

Oracle Banking Trade Finance Process Management

Export LC Amendment User Guide



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Preface

- [Purpose](#)
- [Audience](#)
This document is intended for the following audience:
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Structure](#)
This manual is organized into the following chapters:
- [Conventions](#)
- [Related Documents](#)
- [Screenshot Disclaimer](#)
- [Acronyms and Abbreviations](#)
- [Basic Actions](#)
- [Symbols and Icons](#)

Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Export LC Amendment** process.

Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and Bulletins. All critical patches should be applied in a timely manner to make sure effective security, as strongly recommended by Oracle Software Security Assurance.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Table 1 Acronyms and Abbreviations

Abbreviation	Description
OBTFCPM	Oracle Banking Trade Finance Process Management
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
CCY	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Table 2 Common Action Buttons and its Definitions

Action Buttons	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2 (Cont.) Common Action Buttons and its Definitions

Action Buttons	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Click Cancel to cancel the transaction input midway without saving any data.
Save & Close	Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Next	Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Submit	Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 3 Symbols and Icons - Common

Symbol/Icon	Function
	Minimize
	Maximize
	Close
	Perform Search
	Open a list

Table 3 (Cont.) Symbols and Icons - Common

Symbol/Icon	Function
	Date Range
	Add a new record
	Navigate to the first record
	Navigate to the last record
	Navigate to the previous record
	Navigate to the next record
	Grid view
	List view
	Refresh
	Click this icon to add a new row.
	Click this icon to delete a row, which is already added.
	Calendar
	Alerts
	Unlock Option
	View Option
	Reopen Option

Table 4 Symbols and Icons - Widget

Symbol/Icon	Function
	Open status
	Unauthorized status
	Rejected status
	Closed status
	Authorized status
	Modification Number

1

Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

2

Export LC Amendment

This chapter is documented to get familiar with the Export LC Amendment process of Oracle Banking Trade Finance Process Management.

Export LC Amendment process enables the user to make an amendment to the LC which had been already advised.

The amendments may need consent from the beneficiary of the LC. In such scenarios, after the processing of amendment, the amended LC is parked awaiting beneficiary consent.

Following is the validation while amending the LC:

1. While Amending Export LC by decreasing the value, system checks if there is any underlying active Transfer LC, with substitution flag value as 'yes'. If so, system displays an override message. "Transfer LC with substitution available. Check before proceeding."
2. While Amending Export LC by decreasing the value, and if Beneficiary consent is required,
 - System validates that the decrease in LC amount, including tolerance is not greater than the Available balance in Export LC. If validation fails, system displays an override message to user.
 - User can do confirmation of Amendment in Parent LC for decrease in LC amount, once Balance is available in Export LC. If balance is not available, system displays an error message.
1. While Amending Export LC by decreasing the value, and if Beneficiary consent is not required,
 - System validates that the decrease in LC amount including tolerance is not greater than the available Bbalance in Export LC. If validation fails, system displays an error.

This topic contains following sub-topics:

- [Common Initiation Stage](#)
This topic provides the systematic instructions to initiate the **Export LC Amendment** request.
- [Registration](#)
This topic provides the systematic instructions to initiate the Registration stage of **Export LC Amendment** request.
- [Scrutiny](#)
This topic provides the systematic instructions to initiate the Scrutiny stage of Export LC Amendment request.
- [Data Enrichment](#)
This topic provides the systematic instructions to initiate the Data Enrichment stage of Export LC Amendment request.
- [Exceptions](#)
This topic helps you quickly get acquainted with the Exceptions process.
- [Multi Level Approval](#)
This topic helps you quickly get acquainted with the Multi Level Approval process.

- [Reject Approval](#)
This topic helps you quickly get acquainted with the Reject Approval process.

2.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the **Export LC Amendment** request. Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Initiate Task**.
The **Initiate Task** screen appears.

Figure 2-1 Initiate Task

2. On **Initiate Task** screen, specify the fields.

Note

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-1 Initiate Task - Field Description

Field	Description
Process Name	Select a process name from the drop-down list.
LC Reference Number	Click Search to search and select the LC reference number from the look-up.
Branch	Select the required branch code from the drop-down list.

For more information on action buttons, refer to the field description table below.

Table 2-2 Action Buttons - Field Description

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	Click to clear the contents update and enter the values again.

3. Click **Proceed** to proceed to the next step.

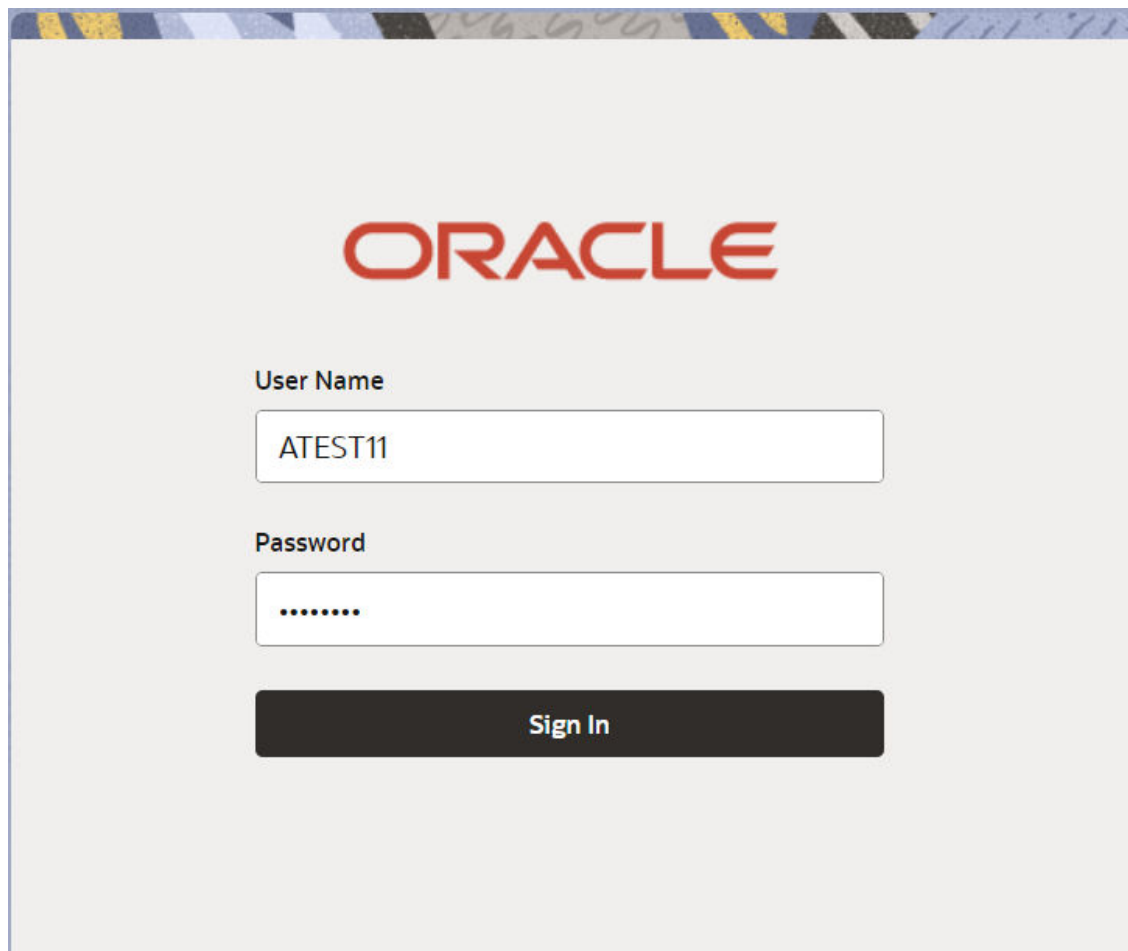
2.2 Registration

This topic provides the systematic instructions to initiate the Registration stage of **Export LC Amendment** request.

If the Letter Of Credit amendment request is submitted through branch, the Export LC Amendment process starts from the Registration Stage.

During Registration stage, user can capture the basic details of the application and upload the related documents of the applicant. On submit of the request, the request will be available for an LC Amendment expert to handle the request in the next stage.

Specify **User ID** and **Password**, and login to **Home** screen.

Figure 2-2 Login Screen

The screenshot shows the Oracle login interface. At the top center is the Oracle logo. Below it, the text 'User Name' is followed by a text input field containing 'ATEST11'. Below that, the text 'Password' is followed by a password input field with masked characters '.....'. At the bottom center is a dark button labeled 'Sign In'.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Export Documentary Credit**.
2. Under **Export Documentary Credit**, click **Export LC Amendment**.

Figure 2-3 Export LC Amendment

The screenshot shows the Oracle Banking Trade Finance interface. The left sidebar is titled 'Export - Documentary Credit' and lists various actions: Export LC Update Drawings, Export LC Advise, Export LC Amendment (selected), Export LC Amendment Beneficiary Consent, Export LC Cancellation, Export LC Closure, Export LC Drawing, Export LC Liquidation, Export LC Reopen, Export LC Transfer, Export LC Transfer Amendment, Export LC Transfer Amendment Beneficiary, and Export LC Transfer Cancellation. The main area is titled 'Dashboard' and shows a 'Swift Processing' window. The window has a 'Date' field set to 'December 9, 2024' and two empty data tables with the message 'No data to display'. The bottom of the window shows '0 Filtered' and '0 Unfiltered'.

The **Export LC Amendment - Registration** screen appears.

The Export LC Amendment - Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

Figure 2-4 Export LC Amendment - Registration - Application Details

The screenshot shows the Oracle Banking Trade Finance interface for the 'Export LC Amendment - Registration' screen. The screen is divided into two main sections: 'Application Details' and 'LC Details'. The 'Application Details' section includes fields for Internal Amendment (toggle), Amendment No (1), Amendment Date (April 20, 2022), User Reference Number (PKZELAT221106019), Advising Bank Reference (PKZELAT221106019), Process Reference Number (PKZELCA000064377), Issuing Bank (001041, WELLS FAR), Beneficiary ID (001044, GOODCARE), Priority (Medium), Non Bank Issuer, Branch (PK2-Oracle Banking Trade Fin), Submission Mode (Desk), and 20 - Documentary Credit Number (1000000000). The 'LC Details' section includes fields for LC Type (Sight), 40A - Form of Documentary Credit (IRREVOCABLE), Date of Expiry (December 28, 2022), Beneficiary (001044, GOODCARE), Limit verification required (toggle), Product Code (ELAT), Contract Reference Number (PKZELAT221106019), 31D - Place of Expiry (LONDON), 32B - Currency Code, Amount (GBP, £100.00), 39C - Additional Amount Covered, Product Description (Export LC - advising ATB), 31C - Date of Issue (April 20, 2022), 51A - Applicant Bank (001186, CITIBANK N), Amount In Local Currency (GBP, £100.00), Closure Date (January 27, 2023), Advising Bank (001185, RBS PLC), 40E - Applicable Rules (UCP600 LATEST VERSION), Applicant (001043, MARKS ANI), and 39A - Percentage Credit Amount Tolerance. The bottom of the screen has buttons for Hold, Cancel, Save & Close, and Submit.

3. On **Export LC Amendment - Registration - Application Details** screen, specify the fields.

Note

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-3 Export LC Amendment - Registration - Application Details - Field Description

Field	Description
Internal Amendment	Indicates whether the amendment is internal or not.
Advising Bank Reference	Click Search to search and select the advising bank reference number from the look-up. Alternatively, specify the advising bank reference number. In the look-up search, user can specify the Export LC Reference Number, Beneficiary, Currency, Amount and User Reference to fetch the Export LC details. Based on the search result, select the applicable Export LC to be amended.
Beneficiary ID	Read only field. Beneficiary ID and beneficiary name will be auto-populated based on the selected Export LC from the look-up.
Branch	Read only field. Branch details will be auto-populated based on the selected Export LC from the look-up .
Amendment No	Read only field. Amendment number will be auto-populated based on selected Export LC. Amendment number increases by 1 for each amendment.
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.
Priority	System populates the priority of the customer based on priority maintenance, also enables the user to change the priority as per the requirement. The Export LC Amendment request priority can be set as Low/Medium/High.

Table 2-3 (Cont.) Export LC Amendment - Registration - Application Details - Field Description

Field	Description
Submission Mode	System populates the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. • Desk - Request received through Desk • Courier - Request received through Courier User can change the defaulted priority.
Amendment Date	System defaults the branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed.
Issuing Bank	Read only field. Issuing Bank details will be auto-populated based on selected Export LC.
Non Bank Issuer	Read only field. Non bank issuer details will be auto-populated based on selected Export LC.
20 - Documentary Credit Number	Read only field. This field displays the Documentary Credit Number of the selected LC.
User Reference Number	Read only field. User reference number will be auto-populated based on selected Export LC.
Beneficiary Consent	Enable the option, if beneficiary consent is required. Disable the option, if beneficiary consent is not required.

LC Details

Details in this screen displays the data from the LC issued.

4. On **Export LC Amendment - LC Details** screen, specify the fields.

Note

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-4 Export LC Amendment - Registration - LC Details - Field Description

Field	Description
LC Type	Read only field. LC type will be auto-populated based on selected Export LC.
Product Code	Read only field. Product code will be auto-populated based on selected Export LC.
Product Description	Read only field. This field displays the description of the product as per the product code.
Advising Bank	Read only field. This field displays the advising bank details of the selected Export LC.
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected Export LC.
Contract Reference Number	Read only field. Contract reference number will be auto-populated based on selected Export LC.

Table 2-4 (Cont.) Export LC Amendment - Registration - LC Details - Field Description

Field	Description
Date of Issue	Read only field. This field displays the the LC issuance date.
Applicable Rules	System defaults the applicable rule of the selected Export LC. The user can change the applicable rule.
Date of Expiry	System defaults the expiry date of the selected Export LC. Note If amendment to the Expiry date (advancing the expiry date before expiry date of the underlying Import LC), system validates the amended value against the Back- to- Back LC value and display configurable override.
Place of Expiry	System defaults the place of expiry of the selected Export LC.
Applicant Bank	Read only field. This field displays the applicant bank details of the selected Export LC.
Applicant	Read only field. This field displays the details of the applicant of the selected Export LC.
Beneficiary	Read only field. This field displays the details of the beneficiary of the selected Export LC.
Currency Code, Amount	System defaults the value of Export LC along with the currency details of the selected Export LC. The user can amend the currency code and amount, if required. Note If amendment to the Amount (reduction in amount), field in the Export LC, system validates the amended value against the Back- to- Back LC value and display configurable override.
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Percentage Credit Amount Tolerance	System defaults the percentage credit amount tolerance details of the selected Export LC. The user can amend the percentage credit amount tolerance details, if required.

Table 2-4 (Cont.) Export LC Amendment - Registration - LC Details - Field Description

Field	Description
Additional Amount Covered	System defaults the details of additional amount covered of the selected Export LC. The user can amend the details, if required.
Closure Date	System default the "Closure Date" with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created. System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract. User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions, • Closure Date must be after the Issue Date. • Closure Date must be after the Expiry Date. • Closure Date cannot be blank, when the "Auto Close" is checked.

5. Click **Submit**.

The task will move to next logical stage of Export LC Amendment. For more information refer [Scrutiny](#).

For more information on action buttons, refer to the field description table below.

Table 2-5 Export LC Amendment - Registration - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Documents	Upload the documents received under the Export LC Amendment.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.

Table 2-5 (Cont.) Export LC Amendment - Registration - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Export LC Amendment task. Details entered will not be saved and the task will be removed
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.
Submit	The task will move to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

Note

When an amendment to an Export LC which is linked to a Back to Back LC (Import LC) is initiated, system should display a message, the LC is linked to an Import LC.

- [Document Linkage](#)
This topic provides the systematic instructions to initiate the document linkage.

2.2.1 Document Linkage

This topic provides the systematic instructions to initiate the document linkage.

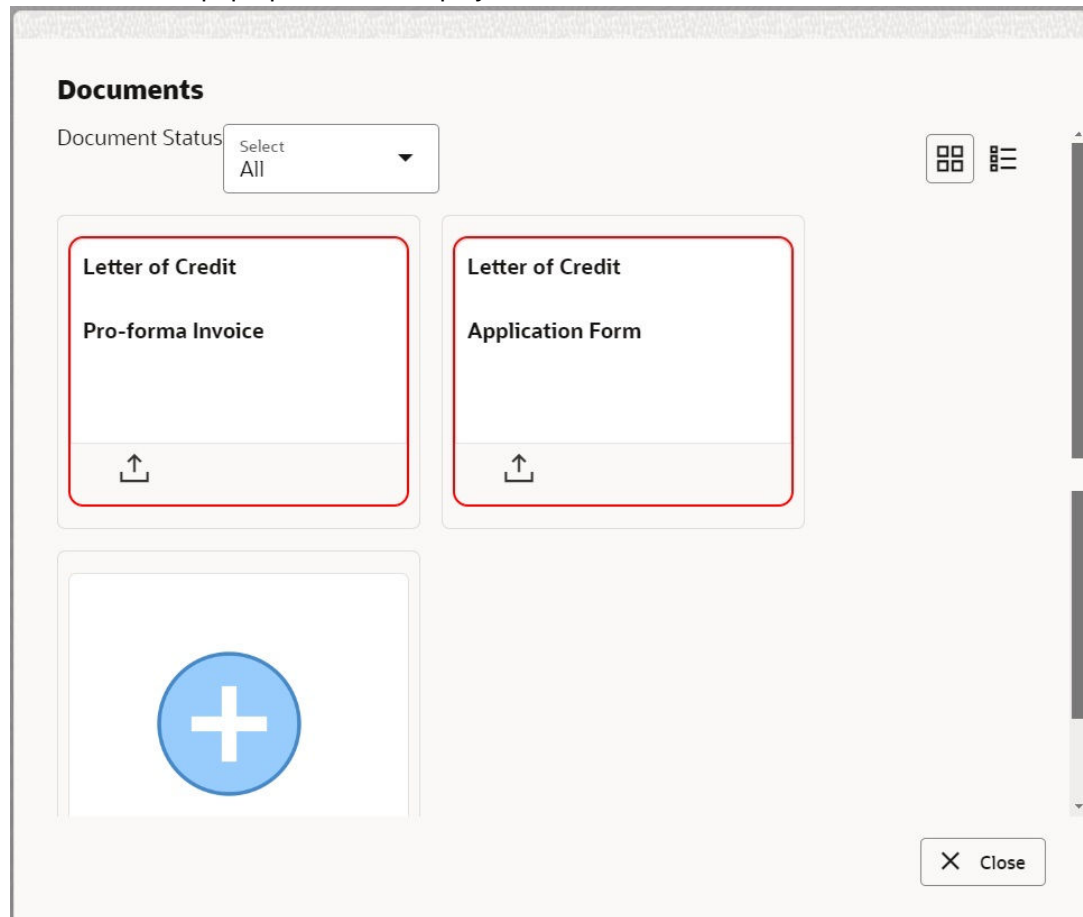
In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

Specify **User ID** and **Password**, and navigate to **Registration** screen.

1. On the header of **Registration** screen, click, **Documents**.

The **Document** pop-up screen is displayed.



2. Click the **Add Additional Documents** button/ link.

The **Document** screen is displayed.

Document

Document Type: Letter of Credit ▼

Document Code: Insurance Policy ▼

Document Title:

Document Description:

Remarks:

Document Expiry Date:

Drop files here or click to select

Link Document

Selected files:

Upload Link Cancel

Field	Description
Document Type	Select the document type from list. Indicates the document type from metadata.
Document Code	Select the document Code from list. Indicates the document Code from metadata.
Document Title	Specify the document title.
Document Description	Specify the document description.
Remarks	Specify the remarks.
Document Expiry Date	Select the document expiry date.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.

3. Select the document to be uploaded or linked and click the **Link Document** link.

The link **Document** pop up screen is displayed.

The value selected in **Document Type** and **Document Code** of **Document** screen are defaulted in the **Link Document Search** screen.

Link Document

Customer Id

Document Id

Document Type

Letter of Credit ▼

Document Code

Insurance Policy ▼

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page 1 (0 of 0 items)

◀

1

▶

Close

- Click **Fetch** to retrieve the details from DMS.

System displays all the documents available for the given **Document Type** and **Document Code** for the customer.

Field	Description
Customer ID	This field displays the transaction Customer ID.
Document ID	Specify the document ID.
Document Type	Select the document type from list.
Document Code	Select the document Code from list.
Search Result	
Document ID	This field displays the document ID from metadata.
Customer ID	This field displays the transaction customer ID.
Document Type	This field displays the document type from metadata.
Document Code	This field displays the document code from metadata.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.
Upload Date	The field displays the upload date of the document.
Reference Number	The field displays the reference number of the document.

- Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id
001044

Document Id

Document Type
Letter of Credit

Document Code
Insurance Policy

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	616	001044	fdff	INSURANCE	December 6, 2023	PK2ELCU000003399
Link	116	001044		INSURANCE	November 3, 2023	PK2ILCD000001238
Link	144	001044		INSURANCE	November 6, 2023	PK2IILD000001312
Link	162	001044		INSURANCE	November 9, 2023	PK2ELCC000001424
Link	684	001044	cvcvc	INSURANCE	December 11, 2023	PK2IELD000004034

Page 1 of 6 (1-5 of 29 items) |< < 1 2 3 4 5 6 > >I

Close

Post linking the document, the user can View, Edit and Download the document.

- Click **Edit** icon to edit the documents.

The **Edit Document** screen is displayed.

Edit Document

Document Id
2464

Document Title
ddf

Application Reference Number
PK2ILCI000053389

Entity Reference Number
PK2ILCI000053389

Document Type Id
TFPM_DOCTYPE001

Document Description
dfdfdf

Remarks
ffdfd

Document Expiry Date


Drop files here or click to select

Current selected files:ddf.pdf | 2464

Update

Cancel

2.3 Scrutiny

This topic provides the systematic instructions to initiate the Scrutiny stage of Export LC Amendment request.

On successful completion of Registration of an Export LC Amendment request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

Non Online Channel - Export LC Amendment request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via like SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated. When customer initiates an Export LC amendment response through SWIFT (Corporate to SWIFT) channel, the incoming MT798 message Accept or Reject Export LC Amendment.

If the incoming message is MT 707, requests that are received via online channels like trade portal, external system and SWIFT should be available directly for further processing in OBTFPM from Scrutiny stage.

Do the following steps to acquire a task currently at Scrutiny stage:

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Tasks**.
2. Under **Tasks**, click **Free Tasks**.

Figure 2-5 Free Tasks

	Acquire and Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
☒	Acquire and Edit	Medium	Export LC Amendment	PK2ELCA000064377	PK2ELCA000064377	Scrutiny	22-04-20
☐	Acquire and Edit	High	Import LC Issuance	PK2ILCI000064374	PK2ILCI000064374	Scrutiny	22-04-20
☐	Acquire and Edit	Medium	Export LC Advise	PK2ELCA000064361	PK2ELCA000064361	Scrutiny	22-04-20
☐	Acquire and Edit	Medium	Shipping Guarantee Is...	PK2SGTI000064346	PK2SGTI000064346	DataEnrichment	22-04-20
☐	Acquire and Edit	Medium	Import Documentary ...	PK2IDCL000064331	PK2IDCL000064331	DataEnrichment	22-04-20
☐	Acquire and Edit	Medium	Export LC Amendment	PK2ELCA000064326	PK2ELCA000064326	Reject Approval	22-04-20
☐	Acquire and Edit	Medium	Guarantee Issuance	PK2GTEI000064308	PK2GTEI000064308	Scrutiny	21-05-05
☐	Acquire and Edit	Medium	Guarantee Issuance	PK2GTEI000064306	PK2GTEI000064306	Reject Approval	22-04-20
☐	Acquire and Edit	Medium	Import LC Amendment	PK2ILCA000064293	PK2ILCA000064293	Scrutiny	22-04-20
☐	Acquire and Edit	Medium	Import Documentary ...	PK2IDCU000064290	PK2IDCU000064290	DataEnrichment	22-04-20
☐	Acquire and Edit	Medium	Export LC Advise	PK2ELCA000064287	PK2ELCA000064287	Scrutiny	22-04-20
☐	Acquire and Edit	Medium	Import Documentary ...	PK2IDCB000063072	PK2IDCB000063072	KYC Exceptional approval	22-04-20
☐	Acquire and Edit	Medium	Islamic Export Docum...	PK2IEDU000064279	PK2IEDU000064279	DataEnrichment	22-04-20

The **Free Tasks** screen displays.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task or provide input for Scrutiny stage.

Figure 2-6 My Tasks

Menu Item Search...	My Tasks	Refresh	Release	Escalate	Delegate	Flow Diagram						
	Process Name	Process Reference Number	Application Number	Stage	Application Date							
☒ Edit Medium	Export LC Amendment	PKZELCA000064377	PKZELCA000064377	Scrutiny	22-04-20							
☐ Edit Medium	Export LC Amendment	PKZELCA000064320	PKZELCA000064320	DataEnrichment	22-04-20							
☐ Edit Medium	Export LC Amendment...	PKZELCA000064015	PKZELCA000064015	Approval Task Level 1	22-04-20							
☐ Edit	Export LC Amendment...	PKZELCA000064370	PKZELCA000064370	Registration	22-04-20							
☐ Edit	Export LC Amendment...	PKZELCA000064368	PKZELCA000064368	Registration	22-04-20							
☐ Edit	Export LC Drawing	PKZELCD000063481	PKZELCD000063481	Verification	22-04-20							
☐ Edit Medium	Import Documentary ...	PK2IDCL000062383	PK2IDCL000062383	Approval Task Level 1	22-04-20							
☐ Edit Medium	Import Documentary ...	PK2IDCR000064246	PK2IDCR000064246	Approval Task Level 1	22-04-20							
☐ Edit Medium	Islamic Import Docum...	PK2IIDB000064181	PK2IIDB000064181	DataEnrichment	22-04-20							
☐ Edit Medium	Import Documentary ...	PK2IDCB000064081	PK2IDCB000064081	Approval Task Level 1	22-04-20							
☐ Edit Medium	Import LC Amendment	PK2ILCA000064102	PK2ILCA000064102	Registration	22-04-20							
☐ Edit Medium	Export LC Amendment...	PKZELCA000064014	PKZELCA000064014	DataEnrichment	22-04-20							
☐ Edit Medium	Islamic Import LC Am...	PK2IILA000063819	PK2IILA000063819	Approval Task Level 1	22-04-20							

Let's look at the details for scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

The Scrutiny stage has the following hops for data capture:

- [Main Details](#)
This topic provides the systematic instructions to initiate the main details of Scrutiny stage of Export LC Amendment request.
- [Availability Shipment](#)
This topic provides the systematic instructions to capture the Availability and Shipment details.
- [Payment Details](#)
This topic provides the systematic instructions to initiate the Payment in Scrutiny stage of Export LC Amendment request.
- [Amendment Details](#)
This topic provides the systematic instructions to capture the Amendment Details.
- [Additional Details](#)
This topic provides the systematic instructions to capture the additional details in Scrutiny stage of Export LC Amendment process.
- [Summary](#)
This topic provides the systematic instructions to view the summary details in Scrutiny stage of Export LC Amendment request.

2.3.1 Main Details

This topic provides the systematic instructions to initiate the main details of Scrutiny stage of Export LC Amendment request.

Main details section has two sub section as follows:

- Application Details
- LC Details.

Application Details

All fields displayed under Application Details section, would be read only except for the 'Priority' and 'Beneficiary Consent'.

1. On **Scrutiny - Main Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-7 Scrutiny - Main Details

For more information on fields, refer to the field description table below.

Table 2-6 Export LC Amendment - Main Details - Application Details - Field Description

Field	Description
Internal Amendment	Read only field. The value is auto-populated from the Export LC Amendment.
Advising Bank Reference	Read only field. The value is auto-populated as selected in Registration stage.
Beneficiary ID	Read only field. Beneficiary ID and beneficiary name will be auto-populated based on the selected Export LC from the look-up .

Table 2-6 (Cont.) Export LC Amendment - Main Details - Application Details - Field Description

Field	Description
Branch	Read only field. Branch details will be auto-populated based on the selected Export LC from the look-up .
Amendment No	Read only field. Amendment number will be auto-populated based on selected Export LC. Amendment number increases by 1 for each amendment.
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.
Priority	System populates the priority of the customer based on priority maintenance. User can change the priority populated any time before submit.
Submission Mode	Read only field. System populates the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. • Desk - Request received through Desk • Courier - Request received through Courier
Amendment Date	Read only field. By default, the application will display branch's current date.
Issuing Bank	Read only field. The issuing bank details is auto-populated from the Export LC Amendment.
Non Bank Issuer	Read only field. Non bank issuer details will be auto-populated from the Export LC Amendment.
20 - Documentary Credit Number	Read only field. This field displays the Documentary Credit Number from the Export LC Amendment.
User Reference Number	Read only field. User reference number will be auto-populated from the Export LC Amendment.
Beneficiary Consent	Enable the option, if beneficiary consent is required. Disable the option, if beneficiary consent is not required.

LC Details

The fields listed under this section are same as the fields listed under the **LC Details** section in Registration stage. For more information on the fields, refer to **LC Details** of [Registration](#) stage. During registration, if user has not captured input, then user can capture the details in this section.

Figure 2-8 LC Details

For more information on fields, refer to the field description table below.

Table 2-7 Export LC Amendment - LC Details - Field Description

Field	Description
LC Type	Read only field. This field displays the LC type from the Export LC Amendment.
Product Code	Read only field. Product code will be auto-populated from the Export LC.
Product Description	Read only field. This field displays the description of the product as per the product code.
Advising Bank	Read only field. This field displays the advising bank details of the selected Export LC in Registration stage.
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details.
Contract Reference Number	Read only field. This is auto generated by the back end system.

Table 2-7 (Cont.) Export LC Amendment - LC Details - Field Description

Field	Description
Date of Issue	Read only field. This field displays the date of issue of LC.
Applicable Rules	System defaults the applicable rule. The user can change the applicable rule.
Date of Expiry	This field displays the expiry date of the selected Export LC. The user can change the date of expiry.
Place of Expiry	This field displays the place of expiry of the selected Export LC. The user can change the place of expiry.
Applicant Bank	Read only field. This field displays the applicant bank details of the selected Export LC.
Applicant	Read only field. This field displays the details of the applicant of the selected Export LC.
Beneficiary	Read only field. This field displays the details of the beneficiary of the selected Export LC.
Currency Code, Amount	System defaults the value of Export LC along with the currency details of the selected Export LC. The user can amend the currency code and amount, if required.
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Percentage Credit Amount Tolerance	System defaults the percentage credit amount tolerance details of the selected Export LC. The user can amend the percentage credit amount tolerance details, if required.
Purpose of message	System defaults the purpose of message. Select the purpose of message. The options are: • Advice and Confirmation of the Credit Amendment - Select this option, if LC requires confirmation on the amendment. • Advice of the Credit Amendment - Select this option, if LC does not require confirmation on the amendment.
Additional Amount Covered	System defaults the details of additional amount covered of the selected Export LC. The user can amend the details, if required.

Table 2-7 (Cont.) Export LC Amendment - LC Details - Field Description

Field	Description
Closure Date	System default the "Closure Date" with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created. System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract. User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions, - Closure Date must be after the Issue Date. - Closure Date must be after the Expiry Date. - Closure Date cannot be blank, when the "Auto Close" is checked.

Audit

Task Audit Trail Details

Application No. Branch Code Initiated Date Initiated By

Process Name

S.No	Stage Name	Pickup Time	Completed Time	Completed By	Outcome
1	Registration	Thu, 11 Jul 2024 08:01:31 GMT	Thu, 11 Jul 2024 08:02:39 GMT	ATEST11	PROCEED

[Close](#)

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on audit, refer to the field description table below.

Table 2-8 Audit - Field Description

Field	Description
Application No.	This field displays the application number of the process.
Branch Code	This field displays the branch code.
Initiated Date	This field displays the date on which process is initiated.
Initiated By	This field displays the user ID of the user who had initiated the process.
Process Name	This field displays the name of the process which is initiated.
S. No	This field displays the serial number of the audit record.
Stage Name	This field displays the current stage of the process.
Completed Time	This field displays the time on which the audit of the current stage is completed.
Completed By	This field displays the user ID of the user who had completed the audit.

Table 2-8 (Cont.) Audit - Field Description

Field	Description
Outcome	This field displays the outcome of the audit.

2. Click **Next**.

The task will move to next data segment. For more information refer [Availability Shipment](#).

Table 2-9 Main Details - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Clicking this button enables the user to view the latest LC values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.

Table 2-9 (Cont.) Main Details - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Export LC Amendment task. Details entered will not be saved and the task will be removed
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.2 Availability Shipment

This topic provides the systematic instructions to capture the Availability and Shipment details.

User must verify/ Input/Update Availability, Shipment and Goods details of an Export LC request for the different fields under the respective data segments.

1. On **Availability Shipment** screen, specify the fields.

Figure 2-9 Availability Shipment

For more information on fields, refer to the field description table below.

As part of amendment, user can change the values available in the fields based on the description in the following table

Table 2-10 Availability Shipment - Field Description

Field	Description
Availability Details	Specify the Availability Details.
Available with	System defaults the value for Available with. Click Search to search and select the bank with which the credit is available, from the look-up. - If the LC is restricted to any particular bank, search the bank with SWIFT code (BIC) or Bank Name. On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted. - If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). In case of Online channel, this field is read only.

Table 2-10 (Cont.) Availability Shipment - Field Description

Field	Description
Available By	System defaults the value of 'Available By' as per the issued LC. Select the available by from the drop-down list. The options are: • BY ACCEPTANCE • BY DEF PAYMENT • BY MIXED PAYMENT • BY NEGOTIATION • BY PAYMENT Note If BY DEF PAYMENT option is selected, there must be a value in tag 42P- Deferred payment. Note If BY MIXED PAYMENT option is selected, there must be a value in tag 42M- Mixed payment. Note If BY PAYMENT option is selected, payment at sight is applicable. It must be applicable for sight type of product only.
Drafts At	System defaults the details of tenor of drafts to be drawn under the documentary credit as per the issued LC.
Drawee	This field displays the Drawee value as per the issued LC. Click Search to search and select the Drawee bank (Advising bank or Confirming bank). • Search the bank with SWIFT code (BIC) or Bank Name. On selection of the record if SWIFT code is available, then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted. This field is enabled if Drafts At field has value.
Tenor	System defaults the value of Tenor as per the issued LC. User can change the value, if required.
Shipment Details	As part of amendment, user can change the values available in the fields based on the description in the following table:

Table 2-10 (Cont.) Availability Shipment - Field Description

Field	Description
Partial Shipments	System defaults the value of Partial Shipments as per the LC and can be modified if required. This field specifies whether or not partial shipments are allowed under the documentary credit. Select the partial shipment details from the drop-down list. The options are: • Allowed • Conditional • Not Allowed
Transshipment	System defaults the value of Transshipments as per the LC and can be modified if required. This field specifies whether or not transshipment are allowed under the documentary credit. Select the transshipment details from the drop-down list. The options are: • Allowed • Conditional • Not Allowed
Place Of Taking In Charge	System defaults the value of place of taking in charge as per the LC and can be modified if required. This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document. Note This field is alternate to Port Of Loading. Any of these fields must have value and if both the fields has values, application will display an error message.
Port Of Loading	System defaults the value of port of loading as per the LC and can be modified if required. This field specifies the port of discharge or airport of destination to be indicated on the transport document. Note This field is alternate to Place Of Taking In Charge. Any of these fields must have value and if both the fields has values, application will display an error message.

Table 2-10 (Cont.) Availability Shipment - Field Description

Field	Description
Port Of Discharge	System defaults the value of port of discharge as per the LC and can be modified if required. This field specifies the port of discharge or airport of destination to be indicated on the transport document. Note This field is alternate to Place Of Final Destination. Any of these fields must have value and if both the fields has values, application will display an error message.
Place Of Final Destination	System defaults the value of place of final destination as per the LC and can be modified if required. This field specifies the final destination or place of delivery to be indicated on the transport document. Note This field is alternate to Port Of Discharge. Any of these fields must have value and if both the fields has values, application will display an error message.
Latest Date Of Shipment	System defaults the value of latest date of shipment as per the LC and can be modified if required. Note This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message. Note If amendment to the Shipment date (advancing the Latest Shipment Date before the Latest Shipment date of the underlying Import LC), system validates the amended value against the Back-to-Back LC value and display configurable override.

Table 2-10 (Cont.) Availability Shipment - Field Description

Field	Description
Shipment Period	System defaults the value of shipment period as per the LC and can be modified if required. <i>Note</i> This field is alternate to Latest Date Of Shipment.. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.
Description of Goods and/or Services	This field contains a description of the goods and/or services of the issued LC and can be amended if required. Provide the Shipment Details based on the description in the following table:
INCO Terms	Click Search to search and select the INCO terms from the document received.
INCO Terms Description	System displays the description of the INCO term based on the selected INCO Terms. The user can click plus icon to add multiple description of goods and services.
Goods Code	Click Search to search and select the goods code.
Goods Type	This field displays the goods type depending on selected goods code.
Goods Description	The field displays the goods description depending on selected goods code.
No of Units	Specify the number of units being imported or exported..
Price per Unit	Specify the value for price per unit.
Total Amount	System calculates the total price. In case of online request, the system should populate the total amount from incoming request. System validates that the total amount is equal to the value of the transaction (LC/Collection).
Action	Click Edit icon to edit the goods detail. Click Delete icon to delete the goods detail.

2. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-11 Availability Shipment - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.

Table 2-11 (Cont.) Availability Shipment - Action Buttons - Field Description

Field	Description
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Clicking this button enables the user to view the latest LC values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others

Table 2-11 (Cont.) Availability Shipment - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.3 Payment Details

This topic provides the systematic instructions to initiate the Payment in Scrutiny stage of Export LC Amendment request.

1. On **Scrutiny - Payment Details** screen, specify the fields.

Figure 2-10 Scrutiny - Payment Details

The screenshot displays the 'Scrutiny - Payment Details' screen in the Oracle Banking Trade Finance application. The interface is divided into several sections:

- Header:** Oracle logo, user name (ZARTAB01), and date (June 13, 2021).
- Navigation:** A sidebar on the left with options: Main Details, Availability Shipment, and Payment Details (selected).
- Form Fields:**
 - Payment Details:** Includes fields for 49G-Special Payment conditions for beneficiary (cond bank), 49H-Special Payment conditions for receiving bank (bene cond), 48-Period for Presentation (21), and 49-Confirmation Instructions (WITHOUT).
 - Confirmation:** Fields for Confirmation % and Confirmation Expiry Date.
 - 53A - Reimbursing Bank:** A search field for the bank name.
 - 71D Charges:** A text area for charges (XCC new charge).
 - Information to Issuing Bank:** Fields for 72-Sender to Receiver Information, 79Z Narrative, Issuing Bank Account No, Issuing Bank Date, and 57a - Account with Bank.
 - 79N - Amendment charges payable by:** A dropdown menu.
- Buttons:** A bottom bar with buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

For more information on fields, refer to the field description table below.

Table 2-12 Payment Details - Field Description

Field	Description
Payment Details	
Special Payment conditions for beneficiary	System defaults the value of special payment conditions for beneficiary as per the LC and can be modified if required. Specify the details of special payment condition to the beneficiary, if any.
Special Payment conditions for receiving bank	System defaults the value of special payment conditions for receiving bank as per the LC and can be modified if required. Specify the details of special payment condition to receiving bank, if any. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.
Period for Presentation	System defaults the value of period for presentation as per the LC and can be modified if required. Specify the event name in text along with the number of days in number, if the period of presentation is based on any event other than shipment. In case of Online channel, this field is read only.
Confirmation Instructions	System defaults the value of confirmation instructions. The options for confirmation instruction are: • CONFIRM • MAY ADD • WITHOUT
Partial Confirmation Allowed	Enable the option for partial confirmation. Disable the option, if partial confirmation denied. This field is enabled only if, Confirmation Instructions is set to Confirm.
Silent Confirmation	This option when enabled: • Confirmation Percentage should default as 100 and Silent Confirmation Amount should display the full LC outstanding value. User should not be able to modify them. • All other confirmation fields to be blank and disabled. This field is added only for LC in which Issuing Bank does not request confirmation. This field is enabled, if Confirmation Instructions field has value Without or May Add
Confirmation %	Specify the confirmation percentage. This field is applicable only if Confirmation Instructions is set to Confirm or May Add and Partial Confirmation Allowed Toggle is enabled. This field is alternate to 'Confirmation Amount'.
Confirmation Amount	Specify the confirmation amount. This field is applicable only if Confirmation Instructions is set to Confirm and Partial Confirmation Allowed Toggle is enabled. If Partial Confirmation Allowed field is enabled and Confirmation % is below 100, system allows to modify Confirmation % and Confirmation Amount .

Table 2-12 (Cont.) Payment Details - Field Description

Field	Description
Requested Confirmation Party	Select the requested confirmation party from the drop-down. The options are: • Advising Bank • Advise Through Bank • Others This field is enabled if Confirmation Instructions is set to Confirm or May Add.
Requested Confirmation Party	System defaults the value of of requested confirmation party as per the issued LC and can be modified if required.  Note This field is applicable only for LC Type - Confirmed LC.
Confirmation Expiry Date	Select the confirmation expiry date. This field indicates the date up to which the Confirmation is valid. When the underlying Confirmed LC is amended to have a new Expiry Date, the value will be populated in OBTFPM also. If new expiry date is to be confirmed, user can update the Confirmation Expiry Date to the new Expiry Date. If the new expiry date is not to be confirmed, user should not update the New Confirmation Expiry Date. System should validate that the value is only either the original expiry date or the new expiry date. In case Confirmation Expiry Date is to be extended, then on hand-off the related flag should be set to yes in back office system. In case Confirmation Expiry Date is not to be extended, then on hand off, related flag should be set to No in back office.
Reimbursing Bank	Click Search to search and select the reimbursing bank. Party type with banks will be displayed in look-up. SWIFT code (if available), Name and address of the bank. • SWIFT code (if available) • Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted. In case of Online channel, update the details received.

Table 2-12 (Cont.) Payment Details - Field Description

Field	Description
Advise Through Bank	Click Search to search and select the advise through bank, in case of Non - Online channel. Party type with banks must be displayed in look-up. • SWIFT code (if available) • Name and address of the bank On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted. Note In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available". In case of SWIFT MT707 message, this field is empty. In case of online channel user can update the details received.
Instructions to P/A/N Bank	System displays the value of Instructions to P/A/N bank as per the LC and can be modified if required. Click Search to search and select the instructions to P/A/N Bank.
Sender to Receiver Information	System defaults the value of Sender to Receiver Information (FFT Details) as per the LC and can be modified, if required. Click Search to search and select the sender to receiver information.
Charges	System defaults the charges details and can be modified, if required.
Amendment charges payable by	System displays the party to pay the amendment charges. The options can be: • Applicant • Beneficiary • Others
Information to Issuing Bank Provide MT730 - Information to Issuing Bank details based on the description in the following table:	
Sender to Receiver Information	Click Search to search and select the FFT to provide the additional information to receiver.
Narrative	Click Search to search and select the FFT to provide the additional information from the advising bank to the issuing bank.
Issuing Bank Account No	Click Search to search and select the issuing bank account number from the look-up.
Charges to be Claimed	Select the currency and specify the charges to be claimed.
Charges	Specify the charge details for advising.
Issuing Bank Date	Select the issuing bank date from the date picker.
Account with Bank	Click Search to search and select the account to which the charges needs to be paid.
Information to Advise Through Bank Provide MT710 - Information to Advise Through Bank details based on the description in the following table.	
Sender to Receiver Information	Click Search to search and select the FFT to provide the additional information to receiver.

2. Click **Next**.

The task will move to next data segment. For more information refer [Amendment Details](#)

Table 2-13 Payment Details - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Clicking this button enables the user to view the latest LC values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2-13 (Cont.) Payment Details - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.4 Amendment Details

This topic provides the systematic instructions to capture the Amendment Details.

This section lists the amendments made to the LC. The amendment details table displays the original value and the amended values of the amended fields in two different columns to enable Scrutiny user to identify the modifications made to the LC.

1. On **Amendment Details** screen, specify the fields, if any.

Figure 2-11 Amendment Details

The screenshot displays the 'Export LC Amendment Scrutiny' application interface. At the top, the application title is 'Export LC Amendment Scrutiny :: Application No:- PK2ELCA000064320'. Below the title, there are several tabs: 'Clarification Details', 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Common Group Messages', 'Incoming Message', 'View LC', and 'Signatures'. The 'Amendment Details' tab is selected. On the left side, there is a navigation menu with options: 'Main Details', 'Availability Shipment', 'Payment Details', 'Amendment Details' (which is highlighted), 'Additional Details', and 'Summary'. The main content area is titled 'Amendment Details' and contains three expandable sections: 'LC Amendment Details', 'Party Details', and 'Goods Details'. Each section currently shows 'No data to display.' At the bottom of the screen, there is an 'Audit' button on the left and a row of action buttons on the right: 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'. The top right corner of the interface indicates 'Screen(4/6)'.

2. Click **Next**.

The task will move to next data segment. For more information refer [Additional Details](#).
For more information on action buttons, refer to the field description table below.

Table 2-14 Amendment Details - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Clicking this button enables the user to view the latest LC values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.

Table 2-14 (Cont.) Amendment Details - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.5 Additional Details

This topic provides the systematic instructions to capture the additional details in Scrutiny stage of Export LC Amendment process.

A Scrutiny user can verify/input/update the additional details data segment of the Export LC Amendment request.

1. On **Additional Details** screen, click  on any Additional Details tile to view the details.

Figure 2-12 Additional Details

Export LC Amendment Scrutiny :: Application No:- PK2ELCA000064320

Clarification Details Documents Remarks Overrides Customer Instruction

Common Group Messages Incoming Message View LC Signatures

Main Details Availability Shipment Payment Details Amendment Details **Additional Details** Summary

Screen(5/6)

Additional Details

Limits and Collaterals

Contribution :
Currency : Contribution Amount :
Limit Status :
Collateral Currency :
Collateral :
Contribution : Collateral Status :

Commission, Charges and taxes

Charge :
Commission :
Tax :
Block Status :

Revolving Details

Revolving : **No**
Revolving In :
Revolving Frequency :

FX Linkage

FX Reference Number :
Contract Currency :
FX Linked Amount :

Assignment of Proceeds

Assignment Of Proceeds : **Yes**
Assignee Name : **Trade Indiv 2**
Assigned Amount : **20000**

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Limits and Collaterals

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number” to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	Edit	Delete
001041	Facility	001041	001041	1	100	USD	0			001041	

Cash Collateral Details

Collateral Percentage: 10.0

Collateral Currency and amount: GBP £10.00

Exchange Rate: 1.0

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral Split %	Contribution Amount	Contribution Amount In Account Currency	Account Balance Check Response	Response Message	Edit	Delete
1	GBP	05100276275	1	100	10	£10.00	YN		1	

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
PK2CDP1221100003	GBP	April 20, 2023	GBP	63880.34	67	PK2CDP1221100003	

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Save & Close Cancel

Figure 2-13 Limit Details

Limit Details

Customer Id	001044 	Linkage Type	Facility 
Contribution %	100.0  	Liability Number	001044 
Contribution Currency	USD	Line Id/Linkage Ref No	001044_US 
Limit/Liability Currency	USD	Limits Description	
Limit Check Response	Available	Amount to Earmark	\$10.00
Expiry Date		Limit Available Amount	\$999,378,010.18
Response Message	Balance available of USD 999378010.18	ELCM Reference Number	

Figure 2-14 Collateral Details

Collateral Details

Total Collateral Amount: £20.00

Collateral Amount to be Collected: £20.00

Sequence Number: 1.0

Collateral Split %: 100.0

Collateral Contribution Amount: £20.00

Settlement Account: PK20010440016

Settlement Account Currency: GBP

Exchange Rate: 1.0

Contribution Amount in Account Currency: £20.00

Account Available Amount:

Response: VN

Response Message:

Verify

✓ ✕

For more information on fields, refer to the field description table below.

Table 2-15 Limit Details - Field Description

Field	Description
Limit Details	Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application.
Linkage Type	Select the linkage type. Linkage type can be: • Facility • Liability By default Linkage Type should be "Facility".

Table 2-15 (Cont.) Limit Details - Field Description

Field	Description
Contribution %	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message. Note The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."
Liability Number	Click Search to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.
Contribution Currency	This field displays the contribution currency.
Line ID/Linkage Ref No	Click Search to search and select from the various lines available and mapped under the customer id gets listed in the drop-down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. The user can click the Line Id link to view the limit details. Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field. This field is disabled and read only, if Linkage Type is Liability.
Limit/Liability Currency	This field displays the limit currency, when the user select the Liability Number .
Limits Description	This field displays the limits description.
Limit Check Response	This field displays the limit check response. Response can be 'Success' or 'Limit not Available' based on the limit service call response. The value in this field appears, if you click the Verify button.
Amount to Earmark	This field defaults the amount to earmark. Contribution amount will default based on the contribution %. User can change the value.
Expiry Date	This field displays the date up to which the Line is valid.

Table 2-15 (Cont.) Limit Details - Field Description

Field	Description
Limit Available Amount	This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.
Response Message	This field displays the detailed response message. The value in this field appears, if you click the Verify button.
ELCM Reference Number	This field displays the ELCM reference number.
Below fields appear in the Limit Details grid along with the above fields.	
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.
Edit	Click the link to edit the Limit Details .
Cash Collateral Details	Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.
Edit	Click edit link to edit the collateral details.
Collateral Details pop-up screen	Click + plus icon to add new collateral details. Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.
Settlement Account	Click Search to search and select the settlement account for the collateral.
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.

Table 2-15 (Cont.) Limit Details - Field Description

Field	Description
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.
Response	Read only field. System populates the response on clicking the Verify button.
Response Message	Read only field. System populates the response message on clicking the Verify button.
Verify	Click to verify the account balance of the Settlement Account.
Save & Close	Click to to save and close the record.
Cancel	Click to cancel the entry.
Cash Collateral Details grid	Below fields appear in the Cash Collateral Details grid along with the above fields.
Collateral %	Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Edit	Click edit link to edit the collateral details.
Account Available Amount	This field displays the account available amount which will be auto-populated based on the settlement account selection.
Deposit Linkage Details	In this section which the deposit linkage details is captured. System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly. Click + plus icon to add new Deposit Linkage details.
Edit	Click edit link to edit the deposit linkage details.
Deposit Linkage Details pop-up screen	Below fields are displayed on the Deposit Linkage Details pop-up screen, if the user clicks plus icon.

Table 2-15 (Cont.) Limit Details - Field Description

Field	Description
Deposit Account	Click Search to search and select deposit for linkage from the list of all the customer Deposits. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.
Deposit Branch	This field displays the deposit branch which will be auto-populated based on the deposit account selection.
Deposit Available Amount	This field displays the deposit available amount and currency which will be auto-populated based on the deposit account selection.
Deposit Maturity Date	This field displays the maturity date of deposit based on the deposit account selection.
Exchange Rate	This field displays the latest exchange rate for deposit linkage. This will be picked up from the exchange rate maintenance from the common core.
Deposit Available In Transaction Currency	This field displays the deposit amount available, after exchange rate conversion, if applicable.
Linkage Percentage %	Specify the value for linkage percentage.
Linkage Amount (Transaction Currency)	This field displays the transaction amount, user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.
Deposit Details	Below fields appear in the Deposit Details grid along with the above fields.
Deposit Currency	This field displays the deposit currency.
Transaction Currency	This field displays the transaction currency.

2. Click **Save and Close** to save the details and close the screen.

Commission, Charges and Taxes

This section displays Commission, Charges and Taxes details. On landing the additional tab, charges, commission and tax components mapped to the product from the back office system.

The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and taxes

RecalculateRe-default

Commission Details

Single Collection CycleCommission Collection Method
Advance

Component	Rate	Mod. Rate	CCY	Amount	Modified	Defer	Waive	Split	Charge Party	Settl. Account	Amend
AILSN_COMM	1.2		GBP	£24.49		☐	☐	☐	GOODCARE PLC	PK20010440017	Yes
AILUN_COM2	1.25		AED	AED 10.42		☐	☐	☐	GOODCARE PLC	PK20010440017	Yes

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Charge Details

Component	Tag currency	Tag Amount	CCY	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settl. Account
LCCOURISS	AED	10000	GBP	£197.00		☐	☐	☐	☐	GOODCARE PLC	PK20010440017
LCSWIFTIS	AED	10000	GBP	£47.00		☐	☐	☐	☐	GOODCARE PLC	PK20010440017
OTHBNKCHG	AED	10000	GBP	£47.00		☐	☐	☐	☐	GOODCARE PLC	PK20010440017
RATECLASS	AED	10000	GBP	£61.22		☐	☐	☐	☐	GOODCARE PLC	PK20010440017

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Tax Details

Component	Type	Value Date	CCY	Amount	Billing	Defer	Settl. Account
LCTAX	WITHHOLDING	April 20, 2022	GBP	£170.53	☐	☐	PK20010440017
LCTAX1	WITHHOLDING	April 20, 2022	GBP	£3.76	☐	☐	PK20010440017

Split Settlement

selectComponentCurrencyAmount

No data to display.

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Split Settlement Details

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchan...	Party Type	Customer	AR-AP Tracking	Loan/Finance Account	Neg Rati
No data to display.													

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Save & CloseClose

Figure 2-15 Split Settlement

Split Settlement Details

Component

AILSN_COM1_LIQD_S01

Customer

001044-APP

Account

PK20010440017

Branch

PK2

Exchange Rate

1

Party Type

APP

AR-AP Tracking

Negotiation Rate

Amount

122.5

GL Account

Account Currency

GBP

Percentage

50.00

Original Exchange Rate

1

Negotiation Reference

Loan/Finance Account

N

Save & Close

Close

Fetch Exchange Rate

For more information on fields, refer to the field description table below.

Table 2-16 Charge Details - Field Description

Field	Description
Commission Details	This section displays the Commission Details .
Single Collection Cycle for Commission	This field is display only. The value displays as available in Bank Parameter at Back office When Single Cycle toggle is on, back office system follows single cycle for collecting commission as per the issuance commission cycle period for periodic commission.

Table 2-16 (Cont.) Charge Details - Field Description

Field	Description
Commission Collection Method	This field displays the commission Collection method available for contract. If for a contract confirmation not added and confirmation commission not available, user can change collection method for collecting commission for adding confirmation.
Component	This field displays the commission component.
Rate	This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.
Currency	This field displays the currency in which the commission have to be collected.
Amount	This field displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Modified Amount	From the default value, if the amount is changed, the value gets updated in the modified amount field.
Defer	If enabled, charges/commissions has to be deferred and collected at any future step.
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.
Split	The user can split the Commission by enabling/ disabling the flag as per the requirement.
Charge Party	Charge party is 'Applicant' by default. User can change the value to Beneficiary.
Settlement Account	Select the settlement account.
Settlement Account	This field displays the Settlement Account details.
Charge Details	This section displays the Charge Details .
Component	This field displays the charge component type.
Tag Currency	This field displays the tag currency in which the charges have to be collected.
Tag Amount	This field displays the tag amount that is maintained under the product code.
Currency	This field displays the currency in which the charges have to be collected.

Table 2-16 (Cont.) Charge Details - Field Description

Field	Description
Amount	This field displays the amount that is maintained under the product code.
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPM. The user can not enable/disable the option, if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.
Waive	Enable the toggle, if charges has to be waived. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if Defer toggle is enabled.
Split	The bank User can split the Charges/Commission by enabling/disabling the flag as per the requirement.
Charge Party	Charge party is applicant by default. User can change the value to beneficiary.
Settlement Account	Select the settlement account.
Tax Details	The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/commission will be available on click of Re-Calculate button or on hand off to back-end system.
Component	This field displays the tax component.
Type	This field displays the type of tax component.
Value Date	This field displays the value date of tax component.
Currency	This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission.
Amount	This field displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable.
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.

Table 2-16 (Cont.) Charge Details - Field Description

Field	Description
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.
Settlement Account	System defaults the settlement account. The user can modify the settlement account.
Split Settlement	Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.
Component	The split component type eligible for Split .
Currency	The currency of split settlement.
Amount	The amount of split settlement.
Split Settlement Details	Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.
Sequence	The sequence number is auto populated with the value, generated by the system.
Component	The split component type eligible for Split.
Amount	The system splits the respective Charge/Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.
Customer	Indicates the ID of the Customer in Split Settlement Details section.
Account	The system defaults the settlement account. User can modify the settlement account. System initiates a call to common core tables within OBTFPM to select the account.
Account Currency	This field defaults the currency of the account.
Branch	Indicates the branch of the customer where transaction is getting processed.
Percentage	The system splits the respective Charge/Commission percentage automatically between counter party and third party with 50% value by default. More than two splits are not allowed. The bank user can modify the amount. The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.
Exchange Rate	System populates the exchange rate maintained.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.
Party Type	System displays the party type in split settlement details section.
Negotiation Reference	Specify the negotiation reference number.
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section. The user can modify the AR-AP Tracking flag as per the requirements.
Loan/Finance Account	Displays the loan account.

Table 2-16 (Cont.) Charge Details - Field Description

Field	Description
Negotiation Rate	Specify the negotiation rate.

Revolving Details

For more information on fields, refer to the field description table below.

Table 2-17 Revolving Details - Field Description

Field	Description
Revolving	This field displays the revolving details of the LC.
Revolving In	Indicates the mode of revolving in this field. The LC can revolve with Time or Units.
Revolving Frequency	In case the LC revolves with time, then this field should be updated. This field captures the frequency in days and months by which the LC revolves.
Revolving Units	This field indicates the units by which the LC revolves.
Next Reinstatement Date	This field displays the date of next reinstatement for the LC based on the revolving frequency selected.
Cumulative	This field indicates, if the LC value has to be cumulative or not on reinstatement.
Automatic Reinstatement	This field enables the user to have automatic reinstatement on the reinstatement day without manual intervention.

- Click **Save and Close** to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
000FNDF20076A9N9			£4,000.00	1.35	£4,000.00		March 19, 2020	

Page 1 of 1 (1 of 1 items)

Average FX Rate
0

Save & Close

Cancel

Figure 2-16 FX Linkage Details

FX Linkage

FX Reference Number

000FNDF20076A9N9

Currency

USD

Contract Amount

USD

\$4,000.00

Available FX Contract Amount

USD

\$4,000.00

Linkage Amount

USD

\$4,000.00

Rate

1.35

FX Amount in Local Currency

£2,962.96

FX Expiry Date

March 19, 2020

FX Delivery Period From

FX Delivery Period To

Save & Close

Close

For more information on fields, refer to the field description table below.

Table 2-18 FX Linkage - Field Description

Field	Description
FX Reference Number	Click Search to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, - Counterparty of the FX contract should be the counterparty of the Bill contract. - Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill. The user can click + to add multiple FX Details.
Bought Currency	This field displays the currency from the linked FX contract.
Sold Currency	This field displays the currency from the linked FX contract.
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.
Rate	This field displays the rate at which the contract is booked.
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
Current Utilized amount	This field displays the liquidated /purchased /discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.
Total Utilized Amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.
FX Expiry Date	This field displays the expiry date from the linked FX contract.
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.
Action	Click Edit icon to edit the FX details. Click Delete icon to delete the FX details.
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.

- Click **Save and Close** to save the details and close the screen.
- Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-19 Additional Details - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Clicking this button enables the user to view the latest LC values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2-19 (Cont.) Additional Details - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.6 Summary

This topic provides the systematic instructions to view the summary details in Scrutiny stage of Export LC Amendment request.

User can review the summary of details updated in Scrutiny stage of Export LC Amendment request.

The Summary tiles display a list of important fields with values. User can drill down from Summary tiles into respective data segments.

1. On **Summary** screen, click  on any tile to view the details.

Figure 2-17 Summary

Tiles Displayed in Summary

- Main Details - User can view and modify the application details and LC details, if required.
- Availability Shipment - User can view and modify availability and shipment details, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of LC.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and taxes - User can view and modify Commission, charges and taxes details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Assignment of Proceeds - User can view the assignment of proceeds details.
- FX Linkage - User can view the FX linkage details.
- Party Details - User can view party details like beneficiary, advising bank etc.

2. Click **Submit**.

The task will move to next logical stage.

Table 2-20 Summary - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTfPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Click to view the latest LC values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.

Table 2-20 (Cont.) Summary - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Submit	Task will get moved to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

2.4 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of Export LC Amendment request.

As part of Data Enrichment, user can enter/update basic details of the incoming request.

Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task which completed the registration and currently at Data enrichment stage.

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click, **Task**.
2. Under **Task**, click **Free Task**.

Figure 2-18 Free Task

Acquire and Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
☒	Medium	Export LC Amendment	PK2ELCA000064244	PK2ELCA000064244	DataEnrichment	22-04-20
☐	High	Import LC Issuance	PK2ILCI000064374	PK2ILCI000064374	Scrutiny	22-04-20
☐	Medium	Export LC Advise	PK2ELCA000064361	PK2ELCA000064361	Scrutiny	22-04-20
☐	Medium	Shipping Guarantee Is...	PK2SGTI000064346	PK2SGTI000064346	DataEnrichment	22-04-20
☐	Medium	Import Documentary ...	PK2IDCL000064331	PK2IDCL000064331	DataEnrichment	22-04-20
☐	Medium	Export LC Amendment	PK2ELCA000064326	PK2ELCA000064326	Reject Approval	22-04-20
☐	Medium	Guarantee Issuance	PK2GTEI000064308	PK2GTEI000064308	Scrutiny	21-05-05
☐	Medium	Guarantee Issuance	PK2GTEI000064306	PK2GTEI000064306	Reject Approval	22-04-20
☐	Medium	Import LC Amendment	PK2ILCA000064293	PK2ILCA000064293	Scrutiny	22-04-20
☐	Medium	Import Documentary ...	PK2IDCU000064290	PK2IDCU000064290	DataEnrichment	22-04-20
☐	Medium	Export LC Advise	PK2ELCA000064287	PK2ELCA000064287	Scrutiny	22-04-20
☐	Medium	Import Documentary ...	PK2IDCB000063072	PK2IDCB000063072	KYC Exceptional approval	22-04-20
☐	Medium	Islamic Export Docum...	PK2IEDU000064279	PK2IEDU000064279	DataEnrichment	22-04-20

The **Free Task** screen appears.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Figure 2-19 My Task

Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
☒	Medium	Export LC Amendment	PK2ELCA000064244	PK2ELCA000064244	DataEnrichment	22-04-20
☐	Medium	Export LC Drawing	PK2ELCD000064352	PK2ELCD000064352	DataEnrichment	22-04-20
☐	Medium	Islamic Import LC Am...	PK2IIIA000063750	PK2IIIA000063750	DataEnrichment	22-04-20
☐	Medium	Import LC Internal Am...	PK2IIIA000063679	PK2IIIA000063679	Approval Task Level 1	22-04-20
☐	Medium	Import Documentary ...	PK2IDCU000063636	PK2IDCU000063636	DataEnrichment	22-04-20
☐	Medium	Import LC Reopen Isla...	PK2IIIR000063487	PK2IIIR000063487	DataEnrichment	22-04-20
☐	Medium	Import LC Reopen	PK2ILCR000063467	PK2ILCR000063467	DataEnrichment	22-04-20
☐	Medium	Islamic Import LC Dra...	PK2IILD000003029	PK2IILD000003029	DataEnrichment	22-04-20
☐	Medium	Import LC Liquidation	PK2ILCL000061602	PK2ILCL000061602	Approval Task Level 1	22-04-20
☐	Medium	Guarantee SBLC Advis...	PK2GADC000000751	PK2GADC000000751	Approval Task Level 1	22-04-20
☐	Medium	Import LC Drawing Up...	PK2ILCU000063310	PK2ILCU000063310	Scrutiny	22-04-20
☐	Medium	Import LC Drawing Up...	PK2ILCU000063294	PK2ILCU000063294	Registration	22-04-20
☐	Medium	Import LC Drawing Up...	PK2ILCU000010020	PK2ILCU000010020	DataEnrichment	22-04-20

The Data Enrichment stage has the following hops for data capture:

- [Main Details](#)
- [Availability Shipment](#)
- [Document and Conditions](#)
- [Payment Details](#)
- [Amendment Details](#)
- [Additional Fields](#)
- [Advices](#)
- [Additional Details](#)
- [Settlement Details](#)
- [Summary](#)

Let's look at the details for Data Enrichment stage. User can enter/update the fields in Data Enrichment stage. Some of the fields that are already having value from Registration/online channels may not be editable.

- [Main Details](#)
This topic provides the systematic instructions to initiate the Data Enrichment stage of Export LC Amendment request.
- [Availability Shipment](#)
This topic provides the systematic instructions to capture the availability and shipment details.
- [Document and Conditions](#)
This topic provides the systematic instructions to capture the details of the documents received.
- [Payment Details](#)
This topic provides the systematic instructions to initiate the Payment in Data Enrichment stage of Export LC Amendment request.
- [Amendment Details](#)
This topic provides the systematic instructions to capture the Amendment Details.
- [Additional Fields](#)
This topic provides the systematic instructions to capture the additional fields.
- [Advices](#)
This topic provides the systematic instructions to capture the advices details of Export LC Amendment process.
- [Additional Details](#)
This topic provides the systematic instructions to capture the additional details in Scrutiny stage of Export LC Amendment process.
- [Settlement Details](#)
This topic provides the systematic instructions to capture the settlement details of Export LC Amendment request.
- [Summary](#)
This topic provides the systematic instructions to view the summary details in Data Enrichment stage of Export LC Amendment request.

2.4.1 Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of Export LC Amendment request.

Main details section has two sub section as follows:

- Application Details
- LC Details.

Application Details

1. On **Data Enrichment - Main Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-20 Data Enrichment - Main Details

For more information on the fields, refer [Table 2-6](#) of **Scrutiny** stage.

LC Details

The fields listed under this section are same as the fields listed under the **LC Details** section in **Scrutiny** stage. For more information on the fields, refer [Table 2-7](#) of **Scrutiny** stage. During Registration, if user has not captured input, then user can capture the details in this section.

Figure 2-21 LC Details

2. Click **Next**.

The task will move to next data segment.

For more information on the action buttons, refer [Table 2-9](#) in **Main Details** section of **Scrutiny** stage.

2.4.2 Availability Shipment

This topic provides the systematic instructions to capture the availability and shipment details.

User must verify/input/update Availability, Shipment and Goods details of an Export LC request for the different fields under the respective data segments.

1. On **Availability Shipment** screen, specify the fields.

Figure 2-22 Availability Shipment

For more information on fields, refer [Availability Shipment](#) of **Scrutiny** stage.

2. Click **Next**.

The task will move to next data segment. For more information refer [Document and Conditions](#).

For more information on action buttons, refer [Table 2-11](#) of **Scrutiny** stage.

2.4.3 Document and Conditions

This topic provides the systematic instructions to capture the details of the documents received.

User must provide the required documents and additional conditions (if applicable) in this section.

Document Details

Documents Details section displays the list of documents required as per the issued LC and can be modified if required.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen. Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

1. On **Document and Conditions** screen, specify the fields.

Figure 2-23 Data Enrichment - Document and Conditions

Export LC Amendment DataEnrichment :: Application
No:- PK2ELCA000002129

Clarification Details Documents Remarks Overrides Customer Instruction
Common Group Messages Incoming Message View LC Signatures

Main Details
Availability Shipment
Documents and Conditions
Payment Details
Amendment Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Documents and Conditions Screen(5/10)

Document Details

Code	Document Description	Copy	Original	Clause Details	Original Doc. Required	Action
BOL	Bill of Lading	☒		BOL	☐	
INVDOC	Invoice Documents	☒		INVDOC	☐	

Additional Conditions

FFT Code	FFT Description	Action
32BAMTUTIL		

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

For more information on fields, refer to the field description table below.

Table 2-21 Document and Conditions - Field Description

Field	Description
Code	User can add the document code by clicking the plus icon. Click Search to search and select the document code based on the document received. User can add or delete the code by deleting the line on the grid. Click the plus icon to add multiple document details.
Document Description	System displays the document description based on the document code. User can edit the description.
Copy	Specify the number copies received.
Original	Specify the number of original documents received.
Clause Description	System will populate the document Clause based on the document code. User can view the document clause by clicking the link in the 'Clause Description' column.
Document Received	Enable the option, if document is received. Disable the option, if document is not received.
Action	Click Edit icon to edit the document details. Click Delete icon to delete the document details.

Additional Conditions

Additional Conditions section displays the conditions of the issued LC and can be amended if required.

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line delimiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

2. Click Next.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-22 Document and Conditions - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.

Table 2-22 (Cont.) Document and Conditions - Action Buttons - Field Description

Field	Description
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Clicking this button enables the user to view the latest LC values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others

Table 2-22 (Cont.) Document and Conditions - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.4.4 Payment Details

This topic provides the systematic instructions to initiate the Payment in Data Enrichment stage of Export LC Amendment request.

1. On **Data Enrichment - Payment Details** screen, specify the fields.

Figure 2-24 Data Enrichment - Payment Details

For more information on fields, refer [Table 2-12](#) of Scrutiny stage.

2. Click **Next**.

The task will move to next data segment. For more information refer [Amendment Details](#).
For more information on action buttons, refer [Table 2-13](#) of Scrutiny stage.

2.4.5 Amendment Details

This topic provides the systematic instructions to capture the Amendment Details.

DE user can verify the fields that have been amended. The user can see a snapshot of the amended fields with the old values and the LC amended value.

User can view all the field tags that have been amended in both Scrutiny and DE stage.
Corresponding to the field the current latest LC value and the new amended value should be displayed.

1. On **Amendment Details** screen, specify the fields, if any.

Figure 2-25 Amendment Details

2. Click **Next**.

The task will move to next data segment. For more information refer [Additional Fields](#).
For more information on action buttons, refer [Table 2-14](#) of Scrutiny stage.

2.4.6 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure these additional fields during implementation.

1. On **Additional Fields** screen, specify the fields, if any.

Figure 2-26 Data Enrichment - Additional Fields

2. Click **Next**.

The task will move to next data segment. For more information refer [Advices](#).
For more information on action buttons, refer to the field description table below.

Table 2-23 Additional Fields - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Clicking this button enables the user to view the latest LC values displayed in the respective fields.

Table 2-23 (Cont.) Additional Fields - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

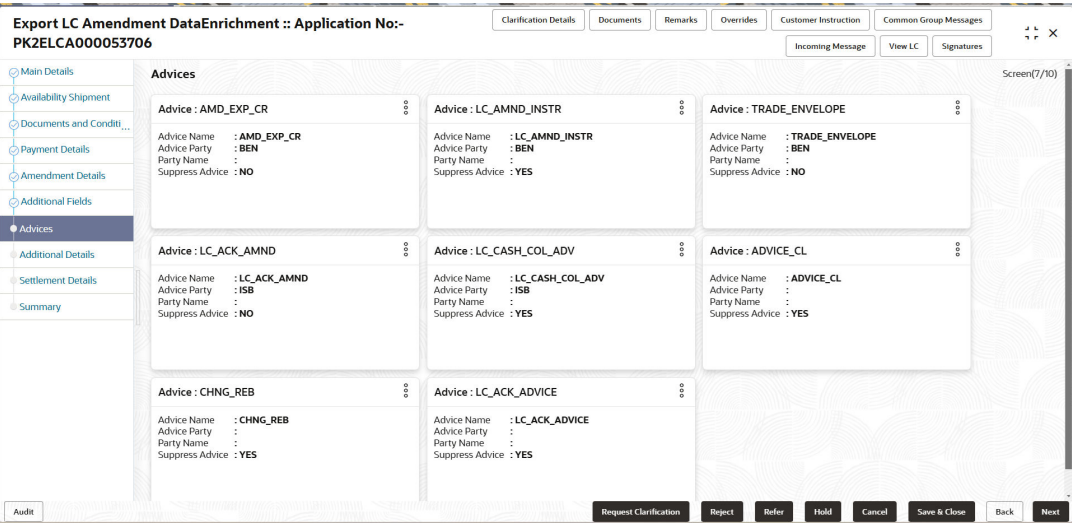
2.4.7 Advices

This topic provides the systematic instructions to capture the advices details of Export LC Amendment process.

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required. The user can also suppress the Advice, if required

1. On **Advices** screen, click  on any advice tile to view the advice details.

Figure 2-27 Advices



Export LC Amendment DataEnrichment :: Application No:- PK2ELCA000053706

Clarification Details | Documents | Remarks | Overrides | Customer Instruction | Common Group Messages | Incoming Message | View LC | Signatures

Main Details | Availability Shipment | Documents and Condit... | Payment Details | Amendment Details | Additional Fields | **Advices** | Additional Details | Settlement Details | Summary

Advices

Advice Name	Advice Party	Party Name	Suppress Advice
AMD_EXP_CR	BEN		NO
LC_AMND_INSTR	BEN		YES
TRADE_ENVELOPE	BEN		NO
LC_ACK_AMND	ISB		NO
LC_CASH_COL_ADV	ISB		YES
ADVICE_CL			YES
CHNG_REB			YES
LC_ACK_ADVICE			YES

Audit | Request Clarification | Reject | Refer | Hold | Cancel | Save & Close | Back | Next

Advice Details

Advice Details

▼ Advice Details

Suppress Advice ☐ Advice Name: LC_AMND_INSTR Medium: [Dropdown] Advice Party: ABK

Party ID: 001204 Party Name: ABC BANK

▼ FFT Code

FFT Code	FFT Description	Action
FREEVP	TESTING FFT	[Edit] [Delete]

▼ Instructions

Instruction Code	Instruction Description	Edit	Action
E023	IN CASE, REIMBURSING BANK IN NEW YORK, FAILS TO F	[Edit]	[Delete]

OK Cancel

For more information on fields, refer to the field description table below.

Table 2-24 Advice Details

Field	Description
Suppress Advice	Enable this option to suppress the advice. Disable this option if suppress advice is not required.
Advice Name	Displays the advice name.
Medium	Displays the medium of advices is defaulted from the system.
Advice Party	Displays the advice party is defaulted from the system.
Party ID	Displays the party Id defaulted from system.
Party Name	Displays the defaulted from Guarantee.
FFT Code	Click plus icon to add new FFT code.
FFT Code	Click Search to search and select the FFT Code.
FFT Description	FFT description is populated based on the FFT code selected. User can edit the FFT description.
	Click edit icon to edit the existing FFT description.
Action	Click delete icon to remove any existing FFT code. Click edit icon to edit the existing FFT code.
Instructions	Click plus icon to add new instruction code.

Table 2-24 (Cont.) Advice Details

Field	Description
Instruction Code	Click Search to search and select the instruction Code.
Instruction Description	Instruction description is populated based on the instruction code selected. User can edit the instruction description.
	Click edit icon to edit the existing instruction description.
Action	Click delete icon to remove any existing instruction code. Click edit icon to edit the existing instruction code.

2. Click **Next**.

The task will move to next data segment.

For more information on fields, refer to the field description table below.

Table 2-25 Advices - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Clicking this button enables the user to view the latest LC values displayed in the respective fields.

Table 2-25 (Cont.) Advices - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

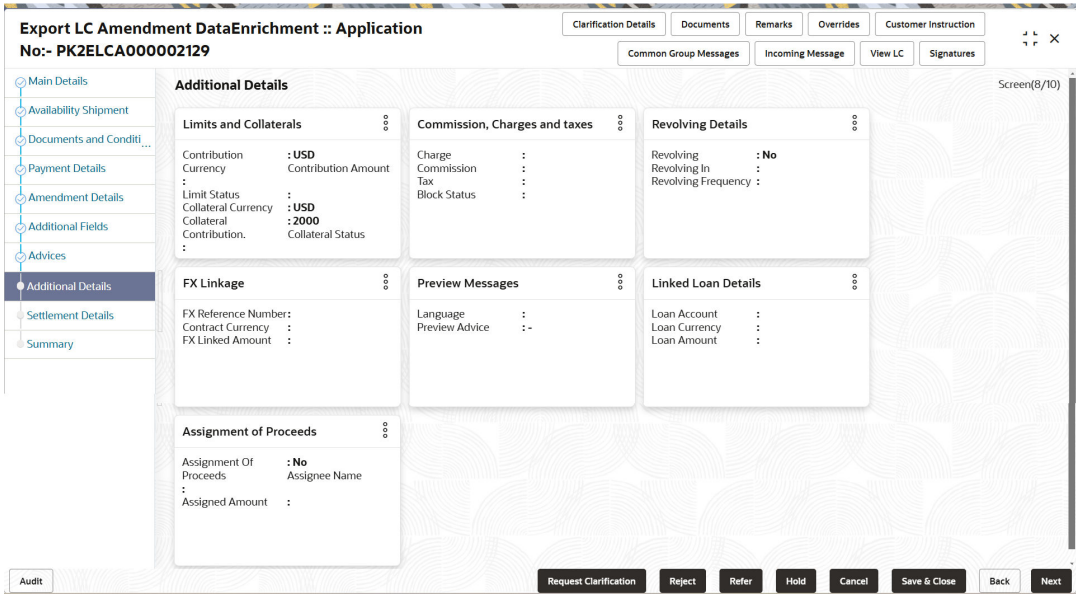
2.4.8 Additional Details

This topic provides the systematic instructions to capture the additional details in Scrutiny stage of Export LC Amendment process.

A Data Enrichment user can verify/input/update the additional details data segment of the Export LC Amendment request.

1. On **Additional Details** screen, click  on any Additional Details tile to view the details.

Figure 2-28 Additional Details



Export LC Amendment DataEnrichment :: Application
No:- PK2ELCA000002129

Clarification Details Documents Remarks Overrides Customer Instruction
Common Group Messages Incoming Message View LC Signatures

Screen(8/10)

Additional Details

Limits and Collaterals

Contribution : USD
Currency :
Contribution Amount :
Limit Status :
Collateral Currency : USD
Collateral : 2000
Contribution :
Collateral Status :

Commission, Charges and taxes

Charge :
Commission :
Tax :
Block Status :

Revolving Details

Revolving : No
Revolving In :
Revolving Frequency :

FX Linkage

FX Reference Number :
Contract Currency :
FX Linked Amount :

Preview Messages

Language :
Preview Advice :-

Linked Loan Details

Loan Account :
Loan Currency :
Loan Amount :

Assignment of Proceeds

Assignment Of : No
Proceeds Assignee Name :
Assigned Amount :

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Limits and Collaterals

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number “to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

▼ Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	Edit	Delete
001041	Facility	001041	001041	1	100	USD	0			001041	

Cash Collateral Details

Collateral Percentage: 10.0

Collateral Currency and amount: GBP £10.00

Exchange Rate: 1.0

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral Split %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response Message	Edit	Delete
1	GBP	05100Z76Z75	1	100	10	£10.00	VN		1	

▼ Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
PK2CDPI221100003	GBP	April 20, 2023	GBP	63880.34	67	PK2CDPI221100003	

Page 1 of 1 (1 of 1 items) |< 1 >|

Save & Close Cancel

Figure 2-29 Limit Details

Limit Details

Customer Id	001044 	Linkage Type	Facility 
Contribution %	100.0  	Liability Number	001044 
Contribution Currency	USD	Line Id/Linkage Ref No	001044_US 
Limit/Liability Currency	USD	Limits Description	
Limit Check Response	Available	Amount to Earmark	\$10.00
Expiry Date		Limit Available Amount	\$999,378,010.18
Response Message	Balance available of USD 999378010.18	ELCM Reference Number	

Figure 2-30 Collateral Details

Collateral Details

Total Collateral Amount	Collateral Amount to be Collected
£20.00	£20.00
Sequence Number	Collateral Split %
1.0	100.0 ▼ ▲
Collateral Contribution Amount	Settlement Account
£20.00	PK20010440016 🔍
Settlement Account Currency	Exchange Rate
GBP	1.0
Contribution Amount in Account Currency	Account Available Amount
£20.00	
Response	Response Message
VN	
Verify	
	✓ ✕

For more information on fields, refer [Table 2-15](#) of **Scrutiny** stage.

2. Click **Save and Close** to save the details and close the screen.

Commission, Charges and Taxes

This section displays Commission, Charges and Taxes details. On landing the additional tab, charges and tax if any will get defaulted from back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office.

Commission, Charges and taxes

RecalculateRe-default

Commission Details

Single Collection CycleCommission Collection Method
Advance

Component	Rate	Mod. Rate	CCY	Amount	Modified	Defer	Waive	Split	Charge Party	Settl. Account	Amend
AILSN_COMM	1.2		GBP	£24.49		☐	☐	☐	GOODCARE PLC	PK20010440017	Yes
AILUN_COM2	1.25		AED	AED 10.42		☐	☐	☐	GOODCARE PLC	PK20010440017	Yes

Page 1 of 1 (1-2 of 2 items) |< 1 >|

Charge Details

Component	Tag currency	Tag Amount	CCY	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settl. Account
LCCOURISS	AED	10000	GBP	£197.00		☐	☐	☐	☐	GOODCARE PLC	PK20010440017
LCSWIFTIS	AED	10000	GBP	£47.00		☐	☐	☐	☐	GOODCARE PLC	PK20010440017
OTHBNKCHG	AED	10000	GBP	£47.00		☐	☐	☐	☐	GOODCARE PLC	PK20010440017
RATECLASS	AED	10000	GBP	£61.22		☐	☐	☐	☐	GOODCARE PLC	PK20010440017

Page 1 of 1 (1-4 of 4 items) |< 1 >|

Tax Details

Component	Type	Value Date	CCY	Amount	Billing	Defer	Settl. Account
LCTAX	WITHHOLDING	April 20, 2022	GBP	£170.53	☐	☐	PK20010440017
LCTAX1	WITHHOLDING	April 20, 2022	GBP	£3.76	☐	☐	PK20010440017

Split Settlement

selectComponentCurrencyAmount

No data to display.

Page 1 (0 of 0 items) |< 1 >|

Split Settlement Details

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchan...	Party Type	Customer	AR-AP Tracking	Loan/Finance Account	Neg Rati
No data to display.													

Page 1 (0 of 0 items) |< 1 >|

Save & CloseClose

Figure 2-31 Split Settlement

Split Settlement Details

Component

AILSN_COM1_LIQD_S01

Customer

001044-APP

Account

PK20010440017

Branch

PK2

Exchange Rate

1

Party Type

APP

AR-AP Tracking

Negotiation Rate

Amount

122.5

GL Account

Account Currency

GBP

Percentage

50.00

Original Exchange Rate

1

Negotiation Reference

Loan/Finance Account

N

Save & Close

Close

Fetch Exchange Rate

For more information on fields, refer [Table 2-16](#) of **Scrutiny** stage.

- 3. Click **Save and Close** to save the details and close the screen.

Revolving Details

Revolving Details

Revolving

No

Next Reinstatement Date

Revolving In

Cumulative

Cumulative

Revolving Frequency

Automatic Reinstatement

Revolve Units

Save & Close

Close

For more information on fields, refer [Table 2-17](#) of **Scrutiny** stage.

4. Click **Save and Close** to save the details and close the screen.

FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
000FNDF20076A9W9			£4,000.00	1.35	£4,000.00		March 19, 2020	

Page 1 of 1 (1 of 1 items) |< 1 >|

Average FX Rate

0

Save & Close

Cancel

Figure 2-32 FX Linkage Details

FX Linkage

FX Reference Number

000FNDF20076A9N9

Currency

USD

Contract Amount

USD

\$4,000.00

Available FX Contract Amount

USD

\$4,000.00

Linkage Amount

USD

\$4,000.00

Rate

1.35

FX Amount in Local Currency

£2,962.96

FX Expiry Date

March 19, 2020

FX Delivery Period From

FX Delivery Period To

Save & Close

Close

For more information on fields, refer [Table 2-18](#) of **Scrutiny** stage.

- 5. Click **Save and Close** to save the details and close the screen.

Preview Messages

User can view the draft message (outgoing MT754 and MT742/MT750/MT742 SWIFT message format) being displayed on the preview message text box.

User can preview the MT999 messages for the applicable MT7XX messages generated by the Back office system in the Preview Message.

Preview - SWIFT Message

Language

English

Message Type

210

Message Status

EXTERNAL UNGENERATED

Repair Reason

Preview Message

Preview - Mail Advice

Language

English

Advice Type

CREDIT_ADVICE

Message Status

GENERATED

Repair Reason

Preview Message

Credit Advice
20-APR-22

GOODCARE PLC
GOODCARE PLC
sajani.t@oracle.com

Save & Close

Close

For more information on fields, refer to the field description table below.

Table 2-26 Preview - Field Description

Field	Description
Preview SWIFT Message	This section displays the Preview SWIFT Message details.
Language	Select the language for the SWIFT message.
Message Type	Select the message type.
Message Status	Read only field. Display the message status of draft message of liquidation details.
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.
Preview Mail Device	This section displays the Preview Mail Device details.
Language	Select the language for the advice message.
Advice Type	Select the advice type.
Message Status	Read only field. Display the message status of draft message of liquidation details.
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.

6. Click **Save and Close** to save the details and close the screen.
7. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer [Table 2-19](#) of **Scrutiny** stage.

2.4.9 Settlement Details

This topic provides the systematic instructions to capture the settlement details of Export LC Amendment request.

The user can view the settlement details during Export LC Amendment request.

1. On **Settlement Details** screen, specify the fields.

Figure 2-33 Settlement Details

Export LC Amendment DataEnrichment :: Application
No:- PK2ELCA000053706

Clarification Details Documents Remarks Overrides Customer Instruction
Common Group Messages Incoming Message View LC Signatures

Main Details
Availability Shipment
Documents and Condi...
Payment Details
Amendment Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Settlement Details

☐ Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMNDAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
COLL_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AVALAMT	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
LCADVBC_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
LCCOURAMND_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
LCEXADV_LIQD	GBP	Debit	131500001	Charges Receivable on Trade	GBP	No	No

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

For more information on fields, refer to the field description table below.

Table 2-27 Settlement Details – Field Description

Field	Description
Current Event	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
Component	This field displays the components based on the product selected.
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the the description of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Netting Indicator	This field displays the applicable netting indicator.
Current Event	This field displays the current event.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	This exchange rate.
Deal Reference Number	This exchange deal reference number.

- Click any component in the grid.

Party Details

Table 2-28 Party Details – Field Description

Field	Description
Transfer Type	Select the transfer type from the drop-down list. The options are: • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer
Charge Details	Select the charge details for the transaction. The options are: • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges
Netting Indicator	Select the netting indicator for the component. The options are: • Yes • No
Ordering Customer	Click search icon to search and select the ordering customer from the look up.
Ordering Institution	Click search icon to search and select the ordering institution from the look up.
Senders Correspondent	Click search icon to search and select the senders correspondent from the look up.
Receivers Correspondent	Click search icon to search and select the receivers correspondent from the look up.
Intermediary Institution	Click search icon to search and select the intermediary institution from the look up.
Account with Institution	Click search icon to search and select the account with institution from the look up.
Beneficiary Institution	Click search icon to search and select the beneficiary institution from the look up.
Ultimate Beneficiary	Click search icon to search and select the ultimate beneficiary from the look up.
Intermediary Reimbursement Institution	Click search icon to search and select the intermediary reimbursement institution from the look up.
Receiver	Click search icon to search and select the Receiver from the look up.

Payment Details

Table 2-29 Payment Details - Field Description

Field	Description
Sender to Receiver 1	Specify the sender to receiver message.
Sender to Receiver 2	Specify the sender to receiver message.
Sender to Receiver 3	Specify the sender to receiver message.
Sender to Receiver 4	Specify the sender to receiver message.
Sender to Receiver 5	Specify the sender to receiver message.
Sender to Receiver 6	Specify the sender to receiver message.

Remittance Information

Table 2-30 Remittance Information - Field Description

Field	Description
Payment Detail 1	Specify the payment details.
Payment Detail 2	Specify the payment details.
Payment Detail 3	Specify the payment details.
Payment Detail 4	Specify the payment details.

3. Click **Next**.

The task will move to next data segment.

Table 2-31 Settlement Details - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Clicking this button enables the user to view the latest LC values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.

Table 2-31 (Cont.) Settlement Details - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.4.10 Summary

This topic provides the systematic instructions to view the summary details in Data Enrichment stage of Export LC Amendment request.

User can review the summary of details updated in Scrutiny stage of Export LC Amendment request.

The Summary tiles display a list of important fields with values. User can drill down from Summary tiles into respective data segments.

1. On **Summary** screen, click  on any tile to view the details.

Figure 2-34 Summary

Export LC Amendment DataEnrichment :: Application No:- PK2ELCA00002129

Clarification Details Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View LC Signatures

Screen(10/10)

Summary

Main Details

Form of LC : **IRREVOCABLE**
 Submission Mode : **Desk**
 Date of Issue : **2022-04-20**
 Date of Expiry : **2022-07-19**
 Place of Expiry : **ae**

Availability Shipment

Available With : **any bank**
 Available By : **NEGOTIATION**
 Port of Loading :
 Port of Discharge :

Documents and Conditions

Document 1 : **BOL**
 Document 2 : **INVDOC**

Payment Details

Period of Present. :
 Confirmation Instr. : **WITHOUT**

Amendment Details

Click here to view Amended/Updated Details :

Additional Fields

Click here to view Additional Fields :

Limits and Collaterals

Contribution Currency : **USD**
 Amount to Earmark :
 Limit Status : **Not Verified**
 Collateral Currency : **USD**
 Collateral Contribution : **2000**
 Collateral Status : **Not Verified**
 Deposit Linkage Currency :
 Deposit Linkage Amount :

Commission, Charges and taxes

Charge :
 Commission :
 Tax :
 Block Status : **Not Initiated**

Revolving Details

Revolving : **N**
 Revolving In :
 Revolving Frequency :

Preview Messages

Language : **ENG**
 Preview Message :-

Parties Details

Issuing Bank : **WELLS FARGO ...**
 Applicant : **PK2WALKIN1**
 Beneficiary : **GOODCARE PLC**

Compliance details

KYC : **Not Initiate...**
 Sanctions : **Not Initiate...**
 AML : **Not Initiate...**

Accounting Details

Event :
 AccountNumber :
 Branch :

Assignment of Proceeds

Assignmenttoceeds : **No**
 Assignee Name :
 Assigned Amount :

FX Linkage

Reference Number :
 Linkage Amount :
 Contract Currency :

Linked Loan Details

loanAcc :
 Loan Currency :
 Loan Amount :

Settlement Details

Component :
 Account Number :
 Currency :

Audit Request Clarification Reject Refer Hold Cancel Save & Close Back Submit

Tiles Displayed in Summary

- Main Details - User can view and modify the application details and LC details, if required.
- Availability Shipment - User can view and modify availability and shipment details, if required.
- Documents and Conditions - User can view and modify the documents details grid and the additional conditions grid, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amendment details of the issued LC.
- Additional Fields - User can view the details of additional fields of the issued LC.

- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and taxes - User can view and modify Commission, charges and taxes details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Parties Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated by back office system.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.”

- Assignment of Proceeds - User can view the details of assignment of proceeds.
- FX Linkage - User can view the FX linkage details.
- Settlement Details - User can view the settlement details.

2. Click **Submit**.

The task will move to next logical stage.

For more information on action buttons, refer [Table 2-20](#) of **Scrutiny** stage.

2.5 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

The Export LC Amendment request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Amount Block Exception Approval

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage. Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number” to the back office. On successful handoff, back office will make use of these “Amount Block Reference Number” to

release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

- Approve:
 - Settlement amount will be funded (outside of this process)
 - Allow account to be overdrawn during hand-off
- Refer:
 - Refer Refer back to DE providing alternate settlement account to be used for block.
 - Different collateral to be mapped or utilize lines in place of collateral.
- Reject: Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details of application and LC, if required.
- Availability Shipment - User can view and modify availability and shipment details, if required.
- Documents and Conditions - User can view and modify the document details grid and the additional conditions grid, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amendment details of the issued LC.
- Additional Fields - User can view the details of additional fields.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and taxes - User can view and modify commission, charges and taxes details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Parties Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Assignment of Proceeds - User can view the details of assignment of proceeds.
- FX Linkage - User can view athe FX linkage details.

1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.

Table 2-32 Amount Bock Exception - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following - Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. - Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Click to view the latest LC values displayed in the respective fields.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: - R1- Documents missing - R2- Signature Missing - R3- Input Error - R4- Insufficient Balance/Limits - R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes Refer Codes are: - R1- Documents missing - R2- Signature Missing - R3- Input Error - R4- Insufficient Balance/Limits - R5 - Others

Table 2-32 (Cont.) Amount Bock Exception - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

1. Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
2. Open the task, to see summary tiles that display a summary of available updated fields with values.

Export LC Amendment
KYC Exceptional approval :- Application No:- PK2ELCA000017149

KYC Exception

KYC Details

Party ID	KYC Status	KYC Verified On	KYC Verified Till
001044	Failed		

Audit Reject Refer Hold Approve Back Next

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary**Figure 2-35 Know Your Customer (KYC) Exception**

Export LC Amendment
KYC Exceptional approval : Application No:- PK2ELCA000017149

Documents Remarks Overrides Customer Instruction Incoming Message View LC

ORACLE (DEFAULT IDENTITY) Oracle Banking Trade Finan... Jun 13, 2021 ZARTAB02 subham@gmail.com

KYC Exception Summary Screen (2 / 2)

Main Details		Availability Shipment		Documents and Conditions		Payment Details	
Form of LC	: IRREVOCABLE ...	Available With	: dtigb2INNN	Document 1	:	Period of Present	: 21
Submission Mode	: Desk	Available By	: Months	Document 2	:	Confirmation Instr.	: WITHOUT
Date of Issue	: 2021-05-05	Port of Loading	: cxcxcxc				
Date of Expiry	: 2021-08-03	Port of Discharge	: cxcxcxc				
Place of Expiry	: xcvcv						
Amendment Details		Additional Fields		Limits and Collaterals		Commission, Charges and taxes	
Click here to view	:	Click here to view	:	Contribution Currency	:	Charge	:
Amended/Updated	Details	Additional Fields	:	Amount to Earmark	:	Commission	:
				Limit Status	: Not Verified	Tax	:
				Collateral Currency	:	Block Status	: Not Initiated
				Collateral Contr.	:		
				Collateral Status	: Not Verified		
Revolving Details		Preview Messages		Parties Details		Compliance details	
Revolving	: N	Language	: ENG	Beneficiary	: GOODCARE PLC	KYC	: Not Verified
Revolving In	:	Preview Message	: -			Sanctions	: Not Initiate...
Revolving Frequency	:					AML	: Not Initiate...
Assignment of Proceeds		FX Linkage					
Assignment of proceeds	No	Reference Number	:				
Assignee Name	:	Linkage Amount	:				
Assigned Amount	:	Contract Currency	:				

Audit

Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details of application and LC, if required.
- Availability Shipment - User can view and modify availability and shipment details, if required.
- Documents and Conditions - User can view and modify the document details grid and the additional conditions grid, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amendment details of the issued LC.
- Additional Fields - User can view the details of additional fields.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and taxes - User can view and modify commission, charges and taxes details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Parties Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Assignment of Proceeds - User can view the details of assignment of proceeds.
- FX Linkage - User can view the FX linkage details.

For more information on Action Buttons, refer to the field description table below.

Table 2-33 Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following - Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. - Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Click to view the latest LC values displayed in the respective fields.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: - R1- Documents missing - R2- Signature Missing - R3- Input Error - R4- Insufficient Balance/Limits - R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes Refer Codes are: - R1- Documents missing - R2- Signature Missing - R3- Input Error - R4- Insufficient Balance/Limits - R5 - Others

Table 2-33 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

Field	Description
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

1. Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue.
2. Click **My Task**. The summary tiles displays summary of important fields with values.

Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details of application and LC, if required.

- Availability Shipment - User can view and modify availability and shipment details, if required.
- Documents and Conditions - User can view and modify the document details grid and the additional conditions grid, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amendment details of the issued LC.
- Additional Fields - User can view the details of additional fields.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and taxes - User can view and modify commission, charges and taxes details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Parties Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Assignment of Proceeds - User can view the details of assignment of proceeds.
- FX Linkage - User can view the FX linkage details.

For more information on action buttons, refer to the field description table below.

Table 2-34 Exception - Limit Check/Credit - Action Buttons – Field Description

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Click to view the latest LC values displayed in the respective fields.

Table 2-34 (Cont.) Exception - Limit Check/Credit - Action Buttons – Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

2.6 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

The Approval user can approve a Export LC Amendment transaction.

1. Log in into OBTFPM application and on **Home** screen, click, **Task**.
2. Under **Task**, click **Free Task**.
3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to approve the task.

The **Approval Re-Key** pop-up screen appears.

Figure 2-36 Approval Re-Key

Approval Rekey

 View Signature

 Documents

 Remarks

Currency

GBP 



Contract Amount

GBP 

 £10,000.00 

Refer

Close

Proceed

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

5. Open the task and re-key some of the critical field values from the request in the **Approval Re-Key** screen.

Some of the fields below will dynamically be available for re-key.:

- Currency,

- Contract Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

6. Click **Proceed** to proceed for the approval.

The **Approval Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

7. Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Approval Summary

Export LC Amendment Approval Task Level 1 :: Application
No:- PK2ELCA000004512

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View LC Signatures

Main Details Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2022-04-20 Date of Expiry : 2022-12-20 Place of Expiry : MUMBAI	Availability Shipment Available With : ANY BANK Available By : Port of Loading : jebel ali Port of Discharge : jnpt	Documents and Conditions Document 1 : INVDOC
Payment Details Period of Present. : 21 Confirmation Instr. : WITHOUT	Amendment Details Click here to view Amended/Updated Details :	Additional Fields Click here to view Additional : fields
Limits and Collaterals Contribution Currency : Amount to Earmark : Limit Status : Not Verified Collateral Currency : Collateral Contribution : Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount :	Commission, Charges and taxes Charge : GBP 50.00 Commission : Tax : Block Status : Success	Revolving Details Revolving : N Revolving In : Revolving Frequency :
Preview Messages Language : ENG Preview Message : -	Parties Details Issuing Bank : RABO BANK Applicant : GOODCARE PLC Beneficiary : MARKS AND SP...	Compliance details KYC : Verified Sanctions : Verified AML : Verified
Accounting Details Event : AMND AccountNumber : PK2001043001... Branch : PK2	Linked Loan Details loanAcc : Loan Currency : Loan Amount :	Assignment of Proceeds Assignmentofproceeds : No Assignee Name : Assigned Amount :
Advices Advice 1 : AMD_EXP_CR Advice 2 : LC_AMND_INST... Advice 3 : TRADE_ENVELO... Advice 4 : LC_ACK_AMND Advice 5 : LC_CASH_COL...	FX Linkage Reference Number : Linkage Amount : Contract Currency :	Exception(Approval) Sanction : EXCEPTION PLEASE VISIT REMARKS FOR MORE DETAILS : -

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view and modify the application details and LC details, if required.
- Availability Shipment - User can view and modify availability and shipment details, if required.
- Documents and Conditions - User can view and modify the documents details grid and the additional conditions grid, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amendment details of the issued LC.
- Additional Fields - User can view the details of additional fields of the issued LC.

- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and taxes - User can view and modify Commission, charges and taxes details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Parties Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated by back office system.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Assignment of Proceeds - User can view the details of assignment of proceeds.
- FX Linkage - User can view the FX linkage details.
- Exception(Approval) - User can view the exception(Approval) details.

For more information on Action Buttons, refer to the field description table below.

Table 2-35 Approval Summary - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the Export LC Amendment. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.

Table 2-35 (Cont.) Approval Summary - Action Buttons - Field Description

Field	Description
Customer Instruction	Click to view/ input the following - Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. - Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767. Clicking this button allows parsing and processing MT 707 along with the MT708 messages.
View LC	Click to view the latest LC values displayed in the respective fields.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: - R1- Documents missing - R2- Signature Missing - R3- Input Error - R4- Insufficient Balance/Limits - R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: - R1- Documents missing - R2- Signature Missing - R3- Input Error - R4- Insufficient Balance/Limits - R5 - Others

Table 2-35 (Cont.) Approval Summary - Action Buttons - Field Description

Field	Description
Cancel	Cancel the Approval stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.

8. Click **Approve**.

2.7 Reject Approval

This topic helps you quickly get acquainted with the Reject Approval process.

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

1. Log in into OBTFPM application and on **Home** screen, click, **Task**.
2. Under **Task**, click **Free Task**.
3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit**.

The **Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

5. Click each tile to drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

Export LC Amendment Reject Approval :: Application No:- PK2ELCA000001543

Documents Remarks Overrides Customer Instruction Incoming Message View LC Signatures

Main Details Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2022-04-20 Date of Expiry : 2022-07-19 Place of Expiry : ae	Availability Shipment Available With : any bank Available By : NEGOTIATION Port of Loading : Port of Discharge :	Documents and Conditions Document 1 : Document 2 :
Payment Details Period of Present. : Confirmation Instr. : WITHOUT	Amendment Details Click here to view Amended/Updated Details :	Additional Fields Click here to view Additional : fields
Limits and Collaterals Contribution Currency : USD Amount to Earmark : Limit Status : Not Verified Collateral Currency : USD Collateral Contribution : 2200 Collateral Status : Not Verified Deposit Linkage Currency : Deposit Linkage Amount :	Commission, Charges and taxes Charge : GBP 100.00 Commission : Tax : GBP 8.00 Block Status : Success	Revolving Details Revolving : N Revolving In : Revolving Frequency :
Preview Messages Language : ENG Preview Message : -	Parties Details Applicant : PK2WALKIN1 Issuing Bank : WELLS FARGO ... Beneficiary : GOODCARE PLC	Compliance details KYC : Not Initiate... Sanctions : Verified AML : Verified
Assignment of Proceeds Assignmentofproceeds : No Assignee Name : Assigned Amount :	FX Linkage Reference Number : Linkage Amount : Contract Currency :	

Audit Reject Hold Cancel Approve

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify the application details and LC details, if required.
- Availability Shipment - User can view and modify availability and shipment details, if required.
- Documents and Conditions - User can view and modify the documents details grid and the additional conditions grid, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amendment details of the issued LC.
- Additional Fields - User can view the details of additional fields of the issued LC.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and taxes - User can view and modify Commission, charges and taxes details, if required.

- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Parties Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Assignment of Proceeds - User can view the details of assignment of proceeds.
- FX Linkage - User can view the FX linkage details.

For more information on Action Buttons, refer to the field description table below.

Table 2-36 Summary - Action Buttons - Field Description

Field	Description
Reject Approve	On click of Reject Approve, the transaction is rejected.
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.
Cancel	Cancel the Reject Approval.

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