

FCUBS Switch Interface Gateway High Availability Configuration  
Oracle Banking Enterprise Limits and Collateral Management  
Release 14.7.4.0.0  
Part No. F99734-01  
[June] [2024]



---

# Table of Contents

<b>1. PURPOSE.....</b>	<b>1-1</b>
1.1 INTRODUCTION .....	1-1
1.2 SOFTWARES AND VERSIONS .....	1-1
1.3 SCOPE.....	1-1
1.4 TEST SCOPE .....	1-1
1.5 ARCHITECTURAL COMPONENTS .....	1-2
1.5.1 HOST Database Server.....	1-2
1.5.2 Integration Server.....	1-2
<b>2. DEPLOYMENT ARCHITECTURE .....</b>	<b>2-1</b>
2.1 SWIG .....	2-1
2.2 SETUP & SIMULATIONS .....	2-1
2.3 TEST CASES & RESULTS .....	2-2
2.4 SYSTEM OBSERVATIONS.....	2-3
2.4.1 DB Server Failover.....	2-3
2.4.2 POJO Listener .....	2-4

---

# 1. Purpose

FLEXCUBE Switch Interface Gateway /ATM gateway High Availability (HA) is becoming a must-have requirement for Banks that cannot afford system down time. Since Banks must always be prepared to serve their customers, either a planned or an unplanned loss of service makes it costly when the system is not available.

FLEXCUBE Switch Interface Gateway is subjected to a series of tests to ascertain its ability to be highly available and resilient to failure of all critical components of the deployment. The tests indicated that the system is highly available and a blue print of its deployment for HA is evolved as a result of these tests.

## 1.1 Introduction

Availability is the degree to which an application or service is available when, and with the functionality, users expect. Availability is measured by the perception of an application's end user. End users experience frustration when their data is unavailable, and they do not understand or care to differentiate between the complex components of an overall solution.

- Reliability: Reliable hardware is one component of an HA solution. Reliable software, including the database and application, is as critical to implementing a highly available solution.

The FLEXCUBE Switch Interface Gateway (referred as POJO SWIG in this doc) comprises of the database server and integration server(where SWIG is deployed). A brief overview of these components is discussed first. In order to provide a truly fault tolerant system, each of these components must be capable of handling failures to render a highly available application system. The magnitude of failures can range from a loss of a single component on one hand to a total loss of the data center.

Key aspects that go into developing, testing and maintaining a business continuity plan are discussed.

## 1.2 Softwares and Versions

1. Oracle Database 12C
2. JDK 1.7
3. Apache HAProxy 1.6.X

## 1.3 Scope

The test scope covers

1. Key delivery channel like ATM and POS

All the online simulations took place with the help of ATM native simulator was used.

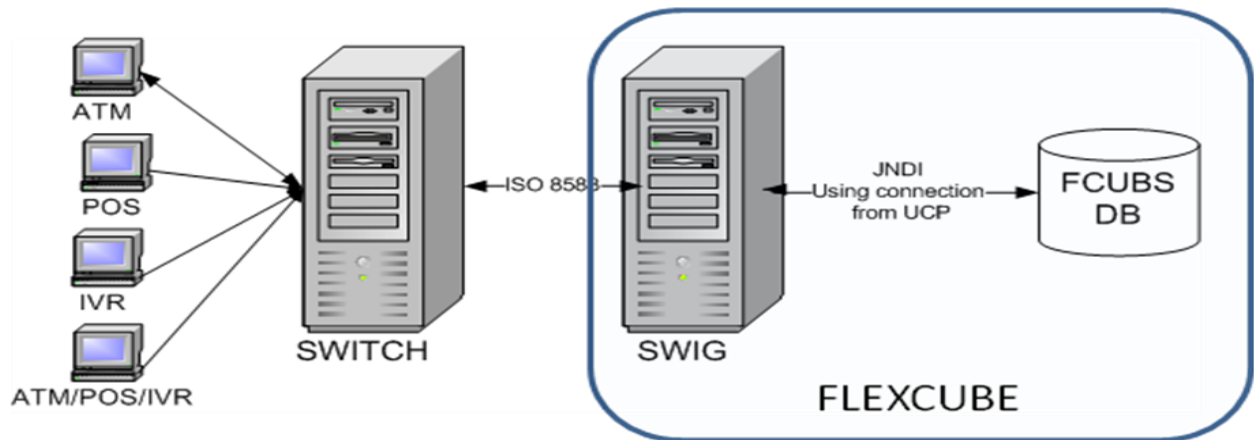
## 1.4 Test Scope

The primary scope of test cases is to validate FLEXCUBE SWIG availability during its online processing.  
Test cases

1. ATM high availability due to Integration server failure
2. ATM high availability due to Database failure

## 1.5 **Architectural Components**

This section provides a brief overview of the crucial application components that must have HA built into their deployment.



### 1.5.1 **HOST Database Server**

The HOST database server houses the complete business logic and the data of the application. The business logic comprises of PL/SQL stored procedures and functions. These are standard Oracle PL/SQL components. The data is organized into application related tables and Indexes.

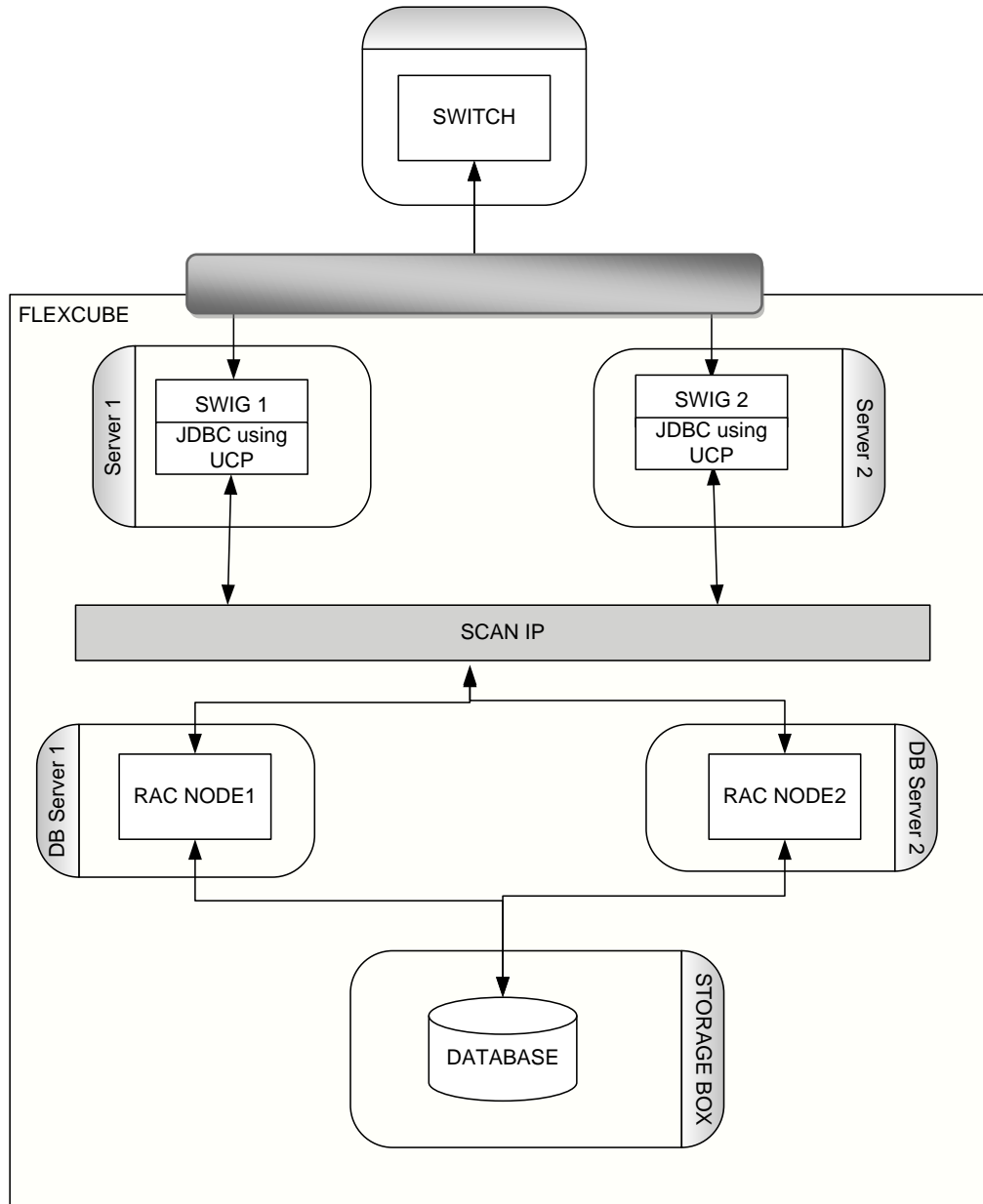
The database server can be deployed as either a standalone system or as a Cluster database deploying Oracle RAC (Real Application Cluster). Oracle features such as standby databases can also be leveraged upon for database deployment.

### 1.5.2 **Integration Server**

The Integration server is used to host the FLEXCUBE SWIG application. It is deployed as a plain Java component serves as the interface layer between ATM/POS Switch and FLEXCUBE.

## 2. Deployment Architecture

### 2.1 SWIG



### 2.2 Setup & Simulations

The ATM Switch gateway consists primarily of the ATM service (POJO)..

An in-house developed tool was used for ATM transaction simulation as it has to send request in ISO standard format. This tool played the role of ATM switch and continuously posted requests to the core banking systems switch gateway.

The ATM switch gateway was configured in Active-Active mode as all the switches are not aware / capable of doing load balancing configurations. To bring this Active-Active mode, it is required to have External NLB (Network Load Balancer) which could provide virtual IP as application client access point. For the HA test case, Apache HAProxy Load Balancer has been chosen as solution.

HAProxy is used as a balancer for SWIG service. To make use of this feature, Multiple SWIG services are created and the HAProxy was monitoring the switch gateway listener PORT availability. In the event of PORT unavailability at primary node, HAProxy would start sending transactions to SWIG service on secondary node. SWIG Simulator points to HAProxy installed IP and HAProxy takes care of fault tolerant mechanism.

The following screen shot refers the java based ATM transaction simulator and this launched from Oracle JDeveloper.

The screenshot shows the 'Iso Simulator' application window. It has a menu bar with 'Simulator', 'Configuration', and 'Help'. Below the menu bar are buttons for 'Send', 'Reversal', 'Clear', and 'Close', along with navigation arrows. The main area is titled 'Iso Simulator' and contains two columns of input fields for transaction data.

Acquiring Institution	222000	Amount Transaction	000000010000
Forwarding Institution		Settlement Amount	
CAT ID	POST0001	Conversion Rate Settle...	
CA ID Code		Transaction Fee Amount	00001000
Transmission Date Time	0119125833	Settlement Fee Amount	00000000
System Trace Audit No	000001	Trans Processing Fee Amt	00001000
Message Type	0100	Settl Processing Fee Amt	00000000
Primary Account Num...	5000445566776000	Transaction Ccy Code	294
Processing Code	001000	Settlement Ccy Code	
Card Acceptor Name		Cardholder Billing Ccy Co...	
Narrative		Network Management Inf...	301
Authorisation code		Time Local Transaction	125833
Retrieval Reference No	MA63FEB0100	Date Local Transaction	0119
Point of Service Condi...		Date Settlement	0119
Response Code		Date Capture	0119
Additional Amount		Year Transmission	
		To Account	
		From Account	000001
		Original Transaction Deta...	
		Replacement Amounts	
		Pre-Auth and Charge bac...	
		Mini Statement	

## 2.3 Test Cases & Results

The detailed test cases & Results are tabulated as below:

Test	Failover Component	Input Method	Failure Description	Expected Behaviors	Result	Remark
1	DB	Simulator	1. Simulator would inject ATM Txns 2. Either of DB Instance would be stopped abruptly	The transactions get through using other member of RAC.	Request handled successfully by alternate node of RAC	100 transactions posted.  No transactions failed [as sequential inserts]
2	POJO - Listener	Simulator	1. Simulator would inject ATM Txns 2. Primary server ATM/POS service would be stopped abruptly	The HAProxy would failover the ATM/POS service to Secondary server and transactions proceed without failure	HAPROxy started sending the transactions to the secondary server requests processed successfully.	100 transactions posted.  No transactions failed [as sequential inserts]

## 2.4 **System Observations**

### 2.4.1 **DB Server Failover**

The database connections (JDBC) were configured with Multi Datasource [Please refer FCUBS Middleware best Practices document] with Non-XA oracle client.

The database failure had been simulated using abrupt shutdown of the one of the RAC node [i.e. SHUTDOWN ABORT from SYSDBA account].

The below screen shot represents abrupt shutdown of the DB.

```
codezero@ [REDACTED]:~  
[codezero@ [REDACTED] ~]$ sqlplus / as sysdba  
  
SQL*Plus: Release 11.2.0.4.0 Production on Thu Mar 31 18:17:20 2016  
  
Copyright (c) 1982, 2013, Oracle. All rights reserved.  
  
Connected to:  
Oracle Database 11g Enterprise Edition Release 11.2.0.4.0 - 64bit Production  
With the Partitioning, OLAP, Data Mining and Real Application Testing options  
  
SYS@ZERO11G> shut immediate;  
Database closed.  
Database dismounted.  
ORACLE instance shut down.  
SYS@ZERO11G> █
```

During database failover, connection pool does provide valid connections from available RAC node [Validate Connections option enabled as prescribed in best practices document].

The transaction processed without fail and any intervention from either of the component.

## 2.4.2 POJO Listener

Both POJO sets are up and running

Following screen shot provides information over TCP/IP listener on node whf00afm port 3100 where all connections are established to process transactions.

```
whf00afm@whf00afm:~/work_area/DEV/SQLDEV$ netstat -anp 3100  
(Not all processes could be identified, non-owned process info  
will not be shown, you would have to be root to see it.)  
tcp        0 0 0.0.0.0:3100 0.0.0.0:0* LISTEN 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315186 ESTABLISHED 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315189 ESTABLISHED 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315187 ESTABLISHED 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315176 CLOSE_WAIT 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315188 ESTABLISHED 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315190 ESTABLISHED 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315198 ESTABLISHED 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315195 ESTABLISHED 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315193 ESTABLISHED 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315192 ESTABLISHED 24812/java  
tcp        0 0 3.0.184.154:3100 3.0.184.140:3315177 CLOSE_WAIT 24812/java  
whf00afm@whf00afm:~/work_area/DEV/SQLDEV$
```



```
root@ofss222443:/scratch/work_area/DEV/SWIGJC
[root@ofss222443 SWIGJC]# netstat -anlp | grep 3200
tcp        0      0 0.0.0.0:3200          0.0.0.0:*            LISTEN     27593/java
[root@ofss222443 SWIGJC]#
```

, HAProxy sends Transactions to listener on ofss222443 (secondary node) port 3200

```
root@ofss222443:/scratch/work_area/DEV/SWIGJC
[root@ofss222443 SWIGJC]# netstat -anlp | grep 3200
tcp        0      0 0.0.0.0:3200          0.0.0.0:*            LISTEN     27593/java
tcp        0      0 10.184.148.63:3200    10.184.148.33:51238   ESTABLISHED 27593/java
tcp        0      0 10.184.148.63:3200    10.184.148.33:51245   ESTABLISHED 27593/java
tcp        0      0 10.184.148.63:3200    10.184.148.33:51241   ESTABLISHED 27593/java
tcp        0      0 10.184.148.63:3200    10.184.148.33:51240   ESTABLISHED 27593/java
tcp        0      0 10.184.148.63:3200    10.184.148.33:51246   ESTABLISHED 27593/java
tcp        0      0 10.184.148.63:3200    10.184.148.33:51244   ESTABLISHED 27593/java
tcp        0      0 10.184.148.63:3200    10.184.148.33:51237   ESTABLISHED 27593/java
tcp        0      0 10.184.148.63:3200    10.184.148.33:51239   ESTABLISHED 27593/java
tcp        0      0 10.184.148.63:3200    10.184.148.33:51242   ESTABLISHED 27593/java
tcp        0      0 10.184.148.63:3200    10.184.148.33:51243   ESTABLISHED 27593/java
[root@ofss222443 SWIGJC]#
```

Steps on using HAProxy are attached.



HAProxy.doc



FCUBS Switch Interface Gateway High Availability Configuration  
[June] [2024]  
Version 14.7.4.0.0

Oracle Financial Services Software Limited  
Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India

Worldwide Inquiries:  
Phone: +91 22 6718 3000  
Fax: +91 22 6718 3001  
<https://www.oracle.com/industries/financial-services/index.html>

Copyright © [2007], [2024], Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

**U.S. GOVERNMENT END USERS:** Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are "commercial computer software" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

