

Oracle® Grid Infrastructure

Upgrading Oracle Restart



21c for Microsoft Windows

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The Oracle logo, consisting of a solid red square with the word "ORACLE" in white, uppercase, sans-serif font centered within it.

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Oracle Grid Infrastructure Upgrading Oracle Restart, 21c for Microsoft Windows

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Preface

This scenario document explains how to upgrade Oracle Grid Infrastructure for a standalone server (Oracle Restart) to a later release.

- [Use Case Scenario for this Document](#)
- [Documentation Accessibility](#)

Use Case Scenario for this Document

Oracle Grid Infrastructure for a standalone server upgrade consists of upgrading Oracle Restart and Oracle Automatic Storage Management (Oracle ASM). Oracle Restart supports only out-of-place upgrades.

Prerequisites for this Scenario

- Before you start the Oracle Restart upgrade, ensure that you have administrative privileges.
- Download the Oracle Grid Infrastructure image file for the release to which you want to upgrade.

Outline for this Scenario

1. **Preparing Oracle Restart for Upgrade.** Identify the upgrade path for your installation and complete the required preupgrade checks.
2. **Upgrading and Patching Oracle Restart.** Use the Oracle Grid Infrastructure installer to upgrade and OPatchAuto to patch Oracle Restart.
3. **Downgrade Oracle Restart.** Downgrade Oracle Restart to an earlier release after a successful or a failed upgrade.

These steps correspond to the chapters in this scenario document.

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1

Preparing to Upgrade Oracle Restart

Before you upgrade Oracle Restart, determine the best upgrade path, and run the procedures that are described here to prepare for the upgrade.

Oracle recommends that you test the upgrade process and prepare a backup strategy.

- [Options and Restrictions for Oracle Restart Upgrades](#)
Review these upgrade options and restrictions when you upgrade to Oracle Grid Infrastructure for a standalone server (Oracle Restart) 21c.
- [Checks to Complete Before Upgrading Oracle Restart](#)
Complete these preupgrade checks to avoid issues during the Oracle Restart upgrade process.
- [Shutting Down the Database](#)
If your Oracle Database uses Oracle Automatic Storage Management (Oracle ASM) for storage, then shut down the database before upgrading Oracle Restart.

Options and Restrictions for Oracle Restart Upgrades

Review these upgrade options and restrictions when you upgrade to Oracle Grid Infrastructure for a standalone server (Oracle Restart) 21c.

Supported upgrade paths for Oracle Restart for this release are:

- Oracle Restart upgrade from 12c Release 2 (12.2) to Oracle Restart 21c.
- Oracle Restart upgrade from 18c to Oracle Restart 21c.
- Oracle Restart upgrade from 19c to Oracle Restart 21c.

Restrictions for Oracle Restart Upgrades

- Oracle Restart upgrades are always out-of-place upgrades. You cannot perform an in-place upgrade of Oracle Restart to an existing Grid home.
- The same user that owned the earlier release of the Oracle Restart software must perform the Oracle Restart 21c upgrade.
- Do not delete directories in the Grid home. For example, do not delete the directory `Grid_home\OPatch`. If you delete the directory, then the Oracle Restart installation owner cannot use the `OPatch` utility to patch the Grid home, and `OPatch` displays the error message "'checkdir' error: cannot create Grid_home\OPatch".
- The software in the 21c Oracle Restart home is not fully functional until the upgrade is complete. Running `srvctl`, `crsctl`, and other commands from the new Grid home are not supported until the the upgrade is complete.
- To manage databases in an existing earlier release database home during the Oracle Restart upgrade, use the `srvctl` utility from the existing database home.

Checks to Complete Before Upgrading Oracle Restart

Complete these preupgrade checks to avoid issues during the Oracle Restart upgrade process.

1. Review the new features for the Oracle Restart release to which you want to upgrade.
2. Ensure that you have all of the information you need for the upgrade. For example:
 - An Oracle base location for Oracle Restart.
 - An Oracle Restart home location that is different from your existing Oracle Restart home.
 - Privileged user operating system groups.
3. Unset the `%ORACLE_HOME%`, `%ORACLE_BASE%`, and `%ORACLE_SID%` environment variables because these environment variables are used during the upgrade. For example, as the `grid` user, run the following commands:

```
SET ORACLE_HOME=""
SET ORACLE_BASE=""
SET ORACLE_SID=""
```

4. Set the value of the `TNS_ADMIN` variable under environment variables to `NULL`.
5. Unset the `PATH` environment variable:
 - a. Right-click **My Computer**, and select Properties.
 - b. Select the Advanced system settings option in the right pane.
 - c. Select the Environment Variables option in the Advanced tab.
 - d. Select the `Path` variable and click **Edit**.
 - e. Click **Delete** in the Edit environment variable window, and click **OK** to confirm.

Related Topics

- *Learning Database New Features*

Shutting Down the Database

If your Oracle Database uses Oracle Automatic Storage Management (Oracle ASM) for storage, then shut down the database before upgrading Oracle Restart.

1. Log in as the Oracle Home user.
2. Shut down the Oracle Database instance.

```
C:\> Grid_home\bin\srvctl stop database -d database_unique_name
```

3. Ensure that your Oracle Database instance is shut down.

```
C:\> Grid_home\bin\srvctl status database -d database_unique_name
Database is not running.
```

2

Upgrading and Patching Oracle Restart

Learn how to upgrade Oracle Restart to a later release using the out-of-place upgrade mode and install the patches.

- [Steps to Upgrade Oracle Restart](#)
Complete this procedure to upgrade Oracle Grid Infrastructure for a standalone server (Oracle Restart) from an earlier release.
- [Verifying the Oracle Restart Software Version After Upgrade](#)
Check the software release version of Oracle Restart after the upgrade.
- [Patching Oracle Restart](#)
After you have upgraded to Oracle Grid Infrastructure for a standalone server (Oracle Restart) 21c, you can install individual software patches by downloading them from My Oracle Support.
- [Unlocking and Deinstalling the Previous Release Grid Home](#)
After upgrading from previous releases, you can deinstall the previous release Grid home.

Steps to Upgrade Oracle Restart

Complete this procedure to upgrade Oracle Grid Infrastructure for a standalone server (Oracle Restart) from an earlier release.

Be prepared to run root scripts before you start the upgrade.

1. As the Oracle Home user, download the Oracle Grid Infrastructure image files and extract the files to the Grid home.

For example:

```
C:\> md C:\app\grid\product\21.0.0\grid  
C:\> cd C:\app\grid\product\21.0.0\grid  
C:\> unzip -q download_location\grid_home.zip
```

download_location\grid_home.zip is the path of the downloaded Oracle Grid Infrastructure image file.

Note:

- You must extract the image software into the directory where you want your new Grid home to be located.

2. Start the Oracle Grid Infrastructure wizard:

```
C:\> C:\app\grid\product\21.0.0\grid\setup.exe
```

3. Select the **Upgrade Oracle Grid Infrastructure** option to upgrade Oracle Grid Infrastructure for a standalone server.
4. Select the installation options as prompted.

At any time during the upgrade, if you have a question about what you are being asked to do, or what input you are required to provide during the upgrade, then click the **Help** button on the installer window.

Verifying the Oracle Restart Software Version After Upgrade

Check the software release version of Oracle Restart after the upgrade.

1. Log in as the `grid` user.
2. Verify that Oracle Restart 21c is in use after the upgrade.

```
C:\> Grid_home\bin\crsctl query has softwareversion
Oracle High Availability Services version on the local node is
[21.0.0.0.0]
```

Patching Oracle Restart

After you have upgraded to Oracle Grid Infrastructure for a standalone server (Oracle Restart) 21c, you can install individual software patches by downloading them from My Oracle Support.

1. Download the patches that you want to apply from My Oracle Support:

<https://support.oracle.com>

Select the **Patches and Updates** tab to locate the patch.

Oracle recommends that you select **Recommended Patch Advisor**, and enter the product group, release, and platform for your software.

Place the patches in a shared directory that is accessible to all users.

2. Review the README file for the patch that you want to apply, and complete all of the required steps before installing the patch.
3. As the Administrator user, go to the `/OPatch` directory in the Grid home.

```
C:\> cd C:\app\grid\product\21.0.0\grid\OPatch
```

4. Install the version of the `OPatch` utility that is recommended in the README file for the patch.
5. Follow the instructions in the README file for the patch to apply the patch.

```
C:\> opatchauto apply patch_directory_location\patch_ID
```

6. As the `grid` user, verify the release patch number for your Oracle Restart.

```
C:\> Grid_home\bin\crsctl query has softwarepatch
```


The release patch number changes only for Release Update (RU) and Release Update Revision (RUR) patches.

Unlocking and Deinstalling the Previous Release Grid Home

After upgrading from previous releases, you can deinstall the previous release Grid home.

1. Navigate to the `bin` directory of the previous release Grid home.

```
C:\> cd C:\app\grid\product\19.0.0\grid\bin
```

2. Use the `deinstall` command to remove the previous release of Oracle Grid Infrastructure installation.

```
C:\app\grid\product\19.0.0\grid\bin:\> deinstall
```

Note:

Ensure that the services and directories of the older grid home are deleted.

Caution:

You must use the `deinstall` command from the same release to remove Oracle software. Do not run the `deinstall` command from a later release to remove Oracle software from an earlier release. For example, do not run the `deinstall` command from the 21.0.0.0.0 Grid home to remove Oracle software from an existing 19.0.0.0.0 Grid home.

3

Downgrading Oracle Restart

You can restore Oracle Grid Infrastructure for a standalone server (Oracle Restart) to the previous release after a successful or a failed upgrade.

- [Options and Restrictions for Oracle Restart Downgrades](#)
Review these downgrade options and restrictions when you downgrade Oracle Restart to an earlier release after a successful or a failed upgrade.
- [Downgrading Oracle Restart](#)
Use this procedure to deconfigure and downgrade Oracle Restart, or to troubleshoot Oracle Restart installation errors.

Options and Restrictions for Oracle Restart Downgrades

Review these downgrade options and restrictions when you downgrade Oracle Restart to an earlier release after a successful or a failed upgrade.

Downgrade options include the following earlier releases:

- Oracle Restart downgrade to Oracle Restart 19c.
- Oracle Restart downgrade to Oracle Restart 18c.
- Oracle Restart downgrade to Oracle Restart 12c Release 2 (12.2).

Restrictions for Oracle Restart Downgrades

- You can downgrade Oracle Restart to an earlier release only if you did not make any configuration changes after the upgrade.
- You can only downgrade to the Oracle Restart release you upgraded from. For example, if you upgraded from Oracle Restart 19c to Oracle Restart 21c, then you can only downgrade to Oracle Restart 19c.

Downgrading Oracle Restart

Use this procedure to deconfigure and downgrade Oracle Restart, or to troubleshoot Oracle Restart installation errors.

Running `roothas.bat` with the command flags `-deconfig -force` enables you to deconfigure Oracle Restart without removing the installed binaries. This feature is useful if you encounter an error during an Oracle Grid Infrastructure for a standalone server installation. For example, when you run the `root.sh` command, and find a missing operating system package. By running `roothas.bat -deconfig -force`, you can deconfigure Oracle Restart, correct the cause of the error, and then run `root.sh` again.

1. As the `oracle` user, create a backup of the SPFILE to a PFILE.

```
CREATE PFILE='C:\app\oracle\product\21.0.0\dbhome_1\dfs\test_init.ora'  
FROM SPFILE='C:\oracle\dfs\test_spfile.ora';
```

2. List all the Oracle databases on the server with their version, unique name of the database, and Oracle home information.

```
C:\> srvctl config database -home
```

3. Downgrade Oracle Database. Refer to *Oracle Database Upgrade Guide* for more information about required pre-downgrade tasks, downgrade tasks, post-downgrade tasks, and compatibility information.

 **Note:**

Downgrade Oracle Database only if the Oracle Database version is higher than the Oracle Restart version to which you are downgrading Oracle Restart.

4. As the `oracle` user, downgrade the Oracle Restart resources if you have downgraded your Oracle Database.

```
C:\> srvctl downgrade database -d db_unique_name -oraclehome  
%ORACLE_HOME% -t to_version
```

5. Inspect the Oracle Restart configuration of each database, service, and listener.

```
C:\> srvctl config database -db db_unique_name  
C:\> srvctl config service -db db_unique_name  
C:\> srvctl config listener -listener listener_name
```

Make a note of the configuration information and use this information when adding the components back to Oracle Restart.

6. Stop all databases and listeners that are running before you deconfigure or downgrade Oracle Restart.

```
C:\> srvctl stop database -db db_unique_name  
C:\> srvctl stop listener [-listener listener_name]
```

7. As the `root` user, run `roothas.bat` with the `-deconfig -force` flags to deconfigure Oracle Restart.

```
C:\> C:\app\oracle\product\21.0.0\grid\crs\install\roothas.bat -  
deconfig -force
```

8. As the `grid` user, update the Oracle central inventory (oraInventory).

```
C:\> C:\app\oracle\product\21.0.0\grid\oui\bin\setup.exe -  
updateNodeList -silent ORACLE_HOME=upgraded_Grid_home -local  
CRS=false
```

9. As the `root` user, run `roothas.bat` with the `-unlock` flag to unlock the previous release Oracle Restart home.

```
C:\> C:\app\oracle\product\19.0.0\grid\crs\install\roothas.bat -unlock -  
dstcrshome previous_release_Grid_home
```

10. As the `grid` user, reconfigure the previous release Oracle Restart home using the `setup.exe` command.

```
C:\> C:\app\oracle\product\19.0.0\grid\setup.exe
```

11. As the `oracle` user, add the components back to Oracle Restart with the same attributes that you noted down before deconfiguring Oracle Restart.

- a. Add Oracle Database to the Oracle Restart configuration.

```
C:\> srvctl add database -db db_unique_name -oraclehome Oracle_home
```

- b. Add the listener to the Oracle Restart configuration.

```
C:\> srvctl add listener -listener listener_name -oraclehome  
Oracle_home
```

For the `-oraclehome` parameter, provide the Oracle home path from which the listener was running before the downgrade.

- c. Add each service to the database, using the `srvctl add service` command.

```
C:\> srvctl add service -db db_unique_name -service service_name_list
```

Related Topics

- [Oracle Database Upgrade Guide](#)