

Oracle Banking Trade Finance Process Management

Export LC Closure Islamic User Guide



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Preface

- [Purpose](#)
- [Audience](#)
This document is intended for the following audience:
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Structure](#)
This manual is organized into the following chapters:
- [Conventions](#)
- [Related Documents](#)
- [Screenshot Disclaimer](#)
- [Acronyms and Abbreviations](#)
- [Basic Actions](#)
- [Symbols and Icons](#)

Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Export LC Closure - Islamic** process.

Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and Bulletins. All critical patches should be applied in a timely manner to make sure effective security, as strongly recommended by Oracle Software Security Assurance.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Table 1 Acronyms and Abbreviations

Abbreviation	Description
OBTfPM	Oracle Banking Trade Finance Process Management
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
CCY	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Table 2 Common Action Buttons and its Definitions

Action Buttons	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2 (Cont.) Common Action Buttons and its Definitions

Action Buttons	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Click Cancel to cancel the transaction input midway without saving any data.
Save & Close	Click Save & Close to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Next	Click Next , system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Submit	Click Submit to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 3 Symbols and Icons - Common

Symbol/Icon	Function
	Minimize
	Maximize
	Close
	Perform Search
	Open a list

Table 3 (Cont.) Symbols and Icons - Common

Symbol/Icon	Function
	Date Range
	Add a new record
	Navigate to the first record
	Navigate to the last record
	Navigate to the previous record
	Navigate to the next record
	Grid view
	List view
	Refresh
	Click this icon to add a new row.
	Click this icon to delete a row, which is already added.
	Calendar
	Alerts
	Unlock Option
	View Option
	Reopen Option

Table 4 Symbols and Icons - Widget

Symbol/Icon	Function
	Open status
	Unauthorized status
	Rejected status
	Closed status
	Authorized status
	Modification Number

1

Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

2

Export LC Closure - Islamic

This chapter is documented to get familiar with the Export LC Closure - Islamic process of Oracle Banking Trade Finance Process Management.

As part of Conventional Export LC Closure, System enables the user to Close the LC which had been already issued. As part of Conventional Export LC Closure, System enables the user to Close the LC which had been already issued.

The various stages involved for Export LC Close are:

- Receive and verify documents and Input basic details(Non Online Channel)- Registration stage
- Upload of related mandatory and non-mandatory documents
- Input/Modify details of Close of LC - Data Enrichment stage
- Capture remarks for other users to check and act
- Hand off request to back office.

The design, development and functionality of the Islamic Export LC Close process flow is similar to that of conventional Export LC Close process flow.

In the following sections, let's look at the details for Export LC Closure - Islamic process.

This topic contains following subtopics:

- [Common Initiation Stage](#)
This topic provides the systematic instructions to initiate the **Export LC Closure - Islamic** request.
- [Registration](#)
This topic provides the systematic instructions to initiate the Registration stage of **Export LC Closure - Islamic** request.
- [Data Enrichment](#)
This topic provides the systematic instructions to initiate the Data Enrichment stage of Export LC Closure - Islamic request.
- [Multi Level Approval](#)
This topic helps you quickly get acquainted with the Multi Level Approval process.
- [Handoff](#)
This chapter is documented to get familiar with the Handoff stage of Export LC Closure process.

2.1 Common Initiation Stage

This topic provides the systematic instructions to initiate the **Export LC Closure - Islamic** request.

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Initiate Task**.

The **Initiate Task** screen appears.

Figure 2-1 Initiate Task

The screenshot shows the Oracle 'Initiate Task' interface. On the left is a sidebar menu with options like Administration, Bank Guarantee, Buyers Credit, and Initiate Task (which is highlighted). The main content area is titled 'Initiate Task' and 'Registration'. It contains two dropdown menus: 'Process Name' with the selected value 'Export LC Closure - Islamic', and 'Branch' with the selected value 'PK2-Oracle Banking Trade Fina'. At the bottom right of the main area are two buttons: 'Proceed' and 'Clear'.

2. On **Initiate Task** screen, specify the fields.

Note

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-1 Initiate Task - Field Description

Field	Description
Process Name	Select a process name from the drop-down list.
Branch	Select the required branch code from the drop-down list.

For more information on action buttons, refer to the field description table below.

Table 2-2 Action Buttons - Field Description

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	Click to clear the contents update and enter the values again.

3. Click **Proceed** to proceed to the next step.

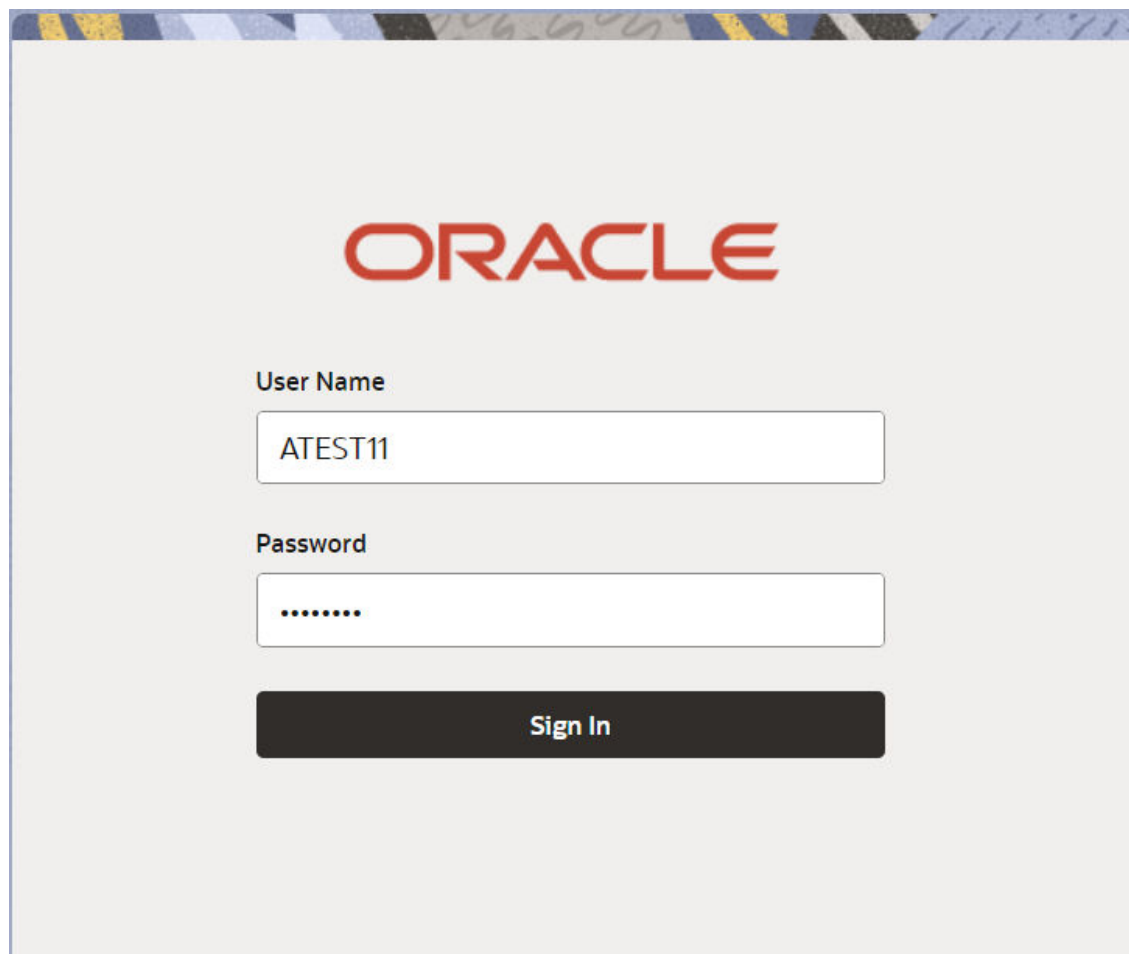
2.2 Registration

This topic provides the systematic instructions to initiate the Registration stage of **Export LC Closure - Islamic** request.

User can register request for Islamic Export LC closure in the Registration stage received at the front desk (as an application received physically/received by mail/fax). During Registration stage, user can capture the basic details of the application and upload the related documents of the applicant. On submit of the request, the request will be available for an LC close expert to handle the request in the next stage.

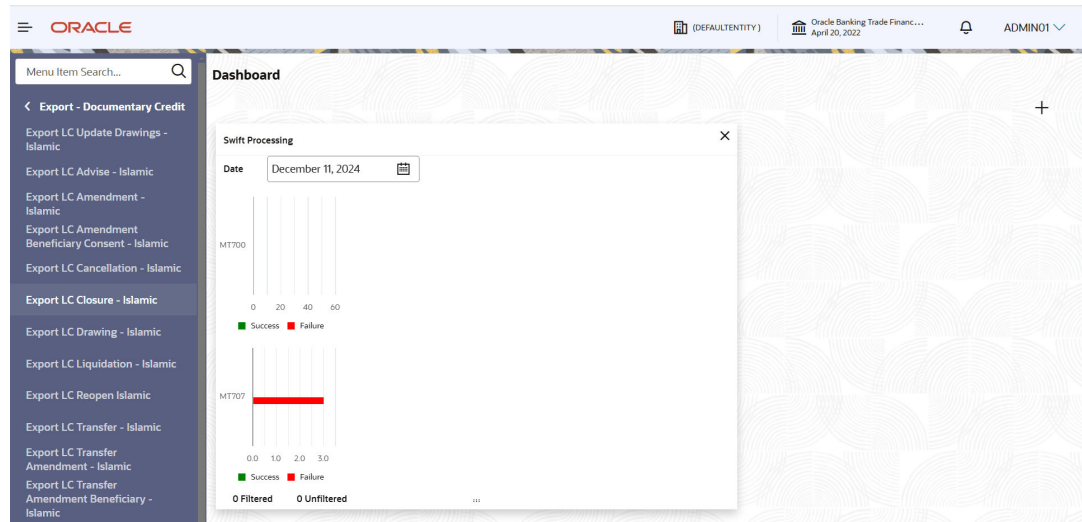
Specify **User ID** and **Password**, and login to **Home** screen.

Figure 2-2 LogIn Screen

The image shows the Oracle login screen. At the top, the Oracle logo is displayed in red. Below the logo, there are two input fields. The first is labeled "User Name" and contains the text "ATEST11". The second is labeled "Password" and contains a series of dots. Below these fields is a dark gray button with the text "Sign In" in white. The background of the screen is light gray with a decorative header bar at the top.

1. On **Home** screen, click **Trade Finance - Islamic**. Under **Trade Finance - Islamic**, click **Export Documentary Credit**.
2. Under **Export Documentary Credit**, click **Export LC Closure - Islamic**.

Figure 2-3 Export LC Closure - Islamic



The **Export LC Closure - Islamic - Registration** screen appears. The Export LC Closure - Islamic - Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

Figure 2-4 Export LC Closure - Islamic - Registration - Application Details

- On **Export LC Closure - Islamic - Registration - Application Details** screen, specify the fields.

Note

The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-3 Export LC Closure - Islamic - Registration - Application Details - Field Description

Field	Description
Advising Bank Reference	Click Search to search and select the advising bank reference number from the look-up. Alternatively, specify the advising bank reference number of the LC to be closed. In the look-up search, user can specify the Advising Bank Ref, Customer Id, Currency, Amount, User Reference, and Issuing Bank Reference to fetch the Export LC details. System displays all the Export LC's outstanding against the given Applicant-beneficiary combination which are active and not closed.
Beneficiary ID	Read only field. Beneficiary ID is auto-populated from the value available in LC.
Beneficiary Name	Read only field. Beneficiary name will be auto-populated from the value available in LC.
Branch	Read only field. Branch details will be auto-populated based on the Export LC details.
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.
Priority	System populates the priority of the customer based on priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Export LC Closure request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.

Table 2-3 (Cont.) Export LC Closure - Islamic - Registration - Application Details - Field Description

Field	Description
Submission Mode	System populates the submission mode of Export LC Closure request. By default the submission mode will have the value as 'Desk', for the transactions created via registration. User can change the defaulted priority. The submission mode are: • Courier - Request received through Courier • Desk - Request received through Desk • Email - Request received through Email • Fax - Request received through Fax
Closure Date	By default, the application will display branch's current date. User cannot change the date to a back date or a future date. System should change the date to branch date as on approval if the task is approved on a date later than the registration date.
User Reference Number	Read only field. User reference number will be auto-populated by the system based on selected Export LC.
Issuing Bank	Read only field. Issuing Bank details is auto-populated based on the value available in Export LC.

LC Details

Registration user can view the latest LC values defaulted in the respective fields in the LC Details section. All the fields in this section are read only.

The screenshot displays the 'LC Details' section of the application. It contains several fields organized in a grid-like layout:

- LC Type:** Usance (dropdown)
- Advising Bank:** (text field)
- Product Code:** EIUN (text field)
- Product Description:** Islamic Export LC Usance Revolving (text field)
- 40A - Form of Documentary Credit:** IRREVOCABLE (text field)
- Form of Documentary Credit Details:** (text field)
- 20 - Documentary Credit Number:** MNBJHIU87 (text field)
- Contract Reference Number:** EIUN221100017521 (text field)
- 30 - Date of Issue:** April 20, 2022 (calendar icon)
- 40C - Applicable Rules:** UCP LATEST VERSION (dropdown)
- 31D - Date of Expiry:** July 19, 2022 (calendar icon)
- 31D - Place of Expiry:** London (text field)
- 51A - Applicant Bank:** (text field)
- 50 - Applicant:** 001204 (text field), PK2WALKIN (text field)
- 32B - Currency Code, Amount:** GBP (dropdown), £10,000.00 (text field)
- Amount In Local Currency:** GBP (dropdown), £10,000.00 (text field)
- 30A - Percentage Credit Amount Tolerance:** (text field)
- Limits Required:** (checkbox)
- 39C - Additional Amount Covered:** (text field)
- Sender's Reference:** (text field)
- Auto Close:** (checkbox)
- Closure Date:** August 18, 2022 (calendar icon)

At the bottom right, there are buttons: Hold, Cancel, Save & Close, and Submit.

- On **Export LC Closure - Islamic - LC Details** screen, specify the fields.

Note

The fields which are marked in asterisk are mandatory.

For more information on fields, refer to the field description table below.

Table 2-4 Export LC Closure - Islamic - Registration - LC Details - Field Description

Field	Description
LC Type	Read only field. LC type is auto-populated based on the latest LC details.
Advising Bank	Read only field. This field displays the advising bank details of the selected Export LC.
Product Code	Read only field. This field displays the product code used during issuance.
Product Description	Read only field. This field displays the description of the product as per the product code displayed as in export LC issuance.
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details available in Export LC.
Form of Documentary Credit Details	Read only field. This field displays the form of documentary credit details, if confirmation details are received from advising bank.
20 - Documentary Credit Number	Read only field. This field displays the documentary credit number as available in export LC.
Contract Reference Number	Read only field. This field displays the 'Reference number' provided by the beneficiary bank. The unique Customer Reference Number which will be the User Reference Number in LC.
Date of Issue	Read only field. This field displays the LC issuance date.
Applicable Rules	Read only field. This field displays the rules of the selected export LC as per the latest LC details.
Date of Expiry	Read only field. This field displays the expiry date as per the latest LC details. The Export LC should be allowed to be closed only if the LC is expired.

Table 2-4 (Cont.) Export LC Closure - Islamic - Registration - LC Details - Field Description

Field	Description
Place of Expiry	Read only field. This field displays the place of expiry as per the latest LC details.
Applicant Bank	Read only field. This field displays the applicant bank details as per the latest LC details.
Applicant	Read only field. This field displays the details of the applicant as per the latest LC details.
Currency Code, Amount	Read only field. This field displays the details of the currency code and LC amount as per the latest LC details.
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Percentage Credit Amount Tolerance	Read only field. This field displays the details of the percentage credit amount tolerance as per the latest LC details.
Limits Required	Read only field. Limits Required (Y/N) will be auto-populated as per the latest LC details.
Additional Amount Covered	Read only field. This field displays the details of the additional amount covered as per the latest LC details.
Sender's Reference	Read only field. This field displays the sender's reference value as in underlying LC.

5. Click **Submit**.

The task will move to next logical stage of Export LC Closure - Islamic.
For more information on action buttons, refer to the field description table below.

Table 2-5 Export LC Closure - Islamic - Registration - Action Buttons - Field Description

Field	Description
Documents	Upload the documents received under the Export LC Closure - Islamic.
Remarks	Specify any additional information regarding the Export LC Closure - Islamic. This information can be viewed by other users handling the request.

Table 2-5 (Cont.) Export LC Closure - Islamic - Registration - Action Buttons - Field Description

Field	Description
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
View LC	Click View LC to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields..
View LC Events	Click to view the LC events.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Export LC Closure - Islamic task. Details entered will not be saved and the task will be removed
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.
Submit	The task will move to next logical stage of Export LC Closure - Islamic. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

2.3 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of Export LC Closure - Islamic request.

On successful completion of Registration of an Export LC Closure - Islamic request, the task moves to Data Enrichment stage. At this stage the gathered information during Registration are scrutinized.

As a part of Data Enrichment, the user can review and input/enrich Export LC closure request. The user can also verify the basic details of closure request and can verify if the request can be progressed further. The transaction will have the details entered during the registration stage.

Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task which completed the registration and currently at Data enrichment stage.

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click, **Tasks**.
2. Under **Tasks**, click **Free Tasks**.

Figure 2-5 Free Tasks

Acquire and Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
☒	Medium	Islamic Export LC Closure	PK2IECL000064549	PK2IEL0000064549	DataEnrichment	22-04-20
☐	Medium	Islamic Export LC Drawi...	PK2IELU000050808	PK2IELU000050808	DataEnrichment	22-04-20
☐	Medium	Import LC Issuance	PK2ILCI000064540	PK2ILCI000064540	Scrutiny	22-04-20
☐	Medium	Export LC Amendment	PK2ELCA000064536	PK2ELCA000064536	Scrutiny	22-04-20
☐	Medium	Guarantee Issuance	PK2GTEI000064527	PK2GTEI000064527	Scrutiny	22-04-20
☐	Medium	Import Documentary Co...	PK2IDCB000064524	PK2IDCB000064524	KYC Exceptional approval	22-04-20
☐	Medium	Guarantee Issuance	PK2GTEI000064523	PK2GTEI000064523	Scrutiny	22-04-20
☐	Medium	Guarantee Issuance	PK2GTEI000064518	PK2GTEI000064518	Scrutiny	22-04-20
☐	Medium	Guarantee Issuance	PK2GTEI000064517	PK2GTEI000064517	Scrutiny	22-04-20
☐	Medium	Guarantee Issuance	PK2GTEI000064516	PK2GTEI000064516	Scrutiny	22-04-20
☐	Medium	Guarantee Issuance	PK2GTEI000064514	PK2GTEI000064514	Scrutiny	22-04-20
☐	Medium	Guarantee Issuance	PK2GTEI000064513	PK2GTEI000064513	Scrutiny	22-04-20
☐	Medium	Guarantee Issuance	PK2GTEI000064512	PK2GTEI000064512	Scrutiny	22-04-20

The **Free Tasks** screen displays.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Figure 2-6 My Tasks

Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
☒	Medium	Islamic Export LC Closure	PK2IECL000064549	PK2IEL0000064549	DataEnrichment	22-04-20
☐	Medium	Export LC Drawing - Islamic	PK2IELD000064491	PK2IELD000064491	DataEnrichment	22-04-20
☐	Medium	Islamic Export LC Reopen	PK2IELR000064457	PK2IELR000064457	DataEnrichment	22-04-20
☐	Medium	Islamic Export LC Reopen	PK2IELR000064448	PK2IELR000064448	AmountBlock Exception A...	22-04-20
☐	Medium	Export LC Transfer	PK2ELCT000064421	PK2ELCT000064421	Approval Task Level 1	22-04-20
☐	Medium	Export LC Transfer	PK2ELCT000064430	PK2ELCT000064430	Registration	22-04-20
☐	Medium	Export LC Transfer	PK2ELCT000064428	PK2ELCT000064428	Registration	22-04-20
☐	Medium	Export LC Drawing Update	PK2ELCU000063760	PK2ELCU000063760	DataEnrichment	22-04-20
☐	Medium	Export LC Drawing Update	PK2ELCU000064386	PK2ELCU000064386	DataEnrichment	22-04-20
☐	Medium	Export LC Drawing Update	PK2ELCU000064207	PK2ELCU000064207	DataEnrichment	22-04-20
☐	Medium	Export LC Drawing Update	PK2ELCU000064388	PK2ELCU000064388	Scrutiny	22-04-20
☐	Medium	Export LC Amendment	PK2ELCA000064377	PK2ELCA000064377	DataEnrichment	22-04-20
☐	Medium	Export LC Amendment	PK2ELCA000064320	PK2ELCA000064320	DataEnrichment	22-04-20

Let's look at the details for Data Enrichment stage. User can enter/update the fields in Data Enrichment stage. Some of the fields that are already having value from Registration/online channels may not be editable.

The Data Enrichment stage has the following hops for data capture:

- [Main Details](#)
This topic provides the systematic instructions to initiate the main details of Data Enrichment stage of **Export LC Closure - Islamic** request.
- [Additional Fields](#)
This topic provides the systematic instructions to capture the additional fields.
- [Advices](#)
This topic provides the systematic instructions to capture the advices details of Export LC Closure - Islamic process.
- [Additional Details](#)
This topic provides the systematic instructions to capture the additional details in Scrutiny stage of Export LC Closure - Islamic process.
- [Settlement Details](#)
This topic provides the systematic instructions to capture the settlement details of Export LC Closure - Islamic request.
- [Summary](#)
This topic provides the systematic instructions to view the summary details in Scrutiny stage of Export LC Closure - Islamic request.

2.3.1 Main Details

This topic provides the systematic instructions to initiate the main details of Data Enrichment stage of **Export LC Closure - Islamic** request.

Main details section has two sub section as follows:

- Application Details
- LC Details.

Application Details

All fields displayed under Application Details section, would be read only except for the **Priority**.

1. On **Main Details** screen, specify the fields that were not entered at Registration stage, if any.

Figure 2-7 Main Details

Islamic Export LC Closure DataEnrichment :: Application No:- PK2IECL000064549

Clarification Details Documents Remarks Overrides Customer Instruction

Screen(1/6)

Main Details

Application Details

Advising Bank Reference: EIUN221100017521

Beneficiary ID: 001043

59A - Beneficiary Name: MARKS AND SPENCER

Branch: PK2-Oracle Banking Trade Fina

Process Reference Number: PK2IECL000064549

Priority: Medium

Submission Mode: Desk

Closure Date: August 18, 2022

User Reference Number: EIUN221100017521

Issuing Bank: 001183 RABO BANK

50B Non Bank Issuer:

LC Details

LC Type: Usance

Advising Bank:

Product Code: EIUN

Product Description: Islamic Export LC Usance Revolving

40A - Form of Documentary Credit: IRREVOCABLE

Form of Documentary Credit Details:

20 - Documentary Credit Number: MNB1HIU87

Contract Reference Number: EIUN221100017521

30 - Date of Issue: April 20, 2022

40C - Applicable Rules: UCP LATEST VERSION

31D - Date of Expiry: July 19, 2022

31D - Place of Expiry: London

51A - Applicant Bank:

50 - Applicant: 001204 PK2WALKIN

32B - Currency Code, Amount: GBP £10,000.00

Amount in Local Currency: GBP £10,000.00

39A - Percentage Credit Amount Tolerance: /

Limits Required: ☐

39C - Additional Amount Covered:

Sender's Reference:

Auto Close: ☐

Closure Date: August 18, 2022

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Next

For more information on fields, refer to the field description table below.

Table 2-6 Export LC Closure - Islamic - Main Details - Application Details - Field Description

Field	Description
Advising Bank Reference	Read only field. The value is auto-populated from the Registration stage.
Beneficiary ID	Read only field. Beneficiary ID is auto-populated from the value available in LC.
Beneficiary Name	Read only field. Beneficiary name will be auto-populated from the value available in LC.
Branch	Read only field. Branch details will be auto-populated based on the selected Export LC.

Table 2-6 (Cont.) Export LC Closure - Islamic - Main Details - Application Details - Field Description

Field	Description
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.
Priority	System populates the priority of the customer based on priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Export LC Closure request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.
Submission Mode	Read only field. System populates the submission mode of Export LC Closure request. By default the submission mode will have the value as 'Desk'.
Closure Date	By default, the application will display branch's current date.
User Reference Number	Read only field. User reference number will be auto-populated by the system based on selected Export LC.
Issuing Bank	Read only field. This field displays the issuing bank as available in LC.

LC Details

The fields listed under this section are same as the fields listed under the **LC Details** section in **Registration** stage. All fields displayed under LC Details section, would be read only.

Figure 2-8 LC Details

The screenshot displays the 'LC Details' section of a web application. On the left, a sidebar contains navigation links: 'Main Details', 'Additional Fields', 'Advices', 'Additional Details', 'Settlement Details', and 'Summary'. The 'LC Details' section is active, showing a grid of input fields. Fields include: 'LC Type' (Usance), '40A - Form of Documentary Credit' (IRREVOCABLE), '30 - Date of Issue' (April 20, 2022), '51A - Applicant Bank', '39A - Percentage Credit Amount Tolerance', 'Auto Close' (checkbox), 'Advising Bank', 'Form of Documentary Credit Details' (UCP LATEST VERSION), '40C - Applicable Rules', '50 - Applicant' (001204, PKZWALKIN), 'Limits Required' (checkbox), 'Closure Date' (August 18, 2022), 'Product Code' (EIUN), '20 - Documentary Credit Number' (MNBJHIU87), '31D - Date of Expiry' (July 19, 2022), '32B - Currency Code, Amount' (GBP, £10,000.00), '39C - Additional Amount Covered', 'Product Description' (Islamic Export LC Usance Revolving), 'Contract Reference Number' (EIUN2110007521), '31D - Place of Expiry' (London), 'Amount in Local Currency' (GBP, £10,000.00), and 'Sender's Reference'. At the bottom, there is an 'Audit' button and a row of action buttons: 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', and 'Next'.

For more information on fields, refer to the field description table below.

Table 2-7 Export LC Closure - Islamic - LC Details - Field Description

Field	Description
LC Type	Read only field. LC type is auto-populated based on the latest LC details.
Advising Bank	Read only field. This field displays the advising bank details of the selected Export LC.
Product Code	Read only field. This field displays the product code used during issuance.
Product Description	Read only field. This field displays the description of the product as per the product code displayed as in export LC issuance.
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details available in Export LC.
Form of Documentary Credit Details	Read only field. This field displays the form of documentary credit details, if confirmation details are received from advising bank.
20 - Documentary Credit Number	Read only field. This field displays the documentary credit number as available in export LC.
Contract Reference Number	Read only field. This field displays the 'Reference number' provided by the beneficiary bank. The unique Customer Reference Number which will be the User Reference Number in LC.
Date of Issue	Read only field. This field displays the LC issuance date.
Applicable Rules	Read only field. This field displays the rules of the selected export LC as per the latest LC details.
Date of Expiry	Read only field. This field displays the expiry date as per the latest LC details. The Export LC should be allowed to be closed only if the LC is expired.
Place of Expiry	Read only field. This field displays the place of expiry as per the latest LC details.
Applicant Bank	Read only field. This field displays the applicant bank details as per the latest LC details.

Table 2-7 (Cont.) Export LC Closure - Islamic - LC Details - Field Description

Field	Description
Applicant	Read only field. This field displays the details of the applicant as per the latest LC details.
Currency Code, Amount	Read only field. This field displays the details of the currency code and LC amount as per the latest LC details.
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Percentage Credit Amount Tolerance	Read only field. This field displays the details of the percentage credit amount tolerance as per the latest LC details.
Limits Required	Read only field. Limits Required (Y/N) will be auto-populated as per the latest LC details.
Additional Amount Covered	Read only field. This field displays the details of the additional amount covered as per the latest LC details.
Sender's Reference	Read only field. This field displays the sender's reference value as in underlying LC.
Auto Close	Read only field. System default the value from the previous versions of the contracts.
Closure Date	Read only field. System default the value of closure date from the previous versions of the contracts.

Figure 2-9 Audit Trail Details

Task Audit Trail Details

Application No. Branch Code Initiated Date Initiated By

Process Name

S.No	Stage Name	Pickup Time	Completed Time	Completed By	Outcome
1	Registration	Thu, 11 Jul 2024 08:01:31 GMT	Thu, 11 Jul 2024 08:02:39 GMT	ATEST11	PROCEED

[Close](#)

This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on audit, refer to the field description table below.

Table 2-8 Audit Trail Details - Field Description

Field	Description
Application No.	This field displays the application number of the process.
Branch Code	This field displays the branch code.
Initiated Date	This field displays the date on which process is initiated.
Initiated By	This field displays the user ID of the user who had initiated the process.
Process Name	This field displays the name of the process which is initiated.
S. No	This field displays the serial number of the audit record.
Stage Name	This field displays the current stage of the process.
Completed Time	This field displays the time on which the audit of the current stage is completed.
Completed By	This field displays the user ID of the user who had completed the audit.
Outcome	This field displays the outcome of the audit.

2. Click **Next**.

The task will move to next data segment.

Table 2-9 Main Details - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for export LC Closure - Islamic. Place holders are also available to upload additional documents submitted by the applicant. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Closure - Islamic. This information can be viewed by other users handling the request.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
View LC	Click View LC to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields..
View LC Events	Click to view the LC events.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2-9 (Cont.) Main Details - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Export LC Closure task. Details entered will not be saved and the task will be removed
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

2.3.2 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Any user defined fields mapped as per bank's requirement can be viewed in this section.

1. On **Additional Fields** screen, specify the fields, if any.

Figure 2-10 Additional Fields

2. Click **Next**.

The task will move to next data segment. For more information refer [Advices](#).
For more information on action buttons, refer to the field description table below.

Table 2-10 Additional Fields - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for export LC Closure - Islamic. Place holders are also available to upload additional documents submitted by the applicant. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Closure - Islamic. This information can be viewed by other users handling the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.

Table 2-10 (Cont.) Additional Fields - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

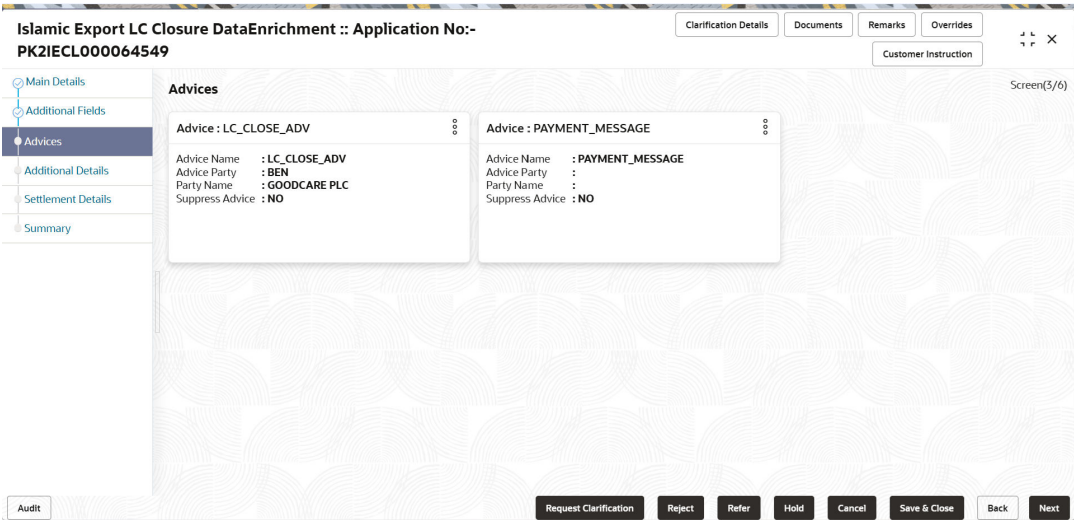
2.3.3 Advices

This topic provides the systematic instructions to capture the advices details of Export LC Closure - Islamic process.

This section defaults the advices maintained for the product/event simulated from the advices maintained at the product level. The user can also suppress the Advice, if required

- 1. On **Advices** screen, click  on any advice tile to view the advice details.

Figure 2-11 Advices



Advice Details

Advice Details

▼ Advice Details

Suppress Advice ☐ Advice Name: LC_AMND_INSTR Medium: [Dropdown] Advice Party: ABK

Party ID: 001204 Party Name: ABC BANK

▼ FFT Code

FFT Code	FFT Description	Action
FREEVP	TESTING FFT	[Edit] [Delete]

▼ Instructions

Instruction Code	Instruction Description	Edit	Action
E023	IN CASE, REIMBURSING BANK IN NEW YORK, FAILS TO F	[Edit]	[Delete]

OK Cancel

For more information on fields, refer to the field description table below.

Table 2-11 Advice Details

Field	Description
Suppress Advice	Enable this option to suppress the advice. Disable this option if suppress advice is not required.
Advice Name	Displays the advice name.
Medium	Displays the medium of advices is defaulted from the system.
Advice Party	Displays the advice party is defaulted from the system.
Party ID	Displays the party Id defaulted from system.
Party Name	Displays the defaulted from Guarantee.
FFT Code	Specify the FFT Code details. Click plus icon to add new FFT code.
FFT Code	Click Search to search and select the FFT Code.
FFT Description	FFT description is populated based on the FFT code selected. User can edit the FFT description.
	Click edit icon to edit the existing FFT description.
Action	Click delete icon to remove any existing FFT code. Click edit icon to edit the existing FFT code.

Table 2-11 (Cont.) Advice Details

Field	Description
Instructions	Specify the Instruction Details . Click plus icon to add new instruction code.
Instruction Code	Click Search to search and select the instruction Code.
Instruction Description	Instruction description is populated based on the instruction code selected. User can edit the instruction description.
	Click edit icon to edit the existing instruction description.
Action	Click delete icon to remove any existing instruction code. Click edit icon to edit the existing instruction code.

2. Click **Next**.

The task will move to next data segment.

For more information on fields, refer to the field description table below.

Table 2-12 Advices - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for export LC Closure - Islamic. Place holders are also available to upload additional documents submitted by the applicant. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Closure - Islamic. This information can be viewed by other users handling the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.

Table 2-12 (Cont.) Advices - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

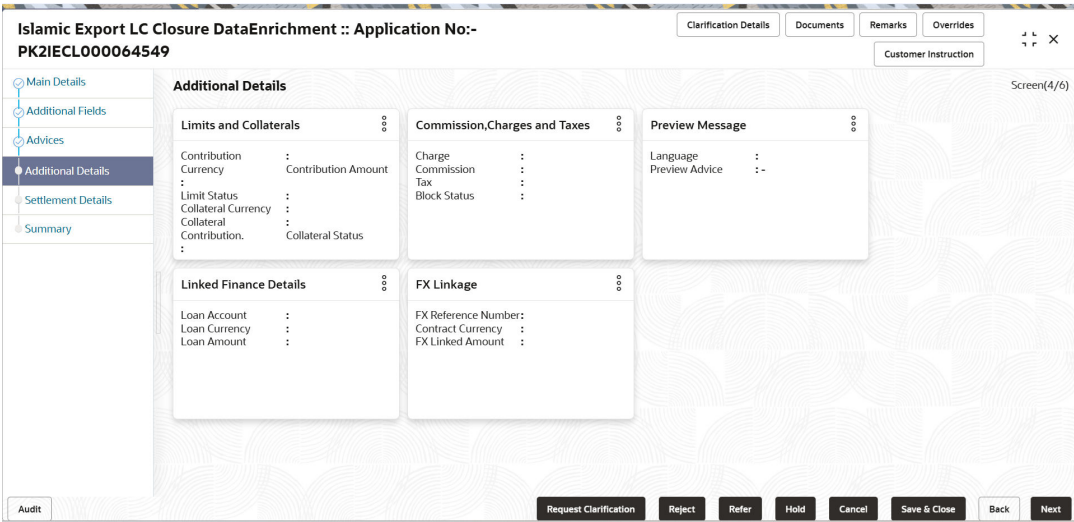
2.3.4 Additional Details

This topic provides the systematic instructions to capture the additional details in Scrutiny stage of Export LC Closure - Islamic process.

In the Additional details section, user can update and verify the additional details data segment of Export LC Closure - Islamic request.

1. On **Additional Details** screen, click  on any Additional Details tile to view the details.

Figure 2-12 Additional Details



Limits and Collaterals

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number” to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTfPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	View
No data to display.										

Cash Collateral Details

Collateral Percentage

Collateral Currency and amount

Exchange Rate

10.0

USD\$1,000.00

1.0

Sequence Number	Settlement Account...	Settlement Account	Exchange Rate	Collateral Split %	Contribution Amount	Contribution Amount In Account Currency	Account Balance Check Response	Response Message	View
1	USD	PK20010430013		100	0		NA	verify/Block not required	1

Deposit Linkage Details

☐	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	View
No data to display.							

Save & Close

Cancel

Figure 2-13 Limit Details

Limit Details

Customer Id

001044

Contribution %

100.0

Contribution Currency

USD

Limit/Liability Currency

USD

Limit Check Response

Expiry Date

Response Message

Linkage Type

Facility

Liability Number

001044

Line Id/Linkage Ref No

001044_US

Limits Description

Amount to Earmark

\$4,840.00

Limit Available Amount

\$0.00

ELCM Reference Number

Save & Close

Close

Figure 2-14 Collateral Details

Collateral Details

Total Collateral Amount

\$1,000.00

Sequence Number

1.0

Collateral Contribution Amount

\$0.00

Settlement Account Currency

USD

Contribution Amount in Account Currency

Response

NA

Collateral Amount to be Collected

Collateral Split %

100.0

Settlement Account

PK20010430013

Exchange Rate

1.0

Account Available Amount

Response Message

verify/Block not required

For more information on fields, refer to the field description table below.

Table 2-13 Limit Details - Field Description

Field	Description
Limit Details	Click plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application.
Linkage Type	Select the linkage type. Linkage type can be: - Facility - Liability By default Linkage Type should be Facility .

Table 2-13 (Cont.) Limit Details - Field Description

Field	Description
Contribution %	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message. Note The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."
Liability Number	Click Search to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.
Contribution Currency	This field displays the contribution currency.
Line ID/Linkage Ref No	Click Search to search and select from the various lines available and mapped under the customer id gets listed in the drop-down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. The user can click the Line Id link to view the limit details. Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field. This field is disabled and read only, if Linkage Type is Liability.
Limit/Liability Currency	This field displays the limit currency, when the user select the Liability Number .
Limits Description	This field displays the limits description.
Limit Check Response	This field displays the limit check response. Response can be 'Success' or 'Limit not Available' based on the limit service call response. The value in this field appears, if you click the Verify button.
Amount to Earmark	This field defaults the amount to earmark. Contribution amount will default based on the contribution %. User can change the value.
Expiry Date	This field displays the date up to which the Line is valid.

Table 2-13 (Cont.) Limit Details - Field Description

Field	Description
Limit Available Amount	This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.
Response Message	This field displays the detailed response message. The value in this field appears, if you click the Verify button.
ELCM Reference Number	This field displays the ELCM reference number.
Limit Details grid	Below fields appear in the Limit Details grid along with the above fields.
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.
Edit	Click the link to edit the Limit Details .
Cash Collateral Details	Specify the Cash Collateral Details .
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.
Edit	Click edit link to edit the collateral details.
Collateral Details pop-up screen	Click + plus icon to add new collateral details. Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.
Settlement Account	Click Search to search and select the settlement account for the collateral.
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.

Table 2-13 (Cont.) Limit Details - Field Description

Field	Description
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.
Response	Read only field. System populates the response on clicking the Verify button.
Response Message	Read only field. System populates the response message on clicking the Verify button.
Verify	Click to verify the account balance of the Settlement Account.
Save & Close	Click to to save and close the record.
Cancel	Click to cancel the entry.
Cash Collateral Details grid	Below fields appear in the Cash Collateral Details grid along with the above fields.
Collateral %	Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Edit	Click edit link to edit the collateral details.
Account Available Amount	This field displays the account available amount which will be auto-populated based on the settlement account selection.
Deposit Linkage Details	In this section which the deposit linkage details is captured. System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly. Click + plus icon to add new Deposit Linkage details.
Edit	Click edit link to edit the deposit linkage details.
Deposit Linkage Details pop-up screen	Below fields are displayed on the Deposit Linkage Details pop-up screen, if the user clicks plus icon.

Table 2-13 (Cont.) Limit Details - Field Description

Field	Description
Deposit Account	Click Search to search and select deposit for linkage from the list of all the customer Deposits. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.
Deposit Branch	This field displays the deposit branch which will be auto-populated based on the deposit account selection.
Deposit Available Amount	This field displays the deposit available amount and currency which will be auto-populated based on the deposit account selection.
Deposit Maturity Date	This field displays the maturity date of deposit based on the deposit account selection.
Exchange Rate	This field displays the latest exchange rate for deposit linkage. This will be picked up from the exchange rate maintenance from the common core.
Deposit Available In Transaction Currency	This field displays the deposit amount available, after exchange rate conversion, if applicable.
Linkage Percentage %	Specify the value for linkage percentage.
Linkage Amount (Transaction Currency)	This field displays the transaction amount, user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.
Deposit Details grid	Below fields appear in the Deposit Details grid along with the above fields.
Deposit Currency	This field displays the deposit currency.
Transaction Currency	This field displays the transaction currency.

2. Click **Save and Close** to save the details and close the screen.

Commission, Charges and Taxes

This section displays Commission, Charges and Taxes details. On landing the additional tab, charges and tax if any will get defaulted from back end simulation. If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and Taxes

Recalculate Re-default

▼ Commission Details

Single Collection Cycle

☐

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Account	Amendable
No data to display										
Page 1 (0 of 0 items) < 1 >										

▼ Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display										
Page 1 (0 of 0 items) < 1 >										

▼ Tax Details

Component	Type	Value Date	CCY	Amount	Billing	Defer	Settl. Account
No data to display							

Save & Close Cancel

For more information on fields, refer to the field description table below.

Table 2-14 Charge Details - Field Description

Field	Description
Commission Details	This section displays the Commission Details .
Single Collection Cycle for Commission	This field is display only. The value displays as available in Bank Parameter at Back office When Single Cycle toggle is on, back office system follows single cycle for collecting commission as per the issuance commission cycle period for periodic commission.
Commission Collection Method	Select the Commission Collection Method from the following values: • Advance • Arrears The values are defaulted for commission Collection method as per product, which user can change. Based on collection method provided at contract level, for all commission component commission are collected and same is applicable for life cycle of the transaction.
Component	This field displays the commission component.
Rate	This field displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.
Currency	This field displays the currency in which the commission have to be collected.

Table 2-14 (Cont.) Charge Details - Field Description

Field	Description
Amount	This field displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Modified Amount	From the default value, if the amount is changed, the value gets updated in the modified amount field.
Defer	Switch to  for charges/commissions has to be deferred and collected at any future step.
Waive	Switch to  to waive the charges/commissions. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.
Charge Party	Charge party is 'Applicant' by default. User can change the value to Beneficiary.
Settlement Account	Select the settlement account.
Charge Details	This section displays the Charge Details .
Component	This field displays the charge component type.
Tag Currency	This field displays the tag currency in which the charges have to be collected.
Tag Amount	This field displays the tag amount that is maintained under the product code.
Currency	This field displays the currency in which the charges have to be collected.
Amount	This field displays the amount that is maintained under the product code.
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.
Billing	Switch to  to make the details available for billing engine for further processing, if charges are handled by separate billing engine. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPM. The user can not enable/disable the option, if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.

Table 2-14 (Cont.) Charge Details - Field Description

Field	Description
Defer	 Switch to  to defer the charges and collect at any future step. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.
Waive	 Switch to  to waive the charges. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if Defer toggle is enabled.
Charge Party	Charge party is applicant by default. User can change the value to beneficiary.
Settlement Account	Select the settlement account.
Tax Details	This section displays the tax details. The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.
Component	This field displays the tax component.
Type	This field displays the type of tax component.
Value Date	This field displays the value date of tax component.
Currency	This field displays the currency in which the tax have to be collected. The tax currency is the same as the commission.
Amount	This field displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable.
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.
Settlement Account	System defaults the settlement account. The user can modify the settlement account.

- Click **Save and Close** to save the details and close the screen.

Linked Finance Details

This user can view the details of linked finance accounts.

For more information on fields, refer to the field description table below.

Table 2-15 Linked Finance Details

Field	Description
Drawing Reference Number	Displays the drawing reference number.
Drawing Currency	Displays the drawing currency.
Drawing Amount	Displays the drawing amount.
Finance Account	Displays the details of the linked loan account.
Finance Currency	Displays the loan Currency of the linked loan account.
Finance Amount	Displays the loan amount of the linked loan account.

- Click **Save and Close** to save the details and close the screen.

Preview Messages

The bank user can view a preview of the message and advice simulated from back office which is based on the export LC Cancellation captured in the previous screen.

For more information on fields, refer to the field description table below.

Table 2-16 Preview Messages - Field Description

Field	Description
Preview SWIFT Message	This section displays the Preview SWIFT Message details.

Table 2-16 (Cont.) Preview Messages - Field Description

Field	Description
Language	Read only field. English is set as default language for the preview.
Message Type	Select the message type from the drop down.
Message Status	Read only field. This field displays the message status of draft message of liquidation details.
Repair Reason	Read only field. This field displays the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the draft message.
Preview Mail Device	This section displays the Preview Mail Device details.
Language	Read only field. English is set as default language for the preview.
Advice Type	Select the advice type.
Message Status	Read only field. This field displays the message status of draft message of liquidation details.
Repair Reason	Read only field. This field displays the message repair reason of draft message of liquidation details.
Preview Message	This field displays a preview of the advice.

5. Click **Save and Close** to save the details and close the screen.
6. Click **Next**.

The task will move to next data segment.

For more information on action buttons, refer to the field description table below.

Table 2-17 Additional Details - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for Export LC Closure - Islamic. Place holders are also available to upload additional documents submitted by the applicant. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Closure-Islamic. This information can be viewed by other users handling the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.

Table 2-17 (Cont.) Additional Details - Action Buttons - Field Description

Field	Description
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.

Table 2-17 (Cont.) Additional Details - Action Buttons - Field Description

Field	Description
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.5 Settlement Details

This topic provides the systematic instructions to capture the settlement details of Export LC Closure - Islamic request.

System simulates the settlement details from back office and display the same in this screen.

1. On **Settlement Details** screen, specify the fields.

Figure 2-15 Settlement Details

For more information on fields, refer to the field description table below.

Table 2-18 Settlement Details – Field Description

Field	Description
Current Event	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
Component	This field displays the components based on the product selected.
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the the description of the selected account.

Table 2-18 (Cont.) Settlement Details – Field Description

Field	Description
Account Currency	This field displays the currency for all the items based on the account number.
Netting Indicator	This field displays the applicable netting indicator.
Current Event	This field displays the current event.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	This exchange rate.
Deal Reference Number	This exchange deal reference number.

2. Click any component in the grid.

Party Details

Table 2-19 Party Details – Field Description

Field	Description
Transfer Type	Select the transfer type from the drop-down list. The options are: • Customer Transfer • Bank Transfer for own account • None • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer
Charge Details	Select the charge details for the transaction. The options are: • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges
Netting Indicator	Select the netting indicator for the component. The options are: • Yes • No
Ordering Customer	Click search icon to search and select the ordering customer from the look up.
Ordering Institution	Click search icon to search and select the ordering institution from the look up.
Senders Correspondent	Click search icon to search and select the senders correspondent from the look up.
Receivers Correspondent	Click search icon to search and select the receivers correspondent from the look up.
Intermediary Institution	Click search icon to search and select the intermediary institution from the look up.
Account with Institution	Click search icon to search and select the account with institution from the look up.
Beneficiary Institution	Click search icon to search and select the beneficiary institution from the look up.
Ultimate Beneficiary	Click search icon to search and select the ultimate beneficiary from the look up.

Table 2-19 (Cont.) Party Details – Field Description

Field	Description
Intermediary Reimbursement Institution	Click search icon to search and select the intermediary reimbursement institution from the look up.
Receiver	Click search icon to search and select the Receiver from the look up.

Payment Details**Table 2-20 Payment Details - Field Description**

Field	Description
Sender to Receiver 1	Specify the sender to receiver message.
Sender to Receiver 2	Specify the sender to receiver message.
Sender to Receiver 3	Specify the sender to receiver message.
Sender to Receiver 4	Specify the sender to receiver message.
Sender to Receiver 5	Specify the sender to receiver message.
Sender to Receiver 6	Specify the sender to receiver message.

Remittance Information**Table 2-21 Remittance Information – Field Description**

Field	Description
Payment Detail 1	Specify the payment details.
Payment Detail 2	Specify the payment details.
Payment Detail 3	Specify the payment details.
Payment Detail 4	Specify the payment details.

3. Click Next.

The task will move to next data segment.

Table 2-22 Settlement Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for export LC Closure. Place holders are also available to upload additional documents submitted by the applicant. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.

Table 2-22 (Cont.) Settlement Details - Action Buttons - Field Description

Field	Description
Remarks	Specify any additional information regarding the Export LC Closure. This information can be viewed by other users handling the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
View LC	Click View LC to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields..
View LC Events	Click to view the LC events.
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2-22 (Cont.) Settlement Details - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.6 Summary

This topic provides the systematic instructions to view the summary details in Scrutiny stage of Export LC Closure - Islamic request.

User can review the summary of details updated in Scrutiny stage of Export LC Closure - Islamic request.

The user can view the summary tiles in the Summary section. The Summary tiles display a list of important fields with values. User can drill down from Summary tiles into respective data segments.

1. On **Summary** screen, click  on any tile to view the details.

Figure 2-16 Summary

Islamic Export LC Closure DataEnrichment :: Application No:- PK2IECL000064549

Clarification Details Documents Remarks Overrides Customer Instruction

Main Details Additional Fields Advises Additional Details Settlement Details Summary

Screen(6/6)

Summary

Main Details		Parties Details		Limits and Collaterals	
Form of LC	: Usance	Issuing Bank	: RABO BANK	Contribution Currency	:
Submission Mode	: Desk	Beneficiary	: MARKS AND SP...	Amount to Earmark	:
Date of Issue	: 2022-04-20	Applicant	: PK2WALKIN1	Limit Status	: Not Verified
Date of Expiry	: 2022-07-19			Collateral Currency	:
				Collateral Contribution	:
				Collateral Status	: Not Verified
				Deposit Linkage Currency	:
				Deposit Linkage Amount	:

Commission,Charges and Taxes		Preview Message		Accounting Details	
Charge	:	Language	: ENG	Event	:
Commission	:	Preview Message	: -	AccountNumber	:
Tax	:			Branch	:
Block Status	: Not Initiated				

Settlement Details		Linked Finance Details		FX Linkage	
Component	:	LoanAcc	:	Reference Number	:
Account Number	:	Loan Currency	:	Linkage Amount	:
Currency	:	Loan Amount	:	Contract Currency	:

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back Submit

Tiles Displayed in Summary

- Main Details - User can view the details about application and LC details. User can only view but cannot edit any of the details.
- Parties Details - User can view party details like beneficiary, advising bank etc. User can only view but cannot edit any of the details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Commission, Charges and taxes - User can view the charge details. User can only view but cannot modify the details.
- Preview Messages - User can view the details of preview messages.
- Accounting Details - User can view the accounting entries generated by back office system.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Settlement Details - User can view the settlement details.
- Linked Finance Details - User can view the linked loan details.

2. Click **Submit**.

The task will move to next logical stage.

Table 2-23 Summary - Action Buttons - Field Description

Field	Description
Documents	Upload the required documents. Application displays mandatory documents to be uploaded for export LC Closure - Islamic. Place holders are also available to upload additional documents submitted by the applicant. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.
Remarks	Specify any additional information regarding the Export LC Closure - Islamic. This information can be viewed by other users handling the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following. • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2-23 (Cont.) Summary - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, task moves to previous logical step.
Submit	Task will get moved to next logical stage of Export LC Closure - Islamic. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

2.4 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval process.

The Approval user can approve a Export LC Closure - Islamic transaction.

1. Log in into OBTFPM application and on **Home** screen, click, **Task**.
2. Under **Task**, click **Free Task**.
3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to approve the task.

The **Approval Re-Key** pop-up screen appears.

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

5. Open the task and re-key some of the critical field values from the request in the **Approval Re-Key** screen.

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

6. Click **Proceed** to proceed for the approval.

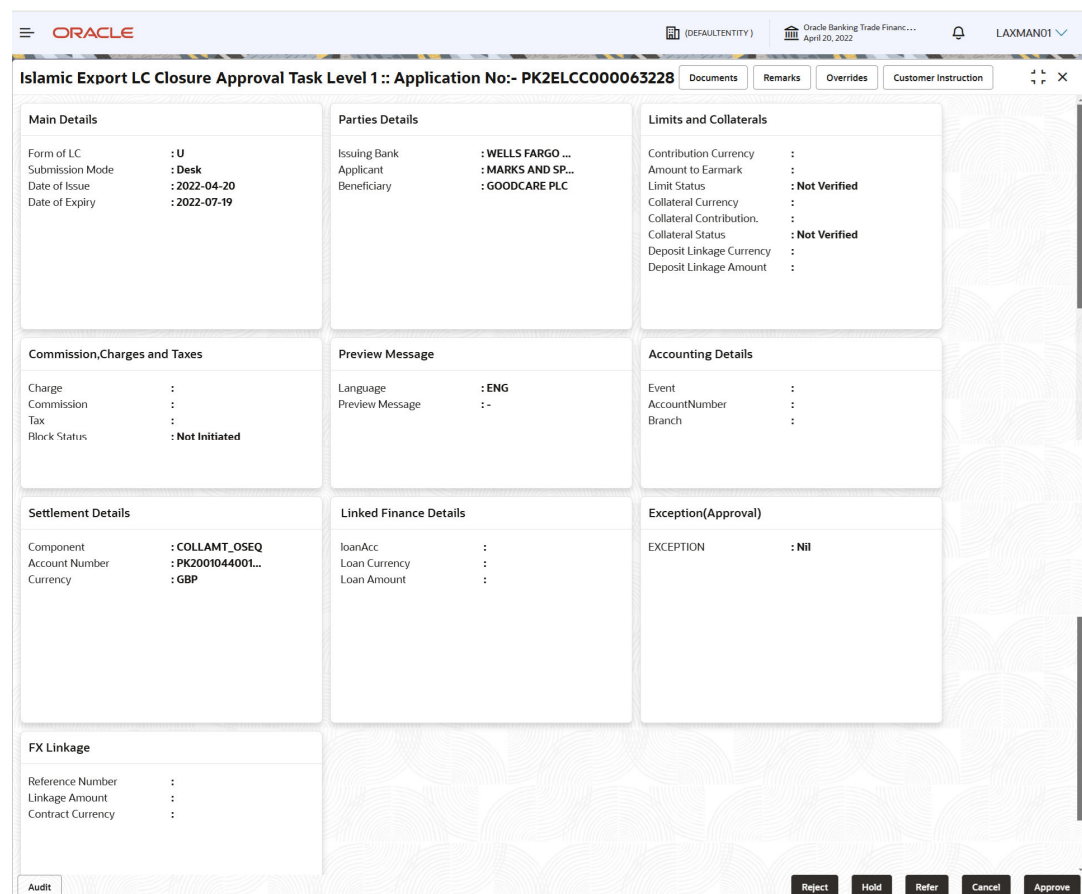
The **Approval Summary** screen appears. The user can view the Summary tiles which displays list of important fields with values.

7. Click each tile to drill down from summary tiles into respective data segments to verify the details of all fields under the data segment.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Approval Summary



Islamic Export LC Closure Approval Task Level 1 :: Application No:- PK2ELCC000063228

Main Details	Parties Details	Limits and Collaterals
Form of LC : U	Issuing Bank : WELLS FARGO ...	Contribution Currency :
Submission Mode : Desk	Applicant : MARKS AND SP...	Amount to Earmark :
Date of Issue : 2022-04-20	Beneficiary : GOODCARE PLC	Limit Status : Not Verified
Date of Expiry : 2022-07-19		Collateral Currency :
		Collateral Contribution :
		Collateral Status : Not Verified
		Deposit Linkage Currency :
		Deposit Linkage Amount :

Commission,Charges and Taxes	Preview Message	Accounting Details
Charge :	Language : ENG	Event :
Commission :	Preview Message : -	AccountNumber :
Tax :		Branch :
Block Status : Not Initiated		

Settlement Details	Linked Finance Details	Exception(Approval)
Component : COLLAMT_OSEQ	LoanAcc :	EXCEPTION : Nil
Account Number : PK2001044001...	Loan Currency :	
Currency : GBP	Loan Amount :	

FX Linkage
Reference Number :
Linkage Amount :
Contract Currency :

Audit **Reject** **Hold** **Refer** **Cancel** **Approve**

Tiles Displayed in Summary:

- **Main Details** - User can view the details about application and LC details. User can only view but cannot edit any of the details.
- **Parties Details** - User can view party details like beneficiary, advising bank etc. User can only view but cannot edit any of the details.
- **Limits and Collaterals** - User can view the limits and collateral details. User can only view but cannot modify the details.

- Commission, Charges and taxes - User can view the charge details. User can only view but cannot modify the details.
- Preview Messages - User can view the details of preview messages.
- Accounting Details - User can view the accounting entries generated by back office system.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Settlement Details - User can view the settlement details.
- Linked Finance Details - User can view the linked loan details.

For more information on Action Buttons, refer to the field description table below.

Table 2-24 Approval Summary - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the Export LC Closure - Islamic. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
View LC	Click View LC to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields..

Table 2-24 (Cont.) Approval Summary - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Cancel	Cancel the Approval stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.

8. Click **Approve**.

2.5 Handoff

This chapter is documented to get familiar with the Handoff stage of Export LC Closure process.

On Approve, the task is handed off to the back office for postings. In the back office, the relevant accounting entries are posted, advises are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task lands to retry handoff queue. The user can manually try to initiate handoff.

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