Preface

This preface introduces information sources that can help you use the application.

Using Oracle Applications

Using Applications Help

Use help icons 🎧 to access help in the application. If you don't see any help icons on your page, click your user image or name in the global header and select *Show Help Icons*. Not all pages have help icons. You can also access *Oracle Applications Help*.

Watch: This video tutorial shows you how to find help and use help features.
You can also read *Using Applications Help*.

Additional Resources

- Community: Use *Oracle Cloud Customer Connect* to get information from experts at Oracle, the partner community, and other users.
- Guides and Videos: Go to the *Oracle Help Center* to find guides and videos.
- Training: Take courses on Oracle Cloud from *Oracle University*.

Conventions

The following table explains the text conventions used in this guide.

<table>
<thead>
<tr>
<th>Convention</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>boldface</strong></td>
<td>Boldface type indicates user interface elements, navigation paths, or values you enter or select.</td>
</tr>
<tr>
<td><strong>monospace</strong></td>
<td>Monospace type indicates file, folder, and directory names, code examples, commands, and URLs.</td>
</tr>
<tr>
<td>&gt;</td>
<td>Greater than symbol separates elements in a navigation path.</td>
</tr>
</tbody>
</table>

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the *Oracle Accessibility Program website*.
Videos included in this guide are provided as a media alternative for text-based help topics also available in this guide.

Contacting Oracle

Access to Oracle Support
Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit My Oracle Support or visit Accessible Oracle Support if you are hearing impaired.

Comments and Suggestions
Please give us feedback about Oracle Applications Help and guides! You can send an e-mail to: oracle_fusion_applications_help_ww_grp@oracle.com.
1 Subject Areas

Overview

This chapter provides information on the subject areas with data you maintain in Oracle Risk Management Cloud. These subject areas, with their corresponding data, are available for you to use when creating and editing analyses and reports. The information for each subject area includes:

- Description of the subject area.
- Business questions that can be answered by data in the subject area, with a link to more detailed information about each business question.
- Job roles and duty roles that can be used to secure access to the subject area, with a link to more detailed information about each job role and duty role.
- Primary navigation to the work area that is represented by the subject area.
- Time reporting considerations in using the subject area, such as whether the subject area reports historical data or only the current data. Historical reporting refers to reporting on historical transactional data in a subject area. With a few exceptions, all dimensional data are current as of the primary transaction dates or system date.
- The lowest grain of transactional data in a subject area. The lowest transactional data grain determines how data are joined in a report.
- Special considerations, tips, and things to look out for in using the subject area to create analyses and reports.

Risk Management Cloud - Access Certification Real Time

Description

Risk Management Cloud - Access Certification Real Time - This subject area provides information related to Oracle Access Certification, which enables customers to certify whether job roles are assigned appropriately to users.

Business Questions

This subject area can answer the following business questions:

- What are the job roles that need to be removed per user name?
- How many user name and job role combinations are being certified?
- What certifications were performed in the last two quarters?
- What is the status of one or multiple access certifications?
Subject Areas for Transactional Business Intelligence in Risk Management

Chapter 1

Subject Areas

- What are the user name and job roles that are pending?
- How many access certifications are completed by Certifier?
- How many access certifications are completed by owner?
- What are the user name and job roles that require investigation?
- What new user/role combinations have been certified this year versus last?

Job Roles

The following job roles secure access to this subject area:

- Risk Administrator
- User Access Certification Manager

Duty Roles

The following duty roles secure access to this subject area:

- Access Certification Transaction Analysis Duty

Primary Navigation

1) Access Certification > Create

Time Reporting

This subject area supports access certification history.

Time dimension is linked to Creation Date.

Transactional Grain

Not available

Special Considerations

The Access Certification synchronization program needs to be run to gather information before data is available in this area.
Risk Management Cloud - Advanced Access Controls
Real Time

Description

This subject area provides information related to Oracle Advanced Access Controls, which implements controls that identify users with conflicting access to duties assigned in a company's applications.

Business Questions

This subject area can answer the following business questions:

- What SOD conflicts have been identified for users and roles?
- What access points are included in the entitlements?
- How many incidents were found in the last control run?
- What is the unique incident path count?
- What advanced controls have been defined?
- When was the last time the control was run?
- What Financial Reporting Compliance objects have the incidents been related to?
- How many incidents have been closed?
- How many incidents are assigned or in remediation with 0-30 days age?
- What are the common incident details across all control types?
- What comments have been posted against the incidents?

Job Roles

The following job roles secure access to this subject area:

- Application Access Auditor
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to this subject area:

- Advanced Access Control Transaction Analysis Duty
Primary Navigation
1) Advanced Controls Management > Results; 2) Advanced Controls Management > Advanced Controls

Time Reporting
This subject area supports control and results change history.
Time dimension is linked to Creation Date.

Transactional Grain
Not available

Special Considerations
A synchronization program needs to be run to gather information before data is available in this area.

Risk Management Cloud - Advanced Financial Controls
Real Time

Description
This subject area provides information related to Oracle Advanced Financial Controls, which implements controls that evaluate transactions for fraud, error, or other risk.

Business Questions
This subject area can answer the following business questions:

- What advanced controls have been defined?
- For a specific transaction control, what is the total transaction amount?
- How many incidents were found in the last control run?
- When was the last time the control was run?
- What Financial Reporting Compliance objects have the incidents been related to?
- How many incidents have been closed?
- How many incidents are assigned or in remediation with 0-30 days age?
- What incidents have been identified for a specified transaction control?

Job Roles
The following job roles secure access to this subject area:

- Application Control Manager
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to this subject area:

- Advanced Financial Control Transaction Analysis Duty

Primary Navigation

1) Advanced Controls Management > Results; 2) Advanced Controls Management > Advanced Controls

Time Reporting

This subject area supports control and result change history. This subject area supports historical and trend reporting of transactions when applicable attributes are selected in the result display of a control definition.

Time dimension is linked to Creation Date.

Transactional Grain

Not available

Special Considerations

A synchronization program needs to be run to gather information before data is available in this area.

Risk Management Cloud - Assessment Results Real Time

Description

This subject area provides information about assessment and survey results. In Financial Reporting Compliance, users assess processes, risks, and controls, and may complete surveys as they do so.

Business Questions
This subject area can answer the following business questions:

- What assessment batches have been defined?
- When were assessments completed and closed?
- What survey questions and responses are related to assessments?
- What are the results of the control test plans?
- How many assessment transactions are not completed?
- What are the results for batch and impromptu assessments?
- Which assessments are overdue?

Job Roles

The following job roles secure access to this subject area:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to this subject area:

- Financial Reporting Compliance Transaction Analysis Duty

Primary Navigation

1) Financial Reporting Compliance > Assessments; 2) Risk Management Tools > Surveys

Time Reporting

This subject area supports risk management assessment results history.

Time dimension is linked to Creation Date of Control, Risk, or Survey, depending on the chosen dimension.

Transactional Grain

Not available

Special Considerations

A synchronization program needs to be run to gather perspective and security assignment.
Risk Management Cloud - Compliance Real Time

Description

This subject area provides information about processes, risks, controls, issues, remediation plans, and assessments defined in Financial Reporting Compliance.

Business Questions

This subject area can answer the following business questions:

- What assessments have been defined?
- What is the risk and control matrix?
- What controls are in scope for testing?
- What Financial Reporting Compliance records have been defined?
- What is the severity and status of defined issues?
- When were assessments initiated?
- What issues are defined, and what are their relationships to process, risk, and control records?
- What is the status for remediating defined issues?
- When were key controls tested?

Job Roles

The following job roles secure access to this subject area:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to this subject area:

- Financial Reporting Compliance Transaction Analysis Duty
Primary Navigation


Time Reporting

This subject area supports financial reporting compliance history.

Time dimension is linked to Creation Date of Control, Risk, Remediation Task, or Remediation Plan, depending on the chosen dimension.

Transactional Grain

Not available

Special Considerations

A synchronization program needs to be run to gather perspective and security assignment.
2 Business Questions

Overview

For each business question in this chapter, links are provided for more detailed information about the subject areas, job roles, and duty roles associated with the business question.

How many assessment transactions are not completed?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Assessment Results Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty
What are the results for batch and impromptu assessments?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Oracle Risk Management Cloud - Assessment Results Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty

What are the results of the control test plans?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Oracle Risk Management Cloud - Assessment Results Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty

What survey questions and responses are related to assessments?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Assessment Results Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty
What assessment batches have been defined?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Assessment Results Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles
The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty

When were assessments completed and closed?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Assessment Results Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty

Which assessments are overdue?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Assessment Results Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty
What assessments have been defined?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- *Risk Management Cloud - Compliance Real Time*

Job Roles
The following job roles secure access to the data related to this business question:

- *Compliance Manager*
- *Enterprise Risk and Control Manager*
- *Risk Activities Manager*
- *Risk Administrator*
- *Risk Management Auditor*

Duty Roles
The following duty roles secure access to the data related to this business question:

- *Financial Reporting Compliance Transaction Analysis Duty*

What controls are in scope for testing?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- *Risk Management Cloud - Compliance Real Time*

Job Roles
The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty

What Financial Reporting Compliance records have been defined?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Compliance Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty
What is the risk and control matrix?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Compliance Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles
The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty

What is the severity and status of defined issues?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Compliance Real Time

Job Roles
What is the status for remediating defined issues?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- **Risk Management Cloud - Compliance Real Time**

Job Roles
The following job roles secure access to the data related to this business question:

- **Compliance Manager**
- **Enterprise Risk and Control Manager**
- **Risk Activities Manager**
- **Risk Administrator**
- **Risk Management Auditor**

Duty Roles
The following duty roles secure access to the data related to this business question:

- **Financial Reporting Compliance Transaction Analysis Duty**
What issues are defined, and what are their relationships to process, risk, and control records?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Compliance Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles
The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty

When were assessments initiated?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Compliance Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

**Duty Roles**

The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty

**When were key controls tested?**

**Subject Areas**

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Compliance Real Time

**Job Roles**

The following job roles secure access to the data related to this business question:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

**Duty Roles**

The following duty roles secure access to the data related to this business question:

- Financial Reporting Compliance Transaction Analysis Duty
When was the last time the control was run?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time
- Risk Management Cloud - Advanced Financial Controls Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Application Control Manager
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

What advanced controls have been defined?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Financial Controls Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Application Control Manager
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Financial Control Transaction Analysis Duty

How many incidents have been closed?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time
- Risk Management Cloud - Advanced Financial Controls Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Application Control Manager
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Access Control Transaction Analysis Duty
- Advanced Financial Control Transaction Analysis Duty
How many incidents were found in the last control run?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time
- Risk Management Cloud - Advanced Financial Controls Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Application Control Manager
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles
The following duty roles secure access to the data related to this business question:

- Advanced Access Control Transaction Analysis Duty
- Advanced Financial Control Transaction Analysis Duty

What Financial Reporting Compliance objects have the incidents been related to?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time
Job Roles

The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Application Control Manager
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Access Control Transaction Analysis Duty
- Advanced Financial Control Transaction Analysis Duty

For a specific transaction control, what is the total transaction amount?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Financial Controls Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Application Control Manager
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor
Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Financial Control Transaction Analysis Duty

How many incidents are assigned or in remediation with 0-30 days age?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time
- Risk Management Cloud - Advanced Financial Controls Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Application Control Manager
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Access Control Transaction Analysis Duty
- Advanced Financial Control Transaction Analysis Duty
What incidents have been identified for a specified transaction control?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Financial Controls Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Application Control Manager
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Financial Control Transaction Analysis Duty

What advanced controls have been defined?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Access Control Transaction Analysis Duty

What are the common incident details across all control types?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Access Control Transaction Analysis Duty
What SOD conflicts have been identified for users and roles?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Access Control Transaction Analysis Duty

What comments have been posted against the incidents?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Access Control Transaction Analysis Duty

What access points are included in the entitlements?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles

The following duty roles secure access to the data related to this business question:

- Advanced Access Control Transaction Analysis Duty
What is the unique incident path count?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Advanced Access Controls Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Application Access Auditor
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Duty Roles
The following duty roles secure access to the data related to this business question:

- Advanced Access Control Transaction Analysis Duty

What is the status of one or multiple access certifications?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Access Certification Real Time

Job Roles
What are the job roles that need to be removed per user name?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Access Certification Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Risk Administrator
- User Access Certification Manager

Duty Roles

The following duty roles secure access to the data related to this business question:

- Access Certification Transaction Analysis Duty
What are the user name and job roles that require investigation?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- *Risk Management Cloud - Access Certification Real Time*

Job Roles

The following job roles secure access to the data related to this business question:

- *Risk Administrator*
- *User Access Certification Manager*

Duty Roles

The following duty roles secure access to the data related to this business question:

- *Access Certification Transaction Analysis Duty*

What are the user name and job roles that are pending?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- *Risk Management Cloud - Access Certification Real Time*

Job Roles

The following job roles secure access to the data related to this business question:

- *Risk Administrator*
Oracle Risk Management Cloud
Subject Areas for Transactional Business Intelligence in Risk
Management

Chapter 2
Business Questions

• User Access Certification Manager

Duty Roles
The following duty roles secure access to the data related to this business question:

• Access Certification Transaction Analysis Duty

How many access certifications are completed by Certifier?

Subject Areas
The following subject areas contain the folders and attributes needed to answer this business question:

• Risk Management Cloud - Access Certification Real Time

Job Roles
The following job roles secure access to the data related to this business question:

• Risk Administrator
• User Access Certification Manager

Duty Roles
The following duty roles secure access to the data related to this business question:

• Access Certification Transaction Analysis Duty

How many access certifications are completed by owner?

Subject Areas

ORACLE
The following subject areas contain the folders and attributes needed to answer this business question:

- *Risk Management Cloud - Access Certification Real Time*

**Job Roles**

The following job roles secure access to the data related to this business question:

- *Risk Administrator*
- *User Access Certification Manager*

**Duty Roles**

The following duty roles secure access to the data related to this business question:

- *Access Certification Transaction Analysis Duty*

### How many user name and job role combinations are being certified?

**Subject Areas**

The following subject areas contain the folders and attributes needed to answer this business question:

- *Risk Management Cloud - Access Certification Real Time*

**Job Roles**

The following job roles secure access to the data related to this business question:

- *Risk Administrator*
- *User Access Certification Manager*

**Duty Roles**

The following duty roles secure access to the data related to this business question:

- *Access Certification Transaction Analysis Duty*
What certifications were performed in the last two quarters?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Access Certification Real Time

Job Roles

The following job roles secure access to the data related to this business question:

- Risk Administrator
- User Access Certification Manager

Duty Roles

The following duty roles secure access to the data related to this business question:

- Access Certification Transaction Analysis Duty

What new user/role combinations have been certified this year versus last?

Subject Areas

The following subject areas contain the folders and attributes needed to answer this business question:

- Risk Management Cloud - Access Certification Real Time

Job Roles
The following job roles secure access to the data related to this business question:

- Risk Administrator
- User Access Certification Manager

Duty Roles

The following duty roles secure access to the data related to this business question:

- Access Certification Transaction Analysis Duty
Overview

For each job role in this chapter, links are provided for more detailed information about the duty roles, subject areas, and business questions associated with the job role.

Application Access Auditor

Code Name

ORA_GTG_APPLICATION_ACCESS_AUDITOR_JOB

Duty Roles

This job role is related to the following duty roles:

- Advanced Access Control Transaction Analysis Duty

Subject Areas

This job role secures access to the following subject areas:

- Risk Management Cloud - Advanced Access Controls Real Time

Business Questions

This job role secures access to data that can answer the following business questions:

- What SOD conflicts have been identified for users and roles?
- What access points are included in the entitlements?
- How many incidents were found in the last control run?
- What is the unique incident path count?
- What advanced controls have been defined?
- When was the last time the control was run?
- What Financial Reporting Compliance objects have the incidents been related to?
- How many incidents have been closed?
Application Control Manager

Code Name
ORA_GTG_APPLICATION_CONTROL_MANAGER_JOB

Duty Roles
This job role is related to the following duty roles:

- Advanced Financial Control Transaction Analysis Duty

Subject Areas
This job role secures access to the following subject areas:

- Risk Management Cloud - Advanced Financial Controls Real Time

Business Questions
This job role secures access to data that can answer the following business questions:

- What advanced controls have been defined?
- For a specific transaction control, what is the total transaction amount?
- How many incidents were found in the last control run?
- When was the last time the control was run?
- What Financial Reporting Compliance objects have the incidents been related to?
- How many incidents have been closed?
- How many incidents are assigned or in remediation with 0-30 days age?
- What incidents have been identified for a specified transaction control?
Compliance Manager

Code Name

ORA_GTG_COMPLIANCE_MANAGER_JOB

Duty Roles

This job role is related to the following duty roles:

- Financial Reporting Compliance Transaction Analysis Duty

Subject Areas

This job role secures access to the following subject areas:

- Risk Management Cloud - Assessment Results Real Time
- Risk Management Cloud - Compliance Real Time

Business Questions

This job role secures access to data that can answer the following business questions:

- What assessments have been defined?
- What assessment batches have been defined?
- When were assessments completed and closed?
- What is the risk and control matrix?
- What survey questions and responses are related to assessments?
- What controls are in scope for testing?
- What are the results of the control test plans?
- What Financial Reporting Compliance records have been defined?
- What is the severity and status of defined issues?
- When were assessments initiated?
- How many assessment transactions are not completed?
- What issues are defined, and what are their relationships to process, risk, and control records?
- What are the results for batch and impromptu assessments?
- Which assessments are overdue?
- What is the status for remediating defined issues?
Enterprise Risk and Control Manager

Code Name

ORA_GTG_ENTERPRISE_RISK_AND_CONTROL_MANAGER_JOB

Duty Roles

This job role is related to the following duty roles:

• Advanced Access Control Transaction Analysis Duty
• Advanced Financial Control Transaction Analysis Duty
• Financial Reporting Compliance Transaction Analysis Duty

Subject Areas

This job role secures access to the following subject areas:

• Risk Management Cloud - Advanced Access Controls Real Time
• Risk Management Cloud - Advanced Financial Controls Real Time
• Risk Management Cloud - Assessment Results Real Time
• Risk Management Cloud - Compliance Real Time

Business Questions

This job role secures access to data that can answer the following business questions:

• What SOD conflicts have been identified for users and roles?
• What assessments have been defined?
• What advanced controls have been defined?
• For a specific transaction control, what is the total transaction amount?
• What assessment batches have been defined?
• When were assessments completed and closed?
• What is the risk and control matrix?
• How many incidents were found in the last control run?
• What access points are included in the entitlements?
Chapter 3
Job Roles

- What is the unique incident path count?
- What survey questions and responses are related to assessments?
- What controls are in scope for testing?
- What advanced controls have been defined?
- What are the results of the control test plans?
- What Financial Reporting Compliance records have been defined?
- What is the severity and status of defined issues?
- When were assessments initiated?
- When was the last time the control was run?
- What Financial Reporting Compliance objects have the incidents been related to?
- How many incidents have been closed?
- How many incidents are assigned or in remediation with 0-30 days age?
- What incidents have been identified for a specified transaction control?
- How many assessment transactions are not completed?
- What issues are defined, and what are their relationships to process, risk, and control records?
- What are the results for batch and impromptu assessments?
- Which assessments are overdue?
- What is the status for remediating defined issues?
- When were key controls tested?
- What are the common incident details across all control types?
- What comments have been posted against the incidents?

Risk Activities Manager

Code Name
ORA_GTG_RISK_ACTIVITIES_MANAGER_JOB

Duty Roles

This job role is related to the following duty roles:

- Financial Reporting Compliance Transaction Analysis Duty

Subject Areas
This job role secures access to the following subject areas:

- *Risk Management Cloud - Assessment Results Real Time*
- *Risk Management Cloud - Compliance Real Time*

### Business Questions

This job role secures access to data that can answer the following business questions:

- What assessments have been defined?
- What assessment batches have been defined?
- When were assessments completed and closed?
- What is the risk and control matrix?
- What survey questions and responses are related to assessments?
- What controls are in scope for testing?
- What are the results of the control test plans?
- What Financial Reporting Compliance records have been defined?
- What is the severity and status of defined issues?
- When were assessments initiated?
- How many assessment transactions are not completed?
- What issues are defined, and what are their relationships to process, risk, and control records?
- What are the results for batch and impromptu assessments?
- Which assessments are overdue?
- What is the status for remediating defined issues?
- When were key controls tested?

### Risk Administrator

#### Code Name

**ORA_GTG_RISK_ADMINISTRATOR_JOB**

#### Duty Roles

This job role is related to the following duty roles:

- *Access Certification Transaction Analysis Duty*
• Advanced Access Control Transaction Analysis Duty
• Advanced Financial Control Transaction Analysis Duty
• Financial Reporting Compliance Transaction Analysis Duty

Subject Areas

This job role secures access to the following subject areas:

• Risk Management Cloud - Access Certification Real Time
• Risk Management Cloud - Advanced Access Controls Real Time
• Risk Management Cloud - Advanced Financial Controls Real Time
• Risk Management Cloud - Assessment Results Real Time
• Risk Management Cloud - Compliance Real Time

Business Questions

This job role secures access to data that can answer the following business questions:

• What SOD conflicts have been identified for users and roles?
• What assessments have been defined?
• What advanced controls have been defined?
• For a specific transaction control, what is the total transaction amount?
• What assessment batches have been defined?
• When were assessments completed and closed?
• What is the risk and control matrix?
• How many incidents were found in the last control run?
• What access points are included in the entitlements?
• What is the unique incident path count?
• What survey questions and responses are related to assessments?
• What controls are in scope for testing?
• What advanced controls have been defined?
• What are the job roles that need to be removed per user name?
• How many user name and job role combinations are being certified?
• What certifications were performed in the last two quarters?
• What are the results of the control test plans?
• What Financial Reporting Compliance records have been defined?
• What is the severity and status of defined issues?
• When were assessments initiated?
• When was the last time the control was run?
• What Financial Reporting Compliance objects have the incidents been related to?
• What is the status of one or multiple access certifications?
• What are the user name and job roles that are pending?
• How many access certifications are completed by Certifier?
• How many access certifications are completed by owner?
• How many incidents have been closed?
• How many incidents are assigned or in remediation with 0-30 days age?
• What incidents have been identified for a specified transaction control?
• What are the user name and job roles that require investigation?
• What new user/role combinations have been certified this year versus last?
• How many assessment transactions are not completed?
• What issues are defined, and what are their relationships to process, risk, and control records?
• What are the results for batch and impromptu assessments?
• Which assessments are overdue?
• What is the status for remediating defined issues?
• When were key controls tested?
• What are the common incident details across all control types?
• What comments have been posted against the incidents?

Risk Management Auditor

Code Name
ORA_GTG_RISK_MANAGEMENT_AUDITOR_JOB

Duty Roles
This job role is related to the following duty roles:
• Advanced Access Control Transaction Analysis Duty
• Advanced Financial Control Transaction Analysis Duty
• Financial Reporting Compliance Transaction Analysis Duty

Subject Areas
This job role secures access to the following subject areas:

- Risk Management Cloud - Advanced Access Controls Real Time
- Risk Management Cloud - Advanced Financial Controls Real Time
- Risk Management Cloud - Assessment Results Real Time
- Risk Management Cloud - Compliance Real Time

Business Questions

This job role secures access to data that can answer the following business questions:

- What SOD conflicts have been identified for users and roles?
- What assessments have been defined?
- What advanced controls have been defined?
- For a specific transaction control, what is the total transaction amount?
- What assessment batches have been defined?
- When were assessments completed and closed?
- What is the risk and control matrix?
- How many incidents were found in the last control run?
- What access points are included in the entitlements?
- What is the unique incident path count?
- What survey questions and responses are related to assessments?
- What controls are in scope for testing?
- What advanced controls have been defined?
- What are the results of the control test plans?
- What Financial Reporting Compliance records have been defined?
- What is the severity and status of defined issues?
- When were assessments initiated?
- When was the last time the control was run?
- What Financial Reporting Compliance objects have the incidents been related to?
- How many incidents have been closed?
- How many incidents are assigned or in remediation with 0-30 days age?
- What incidents have been identified for a specified transaction control?
- How many assessment transactions are not completed?
- What issues are defined, and what are their relationships to process, risk, and control records?
- What are the results for batch and impromptu assessments?
- Which assessments are overdue?
User Access Certification Manager

Code Name

ORA_GTR_USER_ACCESS_CERTIFICATION_MANAGER_JOB

Duty Roles

This job role is related to the following duty roles:

- Access Certification Transaction Analysis Duty

Subject Areas

This job role secures access to the following subject areas:

- Risk Management Cloud - Access Certification Real Time

Business Questions

This job role secures access to data that can answer the following business questions:

- What are the job roles that need to be removed per user name?
- How many user name and job role combinations are being certified?
- What certifications were performed in the last two quarters?
- What is the status of one or multiple access certifications?
- What are the user name and job roles that are pending?
- How many access certifications are completed by Certifier?
- How many access certifications are completed by owner?
- What are the user name and job roles that require investigation?
- What new user/role combinations have been certified this year versus last?
4 Duty Roles

Overview

For each duty role in this chapter, links are provided for more detailed information about the job roles, subject areas, and business questions associated with the duty role.

Access Certification Transaction Analysis Duty

Code Name

FBI.ACCESSCERTIFICATION.TRANSACTIONANALYSIS.DUTY

Job Roles

This duty role is related to the following job roles:

- Risk Administrator
- User Access Certification Manager

Subject Areas

This duty role secures access to the following subject areas:

- Risk Management Cloud - Access Certification Real Time

Business Questions

This duty role secures access to data that can answer the following business questions:

- What are the job roles that need to be removed per user name?
- How many user name and job role combinations are being certified?
- What certifications were performed in the last two quarters?
- What is the status of one or multiple access certifications?
- What are the user name and job roles that are pending?
- How many access certifications are completed by Certifier?
- How many access certifications are completed by owner?
Advanced Access Control Transaction Analysis Duty

Code Name

FBI_ADVANCED_ACCESS_CONTROL_TRANSACTION_ANALYSIS_DUTY

Job Roles

This duty role is related to the following job roles:

- Application Access Auditor
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Subject Areas

This duty role secures access to the following subject areas:

- Risk Management Cloud - Advanced Access Controls Real Time

Business Questions

This duty role secures access to data that can answer the following business questions:

- What SOD conflicts have been identified for users and roles?
- What access points are included in the entitlements?
- How many incidents were found in the last control run?
- What is the unique incident path count?
- What advanced controls have been defined?
- When was the last time the control was run?
- What Financial Reporting Compliance objects have the incidents been related to?
- How many incidents have been closed?
- How many incidents are assigned or in remediation with 0-30 days age?
- What are the common incident details across all control types?
- What comments have been posted against the incidents?
Advanced Financial Control Transaction Analysis Duty

Code Name

FBI_ADVANCED_FINANCIAL_CONTROL_TRANSACTION_ANALYSIS_DUTY

Job Roles

This duty role is related to the following job roles:

- Application Control Manager
- Enterprise Risk and Control Manager
- Risk Administrator
- Risk Management Auditor

Subject Areas

This duty role secures access to the following subject areas:

- Risk Management Cloud - Advanced Financial Controls Real Time

Business Questions

This duty role secures access to data that can answer the following business questions:

- What advanced controls have been defined?
- For a specific transaction control, what is the total transaction amount?
- How many incidents were found in the last control run?
- When was the last time the control was run?
- What Financial Reporting Compliance objects have the incidents been related to?
- How many incidents have been closed?
- How many incidents are assigned or in remediation with 0-30 days age?
- What incidents have been identified for a specified transaction control?
Financial Reporting Compliance Transaction Analysis Duty

Code Name

FBI_FINANCIAL_REPORTING_COMPLIANCE_TRANSACTION_ANALYSIS_DUTY

Job Roles

This duty role is related to the following job roles:

- Compliance Manager
- Enterprise Risk and Control Manager
- Risk Activities Manager
- Risk Administrator
- Risk Management Auditor

Subject Areas

This duty role secures access to the following subject areas:

- Risk Management Cloud - Assessment Results Real Time
- Risk Management Cloud - Compliance Real Time

Business Questions

This duty role secures access to data that can answer the following business questions:

- What assessments have been defined?
- What assessment batches have been defined?
- When were assessments completed and closed?
- What is the risk and control matrix?
- What survey questions and responses are related to assessments?
- What controls are in scope for testing?
- What are the results of the control test plans?
- What Financial Reporting Compliance records have been defined?
- What is the severity and status of defined issues?
• When were assessments initiated?
• How many assessment transactions are not completed?
• What issues are defined, and what are their relationships to process, risk, and control records?
• What are the results for batch and impromptu assessments?
• Which assessments are overdue?
• What is the status for remediating defined issues?
• When were key controls tested?