

Oracle FCCM Cloud Service Customer Screening

User Roles and Privileges



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Preface

User Roles and Privileges explains how to enable user access to Oracle Financial Services Crime and Compliance Management Customer Screening Cloud Service functions and data.

Audience

This document is intended for users who are responsible for provisioning and activating Oracle Customer Screening Cloud services or for adding other users who would manage the services, or for users who want to develop Oracle Cloud applications.

Help

Use Help Icon  to access help in the application. If you don't see any help icons on your page, click your user image or name in the global header and select Show Help Icons. Not all pages have help icons. You can also access the <https://docs.oracle.com/en/> to find guides and videos.

Related Resources

For more information, see these Oracle resources:

- Oracle Public Cloud: <http://cloud.oracle.com>
- Community: Use <https://community.oracle.com/customerconnect/> to get information from experts at Oracle, the partner community, and other users.
- Training: Take courses on Oracle Cloud from <https://education.oracle.com/oracle-cloud-learning-subscriptions>.

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Conventions

The following text conventions are used in this document:

| Convention | Meaning |
|-----------------|--|
| boldface | Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary. |
| <i>italic</i> | Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values. |
| monospace | Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter. |

Comments and Suggestions

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1

Overview of Securing Oracle FCCM Cloud Service

Oracle Financial Services Crime and Compliance Management Cloud Service is secure as delivered. This guide explains how to enable user access to Oracle Financial Services Crime and Compliance Management Cloud Service functions and data. You perform some of the tasks in this guide either only or mainly during implementation. Most, however, can also be performed later and as requirements emerge. This topic summarizes the scope of this guide and identifies the contents of each chapter.

The Oracle Financial Services Crime and Compliance Management Cloud Service is a platform for hosting software as a service (SaaS) applications and this platform provides a secure consistent environment for the deployment and operation of SaaS applications. It also provides unified security features to all services deployed on the platform in the areas of user identity management and the management of access entitlements provisioned to users.

2

Application User Setup

During implementation, you prepare your Oracle Applications Cloud service for application users. Decisions made during this phase determine how you manage users by default. Most of these decisions can be overridden. However, for efficient user management, you're recommended to configure your environment to both reflect enterprise policy and support most or all users. For more information, see the [User Summary Page](#) and [User Roles and Privileges](#).

During implementation, you can use the Create User task to create test application users. By default, this task creates a minimal person record and a user account. After implementation, you should use the Hire an Employee task to create application users. The Create User task isn't recommended after implementation is complete. This topic describes how to create a test user using the Create User task. For more information, see the [Creating the Application Users](#).

3

User Roles and Privileges

In Oracle Financial Services Crime and Compliance Management Customer Screening Cloud Service, users have roles through which they gain access to functions and data. Users can have any number of roles.

The following figure shows the User Persona Details:

Figure 3-1 User Persona Details



 **Note:**

- User-Group mapping changes from IDCS will take five minutes to sync with the application. If these changes are made during the active user session then it will be reflected on the next login.
- You can create and manage Application users as per your requirements. For example, you can map Pipeline Admin group and CM Admin group to one user.

3.1 Role-Based Access Control

Role-based security in Oracle FCCM Customer Screening Cloud Service controls who can do what on which data.

The following table summarizes role-based access.

Table 3-1 Role-based Access

| Component | Description |
|-----------|--|
| Who | The role assigned to a user. |
| What | The functions which users with the role can perform. |

Table 3-1 (Cont.) Role-based Access

| Component | Description |
|------------|--|
| Which Data | The set of data which users with the role can access when performing the function. |

The following table provides examples of role-based access.

Table 3-2 Examples of role-based access.

| Who | What | Which Data |
|---------------------|---------------------------------|------------------------------------|
| Data Administrators | Prepare and ingest data | Business data |
| Case Analysts | View, analyze, and act on cases | Business data and Operational data |

 **Note:**

The new user should have the following roles to access Home page of the Cloud application.

- Function read role
- Group read role
- User read role
- Role read role

3.2 User Group and User Role Mapping

Provides the User Group and User Role mapping.

Table 3-3 User Group and User Role Mapping

| User Groups | User Roles | Activities |
|------------------------------|------------------------|--|
| Identity Administrator | Identity Administrator | <ul style="list-style-type: none"> • View the reports • View the object storage • View the OAUTH credentials • Perform the Identity and access management operations |
| Identity Authorizer | Identity Authorizer | Authorize the Identity and access management operations |
| IDCS Administrator | IDCS Administrator | <ul style="list-style-type: none"> • Create users • Map users to IDNTY_ADMIN group • Map users to IDNTY_AUTH group |
| Pipeline Administrator Group | Pipeline Administrator | <ul style="list-style-type: none"> • Configure pipelines • Configure threshold sets |

Table 3-3 (Cont.) User Group and User Role Mapping

| User Groups | User Roles | Activities |
|-------------------------------|-------------------------|---|
| CS Administrator Group | CS Administrator | Map jurisdictions to pipelines |
| Job Administrator Group | Job Administrator | Manage jobs |
| Scheduler Administrator Group | Scheduler Administrator | Manage batches |
| Watchlist Administrator Group | Watchlist Administrator | <ul style="list-style-type: none"> • Manage private watch lists • Manage synonyms & stop words • Manage Index Management |
| CS Analyst Group | CS Analyst | Perform real-time screening |
| CM Analyst Group | CM Analyst | <ul style="list-style-type: none"> • Search for cases • Investigate cases • Set a case due date • Close Cases • Recommend case closure |
| CM Supervisor Group | CM Supervisor | <ul style="list-style-type: none"> • Search for cases • Investigate cases • Set a case due date • Approve or reject recommendations to close cases • Close cases |
| CM Administrator Group | CM Administrator | <ul style="list-style-type: none"> • Configure Jurisdictions and business domains • Configure case statuses • Configure case actions • Configure case types • Configure case priority • Configure security mapping • Configure case system parameters • Configure and monitor PMF Workflows |
| MasterData Admin Group | MasterData Admin Role | Configure master data fields |

3.3 User Roles and Activities in Customer Screening

Information about privileges in customer screening.

Table 3-4 User Roles and Activities

| Privileges | CS Analyst | Watchlist Administrator | CS Administrator | CM Analyst | CM Supervisor | CM Administrator |
|------------------------------|------------|-------------------------|------------------|------------|---------------|------------------|
| Manage private watchlists | | X | | | | |
| Manage synonyms & stop words | | X | | | | |
| Manage Index Management UI | | X | | | | |

Table 3-4 (Cont.) User Roles and Activities

| Privileges | CS Analyst | Watchlist Administrator | CS Administrator | CM Analyst | CM Supervisor | CM Administrator |
|---|-------------------|--------------------------------|-------------------------|-------------------|----------------------|-------------------------|
| Map jurisdictions to pipelines | | | X | | | |
| Search for cases | | | | X | X | |
| Investigate cases | | | | X | X | |
| Set a case due date | | | | X | X | |
| Recommend case closure | | | | X | | |
| Perform real-time screening | | | | | | |
| Approve or reject recommendations to close cases | | | | | X | |
| Close cases | | | | X | X | |
| Configure jurisdictions and business domains | | | | | | X |
| Configure case statuses, actions, types, and priority | | | | | | X |
| Configure security mappings | | | | | | X |
| Configure case system parameters | | | | | | X |
| Configure & monitor PMF workflows | | | | | | X |

4

Using Customer Screening Documentation

Provides insight into the workflow of CS and related documents.

Table 4-1 Workflow for CS

| Sequence | Document Reference | Description |
|----------|--|--|
| 1 | Subscription | Activate Subscription |
| 2 | User Authentication | <ul style="list-style-type: none"> • Create users • User group and role mapping |
| 3 | Configure Master Data | Configure master data through the data load service, and they are used in the onboarding JSON |
| 4 | Data Loading | Upload required data files to Object Store |
| 5 | Mapping Jurisdiction to Pipeline | Map Jurisdiction and Entity Type to Pipeline |
| 6 | Configure Pipeline | <ul style="list-style-type: none"> • Import the ready-to-use pipelines • Create a copy of the imported pipelines • Create new pipelines and configure • Execute the batch |
| 7 | Application Security Mapping | <ul style="list-style-type: none"> • Create security attributes • Map Security Attributes to users |
| 8 | Watch List Management | <ul style="list-style-type: none"> • Manage Private Watch List • Manage Synonym Words |
| 9 | Configure Case Management | <ul style="list-style-type: none"> • Configure Status and Actions • Configure Case Types • Map of Case Action to Status, Case Type, user role • Configure PMF • Implement PMF using Case Types UI |
| 10 | Batch Group Execution | <ul style="list-style-type: none"> • Define a Batch • Define a Task • Schedule a Batch • Execute a Batch • Monitor a Batch |
| 11 | Investigating Cases | <ul style="list-style-type: none"> • Search Case • Analyze the case • Perform Real-Time Screening • Close the case |