Oracle® FLEXCUBE Investor Servicing

Upload Format User Guide

Release 14.7.7.0.0
G31936-01
April 2025

ORACLE

Oracle FLEXCUBE Investor Servicing Upload Format User Guide, Release 14.7.7.0.0

G31936-01

Copyright © 2007, 2025, Oracle and/or its affiliates.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish, or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

If this is software, software documentation, data (as defined in the Federal Acquisition Regulation), or related documentation that is delivered to the U.S. Government or anyone licensing it on behalf of the U.S. Government, then the following notice is applicable:

U.S. GOVERNMENT END USERS: Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs) and Oracle computer documentation or other Oracle data delivered to or accessed by U.S. Government end users are "commercial computer software," "commercial computer software documentation," or "limited rights data" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, the use, reproduction, duplication, release, display, disclosure, modification, preparation of derivative works, and/or adaptation of i) Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs), ii) Oracle computer documentation and/or iii) other Oracle data, is subject to the rights and limitations specified in the license contained in the applicable contract. The terms governing the U.S. Government's use of Oracle cloud services are defined by the applicable contract for such services. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate fail-safe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

Oracle®, Java, MySQL, and NetSuite are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Inside are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Epyc, and the AMD logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group.

This software or hardware and documentation may provide access to or information about content, products, and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services unless otherwise set forth in an applicable agreement between you and Oracle. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services, except as set forth in an applicable agreement between you and Oracle.

Contents

Preface

Purpose xi
Audience xi
Documentation Accessibility xi
Critical Patches xi
Diversity and Inclusion xii
Conventions xii
Acronyms and Abbreviations xii

1 Appendix A - Upload File Formats A

1.1 Upload File Formats 1-4
1.2 Unit Holder File Format 1-5
1.2.1 Segment Identification number 1-7
1.2.2 Unit Holder File Format 1-8
1.2.3 Unit Holder KYC Details 1-23
1.2.4 Unit Holder Beneficiary Details 1-24
1.2.5 Unit Holder Bank Details 1-26
1.2.6 Unit Holder Intermediary Details 1-29
1.2.7 Unit Holder Delivery Details 1-30
1.2.8 Unit Holder Related Parties Details 1-30
1.2.9 Unit Holder Joint Holder Details 1-31
1.2.10 Unit Holder Nominee Details 1-33
1.2.11 Unit Holder Director Details 1-34
1.2.12 Unit Holder Additional Information Details 1-36
1.2.13 Unit Holder KYC Application Form Details 1-37
1.2.14 Unit Holder Stop Account Details 1-37
1.2.15 Unit Holder Communication Mode Restriction Details 1-38
1.2.16 Unit Holder KIID Details 1-39
1.2.17 Unit Holder Contact information 1-39
1.3 Transactions File Format 1-41
1.3.1 Segment Identification Number 1-42
1.3.2 Transaction Related Information 1-43
1.3.3 Transaction Settlement Related Information 1-49

1.3.4 KYC Transaction Related Information 1-55
1.3.5 Transaction Additional Information Details 1-55
1.3.6 Transaction Intermediary Related Information 1-57
1.3.7 Transaction Certificate Related Information 1-58
1.3.8 Transaction Receipt Related Information 1-59
1.3.9 Transaction Delivery Related Information 1-59
1.3.10 Transaction Limit Order Related Information 1-60
1.3.11 Transaction Load Override Related Information 1-61
1.3.12 Transaction Derived Load Override Related Information 1-62
1.3.13 Transaction Pseudo Switch Related Information 1-62
1.3.14 Transaction Switch In Fund Load Override Related Information 1-64
1.3.15 Transaction Switch In Fund Derived Load Override Related Information 1-65
1.4 Standing Instruction File Format 1-66
1.4.1 Standing Instruction File Format - Segment Identification Number 1-66
1.4.2 Standing Instruction Related Information 1-67
1.4.3 SI Intermediary Related Information 1-74
1.4.4 SI Payment Related Information 1-75
1.4.5 SI Delivery Related Information 1-76
1.4.6 SI Fund Related Information 1-77
1.4.7 SI Certificate Related Information 1-78
1.4.8 SI Additional Information 1-79
1.5 Income Distribution Setup File Format 1-79
1.5.1 Income Distribution Setup File Format - Segment Identification Number 1-80
1.5.2 Income Distribution Related Information 1-80
1.5.3 Income Distribution Reinvestment Related Information 1-83
1.6 Fund Price File Format 1-84
1.6.1 Fund Price File Format - Segment Identification Number 1-85
1.6.2 Fund Price Related Information 1-85
1.6.3 Fund Price Base Price Constant Related Information 1-87
1.6.4 Fund Price Additional Information Related Information 1-87
1.6.5 Fund Price Components Related Information 1-88
1.6.6 Fund Price Currency Header Details 1-88
1.6.7 Fund Price Currency Base Price Constant Details 1-89
1.6.8 Fund Price Currency Components Details 1-90
1.7 Broker File Format 1-91
1.7.1 Broker File Format - Segment Identification Number 1-91
1.7.2 Broker Upload Related Information 1-92
1.7.3 Broker Contact Information Related Information 1-96
1.7.4 Brokers Bank Information Related details 1-97
1.7.5 Brokers Legacy Related Information 1-98
1.7.6 Broker Preference Information Related Details 1-98
1.7.7 Broker Additional Information Related Details 1-99

1.7.8 Broker Related Party Related Information 1-100
1.8 Unit Holder Deal File Format 1-101
1.8.1 Unit Holder Deal File Format - Segment Identification Number 1-101
1.8.2 Unit Holder Deal Related Information 1-102
1.8.3 Unit Holder Deal Load Related Information 1-103
1.8.4 Unit Holder Deal Derived Load Related Information 1-104
1.9 Policy Transaction Upload Format 1-104
1.9.1 Policy Transaction Upload Format - Segment Identification Number 1-105
1.9.2 Policy Transactions Related Information 1-105
1.9.3 Policy Transactions Related To Brokers Information 1-109
1.9.4 Information Related to Transaction Fund Ratio 1-109
1.9.5 Policy Transaction Settlement Related Information 1-110
1.9.6 Policy Transaction Settlement Related Information 1-113
1.9.7 Policy Transaction Load Override Related Information 1-113
1.9.8 Policy Transaction Derived Load Override Related Information 1-114
1.10 Light Weight Transaction File Format 1-115
1.10.1 Light Weight Transaction File Format - Segment Identification Number 1-116
1.10.2 Transaction Related Information 1-116
1.10.3 Transaction Settlement Related Information 1-118
1.10.4 KYC Transaction Related Information 1-122
1.11 Holiday Upload Format 1-123
1.11.1 Segment Identification Number 1-124
1.12 Dividend Declaration Upload 1-125
1.12.1 Segment Identification Number 1-125
1.12.2 Dividend Declaration Upload 1-125
1.13 Dividend Equalization Adjustment Upload 1-128
1.13.1 Segment Identification Number 1-129
1.14 Exchange Rate Upload Format 1-130
1.14.1 Segment Identification Number 1-131
1.15 AuthRep Upload Format 1-132
1.15.1 Segment Identification Number 1-132
1.16 Entity Upload Format 1-134
1.16.1 Segment Identification Number 1-134
1.16.2 Entity Maintenance Related Information 1-135
1.16.3 Entity Bank Related Information 1-140
1.16.4 Entity Contact Information Related Information 1-142
1.16.5 Entity Broker Definition Related Information 1-143
1.16.6 Related Parties Information 1-144
1.16.7 Additional Information Details 1-145
1.16.8 Swift Message Related Details 1-146
1.17 Entity Bulk Import Pension Upload 1-146
1.18 UH KYC Chasing Upload 1-151

1.18.1 Segment Identification Number 1-152
1.18.2 Cheque Book Request Upload Format 1-152
1.19 Customer Fund Upload Format 1-154
1.20 Customer Address Upload Format 1-155
1.20.1 Segment Identification Number 1-155
1.21 EMP Upload Format 1-156
1.21.1 Segment Identification Number 1-157
1.21.2 Employer Header Details 1-157
1.21.3 Employer Details 1-158
1.22 FATCA Upload Format 1-159
1.22.1 Segment Identification Number 1-160
1.22.2 FATCA Maintenance Header Details 1-160
1.22.3 FATCA Maintenance Document Details 1-161
1.22.4 GFR Price Upload Format 1-162
1.23 GIIN Upload Format 1-163
1.23.1 Segment Identification Number 1-163
1.24 Help Desk Upload 1-164
1.24.1 Segment Identification Number 1-164
1.24.2 Help Desk Information - 00HDM 1-164
1.24.3 Help Desk Information - 01HDE 1-165
1.25 Gen EPU Upload Format 1-166
1.25.1 Segment Identification Number 1-166
1.26 Bank Statement Upload Format 1-167
1.26.1 Segment Identification Number 1-168
1.27 Bulk GL Setup Upload Format 1-168
1.27.1 Segment Identification Number 1-169
1.28 Bulk FSA Upload Format 1-170
1.28.1 Segment Identification Number 1-170
1.29 GO Confirmation Upload Format 1-171
1.29.1 Segment Identification Number 1-171
1.30 Fund Upload Format 1-172
1.30.1 Segment Identification Number 1-174
1.30.2 Fund Demographics Details 1-175
1.30.3 Fund Language Details 1-177
1.30.4 Shares Characteristics Details 1-178
1.30.5 Fund Unitholder Category Registered Capital Details 1-180
1.30.6 General Operating Rules 1-181
1.30.7 Transaction Processing Rules Details 1-186
1.30.8 Transaction Processing Rules Communication Mode Details 1-191
1.30.9 Fund Investor Category Transaction Limits Details 1-192
1.30.10 Fund SI Frequency Details 1-193
1.30.11 Fund Sale Country Details 1-194

1.30.12 Fund Residency Country Details 1-195
1.30.13 Fund Transaction Currency Details 1-196
1.30.14 Income Distribution Rule Details 1-196
1.30.15 Fund Load Maintenance Details 1-200
1.30.16 Fund Formula Maintenance Details 1-202
1.30.17 Fund Additional Information Details 1-203
1.30.18 Specific Fund Price Date Setup Details 1-205
1.30.19 Fund User Defined Price Dates Details 1-207
1.30.20 Fund IRA Details 1-208
1.30.21 Fund Delivery Instruction Details 1-208
1.30.22 Fund Investment Account Details 1-209
1.30.23 Fund Investment Account SI Details 1-210
1.30.24 KYC Fund Details 1-212
1.30.25 Hedge Fund Rules Details 1-212
1.30.26 Hedge Fund Link Details 1-214
1.30.27 Hedge Fund Investor Category Performance Fee Details 1-215
1.30.28 Multi Distribution Details 1-216
1.30.29 Reinvestment Details Format 1-216
1.30.30 Fund Price Currencies 1-217
1.31 Unit Holder Deal File Format 1-219
1.32 Cheque Tracking File Format 1-222
1.33 Pseudo Switch Lag Upload 1-224
1.34 Emergency Day Transaction Processing Upload 1-225
1.35 Emergency Day Maintenance Upload 1-226
1.36 Campaign Upload 1-227
1.37 Special Discount Upload 1-229
1.38 Pension Order Upload 1-230
1.38.1 Pension Order Upload - Segment Identification Number 1-230
1.38.2 Pension Order Upload 1-231
1.39 Payroll and Pension Data Interface Standard (PAPDIS) Upload 1-235
1.40 Contribution File Upload (CFU) Upload 1-237
1.41 CFU - Order Generation 1-238
1.41.1 Segment Identification Number 1-239
1.41.2 CFU Order Generation 1-239
1.42 Pension Order Import 1-241
1.42.1 Pension Order Import - Segment Identification Number 1-241
1.42.2 Pension Order Import Upload 1-242
1.43 Pension Allocation Import from TA 1-256
1.43.1 Segment Identification Number 1-256
1.43.2 Pension Allocation Import from TA 1-256
1.44 Pension Investment Account (Incremental Upload) 1-258
1.44.1 Segment Identification Number 1-258

1.44.2 Pension Investment Account Upload 1-258
1.45 Pension Allocation Handoff 1-267
1.46 Load Maintenance Export 1-269
1.46.1 Segment Identification Number 1-269
1.46.2 Load Maintenance Upload 1-270
1.47 Broker Export 1-274
1.47.1 Segment Identification Number 1-274
1.47.2 Broker Export 1-274
1.48 Load Maintenance Upload 1-283
1.48.1 Segment Identification Number 1-283
1.48.2 Load Maintenance Upload 1-283
1.49 Broker Import 1-287
1.49.1 Segment Identification Number 1-288
1.49.2 Broker Import from TA 1-288
1.50 Pension Held-away Holdings Upload 1-295
1.51 Identification Details Upload 1-296
1.52 Bank Account Details Upload 1-296
1.53 Address Details Upload 1-297
1.54 Party Role Mapping Upload 1-299
1.55 Group Maintenance Upload 1-300
1.55.1 Segment Identification Number 1-300
1.55.2 Group Maintenance Import 1-300
1.56 Investment Account Upload 1-301
1.56.1 Segment Identification Number 1-302
1.56.2 Investment Account Upload 1-302
1.57 Portfolio Mapping Upload 1-304
1.57.1 Segment Identification Number 1-304
1.57.2 Portfolio Mapping Upload 1-304
1.58 Portfolio Charge Upload 1-307
1.58.1 Segment Identification Number 1-307
1.58.2 Portfolio Charge Upload 1-307
1.59 Rebalancing Rule Mapping Upload 1-309
1.59.1 Segment Identification Number 1-309
1.59.2 Rebalancing Rule Mapping Upload 1-309
1.60 Party Main Upload 1-311
1.60.1 Segment Identification Number 1-311
1.60.2 Party Main Upload 1-312
1.61 Fund Export 1-318
1.61.1 Segment Identification Number 1-318
1.61.2 Fund Export 1-319
1.62 Fund Price Export 1-327
1.63 Investment Account Export 1-327

1.63.1 Segment Identification Number 1-328
1.63.2 Investment Account Export 1-328
1.64 Asset NAV Import from TA 1-334
1.65 Pension Order Export 1-335
1.65.1 Segment Identification Number 1-335
1.65.2 Pension Order Export Upload 1-336
1.66 Asset Import from TA 1-348
1.66.1 Segment Identification Number 1-348
1.66.2 Asset Import from TA 1-349
1.67 Asset Maintenance Import 1-355
1.67.1 Segment Identification Number 1-356
1.67.2 Asset Maintenance Import 1-356
1.68 Import From External System To Amount Block Upload Format 1-358
1.69 Amount Block Export to External Systems Modify Upload Format 1-359
1.70 Amount Block Export to External Systems Close Upload Format 1-360
1.71 Import From External System To Amount Block Upload Format 1-361
1.72 Accounting Export Upload Format 1-361

2 Appendix B - Upload File Formats B

2.1 Fund Rules Upload Formats 2-1
2.1.1 Segment Identification Number 2-2
2.1.2 Fund Rules Upload Segment Formats 2-4
2.1.3 PROCESSINGFREQ (ref 11FLM, 12.10) 2-50
2.1.4 Fund Related Information Segments Formats 2-54
2.1.5 EPU Upload File Format 2-61
2.1.6 Tax Aggregation Interface Format 2-62
2.1.7 Agent Reference File 2-65
2.1.7.1 Control Record Upload 2-66
2.1.8 Global Order Placement Interface 2-66
2.1.9 GL Setup Upload Interface Format 2-67
2.1.10 Interface to Check for Transaction Unrelated to GL Extraction 2-68
2.1.11 File Format for OMIPAY FILE INTERFACE 2-68
2.1.12 File format for Header Record 2-69
2.1.13 File format for Detailed Record 2-69
2.2 Incremental Upload Format 2-71
2.3 Sub Service Upload Format 2-73
2.4 Entity Upload Format 2-75
2.5 Broker Upload Format 2-80
2.6 Unit Holder Upload Format 2-85
2.7 Transactions Upload Format 2-96
2.8 Fund Entity Upload Format 2-106

2.9 Account Officer Upload Format 2-108
2.10 Agency Branch AO Upload Format 2-109

Preface

Oracle FLEXCUBE Investor Servicing is a comprehensive mutual funds automation software from Oracle® Financial Servicing Software Ltd.©.

You can use the system to achieve optimum automation of all your mutual fund investor servicing processes, as it provides guidelines for specific tasks, descriptions of various features and processes, and general information.

This topic contains the following sub-topics:

- Purpose
- Audience
- Documentation Accessibility
- Critical Patches
- Diversity and Inclusion
- Conventions
- Acronyms and Abbreviations

Purpose

You are intended to become familiar with the **Oracle Flexcube Investor Servicing** application through this guide. This guide offers responses to particular features and procedures that are necessary for the module to operate effectively.

Audience

This user guide is intended for the Fund Administrator users and System operators in the AMC.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

Critical Patches

Oracle advises customers to get all their security vulnerability information from the Oracle Critical Patch Update Advisory, which is available at Critical Patches, Security Alerts and Bulletins. All critical patches should be applied in a timely manner to ensure effective security, as strongly recommended by Oracle Software Security Assurance.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
`monospace`	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Acronyms and Abbreviations

The list of the acronyms and abbreviations used are as follows:

Table 1 Acronyms and Abbreviations

Abbreviation	Description
CIF	Customer Information File
EOD	End of Day
EPU	Earnings per unit
FCIS	Oracle FLEXCUBE Investor Servicing
FMG	The Fund Manager component of the system
FPADMIN	Oracle FLEXCUBE Administrator
GTA	Global Transfer Agency
ID	Identification
IHPP	Inflation Hedged Pension Plan
IPO	Initial Public Offering
LEP	Life and Endowment Products
LOI	Letter of Intent
NAV	Net Asset Value

Table 1 (Cont.) Acronyms and Abbreviations

Abbreviation	Description
REG	The Registrar component of the system
ROA	Rights of Accumulation
ROI	Return on Investment
SI	Standing Instructions
SMS	Security Management System
URL	Uniform Resource Locator
VAT	Value Added Tax
WAUC	Weighted Average Unit Cost

1

Appendix A - Upload File Formats A

This topic explains various upload file formats for external files containing the information that is to be imported from the bulk client.

This topic contains the following sub-topics:

- Upload File Formats
  This topic provides information on upload file formats.
- Unit Holder File Format
  This topic provides information on Unit Holder File Format.
- Transactions File Format
  This topic provides information on the transaction file format.
- Standing Instruction File Format
  This topic explains the systematic instructions on Standing Instruction File Forma
- Income Distribution Setup File Format
  This topic explains the systematic instructions on income distribution setup file format.
- Fund Price File Format
  This topic explains the systematic instructions on fund price file format.
- Broker File Format
  This topic explains the systematic instructions on broker file format.
- Unit Holder Deal File Format
  This topic explains the systematic instructions on unit holder deal file format.
- Policy Transaction Upload Format
  This topic explains the systematic instructions on policy transaction upload format.
- Light Weight Transaction File Format
  This topic explains the systematic instructions on light weight transaction file format.
- Holiday Upload Format
  This topic explains the systematic instructions on holiday upload file format.
- Dividend Declaration Upload
  This topic explains the systematic instructions on dividend declaration upload.
- Dividend Equalization Adjustment Upload
  This topic explains the systematic instructions on dividend equalization adjustment upload.
- Exchange Rate Upload Format
  This topic explains the systematic instructions on exchange rate upload format.
- AuthRep Upload Format
  This topic explains the systematic instructions on authrep upload format.
- Entity Upload Format
  This topic explains the systematic instructions on entity upload format.
- Entity Bulk Import Pension Upload
  This topic provides detailed information on entity bulk import pension upload.
- UH KYC Chasing Upload
  This topic explains the systematic instructions on UH KYC chasing upload.

- Customer Fund Upload Format
  This topic provides information on customer fund upload format.
- Customer Address Upload Format
  This topic explains the systematic instructions on customer address upload format.
- EMP Upload Format
  This topic explains the systematic instructions on EMP upload format.
- FATCA Upload Format
  This topic explains the systematic instructions on FATCA upload format.
- GIIN Upload Format
  This topic explains the systematic instructions on GIIN upload format.
- Help Desk Upload
  This topic explains the systematic instructions on help desk upload.
- Gen EPU Upload Format
  This topic explains the systematic instructions on Gen EPU upload format.
- Bank Statement Upload Format
  This topic explains the systematic instructions on bank statement upload format.
- Bulk GL Setup Upload Format
  This topic explains the systematic instructions on Bulk GL setup upload format.
- Bulk FSA Upload Format
  This topic explains the systematic instructions on bulk FSA upload format.
- GO Confirmation Upload Format
  This topic explains the systematic instructions on GO confirmation upload format.
- Fund Upload Format
  This topic explains the systematic instructions on Fund upload format.
- Unit Holder Deal File Format
  This topic provides information on Unit Holder Deal File Format.
- Cheque Tracking File Format
  This topic provides information on Cheque Tracking File Format.
- Pseudo Switch Lag Upload
  This topic provides information on Pseudo Switch Lag Upload.
- Emergency Day Transaction Processing Upload
  This topic provides information on Emergency Day Transaction Processing Upload.
- Emergency Day Maintenance Upload
  This topic provides information on Emergency Day Maintenance Upload.
- Campaign Upload
  This topic provides information on Campaign Upload.
- Special Discount Upload
  This topic provides information on Special Discount Upload.
- Pension Order Upload
  This topic explains the systematic instructions on Pension Order Upload.
- Payroll and Pension Data Interface Standard (PAPDIS) Upload
  This topic explains the systematic instructions on Payroll and Pension Data Interface Standard (PAPDIS) Upload.
- Contribution File Upload (CFU) Upload
  This topic explains the systematic instructions on Contribution File Upload (CFU) Upload.

- CFU - Order Generation
  This topic explains the systematic instructions on CFU - Order Generation.
- Pension Order Import
  This topic explains the systematic instructions on Pension Order Import.
- Pension Allocation Import from TA
  This topic provides detailed information on the Pension Allocation Import from TA.
- Pension Investment Account (Incremental Upload)
  This topic provides detailed information on Pension Investment Account (Incremental Upload).
- Pension Allocation Handoff
  This topic provides detailed information on the Pension Allocation Handoff.
- Load Maintenance Export
  This topic provides detailed information on the Load Maintenance Export.
- Broker Export
  This topic provides detailed information on the Broker Export.
- Load Maintenance Upload
  This topic provides detailed information on the Load Maintenance Upload.
- Broker Import
  This topic provides detailed information on the Broker Import.
- Pension Held-away Holdings Upload
  This topic provides detailed information on the Pension Held-away Holdings Upload.
- Identification Details Upload
  This topic provides detailed information on the Identification Details Upload.
- Bank Account Details Upload
  This topic provides detailed information on the Bank Account Details Upload.
- Address Details Upload
  This topic provides detailed information on the Address Details Upload.
- Party Role Mapping Upload
  This topic provides detailed information on the Party Role Mapping Upload.
- Group Maintenance Upload
  This topic provides detailed information on the group maintenance upload.
- Investment Account Upload
  This topic provides detailed information on the Investment account upload.
- Portfolio Mapping Upload
  This topic provides detailed information on the Portfolio Mapping Upload.
- Portfolio Charge Upload
  This topic provides detailed information on the portfolio charge upload.
- Rebalancing Rule Mapping Upload
  This topic provides detailed information on the rebalancing rule mapping upload.
- Party Main Upload
  This topic provides detailed information on the party main upload.
- Fund Export
  This topic provides detailed information on the fund export.
- Fund Price Export
  This topic provides detailed information on the Fund Price Export.

- Investment Account Export
  This topic provides detailed information on the Investment Account Export.
- Asset NAV Import from TA
  This topic provides detailed information on the Asset NAV Import from TA.
- Pension Order Export
  This topic provides detailed information on the Pension Order Export.
- Asset Import from TA
  This topic describes the information on Asset Import from TA.
- Asset Maintenance Import
  This topic describes the information on Asset Maintenance Import.
- Import From External System To Amount Block Upload Format
  This topic provides detailed information on the import from external system to amount block upload format for segment identification numbers.
- Amount Block Export to External Systems Modify Upload Format
  This topic provides detailed information on the Amount Block Export to External Systems Modify Upload Format for segment identification numbers.
- Amount Block Export to External Systems Close Upload Format
  This topic provides detailed information on the Amount Block Export to External Systems Close Upload Format for segment identification numbers.
- Import From External System To Amount Block Upload Format
  This topic provides detailed information on the import from external system to amount block upload format for segment identification numbers.
- Accounting Export Upload Format
  This topic provides detailed information on the Accounting Export Upload Format for segment identification numbers.

1.1 Upload File Formats

This topic provides information on upload file formats.

The external files containing the information that is to be imported from the bulk client are required to be in the following format:

Table 1-1 File Format

File Type	ASCII EXCEL
ASCII file format	Delimited Fixed width
Field delimiter	Comma Tab Colon Semi colon Space
String delimiter	Single quotes Double quotes NULL

Table 1-1 (Cont.) File Format

File Type	ASCII EXCEL
Date Format (all dates will have 4 digit years)	DMY MDY DMonY YMD
Date delimiter	/ (Slash) Hyphen (Space) From registry
Decimal symbol	Point Comma
Digit grouping symbol	Point Comma
Negative Sign symbol	Hyphen Parenthesis
Time delimiter	Null Colon Semi colon Space
Column Header on first line	True / False

Note:

Using the client country flag 'UPLDFILEMODULE', business can configure to use the module ID in the uploaded file or the logged in Module ID for the User-function access validation.

User-Function access is validated for uploads based on the Module ID, User ID and Function ID.

- If this client country flag is enabled, then the module details provided in the upload file will be used for the User-Function access validation.
- If this client country flag is disabled, then the logged in Module ID will be used for the User-Function access validation.

You can maintain only one sheet in the template. For Excel Upload, the data should be in text format.

1.2 Unit Holder File Format

This topic provides information on Unit Holder File Format.

This topic contains the following sub-topics:

- Segment Identification number
  This topic provides detailed information on the unit holder file format for segment identification numbers.
- Unit Holder File Format
  This topic provides information on the unit holder file format.
- Unit Holder KYC Details
  This topic provides information on Unit Holder KYC Details.
- Unit Holder Beneficiary Details
  This topic provides information on Unit Holder Beneficiary Details.
- Unit Holder Bank Details
  This topic provides information on Unit Holder Bank Details.
- Unit Holder Intermediary Details
  This topic provides information on Unit Holder Intermediary Details.
- Unit Holder Delivery Details
  This topic provides information on Unit Holder Delivery Details.
- Unit Holder Related Parties Details
  This topic provides information on Unit Holder Related Parties Details.
- Unit Holder Joint Holder Details
  This topic provides information on Unit Holder Joint Holder Details.
- Unit Holder Nominee Details
  This topic provides information on Unit Holder Nominee Details.
- Unit Holder Director Details
  This topic provides information on Unit Holder Director Details.
- Unit Holder Additional Information Details
  This topic provides information on Unit Holder Additional Information Details.
- Unit Holder KYC Application Form Details
  This topic provides information on Unit Holder KYC Application Form Details.
- Unit Holder Stop Account Details
  This topic provides information on Unit Holder Stop Account Details.
- Unit Holder Communication Mode Restriction Details
  This topic provides information on Unit Holder Communication Mode Restriction Details.
- Unit Holder KIID Details
  This topic provides information on Unit Holder KIID Details.
- Unit Holder Contact information
  This topic provides information on Unit Holder Contact information.

1.2.1 Segment Identification number

This topic provides detailed information on the unit holder file format for segment identification numbers.

Table 1-2 Segment Identification number

Segment Identification Number	Description	Attributes
00UH	This segment is used for providing Unit Holder related Information	Mandatory
01KYC	This segment is used for providing KYC related Information	Mandatory
02BEN	This segment is used for providing Beneficiary related Information	Mandatory
03BNK	This segment is used for providing Unit Holder Bank related Information	Mandatory
04INM	This segment is used for providing Unit Holder Intermediary related Information	Mandatory
05DEL	This segment is used for providing Unit Holder Delivery related Information	Optional
06REL	This segment is used for providing Unit Holder Related Parties related Information	Optional
07JNT	This segment is used for providing UnitHolder Joint Holder related Information	Optional
08NME	This segment is used for providing Nominee related Information	Optional
09DIR	This segment is used for providing Director related Information	Optional
10ADD	This segment is used for providing Additional Information related Information	Optional
11KAP	This segment is used for providing KYC Application form related Information	Optional
12STP	This segment is used for providing Stop Code Restrictions related Information	Optional
13COM	This segment is used for providing Communication Mode Restrictions related Information	Optional
14KII	This segment is used for providing Unit Holder KIID Details	Optional
15CON	This segment is used for providing Unit Holder Contact information	Optional

Note:

For all imports of unit holder new account and information change data, the external handoff file containing the information must:

- Always be named in the format “BulkUH*.*”
- Always contain all information marked as mandatory in the table below.
- Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below.

1.2.2 Unit Holder File Format

This topic provides information on the unit holder file format.

Serial Number: 1

Segment Identification Number: 00UH

Description: This segment is used for providing UnitHolder related Information.

Attributes: Mandatory

Table 1-3 Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value of Segment ID is 00UH
SEQUENCENUMBER	Number	10	Mandatory	-	System generated sequence number of records in the file
PROCESSID	String	50	Mandatory	-	The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely. Each file must have a unique Process ID. This should be same for all records within a file.
BULKCLIENTCODE	String	12	Mandatory	-	The Code of the Bulk client.
IMPORTFILENAME	String	255	Mandatory	-	Name of the file being uploaded should be specified. Each file must have a unique name.
UPLOADMODE	String	1	Mandatory	-	“N” for New unit holder uploads and ‘I’ for Incremental change.
AMCID	String	12	Mandatory	-	The ID of the AMC/ Distributor for which the bulk client has maintained the defaults details.
CIFNUMBER	String	20	Optional	-	CIF Number.
UNITHOLDERID	String	12	Mandatory	-	Is blank for new record
REFERENCENUMBER	String	12	Mandatory	-	Accepted from hand off file con- tents; or system generated reference number, according to the option selected in the Bulk Account Client Defaults for “unit holder import” or “both”
ACCOUNTOPENINGDATE	DATE	-	Optional	-	If this is not found in the hand off file, the application date is deemed as the account opening date by default.

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
TITLE	String	15	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	This should be one of the valid Title codes as maintained in system parameters.
FIRSTNAME	String	100	Mandatory	-	Accepted from the user's specification for the same in the hand off file contents
MIDDLENAME	String	40	Optional	-	Accepted from the user's specification for the same in the hand off file contents
LASTNAME	String	40	Optional	-	Accepted from the user's specification for the same in the hand off file contents
ACCOUNTOPERATIONTYPE	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values in the following list: • **S** - Single • **E** - Either Or Survivor • **J** - Joint
FIRSTNOMINEE	String	60	-	-	Accepted from the user's specification for the same in the hand off file contents – This information is mandatory for Account Operation type as **J** – Joint
SECONDNOMINEE	String	60	-	-	Accepted from the user's specification for the same in the hand off file contents
THIRDNOMINEE	String	60	Optional	-	Accepted from the user's specification for the same in the hand off file contents
FATHER_SPOUSENAME	String	20	Optional	-	Accepted from the user's specification for the same in the hand off file contents
INVESTORTYPE	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values from the following list: • **I** - Individual • **C** - Corporate - Mandatory
CONTACTADDRESSLINE1	String	105	Optional	-	Accepted from the user's specification for the same in the hand off file contents

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CONTACTADDRESSLINE2	String	105	Optional	-	Accepted from the user's specification for the same in the hand off file contents
PRIMARYCITY	String	105	Optional	-	Accepted from the user's specification for the same in the hand off file contents
PRIMARYSTATE	String	80	Optional	-	Accepted from the user's specification for the same in the hand off file contents
PRIMARYUSSTATE	Number	1	Optional	-	Should be left blank
ZIPCODE	String	10	Optional	-	Hand off file contents
SECONDARYADDRESSLINE1	String	105	Optional	-	Address Line 1
SECONDARYADDRESSLINE2	String	105	Optional	-	Address Line 2
SECONDARYCITY	String	105	Optional	-	Accepted from the user's specification for the same in the hand off file contents
SECONDARYSTATE	String	80	Optional	-	Accepted from the user's specification for the same in the hand off file contents
SECONDARYUSSTATE	Number	1	Optional	-	-
SECONDARYZIPCODE	String	10	Optional	-	Accepted from the user's specification for the same in the hand off file contents
SWIFTADDRESSLINE1	String	105	Optional	-	Accepted from the user's specification for the same in the hand off file contents
SWIFTADDRESSLINE2	String	105	Optional	-	Accepted from the user's specification for the same in the hand off file contents
SWIFTADDRESSLINE3	String	105	Optional	-	Accepted from the user's specification for the same in the hand off file contents
SWIFTADDRESSLINE4	String	80	Optional	-	Address Line 4
SWIFTCOUNTRYCODE	String	3	Optional	-	Country Code
BICCODE	String	16	Optional	-	BIC Code
HOMETELEPHONENUMBER	String	60	Optional	-	Accepted from the user's specification for the same in the hand off file contents
OFFICETELEPHONENUMBER	String	60	Optional	-	Accepted from the user's specification for the same in the hand off file contents

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
FAXNUMBER	String	120	Optional	-	Accepted from the user's specification for the same in the hand off file contents
TAXDEDUCTEDATSOURCE	Number	1	Optional	-	Could be either true (1) or false (0)
TAXID	String	50	Optional	-	Accepted from the user's specification for the same in the hand off file contents
TAXCIRCLE	String	15	Optional	-	Accepted from the user's specification for the same in the hand off file contents
IDENTIFICATIONTYPE	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values maintained in Params maintenance for Identification Type
IDENTIFICATIONNUMBER	String	50	Mandatory	-	Accepted from the user's specification for the same in the hand off file contents
IDENTIFICATIONISSUEDATE	DATE	-	Optional	-	Accepted from the user's specification for the same in the hand off file contents
IDENTIFICATIONEXPIRYDATE	DATE	-	Optional	-	Accepted from the user's specification for the same in the hand off file contents
RESIDENTSTATUS	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values from the following list: • **R** - Resident. • **F** - Foreign
UHCATEGORY	String	2	Mandatory	-	Could be any of the valid values from the Category list maintained
ACCOUNTSTMTFREQ	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values in the following list from Frequency Table: • **D** - Daily • **W**- Weekly • **F** - Fortnightly • **M** - Monthly • **Q** - Quarterly • **H** - Half Yearly • **Y** - Yearly

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
YTDSTMTREQD	Number	1	Optional	-	Accepted from the user's specification for the same in the hand off file contents. By default, it is deemed to be 'No'
DATEOFBIRTH	DATE	-	Optional	-	Accepted from the user's specification for the same in the hand off file contents
GUARDIANNAME	String	70	Optional	-	Accepted from the user's specification for the same in the hand off file contents
RELATIONSHIP	String	70	Optional	-	Accepted from the user's specification for the same in the hand off file contents
BENEFICIARY	String	70	Optional	-	Accepted from the user's specification for the same in the hand off file contents
SEX	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either of the following Values: • **M** (Male) • **F** (Femle
MARITALSTATUS	String	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either of the following Values:ul • **S** (Single) • **M** (Married) The default status is taken to be Single.
OCCUPATION	String	3	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values maintained in Occupation Maintenance
NATIONALITY	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Accepted from the user's specification for the same in the hand off file contents

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
COUNTRYOFDOMICILE	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the values maintained in Country Maintenance
CORPORATIONTYPE	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be any of the valid values maintained in Corporation Maintenance. This information can be specified only for corporate investors (Investor Type – C)
FIRSTAUTHORIZEDSIGNATORY	String	70	Optional	-	This information can be specified only for corporate investors (Investor Type – C)
SECONDAUTHORIZEDSIGNATORY	String	70	Optional	-	This information can be specified only for corporate investors (Investor Type – C)
THIRDAUTHORIZEDSIGNATORY	String	70	Optional	-	This information can be specified only for corporate investors (Investor Type – C)
CONTACTNAME	String	70	Optional	-	Accepted from the user's specification for the same in the hand off file contents
MINNOOFCORPORATESIGNATORY	Number	-	Optional	-	Accepted from the user's specification for the same in the hand off file contents
SCHEMECROSSREFNO	-	60	Optional	-	Scheme/Cross Reference Number
UHBASECURRENCY	String	3	Optional	-	Could be any of the valid currencies maintained in Currency Maintenance. If this information is not given in the import file, then the default unit holder base currency specified in the Bulk Client Defaults Maintenance for the bulk client will be used.
LANGUAGE	String	12	Optional	-	Could be any of the languages defined in the system. If this information is not given in the import file, then the Default Language Value mentioned in the Bulk Client Defaults Maintenance for the bulk client will be used.

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
REDEMPTIONMOP	String	1	Optional	-	Could be either of the following Values: • **Q** - Cheque • **T** - Tranfer If this information is not given in the import file, then the Default Redemption Mode of Payment specified in the Bulk Client Defaults Maintenance for the bulk client will be used.
ACCOUNTSTMTCURRENCY	String	3	Optional	-	Could be any of the valid currencies maintained in Currency Maintenance. If this information is not given in the import file, then the Default Account Statement Currency mentioned in the Bulk Client Defaults Maintenance for the bulk client will be used.
EMAILID	String	255	Optional	-	Accepted from the user's specification for the same in the hand off file contents
FIRSTJOINTUHNAME	String	60	Optional	-	The primary unit holder name in case of joint accounts.
SECONDJOINTUHNAME	String	60	Optional	-	The secondary unit holder name in case of joint accounts.
THIRDJOINTUHNAME	String	60	Optional	-	The third unit holder name in case of joint accounts.
ALTADDRESSFROMDATE	DATE	-	Optional	-	Alternate Address From date
ALTADDRESSTODATE	DATE	-	Optional	-	Alternate Address To date
TELEPHONERED	Number	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either 0 (false) or 1 (true) to indicate whether redemption transactions can be accepted through telephone for the unit holder or not. If null, it will be picked up from the bulk client defaults table.
TELEPHONESWI	Number	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either 0 (false) or 1 (true) to indicate whether redemption transactions can be accepted through telephone for the unit holder or not. If null, it will be picked up from the bulk client defaults table.

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
WRITECHECKFORMMF	Number	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either 0 (False) or 1 (True). If not supplied, will be taken from the bulk client defaults table.
FEDWIREACHPAYMENT	Number	1	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either 0 (False) or 1 (True). If not supplied, will be taken from the bulk client defaults table.
IRSNOTIFICATION	String	10	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Could be either 0 (False) or 1 (True). If not supplied, will be taken from the bulk client defaults table.
ROAINVESTOR	Number	1	Optional	-	Could be either 0 (False) or 1 (True). This should be read only when 'ROA Option at Investor' in AMC policies table is true.
ALLOWROAATCIFLEVEL	Number	1	Optional	-	Could be either 0 (False) or 1 (True). If an investor is an ROA investor, then this option will be set based on the value of the 'Allow ROA LOI at CIF level' in the AMC policies table
UHACCOUNTTYPE	String	2	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid unit holder account type as available in the Params maintenance
RPOCODE	String	10	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid code as maintained in the Params table for the Param code RPOESCHCODE. If not supplied, will be picked up from the bulk client defaults table.

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
REGISTRATIONTYPE	String	2	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid code as maintained in the Params table for the Param code UHREGISTRTIONTYPE. If not supplied, will be taken from the bulk client defaults table.
REGISTRATIONNAME	String	50	Optional	-	If registration type ='SN'(Street name), only then this value is to be read.
ACCOUNTOPENINGPURPOSE	String	30	Optional	-	Account Opening Purpose
REMARKS	String	255	Optional	-	Remarks
PREFERREDMAILMODE	String	2	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid code as read from the Params table for the Param code PREFERRED MAILMODE. If not supplied, will be taken from the bulk client defaults table.
NOTEPADAVAILABLE	Number	1	Optional	-	Could be either 0 (False) or 1 (True). If not supplied, default will be 1 (True)
FEECATEGORY	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid category for the type of investor (individual or cor- porate). If not supplied, will be taken from the bulk client defaults table based on the investor category
FEESUBCATEGORY	String	6	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid sub category for the category. If not supplied will be taken from the bulk client defaults table based on the investor category
AGENTCODE	Number	12	Mandatory	-	Agent Code
BRANCHCODE	Number	12	Mandatory	-	Branch
USERID	VARCHAR2	320	Mandatory	-	Indicates the user ID who has entered the latest record.
AUTH_STAT	VARCHAR2	1	Optional	-	Auth Status

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
AUTHTYPE	String	1	Mandatory	-	Could be either of the following Values: • **U** - Unauthorized • **A** - Authorized
STOPACCOUNTREASON	String	255	Optional	-	Must be left blank
RELEASEDATE	DATE	-	Optional	-	Must be left blank
ALLSIGNATORIESREQDTOSIGN	Number	1	Optional	-	Must be left blank
TAXEXEMPTED	Number	1	Optional	-	Either 0 or 1 to indicate if tax is exempted for this Unit holder
INDEXATIONONCGT	String	1	Optional	-	Must be left blank
CERTDELIVERYPREF	String	1	Optional	-	Could be any of the values from the following list to indicate the preferred certificate delivery option:'A','C','F','P','R'
TEMPLATEID	String	6	-	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Template ID
EFFECTIVEDATE	DATE	-	Optional	-	Effective Date
CELLPHONENUMBER	String	16	Optional	-	Cell Phone NUMBER
PRIMARYCOUNTRYCODE	String	3	Optional	-	Primary Country Code
ALTCELLPHONENUMBER	String	16	Optional	-	Alternate Cell phone Number
ALTHOMEPHONENUMBER	String	15	Optional	-	Alternate Home phone Number
ALTOFFICEPHONNUMBER	String	15	Optional	-	Alternate Office phone Number
ALTEMAILID	String	60	Optional	-	Alternate Email ID
SECONDARYCOUNTRYCODE	String	3	Optional	-	Secondary Country Code
PLACEOFISSUE	String	3	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Place of Issue

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ALTCONTACTNAME	String	70	Optional	-	Alternate Contact Name
ALTFAXNUMBER	String	15	Optional	-	Alternate Fax Number
PREFERREDFUNDFORREDEMPTION	String	6	Optional	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Should be a valid fund ID which would be used for redeeming the trailer commission to pay the intermediary
GUARDIANPANGIRNO	String	15	Optional	-	If the unit holder is a minor then the PAN (tax ID) details of the Guardian
GUARDIANFORM6061	Number	1	Optional	-	Must be left blank
PRIMARYUHFORM6061	Number	1	Optional	-	Must be left blank
UNITHOLDERNRI	Number	1	Optional	-	Indicate if the Unit holder is a Non resident
EUSDOPTION	String	1	Optional	Applicable only for EUSD Countries Value defaulted from defaults Maintenance in the absence of information in the file	• **W** - Withholding Tax • **E** - Exchange of Information • **T** - Tax Certificate
TAXCERTIFICATEEXPDATE	Date	-	Optional	If the EUSD Option is 'T' then tax certificate expiry date is manda- tory	Tax certificate expiry date
PLACEOFBIRTH	String	80	Optional	If the EUSD Option is 'T' then tax certificate expiry date is manda- tory	Unit Holder's Place of birth
COUNTRYOFBIRTH	String	3	Optional	If the EUSD Option is 'T' then tax certificate expiry date is manda- tory	Unit Holder's Country of birth. It should be the country code of the country
FATFORNONFATF	String	1	Optional	-	• **F** - FATF country • **N** - Non-FATF

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CHASINGDATE	Date	-	Optional	-	In case the KYC list is incomplete for a unit holder and the document has been requested, the date on which the document chasing action must be initiated.
ADDNCOMMENTS	String	255	Optional	-	Any additional narrative, or descriptive text, if any, concerning the document and the chasing date
ALLKYCRECIEVED	Number	1	Optional	-	Can have a value of 0 or 1. 1 - All the requested documents have been received from the unit holder.
RETAINTRADEACK	Number	1	Optional	-	0 or 1 1 to indicate that trade acknowledgements for the unitholder has to be retained.
RETAINCONTRACTNOTES	Number	1	Optional	-	0 or 1 1 implies that contract notes in respect of the unit holder should be retained.
NOTREPORTKYCREQ	Number	1	Optional	-	0 or 1 Value 1 to indicate that any KYC documents yet to be submitted must not be reported in trade acknowledgements
UHBICCODE	String	6	Optional	-	Unit holder's swift BIC Code
ALTLANGFIRSTNAME	String	100	Optional	Should not exist in the absence of First Name	First Name specified in alternate language.
ALTLANGMIDDLENAME	String	40	Optional	Should not exist in the absence of Middle Name	Middle Name specified in alternate language
ALTLANGLASTNAME	VARCHAR2	40	Optional	-	Alternate Language LastName
ALTLANGFIRSTAUTHSIGN	String	70	Optional	Should not exist in the absence of First authorized signatory	First authorized signatory specified in alternate language

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ALTLANGSECONDAUTHSIGN	String	70	Optional	Should not exist in the absence of Second authorized signatory	Second authorized signatory specified in alternate language
ALTLANGTHIRDAUTHSIGN	String	70	Optional	Should not exist in the absence of Third authorized signatory	Third authorized signatory specified in alternate language
ALTLANGCONTACTPERSON	String	70	Optional	Should not exist in the absence of Contact person	Contact person specified in alternate language
ALTLANGCORADDRESSLINE1	String	80	Optional	Should not exist in the absence of Correspondence address line 1	Correspondence address line 1 specified in alternate language
ALTLANGCORADDRESSLINE2	String	80	Optional	Should not exist in the absence of Correspondence address line 2	Correspondence address line 2 specified in alternate language
ALTLANGCORADDRESSLINE3	String	80	Optional	Should not exist in the absence of Correspondence address line 3	Correspondence address line 3 specified in alternate language
ALTLANGCORADDRESSLINE4	String	80	Optional	Should not exist in the absence of Correspondence address line 4	Correspondence address line 4 specified in alternate language
ALTLANGALTADDRESSLINE1	String	80	Optional	Should not exist in the absence of address line1	Address line 1 specified in alternate language
ALTLANGALTADDRESSLINE2	String	80	Optional	Should not exist in the absence of address line2	Address line 2 specified in alternate language

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ALTLANGALTADDRESSLINE3	String	80	Optional	Should not exist in the absence of address line 3	Address line 3 specified in alternate language
ALTLANGALTADDRESSLINE4	String	80	Optional	Should not exist in the absence of address line 4	Address line 4 specified in alternate language
DATEOFCONTRIBUTION	Date	-	Optional	-	Date Member became Contributory
TRANSFERDATE	Date	-	Optional	-	Date Member details were transferred
RETIREMENTAGE	Number	5,2	Optional	-	Employee Retirement Age
RETIREMENTDATE	Date	-	Optional	-	Employee Retirement Date
DATEOFDEATH	Date	-	Optional	-	Date of Death
DEATHCAUSE	String	-	Optional	-	Cause of Death
DISPATCHDATE	Date	-	Optional	-	Dispatch Date
RETURNEDDATE	Date	-	Optional	-	Returned Date
PRIMARYUHMFIN	VARCHAR2	15	Optional	Applicable only if unitholder is major	Primary MFIN of the Primary Unitholder
GUARDIANMFIN	VARCHAR2	15	Optional	Applicable only if unitholder is minor	Mutual fund identification number of the Guardian
RECOVERCGT	NUMBER	1	Optional	-	For Calculating Capital Gain Tax
CAMPAIGNCODE	Strings	6	Optional	-	Used to capture the CAMPAIGN- CODE
PROCESSID1	VARCHAR2	60	Optional	-	Process ID
INITIALS	VARCHAR2	30	Optional	-	Initials
KYCPURCHASELIMIT	NUMBER	30	Optional	-	KYC Purchase Limit
KY ANNUALDEBITORDERLIMIT	NUMBER	30	Optional	-	KYC Annual Debit Order Limit
CAMPAIGNCODE	VARCHAR2	6	Optional	-	Campaign Code
REDEMPTIONPAYOUT	VARCHAR2	1	Optional	-	Redemption Payout
DIVIDENDPAYOUT	VARCHAR2	1	Optional	-	Dividend Payout
PRIMARYINDITELCODE1	VARCHAR2	10	Optional	-	Int. Dialing Code 1

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
PRIMARYINDITELCODE2	VARCHAR2	10	Optional	-	Int. Dialing Code 2
PRIMARYINDIPHONECODE	VARCHAR2	10	Optional	-	Primary Phone Code
PRIMARYINDIFAXCODE	VARCHAR2	10	Optional	-	Primary Fax code
SECONDARYINDITELCODE1	VARCHAR2	10	Optional	-	Int. Dialing Code 1
SECONDARYINDITELCODE2	VARCHAR2	10	Optional	-	Int. Dialing Code 2
SECONDARYINDIFAXCODE	VARCHAR2	10	Optional	-	Secondary Phone Code
DUALNATIONALITY	VARCHAR2	3	Optional	-	Dual Nationality
SUBSTANTIALSTAYINUS	NUMBER	1	Optional	-	Substantial Stay in US
TAXCERTIFICATEEFFEDATE	DATE	10	Optional	-	Tax Effective Certificate Date
COUNTRYOFINCORPORATION	VARCHAR2	3	Optional	-	Country Of Incorporation
SECONDIDENTITYTYPE	VARCHAR2	12	Optional	-	Identification Type
SECONDIDENTITYNUMBER	VARCHAR2	50	Optional	-	Identification NUMBER
SECONDISSUEDATE	DATE	10	Optional	-	Second Issue Date
SECONDEXPIRYDATE	DATE	10	Optional	-	Second Expiry Date
SECONDPLACEOFISSUE	DATE	10	Optional	-	Second Place Issue
DUEDILIGENCELEVEL	VARCHAR2	2	Optional	-	Due Diligence Level
REVIEWEDBY	VARCHAR2	255	Optional	-	Reviewed By
LASTREVIEWEDDATE	DATE	10	Optional	-	Last Reviewed date
CHASERID	VARCHAR2	255	Optional	-	Chaser ID
CHASINGMODE	VARCHAR2	2	Optional	-	Chasing Mode
NAMESCHECKOPTION	VARCHAR2	2	Optional	-	Names Check Option
NAMESCHECKREMARKS	VARCHAR2	255	Optional	-	Names Check Remarks
ACCOUNTSTATEMENT	VARCHAR2	1	Optional	-	Account Statement

Table 1-3 (Cont.) Unit Holder File Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CONTRACTNOTES	VARCHAR2	1	Optional	-	Contract notes
INVESTORRISKLEVEL	VARCHAR2	1	Optional	-	Investor risk level
HEALTHSTATUS	VARCHAR2	1	Optional	-	Health status
UHSEGMENTID	VARCHAR2	12	-	-	For GTA segment ID is mandatory

1.2.3 Unit Holder KYC Details

This topic provides information on Unit Holder KYC Details.

Serial Number: 2

Segment Identification Number: 01KYC

Description: This segment is used for providing KYC related Information.

Attributes: Mandatory

Table 1-4 Unit Holder KYC Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value of Segment ID is 01KYC
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Upload Mode
AMCID	VARCHAR2	12	Mandatory	-	AMC ID
UHKYCSLNO	NUMBER	10	Mandatory	-	Indicates a serial number
UNITHOLDERID	VARCHAR2	12	Mandatory	-	Unitholder ID
AUTH_STAT	VARCHAR2	1	Optional	-	Authorization Status

Table 1-4 (Cont.) Unit Holder KYC Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
REQUESTED	VARCHAR2	1	Optional	For Standard Doc's this flag should be '1'	Flag which specifies whether the document is requested or not.
DOCUMENTDESCRIPTION	VARCHAR2	255	Optional	-	Indicates the description of document produced for the KYC form.
FAXRECEIVED	VARCHAR2	1	Optional	-	Indicates the Fax received is a check box field Y - Yes, N- No
ORIGINALRECEIVED	VARCHAR2	1	Optional	s	Indicates the Original received is a check box field Y - Yes, N - No
RECEIVEDDATE	DATE	7	Optional	-	Indicates the Received date
USERCOMMENT	VARCHAR2	255	Optional	-	Indicates the User comment
WORKFLOWREFNO	VARCHAR2	20	Optional	-	Indicates the Work flow reference no
DOCUMENTTYPE	VARCHAR2	1	Optional	-	Indicates the Document type

1.2.4 Unit Holder Beneficiary Details

This topic provides information on Unit Holder Beneficiary Details.

Serial Number: 3

Segment Identification Number: 02BEN

Description: This segment is used for providing Beneficiary related Information.

Attributes: Mandatory

Table 1-5 Unit Holder Beneficiary Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value of Segment ID is 02BEN
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BENEFICIARYID	VARCHAR2	12	Mandatory	-	Indicates the unique identification code for the beneficiary of unitholder.
BENEFICIARYTYPE	VARCHAR2	2	Mandatory	-	Indicates the type of beneficiary.

Table 1-5 (Cont.) Unit Holder Beneficiary Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
BENEFICIARYNAME	VARCHAR2	105	Mandatory	-	Indicates the descriptive name for the beneficiary.
BENEFICIARYDOB	DATE	7	Optional	-	Indicates the Date of Birth for the beneficiary.
BENEFICIARYRELATION	VARCHAR2	70	Optional	-	Indicates the relation of beneficiary to the mentioned unitholder.
BENEFICIARYUIN	VARCHAR2	15	Optional	-	US Indicia Available
BENEFICIARYGROUP	VARCHAR2	1	Optional	-	Indicates the group for the beneficiary.
BENEFICIARYGENDER	VARCHAR2	1	Optional	-	Indicates the gender of beneficiary.
BENEFICIARYIDTYPE	VARCHAR2	3	Optional	-	Indicates the identification type of beneficiary.
BENEFICIARYIDNUMBER	VARCHAR2	50	Optional	-	Indicates the unique identification number for the beneficiary
BENEFICIARYAWARD	NUMBER	5	Optional	-	Indicates the award percentage applicable for the beneficiary
BENEFICIARYBANKCODE	VARCHAR2	12	Mandatory	-	Indicates the bank identification code for the beneficiary.
BENEFICIARYBRANCHCODE	VARCHAR2	12	Mandatory	-	Indicates the branch identification code for the beneficiary.
BENEFICIARYACCTYPE	VARCHAR2	1	Optional	-	Indicates the beneficiary's account type.
BENEFICIARYACCNAME	VARCHAR2	140	Optional	-	Indicates the beneficiary's account name.
BENEFICIARYACCCCY	VARCHAR2	3	Optional	-	Indicates the account currency of the beneficiary's bank account.
ACCOUNTNUMBER	VARCHAR2	34	Mandatory	-	Indicates the account number of the beneficiary
COUNTRYOFDOMICILE	VARCHAR2	3	Optional	-	Country Of Domicile
IBAN	VARCHAR2	40	Optional	-	Indicates the Inter national Bank Account Number.
FATCASTATUS	VARCHAR2	25	Optional	-	FATCA Status
ADDRESSLINE1	VARCHAR2	105	Mandatory	-	Indicates the first line of beneficiary’s address.
ADDRESSLINE2	VARCHAR2	105	Mandatory	-	Indicates the second line of beneficiary’s address.
ADDRESSLINE3	VARCHAR2	105	Optional	-	Indicates the third line of beneficiary’s address.

Table 1-5 (Cont.) Unit Holder Beneficiary Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ADDRESSLINE4	VARCHAR2	80	Optional	-	Indicates the fourth line of beneficiary's address.
ZIPCODE	VARCHAR2	10	Mandatory	-	Indicates the locational ZIP code for the beneficiary's address
COUNTRY	VARCHAR2	3	Mandatory	-	Indicates the country of beneficiary
TELEPHONE1	VARCHAR2	60	Optional	-	Telephone Number 1
EMAIL	VARCHAR2	255	Optional	-	Email ID
CONTACT PERSON	VARCHAR2	160	Optional	-	Indicates the name of beneficiary's contact person.
EXISTINGUHORCIF	VARCHAR2	1	Mandatory	-	Indicates whether its existing UH or CIF

1.2.5 Unit Holder Bank Details

This topic provides information on Unit Holder Bank Details.

Serial Number: 4

Segment Identification Number: 03BNK

Description: This segment is used for providing Unit Holder Bank related Information

Attributes: Mandatory

Table 1-6 Unit Holder Bank Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 03BNK
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Process ID
PROCESSID	VARCHAR2	50	Mandatory	-	Sequence Number
BANKCODE	VARCHAR2	12	Mandatory	-	Indicates the Entity bank code.
BRANCHCODE	VARCHAR2	12	Mandatory	-	Indicates the Entity branch code.
ACCOUNTTYPE	VARCHAR2	1	Mandatory	-	Indicates Type of the Account.
ACCOUNTNUMBER	VARCHAR2	34	Mandatory	-	Indicates Entity account number.

Table 1-6 (Cont.) Unit Holder Bank Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ACCOUNTNAME	VARCHAR2	140	Mandatory	-	Indicates Entity account name.
ACCOUNTCURRENCY	VARCHAR2	3	Mandatory	-	Indicates Entity account currency.
DEFAULTDETAILS	NUMBER	1	Mandatory	-	Indicates the default details
DIRECTDEBITAPPLICABLE	NUMBER	1	Optional	-	Indicates whether direct debit is applicable for the entity. If Yes (1) else (0).
ACCOUNTCATEGORY	VARCHAR2	1	Optional	-	Indicates the account category for the unitholder.
INITIALS	VARCHAR2	10	Optional	-	Indicates the initials for the unitholder entity.
LASTNAME	VARCHAR2	40	Optional	-	Indicates the last name for the unitholder entity.
IDENTIFICATIONTYPE	VARCHAR2	3	Optional	-	Indicates the type of identification used for the unitholder.
IDENTIFICATIONNUMBER	VARCHAR2	50	Mandatory	-	Indicates the identification number used for the unitholder.
STATUS	VARCHAR2	1	Optional	-	Indicates the Transaction status
IBAN	VARCHAR2	40	Optional	-	Indicates the International Bank Account Number.
UNITHOLDERID	VARCHAR2	12	Mandatory	-	Unitholder ID
REFERENCENUMBER	VARCHAR2	12	Optional	-	Indicates a unique reference Number for a Transaction. It can be entered by the user, otherwise it is system generated.
FUNDID	VARCHAR2	6	Mandatory	-	Indicates a unique Identification for each Fund in the system. It consists of maximum of 6 alphanumeric character. Its Foreign key is FUNDID column of FUNDDEMOGRAPHICSTBL Table.
TRANSACTIONTYPE	VARCHAR2	3	Mandatory	-	Indicates the Transaction Type for which Transaction Rules will be specified.
UHBICCODE	VARCHAR2	24	Optional	-	Unit Holder BIC Code
SWIFTFORMAT	VARCHAR2	6	Optional	-	Specifies Swift Format used for the entity

Table 1-6 (Cont.) Unit Holder Bank Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
BANKCHARGED	VARCHAR2	12	Optional	-	Indicates bank charged
BENEFICIARY	VARCHAR2	35	Optional	-	Specifies Beneficiary name of the entity.
BENEFICIARYADD1	VARCHAR2	105	Optional	-	Specifies Entity's Beneficiary address line1.
BENEFICIARYADD2	VARCHAR2	105	Optional	-	Specifies Entity's Beneficiary address line2.
BENEFICIARYADD3	VARCHAR2	105	Optional	-	Specifies Entity's Beneficiary address line3.
BENEFICIARYBICCODE	VARCHAR2	24	Optional	-	Specifies BIC Code of the Beneficiary.
BENEFICIARYACCT NO	VARCHAR2	34	Optional	-	Specifies Beneficiary's Account NUMBER.
BENEFICIARYCODE	VARCHAR2	24	Optional	-	Specifies Unique code of the beneficiary.
BENEFICIARYINFO	VARCHAR2	100	Optional	-	Specifies Additional information about the beneficiary.
INTERMEDIARY	VARCHAR2	35	Optional	-	Specifies Intermediary name of the entity.
INTERMEDIARYADD1	VARCHAR2	105	Optional	-	Specifies Entity's Intermediary address line1.
INTERMEDIARYADD2	VARCHAR2	105	Optional	-	Specifies Entity's Intermediary address line2.
INTERMEDIARYADD3	VARCHAR2	105	Optional	-	Specifies Entity's Intermediary address line3.
INTERMEDIAYBICCODE	VARCHAR2	24	Optional	-	Specifies BIC Code of the Intermediary.
INTERMEDIARYACCTNO	VARCHAR2	34	Optional	-	Specifies Intermediary's Account number
INTERMEDIARYCODE	VARCHAR2	24	Optional	-	Specifies Unique code of the intermediary.
INTERMEDIARYINFO	VARCHAR2	100	Optional	-	Specifies Additional information about the intermediary.
FURTHERCREDITNAME	VARCHAR2	35	Optional	-	Specifies further credit name
FURTH-CREDITACCTNO	VARCHAR2	35	Optional	-	Specifies Account number to which further credits must be carried out.
REFERENCE1	VARCHAR2	35	Optional	-	Specifies Reference 1 for the Entity.
REFERENCE2	VARCHAR2	35	Optional	-	Specifies Reference 2 for the Entity.
RELATIONSHIP	VARCHAR2	40	Optional	-	Indicates the relationship between the Beneficiary and the customer.

Table 1-6 (Cont.) Unit Holder Bank Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
BENEFICIARYIBAN	VARCHAR2	40	Optional	-	Specifies Beneficiary's International Bank Account NUMBER.
INTERMEDIARYIBAN	VARCHAR2	40	Optional	-	Specifies Intermediary's International Bank Account NUMBER.

1.2.6 Unit Holder Intermediary Details

This topic provides information on Unit Holder Intermediary Details.

Serial Number: 5

Segment Identification Number: 04INM

Description: This segment is used for providing UnitHolder Intermediary related Information

Attributes: Mandatory

Table 1-7 Unit Holder Intermediary Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 04INM
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Process ID
PROCESSID	VARCHAR2	50	Mandatory	-	Sequence Number
ENTITYTYPE	VARCHAR2	1	Mandatory	-	Indicates the type of entity who acts as an intermediary for the unitholder. Along with UNITHOLDERID, forms the primary key of the table.
ENTITYID	VARCHAR2	12	Mandatory	-	Indicates the unique identification code for the entity who acts as the intermediary for the unitholder. Along with UNITHOLDERID, forms the primary key of the table.
SPLITPERCENT	NUMBER	5	Mandatory	-	Indicates the commission percentage entitled for the respective unitholder's intermediary.
DEFAULTINTERMEDIARY	NUMBER	1	Mandatory	-	Default intermediary

1.2.7 Unit Holder Delivery Details

This topic provides information on Unit Holder Delivery Details.

Serial Number: 6

Segment Identification Number: 05DEL

Description: This segment is used for providing Unit Holder Delivery related Information

Attributes: Optional

Table 1-8 Unit Holder Delivery Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 05DEL
PROCESSID	VARCHAR2	50	Mandatory	-	Sequence Number
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Process ID
ENTITYID	VARCHAR2	12	Mandatory	-	Indicates the Entity ID
ACCOUNTTYPE	VARCHAR2	1	Mandatory	-	Indicates the Account type
ACCOUNTNUMBER	VARCHAR2	20	Mandatory	-	Indicates the Account number
ACCOUNTNAME	VARCHAR2	105	Mandatory	-	Indicates the Account name
DEFAULTDETAILS	NUMBER	1	Mandatory	-	Indicates the Default details

1.2.8 Unit Holder Related Parties Details

This topic provides information on Unit Holder Related Parties Details.

Serial Number: 7

Segment Identification Number: 06REL

Description: This segment is used for providing Unit Holder Related Parties related Information

Attributes: Optional

Table 1-9 Unit Holder Related Parties Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 06REL

Table 1-9 (Cont.) Unit Holder Related Parties Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
PROCESSID	VARCHAR2	50	Mandatory	-	Sequence Number
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Process ID
ENTITYID	VARCHAR2	12	Mandatory	-	Indicates the unique identification code for the related party entity associated with the unit holder.
ENTITYTYPE	VARCHAR2	2	Mandatory	-	Indicates the entity type of the unit holder's related party.
RELATIONSHIP	VARCHAR2	10	Optional	-	Indicates the relationship between the related party entity and the unitholder.
REPORTINGLEVEL	VARCHAR2	1	Optional	-	Indicates the reporting level of the related party entity to the unitholder.
PREFMAILMODE	VARCHAR2	1	Optional	-	Indicates the preferred mail mode for the related party entity.
REMARKS	VARCHAR2	255	Optional	-	Indicates the descriptive remarks column for the record.

1.2.9 Unit Holder Joint Holder Details

This topic provides information on Unit Holder Joint Holder Details.

Section Title

Serial Number: 8

Segment Identification Number: 07JNT

Description: This segment is used for providing UnitHolder JointHolder related Information

Attributes: Optional

Table 1-10 Unit Holder Joint Holder Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 07JNT
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Process ID

Table 1-10 (Cont.) Unit Holder Joint Holder Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
PROCESSID	VARCHAR2	50	Mandatory	-	Sequence Number
BENEFICIARYID	VARCHAR2	12	Optional	-	Indicates the joint holder ID.
BENEFICIARYNAME	VARCHAR2	100	Optional	-	Indicates the descriptive name for the joint holder.
BENEFICIARYTYPE	VARCHAR2	2	Mandatory	-	Indicates the type of joint holder.
BENEFICIARYDOB	DATE	10	Mandatory	-	Indicates the Date of Birth for the joint holder.
BENEFICIARY GENDER	VARCHAR2	1	Mandatory	-	Indicates the gender of joint holder
BENEFICIARYPANNO	VARCHAR2	34	Optional	-	Indicates the Permanent Account Number of the joint holder.
BENEFICIARYFORM60	NUMBER	1	Optional	-	Indicates whether the Form 60 is needed in case the joint holder doesn't have a valid PAN number.
BENEFICIARYMFIN	VARCHAR2	15	Optional	-	Indicates the MFIN information for the joint holder.
COUNTRYOFDOMICILE	VARCHAR2	3	Optional	-	Indicates the country of domicile of joint holder.
RECOVERCGT	NUMBER	1	Optional	-	Indicates whether the capital gain tax is to be deducted from the transaction or not.
ADDRESSLINE1	VARCHAR2	105	Mandatory	-	Indicates the first line of joint holder's address.
ADDRESSLINE2	VARCHAR2	105	Mandatory	-	Indicates the second line of joint holder's address.
ADDRESSLINE3	VARCHAR2	105	Optional	-	Indicates the third line of joint holder's address.
ADDRESSLINE4	VARCHAR2	80	Optional	-	Indicates the fourth line of joint holder's address.
ZIPCODE	VARCHAR2	10	Mandatory	-	Indicates the locational ZIP code for the joint holder's address
COUNTRY	VARCHAR2	3	Mandatory	-	Indicates the country of joint holder
EMAIL	VARCHAR2	255	Optional	-	Indicates the email address of joint holder.
CONTACTPERSON	VARCHAR2	160	Optional	-	Indicates the name of joint holder's contact person.
EXISTINGUHORCIF	VARCHAR2	1	Mandatory	-	Indicates whether its existing UH or CIF

1.2.10 Unit Holder Nominee Details

This topic provides information on Unit Holder Nominee Details.

Serial Number: 9

Segment Identification Number: 08NME

Description: This segment is used for providing Nominee related Information.

Attributes: Optional

Table 1-11 Unit Holder Nominee Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 08NME
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BENEFICIARYID	VARCHAR2	12	Mandatory	-	Represents the unique identification code for the nominee of unitholder
BENEFICIARYNAME	VARCHAR2	100	Mandatory	-	Indicates the type of nominee
BENEFICIARYTYPE	VARCHAR2	2	Mandatory	-	Indicates the descriptive name for the nominee
BENEFICIARYDOB	DATE	10	Mandatory	-	Represents the Date of Birth for the nominee
BENEFICIARYGENDER	VARCHAR2	1	Optional	-	Indicates the gender of nominee
BENEFICIARYIDTYPE	VARCHAR2	3	Optional	-	Indicates the identification type of nominee
BENEFICIARYIDNUMBER	VARCHAR2	50	Optional	-	Indicates the unique identification number for the nominee
BENEFICIARYPANNO	VARCHAR2	15	Optional	-	Indicates the Permanent Account Number of the nominee
BENEFICIARYFORM60	NUMBER	1	Optional	-	Indicates whether the Form 60 is needed in case the nominee doesn't have a valid PAN number
BENEFICIARYRELATION	VARCHAR2	70	Optional	-	Represents the relation of nominee to the mentioned unitholder
BENEFICIARYAWARD	NUMBER	5	Optional	-	Indicates the award percentage applicable for the nominee
ADDRESSLINE1	VARCHAR2	105	Mandatory	-	Represents the first line of nominee's address

Table 1-11 (Cont.) Unit Holder Nominee Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ADDRESSLINE2	VARCHAR2	105	Mandatory	-	Represents the second line of nominee's address
ADDRESSLINE3	VARCHAR2	105	Optional	-	Represents the third line of nominee's address.
ADDRESSLINE4	VARCHAR2	80	Optional	-	Represents the fourth line of nominee's address
ZIPCODE	VARCHAR2	10	Mandatory	-	Indicates the locational ZIP code for the nominee's address
COUNTRY	VARCHAR2	3	Mandatory	-	Indicates the country of nominee
EMAIL	VARCHAR2	255	Optional	-	Indicates the email address of nominee
CONTACTPERSON	VARCHAR2	160	Optional	-	Indicates the name of nominee's contact person
BENEFICIARYBANKCODE	VARCHAR2	12	Mandatory	-	Represents the bank identification code for the nominee
BENEFICIARYBRANCHCODE	VARCHAR2	12	Mandatory	-	Represents the branch identification code for the nominee
BENEFICIARYACCTYPE	VARCHAR2	1	Optional	-	Represents the nominee's account type
BENEFICIARYACCCCY	VARCHAR2	3	Optional	-	Represents the nominee's account name
ACCOUNTNUMBER	VARCHAR2	20	Mandatory	-	Represents the account currency of the nominee's bank account
BENEFICIARYACCNAME	VARCHAR2	100	Optional	-	Indicates the account number of the nominee
IBAN	VARCHAR2	40	Optional	-	Indicates the International Bank Account Number
COUNTRYOFDOMICILE	VARCHAR2	25	Optional	-	Indicates the country of domicile of nominee
EXISTINGUHORCIF	VARCHAR2	1	Mandatory	-	Indicates whether its existing UH or CIF

1.2.11 Unit Holder Director Details

This topic provides information on Unit Holder Director Details.

Serial Number: 10

Segment Identification Number: 09DIR

Description: This segment is used for providing Director related Information

Attributes: Optional

Table 1-12 Unit Holder Director Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment Id. Value of Segment Id is 09DIR
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
EXISTINGUHORCIF	VARCHAR2	1	Optional	-	Indicates whether its existing UH or CIF
BENEFICIARYNAME	VARCHAR2	100	Optional	-	Indicates the descriptive name for the Director.
BENEFICIARYID	VARCHAR2	12	Mandatory	-	Indicates the unique identification code for the Director of unitholder.
BENEFICIARYDOB	DATE	10	Mandatory	-	Indicates the Date of Birth for the Director.
BENEFICIARYGENDER	VARCHAR2	1	Mandatory	-	Indicates the gender of Director.
BENEFICIARYIDTYPE	VARCHAR2	3	Optional	-	Indicates the identification type of Director.
BENEFICIARYIDNUMBER	VARCHAR2	50	Mandatory	-	Indicates the unique identification number for the Director
COUNTRYOFDOMICILE	VARCHAR2	3	Optional	-	Indicates the country of domicile of Director.
ADDRESSLINE1	VARCHAR2	105	Mandatory	-	Indicates the first line of Director's address.
ADDRESSLINE2	VARCHAR2	105	Mandatory	-	Indicates the second line of Director's address.
ADDRESSLINE3	VARCHAR2	105	Optional	-	Indicates the third line of Director's address.
ADDRESSLINE4	VARCHAR2	80	Optional	-	Indicates the fourth line of Director's address.
COUNTRY	VARCHAR2	3	Optional	-	Indicates the country of Director
ZIPCODE	VARCHAR2	10	Mandatory	-	Indicates the locational ZIP code for the Director's address
EMAIL	VARCHAR2	255	Optional	-	Indicates the email address of Director.
CONTACTPERSON	VARCHAR2	160	Optional	-	Indicates the name of Director's contact person.
BENEFICIARYBANKCODE	VARCHAR2	12	Optional	-	Indicates the bank identification code for the Director.
BENEFICIARYBRANCHCODE	VARCHAR2	12	Optional	-	Indicates the branch identification code for the Director.

Table 1-12 (Cont.) Unit Holder Director Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
BENEFICIARYACCTYPE	VARCHAR2	1	Optional	-	Indicates the Director's account type.
BENEFICIARYACCCCY	VARCHAR2	3	Optional	-	Indicates the account currency of the Director's bank account.
ACCOUNTNUMBER	VARCHAR2	34	Optional	-	Indicates the account currency of the Director's bank account.
BENEFICIARYACCNAME	VARCHAR2	140	Optional	-	Indicates the Director's account name.
IBAN	VARCHAR2	40	Optional	-	Indicates the International Bank Account Number.
PERCENTHOLDINGS	NUMBER	5	Optional	-	Indicates Percentage Holding
DESIGNATION	VARCHAR2	100	Optional	-	Designation

1.2.12 Unit Holder Additional Information Details

This topic provides information on Unit Holder Additional Information Details.

Serial Number: 11

Segment Identification Number: 10ADD

Description: This segment is used for providing Additional Information related Information.

Attributes: Optional

Table 1-13 Unit Holder Additional Information Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 10ADD
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	200	Mandatory	-	Process ID
ADDINFOLABEL	VARCHAR2	120	Mandatory	-	Addinfo Label
FIELDVALUE	CHAR	92	Mandatory	-	Field Value

1.2.13 Unit Holder KYC Application Form Details

This topic provides information on Unit Holder KYC Application Form Details.

Serial Number: 12

Segment Identification Number: 11KAP

Description: This segment is used for providing KYC Application form related Information.

Attributes: Optional

Table 1-14 Unit Holder KYC Application Form Details

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	YES	-	Segment ID. Value of Segment ID is 11KAP
SEQUENCENUMBER	NUMBER	22	YES	-	Sequence Number
PROCESSID	VARCHAR2	50	YES	-	Process ID
UNITHOLDERID	VARCHAR2	12	YES	-	Unitholder ID
APPLICABLELEVEL	VARCHAR2	1	NO	-	Applicable level
APPLICABLE ENTITY ID	VARCHAR2	12	NO	-	Applicable Entity
COPYRECEIVED	VARCHAR2	1	NO	-	Copy received
ORIGINALRECEIVED	VARCHAR2	1	NO	-	Original received

1.2.14 Unit Holder Stop Account Details

This topic provides information on Unit Holder Stop Account Details.

Serial Number: 13

Segment Identification Number: 12STP

Description: This segment is used for providing Stop Code Restrictions related Information.

Attributes: Optional

Table 1-15 Unit Holder Stop Account Details

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	YES	-	Segment ID. Value of Segment ID is 12STP

Table 1-15 (Cont.) Unit Holder Stop Account Details

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
SEQUENCENUMBER	NUMBER	10	YES	-	Sequence Number
PROCESSID	VARCHAR2	50	YES	-	Process ID
UNITHOLDERID	VARCHAR2	12	YES	-	Unitholder ID
STOPCODE	VARCHAR2	25	YES	-	Stop Code
STOPACCOUNTCODE	VARCHAR2	20	YES	-	Stop Account Code
REASON	VARCHAR2	255	NO	-	Stop Account Reason
EFFECTIVEDATE	DATE	-	YES	-	Stop Account Effective Date
RELEASEDATE	DATE	-	NO	-	Stop Account Release Date

1.2.15 Unit Holder Communication Mode Restriction Details

This topic provides information on Unit Holder Communication Mode Restriction Details.

Serial Number: 14

Segment Identification Number: 13COM

Description: This segment is used for providing Communication Mode Restrictions related Information.

Attributes: Optional

Table 1-16 Unit Holder Communication Mode Restriction Details

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	YES	-	Segment ID. Value of Segment ID is 13COM
SEQUENCENUMBER	NUMBER	10	YES	-	Sequence Number
PROCESSID	VARCHAR2	50	YES	-	Process ID
UNITHOLDERID	VARCHAR2	12	YES	-	Unitholder ID
COMMUNICATIONMODE	VARCHAR2	2	YES	-	Communication Mode
RESTRICTION	VARCHAR2	1	YES	-	Restriction Value

1.2.16 Unit Holder KIID Details

This topic provides information on Unit Holder KIID Details.

Serial Number: 15

Segment Identification Number: 14KII

Description: This segment is used for providing KIID Details.

Attributes: Optional

Table 1-17 Unit Holder KIID Details

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	YES	-	Segment ID. Value of Segment ID is 14KII
SEQUENCENUMBER	NUMBER	22	YES	-	Sequence Number
PROCESSID	VARCHAR2	50	YES	-	Process ID
UNITHOLDERID	VARCHAR2	12	NO	-	Unit holder ID
FUNDID	VARCHAR2	6	NO	-	Fund ID
EFFECTIVEDATE	DATE	7	NO	-	Effective Date

1.2.17 Unit Holder Contact information

This topic provides information on Unit Holder Contact information.

Serial Number: 16

Segment Identification Number: 15CON

Description: This segment is used for providing Unit Holder Contact information.

Attributes: Optional

Table 1-18 Unit Holder Contact information

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	YES	-	Segment ID. Value of Segment ID is 15CON
SEQUENCENUMBER	NUMBER	10	YES	-	Sequence Number
PROCESSID	VARCHAR2	50	YES	-	Process ID
UNITHOLDERID	VARCHAR2	12	YES	-	Unit holder ID

Table 1-18 (Cont.) Unit Holder Contact information

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
REFERENCENUMBER	VARCHAR2	12	YES	-	Reference Number
ADDRESSTYPE	VARCHAR2	2	YES	-	Address Type
ADDRESSLINE1	VARCHAR2	105	NO	-	Address Line 1
ADDRESSLINE2	VARCHAR2	105	NO	-	Address Line 2
ADDRESSLINE3	VARCHAR2	105	NO	-	Address Line 3
ADDRESSLINE4	VARCHAR2	80	NO	-	Address Line 4
ZIPCODE	VARCHAR2	10	NO	-	Zip Code
COUNTRY	VARCHAR2	3	NO	-	Country
USSTATE	NUMBER	1	NO	-	US State
TELEPHONE1	VARCHAR2	105	NO	-	Telephone 1
TELEPHONE2	VARCHAR2	60	NO	-	Telephone 2
CELLPHONENUMBER	VARCHAR2	60	NO	-	Cell Phone Number
FAX	VARCHAR2	120	NO	-	Fax Number
EMAIL	VARCHAR2	255	NO	-	Email
CONTACTPERSON	VARCHAR2	160	NO	-	Contact Person
EFFECTIVEFROMDATE	DATE	-	NO	-	Effective From Date
EFFECTIVETODATE	DATE	-	NO	-	Effective To Date
BICCODE	VARCHAR2	16	NO	-	Bic code
INTDIALLINGCODEPH1	VARCHAR2	10	NO	-	Phone Number 1
INTDIALLINGCODEPH2	VARCHAR2	10	NO	-	Phone Number 2
INTDIALLINGCODECELL	VARCHAR2	10	NO	-	Phone Number 3
INTDIALLINGCODEFAX	VARCHAR2	10	NO	-	Fax Number

1.3 Transactions File Format

This topic provides information on the transaction file format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on fund rules upload formats of segment identification number.
- Transaction Related Information
  This topic provides information on transaction related information.
- Transaction Settlement Related Information
  This topic provides information on transaction settlement related information.
- KYC Transaction Related Information
  This topic explains the systematic instructions on KYC transaction related information.
- Transaction Additional Information Details
  This topic provides information on transaction additional information details.
- Transaction Intermediary Related Information
  This topic provides information on transaction intermediary related information.
- Transaction Certificate Related Information
  This topic provides information on transaction certificate related information.
- Transaction Receipt Related Information
  This topic explains the systematic instructions on transaction receipt related information.
- Transaction Delivery Related Information
  This topic provides information on transaction delivery related information.
- Transaction Limit Order Related Information
  This topic provides information on transaction limit order related information.
- Transaction Load Override Related Information
  This topic provides information on transaction load override related information.
- Transaction Derived Load Override Related Information
  This topic provides information on transaction derived load override related information.
- Transaction Pseudo Switch Related Information
  This topic provides information on transaction derived load override related information.
- Transaction Switch In Fund Load Override Related Information
  This topic provides information on transaction Switch In fund load override related information.
- Transaction Switch In Fund Derived Load Override Related Information
  This topic provides information on transaction Switch In fund derived load override related information.

1.3.1 Segment Identification Number

This topic provides detailed information on fund rules upload formats of segment identification number.

> **Note:**
>
> For all imports of unit holder new account and information change data, the external handoff file containing the information must:
>
> - Always be named in the format “BulkUH*.*”
> - Always contain all information marked as mandatory in the table below.
> - Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below.

> **Note:**
>
> If you have disallowed upload of amended transactions for a particular client, by selecting the ‘No’ option in the Allow Amendment field, when you are setting up the default information for the bulk client, amended orders are not imported for the bulk client, but errors are logged in respect of them.

The upload file would contain various segment identification numbers in the first column to indicate the type of data that is present in that entire row. Each segment identified by a unique tag, indicates the type of transaction information such as Transaction, Settlement info and so on. The possible segments that would be used in Transaction upload are given below for reference:

Table 1-19 Segment Identification number

Segment Identification Number	Description	Attributes
00CON	This segment is used for providing Transaction related Information	Mandatory; and will be the driving header segment for all the other segments.
01SET	This segment is used for providing Transaction Settlement related Information	Mandatory
02KYC	This segment is used for providing KYC Transaction related Information	Optional
03AIF	This segment is used for providing Additional Information Details	Optional
04INT	This segment is used for providing Transaction Intermediary related Information	Optional
05CER	This segment is used for providing Certificate related Information	Optional

Table 1-19 (Cont.) Segment Identification number

Segment Identification Number	Description	Attributes
06REC	This segment is used for providing Transaction Receipt related Information	Optional
07DEL	This segment is used for providing Delivery related Information	Optional
08LMO	This segment is used for providing Limit Order related Information	Optional
09LOD	This segment is used for providing Transaction Load Override related Information	Optional
10DLO	This segment is used for providing Derived Load Override related Information	Optional
11PSE	This segment is used for providing Pseudo Switch related Information	Optional
12PSL	This segment is used for providing Switch In Fund Load Override related Information	Optional
13PSD	This segment is used for providing Switch In Fund Derived Load Override related Information	Optional

1.3.2 Transaction Related Information

This topic provides information on transaction related information.

Serial Number: 1

Segment Identification Number: 00CON

Description: This segment is used for providing Transaction related Information.

Attributes: Mandatory

Table 1-20 Transaction related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID - 00CON
TXNSERIALNUMBER	NUMBER	10	Mandatory	-	Transaction Serial Number
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name

Table 1-20 (Cont.) Transaction related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
UPLOADMODE	VARCHAR2	1	Mandatory	-	Mode of Transaction, • N – New • E - Modify • D - Delete • A - Auth • R - Reversal • I – Incremental
AMCID	VARCHAR2	12	Mandatory	-	AMC ID
TRANSACTIONNUMBER	VARCHAR2	16	Mandatory	-	Transaction Number
REFERENCENUMBER	VARCHAR2	16	Optional	-	Reference Number
TRANSACTIONDATE	DATE	7	Mandatory	-	Transaction Date
UNITHOLDERID	VARCHAR2	60	Mandatory	-	Unitholder ID
REFUNITHOLDERID	VARCHAR2	12	Mandatory	-	Reference Unit Holder ID
AGENTCODE	VARCHAR2	12	Mandatory	-	Agent Code
BRANCHCODE	VARCHAR2	12	Mandatory	-	Branch Code
FUNDID	VARCHAR2	25	Mandatory	-	Fund ID
AMOUNT	NUMBER	15	Optional	-	Amount
PAYMENTMODE	VARCHAR2	1	Optional	-	Payment Mode: • C - Cash • Q - Cheque • T - Transfer • R - Card • M - Multipayment • D - Draft
CHEQUENUMBER	VARCHAR2	16	Optional	-	Cheque Number
CLEARINGDATE	DATE	7	Optional	-	Clearing Date
TRANSACTIONCURRENCY	VARCHAR2	3	Optional	-	Transaction Currency
TRANSACTIONMODE	VARCHAR2	1	Optional	-	Transaction Mode: • A - Amount • U - Units • P - Percentage
UNITSAPPLIED	NUMBER	15	Optional	-	Units
PERCENTAPPLIED	NUMBER	5	Optional	-	Percentage

Table 1-20 (Cont.) Transaction related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
TOFUNDID	VARCHAR2	25	Optional	-	To Fund ID
TOUNITHOLDERID	VARCHAR2	60	Optional	-	To Unitholder ID
REFTOUNITHOLDERID	VARCHAR2	12	Optional	-	Reference To Unit Holder ID
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Transaction Type: • 01 - IPO Subscription • 02 - Subscription • 03 - Redemption • 04 - Switch • 05 - Transfer
REFTYPE	VARCHAR2	2	Optional	-	Ref Type For example, reftype - 56, transaction Type - 05 it will consider as 'No Change of beneficiary ownership' Transfer transaction
REMARKS	VARCHAR2	255	Optional	-	Remarks
CIFNUMBER	VARCHAR2	12	Optional	-	CIF Number
TOCIFNUMBER	VARCHAR2	12	Optional	-	To CIF Number
GROSSORNET	VARCHAR2	1	Optional	For the redemption transactions, by default the value will be `Net`, if the same is not provided by the user	Gross Or Net.GGross, N-Net

Table 1-20 (Cont.) Transaction related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SUBPAYMENTMODE	VARCHAR2	2	Optional	-	Sub Payment Mode: • T - Money Transfer • Q - Cheque • R - Credit Card • D - Demand Draft • F -Against Payment • I - In Kind Payment • AT - Advice • BQ -Broker Cheque • CQ - Cashier cheque • DR - Debit Card • DT - Direct Debit • FQ - Foreign Cheque • GQ - Government Cheque • IQ - Other Institution Cheque • LQ - Local Cheque • OQ - Out Of Town Cheque • PD - Pay Order • PQ - Payroll Deduction • RQ - Reserve Bank Cheque • TT - Telegraphic Transfer • WT - Wire Transfer
COMMUNICATIONMODE	VARCHAR2	2	Optional	-	Communication Mode: • CW - Check Writing • DI - Direct (In Person) • EM - EMail • IN - Internet • RA-Registered Investment Advisor • RD - Registered Dealer • SP - Savings Plan • SW - SWIFT • TL - Telephone
POLICYNUMBER	VARCHAR2	16	Optional	-	Policy Number
PRODUCTID	VARCHAR2	10	Optional	-	Product ID
LOIAPPLICABLE	NUMBER	1	Optional	-	LOI Transaction: • 1 - Yes • 0 - No
REFFUNDID	VARCHAR2	6	Mandatory	-	Reference Fund ID
REFTOFUNDID	VARCHAR2	6	Optional	-	Reference To Fund ID

Table 1-20 (Cont.) Transaction related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ENTITYTYPE	VARCHAR2	1	Optional	-	Entity Type: • A - Agent • N - Branch Code • I - IFA • O - Account Officer • B - Broker
ENTITYID	VARCHAR2	12	Optional	-	Entity ID
SETTLEMENTDATE	DATE	7	Optional	-	Settlement Date
DELIVERYOPTION	VARCHAR2	1	Optional	-	Delivery Option: • Y - On • N - Off
TRANSACTIONSUBTYPE	VARCHAR2	1	Optional	-	Transaction Sub Type
REVERSALCODE	VARCHAR2	2	Optional	-	Reversal Code
CANCELINSTRUCTION	VARCHAR2	2	Optional	-	Cancel Instruction. SI-Standing Instruction
FROMFUNDPRICEBASIS	VARCHAR2	10	Optional	-	Fund Price Basis
TOFUNDPRICEBASIS	VARCHAR2	10	Optional	-	Switch In Price Basis
TXN_OR_PRICE_DATE_FLAG	VARCHAR2	1	Optional	-	Transaction/ price date
FROMPRICEDATE	DATE	7	Optional	-	Price Date
TOPRICEDATE	DATE	7	Optional	-	Switch In Price Date
SUBSCRIPTIONFUNDID	VARCHAR2	6	Optional	-	Subscription Fund ID
SUBSCRIPTIONPRICEBASIS	VARCHAR2	10	Optional	-	Subscription Price Basis
PAYMENTALLOWED	NUMBER	1	Optional	-	Payment Allowed
PROCESSID1	VARCHAR2	51	Mandatory	-	Process ID . Should be same as the Process ID given above.
SUBSETTLEMENTDATE	DATE	10	Optional	-	Settlement Date
SUBPRICEDATE	DATE	10	Optional	-	Subscription price Date
BASECOST	NUMBER	15	Optional	-	Base cost
EXCHANGERATEDETAILS	VARCHAR2	35	Optional	-	Exchange Rate Details - Unit Currency, Quoted Currency, Exchange Rate.Seperated by '#' for instance, ZAR#GBP#0.2#
DEALINGDATE	DATE	10	Mandatory	-	Dealing Date

Table 1-20 (Cont.) Transaction related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
COMPLETIONDATE	DATE	10	Mandatory	-	Completion Date
EUSDOPTION	VARCHAR2	1	Mandatory	-	EUSD Option: • W - With Holding Tax • E - Exchange of Information • T - Tax Exemption Certificate
TODEALINGDATE	DATE	10	Mandatory	-	Switch In Dealing Date
KYCCHASINGDATE	DATE	10	Mandatory	-	KYC Chasing Date
KYCRECEIVED	NUMBER	1	Mandatory	-	KYC Received: • 1 - Yes • 0 - No
LOCKINPERIODFORGAIN	NUMBER	4	Optional	-	Lock-In Period for Gain
SWIINEXCHANGERATEAPPLIED	NUMBER	15	Mandatory	-	Switch-In Exchange Rate Applied
AUTOCLEARPROVISIONALBALANCE	NUMBER	1	Optional	Valid values are 0, 1 and 2	Auto Clear Provisional Balance. 1-Yes,0- No
AUTOCLEARREINVESTMENTS	NUMBER	1	Optional	Valid values are 0, 1 and 2	Auto Clear Reinvestments: • 1 - Yes • 0 - No
FEECURRENCY	VARCHAR2	3	Optional	Valid values are ‘FBC’ and ‘TC’	Fee Currency
MATURITYDATE	DATE	10	Optional	-	Maturity Date
SUBSOURCEID	VARCHAR2	6	Optional	-	Subscription Source ID
CERTSPILLOVER	NUMBER	1	Optional	-	Certificate Spill- Over
ISSUED	NUMBER	1	Optional	-	From Issued Balances: • 1 - Yes • 0 - No
BLOCKTXNNUMBER	VARCHAR2	16	Optional	-	Block Transaction Number
CPMFBONUSPERCENT	NUMBER	30	Optional	-	CPMF Bonus Percent
CPMFCREDITLAG	NUMBER	3	Optional	-	CPMF Credit Lag
RETAINAGEING	NUMBER	1	Optional	-	Retain Ageing
CAMPAIGNCODE	VARCHAR2	6	Optional	-	Campaign Code

Table 1-20 (Cont.) Transaction related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
FXDEALDATE	DATE	10	Optional	Applicable for only IPO Subscription/ Subscription/ Redemption and Pseudo Switch	FX Deal Date
FXVALUEDATE	DATE	10	Optional	Applicable for only IPO Subscription/ Subscription/ Redemption and Pseudo Switch	FX Value Date
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
AUTH_STAT	VARCHAR2	1	Mandatory	-	Authorisation Status: • A - Authorized • U - Unauthorized
ORDERRECEIVEDDATE	DATE	7	Optional	-	Order Received Date
ORDERRECEIVEDTIME	VARCHAR2	5	Optional	-	Order Received Time
TXNCATEGORY	VARCHAR2	1	Optional	-	Transaction Category • E - Execution only • L - Legacy • A - Advise Mode
LOADREFTYPE	VARCHAR2	4	Optional	-	Load Ref Type
SOURCEID	VARCHAR2	6	Optional	-	Source ID
KIIDRECEIVED	VARCHAR2	1	Optional	-	KIID Received
ORDERRECDTIMEZONE	VARCHAR2	12	Mandatory	-	Order Received Time Zone

Note:

If the upload mode is `R`, then the system will consider transaction number and reversal code in the template for the reversal of transaction.

1.3.3 Transaction Settlement Related Information

This topic provides information on transaction settlement related information.

Serial Number: 2

Segment Identification Number: 01SET

Description: This segment is used for providing Transaction Settlement related Information.

Attributes: Mandatory

Table 1-21 Transaction Settlement related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 01SET
TXNSERIALNUMBER	NUMBER	10	Mandatory	-	Transaction Serial Number
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Optional	-	Upload Mode
AMCID	VARCHAR2	12	Optional	-	AMC ID
PAYMENTREFERENCENUMBER	VARCHAR2	50	Optional	-	Payment Reference Number
PAYMENTMODE	VARCHAR2	2	Optional	-	Payment Mode: • C - Cash • Q - Cheque • T - Transfer • R - Card • M - Multipayment • D - Draft

Table 1-21 (Cont.) Transaction Settlement related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SUBPAYMENTMODE	VARCHAR2	2	Optional	-	Sub Payment Mode: • T - Money Transfer • Q - Cheque • R - Credit Card • D - Demand Draft • F -Against Payment • I - In Kind Payment • AT - Advice • BQ -Broker Cheque • CQ - Cashier cheque • DR - Debit Card • DT - Direct Debit • FQ - Foreign Cheque • GQ - Government Cheque • IQ - Other Institution Cheque • LQ - Local Cheque • OQ - Out Of Town Cheque • PD - Pay Order • PQ - Payroll Deduction • RQ - Reserve Bank Cheque • TT - Telegraphic Transfer • WT - Wire Transfer
PAYMENTCURRENCY	VARCHAR2	3	Optional	-	Payment Currency
PAYMENTDATE	DATE	10	Optional	-	Payment Date
PAYMENTAMOUNT	NUMBER	15	Optional	-	Payment Amount
BANKCHARGES	NUMBER	15	Optional	-	Bank Charges
PAYMENTCHARGES	NUMBER	15	Optional	-	Payment Charges
EXCHANGERATESOURCE	VARCHAR2	6	Optional	-	Exchange Rate Source
EXCHANGERATE	NUMBER	15	Optional	-	FX Rate
PAYMENTALTERNATEAMOUNT	NUMBER	30	Optional	-	Payment Alternate Amount
PAIDDATE	DATE	10	Optional	-	Paid Date
AUTOSETTLEMENT	NUMBER	1	Optional	-	Auto Settle • 1 - Yes • 0 - No
SETTLEMENTMETHOD	VARCHAR2	5	Optional	-	Settlement Method

Table 1-21 (Cont.) Transaction Settlement related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SETTLEMENTSTATUS	VARCHAR2	2	Optional	-	Settlement Status
CHEQUEDATE	DATE	10	Optional	-	Cheque Date
CHEQUENUMBER	VARCHAR2	16	Optional	-	Cheque Number
CHEQUECURRENCY	VARCHAR2	3	Optional	-	Cheque Currency
CHEQUEAMOUNT	NUMBER	15	Optional	-	Cheque Amount
DRAWEEBANKCODE	VARCHAR2	12	Optional	-	Drawee Bank Code
DRAWEEBRANCHNAME	VARCHAR2	20	Optional	-	Drawee Branch Name
PAYMENTREMARKS	VARCHAR2	255	Optional	-	Payment Remarks
PAYMENTTYPE	VARCHAR2	1	Optional	-	Payment Type: • S - Self • T - Third Party • B - Broker • R - Retained • AP - Advanced Payment • A - Absorbed/ Paid back
THIRDPARTYADDRESS	VARCHAR2	255	Optional	-	Third Party Address
THIRDPARTYADDRESSLINE1	VARCHAR2	80	Optional	-	Third Party Address line 1
THIRDPARTYADDRESSLINE2	VARCHAR2	80	Optional	-	Third Party Address line 2
THIRDPARTYADDRESSLINE3	VARCHAR2	80	Optional	-	Third Party Address line 3
THIRDPARTYADDRESSLINE4	VARCHAR2	80	Optional	-	Third Party Address line 4
THIRDPARTYBICCODE	VARCHAR2	12	Optional	-	Third Party BIC Code
THIRDPARTYCOUNTRYCODE	VARCHAR2	3	Optional	-	Third Party Country
THIRDPARTYPAYMENTREFERENCE	VARCHAR2	255	Optional	-	Third Party Reference
THIRDPARTYSTATE	VARCHAR2	20	Optional	-	Third Party State
THIRDPARTYUSSTATE	NUMBER	1	Optional	-	Third Party US State
THIRDPARTYZIPCODE	VARCHAR2	10	Optional	-	Third Party Zip Code
TRANSFERACCOUNTCURRENCY	VARCHAR2	3	Optional	-	Transfer Account Currency

Table 1-21 (Cont.) Transaction Settlement related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
TRANSFERACCOUNTHOLDERNAME	VARCHAR2	100	Optional	-	Transfer Account Holder Name
TRANSFERACCOUNTNUMBER	VARCHAR2	20	Optional	-	Transfer Account Number
TRANSFERACCOUNTTYPE	VARCHAR2	1	Optional	-	Transfer Account Type
TRANSFERBRANCHCODE	VARCHAR2	12	Optional	-	Transfer Branch Code
TRANSFERREFERENCENUMBER	VARCHAR2	20	Optional	-	Transfer Reference Number
CREDITCARDREFNO	VARCHAR2	16	Optional	-	Credit Card Reference Number
INKINDPAYMENTDETAILS	VARCHAR2	255	Optional	-	In Kind Payment Details
PAYMENTADDRESSDETAILS	VARCHAR2	255	Optional	-	Payment Address Details
OLDPAYMENTREFERENCENO	VARCHAR2	16	Optional	-	Old Payment Reference Number
UHBICCODE	VARCHAR2	24	Optional	-	UH BIC Code
SWIFTFORMAT	VARCHAR2	6	Optional	Valid values are 0, 1 and 2	Swift Format: MT103 - MT103, MT202 - MT202
BANKCHARGED	VARCHAR2	12	Optional	-	Bank Charged. Beneficiary - Beneficiary, Remitter - Remitter, Share - Share
BENEFICIARY	VARCHAR2	35	Optional	-	Beneficiary
BENEFICIARYADD1	VARCHAR2	105	Optional	-	Beneficiary Address1
BENEFICIARYADD2	VARCHAR2	105	Optional	-	Beneficiary Address2
BENEFICIARYADD3	VARCHAR2	105	Optional	-	Beneficiary Address3
BENEFICIARYBICCODE	VARCHAR2	24	Optional	-	Beneficiary BIC Code
BENEFICIARYACCTNO	VARCHAR2	34	Optional	-	Beneficiary Account Number
BENEFICIARYCODE	VARCHAR2	24	Optional	-	Beneficiary Code
BENEFICIARYINFO	VARCHAR2	100	Optional	-	Beneficiary Information
INTERMEDIARY	VARCHAR2	35	Optional	-	Intermediary
INTERMEDIARYADD1	VARCHAR2	105	Optional	-	Intermediary Address1

Table 1-21 (Cont.) Transaction Settlement related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
INTERMEDIARYADD2	VARCHAR2	105	Optional	-	Intermediary Address2
INTERMEDIARYADD3	VARCHAR2	105	Optional	-	Intermediary Address3
INTERMEDIARYBICCODE	VARCHAR2	24	Optional	-	Intermediary BIC Code
INTERMEDIARYACCTNO	VARCHAR2	34	Optional	-	Intermediary Account No
INTERMEDIARYCODE	VARCHAR2	24	Optional	-	Intermediary Code
INTERMEDIARYINFO	VARCHAR2	100	Optional	-	Intermediary Info
FURTHERCREDITNAME	VARCHAR2	35	Optional	-	Further Credit Name
FURTHERCREDITACCTNO	VARCHAR2	35	Optional	-	Further Credit Account Number
REFERENCE1	VARCHAR2	35	Optional	-	Reference1
REFERENCE2	VARCHAR2	35	Optional	-	Reference2
ENTITYID	VARCHAR2	12	Optional	-	Entity ID
ENTITYTYPE	VARCHAR2	1	Optional	-	Entity Type
IBAN	VARCHAR2	40	Optional	-	IBAN
BENEFICIARYIBAN	VARCHAR2	40	Optional	-	Beneficiary IBAN
INTERMEDIARYIBAN	VARCHAR2	40	Optional	-	Intermediary IBAN
BENEFICIARYID	VARCHAR2	12	Optional	-	Beneficiary ID
BENEFICIARYPERCENTAGE	NUMBER	5	Optional	-	Beneficiary Percentage
AMOUNTBLOCKNO	VARCHAR2	16	Optional	-	Amount Block Number
SETTLED	VARCHAR2	1	Optional	-	Settled: • Y - Yes • N - No
REVERSED	VARCHAR2	1	Optional	-	Reversed: • Y - Yes • N - No

1.3.4 KYC Transaction Related Information

This topic explains the systematic instructions on KYC transaction related information.

Serial Number: 3

Segment Identification Number: 02KYC

Description: This segment is used for providing KYC Transaction related Information.

Attributes: Optional

Table 1-22 KYC Transaction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 02KYC
TXNSERIALNUMBER	NUMBER	10	Mandatory	-	Transaction Serial Number
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
AMCID	VARCHAR2	12	Optional	-	AMC ID
TRANSACTIONNUMBER	VARCHAR2	16	Mandatory	-	Transaction Number
DOCUMENTDESCRIPTION	VARCHAR2	255	Optional	-	Document Description
RECEIVED	VARCHAR2	1	Optional	-	Received: • ON - Y • OFF - N
RECEIVEDDATE	DATE	10	Optional	-	Received Date
REQUESTED	VARCHAR2	1	Optional	-	Requested: • ON - Y • OFF - N
TAXDOCUMENT	NUMBER	1	Optional	-	Tax Document: • 1 - Yes • 0 - No

1.3.5 Transaction Additional Information Details

This topic provides information on transaction additional information details.

Serial Number: 4

Segment Identification Number: 03AIF

Description: This segment is used for providing Additional Information Details.

Attributes: Optional

Table 1-23 Transaction Additional Information Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 03AIF
TXNSERIALNUMBER	NUMBER	10	Mandatory	-	Transaction Serial Number
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
AMCID	VARCHAR2	12	Mandatory	-	AMC ID
TRANSACTIONNUMBER	VARCHAR2	16	Mandatory	-	Transaction Number
REFERENCENUMBER	VARCHAR2	12	Mandatory	-	Reference Number
OTHERINFO1	VARCHAR2	60	Optional	-	Other Information 1
OTHERINFO2	VARCHAR2	60	Optional	-	Other Information 2
OTHERINFO3	VARCHAR2	60	Optional	-	Other Information 3
OTHERINFO4	VARCHAR2	60	Optional	-	Other Information 4
OTHERINFO5	VARCHAR2	60	Optional	-	Other Information 5
OTHERINFO6	VARCHAR2	60	Optional	-	Other Information 6
OTHERINFO7	VARCHAR2	60	Optional	-	Other Information 7
OTHERINFO8	VARCHAR2	60	Optional	-	Other Information 8
OTHERINFO9	VARCHAR2	60	Optional	-	Other Information 9
OTHERINFO10	VARCHAR2	60	Optional	-	Other Information 10
OTHERINFO11	VARCHAR2	60	Optional	-	Other Information 11
OTHERINFO12	VARCHAR2	60	Optional	-	Other Information 12
OTHERINFO13	VARCHAR2	60	Optional	-	Other Information 13

Table 1-23 (Cont.) Transaction Additional Information Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
OTHERINFO14	VARCHAR2	60	Optional	-	Other Information 14
OTHERINFO15	VARCHAR2	60	Optional	-	Other Information 15
OTHERINFO16	VARCHAR2	60	Optional	-	Other Information 16
OTHERINFO17	VARCHAR2	60	Optional	-	Other Information 17
OTHERINFO18	VARCHAR2	60	Optional	-	Other Information 18
OTHERINFO19	VARCHAR2	60	Optional	-	Other Information 19
OTHERINFO20	VARCHAR2	60	Optional	-	Other Information 20
OTHERINFO21	VARCHAR2	60	Optional	-	Other Information 21
OTHERINFO22	VARCHAR2	60	Optional	-	Other Information 22
OTHERINFO23	VARCHAR2	60	Optional	-	Other Information 23
OTHERINFO24	VARCHAR2	60	Optional	-	Other Information 24
OTHERINFO25	VARCHAR2	60	Optional	-	Other Information 25
OTHERINFO26	VARCHAR2	60	Optional	-	Other Information 26
OTHERINFO27	VARCHAR2	60	Optional	-	Other Information 27
OTHERINFO28	VARCHAR2	60	Optional	-	Other Information 28
OTHERINFO29	VARCHAR2	60	Optional	-	Other Information 29
OTHERINFO30	VARCHAR2	60	Optional	-	Other Information 30

1.3.6 Transaction Intermediary Related Information

This topic provides information on transaction intermediary related information.

Serial Number: 5

Segment Identification Number: 04INT

Description: This segment is used for providing Transaction Intermediary related Information.

Attributes: Optional

Table 1-24 Transaction Intermediary Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID - 04INT
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
TXNSERIALNUMBER	NUMBER	10	Mandatory	-	Transaction Serial Number
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
ENTITYID	VARCHAR2	12	Mandatory	-	Entity ID
ENTITYTYPE	VARCHAR2	1	Mandatory	-	Entity Type: • A - Agent • N - Branch Code • I - IFA • O - Account Officer • B - Broker
SPLITPERCENTAGE	NUMBER	5	Optional	-	Split Percentage
FROMORTOLEG	VARCHAR2	1	Mandatory	-	From Or To Leg

1.3.7 Transaction Certificate Related Information

This topic provides information on transaction certificate related information.

Serial Number: 6

Segment Identification Number: 05CER

Description: This segment is used for providing Certificate related Information.

Attributes: Optional

Table 1-25 Transaction Certificate Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID - 05CER
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
CERTIFICATENUMBER	NUMBER	10	Mandatory	-	Certificate Number
NOOFUNITS	NUMBER	27	Mandatory	-	Number of Units

1.3.8 Transaction Receipt Related Information

This topic explains the systematic instructions on transaction receipt related information.

Serial Number: **7**

Segment Identification Number: 06REC

Description: This segment is used for providing Transaction Receipt related Information.

Attributes: Optional

Table 1-26 Transaction Receipt Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	80	Mandatory		Segment ID - 06REC
PROCESSID	VARCHAR2	50	Mandatory		Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory		Sequence Number
TRANSACTIONNUMBER	VARCHAR2	16	Optional		Transaction Number
TRANSACTIONUNITS	NUMBER	27	Mandatory		Transaction Units

1.3.9 Transaction Delivery Related Information

This topic provides information on transaction delivery related information.

Serial Number: 8

Segment Identification Number: 07DEL

Description: This segment is used for providing Delivery related Information.

Attributes: Optional

Table 1-27 Transaction Delivery Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID - 07DEL
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
TXNSERIALNUMBER	NUMBER	10	Optional	-	Transaction serial Number

Table 1-27 (Cont.) Transaction Delivery Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
DELIVERYTYPE	VARCHAR2	1	Mandatory	-	Delivery Type
ENTITYID	VARCHAR2	12	Optional	-	Entity ID
ACCOUNTNUMBER	VARCHAR2	20	Optional	-	Account Number
ACCOUNTNAME	VARCHAR2	100	Optional	-	Account Name
ADDRESSTYPE	VARCHAR2	2	Optional	-	Address Type
ADDRESSLINE1	VARCHAR2	105	Mandatory	-	Address Line1
ADDRESSLINE2	VARCHAR2	105	Mandatory	-	Address Line2
ADDRESSLINE3	VARCHAR2	105	Optional	-	Address Line3
ADDRESSLINE4	VARCHAR2	80	Optional	-	Address Line4
ZIPCODE	VARCHAR2	10	Optional	-	Zipcode
COUNTRY	VARCHAR2	3	Optional	-	Country
BICCODE	VARCHAR2	12	Optional	-	BIC code
IBAN	VARCHAR2	40	Optional	-	IBAN

1.3.10 Transaction Limit Order Related Information

This topic provides information on transaction limit order related information.

Serial Number: 9

Segment Identification Number: 08LMO

Description: This segment is used for providing Limit Order related Information.

Attributes: Optional

Table 1-28 Transaction Limit Order Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID - 08LMO
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID

Table 1-28 (Cont.) Transaction Limit Order Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
TXNSERIALNUMBER	NUMBER	10	Optional	-	Transaction serial Number
LIMITORDERTYPE	VARCHAR2	1	Mandatory	-	Limit order type
LIMITORDERSTATUS	VARCHAR2	1	Optional	-	Limit order status
LIMITPRICE	NUMBER	17	Optional	-	Limit price
LIMITDATE	DATE	10	Optional	-	Limit date
LIMITSTARTDATE	DATE	10	Optional	-	Limit start date
LIMITENDDATE	DATE	10	Optional	-	Limit end date
LIMITSTATUS	VARCHAR2	1	Optional	-	Limit status
LIMITGENDATE	DATE	10	Optional	-	Limit generation date

1.3.11 Transaction Load Override Related Information

This topic provides information on transaction load override related information.

Serial Number: 10

Segment Identification Number: 09LOD

Description: This segment is used for providing Transaction Load Override related Information.

Attributes: Optional

Table 1-29 Transaction Load Override Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID - 09LOD
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
TXNSERIALNUMBER	NUMBER	10	Mandatory	-	Transaction serial Number
LOADID	NUMBER	5	Mandatory	-	Load ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID

Table 1-29 (Cont.) Transaction Load Override Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CHANGETYPE	VARCHAR2	1	Optional	-	Change Type
CHANGEVALUE	NUMBER	30	Optional	-	Change Value
GROUPID	VARCHAR2	6	Optional	-	Group ID

1.3.12 Transaction Derived Load Override Related Information

This topic provides information on transaction derived load override related information.

Serial Number: 11

Segment Identification Number: 10DLO

Description: This segment is used for providing Derived Load Override related Information.

Attributes: Optional

Table 1-30 Transaction Derived Load Override Related Information

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID - 10DLO
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
TXNSERIALNUMBER	NUMBER	10	Optional	-	Transaction serial Number
DERIVEDLOADID	VARCHAR2	6	Mandatory	-	Load ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
PARENTLOADID	NUMBER	5	Optional	-	Parent Load ID
CHANGETYPE	VARCHAR2	1	Optional	-	Change Type
CHANGEVALUE	NUMBER	30	Optional	-	Change Value

1.3.13 Transaction Pseudo Switch Related Information

This topic provides information on transaction derived load override related information.

Serial Number: 12

Segment Identification Number: 11PSE

Description: This segment is used for providing Pseudo Switch related Information.

Attributes: Optional

Table 1-31 Transaction Pseudo Switch Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID - 11PSE
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
TXNSERIALNUMBER	NUMBER	10	Optional	-	Transaction serial Number
REFERENCENUMBER	VARCHAR2	16	Optional	-	Reference Number
FUNDID	VARCHAR2	12	Optional	-	Fund ID
TRANSACTIONDATE	DATE	10	Optional	-	Transaction Date
LOADID	NUMBER	5	Optional	-	Load ID
ORIGINALRETURNVALUE	NUMBER	30	Optional	-	Original Return Value
OVERRIDENRETURNVALUE	NUMBER	30	Optional	-	Overriden Return Value
CHANGETYPE	VARCHAR2	1	Optional	-	Change Type
CHANGEVALUE	NUMBER	30	Optional	-	Change Value
DERIVEDLOADID	VARCHAR2	6	Optional	-	Derived Load ID
DERORIGINALRETURNVALUE	NUMBER	30	Optional	-	Derived Original Return Value
DEROVERRIDENRETURNVALUE	NUMBER	30	Optional	-	Derived Overriden Return Value
DERCHANGETYPE	VARCHAR2	1	Optional	-	Derived Change Type
DERCHANGEVALUE	NUMBER	30	Optional	-	Derived Change Value
SUBSCRIPTIONPRICEDATE	DATE	10	Optional	-	Subscription Price Date
EXCHANGERATEAPPLIED	NUMBER	15	Optional	-	Exchange rate Applied
EXCHANGERATEOVERRIDEN	NUMBER	15	Optional	-	Exchange rate Overridden
OVERRIDEEXCHANGERATE	VARCHAR2	1	Optional	-	Override Exchange rate

Table 1-31 (Cont.) Transaction Pseudo Switch Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
EXCHANGERATESERIALNO	VARCHAR2	16	Optional	-	Exchange rate Serial No
EXCHANGERATEOVERRIDDENSERIALNO	VARCHAR2	16	Optional	-	Exchange rate Overridden serial No
EXCHANGERATESTATUS	VARCHAR2	1	Optional	-	Exchange rate Status
SUBSCRIPTIONSETTLEMENTDATE	DATE	10	Optional	-	Subscription Settlement Date
SUBSCRIPTIONSOURCEID	VARCHAR2	6	Optional	-	Subscription Source ID
SUBTRANSACTIONDATE	DATE	10	Optional	-	Subscription Transaction Date
FXDEALDATE	DATE	10	Optional	-	Fx deal date
FXVALUEDATE	DATE	10	Optional	-	Fx value date
PROJECTEDRETURNVALUE	VARCHAR2	31	Optional	-	Projected Return Value

1.3.14 Transaction Switch In Fund Load Override Related Information

This topic provides information on transaction Switch In fund load override related information.

Serial Number: 13

Segment Identification Number: 12PSL

Description: This segment is used for providing Switch In Fund Load Override related Information.

Attributes: Optional

Table 1-32 Transaction Switch In Fund Load Override Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID - 12PSL
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
TXNSERIALNUMBER	NUMBER	10	Mandatory	-	Transaction serial Number
REFERENCENUMBER	VARCHAR2	16	Optional	-	Reference Number
FUNDID	VARCHAR2	12	Optional	-	Fund ID

Table 1-32 (Cont.) Transaction Switch In Fund Load Override Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
LOADID	NUMBER	5	Mandatory	-	Load ID
GROUPID	VARCHAR2	6	Optional	-	Group ID
CHANGETYPE	VARCHAR2	1	Optional	-	Change Type
CHANGEVALUE	NUMBER	30	Optional	-	Change Value

1.3.15 Transaction Switch In Fund Derived Load Override Related Information

This topic provides information on transaction Switch In fund derived load override related information.

Serial Number: 14

Segment Identification Number: 13PSD

Description: This segment is used for providing Switch In Fund Derived Load Override related Information.

Attributes: Optional

Table 1-33 Transaction Switch In Fund Derived Load Override Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID - 13PSD
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
TXNSERIALNUMBER	NUMBER	10	Mandatory	-	Transaction serial Number
REFERENCENUMBER	VARCHAR2	16	Optional	-	Reference Number
FUNDID	VARCHAR2	12	Optional	-	Fund ID
DERIVEDLOADID	VARCHAR2	6	Mandatory	-	Load ID
PARENTLOADID	VARCHAR2	6	Optional	-	Group ID
DERCHANGETYPE	VARCHAR2	1	Optional	-	Change Type

Table 1-33 (Cont.) Transaction Switch In Fund Derived Load Override Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
DERCHANGEVALUE	NUMBER	30	Optional	-	Change Value

1.4 Standing Instruction File Format

This topic explains the systematic instructions on Standing Instruction File Forma

This topic contains the following sub-topics:

- Standing Instruction File Format - Segment Identification Number
  This topic provides detailed information on standing instruction file format of segment identification number.
- Standing Instruction Related Information
  This topic provides information on standing instruction related information.
- SI Intermediary Related Information
  This topic provides information on SI intermediary related information.
- SI Payment Related Information
  This topic provides information on SI payment related information.
- SI Delivery Related Information
  This topic provides information on SI delivery related information.
- SI Fund Related Information
  This topic provides information on SI fund related information.
- SI Certificate Related Information
  This topic provides information on SI certificate related information.
- SI Additional Information
  This topic provides information on SI additional information.

1.4.1 Standing Instruction File Format - Segment Identification Number

This topic provides detailed information on standing instruction file format of segment identification number.

Table 1-34 Standing Instruction File Format - Segment Identification Number

Segment Identification Number	Description	Attributes
00SIN	This segment is used for providing Standing Instruction related Information	Mandatory
01BRK	This segment is used for providing SI Intermediary related Information	Optional
02CHQ	This segment is used for providing SI Payment related Information	Optional

Table 1-34 (Cont.) Standing Instruction File Format - Segment Identification Number

Segment Identification Number	Description	Attributes
03DEL	This segment is used for providing SI Delivery related Information	Optional
04FND	This segment is used for providing SI Fund related Information	Mandatory
05CRT	This segment is used for providing SI Certificate related Information	Optional
06ADD	This segment is used for providing SI Additional Information	Optional

1.4.2 Standing Instruction Related Information

This topic provides information on standing instruction related information.

Serial Number: 1

Segment Identification Number: 00SIN

Description: This segment is used for providing Standing Instruction related Information.

Attributes: Mandatory

Table 1-35 Standing Instruction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 00SIN
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Upload Mode
AMCID	VARCHAR2	12	Mandatory	-	AMC ID
SIID	VARCHAR2	16	Optional	-	Standing Instruction ID
SIDESCRIPTION	VARCHAR2	255	Optional	-	SI Description
FUNDID	VARCHAR2	25	Optional	-	Fund ID
REFUNITHOLDERID	VARCHAR2	12	Optional	-	This must be blank
REFUNITHOLDERID	VARCHAR2	12	Optional	-	This must be blank

Table 1-35 (Cont.) Standing Instruction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
UNITHOLDERID	VARCHAR2	12	Optional	-	Unitholder ID
TRANSACTIONTYPE	VARCHAR2	2	Optional	-	Transaction Type: • 01 - IPO Subscription • 02 - Subscription • 03 - Redemption • 04 - Switch • 05 - Transfer
EFFECTIVESTARTDATE	DATE	10	Optional	-	Effective Start Date
EFFECTIVEENDDATE	DATE	10	Optional	-	Effective End Date
SIFREQUENCY	VARCHAR2	1	Optional	-	SI Frequency: • M - Monthly • Q - Quartely • H - Half Yearly • Y - Yearly • W - Weekly • F - BiWeekly • D - Daily
AMOUNT	NUMBER	30	Optional	-	Amount
UNITS	NUMBER	27	Optional	-	Units
HOLDINGSPERCENTAGE	NUMBER	5	Optional	-	Percentage
TRANSACTIONCURRENCY	VARCHAR2	3	Optional	-	Transaction Currency.
TOUNITHOLDERID	VARCHAR2	12	Optional	-	To Unitholder ID
TOFUNDID	VARCHAR2	25	Optional	-	To Fund ID
AGENTCODE	VARCHAR2	12	Optional	-	Agent Code
BRANCHCODE	VARCHAR2	12	Optional	-	Branch Code
MODULEID	VARCHAR2	30	Optional	-	Module ID
MODEOFPAYMENT	VARCHAR2	1	Optional	-	Mode of Payment
PAYMENTBRANCHCODE	VARCHAR2	12	Optional	-	Payment Branch
TRANSFERACCOUNTTYPE	VARCHAR2	1	Optional	-	Account Type
TRANSFERACCOUNTNUMBER	VARCHAR2	20	Optional	-	Account Number

Table 1-35 (Cont.) Standing Instruction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
REMINDERBEFOREPERIOD	NUMBER	3	Optional	-	Reminder Period
REMINDERBEFOREACTION	NUMBER	1	Optional	-	Reminder Before Action
SISTATUS	VARCHAR2	1	Optional	-	SI Status
GENERATEAUTHTXN	NUMBER	1	Optional	-	Generate Auth Transactions: • 1 - Yes • 0 - No
SITYPE	VARCHAR2	10	Optional	-	This must be blank
SIESCALATIONFREQUENCY	VARCHAR2	1	Optional	-	Escalation Frequency: • M - Execution only • Q - Legacy • H - Advise Mode • Y - Yearly • W - Weekly • F - Bi Weekly • D - Daily
NEXTESCALATIONDATE	DATE	10	Mandatory	-	Next Escalation Date
ESCALATIONTYPE	VARCHAR2	1	Optional	-	Escalation Type: • A - Amount/ Units • P - Percentage
ESCALATIONVALUE	NUMBER	30	Optional	-	Escalation Value
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
AUTH_STAT	VARCHAR2	1	Optional	-	Authorization Status: • A - Authorized • U - Unauthorized
GROSSORNET	VARCHAR2	1	Mandatory	Mandatory for transaction types (01, 02 & 03) i.e., IPO, Subscription and redemption	Gross or Net: • G - Gross • N - Net
MEANSOFPURCHASE	VARCHAR2	2	Optional	-	Value should be a valid means of purchase code maintained in the system
ISSUED	VARCHAR2	1	Optional	-	Issued: • 1 - Yes • 0 - No • 2 - Both

Table 1-35 (Cont.) Standing Instruction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CERTREQD	VARCHAR2	1	Optional	-	Certificate Required? • 1 - Yes • 0 - No
SINGLECERT	VARCHAR2	1	Optional	-	Single Certificate? • 1 - Yes • 0 - No
TOSINGLECERT	VARCHAR2	1	Optional	-	Applicable for script based fund only
SUBPAYMENTMODE	VARCHAR2	2	Optional	-	Mode of Payment: • T - Money Transfer • Q - Cheque • R - Credit Card • D - Demand Draft • F -Against Payment • I - In Kind Payment • AT - Advice • BQ -Broker Cheque • CQ - Cashier cheque • DR - Debit Card • DT - Direct Debit • FQ - Foreign Cheque • GQ - Government Cheque • IQ - Other Institution Cheque • LQ - Local Cheque • OQ - Out Of Town Cheque • PD - Pay Order • PQ - Payroll Deduction • RQ - Reserve Bank Cheque • TT - Telegraphic Transfer • WT - Wire Transfer
ESCALATIONSTARTDATE	DATE	10	Mandatory	Mandatory if Escalation type is provided	Escalation Start Date
ESCALATIONENDDATE	DATE	10	Mandatory	Mandatory if Escalation type is provided	Escalation End Date
PAYMENTBANKCODE	VARCHAR2	12	Mandatory	Require d for payment modes check transfer, credit card and demand draft	Payment Bank Code

Table 1-35 (Cont.) Standing Instruction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
TRANSFERACCOUNTHOLDERNAME	VARCHAR2	60	Optional	-	Account Holder Name
PAYMENTTYPE	VARCHAR2	1	Optional	-	Payment Type: • S - Self • T - Third Party
THIRDPARTYPAYMENTREFERENCE	VARCHAR2	255	Optional	-	Third Party Reference
THIRDPARTYZIPCODE	VARCHAR2	6	Optional	-	Third Party Zip Code
THIRDPARTYUSSTATE	NUMBER	1	Optional	-	Third Party US State
DELIVERYOPTION	VARCHAR2	1	Optional	-	Delivery Option
THIRDPARTYBICCODE	VARCHAR2	12	Optional	-	BIC Code
THIRDPARTYCOUNTRYCODE	VARCHAR2	3	Optional	-	Country
THIRDPARTYADDRESSLINE1	VARCHAR2	80	Optional	-	Address Line 1
THIRDPARTYADDRESSLINE2	VARCHAR2	80	Optional	-	Address Line 2
THIRDPARTYADDRESSLINE3	VARCHAR2	80	Optional	-	City
THIRDPARTYADDRESSLINE4	VARCHAR2	80	Optional	-	Country
TRANSFERREFERENCENUMBER	VARCHAR2	20	Optional	-	Transfer Reference Number
CIFNUMBER	VARCHAR2	12	Optional	-	CIF Number
TOCIFNUMBER	VARCHAR2	12	Optional	-	To CIF Number
POLICYNUMBER	VARCHAR2	16	Optional	-	This must be blank
PRODUCTID	VARCHAR2	10	Optional	-	This must be blank
ENTITYTYPE	VARCHAR2	1	Optional	-	Entity Type: • B - Broker • A - Agent • I - IFA • O - Account Officer • N - Agency Branch
ENTITYID	VARCHAR2	12	Optional	-	Broker/Intermediary
REFFUNDID	VARCHAR2	6	Optional	-	Specify the fund code here

Table 1-35 (Cont.) Standing Instruction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
REFTOFUNDID	VARCHAR2	6	Optional	-	Specify the fund code if the SI is switch type of instruction
MINHOLDCHKREQ	NUMBER	1	Optional	-	Minimum Holding Check Required? • 1 - Yes • 0 - No
FROMFUNDPRICEBASIS	VARCHAR2	10	Optional	-	Price Basis
TOFUNDPRICEBASIS	VARCHAR2	10	Optional	-	This must be blank
PHASEIN	NUMBER	1	Optional	-	Phase In
PHASEINTYPE	VARCHAR2	1	Optional	If PHASEIN is applicable, then this field is mandatory.	Phase In Type: • H - Holdings
PHASEINOCCURENCES	NUMBER	3	Optional	If PHASEIN is applicable, then this field is mandatory.	If Phase In is applicable then this field is mandatory.
REMAININGOCCURENCES	NUMBER	3	Optional	-	This must be blank
GENERATEON	VARCHAR2	255	Optional	-	This must be blank
NOOFSI	NUMBER	5	Optional	-	No. of SI Transactions
SWIFTFORMAT	VARCHAR2	6	Optional	-	Swift Format. MT103 - MT103, MT202 - MT202
BANKCHARGED	VARCHAR2	12	Optional	-	Bank Charged. Shared - Shared, Remit - Remit
BENEFICIARY	VARCHAR2	35	Optional	-	Beneficiary
BENEFICIARYADD1	VARCHAR2	105	Optional	-	Beneficiary Address1
BENEFICIARYADD2	VARCHAR2	105	Optional	-	Beneficiary Address2
BENEFICIARYADD3	VARCHAR2	105	Optional	-	Beneficiary Address3
BENEFICIARYBICCODE	VARCHAR2	24	Optional	-	Beneficiary BIC Code
BENEFICIARYACCTNO	VARCHAR2	34	Optional	-	Beneficiary Account Number
BENEFICIARYCODE	VARCHAR2	24	Optional	-	Beneficiary Code

Table 1-35 (Cont.) Standing Instruction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
BENEFICIARYINFO	VARCHAR2	100	Optional	-	Beneficiary Info
INTERMEDIARY	VARCHAR2	35	Optional	-	Intermediary
INTERMEDIARYADD1	VARCHAR2	105	Optional	-	Intermediary Address1
INTERMEDIARYADD2	VARCHAR2	105	Optional	-	Intermediary Address2
INTERMEDIARYADD3	VARCHAR2	105	Optional	-	Intermediary Address3
INTERMEDIARYBICCODE	VARCHAR2	24	Optional	-	Intermediary BIC Code
INTERMEDIARYACCTNO	VARCHAR2	34	Optional	-	Intermediary Account Number
INTERMEDIARYCODE	VARCHAR2	24	Optional	-	Intermediary Code
INTERMEDIARYINFO	VARCHAR2	100	Optional	-	Intermediary Info
FURTHERCREDITNAME	VARCHAR2	35	Optional	-	Further Credit Name
FURTHERCREDITACCTNO	VARCHAR2	35	Optional	-	Further Credit Account Number
REFERENCE1	VARCHAR2	35	Optional	-	Reference1
REFERENCE2	VARCHAR2	35	Optional	-	Reference2
NOOFSITRANSACTIONS	NUMBER	4	Optional	-	No. of SI Transactions
SILEVEL	VARCHAR2	1	Optional	Valid values are ‘F’ and ‘P’	SI Level: • P - Plan • F - Fund
PLANCODE	VARCHAR2	6	Optional	-	Plan Code
FIRSTPAYMENTDATE	DATE	10	Optional	-	First Generation Date
IBAN	VARCHAR2	40	Optional	-	IBAN
CAMPAIGNCODE	VARCHAR2	6	Optional	-	Campaign Code
BENEFICIARYIBAN	VARCHAR2	40	Optional	-	Beneficiary IBAN
INTERMEDIARYIBAN	VARCHAR2	40	Optional	-	Intermediary IBAN
SIPAYMENTREJECTIONCOUNTER	NUMBER	3	Optional	-	No. of Cumulative Payment Rejections
SIAUTOTERMINATIONCOUNTER	NUMBER	3	Optional	-	SI Auto-Termination Cumulative Counter

Table 1-35 (Cont.) Standing Instruction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CONSSIPAYMNTREJCNTER	NUMBER	3	Optional	-	No. of Consecutive Payment Rejections
CONSSIAUTOCOUNTER	NUMBER	3	Optional	-	SI Auto-Termination Consecutive Counter
MAXSITXNSALLOWED	NUMBER	3	Optional	-	Maximum SI Transactions Allowed

1.4.3 SI Intermediary Related Information

This topic provides information on SI intermediary related information.

Serial Number: 2

Segment Identification Number: 01BRK

Description: This segment is used for providing SI Intermediary related Information.

Attributes: Optional

Table 1-36 SI Intermediary Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 01BRK
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Optional	-	Import file Name
UPLOADMODE	VARCHAR2	1	Optional	-	Upload Mode
AMCID	VARCHAR2	12	Optional	-	AMC ID
SIID	VARCHAR2	16	Optional	-	SI ID
ENTITYID	VARCHAR2	12	Optional	-	Broker/Intermediary
ENTITYTYPE	VARCHAR2	1	Optional	-	Entity Type: • B - Broker • A - Agent • I - IFA • O - Account Officer • N - Agency Branch

Table 1-36 (Cont.) SI Intermediary Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SPLITPERCENTAGE	NUMBER	5	Optional	-	Split Percentage
FROMORTOLEG	VARCHAR2	1	Mandatory	-	From Or To Leg: • F - From • T - To

1.4.4 SI Payment Related Information

This topic provides information on SI payment related information.

Serial Number: 3

Segment Identification Number: 02CHQ

Description: This segment is used for providing SI Payment related Information.

Attributes: Optional

Table 1-37 SI Payment Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 02CHQ
PROCESSID	VARCHAR2	50	Optional	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Optional	-	Import file Name
UPLOADMODE	VARCHAR2	1	Optional	-	Upload Mode
AMCID	VARCHAR2	12	Optional	-	AMC ID
SIID	VARCHAR2	16	Optional	-	SI ID
PAYMENTMODE	VARCHAR2	1	Optional	-	Payment Mode
PAYMENTNUMBER	VARCHAR2	16	Optional	-	Cheque Number
PAYMENTDATE	DATE	10	Optional	-	Payment Date
PROCESSED	NUMBER	1	Optional	-	Processed: • 1 - Yes • 0 - No

1.4.5 SI Delivery Related Information

This topic provides information on SI delivery related information.

Serial Number: 4

Segment Identification Number: 03DEL

Description: This segment is used for providing SI Delivery related Information.

Attributes: Optional

Table 1-38 SI Delivery Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 03DEL
SEQUENCENUMBER	-	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Optional	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Optional	-	Import file Name
UPLOADMODE	VARCHAR2	1	Optional	-	Upload Mode
AMCID	VARCHAR2	12	Optional	-	AMC ID
SIID	VARCHAR2	16	Optional	-	SI ID
DELIVERYTYPE	VARCHAR2	1	Optional	-	Delivery Type: • S - Self • T - Third Party
ENTITYID	VARCHAR2	12	Optional	-	Clearing Agent/Custodian Bank
ACCOUNTNUMBER	VARCHAR2	34	Optional	-	Account Number
ACCOUNTNAME	VARCHAR2	140	Optional	-	Account Name
ADDRESSTYPE	VARCHAR2	2	Optional	-	Address Type
ADDRESSLINE1	VARCHAR2	105	Mandatory	-	Address Line1
ADDRESSLINE2	VARCHAR2	105	Mandatory	-	Address Line2
ADDRESSLINE3	VARCHAR2	105	Optional	-	Address Line3
ADDRESSLINE4	VARCHAR2	80	Optional	-	Address Line4

Table 1-38 (Cont.) SI Delivery Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ZIPCODE	VARCHAR2	10	Optional	-	Zip Code
COUNTRY	VARCHAR2	3	Optional	-	Country
BICCODE	VARCHAR2	12	Optional	-	BIC Code
IBAN	VARCHAR2	40	Optional	-	IBAN

1.4.6 SI Fund Related Information

This topic provides information on SI fund related information.

Serial Number: 5

Segment Identification Number: 04FND

Description: This segment is used for providing SI Fund related Information.

Attributes: Mandatory

Table 1-39 SI Fund Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 04FND
PROCESSID	VARCHAR2	50	Optional	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Optional	-	Import file Name
UPLOADMODE	VARCHAR2	1	Optional	-	Upload Mode
AMCID	VARCHAR2	12	Optional	-	AMC ID
SIID	VARCHAR2	16	Optional	-	SI ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
ISSUED	VARCHAR2	1	Optional	-	From Issued Balances: • 1 - Yes • 0 - No • 2 - Both

Table 1-39 (Cont.) SI Fund Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CERTREQD	VARCHAR2	1	Optional	-	Certificate Required? • 1 - Yes • 0 - No
SINGLECERT	VARCHAR2	1	Optional	-	Single Certificate? • 1 - Yes • 0 - No
MINHOLDCHKREQ	NUMBER	1	Mandatory	-	Minimum Holding Check Required? • 1 - Yes • 0 - No
FROMFUNDPRICEBASIS	VARCHAR2	10	Optional	-	Price Basis
CONTRIBUTIONPERCENTAGE	VARCHAR2	5	Optional	-	Contribution Percentage
CONTRIBUTIONAMOUNT	VARCHAR2	30	Optional	-	Contribution Amount

1.4.7 SI Certificate Related Information

This topic provides information on SI certificate related information.

Serial Number: 6

Segment Identification Number: 05CRT

Description: This segment is used for providing SI Certificate related Information.

Attributes: Optional

Table 1-40 SI Certificate Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value of Segment ID is 05CRT
PROCESSID	VARCHAR2	50	Optional	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Optional	-	Import file Name
UPLOADMODE	VARCHAR2	1	Optional	-	Upload Mode
AMCID	VARCHAR2	12	Optional	-	AMC ID

Table 1-40 (Cont.) SI Certificate Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
TRANSACTIONNUMBER	VARCHAR2	16	Optional	-	Transaction Number
REFERENCENUMBER	VARCHAR2	16	Optional	-	Reference Number
CERTIFICATENUMBER	NUMBER	10	Mandatory	-	Certificate Number
NOOFUNITS	NUMBER	27	Optional	-	Number of Units

1.4.8 SI Additional Information

This topic provides information on SI additional information.

Serial Number: 7

Segment Identification Number: 06ADD

Description: This segment is used for providing SI Additional Information.

Attributes: Optional

Table 1-41 SI Additional Information

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Yes	-	Segment ID. Value of Segment ID is 06ADD
PROCESSID	VARCHAR2	50	Yes	-	Process ID
SEQUENCENUMBER	NUMBER	22	Yes	-	Sequence Number
OTHERINFOFIELD	VARCHAR2	100	No	-	Other info
FIELDVALUE	VARCHAR2	60	No	-	Field value

1.5 Income Distribution Setup File Format

This topic explains the systematic instructions on income distribution setup file format.

This topic contains the following sub-topics:

- Income Distribution Setup File Format - Segment Identification Number
  This topic provides detailed information on income distribution setup file format of segment identification number.
- Income Distribution Related Information
  This topic provides information on income distribution related information.

- Income Distribution Reinvestment Related Information
  This topic provides information on income distribution reinvestment related information.

1.5.1 Income Distribution Setup File Format - Segment Identification Number

This topic provides detailed information on income distribution setup file format of segment identification number.

Table 1-42 Income Distribution Setup File Format - Segment Identification Number

Segment Identification Number	Description	Attributes
00IDI	This segment is used for providing Income Distribution related Information	Mandatory
00IDR	This segment is used for providing Income Distribution Reinvestment related Information	Mandatory

1.5.2 Income Distribution Related Information

This topic provides information on income distribution related information.

Serial Number: 1

Segment Identification Number: 00IDI

Description: This segment is used for providing Income Distribution related Information.

Attributes: Mandatory

Table 1-43 Income Distribution Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value should be 00IDI
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import file Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Upload Mode
AMCID	VARCHAR2	12	Optional	-	AMC ID
REFUNITHOLDERID	VARCHAR2	12	Optional	-	Reference UnitHolder ID

Table 1-43 (Cont.) Income Distribution Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
FUNDID	VARCHAR2	25	Mandatory	-	Fund ID
DISTRIBUTIONMODE	VARCHAR2	1	Optional	-	Distribution Mode: • P - Full Payment • R - Full Reinvestment • B - Both
PAYMENTSPLITPERCENTAGE	NUMBER	22	Optional	-	Payment Percentage
CHEQUEPAYMENTSPLIT	NUMBER	22	Optional	-	Cheque Payment Split Percentage
TRANSFERPAYMENTSPLIT	NUMBER	22	Optional	-	Transfer Payment Split Percentage
XFERBANKCODE	VARCHAR2	12	Optional	-	Transfer Bank Code
XFERBRANCHCODE	VARCHAR2	12	Optional	-	Transfer Branch Code
XFERACCOUNTTYPE	VARCHAR2	1	Optional	-	Transfer Account Type
XFERACCOUNTNUMBER	VARCHAR2	20	Mandatory	-	Transfer Account Number
XFERACCOUNTCURRENCY	VARCHAR2	3	Optional	-	Transfer Account Currency
AGENTCODE	VARCHAR2	12	Mandatory	-	Agent Code
BRANCHCODE	VARCHAR2	12	Mandatory	-	Branch Code
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
AUTH_STAT	VARCHAR2	1	Optional	-	Authorization Status: • A - Authorized • U - Unauthorized
PAYMENTMODE	VARCHAR2	1	Optional	-	Payment Mode: • Q - Cheque - Cheque • T - Transfer - Transfer
SUBPAYMENTMODE	VARCHAR2	2	Optional	-	Subpayment Mode: • Q - Cheque - Cheque • T - Transfer - Transfer
PAYMENTTYPE	VARCHAR2	1	Optional	-	Payment Type: • S - Self • T - Third Party • E - Entity
THIRDPARTYPAYMENTREFERENCE	VARCHAR2	255	Optional	-	Third Party Reference

Table 1-43 (Cont.) Income Distribution Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
THIRDPARTYPAYMENTADDRESS	VARCHAR2	255	Optional	-	Third Party Payment Address
THIRDPARTYPAYMENTCITY	VARCHAR2	20	Optional	-	Third Party Payment City
THIRDPARTYPAYMENTSTATE	VARCHAR2	20	Optional	-	US State / Non-US State
USSTATE	NUMBER	22	Optional	-	US State: 1 - Yes 0 - No
THIRDPARTYPAYMENTZIPCODE	VARCHAR2	10	Optional	-	Third Party Payment Zip Code
XFERACCOUNTHOLDERNAME	VARCHAR2	100	Mandatory	-	Transfer Account Holder Name
ENTITYTYPE	VARCHAR2	1	Optional	-	Entity Type: B - Broker
ENTITYID	VARCHAR2	12	Optional	-	Entity ID
REFFUNDID	VARCHAR2	6	Mandatory	-	Reference Fund ID
IDSENTITYTYPE	VARCHAR2	1	Mandatory	-	Unit Holder Or Broker U - Unitholder, B - Broker
IDSENTITYID	VARCHAR2	12	Optional	-	Unitholder ID Or Broker Code
SWIFTFORMAT	VARCHAR2	6	Optional	-	Swift Format
BANKCHARGED	VARCHAR2	12	Optional	-	Bank Charged
BENEFICIARY	VARCHAR2	35	Optional	-	Beneficiary
BENEFICIARYADD1	VARCHAR2	105	Optional	-	Beneficiary Address1
BENEFICIARYADD2	VARCHAR2	105	Optional	-	Beneficiary Address2
BENEFICIARYADD3	VARCHAR2	105	Optional	-	Beneficiary Address3
BENEFICIARYBICCODE	VARCHAR2	24	Optional	-	Beneficiary BIC Code
BENEFICIARYACCTNO	VARCHAR2	34	Optional	-	Beneficiary Account No
BENEFICIARYCODE	VARCHAR2	24	Optional	-	Beneficiary Code
BENEFICIARYINFO	VARCHAR2	100	Optional	-	Beneficiary Info
INTERMEDIARY	VARCHAR2	35	Optional	-	Intermediary

Table 1-43 (Cont.) Income Distribution Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
INTERMEDIARYADD1	VARCHAR2	105	Optional	-	Intermediary Address1
INTERMEDIARYADD2	VARCHAR2	105	Optional	-	Intermediary Address2
INTERMEDIARYADD3	VARCHAR2	105	Optional	-	Intermediary Address3
INTERMEDIARYBICCODE	VARCHAR2	24	Optional	-	Intermediary BIC Code
INTERMEDIARYACCTNO	VARCHAR2	34	Optional	-	Intermediary Account No
INTERMEDIARYCODE	VARCHAR2	24	Optional	-	Intermediary Code
INTERMEDIARYINFO	VARCHAR2	100	Optional	-	Intermediary Info
FURTHERCREDITNAME	VARCHAR2	35	Optional	-	Further Credit Name
FURTHERCREDITACCTNO	VARCHAR2	35	Optional	-	Further Credit Account Number
REFERENCE1	VARCHAR2	35	Optional	-	Reference1
REFERENCE2	VARCHAR2	35	Optional	-	Reference2
POLICYNUMBER	VARCHAR2	16	Optional	-	Policy Number
PRODUCTID	VARCHAR2	10	Optional	-	Product ID
PAYMENTCURRENCY	VARCHAR2	3	Optional	-	Payment Currency
IBAN	VARCHAR2	40	Optional	-	IBAN
BENEFICIARYIBAN	VARCHAR2	40	Optional	-	Beneficiary IBAN
INTERMEDIARYIBAN	VARCHAR2	40	Optional	-	Intermediary IBAN

1.5.3 Income Distribution Reinvestment Related Information

This topic provides information on income distribution reinvestment related information.

Serial Number: 2

Segment Identification Number: 00IDR

Description: This segment is used for providing Income Distribution Reinvestment related Information.

Attributes: Mandatory

Table 1-44 Income Distribution Reinvestment related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value should be 00IDR
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Mandatory	-	Fund ID
REINVESTMENTUNITHOLDERID	VARCHAR2	12	Mandatory	-	Unitholder ID
REINVESTMENTFUNDID	VARCHAR2	6	Mandatory	-	To Fund ID
SPLITPERCENTAGE	NUMBER	22	Mandatory	-	Split Percentage
RULEEFFECTIVEDATE	DATE	7	Optional	-	Rule Effective date
IDSENTITYTYPE	VARCHAR2	1	Mandatory	-	Unit Holder Or Broker. U - Unitholder, B - Broker
IDSENTITYID	VARCHAR2	12	Mandatory	-	Unitholder ID Or Broker Code.
POLICYNUMBER	VARCHAR2	16	Optional	-	Policy Number
PRODUCTID	VARCHAR2	10	Optional	-	Product ID

1.6 Fund Price File Format

This topic explains the systematic instructions on fund price file format.

This topic contains the following sub-topics:

- Fund Price File Format - Segment Identification Number
  This topic provides detailed information on fund price file format of segment identification number.
- Fund Price Related Information
  This topic provides information on fund price related information.
- Fund Price Base Price Constant Related Information
  This topic provides information on fund price base price constant related Information related information.
- Fund Price Additional Information Related Information
  This topic provides information on fund price additional information related information.
- Fund Price Components Related Information
  This topic provides information on fund price components related information.
- Fund Price Currency Header Details
  This topic provides information on fund price currency header details.

- Fund Price Currency Base Price Constant Details
  This topic provides information on fund price currency base price constant details.
- Fund Price Currency Components Details
  This topic provides information on fund price currency components details.

1.6.1 Fund Price File Format - Segment Identification Number

This topic provides detailed information on fund price file format of segment identification number.

Table 1-45 Fund Price File Format - Segment Identification Number

Segment Identification Number	Description	Attributes
00FPI	This segment is used for providing Fund Price related Information	Mandatory
01FBP	This segment is used for providing Fund Price Base Price Constant related Information	Optional
02FCT	This segment is used for providing Fund Price Components related Information	Optional
03FAI	This segment is used for providing Fund Price Additional Information related Information	Optional
04FPH	This segment is used for providing NAV related information for the fund price currencies maintained at the fund level	Optional
05FPD	This segment is used for providing Fund Price Base Price Constant related Information for the fund price currencies maintained at fund level	Optional
06FPC	This segment is used for providing Fund Price Component related Information for the fund price currencies maintained at fund level	Optional

1.6.2 Fund Price Related Information

This topic provides information on fund price related information.

Serial Number: 1

Segment Identification Number: 00FPI

Description: This segment is used for providing Fund Price related Information.

Attributes: Mandatory

Table 1-46 Fund Price Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value should be 00FPI
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID

Table 1-46 (Cont.) Fund Price Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import file Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Operation mode which will be performed on the Upload records. It can have: • N - New • D - Delete • A - Auth • E - Modify
AMCID	VARCHAR2	12	Mandatory	-	AMC ID
FUNDID	VARCHAR2	25	Mandatory	-	Fund ID
EFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
REALNAVPERUNIT	NUMBER	17	Optional	-	Real NAV per Unit
FLOORPRICE	NUMBER	17	Optional	-	Floor Price
CEILINGPRICE	NUMBER	17	Optional	-	Ceiling Price
DECLAREDNAV	NUMBER	17	Optional	-	Declared NAV
LATESTPRICE	NUMBER	1	Optional	-	Latest Price
EUROEQUIVALENTOFNAV	NUMBER	24	Optional	-	Euro Equivalent of NAV
AMENDTXNFLAG	NUMBER	22	Optional	-	Amend Transaction Flag
HURDLERATE	NUMBER	22	Optional	-	Hurdle Rate
HWMPLUSHURDLE	NUMBER	27	Optional	-	HWM + Hurdle
USERID	VARCHAR2	15	Mandatory	-	It shows the name of the user who has created or modified the specific record
SWINGINGPRICE	NUMBER	30	Mandatory	-	Swinging Price
SWINGPRICEBASIS	VARCHAR2	50	Mandatory	-	Swinging Price Basis (Offer, Mid or Bid Price)
REFLECTINTRADING	NUMBER	1	Optional	-	Reflect in Trading

1.6.3 Fund Price Base Price Constant Related Information

This topic provides information on fund price base price constant related Information related information.

Serial Number: 2

Segment Identification Number: 01FBP

Description: This segment is used for providing Fund Price Base Price Constant related Information.

Attributes: Optional

Table 1-47 Fund Price Base Price Constant Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value should be 01FPB
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Transaction Type
BASEPRICECONSTANT	NUMBER	22	Optional	-	Base Price Constant
TRANSACTIONBASEPRICE	NUMBER	22	Optional	-	Transaction Base Price
ALLOCATIONPERVSREGCAPITAL	NUMBER	22	Optional	-	Allocation vs Register Capital

1.6.4 Fund Price Additional Information Related Information

This topic provides information on fund price additional information related information.

Serial Number: **3**

Segment Identification Number: 03FAI

Description: This segment is used for providing Fund Price Additional Information Related Information.

Attributes: Optional

Table 1-48 Fund Price Additional Information related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value should be 03FAI

Table 1-48 (Cont.) Fund Price Additional Information related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
ADDINFOLABEL	VARCHAR2	30	Optional	-	Add Information Label
FIELDVALUE	CHAR	23	Optional	-	Field Value

1.6.5 Fund Price Components Related Information

This topic provides information on fund price components related information.

Serial Number: 4

Segment Identification Number: 02FCT

Description: This segment is used for providing Fund Price Component Related Information.

Attributes: Optional

Table 1-49 Fund Price Components Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value should be 02FCT
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
COMPONENTID	VARCHAR2	10	Optional	-	Component ID
COMPONENTVALUE	NUMBER	22	Optional	-	Component Value

1.6.6 Fund Price Currency Header Details

This topic provides information on fund price currency header details.

Serial Number: 5

Segment Identification Number: 04FPH

Description: This segment is used for providing NAV related information for the fund price currencies maintained at the fund level.

Attributes: Optional

Table 1-50 Fund Price Currency Header Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value should be 04FPH
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	24	Optional	-	Fund ID
EFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
CURRENCY	VARCHAR2	3	Mandatory	-	Fund price currency
REALNAVPERUNIT	NUMBER	22	Optional	-	Real NAV per Unit
DECLAREDNAV	NUMBER	22	Optional	-	Declared NAV
FLOORPRICE	NUMBER	22	Optional	-	Floor Price
CEILINGPRICE	NUMBER	22	Optional	-	Ceiling Price
LATESTPRICE	NUMBER	22	Optional	-	Latest Price
AMENDTXNFLAG	NUMBER	22	Optional	-	Amend transaction `flag` when set all the transactions captured in the particular currency are amended

1.6.7 Fund Price Currency Base Price Constant Details

This topic provides information on fund price currency base price constant details.

Serial Number: 6

Segment Identification Number: 05FPD

Description: This segment is used for providing Fund Price Base Price Constant related Information for the fund price currencies maintained at fund level.

Attributes: Optional

Table 1-51 Fund Price Currency Base Price Constant Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value should be 05FPD
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number

Table 1-51 (Cont.) Fund Price Currency Base Price Constant Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
PROCESSID	VARCHAR2	200	Mandatory	-	Process ID
FUNDID	VARCHAR2	24	Mandatory	-	Fund ID
EFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
CURRENCY	VARCHAR2	12	Mandatory	-	Fund price currency
TRANSACTIONTYPE	VARCHAR2	8	Mandatory	-	Transaction Type
TRANSACTIONBASEPRICE	NUMBER	22	Optional	-	Transaction Base Price
BASEPRICECONSTANT	NUMBER	22	Optional	-	Base Price Constant
ALLOCATIONPERVSREGCAPITAL	NUMBER	22	Optional	-	Allocation vs Register Capital

1.6.8 Fund Price Currency Components Details

This topic provides information on fund price currency components details.

Serial Number: 7

Segment Identification Number: 06FPC

Description: This segment is used for providing Fund Price Component related Information for the fund price currencies maintained at fund level.

Attributes: Optional

Table 1-52 Fund Price Currency Components Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value should be 06FPC
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	200	Mandatory	-	Process ID
FUNDID	VARCHAR2	24	Mandatory	-	Fund ID
EFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
CURRENCY	VARCHAR2	12	Mandatory	-	Fund price currency
COMPONENTID	VARCHAR2	40	Mandatory	-	Component ID

Table 1-52 (Cont.) Fund Price Currency Components Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
COMPONENTVALUE	NUMBER	22	Optional	-	Component Value

1.7 Broker File Format

This topic explains the systematic instructions on broker file format.

This topic contains the following sub-topics:

- Broker File Format - Segment Identification Number
  This topic provides detailed information on broker file format of segment identification number.
- Broker Upload Related Information
  This topic provides information on broker upload related information.
- Broker Contact Information Related Information
  This topic provides information on broker contact information related information.
- Brokers Bank Information Related details
  This topic provides information on brokers bank information related details.
- Brokers Legacy Related Information
  This topic provides information on brokers legacy related information.
- Broker Preference Information Related Details
  This topic provides information on broker preference information related details.
- Broker Additional Information Related Details
  This topic provides information on broker preference information related details.
- Broker Related Party Related Information
  This topic provides information on broker related party related details.

1.7.1 Broker File Format - Segment Identification Number

This topic provides detailed information on broker file format of segment identification number.

Table 1-53 Broker File Format - Segment Identification Number

Segment Identification Number	Description	Attributes
00BRO	This segment is used for providing Broker Upload related Information	Mandatory
01CON	This segment is used for providing Broker Contact Information related Information	Optional
02BAN	This segment is used for providing Brokers Bank Information related details	Optional
03LEG	This segment is used for providing Brokers Legacy related Information	Optional

Table 1-53 (Cont.) Broker File Format - Segment Identification Number

Segment Identification Number	Description	Attributes
04PRE	This segment is used for providing Brokers Preference related Information	Optional
05ADD	This segment is used for providing Broker Additional Information related details	Optional
06REL	This segment is used for providing Broker Related Party Details	Optional

1.7.2 Broker Upload Related Information

This topic provides information on broker upload related information.

Serial Number: 1

Segment Identification Number: 00BRO

Description: This segment is used for providing Broker Upload related Information.

Attributes: Mandatory

Table 1-54 Broker Upload Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value for Segment ID is 00BRO
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Mode of operation which has to be performed on the record
BROKERCODE	VARCHAR2	12	Mandatory	-	The code to uniquely identify the broker.
BROKERNAME	VARCHAR2	105	Mandatory	-	Name of the broker

Table 1-54 (Cont.) Broker Upload Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
PARENTBROKERCODE	VARCHAR2	12	Mandatory	-	Represents the parent of the broker. The commission received by the broker is shared with this parent
BROKERBASECCY	VARCHAR2	3	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Represents the base currency of the broker. Tax and other calculations are done based on this currency
AMCID	VARCHAR2	12	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	AMC ID
DELETEDSTATUS	NUMBER	1	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Flag to identify whether the broker record is in any of these states : • 1 - active or • 0 - closed or • 2 - pending closure state
OVERRIDDENTAXPERCENTAGE	NUMBER	156	Mandatory	-	The tax percentage applicable for the broker can be overridden here
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
LEVELNUMBER	NUMBER	3	Optional	-	Represents at which level the broker is in the parent broker hierarchy. The top parent will have level number 0
RULEEFFECTIVEDATE	DATE	10	Mandatory	-	The date from which the changes are applicable. This along with broker code forms the `PRIMARY KEY`
CHANGETYPE	VARCHAR2	1	Optional	-	This column is not used
VATREGISTERED	NUMBER	1	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Represents whether the broker is VAT Registered broker or not. If the broker is VAT registered then VAT will be applicable on the commission amount he gets

Table 1-54 (Cont.) Broker Upload Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
VATREGISTRATIONNUMBER	VARCHAR2	15	Mandatory	-	Represents the VAT Registration number of the broker
COMMISSIONPERCENTAGE	NUMBER	52	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Represents how much percentage commission should be shared with his parent broker. If the broker is the top level broker then this value should be 100
COMMISSIONPAYMENTFREQ	VARCHAR2	1	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Indicates at what frequency the commission should be paid to the broker
UNITHOLDERID	VARCHAR2	12	Mandatory	-	Represents the default unit holder of the broker
NEXTPAYMENTDATE	DATE	10	Mandatory	-	Indicates the next payment date of the broker. Commission accumulated from last payment date to till this date will be paid on this date
BROKERTYPE	VARCHAR2	6	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Represents the type of the broker; user can define broker type throught broker type maintenance
USEBROKERTYPEPAYMENTDATES	NUMBER	1	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Flag to identify whether the payment details based in the broker type maintenance or not
BROKERCONSULTANT	VARCHAR2	40	Mandatory	Value defaulted as maintained in Bulk client defaults in the absence of information in the file	Represents broker consultant name

Table 1-54 (Cont.) Broker Upload Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
BROKERCATEGORY	VARCHAR2	1	Mandatory	-	Represents the category of the broker, whether Individual or Corporate
HOLDBACKPAYMENT	NUMBER	1	Mandatory	-	Flag to identify whether the payment should be kept hold for the broker
MOD_NO	NUMBER	10	Optional	-	Represents the number of times the record got modified
HOLDPYMTFROMDATE	DATE	10	Mandatory	-	Represents the payment hold back from date
BROKERSTATUS	VARCHAR2	1	Mandatory	-	Represents whether the broker is in normal or weeded status
REPLICATEPARENTDET	CHAR	1	Mandatory	-	Flag to identify whether the details of the parent broker should be replicated or not. If this is true then the parent brokers data will be defaulted based on the template maintained
VATREGISTRATIONDATE	DATE	10	Mandatory	-	Represents from when the broker got VAT registered
TEMPLATEID	VARCHAR2	6	Mandatory	-	Represents the template ID to replicate the parent details, the fields available in template will be replicated to child broker if **REPLICATEPARENTDET** flag is set to `true`
NATIONALITY	VARCHAR2	3	Mandatory	-	Nationality of the broker
COUNTRYOFBIRTH	VARCHAR2	3	Mandatory	-	Birth country of the broker
COUNTRYOFDOMICILE	VARCHAR2	3	Mandatory	-	Country of domicile of the broker
INCORPORATIONCOUNTRY	VARCHAR2	3	Mandatory	-	The country of the corporate
TAXID	VARCHAR2	50	Mandatory	-	Tax identification
EIN	VARCHAR2	50	Mandatory	-	Employer ID number
TAXCERTIFICATEEFFEDATE	DATE	10	Mandatory	-	Tax certificate effective Date
TAXCERTIFICATEEXPDATE	DATE	10	Mandatory	-	Tax certificate expiry Date
TAXCIRCLE	VARCHAR2	3	Mandatory	-	Name of the Place
VATEXPIRYDATE	DATE	10	Optional	-	Represents the expiry date for VAT registered flag

1.7.3 Broker Contact Information Related Information

This topic provides information on broker contact information related information.

Serial Number: 2

Segment Identification Number: 01CON

Description: This segment is used for providing Broker Contact Information related Information.

Attributes: Optional

Table 1-55 Broker Contact Information Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value for Segment ID is 01CON
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
ADDRESSTYPE	VARCHAR2	2	Mandatory	-	Represents the Addresstype
ADDRESSLINE1	VARCHAR2	105	Mandatory	-	Represents the Addressline1
ADDRESSLINE2	VARCHAR2	105	Optional	-	Represents the Addressline2
ADDRESSLINE3	VARCHAR2	105	Optional	-	Represents the Addressline3
ADDRESSLINE4	VARCHAR2	80	Optional	-	Represents the Addressline4
ZIPCODE	VARCHAR2	10	Mandatory	-	Represents the Zipcode
COUNTRY	VARCHAR2	3	Mandatory	-	Represents the Country
USSTATE	NUMBER	1	Mandatory	-	Represents the US state
TELEPHONE1	VARCHAR2	60	Mandatory	-	Represents the Telephone1
TELEPHONE2	VARCHAR2	60	Mandatory	-	Represents the Telephone2
CELLPHONENUMBER	VARCHAR2	105	Mandatory	-	Represents the Cellphone number
FAX	VARCHAR2	120	Mandatory	-	Represents the Fax Number
EMAIL	VARCHAR2	255	Mandatory	-	Represents the Email ID
CONTACTPERSON	VARCHAR2	160	Mandatory	-	Represents the Contact person
EFFECTIVEFROMDATE	DATE	10	Mandatory	-	Represents the Effective from date

Table 1-55 (Cont.) Broker Contact Information Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
EFFECTIVETODATE	DATE	10	Mandatory	-	Represents the Effective to date
BICCODE	VARCHAR2	12	Mandatory	-	Represents the Bic code
INTDIALLINGCODEFAX	VARCHAR2	10	Mandatory	-	Represents the default unit holder of the broker
INTDIALLINGCODEPH2	VARCHAR2	10	Mandatory	-	International dialling code for telephone number 2
INTDIALLINGCODEPH1	VARCHAR2	10	Mandatory	-	International dialling code for telephone number 1
INTDIALLINGCODECELL	VARCHAR2	10	Mandatory	-	International dialling code for cellphone number

1.7.4 Brokers Bank Information Related details

This topic provides information on brokers bank information related details.

Serial Number: 3

Segment Identification Number: 02BAN

Description: This segment is used for providing Brokers Bank Information related details.

Attributes: Optional

Table 1-56 Brokers Bank Information Related details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value for Segment ID is 02BAN
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BANKCODE	VARCHAR2	12	Mandatory	-	Indicates the Bank code
BRANCHCODE	VARCHAR2	12	Mandatory	-	Indicates the Branch code
ACCOUNTTYPE	VARCHAR2	1	Mandatory	-	Indicates Type of the account
ACCOUNTNUMBER	VARCHAR2	20	Mandatory	-	Indicates the Unique account number
ACCOUNTNAME	VARCHAR2	100	Mandatory	-	Indicates the Account holders name

Table 1-56 (Cont.) Brokers Bank Information Related details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ACCOUNTCURRENCY	VARCHAR2	3	Mandatory	-	Indicates the currency in which the account is maintained
DEFAULTDETAILS	NUMBER	1	Mandatory	-	This Flag decides whether its default account to be used for this currency. Mandatory to give `YES` for anyone record of each currency.
DIRECTDEBITAPPLICABLE	NUMBER	1	Mandatory	-	This Flag decides whether direct debit is applicable for this account or not
IBAN	NUMBER	40	Mandatory	-	Indicates the IBAN number of the bank

1.7.5 Brokers Legacy Related Information

This topic provides information on brokers legacy related information.

Serial Number: 4

Segment Identification Number: 03LEG

Description: This segment is used for providing Brokers Legacy related Information.

Attributes: Optional

Table 1-57 Brokers Legacy Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value for Segment ID is 03LEG
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BROKERLEGACYCODE	VARCHAR2	12	Mandatory	-	Indicates the legacy code mapped to the broker

1.7.6 Broker Preference Information Related Details

This topic provides information on broker preference information related details.

Serial Number: 5

Segment Identification Number: 04PRE

Description: This segment is used for providing Broker Preference related Information.

Attributes: Optional

Table 1-58 Broker Preference Information Related Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value for Segment ID is 04PRE
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FEEDTYPE	VARCHAR2	20	Mandatory	-	Represents the FEED type preference for broker
FEEDSTRUCT	VARCHAR2	4	Mandatory	-	Represents the FEED sttucture for the feed type
FEEDSTARTDATE	DATE	10	Mandatory	-	Indicates the start date of FEED maintenance
FEEDFREQUENCY	VARCHAR2	20	Mandatory	-	Indicates the frequency of FEED maintenance
FEEDFORMAT	VARCHAR2	6	Mandatory	-	Indicates the format of FEED for broker

1.7.7 Broker Additional Information Related Details

This topic provides information on broker preference information related details.

Serial Number: 6

Segment Identification Number: 05ADD

Description: This segment is used for providing Broker Additional Information related details.

Attributes: Optional

Table 1-59 Broker Additional Information Related Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value for Segment ID is 05ADD
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
OTHERINFOFIELD	VARCHAR2	100	Mandatory	-	Indicates the additional field name
FIELDVALUE	VARCHAR2	60	Mandatory	-	Indicates the additional field information

1.7.8 Broker Related Party Related Information

This topic provides information on broker related party related details.

Serial Number: 7

Segment Identification Number: 06REL

Description: This segment is used for providing Broker Related Party related details.

Attributes: Optional

Table 1-60 Broker Related Party Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Optional	-	Segment ID. Value for Segment ID is 06REL
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BROKERCODE	VARCHAR2	12	Mandatory	-	The code to uniquely identify the broker. Broker code and rule effective date forms a PRIMARY KEY combination.
RULEEFFECTIVEDATE	DATE	-	Mandatory	-	The date from which the changes are applicable. This along with broker code forms the PRIMARY KEY.
RPENTITYID	VARCHAR2	12	Mandatory	-	Indicates the unique identification code for the related party entity associated with the unitholder.
RPENTITYTYPE	VARCHAR2	1	Mandatory	-	Indicates the entity type of the unitholder's related party.
PREFMAILMODE	VARCHAR2	1	Optional	-	Indicates the preferred mail mode for the related party entity.
REPORTINGLEVEL	VARCHAR2	1	Optional	-	Indicates which all reports to be sent to the entities mapped.
REMARKS	VARCHAR2	255	Optional	-	Indicates the descriptive remarks column for the record.

Table 1-60 (Cont.) Broker Related Party Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
APPLYTO	VARCHAR2	12	Yes	-	Based on the level selected in the Related party Level field ,the user can either select fund ID (if he has selected Fund in Related Party Level) or AMC ID (if he has selected AMC Related Party Level) etc. If the user selects ALL in the Related Party Level then the system will default ALL in this field also and the user will not be allowed to edit it.
APPLYLEVEL	VARCHAR2	3	Yes	-	Related party level would specify at which level does the user wants to associate the Related Party. The possible LOV values are – ALL, AMC, Fund, Fund Family, Legal entity

1.8 Unit Holder Deal File Format

This topic explains the systematic instructions on unit holder deal file format.

This topic contains the following sub-topics:

- Unit Holder Deal File Format - Segment Identification Number
  This topic provides detailed information on unit holder deal file format of segment identification number.
- Unit Holder Deal Related Information
  This topic provides information on unit holder deal related information.
- Unit Holder Deal Load Related Information
  This topic provides information on unit holder deal load related information.
- Unit Holder Deal Derived Load Related Information
  This topic provides information on unit holder deal derived load related information.

1.8.1 Unit Holder Deal File Format - Segment Identification Number

This topic provides detailed information on unit holder deal file format of segment identification number.

Table 1-61 Unit Holder Deal File Format - Segment Identification Number

Segment Identification Number	Description	Attributes
00UHD	This segment is used for providing Unit Holder Deal Related Information	Mandatory
01ULD	This segment is used for providing Unit Holder Deal Load Related Information	Optional
02UDL	This segment is used for providing Unit Holder Deal Derived Load Related Information	Optional

1.8.2 Unit Holder Deal Related Information

This topic provides information on unit holder deal related information.

Serial Number: 1

Segment Identification Number: 00UHD

Description: This segment is used for providing Unit Holder Deal Related Information.

Attributes: Mandatory

Table 1-62 Unit Holder Deal Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value for Segment ID is 00UHD
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Optional	-	Upload Mode
AMCID	VARCHAR2	12	Mandatory	-	AMC ID
DEALTRANSACTIONNUMBER	VARCHAR2	16	Optional	-	UH Deal Transaction Number
UHIDORCIFNUMBER	VARCHAR2	12	Mandatory	-	Unit Holder ID / CIF NUMBER
APPLYATCIFLEVEL	NUMBER	1	Optional	-	Apply At CIF Level. • 1-Yes • 0-No

Table 1-62 (Cont.) Unit Holder Deal Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
APPLYDEALAT	VARCHAR2	1	Mandatory	-	Apply Deal At. • L-Load Level • G-Load Group Level • F-Fund Level • P- Product Level
STATUS	VARCHAR2	1	Mandatory	-	Status
EFFECTIVESTARTDATE	DATE	7	Mandatory	-	Effective Start Date
EFFECTIVEENDDATE	DATE	7	Optional	-	Effective End Date
AUTH_STAT	VARCHAR2	1	Mandatory	-	Authorization Status. • A- Authorized • U-Unauthorized
LOADGROUPID	VARCHAR2	16	Optional	-	Load Group ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
LOADID	NUMBER	5	Mandatory	-	Load ID
APPLYRETVALUETOALLSLABS	NUMBER	1	Optional	-	Value for the return values are changed as in the excel only if the value for APPLYRETVALUE-TOALLSLABS is '1'.
RETURNVALUE	VARCHAR2	30	Optional	-	Return Value
PRODUCTID	VARCHAR2	10	Optional	-	Product ID
USERID	VARCHAR2	320	Mandatory	-	Indicates the user ID who has entered the latest record
POLICYNUMBER	VARCHAR2	16	Optional	-	Policy Number
DEALBASEDON	VARCHAR2	1	Optional	-	Deal Based On
TAXTYPE	VARCHAR2	1	Optional	-	Tax Type: • D-Directive • I- Instruction

1.8.3 Unit Holder Deal Load Related Information

This topic provides information on unit holder deal load related information.

Serial Number: 2

Segment Identification Number: 01ULD

Description: This segment is used for providing Unit Holder Deal Load Related Information

Attributes: Optional

Table 1-63 Unit Holder Deal Load Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value for Segment ID is 01ULD
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
SLABSEQNO	NUMBER	5	Mandatory	-	Slab Sequence Number
OVERRIDEVALUE	NUMBER	30	Mandatory	-	Override Value

1.8.4 Unit Holder Deal Derived Load Related Information

This topic provides information on unit holder deal derived load related information.

Serial Number: 3

Segment Identification Number: 02UDL

Description: This segment is used for providing Unit Holder Deal Derived Load Related Information

Attributes: Optional

Table 1-64 Unit Holder Deal Load Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value for Segment ID is 02UDL
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
LOADID	VARCHAR2	6	Mandatory	-	Load ID
OVERRIDEVALUE	NUMBER	30	Mandatory	-	Override Value

1.9 Policy Transaction Upload Format

This topic explains the systematic instructions on policy transaction upload format.

This topic contains the following sub-topics:

- Policy Transaction Upload Format - Segment Identification Number
  This topic provides detailed information on policy transaction upload format of segment identification number.
- Policy Transactions Related Information
  This topic provides information on policy transactions related information.
- Policy Transactions Related To Brokers Information
  This topic provides information on policy transactions related to brokers information.
- Information Related to Transaction Fund Ratio
  This topic provides information on information related to transaction fund ratio.
- Policy Transaction Settlement Related Information
  This topic provides information on policy transaction settlement related information.
- Policy Transaction Settlement Related Information
  This topic provides information on policy transaction settlement related information.
- Policy Transaction Load Override Related Information
  This topic provides information on policy transaction load override related information.
- Policy Transaction Derived Load Override Related Information
  This topic provides information on policy transaction derived load override related information.

1.9.1 Policy Transaction Upload Format - Segment Identification Number

This topic provides detailed information on policy transaction upload format of segment identification number.

Table 1-65 Policy Transaction Upload Format - Segment Identification Number

Segment Identification Number	Description	Attributes
00PTN	This segment is used for providing information related to policy transactions that is to be uploaded into the system	Mandatory
01BRK	This segment is used for providing information related to brokers involved in the policy transactions	Mandatory
02FDR	This segment is used for providing information related to transaction fund ratio that is to be uploaded into the system	Mandatory
03SET	This segment is used for providing Policy Transaction Settlement related Information	Optional
04SFR	This segment is used for providing Policy Switch Asset Allocation related Information	Mandatory for Switch transactions
05FOI	This segment is used for providing Policy Transaction Load Override related Information	Optional
06DFO	This segment is used for providing Policy Transaction Derived Load Override related Information	Optional

1.9.2 Policy Transactions Related Information

This topic provides information on policy transactions related information.

Serial Number: 1

Segment Identification Number: 00PTN

Description: This segment is used for providing information related to policy transactions that is to be uploaded into the system.

Attributes: Mandatory

Table 1-66 Policy Transactions Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 00PTN
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Upload Mode
AMCID	VARCHAR2	12	Mandatory	-	AMC ID
POLICYTXNNUMBER	VARCHAR2	16	Mandatory	-	Policy Transaction Number
POLICYNUMBER	VARCHAR2	16	Mandatory	-	Policy Reference Number
TXNREFERENCENUMBER	VARCHAR2	16	Optional	-	Transaction Reference Number
TXNTYPE	VARCHAR2	2	Mandatory	-	Transaction Type
TXNDATE	DATE	7	Mandatory	-	Transaction Date
TXNINPUTDATE	DATE	7	Optional	-	Transaction Input Date
TXNAMT	NUMBER	15	Mandatory	-	Transaction Amount
NETAMT	NUMBER	15	Optional	-	Net Amount
TXNBASECCY	VARCHAR2	3	Optional	-	Transaction base currency
TXNGENFLAG	NUMBER	1	Optional	-	Transaction Generated Flag
TXNREMARKS	VARCHAR2	255	Optional	-	Transaction Remarks
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
LASTMODIFIEDUSERID	VARCHAR2	15	Optional	-	Last Modified User ID
LASTMODIFIEDUSERDATE	DATE	7	Optional	-	Last Modified User Date
MOD_NO	NUMBER	38	Optional	-	Modification Number

Table 1-66 (Cont.) Policy Transactions Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
AUTH_STAT	VARCHAR2	1	Mandatory	-	Authorisation Status: • A - Authorized • U - Unauthorized
AGENTCODE	VARCHAR2	12	Mandatory	-	Agent Code
BRANCHCODE	VARCHAR2	12	Mandatory	-	Branch Code
DRAWEEBANKCODE	VARCHAR2	12	Optional	-	Drawee Bank Code
TRANSFERBRANCHCODE	VARCHAR2	12	Optional	-	Transfer Branch Code
TRANSFERACCOUNTTYPE	VARCHAR2	1	Optional	-	Transfer Account Type
TRANSFERACCOUNTNUMBER	VARCHAR2	20	Optional	-	Transfer Account Number
TRANSFERACCOUNTCURRENCY	VARCHAR2	3	Optional	-	Transfer Account Currency
PAYMENTMODE	VARCHAR2	1	Optional	-	Payment Mode
CHEQUENUMBER	VARCHAR2	16	Optional	-	Cheque Number
CHEQUEDATE	DATE	7	Optional	-	Cheque Date
CLEARINGDATE	DATE	7	Optional	-	Clearing Date
CLEARINGSTATUS	VARCHAR2	3	Optional	-	Clearing Status
PRODUCTID	VARCHAR2	10	Optional	-	Product ID
POLICYHOLDERID	VARCHAR2	12	Optional	-	Policy Holder ID
POLICYTRANSACTIONMODE	VARCHAR2	1	Mandatory	-	Policy Transaction Mode: • A - Amount • U - Units • P - Percent
ALLOTTEDFLAG	NUMBER	1	Optional	-	Alloted Flag
TOPUPINCLUSIVE	NUMBER	1	Optional	-	Top up Inclusive Flag: • 0 - No • 1 - Yes
SYNCFLAG	NUMBER	1	Optional	-	Sync Flag
ACKNOWLEDGEMENTPRINTED	NUMBER	1	Optional	-	Acknowledgement Printed
TRANSFERORID	VARCHAR2	12	Optional	-	Transfer Order ID
PERCENTAGEAPPLIED	NUMBER	5	Optional	-	Percentage Applied

Table 1-66 (Cont.) Policy Transactions Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
OLDPOLICYTXNNUMBER	VARCHAR2	16	Optional	-	Old Policy Txn number
NETINVESTIBLEAMOUNT	NUMBER	15	Optional	-	Net Investible Amount
MODULEID	VARCHAR2	30	Mandatory	-	Module ID
ADJUSTMENTTXNTYPE	VARCHAR2	3	Optional	-	Adjustment Transaction Type
PORTFOLIOSWITCH	NUMBER	1	Optional	-	Port Folio Switch
SURRENDERMONEYPAYABLE	VARCHAR2	1	Mandatory	-	Surrender Money Payable
FUNDINGPERCENTAGE	NUMBER	5	Optional	-	Funding Percentage
CANCELLED_PHA SEINID	VARCHAR2	16	Optional	-	Cancelled Phase In ID
UNITSAPPLIED	NUMBER	15	Optional	-	Units Applied
INTERESTAMOUNT	NUMBER	15	Mandatory	-	Interest Amount
DATEALLOTED	DATE	7	Optional	-	Date Alloted
GROSSAMOUNT	NUMBER	22	Optional	-	Gross Amount
SWITCHINGROSSAMT	NUMBER	15	Optional	-	Switch In Gross Amount
SWITCHINNETAMT	NUMBER	15	Optional	-	Switch In Net Amount
ADJUSTMENTTXN	NUMBER	1	Optional	-	Adjustment Transaction
IBAN	VARCHAR2	40	Optional	-	Internation Bank Account Number
SOURCEID	VARCHAR2	6	Optional	-	Source ID
EXCHANGERATEAPPLIED	NUMBER	24	Optional	-	Exchange Rate Applied
AMOUNTAPPLIED	NUMBER	30	Optional	-	Amount Applied
BALANCETRANSFER	NUMBER	1	Optional	-	Balance transfer
OVERRIDEEXCHANGERATE	VARCHAR2	1	Optional	-	Override Exchange Rate
INTAMTINTXNCCY	NUMBER	30	Optional	-	Initial amount in Transaction currency
INCLUDE_INTEREST_IN_INVESTMENT	VARCHAR2	1	Optional	-	Include Interest In Investment
FXDEALDATE	DATE	7	Optional	-	FX Deal Date

Table 1-66 (Cont.) Policy Transactions Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
FXVALUEDATE	DATE	7	Optional	-	FX Value Date
EXCHANGERATESTATUS	VARCHAR2	1	Optional	-	Exchange Rate Status

1.9.3 Policy Transactions Related To Brokers Information

This topic provides information on policy transactions related to brokers information.

Serial Number: 2

Segment Identification Number: 01BRK

Description: This segment is used for providing information related to brokers involved in the policy transactions.

Attributes: Mandatory

Table 1-67 Policy Transactions Related To Brokers Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 01BRK
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
POLICYTXNNUMBER	VARCHAR2	16	Mandatory	-	Policy Transaction Number
BROKERCODE	VARCHAR2	9	Optional	-	Broker Code
SPLITPERCENTAGE	NUMBER	5	Mandatory	-	Split Percentage

1.9.4 Information Related to Transaction Fund Ratio

This topic provides information on information related to transaction fund ratio.

Serial Number: 3

Segment Identification Number: 02FDR

Description: This segment is used for providing information related to transaction fund ratio that is to be uploaded into the system.

Attributes: Mandatory

Table 1-68 Information Related to Transaction Fund Ratio

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 02FDR
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
POLICYTXNNUMBER	VARCHAR2	16	Mandatory	-	Policy Transaction Number
FUNDID	VARCHAR2	6	Mandatory	-	Fund ID
RATIO	NUMBER	15	Optional	-	Ratio
TXNAMOUNT	NUMBER	15	Mandatory	-	Transaction Amount
UNITSAPPLIED	NUMBER	15	Optional	-	Units Applied
WAC	NUMBER	15	Optional	-	Weighted Average Cost
AUTOCLEARPROVISIONALBALANCE	VARCHAR2	1	Mandatory	-	Auto Clear Provisional balance
AUTOCLEARREINVESTMENTS	VARCHAR2	1	Mandatory	-	Auto Clear Reinvestments
TXNAMOUNT_IN_TXNCCY	NUMBER	30	Optional	-	Transaction Amount in Transaction Currency
TRANSACTIONCURRENCY	VARCHAR2	3	Optional	-	Transaction Currency
EXCHANGERATEAPPLIED	NUMBER	15	Optional	-	Exchange Rate Applied
OVERRIDEEXCHANGERATE	VARCHAR2	1	Optional	-	Override Exchange Rate
SOURCEID	VARCHAR2	6	Optional	-	Source ID
FXDEALDATE	DATE	7	Optional	-	FX Deal Date
FXVALUEDATE	DATE	7	Optional	-	FX Value Date

1.9.5 Policy Transaction Settlement Related Information

This topic provides information on policy transaction settlement related information.

Serial Number: 4

Segment Identification Number: 03SET

Description: This segment is used for providing Policy Transaction Settlement Related Information.

Attributes: Optional

Table 1-69 Policy Transaction Settlement Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 03SET
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
POLICYTXNNUMBER	VARCHAR2	16	Mandatory	-	Policy Transaction Number
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Upload Mode
AMCID	VARCHAR2	12	Mandatory	-	AMC ID
POLICYTXNNUMBER	VARCHAR2	16	Mandatory	-	Policy Transaction Number
PAYMENTTYPE	VARCHAR2	1	Optional	-	Payment Type: • S - Self • P - Product • T - Third Party • B - Beneficiary
PAYMENTMODE	VARCHAR2	1	Optional	-	Payment Mode: • C - Cash • Q - Cheque • D - Demand Draft • T - Money Transfer
PAYMENTENTITY	VARCHAR2	12	Optional	-	Payment Entity
DRAWEEBANKCODE	VARCHAR2	12	Optional	-	Drawee Bank code
TRANSFERBRANCHCODE	VARCHAR2	12	Optional	-	Transfer Branch Code
TRANSFERACCOUNTTYPE	VARCHAR2	1	Optional	-	Transfer Account Type
TRANSFERACCOUNTNUMBER	VARCHAR2	20	Optional	-	Transfer Account Number
TRANSFERACCOUNTCURRENCY	VARCHAR2	3	Optional	-	Transfer Account Currency
CHEQUENUMBER	VARCHAR2	16	Optional	-	Cheque Number
CHEQUEDATE	VARCHAR2	11	Optional	-	Cheque Date
CLEARINGDATE	VARCHAR2	11	Optional	-	Clearing Date
CLEARINGSTATUS	VARCHAR2	3	Optional	-	Clearing Status

Table 1-69 (Cont.) Policy Transaction Settlement Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
RATIO	NUMBER	5	Optional	-	Ratio
AUTH_STAT	VARCHAR2	1	Mandatory	-	Authorization Status: • A - Authorized • U - Unauthorized
IDENTIFICATIONNUMBER	VARCHAR2	50	Mandatory	-	Identification Number
CONTACTNAME	VARCHAR2	100	Optional	-	Branch Code
ADDRESSLINE1	VARCHAR2	105	Mandatory	-	Address Line 1
ADDRESSLINE2	VARCHAR2	105	Mandatory	-	Address Line 2
TRANSFERREFNUMBER	VARCHAR2	16	Optional	-	Transfer Reference Number
IBAN	VARCHAR2	40	Optional	-	International Bank Account Number
FXDEALDATE	DATE	7	Optional	-	FX Deal Date
FXVALUEDATE	DATE	7	Optional	-	FX Value Date
EXCHANGERATEAPPLIED	NUMBER	15	Optional	-	Exchange Rate Applied
OVERRIDEEXCHANGERATE	VARCHAR2	1	Optional	-	Override Exchange Rate
SOURCEID	VARCHAR2	6	Optional	-	Source ID
PAYMENT_CURRENCY	VARCHAR2	3	Optional	-	Payment Currency
PAYMENT_DATE	DATE	7	Optional	-	Payment Date
PAYMENT_AMOUNT	NUMBER	30	Optional	-	Payment amount
EXCHANGERATESTATUS	VARCHAR2	1	Optional	-	Exchange Rate Status
INTERESTRATE	NUMBER	30	Optional	-	Interest Rate
INTERESTAMOUNT	NUMBER	30	Optional	-	Interest Amount
NOOFDAYS	NUMBER	30	Optional	-	No of Days
BASISDAYS	NUMBER	30	Optional	-	Basis days
PAYMENT_AMT_IN_TXNCCY	NUMBER	30	Optional	-	Payment amount in Transaction currency
INTEREST_AMT_IN_TXNCCY	NUMBER	30	Optional	-	Interest Amount in Transaction currency
SEQ_NO	NUMBER	30	Optional	-	Sequence Number

Table 1-69 (Cont.) Policy Transaction Settlement Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
TRANSFERACCOUNTHOLDERNAME	VARCHAR2	100	Optional	-	Transfer Account holder Name

1.9.6 Policy Transaction Settlement Related Information

This topic provides information on policy transaction settlement related information.

Serial Number: 5

Segment Identification Number: 04SFR

Description: This segment is used for providing Policy Transaction Settlement Related Information.

Attributes: Mandatory for Switch Transactions

Table 1-70 Policy Transaction Settlement Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 04SFR
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
POLICYTXNNUMBER	VARCHAR2	16	Mandatory	-	Policy Transaction Number
TOFUNDID	VARCHAR2	6	Mandatory	-	To Fund ID
RATIO	NUMBER	9	Optional	-	Ratio

1.9.7 Policy Transaction Load Override Related Information

This topic provides information on policy transaction load override related information.

Serial Number: 6

Segment Identification Number: 05FOI

Description: This segment is used for providing Policy Transaction Load Override Related Information.

Attributes: Optional

Table 1-71 Policy Transaction Load Override Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/ rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 05FOI
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
POLICYNUMBER	VARCHAR2	16	Optional	-	Policy Number
TRANSACTIONNUMBER	VARCHAR2	16	Optional	-	Transaction Number
TRANSACTIONDATE	DATE	7	Mandatory	-	Transaction Date
FUNDID	VARCHAR2	6	Mandatory	-	Fund ID
POLICYHOLDERID	VARCHAR2	12	Optional	-	Policy Holder ID
LOADID	NUMBER	5	Optional	-	Load ID
CHANGETYPE	VARCHAR2	1	Optional	-	Change Type
CHANGEVALUE	NUMBER	15	Optional	-	Change Value
GROUPID	VARCHAR2	6	Optional	-	Group ID
AUTH_STAT	VARCHAR2	1	Optional	-	Authorization Status
TXNTYPE	VARCHAR2	2	Mandatory	-	Transaction Type
REFTYPE	VARCHAR2	2	Optional	-	Ref Type

1.9.8 Policy Transaction Derived Load Override Related Information

This topic provides information on policy transaction derived load override related information.

Serial Number: 7

Segment Identification Number: 06DFO

Description: This segment is used for providing Policy Transaction Derived Load Override Related Information.

Attributes: Optional

Table 1-72 Policy Transaction Derived Load Override Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Optional	-	Segment ID - 06DFO
SEQUENCENUMBER	NUMBER	10	Optional	-	Sequence Number
PROCESSID	VARCHAR2	50	Optional	-	Process ID
TRANSACTIONNUMBER	VARCHAR2	16	Optional	-	Transaction Number
TRANSACTIONDATE	DATE	7	Optional	-	Transaction Date
FUNDID	VARCHAR2	6	Optional	-	Fund ID
POLICYHOLDERID	VARCHAR2	12	Optional	-	Policy Holder ID
DERIVEDLOADID	VARCHAR2	6	Optional	-	Derived Load ID
PARENTLOADID	NUMBER	5	Optional	-	Parent Load ID
CHANGETYPE	VARCHAR2	1	Optional	-	Change Type
CHANGEVALUE	NUMBER	5	Optional	-	Change Value
AUTH_STAT	VARCHAR2	1	Optional	-	Authorisation Status
TXNTYPE	VARCHAR2	2	Optional	-	Transaction Type
REFTYPE	VARCHAR2	2	Optional	-	Ref Type

1.10 Light Weight Transaction File Format

This topic explains the systematic instructions on light weight transaction file format.

This topic contains the following sub-topics:

- Light Weight Transaction File Format - Segment Identification Number
  This topic provides detailed information on light weight transaction file format of segment identification number.
- Transaction Related Information
  This topic provides information on transaction related information.
- Transaction Settlement Related Information
  This topic provides information on transaction settlement related information.
- KYC Transaction Related Information
  This topic provides information on KYC transaction related information of Light Weight Transaction File Format.

1.10.1 Light Weight Transaction File Format - Segment Identification Number

This topic provides detailed information on light weight transaction file format of segment identification number.

Table 1-73 Light Weight Transaction File Format - Segment Identification Number

Segment Identification Number	Description	Attributes
00CON	This segment is used for providing Transaction Related Information	Mandatory
01SET	This segment is used for providing Transaction Settlement Related Information	Optional
02KYC	This segment is used for providing KYC Transaction Related Information	Optional

1.10.2 Transaction Related Information

This topic provides information on transaction related information.

Serial Number: 1

Segment Identification Number: 00CON

Description: This segment is used for providing Transaction Related Information.

Attributes: Mandatory

Table 1-74 Transaction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	5	Mandatory	-	Segment Identifier (00CON)
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCAH R2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Upload Mode
TXNSERIALNUMBER	NUMBER	10	Mandatory	-	Transaction Serial Number
AMCID	VARCHAR2	12	Mandatory	-	AMC ID

Table 1-74 (Cont.) Transaction Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
REFERENCENUMBER	VARCHAR2	16	Optional	-	Reference Number
TRANSACTIONDATE	DATE	-	Optional	-	Transaction Date
UNITHOLDERID	VARCHAR2	60	Mandatory	-	Unit holder ID
FUNDID	VARCHAR2	25	Mandatory	-	Fund ID
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Transaction Type
TRANSACTIONSUBTYPE	VARCHAR2	1	Mandatory	-	Transaction Type
TOUNITHOLDERID	VARCHAR2	60	Optional	-	For Transfer, to unit holder ID is mandatory
TOFUNDID	VARCHAR2	25	Optional	-	For normal switch, to fund ID is mandatory
TRANSACTIONCURRENCY	VARCHAR2	3	Optional	-	Transaction Currency
TRANSACTIONMODE	VARCHAR2	1	Mandatory	-	Transaction Mode: • A-Amount • U-Units • P-Percentage
UNITSAPPLIED	NUMBER	27	Optional	-	When Transaction Mode is chosen as Units then this fields is mandatory
PERCENTAPPLIED	NUMBER	5	Optional	-	When Transaction Mode is chosen as Percentage then this fields is mandatory
AMOUNT	NUMBER	30	Optional	-	When Transaction Mode is chosen as Amount then this fields is mandatory
SUBPAYMENTMODE	VARCHAR2	2	Optional	-	Valid Sub Payment mode of selected Payment mode
SUBSCRIPTIONFUNDID	VARCHAR2	6	Optional	-	For Switch Transaction Subscription Fund ID is Mandatory
KIIDRECEIVED	VARCHAR2	1	Optional	-	KIID Received Mandatory. Only For UCITS Fund.
USERID	VARCHAR2	320	Mandatory	-	Indicates the user ID who has entered the latest record
KYCCHASINGDATE	DATE	-	Optional	-	KYC Chasing Date
KYCRECEIVED	NUMBER	1	Optional	-	KYC Received flag

1.10.3 Transaction Settlement Related Information

This topic provides information on transaction settlement related information.

Serial Number: 2

Segment Identification Number: 01SET

Description: This segment is used for providing Transaction Settlement Related Information.

Attributes: Mandatory

Table 1-75 Transaction Settlement Related Information

Field Name (as expected in the file)	Data type	Mandatory	Description
SEGMENTID	VARCHAR2(5)	Yes	Segment Identifier(01SET)
TXNSERIALNUMBER	NUMBER(10)	Yes	Transaction Serial Number
SEQUENCENUMBER	NUMBER(10)	Yes	Sequence Number
PROCESSID	VARCHAR2(50)	Yes	Process ID
BULKCLIENTCODE	VARCHAR2(12)	Yes	Bulk Client Code
IMPORTFILENAME	VARCHAR2(255)	Yes	Import File Name
AMCID	VARCHAR2(12)	Yes	AMC ID
TRANSACTIONNUMBER	VARCHAR2(16)	No	Transaction Number
PRODUCTID	VARCHAR2(10)	No	Product ID
POLICYNUMBER	VARCHAR2(16)	No	Policy Number
SYSREFERENCENUMBER	VARCHAR2(25)	No	Sys Reference Number
UNITHOLDERID	VARCHAR2(12)	Yes	Unit holder ID
PAYMENTREFERENCENUMBER	VARCHAR2(50)	No	Payment Reference Number
PAYMENTMODE	VARCHAR2(2)	No	Payment Mode: • C - Cash • Q - Cheque • T - Transfer • R - Card • M - Multi Payment • D - Draft

Table 1-75 (Cont.) Transaction Settlement Related Information

Field Name (as expected in the file)	Data type	Mandatory	Description
SUBPAYMENTMODE	VARCHAR2(2)	No	Sub-Payment Mode: • T - Money Transfer • Q - Cheque • R - Credit Card • D - Demand Draft • F - Against Payment • I - In Kind Payment • AT - Advice • BQ - Broker Cheque • CQ - Cashier cheque • DR - Debit Card • DT - Direct Debit • FQ - Foreign Cheque • GQ - Government Cheque • IQ - Other Institution Cheque • LQ - Local Cheque • OQ - Out Of Town Cheque • PD - Pay Order • PQ - Payroll Deduction • RQ - Reserve Bank Cheque • TT - Telegraphic Transfer • WT - Wire Transfer
PAYMENTCURRENCY	VARCHAR2(3)	No	Payment Currency
PAYMENTDATE	DATE	No	Payment Date
PAYMENTAMOUNT	NUMBER(30, 12)	No	Payment Amount
BANKCHARGES	NUMBER(30, 12)	No	Bank Charges
PAYMENTCHARGES	NUMBER(30, 12)	No	Payment Charges
EXCHANGERATESOURCE	VARCHAR2(6)	No	Exchange Rate Source
EXCHANGERATE	NUMBER(15, 9)	No	FX Rate
PAYMENTFBCTXNAMOUNT	NUMBER(30, 12)	No	FBC Amount
PAYMENTALTERNATEAMOUNT	NUMBER(30, 12)	No	Payment Alternate Amount
SETTLEMENTDUEDATE	DATE	No	Settlement Due Date
PAIDDATE	DATE	No	Paid Date
SETTLEMENTDUEDATE	DATE	No	Settlement Due Date
PAIDDATE	DATE	No	Paid Date
AUTOSETTLEMENT	NUMBER(1)	No	Auto Settle: • 1-Yes • 0-No

Table 1-75 (Cont.) Transaction Settlement Related Information

Field Name (as expected in the file)	Data type	Mandatory	Description
PAYMENTSTATUS	VARCHAR2(1)	No	Payment Status
CLEARINGSTATUS	VARCHAR2(5)	No	Clearing Status
PAID	NUMBER(1)	No	Paid
SETTLEMENTMETHOD	VARCHAR2(5)	No	Settlement Method
SETTLEMENTSTATUS	VARCHAR2(2)	No	Settlement Status
SETTLEMENTAT	VARCHAR2(3)	No	Settlement At
CHEQUEDATE	DATE	No	Cheque Date
CHEQUENUMBER	VARCHAR2(16)	No	Cheque Number
CHEQUECURRENCY	VARCHAR2(3)	No	Cheque Currency
CHEQUEAMOUNT	NUMBER(30, 12)	No	Cheque Amount
DRAWEEBANKCODE	VARCHAR2(12)	No	Drawee Bank Code
DRAWEEBRANCHNAME	VARCHAR2(20)	No	Drawee Branch Name
PAYMENTREMARKS	VARCHAR2(255)	No	Payment Remarks
PAYMENTTYPE	VARCHAR2(2)	No	Payment Type: • S - Self • T - Third Party • B - Broker • R - Retained • AP - Advanced Payment • A - Absorbed/ Paid back
THIRDPARTYADDRESS	VARCHAR2(255)	No	Third Party Address
THIRDPARTYADDRESSLINE1	VARCHAR2(80)	Yes	Third Party Address line 1
THIRDPARTYADDRESSLINE2	VARCHAR2(80)	Yes	Third Party Address line 2
THIRDPARTYADDRESSLINE3	VARCHAR2(80)	Yes	Third Party Address line 3
THIRDPARTYADDRESSLINE4	VARCHAR2(80)	Yes	Third Party Address line 4
THIRDPARTYBICCODE	VARCHAR2(12)	No	Third Party BIC Code
THIRDPARTYCOUNTRYCODE	VARCHAR2(3)	No	Third Party Country
THIRDPARTYPAYMENTREFERENCE	VARCHAR2(255)	No	Third Party Reference

Table 1-75 (Cont.) Transaction Settlement Related Information

Field Name (as expected in the file)	Data type	Mandatory	Description
THIRDPARTYSTATE	VARCHAR2(20)	No	Third Party State
THIRDPARTYUSSTATE	NUMBER(1)	No	Third Party US State
THIRDPARTYZIPCODE	VARCHAR2(10)	No	Third Party Zip Code
TRANSFERACCOUNTCURRENCY	VARCHAR2(3)	No	Transfer Account Currency
TRANSFERACCOUNTHOLDERNAME	VARCHAR2(100)	No	Transfer Account Holder Name
TRANSFERACCOUNTNUMBER	VARCHAR2(34)	No	Transfer Account Number
TRANSFERACCOUNTTYPE	VARCHAR2(1)	Yes	Transfer Account Type
TRANSFERBRANCHCODE	VARCHAR2(12)	No	Transfer Branch Code
TRANSFERREFERENCENUMBER	VARCHAR2(20)	No	Transfer Reference Number
CREDITCARDREFNO	VARCHAR2(16)	No	Credit Card Reference Number
INKINDPAYMENTDETAILS	VARCHAR2(255)	No	In Kind Payment Details
PAYMENTADDRESSDETAILS	VARCHAR2(255)	No	Payment Address Details
OLDPAYMENTREFERENCENO	VARCHAR2(25)	No	Old Payment Reference Number
UHBICCODE	VARCHAR2(24)	No	UH BIC Code
SWIFTFORMAT	VARCHAR2(6)	No	Swift Format: • MT103 - MT103 • MT202 - MT202
BANKCHARGED	VARCHAR2(12)	No	Bank Charged: • Beneficiary -Beneficiary • Remitter - Remitter • Share - Share
BENEFICIARY	VARCHAR2(35)	No	Beneficiary
BENEFICIARYADD1	VARCHAR2(105)	Yes	Beneficiary Address1
BENEFICIARYADD2	VARCHAR2(105)	Yes	Beneficiary Address2
BENEFICIARYADD3	VARCHAR2(105)	Yes	Beneficiary Address3
BENEFICIARYBICCODE	VARCHAR2(24)	No	Beneficiary BIC Code
BENEFICIARYACCTNO	VARCHAR2(34)	No	Beneficiary Account Number
BENEFICIARYCODE	VARCHAR2(24)	No	Beneficiary Code

Table 1-75 (Cont.) Transaction Settlement Related Information

Field Name (as expected in the file)	Data type	Mandatory	Description
BENEFICIARYINFO	VARCHAR2(100)	No	Beneficiary Information
INTERMEDIARY	VARCHAR2(35)	No	Intermediary
INTERMEDIARYADD1	VARCHAR2(35)	No	Intermediary Address1
INTERMEDIARYADD2	VARCHAR2(35)	No	Intermediary Address2
INTERMEDIARYADD3	VARCHAR2(35)	No	Intermediary Address3
INTERMEDIARYBICCODE	VARCHAR2(24)	No	Intermediary BIC Code
INTERMEDIARYACCTNO	VARCHAR2(24)	No	Intermediary Account No
INTERMEDIARYCODE	VARCHAR2(24)	No	Intermediary Code
INTERMEDIARYINFO	VARCHAR2(100)	No	Intermediary Info
FURTHERCREDITNAME	VARCHAR2(35)	No	Further Credit Name
FURTHERCREDITACCTNO	VARCHAR2(35)	No	Further Credit Account Number
REFERENCE1	VARCHAR2(35)	No	Reference1
REFERENCE2	VARCHAR2(35)	No	Reference2
ENTITYID	VARCHAR2(12)	No	Entity ID
ENTITYTYPE	VARCHAR2(1)	No	Entity Type
IBAN	VARCHAR2(40)	No	IBAN
BENEFICIARYIBAN	VARCHAR2(40)	No	Beneficiary IBAN
INTERMEDIARYIBAN	VARCHAR2(40)	No	Intermediary IBAN
BENEFICIARYID	VARCHAR2(12)	No	Beneficiary ID
BENEFICIARYPERCENTAGE	NUMBER(5,2)	No	Beneficiary Percentage

1.10.4 KYC Transaction Related Information

This topic provides information on KYC transaction related information of Light Weight Transaction File Format.

Serial Number: 3

Segment Identification Number: 02KYC

Description: This segment is used for providing KYC Transaction Rrelated Information.

Attributes: Mandatory

Table 1-76 KYC Transaction Related Information

Column Name	Data type	Mandatory	Values
SEGMENTID	VARCHAR2(5)	Yes	Segment ID - 02KYC
TXNSERIALNUMBER	NUMBER(10)	Yes	Transaction Serial Number
SEQUENCENUMBER	NUMBER(10)	Yes	Sequence Number
PROCESSID	VARCHAR2(50)	Yes	Process ID
BULKCLIENTCODE	VARCHAR2(12)	Yes	Bulk Client Code
IMPORTFILENAME	VARCHAR2(255)	Yes	Import File Name
AMCID	VARCHAR2(12)	No	AMC ID
TRANSACTIONNUMBER	VARCHAR2(16)	Yes	Transaction Number
REQUESTED	VARCHAR2(1)	No	Requested: • ON - Y • OFF - N
DOCUMENTDESCRIPTION	VARCHAR2(255)	No	Requirement
RECEIVED	VARCHAR2(1)	No	Received: • ON - Y • OFF - N
RECEIVEDDATE	DATE	No	Received Date
TAXDOCUMENT	NUMBER(1)	No	Tax Document: • 1 - Yes • 0 - No

1.11 Holiday Upload Format

This topic explains the systematic instructions on holiday upload file format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on holiday upload format of segment identification number.

1.11.1 Segment Identification Number

This topic provides detailed information on holiday upload format of segment identification number.

Table 1-77 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import file Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Mode of operation which has to be performed on the record
AMCID	VARCHAR2	12	Optional	-	AMC ID
YEAR	NUMBER	4	Mandatory	-	Year
JAN	VARCHAR2	100	Optional	-	Holiday Bits For Jan. Eg) 000000000000000 00011100000
FEB	VARCHAR2	100	Optional	-	Holiday Bits For February
MAR	VARCHAR2	100	Optional	-	Holiday Bits For March
APR	VARCHAR2	100	Optional	-	Holiday Bits For April
MAY	VARCHAR2	100	Optional	-	Holiday Bits For May
JUN	VARCHAR2	100	Optional	-	Holiday Bits For June
JUL	VARCHAR2	100	Optional	-	Holiday Bits For July
AUG	VARCHAR2	100	Optional	-	Holiday Bits For August
SEP	VARCHAR2	100	Optional	-	Holiday Bits For September
OCT	VARCHAR2	100	Optional	-	Holiday Bits For October
NOV	VARCHAR2	100	Optional	-	Holiday Bits For November
DEC	VARCHAR2	100	Optional	-	Holiday Bits For December
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record

Table 1-77 (Cont.) Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
AUTH_STAT	VARCHAR2	1	Mandatory	-	Authorisation Status: • A - Authorized • U - Unauthorized
ENTITYTYPE	VARCHAR2	1	Mandatory	-	Entity Type
ENTITYID	VARCHAR2	12	Mandatory	-	Entity ID

1.12 Dividend Declaration Upload

This topic explains the systematic instructions on dividend declaration upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on dividend declaration upload of segment identification number.
- Dividend Declaration Upload
  This topic provides information on dividend declaration upload.

1.12.1 Segment Identification Number

This topic provides detailed information on dividend declaration upload of segment identification number.

Table 1-78 Segment Identification Number

Segment Identification Number	Description
00DIV	This segment is used to provide Fund Dividend master details
01CMP	This segment is used to provide Fund Dividend EPU/ Lot Component value details
02REP	This segment is used to provide Fund Dividend Report Component value details

1.12.2 Dividend Declaration Upload

This topic provides information on dividend declaration upload.

Serial Number: 1

Segment Identification Number: 00DIV

Description: This segment is used to provide Fund Dividend master details.

Attributes: Mandatory

Table 1-79 Dividend Declaration Upload

Field Name	Description	Data Type	Attributes	Table Name
PROCESSID	Process ID	VARCHAR2(50)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
SEGMENTID	Segment ID	VARCHAR2(50)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
SEQUENCENUMBER	Sequence Number	NUMBER(10)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
BULKCLIENTCODE	Bulk Client Code	VARCHAR2(12)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
IMPORTFILENAME	Import File Name	VARCHAR2(255)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
UPLOADMODE	Upload Mode	VARCHAR2(1)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
FUNDID	Fund ID	VARCHAR2(6)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
CORPORATEACTIONTYPE	Corporate Action Type	VARCHAR2(1)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
DIVIDENDNUMBER	Dividend Number	NUMBER(5)	Optional	BULKFUNDDIVIDENDDECLARETBL
DIVIDENDFROMDATE	Dividend From Date	DATE	Mandatory	BULKFUNDDIVIDENDDECLARETBL
DIVIDENDTODATE	Dividend To Date	DATE	Mandatory	BULKFUNDDIVIDENDDECLARETBL
BOOKCLOSINGFROMDATE	Book Closing From Date	DATE	Optional	BULKFUNDDIVIDENDDECLARETBL
BOOKCLOSINGTODATE	Book Closing To Date	DATE	Optional	BULKFUNDDIVIDENDDECLARETBL
FREEZEHOLDINGSDATE	Freeze Holdings Date	DATE	Optional	BULKFUNDDIVIDENDDECLARETBL
BOARDOFDIRMTGDATE	Board of Dirmtg Date	DATE	Optional	BULKFUNDDIVIDENDDECLARETBL
DIVIDENDDECLAREDATE	Dividend Declare Date	DATE	Optional	BULKFUNDDIVIDENDDECLARETBL
EARNINGSPERUNIT	Earnings per Unit	NUMBER(20)	Optional	BULKFUNDDIVIDENDDECLARETBL
PROCESSEDTYPE	Processed Type	VARCHAR2(1)	Mandatory	BULKFUNDDIVIDENDDECLARETBL

Table 1-79 (Cont.) Dividend Declaration Upload

Field Name	Description	Data Type	Attributes	Table Name
EXCHANGERATEDATEFORDIVIDEND	Exchange Rate Date For Dividend	DATE	Optional	BULKFUNDDIVIDENDDECLARETBL
STOCKDIVIDENDPARENTRATIO	Stock Dividend Parent Ratio	NUMBER(22)	Optional	BULKFUNDDIVIDENDDECLARETBL
STOCKDIVIDENDRESULTANTRATIO	Stock Dividend Resultant Ratio	NUMBER(22)	Optional	BULKFUNDDIVIDENDDECLARETBL
NPI	NPI	NUMBER(20)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
EQUALIZATIONRATE	Equalization Rate	NUMBER(20)	Mandatory	BULKFUNDDIVIDENDDECLARETBL
STOCKDIVIDENDTYPE	Stock Dividend Type	VARCHAR2(1)	Optional	BULKFUNDDIVIDENDDECLARETBL
OVERRIDEFATCAWHT	Override FATCA WHT	NUMBER(1)	Optional	BULKFUNDDIVIDENDDECLARETBL
GRANDFATHEREDOBLIGATION	Grand Fathered Obligation	NUMBER(1)	Optional	BULKFUNDDIVIDENDDECLARETBL
DISTRIBUTIONTYPE	Distribution Type	VARCHAR2(3)	Optional	BULKFUNDDIVIDENDDECLARETBL
GROSSNETEPUFLAG	Gross Net EPU Flag	VARCHAR2(1)	Optional	BULKFUNDDIVIDENDDECLARETBL
GENERATEREDEMPTION	Generate Redemption	VARCHAR2(1)	Optional	BULKFUNDDIVIDENDDECLARETBL

Serial Number: 2

Segment Identification Number: 01CMP

Description: This segment is used to provide Fund Dividend EPU/Lot Component value details.

Attributes: Optional

Table 1-80 Dividend Declaration Upload

Field Name	Description	Data Type	Attributes	Table Name
PROCESSID	Process ID	VARCHAR2(50)	Mandatory	BULKFUNDDIVIDENDCOMPVALUESTBL

Table 1-80 (Cont.) Dividend Declaration Upload

Field Name	Description	Data Type	Attributes	Table Name
SEGMENTID	Segment ID	VARCHAR2(20)	Mandatory	BULKFUNDDIVIDENDCOMPVALUESTBL
SEQUENCENUMBER	Sequence Number	NUMBER(10)	Mandatory	BULKFUNDDIVIDENDCOMPVALUESTBL
COMPONENTID	Component ID	VARCHAR2(2)	Mandatory	BULKFUNDDIVIDENDCOMPVALUESTBL
COMPONENTVALUE	Component value	NUMBER(30)	Mandatory	BULKFUNDDIVIDENDCOMPVALUESTBL
FDAPINCOME	FDAP income	NUMBER(1)	Optional	BULKFUNDDIVIDENDCOMPVALUESTBL

Serial Number: 3

Segment Identification Number: 02REP

Description: This segment is used to provide Fund Dividend Report Component value details.

Attributes: Optional

Table 1-81 Dividend Declaration Upload

Field Name	Description	Data Type	Attributes	Table Name
PROCESSID	Process ID	VARCHAR2(50)	Mandatory	BULKFUNDDIVREPORTCOMPTBL
SEGMENTID	Segment ID	VARCHAR2(20)	Mandatory	BULKFUNDDIVREPORTCOMPTBL
SEQUENCENUMBER	Sequence Number	NUMBER(10)	Mandatory	BULKFUNDDIVREPORTCOMPTBL
COMPONENTID	Component ID	VARCHAR2(2)	Mandatory	BULKFUNDDIVREPORTCOMPTBL
COMPONENTVALUE	Component value	NUMBER(30)	Mandatory	BULKFUNDDIVREPORTCOMPTBL

1.13 Dividend Equalization Adjustment Upload

This topic explains the systematic instructions on dividend equalization adjustment upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides information on dividend equalization adjustment upload of segment identification number.

1.13.1 Segment Identification Number

This topic provides information on dividend equalization adjustment upload of segment identification number.

Table 1-82 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Optional	-	Segment ID. Value for Segment ID is 06REL
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BROKERCODE	VARCHAR2	12	Mandatory	-	The code to uniquely identify the broker. Broker code and rule effective date forms a PRIMARY KEY combination
RULEEFFECTIVEDATE	DATE	-	Mandatory	-	The date from which the changes are applicable. This along with broker code forms the PRIMARY KEY
RPENTITYID	VARCHAR2	12	Mandatory	-	Indicates the unique identification code for the related party entity associated with the unitholder
RPENTITYTYPE	VARCHAR2	1	Mandatory	-	Indicates the entity type of the unitholder's related party
PREFMAILMODE	VARCHAR2	1	Optional	-	Indicates the preferred mail mode for the related party entity
REPORTINGLEVEL	VARCHAR2	1	Optional	-	Indicates which all reports to be sent to the entities mapped
REMARKS	VARCHAR2	255	Optional	-	Indicates the descriptive remarks column for the record
APPLYTO	VARCHAR2	12	Mandatory	-	Based on the level selected in the Related party Level field , the user can either select fund ID (if he has selected Fund in Related Party Level) or AMC ID (if he has selected AMC Related Party Level) etc. If the user selects ALL in the Related Party Level then the system will default ALL in this field also and the user will not be allowed to edit it

Table 1-82 (Cont.) Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
APPLYLEVEL	VARCHAR2	3	Mandatory	-	Related party level would specify at which level does the user wants to associate the Related Party. The possible LOV values are: • ALL • AMC • Fund • Fund Family • Legal entity
SEQUENCENUMBER	Number	10	Mandatory	-	System generated sequence number of records in the file
PROCESSID	String	50	Mandatory	-	The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely. Each file must have a unique Process ID. This should be same for all records within a file.
BULKCLIENTCODE	String	12	Mandatory	-	The Code of the Bulk Client
IMPORTFILENAME	String	255	Mandatory	-	Name of the file being uploaded should be specified. Each file must have a unique name
UPLOADMODE	String	1	Mandatory	-	'N' for New unit holder uploads and 'I' for Incremental change
FUNDID	Alphanumeric	6	Mandatory	-	ID of the fund involved in the transaction. A valid fund ID maintained in the system
UNITHOLDERID	String	12	Mandatory	-	The unit holder ID available in FCIS
EFFECTIVEDATE	Date	10	Optional	-	Effective Date
G2UNITS	Number	30,12	Optional	-	Adjustable Units
AUTH_STAT	Varchar2	1	Mandatory	-	Must be 'A'
USERID	Varchar2	15	Mandatory	-	Represents the user ID who has entered the latest record

1.14 Exchange Rate Upload Format

This topic explains the systematic instructions on exchange rate upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on exchange rate upload format of segment identification number.

1.14.1 Segment Identification Number

This topic provides detailed information on exchange rate upload format of segment identification number.

Table 1-83 Segment Identification Number

Field Name	Data type	Field Length	Mandatory?	Characteristics/rules	Values
SOURCEID	VARCHAR2	6	YES	-	Source maintained in the system
REFCURRENCY	VARCHAR2	3	YES	-	Base Currency of the Source
PAIRCURRENCY	VARCHAR2	3	YES	-	Pair Currency for the exchange rate would be made available
FXDEALDATE	DATE	10	YES	Should be application date	Effective date for the Exchange rate
FXVALUEDATE	DATE	10	YES	Should be greater than or equal to FXDEALDATE	Value date for Forward Rates
BUYRATE	NUMBER	15	YES	-	Buy Rate for the mentioned currencies
SELLRATE	NUMBER	15	YES	-	Sell Rate for the mentioned currencies
EXCHRATEFORREPORT	NUMBER	1	NO	-	Flag to set whether the maintained FX Rate is used for Reporting
USERID	VARCHAR2	15	YES	-	Maker ID of the Exchange Rate
IMPORTSTATUS	VARCHAR2	1	NO	-	Status of the Import
ERRORDESC	VARCHAR2	255	NO	-	Error description
AUTH_STAT	VARCHAR2	1	NO	-	Authorization Status: • A - Authorised • U - Unauthorised
PROCESSID	VARCHAR2	50	NO	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	NO	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	NO	-	Import File Name
AMCID	VARCHAR2	12	NO	-	AMC ID
SERIALNUMBER	VARCHAR2	16	NO	-	Serial Number

Table 1-83 (Cont.) Segment Identification Number

Field Name	Data type	Field Length	Mandatory?	Characteristics/rules	Values
USERID	VARCHAR2	15	YES	-	Represents the user ID who has entered the latest record
SEQUENCENUMBER	VARCHAR2	10	NO	-	Sequence Number
UPLOADMODE	VARCHAR2	1	NO	-	Operation mode which will be performed on the Upload records. It can have: • N - New • D - Delete • A - Auth • E - Modify

1.15 AuthRep Upload Format

This topic explains the systematic instructions on authrep upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on authrep upload format of segment identification number.

1.15.1 Segment Identification Number

This topic provides detailed information on authrep upload format of segment identification number.

Table 1-84 Segment Identification Number

Field Name	Data type	Field Length	Attributes	Characteristics/rules	Values
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Mode of operation which has to be performed on the record
AMCID	VARCHAR2	12	Optional	-	AMC ID
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
MODULEID	VARCHAR2	30	Mandatory	-	Module ID of the Authorized representative

Table 1-84 (Cont.) Segment Identification Number

Field Name	Data type	Field Length	Attributes	Characteristics/rules	Values
AUTHREPREFNO	VARCHAR2	12	Optional	-	Authorized Representative Reference Number
AUTHREPID	VARCHAR2	12	Optional	-	Authorized Representative ID
AUTHREPADDRESS	VARCHAR2	255	Optional	-	Authorized Representative Address
AUTHREPZIPCODE	VARCHAR2	10	Optional	-	Authorized Representative ZIP Code
AUTH_STAT	VARCHAR2	1	Optional	-	Authorization Status: • A - Authorised • U - Unauthorised
AGENTCODE	VARCHAR2	12	Optional	-	Agent Code
BRANCHCODE	VARCHAR2	12	Optional	-	Branch Code
AUTHREPSIGNATURE	VARCHAR2	255	Optional	-	Authorized Representative Signature
IMAGEAVAILABLE	NUMBER	1	Optional	-	Image available or Not
AUTHREPTITLE	VARCHAR2	15	Optional	-	Authorized Representative Title
AUTHREPSTATE	VARCHAR2	20	Optional	-	Authorized Representative State
AUTHREPUSSTATE	NUMBER	1	Optional	-	Authorized Representative US State
AUTHREPCITY	VARCHAR2	10	Optional	-	Authorized Representative City
FIRSTNAME	VARCHAR2	105	Optional	-	First Name
MIDDLENAME	VARCHAR2	105	Optional	-	Middle Name
LASTNAME	VARCHAR2	105	Optional	-	Last Name
AUTHREPCOUNTRY	VARCHAR2	3	Mandatory	-	Country of the authorized representative
NATIONALITY	VARCHAR2	3	Mandatory	-	Nationality of the authorized representative
COUNTRYOFBIRTH	VARCHAR2	3	Mandatory	-	Country of birth of the authorized representative
COUNTRYOFDOMICILE	VARCHAR2	3	Mandatory	-	Country of domicile of the authorized representative
TELEPHONE1	VARCHAR2	60	Mandatory	-	Telephone 1 of the authorized representative
TELEPHONE2	VARCHAR2	60	Mandatory	-	Telephone 2 of the authorized representative
CELLPHONENUMBER	VARCHAR2	60	Mandatory	-	Cellphone number of the authorized representative

Table 1-84 (Cont.) Segment Identification Number

Field Name	Data type	Field Length	Attributes	Characteristics/rules	Values
INTDIALLINGCODEPH1	VARCHAR2	10	Mandatory	-	International Dialling code of phone number 1
INTDIALLINGCODEPH2	VARCHAR2	10	Mandatory	-	International Dialling code of phone number 2
INTDIALLINGCODECELL	VARCHAR2	10	Mandatory	-	International Dialling code of cell phone number
AUTHREPADDRESS2	VARCHAR2	255	Mandatory	-	Address of the authorized representative
AUTHREPCATEGORY	VARCHAR2	1	Mandatory	-	Category of the authorized representative
REMARKS	VARCHAR2	255	Optional	-	Remarks

1.16 Entity Upload Format

This topic explains the systematic instructions on entity upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on segment identification number of Entity Upload Format.
- Entity Maintenance Related Information
  This topic provides information on entity maintenance related information.
- Entity Bank Related Information
  This topic provides information on entity bank related information.
- Entity Contact Information Related Information
  This topic provides information on entity contact information related information.
- Entity Broker Definition Related Information
  This topic provides information on entity broker definition related information.
- Related Parties Information
  This topic provides information on related parties information.
- Additional Information Details
  This topic provides information on related parties information.
- Swift Message Related Details
  This topic provides information on swift message related details.

1.16.1 Segment Identification Number

This topic provides detailed information on segment identification number of Entity Upload Format.

Table 1-85 Segment Identification Number

Segment Identification Number	Description	Attributes
00EIM	This segment is used for providing Entity Maintenance Related Information	Mandatory
01EBD	This segment is used for providing Entity Bank Related Information	Mandatory
02ECI	This segment is used for providing Entity Contact Information Related Information	Mandatory
03EBD	This segment is used for providing Entity Broker Definition Related Information	Optional
04ERP	This segment is used for providing Related parties Information	Optional
05ADD	This segment is used for providing Additional Information details	Optional
06SWF	This segment is used for providing Swift Message Related details	Optional

1.16.2 Entity Maintenance Related Information

This topic provides information on entity maintenance related information.

Serial Number: 1

Segment Identification Number: 00EIM

Description: This segment is used for providing Entity Maintenance Related Information.

Attributes: Mandatory

Table 1-86 Entity Maintenance Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	16	Mandatory	-	Segment ID. Value for Segment ID is 00EIM
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Optional	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Operation mode which will be performed on the Upload records. It can have: • N - New • D - Delete • A - Auth • E - Modify

Table 1-86 (Cont.) Entity Maintenance Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ENTITYID	VARCHAR2	12	Mandatory	-	Entity ID
PARENTENTITYFLAG	NUMBER	1	Optional	-	Parent Entity Flag
ENTITYNAME	VARCHAR2	60	Mandatory	-	Entity Name
ENTITYTOBEDELETED	VARCHAR2	12	Optional	-	Entity To be Deleted
ENTITYTYPE	VARCHAR2	1	Optional	-	Entity Type
PARENTENTITY	VARCHAR2	12	Optional	-	Parent Entity
EFFECTIVEDATE	DATE	10	Optional	-	Effective Date
USERID	VARCHAR2	320	Mandatory	-	Indicates the user ID who has entered the latest record.
RECORDLINK	NUMBER	10	Optional	-	Record Link
NEWENTITYNAME	VARCHAR2	60	Optional	-	New Entity Name
NEWENTITYID	VARCHAR2	12	Optional	-	New Entity ID
ENTITYBASECURRENCY	VARCHAR2	3	Optional	-	Entity Base Currency
ADDITIONALINFO1	VARCHAR2	60	Optional	-	Additional Information 1
ADDITIONALINFO2	VARCHAR2	60	Optional	-	Additional Information 2
ADDITIONALINFO3	VARCHAR2	60	Optional	-	Additional Information 3
ADDITIONALINFO4	VARCHAR2	60	Optional	-	Additional Information 4
ADDITIONALINFO5	VARCHAR2	60	Optional	-	Additional Information 5
ADDITIONALINFO6	VARCHAR2	60	Optional	-	Additional Information 6
ADDITIONALINFO7	VARCHAR2	60	Optional	-	Additional Information 7
ADDITIONALINFO8	VARCHAR2	60	Optional	-	Additional Information 8
ADDITIONALINFO9	VARCHAR2	60	Optional	-	Additional Information 9
ADDITIONALINFO10	VARCHAR2	60	Optional	-	Additional Information 10
ADDITIONALINFO11	VARCHAR2	60	Optional	-	Additional Information 11
ADDITIONALINFO12	VARCHAR2	60	Optional	-	Additional Information 12

Table 1-86 (Cont.) Entity Maintenance Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ADDITIONALINFO13	VARCHAR2	60	Optional	-	Additional Information 13
ADDITIONALINFO14	VARCHAR2	60	Optional	-	Additional Information 14
ADDITIONALINFO15	VARCHAR2	60	Optional	-	Additional Information 15
ADDITIONALINFO16	VARCHAR2	60	Optional	-	Additional Information 16
ADDITIONALINFO17	VARCHAR2	60	Optional	-	Additional Information 17
ADDITIONALINFO18	VARCHAR2	60	Optional	-	Additional Information 18
ADDITIONALINFO19	VARCHAR2	60	Optional	-	Additional Information 19
ADDITIONALINFO20	VARCHAR2	60	Optional	-	Additional Information 20
ADDITIONALINFO21	VARCHAR2	60	Optional	-	Additional Information 21
ADDITIONALINFO22	VARCHAR2	60	Optional	-	Additional Information 22
ADDITIONALINFO23	VARCHAR2	60	Optional	-	Additional Information 23
ADDITIONALINFO24	VARCHAR2	60	Optional	-	Additional Information 24
ADDITIONALINFO25	VARCHAR2	60	Optional	-	Additional Information 25
ADDITIONALINFO26	VARCHAR2	60	Optional	-	Additional Information 26
ADDITIONALINFO27	VARCHAR2	60	Optional	-	Additional Information 27
ADDITIONALINFO28	VARCHAR2	60	Optional	-	Additional Information 28
ADDITIONALINFO29	VARCHAR2	60	Optional	-	Additional Information 29
ADDITIONALINFO30	VARCHAR2	60	Optional	-	Additional Information 30
AUTH_STAT	VARCHAR2	1	Optional	-	Authorisation Status: • A - Authorised • U - Unauthorised
UNIQUERECORDNUMBER	NUMBER	5	Optional	-	Unique Record Number
MARKEDFORREIMP	VARCHAR2	1	Optional	-	Marked for ReImport
ACCOUNTTYPES	VARCHAR2	1000	Optional	-	Account Types

Table 1-86 (Cont.) Entity Maintenance Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ENTITYCATEGORYTYPE	VARCHAR2	1	Optional	-	Entity Category Type: • I - Individual • C - Corporate
ENTITYCATEGORY	VARCHAR2	2	Optional	-	Entity Category
AMCID	VARCHAR2	12	Optional	-	AMC ID
SWITCHINGLOGIC	VARCHAR2	12	Optional	-	Switching Logic
UHGENERATIONLOGIC	NUMBER	1	Optional	-	Unit Holder Generation Logic: • 1 - AGT • 2 - SERIAL&AMC/ DIST&CHK • 3 - SERIAL • 4 - No Logic • 5 - Reference Number • 6 - AJF Generation Logic • 7 - Ctry(1)&Busi(1)&FMG/ DIST&AZ(1)&Num(5)
NATUREOFBUSINESS	VARCHAR2	1	Optional	-	Nature of Business: • U - UnitTrust • L - LifeProducts • B - Both
SECTION	VARCHAR2	40	Optional	-	Section
ENTITYSUBTYPE	VARCHAR2	3	Optional	-	Module/Agent Type
CROSSBRANCHING	NUMBER	1	Optional	-	Cross Branching Allowed: • 1 - Yes • 0 - No
FUNDSPOWERINSTALLED	NUMBER	1	Optional	-	FLEXCUBE-IS Installation: • 1 - Yes • 0 - No
CUSTOMERINTERFACEKEY	VARCHAR2	1	Optional	-	Customer Interface Key: • C - Customer • A - Account
ONLINEINTERFACE	NUMBER	1	Optional	-	Online Interface: • 1 - Yes • 0 - No
WEEKENDBITS	VARCHAR2	7	Optional	-	Weekend Bits
AUTHORIZEDSIGNATORY	VARCHAR2	70	Optional	-	Authorized Signatory
MOD_NO	NUMBER	5	Optional	-	Indicates the max number of times the record is modified
ALTLANGENTITYNAME	VARCHAR2	60	Optional	-	Alternate Language Entity Name

Table 1-86 (Cont.) Entity Maintenance Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ALTLANGCONTACTPERSON	VARCHAR2	70	Optional	-	Alternate Language Contact Person
ALTLANGADDRESS1	VARCHAR2	80	Optional	-	Alternate Language Address 1
ALTLANGADDRESS2	VARCHAR2	80	Optional	-	Alternate Language Address 2
ALTLANGCITY	VARCHAR2	80	Optional	-	Alternate Language City
ALTLANGSTATE	VARCHAR2	80	Optional	-	Alternate Language State
FINWAREPOSTING	NUMBER	1	Optional	-	Finware Posting: • 1 - Yes • 0 - No
CHANNELENTITY	NUMBER	1	Optional	-	Restrict Cross Branching within Agent: • 1 - Yes • 0 - No
MICRCODE	VARCHAR2	12	Optional	-	MICR Code
NATIONALITY	VARCHAR2	3	Optional	-	Nationality
COUNTRYOFBIRTH	VARCHAR2	3	Optional	-	Indicates the birth country of entity
COUNTRYOFDOMICILE	VARCHAR2	3	Optional	-	Indicates the country of domicile
INCORPORATIONCOUNTRY	VARCHAR2	3	Optional	-	Country of Incorporation
TAXID	VARCHAR2	50	Optional	-	Tax ID
EIN	VARCHAR2	50	Optional	-	Employer ID Number
TAXCERTIFICATEEFFEDATE	DATE	10	Optional	-	Tax Certificate Effective Date
TAXCERTIFICATEEXPDATE	DATE	10	Optional	-	Tax Certificate Expiry Date
TAXCIRCLE	VARCHAR2	3	Optional	-	Tax Circle
TAXCATEGORY	VARCHAR2	10	Optional	-	Tax Category
GIIN	VARCHAR2	30	Optional	-	Global Intermediary Identification Number
CURRENCY	VARCHAR2	3	Optional	-	Currency
ISAREFERENCENO	VARCHAR2	5	Optional	-	ISA ReferenceNo
MINISATRANSFEROUT	NUMBER	30	Optional	-	MinISATransferIn

Table 1-86 (Cont.) Entity Maintenance Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
MINISATRANSFERIN	NUMBER	30	Optional	-	MinISATransferOut

1.16.3 Entity Bank Related Information

This topic provides information on entity bank related information.

Serial Number: 2

Segment Identification Number: 01EBD

Description: This segment is used for providing Entity Bank related Information.

Attributes: Mandatory

Table 1-87 Entity Bank Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	16	Mandatory	-	Segment ID. Value for Segment ID is 01EBD
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
ENTITYID	VARCHAR2	12	Optional	-	Entity ID
ENTITYTYPE	VARCHAR2	1	Optional	-	Entity Type
BANKCODE	VARCHAR2	12	Optional	-	Bank Code
BRANCHCODE	VARCHAR2	12	Optional	-	Branch Code
ACCOUNTTYPE	VARCHAR2	1	Optional	-	Account Type
ACCOUNTNUMBER	VARCHAR2	20	Optional	-	Account Number
ACCOUNTNAME	VARCHAR2	100	Optional	-	Account Name
ACCOUNTCURRENCY	VARCHAR2	3	Optional	-	Account Currency
DEFAULTDETAILS	NUMBER	1	Optional	-	Default Details: • 1 - Yes • 0 - No

Table 1-87 (Cont.) Entity Bank Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
DIRECTDEBITAPPLICABLE	VARCHAR2	1	Optional	-	Direct Debit Applicable: • 1 - Yes • 0 - No
SWIFTFORMAT	VARCHAR2	6	Optional	-	Swift Format
BANKCHARGED	VARCHAR2	12	Optional	-	Bank charged
BENEFICIARY	VARCHAR2	35	Optional	-	Beneficiary
BENEFICIARYADD1	VARCHAR2	105	Optional	-	Beneficiary Address 1
BENEFICIARYADD2	VARCHAR2	105	Optional	-	Beneficiary Address 2
BENEFICIARYADD3	VARCHAR2	105	Optional	-	Beneficiary Address 3
BENEFICIARYBICCODE	VARCHAR2	24	Optional	-	Beneficiary BIC Code
BENEFICIARYACCTNO	VARCHAR2	34	Optional	-	Beneficiary Account Number
BENEFICIARYCODE	VARCHAR2	24	Optional	-	Beneficiary Code
BENEFICIARYINFO	VARCHAR2	100	Optional	-	Beneficiary Info
INTERMEDIARY	VARCHAR2	35	Optional	-	Intermediary
INTERMEDIARYADD1	VARCHAR2	105	Optional	-	Intermediary Address 1
INTERMEDIARYADD2	VARCHAR2	105	Optional	-	Intermediary Address 2
INTERMEDIARYADD3	VARCHAR2	105	Optional	-	Intermediary Address 3
INTERMEDIARYBICCODE	VARCHAR2	24	Optional	-	Intermediary BIC Code
INTERMEDIARYACCTNO	VARCHAR2	34	Optional	-	Intermediary Account Number
INTERMEDIARYCODE	VARCHAR2	24	Optional	-	Intermediary Code
INTERMEDIARYINFO	VARCHAR2	100	Optional	-	Intermediary Info
FURTHERCREDITNAME	VARCHAR2	35	Optional	-	Further Credit Name
FURTHERCREDITACCTNO	VARCHAR2	35	Optional	-	Further Credit Account Number
REFERENCE1	VARCHAR2	35	Optional	-	Reference 1
REFERENCE2	VARCHAR2	35	Optional	-	Reference 2

Table 1-87 (Cont.) Entity Bank Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
IBAN	VARCHAR2	40	Optional	-	International Bank Account Number
BENEFICIARYIBAN	VARCHAR2	40	Optional	-	Beneficiary International Bank Account Number
INTERMEDIARYIBAN	VARCHAR2	40	Optional	-	Intermediary International Bank Account Number

1.16.4 Entity Contact Information Related Information

This topic provides information on entity contact information related information.

Serial Number: 3

Segment Identification Number: 02ECI

Description: This segment is used for providing Entity Contact Information related Information.

Attributes: Mandatory

Table 1-88 Entity Contact Information Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	16	Mandatory	-	Segment ID. Value for Segment ID is 02ECI
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
ENTITYID	VARCHAR2	12	Optional	-	Entity ID
ENTITYTYPE	VARCHAR2	2	Optional	-	Entity Type
ADDRESSTYPE	VARCHAR2	2	Optional	-	Address Type: • CO - Correspondence Address, • AL - Alternate Address • SW - Swift Address
ADDRESSLINE1	VARCHAR2	105	Mandatory	-	Address Line 1
ADDRESSLINE2	VARCHAR2	105	Optional	-	Address Line 2
ADDRESSLINE3	VARCHAR2	105	Optional	-	Address Line 3

Table 1-88 (Cont.) Entity Contact Information Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ADDRESSLINE4	VARCHAR2	80	Optional	-	Address Line 4
ZIPCODE	VARCHAR2	10	Optional	-	ZIP Code
COUNTRY	VARCHAR2	3	Optional	-	Country
USSTATE	NUMBER	1	Optional	-	US State: • 1 - Yes • 0 - No
TELEPHONE1	VARCHAR2	60	Optional	-	Telephone 1
TELEPHONE2	VARCHAR2	60	Optional	-	Telephone 2
CELLPHONENUMBER	VARCHAR2	60	Optional	-	Cellphone Number
FAX	VARCHAR2	120	Optional	-	FAX
EMAIL	VARCHAR2	255	Optional	-	Email Address
CONTACTPERSON	VARCHAR2	160	Optional	-	Contact Person
EFFECTIVEFROMDATE	DATE	10	Optional	-	Effective From Date
EFFECTIVETODATE	DATE	10	Optional	-	Effective To Date
BICCODE	VARCHAR2	16	Optional	-	BIC Code
INTDIALLINGCODEPH1	VARCHAR2	10	Optional	-	Int. Dialing Code + Telephone 1
INTDIALLINGCODEPH2	VARCHAR2	10	Optional	-	Int. Dialing Code + Telephone 2
INTDIALLINGCODECELL	VARCHAR2	10	Optional	-	Int. Dialing Code + Cellphone Number
INTDIALLINGCODEFAX	VARCHAR2	10	Optional	-	Int. Dialing Code + Fax

1.16.5 Entity Broker Definition Related Information

This topic provides information on entity broker definition related information.

Serial Number: 4

Segment Identification Number: 03EBD

Description: This segment is used for providing Entity Broker Definition related Information.

Attributes: Optional

Table 1-89 Entity Broker Definition Related Information

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	16	Mandatory	-	Segment ID. Value for Segment ID is 03EBD
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
ENTITYID	VARCHAR2	12	Optional	-	Entity ID
TRANSACTIONTYPE	VARCHAR2	20	Optional	-	Transaction Type
BROKERDEFTYPE	VARCHAR2	3	Optional	-	Broker Defaulting Mechanism

1.16.6 Related Parties Information

This topic provides information on related parties information.

Serial Number: 5

Segment Identification Number: 04ERP

Description: This segment is used for providing Related Parties Information.

Attributes: Optional

Table 1-90 Related Parties Information

Field Name (as expected in the file)	Data type	Length	Mandatory?	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Yes	-	Segment ID - 04ERP
SEQUENCENUMBER	NUMBER	22	Yes	-	Sequence Number
PROCESSID	VARCHAR2	50	Yes	-	Process ID
ENTITYID	VARCHAR2	12	Yes	-	Indicates the unique identification code for the related party entity associated with the entity
ENTITYTYPE	VARCHAR2	1	Yes	-	Indicates the entity type of the entity's related party
RPENTITYID	VARCHAR2	12	Yes	-	Indicates the unique identification code for the related party entity associated with the entity

Table 1-90 (Cont.) Related Parties Information

Field Name (as expected in the file)	Data type	Length	Mandatory?	Characteristics/rules	Values
RPENTITYTYPE	VARCHAR2	1	Yes	-	Indicates the entity type of the entitys related party
PREFMAILMODE	VARCHAR2	1	No	-	Indicates the preferred mail mode for the related party entity
REPORTINGLEVEL	VARCHAR2	1	No	-	Indicates which all reports to be sent to the entities mapped
REMARKS	VARCHAR2	255	No	-	Indicates the descriptive remarks column for the record
APPLYTO	VARCHAR2	12	Yes	-	Based on the level selected in the Related party Level field , the user can either select fund ID (if he has selected Fund in Related Party Level) or AMC ID (if he has selected AMC Related Party Level) etc. If the user selects ALL in the Related Party Level then the system will default ALL in this field also and the user will not be allowed to edit it
APPLYLEVEL	VARCHAR2	3	Yes	-	Related party level would specify at which level does the user wants to associate the Related Party. The possible LOV values are: • ALL • AMC • Fund • Fund Family • Legal entity

1.16.7 Additional Information Details

This topic provides information on related parties information.

Serial Number: 6

Segment Identification Number: 05ADD

Description: This segment is used for providing Additional Information Details.

Attributes: Optional

Table 1-91 Additional Information Details

Field Name (as expected in the file)	Data type	Length	Mandatory?	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Yes	-	Segment ID - 05ADD
SEQUENCENUMBER	NUMBER	10	Yes	-	Sequence Number
PROCESSID	VARCHAR2	50	Yes	-	Process ID
ADDINFOLABEL	VARCHAR2	30	Yes	-	Additional Information Label
FIELDVALUE	CHAR	23	Yes	-	Field Value

1.16.8 Swift Message Related Details

This topic provides information on swift message related details.

Serial Number: 7

Segment Identification Number: 06SWF

Description: This segment is used for providing Swift Message related details.

Attributes: Optional

Table 1-92 Swift Message Related Details

Field Name (as expected in the file)	Data type	Length	Mandatory?	Characteristics/rules	Values
SEGMENTID	VARCHAR2	16	Yes	-	Segment ID - 06SWF
SEQUENCENUMBER	NUMBER	10	Yes	-	Sequence Number
PROCESSID	VARCHAR2	50	Yes	-	Process ID
ENTITYID	VARCHAR2	12	Yes	-	Entity ID
ENTITYTYPE	VARCHAR2	1	Yes	-	Entity Type
SWIFTCODE	VARCHAR2	30	Yes	-	Swift Code
FCISCODE	VARCHAR2	3	Yes	-	FCIS Code

1.17 Entity Bulk Import Pension Upload

This topic provides detailed information on entity bulk import pension upload.

The entity import for Pension for **bank and branch** with the interface ID IF20150020001001(Entity bulk import in Pension).

Table 1-93 Entity Bulk Import Pension Upload

Field Name	Description	Data type	Length	Table Name
SEGMENTID	Segment ID	VARCHAR2	16	PATB_ENTITYBASEUPLOAD
SEQUENCENUMBER	Sequence number	NUMBER	10	PATB_ENTITYBASEUPLOAD
PROCESSID	Process ID	VARCHAR2	50	PATB_ENTITYBASEUPLOAD
BULKCLIENTCODE	Bulk client code	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
IMPORTFILENAME	Import file name	VARCHAR2	255	PATB_ENTITYBASEUPLOAD
UPLOADMODE	Upload mode	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
ENTITYID	Entity ID	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
ENTITYNAME	Entity name	VARCHAR2	60	PATB_ENTITYBASEUPLOAD
ENTITYTYPE	Entity type	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
ENTITYBASECURRENCY	Entity base currency	VARCHAR2	3	PATB_ENTITYBASEUPLOAD
SWITCHINGLOGIC	Switching logic	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
UHGENERATIONLOGIC	Uh generation logic	NUMBER	1	PATB_ENTITYBASEUPLOAD
NATUREOFBUSINESS	Nature of business	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
SECTION	Section	VARCHAR2	40	PATB_ENTITYBASEUPLOAD
EFFECTIVEDATEFORCAPITALGAINS	Effective date for capital gains	DATE	7	PATB_ENTITYBASEUPLOAD
ENTITYSUBTYPE	Entity subtype	VARCHAR2	3	PATB_ENTITYBASEUPLOAD
CROSSBRANCHING	Cross branching	NUMBER	1	PATB_ENTITYBASEUPLOAD
FUNDSPOWERINSTALLED	Funds power installed	NUMBER	1	PATB_ENTITYBASEUPLOAD
PARENTENTITY	Parent entity	VARCHAR2	12	PATB_ENTITYBASEUPLOAD

Table 1-93 (Cont.) Entity Bulk Import Pension Upload

Field Name	Description	Data type	Length	Table Name
CUSTOMERINTERFACEKEY	Customer interface key	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
ONLINEINTERFACE	Online interface	NUMBER	1	PATB_ENTITYBASEUPLOAD
MAKER_ID	Maker_ID	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATB_ENTITYBASEUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
CHECKER_ID	Checker_ID	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7	PATB_ENTITYBASEUPLOAD
LASTMODIFIEDUSERID	Last modified user ID	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
LASTMODIFIEDDATE	Last modified date	DATE	7	PATB_ENTITYBASEUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATB_ENTITYBASEUPLOAD
LASTSERIALNUMBER	Last serial number	NUMBER	22	PATB_ENTITYBASEUPLOAD
SIGNOFF	Sign off	NUMBER	1	PATB_ENTITYBASEUPLOAD
WEEKENDBITS	Weekend bits	VARCHAR2	7	PATB_ENTITYBASEUPLOAD
AUTHORIZEDSIGNATORY	Authorized signatory	VARCHAR2	70	PATB_ENTITYBASEUPLOAD
RULEEFFECTIVEDATE	Rule effective date	DATE	7	PATB_ENTITYBASEUPLOAD
ENTITYCATEGORYTYPE	Entity category type	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
ENTITYCATEGORY	Entity category	VARCHAR2	2	PATB_ENTITYBASEUPLOAD
LINKENTITYID	Link entity ID	VARCHAR2	12	PATB_ENTITYBASEUPLOAD

Table 1-93 (Cont.) Entity Bulk Import Pension Upload

Field Name	Description	Data type	Length	Table Name
LINKENTITYTYPE	Link entity type	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
SEQUENCETYPE	Sequence type	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
CHANNELENTITY	Channel entity	NUMBER	1	PATB_ENTITYBASEUPLOAD
MICRCODE	Micrcode	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
RECORD_STAT	Record_Stat	CHAR	1	PATB_ENTITYBASEUPLOAD
ONCE_AUTH	Once_Auth	CHAR	1	PATB_ENTITYBASEUPLOAD
FINWAREPOSTING	Finware posting	NUMBER	1	PATB_ENTITYBASEUPLOAD
USINDICIAAVAILABLE	Us indicia available	NUMBER	1	PATB_ENTITYBASEUPLOAD
FATCASTATUS	Fatca status	VARCHAR2	25	PATB_ENTITYBASEUPLOAD
NATIONALITY	Nationality	VARCHAR2	3	PATB_ENTITYBASEUPLOAD
COUNTRYOFBIRTH	Country of birth	VARCHAR2	3	PATB_ENTITYBASEUPLOAD
COUNTRYOFDOMICILE	Country of domicile	VARCHAR2	3	PATB_ENTITYBASEUPLOAD
INCORPORATIONCOUNTRY	Incorporation country	VARCHAR2	3	PATB_ENTITYBASEUPLOAD
TAXID	Tax ID	VARCHAR2	50	PATB_ENTITYBASEUPLOAD
EIN	Ein	VARCHAR2	50	PATB_ENTITYBASEUPLOAD
TAXCERTIFICATEEFFEDATE	Tax certificate effe date	DATE	7	PATB_ENTITYBASEUPLOAD
TAXCERTIFICATEEXPDATE	Tax certificate exp date	DATE	7	PATB_ENTITYBASEUPLOAD
TAXCIRCLE	Tax circle	VARCHAR2	15	PATB_ENTITYBASEUPLOAD
TAXCATEGORY	Tax category	VARCHAR2	10	PATB_ENTITYBASEUPLOAD
FATCACLASSIFICATION	Fatca classification	VARCHAR2	25	PATB_ENTITYBASEUPLOAD

Table 1-93 (Cont.) Entity Bulk Import Pension Upload

Field Name	Description	Data type	Length	Table Name
GIIN	Giin	VARCHAR2	30	PATB_ENTITYBASEUPLOAD
GIINISSUEDATE	Giin issue date	DATE	7	PATB_ENTITYBASEUPLOAD
GIINEXPIRYDATE	Giin expiry date	DATE	7	PATB_ENTITYBASEUPLOAD
GIINVERIFIEDFLAG	Giin verified flag	NUMBER	1	PATB_ENTITYBASEUPLOAD
FATCASPONSORTYPE	Fatca sponsor type	VARCHAR2	2	PATB_ENTITYBASEUPLOAD
SPONSORINGENTITYTYPE	Sponsoring entity type	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
SPONSORINGENTITYID	Sponsoring entity ID	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
MINISATRANSFERIN	Minisatransferin	NUMBER	30	PATB_ENTITYBASEUPLOAD
MINISATRANSFEROUT	Minisatransferout	NUMBER	30	PATB_ENTITYBASEUPLOAD
ISAREFERENCENO	Isarefernceno	VARCHAR2		PATB_ENTITYBASEUPLOAD
FUNDSTRUCTAPPLICABLE	Fundstructapplicable	VARCHAR2		PATB_ENTITYBASEUPLOAD
AUTOSETTLESWITCH	Autosettleswitch	VARCHAR2		PATB_ENTITYBASEUPLOAD
CREATEDMODULE	Created module	VARCHAR2		PATB_ENTITYBASEUPLOAD
SWIFTMSGLIST	Swiftmsglist	VARCHAR2		PATB_ENTITYBASEUPLOAD
ELECTRONICDEALFLAG	Electronicdealflag	VARCHAR2		PATB_ENTITYBASEUPLOAD
LEGACYUHREF	Legacyuhref	VARCHAR2		PATB_ENTITYBASEUPLOAD
PAYDIVIDENDDURINGRED	Paydividendduringed	VARCHAR2		PATB_ENTITYBASEUPLOAD
DEFAULTAGENCYBRANCH	Defaultagencybranch	VARCHAR2		PATB_ENTITYBASEUPLOAD
DATEOFBIRTH	Dateofbirth	DATE	7	PATB_ENTITYBASEUPLOAD

Table 1-93 (Cont.) Entity Bulk Import Pension Upload

Field Name	Description	Data type	Length	Table Name
ENTCLOSEDDATE	Entcloseddate	DATE	7	PATB_ENTITYBASEUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ENTITYBASEUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ENTITYBASEUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_ENTITYBASEUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
ENTITYID	Entityid	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
ENTITYTYPE	Entitytype	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
BANKCODE	Bankcode	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
BRANCHCODE	Branchcode	VARCHAR2	12	PATB_ENTITYBASEUPLOAD
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PATB_ENTITYBASEUPLOAD
ACCOUNTNUMBER	Account number	VARCHAR2	16	PATB_ENTITYBASEUPLOAD
ACCOUNTNAME	Account name	VARCHAR2	100	PATB_ENTITYBASEUPLOAD
ACCOUNTCURRENCY	Account currency	VARCHAR2	3	PATB_ENTITYBASEUPLOAD
BENEFICIARYID	VARCHAR2(12)	No		Beneficiary ID
BENEFICIARYPERCENTAGE	NUMBER(5,2)	No		Beneficiary Percentage

Note:

This is applicable only for PENSION module.

1.18 UH KYC Chasing Upload

This topic explains the systematic instructions on UH KYC chasing upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on segment identification number of UH KYC chasing upload.
- Cheque Book Request Upload Format
  This topic provides information on cheque book request upload format.

1.18.1 Segment Identification Number

This topic provides detailed information on segment identification number of UH KYC chasing upload.

Table 1-94 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
PROCESSID	VARCHAR2	50	-	-	Process ID
SEQUENCENUMBER	NUMBER	10	-	-	Sequence Number
BULKCLIENTCODE	VARCHAR2	12	-	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	-	-	Import file name
UPLOADMODE	VARCHAR2	1	-	-	Upload mode
UNITHOLDERID	VARCHAR2	12	-	-	Unit holder ID
CHASINGDATE	DATE	10	-	-	Chasing date
CHASERID	VARCHAR2	255	-	-	Chaser ID
CHASINGMODE	VARCHAR2	2	-	-	chasing mode
COMMENTS	VARCHAR2	255		-	Comments
AUTH_STAT	VARCHAR2	1		-	Authorisation Status: • A - Authorised • U - Unauthorised
USERID	VARCHAR2	320		-	Indicates the user ID who has entered the latest record.

1.18.2 Cheque Book Request Upload Format

This topic provides information on cheque book request upload format.

Table 1-95 Cheque Book Request Upload Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code

Table 1-95 (Cont.) Cheque Book Request Upload Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Mode of operation which has to be performed on the record
AMCID	VARCHAR2	12	Mandatory	-	AMC ID
UNITHOLDERID	VARCHAR2	12	Mandatory	-	Unit Holder ID
FUNDID	VARCHAR2	6	Mandatory	-	Fund ID
ENTITYID	VARCHAR2	12	Mandatory	-	Entity ID
CHECKBOOKNUMBER	VARCHAR2	16	Mandatory	-	Check Book Number
STARTCHECKNUMBER	VARCHAR2	12	Mandatory	-	Start Check Number
ENDCHECKNUMBER	VARCHAR2	12	Mandatory	-	End Check Number
CHECKCURRENCY	VARCHAR2	3	Mandatory	-	Check Currency
USERID	VARCHAR2	320	Mandatory	-	Indicates the user ID who has entered the latest record
AUTH_STAT	VARCHAR2	1	Optional	-	This filed indicates where this particular record is authorized or not. • A - Authorised • U - Unauthorised
MOD_NO	NUMBER	10	Optional	-	Indicates the max number of times the record is modified.
RECORD_STAT	CHAR	1	Optional	-	Indicates whether the record is • Open - O • Closed - C
ONCE_AUTH	CHAR	1	Optional	-	Indicates whether the record was authorized at least once after its creation. Authorized(Y)/ Never Authorized(N)

Table 1-95 (Cont.) Cheque Book Request Upload Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
STATUS	VARCHAR2	50	Optional	-	Indicates the Status. CHECKSTATUSMAINTAINED Check Status Maintained. CHECKBOOKAPPLIED Check Book Applied. CHECKBOOKEXHAUSTED Check Book Exhausted. SENTTOVENDOR Sent To Vendor. DISCREPANCYNOTICED Discrepancy Noticed. CANCELLED Check Cancelled.
REMARKS	VARCHAR2	200	Optional	-	Indicates the Remarks

1.19 Customer Fund Upload Format

This topic provides information on customer fund upload format.

Table 1-96 Customer Fund Upload Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEQUENCENUMBER	Number	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Mode of operation which has to be performed on the record
CIFNUMBER	VARCHAR2	20	Mandatory	-	CIF Number
MEDIA	VARCHAR2	15	Optional	-	Media
LOCATION	VARCHAR2	15	Optional	-	Location
FUNDID	VARCHAR2	6	Mandatory	-	Fund ID
NEWFUNDID	VARCHAR2	6	Optional	-	New Fund ID

Table 1-96 (Cont.) Customer Fund Upload Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
USERID	VARCHAR2	320	Mandatory	-	Indicates the user ID who has entered the latest record.
AUTH_STAT	VARCHAR2	1	Optional	-	Authorisation Status: • A - Authorised • U - Unauthorised
MODULEID	VARCHAR2	30	Mandatory	-	Module ID
AGENTCODE	VARCHAR2	12	Mandatory	-	Agent Code
BRANCHCODE	VARCHAR2	12	Mandatory	-	Branch Code

1.20 Customer Address Upload Format

This topic explains the systematic instructions on customer address upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on segment identification number of customer address upload format.

1.20.1 Segment Identification Number

This topic provides detailed information on segment identification number of customer address upload format.

Table 1-97 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
PROCESSID	VARCHAR2	50	Optional	-	Sequence Number
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Optional	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Mode of operation which has to be performed on the record
CIFNUMBER	VARCHAR2	20	Mandatory	-	CIF Number
MEDIA	VARCHAR2	15	Mandatory	-	Media

Table 1-97 (Cont.) Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
LOCATION	VARCHAR2	15	Mandatory	-	Location
ADDRESS1	VARCHAR2	105	Mandatory	-	Address 1
ADDRESS2	VARCHAR2	105	Optional	-	Address 2
ADDRESS3	VARCHAR2	105	Optional	-	Address 3
ADDRESS4	VARCHAR2	105	Optional	-	Address 4
ZIPCODE	VARCHAR2	10	Optional	-	ZIP Code
COUNTRY	VARCHAR2	3	Optional	-	Country
CONTACTPERSON	VARCHAR2	160	Optional	-	Contact Person
TELEPHONENUMBER1	VARCHAR2	60	Optional	-	Telephone Number 1
TELEPHONENUMBER2	VARCHAR2	60	Optional	-	Telephone Number 2
CELLPHONENUMBER	VARCHAR2	60	Optional	-	Cellphone Number
ENABLEDFLAG	VARCHAR2	1	Optional	-	Enabled Flag
USERID	VARCHAR2	320	Mandatory	-	Indicates the user ID who has entered the latest record
AUTH_STAT	VARCHAR2	1	Optional	-	Indicates the time when the record is created
MODULEID	VARCHAR2	30	Mandatory	-	Indicates the module ID
AGENTCODE	VARCHAR2	12	Mandatory	-	Indicates the agent code
BRANCHCODE	VARCHAR2	12	Mandatory	-	Indicates the branch code

1.21 EMP Upload Format

This topic explains the systematic instructions on EMP upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on segment identification number of EMP Upload Format.
- Employer Header Details
  This topic provides information on employer header details.

- Employer Details
  This topic provides information on Employer Details of EMP Upload Format.

1.21.1 Segment Identification Number

This topic provides detailed information on segment identification number of EMP Upload Format.

Table 1-98 Segment Identification Number

Segment Identification Number	Description	Attributes
01HDR	This segment is used for providing information related to Employer Header details	Mandatory
02DTL	This segment is used for providing information related to Employer details	Mandatory

1.21.2 Employer Header Details

This topic provides information on employer header details.

Serial Number: 1

Segment Identification Number: 01HDR

Description: This segment is used for providing information related to Employer Header details.

Attributes: Mandatory

Table 1-99 Employer Header Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	25	Mandatory	-	Segment ID - 01HDR
EMPLOYERCODE	VARCHAR2	25	Mandatory	-	Employer Code
EMPLOYERNAME	VARCHAR2	50	Mandatory	-	Employer Name
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Mode of operation which has to be performed on the record
CIFNUMBER	VARCHAR2	12	Mandatory	-	CIF Number
MEDIA	VARCHAR2	15	Optional	-	Media

Table 1-99 (Cont.) Employer Header Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
LOCATION	VARCHAR2	15	Optional	-	Location
FUNDID	VARCHAR2	6	Mandatory	-	Fund ID
NEWFUNDID	VARCHAR2	6	Mandatory	-	New Fund ID
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
AUTH_STAT	VARCHAR2	1	Optional	-	Authorisation Status: • A - Authorised • U - Unauthorised
MODULEID	VARCHAR2	30	Mandatory	-	Module ID
AGENTCODE	VARCHAR2	12	Mandatory	-	Agent Code
BRANCHCODE	VARCHAR2	12	Mandatory	-	Branch Code

1.21.3 Employer Details

This topic provides information on Employer Details of EMP Upload Format.

Serial Number: 2

Segment Identification Number: 02DTL

Description: This segment is used for providing information related to Employer details

Attributes: Mandatory

Table 1-100 Employer Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	25	Mandatory	-	Segment ID - 02DTL
EMPSURNAME	VARCHAR2	100	Mandatory	-	Employee Sur Name
EMPNAME	VARCHAR2	100	Mandatory	-	Employee Name
BROKERCODE	VARCHAR2	25	Mandatory	-	Broker Code
FUNDID	VARCHAR2	25	Mandatory	-	Fund ID
VALUEDATE	DATE	7	Mandatory	-	Value Date
UNITHOLDERID	VARCHAR2	25	Mandatory	-	UnitHolder ID

Table 1-100 (Cont.) Employer Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
EMPLOYEEID	VARCHAR2	25	Optional	-	Employee ID
EMPLYERID	VARCHAR2	25	Optional	-	Employer ID
TOTALCONTRIBUTION	VARCHAR2	30	Mandatory	-	Total Contribution
TOTALCONTRIBUTION	VARCHAR2	30	Mandatory	-	Basic Salary
EFFECTIVEEMPLOYEEPERCENTAGE	VARCHAR2	30	Mandatory	-	Effective Employee Percentage
EFFECTIVEEMPLOYERPERCENTAGE	VARCHAR2	30	Optional	-	Effective Employer Percentage
ADMINFEEPERCENTAGE	VARCHAR2	30	Mandatory	-	Admin Fee Percentage
ADMINFEE	VARCHAR2	30	Mandatory	-	Admin Fee
ADMINFEEFTERVAT	VARCHAR2	30	Mandatory	-	Admin Fee after VAT
FLATFEE	VARCHAR2	30	Mandatory	-	Flat Fee
BROKERFEEPERCENTAGE	VARCHAR2	30	Mandatory	-	Broker Percentage
BROKERFEEAMOUNT	VARCHAR2	30	Mandatory	-	Broker Fee Amount
BROKERFEEAMOUNTAFT ERVAT	VARCHAR2	30	Mandatory	-	Broker Fee Amount after VAT
TOTALDEDUCTIONS	VARCHAR2	30	Mandatory	-	Total Deductions
NETINVESTMENT	VARCHAR2	30	Mandatory	-	Net Investment
EMPLOYERCONTRIBUTION	NUMBER	30	Mandatory	-	Employer Contribution
EMPLOYEECONTRIBUTION	NUMBER	30	Mandatory	-	Employee Contribution
ADDINFO1	VARCHAR2	30	Mandatory	-	Addition Information 1
ADDINFO2	VARCHAR2	30	Mandatory	-	Additional Information 2
ADDINFO3	VARCHAR2	30	Mandatory	-	Additional Information 3

1.22 FATCA Upload Format

This topic explains the systematic instructions on FATCA upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on segment identification number of FATCA Upload Format.
- FATCA Maintenance Header Details
  This topic provides information on FATCA Maintenance Header Details.
- FATCA Maintenance Document Details
  This topic provides information on FATCA Maintenance Document Details.
- GFR Price Upload Format
  This topic provides information on GFR Price Upload Format.

1.22.1 Segment Identification Number

This topic provides detailed information on segment identification number of FATCA Upload Format.

Table 1-101 Segment Identification Number

Segment Identification Number	Description	Attributes
00FAT	This segment is used for providing information related to FATCA Maintenance Header Details	Mandatory
01DOC	This segment is used for providing information related to FATCA Maintenance Document Details	Mandatory

1.22.2 FATCA Maintenance Header Details

This topic provides information on FATCA Maintenance Header Details.

Serial Number: 1

Segment Identification Number: 00FAT

Description: This segment is used for providing information related to FATCA Maintenance header Details.

Attributes: Mandatory

Table 1-102 FATCA Maintenance Header Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 00FAT
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID

Table 1-102 (Cont.) FATCA Maintenance Header Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Mode of operation which has to be performed on the record
FATCAREFNO	VARCHAR2	16	Mandatory	-	Represents the FATCA Reference Number
FATCAENTITYTYPE	VARCHAR2	2	Mandatory	-	Represents the FATCA Entity Type
FATCAENTITYID	VARCHAR2	12	Mandatory	-	Represents the FATCA Entity ID
FATCAENTITYNAME	VARCHAR2	100	Mandatory	-	Represents the FATCA Entity name
FATCAENTITYCATTYPE	VARCHAR2	1	Mandatory	-	Represents the FATCA Entity Category type
FATCACLASSIFICATION	VARCHAR2	25	Mandatory	-	Represents the FATCA Classification
FATCACLASSIFICATIONREASON	VARCHAR2	25	Mandatory	-	Represents the FATCA Classification Reason
FATCACOMPLAINTREASON	VARCHAR2	255	Optional	-	Represents the FATCA Complaint Reason
AUTH_STAT	VARCHAR2	1	Mandatory	-	Represents the Authorisation Status: • A - Authorised • U - Unauthorised
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
REPORTABLE	NUMBER	1	Optional	-	FATCA Reportable - Yes/No
WITHHOLDABLE	NUMBER	1	Optional	-	FATCA Withholdable - Yes/No

1.22.3 FATCA Maintenance Document Details

This topic provides information on FATCA Maintenance Document Details.

Serial Number: 2

Segment Identification Number: 01DOC

Description: This segment is used for providing information related to FATCA Maintenance Document Details.

Attributes: Mandatory

Table 1-103 FATCA Maintenance Document Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 01DOC
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FATCAREFNO	VARCHAR2	16	Mandatory	-	FATCA Reference number
DOCUMENTTYPE	VARCHAR2	25	Mandatory	-	Represents the FATCA document type
ISSUEDATE	DATE	10	Mandatory	-	Represents the document issue date
EXPIRYDATE	DATE	10	Mandatory	-	Represents the document expiry date
COPYRECEIVED	VARCHAR2	1	Mandatory	-	Represents the Flag whether the document copy is received or not: • Y - Yes • N - No
ORIGINALRECEIVED	VARCHAR2	1	Mandatory	-	Represents the Flag whether the document original is received or not: • Y - Yes • N - No
RECEIVEDDATE	DATE	10	Mandatory	-	Represents the document received date
REMARKS	VARCHAR2	255	Mandatory	-	Represents the remarks
CHASINGDATE	DATE	10	Mandatory	-	Represents the document Chasing date
DOCUMENTREFNO	VARCHAR2	255	Mandatory	-	Represents the document reference number
DOCCATEGORY	VARCHAR2	25	Mandatory	-	Represents the document category
DOCUMENTID	VARCHAR2	25	Mandatory	-	Represents the document ID
MANDATORY	VARCHAR2	1	Mandatory	-	Represents the mandatory Criteria. Either it could be: • Standard - S nor • Additional - A

1.22.4 GFR Price Upload Format

This topic provides information on GFR Price Upload Format.

Table 1-104 GFR Price Upload Format

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Represents the Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Represents the Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Represents the Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Represents the Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Mode of operation which has to be performed on the record
FUNDID	VARCHAR2	12	Mandatory	-	Represents the Fund ID
PRICEDATE	DATE	7	Mandatory	-	Represents the Price Date
REVISEDPRICE	NUMBER	17	Mandatory	-	Represents the revised Price
LATESTPRICE	NUMBER	1	Mandatory	-	Represents the latest Price
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
RUNTYPE	VARCHAR2	4	Mandatory	-	Represents the run type
MOCKRUN	VARCHAR2	1	Mandatory	-	Flag to represent the mock run

1.23 GIIN Upload Format

This topic explains the systematic instructions on GIIN upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed informatio on GIIN upload format of segment identification number.

1.23.1 Segment Identification Number

This topic provides detailed informatio on GIIN upload format of segment identification number.

Table 1-105 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
SEQUENCENUMBER	NUMBER(10)		Yes	22	Sequence Number
PROCESSID	VARCHAR2(50)		Yes	200	Process ID

Table 1-105 (Cont.) Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory/ Optional	Characteristics/ rules	Values
IMPORTFILENAME	VARCHAR2(255)		Yes	1020	Import File Name
GIIN	VARCHAR2(19)		Yes	76	GIIN Code to be imported
FINM	VARCHAR2(30)		Yes	120	Describes the name field
COUNTRYNM	VARCHAR2(30)		Yes	120	Describes the country field

1.24 Help Desk Upload

This topic explains the systematic instructions on help desk upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on Help Desk Upload of segment identification number.
- Help Desk Information - 00HDM
  This topic provides information related to Help desk.
- Help Desk Information - 01HDE
  This topic provides information related to Help desk.

1.24.1 Segment Identification Number

This topic provides detailed information on Help Desk Upload of segment identification number.

Table 1-106 Segment Identification Number

Segment Identification Number	Description	Attributes
00HDM	This segment is used for providing information related to Help desk	Mandatory
01HDE	This segment is used for providing information related to Help desk	Mandatory

1.24.2 Help Desk Information - 00HDM

This topic provides information related to Help desk.

Serial Number: 1

Segment Identification Number: 00HDM

Description: This segment is used for providing information related to Help desk.

Attributes: Mandatory

Table 1-107 Help Desk Information - 00HDM

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value should be 00HDM
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File Name
UPLOADMODE	VARCHAR2	10	Mandatory	-	Mode of operation which has to be performed on the record
HELPDESKREFNO	VARCHAR2	12	Optional	-	Help desk Reference Number
REPORTINGENTITYTYPE	VARCHAR2	30	Mandatory	-	Reporting Entity Type
REPORTINGENTITYID	VARCHAR2	12	Mandatory	-	Reporting Entity ID
QUERYTYPE	VARCHAR2	10	Mandatory	-	Query Type
QUERYSEVERITY	VARCHAR2	10	Mandatory	-	Query Severity
QUERYCATEGORY	VARCHAR2	30	Mandatory	-	Query Category
PROBLEMDESCRIPTION	VARCHAR2	255	Mandatory	-	Problem Description
ATTENDEDBY	VARCHAR2	15	Mandatory	-	Attended By
HELPDESKSTATUS	VARCHAR2	10	Mandatory	-	Help desk Status
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
MODULEID	VARCHAR2	30	Mandatory	-	Represents the module ID
AMCID	VARCHAR2	12	Mandatory	-	Represents the agent code

1.24.3 Help Desk Information - 01HDE

This topic provides information related to Help desk.

Serial Number: 2

Segment Identification Number: 01HDE

Description: This segment is used for providing information related to Help desk.

Attributes: Mandatory

Table 1-108 Help Desk Information - 01HDE

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID. Value should be 01HDE
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
HELPDESKREFNO	VARCHAR2	12	Optional	-	Help desk Reference Number
EVENTDATE	DATE	7	Mandatory	-	Event Date
HELPDESKMODE	VARCHAR2	1	Mandatory	-	Help Desk Mode
EVENTMODE	VARCHAR2	1	Mandatory	-	Event Mode
EVENTDESCRIPTION	VARCHAR2	255	Mandatory	-	Event Description

1.25 Gen EPU Upload Format

This topic explains the systematic instructions on Gen EPU upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on Gen EPU upload format of segment identification number.

1.25.1 Segment Identification Number

This topic provides detailed information on Gen EPU upload format of segment identification number.

Table 1-109 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory / Optional	Characteristics/ rules	Values
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Mandatory	-	Dividend Distribution Fund ID
DIVIDENDFROMDATE	DATE	7	Optional	-	Dividend Period - From Date. If not provided, defaulted to Dividend Declaration Date.
DIVIDENDTODATE	DATE	7	Optional	-	Dividend Period - To Date. If not provided, defaulted to Dividend Declaration Date.

Table 1-109 (Cont.) Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory / Optional	Characteristics/ rules	Values
DIVIDENDFREEZEHOLDINGSDATE	DATE	7	Optional	-	Dividend Freeze Holdings Date. If not provided, defaulted to Dividend Declaration Date.
DIVIDENDDECLARATIONDATE	DATE	7	Mandatory	-	Dividend Declaration Date for the Fund.
COMPONENTVALUES	VARCHAR2	2000	Optional	-	Component Values with Component ID as ^ separator. For instance, ID^.10;FD^.22;FI^.12;LI^.32;TA^-.2; Pls note that tax/ deduction needs to be provided with - ve sign, system derives EPU and it will be summation of the components. Optional, Then EPU to be provided in the below field
EPU	NUMBER	22	Optional	-	Earnings Per Unit. If the Components are provided this will be ignored
PAYMENTDATE	DATE	7	Optional	-	Dividend Payment Date for the Fund. If not provided, while processing dividend system considers application date when the batch executed.
REINVESTMENTDATE	DATE	7	Optional	-	Dividend Reinvestment Date for the Fund. If not provided, while processing reinvestments system considers application date when the batch executed as reinvestment date.
NPICOMPONENT	VARCHAR2	1	Mandatory	-	NPI Component for the fund

1.26 Bank Statement Upload Format

This topic explains the systematic instructions on bank statement upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on bank statement upload format of segment identification number.

1.26.1 Segment Identification Number

This topic provides detailed information on bank statement upload format of segment identification number.

Table 1-110 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory / Optional	Characteristics/ rules	Values
KEYSTRING	VARCHAR2	50	No	-	Keystring
STATEMENTTYPE	VARCHAR2	7	Yes	-	Statement type
TRANSACTIONDATE	VARCHAR2	10	Yes	-	Transaction date field. If transaction type ref field is branch, this field should be valid branch number. If transaction ref type field is acc-no, then transaction date field should be a valid account number else transaction date should be a valid date.
TRANSACTIONTYPEREF	VARCHAR2	9	Yes	-	Transaction type ref. This field should have BRANCH, ACCNO, OPEN, CLOSE as mandatory . Other transaction types also can be included.
CREDITORDEBIT	VARCHAR2	1	Yes	-	Credit or debit. Credit is + and debit is -
TRANSACTIONAMOUNT	VARCHAR2	17	Yes	-	Transaction amount
STATEMENTREFERENCE	VARCHAR2	41	Yes	-	Statement reference
TRANSACTIONCODE	VARCHAR2	7	Yes	-	Transaction code
SERVICEFEE	VARCHAR2	6	Yes	-	Service fee

1.27 Bulk GL Setup Upload Format

This topic explains the systematic instructions on Bulk GL setup upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on Bulk GL Setup upload format of segment identification number.

1.27.1 Segment Identification Number

This topic provides detailed information on Bulk GL Setup upload format of segment identification number.

Table 1-111 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory / Optional	Characteristics/ rules	Values
PROCESSID	VARCHAR2	200	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	48	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	1020	Mandatory	-	Import File Name
FUNDID	VARCHAR2	24	Optional	-	Valid Fund ID in FCIS
GLTEMPLATEID	VARCHAR2	40	Mandatory	-	Valid GL Template ID
ACCOUNTTYPE	VARCHAR2	8	Mandatory	-	Valid Account Type
BUSINESSEVENT	VARCHAR2	8	Mandatory	-	Valid Business event
TRIGGEREVENT	VARCHAR2	60	Mandatory	-	Valid Trigger Event
THEROITICALFLAG	VARCHAR2	4	Mandatory	-	Theoretical Flag, Yes/ No
SETUPENABLED	VARCHAR2	4	Mandatory	-	GL setup enable disable flag, Yes/No
COMPONENTID	VARCHAR2	80	Mandatory	-	GL Component ID
COMPONENTDESCRIPTION	VARCHAR2	1020	Mandatory	-	GL Component Description
DEBITCREDITFLAG	VARCHAR2	8	Mandatory	-	Debit or Credit Flag
GLSETUPFORPRIMARY	VARCHAR2	4	Mandatory	-	GL Setup for Primary
PRIMARYENTITYTYPE	VARCHAR2	4	Mandatory	-	Primary Entity Type
ACCOUNTNUMBER	VARCHAR2	20	Mandatory	-	GL Account number for Primary
ACCOUNTCURRENCY	VARCHAR2	12	Mandatory	-	GL Account Currency for Primary
TRANSACTIONCURRENCY	VARCHAR2	12	Mandatory	-	Transaction Currency
BANKBRANCH	VARCHAR2	48	Optional	-	Bank Branch
DIRECTDEBITAPPLICABLE	VARCHAR2	4	Mandatory	-	Direct Debit applicable Yes/No
GLSETUPFORCONTRA	VARCHAR2	4	Mandatory	-	GL Setup for Contra

Table 1-111 (Cont.) Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory / Optional	Characteristics/ rules	Values
CONTRAPRIMARYENTITYTYPE	VARCHAR2	4	Mandatory	-	Contra Entity Type
CONTRAACCOUNTNUMBER	VARCHAR2	64	Mandatory	-	Contra GL Account Number
CONTRAACCOUNTCURRENCY	VARCHAR2	12	Mandatory	-	Contra GL Account Currency
CONTRATRANSACTIONCURRENCY	VARCHAR2	12	Mandatory	-	Contra Transaction Currency
CONTRABANKBRANCH	VARCHAR2	48	Optional	-	Contra Brank Branch
CONTRADIRECTDEBITAPPLICABLE	VARCHAR2	4	Mandatory	-	Contra Direct Debit Applicable Yes/No
RESTRICTEDREFTYPES	VARCHAR2	1020	Optional	-	Restricted Ref types for the GL setup(Delimited with ':')
GLCURRENCY	VARCHAR2	12	Mandatory	-	GL Currency
GLREFERENCENUMBER	VARCHAR2	40	Mandatory	-	GL Reference Number
PRODUCTID	VARCHAR2	40	Optional	-	Valid FCIS Product ID
PRODUCTORFUNDLEVEL	VARCHAR2	4	Mandatory	-	Indicates the GL setup is at fund level or product level
MODEODUPLOAD	VARCHAR2	4	Mandatory	-	Upload Mode
AUTH_STAT	VARCHAR2	4	Optional	-	Auth Status
RESTRICTEDSUBTYPES	VARCHAR2	1020	Optional	-	Restricted sub types for the GL setup(Delimited with ':')

1.28 Bulk FSA Upload Format

This topic explains the systematic instructions on bulk FSA upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on Bulk FSA upload format of segment identification number.

1.28.1 Segment Identification Number

This topic provides detailed information on Bulk FSA upload format of segment identification number.

Table 1-112 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory / Optional	Characteristics/ rules	Values
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Optional	-	Import File Name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Upload Mode
FSAREGISTRATIONNUMBER	VARCHAR2	8	Mandatory	-	FSA Registration Number
FSAREGISTRATIONSTATUS	VARCHAR2	1	Mandatory	-	FSA Registration Status
HOLDCLIENTMONEY	NUMBER	1	Mandatory	-	Hold Client Money
ENTITYID	VARCHAR2	12	Mandatory	-	Entity ID
ENTITYTYPE	VARCHAR2	1	Mandatory	-	Entity Type
USERID	VARCHAR2	15	Mandatory	-	Represents the user ID who has entered the latest record
MODULEID	VARCHAR2	12	Mandatory	-	Module ID

1.29 GO Confirmation Upload Format

This topic explains the systematic instructions on GO confirmation upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on GO confirmation upload format of segment identification number.

1.29.1 Segment Identification Number

This topic provides detailed information on GO confirmation upload format of segment identification number.

Table 1-113 Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory / Optional	Characteristics/ rules	Values
TXNSERIALNUMBER	NUMBER	22	Mandatory	-	Specifies the Transaction Serial Number

Table 1-113 (Cont.) Segment Identification Number

Field Name (as expected in the file)	Data type	Length	Mandatory / Optional	Characteristics/ rules	Values
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Specifies the Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Specifies the Process ID
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Specifies the Bulk Client code
IMPORTFILENAME	VARCHAR2	255	Optional	-	Specifies the Import File Name
AMCID	VARCHAR2	12	Mandatory	-	Specifies the AMC of the Global order
UPLOADMODE	VARCHAR2	1	Mandatory	-	Upload Mode. Value should be N
GONUMBER	VARCHAR2	16	Mandatory	-	Specifies the GO Number of the record
ALLOTEDUNITS	NUMBER	22	Mandatory	-	Specifies the Allotted units of Global order
CONFIRMEDUNITS	NUMBER	22	Mandatory	-	Specifies the Confirmed units of Global order
UNITPRICE	NUMBER	22	Mandatory	-	Specifies the unit Price used for allocation of Global order
CONFIRMATIONDATE	DATE	7	Mandatory	-	Specifies the Confirmation date of Global order
PRICEDATE	DATE	7	Mandatory	-	Specifies the Price date of Global order
AMOUNT	VARCHAR2	22	Mandatory	-	Specifies the Amount confirmed of Global order

1.30 Fund Upload Format

This topic explains the systematic instructions on Fund upload format.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on Fund upload format of segment identification number.
- Fund Demographics Details
  This topic provides information on Fund Demographics Details.
- Fund Language Details
  This topic provides information on Fund Language Details.
- Shares Characteristics Details
  This topic provides information on shares characteristics details.
- Fund Unitholder Category Registered Capital Details
  This topic provides information on fund unitholder category registered capital details.

- General Operating Rules
  This topic provides information on general operating rules.
- Transaction Processing Rules Details
  This topic provides information on transaction processing rules details.
- Transaction Processing Rules Communication Mode Details
  This topic provides information on Transaction Processing Rules Communication Mode Details.
- Fund Investor Category Transaction Limits Details
  This topic provides information on fund investor category transaction limits details.
- Fund SI Frequency Details
  This topic provides information on fund SI frequency details.
- Fund Sale Country Details
  This topic provides information on fund sale country details.
- Fund Residency Country Details
  This topic provides information on fund residency country details.
- Fund Transaction Currency Details
  This topic provides information on fund transaction currency details.
- Income Distribution Rule Details
  This topic provides information on income distribution rule details.
- Fund Load Maintenance Details
  This topic provides information on fund load maintenance details.
- Fund Formula Maintenance Details
  This topic provides information on fund formula maintenance details.
- Fund Additional Information Details
  This topic provides information on Fund Additional Information Details.
- Specific Fund Price Date Setup Details
  This topic provides information on Specific Fund Price Date Setup Details.
- Fund User Defined Price Dates Details
  This topic provides information on fund user defined price dates details.
- Fund IRA Details
  This topic provides information on fund IRA details.
- Fund Delivery Instruction Details
  This topic provides information on fund delivery instruction details.
- Fund Investment Account Details
  This topic provides information on fund investment account details.
- Fund Investment Account SI Details
  This topic provides information on fund investment account SI details.
- KYC Fund Details
  This topic provides information on KYC fund details.
- Hedge Fund Rules Details
  This topic provides information on hedge fund rules details.
- Hedge Fund Link Details
  This topic provides information on hedge fund link details.
- Hedge Fund Investor Category Performance Fee Details
  This topic provides information on hedge fund investor category performance fee details.

- Multi Distribution Details
  This topic provides information on multi distribution details.
- Reinvestment Details Format
  This topic provides information on reinvestment details format.
- Fund Price Currencies
  This topic provides information on fund price currencies.

1.30.1 Segment Identification Number

This topic provides detailed information on Fund upload format of segment identification number.

Table 1-114 Segment Identification Number

Segment Identification Number	Description	Attributes
00FDR	This segment is used for providing information related to Fund Demographics	Mandatory
01FLT	This segment is used for providing information related to Fund Language	Optional
02SCR	This segment is used for providing information related to Shares Characteristics	Mandatory
03FUH	This segment is used for providing information related to Fund Unitholder Category Registered Capital	Optional
04GOR	This segment is used for providing information related to General Operating Rules	Mandatory
05TRP	This segment is used for providing information related to Transaction Processing Rules	Mandatory
06TRC	This segment is used for providing information related to Transaction Processing Rules Communication Mode	Optional
07FIC	This segment is used for providing information related to Fund Investor Category Transaction Limits	Optional
08FSF	This segment is used for providing information related to Fund SI Frequency Details	Optional
09FSC	This segment is used for providing information related to Fund Sale Country	Optional
10FRL	This segment is used for providing information related to Fund Residency Country	Optional
11FTC	This segment is used for providing information related to Fund Transaction Currency	Optional
12IDR	This segment is used for providing information related to Income Distribution Rule	Optional
13FLM	This segment is used for providing information related to Fund Load Maintenance	Optional
14FFM	This segment is used for providing information related to Fund Formula Maintenance	Optional
15FAI	This segment is used for providing information related to Fund Additional Information	Optional
16SDS	This segment is used for providing information related to Specific Fund Price date Setup	Optional

Table 1-114 (Cont.) Segment Identification Number

Segment Identification Number	Description	Attributes
17FPD	This segment is used for providing information related to Fund User Defined Price Dates	Optional
18IRA	This segment is used for providing information related to Fund IRA	Optional
19FDI	This segment is used for providing information related to Fund Delivery Instruction	Optional
20FIA	This segment is used for providing information related to Fund Investment Account	Optional
21FIS	This segment is used for providing information related to Fund investment Account SI	Optional
22KYC	This segment is used for providing information related to KYC Fund	Optional
23HFR	This segment is used for providing information related to Hedge Fund Rules	Optional
24HFL	This segment is used for providing information related to Hedge Fund Link	Optional
25HFC	This segment is used for providing information related to Hedge Fund Investor Category Performance Fee	Optional
26MID	This segment is used for providing information related to Multi Distribution Details	Optional

1.30.2 Fund Demographics Details

This topic provides information on Fund Demographics Details.

Serial Number: 1

Segment Identification Number: 00FDR

Description: This segment is used for providing information related to Fund Demographics.

Attributes: Mandatory

Table 1-115 Fund Demographics Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 00FDR
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
BULKCLIENTCODE	VARCHAR2	12	Optional	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	Optional	-	Import File Name

Table 1-115 (Cont.) Fund Demographics Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
UPLOADMODE	VARCHAR2	1	Mandatory	-	Operation mode which will be performed on the Upload records. It can have: • N-New • D- Delete • A-Auth • E- Modify
USERID	VARCHAR2	320	Mandatory	-	Indicates the user ID who has entered the latest record
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
FUNDSTARTDATE	DATE	7	Mandatory	-	Fund Start Date
FUNDNAME	VARCHAR2	60	Mandatory	-	Fund Name
FUNDNAMESHORT	VARCHAR2	15	Mandatory	-	Fund Name Short
FUNDCLASS	VARCHAR2	1	Optional	-	Fund Class: • O - Open- Ended • C - Close- Ended
FUNDTYPE	NUMBER	22	Optional	-	Fund Type
FUNDFAMILY	VARCHAR2	6	Optional	-	Fund Family
FUNDBASECURRENCY	VARCHAR2	3	Optional	-	Fund Base Currency
FUNDCOUNTRY	VARCHAR2	3	Optional	-	Fund Country
FISCALSTARTYEAR	DATE	7	Optional	-	Fiscal Year Start Date
FISCALENDYEAR	DATE	7	Optional	-	Fiscal Year End Date
AMCID	VARCHAR2	12	Optional	-	AMC ID
BROKERSALLOWED	NUMBER	22	Optional	-	Brokers Mandatory: • 1 - Yes • 0 - No
REGISTRARID	VARCHAR2	12	Optional	-	Registrar ID
TRUSTEEID	VARCHAR2	12	Optional	-	Trustee ID
FUNDENABLED	NUMBER	22	Optional	-	Fund Enabled: • 1 - Yes • 0 - No
MINHOLDINGPERIOD	NUMBER	22	Optional	-	Minimum Holding Period

Table 1-115 (Cont.) Fund Demographics Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
PRIMARYREGADDRESS	VARCHAR2	255	Optional	-	Primary Registration Address
FUNDTAXID	VARCHAR2	25	Optional	-	Fund Tax ID
FUNDIDENTIFICATIONNUMBER	VARCHAR2	25	Optional	-	Fund Identification Number
FUNDTICKERSYMBOL	VARCHAR2	25	Optional	-	Fund Ticker Symbol
ALLOWIRAINVESTMENTS	NUMBER	22	Optional	-	IRA Investments Allowed: • 1 - Yes • 0 - No
EXISTINGFUND	VARCHAR2	1	Optional	-	Existing Fund: • 1 - Yes • 0 - No
CUSTODIAN	VARCHAR2	12	Optional	-	Custodian
FUNDSUSPENDED	NUMBER	22	Optional	-	Fund Suspended: • 1 - Yes • 0 - No
MINAMOUNFORTAXIDINDV	NUMBER	22	Optional	-	Min Amt for Tax ID - Indv
MINAMOUNTFORTAXIDCOR	NUMBER	22	Optional	-	Min Amt for Tax ID - Corp
HEDGEFUND	VARCHAR2	1	Optional	-	Hedge Fund: • Y - Yes • N - No
DEFAULTOPTION	VARCHAR2	15	Optional	-	Default Option

1.30.3 Fund Language Details

This topic provides information on Fund Language Details.

Serial Number: 2

Segment Identification Number: 01FLT

Description: This segment is used for providing information related to Fund Language.

Attributes: Optional

Table 1-116 Fund Language Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 01FLT

Table 1-116 (Cont.) Fund Language Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEQUENCENUMBER	NUMBER	22	Optional	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Mandatory	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
LANGUAGECODE	VARCHAR2	12	Mandatory	-	Language Code
FUNDNAME	VARCHAR2	60	Optional	-	Fund Name

1.30.4 Shares Characteristics Details

This topic provides information on shares characteristics details.

Serial Number: 3

Segment Identification Number: 02SCR

Description: This segment is used for providing information related to Shares Characteristics.

Attributes: Mandatory

Table 1-117 Shares Characteristics Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 02SCR
SEQUENCENUMBER	NUMBER	22	Optional	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Mandatory	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Optional	-	Rule Effective Date
SCRIPBASED	NUMBER	22	Optional	-	Scrip Based: • 2 - Certificate - Option • 1 - Scrip Based • 0 - Scrip Less
PARVALUE	NUMBER	22	Optional	-	Unit Par Value
NOOFDECIMALSFORUNITS	NUMBER	22	Optional	-	Rounding Precision For Units

Table 1-117 (Cont.) Shares Characteristics Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
ROUNDOFFTRUNCATE	VARCHAR2	1	Optional	-	Rounding Option For Units: • R - Round Off • T - Round Down • U - Round Up
MINNOOFUNITHOLDERS	NUMBER	22	Optional	-	Min No Of Unit Holders
MAXNOOFUNITHOLDERS	NUMBER	22	Optional	-	Max No Of Unit Holders
MINREGISTEREDCAPITAL	NUMBER	22	Optional	-	Min Registered Capital
MAXREGISTEREDCAPITAL	NUMBER	22	Optional	-	Max Registered Capital
CAPINDICATOR	VARCHAR2	1	Optional	-	Limit By: • A - Amount • P - Percentage
FOREIGNINVESTORSLIMIT	NUMBER	22	Optional	-	Limit Value
ACCOUNTSTATEMENTFREQ	VARCHAR2	22	Optional	-	Account statement frequency
ACCOUNTSTATEMENTLEADTIME	NUMBER	22	Optional	-	A/c Statement Lead Time
PRINTACCOUNTSTATEMENT	NUMBER	22	Optional	-	Print Account Statement
PRINTHOLDINGSSTATEMENT	NUMBER	22	Optional	-	Print Holding Statement
LASTSTATEMENTNUMBER	NUMBER	22	Optional	-	Last Statement Number
STANDARDLOT	NUMBER	22	Optional	-	Standard Lot
PREFIXCERTCODE	VARCHAR2	1	Optional	-	Prefix Code
STARTCERTISSUEDNO	NUMBER	22	Optional	-	Start Issue No
LASTCERTISSUEDNO	NUMBER	22	Optional	-	Last Issue No
PREFIXDNRCODE	VARCHAR2	1	Optional	-	Prefix DNR Code
STARTDNRISSUEDNO	NUMBER	22	Optional	-	Start DNR No.
LASTDNRISSUEDNO	NUMBER	22	Optional	-	Last DNR No.
SINGLECERTALLOWED	NUMBER	22	Optional	-	Single Certificate Allowed ? • 1 - Yes • 0 - No
MINCERTDENOMINATION	NUMBER	22	Optional	-	Min Cert Denomination

Table 1-117 (Cont.) Shares Characteristics Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
MAXCERTDENOMINATION	NUMBER	22	Optional	-	Max Cert Denomination
USEROVERRIDEALLOWED	NUMBER	22	Optional	-	User Override Allowed
CERTREQD	NUMBER	22	Optional	-	Certificate Required For Block ? • 1 - Yes • 0 - No
MAXREGISTEREDUNITS	NUMBER	22	Optional	-	Maximum Registered Units
UHCATREGCAPITAL	VARCHAR2	2000	Optional	-	Unit Holder Category Registered Capital

1.30.5 Fund Unitholder Category Registered Capital Details

This topic provides information on fund unitholder category registered capital details.

Serial Number: 4

Segment Identification Number: 03FUH

Description: This segment is used for providing information related to Fund Unitholder Category Registered Capital.

Attributes: Optional

Table 1-118 Fund Unitholder Category Registered Capital Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 03FUH
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
UHCATEGORY	VARCHAR2	2	Mandatory	-	UnitHolder Category
MAXREGUNITS	NUMBER	22	Optional	-	Maximum Registered Units

1.30.6 General Operating Rules

This topic provides information on general operating rules.

Serial Number: 5

Segment Identification Number: 04GOR

Description: This segment is used for providing information related to General Operating Rules.

Attributes: Mandatory

Table 1-119 General Operating Rules

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 04GOR
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
POSTPRICED	NUMBER	22	Optional	-	Post Priced ? • 1 - Yes • 0 - No
FUNDRISKLEVEL	VARCHAR2	1	Optional	-	Indicates the risk level of the fund
NEXTPRICEDECLAREDATE	DATE	7	Optional	-	Next Price Declare Date
PRICEDECLAREFREQ	VARCHAR2	1	Optional	-	Price Declare Frequency
NOOFDECIMALSFORNAV	NUMBER	22	Optional	-	No of Decimals for NAV
ROUNDOFFTRUNCATE	VARCHAR2	1	Optional	-	Rounding Options for NAV: • R - Round Off • T - Round Down • U - Round Up
MATURITYDATE	DATE	7	Optional	-	Maturity Date
RETAINIPOBALANCE	NUMBER	22	Optional	-	Retain IPO Balance? • 1 - Yes • 0 - No
CONFBEFALCREQUIRED	NUMBER	22	Optional	-	Allow Rejections Before Allocation? • 1 - Yes • 0 - No

Table 1-119 (Cont.) General Operating Rules

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
AUTOREDEMPTIONALLOWED	NUMBER	22	Optional	-	Automatic Redemption Allowed? • 1 - Yes • 0 - No
MINIMUMBALANCETYPE	VARCHAR2	1	Optional	-	Minimum Balance Type: • A - Amount • U - Units
MINIMUMBALANCE	NUMBER	22	Optional	-	Minimum Balance
ELAPSEDDAYSBEFOREAUTORED	NUMBER	22	Optional	-	Elapsed Days
CHQALLOTONCLGDATE	NUMBER	22	Optional	-	Allotment On Check Clearing: • 1 - Yes • 0 - No
AGEINGBASEDON	VARCHAR2	1	Optional	-	Ageing Policy: • F - FIFO • I - IOF/IRRF Optimization • T - Txn Receipts • P - FIFO Across Products • H - Hierarchy
MAINTAINTRADINGBOX	NUMBER	22	Optional	-	Maintain Trading Box: • 1 - Yes • 0 - No
NAVLOT	NUMBER	22	Optional	-	NAV Lot
CDSCFUND	VARCHAR2	2	Optional	-	CDSC Fund ?
CDSCAPPLICABLEFORSWITCHES	NUMBER	22	Optional	-	CDSC Applicable for Switches? • 1 - Yes • 0 - No
REINVESTMENTFIRST	NUMBER	22	Optional	-	Reinvestment First: • 1 - Yes • 0 - No
VATVALUE	NUMBER	22	Optional	-	VAT Percentage
CHECKFEATUREEXISTS	NUMBER	22	Optional	-	Allow Check Writing: • 1 - Yes • 0 - No
MINCHECKAMOUNT	NUMBER	22	Optional	-	Minimum Amount For Checks
MAXCHECKAMOUNT	NUMBER	22	Optional	-	Maximum Amount For Checks
MINCHECKHOLDINGPERIOD	NUMBER	22	Optional	-	Minimum Holding Period (Days)

Table 1-119 (Cont.) General Operating Rules

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CHECKACCOUNTCLOSURE	NUMBER	22	Optional	-	Account Closure? • 1 - Yes • 0 - No
CHECKREDEMPTIONPENALTY	NUMBER	22	Optional	-	Penalty Applicable ? • 1 - Yes • 0 - No
HOLDINGPERIODPENALTY	NUMBER	22	Optional	-	Minimum Holding Period: • 1 - Yes • 0 - No
BALANCEPENALTY	NUMBER	22	Optional	-	Insufficient Account Balance: • 1 - Yes • 0 - No
STOPPAYMENTPENALTY	NUMBER	22	Optional	-	Stop Payment Request on Check: • 1 - Yes • 0 - No
AMTLIMITPENALTY	NUMBER	22	Optional	-	Minimum And Maximum Check Amount Violation: • 1 - Yes • 0 - No
INTERESTRATE	NUMBER	22	Optional	-	Interest Rate
PERFORMANCEFEETHRESHOLD	NUMBER	22	Optional	-	Performance Fee Threshold
LIMITORDERALLOWED	NUMBER	22	Optional	-	Allow Limit Order: • 1 - Yes • 0 - No
FUTURELIMITORDERALLOWED	NUMBER	22	Optional	-	Allow Future Dates Txn: • 1 - Yes • 0 - No
AUTOLIMITORDERPROCESS	NUMBER	22	Optional	-	Automatic Processing: • 1 - Yes • 0 - No
PRICINGRULE	VARCHAR2	1	Optional	-	Pricing Rule: • P - Prior • A - After
MULTIPLEPRICEBASIS	NUMBER	22	Mandatory	-	Multiple Price Basis: • 1 - Yes • 0 - No
MINHOLDINGAMOUNT	NUMBER	22	Optional	-	Minimum Holdings Amount
MINHOLDINGUNITS	NUMBER	22	Optional	-	Minimum Holdings Units
MINHOLDINGAPPLYFOR	VARCHAR2	1	Optional	-	Minimum Holdings Apply For: • C - CIF • U - Unit Holder

Table 1-119 (Cont.) General Operating Rules

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CANCELINSTRUCTION	VARCHAR2	2	Optional	-	Cancel Instruction
MINHOLDINGINDICATOR	VARCHAR2	1	Optional	-	Minimum Holdings Indicator: • N - Not Applicable • A - Amount • U - Units • L - Lower of Amount/ Units • H - Higher of Amount/ Units
TXNCANCELLATIONALLOWED	NUMBER	22	Optional	-	Transaction Cancellation Allowed: • 1 - Yes • 0 - No
TXNCANCELLATIONPERIOD	NUMBER	22	Optional	-	Transaction Cancellation Period
ALLOWSWITCHINGINCANCELPERIOD	NUMBER	22	Optional	-	Allow Switching in Cancellation Period: • 1 - Yes • 0 - No
CANCELLATIONPRICEBASIS	VARCHAR2	10	Optional	-	Cancellation Price Basis
MINTHRESHHOLDLIMIT	NUMBER	22	Optional	-	Minimum Threshold Limit
EUSDSCOPE	VARCHAR2	1	Optional	-	EUSD Scope: • R - Redemption and Switch • D - Dividend • B - Both
AGEINGDATE	VARCHAR2	1	Optional	-	Ageing Date: • T - Transaction Date • C - Confirmation Date • D - Dealing Date
INVESTMENTSIMULATIONMETHOD	VARCHAR2	1	Optional	-	Investment Simulation Method: • N - Not Allowed • H - Historic NAV Based
MINLOCKINPERIODFORGAIN	NUMBER	22	Optional	-	Min Lock-In Period for Gain(Days)
MAXLOCKINPERIODFORGAIN	NUMBER	22	Optional	-	Max Lock-In Period for Gain(Days
DEFAULTLOCKINPERIODFORGAIN	NUMBER	22	Optional	-	Max Lock-In Period for Gain(Days
AMOUNTBLOCKALLOWED	NUMBER	22	Optional	-	Amount Block Allowed: • 1 - Yes • 0 - No

Table 1-119 (Cont.) General Operating Rules

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CALCULATEFEEINTXNCCY	NUMBER	22	Mandatory	-	Allow Fee Calculation In Transaction Currency: • 1 - Yes • 0 - No
INCLUDEHOLDINGPERIOD	VARCHAR2	1	Optional	-	Include Holding Period: • 1 - Yes • 0 - No
BLOCKPRIORITYNO	NUMBER	22	Optional	-	Block Priority Number
DIVIDENDEQAPPLICABLE	NUMBER	22	Optional	-	Dividend Equalization Applicable: • 1 - Yes • 0 - No
FATCAAPPLICABLE	NUMBER	1	Optional	-	FATCA Applicable
FATCACLASSIFICATION	VARCHAR2	25	Optional	-	FATCA Classification
FATCAREASON	VARCHAR2	255	Optional	-	FATCA Reason
FATCAEIN	VARCHAR2	50	Optional	-	Employer ID Number
FATCAEFFECTIVEDATE	DATE	10	Optional	-	FATCA Effective Date
UCITSFUND	NUMBER	1	Optional	-	UCITS Fund: • 1 - Yes • 0 - No
DILUTIONLEVYAPPLICABLE	VARCHAR2	5	Optional	-	Dilution Levy Applicable: • Y - Yes • N - No
INFLOWBREACHFACTOR	NUMBER	5	Optional	-	Inflow Breach Factor%
OUTFLOWBREACHFACTOR	NUMBER	5	Optional	-	Outflow Breach Factor%
THRESHOLDBASIS	VARCHAR2	12	Optional	-	Threshold Basis: • A - Amount • P - Percentage
THRESHOLDVALUE	NUMBER	30	Optional	-	Threshold Value
SWINGPRICEBASIS	NUMBER	1	Optional	-	Swinging Price Basis: • 1 - Yes • 0 - No • 2 - Semi swinging Price

1.30.7 Transaction Processing Rules Details

This topic provides information on transaction processing rules details.

Serial Number: 6

Segment Identification Number: 05TRP

Description: This segment is used for providing information related to Transaction Processing Rules

Attributes: Mandatory

Table 1-120 Transaction Processing Rules Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 05TRP
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Transaction Type
GROSSORNET	VARCHAR2	1	Optional	-	Gross or Net
TRANSACTIONSTARTDATE	DATE	7	Optional	-	Start Date
TRANSACTIONENDDATE	DATE	7	Optional	-	End Date
TRANSACTIONFREQUENCY	VARCHAR2	1	Optional	-	Transaction Fre- quency
TRANSACTIONSTARTPERIOD	NUMBER	22	Optional	-	Start Period
TRANSACTIONENDPERIOD	NUMBER	22	Optional	-	End Period
TRANSACTIONSTARTTIME	DATE	7	Optional	-	Start Time (HH24:MI:SS)
TRANSACTIONENDTIME	DATE	7	Optional	-	End Time (HH24:MI:SS)
MINTRANSACTIONAMOUNT	NUMBER	22	Optional	-	Minimum Amount
STEPAMOUNT	VARCHAR2	22	Optional	-	Step Amount
MAXTRANSACTIONAMOUNT	NUMBER	22	Optional	-	Maximum Amount
MINTRANSACTIONUNITS	NUMBER	22	Optional	-	Minimum Units

Table 1-120 (Cont.) Transaction Processing Rules Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
STEPUNITS	NUMBER	22	Optional	-	Step Units
MAXTRANSACTIONUNITS	NUMBER	22	Optional	-	Maximum Units
ONLINEALLOCATION	NUMBER	22	Optional	-	Online Allocation? 1 - Yes, 0 - No
ALLOCATIONPERVSREGCAPITAL	NUMBER	22	Optional	-	Allocation Percentage Versus Registered Capital
ALLOCATIONPOLICY	VARCHAR2	1	Optional	-	Allocation Policy. F - FIFO, P - Proportionate
NOOFTXNALLOWEDFORFREQ	VARCHAR2	1	Optional	-	Frequency.D - Daily, W - Weekly, F - Bi- Weekly, M - Monthly, Q - Quarterly, H - Half Yearly, Y - Yearly
NOOFTXNALLOWEDPERUH	NUMBER	22	Optional	-	Limit(No of Txn Allowed per UH)
NOOFFREETXNALLOWEDPERUH	NUMBER	22	Optional	-	Free(No of Free Txn Allowed per UH)
ALLOWBACKDATING	NUMBER	22	Optional	-	Back-Dating Allowed.1 - Yes, 0 - No
BACKDATINGLIMIT	NUMBER	22	Optional	-	Back-Dating Limit
FUNDTRANSACTIONCOUNTER	NUMBER	22	Optional	-	Counter(Fund Txn Counter)
ACKNOWLEDGEMENTREQUIRED	NUMBER	22	Optional	-	Acknowledgement Note Required.1 - Yes, 0 - No
CONFIRMATIONREQUIRED	NUMBER	22	Optional	-	Confirmation Note Required.1 - Yes, 0 - No
BASEPRICEDEFINITION	VARCHAR2	60	Optional	-	Base Price Definition
BASEPRICEFACTOR	NUMBER	22	Optional	-	Base Price Factor (%)
NOOFDECIMALSFORBASEPRICE	NUMBER	22	Optional	-	Rounding Precision For Base Price
ROUNDOFFTRUNCATEBASEPRICE	VARCHAR2	1	Optional	-	Rounding Options For Base Price. R - Round Off, T - Truncate
TRANSACTIONENABLED	NUMBER	22	Optional	-	Transaction Enabled.1 - Yes, 0 - No
CROSSBRANCHALLOWED	NUMBER	22	Optional	-	Cross-Branching Allowed. 1 - Yes, 0 - No
PRICELAG	NUMBER	22	Optional	-	Price Lag
ALLOCATIONLAG	NUMBER	22	Optional	-	Allocation Lag
CONFIRMATIONLAG	NUMBER	22	Optional	-	Confirmation Lag

Table 1-120 (Cont.) Transaction Processing Rules Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CHQALLOCONPARWITHCASH	NUMBER	22	Optional	-	Check On Par With Cash. 1 - Yes, 0 - No
HOLDINGPERIODAPPLICABLE	NUMBER	22	Optional	-	Holding Period Applicable. 1 - Yes, 0 - No
RETAINAGEINGTOSUBSCRIPTION	NUMBER	22	Optional	-	Retain Ageing To Subscription. 1 - Yes, 0 - No
CREDITCARDALLOCONPARWITHCASH	NUMBER	22	Optional	-	Credit Card On Par With Cash. 1 - Yes, 0 - No
LIMITREDEMPTIONMODE	VARCHAR2	1	Optional	-	Limit Mode. P - Percent, A - Amount, U - Units
NATUREOFLIMIT	VARCHAR2	1	Optional	-	Carry Forward. C - Carry
TRANSFERALLOCONPARWITHCASH	NUMBER	22	Optional	-	Transfer On Par With Cash. 1 - Yes, 0 - No
MININITIALTXNAMOUNT	NUMBER	22	Optional	-	Min. Initial Transaction Amount
MININITIALTXNUNITS	NUMBER	22	Optional	-	Min. Initial Transaction Units
MINSITXNAMOUNT	NUMBER	22	Optional	-	SI Minimum Amount
MAXSITXNAMOUNT	NUMBER	22	Optional	-	SI Maximum Amount
MINSITXNUNITS	NUMBER	22	Optional	-	SI Minimum Units
MAXSITXNUNITS	NUMBER	22	Optional	-	SI Maximum Units
EXISTINGUH	VARCHAR2	2	Optional	-	Restrict Sale to Investor. 1 - Yes, 0 - No
NOOFDECIMALSFORUNITPRICE	NUMBER	22	Optional	-	Rounding Precision For Units
ROUNDOFFTRUNCATEUNITPRICE	VARCHAR2	1	Optional	-	Rounding Options For Unit Price.R - Round Off, T - Round Down, U - Round Up
ROUNDOFFTRUNCATEUNITS	VARCHAR2	1	Optional	-	Rounding Options For Units.R - Round Off, T - Round Down, U - Round Up
PAYMENTLAG	NUMBER	22	Optional	-	Payment Lag (Days)
TRANSACTIONCUTOFFTIME	DATE	7	Optional	-	Cut Off Time(HH24:MI)
CUTOFFTIME	VARCHAR2	8	Optional	-	Cut Off Time(HH24:MI)
ENFORCEHOLDINGPERIOD	NUMBER	22	Optional	-	Enforce Holding Period. 1 - Yes, 0 - No
APPLYENTRYTIMELOAD	NUMBER	22	Optional	-	Apply Entry Time Load. 1 - Yes, 0 - No

Table 1-120 (Cont.) Transaction Processing Rules Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
IPOMAXISSUESIZE	NUMBER	22	Optional	-	Maximum IPO Issue Size
TRANSACTIONSUSPENDED	NUMBER	1	Optional	-	Transaction Suspended. 1 - Yes, 0 - No
MINSI-HOLDINGAMOUNT	NUMBER	22	Optional	-	SI Minimum Holdings Amount
MINSIHOLDINGUNITS	NUMBER	22	Optional	-	SI Minimum Holdings Units
MINSIHOLDINGAPPLYFOR	VARCHAR2	1	Optional	-	SI Minimum Holdings Apply For. C - CIF, U - Unit Holder
MINSIHOLDINGINDICATOR	VARCHAR2	1	Optional	-	SI Minimum Holdings Indicator.N - Not Applicable, A - Amount, U - Units, L - Lower of Amount/ Units, H - Higher of Amount/Units
PRICELAGCALENDARBASIS	VARCHAR2	1	Optional	-	Price Lag Calendar Basis. C - Actual Cal- endar, S - System Calendar, F - Fund Calendar
SIDESCRIPTION	VARCHAR2	255	Optional	-	Default SI Description
SIHOLIDAYRULE	VARCHAR2	1	Optional	-	Holiday Rule. P - Prior, A - After
SICALENDERBASIS	VARCHAR2	1	Optional	-	Calendar Basis. C - Actual Calendar, S - System Calendar, F - Fund Calendar
SIGENDAY	VARCHAR2	3	Optional	-	Specific Gen.Day
SIFREQUENCY	VARCHAR2	1	Optional	-	Default SI Frequency
SIGENCYCLE	VARCHAR2	1	Optional	-	SI Generation Freq. M-Monthly, Q - Quarterly, H - Half Yearly, Y - Yearly
SICUTOFFDAY	NUMBER	22	Optional	-	SI Cut-Off Day
SICUTOFFLAG	NUMBER	22	Optional	-	SI Cut-off Lag
ENFORCESIRULE	NUMBER	22	Optional	-	Enforce Generation
PAYMENTLAGCALENDARBASIS	VARCHAR2	1	Optional	-	Payment Lag Calen- dar Basis. C - Actual Calendar, Y - Currency Calendar, S - System Calendar, F - Fund Calendar
AMOUNTORUNITS	VARCHAR2	1	Optional	-	Amounts OR Units
LINKTOUNSETTLEDINFLOWS	NUMBER	22	Optional	-	Link To Unsettled Trades?. 1 - Yes, 0 - No

Table 1-120 (Cont.) Transaction Processing Rules Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
PSEUDOSWITCHLAG	NUMBER	22	Optional	-	Pseudo Switch Lag
ALLOWSYSTEMATICPLANS	NUMBER	22	Optional	-	Allow Systematic Plans?. 1 - Yes, 0 - No
MAXINITIALTXNAMOUNT	NUMBER	22	Optional	-	Max. Initial Transaction Amount
MAXINITIALTXNUNITS	NUMBER	22	Optional	-	Max. Initial Transaction Units
PSEUDOSWITCHLAGCALENDARBASIS	VARCHAR2	1	Optional	-	Pseudo Switch Calendar Basis. C - Actual Calendar, S - System Calendar, F - Fund Calendar
FULLOUTFLOW	NUMBER	22	Optional	-	Full Outflow. 1 - Yes, 0 - No
MAXNOOFSITRANSACTIONS	NUMBER	22	Optional	-	Max No. of SI Txns
MAXVALIDITY	NUMBER	22	Optional	-	Max. Validity(Days)
SILEADTIME	NUMBER	22	Optional	-	SI Lead Time
APPLICABLEACCOUNTTYPES	VARCHAR2	255	Optional	-	Applicable Account Types
INFLOWOVERRIDABLEMSG	NUMBER	22	Optional	-	Inflow Overridable Msg. 1 - Yes, 0 - No
MINMATURITYPERIOD	NUMBER	22	Optional	-	Min. Maturity Period (Days)
MAXMATURITYPERIOD	NUMBER	22	Optional	-	Max. Maturity Period (Days)
FUTUREDATELIMIT	NUMBER	22	Optional	-	Future Date Limit
UHCALENDARBASIS	VARCHAR2	2	Optional	-	Cycle Basis
NOOFDECIMALSFORUNITS	NUMBER	22	Optional	-	No. of Decimals
NOTICEPERIODDATEBASIS	VARCHAR2	1	Optional	-	Notice Period Date Basis.C - Actual Calendar, S - System Calendar
NOTICEPERIOD	NUMBER	22	Optional	-	Notice Period
SIAUTOTERMINATIONCOUNTER	VARCHAR2	3	Optional	-	SI Auto-Termination Cumulative Counter
CONSSIAUTOCOUNTER	NUMBER	22	Optional	-	SI Auto-Termination Consecutive Counter
THRESHOLDAMOUNT	NUMBER	22	Optional	-	Transaction Threshold Amount
GOCUTOFFLAG	VARCHAR2	8	Optional	-	GO Cut Off Lag(HH24:MI)

Table 1-120 (Cont.) Transaction Processing Rules Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
GOTHRESHOLDUNITS	NUMBER	27	Optional	-	GO Threshold Units
GOSETTLEMENTLAG	NUMBER	22	Optional	-	GO Settlement Lag
GOSETTLAGCALENDAR	VARCHAR2	1	Optional	-	GO Settlement Lag Calendar

1.30.8 Transaction Processing Rules Communication Mode Details

This topic provides information on Transaction Processing Rules Communication Mode Details.

Serial Number: 7

Segment Identification Number: 06TRC

Description: This segment is used for providing information related to Transaction Processing Rules Communication Mode.

Attributes: Optional

Table 1-121 Transaction Processing Rules Communication Mode Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 06TRC
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Transaction Type: • 02 - Subscription • 03 - Redemption • 04 - Switch • 54 - Transfer
COMMUNICATIONMODE	VARCHAR2	2	Mandatory	-	Communication Mode
MINTRANSACTIONAMOUNT	NUMBER	22	Optional	-	Minimum Transaction Amount
MAXTRANSACTIONAMOUNT	NUMBER	22	Optional	-	Maximum Transaction Amount

Table 1-121 (Cont.) Transaction Processing Rules Communication Mode Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
MINTRANSACTIONUNITS	NUMBER	22	Optional	-	Minimum Transaction Units
MAXTRANSACTIONUNITS	NUMBER	22	Optional	-	Maximum Transaction Units
DISALLOWFIRSTTRANSACTION	NUMBER	22	Optional	-	Disallow First Transaction: • 1 - Yes • 0 - No

1.30.9 Fund Investor Category Transaction Limits Details

This topic provides information on fund investor category transaction limits details.

Serial Number: 8

Segment Identification Number: 07FIC

Description: This segment is used for providing information related to Fund Investor Category Transaction Limits.

Attributes: Optional

Table 1-122 Fund Investor Category Transaction Limits Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 07FIC
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
INVESTORCATEGORY	VARCHAR2	2	Mandatory	-	Investor Category
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Transaction Type
MINTRANSACTIONAMOUNT	NUMBER	22	Optional	-	Minimum Transaction Amount
STEPAMOUNT	VARCHAR2	22	Optional	-	Step Amount
MAXTRANSACTIONAMOUNT	NUMBER	22	Optional	-	Maximum Transaction Amount
MINTRANSACTIONUNITS	NUMBER	22	Optional	-	Minimum Transaction Units

Table 1-122 (Cont.) Fund Investor Category Transaction Limits Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
STEPUNITS	NUMBER	22	Optional	-	Step Units
MAXTRANSACTIONUNITS	NUMBER	22	Optional	-	Maximum Transaction Units

1.30.10 Fund SI Frequency Details

This topic provides information on fund SI frequency details.

Serial Number: 9

Segment Identification Number: 08FSF

Description: This segment is used for providing information related to Fund SI Frequency Details.

Attributes: Optional

Table 1-123 Fund SI Frequency Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 08FSF
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Transaction Type
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
FREQUENCY	VARCHAR2	1	Mandatory	-	Frequency
FREQID	VARCHAR2	10	Mandatory	-	Freq ID
MINSITXNAMOUNT	NUMBER	22	Optional	-	Minimum SI Transaction Amount
MAXSITXNAMOUNT	NUMBER	22	Optional	-	Maximum SI Transaction Amount
MINSITXNUNITS	NUMBER	22	Optional	-	Minimum SI Transaction Units
MAXSITXNUNITS	NUMBER	22	Optional	-	Maximum SI Transaction Units

Table 1-123 (Cont.) Fund SI Frequency Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
MINSIHOLDINGAMOUNT	NUMBER	22	Optional	-	Minimum SI Holding Amount
MINSIHOLDINGUNITS	NUMBER	22	Optional	-	Minimum SI Holding Units
MINSIHOLDINGAPPLYFOR	VARCHAR2	1	Optional	-	SI Minimum Holdings Apply For: • C - CIF • H - Unit Holder
MINSIHOLDINGINDICATOR	VARCHAR2	1	Mandatory	-	SI Minimum Holdings Indicator: • N - Not Applicable • A - Amount • U - Units • L - Lower of Amount/ Units • H - Higher of Amount/ Units
MINNOOFSI	NUMBER	22	Optional	-	Minimum Number of SI
NOOFFAILURESALLOWED	NUMBER	22	Optional	-	Number of failures Allowed
HOLIDAYRULE	VARCHAR2	1	Optional	-	Holiday Rule
SIAUTOTERMINATIONCOUNTER	VARCHAR2	3	Optional	-	Maximum SI Transaction Allowed
CONSSIAUTOCOUNTER	NUMBER	22	Optional	-	SI Auto Termination Counter
MAXSITXNSALLOWED	NUMBER	22	Optional	-	SI Auto-Termination Consecutive Counter

1.30.11 Fund Sale Country Details

This topic provides information on fund sale country details.

Serial Number: 10

Segment Identification Number: 09FSC

Description: This segment is used for providing information related to Fund Sale Country.

Attributes: Optional

Table 1-124 Fund Sale Country Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 09FSC
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
COUNTRYCODE	VARCHAR2	3	Optional	-	Country Code

1.30.12 Fund Residency Country Details

This topic provides information on fund residency country details.

Serial Number: 11

Segment Identification Number: 10FRL

Description: This segment is used for providing information related to Fund Residency Country.

Attributes: Optional

Table 1-125 Fund Residency Country Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 10FRL
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
COUNTRYCODE	VARCHAR2	3	Optional	-	Country Code

1.30.13 Fund Transaction Currency Details

This topic provides information on fund transaction currency details.

Serial Number: 12

Segment Identification Number: 11FTC

Description: This segment is used for providing information related to Fund Transaction Currency.

Attributes: Optional

Table 1-126 Fund Transaction Currency Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 11FTC
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
COUNTRYCODE	VARCHAR2	3	Optional	-	Country Code

1.30.14 Income Distribution Rule Details

This topic provides information on income distribution rule details.

Serial Number: 13

Segment Identification Number: 12IDR

Description: This segment is used for providing information related to Income Distribution Rule.

Attributes: Optional

Table 1-127 Income Distribution Rule Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 11FTC
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID

Table 1-127 (Cont.) Income Distribution Rule Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
DIVIDENDDECLAREFREQ	VARCHAR2	1	Optional	-	Dividend Declare Frequency: • D - Daily • F - Bi- Weekly • M - Monthly • Q - Quarterly • H - Half Yearly • W - Weekly • Y - Yearly
DIVIDENDPAYMENTFREQ	VARCHAR2	1	Optional	-	Dividend Payment Frequency: • D - Daily • F - Bi- Weekly • M - Monthly • Q - Quarterly • H - Half Yearly • W - Weekly • Y - Yearly
REINVESTMENTALLOWED	NUMBER	22	Optional	-	Reinvestment Allowed ? • 1 - Yes • 0 - No
TAXID	VARCHAR2	50	Optional	-	Tax ID
BOOKCLOSINGLEADTIME	NUMBER	22	Optional	-	Book Closing Lead Time
FREEZEHOLDINGLEADTIME	NUMBER	22	Optional	-	Freeze Holding Lead Time
BOARDMEETINGLEADTIME	NUMBER	22	Optional	-	Board Meeting Lead Time
DIVIDENDPAYMENTLEADTIME	NUMBER	22	Optional	-	Dividend Payment Lead Time
NOOFDECIMALSFORDIVIDEND	NUMBER	22	Optional	-	Number of Decimals For Dividend
ROUNDOFFTRUNCATEDIVIDEND	VARCHAR2	1	Optional	-	Round OFF Truncate Dividend
TDSBASIS	VARCHAR2	1	Optional	-	TDS Basis: • D - Each Dividend • U - Fiscal Year • E - Tax Exempt
EPUCOMPONENTS	NUMBER	22	Optional	-	EPU Based on Components? • 1 - Yes • 0 - No

Table 1-127 (Cont.) Income Distribution Rule Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
DEFAULTDISTRIBUTIONMODE	VARCHAR2	1	Optional	-	Default Distribution Mode: • T - Payout-A/C Transfer • Q - Payout-Check • R - Full Reinvestment
EXCHANGERATEDATEBASIS	VARCHAR2	1	Optional	-	Dividend Exchange Rate Basis: • P - Payment Date • R - Reference Date
RESTRICTIDS	NUMBER	22	Optional	-	Restrict IDS For Unit Holder? • 1 - Yes • 0 - No
EPULOT	NUMBER	22	Optional	-	EPU Lot
DIVLOOKAHEAD	NUMBER	22	Optional	-	Look Ahead Processing? • 1 - Yes • 0 - No
CORPORATEACTIONTYPE	VARCHAR2	1	Mandatory	-	Corporate Action Type
UNITSFORFREEZEHOLDINGS	VARCHAR2	1	Optional	-	Units For Freeze Holdings: • A - All Units As Of Allocation Date • C - Confirmed Units As Of Allocation Date • P - All Units As Of Price Date • T - Confirmed Units As Of Transaction Date • D - All Units As Of Transaction Date • U - All Units As Of Dealing Date
FIRSTDIVIDENDDECLAREDATE	DATE	7	Optional	-	First Dividend Declare Date
SPECIALDIVIDEND	NUMBER	22	Optional	-	Special Dividend: • 1 - Yes • 0 - No
MOCKDIVIDEND	NUMBER	22	Optional	-	Mock Dividend.: • 1 - Yes • 0 - No
HOLIDAYRULE	VARCHAR2	1	Optional	-	Holiday Rule
PAYMENTLAG	NUMBER	22	Optional	-	Payment Lag (Days)
PAYDIVIDENDDURINGRED	NUMBER	22	Optional	-	Pay Dividend During Redemption: • 1 - Yes • 0 - No

Table 1-127 (Cont.) Income Distribution Rule Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
REINVESTMENTPRICEBASIS	VARCHAR2	10	Optional	-	Reinvestment Price Basis
IRACOMPULSORYREINVEST	NUMBER	22	Optional	-	Compulsory Reinvestment For Retirement Account? • 1 - Yes • 0 - No
DISTRIBUTIONMODEFORMINBALANCE	VARCHAR2	1	Optional	-	Distribution Mode For Minimum Balance: • P - Forced Payout • I - According To Unit Holder IDS
DEFAULTEPUOFPREVDAY	VARCHAR2	1	Optional	-	Default EPU to previous Declared EPU? • 1 - Yes • 0 - No
INTERESTCOMPOUNDED	VARCHAR2	1	Optional	-	Interest to be compounded for the payment Period? • Y - Yes • N - No
NPISUPPORT	VARCHAR2	1	Mandatory	-	NPI Applicable: • Y - Yes • N - No
NPIPAYMENT	VARCHAR2	3	Optional	-	NPI Payment
NPIUHOVERRIDE	VARCHAR2	1	Mandatory	-	Override Unit holder preference? • Y - Yes • N - No
FLGUNSETTLEUNITS	VARCHAR2	1	Mandatory	-	Force Reinvestment of Uncleared units
MIMAMTTOBEPAIDOUT	NUMBER	22	Optional	-	Minimum Amount for Payment
ACTION	VARCHAR2	1	Optional	-	Action
OVERRATPAYTIME	NUMBER	22	Optional	-	Override at Pay Time: • Y - Yes • N - No
AUTOREINVFORUNCLCHQ	NUMBER	22	Optional	-	Auto Reinvestment for Unclaimed Dividend: • 1 - Yes • 0 - No
AUTOREINVFORUNCLCHQFZB	NUMBER	22	Optional	-	Auto Reinvestment for Unclaimed Dividend When No Balance Left: • 1 - Yes • 0 - No

Table 1-127 (Cont.) Income Distribution Rule Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
TRACKUNCLEAREDTXNS	VARCHAR2	1	Optional	-	Track Dividend For Uncleared Transaction? • 1 - Yes • 0 - No
TRACKBLOCKEDTXNS	VARCHAR2	1	Optional	-	Track Dividend for Blocked Transactions? • 1 - Yes • 0 - No
PAYUNGENREINVESTMENT	VARCHAR2	1	Optional	-	Pay Ungenerated Reinvestments? • 1 - Yes • 0 - No
TDSAPPLICABLEFORALLUH	NUMBER	22	Optional	-	TDS Applicable for all UH: • 1 - Yes • 0 - No
MINAMTTOREINVEST	NUMBER	30	Optional	-	Minimum Amount for Reinvestment

1.30.15 Fund Load Maintenance Details

This topic provides information on fund load maintenance details.

Serial Number: 14

Segment Identification Number: 13FLM

Description: This segment is used for providing information related to Fund Load Maintenance.

Attributes: Optional

Table 1-128 Fund Load Maintenance Details

Field Name (as expected in the file)	Data type	Length	Attributess	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 13FLM
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Transaction Type
LOADID	NUMBER	22	Mandatory	-	Load ID

Table 1-128 (Cont.) Fund Load Maintenance Details

Field Name (as expected in the file)	Data type	Length	Attributess	Characteristics/rules	Values
LOADTOPRICE	NUMBER	22	Optional	-	Load To Price
FROMENTITYTYPE	VARCHAR2	1	Mandatory	-	From Entity Type
TOENTITYTYPE	VARCHAR2	1	Mandatory	-	To Entity Type
PROCESSINGFREQ	VARCHAR2	1	Optional	-	Processing Frequency
GLACCOUNTCODE	VARCHAR2	10	Optional	-	GL account Code
DEBITORCREDIT	VARCHAR2	1	Optional	-	Debit or Credit
CONTRAACCOUNTCODE	VARCHAR2	10	Optional	-	Contra Account Code
NOOFDECIMALSFORLOAD	NUMBER	22	Optional	-	Number of Decimals For Load
ROUNDOFFTRUNCATEFORLOAD	VARCHAR2	1	Optional	-	Round OFF Truncate For Load
MANDATORY	VARCHAR2	1	Optional	-	Mandatory
PRIORITYNO	NUMBER	22	Optional	-	Priority Number
LOADVATTOPRICE	NUMBER	22	Optional	-	Load VAT To Price
APPLYRETVALON	VARCHAR2	1	Optional	-	Based On Income? • G - Capital Gains - Gross • N - Non Capital Gains Net • C - Capital Gains • S - CDSC • P - Performance Based • I - IOF • D - Default IRRF • O - Optional IRRF
SENDFORGLINTERFACE	NUMBER	22	Optional	-	Send For GL Interface: • 1 - Yes • 0 - No
DIFFERENTIALFEE	NUMBER	22	Optional	-	Differential Fee? • 1 - Yes • 0 - No
SLABBASIS	VARCHAR2	1	Optional	-	Slab Basis: • G - Gross Amount • N - Net Amount • A - Average Cost
PRICEADDIN	NUMBER	22	Optional	-	Price Add In: • 1 - Yes • 0 - No

Table 1-128 (Cont.) Fund Load Maintenance Details

Field Name (as expected in the file)	Data type	Length	Attributess	Characteristics/rules	Values
INDEXATIONONCGT	VARCHAR2	1	Optional	-	Apply Indexation on CGT? • 1 - Yes • 0 - No
LEVELID	NUMBER	22	Optional	-	Level ID
GROUPID	VARCHAR2	6	Mandatory	-	Group ID
DEPENDENTLEVEL	NUMBER	22	Optional	-	Dependent Level
REFTYPE	VARCHAR2	2	Mandatory	-	RefType

1.30.16 Fund Formula Maintenance Details

This topic provides information on fund formula maintenance details.

Serial Number: 15

Segment Identification Number: 14FFM

Description: This segment is used for providing information related to Fund Formula Maintenance.

Attributes: Optional

Table 1-129 Fund Formula Maintenance Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 14FFM
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
COMPONENTID	VARCHAR2	10	Mandatory	-	Component ID
COMPONENTDESC	VARCHAR2	50	Optional	-	Component Description
COMPONENTTYPE	VARCHAR2	255	Optional	-	Component Type
FORMULA	VARCHAR2	2	Optional	-	Formula

Table 1-129 (Cont.) Fund Formula Maintenance Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
DEFAULTVALUE	NUMBER	22	Optional	-	Default Value
EXCLUDEFROMCAPITALGAINS	NUMBER	22	Optional	-	Exclude From Capital Gains? • 1 - Yes • 0 - No
USEDFORFPCOMPUTATION	NUMBER	22	Mandatory	-	Used for FP Computation ? • 1 - Yes • 0 - No
PRICEBASIS	VARCHAR2	1	Mandatory	-	Price Basis: • 1 - Yes • 0 - No
PARENTPRICEBASIS	VARCHAR2	10	Optional	-	Parent Basis
INHERENTFEE	NUMBER	22	Optional	-	Inherent Fee
INHERENTINCENTIVE	NUMBER	22	Optional	-	Inherent Incentive
DEFAULTPRICEBASE	VARCHAR2	1	Mandatory	-	Default Price Basis: • ON - Y • OFF - N

1.30.17 Fund Additional Information Details

This topic provides information on Fund Additional Information Details.

Serial Number: 16

Segment Identification Number: 15FAI

Description: This segment is used for providing information related to Fund Additional Information.

Attributes: Optional

Table 1-130 Fund Additional Information Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 15FAI
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	12	Optional	-	Fund ID

Table 1-130 (Cont.) Fund Additional Information Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
RULEEFFECTIVE DATE	DATE	7	Mandatory	-	Rule Effective Date
OTHERINFO1	VARCHAR2	60	Mandatory	-	Other Information 1
OTHERINFO2	VARCHAR2	60	Optional	-	Other Information 2
OTHERINFO3	VARCHAR2	60	Optional	-	Other Information 3
OTHERINFO4	VARCHAR2	60	Optional	-	Other Information 4
OTHERINFO5	VARCHAR2	60	Optional	-	Other Information 5
OTHERINFO6	VARCHAR2	60	Optional	-	Other Information 6
OTHERINFO7	VARCHAR2	60	Optional	-	Other Information 7
OTHERINFO8	VARCHAR2	60	Optional	-	Other Information 8
OTHERINFO9	VARCHAR2	60	Optional	-	Other Information 9
OTHERINFO10	VARCHAR2	60	Optional	-	Other Information 10
OTHERINFO11	VARCHAR2	60	Optional	-	Other Information 11
OTHERINFO12	VARCHAR2	60	Optional	-	Other Information 12
OTHERINFO13	VARCHAR2	60	Optional	-	Other Information 13
OTHERINFO14	VARCHAR2	60	Optional	-	Other Information 14
OTHERINFO15	VARCHAR2	60	Optional	-	Other Information 15
OTHERINFO16	VARCHAR2	60	Optional	-	Other Information 16
OTHERINFO17	VARCHAR2	60	Optional	-	Other Information 17
OTHERINFO18	VARCHAR2	60	Optional	-	Other Information 18
OTHERINFO19	VARCHAR2	60	Optional	-	Other Information 19
OTHERINFO20	VARCHAR2	60	Optional	-	Other Information 20
OTHERINFO21	VARCHAR2	60	Optional	-	Other Information 21
OTHERINFO22	VARCHAR2	60	Optional	-	Other Information 22

Table 1-130 (Cont.) Fund Additional Information Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
OTHERINFO23	VARCHAR2	60	Optional	-	Other Information 23
OTHERINFO24	VARCHAR2	60	Optional	-	Other Information 24
OTHERINFO25	VARCHAR2	60	Optional	-	Other Information 25
OTHERINFO26	VARCHAR2	60	Optional	-	Other Information 26
OTHERINFO27	VARCHAR2	60	Optional	-	Other Information 27
OTHERINFO28	VARCHAR2	60	Optional	-	Other Information 28
OTHERINFO29	VARCHAR2	60	Optional	-	Other Information 29
OTHERINFO30	VARCHAR2	60	Optional	-	Other Information 30

1.30.18 Specific Fund Price Date Setup Details

This topic provides information on Specific Fund Price Date Setup Details.

Serial Number: 17

Segment Identification Number: 16SDS

Description: This segment is used for providing information related to Specific Fund Price date Setup.

Attributes: Optional

Table 1-131 Specific Fund Price Date Setup Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 16SDS
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Transaction Type
FIRSTPRICEDATE	DATE	7	Mandatory	-	First Price Date

Table 1-131 (Cont.) Specific Fund Price Date Setup Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
PRICEFREQUENCY	VARCHAR2	1	Mandatory	-	Price Frequency: • D - Daily • F - Fortnightly • M - Monthly • Q - Quarterly • H - Half Yearly • W - Weekly • Y - Yearly • U - User Defined
HOLIDAYRULE	VARCHAR2	1	Mandatory	-	Holiday Rule: • P - Prior • A - After
LASTPRICEDATE	DATE	7	Mandatory	-	Last Price Date
FORWARDPRICING	NUMBER	22	Mandatory	-	Forward Pricing Applicable: • 1 - Yes • 0 - No
CALENDARBASIS	VARCHAR2	1	Optional	-	Calendar Basis: • S - System Calender • C - Actual Calender • F - Fund Calender
PRICEDAY	NUMBER	22	Optional	-	Price Day
PRICEWEEK	NUMBER	22	Optional	-	Price Week
PRICEMONTH	NUMBER	22	Optional	-	Price Month
CUTOFFDAY	NUMBER	22	Optional	-	Cut OFF Day
CUTOFFWEEK	NUMBER	22	Optional	-	Cut OFF Week
CUTOFFMONTH	NUMBER	22	Optional	-	Cut OFF Month
AUTOGENERATE	NUMBER	22	Optional	-	Auto Generate ?
GENERATIONTYPE	VARCHAR2	1	Optional	-	Auto Generation Of Fund Prices. A - Auto Generate Fund Prices using the last available Price and Authorize the same, U - Auto Generate Fund Prices using the last available Price but do not Authorize the same, "" - Do not Auto Generate Fund Prices

Table 1-131 (Cont.) Specific Fund Price Date Setup Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
CUTOFFFREQUENCY	VARCHAR2	1	Optional	-	Cut-Off Frequency • D - Daily • F - Fortnightly • M - Monthly • Q - Quarterly • H - Half Yearly • W - Weekly • Y - Yearly
LASTWORKINGDAY	NUMBER	22	Optional	-	Last Working Day: • Y - ON • N - OFF
DEALINGLAG	NUMBER	22	Optional	-	Dealing Lag (in days)
DEALINGRULE	VARCHAR2	1	Optional	-	Dealing Rule: • P - Prior • A - After
PRICEDEFBASIS	VARCHAR2	1	Optional	-	Price Frequency Definition

1.30.19 Fund User Defined Price Dates Details

This topic provides information on fund user defined price dates details.

Serial Number: 18

Segment Identification Number: 17FPD

Description: This segment is used for providing information related to Fund User Defined Price Dates.

Attributes: Optional

Table 1-132 Specific Fund Price Date Setup Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 17FPD
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Optional	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
PRICEDATE	DATE	7	Mandatory	-	Price Date

1.30.20 Fund IRA Details

This topic provides information on fund IRA details.

Serial Number: 19

Segment Identification Number: 18IRA

Description: This segment is used for providing information related to Fund IRA.

Attributes: Optional

Table 1-133 Fund IRA Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 18IRA
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
MININITIALINVESTMENTAMT	NUMBER	22	Optional	-	Minimum Initial Investment amount
MAXINITIALINVESTMENTAMT	NUMBER	22	Optional	-	Maximum Initial Investment amount
MININVESTMENTAMT	NUMBER	22	Optional	-	Minimum Investment amount
MAXINVESTMENTAMT	NUMBER	22	Optional	-	Maximum Investment amount
MINWITHDRAWALAMT	NUMBER	22	Optional	-	Minimum Withdrawal amount
MAXWITHDRAWALAMT	NUMBER	22	Optional	-	Maximum Withdrawal amount

1.30.21 Fund Delivery Instruction Details

This topic provides information on fund delivery instruction details.

Serial Number: 20

Segment Identification Number: 19FDI

Description: This segment is used for providing information related to Fund Delivery Instruction.

Attributes: Optional

Table 1-134 Fund Delivery Instruction Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 19FDI
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
REGISTEREDACCOUNT	NUMBER	22	Optional	-	Registered Unit Holder Account: • 0 - No • 1 - Yes
DELIVERYTOCUSTODIAN	NUMBER	22	Optional	-	Deliver to Custodian Account: • 0 - No • 1 - Yes
DELIVERYTOCLGAGENT	NUMBER	22	Optional	-	Deliver to Clearing Agent: • 0 - No • 1 - Yes
PHYSICALCERTDELIVERY	NUMBER	22	Optional	-	Physical Delivery- Certificate option: • 0 - No • 1 - Yes
DEFAULTDELIVERY	VARCHAR2	1	Optional	-	Default Account: • R - Registered Unit Holder Account • C - Deliver to Custodian Account • A - Deliver to Clearing Agent • P - Physical Delivery - Certificate option
CERTIFICATEDENOMINATIONS	VARCHAR2	200	Optional	-	Certificate Denomination
PUEV	NUMBER	22	Optional	-	PUEV: • 0 - No • 1 - Yes

1.30.22 Fund Investment Account Details

This topic provides information on fund investment account details.

Serial Number: 21

Segment Identification Number: 20FIA

Description: This segment is used for providing information related to Fund Investment Account.

Attributes: Optional

Table 1-135 Fund Investment Account Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 20FIA
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
ACCOUNTTYPE	VARCHAR2	2	Optional	-	Account Type
STARTDATE	DATE	7	Optional	-	Start Date
ENDDATE	DATE	7	Mandatory	-	End Date
MINORAGE	NUMBER	22	Mandatory	-	Minor Age

1.30.23 Fund Investment Account SI Details

This topic provides information on fund investment account SI details.

Serial Number: 22

Segment Identification Number: 21FIS

Description: This segment is used for providing information related to Fund investment Account SI.

Attributes: Optional

Table 1-136 Fund investment Account SI Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 21FIS
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID

Table 1-136 (Cont.) Fund investment Account SI Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Transaction Type
ACCOUNTTYPE	VARCHAR2	2	Mandatory	-	Account Type
SIDESCRIPTION	VARCHAR2	255	Optional	-	SI Description
SIFREQUENCY	VARCHAR2	1	Optional	-	SI Frequency: • D - Daily • F - Bi -Weekly • M - Monthly • Q - Quarterly • H - Half Yearly • W - Weekly • Y - Yearly
SIGENDAY	VARCHAR2	3	Optional	-	SI Generation day
SIGENCYCLE	VARCHAR2	1	Optional	-	SI Generation day: • M - Monthly • Q - Quarterly • H - Half Yearly • Y - Yearly
MINSITXNAMOUNT	NUMBER	22	Optional	-	SI Minimum Amount
MAXSITXNAMOUNT	NUMBER	22	Optional	-	SI Maximum Amount
MINSITXNUNITS	NUMBER	22	Optional	-	SI Minimum Units
MAXSITXNUNITS	NUMBER	22	Optional	-	SI Maximum Units
HOLIDAYRULE	VARCHAR2	1	Mandatory	-	Holiday Rule: • P - Prior • A - After
CALENDERBASIS	VARCHAR2	1	Mandatory	-	Calender Basis: • S - System Calender • C - Actual Calender • F - Fund Calender
SICUTOFFDAY	NUMBER	22	Optional	-	SI Cut-Off Day
SICUTOFFLAG	NUMBER	22	Mandatory	-	SI Cut-off Lag
ENFORCESIRULE	VARCHAR2	1	Mandatory	-	Enforce Generation: • 0 - No • 1 - Yes
MAXNOOFSITRANSACTIONS	NUMBER	22	Optional	-	Max No. of SI Txns

Table 1-136 (Cont.) Fund investment Account SI Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
MAXVALIDITY	NUMBER	22	Optional	-	Max. Validity(Days)
SIAUTOTERMINATIONCOUNTER	VARCHAR2	3	Optional	-	SI Auto-Termination Counter
LASTDAYOFSIGENCYCLE	NUMBER	22	Optional	-	Last Day of SI Gen Cycle: • 0 - No • 1 - Yes
CONSSIAUTOCOUNTER	NUMBER	22	Optional	-	SI Auto-Termination Consecutive Counter
MAXSITXNSALLOWED	NUMBER	22	Optional	-	Maximum SI Transactions Allowed

1.30.24 KYC Fund Details

This topic provides information on KYC fund details.

Serial Number: 23

Segment Identification Number: 22KYC

Description: This segment is used for providing information related to KYC Fund.

Attributes: Optional

Table 1-137 KYC Fund Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 22KYC
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
DOCUMENTDESCRIPTION	VARCHAR2	255	Mandatory	-	Document Description

1.30.25 Hedge Fund Rules Details

This topic provides information on hedge fund rules details.

Serial Number: 24

Segment Identification Number: 23HFR

Description: This segment is used for providing information related to Hedge Fund Rules.

Attributes: Optional

Table 1-138 Hedge Fund Rules Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 23HFR
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
HFUNDTYPE	VARCHAR2	1	Mandatory	-	Hedge Fund Type: • S - Single/Simple • M - Master • O - Fund of Hedge Funds • P - Side Pockets • L - Lead Series • F - Follow Up Series
TXNGENERATIONBASIS	VARCHAR2	1	Optional	-	Transaction Generation Basis: • N - Net • O - Non Net • T - Non Net Transaction
MASTERFUNDUHID	VARCHAR2	12	Optional	-	Master Fund UH ID
PFCOMPUMETHOD	VARCHAR2	4	Optional	-	Computation Method
PFACCRUALFREQ	VARCHAR2	1	Optional	-	Accrual Frequency: • D - Daily • M - Monthly • Q - Quarterly • W - Weekly • Y - Yearly
PFPAYMENTFREQ	VARCHAR2	1	Optional	-	Accrual Frequency: • M - Monthly • Q - Quarterly • H - Half Yearly • Y - Yearly
HURDLERATEAPPLICABLE	VARCHAR2	1	Optional	-	Hurdle Rate Applicable: • Y - Yes • N - No
HURDLETYPE	VARCHAR2	1	Optional	-	Hurdle Type: • C - Cumulative • N - Non Cumulative

Table 1-138 (Cont.) Hedge Fund Rules Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
RESETHURDLE	VARCHAR2	1	Optional	-	Reset Hurdle: • Y - Yes • N - No
HURDLERATETYPE	VARCHAR2	20	Optional	-	Hurdle Rate Type: • F - Fixed • V - Variable • S - Semi Variable
PERFORMANCEFEEPER	NUMBER	22	Optional	-	Performance Fee (%)
RATECODE	VARCHAR2	10	Optional	-	Rate Code
HFUNDMANAGER	VARCHAR2	12	Optional	-	Hedge Fund Manager
RATEBASIS	VARCHAR2	1	Mandatory	-	Rate Basis: • 1 - Percentage (%) • 2 - Basic Points
RATE	NUMBER	22	Optional	-	Rate
LASTACCRUALDATE	DATE	7	Optional	-	Last Accrual Date
LASTPAYMENTDATE	DATE	7	Optional	-	Last Payment Date
NEXTACCRUALDATE	DATE	7	Optional	-	Next accrual Date
NEXTPAYMENTDATE	DATE	7	Optional	-	Next Payment Date
GROUPID	VARCHAR2	10	Optional	-	Group ID
HURDLECALCMETHOD	VARCHAR2	1	Optional	-	Hurdle Calculation Method: • S - Simple • C - Compound
DAYBASIS	VARCHAR2	1	Optional	-	Day Basis: • 1 - Actual • 2 - 360 • 3 - 365

1.30.26 Hedge Fund Link Details

This topic provides information on hedge fund link details.

Serial Number: 25

Segment Identification Number: 24HFL

Description: This segment is used for providing information related to Hedge Fund Link.

Attributes: Optional

Table 1-139 Hedge Fund Link Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 24HFL
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
HEDGEFUNDID	VARCHAR2	6	Optional	-	Hedge Fund ID
PERCENTAGE	NUMBER	22	Optional	-	Percentage
UNITHOLDERID	VARCHAR2	12	Mandatory	-	Unit Holder ID

1.30.27 Hedge Fund Investor Category Performance Fee Details

This topic provides information on hedge fund investor category performance fee details.

Serial Number: 26

Segment Identification Number: 25HFC

Description: This segment is used for providing information related to Hedge Fund Investor Category Performance Fee.

Attributes: Optional

Table 1-140 Hedge Fund Investor Category Performance Fee Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 25HFC
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Mandatory	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
INVCATEGORYID	VARCHAR2	12	Optional	-	Investor Category ID

Table 1-140 (Cont.) Hedge Fund Investor Category Performance Fee Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
PERFORMANCEFEE	NUMBER	22	Optional	-	Performance Fee

1.30.28 Multi Distribution Details

This topic provides information on multi distribution details.

Serial Number: 27

Segment Identification Number: 26MID

Description: This segment is used for providing information related to Multi Distribution Details.

Attributes: Optional

Table 1-141 Multi Distribution Details

Field Name (as expected in the file)	Data type	Length	Attributes	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	Mandatory	-	Segment ID - 26MID
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
PROCESSID	VARCHAR2	50	Optional	-	Process ID
FUNDID	VARCHAR2	6	Optional	-	Fund ID
RULEEFFECTIVEDATE	DATE	7	Mandatory	-	Rule Effective Date
CORPORATEACTIONTYPE	VARCHAR2	3	Optional	-	Corporate Action Type
DISTRIBUTIONTYPE	VARCHAR2	3	Optional	-	Distribution Type

1.30.29 Reinvestment Details Format

This topic provides information on reinvestment details format.

Table 1-142 Reinvestment Details Format

Column name (as expected in the file)	Data type	Length	Attributes	Description
REINVESTMENTUNITHOLDERID	String	12	Mandatory	For unit holder income distribution setup records, this is the same as the unit holder ID and is defaulted during processing.

Table 1-142 (Cont.) Reinvestment Details Format

Column name (as expected in the file)	Data type	Length	Attributes	Description
REINVESTMENTFUNDID	String	6	Mandatory	The ID of the fund into which the income is being reinvested. The reinvestment fund ID should be unique for an income distribution setup record.
SPLITPERCENTAGE	Number	5.2	Mandatory	The split percentage to indicate the percentage of income that must be reinvested into the reinvestment fund. The sum of the split percentages for an income distribution setup should add up to one hundred.

1.30.30 Fund Price Currencies

This topic provides information on fund price currencies.

Serial Number: 29

Segment Identification Number: 28PCH

Description: This segment is used to capture the fund price currencies.

Attributes: Optional

Table 1-143 Fund price currencies

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	No	-	Segment ID
SEQUENCENUMBER	NUMBER	10	Yes	-	Sequence Number
PROCESSID	VARCHAR2	50	Yes	-	Process ID
FUNDID	VARCHAR2	6	Yes	-	Fund ID
RULEEFFECTIVEDATE	DATE	-	Yes	-	Rule Effective Date
CURRENCYCODE	VARCHAR2	3	Yes	-	The currency code which is added as the fund price ccy
MINHOLDINGAMOUNT	NUMBER	22	No	-	The minimum holding amount for the corresponding FPC

Serial Number: 30

Segment Identification Number: 29PCD

Description: This segment is used to capture the details of the fund price currencies.

Attributes: Optional

Table 1-144 Fund price currencies

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
PROCESSID	VARCHAR2	50	Yes	-	Process ID
SEQUENCENUMBER	NUMBER	10	Yes	-	Sequence Number
SEGMENTID	VARCHAR2	20	No	-	Segment ID
FUNDID	VARCHAR2	6	Yes	-	Fund ID
RULEEFFECTIVEDATE	DATE	-	Yes	-	Rule Effective Date
CURRENCYCODE	VARCHAR2	3	Yes	-	The currency code which is added as the fund price ccy
TRANSACTIONTYPE	VARCHAR2	2	Yes	-	Transaction type mapped
MINTRANSACTIONAMOUNT	NUMBER	22	Yes	-	Min. Transaction Amount
MAXTRANSACTIONAMOUNT	NUMBER	22	Yes	-	Max. Transaction Amount
MININITIALTXNAMOUNT	NUMBER	22	No	-	Min. Initial Transaction Amount
MAXINITIALTXNAMOUNT	NUMBER	22	Yes	-	Max. Initial Transaction Amount
STEPAMOUNT	NUMBER	22	Yes	-	Step amount
MINSITXNAMOUNT	NUMBER	22	No	-	Min. SI Transaction
MAXSITXNAMOUNT	NUMBER	22	No	-	Max. SI Transaction Amount

Serial Number: 31

Segment Identification Number: 30FSR

Description: This segment is used to capture the share class restriction details.

Attributes: Optional

Table 1-145 Fund price currencies

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
SEGMENTID	VARCHAR2	20	No	-	Segment ID
PROCESSID	VARCHAR2	50	Yes	-	Process ID
SEQUENCENUMBER	NUMBER	10	Yes	-	Sequence Number

Table 1-145 (Cont.) Fund price currencies

Field Name (as expected in the file)	Data type	Length	Mandatory	Characteristics/rules	Values
FUNDID	VARCHAR2	6	Yes	-	Fund ID
RULEEFFECTIVEDATE	DATE	-	Yes	-	Rule Effective Date
RESTRICTEDFUND	NUMBER	22	No	-	Cannot be marked as Yes if fund risk level is not null
RESTRICTIONAPPLIEDTO	VARCHAR2	1	No	-	Allowed/disallowed list of transaction types
TRANSACTIONTYPE	VARCHAR2	2	Yes	-	Transaction type
REFTYPE	VARCHAR2	2	Yes	-	Ref type

1.31 Unit Holder Deal File Format

This topic provides information on Unit Holder Deal File Format.

Note:

For all imports of unit holder deal information, the external hand-off file containing the information must:

- Always be named in the format “*.*”.
- Always contain all information marked as mandatory in the table below.
- Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below:

Table 1-146 Unit Holder Deal File Format

Field Name (as expected in the file)	Data type	Field length	Attributes	Characteristics/rules	Values
SEQUENCENUMBER	Number	NUMBER(10)	Mandatory	-	System generated sequence number for the records in the file
PROCESSID	String	VARCHAR(50)	Mandatory	-	The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely. Each file must have a unique Process ID. This should be same for all records within a file.

Table 1-146 (Cont.) Unit Holder Deal File Format

Field Name (as expected in the file)	Data type	Field length	Attributes	Characteristics/rules	Values
BULKCLIENTCODE	String	VARCHAR(12)	Mandatory	-	The Code of the Bulk client.
IMPORTFILENAME	String	VARCHAR(255)	Mandatory	-	Name of the file being uploaded should be specified. Each file must have a unique name.
UPLOADMODE	String	VARCHAR(1)	Mandatory	-	Upload mode can be “N” for New unit holder uploads and ‘I’ for Incremental change.
AMCID	String	VARCHAR(12)	Mandatory	-	The ID of the AMC for which the bulk client has maintained the default details. This should be same within a file for all the records.
DEALTRANSACTIONN UMBER	String	VARCHAR(16)	Optional	-	Null for a new mode. It may or may not be passed for other modes.
UHIDORCIFNUMBER	String	VARCHAR(12)	Mandatory	-	Could be any valid unit holder ID or CIF number maintained in the system.
APPLYATCIFLEVEL	Number	NUMBER(1)	Optional	-	Could be either 0- No or 1- Yes. If not supplied, value to be taken from bulk client defaults
APPLYDEALAT	String	VARCHAR(1)	Mandatory	-	Could be any of the following values: • G - Group Level • P - Product Level • F - Fund Level • L - Load level
STATUS	String	VARCHAR(1)	Mandatory	-	Could be either: • A - Active or • C - Cancelled
EFFECTIVESTARTDATE	date	DATE	Mandatory	-	The effective date from which the deal is applicable
EFFECTIVEENDDATE	date	DATE	Optional	-	The effective date on which the deal ends. In case of null values it will take the default value as the system high date.
AUTHSTATUS	String	VARCHAR(1)	Mandatory	-	Could be either: • A - Authorized or • U - Unauthorized
LOADGROUPID	String	VARCHAR(16)	Optional	-	Could be any valid group ID maintained in system.
FUNDID	String	VARCHAR(6)	Optional	-	Could be any valid fund ID maintained in the system.

Table 1-146 (Cont.) Unit Holder Deal File Format

Field Name (as expected in the file)	Data type	Field length	Attributes	Characteristics/rules	Values
LOADID	Number	NUMBER(5)	-	-	Could be any valid load ID maintained in the system.
LOADSLABDETAILS	String	VARCHAR(2000)	-	-	Could be any valid slab details maintained for the load in the system. It should have the slab number and the return value. Field separator is "," and record separator is ";". slabno>, <returnvalue>;
DERIVEDLOADSLABDET AILS	String	VARCHAR(2000)	-	-	Could be any valid slab details maintained for the derived load in the system. It has derived load ID, and return value. Field separator is "," and record separator is ";". <derivedloadid> ,<returnvalue>;
APPLYRETVALUETOALL SLABS	Number	NUMBER(1)	-	-	Could be either: • 0 - No • 1 - Yes
RETURNVALUE	Number	NUMBER(15,6)	-	-	If the above field is '1', then return value is applicable to all the slabs
PRODUCTID	String	VARCHAR2(10)	-	-	Could be any valid product ID maintained in the system. For UT it should be `UT`. `ALL` – Option would be applicable for all products.
USERID	String	VARCHAR2(15)	-	-	Represents the user ID who has entered the latest record
POLICYNUMBER	String	VARCHAR(16)	-	-	Could be any valid Policy maintained in the system. For UT, it should be `UT`.
DealBasedOn	String	VARCHAR2(1)	-	-	• I – Inclusion Percentage • A – Flat Rate Amount • P – Flat Rate Percentage

1.32 Cheque Tracking File Format

This topic provides information on Cheque Tracking File Format.

> **Note:**
>
> For all imports of new and amended Cheque Tracking data, the external hand-off file containing the information must:
>
> - Always contain all information marked as mandatory in the table below.
> - Always contain the information in the exact format and serial order shown in the Field Name column (with values according to the validations mentioned) in the table below:

Table 1-147 Cheque Tracking File Format

Field Name (as expected in the file)	Data type	Field Length	Attributes	Characteristics/rules	Values
SEGMENTID	String	20	Mandatory	-	Sequence no. of records in the file
SEQUENCENUMBER	Number	10	Mandatory	-	Sequence no. of records in the file
PROCESSID	String	50	Mandatory	-	The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely. Each file must have a unique Process ID. This should be same for all records within a file.
BULKCLIENTCODE	String	12	Mandatory	-	The Code of the Bulk client.
IMPORTFILENAME	String	255	Mandatory	-	Name of the file being uploaded should be specified. Each file must have a unique name.
UPLOADMODE	String	1	Mandatory	-	“N” for New Mode and ‘E’ for Edit Mode, “M” for modify mode , “D” for delete mode.
AMCID	String	12	Mandatory	-	The ID of the AMC/Distributor for which the bulk client has maintained the defaults details.
CHEQUETYPE	String	3	Mandatory	-	Should be valid type as maintained in the system.
TRANSACTION Number	String	16	-	-	Transaction number should be specified if the Transaction Type is “RED”.
FUNDID	String	6	Mandatory	-	The ID of a valid and enabled fund maintained in the system
PAYMENTNUMBER	Number	5	Mandatory	-	Payment number should be specified if the Transaction Type is “UHD”.

Table 1-147 (Cont.) Cheque Tracking File Format

Field Name (as expected in the file)	Data type	Field Length	Attributes	Characteristics/rules	Values
UNITHOLDERID	String	12	Mandatory	-	The Unit holder ID to identify a unit holder in the system, depending on the scheme/ cross reference number.
PRODUCTID	String	10	Optional	-	ID of the product under which the corresponding Policy has been created.
CORPORATEACTIONTYPE	String	1	Optional	-	• C- Cash Dividend • S – Stock Dividend
CHEQUEAMOUNT	Number	30,12	Mandatory	-	This field denotes the cheque Amount
CHEQUECURRENCY	String	3	Mandatory	-	This field denotes the cheque currency
CHEQUEDATE	Date	10	Mandatory	-	For check payment modes, this is the date of issue of the check. Must not be later than the current application date.
CHEQUENUMBER	String	16	Mandatory	-	Indicates the check number for Payment mode (Q) and Credit card number for payment mode (R). This is Mandatory if mode of Payment is check (Q) or credit card (R).
OLDCHEQUENUMBER	String	16	Optional	-	This field denotes the previous cheque number.
DRAWEEBANK	String	12	Mandatory	-	Should be a valid Bank Code as defined in the system
DRAWEEBRANCHCODE	String	12	Optional	Mandatory	Should be a valid Branch Code as defined in the system
DUPLICATE	String	1	Optional	-	-
TRANSACTIONTYPE	String	4	Optional	Mandatory	Should valid type as maintained in the system.
SPOOLSERIALNO	Number	10	Optional	-	Unique Serial No.
CHECKSTATUS	String	1	Mandatory	-	Check Status • N - New • A – Active • D- Duplicate • C- Cleared • I – Invalid
CHECKPRINTEDDATE	Date	10	Optional	-	Actual Chq Printed Date
FILENAME	String	30	Optional	-	Chq Upload File Name
PROCESSED	String	1	Optional	Mandatory	Unique Sequence number
TIMESTAMP	Date	10	Optional	-	Date Time Stamp
PRINTERID	String	15	Optional	-	Unique Sequence number
USERID	String	320	Mandatory	-	Indicates the user ID who has entered the latest record

Table 1-147 (Cont.) Cheque Tracking File Format

Field Name (as expected in the file)	Data type	Field Length	Attributes	Characteristics/rules	Values
DEPOSITRYID	String	12	Optional	-	DP ID
PAYMENTMODE	String	2	Mandatory	-	Indicates mode of payment. Can be any of the following values. • Q - Cheque • T - Transfer • R - Credit card • C - Cash • D - Draft
SUBPAYMENTMODE	String	2	Optional	-	Can have valid values as defined in the mode of payment table
PAYINBANK	String	12	Optional	-	Should be a valid Bank as defined in the system.
PAYINBRANCH	String	12	Optional	-	Should be a valid Brach as defined in the system.
PAYINNumber	String	12	Optional	-	Unique Serial Number
MODULEID	String	30	Mandatory	-	Should be a valid module ID as defined in the system.
COMMISSION	Number	30,12	Optional	-	Entity Commission Amount
CHEQOPTYPE	String	1	Optional	-	• 0 – Cheque • 3 – Demand Draft • 4 – Outstation Cheques/DD • 5 - Others
SYSREFERENCENumber	String	25	Mandatory	-	Unique Serial number
MICRCODE	String	40	-	-	Cheque MICR Code
AUTHTYPE	String	1	Mandatory	-	Could be either of the following values: • "U" - Unauthorized • "A" – Authorized
IBAN	String	36	Optional	-	Used to capture the IBAN

1.33 Pseudo Switch Lag Upload

This topic provides information on Pseudo Switch Lag Upload.

This is used to capture the pseudo switch lag details:

Table 1-148 Pseudo Switch Lag Upload

Field Name (as expected in the file)	Data type	Field Length	Mandatory/ Optional	Characteristics/rules	Values
SEGMENTID	VARCHAR2	80	Mandatory	-	Segment ID. Value should be 00DET
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number

Table 1-148 (Cont.) Pseudo Switch Lag Upload

Field Name (as expected in the file)	Data type	Field Length	Mandatory/ Optional	Characteristics/rules	Values
PROCESSID	VARCHAR2	5	Mandatory	-	Process ID
FUNDORFUNDFAMILY	VARCHAR2	2	Mandatory	-	Default Value is Fund
AMCID	VARCHAR2	12	Mandatory	-	AMC ID
FROMFUNDFAMILYORFUND	VARCHAR2	6	Mandatory	-	From Fund Family or Fund
TOFUNDFAMILYORFUND	VARCHAR2	6	Mandatory	-	To Fund Family or Fund
PSLAGOPTION	VARCHAR2	1	Mandatory	-	PS lag option
SWITCHLAG	VARCHAR2	2	Mandatory	-	Switch lag
CALENDARBASIS	VARCHAR2	30	Optional	-	Calendar Basis

1.34 Emergency Day Transaction Processing Upload

This topic provides information on Emergency Day Transaction Processing Upload.

This is used to capture emergency day transaction processing review.

Table 1-149 Emergency Day Transaction Processing Upload

Field Name (as expected in the file)	Data type	Field Length	Mandatory/ Optional	Values
SEQUENCENUMBER	NUMBER		Mandatory	Sequence number
PROCESSID	VARCHAR2	50	Mandatory	Process ID
BULKCLIENTCODE	VARCHAR2	12	Mandatory	bulk client code
IMPORTFILENAME	VARCHAR2	255	Mandatory	import file name
KEYSTRING	VARCHAR2	50	Optional	key string
TRANSACTIONNUMBER	VARCHAR2	16	Mandatory	Transaction number
TRANSACTIONTYPE	VARCHAR2	2	Optional	Transaction type
REFTYPE	VARCHAR2	2	Optional	Ref type
FUNDID	VARCHAR2	6	Optional	Fund ID

Table 1-149 (Cont.) Emergency Day Transaction Processing Upload

Field Name (as expected in the file)	Data type	Field Length	Mandatory/ Optional	Values
AMCID	VARCHAR2	12	Optional	Amc ID
FUNDFAMILY	VARCHAR2	6	Optional	Fund family
TRANSACTIONDATE	DATE	7	Optional	Transaction date
NEWTRANSACTIONDATE	DATE	7	Optional	System derived transaction date considering Emergency day
PRICEDATE	DATE	7	Optional	Pricedate
NEWPRICEDATE	DATE	7	Optional	System derived pricedate considering Emergency day
PAYMENTDATE	DATE	7	Optional	Paymentdate
NEWPAYMENTDATE	DATE	7	Optional	System derived payment date considering Emergency day.
DEALINGDATE	DATE	7	Optional	Dealing date
NEWDEALINGDATE	DATE	7	Optional	System derived dealing date considering Emergency day.
FXDEALDATE	DATE	7	Optional	Fx deal date
NEWFXDEALDATE	DATE	7	Optional	System derived fxdeal date Considering Emergency day.
FXVALUEDATE	DATE	7	Optional	Fxvaluedate
NEWFXVALUEDATE	DATE	7	Optional	System derived fxvalue date considering Emergency day
PROCESSDATE	DATE	7	Optional	Date which is declared as Emergency day
USERID	VARCHAR2	320	Mandatory	User who reviewed the transactions
ACTION	VARCHAR2	1	Mandatory	Action performed on the review screen
MODULEID	VARCHAR2	30	Optional	Module ID
EXCHANGERATESTATUS	VARCHAR2	1	Optional	Exchange rate status
SETTLEMENTDUEDATE	DATE	7	Optional	Settlement due date
NEWSETTLEMENTDUEDATE	DATE	7	Optional	System derived Settlement due date considering Emergency day.

1.35 Emergency Day Maintenance Upload

This topic provides information on Emergency Day Maintenance Upload.

This is used to capture emergency day maintenance record:

Table 1-150 Emergency Day Maintenance Upload

Field Name (as expected in the file)	Data Type	Length	Mandator y	Characteristics/ Rules Values
SEQUENCENUMBER	Number	10	Mandatory	System generated sequence number of records in the file
PROCESSID	String	50	Mandatory	The Process ID, along with the system generated sequence number, identifies the uploaded record uniquely. Each file must have a unique Process ID. This should be same for all records within a file.
BULKCLIENTCODE	String	12	Mandatory	The Code of the Bulk client.
IMPORTFILENAME	String	255	Mandatory	Name of the file being uploaded should be specified. Each file must have a unique name.
EMERGENCYDATE	Date	7	Mandatory	The system defaults the system date.
ALERTRECEIVEDTIME	String	5	Mandatory	Specify the time when the alert is received. You need to maintain the time in HH24:MI format.
COPYEXCHANGERATE	String	1	Mandatory	Select if the exchange rate should be copied or not from the drop-down list.
UserID	Var-char2	320	Mandatory	Indicates the user ID who has entered the latest record.
CHECKER_DT_STAMP	Date	7	Optional	This field specifies the date and time at which this record was authorized is displayed in this field. It is defaulted by system and will be in date format
MOD_NO	Number	10	Optional	Indicates the number of times the record got modified
RECORD_STAT	CHAR	1	Optional	Indicates whether the record is open - O or closed - C
ONCE_AUTH	CHAR	1	Optional	Indicates whether the record was authorized at least once after its creation. Authorized(Y)/ Never Authorized(N)
ENTITYTYPE	Alphanu meric	2	Optional	The entity type for which the fund is mapped. This helps us to understand whether it is a Fund-Agent mapping, Fund-Check Vendor mapping, Fund-Clearing, Agent mapping, Fund-Investor mapping, Fund-Individual category mapping or Fund -Corporate category mapping
ENTITYID	Alphanu meric	12	Optional	The Entity ID which belongs to the entity type specified
UPLOADMODE	String	1	Mandatory	Upload Mode

1.36 Campaign Upload

This topic provides information on Campaign Upload.

Serial Number: 1

Segment Identification Number: 00CMP

Description: This is used to capture campaign upload details.

Attributes: Mandatory

Table 1-151 Campaign Upload

Field Name (as expected in the file)	Data type	Length	Attributes	Values
SEGMENTID	VARCHAR2	80	-	Segment ID - 00CMP
SEQUENCENUMBER	NUMBER	10	-	Sequence Number (either sequence number or process ID should be unique)
PROCESSID	VARCHAR2	50	-	Process ID (either sequence number or process ID should be unique)
BULKCLIENTCODE	VARCHAR2	12	-	Bulk Client Code
IMPORTFILENAME	VARCHAR2	255	-	Import File Name
UPLOADMODE	VARCHAR2	1	-	Mode of operation which has to be performed on the record
CAMPAIGNCODE	VARCHAR2	10	Mandatory	Campaign Code
CAMPAIGNNAME	VARCHAR2	60	Mandatory	Campaign Name
CAMPAIGNTYPES	VARCHAR2	1	Mandatory	Campaign Types. Valid Values are: • A - Account Opening Date • T - Transaction Date • L - Loyality • B - Birth Day Campaign
STARTDATE	DATE	-	Mandatory	Campaign code start date
ENDDATE	DATE	-	Mandatory	Campaign code end date
INVESTORTYPE	VARCHAR2	1	Optional	Investor type. Valid Values are: • I - individual • C - Corporate
INVESTORCATEGORY	VARCHAR2	2	Optional	Investor category
COMMUNICATIONMODE	VARCHAR2	2	Optional	Communication mode
OCCUPATION	VARCHAR2	3	Optional	Occupation
MAXINVESTMENTAMOUNT	NUMBER	30,12	-	Maximum investment amount Value should be greater than zero and greater than minimum investment amount
ACTIVITYBASEDON	VARCHAR2	1	-	Activity Based on.Valid values are • T - Transaction Date • A - Account Opening Date • B - Birth Day • •
ACTIVITYFROMPERIOD	DATE	-	Optional	Activity from period

Table 1-151 (Cont.) Campaign Upload

Field Name (as expected in the file)	Data type	Length	Attributes	Values
ACTIVITYTOPERIOD	DATE	-	Optional	Activity to period
AGERANGEFROM	NUMBER	-	Optional	Age Range From
AGERANGETO	NUMBER	-	Optional	Age Range To
BIRTHDAYCONCEPTS	VARCHAR2	50	Optional	Birth Day concepts - Comma separated string
BENEFITCOUNTER	NUMBER	-	Mandatory	Benefit counter
FUNDORFUNDTYPE	NUMBER	38	Optional	Fund or Fund type
PERIOD	VARCHAR2	1	Optional	Period
RSPDATE	VARCHAR2	10	Optional	RSP Date
RSP	VARCHAR2	1	Optional	RSP
USERID	VARCHAR2	320	Mandatory	Indicates the user ID who has entered the latest record
AUTH_STAT	VARCHAR2	1	Optional	Authorisation status
BIRTHDAYMONTH	VARCHAR2	50	Optional	Birth Day Month - comma separated string

1.37 Special Discount Upload

This topic provides information on Special Discount Upload.

This is used to capture special discount upload details:

Table 1-152 Special Discount Upload

Field or Column Name	Data type	Length	Table Name	Attributes
SEGMENTID	VARCHAR2	80	BULKSPECIALDISCMAINTTBL	Mandatory
SEQUENCENUMBER	NUMBER	22	BULKSPECIALDISCMAINTTBL	Mandatory
PROCESSID	VARCHAR2	5	BULKSPECIALDISCMAINTTBL	Mandatory
BULKCLIENTCODE	VARCHAR2	12	BULKSPECIALDISCMAINTTBL	Mandatory
IMPORTFILENAME	VARCHAR2	255	BULKSPECIALDISCMAINTTBL	Mandatory

Table 1-152 (Cont.) Special Discount Upload

Field or Column Name	Data type	Length	Table Name	Attributes
UPLOADMODE	VARCHAR2	1	BULKSPECIALDISCMAINTTBL	Mandatory
FUNDTYPE	VARCHAR2	3	BULKSPECIALDISCMAINTTBL	Mandatory
UNITHOLDERID	VARCHAR2	12	BULKSPECIALDISCMAINTTBL	Mandatory
TRANSACTIONDATE	DATE	7	BULKSPECIALDISCMAINTTBL	Mandatory
TRANSACTIONCURRENCY	VARCHAR2	22	BULKSPECIALDISCMAINTTBL	Optional
CAMPAIGNCODE	VARCHAR2	12	BULKSPECIALDISCMAINTTBL	Mandatory
AUTH_STAT	VARCHAR2	1	BULKSPECIALDISCMAINTTBL	Optional
USERID	VARCHAR2	320	BULKSPECIALDISCMAINTTBL	Mandatory
ONCE_AUTH	CHAR	1	BULKSPECIALDISCMAINTTBL	Optional
MOD_NO	NUMBER	22	BULKSPECIALDISCMAINTTBL	Optional
RECORD_STAT	CHAR	1	BULKSPECIALDISCMAINTTBL	Optional

1.38 Pension Order Upload

This topic explains the systematic instructions on Pension Order Upload.

This topic contains the following sub-topics:

- Pension Order Upload - Segment Identification Number
  This topic explains the systematic instructions on pension order upload of segment identification number.
- Pension Order Upload
  This topic explains the systematic instructions on pension order upload.

1.38.1 Pension Order Upload - Segment Identification Number

This topic explains the systematic instructions on pension order upload of segment identification number.

Table 1-153 Pension Order Upload - Segment Identification Number

Segment Identification Number	Description
01MAS	This segment is used to provide pension order upload details
02INT	This segment is used to provide pension order instrument upload details
03AST	This segment is used to provide pension order asset upload detail
04INT	This segment is used to provide pension order intermediary upload detail

Table 1-153 (Cont.) Pension Order Upload - Segment Identification Number

Segment Identification Number	Description
05SET	This segment is used to provide pension order set- tlement upload detail
06SIN	This segment is used to provide pension order instrument upload detail
07SAS	This segment is used to provide pension order instrument upload detail
08ADD	This segment is used to provide additional informa- tion upload detail

1.38.2 Pension Order Upload

This topic explains the systematic instructions on pension order upload.

For Pension order upload, use UH bulk client code as pension is needed to be maintained in FMG.

Export path of one system should be import path for another system:

Table 1-154 Pension Order Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ORDERUP-LOAD
SEQUENCE-NUMBER	Sequencenum-ber	NUMBER	22	PATB_ORDERUP-LOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ORDERUP-LOAD
BULKCLIENT-CODE	Bulkclientcode	VARCHAR2	12	PATB_ORDERUP-LOAD
UPLOAD-MODE	Uploadmode	VARCHAR2	1	PATB_ORDERUP-LOAD
IMPORTFILE-NAME	Importfilename	VARCHAR2	255	PATB_ORDERUP-LOAD
ORDERNUM-BER	Ordernumber	VARCHAR2	16	PATB_ORDERUP-LOAD
REFERENCE-NUMBER	Referencenum-ber	VARCHAR2	16	PATB_ORDERUP-LOAD
PARTYID	Partyid	VARCHAR2	12	PATB_ORDERUP-LOAD
PORTFOLIOID	Portfolioid	VARCHAR2	16	PATB_ORDERUP-LOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATB_ORDERUP-LOAD
ORDERCUR-RENCY	Ordercurrency	VARCHAR2	3	PATB_ORDERUP-LOAD
ORDERMODE	Ordermode	VARCHAR2	1	PATB_ORDERUP-LOAD
ORDERVALUE	Ordervalue	NUMBER	30	PATB_ORDERUP-LOAD
INSTRUC-TIONDATE	Instructiondate	DATE	7	PATB_ORDERUP-LOAD
ORDERTYPE	Ordertype	VARCHAR2	3	PATB_ORDERUP-LOAD
ORDERSUB-TYPE	Ordersubtype	VARCHAR2	3	PATB_ORDERUP-LOAD
PAYMENT-MODE	Paymentmode	VARCHAR2	2	PATB_ORDERUP-LOAD
GROSSOR-NET	Grossornet	VARCHAR2	1	PATB_ORDERUP-LOAD
MODULEID	Moduleid	VARCHAR2	30	PATB_ORDERUP-LOAD
ORDERSTA-TUS	Orderstatus	NUMBER	2	PATB_ORDERUP-LOAD

Table 1-154 (Cont.) Pension Order Upload

Field name	Description	Datatype	Length	Table Name
ORIGIN-ALORDNUM-BER	Originalord-number	VARCHAR2	16	PATB_ORDERUP-LOAD
ORIGINAL-REFNUMBER	Originalrefnu m-ber	VARCHAR2	16	PATB_ORDERUP-LOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATB_ORDERUP-LOAD
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATB_ORDERUP-LOAD
RECORD_STA T	Record_Stat	VARCHAR2	1	PATB_ORDERUP-LOAD
MOD_NO	Mod_No	NUMBER	22	PATB_ORDERUP-LOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATB_ORDERUP-LOAD
MAK-ER_DT_STAM P	Mak-er_Dt_Stamp	DATE	7	PATB_ORDERUP-LOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATB_ORDERUP-LOAD
CHECK-ER_DT_STAM P	Check-er_Dt_Stamp	DATE	7	PATB_ORDERUP-LOAD
REMARKS	Remarks	VARCHAR2	255	PATB_ORDERUP-LOAD
SWIORDER-STATUS	Swiorderstatu s	NUMBER	2	PATB_ORDERUP-LOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ORDERIN-STRUMENTUPLOAD
SEQUENCE-NUMBER	Sequencenu m-ber	NUMBER	22	PATB_ORDERIN-STRUMENTUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ORDERIN-STRUMENTUPLOAD
BULKCLIENT-CODE	Bulkclientcod e	VARCHAR2	12	PATB_ORDERIN-STRUMENTUPLOAD
UPLOAD-MODE	Uploadmode	VARCHAR2	1	PATB_ORDERIN-STRUMENTUPLOAD
IMPORTFILE-NAME	Importfilenam e	VARCHAR2	255	PATB_ORDERIN-STRUMENTUPLOAD
ORDERNUM-BER	Ordernumber	VARCHAR2	16	PATB_ORDERIN-STRUMENTUPLOAD
INSTRUMEN-TID	Instrumentid	VARCHAR2	16	PATB_ORDERIN-STRUMENTUPLOAD
SPLITPER-CENTAGE	Splitpercenta ge	NUMBER	22	PATB_ORDERIN-STRUMENTUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ORDERINS- TASSETUPLOAD
SEQUENCE-NUMBER	Sequencenu m-ber	NUMBER	22	PATB_ORDERINS- TASSETUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ORDERINS- TASSETUPLOAD
BULKCLIENT-CODE	Bulkclientcod e	VARCHAR2	12	PATB_ORDERINS- TASSETUPLOAD
UPLOAD-MODE	Uploadmode	VARCHAR2	1	PATB_ORDERINS- TASSETUPLOAD
IMPORTFILE-NAME	Importfilenam e	VARCHAR2	255	PATB_ORDERINS- TASSETUPLOAD
ORDERNUM-BER	Ordernumber	VARCHAR2	16	PATB_ORDERINS- TASSETUPLOAD
INSTRUMEN-TID	Instrumentid	VARCHAR2	16	PATB_ORDERINS- TASSETUPLOAD
ASSETID	Assetid	VARCHAR2	16	PATB_ORDERINS- TASSETUPLOAD
RATIO	Ratio	NUMBER	22	PATB_ORDERINS- TASSETUPLOAD
OVERRIDE	Override	VARCHAR2	1	PATB_ORDERINS- TASSETUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ORDERINTER-MEDIARYUPLOAD

Table 1-154 (Cont.) Pension Order Upload

Field name	Description	Datatype	Length	Table Name
SEQUENCE-NUMBER	Sequencenum-ber	NUMBER	22	PATB_ORDERINTER-MEDIARYUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ORDERINTER-MEDIARYUPLOAD
BULKCLIENT-CODE	Bulkclientcode	VARCHAR2	12	PATB_ORDERINTER-MEDIARYUPLOAD
UPLOAD-MODE	Uploadmode	VARCHAR2	1	PATB_ORDERINTER-MEDIARYUPLOAD
IMPORTFILE-NAME	Importfilename	VARCHAR2	255	PATB_ORDERINTER-MEDIARYUPLOAD
ORDERNUM-BER	Ordernumber	VARCHAR2	16	PATB_ORDERINTER-MEDIARYUPLOAD
INTERMEDI-ARYID	Intermediaryid	VARCHAR2	12	PATB_ORDERINTER-MEDIARYUPLOAD
SPLITPER-CENTAGE	Splitpercentage	NUMBER	22	PATB_ORDERINTER-MEDIARYUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ORDERSET- TLEMENTUPLOAD
SEQUENCE-NUMBER	Sequencenum- ber	NUMBER	22	PATB_ORDERSET- TLEMENTUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ORDERSET- TLEMENTUPLOAD
BULKCLIENT-CODE	Bulkclientcode	VARCHAR2	12	PATB_ORDERSET- TLEMENTUPLOAD
UPLOAD-MODE	Uploadmode	VARCHAR2	1	PATB_ORDERSET- TLEMENTUPLOAD
IMPORTFILE-NAME	Importfilename	VARCHAR2	255	PATB_ORDERSET- TLEMENTUPLOAD
ORDERNUM-BER	Ordernumber	VARCHAR2	16	PATB_ORDERSET- TLEMENTUPLOAD
PAYMENTREF-NUMBER	Paymentref-number	VARCHAR2	16	PATB_ORDERSET- TLEMENTUPLOAD
BANKREFER-ENCENO	Bankreferen-ceno	VARCHAR2	16	PATB_ORDERSET- TLEMENTUPLOAD
CHEQUEDATE	Chequedate	DATE	7	PATB_ORDERSET- TLEMENTUPLOAD
CHEQUENUM-BER	Chequenumber	VARCHAR2	20	PATB_ORDERSET- TLEMENTUPLOAD
PAYMENTA-MOUNT	Paymenta-mount	NUMBER	22	PATB_ORDERSET- TLEMENTUPLOAD
PAIDDATE	Paiddate	DATE	7	PATB_ORDERSET- TLEMENTUPLOAD
SETTLED	Settled	VARCHAR2	1	PATB_ORDERSET- TLEMENTUPLOAD
AUTOSETTLE-MENT	AUTOSETTLE-MENT	NUMBER	1	PATB_ORDERSET- TLEMENTUPLOAD
SETTLEMENT-DUEDATE	SETTLEMENT-DUEDATE	DATE	7	PATB_ORDERSET- TLEMENTUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_SWORDERIN-STRUMENTUPLOAD
SEQUENCE-NUMBER	Sequencenum-ber	NUMBER	22	PATB_SWORDERIN-STRUMENTUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_SWORDERIN-STRUMENTUPLOAD

Table 1-154 (Cont.) Pension Order Upload

Field name	Description	Datatype	Length	Table Name
BULKCLIENT-CODE	Bulkclientcode	VARCHAR2	12	PATB_SWORDERIN-STRUMENTUPLOAD
UPLOAD-MODE	Uploadmode	VARCHAR2	1	PATB_SWORDERIN-STRUMENTUPLOAD
IMPORTFILE-NAME	Importfilename	VARCHAR2	255	PATB_SWORDERIN-STRUMENTUPLOAD
ORDERNUM-BER	Ordernumber	VARCHAR2	16	PATB_SWORDERIN-STRUMENTUPLOAD
INSTRUMEN-TID	Instrumentid	VARCHAR2	16	PATB_SWORDERIN-STRUMENTUPLOAD
SPLITPER-CENTAGE	Splitpercentage	NUMBER	22	PATB_SWORDERIN-STRUMENTUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_SWORDERIN-STASSETUPLOAD
SEQUENCE-NUMBER	Sequencenum-ber	NUMBER	22	PATB_SWORDERIN-STASSETUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_SWORDERIN-STASSETUPLOAD
BULKCLIENT-CODE	Bulkclientcode	VARCHAR2	12	PATB_SWORDERIN-STASSETUPLOAD
UPLOAD-MODE	Uploadmode	VARCHAR2	1	PATB_SWORDERIN-STASSETUPLOAD
IMPORTFILE-NAME	Importfilename	VARCHAR2	255	PATB_SWORDERIN-STASSETUPLOAD
ORDERNUM-BER	Ordernumber	VARCHAR2	16	PATB_SWORDERIN-STASSETUPLOAD
INSTRUMEN-TID	Instrumentid	VARCHAR2	16	PATB_SWORDERIN-STASSETUPLOAD
ASSETID	Assetid	VARCHAR2	16	PATB_SWORDERIN-STASSETUPLOAD
RATIO	Ratio	NUMBER	22	PATB_SWORDERIN-STASSETUPLOAD
OVERRIDE	Override	VARCHAR2	1	PATB_SWORDERIN-STASSETUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ADDINFOUP-LOAD
SEQUENCE-NUMBER	Sequencenum-ber	NUMBER	22	PATB_ADDINFOUP-LOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ADDINFOUP-LOAD
BULKCLIENT-CODE	Bulkclientcode	VARCHAR2	12	PATB_ADDINFOUP-LOAD
UPLOAD-MODE	Uploadmode	VARCHAR2	1	PATB_ADDINFOUP-LOAD
IMPORTFILE-NAME	Importfilename	VARCHAR2	255	PATB_ADDINFOUP-LOAD
ORDERNUM-BER	Ordernumber	VARCHAR2	16	PATB_ADDINFOUP-LOAD
ADDINFOLA-BEL	Addinfolabel	VARCHAR2	30	PATB_ADDINFOUP-LOAD
FIELDVALUE	Fieldvalue	CHAR	23	PATB_ADDINFOUP-LOAD

1.39 Payroll and Pension Data Interface Standard (PAPDIS) Upload

This topic explains the systematic instructions on Payroll and Pension Data Interface Standard (PAPDIS) Upload.

The DATE format for Payroll and Pension Data Interface Standard (PAPDIS) upload to be maintained as per the PAPDIS standard format which is YYYY-MM-DD. Format maintained at interface definition level will be overridden by this.

> **Note:**
>
> CFU and PAPDIS are the standard format, where we cannot have the audit fields in the upload template.

Contribution File Upload

Employer level portfolio mapping for the following portfolios mandatory for CFU/PAPDIS upload:

- EMPRMND
- EMPYMND
- EMPYVOL
- EMPRVOL – Not applicable for PAPDIS

Export path of one system should be import path for another system.

Table 1-155 Export path

Field name	Description	Datatype	Length
SEQUENCENUM-BER	Sequence number of the record	VARCHAR2	10
PROCESSID	Process ID of the record	VARCHAR2	50
BULKCLIENT-CODE	Bulk client code for the external system	VARCHAR2	12
UPLOADMODE	Mod e of upload(N,E,A,D)	VARCHAR2	1
IMPORTFILENAME	Name of the file	VARCHAR2	255
MODULEID	Module	VARCHAR2	30
PAPDISVERSION	Version	VARCHAR2	5
PENSIONPROVID-ERID	ID of the pension provider	VARCHAR2	35
EMPLOYERID	ID of the employer	VARCHAR2	40
GROUPCODE	Group code	VARCHAR2	40
SUBGROUP	Sub group	VARCHAR2	40
PAYPERIOD-STARTDATE	Start date of the pay period	VARCHAR2	10
PAYPERIODEND-DATE	End date of the pay period	VARCHAR2	10
CONTRIDEDUC-TIONDATE	Deduction date of the contri	VARCHAR2	10
FREQUENCY-CODE	Code of frequency	VARCHAR2	2

Table 1-155 (Cont.) Export path

Field name	Description	Datatype	Length
TAXPERIOD	Tax period	VARCHAR2	2
TITLE	Title	VARCHAR2	35
FORENAME1	Forename1	VARCHAR2	35
FORENAME2	Forename2	VARCHAR2	35
SURNAME	Surname	VARCHAR2	35
EMPLOYEEID	EmployeeID	VARCHAR2	35
BIRTHDATE	Birthdate	VARCHAR2	10
GENDER	Gender	VARCHAR2	1
NATIONALINSUR-ANCENO	Number of national insur-ance	VARCHAR2	9
ADDRESS1	Address1	VARCHAR2	35
ADDRESS2	Address2	VARCHAR2	35
ADDRESS3	Address3	VARCHAR2	35
ADDRESS4	Address4	VARCHAR2	35
POSTCODE	Post code	VARCHAR2	10
COUNTRY	Country	VARCHAR2	35
EMAILADDRESS	Emailaddress	VARCHAR2	75
EMPLOYMENT-STARTDATE	Employmentstartdate	VARCHAR2	10
EXITDATE	Exitdate	NUMBER	10
EXITREASON-CODE	Exitreasoncode	NUMBER	1
ASSESSMENT-CODE	Assessmentcode	NUMBER	1
EVENTCODE	Eventcode	VARCHAR2	1
EVENTDATE	Eventdate	VARCHAR2	10
DEFERRALDATE	Deferraldate	VARCHAR2	10
AEOPTOUTDATE	Aeoptoutdate	VARCHAR2	10
AEOPTOUTREF-ERENCE	Aeoptoutreference	VARCHAR2	35
ENROLMENT-COMMISSUEDDT	Enrolmentcommissueddt	NUMBER	10
EMPLOYERCON-TRIBUTIONSAMT	Employercontributionsamt	NUMBER	32
EMPLOYEECON-TRIBUTIONSAMT	Employeecontributionsamt	NUMBER	32
ADDITIONALVOL-UNTARYAMT	Additionalvoluntaryamt	NUMBER	32
ADDITIONALVOL-UNTARYPER	Additionalvoluntaryper	NUMBER	5
PENSIONA-BLEEARNING-SAMT	Pensionableearningsamt	NUMBER	32
EMPLOYERCON-TRIBUTIONSPER	Employercontributionsper	NUMBER	5
EMPLOYEECON-TRIBUTIONSPER	Employeecontributionsper	VARCHAR2	5
SALARYSACRIFI-CEINDICATOR	Salarysacrificeindicator	VARCHAR2	1

Table 1-155 (Cont.) Export path

Field name	Description	Datatype	Length
TOTALGROS-SEARNINGS	Totalgrossearnings	NUMBER	32
WORKEREXCLU-SIONCODE	Workerexclusioncode	VARCHAR2	2
STATUTORYLET-TERCODE	Statutorylettercode	VARCHAR2	3
ISINDALREADY-MEMBEROFQPS	Isindalreadymemberofqps	VARCHAR2	1
REENROL-MENTINDICATOR	Reenrolmentindicator	VARCHAR2	1
SECOND-ARYEMAILAD-DRESS	Secondaryemailaddress	VARCHAR2	75
STAGINGDATE	Stagingdate	VARCHAR2	10
CYCLICALREEN-ROLMENTDATE	Cyclicalreenrolmentdate	NUMBER	10
MESSAGEFUNC-TIONCODE	Messagefunctioncode	VARCHAR2	3
PAYREFERENCE-STARTDATE	Payreferencestartdate	VARCHAR2	10
PAYREFEREN-CEENDDATE	Payreferenceenddate	VARCHAR2	10
OPTOUTWIN-DOWENDDATE	Optoutwindowenddate	VARCHAR2	10

1.40 Contribution File Upload (CFU) Upload

This topic explains the systematic instructions on Contribution File Upload (CFU) Upload.

CFU and PAPDIS are the standard format, where we cannot have the audit fields in the upload template.

Table 1-156 Contribution File Upload (CFU) Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATB_CFUP-LOADHDR
PROCESSID	Processid	VARCHAR2	50	PATB_CFUP-LOADHDR
BULKCLIENT-CODE	Bulkclientcode	VARCHAR2	12	PATB_CFUP-LOADHDR
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_CFUP-LOADHDR
BATCHREFNUM-BER	Batchrefnumber	VARCHAR2	16	PATB_CFUP-LOADHDR
EMPLOYER-CODE	Employercode	VARCHAR2	12	PATB_CFUP-LOADHDR
EARNINGSST-PERIOD	Earningsstperiod	VARCHAR2	11	PATB_CFUP-LOADHDR
EARNINGSEND-PERIOD	Earningsend-period	VARCHAR2	11	PATB_CFUP-LOADHDR

Table 1-156 (Cont.) Contribution File Upload (CFU) Upload

Field name	Description	Datatype	Length	Table Name
CONTRIBU-TIONCCY	Contributionc cy	VARCHAR2	3	PATB_CFUP-LOADHDR
TOTALCONTRI-BUTIONAMT	Totalcontributi on-amt	NUMBER	30	PATB_CFUP-LOADHDR
SETTLEMEN-TREQ	Settlementreq	VARCHAR2	1	PATB_CFUP-LOADHDR
PAYMENTMODE	Payment Mode	VARCHAR2	2	PATB_CFUP-LOADHDR
BATCHSTATUS	Batch Status	VARCHAR2	1	PATB_CFUP-LOADHDR
SEGMENTID	Segmentid	VARCHAR2	20	PATB_CFUP-LOADDETAIL
SEQUENCE-NUMBER	Sequencenu mber	NUMBER	10	PATB_CFUP-LOADDETAIL
PROCESSID	Processid	VARCHAR2	50	PATB_CFUP-LOADDETAIL
EMPLOYEE-CODE	Employeecod e	VARCHAR2	12	PATB_CFUP-LOADDETAIL
EMPLOYERMAN-DATORY	Employerman da-tory	NUMBER	30	PATB_CFUP-LOADDETAIL
EMPLOYEEMAN-DATORY	Employeeman da-tory	NUMBER	30	PATB_CFUP-LOADDETAIL
EMPLOYERVOL-UNTARY	Employervolu n-tary	NUMBER	30	PATB_CFUP-LOADDETAIL
EMPLOYEEVOL-UNTARY	Employeevolu n-tary	NUMBER	30	PATB_CFUP-LOADDETAIL
REMARKS	Remarks	VARCHAR2	255	PATB_CFUP-LOADDETAIL
BASICPAY	Basic Pay	NUMBER	30	PATB_CFUP-LOADDETAIL
EXITDATE	Exit Date	DATE	7	PATB_CFUP-LOADDETAIL
REASONCODE	Reason Code	NUMBER	1	PATB_CFUP-LOADDETAIL
RECORDSTATUS	Record Status	VARCHAR2	1	PATB_CFUP-LOADDETAIL

1.41 CFU - Order Generation

This topic explains the systematic instructions on CFU - Order Generation.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic explains the systematic instructions on broker export of segment identification number.
- CFU Order Generation
  This topic explains the systematic instructions on CFU Order Generation.

1.41.1 Segment Identification Number

This topic explains the systematic instructions on broker export of segment identification number.

Table 1-157 Segment Identification Number

Segment Identification Number	Description
HDR	This segment is used to provide CFU Header details.
DET	This segment is used to provide CFU Upload details,

1.41.2 CFU Order Generation

This topic explains the systematic instructions on CFU Order Generation.

Table 1-158 CFU Order Generation

Field Name	Description	Datatype	Length	Table name
SEGMENTID	Segmentid	VARCHAR2	20	PATB_CFUPLOADHDR
PROCESSID	Processid	VARCHAR2	50	PATB_CFUPLOADHDR
BULKCLIENT-CODE	Bulkclient-code	VARCHAR2	12	PATB_CFUPLOADHDR
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_CFUPLOADHDR
BATCHREF-NUMBER	Batchref-number	VARCHAR2	16	PATB_CFUPLOADHDR
EMPLOYER-CODE	Employer-code	VARCHAR2	12	PATB_CFUPLOADHDR
EARNINGSST-PERIOD	Earningsst-period	VARCHAR2	11	PATB_CFUPLOADHDR
EARNINGSEND-PERIOD	Earn-ingsend-period	VARCHAR2	11	PATB_CFUPLOADHDR
CONTRIBU-TIONCCY	Contribu-tionccy	VARCHAR2	3	PATB_CFUPLOADHDR
TOTALCONTRI-BUTIONAMT	Totalcontri-butionamt	NUMBER	30	PATB_CFUPLOADHDR
SETTLEMEN-TREQ	Settlemen-treq	VARCHAR2	1	PATB_CFUPLOADHDR
SEGMENTID	Segmentid	VARCHAR2	20	PATB_CFUPLOADDE-TAIL
SEQUENCE-NUMBER	Sequence-number	NUMBER	10	PATB_CFUPLOADDE-TAIL
PROCESSID	Processid	VARCHAR2	50	PATB_CFUPLOADDE-TAIL
EMPLOYEE-CODE	Employee-code	VARCHAR2	12	PATB_CFUPLOADDE-TAIL
EMPLOYER-MANDATORY	Employer-mandatory	NUMBER	30	PATB_CFUPLOADDE-TAIL

Table 1-158 (Cont.) CFU Order Generation

Field Name	Description	Datatype	Length	Table name
EMPLOYEE-MANDATORY	Employee-mandatory	NUMBER	30	PATB_CFUPLOADDE-TAIL
EMPLOY-ERVOLUNTARY	Employ-ervoluntary	NUMBER	30	PATB_CFUPLOADDE-TAIL
EMPLOY-EEVOLUNTARY	Employ-eevoluntary	NUMBER	30	PATB_CFUPLOADDE-TAIL
REMARKS	Remarks	VARCHAR2	255	PATB_CFUPLOADDE-TAIL
BASICPAY	Basicpay	NUMBER	30	PATB_CFUPLOADDE-TAIL
EXITDATE	Exitdate	DATE	7	PATB_CFUPLOADDE-TAIL
REASONCODE	REASON-CODE	NUMBER	3	PATB_CFUPLOADDE-TAIL
PROCESSSTA-TUS	PRO-CESSSTA-TUS	VARCHAR2	1	PATB_CFUPLOADDE-TAIL

Table 1-159 Following validations will be common for both CFU Upload and CFU order generation uploads:

Error Codes	Error Messages	Use case
E-DUPBA-TREFNO	Duplicate batch reference num-ber	If same batch reference num- ber is used in upload file
E-CFUREASON	Reason code for contribution file not maintained	If all contributions are empty and reason code is blank sys- tem will display this error
E-NOACCOUNT	Pension account is not available for this employee	If investment account if the employee is not an active pen- sion account
E-DUPCONT	Contributions already processed for employee [Employeecode] for the period [Start period] and [End period]	If investments are already pro- cessed successfully for the employee for the uploaded contribution period
E-DECMEM	Contributions are not allowed for Deceased member	If employee is deceased and user tries to upload
E-NOCON-TRIEMP	Contribution amount missing for the employee	If Contribution amount is miss- ing
E-EMPLOMAN-CON	Employer mandatory contribution amount should be entered or rea-son should be provided	No employer mandatory and reason code also blank
E-EMPLYMAN-CON	Employee mandatory contribu- tion amount should be entered or reason should be provided	No employee mandatory and reason code is blank
E-EXITWOREAS	Employee exit date provided, without reason code	Exit date without reason code
E-INVREASON-CODE	Invalid reason code	Invalid reason code
E-EMPRCONT-MIS	Contribution amount not matching employee group limits	Contribution amount not matching employee group lim- its
E-EMPYCONT-MIS	Contribution amount not matching employee group limits	Contribution amount not matching employee group lim- its

Table 1-159 (Cont.) Following validations will be common for both CFU Upload and CFU order generation uploads:

Error Codes	Error Messages	Use case
E-INVEXITDATE	Exit Date cannot be lesser than employee's Date of Joining	Exit Date cannot be lesser than employee's Date of Join- ing
E-INVSTEND-PERIOD	Contribution period cannot be lesser than employees Date of Joining	Contribution period cannot be lesser than employees Date of Joining

1.42 Pension Order Import

This topic explains the systematic instructions on Pension Order Import.

This topic contains the following sub-topics:

- Pension Order Import - Segment Identification Number
  This topic explains the systematic instructions on pension order import of segment identification number.
- Pension Order Import Upload
  This topic explains the systematic instructions on pension order import upload.

1.42.1 Pension Order Import - Segment Identification Number

This topic explains the systematic instructions on pension order import of segment identification number.

Table 1-160 Pension Order Import - Segment Identification Number

Segment Identification Number	Description
01ORD	This segment is used to provide Pension order master upload details
02OIN	This segment is used to provide Pension order instrument upload details
03OIA	This segment is used to provide Pension order investment asset upload detail
04OIT	This segment is used to provide Pension order intermediary upload detail
05OSE	This segment is used to provide Pension settlement upload detail
06PMA	This segment is used to provide Pension party master upload detail
07PCO	This segment is used to provide Pension party contact upload detail
08PID	This segment is used to provide Pension party ID upload detail
09IAM	This segment is used to provide Pension investment master upload detail
10IAI	This segment is used to provide Pension investment account intermediary upload detail
11IAB	This segment is used to provide Pension bank upload detail
12IBE	This segment is used to provide Pension investment account beneficiary upload detail
13ALO	This segment is used to provide Pension order allocation upload detail

1.42.2 Pension Order Import Upload

This topic explains the systematic instructions on pension order import upload.

Table 1-161 Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PHORDERUP-LOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHORDERUP-LOADTBL
PROCESSID	Processid	VARCHAR2	50	PHORDERUP-LOADTBL
BULKCLI-ENTCODE	Bulkclientcode	VARCHAR2	12	PHORDERUP-LOADTBL
UPLOAD-MODE	Uploadmode	VARCHAR2	1	PHORDERUP-LOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHORDERUP-LOADTBL
ORDER-NUMBER	Ordernumber	VARCHAR2	16	PHORDERUP-LOADTBL
REFEREN-CENUMBER	Referencenumber	VARCHAR2	16	PHORDERUP-LOADTBL
PARTYID	Partyid	VARCHAR2	12	PHORDERUP-LOADTBL
PORTFO-LIOID	Portfolioid	VARCHAR2	16	PHORDERUP-LOADTBL
ACCOUNTID	Accountid	VARCHAR2	16	PHORDERUP-LOADTBL
ORDERCUR-RENCY	Ordercurrency	VARCHAR2	3	PHORDERUP-LOADTBL
ORDER-MODE	Ordermode	VARCHAR2	1	PHORDERUP-LOADTBL
ORDER-VALUE	Ordervalue	NUMBER	30	PHORDERUP-LOADTBL
INSTRUC-TIONDATE	Instructiondate	DATE	7	PHORDERUP-LOADTBL
ORDER-TYPE	Ordertype	VARCHAR2	3	PHORDERUP-LOADTBL
ORDERSUB-TYPE	Ordersubtype	VARCHAR2	3	PHORDERUP-LOADTBL
PAYMENT-MODE	Paymentmode	VARCHAR2	2	PHORDERUP-LOADTBL
GROSSOR-NET	Grossornet	VARCHAR2	1	PHORDERUP-LOADTBL
MODULEID	Moduleid	VARCHAR2	30	PHORDERUP-LOADTBL
ORDERSTA-TUS	Orderstatus	NUMBER	2	PHORDERUP-LOADTBL
ORIGIN-ALORDNUM-BER	Originalordnumber	VARCHAR2	16	PHORDERUP-LOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
ORIGINAL-REFNUM-BER	Originalrefnumber	VARCHAR2	16	PHORDERUP-LOADTBL
AUTH_STAT	Auth_Stat	VARCHAR2	1	PHORDERUP-LOADTBL
ONCE_AUT H	Once_Auth	VARCHAR2	1	PHORDERUP-LOADTBL
RECORD_S TAT	Record_Stat	VARCHAR2	1	PHORDERUP-LOADTBL
MOD_NO	Mod_No	NUMBER	22	PHORDERUP-LOADTBL
MAKER_ID	Maker_Id	VARCHAR2	320	PHORDERUP-LOADTBL
MAK-ER_DT_STA MP	Maker_Dt_Stamp	DATE	7	PHORDERUP-LOADTBL
CHECK-ER_ID	Checker_Id	VARCHAR2	320	PHORDERUP-LOADTBL
CHECK-ER_DT_STA MP	Checker_Dt_Stamp	DATE	7	PHORDERUP-LOADTBL
REMARKS	Remarks	VARCHAR2	255	PHORDERUP-LOADTBL
SWIORDER-STATUS	Swiorderstatus	VARCHAR2	2	PHORDERUP-LOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHORDERIN-STRUMENTUP-LOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHORDERIN-STRUMENTUP-LOADTBL
PROCESSID	Processid	VARCHAR2	50	PHORDERIN-STRUMENTUP-LOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHORDERIN-STRUMENTUP-LOADTBL
ORDER-NUMBER	Ordernumber	VARCHAR2	16	PHORDERIN-STRUMENTUP-LOADTBL
INSTRU-MENTID	Instrumentid	VARCHAR2	16	PHORDERIN-STRUMENTUP-LOADTBL
SPLITPER-CENTAGE	Splitpercentage	NUMBER	5	PHORDERIN-STRUMENTUP-LOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHORDERINSTAS-SETUPLOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHORDERINSTAS-SETUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHORDERINSTAS-SETUPLOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHORDERINSTAS-SETUPLOADTBL
ORDER-NUMBER	Ordernumber	VARCHAR2	16	PHORDERINSTAS-SETUPLOADTBL
INSTRU-MENTID	Instrumentid	VARCHAR2	16	PHORDERINSTAS-SETUPLOADTBL
ASSETID	Assetid	VARCHAR2	16	PHORDERINSTAS-SETUPLOADTBL
RATIO	Ratio	NUMBER	5	PHORDERINSTAS-SETUPLOADTBL
OVERRIDE	Override	VARCHAR2	1	PHORDERINSTAS-SETUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHORDERINTER-MEDIARYUP-LOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHORDERINTER-MEDIARYUP-LOADTBL
PROCESSID	Processid	VARCHAR2	50	PHORDERINTER-MEDIARYUP-LOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHORDERINTER-MEDIARYUP-LOADTBL
ORDER-NUMBER	Ordernumber	VARCHAR2	16	PHORDERINTER-MEDIARYUP-LOADTBL
INTERMEDI-ARYID	Intermediaryid	VARCHAR2	12	PHORDERINTER-MEDIARYUP-LOADTBL
SPLITPER-CENTAGE	Splitpercentage	NUMBER	5	PHORDERINTER-MEDIARYUP-LOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHORDERSET-TLEMENTUP-LOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHORDERSET-TLEMENTUP-LOADTBL
PROCESSID	Processid	VARCHAR2	50	PHORDERSET-TLEMENTUP-LOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHORDERSET-TLEMENTUP-LOADTBL
ORDER- NUMBER	Ordernumber	VARCHAR2	16	PHORDERSET-TLEMENTUP-LOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
PAYMEN-TREFNUM-BER	Paymentrefnumber	VARCHAR2	16	PHORDERSET-TLEMENTUP-LOADTBL
BANKREF-ERENCENO	Bankreferenceno	VARCHAR2	16	PHORDERSET-TLEMENTUP-LOADTBL
CHEQUE-DATE	Chequedate	DATE	7	PHORDERSET-TLEMENTUP-LOADTBL
CHEQUE-NUMBER	Chequenumber	VARCHAR2	20	PHORDERSET-TLEMENTUP-LOADTBL
PAYMENTA-MOUNT	Paymentamount	NUMBER	30	PHORDERSET-TLEMENTUP-LOADTBL
PAIDDATE	Paiddate	DATE	7	PHORDERSET-TLEMENTUP-LOADTBL
SETTLED	Settled	VARCHAR2	1	PHORDERSET-TLEMENTUP-LOADTBL
ACCOUNTH OLDER-NAME	Accountholdernam e	VARCHAR2	100	PHORDERSET-TLEMENTUP-LOADTBL
ACCOUNT-TYPE	Accounttype	VARCHAR2	1	PHORDERSET-TLEMENTUP-LOADTBL
ACCOUNT-CURRENCY	Accountcurrency	VARCHAR2	3	PHORDERSET-TLEMENTUP-LOADTBL
BANKCODE	Bankcode	VARCHAR2	12	PHORDERSET-TLEMENTUP-LOADTBL
BRANCH-CODE	Branchcode	VARCHAR2	12	PHORDERSET-TLEMENTUP-LOADTBL
ACCOUNT-NUMBER	Accountnumber	VARCHAR2	20	PHORDERSET-TLEMENTUP-LOADTBL
IBAN	Iban	VARCHAR2	40	PHORDERSET-TLEMENTUP-LOADTBL
AUTOSET-TLEMENT	AUTOSETTLE-MENT	NUMBER	1	PHORDERSET-TLEMENTUP-LOADTBL
SETTLE-MENTDUE-DATE	SETTLEMENTDU E-DATE	DATE	7	PHORDERSET-TLEMENTUP-LOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHPARTYMAS-TERUPLOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHPARTYMAS-TERUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHPARTYMAS-TERUPLOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHPARTYMAS-TERUPLOADTBL
PARTYID	Partyid	VARCHAR2	12	PHPARTYMAS-TERUPLOADTBL
PARTYTYPE	Partytype	VARCHAR2	3	PHPARTYMAS-TERUPLOADTBL
TITLE	Title	VARCHAR2	15	PHPARTYMAS-TERUPLOADTBL
FIRSTNAME	Firstname	VARCHAR2	100	PHPARTYMAS-TERUPLOADTBL
MIDDLE- NAME	Middlename	VARCHAR2	100	PHPARTYMAS-TERUPLOADTBL
LASTNAME	Lastname	VARCHAR2	100	PHPARTYMAS-TERUPLOADTBL
REGIS-TEREDNAM E	Registeredname	VARCHAR2	100	PHPARTYMAS-TERUPLOADTBL
DATEOF-BIRTH	Dateofbirth	DATE	7	PHPARTYMAS-TERUPLOADTBL
DATEOFIN-CORPORA-TION	Dateofincorporatio n	DATE	7	PHPARTYMAS-TERUPLOADTBL
MARITAL-STATUS	Maritalstatus	VARCHAR2	1	PHPARTYMAS-TERUPLOADTBL
OCCUPA-TION	Occupation	VARCHAR2	3	PHPARTYMAS-TERUPLOADTBL
GENDER	Gender	VARCHAR2	1	PHPARTYMAS-TERUPLOADTBL
PRE-FERRED-CURRENCY	Preferredcurrency	VARCHAR2	3	PHPARTYMAS-TERUPLOADTBL
MINOR	Minor	NUMBER	1	PHPARTYMAS-TERUPLOADTBL
NATIONAL-ITY	Nationality	VARCHAR2	3	PHPARTYMAS-TERUPLOADTBL
COUNTRY-OFDOMI-CILE	Countryofdomicile	VARCHAR2	3	PHPARTYMAS-TERUPLOADTBL
COUNTRY-OFBIRTH	Countryofbirth	VARCHAR2	3	PHPARTYMAS-TERUPLOADTBL
DUALNA-TIONALITY	Dualnationality	VARCHAR2	3	PHPARTYMAS-TERUPLOADTBL
SUBSTAN-TIALSTAYI-NUS	Substantialstayinus	NUMBER	1	PHPARTYMAS-TERUPLOADTBL
HEALTHSTA-TUS	Healthstatus	VARCHAR2	1	PHPARTYMAS-TERUPLOADTBL
PROTEC-TIONLEVEL	Protectionlevel	VARCHAR2	1	PHPARTYMAS-TERUPLOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
FIRSTAU-THORIZED-SIGNATORY	Firstauthorizedsig-natory	VARCHAR2	100	PHPARTYMAS-TERUPLOADTBL
SECONDAU-THORIZED-SIGNATORY	Secondauthorized-signatory	VARCHAR2	100	PHPARTYMAS-TERUPLOADTBL
THIRDAU-THORIZED-SIGNATORY	Thirdauthorizedsig-natory	VARCHAR2	100	PHPARTYMAS-TERUPLOADTBL
CORPORA-TIONTYPE	Corporationtype	VARCHAR2	3	PHPARTYMAS-TERUPLOADTBL
MINNOOF-CORPORA-TESIGNATO RY	Minnoofcorporates-ignatory	NUMBER	22	PHPARTYMAS-TERUPLOADTBL
INCORPO-RATION-COUNTRY	Incorporationcoun-try	VARCHAR2	3	PHPARTYMAS-TERUPLOADTBL
MODULEID	Moduleid	VARCHAR2	30	PHPARTYMAS-TERUPLOADTBL
MAKER_ID	Maker_Id	VARCHAR2	320	PHPARTYMAS-TERUPLOADTBL
MAK-ER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PHPARTYMAS-TERUPLOADTBL
CHECK-ER_ID	Checker_Id	VARCHAR2	320	PHPARTYMAS-TERUPLOADTBL
CHECK-ER_DT_STA MP	Checker_Dt_Stam p	DATE	7	PHPARTYMAS-TERUPLOADTBL
AUTH_STAT	Auth_Stat	VARCHAR2	1	PHPARTYMAS-TERUPLOADTBL
ONCE_AUTH	Once_Auth	VARCHAR2	1	PHPARTYMAS-TERUPLOADTBL
RECORD_STAT	Record_Stat	VARCHAR2	1	PHPARTYMAS-TERUPLOADTBL
MOD_NO	Mod_No	NUMBER	22	PHPARTYMAS-TERUPLOADTBL
FATHER-SPOUSENA ME	Fatherspousename	VARCHAR2	105	PHPARTYMAS-TERUPLOADTBL
BULKCLI-ENTCODE	Bulkclientcode	VARCHAR2	12	PHPARTYMAS-TERUPLOADTBL
UPLOAD-MODE	Uploadmode	VARCHAR2	1	PHPARTYMAS-TERUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHPARTYCON-TACTUPLOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHPARTYCON-TACTUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHPARTYCON-TACTUPLOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHPARTYCON-TACTUPLOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
PARTYID	Partyid	VARCHAR2	12	PHPARTYCON-TACTUPLOADTBL
CONTAC-TREFNO	Contactrefno	VARCHAR2	16	PHPARTYCON-TACTUPLOADTBL
ADDRESSTY PE	Addresstype	VARCHAR2	2	PHPARTYCON-TACTUPLOADTBL
ADDRESS-LINE1	Addressline1	VARCHAR2	100	PHPARTYCON-TACTUPLOADTBL
ADDRESS-LINE2	Addressline2	VARCHAR2	100	PHPARTYCON-TACTUPLOADTBL
ADDRESS-LINE3	Addressline3	VARCHAR2	100	PHPARTYCON-TACTUPLOADTBL
POST-BOXNO	Postboxno	VARCHAR2	16	PHPARTYCON-TACTUPLOADTBL
CITY	City	VARCHAR2	80	PHPARTYCON-TACTUPLOADTBL
STATE	State	VARCHAR2	80	PHPARTYCON-TACTUPLOADTBL
COUNTRY	Country	VARCHAR2	3	PHPARTYCON-TACTUPLOADTBL
ZIPCODE	Zipcode	VARCHAR2	10	PHPARTYCON-TACTUPLOADTBL
BICCODE	Biccode	VARCHAR2	16	PHPARTYCON-TACTUPLOADTBL
WEBSITE	Website	VARCHAR2	100	PHPARTYCON-TACTUPLOADTBL
EMAILAD-DRESS	Emailaddress	VARCHAR2	100	PHPARTYCON-TACTUPLOADTBL
EFFECTIVE-FROMDATE	Effectivefromdate	DATE	7	PHPARTYCON-TACTUPLOADTBL
EFFEC-TIVETO-DATE	Effectivetodate	DATE	7	PHPARTYCON-TACTUPLOADTBL
PRIMARY-CONTACT-PERSON	Primarycontactper-son	VARCHAR2	100	PHPARTYCON-TACTUPLOADTBL
PRIMARYIN-TERNDIAL-CODE1	Primaryinterndial-code1	VARCHAR2	10	PHPARTYCON-TACTUPLOADTBL
PRIMARYIN-TERNDIAL-CODE2	Primaryinterndial-code2	VARCHAR2	10	PHPARTYCON-TACTUPLOADTBL
PRIMARYIN-TERNDIAL-CODE3	Primaryinterndial-code3	VARCHAR2	10	PHPARTYCON-TACTUPLOADTBL
PRIMARYIN-TERNDIAL-CODE4	Primaryinterndial-code4	VARCHAR2	10	PHPARTYCON-TACTUPLOADTBL
PRIMARY-POSITION	Primaryposition	VARCHAR2	60	PHPARTYCON-TACTUPLOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
PRIMARY-MOBILE-NUMBER1	Primarymobilenum-ber1	VARCHAR2	60	PHPARTYCON-TACTUPLOADTBL
PRIMARY-MOBILE-NUMBER2	Primarymobilenum-ber2	VARCHAR2	60	PHPARTYCON-TACTUPLOADTBL
PRIMARY-FIXEDLINE	Primaryfixedline	VARCHAR2	60	PHPARTYCON-TACTUPLOADTBL
FAX	Fax	VARCHAR2	120	PHPARTYCON-TACTUPLOADTBL
PRIMARY-CONTACTIN-STRN	Primarycontactin-strn	VARCHAR2	100	PHPARTYCON-TACTUPLOADTBL
PRIMA- RYEXTN	Primaryextn	VARCHAR2	60	PHPARTYCON-TACTUPLOADTBL
SECOND-ARYCON-TACTPERSON	Secondarycontact-person	VARCHAR2	100	PHPARTYCON-TACTUPLOADTBL
SECOND-ARYINTERN-DIALCODE1	Secondaryinterndi-alcode1	VARCHAR2	10	PHPARTYCON-TACTUPLOADTBL
SECOND-ARYINTERN-DIALCODE2	Secondaryinterndi-alcode2	VARCHAR2	10	PHPARTYCON-TACTUPLOADTBL
SECOND-ARYPOSI-TION	Secondaryposition	VARCHAR2	60	PHPARTYCON-TACTUPLOADTBL
SECONDA-RYMOBILE-NUMBER	Secondarymobile-number	VARCHAR2	60	PHPARTYCON-TACTUPLOADTBL
SECOND-ARYFIXED-LINE	Secondaryfixedline	VARCHAR2	60	PHPARTYCON-TACTUPLOADTBL
SECOND-ARYCON-TACTINSTR N	Secondarycontac-tinstrn	VARCHAR2	105	PHPARTYCON-TACTUPLOADTBL
SECOND-ARYEXTN	Secondaryextn	VARCHAR2	60	PHPARTYCON-TACTUPLOADTBL
MODULEID	Moduleid	VARCHAR2	30	PHPARTYCON-TACTUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHPARTYIDUP-LOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHPARTYIDUP-LOADTBL
PROCESSID	Processid	VARCHAR2	50	PHPARTYIDUP-LOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHPARTYIDUP-LOADTBL
PARTYID	Partyid	VARCHAR2	12	PHPARTYIDUP-LOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
IDREFEREN-CENO	Idreferenceno	VARCHAR2	16	PHPARTYIDUP-LOADTBL
IDENTIFICA-TIONTYPE	Identificationtype	VARCHAR2	20	PHPARTYIDUP-LOADTBL
IDENTIFICA-TIONNUM-BER	Identificationnumbe r	VARCHAR2	50	PHPARTYIDUP-LOADTBL
PLACEOFIS-SUE	Placeofissue	VARCHAR2	3	PHPARTYIDUP-LOADTBL
ISSUEAU-THORITY	Issueauthority	VARCHAR2	50	PHPARTYIDUP-LOADTBL
ISSUE-COUNTRY	Issuecountry	VARCHAR2	3	PHPARTYIDUP-LOADTBL
DATEOFIS-SUE	Dateofissue	DATE	7	PHPARTYIDUP-LOADTBL
DATEOFEX-PIRY	Dateofexpiry	DATE	7	PHPARTYIDUP-LOADTBL
LASTVERI-FIEDDATE	Lastverifieddate	DATE	7	PHPARTYIDUP-LOADTBL
VERIFICA-TIONSTA-TUS	Verificationstatus	VARCHAR2	1	PHPARTYIDUP-LOADTBL
MODULEID	Moduleid	VARCHAR2	30	PHPARTYIDUP-LOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHINVACCMAS-TERUPLOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHINVACCMAS-TERUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHINVACCMAS-TERUPLOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHINVACCMAS-TERUPLOADTBL
ACCOUNTID	Accountid	VARCHAR2	16	PHINVACCMAS-TERUPLOADTBL
ACOPERA-TIONTYPE	Acoperationtype	VARCHAR2	1	PHINVACCMAS-TERUPLOADTBL
DEALING-TYPE	Dealingtype	VARCHAR2	3	PHINVACCMAS-TERUPLOADTBL
GUARDI-ANID	Guardianid	VARCHAR2	12	PHINVACCMAS-TERUPLOADTBL
PARTYID	Partyid	VARCHAR2	12	PHINVACCMAS-TERUPLOADTBL
INVESTOR-CATEGORY	Investorcategory	VARCHAR2	2	PHINVACCMAS-TERUPLOADTBL
ACOPEN-INGDATE	Acopeningdate	DATE	7	PHINVACCMAS-TERUPLOADTBL
ACCOUN-TREFNO	Accountrefno	VARCHAR2	16	PHINVACCMAS-TERUPLOADTBL
MODULEID	Moduleid	VARCHAR2	30	PHINVACCMAS-TERUPLOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
AUTH_STAT	Auth_Stat	VARCHAR2	1	PHINVACCMAS-TERUPLOADTBL
ONCE_AUT H	Once_Auth	VARCHAR2	1	PHINVACCMAS-TERUPLOADTBL
RECORD_S TAT	Record_Stat	VARCHAR2	1	PHINVACCMAS-TERUPLOADTBL
MOD_NO	Mod_No	NUMBER	22	PHINVACCMAS-TERUPLOADTBL
MAKER_ID	Maker_Id	VARCHAR2	320	PHINVACCMAS-TERUPLOADTBL
MAK-ER_DT_STA MP	Maker_Dt_Stamp	DATE	7	PHINVACCMAS-TERUPLOADTBL
CHECK-ER_ID	Checker_Id	VARCHAR2	320	PHINVACCMAS-TERUPLOADTBL
CHECK-ER_DT_STA MP	Checker_Dt_Stam p	DATE	7	PHINVACCMAS-TERUPLOADTBL
ACCOUNT-STATUS	Accountstatus	VARCHAR2	1	PHINVACCMAS-TERUPLOADTBL
PENSION-ACCOUNT	Pensionaccount	VARCHAR2	1	PHINVACCMAS-TERUPLOADTBL
ACCOUNT-CLOSED	Accountclosed	VARCHAR2	1	PHINVACCMAS-TERUPLOADTBL
CHANGE-DATE	Changedate	DATE	7	PHINVACCMAS-TERUPLOADTBL
CHANG-EREASON	Changereason	VARCHAR2	255	PHINVACCMAS-TERUPLOADTBL
CHANG-EREFEREN-CENO	Changereferencen o	VARCHAR2	16	PHINVACCMAS-TERUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHINVACCINTER-UPLOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHINVACCINTER-UPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHINVACCINTER-UPLOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHINVACCINTER-UPLOADTBL
ACCOUNTID	Accountid	VARCHAR2	16	PHINVACCINTER-UPLOADTBL
INTERMEDI-ARYID	Intermediaryid	VARCHAR2	12	PHINVACCINTER-UPLOADTBL
SPLITPER-CENTAGE	Splitpercentage	NUMBER	5	PHINVACCINTER-UPLOADTBL
DEFAULT-FLAG	Defaultflag	VARCHAR2	1	PHINVACCINTER-UPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHINVACCBANK-UPLOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHINVACCBANK-UPLOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
PROCESSID	Processid	VARCHAR2	50	PHINVACCBANK-UPLOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHINVACCBANK-UPLOADTBL
ACCOUNTID	Accountid	VARCHAR2	16	PHINVACCBANK-UPLOADTBL
BANKREF-ERENCENO	Bankreferenceno	VARCHAR2	16	PHINVACCBANK-UPLOADTBL
DIRECTDEB-ITAPPLICA-BLE	Directdebitapplica-ble	VARCHAR2	1	PHINVACCBANK-UPLOADTBL
DEFAULT-FLAG	Defaultflag	VARCHAR2	1	PHINVACCBANK-UPLOADTBL
ACCOUNTH OLDER-NAME	Accountholdernam e	VARCHAR2	100	PHINVACCBANK-UPLOADTBL
ACCOUNT-TYPE	Accounttype	VARCHAR2	1	PHINVACCBANK-UPLOADTBL
ACCOUNT-CURRENCY	Accountcurrency	VARCHAR2	3	PHINVACCBANK-UPLOADTBL
BANKCODE	Bankcode	VARCHAR2	12	PHINVACCBANK-UPLOADTBL
BRANCH-CODE	Branchcode	VARCHAR2	12	PHINVACCBANK-UPLOADTBL
ACCOUNT-NUMBER	Accountnumber	VARCHAR2	20	PHINVACCBANK-UPLOADTBL
IBAN	Iban	VARCHAR2	40	PHINVACCBANK-UPLOADTBL
VERIFICA-TIONSTA-TUS	Verificationstatus	VARCHAR2	1	PHINVACCBANK-UPLOADTBL
LASTVERI-FIEDDATE	Lastverifieddate	DATE	7	PHINVACCBANK-UPLOADTBL
MODULEID	Moduleid	VARCHAR2	30	PHINVACCBANK-UPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHINVESTAC-CBENFUP-LOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHINVESTAC-CBENFUP-LOADTBL
PROCESSID	Processid	VARCHAR2	50	PHINVESTAC-CBENFUP-LOADTBL
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHINVESTAC-CBENFUP-LOADTBL
ACCOUNTID	Accountid	VARCHAR2	16	PHINVESTAC-CBENFUP-LOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
BENEFI-CIARYTYPE	Beneficiarytype	VARCHAR2	2	PHINVESTAC-CBENFUP-LOADTBL
BENFPAR-TYID	Benfpartyid	VARCHAR2	16	PHINVESTAC-CBENFUP-LOADTBL
AWARDPER-CENTAGE	Awardpercentage	NUMBER	5	PHINVESTAC-CBENFUP-LOADTBL
BENFPAR-TYNAME	Benfpartyname	VARCHAR2	100	PHINVESTAC-CBENFUP-LOADTBL
DATEOF-BIRTH	Dateofbirth	DATE	7	PHINVESTAC-CBENFUP-LOADTBL
COUNTRY-OFBIRTH	Countryofbirth	VARCHAR2	3	PHINVESTAC-CBENFUP-LOADTBL
COUNTRY-OFDOMI-CILE	Countryofdomicile	VARCHAR2	3	PHINVESTAC-CBENFUP-LOADTBL
GENDER	Gender	VARCHAR2	1	PHINVESTAC-CBENFUP-LOADTBL
IDENTIFICA-TIONTYPE	Identificationtype	VARCHAR2	20	PHINVESTAC-CBENFUP-LOADTBL
IDENTIFICA-TIONNUM-BER	Identificationnumber	VARCHAR2	50	PHINVESTAC-CBENFUP-LOADTBL
RELATION-SHIP	Relationship	VARCHAR2	70	PHINVESTAC-CBENFUP-LOADTBL
ADDRESS-LINE1	Addressline1	VARCHAR2	100	PHINVESTAC-CBENFUP-LOADTBL
ADDRESS-LINE2	Addressline2	VARCHAR2	100	PHINVESTAC-CBENFUP-LOADTBL
CITY	City	VARCHAR2	80	PHINVESTAC-CBENFUP-LOADTBL
STATE	State	VARCHAR2	80	PHINVESTAC-CBENFUP-LOADTBL
COUNTRY	Country	VARCHAR2	3	PHINVESTAC-CBENFUP-LOADTBL
ZIPCODE	Zipcode	VARCHAR2	10	PHINVESTAC-CBENFUP-LOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
EMAILAD-DRESS	Emailaddress	VARCHAR2	100	PHINVESTAC-CBENFUP-LOADTBL
PRIMARY-MOBILE-NUMBER1	Primarymobilenum-ber1	VARCHAR2	60	PHINVESTAC-CBENFUP-LOADTBL
PRIMARY-MOBILE-NUMBER2	Primarymobilenum-ber2	VARCHAR2	60	PHINVESTAC-CBENFUP-LOADTBL
PRIMARY-FIXEDLINE	Primaryfixedline	VARCHAR2	60	PHINVESTAC-CBENFUP-LOADTBL
FAX	Fax	VARCHAR2	120	PHINVESTAC-CBENFUP-LOADTBL
CONTACT-PERSON	Contactperson	VARCHAR2	100	PHINVESTAC-CBENFUP-LOADTBL
BANKCODE	Bankcode	VARCHAR2	12	PHINVESTAC-CBENFUP-LOADTBL
BRANCH-CODE	Branchcode	VARCHAR2	12	PHINVESTAC-CBENFUP-LOADTBL
ACCOUNT-TYPE	Accounttype	VARCHAR2	1	PHINVESTAC-CBENFUP-LOADTBL
ACCOUNT-CURRENCY	Accountcurrency	VARCHAR2	3	PHINVESTAC-CBENFUP-LOADTBL
ACCOUNT-NUMBER	Accountnumber	VARCHAR2	20	PHINVESTAC-CBENFUP-LOADTBL
ACCOUNT-NAME	Accountname	VARCHAR2	100	PHINVESTAC-CBENFUP-LOADTBL
IBAN	Iban	VARCHAR2	40	PHINVESTAC-CBENFUP-LOADTBL
DESIGNA-TION	Designation	VARCHAR2	100	PHINVESTAC-CBENFUP-LOADTBL
CON-TROLLING-PERSON	Controllingperson	VARCHAR2	1	PHINVESTAC-CBENFUP-LOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHORDERALLOC-DETUPLOADTBL
SEQUENCE-NUMBER	Sequencenumber	NUMBER	10	PHORDERALLOC-DETUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHORDERALLOC-DETUPLOADTBL

Table 1-161 (Cont.) Pension Order Import Upload

Field name	Description	Datatype	Length	Table Name
USERREF-ERENCE-NUMBER	Userreferencenum-ber	VARCHAR2	16	PHORDERALLOC-DETUPLOADTBL
ORDER-NUMBER	Ordernumber	VARCHAR2	16	PHORDERALLOC-DETUPLOADTBL
EXTERNAL-TXNNUM-BER	Externaltxnnumber	VARCHAR2	16	PHORDERALLOC-DETUPLOADTBL
PARTYID	Partyid	VARCHAR2	12	PHORDERALLOC-DETUPLOADTBL
PORTFO-LIOID	Portfolioid	VARCHAR2	16	PHORDERALLOC-DETUPLOADTBL
ACCOUNTID	Accountid	VARCHAR2	16	PHORDERALLOC-DETUPLOADTBL
INSTRU-MENTID	Instrumentid	VARCHAR2	16	PHORDERALLOC-DETUPLOADTBL
ASSETID	Assetid	VARCHAR2	16	PHORDERALLOC-DETUPLOADTBL
ORDER-AMOUNT	Orderamount	NUMBER	30	PHORDERALLOC-DETUPLOADTBL
LOADA-MOUNT	Loadamount	NUMBER	30	PHORDERALLOC-DETUPLOADTBL
NETA-MOUNT	Netamount	NUMBER	30	PHORDERALLOC-DETUPLOADTBL
SETTLE-MENTAMT	Settlementamt	NUMBER	30	PHORDERALLOC-DETUPLOADTBL
NAV	Nav	NUMBER	17	PHORDERALLOC-DETUPLOADTBL
NAVDATE	Navdate	DATE	7	PHORDERALLOC-DETUPLOADTBL
ALLOTE-DUNITS	Allotedunits	NUMBER	30	PHORDERALLOC-DETUPLOADTBL
ALLOCA-TIONDATE	Allocationdate	DATE	7	PHORDERALLOC-DETUPLOADTBL
FROMORTO-LEG	Fromortoleg	VARCHAR2	1	PHORDERALLOC-DETUPLOADTBL
ORIGIN-ALORDNUM-BER	Originalordnumber	VARCHAR2	16	PHORDERALLOC-DETUPLOADTBL
ORDER-TYPE	Ordertype	VARCHAR2	3	PHORDERALLOC-DETUPLOADTBL
STATUS	Status	VARCHAR2	2	PHORDERALLOC-DETUPLOADTBL
ERR_CODE	Err_Code	VARCHAR2	20	PHORDERALLOC-DETUPLOADTBL
ERRDE-SCRIPTION	Errdescription	VARCHAR2	255	PHORDERALLOC-DETUPLOADTBL
TATRANS-ACTION-DATE	Tatransactiondate	DATE	7	PHORDERALLOC-DETUPLOADTBL
BLOCKED-FLAG	Blockedflag	VARCHAR2	1	PHORDERALLOC-DETUPLOADTBL

1.43 Pension Allocation Import from TA

This topic provides detailed information on the Pension Allocation Import from TA.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for pension allocation import from TA.
- Pension Allocation Import from TA
  This topic provides detailed information on Pension Allocation Import from TA.

1.43.1 Segment Identification Number

This topic provides detailed information on the segment identification number for pension allocation import from TA.

Table 1-162 Pension Allocation Import from TA - Segment Identification Number

Segment Identification Number	Description
00FDU	This segment is used to provide pension allocation import from asset upload details.
03TPR	This segment is used to provide pension allocation import from third party asset upload details.
04FLU	This segment is used to provide pension allocation import from third party asset load upload details.

1.43.2 Pension Allocation Import from TA

This topic provides detailed information on Pension Allocation Import from TA.

Table 1-163 Pension Allocation Import from TA

Field name	Description	Datatype	Length	Table Name
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_ALLOCDETAILSTAUPLOADHF
PROCESSID	Processid	VARCHAR2	50	PATB_ALLOCDETAILSTAUPLOADHF
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_ALLOCDETAILSTAUPLOADHF
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_ALLOCDETAILSTAUPLOADHF
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATB_ALLOCDETAILSTAUPLOADHF
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOADHF
ORDERNUMBER	Ordernumber	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOADHF

Table 1-163 (Cont.) Pension Allocation Import from TA

Field name	Description	Datatype	Length	Table Name
EXTERNALTXNNUMBER	Externaltxnnumber	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOADHF
PARTYID	Partyid	VARCHAR2	12	PATB_ALLOCDETAILSTAUPLOADHF
PORTFOLIOID	Portfolioid	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOADHF
ACCOUNTID	Accountid	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOADHF
INSTRUMENTID	Instrumentid	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOADHF
ASSETID	Assetid	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOADHF
ORDER AMOUNT	Orderamount	NUMBER	30	PATB_ALLOCDETAILSTAUPLOADHF
LOADAMOUNT	Loadamount	NUMBER	30	PATB_ALLOCDETAILSTAUPLOADHF
NETAMOUNT	Netamount	NUMBER	30	PATB_ALLOCDETAILSTAUPLOADHF
SETTLEMENTAMT	Settlementamt	NUMBER	30	PATB_ALLOCDETAILSTAUPLOADHF
NAV	Nav	NUMBER	17	PATB_ALLOCDETAILSTAUPLOADHF
NAVDATE	Navdate	DATE	7	PATB_ALLOCDETAILSTAUPLOADHF
ALLOTEDUNITS	Allotedunits	NUMBER	30	PATB_ALLOCDETAILSTAUPLOADHF
ALLOCATIONDATE	Allocationdate	DATE	7	PATB_ALLOCDETAILSTAUPLOADHF
FROMORTOLEG	Fromortoleg	VARCHAR2	1	PATB_ALLOCDETAILSTAUPLOADHF
ORIGINALORDNUMBER	Originalordnumber	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOADHF
ORDERTYPE	Ordertype	VARCHAR2	3	PATB_ALLOCDETAILSTAUPLOADHF
STATUS	Status	VARCHAR2	2	PATB_ALLOCDETAILSTAUPLOADHF
ERR_CODE	Err_Code	VARCHAR2	20	PATB_ALLOCDETAILSTAUPLOADHF
ERRDESCRIPTION	Errdescription	VARCHAR2	255	PATB_ALLOCDETAILSTAUPLOADHF
TATRANSACTIONDATE	Tatransactiondate	DATE	7	PATB_ALLOCDETAILSTAUPLOADHF
BLOCKEDFLAG	Blockedflag	VARCHAR2	1	PATB_ALLOCDETAILSTAUPLOADHF

1.44 Pension Investment Account (Incremental Upload)

This topic provides detailed information on Pension Investment Account (Incremental Upload).

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on segment identification number of pension investment account (Incremental Upload).
- Pension Investment Account Upload
  This topic provides detailed information on the Pension Investment Account Upload.

1.44.1 Segment Identification Number

This topic provides detailed information on segment identification number of pension investment account (Incremental Upload).

Table 1-164 Pension Investment Account - Segment Identification Number

Segment Identification Number	Description
00PAR	This segment is used to provide pension investment master upload details.
03ADR	This segment is used to provide pension party contact upload details.
04IDD	This segment is used to provide pension party ID upload detail.
05IAC	This segment is used to provide pension investment account master upload detail.
06INT	This segment is used to provide pension investment account intermediary upload detail.
07IBK	This segment is used to provide pension investment account bank upload detail.
08IBF	This segment is used to provide pension investment account beneficiary upload detail.

1.44.2 Pension Investment Account Upload

This topic provides detailed information on the Pension Investment Account Upload.

Table 1-165 Pension Investment Account Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segment id	VARCHAR2	20	PHPARTYMASTERUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHPARTYMASTERUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHPARTYMASTERUPLOADTBL
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PHPARTYMASTERUPLOADTBL

Table 1-165 (Cont.) Pension Investment Account Upload

Field name	Description	Datatype	Length	Table Name
UPLOADMODE	Uploadmode	VARCHAR2	1	PHPARTYMASTERUPLOADTBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHPARTYMASTERUPLOADTBL
PARTYID	Partyid	VARCHAR2	12	PHPARTYMASTERUPLOADTBL
PARTYTYPE	Partytype	VARCHAR2	3	PHPARTYMASTERUPLOADTBL
TITLE	Title	VARCHAR2	15	PHPARTYMASTERUPLOADTBL
FIRSTNAME	Firstname	VARCHAR2	100	PHPARTYMASTERUPLOADTBL
MIDDLENAME	Middlename	VARCHAR2	100	PHPARTYMASTERUPLOADTBL
LASTNAME	Lastname	VARCHAR2	100	PHPARTYMASTERUPLOADTBL
REGISTEREDNAME	Registeredname	VARCHAR2	100	PHPARTYMASTERUPLOADTBL
DATEOFBIRTH	Dateofbirth	DATE	7	PHPARTYMASTERUPLOADTBL
DATEOFINCORPORATION	Dateofincorporation	DATE	7	PHPARTYMASTERUPLOADTBL
MARITALSTATUS	Maritalstatus	VARCHAR2	1	PHPARTYMASTERUPLOADTBL
OCCUPATION	Occupation	VARCHAR2	3	PHPARTYMASTERUPLOADTBL
GENDER	Gender	VARCHAR2	1	PHPARTYMASTERUPLOADTBL
PREFERREDCURRENCY	Preferredcurrency	VARCHAR2	3	PHPARTYMASTERUPLOADTBL
MINOR	Minor	NUMBER	1	PHPARTYMASTERUPLOADTBL
NATIONALITY	Nationality	VARCHAR2	3	PHPARTYMASTERUPLOADTBL
COUNTRYOFDOMICILE	Countryofdomicile	VARCHAR2	3	PHPARTYMASTERUPLOADTBL
COUNTRYOFBIRTH	Countryofbirth	VARCHAR2	3	PHPARTYMASTERUPLOADTBL
DUALNATIONALITY	Dualnationality	VARCHAR2	3	PHPARTYMASTERUPLOADTBL
SUBSTANTIALSTAYINUS	Substantialstayinus	NUMBER	1	PHPARTYMASTERUPLOADTBL
HEALTHSTATUS	Healthstatus	VARCHAR2	1	PHPARTYMASTERUPLOADTBL

Table 1-165 (Cont.) Pension Investment Account Upload

Field name	Description	Datatype	Length	Table Name
PROTECTIONLEVEL	Protectionlevel	VARCHAR2	1	PHPARTYMASTERUPLOADTBL
FIRSTAUTHORIZEDSIGNATORY	Firstauthorizedsignatory	VARCHAR2	100	PHPARTYMASTERUPLOADTBL
SECONDAUTHORIZEDSIGNATORY	Secondauthorizedsignatory	VARCHAR2	100	PHPARTYMASTERUPLOADTBL
THIRDAUTHORIZEDSIGNATORY	Thirdauthorizedsignatory	VARCHAR2	100	PHPARTYMASTERUPLOADTBL
CORPORATIONTYPE	Corporationtype	VARCHAR2	3	PHPARTYMASTERUPLOADTBL
MINNOOFCORPORATESIGNATOR Y	Minnoofcorporatesignatory	NUMBER	22	PHPARTYMASTERUPLOADTBL
INCORPORATIONCOUNTRY	Incorporationcountry	VARCHAR2	3	PHPARTYMASTERUPLOADTBL
MODULEID	Moduleid	VARCHAR2	30	PHPARTYMASTERUPLOADTBL
MAKER_ID	Maker_Id	VARCHAR2	320	PHPARTYMASTERUPLOADTBL
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PHPARTYMASTERUPLOADTBL
CHECKER_ID	Checker_Id	VARCHAR2	320	PHPARTYMASTERUPLOADTBL
CHECKER_DT_STAM P	Checker_Dt_Stamp	DATE	7	PHPARTYMASTERUPLOADTBL
AUTH_STAT	Auth_Stat	VARCHAR2	1	PHPARTYMASTERUPLOADTBL
ONCE_AUTH	Once_Auth	VARCHAR2	1	PHPARTYMASTERUPLOADTBL
RECORD_STA T	Record_Stat	VARCHAR2	1	PHPARTYMASTERUPLOADTBL
MOD_NO	Mod_No	NUMBER	22	PHPARTYMASTERUPLOADTBL
FATHERSPOUSENAM E	Fatherspousename	VARCHAR2	105	PHPARTYMASTERUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHPARTYCONTACTUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHPARTYCONTACTUPLOADTBL

Table 1-165 (Cont.) Pension Investment Account Upload

Field name	Description	Datatype	Length	Table Name
PROCESSID	Processid	VARCHAR2	50	PHPARTYCONTACTUPLOADTBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHPARTYCONTACTUPLOADTBL
PARTYID	Partyid	VARCHAR2	12	PHPARTYCONTACTUPLOADTBL
CONTACTREFNO	Contactrefno	VARCHAR2	16	PHPARTYCONTACTUPLOADTBL
ADDRESSTY PE	Addresstype	VARCHAR2	2	PHPARTYCONTACTUPLOADTBL
ADDRESSLINE1	Addressline1	VARCHAR2	100	PHPARTYCONTACTUPLOADTBL
ADDRESSLINE2	Addressline2	VARCHAR2	100	PHPARTYCONTACTUPLOADTBL
ADDRESSLINE3	Addressline3	VARCHAR2	100	PHPARTYCONTACTUPLOADTBL
POSTBOXNO	Postboxno	VARCHAR2	16	PHPARTYCONTACTUPLOADTBL
CITY	City	VARCHAR2	80	PHPARTYCONTACTUPLOADTBL
STATE	State	VARCHAR2	80	PHPARTYCONTACTUPLOADTBL
COUNTRY	Country	VARCHAR2	3	PHPARTYCONTACTUPLOADTBL
ZIPCODE	Zipcode	VARCHAR2	10	PHPARTYCONTACTUPLOADTBL
BICCODE	Biccode	VARCHAR2	16	PHPARTYCONTACTUPLOADTBL
WEBSITE	Website	VARCHAR2	100	PHPARTYCONTACTUPLOADTBL
EMAILADDRESS	Emailaddress	VARCHAR2	100	PHPARTYCONTACTUPLOADTBL
EFFECTIVEFROMDATE	Effectivefromdate	DATE	7	PHPARTYCONTACTUPLOADTBL
EFFECTIVETODATE	Effectivetodate	DATE	7	PHPARTYCONTACTUPLOADTBL
PRIMARY CONTACT PERSON	Primarycontactperson	VARCHAR2	100	PHPARTYCONTACTUPLOADTBL
PRIMARYINTERNDIALCODE1	Primaryinterndialcode1	VARCHAR2	10	PHPARTYCONTACTUPLOADTBL
PRIMARYINTERNDIALCODE2	Primaryinterndialcode2	VARCHAR2	10	PHPARTYCONTACTUPLOADTBL
PRIMARYINTERNDIALCODE3	Primaryinterndialcode3	VARCHAR2	10	PHPARTYCONTACTUPLOADTBL

Table 1-165 (Cont.) Pension Investment Account Upload

Field name	Description	Datatype	Length	Table Name
PRIMARYINTERNDIALCODE4	Primaryinterndialcode4	VARCHAR2	10	PHPARTYCONTACTUPLOADTBL
PRIMARYPOSITION	Primaryposition	VARCHAR2	60	PHPARTYCONTACTUPLOADTBL
PRIMARYMOBILENUMBER1	Primarymobilenumber1	VARCHAR2	60	PHPARTYCONTACTUPLOADTBL
PRIMARYMOBILENUM BER2	Primarymobilenumber2	VARCHAR2	60	PHPARTYCONTACTUPLOADTBL
PRIMARYFIXEDLINE	Primaryfixedline	VARCHAR2	60	PHPARTYCONTACTUPLOADTBL
FAX	Fax	VARCHAR2	120	PHPARTYCONTACTUPLOADTBL
PRIMARYCONTACTINSTRN	Primarycontactinstrn	VARCHAR2	100	PHPARTYCONTACTUPLOADTBL
PRIMARYEXTN	Primaryextn	VARCHAR2	60	PHPARTYCONTACTUPLOADTBL
SECONDARYCONTACTPERSON	Secondarycontactperson	VARCHAR2	100	PHPARTYCONTACTUPLOADTBL
SECONDARYINTERNDIALCODE1	Secondaryinterndialcode1	VARCHAR2	10	PHPARTYCONTACTUPLOADTBL
SECONDARYINTERNDIALCODE2	Secondaryinterndialcode2	VARCHAR2	10	PHPARTYCONTACTUPLOADTBL
SECONDARYPOSITION	Secondarypositiion	VARCHAR2	60	PHPARTYCONTACTUPLOADTBL
SECONDARYMOBILENUMBER	Secondarymobilenumber	VARCHAR2	60	PHPARTYCONTACTUPLOADTBL
SECONDARYFIXEDLINE	Secondaryfixedline	VARCHAR2	60	PHPARTYCONTACTUPLOADTBL
SECONDARYCONTACTINSTRN	Secondarycontactinstrn	VARCHAR2	105	PHPARTYCONTACTUPLOADTBL
SECOND ARYEXTN	Secondaryextn	VARCHAR2	60	PHPARTYCONTACTUPLOADTBL
MODULEID	Moduleid	VARCHAR2	30	PHPARTYCONTACTUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHPARTYIDUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHPARTYIDUPLOADTBL

Table 1-165 (Cont.) Pension Investment Account Upload

Field name	Description	Datatype	Length	Table Name
PROCESSID	Processid	VARCHAR2	50	PHPARTYIDUPLOADTBL
USERREFERENCENUM BER	Userreferencenumber	VARCHAR2	16	PHPARTYIDUPLOADTBL
PARTYID	Partyid	VARCHAR2	12	PHPARTYIDUPLOADTBL
IDREFERENCENO	Idreferenceno	VARCHAR2	16	PHPARTYIDUPLOADTBL
IDENTIFICATIONTYPE	Identificationtype	VARCHAR2	20	PHPARTYIDUPLOADTBL
IDENTIFICATIONNUMBER	Identificationnumber	VARCHAR2	50	PHPARTYIDUPLOADTBL
PLACEOFISSUE	Placeofissue	VARCHAR2	3	PHPARTYIDUPLOADTBL
ISSUEAUTHORITY	Issueauthority	VARCHAR2	50	PHPARTYIDUPLOADTBL
ISSUECOUNTRY	Issuecountry	VARCHAR2	3	PHPARTYIDUPLOADTBL
DATEOFISSUE	Dateofissue	DATE	7	PHPARTYIDUPLOADTBL
DATEOFEXPIRY	Dateofexpiry	DATE	7	PHPARTYIDUPLOADTBL
LASTVERIFIEDDATE	Lastverifieddate	DATE	7	PHPARTYIDUPLOADTBL
VERIFICATIONSTATUS	Verificationstatus	VARCHAR2	1	PHPARTYIDUPLOADTBL
MODULEID	Moduleid	VARCHAR2	30	PHPARTYIDUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHINVACCMASTERUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHINVACCMASTERUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHINVACCMASTERUPLOADTBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHINVACCMASTERUPLOADTBL
ACCOUNTID	Accountid	VARCHAR2	16	PHINVACCMASTERUPLOADTBL
ACOPERATIONTYPE	Acoperation type	VARCHAR2	1	PHINVACCMASTERUPLOADTBL
DEALINGTYPE	Dealingtype	VARCHAR2	3	PHINVACCMASTERUPLOADTBL
GUARDIANID	Guardianid	VARCHAR2	12	PHINVACCMASTERUPLOADTBL

Table 1-165 (Cont.) Pension Investment Account Upload

Field name	Description	Datatype	Length	Table Name
PARTYID	Partyid	VARCHAR2	12	PHINVACCMASTERUPLOADTBL
INVESTORCATEGORY	Investorcategory	VARCHAR2	2	PHINVACCMASTERUPLOADTBL
ACOPENINGDATE	Acopeningdate	DATE	7	PHINVACCMASTERUPLOADTBL
ACCOUNTREFNO	Accountrefno	VARCHAR2	16	PHINVACCMASTERUPLOADTBL
MODULEID	Moduleid	VARCHAR2	30	PHINVACCMASTERUPLOADTBL
AUTH_STAT	Auth_Stat	VARCHAR2	1	PHINVACCMASTERUPLOADTBL
ONCE_AUTH	Once_Auth	VARCHAR2	1	PHINVACCMASTERUPLOADTBL
RECORD_STA T	Record_Stat	VARCHAR2	1	PHINVACCMASTERUPLOADTBL
MOD_NO	Mod_No	NUMBER	22	PHINVACCMASTERUPLOADTBL
MAKER_ID	Maker_Id	VARCHAR2	320	PHINVACCMASTERUPLOADTBL
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PHINVACCMASTERUPLOADTBL
CHECKER_ID	Checker_Id	VARCHAR2	320	PHINVACCMASTERUPLOADTBL
CHECKER_DT_STAM P	Checker_Dt_Stamp	DATE	7	PHINVACCMASTERUPLOADTBL
ACCOUNTSTATUS	Accountstatus	VARCHAR2	1	PHINVACCMASTERUPLOADTBL
PENSIONACCOUNT	Pensionaccount	VARCHAR2	1	PHINVACCMASTERUPLOADTBL
ACCOUNTCLOSED	Accountclosed	VARCHAR2	1	PHINVACCMASTERUPLOADTBL
CHANGEDATE	Changedate	DATE	7	PHINVACCMASTERUPLOADTBL
CHANGEREASON	Changereason	VARCHAR2	255	PHINVACCMASTERUPLOADTBL
CHANGEREFERENCENO	Changereferenceno	VARCHAR2	16	PHINVACCMASTERUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHINVACCINTERU PLOADTBL
SEQUENCENUMBER	Sequcenumber	NUMBER	10	PHINVACCINTERU PLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHINVACCINTERU PLOADTBL

Table 1-165 (Cont.) Pension Investment Account Upload

Field name	Description	Datatype	Length	Table Name
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHINVACCINTERU PLOADTBL
ACCOUNTID	Accountid	VARCHAR2	16	PHINVACCINTERU PLOADTBL
INTERMEdiaRYID	Intermediaryid	VARCHAR2	12	PHINVACCINTERU PLOADTBL
SPLITPERCENTAGE	Splitpercentage	NUMBER	5	PHINVACCINTERU PLOADTBL
DEFAULTFLAG	Defaultflag	VARCHAR2	1	PHINVACCINTERU PLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHINVACCBANKUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHINVACCBANKUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHINVACCBANKUPLOADTBL
USERREFERENCENUM BER	Userreference number	VARCHAR2	16	PHINVACCBANKUPLOADTBL
ACCOUNTID	Accountid	VARCHAR2	16	PHINVACCBANKUPLOADTBL
BANKREFERENCENO	Bankreferen ceno	VARCHAR2	16	PHINVACCBANKUPLOADTBL
DIRECTDEBITAPPLICABLE	Directdebitapplicable	VARCHAR2	1	PHINVACCBANKUPLOADTBL
DEFAULTFLAG	Defaultflag	VARCHAR2	1	PHINVACCBANKUPLOADTBL
ACCOUNTHOLDERNAME	Accountholdername	VARCHAR2	100	PHINVACCBANKUPLOADTBL
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PHINVACCBANKUPLOADTBL
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3	PHINVACCBANKUPLOADTBL
BANKCODE	Bankcode	VARCHAR2	12	PHINVACCBANKUPLOADTBL
BRANCHCODE	Branchcode	VARCHAR2	12	PHINVACCBANKUPLOADTBL
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20	PHINVACCBANKUPLOADTBL
IBAN	Iban	VARCHAR2	40	PHINVACCBANKUPLOADTBL
VERIFICATIONSTATUS	Verificationstatus	VARCHAR2	1	PHINVACCBANKUPLOADTBL
LASTVERIFIEDDATE	Lastverifieddate	DATE	7	PHINVACCBANKUPLOADTBL

Table 1-165 (Cont.) Pension Investment Account Upload

Field name	Description	Datatype	Length	Table Name
MODULEID	Moduleid	VARCHAR2	30	PHINVACCBANKUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHINVESTACCBENFUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHINVESTACCBENFUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PHINVESTACCBENFUPLOADTBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHINVESTACCBENFUPLOADTBL
ACCOUNTID	Accountid	VARCHAR2	16	PHINVESTACCBENFUPLOADTBL
BENEFICIARYTYPE	Beneficiarytype	VARCHAR2	2	PHINVESTACCBENFUPLOADTBL
BENFPARTYID	Benfpartyid	VARCHAR2	16	PHINVESTACCBENFUPLOADTBL
AWARDPERCENTAGE	Awardpercentage	NUMBER	5	PHINVESTACCBENFUPLOADTBL
BENFPARTYNAME	Benfpartyname	VARCHAR2	100	PHINVESTACCBENFUPLOADTBL
DATEOFBIRTH	Dateofbirth	DATE	7	PHINVESTACCBENFUPLOADTBL
COUNTRYOFBIRTH	Countryofbirth	VARCHAR2	3	PHINVESTACCBENFUPLOADTBL
COUNTRYOFDOMICILE	Countryofdomicile	VARCHAR2	3	PHINVESTACCBENFUPLOADTBL
GENDER	Gender	VARCHAR2	1	PHINVESTACCBENFUPLOADTBL
IDENTIFICATIONTYPE	Identificationtype	VARCHAR2	20	PHINVESTACCBENFUPLOADTBL
IDENTIFICATIONNUMBER	Identificationnumber	VARCHAR2	50	PHINVESTACCBENFUPLOADTBL
RELATIONSHIP	Relationship	VARCHAR2	70	PHINVESTACCBENFUPLOADTBL
ADDRESSLINE1	Addressline1	VARCHAR2	100	PHINVESTACCBENFUPLOADTBL
ADDRESSLINE2	Addressline2	VARCHAR2	100	PHINVESTACCBENFUPLOADTBL
CITY	City	VARCHAR2	80	PHINVESTACCBENFUPLOADTBL
STATE	State	VARCHAR2	80	PHINVESTACCBENFUPLOADTBL
COUNTRY	Country	VARCHAR2	3	PHINVESTACCBENFUPLOADTBL

Table 1-165 (Cont.) Pension Investment Account Upload

Field name	Description	Datatype	Length	Table Name
ZIPCODE	Zipcode	VARCHAR2	10	PHINVESTACCBENFUPLOADTBL
EMAILADDRESS	Emailaddress	VARCHAR2	100	PHINVESTACCBENFUPLOADTBL
PRIMARYMOBILENUMBER1	Primarymobilenumber1	VARCHAR2	60	PHINVESTACCBENFUPLOADTBL
PRIMARYMOBILENUMBER2	Primarymobilenumber2	VARCHAR2	60	PHINVESTACCBENFUPLOADTBL
PRIMARYFIXEDLINE	Primaryfixedline	VARCHAR2	60	PHINVESTACCBENFUPLOADTBL
FAX	Fax	VARCHAR2	120	PHINVESTACCBENFUPLOADTBL
CONTACTPERSON	Contactperson	VARCHAR2	100	PHINVESTACCBENFUPLOADTBL
BANKCODE	Bankcode	VARCHAR2	12	PHINVESTACCBENFUPLOADTBL
BRANCHCODE	Branchcode	VARCHAR2	12	PHINVESTACCBENFUPLOADTBL
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PHINVESTACCBENFUPLOADTBL
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3	PHINVESTACCBENFUPLOADTBL
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20	PHINVESTACCBENFUPLOADTBL
ACCOUNTNAME	Accountname	VARCHAR2	100	PHINVESTACCBENFUPLOADTBL
IBAN	Iban	VARCHAR2	40	PHINVESTACCBENFUPLOADTBL
DESIGNATION	Designation	VARCHAR2	100	PHINVESTACCBENFUPLOADTBL
CONTROLLINGPERSON	Controllingperson	VARCHAR2	1	PHINVESTACCBENFUPLOADTBL

1.45 Pension Allocation Handoff

This topic provides detailed information on the Pension Allocation Handoff.

Table 1-166 Pension Allocation Handoff

Field name	Description	Datatype	Length	Table Name
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHALLOCDETAILSTAUPLOADHF

Table 1-166 (Cont.) Pension Allocation Handoff

Field name	Description	Datatype	Length	Table Name
PROCESSID	Processid	VARCHAR2	50	PHALLOCDETAILSTAUPLOADHF
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PHALLOCDETAILSTAUPLOADHF
UPLOADMODE	Uploadmode	VARCHAR2	1	PHALLOCDETAILSTAUPLOADHF
IMPORTFILENAME	Importfilename	VARCHAR2	255	PHALLOCDETAILSTAUPLOADHF
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHALLOCDETAILSTAUPLOADHF
ORDERNUMBER	Ordernumber	VARCHAR2	16	PHALLOCDETAILSTAUPLOADHF
EXTERNALTXNNUMBER	Externaltxnnumber	VARCHAR2	16	PHALLOCDETAILSTAUPLOADHF
PARTYID	Partyid	VARCHAR2	12	PHALLOCDETAILSTAUPLOADHF
PORTFOLIOID	Portfolioid	VARCHAR2	16	PHALLOCDETAILSTAUPLOADHF
ACCOUNTID	Accountid	VARCHAR2	16	PHALLOCDETAILSTAUPLOADHF
INSTRUMENTID	Instrumentid	VARCHAR2	16	PHALLOCDETAILSTAUPLOADHF
ASSETID	Assetid	VARCHAR2	16	PHALLOCDETAILSTAUPLOADHF
ORDERAMOUNT	Orderamount	NUMBER	30	PHALLOCDETAILSTAUPLOADHF
LOADAMOUNT	Loadamount	NUMBER	30	PHALLOCDETAILSTAUPLOADHF
NETAMOUNT	Netamount	NUMBER	30	PHALLOCDETAILSTAUPLOADHF
SETTLEMENTAMT	Settlementamt	NUMBER	30	PHALLOCDETAILSTAUPLOADHF
NAV	Nav	NUMBER	17	PHALLOCDETAILSTAUPLOADHF
NAVDATE	Navdate	DATE	7	PHALLOCDETAILSTAUPLOADHF
ALLOTEDUNITS	Allotedunits	NUMBER	30	PHALLOCDETAILSTAUPLOADHF
ALLOCATIONDATE	Allocationdate	DATE	7	PHALLOCDETAILSTAUPLOADHF
FROMORTOLEG	Fromortoleg	VARCHAR2	1	PHALLOCDETAILSTAUPLOADHF
ORIGINALORDNUMBER	Originalordnumber	VARCHAR2	16	PHALLOCDETAILSTAUPLOADHF
ORDERTYPE	Ordertype	VARCHAR2	3	PHALLOCDETAILSTAUPLOADHF

Table 1-166 (Cont.) Pension Allocation Handoff

Field name	Description	Datatype	Length	Table Name
STATUS	Status	VARCHAR2	2	PHALLOCDETAILSTAUPLOADHF
ERR_CODE	Err_Code	VARCHAR2	20	PHALLOCDETAILSTAUPLOADHF
ERRDESCRIPTION	Errdescription	VARCHAR2	255	PHALLOCDETAILSTAUPLOADHF
TATRANSACTIONDATE	Tatransactiondate	DATE	7	PHALLOCDETAILSTAUPLOADHF
BLOCKEDFLAG	Blockedflag	VARCHAR2	1	PHALLOCDETAILSTAUPLOADHF

1.46 Load Maintenance Export

This topic provides detailed information on the Load Maintenance Export.

This topic contains the following sub-topics:

- Segment Identification Number
  This provides detailed information on the segment identification number for load maintenance export.
- Load Maintenance Upload
  This topic provides detailed information on the Load Maintenance Upload.

1.46.1 Segment Identification Number

This provides detailed information on the segment identification number for load maintenance export.

Table 1-167 Load Maintenance Export - Segment Identification Number

Segment Identification Number	Description
01LOD	This segment is used to provide load upload details.
02DET	This segment is used to provide load detail upload details.
03BAS	This segment is used to provide basis definition upload detail.
04DER	This segment is used to provide derived load upload detail.

1.46.2 Load Maintenance Upload

This topic provides detailed information on the Load Maintenance Upload.

Table 1-168 Load Maintenance Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segment id	VARCHAR2	20	B_LOADUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	22	B_LOADUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	B_LOADUPLOADTBL
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	B_LOADUPLOADTBL
UPLOADMODE	Uploadmode	VARCHAR2	1	B_LOADUPLOADTBL
IMPORTFILENAME	Importfilename	VARCHAR2	255	B_LOADUPLOADTBL
KEYSTRING	Keystring	VARCHAR2	50	B_LOADUPLOADTBL
LOADID	Loadid	NUMBER	5	B_LOADUPLOADTBL
AUTH_STAT	Auth_Stat	VARCHAR2	1	B_LOADUPLOADTBL
LOADDESCRIPTION	Loaddescription	VARCHAR2	60	B_LOADUPLOADTBL
LOADTYPE	Loadtype	VARCHAR2	1	B_LOADUPLOADTBL
AMOUNTORPERCENTAGE	Amountorpercentage	VARCHAR2	1	B_LOADUPLOADTBL
LOADCALCMETHOD	Loadcalcmethod	VARCHAR2	1	B_LOADUPLOADTBL
LOADOVERRIDEABLE	Loadoverrideable	NUMBER	1	B_LOADUPLOADTBL
CRITERIA	Criteria	VARCHAR2	255	B_LOADUPLOADTBL
FROMTABLES	Fromtables	VARCHAR2	20	B_LOADUPLOADTBL
LOWERBOUNDARYINCLUSIVE	Lowerboundaryinclusive	NUMBER	1	B_LOADUPLOADTBL
CUMULATIVELOADAPPLICABLE	Cumulativeloadapplicable	NUMBER	1	B_LOADUPLOADTBL
MAKER_ID	Maker_Id	VARCHAR2	320	B_LOADUPLOADTBL
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	B_LOADUPLOADTBL

Table 1-168 (Cont.) Load Maintenance Upload

Field name	Description	Datatype	Length	Table Name
CHECKER_ID	Checker_Id	VARCHAR2	320	B_LOADUPLOADTBL
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7	B_LOADUPLOADTBL
LASTMODIFIEDUSERID	Lastmodifieduserid	VARCHAR2	320	B_LOADUPLOADTBL
LASTMODIFIEDDATE	Lastmodifieddate	DATE	7	B_LOADUPLOADTBL
MOD_NO	Mod_No	NUMBER	22	B_LOADUPLOADTBL
PRICEBASISLOAD	Pricebasisload	NUMBER	1	B_LOADUPLOADTBL
AMOUNTBASIS	Amountbasis	VARCHAR2	1	B_LOADUPLOADTBL
RECORD_STAT	Record_Stat	VARCHAR2	1	B_LOADUPLOADTBL
ONCE_AUTH	Once_Auth	VARCHAR2	1	B_LOADUPLOADTBL
ROATHRESHOLDFORINITIALFEE	Roathresholdforinitialfee	NUMBER	30	B_LOADUPLOADTBL
CREATEDMODULE	Created module	VARCHAR2	30	B_LOADUPLOADTBL
SEGMENTID	Segment id	VARCHAR2	20	B_LOADDETUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	22	B_LOADDETUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	B_LOADDETUPLOADTBL
KEYSTRING	Keystring	VARCHAR2	50	B_LOADDETUPLOADTBL
LOADID	Loadid	NUMBER	5	B_LOADDETUPLOADTBL
SLABSEQNO	Slabseqno	NUMBER	5	B_LOADDETUPLOADTBL
SLABFROMDATE	Slabfromdate	DATE	7	B_LOADDETUPLOADTBL
SLABTODATE	Slabtodate	DATE	7	B_LOADDETUPLOADTBL
SLABFROMAMOUNT	Slabfromamount	NUMBER	30	B_LOADDETUPLOADTBL
SLABTOAMOU NT	Slabtoamount	NUMBER	30	B_LOADDETUPLOADTBL
SLABFROMUNITS	Slabfromunits	NUMBER	27	B_LOADDETUPLOADTBL

Table 1-168 (Cont.) Load Maintenance Upload

Field name	Description	Datatype	Length	Table Name
SLABTOUNITS	Slabtounits	NUMBER	27	B_LOADDETUPLOADTBL
SLABFROMPERIOD	Slabfromperiod	NUMBER	22	B_LOADDETUPLOADTBL
SLABTOPERIOD	Slabtoperiod	NUMBER	22	B_LOADDETUPLOADTBL
RETURNVALUE	Returnvalue	NUMBER	30	B_LOADDETUPLOADTBL
FLOORVALUE	Floorvalue	NUMBER	15	B_LOADDETUPLOADTBL
CEILINGVALUE	Ceilingvalue	NUMBER	30	B_LOADDETUPLOADTBL
PERIODBASIS	Periodbasis	VARCHAR2	1	B_LOADDETUPLOADTBL
SLABFROMCOUNTER	Slabfromcounter	NUMBER	22	B_LOADDETUPLOADTBL
SLABTOCOUNTER	Slabtocounter	NUMBER	22	B_LOADDETUPLOADTBL
UHDEALCEILINGVALUE	Uhdealceilingvalue	NUMBER	30	B_LOADDETUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	B_BASISDEFUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	22	B_BASISDEFUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	B_BASISDEFUPLOADTBL
KEYSTRING	Keystring	VARCHAR2	50	B_BASISDEFUPLOADTBL
LOADID	Loadid	NUMBER	5	B_BASISDEFUPLOADTBL
AUTH_STAT	Auth_Stat	VARCHAR2	1	B_BASISDEFUPLOADTBL
TABLESREFERRED	Tablesreferred	VARCHAR2	16	B_BASISDEFUPLOADTBL
BASISAMOUNT	Basisamount	VARCHAR2	255	B_BASISDEFUPLOADTBL
BASISDAYS	Basisdays	VARCHAR2	255	B_BASISDEFUPLOADTBL
BASISUNITS	Basisunits	VARCHAR2	255	B_BASISDEFUPLOADTBL
JOINCLAUSE	Joinclause	VARCHAR2	255	B_BASISDEFUPLOADTBL
FIELDFLAG	Fieldflag	VARCHAR2	1	B_BASISDEFUPLOADTBL
MAKER_ID	Maker_Id	VARCHAR2	320	B_BASISDEFUPLOADTBL

Table 1-168 (Cont.) Load Maintenance Upload

Field name	Description	Datatype	Length	Table Name
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	B_BASISDEFUPLOADTBL
CHECKER_ID	Checker_Id	VARCHAR2	320	B_BASISDEFUPLOADTBL
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7	B_BASISDEFUPLOADTBL
LASTMODIFIEDUSERID	Lastmodifieduserid	VARCHAR2	320	B_BASISDEFUPLOADTBL
LASTMODIFIEDDATE	Lastmodifieddate	DATE	7	B_BASISDEFUPLOADTBL
MOD_NO	Mod_No	NUMBER	22	B_BASISDEFUPLOADTBL
RECORD_STAT	Record_Stat	CHAR	1	B_BASISDEFUPLOADTBL
ONCE_AUTH	Once_Auth	CHAR	1	B_BASISDEFUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	B_DERIVEDLOADUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	22	B_DERIVEDLOADUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	B_DERIVEDLOADUPLOADTBL
KEYSTRING	Keystring	VARCHAR2	50	B_DERIVEDLOADUPLOADTBL
LOADID	Loadid	NUMBER	5	B_DERIVEDLOADUPLOADTBL
DESCRIPTION	Description	VARCHAR2	60	B_DERIVEDLOADUPLOADTBL
PARENTLOADID	Parentloadid	VARCHAR2	6	B_DERIVEDLOADUPLOADTBL
TOENTITYTYPE	Toentitytype	VARCHAR2	1	B_DERIVEDLOADUPLOADTBL
TOENTITYID	Toentityid	VARCHAR2	12	B_DERIVEDLOADUPLOADTBL
LOADPERCENTAGE	Loadpercentage	NUMBER	5	B_DERIVEDLOADUPLOADTBL
CRITERIA	Criteria	VARCHAR2	255	B_DERIVEDLOADUPLOADTBL
FROMTABLES	Fromtables	VARCHAR2	20	B_DERIVEDLOADUPLOADTBL
MANDATORY	Mandatory	NUMBER	38	B_DERIVEDLOADUPLOADTBL
PRIORITY	Priority	NUMBER	38	B_DERIVEDLOADUPLOADTBL

Table 1-168 (Cont.) Load Maintenance Upload

Field name	Description	Datatype	Length	Table Name
AMOUNTBASIS	Amountbasis	VARCHAR2	1	B_DERIVEDLOADUPLOADTBL
CAPTOPARENTLOADAMOUNT	Captoparent loadamount	NUMBER	30	B_DERIVEDLOADUPLOADTBL
DIFFERENTIALLOAD	Differentialload	VARCHAR2	1	B_DERIVEDLOADUPLOADTBL
DEPENDENTLOADID	Dependentloadid	VARCHAR2	6	B_DERIVEDLOADUPLOADTBL
FDAPINCOME	Fdapincome	NUMBER	1	B_DERIVEDLOADUPLOADTBL

1.47 Broker Export

This topic provides detailed information on the Broker Export.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for broker export.
- Broker Export
  This topic provides detailed information on the broker export related information.

1.47.1 Segment Identification Number

This topic provides detailed information on the segment identification number for broker export.

Table 1-169 Segment Identification Number

Segment Identification Number	Description
00BKR	This segment is used to provide broker upload details.
01BLG	This segment is used to provide broker legal upload details.
02BRF	This segment is used to provide broker preference upload detail.
03BBK	This segment is used to provide broker bank upload detail.
04BCT	This segment is used to provide broker contact information upload detail.
05RLP	This segment is used to provide broker related parties upload detail.

1.47.2 Broker Export

This topic provides detailed information on the broker export related information.

Table 1-170 Broker Export

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PHBROKERTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHBROKERTBL
PROCESSID	Processid	VARCHAR2	50	PHBROKERTBL
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PHBROKERTBL
UPLOADMODE	Uploadmode	VARCHAR2	1	PHBROKERTBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHBROKERTBL
BROKERCODE	Brokercode	VARCHAR2	12	PHBROKERTBL
BROKERNAME	Brokername	VARCHAR2	105	PHBROKERTBL
PARENTBROKERCODE	Parentbrokercode	VARCHAR2	12	PHBROKERTBL
BROKERBASECCY	Brokerbaseccy	VARCHAR2	3	PHBROKERTBL
AMCID	Amcid	VARCHAR2	12	PHBROKERTBL
AUTH_STAT	Auth_Stat	VARCHAR2	1	PHBROKERTBL
DELETEDSTATUS	Deletedstatus	NUMBER	1	PHBROKERTBL
OVERRIDDENTAXPERCENTAGE	Overriddentaxpercentage	NUMBER	15	PHBROKERTBL
MAKER_ID	Maker_Id	VARCHAR2	320	PHBROKERTBL
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PHBROKERTBL
LEVELNUMBER	Levelnumber	NUMBER	3	PHBROKERTBL
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PHBROKERTBL
CHANGETYPE	Changetype	VARCHAR2	1	PHBROKERTBL
VATREGISTERED	Vatregistered	NUMBER	1	PHBROKERTBL
VATREGISTRATIONNUMBER	Vatregistrationnumber	VARCHAR2	15	PHBROKERTBL

Table 1-170 (Cont.) Broker Export

Field name	Description	Datatype	Length	Table Name
COMMISSIONPERCENTAGE	Commissionpercentage	NUMBER	5	PHBROKERTBL
COMMISSIONPAYMENTFREQ	Commissionpaymentfreq	VARCHAR2	1	PHBROKERTBL
UNITHOLDERID	Unitholderid	VARCHAR2	12	PHBROKERTBL
LASTPAYMENTDATE	Lastpaymentdate	DATE	7	PHBROKERTBL
LASTPAYMENTNUMBER	Lastpaymentnumber	NUMBER	5	PHBROKERTBL
LASTPROCESSEDDATE	Lastprocesseddate	DATE	7	PHBROKERTBL
NEXTPAYMENTDATE	Nextpaymentdate	DATE	7	PHBROKERTBL
CHECKER_ID	Checker_Id	VARCHAR2	320	PHBROKERTBL
CHECKER_DT_STA MP	Checker_Dt_Stamp	DATE	7	PHBROKERTBL
BROKERTYPE	Brokertype	VARCHAR2	6	PHBROKERTBL
USEBROKERTYPE PAYMENTDA TES	Usebrokertypepaymentdates	NUMBER	1	PHBROKERTBL
BROKERCONSULTANT	Brokerconsultant	VARCHAR2	40	PHBROKERTBL
BROKERCATEGORY	Brokercategory	VARCHAR2	1	PHBROKERTBL
HOLDBACKPAYMENT	Holdbackpayment	NUMBER	1	PHBROKERTBL
MOD_NO	Mod_No	NUMBER	10	PHBROKERTBL
HOLDPYMTFROMDATE	Holdpymtfromdate	DATE	7	PHBROKERTBL
LATESTRULE	Latestrule	NUMBER	22	PHBROKERTBL
BROKERSTATUS	Brokerstatus	VARCHAR2	1	PHBROKERTBL
RECORD_ST AT	Record_Stat	CHAR	1	PHBROKERTBL

Table 1-170 (Cont.) Broker Export

Field name	Description	Datatype	Length	Table Name
ONCE_AUTH	Once_Auth	CHAR	1	PHBROKERTBL
REPLICATEPARENTDET	Replicateparentdet	CHAR	1	PHBROKERTBL
VATREGISTRATIONDATE	Vatregistrationdate	DATE	7	PHBROKERTBL
TEMPLATEID	Templateid	VARCHAR2	6	PHBROKERTBL
USINDICIAAVAILABLE	Usindiciaavailable	NUMBER	1	PHBROKERTBL
FATCASTATUS	Fatcastatus	VARCHAR2	25	PHBROKERTBL
NATIONALITY	Nationality	VARCHAR2	3	PHBROKERTBL
COUNTRYOFBIRTH	Countryofbirth	VARCHAR2	3	PHBROKERTBL
COUNTRYOFDOMICILE	Countryofdomicile	VARCHAR2	3	PHBROKERTBL
INCORPORATIONCOUNTRY	Incorporationcountry	VARCHAR2	3	PHBROKERTBL
TAXID	Taxid	VARCHAR2	50	PHBROKERTBL
EIN	Ein	VARCHAR2	50	PHBROKERTBL
TAXCERTIFICATEEFFEDATE	Taxcertificateeffed ate	DATE	7	PHBROKERTBL
TAXCERTIFICATEEXP DATE	Taxcertificateexpdate	DATE	7	PHBROKERTBL
TAXCIRCLE	Taxcircle	VARCHAR2	15	PHBROKERTBL
FATCACLASSIFICATION	Fatcaclassification	VARCHAR2	25	PHBROKERTBL
GIIN	Giin	VARCHAR2	30	PHBROKERTBL
GIINISSUEDATE	Giinissuedate	DATE	7	PHBROKERTBL
GIINEXPIRYDATE	Giinexpirydate	DATE	7	PHBROKERTBL
GIINVERIFIEDFLAG	Giinverifiedflag	NUMBER	1	PHBROKERTBL

Table 1-170 (Cont.) Broker Export

Field name	Description	Datatype	Length	Table Name
FATCASPONSORTYPE	Fatcasponsortype	VARCHAR2	2	PHBROKERTBL
SPONSORINGENTITYTYPE	Sponsoringentitytype	VARCHAR2	1	PHBROKERTBL
SPONSORINGENTITYID	Sponsoringentityid	VARCHAR2	12	PHBROKERTBL
VATEXPIRYDATE	Vatexpirydate	DATE	7	PHBROKERTBL
UNAUTHEXIST	Unauthexist	VARCHAR2	1	PHBROKERTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHBROKER LEGACYTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHBROKER LEGACYTBL
PROCESSID	Processid	VARCHAR2	50	PHBROKER LEGACYTBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHBROKER LEGACYTBL
BROKERCODE	Brokercode	VARCHAR2	12	PHBROKER LEGACYTBL
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PHBROKER LEGACYTBL
BROKERLEGACYCODE	Brokerlegacycode	VARCHAR2	12	PHBROKER LEGACYTBL
MOD_NO	Mod_No	NUMBER	10	PHBROKER LEGACYTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHBROKER PREFER ENCETBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHBROKER PREFER ENCETBL
PROCESSID	Processid	VARCHAR2	50	PHBROKER PREFER ENCETBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHBROKER PREFER ENCETBL
BROKERCODE	Brokercode	VARCHAR2	12	PHBROKER PREFER ENCETBL
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PHBROKER PREFER ENCETBL
FEEDTYPE	Feedtype	VARCHAR2	20	PHBROKER PREFER ENCETBL
FEEDSTRUCT	Feedstruct	VARCHAR2	4	PHBROKER PREFER ENCETBL

Table 1-170 (Cont.) Broker Export

Field name	Description	Datatype	Length	Table Name
FEEDSTARTDATE	Feedstartdate	DATE	7	PHBROKER PREFER ENCETBL
FEEDFREQUENCY	Feedfrequency	VARCHAR2	20	PHBROKER PREFER ENCETBL
FEEDFORMAT	Feedformat	VARCHAR2	6	PHBROKER PREFER ENCETBL
MOD_NO	Mod_No	NUMBER	10	PHBROKER PREFER ENCETBL
SEGMENTID	Segmentid	VARCHAR2	20	PHBROKERBANKDETAILSTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHBROKERBANKDETAILSTBL
PROCESSID	Processid	VARCHAR2	50	PHBROKERBANKDETAILSTBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHBROKERBANKDETAILSTBL
BROKERCODE	Brokercode	VARCHAR2	12	PHBROKERBANKDETAILSTBL
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PHBROKERBANKDETAILSTBL
REFERENCENUMBER	Referencenumber	VARCHAR2	12	PHBROKERBANKDETAILSTBL
BANKCODE	Bankcode	VARCHAR2	12	PHBROKERBANKDETAILSTBL
BRANCHCODE	Branchcode	VARCHAR2	12	PHBROKERBANKDETAILSTBL
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PHBROKERBANKDETAILSTBL
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20	PHBROKERBANKDETAILSTBL
ACCOUNTNAME	Accountname	VARCHAR2	100	PHBROKERBANKDETAILSTBL
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3	PHBROKERBANKDETAILSTBL
DEFAULTDETAILS	Defaultdetails	NUMBER	1	PHBROKERBANKDETAILSTBL
DIRECTDEBITAPPLICABLE	Directdebitapplicable	NUMBER	1	PHBROKERBANKDETAILSTBL
SWIFTFORMAT	Swiftformat	VARCHAR2	6	PHBROKERBANKDETAILSTBL
BANKCHARGED	Bankcharged	VARCHAR2	12	PHBROKERBANKDETAILSTBL
BENEFICIARY	Beneficiary	VARCHAR2	35	PHBROKERBANKDETAILSTBL

Table 1-170 (Cont.) Broker Export

Field name	Description	Datatype	Length	Table Name
BENEFICIARYADD1	Beneficiaryadd1	VARCHAR2	105	PHBROKERBANKDETAILSTBL
BENEFICIARYADD2	Beneficiaryadd2	VARCHAR2	105	PHBROKERBANKDETAILSTBL
BENEFICIARYADD3	Beneficiaryadd3	VARCHAR2	105	PHBROKERBANKDETAILSTBL
BENEFICIARYBICCODE	Beneficiarybiccode	VARCHAR2	24	PHBROKERBANKDETAILSTBL
BENEFICIARYACCTNO	Beneficiaryacctno	VARCHAR2	34	PHBROKERBANKDETAILSTBL
BENEFICIARYCODE	Beneficiarycode	VARCHAR2	24	PHBROKERBANKDETAILSTBL
BENEFICIARYINFO	Beneficiaryinfo	VARCHAR2	100	PHBROKERBANKDETAILSTBL
INTERMEDIARY	Intermediary	VARCHAR2	35	PHBROKERBANKDETAILSTBL
INTERMEDIARYADD1	Intermediaryadd1	VARCHAR2	105	PHBROKERBANKDETAILSTBL
INTERMEDIARYADD2	Intermediaryadd2	VARCHAR2	105	PHBROKERBANKDETAILSTBL
INTERMEDIARYADD3	Intermediaryadd3	VARCHAR2	105	PHBROKERBANKDETAILSTBL
INTERMEDIARYBICCODE	Intermediarybiccode	VARCHAR2	24	PHBROKERBANKDETAILSTBL
INTERMEDIARYACCTNO	Intermediaryacctno	VARCHAR2	34	PHBROKERBANKDETAILSTBL
INTERMEDIARYCODE	Intermediarycode	VARCHAR2	24	PHBROKERBANKDETAILSTBL
INTERMEDIARYINFO	Intermediaryinfo	VARCHAR2	100	PHBROKERBANKDETAILSTBL
FURTHERCREDITNAME	Furthercreditname	VARCHAR2	35	PHBROKERBANKDETAILSTBL
FURTHERCREDITACCTNO	Furthercreditacctno	VARCHAR2	35	PHBROKERBANKDETAILSTBL
REFERENCE1	Reference1	VARCHAR2	35	PHBROKERBANKDETAILSTBL
REFERENCE2	Reference2	VARCHAR2	35	PHBROKERBANKDETAILSTBL
IBAN	Iban	VARCHAR2	40	PHBROKERBANKDETAILSTBL
BENEFICIARYIBAN	Beneficiaryiban	VARCHAR2	40	PHBROKERBANKDETAILSTBL

Table 1-170 (Cont.) Broker Export

Field name	Description	Datatype	Length	Table Name
INTERMEDIARYIBAN	Intermediaryiban	VARCHAR2	40	PHBROKERBANKDETAILSTBL
MOD_NO	Mod_No	NUMBER	10	PHBROKERBANKDETAILSTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHBROKERCONTACTINFOTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHBROKERCONTACTINFOTBL
PROCESSID	Processid	VARCHAR2	50	PHBROKERCONTACTINFOTBL
USERREFERENCENUMBER	Userreferencenum ber	VARCHAR2	16	PHBROKERCONTACTINFOTBL
ENTITYID	Entityid	VARCHAR2	12	PHBROKERCONTACTINFOTBL
ENTITYTYPE	Entitytype	VARCHAR2	2	PHBROKERCONTACTINFOTBL
ADDRESSTY PE	Addresstype	VARCHAR2	2	PHBROKERCONTACTINFOTBL
ADDRESSLINE1	Addressline1	VARCHAR2	80	PHBROKERCONTACTINFOTBL
ADDRESSLINE2	Addressline2	VARCHAR2	80	PHBROKERCONTACTINFOTBL
ADDRESSLINE3	Addressline3	VARCHAR2	80	PHBROKERCONTACTINFOTBL
ADDRESSLINE4	Addressline4	VARCHAR2	80	PHBROKERCONTACTINFOTBL
ZIPCODE	Zipcode	VARCHAR2	10	PHBROKERCONTACTINFOTBL
COUNTRY	Country	VARCHAR2	3	PHBROKERCONTACTINFOTBL
USSTATE	Usstate	NUMBER	1	PHBROKERCONTACTINFOTBL
TELEPHONE1	Telephone1	VARCHAR2	60	PHBROKERCONTACTINFOTBL
TELEPHONE2	Telephone2	VARCHAR2	60	PHBROKERCONTACTINFOTBL
CELLPHONENUMBER	Cellphonenumber	VARCHAR2	60	PHBROKERCONTACTINFOTBL
FAX	Fax	VARCHAR2	120	PHBROKERCONTACTINFOTBL
EMAIL	Email	VARCHAR2	255	PHBROKERCONTACTINFOTBL
CONTACTPERSON	Contactperson	VARCHAR2	160	PHBROKERCONTACTINFOTBL
EFFECTIVEFROMDATE	Effectivefromdate	DATE	7	PHBROKERCONTACTINFOTBL

Table 1-170 (Cont.) Broker Export

Field name	Description	Datatype	Length	Table Name
EFFECTIVETODATE	Effectivetodate	DATE	7	PHBROKERCONTACTINFOTBL
BICCODE	Biccode	VARCHAR2	16	PHBROKERCONTACTINFOTBL
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PHBROKERCONTACTINFOTBL
INTDIALLINGCODEFAX	Intdiallingcodefax	VARCHAR2	10	PHBROKERCONTACTINFOTBL
INTDIALLINGCODEPH2	Intdiallingcodeph2	VARCHAR2	10	PHBROKERCONTACTINFOTBL
INTDIALLINGCODEPH1	Intdiallingcodeph1	VARCHAR2	10	PHBROKERCONTACTINFOTBL
INTDIALLINGCODECELL	Intdiallingcodecell	VARCHAR2	10	PHBROKERCONTACTINFOTBL
MOD_NO	Mod_No	NUMBER	10	PHBROKERCONTACTINFOTBL
SEGMENTID	Segmentid	VARCHAR2	20	PHBROKERRELATEDPARTIESTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PHBROKERRELATEDPARTIESTBL
PROCESSID	Processid	VARCHAR2	50	PHBROKERRELATEDPARTIESTBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PHBROKERRELATEDPARTIESTBL
BROKERCODE	Brokercode	VARCHAR2	12	PHBROKERRELATEDPARTIESTBL
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PHBROKERRELATEDPARTIESTBL
RPENTITYID	Rpentityid	VARCHAR2	12	PHBROKERRELATEDPARTIESTBL
RPENTITYTYPE	Rpentitytype	VARCHAR2	2	PHBROKERRELATEDPARTIESTBL
REPORTINGLEVEL	Reportinglevel	VARCHAR2	1	PHBROKERRELATEDPARTIESTBL
PREFMAILMODE	Prefmailmode	VARCHAR2	1	PHBROKERRELATEDPARTIESTBL
REMARKS	Remarks	VARCHAR2	255	PHBROKERRELATEDPARTIESTBL
APPLYLEVEL	Applylevel	VARCHAR2	3	PHBROKERRELATEDPARTIESTBL
APPLYTO	Applyto	VARCHAR2	12	PHBROKERRELATEDPARTIESTBL
MOD_NO	Mod_No	NUMBER	10	PHBROKERRELATEDPARTIESTBL

1.48 Load Maintenance Upload

This topic provides detailed information on the Load Maintenance Upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for load maintenance upload.
- Load Maintenance Upload
  This topic provides detailed information on the Load Maintenance Upload.

1.48.1 Segment Identification Number

This topic provides detailed information on the segment identification number for load maintenance upload.

Table 1-171 Load Maintenance Upload - Segment Identification Number

Segment Identification Number	Description
01LOD	This segment is used to provide load upload details.
02DET	This segment is used to provide load detail upload.
03BAS	This segment is used to provide basis definition upload detail.
04DER	This segment is used to provide derived load upload detail.

1.48.2 Load Maintenance Upload

This topic provides detailed information on the Load Maintenance Upload.

Table 1-172 Load Maintenance Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATB_LOADUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATB_LOADUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_LOADUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_LOADUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_LOADUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATB_LOADUPLOAD
LOADID	Loadid	NUMBER	5	PATB_LOADUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATB_LOADUPLOAD

Table 1-172 (Cont.) Load Maintenance Upload

Field name	Description	Datatype	Length	Table Name
LOADDESCRIPTION	Loaddescription	VARCHAR2	60	PATB_LOADUPLOAD
LOADTYPE	Loadtype	VARCHAR2	1	PATB_LOADUPLOAD
AMOUNTORPERCENTAGE	Amountorpercentage	VARCHAR2	1	PATB_LOADUPLOAD
LOADCALCMETHOD	Loadcalcmethod	VARCHAR2	1	PATB_LOADUPLOAD
LOADOVERRIDEABLE	Loadoverrideable	NUMBER	1	PATB_LOADUPLOAD
CRITERIA	Criteria	VARCHAR2	255	PATB_LOADUPLOAD
FROMTABLES	Fromtables	VARCHAR2	20	PATB_LOADUPLOAD
LOWERBOUNDARYINCLUSIVE	Lowerboundaryinclusive	NUMBER	1	PATB_LOADUPLOAD
CUMULATIVELOADAPPLICABLE	Cumulativeloadapplicable	NUMBER	1	PATB_LOADUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATB_LOADUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATB_LOADUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATB_LOADUPLOAD
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7	PATB_LOADUPLOAD
LASTMODIFIEDUSERID	Lastmodifieduserid	VARCHAR2	320	PATB_LOADUPLOAD
LASTMODIFIEDDATE	Lastmodifieddate	DATE	7	PATB_LOADUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATB_LOADUPLOAD
PRICEBASISLOAD	Pricebasisload	NUMBER	1	PATB_LOADUPLOAD
AMOUNTBASIS	Amountbasis	VARCHAR2	1	PATB_LOADUPLOAD
RECORD_STAT	Record_Stat	VARCHAR2	1	PATB_LOADUPLOAD
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATB_LOADUPLOAD
ROATHRESHOLDFORINITIALFEE	Roathresholdforinitialfee	NUMBER	30	PATB_LOADUPLOAD
CREATEDMODULE	Createdmodule	VARCHAR2	30	PATB_LOADUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_LOADDETUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATB_LOADDETUPLOAD

Table 1-172 (Cont.) Load Maintenance Upload

Field name	Description	Datatype	Length	Table Name
PROCESSID	Processid	VARCHAR2	50	PATB_LOADDETUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_LOADDETUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_LOADDETUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATB_LOADDETUPLOAD
LOADID	Loadid	NUMBER	5	PATB_LOADDETUPLOAD
SLABSEQNO	Slabseqno	NUMBER	5	PATB_LOADDETUPLOAD
SLABFROMDATE	Slabfromdate	DATE	7	PATB_LOADDETUPLOAD
SLABTODATE	Slabtodate	DATE	7	PATB_LOADDETUPLOAD
SLABFROMAMOUNT	Slabfromamount	NUMBER	30	PATB_LOADDETUPLOAD
SLABTOAMOUN T	Slabtoamount	NUMBER	30	PATB_LOADDETUPLOAD
SLABFROMUNITS	Slabfromunits	NUMBER	27	PATB_LOADDETUPLOAD
SLABTOUNITS	Slabtounits	NUMBER	27	PATB_LOADDETUPLOAD
SLABFROMPERIOD	Slabfromperiod	NUMBER	22	PATB_LOADDETUPLOAD
SLABTOPERIOD	Slabtoperiod	NUMBER	22	PATB_LOADDETUPLOAD
RETURNVALUE	Returnvalue	NUMBER	30	PATB_LOADDETUPLOAD
FLOORVALUE	Floorvalue	NUMBER	15	PATB_LOADDETUPLOAD
CEILINGVALUE	Ceilingvalue	NUMBER	30	PATB_LOADDETUPLOAD
PERIODBASIS	Periodbasis	VARCHAR2	1	PATB_LOADDETUPLOAD
SLABFROMCOUNTER	Slabfrom counter	NUMBER	22	PATB_LOADDETUPLOAD
SLABTOCOUNTER	Slabtocounter	NUMBER	22	PATB_LOADDETUPLOAD
UHDEALCEILINGVALUE	Uhdealceiling value	NUMBER	30	PATB_LOADDETUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_BASISDEFUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATB_BASISDEFUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_BASISDEFUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_BASISDEFUPLOAD

Table 1-172 (Cont.) Load Maintenance Upload

Field name	Description	Datatype	Length	Table Name
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_BASISDEFUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATB_BASISDEFUPLOAD
LOADID	Loadid	NUMBER	5	PATB_BASISDEFUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATB_BASISDEFUPLOAD
TABLESREFERRED	Tablesreferred	VARCHAR2	16	PATB_BASISDEFUPLOAD
BASISAMOUNT	Basisamount	VARCHAR2	255	PATB_BASISDEFUPLOAD
BASISDAYS	Basisdays	VARCHAR2	255	PATB_BASISDEFUPLOAD
BASISUNITS	Basisunits	VARCHAR2	255	PATB_BASISDEFUPLOAD
JOINCLAUSE	Joinclause	VARCHAR2	255	PATB_BASISDEFUPLOAD
FIELDFLAG	Fieldflag	VARCHAR2	1	PATB_BASISDEFUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATB_BASISDEFUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATB_BASISDEFUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATB_BASISDEFUPLOAD
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7	PATB_BASISDEFUPLOAD
LASTMODIFIEDUSERID	Lastmodifieduserid	VARCHAR2	320	PATB_BASISDEFUPLOAD
LASTMODIFIEDDATE	Lastmodifieddate	DATE	7	PATB_BASISDEFUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATB_BASISDEFUPLOAD
RECORD_STAT	Record_Stat	CHAR	1	PATB_BASISDEFUPLOAD
ONCE_AUTH	Once_Auth	CHAR	1	PATB_BASISDEFUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_DERIVEDLOADUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATB_DERIVEDLOADUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_DERIVEDLOADUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_DERIVEDLOADUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_DERIVEDLOADUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATB_DERIVEDLOADUPLOAD

Table 1-172 (Cont.) Load Maintenance Upload

Field name	Description	Datatype	Length	Table Name
LOADID	Loadid	NUMBER	5	PATB_DERIVEDLOADUPLOAD
DESCRIPTION	Description	VARCHAR2	60	PATB_DERIVEDLOADUPLOAD
PARENTLOADID	Parentloadid	VARCHAR2	6	PATB_DERIVEDLOADUPLOAD
TOENTITYTYPE	Toentitytype	VARCHAR2	1	PATB_DERIVEDLOADUPLOAD
TOENTITYID	Toentityid	VARCHAR2	12	PATB_DERIVEDLOADUPLOAD
LOADPERCENTAGE	Loadpercentage	NUMBER	5	PATB_DERIVEDLOADUPLOAD
CRITERIA	Criteria	VARCHAR2	255	PATB_DERIVEDLOADUPLOAD
FROMTABLES	Fromtables	VARCHAR2	20	PATB_DERIVEDLOADUPLOAD
MANDATORY	Mandatory	NUMBER	38	PATB_DERIVEDLOADUPLOAD
PRIORITY	Priority	NUMBER	38	PATB_DERIVEDLOADUPLOAD
AMOUNTBASIS	Amountbasis	VARCHAR2	1	PATB_DERIVEDLOADUPLOAD
CAPTOPARENTLOADAMOUNT	Captoparentloadamount	NUMBER	30	PATB_DERIVEDLOADUPLOAD
DIFFERENTIALLOAD	Differentialload	VARCHAR2	1	PATB_DERIVEDLOADUPLOAD
DEPENDENTLOADID	Dependentloadid	VARCHAR2	6	PATB_DERIVEDLOADUPLOAD
FDAPINCOME	Fdapincome	NUMBER	1	PATB_DERIVEDLOADUPLOAD

1.49 Broker Import

This topic provides detailed information on the Broker Import.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for broker import.
- Broker Import from TA
  This topic provides detailed information on the Broker Import from TA.

1.49.1 Segment Identification Number

This topic provides detailed information on the segment identification number for broker import.

Table 1-173 Broker Import - Segment Identification Number

Segment Identification Number	Description
00BKR	This segment is used to provide broker upload details.
01BLG	This segment is used to provide broker legacy upload details.
02BRF	This segment is used to provide broker preference upload detail.
03BNK	This segment is used to provide broker bank upload detail.
04BCT	This segment is used to provide broker contact upload details.
05RLP	This segment is used to provide broker parties upload details.

1.49.2 Broker Import from TA

This topic provides detailed information on the Broker Import from TA.

Table 1-174 Broker Import from TA

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATB_BROKERUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_BROKERUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_BROKERUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_BROKERUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_BROKERUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_BROKERUPLOAD
BROKERCODE	Brokercode	VARCHAR2	12	PATB_BROKERUPLOAD
BROKERNAME	Brokername	VARCHAR2	105	PATB_BROKERUPLOAD
PARENTBROKERCODE	Parentbrokercode	VARCHAR2	12	PATB_BROKERUPLOAD
BROKERBASECCY	Brokerbaseccy	VARCHAR2	3	PATB_BROKERUPLOAD
AMCID	Amcid	VARCHAR2	12	PATB_BROKERUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATB_BROKERUPLOAD
DELETEDSTATUS	Deletedstatus	NUMBER	1	PATB_BROKERUPLOAD

Table 1-174 (Cont.) Broker Import from TA

Field name	Description	Datatype	Length	Table Name
OVERRIDDENTAXPERCENTAGE	Overriddentaxpercentage	NUMBER	15	PATB_BROKERUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATB_BROKERUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATB_BROKERUPLOAD
LEVELNUMBER	Levelnumber	NUMBER	3	PATB_BROKERUPLOAD
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PATB_BROKERUPLOAD
CHANGETYPE	Changetype	VARCHAR2	1	PATB_BROKERUPLOAD
VATREGISTERED	Vatregistered	NUMBER	1	PATB_BROKERUPLOAD
VATREGISTRATIONNUMBER	Vatregistrationnumber	VARCHAR2	15	PATB_BROKERUPLOAD
COMMISSIONPERCENTAGE	Commissionpercentage	NUMBER	5	PATB_BROKERUPLOAD
COMMISSION PAYMENT FREQ	Commissionpaymentfreq	VARCHAR2	1	PATB_BROKERUPLOAD
UNITHOLDERID	Unitholderid	VARCHAR2	12	PATB_BROKERUPLOAD
LASTPAYMENTDATE	Lastpaymentdate	DATE	7	PATB_BROKERUPLOAD
LASTPAYMENTNUMBER	Lastpaymentnumber	NUMBER	5	PATB_BROKERUPLOAD
LASTPROCESSEDDATE	Lastprocesseddate	DATE	7	PATB_BROKERUPLOAD
NEXTPAYMENTDATE	Nextpaymentdate	DATE	7	PATB_BROKERUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATB_BROKERUPLOAD
CHECKER_DT_STAM P	Checker_Dt_Stamp	DATE	7	PATB_BROKERUPLOAD
BROKERTYPE	Brokertype	VARCHAR2	6	PATB_BROKERUPLOAD
USEBROKERTYPEPAYMENTDATES	Usebrokertypepaymentdates	NUMBER	1	PATB_BROKERUPLOAD
BROKERCONSULTANT	Brokerconsultant	VARCHAR2	40	PATB_BROKERUPLOAD
BROKERCATEGORY	Brokercategory	VARCHAR2	1	PATB_BROKERUPLOAD
HOLDBACKPAYMENT	Holdbackpayment	NUMBER	1	PATB_BROKERUPLOAD
MOD_NO	Mod_No	NUMBER	10	PATB_BROKERUPLOAD

Table 1-174 (Cont.) Broker Import from TA

Field name	Description	Datatype	Length	Table Name
HOLDPYMTFROMDATE	Holdpymtfromdate	DATE	7	PATB_BROKERUPLOAD
LATESTRULE	Latestrule	NUMBER	22	PATB_BROKERUPLOAD
BROKERSTATUS	Brokerstatus	VARCHAR2	1	PATB_BROKERUPLOAD
RECORD_STAT	Record_Stat	CHAR	1	PATB_BROKERUPLOAD
ONCE_AUTH	Once_Auth	CHAR	1	PATB_BROKERUPLOAD
REPLICATEPARENTDET	Replicateparentdet	CHAR	1	PATB_BROKERUPLOAD
VATREGISTRATIONDATE	Vatregistrationdate	DATE	7	PATB_BROKERUPLOAD
TEMPLATEID	Templateid	VARCHAR2	6	PATB_BROKERUPLOAD
USINDICIAAVAILABLE	Usindiciaavailable	NUMBER	1	PATB_BROKERUPLOAD
FATCASTATUS	Fatcastatus	VARCHAR2	25	PATB_BROKERUPLOAD
NATIONALITY	Nationality	VARCHAR2	3	PATB_BROKERUPLOAD
COUNTRYOFBIRTH	Countryofbirth	VARCHAR2	3	PATB_BROKERUPLOAD
COUNTRYOFDOMICILE	Countryofdomicile	VARCHAR2	3	PATB_BROKERUPLOAD
INCORPORATIONCOUNTRY	Incorporationcountry	VARCHAR2	3	PATB_BROKERUPLOAD
TAXID	Taxid	VARCHAR2	50	PATB_BROKERUPLOAD
EIN	Ein	VARCHAR2	50	PATB_BROKERUPLOAD
TAXCERTIFICATEEFFEDATE	Taxcertificateeffedate	DATE	7	PATB_BROKERUPLOAD
TAXCERTIFICATEEXPDATE	Taxcertificateexpdate	DATE	7	PATB_BROKERUPLOAD
TAXCIRCLE	Taxcircle	VARCHAR2	15	PATB_BROKERUPLOAD
FATCACLASSIFICATION	Fatcaclassification	VARCHAR2	25	PATB_BROKERUPLOAD
GIIN	Giin	VARCHAR2	30	PATB_BROKERUPLOAD
GIINISSUEDATE	Giinissuedate	DATE	7	PATB_BROKERUPLOAD
GIINEXPIRYDATE	Giinexpirydate	DATE	7	PATB_BROKERUPLOAD
GIINVERIFIEDFLAG	Giinverifiedflag	NUMBER	1	PATB_BROKERUPLOAD
FATCASPONSORTYPE	Fatcasponsortype	VARCHAR2	2	PATB_BROKERUPLOAD

Table 1-174 (Cont.) Broker Import from TA

Field name	Description	Datatype	Length	Table Name
SPONSORINGENTITYTYPE	Sponsoringentitytype	VARCHAR2	1	PATB_BROKERUPLOAD
SPONSORINGENTITYID	Sponsoringentityid	VARCHAR2	12	PATB_BROKERUPLOAD
VATEXPIRYDATE	Vatexpirydate	DATE	7	PATB_BROKERUPLOAD
UNAUTHEXIST	Unauthexist	VARCHAR2	1	PATB_BROKERUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_BROKERLEGACYUPLOAD
SEQUENCENUMBER	Sequencenum ber	NUMBER	10	PATB_BROKERLEGACYUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_BROKERLEGACYUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_BROKERLEGACYUPLOAD
BROKERCODE	Brokercode	VARCHAR2	12	PATB_BROKERLEGACYUPLOAD
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PATB_BROKERLEGACYUPLOAD
BROKERLEGACYCODE	Brokerlegacycode	VARCHAR2	12	PATB_BROKERLEGACYUPLOAD
MOD_NO	Mod_No	NUMBER	10	PATB_BROKERLEGACYUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_BROKERPREFUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_BROKERPREFUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_BROKERPREFUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_BROKERPREFUPLOAD
BROKERCODE	Brokercode	VARCHAR2	12	PATB_BROKERPREFUPLOAD
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PATB_BROKERPREFUPLOAD
FEEDTYPE	Feedtype	VARCHAR2	20	PATB_BROKERPREFUPLOAD
FEEDSTRUCT	Feedstruct	VARCHAR2	4	PATB_BROKERPREFUPLOAD
FEEDSTARTDATE	Feedstartdate	DATE	7	PATB_BROKERPREFUPLOAD
FEEDFREQUENCY	Feedfrequency	VARCHAR2	20	PATB_BROKERPREFUPLOAD
FEEDFORMAT	Feedformat	VARCHAR2	6	PATB_BROKERPREFUPLOAD
MOD_NO	Mod_No	NUMBER	10	PATB_BROKERPREFUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_BROKERBANKDETUPLOAD

Table 1-174 (Cont.) Broker Import from TA

Field name	Description	Datatype	Length	Table Name
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_BROKERBANKDETUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_BROKERBANKDETUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_BROKERBANKDETUPLOAD
BROKERCODE	Brokercode	VARCHAR2	12	PATB_BROKERBANKDETUPLOAD
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PATB_BROKERBANKDETUPLOAD
REFERENCENUMBER	Referencenumber	VARCHAR2	12	PATB_BROKERBANKDETUPLOAD
BANKCODE	Bankcode	VARCHAR2	12	PATB_BROKERBANKDETUPLOAD
BRANCHCODE	Branchcode	VARCHAR2	12	PATB_BROKERBANKDETUPLOAD
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PATB_BROKERBANKDETUPLOAD
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20	PATB_BROKERBANKDETUPLOAD
ACCOUNTNAME	Accountname	VARCHAR2	100	PATB_BROKERBANKDETUPLOAD
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3	PATB_BROKERBANKDETUPLOAD
DEFAULTDETAILS	Defaultdetails	NUMBER	1	PATB_BROKERBANKDETUPLOAD
DIRECTDEBITAPPLICABLE	Directdebitapplicable	NUMBER	1	PATB_BROKERBANKDETUPLOAD
SWIFTFORMAT	Swiftformat	VARCHAR2	6	PATB_BROKERBANKDETUPLOAD
BANKCHARGED	Bankcharged	VARCHAR2	12	PATB_BROKERBANKDETUPLOAD
BENEFICIARY	Beneficiary	VARCHAR2	35	PATB_BROKERBANKDETUPLOAD
BENEFICIARYADD1	Beneficiaryadd1	VARCHAR2	105	PATB_BROKERBANKDETUPLOAD
BENEFICIARYADD2	Beneficiaryadd2	VARCHAR2	105	PATB_BROKERBANKDETUPLOAD
BENEFICIARYADD3	Beneficiaryadd3	VARCHAR2	105	PATB_BROKERBANKDETUPLOAD
BENEFICIARYBICCODE	Beneficiarybiccode	VARCHAR2	24	PATB_BROKERBANKDETUPLOAD
BENEFICIARYACCTNO	Beneficiaryacctno	VARCHAR2	34	PATB_BROKERBANKDETUPLOAD
BENEFICIARYCODE	Beneficiarycode	VARCHAR2	24	PATB_BROKERBANKDETUPLOAD
BENEFICIARYINFO	Beneficiaryinfo	VARCHAR2	100	PATB_BROKERBANKDETUPLOAD

Table 1-174 (Cont.) Broker Import from TA

Field name	Description	Datatype	Length	Table Name
INTERMEDIARY	Intermediary	VARCHAR2	35	PATB_BROKERBANKDETUPLOAD
INTERMEDIARYADD1	Intermediaryadd1	VARCHAR2	105	PATB_BROKERBANKDETUPLOAD
INTERMEDIARYADD2	Intermediaryadd2	VARCHAR2	105	PATB_BROKERBANKDETUPLOAD
INTERMEDIARYADD3	Intermediaryadd3	VARCHAR2	105	PATB_BROKERBANKDETUPLOAD
INTERMEDIARYBICCODE	Intermediarybiccode	VARCHAR2	24	PATB_BROKERBANKDETUPLOAD
INTERMEDIARYACCTNO	Intermediaryacctno	VARCHAR2	34	PATB_BROKERBANKDETUPLOAD
INTERMEDIARYCODE	Intermediarycode	VARCHAR2	24	PATB_BROKERBANKDETUPLOAD
INTERMEDIARYINFO	Intermediaryinfo	VARCHAR2	100	PATB_BROKERBANKDETUPLOAD
FURTHERCREDITNAME	Furthercreditname	VARCHAR2	35	PATB_BROKERBANKDETUPLOAD
FURTHERCREDITACCTNO	Furthercreditacctno	VARCHAR2	35	PATB_BROKERBANKDETUPLOAD
REFERENCE1	Reference1	VARCHAR2	35	PATB_BROKERBANKDETUPLOAD
REFERENCE2	Reference2	VARCHAR2	35	PATB_BROKERBANKDETUPLOAD
IBAN	Iban	VARCHAR2	40	PATB_BROKERBANKDETUPLOAD
BENEFICIARYIBAN	Beneficiaryiban	VARCHAR2	40	PATB_BROKERBANKDETUPLOAD
INTERMEDIARYIBAN	Intermediaryiban	VARCHAR2	40	PATB_BROKERBANKDETUPLOAD
MOD_NO	Mod_No	NUMBER	10	PATB_BROKERBANKDETUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_BROKERCONTACTUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_BROKERCONTACTUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_BROKERCONTACTUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_BROKERCONTACTUPLOAD
ENTITYID	Entityid	VARCHAR2	12	PATB_BROKERCONTACTUPLOAD
ENTITYTYPE	Entitytype	VARCHAR2	2	PATB_BROKERCONTACTUPLOAD
ADDRESSTYP E	Addresstype	VARCHAR2	2	PATB_BROKERCONTACTUPLOAD
ADDRESSLINE1	Addressline1	VARCHAR2	80	PATB_BROKERCONTACTUPLOAD

Table 1-174 (Cont.) Broker Import from TA

Field name	Description	Datatype	Length	Table Name
ADDRESSLINE2	Addressline2	VARCHAR2	80	PATB_BROKERCONTACTUPLOAD
ADDRESSLINE3	Addressline3	VARCHAR2	80	PATB_BROKERCONTACTUPLOAD
ADDRESSLINE4	Addressline4	VARCHAR2	80	PATB_BROKERCONTACTUPLOAD
ZIPCODE	Zipcode	VARCHAR2	10	PATB_BROKERCONTACTUPLOAD
COUNTRY	Country	VARCHAR2	3	PATB_BROKERCONTACTUPLOAD
USSTATE	Usstate	NUMBER	1	PATB_BROKERCONTACTUPLOAD
TELEPHONE1	Telephone1	VARCHAR2	60	PATB_BROKERCONTACTUPLOAD
TELEPHONE2	Telephone2	VARCHAR2	60	PATB_BROKERCONTACTUPLOAD
CELLPHONENUMBER	Cellphonenumber	VARCHAR2	60	PATB_BROKERCONTACTUPLOAD
FAX	Fax	VARCHAR2	120	PATB_BROKERCONTACTUPLOAD
EMAIL	Email	VARCHAR2	255	PATB_BROKERCONTACTUPLOAD
CONTACTPERSON	Contactperson	VARCHAR2	160	PATB_BROKERCONTACTUPLOAD
EFFECTIVEFROMDATE	Effectivefromdate	DATE	7	PATB_BROKERCONTACTUPLOAD
EFFECTIVETODATE	Effectivetodate	DATE	7	PATB_BROKERCONTACTUPLOAD
BICCODE	Biccode	VARCHAR2	16	PATB_BROKERCONTACTUPLOAD
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PATB_BROKERCONTACTUPLOAD
INTDIALLINGCODEFAX	Intdiallingcodefax	VARCHAR2	10	PATB_BROKERCONTACTUPLOAD
INTDIALLINGCODEPH2	Intdiallingcodeph2	VARCHAR2	10	PATB_BROKERCONTACTUPLOAD
INTDIALLINGCODEPH1	Intdiallingcodeph1	VARCHAR2	10	PATB_BROKERCONTACTUPLOAD
INTDIALLINGCODECELL	Intdiallingcodecell	VARCHAR2	10	PATB_BROKERCONTACTUPLOAD
MOD_NO	Mod_No	NUMBER	10	PATB_BROKERCONTACTUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_BROKERPARTIESUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_BROKERPARTIESUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_BROKERPARTIESUPLOAD

Table 1-174 (Cont.) Broker Import from TA

Field name	Description	Datatype	Length	Table Name
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_BROKERPARTIESUPLOAD
BROKERCODE	Brokercode	VARCHAR2	12	PATB_BROKERPARTIESUPLOAD
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PATB_BROKERPARTIESUPLOAD
RPENTITYID	Rpentityid	VARCHAR2	12	PATB_BROKERPARTIESUPLOAD
RPENTITYTYPE	Rpentitytype	VARCHAR2	2	PATB_BROKERPARTIESUPLOAD
REPORTINGLEVEL	Reportinglevel	VARCHAR2	1	PATB_BROKERPARTIESUPLOAD
PREFMAILMODE	Prefmailmode	VARCHAR2	1	PATB_BROKERPARTIESUPLOAD
REMARKS	Remarks	VARCHAR2	255	PATB_BROKERPARTIESUPLOAD
APPLYLEVEL	Applylevel	VARCHAR2	3	PATB_BROKERPARTIESUPLOAD
APPLYTO	Applyto	VARCHAR2	12	PATB_BROKERPARTIESUPLOAD
MOD_NO	Mod_No	NUMBER	10	PATB_BROKERPARTIESUPLOAD

1.50 Pension Held-away Holdings Upload

This topic provides detailed information on the Pension Held-away Holdings Upload.

Table 1-175 Pension Held-away Holdings Upload

Field Name	Description	Datatype	Length
SEQUENCENUMBER	Sequencenumber	NUMBER	22
PROCESSID	Processid	VARCHAR2	50
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12
UPLOADMODE	Uploadmode	VARCHAR2	1
IMPORTFILENAME	Importfilename	VARCHAR2	255
PARTYID	Partyid	VARCHAR2	12
ASSETCLASS	Assetclass	VARCHAR2	10
PORTFOLIOID	Portfolioid	VARCHAR2	16
ACCOUNTID	Accountid	VARCHAR2	16
INSTRUMENTID	Instrumentid	VARCHAR2	16
ASSETID	Assetid	VARCHAR2	16
UNITBALANCE	Unitbalance	NUMBER	30
BLOCKEDUNITS	Blockedunits	NUMBER	30
AVAILABLEBALANCE	Availablebalance	NUMBER	30

1.51 Identification Details Upload

This topic provides detailed information on the Identification Details Upload.

Table 1-176 Identification Details Upload

Field Name	Description	Data Type	Length
SEQUENCENUMBER	Sequence number of the record	NUMBER	22
PROCESSID	Process ID of the record	VARCHAR2	50
BULKCLIENTCODE	BulkclientCode	VARCHAR2	12
UPLOADMODE	Uploadmode	VARCHAR2	1
IMPORTFILENAME	Importfilename	VARCHAR2	255
IDREFERENCENO	Idreferenceno	VARCHAR2	16
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16
IDENTIFICATIONTYPE	Identificationtype	VARCHAR2	20
IDENTIFICATIONNUMBER	Identificationnumber	VARCHAR2	50
PLACEOFISSUE	Placeofissue	VARCHAR2	3
ISSUEAUTHORITY	Issueauthority	VARCHAR2	50
ISSUECOUNTRY	Issuecountry	VARCHAR2	3
DATEOFISSUE	Dateofissue	DATE	7
DATEOFEXPIRY	Dateofexpiry	DATE	7
LASTVERIFIEDDATE	Lastverifieddate	DATE	7
VERIFICATIONSTATUS	Verificationstatus	VARCHAR2	1
MODULEID	Moduleid	VARCHAR2	30
MAKER_ID	Maker_ID	VARCHAR2	320
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7
CHECKER_ID	Checker_ID	VARCHAR2	320
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7
AUTH_STAT	Auth_Stat	VARCHAR2	1
ONCE_AUTH	Once_Auth	VARCHAR2	1
RECORD_STAT	Record_Stat	VARCHAR2	1
MOD_NO	Mod_No	NUMBER	22

1.52 Bank Account Details Upload

This topic provides detailed information on the Bank Account Details Upload.

Table 1-177 Bank Account Details Upload

Field Name	Description	Data Type	Length
SEQUENCENUMBER	Sequencenumber	NUMBER	22
PROCESSID	Processid	VARCHAR2	50
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12
UPLOADMODE	Uploadmode	VARCHAR2	1
IMPORTFILENAME	Importfilename	VARCHAR2	255

Table 1-177 (Cont.) Bank Account Details Upload

Field Name	Description	Data Type	Length
BANKREFERENCENO	Bankreferenceno	VARCHAR2	16
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16
ACCOUNTHOLDERNAME	Accountholdername	VARCHAR2	100
ACCOUNTTYPE	Accounttype	VARCHAR2	1
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3
BANKCODE	Bankcode	VARCHAR2	12
BRANCHCODE	Branchcode	VARCHAR2	12
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20
IBAN	Iban	VARCHAR2	40
VERIFICATIONSTATUS	Verificationstatus	VARCHAR2	1
LASTVERIFIEDDATE	Lastverifieddate	DATE	7
MODULEID	Moduleid	VARCHAR2	30
MAKER_ID	Maker_Id	VARCHAR2	320
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7
CHECKER_ID	Checker_Id	VARCHAR2	320
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7
AUTH_STAT	Auth_Stat	VARCHAR2	1
ONCE_AUTH	Once_Auth	VARCHAR2	1
RECORD_STAT	Record_Stat	VARCHAR2	1
MOD_NO	Mod_No	NUMBER	22

1.53 Address Details Upload

This topic provides detailed information on the Address Details Upload.

Table 1-178 Address Details Upload

Field Name	Description	Datatype	Length
SEQUENCENUMBER	Sequencenumber	NUMBER	10
PROCESSID	Processid	VARCHAR2	50
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12
UPLOADMODE	Uploadmode	VARCHAR2	1
IMPORTFILENAME	Importfilename	VARCHAR2	255
CONTACTREFNO	Contactrefno	VARCHAR2	16
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16
ADDRESSLINE1	Addressline1	VARCHAR2	100
ADDRESSLINE2	Addressline2	VARCHAR2	100
ADDRESSLINE3	Addressline3	VARCHAR2	100
POSTBOXNO	Postboxno	VARCHAR2	16
CITY	City	VARCHAR2	80
STATE	State	VARCHAR2	80
COUNTRY	Country	VARCHAR2	3
ZIPCODE	Zipcode	VARCHAR2	10

Table 1-178 (Cont.) Address Details Upload

Field Name	Description	Datatype	Length
BICCODE	Biccode	VARCHAR2	16
WEBSITE	Website	VARCHAR2	100
EMAILADDRESS	Emailaddress	VARCHAR2	100
EFFECTIVEFROMDATE	Effectivefromdate	DATE	7
EFFECTIVETODATE	Effectivetodate	DATE	7
PRIMARYCONTACTPERSON	Primarycontactperson	VARCHAR2	100
PRIMARYINTERNDIALCODE1	Primaryinterndialcode1	VARCHAR2	10
PRIMARYINTERNDIALCODE2	Primaryinterndialcode2	VARCHAR2	10
PRIMARYINTERNDIALCODE3	Primaryinterndialcode3	VARCHAR2	10
PRIMARYINTERNDIALCODE4	Primaryinterndialcode4	VARCHAR2	10
PRIMARYPOSITION	Primaryposition	VARCHAR2	60
PRIMARYMOBILENUMBER1	Primarymobilenumber1	VARCHAR2	60
PRIMARYMOBILENUMBER2	Primarymobilenumber2	VARCHAR2	60
PRIMARYFIXEDLINE	Primaryfixedline	VARCHAR2	60
FAX	Fax	VARCHAR2	120
PRIMARYCONTACTINSTRN	Primarycontactinstrn	VARCHAR2	100
PRIMARYEXTN	Primaryextn	VARCHAR2	60
SECONDARYCONTACTPERSON	Secondarycontactperson	VARCHAR2	100
SECONDARYINTERNDIALCODE1	Secondaryinterndialcode1	VARCHAR2	10
SECONDARYINTERNDIALCODE2	Secondaryinterndialcode2	VARCHAR2	10
SECONDARYPOSITION	Secondaryposition	VARCHAR2	60
SECONDARYMOBILENUMBER	Secondarymobilenumber	VARCHAR2	60
SECONDARYFIXEDLINE	Secondaryfixedline	VARCHAR2	60
SECONDARYCONTACTINSTRN	Secondarycontactinstrn	VARCHAR2	105
SECONDARYEXTN	Secondaryextn	VARCHAR2	60
MODULEID	Moduleid	VARCHAR2	30
MAKER_ID	Maker_Id	VARCHAR2	320
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7
CHECKER_ID	Checker_Id	VARCHAR2	320
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7
AUTH_STAT	Auth_Stat	VARCHAR2	1
ONCE_AUTH	Once_Auth	VARCHAR2	1
RECORD_STAT	Record_Stat	VARCHAR2	1
MOD_NO	Mod_No	NUMBER	22

1.54 Party Role Mapping Upload

This topic provides detailed information on the Party Role Mapping Upload.

Table 1-179 Party Role Mapping Upload

Field Name	Description	Datatype	Length	Table Name
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYROLEMAPUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYROLEMAPUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYROLEMAPUPLOAD
UPLOAD MODE	Uploadmode	VARCHAR2	1	PATM_PARTYROLEMAPUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYROLEMAPUPLOAD
PARTYROLE	Partyrole	VARCHAR2	2	PATM_PARTYROLEMAPUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYROLEMAPUPLOAD
PARTYROLEID	Partyroleid	VARCHAR2	16	PATM_PARTYROLEMAPUPLOAD
EMPLOYEEID	Employeeid	VARCHAR2	20	PATM_PARTYROLEMAPUPLOAD
EMPLOYERCODE	Employercode	VARCHAR2	12	PATM_PARTYROLEMAPUPLOAD
COMPLIANCESTATUS	Compliancestatus	VARCHAR2	1	PATM_PARTYROLEMAPUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATM_PARTYROLEMAPUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATM_PARTYROLEMAPUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATM_PARTYROLEMAPUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATM_PARTYROLEMAPUPLOAD
CHECKER_DT_STAM P	Checker_Dt_Stamp	DATE	7	PATM_PARTYROLEMAPUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATM_PARTYROLEMAPUPLOAD
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATM_PARTYROLEMAPUPLOAD
RECORD_STA T	Record_Stat	VARCHAR2	1	PATM_PARTYROLEMAPUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATM_PARTYROLEMAPUPLOAD
DOJ	Date of Joining	DATE	7	PATM_PARTYROLEMAPUPLOAD

Table 1-179 (Cont.) Party Role Mapping Upload

Field Name	Description	Datatype	Length	Table Name
PREVRELIEVINGDATE	Previous Relieving Date	DATE	7	PATM_PARTYROLEMAPUPLOAD
GROUPCODE	Group Code	VARCHAR2	12	PATM_PARTYROLEMAPUPLOAD

1.55 Group Maintenance Upload

This topic provides detailed information on the group maintenance upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for group maintenance upload.
- Group Maintenance Import
  This topic provides detailed information on the group maintenance import.

1.55.1 Segment Identification Number

This topic provides detailed information on the segment identification number for group maintenance upload.

Table 1-180 Group maintenance upload - Segment Identification Number

Segment Identification Number	Description
00GRP	This segment is used to provide Group master details.
01DET	This segment is used to provide group Portfolio details.

1.55.2 Group Maintenance Import

This topic provides detailed information on the group maintenance import.

Table 1-181 Group Maintenance Import - Group Maintenance Import

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATM_GROUPHDRUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATM_GROUPHDRUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PATM_GROUPHDRUPLOADTBL
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_GROUPHDRUPLOADTBL

Table 1-181 (Cont.) Group Maintenance Import - Group Maintenance Import

Field name	Description	Datatype	Length	Table Name
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_GROUPHDRUPLOADTBL
GROUPCODE	Groupcode	VARCHAR2	12	PATM_GROUPHDRUPLOADTBL
GROUPDESCRIPTION	Groupdescription	VARCHAR2	255	PATM_GROUPHDRUPLOADTBL
EMPLOYERCODE	Employercode	VARCHAR2	12	PATM_GROUPHDRUPLOADTBL
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATM_GROUPHDRUPLOADTBL
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATM_GROUPHDRUPLOADTBL
RECORD_STAT	Record_Stat	VARCHAR2	1	PATM_GROUPHDRUPLOADTBL
MOD_NO	Mod_No	NUMBER	22	PATM_GROUPHDRUPLOADTBL
MAKER_ID	Maker_Id	VARCHAR2	320	PATM_GROUPHDRUPLOADTBL
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATM_GROUPHDRUPLOADTBL
CHECK ER_ID	Checker_Id	VARCHAR2	320	PATM_GROUPHDRUPLOADTBL
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7	PATM_GROUPHDRUPLOADTBL
SEGMEN TID	Segmentid	VARCHAR2	20	PATM_GROUPDETAILUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATM_GROUPDETAILUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	PATM_GROUPDETAILUPLOADTBL
GROUPCODE	Groupcode	VARCHAR2	12	PATM_GROUPDETAILUPLOADTBL
EMPLOYERCODE	Employercode	VARCHAR2	12	PATM_GROUPDETAILUPLOADTBL
PORTFOLIOID	Portfolioid	VARCHAR2	16	PATM_GROUPDETAILUPLOADTBL
MINCONTRIBUTION	Mincontribution	NUMBER	22	PATM_GROUPDETAILUPLOADTBL
MAXCONTRIBUTION	Maxcontribution	NUMBER	22	PATM_GROUPDETAILUPLOADTBL

1.56 Investment Account Upload

This topic provides detailed information on the Investment account upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for investment account upload.
- Investment Account Upload
  This topic provides detailed information on the Investment Account Upload.

1.56.1 Segment Identification Number

This topic provides detailed information on the segment identification number for investment account upload.

Table 1-182 Investment Account Upload - Segment Identification Number

Segment Identification Number	Description
01MAS	This segment is used to provide investment account master upload details.
02INT	This segment is used to provide intermediary account upload details.
03BNK	This segment is used to provide bank account upload detail.
04BNF	This segment is used to provide beneficiary account upload detail.
05ADD	This segment is used to provide additional information of account upload detail.

1.56.2 Investment Account Upload

This topic provides detailed information on the Investment Account Upload.

Table 1-183 Investment Account Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATM_INVESTMENTACCMASTERUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_INVESTMENTACCMASTERUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_INVESTMENTACCMASTERUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_INVESTMENTACCMASTERUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_INVESTMENTACCMASTERUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_INVESTMENTACCMASTERUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATM_INVESTMENTACCMASTERUPLOAD
ACOPERATIONTYPE	Acoperationtype	VARCHAR2	1	PATM_INVESTMENTACCMASTERUPLOAD
DEALINGTYPE	Dealingtype	VARCHAR2	3	PATM_INVESTMENTACCMASTERUPLOAD
GUARDIANID	Guardianid	VARCHAR2	12	PATM_INVESTMENTACCMASTERUPLOAD

Table 1-183 (Cont.) Investment Account Upload

Field name	Description	Datatype	Length	Table Name
PARTYID	Partyid	VARCHAR2	12	PATM_INVESTMENTACCMASTERUPLOAD
INVESTORCATEGORY	Investorcategory	VARCHAR2	2	PATM_INVESTMENTACCMASTERUPLOAD
ACOPENINGDATE	Acopeningdate	DATE	7	PATM_INVESTMENTACCMASTERUPLOAD
ACCOUNTREFNO	Accountrefno	VARCHAR2	16	PATM_INVESTMENTACCMASTERUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATM_INVESTMENTACCMASTERUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATM_INVESTMENTACCMASTERUPLOAD
ONCE_AUT H	Once_Auth	VARCHAR2	1	PATM_INVESTMENTACCMASTERUPLOAD
RECORD_S TAT	Record_Stat	VARCHAR2	1	PATM_INVESTMENTACCMASTERUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATM_INVESTMENTACCMASTERUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATM_INVESTMENTACCMASTERUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATM_INVESTMENTACCMASTERUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATM_INVESTMENTACCMASTERUPLOAD
CHECKER_DT_ST A MP	Checker_Dt_Stamp	DATE	7	PATM_INVESTMENTACCMASTERUPLOAD
ACCOUNTSTATUS	Accountstatus	VARCHAR2	1	PATM_INVESTMENTACCMASTERUPLOAD
PENSIONACCOUNT	Pensionaccount	VARCHAR2	1	PATM_INVESTMENTACCMASTERUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_INVESTACCINTERUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_INVESTACCINTERUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_INVESTACCINTERUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_INVESTACCINTERUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_INVESTACCINTERUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_INVESTACCINTERUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATM_INVESTACCINTERUPLOAD
INTERMEDIARYID	Intermediaryid	VARCHAR2	12	PATM_INVESTACCINTERUPLOAD
SPLITPERCENTAGE	Splitpercentage	NUMBER	22	PATM_INVESTACCINTERUPLOAD

Table 1-183 (Cont.) Investment Account Upload

Field name	Description	Datatype	Length	Table Name
DEFAULTFLAG	Defaultflag	VARCHAR2	1	PATM_INVESTACCINTERUPLOAD

1.57 Portfolio Mapping Upload

This topic provides detailed information on the Portfolio Mapping Upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for portfolio mapping upload.
- Portfolio Mapping Upload
  This topic provides detailed information on the portfolio mapping upload.

1.57.1 Segment Identification Number

This topic provides detailed information on the segment identification number for portfolio mapping upload.

Table 1-184 Portfolio Mapping Upload - Segment Identification Number

Segment Identification Number	Description
01MAS	This segment is used to provide portfolio upload details.
02PID	This segment is used to provide portfolio ID details.
03INS	This segment is used to provide portfolio instrument upload detail.
04AST	This segment is used to provide portfolio asset upload detail.

1.57.2 Portfolio Mapping Upload

This topic provides detailed information on the portfolio mapping upload.

Table 1-185 Portfolio Mapping Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYPORTFOLIOUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYPORTFOLIOUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYPORTFOLIOUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYPORTFOLIOUPLOAD

Table 1-185 (Cont.) Portfolio Mapping Upload

Field name	Description	Datatype	Length	Table Name
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_PARTYPORTFOLIOUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYPORTFOLIOUPLOAD
PARTYROLE	Partyrole	VARCHAR2	12	PATM_PARTYPORTFOLIOUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYPORTFOLIOUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATM_PARTYPORTFOLIOUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATM_PARTYPORTFOLIOUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATM_PARTYPORTFOLIOUPLOAD
ONCE_AUT H	Once_Auth	VARCHAR2	1	PATM_PARTYPORTFOLIOUPLOAD
RECORD_S TAT	Record_Stat	VARCHAR2	1	PATM_PARTYPORTFOLIOUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATM_PARTYPORTFOLIOUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATM_PARTYPORTFOLIOUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATM_PARTYPORTFOLIOUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATM_PARTYPORTFOLIOUPLOAD
CHECKER_DT_ST A MP	Checker_Dt_Stamp	DATE	7	PATM_PARTYPORTFOLIOUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYPORTFOLIOIDUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYPORTFOLIOIDUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYPORTFOLIOIDUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYPORTFOLIOIDUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_PARTYPORTFOLIOIDUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYPORTFOLIOIDUPLOAD
PARTYROLE	Partyrole	VARCHAR2	12	PATM_PARTYPORTFOLIOIDUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYPORTFOLIOIDUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATM_PARTYPORTFOLIOIDUPLOAD
PORTFOLIOID	Portfolioid	VARCHAR2	16	PATM_PARTYPORTFOLIOIDUPLOAD

Table 1-185 (Cont.) Portfolio Mapping Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYPORTFOLIOASSETUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYPORTFOLIOASSETUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYPORTFOLIOASSETUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYPORTFOLIOASSETUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_PARTYPORTFOLIOASSETUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYPORTFOLIOASSETUPLOAD
PARTYROLE	Partyrole	VARCHAR2	12	PATM_PARTYPORTFOLIOASSETUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYPORTFOLIOASSETUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATM_PARTYPORTFOLIOASSETUPLOAD
PORTFOLIOID	Portfolioid	VARCHAR2	16	PATM_PARTYPORTFOLIOASSETUPLOAD
INSTRUMENTID	Instrumentid	VARCHAR2	16	PATM_PARTYPORTFOLIOASSETUPLOAD
ASSETID	Assetid	VARCHAR2	12	PATM_PARTYPORTFOLIOASSETUPLOAD
RATIO	Ratio	NUMBER	22	PATM_PARTYPORTFOLIOASSETUPLOAD
OVERRIDE	Override	VARCHAR2	1	PATM_PARTYPORTFOLIOASSETUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYPFINSTASSETUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYPFINSTASSETUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYPFINSTASSETUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYPFINSTASSETUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_PARTYPFINSTASSETUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYPFINSTASSETUPLOAD
PARTYROLE	Partyrole	VARCHAR2	12	PATM_PARTYPFINSTASSETUPLOAD
PARTYID	Party ID	VARCHAR2	12	PATM_PARTYPFINSTASSETUPLOAD
ACCOUNTID	Account ID	VARCHAR2	16	PATM_PARTYPFINSTASSETUPLOAD
PORTFOLIOID	Portfolio ID	VARCHAR2	16	PATM_PARTYPFINSTASSETUPLOAD

Table 1-185 (Cont.) Portfolio Mapping Upload

Field name	Description	Datatype	Length	Table Name
INSTRUMENTID	Instrument ID	VARCHAR2	16	PATM_PARTYPFINSTASSETUPLOAD
INSTRUMENTRATIO	Instrument Ratio	NUMBER	22	PATM_PARTYPFINSTASSETUPLOAD

1.58 Portfolio Charge Upload

This topic provides detailed information on the portfolio charge upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for portfolio charge upload.
- Portfolio Charge Upload
  This topic provides detailed information on the portfolio charge upload.

1.58.1 Segment Identification Number

This topic provides detailed information on the segment identification number for portfolio charge upload.

Table 1-186 Portfolio Charge Upload - Segment Identification Number

Segment Identification Number	Description
01HDR	This segment is used to provide header details.
02MAP	This segment is used to provide charge mapping details.

1.58.2 Portfolio Charge Upload

This topic provides detailed information on the portfolio charge upload.

Table 1-187 Portfolio Charge Upload

Field name	Description	Datatype	Length	Table Name
PROCESSID	PROCESSID	VARCHAR2	50	PATM_PORTCHGHEADERUPLOAD
SEGMENTID	SEGMENTID	VARCHAR2	20	PATM_PORTCHGHEADERUPLOAD
SEQUENCENUMBER	SEQUENCENUMBER	NUMBER	10	PATM_PORTCHGHEADERUPLOAD
BULKCLIENTCODE	BULKCLIENTCODE	VARCHAR2	12	PATM_PORTCHGHEADERUPLOAD

Table 1-187 (Cont.) Portfolio Charge Upload

Field name	Description	Datatype	Length	Table Name
IMPORTFILENAME	IMPORTFILENAME	VARCHAR2	255	PATM_PORTCHGHEADERUPLOAD
UPLOADMODE	UPLOADMODE	VARCHAR2	1	PATM_PORTCHGHEADERUPLOAD
PORTFOLIOID	PORTFOLIOID	VARCHAR2	12	PATM_PORTCHGHEADERUPLOAD
CHARGETYPE	CHARGETYPE	VARCHAR2	1	PATM_PORTCHGHEADERUPLOAD
NEXTPROCESSDATE	NEXTPROCESSDATE	DATE	7	PATM_PORTCHGHEADERUPLOAD
USER_ID	USER_ID	VARCHAR2	15	PATM_PORTCHGHEADERUPLOAD
PROCESSID	PROCESSID	VARCHAR2	50	PATM_PORTCHGMAPUPLOAD
SEGMENTID	SEGMENTID	VARCHAR2	20	PATM_PORTCHGMAPUPLOAD
SEQUENCENUMBER	SEQUENCENUMBER	NUMBER	10	PATM_PORTCHGMAPUPLOAD
PORTFOLIOID	PORTFOLIOID	VARCHAR2	12	PATM_PORTCHGMAPUPLOAD
CHARGETYPE	CHARGETYPE	VARCHAR2	1	PATM_PORTCHGMAPUPLOAD
CHARGEID	CHARGEID	NUMBER	10	PATM_PORTCHGMAPUPLOAD
PROCESSID	PROCESSID	VARCHAR2	50	PATM_PORTCHGHEADERUPLOAD
SEGMENTID	SEGMENTID	VARCHAR2	20	PATM_PORTCHGHEADERUPLOAD
SEQUENCENUMBER	SEQUENCENUMBER	NUMBER	10	PATM_PORTCHGHEADERUPLOAD
BULKCLIENTCODE	BULKCLIENTCODE	VARCHAR2	12	PATM_PORTCHGHEADERUPLOAD
IMPORTFILENAME	IMPORTFILENAME	VARCHAR2	255	PATM_PORTCHGHEADERUPLOAD
UPLOADMODE	UPLOADMODE	VARCHAR2	1	PATM_PORTCHGHEADERUPLOAD
PORTFOLIOID	PORTFOLIOID	VARCHAR2	12	PATM_PORTCHGHEADERUPLOAD
CHARGETYPE	CHARGETYPE	VARCHAR2	1	PATM_PORTCHGHEADERUPLOAD
NEXTPROCESSDATE	NEXTPROCESSDATE	DATE	7	PATM_PORTCHGHEADERUPLOAD
USER_ID	USER_ID	VARCHAR2	15	PATM_PORTCHGHEADERUPLOAD
PROCESSID	PROCESSID	VARCHAR2	50	PATM_PORTCHGMAPUPLOAD
SEGMENTID	SEGMENTID	VARCHAR2	20	PATM_PORTCHGMAPUPLOAD

Table 1-187 (Cont.) Portfolio Charge Upload

Field name	Description	Datatype	Length	Table Name
SEQUENCENUMBER	SEQUENCENUMBER	NUMBER	10	PATM_PORTCHGMAPUPLOAD
PORTFOLIOID	PORTFOLIOID	VARCHAR2	12	PATM_PORTCHGMAPUPLOAD
CHARGETYPE	CHARGETYPE	VARCHAR2	1	PATM_PORTCHGMAPUPLOAD
CHARGEID	CHARGEID	NUMBER	10	PATM_PORTCHGMAPUPLOAD

1.59 Rebalancing Rule Mapping Upload

This topic provides detailed information on the rebalancing rule mapping upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for policy rebalancing rule mapping upload.
- Rebalancing Rule Mapping Upload
  This topic provides detailed information on the rebalancing rule mapping upload.

1.59.1 Segment Identification Number

This topic provides detailed information on the segment identification number for policy rebalancing rule mapping upload.

Table 1-188 Rebalancing Rule Mapping Upload - Segment Identification Number

Segment Identification Number	Description
00REB	This segment is used to provide header details.
01DET	This segment is used to provide rebalancing rule mapping details.

1.59.2 Rebalancing Rule Mapping Upload

This topic provides detailed information on the rebalancing rule mapping upload.

Serial Number: 1

Segment Identification Number: 00REB

Description: This segment is used for providing rebalancing rule mapping header information.

Attributes: Mandatory

Table 1-189 Rebalancing Rule Mapping Upload

Field Name	DESCRIPTION	DATA TYPE	LENGTH	TABLENAME
SEGMENTID	Segment ID. Value of Segment ID is 00REB	VARCHAR2	20	PATM_REBAL_RULEMAP_ HDR_UPLOAD
SEQUENCENUMBER	Sequence Number	NUMBER	22	PATM_REBAL_RULEMAP_ HDR_UPLOAD
PROCESSID	Process ID	VARCHAR2	50	PATM_REBAL_RULEMAP_ HDR_UPLOAD
BULKCLIENTCODE	Bulk Client Code	VARCHAR2	12	PATM_REBAL_RULEMAP_ HDR_UPLOAD
UPLOADMODE	Upload Mode	VARCHAR2	1	PATM_REBAL_RULEMAP_ HDR_UPLOAD
IMPORTFILENAME	Import File Name	VARCHAR2	255	PATM_REBAL_RULEMAP_ HDR_UPLOAD
REBALRULEID	Rebalance Rule ID	VARCHAR2	16	PATM_REBAL_RULEMAP_ HDR_UPLOAD
AUTH_STAT	Auth Stat	VARCHAR2	1	PATM_REBAL_RULEMAP_ HDR_UPLOAD
ONCE_AUTH	Once Auth	VARCHAR2	1	PATM_REBAL_RULEMAP_ HDR_UPLOAD
RECORD_STAT	Record Stat	VARCHAR2	1	PATM_REBAL_RULEMAP_ HDR_UPLOAD
MOD_NO	Modification Number	NUMBER	22	PATM_REBAL_RULEMAP_ HDR_UPLOAD
USER_ID	User ID	VARCHAR2	15320	PATM_REBAL_RULEMAP_ HDR_UPLOAD

Serial Number: 2

Segment Identification Number: 01DET

Description: This segment is used for providing rebalancing rule mapping information.

Attributes: Mandatory

Table 1-190 Rebalancing Rule Mapping Upload

Field Name	DESCRIPTION	DATA TYPE	LENGTH	TABLENAME
SEGMENTID	Segment ID. Value of Segment ID is 01DET	VARCHAR2	20	PATM_REBAL_RULEMAP_DET_UPLOAD
SEQUENCENUMBER	Sequence Number	NUMBER	22	PATM_REBAL_RULEMAP_DET_UPLOAD
PROCESSID	Process ID	VARCHAR2	50	PATM_REBAL_RULEMAP_DET_UPLOAD
BULKCLIENTCODE	Bulk Client Code	VARCHAR2	12	PATM_REBAL_RULEMAP_DET_UPLOAD

Table 1-190 (Cont.) Rebalancing Rule Mapping Upload

Field Name	DESCRIPTION	DATA TYPE	LENGTH	TABLENAME
REBALRULEID	Rebalance Rule ID	VARCHAR2	16	PATM_REBAL_RULEMAP_DET_UPLOAD
PORTFOLIOID	Portfolio ID	VARCHAR2	16	PATM_REBAL_RULEMAP_DET_UPLOAD
PARTYID	Party ID	VARCHAR2	16	PATM_REBAL_RULEMAP_DET_UPLOAD
ACCOUNTID	Account ID	VARCHAR2	16	PATM_REBAL_RULEMAP_DET_UPLOAD

1.60 Party Main Upload

This topic provides detailed information on the party main upload.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for party main upload.
- Party Main Upload
  This topic provides detailed information on the on party main upload.

1.60.1 Segment Identification Number

This topic provides detailed information on the segment identification number for party main upload.

Table 1-191 Party Main Upload - Segment Identification Number

Segment Identification Number	Description
01MAS	This segment is used to provide party master upload details.
02CON	This segment is used to provide party contact upload details.
03DIR	This segment is used to provide party director upload detail.
04BNK	This segment is used to provide party bank upload detail.
05IDN	This segment is used to provide party identification upload detail.
06TAX	This segment is used to provide party tax compila-tion upload detail.
07ADD	This segment is used to provide party additional information upload detail.

1.60.2 Party Main Upload

This topic provides detailed information on the on party main upload.

Table 1-192 Party Main Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYMASTERUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYMASTERUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYMASTERUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYMASTERUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_PARTYMASTERUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYMASTERUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYMASTERUPLOAD
PARTYTYPE	Partytype	VARCHAR2	3	PATM_PARTYMASTERUPLOAD
TITLE	Title	VARCHAR2	15	PATM_PARTYMASTERUPLOAD
FIRSTNAME	Firstname	VARCHAR2	100	PATM_PARTYMASTERUPLOAD
MIDDLENAME	Middlename	VARCHAR2	100	PATM_PARTYMASTERUPLOAD
LASTNAME	Lastname	VARCHAR2	100	PATM_PARTYMASTERUPLOAD
REGISTEREDNAME	Registeredname	VARCHAR2	100	PATM_PARTYMASTERUPLOAD
DATEOFBIRTH	Dateofbirth	DATE	7	PATM_PARTYMASTERUPLOAD
DATEOFINCORPORATION	Dateofincorporation	DATE	7	PATM_PARTYMASTERUPLOAD
MARITALSTATUS	Maritalstatus	VARCHAR2	1	PATM_PARTYMASTERUPLOAD
OCCUPATION	Occupation	VARCHAR2	3	PATM_PARTYMASTERUPLOAD
GENDER	Gender	VARCHAR2	1	PATM_PARTYMASTERUPLOAD
PREFERREDCURRENCY	Preferredcurrency	VARCHAR2	3	PATM_PARTYMASTERUPLOAD
MINOR	Minor	NUMBER	1	PATM_PARTYMASTERUPLOAD
NATIONALITY	Nationality	VARCHAR2	3	PATM_PARTYMASTERUPLOAD
COUNTRYOFDOMICILE	Countryofdomicile	VARCHAR2	3	PATM_PARTYMASTERUPLOAD

Table 1-192 (Cont.) Party Main Upload

Field name	Description	Datatype	Length	Table Name
COUNTRYOFBIRTH	Countryofbirth	VARCHAR2	3	PATM_PARTYMASTERUPLOAD
DUALNATIONALITY	Dualnationality	VARCHAR2	3	PATM_PARTYMASTERUPLOAD
SUBSTANTIALSTAYINUS	Substantialstayinus	NUMBER	1	PATM_PARTYMASTERUPLOAD
HEALTHSTATUS	Healthstatus	VARCHAR2	1	PATM_PARTYMASTERUPLOAD
PROTECTIONLEVEL	Protectionlevel	VARCHAR2	1	PATM_PARTYMASTERUPLOAD
FIRSTAUTHORIZEDSIGNATORY	Firstauthorizedsignatory	VARCHAR2	100	PATM_PARTYMASTERUPLOAD
SECONDAUTHORIZEDSIGNATORY	Secondauthorizedsignatory	VARCHAR2	100	PATM_PARTYMASTERUPLOAD
THIRDAUTHORIZEDSIGNATORY	Thirdauthorizedsignatory	VARCHAR2	100	PATM_PARTYMASTERUPLOAD
CORPORATIONTYPE	Corporationtype	VARCHAR2	3	PATM_PARTYMASTERUPLOAD
MINNOOFCORPORATESIGNATORY	Minnoofcorporatesignatory	NUMBER	22	PATM_PARTYMASTERUPLOAD
INCORPORATIONCOUNTRY	Incorporationcountry	VARCHAR2	3	PATM_PARTYMASTERUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATM_PARTYMASTERUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATM_PARTYMASTERUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATM_PARTYMASTERUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATM_PARTYMASTERUPLOAD
CHECKER_DT_STAM P	Checker_Dt_Stamp	DATE	7	PATM_PARTYMASTERUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATM_PARTYMASTERUPLOAD
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATM_PARTYMASTERUPLOAD
RECORD_STA T	Record_Stat	VARCHAR2	1	PATM_PARTYMASTERUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATM_PARTYMASTERUPLOAD
FATHERSPOUSENAME	Fatherspousename	VARCHAR2	105	PATM_PARTYMASTERUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYCONTACTUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYCONTACTUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYCONTACTUPLOAD

Table 1-192 (Cont.) Party Main Upload

Field name	Description	Datatype	Length	Table Name
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYCONTACTUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_PARTYCONTACTUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYCONTACTUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYCONTACTUPLOAD
CONTACTREFNO	Contactrefno	VARCHAR2	16	PATM_PARTYCONTACTUPLOAD
ADDRESSTYPE	Addresstype	VARCHAR2	2	PATM_PARTYCONTACTUPLOAD
ADDRESSLINE1	Addressline1	VARCHAR2	100	PATM_PARTYCONTACTUPLOAD
ADDRESSLINE2	Addressline2	VARCHAR2	100	PATM_PARTYCONTACTUPLOAD
ADDRESSLINE3	Addressline3	VARCHAR2	100	PATM_PARTYCONTACTUPLOAD
POSTBOXNO	Postboxno	VARCHAR2	16	PATM_PARTYCONTACTUPLOAD
CITY	City	VARCHAR2	80	PATM_PARTYCONTACTUPLOAD
STATE	State	VARCHAR2	80	PATM_PARTYCONTACTUPLOAD
COUNTRY	Country	VARCHAR2	3	PATM_PARTYCONTACTUPLOAD
ZIPCODE	Zipcode	VARCHAR2	10	PATM_PARTYCONTACTUPLOAD
WEBSITE	Website	VARCHAR2	100	PATM_PARTYCONTACTUPLOAD
EMAIL	Email	VARCHAR2	100	PATM_PARTYCONTACTUPLOAD
EFFECTIVEFROMDATE	Effectivefromdate	DATE	7	PATM_PARTYCONTACTUPLOAD
EFFECTIVETODATE	Effectivetodate	DATE	7	PATM_PARTYCONTACTUPLOAD
PRIMARYCONTACTPERSON	Primarycontactperson	VARCHAR2	100	PATM_PARTYCONTACTUPLOAD
PRIMARYINTERNDIALCODE1	Primaryinterndialcode1	VARCHAR2	10	PATM_PARTYCONTACTUPLOAD
PRIMARYINTERNDIALCODE2	Primaryinterndialcode2	VARCHAR2	10	PATM_PARTYCONTACTUPLOAD
PRIMARYINTERNDIALCODE3	Primaryinterndialcode3	VARCHAR2	10	PATM_PARTYCONTACTUPLOAD
PRIMARYINTERNDIALCODE4	Primaryinterndialcode4	VARCHAR2	10	PATM_PARTYCONTACTUPLOAD
PRIMARYPOSITION	Primaryposition	VARCHAR2	60	PATM_PARTYCONTACTUPLOAD

Table 1-192 (Cont.) Party Main Upload

Field name	Description	Datatype	Length	Table Name
PRIMARYMOBILENUMBER1	Primarymobilenumber1	VARCHAR2	60	PATM_PARTYCONTACTUPLOAD
PRIMARYMOBILENUMBER2	Primarymobilenumber2	VARCHAR2	60	PATM_PARTYCONTACTUPLOAD
PRIMARYFIXEDLINE	Primaryfixedline	VARCHAR2	60	PATM_PARTYCONTACTUPLOAD
FAX	Fax	VARCHAR2	120	PATM_PARTYCONTACTUPLOAD
PRIMARYCONTACTINSTRN	Primarycontactinstrn	VARCHAR2	100	PATM_PARTYCONTACTUPLOAD
PRIMARYEXTN	Primaryextn	VARCHAR2	60	PATM_PARTYCONTACTUPLOAD
SECONDARYCONTACTPERSON	Secondarycontactperson	VARCHAR2	100	PATM_PARTYCONTACTUPLOAD
SECONDARYINTERNDIALCODE1	Secondaryinterndialcode1	VARCHAR2	10	PATM_PARTYCONTACTUPLOAD
SECONDARYINTERNDIALCODE2	Secondaryinterndialcode2	VARCHAR2	10	PATM_PARTYCONTACTUPLOAD
SECONDARYPOSITION	Secondaryposition	VARCHAR2	60	PATM_PARTYCONTACTUPLOAD
SECONDARYMOBILENUMBER	Secondarymobilenumber	VARCHAR2	60	PATM_PARTYCONTACTUPLOAD
SECONDARYFIXEDLINE	Secondaryfixedline	VARCHAR2	60	PATM_PARTYCONTACTUPLOAD
SECONDARYCONTACTINSTRN	Secondarycontactinstrn	VARCHAR2	105	PATM_PARTYCONTACTUPLOAD
SECONDARYEXTN	Secondaryextn	VARCHAR2	60	PATM_PARTYCONTACTUPLOAD
BICCODE	Biccode	VARCHAR2	16	PATM_PARTYCONTACTUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYDIRECTORUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYDIRECTORUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYDIRECTORUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYDIRECTORUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_PARTYDIRECTORUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYDIRECTORUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYDIRECTORUPLOAD
DIRECTORID	Directorid	VARCHAR2	12	PATM_PARTYDIRECTORUPLOAD
PERCENTAGE	Percentage	NUMBER	5	PATM_PARTYDIRECTORUPLOAD

Table 1-192 (Cont.) Party Main Upload

Field name	Description	Datatype	Length	Table Name
CONTROLLINGPERSON	Controllingperson	VARCHAR2	1	PATM_PARTYDIRECTORUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYBANKUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYBANKUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYBANKUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYBANKUPLOAD
UPLOAD MODE	Uploadmode	VARCHAR2	1	PATM_PARTYBANKUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYBANKUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYBANKUPLOAD
BANKREFERENCENO	Bankreferenceno	VARCHAR2	16	PATM_PARTYBANKUPLOAD
OWNERSHIPTYPE	Ownershiptype	VARCHAR2	1	PATM_PARTYBANKUPLOAD
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PATM_PARTYBANKUPLOAD
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3	PATM_PARTYBANKUPLOAD
BANKCODE	Bankcode	VARCHAR2	12	PATM_PARTYBANKUPLOAD
BRANCHCODE	Branchcode	VARCHAR2	12	PATM_PARTYBANKUPLOAD
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20	PATM_PARTYBANKUPLOAD
IBAN	Iban	VARCHAR2	40	PATM_PARTYBANKUPLOAD
VERIFICATIONSTATUS	Verificationstatus	VARCHAR2	1	PATM_PARTYBANKUPLOAD
LASTVERIFIEDDATE	Lastverifieddate	DATE	7	PATM_PARTYBANKUPLOAD
ACCOUNTHOLDERNAME	Accountholdername	VARCHAR2	100	PATM_PARTYBANKUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATM_PARTYBANKUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYIDENTIFICATIONUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYIDENTIFICATIONUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYIDENTIFICATIONUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYIDENTIFICATIONUPLOAD

Table 1-192 (Cont.) Party Main Upload

Field name	Description	Datatype	Length	Table Name
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_PARTYIDENTIFICATIONUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYIDENTIFICATIONUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYIDENTIFICATIONUPLOAD
IDREFERENCENO	Idreferenceno	VARCHAR2	16	PATM_PARTYIDENTIFICATIONUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATM_PARTYIDENTIFICATIONUPLOAD
IDENTIFICATIONTYPE	Identificationtype	VARCHAR2	20	PATM_PARTYIDENTIFICATIONUPLOAD
IDENTIFICATIONNUMBER	Identificationnum ber	VARCHAR2	50	PATM_PARTYIDENTIFICATIONUPLOAD
PLACEOFISSUE	Placeofissue	VARCHAR2	3	PATM_PARTYIDENTIFICATIONUPLOAD
ISSUEAUTHORITY	Issueauthority	VARCHAR2	50	PATM_PARTYIDENTIFICATIONUPLOAD
ISSUECOUNTRY	Issuecountry	VARCHAR2	3	PATM_PARTYIDENTIFICATIONUPLOAD
DATEOFISSUE	Dateofissue	DATE	7	PATM_PARTYIDENTIFICATIONUPLOAD
DATEOFEXPIRY	Dateofexpiry	DATE	7	PATM_PARTYIDENTIFICATIONUPLOAD
LASTVERIFIEDDATE	Lastverifieddate	DATE	7	PATM_PARTYIDENTIFICATIONUPLOAD
VERIFICATIONSTATUS	Verificationstatus	VARCHAR2	1	PATM_PARTYIDENTIFICATIONUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYTAXCOMPLUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYTAXCOMPLUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYTAXCOMPLUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYTAXCOMPLUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_PARTYTAXCOMPLUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYTAXCOMPLUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYTAXCOMPLUPLOAD
TAXCOMPLIANCE	Taxcompliance	VARCHAR2	10	PATM_PARTYTAXCOMPLUPLOAD
TAXJURISDICTION	Taxjurisdiction	VARCHAR2	3	PATM_PARTYTAXCOMPLUPLOAD
INDICIAAVAILABLE	Indiciaavailable	NUMBER	1	PATM_PARTYTAXCOMPLUPLOAD
REPORTABLESTATUS	Reportablestatus	VARCHAR2	1	PATM_PARTYTAXCOMPLUPLOAD

Table 1-192 (Cont.) Party Main Upload

Field name	Description	Datatype	Length	Table Name
REMARKS	Remarks	VARCHAR2	255	PATM_PARTYTAXCOMPLUPLOAD
CRITERIAMATCHED	Criteriamatched	VARCHAR2	255	PATM_PARTYTAXCOMPLUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYADDINFOUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATM_PARTYADDINFOUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYADDINFOUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATM_PARTYADDINFOUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATM_PARTYADDINFOUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATM_PARTYADDINFOUPLOAD
PARTYID	Partyid	VARCHAR2	16	PATM_PARTYADDINFOUPLOAD
ADDINFOLABEL	Addinfolabel	VARCHAR2	30	PATM_PARTYADDINFOUPLOAD
FIELDVALUE	Fieldvalue	CHAR	23	PATM_PARTYADDINFOUPLOAD

1.61 Fund Export

This topic provides detailed information on the fund export.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for fund export.
- Fund Export
  This topic provides detailed information on the Fund Export.

1.61.1 Segment Identification Number

This topic provides detailed information on the segment identification number for fund export.

Table 1-193 Fund Export - Segment Identification Number

Segment Identification Number	Description
00FDU	This segment is used to provide fund demographics upload details.
01TPR	This segment is used to provide third party upload details.
02FLU	This segment is used to provide fund load upload detail.

1.61.2 Fund Export

This topic provides detailed information on the Fund Export.

Table 1-194 Fund Export

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	FUNDDEMOGRAPHICSUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	22	FUNDDEMOGRAPHICSUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	FUNDDEMOGRAPHICSUPLOADTBL
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	FUNDDEMOGRAPHICSUPLOADTBL
UPLOADMODE	Uploadmode	VARCHAR2	1	FUNDDEMOGRAPHICSUPLOADTBL
IMPORTFILENAME	Importfile name	VARCHAR2	255	FUNDDEMOGRAPHICSUPLOADTBL
USERREFERENCENUMBER	Userreference number	VARCHAR2	50	FUNDDEMOGRAPHICSUPLOADTBL
FUNDID	Fundid	VARCHAR2	6	FUNDDEMOGRAPHICSUPLOADTBL
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	FUNDDEMOGRAPHICSUPLOADTBL
AUTH_STAT	Auth_Stat	VARCHAR2	1	FUNDDEMOGRAPHICSUPLOADTBL
FUNDSTARTDATE	Fundstartdate	DATE	7	FUNDDEMOGRAPHICSUPLOADTBL
FUNDNAME	Fundname	VARCHAR2	60	FUNDDEMOGRAPHICSUPLOADTBL
FUNDNAMESHORT	Fundnameshort	VARCHAR2	90	FUNDDEMOGRAPHICSUPLOADTBL
FUNDCLASS	Fundclass	VARCHAR2	1	FUNDDEMOGRAPHICSUPLOADTBL
FUNDTYPE	Fundtype	NUMBER	38	FUNDDEMOGRAPHICSUPLOADTBL
FUNDFAMILY	Fundfamily	VARCHAR2	6	FUNDDEMOGRAPHICSUPLOADTBL
FUNDBASECURRENCY	Fundbasecurrency	VARCHAR2	3	FUNDDEMOGRAPHICSUPLOADTBL
FUNDCOUNTRY	Fundcountry	VARCHAR2	3	FUNDDEMOGRAPHICSUPLOADTBL
FISCALSTARTYEAR	Fiscalstartyear	DATE	7	FUNDDEMOGRAPHICSUPLOADTBL
FISCALENDYEAR	Fiscalendyear	DATE	7	FUNDDEMOGRAPHICSUPLOADTBL
AMCID	Amcid	VARCHAR2	12	FUNDDEMOGRAPHICSUPLOADTBL
BROKERSALLOWED	Brokersallowed	NUMBER	1	FUNDDEMOGRAPHICSUPLOADTBL

Table 1-194 (Cont.) Fund Export

Field name	Description	Datatype	Length	Table Name
REGISTRARID	Registrarid	VARCHAR2	12	FUNDDEMOGRAPHICSUPLOADTBL
TRUSTEEID	Trusteeid	VARCHAR2	12	FUNDDEMOGRAPHICSUPLOADTBL
LATESTRULE	Latestrule	NUMBER	1	FUNDDEMOGRAPHICSUPLOADTBL
MOD_NO	Mod_No	NUMBER	22	FUNDDEMOGRAPHICSUPLOADTBL
MAKER_ID	Maker_Id	VARCHAR2	320	FUNDDEMOGRAPHICSUPLOADTBL
MAKER_DT_STAMP	Maker_Dt_Stam p	DATE	7	FUNDDEMOGRAPHICSUPLOADTBL
CHECKER_ID	Checker_Id	VARCHAR2	320	FUNDDEMOGRAPHICSUPLOADTBL
CHECKER_DT_STA MP	Checker_Dt_ Stam p	DATE	7	FUNDDEMOGRAPHICSUPLOADTBL
MINHOLDINGPERIOD	Minholdingperiod	NUMBER	22	FUNDDEMOGRAPHICSUPLOADTBL
PRIMARYREGADDRESS	Primaryregaddress	VARCHAR2	255	FUNDDEMOGRAPHICSUPLOADTBL
FUNDTAXID	Fundtaxid	VARCHAR2	25	FUNDDEMOGRAPHICSUPLOADTBL
FUNDIDENTIFICATIONNUMBER	Fundidentificationnumber	VARCHAR2	25	FUNDDEMOGRAPHICSUPLOADTBL
FUNDTICKERSYMBOL	Fundtickersymbol	VARCHAR2	25	FUNDDEMOGRAPHICSUPLOADTBL
ALLOWIRAINVESTMENTS	Allowirainvestments	NUMBER	1	FUNDDEMOGRAPHICSUPLOADTBL
CUSTODIAN	Custodian	VARCHAR2	12	FUNDDEMOGRAPHICSUPLOADTBL
FUNDSUSPENDED	Fundsuspended	NUMBER	1	FUNDDEMOGRAPHICSUPLOADTBL
MINAMOUNTFORTAXIDINDV	Minamountfortaxidindv	NUMBER	30	FUNDDEMOGRAPHICSUPLOADTBL
MINAMOUNTFORTAXIDCOR	Minamountfortaxidcor	NUMBER	30	FUNDDEMOGRAPHICSUPLOADTBL
RECORD_STAT	Record_Stat	CHAR	1	FUNDDEMOGRAPHICSUPLOADTBL
ONCE_AUTH	Once_Auth	CHAR	1	FUNDDEMOGRAPHICSUPLOADTBL
EXISTINGFUND	Existingfund	NUMBER	1	FUNDDEMOGRAPHICSUPLOADTBL
UMBRELLAFUND	Umbrellafund	NUMBER	1	FUNDDEMOGRAPHICSUPLOADTBL
HEDGEFUND	Hedgefund	VARCHAR2	1	FUNDDEMOGRAPHICSUPLOADTBL
FUNDENABLED	Fundenabled	NUMBER	1	FUNDDEMOGRAPHICSUPLOADTBL
COEENABLED	Coeenabled	VARCHAR2	1	FUNDDEMOGRAPHICSUPLOADTBL

Table 1-194 (Cont.) Fund Export

Field name	Description	Datatype	Length	Table Name
LEGALENTIT Y	Legalentity	VARCHAR2	12	FUNDDEMOGRAPHICSUPLOADTBL
FUNDCLOSEDDATE	Fundcloseddate	DATE	7	FUNDDEMOGRAPHICSUPLOADTBL
SEGMENTID	Segmentid	VARCHAR2	20	TPRUPLOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	22	TPRUPLOADTBL
PROCESSID	Processid	VARCHAR2	50	TPRUPLOADTBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	50	TPRUPLOADTBL
FUNDID	Fundid	VARCHAR2	6	TPRUPLOADTBL
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	TPRUPLOADTBL
TRANSACTIONTYPE	Transactiontype	VARCHAR2	2	TPRUPLOADTBL
TRANSACTIONSTARTDATE	Transactionstartdate	DATE	7	TPRUPLOADTBL
TRANSACTIONENDDATE	Transactionenddate	DATE	7	TPRUPLOADTBL
TRANSACTIONFREQUENCY	Transaction frequency	VARCHAR2	1	TPRUPLOADTBL
TRANSAC TIONSTART PERIOD	Transaction startperiod	NUMBER	22	TPRUPLOADTBL
TRANSAC TIONEND PERIOD	Transactionenddperiod	NUMBER	22	TPRUPLOADTBL
TRANSACTIONSTARTTIME	Transactionstarttime	DATE	7	TPRUPLOADTBL
TRANSACTIONENDTIME	Transactionendtime	DATE	7	TPRUPLOADTBL
MINTRANSACTIONAMOUNT	Mintransactionamount	NUMBER	30	TPRUPLOADTBL
STEPAMOUN T	Stepamount	NUMBER	30	TPRUPLOADTBL
MAXTRANSACTIONAMOUNT	Maxtransactionamount	NUMBER	30	TPRUPLOADTBL
MINTRANSACTIONUNITS	Mintransactionunits	NUMBER	27	TPRUPLOADTBL
STEPUNITS	Stepunits	NUMBER	27	TPRUPLOADTBL
MAXTRANSACTIONUNITS	Maxtransactionunits	NUMBER	27	TPRUPLOADTBL
ONLINEALLOCATION	Onlineallocation	NUMBER	1	TPRUPLOADTBL

Table 1-194 (Cont.) Fund Export

Field name	Description	Datatype	Length	Table Name
ALLOCATIONPERVSREGCAPITAL	Allocationpervsregcapital	NUMBER	20	TPRUPLOADTBL
ALLOCATIONPOLICY	Allocationpolicy	VARCHAR2	1	TPRUPLOADTBL
NOOFTXNALLOWEDFORFREQ	Nooftxnallowedforfreq	VARCHAR2	1	TPRUPLOADTBL
NOOFTXNALLOWEDPERUH	Nooftxnallowedperuh	NUMBER	22	TPRUPLOADTBL
NOOFFREETXNALLOWEDPER UH	Nooffreetxnallowedperuh	NUMBER	22	TPRUPLOADTBL
ALLOWBACKDATING	Allowbackdating	NUMBER	1	TPRUPLOADTBL
BACKDATINGLIMIT	Backdatinglimit	NUMBER	22	TPRUPLOADTBL
FUNDTRANSACTIONCOUNTER	Fundtransactioncounter	NUMBER	22	TPRUPLOADTBL
ACKNOWLEDGEMENTREQUIRED	Acknowledgementrequired	NUMBER	1	TPRUPLOADTBL
CONFIRMATIONREQUIRED	Confirmationrequired	NUMBER	1	TPRUPLOADTBL
BASEPRICEDEFINITION	Basepricedefinition	VARCHAR2	60	TPRUPLOADTBL
BASEPRICEFACTOR	Basepricefactor	NUMBER	7	TPRUPLOADTBL
NOOFDECIMALSFORBASEPRICE	Noofdecimalsforbaseprice	NUMBER	22	TPRUPLOADTBL
ROUNDOFFTRUNCATEBASEP RICE	Roundofftruncatebaseprice	VARCHAR2	1	TPRUPLOADTBL
TRANSACTIONENABLED	Transactionenabled	NUMBER	1	TPRUPLOADTBL
CROSSBRANCHALLOWED	Crossbranchallowed	NUMBER	1	TPRUPLOADTBL
PRICELAG	Pricelag	NUMBER	22	TPRUPLOADTBL
ALLOCATIONLAG	Allocationlag	NUMBER	22	TPRUPLOADTBL
CONFIRMATIONLAG	Confirmationlag	NUMBER	22	TPRUPLOADTBL
CHQALLOCONPARWITHCASH	Chqalloconparwithcash	NUMBER	1	TPRUPLOADTBL
HOLDINGPERIODAPPLICABLE	Holdingperiodapplicable	NUMBER	1	TPRUPLOADTBL
RETAINAGEINGTOSUBSCRIPTION	Retainageingtosubscription	NUMBER	1	TPRUPLOADTBL
CREDITCARDALLOCONPARWITHCASH	Creditcardalloconparwithcash	NUMBER	1	TPRUPLOADTBL

Table 1-194 (Cont.) Fund Export

Field name	Description	Datatype	Length	Table Name
LIMITREDEMPTIONMODE	Limitredemptionmode	VARCHAR2	1	TPRUPLOADTBL
NATUREOFLIMIT	Natureoflimit	VARCHAR2	1	TPRUPLOADTBL
TRANSFERALLOCONPARWITHCASH	Transferallocnparwithcash	NUMBER	1	TPRUPLOADTBL
GROSSAMOUNT	Grossamount	VARCHAR2	1	TPRUPLOADTBL
GROSSUNITS	Grossunits	VARCHAR2	1	TPRUPLOADTBL
NETAMOUNT	Netamount	VARCHAR2	1	TPRUPLOADTBL
NETUNITS	Netunits	VARCHAR2	1	TPRUPLOADTBL
MININITIALTXNAMOUNT	Mininitialtxnamount	NUMBER	30	TPRUPLOADTBL
MININI TIALTXNUNITS	Mininitialtxnunits	NUMBER	27	TPRUPLOADTBL
MINSITXNAMOUNT	Minsitxnamount	NUMBER	30	TPRUPLOADTBL
MAXSITXNAMOUNT	Maxsitxnamount	NUMBER	30	TPRUPLOADTBL
MINSITXNUNITS	Minsitxnunits	NUMBER	27	TPRUPLOADTBL
MAXSITXNUNITS	Maxsitxnunits	NUMBER	27	TPRUPLOADTBL
EXISTINGUH	Existinguh	VARCHAR2	2	TPRUPLOADTBL
NOOFDECIMALSFORUNITPRICE	Noofdecimalsforunitprice	NUMBER	22	TPRUPLOADTBL
ROUND OFFTRUNCATEUNITP RICE	Roundofftruncateunitprice	VARCHAR2	1	TPRUPLOADTBL
ROUNDOFFTRUNCATEUNITS	Roundofftruncateunits	VARCHAR2	1	TPRUPLOADTBL
PAYMENTLAG	Paymentlag	NUMBER	22	TPRUPLOADTBL
TRANSACTIONCUTOFFTIME	Transactioncutofftime	DATE	7	TPRUPLOADTBL
ENFORCEHOLDINGPERIOD	Enforceholdingperiod	NUMBER	1	TPRUPLOADTBL
APPLYENTRYTIMELOAD	Applyentrytimeload	NUMBER	1	TPRUPLOADTBL
IPOMAXISSUESIZE	Ipomaxissuesize	NUMBER	27	TPRUPLOADTBL
FLUCTUATIONALLOWED	Fluctuationallowed	NUMBER	5	TPRUPLOADTBL

Table 1-194 (Cont.) Fund Export

Field name	Description	Datatype	Length	Table Name
TRANSACTIONSUSPENDED	Transactionsuspended	NUMBER	1	TPRUPLOADTBL
MINSIHOLDINGAMOUNT	Minsiholdingamount	NUMBER	30	TPRUPLOADTBL
MINSIHOLDINGUNITS	Minsiholdingunits	NUMBER	27	TPRUPLOADTBL
MINSIHOLDINGAPPLYFOR	Minsiholdingapplyfor	VARCHAR2	1	TPRUPLOADTBL
MINSIHOLDINGINDICATOR	Minsiholdingindicator	VARCHAR2	1	TPRUPLOADTBL
PRICELAGCALENDARBASIS	Pricelagcalendarbasis	VARCHAR2	1	TPRUPLOADTBL
ALLOCLAGCALENDARBASIS	Alloclagcalendarbasis	VARCHAR2	1	TPRUPLOADTBL
SIDESCRIPTION	Sidescription	VARCHAR2	255	TPRUPLOADTBL
SIHOLIDAYRULE	Siholidayrule	VARCHAR2	1	TPRUPLOADTBL
SICALENDERBASIS	Sicalenderbasis	VARCHAR2	1	TPRUPLOADTBL
SIGENDAY	Sigenday	VARCHAR2	3	TPRUPLOADTBL
SIFREQUENCY	Sifrequency	VARCHAR2	1	TPRUPLOADTBL
SIGENCYCLE	Sigencycle	VARCHAR2	1	TPRUPLOADTBL
SICUTOFFDAY	Sicutoffday	NUMBER	22	TPRUPLOADTBL
SICUTOFFLAG	Sicutofflag	NUMBER	22	TPRUPLOADTBL
ENFORCESIRULE	Enforcesirule	NUMBER	22	TPRUPLOADTBL
PAYMENTLAGCALENDARBASIS	Paymentlagcalendarbasis	VARCHAR2	1	TPRUPLOADTBL
LINKTOUNSETTLEDINFLOWS	Linktounsettledinflows	NUMBER	1	TPRUPLOADTBL
PSEUDOSWITCHLAG	Pseudoswitchlag	NUMBER	22	TPRUPLOADTBL
ALLOWSYSTEMATICPLANS	Allowsystematicplans	NUMBER	1	TPRUPLOADTBL
MAXINITIALTXNAMOUNT	Maxinitialtxnamount	NUMBER	30	TPRUPLOADTBL
MAXINITIALTXNUNITS	Maxinitialtxnunits	NUMBER	27	TPRUPLOADTBL
PSEUDOSWITCHLAGCALENDARBASIS	Pseudoswitchlagcalendarbasi s	VARCHAR2	1	TPRUPLOADTBL

Table 1-194 (Cont.) Fund Export

Field name	Description	Datatype	Length	Table Name
FULLOUTFLOW	Fulloutflow	NUMBER	1	TPRUPLOADTBL
MAXNOOFSITRANSACTIONS	Maxnoofsitransactions	NUMBER	4	TPRUPLOADTBL
MAXVALIDITY	Maxvalidity	NUMBER	4	TPRUPLOADTBL
SILEADTIME	Sileadtime	NUMBER	22	TPRUPLOADTBL
APPLICABLEACCOUNTTYPE S	Applicableaccounttypes	VARCHAR2	255	TPRUPLOADTBL
INFLOWOVERRIDABLEMSG	Inflowoverridablemsg	NUMBER	1	TPRUPLOADTBL
MINMATURITYPERIOD	Minmaturityperiod	NUMBER	4	TPRUPLOADTBL
MAXMATURITYPERIOD	Maxmaturityperiod	NUMBER	4	TPRUPLOADTBL
FUTUREDATELIMIT	Futuredatelimit	NUMBER	22	TPRUPLOADTBL
UHCALENDARBASIS	Uhcalendarbasis	VARCHAR2	1	TPRUPLOADTBL
NOOFDECIMALSFORUNITS	Noofdecimalsforunits	NUMBER	22	TPRUPLOADTBL
NOTICEPERIODDATEBASIS	Noticeperioddatebasis	VARCHAR2	1	TPRUPLOADTBL
NOTICEPERIOD	Noticeperiod	NUMBER	3	TPRUPLOADTBL
SIAUTOTERMINATIONCOUNTER	Siautoterminationcounter	NUMBER	3	TPRUPLOADTBL
THRESHOLDAMOUNT	Thresholdamount	NUMBER	30	TPRUPLOADTBL
CONSSIAUTOCOUNTE R	Conssiautocounter	NUMBER	3	TPRUPLOADTBL
MAXSITXNSALLOWED	Maxsitxnsallowed	NUMBER	3	TPRUPLOADTBL
STARTTIME	Starttime	VARCHAR2	8	TPRUPLOADTBL
ENDTIME	Endtime	VARCHAR2	8	TPRUPLOADTBL
CUTOFFTIME	Cutofftime	VARCHAR2	8	TPRUPLOADTBL
GOCUTOFFLAG	Gocutofflag	VARCHAR2	8	TPRUPLOADTBL
GOTHRESHOLDUNITS	Gothresholdunits	NUMBER	27	TPRUPLOADTBL
GOSETTLEMENTLAG	Gosettlementlag	NUMBER	22	TPRUPLOADTBL
GOSETTLAGCALENDAR	Gosettlagcalendar	VARCHAR2	1	TPRUPLOADTBL

Table 1-194 (Cont.) Fund Export

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	FUNDLOADUP LOADTBL
SEQUENCENUMBER	Sequencenumber	NUMBER	22	FUNDLOADUP LOADTBL
PROCESSID	Processid	VARCHAR2	50	FUNDLOADUP LOADTBL
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	50	FUNDLOADUP LOADTBL
FUNDID	Fundid	VARCHAR2	6	FUNDLOADUP LOADTBL
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	FUNDLOADUP LOADTBL
TRANSACTIONTYPE	Transactiontype	VARCHAR2	2	FUNDLOADUP LOADTBL
LOADID	Loadid	NUMBER	5	FUNDLOADUP LOADTBL
LOADTOPRICE	Loadtoprice	NUMBER	22	FUNDLOADUP LOADTBL
FROMENTITYTYPE	Fromentitytype	VARCHAR2	1	FUNDLOADUP LOADTBL
TOENTITYTYPE	Toentitytype	VARCHAR2	1	FUNDLOADUP LOADTBL
PROCESSINGFREQ	Processingfreq	VARCHAR2	1	FUNDLOADUP LOADTBL
GLACCOUNTCODE	Glaccountcode	VARCHAR2	10	FUNDLOADUP LOADTBL
DEBITORCREDIT	Debitorcredit	VARCHAR2	1	FUNDLOADUP LOADTBL
CONTRAACCOUNTCODE	Contraaccountcode	VARCHAR2	10	FUNDLOADUP LOADTBL
NOOFDECIMALSFORLOAD	Noofdecimalsforload	NUMBER	1	FUNDLOADUP LOADTBL
ROUNDOFFTRUNCATEFORLO AD	Roundofftruncateforload	VARCHAR2	1	FUNDLOADUP LOADTBL
MANDATORY	Mandatory	VARCHAR2	1	FUNDLOADUP LOADTBL
PRIORITYNO	Priorityno	NUMBER	22	FUNDLOADUP LOADTBL
LOADVATTOPRICE	Loadvattoprice	NUMBER	22	FUNDLOADUP LOADTBL
APPLYRETVALON	Applyretvalon	VARCHAR2	1	FUNDLOADUP LOADTBL
SENDFORGLINTERFACE	Sendforglinterface	NUMBER	1	FUNDLOADUP LOADTBL
REFTYPE	Reftype	VARCHAR2	4	FUNDLOADUP LOADTBL
DIFFERENTIALFEE	Differentialfee	NUMBER	1	FUNDLOADUP LOADTBL

Table 1-194 (Cont.) Fund Export

Field name	Description	Datatype	Length	Table Name
SLABBASIS	Slabbasis	VARCHAR2	1	FUNDLOADUP LOADTBL
PRICEADDIN	Priceaddin	NUMBER	1	FUNDLOADUP LOADTBL
GROUPID	Groupid	VARCHAR2	6	FUNDLOADUP LOADTBL
INDEXATIONONCGT	Indexationoncgt	VARCHAR2	1	FUNDLOADUP LOADTBL
LEVELID	Levelid	NUMBER	22	FUNDLOADUP LOADTBL
DEPENDENT LEVEL	Dependentlevel	NUMBER	22	FUNDLOADUP LOADTBL
FDAPINCOME	Fdapincome	NUMBER	1	FUNDLOADUP LOADTBL

1.62 Fund Price Export

This topic provides detailed information on the Fund Price Export.

Table 1-195 Fund Price Export

Field name	Description	Datatype	Length	Table Name
SEQUENCENUMBER	Sequence Number	NUMBER	10	PHFUNDPRICETBL
PROCESSID	Process ID	VARCHAR2	50	PHFUNDPRICETBL
BULKCLIENTCODE	Bulk Client Code	VARCHAR2	12	PHFUNDPRICETBL
UPLOADMODE	Upload Mode	VARCHAR2	1	PHFUNDPRICETBL
USERREFERENCENUMBER	UserReference Number	VARCHAR2	16	PHFUNDPRICETBL
FUNDID	Fund ID	VARCHAR2	6	PHFUNDPRICETBL
TRANSACTIONTYPE	Transaction Type	VARCHAR2	2	PHFUNDPRICETBL
TRANSACTIONBASEPRICE	Txn Base Price	VARCHAR2	20	PHFUNDPRICETBL

1.63 Investment Account Export

This topic provides detailed information on the Investment Account Export.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for investment account export.
- Investment Account Export
  This topic provides detailed information on the Investment Account Export.

1.63.1 Segment Identification Number

This topic provides detailed information on the segment identification number for investment account export.

Table 1-196 Investment Account Export - Segment Identification Number

Segment Identification Number	Description
00PAR	This segment is used to provide party master investment upload details.
03ADR	This segment is used to provide party contact investment upload details.
04IDD	This segment is used to provide party ID investment upload detail.
05IAC	This segment is used to provide investment master export upload detail.
06INT	This segment is used to provide investment account intermediary export upload detail.
07IBK	This segment is used to provide investment party bank upload detail.
08IBF	This segment is used to provide investment account beneficiary upload detail.

1.63.2 Investment Account Export

This topic provides detailed information on the Investment Account Export.

Table 1-197 Investment Account Export

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATB_PARTYMASTERINVEXPORT
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_PARTYMASTERINVEXPORT
PROCESSID	Processid	VARCHAR2	50	PATB_PARTYMASTERINVEXPORT
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_PARTYMASTERINVEXPORT
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_PARTYMASTERINVEXPORT
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_PARTYMASTERINVEXPORT
PARTYID	Partyid	VARCHAR2	12	PATB_PARTYMASTERINVEXPORT
PARTYTYPE	Partytype	VARCHAR2	3	PATB_PARTYMASTERINVEXPORT
TITLE	Title	VARCHAR2	15	PATB_PARTYMASTERINVEXPORT
FIRSTNAME	Firstname	VARCHAR2	100	PATB_PARTYMASTERINVEXPORT
MIDDLENAME	Middlename	VARCHAR2	100	PATB_PARTYMASTERINVEXPORT
LASTNAME	Lastname	VARCHAR2	100	PATB_PARTYMASTERINVEXPORT
REGISTEREDNAME	Registerednaame	VARCHAR2	100	PATB_PARTYMASTERINVEXPORT

Table 1-197 (Cont.) Investment Account Export

Field name	Description	Datatype	Length	Table Name
DATEOFBIRTH	Dateofbirth	DATE	7	PATB_PARTYMASTERINVEXPORT
DATEOFINCORPORATION	Dateofincorporation	DATE	7	PATB_PARTYMASTERINVEXPORT
MARITALSTATUS	Maritalstatus	VARCHAR2	1	PATB_PARTYMASTERINVEXPORT
OCCUPATION	Occupation	VARCHAR2	3	PATB_PARTYMASTERINVEXPORT
GENDER	Gender	VARCHAR2	1	PATB_PARTYMASTERINVEXPORT
PREFERREDCURRENCY	Preferredcurrency	VARCHAR2	3	PATB_PARTYMASTERINVEXPORT
MINOR	Minor	NUMBER	1	PATB_PARTYMASTERINVEXPORT
NATIONALITY	Nationality	VARCHAR2	3	PATB_PARTYMASTERINVEXPORT
COUNTRYOFDOMICILE	Countryofdomicile	VARCHAR2	3	PATB_PARTYMASTERINVEXPORT
COUNTRYOFBIRTH	Countryofbirth	VARCHAR2	3	PATB_PARTYMASTERINVEXPORT
DUALNATIONALITY	Dualnationality	VARCHAR2	3	PATB_PARTYMASTERINVEXPORT
SUBSTANTIALSTAYINUS	Substantialstayinus	NUMBER	1	PATB_PARTYMASTERINVEXPORT
HEALTHSTATUS	Healthstatus	VARCHAR2	1	PATB_PARTYMASTERINVEXPORT
PROTECTIONLEVEL	Protectionlevel	VARCHAR2	1	PATB_PARTYMASTERINVEXPORT
FIRSTAUTHORIZEDSIGNATORY	Firstauthorizedsignatory	VARCHAR2	100	PATB_PARTYMASTERINVEXPORT
SECONDAUTHORIZEDSIGNATORY	Secondauthorizedsignatory	VARCHAR2	100	PATB_PARTYMASTERINVEXPORT
THIRDAUTHORIZEDSIGNATORY	Thirdauthorizedsignatory	VARCHAR2	100	PATB_PARTYMASTERINVEXPORT
CORPORATIONTYPE	Corporationtype	VARCHAR2	3	PATB_PARTYMASTERINVEXPORT
MINNOOFCORPORATESIGNATOR Y	Minnoofcorporatesignatory	NUMBER	22	PATB_PARTYMASTERINVEXPORT
INCORPORATION COUNTRY	Incorporation country	VARCHAR2	3	PATB_PARTYMASTERINVEXPORT
MODULEID	Moduleid	VARCHAR2	30	PATB_PARTYMASTERINVEXPORT
MAKER_ID	Maker_Id	VARCHAR2	320	PATB_PARTYMASTERINVEXPORT
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATB_PARTYMASTERINVEXPORT
CHECKER_ID	Checker_Id	VARCHAR2	320	PATB_PARTYMASTERINVEXPORT
CHECKER_DT_STAM P	Checker_Dt_Stamp	DATE	7	PATB_PARTYMASTERINVEXPORT
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATB_PARTYMASTERINVEXPORT
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATB_PARTYMASTERINVEXPORT
RECORD_ST AT	Record_Stat	VARCHAR2	1	PATB_PARTYMASTERINVEXPORT
MOD_NO	Mod_No	NUMBER	22	PATB_PARTYMASTERINVEXPORT
FATHERSPOUSE NAM E	Fatherspouse name	VARCHAR2	105	PATB_PARTYMASTERINVEXPORT
SEGMENTID	Segmentid	VARCHAR2	20	PATB_PARTYCONTACTINVEXPORT

Table 1-197 (Cont.) Investment Account Export

Field name	Description	Datatype	Length	Table Name
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_PARTYCONTACTINVEXPORT
PROCESSID	Processid	VARCHAR2	50	PATB_PARTYCONTACTINVEXPORT
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_PARTYCONTACTINVEXPORT
PARTYID	Partyid	VARCHAR2	12	PATB_PARTYCONTACTINVEXPORT
CONTACTREFNO	Contactrefno	VARCHAR2	16	PATB_PARTYCONTACTINVEXPORT
ADDRESSTY PE	Addresstype	VARCHAR2	2	PATB_PARTYCONTACTINVEXPORT
ADDRESSLINE1	Addressline1	VARCHAR2	100	PATB_PARTYCONTACTINVEXPORT
ADDRESSLINE2	Addressline2	VARCHAR2	100	PATB_PARTYCONTACTINVEXPORT
ADDRESSLINE3	Addressline3	VARCHAR2	100	PATB_PARTYCONTACTINVEXPORT
POSTBOXNO	Postboxno	VARCHAR2	16	PATB_PARTYCONTACTINVEXPORT
CITY	City	VARCHAR2	80	PATB_PARTYCONTACTINVEXPORT
STATE	State	VARCHAR2	80	PATB_PARTYCONTACTINVEXPORT
COUNTRY	Country	VARCHAR2	3	PATB_PARTYCONTACTINVEXPORT
ZIPCODE	Zipcode	VARCHAR2	10	PATB_PARTYCONTACTINVEXPORT
BICCODE	Biccode	VARCHAR2	16	PATB_PARTYCONTACTINVEXPORT
WEBSITE	Website	VARCHAR2	100	PATB_PARTYCONTACTINVEXPORT
EMAILADDRESS	Emailaddress	VARCHAR2	100	PATB_PARTYCONTACTINVEXPORT
EFFECTIVEFROMDATE	Effectivefromdate	DATE	7	PATB_PARTYCONTACTINVEXPORT
EFFECTIVETODATE	Effectivetodate	DATE	7	PATB_PARTYCONTACTINVEXPORT
PRIMARYCONTACTPERSON	Primarycontactperson	VARCHAR2	100	PATB_PARTYCONTACTINVEXPORT
PRIMARYINTERNDIALCODE1	Primaryinterndialcode1	VARCHAR2	10	PATB_PARTYCONTACTINVEXPORT
PRIMARYINTERNDIALCODE2	Primaryinterndialcode2	VARCHAR2	10	PATB_PARTYCONTACTINVEXPORT
PRIMARYINTERNDIALCODE3	Primaryinterndialcode3	VARCHAR2	10	PATB_PARTYCONTACTINVEXPORT
PRIMARYINTERNDIALCODE4	Primaryinterndialcode4	VARCHAR2	10	PATB_PARTYCONTACTINVEXPORT
PRIMARYPOSITION	Primaryposition	VARCHAR2	60	PATB_PARTYCONTACTINVEXPORT
PRIMARYMOBILENUMBER1	Primarymobilenumber1	VARCHAR2	60	PATB_PARTYCONTACTINVEXPORT
PRIMARYMOBILENUMBER2	Primarymobilenumber2	VARCHAR2	60	PATB_PARTYCONTACTINVEXPORT
PRIMARYFIXEDLINE	Primaryfixedline	VARCHAR2	60	PATB_PARTYCONTACTINVEXPORT
FAX	Fax	VARCHAR2	120	PATB_PARTYCONTACTINVEXPORT
PRIMARYCONTACTINSTRN	Primarycontactinstrn	VARCHAR2	100	PATB_PARTYCONTACTINVEXPORT
PRIMA RYEXTN	Primaryextn	VARCHAR2	60	PATB_PARTYCONTACTINVEXPORT

Table 1-197 (Cont.) Investment Account Export

Field name	Description	Datatype	Length	Table Name
SECONDARYCONTACTPERSON	Secondarycontactperson	VARCHAR2	100	PATB_PARTYCONTACTINVEXPORT
SECONDARYINTERNDIALCODE1	Secondaryinterndialcode1	VARCHAR2	10	PATB_PARTYCONTACTINVEXPORT
SECONDARYINTERNDIALCODE2	Secondaryinterndialcode2	VARCHAR2	10	PATB_PARTYCONTACTINVEXPORT
SECONDARYPOSITION	Secondaryposition	VARCHAR2	60	PATB_PARTYCONTACTINVEXPORT
SECONDARYMOBILENUMBER	Secondarymobilenumber	VARCHAR2	60	PATB_PARTYCONTACTINVEXPORT
SECONDARYFIXEDLINE	Secondaryfixedline	VARCHAR2	60	PATB_PARTYCONTACTINVEXPORT
SECONDARYCONTACTINSTRN	Secondarycontactinstrn	VARCHAR2	105	PATB_PARTYCONTACTINVEXPORT
SECONDARYEXTN	Secondaryextn	VARCHAR2	60	PATB_PARTYCONTACTINVEXPORT
MODULEID	Moduleid	VARCHAR2	30	PATB_PARTYCONTACTINVEXPORT
SEGMENTID	Segmentid	VARCHAR2	20	PATB_PARTYIDINVEXPORT
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_PARTYIDINVEXPORT
PROCESSID	Processid	VARCHAR2	50	PATB_PARTYIDINVEXPORT
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_PARTYIDINVEXPORT
PARTYID	Partyid	VARCHAR2	12	PATB_PARTYIDINVEXPORT
IDREFERENCENO	Idreferenceno	VARCHAR2	16	PATB_PARTYIDINVEXPORT
IDENTIFICATIONTYPE	Identificationtype	VARCHAR2	20	PATB_PARTYIDINVEXPORT
IDENTIFICATIONNUM BER	Identificationnumber	VARCHAR2	50	PATB_PARTYIDINVEXPORT
PLACEOFISSUE	Placeofissue	VARCHAR2	3	PATB_PARTYIDINVEXPORT
ISSUEAUTHORITY	Issueauthority	VARCHAR2	50	PATB_PARTYIDINVEXPORT
ISSUECOUNTRY	Issuecountry	VARCHAR2	3	PATB_PARTYIDINVEXPORT
DATEOFISSUE	Dateofissue	DATE	7	PATB_PARTYIDINVEXPORT
DATEOFEXPIRY	Dateofexpiry	DATE	7	PATB_PARTYIDINVEXPORT
LASTVERIFIEDDATE	Lastverifieddate	DATE	7	PATB_PARTYIDINVEXPORT
VERIFICATIONSTATUS	Verificationstatus	VARCHAR2	1	PATB_PARTYIDINVEXPORT
MODULEID	Moduleid	VARCHAR2	30	PATB_PARTYIDINVEXPORT
SEGMENTID	Segmentid	VARCHAR2	20	PATB_INVACCMASTERINVEXPORT
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_INVACCMASTERINVEXPORT
PROCESSID	Processid	VARCHAR2	50	PATB_INVACCMASTERINVEXPORT
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_INVACCMASTERINVEXPORT
ACCOUNTID	Accountid	VARCHAR2	16	PATB_INVACCMASTERINVEXPORT

Table 1-197 (Cont.) Investment Account Export

Field name	Description	Datatype	Length	Table Name
ACOPERATIONTYPE	Acoperationtype	VARCHAR2	1	PATB_INVACCMASTERINVEXPORT
DEALINGTYPE	Dealingtype	VARCHAR2	3	PATB_INVACCMASTERINVEXPORT
GUARDIANID	Guardianid	VARCHAR2	12	PATB_INVACCMASTERINVEXPORT
PARTYID	Partyid	VARCHAR2	12	PATB_INVACCMASTERINVEXPORT
INVESTORCATEGORY	Investorcategory	VARCHAR2	2	PATB_INVACCMASTERINVEXPORT
ACOPENINGDATE	Acopeningdate	DATE	7	PATB_INVACCMASTERINVEXPORT
ACCOUNTREFNO	Accountrefno	VARCHAR2	16	PATB_INVACCMASTERINVEXPORT
MODULEID	Moduleid	VARCHAR2	30	PATB_INVACCMASTERINVEXPORT
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATB_INVACCMASTERINVEXPORT
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATB_INVACCMASTERINVEXPORT
RECORD_ST AT	Record_Stat	VARCHAR2	1	PATB_INVACCMASTERINVEXPORT
MOD_NO	Mod_No	NUMBER	22	PATB_INVACCMASTERINVEXPORT
MAKER_ID	Maker_Id	VARCHAR2	320	PATB_INVACCMASTERINVEXPORT
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATB_INVACCMASTERINVEXPORT
CHECKER_ID	Checker_Id	VARCHAR2	320	PATB_INVACCMASTERINVEXPORT
CHECKER_DT_STAM P	Checker_Dt_Stamp	DATE	7	PATB_INVACCMASTERINVEXPORT
ACCOUNTSTATUS	Accountstatus	VARCHAR2	1	PATB_INVACCMASTERINVEXPORT
PENSIONACCOUNT	Pensionaccount	VARCHAR2	1	PATB_INVACCMASTERINVEXPORT
ACCOUNTCLOSED	Accountclosed	VARCHAR2	1	PATB_INVACCMASTERINVEXPORT
CHANGEDATE	Changedate	DATE	7	PATB_INVACCMASTERINVEXPORT
CHANGEREASON	Changereason	VARCHAR2	255	PATB_INVACCMASTERINVEXPORT
CHANGEREFERENCENO	Changereferenceno	VARCHAR2	16	PATB_INVACCMASTERINVEXPORT
SEGMENTID	Segmentid	VARCHAR2	20	PATB_INVACCINTERINVEXPORT
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_INVACCINTERINVEXPORT
PROCESSID	Processid	VARCHAR2	50	PATB_INVACCINTERINVEXPORT
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_INVACCINTERINVEXPORT
ACCOUNTID	Accountid	VARCHAR2	16	PATB_INVACCINTERINVEXPORT
INTERMEDIARYID	Intermediaryid	VARCHAR2	12	PATB_INVACCINTERINVEXPORT
SPLITPERCENTAGE	Splitpercentage	NUMBER	5	PATB_INVACCINTERINVEXPORT
DEFAULTFLAG	Defaultflag	VARCHAR2	1	PATB_INVACCINTERINVEXPORT
SEGMENTID	Segmentid	VARCHAR2	20	PATB_PARTYBANKINVEXPORT
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_PARTYBANKINVEXPORT

Table 1-197 (Cont.) Investment Account Export

Field name	Description	Datatype	Length	Table Name
PROCESSID	Processid	VARCHAR2	50	PATB_PARTYBANKINVEXPORT
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_PARTYBANKINVEXPORT
ACCOUNTID	Accountid	VARCHAR2	16	PATB_PARTYBANKINVEXPORT
BANKREFERENCENO	Bankreferenceno	VARCHAR2	16	PATB_PARTYBANKINVEXPORT
DIRECTDEBITAPPLICABLE	Directdebitapplicable	VARCHAR2	1	PATB_PARTYBANKINVEXPORT
DEFAULTFLAG	Defaultflag	VARCHAR2	1	PATB_PARTYBANKINVEXPORT
ACCOUNTHOLDERNAME	Accountholdername	VARCHAR2	100	PATB_PARTYBANKINVEXPORT
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PATB_PARTYBANKINVEXPORT
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3	PATB_PARTYBANKINVEXPORT
BANKCODE	Bankcode	VARCHAR2	12	PATB_PARTYBANKINVEXPORT
BRANCHCODE	Branchcode	VARCHAR2	12	PATB_PARTYBANKINVEXPORT
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20	PATB_PARTYBANKINVEXPORT
IBAN	Iban	VARCHAR2	40	PATB_PARTYBANKINVEXPORT
VERIFICATIONSTATUS	Verificationstatus	VARCHAR2	1	PATB_PARTYBANKINVEXPORT
LASTVERIFIEDDATE	Lastverifieddate	DATE	7	PATB_PARTYBANKINVEXPORT
MODULEID	Moduleid	VARCHAR2	30	PATB_PARTYBANKINVEXPORT
SEGMENTID	Segmentid	VARCHAR2	20	PATB_INVESTACCBENFINVEXPORT
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_INVESTACCBENFINVEXPORT
PROCESSID	Processid	VARCHAR2	50	PATB_INVESTACCBENFINVEXPORT
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_INVESTACCBENFINVEXPORT
ACCOUNTID	Accountid	VARCHAR2	16	PATB_INVESTACCBENFINVEXPORT
BENEFICIARYTYPE	Beneficiarytype	VARCHAR2	2	PATB_INVESTACCBENFINVEXPORT
BENFPAR TYID	Benfpartyid	VARCHAR2	16	PATB_INVESTACCBENFINVEXPORT
AWARDPERCENTAGE	Awardpercentage	NUMBER	5	PATB_INVESTACCBENFINVEXPORT
BENFPARTYNAME	Benfpartyname	VARCHAR2	100	PATB_INVESTACCBENFINVEXPORT
DATEOFBIRTH	Dateofbirth	DATE	7	PATB_INVESTACCBENFINVEXPORT
COUNTRYOFBIRTH	Countryofbirth	VARCHAR2	3	PATB_INVESTACCBENFINVEXPORT
COUNTRYOFDOMICILE	Countryofdomicile	VARCHAR2	3	PATB_INVESTACCBENFINVEXPORT
GENDER	Gender	VARCHAR2	1	PATB_INVESTACCBENFINVEXPORT
BENFIDTYPE	Benfidtype	VARCHAR2	20	PATB_INVESTACCBENFINVEXPORT
BENFIDNUMBER	Benfidnumber	VARCHAR2	50	PATB_INVESTACCBENFINVEXPORT

Table 1-197 (Cont.) Investment Account Export

Field name	Description	Datatype	Length	Table Name
RELATIONSHIP	Relationship	VARCHAR2	70	PATB_INVESTACCBENFINVEXPORT
ADDRESSLINE1	Addressline1	VARCHAR2	100	PATB_INVESTACCBENFINVEXPORT
ADDRESSLINE2	Addressline2	VARCHAR2	100	PATB_INVESTACCBENFINVEXPORT
CITY	City	VARCHAR2	80	PATB_INVESTACCBENFINVEXPORT
STATE	State	VARCHAR2	80	PATB_INVESTACCBENFINVEXPORT
COUNTRY	Country	VARCHAR2	3	PATB_INVESTACCBENFINVEXPORT
ZIPCODE	Zipcode	VARCHAR2	10	PATB_INVESTACCBENFINVEXPORT
EMAIL	Email	VARCHAR2	100	PATB_INVESTACCBENFINVEXPORT
PRIMARYMOBILENUMBER1	Primarymobilenumber1	VARCHAR2	60	PATB_INVESTACCBENFINVEXPORT
PRIMARYMOBILENUMBER2	Primarymobilenumber2	VARCHAR2	60	PATB_INVESTACCBENFINVEXPORT
PRIMARYFIXEDLINE	Primaryfixedline	VARCHAR2	60	PATB_INVESTACCBENFINVEXPORT
FAX	Fax	VARCHAR2	120	PATB_INVESTACCBENFINVEXPORT
CONTACTPERSON	Contactperson	VARCHAR2	100	PATB_INVESTACCBENFINVEXPORT
BANK	Bank	VARCHAR2	12	PATB_INVESTACCBENFINVEXPORT
BRANCH	Branch	VARCHAR2	12	PATB_INVESTACCBENFINVEXPORT
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PATB_INVESTACCBENFINVEXPORT
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3	PATB_INVESTACCBENFINVEXPORT
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20	PATB_INVESTACCBENFINVEXPORT
ACCOUNTNAME	Accountname	VARCHAR2	100	PATB_INVESTACCBENFINVEXPORT
IBAN	Iban	VARCHAR2	40	PATB_INVESTACCBENFINVEXPORT

1.64 Asset NAV Import from TA

This topic provides detailed information on the Asset NAV Import from TA.

Table 1-198 Asset NAV Import from TA

Field name	Description	Datatype	Length	Table Name
SEQUENCENUMBER	Sequence Number	VARCHAR2	10	PATB_ASSETPRICEUPLOAD
PROCESSID	Processi D	VARCHAR2	50	PATB_ASSETPRICEUPLOAD
BULKCLIENTCODE	Bulk Client Code	VARCHAR2	12	PATB_ASSETPRICEUPLOAD
UPLOADMODE	Upload Mode	VARCHAR2	1	PATB_ASSETPRICEUPLOAD
USERREFERENCENUMBER	User reference Number	VARCHAR2	16	PATB_ASSETPRICEUPLOAD

Table 1-198 (Cont.) Asset NAV Import from TA

Field name	Description	Datatype	Length	Table Name
FUNDID	Fund ID	VARCHAR2	6	PATB_ASSETPRICEUPLOAD
TRANSACTIONTYPE	Txn Type	VARCHAR2	2	PATB_ASSETPRICEUPLOAD
TRANSACTIONBASEPRICE	Txn Base Price	VARCHAR2	30	PATB_ASSETPRICEUPLOAD

1.65 Pension Order Export

This topic provides detailed information on the Pension Order Export.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the segment identification number for pension order export.
- Pension Order Export Upload
  This topic provides detailed information on the pension order export upload.

1.65.1 Segment Identification Number

This topic provides detailed information on the segment identification number for pension order export.

Table 1-199 Pension Order Export - Segment Identification Number

Segment Identification Number	Description
01ORD	This segment is used to provide Pension order master upload details.
02OIN	This segment is used to provide Pension order instrument upload details.
03OIA	This segment is used to provide Pension order investment asset upload detail.
04OIT	This segment is used to provide Pension order intermediary upload detail.
05OSE	This segment is used to provide Pension settlement upload detail.
06PMA	This segment is used to provide Pension party master upload detail.
07PCO	This segment is used to provide Pension party contact upload detail.
08PID	This segment is used to provide Pension party ID upload detail.
09IAM	This segment is used to provide Pension investment master upload detail.
10IAI	This segment is used to provide Pension investment account intermediary upload detail.
11IAB	This segment is used to provide Pension bank upload detail.
12IBE	This segment is used to provide Pension investment account beneficiary upload detail.

Table 1-199 (Cont.) Pension Order Export - Segment Identification Number

Segment Identification Number	Description
13ALO	This segment is used to provide Pension order allocation upload detail.

1.65.2 Pension Order Export Upload

This topic provides detailed information on the pension order export upload.

Table 1-200 Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ORDERTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_ORDERTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ORDERTAUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_ORDERTAUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_ORDERTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_ORDERTAUPLOAD
ORDERNUMBER	Ordernumber	VARCHAR2	16	PATB_ORDERTAUPLOAD
REFERENCENUMBER	Referencenumber	VARCHAR2	16	PATB_ORDERTAUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATB_ORDERTAUPLOAD
PORTFOLIOID	Portfolioid	VARCHAR2	16	PATB_ORDERTAUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATB_ORDERTAUPLOAD
ORDERCURRENCY	Ordercurrency	VARCHAR2	3	PATB_ORDERTAUPLOAD
ORDERMODE	Ordermode	VARCHAR2	1	PATB_ORDERTAUPLOAD
ORDERVALUE	Ordervalue	NUMBER	30	PATB_ORDERTAUPLOAD
INSTRUCTIONDATE	Instructiondate	DATE	7	PATB_ORDERTAUPLOAD
ORDERTYPE	Ordertype	VARCHAR2	3	PATB_ORDERTAUPLOAD
ORDERSUBTYPE	Ordersubtype	VARCHAR2	3	PATB_ORDERTAUPLOAD
PAYMENTMODE	Paymentmode	VARCHAR2	2	PATB_ORDERTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
GROSSORNET	Grossornet	VARCHAR2	1	PATB_ORDERTAUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATB_ORDERTAUPLOAD
ORDERSTATUS	Orderstatus	NUMBER	2	PATB_ORDERTAUPLOAD
ORIGINALORDNUMBER	Originalordnumber	VARCHAR2	16	PATB_ORDERTAUPLOAD
ORIGINALREFNUMBER	Originalrefnumber	VARCHAR2	16	PATB_ORDERTAUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATB_ORDERTAUPLOAD
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATB_ORDERTAUPLOAD
RECORD_STAT	Record_Stat	VARCHAR2	1	PATB_ORDERTAUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATB_ORDERTAUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATB_ORDERTAUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATB_ORDERTAUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATB_ORDERTAUPLOAD
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7	PATB_ORDERTAUPLOAD
REMARKS	Remarks	VARCHAR2	255	PATB_ORDERTAUPLOAD
SWIORDERSTATUS	Swiorderstatus	NUMBER	2	PATB_ORDERTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ORDERIN STRTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_ORDERIN STRTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ORDERIN STRTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_ORDERIN STRTAUPLOAD
ORDERNUMBER	Ordernumber	VARCHAR2	16	PATB_ORDERIN STRTAUPLOAD
INSTRUMENTID	Instrumentid	VARCHAR2	16	PATB_ORDERIN STRTAUPLOAD
SPLITPERCENTAGE	Splitpercentage	NUMBER	5	PATB_ORDERIN STRTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ORDERINSTASSETTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_ORDERINSTASSETTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
PROCESSID	Processid	VARCHAR2	50	PATB_ORDERINSTASSETTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_ORDERINSTASSETTAUPLOAD
ORDERNUMBER	Ordernumber	VARCHAR2	16	PATB_ORDERINSTASSETTAUPLOAD
INSTRUMENTID	Instrumentid	VARCHAR2	16	PATB_ORDERINSTASSETTAUPLOAD
ASSETID	Assetid	VARCHAR2	16	PATB_ORDERINSTASSETTAUPLOAD
RATIO	Ratio	NUMBER	5	PATB_ORDERINSTASSETTAUPLOAD
OVERRIDE	Override	VARCHAR2	1	PATB_ORDERINSTASSETTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ORDERINTERMEDIARYTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_ORDERINTERMEDIARYTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ORDERINTERMEDIARYTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_ORDERINTERMEDIARYTAUPLOAD
ORDERNUMBER	Ordernumber	VARCHAR2	16	PATB_ORDERINTERMEDIARYTAUPLOAD
INTERMEDIARYID	Intermediaryid	VARCHAR2	12	PATB_ORDERINTERMEDIARYTAUPLOAD
SPLITPERCENTAGE	Splitpercentage	NUMBER	5	PATB_ORDERINTERMEDIARYTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ORDERSETTLEMENTTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_ORDERSETTLEMENTTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ORDERSETTLEMENTTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_ORDERSETTLEMENTTAUPLOAD
ORDERNUMBER	Ordernumber	VARCHAR2	16	PATB_ORDERSETTLEMENTTAUPLOAD
PAYMENTREFNUMBER	Paymentrefnumber	VARCHAR2	16	PATB_ORDERSETTLEMENTTAUPLOAD
BANKREFERENCENO	Bankreferenceno	VARCHAR2	16	PATB_ORDERSETTLEMENTTAUPLOAD
CHEQUEDATE	Chequedate	DATE	7	PATB_ORDERSETTLEMENTTAUPLOAD
CHEQUENUMBER	Chequenumber	VARCHAR2	20	PATB_ORDERSETTLEMENTTAUPLOAD
PAYMENTAMOUNT	Paymentamount	NUMBER	30	PATB_ORDERSETTLEMENTTAUPLOAD
PAIDDATE	Paiddate	DATE	7	PATB_ORDERSETTLEMENTTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
SETTLED	Settled	VARCHAR2	1	PATB_ORDERSETTLEMENTTAUPLOAD
ACCOUNTHOLDERNAME	Accountholdername	VARCHAR2	100	PATB_ORDERSETTLEMENTTAUPLOAD
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PATB_ORDERSETTLEMENTTAUPLOAD
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3	PATB_ORDERSETTLEMENTTAUPLOAD
BANKCODE	Bankcode	VARCHAR2	12	PATB_ORDERSETTLEMENTTAUPLOAD
BRANCHCODE	Branchcode	VARCHAR2	12	PATB_ORDERSETTLEMENTTAUPLOAD
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20	PATB_ORDERSETTLEMENTTAUPLOAD
IBAN	Iban	VARCHAR2	40	PATB_ORDERSETTLEMENTTAUPLOAD
AUTOSETTLEMENT	AUTOSETTLEMENT	NUMBER	1	PATB_ORDERSETTLEMENTTAUPLOAD
SETTLEMENTDUEDATE	SETTLEMENTDUEDATE	DATE	7	PATB_ORDERSETTLEMENTTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYMASTERTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATM_PARTYMASTERTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYMASTERTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATM_PARTYMASTERTAUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYMASTERTAUPLOAD
PARTYTYPE	Partytype	VARCHAR2	3	PATM_PARTYMASTERTAUPLOAD
TITLE	Title	VARCHAR2	15	PATM_PARTYMASTERTAUPLOAD
FIRSTNAME	Firstname	VARCHAR2	100	PATM_PARTYMASTERTAUPLOAD
MIDDLENAME	Middlename	VARCHAR2	100	PATM_PARTYMASTERTAUPLOAD
LASTNAME	Lastname	VARCHAR2	100	PATM_PARTYMASTERTAUPLOAD
REGISTEREDNAME	Registeredname	VARCHAR2	100	PATM_PARTYMASTERTAUPLOAD
DATEOFBIRTH	Dateofbirth	DATE	7	PATM_PARTYMASTERTAUPLOAD
DATEOFINCORPORATION	Dateofincorporation	DATE	7	PATM_PARTYMASTERTAUPLOAD
MARITALSTATUS	Maritalstatus	VARCHAR2	1	PATM_PARTYMASTERTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
OCCUPATION	Occupation	VARCHAR2	3	PATM_PARTYMASTERTAUPLOAD
GENDER	Gender	VARCHAR2	1	PATM_PARTYMASTERTAUPLOAD
PREFERREDCURRENCY	Preferredcurrency	VARCHAR2	3	PATM_PARTYMASTERTAUPLOAD
MINOR	Minor	NUMBER	1	PATM_PARTYMASTERTAUPLOAD
NATIONALITY	Nationality	VARCHAR2	3	PATM_PARTYMASTERTAUPLOAD
COUNTRYOFDOMICILE	Countryofdomicile	VARCHAR2	3	PATM_PARTYMASTERTAUPLOAD
COUNTRYOFBIRTH	Countryofbirth	VARCHAR2	3	PATM_PARTYMASTERTAUPLOAD
DUALNATIONALITY	Dualnationality	VARCHAR2	3	PATM_PARTYMASTERTAUPLOAD
SUBSTANTIALSTAYINUS	Substantial stayinus	NUMBER	1	PATM_PARTYMASTERTAUPLOAD
HEALTHSTATUS	Healthstatus	VARCHAR2	1	PATM_PARTYMASTERTAUPLOAD
PROTECTIONLEVEL	Protectionlevel	VARCHAR2	1	PATM_PARTYMASTERTAUPLOAD
FIRSTAUTHORIZEDSIGNATORY	Firstauthorizedsignatory	VARCHAR2	100	PATM_PARTYMASTERTAUPLOAD
SECONDAUTHORIZEDSIGNATORY	Secondauthorizedsignatory	VARCHAR2	100	PATM_PARTYMASTERTAUPLOAD
THIRDAUTHORIZEDSIGNATORY	Thirdauthorizedsignatory	VARCHAR2	100	PATM_PARTYMASTERTAUPLOAD
CORPORATIONTYPE	Corporationtype	VARCHAR2	3	PATM_PARTYMASTERTAUPLOAD
MINNOOFCORPORATESIGNATORY	Minnoofcorporatesignatory	NUMBER	22	PATM_PARTYMASTERTAUPLOAD
INCORPORATIONCOUNTRY	Incorporation country	VARCHAR2	3	PATM_PARTYMASTERTAUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATM_PARTYMASTERTAUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATM_PARTYMASTERTAUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATM_PARTYMASTERTAUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATM_PARTYMASTERTAUPLOAD
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7	PATM_PARTYMASTERTAUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATM_PARTYMASTERTAUPLOAD
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATM_PARTYMASTERTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
RECORD_STAT	Record_Stat	VARCHAR2	1	PATM_PARTYMASTERTAUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATM_PARTYMASTERTAUPLOAD
FATHERSPOUSENAME	Fatherspousename	VARCHAR2	105	PATM_PARTYMASTERTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYCONTACTTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATM_PARTYCONTACTTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYCONTACTTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATM_PARTYCONTACTTAUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYCONTACTTAUPLOAD
CONTACTREFNO	Contactrefno	VARCHAR2	16	PATM_PARTYCONTACTTAUPLOAD
ADDRESSTYPE	Addresstype	VARCHAR2	2	PATM_PARTYCONTACTTAUPLOAD
ADDRESS LINE1	Addressline1	VARCHAR2	100	PATM_PARTYCONTACTTAUPLOAD
ADDRESS LINE2	Addressline2	VARCHAR2	100	PATM_PARTYCONTACTTAUPLOAD
ADDRESS LINE3	Addressline3	VARCHAR2	100	PATM_PARTYCONTACTTAUPLOAD
POSTBOXNO	Postboxno	VARCHAR2	16	PATM_PARTYCONTACTTAUPLOAD
CITY	City	VARCHAR2	80	PATM_PARTYCONTACTTAUPLOAD
STATE	State	VARCHAR2	80	PATM_PARTYCONTACTTAUPLOAD
COUNTRY	Country	VARCHAR2	3	PATM_PARTYCONTACTTAUPLOAD
ZIPCODE	Zipcode	VARCHAR2	10	PATM_PARTYCONTACTTAUPLOAD
BICCODE	Biccode	VARCHAR2	16	PATM_PARTYCONTACTTAUPLOAD
WEBSITE	Website	VARCHAR2	100	PATM_PARTYCONTACTTAUPLOAD
EMAILADDRESS	Emailaddress	VARCHAR2	100	PATM_PARTYCONTACTTAUPLOAD
EFFECTIVEFROMDATE	Effectivefromdate	DATE	7	PATM_PARTYCONTACTTAUPLOAD
EFFECTIVETODATE	Effectivetodate	DATE	7	PATM_PARTYCONTACTTAUPLOAD
PRIMARYCONTACTPERSON	Primarycontactperson	VARCHAR2	100	PATM_PARTYCONTACTTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
PRIMARYINTERNDIALCODE1	Primaryinterndialcode1	VARCHAR2	10	PATM_PARTYCONTACTTAUPLOAD
PRIMARYINTERNDIALCODE2	Primaryinterndialcode2	VARCHAR2	10	PATM_PARTYCONTACTTAUPLOAD
PRIMARYINTERNDIALCODE3	Primaryinterndialcode3	VARCHAR2	10	PATM_PARTYCONTACTTAUPLOAD
PRIMARYINTERNDIALCODE4	Primaryinterndialcode4	VARCHAR2	10	PATM_PARTYCONTACTTAUPLOAD
PRIMARYPOSITION	Primaryposition	VARCHAR2	60	PATM_PARTYCONTACTTAUPLOAD
PRIMARYMOBILENUMBER1	Primarymobilenumber1	VARCHAR2	60	PATM_PARTYCONTACTTAUPLOAD
PRIMARYMOBILENUMBER2	Primarymobilenumber2	VARCHAR2	60	PATM_PARTYCONTACTTAUPLOAD
PRIMARYFIXEDLINE	Primaryfixedline	VARCHAR2	60	PATM_PARTYCONTACTTAUPLOAD
FAX	Fax	VARCHAR2	120	PATM_PARTYCONTACTTAUPLOAD
PRIMARYCONTACTINSTRN	Primarycontactinstrn	VARCHAR2	100	PATM_PARTYCONTACTTAUPLOAD
PRIMARYEXTN	Primaryextn	VARCHAR2	60	PATM_PARTYCONTACTTAUPLOAD
SECONDARYCONTACTPERSON	Secondarycontactperson	VARCHAR2	100	PATM_PARTYCONTACTTAUPLOAD
SECONDARYINTERNDIALCODE1	Secondaryinterndialcode1	VARCHAR2	10	PATM_PARTYCONTACTTAUPLOAD
SECONDARYINTERNDIALCODE2	Secondaryinterndialcode2	VARCHAR2	10	PATM_PARTYCONTACTTAUPLOAD
SECONDARYPOSITION	Secondaryposition	VARCHAR2	60	PATM_PARTYCONTACTTAUPLOAD
SECONDARYMOBILENUMBER	Secondarymobilenumber	VARCHAR2	60	PATM_PARTYCONTACTTAUPLOAD
SECONDARYFIXEDLINE	Secondaryfixedline	VARCHAR2	60	PATM_PARTYCONTACTTAUPLOAD
SECONDARYCONTACTINSTRN	Secondarycontactinstrn	VARCHAR2	105	PATM_PARTYCONTACTTAUPLOAD
SECONDARYEXTN	Secondaryextn	VARCHAR2	60	PATM_PARTYCONTACTTAUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATM_PARTYCONTACTTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_PARTYIDTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATM_PARTYIDTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_PARTYIDTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATM_PARTYIDTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
PARTYID	Partyid	VARCHAR2	12	PATM_PARTYIDTAUPLOAD
IDREFERENCENO	Idreferenceno	VARCHAR2	16	PATM_PARTYIDTAUPLOAD
IDENTIFICATIONTYPE	Identificationtype	VARCHAR2	20	PATM_PARTYIDTAUPLOAD
IDENTIFICATIONNUMBER	Identificationnumber	VARCHAR2	50	PATM_PARTYIDTAUPLOAD
PLACEOFISSUE	Placeofissue	VARCHAR2	3	PATM_PARTYIDTAUPLOAD
ISSUEAUTHORITY	Issueauthority	VARCHAR2	50	PATM_PARTYIDTAUPLOAD
ISSUECOUNTRY	Issuecountry	VARCHAR2	3	PATM_PARTYIDTAUPLOAD
DATEOFISSUE	Dateofissue	DATE	7	PATM_PARTYIDTAUPLOAD
DATEOFEXPIRY	Dateofexpiry	DATE	7	PATM_PARTYIDTAUPLOAD
LASTVERIFIEDDATE	Lastverifieddate	DATE	7	PATM_PARTYIDTAUPLOAD
VERIFICATIONSTATUS	Verificationstatus	VARCHAR2	1	PATM_PARTYIDTAUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATM_PARTYIDTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_INVACCMASTERTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATM_INVACCMASTERTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_INVACCMASTERTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATM_INVACCMASTERTAUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATM_INVACCMASTERTAUPLOAD
ACOPERATIONTYPE	Acoperationtype	VARCHAR2	1	PATM_INVACCMASTERTAUPLOAD
DEALINGTYPE	Dealingtype	VARCHAR2	3	PATM_INVACCMASTERTAUPLOAD
GUARDIANID	Guardianid	VARCHAR2	12	PATM_INVACCMASTERTAUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATM_INVACCMASTERTAUPLOAD
INVESTORCATEGORY	Investorcategory	VARCHAR2	2	PATM_INVACCMASTERTAUPLOAD
ACOPENINGDATE	Acopeningdate	DATE	7	PATM_INVACCMASTERTAUPLOAD
ACCOUNTREFNO	Accountrefno	VARCHAR2	16	PATM_INVACCMASTERTAUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATM_INVACCMASTERTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATM_INVACCMASTERTAUPLOAD
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATM_INVACCMASTERTAUPLOAD
RECORD_STAT	Record_Stat	VARCHAR2	1	PATM_INVACCMASTERTAUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATM_INVACCMASTERTAUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATM_INVACCMASTERTAUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATM_INVACCMASTERTAUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATM_INVACCMASTERTAUPLOAD
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7	PATM_INVACCMASTERTAUPLOAD
ACCOUNTSTATUS	Accountstatus	VARCHAR2	1	PATM_INVACCMASTERTAUPLOAD
PENSIONACCOUNT	Pensionaccount	VARCHAR2	1	PATM_INVACCMASTERTAUPLOAD
ACCOUNTCLOSED	Accountclosed	VARCHAR2	1	PATM_INVACCMASTERTAUPLOAD
CHANGEDATE	Changedate	DATE	7	PATM_INVACCMASTERTAUPLOAD
CHANGEREASON	Changereason	VARCHAR2	255	PATM_INVACCMASTERTAUPLOAD
CHANGEREFERENCENO	Changereferenceno	VARCHAR2	16	PATM_INVACCMASTERTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_INVACCINTERTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATM_INVACCINTERTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_INVACCINTERTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATM_INVACCINTERTAUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATM_INVACCINTERTAUPLOAD
INTERMEDIARYID	Intermediaryid	VARCHAR2	12	PATM_INVACCINTERTAUPLOAD
SPLITPERCENTAGE	Splitpercentage	NUMBER	5	PATM_INVACCINTERTAUPLOAD
DEFAULTFLAG	Defaultflag	VARCHAR2	1	PATM_INVACCINTERTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_INVACCBANKTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATM_INVACCBANKTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
PROCESSID	Processid	VARCHAR2	50	PATM_INVACCBANKTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATM_INVACCBANKTAUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATM_INVACCBANKTAUPLOAD
BANKREFERENCENO	Bankreferenceno	VARCHAR2	16	PATM_INVACCBANKTAUPLOAD
DIRECTDEBITAPPLICABLE	Directdebitapplicable	VARCHAR2	1	PATM_INVACCBANKTAUPLOAD
DEFAULTFLAG	Defaultflag	VARCHAR2	1	PATM_INVACCBANKTAUPLOAD
ACCOUNTHOLDERNAME	Accountholdername	VARCHAR2	100	PATM_INVACCBANKTAUPLOAD
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PATM_INVACCBANKTAUPLOAD
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3	PATM_INVACCBANKTAUPLOAD
BANKCODE	Bankcode	VARCHAR2	12	PATM_INVACCBANKTAUPLOAD
BRANCHCODE	Branchcode	VARCHAR2	12	PATM_INVACCBANKTAUPLOAD
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20	PATM_INVACCBANKTAUPLOAD
IBAN	Iban	VARCHAR2	40	PATM_INVACCBANKTAUPLOAD
VERIFICATIONSTATUS	Verificationstatus	VARCHAR2	1	PATM_INVACCBANKTAUPLOAD
LASTVERIFIEDDATE	Lastverifieddate	DATE	7	PATM_INVACCBANKTAUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATM_INVACCBANKTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_INVESTACCBENFTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATM_INVESTACCBENFTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_INVESTACCBENFTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATM_INVESTACCBENFTAUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATM_INVESTACCBENFTAUPLOAD
BENEFICIARYTYPE	Beneficiarytype	VARCHAR2	2	PATM_INVESTACCBENFTAUPLOAD
BENFPARTYID	Benfpartyid	VARCHAR2	16	PATM_INVESTACCBENFTAUPLOAD
AWARDPERCENTAGE	Awardpercentage	NUMBER	5	PATM_INVESTACCBENFTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
BENFPARTYNAME	Benfpartyname	VARCHAR2	100	PATM_INVESTACCBENFTAUPLOAD
DATEOFBIRTH	Dateofbirth	DATE	7	PATM_INVESTACCBENFTAUPLOAD
COUNTRYOFBIRTH	Countryofbirth	VARCHAR2	3	PATM_INVESTACCBENFTAUPLOAD
COUNTRYOFDOMICILE	Countryofdomicile	VARCHAR2	3	PATM_INVESTACCBENFTAUPLOAD
GENDER	Gender	VARCHAR2	1	PATM_INVESTACCBENFTAUPLOAD
IDENTIFICATIONTYPE	Identificationtype	VARCHAR2	20	PATM_INVESTACCBENFTAUPLOAD
IDENTIFICATIONNUMBER	Identificationnumber	VARCHAR2	50	PATM_INVESTACCBENFTAUPLOAD
RELATIONSHIP	Relationship	VARCHAR2	70	PATM_INVESTACCBENFTAUPLOAD
ADDRESSLINE1	Addressline1	VARCHAR2	100	PATM_INVESTACCBENFTAUPLOAD
ADDRESSLINE2	Addressline2	VARCHAR2	100	PATM_INVESTACCBENFTAUPLOAD
CITY	City	VARCHAR2	80	PATM_INVESTACCBENFTAUPLOAD
STATE	State	VARCHAR2	80	PATM_INVESTACCBENFTAUPLOAD
COUNTRY	Country	VARCHAR2	3	PATM_INVESTACCBENFTAUPLOAD
ZIPCODE	Zipcode	VARCHAR2	10	PATM_INVESTACCBENFTAUPLOAD
EMAILADDRESS	Emailaddress	VARCHAR2	100	PATM_INVESTACCBENFTAUPLOAD
PRIMARYMOBILENUMBER1	Primarymobilenumber1	VARCHAR2	60	PATM_INVESTACCBENFTAUPLOAD
PRIMARYMOBILENUMBER2	Primarymobilenumber2	VARCHAR2	60	PATM_INVESTACCBENFTAUPLOAD
PRIMARYFIXEDLINE	Primaryfixedline	VARCHAR2	60	PATM_INVESTACCBENFTAUPLOAD
FAX	Fax	VARCHAR2	120	PATM_INVESTACCBENFTAUPLOAD
CONTACTPERSON	Contactperson	VARCHAR2	100	PATM_INVESTACCBENFTAUPLOAD
BANKCODE	Bankcode	VARCHAR2	12	PATM_INVESTACCBENFTAUPLOAD
BRANCHCODE	Branchcode	VARCHAR2	12	PATM_INVESTACCBENFTAUPLOAD
ACCOUNTTYPE	Accounttype	VARCHAR2	1	PATM_INVESTACCBENFTAUPLOAD
ACCOUNTCURRENCY	Accountcurrency	VARCHAR2	3	PATM_INVESTACCBENFTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
ACCOUNTNUMBER	Accountnumber	VARCHAR2	20	PATM_INVESTACCBENFTAUPLOAD
ACCOUNTNAME	Accountname	VARCHAR2	100	PATM_INVESTACCBENFTAUPLOAD
IBAN	Iban	VARCHAR2	40	PATM_INVESTACCBENFTAUPLOAD
DESIGNATION	Designation	VARCHAR2	100	PATM_INVESTACCBENFTAUPLOAD
CONTROLLINGPERSON	Controllingperson	VARCHAR2	1	PATM_INVESTACCBENFTAUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ALLOCDETAILSTAUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	10	PATB_ALLOCDETAILSTAUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ALLOCDETAILSTAUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOAD
ORDERNUMBER	Ordernumber	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOAD
EXTERNALTXNNUMBER	Externaltxnnumber	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOAD
PARTYID	Partyid	VARCHAR2	12	PATB_ALLOCDETAILSTAUPLOAD
PORTFOLIOID	Portfolioid	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOAD
ACCOUNTID	Accountid	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOAD
INSTRUMENTID	Instrumentid	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOAD
ASSETID	Assetid	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOAD
ORDERAMOUNT	Orderamount	NUMBER	30	PATB_ALLOCDETAILSTAUPLOAD
LOADAMOUNT	Loadamount	NUMBER	30	PATB_ALLOCDETAILSTAUPLOAD
NETAMOUNT	Netamount	NUMBER	30	PATB_ALLOCDETAILSTAUPLOAD
SETTLEMENTAMT	Settlementamt	NUMBER	30	PATB_ALLOCDETAILSTAUPLOAD
NAV	Nav	NUMBER	17	PATB_ALLOCDETAILSTAUPLOAD
NAVDATE	Navdate	DATE	7	PATB_ALLOCDETAILSTAUPLOAD
ALLOTEDUNITS	Allotedunits	NUMBER	30	PATB_ALLOCDETAILSTAUPLOAD
ALLOCATIONDATE	Allocationdate	DATE	7	PATB_ALLOCDETAILSTAUPLOAD

Table 1-200 (Cont.) Pension Order Export Upload

Field name	Description	Datatype	Length	Table Name
FROMORTOLEG	Fromortoleg	VARCHAR2	1	PATB_ALLOCDETAILSTAUPLOAD
ORIGINALORDNUMBER	Originalordnumber	VARCHAR2	16	PATB_ALLOCDETAILSTAUPLOAD
ORDERTYPE	Ordertype	VARCHAR2	3	PATB_ALLOCDETAILSTAUPLOAD
STATUS	Status	VARCHAR2	2	PATB_ALLOCDETAILSTAUPLOAD
ERR_CODE	Err_Code	VARCHAR2	20	PATB_ALLOCDETAILSTAUPLOAD
ERRDESCRIPTION	Errdescription	VARCHAR2	255	PATB_ALLOCDETAILSTAUPLOAD
TATRANSACTIONDATE	Tatransactiondate	DATE	7	PATB_ALLOCDETAILSTAUPLOAD
BLOCKEDFLAG	Blockedflag	VARCHAR2	1	PATB_ALLOCDETAILSTAUPLOAD

1.66 Asset Import from TA

This topic describes the information on Asset Import from TA.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on the asset import from TA for segment identification numbers.
- Asset Import from TA
  This topic provides detailed information on the Asset Import from TA for segment identification numbers.

1.66.1 Segment Identification Number

This topic provides detailed information on the asset import from TA for segment identification numbers.

Table 1-201 Segment Identification Number

Segment Identification Number	Description
00FDU	This segment is used to provide asset upload details
03TPR	This segment is used to provide asset third party upload details
04FLU	This segment is used to provide asset load upload detail

1.66.2 Asset Import from TA

This topic provides detailed information on the Asset Import from TA for segment identification numbers.

Table 1-202 Asset Import from TA

Field name	Description	Data type	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ASSETUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATB_ASSETUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ASSETUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_ASSETUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_ASSETUPLOAD
IMPORTFILENAME	Importfilename	VARCHAR2	255	PATB_ASSETUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	50	PATB_ASSETUPLOAD
FUNDID	Fundid	VARCHAR2	6	PATB_ASSETUPLOAD
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PATB_ASSETUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATB_ASSETUPLOAD
FUNDSTARTDATE	Fundstartdate	DATE	7	PATB_ASSETUPLOAD
FUNDNAME	Fundname	VARCHAR2	60	PATB_ASSETUPLOAD
FUNDNAMESHORT	Fundnameshort	VARCHAR2	90	PATB_ASSETUPLOAD
FUNDCLASS	Fundclass	VARCHAR2	1	PATB_ASSETUPLOAD
FUNDTYPE	Fundtype	NUMBER	38	PATB_ASSETUPLOAD
FUNDFAMILY	Fundfamily	VARCHAR2	6	PATB_ASSETUPLOAD
FUNDBASECURRENCY	Fundbasecurrency	VARCHAR2	3	PATB_ASSETUPLOAD
FUNDCOUNTRY	Fundcountry	VARCHAR2	3	PATB_ASSETUPLOAD
FISCALSTARTYEAR	Fiscalstartyear	DATE	7	PATB_ASSETUPLOAD
FISCALENDYEAR	Fiscalendyear	DATE	7	PATB_ASSETUPLOAD
AMCID	Amcid	VARCHAR2	12	PATB_ASSETUPLOAD
BROKERSALLOWED	Brokersallowed	DATE	1	PATB_ASSETUPLOAD
REGISTRARID	Registrarid	VARCHAR2	12	PATB_ASSETUPLOAD
TRUSTEEID	Trusteeid	VARCHAR2	12	PATB_ASSETUPLOAD
LATESTRULE	Latestrule	NUMBER	1	PATB_ASSETUPLOAD
MOD_NO	Mod_No	NUMBER	22	PATB_ASSETUPLOAD
MAKER_ID	Maker_Id	VARCHAR2	320	PATB_ASSETUPLOAD
MAKER_DT_STAMP	Maker_Dt_Stamp	DATE	7	PATB_ASSETUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATB_ASSETUPLOAD
CHECKER_DT_STAMP	Checker_Dt_Stamp	DATE	7	PATB_ASSETUPLOAD

Table 1-202 (Cont.) Asset Import from TA

Field name	Description	Data type	Length	Table Name
MINHOLDINGPERIOD	Minholdingperiod	NUMBER	22	PATB_ASSETUPLOAD
PRIMARYREGADDRESS	Primaryregaddress	VARCHAR2	255	PATB_ASSETUPLOAD
FUNDTAXID	Fundtaxid	VARCHAR2	25	PATB_ASSETUPLOAD
FUNDIDENTIFICATIONNUMBER	Fundidentificationnumber	VARCHAR2	25	PATB_ASSETUPLOAD
FUNDTICKERSYMBOL	Fundtickersymbol	VARCHAR2	25	PATB_ASSETUPLOAD
ALLOWIRAINVESTMENTS	Allowirainvestments	NUMBER	1	PATB_ASSETUPLOAD
CUSTODIAN	Custodian	VARCHAR2	12	PATB_ASSETUPLOAD
FUNDSUSPENDED	Fundsuspended	NUMBER	1	PATB_ASSETUPLOAD
MINAMOUNTFORTAXIDINDV	Minamountfortaxidindv	NUMBER	30	PATB_ASSETUPLOAD
MINAMOUNTFORTAXIDCOR	Minamountfortaxidcor	NUMBER	30	PATB_ASSETUPLOAD
RECORD_STAT	Record_Stat	CHAR	1	PATB_ASSETUPLOAD
ONCE_AUTH	Once_Auth	CHAR	1	PATB_ASSETUPLOAD
EXISTINGFUND	Existingfund	NUMBER	1	PATB_ASSETUPLOAD
UMBRELLAFUND	Umbrellafund	NUMBER	1	PATB_ASSETUPLOAD
HEDGEFUND	Hedgefund	VARCHAR2	1	PATB_ASSETUPLOAD
FUNDENABLED	Fundenabled	NUMBER	1	PATB_ASSETUPLOAD
COEENABLED	Coeenabled	VARCHAR2	1	PATB_ASSETUPLOAD
LEGALENTITY	Legalentity	VARCHAR2	12	PATB_ASSETUPLOAD
FUNDCLOSEDDATE	Fundcloseddate	DATE	7	PATB_ASSETUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ASSETTPRUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATB_ASSETTPRUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ASSETTPRUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	50	PATB_ASSETTPRUPLOAD
FUNDID	Fundid	VARCHAR2	6	PATB_ASSETTPRUPLOAD
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PATB_ASSETTPRUPLOAD
TRANSACTIONTYPE	Transactiontype	VARCHAR2	2	PATB_ASSETTPRUPLOAD
TRANSACTIONSTARTDATE	Transactionstartdate	DATE	7	PATB_ASSETTPRUPLOAD
TRANSACTIONENDDATE	Transactionenddate	DATE	7	PATB_ASSETTPRUPLOAD
TRANSACTIONFREQUENCY	Transactionfrequency	VARCHAR2	1	PATB_ASSETTPRUPLOAD
TRANSACTIONSTARTPERIOD	Transactionstartperiod	NUMBER	22	PATB_ASSETTPRUPLOAD

Table 1-202 (Cont.) Asset Import from TA

Field name	Description	Data type	Length	Table Name
TRANSACTIONENDPERIOD	Transactionendperiod	NUMBER	22	PATB_ASSETTPRUPLOAD
TRANSACTIONSTARTTIME	Transactionstarttime	DATE	7	PATB_ASSETTPRUPLOAD
TRANSACTIONENDTIME	Transactionendtime	DATE	7	PATB_ASSETTPRUPLOAD
MINTRANSACTIONAMOUNT	Mintransactionamount	NUMBER	30	PATB_ASSETTPRUPLOAD
STEPAMOUNT	Stepamount	NUMBER	30	PATB_ASSETTPRUPLOAD
MAXTRANSACTIONAMOUNT	Maxtransactionamount	NUMBER	30	PATB_ASSETTPRUPLOAD
MINTRANSACTIONUNITS	Mintransactionunits	NUMBER	27	PATB_ASSETTPRUPLOAD
STEPUNITS	Stepunits	NUMBER	27	PATB_ASSETTPRUPLOAD
MAXTRANSACTIONUNITS	Maxtransactionunits	NUMBER	27	PATB_ASSETTPRUPLOAD
ONLINEALLOCATION	Onlineallocation	NUMBER	1	PATB_ASSETTPRUPLOAD
ALLOCATIONPERVSREGCAPITAL	Allocationpervsregcapital	NUMBER	20	PATB_ASSETTPRUPLOAD
ALLOCATIONPOLICY	Allocationpolicy	VARCHAR2	1	PATB_ASSETTPRUPLOAD
NOOFTXNALLOWEDFORFREQ	Nooftxnallowedforfreq	VARCHAR2	1	PATB_ASSETTPRUPLOAD
NOOFTXNALLOWEDPERUH	Nooftxnallowedperuh	NUMBER	22	PATB_ASSETTPRUPLOAD
NOOFFREETXNALLOWEDPERUH	Nooffreetxnallowedperuh	NUMBER	22	PATB_ASSETTPRUPLOAD
ALLOWBACKDATING	Allowbackdating	NUMBER	1	PATB_ASSETTPRUPLOAD
BACKDATINGLIMIT	Backdatinglimit	NUMBER	22	PATB_ASSETTPRUPLOAD
FUNDTRANSACTIONCOUNTER	Fundtransactioncounter	NUMBER	22	PATB_ASSETTPRUPLOAD
ACKNOWLEDGEMENTREQUIRED	Acknowledgementrequired	NUMBER	1	PATB_ASSETTPRUPLOAD
CONFIRMATIONREQUIRED	Confirmationrequired	NUMBER	1	PATB_ASSETTPRUPLOAD
BASEPRICEDEFINITION	Basepricedefinition	VARCHAR2	60	PATB_ASSETTPRUPLOAD
BASEPRICEFACTOR	Basepricefactor	NUMBER	7	PATB_ASSETTPRUPLOAD
NOOFDECIMALSFORBASEPRICE	Noofdecimalsforbaseprice	NUMBER	22	PATB_ASSETTPRUPLOAD
ROUNDOFFTRUNCATEBASEPRICE	Roundofftruncatebaseprice	VARCHAR2	1	PATB_ASSETTPRUPLOAD
TRANSACTIONENABLED	Transactionenabled	NUMBER	1	PATB_ASSETTPRUPLOAD

Table 1-202 (Cont.) Asset Import from TA

Field name	Description	Data type	Length	Table Name
CROSSBRANCHALLOWED	Crossbranchallowed	NUMBER	1	PATB_ASSETTPRUPLOAD
PRICELAG	Pricelag	NUMBER	22	PATB_ASSETTPRUPLOAD
ALLOCATIONLAG	Allocationlag	NUMBER	22	PATB_ASSETTPRUPLOAD
CONFIRMATIONLAG	Confirmationlag	NUMBER	22	PATB_ASSETTPRUPLOAD
CHQALLOCONPARWITHCASH	Chqalloconparwithcash	NUMBER	1	PATB_ASSETTPRUPLOAD
HOLDINGPERIODAPPLICABLE	Holdingperiodapplicable	NUMBER	1	PATB_ASSETTPRUPLOAD
RETAINAGEINGTOSUBSCRIPTION	Retainageingtosubscription	NUMBER	1	PATB_ASSETTPRUPLOAD
CREDITCARDALLOCONPARWITHCASH	Creditcardalloconparwithcash	NUMBER	1	PATB_ASSETTPRUPLOAD
LIMITREDEMPTIONMODE	Limitredemptionmode	VARCHAR2	1	PATB_ASSETTPRUPLOAD
NATUREOFLIMIT	Natureoflimit	VARCHAR2	1	PATB_ASSETTPRUPLOAD
TRANSFERALLOCONPARWITHCASH	Transferalloconparwithcash	NUMBER	1	PATB_ASSETTPRUPLOAD
GROSSAMOUNT	Grossamount	VARCHAR2	1	PATB_ASSETTPRUPLOAD
GROSSUNITS	Grossunits	VARCHAR2	1	PATB_ASSETTPRUPLOAD
NETAMOUNT	Netamount	VARCHAR2	1	PATB_ASSETTPRUPLOAD
NETUNITS	Netunits	VARCHAR2	1	PATB_ASSETTPRUPLOAD
MININITIALTXNAMOUNT	Mininitialtxnamount	NUMBER	30	PATB_ASSETTPRUPLOAD
MININITIALTXNUNITS	Mininitialtxnunits	NUMBER	27	PATB_ASSETTPRUPLOAD
MINSITXNAMOUNT	Minsitxnamount	NUMBER	30	PATB_ASSETTPRUPLOAD
MAXSITXNAMOUNT	Maxsitxnamount	NUMBER	30	PATB_ASSETTPRUPLOAD
MINSITXNUNITS	Minsitxnunits	NUMBER	27	PATB_ASSETTPRUPLOAD
MAXSITXNUNITS	Maxsitxnunits	NUMBER	27	PATB_ASSETTPRUPLOAD
EXISTINGUH	Existinguh	VARCHAR2	2	PATB_ASSETTPRUPLOAD
NOOFDECIMALSFORUNITPRICE	Noofdecimalsforunitprice	NUMBER	22	PATB_ASSETTPRUPLOAD
ROUNDOFFTRUNCATEUNITPRICE	Roundofftruncateunitprice	VARCHAR2	1	PATB_ASSETTPRUPLOAD
ROUNDOFFTRUNCATEUNITS	Roundofftruncateunits	VARCHAR2	1	PATB_ASSETTPRUPLOAD
PAYMENTLAG	Paymentlag	NUMBER	22	PATB_ASSETTPRUPLOAD
TRANSACTIONCUTOFFTIME	Transactioncutofftime	DATE	7	PATB_ASSETTPRUPLOAD
ENFORCEHOLDINGPERIOD	Enforceholdingperiod	NUMBER	1	PATB_ASSETTPRUPLOAD

Table 1-202 (Cont.) Asset Import from TA

Field name	Description	Data type	Length	Table Name
APPLYENTRYTIMELOAD	Applyentrytimeload	NUMBER	1	PATB_ASSETTPRUPLOAD
IPOMAXISSUESIZE	Ipomaxissuesize	NUMBER	27	PATB_ASSETTPRUPLOAD
FLUCTUATIONALLOWED	Fluctuationallowed	NUMBER	5	PATB_ASSETTPRUPLOAD
TRANSACTIONSUSPENDED	Transactionsuspended	NUMBER	1	PATB_ASSETTPRUPLOAD
MINSIHOLDINGAMOUNT	Minsiholdingamount	NUMBER	30	PATB_ASSETTPRUPLOAD
MINSIHOLDINGUNITS	Minsiholdingunits	NUMBER	27	PATB_ASSETTPRUPLOAD
MINSIHOLDINGAPPLYFOR	Minsiholdingapplyfor	VARCHAR2	1	PATB_ASSETTPRUPLOAD
MINSIHOLDINGINDICATOR	Minsiholdingindicator	VARCHAR2	1	PATB_ASSETTPRUPLOAD
PRICELAGCALENDARBASIS	Pricelagcalendarbasis	VARCHAR2	1	PATB_ASSETTPRUPLOAD
ALLOCLAGCALENDARBASIS	Alloclagcalendarbasis	VARCHAR2	1	PATB_ASSETTPRUPLOAD
SIDESCRIPTION	Sidescription	VARCHAR2	255	PATB_ASSETTPRUPLOAD
SIHOLIDAYRULE	Siholidayrule	VARCHAR2	1	PATB_ASSETTPRUPLOAD
SICALENDERBASIS	Sicalenderbasis	VARCHAR2	1	PATB_ASSETTPRUPLOAD
SIGENDAY	Sigenday	VARCHAR2	3	PATB_ASSETTPRUPLOAD
SIFREQUENCY	Sifrequency	VARCHAR2	1	PATB_ASSETTPRUPLOAD
SIGENCYCLE	Sigencycle	VARCHAR2	1	PATB_ASSETTPRUPLOAD
SICUTOFFDAY	Sicutoffday	NUMBER	22	PATB_ASSETTPRUPLOAD
SICUTOFFLAG	Sicutofflag	NUMBER	22	PATB_ASSETTPRUPLOAD
ENFORCESIRULE	Enforcesirule	NUMBER	22	PATB_ASSETTPRUPLOAD
PAYMENTLAGCALENDARBASIS	Paymentlagcalendarbasis	VARCHAR2	1	PATB_ASSETTPRUPLOAD
LINKTOUNSETTLEDINFLOWS	Linktounsettledinflows	NUMBER	1	PATB_ASSETTPRUPLOAD
PSEUDOSWITCHLAG	Pseudoswitchlag	NUMBER	22	PATB_ASSETTPRUPLOAD
ALLOWSYSTEMATICPLANS	Allowsystematicplans	NUMBER	1	PATB_ASSETTPRUPLOAD
MAXINITIALTXNAMOUNT	Maxinitialtxnamount	NUMBER	30	PATB_ASSETTPRUPLOAD
MAXINITIALTXNUNITS	Maxinitialtxnunits	NUMBER	27	PATB_ASSETTPRUPLOAD
PSEUDOSWITCHLAGCALENDARBASI S	Pseudoswitchlagcalendarbasis	VARCHAR2	1	PATB_ASSETTPRUPLOAD
FULLOUTFLOW	Fulloutflow	NUMBER	1	PATB_ASSETTPRUPLOAD
MAXNOOFSITRANSACTIONS	Maxnoofsitransactions	NUMBER	4	PATB_ASSETTPRUPLOAD

Table 1-202 (Cont.) Asset Import from TA

Field name	Description	Data type	Length	Table Name
MAXVALIDITY	Maxvalidity	NUMBER	4	PATB_ASSETTPRUPLOAD
SILEADTIME	Sileadtime	NUMBER	22	PATB_ASSETTPRUPLOAD
APPLICABLEACCOUNTTYPES	Applicableaccounttypes	VARCHAR2	255	PATB_ASSETTPRUPLOAD
INFLOWOVERRIDABLEMSG	Inflowoverridablemsg	NUMBER	1	PATB_ASSETTPRUPLOAD
MINMATURITYPERIOD	Minmaturityperiod	NUMBER	4	PATB_ASSETTPRUPLOAD
MAXMATURITYPERIOD	Maxmaturityperiod	NUMBER	4	PATB_ASSETTPRUPLOAD
FUTUREDATELIMIT	Futuredatelimit	NUMBER	22	PATB_ASSETTPRUPLOAD
UHCALENDARBASIS	Uhcalendarbasis	VARCHAR2	1	PATB_ASSETTPRUPLOAD
NOOFDECIMALSFORUNITS	Noofdecimalsforunits	NUMBER	22	PATB_ASSETTPRUPLOAD
NOTICEPERIODDATEBASIS	Noticeperioddatebasis	VARCHAR2	1	PATB_ASSETTPRUPLOAD
NOTICEPERIOD	Noticeperiod	NUMBER	3	PATB_ASSETTPRUPLOAD
SIAUTOTERMINATIONCOUNTER	Siautoterminationcounter	NUMBER	3	PATB_ASSETTPRUPLOAD
THRESHOLDAMOUNT	Thresholdamount	NUMBER	30	PATB_ASSETTPRUPLOAD
CONSSIAUTOCOUNTER	Conssiautocounter	NUMBER	3	PATB_ASSETTPRUPLOAD
MAXSITXNSALLOWED	Maxsitxnsallowed	NUMBER	3	PATB_ASSETTPRUPLOAD
STARTTIME	Starttime	VARCHAR2	8	PATB_ASSETTPRUPLOAD
ENDTIME	Endtime	VARCHAR2	8	PATB_ASSETTPRUPLOAD
CUTOFFTIME	Cutofftime	VARCHAR2	8	PATB_ASSETTPRUPLOAD
GOCUTOFFLAG	Gocutofflag	VARCHAR2	8	PATB_ASSETTPRUPLOAD
GOTHRESHOLDUNITS	Gothresholdunits	NUMBER	27	PATB_ASSETTPRUPLOAD
GOSETTLEMENTLAG	Gosettlementlag	NUMBER	22	PATB_ASSETTPRUPLOAD
GOSETTLAGCALENDAR	Gosettlagcalendar	VARCHAR2	1	PATB_ASSETTPRUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ASSETLOADUPLOAD
SEQUENCENUMBER	Sequencenumber	NUMBER	22	PATB_ASSETLOADUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ASSETLOADUPLOAD
USERREFERENCENUMBER	Userreferencenumber	VARCHAR2	50	PATB_ASSETLOADUPLOAD
FUNDID	Fundid	VARCHAR2	6	PATB_ASSETLOADUPLOAD
RULEEFFECTIVEDATE	Ruleeffectivedate	DATE	7	PATB_ASSETLOADUPLOAD

Table 1-202 (Cont.) Asset Import from TA

Field name	Description	Data type	Length	Table Name
TRANSACTIONTYPE	Transactiontype	VARCHAR2	2	PATB_ASSETLOADUPLOAD
LOADID	Loadid	NUMBER	5	PATB_ASSETLOADUPLOAD
LOADTOPRICE	Loadtoprice	NUMBER	22	PATB_ASSETLOADUPLOAD
FROMENTITYTYPE	Fromentitytype	VARCHAR2	1	PATB_ASSETLOADUPLOAD
TOENTITYTYPE	Toentitytype	VARCHAR2	1	PATB_ASSETLOADUPLOAD
PROCESSINGFREQ	Processingfreq	VARCHAR2	1	PATB_ASSETLOADUPLOAD
GLACCOUNTCODE	Glaccountcode	VARCHAR2	10	PATB_ASSETLOADUPLOAD
DEBITORCREDIT	Debitorcredit	VARCHAR2	1	PATB_ASSETLOADUPLOAD
CONTRAACCOUNTCODE	Contraaccountcode	VARCHAR2	10	PATB_ASSETLOADUPLOAD
NOOFDECIMALSFORLOAD	Noofdecimalsforload	NUMBER	1	PATB_ASSETLOADUPLOAD
ROUNDOFFTRUNCATEFORLOAD	Roundofftruncateforload	VARCHAR2	1	PATB_ASSETLOADUPLOAD
MANDATORY	Mandatory	VARCHAR2	1	PATB_ASSETLOADUPLOAD
PRIORITYNO	Priorityno	NUMBER	22	PATB_ASSETLOADUPLOAD
LOADVATTOPRICE	Loadvattoprice	NUMBER	22	PATB_ASSETLOADUPLOAD
APPLYRETVALON	Applyretvalon	VARCHAR2	1	PATB_ASSETLOADUPLOAD
SENDFORGLINTERFACE	Sendforglinterface	NUMBER	1	PATB_ASSETLOADUPLOAD
REFTYPE	Reftype	VARCHAR2	4	PATB_ASSETLOADUPLOAD
DIFFERENTIALFEE	Differentialfee	NUMBER	1	PATB_ASSETLOADUPLOAD
SLABBASIS	Slabbasis	VARCHAR2	1	PATB_ASSETLOADUPLOAD
PRICEADDIN	Priceaddin	NUMBER	1	PATB_ASSETLOADUPLOAD
GROUPID	Groupid	VARCHAR2	6	PATB_ASSETLOADUPLOAD
INDEXATIONONCGT	Indexationoncgt	VARCHAR2	1	PATB_ASSETLOADUPLOAD
LEVELID	Levelid	NUMBER	22	PATB_ASSETLOADUPLOAD
DEPENDENTLEVEL	Dependentlevel	NUMBER	22	PATB_ASSETLOADUPLOAD
FDAPINCOME	Fdapincome	NUMBER	1	PATB_ASSETLOADUPLOAD

1.67 Asset Maintenance Import

This topic describes the information on Asset Maintenance Import.

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides detailed information on Asset Maintenance Import of segment identification number.

- Asset Maintenance Import
  This topic provides detailed information on the Asset Maintenance Import for segment identification numbers.

1.67.1 Segment Identification Number

This topic provides detailed information on Asset Maintenance Import of segment identification number.

Table 1-203 Segment Identification Number

Segment Identification Number	Description
00AST	This segment is used to provide Asset master details
01TXN	This segment is used to provide Transaction rules details
02LOD	This segment is used to provide Load details
03ADD	This segment is used to provide Addinfo details

1.67.2 Asset Maintenance Import

This topic provides detailed information on the Asset Maintenance Import for segment identification numbers.

Table 1-204 Asset Maintenance Import

Field name	Description	Datatype	Length	Table Name
SEGMENTID	Segmentid	VARCHAR2	20	PATB_ASSETMAINTUPLOAD
SEQUENCENUMBER	Sequence number	NUMBER	10	PATB_ASSETMAINTUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATB_ASSETMAINTUPLOAD
BULKCLIENTCODE	Bulkclientcode	VARCHAR2	12	PATB_ASSETMAINTUPLOAD
UPLOADMODE	Uploadmode	VARCHAR2	1	PATB_ASSETMAINTUPLOAD
ASSETCLASS	Assetclass	VARCHAR2	10	PATB_ASSETMAINTUPLOAD
ASSETID	Assetid	VARCHAR2	6	PATB_ASSETMAINTUPLOAD
ASSETNAMESHORT	Assetnameshort	VARCHAR2	90	PATB_ASSETMAINTUPLOAD
ASSETBASECCY	Assetbaseccy	VARCHAR2	3	PATB_ASSETMAINTUPLOAD
QUOTATION	Quotation	VARCHAR2	100	PATB_ASSETMAINTUPLOAD
EXTERNALSYSTEM	Externalsystem	VARCHAR2	255	PATB_ASSETMAINTUPLOAD
MAKER_ID	Maker Id	VARCHAR2	320	PATB_ASSETMAINTUPLOAD
MAKER_DT_STAMP	Maker Date Stamp	DATE	7	PATB_ASSETMAINTUPLOAD
CHECKER_ID	Checker_Id	VARCHAR2	320	PATB_ASSETMAINTUPLOAD
CHECKER_DT_STAMP	Checker_Dt_Stam p	DATE	7	PATB_ASSETMAINTUPLOAD
AUTH_STAT	Auth_Stat	VARCHAR2	1	PATB_ASSETMAINTUPLOAD
ONCE_AUTH	Once_Auth	VARCHAR2	1	PATB_ASSETMAINTUPLOAD
RECORD_STAT	Record_Stat	VARCHAR2	1	PATB_ASSETMAINTUPLOAD

Table 1-204 (Cont.) Asset Maintenance Import

Field name	Description	Datatype	Length	Table Name
MOD_NO	Mod_No	NUMBER	22	PATB_ASSETMAINTUPLOAD
REMARKS	Remarks	VARCHAR2	255	PATB_ASSETMAINTUPLOAD
MODULEID	Moduleid	VARCHAR2	30	PATB_ASSETMAINTUPLOAD
NOOFDECIMALS	Noofdecimals	NUMBER	22	PATB_ASSETMAINTUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_ASSETADDINFOUPLOAD
SEQUENCENUMBER	Sequence number	NUMBER	22	PATM_ASSETADDINFOUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_ASSETADDINFOUPLOAD
ASSETID	Assetid	VARCHAR2	6	PATM_ASSETADDINFOUPLOAD
ADDINFOLABEL	Addinfolabel	VARCHAR2	30	PATM_ASSETADDINFOUPLOAD
FIELDVALUE	Field value	CHAR	23	PATM_ASSETADDINFOUPLOAD
ASSETCLASS	Assetclass	VARCHAR2	10	PATM_ASSETADDINFOUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_ASSETLOADDETUPLOAD
SEQUENCENUMBER	Sequence number	NUMBER	10	PATM_ASSETLOADDETUPLOAD
PROCESSID	Process Id	VARCHAR2	50	PATM_ASSETLOADDETUPLOAD
ASSETID	Asset Id	VARCHAR2	6	PATM_ASSETLOADDETUPLOAD
TRANSACTIONTYPE	Transaction type	VARCHAR2	2	PATM_ASSETLOADDETUPLOAD
LOADID	Loadid	NUMBER	5	PATM_ASSETLOADDETUPLOAD
LOADDESCRIPTION	Loaddescription	VARCHAR2	255	PATM_ASSETLOADDETUPLOAD
ASSETCLASS	Assetclass	VARCHAR2	10	PATM_ASSETLOADDETUPLOAD
SEGMENTID	Segmentid	VARCHAR2	20	PATM_ASSETTXNRULEUPLOAD
SEQUENCENUMBER	Sequence number	NUMBER	10	PATM_ASSETTXNRULEUPLOAD
PROCESSID	Processid	VARCHAR2	50	PATM_ASSETTXNRULEUPLOAD
ASSETID	Assetid	VARCHAR2	6	PATM_ASSETTXNRULEUPLOAD
TRANSACTIONTYPE	Transaction Type	VARCHAR2	2	PATM_ASSETTXNRULEUPLOAD
TRANSACTIONSTARTDATE	Transactionstartdate	DATE	7	PATM_ASSETTXNRULEUPLOAD
TRANSACTIONENDDATE	Transactionenddate	DATE	7	PATM_ASSETTXNRULEUPLOAD
MINTRANSACTIONAMOUNT	Mintransactionamount	NUMBER	30	PATM_ASSETTXNRULEUPLOAD
STEPAMOUNT	Step Amount	NUMBER	30	PATM_ASSETTXNRULEUPLOAD
MAXTRANSACTIONAMOUNT	Maxtransactionamount	NUMBER	30	PATM_ASSETTXNRULEUPLOAD
MINTRANSACTIONUNITS	Mintransactionunits	NUMBER	27	PATM_ASSETTXNRULEUPLOAD
STEPUNITS	Step units	NUMBER	27	PATM_ASSETTXNRULEUPLOAD
MAXTRANSACTIONUNITS	Maxtransactionunits	NUMBER	27	PATM_ASSETTXNRULEUPLOAD
TRANSACTIONENABLED	Transaction enabled	NUMBER	1	PATM_ASSETTXNRULEUPLOAD

Table 1-204 (Cont.) Asset Maintenance Import

Field name	Description	Datatype	Length	Table Name
MININITIALTXNAMOUNT	Mininitialtxnamount	NUMBER	30	PATM_ASSETTXNRULEUPLOAD
MININITIALTXNUNITS	Mininitialtxnunits	NUMBER	27	PATM_ASSETTXNRULEUPLOAD
MAXINITIALTXNAMOUNT	Maxinitialtxnamount	NUMBER	30	PATM_ASSETTXNRULEUPLOAD
MAXINITIALTXNUNITS	Maxinitialtxnunits	NUMBER	27	PATM_ASSETTXNRULEUPLOAD
ASSETCLASS	Assetclass	VARCHAR2	10	PATM_ASSETTXNRULEUPLOAD

1.68 Import From External System To Amount Block Upload Format

This topic provides detailed information on the import from external system to amount block upload format for segment identification numbers.

Table 1-205 Import From External System To Amount Block Upload Format

Field Name	Description	Data Type	Length	Table Name
AMTBLKNO	Amount block number	VARCHAR2	35	BULK_AMT_BLOCK
ACC	Account	VARCHAR2	20	BULK_AMT_BLOCK
AMT	Amount	NUMBER	30	BULK_AMT_BLOCK
EFFDATE	Effective Date	DATE	7	BULK_AMT_BLOCK
EXPDATE	Expiry Date	DATE	7	BULK_AMT_BLOCK
REM	Remittance	VARCHAR2	255	BULK_AMT_BLOCK
ABLKTYPE	Amount Block Type	VARCHAR2	1	BULK_AMT_BLOCK
BRANCH	Branch	VARCHAR2	3	BULK_AMT_BLOCK
HOLD_CODE	Hold Codes	VARCHAR2	15	BULK_AMT_BLOCK
REFERENCE_NO	Reference number	VARCHAR2	40	BULK_AMT_BLOCK
VERIFY_AVL_BAL	Verify available balance	VARCHAR2	1	BULK_AMT_BLOCK
KEYSTRING	Key String	VARCHAR2	50	BULK_AMT_BLOCK
ACTION	Actions	VARCHAR2	1	BULK_AMT_BLOCK
RELATEDREFNO	Related reference number	VARCHAR2	40	BULK_AMT_BLOCK
EVENSEQNO	even sequence number	VARCHAR2	40	BULK_AMT_BLOCK
BENEMAILID	Beneficiary mail ID	VARCHAR2	40	BULK_AMT_BLOCK

Table 1-205 (Cont.) Import From External System To Amount Block Upload Format

Field Name	Description	Data Type	Length	Table Name
BENFACEBOOKID	Beneficiary booking ID	VARCHAR2	40	BULK_AMT_BLOCK
BENTELPHONENO	Beneficiary telephone number	VARCHAR2	40	BULK_AMT_BLOCK
FUNDAVLCHECK	Fund available balance	VARCHAR2	40	BULK_AMT_BLOCK
SEQNO	Sequence number	VARCHAR2	16	BULK_AMT_BLOCK

1.69 Amount Block Export to External Systems Modify Upload Format

This topic provides detailed information on the Amount Block Export to External Systems Modify Upload Format for segment identification numbers.

Table 1-206 Amount Block Export to External Systems Modify Upload Format

Field Name	Description	Data Type	Length	Table Name
AMTBLKNO	Amount block number	VARCHAR2	35	BULK_AMT_BLOCK
ACC	Account	VARCHAR2	20	BULK_AMT_BLOCK
AMT	Amount	NUMBER	22	BULK_AMT_BLOCK
EFFDATE	Effective date	DATE	7	BULK_AMT_BLOCK
EXPDATE	Expiry date	DATE	7	BULK_AMT_BLOCK
REM	Remittance	VARCHAR2	255	BULK_AMT_BLOCK
ABLKTYPE	Amount block type	VARCHAR2	1	BULK_AMT_BLOCK
BRANCH	Branch	VARCHAR2	3	BULK_AMT_BLOCK
HOLD_CODE	Hold code	VARCHAR2	15	BULK_AMT_BLOCK
REFERENCE_NO	Reference number	VARCHAR2	40	BULK_AMT_BLOCK
VERIFY_AVL_BAL	Verify available balance	VARCHAR2	1	BULK_AMT_BLOCK
KEYSTRING	Keystring	VARCHAR2	50	BULK_AMT_BLOCK
ACTION	Action	VARCHAR2	1	BULK_AMT_BLOCK
RELATEDREFNO	Related reference number	VARCHAR2	40	BULK_AMT_BLOCK
EVENSEQNO	Event sequence number	VARCHAR2	40	BULK_AMT_BLOCK
BENEMAILID	Beneficiary mail ID	VARCHAR2	40	BULK_AMT_BLOCK

Table 1-206 (Cont.) Amount Block Export to External Systems Modify Upload Format

Field Name	Description	Data Type	Length	Table Name
BENFACEBOOKID	Beneficiary booking ID	VARCHAR2	40	BULK_AMT_BLOCK
BENTELPHONENO	Beneficiary phone number	VARCHAR2	40	BULK_AMT_BLOCK
FUNDAVLCHECK	Fund available balance	VARCHAR2	40	BULK_AMT_BLOCK
SEQNO	Sequence number	VARCHAR2	16	BULK_AMT_BLOCK

1.70 Amount Block Export to External Systems Close Upload Format

This topic provides detailed information on the Amount Block Export to External Systems Close Upload Format for segment identification numbers.

Table 1-207 Amount Block Export to External Systems Close Upload Format

Field Name	Description	Data Type	Length	Table Name
KEYSTRING	Keystring	VARCHAR2	200	BULK_AMT_BLOCK
AMTBLKNO	Amount block number	VARCHAR2	140	BULK_AMT_BLOCK
ACC	Account	VARCHAR2	80	BULK_AMT_BLOCK
AMT	Amount	NUMBER	22	BULK_AMT_BLOCK
EFFDATE	Effective Date	DATE	7	BULK_AMT_BLOCK
EXPDATE	Expiry Date	DATE	7	BULK_AMT_BLOCK
REM	Remittance	VARCHAR2	1020	BULK_AMT_BLOCK
ABLKTYPE	Amount block type	VARCHAR2	4	BULK_AMT_BLOCK
BRANCH	Branch	VARCHAR2	12	BULK_AMT_BLOCK
SEQNO	Sequence Number	VARCHAR2	64	BULK_AMT_BLOCK
REFERENCE_NO	Reference number	VARCHAR2	160	BULK_AMT_BLOCK
VERIFY_AVL_BAL	Verify available balance	VARCHAR2	4	BULK_AMT_BLOCK
ACTION	Action	VARCHAR2	4	BULK_AMT_BLOCK
RELATEDREFNO	Related reference number	VARCHAR2	160	BULK_AMT_BLOCK
EVENSEQNO	Event sequence number	VARCHAR2	160	BULK_AMT_BLOCK

Table 1-207 (Cont.) Amount Block Export to External Systems Close Upload Format

Field Name	Description	Data Type	Length	Table Name
BENEMAILID	Beneficiary mail ID	VARCHAR2	160	BULK_AMT_BLOCK
BENFACEBOOKID	Beneficiary booking ID	VARCHAR2	160	BULK_AMT_BLOCK
BENTELPHONENO	Beneficiary phone number	VARCHAR2	160	BULK_AMT_BLOCK
FUNDAVLCHECK	Fund available check	VARCHAR2	160	BULK_AMT_BLOCK

1.71 Import From External System To Amount Block Upload Format

This topic provides detailed information on the import from external system to amount block upload format for segment identification numbers.

Table 1-208 Import From External System To Amount Block Upload Format

Field Name	Description	Data Type	Length	Table Name
AMTBLKNO	Amount block number	VARCHAR2	16	AMTBLOCKRESPONSETBL
SEQNO	Sequence number	VARCHAR2	16	AMTBLOCKRESPONSETBL
ERRORCODE	Error code	VARCHAR2	16	AMTBLOCKRESPONSETBL
STATUS	Status	VARCHAR2	1	AMTBLOCKRESPONSETBL

1.72 Accounting Export Upload Format

This topic provides detailed information on the Accounting Export Upload Format for segment identification numbers.

Accounting Export file will be exported in the back-end server only.

Table 1-209 Accounting Export Upload Format

Field Name	Description	Data Type	Length	Table Name
SEGMENTID	Segment ID	VARCHAR2	20	BULK_AETB_TXN_MASTER
SOURCECODE	Source Code	VARCHAR2	64	BULK_AETB_TXN_MASTER
SOURCEREF	Source reference number	VARCHAR2	140	BULK_AETB_TXN_MASTER
SOURCESEQNO	Source sequence number	VARCHAR2	64	BULK_AETB_TXN_MASTER
BRANCHCODE	Branch code	VARCHAR2	12	BULK_AETB_TXN_MASTER

Table 1-209 (Cont.) Accounting Export Upload Format

Field Name	Description	Data Type	Length	Table Name
FUNCTION_ID	Function ID	VARCHAR2	32	BULK_AETB_TXN_MASTER
ACTION_CODE	Action Code	VARCHAR2	64	BULK_AETB_TXN_MASTER
UPLOAD_ID	Upload ID	VARCHAR2	64	BULK_AETB_TXN_MASTER
MODULE	Module	VARCHAR2	8	BULK_AETB_TXN_MASTER
UNIQUEREFNUM	Unique reference number	VARCHAR2	64	BULK_AETB_TXN_MASTER
EXTERNALREFNO	External reference number	VARCHAR2	120	BULK_AETB_TXN_MASTER
STATUS	Status	VARCHAR2	4	BULK_AETB_TXN_MASTER
SEGMENTID	Segment ID	VARCHAR2	20	BULK_DETB_JRNL_TXN_UPL_DETAIL
SOURCE_CODE	Source code	VARCHAR2	64	BULK_DETB_JRNL_TXN_UPL_DETAIL
SOURCE_REF	Source reference number	VARCHAR2	140	BULK_DETB_JRNL_TXN_UPL_DETAIL
SOURCE_SEQ_NO	Source sequence number	VARCHAR2	64	BULK_DETB_JRNL_TXN_UPL_DETAIL
BRANCH_CODE	Branch code	VARCHAR2	12	BULK_DETB_JRNL_TXN_UPL_DETAIL
UNIQUEREFNO	Unique reference number	VARCHAR2	64	BULK_DETB_JRNL_TXN_UPL_DETAIL
EXTERNALREFNO	External reference number	VARCHAR2	120	BULK_DETB_JRNL_TXN_UPL_DETAIL
MODULE	Module	VARCHAR2	8	BULK_DETB_JRNL_TXN_UPL_DETAIL
EVENTSRNO	Event serial number	NUMBER	22	BULK_DETB_JRNL_TXN_UPL_DETAIL
EVENT	Event	VARCHAR2	16	BULK_DETB_JRNL_TXN_UPL_DETAIL
AMTBLOCKNO	Amount block number	VARCHAR2	140	BULK_DETB_JRNL_TXN_UPL_DETAIL
ACBRANCH	Branch	VARCHAR2	12	BULK_DETB_JRNL_TXN_UPL_DETAIL
ACNO	Account number	VARCHAR2	34	BULK_DETB_JRNL_TXN_UPL_DETAIL
ACCCY	Account currency	VARCHAR2	12	BULK_DETB_JRNL_TXN_UPL_DETAIL
FORCEPOST	Force post	VARCHAR2	40	BULK_DETB_JRNL_TXN_UPL_DETAIL
DRCRIND	Debit Credit indicator	CHAR	4	BULK_DETB_JRNL_TXN_UPL_DETAIL
TRNCODE	Transaction code	VARCHAR2	12	BULK_DETB_JRNL_TXN_UPL_DETAIL
AMOUNTTAG	Amount tag	VARCHAR2	140	BULK_DETB_JRNL_TXN_UPL_DETAIL
FCYAMOUNT	Foreign currency amount	NUMBER	22	BULK_DETB_JRNL_TXN_UPL_DETAIL
EXCHRATE	Exchange rate	NUMBER	22	BULK_DETB_JRNL_TXN_UPL_DETAIL

Table 1-209 (Cont.) Accounting Export Upload Format

Field Name	Description	Data Type	Length	Table Name
LCYAMOUNT	Local currency amount	NUMBER	22	BULK_DETB_JRNL_TXN_UPL_DETAIL
RELATEDCUSTOMER	Related customer	VARCHAR2	36	BULK_DETB_JRNL_TXN_UPL_DETAIL
RELATEDACCOUNT	Related account	VARCHAR2	80	BULK_DETB_JRNL_TXN_UPL_DETAIL
RELATEDREFERENCE	Related reference	VARCHAR2	64	BULK_DETB_JRNL_TXN_UPL_DETAIL
TRNDT	Transaction details	DATE	7	BULK_DETB_JRNL_TXN_UPL_DETAIL
VALUEDT	Value details	DATE	7	BULK_DETB_JRNL_TXN_UPL_DETAIL
INSTRUMENTCODE	-	VARCHAR2	64	BULK_DETB_JRNL_TXN_UPL_DETAIL
MISHEAD	Instrument code	VARCHAR2	36	BULK_DETB_JRNL_TXN_UPL_DETAIL
PRODUCT	Product	VARCHAR2	16	BULK_DETB_JRNL_TXN_UPL_DETAIL
REMARKS	Remarks	VARCHAR2	140	BULK_DETB_JRNL_TXN_UPL_DETAIL
STATUS	Status	VARCHAR2	4	BULK_DETB_JRNL_TXN_UPL_DETAIL

2

Appendix B - Upload File Formats B

This topic explains segment identification numbers, their descriptions and attributes.

This topic contains the following sub-topics:

- Fund Rules Upload Formats
- Incremental Upload Format
  This topic explains the information on Incremental Upload Format.
- Sub Service Upload Format
  This topic explains the information on Sub Service Upload Format.
- Entity Upload Format
  This topic explains the information on Entity Upload Format.
- Broker Upload Format
  This topic explains the information on Broker Upload Format.
- Unit Holder Upload Format
  This topic explains the information on Unit Holder Upload Format.
- Transactions Upload Format
  This topic explains the information on Transactions Upload Format.
- Fund Entity Upload Format
  This topic provides the information about Fund Entity Upload Format.
- Account Officer Upload Format
  This topic explains the information on Account Officer Upload Format.
- Agency Branch AO Upload Format
  This topic explains the systematic instructions on Agency Branch AO Upload Format.

2.1 Fund Rules Upload Formats

This topic contains the following sub-topics:

- Segment Identification Number
  This topic provides the information on fund rules upload formats for segment identification number.
- Fund Rules Upload Segment Formats
  This topic provides the information on fund rules upload formats of segment identification number.
- PROCESSINGFREQ (ref 11FLM, 12.10)
  This topic explains the information about request processing for funds.
- Fund Related Information Segments Formats
  This topic explains the information about the fund related information segments formats.
- EPU Upload File Format
  This topic explains the information about the details of EPU Upload File Format.
- Tax Aggregation Interface Format
  This topic explains the information on Tax Aggregation Interface Format.

- Agent Reference File
  This topic explains the information on Agent Reference File.
- Global Order Placement Interface
  This topic explains the information on Global Order Placement Interface.
- GL Setup Upload Interface Format
  This topic explains the information on GL Setup Upload Interface Format.
- Interface to Check for Transaction Unrelated to GL Extraction
  This topic explains the information on Interface to Check for Transaction Unrelated to GL Extraction.
- File Format for OMIPAY FILE INTERFACE
  This topic explains the information on File Format for OMIPAY FILE INTERFACE.
- File format for Header Record
  This topic explains the information on File format for Header Record.
- File format for Detailed Record
  This topic explains the information on File format for Detailed Record.

2.1.1 Segment Identification Number

This topic provides the information on fund rules upload formats for segment identification number.

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. Each segment identified by a unique tag, indicates the type of fund rule information such as Demographics, Shares Characteristics and so on. The possible segments that would be used in Fund upload are given below for reference:

Table 2-1 Fund Rules Upload Formats - Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?	Multiple records allowed for the same Fund and Rule Effective Date
00GEN	This segment is used for providing information common to the other fund rules that need to be uploaded in the system.	Mandatory; and will be the driving header segment for all the other segments.	No
01FDR	This segment is used for providing Fund Demographics related information	Mandatory	No
02SCR	This segment is used for providing Shares Characteristics related information	Mandatory	No
03GOR	This segment is used for providing General Operating rules information	Mandatory	No

Table 2-1 (Cont.) Fund Rules Upload Formats - Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?	Multiple records allowed for the same Fund and Rule Effective Date
04TPR	This segment is used for providing Transaction processing rules information	Mandatory	Yes (For each transaction type - there is a separate record)
05TCM	This segment is used for providing Transaction Processing Communication Mode information	Mandatory	Yes (For each transaction type - there is a separate record)
06INV	This segment is used for providing Transaction Processing Investor Category related information	Mandatory	Yes (For each transaction type - there is a separate record)
07IDR	This segment is used for providing Corporate Action related information	Optional	Yes (For cash and Stock dividend information)
08FSC	This segment is used for providing Fund Sale Country information	Mandatory	Yes
09FRL	This segment is used for providing Fund Residency List information	Mandatory	Yes
10FFM	This segment is used for providing Fund Formula information	Optional	Yes
11FLM	This segment is used for providing Fund Load information	Optional	Yes
12FTC	This segment is used for providing Fund Transaction Currency information	Mandatory	Yes
13FAI	This segment is used for providing Fund Additional Information	Mandatory	No
14IRA	This segment is used for providing Fund IRA Information, if applicable	Optional	No
15FDI	This segment is used for providing Fund Delivery Instructions, if applicable	Optional	No
17KYC	This segment is used for providing the Customer document details, if applicable	Optional	Yes

Table 2-1 (Cont.) Fund Rules Upload Formats - Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?	Multiple records allowed for the same Fund and Rule Effective Date
18MLD	This segment is used for providing Multi language details, if applicable	Optional	Yes
19FSI	This segment is used for providing Fund Investment Account SI, if applicable	Optional	Yes
20HFP	This segment is used to include hedge fund parameters	Optional	No
21HFL	This segment is used to provide hedge fund linkage details	Optional	Yes
22HFD	This segment is used to provide investor category details for hedge funds	Optional	Yes

Each of the segments have variable list of fields stored as information pertaining to that segment. The list of fields for each segment is mentioned in the following tables along with the description of the segment.

2.1.2 Fund Rules Upload Segment Formats

This topic provides the information on fund rules upload formats of segment identification number.

Serial Number: 1

Segment Identification Number: 00GEN

Description: This segment is used for providing information that is common to the other fund rules that need to be uploaded in the system.

Mandatory: Mandatory and will be the driving header segment for all the other segments.

Multiple records allowed for the same fund and Rule Effective Date: No

Table 2-2 Fund Rules Upload Segment Formats - 00GEN

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
PROCESSID	Alphanumeric	50	Mandatory	-	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later

Table 2-2 (Cont.) Fund Rules Upload Segment Formats - 00GEN

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
BULKCLIENTCODE	Alphanumeric	12	Mandatory	-	Valid authorized, enabled Bulk client codes maintained in the system as a part of Entity Maintenance.
IMPORTFILENAME	Alphanumeric	255	Mandatory	-	This is the name of the file being uploaded into the FCIS system
AMCID	Alphanumeric	12	Mandatory	-	Valid authorized, enabled AMC maintained in the system as a part of Entity Maintenance. The fund rule upload defaulting would be based on both AMCID and the Bulk client code.
USERID	Alphanumeric	15	Mandatory	-	The fund rule MakerID would have the value of UserID as a part of data storage in all the fund rule tables.
UPLOADMODE	Alphanumeric	1	Mandatory	-	Should be 'N' for New fund rule, and 'I' for Incremental for amending an existing authorized fund rule.
GENERATEAUTHFUNDRULE	Alphanumeric	1	Optional	-	This indicates whether the fund rule uploaded should be a authorized fund rule or un-authorized fund rule.
DEFAULTOPTION	Alphanumeric	15	Optional	-	This indicates if data needs to be defaulted based on any existing templates or based on bulk client code.

Serial Number: 2

Segment Identification Number: 01FDR

Description: This segment is used for providing Fund demographics related information.

Segment Mandatory / Optional: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: No

Table 2-3 Fund Rules Upload Segment Formats - 01FDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 01FDR	Standard value of 01FDR to uniquely identify Fund demographics information

Table 2-3 (Cont.) Fund Rules Upload Segment Formats - 01FDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund in the system. Enter a unique value for the fund for which a Fund Demographics record is being set up
RULEEFFECTIVEDATE	Date	8	Mandatory	The Rule Effective Date should be later than (or same as) the Fund Start Date and it should also be later than (or same as) the current application date of the system.	Specify the date from when the Fund Demographics Rule that is currently being set up should become effective.
FUNDSTARTDATE	Date	8	Mandatory	-	Specify the date upon which the fund is to begin operations
FUNDNAME	Alphanumeric	60	Mandatory	-	Enter the name of the fund for which the Fund Demographics profile is being set up in this record
FUNDNAMESHORT	Alphanumeric	15	Mandatory	-	Enter the short name of the fund for which the Fund Demographics profile is being set up in this record
FUNDCLASS	Alphanumeric	1	Optional	Valid values are 'O' for Openended and 'C' for Closed-ended	Enter the fund class as either Open Ended and Closed-Ended Funds
FUNDTYPE	Number	38	Optional	Valid Fund types defined in the system	Enter the value of Fund Type. The Fund Types are set up as a part of the Fund Type Maintenance
FUNDFAMILY	Alphanumeric	2	Optional	Valid Fund families defined in the system	Enter the fund family under which the fund must be placed

Table 2-3 (Cont.) Fund Rules Upload Segment Formats - 01FDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
FUNDBASECURRENCY	Alphanumeric	3	Optional	Valid Currency code defined in the system	Specify the currency code in which the fund will be denominated. The NAV and dividend declarations for the fund will be in this base currency.
FUNDCOUNTRY	Alphanumeric	3	Optional	Valid Domicile code defined in the system as a part of Params Maintenance	Enter the country of domicile of the fund. This information will be used to determine whether a unit holder that subscribes to the fund must be considered a Foreign unit holder or not
FISCALSTARTYEAR	Date	8	Optional	The Fiscal Start Date must be earlier than the application date and the Rule Effective Date of the fund	Enter the date from when the Fiscal Year should start for the fund
FISCALENDYEAR	Date	8	Optional	The Fiscal End Date should be later than (or same as) the current date of the system. It should also be later than (or same as) the Rule Effective Date of the fund that is being set up.	Enter the date on which the Fiscal Year should end for the fund
AMCID	Alphanumeric	12	Optional	Valid authorized AMC defined in the system as a part of entity maintenance	Specify the AMC that this Fund will be operative in
BROKERSALLOWED	Number	1	Optional	Valid values are 1 and 0	if brokers are to be mandatory for the given Fund, then a value of '1' should be entered else enter 0.

Table 2-3 (Cont.) Fund Rules Upload Segment Formats - 01FDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
REGISTRARID	Alphanumeric	12	Optional	Valid Registrar defined in the system as a part of Entity maintenance	Enter the Registrar ID for the fund being set up
TRUSTEEID	Alphanumeric	12	Optional	Valid Trustee defined in the system as a part of Entity maintenance	Enter the Trustee ID for the fund being set up
FUNDENABLED	Number	1	Optional	Valid values are 1 and 0	Every new fund is created in the system initially as an enabled fund. Hence, the value can be defaulted to 1
MINHOLDINGPERIOD	Number	-	Optional	-	-
MINAMOUNTFORTAXID	Number	15	Optional	Maximum of 3 decimal places allowed	Enter the minimum subscription / IPO subscription amount beyond which it is necessary / preferable to capture the Tax details for any unit holder.
PRIMARYREGADDRESS	Alphanumeric	255	Optional	-	Registered Address for the fund
FUNDTAXID	Alphanumeric	25	Optional	-	Unique Tax ID for the fund
FUNDIDENTIFICATIONNUMBER	Alphanumeric	25	Mandatory for DEKA Installation. For others this is optional	-	Fund ISIN number that helps to uniquely identify the fund
FUNDTICKERSYMBOL	Alphanumeric	25	Optional	-	This is another value that helps to uniquely identify the fund. However, if this is not applicable, it can be entered as null also.
ALLOWIRAINVESTMENTS	Number	1	Optional	Valid values are 0 and 1	Only if IRA transactions are allowed, the value should be specified as 1. Else specify 0.
CUSTODIAN	Alphanumeric	12	Optional	Valid custodian defined in the system as a part of Entity maintenance	Enter the Custodian for the fund being set up
HEDGE FUND	Alphanumeric	1	Optional	Valid values are 0 and 1	Indicate whether the fund is a hedge fund

Serial Number: 3

Segment Identification Number: 02SCR

Description: This segment is used for providing Shares Characteristics related information

Segment Mandatory / Optional: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: No

Table 2-4 Fund Rules Upload Segment Formats - 01FDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 02SCR	Standard value of 02SCR to uniquely identify Shares characteristics information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Shares characteristics information has to be uploaded
RULEEFFECTIVE DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which share characteristic information for the fund has to be maintained.
SCRIPBASED	Number	1	Optional	Valid values are 0,1 and 2	This field is used to designate the applicability of certificate issue for investment in the fund. The value '0' indicates 'Scripless'; '1' indicates 'Scrip based' and '2' indicates 'Certificate option'
PARVALUE	Number	15	Optional	Maximum of 6 decimal places allowed	Enter the Par Value for the fund. Normally, this will be the base price for Initial Public Offer (IPO) period, However you can change the base price for IPO.
NOOFDECIMALSFOR UNITS	Number	1	Mandatory	Optional	Indicate the maximum number of decimals that would be reckoned for rounding precision, for the number of allotted units. You cannot indicate a precision higher than 6 decimal places.

Table 2-4 (Cont.) Fund Rules Upload Segment Formats - 01FDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
ROUNDOFFTRUNCATE	Alphanumeric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the number of allotted units, designated for the fund.
MINNOOFUNITHOL DERS	Number	5	Optional	-	At any point of time, when the fund is enabled, the number of unit holders cannot fall below this value. This value must not be lower than zero.
MAXNOOFUNITHOL DERS	Number	5	Optional	-	At any point of time, when the fund is enabled, the number of unit holders cannot go above this value.
MINREGISTEREDC APITAL	Number	20	Optional	Maximum of 6 decimal places allowed	At any point of time, when the fund is enabled, the fund corpus (registered capital) cannot go below this value.
MAXREGISTEREDC APITAL	Number	NUMBER(30,12)	Optional	Maximum of 6 decimal places allowed	At any point of time, when the fund is enabled, the fund corpus (registered capital) cannot go above this value.
CAPINDICATOR	Alphanumeric	1	Optional	Valid values are 'A' and 'P'	This is to specify the foreign investors' limit to be defined either in percentage of fund corpus or a flat Amount. Enter A if the foreign investors limit is in terms of an Amount and P for a percentage of the Registered Capital.
FOREIGNINVESTORSLIMI T	Number	NUMBER(30,12)	Optional	Maximum of 6 decimal places allowed	Enter a value to specify the percentage of fund corpus that foreigners are allowed to hold. This could be either a percentage of Fund Corpus or a flat Amount. If it is a percentage, then it cannot be greater than 100.
ACCOUNT STATEMENTFREQ	Alphanumeric	20	Optional	Valid values are 'F' or 'B' for Biweekly; 'M' for 'Monthly; 'Q' for 'Quarterly' ; 'H' for 'Half-yearly' ; 'Y' for 'Yearly'	This is to indicate the frequency with which the system should initiate the Account Statement processing

Table 2-4 (Cont.) Fund Rules Upload Segment Formats - 01FDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
ACCOUNT STATEMENTLEADTIME	Number	3	Optional	-	Enter a leadtime in days..
PRINTACCOUNTSTATEME NT	Number	1	Optional	Valid values are '1' to print and '0' for not to print account statement	This is to indicate that the Account Statement to be printed for the fund that is being set up
PRINTHOLDINGSSTATEMENT	Number	1	Optional	Valid values are '1' to print and '0' for not to print holding statement	This is to indicate that if the Holding Statement to be printed for the fund that is being set up
STANDARDLOT	Number	1	Optional	-	Enter a value to specify the standard lot for fund. This is also the marketable lot
PREFIXCERTCODE	Alphanumeric	1	Optional	-	Enter a character for this field. This will be used as prefix to the Certificate Number
STARTCERTISSUEDNO	Number	1	Optional	-	Enter a value for this field. This is just for information. For a new Fund, the Certificate Numbers will start from this number.
LASTCERTISSUEDNO	Number	5	Optional	-	Enter a value for this field. This is to specify that this was the last certificate number that has been issued so far and system needs to start from next number. For new funds, this could be zero. This is also equal to the Start Certificate Issue Number in the case of new Funds
PREFIXDNRCODE	Number	1	Optional	-	Enter a character for this field. This will be used as prefix to the Distinctive Number Record (DNR) Number. This information is only applicable if you have specified the number of decimals for units as zero, in the Number of Decimals for Units field in this screen.

Table 2-4 (Cont.) Fund Rules Upload Segment Formats - 01FDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
STARTDNRISSUEDNO	Alphanumeric	1	Optional	-	Enter a value for this field. This is just for information. For new funds, this will be same as last DNR issued number. This information is only applicable if you have specified the number of decimals for units as zero, in the Number of Decimals for Units field in this screen.
LASTDNRISSUEDNO	Number	5	Optional	-	Enter a value for this field. This is to specify that this was the last DNR number that has been issued so far and system needs to start from next number. For new funds, this could be zero. This is also equal to the Start Certificate Issue Number in the case of new Funds. This information is only applicable if you have specified the number of decimals for units as zero, in the Number of Decimals for Units field in this screen
SINGLECERTALLOWED	Number	1	Optional	Valid values are 0 and 1	This is to indicate whether a single certificate is needed for the transaction or multiple certificates are needed. Valid values are 0 and 1 indicating 'No' and 'Yes' option for Single certificate allowed.
MINCERTDENOMINATION	Number	5	Optional	-	Enter a value to specify the minimum certificate denomination. I.e., the next level of denomination after the standard lot. The system will use this after exhausting the maximum certificate denominations.
MAXCERTDENOMINATI ON	Number	5	Optional	-	Enter a value to specify the maximum certificate denomination. This is the first level of denomination used by the system.

Table 2-4 (Cont.) Fund Rules Upload Segment Formats - 01FDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
USEROVERRIDEALLO WED	Number	1	Optional	Valid values are '0' and '1'	Enter value as '1' if the user on the unit holder's request can override the default denominations defined. If the User override is allowed then, system will allow the teller to override the fund default denominations. This is true only for minimum and maximum denominations. The Standard lot cannot be changed. This is applicable only to scripbased funds.
CERTREQD	Number	1	Optional	Valid values are '0' and '1'	For scrip less funds, this value is to indicate that certificates must be issued to investors for block transactions in the fund.
MAXREGISTERED UNITS	Number	5	Optional	-	Capture the Maximum Units Registered Capital.
UHCATREGCAPITAL	VARCHAR2	2000	Optional	-	Tilde separated string with Inv Category and Max Reg Units. Eg : PB~100;CO~20 0

Serial Number: 4

Segment Identification Number: 03GOR

Description: This segment is used for providing General Operating rules information.

Segment Mandatory / Optional: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: No

Table 2-5 Fund Rules Upload Segment Formats - 03GOR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 03GOR	Standard value of 03GOR to uniquely identify General Operating Rules information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later

Table 2-5 (Cont.) Fund Rules Upload Segment Formats - 03GOR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which General operating rules information has to be uploaded.
RULEEFFECTIVEDATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which general operating rules information for the fund has to be maintained.
POSTPRICED	Number	1	Optional	Valid values are '0' and '1'	Enter '1' if the price for the fund will be announced Post Transactions. Else enter '0' if it is a prepriced fund
NEXTPRICEDECLAREDATE	Date	Date	Optional	-	Specify the next date upon which the fund price is to be declared. This date must be after the Rule Effective Date of the fund, and the current system date.
PRICEDECLAREFREQ	Alphanumeric	1	Optional	Valid values are 'D' for 'Daily; 'W' for 'Weekly'; 'F' or 'B' for 'Bi-weekly'; 'M' for 'Monthly; 'Q' for 'Quarterly' ; 'H' for 'Half-yearly' ; 'Y' for 'Yearly'.	This is used to indicate the frequency with which the price will be announced. If the fund is Postpriced Fund, you must specify this frequency as Daily.
NOOFDECIMALSFORNAV	Number	1	Optional	-	Indicate the maximum number of decimals that would be reckoned for rounding precision, for the NAV. You cannot indicate a precision higher than 6 decimal places.
ROUNDOFFTRUNCATE	Alphanumeric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the number of allotted units, designated for the fund.

Table 2-5 (Cont.) Fund Rules Upload Segment Formats - 03GOR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
MATURITYDATE	Date	Date	Optional	-	Indicate the maturity date for the fund. This is applicable only if the fund is closed-ended fund.
RETAINIPOBALANCE	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate if the IPO Balance of unit holders must to be stored for later use. '1' indicate maintenance of IPO balance of Unit holders and '0' indicates that it need not be maintained separately.
CONFBEFALCREQUIRED	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate that a rejection of a transaction as applicable, for all authorized transactions, prior to allocation. If this is not specified, then all authorized transactions will be taken up for allocation directly.
AUTOREDEMPTIONALLOWED	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate if Auto- matic Redemp- tion is to be allowed for the fund. This is applicable only for Open Ended funds. When the balance the unit holder falls below a defined minimum level, then the investor will be intimated about it and will be provided with some lead time to either increase the balance above the minimum level or redeem all his units. After the elapsed lead time, if the unit holder balance still lies below the defined minimum level, then the automatic redemption of those units will be done.
MINIMUMBALANCETYPE	Alphanumeric	1	Optional	Valid values are 'A' and 'U'	Enter the type of Minimum bal- ance (amount / units) to be maintained for Automatic Redemption.
MINIMUMBALANCE	Number	20	Optional	-	Enter the mini- mum balance (in amounts / units based on what balance type has been specified above) to be maintained for Automatic Redemption

Table 2-5 (Cont.) Fund Rules Upload Segment Formats - 03GOR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
ELAPSEDDAYSBEFOREAUTORED	Number	3	Optional	-	Enter the number of days from the date of intimation after which Automatic Redemption will be initiated. This is the lead time provided to the investor.
CHQALLOTONCLGDAT E	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate whether allocation must be done on the transaction date or on the check clearing date, using the price prevailing on that date, for transactions in which the payment mode is Check
AGEINGBASEDON	Alphanumeric	1	Optional	Valid values are 'F' for 'FIFO'; 'T' for 'Transaction Receipts' and 'P' for 'FIFO across products'	This is used to indicate if the unit holder has specified as to how transac- tions are to be ordered - FIFO, Tansaction receipts and FIFO across products.
MAINTAINTRADINGBOX	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate to the system that the trading functions must be enabled for the fund. This means that for the fund, all trading transactions and the relevant processing are allowed.
NAVLOT	Number	6	Optional	-	Specify the number of units for which the NAV quote is deemed to be applicable, for the fund.
CDSCFUND	Varchar2	2	Optional	Valid values : • LOCOM • Market Price • Not Applicable • CDSC Class B **Default** : Not Applicable 'L','M','N','B'	This is used to indicate that the Contingent Deferred Sales Charge (CDSC) is applicable for the transac- tions in this fund.
MINCHECKAMOUNT	Number	15	Optional	Maximum of 3 decimals possible	If check writing facility is allowed then the minimum check amount possible is indicated here.

Table 2-5 (Cont.) Fund Rules Upload Segment Formats - 03GOR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
MAXCHECKAMOUNT	Number	15	Optional	Maximum of 3 decimals possible	If check writing facility is allowed then the maximum check amount possible is indicated here.
MINCHECKHOLDINGPERIOD	Number	5	Optional	-	If check writing facility is allowed then the minimum holding period required is indicated here.
CHECKACCOUNTCLOSURE	Number	1	Optional	-	-
BALANCEPENALTY	Number	1	Optional	-	-
STOPPAYMENTPENALTY	Number	1	Optional	-	-
AMTLIMITPENALTY	Number	1	Optional	-	-
INTERESTRATE	Number	15	Optional	Maximum of 6 decimals possible	-
PERFORMANCEFEETHR ESHOLD	Number	5	Optional	Maximum of 2 decimals possible	-
FUTURELIMITORDER ALLOWE D	Number	1	Optional	Valid values are '0' and '1'	Indicates limit order based on Date
AUTOLIMITORDERPROCESS	Number	1	Optional	Valid values are '0' and '1'	If limit order is based on price, indicates whether automatic triggering of processing is required or not.
PRICINGRULE	Alphanumeric	1	Optional	Valid values are 'A' for After and 'P' for Prior	Rule to be applied for pricing
MinThreshHoldLimit	Number	5	Optional	Maximum of 2 decimals possible	Used for captur- ing Minimum Threshold Limit.
EUSDSCOPE	Alphanumeric	1	Optional	Valid values are ‘R’ for Redemption & Switch Out and ‘D’ for Dividend and ‘B’ for Both. Shown only for EUSD applica- ble segments.	Used to cater EUSD requirements.

Table 2-5 (Cont.) Fund Rules Upload Segment Formats - 03GOR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
AgeingDate	Alphanumeric	1	Optional	Valid values are 'T' for Transaction Date and 'C' for Confirmation date and 'D' for Dealing date.	Used to store Ageing basis value.
INVESTMENTSIMULATI ONMETHOD	Alphanumeric	1	Optional	Valid values are 'N' and 'H'	This indicates whether the Investment Simulation Method should be a Not Allowed or Historic Based NAV.
MINLOCKINPERIODFORGAIN	Number	4	Optional	Valid values are Greater than or equal to 0	-
MAXLOCKINPERIODFORGAIN	Number	4	Optional	Valid values are Greater than or equal to the Min Lockin Period	-
MINLOCKINPERIODF ORGAIN	Number	4	Optional	Valid values are Greater than or equal to the Min Lockin Period and Less than or equal to the Max Lockin Period.	-
AMOUNTBLOCKALLOWE D	Number	1	Optional	Valid values are 0 and 1	-
CALCULATEFEEINTXNCCY	Number	1	Optional	Valid values are 0 and 1	-
INCLUDEHOLDINGPERI OD	VARCHAR2	1	Optional	Valid Values are 0 and 1	-
BLOCKPRIORITYNO	Number	4	Optional	Block priority should not be a numeric value.	For amount block, the priority will be derived with this number and for amount unblock the priority would be reverse of this order.
DIVIDENDEQUALIZA TIONAPPLICABLE	Number	1	Optional	Valid Values are '0' (No) and '1' (Yes)	Dividend equalization applicable" flag should be set to '1' to support dividend / income equalization funds.

Serial Number: 5

Segment Identification Number: 04TPR

Description: This segment is used for providing Transaction processing rules information.

Segment Mandatory / Optional: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For each transaction type - there is a separate record)

Table 2-6 Fund Rules Upload Segment Formats - 04TPR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 04TPR	Standard value of 04TPR to uniquely identify Transaction processing information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Transaction processing information has to be uploaded
RULEEFFECTIVE DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which transaction processing information for the fund has to be maintained.
TRANSACTIONTYPE	Alphanumeric	2	Mandatory	Valid values are '01' for IPO; '02' for Subscription; '03' for Redemption; '04' for Switch from operation; '05' for transfers and so on upto '10'	Indicate the value for which the transaction type for which the rules are being set up

Table 2-6 (Cont.) Fund Rules Upload Segment Formats - 04TPR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
GROSSORNET	Alphanumeric	1	Optional	G' for Gross ; 'N' for Net and 'B' for Both	Opting for a gross or net mode in transaction processing is mode is only available for IPO, subscription or redemption transactions. For transactions with a gross processing mode, the value of the transaction, whether as an amount or a number of units, will be processed as a gross value from which any applicable charges will be deducted. For transactions with a net processing mode, the amount value of the transaction will be processed as a net value apart from the charges.
TRANSACTIONSTARTDATE	DATE	8	Optional	-	Enter a date to specify that the above mentioned transaction type will start from this date
TRANSACTIONENDDATE	DATE	8	Optional	-	Enter a date to specify that the above mentioned transaction type will end on this date
TRANSACTIONFREQUENCY	Alphanumeric	1	Optional	Valid values are 'D' for 'Daily; 'W' for 'Weekly'; 'F' or 'B' for 'Biweekly'; 'M' for 'Monthly; 'Q' for 'Quarterly' ; 'H' for 'Half-yearly' ; 'Y' for 'Yearly'	Enter a value to specify the frequency with which the transaction type will operate
TRANSACTIONSTARTPERIOD	NUMBER	1	Optional	-	Enter a value to specify the Start Period within the frequency mentioned above. Within a particular frequency, you have an option to restrict it to a particular period. For example if the transaction Frequency is WEEKLY, Start Period is 2 and End Period is 5. This means that the Fund is open for this transaction from second day till the fifth day of the week.

Table 2-6 (Cont.) Fund Rules Upload Segment Formats - 04TPR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
TRANSACTIONENDPERIOD	Number	1	Optional	-	The End period has to be within the Transaction Frequency i.e., it can take values up to 7 (weekly) and not greater than that.
DATE	DATE	-	Optional	-	Enter a time to specify the start time for a given working date. On a given day when the fund is open for this transaction, you can start entering transactions from this time.
MINTRANSACTIONAMOUNT	Number	15	Optional	Maximum of 3 decimals allowed	The minimum transaction amount allowed for this transaction type in this fund
STEPAMOUNT	Number	15	Optional	Maximum of 3 decimals allowed	Enter a value to specify the steps of amount in which a unit holder can invest or redeem. This will operate above the Minimum Transaction Amount.
MAXTRANSACTIONAMOUNT	Number	15	Optional	Maximum of 3 decimals allowed	The maximum transaction amount allowed for this transaction type in this fund
MINTRANSACTIONUNITS	Number	15	Optional	Maximum of 6 decimals allowed	The minimum transaction units allowed for this transaction type in this fund
STEPUNITS	Number	15	Optional	Maximum of 6 decimals allowed	The unit steps applicable for a unit holder to invest do any operation
MAXTRANSACTIONUNITS	Number	15	Optional	Maximum of 6 decimals allowed	The maximum transaction units allowed for this transaction type in this fund
ONLINEALLOCATION	Number	1	Optional	Valid values are '0' and '1'	This is to indicate if Online Allocation is to be allowed for the given transaction type. This can be applicable only if the fund is pre-priced. For Post Priced Fund with Allocation as Online, the Transaction Frequency is Allocation
ALLOCATIONPERVSREGCAPITAL	Number	1	Optional	Should be below 100	Specify the percentage up to which allocation can be allowed for a given registered amount on the day

Table 2-6 (Cont.) Fund Rules Upload Segment Formats - 04TPR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
ALLOCATIONPOLICY	Alphanumeric	1	Optional	-	Enter F for First In First Out or P Proportionate to specify the policy for allocation in case the maximum limit is reached for a given day. This policy is with respect to the allocation percentage that is allowed against the fund corpus.
NOOFTXNALLOWEDFORFREQ	Number	1	Optional	-	This is to specify the number of transactions allowed restriction within this frequency
NOOFTXNALLOWEDPERUH	Number	1	Optional	-	Enter a value to specify the number of transactions that are allowed for a unit holder. You can restrict the unit holder from operating more than the specified limit for transactions.
NOOFFREETXNALLOWEDPERUH	Number	1	Optional	-	Enter a value to specify the number of free transactions within the permitted transactions. This definition will override all loads that will be set for this transaction
ALLOWBACKDATING	Number	1	Optional	-	This is used to indicate whether backdating should be allowed for this fund. This means that on any given date, for this transaction type, the system will allow the user to enter transactions dated earlier to the current date
BACKDATINGLIMIT	Number	1	Optional	Valid values are '0' and '1'	This is applicable only if Allow Back Dating is True. This has to be later than the transaction start date. Fund Price as effective on that date will be taken into account during allocation.
FUNDTRANSACTIONCOUNTER	Number	1	Optional	-	Enter a value to specify the total number of transactions to be allowed in this transaction type.
ACKNOWLEDGEMENTREQUIRED	Number	1	Optional	-	This is to indicate if the Acknowledgment Slip is to be printed after every transaction. Otherwise this can be printed on receiving specific request from the User.

Table 2-6 (Cont.) Fund Rules Upload Segment Formats - 04TPR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
CONFIRMATIONREQUIRED	Number	1	Optional	Valid values '0' and '1'	This is to indicate whether confirmation Slip is to be printed after every transaction entry. Otherwise this can be user initiated after allocation of the transaction.
NOTICEPERIODDATEBASIS	Varchar2	1	Optional	-	-
NOTICEPERIOD	Number	3	Optional	-	-
BASEPRICEDEFINITION	Alphanumeric	1	Optional	Valid values are 'P' for Par Value and 'N' for declared NAV	This indicates the Base Price definition for the transaction. The Unit Price will be calculated based on this price and loads defined for this transaction.
BASEPRICEFACTOR	Number	1	Optional	-	This percentage will be applied to the price arrived from the above calculations to arrive at the Base Price for this transaction type for the fund.
NOOFDECIMALSFORBASEPRICE	Number	1	Optional	-	Indicate the maximum number of decimals that would be reckoned for rounding precision, for the base price. You cannot indicate a precision higher than 6 decimal places.
ROUNDOFFTRUNCATEBASEPRICE	Alphanumeric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the number of allotted units, designated for the fund.
TRANSACTIONENABLED	Number	1	Optional	-	This is used to indicate whether the transaction is enabled for the fund. It is possible to set up a temporary suspension of transactions, even if the transactions are open for the fund.
CROSSBRANCHALLOWED	Number	1	Optional	Valid values are 0 and 1	-
PRICELAG	Number	1	Optional	-	This cannot have negative value. This indicates the price date to be used for the transaction during allocation process

Table 2-6 (Cont.) Fund Rules Upload Segment Formats - 04TPR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
ALLOCATIONLAG	Number	1	Optional	-	Enter a value to specify when the transaction should be allocated if the transaction is 'T'. T + X , where 'X' will be days of Lag. Lag can only be positive.
CONFIRMATIONLAG	Number	1	Optional	-	Enter a value to specify when the redemption transaction should be picked for confirmation after allocation. T + X , where 'X' will be days of Lag. Lag can only be positive.
CHQALLOCONPARWITHCASH	Number	1	Optional	Valid values are '0' and '1'	This is used to specify that all check / Demand Draft transactions are to be treated on par with cash transactions. If this is true, then during allocation, the check / demand draft transactions will be taken for allocation for the same day. The units allocated will be provisional, and will be confirmed only after the check is cleared.
HOLDINGPERIODAPPLICABLE	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate whether a lock in period is applicable for the investors investing in the fund for the transaction type
RETAINAGEINGTOSUBSCRIPTION	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate that the aging principle must be applied to subscription transactions in the fund. Aging is the process of keeping track of a transaction from the transaction date right up to when it is cleared in full from the account of the unit holder. Depending upon the policy specified to be followed for aging, (i.e., either FIFO or proportionate), aging is applied.

Table 2-6 (Cont.) Fund Rules Upload Segment Formats - 04TPR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
CREDITCARDALLOCONPARWITHCASH	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate if all credit card transactions to be treated on par with cash transactions. If this is true then during allocation the credit card transactions will be taken for allocation for the same day. The units allocated will be provisional, and will be confirmed only after the credit card transaction is cleared.
LIMITREDEMPTIONMODE	Alphanumeric	1	Optional	Valid values are 'P' for Percent; 'U' for Units and 'A' for Amount	The mode based on which redemption will be limited
NATUREOFLIMIT	Alphanumeric	1	Optional	-	Indicates whether it is 'A' or 'U' or 'B'
TRANSFERALLOCONPARWITHCASH	Number	1	Optional	-	Mark this check box if you want all transfer transactions to be treated on par with cash transactions. If this is true then during allocation the transfer transactions will be taken for allocation for the same day. The units allocated will be provisional, and will be confirmed only after the bank transfers are cleared
MININITIALTXNAMOUNT	Number	15	Optional	Maximum of 3 decimals allowed	Minimum amount applicable for initial transaction
MININITIALTXNUNITS	Number	15	Optional	Maximum of 3 decimals allowed	Minimum units applicable for initial transaction
MINSITXNAMOUNT	Number	15	Optional	Maximum of 3 decimals allowed	Minimum amount applicable for Standing instructions
MAXSITXNAMOUNT	Number	15	Optional	Maximum of 3 decimals allowed	Maximum amount applicable for Standing instructions
MINSITXNUNITS	Number	15	Optional	Maximum of 3 decimals allowed	Minimum units applicable for Standing instructions
MAXSITXNUNITS	Number	15	Optional	Maximum of 3 decimals allowed	Maximum units applicable for Standing instructions

Table 2-6 (Cont.) Fund Rules Upload Segment Formats - 04TPR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
EXISTINGUH	Alphanumeric	2	Optional	Valid values are '0' and '1'	This is to indicate that the subscription transactions for the fund must only be available to existing customers, and not to new customers.
NOOFDECIMALSFORUNITPRICE	Number	1	Optional	-	Indicate the maximum number of decimals that would be reckoned for rounding precision, for the unit price. You cannot indicate a precision higher than 6 decimal places.
ROUNDOFFTRUNCATEUNITPRICE	Alphanumeric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the number of allotted units, designated for the fund.
ROUNDOFFTRUNCATEUNITS	Alphanumeric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the number of allotted units, designated for the fund.
PAYMENTLAG	Number	1	Optional	-	In the case of redemption transactions, specify a value to indicate the number of days by which the resulted redemption payouts would be lagged or deferred, after the redemption transaction has been allotted and confirmed. To arrive at the actual date of redemption payment, both the confirmation lag (if specified) and the payment lag (if specified here) are taken into consideration. For instance, if the redemption transaction was allocated on 15th January and both the confirmation lag and payment lag were specified as 2, then the actual redemption payout to the unit holder would be effected on 19th January (15 + 2+ 2), provided it is not a holiday in the system, and provided there are no intervening holidays.

Table 2-6 (Cont.) Fund Rules Upload Segment Formats - 04TPR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
TRANSACTIONCUTOFFTIME	Date	DATE	Optional	-	Specify the time, on a given working day before which a transaction of the selected type will be accepted with the transaction date being the current date. Beyond this time on a working day, the transaction date will be set by default to be the next working date.
ENFORCEHOLDINGPERIOD	Number	1	Optional	-	You can indicate that the minimum holding period specified for the fund in the Fund Demographics profile must be enforced as mandatory for the transaction type, by selecting this option.
APPLYENTRYTIMELOAD	Number	1	Optional	-	Applicable for Exit transactions
Pseudoswitchlag	Number	-	Optional	-	Used for capturing Pseudo Switch lag
AllowSystematicPlans	Number	1	Optional	-	-
MaxInitialTxnAmount	Number	15	Optional	Maximum of 3 decimals allowed	Used for capturing the maximum initial transaction amount.
MaxInitialTxnUnits	Number	15	Optional	Maximum of 6 decimals allowed	Used for capturing maximum initial transaction units.
Pseudoswitchlagcalendarbasis	AlphanumericCode	1	Optional	-	Specify whether the ps lag days should be considered based on the holiday calendar for the fund, the actual calendar or the System calendar.
FULLOUTFLOW	Number	1	Optional	Valid values are 0 and 1	-
MAXNOOFSITRANSACTIONS	Number	4	Optional	MaxNoofSITransactions value should be Greater than 0	-
MAXVALIDITY	Number	4	Optional	Maxvalidy value should be Greater than 0	-
SILEADTIME	Number	4	Optional	-	-
APPLICABLEACCOUNTTYPES	Alphanumeric	255	Optional	-	-

Table 2-6 (Cont.) Fund Rules Upload Segment Formats - 04TPR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
INFLOWOVERRIDABLEMSG	Number	1	Optional	Valid values are 0 and 1	-
MINMATURITYPERIOD	Number	4	Optional	Min.Maturity Period value sholud be greater than 0	-
MAXMATURITYPERIOD	Number	4	Optional	Max.Maturity Period value sholud be greater than 0 and greater than or equal to Min.Maturity Period	-
FUTUREDATELIMIT	Number	1	Optional	Valid values are positive integer	To facilitate users to input future dated transactions
UHCALENDARBASIS	Varchar2 (1)	1	Optional	Valid values are ‘T’ and ‘C’	-
NOOFDECIMALSFORUNITS	Number	1	Optional	Valid values are -1 to 9	-
CONSSIAUTOCOUNTER	Number	3	Optional	-	Used to capture consecutive payment rejections allowed for the SI
THRESHOLDAMOUNT	Number	(30, 12)	Optional	-	Threshold Amount

Serial Number: 6

Segment Identification Number: 05TCM

Description: This segment is used for providing Transaction processing communication mode information

Segment Mandatory / Optional: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For each transaction type there is a separate record)

Table 2-7 Fund Rules Upload Segment Formats - 05TCM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 05TCM	Standard value of 05TCM to uniquely identify Transaction processing communication mode information.

Table 2-7 (Cont.) Fund Rules Upload Segment Formats - 05TCM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to iden- tify imported records later.
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Transaction processing communication mode information has to be uploaded.
RULEEFFECTIVEDATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which transaction processing communication mode information for the fund has to be maintained.
TRANSACTIONTYPE	Alphanumeric	2	Mandatory	Valid values are '01' for IPO; '02' for Subscription; '03' for Redemption; '04' for Switch from operation; '05' for transfers and so on up to '10for Subscription; '03' for Redemption; '04' for Switch from operation; '05' for transfers and so on up to '10	Indicates the value for which the transaction type for which the rules are being set up
COMMUNICATIONMODE	Alphanumeric	2	Mandatory	-	Valid Communication mode code indicated as a part of Params Maintenance.
MINTRANSACTIONAMOUNT	Number	15	Optional	Decimal values up to maximum of 3 digits are allowed	Minimum transaction amount allowed for the transaction type and communication mode.

Table 2-7 (Cont.) Fund Rules Upload Segment Formats - 05TCM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
MAXTRANSACTIONAMOUNT	Number	15	Optional	Decimal values up to maximum of 3 digits are allowed	Maximum transaction amount allowed for the transaction type and communication mode.
MINTRANSACTIONUNITS	Number	15	Optional	Decimal values up to maximum of 6 digits are allowed	Minimum trans- action units allowed for the transaction type and communication mode.
MAXTRANSACTIONUNITS	Number	15	Optional	Decimal values up to maximum of 6 digits are allowed	Maximum trans- action units allowed for the transaction type and communication mode.
DISALLOWFIRSTTRANSACTION	Number	1	Optional	Valid values are 0 and 1	-

Serial Number: **7**

Segment Identification Number: 06INV

Description: This segment is used for providing Transaction processing investor category related information.

Segment Mandatory / Optional: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For each transaction type - there is a separate record)

Table 2-8 Fund Upload Segment Formats - 06INV

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 06INV	Standard value of 06INV to uniquely identify Transaction processing communication mode information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later

Table 2-8 (Cont.) Fund Upload Segment Formats - 06INV

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Transaction processing investor category information has to be uploaded
RULEEFFECTIVEDATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which transaction processing investor category information for the fund has to be maintained.
TRANSACTIONTYPE	Alphanumeric	2	Mandatory	Valid values are '01' for IPO; '02' for Subscription; '03' for Redemption; '04' for Switch from operation; '05' for transfers and so on up to '10'	Indicate the value for which the transaction type for which the rules are being set up
INVESTORCATEGORY	Alphanumeric	2	Mandatory	-	Valid Corporate and Individual categories defined as a part of Params Maintenance.
MINTRANSACTIONAMOUNT	Number	15	Optional	Decimal values up to maximum of 3 digits are allowed	Minimum transaction amount allowed for the transaction type and investor category.
STEPAMOUNT	Number	15	Optional	Decimal values up to maximum of 3 digits are allowed	-
MAXTRANSACTIONAMOUNT	Number	15	Optional	Decimal values up to maximum of 3 digits are allowed	Maximum transaction amount allowed for the transaction type and investor category.
MINTRANSACTIONUNITS	Number	15	Optional	Decimal values up to maximum of 6 digits are allowed	Minimum transaction unit allowed for the transaction type and investor category.

Table 2-8 (Cont.) Fund Upload Segment Formats - 06INV

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
STEPUNITS	Number	15	Optional	Decimal values up to maximum of 6 digits are allowed	-
MAXTRANSACTIONUNITS	Number	15	Optional	Decimal values up to maximum of 6 digits are allowed	Maximum transaction unit allowed for the transaction type and investor category.

Serial Number: **8**

Segment Identification Number: 07IDR

Description: This segment is used for providing Corporate Action related information

Segment Mandatory/Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For cash and Stock dividend information)

Table 2-9 Fund Rules Upload Segment Formats - 07IDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 07IDR	Standard value of 07IDR to uniquely identify Transaction processing communication mode information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Corporate action has to be uploaded
RULEEFFECTIVEDATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which corporate action for the fund has to be maintained.

Table 2-9 (Cont.) Fund Rules Upload Segment Formats - 07IDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
DIVIDENDDECLAREFREQ	Alphanumeric	1	Optional	Valid values are 'D' for 'Daily; 'W' for 'Weekly'; 'F' or 'B' for 'Biweekly'; 'M' for 'Monthly; 'Q' for 'Quarterly' ; 'H' for 'Half-yearly' ; 'Y' for 'Yearly'	Enter the frequency with which the dividend is to be declared
DIVIDENDPAYMENTFREQ	Alphanumeric	1	Optional	Valid values are 'D' for 'Daily; 'W' for 'Weekly'; 'F' or 'B' for 'Biweekly'; 'M' for 'Monthly; 'Q' for 'Quarterly' ; 'H' for 'Half-yearly' ; 'Y' for 'Yearly'	Enter the frequency with which the declared dividends are to be paid. As the system supports accumulation of dividends, the payment could be for any dividend that has been declared earlier. The Dividend Payment Frequency must not be less than Dividend Declaration Frequency.
REINVESTMENTALLOWED	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate if reinvestment is allowed for the fund.
TAXID	Alphanumeric	50	Optional	-	Enter the tax ID for the fund that is being set up.
BOOKCLOSINGLEADTIME	Number	5	Optional	-	Enter a leadtime in days
FREEZEHOLDINGLEADTIME	Number	5	Optional	-	This data is also in days and the Freeze Holding Date is the date on which the balances held by all unit holders in the fund will be consolidated
BOARDMEETINGLEADTIME	Number	5	Optional	-	Enter a leadtime in days. This is captured for information purposes only.
DIVIDENDPAYMENTLEADTIME	Number	5	Optional	-	The lead time entered is in days. Each of the lead times must be less than the Dividend Declaration Frequency. For example, if the Dividend Declaration Frequency is WEEKLY, the Board Meeting Lead Time cannot be 8 days.

Table 2-9 (Cont.) Fund Rules Upload Segment Formats - 07IDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
NOOFDECIMALSFORDIVIDEND	Number	5	Optional	-	Indicate the maximum number of decimals that would be reckoned for rounding precision, for the dividend. You cannot indicate a precision higher than 6 decimal places.
ROUNDOFFTRUNCATEDIVIDEND	Alphanumeric	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the dividend, designated for the fund.
TDSBASIS	Alphanumeric	1	Optional	D - Each Dividend ; U - Fiscal year	This is to indicate whether slab should be looked for every dividend payment separately or whether consolidated on a fiscal year basis.
EPUCOMPONENTS	Number	1	Optional	Valid values are '0' and '1'	This indicates the applicability of Earnings Per Unit and that the earnings per unit must be defined in terms of components, or as a single value. Setting up EPU components is not applicable for stock dividends
DEFAULTDISTRIBUTIONMODE	Alphanumeric	1	Optional	Valid values are 'T' - Payout-A/C Transfer; 'Q' - Payout-Cheque and 'R' - Full Reinvestment	If the Income Distribution Setup for the unit holder has not yet been specified, the fund level default Unit Holder Distribution Mode to be considered for the unit holder can be specified here. For stock dividends, the only possible option is 'Reinvestment' as others would not be applicable
EXCHANGERATEDATEBASIS	Alphanumeric	1	Optional	Valid values are 'P' - Payment Date; 'R' - Reference Date	Specify the basis upon which the exchange rate will be applied for dividend processing. The system provides two options: Reference Date and Payment Date.
RESTRICTIDS	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate that specific income distribution setups for unit holders may be overridden. For stock dividends this value should always be set.

Table 2-9 (Cont.) Fund Rules Upload Segment Formats - 07IDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
EPULOT	Number	6	Optional	-	Specify the number of units for which the EPU quote is deemed to be applicable, for the fund. Setting up EPU components is not applicable for stock dividends.
DIVLOOKAHEAD	Number	1	Optional	-	This is to indicate that in the event of intervening holidays, the system would obtain the EPU information and process dividends for the holiday period, ahead of the prior business day, for the fund.
CORPORATEACTIONTYPE	Alphanumeric	1	Mandatory	Valid values are 'C' for Cash and 'S' for Stock dividends	For cash dividend EPU should be mentioned whereas for Stock dividend, Parent: Resultant ratio should be mentioned
UNITSFORFREEZEHOLDINGS	Alphanumeric	1	Optional	Valid values 'A' for all units and 'C' for Confirmed units	Specify the units to be considered for dividend eligibility as on the freeze holdings date. You can specify that all units (including unconfirmed units) must be considered, or that only confirmed units are to be considered as on the freeze holdings date.
FIRSTDIVIDENDDECLAREDATE	Date	8	Optional	-	Specify the first date for dividend declaration, for the fund
SPECIALDIVIDEND	Number	1	Optional	-	This is to indicate that special dividends are applicable for the fund. This feature is only available if your installation has requested for it.
MOCKDIVIDEND	Number	1	Optional	-	Not applicable now

Table 2-9 (Cont.) Fund Rules Upload Segment Formats - 07IDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
HOLIDAYRULE	Alphanumeric	1	Optional	A' for After and 'P' for prior	Select the rule to be applied if the dividend processing date falls on a holiday. You can select either the PRIOR rule (the previous working day is considered) or the AFTER rule (the next working day is considered), for the dividend type. The holiday rule you select here will be applicable to all dividend- processing dates that have been arrived at using a frequency. They are not applicable to dates arrived at using lead times or lag periods.
PAYMENTLAG	Number	3	Optional	-	Specify a lag period (in days) for the payment of dividend. The lag value is interpreted in calendar days, and is used to arrive at the payment date for the dividend. The payment date is arrived at as follows: Dividend Payment Date = Dividend Declaration Date + Payment Lag
PAYDIVIDENDDURINGRED	Number	1	Optional	-	This is used to indicate that dividends may be paid during redemption.
REINVESTMENTPRICEBASIS	Alphanumeric	1	Optional	-	-
IRACOMPULSORYREINVEST	Number	1	Optional	-	Applicable only if IRA is applicable for the fund.
NPISUPPORT	Alphanumeric	1	Optional	-	-
NPIPAYMENT	Alphanumeric	3	Optional	-	-
NPIUHOVERRIDE	Alphanumeric	1	Optional	-	-
FIGUNSETTLEUNITS	Alphanumeric	1	Optional	-	-
MIMAMTTOBEPAIDOUT	Number	1	Optional	-	-
ACTION	Varchar2	1	Optional	Valid values are ‘L’ and ‘R’	-
OVERRATPAYTIME	Number	1	Optional	Valid values are 0 and 1	-
AUTOREINVFORUNCLCHQ	Number	1	Optional	Valid values are 0 and 1	-

Table 2-9 (Cont.) Fund Rules Upload Segment Formats - 07IDR

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
AUTOREINVFORUNCLCHQFZB	Varchar2	1	Optional	Valid values are 0 and 1	-
TRACKUNCLEAREDTXNS	Varchar2	1	Optional	Valid values are 0 and 1	-
TRACKBLOCKEDTXNS	Varchar2	1	Optional	Valid values are 0 and 1	-
PAYUNGENREINVESTMENT	Varchar2	1	Optional	Valid values are 0 and 1	-
TDSAPPLICABLEFORALLUH	Varchar2	1	Optional	Valid values are 0 (No) and 1(Yes)	TDS Applicable for all UH" flag could be set to '1' only if dividend equalization is applicable for the fund.

Serial Number: 9

Segment Identification Number: 08FSC

Description: This segment is used for providing Fund Sale Country information.

Segment Mandatory/Optional: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Table 2-10 Fund Rules Upload Segment Formats - 08FSC

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 08FSC	Standard value of 08FSC to uniquely identify Fund sale country information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund sale country information has to be uploaded

Table 2-10 (Cont.) Fund Rules Upload Segment Formats - 08FSC

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
RULEEFFECTIVE DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund sale country information for the fund has to be maintained.
COUNTRYCODE	Alphanumeric	3	-	Valid country code present in the system as a part of Domicile in Params maintenance	If no country code is mentioned and this field is left blank then it means that there is no restriction on the sale of the fund. However, if valid value is entered then the fund would be restricted for sale in those countries

Serial Number: 10

Segment Identification Number: 09FRL

Description: This segment is used for providing Fund residency list information.

Segment Mandatory/Optional: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Table 2-11 Fund Rules Upload Segment Formats - 09FRL

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 09FRL	Standard value of 09FRL to uniquely identify Fund residency list information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund residency list information has to be uploaded

Table 2-11 (Cont.) Fund Rules Upload Segment Formats - 09FRL

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
RULEEFFECTIVE DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund residency list information for the fund has to be maintained.
COUNTRYCODE	Alphanumeric	3	-	Valid country code present in the system as a part of Domicile in Params maintenance	If no country code is mentioned and this field is left blank then it means that there is no restriction on the investor residing in any domicile. However, if valid value is entered then the fund would be restricted for investors residing in that domicile.

Serial Number: 11

Segment Identification Number: 10FFM

Description: This segment is used for providing Fund formula information.

Segment Mandatory/Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Table 2-12 Fund Rules Upload Segment Formats - 10FFM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 10FFM	Standard value of 10FFM to uniquely identify Fund formula related information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund formula information has to be uploaded.

Table 2-12 (Cont.) Fund Rules Upload Segment Formats - 10FFM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
RULEEFFECTIVEDATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund formula information for the fund has to be maintained.
COMPONENTID	Alphanumeric	10	Mandatory	-	Valid components maintained in the system as a part of Params maintenance. This also depends on whether this is used for Fund price computation or not.
FUNDPRICECOMPUTATION	Alphanumeric	1	Optional	-	Indicates whether the data has to be used for Fund price computation or not. This value should remain the same for the fund and Rule effective date combination. In other words, for a fund and Rule effective date. we cannot have one component used for fund price computation and the other component not used for fund price computation.
FORMULA	Alphanumeric	255	Optional	-	-
DEFAULTVALUE	Number	15	Optional	Maximum of 6 decimals allowed	-
EXCLUDEFROMCAPITALGAINS	Number	1	Optional	-	-

Serial Number: 12

Segment Identification Number: 11FLM

Description: This segment is used for providing Fund load information.

Segment Mandatory/Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Table 2-13 Fund Rules Upload Segment Formats - 11FLM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 11FLM	Standard value of 11FLM to uniquely identify Fund load related information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund load information has to be uploaded
RULEEFFECTIVE DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund load information for the fund has to be maintained.
TRANSACTIONTYPE	Alphanumeric	2	Mandatory	Valid values are from '01' to '10' ranging from IPO to Re-issue	This is to indicate the transaction type for which the loads are being defined
LOADID	Number	5	Mandatory	Valid load ID defined in the system	Specify the name of the Load (fee/incentive) that must be applied for the selected Fund.
LOADTOPRICE	Number	-	Optional	Valid values are '0' and '1'	This is to indicate that this load is to be loaded to the base price
FROMENTITYTYPE	Alphanumeric	1	Mandatory	Valid values are· 'M' for AMC, 'A' for Agent, 'B' for Broker, 'D' for Distributor, 'F' for Fund, 'R' for Registrar, 'T' for Trustee, 'U' for Unit Holder, 'S' for Custodian	This is to indicate the entity type from which the load is applied

Table 2-13 (Cont.) Fund Rules Upload Segment Formats - 11FLM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
TOENTITYTYPE	Alphanumeric	1	Mandatory	Valid values are· 'M' for AMC, 'A' for Agent, 'B' for Broker, 'D' for Distributor, 'F' for Fund, 'R' for Registrar, 'T' for Trustee, 'U' for Unit Holder, 'S' for Custodian	This is to indicate the entity type to which the load is applied
PROCESSINGFREQ	Alphanumeric	1	Optional	Valid values are 'A', 'T' and 'P'	Specify the frequency at which this load is to be applied. Loads can be applied at any of three possible frequencies - Allocation time, Transaction time and Post allocation. (Refer the table marked 'PROCESSINGFREQ' at the end of this document)
GLACCOUNTCODE	Alphanumeric	10	Optional	-	When transaction information is exported to an external system, if separate books of accounts are to be posted for different loads, this code will be used to identify this load information in the export data.
DEBITORCREDIT	Alphanumeric	1	Optional	Valid values are 'D' and 'C'	When transaction information is exported to an external system, if separate books of accounts are to be posted for different loads, this code will be used to identify this load information in the export data.
CONTRAACCOUNTCODE	Alphanumeric	10	Optional	-	Specify the code that will be used to identify the second leg of accounting entries for any transaction, in the event of exporting of transaction information to an external system.
NOOFDECIMALSFORLOAD	Number	1	Optional	Valid values are 'R' for Round off; 'T' for Round Down and 'U' for Round up	Indicate the rounding options for the value of the load, designated for the fund.

Table 2-13 (Cont.) Fund Rules Upload Segment Formats - 11FLM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
ROUNDOFFTRUNCATEFORLOAD	Alphanumeric	1	Optional	-	Indicate the maximum number of decimals that would be reckoned for rounding precision, for the load. You cannot indicate a precision higher than 6 decimal places.
MANDATORY	Alphanumeric	1	Optional	Valid values are 'M' and 'O'	Select Mandatory or Optional to specify whether the load is mandatory or optional
PRIORITYNO	Number	-	Optional	For a mandatory load, the priority number is set to 0 and for an optional load the priority number should be unique i.e.. for a given Fund, no more than one load can have the same priority number.	Enter a value to specify the priority number in case of optional loads.
LOADVATTOPRICE	Number	-	Optional	Valid values are 1 and 0	-
APPLYRETVALON	Alphanumeric	1	Optional	Valid vales are 'G' - Capital Gains Gross; 'N' - Non-capital gains Net and 'C' - Capital gains and ‘P’ - Performance Based and ‘I’ – IOF and ‘D’ - Default IRRF and ‘O’ - Optional IRRF and ‘S’- CDSC	If the load is a Capital Gains Tax, and it is to be applied based on the gross amount after allocation, choose the Capital Gains – Gross option in this field, for such a load.
SENDFORGLINTERFACE	Number	1	Optional	Valid values are '0' and '1'	This is used to indicate that accounting entries due only to the selected load must be posted to the external asset management system, for the fund.

Table 2-13 (Cont.) Fund Rules Upload Segment Formats - 11FLM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
REFTYPE	Alphanumeric	2	Mandatory	Valid reference type as per the pre-shipped data in RefTypeTbl	When load needs to be mapped to a reference transaction type like Reinvestment and if the system supports the mapping of the Ref Type to any load, then such RefTypes can be indicated here.
DIFFERENTIALFEE	Number	1	Optional	Valid values are '0' and '1'	This is to indicate whether differential fee in the case of switch transactions for any fund is to be applicable
SLABBASIS	Alphanumeric	1	Optional	Valid values are 'G' for Gross and 'N' for Net 'W' – Weighted Average	This is to Indicate the basis on which the slabs will be reckoned for an amount-based load that you are designating as applicable for the fund
PRICEADDIN	Number	1	Optional	-	This is used to indicate the add-in factor that is to be applicable for computing the return value for the load, for the selected transaction type. The add-in factor is used to arrive at the return value for a load, at the time of allocation.
GROUPID	Alphanumeric	6	Optional	Valid Group Id present in the system	-
INDEXATIONONCGT	Alphanumeric	1	Optional	Valid values are 'Y' for Yes and 'N' for 'No'	-
LevelID	Number	-	Optional	-	Used for capturing the LevelID
DependentLevel	Number	-	Optional	-	Used for capturing Dependent level.

Serial Number: 13

Segment Identification Number: 12FTC

Description: This segment is used for providing Fund transaction currency information.

Segment Mandatory/Optional: Mandatory

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Table 2-14 Fund Rules Upload Segment Formats - 12FTC

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 12FTC	Standard value of 12FTC to uniquely identify Fund transaction currency information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund transaction currency information has to be uploaded
RULEEFFECTIVE DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund transaction currency information for the fund has to be maintained.
CURRENCYCODE	Alphanumeric	3	Optional	Valid currency maintained in the system	If no currency code is mentioned and this field is left blank then it means that there is no restriction on the currency used for transaction for this fund. However, if valid value is entered then the fund would be restricted for transaction in those currencies.

Serial Number: 14

Segment Identification Number: 13FAI

Description: This segment is used for providing Fund additional information.

Segment Mandatory/Optional: **Mandatory**

Multiple records allowed for the same Fund and Rule Effective Date: No

Table 2-15 Fund Rules Upload Segment Formats - 13FAI

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 13FAI	Standard value of 13FAI to uniquely identify Fund additional information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund additional information has to be uploaded
RULEEFFECTIVE DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund additional information for the fund has to be maintained.
OTHERINFO1	Alphanumeric	60	Optional	-	Additional information could be maintained in the system as a art of 'Add Info Maintenance'. Once this is done, for a specific AMC, the additional information can be mapped for the entity type Fund. Hence, as a part of Fund additional information maintenance, the values could be captured. Any such additional information can be captured using these fields
OTHERINFO2	Alphanumeric	60	Optional	-	-
OTHERINFO3	Alphanumeric	60	Optional	-	-
OTHERINFO4	Alphanumeric	60	Optional	-	-
OTHERINFO5	Alphanumeric	60	Optional	-	-
OTHERINFO6	Alphanumeric	60	Optional	-	-
OTHERINFO7	Alphanumeric	60	Optional	-	-
OTHERINFO8	Alphanumeric	60	Optional	-	-

Table 2-15 (Cont.) Fund Rules Upload Segment Formats - 13FAI

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
OTHERINFO9	Alphanumeric	60	Optional	-	-
OTHERINFO10	Alphanumeric	60	Optional	-	-
OTHERINFO11	Alphanumeric	60	Optional	-	-
OTHERINFO12	Alphanumeric	60	Optional	-	-
OTHERINFO13	Alphanumeric	60	Optional	-	-
OTHERINFO14	Alphanumeric	60	Optional	-	-
OTHERINFO15	Alphanumeric	60	Optional	-	-
OTHERINFO16	Alphanumeric	60	Optional	-	-
OTHERINFO17	Alphanumeric	60	Optional	-	-
OTHERINFO18	Alphanumeric	60	Optional	-	-
OTHERINFO19	Alphanumeric	60	Optional	-	-
OTHERINFO20	Alphanumeric	60	Optional	-	-
OTHERINFO21	Alphanumeric	60	Optional	-	-
OTHERINFO22	Alphanumeric	60	Optional	-	-
OTHERINFO23	Alphanumeric	60	Optional	-	-
OTHERINFO24	Alphanumeric	60	Optional	-	-
OTHERINFO25	Alphanumeric	60	Optional	--	-
OTHERINFO26	Alphanumeric	60	Optional	-	-
OTHERINFO27	Alphanumeric	60	Optional	-	-
OTHERINFO28	Alphanumeric	60	Optional	-	-
OTHERINFO29	Alphanumeric	60	Optional	-	-
OTHERINFO30	Alphanumeric	60	Optional	-	-

Serial Number: 15

Segment Identification Number: 14IRA

Description: This segment is used for providing Fund IRA information, if applicable.

Segment Mandatory/Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: No

Table 2-16 Fund Rules Upload Segment Formats - 14IRA

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 14IRA	Standard value of 14IRA to uniquely identify Fund IRA information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund IRA information has to be uploaded
RULEEFFECTIVEDATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which fund IRA information for the fund has to be maintained.
MININITIALINVESTMENTAMT	Number	15	Mandatory	-	Minimum initial investment amount
MAXINITIALINVESTMENTAMT	Number	15	Mandatory	-	Maximum initial investment amount
MININVESTMENTAMT	Number	15	Mandatory	-	Minimum investment amount
MAXINVESTMENTAMT	Number	15	Mandatory	-	Maximum investment amount
MINWITHDRAWALAMT	Number	15	Mandatory	-	Minimum Withdrawal amount
MAXWITHDRAWALAMT	Number	15	Mandatory	-	Maximum Withdrawal amount

Serial Number: 16

Segment Identification Number: 15FDI

Description: This segment is used for providing Fund delivery instruction information, if applicable

Segment Mandatory/Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: No

Table 2-17 Fund Rules Upload Segment Formats - 15FDI

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 15FDI	Standard value of 15FDI to uniquely identify Fund transaction currency information.
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later.
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund delivery instruction has to be uploaded.
RULEEFFECTIVE DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which Fund delivery instruction information for the fund has to be maintained.
REGISTEREDACCOUNT	Number	1	Mandatory	Valid values are '0' and '1'	Indicate as '1' if the respective delivery mode is applicable for the fund.
DELIVERYTOCUSTODIAN	Number	1	Mandatory	Valid values are '0' and '1'	-
DELIVERYTOCLG AG ENT	Number	1	Mandatory	Valid values are '0' and '1'	-
PHYSICALCERTDELIVERY	Number	1	Mandatory	Valid values are '0' and '1'	-
DEFAULTDELIVERY	Alphanumeric	2	Mandatory	Valid values are ‘RA’ for Registered account, ‘CU’ for Deliver to Custodian, ’CL’ for Deliver to Clearing Agent and ‘PD’ for Physical delivery	Should be based on allowed delivery modes

Table 2-17 (Cont.) Fund Rules Upload Segment Formats - 15FDI

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
CERTIFICATEDENOMINATIONS	Alphanumeric	200	Optional	This value should be specified with necessary field and record separator as shown below:- 10|0:100|1:500|0:1000|0 where the field separator between Denomination value and Default is '|' and the record separator is colon ':'	This is applicable only in case of scri- pless funds having Physical delivery as one of the delivery instruction.
PUEV	NUMBER	1	Optional	Valid values are 0 and 1	This is a new delivery option.

2.1.3 PROCESSINGFREQ (ref 11FLM, 12.10)

This topic explains the information about request processing for funds.

Allocation

This will mean that you will be charging the investor a fee or giving an incentive at the time of allocation of units. Allocation time loads cannot be designated for any transaction types other than IPO, subscription, redemption, and switch.

Transaction

The charge (fee) or incentive will be applied at the time the transaction request is accepted from the investor.

Post Allocation

This frequency is applicable typically to the Capital Gains Tax, which may be applied after allocation, on the gross amount.

Capital Gains

Tax may also be designated as an allocation time load.

Serial Number: 17

Segment Identification Number: 17KYC

Description: This segment is used for capturing the Document information, if applicable

Segment Mandatory/Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Table 2-18 PROCESSINGFREQ - 17KYC

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 17KYC	Standard value of 17KYC is to uniquely identify Fund document description.
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should iden- tify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund delivery instruction has to be uploaded.
RULEEFFECTIVE DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which Fund delivery instruction information for the fund has to be maintained.
DocumentDescription	Alphanumeric Code	255	Optional	Captured only if the KYCAML parameter is set to True.	Used for capturing the document description.

Serial Number: 18

Segment Identification Number: 18MLD

Description: This segment is used for capturing the information in multiple languages against the language codes.

Segment Mandatory/Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Table 2-19 PROCESSINGFREQ - 18MLD

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 18MLD	Standard value of 18MLD is to uniquely identify multiple languages.

Table 2-19 (Cont.) PROCESSINGFREQ - 18MLD

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later
FUNDID	Alphanumeric	6	Mandatory	-	The Fund ID is a unique Identification for each Fund and it indicates the fund for which Fund delivery instruction has to be uploaded
RULEEFFECTIVE DATE	Date	8	Mandatory	The Fund ID and Rule effective date combination should be the same as defined in 01FDR	The rule effective date for which Fund delivery instruction information for the fund has to be maintained.
LanguageCode	Alphanumeric	12	Optional	-	Used for capturing the information in multiple languages against the language code.
FundName	Alphanumeric	60	Optional	-	Enter the name of the fund for which the Fund Demographics profile is being set up in this record

Serial Number: 19

Segment Identification Number: 19FSI

Description: This segment is used for providing Fund Investment Account SI, if applicable.

Segment Mandatory/Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes

Table 2-20 PROCESSINGFREQ - 18MLD

Field Name	Type	Maximum Length	Mandatory / Optional?
MAXNOOFSITRANSACTIONS	Number	4	Optional
MAXVALIDITY	Number	4	Optional

Serial Number: 20

Segment Identification Number: 20HFP

Description: This segment is used for providing hedge fund parameters

Segment Mandatory/Optional: Optional

Table 2-21 PROCESSINGFREQ - 20HFP

Field Name	Type	Maximum Length	Mandatory/ Optional
SegmentID	VARCHAR2	20	Mandatory
ProcessID	VARCHAR2	50	Mandatory
FundID	VARCHAR2	6	Mandatory
RuleEffectiveDate	DATE	7	Mandatory
HedgeFundType	VARCHAR2	1	Mandatory
HedgeFundManager	VARCHAR2	12	Mandatory
TxnGenerationBasis	VARCHAR2	1	Optional
MasterFundUHID	VARCHAR2	12	Optional
NoticePeriodforRed	NUMBER	5	Optional
ComputationMethod	VARCHAR2	4	Optional
RuleGroupID	VARCHAR2	10	Optional
PerformancefeePer	NUMBER	3	Optional
AccrualFrequency	VARCHAR2	1	Optional
NextAccrualDate	DATE	7	Optional
PaymentFrequency	VARCHAR2	1	Optional
NextPaymentDate	DATE	7	Optional
HurdleRateApplicable	VARCHAR2	1	Optional
HurdleType	VARCHAR2	1	Optional
ResetHurdle	VARCHAR2	1	Optional
HurdleRateType	VARCHAR2	1	Optional
CalculationMethod	VARCHAR2	1	Optional
DayBasis	VARCHAR2	1	Optional
RateCode	VARCHAR2	15	Optional
RateBasis	VARCHAR2	1	Optional
Rate	NUMBER	20	Optional

Serial Number: 21

Segment Identification Number: 21HFL

Description: This segment is used for providing hedge fund linkage details.

Segment Mandatory/Optional: Optional

Table 2-22 PROCESSINGFREQ - 21HFL

Field Name	Type	Maximum Length	Mandatory/ Optional
SegmentID	VARCHAR2	20	Mandatory
ProcessID	VARCHAR2	50	Mandatory
FundID	VARCHAR2	6	Mandatory
RuleEffectiveDate	DATE	7	Mandatory
LinkFundID	VARCHAR2	6	Mandatory
Percentage	NUMBER	3	Optional
Unit holder ID	VARCHAR2	12	Optional

Serial Number: 22

Segment Identification Number: 21HFD

Description: This segment is used for providing investor category details for hedge funds.

Segment Mandatory/Optional: Optional

Table 2-23 PROCESSINGFREQ - 21HFD

Field Name	Type	Maximum Length	Mandatory/ Optional
SegmentID	VARCHAR2	20	Mandatory
ProcessID	VARCHAR2	50	Mandatory
FundID	VARCHAR2	6	Mandatory
RuleEffectiveDate	DATE	7	Mandatory
InvestorCategory	VARCHAR2	25	Mandatory
PerformanceFeeRate	NUMBER	20	Mandatory

2.1.4 Fund Related Information Segments Formats

This topic explains the information about the fund related information segments formats.

Serial Number: 1

Segment Identification Number: 00GEN

Description: This segment is used for providing information that is common to the other fund related rules that need to be uploaded in the system.

Segment Mandatory/Optional: Mandatory and will be the driving header segment for all the other segments.

Multiple records allowed for the same Fund and Rule Effective Date: No

Table 2-24 Fund Related Information Segments Formats - 00GEN

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
PROCESSID	Alphanumeric	50	Mandatory	-	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later.
BULKCLIENTCODE	Alphanumeric	12	Mandatory	-	Valid authorized, enabled Bulk client codes maintained in the system as a part of Entity maintenance.
IMPORTFILENAME	Alphanumeric	255	Mandatory	-	This is the name of the file being uploaded into the FCIS system.

Table 2-24 (Cont.) Fund Related Information Segments Formats - 00GEN

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
AMCID	Alphanumeric	12	Mandatory	-	Valid authorized, enabled AMC maintained in the system as a part of Entity maintenance. The fund rule upload defaulting would be based on both AMCID and the Bulk client code.
USERID	Alphanumeric	320	Mandatory	-	The fund rule MakerID would have the value of UserID as a part of data storage in all the fund rule tables.
UPLOADMODE	Alphanumeric	1	Mandatory	Valid values are 'N' and 'I'	Should be 'N' for New fund rule. And 'I' for Amending an existing authorized fund rule.
GENERATEAUTHFUNDRULE	Alphanumeric	1	Optional	Valid values are 'A' and 'U'	This indicates whether the fund rule uploaded should be a authorized fund rule or unauthorized fund rule.

Serial Number: 2

Segment Identification Number: 01FEM

Description: This segment is used for providing Fund entity related information.

Segment Mandatory / Optional: Optional.

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For various entity types and entity IDs)

Table 2-25 Fund Related Information Segments Formats - 01FEM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 01FEM	Standard value of 01FEM to uniquely identify Fund entity information
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later

Table 2-25 (Cont.) Fund Related Information Segments Formats - 01FEM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
FUNDID	Alphanumeric	6	Mandatory	Depending upon the scheme cross reference maintained at the Bulk client defaults - this field value could either be FundID, Fund Identification number or Fund ticker symbol.	The Fund ID is a unique Identification for each Fund in the system. Enter the fund for which a Fund entity mapping needs to be maintained.
ENTITYTYPE	Alphanumeric	2	Optional	Valid values are - 'A' for Agent; 'Q' for Check Vendor;' U' for Investor, 'L' for Clearing Agent; 'IC' for Individual customer category and 'CC' for Corporate customer category	The entity type for which the fund is mapped. This helps us to understand whether it is a Fund-Agent mapping, Fund-Check Vendor mapping, Fund-Clearing Agent mapping, Fund-Investor mapping, Fund-Individual category mapping or Fund - Corporate category mapping
ENTITYID	Alphanumeric	12	Optional	Valid entity ID maintained in the system as a part of Single entity maintenance for the respective entity type.	The Entity ID which belongs to the entity type specified
DISABLE	Number	1	Optional	Valid values are '0' and '1'	This is to indicate whether the definition should be disabled or enabled.
RESTRICTIVELIST	Number	1	Optional	Valid values are '0' and '1'	Indicates whether the mapping is a positive list or negative list. The value of '1' indicates that it is a negative list and vice versa.

Table 2-25 (Cont.) Fund Related Information Segments Formats - 01FEM

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
DEFAULTOPTION	Alphanumeric	15	Optional	Valid values are null, 'BULKCLIENTCODE' or any valid Fund Id available in the system.	If the default option is null, then all values provided by the user for entity type, entity id and Restrictive list should be used. * If the value 'BULKCLIENTCODE' is mentioned, then default the information based on the bulk client code mentioned as a part of header segment. * If any other Fund ID value is mentioned, then default values based on the latest available record for the Fund mentioned.
UPLOADMODE	Alphanumeric	1	Optional	Valid values are null, 'N' and 'I'. This is to override the value mentioned as a part of header segment.	Should be 'N' for New fund rule. And 'I' for Incremental for Amending an existing authorized fund rule.
GENERATEAUTHFUNDRULE	Alphanumeric	1	Optional	Valid values are null, 'A' and 'U'. This is to override the value mentioned as a part of header segment.	This indicates whether the fund rule uploaded should be a authorized fund rule or unauthorized fund rule.

Serial Number: 3

Segment Identification Number: 02FAB

Description: This segment is used for providing Fund agency branch back-dated related information.

Segment Mandatory/Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes (For various transaction types)

Table 2-26 Fund Related Information Segments Formats - 02FAB

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 02FAB	Standard value of 02FAB to uniquely identify Fund agency branch back-dating limit information.
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later.
FUNDID	Alphanumeric	6	Mandatory	Depending upon the scheme cross reference maintained at the Bulk client defaults this field value could either be FundID, Fund Identification number or Fund ticker symbol.	The Fund ID is a unique Identification for each Fund in the system. Enter the fund for which a Fund agency branch backdated limit needs to be maintained.
AGENTCODE	Alphanumeric	12	Optional	Valid agent as a part of entity maintenance	Valid entity id in the system for which entity type is 'A'.
AGENCYBRANCHCODE	Alphanumeric	12	Optional	Valid agency branch as a part of entity maintenance	Valid entity id in the system for which the parent code is Agent code mentioned in the previous column.
TRANSACTIONTYPE	Alphanumeric	2	Optional	Valid values are '01' for IPO; '02' for Subscription; '03' for Redemption and so on.	Transaction type for which backdating limit is maintained. Valid values are '01' to '10' ranging from IPO to Reissue.
BACKDATINGPERIOD	Number	-	Optional	Back dating limit in days	-
DISABLE	Number	1	Optional	Valid values are '0' and '1'	This is to indicate whether the definition should be disabled or enabled.

Table 2-26 (Cont.) Fund Related Information Segments Formats - 02FAB

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
DEFAULTOPTION	Alphanumeric	15	Optional	Valid values are null, 'BULKCLIENTCODE' or any valid Fund Id available in the system.	* If the default option is null, then all values provided by the user for entity type, entity id and Restrictive list should be used. * If the value 'BULCLIENTCODE' is mentioned, then default values based on the latest available record for the Fund mentioned.
UPLOADMODE	Alphanumeric	1	Optional	Valid values are null, 'N' and 'I'. This is to override the value mentioned as a part of header segment.	Should be 'N' for New fund rule. And 'I' for Incremental for Amending an existing authorized fund rule.
GENERATEAUTHFUNDRULE	Alphanumeric	1	Optional	Valid values are null, 'A' and 'U'. This is to override the value mentioned as a part of header segment.	This indicates whether the fund rule uploaded should be an authorized fund rule or unauthorized fund rule.

Serial Number: 4

Segment Identification Number: 03FBC

Description: This segment is used for providing Fund based calendar information.

Segment Mandatory/Optional: Optional

Multiple records allowed for the same Fund and Rule Effective Date: Yes (for various years)

Table 2-27 Fund Related Information Segments Formats - 03FBC

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
SEGMENTID	Alphanumeric	20	Mandatory	Default value 03FBC	Standard value of 03FBC to uniquely identify Fund agency branch backdating limit information.

Table 2-27 (Cont.) Fund Related Information Segments Formats - 03FBC

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
PROCESSID	Alphanumeric	50	Mandatory	Same value as in 00GEN - ProcessID	This should identify the upload uniquely. This should also be unique within a file and it allows to identify imported records later.
FUNDID	Alphanumeric	6	Mandatory	Depending upon the scheme cross reference maintained at the Bulk client defaults this field value could either be FundID, Fund Identification number or Fund ticker symbol.	The Fund ID is a unique Identification for each Fund in the system. Enter the fund for which a Fund agency branch backdated limit needs to be maintained.
YEAR	Number	4	Optional	-	The year for which the calendar need to be maintained for the fund.
DEFAULTOPTION	Alphanumeric	15	Optional	Valid values are null, 'BULKCLIENTCODE' or any valid Fund Id available in the system.	* If the default option is null, then all values provided by the user for entity type, entity id and Restrictive list should be used. * If the value 'BULKCLIENTCODE' is mentioned, then default the information based on the bulk client code mentioned as a part of header segment. * If any other Fund ID value is mentioned, then default values based on the latest available record for the Fund mentioned.
UPLOADMODE	Alphanumeric	1	Optional	Valid values are null, 'N' and 'I'. This is to override the value mentioned as a part of header segment.	Should be 'N' for New fund rule. And 'I' for Incremental for Amending an existing authorized fund rule.

Table 2-27 (Cont.) Fund Related Information Segments Formats - 03FBC

Field Name	Type	Maximum Length	Mandatory/ Optional	Characteristics/ Validations applicable	Description
GENERATEAUTHFUNDRULE	Alphanumeric	1	Optional	Valid values are null, 'A' and 'U'. This is to override the value mentioned as a part of header segment.	This indicates whether the fund rule uploaded should be a authorized fund rule or unauthorized fund rule.

2.1.5 EPU Upload File Format

This topic explains the information about the details of EPU Upload File Format.

EPU upload is specific to OMSTA, as the assumption is that OMSTA maintains the following components and those component names are hard-coded in DIVIDENDEPUIMPTBL:

Table 2-28 EPU Upload File Format

Field Name	Data Type	Remarks
Fund Id	Char (3)	The fund id in FCIS.
Distribution Type	Char (1)	This field will be ignored and the dividend type in FCIS will be treated as cash dividend.
Gross Or Net Distribu- tion	Char (1)	This field will be ignored.
Declaration Date	Date	The interface will verify if there is any generated cash dividend record for this date, and will update that record with the required EPU.
Payment Date/Reinvestment Date	Date	This field will be ignored by the interface.
Gross Amount	Float	This will be a dividend component. Component code ‘GA’.
Dividend Amount	Float	This will be a dividend component. Component code ‘DA’.
Dividend Amount Foreign	Float	This will be a dividend component. Component code ‘AF’.
Dividend Amount Foreign Withholding Tax	Float	This will be a dividend component. Component code ‘WF’.
Dividend Amount Foreign Exempt	Float	This will be a dividend component. Component code ‘EF’.
Interest Amount	Float	This will be a dividend component. Component code ‘IA’.
Interest Amount Local	Float	This will be a dividend component. Component code ‘IL’.
Interest Amount Foreign	Float	This will be a dividend component. Component code ‘IF’.
Interest Amount Foreign Withholding Tax	Float	This will be a dividend component. Component code ‘TF’.

Table 2-28 (Cont.) EPU Upload File Format

Field Name	Data Type	Remarks
NPI Amount	Float	This will be a dividend component. Component code ‘NP’.
Interest Withheld Tax Amount	Float	This will be a dividend component. Component code ‘IT’.
Property Dividend Amount	Float	This will be a dividend component. Component code ‘PD’.

2.1.6 Tax Aggregation Interface Format

This topic explains the information on Tax Aggregation Interface Format.

The formats for export and import of files for transfer of tax information are given below. This is applicable to annuity taxes only.

The Record Specifications for the export file containing tax is as follows:

Table 2-29 Record Specifications for the Export File

Field Name	Description	Data Type	Remarks
Tax Year	The tax year applicable –format – ddmmccyy	Number (8)	This will be set to the last day of the fiscal year in he required format.
LOB	Line of Business code – ‘GAL’	String (3)	Will be set as ‘GAL’.
Agreement_Code	Contract number	Char (12)	Policy number
Product_Code	Product Code	Char (10)	Product code.
Sequence	Numeric Count	Number (4)	Record number, incremented sequentially.
Owner_Client_Id	Client Number or owner of the contract	Char (9)	The unitholder id.
Title	Null	Char (12)	This will be set to null.
Initials	Null	Char (15)	This will be set to null.
Surname	Null	Char (64)	This will be set to null.
IDNumber	Null	Char (15)	This will be set to null.
IDType	Null	Char (2)	This will be set to null.
DOB	Nulls	Char (10)	This will be set to null.
ClientType	‘N’ for naturals	Char (1)	This will be set to null.
Tax_Number	Nulls	Char (12)	This will be set to null.
TRUSTNUMBER	Nulls	Char (12)	This will be set to null.
AnnuType	‘C’ for compulsory, ‘V’ for voluntary	Char (1)	This will be set to ‘C’.
GN18	‘Y’ or ‘N’	Char (1)	This will be set to ‘N’.
Fund Name	The pension Fund Name	Char (70)	Fund Name
Frequency	Frequency of the annuity income	Char (1)	The frequency of the annuity.

Table 2-29 (Cont.) Record Specifications for the Export File

Field Name	Description	Data Type	Remarks
Payments_left	Number of payments left within the tax year	Number (2)	The remaining number of annuities to be executed.
TaxEarnings_YTD	The taxable earning to date for the tax year.	Number (8,2)	The total annuity till date, including the tax already applied.
TaxDeducted_YTD	Tax deducted for the tax year	Number (8,2)	The tax deducted for the current fiscal year.
TaxEarnings	Taxable earnings for this annuity income	Number (8,2)	Taxable earnings for this annuity income
TaxDeducted	Tax to be deducted for that annuity income	Number (8,2)	Tax to be deducted for that annuity income
SystemId	The system ID as defined by the tax aggregation module.	Char (10)	This will be set to ‘FCIS’.
Scheme	Nulls	Char (30)	This will be set to null.

The control record for the export of information relating to tax has the following format:

Table 2-30 Control Record for the Export of Information

Field Name	Description	Data Type	Remarks
Record	‘CONTROL’	Char (7)	This field will be set as ‘CONTROL’
SystemId	The system ID as defined by the tax aggregation module.	Char (10)	Will be set as ‘FCIS’.
Entries	Numberof entries on the file	Number (8)	The number of entries in the file.
TaxEarnings_YTD	The taxable earning to date for the tax year.	Number (13,2)	Thesum of all the TaxEarnings_YTD in the file.
TaxDeducted_YTD	The tax deducted for that tax year.	Number (13,2)	Thesum of all the TaxDeducted_YTD in the file.
TaxEarnings	The taxable earnings for this annuity income	Number (13,2)	The sum of all the TaxEarn- ings in the file.
TaxDeducted	The tax to be deducted for that annuity income.	Number (13,2)	The sum of all the TaxDe- ducted in the file.
Tax_Recalc	Thetax amount that should be deducted	Number (13,2)	This will be set to null.
Tax_Differ	The difference between what should be deducted and what was calculated by the product admin system.	Number (13,2)	This will be set to null.
Monthly_Adjust	Not applicable	-	This will be set to null.

The Record Specifications for the import of tax aggregation information into FCIS is as indicated below. The import will only log the data in the designated tables of FCIS. There is no processing carried out on the data imported.

Table 2-31 Tax Aggregation Interface Format - Record Specifications for the import of tax aggregation

Field Name	Description	Data Type	Remarks
GCS_CLNO	The group client id	Char (20)	This field will be ignored
TaxYear	The tax year applicable in dd/ mm/ccyy format	Char (8)	-
TaxMonth	Month of the year	Char (2)	-
PARM	ProductCode	Char (8)	-
Agreement_Code	Contract Number	Char (12)	The policy number
Sequence	Numeric Count	Char (4)	This fiekld will be ignored
LOB	Line of Business – 'GAL'	Char (3)	This field will be ignored
Owner_Client_Id	Client Number or Owner of the contract	Char (9)	The unitholder id in FCIS
Tax_Number	Nulls	Char (12)	-
TrustNumber	Nulls	Char (16)	-
AnnuType	'C' for compulsory, 'V' for voluntary	Char (1)	-
GN18	'Y' or 'N'	Char (1)	-
FundName	Nulls	Char (30)	-
Frequency	Frequency of the annuity income	Char (1)	-
Payments_Left	No of payments left within the tax year	Char (2)	-
TaxEarnings_YTD	The taxable earning to date for the tax year	Char (16)	-
TAXDEDUCTED_YTD	The tax deducted for that tax year	Char (16)	-
TaxEarnings	Taxable earnings for this annuity income	Char (16)	-
TaxDeducted	Tax to be deducted for that annuity income	Char (16)	-
Scheme	Nulls	Char (10)	-
Tax_Recalc	Tax amount that should be deducted	Char (16)	-
Tax_Deffer	The difference between what should be deducted and what was calculated by the product admin system	Char (16)	-
Monthly_Adjust	Not applicable	Char (16)	-
SystemId	The system ID as defined by the tax aggregation module	Char (10)	-
Tax_Remuneration	The total taxable income across the Old Mutual group	Char (16)	-

The Control Record for the import of information pertaining to tax aggregation should be in the following format:

Table 2-32 Tax Aggregation - Control Record for the Import of Information

Field Name	Description	Data Type	Remarks
Record	CONTROL	Char (7)	This field will be ignored
SystemId	The system ID as defined by the tax aggregation module	Char (10)	This field will be ignored
Entries	Number of entries on the file	Number (8)	This field will be ignored
TaxEarnings_YTD	The taxable earning to date for the tax year	Number (13,2)	This field will be ignored
TaxDeducted_YTD	The tax deducted for that tax year	Number (13,2)	This field will be ignored
TaxEarnings	The taxable earnings for this annuity income	Number (13,2)	This field will be ignored
TaxDeducted	The tax to be deducted for that annuity income	Number (13,2)	This field will be ignored
Tax_Recalc	The tax amount that should be deducted	Number (13,2)	This field will be ignored
Tax_Differ	The difference between what should be deducted and what was calculated by the product admin system	Number (13,2)	This field will be ignored
Monthly_Adjust	Not applicable	-	This will be set to null

2.1.7 Agent Reference File

This topic explains the information on Agent Reference File.

The files uploaded using the Agent Reference File have to be imported and the broker details updated. They should have the following format:

Table 2-33 Agent Reference File

Field Name	Format	Comments	Remarks/ Mapping
Intermediary or Broker Code	Char 1	Intermediary Code and No	Broker Code
Broker Category	Char 10	Corporate/ Individual	Broker Category
Broker Name	Char 50	Individual name or Corporate name	Broker Name
Broker Type	Char	For example, Platinum, Gold, Silver	Broker Type
Parent Broker	Char	Channel – For example, PFA, BD	Parent Broker Code
Active Flag	Char	Y/N	Broker Enabled
Date Effective	Date	-	Rule effective date

- Control Record Upload
  This topic explains the information on Control Record Upload.

2.1.7.1 Control Record Upload

This topic explains the information on Control Record Upload.

The sum of the transaction amount for each day is recorded in the Control Record. The file upload should have the following format:

Table 2-34 Control Record Upload

Field Name	Description	Data Type	OMUT Value	Galaxy Value
RECORD_ID	Indicates it is the trailer record	Char (50)	All 9s	All 9s
GROUP_ID	Unique Group Number to distinguish import data within a source	Char (15)	Source file id & run number	Source file id & run number
TOTAL_AMT_ DR	Total monetary amount of debits on the file	Char (15)	Format: 999999999999.9 9'	Format: 999999999999.9 9'
TOTAL_CNT_ DR	Total count of debits on the file	Char (8)	Format: 99999999	Format: 99999999
TOTAL_AMT_ CR	Total monetary amount of credits on the file	Char (15)	Format: 999999999999.9 9'	Format: 999999999999.9 9'
TOTAL_CNT_ CR	Total count of credits on the file	Char (8)	Format: 99999999	Format: 99999999

2.1.8 Global Order Placement Interface

This topic explains the information on Global Order Placement Interface.

Files uploaded using the Global Order Placement should have the following format:

Table 2-35 Global Order Placement Interface

Field Name	Calculation	Disinvestments	Investments
Transaction Date	N/A	Transaction Date	Transaction Date
Asset Code	For each Product-Fund combination, an asset code would be maintained	Yes	Yes
Fund Name	N/A	Yes	Yes
Product Name	N/A	Yes	Yes
Net Investment Amount (Amount with +ve sign)/ Disinvestment Amount(Amount with -ve sign)	DATE	0	Yes
Disinvestment Units	N/A	Yes	0

Table 2-35 (Cont.) Global Order Placement Interface

Field Name	Calculation	Disinvestments	Investments
Manco Initial Unit Trust Fee	N/A	0	Yes
Transaction Type Name	Transaction Descriptions as available in the system	Yes	Yes
Internal Reference Number	Running serial per batch	Yes	Yes

2.1.9 GL Setup Upload Interface Format

This topic explains the information on GL Setup Upload Interface Format.

Files uploaded using the GL Setup Upload should have the following format:

Table 2-36 GL Setup Upload Interface Format

Field Name	Data Type	Details
Fund Id	Character (6)	-
GL Template Id	Character (10)	The template Id of the GL set up. A dummy value to be provided for this
Account type	Character (2)	The account type of the GL set up
Business Event	Character (2)	One of the valid codes for business events
Trigger Event	Character (15)	The triggering event. One of the valid enumerations for the triggering event
Theoretical Flag	Character (1)	Y: Theoretical N: Actual
Setup Enabled	Character (1)	Y: Enabled N: Disabled
Component Id	Character (20)	One of the valid codes for business events
Component Description	Character (255)	Description of the component code
Debit credit Flag	Character (2)	Dr: Debit Cr: Credit
GL set up for primary	Character (1)	C: CASA G:GL S:SAP P:Payment
Primary Entity Type	Character (1)	The primary entity type of the GL set up: • U: Uniholder • D: Distributor • M: AMC • F: Fund
Account Number	Character (16)	-
AccountCurrency	Character (3)	-
Transaction Currency	Character (3)	-
Bank branch	Character (12)	-
Direct DebitApplicable	Character (1)	• 1: Applicable • 0: Not applicable
GL setup for Contra	Character (1)	• C: CASA • G: GL • S: SAP • P: Payment

Table 2-36 (Cont.) GL Setup Upload Interface Format

Field Name	Data Type	Details
Contra Primary Entity Type	Character (1)	U: Uniholder D: Distributor M: AMC F: Fund
Contra Account Number	Character (16)	-
Contra Account Currency	Character (3)	-
Contra Transaction Currency	Character (3)	-
Contra Bank branch	Character (12)	-
Contra Direct DebitApplicable	Character (1)	• 1: Applicable • 0: Not applicable
Restricted reference types	Character (255)	A semicolon separated list of the restricted reference types
GL Currency	Character (3)	-
GL reference number	Character (10)	-
Product Id	Character (10)	-
Product or Fund Level	Character (1)	• F: Fund Level • P: Product level
Mode of upload	Character (1)	• N: new mode • A: Amend mode

2.1.10 Interface to Check for Transaction Unrelated to GL Extraction

This topic explains the information on Interface to Check for Transaction Unrelated to GL Extraction.

Table 2-37 Interface to Check for Transaction Unrelated to GL Extraction

Field Name	Data Type	Details
IFaceRefNo	Character (25)	The reference number of the entry in the AMInterfacemasterTbl
TransactionType	Character (2)	The transaction type of the entry
Component	Character (20)	The component code of the entry
TransactionNumber	Character (16)	The transaction number of the missing entry
Valuedate	Date	The date on which the entry was expected to be passed

2.1.11 File Format for OMIPAY FILE INTERFACE

This topic explains the information on File Format for OMIPAY FILE INTERFACE.

The interface is capable of exporting the details in two separate formats. These are:

- The detailed format includes all the records of the day, for every individual broker.

- The Header record for OMIPAY contains the sum of all the brokers' Commission accounts which have been listed in the Detailed Record.

The system extracts commission details for upfront and trailer (periodic load) commission.

2.1.12 File format for Header Record

This topic explains the information on File format for Header Record.

The File format for the Header Record is as follows:

Table 2-38 File format for Header Record

Field Name	Data Type	Details
Record Category	Number	It is displayed as 00
Trigger System	Character	Ensures the entries which require to be entered for calculation of Commission
Product Provider	Character	The code for which the file is processed to calculate commission. For example Unit Trust 'UT'
Cycle Number	Number	The number of the cycle for which the export action is being processed
Interface Date	Number	The date of generation of the interface file
Number Of Transaction Records (01 Category)	Number	Total Number of transaction records on input file
Commission Total	Number	The total value of Premium amount on the Input File
Commission Allowance Total	Number	Value of Commission Allowance amounts on the Input File
Fund Value Total	Number	The total value of Fund Value amounts on the Input File
Current Potential Commission	Number	Applicable to recurring business only
Future Potential Commission	Number	Applicable to recurring business only
Numeric Field 11	Number	Provide preceding zeros as 0000000

2.1.13 File format for Detailed Record

This topic explains the information on File format for Detailed Record.

The Detail Record File format is the record of all the Broker details, involved in all the transactions for that day, taken individually. The file containing the Detailed records for all the individual Brokers. The file format used for Detailed records is:

Table 2-39 File format for Detailed Record

Field Name	Data Type	Details
Record Category	Number	It is displayed as 00.
Transaction Sequence Number	Number	Unique identifier of transaction in the source external interface
Product Provider	Character	The code for which the file is processed to calculate commission. For example Unit Trust 'UT'

Table 2-39 (Cont.) File format for Detailed Record

Field Name	Data Type	Details
Cycle Number	Number	Preceded by zeros
Intermediary Code (Sales Code)	Number	The intermediary's sales code as supplied on the policy proposal / application form. It consists of six digits preceded by zeros.
Intermediary Type (Sales Type)	Number	Code for the intermediary who has initiated the sale of that policy; • 0 is for the Sales Staff • 1 is for the Office staff
Intermediary Commission Percentage (Direct Share)	Number	The percentage of commission as indicated in the policy/ application form
Intermediary Figure Percentage (Indirect Share)	Numeric	The percentage of indirect commission as indicated in the policy/ application form
Sales Centre (branch / team structure code)	Character	An optional field
Sale Type	Character	Not applicable can be left blank
Policy Number (Contract Number)	Character	It is the Policy or Contract number
Policy Type (Contract Type)	Character	Only applicable for recurring business types, can be left blank
Policy Term	Number	It is applicable for recurring business only, default set at 01
Product Code	Character	The selection is available from a drop down list
Premium Amount	Number	The amount of the premium for a transaction
Premium Frequency	Character	You can select from a list, for the type of premium applicable
Premium Term	Number	The term for which the premium is applicable
Movement Code	Number	It is the number code for the type of transaction
Transaction Date	Number	The date on which the transaction takes place
Entry Date	Number	The date from which the new business/policy is started
Effective Date	Number	The date from which the policy becomes effective
Client or Entity Number	Character	It is an optional field
Client Name	Character	The name as mentioned in description
Fees	Character	Optional field
Commission Amount 01	Number	The commission amount for 1 year
Commission Amount 02	Number	The commission amount for the 2nd year
Life Credit	Character	Not mandatory can be left blank
Commission Year Indicator	Number	It indicates the year for which the commission is applicable
Commission Content	Number	It contains the commission amount for the two years
Commission Scaling Factor	Number	The amount agreed between the client and the broker
Commission Frequency	Character	It is optional, left blank
Commission Type	Character	This field is left blank

Table 2-39 (Cont.) File format for Detailed Record

Field Name	Data Type	Details
Current Potential Commission (Primary)	Number	It is applicable for only recurring business, input display shows 000000000000000
Future Potential Commission (Secondary)	Number	It is applicable for only recurring business, input display shows 000000000000000
VAT	Number	It is the value added tax, not required as input
Broker Reference	Character	It is the reference as specified on the application form
Currency Indicator	Character	It indicates the currency type selected
Agents Commission	Number	It is not applicable in this case, displayed as 000000000000000
Commission Allowance	Number	It is the waiver amount on the broker's commission
New Business Date	Number	It is the transaction date
Total Investment	Number	It is the total amount of investments
Investment Type	Character	An optional field can be left blank
Fund Value	Number	It is the sum of the average of the absolute values of the fund of the client
Layer type	Character	This field is left blank
Layer Number	Number	This field displays 00
Reject ID	Number	This field is not required for entry, it displays 00000000
Adjustment Indicator	Character	This field is not required for entry and is left blank
Territory	Character	This field is not required for entry
Annual Fund Total	Number	This field is not required for entry, it displays 000000000000000
Distribution Fee (Channel)	Number	This field is not required for entry, it displays 000000000000000
Maximum Commission	Number	This field is not required for entry, it displays 000000000000000
Instance Number	Character	This field is not required for entry
BEN Type	Character	This field is not required for entry
EMM Client Number	Character	This field is not required for entry
Sales Instance	Number	This field is not required for entry, it displays 000
Transaction Instance	Number	This field is not required for entry, it displays 000

2.2 Incremental Upload Format

This topic explains the information on Incremental Upload Format.

For Incremental mode, the system will modify data present in upload file. If data provided in the file is already present, then the system will override existing data with new value provided in the file. If data is not present in system, then the data provided in the file will be added.

The system will not change/replace data which is not available in file for incremental mode. for instance, in unit holder upload, if only telephone number is given in Incremental mode, the system will just update old phone number with new phone number without changing other fields.

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Incremental upload are given below for reference:

Table 2-40 Incremental Upload Format

Upload Type	Use Case	Description	Upload mode	Segments to be used in Incremental upload
Entity	Contact details update	Cell phone number to be made available in upload file	I	00EIM,02ECI
Entity	Contact details update	E-mail to be made available in upload file	I	00EIM,02ECI
Entity	Bank details Update	BANKCODE,BRANCHCODE, ACCOUNTTYPE, ACCOUNTNUMBER,ACCOUNTNAME, ACCOUNTCURRENCY, DEFAULTDETAILS, DIRECTDEBITAPPLICABLE to be made available in upload file. If default flag is set as Yes, default flag for existing bank detail for same bank/ branch/account type/account currency combination will be set as No	I	00EIM,01EBD
Broker	Contact details update	Cell phone number to be made available in upload file	I	00BRO,01CON
Broker	Contact details update	email number to be made available in upload file	I	00BRO,01CON
Broker	Bank details Update	BANKCODE,BRANCHCODE, ACCOUNTTYPE, ACCOUNTNUMBER,ACCOUNTNAME, ACCOUNTCURRENCY, DEFAULTDETAILS, DIRECTDEBITAPPLICABLE to be made available in upload file. If default flag is set as Yes, default flag for existing bank detail for same bank/ branch/account type/account currency combination will be set as No	I	00BRO,02BAN
Unitholder	Address update	Address1,2,3,4,zip code, country code to be made available in upload file	I	00UH,15CON
Unitholder	Address update	Address line to be made available in upload file	I	00UH,15CON
Unitholder	Address update	Address type along with Address1,2, city, country & BIC code to be made available in upload file	I	00UH,15CON
Unitholder	Contact details update	Cell phone number to be made available in upload file	I	00UH,15CON
Unitholder	Contact details update	email to be made available in upload file	I	00UH,15CON
Unitholder	Bank details update	Beneficiary details to be made available in upload file	I	00UH,03BNK

Table 2-40 (Cont.) Incremental Upload Format

Upload Type	Use Case	Description	Upload mode	Segments to be used in Incremental upload
Unitholder	Bank details update	BANKCODE,BRANCHCODE, ACCOUNTTYPE, ACCOUNTNUMBER,ACCOUNTNAME, ACCOUNTCURRENCY, DEFAULTDETAILS, DIRECTDEBITAPPLICABLE to be made available in upload file.If default flag is set as Yes, default flag for existing bank detail for same bank/ branch/account type/account currency combination will be set as No	I	00UH,03BNK
Unitholder	Address & bank details update	Alternate Address line 2 & beneficiary info details to be made available in upload file in respective segments	I	00UH,15CON , 03BNK
Transaction	Settlement details update	Cheque number & cheque date to be made available in file	I	00CON,01SE T
Transaction	Settlement details update	Paid Date and settled to be made available in file	I	00CON,01SE T
Transaction	Settlement details update	TRANSFERACCOUNTCURRENCY, TRANSFERACCOUNTNUMBER, TRANSFER ACCOUNTTYPE,TRANSFERBRANCH CODE, PAYMENT DATE,PAYMENT AMOUNT to be made available in file	I	00CON,01SE T
Transaction	Settlement details update	SWIFTFORMAT,BANKCHARGED, BENEFICIARY, BENEFICIARYADDRESS,BENEFICIAR YBICCODE, BENEFICIARYACCTNO, BENEFICIARYCODE,BENEFICIARYINF O to be made available in file	I	00CON,01SE T

2.3 Sub Service Upload Format

This topic explains the information on Sub Service Upload Format.

You can create subservice to support specific amendment requests. Using Amendment maintenance, you can create a subservice to modify only specific fields as per the modifiable fields given in maintenance.

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in sub service upload are given below for reference:

Table 2-41 Sub Service Upload Format

Upload Type	Use Case	Description	Sub service operation
Entity	Bank details Update	BANKCODE,BRANCHCODE, ACCOUNTTYPE, ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNTCURRENCY, DEFAULTDETAILS, DIRECTDEBITAPPLICABLE to be made available in upload file. If default flag is set as Yes, default flag for existing bank detail for same bank/branch/ account type/account currency combination will be set as No	ENTITY_BANKDTLS
Broker	Bank details Update	BANKCODE,BRANCHCODE, ACCOUNTTYPE, ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNTCURRENCY, DEFAULTDETAILS, DIRECTDEBITAPPLICABLE to be made available in upload file. If default flag is set as Yes, default flag for existing bank detail for same bank/branch/ account type/account currency combination will be set as No	BROKER_BANKDTLS
Unitholder	Address update	Address1,2,3,4,zip code, country code to be made available in upload file	UH_CONDTLS
Unitholder	Bank details Update	BANKCODE,BRANCHCODE, ACCOUNTTYPE, ACCOUNTNUMBER,ACCOUNTNAME,ACCOUNTCURRENCY, DEFAULTDETAILS, DIRECTDEBITAPPLICABLE to be made available in upload file. If default flag is set as Yes, default flag for existing bank detail for same bank/branch/ account type/account currency combination will be set as No	UH_BNKDTLS
Unitholder	Beneficiary details	Email to be made available in upload file	UH_BENDTLS

Table 2-41 (Cont.) Sub Service Upload Format

Upload Type	Use Case	Description	Sub service operation
Unitholder	Intermediary details	Complete intermediary segment details to be made available in upload file	UH_INMDTLS
Transaction	Settlement details update	First segment is mandatory. Complete details to be provided for settlement segment	TXN_SETDTLS
Transaction	Intermediary update	Complete intermediary segment details to be made available in upload file	TXN_INTDTLS
Transaction	Intermediary update	Complete intermediary segment details to be made available in upload file	TXN_INTDTLS
Unitholder	Intermediary details	Complete intermediary segment details to be made available in upload file	UH_INMDTLS

2.4 Entity Upload Format

This topic explains the information on Entity Upload Format.

This topic explains the systematic instructions on Entity Upload Format.The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in entity upload are given below for reference:
Segment ID - **00EIM**

This segment will be mandatory for incremental upload segment.

Table 2-42 Entity Upload Format - 00EIM

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Yes	-	00EIM
PROCESSID	Yes	-	Unique
SEQUENCENUMBER	Yes	-	Unique
BULKCLIENTCODE	Yes	-	Unique
IMPORTFILENAME	Yes	-	Unique
ENTITYID	Yes	-	Existing Entity ID
PARENTENTITYFLAG	No	-	-
ENTITYNAME	No	-	-
ENTITYTYPE	Yes	-	Entity type belonging to Entity ID
PARENTENTITY	No	-	-

Table 2-42 (Cont.) Entity Upload Format - 00EIM

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
EFFECTIVEDATE	No	-	-
RECORDLINK	No	-	-
NEWENTITYNAME	No	-	-
NEWENTITYID	No	-	-
ENTITYBASECURRENCY	No	-	-
AUTH_STAT	Yes	-	-
UNIQUERECORDNUMBER	No	-	-
MARKEDFORREIMP	No	-	-
ACCOUNTTYPES	No	-	-
ENTITYCATEGORYTYPE	No	-	-
ENTITYCATEGORY	No	-	-
AMCID	No	-	-
SWITCHINGLOGIC	No	-	-
UHGENERATIONLOGIC	No	-	-
NATUREOFBUSINESS	No	-	-
SECTION	No	--	
ENTITYSUBTYPE	No	-	-
CROSSBRANCHING	No	-	-
FUNDSPOWERINSTALLED	No	-	-
CUSTOMERINTERFACEKEY	No	-	-
ONLINEINTERFACE	No	-	-
WEEKENDBITS	No	-	-
AUTHORIZEDSIGNATORY	No	-	-
LINKENTITYID	No	-	-
LINKENTITYTYPE	No	-	-
ALTLANGENTITYNAME	No	-	-
ALTLANGCONTACTPERSON	No	-	-
ALTLANGADDRESS1	No	-	-
ALTLANGADDRESS2	No	-	-
ALTLANGCITY	No	-	-
ALTLANGSTATE	No	-	-
FINWAREPOSTING	No	-	-
CHANNELENTITY	No	-	-
MICRCODE	No	-	-
COUNTRYOFBIRTH	No	-	-

Table 2-42 (Cont.) Entity Upload Format - 00EIM

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
COUNTRYOFDOMICILE	No	-	-
EIN	No	-	-
FATCACLASSIFICATION	No	-	-
FATCASTATUS	No	-	-
INCORPORATIONCOUNTRY	No	-	
USERID	Yes	-	Represents the user ID who has entered the latest record
MOD_NO	No	-	-
NATIONALITY	No	-	-
TAXCATEGORY	No	-	-
TAXCERTIFICATEEFFEDATE	No	-	-
TAXCERTIFICATEEXPDATE	No	-	-
TAXCIRCLE	No	-	-
TAXID	No	-	-
UPLOADMODE	Yes	-	I
USINDICIAAVAILABLE	No	-	-
GIIN	No	-	-
FATCACLASSIFICATION	No	-	-
ISAREFERENCENO	No	-	-
CURRENCY	No	-	-
MINISATRANSFERIN	No	-	-
MINISATRANSFEROUT	No	-	-
FUNDSTRUCTAPPLICABLE	No	-	-
AUTOSETTLESWITCH	No	-	-
SWIFTMSGLIST	No	-	-
ELECTRONICDEALFLAG	No	-	-
DEFAULTAGENCYBRANCH	No	-	-
BENEFICIARYACCTNO	No	-	-
EMAILID	No	-	-
PHONENUMBER	No	-	-

Segment ID - **01EBD**

Table 2-43 Entity Upload Format - 01EBD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Yes	-	01EBD
PROCESSID	Yes	-	Unique
SEQUENCENUMBER	Yes	-	Unique
BULKCLIENTCODE	No	-	-
ENTITYID	No	-	-
ENTITYTYPE	Yes	-	-
BANKCODE	Yes	-	If bank/branch/ account type/ account number/ account currency combination provided in file exists in system- other details will be updated.If does not exist, record will be inserted in system
BRANCHCODE	Yes	-	-
ACCOUNTTYPE	Yes	-	-
ACCOUNTNUMBER	Yes	-	-
ACCOUNTNAME	Yes	-	-
ACCOUNTCURRENCY	Yes	-	-
DEFAULTDETAILS	Yes	Yes	-
DIRECTDEBITAPPLICABLE	No	Yes	-
SWIFTFORMAT	No	Yes	-
BANKCHARGED	No	Yes	-
BENEFICIARY	No	Yes	-
BENEFICIARYADD1	No	Yes	-
BENEFICIARYADD2	No	Yes	-
BENEFICIARYADD3	No	Yes	-
BENEFICIARYBICCODE	No	Yes	-
BENEFICIARYACCTNO	No	Yes	-
BENEFICIARYCODE	No	Yes	-
BENEFICIARYINFO	No	Yes	-
INTERMEDIARY	No	Yes	-
INTERMEDIARYADD1	No	Yes	-
INTERMEDIARYADD2	No	Yes	-
INTERMEDIARYADD3	No	Yes	-
INTERMEDIARYBICCODE	No	Yes	-
INTERMEDIARYACCTNO	No	Yes	-
INTERMEDIARYCODE	No	Yes	-
INTERMEDIARYINFO	No	Yes	-

Table 2-43 (Cont.) Entity Upload Format - 01EBD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
FURTHERCREDITNAME	No	Yes	-
FURTHERCREDITACCTNO	No	Yes	-
REFERENCE1	No	Yes	-
REFERENCE2	No	Yes	-
IBAN	No	Yes	-
BENEFICIARYIBAN	No	Yes	-
INTERMEDIARYIBAN	No	Yes	-

SegmentID - **02ECI**

Table 2-44 Entity Upload Format - 02ECI

Column Name	Mandatory Fields in Upload File for Upload Mode I	Modifiable Fields in Incremental Mode	Remarks
SEGMENTID	Yes	-	02ECI
PROCESSID	Yes	-	Unique
SEQUENCENUMBER	Yes	-	Unique
BULKCLIENTCODE	No	-	
ENTITYID	No	-	
ENTITYTYPE	No	-	
ADDRESSTYPE	Yes	-	If address type present in file is available in system, system will be updated with remaining details present in file.If Address type pres- ent in file is not available in sys- tem, new address type will be added with details as avail- able in file
ADDRESSLINE1	No	Yes	-
ADDRESSLINE2	No	Yes	-
ADDRESSLINE3	No	Yes	-
ADDRESSLINE4	No	Yes	-
ZIPCODE	No	Yes	-
COUNTRY	No	Yes	-
USSTATE	No	Yes	-
TELEPHONE1	No	Yes	-
TELEPHONE2	No	Yes	-
CELLPHONENUMBER	No	Yes	-
FAX	No	Yes	-

Table 2-44 (Cont.) Entity Upload Format - 02ECI

Column Name	Mandatory Fields in Upload File for Upload Mode I	Modifiable Fields in Incremental Mode	Remarks
EMAIL	No	Yes	-
CONTACTPERSON	No	Yes	-
EFFECTIVEFROMDATE	No	Yes	-
EFFECTIVETODATE	No	Yes	-
BICCODE	No	Yes	-
INTDIALLINGCODEPH1	No	Yes	-
INTDIALLINGCODEPH2	No	Yes	-
INTDIALLINGCODE-CELL	No	Yes	-
INTDIALLINGCODEFAX	No	Yes	-

Segment ID - **05ADD**

Table 2-45 Entity Upload Format - 05ADD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Yes	-	05ADD
SEQUENCENUMBER	Yes	-	Unique
PROCESSID	Yes	-	Unique
ADDINFOLABEL	Yes	-	-
FIELDVALUE	Yes	Yes	-

2.5 Broker Upload Format

This topic explains the information on Broker Upload Format.

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Broker upload are given below for reference:

This topic explains the systematic instructions on Broker Upload Format.
The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Broker upload are given below for reference:

Segment ID - **00BRO**

This segment will be mandatory for incremental upload

Table 2-46 Broker Upload Format - 00BRO

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	00BRO
SEQUENCENUMBER	Y	-	Unique
PROCESSID	Y	-	Unique
BULKCLIENTCODE	Y	-	Unique
IMPORTFILENAME	Y	-	Unique
UPLOADMODE	Y	-	I
BROKERCODE	Y	-	Valid broker code
BROKERNAME	N	Yes	-
PARENTBROKERCODE	N	Yes	-
BROKERBASECCY	N	Yes	-
AMCID	N	Yes	-
AUTH_STAT	Y	Yes	U/A
DELETEDSTATUS	N	Yes	-
OVERRIDDENTAXPERCENTAGE	N	Yes	-
USERID	Y	Yes	Represents the user ID who has entered the latest record
LEVELNUMBER	N	Yes	-
RULEEFFECTIVEDATE	Y	Yes	-
CHANGETYPE	N	Yes	-
VATREGISTERED	N	Yes	-
VATREGISTRATIONNUMBER	N	Yes	-
COMMISSIONPERCENTAGE	N	Yes	-
COMMISSIONPAYMENTFREQ	N	Yes	-
UNITHOLDERID	N	-	-
LASTPAYMENTDATE	N	-	-
LASTPAYMENTNUMBER	N	-	-
LASTPROCESSEDDATE	N	-	-
NEXTPAYMENTDATE	N	-	-
BROKERTYPE	N	-	-
USEBROKERTYPEPAYMENTDATES	N	-	-
BROKERCONSULTANT	N	-	-
BROKERCATEGORY	N	-	-
HOLDBACKPAYMENT	N	-	-
MOD_NO	N	-	-
HOLDPYMTFROMDATE	N	-	-

Table 2-46 (Cont.) Broker Upload Format - 00BRO

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
LATESTRULE	N	-	-
BROKERSTATUS	N	-	-
RECORD_STAT	N	-	-
ONCE_AUTH	N	-	-
REPLICATEPARENTDET	N	-	-
VATREGISTRATIONDATE	N	-	-
TEMPLATEID	N	-	-
USINDICIAAVAILABLE	N	-	-
FATCASTATUS	N	-	-
NATIONALITY	N	-	-
COUNTRYOFBIRTH	N	-	-
COUNTRYOFDOMICILE	N	-	-
INCORPORATIONCOUNTRY	N	-	-
TAXID	N	-	-
EIN	N	-	-
TAXCERTIFICATEEFFEDATE	N	-	-
TAXCERTIFICATEEXPDATE	N	-	-
TAXCIRCLE	N	-	-
FATCACLASSIFICATION	N	-	-
GIIN	N	-	-
VATEXPIRYDATE	N	-	-

Segment ID - **01CON**

Table 2-47 Broker Upload Format - 01CON

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	01CON
SEQUENCENUMBER	Y	-	Unique
PROCESSID	Y	-	Unique
ENTITYID	N	-	-
ENTITYTYPE	N	-	-

Table 2-47 (Cont.) Broker Upload Format - 01CON

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
ADDRESSTYPE	Y		If address type present in file is available in system, system will be updated with remaining details present in file.If Address type present in file is not available in system, new address type will be added with details as available in file
ADDRESSLINE1	N	Y	-
ADDRESSLINE2	N	Y	-
ADDRESSLINE3	N	Y	-
ADDRESSLINE4	N	Y	-
ZIPCODE	N	Y	-
COUNTRY	N	Y	-
USSTATE	N	Y	-
TELEPHONE1	N	Y	-
TELEPHONE2	N	Y	-
CELLPHONENUMBER	N	Y	-
FAX	N	Y	-
EMAIL	N	Y	-
CONTACTPERSON	N	Y	-
EFFECTIVEFROMDATE	N	Y	-
EFFECTIVETODATE	N	Y	-
BICCODE	N	Y	-
RULEEFFECTIVEDATE	Y	Y	-
INTDIALLINGCODEFAX	N	Y	-
INTDIALLINGCODEPH2	N	Y	-
INTDIALLINGCODEPH1	N	Y	-
INTDIALLINGCODECELL	N	Y	-

Segment ID - **02BAN**

Table 2-48 Broker Upload Format - 02BAN

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	02BAN
SEQUENCENUMBER	Y	-	Unique
PROCESSID	Y	-	Unique
BROKERCODE	N	-	-
RULEEFFECTIVEDATE	Y	-	-

Table 2-48 (Cont.) Broker Upload Format - 02BAN

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
REFERENCENUMBER	N	-	-
BANKCODE	Y	-	If bank/branch/ account type/ account number/ account ccy combination provided in file exists in system- other details will be updated.If does not exist, record will be inserted in system
BRANCHCODE	Y	-	-
ACCOUNTTYPE	Y	-	-
ACCOUNTNUMBER	Y	-	-
ACCOUNTNAME	Y	-	-
ACCOUNTCURRENCY	Y	-	-
DEFAULTDETAILS	Y	Y	-
DIRECTDEBITAPPLICABLE	N	Y	-
SWIFTFORMAT	N	Y	-
BANKCHARGED	N	Y	-
BENEFICIARY	N	Y	-
BENEFICIARYADD1	N	Y	-
BENEFICIARYADD2	N	Y	-
BENEFICIARYADD3	N	Y	-
BENEFICIARYBICCODE	N	Y	-
BENEFICIARYACCTNO	N	Y	-
BENEFICIARYCODE	N	Y	-
BENEFICIARYINFO	N	Y	-
INTERMEDIARY	N	Y	-
INTERMEDIARYADD1	N	Y	-
INTERMEDIARYADD2	N	Y	-
INTERMEDIARYADD3	N	Y	-
INTERMEDIARYBICCODE	N	Y	-
INTERMEDIARYACCTNO	N	Y	-
INTERMEDIARYCODE	N	Y	-
INTERMEDIARYINFO	N	Y	-
FURTHERCREDITNAME	N	Y	-
FURTHERCREDITACCTNO	N	Y	-
REFERENCE1	N	Y	-
REFERENCE2	N	Y	-

Table 2-48 (Cont.) Broker Upload Format - 02BAN

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
IBAN	N	Y	-
BENEFICIARYIBAN	N	Y	-
INTERMEDIARYIBAN	N	Y	-

Segment ID - **05ADD**

Table 2-49 Broker Upload Format - 05ADD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	05ADD
SEQUENCENUMBER	Y	-	Unique
PROCESSID	Y	-	Unique
ADDINFOLABEL	Y	-	-
FIELDVALUE	N	Y	-

2.6 Unit Holder Upload Format

This topic explains the information on Unit Holder Upload Format.

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Unit Holder upload are given below for reference:

Segment ID - **00UH**

This segment will be mandatory for incremental upload.

Table 2-50 Unit Holder Upload Format - 00UH

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	YES	-	00UH
SEQUENCENUMBER	YES	-	Unique
PROCESSID	YES	-	Unique
BULKCLIENTCODE	YES	-	Unique
IMPORTFILENAME	YES	-	Unique
AMCID	YES	-	-
UPLOADMODE	NO	-	I
CIFNUMBER	NO	-	-
UNITHOLDERID	YES	-	-
REFERENCENUMBER	NO	-	-

Table 2-50 (Cont.) Unit Holder Upload Format - 00UH

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
ACCOUNTOPENINGDATE	NO	-	-
TITLE	NO	-	-
FIRSTNAME	NO	-	-
MIDDLENAME	NO	-	-
LASTNAME	NO	-	-
ACCOUNTOPERATIONTYPE	NO	-	-
FIRSTNOMINEE	NO	-	-
SECONDNOMINEE	NO	-	-
THIRDNOMINEE	NO	-	-
FATHER_SPOUSENAME	NO	-	-
INVESTORTYPE	NO	-	-
TAXDEDUCTEDATSOURCE	NO	-	-
TAXID	NO	-	-
TAXCIRCLE	NO	-	-
IDENTIFICATIONTYPE	NO	-	-
IDENTIFICATIONNUMBER	NO	-	-
IDENTIFICATIONISSUEDATE	NO	-	-
IDENTIFICATIONEXPIRYDATE	NO	-	-
RESIDENTSTATUS	NO	-	-
UHCATEGORY	NO	-	-
ACCOUNTSTMTFREQ	NO	-	-
YTDSTMTREQD	NO	-	-
DATEOFBIRTH	NO	-	-
GUARDIANNAME	NO	-	-
RELATIONSHIP	NO	-	-
BENEFICIARY	NO	-	-
SEX	NO	-	-
MARITALSTATUS	NO	-	-
OCCUPATION	NO	-	-
NATIONALITY	NO	-	-
COUNTRYOFDOMICILE	NO	-	-
CORPORATIONTYPE	NO	-	-
FIRSTAUTHORIZEDSIGNATORY	NO	-	-
SECONDAUTHORIZEDSIGNATORY	NO	-	-

Table 2-50 (Cont.) Unit Holder Upload Format - 00UH

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
THIRDAUTHORIZEDSIGNATORY	NO	-	-
CONTACTNAME	NO	-	-
MINNOOFCORPORATESIGNATORY	NO	-	-
SCHEMECROSSREFNO	NO	-	-
UHBASECURRENCY	NO	-	-
LANGUAGE	NO	-	-
REDEMPTIONMOP	NO	-	-
ACCOUNTSTMTCURRENCY	NO	-	-
EMAILID	NO	-	-
FIRSTJOINTUHNAME	NO	-	-
SECONDJOINTUHNAME	NO	-	-
THIRDJOINTUHNAME	NO	-	-
ALTADDRESSFROMDATE	NO	-	-
ALTADDRESSTODATE	NO	-	-
TELEPHONERED	NO	-	-
TELEPHONESWI	NO	-	-
WRITECHECKFORMMF	NO	-	-
FEDWIREACHPAYMENT	NO	-	-
IRSNOTIFICATION	NO	-	-
ROAINVESTOR	NO	-	-
ALLOWROAATCIFLEVEL	NO	-	-
UHACCOUNTTYPE	NO	-	-
RPOCODE	NO	-	-
REGISTRATIONTYPE	NO	-	-
REGISTRATIONNAME	NO	-	-
ACCOUNTOPENINGPURPOSE	NO	-	-
REMARKS	NO	-	-
PREFERREDMAILMODE	NO	-	-
NOTEPADAVAILABLE	NO	-	-
FEECATEGORY	NO	-	-
FEESUBCATEGORY	NO	-	-
AGENTCODE	NO	-	-
BRANCHCODE	NO	-	-

Table 2-50 (Cont.) Unit Holder Upload Format - 00UH

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
USERID	YES	-	Indicates the user ID who has entered the latest record
AUTH_STAT	YES	-	-
STOPACCOUNTREASON	NO	-	-
RELEASEDATE	NO	-	-
ALLSIGNATORIESREQDTOSIGN	NO	-	-
TAXEXEMPTED	NO	-	-
INDEXATIONONCGT	NO	-	-
CERTDELIVERYPREF	NO	-	-
TEMPLATEID	NO	-	-
EFFECTIVEDATE	NO	-	-
CELLPHONENUMBER	NO	-	-
PRIMARYCOUNTRYCODE	NO	-	-
ALTCELLPHONENUMBER	NO	-	-
ALTHOMEPHONENUMBER	NO	-	-
ALTOFFICEPHONENUMBER	NO	-	-
ALTEMAILID	NO	-	-
SECONDARYCOUNTRYCODE	NO	-	-
PLACEOFISSUE	NO	-	-
ALTCONTACTNAME	NO	-	-
ALTFAXNUMBER	NO	-	-
PREFERREDFUNDFORREDEMPTION	NO	-	-
GUARDIANPANGIRNO	NO	-	-
GUARDIANFORM6061	NO	-	-
PRIMARYUHFORM6061	NO	-	-
UNITHOLDERNRI	NO	-	-
EUSDOPTION	NO	-	-
TAXCERTIFICATEEXPDATE	NO	-	-
PLACEOFBIRTH	NO	-	-
COUNTRYOFBIRTH	NO	-	-
FATFORNONFATF	NO	-	-
CHASINGDATE	NO	-	-
ADDNCOMMENTS	NO	-	-

Table 2-50 (Cont.) Unit Holder Upload Format - 00UH

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
ALLKYCRECEIVED	NO	-	-
RETAINTRADEACK	NO	-	-
RETAINCONTRACTNOTES	NO	-	-
NOTREPORTKYCREQ	NO	-	-
UHBICCODE	NO	-	-
ALTLANGFIRSTNAME	NO	-	-
ALTLANGMIDDLENAME	NO	-	-
ALTLANGLASTNAME	NO	-	-
ALTLANGFIRSTAUTHSIGN	NO	-	-
ALTLANGSECONDAUTHSIGN	NO	-	-
ALTLANGTHIRDAUTHSIGN	NO	-	-
ALTLANGCONTACTPERSON	NO	-	-
ALTLANGCORADDRESSLINE1	NO	-	-
ALTLANGCORADDRESSLINE2	NO	-	-
ALTLANGCORADDRESSLINE3	NO	-	-
ALTLANGCORADDRESSLINE4	NO	-	-
ALTLANGALTADDRESSLINE1	NO	-	-
ALTLANGALTADDRESSLINE2	NO	-	-
ALTLANGALTADDRESSLINE3	NO	-	-
ALTLANGALTADDRESSLINE4	NO	-	-
DATEOFCONTRIBUTION	NO	-	-
TRANSFERDATE	NO	-	-
RETIREMENTAGE	NO	-	-
RETIREMENTDATE	NO	-	-
DATEOFDEATH	NO	-	-
DEATHCAUSE	NO	-	-
AREACODE	NO	-	-
DISPATCHDATE	NO	-	-
RETURNEDDATE	NO	-	-
PRIMARYUHMFIN	NO	-	-

Table 2-50 (Cont.) Unit Holder Upload Format - 00UH

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
GUARDIANMFIN	NO	-	-
RECOVERCGT	NO	-	-
PROCESSID1	NO	-	-
INITIALS	NO	-	-
KYCPURCHASELIMIT	NO	-	-
KYCANNUALDEBITORDERLIMIT	NO	-	-
CAMPAIGNCODE	NO	-	-
REDEMPTIONPAYOUT	NO	-	-
DIVIDENDPAYOUT	NO	-	-
DUALNATIONALITY	NO	-	-
SUBSTANTIALSTAYINUS	NO	-	-
TAXCERTIFICATEEFFEDATE	NO	-	-
SECONDIDENTITYTYPE	NO	-	-
SECONDIDENTITYNUMBER	NO	-	-
SECONDISSUEDATE	NO	-	-
SECONDEXPIRYDATE	NO	-	-
SECONDPLACEOFISSUE	NO	-	-
TRAILCOMMPREF	NO	-	-
DUEDILIGENCELEVEL	NO	-	-
REVIEWEDBY	NO	-	-
LASTREVIEWEDDATE	NO	-	-
CHASERID	NO	-	-
CHASINGMODE	NO	-	-
NAMESCHECKOPTION	NO	-	-
NAMESCHECKREMARKS	NO	-	-
ACCOUNTSTATEMENT	NO	-	-
CONTRACTNOTES	NO	-	-
INVESTORRISKLEVEL	NO	-	-
UHSEGMENTID	NO	-	For GTA segmentID is mandatory

Segment ID - **02BEN**

Table 2-51 Unit Holder Upload Format - Segment ID - 02BEN

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	02BEN
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
EXISTINGUHORCIF	Y	-	-
ADDRESSLINE1	N	Y	-
ADDRESSLINE2	N	Y	-
ADDRESSLINE3	N	Y	-
ADDRESSLINE4	N	Y	-
ZIPCODE	N	Y	-
COUNTRY	N	Y	-
EMAIL	N	Y	-
CONTACTPERSON	N	Y	-
COUNTRYOFDOMICILE	N	Y	-
IBAN	N	Y	-
BENEFICIARYID	Y	-	If benefeciary id is existing in system, details in file will be used to modify the record. If benefeciary id is not available for UH, new benefeciary record will be created
BENEFICIARYTYPE	Y	-	-
BENEFICIARYNAME	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYDOB	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYRELATION	N	Y	-
BENEFICIARYGENDER	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYIDTYPE	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYIDNUMBER	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYAWARD	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYBANKCODE	N	Y	This field will not be modifiable if existing UH or CIF field is yes

Table 2-51 (Cont.) Unit Holder Upload Format - Segment ID - 02BEN

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
BENEFICIARYBRANCH CODE	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYACCTYP E	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYACCNAM E	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYACCCCY	N	Y	This field will not be modifiable if existing UH or CIF field is yes
ACCOUNTNUMBER	N	Y	This field will not be modifiable if existing UH or CIF field is yes

SegmentID - **03BNK**

Table 2-52 Unit Holder Upload Format - 03BNK

Column Name	Mandatory Fields in Upload File for Upload Mode I	Modifiable Fields in Incremental Mode	Remarks
SEGMENTID	YES	-	03BNK
PROCESSID	YES	-	Unique
SEQUENCENUM- BER	YES	-	Unique
BANKCODE	YES	-	If bank/branch/ account type/ account num- ber/ account ccy combination provided in file exists in sys- tem- other details will be updated.If does not exist, record will be inserted in sys- tem
BRANCHCODE	YES	-	-
ACCOUNTTYPE	YES	-	-
ACCOUNTNUMBER	YES	-	-
ACCOUNTNAME	YES	-	-
ACCOUNTCURRENCY	YES	-	-
DEFAULTDETAILS	YES	Y	Ifthis is marked as Yes- the old bank/branch/ account num- ber/ account ccy combination will be marked as Default No.
DIRECTDEBITAPPLICA BLE	No	Y	-
ACCOUNTCATEGORY	No	Y	-

Table 2-52 (Cont.) Unit Holder Upload Format - 03BNK

Column Name	Mandatory Fields in Upload File for Upload Mode I	Modifiable Fields in Incremental Mode	Remarks
INITIALS	No	Y	-
LASTNAME	No	Y	-
IDENTIFICATIONTYPE	No	Y	-
IDENTIFICATIONNUMBER	No	Y	-
STATUS	No	Y	-
IBAN	No	Y	-
REFERENCENUMBER	No	Y	-
TRANSACTIONTYPE	No	Y	-
FUNDID	No	Y	-
SWIFTFORMAT	No	Y	-
BANKCHARGED	No	Y	-
BENEFICIARY	No	Y	-
BENEFICIARYADD1	No	Y	-
BENEFICIARYADD2	No	Y	-
BENEFICIARYADD3	No	Y	-
BENEFICIARYBICCODE	No	Y	-
BENEFICIARYACCTNO	No	Y	-
BENEFICIARYCODE	No	Y	-
BENEFICIARYINFO	No	Y	-
INTERMEDIARY	No	Y	-
INTERMEDIARYADD1	No	Y	-
INTERMEDIARYADD2	No	Y	-
INTERMEDIARYADD3	No	Y	-
INTERMEDIARYBICCODE	No	Y	-
INTERMEDIARYACCTNO	No	Y	-
INTERMEDIARYCODE	No	Y	-
INTERMEDIARYINFO	No	Y	-
FURTHERCREDITNAME	No	Y	-
FURTHERCREDITACCTNO	No	Y	-
REFERENCE1	No	Y	-
REFERENCE2	No	Y	-
RELATIONSHIP	No	Y	-
BENEFICIARYIBAN	No	Y	-
INTERMEDIARYIBAN	No	Y	-

SegmentID - **04INM**

Table 2-53 Unit Holder Upload Format - 04INM

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	04INM
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
ENTITYTYPE	Y		Existing intermediary in system will be replaced by one provided in file
ENTITYID	Y	Y	-
SPLITPERCENT	N	Y	-
DEFAULTINTERMEDIARY	N	Y	-

Segment ID - **07JNT**

Table 2-54 Unit Holder Upload Format - 07JNT

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	07JNT
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
EXISTINGUHORCIF	Y	-	
BENEFICIARYID	Y	-	If benefeciary id is existing in system, details in file will be used to modify the record. If benefeciary id is not available for UH, new Joint UH record will be created subject to existing validations
BENEFICIARYTYPE	Y	-	-
BENEFICIARYNAME	N		This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYDOB	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYGENDER	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYPANNO	N	Y	This field will not be modifiable if existing UH or CIF field is yes
BENEFICIARYFORM60	N	Y	This field will not be modifiable if existing UH or CIF field is yes

Table 2-54 (Cont.) Unit Holder Upload Format - 07JNT

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
BENEFICIARYMFIN	N	Y	This field will not be modifiable if existing UH or CIF field is yes
COUNTRYOFDOMICILE	N	Y	This field will not be modifiable if existing UH or CIF field is yes
RECOVERCGT	N	Y	-
ADDRESSLINE1	N	Y	-
ADDRESSLINE2	N	Y	-
ADDRESSLINE3	N	Y	-
ADDRESSLINE4	N	Y	-
ZIPCODE	N	Y	-
COUNTRY	N	Y	-
EMAIL	N	Y	-
CONTACTPERSON	N	Y	-
USINDICIAAVAILABLE	N	Y	-

Segment ID - **10ADD**

Table 2-55 Unit Holder Upload Format - 10ADD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	10ADD
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
ADDINFOLABEL	Y	-	-
FIELDVALUE	N	Y	-

Segment ID - **15CON**

Table 2-56 f

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	15CON
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
UNITHOLDERID	N	-	-
REFERENCENUMBER	N	-	-

Table 2-56 (Cont.) f

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
ADDRESSTYPE	Y	-	If address type present in file is available in system, system will be updated with remaining details present in file. If Address type present in file is not available in system, new address type will be added with details as available in file
ADDRESSLINE1	N	Y	-
ADDRESSLINE2	N	Y	-
ADDRESSLINE3	N	Y	-
ADDRESSLINE4	N	Y	-
ZIPCODE	N	Y	-
COUNTRY	N	Y	-
USSTATE	N	Y	-
TELEPHONE1	N	Y	-
TELEPHONE2	N	Y	-
CELLPHONENUMBER	N	Y	-
FAX	N	Y	-
EMAIL	N	Y	-
CONTACTPERSON	N	Y	-
EFFECTIVEFROMDATE	N	Y	-
EFFECTIVETODATE	N	Y	-
BICCODE	N	Y	-
INTDIALLINGCODEPH1	N	Y	-
INTDIALLINGCODEPH2	N	Y	-
INTDIALLINGCODECELL	N	Y	-
INTDIALLINGCODEFAX	N	Y	-

2.7 Transactions Upload Format

This topic explains the information on Transactions Upload Format.

The upload file would contain various segment identification number in the first column to indicate the type of data that is present in that entire row. The possible segments that would be used in Transaction upload are given below for reference:

Segment ID - **00CON**

This segment will be mandatory for incremental upload

Table 2-57 Transactions Upload Format - 00CON

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	00CON
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
TXNSERIALNUMBER	Y	-	Unique
BULKCLIENTCODE	-	-	Unique
IMPORTFILENAME	-	-	Unique
AMCID	-	-	-
UPLOADMODE	-	-	I
TRANSACTIONNUMBER	-	-	-
REFERENCENUMBER	-	-	-
TRANSACTIONDATE	-	-	-
UNITHOLDERID	-	-	-
REFUNITHOLDERID	-	-	-
AGENTCODE	-	-	-
BRANCHCODE	-	-	-
FUNDID	-	-	-
AMOUNT	-	-	-
PAYMENTMODE	-	-	-
CHEQUENUMBER	-	-	-
CLEARINGDATE	-	-	-
TRANSACTIONCURRENCY	-	-	-
TRANSACTIONMODE	-	-	-
UNITSAPPLIED	-	-	-
PERCENTAPPLIED	-	-	-
TOFUNDID	-	-	-
TOUNITHOLDERID	-	-	-
REFTOUNITHOLDERID	-	-	-
TRANSACTIONTYPE	-	-	-
REFTYPE	-	-	-
REMARKS	-	-	-
CERTIFICATE	-	-	-
CIFNUMBER	-	-	-
TOCIFNUMBER	-	-	-
GROSSORNET	-	-	-
SUBPAYMENTMODE	-	-	-
COMMUNICATIONMODE	-	-	-
POLICYNUMBER	-	-	-
PRODUCTID	-	-	-
LOIAPPLICABLE	-	-	-

Table 2-57 (Cont.) Transactions Upload Format - 00CON

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
REFFUNDID	-	-	-
REFTOFUNDID	-	-	-
ENTITYTYPE	-	-	-
ENTITYID	-	-	-
SETTLEMENTDATE	-	-	-
DELIVERYOPTION	-	-	-
TRANSACTIONSUBTYPE	-	-	-
REVERSALCODE	-	-	-
CANCELINSTRUCTION	-	-	-
FROMFUNDPRICEBASIS	-	-	-
TOFUNDPRICEBASIS	-	-	-
TXN_OR_PRICE_DATE_FLAG	-	-	-
FROMPRICEDATE	-	-	-
TOPRICEDATE	-	-	-
SUBSCRIPTIONFUNDID	-	-	-
SUBSCRIPTIONPRICEBASIS	-	-	-
PAYMENTALLOWED	-	-	-
PROCESSID1	-	-	-
SUBSETTLEMENTDATE	-	-	-
SUBPRICEDATE	-	-	-
BASECOST	-	-	-
EXCHANGERATEDETAILS	-	-	-
DEALINGDATE	-	-	-
COMPLETIONDATE	-	-	-
EUSDOPTION	-	-	-
TODEALINGDATE	-	-	-
KYCCHASINGDATE	-	-	-
KYCRECEIVED	-	-	-
LOCKINPERIODFORGAIN	-	-	-
SWIINEXCHANGERATEAPPLIED	-	-	-
AUTOCLEARPROVISIONALBALANCE	-	-	-
AUTOCLEARREINVESTMENTS	-	-	-
FEECURRENCY	-	-	-

Table 2-57 (Cont.) Transactions Upload Format - 00CON

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
MATURITYDATE	-	-	-
SUBSOURCEID	-	-	-
CERTSPILLOVER	-	-	-
ISSUED	-	-	-
BLOCKTXNNUMBER	-	-	-
CPMFBONUSPERCENT	-	-	-
CPMFCREDITLAG	-	-	-
RETAINAGEING	-	-	-
CAMPAIGNCODE	-	-	-
FXDEALDATE	-	-	-
FXVALUEDATE	-	-	-
USERID	-	-	Indicates the user ID who has entered the latest record
AUTH_STAT	-	-	-
ORDERRECEIVEDDATE	-	-	-
TXNCATEGORY	-	-	-
ORDERRECEIVEDTIME	-	-	-
SOURCEID	-	-	-
LOADREFTYPE	-	-	-
KIIDRECEIVED	-	-	-
ORDERRECDTIMEZONE	-	-	Order Received Time zone

Segment ID - **01SET**

Table 2-58 Transactions Upload Format - 01SET

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	01SET
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
TXNSERIALNUMBER	Y	-	Unique
BULKCLIENTCODE	Y	-	Unique
IMPORTFILENAME	Y	-	Unique
AMCID	N	-	-
TRANSACTIONNUMBER	N	-	-
PRODUCTID	N	-	-

Table 2-58 (Cont.) Transactions Upload Format - 01SET

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
POLICYNUMBER	N	-	-
SYSREFERENCENUMBER	N	-	-
UNITHOLDERID	N	-	-
PAYMENTREFERENCENUMBER	N	-	-
PAYMENTMODE	N	-	-
SUBPAYMENTMODE	N	-	-
PAYMENTCURRENCY	N	-	-
PAYMENTDATE	N	Y	-
PAYMENTAMOUNT	N	Y	-
BANKCHARGES	N	Y	-
PAYMENTCHARGES	N	Y	-
EXCHANGERATESOURCE	N	Y	-
EXCHANGERATE	N	Y	-
PAYMENTFBCTXNAMOUNT	N	-	-
PAYMENTALTERNATEAMOUNT	N	-	-
SETTLEMENTDUEDATE	N	Y	-
PAIDDATE	N	Y	-
AUTOSETTLEMENT	N	Y	-
PAYMENTSTATUS	N	-	-
CLEARINGSTATUS	N	-	-
PAID	N	Y	-
SETTLEMENTMETHOD	N	-	-
SETTLEMENTSTATUS	N	-	-
SETTLEMENTAT	N	-	-
CHEQUEDATE	N	Y	-
CHEQUENUMBER	N	Y	-
CHEQUECURRENCY	N	Y	-
CHEQUEAMOUNT	N	Y	-
DRAWEEBANKCODE	N	Y	-
DRAWEEBRANCHNAME	N	Y	-
PAYMENTREMARKS	N	Y	-
PAYMENTTYPE	N	Y	-
THIRDPARTYADDRESS	N	Y	-
THIRDPARTYADDRESSLINE1	N	Y	-
THIRDPARTYADDRESSLINE2	N	Y	-

Table 2-58 (Cont.) Transactions Upload Format - 01SET

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
THIRDPARTYADDRESSLINE3	N	Y	-
THIRDPARTYADDRESSLINE4	N	Y	-
THIRDPARTYBICCODE	N	Y	-
THIRDPARTYCOUNTRYCODE	N	Y	-
THIRDPARTYPAYMENTREFERENCE	N	Y	-
THIRDPARTYSTATE	N	Y	-
THIRDPARTYUSSTATE	N	Y	-
THIRDPARTYZIPCODE	N	Y	-
TRANSFERACCOUNTCURRENCY	N	-	Complete transfer details can be updated if there are no existing transfer details & mode of payment is transfer or existing transfer details to be changed to new transfer details
TRANSFERACCOUNTHOLDERNAME	N	-	-
TRANSFERACCOUNTNUMBER	N	Y	-
TRANSFERACCOUNTTYPE	N	Y	-
TRANSFERBRANCHCODE	N	Y	-
TRANSFERREFERENCENUMBER	N	Y	-
CREDITCARDREFNO	N	Y	-
INKINDPAYMENTDETAILS	N	Y	-
PAYMENTADDRESSDETAILS	N	Y	-
OLDPAYMENTREFERENCENO	N		-
UHBICCODE	N	Y	-
SWIFTFORMAT	N	Y	-
BANKCHARGED	N	Y	-
BENEFICIARY	N	Y	-
BENEFICIARYADD1	N	Y	-
BENEFICIARYADD2	N	Y	-
BENEFICIARYADD3	N	Y	-
BENEFICIARYBICCODE	N	Y	-

Table 2-58 (Cont.) Transactions Upload Format - 01SET

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
BENEFICIARYACCTNO	N	Y	-
BENEFICIARYCODE	N	Y	-
BENEFICIARYINFO	N	Y	-
INTERMEDIARY	N	Y	-
INTERMEDIARYADD1	N	Y	-
INTERMEDIARYADD2	N	Y	-
INTERMEDIARYADD3	N	Y	-
INTERMEDIARYBICCODE	N	Y	-
INTERMEDIARYACCTNO	N	Y	-
INTERMEDIARYCODE	N	Y	-
INTERMEDIARYINFO	N	Y	-
FURTHERCREDITNAME	N	Y	-
FURTHERCREDITACCTNO	N	Y	-
REFERENCE1	N	Y	-
REFERENCE2	N	Y	-
ENTITYID	N	Y	-
ENTITYTYPE	N	Y	-
IBAN	N	Y	-
BENEFICIARYIBAN	N	Y	-
INTERMEDIARYIBAN	N	Y	-
BENEFICIARYID	N	Y	-
BENEFICIARYPERCENTAGE	N	Y	-
AMOUNTBLOCKNO	N	-	-
SETTLED	N	Y	-
REVERSED	N	Y	-

Segment ID - **03AIF**

Table 2-59 Transactions Upload Format - 03AIF

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	03AIF
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
TXNSERIALNUMBER	Y	-	Unique
BULKCLIENTCODE	Y	-	Unique

Table 2-59 (Cont.) Transactions Upload Format - 03AIF

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
IMPORTFILENAME	Y	-	Unique
AMCID	N	-	-
TRANSACTIONNUMBER	N	-	-
REFERENCENUMBER	N	-	-
OTHERINFO1	N	Y	-
OTHERINFO2	N	Y	-
OTHERINFO3	N	Y	-
OTHERINFO4	N	Y	-
OTHERINFO5	N	Y	-
OTHERINFO6	N	Y	-
OTHERINFO7	N	Y	-
OTHERINFO8	N	Y	-
OTHERINFO9	N	Y	-
OTHERINFO10	N	Y	-
OTHERINFO11	N	Y	-
OTHERINFO12	N	Y	-
OTHERINFO13	N	Y	-
OTHERINFO14	N	Y	-
OTHERINFO15	N	Y	-
OTHERINFO16	N	Y	-
OTHERINFO17	N	Y	-
OTHERINFO18	N	Y	-
OTHERINFO19	N	Y	-
OTHERINFO20	N	Y	-
OTHERINFO21	N	Y	-
OTHERINFO22	N	Y	-
OTHERINFO23	N	Y	-
OTHERINFO24	N	Y	-
OTHERINFO25	N	Y	-
OTHERINFO26	N	Y	-
OTHERINFO27	N	Y	-
OTHERINFO28	N	Y	-
OTHERINFO29	N	Y	-
OTHERINFO30	N	Y	-

Segment ID - **04INT**

Table 2-60 Transactions Upload Format - 04INT

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	Segment ID -04INT
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
TXNSERIALNUMBER	Y	-	Unique
ENTITYID	Y	Y	If this field is populated along with dependent fields, this will replace entity at transaction level
ENTITYTYPE	Y	Y	-
SPLITPERCENTAGE	N	Y	-
FROMORTOLEG	N	Y	-

Segment ID - **09LOD**

Table 2-61 Transactions Upload Format - 09LOD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	09LOD
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
TXNSERIALNUMBER	Y	-	Unique
LOADID	Y	-	-
FUNDID	N	-	-
CHANGETYPE	N	Y	-
CHANGEVALUE	N	Y	-
GROUPID	N	-	If not provided, system will consider this as DEFGRP

Segment ID - **10DLO**

Table 2-62 Transactions Upload Format - 10DLO

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	10DLO
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
TXNSERIALNUMBER	Y	-	Unique
DERIVEDLOADID	Y	-	-
FUNDID	N	-	-

Table 2-62 (Cont.) Transactions Upload Format - 10DLO

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
CHANGETYPE	N	Y	-
CHANGEVALUE	N	Y	-
PARENTLOADID	N		-

Segment ID - **12PSL**

Table 2-63 Transactions Upload Format - 12PSL

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	12PSL
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
TXNSERIALNUMBER	Y	-	Unique
REFERENCENUMBER	Y	-	-
LOADID	Y	-	-
FUNDID	N	-	-
CHANGETYPE	N	Y	-
CHANGEVALUE	N	Y	-
GROUPID	N	-	If not provided, system will consider this as DEFGRP
-	-	-	-
-	-	-	-

Segment ID - **13PSD**

Table 2-64 Transactions Upload Format - 13PSD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
SEGMENTID	Y	-	13PSD
PROCESSID	Y	-	Unique
SEQUENCENUMBER	Y	-	Unique
TXNSERIALNUMBER	Y	-	Unique
REFERENCENUMBER	Y	-	-
DERIVEDLOADID	Y	-	-
FUNDID	N	-	-
CHANGETYPE	N	Y	-
CHANGEVALUE	N	Y	-
PARENTLOADID	N	-	-

Table 2-64 (Cont.) Transactions Upload Format - 13PSD

Column Name	Mandatory fields in upload file for upload mode I	Modifiable fields in Incremental mode	Remarks
-	-	-	-
-	-	-	-

> **Note:**
>
> If Param text is set to 'Y' for Param code 'UPLDCUTOFFTIME' and order received Date, order received Time Zone and order received Time is not present in upload file, then the time of transaction creation will be considered as order received Time, order received Time Zone as default one and trade date to be derived accordingly. If parameter is turned off, transaction will be considered as received within the cut off time.

2.8 Fund Entity Upload Format

This topic provides the information about Fund Entity Upload Format.

Using this interface, user can upload the fund entity details by mapping funds to entities along with the allowed/disallowed transaction types.

Operations such as NEW, EDIT, DELETE, AUTHORIZE, AMEND and CLOSE are supported in this Interface.

Segment Identification Number

Table 2-65 Segment Identification Number

Segment Identification Number	Description	Segment Mandatory / Optional?
00FEN	This segment is used to provide Information related to Fund Entity Details	Mandatory
01TXN	This segment is used to provide information related to Fund Entity Transaction Details	Optional

Fund Entity Details

This section is used to provide information related to Fund Entity Details.

Serial Number: 1

Segment Identification Number: 00FEN

Description: This segment is used to populate Fund Entity Details.

Table 2-66 Fund Entity Details

Field Name	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
PROCESSID	VARCHAR2	50	Mandatory	-	Unique value to process interface
SEGMENTID	VARCHAR2	20	Mandatory	-	00FEN
SEQUENCENUMBER	NUMBER	22	Mandatory	-	Sequence Number
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk client code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	BulkFundEntityImport.xls
UPLOADMODE	VARCHAR2	1	Mandatory	-	Represents the action code: • N - New • E - Edit • D - Delete • A - Authorize • O - Reopen • C - Close
FUNDID	VARCHAR2	6	Mandatory	-	Represents a unique identification for each fund in the system. Fundid column of funddemographic stbl
ENTITYTYPE	VARCHAR2	2	Mandatory	-	Type of entity that is to be associated with the selected Fund
ENTITYID	VARCHAR2	12	Mandatory	-	Represents the Entity ID to be mapped to the Fund maintained
RESTRICTIVELIST	NUMBER	22	Optional	-	• 1 - Yes • 0 - No
RESTRICTIONCODE	VARCHAR2	2	Optional	-	Maintenance in paramstbl for paramcode 'RESTRICTIONCD'
REMARKS	VARCHAR2	255	Optional	-	Remarks
USER_ID	VARCHAR2	15	Mandatory	-	User Id

Fund Entity Transaction Details

This section is used to provide information related to Fund Entity Transaction Details, which is optional.

Serial Number: 2

Segment Identification Number: 01TXN

Description: This segment is added to populate Fund entity Transaction Details.

Table 2-67 Fund Entity Transaction Details

Field Name	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
PROCESSID	VARCHAR2	50	Mandatory	-	Unique value to process interface
SEGMENTID	VARCHAR2	20	Mandatory	-	01TXN
SEQUENCENUMBER	NUMBER(10)	10	Mandatory	-	Sequence Number
FUNDID	VARCHAR2	6	Mandatory	-	Represents a unique identification for each fund in the system. Fund id column of funddemographicstbl
ENTITYTYPE	VARCHAR2	2	Mandatory	-	Type of entity that is to be associated with the selected Fund
ENTITYID	VARCHAR2	12	Mandatory	-	Represents the Entity ID to be mapped to the Fund maintained
TRANSACTIONTYPE	VARCHAR2	2	Mandatory	-	Represents the valid transaction types available for the fund

2.9 Account Officer Upload Format

This topic explains the information on Account Officer Upload Format.

Using this interface, user can map Agent, Agency Branch, Account Officer and IFA. Operations such as NEW, EDIT, DELETE, AUTHORIZE, AMEND and CLOSE are supported in this interface.

This section contains the following topics:

Account Officer Maintenance:

Serial Number: 1

Description: This Segment is added to populate Account Officer details.

Table 2-68 Account Officer Upload Format

Field Name	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
PROCESSID	VARCHAR2	50	Mandatory	-	Unique value to process interface
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk client code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File name

Table 2-68 (Cont.) Account Officer Upload Format

Field Name	Data type	Length	Mandatory/ Optional	Characteristics/rules	Values
UPLOADMODE	VARCHAR2	1	Mandatory	-	Represents the action code: • N - New • E - Modify • D - Delete • A - Authorize • O - Reopen • C - Close • R - New Rule
AGENTCODE	VARCHAR2	12	Mandatory	-	Represents the valid agent code to be mapped
BRANCHCODE	VARCHAR2	12	Mandatory	-	Represents the valid branch code to be mapped
EFFECTIVEDATE	DATE		Mandatory	-	Effective date
AOID	VARCHAR2	12	Mandatory	-	Account officer ID
IFAID	VARCHAR2	12	Mandatory	-	IFA Identification details
DEFAULTDETAILS	NUMBER	1	Mandatory	-	Default details: • 1 - Yes • 0 - No
USER_ID	VARCHAR2	15	Mandatory	-	Maker Id

2.10 Agency Branch AO Upload Format

This topic explains the systematic instructions on Agency Branch AO Upload Format.

Using this interface, user can map Agent, Agency Branch and Account Officer.

Operations such as NEW,EDIT,DELETE,AUTHORIZE,AMEND,CLOSE are supported in this interface.

This section contains the following topics:

Agency Branch AO Maintenance:

Serial Number: 1

Description: This segment is added to populate Agency Branch AO details.

Table 2-69 Agency Branch AO Upload Format

Field Name	Data Type	Length	Mandatory/ Optional	Characteristics/Rules	Values
PROCESSID	VARCHAR2	50	Mandatory	-	Unique value to process interface
SEQUENCENUMBER	NUMBER	10	Mandatory	-	Sequence Number

Table 2-69 (Cont.) Agency Branch AO Upload Format

Field Name	Data Type	Length	Mandatory/ Optional	Characteristics/Rules	Values
BULKCLIENTCODE	VARCHAR2	12	Mandatory	-	Bulk client code
IMPORTFILENAME	VARCHAR2	255	Mandatory	-	Import File name
UPLOADMODE	VARCHAR2	1	Mandatory	-	Represents the action code: • N - New • E - Modify • D - Delete • A - Authorize • O - Reopen • C - Close
AGENTCODE	VARCHAR2	12	Mandatory	-	Represents the valid agent code to be mapped
BRANCHCODE	VARCHAR2	12	Mandatory	-	Represents the valid branch code to be mapped
EFFECTIVEDATE	DATE		Mandatory	-	Effective date
AOID	VARCHAR2	12	Mandatory	-	Account officer ID
DEFAULTDETAILS	NUMBER	1	Mandatory	-	Default details: • 1 - Yes • 0 - No
USER_ID	VARCHAR2	15	Mandatory	-	Maker Id