

Oracle FCCM Transaction Filtering Cloud Service

Name with Whitespace Screening Guide



Release 25.05.01

G34762-01

May 2025

ORACLE®

Copyright © 2025, 2025, Oracle and/or its affiliates.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish, or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

If this is software, software documentation, data (as defined in the Federal Acquisition Regulation), or related documentation that is delivered to the U.S. Government or anyone licensing it on behalf of the U.S. Government, then the following notice is applicable:

U.S. GOVERNMENT END USERS: Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs) and Oracle computer documentation or other Oracle data delivered to or accessed by U.S. Government end users are "commercial computer software," "commercial computer software documentation," or "limited rights data" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, the use, reproduction, duplication, release, display, disclosure, modification, preparation of derivative works, and/or adaptation of i) Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs), ii) Oracle computer documentation and/or iii) other Oracle data, is subject to the rights and limitations specified in the license contained in the applicable contract. The terms governing the U.S. Government's use of Oracle cloud services are defined by the applicable contract for such services. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate fail-safe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

Oracle®, Java, MySQL, and NetSuite are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Inside are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Epyc, and the AMD logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group.

This software or hardware and documentation may provide access to or information about content, products, and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services unless otherwise set forth in an applicable agreement between you and Oracle. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services, except as set forth in an applicable agreement between you and Oracle.

Contents

Preface

Audience	iv
Help	iv
Documentation Accessibility	iv
Diversity and Inclusion	iv
Related Resources	v
Conventions	v
Comments and Suggestions	v

1 Introduction

2 Configure Name with Whitespace Screening for Existing Environments

3 Configure Name with Whitespace Screening for New Environments

Index

Preface

This document provides implementation guidance for enabling **Whitespace and Without Space Matching** in Oracle Financial Services Transaction Filtering. This feature enhances name-matching accuracy by eliminating whitespace inconsistencies between transaction data and watchlist entries, addressing a common root cause of missed alerts in both real-time and batch screening.

Audience

This document is intended for users who are responsible for provisioning and activating Oracle Transaction Filtering Cloud services or for adding other users who would manage the services, or for users who want to develop Oracle Cloud applications.

Help

Use Help Icon  to access help in the application. If you don't see any help icons on your page, click your user image or name in the global header and select Show Help Icons. Not all pages have help icons. You can also access the <https://docs.oracle.com/en/> to find guides and videos.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customer access to and use of Oracle support services will be pursuant to the terms and conditions specified in their Oracle order for the applicable services.

Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

Related Resources

For more information, see these Oracle resources:

- Oracle Public Cloud: <http://cloud.oracle.com>
- Community: Use <https://community.oracle.com/customerconnect/> to get information from experts at Oracle, the partner community, and other users.
- Training: Take courses on Oracle Cloud from <https://education.oracle.com/oracle-cloud-learning-subscriptions>.

Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
<code>monospace</code>	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

Comments and Suggestions

Please give us feedback about Oracle Applications Help and guides! You can send an e-mail to: <https://support.oracle.com/portal/>.

1

Introduction

The *Name with whitespace Screening* feature improves name matching accuracy in Transaction Filtering by eliminating whitespace from both the transaction input and watchlist entries before screening.

This feature resolves matching failures caused by names that include irregular spacing. For example, the name "**C H A N G T A I S H I P P I N G L I M I T E D**" is normalized to "**CHANGTAISHIPPINGLIMITED**", allowing accurate comparison against similarly structured watchlist entries.

This guide describes how to enable the feature in two deployment scenarios:

- Upgrade Setup – For existing environments being upgraded
- Provision Setup – For new installations.

Use this guide to configure the feature based on your deployment type and ensure consistent name matching during screening.

2

Configure Name with Whitespace Screening for Existing Environments

This chapter describes the steps to prepare your existing environment for Name with Whitespace Screening by managing watchlist indices and running relevant batch processes.

The following steps **must** be done to enable this feature.

Perform the following steps on the existing environment:

Save the existing Private Watchlist Data:

1. From the **Navigation List**, click **Watchlist Management > Private Watchlist**. The Private Watchlist page appears.
2. Select the all the data and click the **Export**

Figure 2-1 The Export Icon

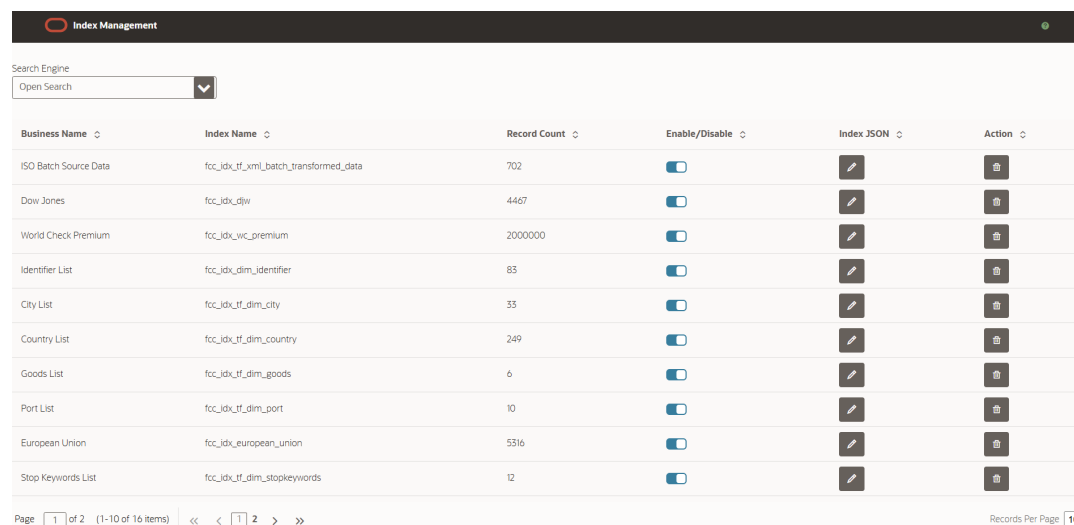


Icon. The **Save** window appears.

3. Navigate to you desired location, click **Save**. The Private Watchlist data is saved successfully.
4. Close the **Private Watchlist** page.

Delete the existing Watchlist Indices on the Index Management:

1. Navigate to the **Oracle Financial Services Crime and Compliance Management Anti Money Laundering Cloud Service landing** page.
2. From the **Navigation List**, click **Watchlist Management > Index Management**. The Index Management page appears.

Figure 2-2 The Index Management page


Business Name	Index Name	Record Count	Enable/Disable	Index JSON	Action
ISO Batch Source Data	fcc_idx_tf_xml_batch_transformed_data	702	☒		
Dow Jones	fcc_idx_djw	4467	☒		
World Check Premium	fcc_idx_wc_premium	2000000	☒		
Identifier List	fcc_idx_dim_identifier	83	☒		
City List	fcc_idx_tf_dim_city	35	☒		
Country List	fcc_idx_tf_dim_country	249	☒		
Goods List	fcc_idx_tf_dim_goods	6	☒		
Port List	fcc_idx_tf_dim_port	10	☒		
European Union	fcc_idx_european_union	5316	☒		
Stop Keywords List	fcc_idx_tf_dim_stopkeywords	12	☒		

Page 1 of 2 (1-10 of 16 items) < 1 2 > >> Records Per Page 10

3. If you are using any of the following **Watchlist Indices**, **Delta Watchlists Indices** and

Source Data Indices on the **Index Management**. For each index, click the **Delete**  icon. When the confirmation pop-up appears, click **OK**.

- **Watchlist indices:**
 - Office Of Foreign Assets Control
 - United Nations
 - HM Treasury
 - Dow Jones
 - World Check Premium
 - World Check Standard
 - European Union
 - Private Watchlist
- **Delta watchlist indices:**
 - World Check Premium Delta
 - World Check Standard Delta
 - Dow Jones Delta
- **Source Data indices:**
 - ISO Batch Source Data
 - NACHA Batch Source Data

4. Close the **Index Management** Page.

Upload the Data to the Private Watchlist screen:

1. From the **Navigation List**, click **Watchlist Management > Private Watchlist**. The Private Watchlist page appears.
2. If any data exists, select all the data, click Delete  icon to delete.

3. Now upload your existing data to the private watchlist:

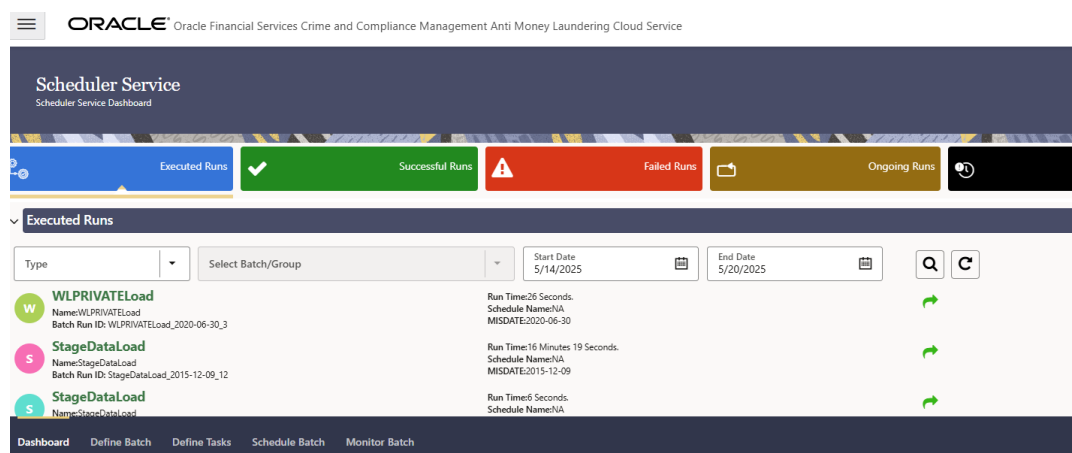
- Click **Import** . A pop-up window appears.
- Select your file and click **Open**. The file is uploaded and the records are displayed on the Private Watchlist grid.

4. Close the **Private Watchlist** page.

Run the Full Load Watchlist Batches:

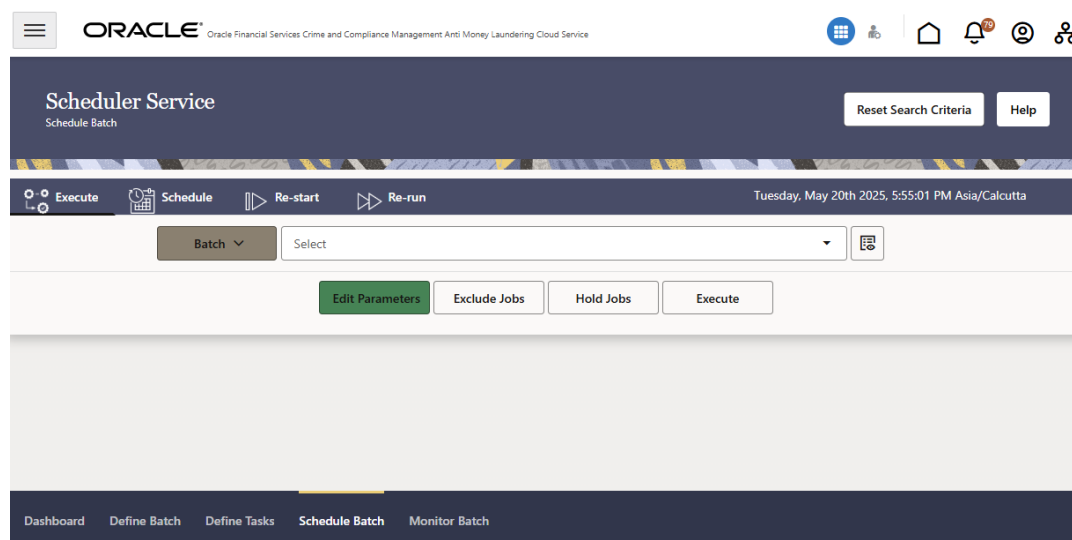
- From the **Navigation List**, click **Batch Administration > Scheduler Service**. The Scheduler Service dashboard appears.

Figure 2-3 The Scheduler Service Dashboard screen



- Click the **Schedule Batch** Tab.

Figure 2-4 The Scheduler Batch Tab



- From the following list of batches, if you want to use any or if you deleted any related indices on the Index Management screen, select each batch from the drop-down list and click **Execute**, one at a time.
Ensure that each batch completes successfully before proceeding to the next.

- **WLOFACLoad**
- **WLUNLoad**
- **WLHMTLoad**
- **WLDJWLoad**
- **WLWCPremiumLoad**
- **WLWCStandardLoad**
- **WLEULoad**
- **WLPRIVATELoad**
- **WLWCPremiumDeltaLoad**
- **WLWCStandardDeltaLoad**
- **WLDJWDeltaLoad**
- **ISO20022BatchScreening**
- **NACHABatchScreenig**

After completing the above steps, you can perform name screening with whitespace handling for both real-time transactions (SWIFT, FEDWIRE, ISO) and batch processing (ISO, NACHA) in the existing environment.

3

Configure Name with Whitespace Screening for New Environments

This chapter describes the steps to prepare a new environment for Name with Whitespace Screening by managing watchlist indices and running relevant batch processes.

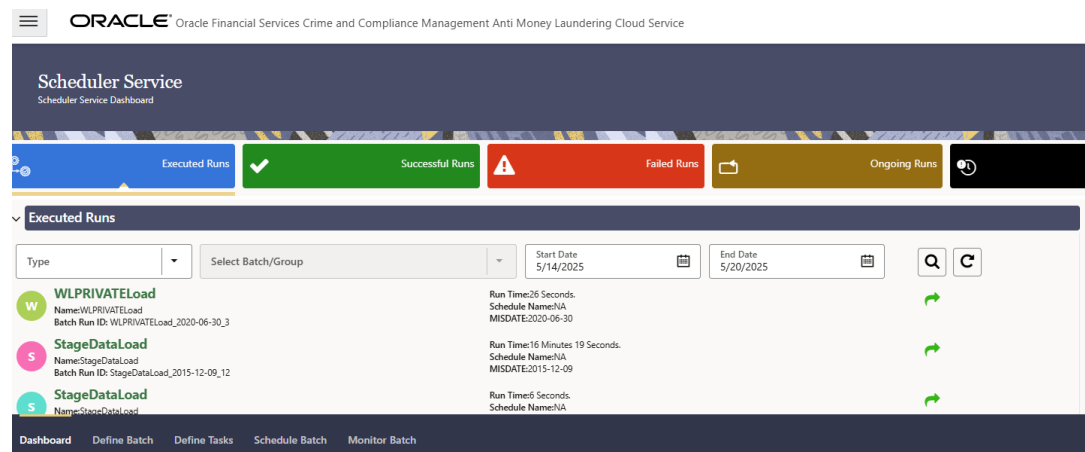
The following steps **must** be done to enable this feature.

Perform the following steps on the New environment:

Run the Full Load Watchlist Batches:

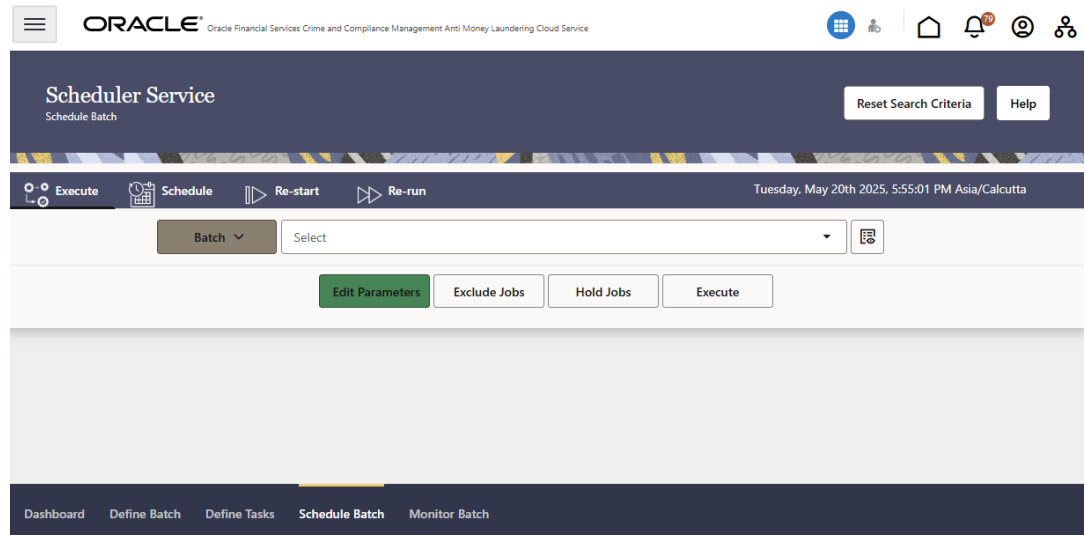
1. From the **Navigation List**, click **Batch Administration > Scheduler Service**. The Scheduler Service dashboard appears.

Figure 3-1 The Scheduler Service Dashboard screen



2. Click the **Schedule Batch** Tab.

Figure 3-2 The Scheduler Batch Tab



3. From the following list of batches, select the required batch from the drop-down list and click **Execute**, one at a time. Ensure that each batch completes successfully before proceeding to the next.

- **WLOFACLoad**
- **WLUNLoad**
- **WLHMTLoad**
- **WLDJWLoad**
- **WLWCPremiumLoad**
- **WLWCStandardLoad**
- **WLEULoad**
- **WLPRIVATELoad**
- **WLWCPremiumDeltaLoad**
- **WLWCStandardDeltaLoad**
- **WLDJWDeltaLoad**
- **ISO20022BatchScreening**
- **NACHABatchScreening**

**Note:**

You can load Delta Full Load Watchlist Batches only on Day 1, if required.

After completing the above steps, you can perform name screening with whitespace handling for both real-time transactions (SWIFT, FEDWIRE, ISO) and batch processing (ISO, NACHA) in the new environment.

Glossary

Index