CHAR2 | 16     | Optional           |                       | Credit Card Reference Number         |
| INKINDPAYMENT-DETAILS                | VAR-CHAR2 | 255    | Optional           |                       | In Kind Payment Details              |
| PAYMENTADDRESSDETAILS                | VAR-CHAR2 | 255    | Optional           |                       | Payment Address Details              |
| OLDPAYMENTREFERENCE-COUNTNO          | VAR-CHAR2 | 16     | Optional           |                       | Old Payment Reference Number         |
| UHBICCODE                            | VAR-CHAR2 | 24     | Optional           |                       | UH BIC Code                          |
| SWIFTFORMAT                          | VAR-CHAR2 | 6      | Optional           |                       | Swift Format.MT103-MT103,MT202-MT202 |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values   |
|--------------------------------------|-----------|--------|---------------------|------------------------|--|
| BANKCHARGED                          | VAR-CHAR2 | 12     | Optional            |                        | Bank Charged.Beneficiary-Beneficiary,Remitter-Remitter,Share-Share |
| BENEFICIARY                          | VAR-CHAR2 | 35     | Optional            |                        | Beneficiary  |
| BENEFICIARY-ADD1                     | VAR-CHAR2 | 105    | Optional            |                        | Beneficiary Address1   |
| BENEFICIARY-ADD2                     | VAR-CHAR2 | 105    | Optional            |                        | Beneficiary Address2   |
| BENEFICIARY-ADD3                     | VAR-CHAR2 | 105    | Optional            |                        | Beneficiary Address3   |
| BENEFICIARYBIC-CODE                  | VAR-CHAR2 | 24     | Optional            |                        | Beneficiary BIC Code   |
| BENEFICIARY-ACCOUNTNO                | VAR-CHAR2 | 34     | Optional            |                        | Beneficiary Account Number   |
| BENEFICIARY-CODE                     | VAR-CHAR2 | 24     | Optional            |                        | Beneficiary Code   |
| BENEFICIARY-INFO                     | VAR-CHAR2 | 100    | Optional            |                        | Beneficiary Information  |
| INTERMEDIARY                         | VAR-CHAR2 | 35     | Optional            |                        | Intermediary   |
| INTERMEDIARY-ADD1                    | VAR-CHAR2 | 105    | Optional            |                        | Intermediary Address1  |
| INTERMEDIARY-ADD2                    | VAR-CHAR2 | 105    | Optional            |                        | Intermediary Address2  |
| INTERMEDIARY-ADD3                    | VAR-CHAR2 | 105    | Optional            |                        | Intermediary Address3  |
| INTERMEDIARY-BICCODE                 | VAR-CHAR2 | 24     | Optional            |                        | Intermediary BIC Code  |
| INTERMEDIARY-ACCOUNTNO               | VAR-CHAR2 | 34     | Optional            |                        | Intermediary Account No  |
| INTERMEDIARY-CODE                    | VAR-CHAR2 | 24     | Optional            |                        | Intermediary Code  |
| INTERMEDIARY-INFO                    | VAR-CHAR2 | 100    | Optional            |                        | Intermediary Info  |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values                        |
|--------------------------------------|-----------|--------|---------------------|------------------------|-------------------------------|
| FURTHERCREDIT-NAME                   | VAR-CHAR2 | 35     | Optional            |                        | Further Credit Name           |
| FURTHERCREDITACCTNO                  | VAR-CHAR2 | 35     | Optional            |                        | Further Credit Account Number |
| REFERENCE1                           | VAR-CHAR2 | 35     | Optional            |                        | Reference1                    |
| REFERENCE2                           | VAR-CHAR2 | 35     | Optional            |                        | Reference2                    |
| ENTITYID                             | VAR-CHAR2 | 12     | Optional            |                        | Entity ID                     |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Optional            |                        | Entity Type                   |
| IBAN                                 | VAR-CHAR2 | 40     | Optional            |                        | IBAN                          |
| BENEFICIARY-IBAN                     | VAR-CHAR2 | 40     | Optional            |                        | Beneficiary IBAN              |
| INTERMEDIARY-IBAN                    | VAR-CHAR2 | 40     | Optional            |                        | Intermediary IBAN             |
| BENEFICIARYID                        | VAR-CHAR2 | 12     | Optional            |                        | Beneficiary ID                |
| BENEFICIARYPERCENTAGE                | NUMBER    | 5      | Optional            |                        | Beneficiary Percentage        |
| AMOUNTBLOCKNO                        | VAR-CHAR2 | 16     | Optional            |                        | Amount Block Number           |
| SETTLED                              | VAR-CHAR2 | 1      | Optional            |                        | Settled. Y- Yes , N-No        |
| REVERSED                             | VAR-CHAR2 | 1      | Optional            |                        | Reversed. Y- Yes , N- No      |

### 2.3.4 KYC Transaction related Information

Serial Number: 3

Segment Identification Number: 02KYC

Description: This segment is used for providing KYC Transaction related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                    |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---------------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                       | Segment ID - 02KYC        |
| TXNSERIALNUMBER                      | NUMBER    | 10     | Mandatory          |                       | Transaction Serial Number |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory          |                       | Sequence Number           |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID                |
| BULKCLIENTCODE                       | VAR-CHAR2 | 12     | Mandatory          |                       | Bulk Client Code          |
| IMPORTFILENAME                       | VAR-CHAR2 | 255    | Mandatory          |                       | Import File Name          |
| AMCID                                | VAR-CHAR2 | 12     | Optional           |                       | AMC ID                    |
| TRANSACTION-NUMBER                   | VAR-CHAR2 | 16     | Mandatory          |                       | Transaction Number        |
| DOCUMENTDESCRIPTION                  | VAR-CHAR2 | 255    | Optional           |                       | Document Description      |
| RECEIVED                             | VAR-CHAR2 | 1      | Optional           |                       | Received.On-Y,Off-N       |
| RECEIVEDDATE                         | DATE      | 10     | Optional           |                       | Received Date             |
| REQUESTED                            | VAR-CHAR2 | 1      | Optional           |                       | Requested.On-Y,Off-N      |
| TAXDOCUMENT                          | NUMBER    | 1      | Optional           |                       | Tax Document. 1-Yes,0-No  |

### 2.3.5 Transaction Additional Information Details

Serial Number: 4

Segment Identification Number: 03AIF

Description: This segment is used for providing Additional Information Details

## Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Character istics/ rules | Values                    |
|--------------------------------------|-----------|--------|---------------------|-------------------------|---------------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory           |                         | Segment ID - 03AIF        |
| TXNSERIAL-NUMBER                     | NUMBER    | 10     | Mandatory           |                         | Transaction serial Number |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                         | Sequence Number           |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                         | Process ID                |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Mandatory           |                         | Bulk Client Code          |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Mandatory           |                         | Import File Name          |
| AMCID                                | VAR-CHAR2 | 12     | Mandatory           |                         | AMC ID                    |
| TRANSACTION-NUMBER                   | VAR-CHAR2 | 16     | Mandatory           |                         | Transaction Number        |
| REFERENCE-NUMBER                     | VAR-CHAR2 | 12     | Mandatory           |                         | Reference Number          |
| OTHERINFO1                           | VAR-CHAR2 | 60     | Optional            |                         | Other Information 1       |
| OTHERINFO2                           | VAR-CHAR2 | 60     | Optional            |                         | Other Information 2       |
| OTHERINFO3                           | VAR-CHAR2 | 60     | Optional            |                         | Other Information 3       |
| OTHERINFO4                           | VAR-CHAR2 | 60     | Optional            |                         | Other Information 4       |
| OTHERINFO5                           | VAR-CHAR2 | 60     | Optional            |                         | Other Information 5       |
| OTHERINFO6                           | VAR-CHAR2 | 60     | Optional            |                         | Other Information 6       |
| OTHERINFO7                           | VAR-CHAR2 | 60     | Optional            |                         | Other Information 7       |
| OTHERINFO8                           | VAR-CHAR2 | 60     | Optional            |                         | Other Information 8       |
| OTHERINFO9                           | VAR-CHAR2 | 60     | Optional            |                         | Other Information 9       |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Character istics/ rules | Values               |
|--------------------------------------|-----------|--------|---------------------|-------------------------|----------------------|
| OTHERINFO10                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 10 |
| OTHERINFO11                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 11 |
| OTHERINFO12                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 12 |
| OTHERINFO13                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 13 |
| OTHERINFO14                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 14 |
| OTHERINFO15                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 15 |
| OTHERINFO16                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 16 |
| OTHERINFO17                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 17 |
| OTHERINFO18                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 18 |
| OTHERINFO19                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 19 |
| OTHERINFO20                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 20 |
| OTHERINFO21                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 21 |
| OTHERINFO22                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 22 |
| OTHERINFO23                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 23 |
| OTHERINFO24                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 24 |
| OTHERINFO25                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 25 |
| OTHERINFO26                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 26 |
| OTHERINFO27                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 27 |
| OTHERINFO28                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 28 |



| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Character istics/ rules | Values               |
|--------------------------------------|-----------|--------|---------------------|-------------------------|----------------------|
| OTHERINFO29                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 29 |
| OTHERINFO30                          | VAR-CHAR2 | 60     | Optional            |                         | Other Information 30 |

### 2.3.6 Transaction Intermediary related Information

Serial Number: 5

Segment Identification Number: 04INT

Description: This segment is used for providing Transaction Intermediary related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Character istics/ rules | Values   |
|--------------------------------------|-----------|--------|---------------------|-------------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                         | Segment ID - 04INT   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                         | Process Id   |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory           |                         | Transaction serial Number  |
| TXNSERIALNUMBER                      | NUMBER    | 10     | Mandatory           |                         | Sequence Number  |
| ENTITYID                             | VAR-CHAR2 | 12     | Mandatory           |                         | Entity Id  |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Mandatory           |                         | Entity Type.A-Agent,N-Branch Code,I-IFA,O-Account Officer,B-Broker |
| SPLITPERCENTAGE                      | NUMBER    | 5      | Optional            |                         | Split Percentage   |
| FROMORTOLEG                          | VAR-CHAR2 | 1      | Mandatory           |                         | From Or To Leg   |

### 2.3.7 Transaction Certificate related Information

Serial Number: 6

Segment Identification Number: 05CER

Description: This segment is used for providing Certificate related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values             |
|--------------------------------------|-----------|--------|---------------------|------------------------|--------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                        | Segment ID - 05CER |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process ID         |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                        | Sequence Number    |
| CERTIFICATE-NUMBER                   | NUMBER    | 10     | Mandatory           |                        | Certificate Number |
| NOOFUNITS                            | NUMBER    | 27     | Mandatory           |                        | Number of Units    |

### 2.3.8 Transaction Receipt related Information

Serial Number: 7

Segment Identification Number: 06REC

Description: This segment is used for providing Transaction Receipt related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values             |
|--------------------------------------|-----------|--------|---------------------|------------------------|--------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                        | Segment ID - 06REC |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process Id         |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory           |                        | Sequence Number    |
| TRANSACTION-NUMBER                   | VAR-CHAR2 | 16     | Optional            |                        | Transaction Number |
| TRANSACTION-UNITS                    | NUMBER    | 27     | Mandatory           |                        | Transaction Units  |

### 2.3.9 Transaction Delivery related Information

Serial Number: 8

Segment Identification Number: 07DEL

Description: This segment is used for providing Delivery related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                    |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---------------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment ID - 07DEL        |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID                |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory          |                       | Sequence Number           |
| TXNSERIALNUMBER                      | NUMBER    | 10     | Optional           |                       | Transaction serial Number |
| DELIVERYTYPE                         | VAR-CHAR2 | 1      | Mandatory          |                       | Transaction Units         |
| ENTITYID                             | VAR-CHAR2 | 12     | Optional           |                       | Entity ID                 |
| ACCOUNTNUMBER                        | VAR-CHAR2 | 20     | Optional           |                       | Account Number            |
| ACCOUNTNAME                          | VAR-CHAR2 | 100    | Optional           |                       | Account Name              |
| ADDRESSTYPE                          | VAR-CHAR2 | 2      | Optional           |                       | Address Type              |
| ADDRESSLINE1                         | VAR-CHAR2 | 105    | Mandatory          |                       | Address Line1             |
| ADDRESSLINE2                         | VAR-CHAR2 | 105    | Mandatory          |                       | Address Line2             |
| ADDRESSLINE3                         | VAR-CHAR2 | 105    | Optional           |                       | Address Line3             |
| ADDRESSLINE4                         | VAR-CHAR2 | 80     | Optional           |                       | Address Line4             |
| ZIPCODE                              | VAR-CHAR2 | 10     | Optional           |                       | Zipcode                   |
| COUNTRY                              | VAR-CHAR2 | 3      | Optional           |                       | Country                   |
| BICCODE                              | VAR-CHAR2 | 12     | Optional           |                       | BIC code                  |
| IBAN                                 | VAR-CHAR2 | 40     | Optional           |                       | IBAN                      |

### 2.3.10 Transaction Limit Order related Information

Serial Number: 9

Segment Identification Number: 08LMO

Description: This segment is used for providing Limit Order related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values                    |
|--------------------------------------|-----------|--------|---------------------|------------------------|---------------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                        | Segment ID - 08LMO        |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process Id                |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                        | Sequence Number           |
| TXNSERIAL-NUMBER                     | NUMBER    | 10     | Optional            |                        | Transaction serial Number |
| LIMITORDER-TYPE                      | VAR-CHAR2 | 1      | Mandatory           |                        | Limit order type          |
| LIMITORDER-STATUS                    | VAR-CHAR2 | 1      | Optional            |                        | Limit order status        |
| LIMITPRICE                           | NUMBER    | 17     | Optional            |                        | Limit price               |
| LIMITDATE                            | DATE      | 10     | Optional            |                        | Limit date                |
| LIMITSTART-DATE                      | DATE      | 10     | Optional            |                        | Limit start date          |
| LIMITENDDATE                         | DATE      | 10     | Optional            |                        | Limit end date            |
| LIMITSTATUS                          | VAR-CHAR2 | 1      | Optional            |                        | Limit status              |
| LIMITGENDATE                         | DATE      | 10     | Optional            |                        | Limit generation date     |

### 2.3.11 Transaction Load Override related Information

Serial Number: 10

Segment Identification Number: 09LOD

Description: This segment is used for providing Transaction Load Override related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values                    |
|--------------------------------------|-----------|--------|---------------------|------------------------|---------------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                        | Segment ID - 09LOD        |
| TXNSERIAL-NUMBER                     | NUMBER    | 10     | Mandatory           |                        | Process Id                |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                        | Sequence Number           |
| PROCESSID                            | VAR-CHAR2 | 50     | Optional            |                        | Transaction serial Number |
| LOADID                               | NUMBER    | 5      | Mandatory           |                        | Load ID                   |
| FUNDID                               | VAR-CHAR2 | 6      | Optional            |                        | Fund ID                   |
| CHANGETYPE                           | VAR-CHAR2 | 1      | Optional            |                        | Change Type               |
| CHANGEVALUE                          | NUMBER    | 30     | Optional            |                        | Change Value              |
| GROUPLD                              | VAR-CHAR2 | 6      | Optional            |                        | Group Id                  |

### 2.3.12 Transaction Derived Load Override related Information

Serial Number: 11

Segment Identification Number: 10DLO

Description: This segment is used for providing Derived Load Override related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values                    |
|--------------------------------------|-----------|--------|---------------------|------------------------|---------------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                        | Segment ID - 10DLO        |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process Id                |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                        | Sequence Number           |
| TXNSERIAL-NUMBER                     | NUMBER    | 10     | Optional            |                        | Transaction serial Number |
| DERIVEDLOADID                        | VAR-CHAR2 | 6      | Mandatory           |                        | Load ID                   |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values         |
|--------------------------------------|-----------|--------|---------------------|------------------------|----------------|
| FUNDID                               | VAR-CHAR2 | 6      | Optional            |                        | Fund ID        |
| PARENTLOADID                         | NUMBER    | 5      | Optional            |                        | Parent Load Id |
| CHANGETYPE                           | VAR-CHAR2 | 1      | Optional            |                        | Change Type    |
| CHANGEVALUE                          | NUMBER    | 17     | Optional            |                        | Change Value   |

### 2.3.13 Transaction Pseudo Switch related Information

Serial Number: 12

Segment Identification Number: 11PSE

Description: This segment is used for providing Pseudo Switch related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values                    |
|--------------------------------------|-----------|--------|---------------------|------------------------|---------------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                        | Segment ID - 11PSE        |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process Id                |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                        | Sequence Number           |
| TXNSERIAL-NUMBER                     | NUMBER    | 10     | Optional            |                        | Transaction serial Number |
| REFERENCE-NUMBER                     | VAR-CHAR2 | 16     | Optional            |                        | Reference Number          |
| FUNDID                               | VAR-CHAR2 | 12     | Optional            |                        | Fund Id                   |
| TRANSACTION-DATE                     | DATE      | 10     | Optional            |                        | Transaction Date          |
| LOADID                               | NUMBER    | 5      | Optional            |                        | Load Id                   |
| ORIGINALRETURNVALUE                  | NUMBER    | 30     | Optional            |                        | Original Return Value     |
| OVERRIDERETURNVALUE                  | NUMBER    | 30     | Optional            |                        | Overriden Return Value    |
| CHANGETYPE                           | VAR-CHAR2 | 1      | Optional            |                        | Change Type               |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values                           |
|--------------------------------------|-----------|--------|---------------------|------------------------|----------------------------------|
| CHANGEVALUE                          | NUMBER    | 30     | Optional            |                        | Change Value                     |
| DERIVEDLOADID                        | VAR-CHAR2 | 6      | Optional            |                        | Derived Load Id                  |
| DERORIGINAL-RETURNVALUE              | NUMBER    | 30     | Optional            |                        | Derived Original Return Value    |
| DEROVERRIDE-ENRETURN-VALUE           | NUMBER    | 30     | Optional            |                        | Derived Overriden Return Value   |
| DER-CHANGETYPE                       | VAR-CHAR2 | 1      | Optional            |                        | Derived Change Type              |
| DER-CHANGEVALUE                      | NUMBER    | 30     | Optional            |                        | Derived Change Value             |
| SUBSCRIPTION-PRICEDATE               | DATE      | 10     | Optional            |                        | Subscription Price Date          |
| EXCHANGERATEAPPLIED                  | NUMBER    | 15     | Optional            |                        | Exchangerate Applied             |
| EXCHANGERATEOVERRIDE                 | NUMBER    | 15     | Optional            |                        | Exchangerate Overriden           |
| OVERRIDEEXCHANGERATE                 | VAR-CHAR2 | 1      | Optional            |                        | Override Exchangerate            |
| EXCHANGERATE-SERIALNO                | VAR-CHAR2 | 16     | Optional            |                        | Exchangerate Serial No           |
| EXCHANGERATEOVERRIDE-SERIALNO        | VAR-CHAR2 | 16     | Optional            |                        | Exchangerate Overridens Serialno |
| EXCHANGERATE-STATUS                  | VAR-CHAR2 | 1      | Optional            |                        | Exchangerate Status              |
| SUBSCRIPTION-SETTLEMENT-DATE         | DATE      | 10     | Optional            |                        | Subscription Settlement Date     |
| SUBSCRIPTION-SOURCEID                | VAR-CHAR2 | 6      | Optional            |                        | Subscription Source Id           |
| SUBTRANSACTIONDATE                   | DATE      | 10     | Optional            |                        | Subscription Transaction Date    |
| FXDEALDATE                           | DATE      | 10     | Optional            |                        | Fxdealdade                       |
| FXVALUEDATE                          | DATE      | 10     | Optional            |                        | Fxvaluedate                      |
| PROJECTEDRETURNVALUE                 | VAR-CHAR2 | 31     | Optional            |                        | Projected Return Value           |

### **2.3.14 Transaction Switch In Fund Load Override related Information**

Serial Number: 13

Segment Identification Number: 12PSL

Description: This segment is used for providing Switch In Fund Load Override related Information

Segment Mandatory / Optional: Optional

| <b>Field Name (as expected in the file)</b> | <b>Data type</b> | <b>Length</b> | <b>Mandatory /Optional</b> | <b>Characteristics/ rules</b> | <b>Values</b>             |
|---|------------------|---------------|----------------------------|-------------------------------|---------------------------|
| SEGMENTID                                   | VAR-CHAR2        | 80            | Mandatory                  |                               | Segment ID - 12PSL        |
| PROCESSID                                   | VAR-CHAR2        | 50            | Mandatory                  |                               | Process Id                |
| SEQUENCE-NUMBER                             | NUMBER           | 10            | Mandatory                  |                               | Sequence Number           |
| TXNSERIAL-NUMBER                            | NUMBER           | 10            | Mandatory                  |                               | Transaction serial Number |
| REFERENCE-NUMBER                            | VAR-CHAR2        | 16            | Optional                   |                               | Reference Number          |
| FUNDID                                      | VAR-CHAR2        | 12            | Optional                   |                               | Load ID                   |
| LOADID                                      | NUMBER           | 5             | Mandatory                  |                               | Fund ID                   |
| GROUPLD                                     | VAR-CHAR2        | 6             | Optional                   |                               | Group Id                  |
| CHANGETYPE                                  | VAR-CHAR2        | 1             | Optional                   |                               | Change Type               |
| CHANGEVALUE                                 | NUMBER           | 30            | Optional                   |                               | Change Value              |

### **2.3.15 Transaction Switch In Fund Derived Load Override related Information**

Serial Number: 14

Segment Identification Number: 13PSD

Description: This segment is used for providing Switch In Fund Derived Load Override related Information



Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values                    |
|--------------------------------------|-----------|--------|---------------------|------------------------|---------------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory           |                        | Segment ID - 13PSD        |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process Id                |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                        | Sequence Number           |
| TXNSERIAL-NUMBER                     | NUMBER    | 10     | Mandatory           |                        | Transaction serial Number |
| REFERENCE-NUMBER                     | VAR-CHAR2 | 16     | Optional            |                        | Reference Number          |
| FUNDID                               | VAR-CHAR2 | 12     | Optional            |                        | Fund ID                   |
| DERIVEDLOADID                        | VAR-CHAR2 | 6      | Mandatory           |                        | Load ID                   |
| PARENTLOADID                         | VAR-CHAR2 | 6      | Optional            |                        | Group Id                  |
| DER-CHANGETYPE                       | VAR-CHAR2 | 1      | Optional            |                        | Change Type               |
| DER-CHANGEVALUE                      | NUMBER    | 30     | Optional            |                        | Change Value              |

## 2.4 Standing Instruction File Format

This section contains the following topics:

- [Section 2.4.1, "Segment Identification Number"](#)
- [Section 2.4.2, "Standing Instruction related Information"](#)
- [Section 2.4.3, "SI Intermediary related Information"](#)
- [Section 2.4.4, "SI Payment related Information"](#)
- [Section 2.4.5, "SI Delivery related Information"](#)
- [Section 2.4.6, "SI Fund related Information"](#)
- [Section 2.4.7, "SI Certificate related Information"](#)
- [Section 2.4.8, "SI Additional Information"](#)

## 2.4.1 Segment Identification Number

| Segment Identification Number | Description   | Segment Mandatory / Optional? |
|-------------------------------|---|-------------------------------|
| 00SIN                         | This segment is used for providing Standing Instruction related Information | Mandatory                     |
| 01BRK                         | This segment is used for providing SI Intermediary related Information      | Optional                      |
| 02CHQ                         | This segment is used for providing SI Payment related Information           | Optional                      |
| 03DEL                         | This segment is used for providing SI Delivery related Information          | Optional                      |
| 04FND                         | This segment is used for providing SI Fund related Information              | Mandatory                     |
| 05CRT                         | This segment is used for providing SI Certificate related Information       | Optional                      |
| 06ADD                         | This segment is used for providing SI Additional Information                | Optional                      |

## 2.4.2 Standing Instruction related Information

Serial Number: 1

Segment Identification Number: 00SIN

Description: This segment is used for providing Standing Instruction related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics /rules | Values                                   |
|--------------------------------------|-----------|--------|---------------------|------------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 80     | Optional            |                        | Segment id. Value of Segment id is 00SIN |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                        | Sequence Number                          |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process ID                               |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Mandatory           |                        | Bulk Client Code                         |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Mandatory           |                        | Import File Name                         |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory           |                        | Upload Mode                              |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| AMCID                                | VAR-CHAR2 | 12     | Mandatory          |                       | AMC ID  |
| SIID                                 | VAR-CHAR2 | 16     | Optional           |                       | Standing Instruction ID   |
| SIDESCRIPTION                        | VAR-CHAR2 | 255    | Optional           |                       | SI Description  |
| FUNDID                               | VAR-CHAR2 | 25     | Optional           |                       | Fundid  |
| REFUNITHOLDERID                      | VAR-CHAR2 | 12     | Optional           |                       | This must be blank  |
| REFTOUNITHOLDERID                    | VAR-CHAR2 | 12     | Optional           |                       | This must be blank  |
| UNITHOLDERID                         | VAR-CHAR2 | 12     | Optional           |                       | Unitholder Id   |
| TRANSACTION-TYPE                     | VAR-CHAR2 | 2      | Optional           |                       | Transaction Type . 01-IPO Subscription,02-Subscription,03-Redemption,04-Switch,05-Transfer. |
| EFFECTIVE-STARTDATE                  | DATE      | 10     | Optional           |                       | Effective Start Date  |
| EFFECTIVEENDDATE                     | DATE      | 10     | Optional           |                       | Effective End Date  |
| SIFREQUENCY                          | VAR-CHAR2 | 1      | Optional           |                       | SI Frequency.M-Monthly,Q-Quarterly,H-Half Yearly,Y-Yearly,W-Weekly,F-BiWeekly,D-Daily       |
| AMOUNT                               | NUMBER    | 30     | Optional           |                       | Amount  |
| UNITS                                | NUMBER    | 27     | Optional           |                       | Units   |
| HOLDINGSPERCENTAGE                   | NUMBER    | 5      | Optional           |                       | Percentage  |
| TRANSACTION-CURRENCY                 | VAR-CHAR2 | 3      | Optional           |                       | Transaction Currency.   |
| TOUNITHOLDERID                       | VAR-CHAR2 | 12     | Optional           |                       | To Unitholder Id  |
| TOFUNDID                             | VAR-CHAR2 | 25     | Optional           |                       | To FundId   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| AGENTCODE                            | VAR-CHAR2 | 12     | Optional           |                       | Agent Code   |
| BRANCHCODE                           | VAR-CHAR2 | 12     | Optional           |                       | Branch Code  |
| MODULEID                             | VAR-CHAR2 | 30     | Optional           |                       | Module Id  |
| MODEOFPAYMENT                        | VAR-CHAR2 | 1      | Optional           |                       | Mode Of Payment  |
| PAYMENT-BRANCHCODE                   | VAR-CHAR2 | 12     | Optional           |                       | Payment Branch   |
| TRANSFERAC-COUNTTYPE                 | VAR-CHAR2 | 1      | Optional           |                       | Account Type   |
| TRANSFERAC-COUNTNUMBER               | VAR-CHAR2 | 20     | Optional           |                       | Account Number   |
| REMINDERBEFOREPERIOD                 | NUMBER    | 3      | Optional           |                       | Reminder Period  |
| REMINDERBEFOREACTION                 | NUMBER    | 1      | Optional           |                       | Reminder Before Action   |
| SISTATUS                             | VAR-CHAR2 | 1      | Optional           |                       | SI Status  |
| GENERATEAUTHTXN                      | NUMBER    | 1      | Optional           |                       | Generate Auth Transactions. 1-Yes,0-No   |
| SITYPE                               | VAR-CHAR2 | 10     | Optional           |                       | This must be blank   |
| SIESCALATION-FREQUENCY               | VAR-CHAR2 | 1      | Optional           |                       | Escalation Frequency.M-Monthly,Q-Quarterly,H-Half-Yearly,Y-Yearly,W-Weekly,F-Bi-Weekly,D-Daily |
| NEXTESCALATIONDATE                   | DATE      | 10     | Mandatory          |                       | Next Escalation Date   |
| ESCALATION-TYPE                      | VAR-CHAR2 | 1      | Optional           |                       | Escalation Type.A-Amount/Units,P-Percentage.   |
| ESCALATION-VALUE                     | NUMBER    | 30     | Optional           |                       | Escalation Value   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics /rules   | Values  |
|--------------------------------------|-----------|--------|---------------------|--|---|
| USERID                               | VAR-CHAR2 | 15     | Mandatory           |  | Represents the user ID who has entered the latest record                |
| AUTH_STAT                            | VAR-CHAR2 | 1      | Optional            |  | Authorisation Status.A-Authorized,U-Unauthorized.                       |
| GROSSORNET                           | VAR-CHAR2 | 1      | Mandatory           | Mandatory for transaction types (01, 02 & 03) I.e IPO, Subscription and redemption | Gross or Net.G-Gross,N-Net.   |
| MEANSOFPURCHASE                      | VAR-CHAR2 | 2      | Optional            |  | Value should be a valid means of purchase code maintained in the system |
| ISSUED                               | VAR-CHAR2 | 1      | Optional            |  | Issued.1-Yes,0-No,2-Both.   |
| CERTREQD                             | VAR-CHAR2 | 1      | Optional            |  | Certificate Required? . 1-Yes,0-No                                      |
| SINGLECERT                           | VAR-CHAR2 | 1      | Optional            |  | Single Certificate? . 1-Yes , 0-No                                      |
| TOSINGLECERT                         | VAR-CHAR2 | 1      | Optional            |  | Applicable for script based fund only.                                  |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics /rules  | Values  |
|--------------------------------------|-----------|--------|---------------------|---|---|
| SUBPAYMENT-MODE                      | VAR-CHAR2 | 2      | Optional            |   | Mode Of Payment.T-Money Transfer,Q-Cheque,R-Credit Card,D-Demand Draft,F-Against Payment,I-In Kind Payment,AT-Advice,BQ-Broker Cheque,CQ-Cashier cheque,DR-Debit Card,DT-Direct Debit,FQ-Foreign Cheque,GQ-Government Cheque,IQ-Other Institution Cheque,LQ-Local Cheque,OQ-Out Of Town Cheque,PD-Pay Order,PQ-Payroll Deduction,RQ-Reserve Bank Cheque,TT-Telegraphic Transfer,WT-Wire Transfer. |
| ESCALATION-STARTDATE                 | DATE      | 10     | Mandatory           | Mandatory if Escalation type is provided                                | Escalation Start Date   |
| ESCALATIONENDDATE                    | DATE      | 10     | Mandatory           | Mandatory if Escalation type is provided                                | Escalation End Date   |
| PAYMENTBANK-CODE                     | VAR-CHAR2 | 12     | Mandatory           | Required for payment modes check transfer, credit card and demand draft | Payment Bank Code   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics /rules | Values                               |
|--------------------------------------|-----------|--------|---------------------|------------------------|--------------------------------------|
| TRANSFERAC-COUNTHOLDERNAME           | VAR-CHAR2 | 60     | Optional            |                        | Account Holder Name                  |
| PAYMENTTYPE                          | VAR-CHAR2 | 1      | Optional            |                        | Payment Type. S-Self, T-Third Party. |
| THIRDPARTYPAYMENTREFERENCE           | VAR-CHAR2 | 255    | Optional            |                        | Third Party Reference                |
| THIRDPARTYZIPCODE                    | VAR-CHAR2 | 6      | Optional            |                        | Third Party Zip Code                 |
| THIRDPARTYUSSTATE                    | NUMBER    | 1      | Optional            |                        | Third Party US State                 |
| DELIVERYOPTION                       | VAR-CHAR2 | 1      | Optional            |                        | Delivery Option                      |
| THIRDPARTYBICCODE                    | VAR-CHAR2 | 12     | Optional            |                        | BIC Code                             |
| THIRDPARTYCOUNTRYCODE                | VAR-CHAR2 | 3      | Optional            |                        | Country                              |
| THIRDPARTYADDRESSLINE1               | VAR-CHAR2 | 80     | Optional            |                        | Address Line 1                       |
| THIRDPARTYADDRESSLINE2               | VAR-CHAR2 | 80     | Optional            |                        | Address Line 2                       |
| THIRDPARTYADDRESSLINE3               | VAR-CHAR2 | 80     | Optional            |                        | City                                 |
| THIRDPARTYADDRESSLINE4               | VAR-CHAR2 | 80     | Optional            |                        | Country                              |
| TRANSFERREFERENCENUMBER              | VAR-CHAR2 | 20     | Optional            |                        | Transfer Reference Number            |
| CIFNUMBER                            | VAR-CHAR2 | 12     | Optional            |                        | CIF Number                           |
| TOCIFNUMBER                          | VAR-CHAR2 | 12     | Optional            |                        | To CIF Number                        |
| POLICYNUMBER                         | VAR-CHAR2 | 16     | Optional            |                        | This must be blank                   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules                                  | Values  |
|--------------------------------------|-----------|--------|--------------------|--|---|
| PRODUCTID                            | VAR-CHAR2 | 10     | Optional           |  | This must be blank  |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Optional           |  | Entity Type.B-Broker,A-Agent,I-IFA,O-Account Officer,N-Agency Branch. |
| ENTITYID                             | VAR-CHAR2 | 12     | Optional           |  | Broker/Intermediary   |
| REFFUNDID                            | VAR-CHAR2 | 6      | Optional           |  | Specify the fund code here  |
| REFTOFUNDID                          | VAR-CHAR2 | 6      | Optional           |  | Specify the fund code if the SI is switch type of instruction         |
| MINHOLDCHKREQ                        | NUMBER    | 1      | Optional           |  | Minimum Holding Check Required? 1-Yes,0-No                            |
| FROMFUNDPRICEBASIS                   | VAR-CHAR2 | 10     | Optional           |  | Price Basis   |
| TOFUNDPRICEBASIS                     | VAR-CHAR2 | 10     | Optional           |  | This must be blank  |
| PHASEIN                              | NUMBER    | 1      | Optional           |  | Phase In  |
| PHASEINTYPE                          | VAR-CHAR2 | 1      | Optional           | If PHASEIN is applicable then this field is mandatory. | Phase In Type. H-Holdings.  |
| PHASEINCURRENCES                     | NUMBER    | 3      | Optional           | If PHASEIN is applicable then this field is mandatory. | If Phase In is applicable then this field is mandatory.               |
| REMAININGCURRENCES                   | NUMBER    | 3      | Optional           |  | This must be blank  |
| GENERATEON                           | VAR-CHAR2 | 255    | Optional           |  | This must be blank  |



| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics /rules | Values                                  |
|--------------------------------------|-----------|--------|---------------------|------------------------|---|
| NOOFSI                               | NUMBER    | 5      | Optional            |                        | No. of SI Transactions                  |
| SWIFTFORMAT                          | VAR-CHAR2 | 6      | Optional            |                        | Swift Format. MT103-MT103,MT202-MT202   |
| BANKCHARGED                          | VAR-CHAR2 | 12     | Optional            |                        | Bank Charged.Shared-Shared,Remit-Remit. |
| BENEFICIARY                          | VAR-CHAR2 | 35     | Optional            |                        | Beneficiary                             |
| BENEFICIARY-ADD1                     | VAR-CHAR2 | 105    | Optional            |                        | Beneficiary Address1                    |
| BENEFICIARY-ADD2                     | VAR-CHAR2 | 105    | Optional            |                        | Beneficiary Address2                    |
| BENEFICIARY-ADD3                     | VAR-CHAR2 | 105    | Optional            |                        | Beneficiary Address3                    |
| BENEFICIARY-BICCODE                  | VAR-CHAR2 | 24     | Optional            |                        | Beneficiary BIC Code                    |
| BENEFICIARY-YACCTNO                  | VAR-CHAR2 | 34     | Optional            |                        | Beneficiary Account Number              |
| BENEFICIARY-CODE                     | VAR-CHAR2 | 24     | Optional            |                        | Beneficiary Code                        |
| BENEFICIARY-INFO                     | VAR-CHAR2 | 100    | Optional            |                        | Beneficiary Info                        |
| INTERMEDIARY                         | VAR-CHAR2 | 35     | Optional            |                        | Intermediary                            |
| INTERMEDIARY-ADD1                    | VAR-CHAR2 | 105    | Optional            |                        | Intermediary Address1                   |
| INTERMEDIARY-ADD2                    | VAR-CHAR2 | 105    | Optional            |                        | Intermediary Address2                   |
| INTERMEDIARY-ADD3                    | VAR-CHAR2 | 105    | Optional            |                        | Intermediary Address3                   |
| INTERMEDIARY-BICCODE                 | VAR-CHAR2 | 24     | Optional            |                        | Intermediary BIC Code                   |
| INTERMEDIARY-YACCTNO                 | VAR-CHAR2 | 34     | Optional            |                        | Intermediary Account Number             |
| INTERMEDIARY-CODE                    | VAR-CHAR2 | 24     | Optional            |                        | Intermediary Code                       |
| INTERMEDIARY-INFO                    | VAR-CHAR2 | 100    | Optional            |                        | Intermediary Info                       |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules        | Values                                  |
|--------------------------------------|-----------|--------|--------------------|------------------------------|---|
| FURTHER-CREDITNAME                   | VAR-CHAR2 | 35     | Optional           |                              | Further Credit Name                     |
| FURTHER-CREDITACCTNO                 | VAR-CHAR2 | 35     | Optional           |                              | Further Credit Account Number           |
| REFERENCE1                           | VAR-CHAR2 | 35     | Optional           |                              | Reference1                              |
| REFERENCE2                           | VAR-CHAR2 | 35     | Optional           |                              | Reference2                              |
| NOOFSITRANS-ACTIONS                  | NUMBER    | 4      | Optional           |                              | No. of SI Transactions                  |
| SILEVEL                              | VAR-CHAR2 | 1      | Optional           | Valid values are 'F' and 'P' | SI Level. P-Plan,F-Fund                 |
| PLANCODE                             | VAR-CHAR2 | 6      | Optional           |                              | Plan Code                               |
| FIRSTPAYMENTDATE                     | DATE      | 10     | Optional           |                              | First Generation Date                   |
| IBAN                                 | VAR-CHAR2 | 40     | Optional           |                              | IBAN                                    |
| CAMPAIGN-CODE                        | VAR-CHAR2 | 6      | Optional           |                              | Campaign Code                           |
| BENEFICIARY-IBAN                     | VAR-CHAR2 | 40     | Optional           |                              | Beneficiary IBAN                        |
| INTERMEDIARYIBAN                     | VAR-CHAR2 | 40     | Optional           |                              | Intermediary IBAN                       |
| SIPAYMENTREJECTION-COUNTER           | NUMBER    | 3      | Optional           |                              | No. of Cumulative Payment Rejections    |
| SIAUTOTERMINATION-COUNTER            | NUMBER    | 3      | Optional           |                              | SI Auto-Termination Cumulative Counter  |
| CONSSIPAYMNTREJCNTER                 | NUMBER    | 3      | Optional           |                              | No. of Consecutive Payment Rejections   |
| CONSSIAUTO-COUNTER                   | NUMBER    | 3      | Optional           |                              | SI Auto-Termination Consecutive Counter |
| MAXSITXNSALLOWED                     | NUMBER    | 3      | Optional           |                              | Maximum SI Transactions Allowed         |

### 2.4.3 SI Intermediary related Information

Serial Number: 2

Segment Identification Number: 01BRK

Description: This segment is used for providing SI Intermediary related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment id. Value of Segment id is 01BRK                               |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID   |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional           |                       | Bulk Client Code   |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Optional           |                       | Import file Name   |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Optional           |                       | Upload Mode  |
| AMCID                                | VAR-CHAR2 | 12     | Optional           |                       | AMC ID   |
| SIID                                 | VAR-CHAR2 | 16     | Optional           |                       | SI Id  |
| ENTITYID                             | VAR-CHAR2 | 12     | Optional           |                       | Broker/Intermediary  |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Optional           |                       | Entity Type. B-Broker,A-Agent,I-IFA,O-Account Officer,N-Agency Branch. |
| SPLITPERCENTAGE                      | NUMBER    | 5      | Optional           |                       | Split Percentage   |
| FROMORTOLEG                          | VAR-CHAR2 | 1      | Mandatory          |                       | From Or To Leg. F-From,T-To  |

### 2.4.4 SI Payment related Information

Serial Number: 3

Segment Identification Number: 02CHQ

Description: This segment is used for providing SI Payment related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment id. Value of Segment id is 02CHQ |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number                          |
| PROCESSID                            | VAR-CHAR2 | 50     | Optional           |                       | Process ID                               |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional           |                       | Bulk Client Code                         |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Optional           |                       | Import file Name                         |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Optional           |                       | Upload Mode                              |
| AMCID                                | VAR-CHAR2 | 12     | Optional           |                       | AMC ID                                   |
| SIID                                 | VAR-CHAR2 | 16     | Optional           |                       | SI Id                                    |
| PAYMENTMODE                          | VAR-CHAR2 | 1      | Optional           |                       | Payment Mode                             |
| PAYMENTNUMBER                        | VAR-CHAR2 | 16     | Optional           |                       | Cheque Number                            |
| PAYMENTDATE                          | DATE      | 10     | Optional           |                       | Payment Date                             |
| PROCESSED                            | NUMBER    | 1      | Optional           |                       | Processed. 1-Yes , 0-No                  |

#### 2.4.5 **SI Delivery related Information**

Serial Number: 4

Segment Identification Number: 03DEL

Description: This segment is used for providing SI Delivery related Information

## Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment id. Value of Segment id is 03DEL |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number                          |
| PROCESSID                            | VAR-CHAR2 | 50     | Optional           |                       | Process ID                               |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional           |                       | Bulk Client Code                         |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Optional           |                       | Import file Name                         |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Optional           |                       | Upload Mode                              |
| AMCID                                | VAR-CHAR2 | 12     | Optional           |                       | AMC ID                                   |
| SIID                                 | VAR-CHAR2 | 16     | Optional           |                       | SI Id                                    |
| DELIVERYTYPE                         | VAR-CHAR2 | 1      | Optional           |                       | Delivery Type. S-Self ,T-Third Party     |
| ENTITYID                             | VAR-CHAR2 | 12     | Optional           |                       | Clearing Agent/Custodian Bank            |
| ACCOUNTNUMBER                        | VAR-CHAR2 | 20     | Optional           |                       | Account Number                           |
| ACCOUNT-NAME                         | VAR-CHAR2 | 100    | Optional           |                       | Account Name                             |
| ADDRESSTYPE                          | VAR-CHAR2 | 2      | Optional           |                       | Address Type                             |
| ADDRESSLINE1                         | VAR-CHAR2 | 105    | Mandatory          |                       | Address Line1                            |
| ADDRESSLINE2                         | VAR-CHAR2 | 105    | Mandatory          |                       | Address Line2                            |
| ADDRESSLINE3                         | VAR-CHAR2 | 105    | Optional           |                       | Address Line3                            |
| ADDRESSLINE4                         | VAR-CHAR2 | 80     | Optional           |                       | Address Line4                            |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|----------|
| ZIPCODE                              | VAR-CHAR2 | 10     | Optional           |                       | Zip Code |
| COUNTRY                              | VAR-CHAR2 | 3      | Optional           |                       | Country  |
| BICCODE                              | VAR-CHAR2 | 12     | Optional           |                       | BIC Code |
| IBAN                                 | VAR-CHAR2 | 40     | Optional           |                       | IBAN     |

## 2.4.6 SI Fund related Information

Serial Number: 5

Segment Identification Number: 04FND

Description: This segment is used for providing SI Fund related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment id. Value of Segment id is 04FND |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number                          |
| PROCESSID                            | VAR-CHAR2 | 50     | Optional           |                       | Process ID                               |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional           |                       | Bulk Client Code                         |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Optional           |                       | Import file Name                         |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Optional           |                       | Upload Mode                              |
| AMCID                                | VAR-CHAR2 | 12     | Optional           |                       | AMC ID                                   |
| SIID                                 | VAR-CHAR2 | 16     | Optional           |                       | SI Id                                    |
| FUNDID                               | VAR-CHAR2 | 6      | Optional           |                       | FundId                                   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                       |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| ISSUED                               | VAR-CHAR2 | 1      | Optional           |                       | From Issued Balances. 1-Yes,0-No,2-Both      |
| CERTREQD                             | VAR-CHAR2 | 1      | Optional           |                       | Certificate Required? 1-Yes , 0-No           |
| SINGLECERT                           | VAR-CHAR2 | 1      | Optional           |                       | Single Certificate? 1-Yes , 0-No             |
| MINHOLDCHKREQ                        | NUMBER    | 1      | Mandatory          |                       | Minimum Holding Check Required? 1-Yes , 0-No |
| FROMFUND-PRICEBASIS                  | VAR-CHAR2 | 10     | Optional           |                       | Price Basis                                  |
| CONTRIBUTIONPERCENTAGE               | NUMBER    | 5      | Optional           |                       | Contribution Percentage                      |
| CONTRIBUTIONAMOUNT                   | NUMBER    | 30     | Optional           |                       | Contribution Amount                          |

## 2.4.7 SI Certificate related Information

Serial Number: 6

Segment Identification Number: 05CRT

Description: This segment is used for providing SI Certificate related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values           |
|--------------------------------------|-----------|--------|--------------------|-----------------------|------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       |                  |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number  |
| PROCESSID                            | VAR-CHAR2 | 50     | Optional           |                       | Process ID       |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional           |                       | Bulk Client Code |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Optional           |                       | Import file Name |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values             |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--------------------|
| UPLOADMODE                           | VAR-CHAR2 | 1      | Optional           |                       | Upload Mode        |
| AMCID                                | VAR-CHAR2 | 12     | Optional           |                       | AMC ID             |
| TRANSACTION-NUMBER                   | VAR-CHAR2 | 16     | Optional           |                       | Transaction Number |
| REFERENCE-NUMBER                     | VAR-CHAR2 | 16     | Optional           |                       | Reference Number   |
| CERTIFICATE-NUMBER                   | NUMBER    | 10     | Mandatory          |                       | Certificate Number |
| NOOFUNITS                            | NUMBER    | 27     | Optional           |                       | Number of Units    |

#### 2.4.8 SI Additional Information

Serial Number: 7

Segment Identification Number: 06ADD

Description: This segment is used for providing SI Additional Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/rules | Values                                   |
|--------------------------------------|-----------|--------|-----------|-----------------------|--|
| SEGMENTID                            | NUMBER    | 20     | Yes       |                       | Segment ID. Value of Segment ID is 06ADD |
| SEQUENCENUMBER                       | NUMBER    | 22     | Yes       |                       | Sequence Number                          |
| PROCESSID                            | VAR-CHAR2 | 50     | Yes       |                       | Process id                               |
| OTHERINFOFIELD                       | VAR-CHAR2 | 100    | No        |                       | Other info                               |
| FIELDVALUE                           | VAR-CHAR2 | 60     | No        |                       | Field value                              |



## 2.5 Income Distribution Setup File Format

This section contains the following topics:

- [Section 2.5.1, "Segment Identification Number"](#)
- [Section 2.5.2, "Income Distribution related Information"](#)
- [Section 2.5.3, "Income Distribution Reinvestment related Information"](#)

### 2.5.1 Segment Identification Number

| Segment Identification Number | Description   | Segment Mandatory / Optional? |
|-------------------------------|---|-------------------------------|
| 00IDI                         | This segment is used for providing Income Distribution related Information              | Mandatory                     |
| 00IDR                         | This segment is used for providing Income Distribution Reinvestment related Information | Mandatory                     |

### 2.5.2 Income Distribution related Information

Serial Number: 1

Segment Identification Number: 00IDI

Description: This segment is used for providing Income Distribution related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/ rules | Values                           |
|--------------------------------------|-----------|--------|--------------------|------------------------|----------------------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                        | Segment ID.Value should be 00IDI |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory          |                        | Sequence Number                  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                        | Process ID                       |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Mandatory          |                        | Bulk Client Code                 |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Mandatory          |                        | Import File Name                 |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory          |                       | Mode of operation which has to be performed on the record         |
| AMCID                                | VAR-CHAR2 | 12     | Optional           |                       | AMC ID  |
| REFUNITHOLD-ERID                     | VAR-CHAR2 | 12     | Optional           |                       | Reference UnitHolder ID   |
| FUNDID                               | VAR-CHAR2 | 25     | Mandatory          |                       | Fund Id   |
| DISTRIBUTION-MODE                    | VAR-CHAR2 | 1      | Optional           |                       | Distribution Mode. P- full Payment, R- Full Reinvestment, B- both |
| PAYMENT-SPLITPERCENTAGE              | NUMBER    | 22     | Optional           |                       | Payment Percentage  |
| CHEQUEPAYMENTSPLIT                   | NUMBER    | 22     | Optional           |                       | Cheque Payment Split Percentage                                   |
| TRANSFER-PAYMENTSPLIT                | NUMBER    | 22     | Optional           |                       | Transfer Payment Split Percentage                                 |
| XFERBANK-CODE                        | VAR-CHAR2 | 12     | Optional           |                       | Transfer Bank Code  |
| XFERBRANCH-CODE                      | VAR-CHAR2 | 12     | Optional           |                       | Transfer Branch Code  |
| XFERAC-COUNTTYPE                     | VAR-CHAR2 | 1      | Optional           |                       | Transfer Account Type   |
| XFERAC-COUNTNUMBER                   | VAR-CHAR2 | 20     | Mandatory          |                       | Transfer Account Number   |
| XFERAC-COUNTCURRENCY                 | VAR-CHAR2 | 3      | Optional           |                       | Transfer Account Currency   |
| AGENTCODE                            | VAR-CHAR2 | 12     | Mandatory          |                       | Agent Code  |
| BRANCHCODE                           | VAR-CHAR2 | 12     | Mandatory          |                       | Branch Code   |
| RULEEFFECTIVEDATE                    | DATE      | 7      | Mandatory          |                       | Rule Effective Date   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| USERID                               | VAR-CHAR2 | 15     | Mandatory          |                       | Represents the user id who has entered the latest record. |
| AUTH_STAT                            | VAR-CHAR2 | 1      | Optional           |                       | Authorization Status.<br>A-Authorized,<br>Uunauthorized   |
| PAYMENT-MODE                         | VAR-CHAR2 | 1      | Optional           |                       | Payment Mode.QCheque-Cheque, TTransfer-Transfer           |
| SUBPAYMENT-MODE                      | VAR-CHAR2 | 2      | Optional           |                       | Subpayment Mode.Q-Cheque-Cheque, T-Transfer-Transfer      |
| PAYMENTTYPE                          | VAR-CHAR2 | 1      | Optional           |                       | Payment Type.SSelf, T-Third Party,EEntity                 |
| THIRDPAR-TYPAYMENT-REFERENCE         | VAR-CHAR2 | 255    | Optional           |                       | Third Party Reference                                     |
| THIRDPAR-TYPAYMENT-ADDRESS           | VAR-CHAR2 | 255    | Optional           |                       | Third Party Payment Address                               |
| THIRDPAR-TYPAYMENTCITY               | VAR-CHAR2 | 20     | Optional           |                       | Third Party Payment City                                  |
| THIRDPAR-TYPAYMENT-STATE             | VAR-CHAR2 | 20     | Optional           |                       | US State/ Non-US State                                    |
| USSTATE                              | NUMBER    | 22     | Optional           |                       | US State. 1-Yes,0-No                                      |
| THIRDPAR-TYPAYMENTZIPCODE            | VAR-CHAR2 | 10     | Optional           |                       | Third Party Payment Zip Code                              |
| XFERAC-COUNTHOLDERNAME               | VAR-CHAR2 | 100    | Mandatory          |                       | Transfer Account Holder Name                              |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Optional           |                       | Entity Type.B-Broker                                      |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values  |
|--------------------------------------|-----------|--------|---------------------|------------------------|---|
| ENTITYID                             | VAR-CHAR2 | 12     | Optional            |                        | Entity ID                                       |
| REFFUNDID                            | VAR-CHAR2 | 6      | Mandatory           |                        | Reference Fund ID                               |
| IDENTITY-TYPE                        | VAR-CHAR2 | 1      | Mandatory           |                        | Unit Holder Or Broker.<br>U-Unitholder, BBroker |
| IDENTITYID                           | VAR-CHAR2 | 12     | Optional            |                        | Unitholder ID Or Broker Code                    |
| SWIFTFORMAT                          | VAR-CHAR2 | 6      | Optional            |                        | Swift Format                                    |
| BANK-CHARGED                         | VAR-CHAR2 | 12     | Optional            |                        | Bank Charged                                    |
| BENEFICIARY                          | VAR-CHAR2 | 35     | Optional            |                        | Beneficiary                                     |
| BENEFICIARY-ADD1                     | VAR-CHAR2 | 105    | Optional            |                        | Beneficiary Address1                            |
| BENEFICIARY-ADD2                     | VAR-CHAR2 | 105    | Optional            |                        | Beneficiary Address2                            |
| BENEFICIARY-ADD3                     | VAR-CHAR2 | 105    | Optional            |                        | Beneficiary Address3                            |
| BENEFICIARY-BICCODE                  | VAR-CHAR2 | 24     | Optional            |                        | Beneficiary BIC Code                            |
| BENEFICIARY-YACCTNO                  | VAR-CHAR2 | 34     | Optional            |                        | Beneficiary Account No                          |
| BENEFICIARY-CODE                     | VAR-CHAR2 | 24     | Optional            |                        | Beneficiary Code                                |
| BENEFICIARY-INFO                     | VAR-CHAR2 | 100    | Optional            |                        | Beneficiary Info                                |
| INTERMEDIARY                         | VAR-CHAR2 | 35     | Optional            |                        | Intermediary                                    |
| INTERMEDIARY-ADD1                    | VAR-CHAR2 | 105    | Optional            |                        | Intermediary Address1                           |
| INTERMEDIARY-ADD2                    | VAR-CHAR2 | 105    | Optional            |                        | Intermediary Address2                           |
| INTERMEDIARY-ADD3                    | VAR-CHAR2 | 105    | Optional            |                        | Intermediary Address3                           |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values                        |
|--------------------------------------|-----------|--------|---------------------|------------------------|-------------------------------|
| INTERMEDIARYBICCODE                  | VAR-CHAR2 | 24     | Optional            |                        | Intermediary BIC Code         |
| INTERMEDIARYACCTNO                   | VAR-CHAR2 | 34     | Optional            |                        | Intermediary Account No       |
| INTERMEDIARYCODE                     | VAR-CHAR2 | 24     | Optional            |                        | Intermediary Code             |
| INTERMEDIARYINFO                     | VAR-CHAR2 | 100    | Optional            |                        | Intermediary Info             |
| FURTHERCREDITNAME                    | VAR-CHAR2 | 35     | Optional            |                        | Further Credit Name           |
| FURTHERCREDITACCTNO                  | VAR-CHAR2 | 35     | Optional            |                        | Further Credit Account Number |
| REFERENCE1                           | VAR-CHAR2 | 35     | Optional            |                        | Reference1                    |
| REFERENCE2                           | VAR-CHAR2 | 35     | Optional            |                        | Reference2                    |
| POLICYNUMBER                         | VAR-CHAR2 | 16     | Optional            |                        | Policy Number                 |
| PRODUCTID                            | VAR-CHAR2 | 10     | Optional            |                        | Product ID                    |
| PAYMENTCURRENCY                      | VAR-CHAR2 | 3      | Optional            |                        | Payment Currency              |
| IBAN                                 | VAR-CHAR2 | 40     | Optional            |                        | IBAN                          |
| BENEFICIARYIBAN                      | VAR-CHAR2 | 40     | Optional            |                        | Beneficiary IBAN              |
| INTERMEDIARYIBAN                     | VAR-CHAR2 | 40     | Optional            |                        | Intermediary IBAN             |

### 2.5.3 Income Distribution Reinvestment related Information

Serial Number: 2

Segment Identification Number: 00IDR

Description: This segment is used for providing Income Distribution Reinvestment related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                       | Segment ID.Value should be 00IDR              |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory          |                       | Sequence Number                               |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID                                    |
| FUNDID                               | VAR-CHAR2 | 6      | Mandatory          |                       | Fund ID                                       |
| REINVESTMENTUNITHOLDERID             | VAR-CHAR2 | 12     | Mandatory          |                       | Unitholder ID                                 |
| REINVESTMENTFUNDID                   | VAR-CHAR2 | 6      | Mandatory          |                       | To Fund ID                                    |
| SPLITPERCENTAGE                      | NUMBER    | 22     | Mandatory          |                       | Split Percentage                              |
| RULEEFFECTIVEDATE                    | DATE      | 7      | Optional           |                       | Rule Effective date                           |
| IDENTITYTYPE                         | VAR-CHAR2 | 1      | Mandatory          |                       | Unit Holder Or Broker. U-Unitholder, B-broker |
| IDENTITYID                           | VAR-CHAR2 | 12     | Mandatory          |                       | Unitholder ID Or Broker Code                  |
| POLICYNUMBER                         | VAR-CHAR2 | 16     | Optional           |                       | Policy Number                                 |
| PRODUCTID                            | VAR-CHAR2 | 10     | Optional           |                       | Product ID                                    |

## 2.6 Fund Price File Format

This section contains the following topics:

- [Section 2.6.1, "Segment Identification Number"](#)
- [Section 2.6.2, "Fund Price related Information"](#)
- [Section 2.6.3, "Fund Price Base Price Constant related Information"](#)
- [Section 2.6.4, "Fund Price Additional Information related Information"](#)
- [Section 2.6.5, "Fund Price Components related Information"](#)
- [Section 2.6.6, "Fund Price Currency Header Details"](#)
- [Section 2.6.7, "Fund Price Currency Base Price Constant Details"](#)
- [Section 2.6.8, "Fund Price Currency Components Details"](#)

## 2.6.1 Segment Identification Number

| Segment Identification Number | Description  | Segment Mandatory / Optional? |
|-------------------------------|--|-------------------------------|
| 00FPI                         | This segment is used for providing Fund Price related Information  | Mandatory                     |
| 01FBP                         | This segment is used for providing Fund Price Base Price Constant related Information  | Optional                      |
| 02FCT                         | This segment is used for providing Fund Price Components related Information   | Optional                      |
| 03FAI                         | This segment is used for providing Fund Price Additional Information related Information   | Optional                      |
| 04FPH                         | This segment is used for providing NAV related information for the fund price currencies maintained at the fund level                        | Optional                      |
| 05FPD                         | This segment is used for providing Fund Price Base Price Constant related Information for the fund price currencies maintained at fund level | Optional                      |
| 06FPC                         | This segment is used for providing Fund Price Component related Information for the fund price currencies maintained at fund level           | Optional                      |

## 2.6.2 Fund Price related Information

Serial Number: 1

Segment Identification Number: 00FPI

Description: This segment is used for providing Fund Price related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ Rules | Values                           |
|--------------------------------------|-----------|--------|---------------------|------------------------|----------------------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory           |                        | Segment ID.Value should be 00FPI |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory           |                        | Sequence Number                  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process ID                       |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Mandatory           |                        | Bulk Client Code                 |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ Rules | Values  |
|--------------------------------------|-----------|--------|---------------------|------------------------|---|
| IMPORTFILENAME                       | VAR-CHAR2 | 255    | Mandatory           |                        | Import File Name  |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory           |                        | Operation mode which will be performed on the Upload records. It can have N-New, D-Delete, A-Auth & E- Modify |
| AMCID                                | VAR-CHAR2 | 12     | Mandatory           |                        | AMC ID  |
| FUNDID                               | VAR-CHAR2 | 25     | Mandatory           |                        | Fund ID   |
| EFFECTIVEDATE                        | DATE      | 7      | Mandatory           |                        | Rule Effective Date   |
| REALNAVPERUNIT                       | NUMBER    | 17     | Optional            |                        | Real NAV per Unit   |
| FLOORPRICE                           | NUMBER    | 17     | Optional            |                        | Floor Price   |
| CEILINGPRICE                         | NUMBER    | 17     | Optional            |                        | Ceiling Price   |
| DECLAREDNAV                          | NUMBER    | 17     | Optional            |                        | Declared NAV  |
| LATESTPRICE                          | NUMBER    | 1      | Optional            |                        | Latest Price  |
| EUROEQUIVALENTOFNAV                  | NUMBER    | 24     | Optional            |                        | Euro Equivalent of NAV  |
| AMENDTXNFLAG                         | NUMBER    | 22     | Optional            |                        | Amend Transaction Flag  |
| HURDLERATE                           | NUMBER    | 22     | Optional            |                        | Hurdle Rate   |
| HWMPLUSHURDLE                        | NUMBER    | 27     | Optional            |                        | HWM + Hurdle  |
| USERID                               | VAR-CHAR2 | 15     | Mandatory           |                        | It shows the name of the user who has created or modified the specific record                                 |
| SWINGINGPRICE                        | NUMBER    | 30     | Mandatory           |                        | Swinging Price  |
| SWINGPRICEBASIS                      | VAR-CHAR2 | 50     | Mandatory           |                        | Swinging Price Basis (Offer, Mid or Bid Price)  |
| REFLECTINTRADING                     | NUMBER    | 1      | Optional            |                        | Reflect in Trading  |



### 2.6.3 Fund Price Base Price Constant related Information

Serial Number: 2

Segment Identification Number: 01FBP

Description: This segment is used for providing Fund Price Base Price Constant related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                           |
|--------------------------------------|-----------|--------|--------------------|-----------------------|----------------------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                       | Segment ID.Value should be 01FBP |
| SEQUENCENUMBER                       | NUMBER    | 22     | Mandatory          |                       | Sequence Number                  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID                       |
| TRANSACTION-TYPE                     | VAR-CHAR2 | 2      | Mandatory          |                       | Transaction Type                 |
| BASEPRICECONSTANT                    | NUMBER    | 22     | Optional           |                       | Base Price Constant              |
| TRANSACTION-BASEPRICE                | NUMBER    | 22     | Optional           |                       | Transaction Base Price           |
| ALLOCATIONPERVSREGCAPITAL            | NUMBER    | 22     | Optional           |                       | Allocation vs Register Capital   |

### 2.6.4 Fund Price Additional Information related Information

Segment Identification Number: 03FAI

Description: This segment is used for providing Fund Price Additional Information related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                           |
|--------------------------------------|-----------|--------|--------------------|-----------------------|----------------------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                       | Segment ID.Value should be 03FAI |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values                |
|--------------------------------------|-----------|--------|---------------------|------------------------|-----------------------|
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                        | Sequence Number       |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process ID            |
| ADDINFOLABEL                         | VAR-CHAR2 | 30     | Optional            |                        | Add Information Label |
| FIELDVALUE                           | CHAR      | 23     | Optional            |                        | Field Value           |

### 2.6.5 Fund Price Components related Information

Serial Number: 4

Segment Identification Number: 02FCT

Description: This segment is used for providing Fund Price Component related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values                            |
|--------------------------------------|-----------|--------|---------------------|------------------------|-----------------------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory           |                        | Segment ID. Value should be 02FCT |
| SEQUENCENUMBER                       | NUMBER    | 22     | Mandatory           |                        | Sequence Number                   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process ID                        |
| COMPONENTID                          | VAR-CHAR2 | 10     | Optional            |                        | Component ID                      |
| COMPONENT-VALUE                      | NUMBER    | 22     | Optional            |                        | Component Value                   |

### 2.6.6 Fund Price Currency Header Details

Serial Number: 5

Segment Identification Number: 04FPH

Description: This segment is used for providing NAV related information for the fund price currencies maintained at the fund level

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Charact<br>eristics/<br>rules | Values   |
|--|---------------|--------|------------------------|-------------------------------|--|
| SEGMENTID                                  | VAR-<br>CHAR2 | 80     | Mandatory              |                               | Segment ID.Value<br>should be 04FPH  |
| SEQUENCE-<br>NUMBER                        | NUMBER        | 22     | Mandatory              |                               | Sequence Number  |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                               | Process ID   |
| FUNDID                                     | VAR-<br>CHAR2 | 24     | Mandatory              |                               | Fund ID  |
| EFFECTIVE-<br>DATE                         | DATE          | 7      | Mandatory              |                               | Rule Effective<br>Date   |
| CURRENCY                                   | VAR-<br>CHAR2 | 3      | Mandatory              |                               | Fund price cur-<br>rency   |
| REAL-<br>NAVPE-<br>RUNIT                   | NUMBER        | 22     | Optional               |                               | Real NAV per Unit  |
| DECLARED-<br>NAV                           | NUMBER        | 22     | Optional               |                               | Declared NAV   |
| FLOOR-<br>PRICE                            | NUMBER        | 22     | Optional               |                               | Floor Price  |
| CEILING-<br>PRICE                          | NUMBER        | 22     | Optional               |                               | Ceiling Price  |
| LATEST-<br>PRICE                           | NUMBER        | 22     | Optional               |                               | Latest Price   |
| AMENDTXN-<br>FLAG                          | NUMBER        | 22     | Optional               |                               | Amend transaction<br>flag-when set all<br>the transactions<br>captured in the<br>particular cur-<br>rency are<br>amended |

## 2.6.7 Fund Price Currency Base Price Constant Details

Serial Number: 6

Segment Identification Number: 05FPD

Description: This segment is used for providing Fund Price Base Price Constant related Information for the fund price currencies maintained at fund level.

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                           |
|--------------------------------------|-----------|--------|--------------------|-----------------------|----------------------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment ID.Value should be 05FPD |
| SEQUENCENUMBER                       | NUMBER    | 22     | Mandatory          |                       | Sequence Number                  |
| PROCESSID                            | VAR-CHAR2 | 200    | Mandatory          |                       | Process ID                       |
| FUNDID                               | VAR-CHAR2 | 24     | Mandatory          |                       | Fund ID                          |
| EFFECTIVEDATE                        | DATE      | 7      | Mandatory          |                       | Rule Effective Date              |
| CURRENCY                             | VAR-CHAR2 | 12     | Mandatory          |                       | Fund price currency              |
| TRANSACTION-TYPE                     | VAR-CHAR2 | 8      | Mandatory          |                       | Transaction Type                 |
| TRANSACTION-BASEPRICE                | NUMBER    | 22     | Optional           |                       | Transaction Base Price           |
| BASEPRICECONSTANT                    | NUMBER    | 22     | Optional           |                       | Base Price Constant              |
| ALLOCATIONPERVSREGCAPITAL            | NUMBER    | 22     | Optional           |                       | Allocation vs Register Capital   |

## 2.6.8 Fund Price Currency Components Details

Serial Number: 7

Segment Identification Number: 06FPC

Description: This segment is used for providing Fund Price Component related Information for the fund price currencies maintained at fund level.

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                           |
|--------------------------------------|-----------|--------|--------------------|-----------------------|----------------------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment ID.Value should be 06FPC |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values              |
|--------------------------------------|-----------|--------|---------------------|------------------------|---------------------|
| SEQUENCENUMBER                       | NUMBER    | 22     | Mandatory           |                        | Sequence Number     |
| PROCESSID                            | VAR-CHAR2 | 200    | Mandatory           |                        | Process ID          |
| FUNDID                               | VAR-CHAR2 | 24     | Mandatory           |                        | Fund ID             |
| EFFECTIVEDATE                        | DATE      | 7      | Mandatory           |                        | Rule Effective Date |
| CURRENCY                             | VAR-CHAR2 | 12     | Mandatory           |                        | Fund price currency |
| COMPONENTID                          | VAR-CHAR2 | 40     | Mandatory           |                        | Component ID        |
| COMPONENT-VALUE                      | NUMBER    | 22     | Optional            |                        | Component Value     |

## 2.7 Broker File Format

This section contains the following topics:

- [Section 2.7.1, "Segment Identification Number"](#)
- [Section 2.7.2, "Broker Upload related Information"](#)
- [Section 2.7.3, "Broker Contact Information related Information"](#)
- [Section 2.7.4, "Brokers Bank Information related details"](#)
- [Section 2.7.5, "Brokers Legacy related Information"](#)
- [Section 2.7.6, "Broker Preference Information related details"](#)
- [Section 2.7.7, "Broker Additional Information related details"](#)
- [Section 2.7.8, "Broker Related Party Related Information"](#)

## 2.7.1 Segment Identification Number

| Segment Identification Number | Description   | Segment Mandatory / Optional? |
|-------------------------------|---|-------------------------------|
| 00BRO                         | This segment is used for providing Broker Upload related Information              | Mandatory                     |
| 01CON                         | This segment is used for providing Broker Contact Information related Information | Optional                      |
| 02BAN                         | This segment is used for providing Brokers Bank Information related details       | Optional                      |
| 03LEG                         | This segment is used for providing Brokers Legacy related Information             | Optional                      |
| 04PRE                         | This segment is used for providing Brokers Preference related Information         | Optional                      |
| 05ADD                         | This segment is used for providing Broker Additional Information related details  | Optional                      |
| 06REL                         | This segment is used for providing Broker Related Party Details                   | Optional                      |

## 2.7.2 Broker Upload related Information

Serial Number: 1

Segment Identification Number: 00BRO

Description: This segment is used for providing Broker Upload related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values                                    |
|--------------------------------------|-----------|--------|---------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory           |                       | Segment Id. Value for Segment id is 00BRO |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory           |                       | Sequence Number                           |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                       | Process ID                                |

| <b>Field Name<br/>(as expected in the file)</b> | <b>Data type</b> | <b>Length</b> | <b>Mandatory/ Optional</b> | <b>Characteristics/rules</b>  | <b>Values</b>  |
|---|------------------|---------------|----------------------------|---|--|
| BULKCLIENTCODE                                  | VAR-CHAR2        | 12            | Mandatory                  | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Bulk Client Code   |
| IMPORT-FILENAME                                 | VAR-CHAR2        | 255           | Mandatory                  |   | Import File Name   |
| UPLOAD-MODE                                     | VAR-CHAR2        | 1             | Mandatory                  |   | Mode of operation which has to be performed on the record  |
| BROKER-CODE                                     | VAR-CHAR2        | 12            | Mandatory                  |   | The code to uniquely identify the broker.  |
| BROKER-NAME                                     | VAR-CHAR2        | 105           | Mandatory                  |   | Name of the broker   |
| PARENT-BROKER-CODE                              | VAR-CHAR2        | 12            | Mandatory                  |   | Represents the parent of the broker. The commission received by the broker is shared with this parent  |
| BROKERBASECCY                                   | VAR-CHAR2        | 3             | Mandatory                  | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Represents the base currency of the broker. Tax and other calculations are done based on this currency |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules   | Values   |
|---|-----------|--------|---------------------|---|--|
| AMCID                                   | VAR-CHAR2 | 12     | Mandatory           | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | AMC ID   |
| DELETED-STATUS                          | NUMBER    | 1      | Mandatory           | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Flag to identify whether the broker record is in any of these states 1-active or 0-closed or 2-pending closure state |
| OVERRIDE-TAX-PERCENTAGE                 | NUMBER    | 156    | Mandatory           |   | The tax percentage applicable for the broker can be overridden here  |
| USERID                                  | VAR-CHAR2 | 15     | Mandatory           |   | Represents the user ID who has entered the latest record.  |
| LEVELNUMBER                             | NUMBER    | 3      | Optional            |   | Represents at which level the broker is in the parent broker hierarchy. The top parent will have level number 0      |
| RULEEFFECTIVE-DATE                      | DATE      | 10     | Mandatory           |   | The date from which the changes are applicable. This along with broker code forms the PRIMARY KEY                    |
| CHANGETYPE                              | VAR-CHAR2 | 1      | Optional            |   | This column is not used  |



| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules   | Values  |
|---|-----------|--------|---------------------|---|---|
| VATREGISTERED                           | NUMBER    | 1      | Mandatory           | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Represents whether the broker is VAT Registered broker or not. If the broker is VAT registered then VAT will be applicable on the commission amount he gets |
| VATREGISTRATIONNUMBER                   | VAR-CHAR2 | 15     | Mandatory           |   | Represents the VAT Registration number of the broker  |
| COMMISSIONPERCENTAGE                    | NUMBER    | 52     | Mandatory           | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Represents how much percentage commission should be shared with his parent broker. If the broker is the top level broker then this value should be 100      |
| COMMISSIONPAYMENTFREQ                   | VAR-CHAR2 | 1      | Mandatory           | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Indicates at what frequency the commission should be paid to the broker.  |
| UNITHOLDERID                            | VAR-CHAR2 | 12     | Mandatory           |   | Represents the default unit holder of the broker  |
| NEXTPAYMENTDATE                         | DATE      | 10     | Mandatory           |   | Indicates the next payment date of the broker. Commission accumulated from last payment date to till this date will be paid on this date                    |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules   | Values   |
|---|-----------|--------|---------------------|---|--|
| BROKER-TYPE                             | VAR-CHAR2 | 6      | Mandatory           | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Represents the type of the broker; user can define broker type through broker type maintenance |
| USEBROKERTYPE-PAYMENTDATES              | NUMBER    | 1      | Mandatory           | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Flag to identify whether the payment details based in the broker type maintenance or not       |
| BROKER-CONSULTANT                       | VAR-CHAR2 | 40     | Mandatory           | Value defaulted as maintained in Bulk client defaults in the absence of information in the file | Represents broker consultant name  |
| BROKER-CATEGORY                         | VAR-CHAR2 | 1      | Mandatory           |   | Represents the category of the broker, whether Individual or Corporate                         |
| HOLDBACK-PAYMENT                        | NUMBER    | 1      | Mandatory           |   | Flag to identify whether the payment should be kept hold for the broker                        |
| MOD_NO                                  | NUMBER    | 10     | Optional            |   | Represents the number of times the record got modified   |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values   |
|---|-----------|--------|---------------------|-----------------------|--|
| HOLDPYMT-FROMDATE                       | DATE      | 10     | Mandatory           |                       | Represents the payment hold back from date   |
| BROKER-STATUS                           | VAR-CHAR2 | 1      | Mandatory           |                       | Represents whether the broker is in normal or weeded status  |
| REPLICATE-PARENT-DET                    | CHAR      | 1      | Mandatory           |                       | Flag to identify whether the details of the parent broker should be replicated or not. If this is true then the parent brokers data will be defaulted based on the template maintained |
| VATREGISTRATION-DATE                    | DATE      | 10     | Mandatory           |                       | Represents from when the broker got VAT registered   |
| TEMPLATEID                              | VAR-CHAR2 | 6      | Mandatory           |                       | Represents the template id to replicate the parent details, the fields available in template will be replicated to child broker if REPLICATEPARENT-DET flag is set to true             |
| NATIONALITY                             | VAR-CHAR2 | 3      | Mandatory           |                       | Nationality of the broker  |
| COUNTRY-OFBIRTH                         | VAR-CHAR2 | 3      | Mandatory           |                       | Birth country of the broker  |
| COUNTRY-OFDOMICILE                      | VAR-CHAR2 | 3      | Mandatory           |                       | Country of domicile of the broker  |
| INCORPORATION-COUNTRY                   | VAR-CHAR2 | 3      | Mandatory           |                       | The country of the corporate   |
| TAXID                                   | VAR-CHAR2 | 50     | Mandatory           |                       | Tax identification   |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values   |
|---|-----------|--------|---------------------|-----------------------|--|
| EIN                                     | VAR-CHAR2 | 50     | Mandatory           |                       | Employer ID number                                 |
| TAXCERTIFICATEEFFECTIVE-DATE            | DATE      | 10     | Mandatory           |                       | Tax certificate effective Date                     |
| TAXCERTIFICATEEXPIRY-DATE               | DATE      | 10     | Mandatory           |                       | Tax certificate expiry Date                        |
| TAXCIRCLE                               | VAR-CHAR2 | 3      | Mandatory           |                       | Name of the Place.                                 |
| VATEXPIRY-DATE                          | DATE      | 10     | Optional            |                       | Represents the expiry date for VAT registered flag |

### 2.7.3 Broker Contact Information related Information

Serial Number: 2

Segment Identification Number: 01CON

Description: This segment is used for providing Broker Contact Information related Information

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/rules | Values                                    |
|---|-----------|--------|---------------------|-----------------------|---|
| SEGMENTID                               | VAR-CHAR2 | 20     | Mandatory           |                       | Segment Id. Value for Segment id is 01CON |
| SEQUENCENUMBER                          | NUMBER    | 10     | Mandatory           |                       | Sequence Number                           |
| PROCESSID                               | VAR-CHAR2 | 50     | Mandatory           |                       | Process ID                                |
| ADDRESSTYPE                             | VAR-CHAR2 | 2      | Mandatory           |                       | Represents the Addresstype                |
| ADDRESSLINE1                            | VAR-CHAR2 | 105    | Mandatory           |                       | Represents the Addressline1               |
| ADDRESSLINE2                            | VAR-CHAR2 | 105    | Optional            |                       | Represents the Addressline2               |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|---|-----------|--------|--------------------|-----------------------|--|
| ADDRESS-LINE3                           | VAR-CHAR2 | 105    | Optional           |                       | Represents the Address-line3                       |
| ADDRESS-LINE4                           | VAR-CHAR2 | 80     | Optional           |                       | Represents the Address-line4                       |
| ZIPCODE                                 | VAR-CHAR2 | 10     | Mandatory          |                       | Represents the Zipcode                             |
| COUNTRY                                 | VAR-CHAR2 | 3      | Mandatory          |                       | Represents the Country                             |
| USSTATE                                 | NUMBER    | 1      | Mandatory          |                       | Represents the US state                            |
| TELEPHONE1                              | VAR-CHAR2 | 60     | Mandatory          |                       | Represents the Telephone1                          |
| TELEPHONE2                              | VAR-CHAR2 | 60     | Mandatory          |                       | Represents the Telephone2                          |
| CELLPHONE-NUMBER                        | VAR-CHAR2 | 105    | Mandatory          |                       | Represents the Cellphone number                    |
| FAX                                     | VAR-CHAR2 | 120    | Mandatory          |                       | Represents the Fax Number                          |
| EMAIL                                   | VAR-CHAR2 | 255    | Mandatory          |                       | Represents the Email ID                            |
| CONTACT-PERSON                          | VAR-CHAR2 | 160    | Mandatory          |                       | Represents the Contact person                      |
| EFFECTIVE-FROMDATE                      | DATE      | 10     | Mandatory          |                       | Represents the Effective from date                 |
| EFFECTIVETO-DATE                        | DATE      | 10     | Mandatory          |                       | Represents the Effective to date                   |
| BICCODE                                 | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the Bic code                            |
| INTDIAL-LINGCODE-FAX                    | VAR-CHAR2 | 10     | Mandatory          |                       | International dialling code for FAX                |
| INTDIAL-LINGCODE-DEPH2                  | VAR-CHAR2 | 10     | Mandatory          |                       | International dialling code for telephone number 2 |
| INTDIAL-LINGCODE-DEPH1                  | VAR-CHAR2 | 10     | Mandatory          |                       | International dialling code for telephone number 1 |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|---|-----------|--------|--------------------|-----------------------|--|
| INTDIAL-LINGCODE-CELL                   | VAR-CHAR2 | 10     | Mandatory          |                       | International dialling code for cellphone number |

#### 2.7.4 Brokers Bank Information related details

Serial Number: 3

Segment Identification Number: 02BAN

Description: This segment is used for providing Brokers Bank Information related details

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|---|-----------|--------|--------------------|-----------------------|--|
| SEGMENTID                               | VAR-CHAR2 | 20     | Mandatory          |                       | Segment Id. Value for Segment id is 02BAN                  |
| SEQUENCENUMBER                          | NUMBER    | 10     | Mandatory          |                       | Sequence Number  |
| PROCESSID                               | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID   |
| BANKCODE                                | VAR-CHAR2 | 12     | Mandatory          |                       | Indicates the Bank code.                                   |
| BRANCH-CODE                             | VAR-CHAR2 | 12     | Mandatory          |                       | Indicates the Branch code.                                 |
| ACCOUNT-TYPE                            | VAR-CHAR2 | 1      | Mandatory          |                       | Indicates Type of the account.                             |
| ACCOUNT-NUMBER                          | VAR-CHAR2 | 20     | Mandatory          |                       | Indicates the Unique account number.                       |
| ACCOUNT-NAME                            | VAR-CHAR2 | 100    | Mandatory          |                       | Indicates the Account holders name.                        |
| ACCOUNT-CURRENCY                        | VAR-CHAR2 | 3      | Mandatory          |                       | Indicates the currency in which the account is maintained. |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|---|-----------|--------|--------------------|-----------------------|--|
| DEFAULT-DETAILS                         | NUMBER    | 1      | Mandatory          |                       | This Flag decides whether its default account to be used for this currency.Mandatory to give "YES" for anyone record of each currency. |
| DIRECT-DEBITAPPLICABLE                  | NUMBER    | 1      | Mandatory          |                       | This Flag decides whether direct debit is applicable for this account or not.  |
| IBAN                                    | VAR-CHAR2 | 40     | Mandatory          |                       | Indicates the IBAN number of the bank.   |

### 2.7.5 Brokers Legacy related Information

Serial Number: 4

Segment Identification Number: 03LEG

Description: This segment is used for providing Brokers Legacy related Information

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|---|-----------|--------|--------------------|-----------------------|--|
| SEGMENTID                               | VAR-CHAR2 | 20     | Mandatory          |                       | Segment Id. Value for Segment id is 03LEG      |
| SEQUENCENUMBER                          | NUMBER    | 10     | Mandatory          |                       | Sequence Number                                |
| PROCESSID                               | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID                                     |
| BROKER-LEGACY-CODE                      | VAR-CHAR2 | 12     | Mandatory          |                       | Indicates the legacy code mapped to the broker |

### 2.7.6 Broker Preference Information related details

Serial Number: 5

Segment Identification Number: 04PRE

Description: This segment is used for providing Broker Preference related Information

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Len<br>gth | Mandato<br>ry/<br>Optional | Characte<br>ristics/<br>rules | Values  |
|--|---------------|------------|----------------------------|-------------------------------|---|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20         | Manda-<br>tory             |                               | Segment Id. Value for<br>Segment id is 04PRE          |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 10         | Manda-<br>tory             |                               | Sequence Number                                       |
| PROCESSID                                  | VAR-<br>CHAR2 | 50         | Manda-<br>tory             |                               | Process ID  |
| FEEDTYPE                                   | VAR-<br>CHAR2 | 20         | Manda-<br>tory             |                               | Represents the FEED<br>type preference for<br>broker  |
| FEEDSTRUCT                                 | VAR-<br>CHAR2 | 4          | Manda-<br>tory             |                               | Represents the FEED<br>sttucture for the feed<br>type |
| FEEDSTART-<br>DATE                         | DATE          | 10         | Manda-<br>tory             |                               | Indicates the start<br>date of FEED mainte-<br>nance  |
| FEEDFRE-<br>QUENCY                         | VAR-<br>CHAR2 | 20         | Manda-<br>tory             |                               | Indicates the fre-<br>quency of FEED<br>maintenance   |
| FEEDFORMAT                                 | VAR-<br>CHAR2 | 6          | Manda-<br>tory             |                               | Indicates the format<br>of FEED for broker            |

### 2.7.7 Broker Additional Information related details

Serial Number: 6

Segment Identification Number: 05ADD

Description: This segment is used for providing Broker Additional Information related details

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Len<br>gth | Mandatory/<br>Optional | Charact<br>eristics<br>/rules | Values                                      |
|--|---------------|------------|------------------------|-------------------------------|---|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20         | Mandatory              |                               | Segment Id.Value for<br>Segment id is 05ADD |
| SEQUEN-<br>CENUMBER                        | NUM-<br>BER   | 10         | Mandatory              |                               | Sequence Number                             |
| PROCESSID                                  | VAR-<br>CHAR2 | 50         | Mandatory              |                               | Process ID                                  |



| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                       |
|---|-----------|--------|--------------------|-----------------------|--|
| OTHERIN-FOFIELD                         | VAR-CHAR2 | 100    | Mandatory          |                       | Indicates the additional field name.         |
| FIELD-VALUE                             | VAR-CHAR2 | 60     | Mandatory          |                       | Indicates the additional field informations. |

## 2.7.8 Broker Related Party Related Information

Serial Number: 7

Segment Identification Number: 06REL

Description: This segment is used for providing Broker Related Party related details

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected in the file) | Data Type | Length | Mandatory /Optional | Characteristics/rules | Values   |
|---|-----------|--------|---------------------|-----------------------|--|
| SEGMENTID                               | VARCHAR2  | 80     | Optional            |                       | Segment Id. Value for Segment id is 06REL  |
| SEQUENCENUMBER                          | NUMBER    | 22     | Mandatory           |                       | Sequence Number  |
| PROCESSID                               | VARCHAR2  | 50     | Mandatory           |                       | Process ID   |
| BROKERCODE                              | VARCHAR2  | 12     | Mandatory           |                       | The code to uniquely identify the broker. Broker code and rule effective date forms a PRIMARY KEY combination. |
| RULEEFFECTIVE-DATE                      | DATE      |        | Mandatory           |                       | The date from which the changes are applicable. This along with broker code forms the PRIMARY KEY.             |

| <b>Field Name<br/>(as expected in<br/>the file)</b> | <b>Data Type</b> | <b>Length</b> | <b>Mandatory<br/>/Optional</b> | <b>Charact<br/>eristics/<br/>rules</b> | <b>Values</b>  |
|---|------------------|---------------|--------------------------------|--|--|
| RPENTIT-<br>YID                                     | VARCHAR2         | 12            | Mandatory                      |  | Indicates the unique identifica-<br>tion code for the<br>related party entity<br>associated with the<br>unitholder.  |
| RPENTITY-<br>TYPE                                   | VARCHAR2         | 1             | Mandatory                      |  | Indicates the entity<br>type of the<br>unitholder's related<br>party.  |
| PREF-<br>MAILMODE                                   | VARCHAR2         | 1             | Optional                       |  | Indicates the pre-<br>ferred mail mode<br>for the related party<br>entity.   |
| REPORT-<br>INGLEVEL                                 | VARCHAR2         | 1             | Optional                       |  | Indicates which all<br>reports to be sent<br>to the entities<br>mapped.  |
| REMARKS   | VARCHAR2         | 255           | Optional                       |  | Indicates the<br>descriptive remarks<br>column for the<br>record.  |
| APPLYTO   | VARCHAR2         | 12            | Yes                            |  | Based on the level<br>selected in the<br>Related party Level<br>field , the user can<br>either select fund<br>ID (if he has<br>selected Fund in<br>Related Party<br>Level) or AMC Id (if<br>he has selected<br>AMC Related Party<br>Level) etc. If the<br>user selects ALL in<br>the Related Party<br>Level then the sys-<br>tem will default ALL<br>in this field also and<br>the user will not be<br>allowed to edit it. |

| Field Name<br>(as expected in the file) | Data Type | Length | Mandatory /Optional | Characteristics/<br>rules | Values  |
|---|-----------|--------|---------------------|---------------------------|---|
| APPLYLEVEL                              | VARCHAR2  | 3      | Yes                 |                           | Related party level would specify at which level does the user wants to associate the Related Party. The possible LOV values are – ALL, AMC, Fund, Fund Family , Legal entity |

## 2.8 Unit Holder Deal File Format

This section contains the following topics:

- [Section 2.8.1, "Segment Identification Number"](#)
- [Section 2.8.2, "UnitHolder Deal related Information"](#)
- [Section 2.8.3, "UnitHolder Deal Load related Information"](#)
- [Section 2.8.4, "UnitHolder Deal Derived Load related Information"](#)

### 2.8.1 Segment Identification Number

| Segment Identification Number | Description   | Segment Mandatory / Optional? |
|-------------------------------|---|-------------------------------|
| 00UHD                         | This segment is used for providing UnitHolder Deal related Information              | Mandatory                     |
| 01ULD                         | This segment is used for providing UnitHolder Deal Load related Information         | Optional                      |
| 02UDL                         | This segment is used for providing UnitHolder Deal Derived Load related Information | Optional                      |

### 2.8.2 UnitHolder Deal related Information

Serial Number: 1

Segment Identification Number: 00UHD

Description: This segment is used for providing UnitHolder Deal related Information

Segment Mandatory / Optional: Mandatory

| Field Name<br>(as expected in the file) | Data type  | Length | Mandatory/Optional | Characteristics/rules | Values   |
|---|------------|--------|--------------------|-----------------------|--|
| SEGMENTID                               | VAR-CHAR 2 | 80     | Mandatory          |                       | Segment ID. Value for Segment id is 00UHD                                  |
| SEQUENCENUMBER                          | NUMBER     | 10     | Mandatory          |                       | Sequence Number  |
| PROCESSID                               | VAR-CHAR 2 | 50     | Mandatory          |                       | Process ID   |
| BULKCLIENTCODE                          | VAR-CHAR 2 | 12     | Mandatory          |                       | Bulk Client Code   |
| IMPORTFILENAME                          | VAR-CHAR 2 | 255    | Mandatory          |                       | Import File Name   |
| UPLOADMODE                              | VAR-CHAR 2 | 1      | Optional           |                       | Upload Mode  |
| AMCID                                   | VAR-CHAR 2 | 12     | Mandatory          |                       | AMC ID   |
| DEALTRANSACTIONNUMBER                   | VAR-CHAR 2 | 16     | Optional           |                       | UH Deal Transaction Number   |
| UHIDORCIFNUMBER                         | VAR-CHAR 2 | 12     | Mandatory          |                       | Unit Holder ID / CIF Number  |
| APPLYATCIFLEVEL                         | NUMBER     | 1      | Optional           |                       | Apply At CIF Level. 1-Yes,0-No   |
| APPLYDEALAT                             | VAR-CHAR 2 | 1      | Mandatory          |                       | Apply Deal At.L-Load Level,G-Load Group Level,F-Fund Level,P-Product Level |
| STATUS                                  | VAR-CHAR 2 | 1      | Mandatory          |                       | Status   |
| EFFECTIVESTARTDATE                      | DATE       | 7      | Mandatory          |                       | Effective Start Date   |

| Field Name<br>(as expected in the file) | Data type         | Length | Mandatory/Optional | Characteristics/rules | Values  |
|---|-------------------|--------|--------------------|-----------------------|---|
| EFFE-<br>CTIVEEND-<br>DATE              | DATE              | 7      | Optional           |                       | Effective End Date  |
| AUTH_STAT                               | VAR-<br>CHAR<br>2 | 1      | Mandatory          |                       | Authorization Status.A-<br>Authorized,U-Unauthor-<br>ized.  |
| LOAD-<br>GROUPID                        | VAR-<br>CHAR<br>2 | 16     | Optional           |                       | Load Group Id   |
| FUNDID                                  | VAR-<br>CHAR<br>2 | 6      | Optional           |                       | Fund ID   |
| LOADID                                  | NUM-<br>BER       | 5      | Mandatory          |                       | Load Id   |
| APPLYRET-<br>VALUE-<br>TOALLSLAB<br>S   | NUM-<br>BER       | 1      | Optional           |                       | Value for the return values<br>are changed as in the<br>excel only if the value for<br>APPLYRETVALUE-<br>TOALLSLABS is '1'. |
| RETURN-<br>VALUE                        | VAR-<br>CHAR<br>2 | 30     | Optional           |                       | Return Value  |
| PRODUC-<br>TID                          | VAR-<br>CHAR<br>2 | 10     | Optional           |                       | Product Id  |
| USERID                                  | VAR-<br>CHAR<br>2 | 15     | Mandatory          |                       | Represents the user id<br>who has entered the lat-<br>est record  |
|   |                   |        |                    |                       |   |
| POLICY-<br>NUMBER                       | VAR-<br>CHAR<br>2 | 16     | Optional           |                       | Policy Number   |
| DEALBASE-<br>DON                        | VAR-<br>CHAR<br>2 | 1      | Optional           |                       | Deal Based On   |
| TAXTYPE                                 | VAR-<br>CHAR<br>2 | 1      | Optional           |                       | Tax Type.D-Directive,I-<br>Instruction  |

### 2.8.3 UnitHolder Deal Load related Information

Serial Number: 2

Segment Identification Number: 01ULD

Description: This segment is used for providing UnitHolder Deal Load related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                    |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment ID. Value for Segment id is 01ULD |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number                           |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID                                |
| SLABSEQNO                            | NUMBER    | 5      | Mandatory          |                       | Slab Sequence Number                      |
| OVERRIDE-VALUE                       | NUMBER    | 30     | Mandatory          |                       | Override Value                            |

## 2.8.4 UnitHolder Deal Derived Load related Information

Serial Number: 3

Segment Identification Number: 02UDL

Description: This segment is used for providing UnitHolder Deal Derived Load related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                    |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                       | Segment ID. Value for Segment id is 02UDL |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number                           |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID                                |
| LOADID                               | VAR-CHAR2 | 6      | Mandatory          |                       | Load Id                                   |
| OVERRIDE-VALUE                       | NUMBER    | 30     | Mandatory          |                       | Override Value                            |

## 2.9 Policy Transaction Upload Format

This section contains the following topics:

- [Section 2.9.1, "Segment Identification Number"](#)
- [Section 2.9.2, "Policy Transactions related Information"](#)
- [Section 2.9.3, "Policy Transactions related to Brokers Information"](#)
- [Section 2.9.4, "Information related to Transaction Fund Ratio"](#)
- [Section 2.9.5, "Policy Transaction Settlement related Information"](#)
- [Section 2.9.6, "Policy Transaction Settlement related Information"](#)
- [Section 2.9.7, "Policy Transaction Load Override related Information"](#)
- [Section 2.9.8, "Policy Transaction Derived Load Override related Information"](#)

### 2.9.1 Segment Identification Number

| Segment Identification Number | Description  | Segment Mandatory / Optional?     |
|-------------------------------|--|-----------------------------------|
| 00PTN                         | This segment is used for providing information related to policy transactions that is to be uploaded into the system     | Mandatory                         |
| 01BRK                         | This segment is used for providing information related to brokers involved in the policy transactions                    | Mandatory                         |
| 02FDR                         | This segment is used for providing information related to transaction fund ratio that is to be uploaded into the system. | Mandatory                         |
| 03SET                         | This segment is used for providing Policy Transaction Settlement related Information                                     | Optional                          |
| 04SFR                         | This segment is used for providing Policy Switch Asset Allocation related Information                                    | Mandatory for Switch transactions |
| 05FOI                         | This segment is used for providing Policy Transaction Load Override related Information                                  | Optional                          |
| 06DFO                         | This segment is used for providing Policy Transaction Derived Load Override related Information                          | Optional                          |

### 2.9.2 Policy Transactions related Information

Serial Number: 1

Segment Identification Number: 00PTN

Description: This segment is used for providing information related to policy transactions that is to be uploaded into the system

Segment Mandatory / Optional: Mandatory

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values  |
|--|-----------|--------|------------------------|---------------------------|---|
| SEGMENTID                                  | VARCHAR2  | 20     | Mandatory              |                           | Segment ID -<br>00PTN   |
| SEQUENCE-<br>NUMBER                        | NUMBER    | 10     | Mandatory              |                           | Sequence Number   |
| PROCESSID                                  | VARCHAR2  | 50     | Mandatory              |                           | Process ID  |
| BULKCLIENT-<br>CODE                        | VARCHAR2  | 12     | Mandatory              |                           | Bulk Client Code  |
| IMPORTFILE-<br>NAME                        | VARCHAR2  | 255    | Mandatory              |                           | Import File Name  |
| UPLOAD-<br>MODE                            | VARCHAR2  | 1      | Mandatory              |                           | Upload Mode   |
| AMCID                                      | VARCHAR2  | 12     | Mandatory              |                           | AMC ID  |
| POLICYTXN-<br>NUMBER                       | VARCHAR2  | 16     | Mandatory              |                           | Policy Transaction<br>Number                                      |
| POLICYNUM-<br>BER                          | VARCHAR2  | 16     | Mandatory              |                           | Policy Reference<br>Number  |
| TXNREFER-<br>ENCENUM-<br>BER               | VARCHAR2  | 16     | Optional               |                           | Transaction Refer-<br>ence Number                                 |
| TXNTYPE                                    | VARCHAR2  | 2      | Mandatory              |                           | Transaction Type  |
| TXNDATE                                    | DATE      | 7      | Mandatory              |                           | Transaction Date  |
| TXNINPUT-<br>DATE                          | DATE      | 7      | Optional               |                           | Transaction Input<br>Date   |
| TXNAMT                                     | NUMBER    | 15     | Mandatory              |                           | Transaction<br>Amount   |
| NETAMT                                     | NUMBER    | 15     | Optional               |                           | Net Amount  |
| TXNBASECCY                                 | VARCHAR2  | 3      | Optional               |                           | Transaction base<br>currency                                      |
| TXNGENFLAG                                 | NUMBER    | 1      | Optional               |                           | Transaction Gener-<br>ated Flag                                   |
| TXNRE-<br>MARKS                            | VARCHAR2  | 255    | Optional               |                           | Transaction<br>Remarks  |
| USERID                                     | VARCHAR2  | 15     | Mandatory              |                           | Represents the<br>user ID who has<br>entered the latest<br>record |



| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values  |
|--|-----------|--------|------------------------|---------------------------|---|
| LASTMODIFIEDUSERID                         | VARCHAR2  | 15     | Optional               |                           | Last Modified User ID                             |
| LASTMODIFIEDUSER-DATE                      | DATE      | 7      | Optional               |                           | Last Modified User Date                           |
| MOD_NO                                     | NUMBER    | 38     | Optional               |                           | Modification Number                               |
| AUTH_STAT                                  | VARCHAR2  | 1      | Mandatory              |                           | Authorisation Status. A-Authorized,U-Unauthorized |
| AGENTCODE                                  | VARCHAR2  | 12     | Mandatory              |                           | Agent Code  |
| BRANCH-CODE                                | VARCHAR2  | 12     | Mandatory              |                           | Branch Code                                       |
| DRAWEE-BANKCODE                            | VARCHAR2  | 12     | Optional               |                           | Drawee Bank Code                                  |
| TRANSFER-BRANCH-CODE                       | VARCHAR2  | 12     | Optional               |                           | Transfer Branch Code                              |
| TRANSFER-ACCOUNT-TYPE                      | VARCHAR2  | 1      | Optional               |                           | Transfer Account Type                             |
| TRANSFER-ACCOUNT-NUMBER                    | VARCHAR2  | 20     | Optional               |                           | Transfer Account Number                           |
| TRANSFER-ACCOUNT-CURRENCY                  | VARCHAR2  | 3      | Optional               |                           | Transfer Account Currency                         |
| PAYMENT-MODE                               | VARCHAR2  | 1      | Optional               |                           | Payment Mode                                      |
| CHEQUE-NUMBER                              | VARCHAR2  | 16     | Optional               |                           | Cheque Number                                     |
| CHEQUE-DATE                                | DATE      | 7      | Optional               |                           | Cheque Date                                       |
| CLEARING-DATE                              | DATE      | 7      | Optional               |                           | Clearing Date                                     |
| CLEARING-STATUS                            | VARCHAR2  | 3      | Optional               |                           | Clearing Status                                   |
| PRODUCTID                                  | VARCHAR2  | 10     | Optional               |                           | Product ID  |

| Field Name<br>(as expected<br>in the file) | Data type | Len<br>gth | Mandatory<br>/Optional | Charact<br>eristics/<br>rules | Values   |
|--|-----------|------------|------------------------|-------------------------------|--|
| POLICYHOLD-<br>ERID                        | VARCHAR2  | 12         | Optional               |                               | Policy Holder ID   |
| POLI-<br>CYTRANSAC-<br>TIONMODE            | VARCHAR2  | 1          | Mandatory              |                               | Policy Transaction<br>Mode.A -Amount,U-<br>Units,P-Percent |
| ALLOTTED-<br>FLAG                          | NUMBER    | 1          | Optional               |                               | Alloted Flag   |
| TOPUPINCLU-<br>SIVE                        | NUMBER    | 1          | Optional               |                               | Top up Inclusive<br>Flag.0 -No,1-Yes                       |
| SYNCFLAG                                   | NUMBER    | 1          | Optional               |                               | Sync Flag  |
| ACKNOWL-<br>EDGEMENT-<br>PRINTED           | NUMBER    | 1          | Optional               |                               | Acknowledgement<br>Printed                                 |
| TRANSFER-<br>ORID                          | VARCHAR2  | 12         | Optional               |                               | Transfer Order ID  |
| PERCENT-<br>AGEAPPLIED                     | NUMBER    | 5          | Optional               |                               | Percentage Applied   |
| OLDPOLI-<br>CYTXNNUM-<br>BER               | VARCHAR2  | 16         | Optional               |                               | Old Policy Txn<br>number                                   |
| NETIN-<br>VESTIBLEA-<br>MOUNT              | NUMBER    | 15         | Optional               |                               | Net Investible<br>Amount                                   |
| MODULEID                                   | VARCHAR2  | 30         | Mandatory              |                               | Module ID  |
| ADJUST-<br>MENTTXN-<br>TYPE                | VARCHAR2  | 3          | Optional               |                               | Adjustment Trans-<br>action Type                           |
| PORTFOLI-<br>OSWITCH                       | NUMBER    | 1          | Optional               |                               | Port Folio Switch  |
| SURRENDER-<br>MONEYPAYA-<br>BLE            | VARCHAR2  | 1          | Mandatory              |                               | Surrender Money<br>Payable                                 |
| FUNDING-<br>PERCENT-<br>AGE                | NUMBER    | 5          | Optional               |                               | Funding Percent-<br>age                                    |
| CAN-<br>CELLED_PHA<br>SEINID               | VARCHAR2  | 16         | Optional               |                               | Cancelled Phase In<br>ID                                   |
| UNITSAP-<br>PLIED                          | NUMBER    | 15         | Optional               |                               | Units Applied  |

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values                                 |
|--|-----------|--------|------------------------|---------------------------|--|
| INTERESTAMOUNT                             | NUMBER    | 15     | Mandatory              |                           | Interest Amount                        |
| DATEALLOTTED                               | DATE      | 7      | Optional               |                           | Date Allotted                          |
| GROSSAMOUNT                                | NUMBER    | 22     | Optional               |                           | Gross Amount                           |
| SWITCHINGROSSAMT                           | NUMBER    | 15     | Optional               |                           | Switch In Gross Amount                 |
| SWITCHINGNETAMT                            | NUMBER    | 15     | Optional               |                           | Switch In Net Amount                   |
| ADJUSTMENTTXN                              | NUMBER    | 1      | Optional               |                           | Adjustment Transaction                 |
| IBAN                                       | VARCHAR2  | 40     | Optional               |                           | International Bank Account Number      |
| SOURCEID                                   | VARCHAR2  | 6      | Optional               |                           | Source ID                              |
| EXCHANGERATEAPPLIED                        | NUMBER    | 24     | Optional               |                           | Exchange Rate Applied                  |
| AMOUNTAPPLIED                              | NUMBER    | 30     | Optional               |                           | Amount Applied                         |
| BALANCETRANSFER                            | NUMBER    | 1      | Optional               |                           | Balance transfer                       |
| OVERRIDEEXCHANGERATE                       | VARCHAR2  | 1      | Optional               |                           | Override Exchange Rate                 |
| INITIALAMOUNTINTRANSACTIONCURRENCY         | NUMBER    | 30     | Optional               |                           | Initial amount in Transaction currency |
| INCLUDE_INTEREST_IN_INVESTMENT             | VARCHAR2  | 1      | Optional               |                           | Include Interest In Investment         |
| FXDEALDATE                                 | DATE      | 7      | Optional               |                           | FX Deal Date                           |
| FXVALUEDATE                                | DATE      | 7      | Optional               |                           | FX Value Date                          |
| EXCHANGERATESTATUS                         | VARCHAR2  | 1      | Optional               |                           | Exchange Rate Status                   |

### 2.9.3 Policy Transactions related to Brokers Information

Serial Number: 2

Segment Identification Number: 01BRK

Description: This segment is used for providing information related to brokers involved in the policy transactions

Segment Mandatory / Optional: Mandatory

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values                       |
|--|-----------|--------|------------------------|---------------------------|------------------------------|
| SEGMENTID                                  | VARCHAR2  | 20     | Mandatory              |                           | Segment ID -<br>01BRK        |
| SEQUENCE-<br>NUMBER                        | NUMBER    | 10     | Mandatory              |                           | Sequence Number              |
| PROCESSID                                  | VARCHAR2  | 50     | Mandatory              |                           | Process ID                   |
| POLICYTXN-<br>NUMBER                       | VARCHAR2  | 16     | Mandatory              |                           | Policy Transaction<br>Number |
| BROKER-<br>CODE                            | VARCHAR2  | 9      | Optional               |                           | Broker Code                  |
| SPLITPER-<br>CENTAGE                       | NUMBER    | 5      | Mandatory              |                           | Split Percentage             |

#### 2.9.4 Information related to Transaction Fund Ratio

Serial Number: 3

Segment Identification Number: 02FDR

Description: This segment is used for providing information related to transaction fund ratio that is to be uploaded into the system.

Segment Mandatory / Optional: Mandatory

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values                       |
|--|-----------|--------|------------------------|---------------------------|------------------------------|
| SEGMENTID                                  | VARCHAR2  | 20     | Mandatory              |                           | Segment ID -<br>02FDR        |
| SEQUENCE-<br>NUMBER                        | NUMBER    | 10     | Mandatory              |                           | Sequence Number              |
| PROCESSID                                  | VARCHAR2  | 50     | Mandatory              |                           | Process ID                   |
| POLICYTXN-<br>NUMBER                       | VARCHAR2  | 16     | Mandatory              |                           | Policy Transaction<br>Number |
| FUNDID                                     | VARCHAR2  | 6      | Mandatory              |                           | Fund ID                      |
| RATIO                                      | NUMBER    | 15     | Optional               |                           | Ratio                        |
| TXNAMOUNT                                  | NUMBER    | 15     | Mandatory              |                           | Transaction Amount           |

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values                                     |
|--|-----------|--------|------------------------|---------------------------|--|
| UNITSAPPLIED                               | NUMBER    | 15     | Optional               |                           | Units Applied                              |
| WAC  | NUMBER    | 15     | Optional               |                           | Weighted Average Cost                      |
| AUTOCLEAR-PROVISION-ALBALANCE              | VARCHAR2  | 1      | Mandatory              |                           | Auto Clear Provisional balance             |
| AUTOCLEAR-REINVESTMENTS                    | VARCHAR2  | 1      | Mandatory              |                           | Auto Clear Reinvestments                   |
| TXNAMOUNT_IN_TXNCCY                        | NUMBER    | 30     | Optional               |                           | Transaction Amount in Transaction Currency |
| TRANSACTIONCURRENCY                        | VARCHAR2  | 3      | Optional               |                           | Transaction Currency                       |
| EXCHANGERATEAPPLIED                        | NUMBER    | 15     | Optional               |                           | Exchange Rate Applied                      |
| OVERRIDE-EXCHANGERATE                      | VARCHAR2  | 1      | Optional               |                           | Override Exchange Rate                     |
| SOURCEID                                   | VARCHAR2  | 6      | Optional               |                           | Source ID                                  |
| FXDEALDATE                                 | DATE      | 7      | Optional               |                           | FX Deal Date                               |
| FXVALUE-DATE                               | DATE      | 7      | Optional               |                           | FX Value Date                              |

### 2.9.5 Policy Transaction Settlement related Information

Serial Number: 4

Segment Identification Number: 03SET

Description: This segment is used for providing Policy Transaction Settlement related Information.

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values             |
|--|-----------|--------|------------------------|---------------------------|--------------------|
| SEGMENTID                                  | VARCHAR2  | 20     | Mandatory              |                           | Segment ID - 03SET |

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values  |
|--|-----------|--------|------------------------|---------------------------|---|
| SEQUENCE-NUMBER                            | NUMBER    | 10     | Mandatory              |                           | Sequence Number   |
| PROCESSID                                  | VARCHAR2  | 50     | Mandatory              |                           | Process ID  |
| POLICYTXN-NUMBER                           | VARCHAR2  | 16     | Mandatory              |                           | Policy Transaction Number   |
| PAYMENT-TYPE                               | VARCHAR2  | 1      | Optional               |                           | Payment Type.Self-S,Product -P,Third Party -T,Beneficiary -B      |
| PAYMENT-MODE                               | VARCHAR2  | 1      | Optional               |                           | Payment Mode ,Cash -C,Cheque -Q,Demand Draft -D,Money Transfer -T |
| PAYMENTENTITY                              | VARCHAR2  | 12     | Optional               |                           | Payment Entity  |
| DRAWEE-BANKCODE                            | VARCHAR2  | 12     | Optional               |                           | Drawee Bank code  |
| TRANSFER-BRANCH-CODE                       | VARCHAR2  | 12     | Optional               |                           | Transfer Branch Code  |
| TRANSFER-ACCOUNT-TYPE                      | VARCHAR2  | 1      | Optional               |                           | Transfer Account Type   |
| TRANSFER-ACCOUNT-NUMBER                    | VARCHAR2  | 20     | Optional               |                           | Transfer Account Number   |
| TRANSFER-ACCOUNT-CURRENCY                  | VARCHAR2  | 3      | Optional               |                           | Transfer Account Currency   |
| CHEQUE-NUMBER                              | VARCHAR2  | 16     | Optional               |                           | Cheque Number   |
| CHEQUE-DATE                                | VARCHAR2  | 11     | Optional               |                           | Cheque Date   |
| CLEARING-DATE                              | VARCHAR2  | 11     | Optional               |                           | Clearing Date   |
| CLEARING-STATUS                            | VARCHAR2  | 3      | Optional               |                           | Clearing Status   |
| RATIO                                      | NUMBER    | 5      | Optional               |                           | Ratio   |

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values  |
|--|-----------|--------|------------------------|---------------------------|---|
| AUTH_STAT                                  | VARCHAR2  | 1      | Mandatory              |                           | Authorisation Status. A-Authorized,U-Unauthorized |
| IDENTIFICATIONNUMBER                       | VARCHAR2  | 50     | Mandatory              |                           | Identification Number                             |
| CONTACT-NAME                               | VARCHAR2  | 100    | Optional               |                           | Contact Name                                      |
| ADDRESS-LINE1                              | VARCHAR2  | 105    | Mandatory              |                           | Address Line 1                                    |
| ADDRESS-LINE2                              | VARCHAR2  | 105    | Mandatory              |                           | Address Line 2                                    |
| TRANSFER-REFNUMBER                         | VARCHAR2  | 16     | Optional               |                           | Transfer Reference Number                         |
| IBAN                                       | VARCHAR2  | 40     | Optional               |                           | International Bank Account Number                 |
| FXDEALDATE                                 | DATE      | 7      | Optional               |                           | FX Deal Date                                      |
| FXVALUE-DATE                               | DATE      | 7      | Optional               |                           | FX Value Date                                     |
| EXCHANGERATEAPPLIED                        | NUMBER    | 15     | Optional               |                           | Exchange Rate Applied                             |
| OVERRIDE-EXCHANGERATE                      | VARCHAR2  | 1      | Optional               |                           | Override Exchange Rate                            |
| SOURCEID                                   | VARCHAR2  | 6      | Optional               |                           | Source ID   |
| PAYMENT_CURRENCY                           | VARCHAR2  | 3      | Optional               |                           | Payment Currency                                  |
| PAYMENT_DATE                               | DATE      | 7      | Optional               |                           | Payment Date                                      |
| PAYMENT_AMOUNT                             | NUMBER    | 30     | Optional               |                           | Payment amount                                    |
| EXCHANGERATESTATUS                         | VARCHAR2  | 1      | Optional               |                           | Exchange Rate Status                              |
| INTERESTRATE                               | NUMBER    | 30     | Optional               |                           | Interest Rate                                     |

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values                                  |
|--|-----------|--------|------------------------|---------------------------|---|
| INTERESTAMOUNT                             | NUMBER    | 30     | Optional               |                           | Interest Amount                         |
| NOOFDAYS                                   | NUMBER    | 30     | Optional               |                           | No of Days                              |
| BASISDAYS                                  | NUMBER    | 30     | Optional               |                           | Basis days                              |
| PAYMENT_AMT_IN_TXNCCY                      | NUMBER    | 30     | Optional               |                           | Payment amount in Transaction currency  |
| INTEREST_AMT_IN_TXNCCY                     | NUMBER    | 30     | Optional               |                           | Interest Amount in Transaction currency |
| SEQ_NO                                     | NUMBER    | 30     | Optional               |                           | Sequence Number                         |
| TRANSFERACCOUNTHOLDERNAME                  | VARCHAR2  | 100    | Optional               |                           | Transfer Account holder Name            |

## 2.9.6 Policy Transaction Settlement related Information

Serial Number: 5

Segment Identification Number: 04SFR

Description: This segment is used for providing Policy Transaction Settlement related Information.

Segment Mandatory / Optional: Mandatory for Switch Transactions

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values                    |
|--|-----------|--------|------------------------|---------------------------|---------------------------|
| SEGMENTID                                  | VARCHAR2  | 20     | Mandatory              |                           | Segment ID - 04SFR        |
| SEQUENCE-NUMBER                            | NUMBER    | 10     | Mandatory              |                           | Sequence Number           |
| PROCESSID                                  | VARCHAR2  | 50     | Mandatory              |                           | Process ID                |
| POLICYTXN-NUMBER                           | VARCHAR2  | 16     | Mandatory              |                           | Policy Transaction Number |
| TOFUNDID                                   | VARCHAR2  | 6      | Mandatory              |                           | To Fund ID                |
| RATIO                                      | NUMBER    | 9      | Optional               |                           | Ratio                     |

## 2.9.7 Policy Transaction Load Override related Information

Serial Number: 6



Segment Identification Number: 05FOI

Description: This segment is used for providing Policy Transaction Load Override related Information.

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values                  |
|--|-----------|--------|------------------------|---------------------------|-------------------------|
| SEGMENTID                                  | VARCHAR2  | 20     | Mandatory              |                           | Segment ID - 05FOI      |
| SEQUENCE-<br>NUMBER                        | NUMBER    | 10     | Mandatory              |                           | Sequence Number         |
| PROCESSID                                  | VARCHAR2  | 50     | Mandatory              |                           | Process ID              |
| POLICYNUM-<br>BER                          | VARCHAR2  | 16     | Optional               |                           | Policy Number           |
| TRANSAC-<br>TIONNUMBER                     | VARCHAR2  | 16     | Optional               |                           | Transaction Num-<br>ber |
| TRANSAC-<br>TIONDATE                       | DATE      | 7      | Mandatory              |                           | Transaction Date        |
| FUNDID                                     | VARCHAR2  | 6      | Mandatory              |                           | Fund ID                 |
| POLICYHOLD-<br>ERID                        | VARCHAR2  | 12     | Optional               |                           | Policy Holder ID        |
| LOADID                                     | NUMBER    | 5      | Optional               |                           | Load ID                 |
| CHANGETYP<br>E                             | VARCHAR2  | 1      | Optional               |                           | Change Type             |
| CHANGEVAL<br>UE                            | NUMBER    | 15     | Optional               |                           | Change Value            |
| GROUPID                                    | VARCHAR2  | 6      | Optional               |                           | Group ID                |
| AUTH_STAT                                  | VARCHAR2  | 1      | Optional               |                           | Auth Stat               |
| TXNTYPE                                    | VARCHAR2  | 2      | Mandatory              |                           | Transaction Type        |
| REFTYPE                                    | VARCHAR2  | 2      | Optional               |                           | Ref Type                |

## **2.9.8 Policy Transaction Derived Load Override related Information**

Serial Number: 7

Segment Identification Number: 06DFO

Description: This segment is used for providing Policy Transaction Derived Load Override related Information

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Characteristics/<br>rules | Values                |
|--|-----------|--------|------------------------|---------------------------|-----------------------|
| SEGMENTID                                  | VARCHAR2  | 20     | Optional               |                           | Segment ID -<br>06DFO |
| SEQUENCE-<br>NUMBER                        | NUMBER    | 10     | Optional               |                           | Sequence Number       |
| PROCESSID                                  | VARCHAR2  | 50     | Optional               |                           | Process ID            |
| TRANSACTIONNUMBER                          | VARCHAR2  | 16     | Optional               |                           | Transaction Number    |
| TRANSACTIONDATE                            | DATE      | 7      | Optional               |                           | Transaction Date      |
| FUNDID                                     | VARCHAR2  | 6      | Optional               |                           | Fund ID               |
| POLICYHOLDERID                             | VARCHAR2  | 12     | Optional               |                           | Policy Holder ID      |
| DERIVEDLOADID                              | VARCHAR2  | 6      | Optional               |                           | Derived Load ID       |
| PARENTLOADID                               | NUMBER    | 5      | Optional               |                           | Parent Load ID        |
| CHANGETYPE                                 | VARCHAR2  | 1      | Optional               |                           | Change Type           |
| CHANGEVALUE                                | NUMBER    | 5      | Optional               |                           | Change Value          |
| AUTH_STAT                                  | VARCHAR2  | 1      | Optional               |                           | Authorisation Status  |
| TXNTYPE                                    | VARCHAR2  | 2      | Optional               |                           | Transaction Type      |
| REFTYPE                                    | VARCHAR2  | 2      | Optional               |                           | Ref Type              |

## 2.10 Light Weight Transaction File Format

This section contains the following topics:

- [Section 2.10.1, "Segment Identification Number"](#)
- [Section 2.10.2, "Transaction related Information"](#)
- [Section 2.10.3, "Transaction Settlement related Information"](#)
- [Section 2.10.4, "KYC Transaction related Information"](#)

## 2.10.1 Segment Identification Number

### Segment Identification Number

| Segment Identification Number | Description   | Segment Mandatory / Optional? |
|-------------------------------|---|-------------------------------|
| 00CON                         | This segment is used for providing Transaction related Information            | Mandatory                     |
| 01SET                         | This segment is used for providing Transaction Settlement related Information | Optional                      |
| 02KYC                         | This segment is used for providing KYC Transaction related Information        | Optional                      |

## 2.10.2 Transaction related Information

Serial Number: 1

Segment Identification Number: 00CON

Description: This segment is used for providing Transaction related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values                     |
|--------------------------------------|-----------|--------|---------------------|------------------------|----------------------------|
| SEGMENTID                            | VAR-CHAR2 | 5      | Mandatory           |                        | Segment Identifier (00CON) |
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory           |                        | Sequence Number            |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process ID                 |
| BULKCLIENTCODE                       | VAR-CHAR2 | 12     | Mandatory           |                        | Bulk Client Code           |
| IMPORTFILENAME                       | VAR-CHAR2 | 255    | Mandatory           |                        | Import File Name           |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory           |                        | Upload Mode                |
| TXNSERIALNUMBER                      | NUMBER    | 10     | Mandatory           |                        | Transaction Serial Number  |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values   |
|---|-----------|--------|------------------------|---------------------------|--|
| AMCID                                   | VAR-CHAR2 | 12     | Mandatory              |                           | AMC ID   |
| REFERENCENUMBER                         | VAR-CHAR2 | 16     | Optional               |                           | Reference Number   |
| TRANSACTIONDATE                         | DATE      |        | Optional               |                           | Transaction Date   |
| UNITHOLDERID                            | VAR-CHAR2 | 60     | Mandatory              |                           | Unitholderid   |
| FUNDID                                  | VAR-CHAR2 | 25     | Mandatory              |                           | Fund ID  |
| TRANSACTIONTYPE                         | VAR-CHAR2 | 2      | Mandatory              |                           | Transaction Type   |
| TRANSACTIONSUBTYPE                      | VAR-CHAR2 | 1      | Mandatory              |                           | Transaction Type   |
| TOUNITHOLDERID                          | VAR-CHAR2 | 60     | Optional               |                           | For Transfer, tounitholderid is mandatory                |
| TOFUNDID                                | VAR-CHAR2 | 25     | Optional               |                           | For normal switch, tofundid is mandatory                 |
| TRANSACTIONCURRENCY                     | VAR-CHAR2 | 3      | Optional               |                           | Transaction Currency                                     |
| TRANSACTIONMODE                         | VAR-CHAR2 | 1      | Mandatory              |                           | Transaction Mode:<br>A-Amount<br>U-Units<br>P-Percentage |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values  |
|---|-----------|--------|------------------------|---------------------------|---|
| UNITSAPPLIED                            | NUMBER    | 27     | Optional               |                           | When Transaction Mode is chosen as Units then this fields is mandatory      |
| PERCENTAPPLIED                          | NUMBER    | 5      | Optional               |                           | When Transaction Mode is chosen as Percentage then this fields is mandatory |
| AMOUNT                                  | NUMBER    | 30     | Optional               |                           | When Transaction Mode is chosen as Amount then this fields is mandatory     |
| SUBPAYMENTMODE                          | VAR-CHAR2 | 2      | Optional               |                           | Valid Sub Payment mode of selected Payment mode                             |
| SUBSCRIPTIONFUNDID                      | VAR-CHAR2 | 6      | Optional               |                           | For Switch Transaction Subscription Fund ID is Mandatory                    |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|---|-----------|--------|--------------------|-----------------------|--|
| KIIDRE-CEIVED                           | VAR-CHAR2 | 1      | Optional           |                       | KIID Received-Mandatory<br>Only For UCITS Fund.          |
| USERID                                  | VAR-CHAR2 | 15     | Mandatory          |                       | Represents the user id who has entered the latest record |
| KYCCHAS-INGDATE                         | DATE      |        | Optional           |                       | KYC Chasing Date   |
| KYCRE-CEIVED                            | NUM-BER   | 1      | Optional           |                       | KYC Received flag  |

### 2.10.3 Transaction Settlement related Information

Serial Number: 2

Segment Identification Number: 01SET

Description: This segment is used for providing Transaction Settlement related Information.

Segment Mandatory / Optional: Mandatory

| Column Name       | Data Type     | Mandatory | Description               |
|-------------------|---------------|-----------|---------------------------|
| SEGMENT ID        | VARCHAR2(5)   | Yes       | Segment Identifier(01SET) |
| TXNSERIALNUMBER   | NUMBER(10)    | Yes       | Transaction Serial Number |
| SEQUENCENUMBER    | NUMBER(10)    | Yes       | Sequence Number           |
| PROCESSID         | VARCHAR2(50)  | Yes       | Process ID                |
| BULKCLIENTCODE    | VARCHAR2(12)  | Yes       | Bulk Client Code          |
| IMPORTFILENAME    | VARCHAR2(255) | Yes       | Import File Name          |
| AMCID             | VARCHAR2(12)  | Yes       | AMC ID                    |
| TRANSACTIONNUMBER | VARCHAR2(16)  | No        | Transaction Number        |

| Column Name            | Data Type     | Mandatory | Description  |
|------------------------|---------------|-----------|--|
| PRODUCTID              | VARCHAR2(10)  | No        | Product ID   |
| POLICYNUMBER           | VARCHAR2(16)  | No        | Policy Number  |
| SYSREFERENCENUMBER     | VARCHAR2(25)  | No        | Sys Reference Number   |
| UNITHOLDERID           | VARCHAR2(12)  | Yes       | Unitholder Id  |
| PAYMENTREFERENCENUMBER | VARCHAR2(50)  | No        | Payment Reference Number   |
| PAYMENTMODE            | VARCHAR2(2)   | No        | Payment Mode.C-Cash,Q-Cheque,T-Transfer,R-Card,M-Multipayment,D-Draft.   |
| SUBPAYMENTMODE         | VARCHAR2(2)   | No        | Sub Payment Mode.T-Money Transfer,Q-Cheque,R-Credit Card,D-Demand Draft,F-Against Payment,I-In Kind Payment,AT-Advice,BQ-Broker Cheque,CQ-Cashier cheque,DR-Debit Card,DT-Direct Debit,FQ-Foreign Cheque,GQ-Government Cheque,IQ-Other Institution Cheque,LQ-Local Cheque,OQ-Out Of Town Cheque,PD-Pay Order,PQ-Payroll Deduction,RQ-Reserve Bank Cheque,TT-Telegraphic Transfer,WT-Wire Transfer. |
| PAYMENTCURRENCY        | VARCHAR2(3)   | No        | Payment Currency   |
| PAYMENTDATE            | DATE          | No        | Payment Date   |
| PAYMENTAMOUNT          | NUMBER(30,12) | No        | Payment Amount   |
| BANKCHARGES            | NUMBER(30,12) | No        | Bank Charges   |
| PAYMENTCHARGES         | NUMBER(30,12) | No        | Payment Charges  |
| EXCHANGERATE-SOURCE    | VARCHAR2(6)   | No        | Exchange Rate Source   |
| EXCHANGERATE           | NUMBER(15,9)  | No        | F X Rate   |
| PAYMENTFBCTX-AMOUNT    | NUMBER(30,12) | No        | FBC Amount   |

| Column Name            | Data Type     | Mandatory | Description  |
|------------------------|---------------|-----------|--|
| PAYMENTALTERNATEAMOUNT | NUMBER(30,12) | No        | Payment Alternate Amount   |
| SETTLEMENTDUE-DATE     | DATE          | No        | Settlement Due Date  |
| PAIDDATE               | DATE          | No        | Paid Date  |
| AUTOSETTLEMENT         | NUMBER(1)     | No        | Auto Settle. 1-Yes,0-No  |
| PAYMENTSTATUS          | VARCHAR2(1)   | No        | Payment Status   |
| CLEARINGSTATUS         | VARCHAR2(5)   | No        | Clearing Status  |
| PAID                   | NUMBER(1)     | No        | Paid   |
| SETTLEMENTMETHOD       | VARCHAR2(5)   | No        | Settlement Method  |
| SETTLEMENTSTATUS       | VARCHAR2(2)   | No        | Settlement Status  |
| SETTLEMENTAT           | VARCHAR2(3)   | No        | Settlement At  |
| CHEQUEDATE             | DATE          | No        | Cheque Date  |
| CHEQUENUMBER           | VARCHAR2(16)  | No        | Cheque Number  |
| CHEQUECURRENCY         | VARCHAR2(3)   | No        | Cheque Currency  |
| CHEQUEAMOUNT           | NUMBER(30,12) | No        | Cheque Amount  |
| DRAWEEBANKCODE         | VARCHAR2(12)  | No        | Drawee Bank Code   |
| DRAWEE-BRANCHNAME      | VARCHAR2(20)  | No        | Drawee Branch Name   |
| PAYMENTREMARKS         | VARCHAR2(255) | No        | Payment Remarks  |
| PAYMENTTYPE            | VARCHAR2(2)   | No        | Payment Type.S-Self, T-Third Party, B-Broker, R-Retained, AP-Advanced Payment, A-Absorbed/ Paid back |
| THIRDPARTYADDRESS      | VARCHAR2(255) | No        | Third Party Address  |
| THIRDPARTYADDRESSLINE1 | VARCHAR2(80)  | Yes       | Third Party Address line 1   |
| THIRDPARTYADDRESSLINE2 | VARCHAR2(80)  | Yes       | Third Party Address line 2   |
| THIRDPARTYADDRESSLINE3 | VARCHAR2(80)  | Yes       | Third Party Address line 3   |
| THIRDPARTYADDRESSLINE4 | VARCHAR2(80)  | Yes       | Third Party Address line 4   |
| THIRDPARTYBICCODE      | VARCHAR2(12)  | No        | Third Party BIC Code   |



| Column Name                | Data Type     | Mandatory | Description  |
|----------------------------|---------------|-----------|--|
| THIRDPARTYCOUNTRYCODE      | VARCHAR2(3)   | No        | Third Party Country  |
| THIRDPARTYPAYMENTREFERENCE | VARCHAR2(255) | No        | Third Party Reference  |
| THIRDPARTYSTATE            | VARCHAR2(20)  | No        | Third Party State  |
| THIRDPARTYUSSTATE          | NUMBER(1)     | No        | Third Party US State   |
| THIRDPARTYZIPCODE          | VARCHAR2(10)  | No        | Third Party Zip Code   |
| TRANSFERACCOUNTCURRENCY    | VARCHAR2(3)   | No        | Transfer Account Currency  |
| TRANSFERACCOUNTHOLDERNAME  | VARCHAR2(100) | No        | Transfer Account Holder Name   |
| TRANSFERACCOUNTNUMBER      | VARCHAR2(20)  | No        | Transfer Account Number  |
| TRANSFERACCOUNTTYPE        | VARCHAR2(1)   | Yes       | Transfer Account Type  |
| TRANSFERBRANCHCODE         | VARCHAR2(12)  | No        | Transfer Branch Code   |
| TRANSFERREFERENCENUMBER    | VARCHAR2(20)  | No        | Transfer Reference Number  |
| CREDITCARDREFNO            | VARCHAR2(16)  | No        | Credit Card Reference Number   |
| INKINDPAYMENTDETAILS       | VARCHAR2(255) | No        | In Kind Payment Details  |
| PAYMENTADDRESSDETAILS      | VARCHAR2(255) | No        | Payment Address Details  |
| OLDPAYMENTREFERENCENO      | VARCHAR2(25)  | No        | Old Payment Reference Number   |
| UHBICCODE                  | VARCHAR2(24)  | No        | UH BIC Code  |
| SWIFTFORMAT                | VARCHAR2(6)   | No        | Swift Format.MT103-MT103,MT202-MT202                                 |
| BANKCHARGED                | VARCHAR2(12)  | No        | Bank Charged.Beneficiary-Beneficiary, Remitter-Remitter, Share-Share |
| BENEFICIARY                | VARCHAR2(35)  | No        | Beneficiary  |
| BENEFICIARYADD1            | VARCHAR2(105) | Yes       | Beneficiary Address1   |
| BENEFICIARYADD2            | VARCHAR2(105) | Yes       | Beneficiary Address2   |

| Column Name           | Data Type     | Mandatory | Description                   |
|-----------------------|---------------|-----------|-------------------------------|
| BENEFICIARYADD3       | VARCHAR2(105) | Yes       | Beneficiary Address3          |
| BENEFICIARYBIC-CODE   | VARCHAR2(24)  | No        | Beneficiary BIC Code          |
| BENEFICIARYACCTNO     | VARCHAR2(34)  | No        | Beneficiary Account Number    |
| BENEFICIARYCODE       | VARCHAR2(24)  | No        | Beneficiary Code              |
| BENEFICIARYINFO       | VARCHAR2(100) | No        | Beneficiary Information       |
| INTERMEDIARY          | VARCHAR2(35)  | No        | Intermediary                  |
| INTERMEDIARYADD1      | VARCHAR2(35)  | No        | Intermediary Address1         |
| INTERMEDIARYADD2      | VARCHAR2(35)  | No        | Intermediary Address2         |
| INTERMEDIARYADD3      | VARCHAR2(35)  | No        | Intermediary Address3         |
| INTERMEDIARYBIC-CODE  | VARCHAR2(24)  | No        | Intermediary BIC Code         |
| INTERMEDIARYACCTNO    | VARCHAR2(24)  | No        | Intermediary Account No       |
| INTERMEDIARYCODE      | VARCHAR2(24)  | No        | Intermediary Code             |
| INTERMEDIARYINFO      | VARCHAR2(100) | No        | Intermediary Info             |
| FURTHERCREDIT-NAME    | VARCHAR2(35)  | No        | Further Credit Name           |
| FURTHERCREDITACCTNO   | VARCHAR2(35)  | No        | Further Credit Account Number |
| REFERENCE1            | VARCHAR2(35)  | No        | Reference1                    |
| REFERENCE2            | VARCHAR2(35)  | No        | Reference2                    |
| ENTITYID              | VARCHAR2(12)  | No        | Entity ID                     |
| ENTITYTYPE            | VARCHAR2(1)   | No        | Entity Type                   |
| IBAN                  | VARCHAR2(40)  | No        | IBAN                          |
| BENEFICIARYIBAN       | VARCHAR2(40)  | No        | Beneficiary IBAN              |
| INTERMEDIARYIBAN      | VARCHAR2(40)  | No        | Intermediary IBAN             |
| BENEFICIARYID         | VARCHAR2(12)  | No        | Beneficiary ID                |
| BENEFICIARYPERCENTAGE | NUMBER(5,2)   | No        | Beneficiary Percentage        |

#### 2.10.4 **KYC Transaction related Information**

Serial Number: 3

Segment Identification Number: 02KYC

Description: This segment is used for providing KYC Transaction related Information.

Segment Mandatory / Optional: Mandatory

| Column Name         | Data Type     | Mandatory | Description               |
|---------------------|---------------|-----------|---------------------------|
| SEGMENT ID          | VARCHAR2(5)   | Yes       | Segment Identifier(02KYC) |
| TXNSERIALNUMBER     | NUMBER(10)    | Yes       | Transaction Serial Number |
| SEQUENCENUMBER      | NUMBER(10)    | Yes       | Sequence Number           |
| PROCESSID           | VARCHAR2(50)  | Yes       | Process ID                |
| BULKCLIENTCODE      | VARCHAR2(12)  | Yes       | Bulk Client Code          |
| IMPORTFILENAME      | VARCHAR2(255) | Yes       | Import File Name          |
| AMCID               | VARCHAR2(12)  | No        | AMC ID                    |
| TRANSACTIONNUMBER   | VARCHAR2(16)  | Yes       | Transaction Number        |
| REQUESTED           | VARCHAR2(1)   | No        | Requested.On-Y,Off-N      |
| DOCUMENTDESCRIPTION | VARCHAR2(255) | No        | Requirement               |
| RECEIVED            | VARCHAR2(1)   | No        | Received.On-Y,Off-N       |
| RECEIVEDDATE        | DATE          | No        | Received Date             |
| TAXDOCUMENT         | NUMBER(1)     | No        | Tax Document.1-Yes,0-No   |

## 2.11 Holiday Upload Format

This section contains the following topics:

- [Section 2.11.1, "Segment Identification Number"](#)

## 2.11.1 Segment Identification Number

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/rules | Values   |
|---|-----------|--------|---------------------|-----------------------|--|
| SEQUENCENUMBER                          | NUMBER    | 10     | Mandatory           |                       | Sequence Number  |
| PROCESSID                               | VAR-CHAR2 | 50     | Mandatory           |                       | Process ID   |
| BULKCLIENTCODE                          | VAR-CHAR2 | 12     | Mandatory           |                       | Bulk Client Code   |
| IMPORTFILENAME                          | VAR-CHAR2 | 255    | Mandatory           |                       | Import File Name   |
| UPLOADMODE                              | VAR-CHAR2 | 1      | Mandatory           |                       | Mode of operation which has to be performed on the record    |
| AMCID                                   | VAR-CHAR2 | 12     | Optional            |                       | AMC ID   |
| YEAR                                    | NUMBER    | 4      | Mandatory           |                       | Year   |
| JAN                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For Jan.eg)<br>0000000000000000<br>00011100000. |
| FEB                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For February                                    |
| MAR                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For March                                       |
| APR                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For April                                       |
| MAY                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For May   |
| JUN                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For June  |
| JUL                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For July  |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/rules | Values  |
|---|-----------|--------|---------------------|-----------------------|---|
| AUG                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For August                                   |
| SEP                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For September                                |
| OCT                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For October                                  |
| NOV                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For November                                 |
| DEC                                     | VAR-CHAR2 | 100    | Optional            |                       | Holiday Bits For December                                 |
| USERID                                  | VAR-CHAR2 | 15     | Mandatory           |                       | Represents the user ID who has entered the latest record. |
| AUTH_STAT                               | VAR-CHAR2 | 1      | Mandatory           |                       | Authorisation Status. A-Authorized,U-UnAuthorized         |
| ENTITY-TYPE                             | VAR-CHAR2 | 1      | Mandatory           |                       | Calendar Type   |
| ENTITYID                                | VAR-CHAR2 | 12     | Mandatory           |                       | Calendar Type   |

## 2.12 Dividend Declaration Upload

This section contains the following topics:

- [Section 2.12.1, "Segment Identification Number"](#)
- [Section 2.12.2, "Dividend Declaration Upload"](#)

## 2.12.1 Segment Identification Number

| Segment Identification | Segment Identification   |
|------------------------|--|
| 00DIV                  | This segment is used to provide Fund Dividend master details                   |
| 01CMP                  | This segment is used to provide Fund Dividend EPU/ Lot Component value details |
| 02REP                  | This segment is used to provide Fund Dividend Report Component value details   |

## 2.12.2 Dividend Declaration Upload

Serial Number: 1

Segment Identification Number: 00DIV

Description: This segment is used to provide Fund Dividend master details

Segment Mandatory/ Optional: Mandatory

| Field Name           | Description           | Data Type      | Mandatory/ Optional | Table Name                 |
|----------------------|-----------------------|----------------|---------------------|----------------------------|
| PROCESSID            | Process ID            | VAR-CHAR2(50)  | Mandatory           | BULKFUNDDIVIDENDDECLARETBL |
| SEGMENTID            | Segment ID            | VAR-CHAR2(50)  | Mandatory           | BULKFUNDDIVIDENDDECLARETBL |
| SEQUENCE-NUMBER      | Sequence Number       | NUMBER(10)     | Mandatory           | BULKFUNDDIVIDENDDECLARETBL |
| BULKCLIENT-CODE      | Bulk Client Code      | VAR-CHAR2(12)  | Mandatory           | BULKFUNDDIVIDENDDECLARETBL |
| IMPORTFILE-NAME      | Import File Name      | VAR-CHAR2(255) | Mandatory           | BULKFUNDDIVIDENDDECLARETBL |
| UPLOADMODE           | Upload Mode           | VAR-CHAR2(1)   | Mandatory           | BULKFUNDDIVIDENDDECLARETBL |
| FUNDID               | Fund ID               | VAR-CHAR2(6)   | Mandatory           | BULKFUNDDIVIDENDDECLARETBL |
| CORPORATE-ACTIONTYPE | Corporate Action Type | VAR-CHAR2(1)   | Mandatory           | BULKFUNDDIVIDENDDECLARETBL |
| DIVIDEND-NUMBER      | Dividend Number       | NUMBER(5)      | Optional            | BULKFUNDDIVIDENDDECLARETBL |
| DIVIDEND-FROMDATE    | Dividend From Date    | DATE           | Mandatory           | BULKFUNDDIVIDENDDECLARETBL |

| Field Name                   | Description                     | Data Type    | Mandatory/Optional | Table Name                 |
|------------------------------|---------------------------------|--------------|--------------------|----------------------------|
| DIVIDENDTO-DATE              | Dividend To Date                | DATE         | Mandatory          | BULKFUNDDIVIDENDDECLARETBL |
| BOOKCLOSINGFROM-DATE         | Book Closing From Date          | DATE         | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| BOOKCLOSINGTODATE            | Book Closing To Date            | DATE         | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| FREEZEHOLDINGSDATE           | Freeze Holdings Date            | DATE         | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| BOARDOFDIRMTGDATE            | Board of Dirmtg Date            | DATE         | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| DIVIDENDDECLAREDATE          | Dividend Declare Date           | DATE         | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| EARNINGSPE-UNIT              | Earnings per Unit               | NUMBER(20)   | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| PROCESSED-TYPE               | Processed Type                  | VAR-CHAR2(1) | Mandatory          | BULKFUNDDIVIDENDDECLARETBL |
| EXCHANGERATEDATEFOR-DIVIDEND | Exchange Rate Date For Dividend | DATE         | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| STOCKDIVIDENDPARENT-RATIO    | Stock Dividend Parent Ratio     | NUMBER(22)   | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| STOCKDIVIDENDRESULT-ANTRATIO | Stock Dividend Resultant Ratio  | NUMBER(22)   | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| NPI                          | NPI                             | NUMBER(20)   | Mandatory          | BULKFUNDDIVIDENDDECLARETBL |
| EQUALIZATIONRATE             | Equalization Rate               | NUMBER(20)   | Mandatory          | BULKFUNDDIVIDENDDECLARETBL |
| STOCKDIVIDENDTYPE            | Stock Dividend Type             | VAR-CHAR2(1) | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| OVERRIDE-FATCAWHT            | Override FATCA WHT              | NUMBER(1)    | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| GRANDFATHEREDOB-LIGATION     | Grand Fathered Obligation       | NUMBER(1)    | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| DISTRIBUTIONTYPE             | Distribution Type               | VAR-CHAR2(3) | Optional           | BULKFUNDDIVIDENDDECLARETBL |

| Field Name         | Description         | Data Type    | Mandatory/Optional | Table Name                 |
|--------------------|---------------------|--------------|--------------------|----------------------------|
| GROSS-NETEPUFLAG   | Gross Net EPU Flag  | VAR-CHAR2(1) | Optional           | BULKFUNDDIVIDENDDECLARETBL |
| GENERATEREDEMPTION | Generate Redemption | VAR-CHAR2(1) | Optional           | BULKFUNDDIVIDENDDECLARETBL |

Serial Number: 2

Segment Identification Number: 01CMP

Description: This segment is used to provide Fund Dividend EPU/Lot Component value details

Segment Mandatory/ Optional: Optional

| Field Name      | Description     | Data Type    | Mandatory / Optional | Table Name                     |
|-----------------|-----------------|--------------|----------------------|--------------------------------|
| PROCESSID       | Process ID      | VARCHAR2(50) | Mandatory            | BULKFUNDDIVIDENDCOMPVAL-UESTBL |
| SEGMENTID       | Segment ID      | VARCHAR2(20) | Mandatory            | BULKFUNDDIVIDENDCOMPVAL-UESTBL |
| SEQUENCE-NUMBER | Sequence number | NUMBER(10)   | Mandatory            | BULKFUNDDIVIDENDCOMPVAL-UESTBL |
| COMPONENTID     | Component ID    | VARCHAR2(2)  | Mandatory            | BULKFUNDDIVIDENDCOMPVAL-UESTBL |
| COMPONENTVALUE  | Component value | NUMBER(30)   | Mandatory            | BULKFUNDDIVIDENDCOMPVAL-UESTBL |
| FDAPIN-COME     | FDAP income     | NUMBER(1)    | Optional             | BULKFUNDDIVIDENDCOMPVAL-UESTBL |

Serial Number: 3

Segment Identification Number: 02REP

Description: This segment is used to provide Fund Dividend Report Component value details



Segment Mandatory/ Optional: Optional

| Field Name      | Description     | Data Type    | Mandatory/Optional | Table Name               |
|-----------------|-----------------|--------------|--------------------|--------------------------|
| PROCESSID       | Process ID      | VARCHAR2(50) | Mandatory          | BULKFUNDDIVREPORTCOMPTBL |
| SEGMENTID       | Segment ID      | VARCHAR2(20) | Mandatory          | BULKFUNDDIVREPORTCOMPTBL |
| SEQUENCE-NUMBER | Sequence number | NUMBER(10)   | Mandatory          | BULKFUNDDIVREPORTCOMPTBL |
| COMPONENTID     | Component ID    | VARCHAR2(2)  | Mandatory          | BULKFUNDDIVREPORTCOMPTBL |
| COMPONENTVALUE  | Component value | NUMBER(30)   | Mandatory          | BULKFUNDDIVREPORTCOMPTBL |

## 2.13 Dividend Equalization Adjustment Upload

This section contains the following topics:

- [Section 2.13.1, "Segment Identification Number"](#)

## 2.13.1 Segment Identification Number

| Field Name (as expected in the file) | Data Type | Length | Mandatory /Optional | Characteristics/ rules | Values   |
|--------------------------------------|-----------|--------|---------------------|------------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 80     | Optional            |                        | Segment ID. Value for Segment ID is 06REL  |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory           |                        | Sequence Number  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process ID   |
| BROKERCODE                           | VAR-CHAR2 | 12     | Mandatory           |                        | The code to uniquely identify the broker. Broker code and ruleeffectivedate forms a PRIMARY KEY combination. |
| RULEEFFECTIVEDATE                    | DATE      |        | Mandatory           |                        | The date from which the changes are applicable. This along with broker code forms the PRIMARY KEY.           |
| RPENTITYID                           | VAR-CHAR2 | 12     | Mandatory           |                        | Indicates the unique identification code for the related party entity associated with the unitholder.        |
| RPENTITY-TYPE                        | VAR-CHAR2 | 1      | Mandatory           |                        | Indicates the entity type of the unitholder's related party.   |
| PREFMAILMODE                         | VAR-CHAR2 | 1      | Optional            |                        | Indicates the preferred mail mode for the related party entity.  |
| REPORTING-LEVEL                      | VAR-CHAR2 | 1      | Optional            |                        | Indicates which all reports to be sent to the entities mapped.   |
| REMARKS                              | VAR-CHAR2 | 255    | Optional            |                        | Indicates the descriptive remarks column for the record.   |

| Field Name (as expected in the file) | Data Type | Length       | Mandatory /Optional | Characteristics/ rules | Values   |
|--------------------------------------|-----------|--------------|---------------------|------------------------|--|
| APPLYTO                              | VAR-CHAR2 | 12           | Yes                 |                        | Based on the level selected in the Related party Level field , the user can either select fund ID (if he has selected Fund in Related Party Level) or AMC Id (if he has selected AMC Related Party Level) etc. If the user selects ALL in the Related Party Level then the system will default ALL in this field also and the user will not be allowed to edit it. |
| APPLYLEVEL                           | VAR-CHAR2 | 3            | Yes                 |                        | Related party level would specify at which level does the user wants to associate the Related Party. The possible LOV values are – ALL, AMC, Fund, Fund Family , Legal entity  |
| Field Name                           | Data Type | Field Length | Mandatory?          | Characteristics/ Rules | Values   |
| SEQUENCE-NUMBER                      | Number    | 10           | Yes                 |                        | System generated sequence number of records in the file  |
| PROCESSID                            | String    | 50           | Yes                 |                        | The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely.<br><br>Each file must have a unique Process ID. This should be same for all records within a file.   |
| BULKCLIENT-CODE                      | String    | 12           | Yes                 |                        | The Code of the Bulk Client  |

| Field Name (as expected in the file) | Data Type    | Length | Mandatory /Optional | Characteristics/ rules | Values  |
|--------------------------------------|--------------|--------|---------------------|------------------------|---|
| IMPORTFILE-NAME                      | String       | 255    | Yes                 |                        | Name of the file being uploaded should be specified. Each file must have a unique name. |
| UPLOADMODE                           | String       | 1      | Yes                 |                        | 'N' for New unit holder uploads and 'I' for Incremental change.                         |
| FUNDID                               | Alphanumeric | 6      | Yes                 |                        | ID of the fund involved in the transaction. A valid fund id maintained in the system.   |
| UNITHOLDERID                         | String       | 12     | Yes                 |                        | The unit holder ID available in FCIS.   |
| EFFECTIVE-DATE                       | Date         | 10     | No                  |                        | Effective Date  |
| G2UNITS                              | Number       | 30,12  | No                  |                        | Adjustable Units  |
| AUTH_STAT                            | Varchar2     | 1      | Yes                 |                        | Must be 'A'   |
| USERID                               | Varchar2     | 15     | Yes                 |                        | Represents the user ID who has entered the latest record                                |

## 2.14 Exchange Rate Upload Format

This section contains the following topics:

- [Section 2.14.1, "Segment Identification Number"](#)

## 2.14.1 Segment Identification Number

| Field Name         | Data Type | Field Length | Mandatory? | Characteristics /Rules                        | Values   |
|--------------------|-----------|--------------|------------|---|--|
| SOURCEID           | VAR-CHAR2 | 6            | YES        |   | Source maintained in the system                                  |
| REFCURRENCY        | VAR-CHAR2 | 3            | YES        |   | Base Currency of the Source                                      |
| PAIRCURRENCY       | VAR-CHAR2 | 3            | YES        |   | Pair Currency for the exchange rate would be made available      |
| FXDEAL-DATE        | DATE      | 10           | YES        | Should be application date                    | Effective date for the Exchange rate                             |
| FXVALUE-DATE       | DATE      | 10           | YES        | Should be greater than or equal to FXDEALDATE | Value date for Forward Rates                                     |
| BUYRATE            | NUMBER    | 15           | YES        |   | Buy Rate for the mentioned currencies                            |
| SELLRATE           | NUMBER    | 15           | YES        |   | Sell Rate for the mentioned currencies                           |
| EXCHRATE-FORREPORT | NUMBER    | 1            | NO         |   | Flag to set whether the maintained FX Rate is used for Reporting |
| USERID             | VAR-CHAR2 | 15           | YES        |   | Maker Id of the Exchange Rate                                    |
| IMPORT-STATUS      | VAR-CHAR2 | 1            | NO         |   | Status of the Import   |
| ERROR-DESC         | VAR-CHAR2 | 255          | NO         |   | Error description  |
| AUTH_STAT          | VAR-CHAR2 | 1            | NO         |   | Authorization Status. A-Authorised. U -Unauthorised              |
| PROCESSID          | VAR-CHAR2 | 50           | NO         |   | Process ID   |
| BULKCLIENTCODE     | VAR-CHAR2 | 12           | NO         |   | Bulk Client Code   |

| Field Name      | Data Type | Field Length | Mandatory? | Characteristics /Rules | Values   |
|-----------------|-----------|--------------|------------|------------------------|--|
| IMPORT-FILENAME | VAR-CHAR2 | 255          | NO         |                        | Import File Name   |
| AMCID           | VAR-CHAR2 | 12           | NO         |                        | AMC ID   |
| SERIAL-NUMBER   | VAR-CHAR2 | 16           | NO         |                        | Serial Number  |
| UserID          | VAR-CHAR2 | 15           | YES        |                        | Represents the user id who has entered the latest record   |
| SEQUEN-CENUMBER | VAR-CHAR2 | 10           | NO         |                        | Sequence Number  |
| UPLOAD-MODE     | VAR-CHAR2 | 1            | NO         |                        | Operation mode which will be performed on the Upload records. It can have N-New,D-Delete,A-Auth & E-Modify |

## 2.15 AuthRep Upload Format

This section contains the following topics:

- [Section 2.15.1, "Segment Identification Number"](#)

## 2.15.1 Segment Identification Number

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory          |                       | Sequence Number   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID  |
| BULKCLIENTCODE                       | VAR-CHAR2 | 12     | Mandatory          |                       | Bulk Client Code  |
| IMPORTFILENAME                       | VAR-CHAR2 | 255    | Mandatory          |                       | Import File Name  |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory          |                       | Mode of operation which has to be performed on the record |
| AMCID                                | VAR-CHAR2 | 12     | Optional           |                       | AMC ID  |
| USERID                               | VAR-CHAR2 | 15     | Mandatory          |                       | Represents the user id who has entered the latest record  |
| MODULEID                             | VAR-CHAR2 | 30     | Mandatory          |                       | Module ID of the Authorized representative                |
| AUTHREPREFNO                         | VAR-CHAR2 | 12     | Optional           |                       | Authorized Representative Reference Number                |
| AUTHREPID                            | VAR-CHAR2 | 12     | Optional           |                       | Authorized Representative ID                              |
| AUTHREPADDRESS                       | VAR-CHAR2 | 255    | Optional           |                       | Authorized Representative Address                         |
| AUTHREPZIPCODE                       | VAR-CHAR2 | 10     | Optional           |                       | Authorized Representative ZIP Code                        |
| AUTH_STAT                            | VAR-CHAR2 | 1      | Optional           |                       | Authorisation Status. A- Authorise, U - unauthorised      |
| AGENTCODE                            | VAR-CHAR2 | 12     | Optional           |                       | Agent Code  |

| <b>Field Name (as expected in the file)</b> | <b>Data type</b> | <b>Length</b> | <b>Mandatory/Optional</b> | <b>Characteristics/rules</b> | <b>Values</b>  |
|---|------------------|---------------|---------------------------|------------------------------|--|
| BRANCHCODE                                  | VAR-CHAR2        | 12            | Optional                  |                              | Branch Code  |
| AUTHREPSIGNATURE                            | VAR-CHAR2        | 255           | Optional                  |                              | Authorized Representative Signature                  |
| IMAGEAVAILABLE                              | NUMBER           | 1             | Optional                  |                              | Image available or Not                               |
| AUTHREPTITLE                                | VAR-CHAR2        | 15            | Optional                  |                              | Authorized Representative Title                      |
| AUTHREPSTATE                                | VAR-CHAR2        | 20            | Optional                  |                              | Authorized Representative State                      |
| AUTHREPUSTATE                               | NUMBER           | 1             | Optional                  |                              | Authorized Representative US State                   |
| AUTHREPCITY                                 | VAR-CHAR2        | 10            | Optional                  |                              | Authorized Representative City                       |
| FIRSTNAME                                   | VAR-CHAR2        | 105           | Optional                  |                              | First Name   |
| MIDDLENAME                                  | VAR-CHAR2        | 105           | Optional                  |                              | Middle Name  |
| LASTNAME                                    | VAR-CHAR2        | 105           | Optional                  |                              | Last Name  |
| AUTHREPCOUNTRY                              | VAR-CHAR2        | 3             | Mandatory                 |                              | Country of the authorized representative             |
| NATIONALITY                                 | VAR-CHAR2        | 3             | Mandatory                 |                              | Nationality of the authorized representative         |
| COUNTRYOFBIRTH                              | VAR-CHAR2        | 3             | Mandatory                 |                              | Country of birth of the authorized representative    |
| COUNTRYOFDOMICILE                           | VAR-CHAR2        | 3             | Mandatory                 |                              | Country of domicile of the authorized representative |
| TELEPHONE1                                  | VAR-CHAR2        | 60            | Mandatory                 |                              | Telephone 1 of the authorized representative         |



| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| TELEPHONE2                           | VAR-CHAR2 | 60     | Mandatory          |                       | Telephone 2 of the authorized representative      |
| CELLPHONENUMBER                      | VAR-CHAR2 | 60     | Mandatory          |                       | Cellphone number of the authorized representative |
| INTDIALLINGCODEPH1                   | VAR-CHAR2 | 10     | Mandatory          |                       | International Dialling code of phone number 1     |
| INTDIALLINGCODEPH2                   | VAR-CHAR2 | 10     | Mandatory          |                       | International Dialling code of phone number 2     |
| INTDIALLINGCODECELL                  | VAR-CHAR2 | 10     | Mandatory          |                       | International Dialling code of cell phone number  |
| AUTHREPADRESS2                       | VAR-CHAR2 | 255    | Mandatory          |                       | Address of the authorized representative          |
| AUTHREPCATEGORY                      | VAR-CHAR2 | 1      | Mandatory          |                       | Category of the authorized representative.        |
| REMARKS                              | VAR-CHAR2 | 255    | Optional           |                       | Remarks   |

## 2.16 Entity Upload Format

This section contains the following topics:

- [Section 2.16.1, "Segment Identification number:"](#)
- [Section 2.16.2, "Entity Maintenance related Information"](#)
- [Section 2.16.3, "Entity Bank related Information"](#)
- [Section 2.16.4, "Entity Contact Information related Information"](#)
- [Section 2.16.5, "Entity Broker Definition related Information"](#)
- [Section 2.16.6, "Related parties Information"](#)
- [Section 2.16.7, "Additional Information details"](#)
- [Section 2.16.8, "Swift Message related details"](#)

## 2.16.1 Segment Identification number:

| Segment Identification Number | Description   | Segment Mandatory / Optional? |
|-------------------------------|---|-------------------------------|
| 00EIM                         | This segment is used for providing Entity Maintenance related Information         | Mandatory                     |
| 01EBD                         | This segment is used for providing Entity Bank related Information                | Mandatory                     |
| 02ECI                         | This segment is used for providing Entity Contact Information related Information | Mandatory                     |
| 03EBD                         | This segment is used for providing Entity Broker Definition related Information   | Optional                      |
| 04ERP                         | This segment is used for providing Related parties Information                    | Optional                      |
| 05ADD                         | This segment is used for providing Additional Information details                 | Optional                      |
| 06SWF                         | This segment is used for providing Swift Message related details                  | Optional                      |

## 2.16.2 Entity Maintenance related Information

Serial Number: 1

Segment Identification Number: 00EIM

Description: This segment is used for providing Entity Maintenance related Information

Segment Mandatory/ Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values                                    |
|--------------------------------------|-----------|--------|---------------------|------------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 16     | Mandatory           |                        | Segment Id. Value for Segment id is 00EIM |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process ID                                |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory           |                        | Sequence Number                           |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional            |                        | Bulk Client Code                          |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Optional            |                        | Import File Name                          |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory          |                       | Operation mode which will be performed on the Upload records. It can have N-New,D-Delete,A-Auth & E-Modify |
| ENTITYID                             | VAR-CHAR2 | 12     | Mandatory          |                       | Entity ID  |
| PARENTENTITYFLAG                     | NUMBER    | 1      | Optional           |                       | Parent Entity Flag   |
| ENTITYNAME                           | VAR-CHAR2 | 60     | Mandatory          |                       | Entity Name  |
| ENTITYTOBE-DELETED                   | VAR-CHAR2 | 12     | Optional           |                       | Entity To be Deleted   |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Optional           |                       | Entity Type  |
| PARENTENTITY                         | VAR-CHAR2 | 12     | Optional           |                       | Parent Entity  |
| EFFECTIVE-DATE                       | DATE      | 10     | Optional           |                       | Effective Date   |
| USERID                               | VAR-CHAR2 | 15     | Mandatory          |                       | Represents the user ID who has entered the latest record.  |
| RECORDLINK                           | NUMBER    | 10     | Optional           |                       | Record Link  |
| NEWENTITYNAME                        | VAR-CHAR2 | 60     | Optional           |                       | New Entity Name  |
| NEWENTITYID                          | VAR-CHAR2 | 12     | Optional           |                       | New Entity ID  |
| ENTITYBASE-CURRENCY                  | VAR-CHAR2 | 3      | Optional           |                       | Entity Base Currency   |
| ADDITIONALINFO1                      | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 1   |
| ADDITIONALINFO2                      | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 2   |
| ADDITIONALINFO3                      | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 3   |
| ADDITIONALINFO4                      | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 4   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                    |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---------------------------|
| ADDITIONALINFO5                      | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 5  |
| ADDITIONALINFO6                      | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 6  |
| ADDITIONALINFO7                      | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 7  |
| ADDITIONALINFO8                      | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 8  |
| ADDITIONALINFO9                      | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 9  |
| ADDITIONALINFO10                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 10 |
| ADDITIONALINFO11                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 11 |
| ADDITIONALINFO12                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 12 |
| ADDITIONALINFO13                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 13 |
| ADDITIONALINFO14                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 14 |
| ADDITIONALINFO15                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 15 |
| ADDITIONALINFO16                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 16 |
| ADDITIONALINFO17                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 17 |
| ADDITIONALINFO18                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 18 |
| ADDITIONALINFO19                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 19 |
| ADDITIONALINFO20                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 20 |
| ADDITIONALINFO21                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 21 |
| ADDITIONALINFO22                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 22 |
| ADDITIONALINFO23                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 23 |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| ADDITIONALINFO24                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 24                              |
| ADDITIONALINFO25                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 25                              |
| ADDITIONALINFO26                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 26                              |
| ADDITIONALINFO27                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 27                              |
| ADDITIONALINFO28                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 28                              |
| ADDITIONALINFO29                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 29                              |
| ADDITIONALINFO30                     | VAR-CHAR2 | 60     | Optional           |                       | Additional Information 30                              |
| AUTH_STAT                            | VAR-CHAR2 | 1      | Optional           |                       | Authorisation Status. A - Authorised. U - Unauthorised |
| UNIQUERECORDNUMBER                   | NUMBER    | 5      | Optional           |                       | Unique Record Number                                   |
| MARKEDFORREIMP                       | VAR-CHAR2 | 1      | Optional           |                       | Marked for Re-Import                                   |
| ACCOUNT-TYPES                        | VAR-CHAR2 | 1000   | Optional           |                       | Account Types  |
| ENTITYCATEGORYTYPE                   | VAR-CHAR2 | 1      | Optional           |                       | Entity Category Type. I - Individual, C - Corporate    |
| ENTITYCATEGORY                       | VAR-CHAR2 | 2      | Optional           |                       | Entity Category  |
| AMCID                                | VAR-CHAR2 | 12     | Optional           |                       | AMC ID   |
| SWITCHINGLOGIC                       | VAR-CHAR2 | 12     | Optional           |                       | Switching Logic  |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| UHGENERATIONLOGIC                    | NUMBER    | 1      | Optional           |                       | Unit Holder Generation Logic. 1 - AGT, 2 - SERIAL&AMC/DIST&CHK, 3- SERIAL, 4 - No Logic, 5- Reference Number, 6 - AJF Generation Logic, 7 - Ctry(1)&Busi(1)&FMG/DIST&A-Z(1)&Num(5) |
| NATUREOF-BUSINESS                    | VAR-CHAR2 | 1      | Optional           |                       | Nature of Business. U - UnitTrust, L - LifeProducts, B - Both  |
| SECTION                              | VAR-CHAR2 | 40     | Optional           |                       | Section  |
| ENTITYSUB-TYPE                       | VAR-CHAR2 | 3      | Optional           |                       | Module/Agent Type  |
| CROSS-BRANCHING                      | NUMBER    | 1      | Optional           |                       | Cross Branching Allowed ? 1 - Yes, 0 - No  |
| FUNDSPOWERINSTALLED                  | NUMBER    | 1      | Optional           |                       | FLEXCUBE-IS Installation? 1 - Yes, 0 - No  |
| CUSTOMERINTERFACEKEY                 | VAR-CHAR2 | 1      | Optional           |                       | Customer Interface Key. C - Customer, A - Account  |
| ONLINEINTERFACE                      | NUMBER    | 1      | Optional           |                       | Online Interface. 1 - Yes, 0 - No  |
| WEEKENDBITS                          | VAR-CHAR2 | 7      | Optional           |                       | Weekend Bits   |
| AUTHORIZED-SIGNATORY                 | VAR-CHAR2 | 70     | Optional           |                       | Authorized Signatory   |
| MOD_NO                               | NUMBER    | 5      | Optional           |                       | Indicates the max number of times the record is modified   |
| ALTLANGENTITYNAME                    | VAR-CHAR2 | 60     | Optional           |                       | Alternate Language Entity Name   |
| ALTLANGCONTACTPERSON                 | VAR-CHAR2 | 70     | Optional           |                       | Alternate Language Contact Person  |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| ALTLANGADDRESS1                      | VAR-CHAR2 | 80     | Optional           |                       | Alternate Language Address 1                           |
| ALTLANGADDRESS2                      | VAR-CHAR2 | 80     | Optional           |                       | Alternate Language Address 2                           |
| ALTLANGCITY                          | VAR-CHAR2 | 80     | Optional           |                       | Alternate Language City                                |
| ALTLANGSTATE                         | VAR-CHAR2 | 80     | Optional           |                       | Alternate Language State                               |
| FINWAREPOSTING                       | NUMBER    | 1      | Optional           |                       | Finware Posting. 1 - Yes, 0 - No                       |
| CHANNELENTITY                        | NUMBER    | 1      | Optional           |                       | Restrict Cross Branching within Agent. 1 - Yes, 0 - No |
| MICRCODE                             | VAR-CHAR2 | 12     | Optional           |                       | MICR Code  |
| NATIONALITY                          | VAR-CHAR2 | 3      | Optional           |                       | Nationality  |
| COUNTRYOFBIRTH                       | VAR-CHAR2 | 3      | Optional           |                       | Represents the birth country of entity                 |
| COUNTRYOFDOMICILE                    | VAR-CHAR2 | 3      | Optional           |                       | Represents the country of domicile                     |
| INCORPORATIONCOUNTRY                 | VAR-CHAR2 | 3      | Optional           |                       | Country of Incorporation                               |
| TAXID                                | VAR-CHAR2 | 50     | Optional           |                       | Tax Id   |
| EIN                                  | VAR-CHAR2 | 50     | Optional           |                       | Employer ID Number                                     |
| TAXCERTIFICATEEFFECTIVE              | DATE      | 10     | Optional           |                       | Tax Certificate Effective Date                         |
| TAXCERTIFICATEEXPIRE                 | DATE      | 10     | Optional           |                       | Tax Certificate Expiry Date                            |
| TAXCIRCLE                            | VAR-CHAR2 | 3      | Optional           |                       | Tax Circle   |
| TAXCATEGORY                          | VAR-CHAR2 | 10     | Optional           |                       | Tax Category   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                    |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| GIIN                                 | VAR-CHAR2 | 30     | Optional           |                       | Global Intermediary Identification Number |
| CURRENCY                             | VAR-CHAR2 | 3      | Optional           |                       | Currency                                  |
| ISAREFERENCENO                       | VAR-CHAR2 | 5      | Optional           |                       | ISA ReferenceNo                           |
| MINISATRANSFEROUT                    | NUMBER    | 30     | Optional           |                       | MinISATransferIn                          |
| MINISATRANSFERIN                     | NUMBER    | 30     | Optional           |                       | MinISATransferOut                         |

### 2.16.3 Entity Bank related Information

Serial Number: 2

Segment Identification Number: 01EBD

Description: This segment is used for providing Entity Bank related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                    |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 16     | Mandatory          |                       | Segment Id. Value for Segment id is 01EBD |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID                                |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number                           |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional           |                       | Bulk Client Code                          |
| ENTITYID                             | VAR-CHAR2 | 12     | Optional           |                       | Entity ID                                 |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Optional           |                       | Entity Type                               |
| BANKCODE                             | VAR-CHAR2 | 12     | Optional           |                       | Bank Code                                 |



| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| BRANCHCODE                           | VAR-CHAR2 | 12     | Optional           |                       | Branch Code                              |
| ACCOUNT-TYPE                         | VAR-CHAR2 | 1      | Optional           |                       | Account Type                             |
| ACCOUNT-NUMBER                       | VAR-CHAR2 | 20     | Optional           |                       | Account Number                           |
| ACCOUNT-NAME                         | VAR-CHAR2 | 100    | Optional           |                       | Account Name                             |
| ACCOUNT-CURRENCY                     | VAR-CHAR2 | 3      | Optional           |                       | Account Currency                         |
| DEFAULTDETAILS                       | NUMBER    | 1      | Optional           |                       | Default Details. 1 - Yes, 0 - No         |
| DIRECTDEBITAPPLICABLE                | VAR-CHAR2 | 1      | Optional           |                       | Direct Debit Applicable. 1 - Yes, 0 - No |
| SWIFTFORMAT                          | VAR-CHAR2 | 6      | Optional           |                       | Swift Format                             |
| BANK-CHARGED                         | VAR-CHAR2 | 12     | Optional           |                       | Bank charged                             |
| BENEFICIARY                          | VAR-CHAR2 | 35     | Optional           |                       | Beneficiary                              |
| BENEFICIARY-ADD1                     | VAR-CHAR2 | 105    | Optional           |                       | Beneficiary Address 1                    |
| BENEFICIARY-ADD2                     | VAR-CHAR2 | 105    | Optional           |                       | Beneficiary Address 2                    |
| BENEFICIARY-ADD3                     | VAR-CHAR2 | 105    | Optional           |                       | Beneficiary Address 3                    |
| BENEFICIARY-BICCODE                  | VAR-CHAR2 | 24     | Optional           |                       | Beneficiary BIC Code                     |
| BENEFICIARY-ACCOUNTNO                | VAR-CHAR2 | 34     | Optional           |                       | Beneficiary Account Number               |
| BENEFICIARY-CODE                     | VAR-CHAR2 | 24     | Optional           |                       | Beneficiary Code                         |
| BENEFICIARY-INFO                     | VAR-CHAR2 | 100    | Optional           |                       | Beneficiary Info                         |
| INTERMEDIARY                         | VAR-CHAR2 | 35     | Optional           |                       | Intermediary                             |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| INTERMEDIAR-YADD1                    | VAR-CHAR2 | 105    | Optional           |                       | Intermediary Address 1                         |
| INTERMEDIAR-YADD2                    | VAR-CHAR2 | 105    | Optional           |                       | Intermediary Address 2                         |
| INTERMEDIAR-YADD3                    | VAR-CHAR2 | 105    | Optional           |                       | Intermediary Address 3                         |
| INTERMEDIARYBICCODE                  | VAR-CHAR2 | 24     | Optional           |                       | Intermediary BIC Code                          |
| INTERMEDIARYACCTNO                   | VAR-CHAR2 | 34     | Optional           |                       | Intermediary Account Number                    |
| INTERMEDIARYCODE                     | VAR-CHAR2 | 24     | Optional           |                       | Intermediary Code                              |
| INTERMEDIARYINFO                     | VAR-CHAR2 | 100    | Optional           |                       | Intermediary Info                              |
| FURTHER-CREDITNAME                   | VAR-CHAR2 | 35     | Optional           |                       | Further Credit Name                            |
| FURTHER-CREDITACCTNO                 | VAR-CHAR2 | 35     | Optional           |                       | Further Credit Account Number                  |
| REFERENCE1                           | VAR-CHAR2 | 35     | Optional           |                       | Reference 1                                    |
| REFERENCE2                           | VAR-CHAR2 | 35     | Optional           |                       | Reference 2                                    |
| IBAN                                 | VAR-CHAR2 | 40     | Optional           |                       | International Bank Account Number              |
| BENEFICIARY-IBAN                     | VAR-CHAR2 | 40     | Optional           |                       | Beneficiary International Bank Account Number  |
| INTERMEDIARYIBAN                     | VAR-CHAR2 | 40     | Optional           |                       | Intermediary International Bank Account Number |

#### 2.16.4 **Entity Contact Information related Information**

Serial Number: 3

Segment Identification Number: 02ECI

Description: This segment is used for providing Entity Contact Information related Information

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 16     | Mandatory          |                       | Segment Id. Value for Segment id is 02ECI   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID  |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number   |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional           |                       | Bulk Client Code  |
| ENTITYID                             | VAR-CHAR2 | 12     | Optional           |                       | Entity ID   |
| ENTITYTYPE                           | VAR-CHAR2 | 2      | Optional           |                       | Entity Type   |
| ADDRESSTYPE                          | VAR-CHAR2 | 2      | Optional           |                       | Address Type. CO - Correspondence Address, AL - Alternate Address, SW - Swift Address |
| ADDRESS-LINE1                        | VAR-CHAR2 | 105    | Mandatory          |                       | Address Line 1  |
| ADDRESS-LINE2                        | VAR-CHAR2 | 105    | Optional           |                       | Address Line 2  |
| ADDRESS-LINE3                        | VAR-CHAR2 | 105    | Optional           |                       | Address Line 3  |
| ADDRESS-LINE4                        | VAR-CHAR2 | 80     | Optional           |                       | Address Line 4  |
| ZIPCODE                              | VAR-CHAR2 | 10     | Optional           |                       | ZIP Code  |
| COUNTRY                              | VAR-CHAR2 | 3      | Optional           |                       | Country   |
| USSTATE                              | NUMBER    | 1      | Optional           |                       | US State. 1 - Yes, 0 - No   |
| TELEPHONE1                           | VAR-CHAR2 | 60     | Optional           |                       | Telephone 1   |
| TELEPHONE2                           | VAR-CHAR2 | 60     | Optional           |                       | Telephone 2   |
| CELLPHONE-NUMBER                     | VAR-CHAR2 | 60     | Optional           |                       | Cellphone Number  |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                               |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--------------------------------------|
| FAX                                  | VAR-CHAR2 | 120    | Optional           |                       | FAX                                  |
| EMAIL                                | VAR-CHAR2 | 255    | Optional           |                       | Email Address                        |
| CONTACTPERSON                        | VAR-CHAR2 | 160    | Optional           |                       | Contact Person                       |
| EFFECTIVE-FROMDATE                   | DATE      | 10     | Optional           |                       | Effective From Date                  |
| EFFECTIVETO-DATE                     | DATE      | 10     | Optional           |                       | Effective To Date                    |
| BICCODE                              | VAR-CHAR2 | 16     | Optional           |                       | BIC Code                             |
| INTDIALLING-CODEPH1                  | VAR-CHAR2 | 10     | Optional           |                       | Int. Dialing Code + Telephone 1      |
| INTDIALLING-CODEPH2                  | VAR-CHAR2 | 10     | Optional           |                       | Int. Dialing Code + Telephone 2      |
| INTDIALLING-CODECELL                 | VAR-CHAR2 | 10     | Optional           |                       | Int. Dialing Code + Cellphone Number |
| INTDIALLING-CODEFAX                  | VAR-CHAR2 | 10     | Optional           |                       | Int. Dialing Code + Fax              |

### 2.16.5 Entity Broker Definition related Information

Serial Number: 4

Segment Identification Number: 03EBD

Description: This segment is used for providing Entity Broker Definition related Information

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                    |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 16     | Mandatory          |                       | Segment Id. Value for Segment id is 03EBD |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID                                |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number                           |

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values                      |
|--------------------------------------|-----------|--------|---------------------|------------------------|-----------------------------|
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional            |                        | Bulk Client Code            |
| ENTITYID                             | VAR-CHAR2 | 12     | Optional            |                        | Entity ID                   |
| TRANSACTIONTYPE                      | VAR-CHAR2 | 20     | Optional            |                        | Transaction Type            |
| BROKER-DEFTYPE                       | VAR-CHAR2 | 3      | Optional            |                        | Broker Defaulting Mechanism |

### 2.16.6 Related parties Information

Serial Number: 5

Segment Identification Number: 04ERP

Description: This segment is used for providing Related parties Information

Segment Mandatory/ Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/ rules | Values             |
|--------------------------------------|-----------|--------|-----------|------------------------|--------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Yes       |                        | Segment Id - 04ERP |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Yes       |                        | Sequence Number    |
| PROCESSID                            | VAR-CHAR2 | 50     | Yes       |                        | Process ID         |

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/<br>rules | Values  |
|--------------------------------------|-----------|--------|-----------|---------------------------|---|
| ENTITYID                             | VAR-CHAR2 | 12     | Yes       |                           | Indicates the unique identification code for the related party entity associated with the entity. |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Yes       |                           | Indicates the entity type of the entity's related party.  |
| RPENTITYID                           | VAR-CHAR2 | 12     | Yes       |                           | Indicates the unique identification code for the related party entity associated with the entity. |

| <b>Field Name (as expected in the file)</b> | <b>Data type</b> | <b>Length</b> | <b>Mandatory</b> | <b>Characteristics/ rules</b> | <b>Values</b>   |
|---|------------------|---------------|------------------|-------------------------------|---|
| RPENTITY-TYPE                               | VAR-CHAR2        | 1             | Yes              |                               | Indicates the entity type of the entity's related party.        |
| PREFMAILMODE                                | VAR-CHAR2        | 1             | No               |                               | Indicates the preferred mail mode for the related party entity. |
| REPORTING-LEVEL                             | VAR-CHAR2        | 1             | No               |                               | Indicates which all reports to be sent to the entities mapped   |
| REMARKS                                     | VAR-CHAR2        | 255           | No               |                               | Indicates the descriptive remarks column for the record.        |

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/ rules | Values   |
|--------------------------------------|-----------|--------|-----------|------------------------|--|
| APPLYTO                              | VAR-CHAR2 | 12     | Yes       |                        | Based on the level selected in the Related party Level field , the user can either select fund ID (if he has selected Fund in Related Party Level) or AMC Id (if he has selected AMC Related Party Level) etc. If the user selects ALL in the Related Party Level then the system will default ALL in this field also and the user will not be allowed to edit it. |



| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|-----------|-----------------------|---|
| APPLYLEVEL                           | VAR-CHAR2 | 3      | Yes       |                       | Related party level would specify at which level does the user wants to associate the Related Party. The possible LOV values are – ALL, AMC, Fund, Fund Family , Legal entity |

### 2.16.7 Additional Information details

Serial Number: 6

Segment Identification Number: 05ADD

Description: This segment is used for providing Additional Information details.

Segment Mandatory/ Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/ rules | Values                       |
|--------------------------------------|-----------|--------|-----------|------------------------|------------------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Yes       |                        | Segment Id - 05ADD           |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Yes       |                        | Sequence Number              |
| PROCESSID                            | VAR-CHAR2 | 50     | Yes       |                        | Process ID                   |
| ADDINFOLABEL                         | VAR-CHAR2 | 30     | Yes       |                        | Additional Information Label |
| FIELDVALUE                           | CHAR      | 23     | Yes       |                        | Field Value                  |

## 2.16.8 Swift Message related details

Serial Number: 7

Segment Identification Number: 06SWF

Description: This segment is used for providing Swift Message related details.

Segment Mandatory/ Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/ rules | Values             |
|--------------------------------------|-----------|--------|-----------|------------------------|--------------------|
| SEGMENTID                            | VAR-CHAR2 | 16     | Yes       |                        | Segment Id - 06SWF |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Yes       |                        | Sequence Number    |
| PROCESSID                            | VAR-CHAR2 | 50     | Yes       |                        | Process ID         |
| ENTITYID                             | VAR-CHAR2 | 12     | Yes       |                        | EntityID           |
| ENTITYTYPE                           | VAR-CHAR2 | 1      | Yes       |                        | EntityType         |
| SWIFTCODE                            | VAR-CHAR2 | 30     | Yes       |                        | Swift Code         |

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/ rules | Values    |
|--------------------------------------|-----------|--------|-----------|------------------------|-----------|
| FCISCODE                             | VAR-CHAR2 | 3      | Yes       |                        | FCIS Code |

## 2.17 Entity Bulk Import Pension Upload

The entity import for Pension for 'bank and branch' with the interface ID IF20150020001001(Entity bulk import in Pension)

| Field Name         | Description        | Data type | Length | Table Name            |
|--------------------|--------------------|-----------|--------|-----------------------|
| SEGMENTID          | Segmentid          | VAR-CHAR2 | 16     | PATB_ENTITYBASEUPLOAD |
| SEQUENCENUMBER     | Sequencenumber     | NUMBER    | 10     | PATB_ENTITYBASEUPLOAD |
| PROCESSID          | Processid          | VAR-CHAR2 | 50     | PATB_ENTITYBASEUPLOAD |
| BULKCLIENTCODE     | Bulkclientcode     | VAR-CHAR2 | 12     | PATB_ENTITYBASEUPLOAD |
| IMPORTFILENAME     | Importfilename     | VAR-CHAR2 | 255    | PATB_ENTITYBASEUPLOAD |
| UPLOADMODE         | Uploadmode         | VAR-CHAR2 | 1      | PATB_ENTITYBASEUPLOAD |
| ENTITYID           | Entityid           | VAR-CHAR2 | 12     | PATB_ENTITYBASEUPLOAD |
| ENTITYNAME         | Entityname         | VAR-CHAR2 | 60     | PATB_ENTITYBASEUPLOAD |
| ENTITYTYPE         | Entitytype         | VAR-CHAR2 | 1      | PATB_ENTITYBASEUPLOAD |
| ENTITYBASECURRENCY | Entitybasecurrency | VAR-CHAR2 | 3      | PATB_ENTITYBASEUPLOAD |
| SWITCHINGLOGIC     | Switchinglogic     | VAR-CHAR2 | 1      | PATB_ENTITYBASEUPLOAD |
| UHGENERATIONLOGIC  | Uhgenerationlogic  | NUMBER    | 1      | PATB_ENTITYBASEUPLOAD |
| NATUREOFBUSINESS   | Natureofbusiness   | VAR-CHAR2 | 1      | PATB_ENTITYBASEUPLOAD |

| Field Name                     | Description                   | Data type | Length | Table Name             |
|--------------------------------|-------------------------------|-----------|--------|------------------------|
| SECTION                        | Section                       | VAR-CHAR2 | 40     | PATB_ENTITYBASEUP-LOAD |
| EFFECTIVEDATE-FORCAPITAL-GAINS | Effectivedate-forcapitalgains | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| ENTITYSUBTYPE                  | Entitysubtype                 | VAR-CHAR2 | 3      | PATB_ENTITYBASEUP-LOAD |
| CROSSBRANCHING                 | Crossbranching                | NUMBER    | 1      | PATB_ENTITYBASEUP-LOAD |
| FUNDSPOWERINSTALLED            | Fundspowerinstalled           | NUMBER    | 1      | PATB_ENTITYBASEUP-LOAD |
| PARENTENTITY                   | Parententity                  | VAR-CHAR2 | 12     | PATB_ENTITYBASEUP-LOAD |
| CUSTOMERINTERFACEKEY           | Customerinterfacekey          | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| ONLINEINTERFACE                | Onlineinterface               | NUMBER    | 1      | PATB_ENTITYBASEUP-LOAD |
| MAKER_ID                       | Maker_Id                      | VAR-CHAR2 | 12     | PATB_ENTITYBASEUP-LOAD |
| MAKER_DT_STAMP                 | Maker_Dt_Stamp                | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| AUTH_STAT                      | Auth_Stat                     | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| CHECKER_ID                     | Checker_Id                    | VAR-CHAR2 | 12     | PATB_ENTITYBASEUP-LOAD |
| CHECKER_DT_STAMP               | Checker_Dt_Stamp              | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| LASTMODIFIEDUSERID             | Lastmodifieduserid            | VAR-CHAR2 | 12     | PATB_ENTITYBASEUP-LOAD |
| LASTMODIFIEDDATE               | Lastmodifieddate              | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| MOD_NO                         | Mod_No                        | NUMBER    | 22     | PATB_ENTITYBASEUP-LOAD |
| LASTSERIALNUMBER               | Lastserialnumber              | NUMBER    | 22     | PATB_ENTITYBASEUP-LOAD |
| SIGNOFF                        | Signoff                       | NUMBER    | 1      | PATB_ENTITYBASEUP-LOAD |
| WEEKENDBITS                    | Weekendbits                   | VAR-CHAR2 | 7      | PATB_ENTITYBASEUP-LOAD |

| Field Name            | Description           | Data type | Length | Table Name             |
|-----------------------|-----------------------|-----------|--------|------------------------|
| AUTHORIZEDSIGNATORY   | Authorizedsignatory   | VAR-CHAR2 | 70     | PATB_ENTITYBASEUP-LOAD |
| RULEEFFECTIVE-DATE    | Ruleeffective-date    | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| ENTITYCATEGORYTYPE    | Entitycategory-type   | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| ENTITYCATEGORY        | Entitycategory        | VAR-CHAR2 | 2      | PATB_ENTITYBASEUP-LOAD |
| LINKENTITYID          | Linkentityid          | VAR-CHAR2 | 12     | PATB_ENTITYBASEUP-LOAD |
| LINKENTITYTYPE        | Linkentitytype        | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| SEQUENCETYPE          | Sequencetype          | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| CHANNELENTITY         | Channelentity         | NUMBER    | 1      | PATB_ENTITYBASEUP-LOAD |
| MICRCODE              | Micrcode              | VAR-CHAR2 | 12     | PATB_ENTITYBASEUP-LOAD |
| RECORD_STAT           | Record_Stat           | CHAR      | 1      | PATB_ENTITYBASEUP-LOAD |
| ONCE_AUTH             | Once_Auth             | CHAR      | 1      | PATB_ENTITYBASEUP-LOAD |
| FINWAREPOSTING        | Finwareposting        | NUMBER    | 1      | PATB_ENTITYBASEUP-LOAD |
| USINDICIAAVAILABLE    | Usindiciaavailable    | NUMBER    | 1      | PATB_ENTITYBASEUP-LOAD |
| FATCASTATUS           | Fatcastatus           | VAR-CHAR2 | 25     | PATB_ENTITYBASEUP-LOAD |
| NATIONALITY           | Nationality           | VAR-CHAR2 | 3      | PATB_ENTITYBASEUP-LOAD |
| COUNTRYOFBIRTH        | Countryofbirth        | VAR-CHAR2 | 3      | PATB_ENTITYBASEUP-LOAD |
| COUNTRYOFDOMICILE     | Countryofdomicile     | VAR-CHAR2 | 3      | PATB_ENTITYBASEUP-LOAD |
| INCORPORATION-COUNTRY | Incorporation-country | VAR-CHAR2 | 3      | PATB_ENTITYBASEUP-LOAD |
| TAXID                 | Taxid                 | VAR-CHAR2 | 50     | PATB_ENTITYBASEUP-LOAD |

| Field Name             | Description            | Data type | Length | Table Name             |
|------------------------|------------------------|-----------|--------|------------------------|
| EIN                    | Ein                    | VAR-CHAR2 | 50     | PATB_ENTITYBASEUP-LOAD |
| TAXCERTIFICATEEFFEDATE | Taxcertificateeffedate | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| TAXCERTIFICATEEXPDATE  | Taxcertificateexpdate  | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| TAXCIRCLE              | Taxcircle              | VAR-CHAR2 | 15     | PATB_ENTITYBASEUP-LOAD |
| TAXCATEGORY            | Taxcategory            | VAR-CHAR2 | 10     | PATB_ENTITYBASEUP-LOAD |
| FATCACLASSIFICATION    | Fatcaclassification    | VAR-CHAR2 | 25     | PATB_ENTITYBASEUP-LOAD |
| GIIN                   | Giin                   | VAR-CHAR2 | 30     | PATB_ENTITYBASEUP-LOAD |
| GIINISSUEDATE          | Giinissuedate          | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| GIINEXPIRYDATE         | Giinexpirydate         | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| GIINVERIFIED-FLAG      | Giinverifiedflag       | NUMBER    | 1      | PATB_ENTITYBASEUP-LOAD |
| FATCASPONSORTYPE       | Fatcasponsortype       | VAR-CHAR2 | 2      | PATB_ENTITYBASEUP-LOAD |
| SPONSORINGENTITYTYPE   | Sponsoringentitytype   | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| SPONSORINGENTITYID     | Sponsoringentityid     | VAR-CHAR2 | 12     | PATB_ENTITYBASEUP-LOAD |
| MINISATRANSFERIN       | Minisattransferin      | NUMBER    | 30     | PATB_ENTITYBASEUP-LOAD |
| MINISATRANSFEROUT      | Minisattransferout     | NUMBER    | 30     | PATB_ENTITYBASEUP-LOAD |
| ISAREFERENCENO         | Isareferenceno         | VAR-CHAR2 | 5      | PATB_ENTITYBASEUP-LOAD |
| FUNDSTRUCTAPPLICABLE   | Fundstructapplicable   | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| AUTOSETTLE-SWITCH      | Autosettleswitch       | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| CREATEDMODULE          | Createdmodule          | VAR-CHAR2 | 30     | PATB_ENTITYBASEUP-LOAD |

| Field Name            | Description          | Data type | Length | Table Name             |
|-----------------------|----------------------|-----------|--------|------------------------|
| SWIFTMSGLIST          | Swiftmsglist         | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| ELECTRON-ICDEALFLAG   | Electronicdeal-flag  | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| LEGACYUHREF           | Legacyuhref          | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| PAYDIVIDEND-DURINGRED | Paydividendduringred | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| DEFAULTAGENCYBRANCH   | Defaultagency-branch | VAR-CHAR2 | 1      | PATB_ENTITYBASEUP-LOAD |
| DATEOFBIRTH           | Dateofbirth          | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| ENTCLOSEDDATE         | Entcloseddate        | DATE      | 7      | PATB_ENTITYBASEUP-LOAD |
| SEGMENTID             | Segmentid            | VAR-CHAR2 | 20     | PATB_ENTITYBANKUP-LOAD |
| PROCESSID             | Processid            | VAR-CHAR2 | 50     | PATB_ENTITYBANKUP-LOAD |
| SEQUENCENUMBER        | Sequencenumber       | NUMBER    | 10     | PATB_ENTITYBANKUP-LOAD |
| BULKCLIENT-CODE       | Bulkclientcode       | VAR-CHAR2 | 12     | PATB_ENTITYBANKUP-LOAD |
| ENTITYID              | Entityid             | VAR-CHAR2 | 12     | PATB_ENTITYBANKUP-LOAD |
| ENTITYTYPE            | Entitytype           | VAR-CHAR2 | 1      | PATB_ENTITYBANKUP-LOAD |
| BANKCODE              | Bankcode             | VAR-CHAR2 | 12     | PATB_ENTITYBANKUP-LOAD |
| BRANCHCODE            | Branchcode           | VAR-CHAR2 | 12     | PATB_ENTITYBANKUP-LOAD |
| ACCOUNTTYPE           | Accounttype          | VAR-CHAR2 | 1      | PATB_ENTITYBANKUP-LOAD |
| ACCOUNTNUMBER         | Accountnumber        | VAR-CHAR2 | 16     | PATB_ENTITYBANKUP-LOAD |
| ACCOUNTNAME           | Accountname          | VAR-CHAR2 | 100    | PATB_ENTITYBANKUP-LOAD |
| ACCOUNTCURRENCY       | Accountcurrency      | VAR-CHAR2 | 3      | PATB_ENTITYBANKUP-LOAD |

| Field Name            | Description          | Data type | Length | Table Name             |
|-----------------------|----------------------|-----------|--------|------------------------|
| DEFAULTDETAILS        | Defaultdetails       | NUMBER    | 1      | PATB_ENTITYBANKUP-LOAD |
| DIRECTDEBITAPPLICABLE | Directdebitaplicable | VAR-CHAR2 | 1      | PATB_ENTITYBANKUP-LOAD |
| SWIFFFORMAT           | Swiftformat          | VAR-CHAR2 | 6      | PATB_ENTITYBANKUP-LOAD |
| BANKCHARGED           | Bankcharged          | VAR-CHAR2 | 12     | PATB_ENTITYBANKUP-LOAD |
| BENEFICIARY           | Beneficiary          | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD |
| BENEFICIARY-ADD1      | Beneficiaryadd1      | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD |
| BENEFICIARY-ADD2      | Beneficiaryadd2      | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD |
| BENEFICIARY-ADD3      | Beneficiaryadd3      | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD |
| BENEFICIARYBIC-CODE   | Beneficiarybic-code  | VAR-CHAR2 | 24     | PATB_ENTITYBANKUP-LOAD |
| BENEFICIARY-YACCTNO   | Beneficiaryyacctno   | VAR-CHAR2 | 34     | PATB_ENTITYBANKUP-LOAD |
| BENEFICIARY-CODE      | Beneficiarycode      | VAR-CHAR2 | 24     | PATB_ENTITYBANKUP-LOAD |
| BENEFICIARY-INFO      | Beneficiaryinfo      | VAR-CHAR2 | 100    | PATB_ENTITYBANKUP-LOAD |
| INTERMEDIARY          | Intermediary         | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD |
| INTERMEDIARY-ADD1     | Intermediary-add1    | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD |
| INTERMEDIARY-ADD2     | Intermediary-add2    | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD |
| INTERMEDIARY-ADD3     | Intermediary-add3    | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD |
| INTERMEDIARY-BICCODE  | Intermediarybic-code | VAR-CHAR2 | 24     | PATB_ENTITYBANKUP-LOAD |
| INTERMEDIARY-YACCTNO  | Intermediaryyacctno  | VAR-CHAR2 | 24     | PATB_ENTITYBANKUP-LOAD |
| INTERMEDIARY-CODE     | Intermediary-code    | VAR-CHAR2 | 24     | PATB_ENTITYBANKUP-LOAD |



| Field Name          | Description         | Data type | Length | Table Name               |
|---------------------|---------------------|-----------|--------|--------------------------|
| INTERMEDIARY-INFO   | Intermediaryinfo    | VAR-CHAR2 | 100    | PATB_ENTITYBANKUP-LOAD   |
| FURTHERCREDITNAME   | Furthercredit-name  | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD   |
| FURTHERCREDITACCTNO | Furthercreditacctno | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD   |
| REFERENCE1          | Reference1          | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD   |
| REFERENCE2          | Reference2          | VAR-CHAR2 | 35     | PATB_ENTITYBANKUP-LOAD   |
| IBAN                | Iban                | VAR-CHAR2 | 40     | PATB_ENTITYBANKUP-LOAD   |
| BENEFICIARY-IBAN    | Beneficiaryiban     | VAR-CHAR2 | 40     | PATB_ENTITYBANKUP-LOAD   |
| INTERMEDIARY-IBAN   | Intermediary-iban   | VAR-CHAR2 | 40     | PATB_ENTITYBANKUP-LOAD   |
| SEGMENTID           | Segmentid           | VAR-CHAR2 | 20     | PATB_ENTITYCONTACTUPLOAD |
| PROCESSID           | Processid           | VAR-CHAR2 | 50     | PATB_ENTITYCONTACTUPLOAD |
| SEQUENCENUMBER      | Sequencenumber      | NUMBER    | 10     | PATB_ENTITYCONTACTUPLOAD |
| BULKCLIENT-CODE     | Bulkclientcode      | VAR-CHAR2 | 12     | PATB_ENTITYCONTACTUPLOAD |
| ENTITYID            | Entityid            | VAR-CHAR2 | 12     | PATB_ENTITYCONTACTUPLOAD |
| ENTITYTYPE          | Entitytype          | VAR-CHAR2 | 2      | PATB_ENTITYCONTACTUPLOAD |
| ADDRESSTYPE         | Addresstype         | VAR-CHAR2 | 2      | PATB_ENTITYCONTACTUPLOAD |
| ADDRESSLINE1        | Addressline1        | VAR-CHAR2 | 80     | PATB_ENTITYCONTACTUPLOAD |
| ADDRESSLINE2        | Addressline2        | VAR-CHAR2 | 80     | PATB_ENTITYCONTACTUPLOAD |
| ADDRESSLINE3        | Addressline3        | VAR-CHAR2 | 80     | PATB_ENTITYCONTACTUPLOAD |
| ADDRESSLINE4        | Addressline4        | VAR-CHAR2 | 80     | PATB_ENTITYCONTACTUPLOAD |

| Field Name           | Description          | Data type | Length | Table Name                  |
|----------------------|----------------------|-----------|--------|-----------------------------|
| ZIPCODE              | Zipcode              | VAR-CHAR2 | 10     | PATB_ENTITYCONTACTUPLOAD    |
| COUNTRY              | Country              | VAR-CHAR2 | 3      | PATB_ENTITYCONTACTUPLOAD    |
| USSTATE              | Usstate              | NUMBER    | 1      | PATB_ENTITYCONTACTUPLOAD    |
| TELEPHONE1           | Telephone1           | VAR-CHAR2 | 60     | PATB_ENTITYCONTACTUPLOAD    |
| TELEPHONE2           | Telephone2           | VAR-CHAR2 | 60     | PATB_ENTITYCONTACTUPLOAD    |
| CELLPHONENUMBER      | Cellphonenum-ber     | VAR-CHAR2 | 60     | PATB_ENTITYCONTACTUPLOAD    |
| FAX                  | Fax                  | VAR-CHAR2 | 120    | PATB_ENTITYCONTACTUPLOAD    |
| EMAIL                | Email                | VAR-CHAR2 | 255    | PATB_ENTITYCONTACTUPLOAD    |
| CONTACTPERSON        | Contactperson        | VAR-CHAR2 | 160    | PATB_ENTITYCONTACTUPLOAD    |
| EFFECTIVEFROMDATE    | Effectivefrom-date   | DATE      | 7      | PATB_ENTITYCONTACTUPLOAD    |
| EFFECTIVETO-DATE     | Effectivetodate      | DATE      | 7      | PATB_ENTITYCONTACTUPLOAD    |
| BICCODE              | Biccode              | VAR-CHAR2 | 12     | PATB_ENTITYCONTACTUPLOAD    |
| INTDIALLINGCO-DEPH1  | Intdiallingco-deph1  | VAR-CHAR2 | 10     | PATB_ENTITYCONTACTUPLOAD    |
| INTDIALLINGCO-DEPH2  | Intdiallingco-deph2  | VAR-CHAR2 | 10     | PATB_ENTITYCONTACTUPLOAD    |
| INTDIALLINGCO-DECELL | Intdiallingcode-cell | VAR-CHAR2 | 10     | PATB_ENTITYCONTACTUPLOAD    |
| INTDIALLINGCO-DEFAX  | Intdiallingcode-fax  | VAR-CHAR2 | 10     | PATB_ENTITYCONTACTUPLOAD    |
| SEGMENTID            | Segmentid            | VAR-CHAR2 | 20     | PATB_ENTITYBROKER-DEFUPLOAD |
| PROCESSID            | Processid            | VAR-CHAR2 | 50     | PATB_ENTITYBROKER-DEFUPLOAD |
| SEQUENCENUMBER       | Sequencenum-ber      | NUMBER    | 10     | PATB_ENTITYBROKER-DEFUPLOAD |

| Field Name       | Description     | Data type | Length | Table Name                  |
|------------------|-----------------|-----------|--------|-----------------------------|
| BULKCLIENT-CODE  | Bulkclientcode  | VAR-CHAR2 | 12     | PATB_ENTITYBROKER-DEFUPLOAD |
| ENTITYID         | Entityid        | VAR-CHAR2 | 12     | PATB_ENTITYBROKER-DEFUPLOAD |
| TRANSACTION-TYPE | Transactiontype | VAR-CHAR2 | 20     | PATB_ENTITYBROKER-DEFUPLOAD |
| BROKER-DEFTYPE   | Brokerdeftype   | VAR-CHAR2 | 3      | PATB_ENTITYBROKER-DEFUPLOAD |
| SEGMENTID        | Segmentid       | VAR-CHAR2 | 80     | PATB_ENTITYRELPARTYUPLOAD   |
| SEQUENCENUMBER   | Sequencenumber  | NUMBER    | 10     | PATB_ENTITYRELPARTYUPLOAD   |
| PROCESSID        | Processid       | VAR-CHAR2 | 50     | PATB_ENTITYRELPARTYUPLOAD   |
| ENTITYID         | Entityid        | VAR-CHAR2 | 12     | PATB_ENTITYRELPARTYUPLOAD   |
| ENTITYTYPE       | Entitytype      | VAR-CHAR2 | 1      | PATB_ENTITYRELPARTYUPLOAD   |
| RPEntityID       | Rpentityid      | VAR-CHAR2 | 12     | PATB_ENTITYRELPARTYUPLOAD   |
| RPEntityTYPE     | Rpentitytype    | VAR-CHAR2 | 2      | PATB_ENTITYRELPARTYUPLOAD   |
| REPORTING-LEVEL  | Reportinglevel  | VAR-CHAR2 | 1      | PATB_ENTITYRELPARTYUPLOAD   |
| PREFMAILMODE     | Prefmailmode    | VAR-CHAR2 | 1      | PATB_ENTITYRELPARTYUPLOAD   |
| REMARKS          | Remarks         | VAR-CHAR2 | 255    | PATB_ENTITYRELPARTYUPLOAD   |
| APPLYLEVEL       | Applylevel      | VAR-CHAR2 | 3      | PATB_ENTITYRELPARTYUPLOAD   |
| APPLYTO          | Applyto         | VAR-CHAR2 | 12     | PATB_ENTITYRELPARTYUPLOAD   |
| SEGMENTID        | Segmentid       | VAR-CHAR2 | 20     | PATB_ENTITYADDIN-FOUUPLOAD  |
| SEQUENCENUMBER   | Sequencenumber  | NUMBER    | 10     | PATB_ENTITYADDIN-FOUUPLOAD  |
| PROCESSID        | Processid       | VAR-CHAR2 | 50     | PATB_ENTITYADDIN-FOUUPLOAD  |

| Field Name     | Description    | Data type | Length | Table Name                 |
|----------------|----------------|-----------|--------|----------------------------|
| ADDINFOLABEL   | Addinfo label  | VAR-CHAR2 | 30     | PATB_ENTITYADDIN-FOUUPLOAD |
| FIELDVALUE     | Fieldvalue     | CHAR      | 23     | PATB_ENTITYADDIN-FOUUPLOAD |
| SEGMENTID      | Segmentid      | VAR-CHAR2 | 16     | PATB_ENTITYSWIFT-MAPUPLOAD |
| SEQUENCENUMBER | Sequencenumber | NUMBER    | 10     | PATB_ENTITYSWIFT-MAPUPLOAD |
| PROCESSID      | Processid      | VAR-CHAR2 | 50     | PATB_ENTITYSWIFT-MAPUPLOAD |
| SWIFTCODE      | Swiftcode      | VAR-CHAR2 | 30     | PATB_ENTITYSWIFT-MAPUPLOAD |
| FCISCODE       | Fciscocode     | VAR-CHAR2 | 3      | PATB_ENTITYSWIFT-MAPUPLOAD |
| ENTITYID       | Entityid       | VAR-CHAR2 | 12     | PATB_ENTITYSWIFT-MAPUPLOAD |
| ENTITYTYPE     | Entitytype     | VAR-CHAR2 | 1      | PATB_ENTITYSWIFT-MAPUPLOAD |

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**Note**

This is applicable only for PENSION module.

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## 2.18 UH KYC Chasing Upload

This section contains the following topics:

- [Section 2.18.1, "Segment Identification Number"](#)
- [Section 2.18.2, "Cheque Book Request Upload Format"](#)

## 2.18.1 Segment Identification Number

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|-----------|-----------------------|---|
| PROCESSID                            | VAR-CHAR2 | 50     |           |                       | PROCESSID   |
| SEQUENCE-NUMBER                      | NUMBER    | 10     |           |                       | SEQUENCENUMBER  |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     |           |                       | BULKCLIENTCODE  |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    |           |                       | IMPORTFILENAME  |
| UPLOADMODE                           | VAR-CHAR2 | 1      |           |                       | UPLOADMODE  |
| UNITHOLDERID                         | VAR-CHAR2 | 12     |           |                       | UNITHOLDERID  |
| CHASINGDATE                          | DATE      | 10     |           |                       | CHASINGDATE   |
| CHASERID                             | VAR-CHAR2 | 255    |           |                       | CHASERID  |
| CHASINGMODE                          | VAR-CHAR2 | 2      |           |                       | CHASINGMODE   |
| COMMENTS                             | VAR-CHAR2 | 255    |           |                       | COMMENTS  |
| AUTH_STAT                            | VAR-CHAR2 | 1      |           |                       | AUTH_STAT   |
| USER ID                              | VAR-CHAR2 | 15     |           |                       | Represents the user ID who has entered the latest record. |

## 2.18.2 Cheque Book Request Upload Format

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values          |
|--------------------------------------|-----------|--------|--------------------|-----------------------|-----------------|
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID      |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values  |
|--------------------------------------|-----------|--------|---------------------|------------------------|---|
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Mandatory           |                        | Bulk Client Code  |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Mandatory           |                        | Import File Name  |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory           |                        | Mode of operation which has to be performed on the record   |
| AMCID                                | VAR-CHAR2 | 12     | Mandatory           |                        | AMC ID  |
| UNITHOLDERID                         | VAR-CHAR2 | 12     | Mandatory           |                        | Unit Holder ID  |
| FUNDID                               | VAR-CHAR2 | 6      | Mandatory           |                        | Fund ID   |
| ENTITYID                             | VAR-CHAR2 | 12     | Mandatory           |                        | Entity ID   |
| CHECKBOOK-NUMBER                     | VAR-CHAR2 | 16     | Mandatory           |                        | Check Book Number   |
| STARTCHECK-NUMBER                    | VAR-CHAR2 | 12     | Mandatory           |                        | Start Check Number  |
| ENDCHECK-NUMBER                      | VAR-CHAR2 | 12     | Mandatory           |                        | End Check Number  |
| CHECKCURRENCY                        | VAR-CHAR2 | 3      | Mandatory           |                        | Check Currency  |
| USERID                               | VAR-CHAR2 | 15     | Mandatory           |                        | Represents the user ID who has entered the latest record  |
| AUTH_STAT                            | VAR-CHAR2 | 1      | Optional            |                        | This field indicates where this particular record is authorized or not. A-Authorized U-Not Authorized |
| MOD_NO                               | NUMBER    | 10     | Optional            |                        | Indicates the max number of times the record is modified.   |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values   |
|--------------------------------------|-----------|--------|---------------------|------------------------|--|
| RECORD_STAT                          | CHAR      | 1      | Optional            |                        | Represents whether the record is open-O or closed-C  |
| ONCE_AUTH                            | CHAR      | 1      | Optional            |                        | Represents whether the record was authorized at least once after its creation.<br><br>Authorized(Y)/<br>Never Authorized(N)  |
| STATUS                               | VAR-CHAR2 | 50     | Optional            |                        | Represents the Status<br><br>CHECKSTATUS-MAINTAINED<br>Check Status Maintained<br><br>CHECKBOOKAPPLIED<br>Check Book Applied<br><br>CHECKBOOKEXHAUSTED<br>Check Book Exhausted<br><br>SENTTOVENDOR<br>Sent To Vendor<br><br>DISCREPANCY-NOTICED<br>Discrepancy Noticed<br><br>CANCELLED<br>Check Cancelled |
| REMARKS                              | VAR-CHAR2 | 200    | Optional            |                        | Represents the Remarks   |

## 2.19 Customer Fund Upload Format

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID  |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Mandatory          |                       | Bulk Client Code  |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Mandatory          |                       | Import File Name  |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory          |                       | Mode of operation which has to be performed on the record |
| CIFNUMBER                            | VAR-CHAR2 | 12     | Mandatory          |                       | CIF Number  |
| MEDIA                                | VAR-CHAR2 | 15     | Optional           |                       | Media   |
| LOCATION                             | VAR-CHAR2 | 15     | Optional           |                       | Location  |
| FUNDID                               | VAR-CHAR2 | 6      | Mandatory          |                       | Fund ID   |
| NEWFUNDID                            | VAR-CHAR2 | 6      | Optional           |                       | New Fund ID   |
| USERID                               | VAR-CHAR2 | 15     | Mandatory          |                       | Represents the user id who has entered the latest record. |
| AUTH_STAT                            | VAR-CHAR2 | 1      | Optional           |                       | Authorisation Status. A - Authorised, U - Unauthorised    |
| MODULEID                             | VAR-CHAR2 | 30     | Mandatory          |                       | Module ID   |
| AGENTCODE                            | VAR-CHAR2 | 12     | Mandatory          |                       | Agent Code  |
| BRANCHCODE                           | VAR-CHAR2 | 12     | Mandatory          |                       | Branch Code   |

## 2.20 Customer Address Upload Format

This section contains the following topics:

- [Section 2.20.1, "Segment Identification Number"](#)



## 2.20.1 Segment Identification Number

| Field Name<br>(as expected<br>in the file) | Data type     | Length | Mandatory<br>/Optional | Characteri<br>stics/rules | Values  |
|--|---------------|--------|------------------------|---------------------------|---|
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Optional               |                           | Sequence Number   |
| SEQUENCE-<br>NUMBER                        | NUMBER        | 10     | Mandatory              |                           | Process ID  |
| BULKCLI-<br>ENTCODE                        | VAR-<br>CHAR2 | 12     | Optional               |                           | Bulk Client Code  |
| IMPORTFILE-<br>NAME                        | VAR-<br>CHAR2 | 255    | Optional               |                           | Import File Name  |
| UPLOAD-<br>MODE                            | VAR-<br>CHAR2 | 1      | Mandatory              |                           | Mode of operation<br>which has to be per-<br>formed on the record |
| CIFNUMBER                                  | VAR-<br>CHAR2 | 12     | Mandatory              |                           | CIF Number  |
| MEDIA                                      | VAR-<br>CHAR2 | 15     | Mandatory              |                           | Media   |
| LOCATION                                   | VAR-<br>CHAR2 | 15     | Mandatory              |                           | Location  |
| ADDRESS1                                   | VAR-<br>CHAR2 | 105    | Mandatory              |                           | Address 1   |
| ADDRESS2                                   | VAR-<br>CHAR2 | 105    | Optional               |                           | Address 2   |
| ADDRESS3                                   | VAR-<br>CHAR2 | 105    | Optional               |                           | Address 3   |
| ADDRESS4                                   | VAR-<br>CHAR2 | 105    | Optional               |                           | Address 4   |
| ZIPCODE                                    | VAR-<br>CHAR2 | 10     | Optional               |                           | ZIP Code  |
| COUNTRY                                    | VAR-<br>CHAR2 | 3      | Optional               |                           | Country   |
| CONTACT-<br>PERSON                         | VAR-<br>CHAR2 | 160    | Optional               |                           | Contact Person  |
| TELE-<br>PHONENUM-<br>BER1                 | VAR-<br>CHAR2 | 60     | Optional               |                           | Telephone Number<br>1   |

| Field Name<br>(as expected<br>in the file) | Data type     | Len<br>gth | Mandatory<br>/Optional | Characteri<br>stics/rules | Values   |
|--|---------------|------------|------------------------|---------------------------|--|
| TELE-<br>PHONENUM-<br>BER2                 | VAR-<br>CHAR2 | 60         | Optional               |                           | Telephone Number<br>2  |
| CELL-<br>PHONENUM-<br>BER                  | VAR-<br>CHAR2 | 60         | Optional               |                           | Cellphone Number   |
| ENABLED-<br>FLAG                           | VAR-<br>CHAR2 | 1          | Optional               |                           | Enabled Flag   |
| USERID                                     | VAR-<br>CHAR2 | 15         | Mandatory              |                           | Represents the user<br>id who has entered<br>the latest record |
| AUTH_STAT                                  | VAR-<br>CHAR2 | 1          | Optional               |                           | Represents the time<br>when the record is<br>created           |
| MODULEID                                   | VAR-<br>CHAR2 | 30         | Mandatory              |                           | Represents the<br>module ID                                    |
| AGENTCODE                                  | VAR-<br>CHAR2 | 12         | Mandatory              |                           | Represents the<br>agent code                                   |
| BRANCH-<br>CODE                            | VAR-<br>CHAR2 | 12         | Mandatory              |                           | Represents the<br>branch code                                  |

## 2.21 EMP Upload Format

This section contains the following topics:

- [Section 2.21.1, "Segment Identification Number"](#)
- [Section 2.21.2, "Employer Header Details"](#)
- [Section 2.21.3, "Employer Details"](#)

### 2.21.1 Segment Identification Number

| Segment Identification Number | Description   | Segment Mandatory / Optional? |
|-------------------------------|---|-------------------------------|
| 01HDR                         | This segment is used for providing information related to Employer Header details | Mandatory                     |
| 02DTL                         | This segment is used for providing information related to Employer details        | Mandatory                     |

### 2.21.2 Employer Header Details

Serial Number: 1

Segment Identification Number: 01HDR

Description: This segment is used for providing information related to Employer Header details

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values             |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--------------------|
| SEGMENTID                            | VAR-CHAR2 | 25     | Mandatory          |                       | Segment Id - 01HDR |
| EMPLOYERCODE                         | VAR-CHAR2 | 25     | Mandatory          |                       | Employee Code      |
| EMPLOYERNAME                         | VAR-CHAR2 | 100    | Mandatory          |                       | Employee Name      |
| BANKCODE                             | VAR-CHAR2 | 12     | Mandatory          |                       | Bank Code          |
| BRANCHCODE                           | VAR-CHAR2 | 12     | Mandatory          |                       | Branch Code        |
| ACCOUNTTYPE                          | VAR-CHAR2 | 1      | Mandatory          |                       | Account Type       |
| ACCOUNTNUMBER                        | VAR-CHAR2 | 20     | Mandatory          |                       | Account Number     |
| ACCOUNTNAME                          | VAR-CHAR2 | 100    | Mandatory          |                       | Account Name       |

### 2.21.3 Employer Details

Serial Number: 2

Segment Identification Number: 02DTL

Description: This segment is used for providing information related to Employer details

Segment Mandatory / Optional: Mandatory

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values                        |
|---|-----------|--------|------------------------|---------------------------|-------------------------------|
| SEGMENTID                               | VAR-CHAR2 | 25     | Mandatory              |                           | Segment Id - 02DTL            |
| EMPSUR-NAME                             | VAR-CHAR2 | 100    | Mandatory              |                           | Employee Sur Name             |
| EMPNAME                                 | VAR-CHAR2 | 100    | Mandatory              |                           | Employee Name                 |
| BROKER-CODE                             | VAR-CHAR2 | 25     | Mandatory              |                           | Broker Code                   |
| FUNDID                                  | VAR-CHAR2 | 25     | Mandatory              |                           | Fund Id                       |
| VALUEDATE                               | DATE      | 7      | Mandatory              |                           | Value Date                    |
| UNITHOLD-ERID                           | VAR-CHAR2 | 25     | Mandatory              |                           | UnitHolder Id                 |
| EMPLOYEEID                              | VAR-CHAR2 | 25     | Mandatory              |                           | Employee Id                   |
| EMPLOYERID                              | VAR-CHAR2 | 25     | Mandatory              |                           | Employer Id                   |
| TOTALCONTRIBUTION                       | VAR-CHAR2 | 30     | Mandatory              |                           | Total Contribution            |
| BASICSALARY                             | VAR-CHAR2 | 30     | Mandatory              |                           | Basic Salary                  |
| EFFECTIVEEMPLOYEEPERCENTAGE             | VAR-CHAR2 | 30     | Mandatory              |                           | Effective Employee Percentage |
| EFFECTIVEEMPLOYERPERCENTAGE             | VAR-CHAR2 | 30     | Mandatory              |                           | Effective Employer Percentage |
| ADMINFEEPERCENTAGE                      | VAR-CHAR2 | 30     | Mandatory              |                           | Admin Fee Percentage          |
| ADMINFEE                                | VAR-CHAR2 | 30     | Mandatory              |                           | Admin Fee                     |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                      |
|---|-----------|--------|--------------------|-----------------------|-----------------------------|
| ADMIN-FEEFTER-VAT                       | VAR-CHAR2 | 30     | Mandatory          |                       | Admin Fee after VAT         |
| FLATFEE                                 | VAR-CHAR2 | 30     | Mandatory          |                       | Flat Fee                    |
| BROKER-FEEPERCENTAGE                    | VAR-CHAR2 | 30     | Mandatory          |                       | Broker Percentage           |
| BROKER-FEEAMOUNT                        | VAR-CHAR2 | 30     | Mandatory          |                       | Broker Fee Amount           |
| BROKER-FEEAMOUNTAFTERVAT                | VAR-CHAR2 | 30     | Mandatory          |                       | Broker Fee Amount after VAT |
| TOTALDEDUCTIONS                         | VAR-CHAR2 | 30     | Mandatory          |                       | Total Deductions            |
| NETINVESTMENT                           | VAR-CHAR2 | 30     | Mandatory          |                       | Net Investment              |
| EMPLOYERCONTRIBUTION                    | NUMBER    | 30     | Mandatory          |                       | Employer Contribution       |
| EMPLOYEECONTRIBUTION                    | NUMBER    | 30     | Mandatory          |                       | Employee Contribution       |
| ADDINFO1                                | VAR-CHAR2 | 30     | Mandatory          |                       | Addition Information 1      |
| ADDINFO2                                | VAR-CHAR2 | 30     | Mandatory          |                       | Additional Information 2    |
| ADDINFO3                                | VAR-CHAR2 | 30     | Mandatory          |                       | Additional Information 3    |

## 2.22 FATCA Upload Format

This section contains the following topics:

- [Section 2.22.1, "Segment Identification Number"](#)
- [Section 2.22.2, "FATCA Maintenance Header Details"](#)
- [Section 2.22.3, "FATCA Maintenance Document Details"](#)
- [Section 2.22.4, "GFR Price Upload Format"](#)

## 2.22.1 Segment Identification Number

| Segment Identification Number | Description  | Segment Mandatory / Optional? |
|-------------------------------|--|-------------------------------|
| 00FAT                         | This segment is used for providing information related to FATCA Maintenance header Details   | Mandatory                     |
| 01DOC                         | This segment is used for providing information related to FATCA Maintenance Document Details | Mandatory                     |

## 2.22.2 FATCA Maintenance Header Details

Serial Number: 1

Segment Identification Number: 00FAT

Description: This segment is used for providing information related to FATCA Maintenance header Details

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                       | Segment Id - 00FAT  |
| SEQUENCE-NUMBER                      | NUMBER    | 10     | Mandatory          |                       | Sequence Number   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID  |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Mandatory          |                       | Bulk Client Code  |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Mandatory          |                       | Import File Name  |
| UPLOAD-MODE                          | VAR-CHAR2 | 1      | Mandatory          |                       | Mode of operation which has to be performed on the record |
| FAT-CAREFNO                          | VAR-CHAR2 | 16     | Mandatory          |                       | Represents the FATCA Reference Number                     |
| FATCAENTITYTYPE                      | VAR-CHAR2 | 2      | Mandatory          |                       | Represents the FATCA Entity Type                          |
| FATCAENTITYID                        | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the FATCA Entity ID                            |

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values  |
|--|-----------|--------|------------------------|---------------------------|---|
| FATCAENTITYNAME                            | VAR-CHAR2 | 100    | Mandatory              |                           | Represents the FATCA Entity name                                      |
| FATCAENTITYCATEGORY                        | VAR-CHAR2 | 1      | Mandatory              |                           | Represents the FATCA Entity Category type                             |
| FATCACLASSIFICATION                        | VAR-CHAR2 | 25     | Mandatory              |                           | Represents the FATCA Classification                                   |
| FATCACLASSIFICATIONREASON                  | VAR-CHAR2 | 25     | Mandatory              |                           | Represents the FATCA Classification Reason                            |
| FATCA COMPLAINTREASON                      | VAR-CHAR2 | 255    | Optional               |                           | Represents the FATCA Complaint Reason                                 |
| AUTH_STAT                                  | VAR-CHAR2 | 1      | Mandatory              |                           | Represents the Authorisation Status. A- Authorised, U - Unauthorised. |
| USERID                                     | VAR-CHAR2 | 15     | Mandatory              |                           | Represents the user id who has entered the latest record.             |
| REPORTABLE                                 | NUMBER    | 1      | Optional               |                           | FATCA Reportable - Yes/No   |
| WITHHOLDABLE                               | NUMBER    | 1      | Optional               |                           | FATCA Withholdable - Yes/No   |

### 2.22.3 **FATCA Maintenance Document Details**

Serial Number: 2

Segment Identification Number: 01DOC

Description: This segment is used for providing information related to FATCA Maintenance Document Details

Segment Mandatory / Optional: Mandatory

| Field Name<br>(as expected in<br>the file) | Data<br>type | Length | Mandator<br>y/<br>Optional | Charact<br>eristics/<br>rules | Values             |
|--|--------------|--------|----------------------------|-------------------------------|--------------------|
| SEGMENTID                                  | VAR-CHAR2    | 20     | Mandator<br>y              |                               | Segment Id - 01DOC |

| Field Name<br>(as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values   |
|---|-----------|--------|---------------------|------------------------|--|
| SEQUENCENUMBER                          | NUMBER    | 10     | Mandatory           |                        | Sequence Number  |
| PROCESSID                               | VARCHAR2  | 50     | Mandatory           |                        | Process ID   |
| FATCAREFNO                              | VARCHAR2  | 16     | Mandatory           |                        | FATCA Reference number   |
| DOCUMENTTYPE                            | VARCHAR2  | 25     | Mandatory           |                        | Represents the FATCA document type.  |
| ISSUEDATE                               | DATE      | 10     | Mandatory           |                        | Represents the document issue date   |
| EXPIRYDATE                              | DATE      | 10     | Mandatory           |                        | Represents the document expiry date  |
| COPYRECEIVED                            | VARCHAR2  | 1      | Mandatory           |                        | Represents the Flag whether the document copy is received or not. Y- Yes, N- No            |
| ORIGINALRECEIVED                        | VARCHAR2  | 1      | Mandatory           |                        | Represents the Flag whether the document original is received or not. Y- Yes, N- No        |
| RECEIVEDDATE                            | DATE      | 10     | Mandatory           |                        | Represents the document received date  |
| REMARKS                                 | VARCHAR2  | 255    | Mandatory           |                        | Represents the remarks   |
| CHASINGDATE                             | DATE      | 10     | Mandatory           |                        | Represents the document Chasing date   |
| DOCUMENTREFNO                           | VARCHAR2  | 255    | Mandatory           |                        | Represents the document reference number   |
| DOCCATEGORY                             | VARCHAR2  | 25     | Mandatory           |                        | Represents the document category   |
| DOCUMENTID                              | VARCHAR2  | 25     | Mandatory           |                        | Represents the document ID   |
| MANDATORY                               | VARCHAR2  | 1      | Mandatory           |                        | Represents the mandatory Criteria. Either it could be 'Standard' - S nor 'Additional' - A. |



## 2.22.4 GFR Price Upload Format

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEQUENCENUMBER                       | NUMBER    | 10     | Mandatory          |                       | Represents the Sequence Number                            |
| PROCESID                             | VAR-CHAR2 | 50     | Mandatory          |                       | Represents the Process ID                                 |
| BULKCLIENTCODE                       | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the Bulk Client Code                           |
| IMPORTFILENAME                       | VAR-CHAR2 | 255    | Mandatory          |                       | Represents the Import File Name                           |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory          |                       | Mode of operation which has to be performed on the record |
| FUNDID                               | VAR-CHAR2 | 12     | Mandatory          |                       | Represents the Fund ID                                    |
| PRICEDATE                            | DATE      | 7      | Mandatory          |                       | Represents the Price Date                                 |
| REVISEDPRICE                         | NUMBER    | 17     | Mandatory          |                       | Represents the revised Price                              |
| LATESTPRICE                          | NUMBER    | 1      | Mandatory          |                       | Represents the latest Price                               |
| USERID                               | VAR-CHAR2 | 15     | Mandatory          |                       | Represents the user ID who has entered the latest record. |
| RUNTYPE                              | VAR-CHAR2 | 4      | Mandatory          |                       | Represents the run type                                   |
| MOCKRUN                              | VAR-CHAR2 | 1      | Mandatory          |                       | Flag to represent the mock run                            |

## 2.23 GIIN Upload Format

This section contains the following topics:

- [Section 2.23.1, "Segment Identification Number"](#)

### 2.23.1 Segment Identification Number

| Field Name (as expected in the file) | Data type      | Length | Mandatory/ Optional | Characteristics/ rules | Values                      |
|--------------------------------------|----------------|--------|---------------------|------------------------|-----------------------------|
| SEQUENCENUMBER                       | NUMBER(10)     |        | Yes                 | 22                     | Sequence Number             |
| PROCESSID                            | VAR-CHAR2(50)  |        | Yes                 | 200                    | Process ID                  |
| IMPORTFILENAME                       | VAR-CHAR2(255) |        | Yes                 | 1020                   | Import File Name            |
| GIIN                                 | VAR-CHAR2(19)  |        | Yes                 | 76                     | GIIN Code to be imported    |
| FINM                                 | VAR-CHAR2(30)  |        | Yes                 | 120                    | Describes the name field    |
| COUNTRYNM                            | VAR-CHAR2(30)  |        | Yes                 | 120                    | Describes the country field |

## 2.24 Help Desk Upload

This section contains the following topics:

- [Section 2.24.1, "Segment Identification Number"](#)
- [Section 2.24.2, "Help Desk Information"](#)
- [Section 2.24.3, "Help Desk Information"](#)

### 2.24.1 Segment Identification Number

| Segment Identification Number | Description   | Segment Mandatory/ Optional? |
|-------------------------------|---|------------------------------|
| 00HDM                         | This segment is used for providing information related to Help desk | Mandatory                    |
| 01HDE                         | This segment is used for providing information related to Help desk | Mandatory                    |

### 2.24.2 Help Desk Information

Serial Number: 1

Segment Identification Number: 00HDM

Description: This segment is used for providing information related to Help desk

## Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory / Optional | Characteristics/ rules | Values  |
|--------------------------------------|-----------|--------|----------------------|------------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory            |                        | Segment ID.Value should be 00HDM                          |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory            |                        | Sequence Number   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory            |                        | Process ID  |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Mandatory            |                        | Bulk Client Code  |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Mandatory            |                        | Import File Name  |
| UPLOADMODE                           | VAR-CHAR2 | 10     | Mandatory            |                        | Mode of operation which has to be performed on the record |
| HELP-DESKREFNO                       | VAR-CHAR2 | 12     | Optional             |                        | Help desk Reference Number                                |
| REPORTING-ENTITYTYPE                 | VAR-CHAR2 | 30     | Mandatory            |                        | Reporting Entity Type                                     |
| REPORTING-ENTITYID                   | VAR-CHAR2 | 12     | Mandatory            |                        | Reporting Entity ID                                       |
| QUERYTYPE                            | VAR-CHAR2 | 10     | Mandatory            |                        | Query Type  |
| QUERYSEVERITY                        | VAR-CHAR2 | 10     | Mandatory            |                        | Query Severity  |
| QUERYCATEGORY                        | VAR-CHAR2 | 30     | Mandatory            |                        | Query Category  |
| PROBLEMDESCRIPTION                   | VAR-CHAR2 | 255    | Mandatory            |                        | Problem Description                                       |
| ATTENDED BY                          | VAR-CHAR2 | 15     | Mandatory            |                        | Attended By   |
| HELPDESKSTATUS                       | VAR-CHAR2 | 10     | Mandatory            |                        | Help desk Status  |
| USERID                               | VAR-CHAR2 | 15     | Mandatory            |                        | Represents the user ID who has entered the latest record. |
| MODULEID                             | VAR-CHAR2 | 30     | Mandatory            |                        | Represents the module ID                                  |

| Field Name (as expected in the file) | Data type | Length | Mandatory / Optional | Characteristics/ rules | Values                    |
|--------------------------------------|-----------|--------|----------------------|------------------------|---------------------------|
| AMCID                                | VAR-CHAR2 | 12     | Mandatory            |                        | Represents the agent code |

### 2.24.3 Help Desk Information

Serial Number: 2

Segment Identification Number: 01HDE

Description: This segment is used for providing information related to Help desk

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/ Optional | Characteristics/ rules | Values                           |
|--------------------------------------|-----------|--------|---------------------|------------------------|----------------------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory           |                        | Segment ID.Value should be 01HDE |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory           |                        | Sequence Number                  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory           |                        | Process ID                       |
| HELP-DESKREFNO                       | VAR-CHAR2 | 12     | Optional            |                        | Help desk Reference Number       |
| EVENTDATE                            | DATE      | 7      | Mandatory           |                        | Event Date                       |
| HELPDESK-MODE                        | VAR-CHAR2 | 1      | Mandatory           |                        | Help Desk Mode                   |
| EVENTMODE                            | VAR-CHAR2 | 1      | Mandatory           |                        | Event Mode                       |
| EVENTDESCRIPTION                     | VAR-CHAR2 | 255    | Mandatory           |                        | Event Description                |

## 2.25 Gen EPU Upload Format

This section contains the following topics:

- [Section 2.25.1, "Segment Identification Number"](#)

## 2.25.1 Segment Identification Number

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values  |
|--------------------------------------|-----------|--------|---------------------|------------------------|---|
| PROCES-SID                           | VARCHAR2  | 50     | Mandatory           |                        | Process id  |
| FUNDID                               | VARCHAR2  | 6      | Mandatory           |                        | Dividend Distribution Fund ID   |
| DIVIDEND-FROMDATE                    | DATE      | 7      | Optional            |                        | Dividend Period - From Date<br>If not provided, defaulted to Dividend Declaration Date.   |
| DIVIDEND-TO-DATE                     | DATE      | 7      | Optional            |                        | Dividend Period - To Date<br>If not provided, defaulted to Dividend Declaration Date.     |
| DIVIDEND-FREEZEHOLDINGS-DATE         | DATE      | 7      | Optional            |                        | Dividend Freeze Holdings Date<br>If not provided, defaulted to Dividend Declaration Date. |
| DIVIDEND-DECLARATIONDATE             | DATE      | 7      | Mandatory           |                        | Dividend Declaration Date for the Fund,   |

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Characteristics/ rules | Values   |
|--------------------------------------|-----------|--------|---------------------|------------------------|--|
| COMPONENTVALUES                      | VARCHAR2  | 2000   | Optional            |                        | <p>Component Values with Component ID as   separator.</p> <p>For instance, ID .10;FD .22;FI .12;LI .32;TA -.2;</p> <p>Pls note that tax/ deduction needs to be provided with - ve sign, system derives EPU and it will be summation of the components.</p> <p>Optional, Then EPU to be provided in the below field</p> |
| EPU                                  | NUMBER    | 22     | Optional            |                        | Earnings Per Unit. If the Components are provided this will be ignored   |
| PAYMENT-DATE                         | DATE      | 7      | Optional            |                        | <p>Dividend Payment Date for the Fund</p> <p>If not provided, while processing dividend system considers application date when the batch executed.</p>   |
| REINVESTMENTDATE                     | DATE      | 7      | Optional            |                        | <p>Dividend Reinvestment Date for the Fund.</p> <p>If not provided, while processing reinvestments system considers application date when the batch executed as reinvestment date.</p>   |
| NPICOMPONENT                         | VARCHAR2  | 1      | Mandatory           |                        | NPI Component for the fund   |

## 2.26 **Bank Statement Upload Format**

This section contains the following topics:

- [Section 2.26.1, "Segment Identification Number"](#)

## 2.26.1 Segment Identification Number

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| KEYSTRING                            | VAR-CHAR2 | 50     | No                 |                       | Keystring   |
| STATEMENT-TYPE                       | VAR-CHAR2 | 7      | Yes                |                       | Statement type  |
| TRANSACTION-DATE                     | VAR-CHAR2 | 10     | Yes                |                       | Transaction date field. If transaction type ref field is branch, this field should be valid branch number. If transaction ref type field is acc-no then transaction date field should be a valid account number else transaction date should be a valid date. |
| TRANSACTION-TYPEREF                  | VAR-CHAR2 | 9      | Yes                |                       | Transaction type ref. This field should have BRANCH, ACC-NO, OPEN, CLOSE as mandatory . Other transaction types also can be included.   |
| CREDITORDEBIT                        | VAR-CHAR2 | 1      | Yes                |                       | Credit or debit. Credit is + and debit is -   |
| TRANSACTION-AMOUNT                   | VAR-CHAR2 | 17     | Yes                |                       | Transaction amount  |
| STATEMENT-REFERENCE                  | VAR-CHAR2 | 41     | Yes                |                       | Statement reference   |
| TRANSACTION-CODE                     | VAR-CHAR2 | 7      | Yes                |                       | Transaction code  |
| SERVICEFEE                           | VAR-CHAR2 | 6      | Yes                |                       | Service fee   |

## 2.27 Bulk GL Setup Upload Format

This section contains the following topics:

- [Section 2.27.1, "Segment Identification Number"](#)



## 2.27.1 Segment Identification Number

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                               |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--------------------------------------|
| PROCESSID                            | VAR-CHAR2 | 200    | Mandatory          |                       | Process ID                           |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 48     | Mandatory          |                       | Bulk Client Code                     |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 1020   | Mandatory          |                       | Import File Name                     |
| FUNDID                               | VAR-CHAR2 | 24     | Optional           |                       | Valid FundID in FCIS                 |
| GLTEMPLATEID                         | VAR-CHAR2 | 40     | Mandatory          |                       | Valid GL Template ID                 |
| ACCOUNT-TYPE                         | VAR-CHAR2 | 8      | Mandatory          |                       | Valid Account Type                   |
| BUSINESSEVENT                        | VAR-CHAR2 | 8      | Mandatory          |                       | Valid Business event                 |
| TRIGGEREVENT                         | VAR-CHAR2 | 60     | Mandatory          |                       | Valid Trigger Event                  |
| THEROITICAL-FLAG                     | VAR-CHAR2 | 4      | Mandatory          |                       | Theoritcak Flag, Yes/No              |
| SETUPENABLED                         | VAR-CHAR2 | 4      | Mandatory          |                       | GL setup enable disable flag, Yes/No |
| COMPONENTID                          | VAR-CHAR2 | 80     | Mandatory          |                       | GL Component ID                      |
| COMPONENT-DESCRIPTION                | VAR-CHAR2 | 1020   | Mandatory          |                       | GL Component Description             |
| DEBITCREDIT-FLAG                     | VAR-CHAR2 | 8      | Mandatory          |                       | Debit or Credit Flag                 |
| GLSETUPFOR-PRIMARY                   | VAR-CHAR2 | 4      | Mandatory          |                       | GL Setup for Primary                 |
| PRIMARYENTITYTYPE                    | VAR-CHAR2 | 4      | Mandatory          |                       | Primary Entity Type                  |
| ACCOUNT-NUMBER                       | VAR-CHAR2 | 20     | Mandatory          |                       | GL Account number for Primary        |
| ACCOUNT-CURRENCY                     | VAR-CHAR2 | 12     | Mandatory          |                       | GL Account Currency for Primary      |
| TRANSACTIONCURRENCY                  | VAR-CHAR2 | 12     | Mandatory          |                       | Transaction Currency                 |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| BANKBRANCH                           | VAR-CHAR2 | 48     | Optional           |                       | Bank Branch   |
| DIRECTDEBITAPPLICABLE                | VAR-CHAR2 | 4      | Mandatory          |                       | Direct Debit applicable Yes/No                            |
| GLSETUP-FORCONTRA                    | VAR-CHAR2 | 4      | Mandatory          |                       | GL Setup for Contra                                       |
| CONTRAPRIMARYENTITY-TYPE             | VAR-CHAR2 | 4      | Mandatory          |                       | Contra Entity Type  |
| CONTRAAC-COUNTNUMBER                 | VAR-CHAR2 | 64     | Mandatory          |                       | Contra GL Account Number                                  |
| CONTRAAC-COUNTCURRENCY               | VAR-CHAR2 | 12     | Mandatory          |                       | Contra GL Account Currency                                |
| CONTRATRANSACTIONCURRENCY            | VAR-CHAR2 | 12     | Mandatory          |                       | Contra Transaction Currency                               |
| CONTRABANKBRANCH                     | VAR-CHAR2 | 48     | Optional           |                       | Contra Bank Branch  |
| CONTRADIRECTDEBITAPPLICABLE          | VAR-CHAR2 | 4      | Mandatory          |                       | Contra Direct Debit Applicable Yes/No                     |
| RESTRICTED-REFTYPES                  | VAR-CHAR2 | 1020   | Optional           |                       | Restricted Ref types for the GL setup(Delimited with ':') |
| GLCURRENCY                           | VAR-CHAR2 | 12     | Mandatory          |                       | GL Currency   |
| GLREFERENCENUMBER                    | VAR-CHAR2 | 40     | Mandatory          |                       | GL Reference Number                                       |
| PRODUCTID                            | VAR-CHAR2 | 40     | Optional           |                       | Valid FCIS ProductID                                      |
| PRODUCTORFUNDLEVEL                   | VAR-CHAR2 | 4      | Mandatory          |                       | Indicates the GL setup is at fund level or product level  |
| MODEODUPLoad                         | VAR-CHAR2 | 4      | Mandatory          |                       | Upload Mode   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| AUTH_STAT                            | VAR-CHAR2 | 4      | Optional           |                       | Auth Status   |
| RESTRICTED-SUBTYPES                  | VAR-CHAR2 | 1020   | Optional           |                       | Restricted sub types for the GL setup(Delimited with ':') |

## 2.28 Bulk FSA Upload Format

This section contains the following topics:

- [Section 2.28.1, "Segment Identification Number"](#)

### 2.28.1 Segment Identification Number

| Field Name (as expected in the file) | Data Type | Length | Mandatory/Optional | Description  |
|--------------------------------------|-----------|--------|--------------------|--|
| SEQUENCENUMBER                       | NUMBER    | 22     | Mandatory          | Sequence Number  |
| PROCESSID                            | VARCHAR2  | 50     | Mandatory          | Process ID   |
| BULKCLIENTCODE                       | VARCHAR2  | 12     | Mandatory          | Bulk Client code   |
| IMPORTFILENAME                       | VARCHAR2  | 255    | Optional           | Import File Name   |
| UPLOADMODE                           | VARCHAR2  | 1      | Mandatory          | Upload Mode  |
| FSAREGISTRATION-NUMBER               | VARCHAR2  | 8      | Mandatory          | FSA Registration Number                                  |
| FSAREGISTRATION-STATUS               | VARCHAR2  | 1      | Mandatory          | FSA Registration Status                                  |
| HOLDCLIENTMONEY                      | NUMBER    | 1      | Mandatory          | Hold Client Money  |
| ENTITYID                             | VARCHAR2  | 12     | Mandatory          | Entity ID  |
| ENTITYTYPE                           | VARCHAR2  | 1      | Mandatory          | Entity Type  |
| USERID                               | VARCHAR2  | 15     | Mandatory          | Represents the user ID who has entered the latest record |
| MODULEID                             | VARCHAR2  | 12     | Mandatory          | Module ID  |

## 2.29 GO Confirmation Upload Format

This section contains the following topics:

- [Section 2.29.1, "Segment Identification Number"](#)

## 2.29.1 Segment Identification Number

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| TXNSERIAL-NUMBER                     | NUMBER    | 22     | Mandatory          |                       | Specifies the Transaction Serial Number                      |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory          |                       | Specifies the Sequence Number                                |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Specifies the Process ID                                     |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional           |                       | Specifies the Bulk Client code                               |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Optional           |                       | Specifies the Import File Name                               |
| AMCID                                | VAR-CHAR2 | 12     | Mandatory          |                       | Specifies the AMC of the Global order                        |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory          |                       | Upload Mode. Value should be 'N'                             |
| GONUMBER                             | VAR-CHAR2 | 16     | Mandatory          |                       | Specifies the GO Number of the record                        |
| ALLOTTEDUNITS                        | NUMBER    | 22     | Mandatory          |                       | Specifies the Alloted units of Global order                  |
| CONFIRME-DUNITS                      | NUMBER    | 22     | Mandatory          |                       | Specifies the Confirmed units of Global order                |
| UNITPRICE                            | NUMBER    | 22     | Mandatory          |                       | Specifies the unit Price used for allocation of Global order |
| CONFIRMATIONDATE                     | DATE      | 7      | Mandatory          |                       | Specifies the Confirmation date of Global order              |
| PRICEDATE                            | DATE      | 7      | Mandatory          |                       | Specifies the Price date of Global order                     |
| AMOUNT                               | VAR-CHAR2 | 22     | Mandatory          |                       | Specifies the Amount confirmed of Global order               |

## 2.30 Fund Upload Format

This section contains the following topics:

- Section 2.30.1, "Segment Identification Number"
- Section 2.30.2, "Fund Demographics Details"
- Section 2.30.3, "Fund Language Details"
- Section 2.30.4, "Shares Characteristics Details"
- Section 2.30.5, "Fund Unitholder Category Registered Capital Details"
- Section 2.30.6, "General Operating Rules"
- Section 2.30.7, "Transaction Processing Rules Details"
- Section 2.30.8, "Transaction Processing Rules Communication Mode Details"
- Section 2.30.9, "Fund Investor Category Transaction Limits Details"
- Section 2.30.10, "Fund SI Frequency Details"
- Section 2.30.11, "Fund Sale Country Details"
- Section 2.30.12, "Fund Residency Country Details"
- Section 2.30.13, "Fund Transaction Currency Details"
- Section 2.30.14, "Income Distribution Rule Details"
- Section 2.30.15, "Fund Load Maintenance Details"
- Section 2.30.16, "Fund Formula Maintenance Details"
- Section 2.30.17, "Fund Additional Information Details"
- Section 2.30.18, "Specific Fund Price Date Setup Details"
- Section 2.30.19, "Fund User Defined Price Dates Details"
- Section 2.30.20, "Fund IRA Details"
- Section 2.30.21, "Fund Delivery Instruction Details"
- Section 2.30.22, "Fund Investment Account Details"
- Section 2.30.23, "Fund investment Account SI Details"
- Section 2.30.24, "KYC Fund Details"
- Section 2.30.25, "Hedge Fund Rules Details"
- Section 2.30.26, "Hedge Fund Link Details"
- Section 2.30.27, "Hedge Fund Investor Category Performance Fee Details"
- Section 2.30.28, "Multi Distribution Details"
- Section 2.30.29, "Reinvestment Details Format"
- Section 2.30.30, "Fund price currencies"

## 2.30.1 Segment Identification Number

| <b>Segment Identification Number</b> | <b>Description</b>  | <b>Segment Mandatory / Optional?</b> |
|--------------------------------------|---|--------------------------------------|
| 00FDR                                | This segment is used for providing information related to Fund Demographics                               | Mandatory                            |
| 01FLT                                | This segment is used for providing information related to Fund Language                                   | Optional                             |
| 02SCR                                | This segment is used for providing information related to Shares Characteristics                          | Mandatory                            |
| 03FUH                                | This segment is used for providing information related to Fund Unitholder Category Registered Capital     | Optional                             |
| 04GOR                                | This segment is used for providing information related to General Operating Rules                         | Mandatory                            |
| 05TRP                                | This segment is used for providing information related to Transaction Processing Rules                    | Mandatory                            |
| 06TRC                                | This segment is used for providing information related to Transaction Processing Rules Communication Mode | Optional                             |
| 07FIC                                | This segment is used for providing information related to Fund Investor Category Transaction Limits       | Optional                             |
| 08FSF                                | This segment is used for providing information related to Fund SI Frequency Details                       | Optional                             |
| 09FSC                                | This segment is used for providing information related to Fund Sale Country                               | Optional                             |
| 10FRL                                | This segment is used for providing information related to Fund Residency Country                          | Optional                             |
| 11FTC                                | This segment is used for providing information related to Fund Transaction Currency                       | Optional                             |
| 12IDR                                | This segment is used for providing information related to Income Distribution Rule                        | Optional                             |
| 13FLM                                | This segment is used for providing information related to Fund Load Maintenance                           | Optional                             |

| <b>Segment Identification Number</b> | <b>Description</b>   | <b>Segment Mandatory / Optional?</b> |
|--------------------------------------|--|--------------------------------------|
| 14FFM                                | This segment is used for providing information related to Fund Formula Maintenance                     | Optional                             |
| 15FAI                                | This segment is used for providing information related to Fund Additional Information                  | Optional                             |
| 16SDS                                | This segment is used for providing information related to Specific Fund Price date Setup               | Optional                             |
| 17FPD                                | This segment is used for providing information related to Fund User Defined Price Dates                | Optional                             |
| 18IRA                                | This segment is used for providing information related to Fund IRA                                     | Optional                             |
| 19FDI                                | This segment is used for providing information related to Fund Delivery Instruction                    | Optional                             |
| 20FIA                                | This segment is used for providing information related to Fund Investment Account                      | Optional                             |
| 21FIS                                | This segment is used for providing information related to Fund investment Account SI                   | Optional                             |
| 22KYC                                | This segment is used for providing information related to KYC Fund                                     | Optional                             |
| 23HFR                                | This segment is used for providing information related to Hedge Fund Rules                             | Optional                             |
| 24HFL                                | This segment is used for providing information related to Hedge Fund Link                              | Optional                             |
| 25HFC                                | This segment is used for providing information related to Hedge Fund Investor Category Performance Fee | Optional                             |
| 26MID                                | This segment is used for providing information related to Multi Distribution Details                   | Optional                             |

### **2.30.2 Fund Demographics Details**

Serial Number: 1

Segment Identification Number: 00FDR

Description: This segment is used for providing information related to Fund Demographics

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                       | Segment Id - 00FDR   |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory          |                       | Sequence Number  |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID   |
| BULKCLIENT-CODE                      | VAR-CHAR2 | 12     | Optional           |                       | Bulk Client Code   |
| IMPORTFILE-NAME                      | VAR-CHAR2 | 255    | Optional           |                       | Import File Name   |
| UPLOADMODE                           | VAR-CHAR2 | 1      | Mandatory          |                       | Operation mode which will be performed on the Upload records. It can have N-New,D-Delete,A-Auth & E-Modify |
| USerID                               | VAR-CHAR2 | 15     | Mandatory          |                       | Represents the user id who has entered the latest record   |
| FUNDID                               | VAR-CHAR2 | 6      | Optional           |                       | Fund ID  |
| RULEEFFECTIVEDATE                    | DATE      | 7      | Mandatory          |                       | Rule Effective Date  |
| FUNDSTART-DATE                       | DATE      | 7      | Mandatory          |                       | Fund Start Date  |
| FUNDNAME                             | VAR-CHAR2 | 60     | Mandatory          |                       | Fund Name  |
| FUND-NAMESHORT                       | VAR-CHAR2 | 15     | Mandatory          |                       | Fund Name Short  |
| FUNDCLASS                            | VAR-CHAR2 | 1      | Optional           |                       | Fund Class. O - Open-Ended, C - Close-Ended  |
| FUNDTYPE                             | NUMBER    | 22     | Optional           |                       | Fund Type  |
| FUNDFAMILY                           | VAR-CHAR2 | 6      | Optional           |                       | Fund Family  |
| FUNDBASE-CURRENCY                    | VAR-CHAR2 | 3      | Optional           |                       | Fund Base Currency   |



| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                                   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| FUNDCOUNTRY                          | VAR-CHAR2 | 3      | Optional           |                       | Fund Country                             |
| FISCALSTART-YEAR                     | DATE      | 7      | Optional           |                       | Fiscal Year Start Date                   |
| FISCALEND-YEAR                       | DATE      | 7      | Optional           |                       | Fiscal Year End Date                     |
| AMCID                                | VAR-CHAR2 | 12     | Optional           |                       | AMC ID                                   |
| BROKERSALLOWED                       | NUMBER    | 22     | Optional           |                       | Brokers Mandatory? 1 - Yes, 0 - No       |
| REGISTRARID                          | VAR-CHAR2 | 12     | Optional           |                       | Registrar ID                             |
| TRUSTEEID                            | VAR-CHAR2 | 12     | Optional           |                       | Trustee ID                               |
| FUNDENABLED                          | NUMBER    | 22     | Optional           |                       | Fund Enabled? 1 - Yes, 0 - No            |
| MINHOLDING-PERIOD                    | NUMBER    | 22     | Optional           |                       | Minimum Holding Period                   |
| PRIMARYREGADDRESS                    | VAR-CHAR2 | 255    | Optional           |                       | Primary Registration Address             |
| FUNDTAXID                            | VAR-CHAR2 | 25     | Optional           |                       | Fund Tax ID                              |
| FUNDIDENTIFICATIONNUMBER             | VAR-CHAR2 | 25     | Optional           |                       | Fund Identification Number               |
| FUNDTICKER-SYMBOL                    | VAR-CHAR2 | 25     | Optional           |                       | Fund Ticker Symbol                       |
| ALLOWIRAINVESTMENTS                  | NUMBER    | 22     | Optional           |                       | IRA Investments Allowed? 1 - Yes, 0 - No |
| EXISTING-FUND                        | VAR-CHAR2 | 1      | Optional           |                       | Existing Fund ? 1 - Yes, 0 - No          |
| CUSTODIAN                            | VAR-CHAR2 | 12     | Optional           |                       | Custodian                                |
| FUNDSUSPENDED                        | NUMBER    | 22     | Optional           |                       | Fund Suspended ? 1 - Yes, 0 - No         |
| MINAMOUNT-FORTAX-IDINDV              | NUMBER    | 22     | Optional           |                       | Min Amt for Tax ID-Indv                  |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                       |
|--------------------------------------|-----------|--------|--------------------|-----------------------|------------------------------|
| MINAMOUNT-FORTAXIDCOR                | NUMBER    | 22     | Optional           |                       | Min Amt for Tax ID-Corp      |
| HEDGEFUND                            | VAR-CHAR2 | 1      | Optional           |                       | Hedge Fund ? Y - Yes, N - No |
| DEFAULTOPTION                        | VAR-CHAR2 | 15     | Optional           |                       | Default Option               |

### 2.30.3 Fund Language Details

Serial Number: 2

Segment Identification Number: 01FLT

Description: This segment is used for providing information related to Fund Language

Segment Mandatory / Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values              |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                       | Segment Id - 01FLT  |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Optional           |                       | Fund ID             |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID          |
| FUNDID                               | VAR-CHAR2 | 6      | Mandatory          |                       | Rule Effective Date |
| RULEEFFECTIVEDATE                    | DATE      | 7      | Mandatory          |                       | Language Code       |
| LANGUAGE-CODE                        | VAR-CHAR2 | 12     | Mandatory          |                       | Sequence Number     |
| FUNDNAME                             | VAR-CHAR2 | 60     | Optional           |                       | Fund Name           |

### 2.30.4 Shares Characteristics Details

Serial Number: 3

Segment Identification Number: 02SCR

Description: This segment is used for providing information related to Shares Characteristics

Segment Mandatory / Optional: Mandatory

| Field Name<br>(as expected<br>in the file) | Data type     | Length | Mandatory/<br>Optional | Charac<br>teristic<br>s/rules | Values  |
|--|---------------|--------|------------------------|-------------------------------|---|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                               | Segment Id- 02SCR   |
| SEQUENCE-<br>NUMBER                        | NUMBER        | 22     | Optional               |                               | Sequence Number   |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                               | Process ID  |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Mandatory              |                               | Fund ID   |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Optional               |                               | Rule Effective Date   |
| SCRIBASED                                  | NUMBER        | 22     | Optional               |                               | ScripBased. 2 - Cer-<br>tificate -Option, 1 -<br>Scrip Based, 0 - Scrip<br>Less |
| PARVALUE                                   | NUMBER        | 22     | Optional               |                               | Unit Par Value  |
| NOOFDECI-<br>MALSFO-<br>RUNIT              | NUMBER        | 22     | Optional               |                               | Rounding Precision<br>For Units   |
| ROUND-<br>OFFTRUN-<br>CATE                 | VAR-<br>CHAR2 | 1      | Optional               |                               | Rounding Option For<br>Units. R - Round Off,<br>T - Round Down, U -<br>Round Up |
| MINNOOFU-<br>NITHOLDERS                    | NUMBER        | 22     | Optional               |                               | Min No Of Unit Hold-<br>ers   |
| MAXNOOFU-<br>NITHOLDERS                    | NUMBER        | 22     | Optional               |                               | Max No Of Unit Hold-<br>ers   |
| MINREGIS-<br>TEREDCAPI-<br>TAL             | NUMBER        | 22     | Optional               |                               | Min Registered Capi-<br>tal   |
| MAXREGIS-<br>TEREDCAPI-<br>TAL             | NUMBER        | 22     | Optional               |                               | Max Registered Capi-<br>tal   |
| CAPINDICA-<br>TOR                          | VAR-<br>CHAR2 | 1      | Optional               |                               | Limit By. A - Amount,<br>P - Percentage   |
| FOREIGNIN-<br>VESTORS-<br>LIMIT            | NUMBER        | 22     | Optional               |                               | Limit Value   |

| Field Name<br>(as expected<br>in the file) | Data type     | Length | Mandatory/<br>Optional | Charac<br>teristic<br>s/rules | Values   |
|--|---------------|--------|------------------------|-------------------------------|--|
| ACCOUNT-<br>STATEMENT-<br>FREQ             | VAR-<br>CHAR2 | 20     | Optional               |                               | Account statement<br>frequency                     |
| ACCOUNT-<br>STATE-<br>MENTLEADTI<br>ME     | NUMBER        | 22     | Optional               |                               | A/c Statement Lead<br>Time                         |
| PRINTAC-<br>COUNT-<br>STATEMENT            | NUMBER        | 22     | Optional               |                               | Print Account State-<br>ment                       |
| PRINTHOLD-<br>INGSSTATE-<br>MENT           | NUMBER        | 22     | Optional               |                               | Print Holding State-<br>ment                       |
| LASTSTATE-<br>MENTNUM-<br>BER              | NUMBER        | 22     | Optional               |                               | Last Statement Num-<br>ber                         |
| STANDARD-<br>LOT                           | NUMBER        | 22     | Optional               |                               | Standard Lot                                       |
| PREFIXCERT-<br>CODE                        | VAR-<br>CHAR2 | 1      | Optional               |                               | Prefix Code  |
| STARTCER-<br>TISSUEDNO                     | NUMBER        | 22     | Optional               |                               | Start Issue No                                     |
| LASTCERTIS-<br>SUEDNO                      | NUMBER        | 22     | Optional               |                               | Last Issue No                                      |
| PREFIXDNR-<br>CODE                         | VAR-<br>CHAR2 | 1      | Optional               |                               | Prefix DNR Code                                    |
| STARTDNRIS-<br>SUEDNO                      | NUMBER        | 22     | Optional               |                               | Start DNR No.                                      |
| LASTDNRIS-<br>SUEDNO                       | NUMBER        | 22     | Optional               |                               | Last DNR No.                                       |
| SINGLECER-<br>TALLOWED                     | NUMBER        | 22     | Optional               |                               | Single Certificate<br>Allowed ? 1 - Yes, 0 -<br>No |
| MINCERTDE-<br>NOMINATION                   | NUMBER        | 22     | Optional               |                               | Min Cert Denomina-<br>tion                         |
| MAXCERTDE-<br>NOMINATION                   | NUMBER        | 22     | Optional               |                               | Max Cert Denomina-<br>tion                         |
| USEROVER-<br>RIDEAL-<br>LOWED              | NUMBER        | 22     | Optional               |                               | User Override<br>Allowed                           |

| Field Name<br>(as expected<br>in the file) | Data type     | Length | Mandatory/<br>Optional | Charac<br>teristic<br>s/rules | Values   |
|--|---------------|--------|------------------------|-------------------------------|--|
| CERTREQD                                   | NUMBER        | 22     | Optional               |                               | Certificate Required<br>For Block ? 1 - Yes, 0<br>- No |
| MAXREGIS-<br>TEREDUNITS                    | NUMBER        | 22     | Optional               |                               | Maximum Registered<br>Units                            |
| UHCATREG-<br>CAPITAL                       | VAR-<br>CHAR2 | 2000   | Optional               |                               | Unit Holder Category<br>Registered Capital             |

### 2.30.5 Fund Unitholder Category Registered Capital Details

Serial Number: 4

Segment Identification Number: 03FUH

Description: This segment is used for providing information related to Fund Unitholder Category Registered Capital

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Leng<br>th | Mandatory/<br>Optional | Characte<br>ristics/<br>rules | Values                      |
|--|---------------|------------|------------------------|-------------------------------|-----------------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20         | Mandatory              |                               | Segment ID - 03FUH          |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22         | Mandatory              |                               | Sequence Number             |
| PROCESSID                                  | VAR-<br>CHAR2 | 50         | Mandatory              |                               | Process ID                  |
| FUNDID                                     | VAR-<br>CHAR2 | 6          | Optional               |                               | Fund ID                     |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7          | Mandatory              |                               | Rule Effective Date         |
| UHCATE-<br>GORY                            | VAR-<br>CHAR2 | 2          | Mandatory              |                               | UnitHolder Category         |
| MAXRE-<br>GUNITS                           | NUM-<br>BER   | 22         | Optional               |                               | Maximum Registered<br>Units |

### 2.30.6 General Operating Rules

Serial Number: 5

Segment Identification Number: 04GOR

Description: This segment is used for providing information related to General Operating Rules

## Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                       | Segment Id - 04GOR  |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory          |                       | Sequence Number   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID  |
| FUNDID                               | VAR-CHAR2 | 6      | Optional           |                       | Fund ID   |
| RULEEFFECTIVEDATE                    | DATE      | 7      | Mandatory          |                       | Rule Effective Date   |
| POSTPRICED                           | NUMBER    | 22     | Optional           |                       | Post Priced ? 1 - Yes, 0 - No   |
| FUNDRISKLEVEL                        | VARCHAR2  | 1      | Optional           |                       | Indicates the risk level of the fund                                  |
| NEXTPRICEDECLAREDATE                 | DATE      | 7      | Optional           |                       | Next Price Declare Date   |
| PRICEDECLAREFREQ                     | VAR-CHAR2 | 1      | Optional           |                       | Price Declare Frequency   |
| NOOFDECIMALSFORNAV                   | NUMBER    | 22     | Optional           |                       | No of Decimals for NAV  |
| ROUND-OFFTRUNCATE                    | VAR-CHAR2 | 1      | Optional           |                       | Rounding Options for NAV. R - Round Off, T - Round Down, U - Round Up |
| MATURITYDATE                         | DATE      | 7      | Optional           |                       | Maturity Date   |
| RETAINIPOBALANCE                     | NUMBER    | 22     | Optional           |                       | Retain IPO Balance? 1 - Yes, 0 - No                                   |
| CONFBEFALCREQUIRED                   | NUMBER    | 22     | Optional           |                       | Allow Rejections Before Allocation? 1 - Yes, 0 - No                   |
| AUTOREDEMPTIONALLOWED                | NUMBER    | 22     | Optional           |                       | Automatic Redemption Allowed? 1 - Yes, 0 - No                         |
| MINIMUMBALANCETYPE                   | VAR-CHAR2 | 1      | Optional           |                       | Minimum Balance Type. A - Amount, U - Units                           |
| MINIMUMBALANCE                       | NUMBER    | 22     | Optional           |                       | Minimum Balance   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| ELAPSED-DAYSBEFOREAUTORED            | NUMBER    | 22     | Optional           |                       | Elapsed Days  |
| CHQALLOTON-CLGDATE                   | NUMBER    | 22     | Optional           |                       | Allotment On Check Clearing. 1 - Yes, 0 - No  |
| AGEINGBASE-DON                       | VAR-CHAR2 | 1      | Optional           |                       | Ageing Policy. F - FIFO, I - IOF/IRRF Optimization, T - Txn-Receipts, P - FIFO Across Products, H - Hierarchy |
| MAINTAINTRADINGBOX                   | NUMBER    | 22     | Optional           |                       | Maintain Trading Box ? 1 - Yes, 0 - No  |
| NAVLOT                               | NUMBER    | 22     | Optional           |                       | NAV Lot   |
| CDSCFUND                             | VAR-CHAR2 | 2      | Optional           |                       | CDSC Fund ?   |
| CDSCAPPLICABLEFOR-SWITCHES           | NUMBER    | 22     | Optional           |                       | CDSC Applicable for Switches? 1 - Yes, 0 - No   |
| REINVESTMENTFIRST                    | NUMBER    | 22     | Optional           |                       | Reinvestment First. 1 - Yes, 0 - No   |
| VATVALUE                             | NUMBER    | 22     | Optional           |                       | VAT Percentage  |
| CHECKFEATUREEXISTS                   | NUMBER    | 22     | Optional           |                       | Allow Check Writing. 1 - Yes, 0 - No  |
| MINCHECKAMOUNT                       | NUMBER    | 22     | Optional           |                       | Minimum Amount For Checks   |
| MAXCHECKAMOUNT                       | NUMBER    | 22     | Optional           |                       | Maximum Amount For Checks   |
| MINCHECKHOLDINGPERIOD                | NUMBER    | 22     | Optional           |                       | Minimum Holding Period (Days)   |
| CHECKACCOUNTCLOSURE                  | NUMBER    | 22     | Optional           |                       | Account Closure? 1 - Yes, 0 - No  |
| CHECKREDEMPTIONPENALTY               | NUMBER    | 22     | Optional           |                       | Penalty Applicable ? 1 - Yes, 0 - No  |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| HOLDINGPERIODPENALTY                 | NUMBER    | 22     | Optional           |                       | Minimum Holding Period Violation . 1 - Yes, 0 - No          |
| BALANCEPENALTY                       | NUMBER    | 22     | Optional           |                       | Insufficient Account Balance. 1 - Yes, 0 - No               |
| STOPPAYMENTPENALTY                   | NUMBER    | 22     | Optional           |                       | Stop Payment Request on Check. 1 - Yes, 0 - No              |
| AMTLIMITPENALTY                      | NUMBER    | 22     | Optional           |                       | Minimum And Maximum Check Amount Violation. 1 - Yes, 0 - No |
| INTERESTRATE                         | NUMBER    | 22     | Optional           |                       | Interest Rate   |
| PERFORMANCEFEETHRESHOLD              | NUMBER    | 22     | Optional           |                       | Performance Fee Threshold                                   |
| LIMITORDERALLOWED                    | NUMBER    | 22     | Optional           |                       | Allow Limit Order. 1 - Yes, 0 - No                          |
| FUTURELIMITORDERALLOWED              | NUMBER    | 22     | Optional           |                       | Allow Future Dates Txn. 1 - Yes, 0 - No                     |
| AUTOLIMITORDERPROCESS                | NUMBER    | 22     | Optional           |                       | Automatic Processing. 1 - Yes, 0 - No                       |
| PRICINGRULE                          | VAR-CHAR2 | 1      | Optional           |                       | Pricing Rule. P - Prior, A - After                          |
| MULTIPLEPRICEBASIS                   | NUMBER    | 22     | Mandatory          |                       | Multiple Price Basis. 1 - Yes, 0 - No                       |
| MINHOLDINGAMOUNT                     | NUMBER    | 22     | Optional           |                       | Minimum Holdings Amount                                     |
| MINHOLDINGUNITS                      | NUMBER    | 22     | Optional           |                       | Minimum Holdings Units                                      |
| MINHOLDINGAPPLYFOR                   | VAR-CHAR2 | 1      | Optional           |                       | Minimum Holdings Apply For. C - CIF, U - Unit Holder        |
| CANCELINSTRUCTION                    | VAR-CHAR2 | 2      | Optional           |                       | Cancel Instruction  |



| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| MINHOLDINGINDICATOR                  | VAR-CHAR2 | 1      | Optional           |                       | Minimum Holdings Indicator. N - Not Applicable, A - Amount, U - Units, L - Lower of Amount/Units, H - Higher of Amount/Units |
| TXNCANCELLATIONALLOWED               | NUMBER    | 22     | Optional           |                       | Transaction Cancellation Allowed. 1 - Yes, 0 - No  |
| TXNCANCELLATIONPERIOD                | NUMBER    | 22     | Optional           |                       | Transaction Cancellation Period  |
| ALLOWSWITCHINGINCANCELLATIONPERIOD   | NUMBER    | 22     | Optional           |                       | Allow Switching in Cancellation Period. 1 - Yes, 0 - No  |
| CANCELLATIONPRICEBASIS               | VAR-CHAR2 | 10     | Optional           |                       | Cancellation Price Basis   |
| MINTHRESHOLDLIMIT                    | NUMBER    | 22     | Optional           |                       | Minimum Threshold Limit  |
| EUSDSCOPE                            | VAR-CHAR2 | 1      | Optional           |                       | EUSD Scope. R - Redemption and Switch, D - Dividend, B - Both  |
| AGEINGDATE                           | VAR-CHAR2 | 1      | Optional           |                       | Ageing Date. T - Transaction Date, C - Confirmation Date, D - Dealing Date   |
| INVESTMENTSIMULATIONMETHOD           | VAR-CHAR2 | 1      | Optional           |                       | Investment Simulation Method. N - Not Allowed, H - Historic NAV Based  |
| MINLOCKINPERIODFORGAIN               | NUMBER    | 22     | Optional           |                       | Min Lock-In Period for Gain(Days)  |
| MAXLOCKINPERIODFORGAIN               | NUMBER    | 22     | Optional           |                       | Max Lock-In Period for Gain(Days)  |
| DEFAULTLOCKINPERIODFORGAIN           | NUMBER    | 22     | Optional           |                       | Default Lock-In Period for Gain(Days)  |
| AMOUNTBLOCKALLOWED                   | NUMBER    | 22     | Optional           |                       | Amount Block Allowed. 1 - Yes, 0 - No  |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| CALCULATE-FEEINTXNCCY                | NUMBER    | 22     | Mandatory          |                       | Allow Fee Calculation In Transaction Currency. 1 - Yes, 0 - No |
| INCLUDEHOLDINGPERIOD                 | VAR-CHAR2 | 1      | Optional           |                       | Include Holding Period. 1 - Yes, 0 - No                        |
| BLOCKPRIORITYNO                      | NUMBER    | 22     | Optional           |                       | Block Priority Number  |
| DIVIDENDEQAPPLICABLE                 | NUMBER    | 22     | Optional           |                       | Dividend Equalization Applicable. 1 - Yes, 0 - No              |
| FATCAAPPLICABLE                      | NUMBER    | 1      | Optional           |                       | FATCA Applicable   |
| FATCACLASSIFICATION                  | VAR-CHAR2 | 25     | Optional           |                       | FATCA Classification   |
| FATCAREASON                          | VAR-CHAR2 | 255    | Optional           |                       | FATCA Reason   |
| FATCAEIN                             | VAR-CHAR2 | 50     | Optional           |                       | Employer ID Number   |
| FATCAEFFECTIVEDATE                   | DATE      | 10     | Optional           |                       | FATCA Effective Date   |
| UCITSFUND                            | NUMBER    | 1      | Optional           |                       | UCITS Fund 1 - Yes, 0 - No                                     |
| DILUTIONLEVYAPPLICABLE               | VAR-CHAR2 | 5      | Optional           |                       | Dilution Levy Applicable Y - Yes, N - No                       |
| INFLOW-BREACHFACTOR                  | NUMBER    | 5      | Optional           |                       | Inflow Breach Factor%  |
| OUTFLOW-BREACHFACTOR                 | NUMBER    | 5      | Optional           |                       | Outflow Breach Factor%   |
| THRESHOLDBASIS                       | VAR-CHAR2 | 12     | Optional           |                       | Threshold Basis A- Amount ,P- Percentage                       |
| THRESHOLDVALUE                       | NUMBER    | 30     | Optional           |                       | Threshold Value  |
| SWINGPRICEBASIS                      | NUMBER    | 1      | Optional           |                       | Swinging Price Basis 1 - Yes, 0 - No, Semi-swingingPrice - 2   |

## 2.30.7 Transaction Processing Rules Details

Serial Number: 6

Segment Identification Number: 05TRP

Description: This segment is used for providing information related to Transaction Processing Rules

Segment Mandatory / Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values                  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|-------------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | Mandatory          |                       | Segment ID - 05TRP      |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory          |                       | Sequence Number         |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          |                       | Process ID              |
| FUNDID                               | VAR-CHAR2 | 6      | Optional           |                       | Fund ID                 |
| RULEEFFECTIVEDATE                    | DATE      | 7      | Mandatory          |                       | Rule Effective Date     |
| TRANSACTION-TYPE                     | VAR-CHAR2 | 2      | Mandatory          |                       | Transaction Type        |
| GROSSORNET                           | VAR-CHAR2 | 1      | Optional           |                       | Gross or Net            |
| TRANSACTION-STARTDATE                | DATE      | 7      | Optional           |                       | Start Date              |
| TRANSACTIONENDDATE                   | DATE      | 7      | Optional           |                       | End Date                |
| TRANSACTION-FREQUENCY                | VAR-CHAR2 | 1      | Optional           |                       | Transaction Frequency   |
| TRANSACTION-STARTPERIOD              | NUMBER    | 22     | Optional           |                       | Start Period            |
| TRANSACTIONENDPERIOD                 | NUMBER    | 22     | Optional           |                       | End Period              |
| TRANSACTION-STARTTIME                | DATE      | 7      | Optional           |                       | Start Time (HH24:MI:SS) |
| TRANSACTIONENDTIME                   | DATE      | 7      | Optional           |                       | End Time (HH24:MI:SS)   |
| MINTRANSACTIONAMOUNT                 | NUMBER    | 22     | Optional           |                       | Minimum Amount          |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| STEPAMOUNT                           | VAR-CHAR2 | 22     | Optional           |                       | Step Amount   |
| MAXTRANSACTIONAMOUNT                 | NUMBER    | 22     | Optional           |                       | Maximum Amount  |
| MINTRANSACTIONUNITS                  | NUMBER    | 22     | Optional           |                       | Minimum Units   |
| STEPUNITS                            | NUMBER    | 22     | Optional           |                       | Step Units  |
| MAXTRANSACTIONUNITS                  | NUMBER    | 22     | Optional           |                       | Maximum Units   |
| ONLINEALLOCATION                     | NUMBER    | 22     | Optional           |                       | Online Allocation? 1 - Yes, 0 - No  |
| ALLOCATIONPERVSREGCAPITAL            | NUMBER    | 22     | Optional           |                       | Allocation Percentage Versus Registered Capital   |
| ALLOCATIONPOLICY                     | VAR-CHAR2 | 1      | Optional           |                       | Allocation Policy. F - FIFO, P - Proportionate  |
| NOOFTXNALLOWEDFORFREQ                | VAR-CHAR2 | 1      | Optional           |                       | Frequency.D - Daily, W - Weekly, F - Bi-Weekly, M - Monthly, Q - Quarterly, H - Half Yearly, Y - Yearly |
| NOOFTXNALLOWEDPERUH                  | NUMBER    | 22     | Optional           |                       | Limit(No of Txn Allowed per UH)   |
| NOOFFREETXNALLOWEDPERUH              | NUMBER    | 22     | Optional           |                       | Free(No of Free Txn Allowed per UH)   |
| ALLOWBACKDATING                      | NUMBER    | 22     | Optional           |                       | Back-Dating Allowed. 1 - Yes, 0 - No  |
| BACKDATINGLIMIT                      | NUMBER    | 22     | Optional           |                       | Back-Dating Limit   |
| FUNDTRANSACTIONCOUNTER               | NUMBER    | 22     | Optional           |                       | Counter(Fund Txn Counter)   |
| ACKNOWLEDGEMENTREQUIRED              | NUMBER    | 22     | Optional           |                       | Acknowledgement Note Required. 1 - Yes, 0 - No  |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| CONFIRMATIONREQUIRED                 | NUMBER    | 22     | Optional           |                       | Confirmation Note Required. 1 - Yes, 0 - No                  |
| BASEPRICE-DEFINITION                 | VAR-CHAR2 | 60     | Optional           |                       | Base Price Definition  |
| BASEPRICE-FACTOR                     | NUMBER    | 22     | Optional           |                       | Base Price Factor (%)  |
| NOOFDECIMALSFOR-BASEPRICE            | NUMBER    | 22     | Optional           |                       | Rounding Precision For Base Price                            |
| ROUND-OFFTRUNCATEBASEPRICE           | VAR-CHAR2 | 1      | Optional           |                       | Rounding Options For Base Price. R - Round Off, T - Truncate |
| TRANSACTIONENABLED                   | NUMBER    | 22     | Optional           |                       | Transaction Enabled. 1 - Yes, 0 - No                         |
| CROSS-BRANCHALLOWED                  | NUMBER    | 22     | Optional           |                       | Cross-Branching Allowed. 1 - Yes, 0 - No                     |
| PRICELAG                             | NUMBER    | 22     | Optional           |                       | Price Lag  |
| ALLOCATIONLAG                        | NUMBER    | 22     | Optional           |                       | Allocation Lag   |
| CONFIRMATIONLAG                      | NUMBER    | 22     | Optional           |                       | Confirmation Lag   |
| CHQALLOCONPARWITHCASH                | NUMBER    | 22     | Optional           |                       | Check On Par With Cash. 1 - Yes, 0 - No                      |
| HOLDINGPERIODAPPLICABLE              | NUMBER    | 22     | Optional           |                       | Holding Period Applicable. 1 - Yes, 0 - No                   |
| RETAINAGE-INGTOSUBSCRIPTION          | NUMBER    | 22     | Optional           |                       | Retain Ageing To Subscription. 1 - Yes, 0 - No               |
| CREDITCARD-ALLOCONPARWITHCASH        | NUMBER    | 22     | Optional           |                       | Credit Card On Par With Cash. 1 - Yes, 0 - No                |
| LIMITREDEMPTIONMODE                  | VAR-CHAR2 | 1      | Optional           |                       | Limit Mode. P - Percent, A - Amount, U - Units               |
| NATUREOF-LIMIT                       | VAR-CHAR2 | 1      | Optional           |                       | Carry Forward. C - Carry                                     |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| TRANSFERAL-LOCONPAR-WITHCASH         | NUMBER    | 22     | Optional           |                       | Transfer On Par With Cash. 1 - Yes, 0 - No                                  |
| MININITIALTX-NAMOUNT                 | NUMBER    | 22     | Optional           |                       | Min. Initial Transaction Amount   |
| MININITIALTXN-UNITS                  | NUMBER    | 22     | Optional           |                       | Min. Initial Transaction Units  |
| MINSITX-NAMOUNT                      | NUMBER    | 22     | Optional           |                       | SI Minimum Amount   |
| MAXSITX-NAMOUNT                      | NUMBER    | 22     | Optional           |                       | SI Maximum Amount   |
| MINSITXN-UNITS                       | NUMBER    | 22     | Optional           |                       | SI Minimum Units  |
| MAXSITXN-UNITS                       | NUMBER    | 22     | Optional           |                       | SI Maximum Units  |
| EXISTINGUH                           | VAR-CHAR2 | 2      | Optional           |                       | Restrict Sale to Investor. 1 - Yes, 0 - No                                  |
| NOOFDECIMALSFORUNIT-PRICE            | NUMBER    | 22     | Optional           |                       | Rounding Precision For Units  |
| ROUND-OFFTRUNCATEUNITPRICE           | VAR-CHAR2 | 1      | Optional           |                       | Rounding Options For Unit Price.R - Round Off, T - Round Down, U - Round Up |
| ROUND-OFFTRUNCATEUNITS               | VAR-CHAR2 | 1      | Optional           |                       | Rounding Options For Units.R - Round Off, T - Round Down, U - Round Up      |
| PAYMENTLAG                           | NUMBER    | 22     | Optional           |                       | Payment Lag (Days)  |
| TRANSACTION-CUTOFFTIME               | DATE      | 7      | Optional           |                       | Cut Off Time(HH24:MI)   |
| CUTOFFTIME                           | VAR-CHAR2 | 8      | Optional           |                       | Cut Off Time(HH24:MI)   |
| ENFORCE-HOLDING-PERIOD               | NUMBER    | 22     | Optional           |                       | Enforce Holding Period. 1 - Yes, 0 - No                                     |
| APPLYENTRY-TIMELOAD                  | NUMBER    | 22     | Optional           |                       | Apply Entry Time Load. 1 - Yes, 0 - No                                      |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values  |
|--------------------------------------|-----------|--------|--------------------|-----------------------|---|
| IPOMAXISSUE-SIZE                     | NUMBER    | 22     | Optional           |                       | Maximum IPO Issue Size  |
| TRANSACTION-SUSPENDED                | NUMBER    | 1      | Optional           |                       | Transaction Suspended. 1 - Yes, 0 - No  |
| MINSI-HOLDINGAMOUNT                  | NUMBER    | 22     | Optional           |                       | SI Minimum Holdings Amount  |
| MINSIHOLDINGUNITS                    | NUMBER    | 22     | Optional           |                       | SI Minimum Holdings Units   |
| MINSIHOLDINGAPPLYFOR                 | VAR-CHAR2 | 1      | Optional           |                       | SI Minimum Holdings Apply For. C - CIF, U - Unit Holder   |
| MINSIHOLDINGINDICATOR                | VAR-CHAR2 | 1      | Optional           |                       | SI Minimum Holdings Indicator. N - Not Applicable, A - Amount, U - Units, L - Lower of Amount/Units, H - Higher of Amount/Units |
| PRICELAGCALENDARBASIS                | VAR-CHAR2 | 1      | Optional           |                       | Price Lag Calendar Basis. C - Actual Calendar, S - System Calendar, F - Fund Calendar   |
| SIDESCRIPTION                        | VAR-CHAR2 | 255    | Optional           |                       | Default SI Description  |
| SIHOLIDAYRULE                        | VAR-CHAR2 | 1      | Optional           |                       | Holiday Rule. P - Prior, A - After  |
| SICALENDERBASIS                      | VAR-CHAR2 | 1      | Optional           |                       | Calendar Basis. C - Actual Calendar, S - System Calendar, F - Fund Calendar   |
| SIGENDAY                             | VAR-CHAR2 | 3      | Optional           |                       | Specific Gen.Day  |
| SIFREQUENCY                          | VAR-CHAR2 | 1      | Optional           |                       | Default SI Frequency  |
| SIGENCYCLE                           | VAR-CHAR2 | 1      | Optional           |                       | SI Generation Freq. M - Monthly, Q - Quarterly, H - Half Yearly, Y - Yearly   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| SICUTOFFDAY                          | NUMBER    | 22     | Optional           |                       | SI Cut-Off Day   |
| SICUTOFFLAG                          | NUMBER    | 22     | Optional           |                       | SI Cut-off Lag   |
| ENFORCESIRULE                        | NUMBER    | 22     | Optional           |                       | Enforce Generation   |
| PAYMENTLAG-CALENDARBASIS             | VAR-CHAR2 | 1      | Optional           |                       | Payment Lag Calendar Basis. C - Actual Calendar, Y - Currency Calendar, S - System Calendar, F - Fund Calendar |
| AMOUNTORUNITS                        | VAR-CHAR2 | 1      | Optional           |                       | Amounts OR Units   |
| LINKTOUNSETTLEDINFLOWS               | NUMBER    | 22     | Optional           |                       | Link To Unsettled Trades?. 1 - Yes, 0 - No   |
| PSEUDOSWITCHLAG                      | NUMBER    | 22     | Optional           |                       | Pseudo Switch Lag  |
| ALLOWSYSTEMATICPLANS                 | NUMBER    | 22     | Optional           |                       | Allow Systematic Plans?. 1 - Yes, 0 - No   |
| MAXINITIALTXAMOUNT                   | NUMBER    | 22     | Optional           |                       | Max. Initial Transaction Amount  |
| MAXINITIALTXUNITS                    | NUMBER    | 22     | Optional           |                       | Max. Initial Transaction Units   |
| PSEUDOSWITCHLAGCALENDARBASIS         | VAR-CHAR2 | 1      | Optional           |                       | Pseudo Switch Calendar Basis. C - Actual Calendar, S - System Calendar, F - Fund Calendar                      |
| FULLOUTFLOW                          | NUMBER    | 22     | Optional           |                       | Full Outflow. 1 - Yes, 0 - No  |
| MAXNOOFSITRANSACTIONS                | NUMBER    | 22     | Optional           |                       | Max No. of SI Txns   |
| MAXVALIDITY                          | NUMBER    | 22     | Optional           |                       | Max. Validity(Days)  |
| SILEADTIME                           | NUMBER    | 22     | Optional           |                       | SI Lead Time   |



| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|--------------------|-----------------------|--|
| APPLICABLE-ACCOUNT-TYPES             | VAR-CHAR2 | 255    | Optional           |                       | Applicable Account Types   |
| INFLOWOVERRIDEABLEMSG                | NUMBER    | 22     | Optional           |                       | Inflow Overridable Msg. 1 - Yes, 0 - No                            |
| MINMATURITYPERIOD                    | NUMBER    | 22     | Optional           |                       | Min. Maturity Period (Days)  |
| MAXMATURITYPERIOD                    | NUMBER    | 22     | Optional           |                       | Max. Maturity Period (Days)  |
| FUTURE-DATELIMIT                     | NUMBER    | 22     | Optional           |                       | Future Date Limit  |
| UHCALENDAR-BASIS                     | VAR-CHAR2 | 2      | Optional           |                       | Cycle Basis  |
| NOOFDECIMALSFORUNITS                 | NUMBER    | 22     | Optional           |                       | No. of Decimals  |
| NOTICEPERIODDATEBASIS                | VAR-CHAR2 | 1      | Optional           |                       | Notice Period Date Basis. C - Actual Calendar, S - System Calendar |
| NOTICEPERIOD                         | NUMBER    | 22     | Optional           |                       | Notice Period  |
| SIAUTOTERMINATION-COUNTER            | VAR-CHAR2 | 3      | Optional           |                       | SI Auto-Termination Cumulative Counter                             |
| CONSSIAUTO-COUNTER                   | NUMBER    | 22     | Optional           |                       | SI Auto-Termination Consecutive Counter                            |
| THRESHOLDAMOUNT                      | NUMBER    | 22     | Optional           |                       | Transaction Threshold Amount                                       |
| GOCUTOFFLAG                          | VAR-CHAR2 | 8      | Optional           |                       | GO Cut Off Lag(HH24:MI)  |
| GOTHRESHOLDUNITS                     | NUMBER    | 27     | Optional           |                       | GO Threshold Units   |
| GOSETTLEMENTLAG                      | NUMBER    | 22     | Optional           |                       | GO Settlement Lag  |
| GOSETTLAG-CALENDAR                   | VAR-CHAR2 | 1      | Optional           |                       | GO Settlement Lag Calendar   |

## 2.30.8 Transaction Processing Rules Communication Mode Details

Serial Number: 7

Segment Identification Number: 06TRC

Description: This segment is used for providing information related to Transaction Processing Rules Communication Mode

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values  |
|--|---------------|--------|------------------------|---------------------------|---|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id - 06TRC  |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number   |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID  |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID   |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date   |
| TRANSAC-<br>TIONTYPE                       | VAR-<br>CHAR2 | 2      | Mandatory              |                           | Transaction Type. 02 -<br>Subscription, 03 -<br>Redemption, 04 -<br>Switch, 54 - Transfer |
| COMMUNICA-<br>TIONMODE                     | VAR-<br>CHAR2 | 2      | Mandatory              |                           | Communication Mode  |
| MINTRANS-<br>ACTION-<br>AMOUNT             | NUM-<br>BER   | 22     | Optional               |                           | Minimum Transaction<br>Amount   |
| MAXTRANS-<br>ACTION-<br>AMOUNT             | NUM-<br>BER   | 22     | Optional               |                           | Maximum Transac-<br>tion Amount   |
| MINTRANS-<br>ACTIONUNITS                   | NUM-<br>BER   | 22     | Optional               |                           | Minimum Transaction<br>Units  |
| MAXTRANS-<br>ACTIONUNITS                   | NUM-<br>BER   | 22     | Optional               |                           | Maximum Transac-<br>tion Units  |
| DISALLOW-<br>FIRSTTRANS-<br>ACTION         | NUM-<br>BER   | 22     | Optional               |                           | Disallow First Trans-<br>action. 0 - No, 1 - Yes  |

## 2.30.9 Fund Investor Category Transaction Limits Details

Serial Number: 8

Segment Identification Number: 07FIC

Description: This segment is used for providing information related to Fund Investor Category Transaction Limits

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values                          |
|--|---------------|--------|------------------------|---------------------------|---------------------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id - 07FIC              |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number                 |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID                      |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID                         |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date             |
| INVESTOR-<br>CATEGORY                      | VAR-<br>CHAR2 | 2      | Mandatory              |                           | Investor Category               |
| TRANSAC-<br>TIONTYPE                       | VAR-<br>CHAR2 | 2      | Mandatory              |                           | Transaction Type                |
| MINTRANS-<br>ACTION-<br>AMOUNT             | NUM-<br>BER   | 22     | Optional               |                           | Minimum Transaction<br>Amount   |
| STEPAMOUNT                                 | VAR-<br>CHAR2 | 22     | Optional               |                           | Step Amount                     |
| MAXTRANS-<br>ACTION-<br>AMOUNT             | NUM-<br>BER   | 22     | Optional               |                           | Maximum Transac-<br>tion Amount |
| MINTRANS-<br>ACTIONUNITS                   | NUM-<br>BER   | 22     | Optional               |                           | Minimum Transaction<br>Units    |
| STEPUNITS                                  | NUM-<br>BER   | 22     | Optional               |                           | Step Units                      |
| MAXTRANS-<br>ACTIONUNITS                   | NUM-<br>BER   | 22     | Optional               |                           | Maximum Transac-<br>tion Units  |

### **2.30.10 Fund SI Frequency Details**

Serial Number: 9

Segment Identification Number: 08FSF

Description: This segment is used for providing information related to Fund SI Frequency Details

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Leng<br>th | Mandatory/<br>Optional | Characte<br>ristics/<br>rules | Values  |
|--|---------------|------------|------------------------|-------------------------------|---|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20         | Mandatory              |                               | Segment id - 08FSF  |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22         | Mandatory              |                               | Sequence Number   |
| PROCESSID                                  | VAR-<br>CHAR2 | 50         | Mandatory              |                               | Process ID  |
| FUNDID                                     | VAR-<br>CHAR2 | 6          | Optional               |                               | Fund ID   |
| TRANSAC-<br>TIONTYPE                       | VAR-<br>CHAR2 | 2          | Mandatory              |                               | Transaction Type  |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7          | Mandatory              |                               | Rule Effective Date   |
| FREQUENCY                                  | VAR-<br>CHAR2 | 1          | Mandatory              |                               | Frequency   |
| FREQID                                     | VAR-<br>CHAR2 | 10         | Mandatory              |                               | Freq ID   |
| MINSITX-<br>NAMOUNT                        | NUM-<br>BER   | 22         | Optional               |                               | Minimum SI Transac-<br>tion Amount                            |
| MAXSITX-<br>NAMOUNT                        | NUM-<br>BER   | 22         | Optional               |                               | Maximum SI Transac-<br>tion Amount                            |
| MINSITXN-<br>UNITS                         | NUM-<br>BER   | 22         | Optional               |                               | Minimum SI Transac-<br>tion Units                             |
| MAXSITXN-<br>UNITS                         | NUM-<br>BER   | 22         | Optional               |                               | Maximum SI Transac-<br>tion Units                             |
| MINSI-<br>HOLDINGA-<br>MOUNT               | NUM-<br>BER   | 22         | Optional               |                               | Minimum SI Holding<br>Amount                                  |
| MINSIHOLDIN-<br>GUNITS                     | NUM-<br>BER   | 22         | Optional               |                               | Minimum SI Holding<br>Units                                   |
| MINSIHOLDIN-<br>GAPPLYFOR                  | VAR-<br>CHAR2 | 1          | Optional               |                               | SI Minimum Holdings<br>Apply For. C - CIF, H -<br>Unit Holder |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values  |
|--|--------------|--------|------------------------|---------------------------|---|
| MINSIHOLDINGINDICATOR                      | VAR-CHAR2    | 1      | Mandatory              |                           | SI Minimum Holdings Indicator. N - Not Applicable, A - Amount, U - Units, L - Lower of Amount/Units, H - Higher of Amount/Units |
| MINNOOFSI                                  | NUMBER       | 22     | Optional               |                           | Minimum Number of SI  |
| NOOFFAILURESALLOWED                        | NUMBER       | 22     | Optional               |                           | Number of failures Allowed  |
| HOLIDAYRULE                                | VAR-CHAR2    | 1      | Optional               |                           | Holiday Rule  |
| SIAUTOTERMINATIONCOUNTER                   | VAR-CHAR2    | 3      | Optional               |                           | Maximum SI Transaction Allowed  |
| CONSSIAUTO-COUNTER                         | NUMBER       | 22     | Optional               |                           | SI Auto Termination Counter   |
| MAXSITXNSALLOWED                           | NUMBER       | 22     | Optional               |                           | SI Auto-Termination Consecutive Counter   |

### 2.30.11 Fund Sale Country Details

Serial Number: 10

Segment Identification Number: 09FSC

Description: This segment is used for providing information related to Fund Sale Country

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values             |
|--|--------------|--------|------------------------|---------------------------|--------------------|
| SEGMENTID                                  | VAR-CHAR2    | 20     | Mandatory              |                           | Segment Id - 09FSC |
| SEQUENCE-NUMBER                            | NUMBER       | 22     | Mandatory              |                           | Sequence Number    |
| PROCESSID                                  | VAR-CHAR2    | 50     | Mandatory              |                           | Process ID         |
| FUNDID                                     | VAR-CHAR2    | 6      | Optional               |                           | Fund ID            |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values              |
|--|---------------|--------|------------------------|---------------------------|---------------------|
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date |
| COUNTRY-<br>CODE                           | VAR-<br>CHAR2 | 3      | Optional               |                           | Country Code        |

### **2.30.12 Fund Residency Country Details**

Serial Number: 11

Segment Identification Number: 10FRL

Description: This segment is used for providing information related to Fund Residency Country

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values              |
|--|---------------|--------|------------------------|---------------------------|---------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id - 10FRL  |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number     |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID          |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID             |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date |
| COUNTRY-<br>CODE                           | VAR-<br>CHAR2 | 3      | Optional               |                           | Country Code        |

### **2.30.13 Fund Transaction Currency Details**

Serial Number: 12

Segment Identification Number: 11FTC

Description: This segment is used for providing information related to Fund Transaction Currency

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values              |
|--|---------------|--------|------------------------|---------------------------|---------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id - 11FTC  |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number     |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID          |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID             |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date |
| COUNTRY-<br>CODE                           | VAR-<br>CHAR2 | 3      | Optional               |                           | Country Code        |

### 2.30.14 Income Distribution Rule Details

Serial Number: 13

Segment Identification Number: 12IDR

Description: This segment is used for providing information related to Income Distribution Rule

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values              |
|--|---------------|--------|------------------------|---------------------------|---------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id - 11FTC  |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number     |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID          |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID             |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values   |
|--|--------------|--------|------------------------|---------------------------|--|
| DIVIDENDDECLAREFREQ                        | VAR-CHAR2    | 1      | Optional               |                           | Dividend Declare Frequency.D - Daily, F - Bi-Weekly, H - Half-Yearly, M - Monthly, Q - Quarterly, W - Weekly, Y - Yearly |
| DIVIDENDPAYMENTFREQ                        | VAR-CHAR2    | 1      | Optional               |                           | Dividend Payment Frequency.D - Daily, F - Bi-Weekly, H - Half-Yearly, M - Monthly, Q - Quarterly, W - Weekly, Y - Yearly |
| REINVESTMENTALLOWED                        | NUMBER       | 22     | Optional               |                           | Reinvestment Allowed ? 1 - Yes, 0 - No   |
| TAXID                                      | VAR-CHAR2    | 50     | Optional               |                           | Tax ID   |
| BOOKCLOSINGLEADTIME                        | NUMBER       | 22     | Optional               |                           | Book Closing Lead Time   |
| FREEZEHOLDINGLEADTIME                      | NUMBER       | 22     | Optional               |                           | Freeze Holding Lead Time   |
| BOARDMEETINGLEADTIME                       | NUMBER       | 22     | Optional               |                           | Board Meeting Lead Time  |
| DIVIDENDPAYMENTLEADTIME                    | NUMBER       | 22     | Optional               |                           | Dividend Payment Lead Time   |
| NOOFDECIMALSFORDIVIDEND                    | NUMBER       | 22     | Optional               |                           | Number of Decimals For Dividend  |
| ROUND-OFFTRUNCATEDIVIDEND                  | VAR-CHAR2    | 1      | Optional               |                           | Round OFF Truncate Dividend  |
| TDSBASIS                                   | VAR-CHAR2    | 1      | Optional               |                           | TDS Basis.D - Each Dividend, U - Fiscal Year, E - Tax Exempt   |
| EPUCOMPONENTS                              | NUMBER       | 22     | Optional               |                           | EPU Based on Components?1 - Yes, 0 - No  |



| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values  |
|--|---------------|--------|------------------------|---------------------------|---|
| DEFAULTDIS-<br>TRIBUTION-<br>MODE          | VAR-<br>CHAR2 | 1      | Optional               |                           | Default Distribution<br>Mode. T - Payout-A/C<br>Transfer, Q - Payout-<br>Check, R - Full Rein-<br>vestment  |
| EXCHANGER-<br>ATEDATEBA-<br>SIS            | VAR-<br>CHAR2 | 1      | Optional               |                           | Dividend Exchange<br>Rate Basis.P - Pay-<br>ment Date, R - Refer-<br>ence Date  |
| RESTRICTIDS                                | NUM-<br>BER   | 22     | Optional               |                           | Restrict IDS For Unit<br>Holder?1 - Yes, 0 - No   |
| EPULOT                                     | NUM-<br>BER   | 22     | Optional               |                           | EPU Lot   |
| DIVLOOKA-<br>HEAD                          | NUM-<br>BER   | 22     | Optional               |                           | Look Ahead Process-<br>ing? 1 - Yes, 0 - No   |
| CORPORATE-<br>ACTIONTYPE                   | VAR-<br>CHAR2 | 1      | Mandatory              |                           | Corporate Action<br>Type  |
| UNITSFOR-<br>FREEZE-<br>HOLDINGS           | VAR-<br>CHAR2 | 1      | Optional               |                           | Units For Freeze<br>Holdings. A - All Units<br>As Of Allocation Date,<br>C - Confirmed Units<br>As Of Allocation Date,<br>P - All Units As Of<br>Price Date, T - Con-<br>firmed Units As Of<br>Transaction Date, D -<br>All Units As Of Trans-<br>action Date, U - All<br>Units As Of Dealing<br>Date |
| FIRSTDIVI-<br>DENDDE-<br>CLAREDATE         | DATE          | 7      | Optional               |                           | First Dividend Declare<br>Date  |
| SPECIALDIVI-<br>DEND                       | NUM-<br>BER   | 22     | Optional               |                           | Special Dividend.1 -<br>Yes, 0 - No   |
| MOCKDIVI-<br>DEND                          | NUM-<br>BER   | 22     | Optional               |                           | Mock Dividend.1 -<br>Yes, 0 - No  |
| HOLIDAY-<br>RULE                           | VAR-<br>CHAR2 | 1      | Optional               |                           | Holiday Rule.   |
| PAYMENTLAG                                 | NUM-<br>BER   | 22     | Optional               |                           | Payment Lag (Days)  |

| Field Name<br>(as expected<br>in the file)  | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values   |
|---|---------------|--------|------------------------|---------------------------|--|
| PAYDIVI-<br>DENDDURIN-<br>GRED              | NUM-<br>BER   | 22     | Optional               |                           | Pay Dividend During<br>Redemption. 1 - Yes, 0<br>- No  |
| REINVEST-<br>MENTPRICE-<br>BASIS            | VAR-<br>CHAR2 | 10     | Optional               |                           | Reinvestment Price<br>Basis  |
| IRACOMPUL-<br>SORYREIN-<br>VEST             | NUM-<br>BER   | 22     | Optional               |                           | Compulsory Reinvest-<br>ment For Retirement<br>Account? 1 - Yes, 0 -<br>No                             |
| DISTRIBU-<br>TIONMODE-<br>FORMINBALA<br>NCE | VAR-<br>CHAR2 | 1      | Mandatory              |                           | Distribution Mode For<br>Minimum Balance. P -<br>Forced Payout, I -<br>According To Unit<br>Holder IDS |
| DEFAULT-<br>EPUOPREV-<br>DAY                | VAR-<br>CHAR2 | 1      | Optional               |                           | Default EPU to previ-<br>ous Declared EPU? 1<br>- Yes, 0 - No  |
| INTEREST-<br>COM-<br>POUNDED                | VAR-<br>CHAR2 | 1      | Optional               |                           | Interest to be com-<br>pounded for the pay-<br>ment Period? Y - Yes,<br>N - No                         |
| NPISUPPORT                                  | VAR-<br>CHAR2 | 1      | Mandatory              |                           | NPI Applicable. Y -<br>Yes, N - No   |
| NPIPAYMENT                                  | VAR-<br>CHAR2 | 3      | Optional               |                           | NPI Payment  |
| NPIUHOVER-<br>RIDE                          | VAR-<br>CHAR2 | 1      | Mandatory              |                           | Override Unit holder<br>preference? Y - Yes,<br>N - No   |
| FLGUNSET-<br>TLEUNITS                       | VAR-<br>CHAR2 | 1      | Mandatory              |                           | Force Reinvestment<br>of Uncleared units   |
| MIMAMTTOB-<br>EPAIDOUT                      | NUM-<br>BER   | 22     | Optional               |                           | Minimum Amount for<br>Payment  |
| ACTION                                      | VAR-<br>CHAR2 | 1      | Optional               |                           | Action   |
| OVERRAT-<br>PAYTIME                         | NUM-<br>BER   | 22     | Optional               |                           | Override at Pay Time<br>Y - Yes, N - No  |
| AUTOREINV-<br>FORUNCL-<br>CHQ               | NUM-<br>BER   | 22     | Optional               |                           | Auto Reinvestment for<br>Unclaimed Dividend.<br>1 - Yes, 0 - No  |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values  |
|--|---------------|--------|------------------------|---------------------------|---|
| AUTOREINV-<br>FORUNCLCH-<br>QFZB           | NUMBER        | 22     | Optional               |                           | Auto Reinvestment for<br>Unclaimed Dividend<br>When No Balance<br>Left. 1 - Yes, 0 - No |
| TRACKUN-<br>CLEAREDTXN<br>S                | VAR-<br>CHAR2 | 1      | Optional               |                           | Track Dividend For<br>Uncleared Transac-<br>tion? 1 - Yes, 0 - No                       |
| TRACK-<br>BLOCKED-<br>TXNS                 | VAR-<br>CHAR2 | 1      | Optional               |                           | Track Dividend for<br>Blocked Transac-<br>tions? 1 - Yes, 0 - No                        |
| PAYUNGEN-<br>REINVEST-<br>MENT             | VAR-<br>CHAR2 | 1      | Optional               |                           | Pay Ungenerated<br>Reinvestments? 1 -<br>Yes, 0 - No                                    |
| TDSAPPLICA-<br>BLEFORAL-<br>LUH            | NUMBER        | 22     | Optional               |                           | TDS Applicable for all<br>UH. 1 - Yes, 0 - No   |
| MINAMT-<br>TOREINVEST                      | NUMBER        | 30     | Optional               |                           | Minimum Amount for<br>Reinvestment  |

### 2.30.15 Fund Load Maintenance Details

Serial Number: 14

Segment Identification Number: 13FLM

Description: This segment is used for providing information related to Fund Load Maintenance

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values                |
|--|---------------|--------|------------------------|---------------------------|-----------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id -<br>13FLM |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID            |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID               |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective date   |
| TRANSAC-<br>TIONTYPE                       | VAR-<br>CHAR2 | 2      | Mandatory              |                           | Transaction Type      |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values   |
|--|---------------|--------|------------------------|---------------------------|--|
| LOADID                                     | NUMBER        | 22     | Mandatory              |                           | Load ID  |
| LOADTO-<br>PRICE                           | NUMBER        | 22     | Optional               |                           | Load To Price  |
| FROMENTITY-<br>TYPE                        | VAR-<br>CHAR2 | 1      | Mandatory              |                           | From EntityType  |
| TOENTITY-<br>TYPE                          | VAR-<br>CHAR2 | 1      | Mandatory              |                           | To Entity Type   |
| PROCESS-<br>INGFREQ                        | VAR-<br>CHAR2 | 1      | Optional               |                           | Processing Fre-<br>quency  |
| GLACCOUNT-<br>CODE                         | VAR-<br>CHAR2 | 10     | Optional               |                           | GL account Code  |
| DEBITOR-<br>CREDIT                         | VAR-<br>CHAR2 | 1      | Optional               |                           | Debit or Credit  |
| CONTRAAC-<br>COUNTCODE                     | VAR-<br>CHAR2 | 10     | Optional               |                           | Contra Account<br>Code   |
| NOOFDECI-<br>MALSFOR-<br>LOAD              | NUMBER        | 22     | Optional               |                           | Number of Deci-<br>mals For Load   |
| ROUND-<br>OFFTRUN-<br>CATEFORLOA<br>D      | VAR-<br>CHAR2 | 1      | Optional               |                           | Round OFF Trun-<br>cate For Load   |
| MANDATORY                                  | VAR-<br>CHAR2 | 1      | Optional               |                           | Mandatory  |
| PRIORITYNO                                 | NUMBER        | 22     | Optional               |                           | Priority Number  |
| LOADVATTO-<br>PRICE                        | NUMBER        | 22     | Optional               |                           | Load VAT To Price  |
| APPLYRETVA-<br>LON                         | VAR-<br>CHAR2 | 1      | Optional               |                           | Based On Income?<br>G - Capital Gains -<br>Gross, N - Non<br>Capital Gains - Net,<br>C - Capital Gains, S<br>- CDSC, P - Perfor-<br>mance Based, I -<br>IOF, D - Default<br>IRRF, O - Optional<br>IRRF |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values   |
|--|---------------|--------|------------------------|---------------------------|--|
| SENDFOR-<br>GLINTER-<br>FACE               | NUMBER        | 22     | Optional               |                           | Send For GL Interface. 1 - Yes, 0 - No                         |
| DIFFERENTIALFEE                            | NUMBER        | 22     | Optional               |                           | Differential Fee? 1 - Yes, 0 - No                              |
| SLABBASIS                                  | VAR-<br>CHAR2 | 1      | Optional               |                           | Slab Basis. G - Gross Amount, N - Net Amount, A - Average Cost |
| PRICEADDIN                                 | NUMBER        | 22     | Optional               |                           | Price Add In. 1 - Yes, 0 - No                                  |
| INDEXATION-<br>ONCGT                       | VAR-<br>CHAR2 | 1      | Optional               |                           | Apply Indexation on CGT? 1 - Yes, 0 - No                       |
| LEVELID                                    | NUMBER        | 22     | Optional               |                           | Level ID   |
| GROUPID                                    | VAR-<br>CHAR2 | 6      | Mandatory              |                           | Group Id   |
| DEPENDENTLEVEL                             | NUMBER        | 22     | Optional               |                           | Dependent Level  |
| REFTYPE                                    | VAR-<br>CHAR2 | 2      | Mandatory              |                           | RefType  |

### 2.30.16 Fund Formula Maintenance Details

Serial Number: 15

Segment Identification Number: 14FFM

Description: This segment is used for providing information related to Fund Formula Maintenance

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values             |
|--|---------------|--------|------------------------|---------------------------|--------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id - 14FFM |
| SEQUENCE-<br>NUMBER                        | NUMBER        | 22     | Mandatory              |                           | Sequence Number    |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID         |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values   |
|--|---------------|--------|------------------------|---------------------------|--|
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID  |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective date                            |
| COMPONEN-<br>TID                           | VAR-<br>CHAR2 | 10     | Mandatory              |                           | Component ID                                   |
| COMPONENT-<br>DESC                         | VAR-<br>CHAR2 | 50     | Optional               |                           | Component Descrip-<br>tion                     |
| COMPONENT-<br>TYPE                         | VAR-<br>CHAR2 | 5      | Optional               |                           | Component Type                                 |
| FORMULA                                    | VAR-<br>CHAR2 | 255    | Optional               |                           | Formula  |
| DEFAULT-<br>VALUE                          | NUM-<br>BER   | 22     | Optional               |                           | Default Value                                  |
| EXCLUDE-<br>FROMCAPI-<br>TALGAINS          | NUM-<br>BER   | 22     | Optional               |                           | Exclude From Capital<br>Gains? 1 - Yes, 0 - No |
| USEDFORFP-<br>COMPUTA-<br>TION             | NUM-<br>BER   | 22     | Mandatory              |                           | Used for FP Compu-<br>tation ? 1 - Yes, 0 - No |
| PRICEBASIS                                 | VAR-<br>CHAR2 | 1      | Mandatory              |                           | Price Basis. Y - Yes,<br>N - No                |
| PARENT-<br>PRICEBASIS                      | VAR-<br>CHAR2 | 10     | Optional               |                           | Parent Basis                                   |
| INHERENT-<br>FEE                           | NUM-<br>BER   | 22     | Optional               |                           | Inherent Fee                                   |
| INHERENTIN-<br>CENTIVE                     | NUM-<br>BER   | 22     | Optional               |                           | Inherent Incentive                             |
| DEFAULT-<br>PRICEBASE                      | VAR-<br>CHAR2 | 1      | Mandatory              |                           | Default Price Basis.<br>ON - Y, OFF - N        |

### 2.30.17 Fund Additional Information Details

Serial Number: 16

Segment Identification Number: 15FAI

Description: This segment is used for providing information related to Fund Additional Information

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Leng<br>th | Mandatory/<br>Optional | Characte<br>ristics/<br>rules | Values               |
|--|---------------|------------|------------------------|-------------------------------|----------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20         | Mandatory              |                               | Segment Id - 15FAI   |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22         | Mandatory              |                               | Sequence Number      |
| PROCESSID                                  | VAR-<br>CHAR2 | 50         | Mandatory              |                               | Process ID           |
| FUNDID                                     | VAR-<br>CHAR2 | 12         | Optional               |                               | Fund ID              |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7          | Mandatory              |                               | Rule Effective Date  |
| OTHERINFO1                                 | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 1  |
| OTHERINFO2                                 | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 2  |
| OTHERINFO3                                 | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 3  |
| OTHERINFO4                                 | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 4  |
| OTHERINFO5                                 | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 5  |
| OTHERINFO6                                 | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 6  |
| OTHERINFO7                                 | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 7  |
| OTHERINFO8                                 | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 8  |
| OTHERINFO9                                 | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 9  |
| OTHERIN-<br>FO10                           | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 10 |
| OTHERINFO11                                | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 11 |
| OTHERIN-<br>FO12                           | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 12 |
| OTHERIN-<br>FO13                           | VAR-<br>CHAR2 | 60         | Optional               |                               | Other Information 13 |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values               |
|--|--------------|--------|------------------------|---------------------------|----------------------|
| OTHERIN-FO14                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 14 |
| OTHERIN-FO15                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 15 |
| OTHERIN-FO16                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 16 |
| OTHERIN-FO17                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 17 |
| OTHERIN-FO18                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 18 |
| OTHERIN-FO19                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 19 |
| OTHERIN-FO20                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 20 |
| OTHERIN-FO21                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 21 |
| OTHERIN-FO22                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 22 |
| OTHERIN-FO23                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 23 |
| OTHERIN-FO24                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 24 |
| OTHERIN-FO25                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 25 |
| OTHERIN-FO26                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 26 |
| OTHERIN-FO27                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 27 |
| OTHERIN-FO28                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 28 |
| OTHERIN-FO29                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 29 |
| OTHERIN-FO30                               | VAR-CHAR2    | 60     | Optional               |                           | Other Information 30 |

### 2.30.18 Specific Fund Price Date Setup Details

Serial Number: 17

Segment Identification Number: 16SDS



Description: This segment is used for providing information related to Specific Fund Price date Setup

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values   |
|--|---------------|--------|------------------------|---------------------------|--|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id - 16SDS   |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number  |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID   |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID  |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date  |
| TRANSAC-<br>TIONTYPE                       | VAR-<br>CHAR2 | 2      | Mandatory              |                           | Transaction Type   |
| FIRSTPRICE-<br>DATE                        | DATE          | 7      | Mandatory              |                           | First Price Date   |
| PRICEFRE-<br>QUENCY                        | VAR-<br>CHAR2 | 1      | Mandatory              |                           | Price Frequency. D -<br>Daily, W - Weekly, F -<br>Fortnightly, M -<br>Monthly, Q - Quar-<br>terly, H - Half-Yearly,<br>Y - Yearly, U - User<br>Defined |
| HOLIDAY-<br>RULE                           | VAR-<br>CHAR2 | 1      | Mandatory              |                           | Holiday Rule. P -<br>Prior, A - After  |
| LASTPRICE-<br>DATE                         | DATE          | 7      | Mandatory              |                           | Last Price Date  |
| FORWARD-<br>PRICING                        | NUM-<br>BER   | 22     | Mandatory              |                           | Forward Pricing Appli-<br>cable. 0 - No, 1 - Yes   |
| CALENDAR-<br>BASIS                         | VAR-<br>CHAR2 | 1      | Optional               |                           | Calendar Basis. S -<br>System Calender, C -<br>Actual Calender, F -<br>Fund Calender   |
| PRICEDAY                                   | NUM-<br>BER   | 22     | Optional               |                           | Price Day  |
| PRICEWEEK                                  | NUM-<br>BER   | 22     | Optional               |                           | Price Week   |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values   |
|--|--------------|--------|------------------------|---------------------------|--|
| PRICEMONTH                                 | NUMBER       | 22     | Optional               |                           | Price Month  |
| CUTOFFDAY                                  | NUMBER       | 22     | Optional               |                           | Cut OFF Day  |
| CUTOFF-WEEK                                | NUMBER       | 22     | Optional               |                           | Cut OFF Week   |
| CUTOFF-MONTH                               | NUMBER       | 22     | Optional               |                           | Cut OFF Month  |
| AUTOGENERATE                               | NUMBER       | 22     | Optional               |                           | Auto Generate ?  |
| GENERATION-TYPE                            | VAR-CHAR2    | 1      | Optional               |                           | Auto Generation Of Fund Prices. A - Auto Generate Fund Prices using the last available Price and Authorize the same, U - Auto Generate Fund Prices using the last available Price but do not Authorize the same, "" - Do not Auto Generate Fund Prices |
| CUTOFFFREQUENCY                            | VAR-CHAR2    | 1      | Optional               |                           | Cut-Off Frequency. D - Daily, W - Weekly, F - Fortnightly, M - Monthly, Q - Quarterly, H - Half-Yearly, Y - Yearly   |
| LASTWORKINGDAY                             | NUMBER       | 22     | Optional               |                           | Last Working Day. ON - Y, OFF - N  |
| DEALINGLAG                                 | NUMBER       | 22     | Optional               |                           | Dealing Lag (in days)  |
| DEALINGRULE                                | VAR-CHAR2    | 1      | Optional               |                           | Dealing Rule. P - Prior, A - After   |
| PRICDEFBASIS                               | VAR-CHAR2    | 1      | Optional               |                           | Price Frequency Definition   |

### 2.30.19 Fund User Defined Price Dates Details

Serial Number: 18

Segment Identification Number: 17FPD

Description: This segment is used for providing information related to Fund User Defined Price Dates

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values              |
|--|---------------|--------|------------------------|---------------------------|---------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id - 17FPD  |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number     |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Optional               |                           | Process ID          |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID             |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date |
| PRICEDATE                                  | DATE          | 7      | Mandatory              |                           | Price Date          |

### 2.30.20 Fund IRA Details

Serial Number: 19

Segment Identification Number: 18IRA

Description: This segment is used for providing information related to Fund IRA

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values             |
|--|---------------|--------|------------------------|---------------------------|--------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id - 18IRA |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number    |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID         |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID            |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values                            |
|--|--------------|--------|------------------------|---------------------------|-----------------------------------|
| RULEEFFECTIVEDATE                          | DATE         | 7      | Mandatory              |                           | Rule Effective Date               |
| MININITIALINVESTMENTAMT                    | NUMBER       | 22     | Optional               |                           | Minimum Initial Investment amount |
| MAXINITIALINVESTMENTAMT                    | NUMBER       | 22     | Optional               |                           | Maximum Initial Investment amount |
| MININVESTMENTAMT                           | NUMBER       | 22     | Optional               |                           | Minimum Investment amount         |
| MAXINVESTMENTAMT                           | NUMBER       | 22     | Optional               |                           | Maximum Investment amount         |
| MINWITHDRAWALAMT                           | NUMBER       | 22     | Optional               |                           | Minimum Withdrawal amount         |
| MAXWITHDRAWALAMT                           | NUMBER       | 22     | Optional               |                           | Maximum Withdrawal amount         |

### 2.30.21 Fund Delivery Instruction Details

Serial Number: 20

Segment Identification Number: 19FDI

Description: This segment is used for providing information related to Fund Delivery Instruction

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values              |
|--|--------------|--------|------------------------|---------------------------|---------------------|
| SEGMENTID                                  | VAR-CHAR2    | 20     | Mandatory              |                           | Segment Id - 19FDI  |
| SEQUENCE-NUMBER                            | NUMBER       | 22     | Mandatory              |                           | Sequence Number     |
| PROCESSID                                  | VAR-CHAR2    | 50     | Mandatory              |                           | Process ID          |
| FUNDID                                     | VAR-CHAR2    | 6      | Optional               |                           | Fund ID             |
| RULEEFFECTIVEDATE                          | DATE         | 7      | Mandatory              |                           | Rule Effective Date |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values   |
|--|---------------|--------|------------------------|---------------------------|--|
| REGISTERE-<br>DACCOUNT                     | NUM-<br>BER   | 22     | Optional               |                           | Registered Unit<br>Holder Account. 0 -<br>No, 1 - Yes  |
| DELIVERYTO-<br>CUSTODIAN                   | NUM-<br>BER   | 22     | Optional               |                           | Deliver to Custodian<br>Account. 0 - No, 1 -<br>Yes  |
| DELIVERYTO-<br>CLGAGENT                    | NUM-<br>BER   | 22     | Optional               |                           | Deliver to Clearing<br>Agent. 0 - No, 1 - Yes  |
| PHYSI-<br>CALCERTDE-<br>LIVERY             | NUM-<br>BER   | 22     | Optional               |                           | Physical Delivery-<br>Certificate option. 0 -<br>No, 1 - Yes   |
| DEFAULTDE-<br>LIVERY                       | VAR-<br>CHAR2 | 1      | Optional               |                           | Default Account. R -<br>Registered Unit<br>Holder Account, C -<br>Deliver to Custodian<br>Account, A - Deliver to<br>Clearing Agent, P -<br>Physical Delivery-<br>Certificate option |
| CERTIFICAT-<br>EDENOMINA-<br>TIONS         | VAR-<br>CHAR2 | 200    | Optional               |                           | Certificate Denomina-<br>tion  |
| PUEV                                       | NUM-<br>BER   | 22     | Optional               |                           | PUEV.0 - No, 1 - Yes   |

### 2.30.22 Fund Investment Account Details

Serial Number: 21

Segment Identification Number: 20FIA

Description: This segment is used for providing information related to Fund Investment Account

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values            |
|--|---------------|--------|------------------------|---------------------------|-------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id -20FIA |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number   |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values              |
|--|---------------|--------|------------------------|---------------------------|---------------------|
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID          |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID             |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date |
| ACCOUNT-<br>TYPE                           | VAR-<br>CHAR2 | 2      | Optional               |                           | Account Type        |
| STARTDATE                                  | DATE          | 7      | Optional               |                           | Start Date          |
| ENDDATE                                    | DATE          | 7      | Mandatory              |                           | End Date            |
| MINORAGE                                   | NUM-<br>BER   | 22     | Mandatory              |                           | Minor Age           |

### 2.30.23 Fund investment Account SI Details

Serial Number: 22

Segment Identification Number: 21FIS

Description: This segment is used for providing information related to Fund investment Account SI

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values              |
|--|---------------|--------|------------------------|---------------------------|---------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id -21FIS   |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number     |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID          |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID             |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date |
| TRANSAC-<br>TIONTYPE                       | VAR-<br>CHAR2 | 2      | Mandatory              |                           | Transaction Type    |
| ACCOUNT-<br>TYPE                           | VAR-<br>CHAR2 | 2      | Mandatory              |                           | Account Type        |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values  |
|--|--------------|--------|------------------------|---------------------------|---|
| SIDESCRIPTION                              | VAR-CHAR2    | 255    | Optional               |                           | SI Description  |
| SIFREQUENCY                                | VAR-CHAR2    | 1      | Optional               |                           | SI Frequency. D - Daily, M - Monthly, Q - Quarterly, W - Weekly, Y - Yearly, F - Bi-Weekly, H - Half-Yearly |
| SIGENDAY                                   | VAR-CHAR2    | 3      | Optional               |                           | SI Generation day   |
| SIGENCYCLE                                 | VAR-CHAR2    | 1      | Optional               |                           | SI Generation day. M - Monthly, Q - Quarterly, H - Half Yearly, Y - Yearly                                  |
| MINSITX-NAMOUNT                            | NUMBER       | 22     | Optional               |                           | SI Minimum Amount   |
| MAXSITX-NAMOUNT                            | NUMBER       | 22     | Optional               |                           | SI Maximum Amount   |
| MINSITXN-UNITS                             | NUMBER       | 22     | Optional               |                           | SI Minimum Units  |
| MAXSITXN-UNITS                             | NUMBER       | 22     | Optional               |                           | SI Maximum Units  |
| HOLIDAY-RULE                               | VAR-CHAR2    | 1      | Mandatory              |                           | Holiday Rule. P - Prior, A - After  |
| CALENDER-BASIS                             | VAR-CHAR2    | 1      | Mandatory              |                           | Calender Basis. S - System Calender, C - Actual Calender, F - Fund Calender                                 |
| SICUTOFF-DAY                               | NUMBER       | 22     | Optional               |                           | SI Cut-Off Day  |
| SICUT-OFFLAG                               | NUMBER       | 22     | Mandatory              |                           | SI Cut-off Lag  |
| ENFORCESIRULE                              | VAR-CHAR2    | 1      | Mandatory              |                           | Enforce Generation. 0 - No, 1 - Yes   |
| MAXNOOFSI-TRANSACTIONS                     | NUMBER       | 22     | Optional               |                           | Max No. of SI Txns  |
| MAXVALIDITY                                | NUMBER       | 22     | Optional               |                           | Max. Validity(Days)   |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values                                       |
|--|---------------|--------|------------------------|---------------------------|--|
| SIAUTOTER-<br>MINATION-<br>COUNTER         | VAR-<br>CHAR2 | 3      | Optional               |                           | SI Auto-Termination<br>Counter               |
| LASTDAYOF-<br>SIGENCYCLE                   | NUM-<br>BER   | 22     | Optional               |                           | Last Day of SI Gen<br>Cycle. 0 - No, 1 - Yes |
| CONSSIAUTO-<br>COUNTER                     | NUM-<br>BER   | 22     | Optional               |                           | SI Auto-Termination<br>Consecutive Counter   |
| MAXSITXN-<br>SALLOWED                      | NUM-<br>BER   | 22     | Optional               |                           | Maximum SI Transac-<br>tions Allowed         |

### 2.30.24 KYC Fund Details

Serial Number: 23

Segment Identification Number: 22KYC

Description: This segment is used for providing information related to KYC Fund

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values               |
|--|---------------|--------|------------------------|---------------------------|----------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id -22KYC    |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number      |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID           |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID              |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date  |
| DOCUMENT-<br>DESCRIPTION                   | VAR-<br>CHAR2 | 255    | Mandatory              |                           | Document Description |

### 2.30.25 Hedge Fund Rules Details

Serial Number: 24

Segment Identification Number: 23HFR

Description: This segment is used for providing information related to Hedge Fund Rules



## Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Leng<br>th | Mandatory/<br>Optional | Characte<br>ristics/<br>rules | Values   |
|--|---------------|------------|------------------------|-------------------------------|--|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20         | Mandatory              |                               | Segment Id -23HFR  |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22         | Mandatory              |                               | Sequence Number  |
| PROCESSID                                  | VAR-<br>CHAR2 | 50         | Mandatory              |                               | Process ID   |
| FUNDID                                     | VAR-<br>CHAR2 | 6          | Optional               |                               | Fund ID  |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7          | Mandatory              |                               | Rule Effective Date  |
| HFUNDTYPE                                  | VAR-<br>CHAR2 | 1          | Mandatory              |                               | Hedge Fund Type. S -<br>Single/Simple, M -<br>Master, O - Fund of<br>Hedge Funds, P -<br>Side Pockets, L -<br>Lead Series, F - Fol-<br>low Up Series |
| TXNGENERA-<br>TIONBASIS                    | VAR-<br>CHAR2 | 1          | Optional               |                               | Transaction Genera-<br>tion Basis. N - Net, O -<br>Non Net, T - Non Net<br>Transaction   |
| MASTERFUN-<br>DUHID                        | VAR-<br>CHAR2 | 12         | Optional               |                               | Master Fund UH ID  |
| PFCOMPU-<br>METHOD                         | VAR-<br>CHAR2 | 4          | Optional               |                               | Computation Method   |
| PFACCRUAL-<br>FREQ                         | VAR-<br>CHAR2 | 1          | Optional               |                               | Accrual Frequency. D<br>- Daily, W - Weekly, M<br>- Monthly, Q - Quar-<br>terly, Y - Yearly  |
| PFPAYMENT-<br>FREQ                         | VAR-<br>CHAR2 | 1          | Optional               |                               | Payment Frequency.<br>M - Monthly, Q - Quar-<br>terly, H - Half Yearly, Y<br>- Yearly  |
| HURDLERA-<br>TEAPPLICA-<br>BLE             | VAR-<br>CHAR2 | 1          | Optional               |                               | Hurdle Rate Applica-<br>ble. Y - Yes, N - No   |
| HURDLETYPE                                 | VAR-<br>CHAR2 | 1          | Optional               |                               | Hurdle Type. C -<br>Cumulative, N - Non<br>Cumulative  |

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Leng<br>th | Mandatory/<br>Optional | Characte<br>ristics/<br>rules | Values   |
|--|---------------|------------|------------------------|-------------------------------|--|
| RESETHUR-<br>DLE                           | VAR-<br>CHAR2 | 1          | Optional               |                               | Reset Hurdle. Y - Yes,<br>N - No                                   |
| HURDLERA-<br>TETYPE                        | VAR-<br>CHAR2 | 20         | Optional               |                               | Hurdle Rate Type. F -<br>Fixed, V - Variable, S<br>- Semi Variable |
| PERFOR-<br>MANCE-<br>FEEPER                | NUM-<br>BER   | 22         | Optional               |                               | Performance Fee (%)  |
| RATECODE                                   | VAR-<br>CHAR2 | 10         | Optional               |                               | Rate Code  |
| HFUNDMAN-<br>AGER                          | VAR-<br>CHAR2 | 12         | Mandatory              |                               | Hedge Fund Manager   |
| RATEBASIS                                  | VAR-<br>CHAR2 | 1          | Optional               |                               | Rate Basis. 1 - Per-<br>centage (%), 2 - Basic<br>Points           |
| RATE                                       | NUM-<br>BER   | 22         | Optional               |                               | Rate   |
| LASTACCRU-<br>ALDATE                       | DATE          | 7          | Optional               |                               | Last Accrual Date  |
| LASTPAY-<br>MENTDATE                       | DATE          | 7          | Optional               |                               | Last Payment Date  |
| NEXTACCRU-<br>ALDATE                       | DATE          | 7          | Optional               |                               | Next accrual Date  |
| NEXTPAY-<br>MENTDATE                       | DATE          | 7          | Optional               |                               | Next Payment Date  |
| GROUPID                                    | VAR-<br>CHAR2 | 10         | Optional               |                               | Group ID   |
| HURDLECAL-<br>CMETHOD                      | VAR-<br>CHAR2 | 1          | Optional               |                               | Hurdle Calculation<br>Method. S - Simple, C<br>- Compound          |
| DAYBASIS                                   | VAR-<br>CHAR2 | 1          | Optional               |                               | Day Basis. 1 - Actual,<br>2 - 360, 3 - 365                         |

### 2.30.26 Hedge Fund Link Details

Serial Number: 25

Segment Identification Number: 24HFL

Description: This segment is used for providing information related to Hedge Fund Link

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values              |
|--|---------------|--------|------------------------|---------------------------|---------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id -24HFL   |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number     |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID          |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID             |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date |
| HEDGEFUN-<br>DID                           | VAR-<br>CHAR2 | 6      | Optional               |                           | Hedge Fund ID       |
| PERCENTAGE                                 | NUM-<br>BER   | 22     | Optional               |                           | Percentage          |
| UNITHOLDE-<br>RID                          | VAR-<br>CHAR2 | 12     | Mandatory              |                           | Unit Holder ID      |

### **2.30.27 Hedge Fund Investor Category Performance Fee Details**

Serial Number: 26

Segment Identification Number: 25HFC

Description: This segment is used for providing information related to Hedge Fund Investor Category Performance Fee

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type  | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values              |
|--|---------------|--------|------------------------|---------------------------|---------------------|
| SEGMENTID                                  | VAR-<br>CHAR2 | 20     | Mandatory              |                           | Segment Id -25HFC   |
| SEQUENCE-<br>NUMBER                        | NUM-<br>BER   | 22     | Mandatory              |                           | Sequence Number     |
| PROCESSID                                  | VAR-<br>CHAR2 | 50     | Mandatory              |                           | Process ID          |
| FUNDID                                     | VAR-<br>CHAR2 | 6      | Optional               |                           | Fund ID             |
| RULEEFFEC-<br>TIVEDATE                     | DATE          | 7      | Mandatory              |                           | Rule Effective Date |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values               |
|--|--------------|--------|------------------------|---------------------------|----------------------|
| INVCATEGORYID                              | VAR-CHAR2    | 12     | Optional               |                           | Investor Category ID |
| PERFORMANCEFEE                             | NUMBER       | 22     | Optional               |                           | Performance Fee      |

### 2.30.28 Multi Distribution Details

Serial Number: 27

Segment Identification Number: 26MID

Description: This segment is used for providing information related to Multi Distribution Details

Segment Mandatory / Optional: Optional

| Field Name<br>(as expected<br>in the file) | Data<br>type | Length | Mandatory/<br>Optional | Characteristics/<br>rules | Values                |
|--|--------------|--------|------------------------|---------------------------|-----------------------|
| SEGMENTID                                  | VAR-CHAR2    | 20     | Mandatory              |                           | Segment Id -26MID     |
| SEQUENCE-NUMBER                            | NUMBER       | 22     | Mandatory              |                           | Sequence Number       |
| PROCESSID                                  | VAR-CHAR2    | 50     | Mandatory              |                           | Process ID            |
| FUNDID                                     | VAR-CHAR2    | 6      | Optional               |                           | Fund ID               |
| RULEEFFECTIVEDATE                          | DATE         | 7      | Mandatory              |                           | Rule Effective Date   |
| CORPORATE-ACTIONTYPE                       | VAR-CHAR2    | 3      | Optional               |                           | Corporate Action Type |
| DISTRIBUTIONTYPE                           | VAR-CHAR2    | 3      | Optional               |                           | Distribution Type     |

## 2.30.29 Reinvestment Details Format

| Column name (as expected in the file) | Data type | Length | Mandatory? | Description  |
|---------------------------------------|-----------|--------|------------|--|
| REINVESTMENTUNITHOLDERID              | String    | 12     | Mandatory  | For unit holder income distribution setup records, this is the same as the unit holder ID and is defaulted during processing.  |
| REINVESTMENTFUNDID                    | String    | 6      | Mandatory  | The ID of the fund into which the income is being reinvested. The reinvestment fund ID should be unique for an income distribution setup record.   |
| SPLITPERCENTAGE                       | Number    | 5, 2   | Mandatory  | The split percentage to indicate the percentage of income that must be reinvested into the reinvestment fund.<br><br>The sum of the split percentages for an income distribution setup should add up to one hundred. |

## 2.30.30 Fund price currencies

Serial Number: 29

Segment Identification Number: 28PCH

Description: This segment is used to capture the fund price currencies

Segment Mandatory/ Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/ rules | Values              |
|--------------------------------------|-----------|--------|-----------|------------------------|---------------------|
| SEGMENTID                            | VAR-CHAR2 | 20     | No        |                        | Segment ID          |
| SEQUENCENUMBER                       | NUMBER    | 10     | Yes       |                        | Sequence Number     |
| PROCESSID                            | VAR-CHAR2 | 50     | Yes       |                        | Process ID          |
| FUNDID                               | VAR-CHAR2 | 6      | Yes       |                        | Fund ID             |
| RULEEFFECTIVEDATE                    | DATE      |        | Yes       |                        | Rule Effective Date |

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|-----------|-----------------------|--|
| CURRENCY-CODE                        | VAR-CHAR2 | 3      | Yes       |                       | The currency code which is added as the fund price ccy |
| MINHOLDINGAMOUNT                     | NUMBER    | 22     | No        |                       | The minimum holding amount for the corresponding FPC   |

Serial Number: 30

Segment Identification Number: 29PCD

Description: This segment is used to capture the details of the fund price currencies

Segment Mandatory/ Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|-----------|-----------------------|--|
| PROCESSID                            | VAR-CHAR2 | 50     | Yes       |                       | Process ID   |
| SEQUENCENUMBER                       | NUMBER    | 10     | Yes       |                       | Sequence Number  |
| SEGMENTID                            | VAR-CHAR2 | 20     | No        |                       | Segment id   |
| FUNDID                               | VAR-CHAR2 | 6      | Yes       |                       | Fund ID  |
| RULEEFFECTIVE-DATE                   | DATE      |        | Yes       |                       | Rule Effective Date                                    |
| CURRENCYCODE                         | VAR-CHAR2 | 3      | Yes       |                       | The currency code which is added as the fund price ccy |
| TRANSACTION-TYPE                     | VAR-CHAR2 | 2      | Yes       |                       | Transaction type mapped                                |
| MINTRANSACTIONAMOUNT                 | NUMBER    | 22     | Yes       |                       | Min. Transaction Amount                                |
| MAXTRANSACTIONAMOUNT                 | NUMBER    | 22     | Yes       |                       | Max. Transaction Amount                                |

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/rules | Values                          |
|--------------------------------------|-----------|--------|-----------|-----------------------|---------------------------------|
| MININITIALTX-NAMOUNT                 | NUMBER    | 22     | No        |                       | Min. Initial Transaction Amount |
| MAXINITIALTX-NAMOUNT                 | NUMBER    | 22     | Yes       |                       | Max. Initial Transaction Amount |
| STEPAMOUNT                           | NUMBER    | 22     | Yes       |                       | Step amount                     |
| MINSITX-NAMOUNT                      | NUMBER    | 22     | No        |                       | Min. SI Transaction Amount      |
| MAXSITX-NAMOUNT                      | NUMBER    | 22     | No        |                       | Max. SI Transaction Amount      |

Serial Number: 31

Segment Identification Number: 30FSR

Description: This segment is used to capture the share class restriction details

Segment Mandatory/ Optional: Optional

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/rules | Values   |
|--------------------------------------|-----------|--------|-----------|-----------------------|--|
| SEGMENTID                            | VAR-CHAR2 | 20     | No        |                       | Segment ID   |
| PROCESSID                            | VAR-CHAR2 | 50     | Yes       |                       | Process id   |
| SEQUENCENUMBER                       | NUMBER    | 10     | Yes       |                       | Sequence number  |
| FUNDID                               | VAR-CHAR2 | 6      | Yes       |                       | Fund ID  |
| RULEEFFECTIVE-DATE                   | DATE      |        | Yes       |                       | Rule Effective Date                                    |
| RESTRICTED-FUND                      | NUMBER    | 22     | No        |                       | Cannot be marked as Yes if fund risk level is not null |

| Field Name (as expected in the file) | Data type | Length | Mandatory | Characteristics/ rules | Values                                       |
|--------------------------------------|-----------|--------|-----------|------------------------|--|
| RESTRICTIONAPPLIEDTO                 | VAR-CHAR2 | 1      | No        |                        | Allowed/disallowed list of transaction types |
| TRANSACTION-TYPE                     | VAR-CHAR2 | 2      | Yes       |                        | Transaction type                             |
| REFTYPE                              | VAR-CHAR2 | 2      | Yes       |                        | Ref type                                     |

## 2.31 Unit Holder Deal File Format

### Note

For all imports of unit holder deal information, the external hand-off file containing the information must

- Always be named in the format “\*. ”.
- Always contain all information marked as mandatory in the table below.
- Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below:

| Field Name (as expected in the file) | Data type | Field length   | Mandatory | Characteristics / rules | Values   |
|--------------------------------------|-----------|----------------|-----------|-------------------------|--|
| SEQUENCE-NUMBER                      | Number    | number(10)     | Mandatory |                         | System generated sequence number for the records in the file   |
| PROCESSID                            | String    | var-char2(50)  | Mandatory |                         | The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely.<br><br>Each file must have a unique Process ID. This should be same for all records within a file. |
| BULKCLIENTCODE                       | String    | var-char2(12)  | Mandatory |                         | The Code of the Bulk client.   |
| IMPORT-FILE-NAME                     | String    | var-char2(255) | Mandatory |                         | Name of the file being uploaded should be specified. Each file must have a unique name.  |



| Field Name (as expected in the file) | Data type | Field length  | Mandatory | Characteristics / rules | Values   |
|--------------------------------------|-----------|---------------|-----------|-------------------------|--|
| UPLOAD-MODE                          | String    | var-char2(1)  | Mandatory |                         | Upload mode can be "N" for New unit holder uploads and 'I' for Incremental change.   |
| AMCID                                | String    | var-char2(12) | Mandatory |                         | The ID of the AMC for which the bulk client has maintained the default details. This should be same within a file for all the records. |
| DEAL-TRANSACTIONNUMBER               | String    | VAR-CHAR2(16) | Optional  |                         | Null for a new mode. It may or may not be passed for other modes.  |
| UHIDOR-CIFNUMBER                     | String    | VAR-CHAR2(12) | Mandatory |                         | Could be any valid unit holder ID or CIF number maintained in the system.  |
| APPLYAT-CIFLEVEL                     | Number    | NUMBER(1)     | Optional  |                         | Could be either 0- No or 1-Yes. If not supplied, value to be taken from bulk client defaults   |
| APPLYDEALAT                          | String    | VAR-CHAR2(1)  | Mandatory |                         | Could be any of the following values: G- Group Level, P- Product Level, F-Fund Level, L-Load level.                                    |
| STATUS                               | String    | VAR-CHAR2(1)  | Mandatory |                         | Could be either A- Active or C-Cancelled.  |
| EFFECTIVE-STARTDATE                  | date      | DATE          | Mandatory |                         | The effective date from which the deal is applicable   |
| EFFECTIVEEND-DATE                    | date      | DATE          | Optional  |                         | The effective date on which the deal ends. In case of null values it will take the default value as the system high date.              |
| AUTHSTATUS                           | String    | VAR-CHAR2(1)  | Mandatory |                         | Could be either A- Authorized or U- Unauthorized.  |
| LOAD-GROUPID                         | String    | VAR-CHAR2(16) | Optional  |                         | Could be any valid group ID maintained in system.  |
| FUNDID                               | String    | VAR-CHAR2(6)  | Optional  |                         | Could be any valid fund ID maintained in the system.   |

| Field Name (as expected in the file) | Data type | Field length   | Mandatory | Characteristics / rules | Values   |
|--------------------------------------|-----------|----------------|-----------|-------------------------|--|
| LOADID                               | Number    | NUMBER(5)      | Mandatory |                         | Could be any valid load ID maintained in the system.   |
| LOAD-SLABDETAILS                     | String    | VARCHAR2(2000) | Optional  |                         | Could be any valid slab details maintained for the load in the system. It should have the slab number and the return value. Field separator is "," and record separator is ";". slabno<br><returnvalue>;         |
| DERIVED-LOAD-SLABDETAILS             | String    | VARCHAR2(2000) | Optional  |                         | Could be any valid slab details maintained for the derived load in the system. It has derived load id, and return value. Field separator is "," and record separator is ";". <br><derivedloadid> ,<returnvalue>; |
| APPLY-RETURN-VALUE-TO-ALL-SLABS      | Number    | NUMBER(1)      | Optional  |                         | Could be either 0-No or 1-Yes  |
| RETURN-VALUE                         | Number    | NUMBER(15,6)   | Optional  |                         | If the above field is '1', then return value is applicable to all the slabs  |
| PRODUCTID                            | String    | VARCHAR2(10)   | Optional  |                         | Could be any valid product ID maintained in the system. For UT it should be 'UT'.<br><br>'ALL' – Option would be applicable for all products.  |
| USERID                               | String    | VARCHAR2(15)   | Mandatory |                         | Represents the user ID who has entered the latest record   |
| POLICY-NUMBER                        | String    | Var-char2(16)  | Optional  |                         | Could be any valid Policy maintained in the system. For UT, it should be 'UT'.   |
| DealBasedOn                          | String    | Varcahr2(1)    | Optional  |                         | I – Inclusion Percentage<br>A – Flat Rate Amount<br>P – Flat Rate Percentage   |

## 2.32 Cheque Tracking File Format

For all imports of new and amended Cheque Tracking data, the external hand-off file containing the information must:

- Always contain all information marked as mandatory in the table below.

- Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below:

| Field Name (as expected in the file) | Data type | Field length | Mandatory? | Characteristics / rules | Values   |
|--------------------------------------|-----------|--------------|------------|-------------------------|--|
| SEGMENTID                            | String    | 20           | Mandatory  |                         | Sequence no. of records in the file  |
| SEQUENCE-NUMBER                      | Number    | 10           | Mandatory  |                         | Sequence no. of records in the file  |
| PROCESSID                            | String    | 50           | Mandatory  |                         | The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely.<br><br>Each file must have a unique Process ID. This should be same for all records within a file. |
| BULKCLIENTCODE                       | String    | 12           | Mandatory  |                         | The Code of the Bulk client.   |
| IMPORTFILENAME                       | String    | 255          | Mandatory  |                         | Name of the file being uploaded should be specified. Each file must have a unique name.  |
| UPLOAD-MODE                          | String    | 1            | Mandatory  |                         | “N” for New Mode and ‘E’ for Edit Mode, “M” for modify mode , “D” for delete mode.   |
| AMCID                                | String    | 12           | Mandatory  |                         | The ID of the AMC/Distributor for which the bulk client has maintained the defaults details.   |
| CHEQUETYPE                           | String    | 3            | Mandatory  |                         | Should be valid type as maintained in the system.  |
| TRANSACTIONNUMBER                    | String    | 16           |            |                         | Transaction number should be specified if the Transaction Type is “RED”.   |
| FUNDID                               | String    | 6            | Mandatory  |                         | The ID of a valid and enabled fund maintained in the system  |
| PAYMENT-NUMBER                       | Number    | 5            | Mandatory  |                         | Payment number should be specified if the Transaction Type is “UHD”.   |
| UNITHOLDERID                         | String    | 12           | Mandatory  |                         | The Unit holder ID to identify a unit holder in the system, depending on the scheme/ cross reference number.   |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Field<br>length | Mand<br>atory? | Charact<br>eristics /<br>rules | Values  |
|--|--------------|-----------------|----------------|--------------------------------|---|
| PRODUCTID                                  | String       | 10              | Option<br>al   |                                | ID of the product under which<br>the corresponding Policy has<br>been created.  |
| CORPORA-<br>TEACTION-<br>TYPE              | String       | 1               | Option<br>al   |                                | C- Cash Dividend<br>S – Stock Dividend  |
| CHEQUEA-<br>MOUNT                          | Num-<br>ber  | 30,12           | Man-<br>datory |                                | This field denotes the cheque<br>Amount   |
| CHEQUE-<br>CURRENCY                        | String       | 3               | Man-<br>datory |                                | This field denotes the cheque<br>currency   |
| CHEQUE-<br>DATE                            | Date         | 10              | Man-<br>datory |                                | For check payment modes,<br>this is the date of issue of the<br>check.<br><br>Must not be later than the cur-<br>rent application date..  |
| CHEQUE-<br>NUMBER                          | String       | 16              | Man-<br>datory |                                | Indicates the check number<br>for Payment mode (Q) and<br>Credit card number for pay-<br>ment mode (R). This is man-<br>datory if mode of Payment is<br>check (Q) or credit card (R). |
| OLD-<br>CHEQUE-<br>NUMBER                  | String       | 16              | Option<br>al   |                                | This field denotes the previ-<br>ous cheque number.   |
| DRAWEE-<br>BANK                            | String       | 12              | Man-<br>datory |                                | Should be a valid Bank Code<br>as defined in the system   |
| DRAWEE-<br>BRANCH-<br>CODE                 | String       | 12              | Option<br>al   | Manda-<br>tory                 | Should be a valid Branch<br>Code as defined in the sys-<br>tem  |
| DUPLICATE                                  | String       | 1               | Option<br>al   |                                |   |
| TRANSAC-<br>TIONTYPE                       | String       | 4               | Option<br>al   | Manda-<br>tory                 | Should valid type as main-<br>tained in the system.   |
| SPOOLSERI-<br>ALNO                         | Num-<br>ber  | 10              | Option<br>al   |                                | Unique Serial No.   |
| CHECKSTA-<br>TUS                           | String       | 1               | Man-<br>datory |                                | Check Status( N- New, A –<br>Active, D- Duplicate, C-<br>Cleared, I – Invalid)  |
| CHECK-<br>PRINTED-<br>DATE                 | Date         | 10              | Option<br>al   |                                | Actual Chq Printed Date   |

| Field Name<br>(as expected<br>in the file) | Data<br>type | Field<br>length | Mand<br>atory? | Charact<br>eristics /<br>rules | Values   |
|--|--------------|-----------------|----------------|--------------------------------|--|
| FILENAME                                   | String       | 30              | Option<br>al   |                                | Chq Upload File Name   |
| PROCESSED                                  | String       | 1               | Option<br>al   | Manda-<br>tory                 | Unique Sequence number   |
| TIMESTAMP                                  | Date         | 10              | Option<br>al   |                                | Date Time Stamp  |
| PRINTERID                                  | String       | 15              | Option<br>al   |                                | Unique Sequence number   |
| USERID                                     | String       | 15              | Man-<br>datory |                                | Represents the user ID who<br>has entered the latest record  |
| DEPOSIT-<br>RYID                           | String       | 12              | Option<br>al   |                                | DP Id  |
| PAYMENT-<br>MODE                           | String       | 2               | Man-<br>datory |                                | Indicates mode of payment.<br>Can be any of the following<br>values. Q-Cheque, T-Trans-<br>fer, R-Credit card, C-Cash, D-<br>Draft |
| SUBPAY-<br>MENTMODE                        | String       | 2               | Option<br>al   |                                | Can have valid values as<br>defined in the mode of pay-<br>ment table  |
| PAYINBANK                                  | String       | 12              | Option<br>al   |                                | Should be a valid Bank as<br>defined in the system.  |
| PAYIN-<br>BRANCH                           | String       | 12              | Option<br>al   |                                | Should be a valid Brach as<br>defined in the system.   |
| PAYINNUM-<br>BER                           | String       | 12              | Option<br>al   |                                | Unique Serial Number   |
| MODULEID                                   | String       | 30              | Man-<br>datory |                                | Should be a valid module id<br>as defined in the system.   |
| COMMIS-<br>SION                            | Num-<br>ber  | 30,12           | Option<br>al   |                                | Entity Commission Amount   |
| CHEQOP-<br>TYPE                            | String       | 1               | Option<br>al   |                                | 0 – Cheque<br>3 – Demand Draft<br>4 – Outstation Cheques/DD<br>5 - Others  |
| SYSREFER-<br>ENCENUM-<br>BER               | String       | 25              | Man-<br>datory |                                | Unique Serial number   |
| MICRCODE                                   | String       | 40              |                |                                | Cheque MICR Code   |

| Field Name (as expected in the file) | Data type | Field length | Mandatory? | Characteristics / rules | Values  |
|--------------------------------------|-----------|--------------|------------|-------------------------|---|
| AUHTYPE                              | String    | 1            | Mandatory  |                         | Could be either of the following values: "U" - Unauthorized, "A" – Authorized |
| IBAN                                 | String    | 36           | Optional   |                         | Used to capture the IBAN  |

## 2.33 Pseudo Switch Lag Upload

This is used to capture the pseudo switch lag details

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Characteristics/ rules | Values                            |
|--------------------------------------|-----------|--------|--------------------|------------------------|-----------------------------------|
| SEGMENTID                            | VAR-CHAR2 | 80     | Mandatory          |                        | Segment ID. Value should be 00DET |
| SEQUENCE-NUMBER                      | NUMBER    | 22     | Mandatory          |                        | Sequence Number                   |
| PROCESSID                            | VAR-CHAR2 | 5      | Mandatory          |                        | Process ID                        |
| FUNDOR-FUNDFAMILY                    | VAR-CHAR2 | 2      | Mandatory          |                        | Default Value is Fund             |
| AMCID                                | VAR-CHAR2 | 12     | Mandatory          |                        | AMC ID                            |
| FROMFUND-FAMILYOR-FUND               | VAR-CHAR2 | 6      | Mandatory          |                        | From Fund Family or Fund          |
| TOFUNDFAMILYORFUND                   | VAR-CHAR2 | 6      | Mandatory          |                        | To Fund Family or Fund            |
| PSLAGOPTION                          | VAR-CHAR2 | 1      | Mandatory          |                        | PS lag option                     |
| SWITCHLAG                            | VAR-CHAR2 | 2      | Mandatory          |                        | Switch lag                        |
| CALENDARBASIS                        | VAR-CHAR2 | 30     | Optional           |                        | Calendar Basis                    |

## 2.34 Emergency Day Transaction Processing Upload

This is used to capture emergency day transaction processing review.

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Values  |
|--------------------------------------|-----------|--------|--------------------|---|
| SEQUENCENUMBER                       | NUMBER    |        | Mandatory          | Sequence number   |
| PROCESSID                            | VAR-CHAR2 | 50     | Mandatory          | Process ID  |
| BULKCLIENTCODE                       | VAR-CHAR2 | 12     | Mandatory          | bulkclientcode  |
| IMPORTFILENAME                       | VAR-CHAR2 | 255    | Mandatory          | importfilename  |
| KEYSTRING                            | VAR-CHAR2 | 50     | Optional           | keystring   |
| TRANSACTIONNUMBER                    | VAR-CHAR2 | 16     | Mandatory          | Transactionnumber   |
| TRANSACTIONTYPE                      | VAR-CHAR2 | 2      | Optional           | Transactiontype   |
| REFTYPE                              | VAR-CHAR2 | 2      | Optional           | Reftype   |
| FUNDID                               | VAR-CHAR2 | 6      | Optional           | Fundid  |
| AMCID                                | VAR-CHAR2 | 12     | Optional           | Amcid   |
| FUNDFAMILY                           | VAR-CHAR2 | 6      | Optional           | Fundfamily  |
| TRANSACTIONDATE                      | DATE      | 7      | Optional           | Transactiondate   |
| NEWTRANSACTIONDATE                   | DATE      | 7      | Optional           | System derived transaction date considering Emergency day |
| PRICEDATE                            | DATE      | 7      | Optional           | Pricedate   |
| NEWPRICEDATE                         | DATE      | 7      | Optional           | System derived price-date considering Emergency day       |
| PAYMENTDATE                          | DATE      | 7      | Optional           | Paymentdate   |

| Field Name (as expected in the file) | Data type | Length | Mandatory/Optional | Values  |
|--------------------------------------|-----------|--------|--------------------|---|
| NEWPAYMENT-DATE                      | DATE      | 7      | Optional           | System derived payment date considering Emergency day.        |
| DEALINGDATE                          | DATE      | 7      | Optional           | Dealingdate   |
| NEWDEALING-DATE                      | DATE      | 7      | Optional           | System derived dealing date considering Emergency day.        |
| FXDEALDATE                           | DATE      | 7      | Optional           | Fxdealdate  |
| NEWFXDEALDATE                        | DATE      | 7      | Optional           | System derived fxdeal date Considering Emergency day.         |
| FXVALUEDATE                          | DATE      | 7      | Optional           | Fxvaluedate   |
| NEWFXVALUE-DATE                      | DATE      | 7      | Optional           | System derived fxvalue date considering Emergency day         |
| PROCESSDATE                          | DATE      | 7      | Optional           | Date which is declared as Emergency day                       |
| USERID                               | VAR-CHAR2 | 15     | Mandatory          | User who reviewed the transactions                            |
| ACTION                               | VAR-CHAR2 | 1      | Mandatory          | Action performed on the review screen                         |
| MODULEID                             | VAR-CHAR2 | 30     | Optional           | Module Id   |
| EXCHANGERATE-STATUS                  | VAR-CHAR2 | 1      | Optional           | Exchange rate status  |
| SETTLEMENT-DUE DATE                  | DATE      | 7      | Optional           | Settlementduedate   |
| NEWSETTLEMENT-DUE DATE               | DATE      | 7      | Optional           | System derived Settlement due date considering Emergency day. |



## 2.35 Emergency Day Maintenance Upload

This is used to capture emergency day maintenance record:

| Field Name (as expected in the file) | Data Type | Length | Mandatory | Characteristics/ Rules Values   |
|--------------------------------------|-----------|--------|-----------|---|
| SEQUENCENUMBER                       | Number    | 10     | Mandatory | System generated sequence number of records in the file   |
| PROCESSID                            | String    | 50     | Mandatory | The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely. Each file must have a unique Process ID. This should be same for all records within a file. |
| BULKCLIENTCODE                       | String    | 12     | Mandatory | The Code of the Bulk client.  |
| IMPORTFILENAME                       | String    | 255    | Mandatory | Name of the file being uploaded should be specified. Each file must have a unique name.   |
| EMERGENCYDATE                        | Date      | 7      | Mandatory | The system defaults the system date.  |
| ALERTRECEIVED-TIME                   | String    | 5      | Mandatory | Specify the time when the alert is received. You need to maintain the time in HH24:MI format.   |
| COPYEXCHANGERATE                     | String    | 1      | Mandatory | Select if the exchange rate should be copied or not from the drop-down list.  |
| UserID                               | Var-char2 | 15     | Mandatory | Represents the user id who has entered the latest record.   |
| CHECK-ER_DT_STAMP                    | Date      | 7      | Optional  | This field specifies the date and time at which this record was authorized is displayed in this field. It is defaulted by system and will be in date format   |
| MOD_NO                               | Number    | 10     | Optional  | Represents the number of times the record got modified  |
| RECORD_STAT                          | CHAR      | 1      | Optional  | Represents whether the record is open-O or closed-C   |
| ONCE_AUTH                            | CHAR      | 1      | Optional  | Represents whether the record was authorized at least once after its creation.<br><br>Authorized(Y)/ Never Authorized(N)  |

| Field Name (as expected in the file) | Data Type     | Length | Mandatory | Characteristics/ Rules Values  |
|--------------------------------------|---------------|--------|-----------|--|
| ENTITYTYPE                           | Alpha-numeric | 2      | Optional  | The entity type for which the fund is mapped. This helps us to understand whether it is a Fund-Agent mapping, Fund-Check Vendor mapping, Fund-Clearing, Agent mapping, Fund-Investor mapping, Fund-Individual category mapping or Fund -Corporate category mapping |
| ENTITYID                             | Alpha-numeric | 12     | Optional  | The Entity ID which belongs to the entity type specified   |
| UPLOADMODE                           | String        | 1      | Mandatory | Upload Mode  |

## 2.36 Campaign Upload

Serial Number: 1

Segment Identification Number: 00CMP

Description: This is used to capture campaign upload details

Segment Mandatory/ Optional: Mandatory

| Field Name (as expected in the file) | Data type | Length | Mandatory /Optional | Values  |
|--------------------------------------|-----------|--------|---------------------|---|
| SEGMENTID                            | VARCHAR2  | 80     |                     | Segment ID - 00CMP  |
| SEQUENCE-NUMBER                      | NUMBER    | 10     |                     | Sequence Number (either sequence number or process id should be unique) |
| PROCESSID                            | VARCHAR2  | 50     |                     | Process ID (either sequence number or process id should be unique)      |
| BULKCLIENT-CODE                      | VARCHAR2  | 12     |                     | Bulk Client Code  |
| IMPORTFILE-NAME                      | VARCHAR2  | 255    |                     | Import File Name  |
| UPLOAD-MODE                          | VARCHAR2  | 1      |                     | Mode of operation which has to be performed on the record               |
| CAMPAIGN-CODE                        | VARCHAR2  | 10     | Mandatory           | Campaign Code   |

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Values  |
|--|-----------|--------|------------------------|---|
| CAMPAIGN-<br>NAME                          | VARCHAR2  | 60     | Mandatory              | Campaign Name   |
| CAMPAIGN-<br>TYPES                         | VARCHAR2  | 1      | Mandatory              | Campaign Types-. Valid<br>Values are:<br>A-Account Opening Date<br>T-Transaction Date<br>L-Loyalty,<br>B-Birth Day Campaign |
| STARTDATE                                  | DATE      |        | Mandatory              | Campaign code start date  |
| ENDDATE                                    | DATE      |        | Mandatory              | Campaign code end date  |
| INVESTOR-<br>TYPE                          | VARCHAR2  | 1      | Optional               | Investor type. Valid Val-<br>ues are:<br>I-individual,<br>C-Corporate   |
| INVESTOR-<br>CATEGORY                      | VARCHAR2  | 2      | Optional               | Investor category   |
| COMMUNICA-<br>TIONMODE                     | VARCHAR2  | 2      | Optional               | Communication mode  |
| OCCUPATION                                 | VARCHAR2  | 3      | Optional               | Occupation  |
| MININVEST-<br>MENTA-<br>MOUNT              | NUMBER    | 30,12  |                        | Minimum investment<br>amount.<br>Value should be greater<br>than zero   |
| MAXINVEST-<br>MENTA-<br>MOUNT              | NUMBER    | 30,12  |                        | Maximum investment<br>amount<br>Value should be greater<br>than zero and greater<br>than minimum invest-<br>ment amount     |
| ACTIVITY-<br>BASEDON                       | VARCHAR2  | 1      |                        | Activity Based on. .Valid<br>values are<br>T-Transaction Date,<br>A-Account Opening Date,<br>B-Birth Day                    |
| ACTIVITY-<br>FROMPE-<br>RIOD               | DATE      |        | Optional               | Activity from period  |

| Field Name<br>(as expected<br>in the file) | Data type | Length | Mandatory<br>/Optional | Values   |
|--|-----------|--------|------------------------|--|
| ACTIVITYTO-<br>PERIOD                      | DATE      |        | Optional               | Activity to period   |
| AGERANGE-<br>FROM                          | NUMBER    |        | Optional               | Age Range From   |
| AGERANGET<br>O                             | NUMBER    |        | Optional               | Age Range To   |
| BIRTHDAY-<br>CONCEPTS                      | VARCHAR2  | 50     | Optional               | Birth Day concepts -<br>Comma separated string                   |
| BENEFIT-<br>COUNTER                        | NUMBER    |        | Mandatory              | Benefit counter  |
| FUNDOR-<br>FUNDTYPE                        | NUMBER    | 38     | Optional               | Fund or Fund type  |
| PERIOD                                     | VARCHAR2  | 1      | Optional               | Period   |
| RSPDATE                                    | VARCHAR2  | 10     | Optional               | RSP Date   |
| RSP  | VARCHAR2  | 1      | Optional               | RSP  |
| USERID                                     | VARCHAR2  | 15     | Mandatory              | Represents the user id<br>who has entered the lat-<br>est record |
| AUTH_STAT                                  | VARCHAR2  | 1      | Optional               | Authorisation status   |
| BIRTHDAY-<br>MONTH                         | VARCHAR2  | 50     | Optional               | Birth Day Month -comma<br>separated string                       |

## 2.37 Special Discount Upload

This is used to capture special discount upload details.

| Field or Column<br>Name | Data Type     | Length | Table Name                   | Mandatory/<br>Optional |
|-------------------------|---------------|--------|------------------------------|------------------------|
| SEGMENTID               | VAR-<br>CHAR2 | 80     | BULKSPECIALDISC-<br>MAINTTBL | Mandatory              |
| SEQUENCENUM-<br>BER     | NUMBER        | 22     | BULKSPECIALDISC-<br>MAINTTBL | Mandatory              |
| PROCESSID               | VAR-<br>CHAR2 | 5      | BULKSPECIALDISC-<br>MAINTTBL | Mandatory              |
| BULKCLIENT-<br>CODE     | VAR-<br>CHAR2 | 12     | BULKSPECIALDISC-<br>MAINTTBL | Mandatory              |
| IMPORTFILE-<br>NAME     | VAR-<br>CHAR2 | 255    | BULKSPECIALDISC-<br>MAINTTBL | Mandatory              |

| Field or Column Name | Data Type | Length | Table Name               | Mandatory/Optional |
|----------------------|-----------|--------|--------------------------|--------------------|
| UPLOADMODE           | VAR-CHAR2 | 1      | BULKSPECIALDISC-MAINTTBL | Mandatory          |
| FUNDTYPE             | VAR-CHAR2 | 3      | BULKSPECIALDISC-MAINTTBL | Mandatory          |
| UNITHOLDERID         | VAR-CHAR2 | 12     | BULKSPECIALDISC-MAINTTBL | Mandatory          |
| TRANSACTION-DATE     | DATE      | 7      | BULKSPECIALDISC-MAINTTBL | Mandatory          |
| TRANSACTION-CURRENCY | VAR-CHAR2 | 22     | BULKSPECIALDISC-MAINTTBL | Optional           |
| CAMPAIGNCODE         | VAR-CHAR2 | 12     | BULKSPECIALDISC-MAINTTBL | Mandatory          |
| AUTH_STAT            | VAR-CHAR2 | 1      | BULKSPECIALDISC-MAINTTBL | Optional           |
| USERID               | VAR-CHAR2 | 15     | BULKSPECIALDISC-MAINTTBL | Mandatory          |
| ONCE_AUTH            | CHAR      | 1      | BULKSPECIALDISC-MAINTTBL | Optional           |
| MOD_NO               | NUMBER    | 22     | BULKSPECIALDISC-MAINTTBL | Optional           |
| RECORD_STAT          | CHAR      | 1      | BULKSPECIALDISC-MAINTTBL | Optional           |

## 2.38 Pension Order Upload

This section contains the following topics:

- [Section 2.38.1, "Segment Identification Number"](#)
- [Section 2.38.2, "Pension Order Upload"](#)

### 2.38.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 01MAS                         | This segment is used to provide pension order upload details             |
| 02INT                         | This segment is used to provide pension order instrument upload details  |
| 03AST                         | This segment is used to provide pension order asset upload detail        |
| 04INT                         | This segment is used to provide pension order intermediary upload detail |
| 05SET                         | This segment is used to provide pension order settlement upload detail   |
| 06SIN                         | This segment is used to provide pension order instrument upload detail   |
| 07SAS                         | This segment is used to provide pension order instrument upload detail   |
| 08ADD                         | This segment is used to provide additional information upload detail     |

### 2.38.2 Pension Order Upload

For Pension order upload, use UH bulk client code as pension is needed to be maintained in FMG.

Export path of one system should be import path for another system:

| Field name      | Description     | Datatype | Length | Table Name        |
|-----------------|-----------------|----------|--------|-------------------|
| SEGMENTID       | Segmentid       | VARCHAR2 | 20     | PATB_ORDERUP-LOAD |
| SEQUENCE-NUMBER | Sequencenum-ber | NUMBER   | 22     | PATB_ORDERUP-LOAD |
| PROCESSID       | Processid       | VARCHAR2 | 50     | PATB_ORDERUP-LOAD |
| BULKCLIENT-CODE | Bulkclientcode  | VARCHAR2 | 12     | PATB_ORDERUP-LOAD |
| UPLOAD-MODE     | Uploadmode      | VARCHAR2 | 1      | PATB_ORDERUP-LOAD |
| IMPORTFILE-NAME | Importfilename  | VARCHAR2 | 255    | PATB_ORDERUP-LOAD |
| ORDERNUM-BER    | Ordernumber     | VARCHAR2 | 16     | PATB_ORDERUP-LOAD |

| Field name           | Description        | Datatype | Length | Table Name        |
|----------------------|--------------------|----------|--------|-------------------|
| REFERENCE-NUMBER     | Referencenumber    | VARCHAR2 | 16     | PATB_ORDERUP-LOAD |
| PARTYID              | Partyid            | VARCHAR2 | 12     | PATB_ORDERUP-LOAD |
| PORTFOLIOID          | Portfolioid        | VARCHAR2 | 16     | PATB_ORDERUP-LOAD |
| ACCOUNTID            | Accountid          | VARCHAR2 | 16     | PATB_ORDERUP-LOAD |
| ORDERCURRENCY        | Ordercurrency      | VARCHAR2 | 3      | PATB_ORDERUP-LOAD |
| ORDERMODE            | Ordermode          | VARCHAR2 | 1      | PATB_ORDERUP-LOAD |
| ORDERVALUE           | Ordervalue         | NUMBER   | 30     | PATB_ORDERUP-LOAD |
| INSTRUCTIONDATE      | Instructiondate    | DATE     | 7      | PATB_ORDERUP-LOAD |
| ORDERTYPE            | Ordertype          | VARCHAR2 | 3      | PATB_ORDERUP-LOAD |
| ORDERSUB-TYPE        | Ordersubtype       | VARCHAR2 | 3      | PATB_ORDERUP-LOAD |
| PAYMENT-MODE         | Paymentmode        | VARCHAR2 | 2      | PATB_ORDERUP-LOAD |
| GROSSOR-NET          | Grossornet         | VARCHAR2 | 1      | PATB_ORDERUP-LOAD |
| MODULEID             | Moduleid           | VARCHAR2 | 30     | PATB_ORDERUP-LOAD |
| ORDERSTATUS          | Orderstatus        | NUMBER   | 2      | PATB_ORDERUP-LOAD |
| ORIGINAL-ALORDNUMBER | Originalord-number | VARCHAR2 | 16     | PATB_ORDERUP-LOAD |
| ORIGINAL-REFNUMBER   | Originalrefnum-ber | VARCHAR2 | 16     | PATB_ORDERUP-LOAD |
| AUTH_STAT            | Auth_Stat          | VARCHAR2 | 1      | PATB_ORDERUP-LOAD |
| ONCE_AUTH            | Once_Auth          | VARCHAR2 | 1      | PATB_ORDERUP-LOAD |
| RECORD_STAT          | Record_Stat        | VARCHAR2 | 1      | PATB_ORDERUP-LOAD |

| Field name       | Description      | Datatype | Length | Table Name                 |
|------------------|------------------|----------|--------|----------------------------|
| MOD_NO           | Mod_No           | NUMBER   | 22     | PATB_ORDERUP-LOAD          |
| MAKER_ID         | Maker_Id         | VARCHAR2 | 15     | PATB_ORDERUP-LOAD          |
| MAKER_DT_STAMP   | Maker_Dt_Stamp   | DATE     | 7      | PATB_ORDERUP-LOAD          |
| CHECKER_ID       | Checker_Id       | VARCHAR2 | 15     | PATB_ORDERUP-LOAD          |
| CHECKER_DT_STAMP | Checker_Dt_Stamp | DATE     | 7      | PATB_ORDERUP-LOAD          |
| REMARKS          | Remarks          | VARCHAR2 | 255    | PATB_ORDERUP-LOAD          |
| SWIORDER-STATUS  | Swiorderstatus   | NUMBER   | 2      | PATB_ORDERUP-LOAD          |
| SEGMENTID        | Segmentid        | VARCHAR2 | 20     | PATB_ORDERINSTRUMENTUPLOAD |
| SEQUENCE-NUMBER  | Sequencenumber   | NUMBER   | 22     | PATB_ORDERINSTRUMENTUPLOAD |
| PROCESSID        | Processid        | VARCHAR2 | 50     | PATB_ORDERINSTRUMENTUPLOAD |
| BULKCLIENT-CODE  | Bulkclientcode   | VARCHAR2 | 12     | PATB_ORDERINSTRUMENTUPLOAD |
| UPLOAD-MODE      | Uploadmode       | VARCHAR2 | 1      | PATB_ORDERINSTRUMENTUPLOAD |
| IMPORTFILE-NAME  | Importfilename   | VARCHAR2 | 255    | PATB_ORDERINSTRUMENTUPLOAD |
| ORDERNUMBER      | Ordernumber      | VARCHAR2 | 16     | PATB_ORDERINSTRUMENTUPLOAD |
| INSTRUMENTID     | Instrumentid     | VARCHAR2 | 16     | PATB_ORDERINSTRUMENTUPLOAD |
| SPLITPERCENTAGE  | Splitpercentage  | NUMBER   | 22     | PATB_ORDERINSTRUMENTUPLOAD |
| SEGMENTID        | Segmentid        | VARCHAR2 | 20     | PATB_ORDERINSTRUMENTUPLOAD |
| SEQUENCE-NUMBER  | Sequencenumber   | NUMBER   | 22     | PATB_ORDERINSTRUMENTUPLOAD |



| Field name       | Description     | Datatype | Length | Table Name                    |
|------------------|-----------------|----------|--------|-------------------------------|
| PROCESSID        | Processid       | VARCHAR2 | 50     | PATB_ORDERINS-TASSETUPLOAD    |
| BULKCLIENT-CODE  | Bulkclientcode  | VARCHAR2 | 12     | PATB_ORDERINS-TASSETUPLOAD    |
| UPLOAD-MODE      | Uploadmode      | VARCHAR2 | 1      | PATB_ORDERINS-TASSETUPLOAD    |
| IMPORTFILE-NAME  | Importfilename  | VARCHAR2 | 255    | PATB_ORDERINS-TASSETUPLOAD    |
| ORDERNUMBER      | Ordernumber     | VARCHAR2 | 16     | PATB_ORDERINS-TASSETUPLOAD    |
| INSTRUMENTID     | Instrumentid    | VARCHAR2 | 16     | PATB_ORDERINS-TASSETUPLOAD    |
| ASSETID          | Assetid         | VARCHAR2 | 16     | PATB_ORDERINS-TASSETUPLOAD    |
| RATIO            | Ratio           | NUMBER   | 22     | PATB_ORDERINS-TASSETUPLOAD    |
| OVERRIDE         | Override        | VARCHAR2 | 1      | PATB_ORDERINS-TASSETUPLOAD    |
| SEGMENTID        | Segmentid       | VARCHAR2 | 20     | PATB_ORDERINTER-MEDIARYUPLOAD |
| SEQUENCE-NUMBER  | Sequencenum-ber | NUMBER   | 22     | PATB_ORDERINTER-MEDIARYUPLOAD |
| PROCESSID        | Processid       | VARCHAR2 | 50     | PATB_ORDERINTER-MEDIARYUPLOAD |
| BULKCLIENT-CODE  | Bulkclientcode  | VARCHAR2 | 12     | PATB_ORDERINTER-MEDIARYUPLOAD |
| UPLOAD-MODE      | Uploadmode      | VARCHAR2 | 1      | PATB_ORDERINTER-MEDIARYUPLOAD |
| IMPORTFILE-NAME  | Importfilename  | VARCHAR2 | 255    | PATB_ORDERINTER-MEDIARYUPLOAD |
| ORDERNUMBER      | Ordernumber     | VARCHAR2 | 16     | PATB_ORDERINTER-MEDIARYUPLOAD |
| INTERMEDI-ARYID  | Intermediaryid  | VARCHAR2 | 12     | PATB_ORDERINTER-MEDIARYUPLOAD |
| SPLITPER-CENTAGE | Splitpercentage | NUMBER   | 22     | PATB_ORDERINTER-MEDIARYUPLOAD |
| SEGMENTID        | Segmentid       | VARCHAR2 | 20     | PATB_ORDERSET-TLEMENTUPLOAD   |

| Field name         | Description        | Datatype | Length | Table Name                   |
|--------------------|--------------------|----------|--------|------------------------------|
| SEQUENCE-NUMBER    | Sequencenumber     | NUMBER   | 22     | PATB_ORDERSETTLEMENTUPLOAD   |
| PROCESSID          | Processid          | VARCHAR2 | 50     | PATB_ORDERSETTLEMENTUPLOAD   |
| BULKCLIENT-CODE    | Bulkclientcode     | VARCHAR2 | 12     | PATB_ORDERSETTLEMENTUPLOAD   |
| UPLOAD-MODE        | Uploadmode         | VARCHAR2 | 1      | PATB_ORDERSETTLEMENTUPLOAD   |
| IMPORTFILE-NAME    | Importfilename     | VARCHAR2 | 255    | PATB_ORDERSETTLEMENTUPLOAD   |
| ORDERNUMBER        | Ordernumber        | VARCHAR2 | 16     | PATB_ORDERSETTLEMENTUPLOAD   |
| PAYMENTREF-NUMBER  | Paymentref-number  | VARCHAR2 | 16     | PATB_ORDERSETTLEMENTUPLOAD   |
| BANKREFERENCENO    | Bankreferenceno    | VARCHAR2 | 16     | PATB_ORDERSETTLEMENTUPLOAD   |
| CHEQUEDATE         | Chequedate         | DATE     | 7      | PATB_ORDERSETTLEMENTUPLOAD   |
| CHEQUENUMBER       | Chequenummer       | VARCHAR2 | 20     | PATB_ORDERSETTLEMENTUPLOAD   |
| PAYMENTAMOUNT      | Paymentamount      | NUMBER   | 22     | PATB_ORDERSETTLEMENTUPLOAD   |
| PAIDDATE           | Paiddate           | DATE     | 7      | PATB_ORDERSETTLEMENTUPLOAD   |
| SETTLED            | Settled            | VARCHAR2 | 1      | PATB_ORDERSETTLEMENTUPLOAD   |
| AUTOSETTLEMENT     | AUTOSETTLEMENT     | NUMBER   | 1      | PATB_ORDERSETTLEMENTUPLOAD   |
| SETTLEMENT-DUEDATE | SETTLEMENT-DUEDATE | DATE     | 7      | PATB_ORDERSETTLEMENTUPLOAD   |
| SEGMENTID          | Segmentid          | VARCHAR2 | 20     | PATB_SWORDERINSTRUMENTUPLOAD |
| SEQUENCE-NUMBER    | Sequencenumber     | NUMBER   | 22     | PATB_SWORDERINSTRUMENTUPLOAD |
| PROCESSID          | Processid          | VARCHAR2 | 50     | PATB_SWORDERINSTRUMENTUPLOAD |
| BULKCLIENT-CODE    | Bulkclientcode     | VARCHAR2 | 12     | PATB_SWORDERINSTRUMENTUPLOAD |

| Field name      | Description     | Datatype | Length | Table Name                   |
|-----------------|-----------------|----------|--------|------------------------------|
| UPLOAD-MODE     | Uploadmode      | VARCHAR2 | 1      | PATB_SWORDERINSTRUMENTUPLOAD |
| IMPORTFILE-NAME | Importfilename  | VARCHAR2 | 255    | PATB_SWORDERINSTRUMENTUPLOAD |
| ORDERNUMBER     | Ordernumber     | VARCHAR2 | 16     | PATB_SWORDERINSTRUMENTUPLOAD |
| INSTRUMENTID    | Instrumentid    | VARCHAR2 | 16     | PATB_SWORDERINSTRUMENTUPLOAD |
| SPLITPERCENTAGE | Splitpercentage | NUMBER   | 22     | PATB_SWORDERINSTRUMENTUPLOAD |
| SEGMENTID       | Segmentid       | VARCHAR2 | 20     | PATB_SWORDERINSTASSETUPLOAD  |
| SEQUENCE-NUMBER | Sequencenumber  | NUMBER   | 22     | PATB_SWORDERINSTASSETUPLOAD  |
| PROCESSID       | Processid       | VARCHAR2 | 50     | PATB_SWORDERINSTASSETUPLOAD  |
| BULKCLIENT-CODE | Bulkclientcode  | VARCHAR2 | 12     | PATB_SWORDERINSTASSETUPLOAD  |
| UPLOAD-MODE     | Uploadmode      | VARCHAR2 | 1      | PATB_SWORDERINSTASSETUPLOAD  |
| IMPORTFILE-NAME | Importfilename  | VARCHAR2 | 255    | PATB_SWORDERINSTASSETUPLOAD  |
| ORDERNUMBER     | Ordernumber     | VARCHAR2 | 16     | PATB_SWORDERINSTASSETUPLOAD  |
| INSTRUMENTID    | Instrumentid    | VARCHAR2 | 16     | PATB_SWORDERINSTASSETUPLOAD  |
| ASSETID         | Assetid         | VARCHAR2 | 16     | PATB_SWORDERINSTASSETUPLOAD  |
| RATIO           | Ratio           | NUMBER   | 22     | PATB_SWORDERINSTASSETUPLOAD  |
| OVERRIDE        | Override        | VARCHAR2 | 1      | PATB_SWORDERINSTASSETUPLOAD  |
| SEGMENTID       | Segmentid       | VARCHAR2 | 20     | PATB_ADDINFOUPLOAD           |
| SEQUENCE-NUMBER | Sequencenumber  | NUMBER   | 22     | PATB_ADDINFOUPLOAD           |
| PROCESSID       | Processid       | VARCHAR2 | 50     | PATB_ADDINFOUPLOAD           |

| Field name      | Description    | Datatype | Length | Table Name          |
|-----------------|----------------|----------|--------|---------------------|
| BULKCLIENT-CODE | Bulkclientcode | VARCHAR2 | 12     | PATB_ADDINFOUP-LOAD |
| UPLOAD-MODE     | Uploadmode     | VARCHAR2 | 1      | PATB_ADDINFOUP-LOAD |
| IMPORTFILE-NAME | Importfilename | VARCHAR2 | 255    | PATB_ADDINFOUP-LOAD |
| ORDERNUMBER     | Ordernumber    | VARCHAR2 | 16     | PATB_ADDINFOUP-LOAD |
| ADDINFOLABEL    | Addinfo-label  | VARCHAR2 | 30     | PATB_ADDINFOUP-LOAD |
| FIELDVALUE      | Fieldvalue     | CHAR     | 23     | PATB_ADDINFOUP-LOAD |

## 2.39 Payroll and Pension Data Interface Standard (PAPDIS) Upload

The DATE format for Payroll and Pension Data Interface Standard (PAPDIS) upload to be maintained as per the PAPDIS standard format which is YYYY-MM-DD. Format maintained at interface definition level will be overridden by this.

### Note

CFU and PAPDIS are the standard format, where we cannot have the audit fields in the upload template.

### Contribution File Upload Upload

Employer level portfolio mapping for the following portfolios mandatory for CFU/PAPDIS upload:

- EMPRMND
- EMPYMND
- EMPYVOL
- EMPRVOL – Not applicable for PAPDIS

Export path of one system should be import path for another system.

| Field name      | Description                              | Datatype | Length |
|-----------------|--|----------|--------|
| SEQUENCENUMBER  | Sequence number of the record            | VARCHAR2 | 10     |
| PROCESSID       | Process ID of the record                 | VARCHAR2 | 50     |
| BULKCLIENT-CODE | Bulk client code for the external system | VARCHAR2 | 12     |
| UPLOADMODE      | Mode of upload(N,E,A,D)                  | VARCHAR2 | 1      |

| Field name           | Description                       | Datatype | Length |
|----------------------|-----------------------------------|----------|--------|
| IMPORTFILENAME       | Name of the file                  | VARCHAR2 | 255    |
| MODULEID             | Module                            | VARCHAR2 | 30     |
| PAPDISVERSION        | Version                           | VARCHAR2 | 5      |
| PENSIONPROVIDERID    | ID of the pension provider        | VARCHAR2 | 35     |
| EMPLOYERID           | ID of the employer                | VARCHAR2 | 40     |
| GROUPCODE            | Group code                        | VARCHAR2 | 40     |
| SUBGROUP             | Sub group                         | VARCHAR2 | 40     |
| PAYPERIOD-STARTDATE  | Start date of the pay period      | VARCHAR2 | 10     |
| PAYPERIODEND-DATE    | End date of the pay period        | VARCHAR2 | 10     |
| CONTRIDEDUC-TIONDATE | Deduction date of the contri      | VARCHAR2 | 10     |
| FREQUENCY-CODE       | Code of frequency                 | VARCHAR2 | 2      |
| TAXPERIOD            | Tax period                        | VARCHAR2 | 2      |
| TITLE                | Title                             | VARCHAR2 | 35     |
| FORENAME1            | Forename1                         | VARCHAR2 | 35     |
| FORENAME2            | Forename2                         | VARCHAR2 | 35     |
| SURNAME              | Surname                           | VARCHAR2 | 35     |
| EMPLOYEEID           | EmployeeID                        | VARCHAR2 | 35     |
| BIRTHDATE            | Birthdate                         | VARCHAR2 | 10     |
| GENDER               | Gender                            | VARCHAR2 | 1      |
| NATIONALINSUR-ANCENO | Number of national insur-<br>ance | VARCHAR2 | 9      |
| ADDRESS1             | Address1                          | VARCHAR2 | 35     |
| ADDRESS2             | Address2                          | VARCHAR2 | 35     |
| ADDRESS3             | Address3                          | VARCHAR2 | 35     |
| ADDRESS4             | Address4                          | VARCHAR2 | 35     |
| POSTCODE             | Post code                         | VARCHAR2 | 10     |
| COUNTRY              | Country                           | VARCHAR2 | 35     |

| Field name               | Description              | Datatype | Length |
|--------------------------|--------------------------|----------|--------|
| EMAILADDRESS             | Emailaddress             | VARCHAR2 | 75     |
| EMPLOYMENT-STARTDATE     | Employmentstartdate      | VARCHAR2 | 10     |
| EXITDATE                 | Exitdate                 | NUMBER   | 10     |
| EXITREASON-CODE          | Exitreasoncode           | NUMBER   | 1      |
| ASSESSMENT-CODE          | Assessmentcode           | NUMBER   | 1      |
| EVENTCODE                | Eventcode                | VARCHAR2 | 1      |
| EVENTDATE                | Eventdate                | VARCHAR2 | 10     |
| DEFERRALDATE             | Deferraldate             | VARCHAR2 | 10     |
| AEOPTOUTDATE             | Aeoptoutdate             | VARCHAR2 | 10     |
| AEOPTOUTREFERENCE        | Aeoptoutreference        | VARCHAR2 | 35     |
| ENROLMENT-COMMISSUEDDT   | Enrolmentcommissueddt    | NUMBER   | 10     |
| EMPLOYERCONTRIBUTIONSAMT | Employercontributionsamt | NUMBER   | 32     |
| EMPLOYEECONTRIBUTIONSAMT | Employeecontributionsamt | NUMBER   | 32     |
| ADDITIONALVOLUNTARYAMT   | Additionalvoluntaryamt   | NUMBER   | 32     |
| ADDITIONALVOLUNTARYPER   | Additionalvoluntaryper   | NUMBER   | 5      |
| PENSIONABLEEARNING-SAMT  | Pensionableearningsamt   | NUMBER   | 32     |
| EMPLOYERCONTRIBUTIONSPER | Employercontributionsper | NUMBER   | 5      |
| EMPLOYEECONTRIBUTIONSPER | Employeecontributionsper | VARCHAR2 | 5      |

| Field name                      | Description              | Datatype | Length |
|---------------------------------|--------------------------|----------|--------|
| SALARYSACRIFI-<br>CEINDICATOR   | Salarysacrificeindicator | VARCHAR2 | 1      |
| TOTALGROS-<br>SEARNINGS         | Totalgrossearnings       | NUMBER   | 32     |
| WORKEREXCLU-<br>SIONCODE        | Workerexclusioncode      | VARCHAR2 | 2      |
| STATUTORYLET-<br>TERCODE        | Statutorylettercode      | VARCHAR2 | 3      |
| ISINDALREADY-<br>MEMBEROFQPS    | Isindalreadymemberofqps  | VARCHAR2 | 1      |
| REENROL-<br>MENTINDICATOR       | Reenrolmentindicator     | VARCHAR2 | 1      |
| SECOND-<br>ARYEMAILAD-<br>DRESS | Secondaryemailaddress    | VARCHAR2 | 75     |
| STAGINGDATE                     | Stagingdate              | VARCHAR2 | 10     |
| CYCLICALREEN-<br>ROLMENTDATE    | Cyclicalreenrolmentdate  | NUMBER   | 10     |
| MESSAGEFUNC-<br>TIONCODE        | Messagefunctioncode      | VARCHAR2 | 3      |
| PAYREFERENCE-<br>STARTDATE      | Payreferencestartdate    | VARCHAR2 | 10     |
| PAYREFEREN-<br>CEENDDATE        | Payreferenceenddate      | VARCHAR2 | 10     |
| OPTOUTWIN-<br>DOWENDDATE        | Optoutwindowenddate      | VARCHAR2 | 10     |

## 2.40 Contribution File Upload (CFU) Upload

CFU and PAPDIS are the standard format, where we cannot have the audit fields in the upload template.

| Field name | Description | Datatype | Length | Table Name            |
|------------|-------------|----------|--------|-----------------------|
| SEGMENTID  | Segmentid   | VARCHAR2 | 20     | PATB_CFUP-<br>LOADHDR |

| Field name           | Description               | Datatype | Length | Table Name           |
|----------------------|---------------------------|----------|--------|----------------------|
| PROCESSID            | Processid                 | VARCHAR2 | 50     | PATB_CFUP-LOADHDR    |
| BULKCLIENT-CODE      | Bulkclientcode            | VARCHAR2 | 12     | PATB_CFUP-LOADHDR    |
| UPLOADMODE           | Uploadmode                | VARCHAR2 | 1      | PATB_CFUP-LOADHDR    |
| BATCHREFNUMBER       | Batchrefnumber            | VARCHAR2 | 16     | PATB_CFUP-LOADHDR    |
| EMPLOYER-CODE        | Employercode              | VARCHAR2 | 12     | PATB_CFUP-LOADHDR    |
| EARNINGSST-PERIOD    | Earningsstperiod          | VARCHAR2 | 11     | PATB_CFUP-LOADHDR    |
| EARNINGSEND-PERIOD   | Earningsend-period        | VARCHAR2 | 11     | PATB_CFUP-LOADHDR    |
| CONTRIBUTIONCCY      | Contributionccy           | VARCHAR2 | 3      | PATB_CFUP-LOADHDR    |
| TOTALCONTRIBUTIONAMT | Totalcontribution-<br>amt | NUMBER   | 30     | PATB_CFUP-LOADHDR    |
| SETTLEMEN-TREQ       | Settlementreq             | VARCHAR2 | 1      | PATB_CFUP-LOADHDR    |
| PAYMENTMODE          | Payment Mode              | VARCHAR2 | 2      | PATB_CFUP-LOADHDR    |
| BATCHSTATUS          | Batch Status              | VARCHAR2 | 1      | PATB_CFUP-LOADHDR    |
| SEGMENTID            | Segmentid                 | VARCHAR2 | 20     | PATB_CFUP-LOADDETAIL |
| SEQUENCE-NUMBER      | Sequencenumber            | NUMBER   | 10     | PATB_CFUP-LOADDETAIL |
| PROCESSID            | Processid                 | VARCHAR2 | 50     | PATB_CFUP-LOADDETAIL |
| EMPLOYEE-CODE        | Employeecode              | VARCHAR2 | 12     | PATB_CFUP-LOADDETAIL |
| EMPLOYERMAN-DATORY   | Employermanda-<br>tory    | NUMBER   | 30     | PATB_CFUP-LOADDETAIL |
| EMPLOYEEEMAN-DATORY  | Employeeemanda-<br>tory   | NUMBER   | 30     | PATB_CFUP-LOADDETAIL |
| EMPLOYERVOL-UNTARY   | Employervolun-<br>tary    | NUMBER   | 30     | PATB_CFUP-LOADDETAIL |



| Field name             | Description            | Datatype | Length | Table Name               |
|------------------------|------------------------|----------|--------|--------------------------|
| EMPLOYEEVOL-<br>UNTARY | Employeevolun-<br>tary | NUMBER   | 30     | PATB_CFUP-<br>LOADDETAIL |
| REMARKS                | Remarks                | VARCHAR2 | 255    | PATB_CFUP-<br>LOADDETAIL |
| BASICPAY               | Basic Pay              | NUMBER   | 30     | PATB_CFUP-<br>LOADDETAIL |
| EXITDATE               | Exit Date              | DATE     | 7      | PATB_CFUP-<br>LOADDETAIL |
| REASONCODE             | Reason Code            | NUMBER   | 1      | PATB_CFUP-<br>LOADDETAIL |
| RECORDSTATUS           | Record Status          | VARCHAR2 | 1      | PATB_CFUP-<br>LOADDETAIL |

## 2.41 CFU - Order Generation

This section contains the following topics:

- [Section 2.41.1, "Segment Identification Number"](#)
- [Section , "CFU Order Generation"](#)

### 2.41.1 Segment Identification Number

| Segment Identification | Segment Identification                             |
|------------------------|--|
| HDR                    | This segment is used to provide CFU Header details |
| DET                    | This segment is used to provide CFU Upload details |

### CFU Order Generation

| Field Name          | Description         | Datatype | Length | Table name       |
|---------------------|---------------------|----------|--------|------------------|
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PATB_CFUPLOADHDR |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PATB_CFUPLOADHDR |
| BULKCLIENT-<br>CODE | Bulkclient-<br>code | VARCHAR2 | 12     | PATB_CFUPLOADHDR |
| UPLOADMODE          | Uploadmode          | VARCHAR2 | 1      | PATB_CFUPLOADHDR |
| BATCHREF-<br>NUMBER | Batchref-<br>number | VARCHAR2 | 16     | PATB_CFUPLOADHDR |
| EMPLOYER-<br>CODE   | Employer-<br>code   | VARCHAR2 | 12     | PATB_CFUPLOADHDR |

| Field Name           | Description          | Datatype | Length | Table name          |
|----------------------|----------------------|----------|--------|---------------------|
| EARNINGSST-PERIOD    | Earningsst-period    | VARCHAR2 | 11     | PATB_CFUPLOADHDR    |
| EARNINGSSEND-PERIOD  | Earningsend-period   | VARCHAR2 | 11     | PATB_CFUPLOADHDR    |
| CONTRIBUTIONCCY      | Contributionccy      | VARCHAR2 | 3      | PATB_CFUPLOADHDR    |
| TOTALCONTRIBUTIONAMT | Totalcontributionamt | NUMBER   | 30     | PATB_CFUPLOADHDR    |
| SETTLEMENTREQ        | Settlementreq        | VARCHAR2 | 1      | PATB_CFUPLOADHDR    |
| SEGMENTID            | Segmentid            | VARCHAR2 | 20     | PATB_CFUPLOADDETAIL |
| SEQUENCE-NUMBER      | Sequence-number      | NUMBER   | 10     | PATB_CFUPLOADDETAIL |
| PROCESSID            | Processid            | VARCHAR2 | 50     | PATB_CFUPLOADDETAIL |
| EMPLOYEE-CODE        | Employee-code        | VARCHAR2 | 12     | PATB_CFUPLOADDETAIL |
| EMPLOYER-MANDATORY   | Employer-mandatory   | NUMBER   | 30     | PATB_CFUPLOADDETAIL |
| EMPLOYEE-MANDATORY   | Employee-mandatory   | NUMBER   | 30     | PATB_CFUPLOADDETAIL |
| EMPLOY-ERVOLUNTARY   | Employ-ervoluntary   | NUMBER   | 30     | PATB_CFUPLOADDETAIL |
| EMPLOYEEVOLUNTARY    | Employeeevoluntary   | NUMBER   | 30     | PATB_CFUPLOADDETAIL |
| REMARKS              | Remarks              | VARCHAR2 | 255    | PATB_CFUPLOADDETAIL |
| BASICPAY             | Basicpay             | NUMBER   | 30     | PATB_CFUPLOADDETAIL |
| EXITDATE             | Exitdate             | DATE     | 7      | PATB_CFUPLOADDETAIL |
| REASONCODE           | REASON-CODE          | NUMBER   | 3      | PATB_CFUPLOADDETAIL |
| PROCESSTATUS         | PROCESSTATUS         | VARCHAR2 | 1      | PATB_CFUPLOADDETAIL |

Following validations will be common for both CFU Upload and CFU order generation uploads:

| <b>Error Codes</b> | <b>Error Messages</b>  | <b>Use case</b>   |
|--------------------|--|---|
| E-DUPBA-TREFNO     | Duplicate batch reference number   | If same batch reference number is used in upload file   |
| E-CFUREASON        | Reason code for contribution file not maintained   | If all contributions are empty and reason code is blank system will display this error                  |
| E-NOACCOUNT        | Pension account is not available for this employee   | If investment account if the employee is not an active pension account                                  |
| E-DUPCONT          | Contributions already processed for employee [Employeecode] for the period [Start period] and [End period] | If investments are already processed successfully for the employee for the uploaded contribution period |
| E-DECMEM           | Contributions are not allowed for Deceased member  | If employee is deceased and user tries to upload  |
| E-NOCON-TRIEMP     | Contribution amount missing for the employee   | If Contribution amount is missing   |
| E-EMPLOMAN-CON     | Employer mandatory contribution amount should be entered or reason should be provided                      | No employer mandatory and reason code also blank  |
| E-EMPLYMAN-CON     | Employee mandatory contribution amount should be entered or reason should be provided                      | No employee mandatory and reason code is blank  |
| E-EXITWOREAS       | Employee exit date provided, without reason code   | Exit date without reason code   |
| E-INVREASON-CODE   | Invalid reason code  | Invalid reason code   |
| E-EMPRCONT-MIS     | Contribution amount not matching employee group limits   | Contribution amount not matching employee group limits  |
| E-EMPYCONT-MIS     | Contribution amount not matching employee group limits   | Contribution amount not matching employee group limits  |
| E-INVEXITDATE      | Exit Date cannot be lesser than employee's Date of Joining   | Exit Date cannot be lesser than employee's Date of Joining  |
| E-INVSTEND-PERIOD  | Contribution period cannot be lesser than employees Date of Joining  | Contribution period cannot be lesser than employees Date of Joining                                     |

## 2.42 Pension Order Import

This section contains the following topics:

- [Section 2.42.1, "Section Identification Number"](#)
- [Section 2.42.2, "Pension Order Import Upload"](#)

## 2.42.1 Section Identification Number

| Segment Identification Number | Description   |
|-------------------------------|---|
| 01ORD                         | This segment is used to provide Pension order master upload details                   |
| 02OIN                         | This segment is used to provide Pension order instrument upload details               |
| 03OIA                         | This segment is used to provide Pension order investment asset upload detail          |
| 04OIT                         | This segment is used to provide Pension order intermediary upload detail              |
| 05OSE                         | This segment is used to provide Pension settlement upload detail                      |
| 06PMA                         | This segment is used to provide Pension party master upload detail                    |
| 07PCO                         | This segment is used to provide Pension party contact upload detail                   |
| 08PID                         | This segment is used to provide Pension party ID upload detail                        |
| 09IAM                         | This segment is used to provide Pension investment master upload detail               |
| 10IAI                         | This segment is used to provide Pension investment account intermediary upload detail |
| 11IAB                         | This segment is used to provide Pension bank upload detail                            |
| 12IBE                         | This segment is used to provide Pension investment account beneficiary upload detail  |
| 13ALO                         | This segment is used to provide Pension order allocation upload detail                |

## 2.42.2 Pension Order Import Upload

| Field name      | Description    | Datatype | Length | Table Name        |
|-----------------|----------------|----------|--------|-------------------|
| SEGMENTID       | Segmentid      | VARCHAR2 | 20     | PHORDERUP-LOADTBL |
| SEQUENCE-NUMBER | Sequencenumber | NUMBER   | 10     | PHORDERUP-LOADTBL |
| PROCESSID       | Processid      | VARCHAR2 | 50     | PHORDERUP-LOADTBL |
| BULKCLIENTCODE  | Bulkclientcode | VARCHAR2 | 12     | PHORDERUP-LOADTBL |

| Field name           | Description          | Datatype | Length | Table Name        |
|----------------------|----------------------|----------|--------|-------------------|
| UPLOAD-MODE          | Uploadmode           | VARCHAR2 | 1      | PHORDERUP-LOADTBL |
| USERREFERENCE-NUMBER | Userreferencenum-ber | VARCHAR2 | 16     | PHORDERUP-LOADTBL |
| ORDER-NUMBER         | Ordernumber          | VARCHAR2 | 16     | PHORDERUP-LOADTBL |
| REFERENCENUMBER      | Referencenumber      | VARCHAR2 | 16     | PHORDERUP-LOADTBL |
| PARTYID              | Partyid              | VARCHAR2 | 12     | PHORDERUP-LOADTBL |
| PORTFOLIOID          | Portfolioid          | VARCHAR2 | 16     | PHORDERUP-LOADTBL |
| ACCOUNTID            | Accountid            | VARCHAR2 | 16     | PHORDERUP-LOADTBL |
| ORDERCURRENCY        | Ordercurrency        | VARCHAR2 | 3      | PHORDERUP-LOADTBL |
| ORDER-MODE           | Ordermode            | VARCHAR2 | 1      | PHORDERUP-LOADTBL |
| ORDER-VALUE          | Ordervalue           | NUMBER   | 30     | PHORDERUP-LOADTBL |
| INSTRUCTIONDATE      | Instructiondate      | DATE     | 7      | PHORDERUP-LOADTBL |
| ORDER-TYPE           | Ordertype            | VARCHAR2 | 3      | PHORDERUP-LOADTBL |
| ORDERSUB-TYPE        | Ordersubtype         | VARCHAR2 | 3      | PHORDERUP-LOADTBL |
| PAYMENT-MODE         | Paymentmode          | VARCHAR2 | 2      | PHORDERUP-LOADTBL |
| GROSSOR-NET          | Grossornet           | VARCHAR2 | 1      | PHORDERUP-LOADTBL |
| MODULEID             | Moduleid             | VARCHAR2 | 30     | PHORDERUP-LOADTBL |
| ORDERSTA-TUS         | Orderstatus          | NUMBER   | 2      | PHORDERUP-LOADTBL |
| ORIGIN-ALORDNUM-BER  | Originalordnumber    | VARCHAR2 | 16     | PHORDERUP-LOADTBL |

| Field name           | Description         | Datatype | Length | Table Name                  |
|----------------------|---------------------|----------|--------|-----------------------------|
| ORIGINAL-REFNUMBER   | Originalrefnumber   | VARCHAR2 | 16     | PHORDERUP-LOADTBL           |
| AUTH_STAT            | Auth_Stat           | VARCHAR2 | 1      | PHORDERUP-LOADTBL           |
| ONCE_AUTH            | Once_Auth           | VARCHAR2 | 1      | PHORDERUP-LOADTBL           |
| RECORD_STAT          | Record_Stat         | VARCHAR2 | 1      | PHORDERUP-LOADTBL           |
| MOD_NO               | Mod_No              | NUMBER   | 22     | PHORDERUP-LOADTBL           |
| MAKER_ID             | Maker_Id            | VARCHAR2 | 15     | PHORDERUP-LOADTBL           |
| MAKER_DT_STAMP       | Maker_Dt_Stamp      | DATE     | 7      | PHORDERUP-LOADTBL           |
| CHECKER_ID           | Checker_Id          | VARCHAR2 | 15     | PHORDERUP-LOADTBL           |
| CHECKER_DT_STAMP     | Checker_Dt_Stamp    | DATE     | 7      | PHORDERUP-LOADTBL           |
| REMARKS              | Remarks             | VARCHAR2 | 255    | PHORDERUP-LOADTBL           |
| SWIORDER-STATUS      | Swiorderstatus      | VARCHAR2 | 2      | PHORDERUP-LOADTBL           |
| SEGMENTID            | Segmentid           | VARCHAR2 | 20     | PHORDERINSTRUMENTUP-LOADTBL |
| SEQUENCE-NUMBER      | Sequencenumber      | NUMBER   | 10     | PHORDERINSTRUMENTUP-LOADTBL |
| PROCESSID            | Processid           | VARCHAR2 | 50     | PHORDERINSTRUMENTUP-LOADTBL |
| USERREFERENCE-NUMBER | Userreferencenumber | VARCHAR2 | 16     | PHORDERINSTRUMENTUP-LOADTBL |
| ORDER-NUMBER         | Ordernumber         | VARCHAR2 | 16     | PHORDERINSTRUMENTUP-LOADTBL |

| Field name           | Description         | Datatype | Length | Table Name                    |
|----------------------|---------------------|----------|--------|-------------------------------|
| INSTRUMENTID         | Instrumentid        | VARCHAR2 | 16     | PHORDERINSTRUMENTUPLOADDTBL   |
| SPLITPERCENTAGE      | Splitpercentage     | NUMBER   | 5      | PHORDERINSTRUMENTUPLOADDTBL   |
| SEGMENTID            | Segmentid           | VARCHAR2 | 20     | PHORDERINSTASSETUPLOADDTBL    |
| SEQUENCE-NUMBER      | Sequencenumber      | NUMBER   | 10     | PHORDERINSTASSETUPLOADDTBL    |
| PROCESSID            | Processid           | VARCHAR2 | 50     | PHORDERINSTASSETUPLOADDTBL    |
| USERREFERENCE-NUMBER | Userreferencenumber | VARCHAR2 | 16     | PHORDERINSTASSETUPLOADDTBL    |
| ORDER-NUMBER         | Ordernumber         | VARCHAR2 | 16     | PHORDERINSTASSETUPLOADDTBL    |
| INSTRUMENTID         | Instrumentid        | VARCHAR2 | 16     | PHORDERINSTASSETUPLOADDTBL    |
| ASSETID              | Assetid             | VARCHAR2 | 16     | PHORDERINSTASSETUPLOADDTBL    |
| RATIO                | Ratio               | NUMBER   | 5      | PHORDERINSTASSETUPLOADDTBL    |
| OVERRIDE             | Override            | VARCHAR2 | 1      | PHORDERINSTASSETUPLOADDTBL    |
| SEGMENTID            | Segmentid           | VARCHAR2 | 20     | PHORDERINTERMEDIARYUPLOADDTBL |
| SEQUENCE-NUMBER      | Sequencenumber      | NUMBER   | 10     | PHORDERINTERMEDIARYUPLOADDTBL |
| PROCESSID            | Processid           | VARCHAR2 | 50     | PHORDERINTERMEDIARYUPLOADDTBL |
| USERREFERENCE-NUMBER | Userreferencenumber | VARCHAR2 | 16     | PHORDERINTERMEDIARYUPLOADDTBL |
| ORDER-NUMBER         | Ordernumber         | VARCHAR2 | 16     | PHORDERINTERMEDIARYUPLOADDTBL |



| Field name           | Description         | Datatype | Length | Table Name                    |
|----------------------|---------------------|----------|--------|-------------------------------|
| INTERMEDIARYID       | Intermediaryid      | VARCHAR2 | 12     | PHORDERINTERMEDIARYUPLOADDTBL |
| SPLITPERCENTAGE      | Splitpercentage     | NUMBER   | 5      | PHORDERINTERMEDIARYUPLOADDTBL |
| SEGMENTID            | Segmentid           | VARCHAR2 | 20     | PHORDERSETTLEMENTUPLOADDTBL   |
| SEQUENCE-NUMBER      | Sequencenumber      | NUMBER   | 10     | PHORDERSETTLEMENTUPLOADDTBL   |
| PROCESSID            | Processid           | VARCHAR2 | 50     | PHORDERSETTLEMENTUPLOADDTBL   |
| USERREFERENCE-NUMBER | Userreferencenumber | VARCHAR2 | 16     | PHORDERSETTLEMENTUPLOADDTBL   |
| ORDER-NUMBER         | Ordernumber         | VARCHAR2 | 16     | PHORDERSETTLEMENTUPLOADDTBL   |
| PAYMENTREFNUMBER     | Paymentrefnumber    | VARCHAR2 | 16     | PHORDERSETTLEMENTUPLOADDTBL   |
| BANKREFERENCE-CENO   | Bankreferenceno     | VARCHAR2 | 16     | PHORDERSETTLEMENTUPLOADDTBL   |
| CHEQUE-DATE          | Chequedate          | DATE     | 7      | PHORDERSETTLEMENTUPLOADDTBL   |
| CHEQUE-NUMBER        | Chequenumber        | VARCHAR2 | 20     | PHORDERSETTLEMENTUPLOADDTBL   |
| PAYMENTAMOUNT        | Paymentamount       | NUMBER   | 30     | PHORDERSETTLEMENTUPLOADDTBL   |
| PAIDDATE             | Paiddate            | DATE     | 7      | PHORDERSETTLEMENTUPLOADDTBL   |
| SETTLED              | Settled             | VARCHAR2 | 1      | PHORDERSETTLEMENTUPLOADDTBL   |

| Field name                    | Description              | Datatype | Length | Table Name                           |
|-------------------------------|--------------------------|----------|--------|--------------------------------------|
| ACCOUNTH<br>OLDER-<br>NAME    | Accountholdername        | VARCHAR2 | 100    | PHORDERSET-<br>TLEMENTUP-<br>LOADTBL |
| ACCOUNT-<br>TYPE              | Accounttype              | VARCHAR2 | 1      | PHORDERSET-<br>TLEMENTUP-<br>LOADTBL |
| ACCOUNT-<br>CURRENCY          | Accountcurrency          | VARCHAR2 | 3      | PHORDERSET-<br>TLEMENTUP-<br>LOADTBL |
| BANKCODE                      | Bankcode                 | VARCHAR2 | 12     | PHORDERSET-<br>TLEMENTUP-<br>LOADTBL |
| BRANCH-<br>CODE               | Branchcode               | VARCHAR2 | 12     | PHORDERSET-<br>TLEMENTUP-<br>LOADTBL |
| ACCOUNT-<br>NUMBER            | Accountnumber            | VARCHAR2 | 20     | PHORDERSET-<br>TLEMENTUP-<br>LOADTBL |
| IBAN                          | Iban                     | VARCHAR2 | 40     | PHORDERSET-<br>TLEMENTUP-<br>LOADTBL |
| AUTOSET-<br>TLEMENT           | AUTOSETTLE-<br>MENT      | NUMBER   | 1      | PHORDERSET-<br>TLEMENTUP-<br>LOADTBL |
| SETTLE-<br>MENTDUE-<br>DATE   | SETTLEMENTDUE-<br>DATE   | DATE     | 7      | PHORDERSET-<br>TLEMENTUP-<br>LOADTBL |
| SEGMENTID                     | Segmentid                | VARCHAR2 | 20     | PHPARTYMAS-<br>TERUPLOADTBL          |
| SEQUENCE-<br>NUMBER           | Sequencenumber           | NUMBER   | 10     | PHPARTYMAS-<br>TERUPLOADTBL          |
| PROCESSID                     | Processid                | VARCHAR2 | 50     | PHPARTYMAS-<br>TERUPLOADTBL          |
| USERREF-<br>ERENCE-<br>NUMBER | Userreferencenum-<br>ber | VARCHAR2 | 16     | PHPARTYMAS-<br>TERUPLOADTBL          |
| PARTYID                       | Partyid                  | VARCHAR2 | 12     | PHPARTYMAS-<br>TERUPLOADTBL          |
| PARTYTYPE                     | Partytype                | VARCHAR2 | 3      | PHPARTYMAS-<br>TERUPLOADTBL          |
| TITLE                         | Title                    | VARCHAR2 | 15     | PHPARTYMAS-<br>TERUPLOADTBL          |

| Field name                    | Description         | Datatype | Length | Table Name                  |
|-------------------------------|---------------------|----------|--------|-----------------------------|
| FIRSTNAME                     | Firstname           | VARCHAR2 | 100    | PHPARTYMAS-<br>TERUPLOADTBL |
| MIDDLE-<br>NAME               | Middlename          | VARCHAR2 | 100    | PHPARTYMAS-<br>TERUPLOADTBL |
| LASTNAME                      | Lastname            | VARCHAR2 | 100    | PHPARTYMAS-<br>TERUPLOADTBL |
| REGIS-<br>TEREDNAM<br>E       | Registeredname      | VARCHAR2 | 100    | PHPARTYMAS-<br>TERUPLOADTBL |
| DATEOF-<br>BIRTH              | Dateofbirth         | DATE     | 7      | PHPARTYMAS-<br>TERUPLOADTBL |
| DATEOFIN-<br>CORPORA-<br>TION | Dateofincorporation | DATE     | 7      | PHPARTYMAS-<br>TERUPLOADTBL |
| MARITAL-<br>STATUS            | Maritalstatus       | VARCHAR2 | 1      | PHPARTYMAS-<br>TERUPLOADTBL |
| OCCUPA-<br>TION               | Occupation          | VARCHAR2 | 3      | PHPARTYMAS-<br>TERUPLOADTBL |
| GENDER                        | Gender              | VARCHAR2 | 1      | PHPARTYMAS-<br>TERUPLOADTBL |
| PRE-<br>FERRED-<br>CURRENCY   | Preferredcurrency   | VARCHAR2 | 3      | PHPARTYMAS-<br>TERUPLOADTBL |
| MINOR                         | Minor               | NUMBER   | 1      | PHPARTYMAS-<br>TERUPLOADTBL |
| NATIONAL-<br>ITY              | Nationality         | VARCHAR2 | 3      | PHPARTYMAS-<br>TERUPLOADTBL |
| COUNTRY-<br>OFDOMI-<br>CILE   | Countryofdomicile   | VARCHAR2 | 3      | PHPARTYMAS-<br>TERUPLOADTBL |
| COUNTRY-<br>OFBIRTH           | Countryofbirth      | VARCHAR2 | 3      | PHPARTYMAS-<br>TERUPLOADTBL |
| DUALNA-<br>TIONALITY          | Dualnationality     | VARCHAR2 | 3      | PHPARTYMAS-<br>TERUPLOADTBL |
| SUBSTAN-<br>TIALSTAYI-<br>NUS | Substantialstayinus | NUMBER   | 1      | PHPARTYMAS-<br>TERUPLOADTBL |
| HEALTHSTA-<br>TUS             | Healthstatus        | VARCHAR2 | 1      | PHPARTYMAS-<br>TERUPLOADTBL |

| Field name                | Description               | Datatype | Length | Table Name              |
|---------------------------|---------------------------|----------|--------|-------------------------|
| PROTECTIONLEVEL           | Protectionlevel           | VARCHAR2 | 1      | PHPARTYMASTERUPLOADDTBL |
| FIRSTAUTHORIZEDSIGNATORY  | Firstauthorizedsignatory  | VARCHAR2 | 100    | PHPARTYMASTERUPLOADDTBL |
| SECONDAUTHORIZEDSIGNATORY | Secondauthorizedsignatory | VARCHAR2 | 100    | PHPARTYMASTERUPLOADDTBL |
| THIRDAUTHORIZEDSIGNATORY  | Thirdauthorizedsignatory  | VARCHAR2 | 100    | PHPARTYMASTERUPLOADDTBL |
| CORPORATIONTYPE           | Corporationtype           | VARCHAR2 | 3      | PHPARTYMASTERUPLOADDTBL |
| MINNOOFCORPORATESIGNATORY | Minnoofcorporatesignatory | NUMBER   | 22     | PHPARTYMASTERUPLOADDTBL |
| INCORPORATIONCOUNTRY      | Incorporationcountry      | VARCHAR2 | 3      | PHPARTYMASTERUPLOADDTBL |
| MODULEID                  | Moduleid                  | VARCHAR2 | 30     | PHPARTYMASTERUPLOADDTBL |
| MAKER_ID                  | Maker_Id                  | VARCHAR2 | 15     | PHPARTYMASTERUPLOADDTBL |
| MAKER_DT_STAMP            | Maker_Dt_Stamp            | DATE     | 7      | PHPARTYMASTERUPLOADDTBL |
| CHECKER_ID                | Checker_Id                | VARCHAR2 | 15     | PHPARTYMASTERUPLOADDTBL |
| CHECKER_DT_STAMP          | Checker_Dt_Stamp          | DATE     | 7      | PHPARTYMASTERUPLOADDTBL |
| AUTH_STAT                 | Auth_Stat                 | VARCHAR2 | 1      | PHPARTYMASTERUPLOADDTBL |
| ONCE_AUTH                 | Once_Auth                 | VARCHAR2 | 1      | PHPARTYMASTERUPLOADDTBL |
| RECORD_STAT               | Record_Stat               | VARCHAR2 | 1      | PHPARTYMASTERUPLOADDTBL |
| MOD_NO                    | Mod_No                    | NUMBER   | 22     | PHPARTYMASTERUPLOADDTBL |

| Field name           | Description         | Datatype | Length | Table Name               |
|----------------------|---------------------|----------|--------|--------------------------|
| FATHER-SPOUSENAME    | Fatherspousename    | VARCHAR2 | 105    | PHPARTYMASTERUPLOADDTBL  |
| BULKCLIENTCODE       | Bulkclientcode      | VARCHAR2 | 12     | PHPARTYMASTERUPLOADDTBL  |
| UPLOAD-MODE          | Uploadmode          | VARCHAR2 | 1      | PHPARTYMASTERUPLOADDTBL  |
| SEGMENTID            | Segmentid           | VARCHAR2 | 20     | PHPARTYCONTACTUPLOADDTBL |
| SEQUENCE-NUMBER      | Sequencenumber      | NUMBER   | 10     | PHPARTYCONTACTUPLOADDTBL |
| PROCESSID            | Processid           | VARCHAR2 | 50     | PHPARTYCONTACTUPLOADDTBL |
| USERREFERENCE-NUMBER | Userreferencenumber | VARCHAR2 | 16     | PHPARTYCONTACTUPLOADDTBL |
| PARTYID              | Partyid             | VARCHAR2 | 12     | PHPARTYCONTACTUPLOADDTBL |
| CONTACTREFNO         | Contactrefno        | VARCHAR2 | 16     | PHPARTYCONTACTUPLOADDTBL |
| ADDRESSTYPE          | Addresstype         | VARCHAR2 | 2      | PHPARTYCONTACTUPLOADDTBL |
| ADDRESS-LINE1        | Addressline1        | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| ADDRESS-LINE2        | Addressline2        | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| ADDRESS-LINE3        | Addressline3        | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| POST-BOXNO           | Postboxno           | VARCHAR2 | 16     | PHPARTYCONTACTUPLOADDTBL |
| CITY                 | City                | VARCHAR2 | 80     | PHPARTYCONTACTUPLOADDTBL |
| STATE                | State               | VARCHAR2 | 80     | PHPARTYCONTACTUPLOADDTBL |
| COUNTRY              | Country             | VARCHAR2 | 3      | PHPARTYCONTACTUPLOADDTBL |
| ZIPCODE              | Zipcode             | VARCHAR2 | 10     | PHPARTYCONTACTUPLOADDTBL |
| BICCODE              | Biccode             | VARCHAR2 | 16     | PHPARTYCONTACTUPLOADDTBL |

| Field name               | Description             | Datatype | Length | Table Name               |
|--------------------------|-------------------------|----------|--------|--------------------------|
| WEBSITE                  | Website                 | VARCHAR2 | 100    | PHPARTYCON-TACTUPLOADTBL |
| EMAILAD-DRESS            | Emailaddress            | VARCHAR2 | 100    | PHPARTYCON-TACTUPLOADTBL |
| EFFECTIVE-FROMDATE       | Effectivefromdate       | DATE     | 7      | PHPARTYCON-TACTUPLOADTBL |
| EFFEC-TIVETO-DATE        | Effectivetodate         | DATE     | 7      | PHPARTYCON-TACTUPLOADTBL |
| PRIMARY-CONTACT-PERSON   | Primarycontactper-son   | VARCHAR2 | 100    | PHPARTYCON-TACTUPLOADTBL |
| PRIMARYIN-TERNDIAL-CODE1 | Primaryinterndial-code1 | VARCHAR2 | 10     | PHPARTYCON-TACTUPLOADTBL |
| PRIMARYIN-TERNDIAL-CODE2 | Primaryinterndial-code2 | VARCHAR2 | 10     | PHPARTYCON-TACTUPLOADTBL |
| PRIMARYIN-TERNDIAL-CODE3 | Primaryinterndial-code3 | VARCHAR2 | 10     | PHPARTYCON-TACTUPLOADTBL |
| PRIMARYIN-TERNDIAL-CODE4 | Primaryinterndial-code4 | VARCHAR2 | 10     | PHPARTYCON-TACTUPLOADTBL |
| PRIMARY-POSITION         | Primaryposition         | VARCHAR2 | 60     | PHPARTYCON-TACTUPLOADTBL |
| PRIMARY-MOBILE-NUMBER1   | Primarymobilenumber1    | VARCHAR2 | 60     | PHPARTYCON-TACTUPLOADTBL |
| PRIMARY-MOBILE-NUMBER2   | Primarymobilenumber2    | VARCHAR2 | 60     | PHPARTYCON-TACTUPLOADTBL |
| PRIMARY-FIXEDLINE        | Primaryfixedline        | VARCHAR2 | 60     | PHPARTYCON-TACTUPLOADTBL |
| FAX                      | Fax                     | VARCHAR2 | 120    | PHPARTYCON-TACTUPLOADTBL |
| PRIMARY-CONTACTIN-STRN   | Primarycontactin-strn   | VARCHAR2 | 100    | PHPARTYCON-TACTUPLOADTBL |
| PRIMA-RYEXTN             | Primaryextn             | VARCHAR2 | 60     | PHPARTYCON-TACTUPLOADTBL |

| Field name                 | Description               | Datatype | Length | Table Name               |
|----------------------------|---------------------------|----------|--------|--------------------------|
| SECOND-ARYCON-TACTPERSON   | Secondarycontact-person   | VARCHAR2 | 100    | PHPARTYCON-TACTUPLOADTBL |
| SECOND-ARYINTERN-DIALCODE1 | Secondaryinterndi-alcode1 | VARCHAR2 | 10     | PHPARTYCON-TACTUPLOADTBL |
| SECOND-ARYINTERN-DIALCODE2 | Secondaryinterndi-alcode2 | VARCHAR2 | 10     | PHPARTYCON-TACTUPLOADTBL |
| SECOND-ARYPOSITION         | Secondaryposition         | VARCHAR2 | 60     | PHPARTYCON-TACTUPLOADTBL |
| SECONDA-RYMOBILE-NUMBER    | Secondarymobile-number    | VARCHAR2 | 60     | PHPARTYCON-TACTUPLOADTBL |
| SECOND-ARYFIXED-LINE       | Secondaryfixedline        | VARCHAR2 | 60     | PHPARTYCON-TACTUPLOADTBL |
| SECOND-ARYCON-TACTINSTRN   | Secondarycontactinstrn    | VARCHAR2 | 105    | PHPARTYCON-TACTUPLOADTBL |
| SECOND-ARYEXTN             | Secondaryextn             | VARCHAR2 | 60     | PHPARTYCON-TACTUPLOADTBL |
| MODULEID                   | Moduleid                  | VARCHAR2 | 30     | PHPARTYCON-TACTUPLOADTBL |
| SEGMENTID                  | Segmentid                 | VARCHAR2 | 20     | PHPARTYIDUP-LOADTBL      |
| SEQUENCE-NUMBER            | Sequencenumber            | NUMBER   | 10     | PHPARTYIDUP-LOADTBL      |
| PROCESSID                  | Processid                 | VARCHAR2 | 50     | PHPARTYIDUP-LOADTBL      |
| USERREF-ERENCE-NUMBER      | Userreferencenumber       | VARCHAR2 | 16     | PHPARTYIDUP-LOADTBL      |
| PARTYID                    | Partyid                   | VARCHAR2 | 12     | PHPARTYIDUP-LOADTBL      |
| IDREFEREN-CENO             | Idreferenceno             | VARCHAR2 | 16     | PHPARTYIDUP-LOADTBL      |
| IDENTIFICA-TIONTYPE        | Identificationtype        | VARCHAR2 | 20     | PHPARTYIDUP-LOADTBL      |

| Field name           | Description          | Datatype | Length | Table Name               |
|----------------------|----------------------|----------|--------|--------------------------|
| IDENTIFICATIONNUMBER | Identificationnumber | VARCHAR2 | 50     | PHPARTYIDUP-LOADTBL      |
| PLACEOFISSUE         | Placeofissue         | VARCHAR2 | 3      | PHPARTYIDUP-LOADTBL      |
| ISSUEAUTHORITY       | Issueauthority       | VARCHAR2 | 50     | PHPARTYIDUP-LOADTBL      |
| ISSUECOUNTRY         | Issuecountry         | VARCHAR2 | 3      | PHPARTYIDUP-LOADTBL      |
| DATEOFISSUE          | Dateofissue          | DATE     | 7      | PHPARTYIDUP-LOADTBL      |
| DATEOFEXPIRY         | Dateofexpiry         | DATE     | 7      | PHPARTYIDUP-LOADTBL      |
| LASTVERIFIEDDATE     | Lastverifieddate     | DATE     | 7      | PHPARTYIDUP-LOADTBL      |
| VERIFICATIONSTATUS   | Verificationstatus   | VARCHAR2 | 1      | PHPARTYIDUP-LOADTBL      |
| MODULEID             | Moduleid             | VARCHAR2 | 30     | PHPARTYIDUP-LOADTBL      |
| SEGMENTID            | Segmentid            | VARCHAR2 | 20     | PHINVACCMAS-TERUPLOADTBL |
| SEQUENCENUMBER       | Sequencenumber       | NUMBER   | 10     | PHINVACCMAS-TERUPLOADTBL |
| PROCESSID            | Processid            | VARCHAR2 | 50     | PHINVACCMAS-TERUPLOADTBL |
| USERREFERENCENUMBER  | Userreferencenumber  | VARCHAR2 | 16     | PHINVACCMAS-TERUPLOADTBL |
| ACCOUNTID            | Accountid            | VARCHAR2 | 16     | PHINVACCMAS-TERUPLOADTBL |
| ACOPERATIONTYPE      | Acoperationtype      | VARCHAR2 | 1      | PHINVACCMAS-TERUPLOADTBL |
| DEALINGTYPE          | Dealingtype          | VARCHAR2 | 3      | PHINVACCMAS-TERUPLOADTBL |
| GUARDIANID           | Guardianid           | VARCHAR2 | 12     | PHINVACCMAS-TERUPLOADTBL |
| PARTYID              | Partyid              | VARCHAR2 | 12     | PHINVACCMAS-TERUPLOADTBL |



| Field name          | Description       | Datatype | Length | Table Name               |
|---------------------|-------------------|----------|--------|--------------------------|
| INVESTOR-CATEGORY   | Investorcategory  | VARCHAR2 | 2      | PHINVACCMAS-TERUPLOADTBL |
| ACOPEN-INGDATE      | Acopeningdate     | DATE     | 7      | PHINVACCMAS-TERUPLOADTBL |
| ACCOUN-TREFNO       | Accountrefno      | VARCHAR2 | 16     | PHINVACCMAS-TERUPLOADTBL |
| MODULEID            | Moduleid          | VARCHAR2 | 30     | PHINVACCMAS-TERUPLOADTBL |
| AUTH_STAT           | Auth_Stat         | VARCHAR2 | 1      | PHINVACCMAS-TERUPLOADTBL |
| ONCE_AUT H          | Once_Auth         | VARCHAR2 | 1      | PHINVACCMAS-TERUPLOADTBL |
| RECORD_S TAT        | Record_Stat       | VARCHAR2 | 1      | PHINVACCMAS-TERUPLOADTBL |
| MOD_NO              | Mod_No            | NUMBER   | 22     | PHINVACCMAS-TERUPLOADTBL |
| MAKER_ID            | Maker_Id          | VARCHAR2 | 15     | PHINVACCMAS-TERUPLOADTBL |
| MAK-ER_DT_STA MP    | Maker_Dt_Stamp    | DATE     | 7      | PHINVACCMAS-TERUPLOADTBL |
| CHECK-ER_ID         | Checker_Id        | VARCHAR2 | 15     | PHINVACCMAS-TERUPLOADTBL |
| CHECK-ER_DT_STA MP  | Checker_Dt_Stamp  | DATE     | 7      | PHINVACCMAS-TERUPLOADTBL |
| ACCOUNT-STATUS      | Accountstatus     | VARCHAR2 | 1      | PHINVACCMAS-TERUPLOADTBL |
| PENSION-ACCOUNT     | Pensionaccount    | VARCHAR2 | 1      | PHINVACCMAS-TERUPLOADTBL |
| ACCOUNT-CLOSED      | Accountclosed     | VARCHAR2 | 1      | PHINVACCMAS-TERUPLOADTBL |
| CHANGE-DATE         | Changdate         | DATE     | 7      | PHINVACCMAS-TERUPLOADTBL |
| CHANG-EREASON       | Changereason      | VARCHAR2 | 255    | PHINVACCMAS-TERUPLOADTBL |
| CHANG-EREFEREN-CENO | Changereferenceno | VARCHAR2 | 16     | PHINVACCMAS-TERUPLOADTBL |

| Field name                      | Description                | Datatype | Length | Table Name                  |
|---------------------------------|----------------------------|----------|--------|-----------------------------|
| SEGMENTID                       | Segmentid                  | VARCHAR2 | 20     | PHINVACCINTER-<br>UPLOADTBL |
| SEQUENCE-<br>NUMBER             | Sequencenumber             | NUMBER   | 10     | PHINVACCINTER-<br>UPLOADTBL |
| PROCESSID                       | Processid                  | VARCHAR2 | 50     | PHINVACCINTER-<br>UPLOADTBL |
| USERREF-<br>ERENCE-<br>NUMBER   | Userreferencenum-<br>ber   | VARCHAR2 | 16     | PHINVACCINTER-<br>UPLOADTBL |
| ACCOUNTID                       | Accountid                  | VARCHAR2 | 16     | PHINVACCINTER-<br>UPLOADTBL |
| INTERMEDI-<br>ARYID             | Intermediaryid             | VARCHAR2 | 12     | PHINVACCINTER-<br>UPLOADTBL |
| SPLITPER-<br>CENTAGE            | Splitpercentage            | NUMBER   | 5      | PHINVACCINTER-<br>UPLOADTBL |
| DEFAULT-<br>FLAG                | Defaultflag                | VARCHAR2 | 1      | PHINVACCINTER-<br>UPLOADTBL |
| SEGMENTID                       | Segmentid                  | VARCHAR2 | 20     | PHINVACCBANK-<br>UPLOADTBL  |
| SEQUENCE-<br>NUMBER             | Sequencenumber             | NUMBER   | 10     | PHINVACCBANK-<br>UPLOADTBL  |
| PROCESSID                       | Processid                  | VARCHAR2 | 50     | PHINVACCBANK-<br>UPLOADTBL  |
| USERREF-<br>ERENCE-<br>NUMBER   | Userreferencenum-<br>ber   | VARCHAR2 | 16     | PHINVACCBANK-<br>UPLOADTBL  |
| ACCOUNTID                       | Accountid                  | VARCHAR2 | 16     | PHINVACCBANK-<br>UPLOADTBL  |
| BANKREF-<br>ERENCENO            | Bankreferenceno            | VARCHAR2 | 16     | PHINVACCBANK-<br>UPLOADTBL  |
| DIRECTDEB-<br>ITAPPLICA-<br>BLE | Directdebitapplica-<br>ble | VARCHAR2 | 1      | PHINVACCBANK-<br>UPLOADTBL  |
| DEFAULT-<br>FLAG                | Defaultflag                | VARCHAR2 | 1      | PHINVACCBANK-<br>UPLOADTBL  |
| ACCOUNTH<br>OLDER-<br>NAME      | Accountholdername          | VARCHAR2 | 100    | PHINVACCBANK-<br>UPLOADTBL  |
| ACCOUNT-<br>TYPE                | Accounttype                | VARCHAR2 | 1      | PHINVACCBANK-<br>UPLOADTBL  |

| Field name           | Description         | Datatype | Length | Table Name                 |
|----------------------|---------------------|----------|--------|----------------------------|
| ACCOUNT-CURRENCY     | Accountcurrency     | VARCHAR2 | 3      | PHINVACCBANK-UPLOADTBL     |
| BANKCODE             | Bankcode            | VARCHAR2 | 12     | PHINVACCBANK-UPLOADTBL     |
| BRANCH-CODE          | Branchcode          | VARCHAR2 | 12     | PHINVACCBANK-UPLOADTBL     |
| ACCOUNT-NUMBER       | Accountnumber       | VARCHAR2 | 20     | PHINVACCBANK-UPLOADTBL     |
| IBAN                 | Iban                | VARCHAR2 | 40     | PHINVACCBANK-UPLOADTBL     |
| VERIFICATIONSTATUS   | Verificationstatus  | VARCHAR2 | 1      | PHINVACCBANK-UPLOADTBL     |
| LASTVERIFIEDDATE     | Lastverifieddate    | DATE     | 7      | PHINVACCBANK-UPLOADTBL     |
| MODULEID             | Moduleid            | VARCHAR2 | 30     | PHINVACCBANK-UPLOADTBL     |
| SEGMENTID            | Segmentid           | VARCHAR2 | 20     | PHINVESTAC-CBENFUP-LOADTBL |
| SEQUENCE-NUMBER      | Sequencenumber      | NUMBER   | 10     | PHINVESTAC-CBENFUP-LOADTBL |
| PROCESSID            | Processid           | VARCHAR2 | 50     | PHINVESTAC-CBENFUP-LOADTBL |
| USERREFERENCE-NUMBER | Userreferencenumber | VARCHAR2 | 16     | PHINVESTAC-CBENFUP-LOADTBL |
| ACCOUNTID            | Accountid           | VARCHAR2 | 16     | PHINVESTAC-CBENFUP-LOADTBL |
| BENEFICIARYTYPE      | Beneficiarytype     | VARCHAR2 | 2      | PHINVESTAC-CBENFUP-LOADTBL |
| BENFPARTYID          | Benfpartyid         | VARCHAR2 | 16     | PHINVESTAC-CBENFUP-LOADTBL |
| AWARDPERCENTAGE      | Awardpercentage     | NUMBER   | 5      | PHINVESTAC-CBENFUP-LOADTBL |

| Field name           | Description          | Datatype | Length | Table Name                 |
|----------------------|----------------------|----------|--------|----------------------------|
| BENFPARTYNAME        | Benfpartyname        | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |
| DATEOFBIRTH          | Dateofbirth          | DATE     | 7      | PHINVESTAC-CBENFUP-LOADTBL |
| COUNTRY-OFBIRTH      | Countryofbirth       | VARCHAR2 | 3      | PHINVESTAC-CBENFUP-LOADTBL |
| COUNTRY-OFDOMICILE   | Countryofdomicile    | VARCHAR2 | 3      | PHINVESTAC-CBENFUP-LOADTBL |
| GENDER               | Gender               | VARCHAR2 | 1      | PHINVESTAC-CBENFUP-LOADTBL |
| IDENTIFICATIONTYPE   | Identificationtype   | VARCHAR2 | 20     | PHINVESTAC-CBENFUP-LOADTBL |
| IDENTIFICATIONNUMBER | Identificationnumber | VARCHAR2 | 50     | PHINVESTAC-CBENFUP-LOADTBL |
| RELATIONSHIP         | Relationship         | VARCHAR2 | 70     | PHINVESTAC-CBENFUP-LOADTBL |
| ADDRESS-LINE1        | Addressline1         | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |
| ADDRESS-LINE2        | Addressline2         | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |
| CITY                 | City                 | VARCHAR2 | 80     | PHINVESTAC-CBENFUP-LOADTBL |
| STATE                | State                | VARCHAR2 | 80     | PHINVESTAC-CBENFUP-LOADTBL |
| COUNTRY              | Country              | VARCHAR2 | 3      | PHINVESTAC-CBENFUP-LOADTBL |
| ZIPCODE              | Zipcode              | VARCHAR2 | 10     | PHINVESTAC-CBENFUP-LOADTBL |

| Field name             | Description          | Datatype | Length | Table Name                 |
|------------------------|----------------------|----------|--------|----------------------------|
| EMAILADDRESS           | Emailaddress         | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |
| PRIMARY-MOBILE-NUMBER1 | Primarymobilenumber1 | VARCHAR2 | 60     | PHINVESTAC-CBENFUP-LOADTBL |
| PRIMARY-MOBILE-NUMBER2 | Primarymobilenumber2 | VARCHAR2 | 60     | PHINVESTAC-CBENFUP-LOADTBL |
| PRIMARY-FIXEDLINE      | Primaryfixedline     | VARCHAR2 | 60     | PHINVESTAC-CBENFUP-LOADTBL |
| FAX                    | Fax                  | VARCHAR2 | 120    | PHINVESTAC-CBENFUP-LOADTBL |
| CONTACT-PERSON         | Contactperson        | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |
| BANKCODE               | Bankcode             | VARCHAR2 | 12     | PHINVESTAC-CBENFUP-LOADTBL |
| BRANCH-CODE            | Branchcode           | VARCHAR2 | 12     | PHINVESTAC-CBENFUP-LOADTBL |
| ACCOUNT-TYPE           | Accounttype          | VARCHAR2 | 1      | PHINVESTAC-CBENFUP-LOADTBL |
| ACCOUNT-CURRENCY       | Accountcurrency      | VARCHAR2 | 3      | PHINVESTAC-CBENFUP-LOADTBL |
| ACCOUNT-NUMBER         | Accountnumber        | VARCHAR2 | 20     | PHINVESTAC-CBENFUP-LOADTBL |
| ACCOUNT-NAME           | Accountname          | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |
| IBAN                   | Iban                 | VARCHAR2 | 40     | PHINVESTAC-CBENFUP-LOADTBL |
| DESIGNATION            | Designation          | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |

| Field name           | Description         | Datatype | Length | Table Name                 |
|----------------------|---------------------|----------|--------|----------------------------|
| CONTROLLING-PERSON   | Controllingperson   | VARCHAR2 | 1      | PHINVESTAC-CBENFUP-LOADTBL |
| SEGMENTID            | Segmentid           | VARCHAR2 | 20     | PHORDERALLOC-DETUPLOADTBL  |
| SEQUENCE-NUMBER      | Sequencenumber      | NUMBER   | 10     | PHORDERALLOC-DETUPLOADTBL  |
| PROCESSID            | Processid           | VARCHAR2 | 50     | PHORDERALLOC-DETUPLOADTBL  |
| USERREFERENCE-NUMBER | Userreferencenumber | VARCHAR2 | 16     | PHORDERALLOC-DETUPLOADTBL  |
| ORDER-NUMBER         | Ordernumber         | VARCHAR2 | 16     | PHORDERALLOC-DETUPLOADTBL  |
| EXTERNAL-TXNNUMBER   | Externaltxnnumber   | VARCHAR2 | 16     | PHORDERALLOC-DETUPLOADTBL  |
| PARTYID              | Partyid             | VARCHAR2 | 12     | PHORDERALLOC-DETUPLOADTBL  |
| PORTFOLIOID          | Portfolioid         | VARCHAR2 | 16     | PHORDERALLOC-DETUPLOADTBL  |
| ACCOUNTID            | Accountid           | VARCHAR2 | 16     | PHORDERALLOC-DETUPLOADTBL  |
| INSTRUMENTID         | Instrumentid        | VARCHAR2 | 16     | PHORDERALLOC-DETUPLOADTBL  |
| ASSETID              | Assetid             | VARCHAR2 | 16     | PHORDERALLOC-DETUPLOADTBL  |
| ORDER-AMOUNT         | Orderamount         | NUMBER   | 30     | PHORDERALLOC-DETUPLOADTBL  |
| LOADAMOUNT           | Loadamount          | NUMBER   | 30     | PHORDERALLOC-DETUPLOADTBL  |
| NETAMOUNT            | Netamount           | NUMBER   | 30     | PHORDERALLOC-DETUPLOADTBL  |
| SETTLEMENTAMT        | Settlementamt       | NUMBER   | 30     | PHORDERALLOC-DETUPLOADTBL  |
| NAV                  | Nav                 | NUMBER   | 17     | PHORDERALLOC-DETUPLOADTBL  |
| NAVDATE              | Navdate             | DATE     | 7      | PHORDERALLOC-DETUPLOADTBL  |

| Field name        | Description       | Datatype | Length | Table Name              |
|-------------------|-------------------|----------|--------|-------------------------|
| ALLOTE-DUNITS     | Allotedunits      | NUMBER   | 30     | PHORDERALLOCDTUPLOADTBL |
| ALLOCATIONDATE    | Allocationdate    | DATE     | 7      | PHORDERALLOCDTUPLOADTBL |
| FROMORTOLEG       | Fromortoleg       | VARCHAR2 | 1      | PHORDERALLOCDTUPLOADTBL |
| ORIGINALORDNUMBER | Originalordnumber | VARCHAR2 | 16     | PHORDERALLOCDTUPLOADTBL |
| ORDERTYPE         | Ordertype         | VARCHAR2 | 3      | PHORDERALLOCDTUPLOADTBL |
| STATUS            | Status            | VARCHAR2 | 2      | PHORDERALLOCDTUPLOADTBL |
| ERR_CODE          | Err_Code          | VARCHAR2 | 20     | PHORDERALLOCDTUPLOADTBL |
| ERRDESCRIPTION    | Errdescription    | VARCHAR2 | 255    | PHORDERALLOCDTUPLOADTBL |
| TATRANSACTIONDATE | Tatransactiondate | DATE     | 7      | PHORDERALLOCDTUPLOADTBL |
| BLOCKEDFLAG       | Blockedflag       | VARCHAR2 | 1      | PHORDERALLOCDTUPLOADTBL |

## 2.43 Pension Allocation Import from TA

This section contains the following topics:

- [Section 2.44.1, "Segment Identification Number"](#)
- [Section 2.44.2, "Pension Investment Account Upload"](#)

### 2.43.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 00FDU                         | This segment is used to provide pension allocation import from asset upload details                  |
| 03TPR                         | This segment is used to provide pension allocation import from third party asset upload details      |
| 04FLU                         | This segment is used to provide pension allocation import from third party asset load upload details |

### 2.43.2 Pension Allocation Import from TA

| Field name           | Description          | Datatype  | Length | Table Name                   |
|----------------------|----------------------|-----------|--------|------------------------------|
| SEQUENCE-NUMBER      | Sequencenum-ber      | NUMBER    | 10     | PATB_ALLOCDETAIL-STAUPLOADHF |
| PROCESSID            | Processid            | VAR-CHAR2 | 50     | PATB_ALLOCDETAIL-STAUPLOADHF |
| BULKCLIENT-CODE      | Bulkclientcode       | VAR-CHAR2 | 12     | PATB_ALLOCDETAIL-STAUPLOADHF |
| UPLOADMODE           | Uploadmode           | VAR-CHAR2 | 1      | PATB_ALLOCDETAIL-STAUPLOADHF |
| IMPORTFILE-NAME      | Importfilename       | VAR-CHAR2 | 255    | PATB_ALLOCDETAIL-STAUPLOADHF |
| USERREFER-ENCENUMBER | Userreference-number | VAR-CHAR2 | 16     | PATB_ALLOCDETAIL-STAUPLOADHF |
| ORDERNUM-BER         | Ordernumber          | VAR-CHAR2 | 16     | PATB_ALLOCDETAIL-STAUPLOADHF |
| EXTERNALTXN-NUMBER   | Externaltxnnum-ber   | VAR-CHAR2 | 16     | PATB_ALLOCDETAIL-STAUPLOADHF |
| PARTYID              | Partyid              | VAR-CHAR2 | 12     | PATB_ALLOCDETAIL-STAUPLOADHF |
| PORTFOLIOID          | Portfolioid          | VAR-CHAR2 | 16     | PATB_ALLOCDETAIL-STAUPLOADHF |
| ACCOUNTID            | Accountid            | VAR-CHAR2 | 16     | PATB_ALLOCDETAIL-STAUPLOADHF |
| INSTRUMENTID         | Instrumentid         | VAR-CHAR2 | 16     | PATB_ALLOCDETAIL-STAUPLOADHF |
| ASSETID              | Assetid              | VAR-CHAR2 | 16     | PATB_ALLOCDETAIL-STAUPLOADHF |



| Field name         | Description        | Datatype  | Length | Table Name                   |
|--------------------|--------------------|-----------|--------|------------------------------|
| ORDER-AMOUNT       | Orderamount        | NUMBER    | 30     | PATB_ALLOCDETAIL-STAUPLOADHF |
| LOADAMOUNT         | Loadamount         | NUMBER    | 30     | PATB_ALLOCDETAIL-STAUPLOADHF |
| NETAMOUNT          | Netamount          | NUMBER    | 30     | PATB_ALLOCDETAIL-STAUPLOADHF |
| SETTLEMEN-TAMT     | Settlementamt      | NUMBER    | 30     | PATB_ALLOCDETAIL-STAUPLOADHF |
| NAV                | Nav                | NUMBER    | 17     | PATB_ALLOCDETAIL-STAUPLOADHF |
| NAVDATE            | Navdate            | DATE      | 7      | PATB_ALLOCDETAIL-STAUPLOADHF |
| ALLOTEDUNITS       | Allotedunits       | NUMBER    | 30     | PATB_ALLOCDETAIL-STAUPLOADHF |
| ALLOCATION-DATE    | Allocationdate     | DATE      | 7      | PATB_ALLOCDETAIL-STAUPLOADHF |
| FROMORTO-LEG       | Fromortoleg        | VAR-CHAR2 | 1      | PATB_ALLOCDETAIL-STAUPLOADHF |
| ORIGINALORD-NUMBER | Originalordnum-ber | VAR-CHAR2 | 16     | PATB_ALLOCDETAIL-STAUPLOADHF |
| ORDERTYPE          | Ordertype          | VAR-CHAR2 | 3      | PATB_ALLOCDETAIL-STAUPLOADHF |
| STATUS             | Status             | VAR-CHAR2 | 2      | PATB_ALLOCDETAIL-STAUPLOADHF |
| ERR_CODE           | Err_Code           | VAR-CHAR2 | 20     | PATB_ALLOCDETAIL-STAUPLOADHF |
| ERRDESCRIP-TION    | Errdescription     | VAR-CHAR2 | 255    | PATB_ALLOCDETAIL-STAUPLOADHF |
| TATRANSAC-TIONDATE | Tatransaction-date | DATE      | 7      | PATB_ALLOCDETAIL-STAUPLOADHF |
| BLOCKEDFLAG        | Blockedflag        | VAR-CHAR2 | 1      | PATB_ALLOCDETAIL-STAUPLOADHF |

## 2.44 Pension Investment Account (Incremental Upload)

This section contains the following topics:

- [Section 2.44.1, "Segment Identification Number"](#)
- [Section 2.44.2, "Pension Investment Account Upload"](#)

## 2.44.1 Segment Identification Number

| Segment Identification Number | Description   |
|-------------------------------|---|
| 00PAR                         | This segment is used to provide pension investment master upload details              |
| 03ADR                         | This segment is used to provide pension party contact upload details                  |
| 04IDD                         | This segment is used to provide pension party ID upload detail                        |
| 05IAC                         | This segment is used to provide pension investment account master upload detail       |
| 06INT                         | This segment is used to provide pension investment account intermediary upload detail |
| 07IBK                         | This segment is used to provide pension investment account bank upload detail         |
| 08IBF                         | This segment is used to provide pension investment account beneficiary upload detail  |

## 2.44.2 Pension Investment Account Upload

| Field name            | Description          | Datatype | Length | Table Name               |
|-----------------------|----------------------|----------|--------|--------------------------|
| SEGMENTID             | Segmentid            | VARCHAR2 | 20     | PHPARTYMASTER-UPLOADDTBL |
| SEQUENCE-NUMBER       | Sequencenum-ber      | NUMBER   | 10     | PHPARTYMASTER-UPLOADDTBL |
| PROCESSID             | Processid            | VARCHAR2 | 50     | PHPARTYMASTER-UPLOADDTBL |
| BULKCLIENT-CODE       | Bulkclientcode       | VARCHAR2 | 12     | PHPARTYMASTER-UPLOADDTBL |
| UPLOAD-MODE           | Uploadmode           | VARCHAR2 | 1      | PHPARTYMASTER-UPLOADDTBL |
| USERREFER-ENCENUM-BER | Userreference-number | VARCHAR2 | 16     | PHPARTYMASTER-UPLOADDTBL |
| PARTYID               | Partyid              | VARCHAR2 | 12     | PHPARTYMASTER-UPLOADDTBL |
| PARTYTYPE             | Partytype            | VARCHAR2 | 3      | PHPARTYMASTER-UPLOADDTBL |
| TITLE                 | Title                | VARCHAR2 | 15     | PHPARTYMASTER-UPLOADDTBL |

| Field name                    | Description              | Datatype | Length | Table Name                  |
|-------------------------------|--------------------------|----------|--------|-----------------------------|
| FIRSTNAME                     | Firstname                | VARCHAR2 | 100    | PHPARTYMASTER-<br>UPLOADTBL |
| MIDDLENAME                    | Middlename               | VARCHAR2 | 100    | PHPARTYMASTER-<br>UPLOADTBL |
| LASTNAME                      | Lastname                 | VARCHAR2 | 100    | PHPARTYMASTER-<br>UPLOADTBL |
| REGIS-<br>TEREDNAME           | Regis-<br>teredname      | VARCHAR2 | 100    | PHPARTYMASTER-<br>UPLOADTBL |
| DATEOF-<br>BIRTH              | Dateofbirth              | DATE     | 7      | PHPARTYMASTER-<br>UPLOADTBL |
| DATEOFIN-<br>CORPORA-<br>TION | Dateofincorporation      | DATE     | 7      | PHPARTYMASTER-<br>UPLOADTBL |
| MARITALSTA-<br>TUS            | Maritalstatus            | VARCHAR2 | 1      | PHPARTYMASTER-<br>UPLOADTBL |
| OCCUPATION                    | Occupation               | VARCHAR2 | 3      | PHPARTYMASTER-<br>UPLOADTBL |
| GENDER                        | Gender                   | VARCHAR2 | 1      | PHPARTYMASTER-<br>UPLOADTBL |
| PREFERRED-<br>CURRENCY        | Preferredcurrency        | VARCHAR2 | 3      | PHPARTYMASTER-<br>UPLOADTBL |
| MINOR                         | Minor                    | NUMBER   | 1      | PHPARTYMASTER-<br>UPLOADTBL |
| NATIONALITY                   | Nationality              | VARCHAR2 | 3      | PHPARTYMASTER-<br>UPLOADTBL |
| COUNTRYOF-<br>DOMICILE        | Countryofdomicile        | VARCHAR2 | 3      | PHPARTYMASTER-<br>UPLOADTBL |
| COUNTRYOF-<br>BIRTH           | Countryofbirth           | VARCHAR2 | 3      | PHPARTYMASTER-<br>UPLOADTBL |
| DUALNATION-<br>ALITY          | Dualnationality          | VARCHAR2 | 3      | PHPARTYMASTER-<br>UPLOADTBL |
| SUBSTAN-<br>TIALSTAYI-<br>NUS | Substantialstay-<br>inus | NUMBER   | 1      | PHPARTYMASTER-<br>UPLOADTBL |
| HEALTHSTA-<br>TUS             | Healthstatus             | VARCHAR2 | 1      | PHPARTYMASTER-<br>UPLOADTBL |
| PROTEC-<br>TIONLEVEL          | Protectionlevel          | VARCHAR2 | 1      | PHPARTYMASTER-<br>UPLOADTBL |

| Field name                 | Description                | Datatype | Length | Table Name              |
|----------------------------|----------------------------|----------|--------|-------------------------|
| FIRSTAUTHORIZED-SIGNATORY  | Firstauthorized-signatory  | VARCHAR2 | 100    | PHPARTYMASTER-UPLOADTBL |
| SECONDAUTHORIZED-SIGNATORY | Secondauthorized-signatory | VARCHAR2 | 100    | PHPARTYMASTER-UPLOADTBL |
| THIRDAUTHORIZED-SIGNATORY  | Thirdauthorized-signatory  | VARCHAR2 | 100    | PHPARTYMASTER-UPLOADTBL |
| CORPORATIONTYPE            | Corporationtype            | VARCHAR2 | 3      | PHPARTYMASTER-UPLOADTBL |
| MINNOFCORPORATESIGNATORY   | Minnoofcorporatesignatory  | NUMBER   | 22     | PHPARTYMASTER-UPLOADTBL |
| INCORPORATIONCOUNTRY       | Incorporation-country      | VARCHAR2 | 3      | PHPARTYMASTER-UPLOADTBL |
| MODULEID                   | Moduleid                   | VARCHAR2 | 30     | PHPARTYMASTER-UPLOADTBL |
| MAKER_ID                   | Maker_Id                   | VARCHAR2 | 15     | PHPARTYMASTER-UPLOADTBL |
| MAKER_DT_STAMP             | Maker_Dt_Stamp             | DATE     | 7      | PHPARTYMASTER-UPLOADTBL |
| CHECKER_ID                 | Checker_Id                 | VARCHAR2 | 15     | PHPARTYMASTER-UPLOADTBL |
| CHECKER_DT_STAMP           | Checker_Dt_Stamp           | DATE     | 7      | PHPARTYMASTER-UPLOADTBL |
| AUTH_STAT                  | Auth_Stat                  | VARCHAR2 | 1      | PHPARTYMASTER-UPLOADTBL |
| ONCE_AUTH                  | Once_Auth                  | VARCHAR2 | 1      | PHPARTYMASTER-UPLOADTBL |
| RECORD_STAT                | Record_Stat                | VARCHAR2 | 1      | PHPARTYMASTER-UPLOADTBL |
| MOD_NO                     | Mod_No                     | NUMBER   | 22     | PHPARTYMASTER-UPLOADTBL |
| FATHER-SPOUSENAME          | Father-spousename          | VARCHAR2 | 105    | PHPARTYMASTER-UPLOADTBL |

| Field name          | Description          | Datatype | Length | Table Name               |
|---------------------|----------------------|----------|--------|--------------------------|
| SEGMENTID           | Segmentid            | VARCHAR2 | 20     | PHPARTYCONTACTUPLOADDTBL |
| SEQUENCE-NUMBER     | Sequencenumber       | NUMBER   | 10     | PHPARTYCONTACTUPLOADDTBL |
| PROCESSID           | Processid            | VARCHAR2 | 50     | PHPARTYCONTACTUPLOADDTBL |
| USERREFERENCENUMBER | Userreference-number | VARCHAR2 | 16     | PHPARTYCONTACTUPLOADDTBL |
| PARTYID             | Partyid              | VARCHAR2 | 12     | PHPARTYCONTACTUPLOADDTBL |
| CONTACTREFNO        | Contactrefno         | VARCHAR2 | 16     | PHPARTYCONTACTUPLOADDTBL |
| ADDRESSTYPE         | Addresstype          | VARCHAR2 | 2      | PHPARTYCONTACTUPLOADDTBL |
| ADDRESS-LINE1       | Addressline1         | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| ADDRESS-LINE2       | Addressline2         | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| ADDRESS-LINE3       | Addressline3         | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| POSTBOXNO           | Postboxno            | VARCHAR2 | 16     | PHPARTYCONTACTUPLOADDTBL |
| CITY                | City                 | VARCHAR2 | 80     | PHPARTYCONTACTUPLOADDTBL |
| STATE               | State                | VARCHAR2 | 80     | PHPARTYCONTACTUPLOADDTBL |
| COUNTRY             | Country              | VARCHAR2 | 3      | PHPARTYCONTACTUPLOADDTBL |
| ZIPCODE             | Zipcode              | VARCHAR2 | 10     | PHPARTYCONTACTUPLOADDTBL |
| BICCODE             | Biccode              | VARCHAR2 | 16     | PHPARTYCONTACTUPLOADDTBL |
| WEBSITE             | Website              | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| EMAILADDRESS        | Emailaddress         | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| EFFECTIVE-FROMDATE  | Effectivefrom-date   | DATE     | 7      | PHPARTYCONTACTUPLOADDTBL |

| Field name                 | Description               | Datatype | Length | Table Name               |
|----------------------------|---------------------------|----------|--------|--------------------------|
| EFFEC-TIVETODATE           | Effectivetodate           | DATE     | 7      | PHPARTYCONTACTUPLOADDTBL |
| PRIMARY-CONTACT-PERSON     | Primarycontact-person     | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| PRIMARYIN-TERNDIAL-CODE1   | Primaryinterndi-alcode1   | VARCHAR2 | 10     | PHPARTYCONTACTUPLOADDTBL |
| PRIMARYIN-TERNDIAL-CODE2   | Primaryinterndi-alcode2   | VARCHAR2 | 10     | PHPARTYCONTACTUPLOADDTBL |
| PRIMARYIN-TERNDIAL-CODE3   | Primaryinterndi-alcode3   | VARCHAR2 | 10     | PHPARTYCONTACTUPLOADDTBL |
| PRIMARYIN-TERNDIAL-CODE4   | Primaryinterndi-alcode4   | VARCHAR2 | 10     | PHPARTYCONTACTUPLOADDTBL |
| PRIMARYPO-SITION           | Primaryposition           | VARCHAR2 | 60     | PHPARTYCONTACTUPLOADDTBL |
| PRIMARYMO-BILENUM-BER1     | Primarymobile-number1     | VARCHAR2 | 60     | PHPARTYCONTACTUPLOADDTBL |
| PRIMARYMO-BILENUM-BER2     | Primarymobile-number2     | VARCHAR2 | 60     | PHPARTYCONTACTUPLOADDTBL |
| PRIMARYFIX-EDLINE          | Primaryfixedline          | VARCHAR2 | 60     | PHPARTYCONTACTUPLOADDTBL |
| FAX                        | Fax                       | VARCHAR2 | 120    | PHPARTYCONTACTUPLOADDTBL |
| PRIMARY-CONTACTIN-STRN     | Primarycontactinstrn      | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| PRIMA-RYEXTN               | Primaryextn               | VARCHAR2 | 60     | PHPARTYCONTACTUPLOADDTBL |
| SECONDARY-CONTACT-PERSON   | Secondarycon-tactperson   | VARCHAR2 | 100    | PHPARTYCONTACTUPLOADDTBL |
| SECONDARY-INTERNDIAL-CODE1 | Secondaryin-terndialcode1 | VARCHAR2 | 10     | PHPARTYCONTACTUPLOADDTBL |

| Field name                 | Description              | Datatype | Length | Table Name               |
|----------------------------|--------------------------|----------|--------|--------------------------|
| SECONDARY-INTERNDIAL-CODE2 | Secondaryinterndialcode2 | VARCHAR2 | 10     | PHPARTYCONTACTUPLOADDTBL |
| SECONDARY-POSITION         | Secondaryposition        | VARCHAR2 | 60     | PHPARTYCONTACTUPLOADDTBL |
| SECONDARY-MOBILENUMBER     | Secondarymobilenumber    | VARCHAR2 | 60     | PHPARTYCONTACTUPLOADDTBL |
| SECONDARY-FIXEDLINE        | Secondaryfixedline       | VARCHAR2 | 60     | PHPARTYCONTACTUPLOADDTBL |
| SECONDARY-CONTACTINSTRN    | Secondarycontactinstrn   | VARCHAR2 | 105    | PHPARTYCONTACTUPLOADDTBL |
| SECONDARYEXTN              | Secondaryextn            | VARCHAR2 | 60     | PHPARTYCONTACTUPLOADDTBL |
| MODULEID                   | Moduleid                 | VARCHAR2 | 30     | PHPARTYCONTACTUPLOADDTBL |
| SEGMENTID                  | Segmentid                | VARCHAR2 | 20     | PHPARTYIDUPLOADDTBL      |
| SEQUENCE-NUMBER            | Sequencenumber           | NUMBER   | 10     | PHPARTYIDUPLOADDTBL      |
| PROCESSID                  | Processid                | VARCHAR2 | 50     | PHPARTYIDUPLOADDTBL      |
| USERREFERENCENUMBER        | Userreferencenumber      | VARCHAR2 | 16     | PHPARTYIDUPLOADDTBL      |
| PARTYID                    | Partyid                  | VARCHAR2 | 12     | PHPARTYIDUPLOADDTBL      |
| IDREFERENCENO              | Idreferenceno            | VARCHAR2 | 16     | PHPARTYIDUPLOADDTBL      |
| IDENTIFICATIONTYPE         | Identificationtype       | VARCHAR2 | 20     | PHPARTYIDUPLOADDTBL      |
| IDENTIFICATIONNUMBER       | Identificationnumber     | VARCHAR2 | 50     | PHPARTYIDUPLOADDTBL      |
| PLACEOFISSUE               | Placeofissue             | VARCHAR2 | 3      | PHPARTYIDUPLOADDTBL      |
| ISSUEAUTHORITY             | Issueauthority           | VARCHAR2 | 50     | PHPARTYIDUPLOADDTBL      |
| ISSUECOUNTRY               | Issuecountry             | VARCHAR2 | 3      | PHPARTYIDUPLOADDTBL      |

| Field name          | Description         | Datatype | Length | Table Name               |
|---------------------|---------------------|----------|--------|--------------------------|
| DATEOFISSUE         | Dateofissue         | DATE     | 7      | PHPARTYIDUP-LOADTBL      |
| DATEOFEXPIRY        | Dateofexpiry        | DATE     | 7      | PHPARTYIDUP-LOADTBL      |
| LASTVERIFIEDDATE    | Lastverifieddate    | DATE     | 7      | PHPARTYIDUP-LOADTBL      |
| VERIFICATIONSTATUS  | Verificationstatus  | VARCHAR2 | 1      | PHPARTYIDUP-LOADTBL      |
| MODULEID            | Moduleid            | VARCHAR2 | 30     | PHPARTYIDUP-LOADTBL      |
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PHINVACCMAS-TERUPLOADTBL |
| SEQUENCE-NUMBER     | Sequencenumber      | NUMBER   | 10     | PHINVACCMAS-TERUPLOADTBL |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PHINVACCMAS-TERUPLOADTBL |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PHINVACCMAS-TERUPLOADTBL |
| ACCOUNTID           | Accountid           | VARCHAR2 | 16     | PHINVACCMAS-TERUPLOADTBL |
| ACOPERATIONTYPE     | Acooperation-type   | VARCHAR2 | 1      | PHINVACCMAS-TERUPLOADTBL |
| DEALING-TYPE        | Dealingtype         | VARCHAR2 | 3      | PHINVACCMAS-TERUPLOADTBL |
| GUARDIANID          | Guardianid          | VARCHAR2 | 12     | PHINVACCMAS-TERUPLOADTBL |
| PARTYID             | Partyid             | VARCHAR2 | 12     | PHINVACCMAS-TERUPLOADTBL |
| INVESTOR-CATEGORY   | Investorcategory    | VARCHAR2 | 2      | PHINVACCMAS-TERUPLOADTBL |
| ACOPENING-DATE      | Acopeningdate       | DATE     | 7      | PHINVACCMAS-TERUPLOADTBL |
| ACCOUNTREFNO        | Accountrefno        | VARCHAR2 | 16     | PHINVACCMAS-TERUPLOADTBL |
| MODULEID            | Moduleid            | VARCHAR2 | 30     | PHINVACCMAS-TERUPLOADTBL |
| AUTH_STAT           | Auth_Stat           | VARCHAR2 | 1      | PHINVACCMAS-TERUPLOADTBL |



| Field name                    | Description              | Datatype | Length | Table Name                   |
|-------------------------------|--------------------------|----------|--------|------------------------------|
| ONCE_AUTH                     | Once_Auth                | VARCHAR2 | 1      | PHINVACCMAS-<br>TERUPLOADTBL |
| RECORD_STA<br>T               | Record_Stat              | VARCHAR2 | 1      | PHINVACCMAS-<br>TERUPLOADTBL |
| MOD_NO                        | Mod_No                   | NUMBER   | 22     | PHINVACCMAS-<br>TERUPLOADTBL |
| MAKER_ID                      | Maker_Id                 | VARCHAR2 | 15     | PHINVACCMAS-<br>TERUPLOADTBL |
| MAK-<br>ER_DT_STAM<br>P       | Mak-<br>er_Dt_Stamp      | DATE     | 7      | PHINVACCMAS-<br>TERUPLOADTBL |
| CHECKER_ID                    | Checker_Id               | VARCHAR2 | 15     | PHINVACCMAS-<br>TERUPLOADTBL |
| CHECK-<br>ER_DT_STAM<br>P     | Check-<br>er_Dt_Stamp    | DATE     | 7      | PHINVACCMAS-<br>TERUPLOADTBL |
| ACCOUNT-<br>STATUS            | Accountstatus            | VARCHAR2 | 1      | PHINVACCMAS-<br>TERUPLOADTBL |
| PENSIONAC-<br>COUNT           | Pensionaccount           | VARCHAR2 | 1      | PHINVACCMAS-<br>TERUPLOADTBL |
| ACCOUNT-<br>CLOSED            | Accountclosed            | VARCHAR2 | 1      | PHINVACCMAS-<br>TERUPLOADTBL |
| CHANGE-<br>DATE               | Changdate                | DATE     | 7      | PHINVACCMAS-<br>TERUPLOADTBL |
| CHANGEREAS-<br>ON             | Changereason             | VARCHAR2 | 255    | PHINVACCMAS-<br>TERUPLOADTBL |
| CHANGEREFE-<br>RENCENO        | Changereferen-<br>ceno   | VARCHAR2 | 16     | PHINVACCMAS-<br>TERUPLOADTBL |
| SEGMENTID                     | Segmentid                | VARCHAR2 | 20     | PHINVACCINTERU-<br>PLOADTBL  |
| SEQUENCE-<br>NUMBER           | Sequencenum-<br>ber      | NUMBER   | 10     | PHINVACCINTERU-<br>PLOADTBL  |
| PROCESSID                     | Processid                | VARCHAR2 | 50     | PHINVACCINTERU-<br>PLOADTBL  |
| USERREFER-<br>ENCENUM-<br>BER | Userreference-<br>number | VARCHAR2 | 16     | PHINVACCINTERU-<br>PLOADTBL  |
| ACCOUNTID                     | Accountid                | VARCHAR2 | 16     | PHINVACCINTERU-<br>PLOADTBL  |

| Field name            | Description           | Datatype | Length | Table Name                   |
|-----------------------|-----------------------|----------|--------|------------------------------|
| INTERMEDIARYID        | Intermediaryid        | VARCHAR2 | 12     | PHINVACCINTERU-<br>UPLOADTBL |
| SPLITPERCENTAGE       | Splitpercentage       | NUMBER   | 5      | PHINVACCINTERU-<br>UPLOADTBL |
| DEFAULT-FLAG          | Defaultflag           | VARCHAR2 | 1      | PHINVACCINTERU-<br>UPLOADTBL |
| SEGMENTID             | Segmentid             | VARCHAR2 | 20     | PHINVACCBANK-<br>UPLOADTBL   |
| SEQUENCE-NUMBER       | Sequencenumber        | NUMBER   | 10     | PHINVACCBANK-<br>UPLOADTBL   |
| PROCESSID             | Processid             | VARCHAR2 | 50     | PHINVACCBANK-<br>UPLOADTBL   |
| USERREFERENCENUMBER   | Userreference-number  | VARCHAR2 | 16     | PHINVACCBANK-<br>UPLOADTBL   |
| ACCOUNTID             | Accountid             | VARCHAR2 | 16     | PHINVACCBANK-<br>UPLOADTBL   |
| BANKREFERENCENO       | Bankreferenceno       | VARCHAR2 | 16     | PHINVACCBANK-<br>UPLOADTBL   |
| DIRECTDEBITAPPLICABLE | Directdebitapplicable | VARCHAR2 | 1      | PHINVACCBANK-<br>UPLOADTBL   |
| DEFAULT-FLAG          | Defaultflag           | VARCHAR2 | 1      | PHINVACCBANK-<br>UPLOADTBL   |
| ACCOUNTHOLDERNAME     | Accountholder-name    | VARCHAR2 | 100    | PHINVACCBANK-<br>UPLOADTBL   |
| ACCOUNT-TYPE          | Accounttype           | VARCHAR2 | 1      | PHINVACCBANK-<br>UPLOADTBL   |
| ACCOUNT-CURRENCY      | Accountcurrency       | VARCHAR2 | 3      | PHINVACCBANK-<br>UPLOADTBL   |
| BANKCODE              | Bankcode              | VARCHAR2 | 12     | PHINVACCBANK-<br>UPLOADTBL   |
| BRANCH-CODE           | Branchcode            | VARCHAR2 | 12     | PHINVACCBANK-<br>UPLOADTBL   |
| ACCOUNT-NUMBER        | Accountnumber         | VARCHAR2 | 20     | PHINVACCBANK-<br>UPLOADTBL   |
| IBAN                  | Iban                  | VARCHAR2 | 40     | PHINVACCBANK-<br>UPLOADTBL   |

| Field name          | Description         | Datatype | Length | Table Name                 |
|---------------------|---------------------|----------|--------|----------------------------|
| VERIFICATIONSTATUS  | Verificationstatus  | VARCHAR2 | 1      | PHINVACCBANK-UPLOADTBL     |
| LASTVERIFIEDDATE    | Lastverifieddate    | DATE     | 7      | PHINVACCBANK-UPLOADTBL     |
| MODULEID            | Moduleid            | VARCHAR2 | 30     | PHINVACCBANK-UPLOADTBL     |
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PHINVESTAC-CBENFUP-LOADTBL |
| SEQUENCE-NUMBER     | Sequencenumber      | NUMBER   | 10     | PHINVESTAC-CBENFUP-LOADTBL |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PHINVESTAC-CBENFUP-LOADTBL |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PHINVESTAC-CBENFUP-LOADTBL |
| ACCOUNTID           | Accountid           | VARCHAR2 | 16     | PHINVESTAC-CBENFUP-LOADTBL |
| BENEFICIARYTYPE     | Beneficiarytype     | VARCHAR2 | 2      | PHINVESTAC-CBENFUP-LOADTBL |
| BENFPARTYID         | Benfpartyid         | VARCHAR2 | 16     | PHINVESTAC-CBENFUP-LOADTBL |
| AWARDPERCENTAGE     | Awardpercentage     | NUMBER   | 5      | PHINVESTAC-CBENFUP-LOADTBL |
| BENFPARTYNAME       | Benfpartyname       | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |
| DATEOFBIRTH         | Dateofbirth         | DATE     | 7      | PHINVESTAC-CBENFUP-LOADTBL |
| COUNTRYOFBIRTH      | Countryofbirth      | VARCHAR2 | 3      | PHINVESTAC-CBENFUP-LOADTBL |
| COUNTRYOFDOMICILE   | Countryofdomicile   | VARCHAR2 | 3      | PHINVESTAC-CBENFUP-LOADTBL |

| Field name                     | Description               | Datatype | Length | Table Name                         |
|--------------------------------|---------------------------|----------|--------|------------------------------------|
| GENDER                         | Gender                    | VARCHAR2 | 1      | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| IDENTIFICA-<br>TIONTYPE        | Identification-<br>type   | VARCHAR2 | 20     | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| IDENTIFICA-<br>TIONNUMBER      | Identification-<br>number | VARCHAR2 | 50     | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| RELATION-<br>SHIP              | Relationship              | VARCHAR2 | 70     | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| ADDRESS-<br>LINE1              | Addressline1              | VARCHAR2 | 100    | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| ADDRESS-<br>LINE2              | Addressline2              | VARCHAR2 | 100    | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| CITY                           | City                      | VARCHAR2 | 80     | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| STATE                          | State                     | VARCHAR2 | 80     | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| COUNTRY                        | Country                   | VARCHAR2 | 3      | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| ZIPCODE                        | Zipcode                   | VARCHAR2 | 10     | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| EMAILAD-<br>DRESS              | Emailaddress              | VARCHAR2 | 100    | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| PRIMARYMO-<br>BILENUM-<br>BER1 | Primarymobile-<br>number1 | VARCHAR2 | 60     | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| PRIMARYMO-<br>BILENUM-<br>BER2 | Primarymobile-<br>number2 | VARCHAR2 | 60     | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |
| PRIMARYFIX-<br>EDLINE          | Primaryfixedline          | VARCHAR2 | 60     | PHINVESTAC-<br>CBENFUP-<br>LOADTBL |

| Field name         | Description       | Datatype | Length | Table Name                 |
|--------------------|-------------------|----------|--------|----------------------------|
| FAX                | Fax               | VARCHAR2 | 120    | PHINVESTAC-CBENFUP-LOADTBL |
| CONTACT-PERSON     | Contactperson     | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |
| BANKCODE           | Bankcode          | VARCHAR2 | 12     | PHINVESTAC-CBENFUP-LOADTBL |
| BRANCH-CODE        | Branchcode        | VARCHAR2 | 12     | PHINVESTAC-CBENFUP-LOADTBL |
| ACCOUNT-TYPE       | Accounttype       | VARCHAR2 | 1      | PHINVESTAC-CBENFUP-LOADTBL |
| ACCOUNT-CURRENCY   | Accountcurrency   | VARCHAR2 | 3      | PHINVESTAC-CBENFUP-LOADTBL |
| ACCOUNT-NUMBER     | Accountnumber     | VARCHAR2 | 20     | PHINVESTAC-CBENFUP-LOADTBL |
| ACCOUNT-NAME       | Accountname       | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |
| IBAN               | Iban              | VARCHAR2 | 40     | PHINVESTAC-CBENFUP-LOADTBL |
| DESIGNATION        | Designation       | VARCHAR2 | 100    | PHINVESTAC-CBENFUP-LOADTBL |
| CONTROLLING-PERSON | Controllingperson | VARCHAR2 | 1      | PHINVESTAC-CBENFUP-LOADTBL |

## 2.45 Pension Allocation Hand-off

| Field name          | Description         | Datatype | Length | Table Name                |
|---------------------|---------------------|----------|--------|---------------------------|
| SEQUENCENUMBER      | Sequencenumber      | NUMBER   | 10     | PHALLOCDetail-STAUPLOADHF |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PHALLOCDetail-STAUPLOADHF |
| BULKCLIENTCODE      | Bulkclientcode      | VARCHAR2 | 12     | PHALLOCDetail-STAUPLOADHF |
| UPLOADMODE          | Uploadmode          | VARCHAR2 | 1      | PHALLOCDetail-STAUPLOADHF |
| IMPORTFILENAME      | Importfilename      | VARCHAR2 | 255    | PHALLOCDetail-STAUPLOADHF |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PHALLOCDetail-STAUPLOADHF |
| ORDERNUMBER         | Ordernumber         | VARCHAR2 | 16     | PHALLOCDetail-STAUPLOADHF |
| EXTERNALTXNUMBER    | Externaltxnnumber   | VARCHAR2 | 16     | PHALLOCDetail-STAUPLOADHF |
| PARTYID             | Partyid             | VARCHAR2 | 12     | PHALLOCDetail-STAUPLOADHF |
| PORTFOLIOID         | Portfolioid         | VARCHAR2 | 16     | PHALLOCDetail-STAUPLOADHF |
| ACCOUNTID           | Accountid           | VARCHAR2 | 16     | PHALLOCDetail-STAUPLOADHF |
| INSTRUMENTID        | Instrumentid        | VARCHAR2 | 16     | PHALLOCDetail-STAUPLOADHF |
| ASSETID             | Assetid             | VARCHAR2 | 16     | PHALLOCDetail-STAUPLOADHF |
| ORDERAMOUNT         | Orderamount         | NUMBER   | 30     | PHALLOCDetail-STAUPLOADHF |
| LOADAMOUNT          | Loadamount          | NUMBER   | 30     | PHALLOCDetail-STAUPLOADHF |
| NETAMOUNT           | Netamount           | NUMBER   | 30     | PHALLOCDetail-STAUPLOADHF |
| SETTLEMENTAMT       | Settlementamt       | NUMBER   | 30     | PHALLOCDetail-STAUPLOADHF |
| NAV                 | Nav                 | NUMBER   | 17     | PHALLOCDetail-STAUPLOADHF |
| NAVDATE             | Navdate             | DATE     | 7      | PHALLOCDetail-STAUPLOADHF |

| Field name         | Description        | Datatype | Length | Table Name                |
|--------------------|--------------------|----------|--------|---------------------------|
| ALLOTTEDUNITS      | Allottedunits      | NUMBER   | 30     | PHALLOCDetail-STAUPLOADHF |
| ALLOCATION-DATE    | Allocationdate     | DATE     | 7      | PHALLOCDetail-STAUPLOADHF |
| FROMORTOLEG        | Fromortoleg        | VARCHAR2 | 1      | PHALLOCDetail-STAUPLOADHF |
| ORIGINALORD-NUMBER | Originalordnum-ber | VARCHAR2 | 16     | PHALLOCDetail-STAUPLOADHF |
| ORDERTYPE          | Ordertype          | VARCHAR2 | 3      | PHALLOCDetail-STAUPLOADHF |
| STATUS             | Status             | VARCHAR2 | 2      | PHALLOCDetail-STAUPLOADHF |
| ERR_CODE           | Err_Code           | VARCHAR2 | 20     | PHALLOCDetail-STAUPLOADHF |
| ERRDESCRIP-TION    | Errdescription     | VARCHAR2 | 255    | PHALLOCDetail-STAUPLOADHF |
| TATRANSACTION-DATE | Tatransaction-date | DATE     | 7      | PHALLOCDetail-STAUPLOADHF |
| BLOCKEDFLAG        | Blockedflag        | VARCHAR2 | 1      | PHALLOCDetail-STAUPLOADHF |

## 2.46 Load Maintenance Export

This section contains the following topics:

- [Section 2.46.1, "Segment Identification Number"](#)
- [Section 2.46.2, "Load Maintenance Upload"](#)

## 2.46.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 01LOD                         | This segment is used to provide load upload details            |
| 02DET                         | This segment is used to provide load detail upload details     |
| 03BAS                         | This segment is used to provide basis definition upload detail |
| 04DER                         | This segment is used to provide derived load upload detail     |

## 2.46.2 Load Maintenance Upload

| Field name          | Description        | Datatype | Length | Table Name       |
|---------------------|--------------------|----------|--------|------------------|
| SEGMENTID           | Segmentid          | VARCHAR2 | 20     | B_LOADUP-LOADTBL |
| SEQUENCE-NUMBER     | Sequencenumber     | NUMBER   | 22     | B_LOADUP-LOADTBL |
| PROCESSID           | Processid          | VARCHAR2 | 50     | B_LOADUP-LOADTBL |
| BULKCLIENT-CODE     | Bulkclientcode     | VARCHAR2 | 12     | B_LOADUP-LOADTBL |
| UPLOADMODE          | Uploadmode         | VARCHAR2 | 1      | B_LOADUP-LOADTBL |
| IMPORTFILE-NAME     | Importfilename     | VARCHAR2 | 255    | B_LOADUP-LOADTBL |
| KEYSTRING           | Keystring          | VARCHAR2 | 50     | B_LOADUP-LOADTBL |
| LOADID              | Loadid             | NUMBER   | 5      | B_LOADUP-LOADTBL |
| AUTH_STAT           | Auth_Stat          | VARCHAR2 | 1      | B_LOADUP-LOADTBL |
| LOADDE-SCRIPTION    | Loaddescription    | VARCHAR2 | 60     | B_LOADUP-LOADTBL |
| LOADTYPE            | Loadtype           | VARCHAR2 | 1      | B_LOADUP-LOADTBL |
| AMOUNTOR-PERCENTAGE | Amountorpercentage | VARCHAR2 | 1      | B_LOADUP-LOADTBL |
| LOADCALC-METHOD     | Loadcalcmethod     | VARCHAR2 | 1      | B_LOADUP-LOADTBL |



| Field name                | Description               | Datatype | Length | Table Name       |
|---------------------------|---------------------------|----------|--------|------------------|
| LOADOVER-RIDEABLE         | Loadoverrideable          | NUMBER   | 1      | B_LOADUP-LOADTBL |
| CRITERIA                  | Criteria                  | VARCHAR2 | 255    | B_LOADUP-LOADTBL |
| FROMTABLES                | Fromtables                | VARCHAR2 | 20     | B_LOADUP-LOADTBL |
| LOWER-BOUNDARYINCLUSIVE   | Lowerboundaryinclusive    | NUMBER   | 1      | B_LOADUP-LOADTBL |
| CUMULATIVE-LOADAPPLICABLE | Cumulativeloadapplicable  | NUMBER   | 1      | B_LOADUP-LOADTBL |
| MAKER_ID                  | Maker_Id                  | VARCHAR2 | 15     | B_LOADUP-LOADTBL |
| MAKER_DT_STAMP            | Maker_Dt_Stamp            | DATE     | 7      | B_LOADUP-LOADTBL |
| CHECKER_ID                | Checker_Id                | VARCHAR2 | 15     | B_LOADUP-LOADTBL |
| CHECKER_DT_STAMP          | Checker_Dt_Stamp          | DATE     | 7      | B_LOADUP-LOADTBL |
| LASTMODIFIEDUSERID        | Lastmodifieduserid        | VARCHAR2 | 15     | B_LOADUP-LOADTBL |
| LASTMODIFIEDDATE          | Lastmodifieddate          | DATE     | 7      | B_LOADUP-LOADTBL |
| MOD_NO                    | Mod_No                    | NUMBER   | 22     | B_LOADUP-LOADTBL |
| PRICEBASISLOAD            | Pricebasisload            | NUMBER   | 1      | B_LOADUP-LOADTBL |
| AMOUNTBASIS               | Amountbasis               | VARCHAR2 | 1      | B_LOADUP-LOADTBL |
| RECORD_STAT               | Record_Stat               | VARCHAR2 | 1      | B_LOADUP-LOADTBL |
| ONCE_AUTH                 | Once_Auth                 | VARCHAR2 | 1      | B_LOADUP-LOADTBL |
| ROATHRESHOLDFORINITIALFEE | Roathresholdforinitialfee | NUMBER   | 30     | B_LOADUP-LOADTBL |
| CREATED-MODULE            | Createdmodule             | VARCHAR2 | 30     | B_LOADUP-LOADTBL |

| Field name           | Description         | Datatype | Length | Table Name               |
|----------------------|---------------------|----------|--------|--------------------------|
| SEGMENTID            | Segmentid           | VARCHAR2 | 20     | B_LOADDET-<br>UPLOADDTBL |
| SEQUENCE-<br>NUMBER  | Sequencenum-<br>ber | NUMBER   | 22     | B_LOADDET-<br>UPLOADDTBL |
| PROCESSID            | Processid           | VARCHAR2 | 50     | B_LOADDET-<br>UPLOADDTBL |
| KEYSTRING            | Keystring           | VARCHAR2 | 50     | B_LOADDET-<br>UPLOADDTBL |
| LOADID               | Loadid              | NUMBER   | 5      | B_LOADDET-<br>UPLOADDTBL |
| SLABSEQNO            | Slabseqno           | NUMBER   | 5      | B_LOADDET-<br>UPLOADDTBL |
| SLABFROM-<br>DATE    | Slabfromdate        | DATE     | 7      | B_LOADDET-<br>UPLOADDTBL |
| SLABTODATE           | Slabtodate          | DATE     | 7      | B_LOADDET-<br>UPLOADDTBL |
| SLABFRO-<br>MAMOUNT  | Slabfromamount      | NUMBER   | 30     | B_LOADDET-<br>UPLOADDTBL |
| SLABTOAMOU<br>NT     | Slabtoamount        | NUMBER   | 30     | B_LOADDET-<br>UPLOADDTBL |
| SLABFROMU-<br>NITS   | Slabfromunits       | NUMBER   | 27     | B_LOADDET-<br>UPLOADDTBL |
| SLABTOUNITS          | Slabtounits         | NUMBER   | 27     | B_LOADDET-<br>UPLOADDTBL |
| SLABFROMPE-<br>RIOD  | Slabfromperiod      | NUMBER   | 22     | B_LOADDET-<br>UPLOADDTBL |
| SLABTOPER-<br>IOD    | Slabtoperiod        | NUMBER   | 22     | B_LOADDET-<br>UPLOADDTBL |
| RETURN-<br>VALUE     | Returnvalue         | NUMBER   | 30     | B_LOADDET-<br>UPLOADDTBL |
| FLOORVALUE           | Floorvalue          | NUMBER   | 15     | B_LOADDET-<br>UPLOADDTBL |
| CEILINGVALUE         | Ceilingvalue        | NUMBER   | 30     | B_LOADDET-<br>UPLOADDTBL |
| PERIODBASIS          | Periodbasis         | VARCHAR2 | 1      | B_LOADDET-<br>UPLOADDTBL |
| SLABFROM-<br>COUNTER | Slabfromcounter     | NUMBER   | 22     | B_LOADDET-<br>UPLOADDTBL |

| Field name          | Description         | Datatype | Length | Table Name           |
|---------------------|---------------------|----------|--------|----------------------|
| SLABTO-COUNTER      | Slabtocounter       | NUMBER   | 22     | B_LOADDET-UPLOADTBL  |
| UHDEAL-CEILINGVALUE | Uhdealceiling-value | NUMBER   | 30     | B_LOADDET-UPLOADTBL  |
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | B_BASISDE-FUPLOADTBL |
| SEQUENCE-NUMBER     | Sequencenum-ber     | NUMBER   | 22     | B_BASISDE-FUPLOADTBL |
| PROCESSID           | Processid           | VARCHAR2 | 50     | B_BASISDE-FUPLOADTBL |
| KEYSTRING           | Keystring           | VARCHAR2 | 50     | B_BASISDE-FUPLOADTBL |
| LOADID              | Loadid              | NUMBER   | 5      | B_BASISDE-FUPLOADTBL |
| AUTH_STAT           | Auth_Stat           | VARCHAR2 | 1      | B_BASISDE-FUPLOADTBL |
| TABLESRE-FERRED     | Tablesreferred      | VARCHAR2 | 16     | B_BASISDE-FUPLOADTBL |
| BASISAMOUNT         | Basisamount         | VARCHAR2 | 255    | B_BASISDE-FUPLOADTBL |
| BASISDAYS           | Basisdays           | VARCHAR2 | 255    | B_BASISDE-FUPLOADTBL |
| BASISUNITS          | Basisunits          | VARCHAR2 | 255    | B_BASISDE-FUPLOADTBL |
| JOINCLAUSE          | Joinclause          | VARCHAR2 | 255    | B_BASISDE-FUPLOADTBL |
| FIELDFLAG           | Fieldflag           | VARCHAR2 | 1      | B_BASISDE-FUPLOADTBL |
| MAKER_ID            | Maker_Id            | VARCHAR2 | 15     | B_BASISDE-FUPLOADTBL |
| MAK-ER_DT_STAMP     | Mak-er_Dt_Stamp     | DATE     | 7      | B_BASISDE-FUPLOADTBL |
| CHECKER_ID          | Checker_Id          | VARCHAR2 | 15     | B_BASISDE-FUPLOADTBL |
| CHECK-ER_DT_STAMP   | Check-er_Dt_Stamp   | DATE     | 7      | B_BASISDE-FUPLOADTBL |
| LASTMODIFIE-DUSERID | Lastmodifiedu-serid | VARCHAR2 | 15     | B_BASISDE-FUPLOADTBL |

| Field name       | Description       | Datatype | Length | Table Name               |
|------------------|-------------------|----------|--------|--------------------------|
| LASTMODIFIEDDATE | Lastmodified-date | DATE     | 7      | B_BASISDE-FUPLOADTBL     |
| MOD_NO           | Mod_No            | NUMBER   | 22     | B_BASISDE-FUPLOADTBL     |
| RECORD_STAT      | Record_Stat       | CHAR     | 1      | B_BASISDE-FUPLOADTBL     |
| ONCE_AUTH        | Once_Auth         | CHAR     | 1      | B_BASISDE-FUPLOADTBL     |
| SEGMENTID        | Segmentid         | VARCHAR2 | 20     | B_DERIVED-LOADUP-LOADTBL |
| SEQUENCE-NUMBER  | Sequencenumber    | NUMBER   | 22     | B_DERIVED-LOADUP-LOADTBL |
| PROCESSID        | Processid         | VARCHAR2 | 50     | B_DERIVED-LOADUP-LOADTBL |
| KEYSTRING        | Keystring         | VARCHAR2 | 50     | B_DERIVED-LOADUP-LOADTBL |
| LOADID           | Loadid            | NUMBER   | 5      | B_DERIVED-LOADUP-LOADTBL |
| DESCRIPTION      | Description       | VARCHAR2 | 60     | B_DERIVED-LOADUP-LOADTBL |
| PARENTLOADID     | Parentloadid      | VARCHAR2 | 6      | B_DERIVED-LOADUP-LOADTBL |
| TOENTITY-TYPE    | Toentitytype      | VARCHAR2 | 1      | B_DERIVED-LOADUP-LOADTBL |
| TOENTITYID       | Toentityid        | VARCHAR2 | 12     | B_DERIVED-LOADUP-LOADTBL |
| LOADPERCENTAGE   | Loadpercentage    | NUMBER   | 5      | B_DERIVED-LOADUP-LOADTBL |
| CRITERIA         | Criteria          | VARCHAR2 | 255    | B_DERIVED-LOADUP-LOADTBL |

| Field name              | Description            | Datatype | Length | Table Name               |
|-------------------------|------------------------|----------|--------|--------------------------|
| FROMTABLES              | Fromtables             | VARCHAR2 | 20     | B_DERIVED-LOADUP-LOADTBL |
| MANDATORY               | Mandatory              | NUMBER   | 38     | B_DERIVED-LOADUP-LOADTBL |
| PRIORITY                | Priority               | NUMBER   | 38     | B_DERIVED-LOADUP-LOADTBL |
| AMOUNTBASIS             | Amountbasis            | VARCHAR2 | 1      | B_DERIVED-LOADUP-LOADTBL |
| CAPTO-PARENTLOAD-AMOUNT | Captoparent-loadamount | NUMBER   | 30     | B_DERIVED-LOADUP-LOADTBL |
| DIFFERENTIALLOAD        | Differentialload       | VARCHAR2 | 1      | B_DERIVED-LOADUP-LOADTBL |
| DEPENDENT-LOADID        | Dependentloadid        | VARCHAR2 | 6      | B_DERIVED-LOADUP-LOADTBL |
| FDAPINCOME              | Fdapincome             | NUMBER   | 1      | B_DERIVED-LOADUP-LOADTBL |

## 2.47 Broker Export

This section contains the following topics:

- [Section 2.47.1, "Segment Identification Number"](#)
- [Section 2.47.2, "Broker Export"](#)

## 2.47.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 00BKR                         | This segment is used to provide broker upload details                    |
| 01BLG                         | This segment is used to provide broker legal upload details              |
| 02BRF                         | This segment is used to provide broker preference upload detail          |
| 03BBK                         | This segment is used to provide broker bank upload detail                |
| 04BCT                         | This segment is used to provide broker contact information upload detail |
| 05RLP                         | This segment is used to provide broker related parties upload detail     |

## 2.47.2 Broker Export

| Field name           | Description         | Datatype | Length | Table Name  |
|----------------------|---------------------|----------|--------|-------------|
| SEGMENTID            | Segmentid           | VARCHAR2 | 20     | PHBROKERTBL |
| SEQUENCE-NUMBER      | Sequencenumber      | NUMBER   | 10     | PHBROKERTBL |
| PROCESSID            | Processid           | VARCHAR2 | 50     | PHBROKERTBL |
| BULKCLIENT-CODE      | Bulkclientcode      | VARCHAR2 | 12     | PHBROKERTBL |
| UPLOAD-MODE          | Uploadmode          | VARCHAR2 | 1      | PHBROKERTBL |
| USERREFER-ENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PHBROKERTBL |
| BROKER-CODE          | Brokercode          | VARCHAR2 | 12     | PHBROKERTBL |
| BROKER-NAME          | Brokername          | VARCHAR2 | 105    | PHBROKERTBL |
| PARENTBROKERCODE     | Parentbrokercode    | VARCHAR2 | 12     | PHBROKERTBL |
| BROKERBASECCY        | Brokerbaseccy       | VARCHAR2 | 3      | PHBROKERTBL |
| AMCID                | Amcid               | VARCHAR2 | 12     | PHBROKERTBL |
| AUTH_STAT            | Auth_Stat           | VARCHAR2 | 1      | PHBROKERTBL |

| Field name                | Description             | Datatype | Length | Table Name  |
|---------------------------|-------------------------|----------|--------|-------------|
| DELETED-STATUS            | Deletedstatus           | NUMBER   | 1      | PHBROKERTBL |
| OVERRID-DENTAXPER-CENTAGE | Overriddentaxpercentage | NUMBER   | 15     | PHBROKERTBL |
| MAKER_ID                  | Maker_Id                | VARCHAR2 | 15     | PHBROKERTBL |
| MAKER_DT_STAMP            | Maker_Dt_Stamp          | DATE     | 7      | PHBROKERTBL |
| LEVELNUMBER               | Levelnumber             | NUMBER   | 3      | PHBROKERTBL |
| RULEEFFECTIVEDATE         | Ruleeffectivedate       | DATE     | 7      | PHBROKERTBL |
| CHANGETYPE                | Changetype              | VARCHAR2 | 1      | PHBROKERTBL |
| VATREGISTERED             | Vatregistered           | NUMBER   | 1      | PHBROKERTBL |
| VATREGISTRATIONNUMBER     | Vatregistrationnumber   | VARCHAR2 | 15     | PHBROKERTBL |
| COMMISSIONPERCENTAGE      | Commissionpercentage    | NUMBER   | 5      | PHBROKERTBL |
| COMMISSIONPAYMENTFREQ     | Commissionpaymentfreq   | VARCHAR2 | 1      | PHBROKERTBL |
| UNITHOLDERID              | Unitholderid            | VARCHAR2 | 12     | PHBROKERTBL |
| LASTPAYMENTDATE           | Lastpaymentdate         | DATE     | 7      | PHBROKERTBL |
| LASTPAYMENTNUMBER         | Lastpaymentnumber       | NUMBER   | 5      | PHBROKERTBL |
| LASTPROCESSEDDATE         | Lastprocesseddate       | DATE     | 7      | PHBROKERTBL |
| NEXTPAYMENTDATE           | Nextpaymentdate         | DATE     | 7      | PHBROKERTBL |
| CHECKER_ID                | Checker_Id              | VARCHAR2 | 15     | PHBROKERTBL |

| Field name                | Description               | Datatype | Length | Table Name  |
|---------------------------|---------------------------|----------|--------|-------------|
| CHECKER_DT_STAMP          | Checker_Dt_Stamp          | DATE     | 7      | PHBROKERTBL |
| BROKERTYPE                | Brokertype                | VARCHAR2 | 6      | PHBROKERTBL |
| USEBROKERTYPEPAYMENTDATES | Usebrokertypepaymentdates | NUMBER   | 1      | PHBROKERTBL |
| BROKERCONSULTANT          | Brokerconsultant          | VARCHAR2 | 40     | PHBROKERTBL |
| BROKERCATEGORY            | Brokercategory            | VARCHAR2 | 1      | PHBROKERTBL |
| HOLDBACKPAYMENT           | Holdbackpayment           | NUMBER   | 1      | PHBROKERTBL |
| MOD_NO                    | Mod_No                    | NUMBER   | 10     | PHBROKERTBL |
| HOLDPYMTFROMDATE          | Holdpymtfromdate          | DATE     | 7      | PHBROKERTBL |
| LATESTRULE                | Latestrule                | NUMBER   | 22     | PHBROKERTBL |
| BROKERSTATUS              | Brokerstatus              | VARCHAR2 | 1      | PHBROKERTBL |
| RECORD_STAT               | Record_Stat               | CHAR     | 1      | PHBROKERTBL |
| ONCE_AUTH                 | Once_Auth                 | CHAR     | 1      | PHBROKERTBL |
| REPLICATEPARENTDET        | Replicateparentdet        | CHAR     | 1      | PHBROKERTBL |
| VATREGISTRATIONDATE       | Vatregistrationdate       | DATE     | 7      | PHBROKERTBL |
| TEMPLATEID                | Templateid                | VARCHAR2 | 6      | PHBROKERTBL |
| USINDICIAAVAILABLE        | Usindiciaavailable        | NUMBER   | 1      | PHBROKERTBL |
| FATCASTATUS               | Fatcastatus               | VARCHAR2 | 25     | PHBROKERTBL |
| NATIONALITY               | Nationality               | VARCHAR2 | 3      | PHBROKERTBL |
| COUNTRYOFBIRTH            | Countryofbirth            | VARCHAR2 | 3      | PHBROKERTBL |



| Field name             | Description            | Datatype | Length | Table Name         |
|------------------------|------------------------|----------|--------|--------------------|
| COUNTRY-OFDOMICILE     | Countryofdomicile      | VARCHAR2 | 3      | PHBROKERTBL        |
| INCORPORATIONCOUNTRY   | Incorporationcountry   | VARCHAR2 | 3      | PHBROKERTBL        |
| TAXID                  | Taxid                  | VARCHAR2 | 50     | PHBROKERTBL        |
| EIN                    | Ein                    | VARCHAR2 | 50     | PHBROKERTBL        |
| TAXCERTIFICATEEFFEDATE | Taxcertificateeffedate | DATE     | 7      | PHBROKERTBL        |
| TAXCERTIFICATEEXPDATE  | Taxcertificateexpdate  | DATE     | 7      | PHBROKERTBL        |
| TAXCIRCLE              | Taxcircle              | VARCHAR2 | 15     | PHBROKERTBL        |
| FATCACLASSIFICATION    | Fatcaclassification    | VARCHAR2 | 25     | PHBROKERTBL        |
| GIIN                   | Giin                   | VARCHAR2 | 30     | PHBROKERTBL        |
| GIINISSUE-DATE         | Giinissuedate          | DATE     | 7      | PHBROKERTBL        |
| GIINEXPIRY-DATE        | Giinexpirydate         | DATE     | 7      | PHBROKERTBL        |
| GIINVERIFIEDFLAG       | Giinverifiedflag       | NUMBER   | 1      | PHBROKERTBL        |
| FATCASPONSORTYPE       | Fatcasponsortype       | VARCHAR2 | 2      | PHBROKERTBL        |
| SPONSORINGENTITY-TYPE  | Sponsoringentitytype   | VARCHAR2 | 1      | PHBROKERTBL        |
| SPONSORINGENTITYID     | Sponsoringentityid     | VARCHAR2 | 12     | PHBROKERTBL        |
| VATEXPIRY-DATE         | Vatexpirydate          | DATE     | 7      | PHBROKERTBL        |
| UNAUTHEXIST            | Unauthexist            | VARCHAR2 | 1      | PHBROKERTBL        |
| SEGMENTID              | Segmentid              | VARCHAR2 | 20     | PHBROKER-LEGACYTBL |
| SEQUENCE-NUMBER        | Sequencenumber         | NUMBER   | 10     | PHBROKER-LEGACYTBL |

| Field name          | Description         | Datatype | Length | Table Name             |
|---------------------|---------------------|----------|--------|------------------------|
| PROCESSID           | Processid           | VARCHAR2 | 50     | PHBROKER-LEGACYTBL     |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PHBROKER-LEGACYTBL     |
| BROKER-CODE         | Brokercode          | VARCHAR2 | 12     | PHBROKER-LEGACYTBL     |
| RULEEFFECTIVEDATE   | Ruleeffectivedate   | DATE     | 7      | PHBROKER-LEGACYTBL     |
| BROKER-LEGACY-CODE  | Brokerlegacycode    | VARCHAR2 | 12     | PHBROKER-LEGACYTBL     |
| MOD_NO              | Mod_No              | NUMBER   | 10     | PHBROKER-LEGACYTBL     |
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PHBROKER-PREFERENCETBL |
| SEQUENCE-NUMBER     | Sequencenumber      | NUMBER   | 10     | PHBROKER-PREFERENCETBL |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PHBROKER-PREFERENCETBL |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PHBROKER-PREFERENCETBL |
| BROKER-CODE         | Brokercode          | VARCHAR2 | 12     | PHBROKER-PREFERENCETBL |
| RULEEFFECTIVEDATE   | Ruleeffectivedate   | DATE     | 7      | PHBROKER-PREFERENCETBL |
| FEEDTYPE            | Feedtype            | VARCHAR2 | 20     | PHBROKER-PREFERENCETBL |
| FEED-STRUCT         | Feedstruct          | VARCHAR2 | 4      | PHBROKER-PREFERENCETBL |
| FEEDSTART-DATE      | Feedstartdate       | DATE     | 7      | PHBROKER-PREFERENCETBL |

| Field name                    | Description              | Datatype | Length | Table Name                       |
|-------------------------------|--------------------------|----------|--------|----------------------------------|
| FEEDFRE-<br>QUENCY            | Feedfrequency            | VARCHAR2 | 20     | PHBROKER-<br>PREFER-<br>ENCETBL  |
| FEEDFOR-<br>MAT               | Feedformat               | VARCHAR2 | 6      | PHBROKER-<br>PREFER-<br>ENCETBL  |
| MOD_NO                        | Mod_No                   | NUMBER   | 10     | PHBROKER-<br>PREFER-<br>ENCETBL  |
| SEGMENTID                     | Segmentid                | VARCHAR2 | 20     | PHBROKER-<br>BANKDETAIL-<br>STBL |
| SEQUENCE-<br>NUMBER           | Sequencenumber           | NUMBER   | 10     | PHBROKER-<br>BANKDETAIL-<br>STBL |
| PROCESSID                     | Processid                | VARCHAR2 | 50     | PHBROKER-<br>BANKDETAIL-<br>STBL |
| USERREFER-<br>ENCENUM-<br>BER | Userreferencenum-<br>ber | VARCHAR2 | 16     | PHBROKER-<br>BANKDETAIL-<br>STBL |
| BROKER-<br>CODE               | Brokercode               | VARCHAR2 | 12     | PHBROKER-<br>BANKDETAIL-<br>STBL |
| RULEEFFEC-<br>TIVEDATE        | Ruleeffectivedate        | DATE     | 7      | PHBROKER-<br>BANKDETAIL-<br>STBL |
| REFERENCE-<br>NUMBER          | Referencenumber          | VARCHAR2 | 12     | PHBROKER-<br>BANKDETAIL-<br>STBL |
| BANKCODE                      | Bankcode                 | VARCHAR2 | 12     | PHBROKER-<br>BANKDETAIL-<br>STBL |
| BRANCH-<br>CODE               | Branchcode               | VARCHAR2 | 12     | PHBROKER-<br>BANKDETAIL-<br>STBL |
| ACCOUNT-<br>TYPE              | Accounttype              | VARCHAR2 | 1      | PHBROKER-<br>BANKDETAIL-<br>STBL |
| ACCOUNT-<br>NUMBER            | Accountnumber            | VARCHAR2 | 20     | PHBROKER-<br>BANKDETAIL-<br>STBL |

| Field name            | Description           | Datatype | Length | Table Name               |
|-----------------------|-----------------------|----------|--------|--------------------------|
| ACCOUNT-NAME          | Accountname           | VARCHAR2 | 100    | PHBROKER-BANKDETAIL-STBL |
| ACCOUNT-CURRENCY      | Accountcurrency       | VARCHAR2 | 3      | PHBROKER-BANKDETAIL-STBL |
| DEFAULTDETAILS        | Defaultdetails        | NUMBER   | 1      | PHBROKER-BANKDETAIL-STBL |
| DIRECTDEBITAPPLICABLE | Directdebitapplicable | NUMBER   | 1      | PHBROKER-BANKDETAIL-STBL |
| SWIFFFORMAT           | Swiftformat           | VARCHAR2 | 6      | PHBROKER-BANKDETAIL-STBL |
| BANK-CHARGED          | Bankcharged           | VARCHAR2 | 12     | PHBROKER-BANKDETAIL-STBL |
| BENEFICIARY           | Beneficiary           | VARCHAR2 | 35     | PHBROKER-BANKDETAIL-STBL |
| BENEFICIARYADD1       | Beneficiaryadd1       | VARCHAR2 | 105    | PHBROKER-BANKDETAIL-STBL |
| BENEFICIARYADD2       | Beneficiaryadd2       | VARCHAR2 | 105    | PHBROKER-BANKDETAIL-STBL |
| BENEFICIARYADD3       | Beneficiaryadd3       | VARCHAR2 | 105    | PHBROKER-BANKDETAIL-STBL |
| BENEFICIARYBICCODE    | Beneficiarybiccode    | VARCHAR2 | 24     | PHBROKER-BANKDETAIL-STBL |
| BENEFICIARYACCTNO     | Beneficiaryacctno     | VARCHAR2 | 34     | PHBROKER-BANKDETAIL-STBL |
| BENEFICIARYCODE       | Beneficiarycode       | VARCHAR2 | 24     | PHBROKER-BANKDETAIL-STBL |
| BENEFICIARYINFO       | Beneficiaryinfo       | VARCHAR2 | 100    | PHBROKER-BANKDETAIL-STBL |

| Field name              | Description         | Datatype | Length | Table Name               |
|-------------------------|---------------------|----------|--------|--------------------------|
| INTERMEDI-ARY           | Intermediary        | VARCHAR2 | 35     | PHBROKER-BANKDETAIL-STBL |
| INTERMEDI-ARYADD1       | Intermediaryadd1    | VARCHAR2 | 105    | PHBROKER-BANKDETAIL-STBL |
| INTERMEDI-ARYADD2       | Intermediaryadd2    | VARCHAR2 | 105    | PHBROKER-BANKDETAIL-STBL |
| INTERMEDI-ARYADD3       | Intermediaryadd3    | VARCHAR2 | 105    | PHBROKER-BANKDETAIL-STBL |
| INTERMEDI-ARYBICCODE    | Intermediarybiccode | VARCHAR2 | 24     | PHBROKER-BANKDETAIL-STBL |
| INTERMEDI-ARYACCTNO     | Intermediaryacctno  | VARCHAR2 | 34     | PHBROKER-BANKDETAIL-STBL |
| INTERMEDI-ARYCODE       | Intermediarycode    | VARCHAR2 | 24     | PHBROKER-BANKDETAIL-STBL |
| INTERMEDI-ARYINFO       | Intermediaryinfo    | VARCHAR2 | 100    | PHBROKER-BANKDETAIL-STBL |
| FURTHER-CREDIT-NAME     | Furthercreditname   | VARCHAR2 | 35     | PHBROKER-BANKDETAIL-STBL |
| FURTHER-CREDIT-ITACCTNO | Furthercreditacctno | VARCHAR2 | 35     | PHBROKER-BANKDETAIL-STBL |
| REFER-ENCE1             | Reference1          | VARCHAR2 | 35     | PHBROKER-BANKDETAIL-STBL |
| REFER-ENCE2             | Reference2          | VARCHAR2 | 35     | PHBROKER-BANKDETAIL-STBL |
| IBAN                    | Iban                | VARCHAR2 | 40     | PHBROKER-BANKDETAIL-STBL |
| BENEFI-CIARYIBAN        | Beneficiaryiban     | VARCHAR2 | 40     | PHBROKER-BANKDETAIL-STBL |

| Field name          | Description         | Datatype | Length | Table Name               |
|---------------------|---------------------|----------|--------|--------------------------|
| INTERMEDIARYIBAN    | Intermediaryiban    | VARCHAR2 | 40     | PHBROKER-BANKDETAIL-STBL |
| MOD_NO              | Mod_No              | NUMBER   | 10     | PHBROKER-BANKDETAIL-STBL |
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PHBROKER-CONTACTIN-FOTBL |
| SEQUENCE-NUMBER     | Sequencenumber      | NUMBER   | 10     | PHBROKER-CONTACTIN-FOTBL |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PHBROKER-CONTACTIN-FOTBL |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PHBROKER-CONTACTIN-FOTBL |
| ENTITYID            | Entityid            | VARCHAR2 | 12     | PHBROKER-CONTACTIN-FOTBL |
| ENTITYTYPE          | Entitytype          | VARCHAR2 | 2      | PHBROKER-CONTACTIN-FOTBL |
| ADDRESSTYPE         | Addresstype         | VARCHAR2 | 2      | PHBROKER-CONTACTIN-FOTBL |
| ADDRESS-LINE1       | Addressline1        | VARCHAR2 | 80     | PHBROKER-CONTACTIN-FOTBL |
| ADDRESS-LINE2       | Addressline2        | VARCHAR2 | 80     | PHBROKER-CONTACTIN-FOTBL |
| ADDRESS-LINE3       | Addressline3        | VARCHAR2 | 80     | PHBROKER-CONTACTIN-FOTBL |
| ADDRESS-LINE4       | Addressline4        | VARCHAR2 | 80     | PHBROKER-CONTACTIN-FOTBL |
| ZIPCODE             | Zipcode             | VARCHAR2 | 10     | PHBROKER-CONTACTIN-FOTBL |

| Field name          | Description        | Datatype | Length | Table Name               |
|---------------------|--------------------|----------|--------|--------------------------|
| COUNTRY             | Country            | VARCHAR2 | 3      | PHBROKER-CONTACTIN-FOTBL |
| USSTATE             | Usstate            | NUMBER   | 1      | PHBROKER-CONTACTIN-FOTBL |
| TELE-PHONE1         | Telephone1         | VARCHAR2 | 60     | PHBROKER-CONTACTIN-FOTBL |
| TELE-PHONE2         | Telephone2         | VARCHAR2 | 60     | PHBROKER-CONTACTIN-FOTBL |
| CELLPHONE-NUMBER    | Cellphonenumber    | VARCHAR2 | 60     | PHBROKER-CONTACTIN-FOTBL |
| FAX                 | Fax                | VARCHAR2 | 120    | PHBROKER-CONTACTIN-FOTBL |
| EMAIL               | Email              | VARCHAR2 | 255    | PHBROKER-CONTACTIN-FOTBL |
| CONTACT-PERSON      | Contactperson      | VARCHAR2 | 160    | PHBROKER-CONTACTIN-FOTBL |
| EFFECTIVE-FROMDATE  | Effectivefromdate  | DATE     | 7      | PHBROKER-CONTACTIN-FOTBL |
| EFFEC-TIVETODATE    | Effectivetodate    | DATE     | 7      | PHBROKER-CONTACTIN-FOTBL |
| BICCODE             | Biccode            | VARCHAR2 | 16     | PHBROKER-CONTACTIN-FOTBL |
| RULEEFFEC-TIVEDATE  | Ruleeffectivedate  | DATE     | 7      | PHBROKER-CONTACTIN-FOTBL |
| INTDIALLING-CODEFAX | Intdiallingcodefax | VARCHAR2 | 10     | PHBROKER-CONTACTIN-FOTBL |
| INTDIALLING-CODEPH2 | Intdiallingcodeph2 | VARCHAR2 | 10     | PHBROKER-CONTACTIN-FOTBL |

| Field name           | Description         | Datatype | Length | Table Name                  |
|----------------------|---------------------|----------|--------|-----------------------------|
| INTDIALLING-CODEPH1  | Intdiallingcodeph1  | VARCHAR2 | 10     | PHBROKER-CONTACTIN-FOTBL    |
| INTDIALLING-CODECELL | Intdiallingcodecell | VARCHAR2 | 10     | PHBROKER-CONTACTIN-FOTBL    |
| MOD_NO               | Mod_No              | NUMBER   | 10     | PHBROKER-CONTACTIN-FOTBL    |
| SEGMENTID            | Segmentid           | VARCHAR2 | 20     | PHBROKERRE-LATEDPARTI-ESTBL |
| SEQUENCE-NUMBER      | Sequencenumber      | NUMBER   | 10     | PHBROKERRE-LATEDPARTI-ESTBL |
| PROCESSID            | Processid           | VARCHAR2 | 50     | PHBROKERRE-LATEDPARTI-ESTBL |
| USERREFERENCENUMBER  | Userreferencenumber | VARCHAR2 | 16     | PHBROKERRE-LATEDPARTI-ESTBL |
| BROKER-CODE          | Brokercode          | VARCHAR2 | 12     | PHBROKERRE-LATEDPARTI-ESTBL |
| RULEEFFECTIVEDATE    | Ruleeffectivedate   | DATE     | 7      | PHBROKERRE-LATEDPARTI-ESTBL |
| RPENTITYID           | Rpentityid          | VARCHAR2 | 12     | PHBROKERRE-LATEDPARTI-ESTBL |
| RPENTITY-TYPE        | Rpentitytype        | VARCHAR2 | 2      | PHBROKERRE-LATEDPARTI-ESTBL |
| REPORTING-LEVEL      | Reportinglevel      | VARCHAR2 | 1      | PHBROKERRE-LATEDPARTI-ESTBL |
| PREFMAILMODE         | Prefmailmode        | VARCHAR2 | 1      | PHBROKERRE-LATEDPARTI-ESTBL |
| REMARKS              | Remarks             | VARCHAR2 | 255    | PHBROKERRE-LATEDPARTI-ESTBL |



| Field name | Description | Datatype | Length | Table Name                          |
|------------|-------------|----------|--------|-------------------------------------|
| APPLYLEVEL | Applylevel  | VARCHAR2 | 3      | PHBROKERRE-<br>LATEDPARTI-<br>ESTBL |
| APPLYTO    | Applyto     | VARCHAR2 | 12     | PHBROKERRE-<br>LATEDPARTI-<br>ESTBL |
| MOD_NO     | Mod_No      | NUMBER   | 10     | PHBROKERRE-<br>LATEDPARTI-<br>ESTBL |

## 2.48 Load Maintenance Upload

This section contains the following topics:

- [Section 2.48.1, "Segment Identification Number"](#)
- [Section 2.48.2, "Load Maintenance Upload"](#)

## 2.48.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 01LOD                         | This segment is used to provide load upload details            |
| 02DET                         | This segment is used to provide load detail upload             |
| 03BAS                         | This segment is used to provide basis definition upload detail |
| 04DER                         | This segment is used to provide derived load upload detail     |

## 2.48.2 Load Maintenance Upload

| Field name          | Description        | Datatype | Length | Table Name       |
|---------------------|--------------------|----------|--------|------------------|
| SEGMENTID           | Segmentid          | VARCHAR2 | 20     | PATB_LOADUP-LOAD |
| SEQUENCE-NUMBER     | Sequence-number    | NUMBER   | 22     | PATB_LOADUP-LOAD |
| PROCESSID           | Processid          | VARCHAR2 | 50     | PATB_LOADUP-LOAD |
| BULKCLIENT-CODE     | Bulkclient-code    | VARCHAR2 | 12     | PATB_LOADUP-LOAD |
| UPLOADMODE          | Uploadmode         | VARCHAR2 | 1      | PATB_LOADUP-LOAD |
| IMPORTFILE-NAME     | Importfile-name    | VARCHAR2 | 255    | PATB_LOADUP-LOAD |
| LOADID              | Loadid             | NUMBER   | 5      | PATB_LOADUP-LOAD |
| AUTH_STAT           | Auth_Stat          | VARCHAR2 | 1      | PATB_LOADUP-LOAD |
| LOADDESCRIP-TION    | Loaddescription    | VARCHAR2 | 60     | PATB_LOADUP-LOAD |
| LOADTYPE            | Loadtype           | VARCHAR2 | 1      | PATB_LOADUP-LOAD |
| AMOUNTOR-PERCENTAGE | Amountorpercentage | VARCHAR2 | 1      | PATB_LOADUP-LOAD |
| LOADCALC-METHOD     | Loadcalc-method    | VARCHAR2 | 1      | PATB_LOADUP-LOAD |
| LOADOVER-RIDEABLE   | Loadoverride-able  | NUMBER   | 1      | PATB_LOADUP-LOAD |

| Field name                  | Description                | Datatype | Length | Table Name          |
|-----------------------------|----------------------------|----------|--------|---------------------|
| CRITERIA                    | Criteria                   | VARCHAR2 | 255    | PATB_LOADUP-LOAD    |
| FROMTABLES                  | Fromtables                 | VARCHAR2 | 20     | PATB_LOADUP-LOAD    |
| LOWERBOUND-ARYINCLUSIVE     | Lowerbound-aryinclusive    | NUMBER   | 1      | PATB_LOADUP-LOAD    |
| CUMULATIVE-LOADAPPLICABLE   | Cumulativelo-adapplicable  | NUMBER   | 1      | PATB_LOADUP-LOAD    |
| MAKER_ID                    | Maker_Id                   | VARCHAR2 | 15     | PATB_LOADUP-LOAD    |
| MAKER_DT_STAMP              | Mak-er_Dt_Stamp            | DATE     | 7      | PATB_LOADUP-LOAD    |
| CHECKER_ID                  | Checker_Id                 | VARCHAR2 | 15     | PATB_LOADUP-LOAD    |
| CHECKER_DT_STAMP            | Check-er_Dt_Stamp          | DATE     | 7      | PATB_LOADUP-LOAD    |
| LASTMODIFIED-DUSERID        | Lastmodifie-duserid        | VARCHAR2 | 15     | PATB_LOADUP-LOAD    |
| LASTMODIFIED-DATE           | Lastmodified-date          | DATE     | 7      | PATB_LOADUP-LOAD    |
| MOD_NO                      | Mod_No                     | NUMBER   | 22     | PATB_LOADUP-LOAD    |
| PRICEBASIS-LOAD             | Pricebasis-load            | NUMBER   | 1      | PATB_LOADUP-LOAD    |
| AMOUNTBASIS                 | Amountbasis                | VARCHAR2 | 1      | PATB_LOADUP-LOAD    |
| RECORD_STAT                 | Record_Stat                | VARCHAR2 | 1      | PATB_LOADUP-LOAD    |
| ONCE_AUTH                   | Once_Auth                  | VARCHAR2 | 1      | PATB_LOADUP-LOAD    |
| ROATHRESH-OLDFORINITIAL-FEE | Roathreshold-forinitialfee | NUMBER   | 30     | PATB_LOADUP-LOAD    |
| CREATEDMOD-ULE              | Createdmod-ule             | VARCHAR2 | 30     | PATB_LOADUP-LOAD    |
| SEGMENTID                   | Segmentid                  | VARCHAR2 | 20     | PATB_LOADDE-TUPLOAD |

| Field name       | Description      | Datatype | Length | Table Name          |
|------------------|------------------|----------|--------|---------------------|
| SEQUENCE-NUMBER  | Sequence-number  | NUMBER   | 22     | PATB_LOADDE-TUPLOAD |
| PROCESSID        | Processid        | VARCHAR2 | 50     | PATB_LOADDE-TUPLOAD |
| BULKCLIENT-CODE  | Bulkclient-code  | VARCHAR2 | 12     | PATB_LOADDE-TUPLOAD |
| UPLOADMODE       | Uploadmode       | VARCHAR2 | 1      | PATB_LOADDE-TUPLOAD |
| IMPORTFILE-NAME  | Importfile-name  | VARCHAR2 | 255    | PATB_LOADDE-TUPLOAD |
| LOADID           | Loadid           | NUMBER   | 5      | PATB_LOADDE-TUPLOAD |
| SLABSEQNO        | Slabseqno        | NUMBER   | 5      | PATB_LOADDE-TUPLOAD |
| SLABFROM-DATE    | Slabfromdate     | DATE     | 7      | PATB_LOADDE-TUPLOAD |
| SLABTODATE       | Slabtodate       | DATE     | 7      | PATB_LOADDE-TUPLOAD |
| SLABFROM-MAMOUNT | Slabfrom-mamount | NUMBER   | 30     | PATB_LOADDE-TUPLOAD |
| SLABTOAMOUNT     | Slabtoamount     | NUMBER   | 30     | PATB_LOADDE-TUPLOAD |
| SLABFROMUNITS    | Slabfromunits    | NUMBER   | 27     | PATB_LOADDE-TUPLOAD |
| SLABTOUNITS      | Slabtounits      | NUMBER   | 27     | PATB_LOADDE-TUPLOAD |
| SLABFROMPERIOD   | Slabfromperiod   | NUMBER   | 22     | PATB_LOADDE-TUPLOAD |
| SLABTOPERIOD     | Slabtoperiod     | NUMBER   | 22     | PATB_LOADDE-TUPLOAD |
| RETURNVALUE      | Returnvalue      | NUMBER   | 30     | PATB_LOADDE-TUPLOAD |
| FLOORVALUE       | Floorvalue       | NUMBER   | 15     | PATB_LOADDE-TUPLOAD |
| CEILINGVALUE     | Ceilingvalue     | NUMBER   | 30     | PATB_LOADDE-TUPLOAD |
| PERIODBASIS      | Periodbasis      | VARCHAR2 | 1      | PATB_LOADDE-TUPLOAD |

| Field name          | Description         | Datatype | Length | Table Name           |
|---------------------|---------------------|----------|--------|----------------------|
| SLABFROM-COUNTER    | Slabfrom-counter    | NUMBER   | 22     | PATB_LOADDE-TUPLOAD  |
| SLABTO-COUNTER      | Slabtocounter       | NUMBER   | 22     | PATB_LOADDE-TUPLOAD  |
| UHDEAL-CEILINGVALUE | Uhdealceiling-value | NUMBER   | 30     | PATB_LOADDE-TUPLOAD  |
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PATB_BASISDE-FUPLOAD |
| SEQUENCE-NUMBER     | Sequence-number     | NUMBER   | 22     | PATB_BASISDE-FUPLOAD |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PATB_BASISDE-FUPLOAD |
| BULKCLIENT-CODE     | Bulkclient-code     | VARCHAR2 | 12     | PATB_BASISDE-FUPLOAD |
| UPLOADMODE          | Uploadmode          | VARCHAR2 | 1      | PATB_BASISDE-FUPLOAD |
| IMPORTFILE-NAME     | Importfile-name     | VARCHAR2 | 255    | PATB_BASISDE-FUPLOAD |
| LOADID              | Loadid              | NUMBER   | 5      | PATB_BASISDE-FUPLOAD |
| AUTH_STAT           | Auth_Stat           | VARCHAR2 | 1      | PATB_BASISDE-FUPLOAD |
| TABLESREFERRED      | Tablesreferred      | VARCHAR2 | 16     | PATB_BASISDE-FUPLOAD |
| BASISAMOUNT         | Basisamount         | VARCHAR2 | 255    | PATB_BASISDE-FUPLOAD |
| BASISDAYS           | Basisdays           | VARCHAR2 | 255    | PATB_BASISDE-FUPLOAD |
| BASISUNITS          | Basisunits          | VARCHAR2 | 255    | PATB_BASISDE-FUPLOAD |
| JOINCLAUSE          | Joinclause          | VARCHAR2 | 255    | PATB_BASISDE-FUPLOAD |
| FIELDFLAG           | Fieldflag           | VARCHAR2 | 1      | PATB_BASISDE-FUPLOAD |
| MAKER_ID            | Maker_Id            | VARCHAR2 | 15     | PATB_BASISDE-FUPLOAD |
| MAKER_DT_STAMP      | Maker_Dt_Stamp      | DATE     | 7      | PATB_BASISDE-FUPLOAD |

| Field name         | Description         | Datatype | Length | Table Name              |
|--------------------|---------------------|----------|--------|-------------------------|
| CHECKER_ID         | Checker_Id          | VARCHAR2 | 15     | PATB_BASISDE-FUPLOAD    |
| CHECKER_DT_STAMP   | Checker_Dt_Stamp    | DATE     | 7      | PATB_BASISDE-FUPLOAD    |
| LASTMODIFIEDUSERID | Lastmodified-userid | VARCHAR2 | 15     | PATB_BASISDE-FUPLOAD    |
| LASTMODIFIED-DATE  | Lastmodified-date   | DATE     | 7      | PATB_BASISDE-FUPLOAD    |
| MOD_NO             | Mod_No              | NUMBER   | 22     | PATB_BASISDE-FUPLOAD    |
| RECORD_STAT        | Record_Stat         | CHAR     | 1      | PATB_BASISDE-FUPLOAD    |
| ONCE_AUTH          | Once_Auth           | CHAR     | 1      | PATB_BASISDE-FUPLOAD    |
| SEGMENTID          | Segmentid           | VARCHAR2 | 20     | PATB_DERIVEDLOADUP-LOAD |
| SEQUENCE-NUMBER    | Sequence-number     | NUMBER   | 22     | PATB_DERIVEDLOADUP-LOAD |
| PROCESSID          | Processid           | VARCHAR2 | 50     | PATB_DERIVEDLOADUP-LOAD |
| BULKCLIENT-CODE    | Bulkclient-code     | VARCHAR2 | 12     | PATB_DERIVEDLOADUP-LOAD |
| UPLOADMODE         | Uploadmode          | VARCHAR2 | 1      | PATB_DERIVEDLOADUP-LOAD |
| IMPORTFILE-NAME    | Importfile-name     | VARCHAR2 | 255    | PATB_DERIVEDLOADUP-LOAD |
| LOADID             | Loadid              | NUMBER   | 5      | PATB_DERIVEDLOADUP-LOAD |
| DESCRIPTION        | Description         | VARCHAR2 | 60     | PATB_DERIVEDLOADUP-LOAD |
| PARENTLOADID       | Parentloadid        | VARCHAR2 | 6      | PATB_DERIVEDLOADUP-LOAD |

| Field name             | Description            | Datatype | Length | Table Name              |
|------------------------|------------------------|----------|--------|-------------------------|
| TOENTITYTYPE           | Toentitytype           | VARCHAR2 | 1      | PATB_DERIVEDLOADUP-LOAD |
| TOENTITYID             | Toentityid             | VARCHAR2 | 12     | PATB_DERIVEDLOADUP-LOAD |
| LOADPERCENT-AGE        | Loadpercent-age        | NUMBER   | 5      | PATB_DERIVEDLOADUP-LOAD |
| CRITERIA               | Criteria               | VARCHAR2 | 255    | PATB_DERIVEDLOADUP-LOAD |
| FROMTABLES             | Fromtables             | VARCHAR2 | 20     | PATB_DERIVEDLOADUP-LOAD |
| MANDATORY              | Mandatory              | NUMBER   | 38     | PATB_DERIVEDLOADUP-LOAD |
| PRIORITY               | Priority               | NUMBER   | 38     | PATB_DERIVEDLOADUP-LOAD |
| AMOUNTBASIS            | Amountbasis            | VARCHAR2 | 1      | PATB_DERIVEDLOADUP-LOAD |
| CAPTOPARENT-LOADAMOUNT | Captoparent-loadamount | NUMBER   | 30     | PATB_DERIVEDLOADUP-LOAD |
| DIFFERENTIAL-LOAD      | Differential-load      | VARCHAR2 | 1      | PATB_DERIVEDLOADUP-LOAD |
| DEPENDENT-LOADID       | Dependent-loadid       | VARCHAR2 | 6      | PATB_DERIVEDLOADUP-LOAD |
| FDAPINCOME             | Fdapincome             | NUMBER   | 1      | PATB_DERIVEDLOADUP-LOAD |

## 2.49 Broker Import

This section contains the following topics:

- [Section 2.49.1, "Segment Identification Number"](#)
- [Section 2.49.2, "Broker Import from TA"](#)

## 2.49.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 00BKR                         | This segment is used to provide broker upload details            |
| 01BLG                         | This segment is used to provide broker legacy upload details     |
| 02BRF                         | This segment is used to provide broker preference upload details |
| 03BNK                         | This segment is used to provide broker bank detail upload        |
| 04BCT                         | This segment is used to provide broker contact upload details    |
| 05RLP                         | This segment is used to provide broker parties upload details    |

## 2.49.2 Broker Import from TA

| Field name            | Description          | Datatype | Length | Table Name         |
|-----------------------|----------------------|----------|--------|--------------------|
| SEGMENTID             | Segmentid            | VARCHAR2 | 20     | PATB_BROKERUP-LOAD |
| SEQUENCE-NUMBER       | Sequencenum-ber      | NUMBER   | 10     | PATB_BROKERUP-LOAD |
| PROCESSID             | Processid            | VARCHAR2 | 50     | PATB_BROKERUP-LOAD |
| BULKCLIENT-CODE       | Bulkclientcode       | VARCHAR2 | 12     | PATB_BROKERUP-LOAD |
| UPLOAD-MODE           | Uploadmode           | VARCHAR2 | 1      | PATB_BROKERUP-LOAD |
| USERREFER-ENCENUM-BER | Userreference-number | VARCHAR2 | 16     | PATB_BROKERUP-LOAD |
| BROKER-CODE           | Brokercode           | VARCHAR2 | 12     | PATB_BROKERUP-LOAD |
| BROKER-NAME           | Brokername           | VARCHAR2 | 105    | PATB_BROKERUP-LOAD |
| PARENTBRO-KERCODE     | Parentbroker-code    | VARCHAR2 | 12     | PATB_BROKERUP-LOAD |
| BROKERBA-SECCY        | Brokerbaseccy        | VARCHAR2 | 3      | PATB_BROKERUP-LOAD |



| Field name               | Description             | Datatype | Length | Table Name         |
|--------------------------|-------------------------|----------|--------|--------------------|
| AMCID                    | Amcid                   | VARCHAR2 | 12     | PATB_BROKERUP-LOAD |
| AUTH_STAT                | Auth_Stat               | VARCHAR2 | 1      | PATB_BROKERUP-LOAD |
| DELETEDSTATUS            | Deletedstatus           | NUMBER   | 1      | PATB_BROKERUP-LOAD |
| OVERRIDDEN-TAXPERCENTAGE | Overridentax-percentage | NUMBER   | 15     | PATB_BROKERUP-LOAD |
| MAKER_ID                 | Maker_Id                | VARCHAR2 | 15     | PATB_BROKERUP-LOAD |
| MAKER_DT_STAMP           | Mak-er_Dt_Stamp         | DATE     | 7      | PATB_BROKERUP-LOAD |
| LEVELNUMBER              | Levelnumber             | NUMBER   | 3      | PATB_BROKERUP-LOAD |
| RULEEFFECTIVEDATE        | Ruleeffective-date      | DATE     | 7      | PATB_BROKERUP-LOAD |
| CHANGETYPE               | Changetype              | VARCHAR2 | 1      | PATB_BROKERUP-LOAD |
| VATREGISTERED            | Vatregistered           | NUMBER   | 1      | PATB_BROKERUP-LOAD |
| VATREGISTRATIONNUMBER    | Vatregistration-number  | VARCHAR2 | 15     | PATB_BROKERUP-LOAD |
| COMMISSIONPERCENTAGE     | Commission-percentage   | NUMBER   | 5      | PATB_BROKERUP-LOAD |
| COMMISSIONPAYMENTFREQ    | Commission-paymentfreq  | VARCHAR2 | 1      | PATB_BROKERUP-LOAD |
| UNITHOLDERID             | Unitholderid            | VARCHAR2 | 12     | PATB_BROKERUP-LOAD |
| LASTPAYMENTDATE          | Lastpayment-date        | DATE     | 7      | PATB_BROKERUP-LOAD |
| LASTPAYMENTNUMBER        | Lastpayment-number      | NUMBER   | 5      | PATB_BROKERUP-LOAD |
| LAST-PROCESSED-DATE      | Last-processeddate      | DATE     | 7      | PATB_BROKERUP-LOAD |

| Field name                | Description                 | Datatype | Length | Table Name         |
|---------------------------|-----------------------------|----------|--------|--------------------|
| NEXTPAY-MENTDATE          | Nextpayment-date            | DATE     | 7      | PATB_BROKERUP-LOAD |
| CHECKER_ID                | Checker_Id                  | VARCHAR2 | 15     | PATB_BROKERUP-LOAD |
| CHECKER_DT_STAMP          | Checker_Dt_Stamp            | DATE     | 7      | PATB_BROKERUP-LOAD |
| BROKERTYPE                | Brokertype                  | VARCHAR2 | 6      | PATB_BROKERUP-LOAD |
| USEBROKERTYPEPAYMENTDATES | Usebrokerty-pepayment-dates | NUMBER   | 1      | PATB_BROKERUP-LOAD |
| BROKERCONSULTANT          | Brokerconsultant            | VARCHAR2 | 40     | PATB_BROKERUP-LOAD |
| BROKERCATEGORY            | Brokercategory              | VARCHAR2 | 1      | PATB_BROKERUP-LOAD |
| HOLDBACKPAYMENT           | Holdbackpayment             | NUMBER   | 1      | PATB_BROKERUP-LOAD |
| MOD_NO                    | Mod_No                      | NUMBER   | 10     | PATB_BROKERUP-LOAD |
| HOLDPYMT-FROMDATE         | Holdpymtfrom-date           | DATE     | 7      | PATB_BROKERUP-LOAD |
| LATESTRULE                | Latestrule                  | NUMBER   | 22     | PATB_BROKERUP-LOAD |
| BROKERSTATUS              | Brokerstatus                | VARCHAR2 | 1      | PATB_BROKERUP-LOAD |
| RECORD_STAT               | Record_Stat                 | CHAR     | 1      | PATB_BROKERUP-LOAD |
| ONCE_AUTH                 | Once_Auth                   | CHAR     | 1      | PATB_BROKERUP-LOAD |
| REPLICATE-PARENTDET       | Replicate-parentdet         | CHAR     | 1      | PATB_BROKERUP-LOAD |
| VATREGISTRATIONDATE       | Vatregistration-date        | DATE     | 7      | PATB_BROKERUP-LOAD |
| TEMPLATEID                | Templateid                  | VARCHAR2 | 6      | PATB_BROKERUP-LOAD |
| USINDICIAAVAILABLE        | Usindiciaavailable          | NUMBER   | 1      | PATB_BROKERUP-LOAD |

| Field name                  | Description               | Datatype | Length | Table Name         |
|-----------------------------|---------------------------|----------|--------|--------------------|
| FATCASTATUS                 | Fatcastatus               | VARCHAR2 | 25     | PATB_BROKERUP-LOAD |
| NATIONALITY                 | Nationality               | VARCHAR2 | 3      | PATB_BROKERUP-LOAD |
| COUNTRYOFBIRTH              | Countryofbirth            | VARCHAR2 | 3      | PATB_BROKERUP-LOAD |
| COUNTRYOFDOMICILE           | Countryofdomicile         | VARCHAR2 | 3      | PATB_BROKERUP-LOAD |
| INCORPORATIONCOUNTRY        | Incorporationcountry      | VARCHAR2 | 3      | PATB_BROKERUP-LOAD |
| TAXID                       | Taxid                     | VARCHAR2 | 50     | PATB_BROKERUP-LOAD |
| EIN                         | Ein                       | VARCHAR2 | 50     | PATB_BROKERUP-LOAD |
| TAXCERTIFICATEEFFEFFECTDATE | Taxcertificateteffectdate | DATE     | 7      | PATB_BROKERUP-LOAD |
| TAXCERTIFICATEEXPIREDATE    | Taxcertificateexpdate     | DATE     | 7      | PATB_BROKERUP-LOAD |
| TAXCIRCLE                   | Taxcircle                 | VARCHAR2 | 15     | PATB_BROKERUP-LOAD |
| FATCACLASSIFICATION         | Fatcaclassification       | VARCHAR2 | 25     | PATB_BROKERUP-LOAD |
| GIIN                        | Giin                      | VARCHAR2 | 30     | PATB_BROKERUP-LOAD |
| GIINISSUEDATE               | Giinissuedate             | DATE     | 7      | PATB_BROKERUP-LOAD |
| GIINEXPIREDATE              | Giinexpirydate            | DATE     | 7      | PATB_BROKERUP-LOAD |
| GIINVERIFIEDFLAG            | Giinverifiedflag          | NUMBER   | 1      | PATB_BROKERUP-LOAD |
| FATCASPONSORTYPE            | Fatcasponsortype          | VARCHAR2 | 2      | PATB_BROKERUP-LOAD |
| SPONSORINGENTITYTYPE        | Sponsoringentitytype      | VARCHAR2 | 1      | PATB_BROKERUP-LOAD |
| SPONSORINGENTITYID          | Sponsoringentityid        | VARCHAR2 | 12     | PATB_BROKERUP-LOAD |

| Field name            | Description          | Datatype | Length | Table Name               |
|-----------------------|----------------------|----------|--------|--------------------------|
| VATEXPIRY-DATE        | Vatexpirydate        | DATE     | 7      | PATB_BROKERUP-LOAD       |
| UNAUTHEXIST           | Unauthexist          | VARCHAR2 | 1      | PATB_BROKERUP-LOAD       |
| SEGMENTID             | Segmentid            | VARCHAR2 | 20     | PATB_BROKERLEG-ACYUPLOAD |
| SEQUENCE-NUMBER       | Sequencenum-ber      | NUMBER   | 10     | PATB_BROKERLEG-ACYUPLOAD |
| PROCESSID             | Processid            | VARCHAR2 | 50     | PATB_BROKERLEG-ACYUPLOAD |
| USERREFER-ENCENUM-BER | Userreference-number | VARCHAR2 | 16     | PATB_BROKERLEG-ACYUPLOAD |
| BROKER-CODE           | Brokercode           | VARCHAR2 | 12     | PATB_BROKERLEG-ACYUPLOAD |
| RULEEFFEC-TIVEDATE    | Ruleeffective-date   | DATE     | 7      | PATB_BROKERLEG-ACYUPLOAD |
| BROKERLEG-ACYCODE     | Brokerlegacy-code    | VARCHAR2 | 12     | PATB_BROKERLEG-ACYUPLOAD |
| MOD_NO                | Mod_No               | NUMBER   | 10     | PATB_BROKERLEG-ACYUPLOAD |
| SEGMENTID             | Segmentid            | VARCHAR2 | 20     | PATB_BROKERPRE-FUPLOAD   |
| SEQUENCE-NUMBER       | Sequencenum-ber      | NUMBER   | 10     | PATB_BROKERPRE-FUPLOAD   |
| PROCESSID             | Processid            | VARCHAR2 | 50     | PATB_BROKERPRE-FUPLOAD   |
| USERREFER-ENCENUM-BER | Userreference-number | VARCHAR2 | 16     | PATB_BROKERPRE-FUPLOAD   |
| BROKER-CODE           | Brokercode           | VARCHAR2 | 12     | PATB_BROKERPRE-FUPLOAD   |
| RULEEFFEC-TIVEDATE    | Ruleeffective-date   | DATE     | 7      | PATB_BROKERPRE-FUPLOAD   |
| FEEDTYPE              | Feedtype             | VARCHAR2 | 20     | PATB_BROKERPRE-FUPLOAD   |
| FEEDSTRUCT            | Feedstruct           | VARCHAR2 | 4      | PATB_BROKERPRE-FUPLOAD   |
| FEEDSTART-DATE        | Feedstartdate        | DATE     | 7      | PATB_BROKERPRE-FUPLOAD   |

| Field name            | Description           | Datatype | Length | Table Name                |
|-----------------------|-----------------------|----------|--------|---------------------------|
| FEEDFREQUENCY         | Feedfrequency         | VARCHAR2 | 20     | PATB_BROKERPRE-FUPLOAD    |
| FEEDFORMAT            | Feedformat            | VARCHAR2 | 6      | PATB_BROKERPRE-FUPLOAD    |
| MOD_NO                | Mod_No                | NUMBER   | 10     | PATB_BROKERPRE-FUPLOAD    |
| SEGMENTID             | Segmentid             | VARCHAR2 | 20     | PATB_BROKER-BANKDETUPLOAD |
| SEQUENCE-NUMBER       | Sequencenumber        | NUMBER   | 10     | PATB_BROKER-BANKDETUPLOAD |
| PROCESSID             | Processid             | VARCHAR2 | 50     | PATB_BROKER-BANKDETUPLOAD |
| USERREFERENCENUMBER   | Userreferencenumber   | VARCHAR2 | 16     | PATB_BROKER-BANKDETUPLOAD |
| BROKER-CODE           | Brokercode            | VARCHAR2 | 12     | PATB_BROKER-BANKDETUPLOAD |
| RULEEFFEFFECTIVEDATE  | Ruleeffective-date    | DATE     | 7      | PATB_BROKER-BANKDETUPLOAD |
| REFERENCE-NUMBER      | Referencenumber       | VARCHAR2 | 12     | PATB_BROKER-BANKDETUPLOAD |
| BANKCODE              | Bankcode              | VARCHAR2 | 12     | PATB_BROKER-BANKDETUPLOAD |
| BRANCH-CODE           | Branchcode            | VARCHAR2 | 12     | PATB_BROKER-BANKDETUPLOAD |
| ACCOUNT-TYPE          | Accounttype           | VARCHAR2 | 1      | PATB_BROKER-BANKDETUPLOAD |
| ACCOUNT-NUMBER        | Accountnumber         | VARCHAR2 | 20     | PATB_BROKER-BANKDETUPLOAD |
| ACCOUNT-NAME          | Accountname           | VARCHAR2 | 100    | PATB_BROKER-BANKDETUPLOAD |
| ACCOUNT-CURRENCY      | Accountcurrency       | VARCHAR2 | 3      | PATB_BROKER-BANKDETUPLOAD |
| DEFAULTDETAILS        | Defaultdetails        | NUMBER   | 1      | PATB_BROKER-BANKDETUPLOAD |
| DIRECTDEBITAPPLICABLE | Directdebitapplicable | NUMBER   | 1      | PATB_BROKER-BANKDETUPLOAD |
| SWIFTFORMAT           | Swiftformat           | VARCHAR2 | 6      | PATB_BROKER-BANKDETUPLOAD |

| Field name           | Description          | Datatype | Length | Table Name                |
|----------------------|----------------------|----------|--------|---------------------------|
| BANK-CHARGED         | Bankcharged          | VARCHAR2 | 12     | PATB_BROKER-BANKDETUPLOAD |
| BENEFICIARY          | Beneficiary          | VARCHAR2 | 35     | PATB_BROKER-BANKDETUPLOAD |
| BENEFICIARY-ADD1     | Beneficiary-add1     | VARCHAR2 | 105    | PATB_BROKER-BANKDETUPLOAD |
| BENEFICIARY-ADD2     | Beneficiary-add2     | VARCHAR2 | 105    | PATB_BROKER-BANKDETUPLOAD |
| BENEFICIARY-ADD3     | Beneficiary-add3     | VARCHAR2 | 105    | PATB_BROKER-BANKDETUPLOAD |
| BENEFICIARY-BICCODE  | Beneficiarybic-code  | VARCHAR2 | 24     | PATB_BROKER-BANKDETUPLOAD |
| BENEFICIARY-YACCTNO  | Beneficiary-yacctno  | VARCHAR2 | 34     | PATB_BROKER-BANKDETUPLOAD |
| BENEFICIARY-CODE     | Beneficiary-code     | VARCHAR2 | 24     | PATB_BROKER-BANKDETUPLOAD |
| BENEFICIARY-INFO     | Beneficiaryinfo      | VARCHAR2 | 100    | PATB_BROKER-BANKDETUPLOAD |
| INTERMEDIARY         | Intermediary         | VARCHAR2 | 35     | PATB_BROKER-BANKDETUPLOAD |
| INTERMEDIARYADD1     | Intermediary-add1    | VARCHAR2 | 105    | PATB_BROKER-BANKDETUPLOAD |
| INTERMEDIARYADD2     | Intermediary-add2    | VARCHAR2 | 105    | PATB_BROKER-BANKDETUPLOAD |
| INTERMEDIARYADD3     | Intermediary-add3    | VARCHAR2 | 105    | PATB_BROKER-BANKDETUPLOAD |
| INTERMEDIARYBICCODE  | Intermediary-biccode | VARCHAR2 | 24     | PATB_BROKER-BANKDETUPLOAD |
| INTERMEDIARYYACCTNO  | Intermediary-yacctno | VARCHAR2 | 34     | PATB_BROKER-BANKDETUPLOAD |
| INTERMEDIARYCODE     | Intermediary-code    | VARCHAR2 | 24     | PATB_BROKER-BANKDETUPLOAD |
| INTERMEDIARYINFO     | Intermediary-info    | VARCHAR2 | 100    | PATB_BROKER-BANKDETUPLOAD |
| FURTHER-CREDITNAME   | Furthercredit-name   | VARCHAR2 | 35     | PATB_BROKER-BANKDETUPLOAD |
| FURTHER-CREDITACCTNO | Furthercreditacctno  | VARCHAR2 | 35     | PATB_BROKER-BANKDETUPLOAD |

| Field name          | Description          | Datatype | Length | Table Name                |
|---------------------|----------------------|----------|--------|---------------------------|
| REFERENCE1          | Reference1           | VARCHAR2 | 35     | PATB_BROKER-BANKDETUPLOAD |
| REFERENCE2          | Reference2           | VARCHAR2 | 35     | PATB_BROKER-BANKDETUPLOAD |
| IBAN                | Iban                 | VARCHAR2 | 40     | PATB_BROKER-BANKDETUPLOAD |
| BENEFICIARY-IBAN    | Beneficiary-iban     | VARCHAR2 | 40     | PATB_BROKER-BANKDETUPLOAD |
| INTERMEDIARYIBAN    | Intermediary-iban    | VARCHAR2 | 40     | PATB_BROKER-BANKDETUPLOAD |
| MOD_NO              | Mod_No               | NUMBER   | 10     | PATB_BROKER-BANKDETUPLOAD |
| SEGMENTID           | Segmentid            | VARCHAR2 | 20     | PATB_BROKER-CONTACTUPLOAD |
| SEQUENCE-NUMBER     | Sequencenum-ber      | NUMBER   | 10     | PATB_BROKER-CONTACTUPLOAD |
| PROCESSID           | Processid            | VARCHAR2 | 50     | PATB_BROKER-CONTACTUPLOAD |
| USERREFERENCENUMBER | Userreference-number | VARCHAR2 | 16     | PATB_BROKER-CONTACTUPLOAD |
| ENTITYID            | Entityid             | VARCHAR2 | 12     | PATB_BROKER-CONTACTUPLOAD |
| ENTITYTYPE          | Entitytype           | VARCHAR2 | 2      | PATB_BROKER-CONTACTUPLOAD |
| ADDRESSTYPE         | Addresstype          | VARCHAR2 | 2      | PATB_BROKER-CONTACTUPLOAD |
| ADDRESS-LINE1       | Addressline1         | VARCHAR2 | 80     | PATB_BROKER-CONTACTUPLOAD |
| ADDRESS-LINE2       | Addressline2         | VARCHAR2 | 80     | PATB_BROKER-CONTACTUPLOAD |
| ADDRESS-LINE3       | Addressline3         | VARCHAR2 | 80     | PATB_BROKER-CONTACTUPLOAD |
| ADDRESS-LINE4       | Addressline4         | VARCHAR2 | 80     | PATB_BROKER-CONTACTUPLOAD |
| ZIPCODE             | Zipcode              | VARCHAR2 | 10     | PATB_BROKER-CONTACTUPLOAD |
| COUNTRY             | Country              | VARCHAR2 | 3      | PATB_BROKER-CONTACTUPLOAD |

| Field name           | Description          | Datatype | Length | Table Name                |
|----------------------|----------------------|----------|--------|---------------------------|
| USSTATE              | Usstate              | NUMBER   | 1      | PATB_BROKER-CONTACTUPLOAD |
| TELEPHONE1           | Telephone1           | VARCHAR2 | 60     | PATB_BROKER-CONTACTUPLOAD |
| TELEPHONE2           | Telephone2           | VARCHAR2 | 60     | PATB_BROKER-CONTACTUPLOAD |
| CELLPHONE-NUMBER     | Cellphonenum-ber     | VARCHAR2 | 60     | PATB_BROKER-CONTACTUPLOAD |
| FAX                  | Fax                  | VARCHAR2 | 120    | PATB_BROKER-CONTACTUPLOAD |
| EMAIL                | Email                | VARCHAR2 | 255    | PATB_BROKER-CONTACTUPLOAD |
| CONTACT-PERSON       | Contactperson        | VARCHAR2 | 160    | PATB_BROKER-CONTACTUPLOAD |
| EFFECTIVE-FROMDATE   | Effectivefrom-date   | DATE     | 7      | PATB_BROKER-CONTACTUPLOAD |
| EFFEC-TIVETODATE     | Effectivetodate      | DATE     | 7      | PATB_BROKER-CONTACTUPLOAD |
| BICCODE              | Biccode              | VARCHAR2 | 16     | PATB_BROKER-CONTACTUPLOAD |
| RULEEFFEC-TIVEDATE   | Ruleeffective-date   | DATE     | 7      | PATB_BROKER-CONTACTUPLOAD |
| INTDIALLING-CODEFAX  | Intdiallingcode-fax  | VARCHAR2 | 10     | PATB_BROKER-CONTACTUPLOAD |
| INTDIALLING-CODEPH2  | Intdiallingco-deph2  | VARCHAR2 | 10     | PATB_BROKER-CONTACTUPLOAD |
| INTDIALLING-CODEPH1  | Intdiallingco-deph1  | VARCHAR2 | 10     | PATB_BROKER-CONTACTUPLOAD |
| INTDIALLING-CODECELL | Intdiallingcode-cell | VARCHAR2 | 10     | PATB_BROKER-CONTACTUPLOAD |
| MOD_NO               | Mod_No               | NUMBER   | 10     | PATB_BROKER-CONTACTUPLOAD |
| SEGMENTID            | Segmentid            | VARCHAR2 | 20     | PATB_BROKERPAR-TIESUPLOAD |
| SEQUENCE-NUMBER      | Sequencenum-ber      | NUMBER   | 10     | PATB_BROKERPAR-TIESUPLOAD |
| PROCESSID            | Processid            | VARCHAR2 | 50     | PATB_BROKERPAR-TIESUPLOAD |



| Field name          | Description          | Datatype | Length | Table Name               |
|---------------------|----------------------|----------|--------|--------------------------|
| USERREFERENCENUMBER | Userreference-number | VARCHAR2 | 16     | PATB_BROKERPARTIESUPLOAD |
| BROKER-CODE         | Brokercode           | VARCHAR2 | 12     | PATB_BROKERPARTIESUPLOAD |
| RULEEFFECTIVEDATE   | Ruleeffective-date   | DATE     | 7      | PATB_BROKERPARTIESUPLOAD |
| RPEntityID          | Rpentityid           | VARCHAR2 | 12     | PATB_BROKERPARTIESUPLOAD |
| RPEntity-TYPE       | Rpentitytype         | VARCHAR2 | 2      | PATB_BROKERPARTIESUPLOAD |
| REPORTING-LEVEL     | Reportinglevel       | VARCHAR2 | 1      | PATB_BROKERPARTIESUPLOAD |
| PREFMAILMODE        | Prefmailmode         | VARCHAR2 | 1      | PATB_BROKERPARTIESUPLOAD |
| REMARKS             | Remarks              | VARCHAR2 | 255    | PATB_BROKERPARTIESUPLOAD |
| APPLYLEVEL          | Applylevel           | VARCHAR2 | 3      | PATB_BROKERPARTIESUPLOAD |
| APPLYTO             | Applyto              | VARCHAR2 | 12     | PATB_BROKERPARTIESUPLOAD |
| MOD_NO              | Mod_No               | NUMBER   | 10     | PATB_BROKERPARTIESUPLOAD |

## 2.50 Pension Held-away Holdings Upload

The upload format for Pension held-away holdings is as follows:

| Field Name     | Description    | Datatype | Length |
|----------------|----------------|----------|--------|
| SEQUENCENUMBER | Sequencenumber | NUMBER   | 22     |
| PROCESSID      | Processid      | VARCHAR2 | 50     |
| BULKCLIENTCODE | Bulkclientcode | VARCHAR2 | 12     |
| UPLOADMODE     | Uploadmode     | VARCHAR2 | 1      |
| IMPORTFILENAME | Importfilename | VARCHAR2 | 255    |
| PARTYID        | Partyid        | VARCHAR2 | 12     |
| ASSETCLASS     | Assetclass     | VARCHAR2 | 10     |
| PORTFOLIOID    | Portfolioid    | VARCHAR2 | 16     |
| ACCOUNTID      | Accountid      | VARCHAR2 | 16     |

| Field Name       | Description      | Datatype | Length |
|------------------|------------------|----------|--------|
| INSTRUMENTID     | Instrumentid     | VARCHAR2 | 16     |
| ASSETID          | Assetid          | VARCHAR2 | 16     |
| UNITBALANCE      | Unitbalance      | NUMBER   | 30     |
| BLOCKEDUNITS     | Blockedunits     | NUMBER   | 30     |
| AVAILABLEBALANCE | Availablebalance | NUMBER   | 30     |

## 2.51 Identification Details Upload

The upload format for identification details is as follows:

| Field Name           | Description                   | Data Type | Length |
|----------------------|-------------------------------|-----------|--------|
| SEQUENCENUMBER       | Sequence number of the record | NUMBER    | 22     |
| PROCESSID            | Process ID of the record      | VARCHAR2  | 50     |
| BULKCLIENTCODE       | BulkclientCode                | VARCHAR2  | 12     |
| UPLOADMODE           | Uploadmode                    | VARCHAR2  | 1      |
| IMPORTFILENAME       | Importfilename                | VARCHAR2  | 255    |
| IDREFERENCENO        | Idreferenceno                 | VARCHAR2  | 16     |
| USERREFERENCE-NUMBER | Userreferencenumber           | VARCHAR2  | 16     |
| IDENTIFICATIONTYPE   | Identificationtype            | VARCHAR2  | 20     |
| IDENTIFICATIONNUMBER | Identificationnumber          | VARCHAR2  | 50     |
| PLACEOFISSUE         | Placeofissue                  | VARCHAR2  | 3      |
| ISSUEAUTHORITY       | Issueauthority                | VARCHAR2  | 50     |
| ISSUECOUNTRY         | Issuecountry                  | VARCHAR2  | 3      |
| DATEOFISSUE          | Dateofissue                   | DATE      | 7      |
| DATEOFEXPIRY         | Dateofexpiry                  | DATE      | 7      |
| LASTVERIFIEDDATE     | Lastverifieddate              | DATE      | 7      |
| VERIFICATIONSTATUS   | Verificationstatus            | VARCHAR2  | 1      |
| MODULEID             | Moduleid                      | VARCHAR2  | 30     |
| MAKER_ID             | Maker_ID                      | VARCHAR2  | 15     |
| MAKER_DT_STAMP       | Maker_Dt_Stamp                | DATE      | 7      |

| <b>Field Name</b>     | <b>Description</b> | <b>Data Type</b> | <b>Length</b> |
|-----------------------|--------------------|------------------|---------------|
| CHECKER_ID            | Checker_ID         | VARCHAR2         | 15            |
| CHECK-<br>ER_DT_STAMP | Checker_Dt_Stamp   | DATE             | 7             |
| AUTH_STAT             | Auth_Stat          | VARCHAR2         | 1             |
| ONCE_AUTH             | Once_Auth          | VARCHAR2         | 1             |
| RECORD_STAT           | Record_Stat        | VARCHAR2         | 1             |
| MOD_NO                | Mod_No             | NUMBER           | 22            |

## 2.52 Bank Account Details Upload

| Field Name               | Description         | Data Type | Length |
|--------------------------|---------------------|-----------|--------|
| SEQUENCENUMBER           | Sequencenumber      | NUMBER    | 22     |
| PROCESSID                | Processid           | VARCHAR2  | 50     |
| BULKCLIENTCODE           | Bulkclientcode      | VARCHAR2  | 12     |
| UPLOADMODE               | Uploadmode          | VARCHAR2  | 1      |
| IMPORTFILENAME           | Importfilename      | VARCHAR2  | 255    |
| BANKREFERENCENO          | Bankreferenceno     | VARCHAR2  | 16     |
| USERREFERENCE-<br>NUMBER | Userreferencenumber | VARCHAR2  | 16     |
| ACCOUNTHOLDER-<br>NAME   | Accountholdername   | VARCHAR2  | 100    |
| ACCOUNTTYPE              | Accounttype         | VARCHAR2  | 1      |
| ACCOUNTCUR-<br>RENCY     | Accountcurrency     | VARCHAR2  | 3      |
| BANKCODE                 | Bankcode            | VARCHAR2  | 12     |
| BRANCHCODE               | Branchcode          | VARCHAR2  | 12     |
| ACCOUNTNUMBER            | Accounnumber        | VARCHAR2  | 20     |
| IBAN                     | Iban                | VARCHAR2  | 40     |
| VERIFICATIONSTA-<br>TUS  | Verificationstatus  | VARCHAR2  | 1      |
| LASTVERIFIEDDATE         | Lastverifieddate    | DATE      | 7      |
| MODULEID                 | Moduleid            | VARCHAR2  | 30     |
| MAKER_ID                 | Maker_Id            | VARCHAR2  | 15     |
| MAKER_DT_STAMP           | Maker_Dt_Stamp      | DATE      | 7      |
| CHECKER_ID               | Checker_Id          | VARCHAR2  | 15     |
| CHECK-<br>ER_DT_STAMP    | Checker_Dt_Stamp    | DATE      | 7      |
| AUTH_STAT                | Auth_Stat           | VARCHAR2  | 1      |
| ONCE_AUTH                | Once_Auth           | VARCHAR2  | 1      |
| RECORD_STAT              | Record_Stat         | VARCHAR2  | 1      |
| MOD_NO                   | Mod_No              | NUMBER    | 22     |

## 2.53 Address Details Upload

| Field Name                  | Description            | Datatype | Length |
|-----------------------------|------------------------|----------|--------|
| SEQUENCENUMBER              | Sequencenumber         | NUMBER   | 10     |
| PROCESSID                   | Processid              | VARCHAR2 | 50     |
| BULKCLIENTCODE              | Bulkclientcode         | VARCHAR2 | 12     |
| UPLOADMODE                  | Uploadmode             | VARCHAR2 | 1      |
| IMPORTFILENAME              | Importfilename         | VARCHAR2 | 255    |
| CONTACTREFNO                | Contactrefno           | VARCHAR2 | 16     |
| USERREFERENCE-<br>NUMBER    | Userreferencenumber    | VARCHAR2 | 16     |
| ADDRESSLINE1                | Addressline1           | VARCHAR2 | 100    |
| ADDRESSLINE2                | Addressline2           | VARCHAR2 | 100    |
| ADDRESSLINE3                | Addressline3           | VARCHAR2 | 100    |
| POSTBOXNO                   | Postboxno              | VARCHAR2 | 16     |
| CITY                        | City                   | VARCHAR2 | 80     |
| STATE                       | State                  | VARCHAR2 | 80     |
| COUNTRY                     | Country                | VARCHAR2 | 3      |
| ZIPCODE                     | Zipcode                | VARCHAR2 | 10     |
| BICCODE                     | Biccode                | VARCHAR2 | 16     |
| WEBSITE                     | Website                | VARCHAR2 | 100    |
| EMAILADDRESS                | Emailaddress           | VARCHAR2 | 100    |
| EFFECTIVEFROM-<br>DATE      | Effectivefromdate      | DATE     | 7      |
| EFFECTIVETODATE             | Effectivetodate        | DATE     | 7      |
| PRIMARYCONTACT-<br>PERSON   | Primarycontactperson   | VARCHAR2 | 100    |
| PRIMARYINTERNDI-<br>ALCODE1 | Primaryinterndialcode1 | VARCHAR2 | 10     |
| PRIMARYINTERNDI-<br>ALCODE2 | Primaryinterndialcode2 | VARCHAR2 | 10     |
| PRIMARYINTERNDI-<br>ALCODE3 | Primaryinterndialcode3 | VARCHAR2 | 10     |
| PRIMARYINTERNDI-<br>ALCODE4 | Primaryinterndialcode4 | VARCHAR2 | 10     |

| Field Name                | Description              | Datatype | Length |
|---------------------------|--------------------------|----------|--------|
| PRIMARYPOSITION           | Primaryposition          | VARCHAR2 | 60     |
| PRIMARYMOBILE-NUMBER1     | Primarymobilenumber1     | VARCHAR2 | 60     |
| PRIMARYMOBILE-NUMBER2     | Primarymobilenumber2     | VARCHAR2 | 60     |
| PRIMARYFIXEDLINE          | Primaryfixedline         | VARCHAR2 | 60     |
| FAX                       | Fax                      | VARCHAR2 | 120    |
| PRIMARYCONTACTINSTRN      | Primarycontactinstrn     | VARCHAR2 | 100    |
| PRIMARYEXTN               | Primaryextn              | VARCHAR2 | 60     |
| SECONDARYCONTACTPERSON    | Secondarycontactperson   | VARCHAR2 | 100    |
| SECONDARYINTERN-DIALCODE1 | Secondaryinterndialcode1 | VARCHAR2 | 10     |
| SECONDARYINTERN-DIALCODE2 | Secondaryinterndialcode2 | VARCHAR2 | 10     |
| SECONDARYPOSITION         | Secondaryposition        | VARCHAR2 | 60     |
| SECONDARYMOBILE-NUMBER    | Secondarymobilenumber    | VARCHAR2 | 60     |
| SECONDARYFIXED-LINE       | Secondaryfixedline       | VARCHAR2 | 60     |
| SECONDARYCONTACTINSTRN    | Secondarycontactinstrn   | VARCHAR2 | 105    |
| SECONDARYEXTN             | Secondaryextn            | VARCHAR2 | 60     |
| MODULEID                  | Moduleid                 | VARCHAR2 | 30     |
| MAKER_ID                  | Maker_Id                 | VARCHAR2 | 15     |
| MAKER_DT_STAMP            | Maker_Dt_Stamp           | DATE     | 7      |
| CHECKER_ID                | Checker_Id               | VARCHAR2 | 15     |
| CHECKER_DT_STAMP          | Checker_Dt_Stamp         | DATE     | 7      |
| AUTH_STAT                 | Auth_Stat                | VARCHAR2 | 1      |
| ONCE_AUTH                 | Once_Auth                | VARCHAR2 | 1      |
| RECORD_STAT               | Record_Stat              | VARCHAR2 | 1      |
| MOD_NO                    | Mod_No                   | NUMBER   | 22     |

## 2.54 Party Role Mapping Upload

| Field Name       | Description      | Datatype | Length | Table Name              |
|------------------|------------------|----------|--------|-------------------------|
| SEQUENCE-NUMBER  | Sequencenumber   | NUMBER   | 22     | PATM_PARTYROLEMAPUPLOAD |
| PROCESSID        | Processid        | VARCHAR2 | 50     | PATM_PARTYROLEMAPUPLOAD |
| BULKCLIENT-CODE  | Bulkclientcode   | VARCHAR2 | 12     | PATM_PARTYROLEMAPUPLOAD |
| UPLOAD-MODE      | Uploadmode       | VARCHAR2 | 1      | PATM_PARTYROLEMAPUPLOAD |
| IMPORTFILE-NAME  | Importfilename   | VARCHAR2 | 255    | PATM_PARTYROLEMAPUPLOAD |
| PARTYROLE        | Partyrole        | VARCHAR2 | 2      | PATM_PARTYROLEMAPUPLOAD |
| PARTYID          | Partyid          | VARCHAR2 | 12     | PATM_PARTYROLEMAPUPLOAD |
| PARTYROLEID      | Partyroleid      | VARCHAR2 | 16     | PATM_PARTYROLEMAPUPLOAD |
| EMPLOYEEID       | Employeeid       | VARCHAR2 | 20     | PATM_PARTYROLEMAPUPLOAD |
| EMPLOYER-CODE    | Employercode     | VARCHAR2 | 12     | PATM_PARTYROLEMAPUPLOAD |
| COMPLIANCESTATUS | Compliancestatus | VARCHAR2 | 1      | PATM_PARTYROLEMAPUPLOAD |
| MODULEID         | Moduleid         | VARCHAR2 | 30     | PATM_PARTYROLEMAPUPLOAD |
| MAKER_ID         | Maker_Id         | VARCHAR2 | 15     | PATM_PARTYROLEMAPUPLOAD |
| MAKER_DT_STAMP   | Maker_Dt_Stamp   | DATE     | 7      | PATM_PARTYROLEMAPUPLOAD |

| Field Name        | Description             | Datatype | Length | Table Name               |
|-------------------|-------------------------|----------|--------|--------------------------|
| CHECKER_ID        | Checker_Id              | VARCHAR2 | 15     | PATM_PARTYROLEMA-PUPLOAD |
| CHECKER_DT_STAMP  | Checker_Dt_Stamp        | DATE     | 7      | PATM_PARTYROLEMA-PUPLOAD |
| AUTH_STAT         | Auth_Stat               | VARCHAR2 | 1      | PATM_PARTYROLEMA-PUPLOAD |
| ONCE_AUTH         | Once_Auth               | VARCHAR2 | 1      | PATM_PARTYROLEMA-PUPLOAD |
| RECORD_STAT       | Record_Stat             | VARCHAR2 | 1      | PATM_PARTYROLEMA-PUPLOAD |
| MOD_NO            | Mod_No                  | NUMBER   | 22     | PATM_PARTYROLEMA-PUPLOAD |
| DOJ               | Date of Joining         | DATE     | 7      | PATM_PARTYROLEMA-PUPLOAD |
| PREVRELIEVINGDATE | Previous Relieving Date | DATE     | 7      | PATM_PARTYROLEMA-PUPLOAD |
| GROUPCODE         | Group Code              | VARCHAR2 | 12     | PATM_PARTYROLEMA-PUPLOAD |

## 2.55 Group Maintenance Upload

This section contains the following topics:

- [Section 2.55.1, "Segment Identification Number"](#)
- [Section 2.55.2, "Group Maintenance Import"](#)



## 2.55.1 Segment Identification Number

| Segment Identification | Segment Identification                                  |
|------------------------|---|
| 00GRP                  | This segment is used to provide Group master details    |
| 01DET                  | This segment is used to provide group Portfolio details |

## 2.55.2 Group Maintenance Import

| Field name       | Description      | Datatype  | Length | Table Name              |
|------------------|------------------|-----------|--------|-------------------------|
| SEGMENTID        | Segmentid        | VAR-CHAR2 | 20     | PATM_GROUPHDRU-PLOADTBL |
| SEQUENCENUMBER   | Sequencenumber   | NUMBER    | 10     | PATM_GROUPHDRU-PLOADTBL |
| PROCESSID        | Processid        | VAR-CHAR2 | 50     | PATM_GROUPHDRU-PLOADTBL |
| BULKCLIENTCODE   | Bulkclientcode   | VAR-CHAR2 | 12     | PATM_GROUPHDRU-PLOADTBL |
| UPLOADMODE       | Uploadmode       | VAR-CHAR2 | 1      | PATM_GROUPHDRU-PLOADTBL |
| GROUPCODE        | Groupcode        | VAR-CHAR2 | 12     | PATM_GROUPHDRU-PLOADTBL |
| GROUPDESCRIPTION | Groupdescription | VAR-CHAR2 | 255    | PATM_GROUPHDRU-PLOADTBL |
| EMPLOYERCODE     | Employercode     | VAR-CHAR2 | 12     | PATM_GROUPHDRU-PLOADTBL |
| AUTH_STAT        | Auth_Stat        | VAR-CHAR2 | 1      | PATM_GROUPHDRU-PLOADTBL |
| ONCE_AUTH        | Once_Auth        | VAR-CHAR2 | 1      | PATM_GROUPHDRU-PLOADTBL |
| RECORD_STAT      | Record_Stat      | VAR-CHAR2 | 1      | PATM_GROUPHDRU-PLOADTBL |
| MOD_NO           | Mod_No           | NUMBER    | 22     | PATM_GROUPHDRU-PLOADTBL |
| MAKER_ID         | Maker_Id         | VAR-CHAR2 | 15     | PATM_GROUPHDRU-PLOADTBL |
| MAKER_DT_STAMP   | Maker_Dt_Stamp   | DATE      | 7      | PATM_GROUPHDRU-PLOADTBL |

| Field name        | Description       | Datatype  | Length | Table Name                |
|-------------------|-------------------|-----------|--------|---------------------------|
| CHECK-ER_ID       | Checker_Id        | VAR-CHAR2 | 15     | PATM_GROUPHDRU-PLOADTBL   |
| CHECK-ER_DT_STAMP | Check-er_Dt_Stamp | DATE      | 7      | PATM_GROUPHDRU-PLOADTBL   |
| SEGMENTID         | Segmentid         | VAR-CHAR2 | 20     | PATM_GROUPDETAILUPLoadTBL |
| SEQUENCENUMBER    | Sequencenumber    | NUMBER    | 10     | PATM_GROUPDETAILUPLoadTBL |
| PROCESSID         | Processid         | VAR-CHAR2 | 50     | PATM_GROUPDETAILUPLoadTBL |
| GROUPCODE         | Groupcode         | VAR-CHAR2 | 12     | PATM_GROUPDETAILUPLoadTBL |
| EMPLOYERCODE      | Employercode      | VAR-CHAR2 | 12     | PATM_GROUPDETAILUPLoadTBL |
| PORTFOLIOLID      | Portfolioid       | VAR-CHAR2 | 16     | PATM_GROUPDETAILUPLoadTBL |
| MINCONTRIBUTION   | Mincontribution   | NUMBER    | 22     | PATM_GROUPDETAILUPLoadTBL |
| MAXCONTRIBUTION   | Maxcontribution   | NUMBER    | 22     | PATM_GROUPDETAILUPLoadTBL |

## 2.56 Investment Account Upload

This section contains the following topics:

- [Section 2.56.1, "Segment Identification Number"](#)
- [Section 2.56.2, "Investment Account Upload"](#)

## 2.56.1 Segment Identification Number

| Segment Identification Number | Description   |
|-------------------------------|---|
| 01MAS                         | This segment is used to provide investment account master upload details        |
| 02INT                         | This segment is used to provide intermediary account upload details             |
| 03BNK                         | This segment is used to provide bank account upload detail                      |
| 04BNF                         | This segment is used to provide beneficiary account upload detail               |
| 05ADD                         | This segment is used to provide additional information of account upload detail |

## 2.56.2 Investment Account Upload

| Field name           | Description           | Datatype | Length | Table Name                          |
|----------------------|-----------------------|----------|--------|-------------------------------------|
| SEGMENTID            | Segmentid             | VARCHAR2 | 20     | PATM_INVESTMENTAC-<br>CMasterUpload |
| SEQUENCE-<br>NUMBER  | Sequencenum-<br>ber   | NUMBER   | 22     | PATM_INVESTMENTAC-<br>CMasterUpload |
| PROCESSID            | Processid             | VARCHAR2 | 50     | PATM_INVESTMENTAC-<br>CMasterUpload |
| BULKCLI-<br>ENTCODE  | Bulkclientcode        | VARCHAR2 | 12     | PATM_INVESTMENTAC-<br>CMasterUpload |
| UPLOAD-<br>MODE      | Uploadmode            | VARCHAR2 | 1      | PATM_INVESTMENTAC-<br>CMasterUpload |
| IMPORT-<br>FILENAME  | Importfilename        | VARCHAR2 | 255    | PATM_INVESTMENTAC-<br>CMasterUpload |
| ACCOUNTID            | Accountid             | VARCHAR2 | 16     | PATM_INVESTMENTAC-<br>CMasterUpload |
| ACOPERA-<br>TIONTYPE | Acooperation-<br>type | VARCHAR2 | 1      | PATM_INVESTMENTAC-<br>CMasterUpload |
| DEALING-<br>TYPE     | Dealingtype           | VARCHAR2 | 3      | PATM_INVESTMENTAC-<br>CMasterUpload |
| GUARDI-<br>ANID      | Guardianid            | VARCHAR2 | 12     | PATM_INVESTMENTAC-<br>CMasterUpload |
| PARTYID              | Partyid               | VARCHAR2 | 12     | PATM_INVESTMENTAC-<br>CMasterUpload |

| Field name                | Description           | Datatype | Length | Table Name                          |
|---------------------------|-----------------------|----------|--------|-------------------------------------|
| INVESTOR-CATEGORY         | Investorcategory      | VARCHAR2 | 2      | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| ACOPEN-<br>INGDATE        | Acopeningdate         | DATE     | 7      | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| ACCOUN-<br>TREFNO         | Accountrefno          | VARCHAR2 | 16     | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| MODULEID                  | Moduleid              | VARCHAR2 | 30     | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| AUTH_STAT                 | Auth_Stat             | VARCHAR2 | 1      | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| ONCE_AUT<br>H             | Once_Auth             | VARCHAR2 | 1      | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| RECORD_S<br>TAT           | Record_Stat           | VARCHAR2 | 1      | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| MOD_NO                    | Mod_No                | NUMBER   | 22     | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| MAKER_ID                  | Maker_Id              | VARCHAR2 | 15     | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| MAK-<br>ER_DT_STA<br>MP   | Mak-<br>er_Dt_Stamp   | DATE     | 7      | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| CHECK-<br>ER_ID           | Checker_Id            | VARCHAR2 | 15     | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| CHECK-<br>ER_DT_STA<br>MP | Check-<br>er_Dt_Stamp | DATE     | 7      | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| ACCOUNT-<br>STATUS        | Accountstatus         | VARCHAR2 | 1      | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| PENSION-<br>ACCOUNT       | Pensionaccount        | VARCHAR2 | 1      | PATM_INVESTMENTAC-<br>CMASTERUPLOAD |
| SEGMENTID                 | Segmentid             | VARCHAR2 | 20     | PATM_INVESTAC-<br>CINTERUPLOAD      |
| SEQUENCE-<br>NUMBER       | Sequencenum-<br>ber   | NUMBER   | 22     | PATM_INVESTAC-<br>CINTERUPLOAD      |
| PROCESSID                 | Processid             | VARCHAR2 | 50     | PATM_INVESTAC-<br>CINTERUPLOAD      |
| BULKCLI-<br>ENTCODE       | Bulkclientcode        | VARCHAR2 | 12     | PATM_INVESTAC-<br>CINTERUPLOAD      |

| Field name      | Description     | Datatype | Length | Table Name                 |
|-----------------|-----------------|----------|--------|----------------------------|
| UPLOAD-MODE     | Uploadmode      | VARCHAR2 | 1      | PATM_INVESTAC-CINTERUPLOAD |
| IMPORT-FILENAME | Importfilename  | VARCHAR2 | 255    | PATM_INVESTAC-CINTERUPLOAD |
| ACCOUNTID       | Accountid       | VARCHAR2 | 16     | PATM_INVESTAC-CINTERUPLOAD |
| INTERMEDIARYID  | Intermediaryid  | VARCHAR2 | 12     | PATM_INVESTAC-CINTERUPLOAD |
| SPLITPERCENTAGE | Splitpercentage | NUMBER   | 22     | PATM_INVESTAC-CINTERUPLOAD |
| DEFAULT-FLAG    | Defaultflag     | VARCHAR2 | 1      | PATM_INVESTAC-CINTERUPLOAD |

## 2.57 Portfolio Mapping Upload

This section contains the following topics:

- [Section 2.57.1, "Segment Identification Number"](#)
- [Section 2.57.2, "Portfolio Mapping Upload"](#)

## 2.57.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 01MAS                         | This segment is used to provide portfolio upload details           |
| 02PID                         | This segment is used to provide portfolio ID details               |
| 03INS                         | This segment is used to provide portfolio instrument upload detail |
| 04AST                         | This segment is used to provide portfolio asset upload detail      |

## 2.57.2 Portfolio Mapping Upload

| Field name      | Description    | Datatype | Length | Table Name                |
|-----------------|----------------|----------|--------|---------------------------|
| SEGMENTID       | Segmentid      | VARCHAR2 | 20     | PATM_PARTYPORTFOLIOUPLOAD |
| SEQUENCE-NUMBER | Sequencenumber | NUMBER   | 22     | PATM_PARTYPORTFOLIOUPLOAD |
| PROCESSID       | Processid      | VARCHAR2 | 50     | PATM_PARTYPORTFOLIOUPLOAD |
| BULKCLIENTCODE  | Bulkclientcode | VARCHAR2 | 12     | PATM_PARTYPORTFOLIOUPLOAD |
| UPLOAD-MODE     | Uploadmode     | VARCHAR2 | 1      | PATM_PARTYPORTFOLIOUPLOAD |
| IMPORT-FILENAME | Importfilename | VARCHAR2 | 255    | PATM_PARTYPORTFOLIOUPLOAD |
| PARTYROLE       | Partyrole      | VARCHAR2 | 12     | PATM_PARTYPORTFOLIOUPLOAD |
| PARTYID         | Partyid        | VARCHAR2 | 12     | PATM_PARTYPORTFOLIOUPLOAD |
| ACCOUNTID       | Accountid      | VARCHAR2 | 16     | PATM_PARTYPORTFOLIOUPLOAD |
| MODULEID        | Moduleid       | VARCHAR2 | 30     | PATM_PARTYPORTFOLIOUPLOAD |
| AUTH_STAT       | Auth_Stat      | VARCHAR2 | 1      | PATM_PARTYPORTFOLIOUPLOAD |
| ONCE_AUTH       | Once_Auth      | VARCHAR2 | 1      | PATM_PARTYPORTFOLIOUPLOAD |
| RECORD_STAT     | Record_Stat    | VARCHAR2 | 1      | PATM_PARTYPORTFOLIOUPLOAD |

| Field name       | Description      | Datatype | Length | Table Name                     |
|------------------|------------------|----------|--------|--------------------------------|
| MOD_NO           | Mod_No           | NUMBER   | 22     | PATM_PARTYPORTFOLIOUPLOAD      |
| MAKER_ID         | Maker_Id         | VARCHAR2 | 15     | PATM_PARTYPORTFOLIOUPLOAD      |
| MAKER_DT_STAMP   | Maker_Dt_Stamp   | DATE     | 7      | PATM_PARTYPORTFOLIOUPLOAD      |
| CHECKER_ID       | Checker_Id       | VARCHAR2 | 15     | PATM_PARTYPORTFOLIOUPLOAD      |
| CHECKER_DT_STAMP | Checker_Dt_Stamp | DATE     | 7      | PATM_PARTYPORTFOLIOUPLOAD      |
| SEGMENTID        | Segmentid        | VARCHAR2 | 20     | PATM_PARTYPORTFOLIOIDUPLOAD    |
| SEQUENCE-NUMBER  | Sequencenumber   | NUMBER   | 22     | PATM_PARTYPORTFOLIOIDUPLOAD    |
| PROCESSID        | Processid        | VARCHAR2 | 50     | PATM_PARTYPORTFOLIOIDUPLOAD    |
| BULKCLIENTCODE   | Bulkclientcode   | VARCHAR2 | 12     | PATM_PARTYPORTFOLIOIDUPLOAD    |
| UPLOAD-MODE      | Uploadmode       | VARCHAR2 | 1      | PATM_PARTYPORTFOLIOIDUPLOAD    |
| IMPORT-FILENAME  | Importfilename   | VARCHAR2 | 255    | PATM_PARTYPORTFOLIOIDUPLOAD    |
| PARTYROLE        | Partyrole        | VARCHAR2 | 12     | PATM_PARTYPORTFOLIOIDUPLOAD    |
| PARTYID          | Partyid          | VARCHAR2 | 12     | PATM_PARTYPORTFOLIOIDUPLOAD    |
| ACCOUNTID        | Accountid        | VARCHAR2 | 16     | PATM_PARTYPORTFOLIOIDUPLOAD    |
| PORTFOLIOID      | Portfoliid       | VARCHAR2 | 16     | PATM_PARTYPORTFOLIOIDUPLOAD    |
| SEGMENTID        | Segmentid        | VARCHAR2 | 20     | PATM_PARTYPORTFOLIOASSETUPLOAD |
| SEQUENCE-NUMBER  | Sequencenumber   | NUMBER   | 22     | PATM_PARTYPORTFOLIOASSETUPLOAD |
| PROCESSID        | Processid        | VARCHAR2 | 50     | PATM_PARTYPORTFOLIOASSETUPLOAD |

| Field name      | Description    | Datatype | Length | Table Name                     |
|-----------------|----------------|----------|--------|--------------------------------|
| BULKCLIENTCODE  | Bulkclientcode | VARCHAR2 | 12     | PATM_PARTYPORTFOLIOASSETUPLOAD |
| UPLOAD-MODE     | Uploadmode     | VARCHAR2 | 1      | PATM_PARTYPORTFOLIOASSETUPLOAD |
| IMPORT-FILENAME | Importfilename | VARCHAR2 | 255    | PATM_PARTYPORTFOLIOASSETUPLOAD |
| PARTYROLE       | Partyrole      | VARCHAR2 | 12     | PATM_PARTYPORTFOLIOASSETUPLOAD |
| PARTYID         | Partyid        | VARCHAR2 | 12     | PATM_PARTYPORTFOLIOASSETUPLOAD |
| ACCOUNTID       | Accountid      | VARCHAR2 | 16     | PATM_PARTYPORTFOLIOASSETUPLOAD |
| PORTFOLIOID     | Portfolioid    | VARCHAR2 | 16     | PATM_PARTYPORTFOLIOASSETUPLOAD |
| INSTRUMENTID    | Instrumentid   | VARCHAR2 | 16     | PATM_PARTYPORTFOLIOASSETUPLOAD |
| ASSETID         | Assetid        | VARCHAR2 | 12     | PATM_PARTYPORTFOLIOASSETUPLOAD |
| RATIO           | Ratio          | NUMBER   | 22     | PATM_PARTYPORTFOLIOASSETUPLOAD |
| OVERRIDE        | Override       | VARCHAR2 | 1      | PATM_PARTYPORTFOLIOASSETUPLOAD |
| SEGMENTID       | Segmentid      | VARCHAR2 | 20     | PATM_PARTYPPFINSTASSETUPLOAD   |
| SEQUENCE-NUMBER | Sequencenumber | NUMBER   | 22     | PATM_PARTYPPFINSTASSETUPLOAD   |
| PROCESSID       | Processid      | VARCHAR2 | 50     | PATM_PARTYPPFINSTASSETUPLOAD   |
| BULKCLIENTCODE  | Bulkclientcode | VARCHAR2 | 12     | PATM_PARTYPPFINSTASSETUPLOAD   |
| UPLOAD-MODE     | Uploadmode     | VARCHAR2 | 1      | PATM_PARTYPPFINSTASSETUPLOAD   |
| IMPORT-FILENAME | Importfilename | VARCHAR2 | 255    | PATM_PARTYPPFINSTASSETUPLOAD   |
| PARTYROLE       | Partyrole      | VARCHAR2 | 12     | PATM_PARTYPPFINSTASSETUPLOAD   |
| PARTYID         | Party ID       | VARCHAR2 | 12     | PATM_PARTYPPFINSTASSETUPLOAD   |



| Field name       | Description      | Datatype | Length | Table Name                   |
|------------------|------------------|----------|--------|------------------------------|
| ACCOUNTID        | Account ID       | VARCHAR2 | 16     | PATM_PARTYPFINS-TASSETUPLOAD |
| PORTFO-LIUID     | Portfolio ID     | VARCHAR2 | 16     | PATM_PARTYPFINS-TASSETUPLOAD |
| INSTRU-MENTID    | Instrument ID    | VARCHAR2 | 16     | PATM_PARTYPFINS-TASSETUPLOAD |
| INSTRU-MENTRATIO | Instrument Ratio | NUMBER   | 22     | PATM_PARTYPFINS-TASSETUPLOAD |

## 2.58 Portfolio Charge Upload

This section contains the following topics:

- [Section 2.58.1, "Segment Identification Number"](#)
- [Section 2.58.2, "Portfolio Charge Upload"](#)

## 2.58.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 01HDR                         | This segment is used to provide header details         |
| 02MAP                         | This segment is used to provide charge mapping details |

## 2.58.2 Portfolio Charge Upload

| Field Name       | Description       | Data Type | Length | TABLENAME                 |
|------------------|-------------------|-----------|--------|---------------------------|
| PROCESSID        | PROCESSID         | VAR-CHAR2 | 50     | PATM_PORTCHGHEAD-ERUPLOAD |
| SEGMENTID        | SEGMENTID         | VAR-CHAR2 | 20     | PATM_PORTCHGHEAD-ERUPLOAD |
| SEQUENCE-NUMBER  | SEQUENCE-NUMBER   | NUMBER    | 10     | PATM_PORTCHGHEAD-ERUPLOAD |
| BULKCLIENT-CODE  | BULKCLIENT-CODE   | VAR-CHAR2 | 12     | PATM_PORTCHGHEAD-ERUPLOAD |
| IMPORTFILE-NAME  | IMPORTFILE-NAME   | VAR-CHAR2 | 255    | PATM_PORTCHGHEAD-ERUPLOAD |
| UPLOADMODE       | UPLOAD-MODE       | VAR-CHAR2 | 1      | PATM_PORTCHGHEAD-ERUPLOAD |
| PORTFOLIOID      | PORTFO-LIOID      | VAR-CHAR2 | 12     | PATM_PORTCHGHEAD-ERUPLOAD |
| CHARGETYPE       | CHAR-GETYPE       | VAR-CHAR2 | 1      | PATM_PORTCHGHEAD-ERUPLOAD |
| NEXTPROCESS-DATE | NEXT-PROCESS-DATE | DATE      | 7      | PATM_PORTCHGHEAD-ERUPLOAD |
| USER_ID          | USER_ID           | VAR-CHAR2 | 15     | PATM_PORTCHGHEAD-ERUPLOAD |
| PROCESSID        | PROCESSID         | VAR-CHAR2 | 50     | PATM_PORTCHGMA-PUPLOAD    |
| SEGMENTID        | SEGMENTID         | VAR-CHAR2 | 20     | PATM_PORTCHGMA-PUPLOAD    |
| SEQUENCE-NUMBER  | SEQUENCE-NUMBER   | NUMBER    | 10     | PATM_PORTCHGMA-PUPLOAD    |
| PORTFOLIOID      | PORTFO-LIOID      | VAR-CHAR2 | 12     | PATM_PORTCHGMA-PUPLOAD    |

| Field Name       | Description       | Data Type | Length | TABLENAME                 |
|------------------|-------------------|-----------|--------|---------------------------|
| CHARGETYPE       | CHAR-GETYPE       | VAR-CHAR2 | 1      | PATM_PORTCHGMA-PUPLOAD    |
| CHARGEID         | CHARGEID          | NUMBER    | 10     | PATM_PORTCHGMA-PUPLOAD    |
| PROCESSID        | PROCESSID         | VAR-CHAR2 | 50     | PATM_PORTCHGHEAD-ERUPLOAD |
| SEGMENTID        | SEGMENTID         | VAR-CHAR2 | 20     | PATM_PORTCHGHEAD-ERUPLOAD |
| SEQUENCE-NUMBER  | SEQUENCE-NUMBER   | NUMBER    | 10     | PATM_PORTCHGHEAD-ERUPLOAD |
| BULKCLIENT-CODE  | BULKCLIENT-CODE   | VAR-CHAR2 | 12     | PATM_PORTCHGHEAD-ERUPLOAD |
| IMPORTFILE-NAME  | IMPORTFILE-NAME   | VAR-CHAR2 | 255    | PATM_PORTCHGHEAD-ERUPLOAD |
| UPLOADMODE       | UPLOAD-MODE       | VAR-CHAR2 | 1      | PATM_PORTCHGHEAD-ERUPLOAD |
| PORTFOLIOID      | PORTFO-LIOID      | VAR-CHAR2 | 12     | PATM_PORTCHGHEAD-ERUPLOAD |
| CHARGETYPE       | CHAR-GETYPE       | VAR-CHAR2 | 1      | PATM_PORTCHGHEAD-ERUPLOAD |
| NEXTPROCESS-DATE | NEXT-PROCESS-DATE | DATE      | 7      | PATM_PORTCHGHEAD-ERUPLOAD |
| USER_ID          | USER_ID           | VAR-CHAR2 | 15     | PATM_PORTCHGHEAD-ERUPLOAD |
| PROCESSID        | PROCESSID         | VAR-CHAR2 | 50     | PATM_PORTCHGMA-PUPLOAD    |
| SEGMENTID        | SEGMENTID         | VAR-CHAR2 | 20     | PATM_PORTCHGMA-PUPLOAD    |
| SEQUENCE-NUMBER  | SEQUENCE-NUMBER   | NUMBER    | 10     | PATM_PORTCHGMA-PUPLOAD    |
| PORTFOLIOID      | PORTFO-LIOID      | VAR-CHAR2 | 12     | PATM_PORTCHGMA-PUPLOAD    |
| CHARGETYPE       | CHAR-GETYPE       | VAR-CHAR2 | 1      | PATM_PORTCHGMA-PUPLOAD    |
| CHARGEID         | CHARGEID          | NUMBER    | 10     | PATM_PORTCHGMA-PUPLOAD    |

## 2.59 Rebalancing Rule Mapping Upload

This section contains the following topics:

- [Section 2.59.1, "Segment Identification Number"](#)
- [Section 2.59.2, "Rebalancing Rule Mapping Upload"](#)

### 2.59.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 00REB                         | This segment is used to provide header details                   |
| 01DET                         | This segment is used to provide rebalancing rule mapping details |

### 2.59.2 Rebalancing Rule Mapping Upload

Serial Number: 1

Segment Identification Number: 00REB

Description: This segment is used for providing rebalancing Rule Mapping header Information.

Segment Mandatory / Optional: Mandatory

| COLUMN NAME     | DESCRIPTION                              | DATA TYPE | LENGTH | TABLENAME                      |
|-----------------|--|-----------|--------|--------------------------------|
| SEGMENTID       | Segment ID. Value of Segment ID is 00REB | VARCHAR2  | 20     | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |
| SEQUENCE-NUMBER | Sequence Number                          | NUMBER    | 22     | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |
| PROCESSID       | Process ID                               | VARCHAR2  | 50     | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |
| BULKCLIENT-CODE | Bulk Client Code                         | VARCHAR2  | 12     | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |
| UPLOADMODE      | Upload Mode                              | VARCHAR2  | 1      | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |
| IMPORTFILE-NAME | Import File Name                         | VARCHAR2  | 255    | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |

| COLUMN NAME | DESCRIPTION         | DATA TYPE | LENGTH | TABLENAME                      |
|-------------|---------------------|-----------|--------|--------------------------------|
| REBALRULEID | Rebalance Rule ID   | VARCHAR2  | 16     | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |
| AUTH_STAT   | Auth Stat           | VARCHAR2  | 1      | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |
| ONCE_AUTH   | Once Auth           | VARCHAR2  | 1      | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |
| RECORD_STAT | Record Stat         | VARCHAR2  | 1      | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |
| MOD_NO      | Modification Number | NUMBER    | 22     | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |
| USER_ID     | User ID             | VARCHAR2  | 15     | PATM_RE-BAL_RULEMAP_HDR_UPLOAD |

Serial Number: 2

Segment Identification Number: 01DET

Description: This segment is used for providing rebalancing Rule Mapping Information.

Segment Mandatory / Optional: Mandatory

| COLUMN NAME     | DESCRIPTION                              | DATA TYPE | LENGTH | TABLENAME                      |
|-----------------|--|-----------|--------|--------------------------------|
| SEGMENTID       | Segment ID. Value of Segment ID is 01DET | VARCHAR2  | 20     | PATM_RE-BAL_RULEMAP_DET_UPLOAD |
| SEQUENCE-NUMBER | Sequence Number                          | NUMBER    | 22     | PATM_RE-BAL_RULEMAP_DET_UPLOAD |
| PROCESSID       | Process ID                               | VARCHAR2  | 50     | PATM_RE-BAL_RULEMAP_DET_UPLOAD |
| BULKCLIENT-CODE | Bulk Client Code                         | VARCHAR2  | 12     | PATM_RE-BAL_RULEMAP_DET_UPLOAD |
| REBALRULEID     | Rebalance Rule ID                        | VARCHAR2  | 16     | PATM_RE-BAL_RULEMAP_DET_UPLOAD |

| COLUMN NAME | DESCRIPTION  | DATA TYPE | LENGTH | TABLENAME                       |
|-------------|--------------|-----------|--------|---------------------------------|
| PORTFOLIOID | Portfolio ID | VARCHAR2  | 16     | PATM_RE-BAL_RULEMAP_-DET_UPLOAD |
| PARTYID     | Party ID     | VARCHAR2  | 16     | PATM_RE-BAL_RULEMAP_-DET_UPLOAD |
| ACCOUNTID   | Account ID   | VARCHAR2  | 16     | PATM_RE-BAL_RULEMAP_-DET_UPLOAD |

## 2.60 Party Main Upload

This section contains the following topics:

- [Section 2.60.1, "Segment Identification Number"](#)
- [Section 2.60.2, "Party Main Upload"](#)

## 2.60.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 01MAS                         | This segment is used to provide party master upload details                |
| 02CON                         | This segment is used to provide party contact upload details               |
| 03DIR                         | This segment is used to provide party director upload detail               |
| 04BNK                         | This segment is used to provide party bank upload detail                   |
| 05IDN                         | This segment is used to provide party identification upload detail         |
| 06TAX                         | This segment is used to provide party tax compilation upload detail        |
| 07ADD                         | This segment is used to provide party additional information upload detail |

## 2.60.2 Party Main Upload

| Field name      | Description    | Datatype | Length | Table Name               |
|-----------------|----------------|----------|--------|--------------------------|
| SEGMENTID       | Segmentid      | VARCHAR2 | 20     | PATM_PARTY-MASTERUP-LOAD |
| SEQUENCE-NUMBER | Sequencenumber | NUMBER   | 22     | PATM_PARTY-MASTERUP-LOAD |
| PROCESSID       | Processid      | VARCHAR2 | 50     | PATM_PARTY-MASTERUP-LOAD |
| BULKCLIENT-CODE | Bulkclientcode | VARCHAR2 | 12     | PATM_PARTY-MASTERUP-LOAD |
| UPLOAD-MODE     | Uploadmode     | VARCHAR2 | 1      | PATM_PARTY-MASTERUP-LOAD |
| IMPORTFILE-NAME | Importfilename | VARCHAR2 | 255    | PATM_PARTY-MASTERUP-LOAD |
| PARTYID         | Partyid        | VARCHAR2 | 12     | PATM_PARTY-MASTERUP-LOAD |

| Field name          | Description         | Datatype | Length | Table Name               |
|---------------------|---------------------|----------|--------|--------------------------|
| PARTYTYPE           | Partytype           | VARCHAR2 | 3      | PATM_PARTY-MASTERUP-LOAD |
| TITLE               | Title               | VARCHAR2 | 15     | PATM_PARTY-MASTERUP-LOAD |
| FIRSTNAME           | Firstname           | VARCHAR2 | 100    | PATM_PARTY-MASTERUP-LOAD |
| MIDDLENAME          | Middlename          | VARCHAR2 | 100    | PATM_PARTY-MASTERUP-LOAD |
| LASTNAME            | Lastname            | VARCHAR2 | 100    | PATM_PARTY-MASTERUP-LOAD |
| REGISTEREDNAME      | Registeredname      | VARCHAR2 | 100    | PATM_PARTY-MASTERUP-LOAD |
| DATEOFBIRTH         | Dateofbirth         | DATE     | 7      | PATM_PARTY-MASTERUP-LOAD |
| DATEOFINCORPORATION | Dateofincorporation | DATE     | 7      | PATM_PARTY-MASTERUP-LOAD |
| MARITALSTATUS       | Maritalstatus       | VARCHAR2 | 1      | PATM_PARTY-MASTERUP-LOAD |
| OCCUPATION          | Occupation          | VARCHAR2 | 3      | PATM_PARTY-MASTERUP-LOAD |
| GENDER              | Gender              | VARCHAR2 | 1      | PATM_PARTY-MASTERUP-LOAD |
| PREFERRED-CURRENCY  | Preferredcurrency   | VARCHAR2 | 3      | PATM_PARTY-MASTERUP-LOAD |
| MINOR               | Minor               | NUMBER   | 1      | PATM_PARTY-MASTERUP-LOAD |
| NATIONALITY         | Nationality         | VARCHAR2 | 3      | PATM_PARTY-MASTERUP-LOAD |



| Field name                   | Description                | Datatype | Length | Table Name               |
|------------------------------|----------------------------|----------|--------|--------------------------|
| COUNTRYOF-DOMICILE           | Countryofdomicile          | VARCHAR2 | 3      | PATM_PARTY-MASTERUP-LOAD |
| COUNTRYOF-BIRTH              | Countryofbirth             | VARCHAR2 | 3      | PATM_PARTY-MASTERUP-LOAD |
| DUALNATION-ALITY             | Dualnationality            | VARCHAR2 | 3      | PATM_PARTY-MASTERUP-LOAD |
| SUBSTAN-TIALSTAYI-NUS        | Substantialstayi-nus       | NUMBER   | 1      | PATM_PARTY-MASTERUP-LOAD |
| HEALTHSTA-TUS                | Healthstatus               | VARCHAR2 | 1      | PATM_PARTY-MASTERUP-LOAD |
| PROTECTION-LEVEL             | Protectionlevel            | VARCHAR2 | 1      | PATM_PARTY-MASTERUP-LOAD |
| FIRSTAU-THORIZED-SIGNATORY   | Firstauthorized-signatory  | VARCHAR2 | 100    | PATM_PARTY-MASTERUP-LOAD |
| SECONDAU-THORIZED-SIGNATORY  | Secondauthor-izedsignatory | VARCHAR2 | 100    | PATM_PARTY-MASTERUP-LOAD |
| THIRDAU-THORIZED-SIGNATORY   | Thirdauthorized-signatory  | VARCHAR2 | 100    | PATM_PARTY-MASTERUP-LOAD |
| CORPORA-TIONTYPE             | Corporationtype            | VARCHAR2 | 3      | PATM_PARTY-MASTERUP-LOAD |
| MINNOOF-CORPORA-TESIGNATOR Y | Minnoofcorpora-tesignatory | NUMBER   | 22     | PATM_PARTY-MASTERUP-LOAD |
| INCORPORA-TIONCOUN-TRY       | Incorporation-country      | VARCHAR2 | 3      | PATM_PARTY-MASTERUP-LOAD |
| MODULEID                     | Moduleid                   | VARCHAR2 | 30     | PATM_PARTY-MASTERUP-LOAD |
| MAKER_ID                     | Maker_Id                   | VARCHAR2 | 15     | PATM_PARTY-MASTERUP-LOAD |

| Field name        | Description       | Datatype | Length | Table Name                |
|-------------------|-------------------|----------|--------|---------------------------|
| MAKER_DT_STAMP    | Maker Dt Stamp    | DATE     | 7      | PATM_PARTY-MASTERUP-LOAD  |
| CHECKER_ID        | Checker_Id        | VARCHAR2 | 15     | PATM_PARTY-MASTERUP-LOAD  |
| CHECKER_DT_STAMP  | Checker Dt Stamp  | DATE     | 7      | PATM_PARTY-MASTERUP-LOAD  |
| AUTH_STAT         | Auth_Stat         | VARCHAR2 | 1      | PATM_PARTY-MASTERUP-LOAD  |
| ONCE_AUTH         | Once_Auth         | VARCHAR2 | 1      | PATM_PARTY-MASTERUP-LOAD  |
| RECORD_STAT       | Record_Stat       | VARCHAR2 | 1      | PATM_PARTY-MASTERUP-LOAD  |
| MOD_NO            | Mod_No            | NUMBER   | 22     | PATM_PARTY-MASTERUP-LOAD  |
| FATHER-SPOUSENAME | Father-spousename | VARCHAR2 | 105    | PATM_PARTY-MASTERUP-LOAD  |
| SEGMENTID         | Segmentid         | VARCHAR2 | 20     | PATM_PARTY-CONTACTUP-LOAD |
| SEQUENCE-NUMBER   | Sequencenumber    | NUMBER   | 22     | PATM_PARTY-CONTACTUP-LOAD |
| PROCESSID         | Processid         | VARCHAR2 | 50     | PATM_PARTY-CONTACTUP-LOAD |
| BULKCLIENT-CODE   | Bulkclientcode    | VARCHAR2 | 12     | PATM_PARTY-CONTACTUP-LOAD |
| UPLOAD-MODE       | Uploadmode        | VARCHAR2 | 1      | PATM_PARTY-CONTACTUP-LOAD |
| IMPORTFILE-NAME   | Importfilename    | VARCHAR2 | 255    | PATM_PARTY-CONTACTUP-LOAD |

| Field name         | Description       | Datatype | Length | Table Name                |
|--------------------|-------------------|----------|--------|---------------------------|
| PARTYID            | Partyid           | VARCHAR2 | 12     | PATM_PARTY-CONTACTUP-LOAD |
| CONTACTREFNO       | Contactrefno      | VARCHAR2 | 16     | PATM_PARTY-CONTACTUP-LOAD |
| ADDRESSTYPE        | Addresstype       | VARCHAR2 | 2      | PATM_PARTY-CONTACTUP-LOAD |
| ADDRESS-LINE1      | Addressline1      | VARCHAR2 | 100    | PATM_PARTY-CONTACTUP-LOAD |
| ADDRESS-LINE2      | Addressline2      | VARCHAR2 | 100    | PATM_PARTY-CONTACTUP-LOAD |
| ADDRESS-LINE3      | Addressline3      | VARCHAR2 | 100    | PATM_PARTY-CONTACTUP-LOAD |
| POSTBOXNO          | Postboxno         | VARCHAR2 | 16     | PATM_PARTY-CONTACTUP-LOAD |
| CITY               | City              | VARCHAR2 | 80     | PATM_PARTY-CONTACTUP-LOAD |
| STATE              | State             | VARCHAR2 | 80     | PATM_PARTY-CONTACTUP-LOAD |
| COUNTRY            | Country           | VARCHAR2 | 3      | PATM_PARTY-CONTACTUP-LOAD |
| ZIPCODE            | Zipcode           | VARCHAR2 | 10     | PATM_PARTY-CONTACTUP-LOAD |
| WEBSITE            | Website           | VARCHAR2 | 100    | PATM_PARTY-CONTACTUP-LOAD |
| EMAIL              | Email             | VARCHAR2 | 100    | PATM_PARTY-CONTACTUP-LOAD |
| EFFECTIVE-FROMDATE | Effectivefromdate | DATE     | 7      | PATM_PARTY-CONTACTUP-LOAD |

| Field name               | Description             | Datatype | Length | Table Name                |
|--------------------------|-------------------------|----------|--------|---------------------------|
| EFFEC-TIVETODATE         | Effectivetodate         | DATE     | 7      | PATM_PARTY-CONTACTUP-LOAD |
| PRIMARY-CONTACT-PERSON   | Primarycontact-person   | VARCHAR2 | 100    | PATM_PARTY-CONTACTUP-LOAD |
| PRIMARYIN-TERNDIAL-CODE1 | Primaryinterndial-code1 | VARCHAR2 | 10     | PATM_PARTY-CONTACTUP-LOAD |
| PRIMARYIN-TERNDIAL-CODE2 | Primaryinterndial-code2 | VARCHAR2 | 10     | PATM_PARTY-CONTACTUP-LOAD |
| PRIMARYIN-TERNDIAL-CODE3 | Primaryinterndial-code3 | VARCHAR2 | 10     | PATM_PARTY-CONTACTUP-LOAD |
| PRIMARYIN-TERNDIAL-CODE4 | Primaryinterndial-code4 | VARCHAR2 | 10     | PATM_PARTY-CONTACTUP-LOAD |
| PRIMARYPO-SITION         | Primaryposition         | VARCHAR2 | 60     | PATM_PARTY-CONTACTUP-LOAD |
| PRIMARYMO-BILENUM-BER1   | Primarymobile-number1   | VARCHAR2 | 60     | PATM_PARTY-CONTACTUP-LOAD |
| PRIMARYMO-BILENUM-BER2   | Primarymobile-number2   | VARCHAR2 | 60     | PATM_PARTY-CONTACTUP-LOAD |
| PRIMARYFIX-EDLINE        | Primaryfixedline        | VARCHAR2 | 60     | PATM_PARTY-CONTACTUP-LOAD |
| FAX                      | Fax                     | VARCHAR2 | 120    | PATM_PARTY-CONTACTUP-LOAD |
| PRIMARY-CONTACTIN-STRN   | Primarycontactin-strn   | VARCHAR2 | 100    | PATM_PARTY-CONTACTUP-LOAD |
| PRIMA-RYEXTN             | Primaryextn             | VARCHAR2 | 60     | PATM_PARTY-CONTACTUP-LOAD |
| SECONDARY-CONTACT-PERSON | Secondarycon-tactperson | VARCHAR2 | 100    | PATM_PARTY-CONTACTUP-LOAD |

| Field name                 | Description               | Datatype | Length | Table Name                 |
|----------------------------|---------------------------|----------|--------|----------------------------|
| SECONDARY-INTERNDIAL-CODE1 | Secondaryintern-dialcode1 | VARCHAR2 | 10     | PATM_PARTY-CONTACTUP-LOAD  |
| SECONDARY-INTERNDIAL-CODE2 | Secondaryintern-dialcode2 | VARCHAR2 | 10     | PATM_PARTY-CONTACTUP-LOAD  |
| SECONDARY-POSITION         | Secondaryposi-tion        | VARCHAR2 | 60     | PATM_PARTY-CONTACTUP-LOAD  |
| SECONDARY-MOBILENUMBER     | Secondarymobile-number    | VARCHAR2 | 60     | PATM_PARTY-CONTACTUP-LOAD  |
| SECONDARY-FIXEDLINE        | Secondaryfixed-line       | VARCHAR2 | 60     | PATM_PARTY-CONTACTUP-LOAD  |
| SECONDARY-CONTACTINSTRN    | Secondarycontac-tinstrn   | VARCHAR2 | 105    | PATM_PARTY-CONTACTUP-LOAD  |
| SECONDARYEXTN              | Secondaryextn             | VARCHAR2 | 60     | PATM_PARTY-CONTACTUP-LOAD  |
| BICCODE                    | Biccode                   | VARCHAR2 | 16     | PATM_PARTY-CONTACTUP-LOAD  |
| SEGMENTID                  | Segmentid                 | VARCHAR2 | 20     | PATM_PARTY-DIRECTORUP-LOAD |
| SEQUENCE-NUMBER            | Sequencenumber            | NUMBER   | 22     | PATM_PARTY-DIRECTORUP-LOAD |
| PROCESSID                  | Processid                 | VARCHAR2 | 50     | PATM_PARTY-DIRECTORUP-LOAD |
| BULKCLIENT-CODE            | Bulkclientcode            | VARCHAR2 | 12     | PATM_PARTY-DIRECTORUP-LOAD |
| UPLOAD-MODE                | Uploadmode                | VARCHAR2 | 1      | PATM_PARTY-DIRECTORUP-LOAD |
| IMPORTFILE-NAME            | Importfilename            | VARCHAR2 | 255    | PATM_PARTY-DIRECTORUP-LOAD |

| Field name         | Description       | Datatype | Length | Table Name                 |
|--------------------|-------------------|----------|--------|----------------------------|
| PARTYID            | Partyid           | VARCHAR2 | 12     | PATM_PARTY-DIRECTORUP-LOAD |
| DIRECTORID         | Directorid        | VARCHAR2 | 12     | PATM_PARTY-DIRECTORUP-LOAD |
| PERCENTAGE         | Percentage        | NUMBER   | 5      | PATM_PARTY-DIRECTORUP-LOAD |
| CONTROLLING-PERSON | Controllingperson | VARCHAR2 | 1      | PATM_PARTY-DIRECTORUP-LOAD |
| SEGMENTID          | Segmentid         | VARCHAR2 | 20     | PATM_PARTYBANKUP-LOAD      |
| SEQUENCE-NUMBER    | Sequencenumber    | NUMBER   | 22     | PATM_PARTYBANKUP-LOAD      |
| PROCESSID          | Processid         | VARCHAR2 | 50     | PATM_PARTYBANKUP-LOAD      |
| BULKCLIENT-CODE    | Bulkclientcode    | VARCHAR2 | 12     | PATM_PARTYBANKUP-LOAD      |
| UPLOAD-MODE        | Uploadmode        | VARCHAR2 | 1      | PATM_PARTYBANKUP-LOAD      |
| IMPORTFILE-NAME    | Importfilename    | VARCHAR2 | 255    | PATM_PARTYBANKUP-LOAD      |
| PARTYID            | Partyid           | VARCHAR2 | 12     | PATM_PARTYBANKUP-LOAD      |
| BANKREFERENCENO    | Bankreferenceno   | VARCHAR2 | 16     | PATM_PARTYBANKUP-LOAD      |
| OWNERSHIP-TYPE     | Ownershiptype     | VARCHAR2 | 1      | PATM_PARTYBANKUP-LOAD      |
| ACCOUNT-TYPE       | Accounttype       | VARCHAR2 | 1      | PATM_PARTYBANKUP-LOAD      |

| Field name               | Description          | Datatype | Length | Table Name                      |
|--------------------------|----------------------|----------|--------|---------------------------------|
| ACCOUNT-CURRENCY         | Accountcurrency      | VARCHAR2 | 3      | PATM_PARTYBANKUP-LOAD           |
| BANKCODE                 | Bankcode             | VARCHAR2 | 12     | PATM_PARTYBANKUP-LOAD           |
| BRANCH-CODE              | Branchcode           | VARCHAR2 | 12     | PATM_PARTYBANKUP-LOAD           |
| ACCOUNT-NUMBER           | Accountnumber        | VARCHAR2 | 20     | PATM_PARTYBANKUP-LOAD           |
| IBAN                     | Iban                 | VARCHAR2 | 40     | PATM_PARTYBANKUP-LOAD           |
| VERIFICATIONSTATUS       | Verificationstatus   | VARCHAR2 | 1      | PATM_PARTYBANKUP-LOAD           |
| LASTVERIFIEDDATE         | Lastverifieddate     | DATE     | 7      | PATM_PARTYBANKUP-LOAD           |
| ACCOUNTHOLDERNAME        | Accountholder-name   | VARCHAR2 | 100    | PATM_PARTYBANKUP-LOAD           |
| USERREFERENCE-ENCENUMBER | Userreference-number | VARCHAR2 | 16     | PATM_PARTYBANKUP-LOAD           |
| SEGMENTID                | Segmentid            | VARCHAR2 | 20     | PATM_PARTY-IDENTIFICATIONUPLOAD |
| SEQUENCE-NUMBER          | Sequencenumber       | NUMBER   | 22     | PATM_PARTY-IDENTIFICATIONUPLOAD |
| PROCESSID                | Processid            | VARCHAR2 | 50     | PATM_PARTY-IDENTIFICATIONUPLOAD |
| BULKCLIENT-CODE          | Bulkclientcode       | VARCHAR2 | 12     | PATM_PARTY-IDENTIFICATIONUPLOAD |
| UPLOAD-MODE              | Uploadmode           | VARCHAR2 | 1      | PATM_PARTY-IDENTIFICATIONUPLOAD |

| Field name           | Description          | Datatype | Length | Table Name                      |
|----------------------|----------------------|----------|--------|---------------------------------|
| IMPORTFILE-NAME      | Importfilename       | VARCHAR2 | 255    | PATM_PARTY-IDENTIFICATIONUPLOAD |
| PARTYID              | Partyid              | VARCHAR2 | 12     | PATM_PARTY-IDENTIFICATIONUPLOAD |
| IDREFERENCENO        | Idreferenceno        | VARCHAR2 | 16     | PATM_PARTY-IDENTIFICATIONUPLOAD |
| USERREFERENCENUMBER  | Userreference-number | VARCHAR2 | 16     | PATM_PARTY-IDENTIFICATIONUPLOAD |
| IDENTIFICATIONTYPE   | Identificationtype   | VARCHAR2 | 20     | PATM_PARTY-IDENTIFICATIONUPLOAD |
| IDENTIFICATIONNUMBER | Identificationnumber | VARCHAR2 | 50     | PATM_PARTY-IDENTIFICATIONUPLOAD |
| PLACEOFISSUE         | Placeofissue         | VARCHAR2 | 3      | PATM_PARTY-IDENTIFICATIONUPLOAD |
| ISSUEAUTHORITY       | Issueauthority       | VARCHAR2 | 50     | PATM_PARTY-IDENTIFICATIONUPLOAD |
| ISSUECOUNTRY         | Issuecountry         | VARCHAR2 | 3      | PATM_PARTY-IDENTIFICATIONUPLOAD |
| DATEOFISSUE          | Dateofissue          | DATE     | 7      | PATM_PARTY-IDENTIFICATIONUPLOAD |
| DATEOFEXPIRY         | Dateofexpiry         | DATE     | 7      | PATM_PARTY-IDENTIFICATIONUPLOAD |
| LASTVERIFIEDDATE     | Lastverifieddate     | DATE     | 7      | PATM_PARTY-IDENTIFICATIONUPLOAD |
| VERIFICATIONSTATUS   | Verificationstatus   | VARCHAR2 | 1      | PATM_PARTY-IDENTIFICATIONUPLOAD |
| SEGMENTID            | Segmentid            | VARCHAR2 | 20     | PATM_PARTY-TAXCOMPLUPLOAD       |



| Field name       | Description      | Datatype | Length | Table Name                 |
|------------------|------------------|----------|--------|----------------------------|
| SEQUENCE-NUMBER  | Sequencenumber   | NUMBER   | 22     | PATM_PARTY TAXCOM-PLUPLOAD |
| PROCESSID        | Processid        | VARCHAR2 | 50     | PATM_PARTY TAXCOM-PLUPLOAD |
| BULKCLIENT-CODE  | Bulkclientcode   | VARCHAR2 | 12     | PATM_PARTY TAXCOM-PLUPLOAD |
| UPLOAD-MODE      | Uploadmode       | VARCHAR2 | 1      | PATM_PARTY TAXCOM-PLUPLOAD |
| IMPORTFILE-NAME  | Importfilename   | VARCHAR2 | 255    | PATM_PARTY TAXCOM-PLUPLOAD |
| PARTYID          | Partyid          | VARCHAR2 | 12     | PATM_PARTY TAXCOM-PLUPLOAD |
| TAXCOMPLIANCE    | Taxcompliance    | VARCHAR2 | 10     | PATM_PARTY TAXCOM-PLUPLOAD |
| TAXJURISDICTION  | Taxjurisdiction  | VARCHAR2 | 3      | PATM_PARTY TAXCOM-PLUPLOAD |
| INDICIAAVAILABLE | Indiciaavailable | NUMBER   | 1      | PATM_PARTY TAXCOM-PLUPLOAD |
| REPORTABLESTATUS | Reportablestatus | VARCHAR2 | 1      | PATM_PARTY TAXCOM-PLUPLOAD |
| REMARKS          | Remarks          | VARCHAR2 | 255    | PATM_PARTY TAXCOM-PLUPLOAD |
| CRITERIAMATCHED  | Criteria matched | VARCHAR2 | 255    | PATM_PARTY TAXCOM-PLUPLOAD |
| SEGMENTID        | Segmentid        | VARCHAR2 | 20     | PATM_PARTY ADDINFOUP-LOAD  |
| SEQUENCE-NUMBER  | Sequencenumber   | NUMBER   | 22     | PATM_PARTY ADDINFOUP-LOAD  |

| Field name      | Description    | Datatype | Length | Table Name               |
|-----------------|----------------|----------|--------|--------------------------|
| PROCESSID       | Processid      | VARCHAR2 | 50     | PATM_PART-YADDINFOU-LOAD |
| BULKCLIENT-CODE | Bulkclientcode | VARCHAR2 | 12     | PATM_PART-YADDINFOU-LOAD |
| UPLOAD-MODE     | Uploadmode     | VARCHAR2 | 1      | PATM_PART-YADDINFOU-LOAD |
| IMPORTFILE-NAME | Importfilename | VARCHAR2 | 255    | PATM_PART-YADDINFOU-LOAD |
| PARTYID         | Partyid        | VARCHAR2 | 16     | PATM_PART-YADDINFOU-LOAD |
| ADDINFOLA-BEL   | Addinfo-label  | VARCHAR2 | 30     | PATM_PART-YADDINFOU-LOAD |
| FIELDVALUE      | Fieldvalue     | CHAR     | 23     | PATM_PART-YADDINFOU-LOAD |

## 2.61 Fund Export

This section contains the following topics:

- [Section 2.61.1, "Segment Identification Number"](#)
- [Section 2.61.2, "Fund Export"](#)

### 2.61.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 00FDU                         | This segment is used to provide fund demographics upload details |
| 01TPR                         | This segment is used to provide third party upload details       |
| 02FLU                         | This segment is used to provide fund load upload detail          |

### 2.61.2 Fund Export

| Field name           | Description          | Datatype | Length | Table Name                 |
|----------------------|----------------------|----------|--------|----------------------------|
| SEGMENTID            | Segmentid            | VARCHAR2 | 20     | FUNDDEMOGRAPHICSUPLOADDTBL |
| SEQUENCE-NUMBER      | Sequence-number      | NUMBER   | 22     | FUNDDEMOGRAPHICSUPLOADDTBL |
| PROCESSID            | Processid            | VARCHAR2 | 50     | FUNDDEMOGRAPHICSUPLOADDTBL |
| BULKCLIENTCODE       | Bulkclient-code      | VARCHAR2 | 12     | FUNDDEMOGRAPHICSUPLOADDTBL |
| UPLOAD-MODE          | Uploadmode           | VARCHAR2 | 1      | FUNDDEMOGRAPHICSUPLOADDTBL |
| IMPORT-FILENAME      | Importfile-name      | VARCHAR2 | 255    | FUNDDEMOGRAPHICSUPLOADDTBL |
| USERREFERENCE-NUMBER | Userreferen-cenumber | VARCHAR2 | 50     | FUNDDEMOGRAPHICSUPLOADDTBL |
| FUNDID               | Fundid               | VARCHAR2 | 6      | FUNDDEMOGRAPHICSUPLOADDTBL |
| RULEEFFECTIVE-DATE   | Ruleeffec-tivedate   | DATE     | 7      | FUNDDEMOGRAPHICSUPLOADDTBL |
| AUTH_STAT            | Auth_Stat            | VARCHAR2 | 1      | FUNDDEMOGRAPHICSUPLOADDTBL |
| FUND-STARTDATE       | Fundstart-date       | DATE     | 7      | FUNDDEMOGRAPHICSUPLOADDTBL |
| FUNDNAME             | Fundname             | VARCHAR2 | 60     | FUNDDEMOGRAPHICSUPLOADDTBL |

| Field name                | Description               | Datatype | Length | Table Name                     |
|---------------------------|---------------------------|----------|--------|--------------------------------|
| FUND-<br>NAMESHORT        | Fund-<br>nameshort        | VARCHAR2 | 90     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FUNDCLASS                 | Fundclass                 | VARCHAR2 | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FUNDTYPE                  | Fundtype                  | NUMBER   | 38     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FUNDFAMILY                | Fundfamily                | VARCHAR2 | 6      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FUNDBASE-<br>CURRENCY     | Fundbase-<br>currency     | VARCHAR2 | 3      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FUNDCOUN-<br>TRY          | Fundcountry               | VARCHAR2 | 3      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FISCAL-<br>STARTYEAR      | Fiscalstart-<br>year      | DATE     | 7      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FISCALEND-<br>YEAR        | Fiscalend-<br>year        | DATE     | 7      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| AMCID                     | Amcid                     | VARCHAR2 | 12     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| BROKER-<br>SALLOWED       | Brokersal-<br>lowed       | NUMBER   | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| REGIS-<br>TRARID          | Registrarid               | VARCHAR2 | 12     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| TRUSTEEID                 | Trusteeid                 | VARCHAR2 | 12     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| LAT-<br>ESTRULE           | Latestrule                | NUMBER   | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| MOD_NO                    | Mod_No                    | NUMBER   | 22     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| MAKER_ID                  | Maker_Id                  | VARCHAR2 | 15     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| MAK-<br>ER_DT_STA<br>MP   | Mak-<br>er_Dt_Stam<br>p   | DATE     | 7      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| CHECK-<br>ER_ID           | Checker_Id                | VARCHAR2 | 15     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| CHECK-<br>ER_DT_STA<br>MP | Check-<br>er_Dt_Stam<br>p | DATE     | 7      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |

| Field name                         | Description                        | Datatype | Length | Table Name                     |
|------------------------------------|------------------------------------|----------|--------|--------------------------------|
| MINHOLD-<br>INGPERIOD              | Minholding-<br>period              | NUMBER   | 22     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| PRIMARYRE-<br>GADDRESS             | Primaryre-<br>gaddress             | VARCHAR2 | 255    | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FUNDTAXID                          | Fundtaxid                          | VARCHAR2 | 25     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FUNDIDEN-<br>TIFICATION-<br>NUMBER | Fundidentifi-<br>cationnum-<br>ber | VARCHAR2 | 25     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FUNDTICK-<br>ERSYMBOL              | Fundticker-<br>symbol              | VARCHAR2 | 25     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| ALLOW-<br>IRAINVEST-<br>MENTS      | Allowirain-<br>vestments           | NUMBER   | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| CUSTODIAN                          | Custodian                          | VARCHAR2 | 12     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FUNDSUS-<br>PENDED                 | Fundsus-<br>pended                 | NUMBER   | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| MINAMOUNT<br>FORTAX-<br>IDINDV     | Minamount-<br>fortaxidindv         | NUMBER   | 30     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| MINAMOUNT<br>FORTAXID-<br>COR      | Minamount-<br>fortaxidcor          | NUMBER   | 30     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| RECORD_ST<br>AT                    | Record_Stat                        | CHAR     | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| ONCE_AUTH                          | Once_Auth                          | CHAR     | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| EXISTING-<br>FUND                  | Existingfund                       | NUMBER   | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| UMBREL-<br>LAFUND                  | Umbrel-<br>lafund                  | NUMBER   | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| HEDGE-<br>FUND                     | Hedgefund                          | VARCHAR2 | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| FUNDENA-<br>BLED                   | Fundena-<br>bled                   | NUMBER   | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| COEENA-<br>BLED                    | Coenabled                          | VARCHAR2 | 1      | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |
| LEGALENTIT<br>Y                    | Legalentity                        | VARCHAR2 | 12     | FUNDDEMOGRAPH-<br>ICSUPLOADTBL |

| Field name              | Description             | Datatype | Length | Table Name                |
|-------------------------|-------------------------|----------|--------|---------------------------|
| FUND-CLOSED-DATE        | Fundclosed-date         | DATE     | 7      | FUNDDEMOGRAPHICSUPLOADTBL |
| SEGMENTID               | Segmentid               | VARCHAR2 | 20     | TPRUPLOADTBL              |
| SEQUENCE-NUMBER         | Sequence-number         | NUMBER   | 22     | TPRUPLOADTBL              |
| PROCESSID               | Processid               | VARCHAR2 | 50     | TPRUPLOADTBL              |
| USERREFERENCE-NUMBER    | Userreferencenumber     | VARCHAR2 | 50     | TPRUPLOADTBL              |
| FUNDID                  | Fundid                  | VARCHAR2 | 6      | TPRUPLOADTBL              |
| RULEEFFECTIVE-DATE      | Ruleeffectivedate       | DATE     | 7      | TPRUPLOADTBL              |
| TRANSACTIONTYPE         | Transaction-type        | VARCHAR2 | 2      | TPRUPLOADTBL              |
| TRANSACTIONSTART-DATE   | Transaction-startdate   | DATE     | 7      | TPRUPLOADTBL              |
| TRANSACTIONEND-DATE     | Transactionenddate      | DATE     | 7      | TPRUPLOADTBL              |
| TRANSACTIONFREQUENCY    | Transaction-frequency   | VARCHAR2 | 1      | TPRUPLOADTBL              |
| TRANSACTIONSTART-PERIOD | Transaction-startperiod | NUMBER   | 22     | TPRUPLOADTBL              |
| TRANSACTIONEND-PERIOD   | Transactionend-period   | NUMBER   | 22     | TPRUPLOADTBL              |
| TRANSACTIONSTART-TIME   | Transaction-starttime   | DATE     | 7      | TPRUPLOADTBL              |
| TRANSACTIONEND-TIME     | Transactionendtime      | DATE     | 7      | TPRUPLOADTBL              |
| MINTRANSACTION-AMOUNT   | Mintransactionamount    | NUMBER   | 30     | TPRUPLOADTBL              |

| Field name                 | Description                | Datatype | Length | Table Name   |
|----------------------------|----------------------------|----------|--------|--------------|
| STEPAMOUNT                 | Stepamount                 | NUMBER   | 30     | TPRUPLOADTBL |
| MAXTRANSACTION-AMOUNT      | Maxtransactionamount       | NUMBER   | 30     | TPRUPLOADTBL |
| MINTRANSACTION-UNITS       | Mintransactionunits        | NUMBER   | 27     | TPRUPLOADTBL |
| STEPUNITS                  | Stepunits                  | NUMBER   | 27     | TPRUPLOADTBL |
| MAXTRANSACTION-UNITS       | Maxtransactionunits        | NUMBER   | 27     | TPRUPLOADTBL |
| ONLINEALLOCATION           | Onlineallocation           | NUMBER   | 1      | TPRUPLOADTBL |
| ALLOCATIONPERVS-REGCAPITAL | Allocation-persreg-capital | NUMBER   | 20     | TPRUPLOADTBL |
| ALLOCATIONPOLICY           | Allocation-policy          | VARCHAR2 | 1      | TPRUPLOADTBL |
| NOOFTX-NALLOWED-FORFREQ    | Nooftxnallowedforfreq      | VARCHAR2 | 1      | TPRUPLOADTBL |
| NOOFTX-NALLOWED-PERUH      | Nooftxnallowedperuh        | NUMBER   | 22     | TPRUPLOADTBL |
| NOOFTX-NALLOWED-PERUH      | Nooftxnallowedperuh        | NUMBER   | 22     | TPRUPLOADTBL |
| ALLOW-BACKDATING           | Allowbackdating            | NUMBER   | 1      | TPRUPLOADTBL |
| BACKDATIN-GLIMIT           | Backdatin-glimit           | NUMBER   | 22     | TPRUPLOADTBL |
| FUNDTRANSACTION-COUNTER    | Fundtransaction-counter    | NUMBER   | 22     | TPRUPLOADTBL |
| ACKNOWLEDGEMENT-REQUIRED   | Acknowledgementrequired    | NUMBER   | 1      | TPRUPLOADTBL |

| Field name                   | Description                  | Datatype | Length | Table Name   |
|------------------------------|------------------------------|----------|--------|--------------|
| CONFIRMATIONREQUIRED         | Confirmationrequired         | NUMBER   | 1      | TPRUPLOADTBL |
| BASEPRICEDEFINITION          | Baseprice-definition         | VARCHAR2 | 60     | TPRUPLOADTBL |
| BASEPRICEFACTOR              | Baseprice-factor             | NUMBER   | 7      | TPRUPLOADTBL |
| NOOFDECIMALSFORBASEPRICE     | Noofdecimalsforbase-price    | NUMBER   | 22     | TPRUPLOADTBL |
| ROUND-OFFTRUNCATEBASEPRICE   | Round-offtruncate-baseprice  | VARCHAR2 | 1      | TPRUPLOADTBL |
| TRANSACTIONENABLED           | Transactionenabled           | NUMBER   | 1      | TPRUPLOADTBL |
| CROSSBRANCHALLOWED           | Cross-branchallowed          | NUMBER   | 1      | TPRUPLOADTBL |
| PRICELAG                     | Pricelag                     | NUMBER   | 22     | TPRUPLOADTBL |
| ALLOCATIONLAG                | Allocationlag                | NUMBER   | 22     | TPRUPLOADTBL |
| CONFIRMATIONLAG              | Confirmationlag              | NUMBER   | 22     | TPRUPLOADTBL |
| CHQALLOCONPARWITHCASH        | Chqalloconparwithcash        | NUMBER   | 1      | TPRUPLOADTBL |
| HOLDINGPERIODAPPLICABLE      | Holdingperiodapplicable      | NUMBER   | 1      | TPRUPLOADTBL |
| RETAINAGEINGTOSUBSCRIPTION   | Retainageingtosubscription   | NUMBER   | 1      | TPRUPLOADTBL |
| CREDITCARDALLOCONPARWITHCASH | Creditcardalloconparwithcash | NUMBER   | 1      | TPRUPLOADTBL |
| LIMITREDEMPTIONMODE          | Limitredemption-mode         | VARCHAR2 | 1      | TPRUPLOADTBL |



| Field name                   | Description                  | Datatype | Length | Table Name   |
|------------------------------|------------------------------|----------|--------|--------------|
| NATUREOF-LIMIT               | Natureoflimit                | VARCHAR2 | 1      | TPRUPLOADTBL |
| TRANSFER-ALLOCON-PARWITHCASH | Transferallo-conparwith-cash | NUMBER   | 1      | TPRUPLOADTBL |
| GROSSAMOUNT                  | Grossamount                  | VARCHAR2 | 1      | TPRUPLOADTBL |
| GROSSUNITS                   | Grossunits                   | VARCHAR2 | 1      | TPRUPLOADTBL |
| NETAMOUNT                    | Netamount                    | VARCHAR2 | 1      | TPRUPLOADTBL |
| NETUNITS                     | Netunits                     | VARCHAR2 | 1      | TPRUPLOADTBL |
| MININITIALTX-NAMOUNT         | Mininitialtx-namount         | NUMBER   | 30     | TPRUPLOADTBL |
| MININITIALTX-UNITS           | Mininitialtxn-units          | NUMBER   | 27     | TPRUPLOADTBL |
| MINSITX-NAMOUNT              | Minsitx-namount              | NUMBER   | 30     | TPRUPLOADTBL |
| MAXSITX-NAMOUNT              | Maxsitx-namount              | NUMBER   | 30     | TPRUPLOADTBL |
| MINSITXN-UNITS               | Minsitxnunits                | NUMBER   | 27     | TPRUPLOADTBL |
| MAXSITXN-UNITS               | Maxsitxn-units               | NUMBER   | 27     | TPRUPLOADTBL |
| EXISTINGUH                   | Existinguh                   | VARCHAR2 | 2      | TPRUPLOADTBL |
| NOOFDECIMALSFORUNITPRICE     | Noofdecimalsforunit-price    | NUMBER   | 22     | TPRUPLOADTBL |
| ROUND-OFFTRUNCATEUNITPRICE   | Round-offtruncateunitprice   | VARCHAR2 | 1      | TPRUPLOADTBL |
| ROUND-OFFTRUNCATEUNITS       | Round-offtruncateunits       | VARCHAR2 | 1      | TPRUPLOADTBL |
| PAYMENT-LAG                  | Paymentlag                   | NUMBER   | 22     | TPRUPLOADTBL |

| Field name            | Description            | Datatype | Length | Table Name   |
|-----------------------|------------------------|----------|--------|--------------|
| TRANSACTIONCUTOFFTIME | Transaction-cutofftime | DATE     | 7      | TPRUPLOADTBL |
| ENFORCEHOLDINGPERIOD  | Enforceholdingperiod   | NUMBER   | 1      | TPRUPLOADTBL |
| APPLYENTRYTIMELOAD    | Applyentry-timeload    | NUMBER   | 1      | TPRUPLOADTBL |
| IPOMAXISSUESIZE       | Ipomax-issuesize       | NUMBER   | 27     | TPRUPLOADTBL |
| FLUCTUATIONALLOWED    | Fluctuation-allowed    | NUMBER   | 5      | TPRUPLOADTBL |
| TRANSACTIONSUSPENDED  | Transaction-suspended  | NUMBER   | 1      | TPRUPLOADTBL |
| MINSIHOLDINGAMOUNT    | Minsi-holdingamount    | NUMBER   | 30     | TPRUPLOADTBL |
| MINSIHOLDINGUNITS     | Minsiholdingunits      | NUMBER   | 27     | TPRUPLOADTBL |
| MINSIHOLDINGAPPLYFOR  | Minsiholdingapplyfor   | VARCHAR2 | 1      | TPRUPLOADTBL |
| MINSIHOLDINGINDICATOR | Minsiholdingindicator  | VARCHAR2 | 1      | TPRUPLOADTBL |
| PRICELAGCALENDARBASIS | Pricelagcalendarbasis  | VARCHAR2 | 1      | TPRUPLOADTBL |
| ALLOCLAGCALENDARBASIS | Alloclagcalendarbasis  | VARCHAR2 | 1      | TPRUPLOADTBL |
| SIDESCRIPTION         | Sidescription          | VARCHAR2 | 255    | TPRUPLOADTBL |
| SIHOLIDAYRULE         | Siholidayrule          | VARCHAR2 | 1      | TPRUPLOADTBL |
| SICALENDERBASIS       | Sicalenderbasis        | VARCHAR2 | 1      | TPRUPLOADTBL |
| SIGENDAY              | Sigenday               | VARCHAR2 | 3      | TPRUPLOADTBL |

| Field name                                 | Description                                | Datatype | Length | Table Name   |
|--|--|----------|--------|--------------|
| SIFRE-<br>QUENCY                           | Sifrequency                                | VARCHAR2 | 1      | TPRUPLOADTBL |
| SIGENCY-<br>CLE                            | Sigencycle                                 | VARCHAR2 | 1      | TPRUPLOADTBL |
| SICUTOFF-<br>DAY                           | Sicutoffday                                | NUMBER   | 22     | TPRUPLOADTBL |
| SICUT-<br>OFFLAG                           | Sicutofflag                                | NUMBER   | 22     | TPRUPLOADTBL |
| ENFORCE-<br>SIRULE                         | Enforcesir-<br>ule                         | NUMBER   | 22     | TPRUPLOADTBL |
| PAYMENT-<br>LAGCALEN-<br>DARBASIS          | Paymentlag-<br>calendarba-<br>sis          | VARCHAR2 | 1      | TPRUPLOADTBL |
| LINKTOUN-<br>SETTLEDIN-<br>FLOWS           | Linktounset-<br>tledinflows                | NUMBER   | 1      | TPRUPLOADTBL |
| PSEU-<br>DOSWITCH-<br>LAG                  | Pseu-<br>doswitchlag                       | NUMBER   | 22     | TPRUPLOADTBL |
| ALLOWSYS-<br>TEMAT-<br>ICPLANS             | Allowsys-<br>tematicplans                  | NUMBER   | 1      | TPRUPLOADTBL |
| MAXINI-<br>TIALTX-<br>NAMOUNT              | Maxinitialtx-<br>namount                   | NUMBER   | 30     | TPRUPLOADTBL |
| MAXINI-<br>TIALTXN-<br>UNITS               | Maxinitialtx-<br>nunits                    | NUMBER   | 27     | TPRUPLOADTBL |
| PSEU-<br>DOSWITCH-<br>LAGCALEN-<br>ARBASIS | Pseu-<br>doswitchlag-<br>calendarbasi<br>s | VARCHAR2 | 1      | TPRUPLOADTBL |
| FULLOUT-<br>FLOW                           | Fulloutflow                                | NUMBER   | 1      | TPRUPLOADTBL |
| MAXNOOFS-<br>ITRANSAC-<br>TIONS            | Maxnoofsi-<br>transactions                 | NUMBER   | 4      | TPRUPLOADTBL |
| MAXVALID-<br>ITY                           | Maxvalidity                                | NUMBER   | 4      | TPRUPLOADTBL |
| SILEADTIME                                 | Sileadtime                                 | NUMBER   | 22     | TPRUPLOADTBL |

| Field name                | Description               | Datatype | Length | Table Name   |
|---------------------------|---------------------------|----------|--------|--------------|
| APPLICABLEACCOUNTTYPES    | Applicable-account-types  | VARCHAR2 | 255    | TPRUPLOADTBL |
| INFLOW-OVERRIDEABLEMSG    | Inflowoverrideablemsg     | NUMBER   | 1      | TPRUPLOADTBL |
| MINMATURITYPERIOD         | Minmaturityperiod         | NUMBER   | 4      | TPRUPLOADTBL |
| MAXMATURITYPERIOD         | Maxmaturityperiod         | NUMBER   | 4      | TPRUPLOADTBL |
| FUTURE-DATELIMIT          | Future-datelimit          | NUMBER   | 22     | TPRUPLOADTBL |
| UHCALENDARBASIS           | Uhcalendar-basis          | VARCHAR2 | 1      | TPRUPLOADTBL |
| NOOFDECIMALSFORUNITS      | Noofdecimalsforunits      | NUMBER   | 22     | TPRUPLOADTBL |
| NOTICEPERIODDATEBASIS     | Noticeperioddatebasis     | VARCHAR2 | 1      | TPRUPLOADTBL |
| NOTICEPERIOD              | Noticeperiod              | NUMBER   | 3      | TPRUPLOADTBL |
| SIAUTOTERMINATION-COUNTER | Siautotermination-counter | NUMBER   | 3      | TPRUPLOADTBL |
| THRESHOLDAMOUNT           | Thresholdamount           | NUMBER   | 30     | TPRUPLOADTBL |
| CONSSIAUTOCOUNTER         | Conssiauto-counter        | NUMBER   | 3      | TPRUPLOADTBL |
| MAXSITXNSALLOWED          | Maxsitxnsallowed          | NUMBER   | 3      | TPRUPLOADTBL |
| STARTTIME                 | Starttime                 | VARCHAR2 | 8      | TPRUPLOADTBL |
| ENDTIME                   | Endtime                   | VARCHAR2 | 8      | TPRUPLOADTBL |
| CUTOFF-TIME               | Cutofftime                | VARCHAR2 | 8      | TPRUPLOADTBL |
| GOCUT-OFFLAG              | Gocutofflag               | VARCHAR2 | 8      | TPRUPLOADTBL |

| Field name            | Description          | Datatype | Length | Table Name         |
|-----------------------|----------------------|----------|--------|--------------------|
| GOTHRESH-OLDUNITS     | Gothreshol-dunits    | NUMBER   | 27     | TPRUPLOADTBL       |
| GOSETTLE-MENTLAG      | Gosettle-mentlag     | NUMBER   | 22     | TPRUPLOADTBL       |
| GOSET-TLAGCAL-ENDAR   | Gosettlag-calendar   | VARCHAR2 | 1      | TPRUPLOADTBL       |
| SEGMENTID             | Segmentid            | VARCHAR2 | 20     | FUNDLOADUP-LOADTBL |
| SEQUENCE-NUMBER       | Sequence-number      | NUMBER   | 22     | FUNDLOADUP-LOADTBL |
| PROCESSID             | Processid            | VARCHAR2 | 50     | FUNDLOADUP-LOADTBL |
| USERREF-ERENCE-NUMBER | Userreferen-cenumber | VARCHAR2 | 50     | FUNDLOADUP-LOADTBL |
| FUNDID                | Fundid               | VARCHAR2 | 6      | FUNDLOADUP-LOADTBL |
| RULEEF-FECTIVE-DATE   | Ruleeffec-tivedate   | DATE     | 7      | FUNDLOADUP-LOADTBL |
| TRANSAC-TIONTYPE      | Transaction-type     | VARCHAR2 | 2      | FUNDLOADUP-LOADTBL |
| LOADID                | Loadid               | NUMBER   | 5      | FUNDLOADUP-LOADTBL |
| LOADTO-PRICE          | Loadtoprice          | NUMBER   | 22     | FUNDLOADUP-LOADTBL |
| FROMENTI-TYTYPE       | Fromentity-type      | VARCHAR2 | 1      | FUNDLOADUP-LOADTBL |
| TOENTITY-TYPE         | Toentitytype         | VARCHAR2 | 1      | FUNDLOADUP-LOADTBL |
| PROCESS-INGFREQ       | Processing-freq      | VARCHAR2 | 1      | FUNDLOADUP-LOADTBL |
| GLAC-COUNT-CODE       | Glaccount-code       | VARCHAR2 | 10     | FUNDLOADUP-LOADTBL |
| DEBITOR-CREDIT        | Debitorcredit        | VARCHAR2 | 1      | FUNDLOADUP-LOADTBL |

| Field name               | Description              | Datatype | Length | Table Name         |
|--------------------------|--------------------------|----------|--------|--------------------|
| CONTRAAAC-COUNT-CODE     | Contraac-countcode       | VARCHAR2 | 10     | FUNDLOADUP-LOADTBL |
| NOOFDECIMALSFORLOAD      | Noofdecimalsforload      | NUMBER   | 1      | FUNDLOADUP-LOADTBL |
| ROUND-OFFTRUNCATEFORLOAD | Round-offtruncateforload | VARCHAR2 | 1      | FUNDLOADUP-LOADTBL |
| MANDATORY                | Mandatory                | VARCHAR2 | 1      | FUNDLOADUP-LOADTBL |
| PRIORITYNO               | Priorityno               | NUMBER   | 22     | FUNDLOADUP-LOADTBL |
| LOADVATTOPRICE           | Loadvatto-price          | NUMBER   | 22     | FUNDLOADUP-LOADTBL |
| APPLYRETVALON            | Applyretvalon            | VARCHAR2 | 1      | FUNDLOADUP-LOADTBL |
| SENDFORGLINTERFACE       | Sendforglinterface       | NUMBER   | 1      | FUNDLOADUP-LOADTBL |
| REFTYPE                  | Reftype                  | VARCHAR2 | 4      | FUNDLOADUP-LOADTBL |
| DIFFERENTIALFEE          | Differentialfee          | NUMBER   | 1      | FUNDLOADUP-LOADTBL |
| SLABBASIS                | Slabbasis                | VARCHAR2 | 1      | FUNDLOADUP-LOADTBL |
| PRICEADDIN               | Priceaddin               | NUMBER   | 1      | FUNDLOADUP-LOADTBL |
| GROUPID                  | Groupid                  | VARCHAR2 | 6      | FUNDLOADUP-LOADTBL |
| INDEXATIONONCGT          | Indexationoncg           | VARCHAR2 | 1      | FUNDLOADUP-LOADTBL |
| LEVELID                  | Levelid                  | NUMBER   | 22     | FUNDLOADUP-LOADTBL |
| DEPENDENTLEVEL           | Dependentlevel           | NUMBER   | 22     | FUNDLOADUP-LOADTBL |
| FDAPINCOME               | Fdapincome               | NUMBER   | 1      | FUNDLOADUP-LOADTBL |

## 2.62 Fund Price Export

| Field name            | Description          | Datatype | Length | Table Name     |
|-----------------------|----------------------|----------|--------|----------------|
| SEQUENCE-NUMBER       | Sequence Number      | NUMBER   | 10     | PHFUNDPRICETBL |
| PROCESSID             | Process ID           | VARCHAR2 | 50     | PHFUNDPRICETBL |
| BULKCLIENTCODE        | Bulk Client Code     | VARCHAR2 | 12     | PHFUNDPRICETBL |
| UPLOAD-MODE           | Upload Mode          | VARCHAR2 | 1      | PHFUNDPRICETBL |
| USERREFERENCE-NUMBER  | UserReference Number | VARCHAR2 | 16     | PHFUNDPRICETBL |
| FUNDID                | Fund ID              | VARCHAR2 | 6      | PHFUNDPRICETBL |
| TRANSACTIONTYPE       | Transaction Type     | VARCHAR2 | 2      | PHFUNDPRICETBL |
| TRANSACTIONBASE-PRICE | Txn Base Price       | VARCHAR2 | 20     | PHFUNDPRICETBL |

## 2.63 Investment Account Export

This section contains the following topics:

- [Section 2.63.1, "Segment Identification Number"](#)
- [Section 2.63.2, "Investment Account Export"](#)

### 2.63.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 00PAR                         | This segment is used to provide party master investment upload details               |
| 03ADR                         | This segment is used to provide party contact investment upload details              |
| 04IDD                         | This segment is used to provide party ID investment upload detail                    |
| 05IAC                         | This segment is used to provide investment master export upload detail               |
| 06INT                         | This segment is used to provide investment account intermediary export upload detail |
| 07IBK                         | This segment is used to provide investment party bank upload detail                  |
| 08IBF                         | This segment is used to provide investment account beneficiary upload detail         |

### 2.63.2 Investment Account Export

| Field name          | Description         | Datatype | Length | Table Name                 |
|---------------------|---------------------|----------|--------|----------------------------|
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PATB_PARTYMASTERINVEEXPORT |
| SEQUENCE-NUMBER     | Sequence-number     | NUMBER   | 10     | PATB_PARTYMASTERINVEEXPORT |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PATB_PARTYMASTERINVEEXPORT |
| BULKCLIENT-CODE     | Bulkclient-code     | VARCHAR2 | 12     | PATB_PARTYMASTERINVEEXPORT |
| UPLOAD-MODE         | Uploadmode          | VARCHAR2 | 1      | PATB_PARTYMASTERINVEEXPORT |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PATB_PARTYMASTERINVEEXPORT |
| PARTYID             | Partyid             | VARCHAR2 | 12     | PATB_PARTYMASTERINVEEXPORT |
| PARTYTYPE           | Partytype           | VARCHAR2 | 3      | PATB_PARTYMASTERINVEEXPORT |
| TITLE               | Title               | VARCHAR2 | 15     | PATB_PARTYMASTERINVEEXPORT |



| Field name          | Description         | Datatype | Length | Table Name                 |
|---------------------|---------------------|----------|--------|----------------------------|
| FIRSTNAME           | Firstname           | VARCHAR2 | 100    | PATB_PARTYMASTERINVEEXPORT |
| MIDDLENAME          | Middlename          | VARCHAR2 | 100    | PATB_PARTYMASTERINVEEXPORT |
| LASTNAME            | Lastname            | VARCHAR2 | 100    | PATB_PARTYMASTERINVEEXPORT |
| REGISTEREDNAME      | Registeredname      | VARCHAR2 | 100    | PATB_PARTYMASTERINVEEXPORT |
| DATEOFBIRTH         | Dateofbirth         | DATE     | 7      | PATB_PARTYMASTERINVEEXPORT |
| DATEOFINCORPORATION | Dateofincorporation | DATE     | 7      | PATB_PARTYMASTERINVEEXPORT |
| MARITALSTATUS       | Maritalstatus       | VARCHAR2 | 1      | PATB_PARTYMASTERINVEEXPORT |
| OCCUPATION          | Occupation          | VARCHAR2 | 3      | PATB_PARTYMASTERINVEEXPORT |
| GENDER              | Gender              | VARCHAR2 | 1      | PATB_PARTYMASTERINVEEXPORT |
| PREFERRED-CURRENCY  | Preferredcurrency   | VARCHAR2 | 3      | PATB_PARTYMASTERINVEEXPORT |
| MINOR               | Minor               | NUMBER   | 1      | PATB_PARTYMASTERINVEEXPORT |
| NATIONALITY         | Nationality         | VARCHAR2 | 3      | PATB_PARTYMASTERINVEEXPORT |
| COUNTRYOFDOMICILE   | Countryof-domicile  | VARCHAR2 | 3      | PATB_PARTYMASTERINVEEXPORT |
| COUNTRYOFBIRTH      | Countryof-birth     | VARCHAR2 | 3      | PATB_PARTYMASTERINVEEXPORT |
| DUALNATIONALITY     | Dualnationality     | VARCHAR2 | 3      | PATB_PARTYMASTERINVEEXPORT |
| SUBSTANTIALSTAYINUS | Substantialstayinus | NUMBER   | 1      | PATB_PARTYMASTERINVEEXPORT |
| HEALTHSTATUS        | Healthstatus        | VARCHAR2 | 1      | PATB_PARTYMASTERINVEEXPORT |
| PROTECTIONLEVEL     | Protection-level    | VARCHAR2 | 1      | PATB_PARTYMASTERINVEEXPORT |

| Field name                | Description               | Datatype | Length | Table Name                 |
|---------------------------|---------------------------|----------|--------|----------------------------|
| FIRSTAUTHORIZEDSIGNATORY  | Firstauthorizedsignatory  | VARCHAR2 | 100    | PATB_PARTYMASTERINVEEXPORT |
| SECONDAUTHORIZEDSIGNATORY | Secondauthorizedsignatory | VARCHAR2 | 100    | PATB_PARTYMASTERINVEEXPORT |
| THIRDAUTHORIZEDSIGNATORY  | Thirdauthorizedsignatory  | VARCHAR2 | 100    | PATB_PARTYMASTERINVEEXPORT |
| CORPORATIONTYPE           | Corporationtype           | VARCHAR2 | 3      | PATB_PARTYMASTERINVEEXPORT |
| MINNOFCORPORATESIGNATORY  | Minnoofcorporatesignatory | NUMBER   | 22     | PATB_PARTYMASTERINVEEXPORT |
| INCORPORATIONCOUNTRY      | Incorporationcountry      | VARCHAR2 | 3      | PATB_PARTYMASTERINVEEXPORT |
| MODULEID                  | Moduleid                  | VARCHAR2 | 30     | PATB_PARTYMASTERINVEEXPORT |
| MAKER_ID                  | Maker_Id                  | VARCHAR2 | 15     | PATB_PARTYMASTERINVEEXPORT |
| MAKER_DT_STAMP            | Maker_Dt_Stamp            | DATE     | 7      | PATB_PARTYMASTERINVEEXPORT |
| CHECKER_ID                | Checker_Id                | VARCHAR2 | 15     | PATB_PARTYMASTERINVEEXPORT |
| CHECKER_DT_STAMP          | Checker_Dt_Stamp          | DATE     | 7      | PATB_PARTYMASTERINVEEXPORT |
| AUTH_STAT                 | Auth_Stat                 | VARCHAR2 | 1      | PATB_PARTYMASTERINVEEXPORT |
| ONCE_AUTH                 | Once_Auth                 | VARCHAR2 | 1      | PATB_PARTYMASTERINVEEXPORT |
| RECORD_STAT               | Record_Stat               | VARCHAR2 | 1      | PATB_PARTYMASTERINVEEXPORT |
| MOD_NO                    | Mod_No                    | NUMBER   | 22     | PATB_PARTYMASTERINVEEXPORT |
| FATHER_SPOUSENAME         | Father-spousename         | VARCHAR2 | 105    | PATB_PARTYMASTERINVEEXPORT |

| Field name          | Description         | Datatype | Length | Table Name                 |
|---------------------|---------------------|----------|--------|----------------------------|
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PATB_PARTYCONTACTINVEXPORT |
| SEQUENCE-NUMBER     | Sequence-number     | NUMBER   | 10     | PATB_PARTYCONTACTINVEXPORT |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PATB_PARTYCONTACTINVEXPORT |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PATB_PARTYCONTACTINVEXPORT |
| PARTYID             | Partyid             | VARCHAR2 | 12     | PATB_PARTYCONTACTINVEXPORT |
| CONTACTREFNO        | Contactrefno        | VARCHAR2 | 16     | PATB_PARTYCONTACTINVEXPORT |
| ADDRESSTYPE         | Addresstype         | VARCHAR2 | 2      | PATB_PARTYCONTACTINVEXPORT |
| ADDRESSLINE1        | Addressline1        | VARCHAR2 | 100    | PATB_PARTYCONTACTINVEXPORT |
| ADDRESSLINE2        | Addressline2        | VARCHAR2 | 100    | PATB_PARTYCONTACTINVEXPORT |
| ADDRESSLINE3        | Addressline3        | VARCHAR2 | 100    | PATB_PARTYCONTACTINVEXPORT |
| POSTBOXNO           | Postboxno           | VARCHAR2 | 16     | PATB_PARTYCONTACTINVEXPORT |
| CITY                | City                | VARCHAR2 | 80     | PATB_PARTYCONTACTINVEXPORT |
| STATE               | State               | VARCHAR2 | 80     | PATB_PARTYCONTACTINVEXPORT |
| COUNTRY             | Country             | VARCHAR2 | 3      | PATB_PARTYCONTACTINVEXPORT |
| ZIPCODE             | Zipcode             | VARCHAR2 | 10     | PATB_PARTYCONTACTINVEXPORT |
| BICCODE             | Biccode             | VARCHAR2 | 16     | PATB_PARTYCONTACTINVEXPORT |
| WEBSITE             | Website             | VARCHAR2 | 100    | PATB_PARTYCONTACTINVEXPORT |
| EMAILADDRESS        | Emailaddress        | VARCHAR2 | 100    | PATB_PARTYCONTACTINVEXPORT |
| EFFECTIVEFROMDATE   | Effectivefrom-date  | DATE     | 7      | PATB_PARTYCONTACTINVEXPORT |

| Field name                 | Description               | Datatype | Length | Table Name                  |
|----------------------------|---------------------------|----------|--------|-----------------------------|
| EFFEC-TIVETODATE           | Effectiveto-date          | DATE     | 7      | PATB_PARTYCON-TACTINVEXPORT |
| PRIMARY-CONTACT-PERSON     | Primarycon-tactperson     | VARCHAR2 | 100    | PATB_PARTYCON-TACTINVEXPORT |
| PRIMARYIN-TERNDIAL-CODE1   | Primaryin-terndialcode1   | VARCHAR2 | 10     | PATB_PARTYCON-TACTINVEXPORT |
| PRIMARYIN-TERNDIAL-CODE2   | Primaryin-terndialcode2   | VARCHAR2 | 10     | PATB_PARTYCON-TACTINVEXPORT |
| PRIMARYIN-TERNDIAL-CODE3   | Primaryin-terndialcode3   | VARCHAR2 | 10     | PATB_PARTYCON-TACTINVEXPORT |
| PRIMARYIN-TERNDIAL-CODE4   | Primaryin-terndialcode4   | VARCHAR2 | 10     | PATB_PARTYCON-TACTINVEXPORT |
| PRIMARYPO-SITION           | Primaryposi-tion          | VARCHAR2 | 60     | PATB_PARTYCON-TACTINVEXPORT |
| PRIMARYMO-BILENUM-BER1     | Primarymo-bilenumber1     | VARCHAR2 | 60     | PATB_PARTYCON-TACTINVEXPORT |
| PRIMARYMO-BILENUM-BER2     | Primarymo-bilenumber2     | VARCHAR2 | 60     | PATB_PARTYCON-TACTINVEXPORT |
| PRIMARYFIX-EDLINE          | Primaryfixed-line         | VARCHAR2 | 60     | PATB_PARTYCON-TACTINVEXPORT |
| FAX                        | Fax                       | VARCHAR2 | 120    | PATB_PARTYCON-TACTINVEXPORT |
| PRIMARY-CONTACTIN-STRN     | Primarycon-tactinstrn     | VARCHAR2 | 100    | PATB_PARTYCON-TACTINVEXPORT |
| PRIMA-RYEXTN               | Primaryextn               | VARCHAR2 | 60     | PATB_PARTYCON-TACTINVEXPORT |
| SECONDARY-CONTACT-PERSON   | Secondary-contactper-son  | VARCHAR2 | 100    | PATB_PARTYCON-TACTINVEXPORT |
| SECONDARY-INTERNDIAL-CODE1 | Secondaryin-terndialcode1 | VARCHAR2 | 10     | PATB_PARTYCON-TACTINVEXPORT |

| Field name                 | Description              | Datatype | Length | Table Name                 |
|----------------------------|--------------------------|----------|--------|----------------------------|
| SECONDARY-INTERNDIAL-CODE2 | Secondaryinterndialcode2 | VARCHAR2 | 10     | PATB_PARTYCONTACTINVEXPORT |
| SECONDARY-POSITION         | Secondaryposition        | VARCHAR2 | 60     | PATB_PARTYCONTACTINVEXPORT |
| SECONDARY-MOBILENUMBER     | Secondarymobilenumber    | VARCHAR2 | 60     | PATB_PARTYCONTACTINVEXPORT |
| SECONDARY-FIXEDLINE        | Secondaryfixedline       | VARCHAR2 | 60     | PATB_PARTYCONTACTINVEXPORT |
| SECONDARY-CONTACTINSTRN    | Secondarycontactinstrn   | VARCHAR2 | 105    | PATB_PARTYCONTACTINVEXPORT |
| SECONDARYEXTN              | Secondaryextn            | VARCHAR2 | 60     | PATB_PARTYCONTACTINVEXPORT |
| MODULEID                   | Moduleid                 | VARCHAR2 | 30     | PATB_PARTYCONTACTINVEXPORT |
| SEGMENTID                  | Segmentid                | VARCHAR2 | 20     | PATB_PARTYIDINVEXPORT      |
| SEQUENCE-NUMBER            | Sequence-number          | NUMBER   | 10     | PATB_PARTYIDINVEXPORT      |
| PROCESSID                  | Processid                | VARCHAR2 | 50     | PATB_PARTYIDINVEXPORT      |
| USERREFERENCENUMBER        | Userreferencenumber      | VARCHAR2 | 16     | PATB_PARTYIDINVEXPORT      |
| PARTYID                    | Partyid                  | VARCHAR2 | 12     | PATB_PARTYIDINVEXPORT      |
| IDREFERENCENO              | Idreferenceno            | VARCHAR2 | 16     | PATB_PARTYIDINVEXPORT      |
| IDENTIFICATIONTYPE         | Identification-type      | VARCHAR2 | 20     | PATB_PARTYIDINVEXPORT      |
| IDENTIFICATIONNUMBER       | Identification-number    | VARCHAR2 | 50     | PATB_PARTYIDINVEXPORT      |
| PLACEOFISSUE               | Placeofissue             | VARCHAR2 | 3      | PATB_PARTYIDINVEXPORT      |
| ISSUEAUTHORITY             | Issueauthority           | VARCHAR2 | 50     | PATB_PARTYIDINVEXPORT      |

| Field name          | Description          | Datatype | Length | Table Name                  |
|---------------------|----------------------|----------|--------|-----------------------------|
| ISSUECOUNTRY        | Issuecountry         | VARCHAR2 | 3      | PATB_PARTYIDINV-EXPORT      |
| DATEOFISSUE         | Dateofissue          | DATE     | 7      | PATB_PARTYIDINV-EXPORT      |
| DATEOFEXPIRY        | Dateofexpiry         | DATE     | 7      | PATB_PARTYIDINV-EXPORT      |
| LASTVERIFIEDDATE    | Lastverified-date    | DATE     | 7      | PATB_PARTYIDINV-EXPORT      |
| VERIFICATIONSTATUS  | Verification-status  | VARCHAR2 | 1      | PATB_PARTYIDINV-EXPORT      |
| MODULEID            | Moduleid             | VARCHAR2 | 30     | PATB_PARTYIDINV-EXPORT      |
| SEGMENTID           | Segmentid            | VARCHAR2 | 20     | PATB_INVACCMAS-TERINVEXPORT |
| SEQUENCE-NUMBER     | Sequence-number      | NUMBER   | 10     | PATB_INVACCMAS-TERINVEXPORT |
| PROCESSID           | Processid            | VARCHAR2 | 50     | PATB_INVACCMAS-TERINVEXPORT |
| USERREFERENCENUMBER | Userreferen-cenumber | VARCHAR2 | 16     | PATB_INVACCMAS-TERINVEXPORT |
| ACCOUNTID           | Accountid            | VARCHAR2 | 16     | PATB_INVACCMAS-TERINVEXPORT |
| ACOPERATIONTYPE     | Acooperation-type    | VARCHAR2 | 1      | PATB_INVACCMAS-TERINVEXPORT |
| DEALING-TYPE        | Dealingtype          | VARCHAR2 | 3      | PATB_INVACCMAS-TERINVEXPORT |
| GUARDIANID          | Guardianid           | VARCHAR2 | 12     | PATB_INVACCMAS-TERINVEXPORT |
| PARTYID             | Partyid              | VARCHAR2 | 12     | PATB_INVACCMAS-TERINVEXPORT |
| INVESTOR-CATEGORY   | Investorcate-gory    | VARCHAR2 | 2      | PATB_INVACCMAS-TERINVEXPORT |
| ACOPENING-DATE      | Acopening-date       | DATE     | 7      | PATB_INVACCMAS-TERINVEXPORT |
| ACCOUNTREFNO        | Accountrefno         | VARCHAR2 | 16     | PATB_INVACCMAS-TERINVEXPORT |
| MODULEID            | Moduleid             | VARCHAR2 | 30     | PATB_INVACCMAS-TERINVEXPORT |

| Field name          | Description         | Datatype | Length | Table Name                      |
|---------------------|---------------------|----------|--------|---------------------------------|
| AUTH_STAT           | Auth_Stat           | VARCHAR2 | 1      | PATB_INVACCMAS-<br>TERINVEXPORT |
| ONCE_AUTH           | Once_Auth           | VARCHAR2 | 1      | PATB_INVACCMAS-<br>TERINVEXPORT |
| RECORD_STAT         | Record_Stat         | VARCHAR2 | 1      | PATB_INVACCMAS-<br>TERINVEXPORT |
| MOD_NO              | Mod_No              | NUMBER   | 22     | PATB_INVACCMAS-<br>TERINVEXPORT |
| MAKER_ID            | Maker_Id            | VARCHAR2 | 15     | PATB_INVACCMAS-<br>TERINVEXPORT |
| MAKER_DT_STAMP      | Maker_Dt_Stamp      | DATE     | 7      | PATB_INVACCMAS-<br>TERINVEXPORT |
| CHECKER_ID          | Checker_Id          | VARCHAR2 | 15     | PATB_INVACCMAS-<br>TERINVEXPORT |
| CHECKER_DT_STAMP    | Checker_Dt_Stamp    | DATE     | 7      | PATB_INVACCMAS-<br>TERINVEXPORT |
| ACCOUNT-STATUS      | Accountstatus       | VARCHAR2 | 1      | PATB_INVACCMAS-<br>TERINVEXPORT |
| PENSIONACCOUNT      | Pensionaccount      | VARCHAR2 | 1      | PATB_INVACCMAS-<br>TERINVEXPORT |
| ACCOUNT-CLOSED      | Account-closed      | VARCHAR2 | 1      | PATB_INVACCMAS-<br>TERINVEXPORT |
| CHANGE-DATE         | Changedata          | DATE     | 7      | PATB_INVACCMAS-<br>TERINVEXPORT |
| CHANGEREASON        | Changereason        | VARCHAR2 | 255    | PATB_INVACCMAS-<br>TERINVEXPORT |
| CHANGEREFERENCENO   | Changereferenceno   | VARCHAR2 | 16     | PATB_INVACCMAS-<br>TERINVEXPORT |
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PATB_INVACCIN-<br>TERINVEXPORT  |
| SEQUENCE-NUMBER     | Sequence-number     | NUMBER   | 10     | PATB_INVACCIN-<br>TERINVEXPORT  |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PATB_INVACCIN-<br>TERINVEXPORT  |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PATB_INVACCIN-<br>TERINVEXPORT  |

| Field name                      | Description                   | Datatype | Length | Table Name                     |
|---------------------------------|-------------------------------|----------|--------|--------------------------------|
| ACCOUNTID                       | Accountid                     | VARCHAR2 | 16     | PATB_INVACCIN-<br>TERINVEXPORT |
| INTERMEDI-<br>ARYID             | Intermedi-<br>aryid           | VARCHAR2 | 12     | PATB_INVACCIN-<br>TERINVEXPORT |
| SPLITPER-<br>CENTAGE            | Splitpercent-<br>age          | NUMBER   | 5      | PATB_INVACCIN-<br>TERINVEXPORT |
| DEFAULT-<br>FLAG                | Defaultflag                   | VARCHAR2 | 1      | PATB_INVACCIN-<br>TERINVEXPORT |
| SEGMENTID                       | Segmentid                     | VARCHAR2 | 20     | PATB_PARTYBANK-<br>INVEXPORT   |
| SEQUENCE-<br>NUMBER             | Sequence-<br>number           | NUMBER   | 10     | PATB_PARTYBANK-<br>INVEXPORT   |
| PROCESSID                       | Processid                     | VARCHAR2 | 50     | PATB_PARTYBANK-<br>INVEXPORT   |
| USERREFER-<br>ENCENUM-<br>BER   | Userreferen-<br>cenum-<br>ber | VARCHAR2 | 16     | PATB_PARTYBANK-<br>INVEXPORT   |
| ACCOUNTID                       | Accountid                     | VARCHAR2 | 16     | PATB_PARTYBANK-<br>INVEXPORT   |
| BANKREFER-<br>ENCENO            | Bankreferen-<br>ceno          | VARCHAR2 | 16     | PATB_PARTYBANK-<br>INVEXPORT   |
| DIRECTDEB-<br>ITAPPLICA-<br>BLE | Directdebitap-<br>plicable    | VARCHAR2 | 1      | PATB_PARTYBANK-<br>INVEXPORT   |
| DEFAULT-<br>FLAG                | Defaultflag                   | VARCHAR2 | 1      | PATB_PARTYBANK-<br>INVEXPORT   |
| ACCOUNTHO-<br>LDERNAME          | Accounthold-<br>ername        | VARCHAR2 | 100    | PATB_PARTYBANK-<br>INVEXPORT   |
| ACCOUNT-<br>TYPE                | Accounttype                   | VARCHAR2 | 1      | PATB_PARTYBANK-<br>INVEXPORT   |
| ACCOUNT-<br>CURRENCY            | Accountcur-<br>rency          | VARCHAR2 | 3      | PATB_PARTYBANK-<br>INVEXPORT   |
| BANKCODE                        | Bankcode                      | VARCHAR2 | 12     | PATB_PARTYBANK-<br>INVEXPORT   |
| BRANCH-<br>CODE                 | Branchcode                    | VARCHAR2 | 12     | PATB_PARTYBANK-<br>INVEXPORT   |
| ACCOUNT-<br>NUMBER              | Accountnum-<br>ber            | VARCHAR2 | 20     | PATB_PARTYBANK-<br>INVEXPORT   |
| IBAN                            | Iban                          | VARCHAR2 | 40     | PATB_PARTYBANK-<br>INVEXPORT   |



| Field name          | Description         | Datatype | Length | Table Name                   |
|---------------------|---------------------|----------|--------|------------------------------|
| VERIFICATIONSTATUS  | Verification-status | VARCHAR2 | 1      | PATB_PARTYBANK-INVEXPORT     |
| LASTVERIFIEDDATE    | Lastverified-date   | DATE     | 7      | PATB_PARTYBANK-INVEXPORT     |
| MODULEID            | Moduleid            | VARCHAR2 | 30     | PATB_PARTYBANK-INVEXPORT     |
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PATB_INVESTAC-CBENFINVEXPORT |
| SEQUENCE-NUMBER     | Sequence-number     | NUMBER   | 10     | PATB_INVESTAC-CBENFINVEXPORT |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PATB_INVESTAC-CBENFINVEXPORT |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 16     | PATB_INVESTAC-CBENFINVEXPORT |
| ACCOUNTID           | Accountid           | VARCHAR2 | 16     | PATB_INVESTAC-CBENFINVEXPORT |
| BENEFICIARYTYPE     | Beneficiary-type    | VARCHAR2 | 2      | PATB_INVESTAC-CBENFINVEXPORT |
| BENFPARTYID         | Benfpartyid         | VARCHAR2 | 16     | PATB_INVESTAC-CBENFINVEXPORT |
| AWARDPERCENTAGE     | Awardpercentage     | NUMBER   | 5      | PATB_INVESTAC-CBENFINVEXPORT |
| BENFPARTYNAME       | Benfpartyname       | VARCHAR2 | 100    | PATB_INVESTAC-CBENFINVEXPORT |
| DATEOFBIRTH         | Dateofbirth         | DATE     | 7      | PATB_INVESTAC-CBENFINVEXPORT |
| COUNTRYOFBIRTH      | Countryofbirth      | VARCHAR2 | 3      | PATB_INVESTAC-CBENFINVEXPORT |
| COUNTRYOFDOMICILE   | Countryofdomicile   | VARCHAR2 | 3      | PATB_INVESTAC-CBENFINVEXPORT |
| GENDER              | Gender              | VARCHAR2 | 1      | PATB_INVESTAC-CBENFINVEXPORT |
| BENFIDTYPE          | Benfidtype          | VARCHAR2 | 20     | PATB_INVESTAC-CBENFINVEXPORT |
| BENFIDNUMBER        | Benfidnumber        | VARCHAR2 | 50     | PATB_INVESTAC-CBENFINVEXPORT |
| RELATIONSHIP        | Relationship        | VARCHAR2 | 70     | PATB_INVESTAC-CBENFINVEXPORT |

| Field name           | Description          | Datatype | Length | Table Name                   |
|----------------------|----------------------|----------|--------|------------------------------|
| ADDRESS-LINE1        | Addressline1         | VARCHAR2 | 100    | PATB_INVESTAC-CBENFINVEXPORT |
| ADDRESS-LINE2        | Addressline2         | VARCHAR2 | 100    | PATB_INVESTAC-CBENFINVEXPORT |
| CITY                 | City                 | VARCHAR2 | 80     | PATB_INVESTAC-CBENFINVEXPORT |
| STATE                | State                | VARCHAR2 | 80     | PATB_INVESTAC-CBENFINVEXPORT |
| COUNTRY              | Country              | VARCHAR2 | 3      | PATB_INVESTAC-CBENFINVEXPORT |
| ZIPCODE              | Zipcode              | VARCHAR2 | 10     | PATB_INVESTAC-CBENFINVEXPORT |
| EMAIL                | Email                | VARCHAR2 | 100    | PATB_INVESTAC-CBENFINVEXPORT |
| PRIMARYMOBILENUMBER1 | Primarymobilenumber1 | VARCHAR2 | 60     | PATB_INVESTAC-CBENFINVEXPORT |
| PRIMARYMOBILENUMBER2 | Primarymobilenumber2 | VARCHAR2 | 60     | PATB_INVESTAC-CBENFINVEXPORT |
| PRIMARYFIXEDLINE     | Primaryfixed-line    | VARCHAR2 | 60     | PATB_INVESTAC-CBENFINVEXPORT |
| FAX                  | Fax                  | VARCHAR2 | 120    | PATB_INVESTAC-CBENFINVEXPORT |
| CONTACTPERSON        | Contactperson        | VARCHAR2 | 100    | PATB_INVESTAC-CBENFINVEXPORT |
| BANK                 | Bank                 | VARCHAR2 | 12     | PATB_INVESTAC-CBENFINVEXPORT |
| BRANCH               | Branch               | VARCHAR2 | 12     | PATB_INVESTAC-CBENFINVEXPORT |
| ACCOUNT-TYPE         | Accounttype          | VARCHAR2 | 1      | PATB_INVESTAC-CBENFINVEXPORT |
| ACCOUNT-CURRENCY     | Accountcurrency      | VARCHAR2 | 3      | PATB_INVESTAC-CBENFINVEXPORT |
| ACCOUNT-NUMBER       | Accountnumber        | VARCHAR2 | 20     | PATB_INVESTAC-CBENFINVEXPORT |
| ACCOUNT-NAME         | Accountname          | VARCHAR2 | 100    | PATB_INVESTAC-CBENFINVEXPORT |

| Field name | Description | Datatype | Length | Table Name                       |
|------------|-------------|----------|--------|----------------------------------|
| IBAN       | Iban        | VARCHAR2 | 40     | PATB_INVESTAC-<br>CBENFINVEXPORT |

## 2.64 Asset NAV Import from TA

| Field name                    | Description                | Datatype | Length | Table Name                 |
|-------------------------------|----------------------------|----------|--------|----------------------------|
| SEQUENCE-<br>NUMBER           | Sequence<br>Number         | VARCHAR2 | 10     | PATB_ASSETPRIC-<br>EUPLOAD |
| PROCESSID                     | Processi D                 | VARCHAR2 | 50     | PATB_ASSETPRIC-<br>EUPLOAD |
| BULKCLIENT-<br>CODE           | Bulk Client<br>Code        | VARCHAR2 | 12     | PATB_ASSETPRIC-<br>EUPLOAD |
| UPLOAD-<br>MODE               | Upload Mode                | VARCHAR2 | 1      | PATB_ASSETPRIC-<br>EUPLOAD |
| USERREFER-<br>ENCENUM-<br>BER | User refer-<br>ence Number | VARCHAR2 | 16     | PATB_ASSETPRIC-<br>EUPLOAD |
| FUNDID                        | Fund ID                    | VARCHAR2 | 6      | PATB_ASSETPRIC-<br>EUPLOAD |
| TRANSACTIONTYPE               | Txn Type                   | VARCHAR2 | 2      | PATB_ASSETPRIC-<br>EUPLOAD |
| TRANSACTIONBASE-<br>PRICE     | Txn Base<br>Price          | VARCHAR2 | 30     | PATB_ASSETPRIC-<br>EUPLOAD |

## 2.65 Pension Order Export

This section contains the following topics:

- [Section 2.65.1, "Segment Identification Number"](#)
- [Section 2.65.2, "Pension Order Export Upload"](#)

## 2.65.1 Segment Identification Number

| Segment Identification Number | Description   |
|-------------------------------|---|
| 01ORD                         | This segment is used to provide Pension order master upload details                   |
| 02OIN                         | This segment is used to provide Pension order instrument upload details               |
| 03OIA                         | This segment is used to provide Pension order investment asset upload detail          |
| 04OIT                         | This segment is used to provide Pension order intermediary upload detail              |
| 05OSE                         | This segment is used to provide Pension settlement upload detail                      |
| 06PMA                         | This segment is used to provide Pension party master upload detail                    |
| 07PCO                         | This segment is used to provide Pension party contact upload detail                   |
| 08PID                         | This segment is used to provide Pension party ID upload detail                        |
| 09IAM                         | This segment is used to provide Pension investment master upload detail               |
| 10IAI                         | This segment is used to provide Pension investment account intermediary upload detail |
| 11IAB                         | This segment is used to provide Pension bank upload detail                            |
| 12IBE                         | This segment is used to provide Pension investment account beneficiary upload detail  |
| 13ALO                         | This segment is used to provide Pension order allocation upload detail                |

## 2.65.2 Pension Order Export Upload

| Field name      | Description     | Datatype  | Length | Table Name          |
|-----------------|-----------------|-----------|--------|---------------------|
| SEGMENTID       | Segmentid       | VAR-CHAR2 | 20     | PATB_ORDER-TAUPLOAD |
| SEQUENCE-NUMBER | Sequencenum-ber | NUMBER    | 10     | PATB_ORDER-TAUPLOAD |
| PROCESSID       | Processid       | VAR-CHAR2 | 50     | PATB_ORDER-TAUPLOAD |
| BULKCLIENT-CODE | Bulkclientcode  | VAR-CHAR2 | 12     | PATB_ORDER-TAUPLOAD |

| Field name          | Description          | Datatype  | Length | Table Name          |
|---------------------|----------------------|-----------|--------|---------------------|
| UPLOADMODE          | Uploadmode           | VAR-CHAR2 | 1      | PATB_ORDER-TAUPLOAD |
| USERREFERENCENUMBER | Userreference-number | VAR-CHAR2 | 16     | PATB_ORDER-TAUPLOAD |
| ORDERNUMBER         | Ordernumber          | VAR-CHAR2 | 16     | PATB_ORDER-TAUPLOAD |
| REFERENCENUMBER     | Referencenumber      | VAR-CHAR2 | 16     | PATB_ORDER-TAUPLOAD |
| PARTYID             | Partyid              | VAR-CHAR2 | 12     | PATB_ORDER-TAUPLOAD |
| PORTFOLIOID         | Portfolioid          | VAR-CHAR2 | 16     | PATB_ORDER-TAUPLOAD |
| ACCOUNTID           | Accountid            | VAR-CHAR2 | 16     | PATB_ORDER-TAUPLOAD |
| ORDERCURRENCY       | Ordercurrency        | VAR-CHAR2 | 3      | PATB_ORDER-TAUPLOAD |
| ORDERMODE           | Ordermode            | VAR-CHAR2 | 1      | PATB_ORDER-TAUPLOAD |
| ORDERVALUE          | Ordervalue           | NUMBER    | 30     | PATB_ORDER-TAUPLOAD |
| INSTRUCTIONDATE     | Instructiondate      | DATE      | 7      | PATB_ORDER-TAUPLOAD |
| ORDERTYPE           | Ordertype            | VAR-CHAR2 | 3      | PATB_ORDER-TAUPLOAD |
| ORDERSUBTYPE        | Ordersubtype         | VAR-CHAR2 | 3      | PATB_ORDER-TAUPLOAD |
| PAYMENTMODE         | Paymentmode          | VAR-CHAR2 | 2      | PATB_ORDER-TAUPLOAD |
| GROSSORNET          | Grossornet           | VAR-CHAR2 | 1      | PATB_ORDER-TAUPLOAD |
| MODULEID            | Moduleid             | VAR-CHAR2 | 30     | PATB_ORDER-TAUPLOAD |
| ORDERSTATUS         | Orderstatus          | NUMBER    | 2      | PATB_ORDER-TAUPLOAD |
| ORIGINALORDNUMBER   | Originalord-number   | VAR-CHAR2 | 16     | PATB_ORDER-TAUPLOAD |
| ORIGINALREFNUMBER   | Originalrefnumber    | VAR-CHAR2 | 16     | PATB_ORDER-TAUPLOAD |

| Field name          | Description          | Datatype  | Length | Table Name                   |
|---------------------|----------------------|-----------|--------|------------------------------|
| AUTH_STAT           | Auth_Stat            | VAR-CHAR2 | 1      | PATB_ORDER-TAUPLOAD          |
| ONCE_AUTH           | Once_Auth            | VAR-CHAR2 | 1      | PATB_ORDER-TAUPLOAD          |
| RECORD_STAT         | Record_Stat          | VAR-CHAR2 | 1      | PATB_ORDER-TAUPLOAD          |
| MOD_NO              | Mod_No               | NUMBER    | 22     | PATB_ORDER-TAUPLOAD          |
| MAKER_ID            | Maker_Id             | VAR-CHAR2 | 15     | PATB_ORDER-TAUPLOAD          |
| MAKER_DT_STAMP      | Maker_Dt_Stamp       | DATE      | 7      | PATB_ORDER-TAUPLOAD          |
| CHECKER_ID          | Checker_Id           | VAR-CHAR2 | 15     | PATB_ORDER-TAUPLOAD          |
| CHECKER_DT_STAMP    | Checker_Dt_Stamp     | DATE      | 7      | PATB_ORDER-TAUPLOAD          |
| REMARKS             | Remarks              | VAR-CHAR2 | 255    | PATB_ORDER-TAUPLOAD          |
| SWIORDER-STATUS     | Swiorderstatus       | NUMBER    | 2      | PATB_ORDER-TAUPLOAD          |
| SEGMENTID           | Segmentid            | VAR-CHAR2 | 20     | PATB_ORDERIN-STRTAUPLOAD     |
| SEQUENCE-NUMBER     | Sequencenumber       | NUMBER    | 10     | PATB_ORDERIN-STRTAUPLOAD     |
| PROCESSID           | Processid            | VAR-CHAR2 | 50     | PATB_ORDERIN-STRTAUPLOAD     |
| USERREFERENCENUMBER | Userreference-number | VAR-CHAR2 | 16     | PATB_ORDERIN-STRTAUPLOAD     |
| ORDERNUMBER         | Ordernumber          | VAR-CHAR2 | 16     | PATB_ORDERIN-STRTAUPLOAD     |
| INSTRUMENTID        | Instrumentid         | VAR-CHAR2 | 16     | PATB_ORDERIN-STRTAUPLOAD     |
| SPLITPERCENTAGE     | Splitpercentage      | NUMBER    | 5      | PATB_ORDERIN-STRTAUPLOAD     |
| SEGMENTID           | Segmentid            | VAR-CHAR2 | 20     | PATB_ORDERIN-STASSETTAUPLOAD |
| SEQUENCE-NUMBER     | Sequencenumber       | NUMBER    | 10     | PATB_ORDERIN-STASSETTAUPLOAD |

| Field name           | Description          | Datatype  | Length | Table Name                       |
|----------------------|----------------------|-----------|--------|----------------------------------|
| PROCESSID            | Processid            | VAR-CHAR2 | 50     | PATB_ORDERIN-STASSETTAUP-LOAD    |
| USERREFER-ENCENUMBER | Userreference-number | VAR-CHAR2 | 16     | PATB_ORDERIN-STASSETTAUP-LOAD    |
| ORDERNUM-BER         | Ordernumber          | VAR-CHAR2 | 16     | PATB_ORDERIN-STASSETTAUP-LOAD    |
| INSTRUMEN-TID        | Instrumentid         | VAR-CHAR2 | 16     | PATB_ORDERIN-STASSETTAUP-LOAD    |
| ASSETID              | Assetid              | VAR-CHAR2 | 16     | PATB_ORDERIN-STASSETTAUP-LOAD    |
| RATIO                | Ratio                | NUMBER    | 5      | PATB_ORDERIN-STASSETTAUP-LOAD    |
| OVERRIDE             | Override             | VAR-CHAR2 | 1      | PATB_ORDERIN-STASSETTAUP-LOAD    |
| SEGMENTID            | Segmentid            | VAR-CHAR2 | 20     | PATB_ORDERIN-TERMEDIAR-YTAUPLOAD |
| SEQUENCE-NUMBER      | Sequencenum-ber      | NUMBER    | 10     | PATB_ORDERIN-TERMEDIAR-YTAUPLOAD |
| PROCESSID            | Processid            | VAR-CHAR2 | 50     | PATB_ORDERIN-TERMEDIAR-YTAUPLOAD |
| USERREFER-ENCENUMBER | Userreference-number | VAR-CHAR2 | 16     | PATB_ORDERIN-TERMEDIAR-YTAUPLOAD |
| ORDERNUM-BER         | Ordernumber          | VAR-CHAR2 | 16     | PATB_ORDERIN-TERMEDIAR-YTAUPLOAD |
| INTERMEDI-ARYID      | Intermediaryid       | VAR-CHAR2 | 12     | PATB_ORDERIN-TERMEDIAR-YTAUPLOAD |
| SPLITPER-CENTAGE     | Splitpercentage      | NUMBER    | 5      | PATB_ORDERIN-TERMEDIAR-YTAUPLOAD |

| Field name          | Description          | Datatype  | Length | Table Name                     |
|---------------------|----------------------|-----------|--------|--------------------------------|
| SEGMENTID           | Segmentid            | VAR-CHAR2 | 20     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| SEQUENCE-NUMBER     | Sequencenumber       | NUMBER    | 10     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| PROCESSID           | Processid            | VAR-CHAR2 | 50     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| USERREFERENCENUMBER | Userreference-number | VAR-CHAR2 | 16     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| ORDERNUMBER         | Ordernumber          | VAR-CHAR2 | 16     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| PAYMENTREF-NUMBER   | Paymentref-number    | VAR-CHAR2 | 16     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| BANKREFERENCENO     | Bankreferenceno      | VAR-CHAR2 | 16     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| CHEQUEDATE          | Chequedate           | DATE      | 7      | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| CHEQUENUMBER        | Chequenummer         | VAR-CHAR2 | 20     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| PAYMENTAMOUNT       | Paymentamount        | NUMBER    | 30     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| PAIDDATE            | Paiddate             | DATE      | 7      | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| SETTLED             | Settled              | VAR-CHAR2 | 1      | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| ACCOUNTHOLDERNAME   | Accountholder-name   | VAR-CHAR2 | 100    | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| ACCOUNT-TYPE        | Accounttype          | VAR-CHAR2 | 1      | PATB_ORDER-SETTLEMENT-TAUPLOAD |



| Field name          | Description         | Datatype  | Length | Table Name                     |
|---------------------|---------------------|-----------|--------|--------------------------------|
| ACCOUNTCURRENCY     | Accountcurrency     | VAR-CHAR2 | 3      | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| BANKCODE            | Bankcode            | VAR-CHAR2 | 12     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| BRANCHCODE          | Branchcode          | VAR-CHAR2 | 12     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| ACCOUNT-NUMBER      | Accountnumber       | VAR-CHAR2 | 20     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| IBAN                | Iban                | VAR-CHAR2 | 40     | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| AUTOSETTLEMENT      | AUTOSETTLEMENT      | NUMBER    | 1      | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| SETTLEMENT-DUEDATE  | SETTLEMENT-DUEDATE  | DATE      | 7      | PATB_ORDER-SETTLEMENT-TAUPLOAD |
| SEGMENTID           | Segmentid           | VAR-CHAR2 | 20     | PATM_PARTY-MASTERTAUPLOAD      |
| SEQUENCE-NUMBER     | Sequencenumber      | NUMBER    | 10     | PATM_PARTY-MASTERTAUPLOAD      |
| PROCESSID           | Processid           | VAR-CHAR2 | 50     | PATM_PARTY-MASTERTAUPLOAD      |
| USERREFERENCENUMBER | Userreferencenumber | VAR-CHAR2 | 16     | PATM_PARTY-MASTERTAUPLOAD      |
| PARTYID             | Partyid             | VAR-CHAR2 | 12     | PATM_PARTY-MASTERTAUPLOAD      |
| PARTYTYPE           | Partytype           | VAR-CHAR2 | 3      | PATM_PARTY-MASTERTAUPLOAD      |
| TITLE               | Title               | VAR-CHAR2 | 15     | PATM_PARTY-MASTERTAUPLOAD      |

| Field name          | Description         | Datatype  | Length | Table Name                 |
|---------------------|---------------------|-----------|--------|----------------------------|
| FIRSTNAME           | Firstname           | VAR-CHAR2 | 100    | PATM_PARTY-MASTERTAUP-LOAD |
| MIDDLENAME          | Middlename          | VAR-CHAR2 | 100    | PATM_PARTY-MASTERTAUP-LOAD |
| LASTNAME            | Lastname            | VAR-CHAR2 | 100    | PATM_PARTY-MASTERTAUP-LOAD |
| REGISTEREDNAME      | Registeredname      | VAR-CHAR2 | 100    | PATM_PARTY-MASTERTAUP-LOAD |
| DATEOFBIRTH         | Dateofbirth         | DATE      | 7      | PATM_PARTY-MASTERTAUP-LOAD |
| DATEOFINCORPORATION | Dateofincorporation | DATE      | 7      | PATM_PARTY-MASTERTAUP-LOAD |
| MARITALSTATUS       | Maritalstatus       | VAR-CHAR2 | 1      | PATM_PARTY-MASTERTAUP-LOAD |
| OCCUPATION          | Occupation          | VAR-CHAR2 | 3      | PATM_PARTY-MASTERTAUP-LOAD |
| GENDER              | Gender              | VAR-CHAR2 | 1      | PATM_PARTY-MASTERTAUP-LOAD |
| PREFERRED-CURRENCY  | Preferredcurrency   | VAR-CHAR2 | 3      | PATM_PARTY-MASTERTAUP-LOAD |
| MINOR               | Minor               | NUMBER    | 1      | PATM_PARTY-MASTERTAUP-LOAD |
| NATIONALITY         | Nationality         | VAR-CHAR2 | 3      | PATM_PARTY-MASTERTAUP-LOAD |
| COUNTRYOF-DOMICILE  | Countryofdomicile   | VAR-CHAR2 | 3      | PATM_PARTY-MASTERTAUP-LOAD |
| COUNTRYOF-BIRTH     | Countryofbirth      | VAR-CHAR2 | 3      | PATM_PARTY-MASTERTAUP-LOAD |

| Field name                | Description                | Datatype  | Length | Table Name                 |
|---------------------------|----------------------------|-----------|--------|----------------------------|
| DUALNATIONALITY           | Dualnationality            | VAR-CHAR2 | 3      | PATM_PARTY-MASTERTAUP-LOAD |
| SUBSTANTIAL-STAYINUS      | Substantial-stayinus       | NUMBER    | 1      | PATM_PARTY-MASTERTAUP-LOAD |
| HEALTHSTATUS              | Healthstatus               | VAR-CHAR2 | 1      | PATM_PARTY-MASTERTAUP-LOAD |
| PROTECTION-LEVEL          | Protectionlevel            | VAR-CHAR2 | 1      | PATM_PARTY-MASTERTAUP-LOAD |
| FIRSTAUTHORIZEDSIGNATORY  | Firstauthorized-signatory  | VAR-CHAR2 | 100    | PATM_PARTY-MASTERTAUP-LOAD |
| SECONDAUTHORIZEDSIGNATORY | Secondauthor-izedsignatory | VAR-CHAR2 | 100    | PATM_PARTY-MASTERTAUP-LOAD |
| THIRDAUTHORIZEDSIGNATORY  | Thirdauthor-izedsignatory  | VAR-CHAR2 | 100    | PATM_PARTY-MASTERTAUP-LOAD |
| CORPORATIONTYPE           | Corporation-type           | VAR-CHAR2 | 3      | PATM_PARTY-MASTERTAUP-LOAD |
| MINNOFCORPORATESIGNATORY  | Minnoofcorpo-ratesignatory | NUMBER    | 22     | PATM_PARTY-MASTERTAUP-LOAD |
| INCORPORATIONCOUNTRY      | Incorporation-country      | VAR-CHAR2 | 3      | PATM_PARTY-MASTERTAUP-LOAD |
| MODULEID                  | Moduleid                   | VAR-CHAR2 | 30     | PATM_PARTY-MASTERTAUP-LOAD |
| MAKER_ID                  | Maker_Id                   | VAR-CHAR2 | 15     | PATM_PARTY-MASTERTAUP-LOAD |
| MAKER_DT_STAMP            | Mak-er_Dt_Stamp            | DATE      | 7      | PATM_PARTY-MASTERTAUP-LOAD |
| CHECKER_ID                | Checker_Id                 | VAR-CHAR2 | 15     | PATM_PARTY-MASTERTAUP-LOAD |

| Field name           | Description          | Datatype  | Length | Table Name                  |
|----------------------|----------------------|-----------|--------|-----------------------------|
| CHECK-ER_DT_STAMP    | Check-er_Dt_Stamp    | DATE      | 7      | PATM_PARTY-MASTERTAUP-LOAD  |
| AUTH_STAT            | Auth_Stat            | VAR-CHAR2 | 1      | PATM_PARTY-MASTERTAUP-LOAD  |
| ONCE_AUTH            | Once_Auth            | VAR-CHAR2 | 1      | PATM_PARTY-MASTERTAUP-LOAD  |
| RECORD_STAT          | Record_Stat          | VAR-CHAR2 | 1      | PATM_PARTY-MASTERTAUP-LOAD  |
| MOD_NO               | Mod_No               | NUMBER    | 22     | PATM_PARTY-MASTERTAUP-LOAD  |
| FATHER-SPOUSENAME    | Father-spousename    | VAR-CHAR2 | 105    | PATM_PARTY-MASTERTAUP-LOAD  |
| SEGMENTID            | Segmentid            | VAR-CHAR2 | 20     | PATM_PARTY-CONTACTTAUP-LOAD |
| SEQUENCE-NUMBER      | Sequencenum-ber      | NUMBER    | 10     | PATM_PARTY-CONTACTTAUP-LOAD |
| PROCESSID            | Processid            | VAR-CHAR2 | 50     | PATM_PARTY-CONTACTTAUP-LOAD |
| USERREFER-ENCENUMBER | Userreference-number | VAR-CHAR2 | 16     | PATM_PARTY-CONTACTTAUP-LOAD |
| PARTYID              | Partyid              | VAR-CHAR2 | 12     | PATM_PARTY-CONTACTTAUP-LOAD |
| CONCAC-TREFNO        | Contactrefno         | VAR-CHAR2 | 16     | PATM_PARTY-CONTACTTAUP-LOAD |
| ADDRESSTYPE          | Addresstype          | VAR-CHAR2 | 2      | PATM_PARTY-CONTACTTAUP-LOAD |
| ADDRESS-LINE1        | Addressline1         | VAR-CHAR2 | 100    | PATM_PARTY-CONTACTTAUP-LOAD |

| Field name             | Description           | Datatype  | Length | Table Name                 |
|------------------------|-----------------------|-----------|--------|----------------------------|
| ADDRESS-LINE2          | Addressline2          | VAR-CHAR2 | 100    | PATM_PARTY-CONTACTTAUPLoad |
| ADDRESS-LINE3          | Addressline3          | VAR-CHAR2 | 100    | PATM_PARTY-CONTACTTAUPLoad |
| POSTBOXNO              | Postboxno             | VAR-CHAR2 | 16     | PATM_PARTY-CONTACTTAUPLoad |
| CITY                   | City                  | VAR-CHAR2 | 80     | PATM_PARTY-CONTACTTAUPLoad |
| STATE                  | State                 | VAR-CHAR2 | 80     | PATM_PARTY-CONTACTTAUPLoad |
| COUNTRY                | Country               | VAR-CHAR2 | 3      | PATM_PARTY-CONTACTTAUPLoad |
| ZIPCODE                | Zipcode               | VAR-CHAR2 | 10     | PATM_PARTY-CONTACTTAUPLoad |
| BICCODE                | Biccode               | VAR-CHAR2 | 16     | PATM_PARTY-CONTACTTAUPLoad |
| WEBSITE                | Website               | VAR-CHAR2 | 100    | PATM_PARTY-CONTACTTAUPLoad |
| EMAILADDRESS           | Emailaddress          | VAR-CHAR2 | 100    | PATM_PARTY-CONTACTTAUPLoad |
| EFFECTIVEFROMDATE      | Effectivefrom-date    | DATE      | 7      | PATM_PARTY-CONTACTTAUPLoad |
| EFFECTIVETO-DATE       | Effectivetodate       | DATE      | 7      | PATM_PARTY-CONTACTTAUPLoad |
| PRIMARYCONTACTPERSON   | Primarycontactperson  | VAR-CHAR2 | 100    | PATM_PARTY-CONTACTTAUPLoad |
| PRIMARYINTERDIAL-CODE1 | Primaryinterdialcode1 | VAR-CHAR2 | 10     | PATM_PARTY-CONTACTTAUPLoad |

| Field name                | Description              | Datatype  | Length | Table Name                 |
|---------------------------|--------------------------|-----------|--------|----------------------------|
| PRIMARYINTERNDIAL-CODE2   | Primaryinterndialcode2   | VAR-CHAR2 | 10     | PATM_PARTY-CONTACTTAUPLoad |
| PRIMARYINTERNDIAL-CODE3   | Primaryinterndialcode3   | VAR-CHAR2 | 10     | PATM_PARTY-CONTACTTAUPLoad |
| PRIMARYINTERNDIAL-CODE4   | Primaryinterndialcode4   | VAR-CHAR2 | 10     | PATM_PARTY-CONTACTTAUPLoad |
| PRIMARYPOSITION           | Primaryposition          | VAR-CHAR2 | 60     | PATM_PARTY-CONTACTTAUPLoad |
| PRIMARYMOBILENUMBER1      | Primarymobile-number1    | VAR-CHAR2 | 60     | PATM_PARTY-CONTACTTAUPLoad |
| PRIMARYMOBILENUMBER2      | Primarymobile-number2    | VAR-CHAR2 | 60     | PATM_PARTY-CONTACTTAUPLoad |
| PRIMARYFIXEDLINE          | Primaryfixed-line        | VAR-CHAR2 | 60     | PATM_PARTY-CONTACTTAUPLoad |
| FAX                       | Fax                      | VAR-CHAR2 | 120    | PATM_PARTY-CONTACTTAUPLoad |
| PRIMARYCONTACTINSTRN      | Primarycontactinstnr     | VAR-CHAR2 | 100    | PATM_PARTY-CONTACTTAUPLoad |
| PRIMARYEXTN               | Primaryextn              | VAR-CHAR2 | 60     | PATM_PARTY-CONTACTTAUPLoad |
| SECONDARYCONTACTPERSON    | Secondarycontactperson   | VAR-CHAR2 | 100    | PATM_PARTY-CONTACTTAUPLoad |
| SECONDARYINTERNDIAL-CODE1 | Secondaryinterndialcode1 | VAR-CHAR2 | 10     | PATM_PARTY-CONTACTTAUPLoad |
| SECONDARYINTERNDIAL-CODE2 | Secondaryinterndialcode2 | VAR-CHAR2 | 10     | PATM_PARTY-CONTACTTAUPLoad |
| SECONDARYPOSITION         | Secondaryposition        | VAR-CHAR2 | 60     | PATM_PARTY-CONTACTTAUPLoad |

| Field name              | Description            | Datatype  | Length | Table Name                 |
|-------------------------|------------------------|-----------|--------|----------------------------|
| SECONDARY-MOBILENUMBER  | Secondarymobilenumber  | VAR-CHAR2 | 60     | PATM_PARTY-CONTACTTAUPLoad |
| SECONDARY-FIXEDLINE     | Secondaryfixedline     | VAR-CHAR2 | 60     | PATM_PARTY-CONTACTTAUPLoad |
| SECONDARY-CONTACTINSTRN | Secondarycontactinstrn | VAR-CHAR2 | 105    | PATM_PARTY-CONTACTTAUPLoad |
| SECONDARYEXTN           | Secondaryextrn         | VAR-CHAR2 | 60     | PATM_PARTY-CONTACTTAUPLoad |
| MODULEID                | Moduleid               | VAR-CHAR2 | 30     | PATM_PARTY-CONTACTTAUPLoad |
| SEGMENTID               | Segmentid              | VAR-CHAR2 | 20     | PATM_PARTYID-TAUPLoad      |
| SEQUENCE-NUMBER         | Sequencenumber         | NUMBER    | 10     | PATM_PARTYID-TAUPLoad      |
| PROCESSID               | Processid              | VAR-CHAR2 | 50     | PATM_PARTYID-TAUPLoad      |
| USERREFERENCENUMBER     | Userreferencenumber    | VAR-CHAR2 | 16     | PATM_PARTYID-TAUPLoad      |
| PARTYID                 | Partyid                | VAR-CHAR2 | 12     | PATM_PARTYID-TAUPLoad      |
| IDREFERENCENO           | Idreferenceno          | VAR-CHAR2 | 16     | PATM_PARTYID-TAUPLoad      |
| IDENTIFICATIONTYPE      | Identificationtype     | VAR-CHAR2 | 20     | PATM_PARTYID-TAUPLoad      |
| IDENTIFICATIONNUMBER    | Identificationnumber   | VAR-CHAR2 | 50     | PATM_PARTYID-TAUPLoad      |
| PLACEOFISSUE            | Placeofissue           | VAR-CHAR2 | 3      | PATM_PARTYID-TAUPLoad      |
| ISSUEAUTHORITY          | Issueauthority         | VAR-CHAR2 | 50     | PATM_PARTYID-TAUPLoad      |
| ISSUECOUNTRY            | Issuecountry           | VAR-CHAR2 | 3      | PATM_PARTYID-TAUPLoad      |
| DATEOFISSUE             | Dateofissue            | DATE      | 7      | PATM_PARTYID-TAUPLoad      |

| Field name          | Description         | Datatype  | Length | Table Name                 |
|---------------------|---------------------|-----------|--------|----------------------------|
| DATEOFEXPIRY        | Dateofexpiry        | DATE      | 7      | PATM_PARTYID-TAUPLOAD      |
| LASTVERIFIED-DATE   | Lastverified-date   | DATE      | 7      | PATM_PARTYID-TAUPLOAD      |
| VERIFICATION-STATUS | Verificationstatus  | VAR-CHAR2 | 1      | PATM_PARTYID-TAUPLOAD      |
| MODULEID            | Moduleid            | VAR-CHAR2 | 30     | PATM_PARTYID-TAUPLOAD      |
| SEGMENTID           | Segmentid           | VAR-CHAR2 | 20     | PATM_INVAC-CMASTERTAUPLOAD |
| SEQUENCE-NUMBER     | Sequencenumber      | NUMBER    | 10     | PATM_INVAC-CMASTERTAUPLOAD |
| PROCESSID           | Processid           | VAR-CHAR2 | 50     | PATM_INVAC-CMASTERTAUPLOAD |
| USERREFERENCENUMBER | Userreferencenumber | VAR-CHAR2 | 16     | PATM_INVAC-CMASTERTAUPLOAD |
| ACCOUNTID           | Accountid           | VAR-CHAR2 | 16     | PATM_INVAC-CMASTERTAUPLOAD |
| ACOPERATIONTYPE     | Acoperation-type    | VAR-CHAR2 | 1      | PATM_INVAC-CMASTERTAUPLOAD |
| DEALINGTYPE         | Dealingtype         | VAR-CHAR2 | 3      | PATM_INVAC-CMASTERTAUPLOAD |
| GUARDIANID          | Guardianid          | VAR-CHAR2 | 12     | PATM_INVAC-CMASTERTAUPLOAD |
| PARTYID             | Partyid             | VAR-CHAR2 | 12     | PATM_INVAC-CMASTERTAUPLOAD |
| INVESTORCATEGORY    | Investorcategory    | VAR-CHAR2 | 2      | PATM_INVAC-CMASTERTAUPLOAD |
| ACOPENING-DATE      | Acopeningdate       | DATE      | 7      | PATM_INVAC-CMASTERTAUPLOAD |



| Field name       | Description      | Datatype  | Length | Table Name                  |
|------------------|------------------|-----------|--------|-----------------------------|
| ACCOUNTREFNO     | Accountrefno     | VAR-CHAR2 | 16     | PATM_INVAC-CMASTERTAUP-LOAD |
| MODULEID         | Moduleid         | VAR-CHAR2 | 30     | PATM_INVAC-CMASTERTAUP-LOAD |
| AUTH_STAT        | Auth_Stat        | VAR-CHAR2 | 1      | PATM_INVAC-CMASTERTAUP-LOAD |
| ONCE_AUTH        | Once_Auth        | VAR-CHAR2 | 1      | PATM_INVAC-CMASTERTAUP-LOAD |
| RECORD_STAT      | Record_Stat      | VAR-CHAR2 | 1      | PATM_INVAC-CMASTERTAUP-LOAD |
| MOD_NO           | Mod_No           | NUMBER    | 22     | PATM_INVAC-CMASTERTAUP-LOAD |
| MAKER_ID         | Maker_Id         | VAR-CHAR2 | 15     | PATM_INVAC-CMASTERTAUP-LOAD |
| MAKER_DT_STAMP   | Maker_Dt_Stamp   | DATE      | 7      | PATM_INVAC-CMASTERTAUP-LOAD |
| CHECKER_ID       | Checker_Id       | VAR-CHAR2 | 15     | PATM_INVAC-CMASTERTAUP-LOAD |
| CHECKER_DT_STAMP | Checker_Dt_Stamp | DATE      | 7      | PATM_INVAC-CMASTERTAUP-LOAD |
| ACCOUNTSTATUS    | Accountstatus    | VAR-CHAR2 | 1      | PATM_INVAC-CMASTERTAUP-LOAD |
| PENSIONACCOUNT   | Pensionaccount   | VAR-CHAR2 | 1      | PATM_INVAC-CMASTERTAUP-LOAD |
| ACCOUNTCLOSED    | Accountclosed    | VAR-CHAR2 | 1      | PATM_INVAC-CMASTERTAUP-LOAD |
| CHANGEDATE       | Changedate       | DATE      | 7      | PATM_INVAC-CMASTERTAUP-LOAD |

| Field name          | Description         | Datatype  | Length | Table Name                  |
|---------------------|---------------------|-----------|--------|-----------------------------|
| CHANGEREASON        | Changereason        | VAR-CHAR2 | 255    | PATM_INVAC-CMASTERTAUP-LOAD |
| CHANGEREFERENCENO   | Changereferenceno   | VAR-CHAR2 | 16     | PATM_INVAC-CMASTERTAUP-LOAD |
| SEGMENTID           | Segmentid           | VAR-CHAR2 | 20     | PATM_INVACCIN-TERTAUPLOAD   |
| SEQUENCE-NUMBER     | Sequencenumber      | NUMBER    | 10     | PATM_INVACCIN-TERTAUPLOAD   |
| PROCESSID           | Processid           | VAR-CHAR2 | 50     | PATM_INVACCIN-TERTAUPLOAD   |
| USERREFERENCENUMBER | Userreferencenumber | VAR-CHAR2 | 16     | PATM_INVACCIN-TERTAUPLOAD   |
| ACCOUNTID           | Accountid           | VAR-CHAR2 | 16     | PATM_INVACCIN-TERTAUPLOAD   |
| INTERMEDIARYID      | Intermediaryid      | VAR-CHAR2 | 12     | PATM_INVACCIN-TERTAUPLOAD   |
| SPLITPERCENTAGE     | Splitpercentage     | NUMBER    | 5      | PATM_INVACCIN-TERTAUPLOAD   |
| DEFAULTFLAG         | Defaultflag         | VAR-CHAR2 | 1      | PATM_INVACCIN-TERTAUPLOAD   |
| SEGMENTID           | Segmentid           | VAR-CHAR2 | 20     | PATM_INVAC-CBANKTAUP-LOAD   |
| SEQUENCE-NUMBER     | Sequencenumber      | NUMBER    | 10     | PATM_INVAC-CBANKTAUP-LOAD   |
| PROCESSID           | Processid           | VAR-CHAR2 | 50     | PATM_INVAC-CBANKTAUP-LOAD   |
| USERREFERENCENUMBER | Userreferencenumber | VAR-CHAR2 | 16     | PATM_INVAC-CBANKTAUP-LOAD   |
| ACCOUNTID           | Accountid           | VAR-CHAR2 | 16     | PATM_INVAC-CBANKTAUP-LOAD   |
| BANKREFERENCENO     | Bankreferenceno     | VAR-CHAR2 | 16     | PATM_INVAC-CBANKTAUP-LOAD   |

| Field name              | Description                | Datatype      | Length | Table Name                           |
|-------------------------|----------------------------|---------------|--------|--------------------------------------|
| DIRECTDEBITAPPLICABLE   | Directdebitap-<br>plicable | VAR-<br>CHAR2 | 1      | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| DEFAULTFLAG             | Defaultflag                | VAR-<br>CHAR2 | 1      | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| ACCOUNTHOL-<br>DERNAME  | Accountholder-<br>name     | VAR-<br>CHAR2 | 100    | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| ACCOUNT-<br>TYPE        | Accounttype                | VAR-<br>CHAR2 | 1      | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| ACCOUNTCUR-<br>RENCY    | Accountcur-<br>rency       | VAR-<br>CHAR2 | 3      | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| BANKCODE                | Bankcode                   | VAR-<br>CHAR2 | 12     | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| BRANCHCODE              | Branchcode                 | VAR-<br>CHAR2 | 12     | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| ACCOUNT-<br>NUMBER      | Accountnum-<br>ber         | VAR-<br>CHAR2 | 20     | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| IBAN                    | Iban                       | VAR-<br>CHAR2 | 40     | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| VERIFICATION-<br>STATUS | Verificationsta-<br>tus    | VAR-<br>CHAR2 | 1      | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| LASTVERIFIED-<br>DATE   | Lastverified-<br>date      | DATE          | 7      | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| MODULEID                | Moduleid                   | VAR-<br>CHAR2 | 30     | PATM_INVAC-<br>CBANKTAUP-<br>LOAD    |
| SEGMENTID               | Segmentid                  | VAR-<br>CHAR2 | 20     | PATM_IN-<br>VESTACCBEN-<br>FTAUPLOAD |
| SEQUENCE-<br>NUMBER     | Sequencenum-<br>ber        | NUMBER        | 10     | PATM_IN-<br>VESTACCBEN-<br>FTAUPLOAD |

| Field name           | Description           | Datatype  | Length | Table Name                   |
|----------------------|-----------------------|-----------|--------|------------------------------|
| PROCESSID            | Processid             | VAR-CHAR2 | 50     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| USERREFERENCENUMBER  | Userreference-number  | VAR-CHAR2 | 16     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| ACCOUNTID            | Accountid             | VAR-CHAR2 | 16     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| BENEFICIARY-TYPE     | Beneficiarytype       | VAR-CHAR2 | 2      | PATM_IN-VESTACCBEN-FTAUPLOAD |
| BENFPARTYID          | Benfpartyid           | VAR-CHAR2 | 16     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| AWARDPERCENTAGE      | Awardpercentage       | NUMBER    | 5      | PATM_IN-VESTACCBEN-FTAUPLOAD |
| BENFPARTYNAME        | Benfpartyname         | VAR-CHAR2 | 100    | PATM_IN-VESTACCBEN-FTAUPLOAD |
| DATEOFBIRTH          | Dateofbirth           | DATE      | 7      | PATM_IN-VESTACCBEN-FTAUPLOAD |
| COUNTRYOFBIRTH       | Countryofbirth        | VAR-CHAR2 | 3      | PATM_IN-VESTACCBEN-FTAUPLOAD |
| COUNTRYOFDOMICILE    | Countryofdomicile     | VAR-CHAR2 | 3      | PATM_IN-VESTACCBEN-FTAUPLOAD |
| GENDER               | Gender                | VAR-CHAR2 | 1      | PATM_IN-VESTACCBEN-FTAUPLOAD |
| IDENTIFICATIONTYPE   | Identification-type   | VAR-CHAR2 | 20     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| IDENTIFICATIONNUMBER | Identification-number | VAR-CHAR2 | 50     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| RELATIONSHIP         | Relationship          | VAR-CHAR2 | 70     | PATM_IN-VESTACCBEN-FTAUPLOAD |

| Field name           | Description           | Datatype  | Length | Table Name                   |
|----------------------|-----------------------|-----------|--------|------------------------------|
| ADDRESS-LINE1        | Addressline1          | VAR-CHAR2 | 100    | PATM_IN-VESTACCBEN-FTAUPLOAD |
| ADDRESS-LINE2        | Addressline2          | VAR-CHAR2 | 100    | PATM_IN-VESTACCBEN-FTAUPLOAD |
| CITY                 | City                  | VAR-CHAR2 | 80     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| STATE                | State                 | VAR-CHAR2 | 80     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| COUNTRY              | Country               | VAR-CHAR2 | 3      | PATM_IN-VESTACCBEN-FTAUPLOAD |
| ZIPCODE              | Zipcode               | VAR-CHAR2 | 10     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| EMAILADDRESS         | Emailaddress          | VAR-CHAR2 | 100    | PATM_IN-VESTACCBEN-FTAUPLOAD |
| PRIMARYMOBILENUMBER1 | Primarymobile-number1 | VAR-CHAR2 | 60     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| PRIMARYMOBILENUMBER2 | Primarymobile-number2 | VAR-CHAR2 | 60     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| PRIMARYFIXEDLINE     | Primaryfixed-line     | VAR-CHAR2 | 60     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| FAX                  | Fax                   | VAR-CHAR2 | 120    | PATM_IN-VESTACCBEN-FTAUPLOAD |
| CONTACTPERSON        | Contactperson         | VAR-CHAR2 | 100    | PATM_IN-VESTACCBEN-FTAUPLOAD |
| BANKCODE             | Bankcode              | VAR-CHAR2 | 12     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| BRANCHCODE           | Branchcode            | VAR-CHAR2 | 12     | PATM_IN-VESTACCBEN-FTAUPLOAD |

| Field name          | Description         | Datatype  | Length | Table Name                   |
|---------------------|---------------------|-----------|--------|------------------------------|
| ACCOUNT-TYPE        | Accounttype         | VAR-CHAR2 | 1      | PATM_IN-VESTACCBEN-FTAUPLOAD |
| ACCOUNTCURRENCY     | Accountcurrency     | VAR-CHAR2 | 3      | PATM_IN-VESTACCBEN-FTAUPLOAD |
| ACCOUNT-NUMBER      | Accountnumber       | VAR-CHAR2 | 20     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| ACCOUNT-NAME        | Accountname         | VAR-CHAR2 | 100    | PATM_IN-VESTACCBEN-FTAUPLOAD |
| IBAN                | Iban                | VAR-CHAR2 | 40     | PATM_IN-VESTACCBEN-FTAUPLOAD |
| DESIGNATION         | Designation         | VAR-CHAR2 | 100    | PATM_IN-VESTACCBEN-FTAUPLOAD |
| CONTROLLINGPERSON   | Controllingperson   | VAR-CHAR2 | 1      | PATM_IN-VESTACCBEN-FTAUPLOAD |
| SEGMENTID           | Segmentid           | VAR-CHAR2 | 20     | PATB_ALLOCDETAILSTAUPLOAD    |
| SEQUENCE-NUMBER     | Sequencenumber      | NUMBER    | 10     | PATB_ALLOCDETAILSTAUPLOAD    |
| PROCESSID           | Processid           | VAR-CHAR2 | 50     | PATB_ALLOCDETAILSTAUPLOAD    |
| USERREFERENCENUMBER | Userreferencenumber | VAR-CHAR2 | 16     | PATB_ALLOCDETAILSTAUPLOAD    |
| ORDERNUMBER         | Ordernumber         | VAR-CHAR2 | 16     | PATB_ALLOCDETAILSTAUPLOAD    |
| EXTERNAL-TXNNUMBER  | Externaltxnnumber   | VAR-CHAR2 | 16     | PATB_ALLOCDETAILSTAUPLOAD    |
| PARTYID             | Partyid             | VAR-CHAR2 | 12     | PATB_ALLOCDETAILSTAUPLOAD    |
| PORTFOLIOID         | Portfolioid         | VAR-CHAR2 | 16     | PATB_ALLOCDETAILSTAUPLOAD    |
| ACCOUNTID           | Accountid           | VAR-CHAR2 | 16     | PATB_ALLOCDETAILSTAUPLOAD    |
| INSTRUMENTID        | Instrumentid        | VAR-CHAR2 | 16     | PATB_ALLOCDETAILSTAUPLOAD    |

| Field name         | Description        | Datatype  | Length | Table Name                 |
|--------------------|--------------------|-----------|--------|----------------------------|
| ASSETID            | Assetid            | VAR-CHAR2 | 16     | PATB_ALLOCDE-TAILSTAUPLOAD |
| ORDER-AMOUNT       | Orderamount        | NUMBER    | 30     | PATB_ALLOCDE-TAILSTAUPLOAD |
| LOADAMOUNT         | Loadamount         | NUMBER    | 30     | PATB_ALLOCDE-TAILSTAUPLOAD |
| NETAMOUNT          | Netamount          | NUMBER    | 30     | PATB_ALLOCDE-TAILSTAUPLOAD |
| SETTLEMEN-TAMT     | Settlementamt      | NUMBER    | 30     | PATB_ALLOCDE-TAILSTAUPLOAD |
| NAV                | Nav                | NUMBER    | 17     | PATB_ALLOCDE-TAILSTAUPLOAD |
| NAVDATE            | Navdate            | DATE      | 7      | PATB_ALLOCDE-TAILSTAUPLOAD |
| ALLOTEDUN-ITS      | Allotedunits       | NUMBER    | 30     | PATB_ALLOCDE-TAILSTAUPLOAD |
| ALLOCATION-DATE    | Allocationdate     | DATE      | 7      | PATB_ALLOCDE-TAILSTAUPLOAD |
| FROMORTO-LEG       | Fromortoleg        | VAR-CHAR2 | 1      | PATB_ALLOCDE-TAILSTAUPLOAD |
| ORIGINALORD-NUMBER | Originalord-number | VAR-CHAR2 | 16     | PATB_ALLOCDE-TAILSTAUPLOAD |
| ORDERTYPE          | Ordertype          | VAR-CHAR2 | 3      | PATB_ALLOCDE-TAILSTAUPLOAD |
| STATUS             | Status             | VAR-CHAR2 | 2      | PATB_ALLOCDE-TAILSTAUPLOAD |
| ERR_CODE           | Err_Code           | VAR-CHAR2 | 20     | PATB_ALLOCDE-TAILSTAUPLOAD |
| ERRDESCRIP-TION    | Errdescription     | VAR-CHAR2 | 255    | PATB_ALLOCDE-TAILSTAUPLOAD |
| TATRANSAC-TIONDATE | Tatransaction-date | DATE      | 7      | PATB_ALLOCDE-TAILSTAUPLOAD |
| BLOCKEDFLAG        | Blockedflag        | VAR-CHAR2 | 1      | PATB_ALLOCDE-TAILSTAUPLOAD |

## 2.66 Asset Import from TA

This section contains the following topics:

- [Section 2.66.1, "Segment Identification Number"](#)
- [Section 2.66.2, "Asset Import from TA"](#)

## 2.66.1 Segment Identification Number

| Segment Identification Number | Description  |
|-------------------------------|--|
| 00FDU                         | This segment is used to provide asset upload details             |
| 03TPR                         | This segment is used to provide asset third party upload details |
| 04FLU                         | This segment is used to provide asset load upload detail         |

## 2.66.2 Asset Import from TA

| Field name          | Description         | Datatype | Length | Table Name        |
|---------------------|---------------------|----------|--------|-------------------|
| SEGMENTID           | Segmentid           | VARCHAR2 | 20     | PATB_ASSETU-PLOAD |
| SEQUENCE-NUMBER     | Sequence-number     | NUMBER   | 22     | PATB_ASSETU-PLOAD |
| PROCESSID           | Processid           | VARCHAR2 | 50     | PATB_ASSETU-PLOAD |
| BULKCLIENT-CODE     | Bulkclientcode      | VARCHAR2 | 12     | PATB_ASSETU-PLOAD |
| UPLOADMODE          | Uploadmode          | VARCHAR2 | 1      | PATB_ASSETU-PLOAD |
| IMPORTFILE-NAME     | Importfile-name     | VARCHAR2 | 255    | PATB_ASSETU-PLOAD |
| USERREFERENCENUMBER | Userreferencenumber | VARCHAR2 | 50     | PATB_ASSETU-PLOAD |
| FUNDID              | Fundid              | VARCHAR2 | 6      | PATB_ASSETU-PLOAD |
| RULEEFFECTIVEDATE   | Ruleeffective-date  | DATE     | 7      | PATB_ASSETU-PLOAD |
| AUTH_STAT           | Auth_Stat           | VARCHAR2 | 1      | PATB_ASSETU-PLOAD |
| FUNDSTART-DATE      | Fundstartdate       | DATE     | 7      | PATB_ASSETU-PLOAD |
| FUNDNAME            | Fundname            | VARCHAR2 | 60     | PATB_ASSETU-PLOAD |
| FUND-NAMESHORT      | Fund-nameshort      | VARCHAR2 | 90     | PATB_ASSETU-PLOAD |
| FUNDCLASS           | Fundclass           | VARCHAR2 | 1      | PATB_ASSETU-PLOAD |



| Field name        | Description       | Datatype | Length | Table Name        |
|-------------------|-------------------|----------|--------|-------------------|
| FUNDTYPE          | Fundtype          | NUMBER   | 38     | PATB_ASSETU-PLOAD |
| FUNDFAMILY        | Fundfamily        | VARCHAR2 | 6      | PATB_ASSETU-PLOAD |
| FUNDBASE-CURRENCY | Fundbasecurrency  | VARCHAR2 | 3      | PATB_ASSETU-PLOAD |
| FUNDCOUNTRY       | Fundcountry       | VARCHAR2 | 3      | PATB_ASSETU-PLOAD |
| FISCALSTART-YEAR  | Fiscalstartyear   | DATE     | 7      | PATB_ASSETU-PLOAD |
| FISCALEND-YEAR    | Fiscalendyear     | DATE     | 7      | PATB_ASSETU-PLOAD |
| AMCID             | Amcid             | VARCHAR2 | 12     | PATB_ASSETU-PLOAD |
| BROKERSALLOWED    | Brokersallowed    | NUMBER   | 1      | PATB_ASSETU-PLOAD |
| REGISTRARID       | Registrarid       | VARCHAR2 | 12     | PATB_ASSETU-PLOAD |
| TRUSTEEID         | Trusteeid         | VARCHAR2 | 12     | PATB_ASSETU-PLOAD |
| LATESTRULE        | Latestrule        | NUMBER   | 1      | PATB_ASSETU-PLOAD |
| MOD_NO            | Mod_No            | NUMBER   | 22     | PATB_ASSETU-PLOAD |
| MAKER_ID          | Maker_Id          | VARCHAR2 | 15     | PATB_ASSETU-PLOAD |
| MAKER_DT_STAMP    | Maker_Dt_Stamp    | DATE     | 7      | PATB_ASSETU-PLOAD |
| CHECKER_ID        | Checker_Id        | VARCHAR2 | 15     | PATB_ASSETU-PLOAD |
| CHECKER_DT_STAMP  | Checker_Dt_Stamp  | DATE     | 7      | PATB_ASSETU-PLOAD |
| MINHOLDING-PERIOD | Minholding-period | NUMBER   | 22     | PATB_ASSETU-PLOAD |
| PRIMARYREGADDRESS | Primaryregaddress | VARCHAR2 | 255    | PATB_ASSETU-PLOAD |
| FUNDTAXID         | Fundtaxid         | VARCHAR2 | 25     | PATB_ASSETU-PLOAD |

| Field name               | Description              | Datatype | Length | Table Name           |
|--------------------------|--------------------------|----------|--------|----------------------|
| FUNDIDENTIFICATIONNUMBER | Fundidentificationnumber | VARCHAR2 | 25     | PATB_ASSETU-PLOAD    |
| FUNDTICKER-SYMBOL        | Fundticker-symbol        | VARCHAR2 | 25     | PATB_ASSETU-PLOAD    |
| ALLOWIRAINVESTMENTS      | Allowirainvestments      | NUMBER   | 1      | PATB_ASSETU-PLOAD    |
| CUSTODIAN                | Custodian                | VARCHAR2 | 12     | PATB_ASSETU-PLOAD    |
| FUNDSUSPENDED            | Fundsuspended            | NUMBER   | 1      | PATB_ASSETU-PLOAD    |
| MINAMOUNT-FORTAXIDINDV   | Minamountfortaxidindv    | NUMBER   | 30     | PATB_ASSETU-PLOAD    |
| MINAMOUNT-FORTAXIDCOR    | Minamountfortaxidcor     | NUMBER   | 30     | PATB_ASSETU-PLOAD    |
| RECORD_STAT              | Record_Stat              | CHAR     | 1      | PATB_ASSETU-PLOAD    |
| ONCE_AUTH                | Once_Auth                | CHAR     | 1      | PATB_ASSETU-PLOAD    |
| EXISTINGFUND             | Existingfund             | NUMBER   | 1      | PATB_ASSETU-PLOAD    |
| UMBRELLAFUND             | Umbrellafund             | NUMBER   | 1      | PATB_ASSETU-PLOAD    |
| HEDGEFUND                | Hedgefund                | VARCHAR2 | 1      | PATB_ASSETU-PLOAD    |
| FUNDENABLED              | Fundenabled              | NUMBER   | 1      | PATB_ASSETU-PLOAD    |
| COEENABLED               | Coenabled                | VARCHAR2 | 1      | PATB_ASSETU-PLOAD    |
| LEGAENTITY               | Legalentity              | VARCHAR2 | 12     | PATB_ASSETU-PLOAD    |
| FUNDCLOSED-DATE          | Fundclosed-date          | DATE     | 7      | PATB_ASSETU-PLOAD    |
| SEGMENTID                | Segmentid                | VARCHAR2 | 20     | PATB_ASSETUPRUP-LOAD |

| Field name              | Description             | Datatype | Length | Table Name            |
|-------------------------|-------------------------|----------|--------|-----------------------|
| SEQUENCE-NUMBER         | Sequence-number         | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| PROCESSID               | Processid               | VARCHAR2 | 50     | PATB_AS-SETTPRUP-LOAD |
| USERREFERENCENUMBER     | Userreferencenumber     | VARCHAR2 | 50     | PATB_AS-SETTPRUP-LOAD |
| FUNDID                  | Fundid                  | VARCHAR2 | 6      | PATB_AS-SETTPRUP-LOAD |
| RULEEFFECTIVEDATE       | Ruleeffective-date      | DATE     | 7      | PATB_AS-SETTPRUP-LOAD |
| TRANSACTION-TYPE        | Transaction-type        | VARCHAR2 | 2      | PATB_AS-SETTPRUP-LOAD |
| TRANSACTION-STARTDATE   | Transaction-startdate   | DATE     | 7      | PATB_AS-SETTPRUP-LOAD |
| TRANSACTIONENDDATE      | Transactionenddate      | DATE     | 7      | PATB_AS-SETTPRUP-LOAD |
| TRANSACTION-FREQUENCY   | Transaction-frequency   | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| TRANSACTION-STARTPERIOD | Transaction-startperiod | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| TRANSACTIONENDPERIOD    | Transactionendperiod    | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| TRANSACTION-STARTTIME   | Transaction-starttime   | DATE     | 7      | PATB_AS-SETTPRUP-LOAD |
| TRANSACTIONENDTIME      | Transactionendtime      | DATE     | 7      | PATB_AS-SETTPRUP-LOAD |
| MINTRANSACTIONAMOUNT    | Mintransactionamount    | NUMBER   | 30     | PATB_AS-SETTPRUP-LOAD |

| Field name                | Description                | Datatype | Length | Table Name            |
|---------------------------|----------------------------|----------|--------|-----------------------|
| STEPAMOUNT                | Stepamount                 | NUMBER   | 30     | PATB_AS-SETTPRUP-LOAD |
| MAXTRANSACTIONAMOUNT      | Maxtransactionamount       | NUMBER   | 30     | PATB_AS-SETTPRUP-LOAD |
| MINTRANSACTIONUNITS       | Mintransactionunits        | NUMBER   | 27     | PATB_AS-SETTPRUP-LOAD |
| STEPUNITS                 | Stepunits                  | NUMBER   | 27     | PATB_AS-SETTPRUP-LOAD |
| MAXTRANSACTIONUNITS       | Maxtransactionunits        | NUMBER   | 27     | PATB_AS-SETTPRUP-LOAD |
| ONLINEALLOCATION          | Onlineallocation           | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| ALLOCATIONPERVSREGCAPITAL | Allocationpervsregcapital  | NUMBER   | 20     | PATB_AS-SETTPRUP-LOAD |
| ALLOCATIONPOLICY          | Allocationpolicy           | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| NOOFTXNALLOWEDFORFREQ     | Nooftxnal-allowedforfreq   | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| NOOFTXNALLOWEDPERUH       | Nooftxnal-allowedperuh     | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| NOOFFREETXNALLOWEDPERUH   | Nooffreetxnal-allowedperuh | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| ALLOWBACKDATING           | Allowbackdating            | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| BACKDATINGLIMIT           | Backdatینگlimit            | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| FUNDTRANSACTIONCOUNTER    | Fundtransactioncounter     | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |

| Field name                 | Description                | Datatype | Length | Table Name            |
|----------------------------|----------------------------|----------|--------|-----------------------|
| ACKNOWLEDGEMENTREQUIRED    | Acknowledgementrequired    | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| CONFIRMATIONREQUIRED       | Confirmationrequired       | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| BASEPRICEDEFINITION        | Basepricedefinition        | VARCHAR2 | 60     | PATB_AS-SETTPRUP-LOAD |
| BASEPRICEFACTOR            | Basepricefactor            | NUMBER   | 7      | PATB_AS-SETTPRUP-LOAD |
| NOOFDECIMALSFORBASEPRICE   | Noofdecimalsforbaseprice   | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| ROUND-OFFTRUNCATEBASEPRICE | Roundofftruncatebaseprice  | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| TRANSACTIONENABLED         | Transactionenabled         | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| CROSS-BRANCHALLOWED        | Cross-branchallowed        | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| PRICELAG                   | Pricelag                   | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| ALLOCATIONLAG              | Allocationlag              | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| CONFIRMATIONLAG            | Confirmationlag            | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| CHQALLOCONPARWITHCASH      | Chqalloconparwithcash      | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| HOLDINGPERIODAPPLICABLE    | Holdingperiodapplicable    | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| RETAINAGEINGTOSUBSCRIPTION | Retainageingtosubscription | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |

| Field name                     | Description                  | Datatype | Length | Table Name            |
|--------------------------------|------------------------------|----------|--------|-----------------------|
| CREDITCARD-ALLOCONPAR-WITHCASH | Creditcardalloconparwithcash | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| LIMITREDEMPTIONMODE            | Limitredemptionmode          | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| NATUREOF-LIMIT                 | Natureoflimit                | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| TRANSFERAL-LOCONPAR-WITHCASH   | Transferalloconparwithcash   | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| GROSS-SAMOUNT                  | Grossamount                  | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| GROSSUNITS                     | Grossunits                   | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| NETAMOUNT                      | Netamount                    | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| NETUNITS                       | Netunits                     | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| MININITIALTX-NAMOUNT           | Mininitialtxnamount          | NUMBER   | 30     | PATB_AS-SETTPRUP-LOAD |
| MININITIALTXN-UNITS            | Mininitialtxnunits           | NUMBER   | 27     | PATB_AS-SETTPRUP-LOAD |
| MINSITX-NAMOUNT                | Minsitxnamount               | NUMBER   | 30     | PATB_AS-SETTPRUP-LOAD |
| MAXSITX-NAMOUNT                | Maxsitxnamount               | NUMBER   | 30     | PATB_AS-SETTPRUP-LOAD |
| MINSITXNUNITS                  | Minsitxnunits                | NUMBER   | 27     | PATB_AS-SETTPRUP-LOAD |
| MAXSITXN-UNITS                 | Maxsitxnunits                | NUMBER   | 27     | PATB_AS-SETTPRUP-LOAD |

| Field name                 | Description               | Datatype | Length | Table Name            |
|----------------------------|---------------------------|----------|--------|-----------------------|
| EXISTINGUH                 | Existinguh                | VARCHAR2 | 2      | PATB_AS-SETTPRUP-LOAD |
| NOOFDECIMALSFORUNITPRICE   | Noofdecimalsforunitprice  | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| ROUND-OFFTRUNCATEUNITPRICE | Roundofftruncateunitprice | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| ROUND-OFFTRUNCATEUNITS     | Roundofftruncateunits     | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| PAYMENTLAG                 | Paymentlag                | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| TRANSACTION-CUTOFFTIME     | Transaction-cutofftime    | DATE     | 7      | PATB_AS-SETTPRUP-LOAD |
| ENFORCE-HOLDING-PERIOD     | Enforceholdingperiod      | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| APPLYENTRY-TIMELOAD        | Applyentry-timeload       | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| IPOMAXISSUE-SIZE           | Ipomaxissue-size          | NUMBER   | 27     | PATB_AS-SETTPRUP-LOAD |
| FLUCTUATION-ALLOWED        | Fluctuational-allowed     | NUMBER   | 5      | PATB_AS-SETTPRUP-LOAD |
| TRANSACTION-SUSPENDED      | Transaction-suspended     | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| MINSI-HOLDINGAMOUNT        | Minsiholdingamount        | NUMBER   | 30     | PATB_AS-SETTPRUP-LOAD |
| MINSIHOLDINGUNITS          | Minsiholdingunits         | NUMBER   | 27     | PATB_AS-SETTPRUP-LOAD |
| MINSIHOLDINGAPPLYFOR       | Minsiholdingapplyfor      | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |

| Field name              | Description             | Datatype | Length | Table Name            |
|-------------------------|-------------------------|----------|--------|-----------------------|
| MINSIHOLDINGINDICATOR   | Minsiholdingindicator   | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| PRICELAGCALENDARBASIS   | Pricelagcalendarbasis   | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| ALLOCLAGCALENDARBASIS   | Alloclagcalendarbasis   | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| SIDESCRIPTION           | Sidescription           | VARCHAR2 | 255    | PATB_AS-SETTPRUP-LOAD |
| SIHOLIDAYRULE           | Siholidayrule           | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| SICALENDERBASIS         | Sicalenderbasis         | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| SIGENDAY                | Sigenday                | VARCHAR2 | 3      | PATB_AS-SETTPRUP-LOAD |
| SIFREQUENCY             | Sifrequency             | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| SIGENCYCLE              | Sigencycle              | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| SICUTOFFDAY             | Sicutoffday             | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| SICUTOFFLAG             | Sicutofflag             | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| ENFORCESIRULE           | Enforcesirule           | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| PAYMENTLAGCALENDARBASIS | Paymentlagcalendarbasis | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| LINKTOUNSETTLEDINFLOWS  | Linktounsettledinflows  | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |



| Field name                     | Description                    | Datatype | Length | Table Name            |
|--------------------------------|--------------------------------|----------|--------|-----------------------|
| PSEU-DOSWITCHLAG               | Pseudoswitch-lag               | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| ALLOWSYSTEMATICPLANS           | Allowsystem-aticplans          | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| MAXINITIALTX-NAMOUNT           | Maxinitialtx-namount           | NUMBER   | 30     | PATB_AS-SETTPRUP-LOAD |
| MAXINITIALTX-UNITS             | Maxinitialtxn-units            | NUMBER   | 27     | PATB_AS-SETTPRUP-LOAD |
| PSEU-DOSWITCHLAG-CALENDARBASIS | Pseudoswitch-lagcalendar-basis | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| FULLOUTFLOW                    | Fulloutflow                    | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| MAXNOOFSI-TRANSACTIONS         | Maxnoofsi-transactions         | NUMBER   | 4      | PATB_AS-SETTPRUP-LOAD |
| MAXVALIDITY                    | Maxvalidity                    | NUMBER   | 4      | PATB_AS-SETTPRUP-LOAD |
| SILEADTIME                     | Sileadtime                     | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| APPLICABLEAC-COUNTTYPES        | Applicableac-counttypes        | VARCHAR2 | 255    | PATB_AS-SETTPRUP-LOAD |
| INFLOWOVERRIDEABLEMSG          | Inflowoverrideablemsg          | NUMBER   | 1      | PATB_AS-SETTPRUP-LOAD |
| MINMATURITYPERIOD              | Minmaturityperiod              | NUMBER   | 4      | PATB_AS-SETTPRUP-LOAD |
| MAXMATURITYPERIOD              | Maxmaturityperiod              | NUMBER   | 4      | PATB_AS-SETTPRUP-LOAD |
| FUTURE-DATELIMIT               | Future-datelimit               | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |

| Field name                | Description              | Datatype | Length | Table Name            |
|---------------------------|--------------------------|----------|--------|-----------------------|
| UHCALENDAR-BASIS          | Uhcalendarbasis          | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| NOOFDECIMALSFORUNITS      | Noofdecimalsforunits     | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |
| NOTICEPERIODDATEBASIS     | Noticeperioddatebasis    | VARCHAR2 | 1      | PATB_AS-SETTPRUP-LOAD |
| NOTICEPERIOD              | Noticeperiod             | NUMBER   | 3      | PATB_AS-SETTPRUP-LOAD |
| SIAUTOTERMINATION-COUNTER | Siautoterminationcounter | NUMBER   | 3      | PATB_AS-SETTPRUP-LOAD |
| THRESHOLDAMOUNT           | Thresholdamount          | NUMBER   | 30     | PATB_AS-SETTPRUP-LOAD |
| CONSSIAUTO-COUNTER        | Conssiauto-counter       | NUMBER   | 3      | PATB_AS-SETTPRUP-LOAD |
| MAXSITXNSALLOWED          | Maxsitxnsallowed         | NUMBER   | 3      | PATB_AS-SETTPRUP-LOAD |
| STARTTIME                 | Starttime                | VARCHAR2 | 8      | PATB_AS-SETTPRUP-LOAD |
| ENDTIME                   | Endtime                  | VARCHAR2 | 8      | PATB_AS-SETTPRUP-LOAD |
| CUTOFFTIME                | Cutofftime               | VARCHAR2 | 8      | PATB_AS-SETTPRUP-LOAD |
| GOCUTOFFLAG               | Gocutofflag              | VARCHAR2 | 8      | PATB_AS-SETTPRUP-LOAD |
| GOTHRESHOLDUNITS          | Gothresholddunits        | NUMBER   | 27     | PATB_AS-SETTPRUP-LOAD |
| GOSETTLEMENTLAG           | Gosettlementlag          | NUMBER   | 22     | PATB_AS-SETTPRUP-LOAD |

| Field name                | Description             | Datatype | Length | Table Name            |
|---------------------------|-------------------------|----------|--------|-----------------------|
| GOSETTLAG-CALENDAR        | Gosettlagcalendar       | VARCHAR2 | 1      | PATB_ASSETTPRUP-LOAD  |
| SEGMENTID                 | Segmentid               | VARCHAR2 | 20     | PATB_ASSET-LOADUPLOAD |
| SEQUENCE-NUMBER           | Sequence-number         | NUMBER   | 22     | PATB_ASSET-LOADUPLOAD |
| PROCESSID                 | Processid               | VARCHAR2 | 50     | PATB_ASSET-LOADUPLOAD |
| USERREFERENCENUMBER       | Userreferencenumber     | VARCHAR2 | 50     | PATB_ASSET-LOADUPLOAD |
| FUNDID                    | Fundid                  | VARCHAR2 | 6      | PATB_ASSET-LOADUPLOAD |
| RULEEFFECTIVEDATE         | Ruleeffective-date      | DATE     | 7      | PATB_ASSET-LOADUPLOAD |
| TRANSACTION-TYPE          | Transaction-type        | VARCHAR2 | 2      | PATB_ASSET-LOADUPLOAD |
| LOADID                    | Loadid                  | NUMBER   | 5      | PATB_ASSET-LOADUPLOAD |
| LOADTOPPRICE              | Loadtopprice            | NUMBER   | 22     | PATB_ASSET-LOADUPLOAD |
| FROMENTITY-TYPE           | Fromentity-type         | VARCHAR2 | 1      | PATB_ASSET-LOADUPLOAD |
| TOENTITYTYPE              | Toentitytype            | VARCHAR2 | 1      | PATB_ASSET-LOADUPLOAD |
| PROCESSING-FREQ           | Processing-freq         | VARCHAR2 | 1      | PATB_ASSET-LOADUPLOAD |
| GLACCOUNT-CODE            | Glaccount-code          | VARCHAR2 | 10     | PATB_ASSET-LOADUPLOAD |
| DEBITOR-CREDIT            | Debitorcredit           | VARCHAR2 | 1      | PATB_ASSET-LOADUPLOAD |
| CONTRAAC-COUNTCODE        | Contraac-countcode      | VARCHAR2 | 10     | PATB_ASSET-LOADUPLOAD |
| NOOFDECIMALSFORLOAD       | Noofdecimalsforload     | NUMBER   | 1      | PATB_ASSET-LOADUPLOAD |
| ROUND-OFFTRUNCATE-FORLOAD | Roundofftruncateforload | VARCHAR2 | 1      | PATB_ASSET-LOADUPLOAD |

| Field name         | Description             | Datatype | Length | Table Name            |
|--------------------|-------------------------|----------|--------|-----------------------|
| MANDATORY          | Mandatory               | VARCHAR2 | 1      | PATB_ASSET-LOADUPLOAD |
| PRIORITYNO         | Priorityno              | NUMBER   | 22     | PATB_ASSET-LOADUPLOAD |
| LOADVATTO-PRICE    | Loadvattoprice          | NUMBER   | 22     | PATB_ASSET-LOADUPLOAD |
| APPLYRETVALON      | Applyretvalon           | VARCHAR2 | 1      | PATB_ASSET-LOADUPLOAD |
| SENDFORGLINTERFACE | Sendforglinter-<br>face | NUMBER   | 1      | PATB_ASSET-LOADUPLOAD |
| REFTYPE            | Reftype                 | VARCHAR2 | 4      | PATB_ASSET-LOADUPLOAD |
| DIFFERENTIAL-FEE   | Differentialfee         | NUMBER   | 1      | PATB_ASSET-LOADUPLOAD |
| SLABBASIS          | Slabbasis               | VARCHAR2 | 1      | PATB_ASSET-LOADUPLOAD |
| PRICEADDIN         | Priceaddin              | NUMBER   | 1      | PATB_ASSET-LOADUPLOAD |
| GROUPID            | Groupid                 | VARCHAR2 | 6      | PATB_ASSET-LOADUPLOAD |
| INDEXATION-ONCGT   | Indexationon-<br>cgt    | VARCHAR2 | 1      | PATB_ASSET-LOADUPLOAD |
| LEVELID            | Levelid                 | NUMBER   | 22     | PATB_ASSET-LOADUPLOAD |
| DEPENDENTLEVEL     | Dependentlev<br>el      | NUMBER   | 22     | PATB_ASSET-LOADUPLOAD |
| FDAPINCOME         | Fdapincome              | NUMBER   | 1      | PATB_ASSET-LOADUPLOAD |

## 2.67 Asset Maintenance Import

This section contains the following topics:

- [Section 2.67.1, "Segment Identification Number"](#)
- [Section 2.67.2, "Asset Maintenance Import"](#)

## 2.67.1 Segment Identification Number

| Segment Identification | Segment Identification                                    |
|------------------------|---|
| 00AST                  | This segment is used to provide Asset master details      |
| 01TXN                  | This segment is used to provide Transaction rules details |
| 02LOD                  | This segment is used to provide Load details              |
| 03ADD                  | This segment is used to provide Addinfo details           |

## 2.67.2 Asset Maintenance Import

| Field name      | Description      | Datatype  | Length | Table Name             |
|-----------------|------------------|-----------|--------|------------------------|
| SEGMENTID       | Segmentid        | VAR-CHAR2 | 20     | PATB_ASSETMAINTUP-LOAD |
| SEQUENCE-NUMBER | Sequence number  | NUMBER    | 10     | PATB_ASSETMAINTUP-LOAD |
| PROCESSID       | Processid        | VAR-CHAR2 | 50     | PATB_ASSETMAINTUP-LOAD |
| BULKCLIENT-CODE | Bulkclient-code  | VAR-CHAR2 | 12     | PATB_ASSETMAINTUP-LOAD |
| UPLOADMODE      | Upload Mode      | VAR-CHAR2 | 1      | PATB_ASSETMAINTUP-LOAD |
| ASSETCLASS      | Assetclass       | VAR-CHAR2 | 10     | PATB_ASSETMAINTUP-LOAD |
| ASSETID         | Assetid          | VAR-CHAR2 | 6      | PATB_ASSETMAINTUP-LOAD |
| ASSET-NAMESHORT | Asset-nameshort  | VAR-CHAR2 | 90     | PATB_ASSETMAINTUP-LOAD |
| ASSETBA-SECCY   | Assetba-seccy    | VAR-CHAR2 | 3      | PATB_ASSETMAINTUP-LOAD |
| QUOTATION       | Quotation        | VAR-CHAR2 | 100    | PATB_ASSETMAINTUP-LOAD |
| EXTERNAL-SYSTEM | External system  | VAR-CHAR2 | 255    | PATB_ASSETMAINTUP-LOAD |
| MAKER_ID        | Maker Id         | VAR-CHAR2 | 15     | PATB_ASSETMAINTUP-LOAD |
| MAKER_DT_STAMP  | Maker Date Stamp | DATE      | 7      | PATB_ASSETMAINTUP-LOAD |
| CHECKER_ID      | Checker_Id       | VAR-CHAR2 | 15     | PATB_ASSETMAINTUP-LOAD |

| Field name        | Description       | Datatype  | Length | Table Name               |
|-------------------|-------------------|-----------|--------|--------------------------|
| CHECK-ER_DT_STAMP | Check-er_Dt_Stamp | DATE      | 7      | PATB_ASSETMAINTUP-LOAD   |
| AUTH_STAT         | Auth_Stat         | VAR-CHAR2 | 1      | PATB_ASSETMAINTUP-LOAD   |
| ONCE_AUTH         | Once_Auth         | VAR-CHAR2 | 1      | PATB_ASSETMAINTUP-LOAD   |
| RECORD_STAT       | Record_Stat       | VAR-CHAR2 | 1      | PATB_ASSETMAINTUP-LOAD   |
| MOD_NO            | Mod_No            | NUMBER    | 22     | PATB_ASSETMAINTUP-LOAD   |
| REMARKS           | Remarks           | VAR-CHAR2 | 255    | PATB_ASSETMAINTUP-LOAD   |
| MODULEID          | Moduleid          | VAR-CHAR2 | 30     | PATB_ASSETMAINTUP-LOAD   |
| NOOFDECIMALS      | Noofdecimals      | NUMBER    | 22     | PATB_ASSETMAINTUP-LOAD   |
| SEGMENTID         | Segmentid         | VAR-CHAR2 | 20     | PATM_ASSETADDIN-FOUPLOAD |
| SEQUENCE-NUMBER   | Sequence number   | NUMBER    | 22     | PATM_ASSETADDIN-FOUPLOAD |
| PROCESSID         | Processid         | VAR-CHAR2 | 50     | PATM_ASSETADDIN-FOUPLOAD |
| ASSETID           | Assetid           | VAR-CHAR2 | 6      | PATM_ASSETADDIN-FOUPLOAD |
| ADDINFOLABEL      | Addinfo-label     | VAR-CHAR2 | 30     | PATM_ASSETADDIN-FOUPLOAD |
| FIELDVALUE        | Field value       | CHAR      | 23     | PATM_ASSETADDIN-FOUPLOAD |
| ASSETCLASS        | Assetclass        | VAR-CHAR2 | 10     | PATM_ASSETADDIN-FOUPLOAD |
| SEGMENTID         | Segmentid         | VAR-CHAR2 | 20     | PATM_ASSETLOADDE-UPLOAD  |
| SEQUENCE-NUMBER   | Sequence number   | NUMBER    | 10     | PATM_ASSETLOADDE-UPLOAD  |
| PROCESSID         | Process Id        | VAR-CHAR2 | 50     | PATM_ASSETLOADDE-UPLOAD  |
| ASSETID           | Asset Id          | VAR-CHAR2 | 6      | PATM_ASSETLOADDE-UPLOAD  |

| Field name            | Description           | Datatype  | Length | Table Name                |
|-----------------------|-----------------------|-----------|--------|---------------------------|
| TRANSACTIONTYPE       | Transaction type      | VAR-CHAR2 | 2      | PATM_ASSETLOADDETT-UPLOAD |
| LOADID                | Loadid                | NUMBER    | 5      | PATM_ASSETLOADDETT-UPLOAD |
| LOADDESCRIPTION       | Loaddescription       | VAR-CHAR2 | 255    | PATM_ASSETLOADDETT-UPLOAD |
| ASSETCLASS            | Assetclass            | VAR-CHAR2 | 10     | PATM_ASSETLOADDETT-UPLOAD |
| SEGMENTID             | Segmentid             | VAR-CHAR2 | 20     | PATM_ASSETTXNRULE-UPLOAD  |
| SEQUENCE-NUMBER       | Sequence number       | NUMBER    | 10     | PATM_ASSETTXNRULE-UPLOAD  |
| PROCESSID             | Processid             | VAR-CHAR2 | 50     | PATM_ASSETTXNRULE-UPLOAD  |
| ASSETID               | Assetid               | VAR-CHAR2 | 6      | PATM_ASSETTXNRULE-UPLOAD  |
| TRANSACTIONTYPE       | Transaction Type      | VAR-CHAR2 | 2      | PATM_ASSETTXNRULE-UPLOAD  |
| TRANSACTIONSTART-DATE | Transaction-startdate | DATE      | 7      | PATM_ASSETTXNRULE-UPLOAD  |
| TRANSACTIONENDDATE    | Transactionenddate    | DATE      | 7      | PATM_ASSETTXNRULE-UPLOAD  |
| MINTRANSACTIONAMOUNT  | Mintransactionamount  | NUMBER    | 30     | PATM_ASSETTXNRULE-UPLOAD  |
| STEPAMOUNT            | Step Amount           | NUMBER    | 30     | PATM_ASSETTXNRULE-UPLOAD  |
| MAXTRANSACTIONAMOUNT  | Maxtransactionamount  | NUMBER    | 30     | PATM_ASSETTXNRULE-UPLOAD  |
| MINTRANSACTIONUNITS   | Mintransactionunits   | NUMBER    | 27     | PATM_ASSETTXNRULE-UPLOAD  |
| STEPUNITS             | Step units            | NUMBER    | 27     | PATM_ASSETTXNRULE-UPLOAD  |
| MAXTRANSACTIONUNITS   | Maxtransactionunits   | NUMBER    | 27     | PATM_ASSETTXNRULE-UPLOAD  |
| TRANSACTIONENABLED    | Transaction enabled   | NUMBER    | 1      | PATM_ASSETTXNRULE-UPLOAD  |
| MININITIALTX-NAMOUNT  | Mininitialtx-amount   | NUMBER    | 30     | PATM_ASSETTXNRULE-UPLOAD  |

| <b>Field name</b>    | <b>Description</b>   | <b>Datatype</b> | <b>Length</b> | <b>Table Name</b>        |
|----------------------|----------------------|-----------------|---------------|--------------------------|
| MININITIALTX-NUNITS  | Mininitialtx-nunits  | NUMBER          | 27            | PATM_ASSETTXNRULE-UPLOAD |
| MAXINITIALTX-NAMOUNT | Maxinitialtx-namount | NUMBER          | 30            | PATM_ASSETTXNRULE-UPLOAD |
| MAXINITIALTX-NUNITS  | Maxinitialtx-nunits  | NUMBER          | 27            | PATM_ASSETTXNRULE-UPLOAD |
| ASSETCLASS           | Assetclass           | VAR-CHAR2       | 10            | PATM_ASSETTXNRULE-UPLOAD |



## 2.68 Import From External System To Amount Block Upload Format

| Field Name           | Description                  | Data Type | Length | Table Name     |
|----------------------|------------------------------|-----------|--------|----------------|
| AMTBLKNO             | Amount block number          | VARCHAR2  | 35     | BULK_AMT_BLOCK |
| ACC                  | Account                      | VARCHAR2  | 20     | BULK_AMT_BLOCK |
| AMT                  | Amount                       | NUMBER    | 30     | BULK_AMT_BLOCK |
| EFFDATE              | Effective Date               | DATE      | 7      | BULK_AMT_BLOCK |
| EXPDATE              | Expiry Date                  | DATE      | 7      | BULK_AMT_BLOCK |
| REM                  | Remittance                   | VARCHAR2  | 255    | BULK_AMT_BLOCK |
| ABLKTYPE             | Amount Block Type            | VARCHAR2  | 1      | BULK_AMT_BLOCK |
| BRANCH               | Branch                       | VARCHAR2  | 3      | BULK_AMT_BLOCK |
| HOLD_CODE            | Hold Codes                   | VARCHAR2  | 15     | BULK_AMT_BLOCK |
| REFERENCE_NO         | Reference number             | VARCHAR2  | 40     | BULK_AMT_BLOCK |
| VERIFY_AVAILABLE_BAL | Verify available balance     | VARCHAR2  | 1      | BULK_AMT_BLOCK |
| KEYSTRING            | Key String                   | VARCHAR2  | 50     | BULK_AMT_BLOCK |
| ACTION               | Actions                      | VARCHAR2  | 1      | BULK_AMT_BLOCK |
| RELATEDREFNO         | Related reference number     | VARCHAR2  | 40     | BULK_AMT_BLOCK |
| EVENSEQNO            | even sequence number         | VARCHAR2  | 40     | BULK_AMT_BLOCK |
| BENEMAILID           | Beneficiary mail ID          | VARCHAR2  | 40     | BULK_AMT_BLOCK |
| BENFACEBOOKID        | Beneficiary booking ID       | VARCHAR2  | 40     | BULK_AMT_BLOCK |
| BENTELEPHONENO       | Beneficiary telephone number | VARCHAR2  | 40     | BULK_AMT_BLOCK |
| FUNDAVL-CHECK        | Fund available balance       | VARCHAR2  | 40     | BULK_AMT_BLOCK |
| SEQNO                | Sequence number              | VARCHAR2  | 16     | BULK_AMT_BLOCK |

## 2.69 AmountBlock Export to External Systems Modify Upload Format

| Field Name     | Description              | Data Type | Length | Table Name     |
|----------------|--------------------------|-----------|--------|----------------|
| AMTBLKNO       | Amount block number      | VAR-CHAR2 | 35     | BULK_AMT_BLOCK |
| ACC            | Account                  | VAR-CHAR2 | 20     | BULK_AMT_BLOCK |
| AMT            | Amount                   | NUMBER    | 22     | BULK_AMT_BLOCK |
| EFFDATE        | Effective date           | DATE      | 7      | BULK_AMT_BLOCK |
| EXPDATE        | Expiry date              | DATE      | 7      | BULK_AMT_BLOCK |
| REM            | Remittance               | VAR-CHAR2 | 255    | BULK_AMT_BLOCK |
| ABLKTYPE       | Amount block type        | VAR-CHAR2 | 1      | BULK_AMT_BLOCK |
| BRANCH         | Branch                   | VAR-CHAR2 | 3      | BULK_AMT_BLOCK |
| HOLD_CODE      | Hold code                | VAR-CHAR2 | 15     | BULK_AMT_BLOCK |
| REFERENCE_NO   | Reference number         | VAR-CHAR2 | 40     | BULK_AMT_BLOCK |
| VERIFY_AVL_BAL | Verify available balance | VAR-CHAR2 | 1      | BULK_AMT_BLOCK |
| KEYSTRING      | Keystring                | VAR-CHAR2 | 50     | BULK_AMT_BLOCK |
| ACTION         | Action                   | VAR-CHAR2 | 1      | BULK_AMT_BLOCK |
| RELATEDREFNO   | Related reference number | VAR-CHAR2 | 40     | BULK_AMT_BLOCK |
| EVENSEQNO      | Event sequence number    | VAR-CHAR2 | 40     | BULK_AMT_BLOCK |
| BENEMAILID     | Beneficiary mail ID      | VAR-CHAR2 | 40     | BULK_AMT_BLOCK |

| <b>Field Name</b> | <b>Description</b>       | <b>Data Type</b> | <b>Length</b> | <b>Table Name</b> |
|-------------------|--------------------------|------------------|---------------|-------------------|
| BENFACE-BOOKID    | Beneficiary booking ID   | VAR-CHAR2        | 40            | BULK_AMT_BLOCK    |
| BENTEL-PHONENO    | Beneficiary phone number | VAR-CHAR2        | 40            | BULK_AMT_BLOCK    |
| FUNDAVLCHECK      | Fund available balance   | VAR-CHAR2        | 40            | BULK_AMT_BLOCK    |
| SEQNO             | Sequence number          | VAR-CHAR2        | 16            | BULK_AMT_BLOCK    |

## 2.70 AmountBlock Export to External Systems Close Upload Format

| Field Name     | Description              | Data Type | Length | Table Name     |
|----------------|--------------------------|-----------|--------|----------------|
| KEYSTRING      | Keystring                | VAR-CHAR2 | 200    | BULK_AMT_BLOCK |
| AMTBLKNO       | Amount block number      | VAR-CHAR2 | 140    | BULK_AMT_BLOCK |
| ACC            | Account                  | VAR-CHAR2 | 80     | BULK_AMT_BLOCK |
| AMT            | Amount                   | NUMBER    | 22     | BULK_AMT_BLOCK |
| EFFDATE        | Effective Date           | DATE      | 7      | BULK_AMT_BLOCK |
| EXPDATE        | Expiry Date              | DATE      | 7      | BULK_AMT_BLOCK |
| REM            | Remittance               | VAR-CHAR2 | 1020   | BULK_AMT_BLOCK |
| ABLKTYPE       | Amount block type        | VAR-CHAR2 | 4      | BULK_AMT_BLOCK |
| BRANCH         | Branch                   | VAR-CHAR2 | 12     | BULK_AMT_BLOCK |
| SEQNO          | Sequence Number          | VAR-CHAR2 | 64     | BULK_AMT_BLOCK |
| REFERENCE_NO   | Reference number         | VAR-CHAR2 | 160    | BULK_AMT_BLOCK |
| VERIFY_AVL_BAL | Verify available balance | VAR-CHAR2 | 4      | BULK_AMT_BLOCK |
| ACTION         | Action                   | VAR-CHAR2 | 4      | BULK_AMT_BLOCK |
| RELATEDREFNO   | Related reference number | VAR-CHAR2 | 160    | BULK_AMT_BLOCK |
| EVENSEQNO      | Event sequence number    | VAR-CHAR2 | 160    | BULK_AMT_BLOCK |
| BENEMAILID     | Beneficiary mail ID      | VAR-CHAR2 | 160    | BULK_AMT_BLOCK |

| Field Name     | Description              | Data Type | Length | Table Name     |
|----------------|--------------------------|-----------|--------|----------------|
| BENFACE-BOOKID | Beneficiary booking ID   | VAR-CHAR2 | 160    | BULK_AMT_BLOCK |
| BENTEL-PHONENO | Beneficiary phone number | VAR-CHAR2 | 160    | BULK_AMT_BLOCK |
| FUNDAVLCHECK   | Fund available check     | VAR-CHAR2 | 160    | BULK_AMT_BLOCK |

## 2.71 Import From External System To Amount Block Upload Format

| Field Name | Description         | Data Type | Length | Table Name            |
|------------|---------------------|-----------|--------|-----------------------|
| AMTBLKNO   | Amount block number | VAR-CHAR2 | 16     | AMTBLOCKRESPONSESETBL |
| SEQNO      | Sequence number     | VAR-CHAR2 | 16     | AMTBLOCKRESPONSESETBL |
| ERRORCODE  | Error code          | VAR-CHAR2 | 16     | AMTBLOCKRESPONSESETBL |
| STATUS     | Status              | VAR-CHAR2 | 1      | AMTBLOCKRESPONSESETBL |

## 2.72 Accounting Export Upload Format

Accounting Export file will be exported in the back-end server only.

| Field Name  | Description             | Data Type | Length | Table Name           |
|-------------|-------------------------|-----------|--------|----------------------|
| SEGMENTID   | Segment ID              | VAR-CHAR2 | 20     | BULK_AETB_TXN_MASTER |
| SOURCECODE  | Source Code             | VAR-CHAR2 | 64     | BULK_AETB_TXN_MASTER |
| SOURCEREF   | Source reference number | VAR-CHAR2 | 140    | BULK_AETB_TXN_MASTER |
| SOURCESEQNO | Source sequence number  | VAR-CHAR2 | 64     | BULK_AETB_TXN_MASTER |

| Field Name     | Description               | Data Type | Length | Table Name                   |
|----------------|---------------------------|-----------|--------|------------------------------|
| BRANCHCODE     | Branch code               | VAR-CHAR2 | 12     | BULK_AETB_TXN_MASTER         |
| FUNCTION_ID    | Function ID               | VAR-CHAR2 | 32     | BULK_AETB_TXN_MASTER         |
| ACTION_CODE    | Action Code               | VAR-CHAR2 | 64     | BULK_AETB_TXN_MASTER         |
| UPLOAD_ID      | Upload ID                 | VAR-CHAR2 | 64     | BULK_AETB_TXN_MASTER         |
| MODULE         | Module                    | VAR-CHAR2 | 8      | BULK_AETB_TXN_MASTER         |
| UNIQUEREFNUM   | Unique reference number   | VAR-CHAR2 | 64     | BULK_AETB_TXN_MASTER         |
| EXTERNAL-REFNO | External reference number | VAR-CHAR2 | 120    | BULK_AETB_TXN_MASTER         |
| STATUS         | Status                    | VAR-CHAR2 | 4      | BULK_AETB_TXN_MASTER         |
| SEGMENTID      | Segment ID                | VAR-CHAR2 | 20     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| SOURCE_CODE    | Source code               | VAR-CHAR2 | 64     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| SOURCE_REF     | Source reference number   | VAR-CHAR2 | 140    | BULK_DETBJRNL_TXN_UPL_DETAIL |
| SOURCE_SEQ_NO  | Source sequence number    | VAR-CHAR2 | 64     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| BRANCH_CODE    | Branch code               | VAR-CHAR2 | 12     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| UNIQUEREFNO    | Unique reference number   | VAR-CHAR2 | 64     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| EXTERNAL-REFNO | External reference number | VAR-CHAR2 | 120    | BULK_DETBJRNL_TXN_UPL_DETAIL |
| MODULE         | Module                    | VAR-CHAR2 | 8      | BULK_DETBJRNL_TXN_UPL_DETAIL |

| Field Name       | Description             | Data Type | Length | Table Name                   |
|------------------|-------------------------|-----------|--------|------------------------------|
| EVENTSRNO        | Event serial number     | NUMBER    | 22     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| EVENT            | Event                   | VAR-CHAR2 | 16     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| AMTBLOCKNO       | Amount block number     | VAR-CHAR2 | 140    | BULK_DETBJRNL_TXN_UPL_DETAIL |
| ACBRANCH         | Branch                  | VAR-CHAR2 | 12     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| ACNO             | Account number          | VAR-CHAR2 | 80     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| ACCCY            | Account currency        | VAR-CHAR2 | 12     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| FORCEPOST        | Force post              | VAR-CHAR2 | 40     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| DRCRIND          | Debit Credit indicator  | CHAR      | 4      | BULK_DETBJRNL_TXN_UPL_DETAIL |
| TRNCODE          | Transaction code        | VAR-CHAR2 | 12     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| AMOUNTTAG        | Amount tag              | VAR-CHAR2 | 140    | BULK_DETBJRNL_TXN_UPL_DETAIL |
| FCYAMOUNT        | Foreign currency amount | NUMBER    | 22     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| EXCHRATE         | Exchange rate           | NUMBER    | 22     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| LCYAMOUNT        | Local currency amount   | NUMBER    | 22     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| RELATEDCUSTOMER  | Related customer        | VAR-CHAR2 | 36     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| RELATEDACCOUNT   | Related account         | VAR-CHAR2 | 80     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| RELATEDREFERENCE | Related reference       | VAR-CHAR2 | 64     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| TRNDT            | Transaction details     | DATE      | 7      | BULK_DETBJRNL_TXN_UPL_DETAIL |

| Field Name      | Description     | Data Type | Length | Table Name                   |
|-----------------|-----------------|-----------|--------|------------------------------|
| VALUEDT         | Value details   | DATE      | 7      | BULK_DETBJRNL_TXN_UPL_DETAIL |
| INSTRUMENT-CODE | Instrument code | VAR-CHAR2 | 64     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| MISHEAD         |                 | VAR-CHAR2 | 36     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| PRODUCT         | Product         | VAR-CHAR2 | 16     | BULK_DETBJRNL_TXN_UPL_DETAIL |
| REMARKS         | Remarks         | VAR-CHAR2 | 140    | BULK_DETBJRNL_TXN_UPL_DETAIL |
| STATUS          | Status          | VAR-CHAR2 | 4      | BULK_DETBJRNL_TXN_UPL_DETAIL |



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## 3. Appendix B - Upload File Formats B

This chapter contains the following sections:

- [Section 3.1, "Fund Rules Upload Formats"](#)
- [Section 3.2, "Incremental Upload Format"](#)
- [Section 3.3, "Sub Service Upload Format"](#)
- [Section 3.4, "Entity Upload Format"](#)
- [Section 3.5, "Broker Upload Format"](#)
- [Section 3.6, "Unit Holder Upload Format"](#)
- [Section 3.7, "Transactions Upload Format"](#)
- [Section 3.8, "Fund Entity Upload Format"](#)
- [Section 3.9, "Account Officer Upload Format"](#)
- [Section 3.10, "Agency Branch AO Upload Format"](#)

### 3.1 Fund Rules Upload Formats

This section contains the following topics:

- [Section 3.1.1, "Segment Identification Number"](#)
- [Section 3.1.2, "Fund Rules Upload Segment Formats"](#)
- [Section 3.1.3, "PROCESSINGFREQ \(ref 11FLM, 12.10\)"](#)
- [Section 3.1.4, "Fund Related Information Upload Segments Format"](#)
- [Section 3.1.5, "EPU Upload File Format"](#)
- [Section 3.1.6, "Tax Aggregation Interface Format"](#)
- [Section 3.1.7, "Agent Reference File"](#)
- [Section 3.1.8, "Global Order Placement Interface"](#)
- [Section 3.1.9, "GL Setup Upload Interface Format"](#)
- [Section 3.1.10, "Interface to Check for Transaction Unrelated to GL Extraction"](#)
- [Section 3.1.11, "File Format for OMIPAY FILE INTERFACE"](#)
- [Section 3.1.12, "File format for Header Record"](#)
- [Section 3.1.13, "File format for Detailed Record"](#)

#### 3.1.1 Segment Identification Number

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. Each segment identified by a unique tag, indicates the type of fund rule information such as Demographics, Shares Characteristics

and so on. The possible segments that would be used in Fund upload are given below for reference:

| <b>Segment Identification Number</b> | <b>Description</b>  | <b>Segment Mandatory / Optional?</b>  | <b>Multiple records allowed for the same Fund and Rule Effective Date</b> |
|--------------------------------------|---|---|---|
| 00GEN                                | This segment is used for providing information common to the other fund rules that need to be uploaded in the system. | Mandatory; and will be the driving header segment for all the other segments. | No  |
| 01FDR                                | This segment is used for providing Fund Demographics related information  | Mandatory   | No  |
| 02SCR                                | This segment is used for providing Shares Characteristics related information   | Mandatory   | No  |
| 03GOR                                | This segment is used for providing General Operating rules information  | Mandatory   | No  |
| 04TPR                                | This segment is used for providing Transaction processing rules information   | Mandatory   | Yes (For each transaction type - there is a separate record)              |
| 05TCM                                | This segment is used for providing Transaction Processing Communication Mode information                              | Mandatory   | Yes (For each transaction type - there is a separate record)              |
| 06INV                                | This segment is used for providing Transaction Processing Investor Category related information                       | Mandatory   | Yes (For each transaction type - there is a separate record)              |
| 07IDR                                | This segment is used for providing Corporate Action related information   | Optional  | Yes (For cash and Stock dividend information)                             |
| 08FSC                                | This segment is used for providing Fund Sale Country information  | Mandatory   | Yes   |
| 09FRL                                | This segment is used for providing Fund Residency List information  | Mandatory   | Yes   |
| 10FFM                                | This segment is used for providing Fund Formula information   | Optional  | Yes   |

| Segment Identification Number | Description   | Segment Mandatory / Optional? | Multiple records allowed for the same Fund and Rule Effective Date |
|-------------------------------|---|-------------------------------|--|
| 11FLM                         | This segment is used for providing Fund Load information                        | Optional                      | Yes  |
| 12FTC                         | This segment is used for providing Fund Transaction Currency information        | Mandatory                     | Yes  |
| 13FAI                         | This segment is used for providing Fund Additional Information                  | Mandatory                     | No   |
| 14IRA                         | This segment is used for providing Fund IRA Information, if applicable          | Optional                      | No   |
| 15FDI                         | This segment is used for providing Fund Delivery Instructions, if applicable    | Optional                      | No   |
| 17KYC                         | This segment is used for providing the Customer document details, if applicable | Optional                      | Yes  |
| 18MLD                         | This segment is used for providing Multi language details, if applicable        | Optional                      | Yes  |
| 19FSI                         | This segment is used for providing Fund Investment Account SI, if applicable    | Optional                      | Yes  |
| 20HFP                         | This segment is used to include hedge fund parameters                           | Optional                      | No   |
| 21HFL                         | This segment is used to provide hedge fund linkage details                      | Optional                      | Yes  |
| 22HFD                         | This segment is used to provide investor category details for hedge funds       | Optional                      | Yes  |

Each of the segments have variable list of fields stored as information pertaining to that segment. The list of fields for each segment is mentioned in the following tables along with the description of the segment.

### 3.1.2 Fund Rules Upload Segment Formats

Serial Number: 1

**Segment Identification Number:** 00GEN

**Description:** This segment is used for providing information that is common to the other fund rules that need to be uploaded in the system

**Mandatory:** Mandatory and will be the driving header segment for all the other segments.

**Multiple records allowed for the same fund and Rule Effective Date:** No

| Field Name      | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|-----------------|--------------|----------------|-----------------------|--|--|
| PROCESSID       | Alphanumeric | 50             | Mandatory             |  | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later  |
| BULKCLIENTCODE  | Alphanumeric | 12             | Mandatory             |  | Valid authorized, enabled Bulk client codes maintained in the system as a part of Entity Maintenance.  |
| IMPORT-FILENAME | Alphanumeric | 255            | Mandatory             |  | This is the name of the file being uploaded into the FCIS system   |
| AMCID           | Alphanumeric | 12             | Mandatory             |  | Valid authorized, enabled AMC maintained in the system as a part of Entity Maintenance. The fund rule upload defaulting would be based on both AMCID and the Bulk client code. |
| USERID          | Alphanumeric | 15             | Mandatory             |  | The fund rule MakerID would have the value of UserID as a part of data storage in all the fund rule tables.  |

| Field Name            | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description  |
|-----------------------|--------------|----------------|-----------------------|--|--|
| UPLOAD-MODE           | Alphanumeric | 1              | Mandatory             | Valid values are 'N' and 'I'   | Should be 'N' for New fund rule, and 'I' for Incremental for amending an existing authorized fund rule.    |
| GENERATEAUTH-FUNDRULE | Alphanumeric | 1              | Optional              | Valid values are 'A' and 'U'   | This indicates whether the fund rule uploaded should be a authorized fund rule or un-authorized fund rule. |
| DEFAULTOPTION         | Alphanumeric | 15             | Optional              | Valid values are Null which means 'No defaulting'; 'BULKCLIENT-CODE' means defaulting information based on Bulk Client code mentioned as a part of this segment; existing valid template id based on which defaulting would happen | This indicates if data needs to be defaulted based on any existing templates or based on bulk client code. |

**Serial Number:** 2

**Segment Identification Number:** 01FDR

**Description:** This segment is used for providing Fund demographics related information

**Segment Mandatory / Optional:** Mandatory

**Multiple records allowed for the same Fund and Rule Effective Date: No**

| Field Name           | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description  |
|----------------------|---------------|----------------|-----------------------|---|--|
| SEG-MEN-TID          | Alpha-numeric | 20             | Mandatory             | Default value 01FDR   | Standard value of 01FDR to uniquely identify Fund demographics information   |
| PRO-CESSID           | Alpha-numeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID  | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later                        |
| FUNDID               | Alpha-numeric | 6              | Mandatory             |   | The Fund ID is a unique Identification for each Fund in the system. Enter a unique value for the fund for which a Fund Demographics record is being set up |
| RULEE FFEC-TIVE-DATE | Date          | 8              | Mandatory             | The Rule Effective Date should be later than (or same as) the Fund Start Date and it should also be later than (or same as) the current application date of the system. | Specify the date from when the Fund Demographics Rule that is currently being set up should become effective.  |
| FUND-START-DATE      | Date          | 8              | Mandatory             |   | Specify the date upon which the fund is to begin operations  |
| FUND-NAME            | Alpha-numeric | 60             | Mandatory             |   | Enter the name of the fund for which the Fund Demographics profile is being set up in this record  |

| Field Name         | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description   |
|--------------------|---------------|----------------|-----------------------|---|---|
| FUND-NAMES HORT    | Alpha-numeric | 15             | Mandatory             |   | Enter the short name of the fund for which the Fund Demographics profile is being set up in this record   |
| FUND-CLASS         | Alpha-numeric | 1              | Optional              | Valid values are 'O' for Open-ended and 'C' for Closed-ended  | Enter the fund class as either Open Ended and Closed-Ended Funds  |
| FUND-TYPE          | Number        | 38             | Optional              | Valid Fund types defined in the system  | Enter the value of Fund Type. The Fund Types are set up as a part of the Fund Type Maintenance.   |
| FUND-FAMILY        | Alpha-numeric | 2              | Optional              | Valid Fund families defined in the system   | Enter the fund family under which the fund must be placed   |
| FUND-BASE-CURRENCY | Alpha-numeric | 3              | Optional              | Valid Currency code defined in the system   | Specify the currency code in which the fund will be denominated. The NAV and dividend declarations for the fund will be in this base currency.  |
| FUND-COUNTRY       | Alpha-numeric | 3              | Optional              | Valid Domicile code defined in the system as a part of Params Maintenance                               | Enter the country of domicile of the fund. This information will be used to determine whether a unit holder that subscribes to the fund must be considered a Foreign unit holder or not |
| FISCAL-START YEAR  | DATE          | 8              | Optional              | The Fiscal Start Date must be earlier than the application date and the Rule Effective Date of the fund | Enter the date from when the Fiscal Year should start for the fund  |

| Field Name       | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description  |
|------------------|---------------|----------------|-----------------------|---|--|
| FISCALEND-YEAR   | DATE          | 8              | Optional              | The Fiscal End Date should be later than (or same as) the current date of the system. It should also be later than (or same as) the Rule Effective Date of the fund that is being set up. | Enter the date on which the Fiscal Year should end for the fund  |
| AMCID            | Alpha-numeric | 12             | Optional              | Valid authorized AMC defined in the system as a part of entity maintenance  | Specify the AMC that this Fund will be operative in  |
| BROKER-SALLO WED | Number        | 1              | Optional              | Valid values are 1 and 0  | if brokers are to be mandatory for the given Fund, then a value of '1' should be entered else enter 0.       |
| REGISTRARID      | Alpha-numeric | 12             | Optional              | Valid Registrar defined in the system as a part of Entity maintenance   | Enter the Registrar ID for the fund being set up   |
| TRUSTEEID        | Alpha-numeric | 12             | Optional              | Valid Trustee defined in the system as a part of Entity maintenance   | Enter the Trustee ID for the fund being set up   |
| FUNDENABLED      | Number        | 1              | Optional              | Valid values are 1 and 0  | Every new fund is created in the system initially as an enabled fund. Hence, the value can be defaulted to 1 |



| Field Name                | Type          | Maximum Length | Mandatory / Optional?   | Characteristics / Validations applicable | Description  |
|---------------------------|---------------|----------------|---|--|--|
| MIN-HOLD-INGPERIOD        | Number        |                | Optional  |  | Enter the lock in period for the investors investing in the fund so that outflow transaction would be possible only after this period              |
| MINAMOUNT-FOR-TAXID       | Number        | 15             | Optional  | Maximum of 3 decimal places allowed      | Enter the minimum subscription / IPO subscription amount beyond which it is necessary / preferable to capture the Tax details for any unit holder. |
| PRIMARYREGADDRESS         | Alpha-numeric | 255            | Optional  |  | Registered Address for the fund  |
| FUND-TAXID                | Alpha-numeric | 25             | Optional  |  | Unique Tax ID for the fund   |
| FUNDIDENTIFICATION-NUMBER | Alpha-numeric | 25             | Mandatory for DEKA Installation. For others this is optional. |  | Fund ISIN number that helps to uniquely identify the fund  |
| FUND-TICKERSYMBOL         | Alpha-numeric | 25             | Optional  |  | This is another value that helps to uniquely identify the fund. However, if this is not applicable, it can be entered as null also.                |
| ALLOWIRAINVESTMENTS       | Number        | 1              | Optional  | Valid values are 0 and 1                 | Only if IRA transactions are allowed, the value should be specified as 1. Else specify 0.  |

| Field Name | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                              | Description                                   |
|------------|---------------|----------------|-----------------------|---|---|
| CUSTODIAN  | Alpha-numeric | 12             | Optional              | Valid custodian defined in the system as a part of Entity maintenance | Enter the Custodian for the fund being set up |
| HEDGE FUND | Alpha-numeric | 1              | Optional              | Valid values are 0 and 1  | Indicate whether the fund is a hedge fund     |

**Serial Number:** 3

**Segment Identification Number:** 02SCR

**Description:** This segment is used for providing Shares Characteristics related information

**Segment Mandatory/Optional? :** Mandatory

**Multiple records allowed for the same Fund and Rule Effective Date:** No

| Field Name | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|------------|--------------|----------------|-----------------------|--|--|
| SEGMENTID  | Alphanumeric | 20             | Mandatory             | Default value 02SCR                      | Standard value of 02SCR to uniquely identify Shares characteristics information  |
| PROCESSID  | Alphanumeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID       | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later            |
| FUNDID     | Alphanumeric | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Shares characteristics information has to be uploaded |

| Field Name             | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description  |
|------------------------|--------|----------------|-----------------------|--|--|
| RULEEF-FECTIVE-DATE    | Date   | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which share characteristic information for the fund has to be maintained.  |
| SCRIP-BASED            | Number | 1              | Optional              | Valid values are 0,1 and 2   | This field is used to designate the applicability of certificate issue for investment in the fund. The value '0' indicates 'Scripless'; '1' indicates 'Scrip based' and '2' indicates 'Certificate option' |
| PAR-VALUE              | Number | 15             | Optional              | Maximum of 6 decimal places allowed  | Enter the Par Value for the fund. Normally, this will be the base price for Initial Public Offer (IPO) period, However you can change the base price for IPO.  |
| NOOF-DECIMALSFOR UNITS | Number | 1              | Optional              |  | Indicate the maximum number of decimals that would be reckoned for rounding precision, for the number of allotted units. You cannot indicate a precision higher than 6 decimal places.                     |

| Field Name           | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                                    | Description  |
|----------------------|--------------|----------------|-----------------------|---|--|
| ROUND-OFFTRUNCATE    | Alphanumeric | 1              | Optional              | Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up | Indicate the rounding options for the value of the number of allotted units, designated for the fund.  |
| MINNOO-FUNITHOLDERS  | Number       | 5              | Optional              |   | At any point of time, when the fund is enabled, the number of unit holders cannot fall below this value. This value must not be lower than zero. |
| MAXNOO-FUNITHOLDERS  | Number       | 5              | Optional              |   | At any point of time, when the fund is enabled, the number of unit holders cannot go above this value.   |
| MINREGISTEREDCAPITAL | Number       | 20             | Optional              | Maximum of 6 decimal places allowed   | . At any point of time, when the fund is enabled, the fund corpus (registered capital) cannot go below this value.                               |
| MAXREGISTEREDCAPITAL | Number       | NUMBER(30,12)  | Optional              | Maximum of 6 decimal places allowed   | At any point of time, when the fund is enabled, the fund corpus (registered capital) cannot go above this value.                                 |

| Field Name                | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|---------------------------|--------------|----------------|-----------------------|--|---|
| CAPINDICATOR              | Alphanumeric | 1              | Optional              | Valid values are 'A' and 'P'   | This is to specify the foreign investors' limit to be defined either in percentage of fund corpus or a flat Amount. Enter A if the foreign investors limit is in terms of an Amount and P for a percentage of the Registered Capital. |
| FOREIGN-INVESTORSLIMIT    | Number       | NUMBER(30,12)  | Optional              | Maximum of 6 decimal places allowed  | Enter a value to specify the percentage of fund corpus that foreigners are allowed to hold. This could be either a percentage of Fund Corpus or a flat Amount. If it is a percentage, then it cannot be greater than 100.             |
| ACCOUNT STATEMENT-FREQ    | Alphanumeric | 20             | Optional              | Valid values are 'F' or 'B' for Bi-weekly; 'M' for 'Monthly'; 'Q' for 'Quarterly'; 'H' for 'Half-yearly'; 'Y' for 'Yearly' | This is to indicate the frequency with which the system should initiate the Account Statement processing  |
| ACCOUNT STATEMENTLEADTIME | Number       | 3              | Optional              |  | Enter a lead-time in days..   |
| PRINTACCOUNT-STATEMENT    | Number       | 1              | Optional              | Valid values are '1' to print and '0' for not to print account statement   | This is to indicate that the Account Statement to be printed for the fund that is being set up  |

| Field Name              | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                                 | Description   |
|-------------------------|--------------|----------------|-----------------------|--|---|
| PRINTHOLDINGS-STATEMENT | Number       | 1              | Optional              | Valid values are '1' to print and '0' for not to print holding statement | This is to indicate that if the Holding Statement to be printed for the fund that is being set up   |
| STANDARDLOT             | Number       | 1              | Optional              |  | Enter a value to specify the standard lot for fund. This is also the marketable lot   |
| PREFIX-CERT-CODE        | Alphanumeric | 1              | Optional              |  | Enter a character for this field. This will be used as prefix to the Certificate Number   |
| START-CERTISSUEDNO      | Number       | 1              | Optional              |  | Enter a value for this field. This is just for information. For a new Fund, the Certificate Numbers will start from this number.  |
| LASTCERTISSUEDNO        | Number       | 5              | Optional              |  | Enter a value for this field. This is to specify that this was the last certificate number that has been issued so far and system needs to start from next number. For new funds, this could be zero. This is also equal to the Start Certificate Issue Number in the case of new Funds |

| Field Name         | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|--------------------|--------------|----------------|-----------------------|--|---|
| PREFIXD-NRCODE     | Number       | 1              | Optional              |  | Enter a character for this field. This will be used as prefix to the Distinctive Number Record (DNR) Number. This information is only applicable if you have specified the number of decimals for units as zero, in the Number of Decimals for Units field in this screen.            |
| STARTDN-RIS-SUEDNO | Alphanumeric | 1              | Optional              |  | Enter a value for this field. This is just for information. For new funds, this will be same as last DNR issued number. This information is only applicable if you have specified the number of decimals for units as zero, in the Number of Decimals for Units field in this screen. |

| Field Name        | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|-------------------|--------|----------------|-----------------------|--|---|
| LASTDN-RIS-SUEDNO | Number | 5              | Optional              |  | Enter a value for this field. This is to specify that this was the last DNR number that has been issued so far and system needs to start from next number. For new funds, this could be zero. This is also equal to the Start Certificate Issue Number in the case of new Funds. This information is only applicable if you have specified the number of decimals for units as zero, in the Number of Decimals for Units field in this screen |
| SINGLECERTALLOWED | Number | 1              | Optional              | Valid values are 0 and 1                 | This is to indicate whether a single certificate is needed for the transaction or multiple certificates are needed. Valid values are 0 and 1 indicating 'No' and 'Yes' option for Single certificate allowed.   |



| Field Name           | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|----------------------|--------|----------------|-----------------------|--|---|
| MINCERT-DENOMINATION | Number | 5              | Optional              |  | Enter a value to specify the minimum certificate denomination. I.e., the next level of denomination after the standard lot. The system will use this after exhausting the maximum certificate denominations.  |
| MAX-CERTDENOMINATION | Number | 5              | Optional              |  | Enter a value to specify the maximum certificate denomination. This is the first level of denomination used by the system.  |
| USER-OVERRIDEALLOWED | Number | 1              | Optional              | Valid values are '0' and '1'             | Enter value as '1' if the user on the unit holder's request can override the default denominations defined. If the User override is allowed then, system will allow the teller to override the fund default denominations. This is true only for minimum and maximum denominations. The Standard lot cannot be changed. This is applicable only to scrip-based funds. |

| Field Name           | Type      | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|----------------------|-----------|----------------|-----------------------|--|---|
| CER-TREQD            | Number    | 1              | Optional              | Valid values are '0' and '1'             | For scrip less funds, this value is to indicate that certificates must be issued to investors for block transactions in the fund. |
| MAXREG-ISTERE-DUNITS | NUMBER    | 5              | Optional              |  | Capture the Maximum Units Registered Capital.   |
| UHCA-TREG-CAPITAL    | VAR-CHAR2 | 2000           | Optional              |  | Tilde separated string with Inv Category and Max Reg Units.<br><br>Eg :<br>PB~100;CO~200  |

**Serial Number:** 4

**Segment Identification Number:** 03GOR

**Description:** This segment is used for providing General Operating rules information

**Segment Mandatory/Optional:** Mandatory

**Multiple records allowed for the same Fund and Rule Effective Date:** No

| Field Name | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|------------|--------------|----------------|-----------------------|--|---|
| SEG-MENTID | Alphanumeric | 20             | Mandatory             | Default value 03GOR                      | Standard value of 03GOR to uniquely identify General Operating Rules information  |
| PROCES-SID | Alphanumeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID       | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later |

| Field Name             | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description  |
|------------------------|--------------|----------------|-----------------------|---|--|
| FUNDID                 | Alphanumeric | 6              | Mandatory             |   | The Fund ID is a unique Identification for each Fund and it indicates the fund for which General operating rules information has to be uploaded              |
| RULEEFFECTIVE-DATE     | Date         | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR  | The rule effective date for which general operating rules information for the fund has to be maintained.   |
| POST-PRICED            | Number       | 1              | Optional              | Valid values are '0' and '1'  | Enter '1' if the price for the fund will be announced Post Transactions. Else enter '0' if it is a pre-priced fund   |
| NEXT-PRICE-DECLAR DATE | DATE         | DATE           | Optional              |   | Specify the next date upon which the fund price is to be declared. This date must be after the Rule Effective Date of the fund, and the current system date. |
| PRICE-DECLAR EFREQ     | Alphanumeric | 1              | Optional              | Valid values are 'D' for 'Daily'; 'W' for 'Weekly'; 'F' or 'B' for 'Bi-weekly'; 'M' for 'Monthly'; 'Q' for 'Quarterly'; 'H' for 'Half-yearly'; 'Y' for 'Yearly' | This is used to indicate the frequency with which the price will be announced. If the fund is Post-priced Fund, you must specify this frequency as Daily     |

| Field Name            | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                                    | Description  |
|-----------------------|--------------|----------------|-----------------------|---|--|
| NOOF-DECI-MALSFO RNAV | Number       | 1              | Optional              |   | Indicate the maximum number of decimals that would be reckoned for rounding precision, for the NAV. You cannot indicate a precision higher than 6 decimal places.  |
| ROUND-OFFTRUNCATE     | Alphanumeric | 1              | Optional              | Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up | Indicate the rounding options for the value of the number of allotted units, designated for the fund.  |
| MATURITYDATE          | DATE         | DATE           | Optional              |   | Indicate the maturity date for the fund. This is applicable only if the fund is closed-ended fund.   |
| RETAIN-IPO-BALANCE    | Number       | 1              | Optional              | Valid values are '0' and '1'  | This is used to indicate if the IPO Balance of unit holders must to be stored for later use. '1' indicate maintenance of IPO balance of Unit holders and '0' indicates that it need not be maintained separately |

| Field Name              | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|-------------------------|--------|----------------|-----------------------|--|--|
| CONFBE-FALCRE-REQUIRED  | Number | 1              | Optional              | Valid values are '0' and '1'             | This is used to indicate that a rejection of a transaction as applicable, for all authorized transactions, prior to allocation. If this is not specified, then all authorized transactions will be taken up for allocation directly.   |
| AUTORE-DEMP-TIONALLOWED | Number | 1              | Optional              | Valid values are '0' and '1'             | This is used to indicate if Automatic Redemption is to be allowed for the fund. This is applicable only for Open Ended funds. When the balance the unit holder falls below a defined minimum level, then the investor will be intimated about it and will be provided with some lead-time to either increase the balance above the minimum level or redeem all his units. After the elapsed lead-time, if the unit holder balance still lies below the defined minimum level, then the automatic redemption of those units will be done. |

| Field Name               | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|--------------------------|--------------|----------------|-----------------------|--|--|
| MINI-MUM-BALANCE TYPE    | Alphanumeric | 1              | Optional              | Valid values are 'A' and 'U'             | Enter the type of Minimum balance (amount / units) to be maintained for Automatic Redemption   |
| MINI-MUM-BALANCE         | Number       | 20             | Optional              |  | Enter the minimum balance (in amounts / units based on what balance type has been specified above) to be maintained for Automatic Redemption   |
| ELAPSEDDAYSBEFOREAUTORED | Number       | 3              | Optional              |  | Enter the number of days from the date of intimation after which Automatic Redemption will be initiated. This is the lead-time provided to the investor.   |
| CHQUALLOTONCLGDATE       | Number       | 1              | Optional              | Valid values are '0' and '1'             | This is used to indicate whether allocation must be done on the transaction date or on the check clearing date, using the price prevailing on that date, for transactions in which the payment mode is Check |

| Field Name         | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description  |
|--------------------|--------------|----------------|-----------------------|--|--|
| AGEING-BASE-DON    | Alphanumeric | 1              | Optional              | Valid values are 'F' for 'FIFO'; 'T' for 'Transaction Receipts' and 'P' for 'FIFO across products'                                   | This is used to indicate if the unit holder has specified as to how transactions are to be ordered - FIFO, Transaction receipts and FIFO across products                                       |
| MAINTAINTRADINGBOX | Number       | 1              | Optional              | Valid values are '0' and '1'   | This is used to indicate to the system that the trading functions must be enabled for the fund. This means that for the fund, all trading transactions and the relevant processing are allowed |
| NAVLOT             | Number       | 6              | Optional              |  | Specify the number of units for which the NAV quote is deemed to be applicable, for the fund.  |
| CDSC-FUND          | Varchar2     | 2              | Optional              | Valid values :<br>1. LOCOM<br>2. Market Price<br>3. Not Applicable<br>4. CDSC Class B<br>Default : Not Applicable<br>'L','M','N','B' | This is used to indicate that the Contingent Deferred Sales Charge (CDSC) is applicable for the transactions in this fund.   |

| Field Name                   | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|------------------------------|--------|----------------|-----------------------|--|---|
| CDSCAP-PLICA-BLEFOR SWITCHES | Number | 1              | Optional              | Valid values are '0' and '1'             | This is used to indicate that the Contingent Deferred Sales Charge (CDSC) is applicable to switch or exchange for the transactions in this fund.  |
| REIN-VEST-MENTFIRST          | Number | 1              | Optional              | Valid values are '0' and '1'             | This is used to indicate that reinvestment transactions must be taken up first for aging during computation of Contingent Deferred Sales Charge for redemption transactions in this fund. |
| VAT-VALUE                    | Number | 5              | Optional              | Maximum of 2 decimals possible           | Value added tax value for the fund  |
| CHECK-FEATUREEXISTS          | Number | 1              | Optional              | Valid values are '0' and '1'             | Indicates whether the check writing facility is allowed for the fund or not   |
| MINCHECKAMOUNT               | Number | 15             | Optional              | Maximum of 3 decimals possible           | If check writing facility is allowed then the minimum check amount possible is indicated here.  |
| MAXCHECKAMOUNT               | Number | 15             | Optional              | Maximum of 3 decimals possible           | If check writing facility is allowed then the maximum check amount possible is indicated here.  |



| Field Name              | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|-------------------------|--------|----------------|-----------------------|--|--|
| MINCHECKHOLDINGPERIOD   | Number | 5              | Optional              |  | If check writing facility is allowed then the minimum holding period required is indicated here.                 |
| CHECKACCOUNTCLOSURE     | Number | 1              | Optional              |  |  |
| CHECKREDEMPTIONPENALTY  | Number | 1              | Optional              | Valid values are '0' and '1'             | If check writing facility is allowed then various penalties applicable or not is indicated by values '0' and '1' |
| HOLDINGPERIODPENALTY    | Number | 1              | Optional              |  |  |
| BALANCEPENALTY          | Number | 1              | Optional              |  |  |
| STOPPAYMENTPENALTY      | Number | 1              | Optional              |  |  |
| AMTLIMITPENALTY         | Number | 1              | Optional              |  |  |
| INTERESTRATE            | Number | 15             | Optional              | Maximum of 6 decimals possible           |  |
| PERFORMANCEFEETHRESHOLD | Number | 5              | Optional              | Maximum of 2 decimals possible           |  |

| Field Name                 | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description  |
|----------------------------|--------------|----------------|-----------------------|---|--|
| LIMITORDERALLOWED          | Number       | 1              | Optional              | Valid values are '0' and '1'  | This indicates whether limit order is applicable for the fund depending upon the price                     |
| FUTURELIMITORDERALLOWED    | Number       | 1              | Optional              | Valid values are '0' and '1'  | Indicates - limit order based on Date  |
| AUTOLIMITORDERPROCESS      | Number       | 1              | Optional              | Valid values are '0' and '1'  | If limit order is based on price, indicates whether automatic triggering of processing is required or not. |
| PRICINGRULE                | Alphanumeric | 1              | Optional              | Valid values are 'A' for After and 'P' for Prior  | Rule to be applied for pricing   |
| MinThresholdLimit          | Number       | 5              | Optional              | Maximum of 2 decimals possible  | Used for capturing Minimum Threshold Limit.  |
| EUSDSCOPE                  | Alphanumeric | 1              | Optional              | Valid values are 'R' for Redemption & Switch Out and 'D' for Dividend and 'B' for Both.<br><br>Shown only for EUSD applicable segments. | Used to cater EUSD requirements.   |
| AgeingDate                 | Alphanumeric | 1              | Optional              | Valid values are 'T' for Transaction Date and 'C' for Confirmation date and 'D' for Dealing date.                                       | Used to store Ageing basis value.  |
| INVESTMENTSIMULATIONMETHOD | Alphanumeric | 1              | Optional              | Valid values are 'N' and 'H'  | This indicates whether the Investment Simulation Method should be a Not Allowed or Historic Based NAV.     |

| Field Name                     | Type      | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|--------------------------------|-----------|----------------|-----------------------|--|---|
| MIN-LOCKIN-PERIODF ORGAIN      | Number    | 4              | Optional              | Valid values are Greater than or equal to 0  |   |
| MAX-LOCKIN-PERIODF ORGAIN      | Number    | 4              | Optional              | Valid values are Greater than or equal to the Min Lock-in Period   |   |
| MIN-LOCKIN-PERIODF ORGAIN      | Number    | 4              | Optional              | Valid values are Greater than or equal to the Min Lock-in Period and Less than or equal to the Max Lock-in Period. |   |
| AMOUNT BLOCK-ALLOWED           | NUMBER    | 1              | Optional              | Valid values are 0 and 1   |   |
| CALCULATE-FEEINTXNCCY          | NUMBER    | 1              | Optional              | Valid values are 0 and 1   |   |
| INCLUDEHOLDINGPERIOD           | VAR-CHAR2 | 1              | Optional              | Valid Values are 0 and 1   |   |
| BLOCK-PRIORITYNO               | NUMBER    | 4              | Optional              | Block priority should not be a numeric value.  | For amount block, the priority will be derived with this number and for amount unblock the priority would be reverse of this order. |
| DIVIDENDEQUALIZATIONAPPLICABLE | NUMBER    | 1              | Optional              | Valid Values are '0' (No) and '1' (Yes)  | Dividend equalization applicable" flag should be set to '1' to support dividend / income equalization funds.                        |

**Serial Number:** 5

**Segment Identification Number:** 04TPR

**Description:** This segment is used for providing Transaction processing rules information

**Segment Mandatory/Optional?:** Mandatory

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes (For each transaction type - there is a separate record)

| Field Name         | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description  |
|--------------------|--------------|----------------|-----------------------|--|--|
| SEGMENTID          | Alphanumeric | 20             | Mandatory             | Default value 04TPR  | Standard value of 04TPR to uniquely identify Transaction processing information  |
| PROCESSID          | Alphanumeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later            |
| FUNDID             | Alphanumeric | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Transaction processing information has to be uploaded |
| RULEEFFECTIVE-DATE | Date         | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which transaction processing information for the fund has to be maintained.  |

| Field Name        | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description   |
|-------------------|--------------|----------------|-----------------------|---|---|
| TRANS-ACTION-TYPE | Alphanumeric | 2              | Mandatory             | Valid values are '01' for IPO; '02' for Subscription; '03' for Redemption; '04' for Switch from operation; '05' for transfers and so on upto '10' | Indicate the value for which the transaction type for which the rules are being set up  |
| GROSSORNET        | Alphanumeric | 1              | Optional              | G' for Gross ; 'N' for Net and 'B' for Both   | Opting for a gross or net mode in transaction processing is mode is only available for IPO, subscription or redemption transactions. For transactions with a gross processing mode, the value of the transaction, whether as an amount or a number of units, will be processed as a gross value from which any applicable charges will be deducted. For transactions with a net processing mode, the amount value of the transaction will be processed as a net value apart from the charges. |

| Field Name               | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description   |
|--------------------------|--------------|----------------|-----------------------|---|---|
| TRANS-ACTION-STARTDATE   | DATE         | 8              | Optional              |   | Enter a date to specify that the above mentioned transaction type will start from this date   |
| TRANS-ACTION-ENDDATE     | DATE         | 8              | Optional              |   | Enter a date to specify that the above mentioned transaction type will end on this date   |
| TRANS-ACTION-FREQUENCY   | Alphanumeric | 1              | Optional              | Valid values are 'D' for 'Daily'; 'W' for 'Weekly'; 'F' or 'B' for 'Bi-weekly'; 'M' for 'Monthly'; 'Q' for 'Quarterly'; 'H' for 'Half-yearly'; 'Y' for 'Yearly' | Enter a value to specify the frequency with which the transaction type will operate   |
| TRANS-ACTION-STARTPERIOD | NUMBER       | 1              | Optional              |   | Enter a value to specify the Start Period within the frequency mentioned above. Within a particular frequency, you have an option to restrict it to a particular period. For example if the transaction Frequency is WEEKLY, Start Period is 2 and End Period is 5. This means that the Fund is open for this transaction from second day till the fifth day of the week. |

| Field Name            | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|-----------------------|--------|----------------|-----------------------|--|---|
| TRANSACTIONPERIOD     | NUMBER | 1              | Optional              |  | The End period has to be within the Transaction Frequency i.e., it can take values up to 7 (weekly) and not greater than that.  |
| DATE                  | DATE   |                | Optional              |  | Enter a time to specify the start time for a given working date. On a given day when the fund is open for this transaction, you can start entering transactions from this time. |
| MIN-TRANSACTIONAMOUNT | NUMBER | 15             | Optional              | Maximum of 3 decimals allowed            | The minimum transaction amount allowed for this transaction type in this fund   |
| STEPAMOUNT            | NUMBER | 15             | Optional              | Maximum of 3 decimals allowed            | Enter a value to specify the steps of amount in which a unit holder can invest or redeem. This will operate above the Minimum Transaction Amount.                               |
| MAX-TRANSACTIONAMOUNT | NUMBER | 15             | Optional              | Maximum of 3 decimals allowed            | The maximum transaction amount allowed for this transaction type in this fund   |

| Field Name                  | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|-----------------------------|--------|----------------|-----------------------|--|---|
| MIN-TRANS-ACTIONUNITS       | NUMBER | 15             | Optional              | Maximum of 6 decimals allowed            | The minimum transaction units allowed for this transaction type in this fund  |
| STEPUNITS                   | NUMBER | 15             | Optional              | Maximum of 6 decimals allowed            | The unit steps applicable for a unit holder to invest do any operation  |
| MAX-TRANS-ACTIONUNITS       | NUMBER | 15             | Optional              | Maximum of 6 decimals allowed            | The maximum transaction units allowed for this transaction type in this fund  |
| ONLINE-ALLOCATION           | NUMBER | 1              | Optional              | Valid values '0' and '1'                 | This is to indicate if Online Allocation is to be allowed for the given transaction type. This can be applicable only if the fund is pre-priced.<br><br>For Post Priced Fund with Allocation as Online, the Transaction Frequency is Allocation |
| ALLOCATION-PERVSR EGCAPITAL | NUMBER | 1              | Optional              | Should be below 100.                     | Specify the percentage up to which allocation can be allowed for a given registered amount on the day   |



| Field Name                  | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|-----------------------------|--------------|----------------|-----------------------|--|--|
| ALLOCA-TION-POLICY          | Alphanumeric | 1              | Optional              |  | Enter F for First In First Out or P Proportionate to specify the policy for allocation in case the maximum limit is reached for a given day. This policy is with respect to the allocation percentage that is allowed against the fund corpus. |
| NOOFTX-NAL-LOWEDF ORFREQ    | NUMBER       | 1              | Optional              |  | This is to specify the number of transactions allowed restriction within this frequency  |
| NOOFTX-NAL-LOWEDP ERUH      | NUMBER       | 1              | Optional              |  | Enter a value to specify the number of transactions that are allowed for a unit holder. You can restrict the unit holder from operating more than the specified limit for transactions.  |
| NOOF-FREETX-NALLOW EDPE-RUH | NUMBER       | 1              | Optional              |  | Enter a value to specify the number of free transactions within the permitted transactions. This definition will override all loads that will be set for this transaction  |

| Field Name               | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|--------------------------|--------|----------------|-----------------------|--|--|
| ALLOW-BACK-DATING        | NUMBER | 1              | Optional              |  | This is used to indicate whether back-dating should be allowed for this fund. This means that on any given date, for this transaction type, the system will allow the user to enter transactions dated earlier to the current date |
| BACK-DATING-LIMIT        | NUMBER | 1              | Optional              | Valid values are '0' and '1'             | This is applicable only if Allow Back Dating is True. This has to be later than the transaction start date. Fund Price as effective on that date will be taken into account during allocation.                                     |
| FUNDTRANSACTION-COUNTER  | NUMBER | 1              | Optional              |  | Enter a value to specify the total number of transactions to be allowed in this transaction type.  |
| ACKNOWLEDGEMENT-REQUIRED | NUMBER | 1              | Optional              |  | This is to indicate if the Acknowledgment Slip is to be printed after every transaction. Otherwise this can be printed on receiving specific request from the User.  |

| Field Name            | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                    | Description   |
|-----------------------|--------------|----------------|-----------------------|---|---|
| CONFIRMATION-REQUIRED | NUMBER       | 1              | Optional              | Valid values '0' and '1'                                    | This is to indicate whether confirmation Slip is to be printed after every transaction entry. Otherwise this can be user initiated after allocation of the transaction. |
| NOTICEPERIODDATEBASIS | VAR-CHAR2    | 1              | Optional              |   |   |
| NOTICEPERIOD          | NUMBER       | 3              | Optional              |   |   |
| BASE-PRICE-DEFINITION | Alphanumeric | 1              | Optional              | Valid values are 'P' for Par Value and 'N' for declared NAV | This indicates the Base Price definition for the transaction. The Unit Price will be calculated based on this price and loads defined for this transaction.             |
| BASE-PRICE-FACTOR     | NUMBER       | 1              | Optional              |   | This percentage will be applied to the price arrived from the above calculations to arrive at the Base Price for this transaction type for the fund.                    |

| Field Name                           | Type              | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                                    | Description  |
|--------------------------------------|-------------------|----------------|-----------------------|---|--|
| NOOF-DECI-MALSFO<br>RBASE-PRICE      | NUMBER            | 1              | Optional              |   | Indicate the maximum number of decimals that would be reckoned for rounding precision, for the base price. You cannot indicate a precision higher than 6 decimal places.                   |
| ROUND-OFFTRU<br>NCATE-<br>BASE-PRICE | Alphanu-<br>meric | 1              | Optional              | Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up | Indicate the rounding options for the value of the number of allotted units, designated for the fund.  |
| TRANS-ACTIONE<br>NABLED              | NUMBER            | 1              | Optional              |   | This is used to indicate whether the transaction is enabled for the fund. It is possible to set up a temporary suspension of transactions, even if the transactions are open for the fund. |
| CROSS-BRANCH<br>ALLOWE<br>D          | NUMBER            | 1              | Optional              | Valid values are 0 and 1  |  |
| PRICE-LAG                            | NUMBER            | 1              | Optional              |   | This cannot have negative value. This indicates the price date to be used for the transaction during allocation process  |

| Field Name                | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|---------------------------|--------|----------------|-----------------------|--|---|
| ALLOCATIONLAG             | NUMBER | 1              | Optional              |  | Enter a value to specify when the transaction should be allocated if the transaction is 'T'. T + X , where 'X' will be days of Lag. Lag can only be positive.   |
| CONFIRMATIONLAG           | NUMBER | 1              | Optional              |  | Enter a value to specify when the redemption transaction should be picked for confirmation after allocation. T + X , where 'X' will be days of Lag. Lag can only be positive.   |
| CHQUAL-LOCON-PARWITH CASH | NUMBER | 1              | Optional              | Valid values are '0' and '1'             | This is used to specify that all check / Demand Draft transactions are to be treated on par with cash transactions. If this is true, then during allocation, the check / demand draft transactions will be taken for allocation for the same day. The units allocated will be provisional, and will be confirmed only after the check is cleared. |

| Field Name                                    | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|---|--------|----------------|-----------------------|--|--|
| HOLD-<br>INGPERI-<br>ODAPPLI<br>CABLE         | NUMBER | 1              | Optional              | Valid values are '0' and '1'             | This is used to indicate whether a lock in period is applicable for the investors investing in the fund for the transaction type   |
| RETAIN-<br>AGEING-<br>TOSUBS<br>CRIP-<br>TION | NUMBER | 1              | Optional              | Valid values are '0' and '1'             | This is used to indicate that the aging principle must be applied to subscription transactions in the fund. Aging is the process of keeping track of a transaction from the transaction date right up to when it is cleared in full from the account of the unit holder. Depending upon the policy specified to be followed for aging, (i.e., either FIFO or proportionate), aging is applied. |

| Field Name                          | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                           | Description  |
|-------------------------------------|--------------|----------------|-----------------------|--|--|
| CREDIT-CARDAL-LOCONP<br>ARWITH-CASH | NUMBER       | 1              | Optional              | Valid values are '0' and '1'                                       | This is used to indicate if all credit card transactions to be treated on par with cash transactions. If this is true then during allocation the credit card transactions will be taken for allocation for the same day. The units allocated will be provisional, and will be confirmed only after the credit card transaction is cleared. |
| LIMITRE-DEMP-TIONMODE               | Alphanumeric | 1              | Optional              | Valid values are 'P' for Percent; 'U' for Units and 'A' for Amount | The mode based on which redemption will be limited   |
| NATURE-OFLIMIT                      | Alphanumeric | 1              | Optional              |  | Indicates whether it is 'A' or 'U' or 'B'  |

| Field Name                   | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|------------------------------|--------|----------------|-----------------------|--|---|
| TRANSFERAL-LOCONPARWITH-CASH | NUMBER | 1              | Optional              |  | Mark this check box if you want all transfer transactions to be treated on par with cash transactions. If this is true then during allocation the transfer transactions will be taken for allocation for the same day. The units allocated will be provisional, and will be confirmed only after the bank transfers are cleared |
| MININITIALTX-NAMOUNT         | NUMBER | 15             | Optional              | Maximum of 3 decimals allowed            | Minimum amount applicable for initial transaction   |
| MININITIALTX-UNITS           | NUMBER | 15             | Optional              | Maximum of 3 decimals allowed            | Minimum units applicable for initial transaction  |
| MINSITX-NAMOUNT              | NUMBER | 15             | Optional              | Maximum of 3 decimals allowed            | Minimum amount applicable for Standing instructions   |
| MAXSITX-NAMOUNT              | NUMBER | 15             | Optional              | Maximum of 3 decimals allowed            | Maximum amount applicable for Standing instructions   |
| MINSITX-UNITS                | NUMBER | 15             | Optional              | Maximum of 3 decimals allowed            | Minimum units applicable for Standing instructions  |
| MAXSITX-UNITS                | NUMBER | 15             | Optional              | Maximum of 3 decimals allowed            | Maximum units applicable for Standing instructions  |



| Field Name                 | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                                    | Description  |
|----------------------------|--------------|----------------|-----------------------|---|--|
| EXISTINGU                  | Alphanumeric | 2              | Optional              | Valid values are '0' and '1'  | This is to indicate that the subscription transactions for the fund must only be available to existing customers, and not to new customers.                              |
| NOOF-DECIMALSFORUNIT-PRICE | NUMBER       | 1              | Optional              |   | Indicate the maximum number of decimals that would be reckoned for rounding precision, for the unit price. You cannot indicate a precision higher than 6 decimal places. |
| ROUND-OFFTRUNCATEUNITPRICE | Alphanumeric | 1              | Optional              | Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up | Indicate the rounding options for the value of the number of allotted units, designated for the fund.  |
| ROUND-OFFTRUNCATEUNITS     | Alphanumeric | 1              | Optional              | Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up | Indicate the rounding options for the value of the number of allotted units, designated for the fund.  |

| Field Name   | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|--------------|--------|----------------|-----------------------|--|---|
| PAY-MENT-LAG | NUMBER | 1              | Optional              |  | <p>In the case of redemption transactions, specify a value to indicate the number of days by which the resulted redemption payouts would be lagged or deferred, after the redemption transaction has been allotted and confirmed. To arrive at the actual date of redemption payment, both the confirmation lag (if specified) and the payment lag (if specified here) are taken into consideration. For instance, if the redemption transaction was allocated on 15th January and both the confirmation lag and payment lag were specified as 2, then the actual redemption payout to the unit holder would be effected on 19th January (15 + 2+ 2), provided it is not a holiday in the system, and provided there are no intervening holidays.</p> |

| Field Name              | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|-------------------------|--------|----------------|-----------------------|--|---|
| TRANSACTION-CUTOFF TIME | DATE   | DATE           | Optional              |  | Specify the time, on a given working day before which a transaction of the selected type will be accepted with the transaction date being the current date. Beyond this time on a working day, the transaction date will be set by default to be the next working date. |
| ENFORCE HOLDING PERIOD  | NUMBER | 1              | Optional              |  | You can indicate that the minimum holding period specified for the fund in the Fund Demographics profile must be enforced as mandatory for the transaction type, by selecting this option.  |
| APPLY-ENTRY-TIMELOAD    | NUMBER | 1              | Optional              |  | Applicable for Exit transactions  |
| Pseudoswitch-lag        | Number |                | Optional              |  | Used for capturing Pseudo Switch lag  |
| AllowSystematicPlans    | Number | 1              | Optional              |  |   |
| MaxInitialTxnAmount     | Number | 15             | Optional              | Maximum of 3 decimals allowed            | Used for capturing the maximum initial transaction amount.  |

| Field Name                    | Type              | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable              | Description  |
|-------------------------------|-------------------|----------------|-----------------------|---|--|
| MaxInitialTxn-Units           | Number            | 15             | Optional              | Maximum of 6 decimals allowed                         | Used for capturing maximum initial transaction units.  |
| Pseudoswitch-lagcalendarbasis | Alphanumeric Code | 1              | Optional              |   | Specify whether the ps lag days should be considered based on the holiday calendar for the fund, the actual calendar or the System calendar. |
| FULL-OUT-FLOW                 | NUMBER            | 1              | Optional              | Valid values are 0 and 1                              |  |
| MAX-NOOFSI-TRANSACTIONS       | NUMBER            | 4              | Optional              | MaxNoofSI-Transactions value should be Greater than 0 |  |
| MAXVALIDITY                   | NUMBER            | 4              | Optional              | Maxvalidity value should be Greater than 0            |  |
| SILEAD-TIME                   | NUMBER            | 4              | Optional              |   |  |
| APPLICABLEAC-COUNTTYPES       | Alphanumeric      | 255            | Optional              |   |  |
| INFLOW-OVER-RIDABLE MSG       | NUMBER            | 1              | Optional              | Valid values are 0 and 1                              |  |
| MINMATURITYPERIOD             | NNUMBER           | 4              | Optional              | Min.Maturity Period value should be greater than 0    |  |

| Field Name                                  | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description   |
|---|--------------|----------------|-----------------------|---|---|
| MAXMATUREPERIOD                             | NUMBER       | 4              | Optional              | Max.Maturity Period value should be greater than 0 and greater than or equal to Min.Maturity Period |   |
| FUTUREDATELIMIT                             | NUMBER       | 1              | Optional              | Valid values are positive integer   | To facilitate users to input future dated transactions            |
| UHCALENDARBASIS                             | VAR-CHAR2(1) | 1              | Optional              | Valid values are 'T' and 'C'  |   |
| NOOFDECIMALSFORUNITS                        | NUMBER       | 1              | Optional              | Valid values are -1 to 9  |   |
| CONSECUTIVEPAYMENTREJECTIONSALLOWEDFORTHESI | NUMBER       | 3              | Optional              |   | Used to capture consecutive payment rejections allowed for the SI |
| THRESHOLDAMOUNT                             | NUMBER       | (30, 12)       | Optional              |   | Threshold Amount  |

**Serial Number:** 6

**Segment Identification Number:** 05TCM

**Description:** This segment is used for providing Transaction processing communication mode information

**Segment Mandatory/Optional?** : Mandatory

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes (For each transaction type - there is a separate record)

| Field Name                  | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|-----------------------------|---------------|----------------|-----------------------|--|---|
| SEG-MENTID                  | Alpha-numeric | 20             | Mandatory             | Default value 05TCM  | Standard value of 05TCM to uniquely identify Transaction processing communication mode information  |
| PRO-CESSID                  | Alpha-numeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later                               |
| FUNDID                      | Alpha-numeric | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Transaction processing communication mode information has to be uploaded |
| RULEEF<br>FEC-TIVE-<br>DATE | Date          | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR   | The rule effective date for which transaction processing communication mode information for the fund has to be maintained.  |
| TRANS-ACTION-TYPE           | Alpha-numeric | 2              | Mandatory             | Valid values are '01' for IPO; '02' for Subscription; '03' for Redemption; '04' for Switch from operation; '05' for transfers and so on up to '10' | Indicate the value for which the transaction type for which the rules are being set up  |

| Field Name                | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable             | Description   |
|---------------------------|--------------|----------------|-----------------------|--|---|
| COMMUNICATION MODE        | Alphanumeric | 2              | Mandatory             |  | Valid Communication mode code indicated as a part of Params Maintenance.            |
| MIN-TRANSACTION AMOUNT    | Number       | 15             | Optional              | Decimal values up to maximum of 3 digits are allowed | Minimum transaction amount allowed for the transaction type and communication mode. |
| MAX-TRANSACTION AMOUNT    | Number       | 15             | Optional              | Decimal values up to maximum of 3 digits are allowed | Maximum transaction amount allowed for the transaction type and communication mode. |
| MIN-TRANSACTION UNITS     | Number       | 15             | Optional              | Decimal values up to maximum of 6 digits are allowed | Minimum transaction units allowed for the transaction type and communication mode.  |
| MAX-TRANSACTION UNITS     | Number       | 15             | Optional              | Decimal values up to maximum of 6 digits are allowed | Maximum transaction units allowed for the transaction type and communication mode.  |
| DISALLOW-FIRSTTRANSACTION | Number       | 1              | Optional              | Valid values are 0 and 1                             |   |

**Serial Number:** 7

**Segment Identification Number:** 06INV

**Description:** This segment is used for providing Transaction processing investor category related information

**Segment Mandatory/Optional?** : Mandatory

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes (For each transaction type - there is a separate record)

| Field Name          | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description  |
|---------------------|--------------|----------------|-----------------------|--|--|
| SEG-MENTID          | Alphanumeric | 20             | Mandatory             | Default value 06INV  | Standard value of 06INV to uniquely identify Transaction processing communication mode information   |
| PRO-CESSID          | Alphanumeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later                              |
| FUNDID              | Alphanumeric | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Transaction processing investor category information has to be uploaded |
| RULEEF-FEC-TIVEDATE | Date         | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR   | The rule effective date for which transaction processing investor category information for the fund has to be maintained.  |
| TRANS-ACTION-TYPE   | Alphanumeric | 2              | Mandatory             | Valid values are '01' for IPO; '02' for Subscription; '03' for Redemption; '04' for Switch from operation; '05' for transfers and so on up to '10' | Indicate the value for which the transaction type for which the rules are being set up   |



| Field Name            | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable             | Description  |
|-----------------------|--------------|----------------|-----------------------|--|--|
| INVESTORCATEGORY      | Alphanumeric | 2              | Mandatory             |  | Valid Corporate and Individual categories defined as a part of Params Maintenance. |
| MIN-TRANSACTIONAMOUNT | Number       | 15             | Optional              | Decimal values up to maximum of 3 digits are allowed | Minimum transaction amount allowed for the transaction type and investor category. |
| STEPAMOUNT            | Number       | 15             | Optional              | Decimal values up to maximum of 3 digits are allowed |  |
| MAX-TRANSACTIONAMOUNT | Number       | 15             | Optional              | Decimal values up to maximum of 3 digits are allowed | Maximum transaction amount allowed for the transaction type and investor category. |
| MIN-TRANSACTIONUNITS  | Number       | 15             | Optional              | Decimal values up to maximum of 6 digits are allowed | Minimum transaction unit allowed for the transaction type and investor category.   |
| STEPUNITS             | Number       | 15             | Optional              | Decimal values up to maximum of 6 digits are allowed |  |
| MAX-TRANSACTIONUNITS  | Number       | 15             | Optional              | Decimal values up to maximum of 6 digits are allowed | Maximum transaction unit allowed for the transaction type and investor category.   |

**Serial Number:** 8

**Segment Identification Number:** 07IDR

**Description:** This segment is used for providing Corporate Action related information

**Segment Mandatory/Optional?:** Optional

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes (For cash and Stock dividend information)

| Field Name               | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description   |
|--------------------------|---------------|----------------|-----------------------|---|---|
| SEGMENTID                | Alpha-numeric | 20             | Mandatory             | Default value 07IDR   | Standard value of 07IDR to uniquely identify Transaction processing communication mode information                                  |
| PROCESSID                | Alpha-numeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID  | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later |
| FUNDID                   | Alpha-numeric | 6              | Mandatory             |   | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Corporate action has to be uploaded        |
| RULEEFFECTIVE-DATE       | Date          | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR  | The rule effective date for which corporate action for the fund has to be maintained.   |
| DIVIDENDDECLAREFREQUENCY | Alpha-numeric | 1              | Optional              | Valid values are 'D' for 'Daily'; 'W' for 'Weekly'; 'F' or 'B' for 'Bi-weekly'; 'M' for 'Monthly'; 'Q' for 'Quarterly'; 'H' for 'Half-yearly'; 'Y' for 'Yearly' | Enter the frequency with which the dividend is to be declared   |

| Field Name             | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description  |
|------------------------|--------------|----------------|-----------------------|---|--|
| DIVIDEND-PAYMENT FREQ  | Alphanumeric | 1              | Optional              | Valid values are 'D' for 'Daily'; 'W' for 'Weekly'; 'F' or 'B' for 'Bi-weekly'; 'M' for 'Monthly'; 'Q' for 'Quarterly'; 'H' for 'Half-yearly'; 'Y' for 'Yearly' | Enter the frequency with which the declared dividends are to be paid. As the system supports accumulation of dividends, the payment could be for any dividend that has been declared earlier. The Dividend Payment Frequency must not be less than Dividend Declaration Frequency. |
| REINVESTMENTALLOWED    | Number       | 1              | Optional              | Valid values are '0' and '1'  | This is used to indicate if reinvestment is allowed for the fund.  |
| TAXID                  | Alphanumeric | 50             | Optional              |   | Enter the tax ID for the fund that is being set up.  |
| BOOK-CLOSINGLEADTIME   | Number       | 5              | Optional              |   | Enter a lead-time in days  |
| FREEZE-HOLDINGLEADTIME | Number       | 5              | Optional              |   | This data is also in days and the Freeze Holding Date is the date on which the balances held by all unit holders in the fund will be consolidated  |
| BOARD-MEETINGLEADTIME  | Number       | 5              | Optional              |   | Enter a lead-time in days. This is captured for information purposes only.   |

| Field Name                  | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                                    | Description  |
|-----------------------------|---------------|----------------|-----------------------|---|--|
| DIVI-DEND-PAYMENT LEAD-TIME | Number        | 5              | Optional              |   | The lead time entered is in days. Each of the lead times must be less than the Dividend Declaration Frequency. For example, if the Dividend Declaration Frequency is WEEKLY, the Board Meeting Lead Time cannot be 8 days. |
| NOOF-DECIMALSFORDIVIDEND    | Number        | 5              | Optional              |   | Indicate the maximum number of decimals that would be reckoned for rounding precision, for the dividend. You cannot indicate a precision higher than 6 decimal places.   |
| ROUND-OFFTRUNCATE-DIVIDEND  | Alpha-numeric | 1              | Optional              | Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up | Indicate the rounding options for the value of the dividend, designated for the fund.  |
| TDSBASIS                    | Alpha-numeric | 1              | Optional              | D - Each Dividend ; U - Fiscal year   | This is to indicate whether slab should be looked for every dividend payment separately or whether consolidated on a fiscal year basis.  |

| Field Name                | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description   |
|---------------------------|---------------|----------------|-----------------------|---|---|
| EPUCOMPONENTS             | Number        | 1              | Optional              | Valid values are '0' and '1'  | This indicates the applicability of Earnings Per Unit and that the earnings per unit must be defined in terms of components, or as a single value. Setting up EPU components is not applicable for stock dividends.   |
| DEFAULT DISTRIBUTION-MODE | Alpha-numeric | 1              | Optional              | Valid values are 'T' - Payout-A/C Transfer; 'Q' - Payout-Cheque and 'R' - Full Reinvestment | If the Income Distribution Setup for the unit holder has not yet been specified, the fund level default Unit Holder Distribution Mode to be considered for the unit holder can be specified here. For stock dividends, the only possible option is 'Reinvestment' as others would not be applicable |
| EXCHANGERATE-DATEBASIS    | Alpha-numeric | 1              | Optional              | Valid values are 'P' - Payment Date; 'R' - Reference Date                                   | Specify the basis upon which the exchange rate will be applied for dividend processing. The system provides two options: Reference Date and Payment Date.   |

| Field Name          | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                  | Description   |
|---------------------|--------------|----------------|-----------------------|---|---|
| RESTRIC-TIDS        | Number       | 1              | Optional              | Valid values are '0' and '1'                              | This is used to indicate that specific income distribution set-ups for unit holders may be overridden. For stock dividends this value should always be set.   |
| EPULOT              | Number       | 6              | Optional              |   | Specify the number of units for which the EPU quote is deemed to be applicable, for the fund. Setting up EPU components is not applicable for stock dividends.  |
| DIV-LOOKA-HEAD      | Number       | 1              | Optional              |   | This is to indicate that in the event of intervening holidays, the system would obtain the EPU information and process dividends for the holiday period, ahead of the prior business day, for the fund. |
| CORPORATEACTIONTYPE | Alphanumeric | 1              | Mandatory             | Valid values are 'C' for Cash and 'S' for Stock dividends | For cash dividend EPU should be mentioned whereas for Stock dividend, Parent:Resultant ratio should be mentioned  |

| Field Name                    | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                   | Description  |
|-------------------------------|---------------|----------------|-----------------------|--|--|
| UNITS-FOR-FREEZEHOLDINGS      | Alpha-numeric | 1              | Optional              | Valid values 'A' for all units and 'C' for Confirmed units | Specify the units to be considered for dividend eligibility as on the freeze holdings date. You can specify that all units (including unconfirmed units) must be considered, or that only confirmed units are to be considered as on the freeze holdings date. |
| FIRST-DIVIDENDDECLARATIONDATE | Date          | 8              | Optional              |  | Specify the first date for dividend declaration, for the fund  |
| SPECIAL-DIVIDEND              | Number        | 1              | Optional              |  | This is to indicate that special dividends are applicable for the fund. This feature is only available if your installation has requested for it.  |
| MOCK-DIVIDEND                 | Number        | 1              | Optional              |  | Not applicable now   |

| Field Name   | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|--------------|---------------|----------------|-----------------------|--|---|
| HOLIDAY-RULE | Alpha-numeric | 1              | Optional              | A' for After and 'P' for prior           | Select the rule to be applied if the dividend processing date falls on a holiday. You can select either the PRIOR rule (the previous working day is considered) or the AFTER rule (the next working day is considered), for the dividend type. The holiday rule you select here will be applicable to all dividend-processing dates that have been arrived at using a frequency. They are not applicable to dates arrived at using lead times or lag periods. |
| PAY-MENT-LAG | Number        | 3              | Optional              |  | Specify a lag period (in days) for the payment of dividend. The lag value is interpreted in calendar days, and is used to arrive at the payment date for the dividend. The payment date is arrived at as follows: Dividend Payment Date = Dividend Declaration Date + Payment Lag   |



| Field Name                | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|---------------------------|---------------|----------------|-----------------------|--|--|
| PAYDIVI-DEND-DURINGR ED   | Number        | 1              | Optional              |  | This is used to indicate that dividends may be paid during redemption. |
| REIN-VEST-MENTPRI CEBASIS | Alpha-numeric | 1              | Optional              |  |  |
| IRACOM-PULSO-RYREINVEST   | Number        | 1              | Optional              |  | Applicable only if IRA is applicable for the fund.                     |
| NPISUP-PORT               | Alpha-numeric | 1              | Optional              |  |  |
| NPIPAY-MENT               | Alpha-numeric | 3              | Optional              |  |  |
| NPIU-HOverride            | Alpha-numeric | 1              | Optional              |  |  |
| FlgUnsettleUnits          | Alpha-numeric | 1              | Optional              |  |  |
| MIMAMT-TOBEP-AIDOUT       | NUMBER        | 1              | Optional              |  |  |
| ACTION                    | VAR-CHAR2     | 1              | Optional              | Valid values are 'L' and 'R'             |  |
| OVER-RATPAY-TIME          | NUMBER        | 1              | Optional              | Valid values are 0 and 1                 |  |
| AUTORE-INVFO-RUNCLC HQ    | NUMBER        | 1              | Optional              | Valid values are 0 and 1                 |  |
| AUTORE-INVFO-RUNCLC HQFZB | NUMBER        | 1              | Optional              | Valid values are 0 and 1                 |  |
| TRACK-UNCLEA REDTXN S     | VAR-CHAR2     | 1              | Optional              | Valid values are 0 and 1                 |  |

| Field Name                | Type      | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|---------------------------|-----------|----------------|-----------------------|--|---|
| TRACK-BLOCKE DTXNS        | VAR-CHAR2 | 1              | Optional              | Valid values are 0 and 1                 |   |
| PAYUN-GENRE-INVESTMENT    | VAR-CHAR2 | 1              | Optional              | Valid values are 0 and 1                 |   |
| TDS APPLICABLE FOR ALL UH | Number    | 1              | Optional              | Valid values are 0 (No) and 1(Yes)       | TDS Applicable for all UH" flag could be set to '1' only if dividend equalization is applicable for the fund. |

**Serial Number:** 9

**Segment Identification Number:** 08FSC

**Description:** This segment is used for providing Fund Sale Country information

**Segment Mandatory / Optional?:** Mandatory

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes

| Field Name | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|------------|--------------|----------------|-----------------------|--|---|
| SEG-MENTID | Alphanumeric | 20             | Mandatory             | Default value 08FSC                      | Standard value of 08FSC to uniquely identify Fund sale country information  |
| PRO-CESSID | Alphanumeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID       | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later |

| Field Name                      | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|---------------------------------|--------------|----------------|-----------------------|--|---|
| FUNDID                          | Alphanumeric | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund sale country information has to be uploaded   |
| RULEEF<br>FEC-<br>TIVE-<br>DATE | Date         | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which fund sale country information for the fund has to be maintained.  |
| COUN-<br>TRY-<br>CODE           | Alphanumeric | 3              |                       | Valid country code present in the system as a part of Domicile in Params maintenance   | If no country code is mentioned and this field is left blank then it means that there is no restriction on the sale of the fund. However, if valid value is entered then the fund would be restricted for sale in those countries |

**Serial Number:** 10

**Segment Identification Number:** 09FRL

**Description:** This segment is used for providing Fund residency list information

**Segment Mandatory/Optional?:** Mandatory

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes

| Field Name     | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|----------------|--------------|----------------|-----------------------|--|--|
| SEG-<br>MENTID | Alphanumeric | 20             | Mandatory             | Default value 09FRL                      | Standard value of 09FRL to uniquely identify Fund residency list information |

| Field Name                      | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|---------------------------------|--------------|----------------|-----------------------|--|---|
| PRO-CESSID                      | Alphanumeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later   |
| FUNDID                          | Alphanumeric | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund residency list information has to be uploaded   |
| RULEEF<br>FEC-<br>TIVE-<br>DATE | Date         | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which fund residency list information for the fund has to be maintained.  |
| COUN-<br>TRY-<br>CODE           | Alphanumeric | 3              |                       | Valid country code present in the system as a part of Domicile in Params maintenance   | If no country code is mentioned and this field is left blank then it means that there is no restriction on the investor residing in any domicile. However, if valid value is entered then the fund would be restricted for investors residing in that domicile. |

**Serial Number:** 11

**Segment Identification Number:** 10FFM

**Description:** This segment is used for providing Fund formula information

**Segment Mandatory/Optional?:** Optional

**Multiple records allowed for the same Fund and Rule Effective Date: Yes**

| <b>Field Name</b>           | <b>Type</b>  | <b>Maximum Length</b> | <b>Mandatory / Optional?</b> | <b>Characteristics / Validations applicable</b>  | <b>Description</b>  |
|-----------------------------|--------------|-----------------------|------------------------------|--|---|
| SEG-MENTID                  | Alphanumeric | 20                    | Mandatory                    | Default value 10FFM  | Standard value of 10FFM to uniquely identify Fund formula related information   |
| PRO-CESSID                  | Alphanumeric | 50                    | Mandatory                    | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later                     |
| FUNDID                      | Alphanumeric | 6                     | Mandatory                    |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund formula information has to be uploaded                    |
| RULEEF<br>FEC-TIVE-<br>DATE | Date         | 8                     | Mandatory                    | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which fund formula information for the fund has to be maintained.   |
| COM-PONEN-TID               | Alphanumeric | 10                    | Mandatory                    |  | Valid components maintained in the system as a part of Params maintenance. This also depends on whether this is used for Fund price computation or not. |

| Field Name              | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|-------------------------|--------------|----------------|-----------------------|--|---|
| FUND-PRICE-COMPUTATION  | Alphanumeric | 1              | Optional              |  | Indicates whether the data has to be used for Fund price computation or not. This value should remain the same for the fund and Rule effective date combination. In other words, for a fund and Rule effective date - we cannot have one component used for fund price computation and the other component not used for fund price computation. |
| FORMULA                 | Alphanumeric | 255            | Optional              |  |   |
| DEFAULTVALUE            | Number       | 15             | Optional              | Maximum of 6 decimals allowed            |   |
| EXCLUDEFROMCAPITALGAINS | Number       | 1              | Optional              |  |   |

**Serial Number:** 12

**Segment Identification Number:** 11FLM

**Description:** This segment is used for providing Fund load information

**Segment Mandatory/Optional?:** Optional

**Multiple records allowed for the same Fund and Rule Effective Date: Yes**

| <b>Field Name</b>           | <b>Type</b>       | <b>Maximum Length</b> | <b>Mandatory / Optional?</b> | <b>Characteristics / Validations applicable</b>  | <b>Description</b>  |
|-----------------------------|-------------------|-----------------------|------------------------------|--|---|
| SEG-MENTID                  | Alphanu-<br>meric | 20                    | Mandatory                    | Default value<br>11FLM   | Standard value<br>of 11FLM to<br>uniquely iden-<br>tify Fund load<br>related informa-<br>tion   |
| PROCES-<br>SID              | Alphanu-<br>meric | 50                    | Mandatory                    | Same value as<br>in 00GEN - Pro-<br>cessID   | This should<br>identify the<br>upload uniquely.<br>This should also<br>be unique within<br>a file and it<br>allows to identify<br>imported<br>records later     |
| FUNDID                      | Alphanu-<br>meric | 6                     | Mandatory                    |  | The Fund ID is a<br>unique Identifi-<br>cation for each<br>Fund and it indi-<br>cates the fund<br>for which Fund<br>load informa-<br>tion has to be<br>uploaded |
| RULEEF-<br>FECTIVE-<br>DATE | Date              | 8                     | Mandatory                    | The Fund ID<br>and Rule effec-<br>tive date combi-<br>nation should<br>be the same as<br>defined in<br>01FDR | The rule effec-<br>tive date for<br>which fund load<br>information for<br>the fund has to<br>be maintained.   |
| TRANS-<br>ACTION-<br>TYPE   | Alphanu-<br>meric | 2                     | Mandatory                    | Valid values are<br>from '01' to '10'<br>ranging from<br>IPO to Re-issue                                     | This is to indi-<br>cate the trans-<br>action type for<br>which the loads<br>are being<br>defined   |
| LOADID                      | Number            | 5                     | Mandatory                    | Valid load ID<br>defined in the<br>system  | Specify the<br>name of the<br>Load (fee/incen-<br>tive) that must<br>be applied for<br>the selected<br>Fund.  |

| Field Name       | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description   |
|------------------|--------------|----------------|-----------------------|---|---|
| LOADTO-PRICE     | Number       |                | Optional              | Valid values are '0' and '1'  | This is to indicate that this load is to be loaded to the base price  |
| FRO-MENTI-TYTYPE | Alphanumeric | 1              | Mandatory             | Valid values are: 'M' for AMC, 'A' for Agent, 'B' for Broker, 'D' for Distributor, 'F' for Fund, 'R' for Registrar, 'T' for Trustee, 'U' for Unit Holder, 'S' for Custodian | This is to indicate the entity type from which the load is applied  |
| TOENTI-TYTYPE    | Alphanumeric | 1              | Mandatory             | Valid values are: 'M' for AMC, 'A' for Agent, 'B' for Broker, 'D' for Distributor, 'F' for Fund, 'R' for Registrar, 'T' for Trustee, 'U' for Unit Holder, 'S' for Custodian | This is to indicate the entity type to which the load is applied  |
| PRO-CESS-INGFREQ | Alphanumeric | 1              | Optional              | Valid values are 'A', 'T' and 'P'   | Specify the frequency at which this load is to be applied. Loads can be applied at any of three possible frequencies - Allocation time, Transaction time and Post allocation. (Refer the table marked 'PRO-CESSING-FREQ' at the end of this document) |



| Field Name           | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                                    | Description  |
|----------------------|--------------|----------------|-----------------------|---|--|
| GLAC-COUNT-CODE      | Alphanumeric | 10             | Optional              |   | When transaction information is exported to an external system, if separate books of accounts are to be posted for different loads, this code will be used to identify this load information in the export data. |
| DEBITOR-CREDIT       | Alphanumeric | 1              | Optional              | Valid values are 'D' and 'C'  | This is used to indicate that the applied load would result in a debit or credit for the fund. This data is captured for information purposes only and has no processing implications in the system database.    |
| CON-TRAAC-COUNTCODE  | Alphanumeric | 10             | Optional              |   | Specify the code that will be used to identify the second leg of accounting entries for any transaction, in the event of exporting of transaction information to an external system.                             |
| NOOF-DECIMALSFORLOAD | Number       | 1              | Optional              | Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up | Indicate the rounding options for the value of the load, designated for the fund.  |

| Field Name                 | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description   |
|----------------------------|--------------|----------------|-----------------------|---|---|
| ROUND-OFFTRUNCATE-FOR-LOAD | Alphanumeric | 1              | Optional              |   | Indicate the maximum number of decimals that would be reckoned for rounding precision, for the load. You cannot indicate a precision higher than 6 decimal places.              |
| MANDATORY                  | Alphanumeric | 1              | Optional              | Valid values are 'M' and 'O'  | Select Mandatory or Optional to specify whether the load is mandatory or optional   |
| PRIORITYNO                 | Number       |                | Optional              | For a mandatory load, the priority number is set to 0 and for an optional load the priority number should be unique i.e.. for a given Fund, no more than one load can have the same priority number.  | Enter a value to specify the priority number in case of optional loads.   |
| LOAD-VATTPRICE             | Number       |                | Optional              | Valid values are 1 and 0  |   |
| APPLY-RETVALON             | Alphanumeric | 1              | Optional              | Valid vales are 'G' - Capital Gains Gross; 'N' - Non-capital gains Net and 'C' - Capital gains and 'P' - Performance Based and 'I' – IOF and 'D' - Default IRRF and 'O' - Optional IRRF and 'S'- CDSC | If the load is a Capital Gains Tax, and it is to be applied based on the gross amount after allocation, choose the Capital Gains – Gross option in this field, for such a load. |

| Field Name            | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable                              | Description  |
|-----------------------|--------------|----------------|-----------------------|---|--|
| SEND-FOR-GLINTER FACE | Number       | 1              | Optional              | Valid values are '0' and '1'  | This is used to indicate that accounting entries due only to the selected load must be posted to the external asset management system, for the fund.   |
| REFTYPE               | Alphanumeric | 2              | Mandatory             | Valid reference type as per the pre-shipped data in RefTypeTbl        | When load needs to be mapped to a reference transaction type like Reinvestment and if the system supports the mapping of the Ref Type to any load, then such RefTypes can be indicated here. |
| DIFFERENTIAL-FEE      | Number       | 1              | Optional              | Valid values are '0' and '1'  | This is to indicate whether differential fee in the case of switch transactions for any fund is to be applicable   |
| SLABBASIS             | Alphanumeric | 1              | Optional              | Valid values are 'G' for Gross and 'N' for Net 'W' – Weighted Average | This is to Indicate the basis on which the slabs will be reckoned for an amount-based load that you are designating as applicable for the fund   |

| Field Name       | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable      | Description  |
|------------------|--------------|----------------|-----------------------|---|--|
| PRICEADDIN       | Number       | 1              | Optional              |   | This is used to indicate the add-in factor that is to be applicable for computing the return value for the load, for the selected transaction type. The add-in factor is used to arrive at the return value for a load, at the time of allocation. |
| GROUPID          | Alphanumeric | 6              | Optional              | Valid Group Id present in the system          |  |
| INDEXATIONNONCGT | Alphanumeric | 1              | Optional              | Valid values are 'Y' for Yes and 'N' for 'No' |  |
| LevelID          | Number       |                | Optional              |   | Used for capturing the LevelID   |
| DependentLevel   | Number       |                | Optional              |   | Used for capturing Dependent level.  |

**Serial Number:** 13

**Segment Identification Number:** 12FTC

**Description:** This segment is used for providing Fund transaction currency information

**Segment Mandatory/Optional?:** Mandatory

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes

| Field Name | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|------------|--------------|----------------|-----------------------|--|--|
| SEGMENTID  | Alphanumeric | 20             | Mandatory             | Default value 12FTC                      | Standard value of 12FTC to uniquely identify Fund transaction currency information |

| Field Name          | Type          | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|---------------------|---------------|----------------|-----------------------|--|---|
| PROCES-SID          | Alpha-numeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later   |
| FUNDID              | Alpha-numeric | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund transaction currency information has to be uploaded   |
| RULEEF-FECTIVE-DATE | Date          | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which fund transaction currency information for the fund has to be maintained.  |
| CURREN-CYCODE       | Alpha-numeric | 3              | Optional              | Valid currency maintained in the system  | If no currency code is mentioned and this field is left blank then it means that there is no restriction on the currency used for transaction for this fund. However, if valid value is entered then the fund would be restricted for transaction in those currencies |

**Serial Number:** 14

**Segment Identification Number:** 13FAI

**Description:** This segment is used for providing Fund additional information

**Segment Mandatory/Optional?:** Mandatory

**Multiple records allowed for the same Fund and Rule Effective Date:** No

| Field Name          | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|---------------------|--------------|----------------|-----------------------|--|---|
| SEG-MENTID          | Alphanumeric | 20             | Mandatory             | Default value 13FAI  | Standard value of 13FAI to uniquely identify Fund additional information  |
| PRO-CESSID          | Alphanumeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later     |
| FUNDID              | Alphanumeric | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund additional information has to be uploaded |
| RULEEF-FEC-TIVEDATE | Date         | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which fund additional information for the fund has to be maintained.  |

| Field Name   | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description   |
|--------------|--------------|----------------|-----------------------|--|---|
| OTHER-INFO1  | Alphanumeric | 60             | Optional              |  | Additional information could be maintained in the system as a part of 'Add Info Maintenance'. Once this is done, for a specific AMC, the additional information can be mapped for the entity type Fund. Hence, as a part of Fund additional information maintenance, the values could be captured. Any such additional information can be captured using these fields |
| OTHER-INFO2  | Alphanumeric | 60             | Optional              |  |   |
| OTHER-INFO3  | Alphanumeric | 60             | Optional              |  |   |
| OTHER-INFO4  | Alphanumeric | 60             | Optional              |  |   |
| OTHER-INFO5  | Alphanumeric | 60             | Optional              |  |   |
| OTHER-INFO6  | Alphanumeric | 60             | Optional              |  |   |
| OTHER-INFO7  | Alphanumeric | 60             | Optional              |  |   |
| OTHER-INFO8  | Alphanumeric | 60             | Optional              |  |   |
| OTHER-INFO9  | Alphanumeric | 60             | Optional              |  |   |
| OTHER-INFO10 | Alphanumeric | 60             | Optional              |  |   |
| OTHER-INFO11 | Alphanumeric | 60             | Optional              |  |   |

| <b>Field Name</b> | <b>Type</b>  | <b>Maximum Length</b> | <b>Mandatory / Optional?</b> | <b>Characteristics / Validations applicable</b> | <b>Description</b> |
|-------------------|--------------|-----------------------|------------------------------|---|--------------------|
| OTHER-INFO12      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO13      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO14      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO15      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO16      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO17      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO18      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO19      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO20      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO21      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO22      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO23      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO24      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO25      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO26      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO27      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO28      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO29      | Alphanumeric | 60                    | Optional                     |   |                    |
| OTHER-INFO30      | Alphanumeric | 60                    | Optional                     |   |                    |



**Serial Number:** 15

**Segment Identification Number:** 14IRA

**Description:** This segment is used for providing Fund IRA information, if applicable

**Segment Mandatory/Optional?** : Optional

**Multiple records allowed for the same Fund and Rule Effective Date:** No

| Field Name           | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|----------------------|--------------|----------------|-----------------------|--|---|
| SEG-MEN-TID          | Alphanumeric | 20             | Mandatory             | Default value 14IRA  | Standard value of 14IRA to uniquely identify Fund IRA information   |
| PRO-CES-SID          | Alphanumeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later |
| FUN-DID              | Alphanumeric | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund IRA information has to be uploaded    |
| RULEE FFEC-TIVE-DATE | Date         | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which fund IRA information for the fund has to be maintained.   |

| Field Name           | Type   | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description                       |
|----------------------|--------|----------------|-----------------------|--|-----------------------------------|
| MININITIALINVESTMENT | Number | 15             | Mandatory             |  | Minimum initial investment amount |
| MAXINITIALINVESTMENT | Number | 15             | Mandatory             |  | Maximum initial investment amount |
| MININVESTMENT        | Number | 15             | Mandatory             |  | Minimum investment amount         |
| MAXINVESTMENT        | Number | 15             | Mandatory             |  | Maximum investment amount         |
| MINWITHDRAWAL        | Number | 15             | Mandatory             |  | Minimum Withdrawal amount         |
| MAXWITHDRAWAL        | Number | 15             | Mandatory             |  | Maximum Withdrawal amount         |

**Serial Number:** 16

**Segment Identification Number:** 15FDI

**Description:** This segment is used for providing Fund delivery instruction information, if applicable

**Segment Mandatory/Optional?:** Optional

**Multiple records allowed for the same Fund and Rule Effective Date:** No

| Field Name | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|------------|--------------|----------------|-----------------------|--|--|
| SEGMENTID  | Alphanumeric | 20             | Mandatory             | Default value 15FDI                      | Standard value of 15FDI to uniquely identify Fund transaction currency information |

| Field Name           | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|----------------------|--------------|----------------|-----------------------|--|---|
| PRO-CESSID           | Alphanumeric | 50             | Mandatory             | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later   |
| FUNDID               | Alphanumeric | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund delivery instruction has to be uploaded |
| RULEEFFECTIVE-DATE   | Date         | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which Fund delivery instruction information for the fund has to be maintained.                            |
| REGISTER-DACCOUNT    | Number       | 1              | Mandatory             | Valid values are '0' and '1'   | Indicate as '1' if the respective delivery mode is applicable for the fund  |
| DELIVERYTO-CUSTODIAN | Number       | 1              | Mandatory             | Valid values are '0' and '1'   |   |
| DELIVERYTO-CLGAGENT  | Number       | 1              | Mandatory             | Valid values are '0' and '1'   |   |
| PHYSICALCERTDELIVERY | Number       | 1              | Mandatory             | Valid values are '0' and '1'   |   |

| Field Name             | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable  | Description  |
|------------------------|--------------|----------------|-----------------------|---|--|
| DEFAULTDELIVERY        | Alphanumeric | 2              | Mandatory             | Valid values are 'RA' for Registered account, 'CU' for Deliver to Custodian, 'CL' for Deliver to Clearing Agent and 'PD' for Physical delivery  | Should be based on allowed delivery modes  |
| CERTIFIEDDENOMINATIONS | Alphanumeric | 200            | Optional              | This value should be specified with necessary field and record separator as shown below:-<br>10 0:100 1:500<br> 0:1000 0<br>where the field separator between Denomination value and Default is ' ' and the record separator is colon - ':' | This is applicable only in case of scrippless funds having Physical delivery as one of the delivery instruction. |
| PUEV                   | NUMBER       | 1              | Optional              | Valid values are 0 and 1  | This is a new delivery+ option   |

### 3.1.3 **PROCESSINGFREQ (ref 11FLM, 12.10)**

\* Allocation: This will mean that you will be charging the investor a fee or giving an incentive at the time of allocation of units. Allocation time loads cannot be designated for any transaction types other than IPO, subscription, redemption, and switch.

\* Transaction: The charge (fee) or incentive will be applied at the time the transaction request is accepted from the investor.

\* Post Allocation: This frequency is applicable typically to the Capital Gains Tax, which may be applied after allocation, on the gross amount.

Capital Gains Tax may also be designated as an allocation time load.

**Serial Number:** 17

**Segment Identification Number:** 17KYC

**Description:** This segment is used for capturing the Document information, if applicable

**Segment Mandatory/Optional?:** Optional

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes

| Field Name                  | Type              | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|-----------------------------|-------------------|----------------|-----------------------|--|---|
| SEG-MENTID                  | Alphanumeric      | 20             | Mandatory             | Default value 17KYC  | Standard value of 17KYC is to uniquely identify Fund document description.  |
| PRO-CESSID                  | Alphanumeric      | 50             | Mandatory             | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later   |
| FUNDID                      | Alphanumeric      | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund delivery instruction has to be uploaded |
| RULEEF<br>FEC-TIVE-<br>DATE | Date              | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which Fund delivery instruction information for the fund has to be maintained.                            |
| Docu-mentDe-scription       | Alphanumeric Code | 255            | Optional              | Captured only if the KYCAML parameter is set to True.                                  | Used for capturing the document description.  |

**Serial Number:** 18

**Segment Identification Number:** 18MLD

**Description:** This segment is used for capturing the information in multiple languages against the language codes.

**Segment Mandatory/Optional?:** Optional

**Multiple records allowed for the same Fund and Rule Effective Date: Yes**

| Field Name                  | Type                      | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable   | Description   |
|-----------------------------|---------------------------|----------------|-----------------------|--|---|
| SEG-MENTID                  | Alpha-numeric             | 20             | Mandatory             | Default value 18MLD  | Standard value of 18MLD is to uniquely identify multiple languages.   |
| PRO-CESSID                  | Alpha-numeric             | 50             | Mandatory             | Same value as in 00GEN - ProcessID   | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later   |
| FUNDID                      | Alpha-numeric             | 6              | Mandatory             |  | The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund delivery instruction has to be uploaded |
| RULEEF<br>FEC-TIVE-<br>DATE | Date                      | 8              | Mandatory             | The Fund ID and Rule effective date combination should be the same as defined in 01FDR | The rule effective date for which Fund delivery instruction information for the fund has to be maintained.                            |
| Lan-<br>guage-<br>Code      | Alpha-<br>numeric<br>Code | 12             | Optional              |  | Used for capturing the information in multiple languages against the language code.   |
| Fund-<br>Name               | Alpha-<br>numeric<br>Code | 60             | Optional              |  | Enter the name of the fund for which the Fund Demographics profile is being set up in this record                                     |

**Serial Number:** 19

**Segment Identification Number:** 19FSI

**Description:** This segment is used for providing Fund Investment Account SI, if applicable

**Segment Mandatory/Optional?:** Optional

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes

| Field Name            | Type   | Maximum Length | Mandatory / Optional? |
|-----------------------|--------|----------------|-----------------------|
| MAXNOOFSITRANSACTIONS | NUMBER | 4              | Optional              |
| MAXVALIDITY           | NUMBER | 4              | Optional              |

**Serial Number:** 20

**Segment Identification Number:** 20HFP

**Description:** This segment is used for providing hedge fund parameters

**Segment Mandatory/Optional?:** Optional

| Field Name           | Type     | Maximum Length | Mandatory / Optional? |
|----------------------|----------|----------------|-----------------------|
| SegmentID            | VARCHAR2 | 20             | Mandatory             |
| ProcessID            | VARCHAR2 | 50             | Mandatory             |
| FundID               | VARCHAR2 | 6              | Mandatory             |
| RuleEffectiveDate    | DATE     | 7              | Mandatory             |
| HedgeFundType        | VARCHAR2 | 1              | Mandatory             |
| HedgeFundManager     | VARCHAR2 | 12             | Mandatory             |
| TxnGenerationBasis   | VARCHAR2 | 1              | Optional              |
| MasterFundUHID       | VARCHAR2 | 12             | Optional              |
| NoticePeriodforRed   | NUMBER   | 5              | Optional              |
| ComputationMethod    | VARCHAR2 | 4              | Optional              |
| RuleGroupID          | VARCHAR2 | 10             | Optional              |
| PerformancefeePer    | NUMBER   | 3              | Optional              |
| AccrualFrequency     | VARCHAR2 | 1              | Optional              |
| NextAccrualDate      | DATE     | 7              | Optional              |
| PaymentFrequency     | VARCHAR2 | 1              | Optional              |
| NextPaymentDate      | DATE     | 7              | Optional              |
| HurdleRateApplicable | VARCHAR2 | 1              | Optional              |
| HurdleType           | VARCHAR2 | 1              | Optional              |
| ResetHurdle          | VARCHAR2 | 1              | Optional              |
| HurdleRateType       | VARCHAR2 | 1              | Optional              |
| CalculationMethod    | VARCHAR2 | 1              | Optional              |

| Field Name | Type     | Maximum Length | Mandatory / Optional? |
|------------|----------|----------------|-----------------------|
| DayBasis   | VARCHAR2 | 1              | Optional              |
| RateCode   | VARCHAR2 | 15             | Optional              |
| RateBasis  | VARCHAR2 | 1              | Optional              |
| Rate       | NUMBER   | 20             | Optional              |

**Serial Number:** 21

**Segment Identification Number:** 21HFL

**Description:** This segment is used for providing hedge fund linkage details

**Segment Mandatory/Optional?:** Optional

| Field Name        | Type     | Maximum Length | Mandatory / Optional? |
|-------------------|----------|----------------|-----------------------|
| SegmentID         | VARCHAR2 | 20             | Mandatory             |
| ProcessID         | VARCHAR2 | 50             | Mandatory             |
| FundID            | VARCHAR2 | 6              | Mandatory             |
| RuleEffectiveDate | DATE     | 7              | Mandatory             |
| LinkFundID        | VARCHAR2 | 6              | Mandatory             |
| Percentage        | NUMBER   | 3              | Optional              |
| Unit holder ID    | VARCHAR2 | 12             | Optional              |

**Serial Number:** 22

**Segment Identification Number:** 21HFD

**Description:** This segment is used for providing investor category details for hedge funds

**Segment Mandatory/Optional?:** Optional

| Field Name        | Type     | Maximum Length | Mandatory / Optional? |
|-------------------|----------|----------------|-----------------------|
| SegmentID         | VARCHAR2 | 20             | Mandatory             |
| ProcessID         | VARCHAR2 | 50             | Mandatory             |
| FundID            | VARCHAR2 | 6              | Mandatory             |
| RuleEffectiveDate | DATE     | 7              | Mandatory             |
| InvestorCategory  | VARCHAR2 | 25             | Mandatory             |



| Field Name         | Type   | Maximum Length | Mandatory / Optional? |
|--------------------|--------|----------------|-----------------------|
| PerformanceFeeRate | NUMBER | 20             | Mandatory             |

### 3.1.4 Fund Related Information Upload Segments Format

The upload file would contain various segment identification numbers in the first column to indicate the type of data that is present in that entire row. Each segment identified by a unique tag, indicates the type of Fund rule related information like Fund entity, Fund calendar and Fund Agency Branch Back-dating limit.

| Segment Identification Number | Description   | Segment Mandatory / Optional?  | Multiple records allowed for the same Fund and Rule Effective Date |
|-------------------------------|---|--|--|
| 00GEN                         | This segment is used for providing information that is common to the other fund related rules that need to be uploaded in the system. | Mandatory and will be the driving header segment for all the other segments. | No   |
| 01FEM                         | This segment is used for providing Fund entity related information that needs to be uploaded in the system for the Fund.              | Optional   | Yes [For various entity types and entity ids]                      |
| 02FAB                         | This segment is used for providing Fund agency branch back-dating information that needs to be uploaded in the system for the Fund.   | Optional   | Yes [For various transaction types]                                |
| 03FBC                         | This segment is used for providing Fund based calendar related information that needs to be uploaded in the system for the Fund.      | Optional   | Yes [For various years]  |

#### 3.1.4.1 Fund Related Information Segments Formats

**Serial Number:** 1

**Segment Identification Number:** 00GEN

**Description:** This segment is used for providing information that is common to the other fund related rules that need to be uploaded in the system.

**Segment Mandatory / Optional?:** Mandatory and will be the driving header segment for all the other segments.

**Multiple records allowed for the same Fund and Rule Effective Date: No**

| Field Name       | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|------------------|--------------|----------------|-----------------------|--|--|
| PROCES-SID       | Alphanumeric | 50             | Mandatory             |  | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later  |
| BULKCLIENT-CODE  | Alphanumeric | 12             | Mandatory             |  | Valid authorized, enabled Bulk client codes maintained in the system as a part of Entity maintenance.  |
| IMPORT-FILE-NAME | Alphanumeric | 255            | Mandatory             |  | This is the name of the file being uploaded into the FCIS system   |
| AMCID            | Alphanumeric | 12             | Mandatory             |  | Valid authorized, enabled AMC maintained in the system as a part of Entity maintenance. The fund rule upload defaulting would be based on both AMCID and the Bulk client code. |
| USERID           | Alphanumeric | 15             | Mandatory             |  | The fund rule MakerID would have the value of UserID as a part of data storage in all the fund rule tables.  |
| UPLOAD-MODE      | Alphanumeric | 1              | Mandatory             | Valid values are 'N' and 'I'             | Should be 'N' for New fund rule. And 'I' for Amending an existing authorized fund rule.  |

| Field Name            | Type         | Maximum Length | Mandatory / Optional? | Characteristics / Validations applicable | Description  |
|-----------------------|--------------|----------------|-----------------------|--|--|
| GENERATEAUTH-FUNDRULE | Alphanumeric | 1              | Optional              | Valid values are 'A' and 'U'             | This indicates whether the fund rule uploaded should be a authorized fund rule or un-authorized fund rule. |

**Serial Number:** 2

**Segment Identification Number:** 01FEM

**Description:** This segment is used for providing Fund entity related information

**Segment Mandatory / Optional:** Optional

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes (For various entity types and entity IDs)

| Field Name | Type          | Maximum Length | Mandatory /Optional? | Characteristics / Validations applicable  | Description  |
|------------|---------------|----------------|----------------------|---|--|
| SEGMENTID  | Alpha-numeric | 20             | Mandatory            | Default value 01FEM   | Standard value of 01FEM to uniquely identify Fund entity information   |
| PROCESSID  | Alpha-numeric | 50             | Mandatory            | Same value as in 00GEN - ProcessID  | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later        |
| FUNDID     | Alpha-numeric | 6              | Mandatory            | Depending upon the scheme cross reference maintained at the Bulk client defaults - this field value could either be FundID, Fund Identification number or Fund ticker symbol. | The Fund ID is a unique Identification for each Fund in the system. Enter the fund for which a Fund entity mapping needs to be maintained. |

| Field Name        | Type          | Maximum Length | Mandatory /Optional? | Characteristics / Validations applicable  | Description  |
|-------------------|---------------|----------------|----------------------|---|--|
| ENTITY-TYPE       | Alpha-numeric | 2              | Optional             | Valid values are - 'A' for Agent; 'Q' for Check Vendor;'U' for Investor, 'L' for Clearing Agent; 'IC' for Individual customer category and 'CC' for Corporate customer category | The entity type for which the fund is mapped. This helps us to understand whether it is a Fund-Agent mapping, Fund-Check Vendor mapping, Fund-Clearing Agent mapping, Fund-Investor mapping, Fund-Individual category mapping or Fund - Corporate category mapping |
| ENTIT-YID         | Alpha-numeric | 12             | Optional             | Valid entity ID maintained in the system as a part of Single entity maintenance for the respective entity type.   | The Entity ID which belongs to the entity type specified   |
| DISA-BLE          | Number        | 1              | Optional             | Valid values are '0' and '1'  | This is to indicate whether the definition should be disabled or enabled.  |
| RESTRI CTIVEL-IST | Number        | 1              | Optional             | Valid values are '0' and '1'  | Indicates whether the mapping is a positive list or negative list. The value of '1' indicates that it is a negative list and vice versa.   |

| Field Name             | Type          | Maximum Length | Mandatory /Optional? | Characteristics / Validations applicable   | Description   |
|------------------------|---------------|----------------|----------------------|--|---|
| DEFAULT OPTION         | Alpha-numeric | 15             | Optional             | Valid values are null, 'BULKCLIENT-CODE' or any valid Fund Id available in the system.                   | * If the default option is null, then all values provided by the user for entity type, entity id and Restrictive list should be used. * If the value 'BULKCLIENTCODE' is mentioned, then default the information based on the bulk client code mentioned as a part of header segment. * If any other Fund ID value is mentioned, then default values based on the latest available record for the Fund mentioned. |
| UPLOAD MODE            | Alpha-numeric | 1              | Optional             | Valid values are null, 'N' and 'I'. This is to override the value mentioned as a part of header segment. | Should be 'N' for New fund rule. And 'I' for Incremental for Amending an existing authorized fund rule.   |
| GENERATEAU-THFUND RULE | Alpha-numeric | 1              | Optional             | Valid values are null, 'A' and 'U'. This is to override the value mentioned as a part of header segment. | This indicates whether the fund rule uploaded should be a authorized fund rule or unauthorized fund rule.   |

**Serial Number:** 3

**Segment Identification Number:** 02FAB

**Description:** This segment is used for providing Fund agency branch back-dated related information

**Segment Mandatory/Optional:** Optional

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes (For various transaction types)

| Field Name         | Type          | Maximum Length | Mandatory /Optional? | Characteristics / Validations applicable  | Description  |
|--------------------|---------------|----------------|----------------------|---|--|
| SEG-MEN-TID        | Alpha-numeric | 20             | Mandatory            | Default value 02FAB   | Standard value of 02FAB to uniquely identify Fund agency branch back-dating limit information  |
| PRO-CES-SID        | Alpha-numeric | 50             | Mandatory            | Same value as in 00GEN - ProcessID  | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later                        |
| FUN-DID            | Alpha-numeric | 6              | Mandatory            | Depending upon the scheme cross reference maintained at the Bulk client defaults - this field value could either be FundID, Fund Identification number or Fund ticker symbol. | The Fund ID is a unique Identification for each Fund in the system. Enter the fund for which a Fund agency branch back-dated limit needs to be maintained. |
| AGENT CODE         | Alpha-numeric | 12             | Optional             | Valid agent as a part of entity maintenance   | Valid entity id in the system for which entity type is 'A'   |
| AGEN-CYBRANCH-CODE | Alpha-numeric | 12             | Optional             | Valid agency branch as a part of entity maintenance   | Valid entity id in the system for which the parent code is Agent code mentioned in the previous column   |
| TRANSACTIONTYPE    | Alpha-numeric | 2              | Optional             | Valid values are '01' for IPO; '02' for Subscription; '03' for Redemption and so on.  | Transaction type for which back-dating limit is maintained. Valid values are '01' to '10' ranging from IPO to Re-issue                                     |

| Field Name           | Type         | Maximum Length | Mandatory /Optional? | Characteristics / Validations applicable   | Description   |
|----------------------|--------------|----------------|----------------------|--|---|
| BACK-DATINGPERIOD    | Number       |                | Optional             | Back dating limit in days  |   |
| DISABLE              | Number       | 1              | Optional             | Valid values are '0' and '1'   | This is to indicate whether the definition should be disabled or enabled.   |
| DEFAULTOPTION        | Alphanumeric | 15             | Optional             | Valid values are null, 'BULKCLIENTCODE' or any valid Fund Id available in the system.                    | * If the default option is null, then all values provided by the user for entity type, entity id and Restrictive list should be used. * If the value 'BULKCLIENTCODE' is mentioned, then default the information based on the bulk client code mentioned as a part of header segment. * If any other Fund ID value is mentioned, then default values based on the latest available record for the Fund mentioned. |
| UPLOADMODE           | Alphanumeric | 1              | Optional             | Valid values are null, 'N' and 'I'. This is to override the value mentioned as a part of header segment. | Should be 'N' for New fund rule. And 'I' for Incremental for Amending an existing authorized fund rule.   |
| GENERATEAUTHFUNDRULE | Alphanumeric | 1              | Optional             | Valid values are null, 'A' and 'U'. This is to override the value mentioned as a part of header segment. | This indicates whether the fund rule uploaded should be an authorized fund rule or unauthorized fund rule.  |

**Serial Number:** 4

**Segment Identification Number:** 03FBC

**Description:** This segment is used for providing Fund based calendar information

**Segment Mandatory/Optional?:** Optional

**Multiple records allowed for the same Fund and Rule Effective Date:** Yes (for various years)

| Field Name  | Type          | Maximum Length | Mandatory /Optional? | Characteristics / Validations applicable  | Description  |
|-------------|---------------|----------------|----------------------|---|--|
| SEG-MEN-TID | Alpha-numeric | 20             | Mandatory            | Default value 03FBC   | Standard value of 03FBC to uniquely identify Fund agency branch back-dating limit information  |
| PRO-CES-SID | Alpha-numeric | 50             | Mandatory            | Same value as in 00GEN - ProcessID  | This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later                        |
| FUN-DID     | Alpha-numeric | 6              | Mandatory            | Depending upon the scheme cross reference maintained at the Bulk client defaults - this field value could either be FundID, Fund Identification number or Fund ticker symbol. | The Fund ID is a unique Identification for each Fund in the system. Enter the fund for which a Fund agency branch back-dated limit needs to be maintained. |
| YEAR        | Number        | 4              | Optional             |   | The year for which the calendar need to be maintained for the fund.  |



| Field Name        | Type          | Maximum Length | Mandatory /Optional? | Characteristics / Validations applicable   | Description   |
|-------------------|---------------|----------------|----------------------|--|---|
| DEFAULTOPTION     | Alpha-numeric | 15             | Optional             | Valid values are null, 'BULKCLIENT-CODE' or any valid Fund Id available in the system.                   | * If the default option is null, then all values provided by the user for entity type, entity id and Restrictive list should be used. * If the value 'BULKCLIENTCODE' is mentioned, then default the information based on the bulk client code mentioned as a part of header segment. * If any other Fund ID value is mentioned, then default values based on the latest available record for the Fund mentioned. |
| UPLOADMODE        | Alpha-numeric | 1              | Optional             | Valid values are null, 'N' and 'I'. This is to override the value mentioned as a part of header segment. | Should be 'N' for New fund rule. And 'I' for Incremental for Amending an existing authorized fund rule.   |
| GENERATEAUTHORULE | Alpha-numeric | 1              | Optional             | Valid values are null, 'A' and 'U'. This is to override the value mentioned as a part of header segment. | This indicates whether the fund rule uploaded should be a authorized fund rule or un-authorized fund rule.  |

### 3.1.5 EPU Upload File Format

EPU upload is specific to OMSTA, as the assumption is that OMSTA maintains the following components and those component names are hard-coded in DIVIDENDEPUIIPTBL:

| Field Name        | Data Type | Remarks  |
|-------------------|-----------|--|
| Fund Id           | Char (3)  | The fund id in FCIS.   |
| Distribution Type | Char (1)  | This field will be ignored and the dividend type in FCIS will be treated as cash dividend. |

| Field Name                              | Data Type | Remarks  |
|---|-----------|--|
| Gross Or Net Distribution               | Char (1)  | This field will be ignored.  |
| Declaration Date                        | Date      | The interface will verify if there is any generated cash dividend record for this date, and will update that record with the required EPU. |
| Payment Date / Re-investment Date       | Date      | This field will be ignored by the interface.   |
| Gross Amount                            | Float     | This will be a dividend component. Component code 'GA'.  |
| Dividend Amount                         | Float     | This will be a dividend component. Component code 'DA'.  |
| Dividend Amount Foreign                 | Float     | This will be a dividend component. Component code 'AF'.  |
| Dividend Amount Foreign Withholding Tax | Float     | This will be a dividend component. Component code 'WF'.  |
| Dividend Amount Foreign Exempt          | Float     | This will be a dividend component. Component code 'EF'.  |
| Interest Amount                         | Float     | This will be a dividend component. Component code 'IA'.  |
| Interest Amount Local                   | Float     | This will be a dividend component. Component code 'IL'.  |
| Interest Amount Foreign                 | Float     | This will be a dividend component. Component code 'IF'.  |
| Interest Amount Foreign Withholding Tax | Float     | This will be a dividend component. Component code 'TF'.  |
| NPI Amount                              | Float     | This will be a dividend component. Component code 'NP'.  |
| Interest Withheld Tax Amount            | Float     | This will be a dividend component. Component code 'IT'.  |
| Property Dividend Amount                | Float     | This will be a dividend component. Component code 'PD'.  |

### 3.1.6 Tax Aggregation Interface Format

The formats for export and import of files for transfer of tax information are given below. This is applicable to annuity taxes only.

The Record Specifications for the export file containing tax is as follows:

| Field Name         | Description                                   | Data Type    | Remarks  |
|--------------------|---|--------------|--|
| Tax Year           | The tax year applicable –format – ddmccyy     | Number (8)   | This will be set to the last day of the fiscal year in he required format. |
| LOB                | Line of Business code – ‘GAL’                 | String (3)   | Will be set as ‘GAL’.  |
| Agreement_Code     | Contract number                               | Char (12)    | Policy number  |
| Product_Code       | Product Code                                  | Char (10)    | Product code.  |
| Sequence           | Numeric Count                                 | Number (4)   | Record number, incremented sequentially.                                   |
| Owner_ - Client_Id | Client Number or owner of the contract        | Char (9)     | The unitholder id.   |
| Title              | Null  | Char (12)    | This will be set to null.  |
| Initials           | Null  | Char (15)    | This will be set to null.  |
| Surname            | Null  | Char (64)    | This will be set to null.  |
| IDNumber           | Null  | Char (15)    | This will be set to null.  |
| IDType             | Null  | Char (2)     | This will be set to null.  |
| DOB                | Nulls   | Char (10)    | This will be set to null.  |
| ClientType         | ‘N’ for naturals                              | Char (1)     | This will be set to null.  |
| Tax_Number         | Nulls   | Char (12)    | This will be set to null.  |
| TRUST-NUMBER       | Nulls   | Char (12)    | This will be set to null.  |
| AnnuType           | ‘C’ for compulsory, ‘V’ for voluntary         | Char (1)     | This will be set to ‘C’.   |
| GN18               | ‘Y’ or ‘N’                                    | Char (1)     | This will be set to ‘N’.   |
| Fund-Name          | The pension Fund Name                         | Char (70)    | Fund Name  |
| Frequency          | Frequency of the annuity income               | Char (1)     | The frequency of the annuity.  |
| Payments_left      | No of payments left within the tax year       | Number (2)   | The remaining number of annuities to be executed.                          |
| TaxEarnings_YTD    | The taxable earning to date for the tax year. | Number (8,2) | The total annuity till date, including the tax already applied.            |

| Field Name      | Description   | Data Type    | Remarks                                       |
|-----------------|---|--------------|---|
| TaxDeducted_YTD | Tax deducted for the tax year                           | Number (8,2) | The tax deducted for the current fiscal year. |
| TaxEarnings     | Taxable earnings for this annuity income                | Number (8,2) | Taxable earnings for this annuity income      |
| TaxDeducted     | Tax to be deducted for that annuity income              | Number (8,2) | Tax to be deducted for that annuity income    |
| SystemId        | The system ID as defined by the tax aggregation module. | Char (10)    | This will be set to 'FCIS'.                   |
| Scheme          | Nulls   | Char (30)    | This will be set to null.                     |

The control record for the export of information relating to tax has the following format:

| Field Name      | Description   | Data Type     | Remarks   |
|-----------------|---|---------------|---|
| Record          | 'CONTROL'   | Char (7)      | This field will be set as 'CONTROL'             |
| SystemId        | The system ID as defined by the tax aggregation module.   | Char (10)     | Will be set as 'FCIS'.                          |
| Entries         | Number of entries on the file   | Number (8)    | The number of entries in the file.              |
| TaxEarnings_YTD | The taxable earning to date for the tax year.   | Number (13,2) | The sum of all the TaxEarnings_YTD in the file. |
| TaxDeducted_YTD | The tax deducted for that tax year.   | Number (13,2) | The sum of all the TaxDeducted_YTD in the file. |
| TaxEarnings     | The taxable earnings for this annuity income  | Number (13,2) | The sum of all the TaxEarnings in the file.     |
| TaxDeducted     | The tax to be deducted for that annuity income.   | Number (13,2) | The sum of all the TaxDeducted in the file.     |
| Tax_Recalc      | The tax amount that should be deducted  | Number (13,2) | This will be set to null.                       |
| Tax_Differ      | The difference between what should be deducted and what was calculated by the product admin system. | Number (13,2) | This will be set to null.                       |

|                |                |  |                           |
|----------------|----------------|--|---------------------------|
| Monthly_Adjust | Not applicable |  | This will be set to null. |
|----------------|----------------|--|---------------------------|

The Record Specifications for the import of tax aggregation information into FCIS is as indicated below. The import will only log the data in the designated tables of FCIS. There is no processing carried out on the data imported.

| Field Name       | Description                                   | Data Type | Remarks                     |
|------------------|---|-----------|-----------------------------|
| GCS_CLNO         | The group client id                           | Char (20) | This field will be ignored. |
| TaxYear          | The tax year applicable in dd/mm/ccyy format  | Char (8)  |                             |
| TaxMonth         | Month of the year                             | Char (2)  |                             |
| PARM             | ProductCode                                   | Char (8)  |                             |
| Agreement_Code   | Contract Number                               | Char (12) | The policy number.          |
| Sequence         | Numeric Count                                 | Char (4)  | This field will be ignored. |
| LOB              | Line of Business – 'GAL'                      | Char (3)  | This field will be ignored. |
| Owner_Client_Id  | Client Number or Owner of the contract        | Char (9)  | The unitholder id in FCIS.  |
| Tax_Number       | Nulls   | Char (12) |                             |
| TrustNumber      | Nulls   | Char (16) |                             |
| AnnuType         | 'C' for compulsory, 'V' for voluntary         | Char (1)  |                             |
| GN18             | 'Y' or 'N'                                    | Char (1)  |                             |
| FundName         | Nulls   | Char (30) |                             |
| Frequency        | Frequency of the annuity income               | Char (1)  |                             |
| Payments_Left    | No of payments left within the tax year       | Char (2)  |                             |
| TaxEarnings_YTD  | The taxable earning to date for the tax year. | Char (16) |                             |
| TAXDEDUCT-ED_YTD | The tax deducted for that tax year.           | Char (16) |                             |
| TaxEarnings      | Taxable earnings for this annuity income      | Char (16) |                             |
| TaxDeducted      | Tax to be deducted for that annuity income    | Char (16) |                             |
| Scheme           | Nulls   | Char (10) |                             |

| Field Name       | Description   | Data Type | Remarks |
|------------------|---|-----------|---------|
| Tax_Recalc       | Tax amount that should be deducted  | Char (16) |         |
| Tax_Deffer       | The difference between what should be deducted and what was calculated by the product admin system. | Char (16) |         |
| Monthly_Adjust   | Not applicable  | Char (16) |         |
| SystemId         | The system ID as defined by the tax aggregation module.   | Char (10) |         |
| Tax_Remuneration | The total taxable income across the Old Mutual group.   | Char (16) |         |

The Control Record for the import of information pertaining to tax aggregation should be in the following format:

| Field Name      | Description   | Data Type     | Remarks                     |
|-----------------|---|---------------|-----------------------------|
| Record          | 'CONTROL'   | Char (7)      | This field will be ignored. |
| SystemId        | The system ID as defined by the tax aggregation module.   | Char (10)     | This field will be ignored. |
| Entries         | Number of entries on the file   | Number (8)    | This field will be ignored. |
| TaxEarnings_YTD | The taxable earning to date for the tax year.   | Number (13,2) | This field will be ignored. |
| TaxDeducted_YTD | The tax deducted for that tax year.   | Number (13,2) | This field will be ignored. |
| TaxEarnings     | The taxable earnings for this annuity income  | Number (13,2) | This field will be ignored. |
| TaxDeducted     | The tax to be deducted for that annuity income.   | Number (13,2) | This field will be ignored. |
| Tax_Recalc      | The tax amount that should be deducted  | Number (13,2) | This field will be ignored. |
| Tax_Differ      | The difference between what should be deducted and what was calculated by the product admin system. | Number (13,2) | This field will be ignored. |
| Monthly_Adjust  | Not applicable  |               |                             |

### 3.1.7 Agent Reference File

The files uploaded using the Agent Reference File have to be imported and the broker details updated. They should have the following format:

| Field Name                  | Format  | Comments                            | Remarks/ Mapping    |
|-----------------------------|---------|-------------------------------------|---------------------|
| Intermediary or Broker Code | Char 10 | Intermediary Code and No            | Broker Code         |
| Broker Category             | Char 1  | Corporate/ Individual               | Broker Category     |
| Broker Name                 | Char 50 | Individual name or corporate name   | Broker Name         |
| Broker Type                 | Char    | For example, Platinum, Gold, Silver | Broker Type         |
| Parent Broker               | Char    | Channel – For example, PFA, BD      | Parent Broker Code  |
| Active Flag                 | Char    | Y/N                                 | Broker Enabled      |
| Date Effective              | Date    |                                     | Rule effective date |

#### **Control Record**

The sum of the transaction amount for each day is recorded in the Control Record. The file upload should have the following format:

| Field Name   | Description  | Data Type | OMUT Value                  | Galaxy Value                |
|--------------|--|-----------|-----------------------------|-----------------------------|
| RECORD_ID    | Indicates it's the trailer record                              | Char (50) | All 9s                      | All 9s                      |
| GROUP_ID     | Unique Group Number to distinguish import data within a source | Char (15) | Source file id & run number | Source file id & run number |
| TOTAL_AMT_DR | Total monetary amount of debits on the file                    | Char (15) | Format: 999999999999.99'    | Format: 999999999999.99'    |
| TOTAL_CNT_DR | Total count of debits on the file                              | Char (8)  | Format: 99999999            | Format: 99999999            |
| TOTAL_AMT_CR | Total monetary amount of credits on the file                   | Char (15) | Format: 999999999999.99'    | Format: 999999999999.99'    |
| TOTAL_CNT_CR | Total count of credits on the file                             | Char (8)  | Format: 99999999            | Format: 99999999            |

### 3.1.8 Global Order Placement Interface

Files uploaded using the Global Order Placement should have the following format:

| Field Name   | Calculation  | Disinvestments   | Investments      |
|--|--|------------------|------------------|
| Transaction Date   | N/A  | Transaction Date | Transaction Date |
| Asset Code   | For each Product – Fund combination, an asset code would be maintained | Yes              | Yes              |
| Fund Name  | N/A  | Yes              | Yes              |
| Product Name   | N/A  | Yes              | Yes              |
| Net Investment Amount (Amount with +ve sign)/ Disinvestment Amount(Amount with -ve sign) | Derived  | 0                | Yes              |
| Disinvestment Units  | N/A  | Yes              | 0                |
| Manco Initial Unit Trust Fee   | N/A  | 0                | Yes              |
| Transaction Type Name  | Transaction Descriptions as available in the system                    | Yes              | Yes              |
| Internal Reference Number  | Running serial per batch   | Yes              | Yes              |

### 3.1.9 GL Setup Upload Interface Format

Files uploaded using the GL Setup Upload should have the following format:

| Field Name       | Data Type     | Details   |
|------------------|---------------|---|
| Fund Id          | Character(6)  |   |
| GL Template Id   | Character(10) | The template Id of the GL set up. A dummy value to be provided for this.      |
| Account type     | Character(2)  | The account type of the GL set up.  |
| Business Event   | Character(2)  | One of the valid codes for business events.                                   |
| Trigger Event    | Character(15) | The triggering event. One of the valid enumerations for the triggering event. |
| Theoretical Flag | Character (1) | Y: Theoretical N: Actual  |
| Setup Enabled    | Character (1) | Y: Enabled N: Disabled  |



| Field Name                    | Data Type       | Details  |
|-------------------------------|-----------------|--|
| Component Id                  | Character(20)   | One of the valid codes for business events.  |
| Component Description         | Character (255) | Description of the component code.   |
| Debit credit Flag             | Character(2)    | Dr: Debit Cr: Credit   |
| GL set up for primary         | Character(1)    | C: CASA G:GL S:SAP P:Payment   |
| Primary Entity Type           | Character(1)    | The primary entity type of the GL set up.<br>U: Uniholder D:Distributor M:AMC F:Fund |
| Account Number                | Character(16)   |  |
| AccountCurrency               | Character(3)    |  |
| Transaction Currency          | Character(3)    |  |
| Bank branch                   | Character(12)   |  |
| Direct DebitApplicable        | Character(1)    | 1: Applicable 0: Not applicable  |
| GL setup for Contra           | Character(1)    | C: CASA G:GL S:SAP P:Payment   |
| Contra Primary Entity Type    | Character(1)    | U: Uniholder D:Distributor M:AMC F:Fund  |
| Contra Account Number         | Character(16)   |  |
| Contra Account Currency       | Character(3)    |  |
| Contra Transaction Currency   | Character(3)    |  |
| Contra Bank branch            | Character(12)   |  |
| Contra Direct DebitApplicable | Character(1)    | 1: Applicable 0: Not applicable  |
| Restricted reference types    | Character(255)  | A semicolon separated list of the restricted reference types.                        |
| GL Currency                   | Character(3)    |  |
| GL reference number           | Character(10)   |  |
| Product Id                    | Character(10)   |  |
| Product or Fund Level         | Character(1)    | F: Fund Level P: Product level   |

| Field Name     | Data Type    | Details                   |
|----------------|--------------|---------------------------|
| Mode of upload | Character(1) | N: new mode A: Amend mode |

### 3.1.10 Interface to Check for Transaction Unrelated to GL Extraction

Files uploaded for checking the transaction unrelated to GL Extraction Interface should have the format mentioned below:

| Field Name        | Data Type     | Details  |
|-------------------|---------------|--|
| IFaceRefNo        | Character(25) | The reference number of the entry in the AMInterfacemasterTbl. |
| TransactionType   | Character(2)  | The transaction type of the entry.                             |
| Component         | Character(20) | The component code of the entry.                               |
| TransactionNumber | Character(16) | The transaction number of the missing entry.                   |
| Valuedate         | Date          | The date on which the entry was expected to be passed.         |

### 3.1.11 File Format for OMIPAY FILE INTERFACE

The interface is capable of exporting the details in two separate formats. These are:

- The detailed format includes all the records of the day, for every individual broker.
- The Header record for OMIPAY contains the sum of all the brokers' Commission accounts which have been listed in the Detailed Record.

The system extracts commission details for upfront and trailer (periodic load) commission.

### 3.1.12 File format for Header Record

The File format for the Header Record is as follows:

| Field Name       | Data Type | Details   |
|------------------|-----------|---|
| Record Category  | Number    | It is displayed as 00   |
| Trigger System   | Character | Ensures the entries which require to be entered for calculation of Commission                 |
| Product Provider | Character | The code for which the file is processed to calculate commission. For example Unit Trust 'UT' |
| Cycle Number     | Number    | The number of the cycle for which the export action is being processed                        |
| Interface Date   | Number    | The date of generation of the interface file  |

|   |        |   |
|---|--------|---|
| Number Of Transaction Records (01 Category) | Number | Total Number of transaction records on input file       |
| Commission Total                            | Number | The total value of Premium amount on the Input File     |
| Commission Allowance Total                  | Number | Value of Commission Allowance amounts on the Input File |
| Fund Value Total                            | Number | The total value of Fund Value amounts on the Input File |
| Current Potential Commission                | Number | Applicable to recurring business only                   |
| Future Potential Commission                 | Number | Applicable to recurring business only                   |
| Numeric Field 11                            | Number | Provide preceding zeros as 0000000                      |

### 3.1.13 File format for Detailed Record

The Detail Record File format is the record of all the Broker details, involved in all the transactions for that day, taken individually. The file containing the Detailed records for all the individual Brokers. The file format used for Detailed records is:

| Field Name  | Data Type | Details  |
|---|-----------|--|
| Record Category                                   | Number    | It is displayed as 00  |
| Transaction Sequence Number                       | Number    | Unique identifier of transaction in the source external interface  |
| Product Provider                                  | Character | The code for which the file is processed to calculate commission. For example Unit Trust 'UT'                                    |
| Cycle Number                                      | Number    | Preceded by zeros  |
| Intermediary Code (Sales Code)                    | Number    | The intermediary's sales code as supplied on the policy proposal / application form. It consists of six digits preceded by zeros |
| Intermediary Type (Sales Type)                    | Number    | Code for the intermediary who has initiated the sale of that policy;<br>0 is for the Sales Staff<br>1 is for the Office staff    |
| Intermediary Commission Percentage (Direct Share) | Number    | The percentage of commission as indicated in the policy/ application form  |
| Intermediary Figure Percentage (Indirect Share)   | Numeric   | The percentage of indirect commission as indicated in the policy/ application form   |
| Sales Centre (branch / team structure code)       | Character | An optional field  |

| Field Name                             | Data Type | Details  |
|--|-----------|--|
| Sale Type                              | Character | Not applicable can be left blank   |
| Policy Number (Contract Number)        | Character | It is the Policy or Contract number  |
| Policy Type (Contract Type)            | Character | Only applicable for recurring business types, can be left blank                    |
| Policy Term                            | Number    | It is applicable for recurring business only, default set at 01                    |
| Product Code                           | Character | The selection is available from a drop down list                                   |
| Premium Amount                         | Number    | The amount of the premium for a transaction  |
| Premium Frequency                      | Character | You can select from a list, for the type of premium applicable                     |
| Premium Term                           | Number    | The term for which the premium is applicable                                       |
| Movement Code                          | Number    | It is the number code for the type of transaction                                  |
| Transaction Date                       | Number    | The date on which the transaction takes place                                      |
| Entry Date                             | Number    | The date from which the new business/policy is started                             |
| Effective Date                         | Number    | The date from which the policy becomes effective                                   |
| Client or Entity Number                | Character | It is an optional field  |
| Client Name                            | Character | The name as mentioned in description   |
| Fees                                   | Character | Optional field   |
| Commission Amount 01                   | Number    | The commission amount for 1 year   |
| Commission Amount 02                   | Number    | The commission amount for the 2 <sup>nd</sup> year                                 |
| Life Credit                            | Character | Not mandatory can be left blank  |
| Commission Year Indicator              | Number    | It indicates the year for which the commission is applicable                       |
| Commission Content                     | Number    | It contains the commission amount for the two years                                |
| Commission Scaling Factor              | Number    | The amount agreed between the client and the broker                                |
| Commission Frequency                   | Character | It is optional, left blank   |
| Commission Type                        | Character | This field is left blank   |
| Current Potential Commission (Primary) | Number    | It is applicable for only recurring business, input display shows 0000000000000000 |

| Field Name                              | Data Type | Details  |
|---|-----------|--|
| Future Potential Commission (Secondary) | Number    | It is applicable for only recurring business, input display shows 0000000000000000 |
| VAT                                     | Number    | It is the value added tax, not required as input                                   |
| Broker Reference                        | Character | It is the reference as specified on the application form                           |
| Currency Indicator                      | Character | It indicates the currency type selected  |
| Agents Commission                       | Number    | It is not applicable in this case, displayed as 0000000000000000                   |
| Commission Allowance                    | Number    | It is the waiver amount on the broker's commission                                 |
| New Business Date                       | Number    | It is the transaction date   |
| Total Investment                        | Number    | It is the total amount of investments  |
| Investment Type                         | Character | An optional field can be left blank  |
| Fund Value                              | Number    | It is the sum of the average of the absolute values of the fund of the client      |
| Layer type                              | Character | This field is left blank   |
| Layer Number                            | Number    | This field displays 00   |
| Reject ID                               | Number    | This field is not required for entry, it displays 00000000                         |
| Adjustment Indicator                    | Character | This field is not required for entry and is left blank                             |
| Territory                               | Character | This field is not required for entry   |
| Annual Fund Total                       | Number    | This field is not required for entry, it displays 0000000000000000                 |
| Distribution Fee (Channel)              | Number    | This field is not required for entry, it displays 0000000000000000                 |
| Maximum Commission                      | Number    | This field is not required for entry, it displays 0000000000000000                 |
| Instance Number                         | Character | This field is not required for entry   |
| BEN Type                                | Character | This field is not required for entry   |
| EMM Client Number                       | Character | This field is not required for entry   |
| Sales Instance                          | Number    | This field is not required for entry, it displays 000                              |
| Transaction Instance                    | Number    | This field is not required for entry, it displays 000                              |

## 3.2 Incremental Upload Format

For Incremental mode, the system will modify data present in upload file. If data provided in the file is already present, then the system will override existing data with new value provided in the file. If data is not present in system, then the data provided in the file will be added.

The system will not change/replace data which is not available in file for incremental mode. for instance, in unit holder upload, if only telephone number is given in Incremental mode, the system will just update old phone number with new phone number without changing other fields.

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Incremental upload are given below for reference:

| Upload Type | Use Case               | Description   | Upload mode | Segments to be used in Incremental upload |
|-------------|------------------------|---|-------------|---|
| Entity      | Contact details update | Cell phone number to be made available in upload file   | I           | 00EIM,02ECI                               |
| Entity      | Contact details update | E-mail to be made available in upload file  | I           | 00EIM,02ECI                               |
| Entity      | Bank details Update    | BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNT-CURRENCY,DEFAULTDETAILS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No | I           | 00EIM,01EBD                               |
| Broker      | Contact details update | Cell phone number to be made available in upload file   | I           | 00BRO,01CON                               |
| Broker      | Contact details update | email number to be made available in upload file  | I           | 00BRO,01CON                               |

| Upload Type | Use Case               | Description   | Upload mode | Segments to be used in Incremental upload |
|-------------|------------------------|---|-------------|---|
| Broker      | Bank details Update    | BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNT-CURRENCY,DEFAULTDETAILS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No | I           | 00BRO,02BAN                               |
| Unitholder  | Address update         | Address1,2,3,4,zip code, country code to be made available in upload file   | I           | 00UH,15CON                                |
| Unitholder  | Address update         | Address line to be made available in upload file  | I           | 00UH,15CON                                |
| Unitholder  | Address update         | Address type along with Address1,2, city, country & BIC code to be made available in upload file  | I           | 00UH,15CON                                |
| Unitholder  | Contact details update | Cell phone number to be made available in upload file   | I           | 00UH,15CON                                |
| Unitholder  | Contact details update | email to be made available in upload file   | I           | 00UH,15CON                                |
| Unitholder  | Bank details Update    | Beneficiary details to be made available in upload file   | I           | 00UH,03BNK                                |
| Unitholder  | Bank details Update    | BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNT-CURRENCY,DEFAULTDETAILS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No | I           | 00UH,03BNK                                |

| Upload Type | Use Case                      | Description   | Upload mode | Segments to be used in Incremental upload |
|-------------|-------------------------------|---|-------------|---|
| Unitholder  | Address & bank details update | Alternate Address line 2 & beneficiary info details to be made available in upload file in respective segments  | I           | 00UH,15CON,03BNK                          |
| Transaction | Settlement details Update     | Cheque number & cheque date to be made available in file  | I           | 00CON,01SET                               |
| Transaction | Settlement details Update     | Paid Date and settled to be made available in file  | I           | 00CON,01SET                               |
| Transaction | Settlement details Update     | TRANSFERACCOUNTCURRENCY,TRANSFERACCOUNTNUMBER,TRANSFERACCOUNTTYPE,TRANSFERBRANCHCODE,PAYMENTDATE,PAYMENT AMOUNT to be made available in file              | I           | 00CON,01SET                               |
| Transaction | Settlement details Update     | SWIFTFORMAT,BANK-CHARGED,BENEFICIARY,BENEFICIARYADDRESS,BENEFICIARYBIC-CODE,BENEFICIARYACTNO,BENEFICIARYCODE,BENEFICIARYINFO to be made available in file | I           | 00CON,01SET                               |

### 3.3 Sub Service Upload Format

You can create subservice to support specific amendment requests. Using Amendment maintenance, you can create a subservice to modify only specific fields as per the modifiable fields given in maintenance.



The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in sub service upload are given below for reference:

| <b>Upload Type</b> | <b>Use Case</b>      | <b>Description</b>  | <b>Sub service operation</b> |
|--------------------|----------------------|---|------------------------------|
| Entity             | Bank details Update  | BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNTCURRENCY,DEFAULTDEBITS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No | ENTITY_ - BANKDTLS           |
| Broker             | Bank details Update  | BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNTCURRENCY,DEFAULTDEBITS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No | BROKER_ - BANKDTLS           |
| Unitholder         | Address update       | Address1,2,3,4,zip code, country code to be made available in upload file   | UH_CONDTLS                   |
| Unitholder         | Bank details Update  | BANKCODE,BRANCH-CODE,ACCOUNT-TYPE,ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNTCURRENCY,DEFAULTDEBITS,DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/branch/account type/account currency combination will be set as No | UH_BNK-DTLS                  |
| Unitholder         | Beneficiary details  | Email to be made available in upload file   | UH_BENDTLS                   |
| Unitholder         | Intermediary details | complete intermediary segment details to be made available in upload file   | UH_IN-MDTLS                  |

| <b>Upload Type</b> | <b>Use Case</b>           | <b>Description</b>   | <b>Sub service operation</b> |
|--------------------|---------------------------|--|------------------------------|
| Transaction        | Settlement details Update | First segment is mandatory. Complete details to be provided for settlement segment | TXN_SET-DTLS                 |
| Transaction        | Intermediary update       | complete intermediary segment details to be made available in upload file          | TXN_INT-DTLS                 |
| Transaction        | Intermediary update       | complete intermediary segment details to be made available in upload file          | TXN_INT-DTLS                 |
| Unitholder         | Intermediary details      | complete intermediary segment details to be made available in upload file          | UH_IN-MDTLS                  |

### **3.4 Entity Upload Format**

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in entity upload are given below for reference:

**Segment ID - 00EIM: This segment will be mandatory for incremental uploadSegment**

| Column Name         | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks                            |
|---------------------|---|---------------------------------------|------------------------------------|
| SEGMENTID           | Yes   |                                       | 00EIM                              |
| PROCESSID           | Yes   |                                       | Unique                             |
| SEQUENCENUMBER      | Yes   |                                       | Unique                             |
| BULKCLIENTCODE      | Yes   |                                       | Unique                             |
| IMPORTFILENAME      | Yes   |                                       | Unique                             |
| ENTITYID            | Yes   |                                       | Existing Entity ID                 |
| PARENTENTITY-FLAG   | No  |                                       |                                    |
| ENTITYNAME          | No  |                                       |                                    |
| ENTITYTYPE          | Yes   |                                       | Entity type belonging to Entity ID |
| PARENTENTITY        | No  |                                       |                                    |
| EFFECTIVEDATE       | No  |                                       |                                    |
| RECORDLINK          | No  |                                       |                                    |
| NEWENTITYNAME       | No  |                                       |                                    |
| NEWENTITYID         | No  |                                       |                                    |
| ENTITYBASECURRENCY  | No  |                                       |                                    |
| AUTH_STAT           | Yes   |                                       |                                    |
| UNIQUERECORDNUMBER  | No  |                                       |                                    |
| MARKEDFORREIMP      | No  |                                       |                                    |
| ACCOUNTTYPES        | No  |                                       |                                    |
| ENTITYCATEGORY-TYPE | No  |                                       |                                    |
| ENTITYCATEGORY      | No  |                                       |                                    |
| AMCID               | No  |                                       |                                    |
| SWITCHINGLOGIC      | No  |                                       |                                    |
| UHGENERATION-LOGIC  | No  |                                       |                                    |

| <b>Column Name</b>   | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b> |
|----------------------|--|--|----------------|
| NATUREOFBUSINESS     | No   |  |                |
| SECTION              | No   |  |                |
| ENTITYSUBTYPE        | No   |  |                |
| CROSSBRANCHING       | No   |  |                |
| FUNDSPOWERINSTALLED  | No   |  |                |
| CUSTOMERINTERFACEKEY | No   |  |                |
| ONLINEINTERFACE      | No   |  |                |
| WEEKENDBITS          | No   |  |                |
| AUTHORIZEDSIGNATORY  | No   |  |                |
| LINKENTITYID         | No   |  |                |
| LINKENTITYTYPE       | No   |  |                |
| ALTLANGENTITYNAME    | No   |  |                |
| ALTLANGCONTACTPERSON | No   |  |                |
| ALTLANGADDRESS1      | No   |  |                |
| ALTLANGADDRESS2      | No   |  |                |
| ALTLANGCITY          | No   |  |                |
| ALTLANGSTATE         | No   |  |                |
| FINWAREPOSTING       | No   |  |                |
| CHANNELENTITY        | No   |  |                |
| MICRCODE             | No   |  |                |
| COUNTRYOFBIRTH       | No   |  |                |
| COUNTRYOFDOMICILE    | No   |  |                |
| EIN                  | No   |  |                |

| Column Name                | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks  |
|----------------------------|---|---------------------------------------|--|
| FATCACLASSIFICATION        | No  |                                       |  |
| FATCASTATUS                | No  |                                       |  |
| INCORPORATION-COUNTRY      | No  |                                       |  |
| USERID                     | Yes   |                                       | Represents the user ID who has entered the latest record |
| MOD_NO                     | No  |                                       |  |
| NATIONALITY                | No  |                                       |  |
| TAXCATEGORY                | No  |                                       |  |
| TAXCERTIFICATE-TREEFFEDATE | No  |                                       |  |
| TAXCERTIFICATE-EXPDATE     | No  |                                       |  |
| TAXCIRCLE                  | No  |                                       |  |
| TAXID                      | No  |                                       |  |
| UPLOADMODE                 | Yes   |                                       | I  |
| USINDICIAAVAILABLE         | No  |                                       |  |
| GIIN                       | No  |                                       |  |
| FATCACLASSIFICATION        | No  |                                       |  |
| ISAREFERENCENO             | No  |                                       |  |
| CURRENCY                   | No  |                                       |  |
| MINISATRANSFERIN           | No  |                                       |  |
| MINISATRANSFER-OUT         | No  |                                       |  |
| FUNDSTRUCTAPPLICABLE       | No  |                                       |  |
| AUTOSETTLE-SWITCH          | No  |                                       |  |
| SWIFTMSGLIST               | No  |                                       |  |

| <b>Column Name</b>   | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b> |
|----------------------|--|--|----------------|
| ELECTRONICDEAL-FLAG  | No   |  |                |
| DEFAULTAGENCY-BRANCH | No   |  |                |
| BENEFICIAR-YACCTNO   | No   |  |                |
| EMAILID              | No   |  |                |
| PHONENUMBER          | No   |  |                |

**ID - 01EBD**

| <b>Column Name</b>    | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b>   |
|-----------------------|--|--|--|
| SEGMENTID             | Yes  |  | 01EBD  |
| PROCESSID             | Yes  |  | Unique   |
| SEQUENCENUMBER        | Yes  |  | Unique   |
| BULKCLIENTCODE        | No   |  |  |
| ENTITYID              | No   |  |  |
| ENTITYTYPE            | No   |  |  |
| BANKCODE              | Yes  |  | If bank/branch/ account type/ account number/ account currency combination provided in file exists in system- other details will be updated.If does not exist, record will be inserted in system |
| BRANCHCODE            | Yes  |  |  |
| ACCOUNTTYPE           | Yes  |  |  |
| ACCOUNTNUMBER         | Yes  |  |  |
| ACCOUNTNAME           | Yes  |  |  |
| ACCOUNTCURRENCY       | Yes  |  |  |
| DEFAULTDETAILS        | Yes  | Yes  |  |
| DIRECTDEBITAPPLICABLE | No   | Yes  |  |
| SWIFTFORMAT           | No   | Yes  |  |
| BANKCHARGED           | No   | Yes  |  |
| BENEFICIARY           | No   | Yes  |  |
| BENEFICIARYADD1       | No   | Yes  |  |
| BENEFICIARYADD2       | No   | Yes  |  |
| BENEFICIARYADD3       | No   | Yes  |  |
| BENEFICIARYBICCODE    | No   | Yes  |  |

| <b>Column Name</b>   | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b> |
|----------------------|--|--|----------------|
| BENEFICIAR-YACCTNO   | No   | Yes  |                |
| BENEFICIARYCODE      | No   | Yes  |                |
| BENEFICIARYINFO      | No   | Yes  |                |
| INTERMEDIARY         | No   | Yes  |                |
| INTERMEDIARYADD1     | No   | Yes  |                |
| INTERMEDIARYADD2     | No   | Yes  |                |
| INTERMEDIARYADD3     | No   | Yes  |                |
| INTERMEDIARYBIC-CODE | No   | Yes  |                |
| INTERMEDIAR-YACCTNO  | No   | Yes  |                |
| INTERMEDIARY-CODE    | No   | Yes  |                |
| INTERMEDIARYINFO     | No   | Yes  |                |
| FURTHERCREDIT-NAME   | No   | Yes  |                |
| FURTHERCREDITACCTNO  | No   | Yes  |                |
| REFERENCE1           | No   | Yes  |                |
| REFERENCE2           | No   | Yes  |                |
| IBAN                 | No   | Yes  |                |
| BENEFICIARYIBAN      | No   | Yes  |                |
| INTERMEDIARYIBAN     | No   | Yes  |                |



**Segment ID - 02ECI**

| <b>Column Name</b> | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b>   |
|--------------------|--|--|--|
| SEGMENTID          | Yes  |  | 02ECI  |
| PROCESSID          | Yes  |  | Unique   |
| SEQUENCENUMBER     | Yes  |  | Unique   |
| BULKCLIENTCODE     | No   |  |  |
| ENTITYID           | No   |  |  |
| ENTITYTYPE         | No   |  |  |
| ADDRESSTYPE        | Yes  |  | If address type present in file is available in system, system will be updated with remaining details present in file.If Address type present in file is not available in system, new address type will be added with details as available in file |
| ADDRESSLINE1       | No   | Yes  |  |
| ADDRESSLINE2       | No   | Yes  |  |
| ADDRESSLINE3       | No   | Yes  |  |
| ADDRESSLINE4       | No   | Yes  |  |
| ZIPCODE            | No   | Yes  |  |
| COUNTRY            | No   | Yes  |  |
| USSTATE            | No   | Yes  |  |
| TELEPHONE1         | No   | Yes  |  |
| TELEPHONE2         | No   | Yes  |  |
| CELLPHONENUMBER    | No   | Yes  |  |
| FAX                | No   | Yes  |  |
| EMAIL              | No   | Yes  |  |
| CONTACTPERSON      | No   | Yes  |  |
| EFFECTIVEFROMDATE  | No   | Yes  |  |

| Column Name          | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|----------------------|---|---------------------------------------|---------|
| EFFECTIVETODATE      | No  | Yes                                   |         |
| BICCODE              | No  | Yes                                   |         |
| INTDIALLINGCODEPH1   | No  | Yes                                   |         |
| INTDIALLINGCODEPH2   | No  | Yes                                   |         |
| INTDIALLINGCODE-CELL | No  | Yes                                   |         |
| INTDIALLINGCODEFAX   | No  | Yes                                   |         |

#### Segment ID - 05ADD

| Column Name    | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|----------------|---|---------------------------------------|---------|
| SEGMENTID      | Yes   |                                       | 05ADD   |
| SEQUENCENUMBER | Yes   |                                       | Unique  |
| PROCESSID      | Yes   |                                       | Unique  |
| ADDINFOLABEL   | Yes   |                                       |         |
| FIELDVALUE     | Yes   | Yes                                   |         |

### 3.5 Broker Upload Format

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Broker upload are given below for reference:

**Segment ID - 00BRO: This segment will be mandatory for incremental upload**

| Column Name             | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks  |
|-------------------------|---|---------------------------------------|--|
| SEGMENTID               | Y   |                                       | 00BRO  |
| SEQUENCENUMBER          | Y   |                                       | Unique   |
| PROCESSID               | Y   |                                       | Unique   |
| BULKCLIENTCODE          | Y   |                                       | Unique   |
| IMPORTFILENAME          | Y   |                                       | Unique   |
| UPLOADMODE              | Y   |                                       | I  |
| BROKERCODE              | Y   |                                       | Valid broker code  |
| BROKERNAME              | N   |                                       |  |
| PARENTBROKERCODE        | N   |                                       |  |
| BROKERBASECCY           | N   |                                       |  |
| AMCID                   | N   |                                       |  |
| AUTH_STAT               | Y   |                                       | U/A  |
| DELETEDSTATUS           | N   |                                       |  |
| OVERRIDDEXTAXPERCENTAGE | N   |                                       |  |
| USERID                  | Y   |                                       | Represents the user ID who has entered the latest record |
| LEVELNUMBER             | N   |                                       |  |
| RULEEFFECTIVEDATE       | Y   |                                       |  |
| CHANGETYPE              | N   |                                       |  |
| VATREGISTERED           | N   |                                       |  |
| VATREGISTRATION-NUMBER  | N   |                                       |  |
| COMMISSIONPERCENTAGE    | N   |                                       |  |
| COMMISSIONPAYMENT-FREQ  | N   |                                       |  |

| Column Name               | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|---------------------------|---|---------------------------------------|---------|
| UNITHOLDERID              | N   |                                       |         |
| LASTPAYMENTDATE           | N   |                                       |         |
| LASTPAYMENTNUMBER         | N   |                                       |         |
| LASTPROCESSEDDATE         | N   |                                       |         |
| NEXTPAYMENTDATE           | N   |                                       |         |
| BROKERTYPE                | N   |                                       |         |
| USEBROKERTYPEPAYMENTDATES | N   |                                       |         |
| BROKERCONSULTANT          | N   |                                       |         |
| BROKERCATEGORY            | N   |                                       |         |
| HOLDBACKPAYMENT           | N   |                                       |         |
| MOD_NO                    | N   |                                       |         |
| HOLDPYMTFROMDATE          | N   |                                       |         |
| LATESTRULE                | N   |                                       |         |
| BROKERSTATUS              | N   |                                       |         |
| RECORD_STAT               | N   |                                       |         |
| ONCE_AUTH                 | N   |                                       |         |
| REPLICATEPARENTDET        | N   |                                       |         |
| VATREGISTRATION-DATE      | N   |                                       |         |
| TEMPLATEID                | N   |                                       |         |
| USINDICIAAVAILABLE        | N   |                                       |         |
| FATCASTATUS               | N   |                                       |         |
| NATIONALITY               | N   |                                       |         |
| COUNTRYOFBIRTH            | N   |                                       |         |

| <b>Column Name</b>      | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b> |
|-------------------------|--|--|----------------|
| COUNTRYOFDOMICILE       | N  |  |                |
| INCORPORATIONCOUNTRY    | N  |  |                |
| TAXID                   | N  |  |                |
| EIN                     | N  |  |                |
| TAXCERTIFICATEEFFE-DATE | N  |  |                |
| TAXCERTIFICATEEXP-DATE  | N  |  |                |
| TAXCIRCLE               | N  |  |                |
| FATCACCLASSIFICATION    | N  |  |                |
| GIIN                    | N  |  |                |
| VATEXPIRYDATE           | N  |  |                |

**Segment ID -01CON**

| <b>Column Name</b> | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b>   |
|--------------------|--|--|--|
| SEGMENTID          | Y  |  | 01CON  |
| SEQUENCENUMBER     | Y  |  | Unique   |
| PROCESSID          | Y  |  | Unique   |
| ENTITYID           | N  |  |  |
| ENTITYTYPE         | N  |  |  |
| ADDRESSTYPE        | Y  |  | If address type present in file is available in system, system will be updated with remaining details present in file.If Address type present in file is not available in system, new address type will be added with details as available in file |
| ADDRESSLINE1       | N  | Y  |  |
| ADDRESSLINE2       | N  | Y  |  |
| ADDRESSLINE3       | N  | Y  |  |
| ADDRESSLINE4       | N  | Y  |  |
| ZIPCODE            | N  | Y  |  |
| COUNTRY            | N  | Y  |  |
| USSTATE            | N  | Y  |  |
| TELEPHONE1         | N  | Y  |  |
| TELEPHONE2         | N  | Y  |  |
| CELLPHONENUMBER    | N  | Y  |  |
| FAX                | N  | Y  |  |
| EMAIL              | N  | Y  |  |
| CONTACTPERSON      | N  | Y  |  |
| EFFECTIVEFROMDATE  | N  | Y  |  |
| EFFECTIVETODATE    | N  | Y  |  |

| <b>Column Name</b>  | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b> |
|---------------------|--|--|----------------|
| BICCODE             | N  | Y  |                |
| RULEEFFECTIVEDATE   | Y  | Y  |                |
| INTDIALLINGCODEFAX  | N  | Y  |                |
| INTDIALLINGCODEPH2  | N  | Y  |                |
| INTDIALLINGCODEPH1  | N  | Y  |                |
| INTDIALLINGCODECELL | N  | Y  |                |

**Segment ID -02BAN**

| <b>Column Name</b>    | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b>  |
|-----------------------|--|--|---|
| SEGMENTID             | Y  |  | 02BAN   |
| SEQUENCENUMBER        | Y  |  | Unique  |
| PROCESSID             | Y  |  | Unique  |
| BROKERCODE            | N  |  |   |
| RULEEFFECTIVEDATE     | Y  |  |   |
| REFERENCENUMBER       | N  |  |   |
| BANKCODE              | Y  |  | If bank/branch/ account type/ account number/ account ccy combination provided in file exists in system- other details will be updated.If does not exist, record will be inserted in system |
| BRANCHCODE            | Y  |  |   |
| ACCOUNTTYPE           | Y  |  |   |
| ACCOUNTNUMBER         | Y  |  |   |
| ACCOUNTNAME           | Y  |  |   |
| ACCOUNTCURRENCY       | Y  |  |   |
| DEFAULTDETAILS        | Y  | Y  |   |
| DIRECTDEBITAPPLICABLE | N  | Y  |   |
| SWIFTFORMAT           | N  | Y  |   |
| BANKCHARGED           | N  | Y  |   |



| <b>Column Name</b>   | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b> |
|----------------------|--|--|----------------|
| BENEFICIARY          | N  | Y  |                |
| BENEFICIARYADD1      | N  | Y  |                |
| BENEFICIARYADD2      | N  | Y  |                |
| BENEFICIARYADD3      | N  | Y  |                |
| BENEFICIARYBICCODE   | N  | Y  |                |
| BENEFICIARYACCTNO    | N  | Y  |                |
| BENEFICIARYCODE      | N  | Y  |                |
| BENEFICIARYINFO      | N  | Y  |                |
| INTERMEDIARY         | N  | Y  |                |
| INTERMEDIARYADD1     | N  | Y  |                |
| INTERMEDIARYADD2     | N  | Y  |                |
| INTERMEDIARYADD3     | N  | Y  |                |
| INTERMEDIARYBIC-CODE | N  | Y  |                |
| INTERMEDIARYACCTNO   | N  | Y  |                |
| INTERMEDIARYCODE     | N  | Y  |                |
| INTERMEDIARYINFO     | N  | Y  |                |
| FURTHERCREDITNAME    | N  | Y  |                |
| FURTHERCREDITACCTNO  | N  | Y  |                |
| REFERENCE1           | N  | Y  |                |
| REFERENCE2           | N  | Y  |                |
| IBAN                 | N  | Y  |                |
| BENEFICIARYIBAN      | N  | Y  |                |

| Column Name      | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|------------------|---|---------------------------------------|---------|
| INTERMEDIARYIBAN | N   | Y                                     |         |

**Segment ID - 05ADD**

| Column Name    | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|----------------|---|---------------------------------------|---------|
| SEGMENTID      | Y   |                                       | 05ADD   |
| SEQUENCENUMBER | Y   |                                       | Unique  |
| PROCESSID      | Y   |                                       | Unique  |
| OTHERINFOFIELD | Y   |                                       |         |
| FIELDVALUE     | N   | Y                                     |         |

### **3.6 Unit Holder Upload Format**

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Unit Holder upload are given below for reference:

**Segment ID - 00UH: This segment will be mandatory for incremental upload**

| Column Name          | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|----------------------|---|---------------------------------------|---------|
| SEGMENTID            | YES   |                                       | 00UH    |
| SEQUENCENUMBER       | YES   |                                       | Unique  |
| PROCESSID            | YES   |                                       | Unique  |
| BULKCLIENTCODE       | YES   |                                       | Unique  |
| IMPORTFILENAME       | YES   |                                       | Unique  |
| AMCID                | YES   |                                       |         |
| UPLOADMODE           | NO  |                                       | I       |
| CIFNUMBER            | NO  |                                       |         |
| UNITHOLDERID         | Yes   |                                       |         |
| REFERENCENUMBER      | NO  |                                       |         |
| ACCOUNTOPENINGDATE   | NO  |                                       |         |
| TITLE                | NO  |                                       |         |
| FIRSTNAME            | NO  |                                       |         |
| MIDDLENAME           | NO  |                                       |         |
| LASTNAME             | NO  |                                       |         |
| ACCOUNTOPERATIONTYPE | NO  |                                       |         |
| FIRSTNOMINEE         | NO  |                                       |         |
| SECONDNOMINEE        | NO  |                                       |         |
| THIRDNOMINEE         | NO  |                                       |         |
| FATHER_SPOUSENAME    | NO  |                                       |         |
| INVESTORTYPE         | NO  |                                       |         |
| TAXDEDUCTEDATSOURCE  | NO  |                                       |         |
| TAXID                | NO  |                                       |         |
| TAXCIRCLE            | NO  |                                       |         |
| IDENTIFICATIONTYPE   | NO  |                                       |         |

| Column Name               | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|---------------------------|---|---------------------------------------|---------|
| IDENTIFICATION-NUMBER     | NO  |                                       |         |
| IDENTIFICATIONIS-SUEDATE  | NO  |                                       |         |
| IDENTIFICATION-EXPIRYDATE | NO  |                                       |         |
| RESIDENTSTATUS            | NO  |                                       |         |
| UHCATEGORY                | NO  |                                       |         |
| ACCOUNTSTMT-FREQ          | NO  |                                       |         |
| YTDSTMTREQD               | NO  |                                       |         |
| DATEOFBIRTH               | NO  |                                       |         |
| GUARDIANNAME              | NO  |                                       |         |
| RELATIONSHIP              | NO  |                                       |         |
| BENEFICIARY               | NO  |                                       |         |
| SEX                       | NO  |                                       |         |
| MARITALSTATUS             | NO  |                                       |         |
| OCCUPATION                | NO  |                                       |         |
| NATIONALITY               | NO  |                                       |         |
| COUNTRYOFDOMICILE         | NO  |                                       |         |
| CORPORATION-TYPE          | NO  |                                       |         |
| FIRSTAUTHORIZEDSIGNATORY  | NO  |                                       |         |
| SECONDAUTHORIZEDSIGNATORY | NO  |                                       |         |
| THIRDAUTHORIZEDSIGNATORY  | NO  |                                       |         |
| CONTACTNAME               | NO  |                                       |         |
| MINNOFCORPORATESIGNATORY  | NO  |                                       |         |
| SCHEMECROSS-REFNO         | NO  |                                       |         |

| Column Name            | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|------------------------|---|---------------------------------------|---------|
| UHBASECUR-RENCY        | NO  |                                       |         |
| LANGUAGE               | NO  |                                       |         |
| REDEMPTIONMOP          | NO  |                                       |         |
| ACCOUNTSTMT-CURRENCY   | NO  |                                       |         |
| EMAILID                | NO  |                                       |         |
| FIRSTJOIN-TUHNAME      | NO  |                                       |         |
| SECONDJOIN-TUHNAME     | NO  |                                       |         |
| THIRDJOIN-TUHNAME      | NO  |                                       |         |
| ALTADDRESS-FROMDATE    | NO  |                                       |         |
| ALTADDRESSTO-DATE      | NO  |                                       |         |
| TELEPHONERED           | NO  |                                       |         |
| TELEPHONESWI           | NO  |                                       |         |
| WRITECHECK-FORMMF      | NO  |                                       |         |
| FEDWIREACHPAY-MENT     | NO  |                                       |         |
| IRSNOTIFICATION        | NO  |                                       |         |
| ROAINVESTOR            | NO  |                                       |         |
| ALLOWROAATCI-FLEVEL    | NO  |                                       |         |
| UHACCOUNTTYPE          | NO  |                                       |         |
| RPOCODE                | NO  |                                       |         |
| REGISTRATION-TYPE      | NO  |                                       |         |
| REGISTRATION-NAME      | NO  |                                       |         |
| ACCOUNTOPEN-INGPURPOSE | NO  |                                       |         |

| Column Name               | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks  |
|---------------------------|---|---------------------------------------|--|
| REMARKS                   | NO  |                                       |  |
| PREFERRED-MAILMODE        | NO  |                                       |  |
| NOTEPADAVAILA-BLE         | NO  |                                       |  |
| FEECATEGORY               | NO  |                                       |  |
| FEECATEGORY               | NO  |                                       |  |
| AGENTCODE                 | NO  |                                       |  |
| BRANCHCODE                | NO  |                                       |  |
| USERID                    | Yes   |                                       | Represents the user ID who has entered the latest record |
| AUTH_STAT                 | Yes   |                                       |  |
| STOPACCOUNTREASON         | NO  |                                       |  |
| RELEASEDATE               | NO  |                                       |  |
| ALLSIGNATORIES-REQDTOSIGN | NO  |                                       |  |
| TAXEXEMPTED               | NO  |                                       |  |
| INDEXATIONON-CGT          | NO  |                                       |  |
| CERTDELIVERY-PREF         | NO  |                                       |  |
| TEMPLATEID                | NO  |                                       |  |
| EFFECTIVEDATE             | NO  |                                       |  |
| CELLPHONENUMBER           | NO  |                                       |  |
| PRIMARYCOUNTRYCODE        | NO  |                                       |  |
| ALTCELLPHONE-NUMBER       | NO  |                                       |  |
| ALTHOMEPHONE-NUMBER       | NO  |                                       |  |

| Column Name                | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|----------------------------|---|---------------------------------------|---------|
| ALTOFFICEPHONE-NUMBER      | NO  |                                       |         |
| ALTEMAILID                 | NO  |                                       |         |
| SECONDARY-COUNTRYCODE      | NO  |                                       |         |
| PLACEOFISSUE               | NO  |                                       |         |
| ALTCONTACTNAME             | NO  |                                       |         |
| ALTFAXNUMBER               | NO  |                                       |         |
| PREFERREDFUNDFORREDEMPTION | NO  |                                       |         |
| GUARDIANPAN-GIRNO          | NO  |                                       |         |
| GUARDIAN-FORM6061          | NO  |                                       |         |
| PRIMARYUH-FORM6061         | NO  |                                       |         |
| UNITHOLDERNRI              | NO  |                                       |         |
| EUSDOPTION                 | NO  |                                       |         |
| TAXCERTIFICATE-EXPDATE     | NO  |                                       |         |
| PLACEOFBIRTH               | NO  |                                       |         |
| COUNTRYOFBIRTH             | NO  |                                       |         |
| FATFORNONFATF              | NO  |                                       |         |
| CHASINGDATE                | NO  |                                       |         |
| ADDNCOMMENTS               | NO  |                                       |         |
| ALLKYCRECEIVED             | NO  |                                       |         |
| RETAINTRADEACK             | NO  |                                       |         |
| RETAINCONTRACT-NOTES       | NO  |                                       |         |
| NOTREPORTKY-CREQ           | NO  |                                       |         |
| UHBICCODE                  | NO  |                                       |         |
| ALTLANGFIRST-NAME          | NO  |                                       |         |

| Column Name             | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|-------------------------|---|---------------------------------------|---------|
| ALTLANGMIDDLE-NAME      | NO  |                                       |         |
| ALTLANGLAST-NAME        | NO  |                                       |         |
| ALTLANGFIR-STAUTHSIGN   | NO  |                                       |         |
| ALTLANGSEC-ONDAUTHSIGN  | NO  |                                       |         |
| ALTLANGTH-IRDAUTHSIGN   | NO  |                                       |         |
| ALTLANGCON-TACTPERSON   | NO  |                                       |         |
| ALTLANGCORAD-DRESSLINE1 | NO  |                                       |         |
| ALTLANGCORAD-DRESSLINE2 | NO  |                                       |         |
| ALTLANGCORAD-DRESSLINE3 | NO  |                                       |         |
| ALTLANGCORAD-DRESSLINE4 | NO  |                                       |         |
| ALTLANGALTAD-DRESSLINE1 | NO  |                                       |         |
| ALTLANGALTAD-DRESSLINE2 | NO  |                                       |         |
| ALTLANGALTAD-DRESSLINE3 | NO  |                                       |         |
| ALTLANGALTAD-DRESSLINE4 | NO  |                                       |         |
| DATEOFCONTRI-BUTION     | NO  |                                       |         |
| TRANSFERDATE            | NO  |                                       |         |
| RETIREMENTAGE           | NO  |                                       |         |
| RETIREMENTDATE          | NO  |                                       |         |
| DATEOFDEATH             | NO  |                                       |         |
| DEATHCAUSE              | NO  |                                       |         |
| AREACODE                | NO  |                                       |         |



| Column Name              | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|--------------------------|---|---------------------------------------|---------|
| DISPATCHDATE             | NO  |                                       |         |
| RETURNEDDATE             | NO  |                                       |         |
| PRIMARYUHMFIN            | NO  |                                       |         |
| GUARDIANMFIN             | NO  |                                       |         |
| RECOVERCGT               | NO  |                                       |         |
| PROCESSID1               | NO  |                                       |         |
| INITIALS                 | NO  |                                       |         |
| KYCPURCHASE-LIMIT        | NO  |                                       |         |
| KYCANNUALDEBITORDERLIMIT | NO  |                                       |         |
| CAMPAIGNCODE             | NO  |                                       |         |
| REDEMPTIONPAY-OUT        | NO  |                                       |         |
| DIVIDENDPAYOUT           | NO  |                                       |         |
| DUALNATIONALITY          | NO  |                                       |         |
| SUBSTANTIAL-STAYINUS     | NO  |                                       |         |
| TAXCERTIFICA-TEEFFEDATE  | NO  |                                       |         |
| SECONDIDENTITY-TYPE      | NO  |                                       |         |
| SECONDIDENTITY-NUMBER    | NO  |                                       |         |
| SECONDISSUE-DATE         | NO  |                                       |         |
| SECONDEXPIRY-DATE        | NO  |                                       |         |
| SECONDPLA-CEOFISSUE      | NO  |                                       |         |
| TRAILCOMMPREF            | NO  |                                       |         |
| DUEDILI-GENCELEVEL       | NO  |                                       |         |
| REVIEWEDBY               | NO  |                                       |         |

| Column Name        | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks                        |
|--------------------|---|---------------------------------------|--------------------------------|
| LASTREVIEWED-DATE  | NO  |                                       |                                |
| CHASERID           | NO  |                                       |                                |
| CHASINGMODE        | NO  |                                       |                                |
| NAMESCHECKOP-TION  | NO  |                                       |                                |
| NAMESCHECK-REMARKS | NO  |                                       |                                |
| ACCOUNTSTATE-MENT  | NO  |                                       |                                |
| CONTRACTNOTES      | NO  |                                       |                                |
| INVESTORRISK-LEVEL | NO  |                                       |                                |
| UHSEGMENTID        | NO  |                                       | For GTA segmentID is mandatory |

**Segment ID - 03BNK**

| <b>Column Name</b>    | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b>   |
|-----------------------|--|--|--|
| SEGMENTID             | YES  |  | 03BNK  |
| PROCESSID             | YES  |  | Unique   |
| SEQUENCENUMBER        | YES  |  | Unique   |
| BANKCODE              | YES  |  | If bank/branch/account type/account number/account ccy combination provided in file exists in system- other details will be updated.If does not exist, record will be inserted in system |
| BRANCHCODE            | YES  |  |  |
| ACCOUNTTYPE           | YES  |  |  |
| ACCOUNTNUMBER         | YES  |  |  |
| ACCOUNTNAME           | YES  |  |  |
| ACCOUNTCURRENCY       | YES  |  |  |
| DEFAULTDETAILS        | YES  | Y  | If this is marked as Yes- the old bank/branch/account number/account ccy combination will be marked as Default No.   |
| DIRECTDEBITAPPLICABLE | No   | Y  |  |
| ACCOUNTCATEGORY       | No   | Y  |  |
| INITIALS              | No   | Y  |  |
| LASTNAME              | No   | Y  |  |
| IDENTIFICATIONTYPE    | No   | Y  |  |

| Column Name           | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|-----------------------|---|---------------------------------------|---------|
| IDENTIFICATION-NUMBER | No  | Y                                     |         |
| STATUS                | No  | Y                                     |         |
| IBAN                  | No  | Y                                     |         |
| REFERENCENUMBER       | No  | Y                                     |         |
| FUNDID                | No  | Y                                     |         |
| TRANSACTION-TYPE      | No  | Y                                     |         |
| SWIFFTFORMAT          | No  | Y                                     |         |
| BANKCHARGED           | No  | Y                                     |         |
| BENEFICIARY           | No  | Y                                     |         |
| BENEFICIARYADD1       | No  | Y                                     |         |
| BENEFICIARYADD2       | No  | Y                                     |         |
| BENEFICIARYADD3       | No  | Y                                     |         |
| BENEFICIARYBIC-CODE   | No  | Y                                     |         |
| BENEFICIARY-YACCTNO   | No  | Y                                     |         |
| BENEFICIARY-CODE      | No  | Y                                     |         |
| BENEFICIARYINFO       | No  | Y                                     |         |
| INTERMEDIARY          | No  | Y                                     |         |
| INTERMEDIARY-ADD1     | No  | Y                                     |         |
| INTERMEDIARY-ADD2     | No  | Y                                     |         |
| INTERMEDIARY-ADD3     | No  | Y                                     |         |
| INTERMEDIARY-BICCODE  | No  | Y                                     |         |
| INTERMEDIARY-YACCTNO  | No  | Y                                     |         |
| INTERMEDIARY-CODE     | No  | Y                                     |         |

| <b>Column Name</b>  | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b> |
|---------------------|--|--|----------------|
| INTERMEDIARY-INFO   | No   | Y  |                |
| FURTHERCREDIT-NAME  | No   | Y  |                |
| FURTHERCREDITACCTNO | No   | Y  |                |
| REFERENCE1          | No   | Y  |                |
| REFERENCE2          | No   | Y  |                |
| RELATIONSHIP        | No   | Y  |                |
| BENEFICIARYIBAN     | No   | Y  |                |
| INTERMEDIARY-IBAN   | No   | Y  |                |

**Segment ID - 04INM**

| Column Name         | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks  |
|---------------------|---|---------------------------------------|--|
| SEGMENTID           | Y   |                                       | 04INM  |
| PROCESSID           | Y   |                                       | Unique   |
| SEQUENCENUMBER      | Y   |                                       | Unique   |
| ENTITYTYPE          | Y   |                                       | Existing intermediary in system will be replaced by one provided in file |
| ENTITYID            | Y   | Y                                     |  |
| SPLITPERCENT        | N   | Y                                     |  |
| DEFAULTINTERMEDIARY | N   | Y                                     |  |

**Segment ID - 02BEN**

| Column Name       | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|-------------------|---|---------------------------------------|---------|
| SEGMENTID         | Y   |                                       | 02BEN   |
| SEQUENCENUMBER    | Y   |                                       | Unique  |
| PROCESSID         | Y   |                                       | Unique  |
| EXISTINGUHCIF     | Y   |                                       |         |
| ADDRESSLINE1      | N   | Y                                     |         |
| ADDRESSLINE2      | N   | Y                                     |         |
| ADDRESSLINE3      | N   | Y                                     |         |
| ADDRESSLINE4      | N   | Y                                     |         |
| ZIPCODE           | N   | Y                                     |         |
| COUNTRY           | N   | Y                                     |         |
| EMAIL             | N   | Y                                     |         |
| CONTACTPERSON     | N   | Y                                     |         |
| COUNTRYOFDOMICILE | N   | Y                                     |         |
| IBAN              | N   | Y                                     |         |

| Column Name          | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks   |
|----------------------|---|---------------------------------------|---|
| BENEFICIARYID        | Y   |                                       | If beneficiary id is existing in system,details in file will be used to modify the record.If beneficiary id is not available for UH, new beneficiary record will be created |
| BENEFICIARY-TYPE     | Y   |                                       |   |
| BENEFICIARYNAME      | N   | Y                                     | This field will not be modifiable if existing UH or CIF field is yes  |
| BENEFICIARYDOB       | N   | Y                                     | This field will not be modifiable if existing UH or CIF field is yes  |
| BENEFICIARYRELATION  | N   | Y                                     |   |
| BENEFICIARYGENDER    | N   | Y                                     | This field will not be modifiable if existing UH or CIF field is yes  |
| BENEFICIARYID-TYPE   | N   | Y                                     | This field will not be modifiable if existing UH or CIF field is yes  |
| BENEFICIARYID-NUMBER | N   | Y                                     | This field will not be modifiable if existing UH or CIF field is yes  |
| BENEFICIARYAWARD     | N   | Y                                     | This field will not be modifiable if existing UH or CIF field is yes  |
| BENEFICIARYBANKCODE  | N   | Y                                     | This field will not be modifiable if existing UH or CIF field is yes  |

| <b>Column Name</b>     | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b>   |
|------------------------|--|--|--|
| BENEFICIARY-BRANCHCODE | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes |
| BENEFICIAR-YACCTYPE    | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes |
| BENEFICIAR-YACCNAME    | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes |
| BENEFICIAR-YACCCCY     | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes |
| ACCOUNTNUMBER          | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes |



**Segment ID - 07JNT**

| <b>Column Name</b> | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b>   |
|--------------------|--|--|--|
| SEGMENTID          | Y  |  | 07JNT  |
| PROCESSID          | Y  |  | Unique   |
| SEQUENCENUMBER     | Y  |  | Unique   |
| EXISTINGUHORCIF    | Y  |  |  |
| BENEFICIARYID      | Y  |  | If beneficiary id is existing in system,details in file will be used to modify the record.If beneficiary id is not available for UH, new Joint UH record will be created subject to existing validations |
| BENEFICIARYNAME    | N  |  | This field will not be modifiable if existing UH or CIF field is yes   |
| BENEFICIARYTYPE    | Y  |  |  |
| BENEFICIARYDOB     | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes   |
| BENEFICIARYGENDER  | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes   |
| BENEFICIARYP-ANNO  | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes   |
| BENEFICIARY-FORM60 | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes   |
| BENEFICIARYMFIN    | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes   |

| <b>Column Name</b> | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b>   |
|--------------------|--|--|--|
| COUNTRYOFDOMICILE  | N  | Y  | This field will not be modifiable if existing UH or CIF field is yes |
| RECOVERCGT         | N  | Y  |  |
| ADDRESSLINE1       | N  | Y  |  |
| ADDRESSLINE2       | N  | Y  |  |
| ADDRESSLINE3       | N  | Y  |  |
| ADDRESSLINE4       | N  | Y  |  |
| ZIPCODE            | N  | Y  |  |
| COUNTRY            | N  | Y  |  |
| EMAIL              | N  | Y  |  |
| CONTACTPERSON      | N  | Y  |  |
| USINDICIAAVAILABLE | N  | N  |  |

**Segment ID - 10ADD**

| Column Name    | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|----------------|---|---------------------------------------|---------|
| SEGMENTID      | Y   |                                       | 10ADD   |
| SEQUENCENUMBER | Y   |                                       | Unique  |
| PROCESSID      | Y   |                                       | Unique  |
| ADDINFOLABEL   | Y   |                                       |         |
| FIELDVALUE     | N   | Y                                     |         |

**Segment ID - 15CON**

| Column Name     | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks  |
|-----------------|---|---------------------------------------|--|
| SEGMENTID       | Y   |                                       | 15CON  |
| PROCESSID       | Y   |                                       | Unique   |
| SEQUENCENUMBER  | Y   |                                       | Unique   |
| UNITHOLDERID    | N   |                                       |  |
| REFERENCENUMBER | N   |                                       |  |
| ADDRESSTYPE     | Y   |                                       | If address type present in file is available in system, system will be updated with remaining details present in file.If Address type present in file is not available in system, new address type will be added with details as available in file |
| ADDRESSLINE1    | N   | Y                                     |  |
| ADDRESSLINE2    | N   | Y                                     |  |
| ADDRESSLINE3    | N   | Y                                     |  |
| ADDRESSLINE4    | N   | Y                                     |  |
| ZIPCODE         | N   | Y                                     |  |
| COUNTRY         | N   | Y                                     |  |

| Column Name         | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|---------------------|---|---------------------------------------|---------|
| USSTATE             | N   | Y                                     |         |
| TELEPHONE1          | N   | Y                                     |         |
| TELEPHONE2          | N   | Y                                     |         |
| CELLPHONENUMBER     | N   | Y                                     |         |
| FAX                 | N   | Y                                     |         |
| EMAIL               | N   | Y                                     |         |
| CONTACTPERSON       | N   | Y                                     |         |
| EFFECTIVEFROMDATE   | N   | Y                                     |         |
| EFFECTIVETODATE     | N   | Y                                     |         |
| BICCODE             | N   | Y                                     |         |
| INTDIALLINGCODEPH1  | N   | Y                                     |         |
| INTDIALLINGCODEPH2  | N   | Y                                     |         |
| INTDIALLINGCODECELL | N   | Y                                     |         |
| INTDIALLINGCODEFAX  | N   | Y                                     |         |

### 3.7 Transactions Upload Format

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Transaction upload are given below for reference:

**Segment ID - 00CON: This segment will be mandatory for incremental upload**

| Column Name         | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|---------------------|---|---------------------------------------|---------|
| SEGMENTID           | Y   |                                       | 00CON   |
| PROCESSID           | Y   |                                       | Unique  |
| SEQUENCENUMBER      | Y   |                                       | Unique  |
| TXNSERIALNUMBER     | Y   |                                       | Unique  |
| BULKCLIENTCODE      | Y   |                                       | Unique  |
| IMPORTFILENAME      | Y   |                                       | Unique  |
| AMCID               | Y   |                                       |         |
| UPLOADMODE          | Y   |                                       | I       |
| TRANSACTIONNUMBER   | Y   |                                       |         |
| REFERENCENUMBER     | N   |                                       |         |
| TRANSACTIONDATE     | N   |                                       |         |
| UNITHOLDERID        | N   |                                       |         |
| REFUNITHOLDERID     | Y   |                                       |         |
| AGENTCODE           | N   |                                       |         |
| BRANCHCODE          | N   |                                       |         |
| FUNDID              | N   |                                       |         |
| AMOUNT              | N   |                                       |         |
| PAYMENTMODE         | N   |                                       |         |
| CHEQUENUMBER        | N   |                                       |         |
| CLEARINGDATE        | N   |                                       |         |
| TRANSACTIONCURRENCY | N   |                                       |         |
| TRANSACTIONMODE     | N   |                                       |         |
| UNITSAPPLIED        | N   |                                       |         |
| PERCENTAPPLIED      | N   |                                       |         |

| Column Name         | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|---------------------|---|---------------------------------------|---------|
| TOFUNDID            | N   |                                       |         |
| TOUNITHOLDERID      | N   |                                       |         |
| REFTOUNITHOLDERID   | N   |                                       |         |
| TRANSACTION-TYPE    | Y   |                                       |         |
| REFTYPE             | N   |                                       |         |
| REMARKS             | N   |                                       |         |
| CERTIFICATE         | N   |                                       |         |
|                     | N   |                                       |         |
| CIFNUMBER           | N   |                                       |         |
| TOCIFNUMBER         | N   |                                       |         |
| GROSSORNET          | N   |                                       |         |
| SUBPAYMENT-MODE     | N   |                                       |         |
| COMMUNICATION-MODE  | N   |                                       |         |
| POLICYNUMBER        | N   |                                       |         |
| PRODUCTID           | N   |                                       |         |
| LOIAPPLICABLE       | N   |                                       |         |
| REFFUNDID           | N   |                                       |         |
| REFTOFUNDID         | N   |                                       |         |
| ENTITYTYPE          | N   |                                       |         |
| ENTITYID            | N   |                                       |         |
| SETTLEMENT-DATE     | N   |                                       |         |
| DELIVERYOPTION      | N   |                                       |         |
| TRANSACTION-SUBTYPE | N   |                                       |         |
| REVERSALCODE        | N   |                                       |         |
| CANCELINSTRUCTION   | N   |                                       |         |

| Column Name              | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|--------------------------|---|---------------------------------------|---------|
| FROMFUND-PRICEBASIS      | N   |                                       |         |
| TOFUNDPRICEBASIS         | N   |                                       |         |
| TXN_OR_PRICE_-DATE_FLAG  | N   |                                       |         |
| FROMPRICEDATE            | N   |                                       |         |
| TOPRICEDATE              | N   |                                       |         |
| SUBSCRIPTION-FUNDID      | N   |                                       |         |
| SUBSCRIPTION-PRICEBASIS  | N   |                                       |         |
| PAYMENTAL-LOWED          | N   |                                       |         |
| PROCESSID1               | N   |                                       |         |
| SUBSETTLEMENTDATE        | N   |                                       |         |
| SUBPRICEDATE             | N   |                                       |         |
| BASECOST                 | N   |                                       |         |
| EXCHANGERATE-DETAILS     | N   |                                       |         |
| DEALINGDATE              | N   |                                       |         |
| COMPLETION-DATE          | N   |                                       |         |
| EUSDOPTION               | N   |                                       |         |
| TODEALINGDATE            | N   |                                       |         |
| KYCCHASING-DATE          | N   |                                       |         |
| KYCRECEIVED              | N   |                                       |         |
| LOCKINPERIOD-FORGAIN     | N   |                                       |         |
| SWIINEXCHANGERATEAPPLIED | N   |                                       |         |

| Column Name                 | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks  |
|-----------------------------|---|---------------------------------------|--|
| AUTOCLEARPROVISIONALBALANCE | N   |                                       |  |
| AUTOCLEARREINVESTMENTS      | N   |                                       |  |
| FEECURRENCY                 | N   |                                       |  |
| MATURITYDATE                | N   |                                       |  |
| SUBSOURCEID                 | N   |                                       |  |
| CERTSPILLOVER               | N   |                                       |  |
| ISSUED                      | N   |                                       |  |
| BLOCKTXNUMBER               | N   |                                       |  |
| CPMFBONUSPERCENT            | N   |                                       |  |
| CPMFCREDITLAG               | N   |                                       |  |
| RETAINAGEING                | N   |                                       |  |
| CAMPAIGNCODE                | N   |                                       |  |
| FXDEALDATE                  | N   |                                       |  |
| FXVALUEDATE                 | N   |                                       |  |
| USERID                      | Y   |                                       | Represents the user ID who has entered the latest record |
| AUTH_STAT                   | Y   |                                       |  |
| ORDERRECEIVEDDATE           | N   |                                       |  |
| TXNCATEGORY                 | N   |                                       |  |
| ORDERRECEIVEDTIME           | N   |                                       |  |
| SOURCEID                    | N   |                                       |  |
| LOADREFTYPE                 | N   |                                       |  |
| KIIDRECEIVED                | N   |                                       |  |
| ORDERRECDTIMEZONE           | Y   |                                       | Order Received Time zone                                 |



**Segment ID - 01SET**

| <b>Column Name</b>     | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b> |
|------------------------|--|--|----------------|
| SEGMENTID              | Y  |  | 01SET          |
| TXNSERIALNUMBER        | Y  |  | Unique         |
| SEQUENCENUMBER         | Y  |  | Unique         |
| PROCESSID              | Y  |  | Unique         |
| BULKCLIENTCODE         | Y  |  | Unique         |
| IMPORTFILENAME         | Y  |  | Unique         |
| AMCID                  | N  |  |                |
| TRANSACTIONNUMBER      | N  |  |                |
| PRODUCTID              | N  |  |                |
| POLICYNUMBER           | N  |  |                |
| SYSREFERENCENUMBER     | N  |  |                |
| UNITHOLDERID           | N  |  |                |
| PAYMENTREFERENCENUMBER | N  |  |                |
| PAYMENTMODE            | N  |  |                |
| SUBPAYMENTMODE         | N  |  |                |
| PAYMENTCURRENCY        | N  |  |                |
| PAYMENTDATE            | N  | Y  |                |
| PAYMENTAMOUNT          | N  | Y  |                |
| BANKCHARGES            | N  | Y  |                |
| PAYMENTCHARGES         | N  | Y  |                |
| EXCHANGERATE-SOURCE    | N  | Y  |                |
| EXCHANGERATE           | N  | Y  |                |
| PAYMENTFBCTX-NAMOUNT   | N  |  |                |

| Column Name             | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|-------------------------|---|---------------------------------------|---------|
| PAYMENTALTER-NATEAMOUNT | N   |                                       |         |
| SETTLEMENT-DUEDATE      | N   | Y                                     |         |
| PAIDDATE                | N   | Y                                     |         |
| AUTOSETTLEMENT          | N   | Y                                     |         |
| PAYMENTSTATUS           | N   |                                       |         |
| CLEARINGSTATUS          | N   |                                       |         |
| PAID                    | N   | Y                                     |         |
| SETTLEMENT-METHOD       | N   |                                       |         |
| SETTLEMENTSTATUS        | N   |                                       |         |
| SETTLEMENTAT            | N   |                                       |         |
| CHEQUEDATE              | N   | Y                                     |         |
| CHEQUENUMBER            | N   | Y                                     |         |
| CHEQUECURRENCY          | N   | Y                                     |         |
| CHEQUEAMOUNT            | N   | Y                                     |         |
| DRAWEEBANK-CODE         | N   | Y                                     |         |
| DRAWEE-BRANCHNAME       | N   | Y                                     |         |
| PAYMENTREMARKS          | N   | Y                                     |         |
| PAYMENTTYPE             | N   |                                       |         |
| THIRDPARTYADDRESS       | N   | Y                                     |         |
| THIRDPARTYADDRESSLINE1  | N   | Y                                     |         |
| THIRDPARTYADDRESSLINE2  | N   | Y                                     |         |
| THIRDPARTYADDRESSLINE3  | N   | Y                                     |         |

| Column Name                 | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks   |
|-----------------------------|---|---------------------------------------|---|
| THIRDPARTYAD-DRESSLINE4     | N   | Y                                     |   |
| THIRDPARTYBIC-CODE          | N   | Y                                     |   |
| THIRDPARTY-COUNTRYCODE      | N   | Y                                     |   |
| THIRDPARTYPAY-MENTREFERENCE | N   | Y                                     |   |
| THIRDPARTYS-TATE            | N   | Y                                     |   |
| THIRDPARTY-USSTATE          | N   | Y                                     |   |
| THIRDPARTYZIP-CODE          | N   | Y                                     |   |
| TRANSFERAC-COUNTCUR-RENCY   | N   |                                       | Complete transfer details can be updated if there are no existing transfer details & mode of payment is transfer or existing transfer details to be changed to new transfer details |
| TRANSFERAC-COUNTHOLDER-NAME | N   |                                       |   |
| TRANSFERAC-COUNTNUMBER      | N   | Y                                     |   |
| TRANSFERAC-COUNTTYPE        | N   | Y                                     |   |
| TRANSFER-BRANCHCODE         | N   | Y                                     |   |
| TRANSFERREF-ERENCENUMBER    | N   | Y                                     |   |
| CREDITCAR-DREFNO            | N   | Y                                     |   |
| INKINDPAYMENT-DETAILS       | N   | Y                                     |   |

| Column Name            | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|------------------------|---|---------------------------------------|---------|
| PAYMENTAD-DRESSDETAILS | N   | Y                                     |         |
| OLDPAYMEN-TREFERENCENO | N   |                                       |         |
| UHBICCODE              | N   | Y                                     |         |
| SWIFTFORMAT            | N   | Y                                     |         |
| BANKCHARGED            | N   | Y                                     |         |
| BENEFICIARY            | N   | Y                                     |         |
| BENEFICIARY-ADD1       | N   | Y                                     |         |
| BENEFICIARY-ADD2       | N   | Y                                     |         |
| BENEFICIARY-ADD3       | N   | Y                                     |         |
| BENEFICIARYBIC-CODE    | N   | Y                                     |         |
| BENEFICIAR-YACCTNO     | N   | Y                                     |         |
| BENEFICIARY-CODE       | N   | Y                                     |         |
| BENEFICIARY-INFO       | N   | Y                                     |         |
| INTERMEDIARY           | N   | Y                                     |         |
| INTERMEDIARY-ADD1      | N   | Y                                     |         |
| INTERMEDIARY-ADD2      | N   | Y                                     |         |
| INTERMEDIARY-ADD3      | N   | Y                                     |         |
| INTERMEDIARY-BICCODE   | N   | Y                                     |         |
| INTERMEDIAR-YACCTNO    | N   | Y                                     |         |
| INTERMEDIARY-CODE      | N   | Y                                     |         |

| <b>Column Name</b>    | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b> |
|-----------------------|--|--|----------------|
| INTERMEDIARY-INFO     | N  | Y  |                |
| FURTHERCREDIT-NAME    | N  | Y  |                |
| FURTHERCREDITACCTNO   | N  | Y  |                |
| REFERENCE1            | N  | Y  |                |
| REFERENCE2            | N  | Y  |                |
| ENTITYID              | N  | Y  |                |
| ENTITYTYPE            | N  | Y  |                |
| IBAN                  | N  | Y  |                |
| BENEFICIARY-IBAN      | N  | Y  |                |
| INTERMEDIARY-IBAN     | N  | Y  |                |
| BENEFICIARYID         | N  | Y  |                |
| BENEFICIARYPERCENTAGE | N  | Y  |                |
| AMOUNTBLOCKNO         | N  |  |                |
| SETTLED               | N  | Y  |                |
| REVERSED              | N  | Y  |                |

**Segment ID - 03AIF**

| Column Name       | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|-------------------|---|---------------------------------------|---------|
| SEGMENTID         | Y   |                                       | 03AIF   |
| TXNSERIALNUMBER   | Y   |                                       | Unique  |
| SEQUENCENUMBER    | Y   |                                       | Unique  |
| PROCESSID         | Y   |                                       | Unique  |
| BULKCLIENTCODE    | Y   |                                       | Unique  |
| IMPORTFILENAME    | Y   |                                       | Unique  |
| AMCID             | N   |                                       |         |
| TRANSACTIONNUMBER | N   |                                       |         |
| REFERENCENUMBER   | N   |                                       |         |
| OTHERINFO1        | N   | Y                                     |         |
| OTHERINFO2        | N   | Y                                     |         |
| OTHERINFO3        | N   | Y                                     |         |
| OTHERINFO4        | N   | Y                                     |         |
| OTHERINFO5        | N   | Y                                     |         |
| OTHERINFO6        | N   | Y                                     |         |
| OTHERINFO7        | N   | Y                                     |         |
| OTHERINFO8        | N   | Y                                     |         |
| OTHERINFO9        | N   | Y                                     |         |
| OTHERINFO10       | N   | Y                                     |         |
| OTHERINFO11       | N   | Y                                     |         |
| OTHERINFO12       | N   | Y                                     |         |
| OTHERINFO13       | N   | Y                                     |         |
| OTHERINFO14       | N   | Y                                     |         |
| OTHERINFO15       | N   | Y                                     |         |
| OTHERINFO16       | N   | Y                                     |         |
| OTHERINFO17       | N   | Y                                     |         |

| <b>Column Name</b> | <b>Mandatory fields in upload file for upload mode I</b> | <b>Modifiable fields in Incremental mode</b> | <b>Remarks</b> |
|--------------------|--|--|----------------|
| OTHERINFO18        | N  | Y  |                |
| OTHERINFO19        | N  | Y  |                |
| OTHERINFO20        | N  | Y  |                |
| OTHERINFO21        | N  | Y  |                |
| OTHERINFO22        | N  | Y  |                |
| OTHERINFO23        | N  | Y  |                |
| OTHERINFO24        | N  | Y  |                |
| OTHERINFO25        | N  | Y  |                |
| OTHERINFO26        | N  | Y  |                |
| OTHERINFO27        | N  | Y  |                |
| OTHERINFO28        | N  | Y  |                |
| OTHERINFO29        | N  | Y  |                |
| OTHERINFO30        | N  | Y  |                |

**Segment ID - 04INT**

| Column Name     | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks   |
|-----------------|---|---------------------------------------|---|
| SEGMENTID       | Y   |                                       | Segment ID - 04INT  |
| PROCESSID       | Y   |                                       | Unique  |
| TXNSERIALNUMBER | Y   |                                       | Unique  |
| SEQUENCENUMBER  | Y   |                                       | Unique  |
| ENTITYID        | Y   | Y                                     | If this field is populated along with dependant fields, this will replace entity at transaction level |
| ENTITYTYPE      | Y   | Y                                     |   |
| SPLITPERCENTAGE | N   | Y                                     |   |
| FROMORTOLEG     | N   | Y                                     |   |

**Segment ID - 09LOD**

| Column Name     | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks  |
|-----------------|---|---------------------------------------|--|
| SEGMENTID       | Y   |                                       | 09LOD  |
| PROCESSID       | Y   |                                       | Unique   |
| SEQUENCENUMBER  | Y   |                                       | Unique   |
| TXNSERIALNUMBER | Y   |                                       | Unique   |
| LOADID          | Y   |                                       |  |
| FUNDID          | N   |                                       |  |
| CHANGETYPE      | N   | Y                                     |  |
| CHANGEVALUE     | N   | Y                                     |  |
| GROUPID         | N   |                                       | If not provided, system will consider this as DEFGRP |



**Segment ID - 10DLO**

| Column Name     | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|-----------------|---|---------------------------------------|---------|
| SEGMENTID       | Y   |                                       | 10DLO   |
| PROCESSID       | Y   |                                       | Unique  |
| SEQUENCENUMBER  | Y   |                                       | Unique  |
| TXNSERIALNUMBER | Y   |                                       | Unique  |
| DERIVEDLOADID   | Y   |                                       |         |
| FUNDID          | N   |                                       |         |
| CHANGETYPE      | N   | Y                                     |         |
| CHANGEVALUE     | N   | Y                                     |         |
| PARENTLOADID    | N   |                                       |         |

**Segment ID - 12PSL**

| Column Name     | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks  |
|-----------------|---|---------------------------------------|--|
| SEGMENTID       | Y   |                                       | 12PSL  |
| PROCESSID       | Y   |                                       | Unique   |
| SEQUENCENUMBER  | Y   |                                       | Unique   |
| TXNSERIALNUMBER | Y   |                                       | Unique   |
| REFERENCENUMBER | Y   |                                       |  |
| LOADID          | Y   |                                       |  |
| FUNDID          | N   |                                       |  |
| CHANGETYPE      | N   | Y                                     |  |
| CHANGEVALUE     | N   | Y                                     |  |
| GROUPID         | N   |                                       | If not provided, system will consider this as DEFGRP |

### Segment ID - 13PSD

| Column Name     | Mandatory fields in upload file for upload mode I | Modifiable fields in Incremental mode | Remarks |
|-----------------|---|---------------------------------------|---------|
| SEGMENTID       | Y   |                                       | 13PSD   |
| PROCESSID       | Y   |                                       | Unique  |
| SEQUENCENUMBER  | Y   |                                       | Unique  |
| TXNSERIALNUMBER | Y   |                                       | Unique  |
| REFERENCENUMBER | Y   |                                       |         |
| DERIVEDLOADID   | Y   |                                       |         |
| FUNDID          | N   |                                       |         |
| CHANGETYPE      | N   | Y                                     |         |
| DER-CHANGEVALUE | N   | Y                                     |         |
| PARENTLOADID    | N   |                                       |         |

#### Note

If Param text is set to 'Y' for Param code 'UPLDCUTOFFTIME' and order received Date, order received Time Zone and order received Time is not present in upload file, then the time of transaction creation will be considered as order received Time, order received Time Zone as default one and trade date to be derived accordingly. If parameter is turned off, transaction will be considered as received within the cut off time.

## 3.8 Fund Entity Upload Format

Using this interface, user can upload the fund entity details by mapping funds to entities along with the allowed/disallowed transaction types.

Operations such as NEW, EDIT, DELETE, AUTHORIZE, AMEND and CLOSE are supported in this Interface.

This section contains the following topics:

- [Section 3.8.1, "Segment Identification Number"](#)
- [Section 3.8.2, "Fund Entity Details"](#)
- [Section 3.8.3, "Fund Entity Transaction Details"](#)

### 3.8.1 Segment Identification Number

Segment Identification Number

| <b>Segment Identification Number</b> | <b>Description</b>   | <b>Segment Mandatory / Optional?</b> |
|--------------------------------------|--|--------------------------------------|
| 00FEN                                | This segment is used to provide Information related to Fund Entity Details             | Mandatory                            |
| 01TXN                                | This segment is used to provide information related to Fund Entity Transaction Details | Optional                             |

### 3.8.2 **Fund Entity Details**

This section is used to provide information related to Fund Entity Details.

Serial Number: 1

Segment Identification Number: 00FEN

Description: This segment is used to populate Fund Entity Details.

| <b>FieldName</b> | <b>Datatype</b> | <b>Length</b> | <b>Mandatory/ Optional</b> | <b>Characteristic/ Rules</b> | <b>values</b>  |
|------------------|-----------------|---------------|----------------------------|------------------------------|--|
| PROCES-SID       | VARCHAR2        | 50            | Mandatory                  |                              | Unique value to process interface  |
| SEGMENTID        | VARCHAR2        | 20            | Mandatory                  |                              | 00FEN  |
| SEQUENCENUMBER   | NUMBER          | 22            | Mandatory                  |                              | Sequence number  |
| BULKCLIENTCODE   | VARCHAR2        | 12            | Mandatory                  |                              | Bulk client code   |
| IMPORT-FILENAME  | VARCHAR2        | 255           | Mandatory                  |                              | BulkFundEntityImport.xls   |
| UPLOAD-MODE      | VARCHAR2        | 1             | Mandatory                  |                              | Represents the action code, N-New, E-Edit, D-Delete, A-Authorize, O-Reopen and C-Close |

| FieldName        | Datatype | Length | Mandatory/<br>Optional | Characteristics/<br>Rules | values   |
|------------------|----------|--------|------------------------|---------------------------|--|
| FUNDID           | VARCHAR2 | 6      | Mandatory              |                           | Represents a unique identification for each fund in the system. Fundid column of fund-demographic stbl |
| ENTITY-TYPE      | VARCHAR2 | 2      | Mandatory              |                           | Type of entity that is to be associated with the selected Fund   |
| ENTITYID         | VARCHAR2 | 12     | Mandatory              |                           | Represents the Entity ID to be mapped to the Fund maintained   |
| RESTRICTIVE LIST | NUMBER   | 22     | Optional               |                           | 1-Yes,0-No   |
| RESTRICTIONCODE  | VARCHAR2 | 2      | Optional               |                           | Maintenance in paramstbl for param-code 'RESTRICTIONCD'  |
| REMARKS          | VARCHAR2 | 255    | Optional               |                           | Remarks  |
| USER_ID          | VARCHAR2 | 15     | Mandatory              |                           | User Id  |

### 3.8.3 Fund Entity Transaction Details

This section is used to provide information related to Fund Entity Transaction Details, which is optional.

Serial Number: 2

Segment Identification Number: 01TXN

Description: This Segment is added to populate Fund entity Transaction Details.

| FieldName       | Datatype   | Length | Mandatory/Optional | Characteristic/Rules | values  |
|-----------------|------------|--------|--------------------|----------------------|---|
| PROCES-SID      | VARCHAR2   | 50     | Mandatory          |                      | Unique value to process interface   |
| SEGMENTID       | VARCHAR2   | 20     | Mandatory          |                      | 01TXN   |
| SEQUENCENUMBER  | NUMBER(10) | 10     | Mandatory          |                      | Sequence number   |
| FUNDID          | VARCHAR2   | 6      | Mandatory          |                      | Represents a unique identification for each fund in the system. Fund id column of funddemographicstbl |
| ENTITY-TYPE     | VARCHAR2   | 2      | Mandatory          |                      | Type of entity that is to be associated with the selected Fund  |
| ENTITYID        | VARCHAR2   | 12     | Mandatory          |                      | Represents the Entity ID to be mapped to the Fund maintained  |
| TRANSACTIONTYPE | VARCHAR2   | 2      | Mandatory          |                      | Represents the valid transaction types available for the fund   |

### 3.9 Account Officer Upload Format

Using this interface, user can map Agent, Agency Branch, Account Officer and IFA. Operations such as NEW, EDIT, DELETE, AUTHORIZE, AMEND and CLOSE are supported in this interface.

This Section contains the following topics:

**Account Officer Maintenance:**

Serial Number: 1

Description: This Segment is added to populate Account Officer details.

| FieldName           | Datatype | Length | Mandatory/<br>Optional | Charac<br>teristic<br>/Rules | values   |
|---------------------|----------|--------|------------------------|------------------------------|--|
| PROCES-<br>SID      | VARCHAR2 | 50     | Mandatory              |                              | Unique value<br>to process<br>interface  |
| SEQUEN-<br>CENUMBER | NUMBER   | 10     | Mandatory              |                              | Sequence<br>number   |
| BULKCLI-<br>ENTCODE | VARCHAR2 | 12     | Mandatory              |                              | Bulk client<br>code  |
| IMPORT-<br>FILENAME | VARCHAR2 | 255    | Mandatory              |                              | Import File<br>name  |
| UPLOAD-<br>MODE     | VARCHAR2 | 1      | Mandatory              |                              | Represents<br>the Action<br>code, N-<br>New, A-<br>Authorize, D-<br>Delete, E-<br>Modify, O-<br>Reopen, C-<br>Close and R-<br>New Rule |
| AGENT-<br>CODE      | VARCHAR2 | 12     | Mandatory              |                              | Represents<br>the valid<br>agent code<br>to be<br>mapped   |
| BRANCH-<br>CODE     | VARCHAR2 | 12     | Mandatory              |                              | Represents<br>the valid<br>branch code<br>to be<br>mapped  |
| EFFECTIVE-<br>DATE  | DATE     |        | Mandatory              |                              | Effective<br>date  |
| AOID                | VARCHAR2 | 12     | Mandatory              |                              | Account<br>officer ID  |
| IFAIID              | VARCHAR2 | 12     | Mandatory              |                              | IFA Identifi-<br>cation details  |
| DEFAULT-<br>DETAILS | NUMBER   | 1      | Mandatory              |                              | Default<br>details 1-<br>Yes, 0-No   |

| FieldName | Datatype | Length | Mandatory/<br>Optional | Charac<br>teristic<br>/Rules | values   |
|-----------|----------|--------|------------------------|------------------------------|----------|
| USER_ID   | VARCHAR2 | 15     | Mandatory              |                              | Maker id |

### 3.10 Agency Branch AO Upload Format

Using this interface, user can map Agent, Agency Branch and Account Officer.

Operations such as NEW,EDIT,DELETE,AUTHORIZE,AMEND,CLOSE are supported in this interface.

This Section contains the following topics:

#### **Agency Branch AO Maintenance:**

Serial Number: 1.

Description: This segment is added to populate Agency Branch AO details.

| FieldName           | Datatype | Length | Mandatory/<br>Optional | Characteri<br>stic/Rules | values  |
|---------------------|----------|--------|------------------------|--------------------------|---|
| PROCES-<br>SID      | VARCHAR2 | 50     | Mandatory              |                          | Unique value<br>to process<br>interface   |
| SEQUEN-<br>CENUMBER | NUMBER   | 10     | Mandatory              |                          | Sequence<br>number  |
| BULKCLI-<br>ENTCODE | VARCHAR2 | 12     | Mandatory              |                          | Bulk client<br>code   |
| IMPORT-<br>FILENAME | VARCHAR2 | 255    | Mandatory              |                          | Import File<br>name   |
| UPLOAD-<br>MODE     | VARCHAR2 | 1      | Mandatory              |                          | Represents<br>the Action<br>code, N-<br>New, A-<br>Authorize, D-<br>Delete, E-<br>Modify, O-<br>Reopen and<br>C-Close |
| AGENT-<br>CODE      | VARCHAR2 | 12     | Mandatory              |                          | Represents<br>the valid<br>agent code<br>to be<br>mapped  |

| FieldName           | Datatype | Length | Mandatory/<br>Optional | Characteri<br>stic/Rules | values  |
|---------------------|----------|--------|------------------------|--------------------------|---|
| BRANCH-<br>CODE     | VARCHAR2 | 12     | Mandatory              |                          | Represents<br>the valid<br>branch code<br>to be<br>mapped |
| EFFECTIVE-<br>DATE  | DATE     |        | Mandatory              |                          | Effective<br>date   |
| AOID                | VARCHAR2 | 12     | Mandatory              |                          | Account<br>officer ID                                     |
| DEFAULT-<br>DETAILS | NUMBER   | 1      | Mandatory              |                          | Default<br>details 1-<br>Yes, 0 - No                      |
| USER_ID             | VARCHAR2 | 15     | Mandatory              |                          | Maker id  |



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