

Oracle® FLEXCUBE Investor Servicing Subscription Workflow User Guide



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Preface

Oracle FLEXCUBE Investor Servicing is a comprehensive mutual funds automation software from Oracle® Financial Servicing Software Ltd.©.

You can use the system to achieve optimum automation of all your mutual fund investor servicing processes, as it provides guidelines for specific tasks, descriptions of various features and processes, and general information.

This topic contains the following sub-topics:

- [Purpose](#)
- [Audience](#)
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Conventions](#)
- [Screenshot Disclaimer](#)
- [Acronyms and Abbreviations](#)

Purpose

This manual is designed to help acquaint you with the installation of **Oracle FLEXCUBE Investor Servicing** application.

Audience

This manual is intended for the following User/User Roles:

Table 1 Users and Roles

Users	Roles
Implementation team	Implementation of Oracle FLEXCUBE Investor Servicing
Presales team	Install Oracle FLEXCUBE Investor Servicing for demo purpose
Bank personnel	Who installs Oracle FLEXCUBE Investor Servicing

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Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
<code>monospace</code>	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Acronyms and Abbreviations

The list of the acronyms and abbreviations used are as follows:

Table 2 Acronyms and Abbreviations

Abbreviation	Description
FCIS	Oracle FLEXCUBE Investor Servicing
OEM	Oracle Enterprise Manager

Table 2 (Cont.) Acronyms and Abbreviations

Abbreviation	Description
EMS	Electronic Messaging Service
EJB	Enterprise Java Bean
MDB	Message Driven Beans

1

Oracle FLEXCUBE Transaction Process Management

This topic provides information on Oracle FLEXCUBE transaction process management.

This document provides an overview of the transaction (Subscription) process and takes you through the various steps involved in handling all the necessary activities in the life cycle of a transaction process.

2

Transaction Workflow Setup

This topic provides information on transaction workflow setup.

This topic consists the following sub-topics:

- [Conductor DSL Upload](#)
This topic provides information on conductor DSL upload.

2.1 Conductor DSL Upload

This topic provides information on conductor DSL upload.

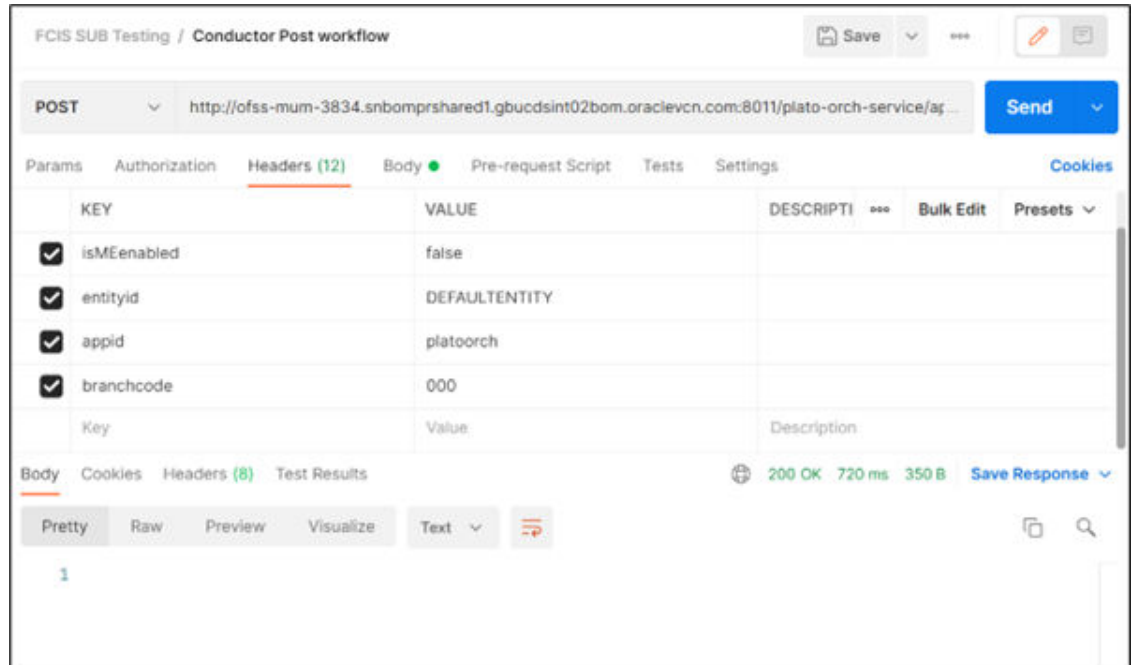
Subscription workflow uses JSON-based DSL (short for, domain-specific language) to define the workflows and workflow steps (tasks). DSL will specify the stages, outcome of stages and what action has to be taken based on outcome. Example, PROCEED or REJECT.

Download the obis-process-flow.zip and extract TRANSACTION.json and HOSTORCHESTRATOR.json on your desktop. In postman send the following request.

Table 2-1 Operation - Post

Operation	Post
URL	http://ofss-mum-3505.snbomprshared1.gbucdsint02bom.oraclecn.com:8011/plato-orch-service/api/metadata/workflow
Header	isMEenabled:false entityid:DEFAULTENTITY appid:platoorch branchcode:000
Body	TRANSACTION.json and HOSTORCHESTRATOR.json

Figure 2-1 Conductor Post Workflow



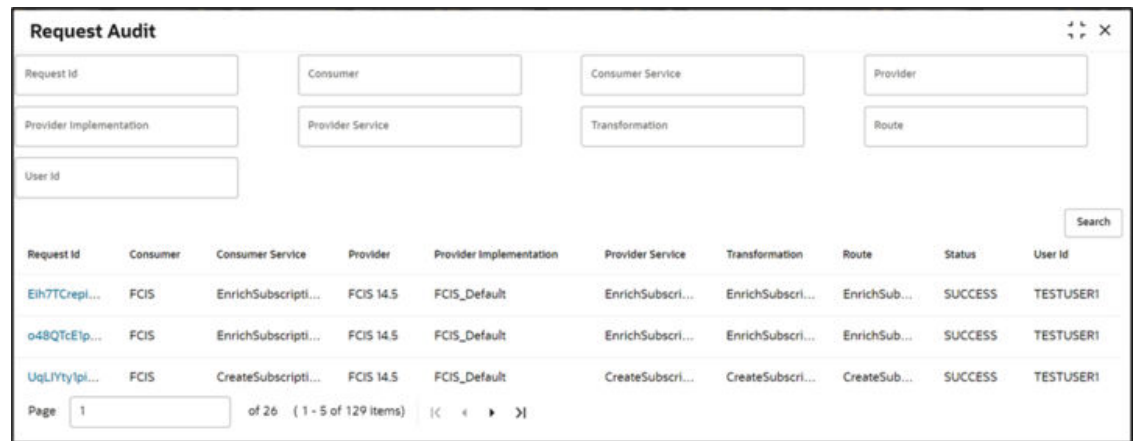
3

Routing Hub Configuration

This topic provides information on routing hub configuration.

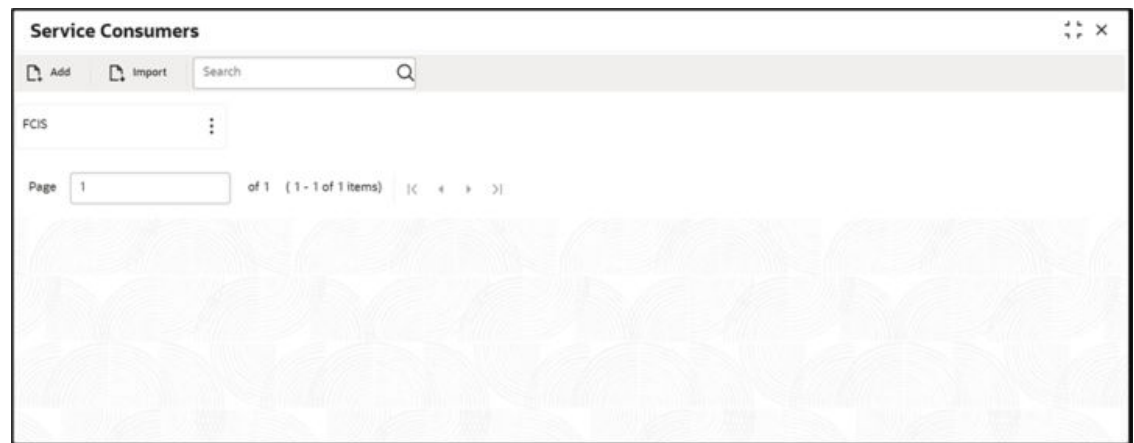
Download obis-obrh-config.zip from shipment and extract FCIS_Consumer_Service.json. Go to Service Consumers and Import FCIS_Consumer_Service.json.

Figure 3-1 Request Audit



Request Id	Consumer	Consumer Service	Provider	Provider Implementation	Provider Service	Transformation	Route	Status	User Id
Eih7TCrepl...	FCIS	EnrichSubscri...	FCIS 14.5	FCIS_Default	EnrichSubscri...	EnrichSubscri...	EnrichSub...	SUCCESS	TESTUSER1
o48QTcElp...	FCIS	EnrichSubscri...	FCIS 14.5	FCIS_Default	EnrichSubscri...	EnrichSubscri...	EnrichSub...	SUCCESS	TESTUSER1
UqLIYtylpl...	FCIS	CreateSubscri...	FCIS 14.5	FCIS_Default	CreateSubscri...	CreateSubscri...	CreateSub...	SUCCESS	TESTUSER1

Figure 3-2 Service Consumers



Service Consumer
FCIS



Note:

After import, kindly update the host, port, and header details (Module Code, User Id) as per the FCIS rest setup.

4

Workflow Operation Configuration

This topic provides information on workflow operation configuration.

This topic consists the following sub-topics:

- [Process Code Create](#)
This topic provides information on creating process code.
- [Process Code View](#)
This topic provides information on view of process code.
- [Business Process Create](#)
This topic provides information on creating the business process.
- [Business Process View](#)
This topic provides information on view of business process.
- [Business Product Create](#)
This topic provides information on creation of business product.
- [Business Product View](#)
This topic provides information on view of business product.

4.1 Process Code Create

This topic provides information on creating process code.

The process code created should be in sync with the DSL, and the functional activity code must be added to the user role.

Figure 4-1 Process Code

The screenshot shows a 'Process Code' configuration window. At the top, there are four input fields: 'Process Code' (containing 'FCISSUBC'), 'Process Description' (containing 'FCIS Subscription'), 'Process Version' (containing '1'), and 'Domain' (containing 'OBIS'). Below these fields is a table with the following columns: 'Stage ID', 'Stage Description', 'Seq Order', 'Source Stage', and 'Task Type'. The table contains four rows of data, each with a trash icon in the 'Task Type' column.

Stage ID	Stage Description	Seq Order	Source Stage	Task Type
OBIS_INITIATION	Application Init	1	Y	
TXN_APPEN	Application Entry	2	N	
TXN_SUPAPP	Supervisor Approval	3	N	
TXN_HANDOFF_RE	HandOff Retry	4	N	

At the bottom left of the window, there is an 'Audit' button.

4.2 Process Code View

This topic provides information on view of process code.

Following image shows the **Process Code View** screen.

Figure 4-2 View Process Code



4.3 Business Process Create

This topic provides information on creating the business process.

User has to create a business process and provide the process code, once process code is selected the stages will be listed in the filmstrip and user has to map the respective data segments.

Figure 4-3 Business Process Definition

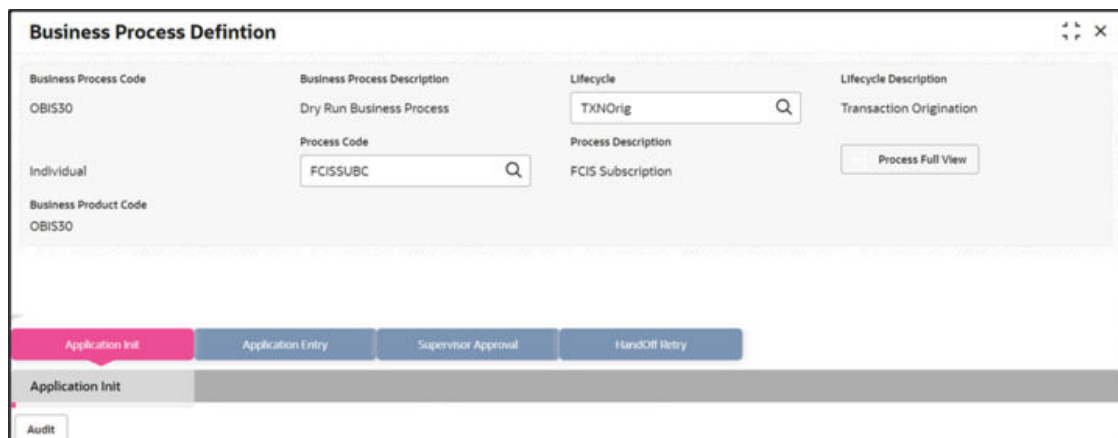


Figure 4-4 Application Inti - Business Process Definition

Business Product Details Screen(2/4)

Attribute

Business Product Image: +Click to Add Product Image

Selected file: Upload

Business Product Summary: enabled

Feature: Feature Name (Max 100 characters), Feature Description (Max 400 characters)

Eligibility: +Add Feature, Eligibility Name, Eligibility Description

Buttons: Cancel, Back, Save & Close, Next

4.4 Business Process View

This topic provides information on view of business process.

Following image shows the **Business Process View** screen.

Figure 4-5 View Business Process

View Business Process

Search: +

Business Process Code:	Description	Life Cycle	Transaction Origination	Process
FCISUBC	Dry Run Business...	Transaction Origination	FCIS Subscription	OBI530
OBISSUBR	Subscription Reversal	Transaction Origination	Subscription Reversal	FUND04
OBI530	Dry Run Business...	Transaction Origination	FCIS Subscription	OBI530
OBISSUBC	OBI5 Subscription	Transaction Origination	OBI5 Subscription	OBI520

Page 1 of 1 (1 - 4 of 4 items)

4.5 Business Product Create

This topic provides information on creation of business product.

Product has to be created for which the transaction will be done, the same will be mapped while defining the business process.

Figure 4-6 Business Product Details

Figure 4-7 Attribute - Business Product Details

Fund in which the transaction will take place will be mapped in this as part of host mapping.

Figure 4-8 Host Mapping - Business Product Details

Figure 4-9 Preference - Business Product Details

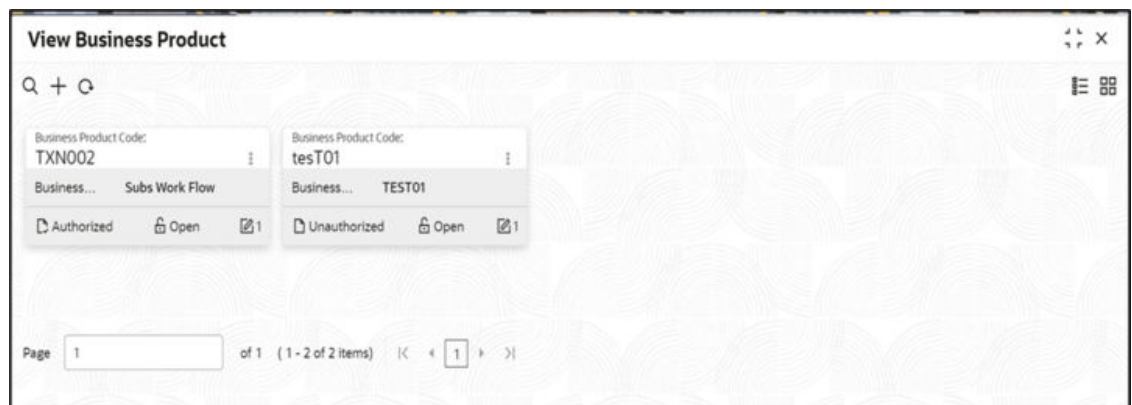


4.6 Business Product View

This topic provides information on view of business product.

Following image shows the **Business Product View** screen.

Figure 4-10 Business Product View



5

Overview of Oracle FLEXCUBE Transaction Creation Process

This topic provides information on overview of Oracle FLEXCUBE transaction creation process.

Transaction creation process will enable the investment banks to leverage on the state of the art technology used for business modelling, help extend the boundaries of potential markets and ensure the convenience with primary focus on customer service.

We shall look at the reference workflows of the transaction process before understanding how the configuration and capture of data can be done. These stages can be made mandatory or non-mandatory based on the business process configurations. The process management flow is basically routed through various stages to capture relevant data before it is ready to be sent to the host for transaction creation.

The pre-defined process flow for transaction process is as follows:

- Initiation Stage
- Application Entry Stage
- Supervisor Approval Stage
- Transaction Creation

The convenience of configuring appropriate stages and the respective data segments within each of these stages, which can be business driven, is hosted and architected by our new platform solution. The random-access navigation between data segments within a given stage with appropriate validations, helps enable the business user to capture apt information anytime during the subscription process before the transaction is created in the host.

The business process definition will determine the different stages which are required for a given combination of the Process Code, Life Cycle and Business Product Code. The workflow management of these stages and the relevant stage movements are defined in the Process Orchestrator to help us orchestrate the subscription based process flow and ensure seamless transition of the transaction creation process across various stages in that given order. The workflow process drives the application from one stage to another based on the process outcomes at the respective stages and subject to fulfilling of the mandatory data capture/ submission at the respective stages.

6

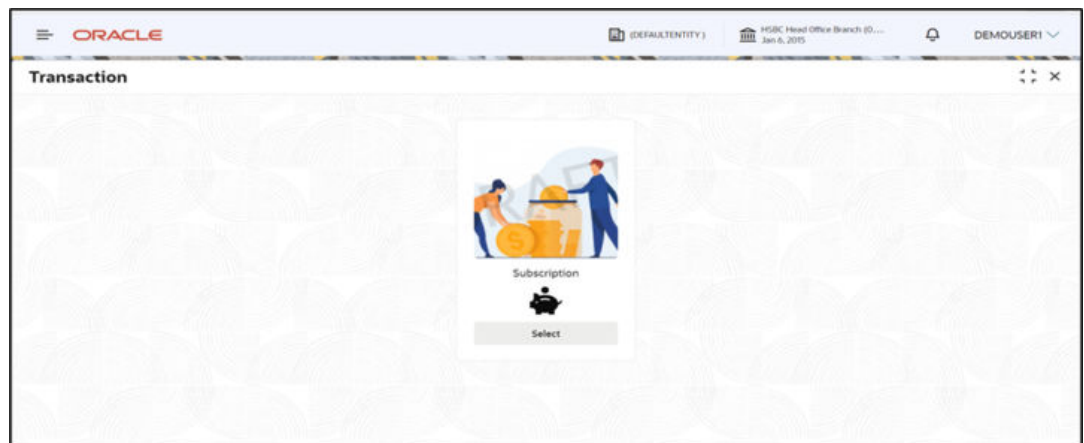
Transaction Creation Process

This topic provides information on transaction creation process.

1. From Home screen, click **Menu** and then select **Investor Service**. Click **Operations** and then select **Transaction**.

The following screen will display.

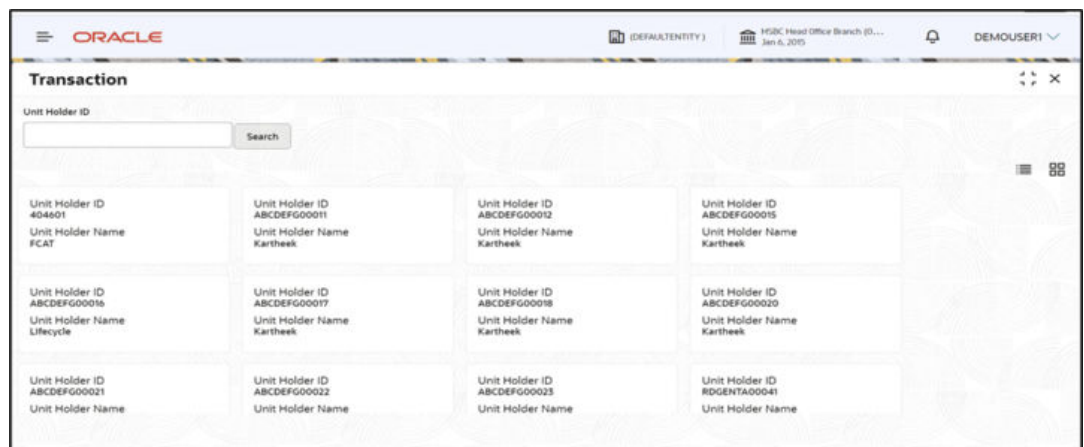
Figure 6-1 Subscription Page



2. On click of **Select** button, it will launch **Unit Holder Search** page, where you can search for Unit Holder based on Unit Holder ID's.

The following screen will display.

Figure 6-2 Unit Holder Search View

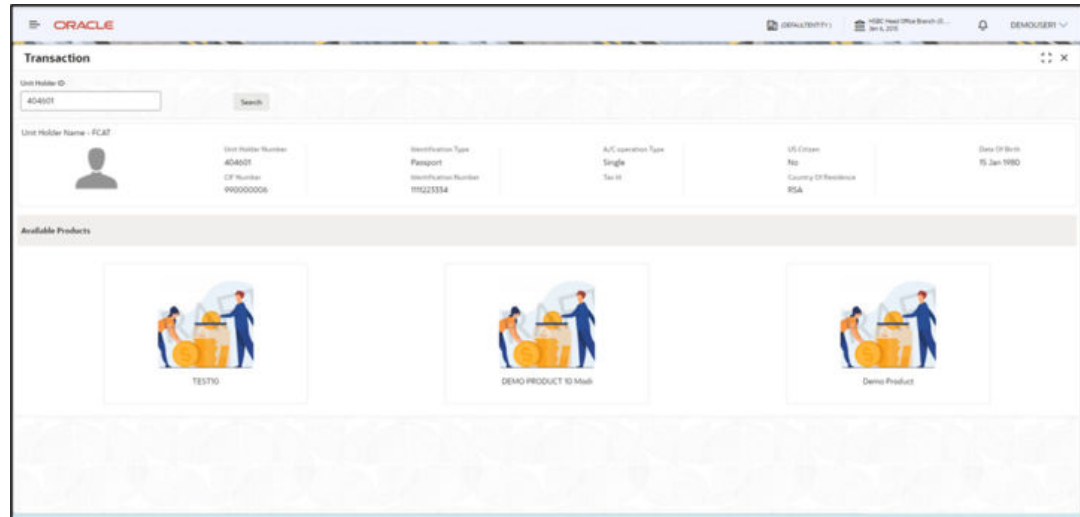


3. Specify the unit holder that has requested the transaction, in the Unit Holder Search section. Alternatively, you can click on search button to get unit holder ID list. The list displays all valid unit holder ID maintained in the system.

4. After selection Unit Holder it will provide details of Unit Holder and available products and existing funds.

The following screen will displays.

Figure 6-3 Unit Holder Detail Page



5. Once you click on available product it will launch the initiation screen.

This topic consists the following sub-topics:

- [Transaction Initiation Stage](#)
This topic provides information on transaction initiation stage.
- [Initiate Transaction](#)
This topic provides information on initiate transaction.
- [Transaction Entry Stage](#)
This topic provides information on transaction entry stage.
- [Transaction Approval Stage](#)
This topic provides information on transaction approval stage.
- [Transaction Handoff Retry](#)
This topic provides information on transaction handoff retry.
- [Action Tabs](#)
This topic provides information on action tabs.

6.1 Transaction Initiation Stage

This topic provides information on transaction initiation stage.

All the transaction are initiated in the Application Initiation stage. Once the transaction creation process is initiated as a single product origination, process orchestrator generates the transaction creation process reference number on click of Subscription. Process orchestrator also updates the record in the Free Task process for the Initiation stage also referred as Task from orchestrator perspective.

The Initiation stage is the first stage in the Transaction Creation process. After the initiate process is completed successfully, the application can be acquired by the user who has the access rights for the given stage and progress with the data capture.

Initiation Stage two screens are mapped, Initiate Transaction and Transaction Information. Stage Summary is show by default in workflow for all stages.

6.2 Initiate Transaction

This topic provides information on initiate transaction.

In Initiation page, please input the required fields like User reference number, Transaction date, transaction subtype, Sub Payment mode, transaction mode and respective field and click **Next**, it will take you to the Transaction Information Page.

Figure 6-4 Initiation Page

Initiate - 000APP000000554					
Initiate Transaction					
Screen(1/3)					
Fund Info					
Transaction Type	Fund ID	AMC Name	Fund Base Currency		
Subscription	ATFUND	TEMPLETON	ZAR		
ISIN Number	Latest Nav				
ATFUNDISIN1	10				
Unit Holder Name - FCAT					
Unit Holder Number	Identification Type	A/C operation Type	US Citizen	Date Of Birth	
404601	Passport	Single	No	15 Jan 1980	
CIF Number	Identification Number	Tax Id	Country Of Residence		
990000006	1111223334		RSA		

Fund Info Panel section will display following values:

- Transaction Type
- Fund ID
- ISIN Number
- AMC Name
- Latest Nav
- Fund Base Currency

Unit Holder Panel section will display following values:

- Unit Holder Number
- CIF Number
- Identification Type
- Identification Number
- A/C Operation Type
- Tax ID
- Country of Residence
- Date of Birth

Figure 6-5 Initiation Page

For more information on fields, refer to the field description table.

Table 6-1 Field - Description

Field	Description
User Reference Number	<i>Alphanumeric; 16 Characters; Mandatory</i> Specify the reference number for the transaction. If the reference number is designated to be system-generated for the agency branch, then the Reference Number field is locked and the number will be generated by the system when you save the transaction
Transaction Number	Specify the transaction number for the transaction.
Order Received Time Zone	<i>Optional</i> Specify the Order Received Time Zone . Alternatively, you can select it from the option list. The list displays all Time Zones maintained in the system.
Order Received Date Time	<i>Date Format; Optional</i> Specify the Order Received Date Time . Note: Order Received Date will not be defaulted for system generated transactions.
Transaction Date	<i>Date Format; Mandatory</i> Specify the transaction date alternatively you can default it by providing the order receive time zone or order receive date time.
Transaction Currency	<i>Alphanumeric; 3 Characters; Optional</i> Specify the currency in which the amount value for the transaction will be reckoned. Alternatively, you can select transaction currency from the option list. The list displays all valid transaction currency maintained in the system.
Transaction Sub Type	<i>Optional</i> Specify the transaction sub type for the transaction.
Sub Payment Mode	<i>Optional</i> Specify the sub payment mode for the transaction.

Table 6-1 (Cont.) Field - Description

Field	Description
Transaction Mode	<i>Mandatory</i> Select the transaction mode from the drop-down list. Following are the options available in the drop-down list: <ul style="list-style-type: none">• Amount• Units
Units Applied	<i>Numeric; 27 Characters</i> You can specify the details in this field only if you have selected Transaction Mode field as Units.
Amount Applied	<i>Numeric; 27 Characters; Optional</i> Specify the amount applied details. You can specify the details in this field only if you have selected Transaction Mode field as Amount. Else, while clicking Next button, the system will display an error message.

- [Transaction Information](#)
This topic provides information on transaction information.
- [Transaction Summary](#)
This topic provides information on transaction summary.

6.2.1 Transaction Information

This topic provides information on transaction information.

In Transaction Information page will provides information for all segments like:

- Transaction Basic Details
- Intermediary Details
- Load Override Details
- Preference Details
- Settlement Details

On click of each panel will provide detail information on pop-up with view mode only.

Figure 6-6 Transaction Information Page

The screenshot shows the Oracle Transaction Information Page for transaction 000APP00000530. The page is divided into several sections:

- Transaction Basic Details:**
 - Unit Holder ID: 404601
 - Transaction Date: 2015-04-12
 - Unit Holder Name: Mr. FCAT UN 9
 - Transaction Currency: ZAR
 - Fund ID: ATTUND
 - Transaction Amount: 70000
 - Fund Name: Audit Test Fund
- Intermediary Details:**
 - Entity ID: F3VOGL
 - Parent Entity Name: Percentage
 - Entity Name: 100
 - Entity Type: 0
- Load Override Details:**
 - Load ID: Change Type
 - Load Description: Change Value
 - Amount or Percentage: Projected Returned Value
- Preference Details:**
 - Settlement Date: 2015-04-12
 - Price Date: Dealing Date
 - Base Cost: 2015-04-12
 - Short Trade Unit: Completion Date
 - Lock to Period for Gains
- Settlement Details:**
 - Settlement Amount: Cheque Date
 - Payment Amount: Cheque Number
 - Branch Code: Branch Code
 - Bank Code: Bank Code

The page includes a sidebar with 'Initiate Transaction' and 'Summary' tabs. At the bottom, there are buttons for 'Cancel', 'Back', 'Save & Close', and 'Next'.

Figure 6-7 Transformation Basic Details

The screenshot shows the Oracle Transformation Basic Details page for transaction 000APP00000548. The page is divided into several sections:

- Transaction Basic Details:**
 - UH And Fund Details: Transaction Number - User Reference Number - 000APP00000548
 - Transaction Date: 12 Apr 2015
 - Order Receive Timezone: SER
 - Order Receive Date Time: 06 Jan 2015
 - Transaction Currency: ZAR
 - Gross or Net: Gross
 - Payment Mode: Transfer
 - Transaction Sub Type: Sub Payment Mode
 - Transaction Category: Transaction Category
- Load Description:**
 - Change Value: 2015-04-12
 - Amount or Percentage: Projected Returned Value
 - Base Cost: Dealing Date
 - 2015-04-12

The page includes a sidebar with 'Initiate Transaction' and 'Summary' tabs. At the bottom, there are buttons for 'Cancel', 'Back', 'Save & Close', and 'Next'.

6.2.2 Transaction Summary

This topic provides information on transaction summary.

While clicking on the next from Transaction information screen it will launch summary page it will contains with summary of Initiate Transaction and Transaction Information with completed (Green) and incomplete (Red) indicators.

On click of each panel it will detail information on popup.

Figure 6-8 Transaction Summary Page

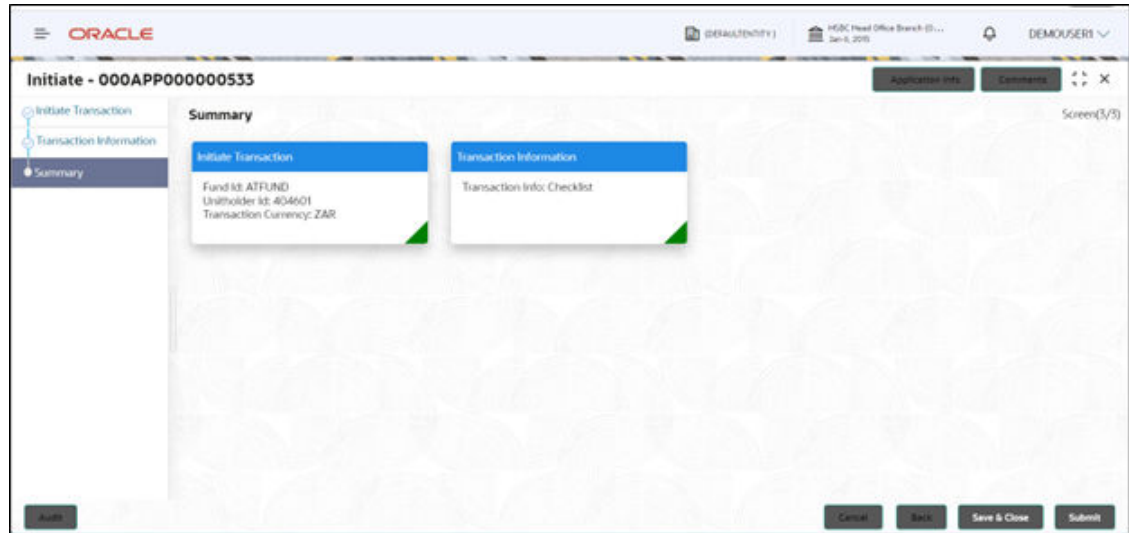
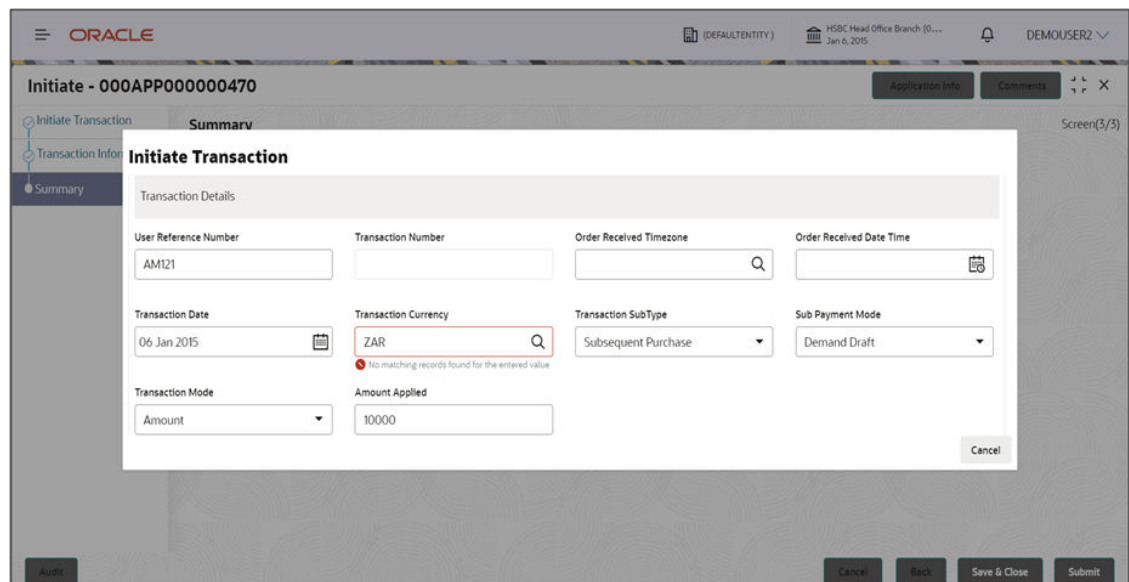


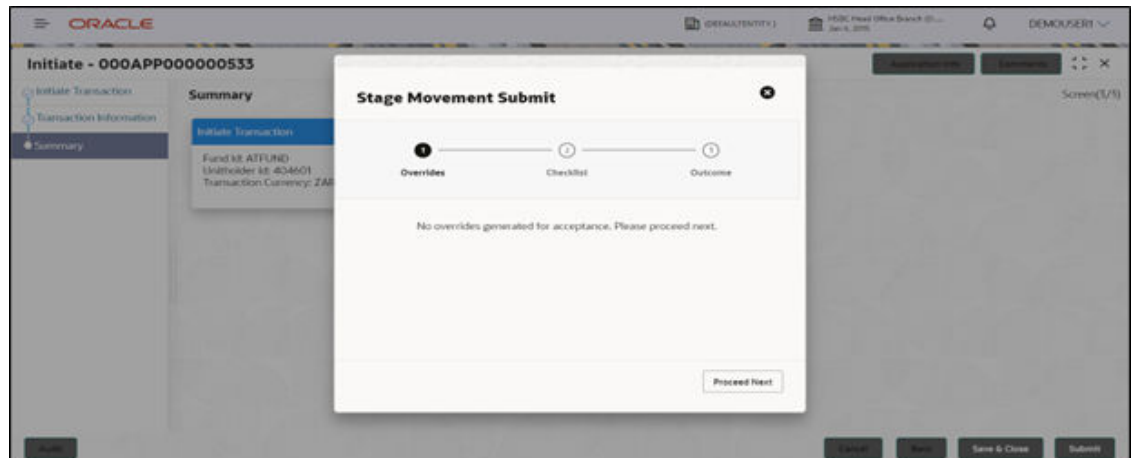
Figure 6-9 Transaction Summary Page Popup



While submitting the initiation segment it will take you to the submit flow.

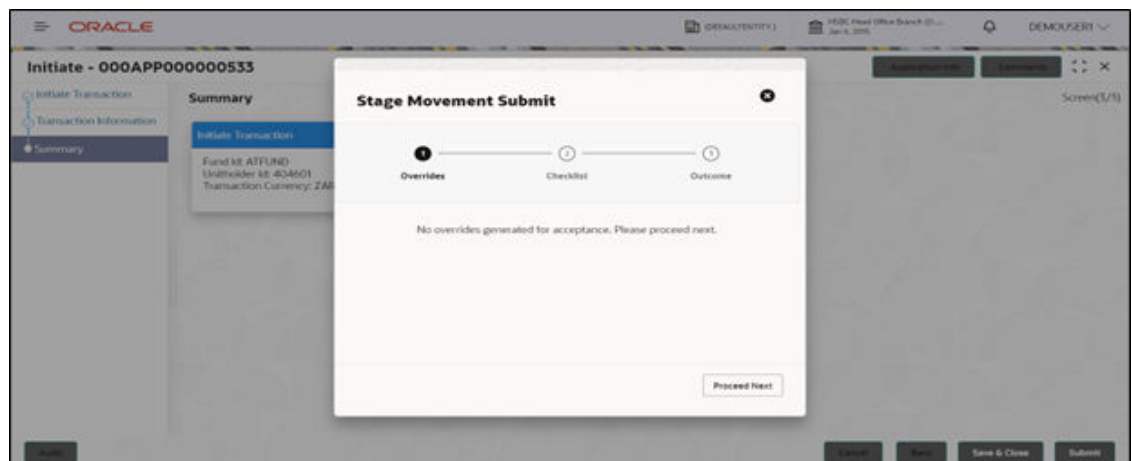
Transaction Override will be displayed with check list or if no override then no override message will be displayed. On click of proceed and next it will navigate to checklist view.

Figure 6-10 Transaction initiation submit override flows



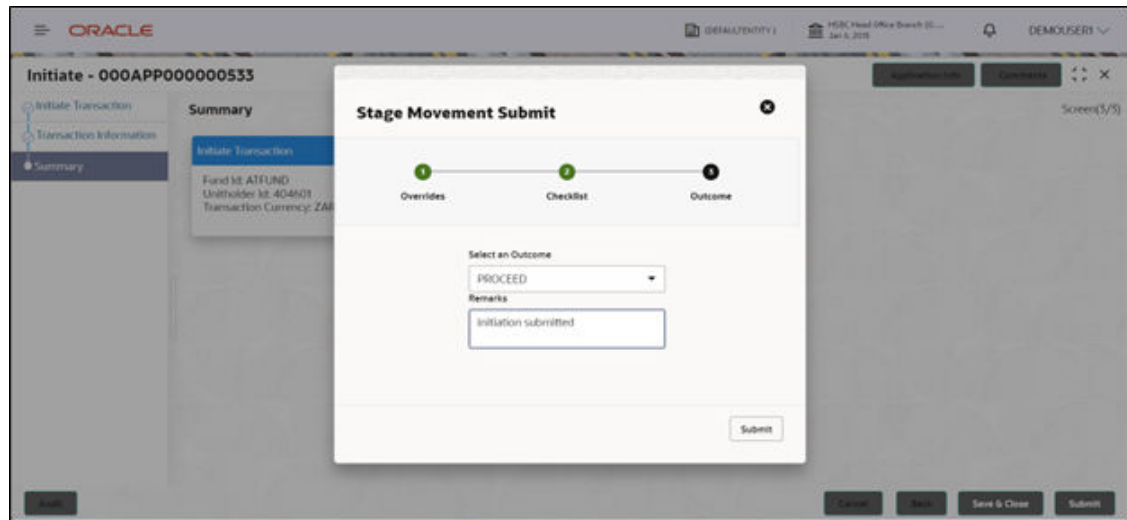
In check list view will ask for validated the transaction details or not. On click of save & proceed it Will navigate to outcome view.

Figure 6-11 Transaction initiation submit checklist flows



In outcome view it will be having outcome drop down with proceed and proceed for approval and remark field.

Figure 6-12 Transaction Initiation submit Flows



Once initiation submitted it will prompt a success message with application reference number and other details if you click on go to free task it will launch the free task page.

Figure 6-13 Initiation Success popup

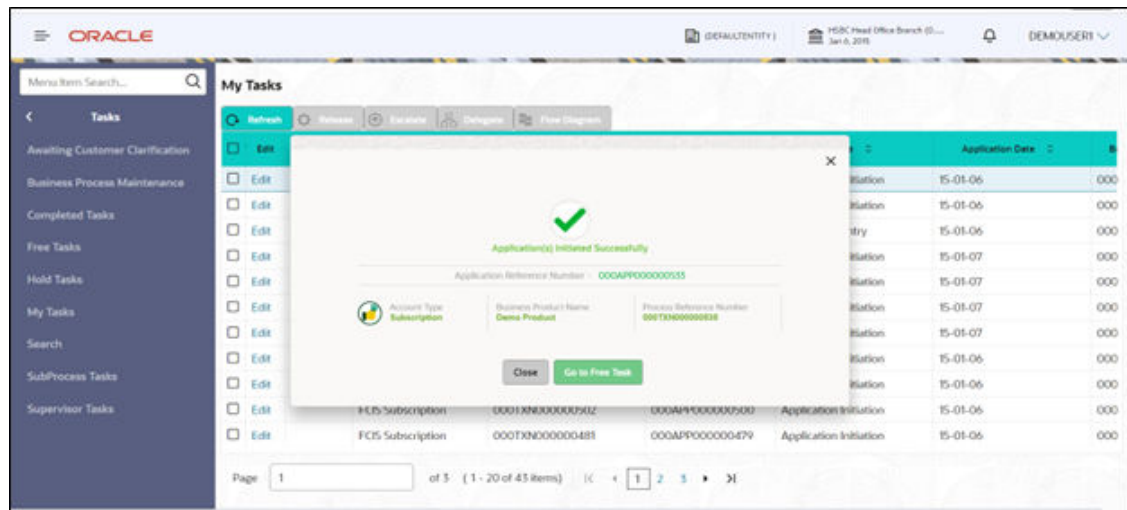


Figure 6-14 Free task page

Process Name	Process Reference Number	Application Number	Stage	Application Date
FCIS Subscription	000TXN0000000535	000APPO000000535	Application Entry	15-01-06
FCIS Subscription	000TXN0000000496	000APPO000000496	Handoff Entry	15-01-06
FCIS Subscription	000TXN0000000415	000APPO000000415	Application Initiation	15-01-06
FCIS Subscription	000TXN0000000412	000APPO000000412	Application Initiation	15-01-06
FCIS Subscription	000TXN0000000406	000APPO000000406	Application Initiation	15-01-06
FCIS Subscription	000TXN0000000118	000APPO000000118	TXN Approval	15-01-06
FCIS Subscription	000TXN0000000075	000APPO000000075	Application Initiation	15-01-06
FCIS Subscription	000TXN0000000070	000APPO000000068	Application Initiation	15-01-06
FCIS Subscription	000TXN0000000067	000APPO000000065	Application Initiation	15-01-06
FCIS Subscription	000TXN0000000061	000APPO000000059	Application Initiation	15-01-06
FCIS Subscription	000TXN0000000058	000APPO000000056	Application Initiation	15-01-06

6.3 Transaction Entry Stage

This topic provides information on transaction entry stage.

All the data segment is these stages are marked as read only.

Transaction entry stage following screens are mapped.

This topic consists the following sub-topics:

- [Basic Information](#)
This topic provides information on basic information.
- [Transaction Preference](#)
This topic provides information on transaction preference.
- [Transaction Intermediary Details](#)
This topic provides information on transaction intermediary details.
- [Transaction Load Override Details](#)
This topic provides information on transaction load override details.
- [Transaction Settlement Details](#)
This topic provides information on transaction settlement details.
- [Stage Summary](#)
This topic provides information on stage summary.
- [Stage Submit](#)
This topic provides information on stage submit.

6.3.1 Basic Information

This topic provides information on basic information.

Figure 6-15 Basic Information page

Transaction Application Entry - 000APP000000533

Application Info Comments Screen(1/6)

Basic Information

Transaction Number - User Reference Number - 000APP000000533

Transaction Date	12 Apr 2015	Order Receive Timezone	SER	Order Receive Date Time	06 Jan 2015
Transaction Currency	ZAR	Gross or Net	Gross	Payment Mode	Transfer
Transaction Sub Type	Initial Purchase	Sub Payment Mode	Money Transfer	Transaction Category	Legacy
Transaction Made	Amount	Amount Applied	50000		

Buttons: Cancel, Save & Close, Next

6.3.2 Transaction Preference

This topic provides information on transaction preference.

Figure 6-16 Transaction Preference page

Transaction Application Entry - 000APP000000533

Application Info Comments Screen(2/6)

Transaction Preferences

Transaction Preference

Settlement Date	12 Apr 2015	Price Date		Dealing Date	12 Apr 2015
Completion Date		Maturity Date			
Communication Mode	DI	Units Classification		Fund Price Basis	
KID Received	Yes	EURD Option	Yes	LOI Applicable	Yes

Buttons: Cancel, Back, Save & Close, Next

6.3.3 Transaction Intermediary Details

This topic provides information on transaction intermediary details.

Figure 6-17 Transaction Intermediary page

Transaction Application Entry - 000APP000000533

Transaction Intermediary Details

Investing Through Adviser? Yes

Are you within the target market of this investment product? Yes

Reason for product recommendation?

FJVOGEL

Entity Type: B

Entity Name: FJVOGEL

Parent Entity Name: FJVOGEL

Add Intermediary Details

Next

6.3.4 Transaction Load Override Details

This topic provides information on transaction load override details.

Figure 6-18 Transaction Load Override page

Transaction Application Entry - 000APP000000533

Transaction Load Override Details

Apply Campaign: Yes

Campaign Level: Campaign Group

Campaign Group or Code:

Load Pattern

Next

6.3.5 Transaction Settlement Details

This topic provides information on transaction settlement details.

Figure 6-19 Transaction Settlement page

6.3.6 Stage Summary

This topic provides information on stage summary.

On click of each panel it will give detailed information in view mode.

Figure 6-20 Transaction Entry Summary

Figure 6-21 Transaction Entry Summary detailed popup with view mode

The screenshot shows the Oracle Transaction Application Entry - 000APP00000390 Summary popup in view mode. The popup is titled "Basic Information" and displays the following details:

UH And Fund Details		
Transaction Date	Order Receive TimeZone	Order Receive Date Time
06 Jan 2015	SER	06 Jan 2015
Transaction Currency	Gross or Net	Payment Mode
ZAR	Gross	Transfer
Transaction Sub Type	Sub Payment Mode	Transaction Category
Customer Transfer	Major Transfer	Transfer

The popup also includes a "Cancel" button at the bottom right. The background shows the Oracle Transaction Entry Stage interface with a sidebar menu and a top navigation bar.

6.3.7 Stage Submit

This topic provides information on stage submit.

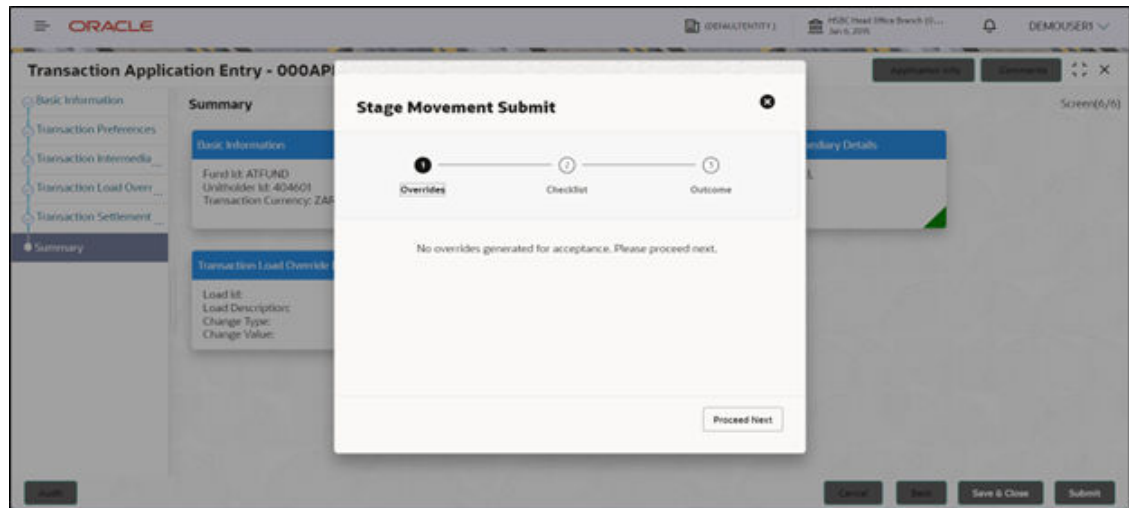
While submitting the application entry it will prompt the submit process flow once submitted it will move approval stage.

- [Overrides](#)
This topic provides information on overrides.
- [Checklist](#)
This topic provides information on checklist.
- [Outcome](#)
This topic provides information on outcome.
- [Free Task](#)
This topic provides information on free task.

6.3.7.1 Overrides

This topic provides information on overrides.

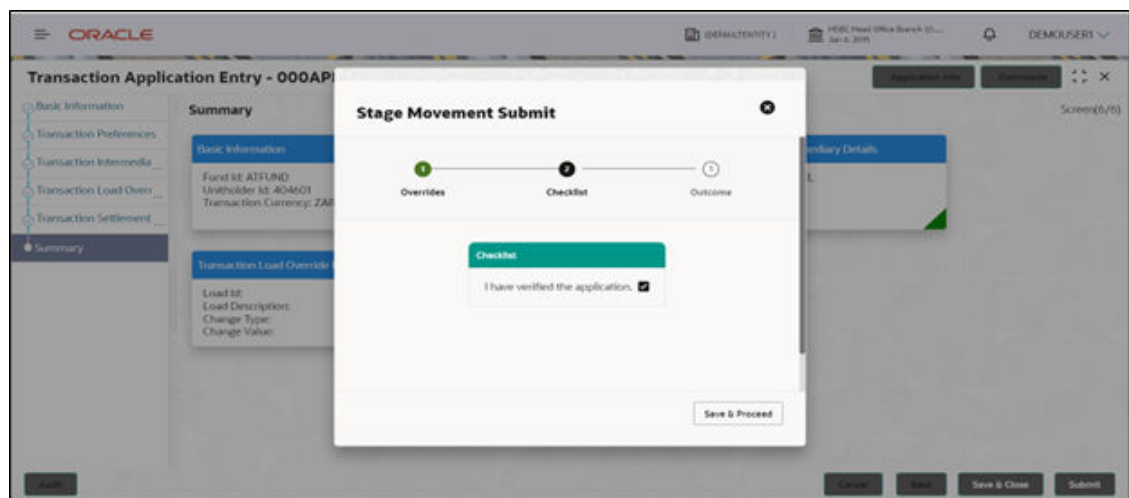
Figure 6-22 Transaction Entry Submit flow



6.3.7.2 Checklist

This topic provides information on checklist.

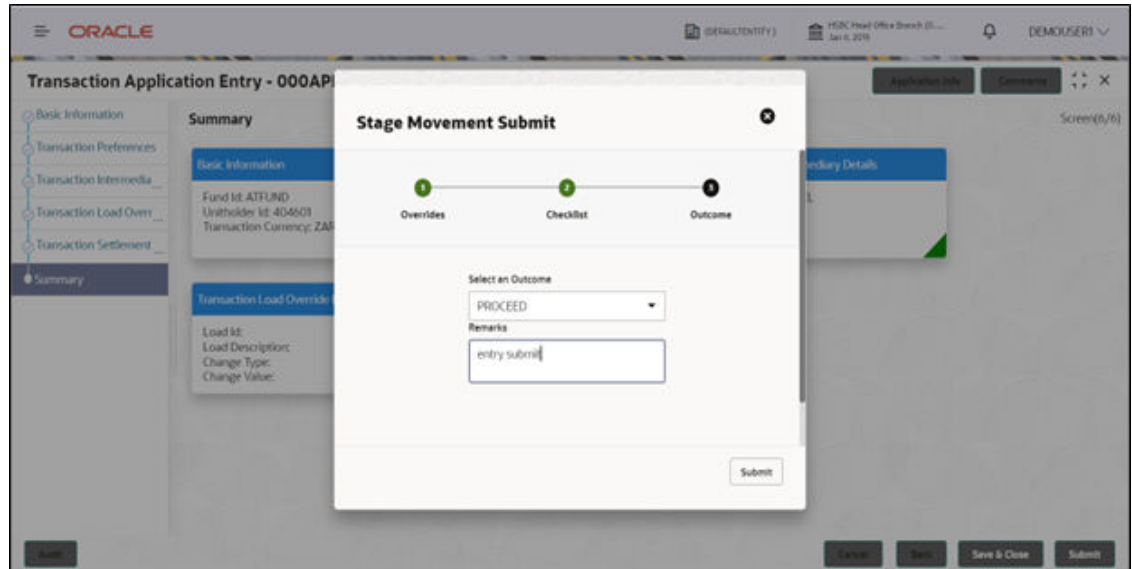
Figure 6-23 Transaction Entry Submit flow



6.3.7.3 Outcome

This topic provides information on outcome.

Figure 6-24 Stage movement Submit



6.3.7.4 Free Task

This topic provides information on free task.

In free task page application will be approval stage once Acquire it will launch application approval segments.

Figure 6-25 Free task page

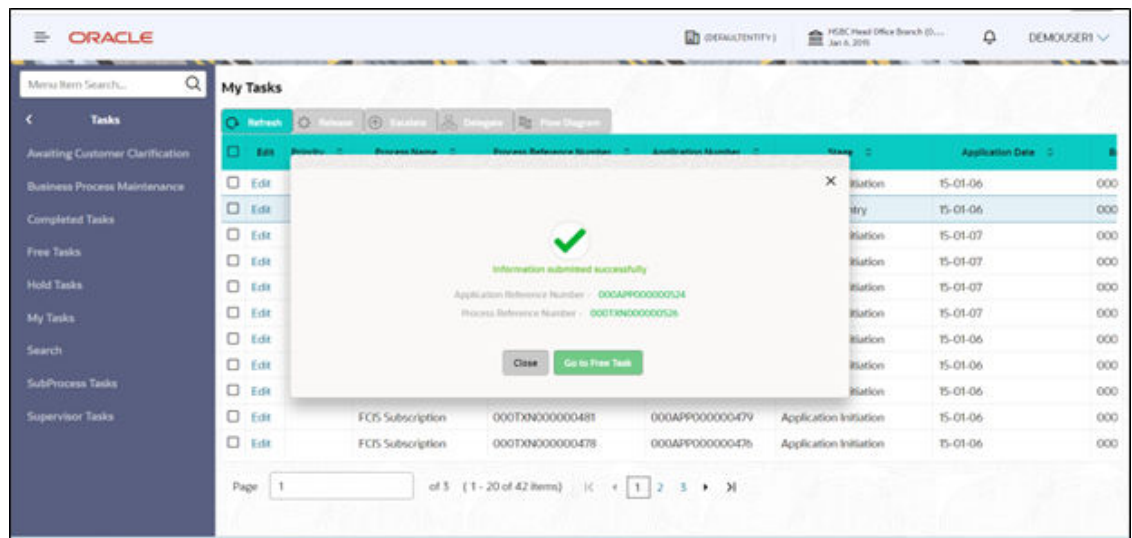


Figure 6-26 Free task page

<input type="checkbox"/>	Acquire & Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000526	000APPO000000524	TXN Approval	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000535	000APPO000000533	TXN Approval	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000496	000APPO000000494	Handoff Retry	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000485	000APPO000000483	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000482	000APPO000000480	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000406	000APPO000000404	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000188	000APPO000000186	TXN Approval	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000073	000APPO000000071	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000070	000APPO000000068	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000067	000APPO000000065	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000061	000APPO000000059	Application Initiation	15-01-06

6.4 Transaction Approval Stage

This topic provides information on transaction approval stage.

From free task if you acquire the approval transaction it will launch the approval screen where you can reject or approve the transaction.

Transaction entry stage following screens are mapped.

This topic consists of sub-topics:

- [Transaction Information](#)
This topic provides information on transaction information.
- [Approval Details](#)
This topic provides information on approval details.
- [Approval Summary](#)
This topic provides information on approval summary.
- [Stage Submit](#)
This topic provides information on stage submit.

6.4.1 Transaction Information

This topic provides information on transaction information.

Figure 6-27 Transaction Information page

The screenshot displays the 'Supervisor Approval - 000APP000000524' page in the Oracle system. The left sidebar shows a navigation menu with 'Transaction Information' selected. The main content area is titled 'Transaction Information' and contains four panels: 'Transaction Basic Details', 'Intermediary Details', 'Load Override Details', and 'Preference Details'. At the bottom, there are buttons for 'Cancel', 'Save & Close', and 'Next'.

Transaction Basic Details	
Unit Holder ID	404601
Unit Holder Name	Mr. FCAT UH 9
Fund ID	ATFLUND
Fund Name	Audit Test Fund
Transaction Date	2015-04-12
Transaction Currency	ZAR
Transaction Amount	60000

Intermediary Details	
Entry ID	FJVOGEL
Entry Name	
Entry Type	B
Parent Entry Name	
Percentage	100

Load Override Details	
Load ID	
Load Description	
Amount or Percentage	
Change Type	
Change Value	
Projected Returned Value	

Preference Details	
Settlement Date	2015-04-12
Base Cost	
Short Trade Unit	
Price Date	
Dealing Date	2015-04-12
Completion Date	

6.4.2 Approval Details

This topic provides information on approval details.

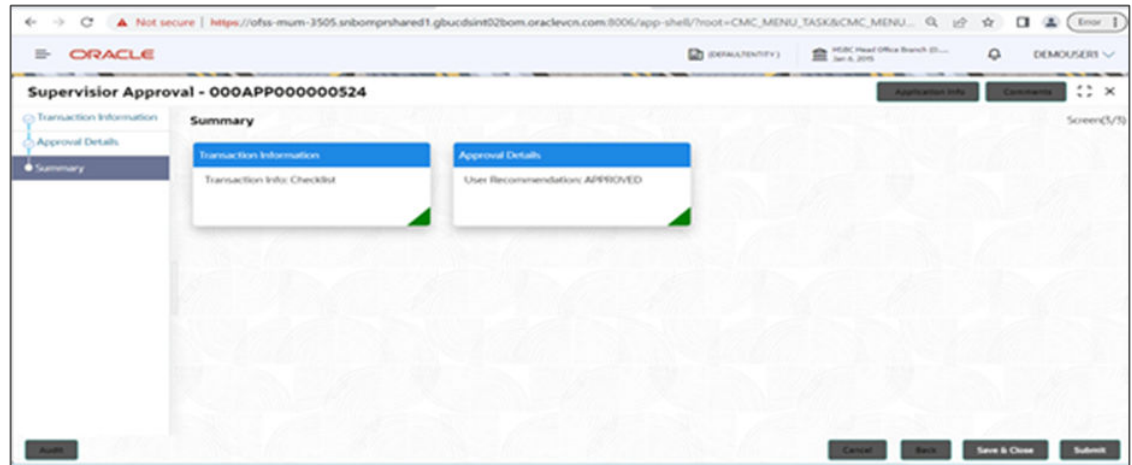
Figure 6-28 Approval page

The screenshot displays the 'Supervisor Approval - 000APP000000524' page in the Oracle system, specifically the 'Approval Details' section. The left sidebar shows a navigation menu with 'Approval Details' selected. The main content area is titled 'Approval Details' and features a green checkmark icon with the text 'User Action APPROVED'. A modal dialog box titled 'Recommendation' is open, showing 'Approve' and 'Reject' buttons. At the bottom, there are buttons for 'Cancel', 'Save & Close', and 'Next'.

6.4.3 Approval Summary

This topic provides information on approval summary.

Figure 6-29 Transaction approval stage summary page



6.4.4 Stage Submit

This topic provides information on stage submit.

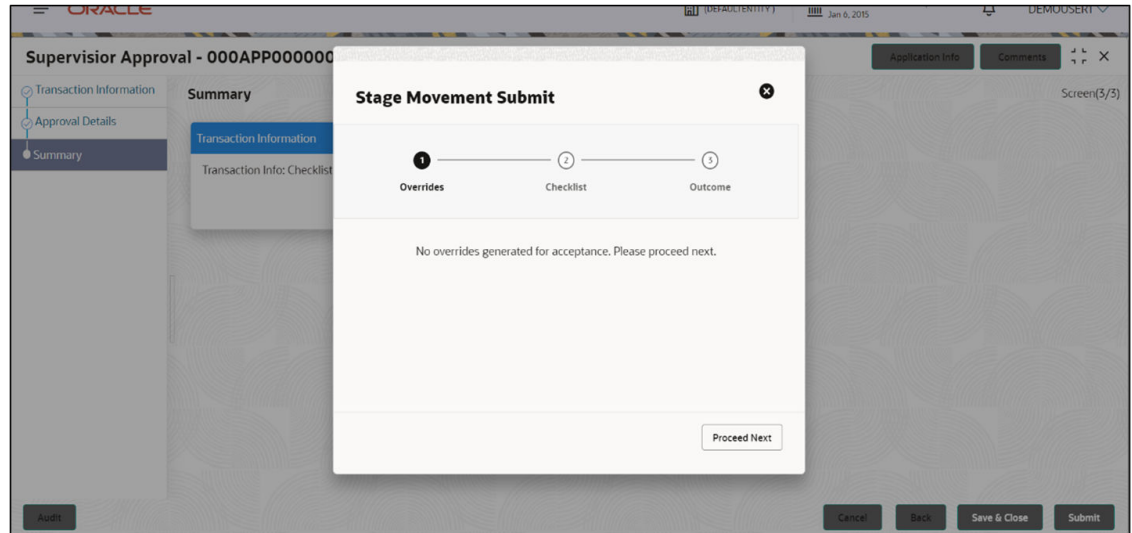
This topic consists the following sub-topics:

- [Overrides](#)
This topic provides information on overrides.
- [Checklist](#)
This topic provides information on checklist.
- [Outcome](#)
This topic provides information on outcome.
- [Tasks](#)
This topic provides information on tasks.

6.4.4.1 Overrides

This topic provides information on overrides.

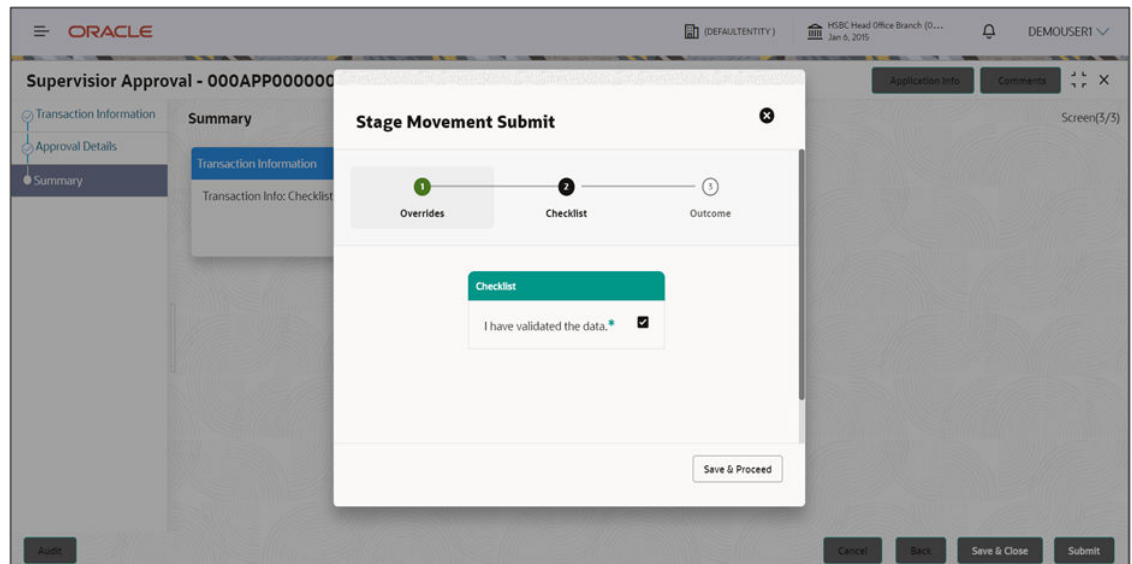
Figure 6-30 Transaction approval stage submit flow



6.4.4.2 Checklist

This topic provides information on checklist.

Figure 6-31 Transaction approval stage submit flow



6.4.4.3 Outcome

This topic provides information on outcome.

Figure 6-32 Transaction approval stage submit flow

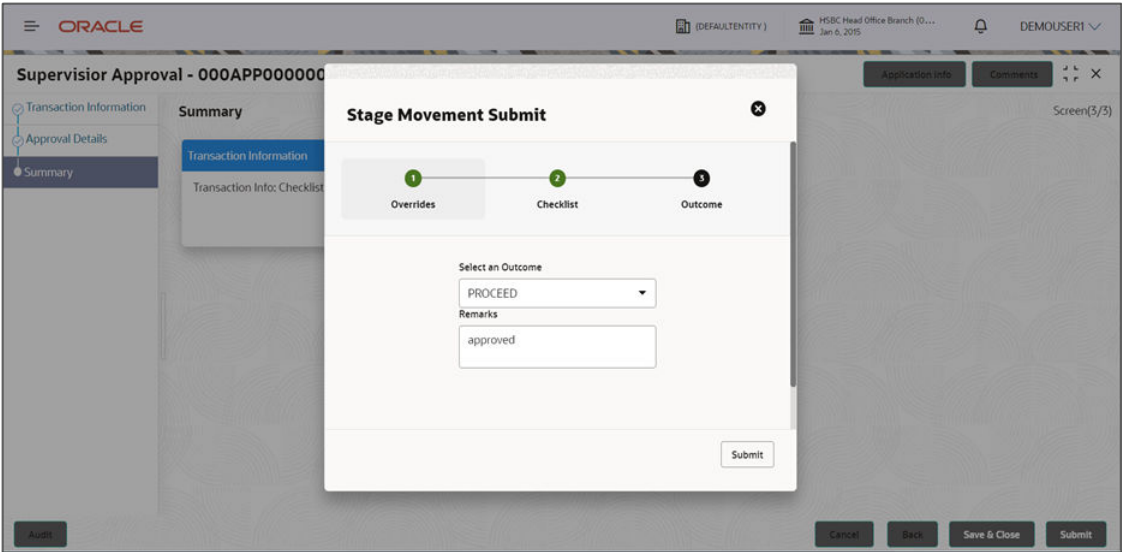
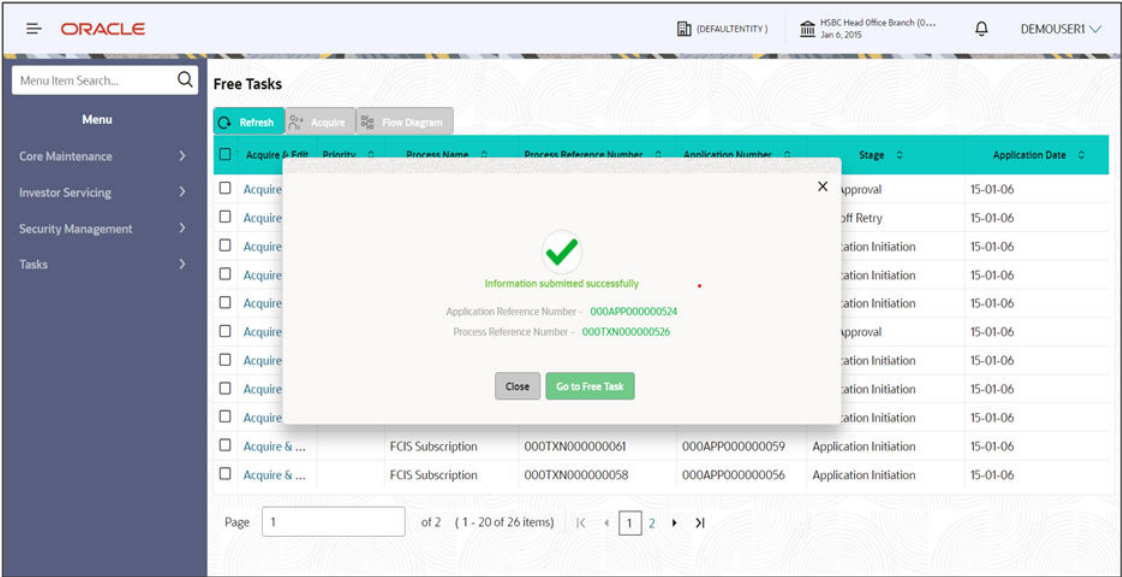


Figure 6-33 Free Tasks



Now application will be available in completed task.

6.4.4.4 Tasks

This topic provides information on tasks.

Figure 6-34 Completed task view

Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
<input type="checkbox"/>	FCIS Subscription	000TXN000000526	000APP000000524	TXN Approval	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000526	000APP000000524	Application Entry	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000535	000APP000000533	Application Entry	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000535	000APP000000533	Application Initiation	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000526	000APP000000524	Application Initiation	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000383	000APP000000381	TXN Approval	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000383	000APP000000381	Application Initiation	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000380	000APP000000378	TXN Approval	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000380	000APP000000378	Application Entry	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000380	000APP000000378	Application Initiation	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000344	000APP000000342	TXN Approval	15-01-06	000

6.5 Transaction Handoff Retry

This topic provides information on transaction handoff retry.

In case of failure of transaction creation from the host system, the application will navigate to Handoff Retry Stage.

Figure 6-35 Handoff Retry grid view

Acquire & Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
<input type="checkbox"/>		FCIS Subscription	000TXN000000547	000APP000000545	Handoff Retry	15-01-06
<input type="checkbox"/>		FCIS Subscription	000TXN000000535	000APP000000533	TXN Approval	15-01-06
<input type="checkbox"/>		FCIS Subscription	000TXN000000496	000APP000000494	Handoff Retry	15-01-06
<input type="checkbox"/>		FCIS Subscription	000TXN000000415	000APP000000413	Application Initiation	15-01-06
<input type="checkbox"/>		FCIS Subscription	000TXN000000412	000APP000000410	Application Initiation	15-01-06
<input type="checkbox"/>		FCIS Subscription	000TXN000000406	000APP000000404	Application Initiation	15-01-06
<input type="checkbox"/>		FCIS Subscription	000TXN000000118	000APP000000116	TXN Approval	15-01-06
<input type="checkbox"/>		FCIS Subscription	000TXN000000073	000APP000000071	Application Initiation	15-01-06
<input type="checkbox"/>		FCIS Subscription	000TXN000000070	000APP000000068	Application Initiation	15-01-06
<input type="checkbox"/>		FCIS Subscription	000TXN000000067	000APP000000065	Application Initiation	15-01-06
<input type="checkbox"/>		FCIS Subscription	000TXN000000061	000APP000000059	Application Initiation	15-01-06

Once user acquires the task it will launch the back-office error page.

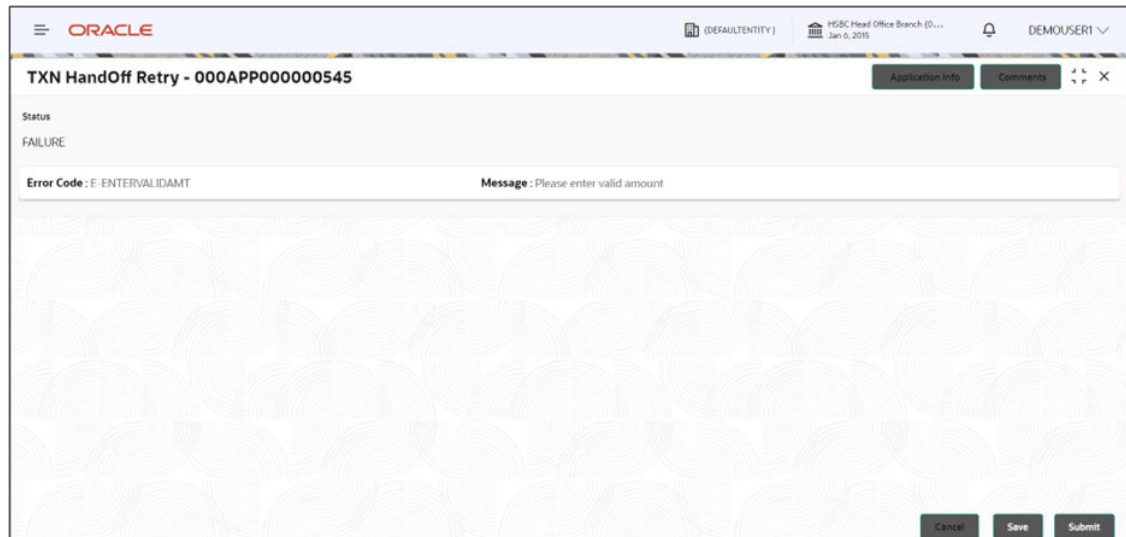
- [TXN Handoff Retry](#)
This topic provides information on TXN handoff retry.

- [Submit](#)
This topic provides information on submit.

6.5.1 TXN Handoff Retry

This topic provides information on TXN handoff retry.

Figure 6-36 Back-office error page



6.5.2 Submit

This topic provides information on submit.

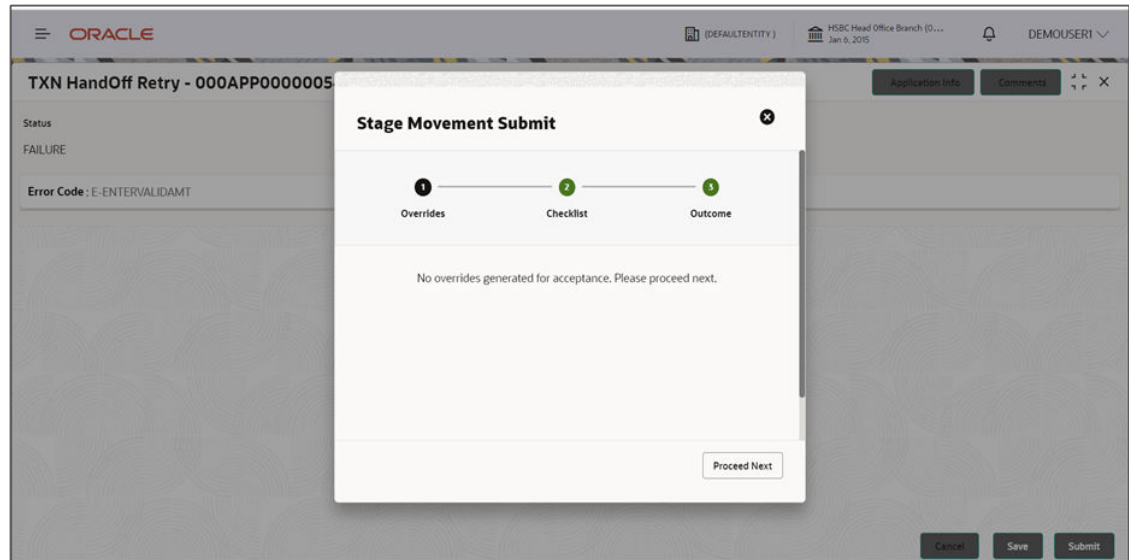
While submitting the back-office error it will prompt with submission flow.

- [Overrides](#)
This topic provides information on overrides.
- [Checklist](#)
This topic provides information on checklist.
- [Outcome](#)
This topic provides information on outcome.

6.5.2.1 Overrides

This topic provides information on overrides.

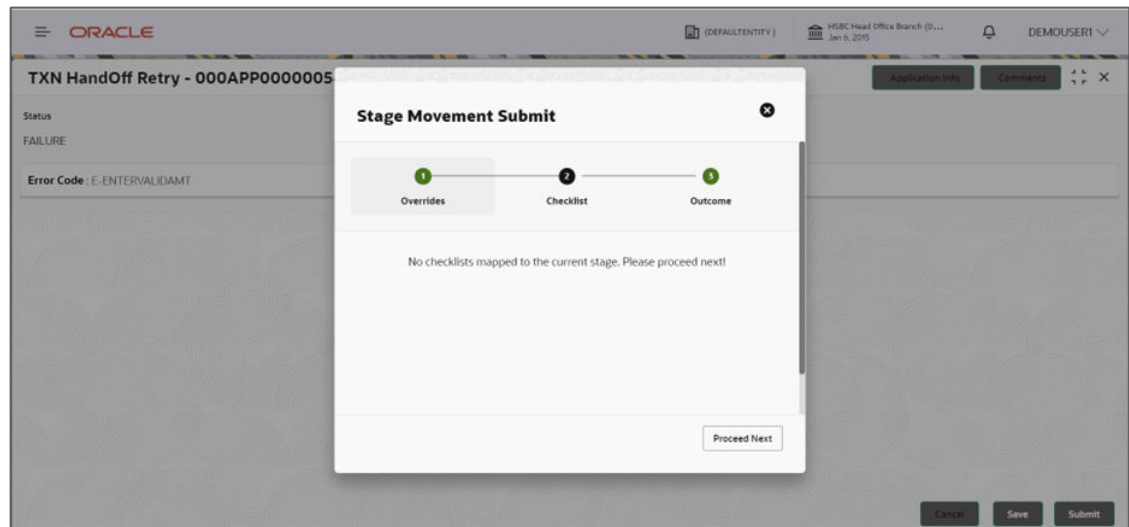
Figure 6-37 Submit flow



6.5.2.2 Checklist

This topic provides information on checklist.

Figure 6-38 Submit flow



6.5.2.3 Outcome

This topic provides information on outcome.

Figure 6-39 submit flow

The screenshot shows the Oracle Stage Movement Submit dialog box. The dialog has a title bar with a close button. Below the title bar is a progress bar with three steps: 1. Overrides, 2. Checklist, and 3. Outcome. The 'Outcome' step is currently selected. Below the progress bar, there is a 'Select an Outcome' dropdown menu with 'Retry' selected. Below the dropdown is a 'Remarks' text area with the text 'retry' entered. At the bottom right of the dialog is a 'Submit' button. The background of the screenshot shows the Oracle application interface with the title 'TXN HandOff Retry - 000APP0000005', status 'FAILURE', and error code 'E-ENTERVALIDAMT'. There are also tabs for 'Application Info' and 'Comments' at the top right of the background window.

6.6 Action Tabs

This topic provides information on action tabs.

This section includes the following subsections:

- Application Info
- Remarks

The functions available in the various tabs can be accessed during any point in the Application Entry stage. Details about the tabs are as follows:

- [Application Info](#)
This topic provides information on application info.
- [Remarks](#)
This topic provides information on remarks.

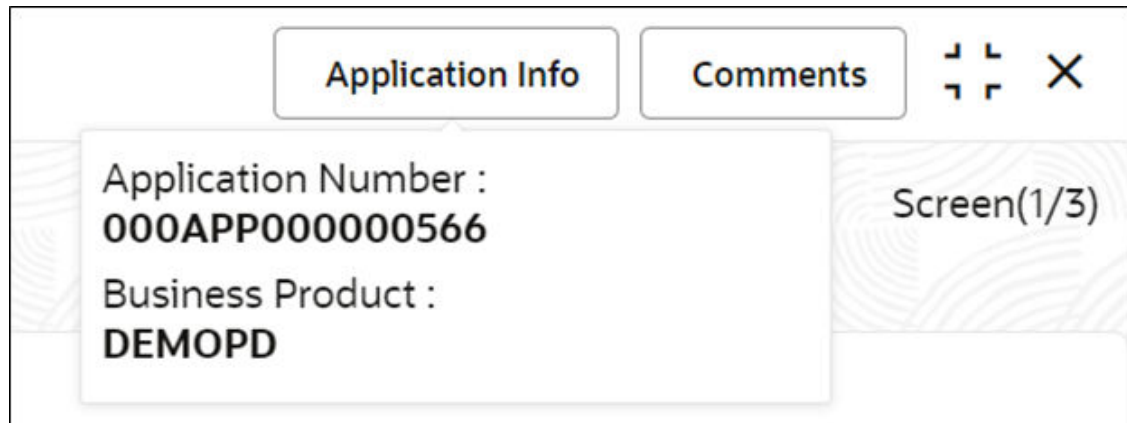
6.6.1 Application Info

This topic provides information on application info.

Click **Application Info** to view the application information.

Application Information screen is displayed.

Figure 6-40 Application Information



6.6.2 Remarks

This topic provides information on remarks.

Click **Remarks** to update any remarks that you want to post for the application that you are working on.

The **Remarks** screen is displayed.

Figure 6-41 Remarks

