

Oracle® FLEXCUBE Investor Servicing Subscription Workflow User Guide



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ORACLE®

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Preface

Oracle FLEXCUBE Investor Servicing is a comprehensive mutual funds automation software from Oracle® Financial Servicing Software Ltd.©.

You can use the system to achieve optimum automation of all your mutual fund investor servicing processes, as it provides guidelines for specific tasks, descriptions of various features and processes, and general information.

This topic contains the following sub-topics:

- [Purpose](#)
- [Audience](#)
- [Documentation Accessibility](#)
- [Critical Patches](#)
- [Diversity and Inclusion](#)
- [Conventions](#)
- [Screenshot Disclaimer](#)
- [Acronyms and Abbreviations](#)

Purpose

This manual is designed to help acquaint you with the installation of **Oracle FLEXCUBE Investor Servicing** application.

Audience

This manual is intended for the following User/User Roles:

Table 1 Users and Roles

Users	Roles
Implementation team	Implementation of Oracle FLEXCUBE Investor Servicing
Presales team	Install Oracle FLEXCUBE Investor Servicing for demo purpose
Bank personnel	Who installs Oracle FLEXCUBE Investor Servicing

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Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

Acronyms and Abbreviations

The list of the acronyms and abbreviations used are as follows:

Table 2 Acronyms and Abbreviations

Abbreviation	Description
FCIS	Oracle FLEXCUBE Investor Servicing
OEM	Oracle Enterprise Manager

Table 2 (Cont.) Acronyms and Abbreviations

Abbreviation	Description
EMS	Electronic Messaging Service
EJB	Enterprise Java Bean
MDB	Message Driven Beans

1

Oracle FLEXCUBE Transaction Process Management

This topic provides information on Oracle FLEXCUBE transaction process management.

This document provides an overview of the transaction (Subscription) process and takes you through the various steps involved in handling all the necessary activities in the life cycle of a transaction process.

2

Transaction Workflow Setup

This topic provides information on transaction workflow setup.

This topic consists the following sub-topics:

- [Conductor DSL Upload](#)
This topic provides information on conductor DSL upload.

2.1 Conductor DSL Upload

This topic provides information on conductor DSL upload.

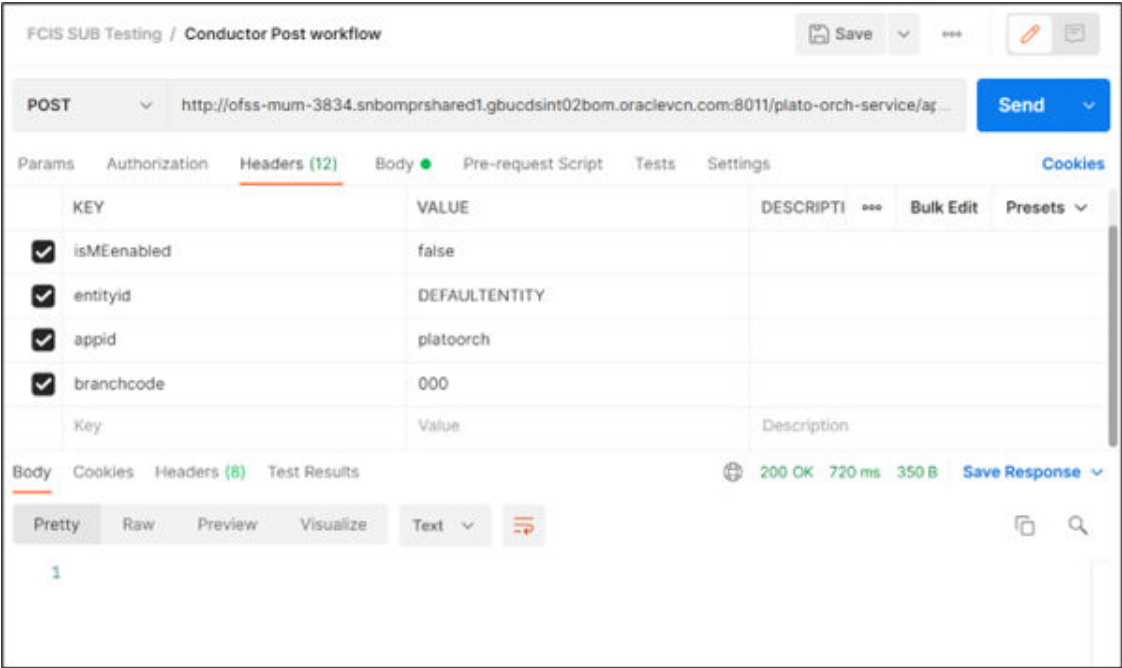
Subscription workflow uses JSON-based DSL (short for, domain-specific language) to define the workflows and workflow steps (tasks). DSL will specify the stages, outcome of stages and what action has to be taken based on outcome. Example, PROCEED or REJECT.

Download the obis-process-flow.zip and extract TRANSACTION.json and HOSTORCHESTRATOR.json on your desktop. In postman send the following request.

Table 2-1 Operation - Post

Operation	Post
URL	http://ofss-mum-3505.snbomprshared1.gbucdsint02bom.oraclecn.com:8011/plato-orch-service/api/metadata/workflow
Header	isMEenabled:false entityid:DEFAULTENTITY appid:platoorch branchcode:000
Body	TRANSACTION.json and HOSTORCHESTRATOR.json

Figure 2-1 Conductor Post Workflow



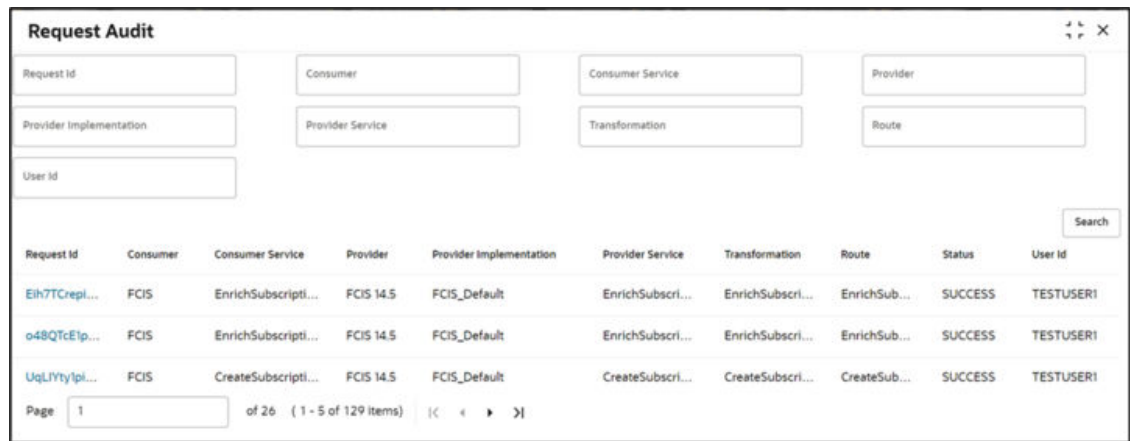
3

Routing Hub Configuration

This topic provides information on routing hub configuration.

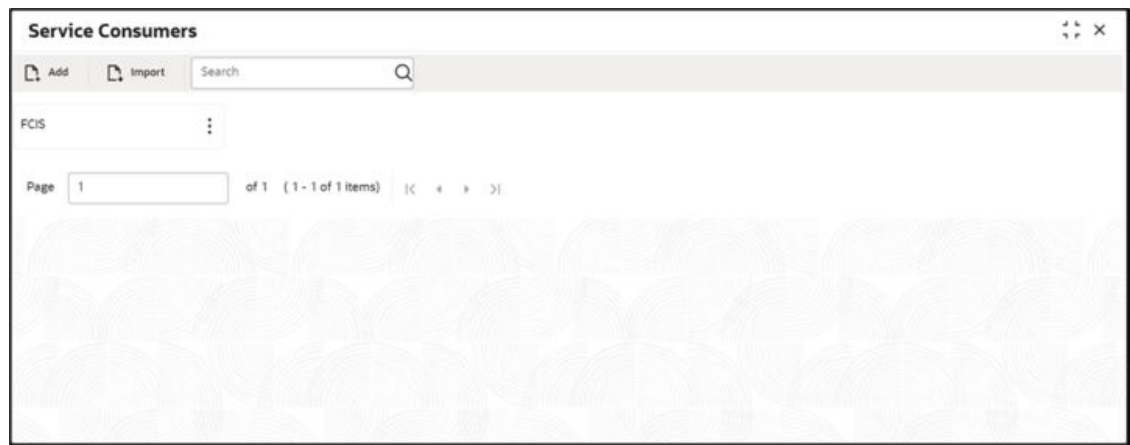
Download obis-obr-h-config.zip from shipment and extract FCIS_Consumer_Service.json. Go to Service Consumers and Import FCIS_Consumer_Service.json.

Figure 3-1 Request Audit



Request Audit									
Request Id	Consumer	Consumer Service	Provider	Provider Implementation	Provider Service	Transformation	Route	Status	User Id
Eih7TCrepl...	FCIS	EnrichSubscri...	FCIS 14.5	FCIS_Default	EnrichSubscri...	EnrichSubscri...	EnrichSub...	SUCCESS	TESTUSER1
o48QTcEIp...	FCIS	EnrichSubscri...	FCIS 14.5	FCIS_Default	EnrichSubscri...	EnrichSubscri...	EnrichSub...	SUCCESS	TESTUSER1
UqLIYtyIpl...	FCIS	CreateSubscri...	FCIS 14.5	FCIS_Default	CreateSubscri...	CreateSubscri...	CreateSub...	SUCCESS	TESTUSER1

Figure 3-2 Service Consumers



Service Consumers	
FCIS	

Note

After import, kindly update the host, port, and header details (Module Code, User Id) as per the FCIS rest setup.

4

Workflow Operation Configuration

This topic provides information on workflow operation configuration.

This topic consists the following sub-topics:

- [Process Code Create](#)
This topic provides information on creating process code.
- [Process Code View](#)
This topic provides information on view of process code.
- [Business Process Create](#)
This topic provides information on creating the business process.
- [Business Process View](#)
This topic provides information on view of business process.
- [Business Product Create](#)
This topic provides information on creation of business product.
- [Business Product View](#)
This topic provides information on view of business product.

4.1 Process Code Create

This topic provides information on creating process code.

The process code created should be in sync with the DSL, and the functional activity code must be added to the user role.

Figure 4-1 Process Code

The screenshot shows a window titled "Process Code" with a close button (X) in the top right corner. Below the title bar, there are four input fields: "Process Code" (containing "FCISSUBC"), "Process Description" (containing "FCIS Subscription"), "Process Version" (containing "1"), and "Domain" (containing "OBIS"). Below these fields is a table with the following columns: "Stage ID", "Stage Description", "Seq Order", "Source Stage", and "Task Type". The table contains four rows of data, each with a trash icon in the "Task Type" column. At the bottom left of the window is an "Audit" button.

Stage ID	Stage Description	Seq Order	Source Stage	Task Type
OBIS_INITIATION	Application Init	1	Y	
TXN_APPEN	Application Entry	2	N	
TXN_SUPAPP	Supervisor Approval	3	N	
TXN_HANDOFF_RE	HandOff Retry	4	N	

4.2 Process Code View

This topic provides information on view of process code.

Following image shows the **Process Code View** screen.

Figure 4-2 View Process Code



4.3 Business Process Create

This topic provides information on creating the business process.

User has to create a business process and provide the process code, once process code is selected the stages will be listed in the filmstrip and user has to map the respective data segments.

Figure 4-3 Business Process Definition

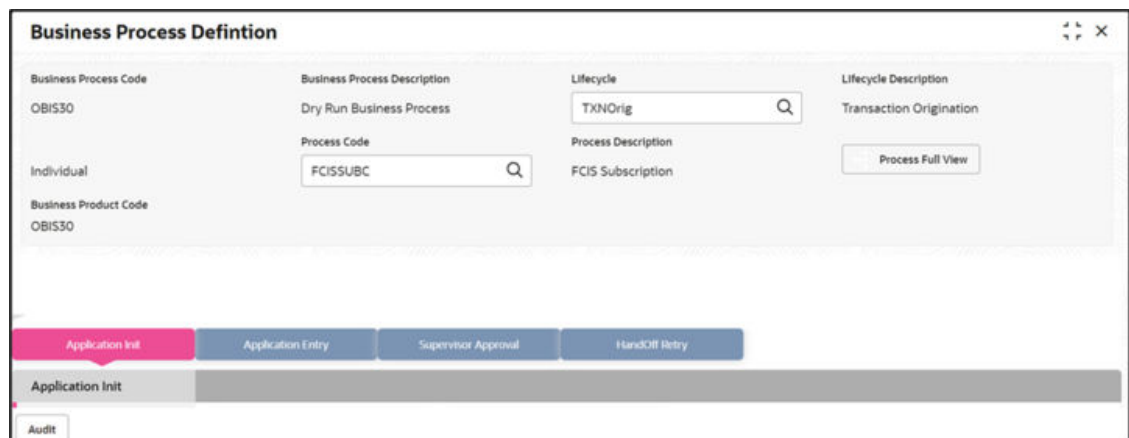


Figure 4-4 Application Inti - Business Process Definition

The screenshot shows the 'Business Product Details' form. On the left is a sidebar with tabs: 'Business Product Details', 'Attribute' (selected), 'Host Mapping', and 'Preference'. The main area is titled 'Attribute' and contains several sections:

- Business Product Image:** A button '+Click to Add Product Image' and a 'Selected file:' field with an 'Upload' button.
- Business Product Summary:** A text field containing the word 'enabled'.
- Feature:** A section with 'Feature Name' (Max 100 characters) and 'Feature Description' (Max 400 characters), both marked as 'Required'.
- Eligibility:** A section with an '+ Add Feature' button, 'Eligibility Name', and 'Eligibility Description' fields.

 At the bottom right are buttons for 'Cancel', 'Back', 'Save & Close', and 'Next'. A top right corner shows 'Errors & Overrides' and 'Screen(2/4)'.

4.4 Business Process View

This topic provides information on view of business process.

Following image shows the **Business Process View** screen.

Figure 4-5 View Business Process

The screenshot shows the 'View Business Process' screen. It features a search bar at the top left and a list of four business process cards. Each card displays:

- Business Process Code:** FCISSUBC, OBISSUBR, OBI530, and OBISSUBC.
- Description:** Dry Run Business..., Subscription Reversal Transaction Origination, Dry Run Business..., and OBIS Subscription Transaction Origination.
- Life Cycle:** FCIS Subscription OBIS30, Subscription Reversal FUND04, FCIS Subscription OBIS30, and OBIS Subscription OBIS20.
- Process:** FCIS Subscription OBIS30, Subscription Reversal FUND04, FCIS Subscription OBIS30, and OBIS Subscription OBIS20.
- Business...** (truncated)
- Actions:** Each card has 'Authorized', 'Open', and a count icon (e.g., 1).

 At the bottom, there is a pagination control showing 'Page 1 of 1 (1 - 4 of 4 items)' with navigation arrows.

4.5 Business Product Create

This topic provides information on creation of business product.

Product has to be created for which the transaction will be done, the same will be mapped while defining the business process.

Figure 4-6 Business Product Details

Business Product Details Screen(1/4)

Business Product Details

Product Type Product Sub Type Business Product Code Business Product Name

Select Required Required Required Required

Cancel Save & Close Next

Figure 4-7 Attribute - Business Product Details

Business Product Details Screen(2/4)

Attribute

Business Product Image + Click to Add Product Image

Selected file: Upload

Business Product Summary enabled

Feature Feature Name Feature Description

Max 100 characters Max 400 characters

Required Required Required Required

+ Add Feature

Eligibility Eligibility Name Eligibility Description

Cancel Back Save & Close Next

Fund in which the transaction will take place will be mapped in this as part of host mapping.

Figure 4-8 Host Mapping - Business Product Details

Business Product Details Screen(3/4)

Host Mapping

Business Product Code Select Host Product Product Description

ALRDWIN

Cancel Back Save & Close Next

Figure 4-9 Preference - Business Product Details

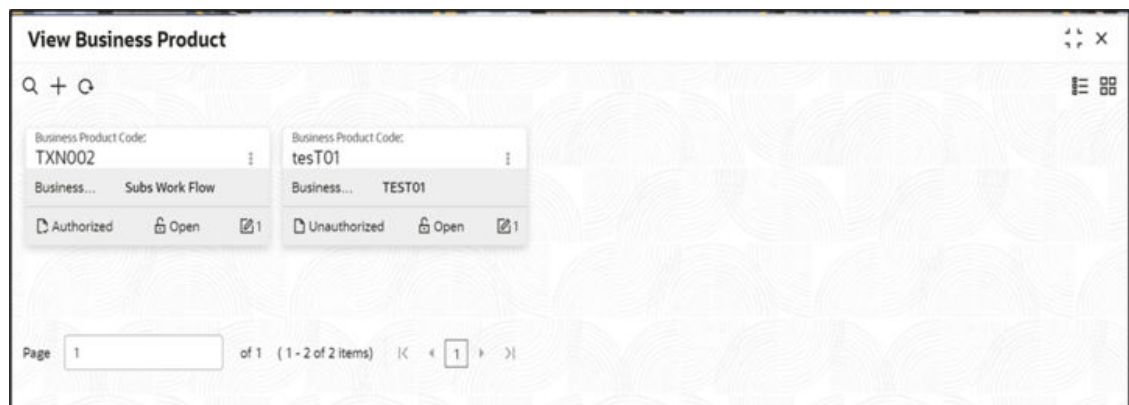


4.6 Business Product View

This topic provides information on view of business product.

Following image shows the **Business Product View** screen.

Figure 4-10 Business Product View



5

Overview of Oracle FLEXCUBE Transaction Creation Process

This topic provides information on overview of Oracle FLEXCUBE transaction creation process.

Transaction creation process will enable the investment banks to leverage on the state of the art technology used for business modelling, help extend the boundaries of potential markets and ensure the convenience with primary focus on customer service.

We shall look at the reference workflows of the transaction process before understanding how the configuration and capture of data can be done. These stages can be made mandatory or non-mandatory based on the business process configurations. The process management flow is basically routed through various stages to capture relevant data before it is ready to be sent to the host for transaction creation.

The pre-defined process flow for transaction process is as follows:

- Initiation Stage
- Application Entry Stage
- Supervisor Approval Stage
- Transaction Creation

The convenience of configuring appropriate stages and the respective data segments within each of these stages, which can be business driven, is hosted and architected by our new platform solution. The random-access navigation between data segments within a given stage with appropriate validations, helps enable the business user to capture apt information anytime during the subscription process before the transaction is created in the host.

The business process definition will determine the different stages which are required for a given combination of the Process Code, Life Cycle and Business Product Code. The workflow management of these stages and the relevant stage movements are defined in the Process Orchestrator to help us orchestrate the subscription based process flow and ensure seamless transition of the transaction creation process across various stages in that given order. The workflow process drives the application from one stage to another based on the process outcomes at the respective stages and subject to fulfilling of the mandatory data capture/ submission at the respective stages.

6

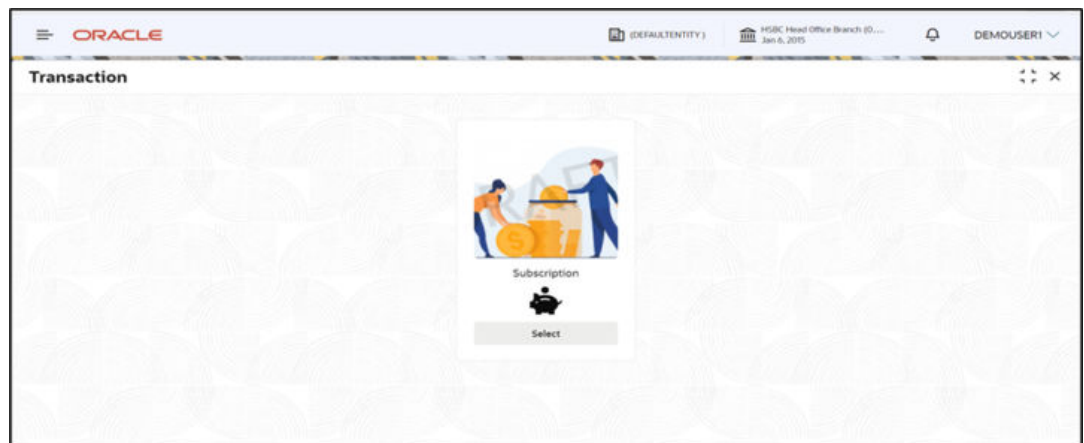
Transaction Creation Process

This topic provides information on transaction creation process.

1. From Home screen, click **Menu** and then select **Investor Service**. Click **Operations** and then select **Transaction**.

The following screen will display.

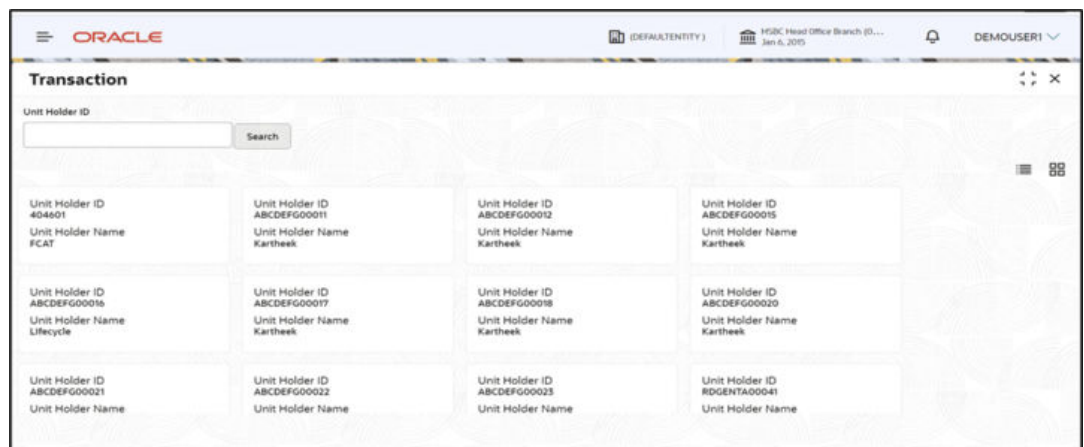
Figure 6-1 Subscription Page



2. On click of **Select** button, it will launch **Unit Holder Search** page, where you can search for Unit Holder based on Unit Holder ID's.

The following screen will display.

Figure 6-2 Unit Holder Search View

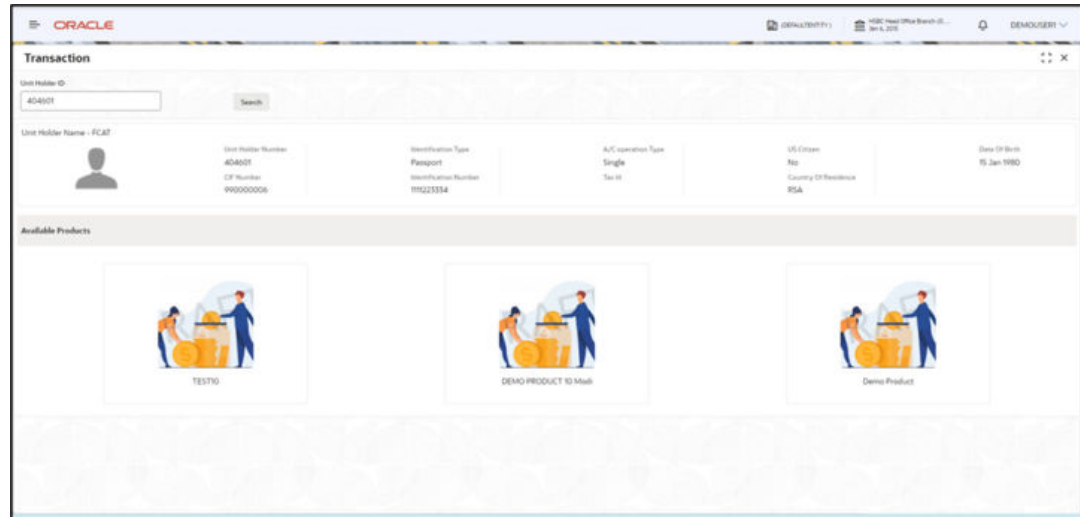


3. Specify the unit holder that has requested the transaction, in the Unit Holder Search section. Alternatively, you can click on search button to get unit holder ID list. The list displays all valid unit holder ID maintained in the system.

4. After selection Unit Holder it will provide details of Unit Holder and available products and existing funds.

The following screen will displays.

Figure 6-3 Unit Holder Detail Page



5. Once you click on available product it will launch the initiation screen.

This topic consists the following sub-topics:

- [Transaction Initiation Stage](#)
This topic provides information on transaction initiation stage.
- [Initiate Transaction](#)
This topic provides information on initiate transaction.
- [Transaction Entry Stage](#)
This topic provides information on transaction entry stage.
- [Transaction Approval Stage](#)
This topic provides information on transaction approval stage.
- [Transaction Handoff Retry](#)
This topic provides information on transaction handoff retry.
- [Action Tabs](#)
This topic provides information on action tabs.

6.1 Transaction Initiation Stage

This topic provides information on transaction initiation stage.

All the transaction are initiated in the Application Initiation stage. Once the transaction creation process is initiated as a single product origination, process orchestrator generates the transaction creation process reference number on click of Subscription. Process orchestrator also updates the record in the Free Task process for the Initiation stage also referred as Task from orchestrator perspective.

The Initiation stage is the first stage in the Transaction Creation process. After the initiate process is completed successfully, the application can be acquired by the user who has the access rights for the given stage and progress with the data capture.

Initiation Stage two screens are mapped, Initiate Transaction and Transaction Information. Stage Summary is show by default in workflow for all stages.

6.2 Initiate Transaction

This topic provides information on initiate transaction.

In Initiation page, please input the required fields like User reference number, Transaction date, transaction subtype, Sub Payment mode, transaction mode and respective field and click **Next**, it will take you to the Transaction Information Page.

Figure 6-4 Initiation Page

The screenshot displays the Oracle Initiate Transaction page. The top navigation bar includes the Oracle logo, user identity (DEFAULT/ENTITY), branch information (HSBC Head Office Branch (B... Jan 6, 2015)), and a user profile (DEMO/USER2). The main header shows the transaction ID 'Initiate - 000APP000000554' and buttons for 'Application Info' and 'Comments'. The left sidebar contains a menu with 'Initiate Transaction' (selected), 'Transaction Information', and 'Summary'. The main content area is titled 'Initiate Transaction' and is divided into two sections: 'Fund Info' and 'Unit Holder Name - FCAT'. The 'Fund Info' section displays a grid of fields: Transaction Type (Subscription), Fund ID (ATFUND), ISIN Number (ATFUNDISIN1), AMC Name (TEMPLETON), Latest Nav (10), and Fund Base Currency (ZAR). The 'Unit Holder Name - FCAT' section displays a grid of fields: Unit Holder Number (404601), CIF Number (990000006), Identification Type (Passport), Identification Number (111223334), A/C operation Type (Single), Tax Id, US Citizen (No), Country Of Residence (RSA), and Date Of Birth (15 Jan 1980).

Fund Info Panel section will display following values:

- Transaction Type
- Fund ID
- ISIN Number
- AMC Name
- Latest Nav
- Fund Base Currency

Unit Holder Panel section will display following values:

- Unit Holder Number
- CIF Number
- Identification Type
- Identification Number
- A/C Operation Type
- Tax ID
- Country of Residence
- Date of Birth

Figure 6-5 Initiation Page

For more information on fields, refer to the field description table.

Table 6-1 Field - Description

Field	Description
User Reference Number	<i>Alphanumeric; 16 Characters; Mandatory</i> Specify the reference number for the transaction. If the reference number is designated to be system-generated for the agency branch, then the Reference Number field is locked and the number will be generated by the system when you save the transaction
Transaction Number	Specify the transaction number for the transaction.
Order Received Time Zone	<i>Optional</i> Specify the Order Received Time Zone . Alternatively, you can select it from the option list. The list displays all Time Zones maintained in the system.
Order Received Date Time	<i>Date Format; Optional</i> Specify the Order Received Date Time . Note: Order Received Date will not be defaulted for system generated transactions.
Transaction Date	<i>Date Format; Mandatory</i> Specify the transaction date alternatively you can default it by providing the order receive time zone or order receive date time.
Transaction Currency	<i>Alphanumeric; 3 Characters; Optional</i> Specify the currency in which the amount value for the transaction will be reckoned. Alternatively, you can select transaction currency from the option list. The list displays all valid transaction currency maintained in the system.
Transaction Sub Type	<i>Optional</i> Specify the transaction sub type for the transaction.
Sub Payment Mode	<i>Optional</i> Specify the sub payment mode for the transaction.

Table 6-1 (Cont.) Field - Description

Field	Description
Transaction Mode	<i>Mandatory</i> Select the transaction mode from the drop-down list. Following are the options available in the drop-down list: <ul style="list-style-type: none">• Amount• Units
Units Applied	<i>Numeric; 27 Characters</i> You can specify the details in this field only if you have selected Transaction Mode field as Units.
Amount Applied	<i>Numeric; 27 Characters; Optional</i> Specify the amount applied details. You can specify the details in this field only if you have selected Transaction Mode field as Amount. Else, while clicking Next button, the system will display an error message.

- [Transaction Information](#)
This topic provides information on transaction information.
- [Transaction Summary](#)
This topic provides information on transaction summary.

6.2.1 Transaction Information

This topic provides information on transaction information.

In Transaction Information page will provides information for all segments like:

- Transaction Basic Details
- Intermediary Details
- Load Override Details
- Preference Details
- Settlement Details

On click of each panel will provide detail information on pop-up with view mode only.

Figure 6-6 Transaction Information Page

Supervisor Approval - 000APP00000524

Transaction Information

Transaction Basic Details

Unit Holder ID	Transaction Date
404601	2015-04-12
Unit Holder Name	Transaction Currency
Mr. FCAT UH 9	ZAR
Fund ID	Transaction Amount
ATFLUND	60000
Fund Name	
Audit Test Fund	

Intermediary Details

Entry ID	Parent Entry Name
FJVOGEL	
Entry Name	Percentage
	100
Entry Type	
B	

Load Override Details

Load ID	Change Type
	Change Value
Load Description	Projected Returned Value
Amount or Percentage	

Preference Details

Settlement Date	Price Date
2015-04-12	
Base Cost	Dealing Date
	2015-04-12
Short Trade Unit	Completion Date

Buttons: Audit, Cancel, Save & Close, Next

Figure 6-7 Transformation Basic Details

Initiate - 000APP00000548

Transaction Information

Transaction Basic Details

UH And Fund Details Transaction Number - User Reference Number - 000APP00000548

Transaction Date	Order Receive TimeZone	Order Receive Date Time
12 Apr 2015	SER	06 Jan 2015
Transaction Currency	Gross or Net	Payment Mode
ZAR	Gross	Transfer
Transaction Sub Type	Sub Payment Mode	Transaction Category
Public Work	Private Transaction	

Buttons: Audit, Cancel, Save & Close, Next

6.2.2 Transaction Summary

This topic provides information on transaction summary.

While clicking on the next from Transaction information screen it will launch summary page it will contains with summary of Initiate Transaction and Transaction Information with completed (Green) and incomplete (Red) indicators.

On click of each panel it will detail information on popup.

Figure 6-8 Transaction Summary Page

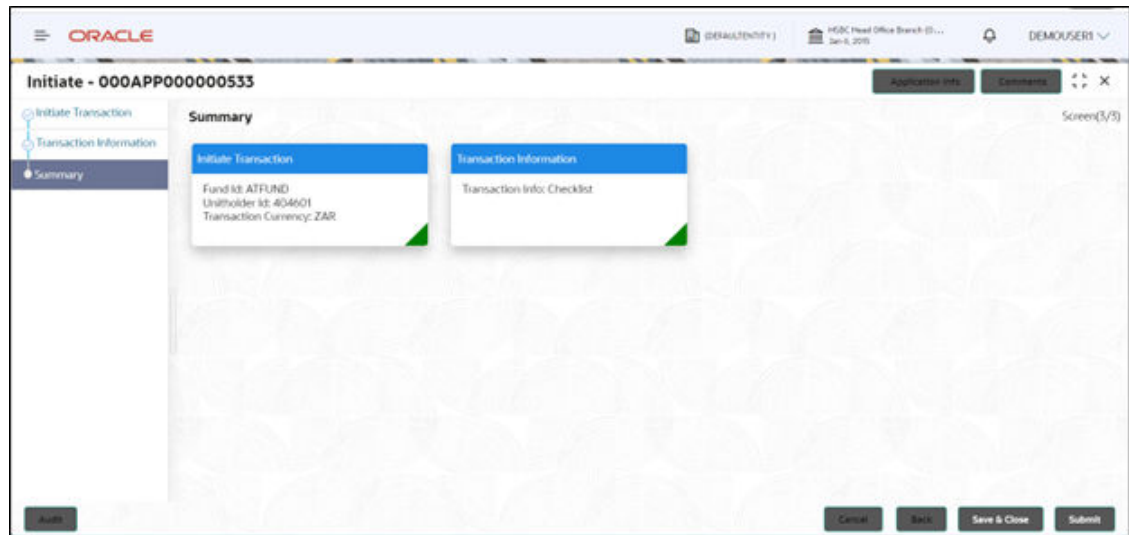
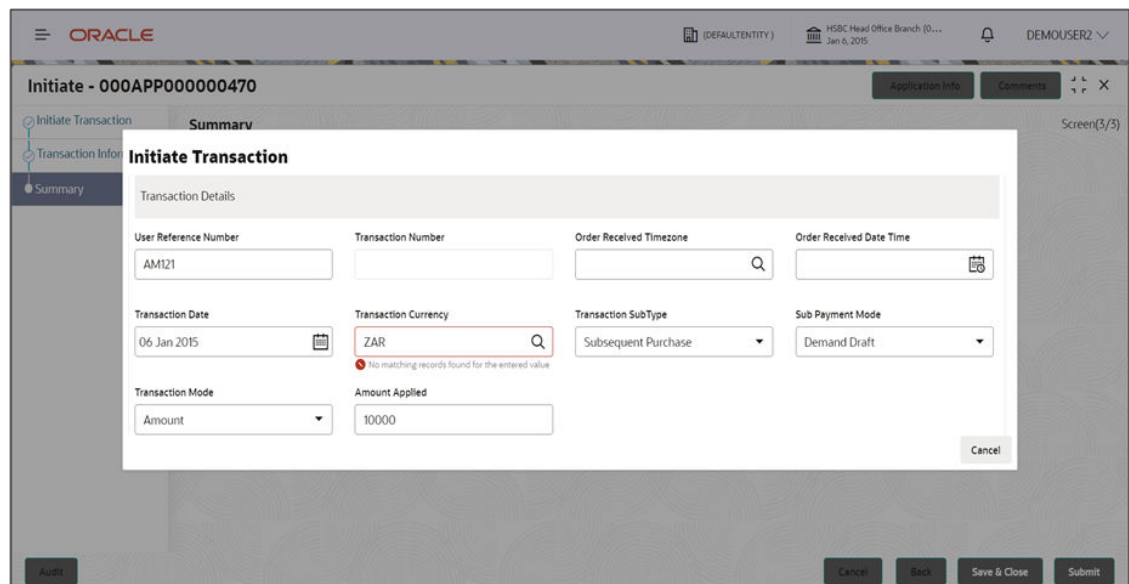


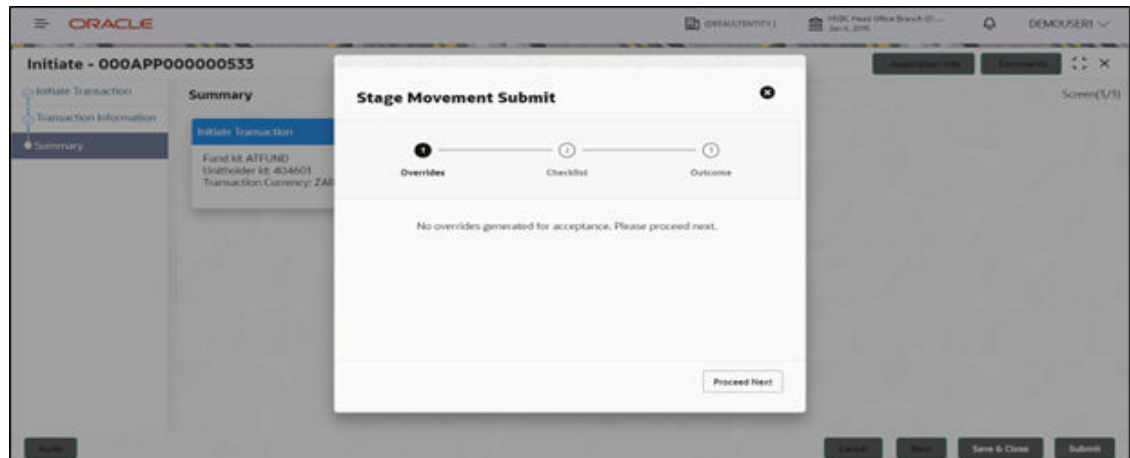
Figure 6-9 Transaction Summary Page Popup



While submitting the initiation segment it will take you to the submit flow.

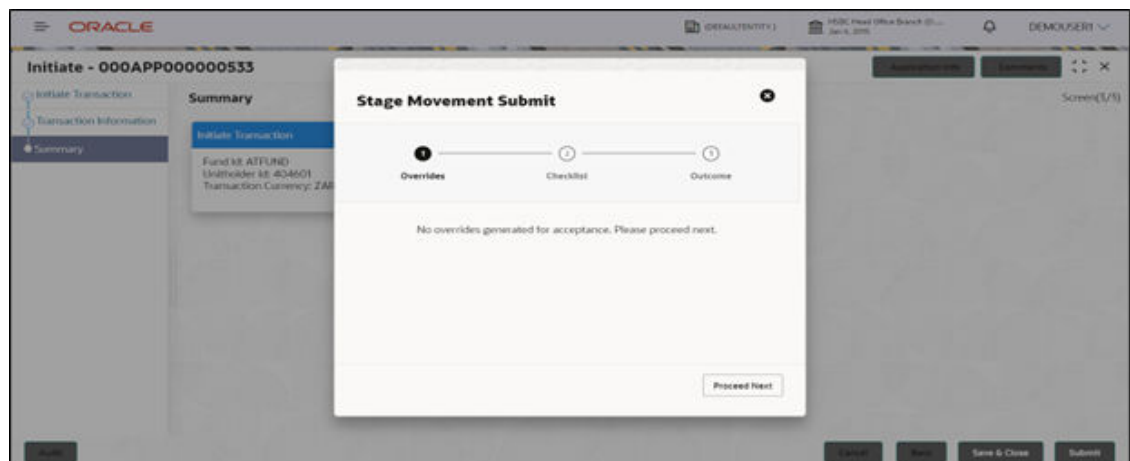
Transaction Override will be displayed with check list or if no override then no override message will be displayed. On click of proceed and next it will navigate to checklist view.

Figure 6-10 Transaction initiation submit override flows



In check list view will ask for validated the transaction details or not. On click of save & proceed it Will navigate to outcome view.

Figure 6-11 Transaction initiation submit checklist flows



In outcome view it will be having outcome drop down with proceed and proceed for approval and remark field.

Oracle

DEFAULT ENTITY | F20C Head Office Search (L... | DEMOUSER |

Initiate - 000APP000000533

Initiate Transaction

Transaction Information

Summary

Summary

Initiate Transaction

Fund Mt. ATFUND
Unit holder Id: 404601
Transaction Currency: ZAR

Application Info

Summary

Screen(5/5)

Stage Movement Submit

1 Overrides

2 Checklist

3 Outcome

Select an Outcome

PROCEED

Remarks

Initiation submitted

Submit

Cancel

Back

Save & Close

Submit

Figure 6-13 Initiation Success popup

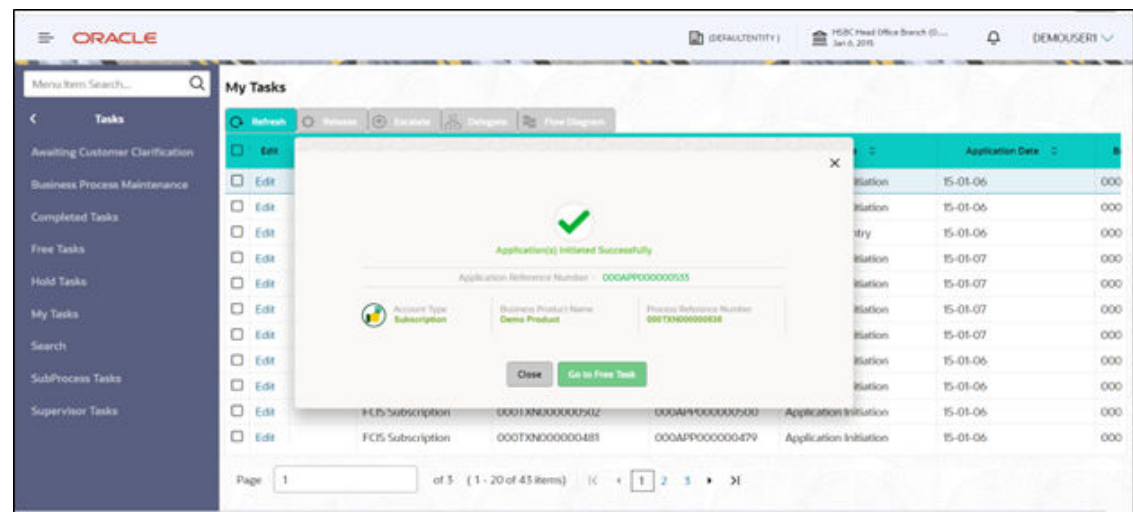


Figure 6-14 Free task page

Acquire & Sell	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
Acquire & Sell		FCS Subscription	000TXN0000000535	000APPO000000535	Application Entry	15-01-06
Acquire & Sell		FCS Subscription	000TXN0000000496	000APPO000000496	Handoff Retry	15-01-06
Acquire & Sell		FCS Subscription	000TXN0000000415	000APPO000000415	Application Initiation	15-01-06
Acquire & Sell		FCS Subscription	000TXN0000000412	000APPO000000412	Application Initiation	15-01-06
Acquire & Sell		FCS Subscription	000TXN0000000406	000APPO000000406	Application Initiation	15-01-06
Acquire & Sell		FCS Subscription	000TXN0000000118	000APPO000000118	TXN Approval	15-01-06
Acquire & Sell		FCS Subscription	000TXN0000000075	000APPO000000075	Application Initiation	15-01-06
Acquire & Sell		FCS Subscription	000TXN0000000070	000APPO000000068	Application Initiation	15-01-06
Acquire & Sell		FCS Subscription	000TXN0000000067	000APPO000000065	Application Initiation	15-01-06
Acquire & Sell		FCS Subscription	000TXN0000000061	000APPO000000059	Application Initiation	15-01-06
Acquire & Sell		FCS Subscription	000TXN0000000058	000APPO000000056	Application Initiation	15-01-06

6.3 Transaction Entry Stage

This topic provides information on transaction entry stage.

All the data segment is these stages are marked as read only.

Transaction entry stage following screens are mapped.

This topic consists the following sub-topics:

- [Basic Information](#)
This topic provides information on basic information.
- [Transaction Preference](#)
This topic provides information on transaction preference.
- [Transaction Intermediary Details](#)
This topic provides information on transaction intermediary details.
- [Transaction Load Override Details](#)
This topic provides information on transaction load override details.
- [Transaction Settlement Details](#)
This topic provides information on transaction settlement details.
- [Stage Summary](#)
This topic provides information on stage summary.
- [Stage Submit](#)
This topic provides information on stage submit.

6.3.1 Basic Information

This topic provides information on basic information.

Figure 6-15 Basic Information page

Transaction Application Entry - 000APP000000533

Application Info Comments Screen(1/6)

Basic Information

Transaction Number - 000APP000000533 User Reference Number - 000APP000000533

Transaction Date	12 Apr 2015	Order Receive Timezone	SER	Order Receive Date Time	06 Jan 2015
Transaction Currency	ZAR	Gross or Net	Gross	Payment Mode	Transfer
Transaction Sub Type	Initial Purchase	Sub Payment Mode	Money Transfer	Transaction Category	Legacy
Transaction Made	Amount	Amount Applied	50000		

Buttons: Cancel Save & Close Next

6.3.2 Transaction Preference

This topic provides information on transaction preference.

Figure 6-16 Transaction Preference page

Transaction Application Entry - 000APP000000533

Application Info Comments Screen(2/6)

Transaction Preferences

Transaction Preference

Settlement Date	12 Apr 2015	Price Date		Dealing Date	12 Apr 2015
Completion Date		Maturity Date			
Communication Mode	DI	Units Classification		Fund Price Basis	
KID Received	Yes	EUSD Option	Yes	LOI Applicable	Yes

Buttons: Cancel Back Save & Close Next

6.3.3 Transaction Intermediary Details

This topic provides information on transaction intermediary details.

Figure 6-17 Transaction Intermediary page

The screenshot shows the Oracle Transaction Intermediary page for Transaction Application Entry - 000APP000000533. The page is titled "Transaction Intermediary Details" and includes a sidebar with navigation links: Basic Information, Transaction Preferences, Transaction Intermediary (selected), Transaction Load Override, Transaction Settlement, and Summary. The main content area contains the following fields:

- Investing Through Adviser? Yes
- Are you within the target market of this investment product? Yes
- Reason for product recommendation?
- Advised Mode: Advised
- Entity Type: B
- Entity Name: FJVOGEL
- Parent Entity Name: FJVOGEL

At the bottom, there is a button labeled "Add Intermediary Details". The page also features a top navigation bar with the Oracle logo, user information (DEMOUSER1), and a date (Jan 6, 2015). The bottom of the page has buttons for "Cancel", "Back", "Save & Close", and "Next".

6.3.4 Transaction Load Override Details

This topic provides information on transaction load override details.

Figure 6-18 Transaction Load Override page

The screenshot shows the Oracle Transaction Load Override page for Transaction Application Entry - 000APP000000533. The page is titled "Transaction Load Override Details" and includes a sidebar with navigation links: Basic Information, Transaction Preferences, Transaction Intermediary, Transaction Load Override (selected), Transaction Settlement, and Summary. The main content area contains the following fields:

- Apply Campaign: Yes
- Campaign Level: Campaign Group
- Campaign Group or Code
- Load Pattern

At the bottom, there is a button labeled "Load Pattern". The page also features a top navigation bar with the Oracle logo, user information (DEMOUSER1), and a date (Jan 6, 2015). The bottom of the page has buttons for "Cancel", "Back", "Save & Close", and "Next".

6.3.5 Transaction Settlement Details

This topic provides information on transaction settlement details.

Figure 6-19 Transaction Settlement page

Transaction Application Entry - 000APP000000533

Transaction Settlement Details

Settlement Details

Settlement Level: Fund Base Currency

Settlement Method: Gross Amount

Add Payment Details

Payment Details

Payment Reference No.

Payment Type: select

Auto settle: Yes

Instrument Status

Buttons: Cancel, Back, Save & Close, Next

6.3.6 Stage Summary

This topic provides information on stage summary.

On click of each panel it will give detailed information in view mode.

Figure 6-20 Transaction Entry Summary

Transaction Application Entry - 000APP000000533

Summary

Basic Information

Fund ID: ATTUND
Unitholder ID: 404601
Transaction Currency: ZAR

Transaction Preferences

Settlement Date: 2015-04-12
Dealing Date: 2015-04-12
Communication Mode: DI

Transaction Intermediary Details

Entry ID: FVNOGL
Entry Type: B

Transaction Load Override Details

Load ID
Load Description
Change Type
Change Value

Transaction Settlement Details

Settlement Level
Settlement Method

Buttons: Cancel, Back, Save & Close, Submit

Figure 6-21 Transaction Entry Summary detailed popup with view mode

Transaction Application Entry - 000APP000000390

Application Info Comments

Screen(6/6)

Basic Information

UH And Fund Details		Transaction Number -	User Reference Number - M98989
Transaction Date	Order Receive Time Zone	Order Receive Date Time	
06 Jan 2015	SER	06 Jan 2015	
Transaction Currency	Gross or Net	Payment Mode	
ZAR	Gross	Transfer	
Transaction Sub Type	Sub Payment Mode	Transaction Category	
Customer Purchase	Advance Transfer	Income	

Cancel

Auth Cancel Back Save & Close Submit

6.3.7 Stage Submit

This topic provides information on stage submit.

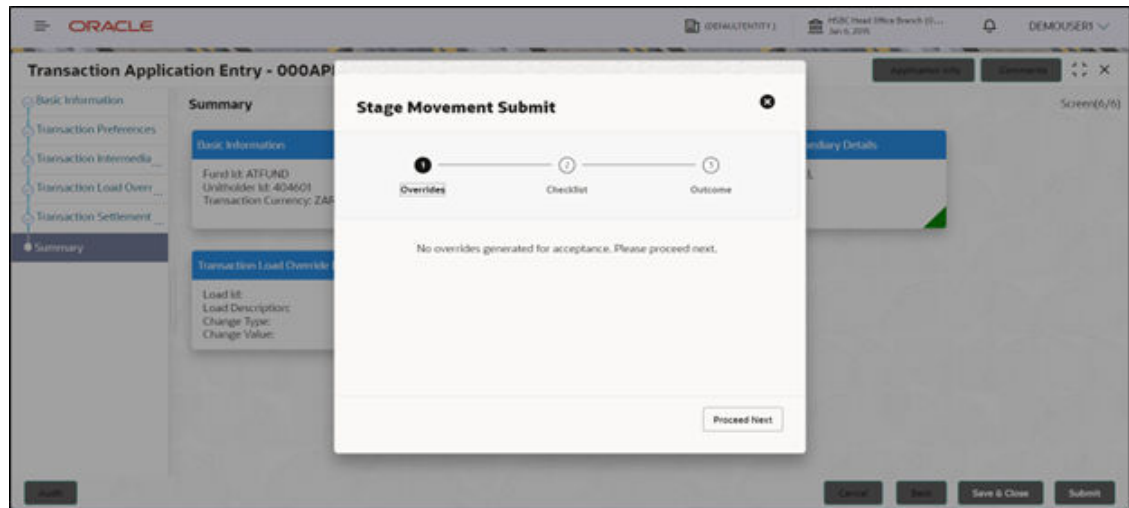
While submitting the application entry it will prompt the submit process flow once submitted it will move approval stage.

- [Overrides](#)
This topic provides information on overrides.
- [Checklist](#)
This topic provides information on checklist.
- [Outcome](#)
This topic provides information on outcome.
- [Free Task](#)
This topic provides information on free task.

6.3.7.1 Overrides

This topic provides information on overrides.

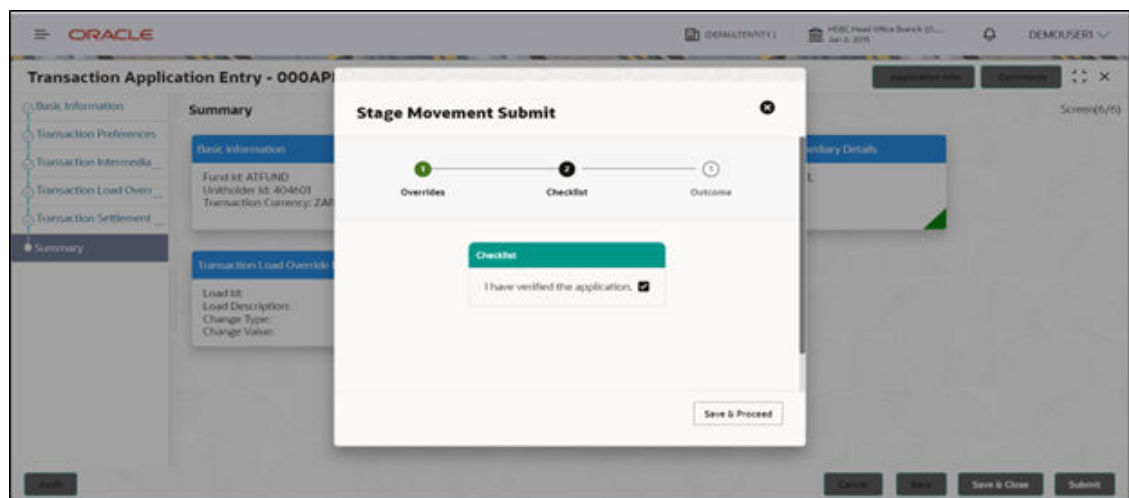
Figure 6-22 Transaction Entry Submit flow



6.3.7.2 Checklist

This topic provides information on checklist.

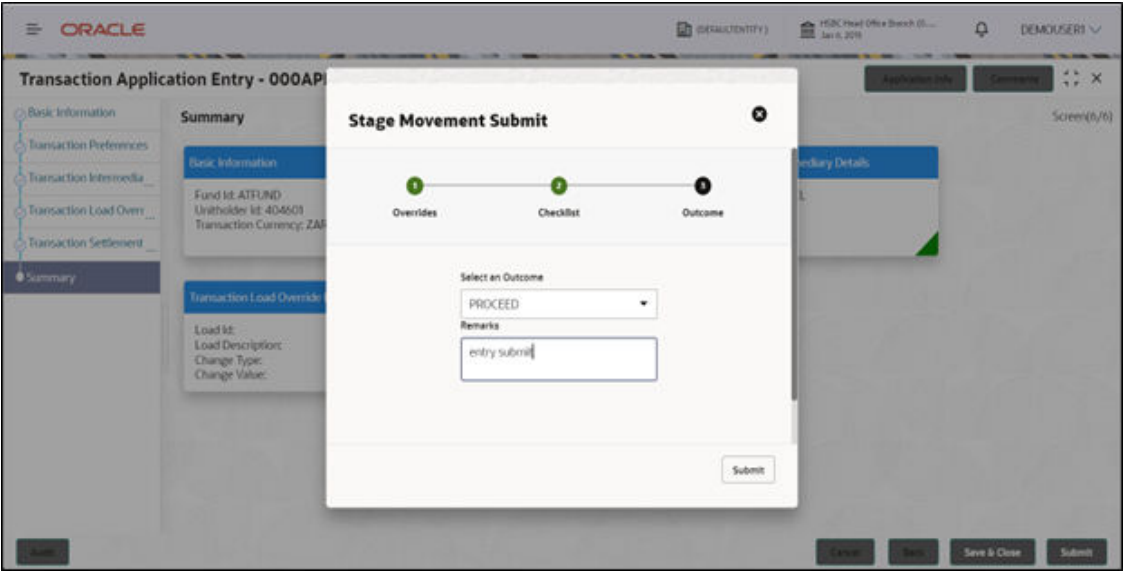
Figure 6-23 Transaction Entry Submit flow



6.3.7.3 Outcome

This topic provides information on outcome.

Figure 6-24 Stage movement Submit



6.3.7.4 Free Task

This topic provides information on free task.

In free task page application will be approval stage once Acquire it will launch application approval segments.

Figure 6-25 Free task page

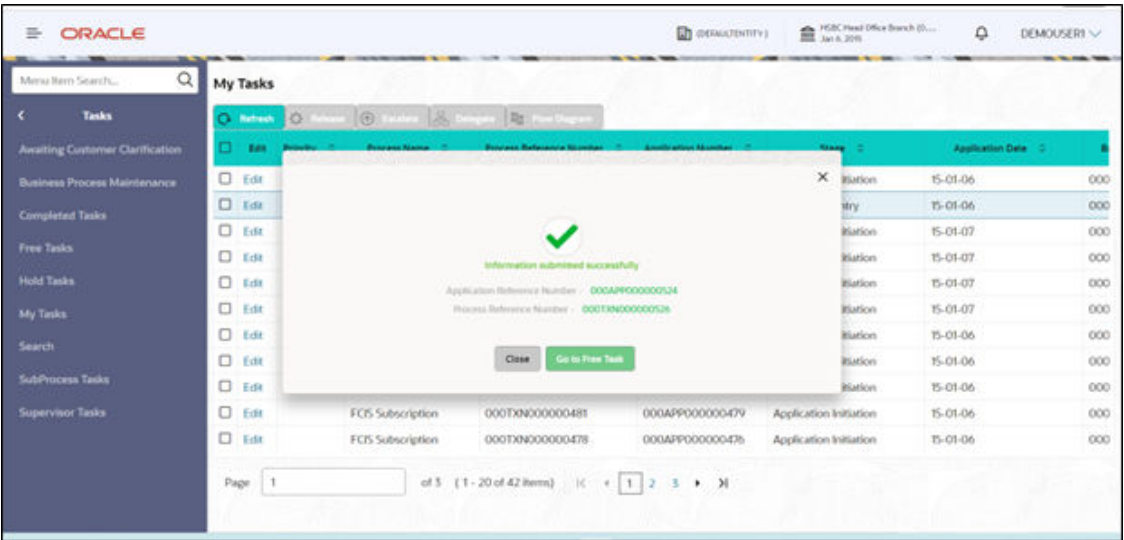


Figure 6-26 Free task page

<input type="checkbox"/>	Acquire & Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000526	000APPO00000524	TXN Approval	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000535	000APPO00000535	TXN Approval	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000496	000APPO00000494	Handoff Retry	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000485	000APPO00000485	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000482	000APPO00000480	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000406	000APPO00000404	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000188	000APPO00000186	TXN Approval	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000073	000APPO00000071	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000070	000APPO00000068	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000067	000APPO00000065	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & Edit		FCIS Subscription	000TXN000000061	000APPO00000059	Application Initiation	15-01-06

6.4 Transaction Approval Stage

This topic provides information on transaction approval stage.

From free task if you acquire the approval transaction it will launch the approval screen where you can reject or approve the transaction.

Transaction entry stage following screens are mapped.

This topic consists of sub-topics:

- [Transaction Information](#)
This topic provides information on transaction information.
- [Approval Details](#)
This topic provides information on approval details.
- [Approval Summary](#)
This topic provides information on approval summary.
- [Stage Submit](#)
This topic provides information on stage submit.

6.4.1 Transaction Information

This topic provides information on transaction information.

Figure 6-27 Transaction Information page

Supervisor Approval - 000APP000000524

Transaction Information

Transaction Basic Details		Intermediary Details	
Unit Holder ID	404601	Transaction Date	2015-04-12
Unit Holder Name	Mr. FCAT UH 9	Transaction Currency	ZAR
Fund ID	ATFLUND	Transaction Amount	60000
Fund Name	Audit Test Fund	Entry ID	FJVOGEL
		Parent Entry Name	
		Entry Name	Percentage
		Entry Type	100
			B

Load Override Details		Preference Details	
Load ID		Settlement Date	2015-04-12
Load Description		Change Type	Change Value
Amount or Percentage		Base Cost	2015-04-12
		Short Trade Unit	Completion Date

Buttons: Cancel, Save & Close, Next

6.4.2 Approval Details

This topic provides information on approval details.

Figure 6-28 Approval page

Supervisor Approval - 000APP000000524

Approval Details

User Recommendation

Recommendation

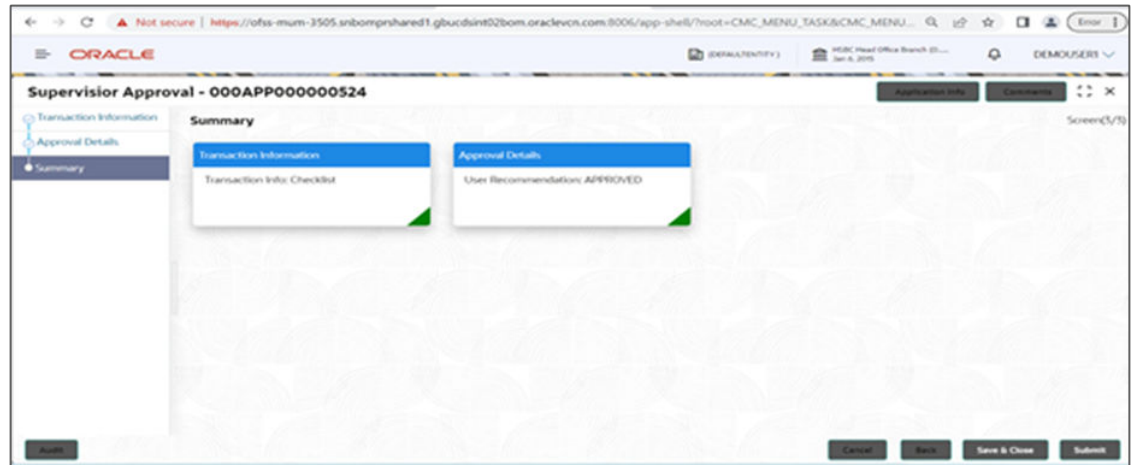
User Action: APPROVED

Buttons: Approve, Reject

Buttons: Cancel, Save & Close, Next

6.4.3 Approval Summary

This topic provides information on approval summary.

Figure 6-29 Transaction approval stage summary page

6.4.4 Stage Submit

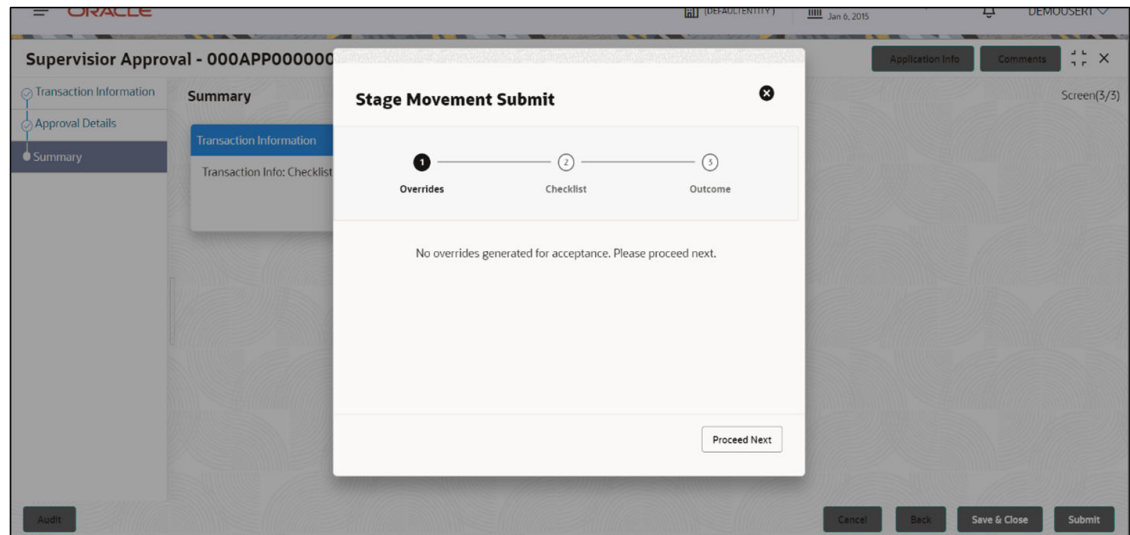
This topic provides information on stage submit.

This topic consists the following sub-topics:

- [Overrides](#)
This topic provides information on overrides.
- [Checklist](#)
This topic provides information on checklist.
- [Outcome](#)
This topic provides information on outcome.
- [Tasks](#)
This topic provides information on tasks.

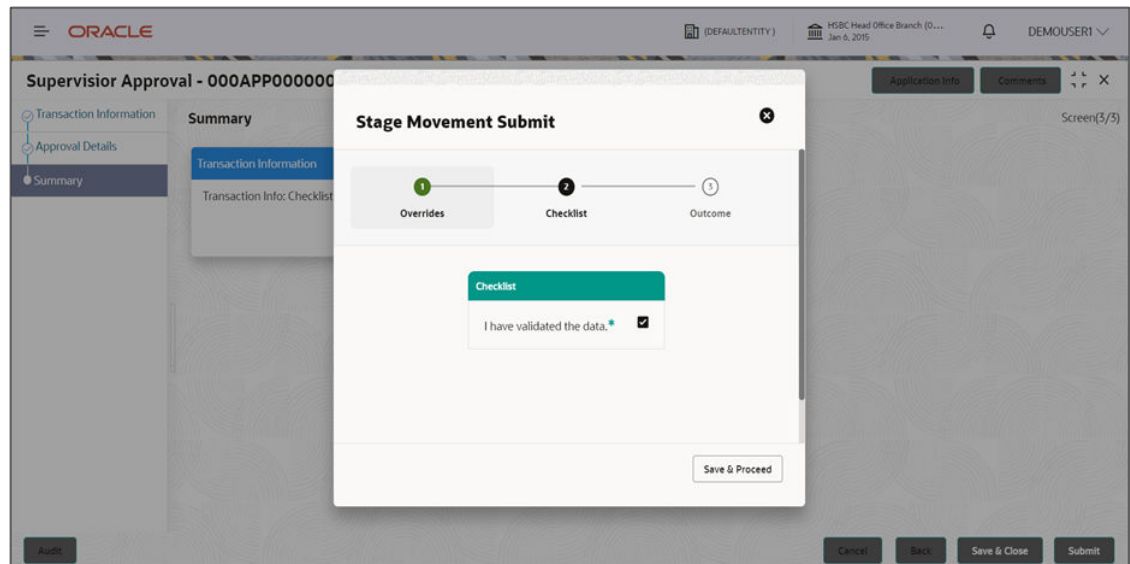
6.4.4.1 Overrides

This topic provides information on overrides.

Figure 6-30 Transaction approval stage submit flow

6.4.4.2 Checklist

This topic provides information on checklist.

Figure 6-31 Transaction approval stage submit flow

6.4.4.3 Outcome

This topic provides information on outcome.

Figure 6-32 Transaction approval stage submit flow

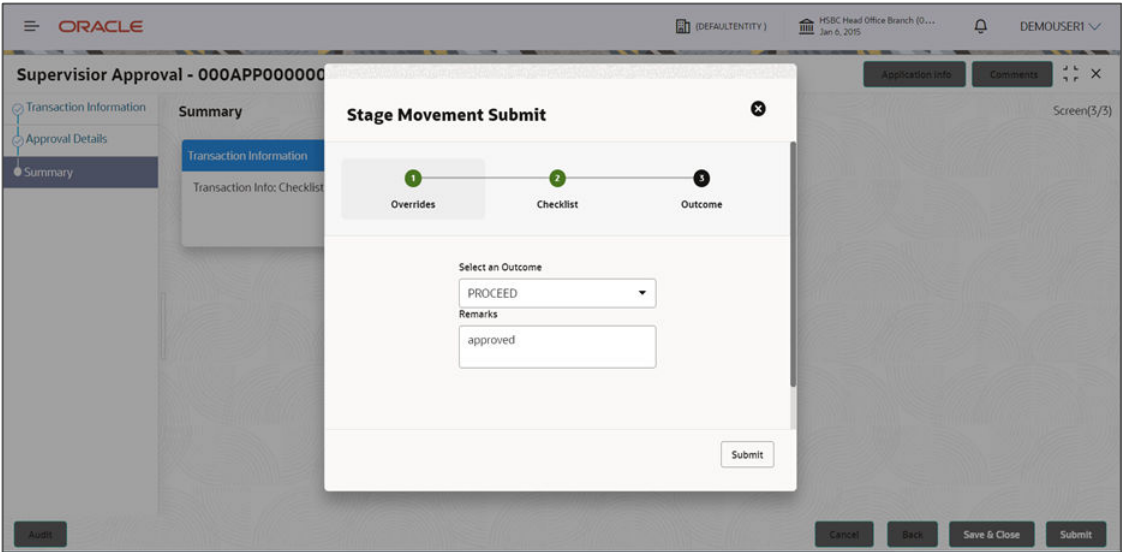
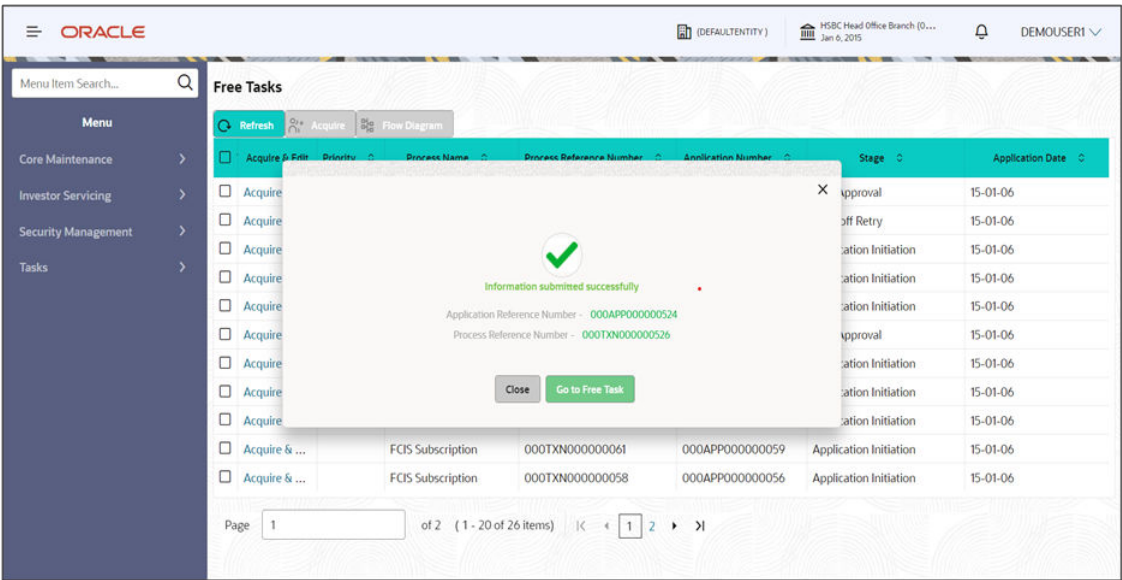


Figure 6-33 Free Tasks



Now application will be available in completed task.

6.4.4.4 Tasks

This topic provides information on tasks.

Figure 6-34 Completed task view

Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch
<input type="checkbox"/>	FCIS Subscription	000TXN000000526	000APP000000524	TXN Approval	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000526	000APP000000524	Application Entry	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000535	000APP000000533	Application Entry	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000535	000APP000000533	Application Initiation	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000526	000APP000000524	Application Initiation	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000383	000APP000000381	TXN Approval	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000383	000APP000000381	Application Initiation	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000380	000APP000000378	TXN Approval	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000380	000APP000000378	Application Entry	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000380	000APP000000378	Application Initiation	15-01-06	000
<input type="checkbox"/>	FCIS Subscription	000TXN000000344	000APP000000342	TXN Approval	15-01-06	000

6.5 Transaction Handoff Retry

This topic provides information on transaction handoff retry.

In case of failure of transaction creation from the host system, the application will navigate to Handoff Retry Stage.

Figure 6-35 Handoff Retry grid view

Acquire & Edit	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000547	000APP000000545	Handoff Retry	15-01-06
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000535	000APP000000533	TXN Approval	15-01-06
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000496	000APP000000494	Handoff Retry	15-01-06
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000415	000APP000000413	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000412	000APP000000410	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000406	000APP000000404	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000118	000APP000000116	TXN Approval	15-01-06
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000073	000APP000000071	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000070	000APP000000068	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000067	000APP000000065	Application Initiation	15-01-06
<input type="checkbox"/>	Acquire & ...	FCIS Subscription	000TXN000000061	000APP000000059	Application Initiation	15-01-06

Once user acquires the task it will launch the back-office error page.

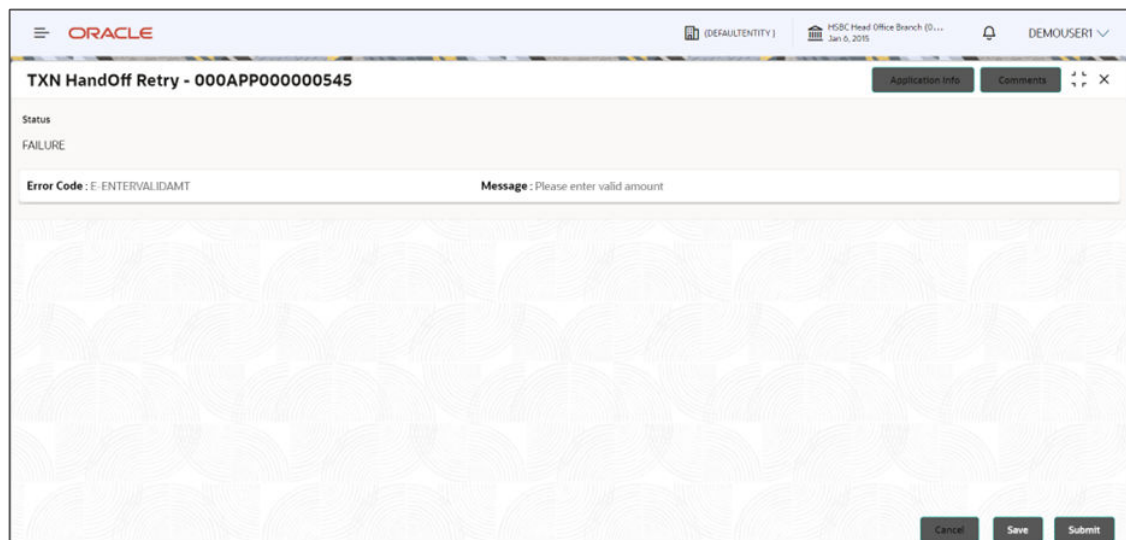
- [TXN Handoff Retry](#)
This topic provides information on TXN handoff retry.

- [Submit](#)
This topic provides information on submit.

6.5.1 TXN Handoff Retry

This topic provides information on TXN handoff retry.

Figure 6-36 Back-office error page



6.5.2 Submit

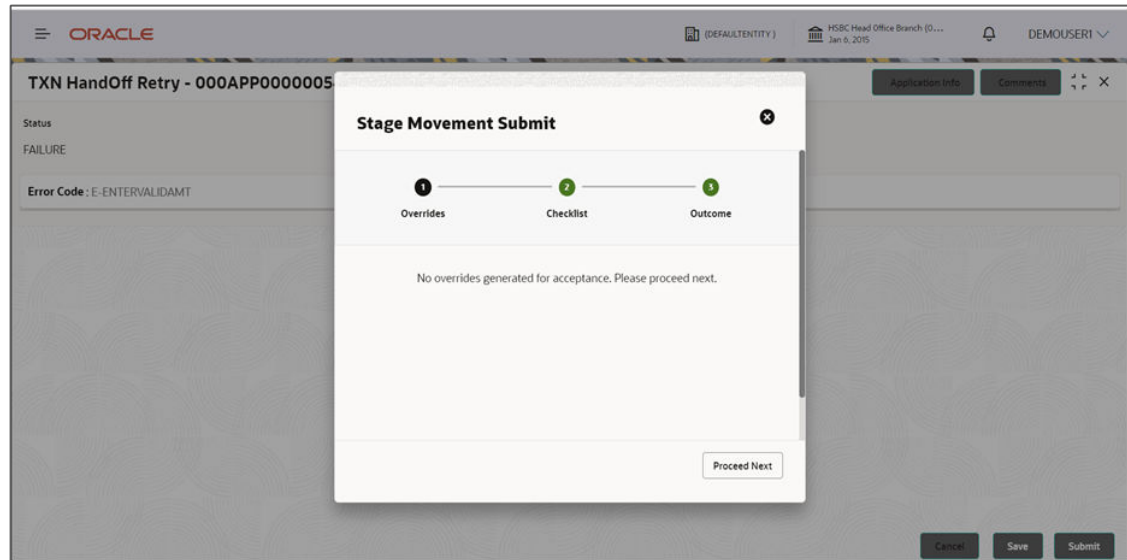
This topic provides information on submit.

While submitting the back-office error it will prompt with submission flow.

- [Overrides](#)
This topic provides information on overrides.
- [Checklist](#)
This topic provides information on checklist.
- [Outcome](#)
This topic provides information on outcome.

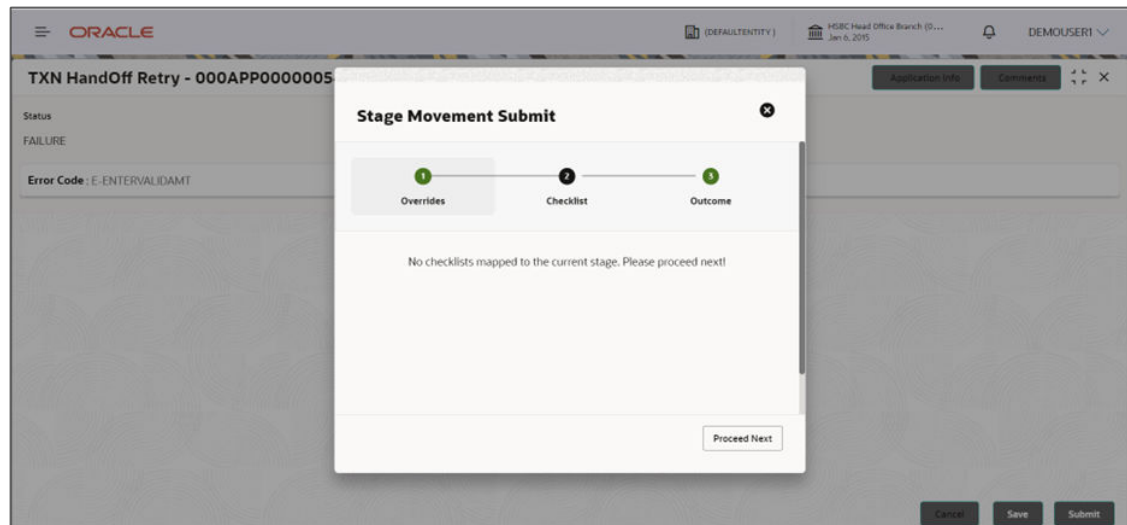
6.5.2.1 Overrides

This topic provides information on overrides.

Figure 6-37 Submit flow

6.5.2.2 Checklist

This topic provides information on checklist.

Figure 6-38 Submit flow

6.5.2.3 Outcome

This topic provides information on outcome.

Figure 6-39 submit flow

TXN HandOff Retry - 000APP0000005

Status: FAILURE

Error Code: E-ENTERVALIDAMT

Stage Movement Submit

1 Overrides 2 Checklist 3 Outcome

Select an Outcome

Retry

Remarks

retry

Submit

6.6 Action Tabs

This topic provides information on action tabs.

This section includes the following subsections:

- Application Info
- Remarks

The functions available in the various tabs can be accessed during any point in the Application Entry stage. Details about the tabs are as follows:

- [Application Info](#)
This topic provides information on application info.
- [Remarks](#)
This topic provides information on remarks.

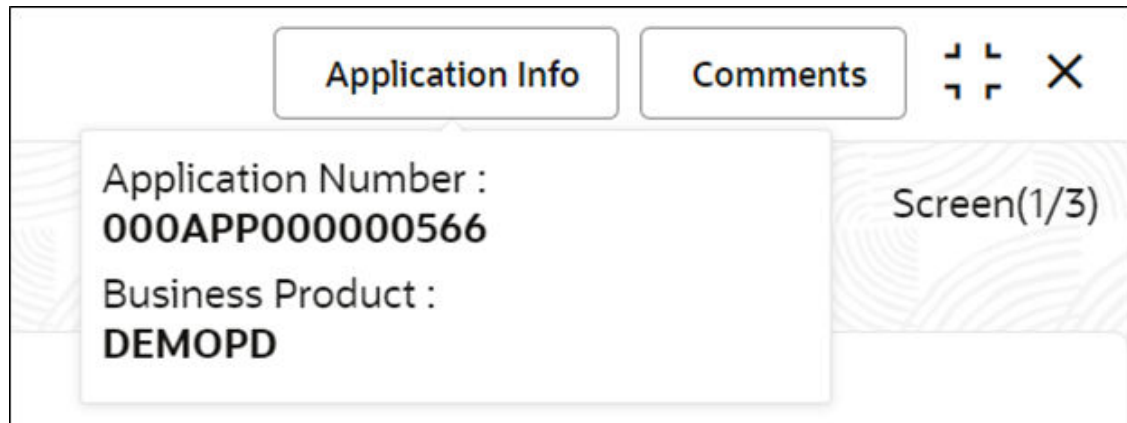
6.6.1 Application Info

This topic provides information on application info.

Click **Application Info** to view the application information.

Application Information screen is displayed.

Figure 6-40 Application Information



6.6.2 Remarks

This topic provides information on remarks.

Click **Remarks** to update any remarks that you want to post for the application that you are working on.

The **Remarks** screen is displayed.

Figure 6-41 Remarks

