

Oracle® FLEXCUBE Investor Servicing Licensing Guide



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Contents

Preface

Purpose	iv
Audience	iv
Documentation Accessibility	iv
Critical Patches	v
Diversity and Inclusion	v
Conventions	v
Related Documents	v

1 Oracle FLEXCUBE Investor Servicing Licensing

1.1 General Licensing Rules	1-1
1.2 Components Included in License	1-1
1.3 Separately Licensed Pre-requisite Products and Licensing Metric	1-1

2 Software Prerequisites

2.1 Tech Stack – Oracle	2-1
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3 Third-Party License Information

Preface

Oracle FLEXCUBE Investor Servicing is a comprehensive mutual funds automation software from Oracle® Financial Servicing Software Ltd.©.

You can use the system to achieve optimum automation of all your mutual fund investor servicing processes, as it provides guidelines for specific tasks, descriptions of various features and processes, and general information.

This topic contains the following sub-topics:

- [Purpose](#)
- [Audience](#)
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Conventions](#)
- [Related Documents](#)

Purpose

This guide helps you understand the guiding rules for Oracle FLEXCUBE Investor Servicing licensing, the components included in the license and the units that are separately licensed.

This guide also provides information on the third-party software that is packaged with Oracle FLEXCUBE Investor Servicing.

Audience

This document is intended for the following audience:

- Customers
- Partners

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at [Critical Patches](#), [Security Alerts and Bulletins](#). All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by [Oracle Software Security Assurance](#).

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
<code>monospace</code>	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Related Documents

For more information, refer to the following documents:

- End user license agreement
- Oracle FLEXCUBE Investor Servicing User Manuals

1

Oracle FLEXCUBE Investor Servicing Licensing

This topic provides the information on Oracle FLEXCUBE Investor Servicing Licensing.

It contains the following sub-topics:

- General licensing rules
- Components included in license
- Separately licensed pre-requisite products and licensing metric
- [General Licensing Rules](#)
- [Components Included in License](#)
- [Separately Licensed Pre-requisite Products and Licensing Metric](#)
The module wise list of separately licensed products and the licensing metric is given below.

1.1 General Licensing Rules

Not applicable for Oracle FLEXCUBE Investor Servicing products.

1.2 Components Included in License

Not applicable for Oracle FLEXCUBE Investor Servicing products.

1.3 Separately Licensed Pre-requisite Products and Licensing Metric

The module wise list of separately licensed products and the licensing metric is given below.

The module wise list of separately licensed products and the licensing metric is given below:

Table 1-1 Module list

Module Description	Separately Licensed Prerequisites	Licensing Metric(s)
Oracle FLEXCUBE Investor Servicing for Mutual Funds	• Oracle FLEXCUBE Development Workbench for Investor Servicing • Oracle Database Enterprise Edition, Partitioning	\$M in Assets Under Management
Oracle FLEXCUBE Investor Servicing for Unit Linked Investment and Insurance Products ¹	Oracle Database Enterprise Edition, Oracle HTTP Server, Oracle Weblogic	Virtual Identifier

Table 1-1 (Cont.) Module list

Module Description	Separately Licensed Prerequisites	Licensing Metric(s)
Oracle FLEXCUBE Investor Servicing for Hedge Funds ²	Oracle FLEXCUBE Investor Servicing for Mutual Funds	\$M in Assets Under Management
Oracle FLEXCUBE Investor Servicing for Pension Funds	Oracle FLEXCUBE Development Workbench for Investor Servicing	Investment Account
Oracle FLEXCUBE Investor Servicing Adapter for Blockchain Mutual Fund Transactions	Oracle FLEXCUBE Investor Servicing for Mutual Funds	1K Transactions ³
Oracle FLEXCUBE Investor Servicing for Mutual Funds License Subscription	Oracle FLEXCUBE Development Workbench for Investor Servicing License Subscription Oracle Database Enterprise Edition, Partitioning	\$M in Assets Under Management
Oracle FLEXCUBE Investor Servicing for Unit Linked Investment and Insurance Products ¹ License Subscription	Oracle FLEXCUBE Investor Servicing for Mutual Funds License Subscription	\$M in Assets Under Management
Oracle FLEXCUBE Investor Servicing for Hedge Funds ² License Subscription	Oracle FLEXCUBE Investor Servicing for Mutual Funds License Subscription	\$M in Assets Under Management
Oracle FLEXCUBE Investor Servicing for Pension Funds License Subscription	Oracle FLEXCUBE Development Workbench for Investor Servicing License Subscription	1K Investment Accounts ⁴
Oracle FLEXCUBE Investor Servicing Adapter for Blockchain Mutual Fund Transactions License Subscription	Oracle FLEXCUBE Investor Servicing for Mutual Funds License Subscription	1K Transactions ³

Table 1-2 Oracle FLEXCUBE Open Development Tools

Module Description	Separately Licensed Prerequisites	Licensing Metric(s)
Oracle FLEXCUBE Development Workbench for Investor Servicing	Oracle FLEXCUBE Investor Servicing for Mutual Funds Or Oracle FLEXCUBE Investor Servicing for Pension Funds	Application User
Oracle FLEXCUBE Development Workbench for Investor Servicing License Subscription	Oracle FLEXCUBE Investor Servicing for Mutual Funds License Subscription Or Oracle FLEXCUBE Investor Servicing for Pension Funds License Subscription	Application User

 Note:

- ¹The license program allows user to manage and administer an asset managed under Unit Linked Investment and Insurance products module in Mutual fund module as well. If any additional assets needs to be managed and administered only in Mutual Fund module, user will require to additionally license Mutual fund module.
- ²The license program allows user to manage and administer an equivalent amount of asset managed under Hedge Fund module in Mutual fund module as well. If any additional assets needs to be managed and administered only in Mutual Fund module, user will require to additionally license Mutual fund module
- ³1K Transactions: is defined as one thousand unique transactions processed through the Program during a 12 month period. You may not exceed the licensed number of transactions during a 12 month period unless you acquire additional transaction licenses from Oracle. For the purposes of Oracle FLEXCUBE Investor Servicing Adapter for Block chain Mutual Funds Transactions, 1K Transactions is defined as one thousand unique mutual fund transactions that are processed through the Program. Each query, endorsement or commit of subscription, redemption, switch or transfer irrespective of success or failure of the same is counted as a transaction.
- ⁴1K Investment Accounts are defined as financial institution's one thousand investor accounts that are opened, maintained, and stored in the Program. All dormant investor accounts shall be considered to be investment accounts as long as they are in the production database of the applicable Program. Closed investor accounts shall not be considered to be investment accounts for the purposes of licensing requirements.

2

Software Prerequisites

This topic provides the information the technology prerequisites required for Oracle FLEXCUBE Investor Servicing.

- [Tech Stack – Oracle](#)

2.1 Tech Stack – Oracle

Table 2-1 Tech Stack - Oracle FLEXCUBE Investor Servicing

Component	Deployment Option	Machine	Operating System	Software	Version Number
Oracle FLEXCUBE Investor Servicing	UI-Host and Centralized	Application Server	Oracle Linux Server 8.3 (x86 64 Bit)	Oracle WebLogic Server 14c	14.1.1.0.0
Oracle FLEXCUBE Investor Servicing	UI-Host and Centralized	Application Server	Oracle Linux Server 8.3 (x86 64 Bit)	Java HotSpot(TM) JDK (with WebLogic Application Server)	Oracle JDK 11 Update 21
Oracle FLEXCUBE Investor Servicing	UI-Host and Centralized	Application Server	Oracle Linux Server 8.3 (x86 64 Bit)	Open Symphony Quartz	2.4.0
Oracle FLEXCUBE Investor Servicing	UI-Host and Centralized	Database Server	Oracle Linux Server 8.3 (x86 64 Bit)	Oracle RDBMS Enterprise Edition	19.18.0.0.0
Oracle FLEXCUBE Investor Servicing	UI-Host and Centralized	Client Machines #	Browser Supported	Mozilla Firefox	Mozilla Firefox - Version 115.*
Oracle FLEXCUBE Investor Servicing	UI-Host and Centralized	Client Machines #	Browser Supported	Google Chrome	Google Chrome - Version 129.*
Oracle FLEXCUBE Investor Servicing	UI-Host and Centralized	Client Machines #	Browser Supported	Safari	Apple Safari - Version 16.*
Oracle FLEXCUBE Investor Servicing	UI-Host and Centralized	Client Machines #	Browser Supported	Microsoft Edge	MS Edge: 129.*

Table 2-1 (Cont.) Tech Stack - Oracle FLEXCUBE Investor Servicing

Component	Deployment Option	Machine	Operating System	Software	Version Number
Oracle FLEXCUBE Investor Servicing Integration Gateway	Web services (incoming)	Integration Server	Oracle Linux Server 8.3 (x86 64 Bit)	Oracle WebLogic Server 14c	14.1.1.0.0
Oracle FLEXCUBE Investor Servicing Integration Gateway	HTTP Servlet (incoming)	Integration Server	Oracle Linux Server 8.3 (x86 64 Bit)	Oracle WebLogic Server 14c	14.1.1.0.0
Oracle FLEXCUBE Investor Servicing Integration Gateway	EJB (incoming)	Integration Server	Oracle Linux Server 8.3 (x86 64 Bit)	Oracle WebLogic Server 14c	14.1.1.0.0
Oracle FLEXCUBE Investor Servicing Integration Gateway	MDB (incoming)	Integration Server	Oracle Linux Server 8.3 (x86 64 Bit)	Oracle WebLogic Server 14c	14.1.1.0.0
Oracle FLEXCUBE Investor Servicing Integration Gateway	Notifications (outgoing)	Integration Server	Oracle Linux Server 8.3 (x86 64 Bit)	Oracle WebLogic Server 14c	14.1.1.0.0
Oracle FLEXCUBE Investor Servicing Machine Learning	Manual Deployment	Machine Learning Server	Oracle Linux Server 8.3 (x86 64 Bit)	Oracle Machine Learning for R (OML4R)	1.5.1



Note:

- # Browser support is no longer based on Operating Systems but strictly tied to the browser themselves, no matter which Operating Systems they are installed. Current release is certified on client workstations with Windows 11 and Mac OS X. For detailed information on Browser Support, please refer to the Oracle Software Web Browser Support Policy at : <https://www.oracle.com/middleware/technologies/browser-policy.html>
- # Compatibility Mode: For Browsers, only Native mode is supported. View Compatibility mode should be disabled.
- Oracle Applications are developed and tested on Oracle Linux, which is optimized for performance, stability and security.

3

Third-Party License Information

This topic describes the licensing information of the third-party software of the Oracle FLEXCUBE Investor Servicing.

Refer the following link for the complete third-party licensing information of the Oracle FLEXCUBE Investor Servicing product.

- [Third Party License Information](#)