

Oracle® Banking Trade Finance Process Management STP of Incoming Messages



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Contents

1	Preface	
1.1	Purpose	1
1.2	Audience	1
1.3	Documentation Accessibility	1
1.4	Critical Patches	2
1.5	Diversity and Inclusion	2
1.6	Structure	2
1.7	Conventions	2
1.8	Related Documents	2
1.9	Screenshot Disclaimer	3
1.10	Acronyms and Abbreviations	3
1.11	Symbols and Icons	3
2	Handling Incoming SWIFT Messages	
2.1	Overview	1
2.2	Incoming SWIFT Messages - Task Initiation and Statuses	1
2.3	Task Creation - Process Steps	1
2.4	Handling Pending Tasks	2
2.5	Message Handling	2
	Index	

1

Preface

- [Purpose](#)
- [Audience](#)
This document is intended for the following audience:
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Structure](#)
This manual is organized into the following chapters:
- [Conventions](#)
- [Related Documents](#)
- [Screenshot Disclaimer](#)
- [Acronyms and Abbreviations](#)
- [Symbols and Icons](#)

1.1 Purpose

The purpose of this guide is to provide clear and detailed instructions for handling incoming SWIFT messages within Oracle Banking Trade Finance Process Management. It is designed to help users accurately validate newly received messages, resolve contract references using SWIFT tags, create or manage tasks based on message criteria, handle exceptions for special contract statuses, and manage pending tasks in the work queue efficiently. This ensures that all incoming messages are processed systematically, operational risks are minimized, compliance requirements are met, and workflow efficiency is maintained.

1.2 Audience

This document is intended for the following audience:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

1.4 Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at [Critical Patches, Security Alerts and Bulletins](#). All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by [Oracle Software Security Assurance](#).

1.5 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.6 Structure

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Related Documents

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Oracle Banking Common Core User Guide

1.9 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.10 Acronyms and Abbreviations

The list of the acronyms and abbreviations that are used in this guide are as follows:

Table 1-1 Acronyms and Abbreviations

Abbreviation	Description
OBTFPM	Oracle Banking Trade Finance Process Management
OBTFPMCS	Oracle Banking Trade Finance Process Management Cloud Service
LC	Letter of Credit
BC	Bankers Cheque
FX	Foreign Exchange
CCY	Currency
LCY	Local Currency
FCY	Foreign Currency
LOV	List of Values
CIF	Customer Information File
UDF	User Defined Fields
FFT	Free Format Text
SBLC	Standby Letter of Credit

1.11 Symbols and Icons

The list of symbols and icons available on the screens are as follows:

Table 1-2 Symbols and Icons - Common

Symbol/Icon	Function
	Minimize
	Maximize
	Close
	Perform Search
	Open a list

Table 1-2 (Cont.) Symbols and Icons - Common

Symbol/Icon	Function
	Date Range
	Add a new record
	Navigate to the first record
	Navigate to the last record
	Navigate to the previous record
	Navigate to the next record
	Grid view
	List view
	Refresh
	Click this icon to add a new row.
	Click this icon to delete a row, which is already added.
	Calendar
	Alerts
	Unlock Option
	View Option
	Reopen Option

Table 1-3 Symbols and Icons - Widget

Symbol/Icon	Function
	Open status
	Unauthorized status
	Rejected status
	Closed status
	Authorized status
	Modification Number

2

Handling Incoming SWIFT Messages

- [Overview](#)
- [Incoming SWIFT Messages - Task Initiation and Statuses](#)
This topic provides the task initiation and status of incoming swift messages.
- [Task Creation - Process Steps](#)
This topic provides the process steps for the task creation.
- [Handling Pending Tasks](#)
This topic provides the handling pending tasks.
- [Message Handling](#)
This topic provides the handling of swift incoming messages.

2.1 Overview

This guide explains how to process incoming SWIFT messages in OBTFPM. It focuses on task initiation, message status, error handling, and special handling scenarios. The goal is to provide users with clear steps to validate new messages, resolve contract references, and manage work queues.

2.2 Incoming SWIFT Messages - Task Initiation and Statuses

This topic provides the task initiation and status of incoming swift messages.

Validation Criteria

After receiving an incoming SWIFT message, users determine whether the message meets the criteria for processing. The status of the related contract should be reviewed, and both Tag 21 (Related Reference) and Tag 20 (Sending Bank's TRN) can be used for contract identification.

Eligible SWIFT Messages include: MT410, MT412, MT416, MT430, MT756, MT732, MT734, MT752, MT730, MT768, MT769, MT420, MT422, MT400, MT754, MT744, MT798/MT783, and MT798/MT719.

2.3 Task Creation - Process Steps

This topic provides the process steps for the task creation.

Contract Reference Checks

- **Exact Match:** If both Tag 21 and Tag 20 match data in OBTF, proceed with task creation in OBTFPM (STP).
- **Tag 21 Found, Tag 20 Mismatch:** Create task with override and add note: "Mismatch in Sending Bank's TRN between Incoming Message and Contract".
- **Tag 21 Found, Tag 20 Not Available:** Create task with override and add note: "Sending Bank's TRN not available in OBTF".

- Tag 21 is NONEEF, Tag 20 Available: Create task with override and add note: "Related Contract Reference not available in Incoming Message".
- Tag 21 is NONEEF, Tag 20 Mismatch or Not Available: Apply Partial STP approach.
- When a contract reference cannot be identified, create a partial STP task. This is placed in the Free Task Queue for further user review, where the user can initiate a new process, link to an existing contract, or decide no action is required.

Special Contract Statuses

If a contract's status is Closed, Cancelled, Reversed, or Liquidated, the message appears with status K – User Handling Required in the "Incoming Message Queue". The system provides remarks such as:

- "Contract already in Closed status. User handling required."
- "Contract already in Cancelled status. User handling required."

2.4 Handling Pending Tasks

This topic provides the handling pending tasks.

If a contract is Active but has a pending task in OBTfPM:

- The system identifies any pending tasks for that contract.
- The new task is placed in the Free Task Queue.
- If a user tries processing the later task, an error appears:

Pending task under the same contract (PRN Number: XXX).

Inappropriate output content detected in output blocklist.

2.5 Message Handling

This topic provides the handling of swift incoming messages.

STP of C2B Messages

Message Category	Sender/Recipient party	Message Name Category	MT Message Type	Sub-Message Type	Message Name	Initiated Process	Remarks
Guarantee / Standby Letter of Credit	Applicant	Request for Guarantee / Standby Letter of Credit Reduction / Release – C2B	MT 798	798	Request for Guarantee / Standby Letter of Credit Reduction / Release Index	Miscellaneous Task Processing	Guarantee Issuance Amendment and Guarantee Issuance Cancellation 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation based on Tag33B 4. Field mapping for 13E 5. Incoming message status in Daily Message In
Common Group	Applicant	Response to a Draft Undertaking – C2B	MT 798	719	Response to a Draft Undertaking Index	Import LC Issuance, Guarantee Issuance	Guarantee Issuance and Import LC Issuance 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Transaction movement to approval based on Tag25G or Tag 77J 5. Incoming message status in Daily Message In

STP of B2B Messages

Message Category	MT Message Type	Message Name	Incoming / Outgoing	Initiated Process	Remarks
Documentary Collection	400	Advice of Payment	Incoming	Export Collection Liquidation	Export Collection Liquidation 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field Mapping for tag 58,71B, 72, and 73 5. Overrides if Bill amount is greater or less 6. Error when Settlement does not match from incoming message 7. Incoming message status in Daily Message In

Message Category	MT Message Type	Message Name	Incoming / Outgoing	Initiated Process	Remarks
Documentary Collection	410	Acknowledgement	Incoming	Export Collection Update	Export Collection Update 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field mapping for Tag72,75, and tag76 5. Overrides if data in incoming message is not as per contract in OBTF 6. Direct to OBTF when only mandatory tag are available 7. Incoming message status in Daily Message In
Documentary Collection	412	Advice of Acceptance	Incoming	Export Collection Update	Export Collection Update 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field mapping for Tag72 5. Overrides if data in incoming message is not as per contract in OBTF 6. Incoming message status in Daily Message In
Documentary Collection	416	Advice of Non-Payment /Non-Acceptance	Incoming	Export Collection Update	Export Collection Update 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field mapping for 77A,71F, 23E (for OTHR) and Non Acceptance date 5. Overrides if data in incoming message is not as per contract in OBTF 6. Overrdies if charges are claimed 7. Change of operations if Field 77a has RETURN 8. Incoming message status in Daily Message In

Message Category	MT Message Type	Message Name	Incoming / Outgoing	Initiated Process	Remarks
Documentary Collection	420	Tracer	Incoming	Import Collection Booking Update	Import Collection Booking Update 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field mapping for Tag72 5. Overrides if data in incoming message is not as per contract in OBTF 6. Incoming message status in Daily Message In
Documentary Collection	422	Advice of Fate and Request for Instructions	Incoming	Export Collection Update	Export Collection Update 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field mapping for Tag72,75, and tag76 5. Overrides if data in incoming message is not as per contract in OBTF 6. Incoming message status in Daily Message In
Documentary Collection	430	Amendment of Instructions	Incoming	Import Collection Booking Update	Import Collection Booking Update 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field mapping for Tag33a,72, and Tag74 5. Overrides if data in incoming message is not as per contract in OBTF 6. Incoming message status in Daily Message In
Documentary Credits	730	Acknowledgement	Incoming	Import LC Issuance Internal Amendment/ Export LC Issuance Internal Amendment	Import LC Issuance Internal Amendment for Import and Export LC Issuance Internal Amendment for Export 1. Task Creation 2. Field mapping for Tag30 and Tag72 3. Direct to OBTF for when only Mandatory tags are available 4. Overrides if charges are claimed

Message Category	MT Message Type	Message Name	Incoming / Outgoing	Initiated Process	Remarks
Common to Several Instruments	732	Advice of Discharge	Incoming	Export LC Update Drawings	Export LC Drawing Update 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field mapping for discrepancy, and tag72 5. Overrides if data in incoming message is not as per contract in OBTF 6. Incoming message status in Daily Message In
Documentary Credits	734	Advice of Refusal	Incoming	Export LC Update Drawings	Export LC Drawing Update 1. Tag20, 21 Validation for LC ref and Bill Ref 2. Pending task validation 3. Task Creation 4. Field mapping for Tag73,72z, 77J, and 77B 5. Overrides if Bill amount is greater or less 6. Incoming message status in Daily Message In
Common to Several Instruments	744	Notice of Non-Conforming Reimbursement Claim	Incoming	Export LC Update Drawings	Export LC Drawing Update 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field mapping for Tag73R, 73S, 71D, and 72Z 5. Overrides if data in incoming message is not as per contract in OBTF 6. Incoming message status in Daily Message In
Common to Several Instruments	752	Authorization to Pay, Accept or Negotiate	Incoming	Export LC Update Drawings	Export LC Drawing Update 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field mapping for Tag23,71D, 33a, 72Z, and 79Z 5. Overrides if data in incoming message is not as per contract in OBTF 6. Incoming message status in Daily Message In

Message Category	MT Message Type	Message Name	Incoming / Outgoing	Initiated Process	Remarks
Common to Several Instruments	754	Advice of Payment / Acceptance/ Negotiation	Incoming	Import LC Drawing LC Drawing s/Import LC Update Drawing s	Import LC Drawing and Import LC Drawing Update 1. Tag20, 21 Validation based LC and Bill reference 2. Pending task validation 3. Task Creation 4. Field mapping for Tag32a,33B, 71D, 73A, 57a, 58a, 72z, and 77 5. Overrides if data in incoming message is not as per contract in OBTF 6. Overrides if Bill amount is greater or less 7. Incoming message status in Daily Message In
Common to Several Instruments	756	Advice of Reimbursement or Payment	Incoming	Export LC LC Update Drawing s/Export LC Drawing Liquidation	Export LC Liquidation 1. Tag20, 21 Validation 2. Pending task validation 3. Task Creation 4. Field mapping for Tag 72Z and 79Z 5. Overrides if data in incoming message is not as per contract in OBTF 6. Incoming message status in Daily Message In
Guarantees/ Standby Letters of Credit	768	Acknowledgement of a Guarantee/ Standby Message	Incoming	Guarantee Issuance Internal Amendment/ Guarantee Advise Internal Amendment	Guarantee Issuance Internal Amendment and Guarantee Advice Internal Amendment. 1. Tag20, 21 Validation based LC and Bill reference 2. Pending task validation 3. Task Creation 4. Field mapping for Tag 30,72Z, 32a, and 23X 5. Overrides if Bill amount is greater or less 6. Error when Settlement does not match from incoming message 7. Incoming message status in Daily Message In

Message Category	MT Message Type	Message Name	Incoming / Outgoing	Initiated Process	Remarks
Guarantees/ Standby Letters of Credit	769	Advice of Reduction or Release	Incoming	Guarantee Issuance Amendment	Guarantee Issuance Amendment, Guarantee Advice Amendment, Guarantee Issuance Cancellation, Guarantee Advice Cancellation 1. Tag20, 21 Validation based LC and Bill reference 2. Pending task validation 3. Task Creation 4. Field mapping for Tag 30, 33B, and 34B 5. Overrides if data in incoming message is not as per contract in OBTF 6. Incoming message status in Daily Message In

Index

S

SLA Widget, [1](#)