Oracle Banking Trade Finance Process Management Cloud Service

Lodged Claim - Guarantee/SBLC Advised User Guide

Release 14.8.1.0.0
G46301-01
October 2025

ORACLE

Oracle Banking Trade Finance Process Management Cloud Service Lodged Claim - Guarantee/SBLC Advised User Guide, Release 14.8.1.0.0

G46301-01

Copyright © 2025, 2025, Oracle and/or its affiliates.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish, or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

If this is software, software documentation, data (as defined in the Federal Acquisition Regulation), or related documentation that is delivered to the U.S. Government or anyone licensing it on behalf of the U.S. Government, then the following notice is applicable:

U.S. GOVERNMENT END USERS: Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs) and Oracle computer documentation or other Oracle data delivered to or accessed by U.S. Government end users are "commercial computer software," "commercial computer software documentation," or "limited rights data" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, the use, reproduction, duplication, release, display, disclosure, modification, preparation of derivative works, and/or adaptation of i) Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs), ii) Oracle computer documentation and/or iii) other Oracle data, is subject to the rights and limitations specified in the license contained in the applicable contract. The terms governing the U.S. Government's use of Oracle cloud services are defined by the applicable contract for such services. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate fail-safe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

Oracle®, Java, MySQL, and NetSuite are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Inside are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Epyc, and the AMD logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group.

This software or hardware and documentation may provide access to or information about content, products, and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services unless otherwise set forth in an applicable agreement between you and Oracle. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services, except as set forth in an applicable agreement between you and Oracle.

Contents

Preface

Purpose i
Audience i
Documentation Accessibility i
Critical Patches ii
Diversity and Inclusion ii
Structure ii
Related Documents ii
Conventions ii
Screenshot Disclaimer iii
Acronyms and Abbreviations iii
Symbols and Icons iii
Basic Actions v

1 Oracle Banking Trade Finance Process Management Cloud Service

2 Lodge Claim - Guarantee Advised

2.1 Common Initiation Stage 1
2.2 Registration 2
2.2.1 Document Linkage 12
2.2.2 Bi-Directional Flow for Offline Transactions Initiated from Oracle Banking Trade Finance Process Management Cloud Service 17
2.3 Data Enrichment 17
2.3.1 Main Details 19
2.3.2 Claim Details 27
2.3.3 Document Details 32
2.3.4 Advices 35
2.3.5 Additional Details 39
2.3.6 Settlement Details 56
2.3.7 Summary 61
2.4 Exceptions 64

Copyright © 2025, 2025, Oracle and/or its affiliates.

Index

Copyright © 2025, 2025, Oracle and/or its affiliates.

Preface

- Purpose
- Audience
  This document is intended for the following audience:
- Documentation Accessibility
- Critical Patches
- Diversity and Inclusion
- Structure
  This manual is organized into the following chapters:
- Related Documents
- Conventions
- Screenshot Disclaimer
- Acronyms and Abbreviations
- Symbols and Icons
- Basic Actions

Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management Cloud Service **Lodge Claim - Guarantee Advised** process.

Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

- Access to Oracle Support

Copyright © 2025, 2025, Oracle and/or its affiliates.

Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and BulletinsCritical Patches, Security Alerts and Bulletins. All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security AssuranceOracle Software Security Assurance.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Convention	Meaning
`monospace`	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Table 1 Acronyms and Abbreviations

Abbreviation	Description
OBTFPM	Oracle Banking Trade Finance Process Management
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
CCY	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 2 Symbols and Icons - Common

Symbol/Icon	Function
	Minimize
	Maximize
	Close
	Perform Search

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2 (Cont.) Symbols and Icons - Common

Symbol/Icon	Function
	Open a list
	Date Range
	Add a new record
	Navigate to the first record
	Navigate to the last record
	Navigate to the previous record
	Navigate to the next record
	Grid view
	List view
	Refresh
	Click this icon to add a new row.
	Click this icon to delete a row, which is already added.
	Calendar
	Alerts
	Unlock Option
	View Option

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2 (Cont.) Symbols and Icons - Common

Symbol/Icon	Function
	Reopen Option

Table 3 Symbols and Icons - Widget

Symbol/Icon	Function
	Open status
	Unauthorized status
	Rejected status
	Closed status
	Authorized status
	Modification Number

Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 4 Common Action Buttons and its Definitions

Action Buttons	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Click **Cancel** to cancel the transaction input midway without saving any data.
Save & Close	Click **Save & Close** to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Next	Click **Next**, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Submit	Click **Submit** to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

Copyright © 2025, 2025, Oracle and/or its affiliates.

1

Oracle Banking Trade Finance Process Management Cloud Service

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management Cloud Service process.

Welcome to the Oracle Banking Trade Finance Process Management Cloud Service User Guide. This guide provides an overview on the OBTFPMCS application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPMCS:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

Oracle Banking Trade Finance Process Management Cloud Service is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. Oracle Banking Trade Finance Process Management Cloud Service enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

Oracle Banking Trade Finance Process Management Cloud Service helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPMCS allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank’s existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Copyright © 2025, 2025, Oracle and/or its affiliates.

2

Lodge Claim - Guarantee Advised

This User Manual describes the various stages of Lodge Claim - Guarantee Advised process.

As part of Lodge Claim - Guarantee advised process, the applicant can lodge a claim against the Guarantee/SBLC advised.

The system is enabled to process the claim for the Bank Guarantee which is not advised by the bank.

The various scenarios to lodge the complaint against the guarantee issued:

- Claim received at Advising Bank from Beneficiary
- Claim received at Advising Bank from Advise through Bank
- Claim received at Advise through Bank from Beneficiary
- Claim received at Advise through Bank from Beneficiary
- Claims received from the Advising through Bank (ATB) , Claiming Bank (Presenting Bank) or directly from the Beneficiary

In the subsequent sections, let's look at the details for Lodge Claim - Guarantee Advised process:

This topic contains following subtopics:

- Common Initiation Stage
  This topic provides the systematic instructions to initiate the new Lodge Claim Guarantee Advised Islamic request.
- Registration
  This topic provides the systematic instructions to initiate the **Registration** stage of **Lodge Claim Guarantee Advised** request.
- Data Enrichment
  This topic provides the systematic instructions to initiate the Data Enrichment stage of Lodge Claim Guarantee Advised request.
- Exceptions
  This topic helps you quickly get acquainted with the Exceptions process.
- Multi Level Approval
  This topic helps you quickly get acquainted with the Multi Level Approval process.

2.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the new Lodge Claim Guarantee Advised Islamic request.

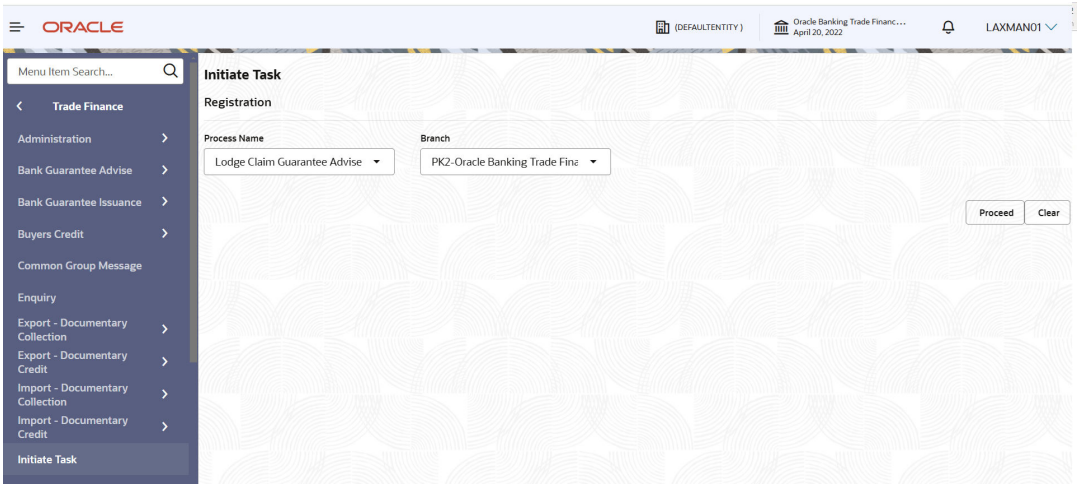
Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Initiate Task**.

   The **Initiate Task** screen appears.

Figure 2-1 Initiate Task

Copyright © 2025, 2025, Oracle and/or its affiliates.



2. On **Initiate Task** screen, specify the fields.

> **Note**
>
> The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-1 Initiate Task - Field Description

Field	Description
Process Name	Select a process name from the drop-down list.
Branch	Select the required branch code from the drop-down list.

For more information on action buttons, refer to the field description table below.

Table 2-2 Action Buttons - Field Description

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	Click to clear the contents update and enter the values again.

3. Click **Proceed** to proceed to the next step.

2.2 Registration

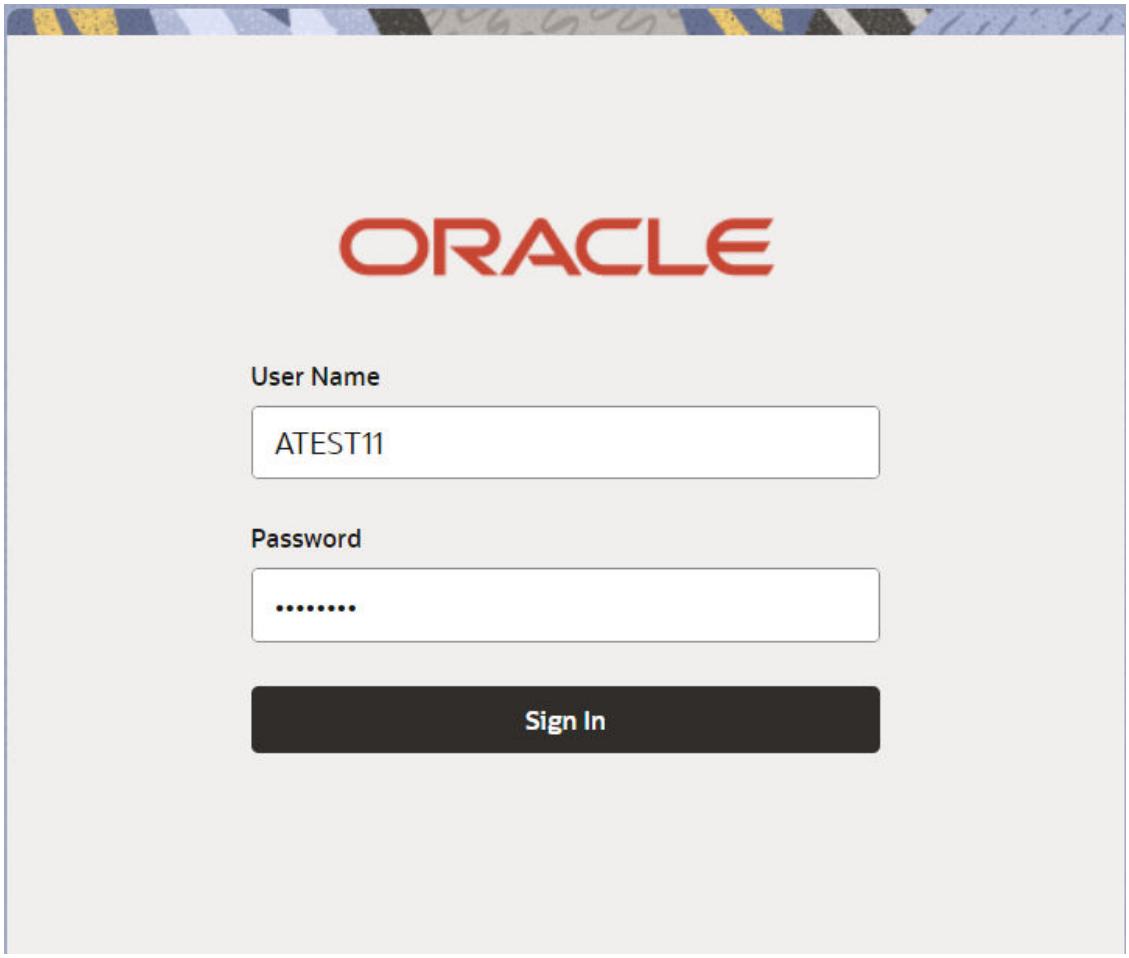
This topic provides the systematic instructions to initiate the **Registration** stage of **Lodge Claim Guarantee Advised** request.

During the Registration stage, the user can register a claim request against the Guarantee/ SBLC advised.
The user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Specify **User ID** and **Password**, and login to **Home** screen.

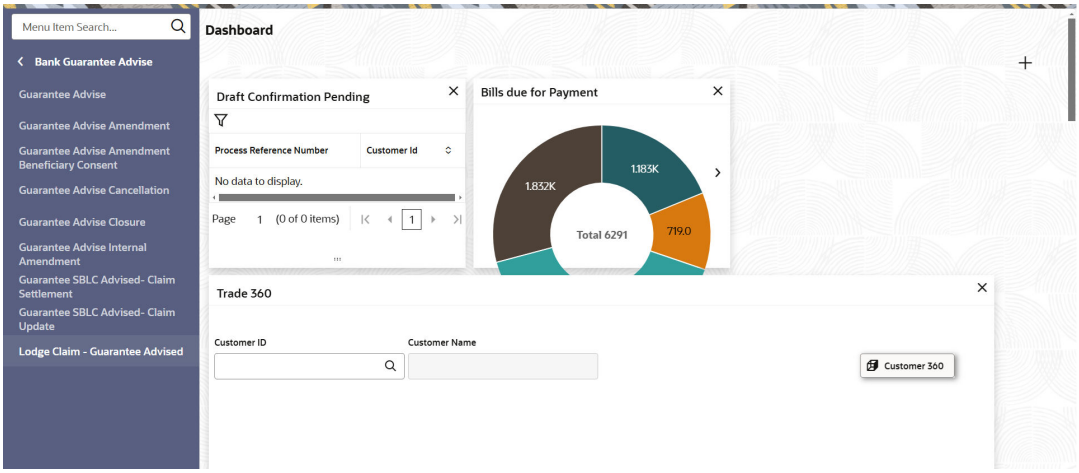
Figure 2-2 LogIn Screen



1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Bank Guarantee Advised**.
2. Under **Bank Guarantee Advise**, click **Lodge Claim Guarantee Advised**.

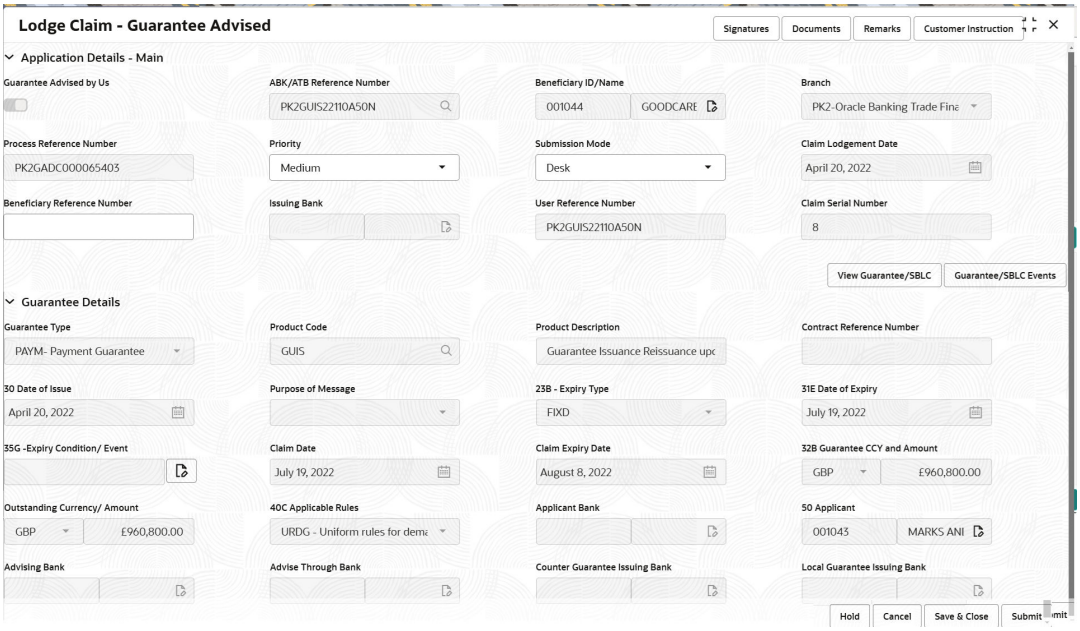
Copyright © 2025, 2025, Oracle and/or its affiliates.

Figure 2-3 Lodge Claim Guarantee Advised



The **Lodge Claim Guarantee Advised** screen appears.
The Lodge Claim Guarantee Advised - Registration stage has two sections Application Details and Guarantee Details. Let's look at the details of Registration screens below:

Figure 2-4 Lodge Claim Guarantee Advised - Registration - Application Details



3. On **Lodge Claim Guarantee Advised - Registration - Application Details** screen, specify the fields.

> **Note**
>
> The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-3 Lodge Claim Guarantee Advised - Registration - Application Details

Field	Description
Guarantee Advised by Us	Disable the option, if Guarantee not Advised by us. If the toggle is disabled, system enables the input of values for all the fields in the Main Details section. System also hide/disable the ABK/ATB Reference Number. By default this Toggle button is enabled.
ABK/ATB Reference Number	Specify the Advising Bank/Advise Through Bank Guarantee Reference number. Alternatively, click **Search** to search and select the Advising Bank/Advise Through Bank Guarantee Reference number from the look-up. This field is disabled, if **Guarantee Advised by Us** toggle is disabled.
Beneficiary ID/Name	Read only field. System defaults the beneficiary ID/ Name from Guarantee/ SBLC Advise, if **Guarantee Advised by Us** toggle is enabled. Specify the beneficiary Id/name or click **Search** to search and select the customer id value from the look up, if **Guarantee Advised by Us** toggle is disabled. Once user input or select the customer id value from the look-up and on tab out, the process reference number will be generated.
Branch	Read only field. System defaults the branch name from Guarantee /SBLC Advise. Customer’s home branch will be displayed.
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of Registration stage.

Copyright © 2025, 2025, Oracle and/or its affiliates.

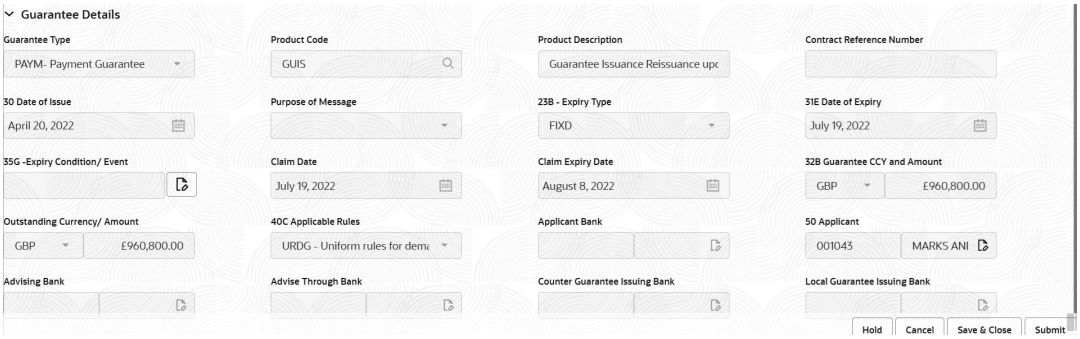
Table 2-3 (Cont.) Lodge Claim Guarantee Advised - Registration - Application Details

Field	Description
Submission Mode	Submission Mode is defaulted as per maintenance. If not maintained, then system is to populate the default submission mode. By default the submission mode will have the value as 'Desk'. Select the submission mode of Guarantee advise request from the drop-down list. The values are: • **Desk** - Request received through Desk • **Fax**- Request received through Fax • **Email**- Request received through Email • **Courier** - Request received through Courier • **SWIFT-Non STP** - Request received through SWIFT-Non STP
Claim Lodgement Date	Read only field. By default, the application will display branch's current date.
Beneficiary Reference Number	Specify the Beneficiary Reference Number, if **Guarantee Advised by Us** toggle is disabled. System defaults the Beneficiary Reference number, if **Guarantee Advised by Us** toggle button is enabled. User can change the Beneficiary Reference number.
Issuing Bank	Read only field. System defaults the issuing bank from Guarantee/ SBLC Advise (applicable for CTB,LTB), if **Guarantee Advised by Us** toggle button is enabled. Specify the Beneficiary Reference Number, if **Guarantee Advised by Us** toggle is disabled. Specify or click **Search** to search and select the the issuing bank id value from the look-up. User to input the Customer Reference Number to capture the Issuing Bank's Reference. Except Customer Id and Customer Name, other fields are editable. In case of walkin id, customer name can also be editable.
User Reference Number	Read only field. System defaults the user reference number, depending on the selection of product codeABK/ATB Reference Number.
Claim Serial Number	Read only field. System defaults the claim serial number from Guarantee/ SBLC Advise, if **Guarantee Advised by Us** toggle button is enabled. This should be the latest claim number available in back-end system +1. Specify the claim serial number (to validate with Back office system if data is available), if **Guarantee Advised by Us** toggle is disabled. This field will be editable when the toggle button **Guarantee Advised by Us** is disabled. This is a mandatory field.

Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.

Copyright © 2025, 2025, Oracle and/or its affiliates.



4. On **Lodge Claim Guarantee Advised - Registration - Guarantee Details** screen, specify the fields.

> **Note**
>
> The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-4 Lodge Claim Guarantee Advised - Registration - Guarantee Details - Field Description

Field	Description
Guarantee Type	Read only field. Guarantee type defaults from Guarantee/ Standby Advise, if **Guarantee Advised by Us** toggle button is enabled. Select the type of Guarantee, if **Guarantee Advised by Us** toggle is disabled from the following drop-down. The values are: • **APAY** - Advance Payment Guarantee • **BILL** - Bill of Lading Guarantee • **CUST** - Customs Guarantee • **DPAY** - Direct pay Guarantee • **INSU** - Insurance Guarantee • **JUDI** - Judicial Guarantee • **LEAS** - Lease Guarantee • **OTHR** - Other Guarantee • **PAYM** - Payment Guarantee • **PERF**- Performance Guarantee • **RETN** - Retention Guarantee • **SHIP** - Shipping Guarantee • **TEND** - Tender Guarantee • **WARR** - Warranty/Maintenance
Product Code	click **Search** to search and select the product codes related to Product Type A (Guarantee Advise) and B (SBLC Advise) from the look-upif **Guarantee Advised by Us** toggle is disabled. System defaults the product code,, if **Guarantee Advised by Us** toggle is enabled.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-4 (Cont.) Lodge Claim Guarantee Advised - Registration - Guarantee Details - Field Description

Field	Description
Product Description	Read only field. Product description is populated depending on the product code selection, if **Guarantee Advised by Us** toggle button is disabled. System defaults the product description, if **Guarantee Advised by Us** toggle is enabled.
Contract Reference Number	Read only field. The system will generate the Guarantee Number once the product code is selected by the user.
Date of Issue	Read only field. System defaults the date of issue from Guarantee /SBLC Advise. This field is editable, if **Guarantee Advised by Us** toggle button is disabled. The system to default it to branch date, User can modify it.
Purpose of Message	Read only field. System defaults the purpose of message from Guarantee/ Standby Advise. ACNF - Advice and confirmation of issued undertaking is not applicable. Hence, the system will default the Purpose of Message as ADVI - Advice of issued undertaking, if **Guarantee Advised by Us** toggle button is disabled
Expiry Type	Read only field. System defaults the expiry type from Guarantee /SBLC Advise, if **Guarantee Advised by Us** toggle is enabled. This field indicates whether undertaking has specified expiry date or is open-ended. System defaults the expiry type from Guarantee/ SBLC Advised. This field is editable if **Guarantee Advised by Us** toggle button is disabled. Select the type of Expiry from the drop-down list. The options are: • **Fixed** • **Open** • **Conditional**
Date of Expiry	Read only field. System defaults the expiry date of the Guarantee Advised, if **Guarantee Advised by Us** toggle is enabled. This field is editable if **Guarantee Advised by Us** toggle button is disabled. Specify the expiry date.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-4 (Cont.) Lodge Claim Guarantee Advised - Registration - Guarantee Details - Field Description

Field	Description
Expiry Condition/Event	Read only field. System defaults the value from Guarantee Advise, if **Guarantee Advised by Us** toggle is enabled. This field is editable if **Guarantee Advised by Us** toggle button is disabled. This field is enabled, if **Expiry Type** is Conditional, this field will appear and user needs to input the condition
Claim Date	Read only field. System defaults the claim date from Guarantee/ SBLC Advise, if **Guarantee Advised by Us**toggle is enabled. Specify the claim date, if **Guarantee Advised by Us** toggle button is disabled.
Claim Expiry Date	Read only field. System defaults the claim expiry date from Guarantee/ SBLC Advise, if **Guarantee Advised by Us** toggle is enabled. Specify the claim expiry date, if **Guarantee Advised by Us** toggle button is disabled.
Outstanding Currency/ Amount	Read only field. System defaults outstanding currency and amount from Guarantee/ Standby Advise, if **Guarantee Advised by Us** toggle is enabled. Specify the contract amount and select the currency from the drop-down list of available currency codes, if **Guarantee Advised by Us** toggle button is disabled.
Applicable Rules	Read only field. System defaults the rules of the Guarantee/ Standby Advise, if **Guarantee Advised by Us** toggle is enabled. This field is editable, if **Guarantee Advised by Us** toggle button is disabled. It will be defaulted from Product Maintenance. User can change to following values using look-up: • **ISPR** - International Standby Practices • **UCPR** - Uniform customs and Practices • **URDG** - Uniform rules for demand guarantees • **NONE** - Not subject to any rules • **OTHR** - Others value from Guarantee issuance details.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-4 (Cont.) Lodge Claim Guarantee Advised - Registration - Guarantee Details - Field Description

Field	Description
Applicant Bank	Read only field. This system defaults the applicant bank details available in Guarantee Advise. **Note** This field does not appear if the toggle button **Guarantee Advised by Us** is disabled.
Applicant	Read only field. System defaults the applicant from Guarantee Advise, if **Guarantee Advised by Us** toggle is enabled. This field is editable, if **Guarantee Advised by Us** toggle button is disabled. Specify the applicant details.
Advising Bank	Read only field. System defaults the details of the advising bank, if available from Guarantee Advise, if **Guarantee Advised by Us** toggle is enabled. This field is editable, if **Guarantee Advised by Us** toggle button is disabled. Specify the Customer Reference Number to capture the Advising Bank's Contract Reference Number. Except Customer Id and Customer Name, other fields are editable. In case of walkin id, customer name can also be editable. If toggle button is enabled, this field should capture the Advising Bank (if any) and is grayed out.
Advising Through Bank	Read only field. System defaults the value of advising through bank defaults from Guarantee/ Standby Advise, if available, if **Guarantee Advised by Us** toggle is enabled. This field is editable, if **Guarantee Advised by Us** toggle button is disabled. Specify the Advise through bank details manually. If toggle button is enabled, this field should capture the Advising Bank (if any) and is greyed out.
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank, if available. **Note** This field does not appear if the toggle button **Guarantee Advised by Us** is disabled.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-4 (Cont.) Lodge Claim Guarantee Advised - Registration - Guarantee Details - Field Description

Field	Description
Local Guarantee Issuing Bank	Read only field. System defaults the loacl guarantee issuing bank, if available. **Note** This field does not appear if the toggle button **Guarantee Advised by Us** is disabled.

5. Click **Submit**.

The task will move to next logical stage of **Lodge Claim Guarantee Advised**.
For more information on action buttons, refer to the field description table below.

Table 2-5 Lodge Claim Guarantee Advised - Registration - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Documents	Upload the documents received. Application displays mandatory documents to be uploaded for Lodge Claim Guarantee Advised. Place holders are also available to upload additional documents submitted by the applicant
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advised. This information can be viewed by other users processing the request.
Customer Instruction	Click to view/ input the following: • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View Guarantee/SBLC	Clicking this button allows the user to view the underlying Guarantee/ SBLC from the back office system.
Guarantee/SBLC Events	Clicking Guarantee/SBLC Events button allows the user to view the snapshot of various events under the Lodge Claim Guarantee Advised.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-5 (Cont.) Lodge Claim Guarantee Advised - Registration - Action Buttons - Field Description

Field	Description
Cancel	Cancels the Lodge Claim Guarantee Advised task. Details entered will not be saved and the task will be removed.
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.
Submit	Click Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. The task will move to next logical stage of Lodge Claim Guarantee Advised. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

- Document Linkage
  This topic provides the systematic instructions to initiate the document linkage.
- Bi-Directional Flow for Offline Transactions Initiated from Oracle Banking Trade Finance Process Management Cloud Service
  This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from Oracle Banking Trade Finance Process Management Cloud Service.

2.2.1 Document Linkage

This topic provides the systematic instructions to initiate the document linkage.

In Oracle Banking Trade Finance Process Management Cloud Service, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/ queried in the Process flow stage screens to link with the task by using the Document ID.

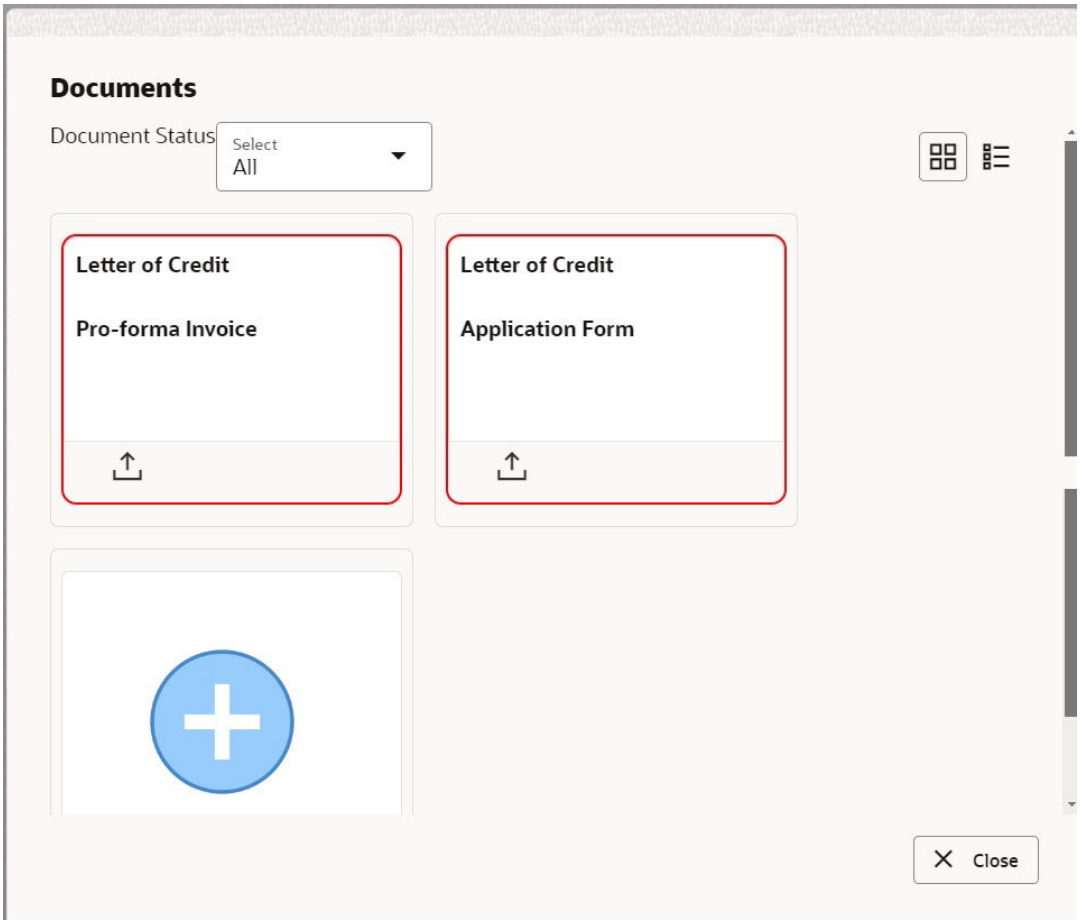
System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

Specify **User ID** and **Password**, and navigate to **Registration** screen.

1. On the header of **Registration** screen, click, **Documents**.

Copyright © 2025, 2025, Oracle and/or its affiliates.

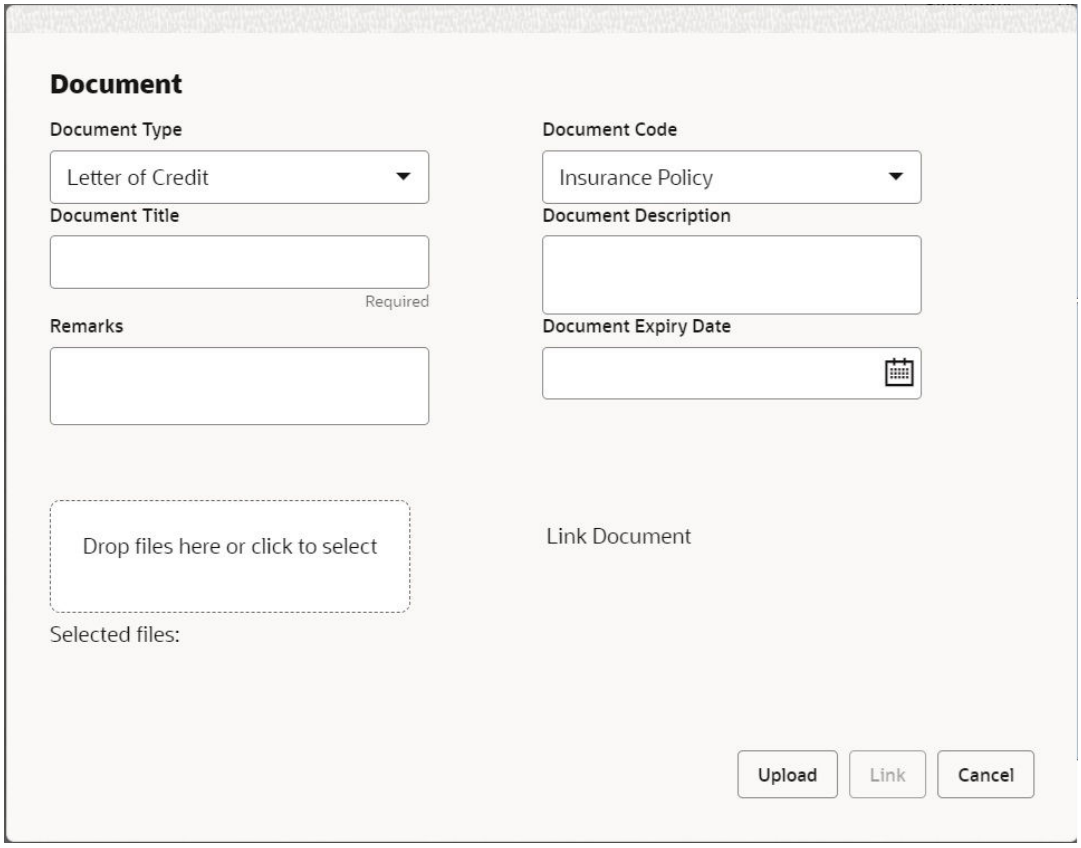
The **Document** pop-up screen is displayed.



2. Click the **Add Additional Documents** button/ link.

Copyright © 2025, 2025, Oracle and/or its affiliates.

The **Document** screen is displayed.

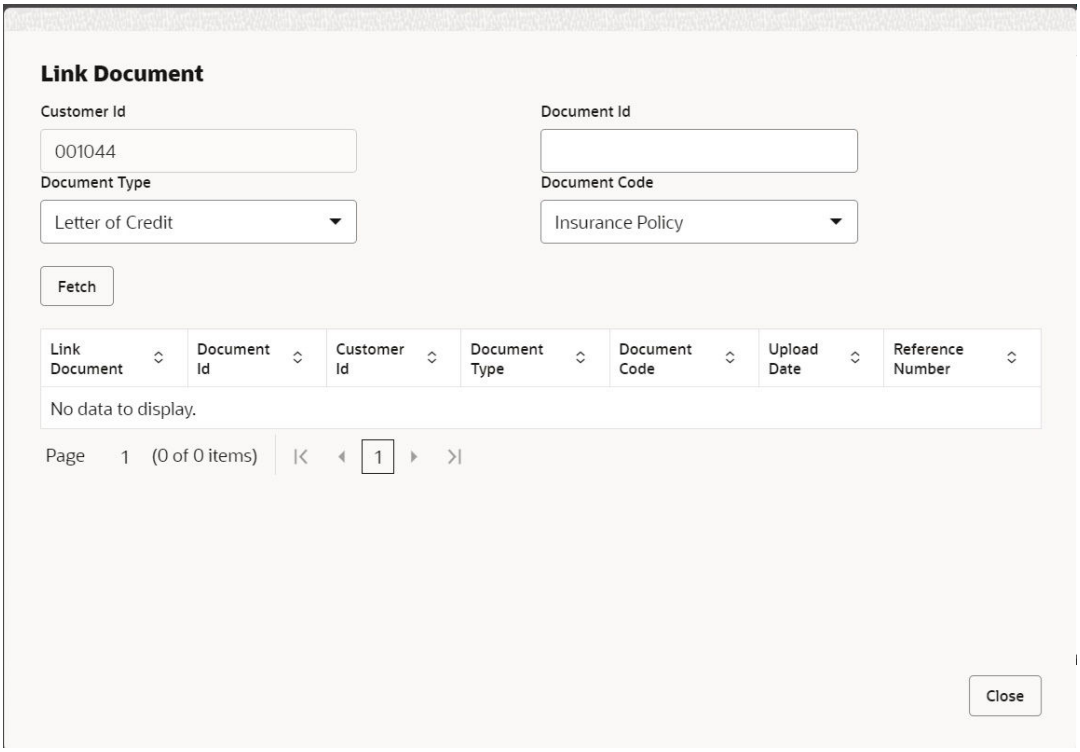


Field	Description
Document Type	Select the document type from list. Indicates the document type from metadata.
Document Code	Select the document Code from list. Indicates the document Code from metadata.
Document Title	Specify the document title.
Document Description	Specify the document description.
Remarks	Specify the remarks.
Document Expiry Date	Select the document expiry date.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.

3. Select the document to be uploaded or linked and click the **Link Document** link.

   The link **Document** pop up screen is displayed.
   The value selected in **Document Type** and **Document Code** of **Document** screen are defaulted in the **Link Document Search** screen.

Copyright © 2025, 2025, Oracle and/or its affiliates.



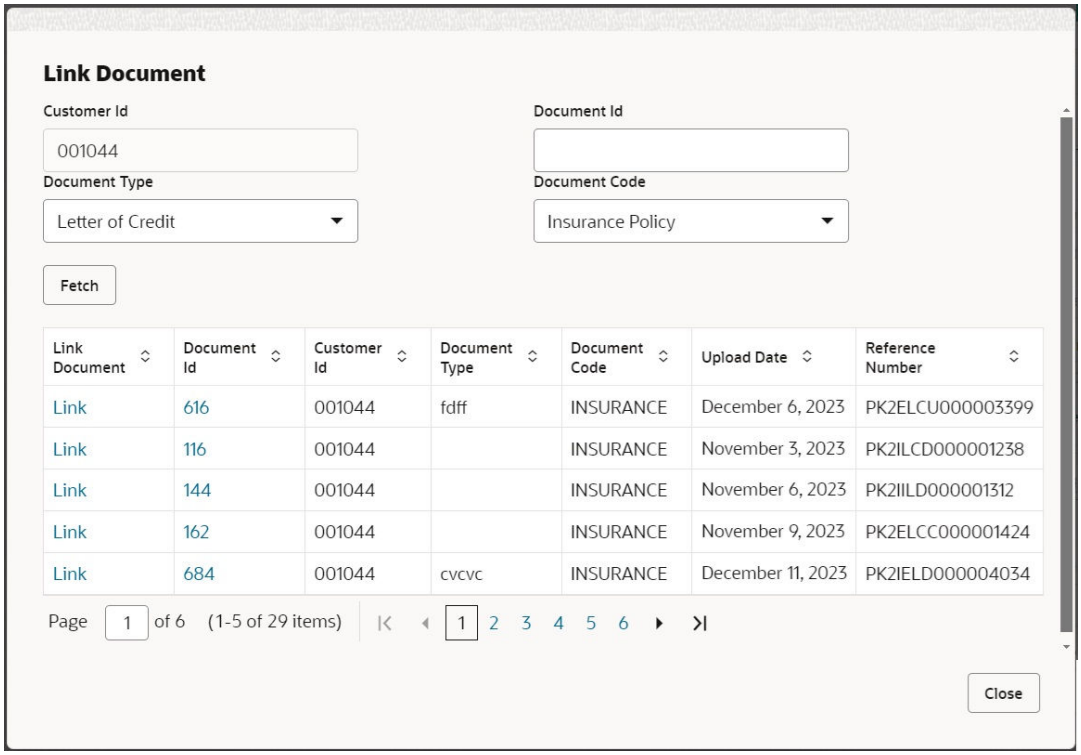
4. Click **Fetch** to retrieve the details from DMS.

   System displays all the documents available for the given **Document Type** and **Document Code** for the customer.

Field	Description
Customer ID	This field displays the transaction Customer ID.
Document ID	Specify the document ID.
Document Type	Select the document type from list.
Document Code	Select the document Code from list.
Search Result	
Document ID	This field displays the document ID from metadata.
Customer ID	This field displays the transaction customer ID.
Document Type	This field displays the document type from metadata.
Document Code	This field displays the document code from metadata.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.
Upload Date	The field displays the upload date of the document.
Reference Number	The field displays the reference number of the document.

5. Click **Link** to link the particular document required for the current transaction.

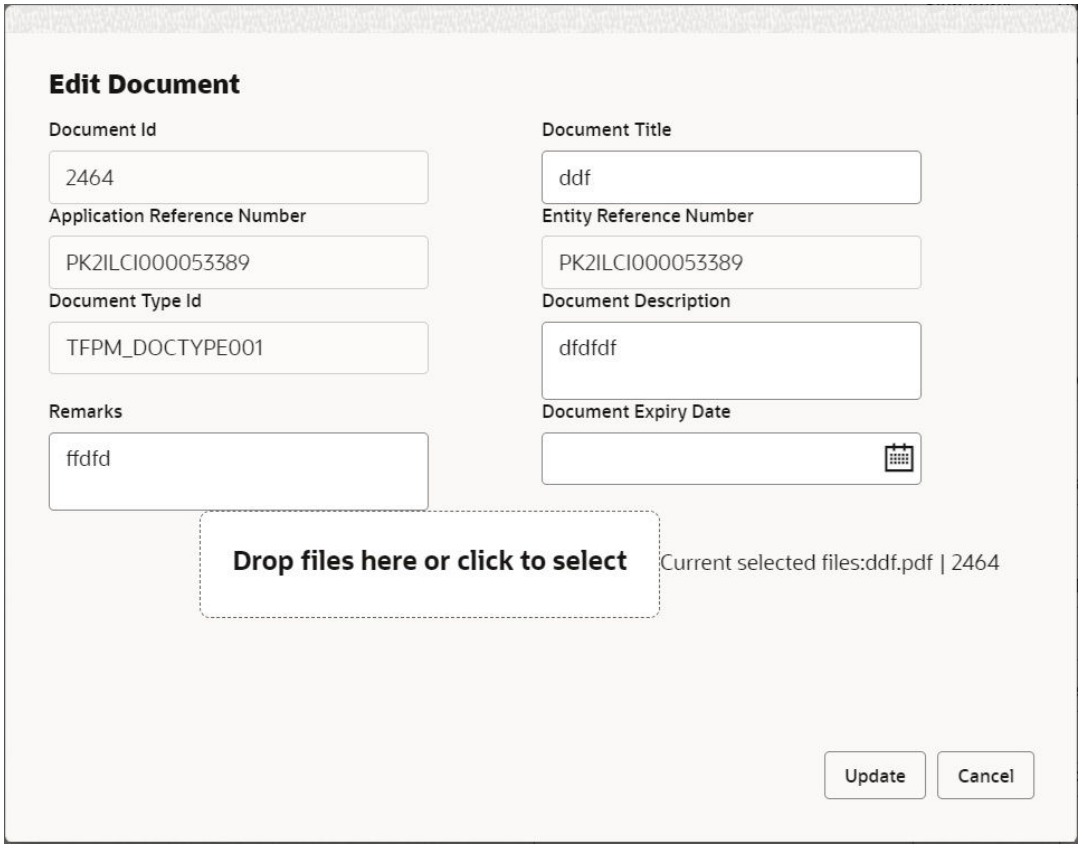
Copyright © 2025, 2025, Oracle and/or its affiliates.



Post linking the document, the user can View, Edit and Download the document.

6. Click **Edit** icon to edit the documents.

The **Edit Document** screen is displayed.



Copyright © 2025, 2025, Oracle and/or its affiliates.

2.2.2 Bi-Directional Flow for Offline Transactions Initiated from Oracle Banking Trade Finance Process Management Cloud Service

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from Oracle Banking Trade Finance Process Management Cloud Service.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in Oracle Banking Trade Finance Process Management Cloud Service upon request received from the customer.
Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to Oracle Banking Trade Finance Process Management Cloud Service.
- Task is initiated in Oracle Banking Trade Finance Process Management Cloud Service, Customer ID is captured/populated and Process Reference Number is generated.

1. Customer Maintenance details are replicated from OBTF to Oracle Banking Trade Finance Process Management Cloud Service.
2. In Oracle Banking Trade Finance Process Management Cloud Service, user clicks **Request Clarification**, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is “Online”. In case submission mode is “Online”, the user can enter the clarification details in “Clarification Required” placeholder.
3. In case submission mode is not “Online”, the system will validates if the counterparty is a OBDX customer by checking the flag “Trade Finance Portal” in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
4. In case submission mode is not “Online”, and if the “Trade Finance Portal” flag is set to ‘No’ in Customer Maintenance Table, the system should display the error message that ‘The customer is not subscribed to Trade Finance Portal’. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

2.3 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of Lodge Claim Guarantee Advised request.

At this stage the user can register a request for Lodge Claim Guarantee Advised.
As part of Data Enrichment, user can update the various fields of the claim request. The user can also input the transaction details.

In case of requests received through SWIFT MT765, the task will be created in DE stage directly and the fields will be populated based on the incoming request.

> **Note**
>
> For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

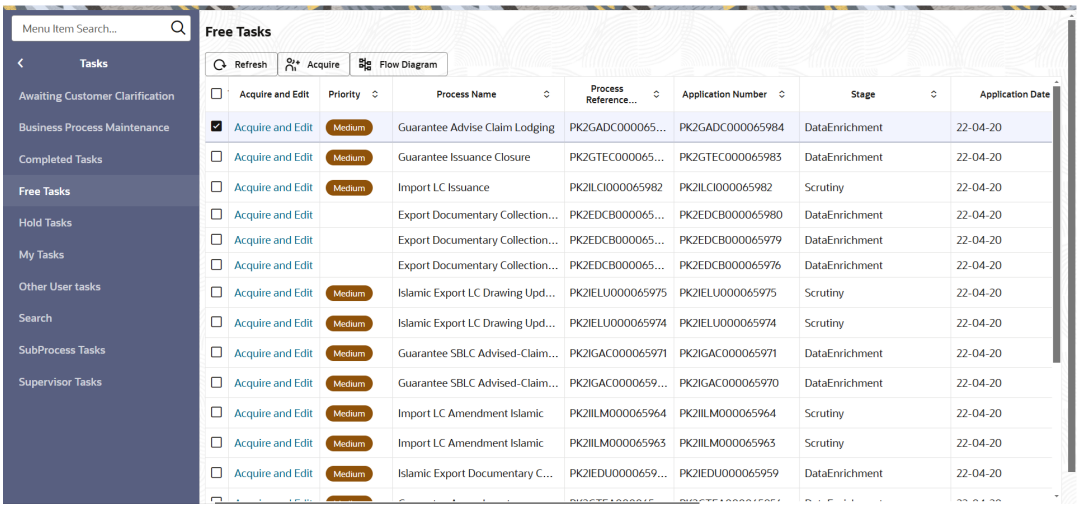
Copyright © 2025, 2025, Oracle and/or its affiliates.

Do the following steps to acquire a task which completed the registration and currently at Data enrichment stage.

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click, **Tasks**.
2. Under **Tasks**, click **Free Tasks**.

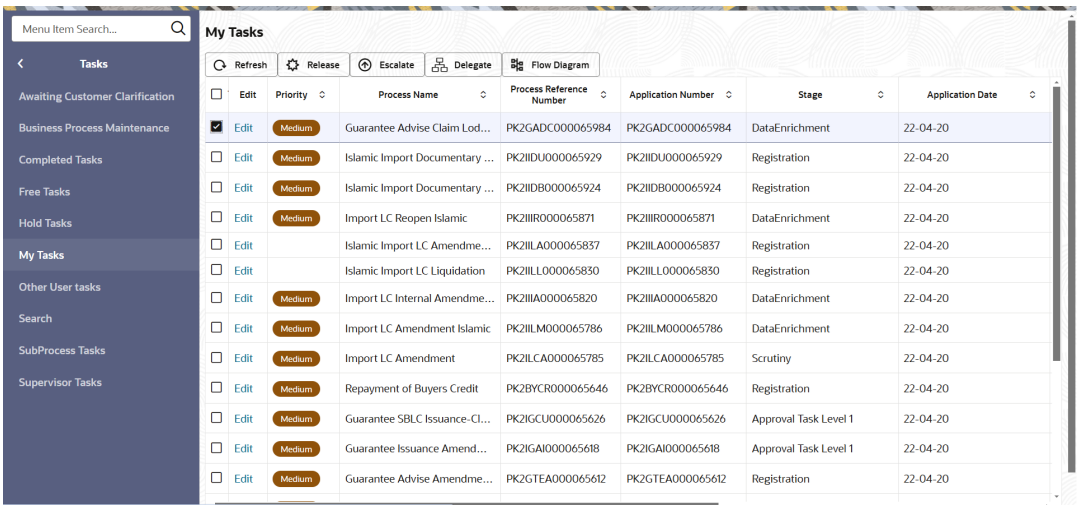
Figure 2-5 Free Tasks



The **Free Tasks** screen displays.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Figure 2-6 My Tasks



Copyright © 2025, 2025, Oracle and/or its affiliates.

Let's look at the details for Data Enrichment stage. User can enter/update the fields in Data Enrichment stage. Some of the fields that are already having value from Registration/ online channels may not be editable.
The Data Enrichment stage has the following hops for data capture:

- Main Details
  This topic provides the systematic instructions to initiate the Data Enrichment stage of Lodge Claim Guarantee Advised request.
- Claim Details
  This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Lodge Claim Guarantee Advised process.
- Document Details
  This topic provides the systematic instructions to capture the document details in Data Enrichment stage of Lodge Claim Guarantee Advised process.
- Advices
  This topic provides the systematic instructions to capture the advices details of Lodge Claim Guarantee Advised process.
- Additional Details
  This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Lodge Claim Guarantee Advise request.
- Settlement Details
  This topic provides the systematic instructions to capture the settlement details of Lodge Claim Guarantee Advised request.
- Summary
  This topic provides the systematic instructions to view the summary of Lodge Claim Guarantee Advised request.

2.3.1 Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of Lodge Claim Guarantee Advised request.

Main details section has two sub section as follows:

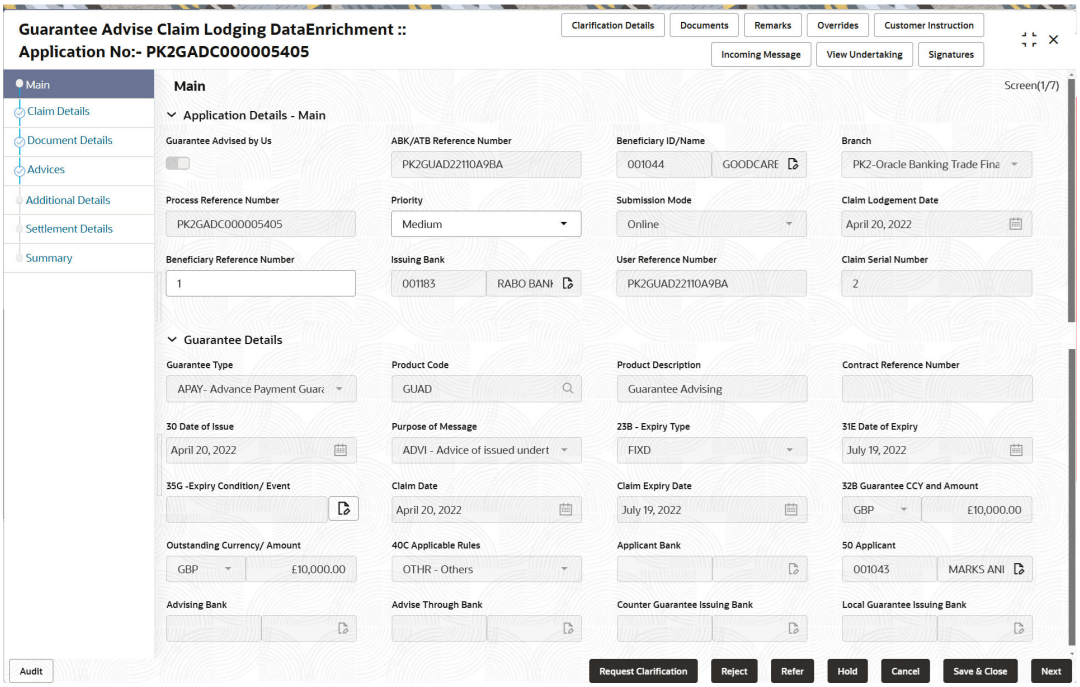
- Application Details
- Guarantee Details.

Application Details
The Details input in Registration Stage will be automatically populate in Application Details and Guarantee Details Sections (Main Details).

1. On **Data Enrichment - Main Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-7 Data Enrichment - Main Details

Copyright © 2025, 2025, Oracle and/or its affiliates.



In case of SWIFT MT 765, the bank/ Financial institution can lodge a claim under a Guarantee/SBLC.
STP of MT 765 for Guarantee/SBLC advised is triggered when an incoming claim is received by the advising bank from the Presenting bank or Advise through bank or Beneficiary through SWIFT.

The incoming MT 765 should be parsed and the system should create a task directly in Data Enrichment Stage. Once the user clicks on the free task, the system should display the following fields.

For more information on the fields, refer to the field description table below:

Table 2-6 Data Enrichment - Main Details - Application Details

Field	Description
Guarantee Advised by Us	Read only field. System defaults the value from **Registration** stage.
ABK/ATB Reference Number	Read only field. System defaults the undertaking number from the incoming SWIFT MT 765, Tag 21 Related Reference.
Beneficiary ID/Name	Read only field. System defaults the application ID/ Name and address from the underlying Guarantee/SBLC details from Back office.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-6 (Cont.) Data Enrichment - Main Details - Application Details

Field	Description
Branch	Read only field. System defaults the branch code and name as applicable from Guarantee /SBLC Advise. Customer's home branch will be displayed.
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.
Priority	Priority maintained will be populated as either 'Essential/Critical/Low/ Medium/High'. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated.
Submission Mode	Submission Mode is defaulted as per maintenance. If not maintained, then system is to populate the default submission mode. By default the submission mode will have the value as 'Desk'. Select the submission mode of Guarantee advise request from the drop-down list. The values are: • Desk - Request received through Desk • Fax- Request received through Fax • Email- Request received through Email • Courier - Request received through Courier • SWIFT-Non STP - Request received through SWIFT-Non STP
Claim Lodgement Date	Read only field. By default, the application will display branch's current date.
Beneficiary Reference Number	System populates Tag 23 - Beneficiary Reference Number from the Incoming MT 765. User can change the Beneficiary Reference number.
Issuing Bank	Read only field. System defaults the issuing bank from Guarantee/ SBLC Advise (applicable for CTB,LTB), if **Guarantee Advised by Us** toggle button is enabled. Specify the Beneficiary Reference Number, if **Guarantee Advised by Us** toggle is disabled. Specify or click **Search** to search and select the the issuing bank id value from the look-up. User to input the Customer Reference Number to capture the Issuing Bank's Reference. Except Customer Id and Customer Name, other fields are editable. In case of walkin id, customer name can also be editable.
User Reference Number	Read only field. System defaults the user reference number, depending on the selection of product codeABK/ATB Reference Number.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-6 (Cont.) Data Enrichment - Main Details - Application Details

Field	Description
Claim Serial Number	Read only field. System defaults the claim serial number from Guarantee/ SBLC Advise. This should be the latest claim number available in back-end system +1.

Guarantee Details

The fields listed under this section are same as the fields listed under the **Guarantee Details** section in **Registration** stage. During Registration, if user has not captured input, then user can capture the details in this section.

Figure 2-8 Guarantee Details

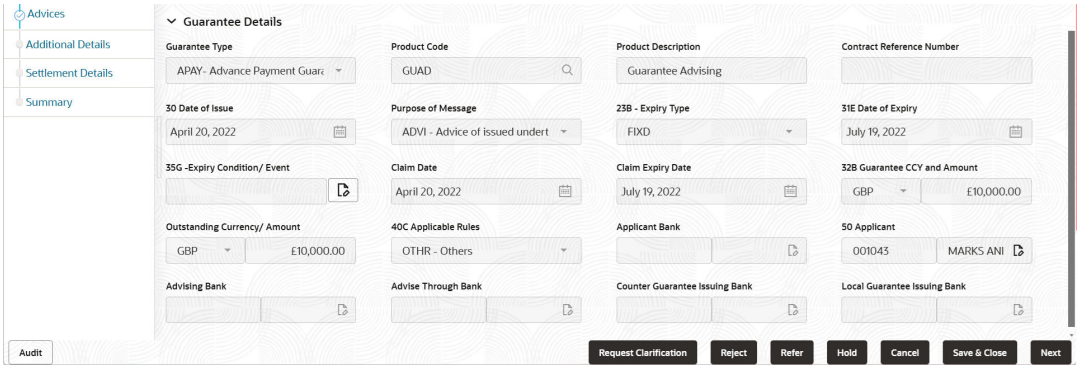


Table 2-7 Lodge Claim Guarantee Advised - Registration - Guarantee Details - Field Description

Field	Description
Guarantee Type	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.
Product Code	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.
Product Description	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.
Contract Reference Number	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-7 (Cont.) Lodge Claim Guarantee Advised - Registration - Guarantee Details - Field Description

Field	Description
Date of Issue	Read only field. System defaults the date of issue from underlying Guarantee/ SBLC Advised.
Purpose of Message	Read only field. System defaults the purpose of message from underlying Guarantee/ SBLC Advised.
Expiry Type	Read only field. System defaults the expiry type from Guarantee /SBLC Advise, if **Guarantee Advised by Us** toggle is enabled. System defaults the expiry type from Guarantee/ SBLC Advised. This field is editable if **Guarantee Advised by Us** toggle button is disabled. Select the type of Expiry from the drop-down list.
Date of Expiry	Read only field. System defaults the date of expiry from underlying Guarantee/ SBLC Advised.
Expiry Condition/Event	Read only field. System defaults the expiry condition/event from underlying Guarantee/ SBLC Advised.
Claim Date	Read only field. System defaults the expiry condition/event from underlying Guarantee/ SBLC Advised.
Claim Expiry Date	Read only field. System defaults the claim expiry date from underlying Guarantee/ SBLC Advised.
Guarantee Ccy and Amount	Read only field. System defaults the guarantee currency and amount from underlying Guarantee/ SBLC Advised.
Outstanding Currency/ Amount	Read only field. System defaults the outstanding currency and amount from underlying Guarantee/ SBLC Advised.
Applicable Rules	Read only field. System defaults the value from underlying Guarantee/ SBLC Advised.

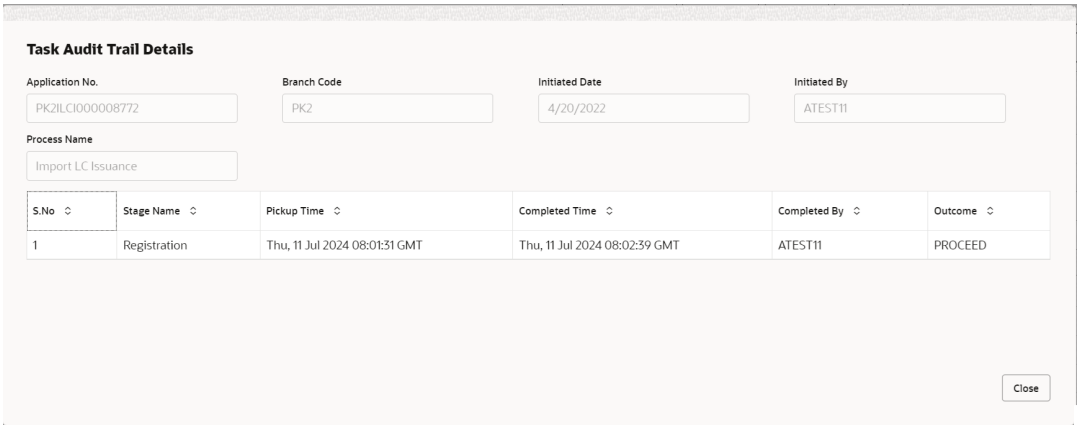
Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-7 (Cont.) Lodge Claim Guarantee Advised - Registration - Guarantee Details - Field Description

Field	Description
Applicant Bank	Read only field. System defaults the applicant bank details from underlying Guarantee/ SBLC Advised. **Note** This field does not appear if the toggle button **Guarantee Advised by Us** is disabled.
Applicant	Read only field. System defaults the applicant from underlying Guarantee/ SBLC Advised..
Advising Bank	Read only field. System defaults the details of the advising bank, if available from underlying Guarantee/ SBLC Advised.
Advising Through Bank	Read only field. System defaults the value of advising through bank defaults from Guarantee/ Standby Advise, if available.
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank from underlying Guarantee/ SBLC Advised, if available from underlying Guarantee/ SBLC Advised. **Note** This field does not appear if the toggle button **Guarantee Advised by Us** is disabled.
Local Guarantee Issuing Bank	Read only field. System defaults the loacl guarantee issuing bank, if available from underlying Guarantee/ SBLC Advised. **Note** This field does not appear if the toggle button **Guarantee Advised by Us** is disabled.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Audit



This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on Audit, refer to the field description table below.

Table 2-8 Audit - Field Description

Field	Description
Application No.	This field displays the appliation number of the process.
Branch Code	This field displays the branch code.
Initiated Date	This field displays the date on which process is initiated.
Initiated By	This field displays the user ID of the user who had initiated the process.
Process Name	This field displays the name of the process which is initiated.
S. No	This field displays the serial number of the audit record.
Stage Name	This field displays the current stage of the process.
Completed Time	This field displays the time on which the audit of the current stage is completed.
Completed By	This field displays the user ID of the user who had completed the audit.
Outcome	This field displays the outcome of the audit.

2. Click **Next**.

The task will move to next data segment. For more information refer Claim Details
For more information on the action buttons, refer to the field description table below.

Table 2-9 Main - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be ‘Clarification Requested’.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-9 (Cont.) Main - Action Buttons - Field Description

Field	Description
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application **Note** Not applicable for STP of SWIFT MT 765.
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advised. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the “Trade Finance Portal” for the transactions that are initiated offline.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-9 (Cont.) Main - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.2 Claim Details

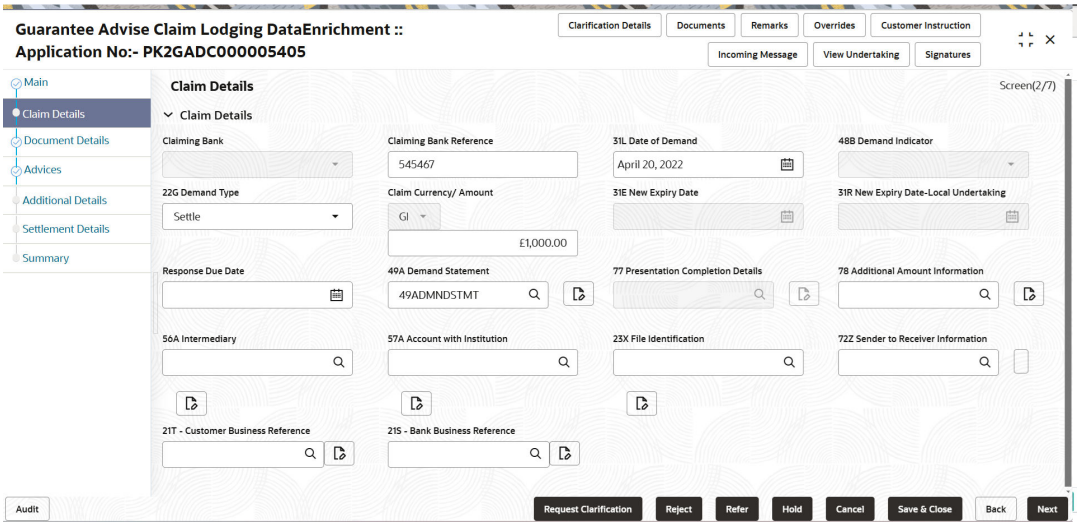
This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Lodge Claim Guarantee Advised process.

As a part of Claim Details user can enter and scrutinize the claim details under a Guarantee/ SBLC - DE stage.

1. On **Claim Details** screen, specify the fields.

Figure 2-9 Claim Details

Copyright © 2025, 2025, Oracle and/or its affiliates.



For more information on fields, refer to the field description table below.

Table 2-10 Claim Details - Field Description

Field	Description
Claiming Bank	Select the Claiming Party from whom the claim under the Bank Guarantee issued is received while lodging the Guarantee Claim. The options are: • Advising Bank • Others
Claiming Bank Name & Address	This field is read only and displays the claiming bank details, if **Advising Bank** option is selected in **Claiming Bank** field. Click the **Search** icon to search and select the claiming bank customer id from the look-up, if it is a customer of the bank and if not select the WALKIN id and manually capture Bank name and address. This field is editable if **Others** option is selected in **Claiming Bank** field. This field is disabled for beneficiary. This field is mandatory if **Claiming Bank** field has values.
Claiming Bank Reference	Specify the claiming bank reference details, if the claimed is not received from Beneficiary. This field is disabled for beneficiary. In case of SWIFT MT 765, system populates the Tag 20, Transaction Reference Number from the incoming MT 765. This field is mandatory if **Claiming Bank** field has values.
Date of Demand	Specify the date on which the demand is issued by the beneficiary. **Note** The date cannot be a future date. In case of STP of Incoming MT 765, the System to populate the value in tag 31L, Date of Demand from incoming MT 765.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-10 (Cont.) Claim Details - Field Description

Field	Description
Demand Indicator	Read Only field. System defaults value from Guarantee /SBLC Advise.
Demand Type	The demand type is defaulted by the system. The values are: • Extend or Settle • Settle The user can change the value. In case of STP of Incoming MT 765, the demand type is defaulted from the incoming MT 765 message.
Claim Currency/ Amount	The claim amount is defaulted by the system. The user can change the value.
New Expiry Date	System defaults value from Guarantee /SBLC Advise. Specify the new expiry date, if **Demand Type** field is **Extend or Settle**. The New Expiry Date is not earlier than the Expiry Date or not earlier than Branch Date. In case of SWIFT MT 765, system populates the Tag 31E, Transaction Reference Number from the incoming MT 765.
New Expiry Date-Local Undertaking	System defaults value from Guarantee /SBLC Advise. Specify the new expiry date, if **Demand Type** field is **Extend or Settle**.
Response Due Date	System defaults value from Guarantee /SBLC Advise, if **Demand Type** field is **Settle**. The user can change the value. Specify the response due date, if **Demand Type** field is **Extend or Settle**
Demand Statement	This field specifies the narrative text that constitutes the demand. In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message. In case of Non-Online, User can specify the value as per claim.
Presentation Completion Details	Specify the presentation of completion details, if demand statement is provided. This field specifies information about the presentation documentation. If the presentation is incomplete, this must specify how the presentation will be completed. In case of Online, this field is defaulted from the incoming message. In case of Non-Online, as per the value in the incoming message. In case of SWIFT MT 765, system populates the Tag 77, Transaction Reference Number from the incoming MT 765
Additional Amount Information	System defaults value from Guarantee /SBLC Advise. The user can change the value. In case of Online, this field is defaulted from the incoming message In case of Non-Online, User can input the value as per claim. In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.
Intermediary	Specify the Intermediary bank details or click **Search** to search and select the Intermediary bank details from the look-up. This field specifies the financial institution through which the amount claimed must pass to reach the account with institution. In case of Online, this field is defaulted from the incoming message. In case of Non-Online, as per the value in the incoming message. In case of SWIFT MT 765, system populates the Tag 56A, Transaction Reference Number from the incoming MT 765

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-10 (Cont.) Claim Details - Field Description

Field	Description
Account with Institution	Specify the details of Account with Institution or click **Search** to search and select the Account with Institution from the look-up. This field specifies the financial institution at which the amount claimed is to be settled. In case of Online, this field is defaulted from the incoming message. In case of Non-Online, as per the value in the incoming message. In case of SWIFT MT 765, system populates the Tag 57A, Transaction Reference Number from the incoming MT 765.
Sender to Receiver Information	Specify the details of sender to receiver Information or click **Search** to search and select the Sender to Receiver Information from the look-up. In case of Online, this field is defaulted from the incoming message. In case of Non-Online, as per the value in the incoming message. In case of SWIFT MT 765, system populates the Tag 72Z, Transaction Reference Number from the incoming MT 765.
Customer Business Reference	Specify the Customer Business Reference details or click **Search** to search and select the Customer Business Reference from the look-up.
Bank Business Reference	Specify the Bank Business Reference details or click **Search** to search and select the Bank Business Reference from the look-up.

2. Click **Next**.

The task will move to next data segment.
For more information on the action buttons, refer to the field description table below.

Table 2-11 Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application **Note** Not applicable for STP of SWIFT MT 765.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-11 (Cont.) Action Buttons - Field Description

Field	Description
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advised. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the “Trade Finance Portal” for the transactions that are initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-11 (Cont.) Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

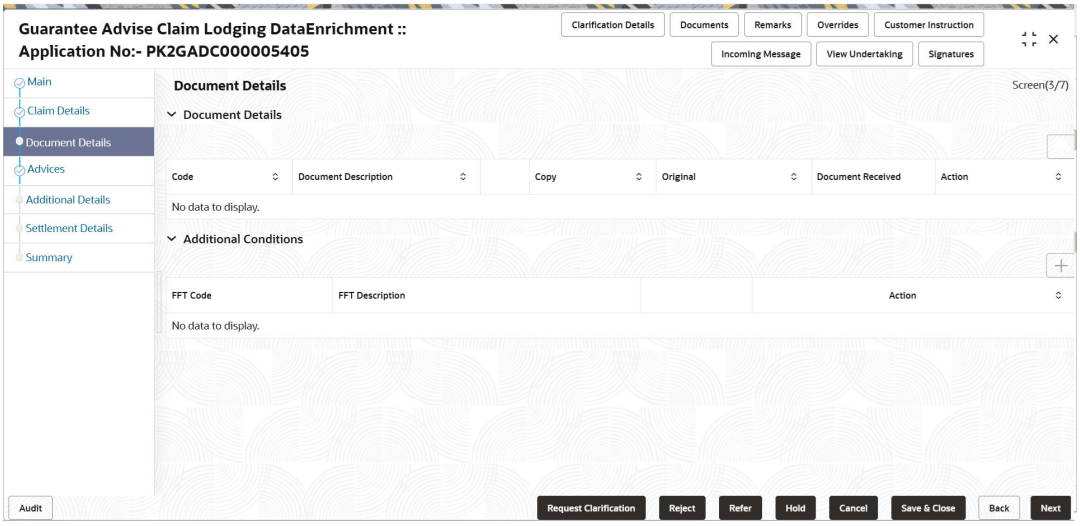
2.3.3 Document Details

This topic provides the systematic instructions to capture the document details in Data Enrichment stage of Lodge Claim Guarantee Advised process.

In Document Details, the system defaults the document required under claim. If the claim is received to a Presenting bank, then the documents tile will be read only (non editable). A Data Enrichment user can verify the document details.

1. On **Document Details** screen, specify the fields.

Figure 2-10 Document Details



If documents to be submitted were provided in the Guarantee Advise they will be defaulted, else the user cannot capture the documents submitted under the claim in this documents hop.

Copyright © 2025, 2025, Oracle and/or its affiliates.

All the Document Details fields are also applicable for STP and are processed in the same manner.

For more information on fields, refer to the field description table below.

Table 2-12 Document Details - Field Description

Field	Description
Code	Document code is auto-populated, user can click plus **+** icon and click **Search** to search and select the document code based on the document received. User can add or delete the code by deleting the line on the grid.
Document Description	System defaults the document name based on the document code.
Copy	Number of duplicate copies of documents as required in guarantee. User can edit the actual copies received.
Original	Number of documents in original as required in guarantee. User can edit the actual originals received.
Documents Received	Specify the description of the documents received.
Action	Click Edit icon to edit the document details. Click Delete icon to delete the document details.
Additional Conditions The user can click **+** to add multiple FFT details.	
FFT Code	FFT code is auto-populated.
FFT Description	System defaults the FFT description based on the FFT code.
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.

2. Click **Next**.

The task will move to next data segment. For more information refer Advices.
For more information on the action buttons, refer to the field description table below.

Table 2-13 Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be ‘Clarification Requested’.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-13 (Cont.) Action Buttons - Field Description

Field	Description
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application **Note** Not applicable for STP of SWIFT MT 765.
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advised. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the “Trade Finance Portal” for the transactions that are initiated offline.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-13 (Cont.) Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.4 Advices

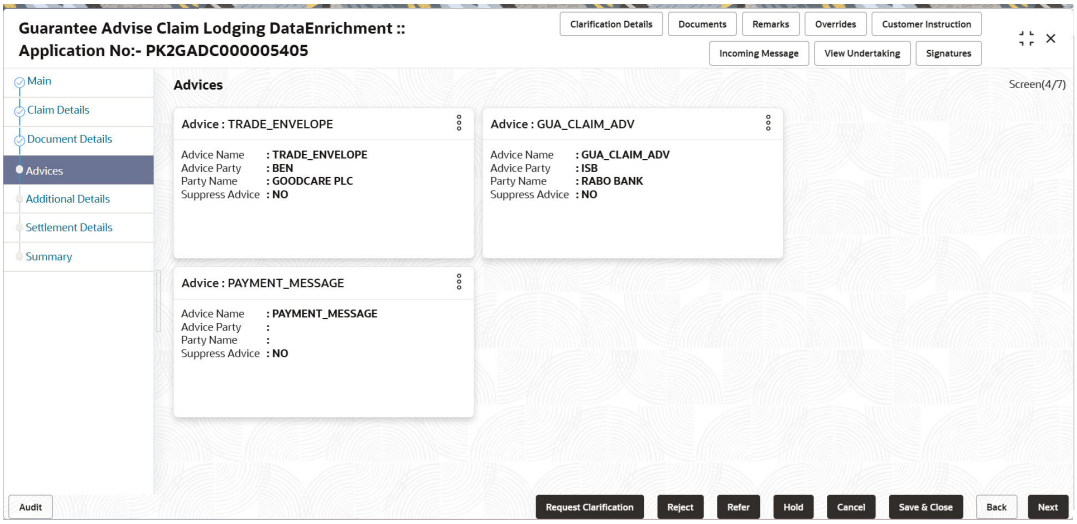
This topic provides the systematic instructions to capture the advices details of Lodge Claim Guarantee Advised process.

This section defaults the advices maintained for the product based on the advices maintained at the Product level.
All the Advices maintained are also applicable for STP and are processed in the same manner.

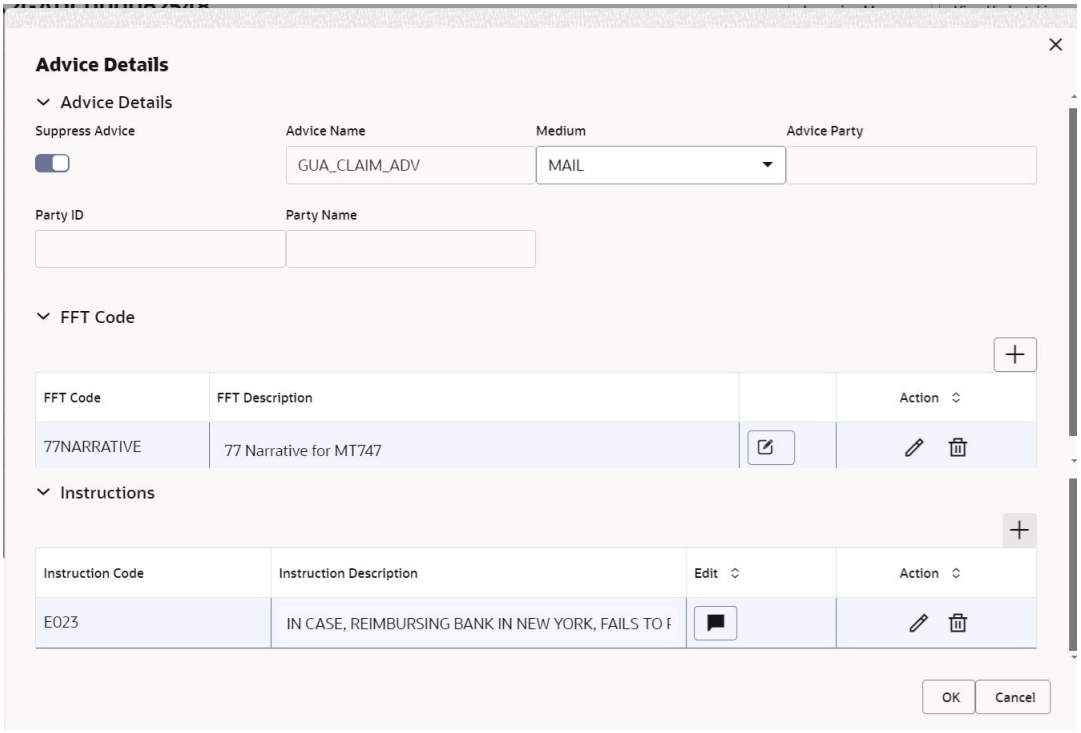
1. On **Advices** screen, click the 3 dots on any advice tile to view the advice details.

Figure 2-11 Advices

Copyright © 2025, 2025, Oracle and/or its affiliates.



Advice Details



For more information on fields, refer to the field description table below.

Table 2-14 Advice Details

Field	Description
Suppress Advice	Enable this option to suppress the advice. Disable this option if suppress advice is not required.
Advice Name	Read only field. Displays the advice name.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-14 (Cont.) Advice Details

Field	Description
Medium	Displays the medium of advices is defaulted from the system. User can update if required.
Advice Party	Read only field. Displays the advice party is defaulted from the system.
Party ID	Displays the party Id defaulted from system.
Party Name	Displays the defaulted from Guarantee.
Free Format Text	
	Click plus icon to add new FFT code.
FTT Code	Click **Search** to search and select the FFT Code.
FFT Description	FFT description is populated based on the FFT code selected. User can edit the FFT description.
	Click edit icon to edit the existing FFT description.
Action	Click delete icon to remove any existing FFT code. Click edit icon to edit the existing FFT code.
Instruction Details	
	Click plus icon to add new instruction code.
Instruction Code	Click **Search** to search and select the instruction Code.
Instruction Description	Instruction description is populated based on the instruction code selected. User can edit the instruction description.
	Click edit icon to edit the existing instruction description.
Action	Click delete icon to remove any existing instruction code. Click edit icon to edit the existing instruction code.

2. Click **Next**.

   The task will move to next data segment.
   For more information on fields, refer to the field description table below.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-15 Advices - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application **Note** Not applicable for STP of SWIFT MT 765.
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-15 (Cont.) Advices - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.5 Additional Details

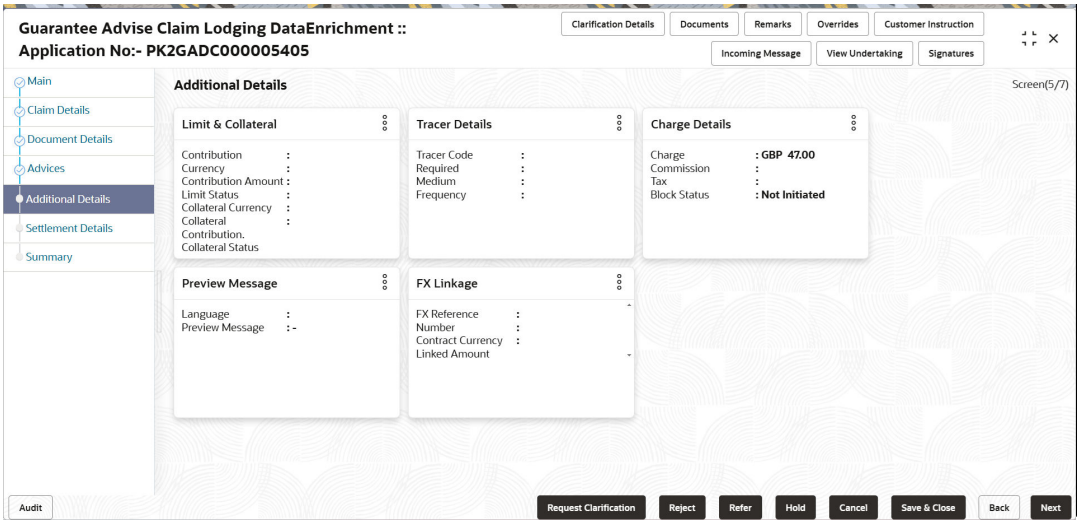
This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Lodge Claim Guarantee Advise request.

As a part of Additional details section, Guarantee /Standby Advise claim may have impact on the Limits & Collaterals.
If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled. All the Additional Details are also applicable for STP and are processed in the same manner.

1. On **Additional Details** screen, click the 3 dots on any Additional Details tile to view the details.

Figure 2-12 Additional Details

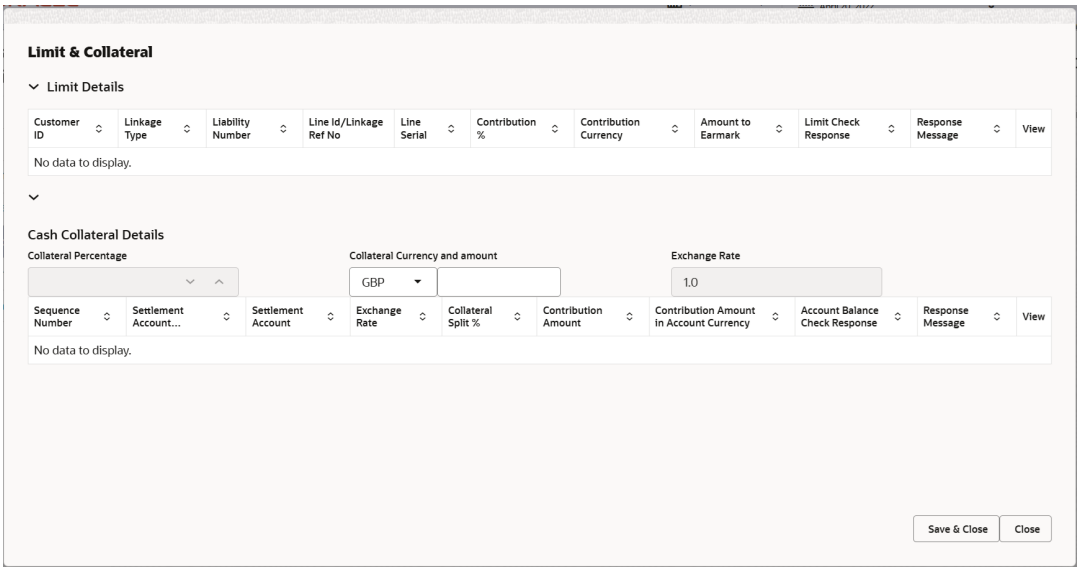
Copyright © 2025, 2025, Oracle and/or its affiliates.



2. Click **Save and Close** to save the details and close the screen.

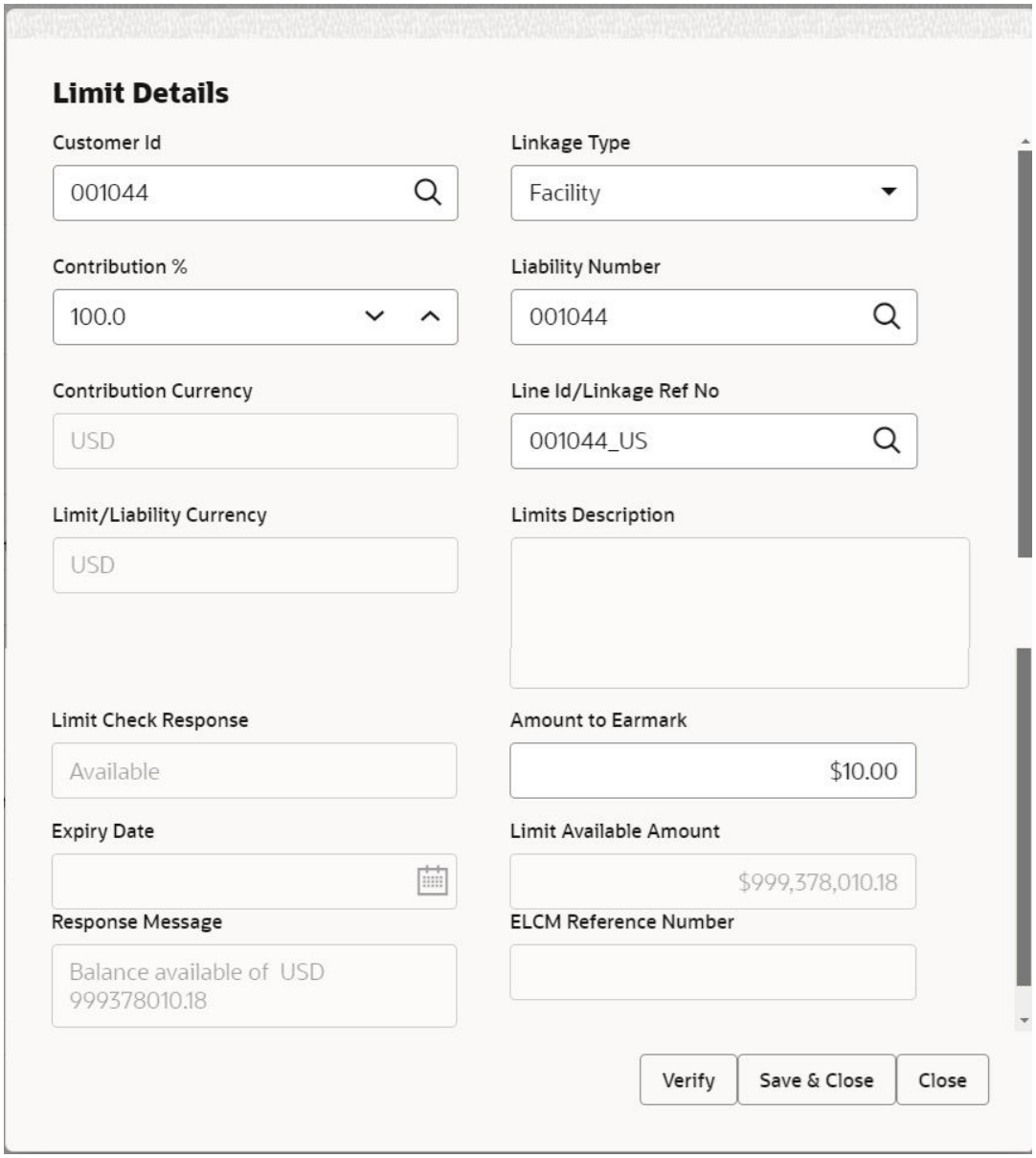
Limits and Collaterals

Provide the Limit Details based on the description in the following table:



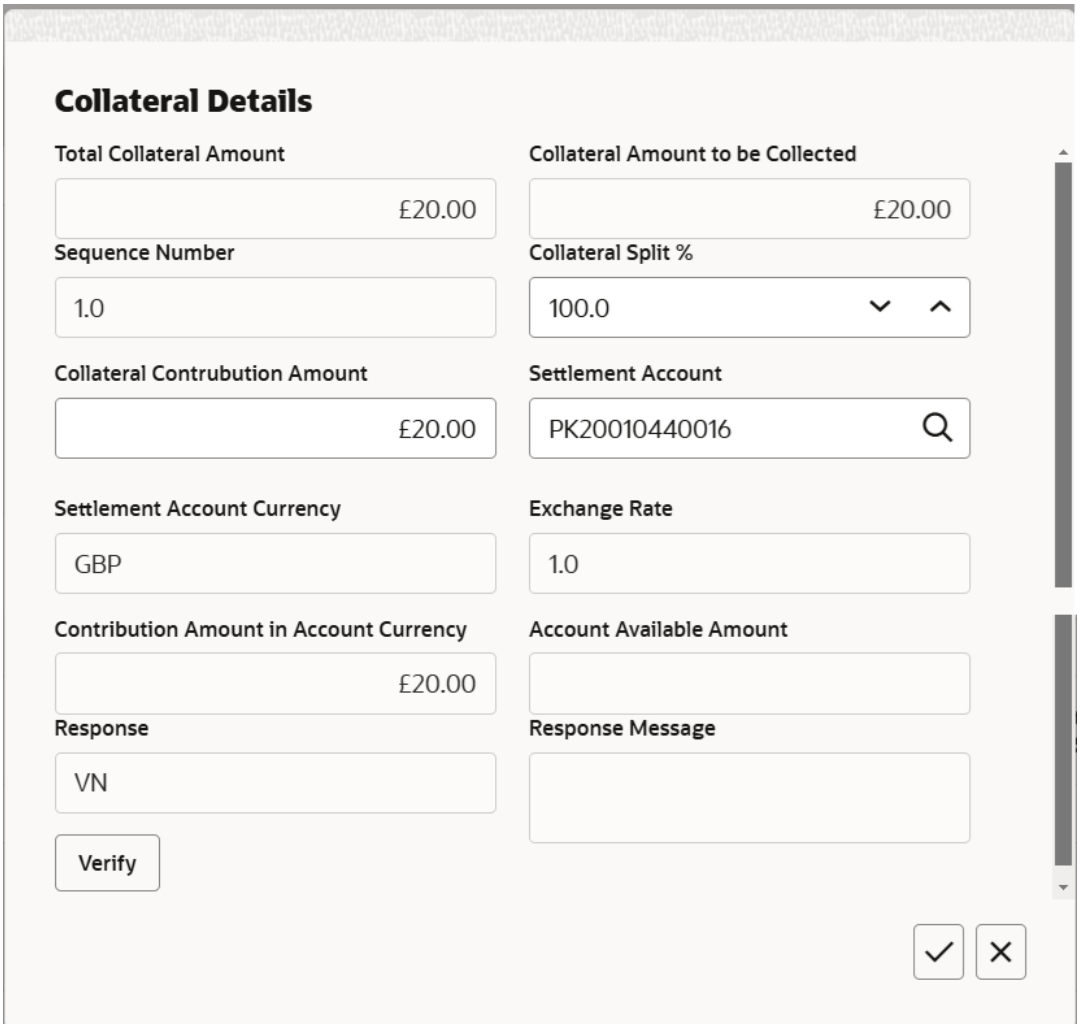
Copyright © 2025, 2025, Oracle and/or its affiliates.

Figure 2-13 Limit Details



Copyright © 2025, 2025, Oracle and/or its affiliates.

Figure 2-14 Collateral Details



For more information on fields, refer to the field description table below.

Table 2-16 Limit Details - Field Description

Field	Description
	Click plus icon to add new limit details.
Limit Details Click **View** link to view the limit details limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks the View link.	
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.
Linkage Type	Select the linkage type. Linkage type can be: • Facility • Liability By default Linkage Type is "Facility".

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-16 (Cont.) Limit Details - Field Description

Field	Description
Contribution %	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message. **Note** The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.
Liability Number	Click **Search** to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.
Contribution Currency	Read only field. This field displays the contribution currency.
Line ID/Linkage Ref No	Click **Search** to search and select from the various lines available and mapped under the customer id gets listed in the drop-down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. The user can click the Line Id link to view the limit details. **Note** User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field. This field is disabled and read only, if **Linkage Type** is Liability.
Limit/Liability Currency	Read only field. This field displays the limit currency, when the user select the **Liability Number**.
Limits Description	Read only field. This field displays the limits description.
Limit Check Response	This field displays the limit check response. Response can be ‘Success’ or ‘Limit not Available’ based on the limit service call response. The value in this field appears, if you click the **Verify** button.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-16 (Cont.) Limit Details - Field Description

Field	Description
Amount to Earmark	This field defaults the amount to earmark. Contribution amount will default based on the contribution %. User can change the value.
Expiry Date	Read only field. This field displays the date up to which the Line is valid.
Limit Available Amount	This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the **Verify** button.
Response Message	This field displays the detailed response message. The value in this field appears, if you click the **Verify** button.
ELCM Reference Number	This field displays the ELCM reference number.
Below fields appear in the **Limit Details** grid along with the above fields.	
Edit	Click the link to edit the **Limit Details**.
	Click delete icon to delete the existing limit details.
Line Serial	Displays the serial of the various lines available and mapped under the customer id.
Cash Collateral Details	
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.
	Click plus icon to add new collateral details.
Click **View** link to view the collateral details. Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table: Below fields are displayed on the **Collateral Details** pop-up screen, if the user clicks plus icon.	
Cash Collateral Details	
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract.
Collateral Currency and amount	System populates the contract currency as collateral currency by default.
Exchange Rate	System populates the exchange rate maintained. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-16 (Cont.) Limit Details - Field Description

Field	Description
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.
Settlement Account	Click **Search** to search and select the settlement account for the collateral.
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.
Account Available Amount	Read only field. This field displays the account available amount which will be auto-populated on clicking the **Verify** button.
Response	Read only field. Response can be ‘Success’ or ‘Amount not Available’. System populates the response on clicking the **Verify** button.
Response Message	Read only field. Detailed Response message. System populates the response message on clicking the **Verify** button.
Verify	Click to verify the account balance of the Settlement Account.
Save & Close	Click to to save and close the record.
Cancel	Click to cancel the entry.
Below fields appear in the **Cash Collateral Details** grid along with the above fields.	
Collateral %	Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.

Copyright © 2025, 2025, Oracle and/or its affiliates.

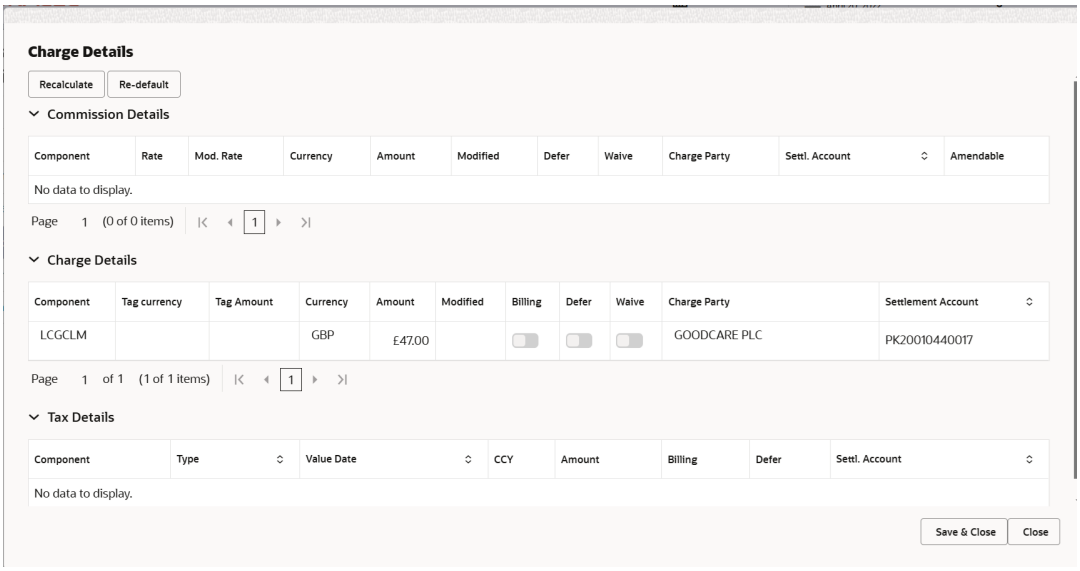
Table 2-16 (Cont.) Limit Details - Field Description

Field	Description
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.
Account Balance Check Response	Read only field. System populates the Account Balance Check Response on clicking the **Verify** button.
Edit	Click edit link to edit the collateral details.
	Click delete icon to delete the existing collateral details.

3. Click **Save and Close** to save the details and close the screen.

Charge Details

This section displays charge details. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.



For more information on fields, refer to the field description table below.

Table 2-17 Charge Details - Field Description

Field	Description
Commission Details	
Component	This field displays the commission component.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-17 (Cont.) Charge Details - Field Description

Field	Description
Rate	This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPMCS. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.
Currency	This field displays the currency in which the commission have to be collected.
Amount	This field displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPMCS. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPMCS. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Modified Amount	From the default value, if the amount is changed, the value gets updated in the modified amount field.
Defer	If enabled, charges/commissions has to be deferred and collected at any future step.
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the ‘Remarks’ place holder.
Split	The user can split the Commission by enabling/ disabling the flag as per the requirement.
Charge Party	Charge party is 'Applicant' by default. User can change the value to Beneficiary.
Settlement Account	Select the settlement account.
Amendable	The value is auto-populated as the commission can be amended or not.
Charge Details	
Component	This field displays the charge component type.
Tag Currency	This field displays the tag currency in which the charges have to be collected.
Tag Amount	This field displays the tag amount that is maintained under the product code.
Currency	This field displays the currency in which the charges have to be collected.
Amount	This field displays the amount that is maintained under the product code.
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-17 (Cont.) Charge Details - Field Description

Field	Description
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPMCS. The user can not enable/disable the option, if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPMCS. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.
Waive	Enble the toggle, if charges has to be waived. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if **Defer** toggle is enabled.
Split	The bank User can split the Charges/Commission by enabling/ disabling the flag as per the requirement.
Charge Party	Charge party is applicant by default. User can change the value to beneficiary.
Settlement Account	Select the settlement account.
Tax Details The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.	
Component	This field displays the tax component.
Type	This field displays the type of tax component.
Value Date	This field displays the value date of tax component.
Currency	This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission.
Amount	This field displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable.
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.

Copyright © 2025, 2025, Oracle and/or its affiliates.

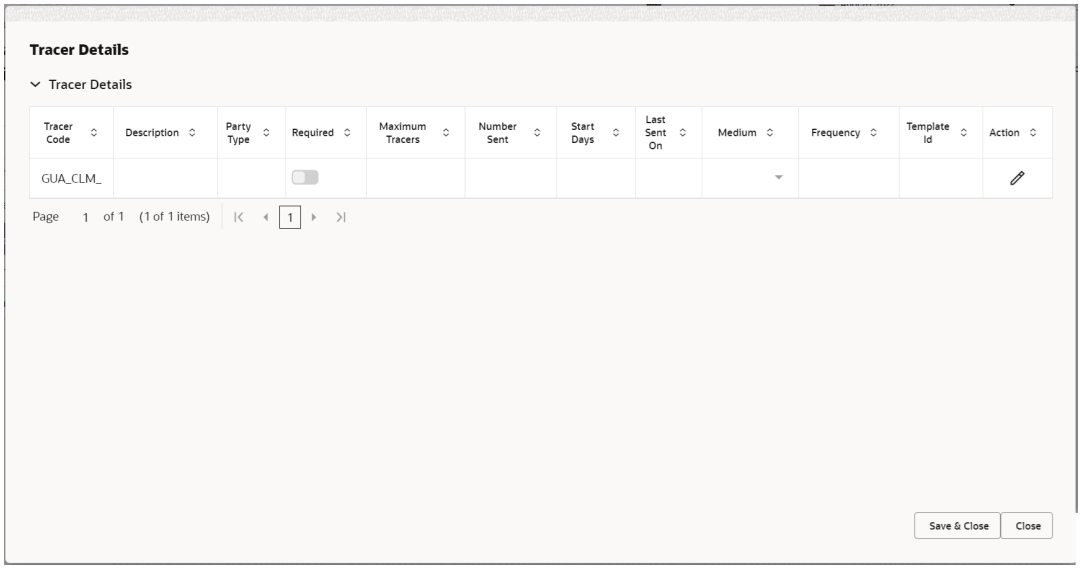
Table 2-17 (Cont.) Charge Details - Field Description

Field	Description
Settlement Account	System defaults the settlement account. The user can modify the settlement account.

4. Click **Save and Close** to save the details and close the screen.

Tracer Details

The bank users can capture these tracer details for Claim Lodgement in Guarantee and should send the tracers to the customer till its Settled / Extended / Rejected / Injunction.



For more information on fields, refer to the field description table below.

Table 2-18 Tracer Details - Field Description

Field	Description
Tracer Code	Read only field. Tracer code is defaulted by the system maintained in the product level.
Description	Read only field. Description of the racer code is auto populated.
Party Type	Specify the party type of the tracer or click **Search** to search and select from the party type from the look-up.
Required	Enable the option, if respective tracer is required.
Maximum Tracers	Specify the maximum number of tracers to be sent. Maximum allowed is 99 exceeding the same system should prompt an error message for the same “Maximum number of numerals allowed is: 2” and should clear the field to enter the correct value by the user. Maximum Tracers cannot be less than the “Number Sent”, system needs to validate the same.

Copyright © 2025, 2025, Oracle and/or its affiliates.

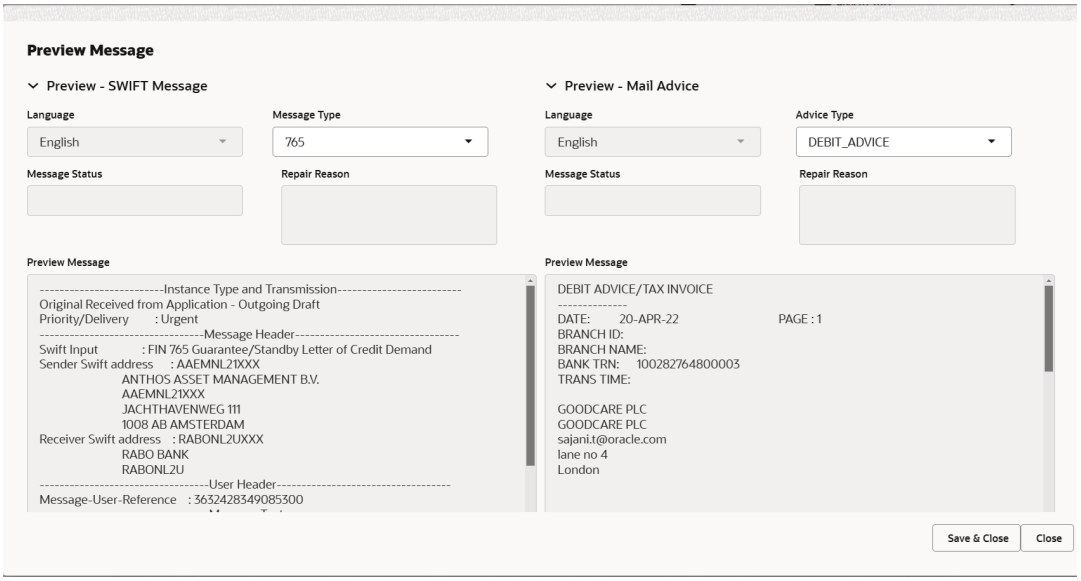
Table 2-18 (Cont.) Tracer Details - Field Description

Field	Description
Number Sent	Number Sent is defaulted by the System with the value, where the number of tracers sent so far. And it cannot be greater than the “Maximum Tracers”. The user can change the value.
Start Days	Specify the number of days after which the tracer has to be sent from the Tracer Start date. It should be positive numeric value.
Last Sent On	Read only field. Tracer last sent date is defaulted by the system.
Medium	Select the medium in which the Tracer has to be generated from the drop-down list. It lists all the possible mediums maintained in the system. • MAIL • SWIFT
Frequency	Specify the medium in which the Tracer has to be generated. It should be positive numeric value.
Template ID	Specify the template ID or click **Search** to search and select the template ID in which the tracer has to be generated from the lookup. It is a lookup which lists all the possible templates maintained in the system. Template ID is nothing but the data that goes in Tag 79 in MT799. This template ID is applicable only for medium 'SWIFT'. Template lookup displays all the template ids applicable for the given Tracer Code.
Action	Click Edit icon to edit the tracer details.

5. Click **Save and Close** to save the details and close the screen.

Preview Mesage

Based on the guarantee Claim captured in the previous screen, the preview message simulated from the back office and the user can view a preview of the outgoing SWIFT message and advise



Copyright © 2025, 2025, Oracle and/or its affiliates.

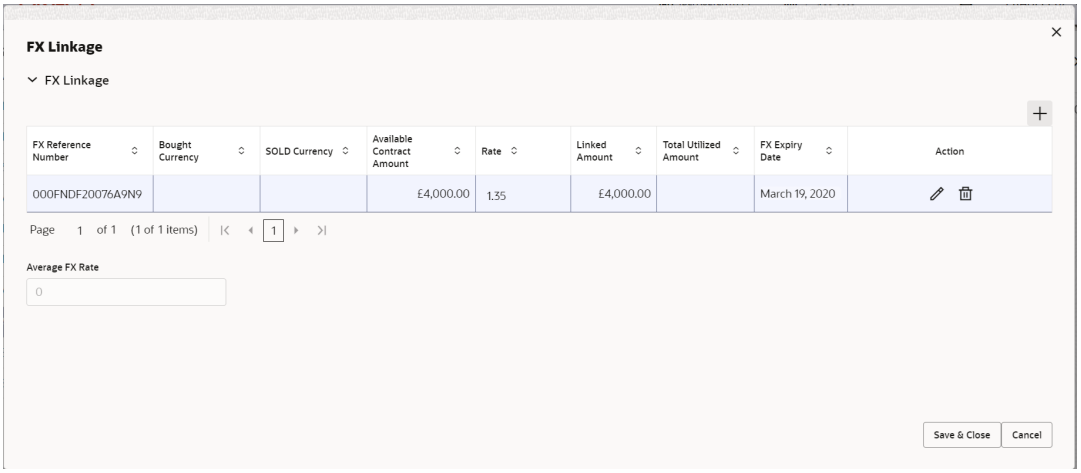
For more information on fields, refer to the field description table below.

Table 2-19 Preview Message - Field Description

Field	Description
Preview SWIFT Message	
Language	Read only field. The language to preview the draft guarantee details. English is set as default language for the preview.
Message Type	Select the message type from the drop down.
Message Status	Read only field. Display the message status of draft message of guarantee details
Repair Reason	Read only field. Display the message repair reason of draft message of guarantee details.
Preview Message	This field displays a preview of the draft message. Based on the guarantee text captured in the previous screen, guarantee draft is generated in the back office and is displayed in this screen.
Preview Mail Device	
Language	Read only field. The language for the advice message. English is set as default language for the preview.
Advice Type	Select the advice type.
Message Status	Read only field. Display the message status of advice message of guarantee details
Repair Reason	Read only field. Display the message repair reason of advice message of guarantee details.
Preview Message	This field displays a preview of advice.

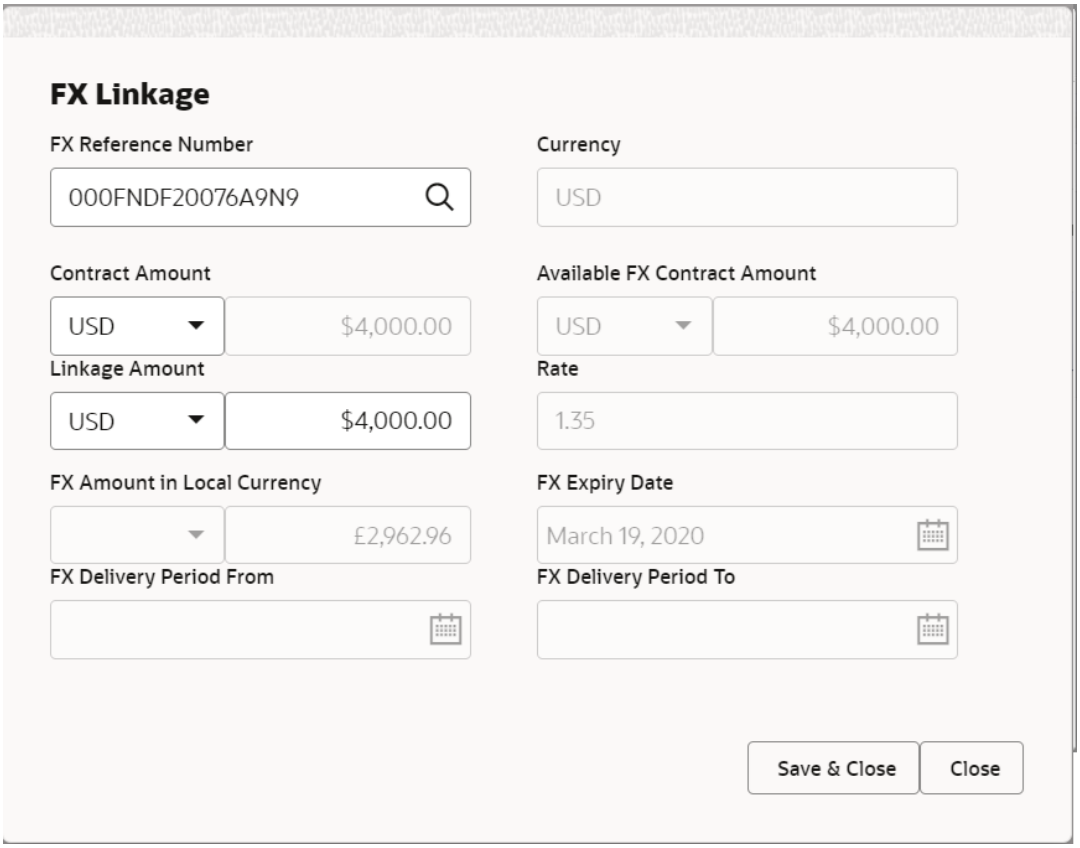
FX Linkage

This section enables the user to link the existing FX contract(s) to the Guarantee/SBLC Claim Settlement. FX Linkage call should be triggered on DE-submit.



Copyright © 2025, 2025, Oracle and/or its affiliates.

Figure 2-15 FX Linkage Details



For more information on fields, refer to the field description table below.

Table 2-20 FX Linkage - Field Description

Field	Description
+	Click + to add multiple **FX Details**. Below fields are displayed on the **FX Linkage** pop-up screen, if the user clicks the plus icon.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-20 (Cont.) FX Linkage - Field Description

Field	Description
FX Reference Number	Click **Search** to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, • Counterparty of the FX contract should be the counterparty of the Bill contract. • Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.
Currency	Read only field. This field displays the FX BOT currency from the linked FX contract.
Contract Amount	This field displays the FX BOT currency and Amount. The user can change the currency.
Available FX Contract Amount	Read only field. This field displays the available FX contract amount. The value is from the “Available Amount” in FXDLINKG screen in OBTR. Available Amount BOT currency and Amount is displayed.
Linkage Amount	System defaults the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone. The validation “Sum of Linked amount will not be greater than contract amount” or “Linkage amount will not be greater than the available amount for linkage” should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.
Rate	Read only field. This field displays the rate at which the contract is booked.
FX Amount in Local Currency	Read only field. This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL
FX Expiry Date	Read only field. This field displays the expiry date from the linked FX contract.
FX Delivery Period - From	Read only field. This field displays the date from which the contract is valid for utilization.
FX Delivery Period - To	Read only field. This field displays the date to which the contract is valid for utilization.
FX Linkage grid	Below fields appear in the FX linkage grid along with the above fields.
Bought Currency	Read only field. This field displays the currency from the linked FX contract.
Sold Currency	Read only field. This field displays the currency from the linked FX contract.
Available Contract Amount	Read only field. Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-20 (Cont.) FX Linkage - Field Description

Field	Description
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
Total Utilized Amount	Read only field. This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG .
Average FX Rate	Read only field. Multiple forward FX contract could be linked,and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.
Action	Click Edit icon to edit the FX details. Click Delete icon to delete the FX details.

6. Click **Save and Close** to save the details and close the screen.
7. **Next**.

   The task will move to next data segment.

Table 2-21 Additional Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application **Note** Not applicable for STP of SWIFT MT 765.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-21 (Cont.) Additional Details - Action Buttons - Field Description

Field	Description
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advised. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the “Trade Finance Portal” for the transactions that are initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-21 (Cont.) Additional Details - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.6 Settlement Details

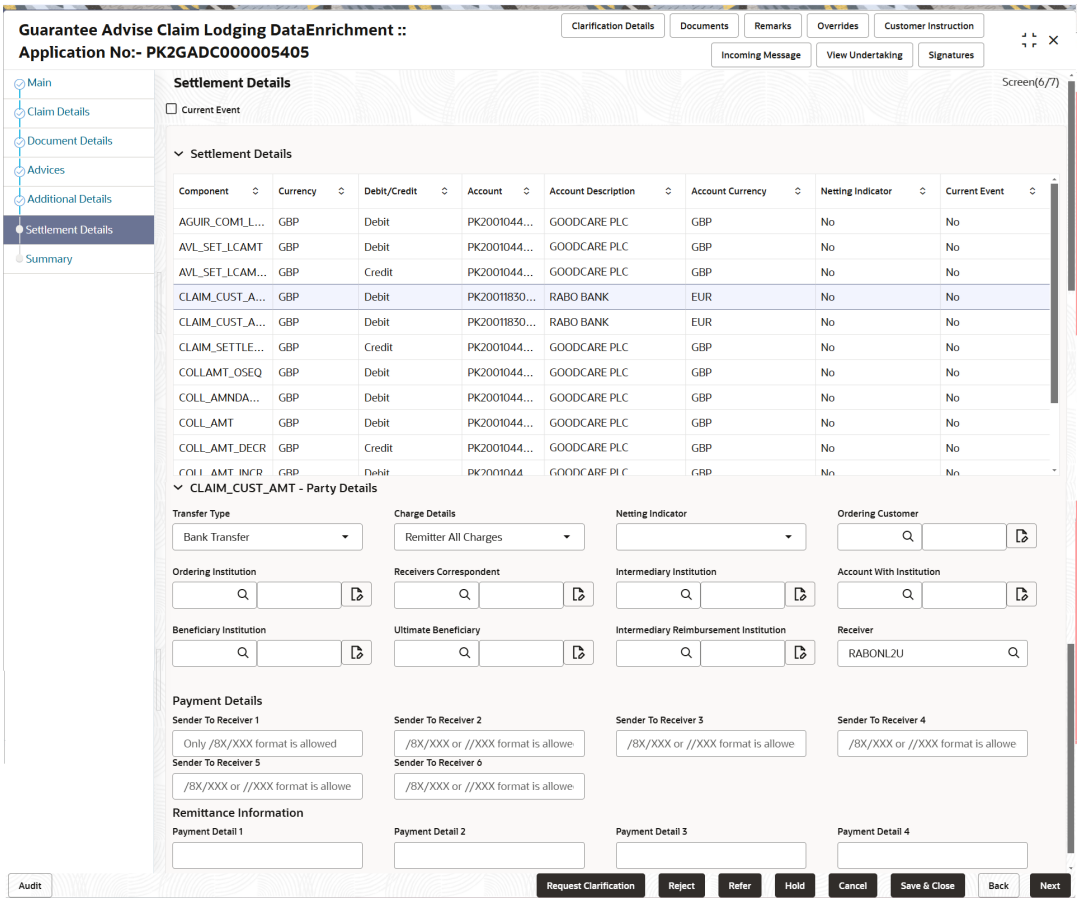
This topic provides the systematic instructions to capture the settlement details of Lodge Claim Guarantee Advised request.

The user can view the settlement details during Lodge Claim Guarantee Advised request. All the Settlement Details fields are also applicable for STP and are processed in the same manner.

1. On **Settlement Details** screen, specify the fields.

Figure 2-16 Settlement Details

Copyright © 2025, 2025, Oracle and/or its affiliates.



For more information on fields, refer to the field description table below.

Table 2-22 Settlement Details – Field Description

Field	Description
Current Event	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
Component	This field displays the components based on the product selected.
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the the description of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Netting Indicator	This field displays the applicable netting indicator.
Current Event	This field displays the current event.

2. Click any component in the grid.

Party Details

Copyright © 2025, 2025, Oracle and/or its affiliates.

Field	Description
Transfer Type	Select the transfer type from the drop-down list. The options are: • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer • None
Charge Details	Select the charge details for the transaction. The options are: • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges
Netting Indicator	Select the netting indicator for the component. The options are: • Yes • No
Ordering Customer	Click search icon to search and select the ordering customer from the look up.
Ordering Institution	Click search icon to search and select the ordering institution from the look up.
Senders Correspondent	Click search icon to search and select the senders correspondent from the look up.
Receivers Correspondent	Click search icon to search and select the receivers correspondent from the look up.
Intermediary Institution	Click search icon to search and select the intermediary institution from the look up.
Account with Institution	Click search icon to search and select the account with institution from the look up.
Beneficiary Institution	Click search icon to search and select the beneficiary institution from the look up.
Ultimate Beneficiary	Click search icon to search and select the ultimate beneficiary from the look up.
Intermediary Reimbursement Institution	Click search icon to search and select the intermediary reimbursement institution from the look up.

Payment Details

Table 2-23 Payment Details - Field Description

Field	Description
Sender to Receiver 1	Specify the sender to receiver message.
Sender to Receiver 2	Specify the sender to receiver message.
Sender to Receiver 3	Specify the sender to receiver message.
Sender to Receiver 4	Specify the sender to receiver message.
Sender to Receiver 5	Specify the sender to receiver message.
Sender to Receiver 6	Specify the sender to receiver message.

Remittance Information

Copyright © 2025, 2025, Oracle and/or its affiliates.

Field	Description
Payment Detail 1	Specify the payment details.
Payment Detail 2	Specify the payment details.
Payment Detail 3	Specify the payment details.
Payment Detail 4	Specify the payment details.

3. Click **Next**.

The task will move to next data segment. For more information refer Summary.
For more information on the action buttons, refer to the field description table below.

Table 2-24 Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application **Note** Not applicable for STP of SWIFT MT 765.
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advised. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.
View Undertaking	Clicking this button allows the user to view the undertaking details.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-24 (Cont.) Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the “Trade Finance Portal” for the transactions that are initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Copyright © 2025, 2025, Oracle and/or its affiliates.

2.3.7 Summary

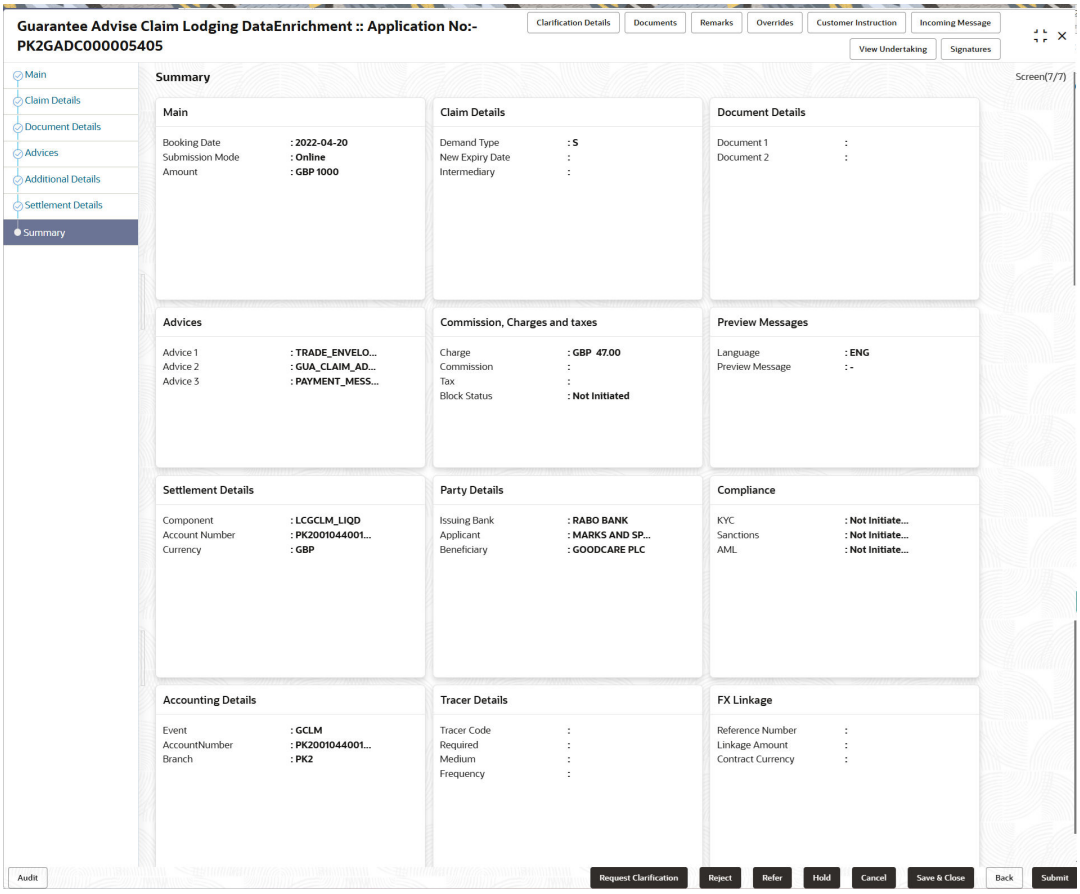
This topic provides the systematic instructions to view the summary of Lodge Claim Guarantee Advised request.

User can review the summary of details updated in Data Enrichment stage of Lodge Claim Guarantee Advised request.
The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

The Summary stage is also applicable for STP and are processed in the same manner.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 2-17 Summary



Tiles Displayed in Summary:

- Main - User can view the application and Guarantee details. User can modify the details if required.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.

Copyright © 2025, 2025, Oracle and/or its affiliates.

- Advices - User can view the advices details.
- Commission, Charges and Taxes - User can view the charge details.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries.

> **Note**
>
> When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Tracer Details - User can view the tracer details.
- FX Linkage Details - User can view the FX linkage details.

2. Click **Submit**.

The task will move to next logical stage.
For more information on action buttons, refer to the field description table below.

Table 2-25 Summary - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be ‘Clarification Requested’.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application **Note** Not applicable for STP of SWIFT MT 765.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-25 (Cont.) Summary - Action Buttons - Field Description

Field	Description
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advised. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.
View Undertaking	Clicking this button allows the user to view the undertaking details.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Clicking this button allows the user to submit the request for clarification to the “Trade Finance Portal” for the transactions that are initiated offline.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-25 (Cont.) Summary - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	Clicking on Back button, takes the user to the previous screen.
Submit	Task will get moved to next logical stage of Guarantee Advise Claim. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided

2.4 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

The Lodge Claim Guarantee Advised request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Amount Block Exception Approval

User can review the amount block exception for Trade Finance requests that failed to create Amount Block in backend system.

Log in into Trade Mid Office (TMO) system amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue.
Log in into Trade Mid Office (TMO) system amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue.

Open the task to view the summary tiles. The tiles should display a list of important fields with values.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number “to the back office. On successful handoff, back office will make use of these “Amount Block Reference Number” to release the Amount Block done in the mid office (OBTFPMCS) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

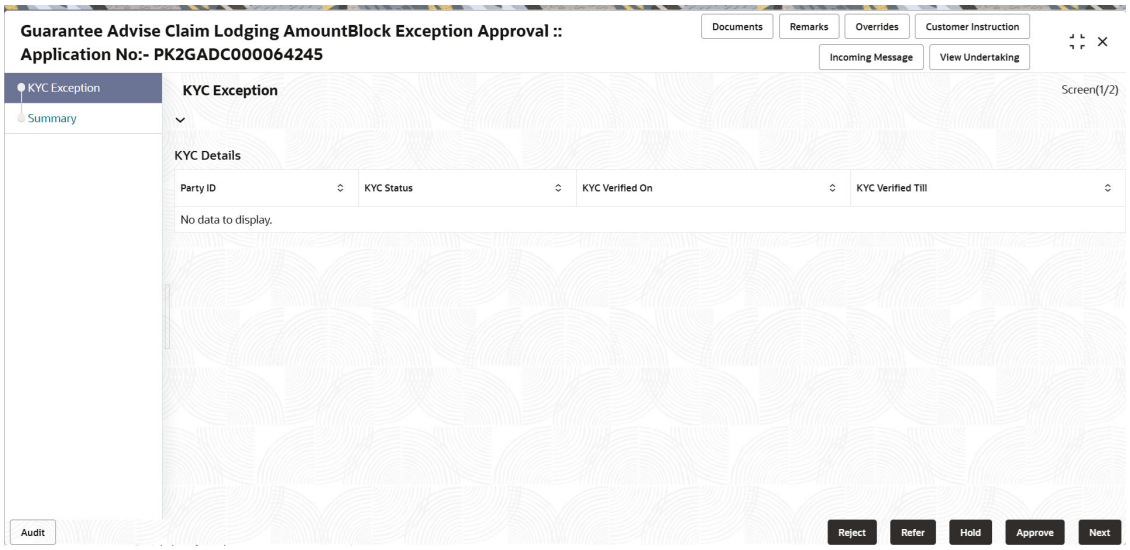
Copyright © 2025, 2025, Oracle and/or its affiliates.

- Approve:
  - Settlement amount will be funded (outside of this process)
  - Allow account to be overdrawn during hand-off
- Refer:
  - Refer Refer back to DE providing alternate settlement account to be used for block.
  - Different collateral to be mapped or utilize lines in place of collateral.
- Reject: Reject the transaction due to non-availability of sufficient balance in settlement account Amount Bock Exception This section will display the amount block exception details.

Application Details

All fields displayed under Application details section, would be read only.

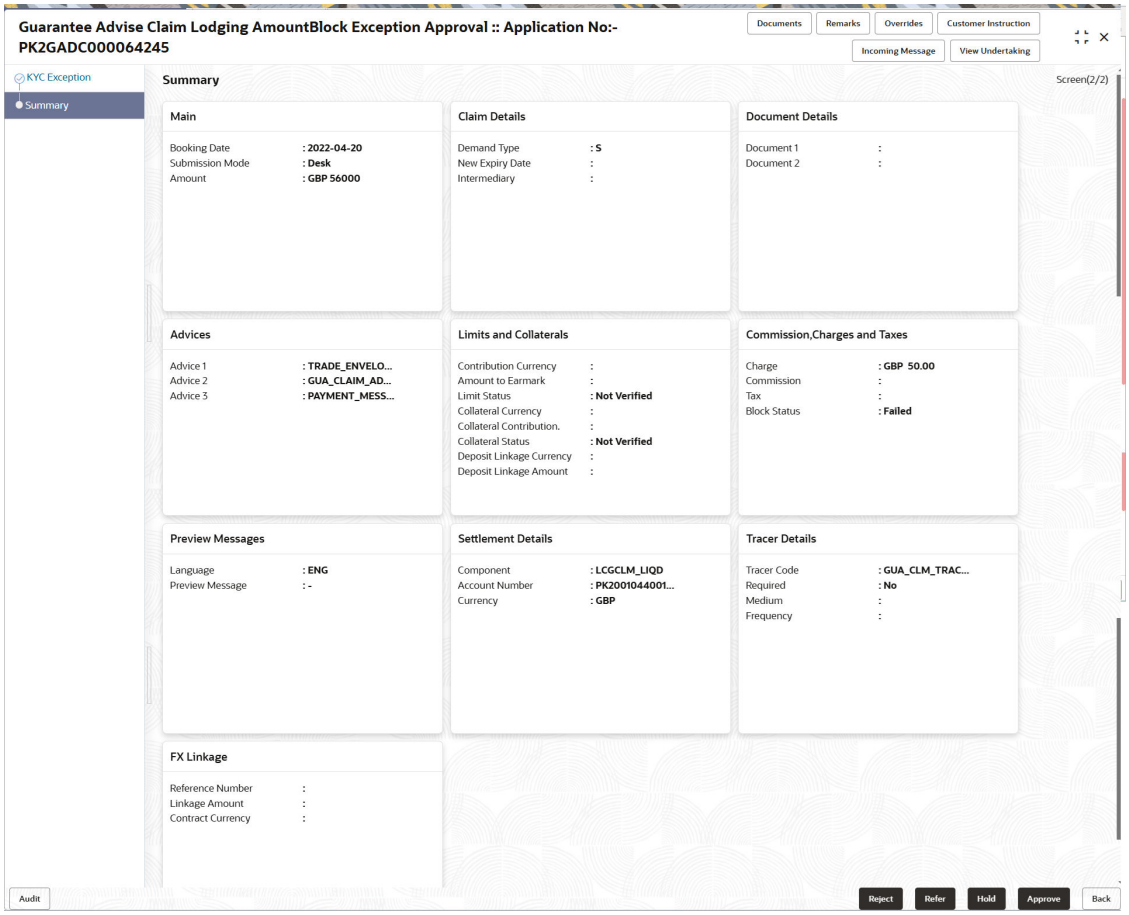
Amount Bock Exception



This section will display the amount block exception details.

Summary

Copyright © 2025, 2025, Oracle and/or its affiliates.



Tiles Displayed in Summary:

- Main - User can view the application and Guarantee details.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view the charge details. User can only view but cannot modify the details.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Tracer Details - User can view the tracer details.
- FX Linkage - User can view the FX linkage details.

1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-26 Amount Bock Exception - Action Buttons - Field Description

Field	Description
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. **Note** Not applicable for STP of SWIFT MT 765.
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advised. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.
View Undertaking	Clicking this button allows the user to view the undertaking details.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-26 (Cont.) Amount Bock Exception - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

1. Log in into OBTFPMCS application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
2. Open the task, to see summary tiles that display a summary of available updated fields with values.
   User can pick up a transaction and do the following actions:

   Approve

   - After changing the KYC status in the back end application (outside this process).
   - Without changing the KYC status in the back end application.
   - Reject (with appropriate reject reason).

Summary

Copyright © 2025, 2025, Oracle and/or its affiliates.

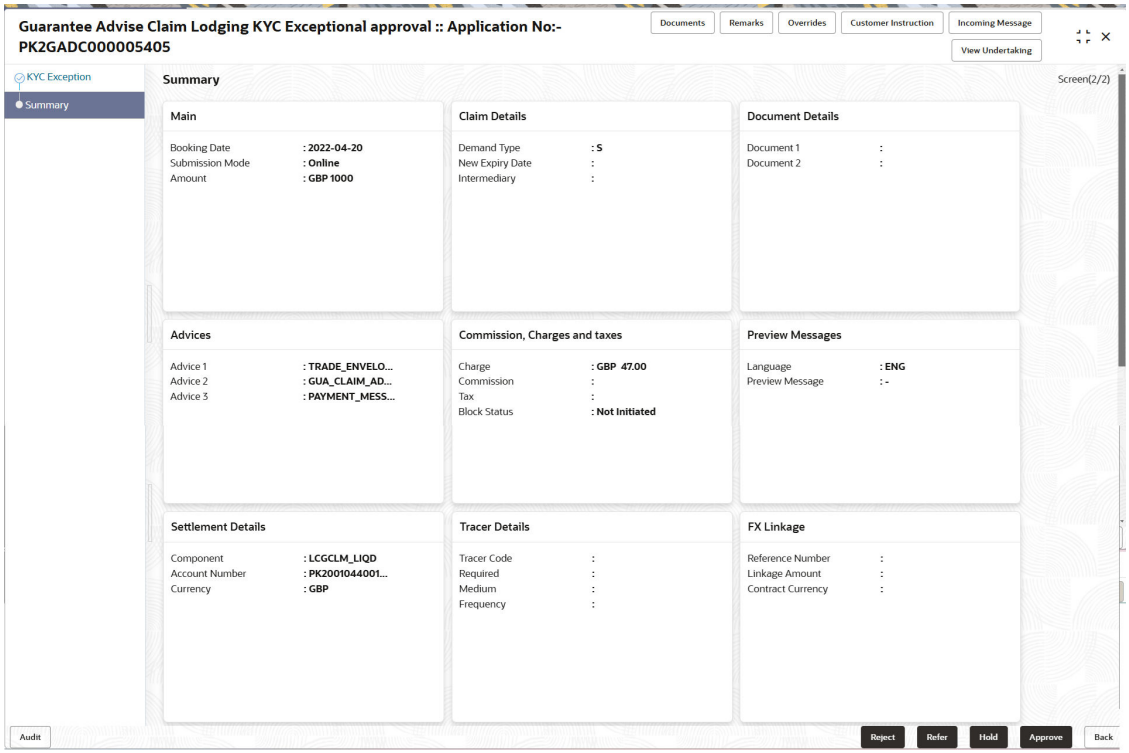


Figure 2-18 Know Your Customer (KYC) Exception

Tiles Displayed in Summary:

- Main Details - User can view the application and Guarantee details.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view the charge details. User can only view but cannot modify the details.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Tracer Details - User can view the tracer details.
- FX Linkage - User can view the FX linkage details.

For more information on Action Buttons, refer to the field description table below.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-27 Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

Field	Description
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. **Note** Not applicable for STP of SWIFT MT 765.
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advised. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.
View Undertaking	Clicking this button allows the user to view the undertaking details.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-27 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

1. Log in into OBTFPMCS application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue.
2. Click **My Task**. The summary tiles displays summary of important fields with values.

> **Note**
>
> On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

Copyright © 2025, 2025, Oracle and/or its affiliates.

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view the application and Guarantee details.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view the charge details. User can only view but cannot modify the details.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Tracer Details - User can view the tracer details.
- FX Linkage - User can view the FX linkage details.

For more information on action butons, refer to the field description table below.

Table 2-28 Exception - Limit Check/Credit - Action Buttons – Field Description

Field	Description
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. **Note** Not applicable for STP of SWIFT MT 765.
Remarks	Specify any additional information regarding the Lodge Claim Guarantee Advised. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-28 (Cont.) Exception - Limit Check/Credit - Action Buttons – Field Description

Field	Description
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.
View Undertaking	Clicking this button allows the user to view the undertaking details.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

2.5 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

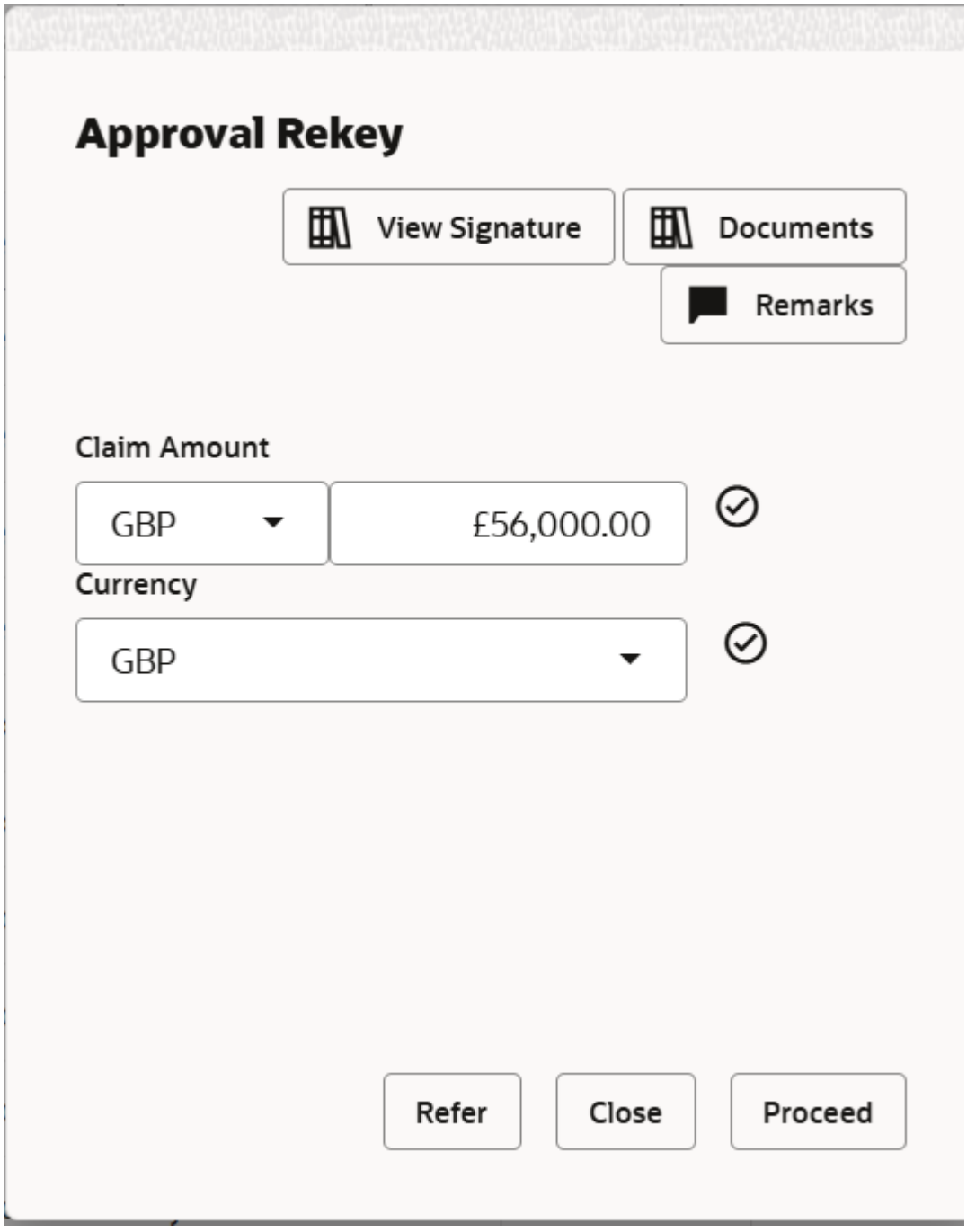
This stage allows the approver user to approve a Claim Lodged under Guarantee Advised Transaction.

1. Log in into OBTFPMCS application and on **Home** screen, click, **Task**.
2. Under **Task**, click **Free Task**.
3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to approve the task.

Copyright © 2025, 2025, Oracle and/or its affiliates.

The **Approval Re-Key** pop-up screen appears.

Figure 2-19 Approval Re-Key



The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message and user will not be able to approve the task.

Copyright © 2025, 2025, Oracle and/or its affiliates.

5. Open the task and re-key some of the critical field values from the request in the **Approval Re-Key** screen.

   Some of the fields below will dynamically be available for re-key.:

   - Claim Amount
   - Currency

   Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

6. Click **Proceed** to proceed for the approval.

   The **Approval Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

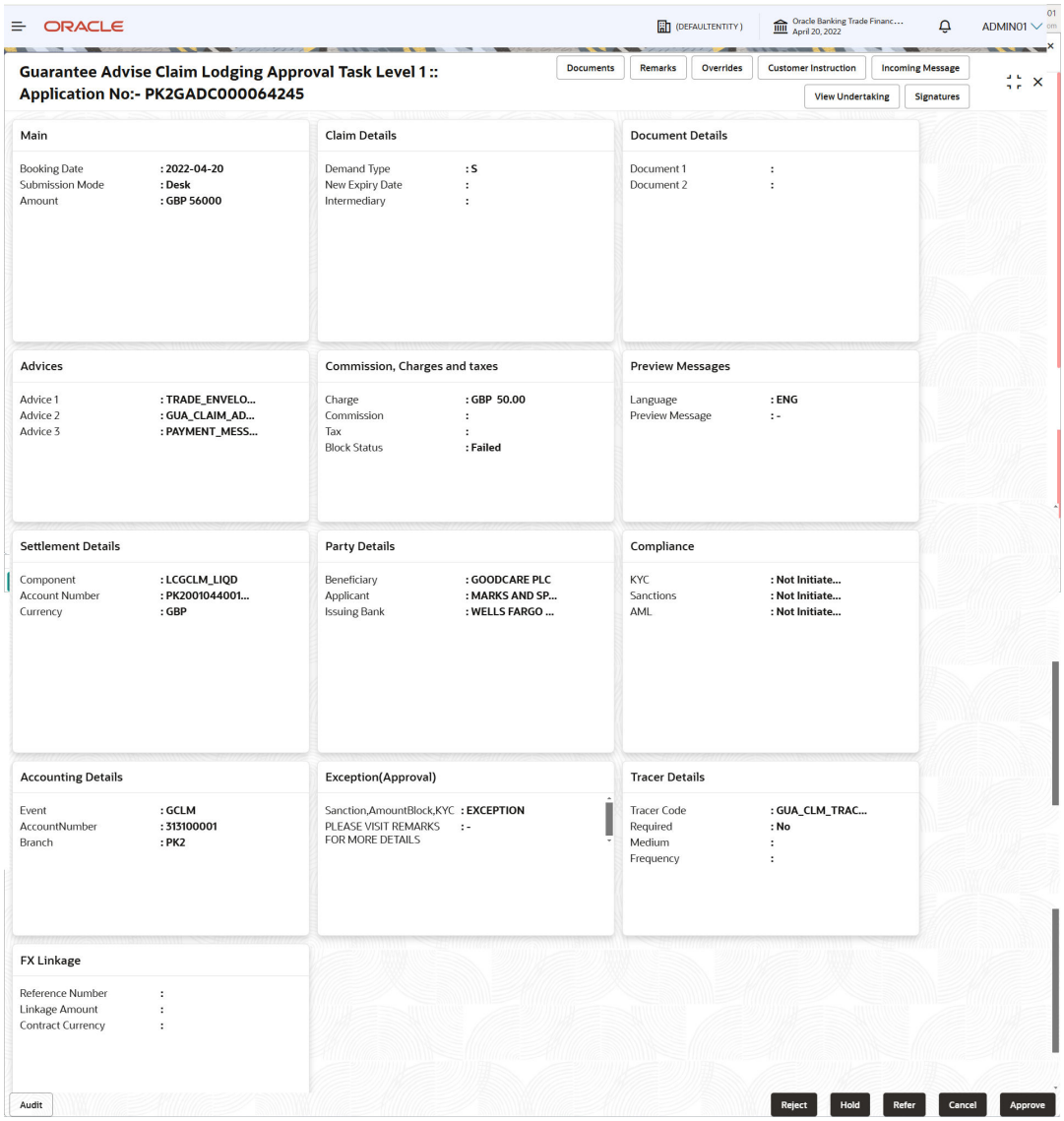
7. Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

   In case of MT798, on approval the task is handed off to back office system to create a Guarantee contract and generate the required MT760/761 messages.

> **Note**
>
> The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Approval Summary

Copyright © 2025, 2025, Oracle and/or its affiliates.



Tiles Displayed in Summary:

- Main - User can view the application and Guarantee details. User can modify the details if required.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view the charge details. User can only view but cannot modify the details.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Party Details - User can view the party details like beneficiary, advising bank etc.

Copyright © 2025, 2025, Oracle and/or its affiliates.

- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries.

> **Note**
>
> When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Exception (Approval) - User can view the exception (Approval) details.
- Tracer Details - User can view the tracer details.
- FX Linkage Details - User can view the FX linkage details.

For more information on Action Buttons, refer to the field description table below.

Table 2-29 Approval Summary - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the guarantee. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.
View Undertaking	Clicking this button allows the user to view the undertaking details.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Table 2-29 (Cont.) Approval Summary - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.

8. Click **Approve**.

Copyright © 2025, 2025, Oracle and/or its affiliates.

Index

A

Additional Details, *39*
Additional Details - Action Buttons - Field Description, *39*
Advice Details, *35*
Advices, *35*
Advices - Action Buttons, *35*
Amount Bock Exception - Action Buttons - Field Description, *64*
Application Details, *19*
Approval Summary, *73*
Approval Summary - Action Buttons - Field Description, *73*
Authorization Re-Key (Non-Online Channel, *73*

B

Benefits, *1*
Bi-Directional Flow for Offline Transactions Initiated from OBTFPM, *17*

C

Charge Details, *39*
Claim Details, *27*
Claim Details - Action Buttons, *27*
Commission Details, *39*

D

Data Enrichment, *17*
Document Details, *32*

E

Exception - Amount Block, *64*
Exception - Limit Check/Credit - Action Buttons – Field Description, *64*
Exceptions, *64*

G

Guarantee Details, *2*, *19*

K

Key Features, *1*

L

Limits and Collaterals, *39*
Lodge Claim Guarantee Advised - Registration - Action Buttons, *2*
Lodge Claim Guarantee Advised - Registration - Application Details, *2*

M

Main Details, *19*
Main Details - Action Buttons - Field Description, *19*
Multi Level Approval, *73*

O

Overview, *1*

P

Preview Message, *39*

R

Registration, *2*

S

Scrutiny - Document Details - Action Buttons - Field Description, *32*
Settlement Details, *56*
Settlement Details - Action Buttons - Field Description, *56*
Summary, *61*
Summary - Action Buttons - Field Description, *61*

T

Tax Details, *39*
Tracer Details, *39*

Copyright © 2025, 2025, Oracle and/or its affiliates.