Oracle Banking Trade Finance Process Management

Import LC Update Drawing User Guide

Release 14.8.1.0.0
G46104-01
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Oracle Banking Trade Finance Process Management Import LC Update Drawing User Guide, Release 14.8.1.0.0

G46104-01

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Preface

Purpose

This manual is designed to help you quickly get acquainted with the Oracle Banking Trade Finance Process Management **Import LC Drawing Update** process.

Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

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Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and BulletinsCritical Patches, Security Alerts and Bulletins. All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security AssuranceOracle Software Security Assurance.

Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
`monospace`	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide

- Oracle Banking Common Core User Guide

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Table 1 Acronyms and Abbreviations

Abbreviation	Description
OBTFPM	Oracle Banking Trade Finance Process Management
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
CCY	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

Basic Actions

Most of the screens contain Action Buttons to perform all or a few of the basic actions. The actions which are called here are generic, and it varies based on the usage and the applicability. The table below gives a snapshot of them:

Table 2 Common Action Buttons and its Definitions

Action Buttons	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2 (Cont.) Common Action Buttons and its Definitions

Action Buttons	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Click **Cancel** to cancel the transaction input midway without saving any data.
Save & Close	Click **Save & Close** to save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Next	Click **Next**, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.
Submit	Click **Submit** to complete the transaction after you specify all the input parameters for a particular process. The task will get moved to next logical stage of the process. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 3 Symbols and Icons - Common

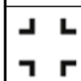
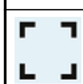
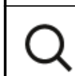
Symbol/Icon	Function
	Minimize
	Maximize
	Close
	Perform Search
	Open a list

Table 3 (Cont.) Symbols and Icons - Common

Symbol/Icon	Function
	Date Range
	Add a new record
	Navigate to the first record
	Navigate to the last record
	Navigate to the previous record
	Navigate to the next record
	Grid view
	List view
	Refresh
	Click this icon to add a new row.
	Click this icon to delete a row, which is already added.
	Calendar
	Alerts
	Unlock Option
	View Option
	Reopen Option

Table 4 Symbols and Icons - Widget

Symbol/Icon	Function
	Open status
	Unauthorized status
	Rejected status
	Closed status
	Authorized status
	Modification Number

1

Oracle Banking Trade Finance Process Management

This topic helps you quickly get acquainted with the Oracle Banking Trade Finance Process Management process.

Welcome to the Oracle Banking Trade Finance Process Management User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

Oracle Banking Trade Finance Process Management is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. Oracle Banking Trade Finance Process Management enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

Oracle Banking Trade Finance Process Management helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank’s existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

2 Import LC Update Drawings

This process illustrates the update to an Import LC Update Drawings handled in OBTFPM

For Drawings under Import LC, initiated on account of MT 750, update of drawing under Import LC is initiated to process the documents received from the presenting bank under the LC.

This topic contains following subtopics:

- Common Initiation Stage
  This topic provides the systematic instructions to initiate the new **Import LC drawing Update** request.
- Registration
  This topic provides the systematic instructions to initiate the **Registration** stage of **Import LC Drawings Update** request.
- Scrutiny
  This topic provides the systematic instructions to initiate the Scrutiny stage of import LC drawing update request.
- Data Enrichment
  This topic provides the systematic instructions to initiate the Data Enrichment stage of import LC update drawing request.
- Exceptions
  This topic helps you quickly get acquainted with the Exceptions process.
- Multi Level Approval
  This topic helps you quickly get acquainted with the Multi Level Approval of Import LC Update Drawing process.

2.1 Common Initiation Stage

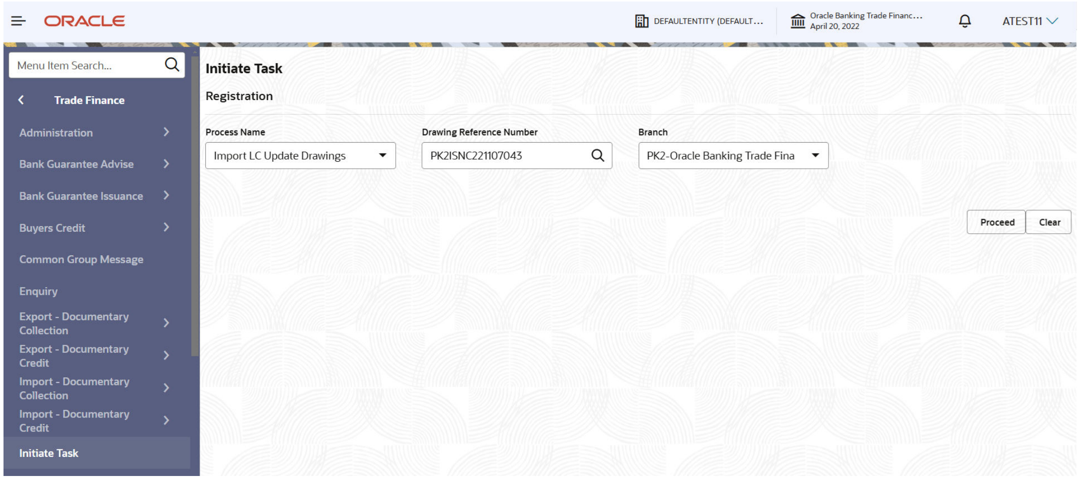
This topic provides the systematic instructions to initiate the new **Import LC drawing Update** request.

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Initiate Task**.

   The **Initiate Task** screen appears.

Figure 2-1   Initiate Task



2. On **Initiate Task** screen, specify the fields.

> **Note**
>
> The fields which are marked as **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-1   Initiate Task - Field Description

Field	Description
Process Name	Select a process name from the drop-down list.
Drawing Reference Number	Click **Search** to search and slect the Drawing Reference Number.
Branch	Select the required branch code from the drop-down list.

For more information on action buttons, refer to the field description table below.

Table 2-2   Action Buttons - Field Description

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	Click to clear the contents update and enter the values again.

3. Click **Proceed** to proceed to the next step.

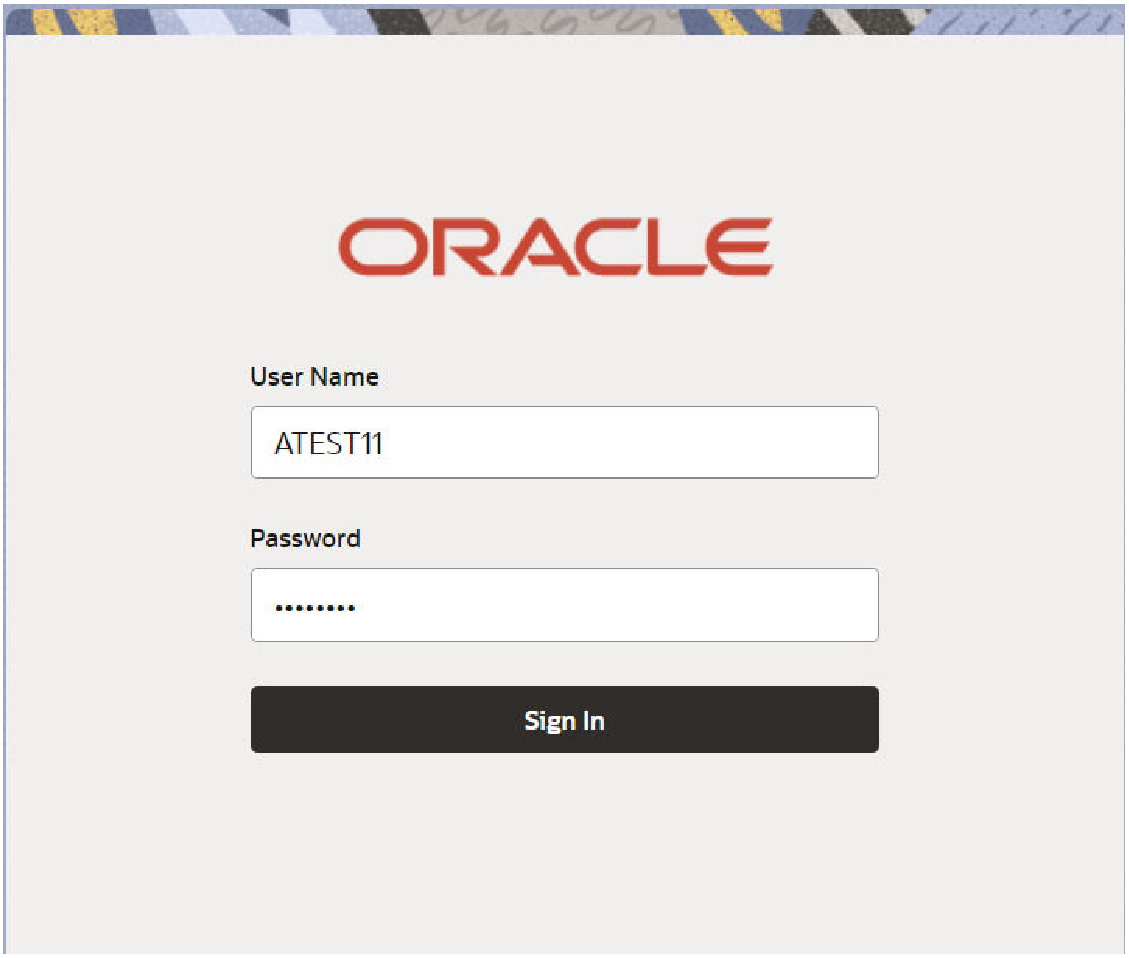
2.2 Registration

This topic provides the systematic instructions to initiate the **Registration** stage of **Import LC Drawings Update** request.

During Registration stage, user can capture the basic details of the drawings update application and upload the related documents received from presenting bank. It also enables the user to capture some additional product related details as an option. On submit of the request, will be available for an LC expert to handle the request in the next stage.

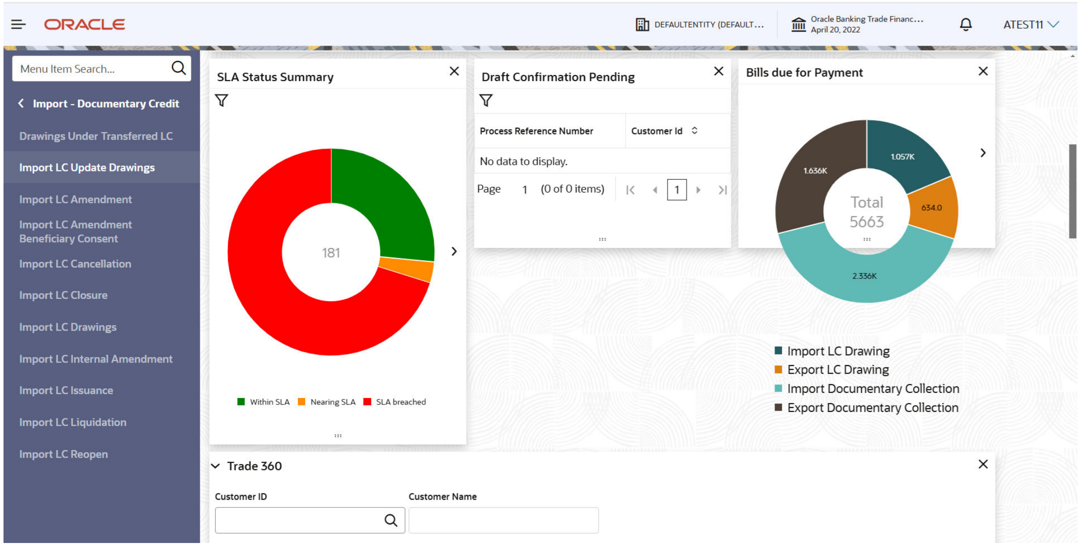
Specify **User ID** and **Password**, and login to **Home** screen.

Figure 2-2   LogIn Screen



1. On **Home** screen, click **Trade Finance**. Under **Trade Finance**, click **Import Documentary Credit**.
2. Under **Import Documentary Credit**, click **Import LC Update Drawings**.

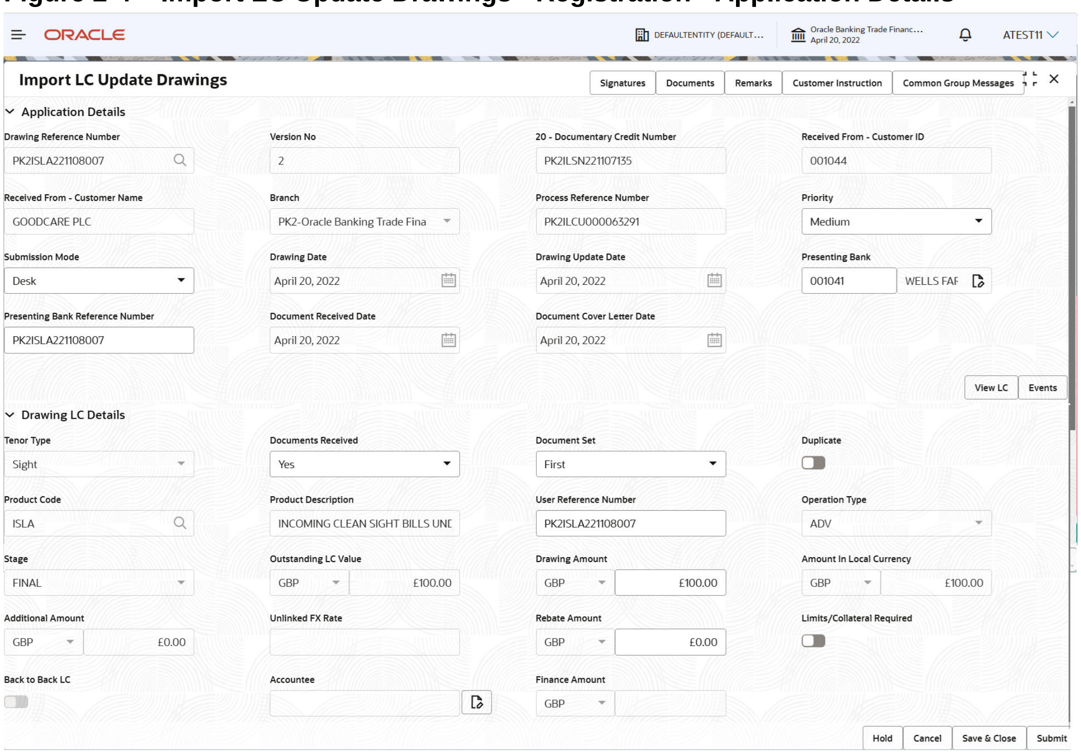
Figure 2-3 Import LC Update Drawings



The **Import LC Update Drawings** screen appears.

The Import LC Update Drawings - Registration stage has two sections Application Details and LC Drawing Details. Let's look at the details of Registration screens below:

Figure 2-4 Import LC Update Drawings - Registration - Application Details



3. On **Import LC Update Drawings - Registration - Application Details** screen, specify the fields.

> **Note**
>
> The fields which are marked in **Required** are mandatory.

Table 2-3 Import LC Update Drawings - Registration - Application Details - Field Description

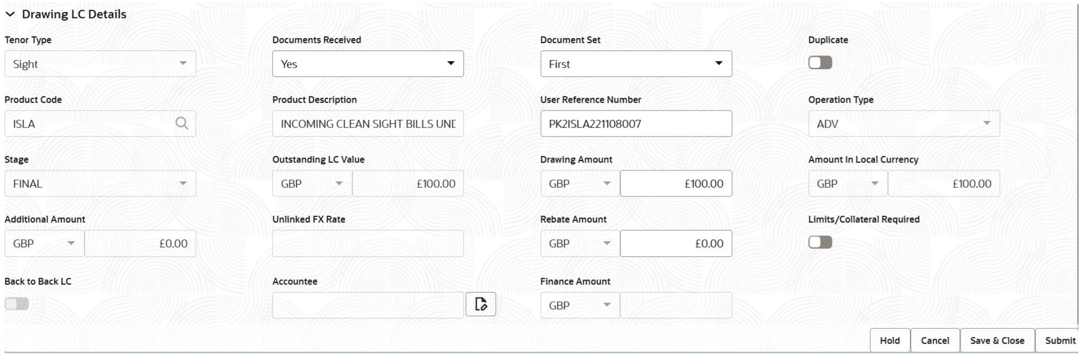
Field	Description
Documentary Reference Number	Specify the documentary reference number. Alternatively, click **Search** to search and select the documentary reference number. In lookup search/advanced lookup search, user can input Drawing Reference Number, LC Reference Number, Counter Party, Beneficiary,Currency, Amount, Issue Date, Ext Reference Number and User Reference Number to fetch the LC details. Based on the search result, select the applicable LC for drawing. **Note** In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.
Version No	Read only field. Version number is the versions of the drawing update.
Documentary Credit Number	Read only field. Documentary credit number will be auto-populated based on the selected LC from the lookup.
Received From - Customer ID	Read only field. Customer ID will be auto-populated as per the value available in OBTF under “Customer ID” in Main Screen for a Bill in BCDTRONL.
Received From - Customer Name	Read only field. Customer name will be auto-populated based on the selected LC from the lookup.
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the lookup.
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.

Table 2-3 (Cont.) Import LC Update Drawings - Registration - Application Details - Field Description

Field	Description
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of Registration stage.
Submission Mode	System will populate the submission mode of Import LC Drawing update request. By default the submission mode will have the value as 'Desk' for transactions created via registration. • **Desk** - Request received through Desk • **Courier** - Request received through Courier • **Email** - Request received through Mail • **Fax** - Request received through Fax The user can change the submission mode.
Drawing Date	Read only field. Drawing date will be auto-populated based on the selected Drawing.
Drawing Update Date	Read only field. By default, the application will display branch's current date and does not enables the user to change the date to any back date. **Note** User cannot change the date to a back date.
Presenting Bank	Presenting bank details will be auto-populated based on the selected LC from the look-up. User can edit this field.
Presenting Bank Reference Number	Presenting bank reference number will be auto-populated based on the selected LC. The user can change the presenting bank reference number.
Document Received Date	Read only field. This field displays the date on which document is received. By default, the application will display branch's current date.
Document Cover Letter Date	Read only field. This field displays the document cover letter date.

Drawings LC Details

Registration user can provide drawing details in this section. Alternately, drawing details can be provided by Scrutiny user.



4. On **Import LC Update Drawings - Registration - Drawings LC Details** screen, specify the fields.

> **Note**
>
> The fields which are marked in **Required** are mandatory.

For more information on fields, refer to the field description table below.

Table 2-4 Import LC Update Drawings - Registration - Drawings LC Details - Field Description

Field	Description
Tenor Type	Read only field. Displays the tenor type. The tenor type can be: • **Sight** • **Usance** • **Multi Tenor**
Documents Received	Select the document received status from the drop-down. The options are: • **Yes** • **No** **Note** In case a drawing was booked without documents (For example against MT750) and the documents are subsequently received from the Presenting Bank, user has to initiate the update drawing Process. User will mark the Documents Received as Yes, however the user will not select the duplicate document toggle. Instead the user will go to “Document Details “hop and capture the document details.

Table 2-4 (Cont.) Import LC Update Drawings - Registration - Drawings LC Details - Field Description

Field	Description
Documents Set	Select the number of sets of documents received from the drop-down. The options are: • **First** • **Second** • **Both** The user has to select the option as 'Second' and has to set the "Duplicate" toggle to Yes, if a Duplicate (second set) of Import document is received.
Duplicate	Switch to  , if documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit.
Product Code	Read only field. Product code will be auto-populated based on the selected drawing reference number.
Product Description	Read only field. This field displays the description of the product as per the product code.
User Reference Number	System defaults the user reference number based on the product code. The user can change the user reference number.
Operation Type	Select the operation type from the drop-down. The options are: • **ACC** • **DIS** • **ADV** This field is non editable for Immediate Liquidation product.
Operation Type	System defaults the operation type. The options are: • **ACC** • **DIS** • **ADV** The user can change the operation type. **Note** This field is non editable for Immediate Liquidation product.

Table 2-4 (Cont.) Import LC Update Drawings - Registration - Drawings LC Details - Field Description

Field	Description
Stage	The value in this field is auto-populated. The options are: • **Initial** • **Final** The user can change the value of Stage. **Note** This field is non editable for Immediate Liquidation product.
Outstanding LC Value	Read only field. Outstanding LC value is auto-populated. This field displays the value as per the latest LC details.
Drawing Amount	The drawing amount under the LC for which documents have been submitted. This field is auto-populated from the sytem. The user can change the value.
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Additional Amount	Read only field. This field displays any additional amount to be included under the LC drawing.
Unlinked FX Rate	Specify the unlinked FX rate, if value in the **Operation Type** field is **DIS**. This field is disabled if **Operation Type** is **ACC** and **ADV**.
Rebate Amount	Specify the value for rebate to the bill outstanding amount.
Limits/Collateral Required	Switch to  , if limits/collateral is required.
Back to Back LC	Read only field. Flag to check if the Import LC is a back to back LC. Enabled option displays that the Import LC is a back to back LC. Disabled option displays that back to back LC is not applicable.
Accountee	Read only field. This field displays the accountee based on the selected LC.
Finance Amount	Specify the value for finance amount, if value in the **Operation Type** field is **DIS**.

5. Click **Submit**.

   The task will move to next logical stage of **Import LC Update Drawings**.
   For more information on action buttons, refer to the field description table below.

Table 2-5 Import LC Update Drawings - Registration - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Documents	Upload the documents received under the LC. Application displays mandatory documents to be uploaded for Import LC Drawings. Place holders are also available to upload additional documents submitted by the applicant
Remarks	Specify any additional information regarding the Drawings. This information can be viewed by other users processing the request.
Customer Instruction	Click to view/ input the following: • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click to send MT799 and MT999 messages from within the task. A walk-in customer of the receiving bank can be sent a common group message by the BIC.
View LC	Click to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields. System displays ‘Received from customer ID ‘and ‘Received from Party’ along with other party details.
Events	Click to view the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from Issuance.
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancels the Import LC Update Drawings task. Details entered will not be saved and the task will be removed.
Save & Close	Save the information provided and holds the task in ‘My Task’ queue for working later. This option will not submit the request.
Submit	Click Submit, task will get moved to next logical stage of Import LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit. The checklist items under Registration Stage are: Application signed and stamped Customer signature verified Any correction or alteration initialed by the applicant.

- Document Linkage
  This topic provides the systematic instructions to initiate the document linkage.
- Bi-Directional Flow for Offline Transactions Initiated from OBTFPM
  This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

2.2.1 Document Linkage

This topic provides the systematic instructions to initiate the document linkage.

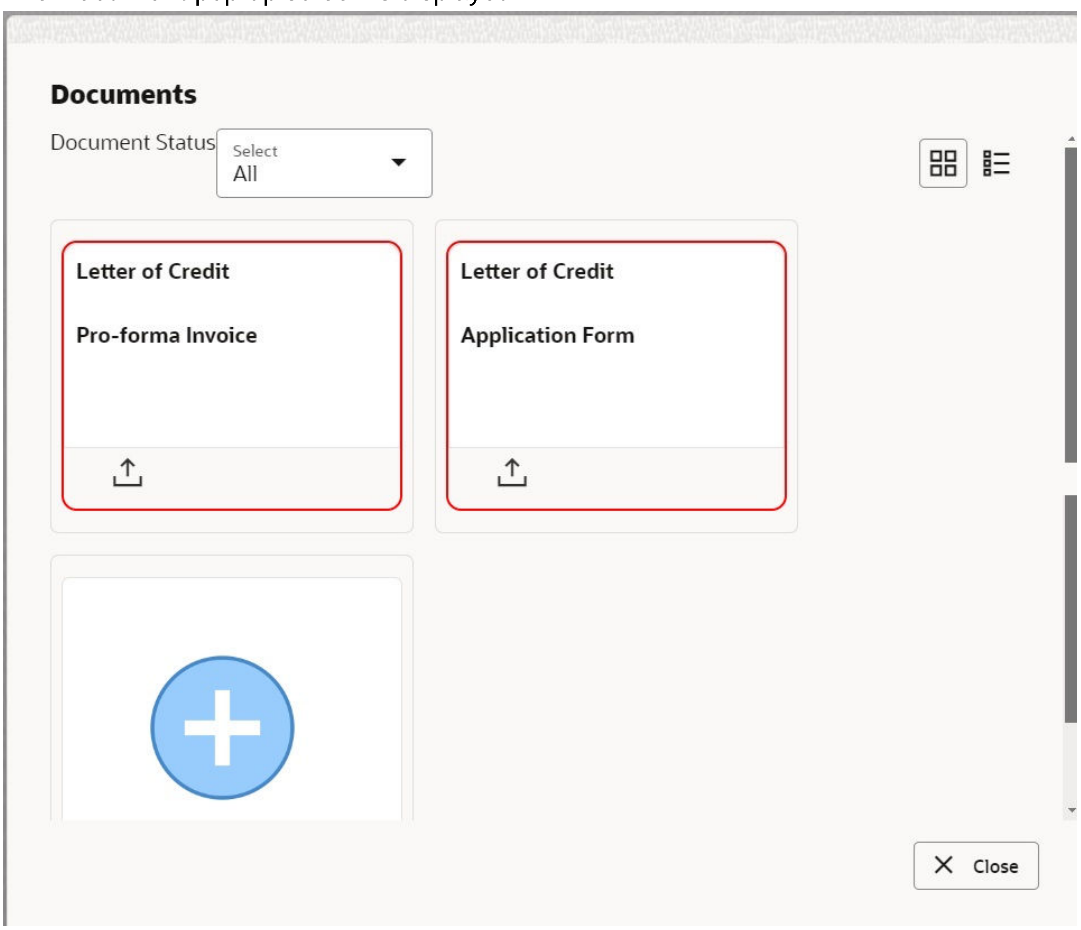
In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

Specify **User ID** and **Password**, and navigate to **Registration** screen.

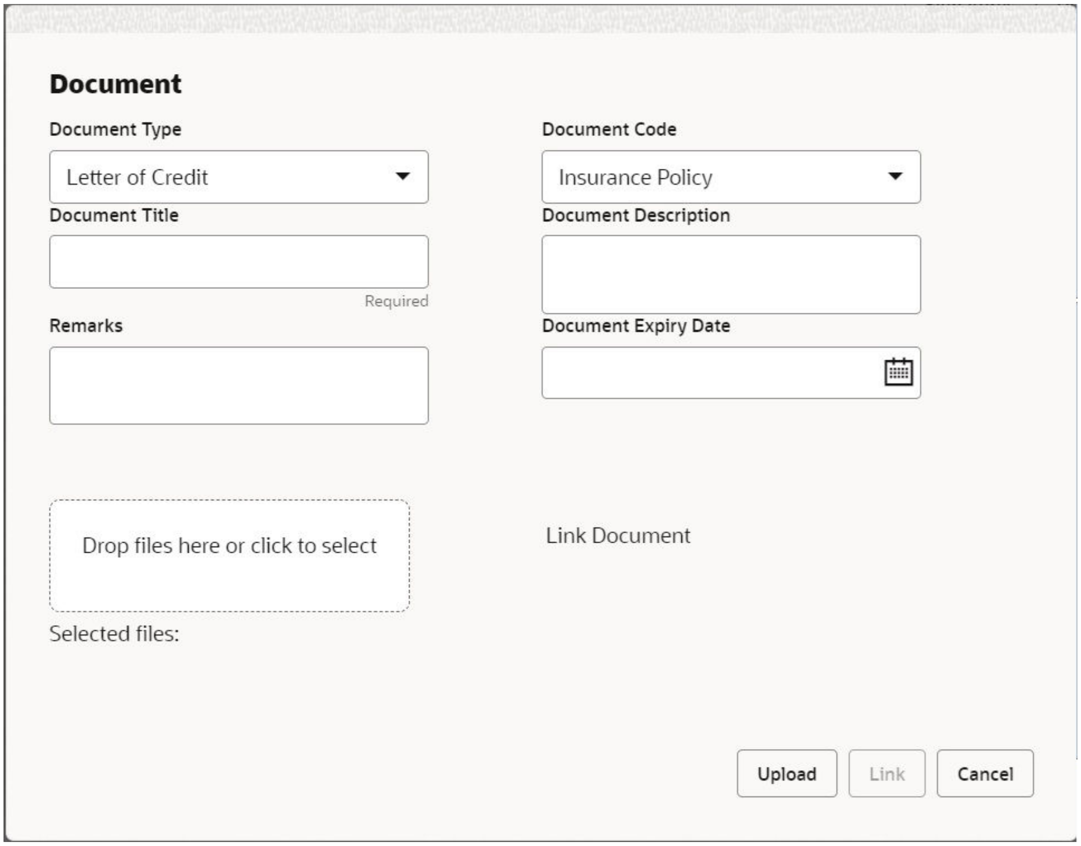
1. On the header of **Registration** screen, click, **Documents**.

   The **Document** pop-up screen is displayed.

2. Click the **Add Additional Documents** button/ link.

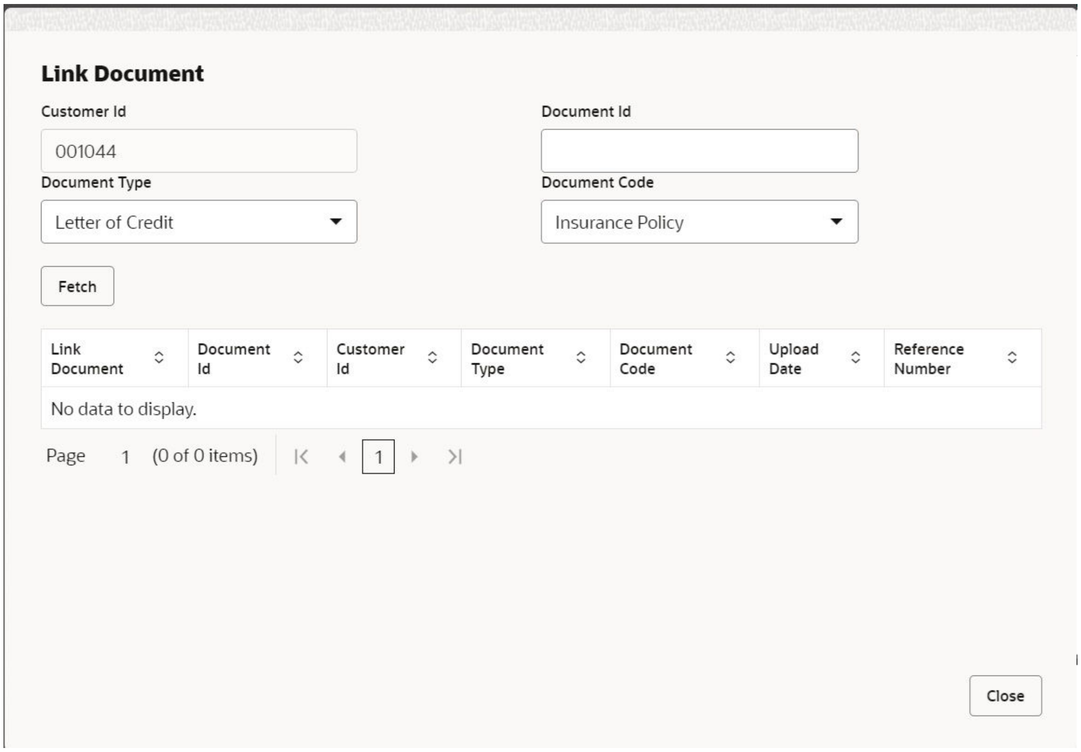
The **Document** screen is displayed.



Field	Description
Document Type	Select the document type from list. Indicates the document type from metadata.
Document Code	Select the document Code from list. Indicates the document Code from metadata.
Document Title	Specify the document title.
Document Description	Specify the document description.
Remarks	Specify the remarks.
Document Expiry Date	Select the document expiry date.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.

3. Select the document to be uploaded or linked and click the **Link Document** link.

   The link **Document** pop up screen is displayed.
   The value selected in **Document Type** and **Document Code** of **Document** screen are defaulted in the **Link Document Search** screen.

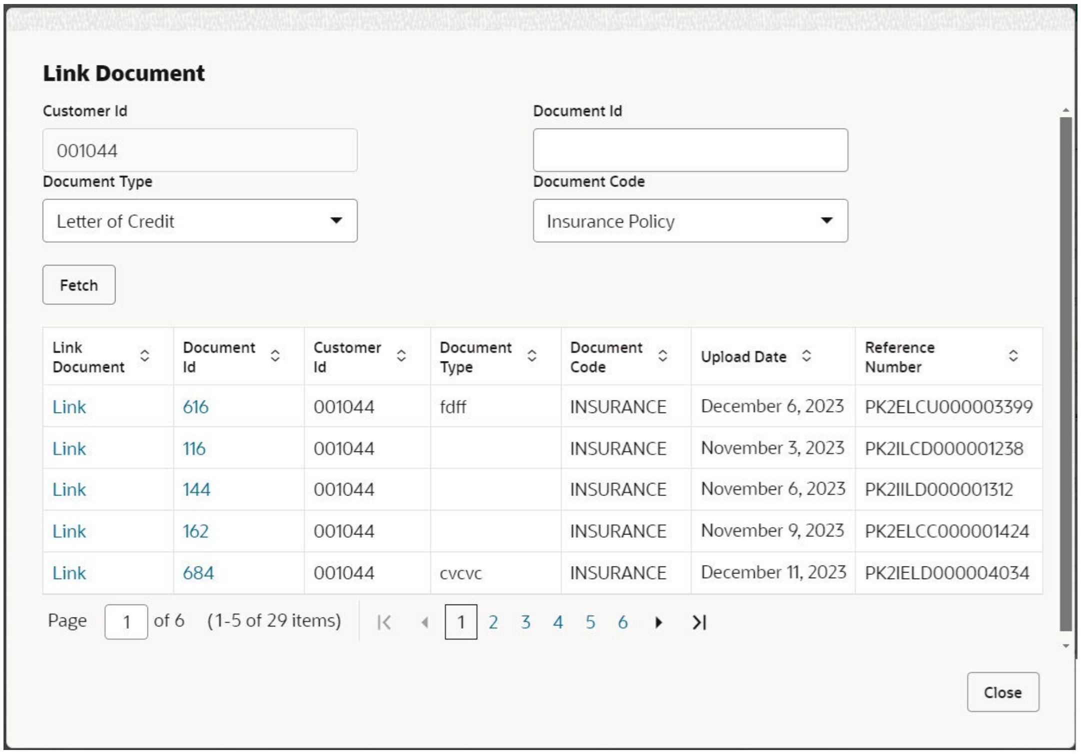


4. Click **Fetch** to retrieve the details from DMS.

   System displays all the documents available for the given **Document Type** and **Document Code** for the customer.

Field	Description
Customer ID	This field displays the transaction Customer ID.
Document ID	Specify the document ID.
Document Type	Select the document type from list.
Document Code	Select the document Code from list.
Search Result	
Document ID	This field displays the document ID from metadata.
Customer ID	This field displays the transaction customer ID.
Document Type	This field displays the document type from metadata.
Document Code	This field displays the document code from metadata.
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.
Upload Date	The field displays the upload date of the document.
Reference Number	The field displays the reference number of the document.

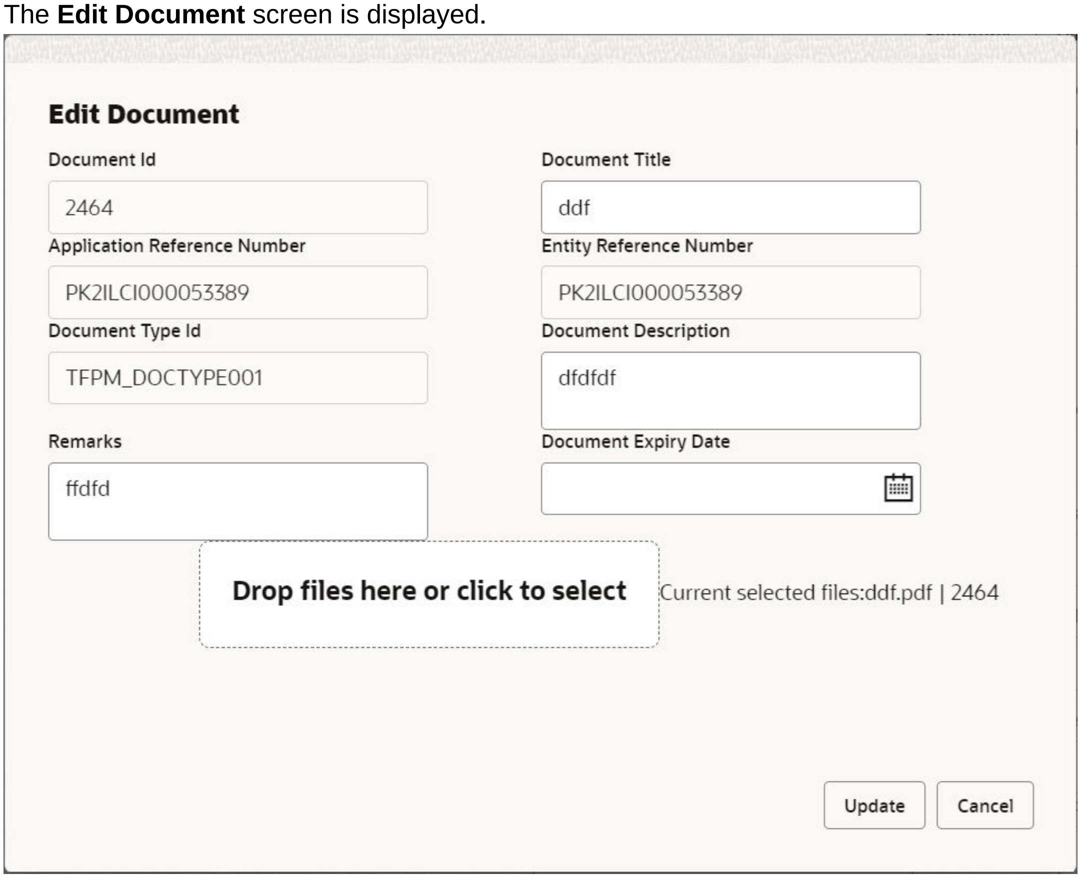
5. Click **Link** to link the particular document required for the current transaction.



Post linking the document, the user can View, Edit and Download the document.

6. Click **Edit** icon to edit the documents.

   The **Edit Document** screen is displayed.



2.2.2 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.
Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
- Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.

1. Customer Maintenance details are replicated from OBTF to OBTFPM.
2. In OBTFPM, user clicks **Request Clarification**, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is “Online”. In case submission mode is “Online”, the user can enter the clarification details in “Clarification Required” placeholder.
3. In case submission mode is not “Online”, the system will validates if the counterparty is a OBDX customer by checking the flag “Trade Finance Portal” in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
4. In case submission mode is not “Online”, and if the “Trade Finance Portal” flag is set to ‘No’ in Customer Maintenance Table, the system should display the error message that ‘The customer is not subscribed to Trade Finance Portal’. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

2.3 Scrutiny

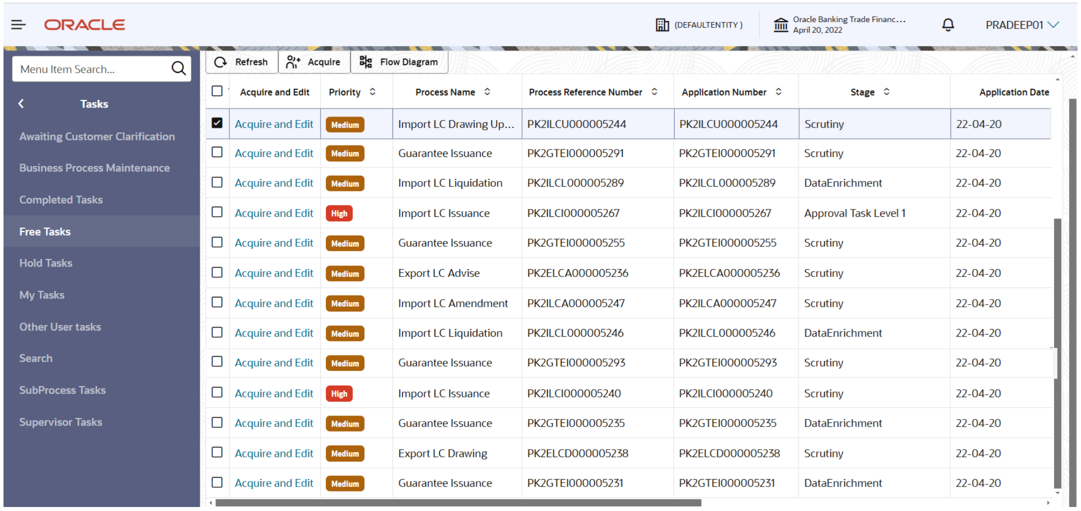
This topic provides the systematic instructions to initiate the Scrutiny stage of import LC drawing update request.

On successful completion of Registration of an Import LC drawing update, the task moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.
Do the following steps to acquire a task currently at Scrutiny stage:

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click **Task**.
2. Under **Task**, click **Free Task**.

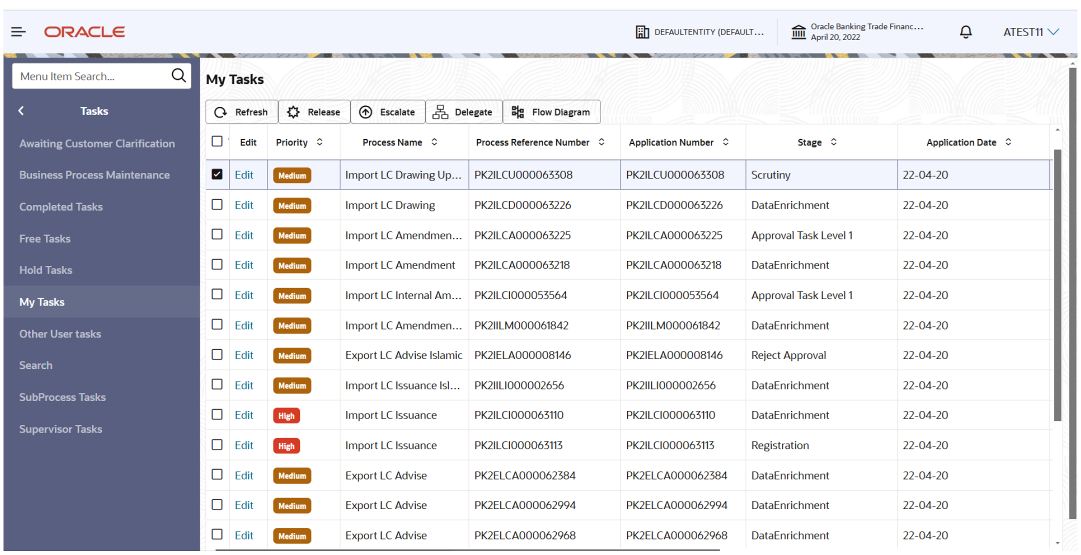
Figure 2-5 Free Task



The **Free Task** screen appears.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Figure 2-6 My Task



Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the application details that are already having value from Registration channels may not be editable.

The Scrutiny stage has the following hops for data capture:

- [Main Details](#)
  This topic provides the systematic instructions to initiate the Main Details of Scrutiny stage of import LC Drawings Update request.

- Drawing Summary
  This topic provides the systematic instructions to capture the Drawing Summary details.
- Additional Fields
  This topic provides the systematic instructions to capture the additional fields.
- Other Details
  This topic provides the systematic instructions to capture the other details like Shipping Guarantee reference, Credit Value Date, Debit Value Date, Other Bank charges etc.
- Maturity Details
  This topic provides the systematic instructions to capture the maturity details.
- Additional Details
  This topic provides the systematic instructions to capture the additional details.
- Summary
  This topic provides the systematic instructions to view the summary of import LC Update Drawing.

2.3.1 Main Details

This topic provides the systematic instructions to initiate the Main Details of Scrutiny stage of import LC Drawings Update request.

Main details section has two sub section as follows:

- Application Details
- Drawing LC Details.

Application Details

This section provides a quick snapshot of details of LC. All fields displayed under application details section, would be read only except for the Priority. This section is collapsible. For more information on the fields, refer **Application Details** of **Registration** stage.

1. On **Scrutiny - Main Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-7 Scrutiny - Main Details

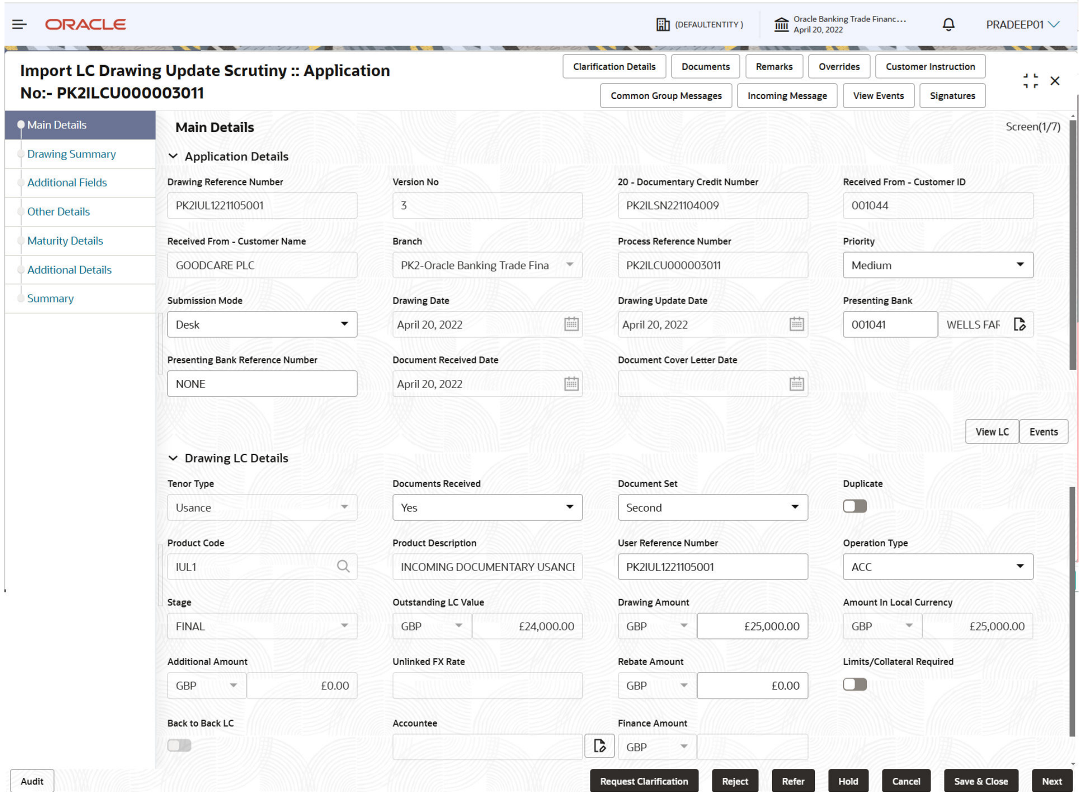


Table 2-6 Scrutiny - Main Details - Field Description

Field	Description
Drawing Reference Number	Read only field. The drawing reference number is auto-populated, as selected in the **Registration** stage.
Version No	Read only field. Version number is the versions of the drawing update.
Documentary Credit Number	Read only field. Documentary credit number will be auto-populated based on the selected LC from the lookup.
Received From - Customer ID	Read only field. Customer ID will be auto-populated based on the selected LC from the lookup.
Received From - Customer Name	Read only field. Customer name will be auto-populated based on the selected LC from the lookup.
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the lookup.

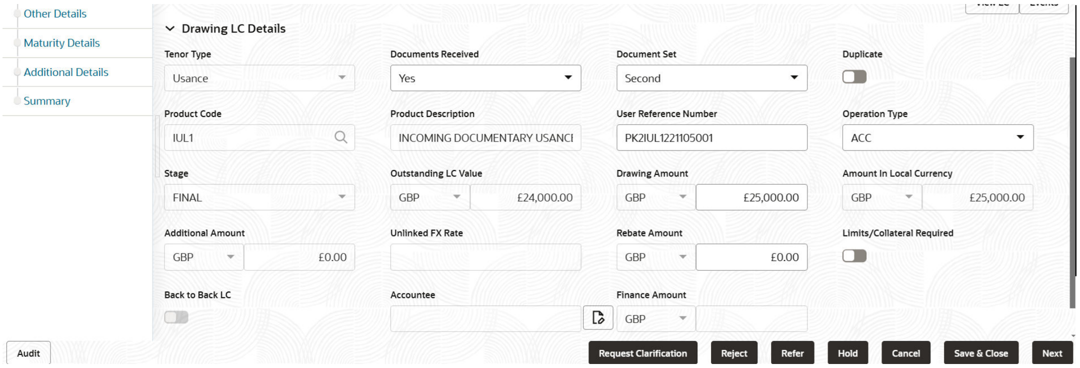
Table 2-6 (Cont.) Scrutiny - Main Details - Field Description

Field	Description
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of Registration stage.
Submission Mode	The submission mode of Import LC Drawing update request is defaulted by the system. By default the submission mode will have the value as ‘Desk’ for transactions created via registration. The user can change the submission mode. • Desk - Request received through Desk • Courier - Request received through Courier • Mail - Request received through Mail • Fax - Request received through Fax
Drawing Date	Read only field. Drawing date will be auto-populated based on the selected Drawing.
Drawing Update Date	Read only field. By default the application displays the branch’s current date.
Presenting Bank	Presenting bank details will be auto-populated based on the selected LC from the look-up. User can edit this field.
Presenting Bank Reference Number	Presenting bank reference number will be auto-populated based on the selected LC. User can edit this field.
Document Received Date	Read only field. By default, the application will display branch’s current date.
Document Cover Letter Date	Read only field. This field displays the document cover letter date.

Drawing LC Details

The fields listed under this section are same as the fields listed under the Drawing LC Details section in Registration stage. For more information on the fields, refer to **Drawing LC Details** of **Registration** stage. During Registration, if user has not captured input, then user can capture the details in this section.

Figure 2-8   Drawing LC Details



Provide the details for the fields based on the description in the following table:

Table 2-7   Drawing LC Details

Field	Description
Tenor Type	Read only field. The tenor type. Tenor type can be: • Sight • Usance • Multi Tenor
Documents Received	Select the document received status from the drop-down. • Yes • No
Documents Set	Select the number of sets of documents received from the drop-down. • First • Second • Both The user has to select the option as 'Second' and has to set the "Duplicate" toggle to Yes, if a Duplicate (second set) of Import document is received.
Duplicate	Switch to  , if documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit.
Product Code	Read only field. Product code will be auto-populated based on the selected drawing reference number.
Product Description	Read only field. This field displays the description of the product as per the product code.
User Reference Number	System defaults the user reference number based on the product code. The user can change the user reference number.

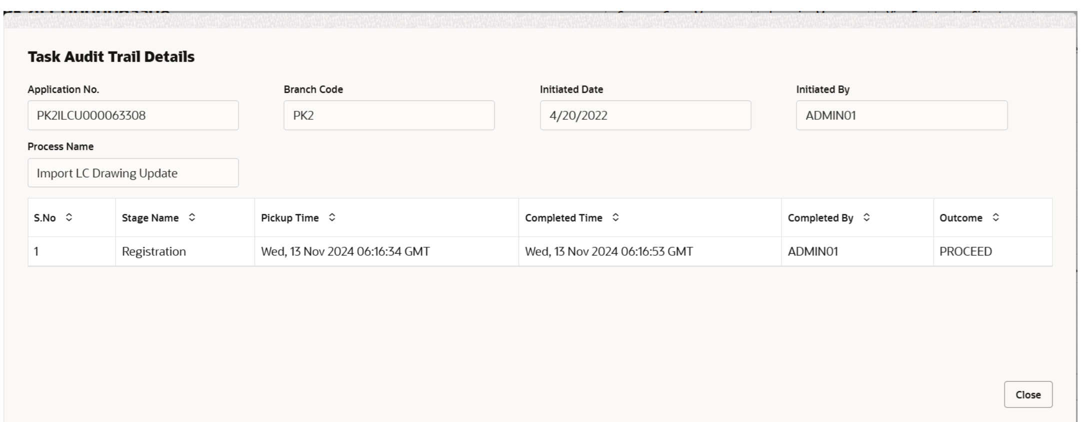
Table 2-7 (Cont.) Drawing LC Details

Field	Description
Operation Type	Select the operation type from the drop-down. The operation type can be: • ACC • DIS • ADV **Note** This field is non editable depending on the product.
Operation Type	Select the operation type from the drop-down. The options are: • ACC • DIS • ADV **Note** This field is non editable depending on Product
Stage	Select the stage from the drop-down. The options are: • Initial • Final **Note** This field is non editable depending on Product.
Outstanding LC Value	Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC details.
Drawing Amount	The drawing amount under the LC for which documents have been submitted. This field is auto-populated from the sytem. The user can change the value. **Note** This field is non editable, if there is Immediate Liquidation product under Import LC Sight bill and Operation Type should be “Pay”, Stage will be “Initial” and user have to select the stage as “Final” and user has to accept all the discrepancies.

Table 2-7 (Cont.) Drawing LC Details

Field	Description
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).
Additional Amount	Read only field. This field displays any additional amount to be included under the LC drawing.
Unlinked FX Rate	Specify the unlinked FX rate, if value in the **Operation Type** field is **DIS**. This field is disabled if **Operation Type** is **ACC** and **ADV**.
Rebate Amount	Specify the value for rebate to the bill outstanding amount.
Limits/Collateral Required	Switch to  , if limits/collateral is required.
Back to Back LC	Read only field. Flag to check if the Import LC is a back to back LC. Enabled option displays that the Import LC is a back to back LC. Disabled option displays that back to back LC is not applicable.
Accountee	Read only field. This field displays the Accountee based on the selected LC.
Finance Amount	Specify the value for finance amount, if value in the **Operation Type** field is **DIS**.
Liquidation Amount	Read only field. This field displays the liquidation amount. The system auto fetches the Drawing Amount in this field. This field appears if, there is Immediate Liquidation product under Import LC Sight bill and Operation Type should be “Pay”, Stage will be “Initial” and user have to select the stage as “Final” and user has to accept all the discrepancies.

Audit



This button provides information about user initiated the transaction, initiated date, stage wise detail etc.

For more information on action buttons, refer to the field description table below.

Table 2-8 Audit - Field Description

Field	Description
Application No.	This field displays the appliation number of the process.
Branch Code	This field displays the branch code.
Initiated Date	This field displays the date on which process is initiated.
Initiated By	This field displays the user ID of the user who had initiated the process.
Process Name	This field displays the name of the process which is initiated.
S. No	This field displays the serial number of the audit record.
Stage Name	This field displays the current stage of the process.
Completed Time	This field displays the time on which the audit of the current stage is completed.
Completed By	This field displays the user ID of the user who had completed the audit.
Outcome	This field displays the outcome of the audit.

2. Click **Next**.

   The task will move to next data segment.

Table 2-9 Main Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the import lc drawing Update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • **Standard Instructions** – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • **Transaction Level Instructions** – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.

Table 2-9 (Cont.) Main Details - Action Buttons - Field Description

Field	Description
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	This button displays the incoming message, if any. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.
View LC	Click to view the latest LC values displayed in the respective fields.
View Events	Click this button to view all the Undertaking events under the LC Issued till date..
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline.
View LC	Click to view the details of the LC.
Events	Click this button to view all the Undertaking events under the Drawing LC Issued till date.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.

Table 2-9 (Cont.) Main Details - Action Buttons - Field Description

Field	Description
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

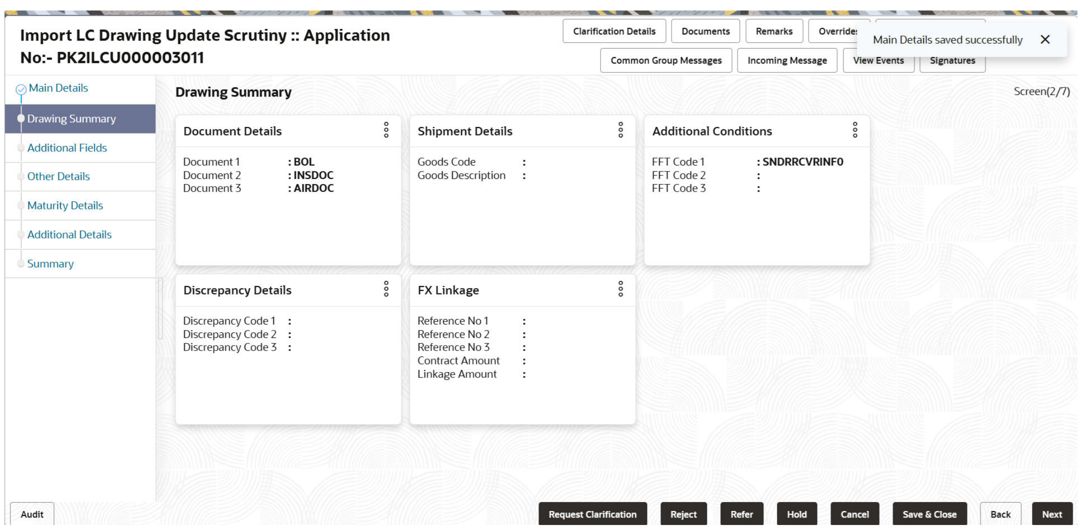
2.3.2 Drawing Summary

This topic provides the systematic instructions to capture the Drawing Summary details.

This hop displays the Drawing Summary. The user can view the Document Details, Shipment Details, Additional Conditions, Discrepancy Details and FX Linkage details in tile format.

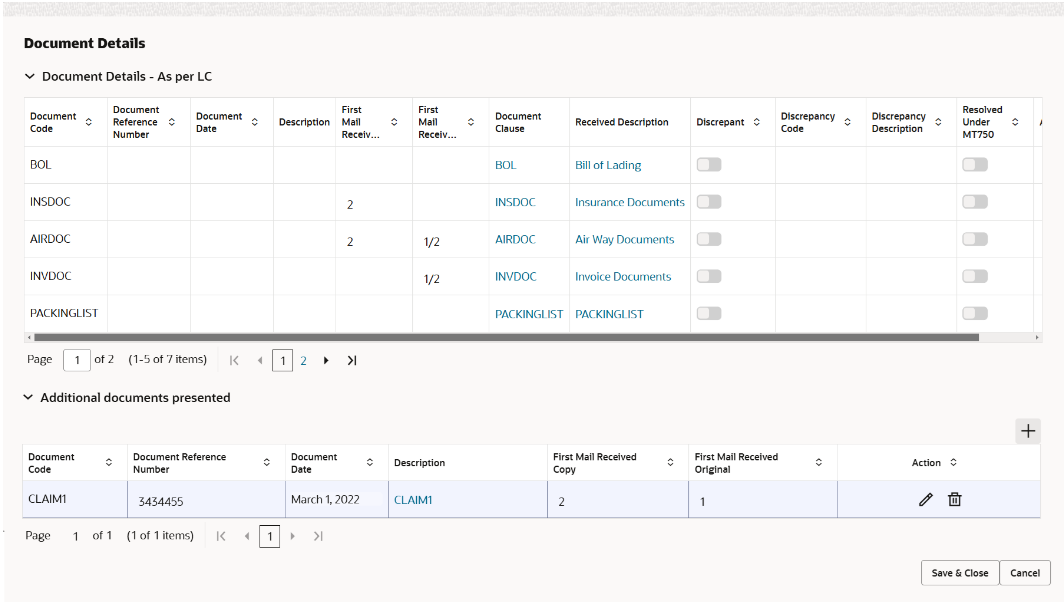
1. On **Drawing Summary** screen, click the 3 dots on any Drawing Summary tile to view the details.

Figure 2-9 Scrutiny - Drawing Summary



Document Details

User can compare the document received with the required documents and identify the discrepancies, if any.



For more information on fields, refer to the field description table below.

Table 2-10 Document Details - Field Description

Field	Description
Document Details - As per LC	This table will fetches the document details as maintained in the underlying LC(LCDTRONL). User can modify the details only which are fetched from underlying LC. User can not add or delete any document details. Once bill drawing is authorized and if update drawing is processed, details of this table will be fetched from underlying bill drawing.
Document Code	Document code is auto-populated from the latest LC.
Document Reference Number	Document reference number available in the documents.
Document Date	Displays the document date. **Note** If “Document date” is more than “Shipment Date” system displays an override alert.
Description	Description of the document required as per LC. Click the link to view the description.
First Mail Received Copy	Number of originals of documents received from first mail.
First Mail Received Original	Number of duplicate copies of documents received from first mail.
Document Clause	Displays the document clause. Click the link to view the document clause.
Received Description	Displays the description of the documents received from presenting bank. Click the link to view the received description.

Table 2-10 (Cont.) Document Details - Field Description

Field	Description
Discrepant	System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. The user to change, if any discrepancy identified in the document.
Discrepancy Code	Click **Search** to search and select the discrepancy code based on the discrepancy identified. This field is enabled if **Discrepant** option is enabled.
Discrepancy Description	This field displays the description based on the discrepancy code.
Resolved Under MT750	If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.
Action	Click Edit icon to edit the document code.
Additional documents presented	This table fetches document details as maintained for the specific bill drawing product (BCDPRMNT). Here user can add/modify/delete additional document received, if any, during bill drawing. Once bill drawing is authorized, details from this table will be fetched in update drawing operation also. The user can click **+** to add multiple document details.
Document Code	Document code is auto-populated from the latest LC. Click **Search** to search and select the document code for the additional documents presented. User can add or delete the code by deleting the line on the grid. **Note** The User is not allowed to add any document code which is already existing in any of the two tables.
Document Reference Number	Specify the document reference number of the documents linked.
Document Date	Select the document date of the underlying document. **Note** If "Document date" is more than "Shipment Date" system displays an override alert.
Description	Displays the description of the additional documents based on the document code selected. Click the link to view the description.
First Mail Received Copy	Specify the number of duplicate copies of documents received from first mail.
First Mail Received Original	Specify the number of originals of documents received from first mail.

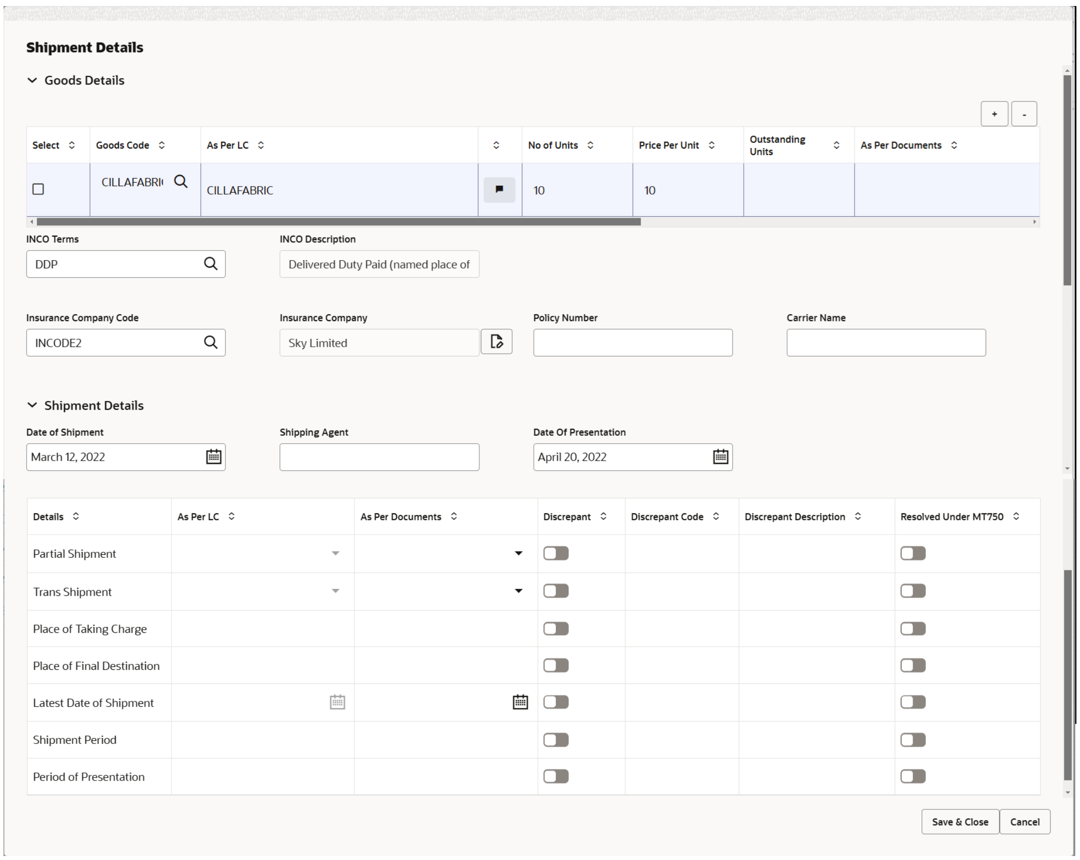
Table 2-10 (Cont.) Document Details - Field Description

Field	Description
Action	Click Edit icon to edit the document code. Click Delete icon to delete the document code. **Note** The User can not be able to delete any document detail fetched from previous operation.

2. Click **Save and Close** to save the details and close the screen.

Shipment Details

Provide the Shipment Details based on the description in the following table.



For more information on fields, refer to the field description table below.

Table 2-11 Shipment Details

Field	Description
Goods Details	Specify the good details based on the description of following table.

Table 2-11 (Cont.) Shipment Details

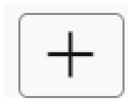
Field	Description
	Click plus **+** icon to add multiple good details.
Select	The check box to select the record.
Goods Code	This field displays goods code as per latest LC. User can click plus **+** icon and click **Search** to search and select the goods code. User can add or delete the code by deleting the line on the grid.
As per LC	This field displays description of the goods as per the latest LC.
Number of Units	Specify the number of units being imported or exported.
Price per Unit	Specify the value for price per unit.
Outstanding Units	Read only field. This field displays the outstanding units of goods.
As per Documents	Specify the description of the goods as per the documents.
Discrepant	Switch to  , if there is difference between LC requirement and documents received.
Discrepant Code	Click **Search** to search and select the discrepancy code based on the discrepancy identified. This field is enabled if **Discrepant** option is set to 'Yes'.
Discrepant Description	This field displays the description based on the discrepancy code.
INCO Terms	System defaults the INCO term. The user can change the INCO terms. Click **Search** to search and select the insurance company code.
INCO Description	Read only field. The details of INCO desription is populated as per the INCO Terms.
Insurance Company Code	Click **Search** to search and select the insurance company code.
Insurance Company	The details of insurance company is populated as per the selected Insurance Company Code.
Policy Number	Specify the policy number of the insurance.
Carrier Name	Specify the details of the carrier as per the documents received, if the goods got shipped via multiple carriers.
Shipment Details	As part of amendment, user can change the values available in the fields based on the description in the following table.
Date Of Shipment	Select the date of shipment as per the documents received. This field is validated against the Latest Date of Shipment in LC to check for discrepancy.
Shipping Agent	Specify the shipping agent details based on the document received.
Date of Presentation	This field displays the date of presentation, auto-populated based on the system date. If Place of expiry is Issuing bank, This field is validated against the Expiry Date in LC to check for discrepancy. User can change the date.
Details	The details represent the fields in latest LC.

Table 2-11 (Cont.) Shipment Details

Field	Description
As per LC	This field displays the description of the fields as per the latest LC terms.
As per Documents	Specify/Select the description of the fields as per the documents submitted.
Discrepant	Switch to  , if there is difference between LC requirement and documents received.
Discrepant Code	Click **Search** to search and select the discrepancy code based on the discrepancy identified. This field is enabled if **Discrepant** option is set to 'Yes'.
Discrepant Description	This field displays the description based on the discrepancy code.
Resolved Under MT750	Switch to  , if the discrepancy has already been highlighted in the MT750 and the customer has ‘Accepted/Waived’ the discrepancy. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.
Partial Shipments	If the discrepancy has already been highlighted in the MT750 and the customer has ‘Accepted/Waived’ the discrepancy then the user must set the value as ‘Yes’ in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.
Transshipment	This field displays the value of Transshipments as per the issued LC. User to capture if the shipment was Trans-shipped. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as ‘Discrepancy in Trans-Shipment terms’ User can modify the description.
Place Of Taking Charge	This field displays the value of place of taking in charge, if any from LC. User to capture Place of Taking charge if any, from documents received. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as ‘Discrepancy in Place of Taking Charge’ User can modify the description.
Place Of Final Destination	This field displays the value of Place of Final Destination, if any from LC. User to capture Place of Final Destination if any from documents received. If the terms in LC is different from what is captured from document, system will mark discrepancy with discrepancy description as ‘Discrepancy in Place of Taking Charge’ User can modify the description.
Latest Date Of Shipment	This field displays the value of Latest Date of Shipment, if any from LC. System also displays the Shipment Date already captured as per documents. If the shipment date is later than the latest date of shipment, system will mark discrepancy as ‘Late Shipment’. User can modify the description.
Shipment Period	This field displays the value of shipment period, if any from LC. System will also display the Shipment Date already captured as per documents. If the shipment date is later than Shipment Period + Date of Expiry, system will mark discrepancy as ‘Late Shipment’. User can modify the description.

Table 2-11 (Cont.) Shipment Details

Field	Description
Period of Presentation	This field displays the period of presentation, if any from LC. System also displays the Presentation Date already captured as per documents. If the presentation date is later than the latest date of shipment+ Presentation Period, system will mark discrepancy as 'Late Presentation'. User can modify the description.

3. Click **Save and Close** to save the details and close the screen.

Additional Conditions

Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

This section displays the list of additional conditions as per LC.

For more information on fields, refer to the field description table below.

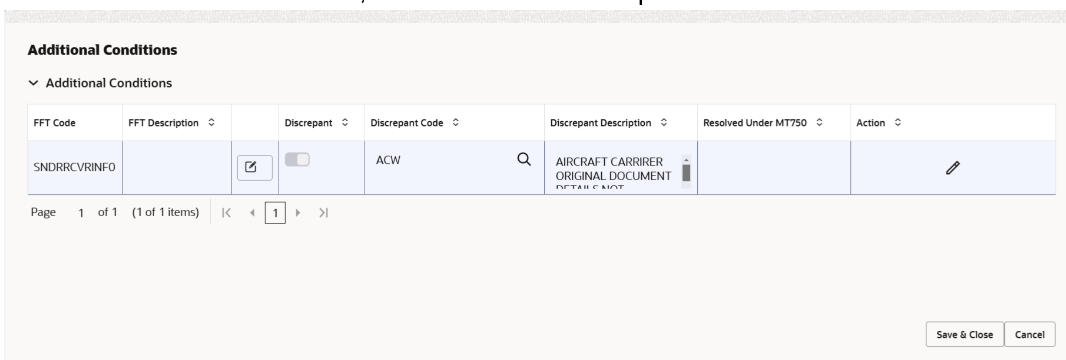


Table 2-12 Additional Conditions - Field Description

Field	Description
Additional Conditions	Specify the additional conditions based on the description of following table.
FFT Code	This field displays the FFT code as per the latest LC
FFT Description	This field displays the description of the FFT code as per the latest LC.
Discrepant	Switch to  , if there is difference between LC requirement and documents received.
Discrepant Code	Click **Search** to search and select the discrepancy code based on the discrepancy identified. This field is enabled if **Discrepant** option is enabled.
Discrepant Description	Read only field. This field displays the description based on the discrepancy code.
Resolved Under MT750	Specify the discrepancy resolved under MT750. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.
Action	Click Edit icon to edit the additional condition details.

4. Click **Save and Close** to save the details and close the screen.

Discrepancy Details

This section displays the list of discrepancies captured. The discrepancies which are resolved under MT750 will not be available in the discrepancy summary

For more information on fields, refer to the field description table below.

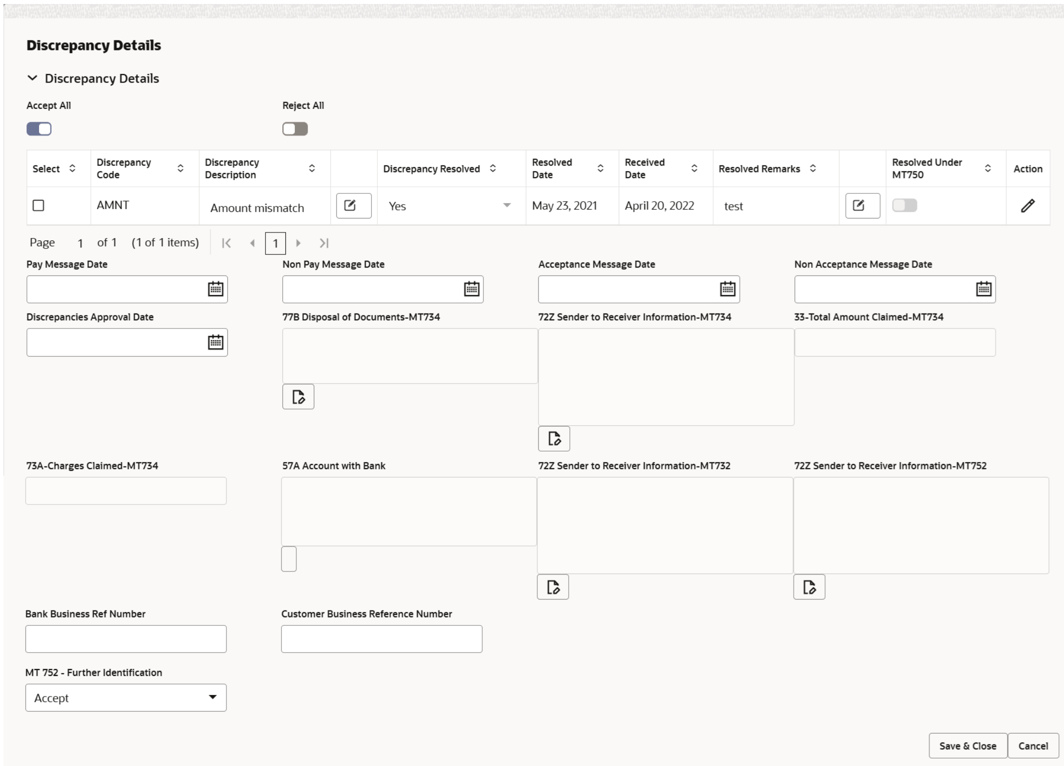


Table 2-13 Discrepancy Detials - Field Description

Field	Description
Accept All	To accept all the discrepancy. System will auto update the Discrepancy status as ‘Accepted’ and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any.
Reject All	To reject all the discrepancy. System will auto update the Discrepancy status as ‘Rejected’ and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any.
Select	Read only field. The check box to select the record.
Discrepancy Code	Read only field. This field displays the discrepancy code based on the discrepancy identified.
Discrepancy Description	Read only field. This field displays the description based on the discrepancy code.
Discrepancy Resolved	Toggle ‘Yes’ indicates discrepancy is resolved.
Resolved Date	The date when discrepancy is resolved. By default the system displays the current branch date.
Resolved Remarks	The remarks for discrepancy resolution.

Table 2-13 (Cont.) Discrepancy Detials - Field Description

Field	Description
Resolved Under MT750	The discrepancies which are resolved under MT750 are listed here and will not be available in the Discrepancy summary field.
Action	Click Edit icon to edit the discrepancy details. Click Delete icon to delete the discrepancy details.
Pay Msg Date	The pay message date.
Non Pay Msg Date	The non pay message date.
Acceptance Message Date	The acceptance message date.
Non Acceptance Message Date	The non acceptance message date.
Discrepancies Approval Date	The date of discrepancies approval.
Disposal of Documents-MT734	Read only field. Disposal of documents is auto populated.
Sender to Receiver Information-MT734	Read only field. Sender to receiver information for MT734 is auto populated from the system.
Total Amount Claimed	The value for total amount claimed.
Account with Bank	Read only field. Account with bank value is auto populated.
Sender to Receiver Information-MT732	Read only field. Sender to receiver information for MT732 is auto populated from the system.
Sender to Receiver Information-MT752	Read only field. Sender to receiver information for MT752 is auto populated from the system.
Bank Business Ref Number	Specify the value for bank business reference number
MT 752 - Further Identification	The further identification option. The options are: • Accept • Debit • Negotiate • Reimburse • Remitted • SEE72

5. Click **Save and Close** to save the details and close the screen.

FX Linkage
This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.

- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

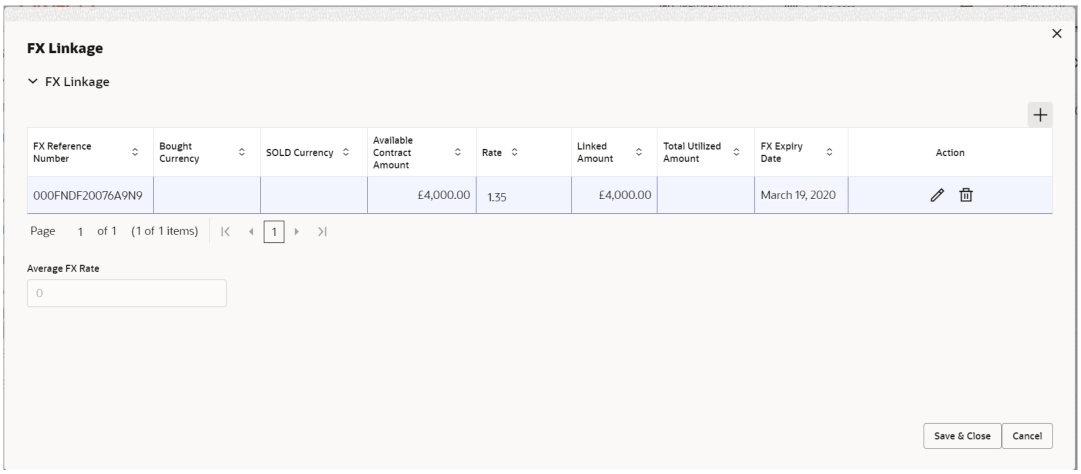
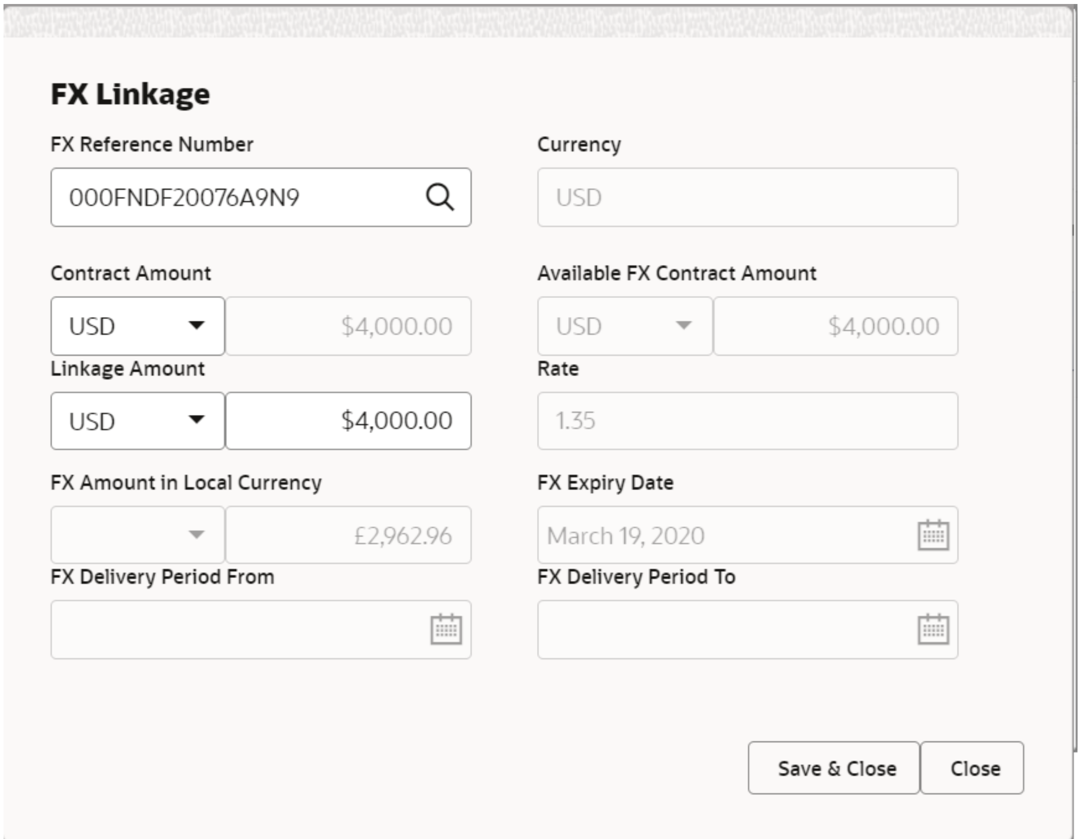


Figure 2-10 FX Linkage Details



For more information on fields, refer to the field description table below.

Table 2-14 FX Linkage - Field Description

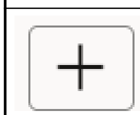
Field	Description
	Click + to add multiple **FX Details**. Below fields are displayed on the **FX Linkage** pop-up screen, if the user clicks the plus icon.
FX Reference Number	Click **Search** to search and select the FX contract reference number. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, • Counterparty of the FX contract should be the counterparty of the Bill contract. • Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill. For newly added value, Counter Party is used in FX contracts for FX linkage.

Table 2-14 (Cont.) FX Linkage - Field Description

Field	Description
Currency	Read only field. This field displays the FX SOLD currency from the linked FX contract.
Contract Amount	This field displays the FX SOLD currency and Amount. The user can change the currency.
Available FX Contract Amount	Read only field. This field displays the available FX contract amount. The value is from the “Available Amount” in FXDLINKG screen in OBTR. Available Amount SOLD currency and Amount is displayed.
Linkage Amount	System defaults the amount available for linkage. The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone. The validation “Sum of Linked amount will not be greater than contract amount” or “Linkage amount will not be greater than the available amount for linkage” should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.
Rate	Read only field. This field displays the rate at which the contract is booked.
FX Amount in Local Currency	Read only field. This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL
FX Expiry Date	Read only field. This field displays the expiry date from the linked FX contract.
FX Delivery Period - From	Read only field. This field displays the date from which the contract is valid for utilization.
FX Delivery Period - To	Read only field. This field displays the date to which the contract is valid for utilization.
FX Linkage grid	Below fields appear in the FX linkage grid along with the above fields.
Bought Currency	Read only field. This field displays the currency from the linked FX contract.
Sold Currency	Read only field. This field displays the currency from the linked FX contract.
Available Contract Amount	Read only field. Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
Total Utilized Amount	Read only field. This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount SOLD currency and Amount for Import LC/Guarantee Issuance from FXDLINKG .

Table 2-14 (Cont.) FX Linkage - Field Description

Field	Description
Average FX Rate	Read only field. Multiple forward FX contract could be linked,and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.
Action	Click Edit icon to edit the FX details. Click Delete icon to delete the FX details.

6. Click **Save and Close** to save the details and close the screen.
7. Click **Next**.

   The task will move to next data segment.
   For more information on action buttons, refer to the field description table below.

Table 2-15 Scrutiny - Drawing Summary - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the lc drawings. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Displays the incoming message, if any.
View Events	Click this button to view all the events under the LC Issued till date.

Table 2-15 (Cont.) Scrutiny - Drawing Summary - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

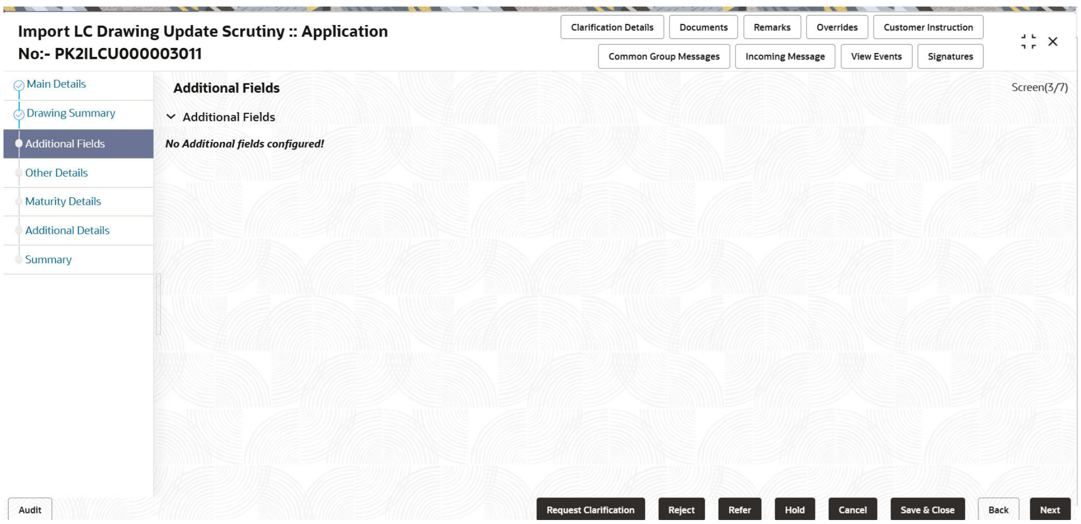
2.3.3 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure user defined fields as per their requirement in the Additional Fields screen.

1. On **Additional Fields** screen, specify the fields, if any.

Figure 2-11 Additional Fields



2. Click **Next**.

   The task will move to next data segment.
   For more information on action buttons, refer to the field description table below.

Table 2-16 Additional Fields - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application

Table 2-16 (Cont.) Additional Fields - Action Buttons - Field Description

Field	Description
Remarks	Specify any additional information regarding the lc drawings. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Displays the incoming message, if any.
View Events	Click this button to view all the events under the Drawing LC Issued till date.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others

Table 2-16 (Cont.) Additional Fields - Action Buttons - Field Description

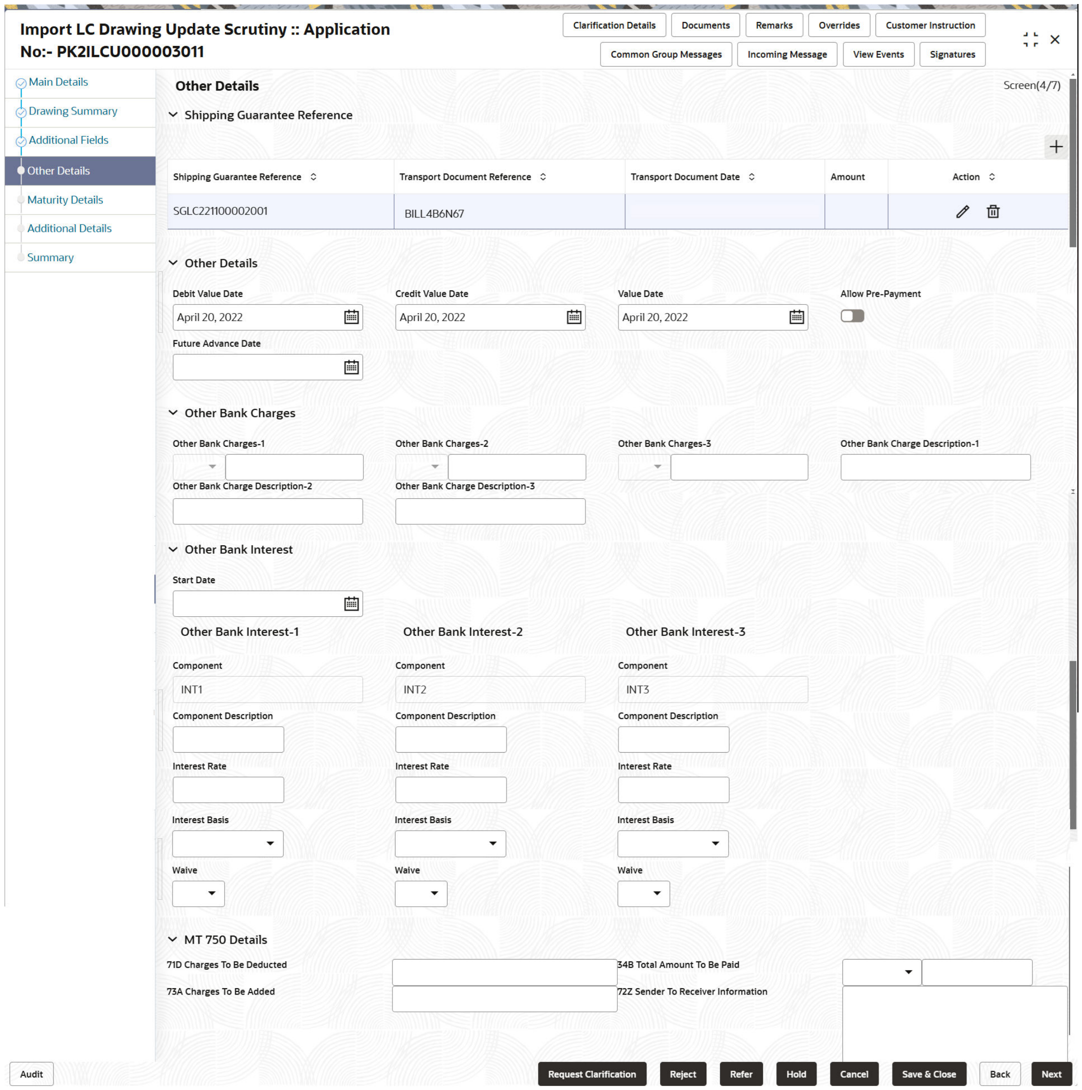
Field	Description
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.4 Other Details

This topic provides the systematic instructions to capture the other details like Shipping Guarantee reference, Credit Value Date, Debit Value Date, Other Bank charges etc.

1. On **Other Details** screen, specify the fields.

Figure 2-12 Other Details



For more information on fields, refer to the field description table below.

Table 2-17 Other Details - Field Description

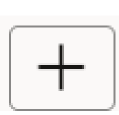
Field	Description
Shipping Guarantee Reference	Specify the Shipping Guarantee Reference details based on the description in the following table:
	Click **+** sign to add multiple Shipping Guarantees to a Single Bill.
Shipping Guarantee Reference	Click **Search** to search and select the Shipping Guarantee, the Shipping Guarantee Reference Number gets populated. User can link an existing Shipping Guarantee using this field..

Table 2-17 (Cont.) Other Details - Field Description

Field	Description
Transport Document Reference	System defaults the Transport Document Reference details. System validates the Shipping Guarantee Transport Document reference number with Transport Document Reference number in a Bill, if user manually provides the Shipping Guarantee detail.
Transport Document Date	Read only field. System defaults the transport document date provided in the Bill, which is linked with the Shipping Guarantee.
Amount	Read only field. Displays the shipping guarantee amount.
Action	Edit: Click edit to edit the shipping guarantee reference details. Delete: Click edit to edit the shipping guarantee reference detail.
Other Details	Specify the Other details based on the description in the following table:
Debit Value Date	Read only field. The user can change the debit value date.
Credit Value Date	Read only field. The user can change the debit value date.
Value Date	Read only field. The user can change the debit value date.
Allow Pre-Payment	Read only field. The option  displays pre-payment is allowed. The option  displays pre-payment is not allowed.
Future Advance Date	Select the future advance date to capture advanced requested Date. Future Advance Date should be less than the Bill Maturity Date and should be greater than the current branch date. This field is enabled if the **Operation Code**is **ACC**. This field is disabled and read only for Immediate liquidation products.
72Z Sender To Receiver Information	Specify the sender to receiver information. This field is applicable only for Immediate liquidation scenario and it should be non-editable for all the other scenario.
79z Narrative	Specify the narrative. This field is applicable only for Immediate liquidation scenario and it should be non-editable for all the other scenario.
Other Bank Charges	Specify the other bank charges based on the description in the following table.
Other Bank Charges - 1	Specify the charges to be collected for the other bank as part of the collection transaction.
Other Bank Charges - 2	Specify the charges to be collected for the other bank as part of the collection transaction.
Other Bank Charges - 3	Specify the charges to be collected for the other bank as part of the collection transaction.

Table 2-17 (Cont.) Other Details - Field Description

Field	Description
Other Bank Charge Description - 1	Specify the description of charges to be collected for the other bank as part of the drawings transaction.
Other Bank Charge Description - 2	Specify the description of charges to be collected for the other bank as part of the drawings transaction.
Other Bank Charge Description - 3	Specify the description of charges to be collected for the other bank as part of the drawings transaction.
Other Bank Interest	Specify the Interest details as a part of “Other Bank Interest” details based on the following table.
Start Date	Specify the date from which the system starts calculating the Interest.
Other Bank Interest-1, 2 and 3	Specify the Other Bank Interest-1, 2 and 3 details based on the description in the following table:
Component	Read only field. This field displays the name of the interest Component.
Component Description	Specify the description of the interest component.
Interest Rate	Specify the rate to be applied for the interest component.
Interest Basis	Select the calculation basis on which the Interest to be computed from the drop-down list.
Waive	Select whether the interest to be waived off. The options are: • Yes • No
MT 750 Details	Specify the MT 750 details based on the description in the following table:
71D Charges To Be Deducted	Specify the charges to be deducted.
34B Total Amount To Be Paid	Select the currency and specify the total amount to be paid.
Charges To Be Added	Specify the charges to be added.
72Z Sender To Receiver Information	Specify the sender to receiver information.

2. Click **Next**.

   The task will move to next data segment.
   For more information on action buttons, refer to the field description table below.

Table 2-18 Other Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.

Table 2-18 (Cont.) Other Details - Action Buttons - Field Description

Field	Description
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the lc drawings update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Displays the incoming message, if any.
View Events	Click this button to view all the events under the LC Issued till date.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.

Table 2-18 (Cont.) Other Details - Action Buttons - Field Description

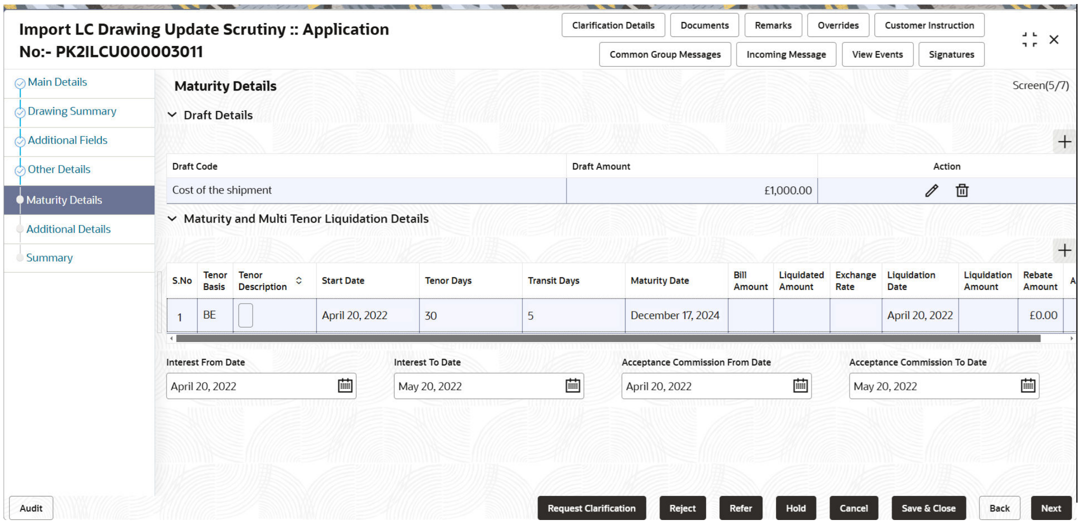
Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.5 Maturity Details

This topic provides the systematic instructions to capture the maturity details.

1. On **Maturity Details** screen, specify the fields.

Figure 2-13



For more information on fields, refer to the field description table below.

Table 2-19 Maturity Details - Field Description

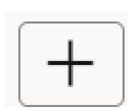
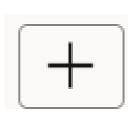
Field	Description
Draft Details	Specify the draft details based on the description in the following table:
	Click **+** sign to add multiple drafts details.
Draft Code	Click **Search** to search and select the draft code from the look-up.
Draft Amount	Specify the draft amount.
Action	Click Edit icon to edit the draft code. Click Delete icon to delete the draft code.
Maturity and Multi Tenor Liquidation Details	Specify the Maturity and Multi Tenor Liquidation details based on the description in the following table:
	Click **+** sign to add multiple Maturity and Multi Tenor Liquidation details.
S. No	Serial number of the maturity details record.
Tenor Basis	Click **Search** to search and select the tenor basis. The user can view and edit the tenor base code description of the Tenor Basis Code selected by the user.
Tenor Description	Displays the tenor description based on the tenor basis selection. The user can change the description.
Start Date	System defaults the tenor start date. User can change the date.

Table 2-19 (Cont.) Maturity Details - Field Description

Field	Description
Tenor Days	Specify the number of tenor days.
Transit Days	Specify the transit days, if the tenor is sight.
Maturity Date	System displays the due date for the bill based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as five working days from Document Received Date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.
Bill Amount	Read only field. Indicates the bill amount.
Liquidated Amount	Read only field. Indicates the bill liquidated amount.
Exchange Rate	Read only field. Indicates the Exchange Rate.
Liquidation Date	Read only field. Displays the liquidation date.
Liquidation Amount	Read only field. Indicates the liquidation amount.
Rebate Amount	Displays the rebate amount for the LC value as per the tenor basis.
Action	Click Edit icon to edit the record.
Interest From Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.
Interest To Date	Select the interest to date.
Acceptance Commission From Date	Select the accept commission from date.
Acceptance Commission To Date	Select the accept commission to date.

2. Click **Next**.

   The task will move to next data segment.
   For more information on action buttons, refer to the field description table below.

Table 2-20 Maturity Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be 'Clarification Requested'.

Table 2-20 (Cont.) Maturity Details - Action Buttons - Field Description

Field	Description
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the lc drawings update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Displays the incoming message, if any.
View Events	Click this button to view all the events under the LC Issued till date.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.

Table 2-20 (Cont.) Maturity Details - Action Buttons - Field Description

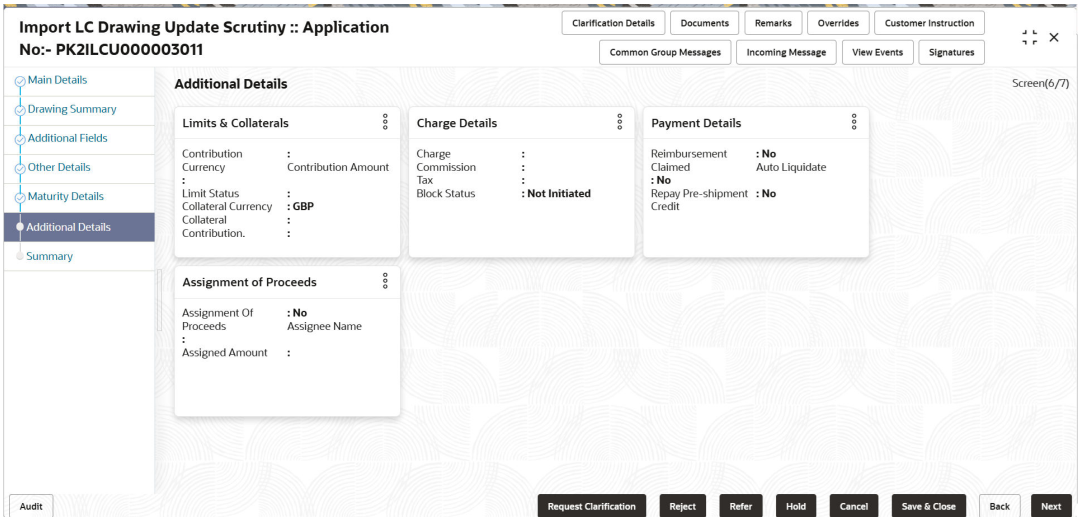
Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.3.6 Additional Details

This topic provides the systematic instructions to capture the additional details.

1. On **Additional Details** screen, click the 3 dots on any Additional Details tile to view the details.

Figure 2-14 Additional Details



Limits and Collaterals

On Approval, system should not release the Earmarking against each limit line and system should handoff the “Limit Earmark Reference Number “to the back office. On successful handoff, back office will make use of these “Limit Earmark Reference Number” to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

> **Note**
>
> For newly added values, Counter Party is used in ‘Limit details’ for limit tracking, Account for Collateral margin and Deposit detail for Deposit Linkage.
> Provide the Limit Details based on the description in the following table.

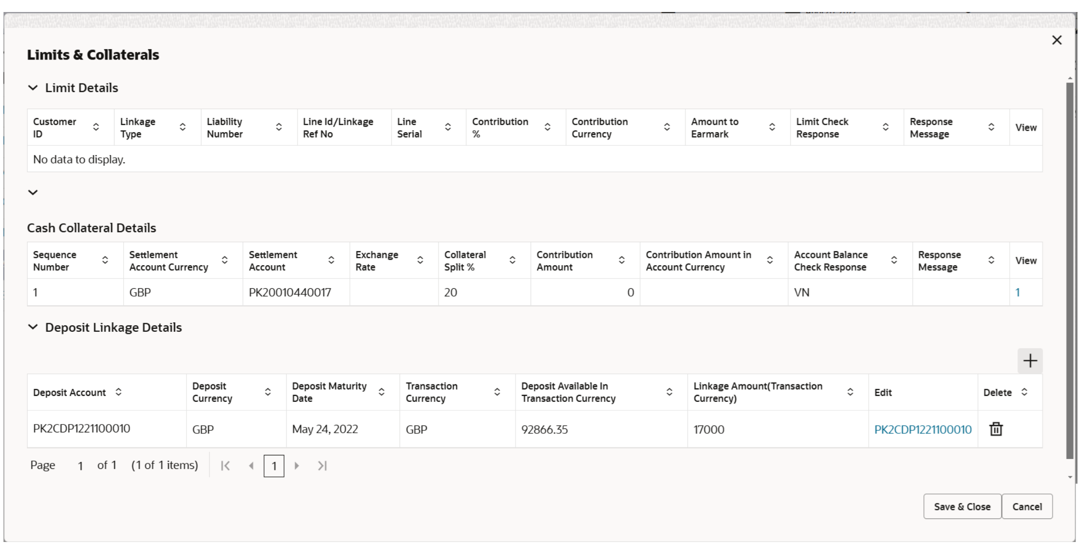


Figure 2-15 Limit Details

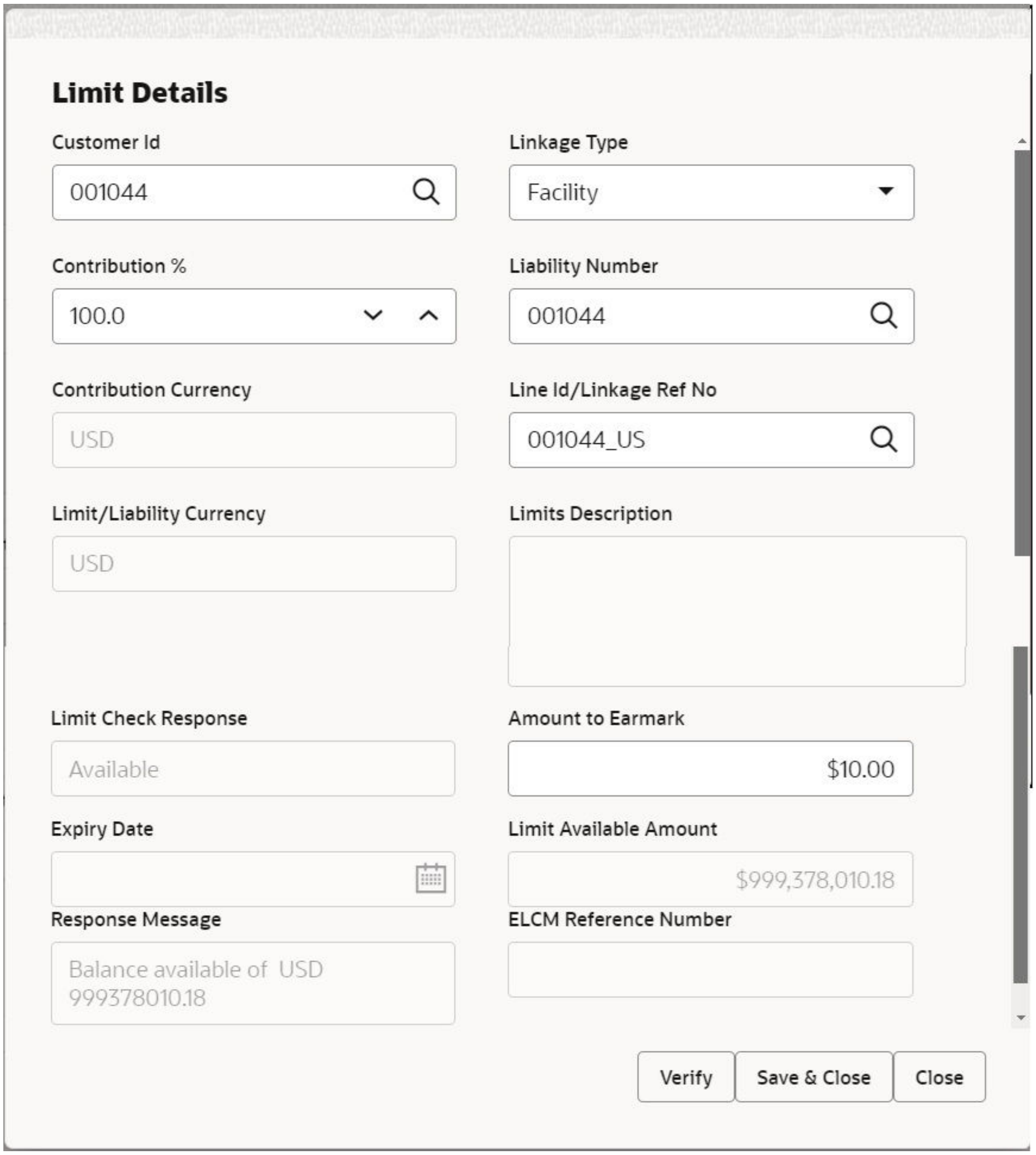


Figure 2-16 Cash Collateral Details

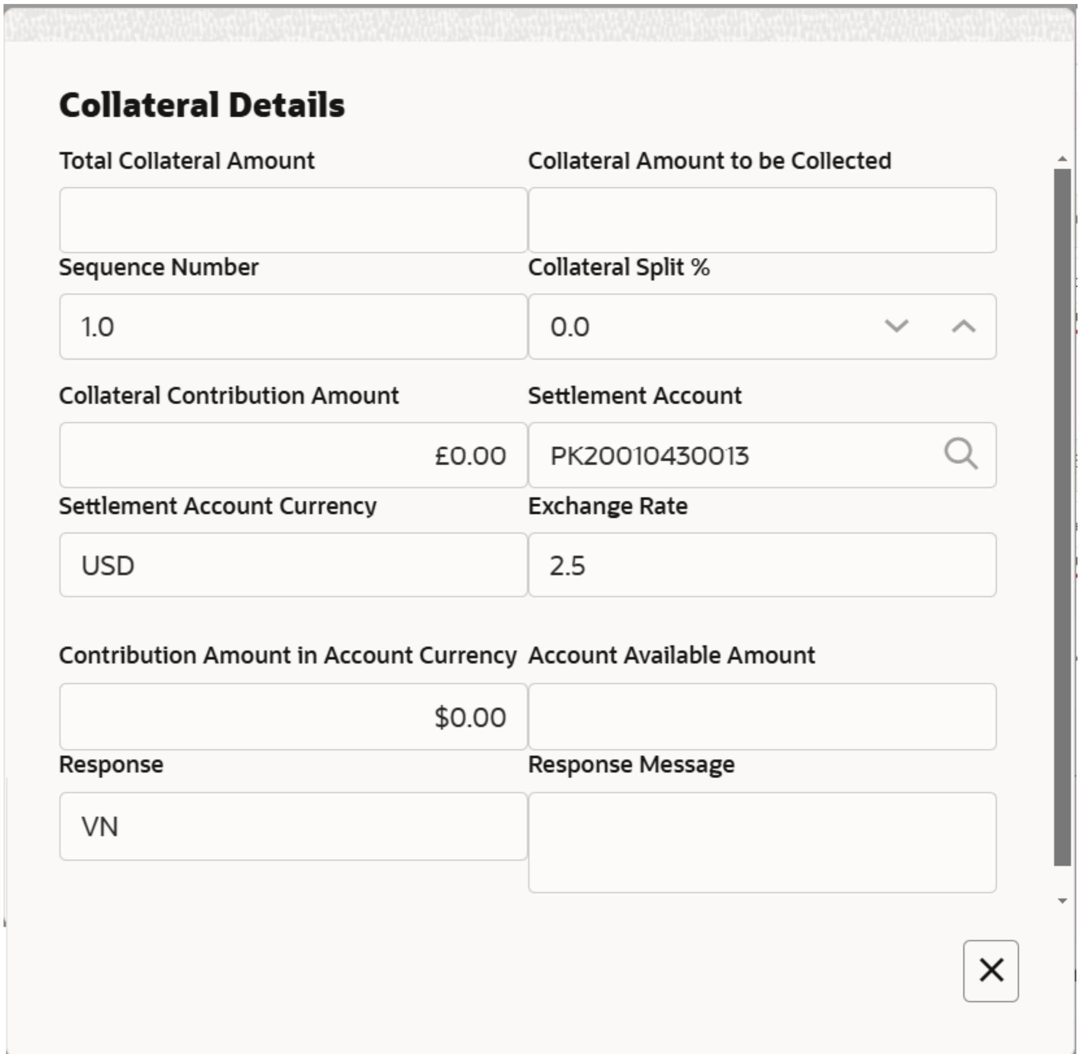
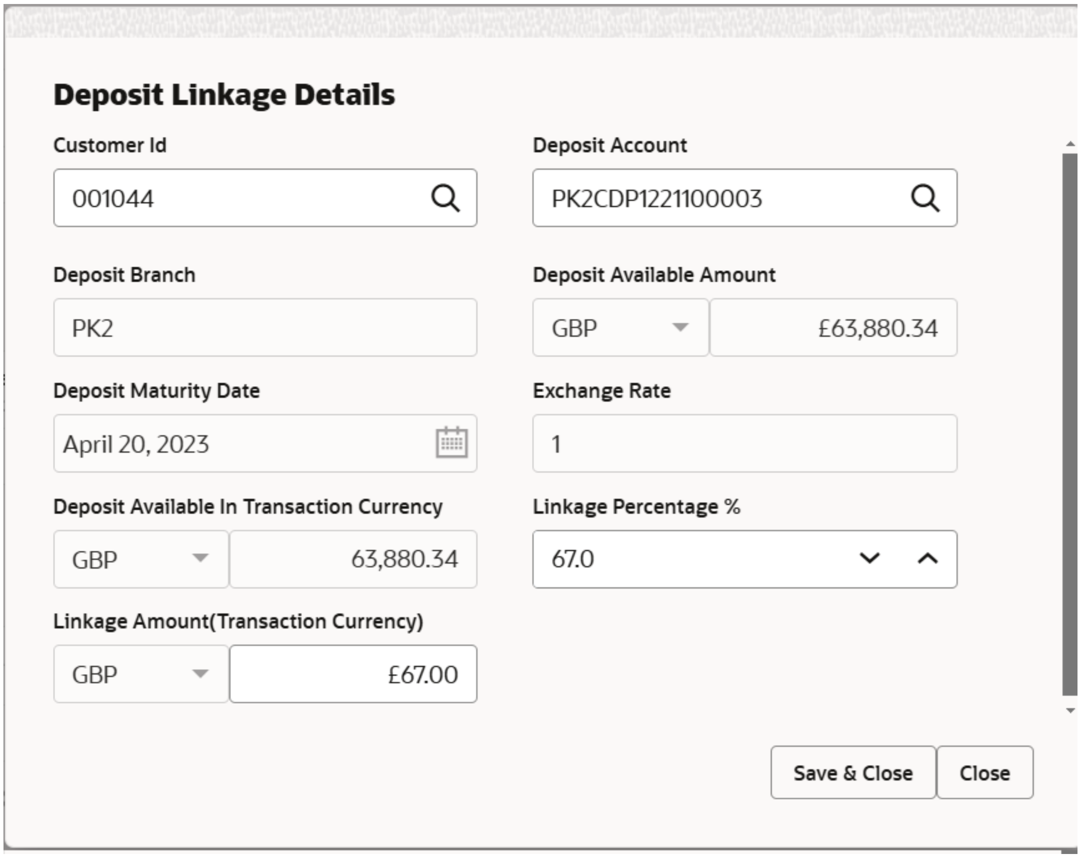


Figure 2-17 Deposit Linkage Details



For more information on fields, refer to the field description table below.

Table 2-21 Limit Details - Field Description

Field	Description
Limit Details	Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.
Customer ID	This field displays the applicant's/applicant bank customer ID defaulted from the application. The user can change the Customer ID.
Linkage Type	Select the linkage type. Linkage type can be: • **Facility** • **Liability** By default Linkage Type should be "Facility".

Table 2-21 (Cont.) Limit Details - Field Description

Field	Description
Contribution %	System defaults this field to 100%. User can modify this value. If contribution is more than 100%, system displays an alert message, if modified. Once contribution % is provided, system defaults the amount. System validates that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message. **Note** The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.
Liability Number	Click **Search** to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.
Contribution Currency	Read only field. This field displays the contribution currency.
Line ID/Linkage Ref No	Click **Search** to search and select from the various lines available and mapped under the customer id list. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. The user can click the Line Id link to view the limit details. **Note** User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field. This field is disabled and read only, if **Linkage Type** is Liability.
Limit/Liability Currency	Read only field. This field displays the limit currency, when the user select the **Liability Number**.
Limits Description	Read only field. This field displays the limits description.
Limit Check Response	Read only field.This field displays the limit check response. Response can be ‘Success’ or ‘Limit not Available’ based on the limit service call response. The value in this field appears, if you click the **Verify** button.

Table 2-21 (Cont.) Limit Details - Field Description

Field	Description
Amount to Earmark	This field defaults the amount to earmark. Contribution amount will default based on the contribution %. User can change the value.
Expiry Date	Read only field. This field displays the date up to which the Line is valid.
Limit Available Amount	Read only field. This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the **Verify** button.
Response Message	Read only field. This field displays the detailed response message. The value in this field appears, if you click the **Verify** button.
ELCM Reference Number	Read only field. This field displays the ELCM reference number.
Limit Details grid	Below fields appear in the **Limit Details** grid along with the above fields.
Line Serial	Displays the serial of the various lines available and mapped under the customer id.
Edit	Click the link to edit the **Limit Details**.
Cash Collateral Details	Specify the Collateral details based on the description of following table:
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage. Specify the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.
Exchange Rate	System populates the exchange rate maintained. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.
Collateral Details	Below fields are displayed on the **Collateral Details** pop-up screen, if the user clicks plus icon.
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.

Table 2-21 (Cont.) Limit Details - Field Description

Field	Description
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.
Settlement Account	Click **Search** to search and select the settlement account for the collateral.
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.
Account Available Amount	Read only field. System populates the account available amount on clicking the **Verify** button.
Response	Read only field. System populates the response on clicking the **Verify** button.
Response Message	Read only field. System populates the response message on clicking the **Verify** button.
Verify	Click to verify the account balance of the Settlement Account.
Save	Click to to save and close the record.
Close	Click to cancel the entry.
Cash Collateral Details grid	Below fields appear in the along with the above fields.
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message “Defaulted Collateral Percentage modified.
Account Balance Check Response	This field displays the account balance check response.
Edit	Click edit link to edit the collateral details.
Account Available Amount	This field displays the account available amount which will be auto-populated based on the settlement account selection.
Deposit Linkage Details	Specify the deposit linkage details based on the description of following table: System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

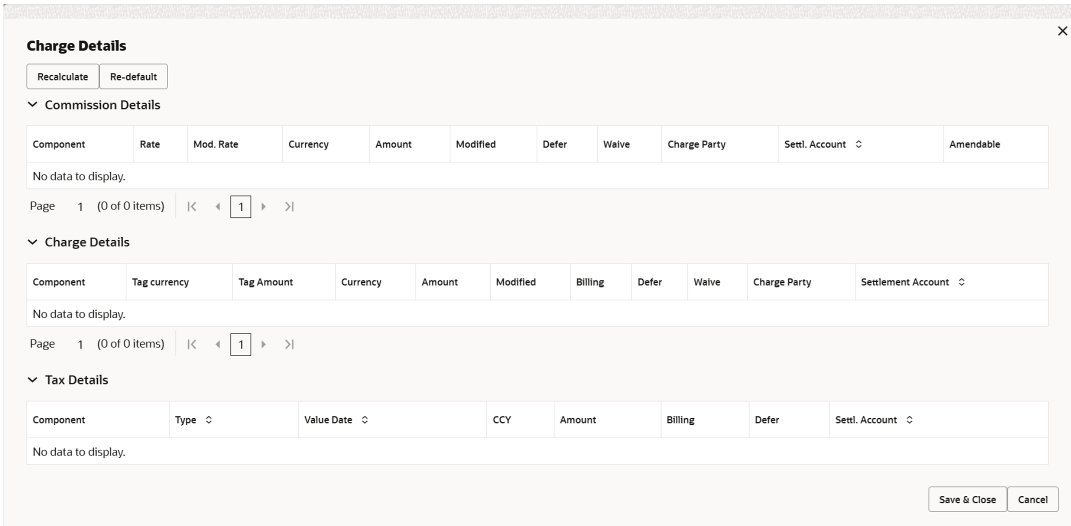
Table 2-21 (Cont.) Limit Details - Field Description

Field	Description
Deposit Linkage Details pop-up screen	Below fields are displayed on the **Deposit Linkage Details** pop-up screen, if the user clicks plus icon.
Customer Id	This field displays the defaulted from the application. The user can change the Customer ID.
Deposit Account	Click **Search** to search and select deposit for linkage from the list of all the customer Deposits. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.
Deposit Branch	Read only field. This field displays the deposit branch which will be auto-populated based on the deposit account selection.
Deposit Available Amount	Read only field. This field displays the deposit available amount and currency which will be auto-populated based on the deposit account selection.
Deposit Maturity Date	Read only field. This field displays the maturity date of deposit based on the deposit account selection.
Exchange Rate	Read only field. This field displays the latest exchange rate for deposit linkage. This will be picked up from the exchange rate maintenance from the common core.
Deposit Available In Transaction Currency	Read only field. This field displays the deposit amount available, after exchange rate conversion, if applicable.
Linkage Percentage %	Specify the value for linkage percentage.
Linkage Amount (Transaction Currency)	This field displays the transaction amount, user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.
Deposit Details grid	Below fields appear in the **Deposit Details** grid along with the above fields.
Deposit Currency	This field displays the deposit currency.
Transaction Currency	This field displays the transaction currency.
Edit	Click edit link to edit the deposit linkage details.

2. Click **Save and Close** to save the details and close the screen.

Charge Details

This section displays charge details.



For more information on fields, refer to the field description table below.

Table 2-22 Charge Details - Field Description

Field	Description
Commission Details	This section displays the commission details. By default, all the charges, commission and margin are collected from the counter-party.
Component	Displays the commission component.
Rate	Displays the rate that is defaulted from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Mod. Rate	From the default value, if the rate is changed the value gets updated in this field.
Currency	Displays the currency in which the commission have to be collected.
Amount	Displays the amount that is maintained under the product code. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.
Modified	From the default value, if the amount is changed, the value gets updated in the modified amount field.
Defer	Switch to  for charges/commissions has to be deferred and collected at any future step.

Table 2-22 (Cont.) Charge Details - Field Description

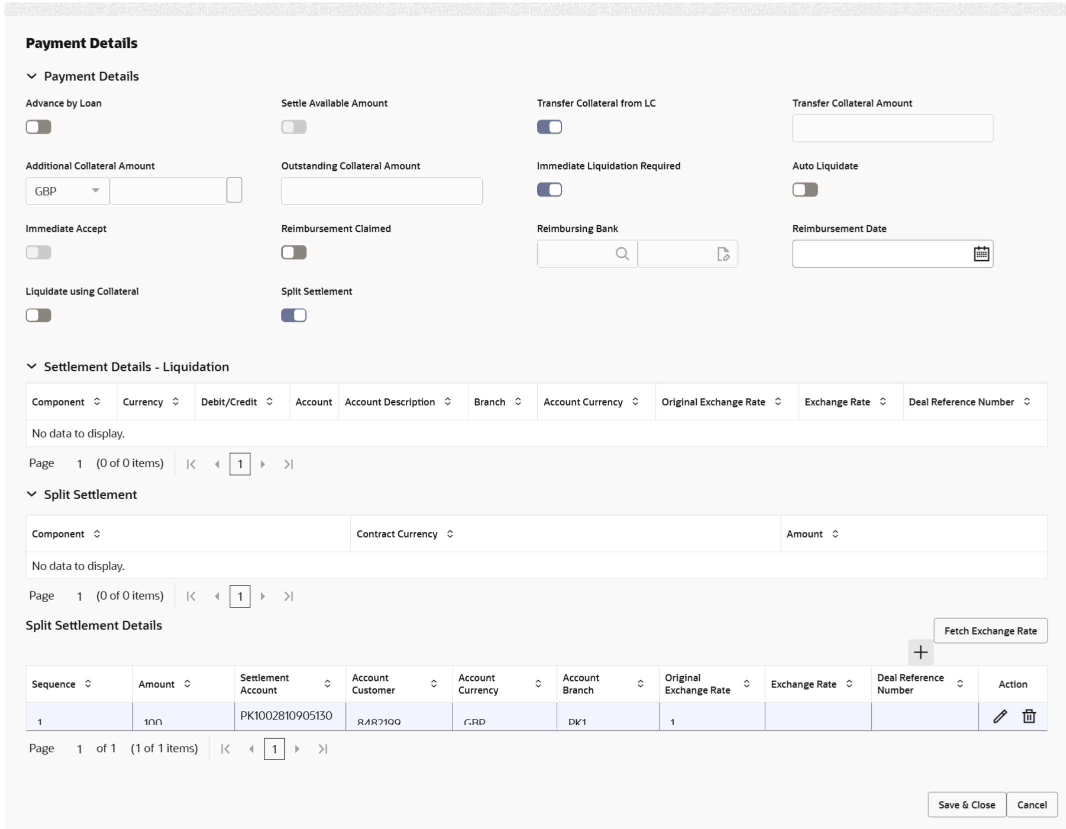
Field	Description
Waive	Switch to to waive the charges/commissions. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.
Charge Party	Charge party is **Applicant** by default. User can change the value to **Beneficiary**.
Settlement Account	Select the settlement account.
Amendable	The value is auto-populated as the commission can be amendable or not.
Charge Details	This section displays the charge details.
Component	Displays the charge component type.
Tag Currency	Displays the tag currency in which the charges have to be collected.
Tag Amount	This field displays the tag amount that is maintained under the product code.
Currency	Displays the currency in which the charges have to be collected.
Amount	Displays the amount that is maintained under the product code.
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.
Billing	witch to to make the details available for billing engine for further processing, if charges are handled by separate billing engine. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically enabled in OBTFPM. The user can not enable/disable the option, if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.
Defer	Switch to to defer the charges and collect at any future step. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.
Waive	Switch to to waive the charges. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if **Defer** toggle is enabled.
Charge Party	Charge party is applicant by default. User can change the value to beneficiary.

Table 2-22 (Cont.) Charge Details - Field Description

Field	Description
Settlement Account	Select the settlement account. For newly added values, Counter Party is used for Settlement Account.
Tax Details	This section displays the tax details. The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.
Component	Displays the tax component.
Type	Displays the type of tax component.
Value Date	Displays the value date of tax component.
Currency	Displays the currency in which the tax have to be collected. The tax currency is the same as the commission.
Amount	Displays the tax amount based on the percentage of commission maintained. You can edit the tax amount, if applicable.
Billing	Switch to  to make the details available for billing engine for further processing, if taxes are handled by separate billing engine. This field is disabled, if 'Defer' toggle is enabled.
Defer	Switch to  to defer the taxes and collect at any future step. Switch to  if you do not want to defer the taxes. On disabling the user has to click on 'Recalculate' charges button for re-simulation.
Settlement Account	System defaults the settlement account. The user can modify the settlement account. For newly added values, Counter Party is used for Settlement Account.

3. Click the 3 dots on **Payment Details** tiles to view the **Payment Detail** screen.

Payment Details



For more information on fields, refer to the field description table below.

Table 2-23 Payment Details - Field Description

Field	Description
Payment Details	Specify the payment details based on the description in the following table.
Advance by Loan	Read only field. This option indicates wheather the loan creation is enabled/ disabled at the time of final liquidation.
Settle Available Amount	Read only field. This option indicates that during settlement if the amount as available in the CASA account of the customer has to be utilized and for the balance if a loan has to be availed, user to select the ‘Settle Available Amount’ toggle. This option is enabled, if **Advance by Loan** option is enabled.
Transfer Collateral from LC	Switch to  for transfer of collateral from LC. Switch to  to stop the transfer of collateral from LC.
Transfer Collateral Amount	Read only field. Displays the transfer collateral amount. This field appears if **Transfer Collateral from LC** option is enabled.

Table 2-23 (Cont.) Payment Details - Field Description

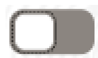
Field	Description
Additional Collateral Amount	Click the edit icon and specify the additional collateral details. The user can select the Settlement Account and view the read only fields such as: Settlement Account Currency, Exchange Rate, Contribution Amount in Account Currency, Account Available Amount, Response and Response Message from the Additional Collateral Amount edit pop-up screen.
Outstanding Collateral Amount	Read only field. Displays the outstanding collateral amount. Outstanding Collateral Amount=Transfer Collateral Amount + Additional Collateral Amount. This field appears if **Transfer Collateral from LC** option is enabled.
Immediate Liquidation Required	Switch to  for immediate liquidation for the drawing. Switch to  to stop the immediate liquidation for the drawing. This toggle is applicable only for sight LC's and only if the drawings are without discrepancy.
Auto Liquidate	Switch to  if the auto liquidation is required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.
Immediate Accept	This option is disabled. This option indicates immediate acceptance of loan.
Reimbursement Claimed	Switch to  if the reimbursement is already claimed. This field is applicable only if reimbursement is applicable and LC has reimbursement bank details.
Reimbursing Bank	Click **Search** to search ane select the Reimbursing Bank or specify the Reimbursing Bank name. **Note** If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available". This field is enabled, if **Reimbursement Claimed** toggle is 'On'.
Reimbursement Date	Specify or select the reimbursement date. If reimbursement date is later than the branch date, system will display an error.
Liquidate using Collateral	Switch to  if liquidation is done using collateral.

Table 2-23 (Cont.) Payment Details - Field Description

Field	Description
Split Settlement	Switch to  to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill. Switch to  to disable the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill.
Settlement Details - Liquidation	This section displays the Settlement details - Liquidation.
Component	System defaults the component based on the product selected.
Currency	Application displays the default currency for the component.
Debit/Credit	Application displays the debit/credit indicators for the components.
Account	System defaults the customer's account details for the components. The user can change the Settlement account number.
Account Description	Application displays the description of the selected account.
Branch	Application displays the branch of the selected account.
Account Currency	Application defaults the currency for all the items based on the account number.
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF.
Exchange Rate	System displays the Exchange Rate.
Deal Reference Number	Application displays exchange deal reference number
Split Settlement	Below section appears, if user enables the **Split Settlement** option.
Component	Components gets defaulted based on the product selected.
Contract Currency	Application displays the default currency for the component.
Amount	Amount for each component. This is populated from the transaction details of the drawing..
Split Settlement Details	Specify the Split Settlement details based on the description in the following table.
Sequence	Displays the sequence of the settlement details.
Amount	Specify the amount for the split settlement.
Settlement Account	Click **Search** to search and select the settlement account.
Account Customer	Read only field. Displays customer account based on the selected settlement account.
Account Currency	Read only field. Displays currency of the account based on the selected settlement account.
Account Branch	Read only field. Displays branch of the customer's account based on the selected settlement account.
Original Exchange Rate	Read only field. System fetches the Original Exchange Rate as simulated in settlement details section from OBTF. This field gets displayed when you click the **Fetch Exchange Rate**.
Exchange Rate	Read only field. System displays the Exchange Rate.

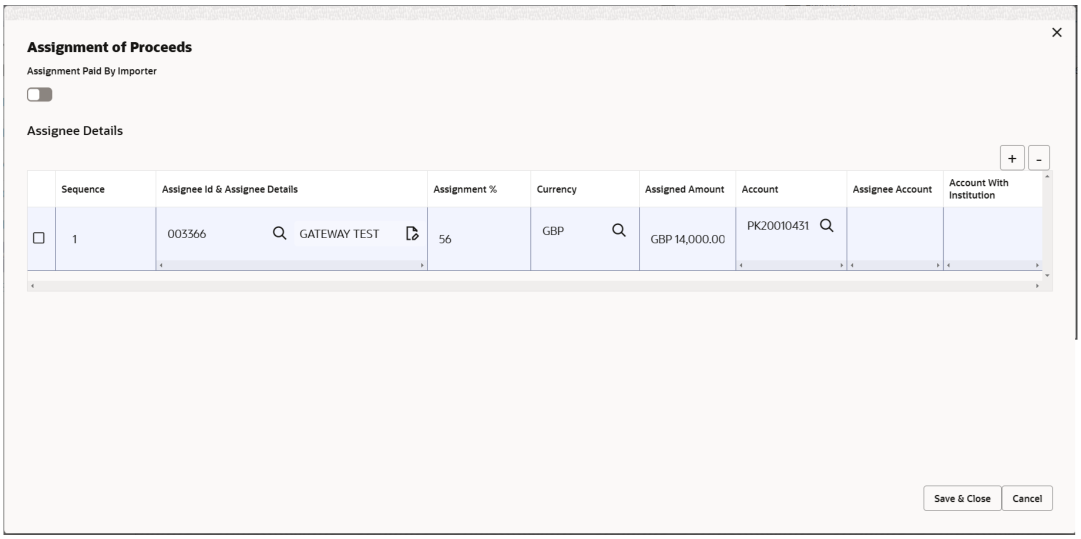
Table 2-23 (Cont.) Payment Details - Field Description

Field	Description
Deal Reference Number	Specify the deal reference number.
Action	Click Edit icon to edit the Split Settlement. Click Delete icon to delete the Split Settlement.

4. Click **Save and Close** to save the details and close the screen.

Assignment of Proceeds

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.



For more information on fields, refer to the field description table below.

Table 2-24 Assignment of Proceeds - Field Description

Field	Description
Assignment Paid By Importer	Switch to  , if assignment is paid by importer.
Sequence	Displays the sequence number.
Assignee ID & Assignee Details	Click **Search** to search and select the assignee id. Assignee details appear based on selected assignee ID. User can add multiple assignees to a single Bill under LC with the assignment amount or assignment percentage of parent LC. **Note** WALKIN customers is allowed as assignee.

Table 2-24 (Cont.) Assignment of Proceeds - Field Description

Field	Description
Assignment %	Specify the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system calculates the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override.The assignment percentage should be rounded to two decimal places.
Currency	Click **Search** to search and select the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed.
Assigned Amount	Specify the amount that has to be assigned to the assignee. If the user has already entered the assignment percentage, system to calculate the amount and populate the value.
Account	Click **Search** to search and select the account.
Assignee Account	Specify the assignee account.
Account with Institution	Specify the account number of the account with institution.

5. Click **Save and Close** to save the details and close the screen.
6. **Next**.

   The task will move to next data segment.
   For more information on action buttons, refer to the field description table below.

Table 2-25 Additional Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the lc drawings update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.

Table 2-25 (Cont.) Additional Details - Action Buttons - Field Description

Field	Description
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Displays the incoming message, if any.
View Events	Click this button to view all the events under the LC Issued till date.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.

Table 2-25 (Cont.) Additional Details - Action Buttons - Field Description

Field	Description
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

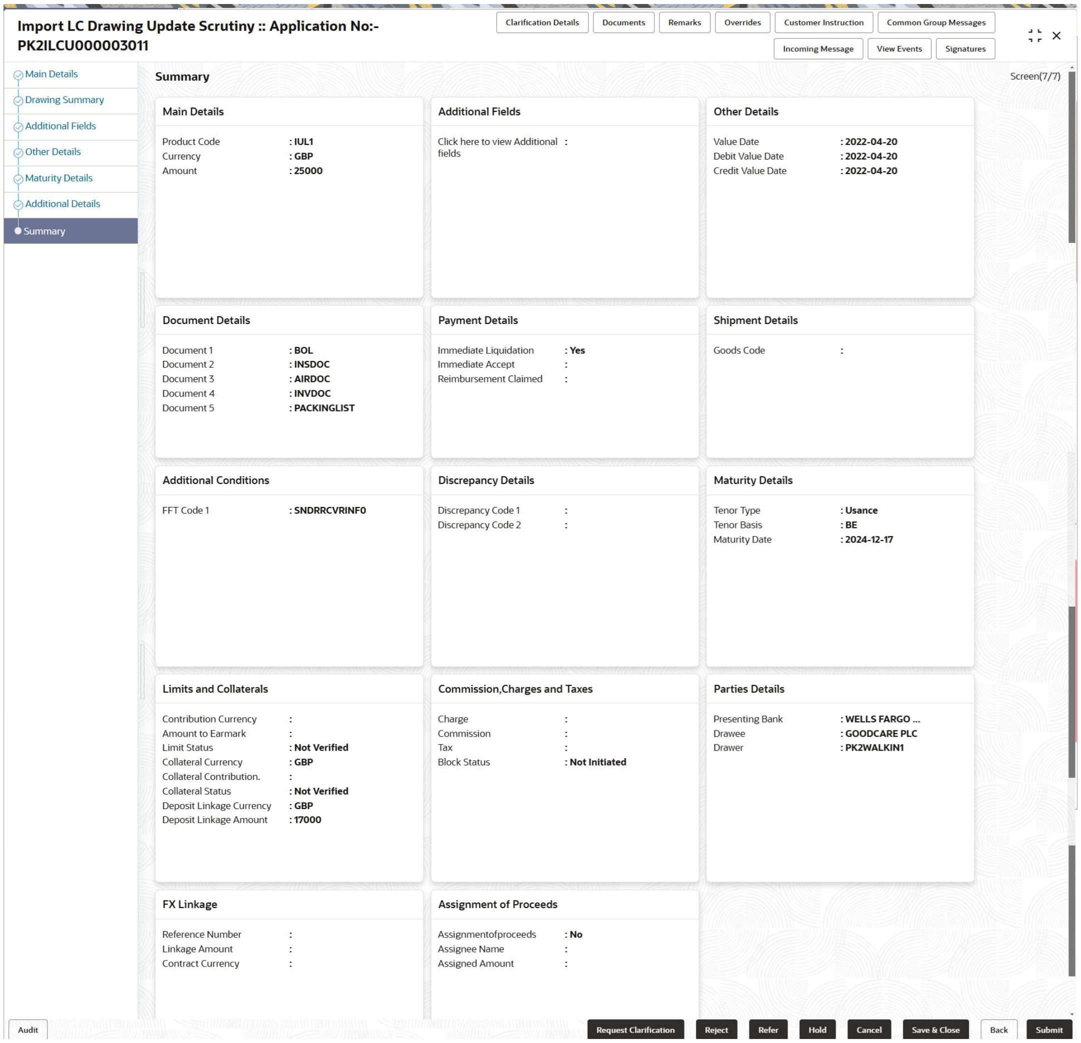
2.3.7 Summary

This topic provides the systematic instructions to view the summary of import LC Update Drawing.

User can review the summary of details updated in Scrutiny stage of Import LC Update Drawing request.
Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 2-18 Summary



Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Additional Fields - User can view the additional fields.
- Other Details - User can view and modify the other details.
- Document Details - User can view the document details.
- Payment Details - User can view the payment details.
- Shipment Details - User can view the shipment details.
- Additional Conditions - User can view additional conditions of the drawing
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view charge details.
- Parties Details - User can view party details like applicant, advising bank etc.
- FX Linkage - User can view FX linkage details.

- Assignment of Proceeds - User can view assignment of proceeds details.

2. Click **Submit**.

   The task will move to next logical stage.

Table 2-26 Summary - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the lc drawings update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Displays the incoming message, if any.
View Events	Click this button to view all the events under the Drawing LC Issued till date.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.

Table 2-26 (Cont.) Summary - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Submit	Task will get moved to next logical stage of Import LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.

2.4 Data Enrichment

This topic provides the systematic instructions to initiate the Data Enrichment stage of import LC update drawing request.

On successful completion of Registration of an Import LC update drawing, the task moves to Data Enrichment stage. As part of Data Enrichment, user can enter/update basic details of the amendment request and can verify if the request can be progressed further.
Data Enrichment is applicable only for non-discrepant drawings.

Note

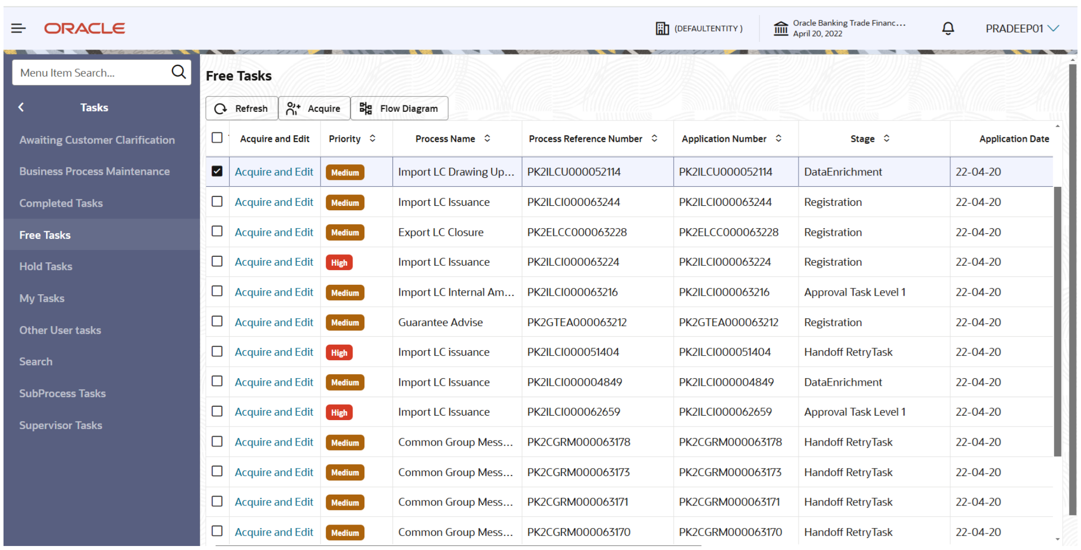
For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Data Enrichment stage:

Specify **User ID** and **Password**, and login to **Home** screen.

1. On **Home** screen, click, **Tasks**.
2. Under **Tasks**, click **Free Tasks**.

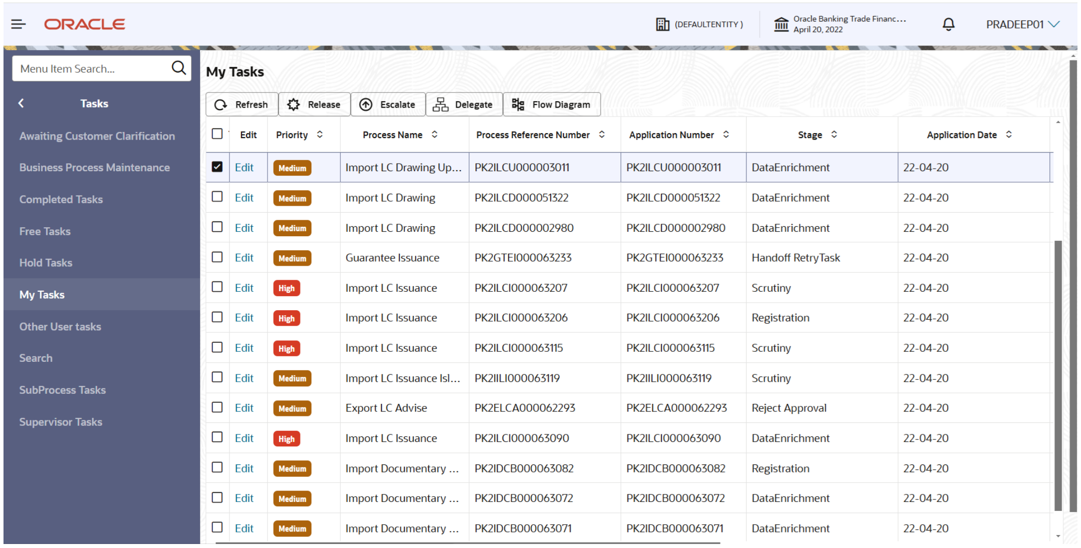
Figure 2-19 Free Tasks



The **Free Tasks** screen gets displayed.

3. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.
4. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Figure 2-20 My Tasks



Let’s look at the details for Data Enrichment stage. User can enter/update basic details of the incoming request.
The Data Enrichment stage has the following hops for data capture:

- Main Details
  This topic provides the systematic instructions to initiate the Data Enrichment stage of import LC Drawing Update request.
- Drawing Summary
  This topic provides the systematic instructions to capture the Drawing Summary details.
- Other Details
  This topic provides the systematic instructions to capture the other details like Shipping Guarantee reference, Credit Value Date, Debit Value Date, Other Bank charges etc.
- Additional Fields
  This topic provides the systematic instructions to capture the additional fields.
- Advices
  This topic provides the systematic instructions to capture the advices details.
- Maturity Details
  This topic provides the systematic instructions to capture the maturity details.
- Additional Details
  This topic provides the systematic instructions to capture the additional details.
- Settlement Details
  This topic provides the systematic instructions to capture the settlement details of import LC Drawing Update request.
- Summary
  This topic provides the systematic instructions to view the summary of import LC drawing update request.

2.4.1 Main Details

This topic provides the systematic instructions to initiate the Data Enrichment stage of import LC Drawing Update request.

Main details section has two sub section as follows:

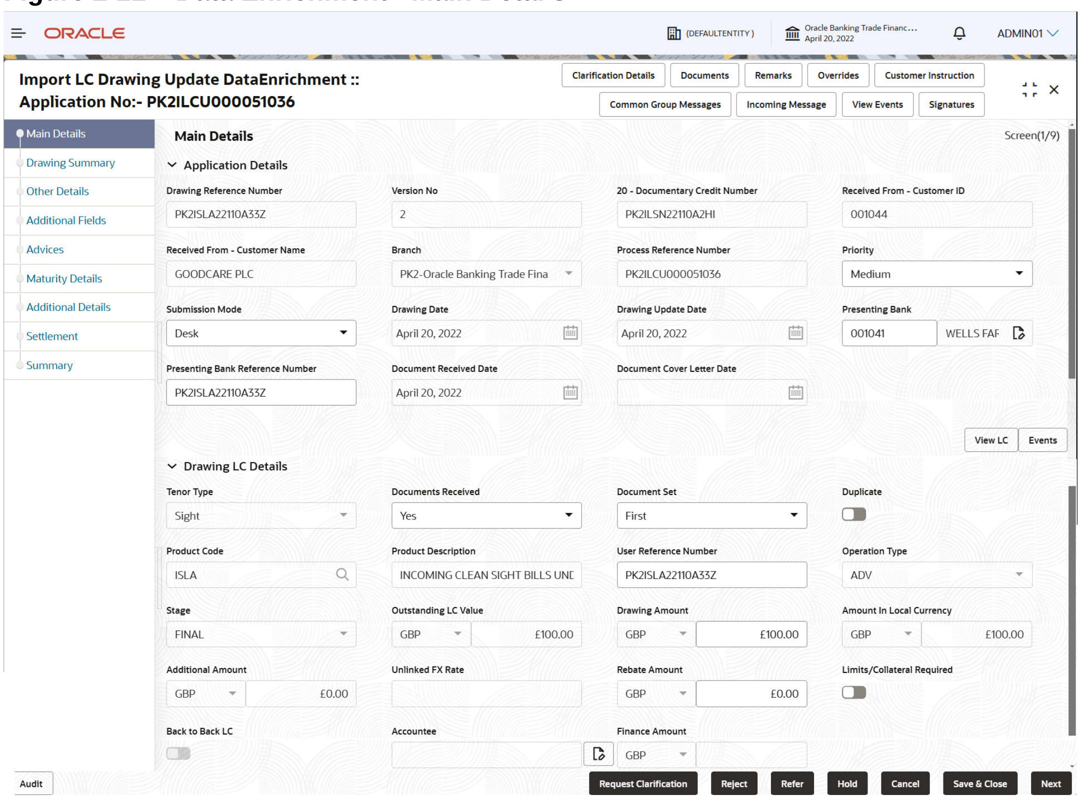
- Application Details
- Drawing LC Details.

Application Details

All fields displayed under main details section, would be read only except the **Priority**. For more information on the fields, refer **Application Details** of **Registration** stage .

1. On **Data Enrichment - Main Details** screen, specify the fields that were not entered at Registration stage.

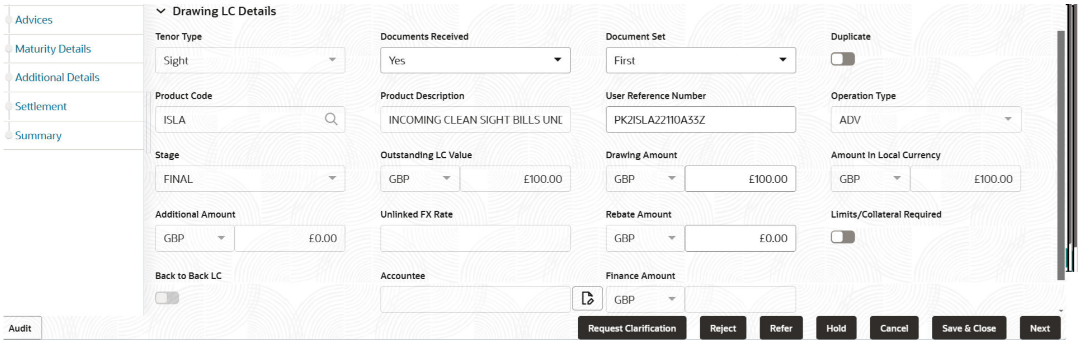
Figure 2-21 Data Enrichment - Main Details



For more information on the fields, refer Table 2-6of **Scrutiny** stage.

Drawing LC Details
The fields listed under this section are read only fields.

Figure 2-22 Drawing LC Details



For more information on the fields, refer Table 2-7of **Scrutiny** stage.

2. Click **Next**.

   The task will move to next data segment.
   For more information on **Action Buttons**, refer Table 2-6 of **Scrutiny** stage.

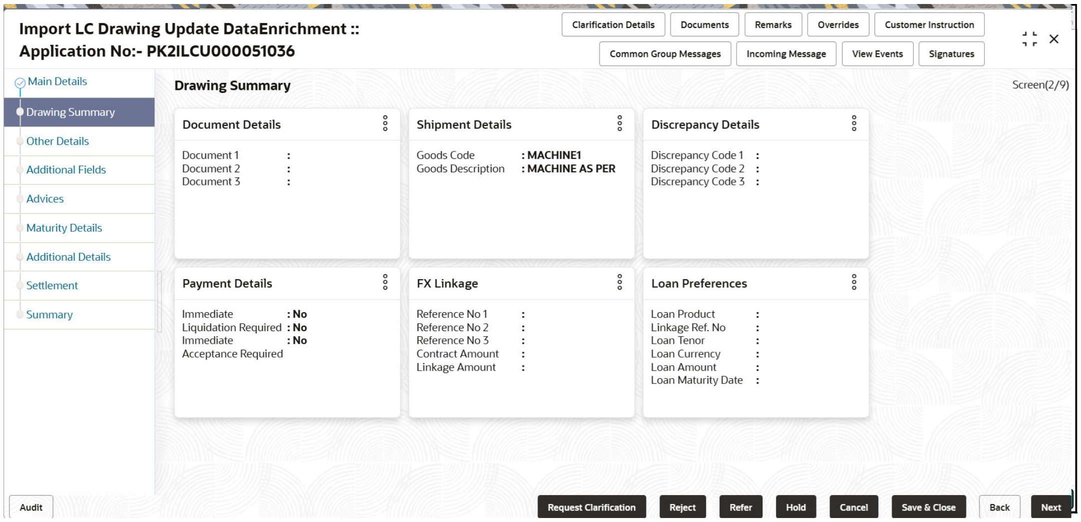
2.4.2 Drawing Summary

This topic provides the systematic instructions to capture the Drawing Summary details.

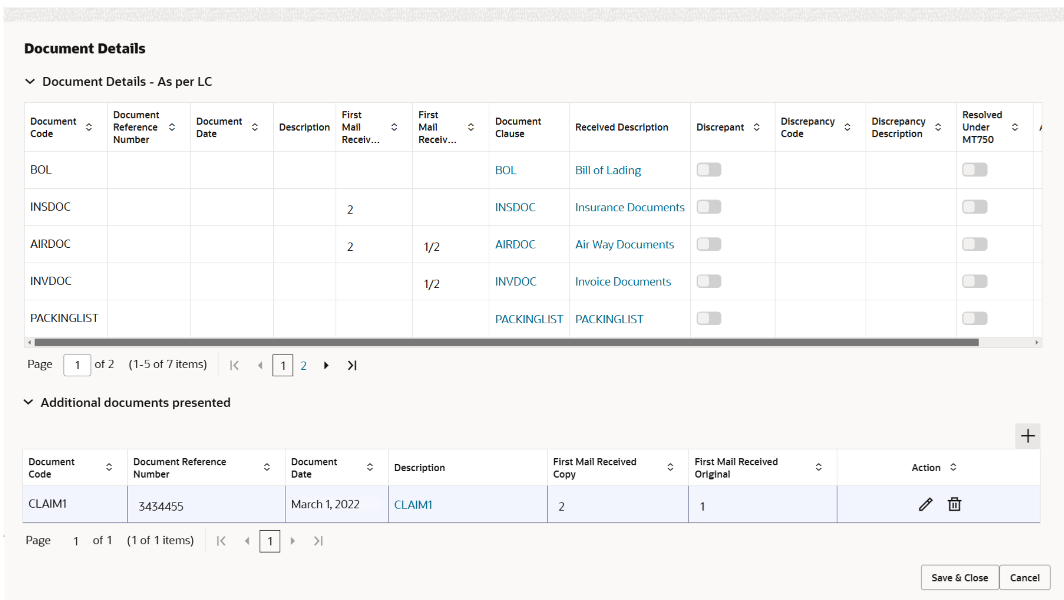
User can verify the documents received and identify discrepancies, if any. User can compare the document received with the required documents and identify the discrepancies, if any.

1. On **Drawing Summary** screen, click the 3 dots on any Drawing Summary tile to view the details.

Figure 2-23



Document Details

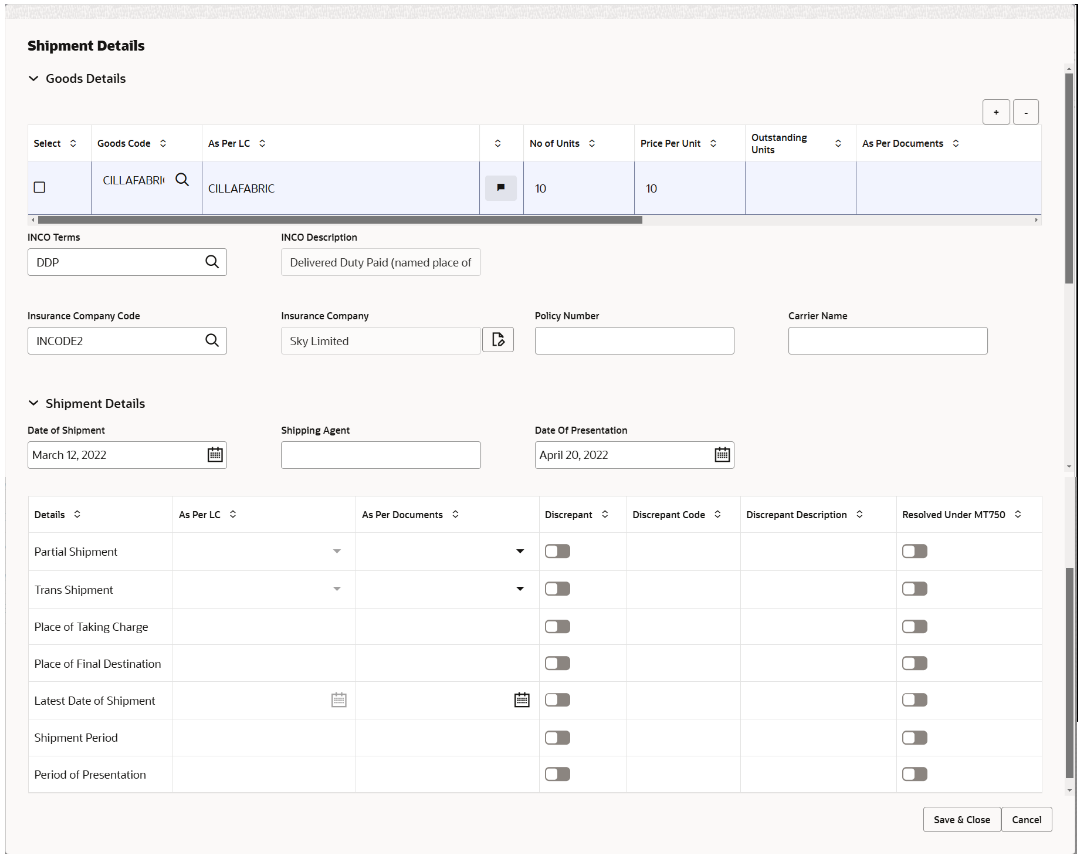


For more information on fields, refer Table 2-10 of Scrutiny stage.

2. Click **Save and Close** to save the details and close the screen.

Shipment Details

Provide the Shipment Details based on the description in the following table.



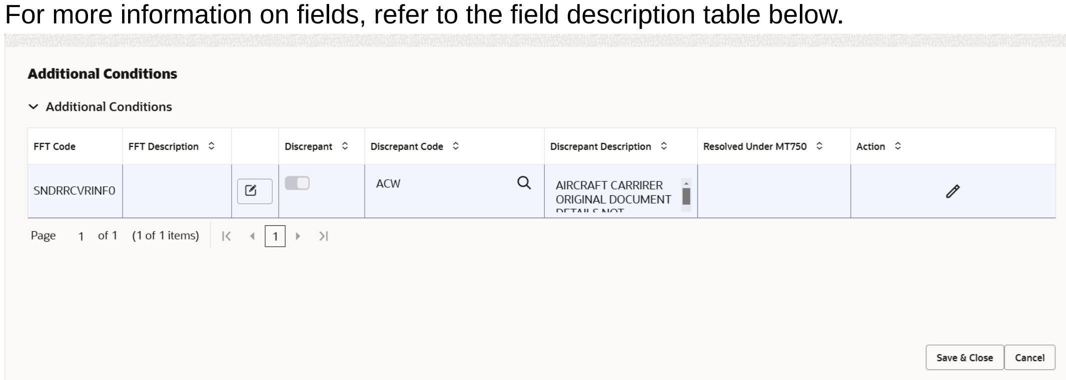
For more information on fields, refer Table 2-11 of Scrutiny stage.

3. Click **Save and Close** to save the details and close the screen.

Additional Conditions

This section displays the list of additional conditions as per LC.

For more information on fields, refer to the field description table below.



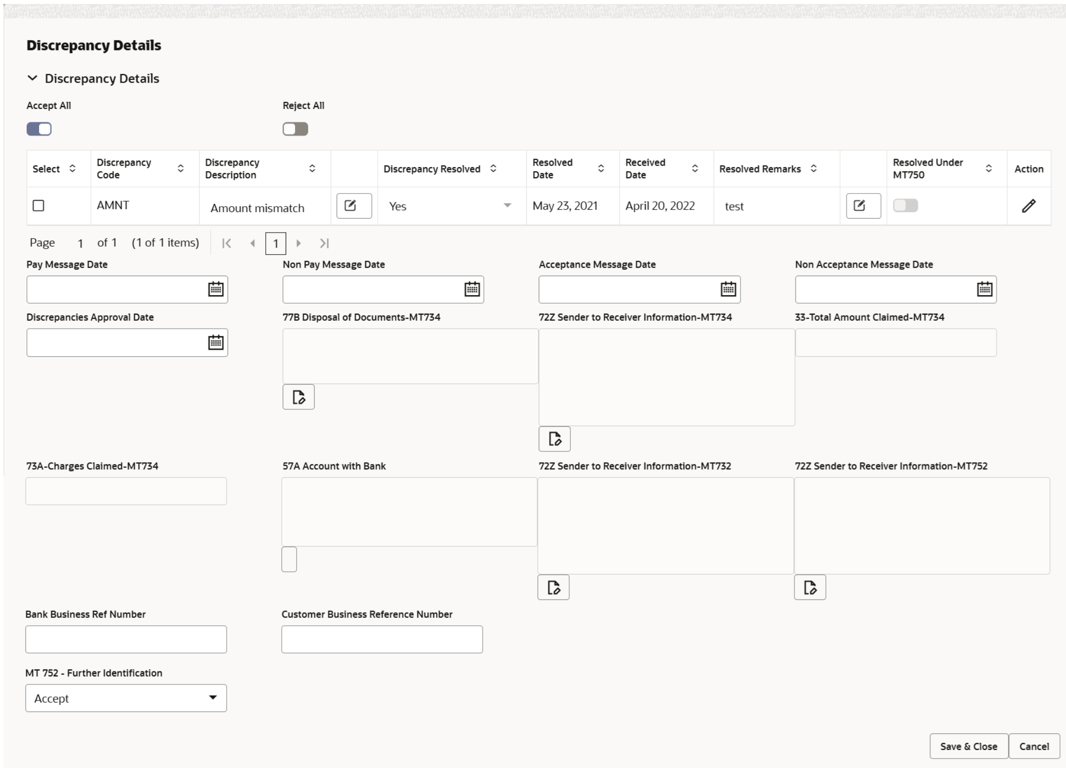
For more information on fields, refer Table 2-12 of Scrutiny stage.

4. Click **Save and Close** to save the details and close the screen.

Discrepancy Details

This section displays the list of discrepancies captured. The discrepancies which are resolved under MT750 will not be available in the discrepancy summary

For more information on fields, refer to the field description table below.



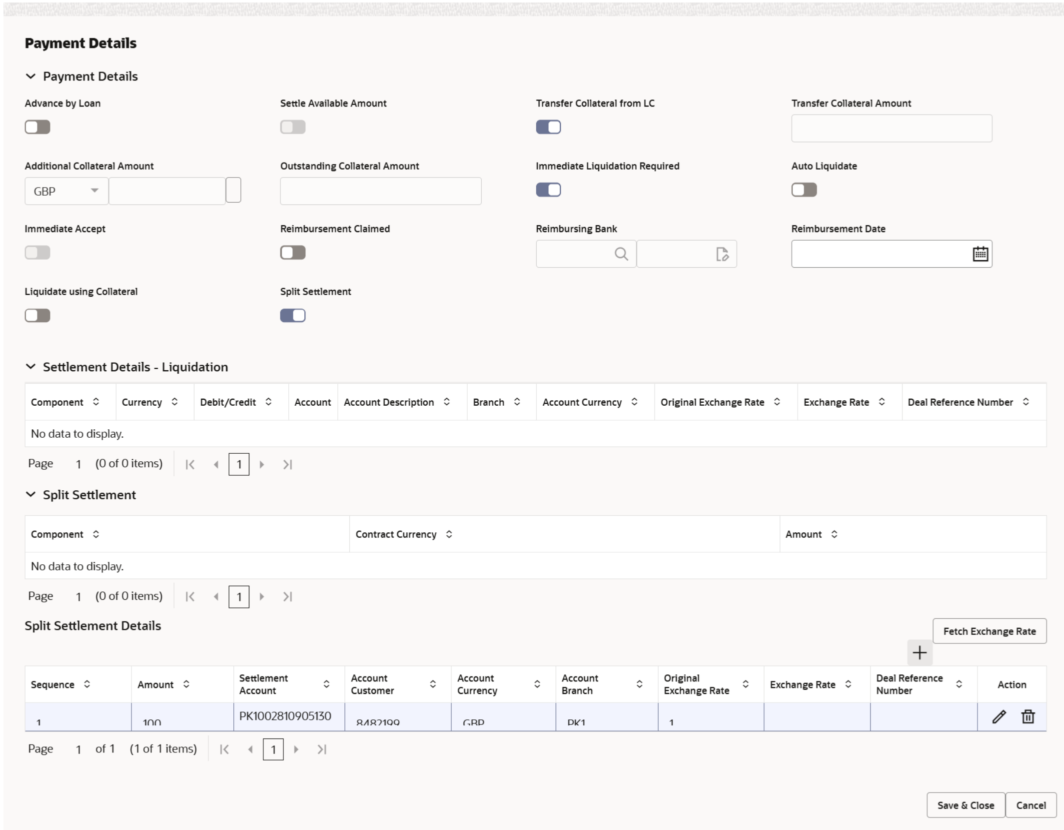
For more information on fields, refer Table 2-13 of Scrutiny stage.

5. Click **Save and Close** to save the details and close the screen.

Payment Details

This section displays the Payment details.

For more information on fields, refer to the field description table below.



For more information on fields, refer Table 2-23 of Scrutiny stage.

6. Click **Save and Close** to save the details and close the screen.

FX Linkage

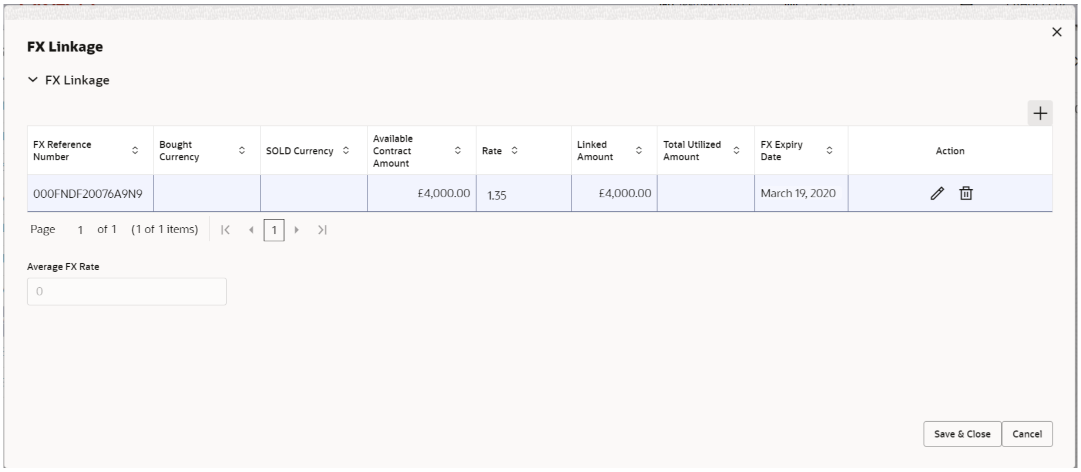
This section enables the user to link the existing FX contract(s) to the Bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.

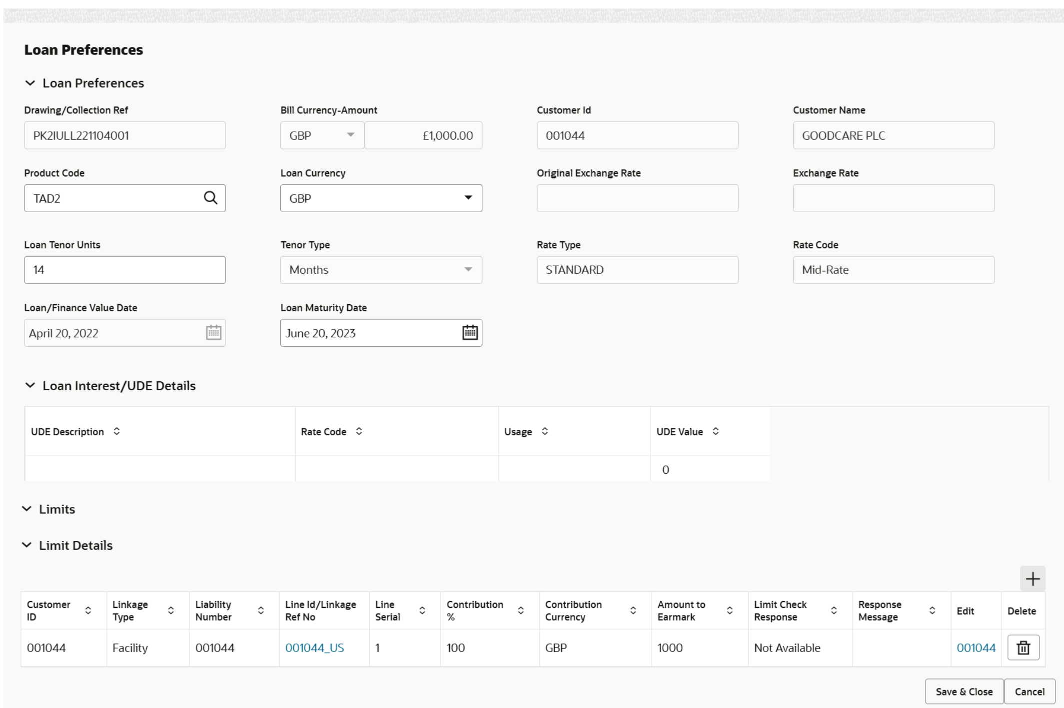
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.



For more information on fields, refer Table 2-14 of Scrutiny stage.

7. Click **Save and Close** to save the details and close the screen.

Loan Preferences



For more information on fields, refer to the field description table below.

Table 2-27 Loan Preferences - Field Description

Field	Description
Loan Preferences	Specify the loan preferences based on the description of following table.

Table 2-27 (Cont.) Loan Preferences - Field Description

Field	Description
Drawing/Collection Ref	Read only field. This field is defaulted from the underlying task.
Bill Currency-Amount	Read only field. Outstanding drawing/ collection currency and amount is defaulted from the underlying task.
Customer Id	Read only field. This field is defaulted from the underlying task.
Customer Name	Read only field. Applicant/ Drawee Name is defaulted from the underlying task.
Product Code	This field is defaulted from the underlying Collection/ Drawing Product maintenance. The user can change the product code.
Loan Currency	Loan currency is defaulted from the bill currency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency. System displays the loan account based on the outstanding drawing/ Collection amount less the collateral amount.
Original Exchange Rate	Read only field. This field displays the Original Exchange rate.
Exchange Rate	Read only field. Indicates the exchange rate applicable for local currency. This field will be enabled only if the Drawing currency and Loan Currency are different. If FX linkage is available, system to display the Exchange rate from FX linkage. System will display the card rate, if FX linkage is not applicable.
Loan Tenor Units	This field displays the period of loan. System defaults the value based on Product Code. User can change the value. The numerical value for Days or months or Years is applicable. The user can change the value of Loan Tenor Units.
Tenor Type	Read only field. System defaults the value based on Product Code. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable.
Rate Type	System defaults the rate type as part of simulation.
Rate Code	System populates the Rate code as part of simulation.
Loan/Finance Value Date	Read only field. System defaults the branch date as Value date. User cannot change the value.
Loan Maturity Date	Loan maturity date is defaulted based on Tenor type and Tenor units. The user can change the value of Loan maturity date.
Loan Interest/UDE Details	This section displays the **Loan Interest/UDE**details.
User Defined Element ID	System populates the UDE Element ID as part of simulation.
UDE Description	System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description.
Rate Code	System populates the rate code as part of simulation.
Usage	System populates the usage details as part of simulation.

Table 2-27 (Cont.) Loan Preferences - Field Description

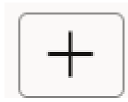
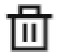
Field	Description
UDE Value	System populates the UDE value as part of simulation.
	Click plus icon to add new limit details.
Limit Details	Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon. Click + plus icon to add new limit details.
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted. User can change the customer ID.
Linkage Type	Select the linkage type. Linkage type can be: • Facility • Liability By default Linkage Type should be "Facility".
Contribution %	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message. **Note** The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.
Liability Number	Click **Search** to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.
Contribution Currency	Read only field. The LC currency will be defaulted in this field.

Table 2-27 (Cont.) Loan Preferences - Field Description

Field	Description
Line ID/Linkage Ref No	Click **Search** to search and select from the various lines available and mapped under the customer id gets listed in the drop-down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount. The user can click the Line Id link to view the limit details. **Note** User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field. This field is disabled and read only, if **Linkage Type** is Liability.
Limit/Liability Currency	Read only field. This field displays the limit currency, when the user select the **Liability Number**.
Limits Description	Read only field. This field displays the limits description.
Limit Check Response	Read only field.This field displays the limit check response. Response can be ‘Success’ or ‘Limit not Available’ based on the limit service call response. The value in this field appears, if you click the **Verify** button.
Amount to Earmark	This field defaults the amount to earmark. Contribution amount will default based on the contribution %. User can change the value.
Expiry Date	Read only field. This field displays the date up to which the Line is valid.
Limit Available Amount	Read only field. This field displays the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the **Verify** button.
Response Message	Read only field. This field displays the detailed response message. The value in this field appears, if you click the **Verify** button.
ELCM Reference Number	Read only field. This field displays the ELCM reference number.
Limit Details grid	Below fields appear in the **Limit Details** grid along with the above fields.
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.
Edit	Click the link to edit the **Limit Details**.

Table 2-27 (Cont.) Loan Preferences - Field Description

Field	Description
	Click delete icon to delete the existing limit details.

8. Click **Save and Close** to save the details and close the screen.
9. Click **Next**.

   The task will move to next data segment.
   For more information on action buttons, refer to the field description table below.

Table 2-28 Data Enrichment - Drawing Summary - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the lc drawings. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Displays the incoming message, if any.
View Events	Click this button to view all the events under the LC Issued till date.

Table 2-28 (Cont.) Data Enrichment - Drawing Summary - Action Buttons - Field Description

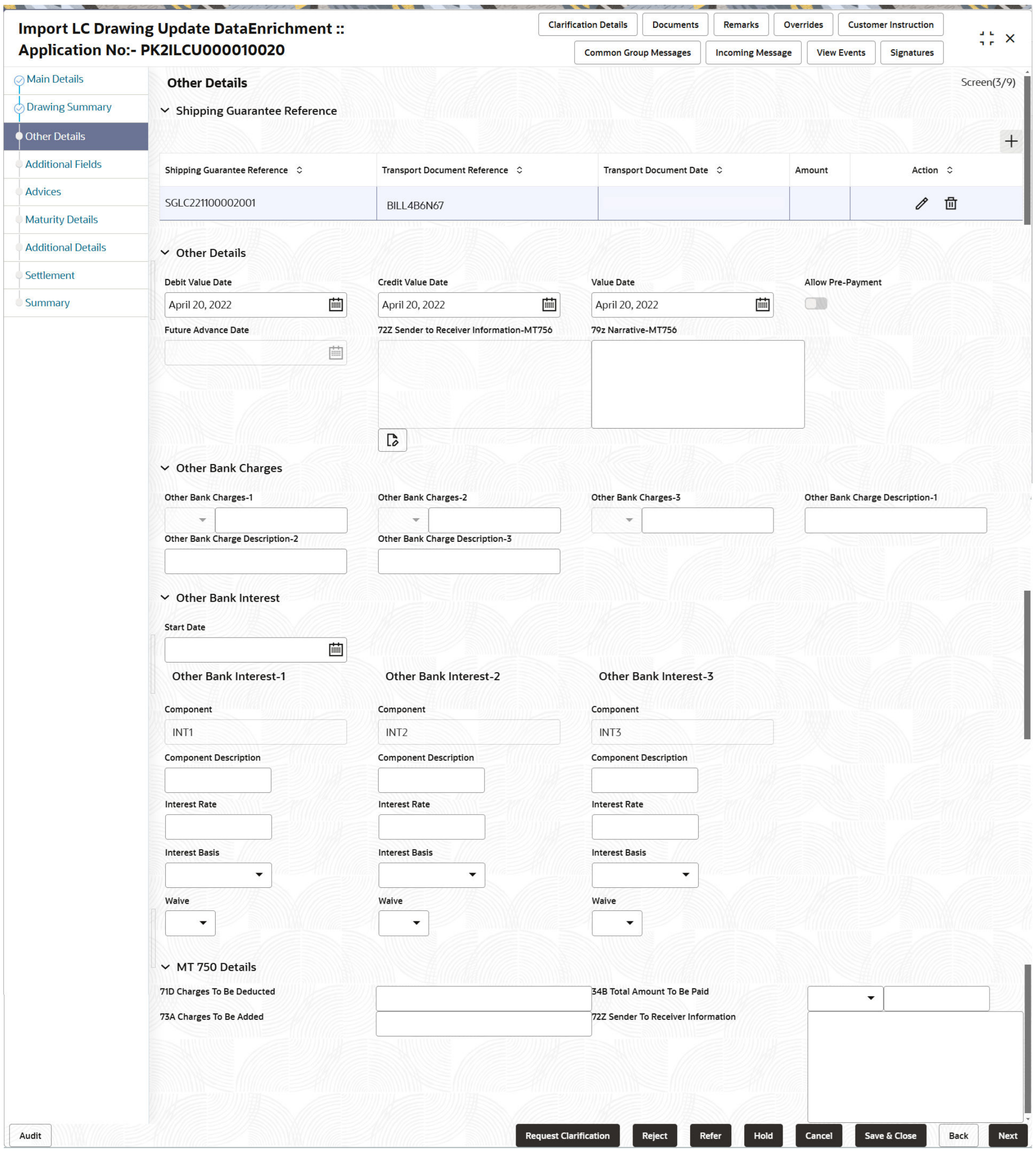
Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Scrutiny stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.4.3 Other Details

This topic provides the systematic instructions to capture the other details like Shipping Guarantee reference, Credit Value Date, Debit Value Date, Other Bank charges etc.

1. On **Other Details** screen, specify the fields that were not entered at Registration stage.

Figure 2-24 Other Details



For more information on fields, refer to the Table 2-17 table of **Scrutiny** stage.

2. Click **Next.**

   The task will move to next data segment.

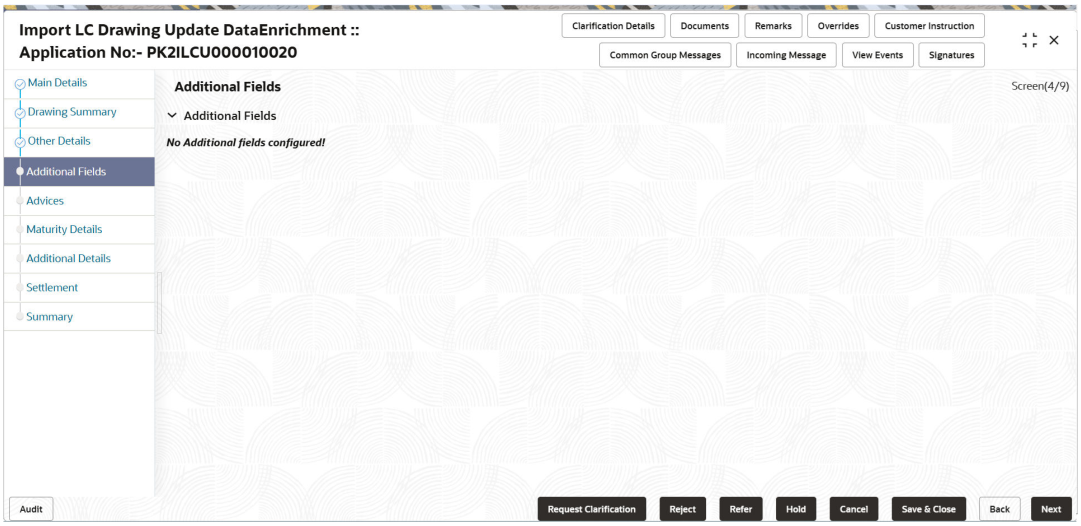
2.4.4 Additional Fields

This topic provides the systematic instructions to capture the additional fields.

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

1. On **Data Enrichment - Additional Fields** screen, specify the fields, if any.

Figure 2-25 Additional Fields



2. Click **Next**.

   The task will move to next data segment.
   For more information on action buttons, refer to the Table 2-16 table of **Scrutiny** stage.

2.4.5 Advices

This topic provides the systematic instructions to capture the advices details.

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can suppress the advice, if required.

1. On **Advices** screen, click the 3 dots on any advice tile to view the advice details.

Figure 2-26 Advices

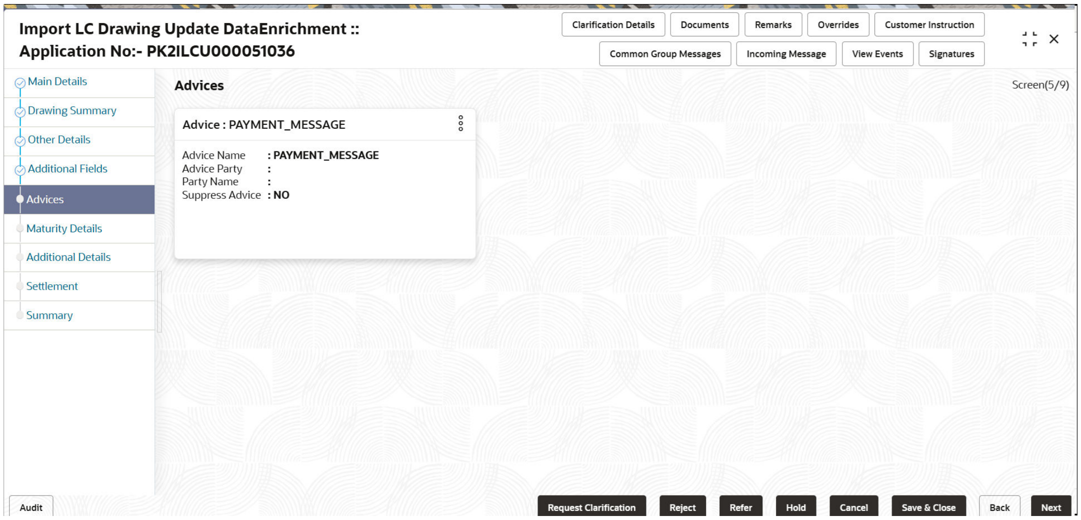
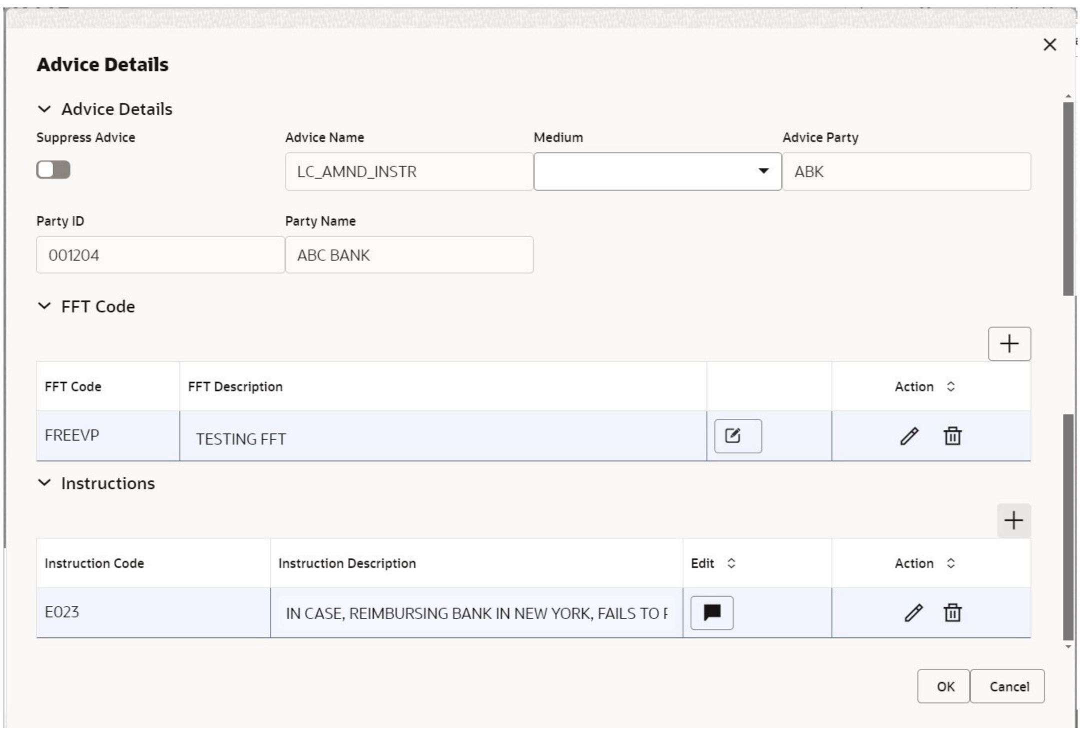
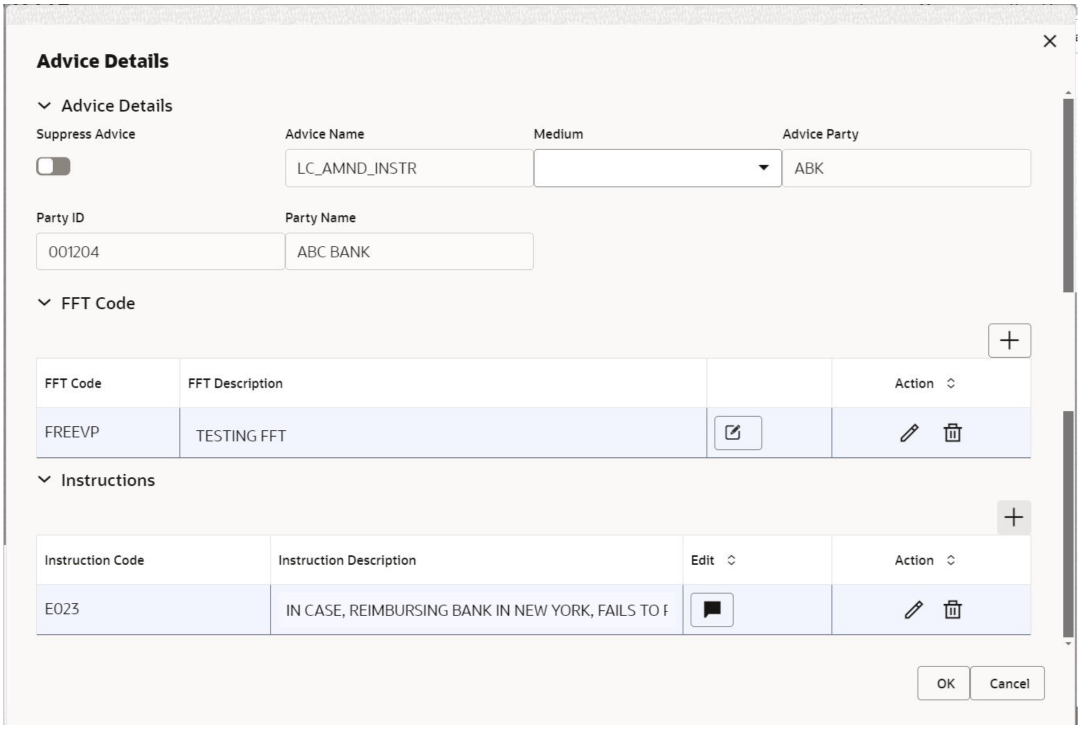


Table 2-29 Advices Details



Field	Description
Suppress Advice	Switch to , to suppress the advice. Switch to , if suppress advice is not required.
Advice Name	Read only field. Displays the advice name defaulted from drawing LC.

Table 2-29 (Cont.) Advices Details



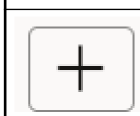
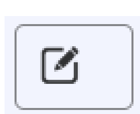
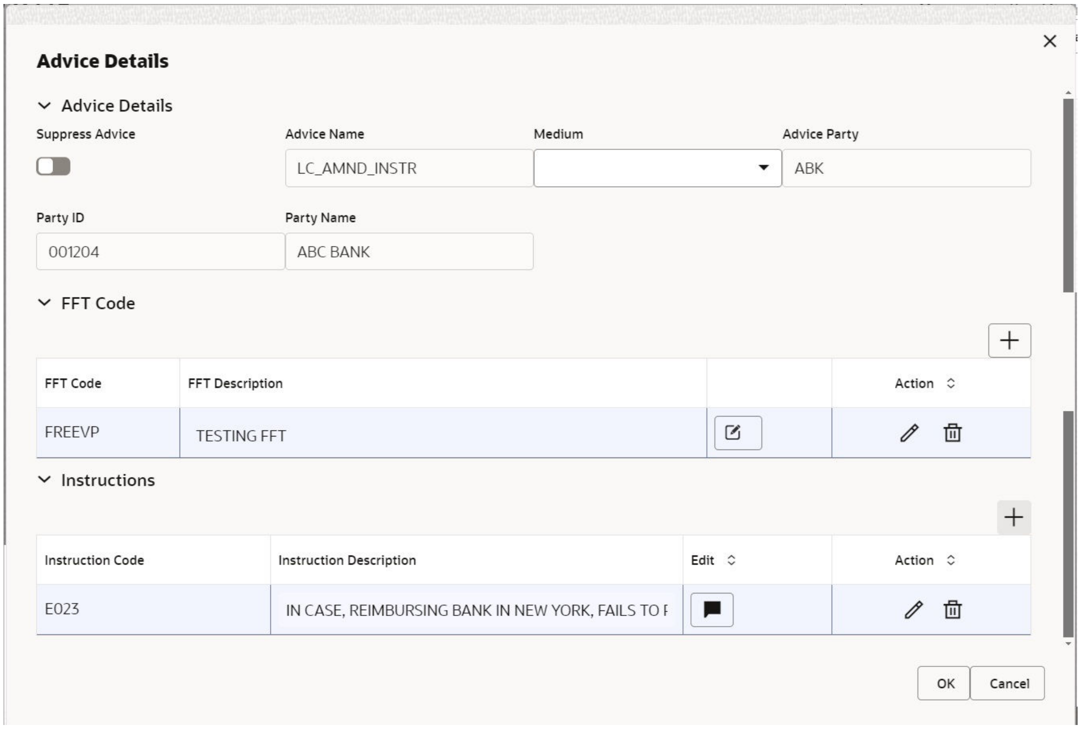
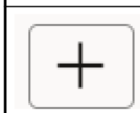
Field	Description
Medium	Displays the medium of advices is defaulted from the transfer LC. User can update if required.
Advice Party	Read only field. Displays the advice party, defaulted from drawing LC.
Party ID	Read only field. Displays the party Id defaulted from drawing LC.
Party Name	Read only field. Displays the party name defaulted from drawing LC.
Free Format Text	Specify the free format text based on the following table.
	Click plus icon to add new FFT code.
FTT Code	Click **Search** to search and select the FFT Code.
FFT Description	FFT description is populated based on the FFT code selected. User can edit the FFT description.
	Click edit icon to edit the existing FFT description.
Action	Click edit icon to edit the existing FFT code. Click delete icon to remove any existing FFT code.
Instruction Details	Specify the instrunction details based on the following table.

Table 2-29 (Cont.) Advices Details



Field	Description
	Click plus icon to add new instruction code.
Instruction Code	Click **Search** to search and select the instruction Code.
Instruction Description	Instruction description is populated based on the instruction code selected. User can edit the instruction description.
	Click edit icon to edit the existing instruction description.
Action	Click delete icon to remove any existing instruction code. Click edit icon to edit the existing instruction code.

2. Click **Next**.

   The task will move to next data segment.
   For more information on fields, refer to the field description table below.

Table 2-30 Advices - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.

Table 2-30 (Cont.) Advices - Action Buttons - Field Description

Field	Description
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the lc drawings update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Displays the incoming message, if any.
View Events	Click this button to view all the events under the LC Issued till date.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Request Clarification	Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.

Table 2-30 (Cont.) Advices - Action Buttons - Field Description

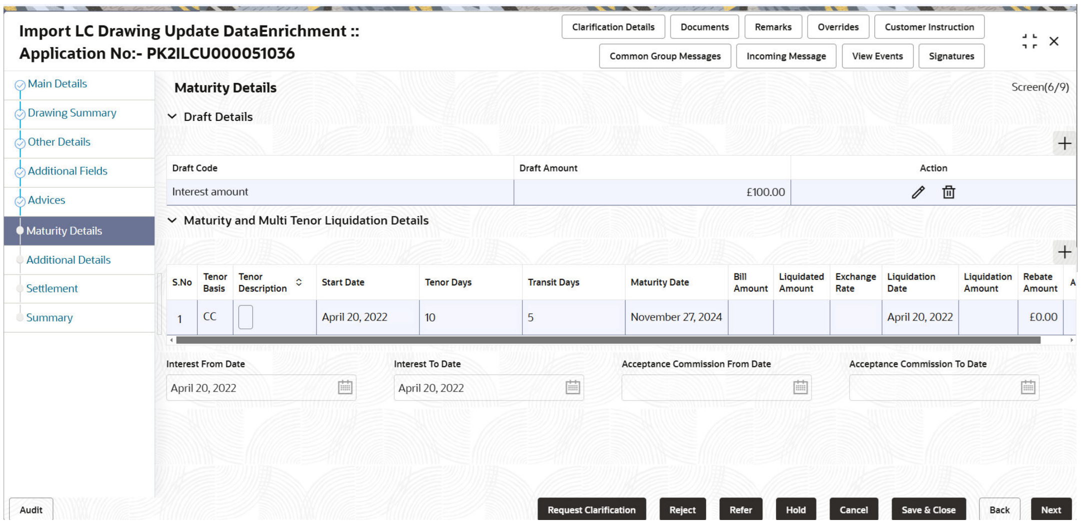
Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Save & Close	Save the details provided and holds the task in ‘My Task’ queue for further update. This option will not submit the request.
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

2.4.6 Maturity Details

This topic provides the systematic instructions to capture the maturity details.

1. On **Maturity Details** screen, specify the fields.

Figure 2-27 Maturity Details



For more information on fields, refer to the Table 2-19 table of **Scrutiny** stage.

2. Click **Next**.

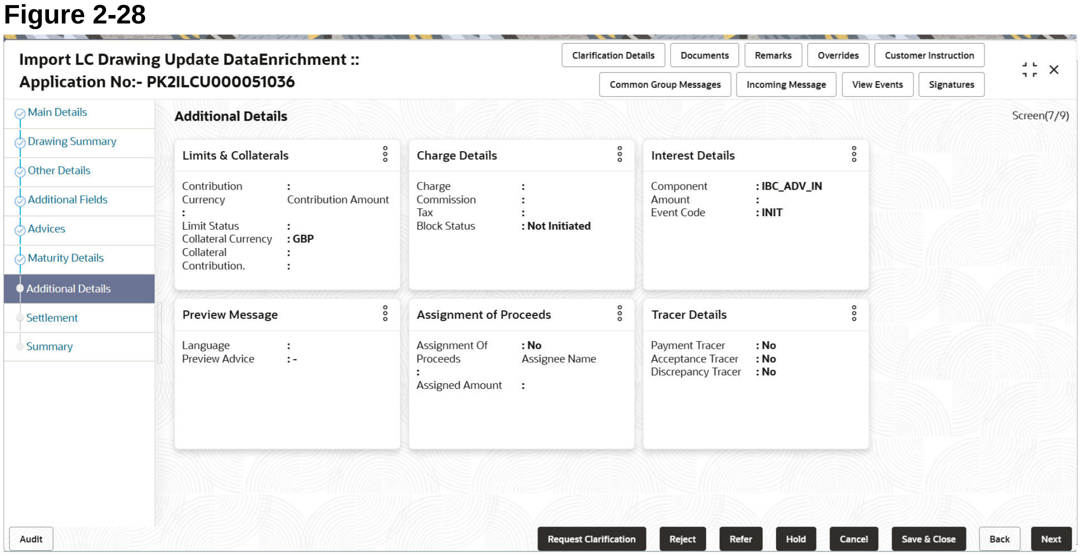
   The task will move to next data segment.
   For more information on action buttons, refer to the Table 2-20 table of **Scrutiny** stage.

2.4.7 Additional Details

This topic provides the systematic instructions to capture the additional details.

1. On **Additional Details** screen, click the 3 dots on any Additional Details tile to view the details.

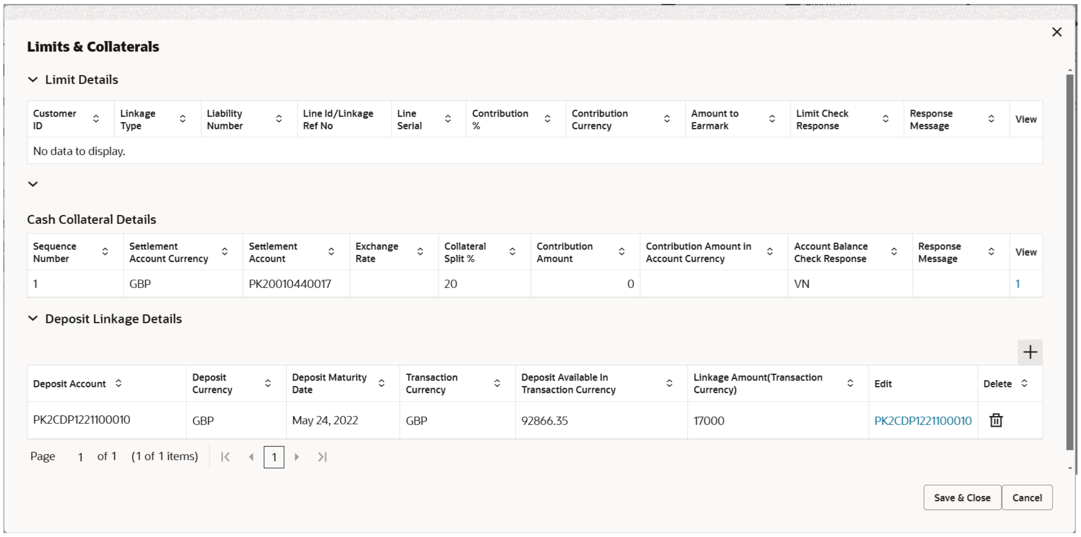
Figure 2-28



2. Click **Save and Close** to save the details and close the screen.

   Limits and Collaterals

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.Provide the Limit Details based on the description in the following table.

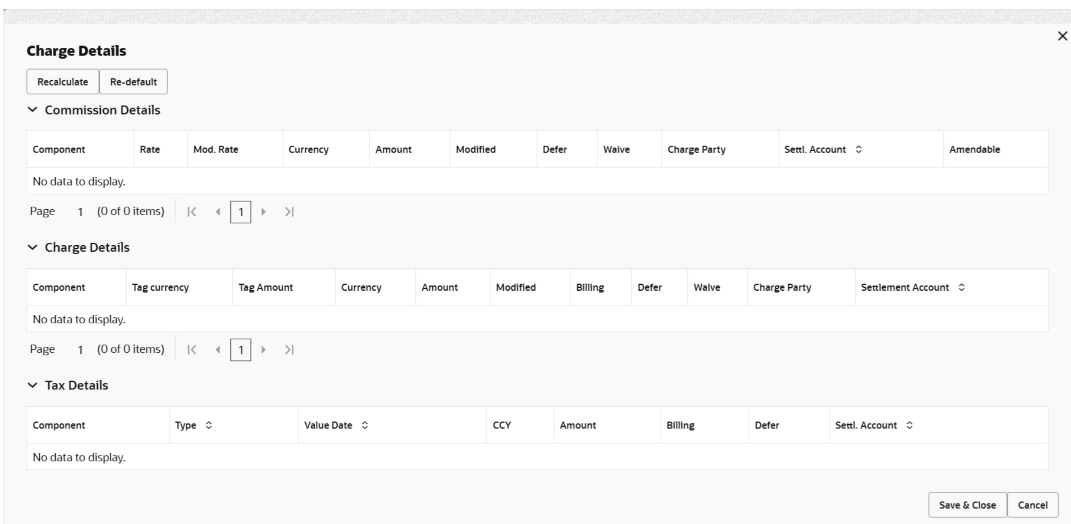


For more information on fields, refer to the Table 2-21 table of **Scrutiny** stage.

3. Click **Save and Close** to save the details and close the screen.

Charge Details

This section displays charge details.

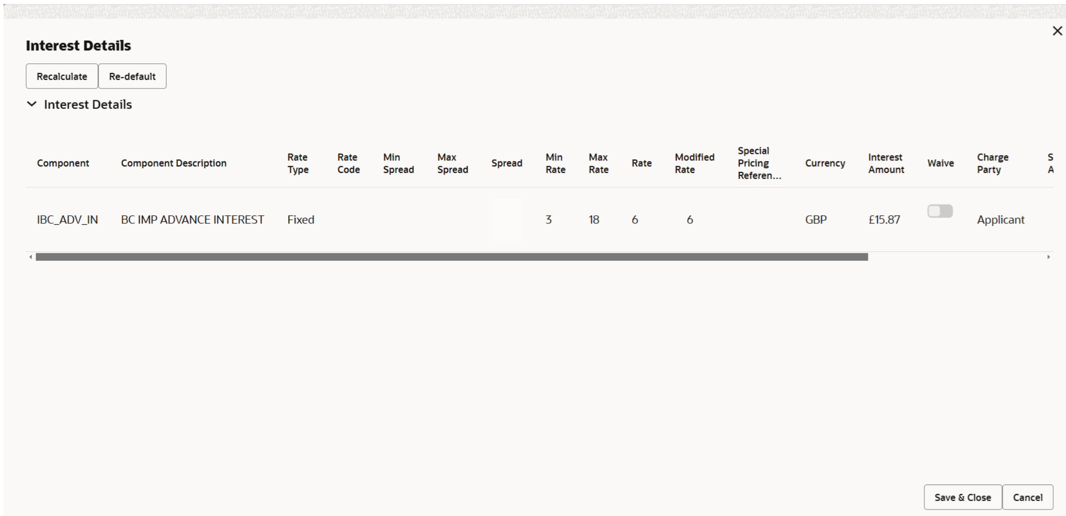


For more information on fields, refer to the Table 2-22 table of **Scrutiny** stage.

4. Click **Save and Close** to save the details and close the screen.

Interest Details

The user can view and modify the Interest Details Simulated from Back Office system.



For more information on fields, refer to the field description table below.

Table 2-31 Interest Details - Field Description

Field	Description
Component	Read only field. This field displays the component maintained in the back office.
Component Description	Read only field. TThis field displays the description of the component.
Rate Type	Read only field. This field displays the rate type maintained for the component in back office. • Fixed • Floating • Special
Rate Code	Read only field. This field displays the rate code applicable for the component.
Min Spread	Read only field. This field displays the minimum spread applicable for the Rate Code. This field have value, if the **Rate Type** is **Floating**.
Max Spread	Read only field. This field displays the maximum spread applicable for the Rate Code. This field have value, if the **Rate Type** is **Floating**.
Spread	Read only field. This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.
Min Rate	Read only field. This field displays the minimum rate applicable for the Rate Code.
Max Rate	Read only field. This field displays the maximum rate applicable for the Rate Code.

Table 2-31 (Cont.) Interest Details - Field Description

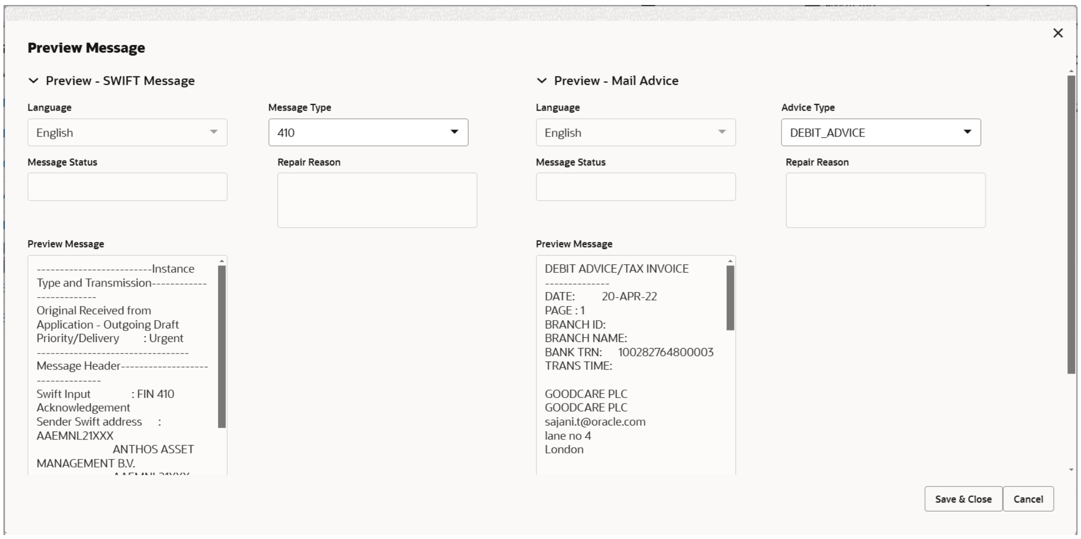
Field	Description
Rate	This field displays the value applicable for the Rate Code. You can modify the value, if the **Rate Type** is **Fixed**. System validates whether the Rate input is between the Minimum and Maximum Rate.
Modified Rate	This field displays the modified rate. This field is editable.
Special Pricing Reference Number	Specify the Special Pricing Reference Number, when there is a special Interest rate to be provided for that customer against the interest component (Main Component). Special Pricing Reference is not applicable for Penal Interest components. For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same. **Note** System displays an override as “Special Pricing Applicable”, on clicking “Save” in the Interest Details screen, if Special Pricing Reference number has been provided.
Currency	Read only field. This field displays the interest currency.
Interest Amount	Specify the interest amount, if the **Rate Type** is **Special**. In other cases , the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.
Waive	This field displays the component maintained in the back office. User can waive the Interest applicable. System displays an override, if the user has waived the Interest.
Charge Party	Read only field. This field displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills.
Settlement Account	This field displays the settlement account of the Charge Party for debit of Interest. User can change the value. System displays an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM).
Settlement Currency	Read only field. System defaults the Settlement Currency of the Charge Party for debit of Interest based on the selected settlement account.

Table 2-31 (Cont.) Interest Details - Field Description

Field	Description
Settlement Branch	Read only field. System defaults the Settlement branch based on the selected settlement account.

5. Click **Save and Close** to save the details and close the screen.

Preview Messages
The draft LC message from the back office should be simulated and displayed in the Preview Message tile.



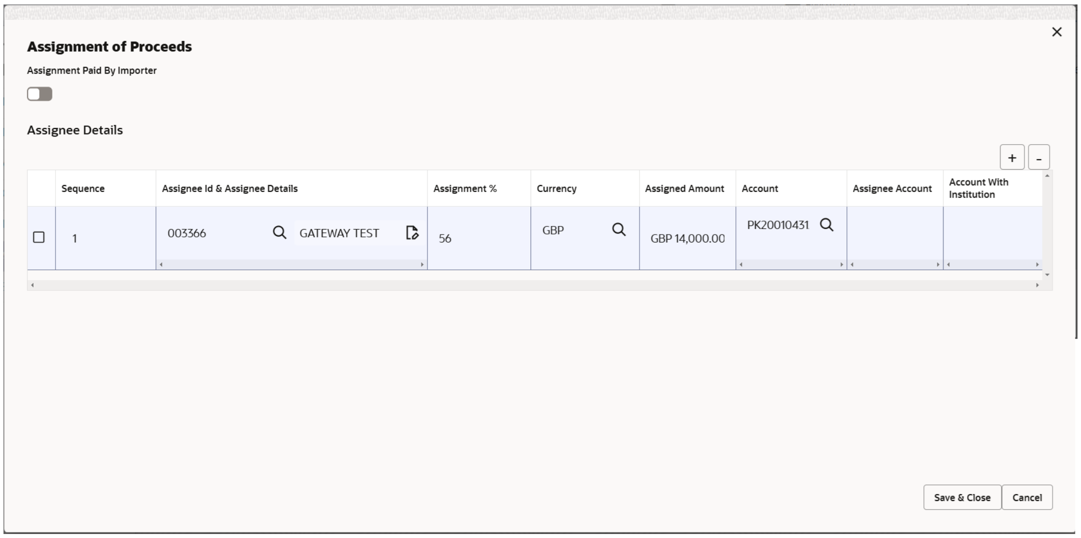
For more information on fields, refer to the field description table below.

Field	Description
Preview SWIFT Message	This section displays the preview of SWIFT Messages fields.
Language	Read only field. English is set as default language for the preview.
Message Type	Select the message type. User can select to view the preview of different message like MT 700, MT 740 and M
Message Status	Read only field. Display the message status of draft message of drawing details.
Repair Reason	Read only field. Display the message repair reason of draft message of drawing details.
Preview Message	This field displays a preview of the draft message.
Preview Mail Advice	This section displays the preview of Mail Advice fields.
Language	Read only field. English is set as default language for the preview.
Advice Type	Select the advice type.
Message Status	Read only field. Display the message status of draft message of drawing details.

Field	Description
Repair Reason	Read only field. Display the message repair reason of draft message of drawing details.
Preview Message	This field displays a preview of the draft message.

6. Click **Save and Close** to save the details and close the screen.

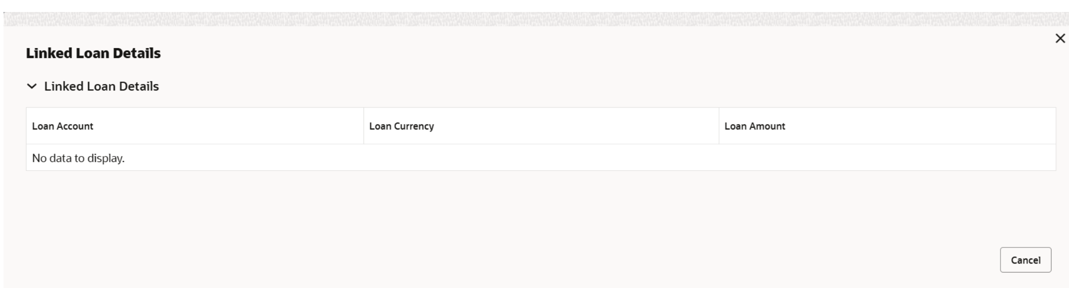
Assignment of Proceeds
In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.



For more information on fields, refer to the field description table Table 2-24 of **Scrutiny** stage.

7. Click **Save and Close** to save the details and close the screen.

Linked Loan Details
The bank user can view the details of linked loan accounts.



For more information on fields, refer to the field description table below.

Table 2-32 Linked Loan Details - Field Description

Field	Description
Linked Loan Details	
Loan Account	This field displays the details of the linked loan account.
Loan Currency	This field displays the loan currency of the linked loan account.

Table 2-32 (Cont.) Linked Loan Details - Field Description

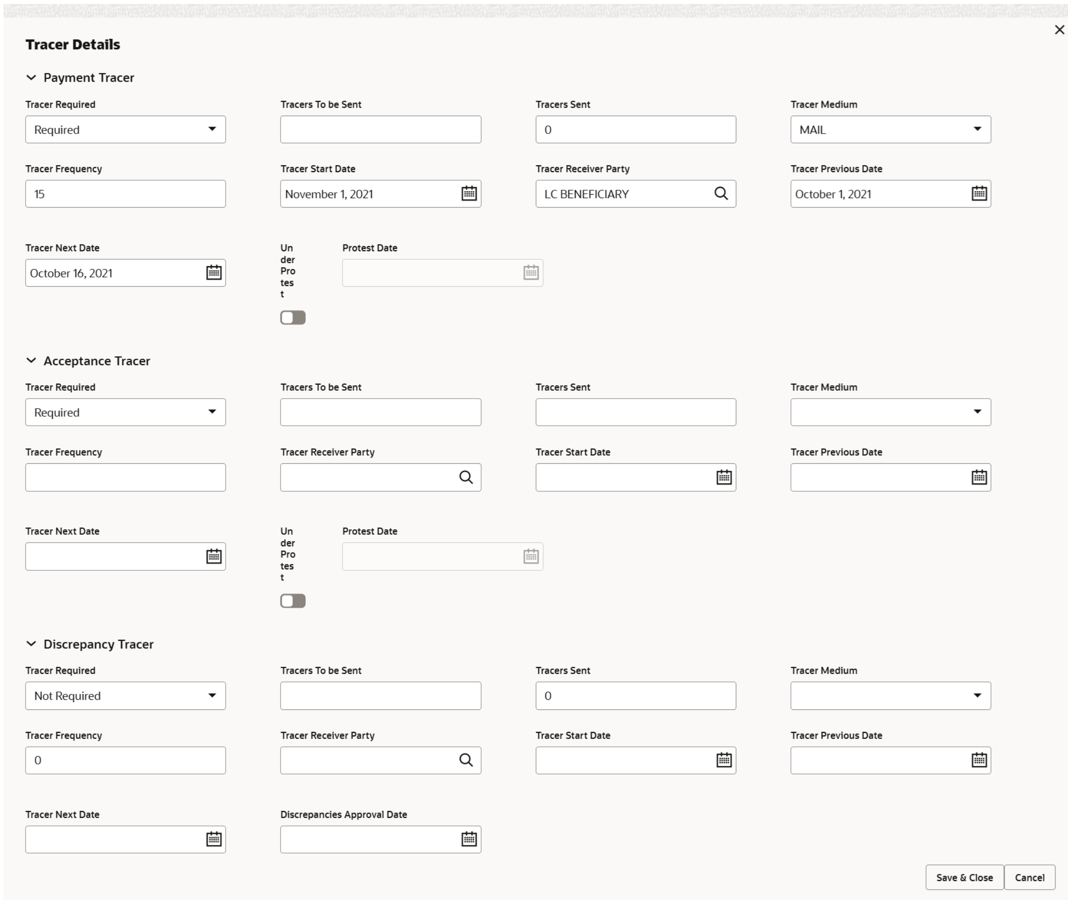
Field	Description
Loan Amount	This field displays the loan amount of the linked loan account.

8. Click **Save and Close** to save the details and close the screen.

Tracer Details

This section enables the user to view the default Tracer details from back end application. User can trace the response for the discrepancies identified.

Payment Tracer, Acceptance Tracer and Discrepancy Tracer are applicable for this process.



For more information on fields, refer to the field description table below.

Table 2-33 Tracer Details

Field	Description
Payment Tracer/ Acceptance Tracer	Specify the Payment/Acceptance Tracer based on the field description in the following table:
Tracer Required	Select the option, whether the tracer details to be captured or not. The options are: • **Required** • **Not Required** • **Till Resolved**
Tracers To be Sent	Specify the number of tracers to be sent.

Table 2-33 (Cont.) Tracer Details

Field	Description
Tracers Sent	Specify the actual number of tracers sent.
Tracer Medium	Select the tracer medium from the drop-down list. • **MAIL** • **SWIFT**
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc. The user can chane the tracer frequency.
Tracer Start Date	Select or specify the tracer start date. Start date cannot be earlier than the system date.
Tracer Receiver Party	Click **Search** to search and select the tracer receiver party.
Tracer Previous Date	Select the tracer previous date.
Tracer Next Date	Select the tracer next date.
Under Protest	Switch to  , if tracer is under protest.
Protest Date	Displays the tracer protest date.
Discrepancy Tracer	Specify the Discrepancy Tracer based on the field description in the following table:
Tracer Required	Select the option, whether the tracer details to be captured or not. The options are: • **Required** • **Not Required** • **Till Resolved**
Tracers To be Sent	Specify the number of tracers to be sent.
Tracers Sent	Specify the actual number of tracers sent.
Tracer Medium	Select the tracer medium from the drop-down list. • **MAIL** • **SWIFT**
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc The user can chane the tracer frequency.
Tracer Receiver Party	Click **Search** to search and select the tracer receiver party.
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the system date.
Tracer Previous Date	Select the tracer previous date.
Tracer Next Date	Select the tracer next date.
Discrepancy Approval Date	Select the date on which discrepancies are approved.

9. Click **Next**.

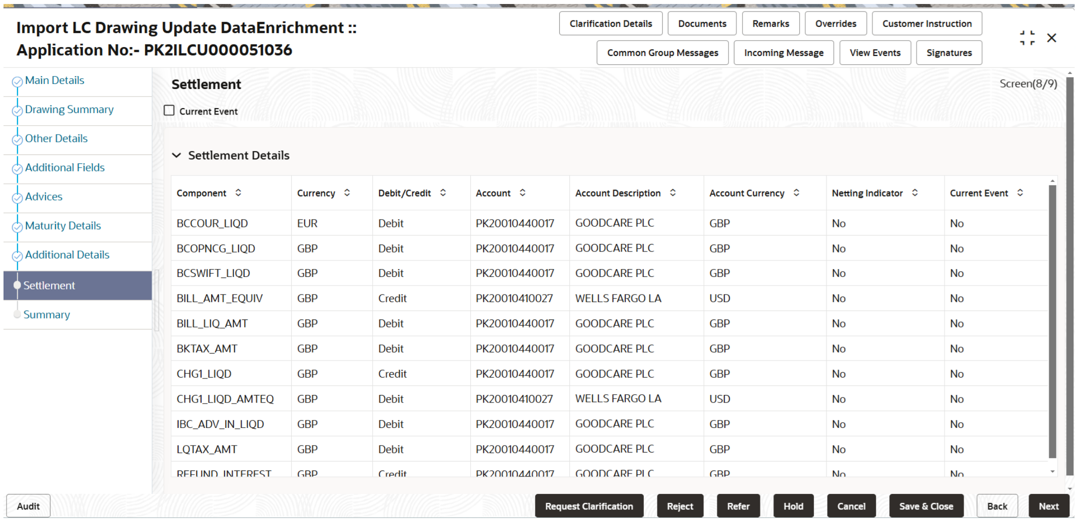
   The task will move to next data segment.
   For more information on action buttons, refer to the Table 2-25 table of **Scrutiny** stage.

2.4.8 Settlement Details

This topic provides the systematic instructions to capture the settlement details of import LC Drawing Update request.

1. On **Settlement Details** screen, specify the fields.

Figure 2-29 Settlement Details



For more information on fields, refer to the field description table below.

Table 2-34 Settlement Details – Field Description

Field	Description
Current Event	Select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event
Component	This field displays the components based on the product selected.
Currency	This field displays the default currency for the component.
Debit/Credit	This field displays the debit/credit indicators for the components.
Account	This field displays the account details for the components.
Account Description	This field displays the the description of the selected account.
Account Currency	This field displays the currency for all the items based on the account number.
Netting Indicator	This field displays the applicable netting indicator.
Current Event	This field displays the current event.

2. Click any component in the grid.

Party Details

Table 2-35 Party Details – Field Description

Field	Description
Transfer Type	Select the transfer type from the drop-down list. The options are: • **Customer Transfer** • **Bank Transfer for own account** • **Direct Debit Advice** • **Managers Check** • **None** • **Customer Transfer with Cover** • **Bank Transfer**
Charge Details	Select the charge details for the transaction. The options are: • **Beneficiary All Charges** • **Remitter Our Charges** • **Remitter All Charges**
Netting Indicator	Select the netting indicator for the component. The options are: • **Yes** • **No**
Ordering Customer	Click search icon to search and select the ordering customer from the look up.
Ordering Institution	Click search icon to search and select the ordering institution from the look up.
Senders Correspondent	Click search icon to search and select the senders correspondent from the look up.
Receivers Correspondent	Click search icon to search and select the receivers correspondent from the look up.
Intermediary Institution	Click search icon to search and select the intermediary institution from the look up.
Account with Institution	Click search icon to search and select the account with institution from the look up.
Beneficiary Institution	Click search icon to search and select the beneficiary institution from the look up.
Ultimate Beneficiary	Click search icon to search and select the ultimate beneficiary from the look up.
Intermediary Reimbursement Institution	Click search icon to search and select the intermediary reimbursement institution from the look up.
Receiver	Click search icon to search and select the receiver from the look up.

Payment Details

Table 2-36 Payment Details - Field Description

Field	Description
Sender to Receiver 1	Specify the sender to receiver message.
Sender to Receiver 2	Specify the sender to receiver message.
Sender to Receiver 3	Specify the sender to receiver message.
Sender to Receiver 4	Specify the sender to receiver message.
Sender to Receiver 5	Specify the sender to receiver message.

Table 2-36 (Cont.) Payment Details - Field Description

Field	Description
Sender to Receiver 6	Specify the sender to receiver message.

Remittance Information

Table 2-37 Remittance Information – Field Description

Field	Description
Payment Detail 1	Specify the payment details.
Payment Detail 2	Specify the payment details.
Payment Detail 3	Specify the payment details.
Payment Detail 4	Specify the payment details.

3. Click **Next**.

   The task will move to next data segment.

Table 2-38 Settlement Details - Action Buttons - Field Description

Field	Description
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Documents	Click to View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the LC drawing update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Click to view the messages.

Table 2-38 (Cont.) Settlement Details - Action Buttons - Field Description

Field	Description
View Events	Click this button to view all the events under the LC Issued till date.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Clarification Details	Click to open a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.
Request Clarification	Click the Request Clarification button to request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Back	On click of Back, system moves the task back to previous data segment.
Next	On click of Next, system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.

Table 2-38 (Cont.) Settlement Details - Action Buttons - Field Description

Field	Description
Checklist	Click to view the list of items that needs to be completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.

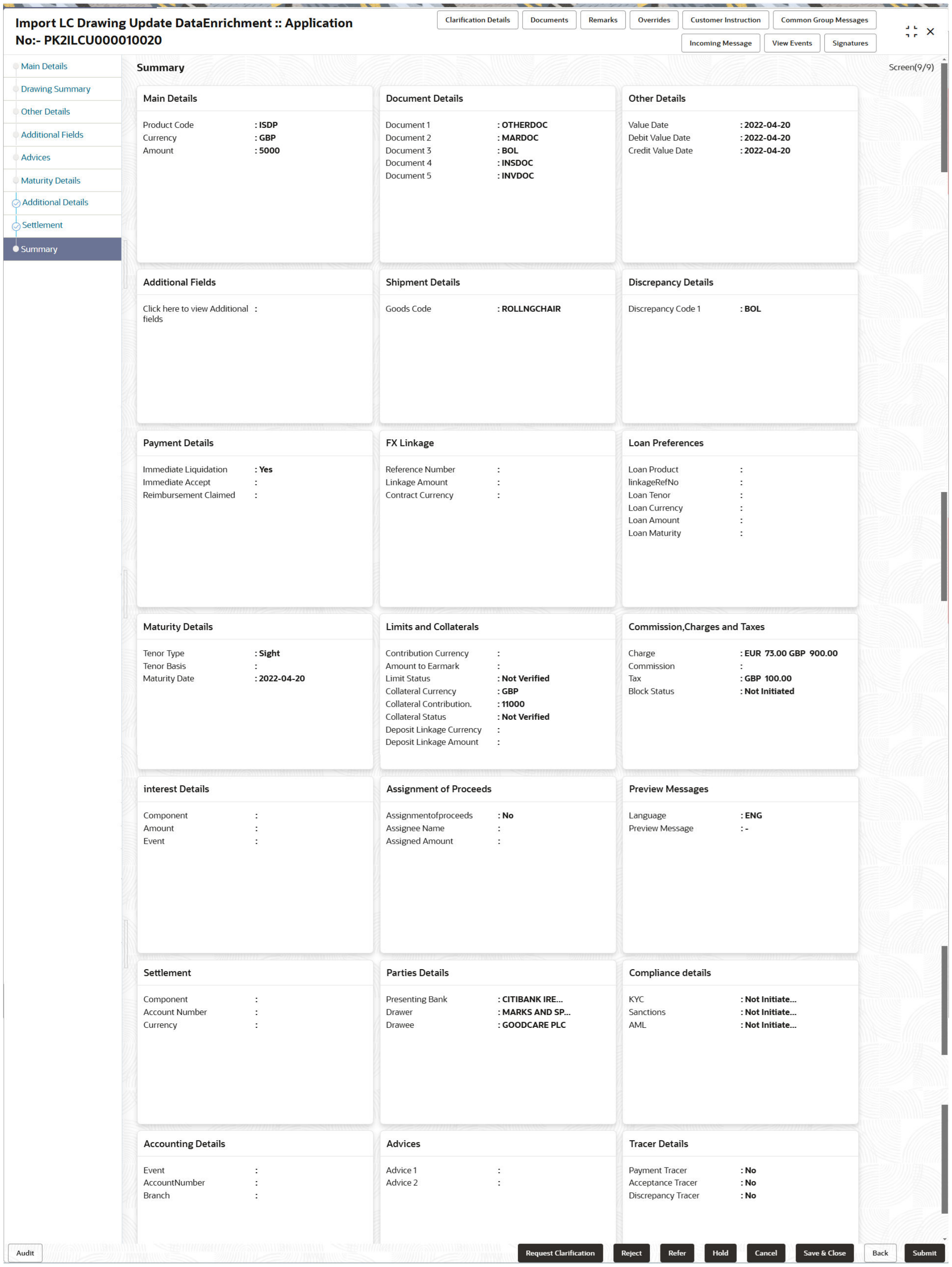
2.4.9 Summary

This topic provides the systematic instructions to view the summary of import LC drawing update request.

User can review the summary of details updated in Data Enrichment stage of import LC drawing update request.
The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

1. On **Summary** screen, click the 3 dots on any tile to view the details.

Figure 2-30 Summary



Tiles Displayed in Summary

- Main Details - User can view the application details and LC details.
- Document Details - User can view the document details.
- Other Details - User can view the other details.
- Additional Fields - User can view the additional field details.

- Additional Conditions - User can view additional conditions of the drawing.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Payment Details - User can view the payment details of the drawing.
- FX Linkage - User can view FX linkage details.
- Loan Preferences - User can view the loan preferences details.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view the the commission, charges & taxes details.
- Interest Details - User can view the interest details.
- Assignment of Proceeds - User can view assignment of proceeds details.
- Preview Messages - User can preview the draft message generated if any.
- Settlement - User can view the settlement details.
- Parties Details - User can view party details like applicant, advising bank etc.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

> **Note**
>
> When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Advices - User can view and modify the advice details, if required.
- Linked Loan Details - User can view the linked loan details.
- Tracer Details - User can view the tracer details.

2. Click **Submit**.

   The task will move to next logical stage.
   For more information on action buttons, refer to the Table 2-26 table of **Scrutiny** stage.

2.5 Exceptions

This topic helps you quickly get acquainted with the Exceptions process.

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. The checks to external system/internal system is initiated after the Data Enrichment stage.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number“ to the back office. On successful handoff, back office will make use of these “Amount Block Reference Number” to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

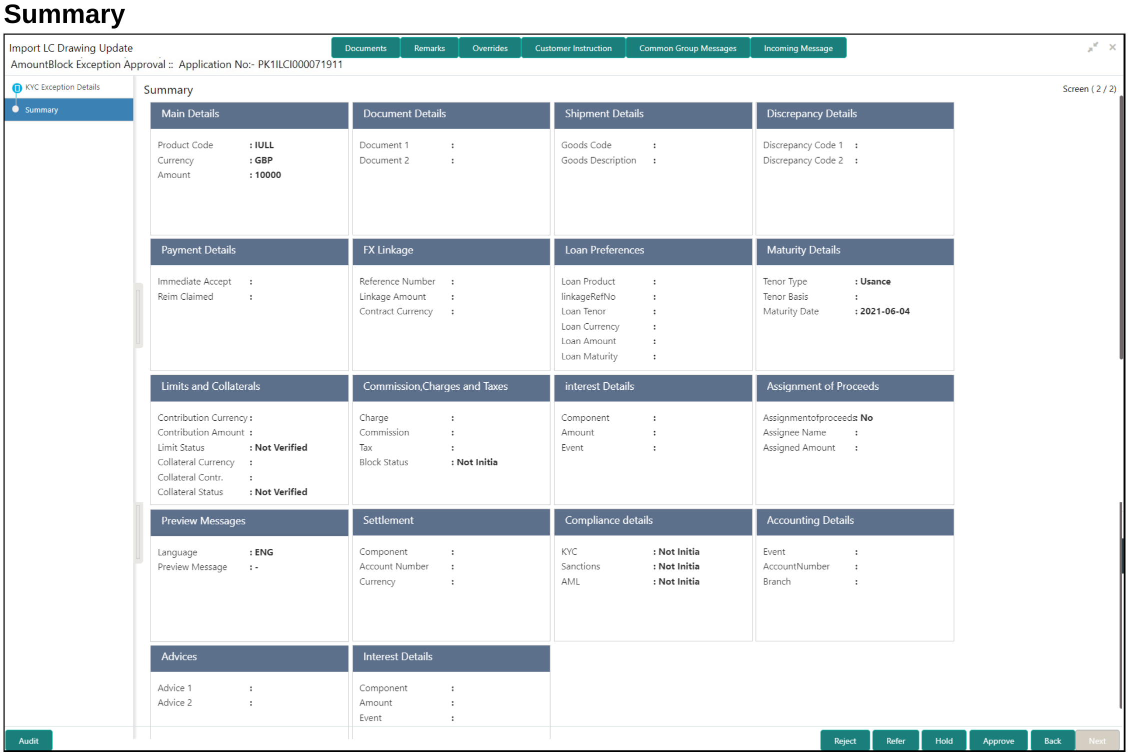
Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary



Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Payment Details - User can view the payment details of the drawing.
- FX Linkage - User can view FX linkage details.
- Loan Preferences - User can view the loan preferences details.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view the charge details.
- Assignment of Proceeds - User can view assignment of proceeds details.
- Preview Messages - User can preview the draft message generated if any.
- Settlement - User can view the settlement details.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

> **Note**
>
> When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Advices - User can view the advices.
- Interest Details - User can view the interest details.

1. Click **Approve**. to approve thw export booking amount bolck exception check.

For more information on Action Buttons, refer to the field description table below.

Table 2-39 Amount Bock Exception - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the drawing update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.

Table 2-39 (Cont.) Amount Bock Exception - Action Buttons - Field Description

Field	Description
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Displays the incoming message, if any.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. User can refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system. Refer Codes Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.
Back	Task moves to previous logical step.

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

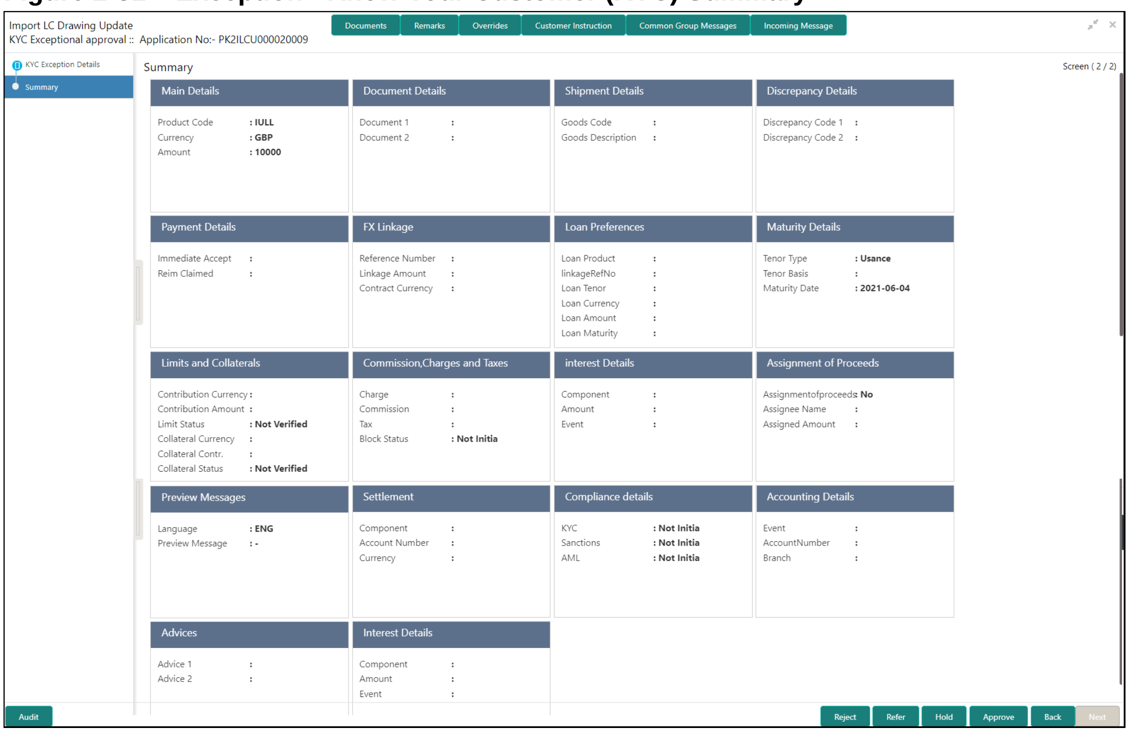
1. Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions will be listed in your queue.
2. Open the task, to see summary tiles that display a summary of available updated fields with values.
   User can pick up a transaction and do the following actions:

   Approve

   - After changing the KYC status in the back end application (outside this process).
   - Without changing the KYC status in the back end application.
   - Reject (with appropriate reject reason).

Summary

Figure 2-31 Exception - Know Your Customer (KYC) Summary



Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Payment Details - User can view the payment details of the drawing.

- FX Linkage - User can view FX linkage details.
- Loan Preferences - User can view the loan preferences details.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges & Taxes - User can view the charge details.
- Assignment of Proceeds - User can view assignment of proceeds details.
- Preview Messages - User can preview the draft message generated if any.
- Settlement - User can view the settlement details.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

> **Note**
>
> When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Advices - User can view the advices.
- Interest Details - User can view the interest details.

For more information on Action Buttons, refer to the field description table below.

Table 2-40 Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document.
Remarks	Specify any additional information regarding the drawing update. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Incoming Message	Displays the incoming message, if any.

Table 2-40 (Cont.) Exception - Know Your Customer (KYC) Summary - Action Buttons - Field Description

Field	Description
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.
Back	Task moves to previous logical step.

2.6 Multi Level Approval

This topic helps you quickly get acquainted with the Multi Level Approval of Import LC Update Drawing process.

The Approval summary screen displays the summary tiles. The tiles displays a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

1. Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

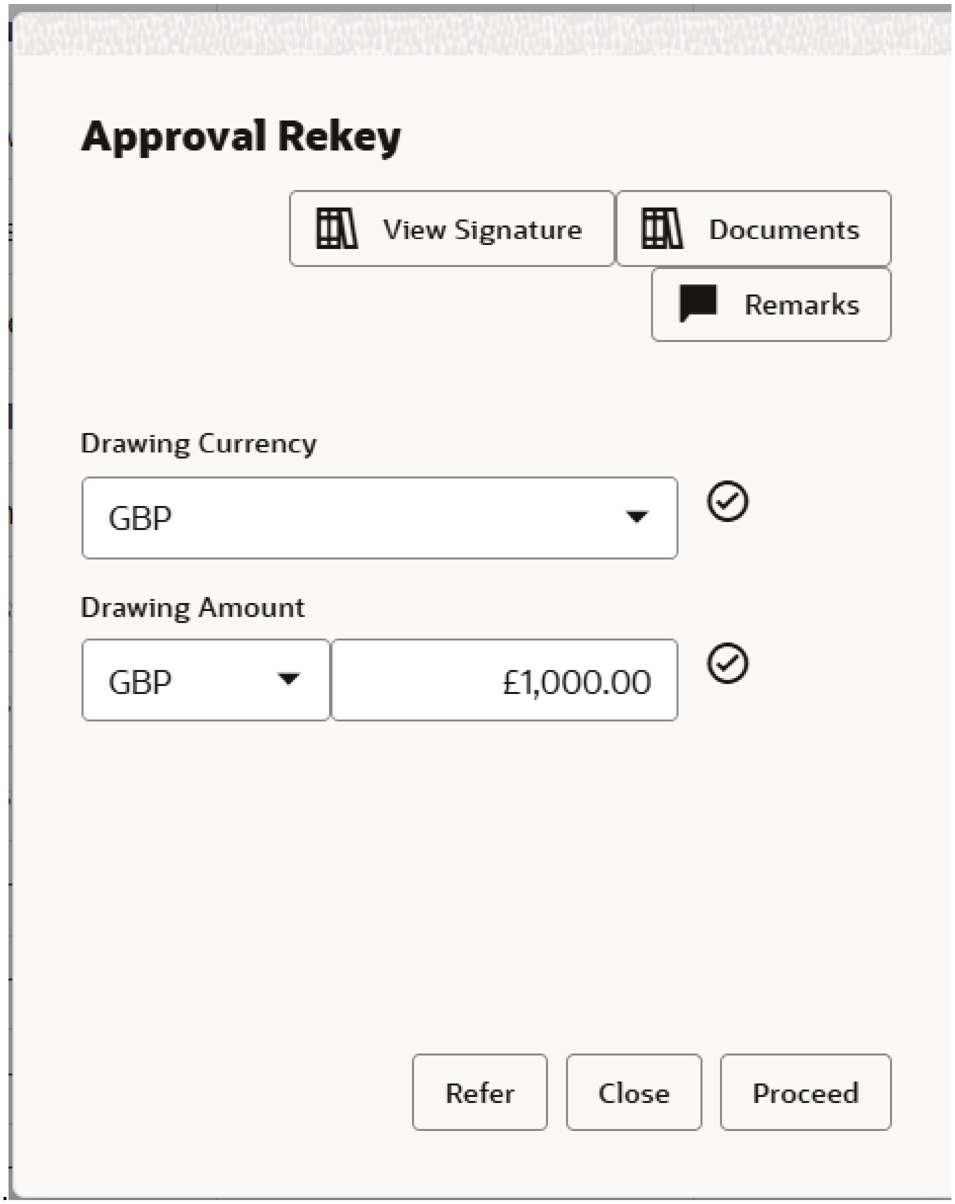
> **Note**
>
> The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFPM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

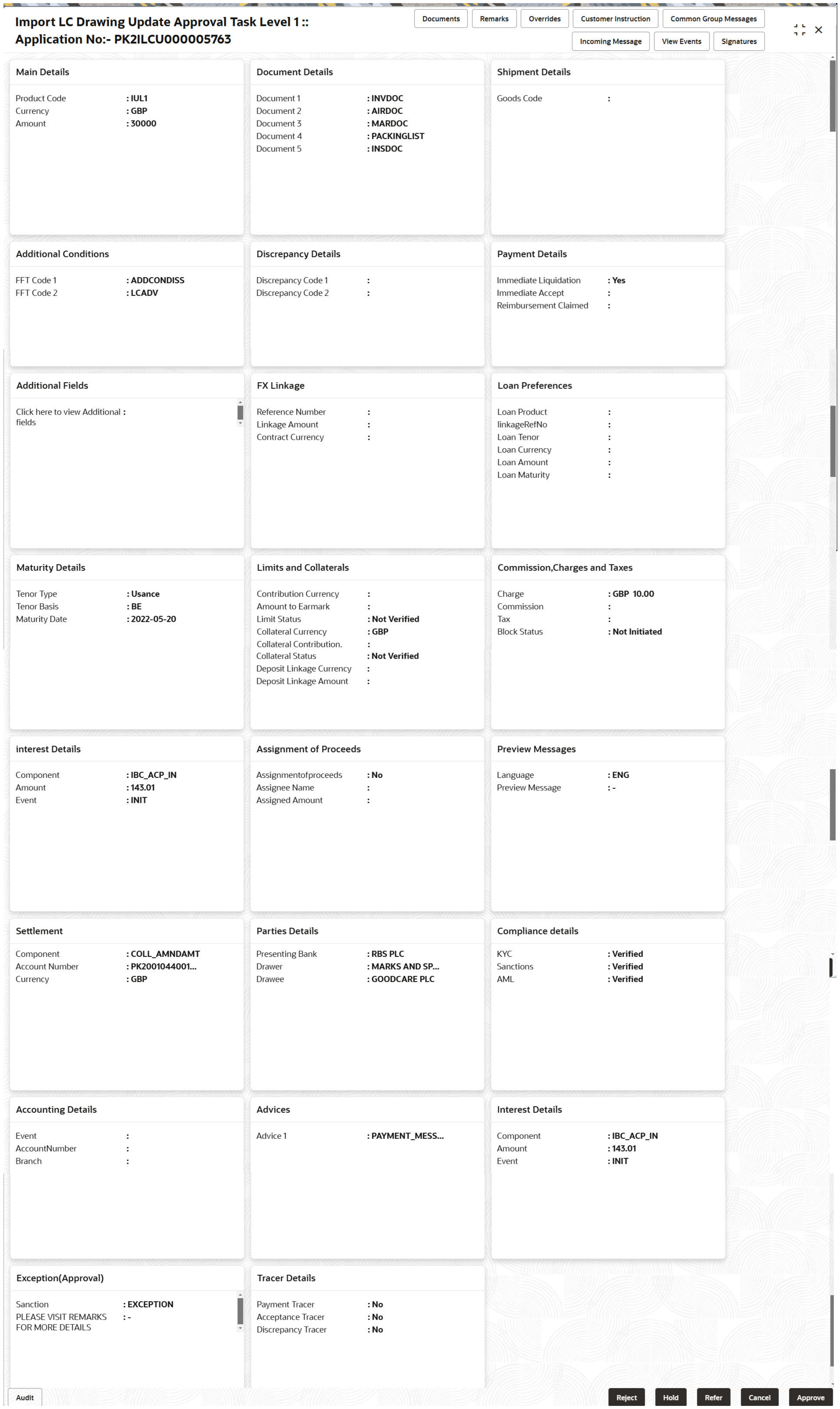
For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

2. Open the task and specify (re-key) some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.
   - Drawing Currency
   - Drawing Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.



Approval Summary


Import LC Drawing Update Approval Task Level 1 ::
Application No:- PK2ILCU000005763
Documents
Remarks
Overrides
Customer Instruction
Common Group Messages
Incoming Message
View Events
Signatures
Main Details
Product Code : IUL1
Currency : GBP
Amount : 30000
Document Details
Document 1 : INVDOC
Document 2 : AIRDOC
Document 3 : MARDOC
Document 4 : PACKINGLIST
Document 5 : INSDOC
Shipment Details
Goods Code :
Additional Conditions
FFT Code 1 : ADDCONDISS
FFT Code 2 : LCADV
Discrepancy Details
Discrepancy Code 1 :
Discrepancy Code 2 :
Payment Details
Immediate Liquidation : Yes
Immediate Accept :
Reimbursement Claimed :
Additional Fields
Click here to view Additional fields :
FX Linkage
Reference Number :
Linkage Amount :
Contract Currency :
Loan Preferences
Loan Product :
linkageRefNo :
Loan Tenor :
Loan Currency :
Loan Amount :
Loan Maturity :
Maturity Details
Tenor Type : Usance
Tenor Basis : BE
Maturity Date : 2022-05-20
Limits and Collaterals
Contribution Currency :
Amount to Earmark :
Limit Status : Not Verified
Collateral Currency : GBP
Collateral Contribution. :
Collateral Status : Not Verified
Deposit Linkage Currency :
Deposit Linkage Amount :
Commission,Charges and Taxes
Charge : GBP 10.00
Commission :
Tax :
Block Status : Not Initiated
interest Details
Component : IBC_ACP_IN
Amount : 143.01
Event : INIT
Assignment of Proceeds
Assignmentofproceeds : No
Assignee Name :
Assigned Amount :
Preview Messages
Language : ENG
Preview Message : -
Settlement
Component : COLL_AMNDAMT
Account Number : PK2001044001...
Currency : GBP
Parties Details
Presenting Bank : RBS PLC
Drawer : MARKS AND SP...
Drawee : GOODCARE PLC
Compliance details
KYC : Verified
Sanctions : Verified
AML : Verified
Accounting Details
Event :
AccountNumber :
Branch :
Advices
Advice 1 : PAYMENT_MESS...
Interest Details
Component : IBC_ACP_IN
Amount : 143.01
Event : INIT
Exception(Approval)
Sanction : EXCEPTION
PLEASE VISIT REMARKS FOR MORE DETAILS : -
Tracer Details
Payment Tracer : No
Acceptance Tracer : No
Discrepancy Tracer : No
Audit
Reject
Hold
Refer
Cancel
Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Payment Details - User can view payment details.
- Additional Fields - User can view additional fields, if any of the drawing.
- FX Linkage - User can view the FX linkage details.
- Loan Preferences - User can view the loan preferences.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Commission Charges and Taxes - User can view charge details.
- Assignment of Proceeds - User can view assignment of proceeds details.
- Preview Messages - User can preview the draft message generated if any.
- Settlement - User can view settlement details.
- Linked Loan Details - User can view the linked loan details.
- Party Details - User can view party details like applicant, advising bank etc.
- Compliance details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Advices - User can view and modify the advice details, if required.
- Interest Details - User can view the interest details.
- Exception(Approval) - User can view the approval details.
- Tracer Details - User can view the tracer details.

Table 2-41 Maturity Details - Action Buttons - Field Description

Field	Description
Documents	View/Upload the required document. Application displays the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application
Remarks	Specify any additional information regarding the lc drawings. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.
Overrides	Click to view the overrides accepted by the user.
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.
Incoming Message	Displays the incoming message, if any.
View Events	Click this button to view all the events under the LC Issued till date.
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.
Hold	The details provided will be saved and status will be on hold.User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.

Table 2-41 (Cont.) Maturity Details - Action Buttons - Field Description

Field	Description
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Refer	Select a Refer Reason from the values displayed by the system. Refer Codes are: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.
Cancel	Cancel the Approval stage.
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.

3. Click **Approve** to approve the transaction. The transaction is approved and handed off to the back end system for posting.

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