Oracle FCCM Cloud Service Transaction Filtering

User Roles and Privileges

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Contents

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Preface

User Roles and Privileges explains how to enable user access to Oracle Financial Services Crime and Compliance Management Transaction Filtering Cloud Service functions and data.

Audience

This document is intended for users who are responsible for provisioning and activating Oracle Transaction Filtering Cloud services or for adding other users who would manage the services, or for users who want to develop Oracle Cloud applications.

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The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which user supply particular values.
`monospace`	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that user enter.

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Overview of Securing Oracle FCCM Cloud Service

Oracle Financial Services Crime and Compliance Management Cloud Service is secure as delivered. This guide explains how to enable user access to Oracle Financial Services Crime and Compliance Management Cloud Service functions and data. You perform some of the tasks in this guide either only or mainly during implementation. Most, however, can also be performed later and as requirements emerge. This topic summarizes the scope of this guide and identifies the contents of each chapter.

The Oracle Financial Services Crime and Compliance Management Cloud Service is a platform for hosting software as a service (SaaS) applications and this platform provides a secure consistent environment for the deployment and operation of SaaS applications. It also provides unified security features to all services deployed on the platform in the areas of user identity management and the management of access entitlements provisioned to users.

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Application User Setup

During implementation, you prepare your Oracle Applications Cloud service for application users. Decisions made during this phase determine how you manage users by default. Most of these decisions can be overridden. However, for efficient user management, you're recommended to configure your environment to both reflect enterprise policy and support most or all users. For more information, see the User Summary Page and User Roles and Privileges.

During implementation, you can use the Create User task to create test application users. By default, this task creates a minimal person record and a user account. After implementation, you should use the Hire an Employee task to create application users. The Create User task isn't recommended after implementation is complete. This topic describes how to create a test user using the Create User task. For more information, see the Creating the Application Users.

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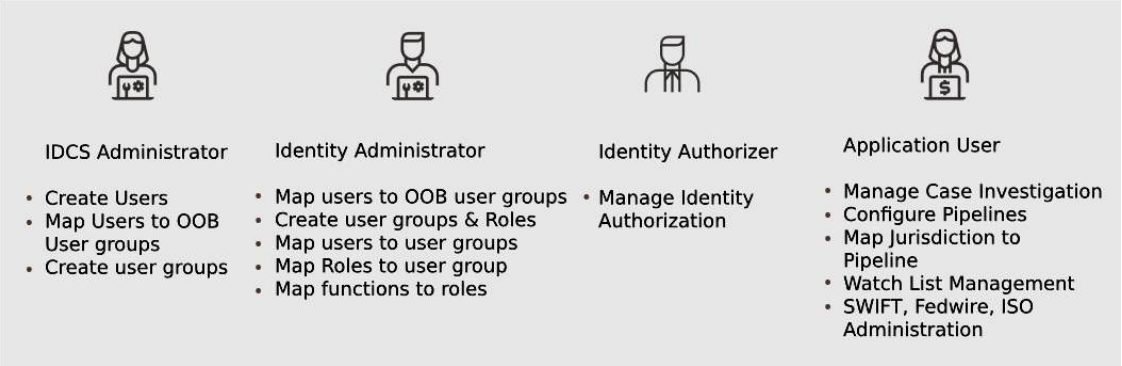
User Roles and Privileges

Oracle Financial Services Crime and Compliance Management Transaction Filtering Cloud Service, users have roles through which they gain access to functions and data. Users can have any number of roles.

The following figure shows the User Persona Details:

Figure 3-1 User Roles and Privileges



Note

- User-Group mapping changes from IDCS will take five minutes to sync with the application. If these changes are made during the active user session then it will be reflected on the next login.
- You can create and manage Application users as per your requirements. For example, you can map Pipeline Admin group and CM Admin group to one user.

3.1 Role-Based Access Control

Role-based security in Oracle FCCM Transaction Filtering Cloud Service controls who can do what on which data.

The following table summarizes role-based access.

Table 3-1 Role-based Access

Component	Description
Who	The role assigned to a user.
What	The functions which users with the role can perform.

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Table 3-1 (Cont.) Role-based Access

Component	Description
Which Data	The set of data which users with the role can access when performing the function.

The following table provides examples of role-based access.

Table 3-2 Examples of role-based access.

Who	What	Which Data
Data Administrators	Prepare and ingest data	Business data
Case Analysts	View, analyze, and act on cases	Business data and Operational data

> **Note**
>
> The new user should have the following roles to access Home page of the Cloud application.
>
> - Function read role
> - Group read role
> - User read role
> - Role read role

3.2 User Group and User Role Mapping

The following table provides the User Group and User Role mapping.

Table 3-3 User Group and User Role Mapping

User Groups	User Roles	Activities
TF Admin User Group	Common Pipelines access	• Data API Pipeline Access • Data Loading Pipeline Access • Data Pipeline Pipeline Access
TF Admin User Group	Pipeline Admin Role	• AML access code • FCC Common Function • Pipeline Access • PIPELINE Common Function
TF Admin User Group	TF pipelines access role	TF Pipeline Access
TF Admin User Group	WATCHLIST pipelines access role	Watchlist Pipeline Access

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Table 3-3 (Cont.) User Group and User Role Mapping

User Groups	User Roles	Activities
TF Admin User Group	TF Admin	• TF Application Level Parameter Access • TF FEDWIRE CONFIGURATION ACCESS • TF ISO Configuration Access • TF Pipeline Jurisdiction and Business Mapping • TF SWIFT Configuration Access
TF Admin User Group	Threshold Editor Admin Role	• AML access code • FCC Common Function • PIPELINE Common Function • Threshold Editor/ Simulator Access
TF Admin User Group	Watchlist Admin Role	• OFS_CSCS • Watchlist Admin Access
TF Admin User Group	• Workflow Access • Workflow Monitor Access	• Link Access to Workflow and Process Definitions • Summary Access to Workflow and Process Definitions • View Workflow and Process Monitor
TF Admin User Group	Batch Advance Role	• Batch Add Function • Batch Copy Function • Batch Delete Function • Batch Execute Function • Batch Modify Function • Batch Purge Function • Batch Schedule Function • Batch Summary Function • Batch View Function • Function Summary
TF Admin User Group	Batch Authorization Role	• Batch Authorize Function • Batch Summary Function • Batch View Function • Function Summary
TF Admin User Group	Batch Maintenance Role	• Batch Modify Function • Batch Summary Function • Batch View Function • Function Summary
TF Admin User Group	• Batch Notification Role • Batch Read Role • Batch Write Role	• Batch Notification Function • Batch Summary Function • Batch View Function • Function Summary • Batch Add Function • Batch Copy Function • Batch Modify Function
TF Admin User Group	Canned Report Access	Enable Canned Report

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Table 3-3 (Cont.) User Group and User Role Mapping

User Groups	User Roles	Activities
CM Analyst Group	CM Analyst	Map users to CM Analyst Group
CM Supervisor Group	CM Supervisor	Map users to CM Supervisor Group
DPADMIN	FRC Data Platform Administrator	View the Data Platform Menu and to configure the data platform UI for EDD, Connector and PMF.
DPADMIN	FRC Data Platform Administrator	Add these roles to the DPADMIN user group to enable export and import of EDD and Connectors through Object Migration: • CATLGCREXP • CATLGCRIMP • DIOBJEXP • DIOBJIMP • FILE_ADV • OM_ADM • OMEXADVND • OMIMADVND

3.3 User Roles and Activities in Transaction Filtering

The following table details the privileges in Transaction Filtering.

Table 3-4 User Roles and Activities

Privileges	TF Administrator	IHub TF Analyst	IHub TF Supervisor
Manage private watch lists	Yes	No	No
Manage synonyms & stop words	Yes	No	No
Manage Index Management UI	Yes	No	No
Map jurisdictions to pipelines	Yes	No	No
Search for cases	No	Yes	Yes
Investigate cases	No	Yes	Yes
Set a case due date	No	Yes	Yes
Recommend case closure	No	Yes	No
Approve or reject recommendations to close cases	No	No	Yes
Close cases	No	Yes	Yes
Configure jurisdictions and business domains	Yes	No	No
Configure case statuses, actions, types, and priority	Yes	No	No
Configure security mappings	Yes	No	No
Configure case system parameters	Yes	No	No
Configure & monitor PMF workflows	Yes	No	No

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Using Transaction Filtering Documentation

Workflow of TF and related documents.

The following table provide insight into workflow of TF and related documents.

Table 4-1 Workflow for TF

Sequence	Document Reference	Description
1	Subscription	Activate Subscription
2	User Authentication	• Create users • User group and role mapping
3	Configure Master Data	Configure master data through the data load service and they are used in the onboarding JSON
4	Data Loading	Upload required data files to Object Store
5	Mapping Jurisdiction to Pipeline	Map Jurisdiction and Entity Type to Pipeline
6	Configure Pipeline	• Import the ready-to-use pipelines • Create a copy of the imported pipelines • Create new pipelines and configure • Execute the batch
7	Application Security Mapping	• Create security attributes • Map Security Attributes to users
8	Watch List Management	• Manage Private Watch List • Manage Synonym Words
9	Configure Case Management	• Configure Status and Actions • Configure Case Types • Map of Case Action to Status, Case Type, user role • Configure PMF • Implement PMF using Case Types UI
10	Batch Group Execution	• Define a Batch • Define a Task • Schedule a Batch • Execute a Batch • Monitor a Batch
11	Investigating Cases	• Search Case • Analyze the case • Perform Real-Time Screening • Close the case

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