

# Oracle Banking Trade Finance Process Management Cloud Service

## Shipping Guarantee Issuance Islamic User Guide



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# Preface

- [Purpose](#)
- [Audience](#)  
This document is intended for the following audience:
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Structure](#)  
This manual is organized into the following chapters:
- [Conventions](#)
- [Related Documents](#)
- [Screenshot Disclaimer](#)
- [Basic Actions](#)
- [Symbols and Icons](#)

## Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management Cloud Service **Shipping Guarantee Issuance - Islamic** process.

## Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

### Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

## Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at [Critical Patches, Security Alerts and Bulletins](#). All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by [Oracle Software Security Assurance](#).

## Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## Structure

This manual is organized into the following chapters:

- Preface: Preface gives information on the intended audience, structure, and related documents for this User Manual.
- Chapters: The subsequent chapters provide an overview to the module.
- Screen Shot Disclaimer - The images of screens used in this user manual are for illustrative purpose only, to provide improved understanding of the functionality; information used in the interface or documents are dummy, it does not exist in real world, and its only for reference purpose.

## Conventions

The following text conventions are used in this document:

Convention	Meaning
<b>boldface</b>	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

## Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

## Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

## Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

**Table 1 Common Action Buttons and its Definitions**

Action Buttons	Description
<b>Reject</b>	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others</li></ul> <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>
<b>Refer</b>	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others</li></ul>
<b>Hold</b>	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
<b>Cancel</b>	<p>Click <b>Cancel</b> to cancel the transaction input midway without saving any data.</p>
<b>Save &amp; Close</b>	<p>Click <b>Save &amp; Close</b> to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.</p>
<b>Next</b>	<p>Click <b>Next</b>, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>

Table 1 (Cont.) Common Action Buttons and its Definitions

Action Buttons	Description
<b>Submit</b>	Click <b>Submit</b> to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

## Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 2 Symbols and Icons - Common














Symbol/Icon	Function
	Minimize
	Maximize
	Close
	Perform Search
	Open a list
	Date Range
	Add a new record
	Navigate to the first record
	Navigate to the last record
	Navigate to the previous record
	Navigate to the next record
	Grid view
	List view

Table 2 (Cont.) Symbols and Icons - Common















Symbol/Icon	Function
	Refresh
	Click this icon to add a new row.
	Click this icon to delete a row, which is already added.
	Calendar
	Alerts
	Unlock Option
	View Option
	Reopen Option

Table 3 Symbols and Icons - Widget

Symbol/Icon	Function
	Open status
	Unauthorized status
	Rejected status
	Closed status
	Authorized status
	Modification Number





# 1

## Oracle Banking Trade Finance Process Management Cloud Service

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management Cloud Service process.

Welcome to the Oracle Banking Trade Finance Process Management Cloud Service User Guide. This guide provides an overview on the OBTFPMCS application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPMCS:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### Overview

Oracle Banking Trade Finance Process Management Cloud Service is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. Oracle Banking Trade Finance Process Management Cloud Service enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### Benefits

Oracle Banking Trade Finance Process Management Cloud Service helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPMCS allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



# 2

## Shipping Guarantee Issuance - Islamic

This User Manual describes the various stages of Shipping Guarantee Issuance - Islamic process.

As part of Shipping Guarantee Issuance, the Importer (Applicant in case of LC transaction and Drawee in case of Documentary Collection) approaches a bank and requests the bank to issue a Shipping Guarantee and the Shipping guarantee is presented by the Importer to the carrier for taking delivery of the goods in the case of arrival of cargo prior to the shipping document.

The various stages involved for issuance of Shipping Guarantees are:

- Input application details and Upload of related mandatory and non-mandatory documents in Registration stage.
- Input/Modify details of Shipping Guarantee - Data Enrichment stage
- Check for limit availability
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges
- Capture remarks for other users to check and act Generate acknowledgements
- Hand off request to back office

The design, development and functionality of the Islamic Shipping Guarantee Issuance process flow is similar to that of conventional Shipping Guarantee issuance process flow.

In the subsequent sections, let's look at the details for Shipping Guarantee Issuance process.

This topic contains following sub-topics:

- [Common Initiation Stage](#)  
This topic provides the systematic instructions to initiate the new Shipping Guarantee Issuance - Islamic request.
- [Registration](#)  
This topic provides the systematic instructions to initiate the Registration stage of Shipping Guarantee Issuance - Islamic request.
- [Data Enrichment](#)  
This topic provides the systematic instructions to initiate the Data Enrichment stage of Shipping Guarantee Issuance - Islamic request.
- [Exceptions](#)  
This topic helps you quickly get acquainted with the Exceptions process.
- [Multi Level Approval](#)  
This topic helps you quickly get acquainted with the Multi Level Approval process.

## 2.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the new Shipping Guarantee Issuance - Islamic request.

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Initiate Task**.

The **Initiate Task** screen appears.

**Figure 2-1 Initiate Task**

2. On **Initiate Task** screen, specify the fields.

### Note

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

**Table 2-1 Initiate Task - Field Description**

Field	Description
<b>Process Name</b>	Select a process name from the drop-down list.
<b>Branch</b>	Select the required branch code from the drop-down list.

For more information on action buttons, refer to the field description table below.

**Table 2-2 Action Buttons - Field Description**

Field	Description
<b>Proceed</b>	Task will get initiated to next logical stage.

Table 2-2 (Cont.) Action Buttons - Field Description

Field	Description
Clear	Click to clear the contents update and enter the values again.

3. Click **Proceed** to proceed to the next step.

## 2.2 Registration

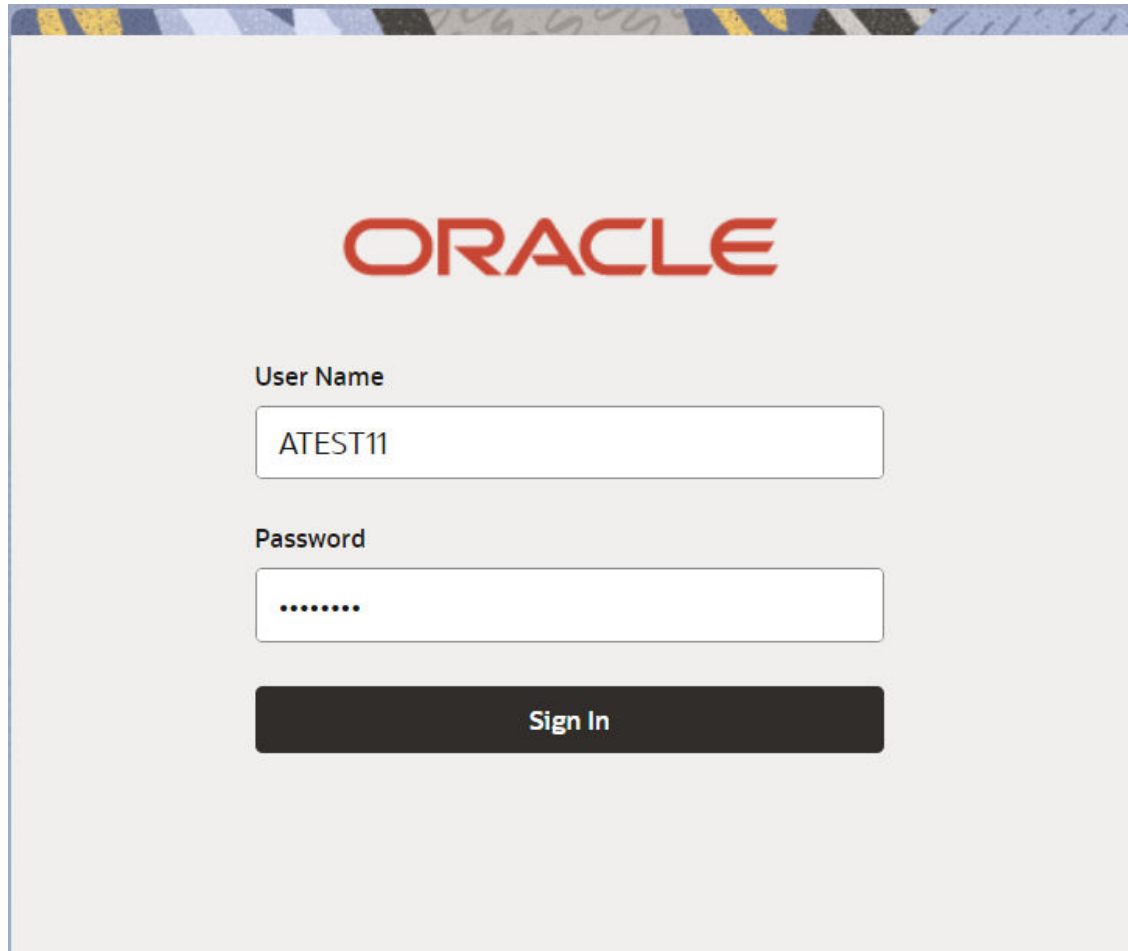
This topic provides the systematic instructions to initiate the Registration stage of Shipping Guarantee Issuance - Islamic request.

The first stage of Shipping Guarantee Issuance - Islamic process starts from the Registration Stage. The user can initiate the Islamic Shipping Guarantee issuance from the Registration process.

The OBTFPMCS user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPMCS verifies the field 21 and 26E ( of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

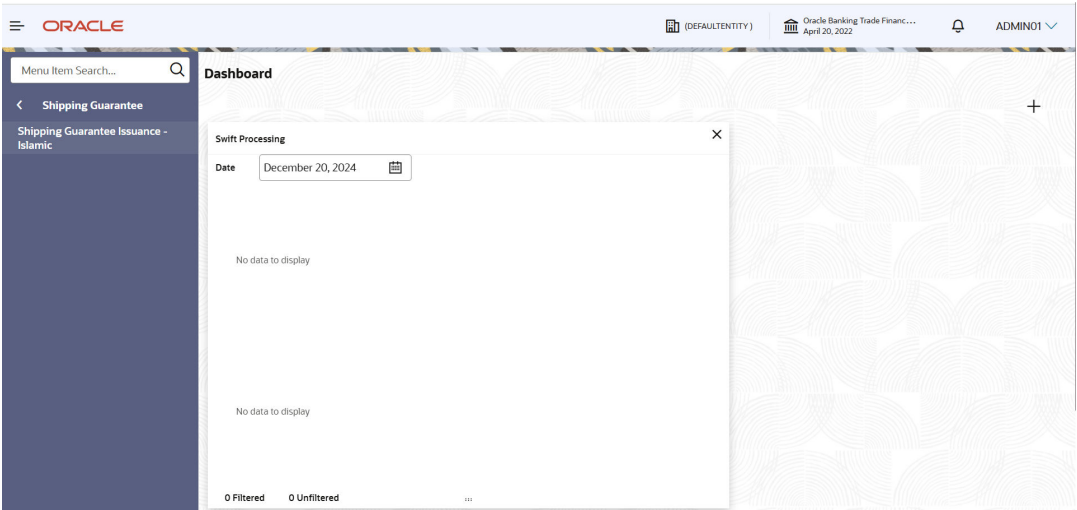
The OBTFPMCS user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

Specify **User ID** and **Password**, and login to **Home** screen.

**Figure 2-2    LogIn Screen**The image shows the Oracle login screen. At the top, the Oracle logo is displayed in red. Below the logo, there are two input fields. The first is labeled "User Name" and contains the text "ATEST11". The second is labeled "Password" and contains a series of dots. Below these fields is a dark gray button with the text "Sign In" in white.

1. On **Home** screen, click **Trade Finance - Islamic**. Under **Trade Finance - Islamic**, click **Shipping Guarantee**.
2. Under **Shipping Guarantee**, click **Shipping Guarantee Issuance - Islamic**.

**Figure 2-3    Shipping Guarantee Issuance - Islamic**



The **Shipping Guarantee Issuance - Islamic** screen appears.  
The Shipping Guarantee Issuance - Islamic - Registration stage has two sections  
Application Details and Shipping Guarantee Details. Let's look at the details of Registration  
screens below:

Figure 2-4 Application Details

The request is received at the Branch/ Front office or Processing centre. The user should be able to input the following details.

3. On **Shipping Guarantee Issuance - Islamic - Registration - Application Details** screen, specify the fields.



**Note**

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

For more information on fields, refer to the field description table below.

**Table 2-3 Shipping Guarantee Issuance - Islamic - Registration - Application Details**

Field	Description
<b>Issued Against</b>	Select whether this Shipping Guarantee is issued against Documentary Credit or against a Documentary Collection from the drop-down. The options are: <ul style="list-style-type: none"> <li>Documentary Credit</li> <li>Documentary Collection</li> </ul>
<b>Documentary Credit Number</b>	Specify the Documentary Credit number.  Alternatively, click <b>Search</b> icon to search and select the Documentary Credit number from the look-up.  This field is enabled if <b>Documentary Credit</b> is selected from the <b>Issued Against</b> field.
<b>Customer</b>	The customer ID for the selected Documentary credit is populated in this field, if <b>Documentary Credit</b> is selected from the <b>Issued Against</b> field. The user can not change the value. Specify the customer ID or click <b>Search</b> to search and select the customer ID from the look-up, if <b>Documentary Collection</b> is selected from the <b>Issued Against</b> field.
<b>Branch</b>	System defaults the branch name from shipping Guarantee issuance.
<b>Process Reference Number</b>	Read only field.  Unique sequence number for the transaction.  This is auto generated by the system based on process name and branch code.
<b>Customer Reference Number</b>	Specify the customer reference number.
<b>Priority</b>	Priority maintained will be populated as either 'Low or Medium or High or Essential or Critical'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. User can change the priority populated any time before submit of Registration stage.
<b>Submission Mode</b>	System defaults the submission mode from Shipping Guarantee.  By default the submission mode will have the value as 'Desk'. <ul style="list-style-type: none"> <li><b>Desk</b> - Request received through Desk</li> <li><b>Courier</b> - Request received through Courier</li> <li><b>Online</b> - Request received through Email</li> </ul> User can change the submission mode populated.

**Table 2-3 (Cont.) Shipping Guarantee Issuance - Islamic - Registration - Application Details**

Field	Description
<b>Booking Date</b>	Read only field.  By default, the application displays the branch's current date.  User cannot change the value. If the task gets approved on a later date, system will populate that date as the booking date.

**Shipping Guarantee Details**

Registration user can provide Shipping Guarantee details in this section. Alternately, Shipping guarantee details can be provided by Data Enrichment user.

- On **Shipping Guarantee Issuance - Islamic - Registration - Guarantee Details** screen, specify the fields.

**Note**

The fields which are marked in asterisk are mandatory.

For more information on fields, refer to the field description table below.

**Table 2-4 Shipping Guarantee Issuance - Islamic - Registration - Shipping Guarantee Details - Field Description**

Field	Description
<b>Product Code</b>	Specify the applicable Islamic Shipping Guarantee product code.  On tab out system will validate and populate the selected product description. The product codes will be listed based on the selected value in Form of Undertaking.  Alternatively, click <b>Search</b> icon to search and select the product code from the look-up.
<b>Product Description</b>	Read only field.  Product description is auto populated by the application based on the selected Product Code.

**Table 2-4 (Cont.) Shipping Guarantee Issuance - Islamic - Registration - Shipping Guarantee Details - Field Description**

Field	Description
<b>Outstanding LC Amount</b>	Read only field. System defaults outstanding LC amount, if any.
<b>SG/DO Amount</b>	Specify the amount for which the shipping guarantee has to be issued. The currency is defaulted by the system, user can change the currency.
<b>Amount In Local Currency</b>	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
<b>Contract Reference Number</b>	Read only field. Auto-generated by back end application. Shipping guarantee back office reference gets simulated and displayed.
<b>User Reference Number</b>	User Reference Number will be auto populated by the system based on selection of Product Code. The user can change the User Reference Number.
<b>SG/DO Beneficiary</b>	Specify the Islamic Shipping Guarantee beneficiary details or click <b>Search</b> to search and select the Shipping Guarantee beneficiary details from the look-up.
<b>SG/DO Expiry Date</b>	Specify or select the expiry date of the Shipping Guarantee Issuance.
<b>Mode of Transport</b>	Select the mode of shipment from the drop-down The values are: <ul style="list-style-type: none"> <li>• Sea</li> <li>• Air</li> <li>• Road</li> <li>• Rail</li> <li>• Multimodal</li> <li>• Other</li> </ul>
<b>Cargo Arrival Details</b>	Specify the cargo arrival details.

5. Click **Submit**.

The task will move to next logical stage of Shipping Guarantee Issuance - Islamic. For more information refer [Data Enrichment](#).

For more information on action buttons, refer to the field description table below.

**Table 2-5 Shipping Guarantee Issuance - Islamic - Registration - Action Buttons - Field Description**

Field	Description
<b>Documents</b>	Upload the documents received. Application displays mandatory documents to be uploaded for Shipping Guarantee Issuance - Islamic. Place holders are also available to upload additional documents submitted by the applicant

**Table 2-5 (Cont.) Shipping Guarantee Issuance - Islamic - Registration - Action Buttons - Field Description**

Field	Description
<b>Remarks</b>	Specify any additional information regarding the Shipping Guarantee Issuance - Islamic. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.
<b>Customer Instruction</b>	Click to view/ input the following: <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
<b>Common Group Messages</b>	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
<b>View LC</b>	Clicking this button allows the user to view the latest underlying LC details from the back office system. This button appears, if <b>Documentary Credit</b> is selected from the <b>Issued Against</b> field.
<b>Events</b>	Clicking Events button allows the user to view all the previous events under the LC. This button appears, if <b>Documentary Credit</b> is selected from the <b>Issued Against</b> field.
<b>Hold</b>	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
<b>Cancel</b>	Cancels the Shipping Guarantee Issuance - Islamic task. Details entered will not be saved and the task will be removed.
<b>Save &amp; Close</b>	Save the information provided and holds the task in 'My Task' queue for working later.  This option will not submit the request.
<b>Submit</b>	Click Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. The task will move to next logical stage of Shipping Guarantee Issuance - Islamic. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
<b>Checklist</b>	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

## 2.3 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of Shipping Guarantee Issuance - Islamic request.

On successful completion of Registration of an Shipping Guarantee Issuance - Islamic request, the request moves to Data Enrichment stage. At this stage the bank user would be able to input/update fields for OIslamic Shipping Guarantee Issuance.

Requests that are created online (Trade Portal) the task will be created in DE stage directly and the fields will be populated based on the incoming request and user can view and update if required. Submission mode for online requests will be "Online".

### Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task currently at Data Enrichment stage:

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Task**.
2. Under **Task**, click **Free Task**.

Figure 2-5 Free Task

	Acquire and Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
<input checked="" type="checkbox"/>	Acquire and Edit	Medium	Islamic Shipping Guar...	PK2ISGI000065152	PK2ISGI000065152	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Amendmen...	PK2IELM000065158	PK2IELM000065158	Registration	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Drawing	PK2ELCD000053003	PK2ELCD000053003	Registration	22-04-20
<input type="checkbox"/>	Acquire and Edit		STP Process Allocation	PK2STPP000065122	PK2STPP000065122	Process Identification	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Guarantee Issuance A...	PK2IGTM000005723	PK2IGTM000005723	Sanction Check Exceptiona...	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Import LC Liquidation	PK2ILCL000065134	PK2ILCL000065134	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit		STP Process Allocation	PK2STPP000065124	PK2STPP000065124	Process Identification	22-04-20
<input type="checkbox"/>	Acquire and Edit		STP Process Allocation	PK2STPP000065123	PK2STPP000065123	Process Identification	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Import LC Internal Am...	PK2ILCI000065102	PK2ILCI000065102	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Import LC Internal Am...	PK2ILCI000065084	PK2ILCI000065084	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export LC Liquidation	PK2ELCL000065083	PK2ELCL000065083	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Import Documentary ...	PK2IDCU000065082	PK2IDCU000065082	DataEnrichment	22-04-20
<input type="checkbox"/>	Acquire and Edit	Medium	Export Documentary ...	PK2EDCU000065081	PK2EDCU000065081	DataEnrichment	22-04-20

The **Free Task** screen appears.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to acquire the task.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task or provide input for Data Enrichment stage.

Figure 2-6 My Task

Edit	Priority	Process Name	Process Refer...	Application Number	Stage	Application Date
<input checked="" type="checkbox"/> Edit	Medium	Islamic Shipping Guarantee Issuance	PK2ISGI0...	PK2ISGI000052499	DataEnrichment	22-04-20
<input type="checkbox"/> Edit	Medium	Import LC Issuance Islamic	PK2ILIO0...	PK2ILIO000052480	Scrutiny	22-04-20
<input type="checkbox"/> Edit	Medium	Export LC Transfer	PK2ELCT...	PK2ELCT000052389	Scrutiny	22-04-20
<input type="checkbox"/> Edit	Medium	Shipping Guarantee Issuance	PK2SGTI...	PK2SGTI000052384	DataEnrichment	22-04-20
<input type="checkbox"/> Edit	Medium	Guarantee Cancellation	PK2GTCE...	PK2GTCE000052356	DataEnrichment	22-04-20
<input type="checkbox"/> Edit	High	Import LC Issuance	PK2ILCIO...	PK2ILCIO000052311	Scrutiny	22-04-20
<input type="checkbox"/> Edit	High	Import LC Issuance	PK2ILCIO...	PK2ILCIO000052288	Scrutiny	22-04-20
<input type="checkbox"/> Edit	High	Import LC Issuance	PK2ILCIO...	PK2ILCIO000052284	Scrutiny	22-04-20
<input type="checkbox"/> Edit	High	Import LC Issuance	PK2ILCIO...	PK2ILCIO000052274	Scrutiny	22-04-20
<input type="checkbox"/> Edit	High	Import LC Issuance	PK2ILCIO...	PK2ILCIO000052271	Scrutiny	22-04-20
<input type="checkbox"/> Edit	High	Import LC Issuance	PK2ILCIO...	PK2ILCIO000052238	Scrutiny	22-04-20
<input type="checkbox"/> Edit	High	Import LC Issuance	PK2ILCIO...	PK2ILCIO000052106	Scrutiny	22-04-20
<input type="checkbox"/> Edit	Medium	Shipping Guarantee Issuance	PK2SGTI...	PK2SGTI000052085	DataEnrichment	22-04-20

The Data Enrichment stage has the following hops for data capture:

- [Main Details](#)
- [Shipment Details](#)
- [Additional Fields](#)
- [Advices](#)
- [Additional Details](#)
- [Settlement Details](#)
- [Summary](#)

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of Shipping Guarantee Issuance - Islamic - Data Enrichment Stage.

- [Main Details](#)  
This topic provides the systematic instructions to initiate the main details of Data Enrichment stage of Shipping Guarantee Issuance - Islamic request.
- [Shipment Details](#)  
This topic provides the systematic instructions to capture the shipment details of Shipping Guarantee Issuance - Islamic request.
- [Additional Fields](#)  
This topic provides the systematic instructions to capture the additional fields.
- [Advices](#)  
This topic provides the systematic instructions to capture the advices details of Shipping Guarantee Issuance - Islamic process.
- [Additional Details](#)  
This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Shipping Guarantee Issuance - Islamic process.

- [Settlement Details](#)  
This topic provides the systematic instructions to capture the settlement details of Shipping Guarantee Issuance - Islamic request.
- [Summary](#)  
This topic provides the systematic instructions to view the summary details in Data Enrichment stage of Shipping Guarantee Issuance - Islamic process.

## 2.3.1 Main Details

This topic provides the systematic instructions to initiate the main details of Data Enrichment stage of Shipping Guarantee Issuance - Islamic request.

Main details section has two sub section as follows:

- Application Details
- Shipping Guarantee Details.

### Application Details

Applications from Online channels will be directly available in the Data Enrichment stage and the fields will be populated with the details from incoming request.

1. On **Data Enrichment - Main** screen, specify the fields that were not entered at Registration stage.

**Figure 2-7 Data Enrichment - Main**

**Islamic Shipping Guarantee Issuance DataEnrichment :: Application No:- PK2ISGI000052499**

Clarification Details Documents Remarks Overrides  
Customer Instruction Signatures

Screen(1/7)

**Main**

**Application Details - Main**

Issued Against: Documentary Collection

Documentary Credit Number: [Field]

Customer: 001044 GOODCARE [Icon]

Branch: PK2-Oracle Banking Trade Fina [Dropdown]

Process Reference Number: PK2ISGI000052499

Customer Reference Number: 98765KJHGF

Priority: Medium [Dropdown]

Submission Mode: Desk [Dropdown]

Booking Date: April 20, 2022 [Calendar]

**Shipping Guarantee Details**

Product Code: SGLI [Search]

Product Description: Islamic Shipping Guarantee Issuanc

Outstanding LC Amount: [Field]

SG/DO Amount: GBP £1,000.00

Amount in Local Currency: GBP £1,000.00

Contract Reference Number: SGLI221100053001

User Reference Number: SGLI221100053001

SG/DO Beneficiary: 001043 MARKS ANI [Search] [Icon]

SG/DO Expiry Date: December 30, 2022 [Calendar]

Mode of Transport: Sea [Dropdown]

Cargo Arrival Details: ASDFASF

Limit verification required: [Toggle]

Audit [Button] Request Clarification [Button] Reject [Button] Refer [Button] Hold [Button] Cancel [Button] Save & Close [Button] Next [Button]

### Note

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.



Table 2-6 Main - Application Details - Field Description

Field	Description
<b>Issued Against</b>	Read only field. The value is defaulted from Registration stage.
<b>Documentary Credit Number</b>	Read only field. The value is defaulted from Registration stage
<b>Customer</b>	Read only field. The value is defaulted from Registration stage
<b>Branch</b>	System defaults the branch name from shipping Guarantee issuance.
<b>Process Reference Number</b>	Read only field.  Unique sequence number for the transaction.  This is auto generated by the system based on process name and branch code.
<b>Customer Reference Number</b>	Specify the customer reference number.
<b>Priority</b>	Priority maintained will be populated as either 'Low or Medium or High or Essential or Critical'. If priority is not maintained for a customer, <b>Medium</b> priority will be defaulted. User can change the priority populated any time before submit of Registration stage.
<b>Submission Mode</b>	System defaults the submission mode from the Registration stage.  By default the submission mode will have the value as 'Desk'. <ul style="list-style-type: none"> <li>• <b>Desk</b> - Request received through Desk</li> <li>• <b>Courier</b> - Request received through Courier</li> <li>• <b>Online</b> - Request received through Email</li> </ul> User can change the submission mode populated. <div style="border: 1px solid #ccc; padding: 10px; margin-top: 10px;"> <p><b>Note</b></p> <p>When the requests that are created online (Trade Portal) then the Submission mode for online requests will be "Online" and user cannot change the Submission mode.</p> </div>
<b>Booking Date</b>	Read only field.  By default, the application displays the branch's current date.

**Shipping Guarantee Details**

The fields listed under this section are same as the fields listed under the **Shipping Guarantee Details** section in Registration stage. For more information on the fields, **Registration** stage. During registration, if user has not captured input, then user can capture the details in this section.

Figure 2-8 Shipping Guarantee Details



For more information on fields, refer to the field description table below.

**Table 2-7 Shipping Guarantee Details - Field Description**

Field	Description
<b>Product Code</b>	Read only field. System defaults the product code from the Registration stage.
<b>Product Description</b>	Read only field. Product description is auto populated by the application based on the Product Code.
<b>Outstanding LC Amount</b>	Read only field. System defaults outstanding LC amount, if any.
<b>SG/DO Amount</b>	System defaults the shipping guarantee amount from the Registration stage. User can change the currency and amount.
<b>Amount In Local Currency</b>	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
<b>Contract Reference Number</b>	Read only field. Auto-generated by back end application. Shipping guarantee back office reference gets simulated and displayed.
<b>User Reference Number</b>	User Reference Number will be auto populated by the system based on selection of Product Code. The user can change the User Reference Number.
<b>SG/DO Beneficiary</b>	System defaults the Shipping Guarantee beneficiary details from the Registration stage. The user can change the beneficiary details.
<b>SG/DO Expiry Date</b>	System defaults the expiry date of the Shipping Guarantee from the Registration stage. The user can change the expiry date.
<b>Mode of Transport</b>	System defaults the mode of transport details from the Registration stage. The user can change the mode of transport details.
<b>Cargo Arrival Details</b>	Specify the cargo arrival details.

Audit

**Task Audit Trail Details**

Application No.  
PK2ILCI000008772

Branch Code  
PK2

Initiated Date  
4/20/2022

Initiated By  
ATEST11

Process Name  
Import LC Issuance

S.No	Stage Name	Pickup Time	Completed Time	Completed By	Outcome
1	Registration	Thu, 11 Jul 2024 08:01:31 GMT	Thu, 11 Jul 2024 08:02:39 GMT	ATEST11	PROCEED

Close

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on audit, refer to the field description table below.

Table 2-8    Audit - Field Description

Field	D e s c r i p t i o n
Application No.	T h i s f i e l d d i s p l a y s t h e a p p l i c a t i o n n u m b e r o f t h e p r o c e s s .

Table 2-8 (Cont.) Audit - Field Description

Field	D e s c r i p t i o n
Branch Code	T h i s f i e l d d i s p l a y s t h e b r a n c h c o d e .

Table 2-8 (Cont.) Audit - Field Description

Field	Description
Initiated Date	This field displays the date on which process is initiated.

Table 2-8 (Cont.) Audit - Field Description

Field	Description
Initiated By	This field displays the user ID of the user who had initiated the p

Table 2-8 (Cont.) Audit - Field Description

Field	D e s c r i p t i o n
	r o c e s s.

Table 2-8 (Cont.) Audit - Field Description

Field	D e s c r i p t i o n
Process Name	T h i s f i e l d d i s p l a y s t h e n a m e o f t h e p r o c e s s w h i c h i s i n i t i a t e d .



Table 2-8 (Cont.) Audit - Field Description

Field	D e s c r i p t i o n
S. No	T h i s f i e l d d i s p l a y s t h e s e r i a l n u m b e r o f t h e a u d i t r e c o r d .

Table 2-8 (Cont.) Audit - Field Description

Field	D e s c r i p t i o n
Stage Name	T h i s f i e l d d i s p l a y s t h e c u r r e n t s t a g e o f t h e p r o c e s s.

Table 2-8 (Cont.) Audit - Field Description

Field	D e s c r i p t i o n
Completed Time	T h i s f i e l d d i s p l a y s t h e t i m e o n w h i c h t h e a u d i t o f t h e c u r r e n t s t a g e

Table 2-8 (Cont.) Audit - Field Description

Field	D e s c r i p t i o n
	is c o m p l e t e d .

Table 2-8 (Cont.) Audit - Field Description

Field	D e s c r i p t i o n
Completed By	T h i s f i e l d d i s p l a y s t h e u s e r I D o f t h e u s e r w h o h a d c o m p l e t e d t h

Table 2-8 (Cont.) Audit - Field Description

Field	D e s c r i p t i o n
	e a u d i t .
Outcome	T h i s f i e l d d i s p l a y s t h e o u t c o m e o f t h e a u d i t .

2. Click **Next**.
- The task will move to next data segment. For more information refer [Shipment Details](#).

Table 2-9 Main Details - Action Buttons - Field Description

Field	Description
<b>Clarification Details</b>	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
<b>Documents</b>	<p>Click to View/Upload the required document.</p> <p>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>
<b>Remarks</b>	<p>Specify any additional information regarding the Guarantee Issuance Claim Settlement. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>
<b>Overrides</b>	Click to view the overrides accepted by the user.
<b>Customer Instruction</b>	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFCMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
<b>Signatures</b>	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>
<b>Request Clarification</b>	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
<b>Reject</b>	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul> <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>

Table 2-9 (Cont.) Main Details - Action Buttons - Field Description

Field	Description
<b>Refer</b>	Select a Refer Reason from the values displayed by the system.  Refer Codes are: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul>
<b>Hold</b>	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.  This option is used, if there are any pending information yet to be received from applicant.
<b>Cancel</b>	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
<b>Save &amp; Close</b>	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
<b>Next</b>	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## 2.3.2 Shipment Details

This topic provides the systematic instructions to capture the shipment details of Shipping Guarantee Issuance - Islamic request.

As part of DE, user will verify and enter the basic details available in the Islamic Shipping Guarantee request. In case the request is received through online channel, user will verify the details populated.

1. On **Shipment Details** screen, specify the fields.

Figure 2-9 Shipment Details

**Islamic Shipping Guarantee Issuance DataEnrichment :: Application No:- PK2ISGI000052499**

Clarification Details Documents Remarks Overrides  
Customer Instruction Signatures

Screen(2/7)

**Shipment Details**

▼ Shipment Details

Date of Shipment: April 19, 2022

Port of Loading: PORT A

Port of Discharge: PORT B

Carrier Name: MV VISTA

Bill of Lading/AWB No: PK2ISGI000052499

Shipment Marks: SHIP MARK KB

Shipping Agent Name: SDK SHIPPING

▼ Goods Details

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
ROLLNGCHAIR	G	ROLLNGCHAIR	100	£10.00	£1,000.00	

▼ Shipping Guarantee Details

Shipping Guarantee Text: TEST T1


Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Next



For more information on fields, refer to the field description table below.

**Table 2-10 Shipment Details – Field Description**

Field	Description
<b>Shipment Details</b>	
<b>Date Of Shipment</b>	Specify or select the shipment date. If the shipment date is a future date, system should display an Error message.
<b>Port Of Loading</b>	Specify the port of loading.
<b>Port Of Discharge</b>	Specify the port of discharge.
<b>Carrier Name</b>	Specify the name of the carrier through which the goods were shipped.
<b>Bill of Landing/ AWB No.</b>	Specify the Bill of lading number or Airway Bill number.
<b>Shipping Marks</b>	Specify the shipment marks and number.
<b>Shipping Agent Name</b>	Specify the name of the shipping agent.
<b>Goods Details</b> This section displays the goods details from Export Collection Liquidation. The user can click + to add multiple description of goods and services.	
<b>Goods Code</b>	Against LC – System defaults the License Details as per LC details Against BC - User to select the License Details as per the documents
<b>Goods Type</b>	System defaults the goods type as per the selected goods code.
<b>Goods Description</b>	Against LC – System defaults the Goods Description as per LC details. Against BC - User to select the Goods Description as per the documents.
<b>Figure 2-10 Edit icon</b> 	Click Edit icon to edit the goods description.
<b>No of Units</b>	Specify the number of units being imported or exported as per the documents.
<b>Price per Unit</b>	Specify the unit price as per the documents.
<b>Total Amount</b>	System to calculate the total price. In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/Collection).
<b>Action</b>	Click Edit icon to edit the goods details. Click Delete icon to delete the goods details.
<b>Shipping Guarantee Details</b>	
<b>Shipping Guarantee Text</b>	Specify the shipping guarantee text.

**2. Click Next.**

The task will move to next data segment. For more information refer [Additional Fields](#).

Table 2-11 Shipment Details - Action Buttons - Field Description

Field	Description
<b>Clarification Details</b>	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
<b>Documents</b>	View/Upload the required document.  Application displays the mandatory and optional documents.  The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
<b>Remarks</b>	Specify any additional information regarding the collection. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.
<b>Overrides</b>	Click to view the overrides accepted by the user.
<b>Customer Instruction</b>	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
<b>Signatures</b>	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.  If more than one signature is required, system should display all the signatures.
<b>Request Clarification</b>	Click the Request Clarification button to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.
<b>Reject</b>	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes are: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

**Table 2-11 (Cont.) Shipment Details - Action Buttons - Field Description**

Field	Description
<b>Refer</b>	Select a Refer Reason from the values displayed by the system.  Refer Codes are: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul>
<b>Hold</b>	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.  This option is used, if there are any pending information yet to be received from applicant.
<b>Cancel</b>	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
<b>Save &amp; Close</b>	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
<b>Back</b>	On click of Back, system moves the task back to previous data segment.
<b>Next</b>	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## 2.3.3 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Based on the User defined fields maintained system defaults the UDF maintained.

1. On **Additional Fields** screen, specify the fields, if any.

**Figure 2-11 Additional Fields**

2. Click **Next**.

The task will move to next data segment. For more information refer [Advices](#).  
For more information on action buttons, refer to the field description table below.

**Table 2-12 Additional Fields - Action Buttons - Field Description**

Field	Description
<b>Clarification Details</b>	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
<b>Documents</b>	View/Upload the required document.  Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
<b>Remarks</b>	Specify any additional information regarding the shipping guarantee. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.
<b>Overrides</b>	Click to view the overrides accepted by the user.
<b>Customer Instruction</b>	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
<b>Signatures</b>	Click the Signature button to verify the signature of the customer/ bank if required.  The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.  If more than one signature is required, system should display all the signatures.
<b>Request Clarification</b>	User can specify the clarification details for requests received online.
<b>Save &amp; Close</b>	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
<b>Cancel</b>	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.

**Table 2-12 (Cont.) Additional Fields - Action Buttons - Field Description**

Field	Description
<b>Hold</b>	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
<b>Reject</b>	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul> <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>
<b>Refer</b>	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul>
<b>Back</b>	On click of Back, system moves the task back to previous data segment.
<b>Next</b>	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## 2.3.4 Advices

This topic provides the systematic instructions to capture the advices details of Shipping Guarantee Issuance - Islamic process.

As part of DE, user will verify the advices details data segment of the Islamic Shipping Guarantee Issuance request. Based on the Advices maintained at the Product level, system should default the advices. User can also suppress the Advice, if required.

1. On **Advices** screen, click the 3 dots on any advice tile to view the advice details.

**Figure 2-12 Advices**

Islamic Shipping Guarantee Issuance DataEnrichment :: Application No:- PK2ISGI000052499

Clarification Details Documents Remarks Overrides Customer Instruction Signatures

Main Shipment Details Additional Fields **Advices** Additional Details Settlement Details Summary

Screen(4/7)

Advice : LC\_CASH\_COL\_ADV

Advice Name : LC\_CASH\_COL\_ADV  
Advice Party : APP  
Party Name : GOODCARE PLC  
Suppress Advice : YES

Advice : SG\_INSTRUMENT

Advice Name : SG\_INSTRUMENT  
Advice Party : BEN  
Party Name : MARKS AND SP...  
Suppress Advice : NO

Advice : PAYMENT\_MESSAGE

Advice Name : PAYMENT\_MESSAGE  
Advice Party :  
Party Name :  
Suppress Advice : NO

Advice : PAYMENT\_MESSAGE

Advice Name : PAYMENT\_MESSAGE  
Advice Party :  
Party Name :  
Suppress Advice : NO

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

### Advice Details

**Advice Details**

▼ Advice Details

Suppress Advice ☐

Advice Name Medium Advice Party

GUARANTEE SWIFT ABK

Party ID Party Name

001041 WELLS FARGO ...

▼ FFT Code

FFT Code	FFT Description	Action
FREEVP	TESTING FFT	

▼ Instructions

Instruction Code	Instruction Description	Edit	Action
E023	IN CASE, REIMBURSING BANK IN NEW YORK, FAILS TO F		



OK Cancel

For more information on fields, refer to the field description table below.

**Table 2-13 Advice Details**

Field	Description
<b>Suppress Advice</b>	Enable this option to suppress the advice. Disable this option if suppress advice is not required.
<b>Advice Name</b>	Displays the advice name.
<b>Medium</b>	Displays the medium of advices is defaulted from the system.
<b>Advice Party</b>	Displays the advice party is defaulted from the system.

Table 2-13 (Cont.) Advice Details

Field	Description
<b>Party ID</b>	Displays the party Id defaulted from system.
<b>Party Name</b>	Displays the defaulted from Shipping Guarantee.
<b>FFT Code</b>	Specify the free format text based on the following table. Click plus icon to add new FFT code.
<b>FFT Code</b>	Click <b>Search</b> to search and select the FFT Code.
<b>FFT Description</b>	FFT description is populated based on the FFT code selected. User can edit the FFT description.
	Click edit icon to edit the existing FFT description.
<b>Action</b>	Click delete icon to remove any existing FFT code.  Click edit icon to edit the existing FFT code.
<b>Instructions</b>	Specify the instruction details based on the following table. Click plus icon to add new instruction code.
<b>Instruction Code</b>	Click <b>Search</b> to search and select the instruction Code.
<b>Instruction Description</b>	Instruction description is populated based on the instruction code selected. User can edit the instruction description.
	Click edit icon to edit the existing instruction description.
<b>Action</b>	Click delete icon to remove any existing instruction code.  Click edit icon to edit the existing instruction code.

2. Click **Next**.

The task will move to next data segment.

For more information on fields, refer to the field description table below.

Table 2-14 Advices - Action Buttons - Field Description

Field	Description
<b>Clarification Details</b>	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
<b>Documents</b>	Click to View/Upload the required document.  Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application

Table 2-14 (Cont.) Advices - Action Buttons - Field Description

Field	Description
<b>Remarks</b>	Specify any additional information regarding the Shipping Guarantee Issuance - Islamic. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.
<b>Overrides</b>	Click to view the overrides accepted by the user.
<b>Customer Instruction</b>	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
<b>Signatures</b>	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.  If more than one signature is required, system should display all the signatures
<b>Request Clarification</b>	Clicking this button allows the user to submit the request for clarification to the “Trade Finance Portal” for the transactions that are initiated offline.
<b>Reject</b>	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes are: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
<b>Refer</b>	Select a Refer Reason from the values displayed by the system.  Refer Codes are: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul>
<b>Hold</b>	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.  This option is used, if there are any pending information yet to be received from applicant.



**Table 2-14 (Cont.) Advices - Action Buttons - Field Description**

Field	Description
<b>Cancel</b>	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
<b>Save &amp; Close</b>	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
<b>Back</b>	Clicking on Back button, takes the user to the previous screen.
<b>Next</b>	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## 2.3.5 Additional Details

This topic provides the systematic instructions to capture the additional details in Data Enrichment stage of Shipping Guarantee Issuance - Islamic process.

A Data Enrichment user can verify/input/update the basic additional details available in the Shipping Guarantee Issuance - Islamic request.

1. On **Additional Details** screen, click the 3 dots on any Additional Details tile to view the details.

**Figure 2-13 Additional Details**

### Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBT FPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limit & Collateral

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage...	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	Edit	Delete
001044	Facility				100	GBP	1000			001044	

Cash Collateral Details

Collateral Percentage

Collateral Currency and amount

Exchange Rate

20.0

GBP £200.00

1.0

Sequence Number	Settlement Account...	Settlement Account	Exchange Rate	Collateral Split %	Contribution Amount	Contribution Amount In...	Account Balance Che...	Response Message	Edit	Delete
1	GBP	PK20010440017	1	100	200	£200.00	VS	The amount	1	

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
PK2CDP1221100003	GBP	April 20, 2023	GBP	63880.34	670	PK2CDP1221100003	

Page 1 of 1 (1 of 1 items)

1

Save & Close

Close

Figure 2-14 Limit Details

### Limit Details

Customer Id	<input type="text" value="001044"/>	Linkage Type	<input type="text" value="Facility"/>
Contribution %	<input type="text" value="100.0"/>	Liability Number	<input type="text" value="001044"/>
Contribution Currency	<input type="text" value="USD"/>	Line Id/Linkage Ref No	<input type="text" value="001044_US"/>
Limit/Liability Currency	<input type="text" value="USD"/>	Limits Description	<input type="text"/>
Limit Check Response	<input type="text" value="Available"/>	Amount to Earmark	<input type="text" value="\$10.00"/>
Expiry Date	<input type="text"/>	Limit Available Amount	<input type="text" value="\$999,378,010.18"/>
Response Message	<input type="text" value="Balance available of USD 999378010.18"/>	ELCM Reference Number	<input type="text"/>

**Figure 2-15 Collateral Details**

When the Shipping Guarantee contract is Issued Against Documentary Credit, then system should auto fetch the Collateral Details from the underlying LC (if it is available in the LC). User can only add the additional collateral when the Shipping Guarantee contract is issued against Documentary Collection.

### Collateral Details

Total Collateral Amount

£200.00

Sequence Number

2.0

Collateral Contribution Amount

£90.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£90.00

Response

VS

Verify

Collateral Amount to be Collected

£0.00

Collateral Split %

45.0

Settlement Account

PK20010440017

Exchange Rate

1.0

Account Available Amount

£999,999,996,996,761,300.00

Response Message

The amount block can be performed as the account has sufficient balance

✓

✕

Figure 2-16 Deposit Linkage Details

Deposit Linkage Details

Customer Id

001044

Deposit Account

PK2CDP1221100003

Deposit Branch

PK2

Deposit Available Amount

GBP

£63,880.34

Deposit Maturity Date

April 20, 2023

Exchange Rate

1

Deposit Available In Transaction Currency

GBP

63,880.34

Linkage Percentage %

67.0

Linkage Amount(Transaction Currency)

GBP

£670.00

Save & Close

Close

For more information on fields, refer to the field description table below.

Table 2-15 Limit Details - Field Description

Field	Description
Limit Details	Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application.
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"><li>Facility</li><li>Liability</li></ul> By default Linkage Type should be <b>Facility</b> .

Table 2-15 (Cont.) Limit Details - Field Description

Field	Description
<b>Contribution %</b>	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p> <div> <p><b>Note</b></p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.”</p> </div>
<b>Liability Number</b>	<p>Click <b>Search</b> to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p>
<b>Contribution Currency</b>	This field displays the contribution currency.
<b>Line ID/Linkage Ref No</b>	<p>Click <b>Search</b> to search and select from the various lines available and mapped under the customer id gets listed in the drop-down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p>The user can click the Line Id link to view the limit details.</p> <div> <p><b>Note</b></p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.</p> </div> <p>This field is disabled and read only, if <b>Linkage Type</b> is Liability.</p>
<b>Limit/Liability Currency</b>	This field displays the limit currency, when the user select the <b>Liability Number</b> .
<b>Limits Description</b>	This field displays the limits description.
<b>Limit Check Response</b>	<p>This field displays the limit check response.</p> <p>Response can be 'Success' or 'Limit not Available' based on the limit service call response.</p> <p>The value in this field appears, if you click the <b>Verify</b> button.</p>
<b>Amount to Earmark</b>	Amount to Earmark will default based on the contribution %. User can change the value.
<b>Expiry Date</b>	This field displays the date up to which the Line is valid.

Table 2-15 (Cont.) Limit Details - Field Description

Field	Description
<b>Limit Available Amount</b>	This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the <b>Verify</b> button.
<b>Response Message</b>	This field displays the detailed response message. The value in this field appears, if you click the <b>Verify</b> button.
<b>ELCM Reference Number</b>	This field displays the ELCM reference number.
<b>Limit Details</b> grid	Below fields appear in the <b>Limit Details</b> grid along with the above fields.
<b>Line Serial</b>	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.
<b>View</b>	Click the link to view the <b>Limit Details</b> .
<b>Cash Collateral Details</b>	Click plus icon to add new collateral details.
<b>Collateral Percentage</b>	Specify the percentage of collateral to be linked to this transaction.
<b>Collateral Currency and amount</b>	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.
<b>Exchange Rate</b>	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.
<b>Collateral Details</b> pop-up screen	Click <b>+</b> plus icon or <b>View</b> link to add/view collateral details. Provide the collateral details based on the description provided in the following table:  Below fields are displayed on the <b>Collateral Details</b> pop-up screen, if the user clicks plus icon or <b>View</b> link.
<b>Total Collateral Amount</b>	Read only field. This field displays the total collateral amount provided by the user.
<b>Collateral Amount to be Collected</b>	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.
<b>Sequence Number</b>	Read only field. The sequence number is auto populated with the value, generated by the system.
<b>Collateral Split %</b>	Specify the collateral split% to be collected against the selected settlement account.
<b>Collateral Contribution Amount</b>	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.
<b>Settlement Account</b>	Click <b>Search</b> to search and select the settlement account for the collateral.
<b>Settlement Account Currency</b>	Read only field. This field displays the settlement account currency defaulted by the system.

Table 2-15 (Cont.) Limit Details - Field Description

Field	Description
<b>Exchange Rate</b>	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.
<b>Contribution Amount in Account Currency</b>	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.
<b>Account Available Amount</b>	Read only field. System populates the account available amount on clicking the <b>Verify</b> button.
<b>Response</b>	Read only field. System populates the response on clicking the <b>Verify</b> button.
<b>Response Message</b>	Read only field. System populates the response message on clicking the <b>Verify</b> button.
<b>Verify</b>	Click to verify the account balance of the Settlement Account.
<b>Save &amp; Close</b>	Click to to save and close the record.
<b>Cancel</b>	Click to cancel the entry.
<b>Cash Collateral Details grid</b>	Below fields appear in the <b>Cash Collateral Details</b> grid along with the above fields.
<b>Collateral %</b>	Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
<b>Contribution Amount</b>	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
<b>Account Balance Check Response</b>	Read only field. System populates the account balance check response on clicking the <b>Verify</b> button.
<b>Edit/ View</b>	Click <b>Edit/ View</b> link to edit/view the collateral details.
<b>Deposit Linkage Details</b>	Click plus icon to add new deposit linkage details. System allows the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.Click + plus icon to add new Deposit Linkage details. Below fields are displayed on the <b>Deposit Linkage Details</b> pop-up screen, if the user clicks plus icon.
<b>Customer ID</b>	This field displays the applicant's/applicant bank customer ID defaulted from the application. User can change the customer ID.



Table 2-15 (Cont.) Limit Details - Field Description

Field	Description
<b>Deposit Account</b>	Click <b>Search</b> to search and select deposit for linkage from the list of all the customer Deposits. All the deposits of the customer should be listed in the look-up search. User can select the deposit for linkage.
<b>Deposit Branch</b>	This field displays the deposit branch that is auto-populated based on the deposit account selection.
<b>Deposit Available Amount</b>	This field displays the deposit available amount and currency that is auto-populated based on the deposit account selection.
<b>Deposit Maturity Date</b>	This field displays the maturity date of deposit.
<b>Exchange Rate</b>	This field displays the latest exchange rate for deposit linkage. This will be picked up from the exchange rate maintenance from the common core.
<b>Deposit Available In Transaction Currency</b>	This field displays the deposit amount available, after exchange rate conversion, if applicable.
<b>Linkage Percentage %</b>	Specify the value for linkage percentage.
<b>Linkage Amount (Transaction Currency)</b>	This field displays the transaction amount, user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.
<b>Deposit Linkage Details grid</b>	Below fields appear in the <b>Deposit Linkage Details</b> grid along with the above fields.
<b>Deposit Currency</b>	Deposit currency will get defaulted in this field.
<b>Transaction Currency</b>	Transaction currency will get defaulted in this field from the underlying task.
<b>Edit</b>	Click edit link to edit the deposit linkage details.

- Click **Save and Close** to save the details and close the screen.

### Charge Details

This section displays charge details. On Charge Details screen, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

**Charge Details**

Recalculate Re-default

▼ Commission Details

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Account	Amendable
ASGLI_COMM	1		GBP	£90.00		<input type="checkbox"/>	<input type="checkbox"/>	GOODCARE PLC		Yes

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▼ Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LICOURIS S	GBP	1000	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	GOODCARE PLC	PK20010440017
LISWIFTI S	GBP	1000	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	GOODCARE PLC	PK20010440017
OTHBNK CHG	GBP	1000	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	GOODCARE PLC	PK20010440017

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▼ Tax Details

Component	Type	Value Date	CCY	Amount	Billing	Defer	Settl. Account
LITAX	WITHHOLDIN	April 20, 2022	GBP	£80.00	<input type="checkbox"/>	<input type="checkbox"/>	PK20010440017
LITAX2	WITHHOLDIN	April 20, 2022	GBP	£4.50	<input type="checkbox"/>	<input type="checkbox"/>	PK20010440017

Save & Close Close

For more information on fields, refer to the field description table below.

**Table 2-16 Charge Details - Field Description**

Field	Description
<b>Commission Details</b>	This section displays the commission details. All charges, commission and margin are collected from the counter-party by default.
<b>Component</b>	This field displays the commission component.
<b>Rate</b>	This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.  If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
<b>Modified Rate</b>	From the default value, if the rate is changed the value gets updated in this field.
<b>Currency</b>	This field displays the currency in which the commission have to be collected.
<b>Amount</b>	This field displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPMCS. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPMCS.  If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
<b>Modified Amount</b>	From the default value, if the amount is changed, the value gets updated in the modified amount field.

Table 2-16 (Cont.) Charge Details - Field Description

Field	Description
<b>Defer</b>	If enabled, charges/commissions has to be deferred and collected at any future step.
<b>Waive</b>	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.
<b>Charge Party</b>	Charge party is 'Applicant' by default. User can change the value to Beneficiary.
<b>Settlement Account</b>	Select the settlement account.
<b>Amendable</b>	Displays if the field is amendable or not.
<b>Charge Details</b>	This section displays the <b>Charge Details</b> .
<b>Component</b>	This field displays the charge component type.
<b>Tag Currency</b>	This field displays the tag currency in which the charges have to be collected.
<b>Tag Amount</b>	This field displays the tag amount that is maintained under the product code.
<b>Currency</b>	This field displays the currency in which the charges have to be collected.
<b>Amount</b>	This field displays the amount that is maintained under the product code.
<b>Modified</b>	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.
<b>Billing</b>	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPMCS. The user can not enable/disable the option, if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.
<b>Defer</b>	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPMCS. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.
<b>Waive</b>	Enable the toggle, if charges has to be waived. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if <b>Defer</b> toggle is enabled.
<b>Charge Party</b>	Charge party is applicant by default. User can change the value to beneficiary.
<b>Settlement Account</b>	Select the settlement account.

Table 2-16 (Cont.) Charge Details - Field Description

Field	Description
<b>Tax Details</b>	Displays the tax details. The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.
<b>Component</b>	This field displays the tax component.
<b>Type</b>	This field displays the type of tax component.
<b>Value Date</b>	This field displays the value date of tax component.
<b>Currency</b>	This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission.
<b>Amount</b>	This field displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable.
<b>Billing</b>	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.
<b>Defer</b>	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.
<b>Settlement Account</b>	System defaults the settlement account. The user can modify the settlement account.

3. Click **Save and Close** to save the details and close the screen.

### Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the shipping guarantee details captured in the previous screen.

**Preview Message**

✓ Preview - SWIFT Message

Language: English

Message Type:

Message Status:

Repair Reason:

Preview Message

✓ Preview - Mail Advice

Language: English

Advice Type: DEBIT\_ADVICE

Message Status: GENERATED

Repair Reason:

Preview Message

DEBIT ADVICE/TAX INVOICE

DATE: 20-APR-22 PAGE: 1

BRANCH ID:

BRANCH NAME:

BANK TRN: 100282764800003

TRANS TIME:

Save & Close Close

For more information on fields, refer to the field description table below.

**Table 2-17 Preview Message - Field Description**

Field	Description
<b>Preview SWIFT Message</b>	
<b>Language</b>	Read only field. The language to preview the draft shipping guarantee details. English is set as default language for the preview.
<b>Message Type</b>	Select the message type from the drop-down.
<b>Message Status</b>	Read only field. Display the message status of draft message of guarantee details.
<b>Repair Reason</b>	Read only field. Display the message repair reason of draft message of guarantee details.
<b>Preview Message</b>	This field displays a preview of the draft message.
<b>Preview Mail Device</b>	
<b>Language</b>	Read only field.  The language for the advice message.  English is set as default language for the preview.
<b>Advice Type</b>	Select the advice type.
<b>Message Status</b>	Read only field. Display the message status of draft message of guarantee details.
<b>Repair Reason</b>	Read only field. Display the message repair reason of draft message of guarantee details.
<b>Preview Message</b>	This field displays a preview of advice.
<b>Draft Confirmation Required</b>	This toggle enables the user to select if draft confirmation is required or not.
Following fields will have values on receipt of customer response.	
<b>Customer Response</b>	Specify the response received from customer. If the response is received online, the response is auto populated in this field by the system.
<b>Customer Remarks</b>	Remarks from the customer for the draft.
<b>Response Date</b>	Customer Response received date.
<b>Default Email list</b>	Default email address of the customer.
<b>Add Recipients</b>	Enables to add more recipients for the customer response.

4. Click **Save and Close** to save the details and close the screen.
5. Click **Next**.

The task will move to next data segment. For more information refer [Settlement Details](#).  
For more information on action buttons, refer to the field description table below.

**Table 2-18 Additional Details - Action Buttons - Field Description**

Field	Description
<b>Clarification Details</b>	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.

Table 2-18 (Cont.) Additional Details - Action Buttons - Field Description

Field	Description
<b>Documents</b>	<p>Click to View/Upload the required document.</p> <p>Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application</p>
<b>Remarks</b>	<p>Specify any additional information regarding the Shipping Guarantee Issuance - Islamic. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>
<b>Overrides</b>	Click to view the overrides accepted by the user.
<b>Customer Instruction</b>	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
<b>Signatures</b>	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>
<b>Request Clarification</b>	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
<b>Reject</b>	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul> <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>

**Table 2-18 (Cont.) Additional Details - Action Buttons - Field Description**

Field	Description
<b>Refer</b>	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul>
<b>Hold</b>	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
<b>Cancel</b>	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
<b>Save &amp; Close</b>	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
<b>Back</b>	Clicking on Back button, takes the user to the previous screen.
<b>Next</b>	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## 2.3.6 Settlement Details

This topic provides the systematic instructions to capture the settlement details of Shipping Guarantee Issuance - Islamic request.

The user can view the settlement details during Shipping Guarantee Issuance - Islamic request.

1. On **Settlement Details** screen, specify the fields.

**Figure 2-17 Settlement Details**

Islamic Shipping Guarantee Issuance DataEnrichment :: Application No:- PK2ISGI000052499

Clarification Details Documents Remarks Overrides  
Customer Instruction Signatures

Main Shipment Details Additional Fields Advices Additional Details Settlement Details Summary

Settlement Details

☐ Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
ASGLI_COM1_LI...	GBP	Debit	PK2001044...	GOODCARE PLC	GBP	No	Yes
ASGLI_COMM...	GBP	Debit	PK2001044...	GOODCARE PLC	GBP	No	Yes
COLLAMT_OSEQ	GBP	Debit	PK2001044...	GOODCARE PLC	GBP	No	No
COLL_AMNDA...	GBP	Debit	PK2001044...	GOODCARE PLC	GBP	No	No
COLL_AMT	GBP	Debit	PK2001044...	GOODCARE PLC	GBP	No	Yes
COLL_AMT_DECR	GBP	Credit	PK2001044...	GOODCARE PLC	GBP	No	No
COLL_AMT_INCR	GBP	Debit	PK2001044...	GOODCARE PLC	GBP	No	Yes
COLL_AVALAM...	GBP	Credit	PK2001044...	GOODCARE PLC	GBP	No	No
LICOURISS_LIQD	GBP	Debit	PK2001044...	GOODCARE PLC	GBP	No	Yes
LISWIFTIS_LIQD	GBP	Debit	PK2001044...	GOODCARE PLC	GBP	No	Yes
LITAY1_AMT	GBP	Debit	PK2001044...	GOODCARE PLC	GBP	No	Yes

COLL\_AVALAMTEQ - Party Details

Transfer Type: Bank Transfer

Charge Details: Remitter All Charges

Netting Indicator: [Dropdown]

Ordering Customer: [Search]

Ordering Institution: [Search]

Receivers Correspondent: [Search]

Intermediary Institution: [Search]

Account With Institution: [Search]

Beneficiary Institution: [Search]

Ultimate Beneficiary: [Search]

Intermediary Reimbursement Institution: [Search]

Receiver: [Search]

Payment Details

Sender To Receiver 1: Only /BX/XXX format is allowed

Sender To Receiver 2: /BX/XXX or //XXX format is allowed

Sender To Receiver 3: /BX/XXX or //XXX format is allowed

Sender To Receiver 4: /BX/XXX or //XXX format is allowed

Sender To Receiver 5: /BX/XXX or //XXX format is allowed

Sender To Receiver 6: /BX/XXX or //XXX format is allowed

Remittance Information

Payment Detail 1: [Text]

Payment Detail 2: [Text]

Payment Detail 3: [Text]

Payment Detail 4: [Text]

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

For more information on fields, refer to the field description table below.

**Table 2-19 Settlement Details – Field Description**

Field	Description
<b>Current Event</b>	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
<b>Component</b>	This field displays the components based on the product selected.
<b>Currency</b>	This field displays the default currency for the component.
<b>Debit/Credit</b>	This field displays the debit/credit indicators for the components.
<b>Account</b>	This field displays the account details for the components.
<b>Account Description</b>	This field displays the the description of the selected account.
<b>Account Currency</b>	This field displays the currency for all the items based on the account number.
<b>Netting Indicator</b>	This field displays the applicable netting indicator.
<b>Current Event</b>	This field displays the current event.

- Click any component in the grid.

### Party Details



Table 2-20 Party Details – Field Description

Field	Description
<b>Transfer Type</b>	Select the transfer type from the drop-down list. The options are: <ul style="list-style-type: none"> <li>• <b>Customer Transfer</b></li> <li>• <b>Bank Transfer for own account</b></li> <li>• <b>Direct Debit Advice</b></li> <li>• <b>Managers Check</b></li> <li>• <b>Customer Transfer with Cover</b></li> <li>• <b>Bank Transfer</b></li> </ul>
<b>Charge Details</b>	Select the charge details for the transaction. The options are: <ul style="list-style-type: none"> <li>• <b>Beneficiary All Charges</b></li> <li>• <b>Remitter Our Charges</b></li> <li>• <b>Remitter All Charges</b></li> </ul>
<b>Netting Indicator</b>	Select the netting indicator for the component. The options are: <ul style="list-style-type: none"> <li>• <b>Yes</b></li> <li>• <b>No</b></li> </ul>
<b>Ordering Customer</b>	Click search icon to search and select the ordering customer from the look up.
<b>Ordering Institution</b>	Click search icon to search and select the ordering institution from the look up.
<b>Senders Correspondent</b>	Click search icon to search and select the senders correspondent from the look up.
<b>Receivers Correspondent</b>	Click search icon to search and select the receivers correspondent from the look up.
<b>Intermediary Institution</b>	Click search icon to search and select the intermediary institution from the look up.
<b>Account with Institution</b>	Click search icon to search and select the account with institution from the look up.
<b>Beneficiary Institution</b>	Click search icon to search and select the beneficiary institution from the look up.
<b>Ultimate Beneficiary</b>	Click search icon to search and select the ultimate beneficiary from the look up.
<b>Intermediary Reimbursement Institution</b>	Click search icon to search and select the intermediary reimbursement institution from the look up.
<b>Receiver</b>	Click search icon to search and select the Receiver from the look up.

**Payment Details**

Table 2-21 Payment Details - Field Description

Field	Description
<b>Sender to Receiver 1</b>	Specify the sender to receiver message.
<b>Sender to Receiver 2</b>	Specify the sender to receiver message.
<b>Sender to Receiver 3</b>	Specify the sender to receiver message.
<b>Sender to Receiver 4</b>	Specify the sender to receiver message.
<b>Sender to Receiver 5</b>	Specify the sender to receiver message.
<b>Sender to Receiver 6</b>	Specify the sender to receiver message.

## Remittance Information

Table 2-22 Remittance Information – Field Description

Field	Description
<b>Payment Detail 1</b>	Specify the payment details.
<b>Payment Detail 2</b>	Specify the payment details.
<b>Payment Detail 3</b>	Specify the payment details.
<b>Payment Detail 4</b>	Specify the payment details.

3. Click **Next**.

The task will move to next data segment.

Table 2-23 Settlement Details - Action Buttons - Field Description

Field	Description
<b>Clarification Details</b>	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
<b>Documents</b>	Click to View/Upload the required document.  Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
<b>Remarks</b>	Specify any additional information regarding the Shipping Guarantee Issuance - Islamic. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.
<b>Overrides</b>	Click to view the overrides accepted by the user.
<b>Customer Instruction</b>	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
<b>Signatures</b>	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.  If more than one signature is required, system should display all the signatures

**Table 2-23 (Cont.) Settlement Details - Action Buttons - Field Description**

Field	Description
<b>Request Clarification</b>	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
<b>Reject</b>	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul> <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>
<b>Refer</b>	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul>
<b>Hold</b>	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
<b>Cancel</b>	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
<b>Save &amp; Close</b>	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
<b>Back</b>	Clicking on Back button, takes the user to the previous screen.
<b>Next</b>	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

## 2.3.7 Summary

This topic provides the systematic instructions to view the summary details in Data Enrichment stage of Shipping Guarantee Issuance - Islamic process.

User can review the summary of details updated in Data Enrichment stage of Shipping Guarantee Issuance - Islamic request.

The Summary tiles displays a list of important fields with values. The tiles where fields have been modified have to be highlighted in different color. User can drill down from Summary Tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 2-18 Summary

Islamic Shipping Guarantee Issuance DataEnrichment :: Application No:- PK2ISGI000052499

Clarification Details Documents Remarks Overrides Customer Instruction Signatures

Screen(7/7)

**Summary**

**Main**

Booking Date : 2022-04-20  
Submission Mode : Desk  
Issued Against : DC

**Shipment Details**

Port of Loading : PORT A  
Port of Discharge : PORT B  
Shipment Date : 2022-04-19  
Carrier Name : MV VISTA

**Additional Fields**

Click here to view Additional fields

**Advices**

Advice 1 : LC\_CASH\_COL...  
Advice 2 : SG\_INSTRUMEN...  
Advice 3 : PAYMENT\_MESS...  
Advice 4 : PAYMENT\_MESS...

**Limits and Collaterals**

Contribution Currency : GBP  
Amount to Earmark : 1,000.00  
Limit Status : Not Availabl...  
Collateral Currency :  
Collateral Contribution :  
Collateral Status : Not Verified  
Deposit Linkage Currency :  
Deposit Linkage Amount :

**Commission,Charges and Taxes**

Charge : GBP 150.00  
Commission : GBP 90.00  
Tax : GBP 84.50  
Block Status : Not Initiated

**Preview Messages**

Language : ENG  
Preview Message : -

**Accounting Details**

Event : CLIQ  
AccountNumber : 263200001  
Branch : PK2

**Party Details**

Beneficiary : MARKS AND SP...  
Applicant : GOODCARE PLC

**Compliance**

KYC : Not Initiate...  
Sanctions : Not Initiate...  
AML : Not Initiate...

**Settlement Details**

Component : OTHBNKCHG\_LL...  
Account Number : PK2001044001...  
Currency : GBP

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Submit

### Tiles Displayed in Summary

- Main Details -User can view the application details and Shipping Guarantee/ Standby details. User can modify the details if required.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, Charges and Taxes - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Accounting Details - User can see the accounting details.

**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Party Details - User can view party details like beneficiary, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Settlement Details – User should be able to view the settlement details.

2. Click **Submit**.

The task will move to next logical stage.

**Table 2-24 Summary - Action Buttons - Field Description**

Field	Description
<b>Clarification Details</b>	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
<b>Documents</b>	Click to View/Upload the required document.  Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
<b>Remarks</b>	Specify any additional information regarding the Shipping Guarantee Issuance - Islamic. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.
<b>Overrides</b>	Click to view the overrides accepted by the user.
<b>Customer Instruction</b>	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>

Table 2-24 (Cont.) Summary - Action Buttons - Field Description

Field	Description
<b>Signatures</b>	<p>Click the Signature button to verify the signature of the customer/bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>
<b>Request Clarification</b>	Clicking this button allows the user to submit the request for clarification to the "Trade Finance Portal" for the transactions that are initiated offline.
<b>Reject</b>	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul> <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>
<b>Refer</b>	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul>
<b>Hold</b>	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
<b>Cancel</b>	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
<b>Save &amp; Close</b>	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
<b>Back</b>	Clicking on Back button, takes the user to the previous screen.
<b>Submit</b>	<p>Task will get moved to next logical stage of Shipping Guarantee Issuance - Islamic.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p>

## 2.4 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

The Islamic Shipping Guarantee Issuance request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

### Amount Block Exception Approval

User can review the amount block exception for Trade Finance requests that failed to create Amount Block in backend system.

Log in into Oracle Banking Trade Finance Process Management (OBTFPMCS) system amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue.

Open the task to view the summary tiles. The tiles should display a list of important fields with values.

In Amount block, system checks whether the sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block Reference Number" to release the Amount Block done in the mid office (OBTFPMCS) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

- Approve:
  - Settlement amount will be funded (outside of this process)
  - Allow account to be overdrawn during hand-off
- Refer:
  - Refer Refer back to DE providing alternate settlement account to be used for block.
  - Different collateral to be mapped or utilize lines in place of collateral.
- Reject: Reject the transaction due to non-availability of sufficient balance in settlement account

### Summary

ORACLE

Islamic Shipping Guarantee Issuance  
AmountBlock Exception Approval :: Application No:- 032ISGI000139498

Documents Remarks Overrides Customer Instruction

Amount Block Exception

Summary

Screen ( 2 / 2 )

Main	Shipment Details	Additional Fields	Advices
Booking Date : 2023-08-03 Submission Mode : Desk Issued Against : DC	Port of Loading : London Port of Discharge : Mumbai Shipment Date : 2023-08-03 Carrier Name : ABC	Click here to view Additional fields :	Advice 1 : LC_CASH_COL... Advice 2 : SG_INSTRUMEN... Advice 3 : PAYMENT_MESS... Advice 4 : PAYMENT_MESS...
Limits and Collaterals	Commission,Charges and Taxes	Preview Messages	Party Details
Contribution Currency : Amount to Earmark : null Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified Deposit Linkage CCY : Deposit Linkage Amount :	Charge : AED 150.00 Commission : AED 50.00 Tax : AED 4.00 Block Status : Failed	Language : ENG Preview Message : +	Beneficiary : LuLu Group I... Applicant : Aldar Proper...
Compliance	Accounting Details		
KYC : Not Verified Sanctions : Verified AML : Verified	Event : CLIQ AccountNumber : 0322050002 Branch : 032		

Audit

Reject Refer Hold Approve Back Next

### Tiles Displayed in Summary:

- Main Details -User can view the application details and Shipping Guarantee/ Standby details. User can modify the details if required.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, Charges and Taxes - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Accounting Details - User can see the accounting details.

### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Parties Details - User can view party details like beneficiary, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.



Table 2-25 Amount Bock Exception - Action Buttons - Field Description

Field	Description
<b>Documents</b>	View/Upload the required document.
<b>Remarks</b>	Specify any additional information regarding the Shipping Guarantee Issuance. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.
<b>Overrides</b>	Click to view the overrides accepted by the user.
<b>Customer Instruction</b>	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>
<b>Reject</b>	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes are: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
<b>Refer</b>	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes  Refer Codes are: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul>
<b>Hold</b>	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.  This option is used, if there are any pending information yet to be received from applicant.
<b>Approve</b>	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
<b>Back</b>	Task moves to previous logical step.

## Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

1. Log in into OBTFPMCS application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
2. Open the task, to see summary tiles that display a summary of available updated fields with values.  
User can pick up a transaction and do the following actions:

### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

### Summary

**Figure 2-19 Know Your Customer (KYC) Exception**

Oracle (DEFAULTTENTIVITY) Oracle Banking Trade Finan... Aug 3, 2023 ZARTAB01 subham@gmail.com

Islamic Shipping Guarantee Issuance  
KYC Exceptional approval : Application No:- 032ISGI000139498

KYC Exception

Summary

Screen ( 2 / 2 )

Main	Shipment Details	Additional Fields	Advices
Booking Date : 2023-08-03 Submission Mode : Desk Issued Against : DC	Port of Loading : London Port of Discharge : Mumbai Shipment Date : 2023-08-03 Carrier Name : ABC	Click here to view : Additional fields	Advice 1 : LC_CASH_COL... Advice 2 : SG_INSTRUMEN... Advice 3 : PAYMENT_MESS... Advice 4 : PAYMENT_MESS...
Limits and Collaterals	Commission,Charges and Taxes	Preview Messages	Party Details
Contribution Currency : Amount to Earmark : null Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified Deposit Linkage CCY : Deposit Linkage : Amount	Charge : AED 150.00 Commission : AED 50.00 Tax : AED 4.00 Block Status : Not Initiated	Language : ENG Preview Message : -	Beneficiary : LuLu Group L... Applicant : Aldar Proper...
Compliance	Accounting Details	Settlement Details	
KYC : Not Verified Sanctions : Not Initiate... AML : Not Initiate...	Event : CLIQ AccountNumber : 152110003 Branch : 032	Component : OTHBNKCHG_LL... Account Number : 0322050002 Currency : AED	

Audit Reject Refer Hold Approve Back Next

### Tiles Displayed in Summary:

- Main Details -User can view the application details and Shipping Guarantee/ Standby details. User can modify the details if required.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advices details.
- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.

- Commission, Charges and Taxes - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Accounting Details - User can see the accounting details.

**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Party Details - User can view party details like beneficiary, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Settlement Details – User should be able to view the settlement details.

For more information on Action Buttons, refer to the field description table below.

**Table 2-26 Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description**

Field	Description
<b>Documents</b>	View/Upload the required document.
<b>Remarks</b>	Specify any additional information regarding the Islamic Shipping Guarantee Issuance. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.
<b>Overrides</b>	Click to view the overrides accepted by the user.
<b>Customer Instruction</b>	Click to view/ input the following <ul style="list-style-type: none"> <li>• Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• Transaction Level Instructions – In this section, OBTFCMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>

**Table 2-26 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description**

Field	Description
<b>Reject</b>	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul> <p>Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.</p>
<b>Refer</b>	<p>Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul>
<b>Hold</b>	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
<b>Approve</b>	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
<b>Back</b>	Task moves to previous logical step.

## 2.5 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

This stage allows the approver user to approve a Islamic Shipping Guarantee Issuance transaction. The user can view the Summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

1. Log in into OBTFPMCS application and on **Home** screen, click, **Task**.
2. Under **Task**, click **Free Task**.
3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to approve the task.

The **Approval Re-Key** pop-up screen appears.

**Figure 2-20** Approval Re-Key

The screenshot shows the 'Approval Rekey' pop-up screen. At the top, the title 'Approval Rekey' is displayed. Below the title, there are three buttons: 'View Signature', 'Documents', and 'Remarks'. The 'View Signature' and 'Documents' buttons are in the first row, and 'Remarks' is in the second row. Below these buttons, there are two sections: 'Currency' and 'Contract Amount'. The 'Currency' section has a dropdown menu showing 'GBP' and a checkmark icon. The 'Contract Amount' section has a dropdown menu showing 'GBP' and a text input field containing '£1,000.00', with a checkmark icon to the right. At the bottom of the screen, there are three buttons: 'Refer', 'Close', and 'Proceed'.

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message and user will not be able to approve the task.

5. Open the task and re-key some of the critical field values from the request in the **Approval Re-Key** screen.

Some of the fields below will dynamically be available for re-key.:

- Currency
- Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

6. Click **Proceed** to proceed for the approval.

The **Approval Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

7. Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

### Approval Summary

**Islamic Shipping Guarantee Issuance Approval Task Level 1 :: Application No:- PK2ISGI000052499**

Documents Remarks Overrides Customer Instruction Signatures

<b>Main</b> Booking Date : 2022-04-20 Submission Mode : Desk Issued Against : DC	<b>Shipment Details</b> Port of Loading : PORT A Port of Discharge : PORT B Shipment Date : 2022-04-19 Carrier Name : MV VISTA	<b>Additional Fields</b> Click here to view Additional : fields
<b>Advices</b> Advice 1 : LC_CASH_COL... Advice 2 : SG_INSTRUMEN... Advice 3 : PAYMENT_MESS... Advice 4 : PAYMENT_MESS...	<b>Limits and Collaterals</b> Contribution Currency : GBP Amount to Earmark : 1,000.00 Limit Status : Earmark Fail... Collateral Currency : Collateral Contribution : Collateral Status : Not Verified Deposit Linkage Currency :	<b>Commission, Charges and Taxes</b> Charge : GBP 150.00 Commission : GBP 90.00 Tax : GBP 84.50 Block Status : Success
<b>Preview Messages</b> Language : ENG Preview Message : -	<b>Party Details</b> Beneficiary : MARKS AND SP... Applicant : GOODCARE PLC	<b>Compliance</b> KYC : Verified Sanctions : Verified AML : Verified
<b>Accounting Details</b> Event : CLIQ Account Number : 263200001 Branch : PK2	<b>Exception (Approval)</b> Sanction, Limit Earmark : EXCEPTION PLEASE VISIT REMARKS FOR MORE DETAILS : -	<b>Settlement Details</b> Component : OTHBNKCHG_LL... Account Number : PK2001044001... Currency : GBP

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details -User can view the application details and Shipping Guarantee/ Standby details. User can modify the details if required.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advices details.

- Limits and Collaterals - User can view the limits and collateral details. User can modify the details if required.
- Commission, Charges and Taxes - User can view the details provided for charges. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can see the accounting details.

**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Exceptions (Approval) - User can view the exceptions (approval) details.
- Settlement Details – User should be able to view the settlement details.

For more information on Action Buttons, refer to the field description table below.

**Table 2-27 Approval Summary - Action Buttons - Field Description**

Field	Description
<b>Documents</b>	View/Upload the required document.  Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
<b>Remarks</b>	Specify any additional information regarding Shipping Guarantee Issuance. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.
<b>Overrides</b>	Click to view the overrides accepted by the user.
<b>Customer Instructions</b>	Click to view/ input the following <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPMCS user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>

Table 2-27 (Cont.) Approval Summary - Action Buttons - Field Description

Field	Description
<b>Signatures</b>	<p>Click the Signature button to verify the signature of the customer/bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>
<b>Reject</b>	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>
<b>Hold</b>	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>
<b>Refer</b>	<p>Select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes are:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others</li> </ul>
<b>Cancel</b>	<p>Cancel the approval stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.</p>
<b>Approve</b>	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>

8. Click **Approve**.



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