Managing Encryption and Certificates in Oracle Solaris 11.4



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Using This Documentation

- **Overview** Describes how to administer encryption, keys, and public/private certificates on Oracle Solaris systems.
- Audience System administrators who must implement security on the enterprise.
- Required knowledge Familiarity with security concepts and terminology.

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1 About Cryptographic Providers in Oracle Solaris

This chapter describes the providers of cryptography to the Oracle Solaris operating system, and covers the following topics:

- What's New in Cryptography for Oracle Solaris 11.4
- The Cryptographic Framework
- OpenSSL and Oracle Solaris

What's New in Cryptography for Oracle Solaris 11.4

This section highlights information for existing customers about new cryptographic services in this release.

- The Cryptographic Framework is based on the latest version of the PKCS #11 Cryptographic Token Interface Standard, PKCS #11 v2.40. Several new cryptographic algorithms and security standards have been revised and published in this upgrade. For more information, see the OASIS PKCS #11 Technical Committee website: https:// www.oasis-open.org/committees/pkcs11/.
- ucrypto is a simple and fast cryptographic interface to user-level cryptographic primitives. ucrypto is useful for applications with simple needs for pure cryptographic functionality. In particular, ucrypto is useful when programs cannot or should not use PKCS #11 or OpenSSL APIs. The faster path to cryptographic functionality through ucrypto can significantly improve the performance of applications. For more information, see Simple and Fast ucrypto Provider
- An enhanced elfsign command makes it more difficult for attackers get at your data. elfsign also separates the signature cryptographic algorithm calculation from the data range algorithm, making it easier for you to add and maintain new algorithms.

For more information, see Elfsign Enhancements.

Configurable keystore

As of this Oracle Solaris release, token labels are configurable. You can simultaneously create a new token, set its PIN, and assign a label to it with a single <code>pktool</code> inittoken command. You can also use the same command to change the labels of existing tokens. However, to change the PINs of existing tokens, you continue to use the <code>pktool</code> setpin command.

Although the <code>pktool setpin</code> command remains a valid command to create a token, you cannot set the label name using this method. Instead, the default label name is used, which is Sun Software PKCS #11 softtoken.

If you are running applications or scripts that use pktool setpin to create tokens, you
must revise them to include pktool inittoken to configure token labels as well. For
examples of the use of the pktool inittoken command, see How to Create a PKCS
#11 Keystore.



• The cryptoadm command creates a new BE, thus retains the original BE. For more information, see Enabling FIPS 140-2 Mode in Oracle Solaris.

About Cryptography in Oracle Solaris

Oracle Solaris provides the Cryptographic Framework to handle cryptographic requirements. Third parties can add their cryptographic services as plugins to the Cryptographic Framework. See The Cryptographic Framework.

For faster access to cryptographic primitives, Oracle Solaris offers the ucrypto provider for access to user-level algorithms. See Simple and Fast ucrypto Provider.

OpenSSL, an open source project, is a source of cryptographic services for Transport Layer Security (TLS) and Secure Sockets in Oracle Solaris. Oracle Solaris supports both the non-FIPS 140-2 and the FIPS 140-2 versions of OpenSSL. See OpenSSL and Oracle Solaris.

The Cryptographic Framework

The Cryptographic Framework provides a common store of algorithms and PKCS #11 libraries to handle cryptographic requirements. The PKCS #11 libraries are implemented according to the RSA Security Inc. PKCS #11 Cryptographic Token Interface (Cryptoki) standard.





Figure 1-1 Cryptographic Framework Levels

At the kernel level, the framework currently handles cryptographic requirements for ZFS, Kerberos and IPsec, as well as hardware. User-level consumers include the OpenSSL engine, Java Cryptographic Extensions (JCE) and IKE (Internet Key Protocol).

Export law in the United States requires that the use of open cryptographic interfaces be licensed. The Cryptographic Framework satisfies the current law by requiring that kernel cryptographic providers and PKCS #11 cryptographic providers be signed. For further discussion, see the information about the elfsign command in User-Level Commands in the Cryptographic Framework.

The framework enables *providers* of cryptographic services to have their services used by many *consumers* in Oracle Solaris. Another name for providers is *plugins*. The framework supports three types of plugins:

- User-level plugins Shared objects that provide services by using PKCS #11 libraries, such as /var/user/\$USER/pkcs11_softtoken.so.1.
- Kernel-level plugins Kernel modules that provide implementations of cryptographic algorithms in software, such as AES.



Many of the algorithms in the framework are optimized for x86 with SSSE3 instructions and AVX instructions and for SPARC hardware. For T-Series optimizations, see Cryptographic Framework Optimizations for SPARC Based Systems.

 Hardware plugins – Device drivers and their associated hardware accelerators. The Niagara chips are one example. A hardware accelerator offloads expensive cryptographic functions from the operating system.

The framework implements a standard interface, the PKCS #11, v2.40 amendment 3 library, for user-level providers. The library can be used by third-party applications to reach providers. Third parties can also add signed libraries, signed kernel algorithm modules, and signed device drivers to the framework. These plugins are added when the Image Packaging System (IPS) installs the third-party software. For a diagram of the major components of the framework, see Figure 1-1.

Concepts in the Cryptographic Framework

Note the following descriptions of concepts and corresponding examples that are useful when working with the Cryptographic Framework.

 Algorithms – Cryptographic algorithms are established, recursive computational procedures that encrypt or hash input. Encryption algorithms can be symmetric or asymmetric. Symmetric algorithms use the same key for encryption and decryption. Asymmetric algorithms, which are used in public-key cryptography, require two keys. Hashing functions are also algorithms.

Examples of algorithms include:

- Symmetric algorithms, such as AES
- Asymmetric algorithms, such as RSA
- Hashing functions, such as SHA256
- Consumers Users of the cryptographic services that come from providers. Consumers can be applications, end users, or kernel operations.

Examples of consumers include:

- Applications, such as IKE
- End users, such as a regular user who runs the encrypt command
- Kernel operations, such as IPsec
- Keystore In the Cryptographic Framework, persistent storage for token objects, often used interchangeably with **token**. For information about a reserved keystore, see **Metaslot** in this list of definitions.

Token labels are configurable. You can simultaneously create a new token, set its PIN, and assign it a label with a single <code>pktool inittoken</code> command. You can also use the same command to change the labels of existing tokens. To change the PINs of existing tokens, you continue to use the <code>pktool setpin</code> command.

• Mechanism – The application of a mode of an algorithm for a particular purpose.

For example, a DES mechanism that is applied to authentication, such as CKM_DES_MAC, is a separate mechanism from a DES mechanism that is applied to encryption, CKM_DES_CBC_PAD.

 Metaslot – A single slot that presents a union of the capabilities of other slots which are loaded in the framework. The metaslot eases the work of dealing with all



of the capabilities of the providers that are available through the framework. When an application that uses the metaslot requests an operation, the metaslot determines which actual slot will perform the operation. Metaslot capabilities are configurable, but configuration is not required. The metaslot is on by default. For more information, see the cryptoadm(8) man page.

The metaslot does not have its own keystore. Rather, the metaslot reserves the use of a keystore from one of the actual slots in the Cryptographic Framework. By default, the metaslot reserves the Sun Crypto Softtoken keystore. The keystore that is used by the metaslot is not shown as one of the available slots.

Users can specify an alternate keystore for metaslot by setting the environment variables $METASLOT_OBJECTSTORE_SLOT$ and {METASLOT_OBJECTSTORE_TOKEN}, or by running the cryptoadm command. For more information, see the libpkcs11(3LIB), pkcs11_softtoken(7), and cryptoadm(8) man pages.

- Mode A version of a cryptographic algorithm. For example, CBC (Cipher Block Chaining) is a different mode from ECB (Electronic Code Book). The AES algorithm has modes such as CKM_AES_ECB and CKM_AES_CBC.
- Policy The choice, by an administrator, of which mechanisms to make available for use. By default, all providers and all mechanisms are available for use. The enabling or disabling of any mechanism would be an application of policy. For examples of setting and applying policy, see Administering the Cryptographic Framework.
- Providers Cryptographic services that consumers use. Providers plug in to the framework, and so are also called *plugins*.

Examples of providers include:

- PKCS #11 libraries, such as /var/user/\$USER/pkcs11_softtoken.so
- Modules of cryptographic algorithms, such as aes and arcfour
- Device drivers and their associated hardware accelerators, such as the mca driver for the Sun Crypto Accelerator 6000
- Slot An interface to one or more cryptographic devices. Each slot, which corresponds to a physical reader or other device interface, might contain a token. A token provides a logical view of a cryptographic device in the framework.
- Token In a slot, a token provides a logical view of a cryptographic device in the framework.

Cryptographic Framework Commands and Plugins

The framework provides commands for administrators, for users, and for developers who supply providers.

 Administrative commands – The cryptoadm command provides a -list subcommand to list the available providers and their capabilities. Regular users can run the cryptoadm list and the cryptoadm --help commands.

All other cryptoadm subcommands require you to assume a role that includes the Crypto Management rights profile, or to become superuser. Subcommands such as - disable, -install, and -uninstall are available for administering the framework. For more information, see the cryptoadm(8) man page.

The svcadm command is used to manage the kcfd daemon and to refresh cryptographic policy in the kernel. For more information, see the svcadm(8) man page.



• User-level commands – The digest and mac commands provide file integrity services. The encrypt and decrypt commands protect files from eavesdropping. To use these commands, see Protecting Files With the Cryptographic Framework.

Administrative Commands in the Cryptographic Framework

The cryptoadm command administers a running Cryptographic Framework. The command is part of the Crypto Management rights profile. This profile can be assigned to a role for secure administration of the Cryptographic Framework. You use the cryptoadm command to do the following:

- Disable or enable provider mechanisms
- Disable or enable the metaslot

You use the svcadm command to enable, refresh, and disable the cryptographic services daemon, kcfd. This command is part of the Service Management Facility (SMF) feature of Oracle Solaris. svc:/system/cryptosvcs is the service instance for the Cryptographic Framework. For more information, see the smf(7) and svcadm(8) man pages.

User-Level Commands in the Cryptographic Framework

The Cryptographic Framework provides user-level commands to check the integrity of files, to encrypt files, and to decrypt files.

- digest command Computes a message digest for one or more files or for stdin.
 A digest is useful for verifying the integrity of a file. SHA1 and SHA384 are examples of digest functions.
- mac command Computes a MAC for one or more files or for stdin. A MAC associates data with an authenticated message. A MAC enables a receiver to verify that the message came from the sender and that the message has not been tampered with. The sha1_mac and sha384_hmac mechanisms can compute a MAC.
- encrypt command Encrypts files or stdin with a symmetric cipher. The encrypt –1 command lists the algorithms that are available. Mechanisms that are listed under a user-level library are available to the encrypt command. The framework provides AES, 3DES (Triple-DES), and Camellia mechanisms for user encryption.
- decrypt command Decrypts files or stdin that were encrypted with the encrypt command. The decrypt command uses the identical key and mechanism that were used to encrypt the original file.
- elfsign command Provides a means to sign providers to be used with the Cryptographic Framework. Typically, this command is run by the developer of a provider. The elfsign command has subcommands to sign binaries and verify the signature on a binary. Unsigned binaries cannot be used by the Cryptographic Framework. Providers that have verifiable signed binaries can use the framework. For further information, see Elfsign Enhancements.



Elfsign Enhancements

The enhanced elfsign command makes it more difficult for attackers get at your data. elfsign also separates the signature cryptographic algorithm calculation from the data range algorithm, making it easier for you to add and maintain new algorithms.

The data range algorithm determines what parts of the ELF file will be signed. The algorithm used depends on the ELF file type, such as relocatable or executable. The elfsign process now automatically uses the most appropriate data range algorithm. For relocatable ELF files, elfsign signs the ELF headers and ELF sections, except for the signature section. For executable files, elfsign signs the ELF headers and ELF program segments. These enhancements provide further protection from attack.

You can use the -d option to specify what part of the ELF file should be signed.

The default cryptographic algorithm used is rsa_sha256. Use the -F option to specify a different cryptographic algorithm. Alternatively, you can use the new -O option to specify an algorithm's OID, although that OID will not be validated.

The elfsign data subcommand creates a file containing all the data from the ELF file to be signed, rather than creating a file with a digest. Therefore, signing servers can create a signature without needing to parse and extract data from the ELF file. Since the elfsign functionality and an Oracle Solaris system are no longer needed for signature generation, signatures can be computed off-site.

Note:

The elfsign command continues to support the previous elfsign formats. Verified boot, however, accepts the new elfsign format and sends a warning or error message when an older format is used.

For more information and examples, see the elfsign(1) man page.

Plugins to the Cryptographic Framework

Third parties can plug their providers into the Cryptographic Framework. A third-party provider can be one of the following objects:

- PKCS #11 shared library
- Loadable kernel software module, such as an encryption algorithm, MAC function, or digest function
- Kernel device driver for a hardware accelerator

The objects from a provider must be signed with a certificate from Oracle. The certificate request is based on a private key that the third party selects, and a certificate that Oracle provides. The certificate request is sent to Oracle, which registers the third party and then issues the certificate. The third party then signs its provider object with the certificate from Oracle.

The loadable kernel software modules and the kernel device drivers for hardware accelerators must also register with the kernel. Registration is through the Cryptographic Framework SPI (service provider interface).



Cryptographic Framework and Zones

The global zone and each non-global zone has its own /system/cryptosvc service. When the cryptographic service is enabled or refreshed in the global zone, the kcfd daemon starts in the global zone, user-level policy for the global zone is set, and kernel policy for the system is set. When the service is enabled or refreshed in a non-global zone, the kcfd daemon starts in the zone, and user-level policy for the zone is set. Kernel policy was set by the global zone.

For more information about zones, see *Introduction to Oracle Solaris Zones*. For more information about using SMF to manage persistent applications, see Chapter 1, Introduction to the Service Management Facility in *Managing System Services in Oracle Solaris 11.4* and the smf(7) man page.

Cryptographic Sources and FIPS 140-2

FIPS 140-2 is a U.S. Government computer security standard for cryptography modules.

Oracle Solaris systems offer two providers of cryptographic algorithms that are approved for FIPS 140-2 Level 1.

- The Cryptographic Framework of Oracle Solaris is a provider of two FIPS 140-2 approved modules. The *userland* module supplies cryptography for applications that run in user space. The *kernel* module provides cryptography for kernel-level processes.
- Oracle Solaris 11.4 ships with FIPS 140-2 capable OpenSSL libraries which statically link to the Oracle OpenSSL FIPS Object Module (FOM) 1.0. The FOM provides cryptography for all consumers whose code supports FIPS 140-2. For more information, see About OpenSSL in FIPS 140-2 Mode in Oracle Solaris in Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4.
- The ucrypto provider Provides low-level cryptographic routines to programs that cannot or should not use PKCS #11 or OpenSSL APIs.

Note the following key considerations:

- Because FIPS 140-2 provider modules are CPU intensive, they are not enabled by default. As the system administrator, you are responsible for enabling the providers in FIPS 140-2 mode and configuring applications that use the FIPS 140-2 approved algorithms.
- If you have a strict requirement to use only FIPS 140-2 validated cryptography, you must be running the Oracle Solaris 11.3 SRU 5.6 release. Oracle completed a FIPS 140-2 validation against the Cryptographic Framework in this specific release. Oracle Solaris 11.4 builds on this validated foundation and includes software improvements that address performance, functionality, and reliability. Whenever possible, you should configure Oracle Solaris 11.4 in FIPS 140-2 mode to take advantage of these improvements.

For more information, review the following:

- Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4
- Enabling FIPS 140-2 Mode in Oracle Solaris



Simple and Fast ucrypto Provider

The ucrypto provider enables you to directly access user-level cryptographic primitives.

Note:

Cryptographic primitives are well-established, low-level algorithms that function as basic building blocks in security systems. Primitives are designed to perform single tasks in a highly reliable fashion.

ucrypto is an alternative to the Cryptographic Framework. ucrypto provides user-level cryptographic support only, and is intended for use by applications with simple needs for pure cryptographic functionality. In particular, ucrypto is useful when programs cannot or should not use PKCS #11 or OpenSSL APIs. The faster path to cryptographic functionality through ucrypto can significantly improve the performance of applications.

ucrypto meets the requirements for FIPS 140-2 validation. The cryptographic library for ucrypto, libucrypto, includes all cryptographic algorithms supported by Oracle Solaris. pkcs11 softtoken is a consumer of libucrypto.

Operations Supported by the ucrypto Provider

The ucrypto provider supports atomic and multi-part cryptographic operations with no locking and no session management. Atomic operations are performed using one function call. Each multi-part operation uses a series of three function calls to initialize, update zero or more times, and finalize each cryptographic operation.

Note:

During multi-part operations, the context is maintained in the caller's address space. The caller has the responsibility to pass the untouched context between multi-part operations and to ensure that the context is not used by multiple threads at the same time.

Table 1-1 ucrypto Operations

Cryptographic Operation	Description	Function
Encryption	Performs atomic or multi-part encryption	crypto_encrypt
Decryption	Performs atomic or multi-part decryption	crypto_decrypt
Signing	Performs digital signature operations on atomic or multi-part data	crypto_sign
Verification	Verifies a digital signature on atomic or multi-part data	crypto_verify
Digest	Performs digest operations on atomic or multi-part data	crypto_digest



Table 1-1	(Cont.) ucrypt	to Operations

Cryptographic Operation	Description	Function
Message authentication code (Mac) operations	Computes a message authentication code for atomic or multi-part data	crypto_mac
Symmetric and asymmetric key generation	Generates keys for symmetric operations or key pairs for asymmetric operations	crypto_keygen
Utility functions	Performs various tasks such as returning the ID number for a specified mechanism	crypto_util

For further information, review the <code>libucrypto*</code> man pages on the command line. The man pages list the algorithms and algorithm modes that each function supports.

Disabling libucrypto Mechanisms

Administrators can use the Service Management Facility (SMF) to disable the libucrypto mechanisms. Each mechanism is a property in the svc:/system/cryptosvc service. The properties are stated using the following format:

```
policy/libucrypto/algorithm-name
```

```
policy/libucrypto/algorithm-name{-[mode]}
```

For example, to disable the deprecated CRYPTO_MD5 algorithm, type the following command:

where:

- disabled specifies that no functions of the algorithm are permitted.
- enabled specifies that the algorithm is capable of performing all supported functions. For an encryption algorithm, both encryption and decryption are permitted. For signature algorithms, both signing and verification are permitted. Key or keypair generation for that algorithm is permitted.
- deprecated means the algorithm should not be used to create any new cryptographic data. However, legacy data is still accessible. Decryption or verification is permitted. Encryption or signing is disabled. Key or keypair generation for that algorithm is not permitted.

Note:

Digests and MACs can only be enabled or disabled.

For more information, see the setprop subcommand description in the svccfg(8) man page.



OpenSSL and **Oracle** Solaris

Oracle Solaris supports two implementations of OpenSSL:

- FIPS 140-2 capable OpenSSL
- Non-FIPS 140-2 capable OpenSSL

Both implementations are compatible with the latest OpenSSL version from the OpenSSL project. Use the openssl version command to determine the OpenSSL version that is running on your system. This version is enhanced by code that incorporates Oracle Solaris features, such as rights profiles, into the OpenSSL project version. The libraries of the FIPS 140-2 and non-FIPS 140-2 OpenSSL implementations are API/ABI compatible.

While both implementations are present in the operating system, only one implementation can be active at a time. For an example of installing the FIPS 140-2 capable OpenSSL and switching implementations, see Example of Running in FIPS 140-2 Mode on an Oracle Solaris 11.4 System in Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4.



2 Cryptographic Optimizations and Hardware Acceleration on SPARC Based Systems

This chapter describes the optimization of cryptographic functions by the Cryptographic Framework, and the hardware acceleration of these optimized functions on current SPARC based systems. Affected systems include Oracle's SPARC T4, SPARC T5, SPARC M5, SPARC M6, SPARC T7, SPARC M7, SPARC S7, and SPARC M8 Servers. The Fujitsu M10 servers and Fujitsu SPARC M12 servers provide similar hardware acceleration.

Cryptographic Framework Optimizations for SPARC Based Systems

The Cryptographic Framework supplies M-Series Servers beginning with SPARC M5, T-Series Servers beginning with SPARC T4, and SPARC S7 servers, with cryptographic mechanisms. Several mechanisms are optimized for these servers, including some symmetric key algorithms, asymmetric key algorithms, checksums, and digests.

Three cryptographic mechanisms are optimized for data at rest and in motion: AES-CBC, AES-CFB128, and ARCFOUR. The RSA and DSA cryptographic mechanisms are optimized for OpenSSL by optimizing arbitrary-precision arithmetic (bignum). Other optimizations include small packet performance for handshakes and data in motion.

In turn, the SPARC based systems provide hardware acceleration of these cryptographic mechanisms to both the Cryptographic Framework and OpenSSL.

SPARC Acceleration of Optimized Cryptographic Functions

Beginning with the SPARC T4 microprocessor, new instructions to perform cryptographic functions are available directly in hardware. The instructions are non-privileged. Thus, any program can use the instructions. Because cryptography is performed directly on the hardware, cryptographic operations are faster than operations on legacy systems whose SPARC processors have separate processing units for cryptography.

The following table provides a detailed comparison of cryptographic functions in SPARC T4 microprocessor units combined with specific Oracle Solaris releases.

Feature/ Software Consumer	T4 and Newer Systems Running Oracle Solaris 10	T4 and Newer Systems Running Oracle Solaris 11.3 SRUs	T4 and Newer Systems Running Oracle Solaris 11.4
Secure Shell	Requires patch 148104-25. Disable/Enable with the UseOpenSSLEngine option in /etc/ssh/ sshd_config.	Automatically enabled. For SunSSH, disable/enable with the UseOpenSSLEngine option in /etc/ssh/ sshd_config.	The T4 optimizations are automatically used.

Table 2-1 Cryptographic Performance on SPARC T4 and Later SPARC Based Systems



Feature/ Software Consumer	T4 and Newer Systems Running Oracle Solaris 10	T4 and Newer Systems Running Oracle Solaris 11.3 SRUs	T4 and Newer Systems Running Oracle Solaris 11.4
Java/JCE	Automatically enabled.	Automatically enabled.	Automatically enabled.
	Configure in \$JAVA_HOME/jre/lib / security/ java.security	Configure in \$JAVA_HOME/jre/lib/ security/ java.security	Configure in \$JAVA_HOME/jre/lib/ security/ java.security
ZFS Crypto	Not available.	HW crypto automatically enabled if dataset is encrypted.	HW crypto automatically enabled if dataset is encrypted.
IPsec	Automatically enabled.	Automatically enabled.	Automatically enabled.
OpenSSL	Requires patch 151912-02 or newer. Use -engine pkcs11 option.	The T4 optimization is automatically used. (Optionally use -engine pkcs11.) To use T4 crypto functions for RSA or DSA use this engine	The T4 optimization is automatically used. (Optionally use -engine pkcs11.) To use T4 crypto functions for RSA or DSA use this engine
Oracle TDE	Pending patch.	Automatically enabled with Oracle DB 11.2.0.3 and ASO.	Automatically enabled with Oracle DB 11.2.0.3 and ASO.
Apache SSL	Configure with SSLCryptoDevice pkcs11.	The T4 optimization is automatically used.	The T4 optimization is automatically used.
Logical Domains	Functionality always available, no configuration required.	Functionality always available, no configuration required.	Functionality always available, no configuration required.

Table 2-1 (Cont.) Cryptographic Performance on SPARC T4 and Later SPARC Based Systems

The T4 and later microprocessors provide on-chip encryption instruction accelerators with direct nonprivileged support for 15 industry-standard cryptographic algorithms: AES, Camellia, CRC32c, DES, 3DES, DH, DSA, ECC, MD5, RSA, SHA-1, SHA-224, SHA-256, SHA-384, SHA-512.

For AES, the instructions include the following encryption and decryption optimizations:

- Key expansion Expansions of the 128-bit, 192-bit, or 256-bit user-provided AES key into a key schedule. The schedule is used internally during encryption and decryption.
- Rounds or transformations The number of rounds used (for example 10, 12, or 14) varies according to AES key length. By using larger keys, the user indicates a desire for more robust encryption at the cost of more computation.

These hardware cryptographic instructions are available and used automatically. The instructions are also embedded in the OpenSSL upstream code, so beginning with OpenSSL 1.0.1e, OpenSSL uses the instructions. To determine the version, run the openssl version command in a terminal window.

Example 2-1 Determining Whether Your SPARC System Supports Cryptographic Optimizations

To determine whether the cryptographic optimizations are supported, use the *isainfo* command. The inclusion of *sparcv9* and *aes* in the output indicates that the system supports the optimizations.

Example 2-2 Determining Whether Your SPARC System Is Running Cryptographic Optimizations

To determine whether your system is running SPARC T4 microprocessor optimizations, check for the <code>aes_t4</code> instruction in the OpenSSL <code>libcrypto.so</code> library. If the following command does not generate output, then your system does not use the SPARC T4 microprocessor optimizations.

\$ nm	/lib/	libcrypto.so	.1.0.0 grep	aes_t4	head -5	
[1273	3]	1840096	52 OBJT	LOCL 0	20	aes_t4_128_cbc
[1344]	1842800	52 OBJT	LOCL 0	20	aes_t4_128_ccm
[1283	3]	1840408	52 OBJT	LOCL 0	20	aes_t4_128_cfb
[1286	5]	1840512	52 OBJT	LOCL 0	20	aes_t4_128_cfb1
[1289) [1840616	52 OBJT	LOCL 0	20	aes_t4_128_cfb8

For more information, refer to the following articles.

- "SPARC T4 OpenSSL Engine" (https://blogs.oracle.com/danx/entry/ sparc_t4_openssl_engine)
- "How to tell if SPARC T4 crypto is being used?" (https://blogs.oracle.com/danx/entry/ how_to_tell_if_sparc)
- "Exciting Crypto Advances with the T4 processor and Oracle Solaris 11" (http:// bubbva.blogspot.com/2011/11/exciting-crypto-advances-with-t4.html)
- "SPARC T4 Digest and Crypto Optimizations in Solaris 11.1" (https://blogs.oracle.com/ danx/sparc-t4-digest-and-crypto-optimizations-in-solaris-111)
- Oracle SPARC T7-1 Data Sheet (http://www.oracle.com/us/products/servers-storage/ sparc-t7-1-server-ds-2687047.pdf)



3 Using the Cryptographic Framework

This chapter describes how to use the Cryptographic Framework, and covers the following topics:

- Protecting Files With the Cryptographic Framework
- Administering the Cryptographic Framework

Protecting Files With the Cryptographic Framework

This section describes how to generate symmetric keys, how to create checksums for file integrity, and how to protect files from eavesdropping. System users can run the commands described in this section, and developers can write scripts that use them.

To configure your system in FIPS 140-2 mode, you must use FIPS 140-2 validated algorithms, modes, and key lengths. See FIPS 140-2 Algorithms in the Cryptographic Framework in *Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4*.

The Cryptographic Framework can help you protect your files. The following task map points to procedures for listing the available algorithms, and for protecting your files cryptographically.

Table 3-1	Protecting Files	With the	Cryptographic	Framework	Task Map
	j				

Task	Description	For Instructions
Generate a symmetric key.	Generates a key of user-specified length. Optionally, stores the key in a file or in a PKCS #11 keystore.	How to Generate a Symmetric Key by Using the pktool Command
	For FIPS 140-2 approved mode, select a key type, mode, and key length that has been validated for FIPS 140-2. See <i>FIPS 140-2</i> <i>Algorithms in the Cryptographic Framework</i> in <i>Using a FIPS 140-2 Enabled System in Oracle</i> <i>Solaris 11.4.</i>	
Provide a checksum that ensures the integrity of a file.	Verifies that the receiver's copy of a file is identical to the file that was sent.	How to Compute a Digest of a File
Protect a file with a message authentication code (MAC).	Verifies to the receiver of your message that you were the sender.	How to Compute a MAC of a File
Encrypt a file, and then decrypt the encrypted file.	Protects the content of files by encrypting the file. Provides the encryption parameters to decrypt the file.	How to Encrypt and Decrypt a File

How to Generate a Symmetric Key by Using the pktool Command

Some applications require a symmetric key for encryption and decryption of communications. In this procedure, you create a symmetric key and store it.



If your site has a random number generator, you can use the generator to create a random number for the key. This procedure does not use your site's random number generator.

1. If you plan to use a keystore, create it.

To create and initialize a PKCS #11 keystore, see How to Generate a Passphrase by Using the pktool setpin Command.

2. Generate a random number for use as a symmetric key.

For FIPS 140-2 approved algorithms, select a key length that has been validated for FIPS 140-2. See FIPS 140-2 Algorithms in the Cryptographic Framework in Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4.

Use one of the following methods.

• Generate a key and store it in a file.

The advantage of a file-stored key is that you can extract the key from this file to use in an application's key file, such as the /etc/inet/secret/ ipseckeys file or IPsec. The usage statement shows the arguments.

\$ pktool genkey keystore=file

...genkey keystore=file
outkey=key-fn
[keytype=aes|arcfour|des|3des|generic]
[keylen=key-size (AES, ARCFOUR or GENERIC only)]
[print=y|n]

outkey= key-fn

The filename where the key is stored.

keytype= specific-symmetric-algorithm

For a symmetric key of any length, the value is generic. For a particular algorithm, specify aes, arcfour, des, or 3des.

keylen= size-in-bits

The length of the key in bits. The number must be divisible by 8. Do not specify for des or 3des.

print=y

Prints the key to the terminal window. By default, the value of print is n.

Generate a key and store it in a PKCS #11 keystore.

The advantage of the PKCS #11 keystore is that you can retrieve the key by its label. This method is useful for keys that encrypt and decrypt files. You must complete Step 1 before using this method. The usage statement shows the arguments. The brackets around the keystore argument indicate that when the keystore argument is not specified, the key is stored in the PKCS #11 keystore.

```
$ pktool genkey keystore=pkcs11
...genkey [ keystore=pkcs11 ]
label=key-label
[ keytype=aes|arcfour|des|3des|generic ]
[ keylen=key-size (AES, ARCFOUR or GENERIC only)]
[ token=token[:manuf[:serial]]]
[ sensitive=y|n ]
```



```
[ extractable=y|n ]
[ print=y|n ]
```

label= key-label

A user-specified label for the key. The key can be retrieved from the keystore by its label.

keytype= specific-symmetric-algorithm

For a symmetric key of any length, the value is generic. For a particular algorithm, specify aes, arcfour, des, or 3des.

keylen= size-in-bits

The length of the key in bits. The number must be divisible by 8. Do not specify for des or 3 des.

token= token

The token name. By default, it is Sun Software PKCS#11 softtoken.

sensitive=n

Specifies the sensitivity of the key. When the value is y, the key cannot be printed by using the print=y argument. By default, the value of sensitive is n.

extractable=y

Specifies that the key can be extracted from the keystore. Specify ${\tt n}$ to prevent the key from being extracted.

print=y

Prints the key to the terminal window. By default, the value of print is n.

3. Verify that the key exists.

Use one of the following commands, depending on where you stored the key.

• Verify the key in the key-fn file.

```
$ pktool list keystore=file objtype=key [infile=key-fn]
Found n keys.
Key #1 - keytype:location (keylen)
```

• Verify the key in the PKCS #11 keystore.

For PKCS #11, use the following command:

```
$ pktool list keystore=pkcs11 objtype=key
Enter PIN for keystore:
Found n keys.
Key #1 - keytype:location (keylen)
```

Example 3-1 Creating a Symmetric Key by Using the pktool Command

In the following example, a user creates a PKCS #11 keystore for the first time and then generates a large symmetric key for an application. Finally, the user verifies that the key is in the keystore.

Note that the initial password for a PKCS #11 keystore is changeme.

\$ pktool setpin
Create new passphrase:xxxxxxx



Example 3-2 Creating a FIPS 140-2 Approved AES Key by Using the pktool Command

In the following example, a secret key for the AES algorithm is created using a FIPS 140-2 approved algorithm and key length. The key is stored in a local file for later decryption. The command protects the file with 400 permissions. When the key is created, the print=y option displays the generated key in the terminal window.

The user who owns the keyfile retrieves the key by using the od command.

```
$ pktool genkey keystore=file outkey=256bit.file1 keytype=aes keylen=256 print=y
Key Value ="aaa2df1d10f02eaee2595d48964847757a6a49cf86c4339cd5205c24ac8c8873"
$ od -x 256bit.file1
```

```
0000000 aaa2 dfld 10f0 2eae e259 5d48 9648 4775
0000020 7a6a 49cf 86c4 339c d520 5c24 ac8c 8873
0000040
```

Example 3-3 Creating a Symmetric Key for IPsec Security Associations

In the following example, the administrator manually creates the keying material for IPsec SAs and stores them in files. Then, the administrator copies the keys to the /etc/inet/secret/ipseckeys file, destroys the original files, and sends the ipseckeys file to the communicating system by a secure mechanism.

First, the administrator creates and displays the keys that the IPsec policy requires:

Then, the administrator creates the following /etc/inet/secret/ipseckeys file:

```
## SPI values require a leading 0x.
## Backslashes indicate command continuation.
##
## for outbound packets on this system
add esp spi 0xacb...20 \
src 192.0.2.1 dst 192.0.2.2 \
encr alg aes auth alg sha256 \
```



```
encrkey 294979e512cb8e79370dabeca......dc3fcbb849e78d2d6bd2049 \
authkey 659c20f2d6c3f9570bcee93e9......3369f72c5c786af4177fe9e
##
## for inbound packets
add esp spi 0x191...5 \
src 192.0.2.2 dst 192.0.2.1 \
encr_alg aes auth_alg sha256 \
encrkey 9678f80e33406c86e3d1686e5.....0406bd0434819c20d09d204 \
authkey b041975a0e1fce0503665c396.....cf87b0a837b2da5d82c810
```

After verifying that the syntax of the *ipseckeys* file is valid, the administrator destroys the original key files.

```
$ ipseckey -c /etc/inet/secret/ipseckeys
$ rm ipencrin1 ipencrout1 ipspi1 ipspi2 ipsha21 ipsha22
```

The administrator copies the *ipseckeys* file to the communicating system by using the *ssh* command or another secure mechanism. On the communicating system, the protections are reversed. The first entry in the *ipseckeys* file protects inbound packets, and the second entry protects outbound packets. No keys are generated on the communicating system.

To proceed with using the key to create a message authentication code (MAC) for a file, see How to Compute a MAC of a File.

How to Compute a Digest of a File

When you compute a digest of a file, you can check to see that the file has not been tampered with by comparing digest outputs. A digest does not alter the original file.

1. digest command syntax List the available digest algorithms.

```
$ digest -1
sha1
md5
sha224
sha256
sha384
sha512
sha512_t
sha3_224
sha3_256
sha3_384
sha3_512
```



Whenever possible, select a FIPS 140-2 approved algorithm. See FIPS 140-2 Algorithms in the Cryptographic Framework in *Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4*.

2. Compute the digest of the file and save the digest listing.

Provide an algorithm with the digest command.

```
$ digest -v -a algorithm input-file > digest-listing
```



-v

Displays the output in the following format:

algorithm (input-file) = digest

-a algorithm

The algorithm to use to compute a digest of the file. Type the algorithm as the algorithm appears in the output of Step 1.

Note:

Whenever possible, select a FIPS 140-2 approved algorithm. See FIPS 140-2 Algorithms in the Cryptographic Framework in *Using a FIPS* 140-2 Enabled System in Oracle Solaris 11.4.

input-file

The input file for the digest command.

digest-listing

The output file for the digest command.

Example 3-4 Computing a Digest With a SHA2 Mechanism

In the following example, the digest command uses a SHA2 mechanism to provide a directory listing. The results are placed in a file.

```
$ digest -v -a sha512 docs/* > $HOME/digest.docs.legal.05.07
$ more ~/digest.docs.legal.05.07
```

```
sha512 (docs/legal1) = a269d...c618e1bf19b3d5c9f835242708eb2b572d7b
sha512 (docs/legal2) = 57be3...59a7168564296c142715cc9ed979dd838a7b
sha512 (docs/legal3) = ed31d...0fb3b80d4cd58327bcc29b2e7b90a0af6770
sha512 (docs/legal4) = 67ce1...0ba0c55695614329110d0686bc2773630b5f
```

How to Compute a MAC of a File

A message authentication code, or MAC, computes a digest for the file and uses a secret key to further protect the digest. A MAC does not alter the original file.

1. mac command syntax List the available mechanisms.

\$ mac -l Algorithm	Keysize:	Min	Max
shal_hmac		8	512
md5_hmac		8	512
sha224_hmac		8	512
sha256_hmac		8	512
sha384_hmac		8	1024
sha512_hmac		8	1024
sha512_t_hmac		8	1024



Note:

Each supported algorithm is an alias to the most commonly used and least restricted version of a particular algorithm type. The preceding output shows available algorithm names and the keysize for each algorithm. Whenever possible, use a supported algorithm that matches a FIPS 140-2 approved algorithm with a FIPS 140-2 approved key length, listed at FIPS 140-2 Algorithms in the Cryptographic Framework in Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4.

2. Generate a symmetric key of the appropriate length.

You can provide either a passphrase from which a key will be generated or you can provide a key.

- If you provide a passphrase, you must store or remember the passphrase. If you store the passphrase online, the passphrase file should be readable only by you.
- If you provide a key, it must be the correct size for the mechanism. You can use the pktool command. For the procedure and some examples, see How to Generate a Symmetric Key by Using the pktool Command.
- 3. Create a MAC for a file.

Provide a key and use a symmetric key algorithm with the mac command.

```
\ mac [-v] -a algorithm [-k keyfile | -K key-label [-T token]] input-file
```

-v

Displays the output in the following format:

algorithm (input-file) = mac

-a algorithm

The algorithm to use to compute the MAC. Type the algorithm as the algorithm appears in the output of the mac -l command.

-k keyfile

The file that contains a key of algorithm-specified length.

-K key-label

The label of a key in the PKCS #11 keystore.

-T token

The token name. By default, it is Sun Software PKCS#11 softtoken. It is used only when the -K *key-label* option is used.

input-file

The input file for the MAC.

Example 3-5 Computing a MAC With SHA256_HMAC and a Passphrase

In the following example, the email attachment is authenticated with the SHA256_HMAC mechanism and a key that is derived from a passphrase. The MAC listing is saved to a file. If the passphrase is stored in a file, the file should not be readable by anyone but the user.



```
$ mac -v -a sha256_hmac email.attach
Enter passphrase: Type passphrase
sha256_hmac (email.attach) = 9clee...d6eb74e5d693b7605c96a23df238e52
$ echo "sha256_hmac (email.attach) = 9clee...d6eb74e5d693b7605c96a23df238e52" \
>> ~/sha256hmac.daily.05.13
```

Example 3-6 Computing a MAC With SHA256_HMAC and a Key File

In the following example, the directory manifest is authenticated with the SHA256_HMAC mechanism and a secret key. The results are placed in a file.

```
$ mac -v -a sha256_hmac \
-k $HOME/keyf/05.07.mack64 docs/* > $HOME/mac.docs.legal.05.07
$ more ~/mac.docs.legal.05.07
sha256_hmac (docs/legal1) = eleb...814a595fb6f0aa8c77f6ef35a7f24ae07d1b9a55
sha256_hmac (docs/legal2) = 0460...88a34b895687ecfd97d1647b90fe3618f5114ff9
sha256_hmac (docs/legal3) = 9cle...eee8a9913d6eb74e5d693b7605c96a23df238e52
sha256 hmac (docs/legal4) = 389f...cb340d33cca5e4aaa18534c06426d32594bdedf6
```

Example 3-7 Computing a MAC With SHA256_HMAC and a Key Label

In the following example, the directory manifest is authenticated with the SHA256_HMAC mechanism and a secret key. The results are placed in the user's PKCS #11 keystore. The user initially created the keystore and the password to the keystore by using the pktool setpin command.

```
$ mac -a sha256_hmac -K legaldocs0507 docs/*
Enter pin for Sun Software PKCS#11 softtoken:Type password
```

To retrieve the MAC from the keystore, the user uses the verbose option, and provides the key label and the name of the directory that was authenticated.

```
$ mac -v -a sha256_hmac -K legaldocs0507 docs/*
Enter pin for Sun Software PKCS#11 softtoken:Type password
sha256_hmac (docs/legal1) = eleb...814a595fb6f0aa8c77f6ef35a7f24ae07d1b9a55
sha256_hmac (docs/legal2) = 0460...88a34b895687ecfd97d1647b90fe3618f5114ff9
sha256_hmac (docs/legal3) = 9cle...eee8a9913d6eb74e5d693b7605c96a23df238e52
sha256 hmac (docs/legal4) = 389f...cb340d33cca5e4aaa18534c06426d32594bdedf6
```

How to Encrypt and Decrypt a File

When you encrypt a file, the original file is not removed or changed. The output file is encrypted.

For solutions to common errors related to the encrypt command, see the section that follows the examples.

Note:

When encrypting and decrypting files, try to use FIPS 140-2 approved algorithms with approved key lengths whenever possible. See FIPS 140-2 Algorithms in the Cryptographic Framework in *Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4*. Run the encrypt -1 command to view available algorithms and their key lengths.

1. Create a symmetric key of the appropriate length.



You can provide either a passphrase from which a key will be generated or you can provide a key.

- If you provide a passphrase, you must store or remember the passphrase. If you store the passphrase online, the passphrase file should be readable only by you.
- If you provide a key, it must be the correct size for the mechanism. You can use the pktool command. For the procedure and some examples, see How to Generate a Symmetric Key by Using the pktool Command.
- 2. List the encryption algorithms.

<pre>\$ encrypt -1 </pre>	Kowai zo.	Min	Mow	(bi+a)
AIG011011111		MIII 		(DILS)
aes		128	256	
arcfour		8	2048	
des		64	64	
3des		128	192	
camellia		128	256	

3. Encrypt a file.

Provide a key and use a symmetric key algorithm with the encrypt command.

```
$ encrypt -a algorithm [-v] \
[-k keyfile | -K key-label [-T token]] [-i input-file] [-o output-file]
```

-a algorithm

The algorithm to use to encrypt the file. Type the algorithm as the algorithm appears in the output of the encrypt -1 command. Whenever possible, select a FIPS 140-2 approved algorithm. See "FIPS 140-2 Algorithms in the Cryptographic Framework" in Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4.

-k keyfile

The file that contains a key of algorithm-specified length. The key length for each algorithm is listed, in bits, in the output of the encrypt -l command.

-K key-label

The label of a key in the PKCS #11 keystore.

-T token

The token name. By default, it is Sun Software PKCS#11 softtoken. It is used only when the -K *key-label* option is used.

-i input-file

The input file that you want to encrypt. This file is left unchanged by the command.

-o output-file

The output file that is the encrypted form of the input file.

Example 3-8 Creating an AES Key for Encrypting Your Files

In the following example, a user creates and stores an AES key in an existing PKCS #11 keystore for use in encryption and decryption. The user can verify that the key exists and can use the key, but cannot view the key itself.

\$ pktool genkey label=MyAESkeynumber1 keytype=aes keylen=256 Enter PIN for Sun Software PKCS#11 softtoken :xxxxxxx



```
$ pktool list objtype=key
Enter PIN for Sun Software PKCS#11 softtoken :xxxxxxx
No. Key Type Key Len. Key Label
------
Symmetric keys:
1 AES 256 MyAESkeynumber1
```

To use the key to encrypt a file, the user retrieves the key by its label.

```
$ encrypt -a aes -K MyAESkeynumber1 -i encryptthisfile -o encryptedthisfile
```

To decrypt the encrypted this file, the user retrieves the key by its label.

```
$ decrypt -a aes -K MyAESkeynumber1 -i encryptedthisfile -o sameasencryptthisfile
```

Example 3-9 Encrypting and Decrypting With AES and a Passphrase

In this example, a file is encrypted with the AES algorithm. The key is generated from the passphrase. If the passphrase is stored in a file, the file should not be readable by anyone but the user.

```
$ encrypt -a aes -i ticket.to.ride -o ~/enc/e.ticket.to.ride
Enter passphrase: xxxxxxx
Re-enter passphrase: xxxxxxxx
```

The input file, ticket.to.ride, still exists in its original form.

To decrypt the output file, the user uses the same passphrase and encryption mechanism that encrypted the file.

```
$ decrypt -a aes -i ~/enc/e.ticket.to.ride -o ~/d.ticket.to.ride
Enter passphrase: xxxxxxxx
```

Example 3-10 Encrypting and Decrypting With AES and a Key File

In this example, a file is encrypted with the AES algorithm. AES mechanisms use a key of 128 bits, or 16 bytes.

The input file, ticket.to.ride, still exists in its original form.

To decrypt the output file, the user uses the same key and encryption mechanism that encrypted the file.

The following messages indicate that the key that you provided to the encrypt command is not permitted by the algorithm that you are using.

```
    encrypt: unable to create key for crypto operation:
CKR ATTRIBUTE VALUE INVALID
```



encrypt: failed to initialize crypto operation: CKR_KEY_SIZE_RANGE

If you pass a key that does not meet the requirements of the algorithm, you must supply a better key by using one of the following methods:

- Use a passphrase. The framework then provides a key that meets the requirements.
- Pass a key size that the algorithm accepts. For example, the DES algorithm requires a key of 64 bits. The 3DES algorithm requires a key of 192 bits.

Administering the Cryptographic Framework

This section describes how to administer the software providers and the hardware providers in the Cryptographic Framework. You can, for example, disable the implementation of an algorithm from one software provider. You can then force the system to use the algorithm from a different software provider.

Caution:

Do not disable the default providers that are included with the Oracle Solaris operating system. In particular, the pkcs11_softtoken provider is a required part of Oracle Solaris and must not be disabled by using the cryptoadm command. Some of the cryptographic algorithms may be hardware accelerated. Administrators can run the following command to view a list of cryptographic algorithms for their system and check the HW column in the output:

\$ cryptoadm list -vm provider='/usr/lib/security/\$ISA/pkcs11_softtoken.so'`

For more information, see the pkcs11_softtoken(7) man page.

Note:

An important component of administering the Cryptographic Framework is to plan and implement your policy regarding FIPS 140-2, the U.S. Government computer security standard for cryptography modules. If you have a strict requirement to use only FIPS 140-2 validated cryptography, you must be running the Oracle Solaris 11.3 SRU 5.6 release. Oracle completed a FIPS 140-2 validation against the Cryptographic Framework in this specific release. Oracle Solaris 11.4 builds on this validated foundation and includes software improvements that address performance, functionality, and reliability. Whenever possible, you should configure Oracle Solaris 11.4 in FIPS 140-2 mode to take advantage of these improvements. Review Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4 and plan an overall FIPS 140-2 policy for your systems.

The following task map points to procedures for administering software and hardware providers in the Cryptographic Framework.



Task	Description	For Instructions
Plan the FIPS 140-2 policy for your systems.	Decide on your plan for enabling FIPS 140-2 approved providers and consumers and implement your plan.	Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4
List the providers in the Cryptographic Framework.	Lists the algorithms, libraries, and hardware devices that are available for use in the Cryptographic Framework.	Listing Available Providers
Enable FIPS 140-2 mode.	Runs the Cryptographic Framework to a U.S. government standard for cryptography modules.	How to Create a Boot Environment With FIPS 140-2 Enabled
Add a software provider.	Adds a PKCS #11 library or a kernel module to the Cryptographic Framework. The provider must be signed.	How to Add a Software Provider
Prevent the use of a user- level mechanism.	Removes a software mechanism from use. The mechanism can be enabled again.	How to Prevent the Use of a User- Level Mechanism
Temporarily disable mechanisms from a kernel module.	Temporarily removes a mechanism from use. Usually used for testing.	How to Prevent the Use of a Kernel Software Mechanism
Uninstall a library.	Removes a user-level software provider from use.	Permanently Removing a User-Level Library
Uninstall a kernel provider.	Removes a kernel software provider from use.	Temporarily Removing Kernel Software Provider Availability
Disable mechanisms from a hardware provider.	Ensures that selected mechanisms on a hardware accelerator are not used.	How to Disable Hardware Provider Mechanisms and Features
Restart or refresh cryptographic services.	Ensures that cryptographic services are available.	How to Refresh or Restart All Cryptographic Services

 Table 3-2
 Administering the Cryptographic Framework Task Map

Listing Available Providers

Hardware providers are automatically located and loaded. For more information, see the driver.conf(5) man page.

When you have hardware that expects to plug in to the Cryptographic Framework, the hardware registers with the SPI in the kernel. The framework checks that the hardware driver is signed. Specifically, the framework checks that the object file of the driver is signed with a certificate that Oracle issues.

For information about getting your provider signed, see the information about the elfsign command in User-Level Commands in the Cryptographic Framework.

To list available providers, you use the cryptoadm list commands with different options depending on the specific information you want to obtain.

• Listing all the providers on the system.

The contents and format of the providers list varies for different Oracle Solaris releases and different hardware platforms. Run the cryptoadm list command on your system to see the providers that your system supports. Only those mechanisms at the user level are available for direct use by regular users.

```
$ cryptoadm list
User-level providers:/* for applications */
Provider: /usr/lib/security/$ISA/pkcs11 softtoken.so
Kernel providers:/* for IPsec, Kerberos */
        des
        aes
        arcfour
        blowfish
        camellia
        ecc
        sha1
        sha2
        sha3
        md5
        rsa
        swrand
n2rng/0 /* for hardware */
```

• Listing the providers and their mechanisms in the Cryptographic Framework.

You can view the strength and modes, such as ECB and CBC, of the available mechanisms. However, some of the listed mechanisms might be unavailable for use. See the next item for instructions about how to list which mechanisms can be used.

The following output is truncated for display purposes.

```
$ cryptoadm list -m [provider=provider]
User-level providers:
_____
Provider: /usr/lib/security/$ISA/pkcs11 softtoken.so
Mechanisms:
CKM CAMELLIA CBC
CKM CAMELLIA CBC PAD
CKM CAMELLIA CTR
CKM CAMELLIA ECB
CKM CAMELLIA KEY GEN
CKM DES CBC
. . .
CKM ECDSA SHA1
CKM ECDH1 DERIVE
Kernel providers:
_____
des: CKM DES ECB,CKM DES CBC,CKM DES3 ECB,CKM DES3 CBC
aes: CKM_AES_ECB,CKM_AES_CBC,CKM_AES_CTR,...CKM_AES_CFB8
arcfour: CKM RC4
blowfish: CKM BLOWFISH ECB, CKM BLOWFISH CBC
camellia: CKM CAMELLIA CBC,CKM CAMELLIA CTR,CKM CAMELLIA CTS,CKM CAMELLIA ECB
ecc: CKM_EC_KEY_PAIR_GEN,CKM_ECDH1_DERIVE,CKM_ECDSA, \
    CKM ECDSA SHA1
sha1: CKM_SHA_1,CKM_SHA_1_HMAC,CKM_SHA_1_HMAC_GENERAL
sha2: CKM_SHA224,CKM_SHA224_HMAC,...CKM_SHA512_256_HMAC_GENERAL
sha3: CKM SHA3 224,CKM SHA3 224 HMAC,CKM SHA3 256,...CKM SHA3 512 HMAC
md5: CKM MD5,CKM MD5 HMAC,CKM MD5 HMAC GENERAL
rsa: CKM RSA PKCS, CKM RSA X 509, ... CKM SHA512 RSA PKCS
swrand: No mechanisms presented.
n2rng/0: No mechanisms presented.
```

Listing the available cryptographic mechanisms.



Policy determines which mechanisms are available for use. The administrator sets the policy. An administrator can choose to disable mechanisms from a particular provider. The -p option displays the list of mechanisms that are permitted by the policy that the administrator has set.

```
$ cryptoadm list -p [provider=provider]
User-level providers:
_____
/usr/lib/security/$ISA/pkcs11 softtoken.so: \
     all mechanisms are enabled, random is enabled.
Kernel providers:
_____
des: all mechanisms are enabled.
aes: all mechanisms are enabled.
arcfour: all mechanisms are enabled.
blowfish: all mechanisms are enabled.
camellia: all mechanisms are enabled.
ecc: all mechanisms are enabled.
shal: all mechanisms are enabled.
sha2: all mechanisms are enabled.
sha3: all mechanisms are enabled.
md5: all mechanisms are enabled.
rsa: all mechanisms are enabled.
swrand: random is enabled.
n2rng/0: all mechanisms are enabled. random is enabled.
```

The following examples show additional specific uses of the cryptoadm list command.

Example 3-11 Listing Cryptographic Information of a Specific Provider

Specifying the provider in the cryptoadm options command limits the output only to information that is applicable to the provider.

```
$ cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
/usr/lib/security/$ISA/pkcs11_softtoken.so: all mechanisms are enabled, except
CKM_DES_CMC, CKM_DES_ECB,...random is disabled.
```

The following output shows that only the mechanisms have been enabled. The random generator continues to be disabled.

```
$ cryptoadm enable provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
mechanism=all
```

```
$ cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
/usr/lib/security/$ISA/pkcs11_softtoken.so: all mechanisms are enabled. random
is disabled.
```

The following output shows that every feature and mechanism on the board has been enabled.

```
$ cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
/usr/lib/security/$ISA/pkcs11_softtoken.so: all mechanisms ar enabled, except
CKM_DES_ECB,CKM_DES3_ECB. random is disabled.
$ cryptoadm enable provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so all
$ cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
```

/usr/lib/security/\$ISA/pkcs11_softtoken.so: all mechanisms are enabled. random is enabled.



Example 3-12 Finding User-Level Cryptographic Mechanisms Only

In the following example, all mechanisms that the user-level library, pkcsl1_softtoken, offers are listed.

```
$ cryptoadm list -m provider=/usr/lib/security/\$ISA/pkcs11 softtoken.so
```

Mechanisms: CKM_CAMELLIA_CBC CKM_CAMELLIA_CBC_PAD CKM_CAMELLIA_CTR CKM_CAMELLIA_ECB CKM_CAMELLIA_KEY_GEN CKM_DES_CBC ... CKM_ECDSA CKM_ECDSA_SHA1 CKM_ECDH1_DERIVE

Example 3-13 Determining Which Cryptographic Mechanisms Perform Which Functions

Mechanisms perform specific cryptographic functions, such as signing or key generation. The -v -m options display every mechanism and its functions.

In this example, the administrator wants to determine the functions for which the CKM_ECDSA* mechanisms can be used.

```
$ cryptoadm list -vm
. . .
Provider: /usr/lib/security/$ISA/pkcs11 softtoken.so
Description: Sun Crypto Softtoken
Manufacturer: Oracle Corporation
PKCS#11 Version: 2.40
. . .
Mechanisms:
                                    ED SVP EE
                                    neD iVeKa UDxC
                                    cci gerei net
                                   rrgS+r+yrWwreC
                                    y y e i R i R G G r r i n a
                                  Hppsgefeeeaavsp
Mechanism Name
                Minimum Maximum Wtttncycnnppens
----- -----
                                  - - - - - -
. . .
                   112
CKM ECDSA SHA1
                             571 . . . . X . X . . . . . . .
CKM_ECDH1_DERIVE
                    112
                             571 . . . . . . . . . . . X . .
. . .
Kernel providers:
_____
. . .
ecc: CKM EC KEY PAIR GEN, CKM ECDH1 DERIVE, CKM ECDSA, CKM ECDSA SHA1
. . .
```

Each item in an entry represents a piece of information about the mechanism. For these ECC mechanisms, the listing indicates the following:

• Minimum length – 112 bytes


- Maximum length 571 bytes
- Hardware Is or is not available on hardware.
- Encrypt Is not used to encrypt data.
- Decrypt Is not used to decrypt data.
- Digest Is not used to create message digests.
- Sign Is used to sign data.
- Sign + Recover Is not used to sign data, where the data can be recovered from the signature.
- Verify Is used to verify signed data.
- Verify + Recover Is not used to verify data that can be recovered from the signature.
- Key generation Is not used to generate a private key.
- Pair generation Is not used to generate a key pair.
- Wrap Is not used to wrap. that is, encrypt, an existing key.
- Unwrap Is not used to unwrap a wrapped key.
- Derive Is not used to derive a new key from a base key.
- EC Caps Absent EC capabilities that are not covered by previous items

Adding a Software Provider

The following procedure explains how to add providers to the system. You must become an administrator who is assigned the Crypto Management rights profile. For more information, see Using Your Assigned Administrative Rights in *Securing Users and Processes in Oracle Solaris 11.4*.

How to Add a Software Provider

1. Updated output s11.4 May 2018-

List the software providers that are available to the system.

```
$ cryptoadm list
```

```
User-level providers:
Provider: /usr/lib/security/$ISA/pkcs11_softtoken.so
```

Kernel providers: des aes arcfour blowfish camellia ecc shal sha2 sha3 md5 rsa swrand

n2rng/0



2. repository installing third-party providers Add the package for a new provider from a repository.

This example installs the pkcs11_kmip package. For information about KMIP, see KMIP and PKCS #11 Client Applications.

```
$ pkg install pkcs11_kmip
```

Packages to install: 2 Create boot environment: No Create backup boot environment: No DOWNLOAD PKGS FILES XFER (MB) SPEED Completed 2/2 18/18 0.6/0.6 251k/s PHASE ITEMS Installing new actions 50/50 Updating package state database Done Updating package cache 0/0 Updating image state Done Creating fast lookup database Done Updating package cache 1/1

3. Register the new provider with the Cryptographic Framework.

\$ cryptoadm install provider='/usr/lib/security/\$ISA/pkcs11_kmip.so'

4. Locate the new provider on the list.

In this case, a new user-level software provider was installed.

```
$ cryptoadm list
```

```
User-level providers:
Provider: /usr/lib/security/$ISA/pkcs11_softtoken.so
Provider: /usr/lib/security/$ISA/pkcs11 kmip.so <-- added provider
Kernel providers:
         des
         aes
         arcfour
         blowfish
         camellia
         ecc
         sha1
         sha2
         sha3
         md5
         rsa
         swrand
         n2rng/0
```

Enabling FIPS 140-2 Mode in Oracle Solaris

By default, FIPS 140-2 mode is disabled in Oracle Solaris. In this procedure, you create a boot environment (BE) for FIPS 140-2 mode, then activate and boot the new BE.



How to Create a Boot Environment With FIPS 140-2 Enabled

You must assume the root role. For more information, see Using Your Assigned Administrative Rights in *Securing Users and Processes in Oracle Solaris* 11.4.

1. Determine whether the system is in FIPS 140-2 mode.

2. Enable FIPS 140-2 mode.

This command creates a BE in FIPS 140-2 mode. If the fips-140 package is not yet loaded, this command also loads the package.

- # cryptoadm enable fips-140
- 3. List the BEs.

<pre>\$ beadm list</pre>						
BE	Flags	Mountpoint	Space	Policy	Created	
S114Jan	-	-	48.22G	static	2018-01-10	10:10
S114Jan-1	NR	/	287.01M	static	2018-01-20	10:10

Caution:

A FIPS 140-2 enabled system runs compliance tests that can cause a panic if they fail. Therefore, retain the original BE.

4. Activate the FIPS 140-2 BE and reboot.

```
# beadm activate S114Jan-1
# reboot
```

You are now running in FIPS 140-2 mode.



Note:

FIPS 140-2 mode does not disable the non-FIPS 140-2 approved algorithms from the user-level pkcs11_softtoken library and the kernel software providers. The consumers of the framework are responsible for using only FIPS 140-2 approved algorithms. For more information, see *Using a FIPS* 140-2 *Enabled System in Oracle Solaris* 11.4 and the cryptoadm(8) man page.

5. To run without FIPS 140-2 enabled, boot a non-FIPS 140-2 BE.

In this example, you reboot to the original BE.

<pre>\$ beadm list</pre>					
BE	Flags	Mountpoint	Space	Policy	Created
S114Jan	-	-	48.22G	static	2018-01-10 10:10
S114Jan-1	NR	/	287.01M	static	2018-01-20 10:10
<pre># beadm activate S11</pre>	l4Jan				
# beadm list					
BE	Flags	Mountpoint	Space	Policy	Created
S114Jan	R	-	48.22G	static	2018-01-10 10:10
114Jan-1	Ν	/	287.01M	static	2018-01-20 10:10
# reboot					

Preventing the Use of Mechanisms

If some of the cryptographic mechanisms from a library provider should not be used, you can remove selected mechanisms. You might consider preventing the use of mechanisms if, for example, the same mechanism in another library performs better, or if a security vulnerability is being investigated.

If the Cryptographic Framework provides multiple modes of a provider such as AES, you might remove a slow mechanism from use, or a corrupted mechanism. You might also use this procedure to remove an algorithm with proven security vulnerabilities.

You can selectively disable mechanisms and the random number feature from a hardware provider. To enable them again, see <u>Selectively Enabling Mechanisms and Features on a</u> Provider. The hardware in this example provides a random number generator.

How to Prevent the Use of a User-Level Mechanism

You must become an administrator who is assigned the Crypto Management rights profile. For more information, see Using Your Assigned Administrative Rights in *Securing Users and Processes in Oracle Solaris* 11.4.

1. List the mechanisms that are offered by a particular user-level software provider.

```
$ cryptoadm list -m provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
Mechanisms:
CKM_CAMELLIA_CBC
CKM_CAMELLIA_CBC_PAD
CKM_CAMELLIA_CTR
...
CKM_ECDSA_SHA1
CKM_ECDH1_DERIVE
```

2. List the mechanisms that are available for use.



3. Disable the mechanisms that should not be used.

```
$ cryptoadm disable provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so \
> mechanism=CKM DES CBC,CKM DES CBC PAD,CKM DES ECB
```

4. List the mechanisms that are available for use.

```
$ cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
/usr/lib/security/$ISA/pkcs11_softtoken.so: all mechanisms are enabled,
except CKM_DES_ECB,CKM_DES_CBC_PAD,CKM_DES_CBC. random is enabled.
```

Example 3-14 Enabling a User-Level Software Provider Mechanism

In this example, a disabled AES mechanism is again made available for use.

```
$ cryptoadm list -m provider=/usr/lib/security/\$ISA/pkcs11 softtoken.so
Mechanisms:
CKM CAMELLIA CBC
CKM CAMELLIA CBC PAD
CKM CAMELLIA CTR
. . .
CKM ECDSA SHA1
CKM ECDH1 DERIVE
$ cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
/usr/lib/security/$ISA/pkcs11 softtoken.so: all mechanisms are enabled,
except CKM AES ECB, CKM DES CBC PAD, CKM DES CBC. random is enabled.
$ cryptoadm enable provider=/usr/lib/security/\$ISA/pkcs11 softtoken.so \
> mechanism=CKM AES ECB
$ cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
/usr/lib/security/$ISA/pkcs11 softtoken.so: all mechanisms are enabled,
except CKM DES CBC PAD, CKM DES CBC. random is enabled.
```

Example 3-15 Enabling All User-Level Software Provider Mechanisms

In the following example, all mechanisms from the user-level library are enabled.

```
$ cryptoadm enable provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so all
$ cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
/usr/lib/security/$ISA/pkcs11_softtoken.so: all mechanisms are enabled. random
is enabled.
```

Example 3-16 Permanently Removing a User-Level Library

In this example, a libpkcs11.so.1 library from the /opt directory is removed.

```
$ cryptoadm uninstall provider=/opt/lib/\$ISA/libpkcs11.so.1
$ cryptoadm list
User-level providers:
Provider: /usr/lib/security/$ISA/pkcs11_softtoken.so
Kernel providers:
....
```



How to Prevent the Use of a Kernel Software Mechanism

You must become an administrator who is assigned the Crypto Management rights profile. For more information, see Using Your Assigned Administrative Rights in *Securing Users and Processes in Oracle Solaris* 11.4.

1. List the mechanisms that are offered by a particular kernel software provider.

```
$ cryptoadm list -m provider=aes
aes: CKM_AES_ECB,CKM_AES_CBC,CKM_AES_CTR,CKM_AES_CCM,CKM_AES_GCM,\
CKM_AES_GMAC,CKM_AES_CFB128,CKM_AES_XTS,CKM_AES_XCBC_MAC,\
CKM_AES_XCBC_MAC_96,CKM_AES_CMAC,CKM_AES_CTS,CKM_AES_CFB8
```

2. List the mechanisms that are available for use.

```
$ cryptoadm list -p provider=aes
aes: all mechanisms are enabled.
```

3. Disable the mechanism that should not be used.

```
$ cryptoadm disable provider=aes mechanism=CKM_AES_ECB
```

4. List the mechanisms that are available for use.

\$ cryptoadm list -p provider=aes aes: all mechanisms are enabled, except CKM_AES_ECB.

Example 3-17 Enabling a Kernel Software Provider Mechanism

In this example, a disabled AES mechanism is again made available for use.

```
cryptoadm list -m provider=aes
aes: CKM_AES_ECB,CKM_AES_CBC,CKM_AES_CTR,CKM_AES_CCM,CKM_AES_GCM,\
CKM_AES_GMAC,CKM_AES_CFB128,CKM_AES_XTS,CKM_AES_XCBC_MAC,\
CKM_AES_XCBC_MAC_96,CKM_AES_CMAC,CKM_AES_CTS,CKM_AES_CFB8
$ cryptoadm list -p provider=aes
aes: all mechanisms are enabled, except CKM_AES_ECB.
$ cryptoadm enable provider=aes mechanism=CKM_AES_ECB
$ cryptoadm list -p provider=aes
aes: all mechanisms are enabled.
```

Example 3-18 Temporarily Removing Kernel Software Provider Availability

In the following example, the AES provider is temporarily removed from use. The unload subcommand is useful to prevent a provider from being loaded automatically while the provider is being uninstalled. For example, the unload subcommand might be used when modifying a mechanism of this provider.

```
$ cryptoadm unload provider=aes
$ cryptoadm list
....
Kernel software providers:
des
aes (inactive)
arcfour
blowfish
ecc
```



sha1 sha2 sha3 md5 rsa swrand n2rng/0

The AES provider is unavailable until the Cryptographic Framework is refreshed.

\$ svcadm refresh system/cryptosvc

```
$ cryptoadm list
...
Kernel software providers:
des
aes
arcfour
blowfish
camellia
ecc
sha1
sha2
sha3
md5
rsa
swrand
n2rng/0
```

If a kernel consumer is using the kernel software provider, the software is not unloaded. An error message is displayed and the provider continues to be available for use.

Example 3-19 Permanently Removing Software Provider Availability

In the following example, the AES provider is removed from use. Once removed, the AES provider does not appear in the policy listing of kernel software providers.

```
$ cryptoadm uninstall provider=aes
```

```
$ cryptoadm list
...
Kernel software providers:
des
arcfour
blowfish
camellia
ecc
sha1
sha2
sha3
md5
rsa
swrand
n2rng/0
```



Example 3-20 Reinstalling a Removed Kernel Software Provider

In the following example, the AES kernel software provider is reinstalled. To reinstall a removed kernel provider, you must enumerate the mechanisms to be installed.

```
$ cryptoadm install provider=aes \
mechanism=CKM_AES_ECB,CKM_AES_CBC,CKM_AES_CTR,CKM_AES_CCM,CKM_AES_GCM,
CKM_AES_GMAC,CKM_AES_CFB128,CKM_AES_XTS,CKM_AES_XCBC_MAC,
CKM_AES_XCBC_MAC_96,CKM_AES_CMAC,CKM_AES_CTS,CKM_AES_CFB8
```

```
$ cryptoadm list
...;
Kernel software providers:
des
aes
arcfour
blowfish
camellia
ecc
sha1
sha2md5
rsa
swrand
n2rng/0
```

How to Disable Hardware Provider Mechanisms and Features

You must become an administrator who is assigned the Crypto Management rights profile. For more information, see Using Your Assigned Administrative Rights in *Securing Users and Processes in Oracle Solaris* 11.4.

Choose the mechanisms or feature to disable.

List the provider.

```
$ cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
/usr/lib/security/$ISA/pkcs11_softtoken.so: all mechanisms are enabled except
CKM_DES_ECB,CKM_DES3_ECB. random is enabled.
```

• To disable selected mechanisms:

```
$ cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
/usr/lib/security/$ISA/pkcs11_softtoken.so: all mechanisms are enabled except
CKM_DES_ECB,CKM_DES3_ECB. random is enabled.
# cryptoadm disable provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
mechanism=CKM_DES3_CBC
# cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11 softtoken.so
```

/usr/lib/security/\$ISA/pkcs11_softtoken.so: all mechanisms are enabled except CKM_DES_ECB,CKM_DES3_CBC,CKM_DES3_ECB. random is enabled.

To disable the random number generator:

cryptoadm list -p provider=/usr/lib/security/\\$ISA/pkcs11_softtoken.so /usr/lib/security/\$ISA/pkcs11_softtoken.so: all mechanisms are enabled except CKM_DES_ECB,CKM_DES3_CBC,CKM_DES3_ECB. random is enabled.

cryptoadm disable provider=/usr/lib/security/\\$ISA/pkcs11_softtoken.so random # cryptoadm list -p provider=/usr/lib/security/\\$ISA/pkcs11_softtoken.so /usr/lib/security/\$ISA/pkcs11_softtoken.so: all mechanisms are enabled except CKM DES ECB,CKM DES3 CBC,CKM DES3 ECB. random is disabled.



To disable all mechanisms without disabling the random number generator, **use** mechanism=all:

```
# cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11 softtoken.so
/usr/lib/security/$ISA/pkcs11 softtoken.so: all mechanisms are enabled
except CKM DES ECB, CKM DES3 CBC, CKM DES3 ECB.
random is enabled.
# cryptoadm disable provider=/usr/lib/security/\$ISA/pkcs11 softtoken.so
```

mechanism=all

cryptoadm list -p provider=/usr/lib/security/\\$ISA/pkcs11 softtoken.so usr/lib/security/\$ISA/pkcs11 softtoken.so: all mechanisms are disabled. random is enabled.

To disable every feature and mechanism on the hardware, including the random number generator, use the all option:

cryptoadm list -p provider=/usr/lib/security/\\$ISA/pkcs11 softtoken.so /usr/lib/security/\$ISA/pkcs11 softtoken.so: all mechanisms are enabled. random is enabled.

cryptoadm disable provider=/usr/lib/security/\\$ISA/pkcs11_softtoken.so all

```
# cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11_softtoken.so
usr/lib/security/$ISA/pkcs11 softtoken.so: all mechanisms are disabled.
random is disabled.
```

For examples of these options, see Selectively Enabling Mechanisms and Features on a Provider.

Example 3-21 Selectively Enabling Mechanisms and Features on a Provider

In these examples, disabled mechanisms on a provider are selectively enabled or disabled.

cryptoadm list -p provider=/usr/lib/security/\\$ISA/pkcs11 softtoken.so /usr/lib/security/\$ISA/pkcs11 softtoken.so: all mechanisms are enabled except CKM RSA PKCS,CKM DES ECB,CKM DES3 ECB. random is enabled.

cryptoadm enable provider=/usr/lib/security/\\$ISA/pkcs11 softtoken.so mechanism=CKM RSA PKCS

cryptoadm list -p provider=/usr/lib/security/\\$ISA/pkcs11 softtoken.so /usr/lib/security/\$ISA/pkcs11 softtoken.so: all mechanisms are enabled except CKM DES ECB, CKM DES3 ECB. random is enabled.

In this example, only the random generator is enabled.

cryptoadm list -p provider=/usr/lib/security/\\$ISA/pkcs11_softtoken.so /usr/lib/security/\$ISA/pkcs11 softtoken.so: all mechanisms are enabled, except CKM MD5,CKM MD5 HMAC,.... random is disabled.

cryptoadm enable provider=/usr/lib/security/\\$ISA/pkcs11 softtoken.so random # cryptoadm list -p provider=/usr/lib/security/\\$ISA/pkcs11 softtoken.so /usr/lib/security/\$ISA/pkcs11 softtoken.so: all mechanisms are enabled, except CKM MD5,CKM MD5 HMAC,.... random is enabled.

In this example, only the mechanisms are enabled. The random generator continues to be disabled.

```
# cryptoadm list -p provider=/usr/lib/security/\$ISA/pkcs11 softtoken.so
/usr/lib/security/$ISA/pkcs11 softtoken.so: all mechanisms are enabled, except
CKM RSA PKCS,CKM RSA X 509,.... random is disabled.
# cryptoadm enable provider=/usr/lib/security/\$ISA/pkcs11 softtoken.so
mechanism=all
```





/usr/lib/security/\$ISA/pkcs11_softtoken.so: all mechanisms are enabled. random is disabled.

In this example, every feature and mechanism on the board is enabled.

cryptoadm list -p provider=/usr/lib/security/\\$ISA/pkcs11_softtoken.so /usr/lib/security/\$ISA/pkcs11_softtoken.so: all mechanisms are enabled, except CKM_RSA_PKCS,CKM_RSA_X_509. random is disabled. # cryptoadm enable provider=/usr/lib/security/\\$ISA/pkcs11_softtoken.so all # cryptoadm list -p provider=/usr/lib/security/\\$ISA/pkcs11_softtoken.so /usr/lib/security/\$ISA/pkcs11_softtoken.so: all mechanisms are enabled. random is enabled.

Refreshing or Restarting All Cryptographic Services

By default, the Cryptographic Framework is enabled. When the kcfd daemon fails for any reason, the Service Management Facility (SMF) can be used to restart cryptographic services. For more information, see the smf(7) and svcadm(8) man pages. For the effect on zones of restarting cryptographic services, see Cryptographic Framework and Zones.

How to Refresh or Restart All Cryptographic Services

Before you begin, you must become an administrator who is assigned the Crypto Management rights profile. For more information, see Using Your Assigned Administrative Rights in *Securing Users and Processes in Oracle Solaris* 11.4.

1. Check the status of cryptographic services.

```
$ svcs cryptosvc
STATE STIME FMRI
offline Dec 09 svc:/system/cryptosvc:default
```

- 2. Enable cryptographic services.
 - \$ svcadm enable svc:/system/cryptosvc

Example 3-22 Refreshing Cryptographic Services

In the following example, cryptographic services are refreshed in the global zone. Therefore, kernel-level cryptographic policy in every non-global zone is also refreshed.

\$ svcadm refresh system/cryptosvc



Managing Certificates in Oracle Solaris

The Key Management Framework (KMF) feature of Oracle Solaris provides tools and programming interfaces for managing public key objects. Public key objects include X.509 certificates and public/private key pairs. The formats for storing these objects can vary. KMF also provides a tool for managing policies that define the use of X.509 certificates by applications. KMF supports third-party plugins. Key management can require manual intervention, such as adding CA certificates to the directory where Oracle Solaris stores them.

This chapter covers the following topics:

- Managing Public Key Technologies With the Key Management Framework
- Managing Certificates in the Oracle Solaris CA Keystore

Managing Public Key Technologies With the Key Management Framework

KMF centralizes the management of public key technologies (PKI). Oracle Solaris has several different applications that make use of PKI technologies. Each application provides its own programming interfaces, key storage mechanisms, and administrative utilities. If an application provides a policy enforcement mechanism, the mechanism applies to that application only. With KMF, applications use a unified set of administrative tools, a single set of programming interfaces, and a single policy enforcement mechanism. These features manage the PKI needs of all applications that adopt these interfaces.

KMF unifies the management of public key technologies with the following interfaces:

- pktool command Manages PKI objects, such as certificates, in a variety of keystores.
- kmfcfg command Manages the PKI policy database and third-party plugins.

PKI policy decisions include operations such as the validation method for an operation. Also, PKI policy can limit the scope of a certificate. For example, PKI policy might assert that a certificate can be used only for specific purposes. Such a policy would prevent that certificate from being used for other requests.

 KMF library – Contains programming interfaces that abstract the underlying keystore mechanism.

Applications do not have to choose one particular keystore mechanism, but can migrate from one mechanism to another mechanism. The supported keystores are PKCS #11, NSS, and OpenSSL. The library includes a pluggable framework so that new keystore mechanisms can be added. Therefore, applications that use the new mechanisms would require only minor modifications to use a new keystore.

Key Management Framework Utilities

KMF provides methods for managing the storage of keys and provides the overall policy for the use of those keys. KMF can manage the policy, keys, and certificates for three public key technologies:

- Tokens from PKCS #11 providers, that is, from the Cryptographic Framework
- NSS, that is, Network Security Services
- OpenSSL, a file-based keystore

The kmfcfg tool can create, modify, or delete KMF policy entries. The tool also manages plugins to the framework. KMF manages keystores through the <code>pktool</code> command. For more information, see the kmfcfg(1) and <code>pktool(1)</code> man pages, and the following sections.

KMF Policy Management

KMF policy is stored in a database. This policy database is accessed internally by all applications that use the KMF programming interfaces. The database can constrain the use of the keys and certificates that are managed by the KMF library. When an application attempts to verify a certificate, the application checks the policy database. The kmfcfg command modifies the policy database.

KMF Plugin Management

The kmfcfg command provides the following subcommands for plugins:

- list plugin Lists plugins that are managed by KMF.
- install *plugin* Installs the plugin by the module's path name and creates a keystore for the plugin. To remove the plugin from KMF, you remove the keystore.
- uninstall *plugin* Removes the plugin from KMF by removing its keystore.
- modify *plugin* Enables the plugin to be run with an option that is defined in the code for the plugin, such as debug.

For more information, see the kmfcfg(1) man page. For the procedure, see How to Manage Third-Party Plugins in KMF.

KMF Keystore Management

KMF manages the keystores for three public key technologies, PKCS #11 tokens, NSS, and OpenSSL. For all of these technologies, the <code>pktool</code> command enables you to do the following:

- Generate a self-signed certificate
- Generate a certificate request
- Generate and configure a token
- Generate a symmetric key
- Generate a public/private key pair



- Generate a PKCS #10 certificate signing request (CSR) to be sent to an external certificate authority (CA) to be signed
- Sign a PKCS #10 CSR
- Import objects into the keystore
- · List the objects in the keystore
- Delete objects from the keystore
- Download a CRL

For the PKCS #11 and NSS technologies, the pktool command also enables you to set a PIN by generating a passphrase for the keystore or for an object in the keystore.

For examples of using the pktool utility, see the pktool(1) man page and Using the Key Management Framework Task Map.

Using the Key Management Framework

This section describes how to use the pktool command to manage your public key objects, such as passwords, passphrases, files, keystores, certificates, and CRLs.

The Key Management Framework (KMF) enables you to centrally manage public key technologies.

Table 4-1	Using the Key Management Framework Task Map	
-----------	---	--

Task	Description	For Instructions
Create a certificate.	Creates a certificate for use by PKCS #11, NSS, or OpenSSL.	How to Create a Certificate by Using the pktool gencert Command
Export a certificate.	Creates a file with the certificate and its supporting keys. The file can be protected with a password.	How to Export a Certificate and Private Key in PKCS #12 Format
Import a certificate.	Imports a certificate from another system.	How to Import a Certificate Into Your Keystore
	Imports a certificate in PKCS #12 format from another system.	How to Import a Certificate Into Your Keystore
Create a keystore or token.	Creates a token, assigns a PIN, and names a label.	How to Create a PKCS #11 Keystore
Generate a passphrase.	Generates a passphrase for access to a PKCS #11 keystore or an NSS keystore.	How to Generate a Passphrase by Using the pktool setpin Command
Generate a symmetric key.	Generates symmetric keys for use in encrypting files, in creating a MAC of a file, and for applications.	How to Generate a Symmetric Key by Using the pktool Command
Generate a key pair.	Generates a public/private key pair for use with applications.	How to Generate a Key Pair by Using the pktool genkeypair Command
Generate a PKCS #10 CSR.	Generates a PKCS #10 certificate signing request (CSR) for an external certificate authority (CA) to sign.	pktool(1) man page
Sign a PKCS #10 CSR.	Signs a PKCS #10 CSR.	How to Sign a Certificate Request by Using the pktool signcsr Command



Table 4-1	(Cont.)	Using th	ne Key	Management	Framework	Task Map
-----------	---------	----------	--------	------------	-----------	----------

Task	Description	For Instructions
Add a plugin to KMF.	Installs, modifies, and lists a plugin. Also, removes the plugin from the KMF.	How to Manage Third-Party Plugins in KMF

How to Create a Certificate by Using the pktool Command

This procedure creates a self-signed certificate and stores the certificate in the PKCS #11 keystore. As a part of this operation, an RSA public/private key pair is also created. The private key is stored in the keystore with the certificate.

1. Generate a self-signed certificate.

```
$ pktool gencert [keystore=keystore] label=label-name \
subject=subject-DN serial=hex-serial-number keytype=rsa/dsa keylen=key-size
```

-keystore=keystore

Specifies the keystore by type of public key object. The value can be nss, pkcs11, or file. This keyword is optional.

-label=label-name

Specifies a unique name that the issuer gives to the certificate.

-subject=subject-DN

Specifies the distinguished name for the certificate.

-serial=hex-serial-number

Specifies the serial number in hexadecimal format. The issuer of the certificate chooses the number, such as 0x0102030405.

-keytype=key type

Optional variable that specifies the type of private key associated with the certificate. Check the pktool(1) man page to find available key types for the selected keystore.

To use a FIPS 140-2 approved key, check the approved key types at FIPS 140-2 Algorithms in the Cryptographic Framework in Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4.

-keylen=key size

Optional variable that specifies the length of the private key associated with the certificate.

To use a FIPS 140-2 approved key, check the approved key lengths for the key type that you selected at FIPS 140-2 Algorithms in the Cryptographic Framework in *Using a FIPS 140-2 Enabled System in Oracle Solaris 11.4*.

 keystoreslisting contentslistingcontents of keystorepktool commandlist subcommandlist subcommandpktool commandVerify the contents of the keystore.

```
$ pktool list
Found number certificates.
1. (X.509 certificate)
Label: label-name
ID: fingerprint that binds certificate to private key
```



```
Subject: subject-DN
Issuer: distinguished-name
Serial: hex-serial-number
n. ...
```

This command lists all certificates in the keystore. In the following example, the keystore contains one certificate only.

Example 4-1 Creating a Self-Signed Certificate by Using pktool

In the following example, a user at My Company creates a self-signed certificate and stores the certificate in a keystore for PKCS #11 objects. The keystore is initially empty. If the keystore has not been initialized, the PIN for the softtoken is changeme, and you can use the pktool setpin command to reset the PIN. Note that a FIPS 140-2 approved key type and key length, RSA 2048, is specified in the command options.

Enter pin for Sun Software PKCS#11 softtoken:Type PIN for token

How to Import a Certificate Into Your Keystore

This procedure describes how to import a file with PKI information that is encoded with PEM or with raw DER into your keystore. For an export procedure, see How to Export a Certificate and Private Key in PKCS #12 Format.

1. Import the certificate.

```
$ pktool import keystore=keystore infile=infile-name label=label-name
```

- 2. If you are importing certificates and private keys in PKCS #12 format, provide passwords when prompted.
 - a. At the prompt, type the password for the file.

If you are importing PKI information that is private, such as an export file in PKCS #12 format, the file requires a password. The creator of the file that you are importing provides you with the PKCS #12 password.

Enter password to use for accessing the PKCS12 file:Type PKCS #12 password

b. At the prompt, type the password for your keystore.

Enter pin for Sun Software PKCS#11 softtoken: Type PIN for token



3. Verify the contents of the keystore.

```
$ pktool list
Found number certificates.
1. (X.509 certificate)
Label: label-name
ID: fingerprint that binds certificate to private key
Subject: subject-DN
Issuer: distinguished-name
Serial: hex-serial-number
```

2. ...

Example 4-2 Importing a PKCS #12 File Into Your Keystore

In the following example, the user imports a PKCS #12 file from a third party. The pktool import command extracts the private key and the certificate from the gracedata.p12 file and stores them in the user's preferred keystore.

```
$ pktool import keystore=pkcs11 infile=gracedata.p12 label=GraceCert
Enter password to use for accessing the PKCS12 file:Type PKCS #12 password
Enter pin for Sun Software PKCS#11 softtoken: Type PIN for token
Found 1 certificate(s) and 1 key(s) in gracedata.pl2
$ pktool list
No. Key Type Key Len. Key Label
_____
Asymmetric public keys:
1 RSA
                     GraceCert
Certificates:
1 X.509 certificate
Label: GraceCert
ID: 71:8f:11:f5:62:10:35:c2:5d:b4:31:38:96:04:80:25:2e:ad:71:b3
Subject: C=US, O=My Company, OU=Security Engineering Group, CN=MyCA
Issuer: C=US, O=My Company, OU=Security Engineering Group, CN=MyCA
Serial: 0x0000010
```

Example 4-3 Importing an X.509 Certificate Into Your Keystore

In the following example, the user imports an X.509 certificate in PEM format into the user's preferred keystore. This public certificate is not protected with a password. The user's public keystore is also not protected by a password.

```
$ pktool import keystore=pkcs11 infile=somecert.pem label="TheirCompany Root
Cert"
$ pktool list
No. Key Type Key Len. Key Label
Certificates:
1 X.509 certificate
Label: TheirCompany Root Cert
ID: ec:a2:58:af:83:b9:30:9d:de:b2:06:62:46:a7:34:49:f1:39:00:0e
Subject: C=US, O=TheirCompany, OU=Security, CN=TheirCompany Root CA
Issuer: C=US, O=TheirCompany, OU=Security, CN=TheirCompany Root CA
Serial: 0x0000001
```

How to Export a Certificate and Private Key in PKCS #12 Format

You can create a file in PKCS #12 format to export private keys and their associated X.509 certificate to other systems. Access to the file is protected by a password.

1. Find the certificate to export.



```
$ pktool list
Found number certificates.
1. (X.509 certificate)
Label: label-name
ID: fingerprint that binds certificate to private key
Subject: subject-DN
Issuer: distinguished-name
Serial: hex-serial-number
```

2. ...

2. Export the keys and certificate.

Use the keystore and label from the pktool list command. Provide a file name for the export file. If the name contains a space, surround the name with double quotes.

\$ pktool export keystore=keystore outfile=outfile-name label=label-name

3. Protect the export file with a password.

At the prompt, type the current password for the keystore. At this point, you create a password for the export file. The receiver must provide this password when importing the file.

Enter pin for Sun Software PKCS#11 softtoken: Type PIN for token Enter password to use for accessing the PKCS12 file:Create PKCS #12 password

O Tip:

Send the password separately from the export file. Best practice suggests that you provide the password out of band, such as during a telephone call.

Example 4-4 Exporting a Certificate and Private Key in PKCS #12 Format

In the following example, a user exports the private keys with their associated X.509 certificate into a standard PKCS #12 file. This file can be imported into other keystores. The PKCS #11 password protects the source keystore. The PKCS #12 password is used to protect private data in the PKCS #12 file. This password is required to import the file.

\$ pktool export keystore=pkcs11 outfile=mydata.p12 label="My Cert" Enter pin for Sun Software PKCS#11 softtoken: Type PIN for token Enter password to use for accessing the PKCS12 file:Create PKCS #12 password

The user then telephones the recipient and provides the PKCS #12 password.



How to Create a PKCS #11 Keystore

Use this procedure to create a brand new PKCS #11 keystore. This same procedure also applies if you want to re-create a keystore that has been previously used. When creating keystores, you should use the pktool inittoken command as a preferred method instead of the traditional pktool setpin command.

Caution:

Using the pktool inittoken command in this procedure destroys all of the existing objects in the keystore. If you are re-creating a keystore that has been previously used, export the keystore's objects to a secure location. After you have completed the procedure, you can import the objects.

1. Export the objects in the current keystore.

See How to Export a Certificate and Private Key in PKCS #12 Format.

- 2. Create the keystore.
 - # pktool inittoken

If you create a brand new keystore without assigning it a name, then the default label *Sun Software PKCS#11 softtoken* is assigned to the keystore.

Depending on the conditions that apply, you can create the keystore in different ways. Refer to the pktool(1) man page and the following example to see how the pktool inittoken command can be used.

3. Import the objects to be used in the new keystore.

See How to Import a Certificate Into Your Keystore.

4. Display a list of tokens by using the pktool tokens command.

Note that the output of the pktool tokens command will include the metaslot only if the metaslot is enabled. Also, note that the metaslot is softtoken by default, but it can also be TPM, or other tokens if the user manually set up the metaslot. For further information, see Metaslot in Concepts in the Cryptographic Framework.

Example 4-5 Creating a Brand New Keystore With a New Name

This example shows how to assign a new name to the keystore that you are creating.

Note that you will be prompted to enter your Security Officer PIN to complete the process.

```
# pktool inittoken currlabel="Sun Software PKCS#11 softtoken" \
newlabel="Company XYZ softtoken"
Enter SO PIN: Type Security Officer PIN
Token Company XYZ softtoken initialized.
# pktool tokens
ID Slot Name
                             Token Name
                                                   Flags
__ ____
                             _____
                                                   ____
                                                     LI
1 Sun Crypto Softtoken
                             Company XYZ softtoken
Flags: L=Login required, I=Initialized, X=User PIN expired,
S=SO PIN expired, R=Write protected
```



How to Generate a Passphrase by Using the pktool Command

You can generate a passphrase for an object in a keystore, and for the keystore itself. The passphrase is required to access the object or keystore. For an example of generating a passphrase for an object in a keystore, see Exporting a Certificate and Private Key in PKCS #12 Format.

1. Generate a passphrase for access to a keystore.

```
$ pktool setpin keystore=nss|pkcs11 [dir=directory]
```

The default directory for key storage is /var/ username.

The initial password for a PKCS #11 keystore is changeme. The initial password for an NSS keystore is an empty password.

2. Answer the prompts.

When prompted for the current token passphrase, type the token PIN for a PKCS #11 keystore, or press the Return key for an NSS keystore.

```
Enter current token passphrase:Type PIN or press the Return key
Create new passphrase:Type the passphrase that you want to use
Re-enter new passphrase:Retype the passphrase
Passphrase changed.
```

The keystore is now protected by *passphrase*. If you lose the passphrase, you lose access to the objects in the keystore.

3. Display a list of tokens.

\$ pktool tokens

The output depends on whether the metaslot is enabled. Moreover, when the metaslot is enabled, or when there are more than two tokens present, the metaslot will also be presented as a virtual token in the list.

For more information about the metaslot, see Concepts in the Cryptographic Framework.

If the metaslot is enabled, the pktool token command generates output similar to the following:

ID	Slot Name	Token Name	Flags
0	Sun Metaslot	Sun Metaslot	V
1	Sun Crypto Softtoken	softy	LI
2	PKCS#11 Interface for TPM	TPM	LIS
3	Oracle Key Management System	KMS	LI

 If the metaslot is disabled, the pktool token command generates output similar to the following:

ID Slot	Name	Token Name	Flags
1 2	Sun Crypto Softtoken PKCS#11 Interface for TPM	Sun Software PKCS#11 softtoken TPM	LIX LXS

In the two output versions, flags can be any combination of the following:

- L login required
- I initialized



- x User PIN expired
- S SO PIN expired
- R Write protected
- v Virtual

Example 4-6 Protecting a Keystore With a Passphrase

The following example shows how to set the passphrase for an NSS database. Because no passphrase has been created, the user presses the Return key at the first prompt.

```
$ pktool setpin keystore=nss dir=/var/nss
Enter current token passphrase:Press the Return key
Create new passphrase: xxxx xxx
Re-enter new passphrase: xxxx xxx
Passphrase changed.
```

How to Generate a Key Pair by Using the pktool genkeypair Command

Some applications require a public/private key pair. In this procedure, you create these key pairs and store them.

- 1. If you plan to use a keystore, create the keystore.
 - To create and initialize a PKCS #11 keystore, see How to Generate a Passphrase by Using the pktool setpin Command.
 - To create and initialize an NSS keystore, see Protecting a Keystore With a Passphrase.
- 2. Create the key pair.

Use one of the following methods.

• Create the key pair and store the key pair in a file.

File-based keys are created for applications that read keys directly from files on the disk. Typically, applications that directly use OpenSSL cryptographic libraries require that you store the keys and certificates for the application in files.

Note:

The file keystore does not support elliptic curve (ec) keys and certificates.

\$ pktool genkeypair keystore=file outkey=key-filename \
[format=der|pem] [keytype=rsa|dsa] [keylen=key-size]

keystore=file

The value file specifies the file type of storage location for the key.

outkey= key-filename

Specifies the name of the file where the key pair is stored.



format=der|pem

Specifies the encoding format of the key pair. ${\tt der}$ output is binary, and ${\tt pem}$ output is ASCII.

keytype=rsa|dsa

Specifies the type of key pair that can be stored in a file keystore. For definitions, see DSA and RSA.

keylen= key-size

Specifies the length of the key in bits. The number must be divisible by 8. To determine possible key sizes, use the cryptoadm list -vm command.

• Create the key pair and store it in a PKCS #11 keystore.

You must complete Step 1 before using this method.

The PKCS #11 keystore is used to store objects on a hardware device. The device could be a trusted platform module (TPM) device or a smart card that is plugged into the Cryptographic Framework. PKCS #11 can also be used to store objects in the softtoken, or software-based token, which stores the objects in a private subdirectory on the disk. For more information, see the pkcs11_softtoken(7) man page.

You can retrieve the key pair from the keystore by a label that you specify.

```
$ pktool genkeypair label=key-label \
[token=token[:manuf[:serial]]] \
[keytype=rsa|dsa|ec] [curve=ECC-Curve-Name]]\
[keylen=key-size] [listcurves]
```

label= key-label

Specifies a label for the key pair. The key pair can be retrieved from the keystore by its label.

token= token[:manuf[:serial]]

Specifies the token name. By default, it is Sun Software PKCS#11 softtoken.

keytype=rsa|dsa|ec [curve=ECC-Curve-Name]

Specifies the keypair type. For the elliptic curve type, optionally specifies a curve name. Curve names are listed as output to the listcurves option.

keylen= key-size

Specifies the length of the key in bits. The number must be divisible by 8.

listcurves

Lists the elliptic curve names that can be used as values to the curve= option for an ec key type.

Generate the key pair and store it in an NSS keystore.

The NSS keystore is used by servers that rely on NSS as their primary cryptographic interface.

You must complete Step 1 before using this method.

```
$ pktool keystore=nss genkeypair label=key-nickname \
[token=token[:manuf[:serial]]] \
[dir=directory-path] [prefix=database-prefix] \
```



```
[keytype=rsa|dsa|ec] [curve=ECC-Curve-Name]] \
[keylen=key-size] [listcurves]
```

keystore=nss

The value nss specifies the NSS type of storage location for the key.

label= nickname

Specifies a label for the key pair. The key pair can be retrieved from the keystore by its label.

token= token[:manuf[:serial]]

Specifies the token name. By default, it is Sun Software PKCS#11 softtoken.

dir= directory

Specifies the directory path to the NSS database. By default, *directory* is the current directory.

prefix= database-prefix

Specifies the prefix to the NSS database. The default is no prefix.

keytype=rsa|dsa|ec [curve=ECC-Curve-Name]

Specifies the keypair type. For the elliptic curve type, optionally specifies a curve name. Curve names are listed as output to the <code>listcurves</code> option.

keylen= key-size

Specifies the length of the key in bits. The number must be divisible by 8.

listcurves

Lists the elliptic curve names that can be used as values to the curve= option for an ec key type.

3. Verify that the key exists.

Use one of the following commands, depending on where you stored the key.

• Verify the key in the key-filename file.

\$ pktool list keystore=file objtype=key infile=key-filename
Found n keys.
Key #1 - keytype:location (keylen)

• Verify the key in the PKCS #11 keystore.

```
$ pktool list objtype=key
Enter PIN for keystore:
Found n keys.
Key #1 - keytype:location (keylen)
```

Verify the key in the NSS keystore.

\$ pktool list keystore=nss dir=directory objtype=key

Example 4-7 Creating a Key Pair by Using the pktool Command

In the following example, a user creates a PKCS #11 keystore for the first time. After determining the key sizes for RSA key pairs, the user then generates a key pair for an application. Finally, the user verifies that the key pair is in the keystore. The user notes that the second occurrence of the RSA key pair can be stored on hardware. Because the user does not specify a token argument, the key pair is stored as a Sun Software PKCS#11 softtoken.



```
# pktool setpin
Create new passphrase:
Re-enter new passphrase:xxxxxxxx
Passphrase changed.
$ cryptoadm list -vm | grep PAIR
CKM RSA PKCS KEY PAIR GEN 256 2048 X . . . . . . . X . . .
ecc: CKM EC KEY PAIR GEN, CKM ECDH1 DERIVE, CKM ECDSA, CKM ECDSA SHA1
$ pktool genkeypair label=specialappkeypair keytype=rsa keylen=2048
Enter PIN for Sun Software PKCS#11 softtoken : xxxxxxxxx
$ pktool list
Enter PIN for Sun Software PKCS#11 softtoken : xxxxxxxxx
No. Key Type Key Len. Key Label
   _____
Asymmetric public keys:
1 RSA
                         specialappkeypair
```

Example 4-8 Creating a Key Pair That Uses the Elliptic Curve Algorithm

In the following example, a user adds an elliptic curve (ec) key pair to the keystore, specifies a curve name, and verifies that the key pair is in the keystore.

How to Sign a Certificate Request by Using the pktool signcsr Command

This procedure assumes that you are a certificate authority (CA), you have received a CSR, and it is stored in a file. For an example of creating a CSR, see Generating a CSR.

This procedure is used to sign a PKCS #10 certificate signing request (CSR). The CSR can be in PEM or DER format. The signing process issues an X.509 v3 certificate. To generate a PKCS #10 CSR, see the pktool(1) man page.

 Collect the following information for the required arguments to the pktool signcsr command:

signkey

If you have stored the signer's key in a PKCS #11 keystore, signkey is the label that retrieves this private key.

If you have stored the signer's key in an NSS keystore or a file keystore, signkey is the file name that holds this private key.



csr

Specifies the file name of the CSR.

serial

Specifies the serial number of the signed certificate.

outcert

Specifies the file name for the signed certificate.

issuer

Specifies your CA issuer name in distinguished name (DN) format.

For information about optional arguments to the signcsr subcommand, see the pktool(1) man page.

2. Sign the request and issue the certificate.

For example, the following command signs the certificate with the signer's key from the PKCS #11 repository:

The following command signs the certificate with the signer's key from a file:

3. Send the certificate to the requester.

You can use email, a web site, or another mechanism to deliver the certificate to the requester.

For example, you could use email to send the ExampleCoCert2010 file to the requester.

Example 4-9 Generating a CSR

This example shows two methods to generate a CSR.

• Use the pktool command and store the CSR in the PKCS #11 keystore. You must provide the password to the keystore.

```
$ pktool gencsr keystore=pkcs11 label=example3csr \
    keytype=rsa keylen=2048 hash=sha2 \
    format=pem outcsr=/var/tmp/example3.csr-1 \
    subject="CN=example3.company.au, OU=HR Department, O=Example3, L=Sydney,
ST=NSW, C=AU"
```



• Use the openssl command to generate the CSR.

```
$ openssl req -text -noout -in /var/tmp/example3.csr-1
```

How to Manage Third-Party Plugins in KMF

You identify your plugin by giving it a keystore name. When you add the plugin to KMF, the software identifies it by its keystore name. The plugin can be defined to accept an option. This procedure includes how to remove the plugin from KMF.

1. Install the plugin.

```
$ /usr/bin/kmfcfg install keystore=keystore-name \
modulepath=path-to-plugin [option="option-string"]
```

where:

keystore-name

Specifies a unique name for the keystore that you provide.

path-to-plugin

Specifies the full path to the shared library object for the KMF plugin.

option-string

Specifies an optional argument to the shared library object.

2. List the plugins.

```
$ kmfcfg list plugin
keystore-name:path-to-plugin [(built-in)] | [;option=option-string]
```

3. To remove the plugin, uninstall it and verify its removal.

```
\$ kmfcfg uninstall keystore=keystore-name \$ kmfcfg plugin list
```

Example 4-10 Calling a KMF Plugin With an Option

In the following example, the administrator stores a KMF plugin in a site-specific directory. The plugin is defined to accept a debug option. The administrator adds the plugin and verifies that the plugin is installed.

mykmfplug:/lib/security/site-modules/mykmfplug.so;option=debug

The plugin now runs in debugging mode.



Managing Certificates in the Oracle Solaris CA Keystore

Oracle Solaris provides a keystore for Certificate Authority (CA) certificate files. To manage the keystore, you restart the SMF ca-certificates service after you add, remove, or exclude certificates from the keystore.

X.509 certificates contain an RSA public key and the key's signer ("CN" or "Subject"). The key and signer verifies that some file or object was signed with the key holder's private key. CA certificates are issued by well-known organizations to verify that a certificate is legitimate and that the public key in the certificate can be trusted.

Oracle Solaris keeps the CA certificates in the /etc/certs/CA directory. Hashed links to the CA certificates are in the /etc/openssl/certs directory to enable fast lookup and access, typically by OpenSSL. Usually, each filename in the /etc/certs/CA directory is the certificate holder's CN with spaces replaced by underscores ("_") and appended with a .pem extension. For example, the file /etc/certs/CA/ExampleCo-_G3.pem contains the certificate for CN "ExampleCo Class 4 Public Primary Certification Authority - G3".

Note:

Certificates in the /etc/certs directory are not automatically included in the Java keystore. You must add them separately.

You can add certificates and exclude certificates.

- How to Add a Certificate to the Oracle Solaris CA Keystore.
- How to Exclude Certificates From the Oracle Solaris CA Keystore.

How to Add a Certificate to the Oracle Solaris CA Keystore

You must assume the root role. For more information, see Using Your Assigned Administrative Rights in Securing Users and Processes in Oracle Solaris 11.4.

1. Verify that the CA certificate is legitimate.

Check with the issuer of the CA certificate directly.

Caution:

Do not rely on verification from an entity that did not issue the CA certificate. Do not install invalid CA certificates on your system that your software would treat as trustworthy.

2. Strip extra text from the certificate.

Remove any text that surrounds the "----BEGIN CERTIFICATE-----" and "-----END CERTIFICATE-----" lines. Some applications are not able to handle the extra text.

3. Verify that the certificate is not corrupt.



For example, display the text of a certificate by using the openssl command.

openssl x509 -noout -text -in Example_Root_CA.pem

The output should display the issuer, owner (Subject/DN), validity dates, signature algorithm, and public key, among other information.

4. Verify that the certificate file is world-readable.

If it is not, use the chmod command to make the file world-readable.

```
# chmod a+r Example_Root_CA.pem; ls -l Example_Root_CA.pem
-rw-r--r- 1 root sys 1500 Sep 10 10:10 Example Root CA.pem
```

5. Copy the certificate to the /etc/certs/CA directory.

For example:

cp -p Example_Root_CA.pem /etc/certs/CA/

- 6. Restart the ca-certificates service.
 - # /usr/sbin/svcadm restart /system/ca-certificates

The service adds the certificate to the /etc/certs/ca-certificates.crt file and adds a hashed link in the /etc/openssl/certs directory.

Verify that the CA certificate service has restarted.

When the service restarts, it processes your new CA certificate.

```
$ svcs -x ca-certificates
svc:/system/ca-certificates:default (CA Certificates Service)
State: online since 10:10:10 2017
See: openssl(5)
See: /var/svc/log/system-ca-certificates:default.log
Impact: None.
```

If the service hasn't started, the certificate could be corrupt or could be a duplicate of an existing CA certificate. Look for error messages in the log file listed in the svcs -x command output. Also check the /system/volatile/system-ca-certificates:default.log file.

How to Exclude Certificates From the Oracle Solaris CA Keystore

You must assume the root role. For more information, see Using Your Assigned Administrative Rights in Securing Users and Processes in Oracle Solaris 11.4.

Excluding prevents Oracle Solaris libraries and programs from using the excluded CA certificate. Excluded certificates are not copied to the /etc/certs/ca-certificates.crt and are not linked to from the OpenSSL CA certificate directory, /etc/openssl/certs.

- Collect the names of excluded certificates.
- 2. Add the certificates to the ca-certificates SMF service.

In this example, the administrator adds three excluded certificates and verifies that they are in the exclusion list.

```
# svccfg -s ca-certificates
svc:/system/ca-certificates> addpropvalue config/exclude/example astring:
Example_Root_CA1.pem
```



svc:/system/ca-certificates> addpropvalue config/exclude/example astring: Example_root_CA_temp1.pem svc:/system/ca-certificates> addpropvalue config/exclude/example astring: Example_root_CA_temp2.pem svc:/system/ca-certificates> listprop config/exclude config/exclude application config/exclude/example astring 'Example_Root_CA1.pem' 'Example_root_CA_temp1.pem' 'Example_root_CA_temp2.pem' svc:/system/ca-certificates> exit

- 3. Restart the ca-certificates service.
 - # /usr/sbin/svcadm restart /system/ca-certificates
- 4. Verify that the CA certificate service has restarted.

When the service restarts, it removes the excluded certificates from the /etc/ certs/ca-certificates.crt file and the /etc/openssl/certs directory.

```
$ svcs -x ca-certificates
svc:/system/ca-certificates:default (CA Certificates Service)
State: online since 10:10:10 2017
See: openssl(5)
See: /var/svc/log/system-ca-certificates:default.log
Impact: None.
```



5

KMIP and PKCS #11 Client Applications

Your PKCS #11 applications can now function as clients that use the Key Management Interoperability Protocol (KMIP). These client applications communicate with KMIP-compliant servers to create and use symmetric keys. Oracle Solaris provides client support for KMIP v1.1: OASIS Standard, enabling clients to communicate with KMIP-compliant servers such as the Oracle Key Vault.

In addition to Version 1.1, Oracle Solaris provides client support for versions 1.2, 1.3, and 1.4. Also see:

- Key Management Interoperability Protocol Specification Version 1.2 (http://docs.oasisopen.org/kmip/spec/v1.2/kmip-spec-v1.2.html)
- Key Management Interoperability Protocol Specification Version 1.3 (http://docs.oasisopen.org/kmip/spec/v1.3/kmip-spec-v1.3.html)
- Key Management Interoperability Protocol Specification Version 1.4 (http://docs.oasisopen.org/kmip/spec/v1.4/kmip-spec-v1.4.html)

Note that the supported functions and operations are at the 1.1 level.

This chapter covers the following topics:

- Using KMIP in Oracle Solaris
- KMIP and the Oracle Key Vault
- Benefits for Oracle Solaris Clients Using KMIP

Using KMIP in Oracle Solaris

The new pkcs11_kmip provider in the Cryptographic Framework enables PKCS #11 applications to function as KMIP clients and communicate to KMIP-compliant servers. You use the kmipcfg command to initialize and manage states of the pkcs11 kmip provider.

The pkcs11_kmip provider connects PKCS #11 applications to KMIP-compliant servers. In Oracle Solaris, each KMIP *server group* is implemented as a PKCS #11 token plugged into a PKCS #11 slot. The kmipcfg command is used to configure the KMIP server groups. The pktool command can be used to review the state of these tokens from the PKCS #11 perspective.

To set up KMIP communications for clients in Oracle Solaris, administrators perform the following steps:

1. Install the pkcs11_kmip package.

\$ pkg install pkcs11_kmip

This package loads the software provider into the Cryptographic Framework.

2. Create and configure a KMIP server group with the kmipcfg command.

See configuration examples in the pkcs11_kmip(7) man page and Using kmipcfg to Manage the pkcs11_kmip Provider.



What pkcs11_kmip Supports

The pkcs11_kmip provider supports a specific set of PKCS #11 interfaces that are useful during KMIP communications, including interfaces such as C_login, C_OpenSession, and C_CreateObject. To review the full list of supported interfaces, see the pkcs11_kmip(7) man page.

In this Oracle Solaris release, the pkcs11_kmip provider supports only symmetric keys with AES algorithms and encryption and decryption operations. The following mechanisms are supported:

- CKM AES KEY GEN
- CKM_AES_CBC_PAD
- CKM AES CBC

For further information, see the pkcs11_kmip(7) man page.

Creating and Configuring a KMIP Server Group

The kmipcfg command enables you to initialize and manage states of the PKCS#11 KMIP provider by using the Solaris Cryptographic Framework (SCF).

Note:

The kmipcfg command does not verify that the configuration is valid or guarantee that libkmip can connect to the server.

Example 5-1 Using kmipcfg to Manage the pkcs11_kmip Provider

The following example shows one way to use the kmipcfg command. For more examples, see the kmipcfg(8) man page.

This kmipcfg create command creates a server group, cluster1, with three KMIPcompliant servers. The three servers have the following host names:

- server1.example.com
- server2.example.com
- server3.example.com

```
# kmipcfg create \
-o server_list=server1.example.com,server2.example.com,server3.example.com \
-o client_p12=cluster1_cred.p12 \
-o failover_limit=3 cluster1
```

Note the following:

- Each -o option specifies one property in the server group configuration. See the kmipcfg(8) man page for a full list of configuration properties.
- KMIP currently supports versions 1.1, 1.2, 1.3, and 1.4. By default, the KMIP library selects the best version match based on the server version, though you can specify the version you want to use for each server group.



- Since the port numbers for the servers in this example are not specified, the default port 5696 will be used.
- In this example, the credentials that authenticate and secure the communication are provided in the cluster1_cred.p12 PKCS #12 bundle. For more information about managing certificates, see the pktool(1) man page.
- In this example, if one server in the group fails, the connection will fail over to the next server defined in the server_list property. The failover_limit property specifies that up to three failovers will be possible.
- This example is non-interactive. For an interactive example, see the kmipcfg(8) man page.

After you create at least one server group, use the kmipcfg list command to view configured parameters for the server groups, as in:

```
# kmipcfg list
Server group: cluster1
State: enabled
Hosts: server1.example.com:5696
    server2.example.com:5696
Required version: auto
Connection timeout: 5
Cache object time to live: 300
Encoding: TTLV
Failover limit: 3
Client keystore: /var/user/testuser/kmip/cluster1
Client PKCS#12 bundle: cluster1_cred.p12
Secondary authentication type: none
```

kmipcfg info Command

The kmipcfg info command enables you to obtain information about the server such as the protocol versions and available functionality. See the kmipcfg(8) man page.

The kmipcfg info command connects to the specified server group and lists the server's supported KMIP versions and their capabilities. Note that this information might include capabilities that are not supported by the Oracle Solaris client (KMIP library).

Example 5-2 Obtaining Information About a KMIP Server

The following example shows how the kmipcfg info command outputs information about the kmip vbox server group:

```
# kmipcfg info kmip_vbox
Enter PIN for kmip_vbox: PIN
Server group:
    kmip_vbox
Supported versions:
    1.4, 1.3, 1.2, 1.1, 1.0
Server info:
    Gemalto, Inc.
Operations:
    Create, Create Keypair, Register, Locate, Get, Get Attributes,
    Get Attribute List, Add Attribute, Modify Attribute,
    Delete Attribute, Activate, Revoke, Destroy, Query, Rekey,
    Rekey Keypair, Check, Discover Versions
Object types:
    Symmetric Key, Public Key, Private Key, Secret Data, Opaque
```



KMIP and the Oracle Key Vault

KMIP version 1.1, enables KMIP clients to communicate with KMIP-compliant servers such as the Oracle Key Vault. To communicate with the Oracle Key Vault, you must first integrate the Oracle Solaris KMIP client with the Oracle Key Vault. In the terminology of the Oracle Key Vault, the Oracle Solaris system must be set up as an Oracle Key Vault *endpoint*.

For instructions, see About Endpoint Enrollment and Provisioning in *Oracle Key Vault Administrator's Guide* and Endpoints That Do Not Use the Oracle Key Vault Client Software in *Oracle Key Vault Administrator's Guide*.

Benefits for Oracle Solaris Clients Using KMIP

In Oracle Solaris, KMIP client support provides the following advantages:

• KMIP is an industry protocol. KMIP support enables clients to communicate to any server that is KMIP-compliant. In Oracle Solaris, you can use your PKCS #11 applications as KMIP clients. By connecting these applications to KMIP-compliant servers, you reduce the costs and complexity of key management.

Note:

See What pkcs11_kmip Supports for information about the specific PKCS #11 interfaces and mechanisms that are supported in this release.

- With KMIP server groups, you can ensure that a failed connection to a KMIP server will be passed on and completed by one of the backup servers in that group.
- With multiple server groups, your KMIP clients can open and run multiple KMIP sessions simultaneously. You can access keys from different KMIP-compliant servers on multiple hosts at the same time.

6 Cryptographic Services Glossary

These glossary entries cover words that can be ambiguous because they are used differently in different parts of the operating system, or have meanings in Oracle Solaris that are distinct from other operating systems.

consumer

In the Cryptographic Framework feature of Oracle Solaris, a consumer is a user of the cryptographic services that come from providers. Consumers can be applications, end users, or kernel operations. Kerberos, IKE, and IPsec are examples of consumers. For examples of providers, see provider.

cryptographic primitive

See primitive.

hardware provider

In the Cryptographic Framework feature of Oracle Solaris, a device driver and its hardware accelerator. Hardware providers offload expensive cryptographic operations from the computer system, thus freeing CPU resources for other uses. See also provider.

MAC

1. A message authentication code (MAC).

2. Also called labeling. In government security terminology, MAC is Mandatory Access Control. Labels such as Top Secret and Confidential are examples of MAC. MAC contrasts with DAC, which is Discretionary Access Control. UNIX permissions are an example of DAC.

3. In hardware, the unique system address on a LAN. If the system is on an Ethernet, the MAC is the Ethernet address.

mechanism

1. A software package that specifies cryptographic techniques to achieve data authentication or confidentiality. Examples: Kerberos V5, Diffie-Hellman public key.

2. In the Cryptographic Framework feature of Oracle Solaris, an implementation of an algorithm for a particular purpose. For example, a DES mechanism that is applied to authentication, such as CKM_DES_MAC, is a separate mechanism from a DES mechanism that is applied to encryption, CKM_DES_CBC_PAD.



password policy

The encryption algorithms that can be used to generate passwords. Can also refer to more general issues around passwords, such as how often the passwords must be changed, how many password attempts are permitted, and other security considerations. Security policy requires passwords. Password policy might require passwords to be encrypted with the AES algorithm, and might make further requirements related to password strength.

policy

Generally, a plan or course of action that influences or determines decisions and actions. For computer systems, policy typically means security policy. Your site's security policy is the set of rules that define the sensitivity of the information that is being processed and the measures that are used to protect the information from unauthorized access. For example, security policy might require that systems be audited, that devices must be allocated for use, and that passwords be changed every six weeks.

For the implementation of policy in specific areas of the Oracle Solaris OS, see policy in the Cryptographic Framework and password policy.

policy in the Cryptographic Framework

In the Cryptographic Framework feature of Oracle Solaris, policy is the disabling of existing cryptographic mechanisms. The mechanisms then cannot be used. Policy in the Cryptographic Framework might prevent the use of a particular mechanism, such as CKM DES CBC, from a provider, such as DES.

policy for public key technologies

In the Key Management Framework (KMF), policy is the management of certificate usage. The KMF policy database can put constraints on the use of the keys and certificates that are managed by the KMF library.

primitive

A well-established, low-level algorithm that functions as a basic building block in security systems. Primitives are designed to perform single tasks in a highly reliable fashion.

provider

In the Cryptographic Framework feature of Oracle Solaris, a cryptographic service that is provided to consumers. PKCS #11 libraries, kernel cryptographic modules, and hardware accelerators are examples of providers. Providers plug in to the framework, so are also called *plugins*. For examples of consumers, see consumer.



rights

An alternative to the all-or-nothing superuser model. User rights management and process rights management enable an organization to divide up superuser's privileges and assign them to users or roles. Rights in Oracle Solaris are implemented as kernel privileges, authorizations, and the ability to run a process as a specific UID or GID. Rights can be collected in a rights profile.

rights profile

Also referred to as a profile. A collection of security overrides that can be assigned to a role or user. A rights profile can include authorizations, privileges, commands with security attributes, and other rights profiles that are called supplementary profiles.

security mechanism

See mechanism.

security policy

See policy.

software provider

In the Cryptographic Framework feature of Oracle Solaris, a kernel software module or a PKCS #11 library that provides cryptographic services. See also provider.

superuser model

The typical UNIX model of security on a computer system. In the superuser model, an administrator has all-or-nothing control of the system. Typically, to administer the system, a user becomes superuser (root) and can do all administrative activities.

swrand

Entropy provider in kernel. Both kernel and userland have a NIST approved DRBG (Deterministic Random Bit Generator). See NIST Special Publication 800-90A.



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