Oracle® OFS RR Cloud Service

Administration Guide

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Oracle OFS RR Cloud Service Administration Guide, Release 25.03.01

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Contents

Preface

Administration Guide provides instructions to configure Oracle Financial Crime and Compliance Management Regulatory Reporting cloud servise.

Audience

This document is intended for users who are responsible for provisioning and activating Oracle FCCM Cloud services or for adding other users who would manage the services, or for users who want to develop Oracle Cloud applications.

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The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
`monospace`	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

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1
Introduction

You will find the activities performed by the RR Administrator in the Financial Crime and Oracle Financial Services Compliance Regulatory Reporting Cloud Service (OFS CRR CS) explained here. The primary job of an RR Administrator is to create and manage business domains and jurisdictions, map users to the required business domains, jurisdictions, and report types. They also prepare the reference data in a specific template in .csv format and it into the OFS CRR CS.

Users mapped to a particular jurisdiction, business domain, report type can access reports. This restricts others from accessing specific business domains, jurisdictions, and report types.

The Process Modelling Framework (PMF) is a design and execution framework that enables Administrators to implement various actions or statuses modeled by business analysts.

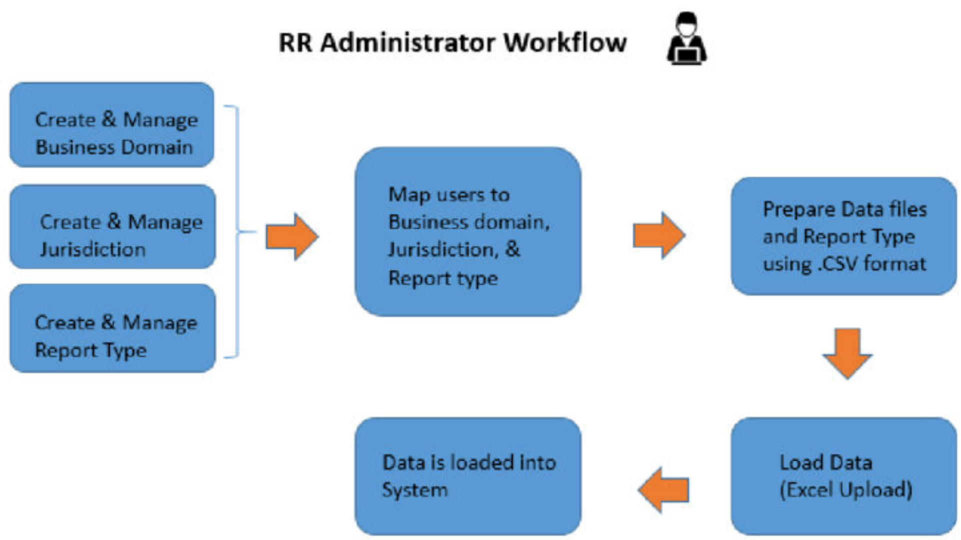
1.1 Security within the OFS CRR CS

Security to control data access in the OFS CRR CS is defined in the following table. You can view and manage a report if you are authorized with the requisite access controls.

Table 1-1 Security within the OFS CRR CS

Type	Control	Description
Roles	Features and Functions	This security layer identifies features and functions users can access within the OFS CRR CS.
Business Domains	Report information	This security layer enables you to restrict access along operational business lines and practices. Users can only see reports that are assigned to at least one of the business domains they have access to.
Jurisdiction	Report information	This security layer enables you to restrict access using geographic locations. Users can only see reports that belong to the jurisdiction they have access to.
Report Type	Report information	This security layer enables you to restrict access to specific types of investigations. To see a report, users must have access to view the specific report type assigned to the report. To configure the report type, see Data Preparation and Loading .

Figure 1-1 OFS CRR CS Administrator Workflow



- Business Domains: A Business Domain is an operational line of business for which data access permission is differentiated. You can view and modify the existing business domains, if required, you can also create new business domains. For example, retail, private, and so on.
- Jurisdictions: A jurisdiction is any territory where certain laws apply and where judicial authority can be exercised --such as a country, state, or region. For example, the USA, Canada, and so on.
- Security Mappings: The security attributes help an organization restrict its users based on jurisdictions, business domains, and report types to access data. If you update the business domain or jurisdiction, you must also map users to them.
- Data Preparation and Loading (Excel Upload): Prepare the required data to upload into the system using the specific template in the .csv (comma-separated value) format.

1.2 Users

Users with OFS CRR CS Administrator privilege must be mapped to CRRADMIN GROUP to perform actions.

1.3 Accessing OFS CRR CS

The OFS CRR CS is qualified to run on Microsoft Edge Chromium and Google Chrome web browsers.

Your System Administrator provides the Uniform Resource Locator (URL), User ID, and Password to access the service.

To access the OFS CRR CS, follow these steps:

1. Enter the URL in the web browser using the following format:`<Protocol>://<HostName>:<Port_Number >/dashboard>`
2. The OFS CRR CS login page is displayed.

3. Enter your User Name and Password.
4. Click **Sign In**. The Oracle Financial Services Crime and Compliance Management Anti Money Laundering Cloud Service landing page is displayed.
5. In the Left Hand Side (LHS) Navigation List, click **Compliance Regulatory Reporting**. The **Compliance Regulatory Reporting** tasks list is displayed.
6. Click the required tasks-Excel Upload (Data Loading) and Security Mappings to navigate to the respective pages.

2 Business Domains

A Business Domain is an operational line of business with differentiated data access permissions.

he business domain is used to identify records of different business types (such as Private Client, Retail customer), or to provide more granular restrictions to data, such as employee data. The list of business domains in the system resides in the FCC_BUS_DOMAIN_B and FCC_BUS_DOMAIN_TL tables. The system tags each data record provided through one or more business domains. It is required to associate specific users to one or more business domains to operate.

Every business domain has a unique code. For example, retail is a business and it is referred to as an RTL. It is very important to provide priority to each of these domains. For example, 1 to 100. Where 1 represents the highest priority and 100 the lowest priority.

Use this section to view and modify the existing business domain, and add a new business domain. The business domain list displays the code, name, priority, and description related to the existing business domains.

2.1 Adding Business Domains

Add a new business domain.

To add a new business domain, follow these steps:

1. In the Navigation List LHS menu, select the **Application Security Administration**, and click **Business Domains**. The Business Domain List page is displayed.
2. Click **Add** . The Add New Business Domain window is displayed.
3. Enter the details in the fields. The fields marked with an asterisk * are mandatory

Table 2-1 Add a New Business Domain fields and description

Field	Description
Business Domain Code	Enter the unique code for the new business domain. Use only alphanumeric values; do not use any special characters except underscore (_). For example, RETL for Retail. **NOTE**: You can enter up to 20 characters.
Business Domain Name	Enter the business domain name. This is high-level segregation of different areas. Use only alphanumeric values; do not use special characters except underscore (_). For example, Retail, Institutional Broke_Dealer, and so on. **NOTE**: You can enter up to 80 characters.

Table 2-1 (Cont.) Add a New Business Domain fields and description

Field	Description
Business Domain Priority	Enter the business domain priority. This must be equal to or greater than 1. For example, 1 represents the highest business domain priority and 100 the lowest business domain priority. **NOTE**: You can enter up to 80 characters.
Business Domain Description	Enter the business domain description. Use only alphanumeric values, and special characters (space, underscore, and hyphen only). **NOTE**: You can enter up to 320 characters.

4. Click **Save**. A confirmation message is displayed. The new business domain is added to the FCC_BUS_DOMAIN_B and FCC_BUS_DOMAIN_TL tables.
   - The FCC_BUS_DOMAIN_B table stores the Business Domain Code and data entry time.
   - The FCC_BUS_DOMAIN_TL table stores all the details (Code, Name, and Description) entered in the Business Domain window.
5. To edit an existing business domain, select the Business Domain, and click **Edit** . The Edit **New Business Domain** window is displayed.
6. Modify the required information, for more information on the fields, see Table 2-1 Business Domain.
7. Click **Save**. A confirmation message is displayed. The FCC_BUS_DOMAIN_B and FCC_BUS_DOMAIN_TL tables are updated.

3 Jurisdictions

A jurisdiction is a territory such as a country, state, or region, where certain laws apply and judicial authority is exercised.

You can use jurisdictions to limit access to data in the report. In the OFS CRR CS, you can manage data or reports associated with jurisdictions to which they have access.

For example,

- **Geographical**: Division of data is based on geographical boundaries, such as countries, states, and so on.
- **Organizational**: Division of data is based on different legal entities that compose the client's business.
- **Other**: Combination of geographical and organizational definitions. It is client-driven and can be customized.

In most scenarios, a jurisdiction also implies a threshold that enables the use of data attributes to define separate threshold sets based on jurisdictions. The list of jurisdictions in the system resides in the FCC_JURISDICTION_B and FCC_JURISDICTION_TL tables.

Use this section to view or modify existing jurisdictions and add new jurisdictions. The jurisdictions list displays the code, name, priority, and description related to the existing jurisdictions.

3.1 Adding Jurisdictions

To add a new jurisdiction, follow these steps:

1. In the Navigation List LHS menu, select the **Application Security Administration** and click **Jurisdiction**. The Jurisdiction List page is displayed.
2. Click **Add**. The Add New Jurisdiction window is displayed.
3. Enter the Add New Business Domain fields. The fields marked with an asterisk * are mandatory

Table 3-1 Jurisdiction Fields - Add a New Jurisdiction

Field	Description
Jurisdiction Code	Enter the unique jurisdiction code. Use only alphanumeric values; do not use any special character except underscore (_). **NOTE**: You can enter up to 16 characters.
Jurisdiction Name	Enter the jurisdiction name. Use only alphanumeric values; do not use any special character except underscore (_), hyphen (-), or space. **NOTE**: You can enter up to 160 characters.

Table 3-1 (Cont.) Jurisdiction Fields - Add a New Jurisdiction

Field	Description
Jurisdiction Priority	Enter the jurisdiction priority. This must be equal to or greater than 1. For example, 1 represents the highest jurisdiction priority, and 10 the lowest jurisdiction priority. **NOTE**: You can enter up to 100 characters.
Jurisdiction Description	Enter the jurisdiction description. **NOTE**: You can enter up to 320 characters.

4. Click **Save**. A confirmation message is displayed. The newly added jurisdiction is added in the FCC_JURISDICTION_B and FCC_JURISDICTION_TL tables.
5. To edit an existing jurisdiction, select the required jurisdiction, and click **Edit** . The Edit Jurisdiction window is displayed.
6. Modify the required information. For more information on fields, see Table 3-1 Jurisdiction.
7. Click Save. A confirmation message is displayed.

> **Note:**
>
> Make sure to align Customer Data Jurisdictions and Security mapper Jurisdictions. If they are not aligned, CS Cases might not be visible in ECM UI.

4

Security Mappings

The security attributes help an organization to classify their users based on jurisdictions, business domains, or report types to restrict access to the reports that they can manage. You can authorize users to access various components of the OFS CRR CS by mapping users to user groups.

> **Note:**
>
> Users must be mapped to CRRADMIN GROUP to perform this activity.

Security attributes are mapped to user groups using the Security Mappings page. Attributes mapped to user groups are mapped against each user in that User Group after running the Security batch.

The following are the attributes of the security mappings:

- User Groups
- Jurisdictions
- Business Domains
- Report Types

4.1 Using Security Mapping

The mapping of security attributes (business domain, jurisdiction, and report type) to a user (through a User Group) is done through the Security Mappings.

To define the security mappings, follow these steps:

1. In the Navigation List, select **Application Security Administration** and click **Security Mapping**. The Security Mappings page is displayed.
2. Select the user group of the users from the Select User Group drop-down list to map with the security attributes.
3. Map one or more jurisdictions to the selected user group. For mapping jurisdictions to the user group, select the required jurisdiction (AMEA, APAC, and so on) and click to move the selected jurisdiction from the Available Jurisdictions list to the Selected Jurisdictions list. This assigns the privilege to the selected user group to access the reports that belong to the mapped jurisdiction. Click to reverse the action.
4. Map one or more business domains to the selected user group. For mapping business domains to the user group, select the required business domains, and click to move the selected business domains from the Available Business Domains list to the Selected

Business Domains list. This assigns the privilege to the selected user group to access the reports that belong to the mapped business domains. Click to reverse the action.

5. Map one or more report types to the selected user group. For mapping report types to the user group, select the required report types, and click to move the selected report types from the Available Report Types list to the Selected Report Types list. This assigns the privilege to the selected user group to access the reports that are mapped to these report types. Click to reverse the action.
6. Click Save . All the changes are saved in the FCC_SECURITY_MAPPER table and the respective FCC_RR_SECURITY_MAPPER view tables.
7. Click Reset to discard the information you have entered; this action resets the information to its original state.

> **Note:**
>
> Saved changes cannot be reset using this option. This is applicable only when you want to edit and reset data.

5 Data Preparation and Loading

Use this section to understand how to prepare and load the required data for using OFS CRR CS.

- **Data Preparation**: Use this section to prepare the customer seeded data in the required .csv (comma-separated value) format and Admin UI. This section provides a complete list of tables and corresponding .csv files.
- **Data Loading**: Use this section to load data into the system using the Excel Upload option.

5.1 Data Preparation

Use this section to prepare the required customer seeded data to load into the system.

Topics:

- Using Excel Upload
- Using Admin UI
- Mapping and Loading Reporting Person

5.1.1 Using Excel Upload

Use this section to prepare the required data and report type to load into the system using the .csv format.

5.1.1.1 Table Details

This section provides a complete list of tables. Refer to these tables to prepare data accordingly in the .csv format.

> **Note:**
>
> B stands for base tables and TL stands for Language translation table.

Table 5-1 List of Tables

Fields	Table Name	goAML	USSAR	AUS SMR	PH STR	PH CTR	Description
1	FCC_RR_BRANCH_INFO_B FCC_RR_BRANCH_INFO_TL	Yes	Yes	Yes	No	No	The Branch where the transaction has taken place. **NOTE**: A default flag is provided to enable default branch information on UI.
2	FCC_RR_REPORT_CASE_TYPE_MAP	Yes	Yes	Yes	Yes	No	Provides mapping between report type and case type.
3	FCC_RR_REPORTING_PERSON_B FCC_RR_REPORTING_PERSON_TL	Yes	Yes	Yes	No	No	The person who is reporting the transaction. This is the person whom FIU can contact if more details are required. **NOTE**: A default flag is provided to enable the default reporting person on UI.
4	FCC_RR_RPTNG_PRSN_ADDR_B FCC_RR_RPTNG_PRSN_ADDR_TL	Yes	Yes	No	No	No	The reporting person's address.

Table 5-1 (Cont.) List of Tables

Fields	Table Name	goAML	USSAR	AUS SMR	PH STR	PH CTR	Description
5	FCC_RR_RPTNG_PRSN_PHONE_B FCC_RR_RPTNG_PRSN_PHONE_TL	Yes	Yes	Yes	No	No	The reporting person's phone number.
6	FCC_RR_RPTNG_IDENTIFICATION_B FCC_RR_RPTNG_IDENTIFICATION_TL	Yes	Yes	No	No	No	The reporting person's identification details. For example, passport, driving license, and so on.
7	FCC_RR_COUNTRY_B FCC_RR_COUNTRY_TL	Yes	Yes	Yes	No	No	The International Organization for Standardization (ISO) country list.
8	FCC_RR_STATES_B FCC_RR_STATES_TL	Yes	Yes	Yes	No	No	The state of Financial Institution.
9	FCC_RR_REPORT_TYPE_B FCC_RR_REPORT_TYPE_TL	Yes	Yes	Yes	Yes	No	The type of report.

Table 5-1 (Cont.) List of Tables

Fields	Table Name	goAML	USSAR	AUS SMR	PH STR	PH CTR	Description
10	FCC_RR_FININST_INFO_B* FCC_RR_FININST_INFO_TL	Yes	Yes	Yes	Yes*	Yes*	The Financial Institution where the transactions have occurred. This is applicable only for FCC_RR_FININST_INFO_B* **NOTE**: Default flag is provided to enable default Financial Institution or reporting entity on UI.
11	FCC_RR_CURRENCY_B FCC_RR_CURRENCY_TL	Yes	Yes	Yes	No	No	The International Organization for Standardization (ISO) currency list.
12	FCC_RR_MAP_JURIS_TMPLT_TYPE	Yes	Yes	Yes	Yes	Yes	The mapping of the FI's regulatory jurisdiction and the applicable STR/SAR template for those jurisdictions.
13	FCC_RR_INSTALL_PARAM	Yes	Yes	Yes	No	No	The configuration details such as, Date format, Locking Period, and so on.

Table 5-1 (Cont.) List of Tables

Fields	Table Name	goAML	USSAR	AUS SMR	PH STR	PH CTR	Description
14	FCC_RR_CODE_DESC_B FCC_RR_CODE_DESC_TL	Yes	No	Yes	No	No	This provides configuration for digital currency and relation type.
15	FCC_RR_CASETYPE_REASON_MAP	No	No	No	Yes	No	To map case type to Reason for Suspicion **NOTE**: Map DEFAULT as a case type to any reason. If you do not specify any case type, then you can opt DEFAULT case type.

For more information on sample templates, see Data format.

Note:

- To load reference data into the PH CTR application, set column N_TMPLT_ID as 4.
- To load reference data into the PH STR application, set column N_TMPLT_ID as 3.
- To load reference data into the goAML application, set column N_TMPLT_ID as 28.
- To load reference data into the USSAR application, set column N_TMPLT_IDas 1.
- To load reference data into the AU SMR application, set column N_TMPLT_ID as 2.
- You must prepare and upload data in the sequence mentioned.
- Data preparation is a one-time activity. Hence, you must be careful while preparing data.
- You can only add and modify data.
- You cannot delete data.
- You cannot leave any column blank in the .csv file. If you have any blank fields in UI, then enter the “Null” value in the .csv file.
- The value of the column marked with an asterisk must be unique. If the multiple asterisks are marked, then the combination must be unique.
- In goAML, Reference Data Management is supported for Reporting Entity, Reporting Branch, and Reporting Person.
- In USSAR, Reference Data Management is supported for Filing Institution only and does not support Financial Institution and Branch.
- In AU SMR, Reference Data Management is supported for Reporting Entity, Reporting Branch, and Reporting Person.
- To list all the values in UI, set the flag (F_IS_ACTIVE) as Y to enable reference data and to unlist all the values in UI, set the flag (F_IS_ACTIVE) as N to disable reference data in the following table:
  - FCC_RR_BRANCH_INFO
  - FCC_RR_REPORTING_PERSON
  - FCC_RR_FININST_INFO

5.1.2 Using Admin UI

Use this section to prepare the required customer seeded data into the system using admin UI.

To access these sections, follow these steps:

1. Log in to Oracle RR Cloud Service as an Admin and click Oracle Financial Services Crime and Compliance Management Anti Money Laundering Cloud Service.
2. On the Navigation List (LHS), click Compliance Regulatory Reporting Administration. The following list is displayed.

> **Note:**
>
> - You must configure Jurisdiction Template Mapping first and followed by the rest of admin UI features.
> - Based on the selection of the Template, fields and mandatory fields dynamically change.

- Branch Information
- Case Type Reason
- Code Set Configuration
- Country
- Currency
- Employer
- Financial Institution
- Install Parameters
- Jurisdiction Template Map
- Report Case Type
- Reporting Person
- Reporting Person Address
- Reporting Person Identification
- Reporting Person Phone
- Report Type
- Status
- State

5.1.2.1 Branch Information

This section provides information about the branch where the transaction has taken place. Here you can manage the existing branch information and add new branch details.

To add new branch information, follow these steps:

1. On the Navigation List, click **Branch Information**. The Branch Information page is displayed with the list of existing branch details.
2. To view the list of branch details, select the required temple from the Template drop-down list. For example, goAML, USSAR, AUSAR, and so on.
3. To add new branch information, click Add +. The Branch Details window is displayed.
4. Enter the details of the required fields in the Branch Details window.

Table 5-2 Branch Information

Fields	Description
Template	Select the template from the drop-down list to which you want to add new branch details. For example, goAML, USSAR, AUSAR, and so on.
Financial Institution	Select the Financial Institution from the drop-down list.
Branch Code	Enter the branch code details.
Country	Enter the name of the country where this branch is situated.
State	Enter the name of the state where this branch is situated.
Set as Default?	Select whether this branch is a default branch.
Is Active?	Select whether this branch is active.
RSSD Number	Enter RSSD Number. The RSSD ID is a unique identifier assigned to financial institutions by the Federal Reserve.
Branch Tin	Enter the branch Tin. The TIN is Tax Identification Number.
Branch Territory Code	Enter the branch territory code.
Branch Area Code	Enter the branch area code.
Branch Phone	Enter the branch phone number.
Branch Fax	Enter the branch fax number.
Branch Email	Enter the branch email.
Zip Code	Enter the branch zip code

5. Click **Save**. New branch information is added to the Branch Information list page.
6. To edit the existing branch information, select the required Record Number and click Edit . The Branch Details window is displayed.
7. Modify the required details in the fields. For more information on the fields, see the above table.
8. Click **Save**. The branch details are modified and updated.

5.1.2.2 Case Type Reason

This section provides information about the mapping between report type and case type. Here you can manage the existing case-type reason and add new case-type reason details.

To add a new case-type reason, follow these steps:

1. On the Navigation List, click Case Type Reason. The Case Type Reason page is displayed with the list of existing Case Type Reason details.
2. To add a new case type reason, click Add button. The Case Type Reason window is displayed.
3. Enter the details of the required fields in the Case Type Reason window.

Table 5-3 Case Type Reason

Fields	Description
Case Type Code	Select the required case type code from the Case Type Code drop-down list.
Reason	Enter the reason for the case type. Mention the default reason for case type. This is applicable for PHSTR. **NOTE**: You can enter up to 1000 characters.

4. Click **Save**. A new case type reason is added to the Case Type Reason list page.
5. To edit the existing case type reason details, select the required case type code and click Edit . The Case Type Reason window is displayed.
6. Modify the required details in the fields (You can only modify Reason field). For more information on the fields, see the above table.
7. Click **Save**. The case type reason details are modified and updated.

5.1.2.3 Code Set Configuration

This section provides information about the configuration for digital currency and relation type. Here you can manage the existing code set and add new code set details. This is applicable for AUSMR.

To add a new code set, follow these steps:

1. On the Navigation List, click **Code Set Configuration**. The Code Set Configuration page is displayed with the list of existing Code Set details.
2. To view the list of code set, select the required temple from the Template drop-down list. For example, USSAR, AUSAR, and so on.
3. To add a new code set, click Add button. The Code Set window is displayed.
4. Enter the details of the required fields in the Code Set window.

Table 5-4 Code Set

Fields	Description
Template	Select the required template from the Template drop-down list.
Code Set	Select the code set from the Code set drop-down list (Relation Type or Digital Currency).
Code Value	Enter the code value. This is currency code value. For example, INR, USD, and so on. **NOTE**: You can enter up to 25 characters.
Locale	Displays language. By default, it is the English language.
Code Description	Enter the code value. This is currency code description. For example, INR, USD, and so on. **NOTE**: You can enter up to 1000 characters.

5. Click **Save**. A new code set is added to the Code Set list page.

6. To edit the existing code set details, select the required code set and click Edit. The Code Set window is displayed.
7. Modify the required details in the fields (You can only modify the Code Description field). For more information on the fields, see the above table.
8. Click **Save**. The code set details are modified and updated.

5.1.2.4 Country

This section provides information about the International Organization for Standardization (ISO) country list. Here you can manage the existing country and add new country details.

To add a new country, follow these steps:

1. On the Navigation List, click **Country**. The Country page is displayed with the list of existing country details.
2. To view the list of country, select the required temple from the Template drop-down list. For example, USSAR, AUSAR, and so on.
3. To add a new country, click Add button. The Country window is displayed.
4. Enter the details of the required fields in the Country window.

Table 5-5 Country

Fields	Description
Template	Select the required template from the Template drop-down list.
Country Set	Enter the country code. NOTE: You can enter up to three characters.
Country ID	Enter the country Identification number. **NOTE**: You can enter up to 20 characters.
Locale	Displays the language. By default, it is the English language.
Country Name	Enter the name of the country. **NOTE**: You can enter up to 255 characters.

5. Click **Save**. A new country is added to the Country list page.
6. To edit the existing country details, select the required country and click Edit. The Country window is displayed.
7. Modify the required details in the fields (You can only modify the country name field). For more information on the fields, see the above table.
8. Click **Save**. The country details are modified and updated.

5.1.2.5 Currency

This section provides information about the International Organization for Standardization (ISO) currency list. Here you can manage the existing country and add new country details.

To add a new currency, follow these steps:

1. On the Navigation List, click **Currency**. The Currency page is displayed with the list of existing Currency details.
2. To view the list of currency, select the required temple from the Template drop-down list. For example, USSAR, AUSAR, and so on.
3. To add a new Currency, click + Add button. The Currency window is displayed.
4. Enter the details of the required fields in the currency window.

Table 5-6 Currency

Fields	Description
Template	Select the required template from the Template drop-down list.
Currency code	Enter the currency code. NOTE: You can enter up to three characters.
Locale	Displays the language. By default, it is the English language.
Currency Name	Enter the name of the currency. **NOTE**: You can enter up to 255 characters.

5. Click **Save**. A new currency is added to the currency list page.
6. To edit the existing currency details, select the required currency and click Edit. The currency window is displayed.
7. Modify the required details in the fields (You can only modify the currency name field). For more information on the fields, see the above table.
8. Click **Save**. The currency details are modified and updated.

5.1.2.6 Employer

This section provides information about the employer who is reporting the transaction. This is the employer whom FIU can contact if more details are required.

To add a new currency, follow these steps:

1. On the Navigation List, click **Employer**. The Currency page is displayed with the list of existing Currency details.
2. To view the list of employers, select the required temple from the Template drop-down list. For example, USSAR, AUSAR, and so on.
3. To add a new employer, click + Add button. The Employer window is displayed.
4. Enter the details of the required fields in the employer window.

Table 5-7 Employer

Fields	Description
Template	Select the required template from the Template drop-down list.
Gender	Select the gender from the drop-down list.

Table 5-7 (Cont.) Employer

Fields	Description
Date Of Birth	Select the date of birth from the calendar.
SSN	Enter the Social Security number. **NOTE**: You can enter up to 255 characters.
Email ID	Enter the email address.
Nationality 1, Nationality 2, and Nationality 3	Enter the Nationality 1, Nationality 2, and Nationality 3.
Resident Country	Select the country of residence from the drop-down list.
Passport Number	Enter the passport number. **NOTE**: You can enter up to 50 characters.
Passport Country	Passport Country
ID Number	Enter the identification number. **NOTE**: You can enter up to 255 characters.
Deceased	Select whether the employer is deceased from the drop-down list.
Deceased Date	Select the date of the deceased from the calendar.
Tax Registration Number	Enter the tax registration number.
Person Tax Number	Enter the person's tax number.
Financial Institution	Select the financial institution from the drop-down list.
Set as Default?	Select Yes or No from the drop-down list to set as a default.
Is Active ?	Select Yes or No from the drop-down list to mention it as active.
Locale	Displays language. By default, English is displayed.
Title, First Name, Middle Name, Last Name, Prefix, Alias, Birthplace, Mother Name, Occupation, ID Type, Source of wealth	Enter personal details such as Title, First Name, Middle Name, Last Name, Prefix, Alias, Birthplace, and Mother Name
Comments	Enter comments.

5. Click **Save**. A new employer is added to the currency list page.
6. To edit the existing employer details, select the required employer and click Edit. The Employer window is displayed.
7. Modify the required details in the fields (You can only modify the currency name field). For more information on the fields, see the above table.
8. Click **Save**. The Employer details are modified and updated.

5.1.2.7 Financial Institution

This section provides information about the Financial Institutions where the transactions have occurred. Here you can manage the existing financial institution and add new financial institution details.

To add a new financial institution, follow these steps:

1. On the Navigation List, click **Financial Institution**. The Financial Institution page is displayed with the list of existing financial institution details.
2. To view the list of financial institution, select the required temple from the Template drop-down list. For example, USSAR, AUSAR, and so on.
3. To add a new financial institution, click + Add button. The Financial Institution window is displayed.
4. Enter the details of the required fields in the financial institution window.

Table 5-8 Financial institution

Fields	Description
Template	Select the required template from the Template drop-down list.
Fin Inst ID	Enter the Financial institution identification number. **NOTE**: You can enter up to 20 characters.
Fin Inst Code	Enter the Financial institution code. **NOTE**: You can enter up to 20 characters.
TIN	Enter the Taxpayer Identification Number. **NOTE**: You can enter up to 25 characters.
TIN Type	Select the Taxpayer Identification Number from the drop-down list.
Type Of Fin Inst	Select the type of financial institution from the drop-down list.
Type Of Securities and Futures Inst	Select the type of securities and futures list from the drop-down list.
Country, State, Postal Code, Address Type	Select or enter the address details such as country, state, postal code, and address type.
Primary Fed Regulator	Select the primary fed regulator from the drop-down list.
Type Of Gaming Inst	Select the type of gaming institution from the drop-down list.
Fin Inst ID Type	Select the financial institution identification type from the drop-down list.
Is Filing Institution?	Select Yes or No to specify whether it is a filing institution.
Is Financial Institution?	Select Yes or No to specify whether it is a financial institution.
Currency	Select the currency from the drop-down list.
Set as Default?	Select Yes or No to set as a defaulter.
Is Active?	Select Yes or No to set as a active.
Preference Order	Enter the preference order. This is applicable for goAML Template.
Reporting Reference	Enter reporting reference. This is applicable for goAML Template.
FIU Reference	Enter financial Institution reference. This is applicable for goAML Template.
Locale	Displays locale language. English is a default language.
Legal Name Of The Fin Inst	Enter the legal name of the financial institution.

Table 5-8 (Cont.) Financial institution

Fields	Description
Alternate Name	Enter the alternate name of the financial institution.
Other Fin Inst Type Desc	Enter the other financial institution type description.
Other Securities and Futures Inst Type Desc	Enter the other securities and futures institution type description.
Address, City, Town	Enter financial institution address details.
Other Gaming Inst Type Desc	Enter other gaming Institution type description.
Comments	Enter additional comments.

5. Click **Save**. A new financial institution is added to the currency list page.
6. To edit the existing financial institution details, select the required financial institution and click Edit. The Financial Institution window is displayed.
7. Modify the required details in the fields (You can only modify the currency name field). For more information on the fields, see the above table.
8. Click **Save**. The Financial Institution details are modified and updated.

5.1.2.8 Install Parameters

This section provides information about configuration details such as, Date format, Locking Period, and so on. Here you can manage the existing install parameters details.

To manage install params, follow these steps:

1. On the Navigation List, click **Install Params**. The Install Params page is displayed with the list of existing install params details.
2. To edit the existing install params details, select the required install param name and click Edit . The Install Params window is displayed.
3. Modify the required details in the fields such as Value and Organization Name.
4. Click **Save**. The Install Params details are modified and updated.

5.1.2.9 Jurisdiction Template Map

This section provides information about the mapping of the FI's regulatory jurisdiction and the applicable STR/SAR template for those jurisdictions. Here you can manage the existing jurisdiction templates and add new jurisdiction template details.

To add a new jurisdiction template mapping, follow these steps:

1. On the Navigation List, click **Currency**. The Jurisdiction Template Map page is displayed with the list of existing jurisdiction template mapping details.
2. To add a new jurisdiction template mapping, click Add button. The Jurisdiction Template Map window is displayed.
3. Enter the details of the required fields in the Jurisdiction Template Map window.

Table 5-9 Jurisdiction

Fields	Description
Jurisdictions	Select the required jurisdictions from the Jurisdictions drop-down list.
Template Type	Select the required template type from the Template Type drop-down list.
Template	Select the required template from the Template drop-down list.

4. Click **Save**. A new jurisdiction template is added to the Jurisdiction Template Map list page.
5. To edit the existing jurisdiction template details, select the required Jurisdiction Template Map and click Edit. The Jurisdiction Template Map window is displayed.
6. Modify the required details in the fields (You can only modify the Template field). For more information on the fields, see the above table.
7. Click **Save**. The Jurisdiction Template Map details are modified and updated.

5.1.2.10 Report Case Type

This section provides information about mapping between report type and case type. Here you can manage the existing report case type and add new report case type details.

To add a new report case type, follow these steps:

1. On the Navigation List, click **Report Case Type**. The Report Case Type page is displayed with the list of existing report case type details.
2. To view the list of report case types, select the required temple from the Template drop-down list. For example, USSAR, AUSAR, and so on.
3. To add a new report case type, click Add button. The Report Case Type window is displayed.
4. Enter the details of the required fields in the Report Case Type window.

Table 5-10 Report case type

Fields	Description
Template	Select the required template from the Template drop-down list.
Case Type Code	Select the case type code from the drop-down list.
Report Type Code	Select the report type code from the drop-down list.

5. Click **Save**. A new report case type is added to the Report Case Type list page.
6. To edit the existing report case type details, select the required Report Case Type and click Edit. The Report Case Type window is displayed.
7. Modify the required details in the fields (You can only modify the Template field). For more information on the fields, see the above table.
8. Click **Save**. The Report Case Type details are modified and updated.

5.1.2.11 Reporting Person

This section provides information about the person who is reporting the transaction. This is the person whom FIU can contact if more details are required.

To add a new reporting person, follow these steps:

1. On the Navigation List, click **Reporting Person**. The Reporting Person page is displayed with the list of existing reporting person details.
2. To view the list of reporting persons, select the required temple from the Template drop-down list. For example, USSAR and AUSAR.
3. To add a new reporting person, click + Add button. The Reporting Person window is displayed.
4. Enter the details of the required fields in the Reporting Person window.

Table 5-11 Reporting person

Fields	Description
Template	Select the required template from the Template drop-down list.
Gender	Select the gender from the drop-down list.
Date Of Birth	Select the date of birth from the calendar.
SSN	Enter the Social Security number. **NOTE**: You can enter up to 255 characters.
Email ID	Enter the email address.
Nationality 1, Nationality 2, and Nationality 3	Enter the Nationality 1, Nationality 2, and Nationality 3.
Resident Country	Select the country of residence from the drop-down list.
Passport Number	Enter the passport number. **NOTE**: You can enter up to 50 characters.
Passport Country	Passport Country
ID Number	Enter the identification number. **NOTE**: You can enter up to 255 characters.
Deceased	Select whether Reporting Person is deceased from the drop-down list.
Deceased Date	Select the date of the deceased from the calendar.
Tax Registration Number	Enter the tax registration number.
Person Tax Number	Enter the person's tax number.
Employer Name	Select the employer's name from the drop-down list.
Financial Institution	Select the financial institution from the drop-down list.
Set as Default?	Select Yes or No from the drop-down list to set as a default.
Is Active ?	Select Yes or No from the drop-down list to mention it as active.

Table 5-11 (Cont.) Reporting person

Fields	Description
Locale	Displays language. By default, English is displayed.
Title, First Name, Middle Name, Last Name, Prefix, Alias, Birthplace, Mother Name, Occupation, ID Type, Source of wealth	Enter personal details such as Title, First Name, Middle Name, Last Name, Prefix, Alias, Birthplace, and Mother Name
Comments	Enter comments.

5. Click **Save**. A new reporting person is added to the Reporting Person list page.
6. To edit the existing reporting person details, select the required Reporting Person and click Edit. The Reporting Person window is displayed.
7. Modify the required details in the fields (You can only modify the Template field). For more information on the fields, see the above table.
8. Click **Save**. The Reporting Person details are modified and updated.

5.1.2.12 Reporting Person Address

This section provides information about the reporting person's address. This is the person whom FIU can contact if more details are required.

To add a new reporting person address, follow these steps:

1. On the Navigation List, click **Reporting Person Address**. The Reporting Person Address page is displayed with the list of existing reporting person address details.
2. To view the list of reporting person addresses, select the required temple from the Template drop-down list. For example, USSAR and AUSAR.
3. To add a new reporting person address, click Add button. The Reporting Person Address window is displayed.
4. Enter the details of the required fields in the Reporting Person Address window.

Table 5-12 Reporting person Address

Fields	Description
Template	Select the required template from the Template drop-down list.
Reporting Person Name	Select the reporting person's name from the drop-down list.
Identification Type	Select the reporting person's identification type from the drop-down list.
Country, State	Select the country, state from the drop-down list.
Address Type	Select the type of address from the drop-down list.
Zip Code	Enter zip code details. **NOTE**: You can enter up to 50 characters.
Comments	Enter comments.
Address, District, City, Town	Enter Address, District, City, and Town details.

5. Click **Save**. A new reporting person Address is added to the Reporting Person Address list page.
6. To edit the existing reporting person details, select the required Reporting Person Address and click Edit. The Reporting Person Address window is displayed.
7. Modify the required details in the fields (You can only modify the Template field). For more information on the fields, see the above table.
8. Click **Save**. The Reporting Person Address details are modified and updated.

5.1.2.13 Reporting Person Identification

This section provides information about the reporting person's identification details. For example, passport, driving license, and so on. Here you can manage the existing reporting person identification and add new reporting person identification details.

To add a new reporting person identification, follow these steps:

1. On the Navigation List, click **Reporting Person Identification**. The Reporting Person Identification page is displayed with the list of existing Reporting Person Identification details.
2. To view the list of reporting person identification, select the required temple from the Template drop-down list. For example, USSAR and AUSAR.
3. To add a new reporting person identification, click Add button. The Reporting Person Identification window is displayed.
4. Enter the details of the required fields in the Reporting Person Identification window.

Table 5-13 Reporting person identification

Fields	Description
Template	Select the required template from the Template drop-down list.
Reporting Person Name	Select the reporting person's name from the drop-down list.
Identification Type	Select the reporting person's identification type from the drop-down list.
Country, State	Select country, state from the drop-down list.
Identification Number	Enter the identification number. **NOTE**: You can enter up to 255 characters.
Issue Date and Expiry Date	Select the issue date and expiry date from the calendar.
Issue By	Enter issuing authority details. **NOTE**: You can enter up to 20 characters.
Comments	Enter comments.

5. Click **Save**. A new reporting person identification is added to the Reporting Person Identification list page.
6. To edit the existing reporting person identification details, select the required Reporting Person Identification and click Edit. The Reporting Person Identification window is displayed.

7. Modify the required details in the fields (You can only modify the Template field). For more information on the fields, see the above table.
8. Click **Save**. The Reporting Person Identification details are modified and updated.

5.1.2.14 Reporting Person Phone

This section provides information about the reporting person's phone details. Here you can manage the existing reporting person's phone and add reporting person new phone details.

To add a new reporting person phone, follow these steps:

1. On the Navigation List, click **Reporting Person Phone**. The Reporting Person Phone page is displayed with the list of existing Reporting Person Phone details.
2. To view the list of reporting person phones, select the required temple from the Template drop-down list. For example, USSAR and AUSAR.
3. To add a new reporting person's phone, click Add button. The Reporting Person Phone window is displayed.
4. Enter the details of the required fields in the Reporting Person Phone window.

Table 5-14 Reporting person phone

Fields	Description
Template	Select the required template from the Template drop-down list.
Reporting Person Name	Select the reporting person's name from the drop-down list.
Contact Type	Select the reporting person's contact type from the drop-down list.
Communication Type	Select the reporting person's communication type from the drop-down list.
Country Phone Code	Enter country phone code.
Country Phone Code	Enter a phone number.
Country Phone Code	Enter phone number extension.
Comments	Enter comments.

5. Click **Save**. A new reporting person phone is added to the Reporting Person Phone list page.
6. To edit the existing reporting person phone details, select the required Reporting Person Phone and click Edit. The Reporting Person Phone window is displayed.
7. Modify the required details in the fields (You can only modify the Template field). For more information on the fields, see the above table.
8. Click **Save**. The Reporting Person's Phone details are modified and updated.

5.1.2.15 Report Type

This section provides information about report type. Here you can manage the existing report type and add new report type details.

To add a new report type, follow these steps:

1. On the Navigation List, click **Report Type**. The Report Type page is displayed with the list of existing report type details.
2. To add a new report type, click Add button. The Report Type window is displayed.
3. Enter the details of the required fields in the Report Type window.

Table 5-15 Report-type

Fields	Description
Report Type Code	Select the required report type code from the drop-down list.
Comment	Enter the required comments. NOTE: You can enter up to 4000 characters.
Is Active?	Select Yes or No to specify whether the report type is active from the drop-down list.
Report Type Name	Enter report type name. NOTE: You can enter up to 4000 characters.
Report Type Description	Enter report type description. NOTE: You can enter up to 6000 characters.

4. Click **Save**. A new report type is added to the Report Type list page.
5. To edit the existing report type details, select the required Report Type and click Edit. The Report Case Type window is displayed.
6. Modify the required details in the fields (You can only modify the Template field). For more information on the fields, see the above table.
7. Click **Save**. The Report Type details are modified and updated.

5.1.2.16 Status

This section provides information about the status of the report. Here you can manage the existing report status and add new report status details.

To add a new report status, follow these steps:

1. On the Navigation List, click Report Status. The Report Status page is displayed with the list of existing Report Status details.
2. To view the list of report status, select the required temple from the Template drop-down list. For example, USSAR and AUSAR.
3. To add a new report status, click Add button. The Report Status window is displayed.
4. Enter the details of the required fields in the Report Status window.

Table 5-16 Report-type

Fields	Description
Template	Select the required template from the Template drop-down list.
Code	Select the reporting person's name from the drop-down list.

Table 5-16 (Cont.) Report-type

Fields	Description
Is Read Only	Select Yes or No to specify whether the status is read-only.
Name	Enter the name of the status.
Description	Enter the required description.

5. Click **Save**. A new report status is added to the Report Status list page.
6. To edit the existing report status details, select the required Report Status and click Edit. The Report Status window is displayed.
7. Modify the required details in the fields (You can only modify the Template field). For more information on the fields, see the above table.
8. Click **Save**. The Report Status details are modified and updated.

5.1.2.17 State

This section provides information about the state of the financial institution. Here you can manage the existing state and add new state details.

To add a new state, follow these steps:

1. On the Navigation List, click **State**. The State page is displayed with the list of existing State details.
2. To view the list of state, select the required temple from the Template drop-down list. For example, USSAR and AUSAR..
3. To add a new state, click Add button. The Report State window is displayed.
4. Enter the details of the required fields in the State window.

Table 5-17 State

Fields	Description
Template	Select the required template from the Template drop-down list.
Country Code	Select the country code from the drop-down list.
State Code	Select the state code from the drop-down list.
State Name	Enter the name of the state.

5. Click **Save**. A new state is added to the State list page.
6. To edit the existing state details, select the required State and click Edit. The State window is displayed.
7. Modify the required details in the fields (You can only modify the Template field). For more information on the fields, see the above table.
8. Click **Save**. The State details are modified and updated.

5.1.3 Mapping and Loading Reporting Person

While preparing data, map Reporting Person to Reporting Person's Address, Phone, and Identification. After mapping, you must upload the Reporting Person and Reporting Person's Address, Phone, and Identification in the following sequence:

1. Upload Reporting Person.
2. Upload Reporting Person's Address, Phone, and Identification.

> **Note:**
>
> The N_RPTNG_PERSON_SKEY* in FCC_RR_RPTNG_PRSN_ADDR, FCC_RR_RPTNG_PRSN_PHONE, and FCC_RR_RPTNG_IDENTIFICATION tables must be same as N_RPTNG_PERSON_SKEY* in FCC_RR_REPORTING_PERSON.

For more information on uploading data into the system, see Data Uploading.

5.2 Data Uploading

After you have prepared data in the .csv file using Table 5-2 , you can upload reference data into the system. If there is any correction to be made to the uploaded reference data, you can download the uploaded reference data tables, edit, and upload them into the system.

To upload reference data into the system, follow these steps:

1. In the Navigation List LHS menu, select **Compliance Regulatory Reporting Administration**, and click **Reference Data Upload**. The Reference Data Upload page is displayed.
2. Click **Drop files here or click upload**. Select the .csv file from your system. The uploaded file is displayed with File Name, File size, and File Type in the file list.
3. Select the required file from the list and click **Upload**. The file is uploaded to the system.

> **Note:**
>
> You can upload only one file at a time.

4. To remove the file from the list, select the required File Name and click **Remove File** . The selected file is removed from the list.
5. In case you want to modify the uploaded reference data. From the **Reference Data Download** section, select the table from the **Select to Download** drop-down list and click **Download**. Modify the required information and upload the reference data table by repeating steps 2 and 3.

6
Scheduling a Batch

> **Note:**
>
> This section applies only to the PH CTR Application.

After you load the CTR-related data, you must run the following batches in the same order.

- Ingestion
- PHCTRScenario_Daily
- PHCTRDataLoad

For more information on scheduling batches, see Using Scheduler Service.

7 Process Modelling Framework

Process Modelling Framework (PMF) is a set of services that are used by the application to determine the report's workflow and therefore the review and approval process the report follows.

PMF is a design and execution framework that enables administrators to implement various actions and statuses which will be used by the associated workflow.

The Process Modelling Framework consists of Process Modelling components for modelling workflow and Process Monitor components to monitor instantiated workflow of Oracle Financial Services (OFS RR CS) Regulatory Reporting Cloud Services.

In OFS RR CS, PMF is used to create a new workflow using application rules, define actions and statuses, and map user roles to those actions and statuses. It is also used to modify and remove existing workflows.

> **Note:**
>
> - In OFS RR CS, PMF is applicable only for goAML XE and US SAR module.
> - To take action from Case Management, CM user must have CRR Supervisor permission.
> - For CRR status to flow to Case Management, CRR user must have CM Supervisor permission.

Topics:

- Pre-requisites
- Creating a New Status or Action
- Editing and Deleting PMF Workflow
- Using Process Monitor

7.1 Pre-requisites

To create a new business flow (status or action) in the in OFS RR CS modules you have to upload the following tables using the Data Uploading option.

- FCC_RR_STATUS_B
- FCC_RR_STATUS_TL
- FCC_RR_CODE_DESC_B
- FCC_RR_CODE_DESC_TL

For example, if you want to add a new action or status in the OFS RR CS workflow as Closed, you must add that status and action in the above-mentioned tables using the .csv files.

This activity is called Application Package, it is a concept used to group flow (action/status), Application Rules, and Data Fields that are required for an Application.

You can add a new action or status by adding a new entry in the above-mentioned tables.

> **Note:**
>
> - IFor goAML, N_TMPLT_ID is 28
> - For US SAR, N_TMPLT_ID is 1.

For example:

FCC_RR_CODE_DESC_TL

CODE_DESC	CODE_SET*	CODE_VA	N_TMPLT_ID*	V_LOCALE_CD*	OLD_CODE_VAL	
editable SUP	ReportActionName	180	28	en-US	null	
noneditable SUP	ReportActionName	181	28	en-US	null	
editable ANA	ReportActionName	182	28	en-US	null	
noneditable ANA	ReportActionName	183	28	en-US	null	
ECM EDIT SUP	ECMActionName	180	28	en-US	null	
ECM NON EDIT SUP	ECMActionName	181	28	en-US	null	
ECM EDIT ANA	ECMActionName	182	28	en-US	null	
ECM NON EDIT ANA	ECMActionName	183	28	en-US	null	

FCC_RR_CODE_DESC_B

A	B	C	D
CODE_SET*	CODE_VAL*	N_TMPLT_ID*	OLD_CODE_VAL
ReportActionName	180	28	null
ReportActionName	181	28	null
ReportActionName	182	28	null
ReportActionName	183	28	null
ECMActionName	180	28	null
ECMActionName	181	28	null
ECMActionName	182	28	null
ECMActionName	183	28	null

FCC_RR_STATUS_B

A	B	C
V_STATUS_CD*	F_IS_READ_ONLY	N_TMPLT_ID*
180	N	28
181	Y	28
182	N	28
183	Y	28

FCC_RR_STATUS_TL

A	B	C	D	E
V_STATUS_CD*	V_LOCALE_CD	V_STATUS_NM	V_STATUS_DESC	N_TMPLT_ID*
180	en-US	editable SUP	editableSupervisor	28
181	en-US	noneditable SUP	noneditableSupervisor	28
182	en-US	editable ANA	editableAnalyst	28
183	en-US	noneditable ANA	noneditableAnalyst	28

To update the table, you must upload the .csv file in the Reference Data Upload page. For more information, see the Data Uploading section.

> **Note:**
>
> For OOB workflow, status and action name cannot be changed. `1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,99` these code values must not be used for new statuses.

7.2 Creating a New Status or Action

This section explains how to create a new status or action in the PMF workflow. Using this option you can create a new application rule where you can define the action, status, user role, rule type, expression type, and so on. After creating the action or status you can connect to other actions or statuses by using the Transition line. You can also modify the existing action or statuses, user roles, Rule type, expression type. Finally, you can verify the updates you have performed in the PMF by validating the action or status in the goAML XE application module.

> **Note:**
>
> You cannot change the names of Out of Box (OOB) actions.

Topics:

- Creating a New Application Rule
- Adding a News Status in the Workflow
- Connecting the Action Using Transition
- Verifying Changes in PMF

7.2.1 Creating a New Application Rule

The Application Rule is the interface between the process engine and the application to include any parameters to be passed. In RR Cloud Service, this option is used to create new actions or statuses, and modify or delete the existing actions or statuses.

The Application Rule option is also used to define the user roles such as Supervisor, Analyst, and so on for the new or existing actions.

These Application Rules are used to build a workflow in the PMF for the application. For more information, see Adding a News Status in the Workflow.

To create a new application rule, follow these steps:

1. On the **Compliance Regulatory Reporting** LHS menu, select **Process Modelling Framework** and click **Process Modeller**. The **Process Modeller** page is displayed with the existing modules with the details such as Process ID, Process Name, Process Description, Version, Instance, Application, and Last Modified details.
2. Select a module (goAML XE). A **Process Modelling Framework** page is displayed.
3. Select the **Application Rule** tab and click **Add**. The list of options is displayed. Select **Attribute Expression**. The **Rule Details** window is displayed.
4. Enter the information in the fields.
5. Rule Type and Execution Type are not editable.

6. Select the Attribute as Status and click **Add**. The Attribute values are added in the Attribute Values section. This defines the status or action of the report. For example, Close, Cancel, and so on.
7. Select the Attribute as Role and click **Add**. The Attribute values are added in the Attribute Values section. This defines the user role who can perform this action. For example, Analyst, Supervisor, and so on.
8. Go to the **Attribute Values** section and Click Status. Select the required status from the drop-down list. For example, close, cancel, and so on.
9. Similarly, click the **Role** and select the required status from the drop-down list. For example, RR Supervisor or RR Admin.
10. Click **OK**. The new rule is added to the list of Application Rule sections.
11. To edit the existing Application Rule. Select the required **Application Rule**, and click **Edit**. The Edit API Details window is displayed. Modify the information and click Save. The modified Application Rule is updated.

7.2.2 Adding a News Status in the Workflow

Business workflows are defined in Process Flow to design and execute the sequence of tasks to derive a well-defined outcome. This flow is defined by using various PMF artifacts from the component toolbar such as Application Rules, Process Flow, and so on. For more information, see Creating a new Application Rule.

Use the Process Flow option to add a new action or status (that is, created in the Application Rule section) and create a new workflow.

For more information on the components of the PMF, see Configure Processing Modelling Framework Guide.

To add a new workflow in the PMF, follow these steps:

1. Select the **Process Flow** tab, click **Human Task** from the Activities pane in the floating toolbar, and click on the drawing canvas where you want to place it. Or drag and drop the Human Task icon.
2. Double-click **Human Task** icon. The Activity drawer window is displayed with Activity ID.
3. Enter the **Activity Name** (For example, Close, Approve, and so on) and Description.
4. Select the **Status** of the activity from the drop-down list. For example, close, cancel, and so on.

> **Note:**
>
> The status that you uploaded in the tables using .csv files must reflect in the drop-down list.

7.2.3 Connecting the Action Using Transition

A Transition is used to control the flow between various components in the Process, such as between two or more Human Tasks. Transition connects two activities, and the flow is configured based on conditional expression or decision rule. You can even connect to the OOB tasks.

To provide a connection between two actions, follow these steps:

1. On the Tools bar, select the Transition line (Transition Line to use a straight line to connect the activities or Transition Curve to use curved lines, based on your requirement).
2. On the Canvas, connect between newly added human task and existing human task. For example, connect between Open Status (existing task) to Closed status (newly created task).
3. Right-click on the new transition line between Open Status (existing Human task) to Closed status (newly created Human task). The Edit Transition window is displayed.
4. To enter the decision rule, click the search icon in the Decision rule field. The Participant Dialog is displayed. Select the required option. For example, Close, Cancel, and so on.
5. Click **OK**. The newly created transition is added to the selected Human tasks.

> **Note:**
>
> The decision rules are created in Creating a New Application Rule.

6. Click **Save**. A confirmation message is displayed.

7.2.4 Verifying Changes in PMF

Use this section to verify the changes you have made in the PMF. For example, if you have added a new action as Closed in the PMF and verify this in the RR Cloud Service.

To verify the changes performed on the PMF, follow these steps:

1. Log into RR Cloud Service as Supervisor or Analyst.
2. On the Navigation List LHS menu, select **Compliance Regulatory Reporting**. The list of modules is displayed.
3. Select the **goAML STR** module and go to the **Report Details** page and verify the actions which are added in the PMF.

7.3 Editing and Deleting PMF Workflow

Use this section to modify or remove existing Human tasks (action) in the PMF workflow. You can also modify the user roles of the OOB actions using the Application Rule option.

> **Note:**
>
> You cannot change the names of OOB actions.

To modify or remove the existing PMF workflows, follow these steps:

1. Select a module (goAML XE). A **Process Modelling Framework** page is displayed.
2. Select required Human Task to modify the action. For example, Approve, Open, and so on.

3. To move the Human Task (action) in the workflow, drag and drop the required Human Task and connect to other Human Task using the Transition line. You can also modify the user roles. For more information, see Step 10 in Creating a New Application Rule.
4. To remove the Human Task (action), select the required **Human Task** and click **Delete**. The confirmation message is displayed and click OK. The selected Human Task (action) is removed.
5. Connect to the required **Human Task** (action) using the Transition line.
6. For more information on the components of the PMF and other configurations, see Configure Processing Modelling Framework Guide.

7.4 Using Process Monitor

The Process Monitor is used to monitor the current stage of the Process for different instances. After integration with an application, the workflow can be called. After calling it goes through all the stages defined. Process Monitor shows all the stages finished, current stage, and stages to come if any. Your user group must be mapped to the function role WFMACC (Workflow Monitor Access) to access the Process Monitor window.

For more information, see Process Monitor section in Configure Processing Modelling Framework Guide.

8 Configuring G-Cash and Bill Payment Transactions

Configure G-Cash and Bill payment transactions for PH CTR and STR.

To monitor G-Cash and Bill payment transactions, configure the Staging tables as the following:

- **G-Cash Transaction for PH STR and PH CTR**

  The required Mantas transaction product code for G-Cash transaction must be populated as EFT-ACH in the staging table - STG_CASA_TXNS.V_MANTAS_TXN_PROD_TYPE_CD.

- **Bill Payment Transaction for PH STR and PH CTR**

  The required Mantas transaction product code for Bill Payment transaction must be populated as AUTO-BILL-PAY in the staging table - STG_CASA_TXNS.V_MANTAS_TXN_PROD_TYPE_CD.

- **Bill Payment Transaction for PH STR**

  To enable Bill payment transaction monitoring and Regulatory reporting, configure ‘Automatic Bill Payment’ in the existing the wired scenarios.