Oracle® FLEXCUBE Investor Servicing
Sample Reports User Guide

Release 14.7.6.0.0

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Oracle FLEXCUBE Investor Servicing Sample Reports User Guide, Release 14.7.6.0.0

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Preface

Oracle FLEXCUBE Investor Servicing is a comprehensive mutual funds automation software from Oracle® Financial Servicing Software Ltd.©.

You can use the system to achieve optimum automation of all your mutual fund investor servicing processes, as it provides guidelines for specific tasks, descriptions of various features and processes, and general information.

This topic contains the following sub-topics:

- Purpose
- Audience
- Documentation Accessibility
- Critical Patches
- Diversity and Inclusion
- Conventions
- Acronyms and Abbreviations

Purpose

You are intended to become familiar with the **Oracle Flexcube Investor Servicing** application through this guide. This guide offers responses to particular features and procedures that are necessary for the module to operate effectively.

Audience

This user guide is intended for the Fund Administrator users and System operators in the AMC.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and Bulletins. All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security Assurance.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
`monospace`	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Acronyms and Abbreviations

The list of the acronyms and abbreviations used are as follows:

Table 1   Acronyms and Abbreviations

Abbreviation	Description
CIF	Customer Information File
EOD	End of Day
EPU	Earnings per unit
FCIS	Oracle FLEXCUBE Investor Servicing
FMG	The Fund Manager component of the system
FPADMIN	Oracle FLEXCUBE Administrator
GTA	Global Transfer Agency
ID	Identification
IHPP	Inflation Hedged Pension Plan
IPO	Initial Public Offering
LEP	Life and Endowment Products
LOI	Letter of Intent
NAV	Net Asset Value

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Table 1   (Cont.) Acronyms and Abbreviations

Abbreviation	Description
REG	The Registrar component of the system
ROA	Rights of Accumulation
ROI	Return on Investment
SI	Standing Instructions
SMS	Security Management System
URL	Uniform Resource Locator
VAT	Value Added Tax
WAUC	Weighted Average Unit Cost

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Activation of Sample Reports

This topic provides information on Activation of Sample Reports.

Follow the steps below to activate Sample Reports:

1. Activate Sample Reports through backend update.
2. Update the **Available** Flag in **SMTB_MENU** to **1** to enable the Sample Reports.

   By default, **Available** Flag in **SMTB_MENU** is marked as **0**.

Figure 1-1   Activate Sample Reports
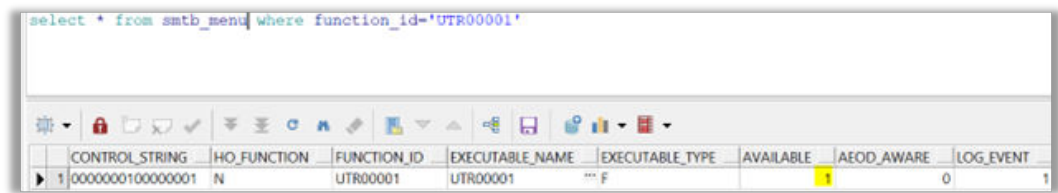
- **Sample Reports Details**
  This topic describes the module wise tables.

1.1 Sample Reports Details

This topic describes the module wise tables.

The details on the table have a brief explanation about sample reports in the specific module.

Table 1-1   Sample Reports Details

S.NO	FUNCTION ID	REPORT NAME	REPORT DETAILS
1	**UTR00001**	Transaction Summary Report	This report displays all the transactions of any selected type received for a given date for which units are allotted, between any two dates.
2	**UTR00033**	Fund Activity Detail Report	This report displays all the transactions done on given fund.
3	**UTR00036**	Fee Incentive Detail Report	This report displays all the fee incentive generation details.
4	**UTR00042**	Block Details Report	This report displays the block details generation.
5	**UTR00044**	Zero Balance Details Report	This report lists the summary of the unit holders whose balances have become zero for the given fund along with the date on which the balances touched zero.

Table 1-1   (Cont.) Sample Reports Details

S.NO	FUNCTION ID	REPORT NAME	REPORT DETAILS
6	UTR00047	Switches Details Report	This report prints all the switch transactions (both authorized and unauthorized transactions) received on the current business day at the Agency Branch.
7	UTR00053	Subscription-By Fund Report	This report prints all the subscription transactions (both authorized and unauthorized transactions) received on the current business day at the Agency Branch.
8	UTR00054	Subscription-By Payment Mode Report	This report is used to group the subscription transactions according to the mode of payment as well as fund-wise.
9	UTR00055	Split Details Report	This report is used to print or view the split details specific to certain period or unit holder or based on the status.
10	UTR00056	Reissue Details Report	This report is used to print or view the reissue details specific to certain period or unit holder or based on the status.
11	UTR00057	Redemption-By Fund Report	This report prints all the redemption transactions (both authorized and unauthorized transactions) received on the current business day at the Agency Branch.
12	UTR00058	Redemption-By Payment Mode Report	This report is used to group the redemption transactions according to the mode of payment as well as fund-wise.
13	UTR00059	Consolidation Detail Report	This report is used to generate consolidated report details.
14	UTR00060	IPO-By Fund Report	This report prints all the IPO transactions (both authorized and unauthorized transactions) received on the current business day at the Agency Branch.
15	UTR00061	IPO-By Payment Mode Report	This report is used to group the IPO transactions according to the mode of payment as well as fund-wise.
16	UTR00064	Un-Block Details Report	This report is used to generate un-block report details.
17	UTR00066	Allocation Errors Report	This report displays the errors logged by the allocation process between any two dates.
18	UTR00081	System Admin Activity Report	This report is used to generate system admin activity details report.

Table 1-1   (Cont.) Sample Reports Details

S.NO	FUNCTION ID	REPORT NAME	REPORT DETAILS
19	UTR00082	Violation Activity Report	This report displays the violation activity.
20	UTR00095	Acknowledgement Report	This report is used to list the acknowledgement reports generated for new unit holder accounts.
21	UTR00098	Sub. Confirmation Report	This report is used to view the subscription confirmation report. The output will be Market value in currency of expression and price in currency of expression.
22	UTR00099	Red Confirmation Report	This report is used to view the redemption confirmation report. The output will be Market value in currency of expression and price in currency of expression.
23	UTR00100	TransferConfirmation Report	This report is used to view the transfer confirmation report. The output will be Market value in currency of expression and price in currency of expression.
24	UTR00101	Switch Confirmation Report	This is used to view the switch confirmation report. The output will be Market value in currency of expression and price in currency of expression.
25	UTR00102	Transfer Details Report	This report is used to view the generated transfer details.
26	UTR00103	IPO Confirmation Report	This is used to generate confirmation reports for all allocated transactions that have been confirmed on a given business day.
27	UTR00107	Broker Communique Report	This report is used to view the generated broker communique details.
28	UTR00124	Holdings Details Report	This report displays the details of the Unit Holder Holdings in terms of distribution by Nationality or holdings.
29	UTR00134	Withholding Tax Report	This report will print the number of units, the dividend rate per unit, the total dividend, minus the income tax net payable and dividend reference number for every unit holder. This is printed for each Dividend Payment Period and is ordered by the unit holder.
30	UTR00137	Account Statement Report	This report is used to print the account statement.

Table 1-1   (Cont.) Sample Reports Details

S.NO	FUNCTION ID	REPORT NAME	REPORT DETAILS
31	**UTR00150**	Capital Gains Detail Report	This report is used to generate capital gains details for a unit between a date range for a fund or product.
32	**UTR00151**	Capital Gains Summary Report	This report is used to generate capital gains summary report for a unit between a date range for a fund or product.
33	**UTR00152**	Trailing Commission Broker Report	This report displays details of the trailing commissions paid to brokers, across all funds, for a given period.
34	**UTR00178**	Mock Div. Projection Report	This report displays the details of mock dividend projection.
35	**UTR00190**	Modified Dividend Report	This is used to generate modified dividend report.
36	**UTR00193**	Inactive Users List Report	This report is generated to view the details of the inactive users.
37	**UTR00230**	Welcome Letter Report	This is used to generate welcome letter report.
38	**UTR304**	Auth Rep Expiry Report	This report provides information on the status of the authorized representatives that have expired or are going to expire on the date of report.
39	**UTRPEVLG**	SMS event log report	This report is generated to view the details of the events logged by the user.
40	**SMREVNLO**	Event Log Report	This is used to generate event log details report.
41	**SMRPEVLG**	Events Log Report	This is used to generate SMS event log details report.