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<td>I.3.3</td>
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Index
Preface

Oracle Enterprise Manager Cloud Control Upgrade Guide describes how you can upgrade the following to Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3):

- Enterprise Manager 10g Grid Control Release 5 (10.2.0.5)
- Enterprise Manager 11g Grid Control Release 1 (11.1.0.1)
- Enterprise Manager Cloud Control 12c Release 1 (12.1.0.1) [with Bundle Patch 1]
- Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2)

Audience

Oracle Enterprise Manager Cloud Control Upgrade Guide is meant for system administrators who want to upgrade an existing 10g Release 5 (10.2.0.5), 11g Release 1 (11.1.0.1), 12c Release 1 (12.1.0.1) [with Bundle Patch 1], or 12c Release 2 (12.1.0.2) Enterprise Manager system.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

Related Documents

For more information, see the following books in the Enterprise Manager Cloud Control documentation library:

- Oracle Enterprise Manager Cloud Control Basic Installation Guide
- Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide

For the latest releases of these and other Oracle documentation, check the Oracle Technology Network at the following URL:

Enterprise Manager also provides extensive online Help. Click Help at the top-right corner of any Cloud Control page to display the online help window.

**Conventions**

The following text conventions are used in this document:

<table>
<thead>
<tr>
<th>Convention</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>boldface</strong></td>
<td>Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.</td>
</tr>
<tr>
<td><em>italic</em></td>
<td>Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.</td>
</tr>
<tr>
<td><strong>monospace</strong></td>
<td>Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.</td>
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</table>
Part I
Introduction

This part introduces you to the upgrade approaches offered by Oracle for upgrading your Enterprise Manager system, and describes some key facts you must know before you upgrade your Enterprise Manager system.

In particular, this part covers the following:

- Chapter 2, "Overview of the Upgrade Approaches"
- Chapter 3, "Things to Know"
Table 1–1 provides links to published documents on Oracle Technology Network (OTN) for various *What’s New* topics related to Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). Review the information available in these URLs, and understand why you must upgrade to 12c Release 3 (12.1.0.3).

<table>
<thead>
<tr>
<th>What’s New Topic</th>
<th>Reference URL</th>
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<tbody>
<tr>
<td>What’s New in 12c Release 3 (12.1.0.3)?</td>
<td>Oracle Enterprise Manager Cloud Control Introduction Guide</td>
</tr>
<tr>
<td></td>
<td><a href="http://docs.oracle.com/cd/E24628_01/doc.121/e25353/toc.htm">http://docs.oracle.com/cd/E24628_01/doc.121/e25353/toc.htm</a></td>
</tr>
<tr>
<td>What’s New in 12c Release 3 (12.1.0.3) Installation Process, Agent Deployment Process, and Upgrade Process?</td>
<td>Published Documents on Oracle Technology Network</td>
</tr>
<tr>
<td>What Are the Key Known Issues in 12c Release 3 (12.1.0.3)?</td>
<td>Oracle Enterprise Manager Cloud Control Release Notes</td>
</tr>
<tr>
<td></td>
<td><a href="http://docs.oracle.com/cd/E24628_01/nav/relnotes.htm">http://docs.oracle.com/cd/E24628_01/nav/relnotes.htm</a></td>
</tr>
<tr>
<td>What Bugs Have Been Fixed in 12c Release 3 (12.1.0.3)?</td>
<td>For a list of bugs fixed in 12c Release 3 (12.1.0.3), raise a Service Request with Oracle Support.</td>
</tr>
</tbody>
</table>
Overview of the Upgrade Approaches

Enterprise Manager has had many releases in the past, but Enterprise Manager Cloud Control is the latest release that has significant changes over all its earlier releases. Unlike the earlier releases that were called Enterprise Manager Grid Control, this release is called Enterprise Manager Cloud Control.

Enterprise Manager Cloud Control offers a variety of new features and enhancements, including improved user interface, stability, reliability, and performance. In addition, Enterprise Manager Cloud Control offers seamless access to My Oracle Support from within its console for managing service requests, deploying patches, and reviewing knowledge base articles.

This chapter describes how you can upgrade your existing, earlier releases of Enterprise Manager Grid Control or Enterprise Manager Cloud Control to 12c Release 3 (12.1.0.3). Oracle understands that with the option of deploying Enterprise Manager across the enterprise and in any number of permutations, upgrading your entire environment becomes a very complex activity, particularly when it involves updating of software and configurations in different levels (tiers) located in different hosts. In addition, there are challenges of upgrading the environment with near-zero downtime or minimal monitoring loss.

Considering these challenges, Oracle provides upgrade options that offer the flexibility to select the approach that best suits your requirement. The upgrade options also aim at simplifying the entire upgrade operation with the intent of making it seamless and error-free.

This chapter provides an overview of the different upgrade approaches that are offered, the utilities to be used for each approach, and the overall process to be followed for each approach for upgrading your existing Enterprise Manager system. In particular, this chapter covers the following:

- Upgrading 12c Release 1 (12.1.0.1) [with Bundle Patch 1], 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3)
- Upgrading 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3)

### 2.1 Upgrading 12c Release 1 (12.1.0.1) [with Bundle Patch 1], 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3)

This section provides an overview of the upgrade approach offered for upgrading Enterprise Manager Cloud Control 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3), and describes the utilities to be used and the overall process to be followed for this upgrade approach.
In particular, this section covers the following:

- Overview of the Upgrade Approach
- Overview of the Upgrade Utilities
- Overview of the Upgrade Process

**Note:** You cannot upgrade 12c Release 1 (12.1.0.1) without Bundle Patch 1 to 12c Release 3 (12.1.0.3) directly. To upgrade such a release, follow these steps:

1. Patch the OMS with Bundle Patch 1.
2. Upgrade the OMS plug-ins to Bundle Patch 1 plug-in version.
3. Upgrade the Management Agent plug-ins to Bundle Patch 1 plug-in version.
4. Upgrade the OMS patched with Bundle Patch 1 to 12c Release 3 (12.1.0.3).

For Step (1) to Step (3), follow the instructions outlined in *Oracle Enterprise Manager Bundle Patch 1 Application Guide*. For Step (4), follow the instructions outlined in Part II.

**Note:** For information on the releases Enterprise Manager Cloud Control has had so far, refer to *Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide*.

**Note:** For instructions to upgrade from 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3), see Part II.

### 2.1.1 Overview of the Upgrade Approach

Oracle offers only 1-system upgrade approach for upgrading 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3). 2-system upgrade approach is NOT supported.

**Note:** You cannot upgrade 12c Release 1 (12.1.0.1) [without Bundle Patch 1] to 12c Release 3 (12.1.0.3). You must first patch it with Bundle Patch 1, and then upgrade it to 12c Release 3 (12.1.0.3).

The 1-system upgrade approach upgrades your Enterprise Manager Cloud Control on the same host—upgrades Oracle Management Service (OMS) on the same host and Oracle Management Repository (Management Repository) in the existing database. Since the upgrade happens on the same host, there is a reasonable downtime involved.

In this upgrade approach, the Enterprise Manager system may be either a single instance or multi-OMS implementation (required by larger enterprises). In either case, the new OMS will be upgrading the original OMS on the same host, but only one system, the new or the original, can be active at any point. To learn about upgrading a multi-OMS environment, see Section 5.5.
Table 2–1 describes the compatibility between OMS and Management Agents across 12c releases.

<table>
<thead>
<tr>
<th>Oracle Management Service 12c Release 1 (12.1.0.1)</th>
<th>Oracle Management Agent 12c Release 1 (12.1.0.1)</th>
<th>Oracle Management Agent 12c Release 2 (12.1.0.2)</th>
<th>Oracle Management Agent 12c Release 3 (12.1.0.3)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Oracle Management Service 12c Release 1</strong> (12.1.0.1)</td>
<td>Yes (includes Management Agents with and without Bundle Patch 1)</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td><strong>Oracle Management Service 12c Release 2</strong> (12.1.0.2)</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td><strong>Oracle Management Service 12c Release 3</strong> (12.1.0.3)</td>
<td>Yes (Only Management Agents released in January 2012 [with Bundle Patch 1])</td>
<td>Yes</td>
<td>Yes</td>
</tr>
</tbody>
</table>

2.1.2 Overview of the Upgrade Utilities

This section describes the utilities to be used for upgrading 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3). In particular, this section describes the following:

- Installation Wizard
- Agent Upgrade Console

2.1.2.1 Installation Wizard

The Enterprise Manager Cloud Control Installation Wizard is the primary user interface that enables you to select the 1-system upgrade approach, and upgrade your existing OMS and Management Repository.

The following diagram clearly illustrates the features it offers.

Note: The installer does NOT upgrade your existing Management Agents. After upgrading the OMS, you must upgrade the Management Agent separately using the Agent Upgrade Console. Agent Upgrade Console is a GUI-rich console that you see in the Enterprise Manager Cloud Control Console after you upgrade the OMS. For more information, refer to Section 2.1.2.2.
2.1.2.2 Agent Upgrade Console

The Agent Upgrade Console is a graphical user interface built into Enterprise Manager Cloud Control 12c. The console built into Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) enables you to upgrade one or more Oracle Management Agents of the following releases:

- 12c Release 1 (12.1.0.1) [only with Bundle Patch 1]
- 12c Release 2 (12.1.0.2)

**Note:** The Agent Upgrade Console was available with Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2). However, the console available with Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) upgrades only the Management Agent releases mentioned in this section.

If you have any Oracle Management Agents 12c Release 1 (12.1.0.1) without Bundle Patch 1 applied, then first patch them with Bundle Patch 1, and then use the Agent Upgrade Console to upgrade them to 12c Release 3 (12.1.0.3).

**Note:** All OMS instances must be upgraded in a multi-OMS environment, before you can use the Agent Upgrade Console to upgrade your Management Agents.
2.1.3 Overview of the Upgrade Process

The following illustration describes the high-level flow or sequence of steps you must perform when you choose to upgrade 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3) using the 1-system upgrade approach.
Note: Oracle Management Service 12c communicates only with Oracle Management Agent 12c (12.1.0.3, 12.1.0.2, and 12.1.0.1 with Bundle Patch 1 applied). Therefore, unlike the earlier releases, you must first upgrade the OMS and the Management Repository, and then upgrade the Management Agents using the Agent Upgrade Console. Agent Upgrade Console is a GUI-rich console that you see in the Enterprise Manager Cloud Control Console after you upgrade the OMS. For more information, refer to Section 2.1.2.2.

When you upgrade the OMS and the Management Repository using the installer, the installer does the following by default:

- Installs the following components in the Middleware home location you enter in the installer:
  - Java Development Kit (JDK) 1.6.0.43.0 and Oracle WebLogic Server 11g Release 1 (10.3.6) if they are not already available in the Middleware home you specify.
  - Oracle Management Service 12c Release 3 (12.1.0.3).
  - Oracle JRF 11g Release (11.1.1.6.0), which includes oracle_common directory.
  - Oracle Web Tier 11g Release (11.1.1.6.0), which includes Oracle_WT directory.
- Upgrades or carries over the already deployed plug-ins:
  * Upgrades the deployed plug-ins if newer versions are available in the Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) software.
  * Carries over the deployed plug-ins without upgrading them in the following cases:
    (a) If newer versions are not available in the Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) software.
    (b) If the deployed plug-ins are already of the higher or same version as the ones in the Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) software.
- Creates a new Oracle WebLogic domain and Node Manager with the same Administration Server configuration details (same Administration Server, same port, and so on).
- Creates an Oracle Management Service Instance Base directory (gc_inst) for storing all configuration details related to Oracle Management Service 12c, outside the middleware home.

For example, if the Middleware home is /u01/app/Oracle/Middleware/, then the instance base location is /u01/app/Oracle/gc_inst.

- Upgrades the Management Repository in the existing certified database.
- Runs the following configuration assistants to configure the installed or upgraded components:
  - Stopping APM Engines Configuration
  - Stop Admin Server Configuration
  - Plugins Prerequisites Check
  - Repository Upgrade
2.2 Upgrading 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3)

This section provides an overview of the upgrade approaches, clearly differentiates the three upgrade approaches, and describes the utilities to be used and the overall process to be followed for upgrading 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3).

In particular, this section covers the following:

- Overview of the Upgrade Approaches
- Differences Between the Upgrade Approaches
- Overview of the Upgrade Utilities
- Overview of the Upgrade Processes

---

**Note:** For instructions to upgrade from 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3), see Part III.

### 2.2.1 Overview of the Upgrade Approaches

Oracle offers the following upgrade approaches for upgrading 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3):

- **1-System Upgrade Approach:** This approach enables you to upgrade to Enterprise Manager Cloud Control on the same host where your earlier release of Enterprise Manager is running. This approach also upgrades the Oracle Management Repository (Management Repository) in the existing database. Since the upgrade happens on the same host, there is a reasonable downtime involved.

  This approach does not refer to upgrading of an Enterprise Manager system in an environment with one Oracle Management Service (OMS). It refers to upgrading of an Enterprise Manager system on the same host as the old one, and having only one Enterprise Manager system existing at any point. To learn about upgrading a multi-OMS environment, see Section 11.6.

- **2-System Upgrade Approach:** This approach enables you to install Enterprise Manager Cloud Control on a host that is different from the host where your existing Enterprise Manager system is running.

  This approach does not upgrade the Management Repository in the existing database, but upgrades the one in the backed up database, thus enabling two
Enterprise Manager systems to exist. Since a new Enterprise Manager system coexists with the old one, there is no or near zero downtime involved.

- **1-System Upgrade Approach on a Different Host**: This approach enables you to install Enterprise Manager Cloud Control on a host different from where the existing Enterprise Manager is running.

  This approach is similar to the 2-System upgrade approach, but unlike the 2-System upgrade approach, this approach upgrades the Management Repository in the existing database itself. Since only one Enterprise Manager system exists at any point, there is a reasonable downtime involved.

### 2.2.2 Differences Between the Upgrade Approaches

Table 2–2 lists the differences between the three upgrade approaches that are offered for upgrading 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3). Understand the differences and select the approach that best suits your requirement.

<table>
<thead>
<tr>
<th>1-System Upgrade Approach</th>
<th>2-System Upgrade Approach</th>
<th>1-System Upgrade Approach on Different Host</th>
</tr>
</thead>
<tbody>
<tr>
<td>Involves predeployment and switch over of Management Agents prior to upgrading the OMS and the Management Repository, unlike the traditional approach that focussed on upgrading only the OMS and the Management Repository.</td>
<td>Resembles fresh installation.</td>
<td>Has the same impact as 1-system upgrade approach, but with a different host for Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3).</td>
</tr>
<tr>
<td>Requires a reasonable downtime. (Essentially, the downtime lasts from the time you start switching over the Management Agents till the time you upgrade the OMS.)</td>
<td>Requires minimum or zero downtime.</td>
<td>Requires a reasonable downtime. (Essentially, the downtime lasts from the time you start switching over the Management Agents till the time you install the OMS.)</td>
</tr>
<tr>
<td>Upgrades to Enterprise Manager Cloud Control on the same host where your earlier release of Enterprise Manager is running.</td>
<td>Installs Enterprise Manager Cloud Control on a host that is different from the host where your existing Enterprise Manager system is running.</td>
<td>Installs Enterprise Manager Cloud Control on a host different from where the existing Enterprise Manager is running, but upgrades the Management Repository in the existing database itself.</td>
</tr>
<tr>
<td>Does not require an additional hardware resource because it upgrades on the same host.</td>
<td>Requires an additional hardware resource because it installs on a different host.</td>
<td>Requires an additional hardware resource because it installs on a different host.</td>
</tr>
<tr>
<td>Existing Enterprise Manager system must be shut down so that the configuration information can be copied and carried over to the upgraded Enterprise Manager system.</td>
<td>Existing Enterprise Manager system can continue to run until you switch over all your earlier releases of Management Agents to the newly upgraded environment.</td>
<td>Existing Enterprise Manager system must be shut down so that the configuration information can be copied and carried over to the new system.</td>
</tr>
</tbody>
</table>
Upgrading 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3)

Overview of the Upgrade Approaches 2-9

Management Agents must be deployed and configured before upgrading the OMS and the Management Repository.

Management Agents can be deployed and configured before or after upgrading the OMS and the Management Repository.

Management Agents must be deployed and configured in an incremental or phased manner, that is, in groups.

Management Agents can be deployed and configured in an incremental or phased manner, that is, in groups.

Management Agents must be switched over before upgrading the OMS and the Management Repository.

Management Agents must be switched over after upgrading the OMS and the Management Repository.

Upgrades the Management Repository in the existing, certified database.

Upgrades the Management Repository in the backed up database. Therefore, as a prerequisite, you are required to back up your existing Oracle Database.

Upgrades the Management Repository in the existing, certified database.

Requires no changes to the ports and firewall settings. The upgraded Management Agents and the OMS use the same ports used earlier.

Requires some changes to the ports and firewall settings. The upgraded Management Agents use the same ports used earlier. However, the Management Agent and the OMS installed on the remote host might use new ports.

In a multi-OMS environment, if you have a Server Load Balancer (SLB), then you can either open up new ports for the new Management Agent and the OMS in the same SLB, or configure a completely new SLB for them.

For more information, see Section 3.5.

Requires some changes to the ports and firewall settings. The upgraded Management Agents use the same ports used earlier. However, the Management Agent and the OMS installed on the remote host might use new ports.

In a multi-OMS environment, if you have a Server Load Balancer (SLB), then you can either open up new ports for the new Management Agent and the OMS in the same SLB, or configure a completely new SLB for them.

For more information, see Section 3.5.

All deployment procedures must be finished before the upgrade is started. Otherwise, the scheduled procedures will be canceled, and you will have to re-create them.

All deployment procedures must be finished before the upgrade is started. Otherwise, the scheduled procedures will be canceled, and you will have to re-create them.

All deployment procedures must be finished before the upgrade is started. Otherwise, the scheduled procedures will be canceled, and you will have to re-create them.

Running jobs will be aborted at the start of the downtime.

Running jobs will continue to run on the existing Enterprise Manager system after the start of the backup. In Enterprise Manager Cloud Control, these jobs will appear to be aborted or failed.

Running jobs will be aborted at the start of the downtime.
2.2.3 Overview of the Upgrade Utilities

This section describes the utilities to be used for upgrading 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3). These utilities enable you to select one of the upgrade approaches, orchestrate the entire upgrade operation seamlessly, and also track the post-upgrade activities.

In particular, this section describes the following:

- Preupgrade Console
- Installation Wizard
- Postupgrade Console

2.2.3.1 Preupgrade Console

The Preupgrade Console acts as the primary user interface and is the starting point for upgrading your 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3).

The Preupgrade Console enables you to select an appropriate upgrade approach, predeploy the Oracle Management Agent 12c software, and switch over the earlier releases of Management Agents to the newly predeployed Management Agents.

### Table 2–2 (Cont.) Differences Between the Upgrade Approaches

<table>
<thead>
<tr>
<th>1-System Upgrade Approach</th>
<th>2-System Upgrade Approach</th>
<th>1-System Upgrade Approach on Different Host</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scheduled jobs will run after the downtime is over if their grace period allows them. Otherwise, they will be skipped.</td>
<td>Scheduled jobs will run on the existing Enterprise Manager system from the time of the backup until the point where the Management Agent for that target is migrated to Enterprise Manager Cloud Control. Once the Management Agent is migrated, the jobs will run in Enterprise Manager Cloud Control.</td>
<td>Scheduled jobs will run after the downtime is over if their grace period allows them. Otherwise, they will be skipped.</td>
</tr>
<tr>
<td>Repeating jobs will run at their next scheduled time after the downtime. If repeating jobs fall within the downtime, they may be skipped.</td>
<td>Repeating jobs will continue to run according to their schedule in the existing Enterprise Manager system. Once the Management Agent is migrated, subsequent runs will be in Enterprise Manager Cloud Control.</td>
<td>Repeating jobs will run at their next scheduled time after the downtime. If repeating jobs fall within the downtime, they may be skipped.</td>
</tr>
</tbody>
</table>

**Note:** Jobs run either in the existing Enterprise Manager system or in Enterprise Manager Cloud Control, and not on both systems. The true status of a job must be viewed only on the system where it actually ran. For more information, see Appendix D. Also, job executions with multiple targets will not run on either system unless all Management Agents for all targets are migrated at the same time.
To access the Preupgrade Console, do the following:

- (Optional) Apply the latest PSU patches on your OMS as described in the My Oracle Support note 822485.1. To access My Oracle Support, access the following URL:

  https://support.oracle.com/

  **Note:** For 10g Release 5 (10.2.0.5), make sure you have at least PSU 3 (9282397) or later applied. For 11g Release 1 (11.1.0.1), make sure you have at least PSU 1 (10065631) or later applied.

- (Mandatory) Apply the preupgrade console patch on all your OMS instances. For information about the patch you need to download and apply for your platform, access the following URL:


  After downloading the patch, follow the instructions outlined in the ReadMe, which is provided with the patch. Ensure that you use the latest release of OPatch to apply the patch.

  After applying the patch, log in to Enterprise Manager Grid Control with super administrator privileges, click the **Deployments** tab, then click **Enterprise Manager 12c Upgrade Console**.
IMPORTANT:

- You must apply the preupgrade console patch on all the OMS instances.

- You will have to shut down your OMS to apply the patch, and as a result, your Enterprise Manager system will be down until you complete the patching operation. In addition, you must apply the patch on all your OMS instances, and therefore, the downtime can be more.

- Despite applying the patch, in the Enterprise Manager Grid Control console, in the Deployments tab, if you do not see the hyperlink Enterprise Manager 12c Upgrade Console, then do the following:

  1. Move the jspServlet directory out of the following location:

     $<OMS_INSTANCE_BASE>/user_user_projects/domains/GCDomain/generated_classes

     Here, <OMS_INSTANCE_BASE> is the OMS Instance Base Directory, which is gc_inst by default, outside the middleware home. For example, if the middleware home is /u01/app/Oracle/Middleware/, then the instance base location is /u01/app/Oracle/gc_inst.

  2. Stop the OMS from the OMS home:

     $<OMS_HOME>/bin/emctl stop oms

  3. Restart the OMS from the OMS home:

     $<OMS_HOME>/bin/emctl start oms

The following diagram clearly illustrates the features it offers.
2.2.3.2 Installation Wizard

The Enterprise Manager Cloud Control Installation Wizard is the primary user interface that enables you to select the type of upgrade approach you want to perform, and upgrade your existing OMS and Management Repository.

The following diagram clearly illustrates the features it offers.

Note: The supported earlier releases for upgrade include: Oracle Management Agent 10g Release 2 (10.2.x.x.x), Oracle Management Agent 11g Release 1 (11.1.0.1), Oracle Management Service 10g Release 5 (10.2.0.5), and Oracle Management Service 11g Release 1 (11.1.0.1).
2.2.3.3 Postupgrade Console

The Postupgrade Console is the primary user interface for tracking all post-upgrade activities, including accrued data migration jobs and deferred data migration jobs. In addition, you can generate diff reports and view a list of targets that are currently inactive in the upgraded Enterprise Manager system.

The following diagram clearly illustrates the features it offers.

---

**Note:** For more information about these jobs, refer to Section 12.6, Section 12.7, Section 12.8, Section 12.9, and Section 12.10.
2.2.4 Overview of the Upgrade Processes

This section describes the high-level flow or sequence of steps to be followed for each of the upgrade approaches. In particular, this section describes the following:

- 1-System Upgrade Process
- 2-System Upgrade Process
- 1-System Upgrade Process on a Different Host

2.2.4.1 1-System Upgrade Process

The following illustration describes the high-level flow or sequence of steps you must perform when you choose to upgrade using the 1-system upgrade approach:

Using the Preupgrade Console, you can deploy the Oracle Management Agent 12c software and switch over the old Management Agents to the newly deployed Management Agents. You will notice that Deploy and Switch Over are two different operations, although they deal with installing and upgrading Management Agents.

**Note:** As a best practice, Oracle recommends you to complete the Deploy operation well before you start the Switch Over operation.

While the Deploy operation involves copying of software binaries of the Management Agent and configuring them on the hosts, the Switch Over operation involves stopping the old Management Agents and starting the new Management Agents to work with Enterprise Manager Cloud Control.

The two operations are separated and treated as different entities to ensure that your existing Enterprise Manager system is not shut down or disturbed in any way while the software binaries are copied and configured on the hosts. Once the software binaries are copied and configured, you can switch them over with much less time.
because the time taken is only for stopping the old Management Agents and starting
the new Management Agents.

Once you have switched over the Management Agents, you can upgrade the OMS and
the Management Repository.

---

**Note:** As a best practice, Oracle also recommends you to upgrade all the
OMS instances immediately after you complete the *Switch Over*
operation. Note that the downtime in 1-system upgrade approach
essentially lasts from the time you switch over the Management Agent
till the time you upgrade all your OMS instances. So the more you
delay your upgrade operation, the more the downtime. During this
downtime, none of the targets are monitored and no monitoring data
is uploaded to the OMS instances.

---

When you upgrade to Enterprise Manager Cloud Control on the host using the
installer, the installer does the following by default:

- Installs the following components in the Middleware home location you enter in
  the installer:
  - Oracle Management Service 12c.
  - Oracle WebLogic Server 11g Release 1 (10.3.6) and Java Development Kit
    1.6.0.43.0 (JDK).

---

**Note:** Oracle WebLogic Server 11g Release 1 (10.3.6) and JDK
1.6.0.43.0 are installed only if you do not specify the use of existing
installations. Oracle strongly recommends using the 12c installation
process to install the JDK and Oracle WebLogic Server for use with
Enterprise Manager 12c.

If they already exist (supported version or higher version), then the
installer detects them and displays the Middleware home where they
are installed. In this case, you only need to validate the path to this
Middleware home.

- Oracle JRF 11g Release (11.1.1.6.0), which includes the `oracle_common`
directory, and Oracle Web Tier 11g Release (11.1.1.6.0), which includes the
`Oracle_WT` directory.
- Plug-ins:
  * Oracle Database Management Plug-In
  * Oracle Fusion Middleware Management Plug-In
  * Oracle My Oracle Support Management Plug-In
  * Oracle Exadata Management Plug-In
  * Other plug-ins you installed while predeploying Oracle Management
    Agent 12c using the *Preupgrade Console* (that is, if these plug-ins are
    available in the software kit)
- Creates or reuses the Oracle WebLogic domain, the Admin Server, the Node
  Manager, and the Managed Server, depending on the earlier release of the
  Enterprise Manager system from which you are upgrading.
- If you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5), then by default, the following are created:
  * An Oracle WebLogic domain called GCDomain is automatically created during the configuration of Enterprise Manager Cloud Control. For this WebLogic Domain, a default user account, weblogic, is used as the administrative user. You can choose to change this, if you want, in the installer.
  * A Node Manager user account called nodemanager is automatically created during the configuration of Enterprise Manager Cloud Control. A Node Manager enables you to start, shut down, or restart an Oracle WebLogic Server instance remotely, and is recommended for applications with high availability requirements.

- If you are upgrading from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), then a new Oracle WebLogic domain and Node Manager are created with the same Admin Server configuration (same Admin Server, same port, and so on).

  ■ Creates the OMS instance base directory (gc_inst) outside the middleware home, and stores all configuration information related to the OMS.
  
  For example, if the Middleware home is /u01/app/Oracle/Middleware/, then the instance base location is /u01/app/Oracle/gc_inst.
  
  ■ Upgrades the Management Repository in the existing certified database.
  
  ■ For information about the configuration assistants that run to configure the installed or upgraded components, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

---

**Note:** The installer does NOT upgrade your existing Management Agent because it is predeployed by the Preupgrade Console.

---

### 2.2.4.2 2-System Upgrade Process

The following illustration describes the high-level flow or sequence of steps you must perform when you choose to upgrade using the 2-System upgrade approach:
When you install and configure Enterprise Manager Cloud Control on the target host using the installer, the installer does the following by default:

- Installs the following components in the Middleware home location you enter in the installer:
  - Java Development Kit (JDK) 1.6.0.43.0
  - Oracle WebLogic Server 11g Release 1 (10.3.6)
  - Oracle Management Service 12c
  - Oracle JRF 11g Release (11.1.1.6.0), which includes oracle_common directory
  - Oracle Web Tier 11g Release (11.1.1.6.0), which includes Oracle_WT directory
  - Oracle Management Plug-Ins
    * Oracle Database Management Plug-In
    * Oracle Fusion Middleware Management Plug-In
    * Oracle My Oracle Support Management Plug-In
    * Oracle Exadata Management Plug-In
    * Other plug-ins you installed while predeploying Oracle Management Agent 12c using the Preupgrade Console (that is, if these plug-ins are available in the software kit)
Install Oracle Management Agent 12c in the agent base directory you specify (outside the Middleware home).

Creates an Oracle WebLogic domain called GCDomain. For this WebLogic Domain, a default user account, weblogic, is used as the administrative user. You can choose to change this, if you want, in the installer.

Creates a Node Manager user account called nodemanager. A Node Manager enables you to start, shut down, or restart an Oracle WebLogic Server instance remotely, and is recommended for applications with high availability requirements.

Configures an OMS Instance Base location (gc_inst) for storing all configuration details related to Oracle Management Service 12c, outside the middleware home.

For example, if the Middleware home is /u01/app/Oracle/Middleware/, then the instance base location is /u01/app/Oracle/gc_inst.

For information about the configuration assistants that run to configure the installed or upgraded components, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

Secures the OMS by generating a password internally. This password is generated by the OMS Configuration Assistant, and the password expires in 30 days from the time it is generated.

If the OMS Configuration Assistant or the Plugins Deployment and Configuration Assistant fails, then ensure that you resolve the issue within the 30-day period. Otherwise, you will face an error in securing the Management Agent while running the Agent Configuration Assistant.

If you are unable to resolve the issue within the 30-day period, run the following command from the Management Agent home:

```
$<AGENT_HOME>/sysman/install/agentDeploy.sh OMS_HOST=<oms_host_name>
EM_UPLOAD_PORT=<oms_upload_https_port> AGENT_REGISTRATION_PASSWORD=<agent_reg_password>
```

### 2.2.4.3 1-System Upgrade Process on a Different Host

The following illustration describes the high-level flow or sequence of steps you must perform when you choose to upgrade using the 1-system upgrade approach on a different host:

---

**Note:** Oracle WebLogic Server 11g Release 1 (10.3.6) and JDK 1.6.0.43.0 are installed only if you do not specify the use of existing installations. Oracle strongly recommends using the 12c installation process to install the JDK and Oracle WebLogic Server for use with Enterprise Manager 12c.

If they already exist (supported version or higher version), then the installer detects them and displays the Middleware home where they are installed. In this case, you only need to validate the path to this Middleware home.
As you can see in the illustration, this approach is a combination of 1-system upgrade approach and 2-System upgrade approach. Much like 1-system upgrade approach, you start the upgrade process by predeploying and switching over the Management Agents. Then, like the 2-System upgrade approach, you install Enterprise Manager Cloud Control on a remote host. However, the difference is, you upgrade the same Management Repository that you have been using for the earlier release of the Enterprise Manager, and then decommission the earlier release. This way, only one Enterprise Manager system exists at a given time.

You install the software binaries of Enterprise Manager Cloud Control on the remote host, then upgrade the existing Management Repository, and then, configure the software binaries to complete the installation.

While installing the software binaries, the installer does the following:

- Creates Oracle homes and install the following components in the Middleware home location:
  - Java Development Kit (JDK) 1.6.0.43.0
  - Oracle WebLogic Server 11g Release 1 (10.3.6)
  - Oracle Management Service 12c
  - Oracle JRF 11g Release (11.1.1.6.0), which includes oracle_common directory
  - Oracle Web Tier 11g Release (11.1.1.6.0), which includes Oracle_WT directory
  - Oracle Management Plug-Ins
    * Oracle Database Management Plug-In
    * Oracle Fusion Middleware Management Plug-In
    * Oracle My Oracle Support Management Plug-In
    * Oracle Exadata Management Plug-In
- Installs Oracle Management Agent 12c in the agent base directory you specify (outside the Middleware home).

While configuring the software binaries, the installer does the following:

- Creates an Oracle WebLogic domain called GCDomain. For this WebLogic Domain, a default user account, weblogic, is used as the administrative user. You can choose to change this, if you want, in the installer.

- Creates a Node Manager user account called nodemanager. A Node Manager enables you to start, shut down, or restart an Oracle WebLogic Server instance remotely, and is recommended for applications with high availability requirements.

- Configures an Oracle Management Service Instance Base location (gc_inst) for storing all configuration details related to Oracle Management Service 12c, outside the middleware home.

  For example, if the Middleware home is /u01/app/Oracle/Middleware/, then the instance base location is /u01/app/Oracle/gc_inst.

- For information about the configuration assistants that run to configure the installed or upgraded components, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.
Before you use the upgrade approaches to upgrade to Enterprise Manager Cloud Control, keep these points in mind:

- Supported Upgrade Paths and Supported Upgrade Approaches
- Supported Platforms for Upgrade
- Upgrade Scope
- Modified or Customized Data After Repository Backup That Are Not Migrated Via ADMP
- Reusing the Existing Ports
- Upgrading Plug-Ins
- Using Oracle Software Library
- Using Connectors
- Updating the PDP Configuration File
- Managing Notification, Alerts, Jobs, Deployment Procedure Runs, Metrics, EM CLI Clients, Oracle Exadata Targets
- Redoing Customization on Oracle WebLogic Server
- Redoing Customization on Deployment Procedures
- XML DB Feature in the Database
- Manually Restarting OMS and Management Agent

### 3.1 Supported Upgrade Paths and Supported Upgrade Approaches

Table 3–1 lists the supported upgrade paths, and the upgrade approach supported for each upgrade path.

<table>
<thead>
<tr>
<th>Upgrade From</th>
<th>Upgrade To</th>
<th>Support Upgrade Approach</th>
</tr>
</thead>
<tbody>
<tr>
<td>12c Release 2 Plug-in Update 1 (12.1.0.2)</td>
<td>12c Release 3 (12.1.0.3)</td>
<td>1-System Upgrade</td>
</tr>
<tr>
<td>(12c Release 2 (12.1.0.2) software with plug-ins released in February 2013)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12c Release 2 (12.1.0.2)</td>
<td>12c Release 3 (12.1.0.3)</td>
<td>1-System Upgrade</td>
</tr>
</tbody>
</table>
### Supported Platforms for Upgrade

The following platforms are supported:

- 1-System upgrade, understandably, must always be done on the same platform as the earlier release of the Enterprise Manager system because you are upgrading on the same host.

- 2-System upgrade and 1-System upgrade on a different host are possible on the same platform as the earlier release of the Enterprise Manager system, or any platform that is supported for Enterprise Manager Cloud Control 12c. For

---

<table>
<thead>
<tr>
<th>Upgrade From</th>
<th>Upgrade To</th>
<th>Support Upgrade Approach</th>
</tr>
</thead>
<tbody>
<tr>
<td>12c Release 1 (12.1.0.1) patched with Bundle Patch 1</td>
<td>12c Release 3 (12.1.0.3)</td>
<td>1-System Upgrade</td>
</tr>
<tr>
<td>12c Release 1 (12.1.0.1) containing Bundle Patch 1 (included by default)</td>
<td>12c Release 3 (12.1.0.3)</td>
<td>1-System Upgrade</td>
</tr>
</tbody>
</table>
| 12c Release 1 (12.1.0.1) (base release) | 1. Patch the OMS with Bundle Patch 1.  
  2. Upgrade the OMS plug-ins to Bundle Patch 1 plug-in version.  
  3. Upgrade the Management Agent plug-ins to Bundle Patch 1 plug-in version.  
  4. Upgrade the OMS patched with Bundle Patch 1 to 12c Release 3 (12.1.0.3). | N/A |
| 11g Release 1 (11.1.0.1) | 12c Release 3 (12.1.0.3) | 1-System Upgrade  
  2-System Upgrade  
  1-System Upgrade on a Different Host |
| 10g Release 5 (10.2.0.5) | 12c Release 3 (12.1.0.3) | 1-System Upgrade  
  2-System Upgrade  
  1-System Upgrade on a Different Host |
| 10g Release 4 (10.2.0.4) or lower | First upgrade to 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1), then upgrade to 12c Release 3 (12.1.0.3) | N/A |
| 10g Release 1 (10.1) | First upgrade to 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1), then upgrade to 12c Release 3 (12.1.0.3) | N/A |
information on the certified platforms, see the Enterprise Manager certification matrix as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide.

3.3 Upgrade Scope

The following are some facts about the upgrade approaches:

- You can choose to use either 1-System, 2-System, or 1-System upgrade approach on a different host. However, regardless of the approach you choose, the upgrade operation is always an out-of-place upgrade where you see new Oracle homes of Oracle Management Service (OMS) and Oracle Management Agent (Management Agent).

As a best practice, back up your old and new homes regularly.

- For upgrading an additional OMS, always choose to use only 1-System upgrade approach.

- The upgrade approaches do NOT upgrade your existing database where the Management Repository is configured.

To upgrade such databases, use the database upgrade tool. For more information, on the upgrade tool, see the Oracle Database Upgrade Guide available in the Oracle Database documentation library at:


- Oracle Management Service 12c communicates only with Oracle Management Agent 12c (12.1.0.3, 12.1.0.2, 12.1.0.1 with Bundle Patch 1). Therefore, if you are upgrading 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1), then ensure that you first upgrade your Management Agents and then upgrade your OMS.

However, if you are upgrading 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1) with Bundle Patch 1, then ensure that you first upgrade your OMS and then upgrade your Management Agents.

- You can upgrade any Management Agent on any platform as long as the Oracle Management Agent 12c software for that platform is available.

- The Enterprise Manager Cloud Control Installation Wizard installs Java Development Kit (JDK) 1.6.0.43.0 and Oracle WebLogic Server 11g Release 1 (10.3.6) if they do not exist in your environment.

- If Oracle WebLogic Server 11g Release 1 (10.3.6) does not exist, then Oracle recommends you to allow the installation wizard to install it for you. However, if you want to manually install it, then ensure that you install it using JDK 1.6.0.43.0 (64-bit version for 64-bit platforms and 32-bit version for 32-bit platforms).

  - Download JDK 1.6.0.43.0 for your platform from the platform vendor’s Web site.

    For example, download SUN JDK 1.6.0.43.0 for Linux platforms from the following Oracle Web site URL:


  - If you already have JDK, then verify its version by navigating to the <JDK_Location>/bin directory and running the following command:

    
    "./java -fullversion"

    To verify whether it is a 32-bit or a 64-bit JDK, run the following command:
- JROCKIT is not supported.

- If you want to manually install Oracle WebLogic Server 11g Release 1 (10.3.6) on Linux 64-bit platforms, first install the 64-bit JDK for your platform, and then download and use the wls1036_generic.jar file to install Oracle WebLogic Server.

  For example,

  `<JDK home>/bin/java -d64 -jar <absolute_path_to_wls1036_generic.jar>`

- If you want to manually install Oracle WebLogic Server 11g Release 1 (10.3.6) on Linux 32-bit platforms, then download and use either the wls1036_linux32.bin file or the wls1036_generic.jar file.

  For example,

  `<JDK home>/bin/java -jar <absolute_path_to_wls1036_generic.jar>`

- You must follow the instructions outlined in the Oracle® Fusion Middleware Installation Guide for Oracle WebLogic Server to install Oracle WebLogic Server. The guide is available in the Fusion Middleware documentation library available at:


- You must ensure that the Oracle WebLogic Server installation is a typical installation, and even if you choose to perform a custom installation, ensure that components chosen for custom installation are the same as the ones associated with a typical installation.

- You must ensure that the user installing the WebLogic Server is the same as the one installing Enterprise Manager Cloud Control.

- After installing Oracle WebLogic Server, as a postinstall step, apply patch 14482558 and patch 13349651 on the Oracle WebLogic Server. These patches are required only if you are manually installing the Oracle WebLogic Server. For instructions, see the following URL:

  [http://docs.oracle.com/cd/E14759_01/doc.32/e14143/intro.htm#CHDCAJFC](http://docs.oracle.com/cd/E14759_01/doc.32/e14143/intro.htm#CHDCAJFC)

  For more information on Oracle WebLogic Server downloads and demos, access the following URL:


- You must ensure that the Oracle WebLogic Server 11g Release 1 (10.3.6) installed by the Enterprise Manager Cloud Control Installation Wizard or by you is dedicated for Enterprise Manager Cloud Control. You must not have any other Oracle Fusion Middleware product installed in that Middleware home.

  Enterprise Manager Cloud Control cannot coexist with any Oracle Fusion Middleware product in the same Middleware home because the ORACLE_COMMON property is used by both the products.
3.4 Modified or Customized Data After Repository Backup That Are Not Migrated Via ADMP

After upgrading from 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.X) using the 2-system upgrade approach, a post-upgrade activity called Accrued Data Migration is run to migrate all accrued data stored in the old Management Repository to the upgraded Management Repository. The accrued data relates to functional areas such as blackouts, alerts, events, metrics, and so on. For more information on Accrued Data Migration, see Section 12.7.1.

However, this Accrued Data Migration process does not migrate any of the following changes if they were done after the Management Repository was backed up. If you want these changes in the upgraded Enterprise Manager system, you must manually redo these changes after upgrade.

- Security data (new users created, passwords changed)
- Jobs created, deleted, or submitted
- Customized deployment procedures
- Patches
- Targets added or deleted
- Reports created, modified, or deleted
- Software Library configuration changes
- Templates created, modified, or deleted
- User-defined metrics

3.5 Reusing the Existing Ports

When you upgrade your Management Agents, the ports used by the earlier release of the Management Agents are carried over to the upgraded Management Agents. Therefore, your firewall settings are not affected in any way.

When you upgrade your OMS, the following ports are assigned:

- For 2-System upgrade approach, new ports that you accept or specify in the installer are assigned to all the core components.
- For 1-System upgrade approach, the following is the behavior:
  - If you are upgrading 12c Release 1 (12.1.0.1) or 12c Release 2 (12.1.0.2), then the ports used by all the core components of the earlier release are carried over.
  - If you are upgrading 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1), then the ports used by the following core components of the earlier release are carried over. For the rest of the core components, new ports that you accept or specify in the installer are assigned.
    
    * Enterprise Manager Upload HTTP Port
    * Enterprise Manager Upload HTTP SSL Port
    * Enterprise Manager Central Console HTTP Port
    * Enterprise Manager Central Console HTTP SSL Port
    * Oracle Management Agent
For 1-System upgrade approach on a different host, new ports that you pass in a response file while configuring the OMS are assigned to all the core components.

**Note:** For information about the core components, the range within which a port is selected, and the free port that is assigned, see the chapter on installation basics in the *Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide*.

For information about what URLs should be made available through a firewall, when a firewall is configured for the OMS, see *Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide*.

### 3.6 Upgrading Plug-Ins

This section describes the following:

- Upgrading Plug-Ins While Upgrading 12c Release 1 (12.1.0.1) [with Bundle Patch 1], 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3)

- Upgrading Plug-Ins While Upgrading 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3)

#### 3.6.1 Upgrading Plug-Ins While Upgrading 12c Release 1 (12.1.0.1) [with Bundle Patch 1], 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3)

This section describes how plug-ins are upgraded while upgrading Oracle Management Agents and Oracle Management Service of 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3).

In particular, this section describes the following:

- Upgrading Plug-Ins While Upgrading Oracle Management Agent 12c Release 1 (12.1.0.1) [with Bundle Patch 1], 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3)

- Upgrading Plug-Ins While Upgrading Oracle Management Service 12c Release 1 (12.1.0.1) [with Bundle Patch 1], 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3)

#### 3.6.1.1 Upgrading Plug-Ins While Upgrading Oracle Management Agent 12c Release 1 (12.1.0.1) [with Bundle Patch 1], 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3)

While upgrading Oracle Management Agents 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3) using the Agent Upgrade Console, all plug-ins of the earlier release are upgraded by default. No manual effort is required.

#### 3.6.1.2 Upgrading Plug-Ins While Upgrading Oracle Management Service 12c Release 1 (12.1.0.1) [with Bundle Patch 1], 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3)

While upgrading Oracle Management Service 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3) using the Enterprise Manager Cloud Control Installation Wizard, plug-ins are automatically upgraded, migrated, or deployed under the following circumstances:

- Plug-ins are upgraded when newer versions exist
Plug-ins are migrated when newer versions do not exist

Plug-ins are deployed when the plug-ins being upgraded have new dependencies

In addition, the installation wizard provides a Select Plug-ins screen that enables selection of the optional plug-ins you want to deploy in addition to the plug-ins that will automatically be upgraded, migrated, or deployed.

If you want to install plug-ins that are not listed on the Select Plug-ins screen, then follow these steps:

Manually download the plug-ins from the Enterprise Manager download page on Oracle Technology Network (OTN), and store them in an accessible location:


Invoke the installer with the following option, and pass the location where the plug-ins you want to install are available:

For UNIX Platforms:

./runInstaller -pluginLocation <absolute_path_to_plugin_software_location>

For Microsoft Windows Platforms:

setup.exe -pluginLocation <absolute_path_to_plugin_software_location>

### 3.6.2 Upgrading Plug-Ins While Upgrading 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3)

To identify the plug-ins required for upgrade, in the Preupgrade Console, in the Preupgrade Steps section, click **Manage Software**. On the Manage Software page, view the plug-ins that are required for upgrading your system.

The plug-ins listed on the Manage Software page are based on the targets monitored by the old Management Agents in your existing system, and also based on the new plug-in requirement Enterprise Manager Cloud Control has. You need only the plug-ins listed on this page to successfully upgrade your existing system.

This section describes how you can download and install the required plug-ins while upgrading Oracle Management Agents 10g Release 5 (10.2.0.5), 11g Release 1 (11.1.0.1), and Oracle Management Service 10g Release 5 (10.2.0.5), 11g Release 1 (11.1.0.1).

In particular, this section covers the following:

- **Installing Plug-Ins While Upgrading Oracle Management Agent 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3)**

- **Installing Plug-Ins While Upgrading Oracle Management Service 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3)**

#### 3.6.2.1 Installing Plug-Ins While Upgrading Oracle Management Agent 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3)

To install the required plug-ins while upgrading the Management Agents, follow these steps:

1. Access the following URL:

2. Download the Management Agent software to an accessible location. Do NOT extract the contents of the software ZIP file. The Management Agent software is platform-specific, so ensure that you copy the software for the platform on which you want to install.

3. Download all the required plug-ins to the same location. Plug-ins are generic, so they are common for all platforms.

   Ensure that you download all the plug-ins listed as required plug-ins on the Manage Software page, whether or not you want to monitor a target with them. You may feel that a few plug-ins are not required because you do not have targets to be monitored by them, but those plug-ins may be required for upgrading your system. Therefore, download all the plug-ins listed on the Manage Software page. Ensure that you download these plug-ins before backing up the database that contains the Management Repository.

   **Note:** You can also download these plug-ins from the `<software_kit>/plugins` directory, but Oracle recommends you to download them from OTN so that you always procure the latest plug-ins and the plug-ins for all platforms.

4. In the Preupgrade Console, on the Manage Software page (Preupgrade Steps section), in the Provide Software Location section, enter the absolute path to the directory where the plug-in is present, and click Validate to register that location with the Management Repository.

   **See:** For information about registering and validating the plug-in location, see Section 9.4.

3.6.2.2 Installing Plug-Ins While Upgrading Oracle Management Service 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3)

To install the required plug-ins while upgrading the OMS, invoke the Enterprise Manager Cloud Control Installation Wizard, and proceed to the Select Plug-ins screen. The screen lists all the required plug-ins you registered via the Preupgrade Console while upgrading the Management Agents. The mandatory ones are selected by default.
Updating the PDP Configuration File

Things to Know

3.7 Using Oracle Software Library

(This section is applicable only if Software Library was configured in the earlier release of Enterprise Manager)

The following is the status of Oracle Software Library (Software Library) after the Enterprise Manager is upgraded:

- For 1-System upgrade approach, the Software Library is functional the moment the Enterprise Manager is upgraded. No manual effort is required to make it functional.
- For 2-System upgrade approach, the Software Library is functional only when it is reconfigured after the Enterprise Manager is upgraded.

To understand the 2-System upgrade approach workflow and to know when you must reconfigure the Software Library, see Section 8.2. For information about reconfiguring the Software Library, see Section 12.3.

- For 1-System upgrade approach on a different host, the Software Library is functional the moment the Enterprise Manager is upgraded. This is however true only when all the files in the Software Library file system location configured for the old Enterprise Manager system is accessible for read/write from the new host. If not, the upgrade fails.

3.8 Using Connectors

After upgrading the entire Enterprise Manager system, the connectors that were configured with your old Enterprise Manager system will continue to work in Enterprise Manager Cloud Control. However, the ones that were not configured will not work.

3.9 Updating the PDP Configuration File

Enterprise Manager supports Privilege Delegation Providers (PDP) such as SUDO and PowerBroker that enable administrators to restrict certain users from running certain commands. If you have such restrictive PDP configuration setting, then you must
ideally enter the location of nmosudo in your configuration file. Management Agent uses nmosudo to run Trusted Jobs in Enterprise Manager.

In Enterprise Manager Cloud Control 12c Release 1 (12.1.0.1) [with or without Bundle Patch 1], nmosudo was located in the agent instance directory. For example, /u01/oracle/agent/agent_inst/bin/nmosudo.

In Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2) or higher, this location has changed. Now, nmosudo is present in the sbin directory, which is in the agent base directory. For example, /u01/oracle/agent/sbin/nmosudo.

Therefore, when you install or upgrade 12c Release 1 (12.1.0.1) [with or without Bundle Patch 1] to 12c Release 2 (12.1.0.2) or higher, you must modify the PDP configuration files to update the new location of nmosudo.

For example, if you use SUDO as your PDP, the configuration file for sudo is typically /etc/sudoers. In this file, update the following entry with the new location to nmosudo.

```
sudouser ALL : oracle /eminstall/basedir/sbin/nmosudo *
```

### 3.10 Managing Notification, Alerts, Jobs, Deployment Procedure Runs, Metrics, EM CLI Clients, Oracle Exadata Targets

**Note:** This section is applicable only for upgrade from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) and Enterprise Manager 11g Grid Control Release 1 (11.1.0.1) to 12c Release X (12.1.0.X).

You can ignore this section if you are upgrading from Enterprise Manager Cloud Control 12c Release 1 (12.1.0.1) and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3).

This section describes some of the critical changes in Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) that you might see when you upgrade from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) and Enterprise Manager 11g Grid Control Release 1 (11.1.0.1).

In particular, this section describes the following:

- Managing Notification
- Managing Alerts
- Managing Jobs
- Managing Deployment Procedure Runs
- Collecting Metrics
- Upgrading EM CLI Clients
- Upgrading Oracle Exadata Targets

#### 3.10.1 Managing Notification

During upgrade, the out-of-box rule sets are re-registered, and as a result, you will lose e-mail subscriptions made to them. Therefore, before starting the upgrade process, Oracle strongly recommends that you make a copy (using the Create Like action) of the out-of-box rule sets.
After upgrade, all notification rules and alerts will continue to work in Enterprise Manager Cloud Control. However, note that they have been enhanced and subsumed into a larger and newer concept—Notification Rules are now called Incident Rulesets, and Alerts are now called Events in Enterprise Manager Cloud Control.

For information about Incident Rulesets and how they map to notification rules, and for information about Events and how they map to alerts, see Appendix B.

3.10.2 Managing Alerts

During upgrade, the alerts from the earlier release are migrated as Events in Enterprise Manager Cloud Control in the following manner. For 1-System upgrade approach, the alerts are migrated while upgrading the Management Repository. For 2-System upgrade approach, the alerts are migrated while backing up the Management Repository to the remote host.

This section covers the following:

- Migrating Alerts
- Creating Incidents and Events for Different Types of Open Alerts
- Creating Incidents and Events for Different Types of Closed Alerts

3.10.2.1 Migrating Alerts

The following are the ways in which the alerts are migrated:

- All open alerts are migrated.
  
  Table 3–2 shows how and when incidents and events are created for different types of open alerts.

- All alerts that were closed 180 days prior to upgrading or backing up the Management Repository are migrated as part of the Deferred Data Migration Process. This period of 180 days can be changed by the administrator.

  Table 3–3 shows how and when incidents and events are created for different types of closed alerts. Also note that if a closed alert had an associated ticket, then that information is not captured as part of the event migration.

- All open statefull alerts, and open stateless alerts created within 7 days prior to upgrading or backing up the Management Repository are migrated.

  __Note:__ In case of 2-System upgrade approach, if an alert is created in the earlier release of the Enterprise Manager system after the Management Repository is backed up, then that open alert is migrated as part of the Accrued Data Migration Process (see Table 3–2). In addition, all availability records are also migrated as part of the Accrued Data Migration Process.

3.10.2.2 Creating Incidents and Events for Different Types of Open Alerts

Table 3–2 shows how and when incidents and events are created for different types of open alerts.

<table>
<thead>
<tr>
<th>Open Alert Type</th>
<th>Incident Created</th>
<th>Event Created</th>
</tr>
</thead>
<tbody>
<tr>
<td>Critical Alert</td>
<td>Yes</td>
<td>Yes</td>
</tr>
</tbody>
</table>
### 3.10.2.3 Creating Incidents and Events for Different Types of Closed Alerts

Table 3–3 shows how and when incidents and events are created for different types of closed alerts. Also note that if a closed alert had an associated ticket, then that information is not captured as part of the event migration.

<table>
<thead>
<tr>
<th>Closed Alert Type</th>
<th>Incident Created</th>
<th>Event Created</th>
</tr>
</thead>
<tbody>
<tr>
<td>Critical Alert</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Warning Alert</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Critical Alert with Ticket</td>
<td>No</td>
<td>Yes (without Ticket)</td>
</tr>
<tr>
<td>Warning Alert with Ticket</td>
<td>No</td>
<td>Yes (without Ticket)</td>
</tr>
<tr>
<td>Warning Alert with Notification Pending</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Warning Alert without Notification Pending</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Critical Alert with Acknowledgement</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Warning Alert with Acknowledgement</td>
<td>No</td>
<td>Yes</td>
</tr>
</tbody>
</table>

### 3.10.3 Managing Jobs

For 1-System upgrade approach, jobs can run more or less as expected. During the downtime, jobs do not run, and any job that is running during the downtime is aborted or failed. In addition, all the scheduled jobs continue as they were planned.

For 2-System upgrade approach, there are some restrictions and caveats on how jobs run. Firstly, since a Management Agent is monitored only by one particular Enterprise Manager system at any point, jobs can run only in the system that monitors the Management Agent. Until a Management Agent is migrated, all jobs against targets monitored by that Management Agent are run in the old Enterprise Manager system. This also means that only one Enterprise Manager system has the true status of a job because the Management Agent communicates only with one of the systems at a given time, so only that Enterprise Manager system knows what the actual status is.

- If a Management Agent is not migrated, then a future job appears as a scheduled job in the old Enterprise Manager system, but appears as a suspended job on the Management Agent unavailable in Enterprise Manager Cloud Control.
- A job running in the old Enterprise Manager system at the time of the backup either aborts or fails in Enterprise Manager Cloud Control. Once the Management Agent is migrated, a future job appears as a suspended job on the Management Agent unavailable in the old Enterprise Manager system, but appears as a
scheduled job in Enterprise Manager Cloud Control. If the Management Agent is subsequently removed from the old Enterprise Manager system, then that job is removed as well.

If the backup of the old Enterprise Manager system did not require a downtime, then the jobs running at the time of the backup continue to run. (If the backup required a downtime, then the job may abort due to the downtime.) Such jobs appear as aborted, failed, or skipped jobs in Enterprise Manager Cloud Control.

■ Repeating jobs continue to run in the old Enterprise Manager system according to their schedule. In Enterprise Manager Cloud Control, such jobs appear to be aborted, failed, or skipped. Once the Management Agent is migrated, such jobs start to run in Enterprise Manager Cloud Control. In the old Enterprise Manager system, such jobs are suspended on the Management Agent unavailable.

■ Jobs submitted in the old Enterprise Manager system after the backup do NOT appear in Enterprise Manager Cloud Control. Jobs submitted in Enterprise Manager Cloud Control do NOT appear in the old Enterprise Manager system. Usually, jobs are created only in the system that is currently monitoring the target.
  – Jobs created in the old Enterprise Manager system before the Management Agent or its targets is migrated run in the old Enterprise Manager system as expected.
  – Repeating jobs run until the Management Agent is migrated at which point they are stuck as suspended jobs on the Management Agent unavailable.
  – If jobs are created in the old Enterprise Manager system after the target is migrated, then they never run; they remain stuck as suspended jobs on the Management Agent unavailable. So do not create a job in the old Enterprise Manager system after the Management Agent is migrated.
  – Jobs created in Enterprise Manager Cloud Control on targets for which the Management Agent has already been migrated behave normally and run as expected.
  – Jobs can be created in Enterprise Manager Cloud Control before the Management Agent for its target is migrated, but they will be in suspended mode on Management Agent unavailable until the Management Agent is migrated.

This is particularly useful when a new job is created in the old Enterprise Manager system and a copy of it is desired in Enterprise Manager Cloud Control for use after the target is migrated. Usually, this will be a repeating job.

* If the Management Agent is migrated before the scheduled time of the job, then the job runs in Enterprise Manager Cloud Control.
* If the Management Agent is migrated after the scheduled time of the job, then the job is skipped. This is to prevent a case where the job runs on both the systems.
* If the job has a repeating schedule, then the times before the migration are skipped, while the times after the migration are run.
* A job with an immediate schedule does not run in Enterprise Manager Cloud Control and is eventually skipped. So do not create an immediate job on a target that is not migrated.

■ For jobs with multiple executions, one job per target, some targets may be suspended, while others run.
Consider a job against two databases, \( dbA \) monitored by Management Agent \( A \), and \( dbB \) monitored by Management Agent \( B \). If only Management Agent \( B \) is migrated, then the execution for \( dbA \) is suspended, while the execution for \( dbB \) runs when it reaches its scheduled time. If this is a repeating job, then the execution for \( dbA \) is skipped. Once the Management Agent \( A \) is migrated, then both executions are run as usual.

This behavior is important for jobs with many targets, such as those submitted to a group. Those executions for targets monitored by the old Enterprise Manager system run in the old Enterprise Manager system, while those for targets monitored by Enterprise Manager Cloud Control run in Enterprise Manager Cloud Control. The corresponding executions on the other systems are suspended or skipped.

- For jobs on multiple targets, one job for many targets, the jobs can neither run in the old Enterprise Manager system nor in Enterprise Manager Cloud Control unless the Management Agents for all the targets are migrated at one time. To determine the correct set of Management Agents to migrate at one time to address this, run the SQL queries described in Appendix D.

The alternative is to migrate the Management Agents independently, and then, once the last Management Agent is migrated, the job may run if the grace period allows it to run or if the scheduled time was after the last agent migration.

### 3.10.4 Managing Deployment Procedure Runs

After upgrading the entire Enterprise Manager system, none of the 10g Release 5 (10.2.0.5) or 11g Release 1(11.1.0.1) deployment procedure runs will be available in the upgraded Enterprise Manager. If you want to reference any of the old runtime data, then you must use the following EM CLI verb to export all of the runtime data as an XML file.

\[
\text{get_instance_data_xml}
\]

### 3.10.5 Collecting Metrics

Some metrics related to the Oracle Fusion Middleware targets have been renamed in Enterprise Manager Cloud Control. In addition, a few have been introduced. The following are the targets for which the metrics have undergone some changes. For information about the metric changes, see Appendix C.

- Oracle SOA Infrastructure
- Oracle SOA Composite
- Oracle Service Bus
- Oracle WebLogic Server
- JBoss Application Server
- Siebel Enterprise
- Siebel Server

### 3.10.6 Upgrading EM CLI Clients

You must upgrade all existing EM CLI clients of the earlier release to 12c Release 1 so that they can work with Enterprise Manager Cloud Control. This means, you must discard the old one and set up a new one.
For information about setting up a new EM CLI client, see the Enterprise Manager Command Line Interface Download page within the Cloud Control console. To access that page, in Cloud Control, from the Setup menu, select My Preferences, then select Command Line Interface.

3.10.7 Upgrading Oracle Exadata Targets
Oracle Exadata targets on your existing Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) or Enterprise Manager 11g Grid Control Release 1 (11.1.0.1) are not upgraded by default. You must manually discover them in Enterprise Manager Cloud Control. As a result, you will lose all historical data related to these targets.

3.11 Redoing Customization on Oracle WebLogic Server
Upgrade from 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1) to 12c Release 3 (12.1.0.3) is an out-of-place upgrade, and therefore, any customization done on the WebLogic Server, which is configured with the earlier release of the Enterprise Manager system, is not carried over by the upgrade process. You will have to redo the customization on the upgraded system.

3.12 Redoing Customization on Deployment Procedures
If you have customized any deployment procedures in 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1), then while upgrading to 12c Release X (12.1.0.X), these customizations are not carried over. You must redo the customization after upgrading to 12c Release X (12.1.0.X).

3.13 XML DB Feature in the Database
Enterprise Manager is not affected when you enable or disable features such as XML DB on the Oracle Database in which you plan to configure the Management Repository. Therefore, you can enable or disable any feature in the database because Enterprise Manager does not rely on them.

3.14 Manually Restarting OMS and Management Agent
If you install the OMS and the Oracle Database, which houses the Management Repository, on the same host, then when you reboot the host, the OMS and the Management Agent installed with it will not automatically start up. You will have to manually start them.
Part II

Upgrading 12c Release 1 (12.1.0.1) [with Bundle Patch 1], 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3)

This part describes how you can upgrade Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2) and 12c Release 1 (12.1.0.1) [with Bundle Patch 1] to Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3).

This part covers the following chapters:

- Chapter 4, "Getting Started"
- Chapter 5, "Upgrading Oracle Management Service and Oracle Management Repository"
- Chapter 6, "Upgrading Oracle Management Agents"
- Chapter 7, "Upgrading and Redeploying ADP and JVMD"
This chapter describes the high-level process for upgrading your Enterprise Manager 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3).

In particular, this chapter covers the following:

- Upgrading Enterprise Manager in a Single-OMS Non-HA Environment
- Upgrading Enterprise Manager in a Multi-OMS Non-HA Environment
- Upgrading Enterprise Manager in an HA Environment (Primary and Standby Sites)

Note: You cannot upgrade 12c Release 1 (12.1.0.1) without Bundle Patch 1 to 12c Release 3 (12.1.0.3) directly. To upgrade such a release, follow these steps:

1. Patch the OMS with Bundle Patch 1.
2. Upgrade the OMS plug-ins to Bundle Patch 1 plug-in version.
3. Upgrade the Management Agent plug-ins to Bundle Patch 1 plug-in version.
4. Upgrade the OMS patched with Bundle Patch 1 to 12c Release 3 (12.1.0.3).

For Step (1) to Step (3), follow the instructions outlined in Oracle Enterprise Manager Bundle Patch 1 Application Guide. For Step (4), follow the instructions outlined in Part II.

WARNING: Do not upgrade 12c Release 1 (12.1.0.1) or 12c Release 2 (12.1.0.2) while it is undergoing a 2-system upgrade from its earlier release (10.2.0.5 or 11.1.0.1). Wait until the upgrade completes fully because there might be some standalone Management Agents in status pending state while the upgrade is in progress.

4.1 Upgrading Enterprise Manager in a Single-OMS Non-HA Environment

Table 4–1 describes the steps for upgrading your Enterprise Manager to 12c Release 3 (12.1.0.3) in a single-OMS non-HA (or non-high availability) environment.

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Step 1</td>
<td>Prepare Yourself</td>
<td></td>
</tr>
</tbody>
</table>
Learn about the 1-System upgrade approach.  
Section 2.1

Review the important facts you need to know before you begin.  
Chapter 3

Step 2 Upgrade Oracle Management Service and Oracle Management Repository

(a) Meet the following prerequisites:

■ Meet the Oracle Management Service-related prerequisites described in the chapter on installing Enterprise Manager Cloud Control, in the Oracle Enterprise Manager Cloud Control Basic Installation Guide.

■ Upgrade to 12c Release 3 (12.1.0.3) is an out-of-place upgrade, therefore make sure your host meets the hardware requirements specific to 12c Release 3 (12.1.0.3) as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide. Here, host refers to the host on which your current Enterprise Manager, which you want to upgrade, is running.

■ Ensure that you are upgrading only on the supported platforms as listed in Section 3.2.

■ Ensure that the ports used by Enterprise Manager are not set to a value lower than or equal to 1024. If they are, then the upgrade will fail. Ports up to 1024 are typically reserved for root users (super users). Therefore, make sure the ports are greater than 1024.

■ If you have BI Publisher installed on Enterprise Manager Cloud Control 12c installation that you are upgrading from, then stop the BI Publisher Managed Server named BIP using the WebLogic Administration Console. For instructions, refer to the Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

■ Back up the OMS (the middleware home and the inventory), the Management Repository, and the Software Library. In case the upgrade fails, you can always restore using the backup.

For instructions to back up, refer to Oracle Enterprise Manager Cloud Control Administrator’s Guide.

■ To understand the known issues and other important facts related to upgrade, see My Oracle Support note 1568143.1.

■ Make a copy (using the Create Like action) of the out-of-box rule sets you are using to receive e-mail notifications. Otherwise, you will lose the e-mail subscriptions made to the rule sets.

Table 4–1 (Cont.) Upgrading Enterprise Manager in a Single-OMS Non-HA Environment

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a)</td>
<td>Learn about the 1-System upgrade approach.</td>
</tr>
<tr>
<td>(b)</td>
<td>Review the important facts you need to know before you begin.</td>
</tr>
</tbody>
</table>

Step 2 Upgrade Oracle Management Service and Oracle Management Repository

(a) Meet the following prerequisites:

■ Meet the Oracle Management Service-related prerequisites described in the chapter on installing Enterprise Manager Cloud Control, in the Oracle Enterprise Manager Cloud Control Basic Installation Guide.

■ Upgrade to 12c Release 3 (12.1.0.3) is an out-of-place upgrade, therefore make sure your host meets the hardware requirements specific to 12c Release 3 (12.1.0.3) as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide. Here, host refers to the host on which your current Enterprise Manager, which you want to upgrade, is running.

■ Ensure that you are upgrading only on the supported platforms as listed in Section 3.2.

■ Ensure that the ports used by Enterprise Manager are not set to a value lower than or equal to 1024. If they are, then the upgrade will fail. Ports up to 1024 are typically reserved for root users (super users). Therefore, make sure the ports are greater than 1024.

■ If you have BI Publisher installed on Enterprise Manager Cloud Control 12c installation that you are upgrading from, then stop the BI Publisher Managed Server named BIP using the WebLogic Administration Console. For instructions, refer to the Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

■ Back up the OMS (the middleware home and the inventory), the Management Repository, and the Software Library. In case the upgrade fails, you can always restore using the backup.

For instructions to back up, refer to Oracle Enterprise Manager Cloud Control Administrator’s Guide.

■ To understand the known issues and other important facts related to upgrade, see My Oracle Support note 1568143.1.

■ Make a copy (using the Create Like action) of the out-of-box rule sets you are using to receive e-mail notifications. Otherwise, you will lose the e-mail subscriptions made to the rule sets.
Check for any outstanding database service instance creation requests. If there are any requests in progress, allow them to complete. For requests that are scheduled, suspend them.

To do so, follow these steps.

1. In Cloud Control, from the Enterprise menu, select Cloud, then select Self Service Portal.

2. On the Infrastructure Cloud Self Service Portal page, right under the page title, select My Databases to view only database requests.

3. In the Requests table, for requests that are in progress, allow them to complete. For requests that are scheduled, suspend them.

To suspend the scheduled requests, click the request name. Click the Deployment tab. Click the deployment procedure listed there, and suspend it.

Ensure that the tables in the Management Repository do not have any snapshots created.

To verify this, log in to the Management Repository and run the following SQL query as SYSMAN user:

```
select master, log_table from all_mview_logs where log_owner='<EM_REPOS_USER>'
```

For example,

```
select master, log_table from all_mview_logs where log_owner='SYSMAN'
```

If there are snapshots created in a table, then the query displays the master table and the snapshot details. For example,

```
SQL> master log_table
em-violations em$violation_log
```

If there are snapshots, then drop them by running the following command as SYSMAN user:

```
SQL> Drop snapshot log on <master>
```

For example,

```
SQL> Drop snapshot log on em-violations
```
If you have the Management Repository configured in Oracle Database 11g Release 1 (11.1.0.7) or 11g Release 2 (11.2.0.1), then make sure you apply the following patches on the database. You can access My Oracle Support and search for these patches. If you find them for your respective database release and platform, download and apply them. For instructions, see the ReadMe file associated with the patch. If you do not apply these patches, you will run into upgrade failures that cannot be corrected.

For Oracle Database 11 Release 1 (11.1.0.7)

- On Unix platforms, apply patch 8405205.
- On Microsoft Windows (32 bit) platforms, apply patch 9082702 or patch 16345861 (Microsoft Windows bundle #52), which includes patch 8405205 for Microsoft Windows 32-bit.
- On Microsoft Windows (64 bit) platforms, apply patch 9082709 or patch 16345862. Note that patch 16345862 is a superset patch that includes patch 9082709, so you can apply any one of them.

For Oracle Database 11g Release 2 (11.2.0.1)

- On Unix platforms, apply PSU 9352237 (11.2.0.1.1 Patch Set Update for Oracle Database).
  If you are on Linux platform, you have an option of applying patch 8405205 instead of PSU 9352237. If you have already applied patch 8405205 on the Linux platform, then you need not apply PSU 9352237. The PSU contains patch 8405205. Note that patch 8405205, as a standalone patch, is available only for Linux platform, and not for other Unix platforms.
- On Microsoft Windows (32 bit) platforms, apply patch 9595784
- On Microsoft Windows (64 bit) platforms, apply patch 9595786

For Oracle Database 11g Release 2 (11.2.0.2), 11g Release 2 (11.2.0.3), and 10g Release 2 (10.2.0.5)

Apply the generic patch 11061801.

Note: For some reason, if you do not apply these patches, and if the upgrade fails, then see My Oracle Support note 1568107.1 to verify the error in the log file, and to resolve the issue and proceed further.

Table 4–1 (Cont.) Upgrading Enterprise Manager in a Single-OMS Non-HA Environment

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(d)</td>
<td><strong>(CRITICAL MANDATORY STEP)</strong></td>
<td>If you have the Management Repository configured in Oracle Database 11g Release 1 (11.1.0.7) or 11g Release 2 (11.2.0.1), then make sure you apply the following patches on the database. You can access My Oracle Support and search for these patches. If you find them for your respective database release and platform, download and apply them. For instructions, see the ReadMe file associated with the patch. If you do not apply these patches, you will run into upgrade failures that cannot be corrected.</td>
</tr>
</tbody>
</table>

For Oracle Database 11 Release 1 (11.1.0.7)

- On Unix platforms, apply patch 8405205.
- On Microsoft Windows (32 bit) platforms, apply patch 9082702 or patch 16345861 (Microsoft Windows bundle #52), which includes patch 8405205 for Microsoft Windows 32-bit.
- On Microsoft Windows (64 bit) platforms, apply patch 9082709 or patch 16345862. Note that patch 16345862 is a superset patch that includes patch 9082709, so you can apply any one of them.

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- On Microsoft Windows (32 bit) platforms, apply patch 9595784
- On Microsoft Windows (64 bit) platforms, apply patch 9595786

For Oracle Database 11g Release 2 (11.2.0.2), 11g Release 2 (11.2.0.3), and 10g Release 2 (10.2.0.5)

Apply the generic patch 11061801.

Note: For some reason, if you do not apply these patches, and if the upgrade fails, then see My Oracle Support note 1568107.1 to verify the error in the log file, and to resolve the issue and proceed further.
### Table 4–1 (Cont.) Upgrading Enterprise Manager in a Single-OMS Non-HA Environment

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
</table>
| (e)      | [IN CASE OF MULTI-OMS UPGRADE, PERFORM THIS STEP ONLY FOR THE FIRST OMS UPGRADE] | Copy the emkey from the existing OMS to the existing Management Repository. To do so, run the following command on the old OMS home you are trying to upgrade:  

```
$<OMS_HOME>/bin/emctl config emkey -copy_to_repos [-sysman_pwd <sysman_pwd>]
```

To verify whether the emkey is copied, run the following command:  

```
$<OMS_HOME>/bin/emctl status emkey
```

If the emkey is copied, then you will see the following message:  

*The EMKey is configured properly, but is not secure.*  
Secure the EMKey by running "emctl config emkey -remove_from_repos". |

| (f)      | If you have changed the default, out-of-box memory settings for an OMS instance, then preserve the changes so that they are not lost during upgrade. | To preserve the changes, follow these steps:  

1. Run the following command on all the OMS instances:  

```
$<OMS_HOME>/bin/emctl set property -name 'JAVA_EM_MEM_ARGS' -value '<java_memory_arguments>'
```

For example,  

```
$<OMS_HOME>/bin/emctl set property -name 'JAVA_EM_MEM_ARGS' -value '-Xms256m -Xmx1740m'
```

2. Restart all the OMS instances:  

```
$<OMS_HOME>/bin/emctl stop oms -all  
$<OMS_HOME>/bin/emctl start oms
```

| (g)      | Stop the OMS you are about to upgrade and also the other OMS instances that connect to it. |  

- If you are upgrading in graphical mode, then you can stop the OMS later as described in the note for Step (12) of Section 5.1.  
- If you are installing software only and upgrading later in graphical mode, then you can stop the OMS later as described in the note for Step (4) in Section 5.3.3.  
- If you are upgrading in silent mode, then stop the OMS now:  

```
$<OMS_HOME>/bin/emctl stop oms -all
```

| (h)      | Shut down the Management Agent that monitors the Management Services and Repository target, to prevent the Management Agent from connecting to the Management Repository for metric collections. Not shutting down this Management Agent might cause the OMS upgrade to fail. |  

---

**Getting Started**  

4-5
Upgrade the OMS and the Management Repository. You can choose to upgrade in graphical or silent mode. You can also choose to install the software binaries at one point and upgrade them later in graphical or silent mode.

If you see an error message stating that you have not copied the emkey, then follow these steps:

1. Copy the emkey from the old OMS to the old Management Repository. To do so, run the following command from the old OMS home you are trying to upgrade:

```
$<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_conndesc <conndesc> -repos_user <username> [-repos_pwd <pwd>] -emkey_file <OMS_HOME>/sysman/config/emkey.ora
```

For example,

```
/u01/software/oracle/middleware/oms/bin/emctl config emkey -copy_to_repos_from_file -repos_conndesc (DESCRIPTION=(ADDRESS=/List=(ADDRESS=(PROTOCOL=TCP)(HOST=dbhost.mydomain.com)(PORT=1521)) (CONNECT_DATA=(SID=emrepos12))) -repos_user sysman -emkey_file /u01/software/oracle/middleware/oms/sysman/config/emkey.ora
```

**Note:** Here, the Management Repository details are the details of the existing Management Repository you are trying to upgrade. And `<OMS_HOME>` is the OMS home you are trying to upgrade.

2. Try upgrading the OMS and the Management Repository again.

**IMPORTANT:** Upgrade the Management Agent that was installed with the old OMS (that is, central agent) immediately after upgrading the old OMS. To upgrade this Management Agent, use the Agent Upgrade Console.

If you had BI Publisher installed on Enterprise Manager Cloud Control 12c installation that you are upgrading from, then it is necessary to install and upgrade to version 11.1.1.6.0 of BI Publisher. This install and upgrade are required for BI Publisher to be available in the new installation of Enterprise Manager 12c Release 3 (12.1.0.3). This upgrade is described in detail in the *Oracle Enterprise Manager Advanced Installation and Configuration Guide*. In particular, pass the `--upgrade` flag to the `configureBIP` command at the appropriate time, as specified in the guide. For instructions, refer to *Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide*.

### Step 3

**Upgrade Oracle Management Agent**

(a) Review the important facts you need to know before you begin upgrading the Management Agents.

(b) Meet the prerequisites.

(c) Ensure that you restart the Management Agent that you shut down in Step (2g).
4.2 Upgrading Enterprise Manager in a Multi-OMS Non-HA Environment

For instructions to upgrade your Enterprise Manager to 12c Release 3 (12.1.0.3) in a multi-OMS non-HA (or non-high availability) environment, see Section 5.5.

4.3 Upgrading Enterprise Manager in an HA Environment (Primary and Standby Sites)

Table 4–2 describes the steps for upgrading your primary as well as standby Enterprise Manager sites to 12c Release 3 (12.1.0.3) in an HA (or high availability) environment.

**Table 4–2  Upgrading Primary and Standby Enterprise Manager Sites in an HA Environment**

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Step 1</td>
<td>Removing Standby Enterprise Manager</td>
<td></td>
</tr>
<tr>
<td>(a)</td>
<td>Remove all additional standby OMS instances.</td>
<td>&quot;Removing Additional Standby OMS Instances&quot; section of the Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.</td>
</tr>
<tr>
<td>(b)</td>
<td>Remove the first standby OMS.</td>
<td>&quot;Removing the First Standby OMS&quot; section of the Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.</td>
</tr>
<tr>
<td>Step 2</td>
<td>Upgrade Primary Enterprise Manager</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Upgrade the primary Enterprise Manager site, both OMS and Management Agents.</td>
<td>Section 4.1</td>
</tr>
<tr>
<td>Step 3</td>
<td>Redeploy Standby Enterprise Manager</td>
<td></td>
</tr>
</tbody>
</table>

Table 4–1 (Cont.) Upgrading Enterprise Manager in a Single-OMS Non-HA Environment

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(d)</td>
<td>Upgrade the Management Agents. IMPORTANT: Upgrade the Management Agent that was installed with the old OMS (that is, central agent) immediately after upgrading the old OMS. To upgrade this Management Agent, use the Agent Upgrade Console.</td>
<td>Section 6.4</td>
</tr>
<tr>
<td>Step 4</td>
<td>Perform Postupgrade Task</td>
<td></td>
</tr>
<tr>
<td>(a)</td>
<td>Perform postupgrade tasks.</td>
<td>Section 12.5.1</td>
</tr>
<tr>
<td>(b)</td>
<td>Reconfigure Oracle WebLogic Server with custom certificates</td>
<td>Section 12.5.13</td>
</tr>
<tr>
<td>(c)</td>
<td>Track the status of deferred data migration jobs.</td>
<td>Section 12.6.2</td>
</tr>
<tr>
<td>(d)</td>
<td>Delete unwanted central agents</td>
<td>Section 12.12.2</td>
</tr>
<tr>
<td>(e)</td>
<td>Upgrade Application Dependency and Performance (ADP) engines and JVM Diagnostics (JVMD) engines</td>
<td>Chapter 7</td>
</tr>
<tr>
<td>(f)</td>
<td>Delete old OMS home</td>
<td>Section 12.14</td>
</tr>
<tr>
<td>Step No.</td>
<td>Step</td>
<td>Procedure</td>
</tr>
<tr>
<td>---------</td>
<td>----------------------------------------------------------------------</td>
<td>--------------------------------------------------------------------------</td>
</tr>
<tr>
<td></td>
<td>Re-create the standby OMS environment using either a Standby WebLogic Domain or storage replication.</td>
<td>&quot;Enterprise Manager Disaster Recovery&quot; chapter of the Oracle Enterprise Manager Cloud Control Administrator’s Guide for details.</td>
</tr>
<tr>
<td></td>
<td><strong>IMPORTANT:</strong> If you use a Standby WebLogic Domain, then ensure that you re-create with a domain name that is different from the one used for the primary site and for the old standby site.</td>
<td></td>
</tr>
</tbody>
</table>
Upgrading Oracle Management Service and Oracle Management Repository

This chapter describes the different ways of upgrading your Oracle Management Service 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2), and Oracle Management Repository 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2). Select the one that best suits your requirement, and follow the instructions outlined in the respective section.

This chapter describes the following:

- Upgrading Oracle Management Service and Oracle Management Repository in Graphical Mode
- Upgrading Oracle Management Service and Oracle Management Repository in Silent Mode
- Installing the Software Now and Upgrading It Later in Graphical Mode
- Installing the Software Now and Upgrading It Later in Silent Mode
- Upgrading a Multi-OMS Environment

Note: Oracle Management Agent (Management Agent) that was installed with the Oracle Management Service (OMS) is not upgraded by default. You must upgrade it (along with other Management Agents) using the Agent Upgrade Console. This is an expected behavior. For instructions to use the Agent Upgrade Console to upgrade Management Agents, see Chapter 6.

Note: To understand the known issues and other important facts related to upgrade, see My Oracle Support note 1568143.1.

WARNING: Do not install Enterprise Manager Cloud Control 12c on servers of SPARC series: T1000, T2000, T5xx0, and T3-*. For more information, see My Oracle Support note 1590556.1.
5.1 Upgrading Oracle Management Service and Oracle Management Repository in Graphical Mode

To upgrade your Oracle Management Service and Oracle Management Repository of 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) in graphical mode, follow these steps:

**Note:** You cannot upgrade 12c Release 1 (12.1.0.1) without Bundle Patch 1 to 12c Release 3 (12.1.0.3) directly. To upgrade such a release, follow these steps:

1. Patch the OMS with Bundle Patch 1.
2. Upgrade the OMS plug-ins to Bundle Patch 1 plug-in version.
3. Upgrade the Management Agent plug-ins to Bundle Patch 1 plug-in version.
4. Upgrade the OMS patched with Bundle Patch 1 to 12c Release 3 (12.1.0.3).

For Step (1) to Step (3), follow the instructions outlined in *Oracle Enterprise Manager Bundle Patch 1 Application Guide*. For Step (4), follow the instructions outlined in Part II.

**WARNING:** Do not upgrade Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1) [with Bundle Patch 1] while it is undergoing a 2-system upgrade from its earlier release (10.2.0.5 or 11.1.0.1). Wait until the upgrade completes fully because there might be some standalone Management Agents in status pending state while the upgrade is in progress.

**Note:** While upgrading, you might see an error message stating that some Management Agents are still in Activation Pending state, or that the Deferred Data Migration Process (DDMP) or Accrued Data Migration Process (ADMP) is not complete. This indicates that the Enterprise Manager system is still undergoing a 2-system upgrade from its earlier release to 12c Release 1 (12.1.0.1) or 12c Release 2 (12.1.0.2).

To resolve this issue, wait until the DDMP and ADMP jobs are complete, and all Management Agents are switched over from the earlier release to 12c Release 1 (12.1.0.1) [with Bundle Patch 1] or 12c Release 2 (12.1.0.2). Then upgrade to 12c Release 3 (12.1.0.3).

If you are sure you do not want to switch over some Management Agents from the earlier release to 12c Release 1 (12.1.0.1) [with Bundle Patch 1] or 12c Release 2 (12.1.0.2), then delete such unwanted Management Agents as described in Section 5.1.3, before you start the upgrade process.

**Note:** After upgrading, you can choose to delete the old OMS home if you do not want it. For instructions, see Appendix J.
Upgrading Oracle Management Service and Oracle Management Repository in Graphical Mode

1. Oracle strongly recommends that you back up the Management Repository, the OMS, the inventory, the Software Library, and other components that are critical to the functioning of Enterprise Manager. This will enable you to revert to the original contents if the upgrade fails.

Invoke the Enterprise Manager Cloud Control Installation Wizard on the host where your existing OMS is running.

```
<Software_Location>/runInstaller [-invPtrLoc <absolute_path_to_oraInst.loc>]
```

In this command, `<Software_Location>` refers to the location where you have extracted the software kit.

**Note:** If you see an error message stating that you have not copied the emkey, run the following command.

```
$<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_host <repository_host> -repos_port <port> -repos_sid <sid> -repos_user <username> [-repos_pwd <pwd>] -emkey_file <OMS_HOME>/sysman/config/emkey.ora
```

(Here, the Management Repository details are details of the existing or old Management Repository. And when you run this command, you might be prompted for the admin server password.)

**Note:**

- For information about the additional, advanced options you can pass while invoking the installer, refer to Section 5.1.1.
- To invoke the installation wizard on UNIX platforms, run `runInstaller`. To invoke on Microsoft Windows platforms, run `setup.exe`.
- When you invoke `runInstaller` or `setup.exe`, if the Enterprise Manager Cloud Control Installation Wizard does not appear, then it is possible that you do not have access to the `/stage` directory.

There is a classpath variable that the installation wizard computes for OPatch as `../stage/Components/`, and when the TEMP variable is set to `/tmp`, the installation wizard tries to look for the opatch JAR file in the `/tmp/../stage` directory, which is equivalent to `/stage`. However, if you do not have the permission on `/stage`, then the installation wizard can hang. Under such circumstances, verify if you have access to the `/stage` directory. If you do not have access to it, then set the TEMP variable to a location where the install user has access, and then relaunch the installation wizard.

- While upgrading on IBM AIX, if you see an error message stating that your JDK version in the middleware home is not of a supported version, then make sure you install the supported version mentioned in the message, and then invoke the installer passing the `-skipJDKValidation` argument.

For example,

```
./runInstaller -skipJDKValidation
```
2. (Optional) On the My Oracle Support Details screen, enter your My Oracle Support credentials to enable Oracle Configuration Manager. If you do not want to enable Oracle Configuration Manager now, go to Step (3).

If the host from where you are running the installation wizard does not have a connection to the Internet, then enter only the e-mail address and leave the other fields blank. After you complete the installation, manually collect the configuration information and upload it to My Oracle Support.

---

**Note:** Beginning with Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3), My Oracle Support accesses support.oracle.com directly. This means that you must provide network access to this URL, or grant proxy access to it from any client that will access My Oracle Support.

---

3. Click Next.

4. On the Software Updates screen, apply the latest software updates, including the latest PSU patches.

You can download the software updates in offline mode (if you do not have Internet connectivity) or online mode (if you have Internet connectivity). For instructions, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

5. Click Next.

6. On the Prerequisite Checks screen, check the status of the prerequisite checks run by the installation wizard, and verify whether your environment meets all the minimum requirements for a successful upgrade.

The installation wizard runs the prerequisite checks automatically when you come to this screen. It checks for the required operating system patches, operating system packages, and so on.

The status of the prerequisite check can be either **Warning**, Failed, or Succeeded.

If some checks result in **Warning** or **Failed** status, then investigate and correct the problems before you proceed with the upgrade. The screen provides details on why the prerequisites failed and how you can resolve them. After you correct the problems, return to this screen and click **Rerun** to check the prerequisites again.

7. Click Next.

---

**Note:** If a prerequisite check fails reporting a missing package, then make sure you install the required package, and click **Rerun**. The installation wizard validates the package name as well as the version, so make sure you install the packages of the minimum versions mentioned in Oracle Enterprise Manager Cloud Control Basic Installation Guide. To understand the logic the installation wizard uses to verify these packages, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.

---

8. On the Installation Types screen, select **Upgrade an Existing Enterprise Manager System**, then select **One System Upgrade**. Then, select the OMS home you want to upgrade.

9. Click Next.
10. On the Installation Details screen, do the following:

a. Enter or validate the Middleware home.

Note:

- Upgrade to 12c Release 3 (12.1.0.3) is an out-of-place upgrade, therefore you must either select an existing middleware home (where Enterprise Manager is not already installed) or enter a new middleware home where Oracle WebLogic Server and Java Development Kit are installed.
- If you do not have Oracle WebLogic Server 11g Release 1 (10.3.6) and Java Development Kit 1.6.0.43.0 installed, then enter the absolute path to a directory where you want the installer to install them. For example, /oracle/software/. Ensure that the directory you enter does not contain any files or subdirectories.
- If you have Oracle WebLogic Server and JDK already installed, then ensure that they are of the supported releases—Oracle WebLogic Server 11g Release 1 (10.3.6) and JDK 1.6.0.43.0. In this case, the installer detects them and displays the Middleware home where they are installed. Validate the path to this Middleware home. The installer detects and displays only those Middleware homes where Enterprise Manager is not already configured.
- The OMS instance base directory (gc_inst) will be outside the middleware home.

b. Validate the host name. By default, the host name is the name of the host where the existing, earlier release of Enterprise Manager was installed. This is a non-editable field.

11. Click Next.

12. On the Database Connection Details screen, do the following:

- Enter the passwords for the SYS and SYSMAN user accounts of the database that houses the Management Repository for the selected OMS.
- Confirm that you have backed up the Management Repository (although the installer checks only if you have backed up the Management Repository, Oracle strongly recommends that you back up the OMS, the inventory, the Software Library, and other components that are critical to the functioning of Enterprise Manager. This will enable you to revert to the original contents if the upgrade fails). As a prerequisite, you must back up the Management Repository before starting the upgrade process. If you have not already taken a backup, then do so immediately, and then return to the installer to continue with the upgrade.

Note: Before you proceed to the next screen, stop all the associated OMS instances.

$<OMS_HOME>/bin/emctl stop oms -all

13. Click Next.
14. On the Plug-In Upgrade screen, review the plug-ins that will be automatically:

- Upgraded when newer versions exist
- Migrated when newer versions do not exist
- Deployed when the plug-ins being upgraded have new dependencies

Here, newer versions refer to the newer versions of plug-ins available in the Enterprise Manager software (DVD, or downloaded software) that you are using to install.

**Note:** If you encounter a Provisioning Archive Framework (PAF) prerequisite check error, then exit the installer, stop all the running and scheduled deployment procedures in your existing Enterprise Manager system, invoke the installer all over again, and retry the upgrade process.

To identify all running and scheduled deployment procedures, run the following query, and note down the GUID of the deployment procedures.

```
SELECT i.instance_guid FROM SYSMAN.MGMT_PAF_STATES s,
     SYSMAN.MGMT_PAF_INSTANCES i, SYSMAN.MGMT_PAF_PROCEDURES p
WHERE p.procedure_guid = i.procedure_guid AND s.instance_guid = i.instance_guid
     AND s.state_type = 0 AND s.status in (0,1)
```

To stop the running or scheduled deployment procedures, run the following query, and pass the GUID you noted down from the output of the preceding command:

```
emcli stop_instance -instance=<instance id from sql query>
```

**Note:** For information about the various prerequisite checks that are run on the database at this point, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.
15. Click Next.

16. On the Select Plug-ins screen, select the optional plug-ins you want to deploy in addition to the plug-ins that will automatically be upgraded while upgrading the OMS.

**Note:** If you want to install some plug-ins that are not listed on this screen, then follow these steps:

1. Manually download the plug-ins from the Enterprise Manager download page on OTN, and store them in an accessible location.


2. Invoke the installer with the following option, and pass the location where the plug-ins you downloaded are available:

   ./runInstaller -pluginLocation <absolute_path_to_plugin_software_location>

Once the newer versions of the plug-ins are made available, this screen lists those plug-ins as plug-ins that will automatically be upgraded.

**Note:** If you see a message stating that you have unsupported plug-ins on the OMS or on some of the Management Agents, then follow the instructions outlined in the message to upgrade the plug-ins, and then retry upgrading the OMS.

17. Click Next.

18. On the Extend WebLogic Server Domain screen, do the following to create a new WebLogic domain and a new OMS instance base directory for the upgraded OMS:
- Validate the AdminServer host name and its port, and the WebLogic user name, and enter the WebLogic user account password. This is required to create a new WebLogic domain (GCDomain) on the same port and host name as the AdminServer used by the earlier release of the OMS you are upgrading.

**Note:** If you are upgrading an additional OMS, then enter the host name and port of the AdminServer configured for the first OMS that you have already upgraded, and then, enter the credentials for the existing WebLogic Server user account.

The host name is the name of the host where the first OMS is running. To identify the port, check the value set to the parameter AS_HTTPS_PORT in the following file:

```
<ORACLE_HOME>/gc_inst/em/EMGC_OMS<n>/emgc.properties
```

- Enter the absolute path to the new OMS instance base directory (gc_inst), which will be created for storing the configuration information related to the upgraded OMS.

Make sure the path you enter leads up to the instance base directory, and is maintained outside the middleware home. For example, if the middleware home is `/u01/app/Oracle/Middleware`, then maintain the instance base directory in `/u01/app/Oracle/gc_inst`.

**Note:** If you are creating the OMS instance base directory (gc_inst) on an NFS-mounted drive, then after you install, move the lock files from the NFS-mounted drive to a local file system location. Modify the lock file location in the httpd.conf file to map to a location on a local file system. For instructions, refer to Section 5.1.4.

**Note:** If you want to change the Oracle WebLogic Server password or the Node Manager password, see My Oracle Support note 1450798.1.

19. Click Next.

20. On the Review screen, review the details you have provided for the upgrade.
   a. If you want to change the details, click Back repeatedly until you reach the screen where you want to make the changes.
   b. After you verify the details, if you are satisfied, click Install to begin the upgrade.

21. On the Install Progress screen, view the overall progress (in percentage) of the upgrade operation and the status of each of the Configuration Assistants.
Once the software binaries are copied and configured, you are prompted to run the allroot.sh script. Open another window, log in as root, and manually run the scripts.

If you are installing on Microsoft Windows operating system, then you will NOT be prompted to run this script.

On the Finish screen, you should see information pertaining to the upgrade of Enterprise Manager. Review the information and click Close to exit the wizard.

You have an option of swapping Step (24) and Step (25). Therefore, you can either upgrade all the OMS instances and then upgrade all the Management Agents, or you can upgrade the first OMS, then its central agent, then the other additional OMS instances, and then all the other Management Agents.

If you have additional OMS instances, then start upgrading each of them following the steps outlined in this section.

After upgrading all the OMS instances, upgrade the Management Agents, including the one that was installed with the first, old OMS (that is, central agent). For more information, refer to Chapter 6.

After upgrading the central agent, if you find the agent base directory of the upgraded central agent in the old Oracle Middleware home, and if you want to move it outside that old Oracle Middleware home, then follow the instructions outlined in the My Oracle Support note 1520010.1 available at the following URL:

https://support.us.oracle.com/oip/faces/secure/km/DocumentDisplay.jspx?id=1520010.1

After upgrading the Enterprise Manager system completely, if some central agents appear in Activation Pending state in the Enterprise Manager Cloud Control Console, then delete them by following these steps outlined in Section 12.12.2.
5.1.1 Advanced Installer Options for Graphical Mode

The following are some additional, advanced options you can pass while invoking the installer in graphical mode:

- **Applicable only for when you upgrade 10.2.0.5**, When you upgrade 10g Release 5 (10.2.0.5), a new WebLogic domain named GCDomain is created by default. If you want to override this with a custom name, then invoke the installer with the `WLS_DOMAIN_NAME` option, and enter a unique custom name.

  For example, if you want to use the custom name **EMDomain**, then run the following command:

  ```
  ./runInstaller WLS_DOMAIN_NAME=EMDomain
  ```

- By default, a Provisioning Advisor Framework (PAF) staging directory is created for copying the Software Library entities related to the deployment procedures. By default, this location is the scratch path location (`/tmp`). The location is used only for provisioning activities—entities are copied for a deployment procedure, and then, deleted once the deployment procedure ends.

  If you want to override this location with a custom location, then invoke the installer with the `EM_STAGE_DIR` option, and enter a unique custom location.

**Note:**

- After upgrading, you can choose to delete the old OMS home if you do not want it. For instructions, see Appendix J.

- If the Management Repository upgrade fails with the following error in the schemamanager logs, then restart the database, and then try the upgrade again.

  ```
  ORA-04020: deadlock detected while trying to lock object
  SYSMAN.MGMT_GLOBAL
  ```

- If the upgrade fails because you did not apply the required database patches as described in Step 2 (d) of Section 4.1, then see My Oracle Support note 1568107.1 to verify the error in the log file, and to resolve the issue and proceed further.

**Caution:** If you have any JVM targets associated with the old OMS, then even after you refresh the WebLogic domain, on the WebLogic domain home page, you will continue to see the JVM target that was associated with the old OMS. This is an expected behavior.

You can choose to either retain it for viewing historical data or delete it. To delete it, right-click the orphaned JVM target, and select **Remove Target**.

If you have any JVM targets associated with the old OMS, then even after you refresh the WebLogic domain, on the WebLogic domain home page, you will continue to see the JVM target that was associated with the old OMS. This is an expected behavior.
For example,

```
./runInstaller EM_STAGE_DIR=/home/john/software/oracle/pafdir
```

During upgrade, if you want to install some plug-ins that are not in the software kit (DVD, downloaded software), then follow these steps:

1. Manually download the plug-ins from the following URL, and store them in an accessible location.
   

2. Invoke the installer with the following option, and pass the location where the plug-ins you want to install are available:

   ```
   ./runInstaller -pluginLocation <absolute_path_to_plugin_software_location>
   ```

   This displays a list of plug-ins available in the software kit (DVD, downloaded software) as well as the plug-ins available in this custom location. You can choose the ones you want to install.

After the upgrade operation ends successfully, the OMS and the Management Agent start automatically. If you do not want them to start automatically, then invoke the installer with `START_OMS` and `b_startAgent` options, and set them to `true` or `false` depending on what you want to control.

For example, if you do not want the Management Agent to start automatically, then run the following command:

```
./runInstaller START_OMS=true b_startAgent=false
```

To understand the limitations involved with this advanced option, see Section 5.1.2.

### 5.1.2 Limitations

When you use `START_OMS` and `b_startAgent` as advanced options to control the way the OMS and the Management Agent start up automatically, sometimes the Management Agent and the host on which it was installed do not appear as targets in the Cloud Control console.

Table 5–1 lists the different combinations of these advanced options, and describes the workaround to be followed for each combination:
Deleting Unwanted Standalone Management Agents Before Upgrading from 12.1.0.1 with Bundle Patch 1/12.1.0.2 to 12.1.0.3

While upgrading, you might see an error message stating that some Management Agents are still in Activation Pending state, or that the Deferred Data Migration Process (DDMP) or Accrued Data Migration Process (ADMP) is not complete. This indicates that the Enterprise Manager system is still undergoing a 2-system upgrade from its earlier release (10.2.0.5 or 11.1.0.1) to 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1).

To resolve this issue, wait until the DDMP and ADMP jobs are complete, and all Management Agents are switched over from the earlier release to 12c Release 2 (12.1.0.1) or 12c Release 1 (12.1.0.1). Then upgrade to 12c Release 3 (12.1.0.3).

If you are sure you do not want to switch over some Management Agents from the earlier release to 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1), then delete such unwanted Management Agents before you start the upgrade process.

To delete these unwanted Management Agents, follow these steps:
1. Identify the unwanted Management Agents by running the following query as SYSMAN user in the Management Repository you are about to upgrade (12.1.0.1 with Bundle Patch 1 or 12.1.0.2 repository):

   ```sql
   select mt.target_name from sysman.mgmt_targets mt, sysman.em_current_availability eca, sysman.PRE_UPGC_AGT_STAT_MGMT puasm where mt.target_guid = eca.target_guid and eca.current_status = 4 and eca.current_sub_status = 1 and mt.target_type = 'oracle_emd' and puasm.target_guid = mt.target_guid and puasm.UPGRADE_STATUS != 'IGNORE_UPGRADE' and mt.emd_url not in (select emd_url from PRE_UPGC_TGT_SW where target_type = 'oracle_emrep') and mt.emd_url not in (select emd_url from mgmt_targets where target_name in (select value from mgmt_oms_parameters where name = 'HOST_NAME')) and mt.emd_url not in (select distinct emd_url from mgmt_targets where target_name like 'EnterpriseManager%home' or target_name like '%GCD omain_EMGC_OMS%')
   ```

2. Delete the unwanted Management Agents:
   
   a. On the upgraded OMS host, from the OMS home, log in to the EM CLI client. EM CLI Client is available by default with every OMS installation, so you need not install the client separately.

   ```bash
   $<OMS_HOME>/bin/emcli login -username=SYSMAN -password=<sysman-passwd>
   ```
   
   b. Synchronize EM CLI:

   ```bash
   $<OMS_HOME>/bin/emcli sync
   ```
   
   c. Delete the unwanted Management Agents. Here, `agentName` is the name of the Management Agent you want to delete.

   ```bash
   $<OMS_HOME>/bin/emcli delete_target -name = <agentName> -type=oracle_emd -delete_monitored_targets
   ```

3. Proceed with the upgrade to 12c Release 3 (12.1.0.3).

**Note:** If, for some reason, you are unable to delete the unwanted Management Agents, then turn off the sanity check that checks for Management Agents with Activation Pending state. This enables you to proceed with the upgrade process without encountering any such warning. To turn off the sanity check, run the following query on your 12c Release 1 (12.1.0.1) [with Bundle Patch 1] or 12c Release 2 (12.1.0.2) Management Repository.

   ```sql
   MERGE INTO PRE_UPGC_MASTER_INFO DEST
   USING dual
   ON (DEST.property_name = 'ignore_upg_pending_activation_check')
   WHEN MATCHED THEN
       UPDATE SET DEST.property_value = 'TRUE'
   WHEN NOT MATCHED THEN
       INSERT (property_name, property_value) VALUES('ignore_upg_pending_activation_check', 'TRUE');
   ```

### 5.1.4 Moving Lock Files from an NFS-Mounted Drive to a Local File System Location

If you created the OMS instance base directory on an NFS-mounted drive, then ensure that you maintain the lock files in a local file system and not in that NFS-mounted drive.
location. To do so, modify the lock files location in the httpd.conf file to map to a location on a local file system

1. Stop the OMS:

   `<OMS_HOME>/bin/emctl stop oms -all`

2. Open the following file:

   `<WEBTIER_INSTANCE_HOME>/config/OHS/ohs1/httpd.conf`

3. Search for sections related to the modules mpm_prefork_module and mpm_worker_module. In both these sections, modify the value for the LockFile parameter so that the path leads to a location on the local file system.

   `<IfModule mpm_prefork_module>
   StartServers 5
   MinSpareServers 5
   MaxSpareServers 10
   MaxClients 150
   MaxRequestsPerChild 0
   AcceptMutex fcntl
   LockFile "${ORACLE_INSTANCE}/diagnostics/logs/${COMPONENT_TYPE}/${COMPONENT_NAME}/http_lock"
   </IfModule>
   ....
   <IfModule mpm_worker_module>
   StartServers 2
   MaxClients 150
   MinSpareThreads 25
   MaxSpareThreads 75
   ThreadsPerChild 25
   MaxRequestsPerChild 0
   AcceptMutex fcntl
   LockFile "${ORACLE_INSTANCE}/diagnostics/logs/${COMPONENT_TYPE}/${COMPONENT_NAME}/http_lock"
   </IfModule>`

4. Save the changes.

5. Restart the OMS:

   `<OMS_HOME>/bin/emctl start oms`

### 5.2 Upgrading Oracle Management Service and Oracle Management Repository in Silent Mode

To upgrade your Oracle Management Service and Oracle Management Repository of 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) in silent mode, follow these steps:
Upgrading Oracle Management Service and Oracle Management Repository in Silent Mode

**Note:** You cannot upgrade 12c Release 1 (12.1.0.1) without Bundle Patch 1 to 12c Release 3 (12.1.0.3) directly. To upgrade such a release, follow these steps:

1. Patch the OMS with Bundle Patch 1.
2. Upgrade the OMS plug-ins to Bundle Patch 1 plug-in version.
3. Upgrade the Management Agent plug-ins to Bundle Patch 1 plug-in version.
4. Upgrade the OMS patched with Bundle Patch 1 to 12c Release 3 (12.1.0.3).

For Step (1) to Step (3), follow the instructions outlined in *Oracle Enterprise Manager Bundle Patch 1 Application Guide*. For Step (4), follow the instructions outlined in *Part II*.

**WARNING:** Do not upgrade Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1) [with Bundle Patch 1] while it is undergoing a 2-system upgrade from its earlier release (10.2.0.5 or 11.1.0.1). Wait until the upgrade completes fully because there might be some standalone Management Agents in status pending state while the upgrade is in progress.

**Note:** While upgrading, you might see an error message stating that some Management Agents are still in *Activation Pending* state, or that the Deferred Data Migration Process (DDMP) or Accrued Data Migration Process (ADMP) is not complete. This indicates that the Enterprise Manager system is still undergoing a 2-system upgrade from its earlier release to 12c Release 1 (12.1.0.1) or 12c Release 2 (12.1.0.2).

To resolve this issue, wait until the DDMP and ADMP jobs are complete, and all Management Agents are switched over from the earlier release to 12c Release 1 (12.1.0.1) [with Bundle Patch 1] or 12c Release 2 (12.1.0.2). Then upgrade to 12c Release 3 (12.1.0.3).

If you are sure you do not want to switch over some Management Agents from the earlier release to 12c Release 1 (12.1.0.1) [with Bundle Patch 1] or 12c Release 2 (12.1.0.2), then delete such unwanted Management Agents as described in *Section 5.1.3*, before you start the upgrade process.

**Note:** After upgrading, you can choose to delete the old OMS home if you do not want it. For instructions, see *Appendix J*. 

---

There is a typographical error in the warning where it states: “Do not upgrade Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1) [with Bundle Patch 1] while it is undergoing a 2-system upgrade from its earlier release (10.2.0.5 or 11.1.0.1).” It should be “Do not upgrade Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1) [with Bundle Patch 1] while it is undergoing a 2-system upgrade from its earlier release (10.2.0.5 or 11.1.0.1).”
Copy the following response file to an accessible location on your local host:

```
<Software_Location>/response/upgrade.rsp
```

In this command, `<Software_Location>` refers to the location where you have extracted the software kit (DVD, or downloaded software).

2. Edit the response file and enter appropriate values for the variables described in Appendix A.

3. Invoke the installer:

```
./runInstaller -silent -responseFile <absolute_path>/upgrade.rsp
```

**Note:**

- To invoke the installation wizard on UNIX platforms, run `runInstaller`. To invoke on Microsoft Windows platforms, run `setup.exe`.
- For information about the additional, advanced options you can pass while invoking the installer, refer to Section 5.2.1.
- While upgrading on IBM AIX, if you see an error message stating that your JDK version in the middleware home is not of a supported version, then make sure you install the supported version mentioned in the message, and then invoke the installer passing the `-skipJDKValidation` argument.
  
  For example,
  
  ```
  ./runInstaller -skipJDKValidation
  ```

- If a prerequisite check fails reporting a missing package, then make sure you install the required package, and retry the installation. The installer validates the package name as well as the version, so make sure you install the packages of the minimum versions mentioned in Oracle Enterprise Manager Cloud Control Basic Installation Guide. To understand the logic the installer uses to verify these packages, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.

4. If you have additional OMS instances, then start upgrading each of them following the steps outlined in this section.
5. After upgrading all the OMS instances, upgrade the Management Agents, including the one that was installed with the first, old OMS (that is, central agent). For more information, refer to Chapter 6.

**Note:** After upgrading the central agent, if you find the agent base directory of the upgraded central agent in the old Oracle Middleware home, and if you want to move it outside that old Oracle Middleware home, then follow the instructions outlined in the My Oracle Support note 1520010.1 available at the following URL:

https://support.us.oracle.com/oip/faces/secure/km/DocumentDisplay.jspx?id=1520010.1

6. After upgrading the Enterprise Manager system completely, if some central agents appear in *Activation Pending* state in the Enterprise Manager Cloud Control Console, then delete them by following these steps outlined in Section 12.12.2.

**Note:**

- After upgrading, you can choose to delete the old OMS home if you do not want it. For instructions, see Appendix J.
- If the Management Repository upgrade fails with the following error in the schemamanager logs, then restart the database, and then try the upgrade again.

```
ORA-04020: deadlock detected while trying to lock object SYSMAN.MGMT_GLOBAL
```
- If the upgrade fails because you did not apply the required database patches as described in Step 2 (d) of Section 4.1, then see My Oracle Support note 1568107.1 to verify the error in the log file, and to resolve the issue and proceed further.

**Caution:** *(Applicable only for 12.1.0.X to 12.1.0.3 Upgrade)* If you have any JVM targets associated with the old OMS, then even after you refresh the WebLogic domain, on the WebLogic domain home page, you will continue see the JVM target that was associated with the old OMS. This is an expected behavior.

You can choose to either retain it for viewing historical data or delete it. To delete it, right-click the orphaned JVM target, and select **Remove Target**.
5.2.1 Advanced Installer Options for Silent Mode

The following are some additional, advanced options you can pass while invoking the installer in silent mode:

■ **(Applicable only for 2-system upgrade from 10.2.0.5 or 11.1.0.1)** If you are upgrading on a host that has multiple host names (for example, virtual host), then pass the fully qualified host name using the `ORACLE_HOSTNAME` argument while invoking the installer.

For example:
```
./runInstaller ORACLE_HOSTNAME=example.com -silent -responseFile <absolute_path>/upgrade.rsp
```

■ After the installation ends successfully, the OMS and the Management Agent start automatically. If you do not want them to start automatically, then invoke the installer with `START_OMS` and `b_startAgent` options, and set them to `true` or `false` depending on what you want to control.

For example, if you do not want the Management Agent to start automatically, then run the following command:
```
./runInstaller START_OMS=true b_startAgent=false -silent -responseFile <absolute_path>/upgrade.rsp
```

To understand the limitations involved with this advanced option, see Section 5.1.2.

5.3 Installing the Software Now and Upgrading It Later in Graphical Mode

This section describes how you can install only the software binaries of Enterprise Manager 12c Cloud Control in graphical mode at one point, and upgrade them at a later point. In particular, this section covers the following:

■ Installing the Software Binaries
■ Running the allroot.sh Script
■ Configuring and Upgrading the Software

---

**Note:** You cannot upgrade 12c Release 1 (12.1.0.1) without Bundle Patch 1 to 12c Release 3 (12.1.0.3) directly. To upgrade such a release, follow these steps:

1. Patch the OMS with Bundle Patch 1.
2. Upgrade the OMS plug-ins to Bundle Patch 1 plug-in version.
3. Upgrade the Management Agent plug-ins to Bundle Patch 1 plug-in version.
4. Upgrade the OMS patched with Bundle Patch 1 to 12c Release 3 (12.1.0.3).

For Step (1) to Step (3), follow the instructions outlined in *Oracle Enterprise Manager Bundle Patch 1 Application Guide*. For Step (4), follow the instructions outlined in **Part II**.

---
**WARNING:** Do not upgrade Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1) [with Bundle Patch 1] while it is undergoing a 2-system upgrade from its earlier release (10.2.0.5 or 11.1.0.1). Wait until the upgrade completes fully because there might be some standalone Management Agents in status pending state while the upgrade is in progress.

**Note:** While upgrading, you might see an error message stating that some Management Agents are still in Activation Pending state, or that the Deferred Data Migration Process (DDMP) or Accrued Data Migration Process (ADMP) is not complete. This indicates that the Enterprise Manager system is still undergoing a 2-system upgrade from its earlier release to 12c Release 1 (12.1.0.1) or 12c Release 2 (12.1.0.2).

To resolve this issue, wait until the DDMP and ADMP jobs are complete, and all Management Agents are switched over from the earlier release to 12c Release 1 (12.1.0.1) [with Bundle Patch 1] or 12c Release 2 (12.1.0.2). Then upgrade to 12c Release 3 (12.1.0.3).

If you are sure you do not want to switch over some Management Agents from the earlier release to 12c Release 1 (12.1.0.1) [with Bundle Patch 1] or 12c Release 2 (12.1.0.2), then delete such unwanted Management Agents as described in Section 5.1.3, before you start the upgrade process.

**Note:** After upgrading, you can choose to delete the old OMS home if you do not want it. For instructions, see Appendix J.

**Note:** If you see an error message stating that you have not copied the emkey, run the following command.

```
$<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_host <repository_host> -repos_port <port> -repos_sid <sid> -repos_user <username> [-repos_pwd <pwd>] -emkey_file <OMS_HOME>/sysman/config/emkey.ora
```

(Here, the Management Repository details are details of the existing or old Management Repository. And when you run this command, you might be prompted for the admin server password.)

### 5.3.1 Installing the Software Binaries

To install the software binaries of Enterprise Manager Cloud Control, follow these steps:

1. Invoke the Enterprise Manager Cloud Control Installation Wizard on the host where your existing OMS is running.

   `<Software_Location>/runInstaller [-invPtrLoc <absolute_path_to_oraInst.loc>]`
Installing the Software Now and Upgrading It Later in Graphical Mode

2. (Optional) On the My Oracle Support Details screen, enter your My Oracle Support credentials to enable Oracle Configuration Manager. If you do not want to enable Oracle Configuration Manager now, go to Step (3).

   If the host from where you are running the installation wizard does not have a connection to the Internet, then enter only the e-mail address and leave the other fields blank. After you complete the installation, manually collect the configuration information and upload it to My Oracle Support.

   Note: Beginning with Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3), My Oracle Support accesses support.oracle.com directly. This means that you must provide network access to this URL, or grant proxy access to it from any client that will access My Oracle Support.

3. Click Next.

4. On the Software Updates screen, apply the latest software updates, including the latest PSU patches.
You can download the software updates in offline mode (if you do not have Internet connectivity) or online mode (if you have Internet connectivity). For instructions, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

5. Click Next.

6. On the Prerequisite Checks screen, check the status of the prerequisite checks run by the installation wizard, and verify whether your environment meets all the minimum requirements for a successful upgrade.

The installation wizard runs the prerequisite checks automatically when you come to this screen. It checks for the required operating system patches, operating system packages, and so on.

The status of the prerequisite check can be either Warning, Failed, or Succeeded.

If some checks result in Warning or Failed status, then investigate and correct the problems before you proceed with the upgrade. The screen provides details on why the prerequisites failed and how you can resolve them. After you correct the problems, return to this screen and click Rerun to check the prerequisites again.

7. Click Next.

---

**Note:** If a prerequisite check fails reporting a missing package, then make sure you install the required package, and click Rerun. The installation wizard validates the package name as well as the version, so make sure you install the packages of the minimum versions mentioned in Oracle Enterprise Manager Cloud Control Basic Installation Guide. To understand the logic the installation wizard uses to verify these packages, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.

---

8. On the Installation Types screen, select Install software only.

9. Click Next.

10. On the Installation Details screen, do the following:

    a. Enter or validate the Middleware home.
b. Enter the absolute path to the agent base directory, a location outside the Oracle Middleware home where the Management Agent can be installed. For example, /oracle/agent. Ensure that this location is empty and has write permission. Also ensure that it is always maintained outside the Oracle Middleware home.

Note: This is a mandatory field although the Management Agent installed with the OMS is not required, and must be deinstalled as described in Step (15).

c. Validate the host name. By default, the host name is the name of the host where the existing, earlier release of Enterprise Manager was installed. This is a non-editable field.

11. Click Next.
12. On the Review screen, review the details you provided for the selected installation type.
   - If you want to change the details, click Back repeatedly until you reach the screen where you want to make the changes.
   - After you verify the details, if you are satisfied, click Install to begin the installation process.
13. On the Install Progress screen, view the overall progress (in percentage) of the installation.
14. On the Finish screen, you should see information pertaining to the installation of Enterprise Manager. Review the information and click Close to exit the installation wizard.
15. Deinstall the Management Agent and delete the agent base directory you created in Step 10 (b). For instructions, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

Note:
- Upgrade to 12c Release 3 (12.1.0.3) is an out-of-place upgrade, therefore you must either select an existing middleware home or enter a new middleware home where Oracle WebLogic Server and Java Development Kit are installed.
- If you do not have Oracle WebLogic Server 11g Release 1 (10.3.6) and Java Development Kit 1.6.0.43.0 installed, then enter the absolute path to a directory where you want the installer to install them. For example, /oracle/software/. Ensure that the directory you enter does not contain any files or subdirectories.
- If you have Oracle WebLogic Server and JDK already installed, then ensure that they are of the supported releases—Oracle WebLogic Server 11g Release 1 (10.3.6) and JDK 1.6.0.43.0. In this case, the installer detects them and displays the Middleware home where they are installed. Validate the path to this Middleware home. The installer detects and displays only those Middleware homes where Enterprise Manager is not already configured.
The Management Agent you installed and the agent base directory you created in Step 10 (b) is essentially for a fresh installation, and is not used while upgrading Management Agents using the Agent Upgrade Console. The Agent Upgrade Console performs an out-of-place upgrade, and creates a new agent home in the existing agent base directory for every Management Agent that is upgraded.

For example, in Step 10 (b), you might have provided /software/oracle/agent12103 as the agent base directory. However, the old agent base directory might be /software/oracle/middleware/agent_base/, and the old agent home might be /software/oracle/middleware/agent_base/core/12.1.0.2. In this case, the Agent Upgrade Console that upgrades the Management Agent does not use the agent base directory /software/oracle/agent12103 created in Step 10 (b). Instead, it creates a new agent home /software/oracle/middleware/agent_base/core/12.1.0.3 in the existing agent base directory /software/oracle/middleware/agent_base/. Since the agent base directory you provided in Step 10 (b) is no longer required, you can deinstall the Management Agent and manually delete the agent base directory.

5.3.2 Running the allroot.sh Script
(For UNIX Only) After you install the software binaries, log in as a root user in a new terminal and run the allroot.sh script from the OMS home:

$<OMS_HOME>/allroot.sh

5.3.3 Configuring and Upgrading the Software
To configure the software binaries of Enterprise Manager Cloud Control, follow these steps:

1. Invoke the installation wizard by running the following script:

$<MIDDLEWARE_HOME>/oms/sysman/install/ConfigureGC.sh [-invPtrLoc <absolute_path_to_oraInst.loc>]

**Note:**
- On Microsoft Windows, run ConfigureGC.bat.
- While installing the software binaries as described in Section 5.3.1, if you had passed the argument -invPtrLoc, then pass the same argument here as well.
- For information about the additional, advanced options you can pass while invoking the script, refer to Section 5.3.3.1.

2. On the Install Types screen, do the following:
   a. Select Upgrade an Existing Enterprise Manager System, and then, select One System Upgrade.
   b. Select the OMS home you want to upgrade.

3. Click Next.

4. On the Database Connection Details screen, do the following:
   - Enter the passwords for the SYS and SYSMAN user accounts of the database that houses the Management Repository for the selected OMS.
Confirm that you have backed up the Oracle Management Repository (Management Repository). As a prerequisite, you must back up the Management Repository before starting the upgrade process. If you have not already taken a backup, then do so immediately, and then return to the installer to continue with the upgrade.

**Note:** Before you proceed to the next screen, stop all the associated OMS instances.

```
$<OMS_HOME>/bin/emctl stop oms -all
```

**Note:** For information about the various prerequisite checks that are run on the database at this point, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.

5. Click **Next**.

**Note:** If you see an error about missing plug-ins, then do the following:

1. Make a note of the plug-in version and plug-in update as shown in the missing plug-ins error message. The plug-ins displayed in the error message have the following format:

   `PluginID:PluginVersion:PluginUpdate`

2. Manually download the same plug-in version and the same or higher plug-in update as shown in the missing plug-ins error message, from the following URL.


   Store the plug-ins in an accessible location, and ensure that the location is meant only for these missing plug-ins.

3. Invoke the `ConfigureGC.sh` script in the following way to install the downloaded plug-ins:

   `<OMS_HOME>/sysman/install/ConfigureGC.sh -pluginLocation <absolute_path_to_plugin_sw>`

   **Proceed to the next step only after you have installed these missing plug-ins.**

6. On the Plug-In Upgrade screen, review the plug-ins that will be automatically:

   - Upgraded when newer versions exist
   - Migrated when newer versions do not exist
   - Deployed when the plug-ins being upgraded have new dependencies

   Here, newer versions refer to the newer versions of plug-ins available in the Enterprise Manager software (DVD, or downloaded software) that you are using to install.
Installing the Software Now and Upgrading It Later in Graphical Mode

Upgrading Oracle Management Service and Oracle Management Repository

7. Click Next.

8. On the Select Plug-ins screen, select the optional plug-ins you want to deploy in addition to the plug-ins that will automatically be upgraded while upgrading the OMS.

Note: If you want to install any additional plug-ins that are not listed on this screen, then follow these steps:

1. Manually download the plug-ins from the Enterprise Manager download page on OTN, and store them in an accessible location.


2. Invoke the installer with the following option, and pass the location where the plug-ins you downloaded are available:

   $<MIDDLEWARE_HOME>/oms/sysman/install/ConfigureGC.sh -pluginLocation <absolute_path_to_plugin_software_location>

Once the newer versions of the plug-ins are made available, this screen lists those plug-ins as plug-ins that will automatically be upgraded.

Note:

- If the newer versions do not exist in the Enterprise Manager software that you are using, but exist on OTN, then you can choose to manually download them from OTN and upgrade your existing plug-ins, instead of having them automatically migrated by default. To do so, follow these steps:

   1. Manually download the plug-ins from the Enterprise Manager download page on OTN, and store them in an accessible location.


   2. Invoke the installer with the following option, and pass the location where the plug-ins you downloaded are available:

      $<MIDDLEWARE_HOME>/oms/sysman/install/ConfigureGC.sh -pluginLocation <absolute_path_to_plugin_software_location>

- If you see a message stating that you have unsupported plug-ins on the OMS or on some of the Management Agents, then follow the instructions outlined in the message to upgrade the plug-ins, and then retry upgrading the OMS.

9. Click Next.
10. On the Extend WebLogic Server Domain screen, do the following to create a new WebLogic domain and a new OMS instance base directory for the upgraded OMS:

- Validate the AdminServer host name and its port, and the WebLogic user name, and enter the WebLogic user account password. This is required to create a new WebLogic domain (GCDomain) on the same port and host name as the AdminServer used by the earlier release of the OMS you are upgrading.

Note: If you are upgrading an additional OMS, then enter the host name and port of the AdminServer configured for the first OMS that you have already upgraded, and then, enter the credentials for the existing WebLogic Server user account.

The host name is the name of the host where the first OMS is running. To identify the port, check the value set to the parameter AS_HTTPS_PORT in the following file:

<ORACLE_HOME>/gc_inst/em/EMGC_OMS<n>/emgc.properties

- Enter the absolute path to the new OMS instance base directory (gc_inst), which will be created for storing the configuration information related to the upgraded OMS.

Make sure the path you enter leads up to the instance base directory, and is maintained outside the middleware home. For example, if the middleware home is /u01/app/Oracle/Middleware, then maintain the instance base directory in /u01/app/Oracle/gc_inst.

Note: If you are creating the OMS instance base directory (gc_inst) on an NFS-mounted drive, then after you install, move the lock files from the NFS-mounted drive to a local file system location. Modify the lock file location in the httpd.conf file to map to a location on a local file system. For instructions, refer to Section 5.1.4.

Note: If you want to change the Oracle WebLogic Server password or the Node Manager password, see My Oracle Support note 1450798.1.

11. Click Next.

12. On the Review screen, review the details you provided for the selected installation type.

- If you want to change the details, click Back repeatedly until you reach the screen where you want to make the changes.

- After you verify the details, if you are satisfied, click Configure to begin the installation process.

13. On the Install Progress screen, view the overall progress (in percentage) of the installation.
Installing the Software Now and Upgrading It Later in Graphical Mode

14. On the Finish screen, you should see information pertaining to the installation of Enterprise Manager. Review the information and click Close to exit the installation wizard.

15. If you have additional OMS instances, then start upgrading each of them following the steps outlined in this section.

16. After upgrading all the OMS instances, upgrade the Management Agents, including the one that was installed with the first, old OMS (that is, central agent).

   - If you are upgrading from 12c Release 1 (12.1.0.1) with Bundle Patch 1 or 12c Release 2 (12.1.0.2), then you must upgrade your Management Agent using the Agent Upgrade Console. For instructions to use the Agent Upgrade Console to upgrade Management Agents, see Chapter 6.
   - If you are upgrading 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1), then you already have the Management Agent predeployed by the Preupgrade Console.

   **Note:** After upgrading the central agent, if you find the agent base directory of the upgraded central agent in the old Oracle Middleware home, and if you want to move it outside that old Oracle Middleware home, then follow the instructions outlined in the My Oracle Support note 1520010.1 available at the following URL:

   https://support.us.oracle.com/oip/faces/secure/km/DocumentDisplay.jspx?id=1520010.1

17. After upgrading the Enterprise Manager system completely, if some central agents appear in Activation Pending state in the Enterprise Manager Cloud Control Console, then delete them by following these steps outlined in Section 12.12.2.
5.3.3.1 Advanced Script Options for Software-Only Mode

The following are some additional, advanced options you can pass while invoking the configureGC.sh script:

- By default, GCDomain is the default name used for creating the WebLogic Domain. To override this and use a custom WebLogic Domain name, invoke the script with the WLS_DOMAIN_NAME option, and enter a unique custom name.

  For example, if you want to use the custom name EMDomain, then run the following command:

  ```
  $<MIDDLEWARE_HOME>/oms/sysman/install/ConfigureGC.sh WLS_DOMAIN_NAME=EMDomain
  ```

- After the configuration ends successfully, the OMS and the Management Agent start automatically. If you do not want them to start automatically, then invoke the script with START_OMS and b_startAgent options, and set them to true or false depending on what you want to control.

  For example, if you do not want the Management Agent to start automatically, then run the following command:
Installing the Software Now and Upgrading It Later in Silent Mode

This section explains how you can install only the software binaries of Enterprise Manager 12c Cloud Control in silent mode at one point, and upgrade them at a later point:

- Installing the Software Binaries
- After You Install
- Configuring and Upgrading the Software

**Note:** You cannot upgrade 12c Release 1 (12.1.0.1) without Bundle Patch 1 to 12c Release 3 (12.1.0.3) directly. To upgrade such a release, follow these steps:

1. Patch the OMS with Bundle Patch 1.
2. Upgrade the OMS plug-ins to Bundle Patch 1 plug-in version.
3. Upgrade the Management Agent plug-ins to Bundle Patch 1 plug-in version.
4. Upgrade the OMS patched with Bundle Patch 1 to 12c Release 3 (12.1.0.3).

For Step (1) to Step (3), follow the instructions outlined in *Oracle Enterprise Manager Bundle Patch 1 Application Guide*. For Step (4), follow the instructions outlined in Part II.

**WARNING:** Do not upgrade Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1) [with Bundle Patch 1] while it is undergoing a 2-system upgrade from its earlier release (10.2.0.5 or 11.1.0.1). Wait until the upgrade completes fully because there might be some standalone Management Agents in status pending state while the upgrade is in progress.
5.4.1 Installing the Software Binaries

To install the software binaries of Enterprise Manager 12c Cloud Control, follow these steps:

1. Copy the following response file to an accessible location on your local host:

   `<Software_Location>/response/software_only.rsp`

   In this command, `<Software_Location>` refers to the location where you have extracted the software kit.

2. Edit the response file and enter appropriate values for the variables described in Table 5–2.

3. Invoke the installer:

   `./runInstaller -silent -responseFile <absolute_path>/software_only.rsp`  
   `[-invPtrLoc <absolute_path_to_oraInst.loc>]`

---

**Note:** While upgrading, you might see an error message stating that some Management Agents are still in *Activation Pending* state, or that the Deferred Data Migration Process (DDMP) or Accrued Data Migration Process (ADMP) is not complete. This indicates that the Enterprise Manager system is still undergoing a 2-system upgrade from its earlier release to 12c Release 1 (12.1.0.1) or 12c Release 2 (12.1.0.2).

To resolve this issue, wait until the DDMP and ADMP jobs are complete, and all Management Agents are switched over from the earlier release to 12c Release 1 (12.1.0.1) [with Bundle Patch 1] or 12c Release 2 (12.1.0.2). Then upgrade to 12c Release 3 (12.1.0.3).

If you are sure you do not want to switch over some Management Agents from the earlier release to 12c Release 1 (12.1.0.1) [with Bundle Patch 1] or 12c Release 2 (12.1.0.2), then delete such unwanted Management Agents as described in Section 5.1.3, before you start the upgrade process.

---

**Note:** After upgrading, you can choose to delete the old OMS home if you do not want it. For instructions, see Appendix J.

---

**Note:** If you see an error message stating that you have not copied the emkey, run the following command.

```
$<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file
-repos_host <repository_host> -repos_port <port> -repos_sid <sid> -repos_user <username> [-repos_pwd <pwd>] -emkey_file
<OMS_HOME>/sysman/config/emkey.ora
```

(Here, the Management Repository details are details of the existing or old Management Repository. And when you run this command, you might be prompted for the admin server password.)
Note:

- To invoke the installation wizard on UNIX platforms, run `runInstaller`. To invoke on Microsoft Windows platforms, run `setup.exe`.
- For information about the additional, advanced options you can pass while invoking the installer, refer to Section 5.2.1.
- While installing on IBM AIX, if you see an error message stating that your JDK version in the middleware home is not of a supported version, then make sure you install the supported version mentioned in the message, and then invoke the installer passing the `-skipJDKValidation` argument.
  
  For example,
  
  ```
  ./runInstaller -skipJDKValidation
  ```

4. Deinstall the Management Agent and delete the agent base directory you created. For instructions, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

   The Management Agent you installed and the agent base directory you created is essentially for a fresh installation, and is not used while upgrading Management Agents using the Agent Upgrade Console. The Agent Upgrade Console performs an out-of-place upgrade, and creates a new agent home in the existing agent base directory for every Management Agent that is upgraded.

   For example, in Step 10 (b), you might have provided `/software/oracle/agent12103` as the agent base directory. However, the old agent base directory might be `/software/oracle/middleware/agent_base/`, and the old agent home might be `/software/oracle/middleware/agent_base/core/12.1.0.2`. In this case, the Agent Upgrade Console that upgrades the Management Agent does not use the agent base directory `/software/oracle/agent12103` created in Step 10 (b). Instead, it creates a new agent home `/software/oracle/middleware/agent_base/core/12.1.0.3` in the existing agent base directory `/software/oracle/middleware/agent_base/`. Since the agent base directory you provided in Step 10 (b) is no longer required, you can deinstall the Management Agent and manually delete the agent base directory.

5.4.1.1 Editing the Response File for Installing the Software Binaries

   Table 5–2 describes what variables you must edit and how you must edit them in the `software_only.rsp` response file for installing the software binaries of Enterprise Manager Cloud Control.
After You Install

(For UNIX Only) After you install the software binaries, log in as a root user in a new terminal and run the allroot.sh script from the OMS home:

Table 5–2 Editing Response File for Installing Software Binaries

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>INSTALL_UPDATES_SELECTION</td>
<td>By default, this variable is set to &quot;skip&quot; indicating that the software updates will not be installed during installation.</td>
</tr>
<tr>
<td></td>
<td>■ If you want to install the software updates from My Oracle Support, then set this variable to &quot;download&quot;. Then, enter the credentials for the following variables:</td>
</tr>
<tr>
<td></td>
<td>MYORACLESUPPORT_USERNAME_FOR_SOFTWAREUPDATES</td>
</tr>
<tr>
<td></td>
<td>MYORACLESUPPORT_PASSWORD_FOR_SOFTWAREUPDATES</td>
</tr>
<tr>
<td></td>
<td>■ If you want to install the software updates from a staged location, then set this variable to &quot;staged&quot;. Then, for the STAGE_LOCATION parameter, enter the absolute path, which leads to the Updates directory, where the software updates are available.</td>
</tr>
<tr>
<td></td>
<td>For this option, as a prerequisite, you must have already downloaded the software updates. For instructions, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.</td>
</tr>
<tr>
<td>ORACLE_MIDDLEWARE_HOME_LOCATION</td>
<td>Upgrade to 12c Release 3 (12.1.0.3) is an out-of-place upgrade, therefore you must specify an existing middleware home where Oracle WebLogic Server and Java Development Kit are installed, or enter a new middleware home where they can be installed by the installer.</td>
</tr>
<tr>
<td></td>
<td>Enter the location where you have installed Oracle WebLogic Server 11g Release 1 (10.3.6) and Java Development Kit 1.6.0.43.0.</td>
</tr>
<tr>
<td></td>
<td>If you do not already have them installed, then enter the location where you want the installer to install them.</td>
</tr>
<tr>
<td></td>
<td>For example, u01/app/Oracle/Middleware.</td>
</tr>
<tr>
<td></td>
<td>Ensure that the middleware location has write permission to create the Oracle homes for OMS and Management Agent.</td>
</tr>
<tr>
<td></td>
<td>Note: Ensure that the Middleware home you enter here is used only for Enterprise Manager Cloud Control. Ensure that no other Oracle Fusion Middleware products or components are installed in the same Middleware home.</td>
</tr>
<tr>
<td>ORACLE_HOSTNAME</td>
<td>By default, the fully qualified name of the host on which you have invoked the installer, is considered.</td>
</tr>
<tr>
<td></td>
<td>■ To accept the default value, leave it blank.</td>
</tr>
<tr>
<td></td>
<td>■ To install with a different host name, then enter another host name.</td>
</tr>
<tr>
<td>AGENT_BASE_DIR</td>
<td>Enter the absolute path to the agent base directory, a location outside the Oracle Middleware home where the Management Agent can be installed.</td>
</tr>
<tr>
<td></td>
<td>For example, u01/app/Oracle/Middleware/agent.</td>
</tr>
<tr>
<td></td>
<td>Ensure that this location is empty and has write permission. Also ensure that it is always maintained outside the Oracle Middleware home.</td>
</tr>
</tbody>
</table>
5.4.3 Configuring and Upgrading the Software

To configure the software binaries of Enterprise Manager Cloud Control, follow these steps:

1. Copy the following response file to an accessible location on the host where you copied the software binaries of Enterprise Manager Cloud Control:
   
   `<Software_Location>/response/upgrade.rsp`

   In this command, `<Software_Location>` refers to the location where you have extracted the software kit.

2. Edit the response file and enter appropriate values for the variables described in Appendix A.

3. Configure the software binaries by invoking the `ConfigureGC.sh` script passing the response you edited in the previous step:

   `$<MIDDLEWARE_HOME>/oms/sysman/install/ConfigureGC.sh -silent -responseFile <absolute_path>/upgrade.rsp [-invPtrLoc <absolute_path_to_oraInst.loc>]`

---

**Note:**

- While installing the software binaries as described in Section 5.4.1, if you had passed the argument `-invPtrLoc`, then pass the same argument here as well.

- For information about the additional, advanced options you can pass while invoking the script, refer to Section 5.3.3.1.

- If a prerequisite check fails reporting a missing package, then make sure you install the required package, and retry the installation. The installer validates the package name as well as the version, so make sure you install the packages of the minimum versions mentioned in Oracle Enterprise Manager Cloud Control Basic Installation Guide. To understand the logic the installer uses to verify these packages, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.
Installing the Software Now and Upgrading It Later in Silent Mode

---

**Note:** If you see an error about missing plug-ins, then do the following:

1. Make a note of the plug-in version and plug-in update as shown in the missing plug-ins error message. The plug-ins displayed in the error message have the following format:
   
   PluginID:PluginVersion:PluginUpdate

2. Manually download the same plug-in version and the same or higher plug-in update as shown in the missing plug-ins error message, from the following URL:


   Store the plug-ins in an accessible location, and ensure that the location is meant only for these missing plug-ins.

3. Invoke the `ConfigureGC.sh` script in the following way to install the downloaded plug-ins:

   ```bash
   <OMS_HOME>/sysman/install/ConfigureGC.sh -pluginLocation <absolute_path_to_plugin_sw>
   ```

---

4. If you have additional OMS instances, then start upgrading each of them following the steps outlined in this section.

5. After upgrading all the OMS instances, upgrade the Management Agents, including the one that was installed with the first, old OMS (that is, central agent).

   - If you are upgrading from 12c Release 1 (12.1.0.1) with Bundle Patch 1 or 12c Release 2 (12.1.0.2), then you must upgrade your Management Agent using the `Agent Upgrade Console`. For instructions to use the `Agent Upgrade Console` to upgrade Management Agents, see Chapter 6.

   - If you are upgrading 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1), then you already have the Management Agent predeployed by the `Preupgrade Console`.

---

**Note:** After upgrading the central agent, if you find the agent base directory of the upgraded central agent in the old Oracle Middleware home, and if you want to move it outside that old Oracle Middleware home, then follow the instructions outlined in the My Oracle Support note 1520010.1 available at the following URL:

[https://support.us.oracle.com/oip/faces/secure/km/DocumentDisplay.jspx?id=1520010.1](https://support.us.oracle.com/oip/faces/secure/km/DocumentDisplay.jspx?id=1520010.1)

---

6. After upgrading the Enterprise Manager system completely, if some central agents appear in *Activation Pending* state in the Enterprise Manager Cloud Control Console, then delete them by following these steps outlined in Section 12.12.2.
Upgrading a Multi-OMS Environment

Note:

- After upgrading, you can choose to delete the old OMS home if you do not want it. For instructions, see Appendix J.
- If the Management Repository upgrade fails with the following error in the schemamanager logs, then restart the database, and then try the upgrade again.

```
ORA-04020: deadlock detected while trying to lock object SYSMAN.MGMT_GLOBAL
```
- If the upgrade fails because you did not apply the required database patches as described in Step 2 (d) of Section 4.1, then see My Oracle Support note 1568107.1 to verify the error in the log file, and to resolve the issue and proceed further.

Caution:  (Applicable only for 12.1.0.X to 12.1.0.3 Upgrade) If you have any JVM targets associated with the old OMS, then even after you refresh the WebLogic domain, on the WebLogic domain home page, you will continue see the JVM target that was associated with the old OMS. This is an expected behavior.

You can choose to either retain it for viewing historical data or delete it. To delete it, right-click the orphaned JVM target, and select Remove Target.

5.5 Upgrading a Multi-OMS Environment

To upgrade an Enterprise Manager system of 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1) that has multiple OMS, follow these steps:

Note:  You cannot upgrade 12c Release 1 (12.1.0.1) without Bundle Patch 1 to 12c Release 3 (12.1.0.3) directly. To upgrade such a release, follow these steps:

1.  Patch the OMS with Bundle Patch 1.
2.  Upgrade the OMS plug-ins to Bundle Patch 1 plug-in version.
3.  Upgrade the Management Agent plug-ins to Bundle Patch 1 plug-in version.
4.  Upgrade the OMS patched with Bundle Patch 1 to 12c Release 3 (12.1.0.3).

For Step (1) to Step (3), follow the instructions outlined in Oracle Enterprise Manager Bundle Patch 1 Application Guide. For Step (4), follow the instructions outlined in Part II.
Upgrading a Multi-OMS Environment

1. Upgrade the first OMS (where the Admin Server is running). Follow the high-level process described in Section 4.1.

   **Note:** Always start the upgrade process with the first OMS, where the Admin Server is running, and not with any of the additional OMS instances.

   To identify the OMS where the Admin Server is running, run the following command on the OMS home and verify if the output displays the Admin Server details.

   ```
   $<OMS_HOME>/bin/emctl status oms -details
   ```

   You should see a similar output:

   ```
   Oracle Enterprise Manager Cloud Control 12c
   Copyright (c) 1996, 2012 Oracle Corporation. All rights reserved
   Enter Enterprise Manager Root (SYSMAN) Password :
   Console Server Host : myhost.example.com
   .
   .
   WLS Domain Information
   Domain Name : GCDomain
   Admin Server Host: myhost.example.com
   .
   .
   ```

2. After upgrading the first OMS in your system, on every other host where an additional OMS is running, invoke the Enterprise Manager Cloud Control Installation Wizard, and on the Installation Types screen, select **Upgrade an Existing Enterprise Manager System**, and then, select **One System Upgrade**. Then, select the additional OMS home you want to upgrade.

3. After upgrading all the OMS instances, upgrade the Management Agents, including the one that was installed with the first, old OMS (that is, central agent). For more information, refer to Chapter 6

**WARNING:** Do not upgrade Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2) or 12c Release 1 (12.1.0.1) [with Bundle Patch 1] while it is undergoing a 2-system upgrade from its earlier release (10.2.0.5 or 11.1.0.1). Wait until the upgrade completes fully because there might be some standalone Management Agents in status pending state while the upgrade is in progress.

Note: Always start the upgrade process with the first OMS, where the Admin Server is running, and not with any of the additional OMS instances.

To identify the OMS where the Admin Server is running, run the following command on the OMS home and verify if the output displays the Admin Server details.

```
$<OMS_HOME>/bin/emctl status oms -details
```

You should see a similar output:

```
Oracle Enterprise Manager Cloud Control 12c
Copyright (c) 1996, 2012 Oracle Corporation. All rights reserved
Enter Enterprise Manager Root (SYSMAN) Password :
Console Server Host : myhost.example.com
.
.
.
WLS Domain Information
Domain Name : GCDomain
Admin Server Host: myhost.example.com
.
.
.
```
This chapter describes how you can upgrade your Enterprise Manager Cloud Control 12c Oracle Management Agents (Management Agents). This chapter contains the following sections:

- Overview
- Before You Begin
- Prerequisites
- Upgrade Procedure
- After You Upgrade
- Troubleshooting

### 6.1 Overview

If you are using Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) to manage your enterprise, Oracle strongly recommends that you upgrade all your Oracle Management Agents (Management Agents) to 12.1.0.3.

To upgrade your 12c Management Agents, you can either use the Upgrade Agents page of Agent Upgrade Console (which is part of the Enterprise Manager Cloud Control console), or use EM CLI. The Upgrade Agents page acts as a single-window solution to mass-upgrade your existing Management Agents to the latest version for which the software is available in Oracle Software Library (Software Library).

Agent Upgrade Console consists of the Agent Upgrade Tasks tab, and the Post Agent Upgrade Tasks tab. You can use the Agent Upgrade Tasks tab to upgrade 12c Management Agents, view the Management Agents that cannot be upgraded, and view a summary of the Management Agent upgrade jobs. You can use the Post Agent Upgrade Tasks tab to clean up upgraded 12c Management Agents, and view a summary of the Management Agent clean up jobs. To access the Agent Upgrade Console, from the Setup menu, select Manage Cloud Control, then select Upgrade Agents.

Figure 6–1 shows the Agent Upgrade Console.
Upgrading a Management Agent modifies its installation base directory structure. The following is an example of the installation base directory structure of a 12c Release 1 (12.1.0.1) Management Agent, when it is upgraded to 12c Release 3 (12.1.0.3):

Before Upgrade

```
<agent_base_directory>
 |   _sbin
 |   _core
 |       _12.1.0.1.0
 |   _plugins
 |   _agent_inst
 |   _agentimage.properties
 .
 .
 .
```

After Upgrade

```
<agent_base_directory>
 |   _sbin
 |   _backup_<TIMESTAMP>
 |   _core
 |       _12.1.0.1.0
 |       _12.1.0.3.0
 |   _plugins
 |   _agent_inst
 |   _agentimage.properties
 .
 .
 .
```
Before You Begin

Upgrading Oracle Management Agents

6.2 Before You Begin

Before you upgrade your Management Agents, you must be aware of the following:

- You can use the Agent Upgrade Console or EM CLI to upgrade only 12c Release 1 Bundle Patch 1 (12.1.0.1) or 12c Release 2 (12.1.0.2) Management Agents.

Only 12c Release 1 Bundle Patch 1 (12.1.0.1) Management Agents and above are supported on a 12.1.0.3 OMS. While upgrading your OMS to 12.1.0.3, if there are one or more 12c Release 1 Management Agents in your setup that do not have Bundle Patch 1 deployed on them, the installer blocks the OMS upgrade and mentions that some Management Agents have incompatible plug-ins. To proceed with the OMS upgrade, you must upgrade the Management Agents to the Bundle Patch 1 version.

For information about the Management Agent versions that are supported on a 12.1.0.3 OMS, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.

- You must upgrade the central agent installed along with the old Oracle Management Service (OMS). This Management Agent is not upgraded automatically while upgrading to Enterprise Manager Cloud Control 12c Release 2 (12.1.0.2).

Management Agents, including the central agent installed on the Oracle Management Service (OMS) host, are not upgraded automatically while upgrading to Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). Ensure that you upgrade the central agent installed on the OMS host immediately after upgrading the old OMS to 12.1.0.3.

- You can upgrade a Management Agent using the Agent Upgrade Console or EM CLI even when you do not have preferred privileged credentials or non-privileged credentials set, or are not aware of the Management Agent credentials. Privileged credentials are only required to run the root.sh script post-upgrade.

If you upgrade a Management Agent as a user who does not have root privileges, or you upgrade a Management Agent without having preferred privileged credentials, a warning appears. You can ignore this warning during the upgrade. Later, you can log in to the Management Agent host as the root user, and run the $<AGENT_BASE_DIR>/core/12.1.0.3.0/root.sh script.
Before upgrading a Management Agent, ensure that you undeploy the plug-ins that are not supported on the old Management Agent.

Also, if the plug-ins deployed on the old Management Agent are not supported on the upgraded Management Agent, either undeploy the plug-ins, or deploy versions of the plug-ins that are supported on the upgraded Management Agent.

For information on how to undeploy and deploy a plug-in, see Oracle Enterprise Manager Cloud Control Administrator’s Guide.

In Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3.0), you can save the Management Agent one-off patches that you want to apply on a particular version of the Management Agent software, such that these patches are automatically applied on the software whenever a new Management Agent of the same version is deployed, or an old Management Agent is upgraded to that version.

For information on how to do this, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

Also, you can apply one-off patches on a plug-in and create a custom patched plug-in, such that this custom patched plug-in is deployed on all the new Management Agents that you deploy, and all the old Management Agents that you upgrade.

For information on how to do this, see Oracle Enterprise Manager Cloud Control Administration Guide.

If you select a Management Agent installed on a cluster, or a shared Management Agent for upgrade, the set of related Management Agents, that is, the other Management Agents of the cluster or the shared Oracle Home are selected for upgrade automatically.

You cannot upgrade a Management Agent in the following scenarios:

- The Management Agent is not up and running
- The Management Agent is not secure
- The Management Agent is not reachable
- The new Management Agent software (of the same version as the OMS version) is not present in Oracle Software Library (Software Library)
- The Management Agent Oracle home property is missing

See the Management Agent upgrade checklist present in the My Oracle Support note 1569883.1.

6.3 Prerequisites

Before you upgrade your Management Agents, meet the following prerequisites:

- If you want to upgrade a Management Agent running on a platform different from the OMS host platform, ensure that the latest Management Agent software for the platform is downloaded and applied in Software Library, using Self Update. To access Self Update, from the Setup menu, select Extensibility, then select Self Update. To check whether the latest Management Agent software for a platform is download and applied, click Agent Software, then check the Version and the Status columns for the required platform. The software version for the platform must be the same as the OMS version. The status must read Applied.
If the latest software is not downloaded and applied, select the software, then click **Download** to download it. After downloading the software, click **Apply** to apply the software. If the software has been downloaded, but not applied, click **Apply** to apply the software.

For more information on using Self Update to download and apply the latest Management Agent software for a platform, see *Oracle Enterprise Manager Cloud Control Basic Installation Guide*.

- Ensure that the Management Agents you want to upgrade are up and running.
  
  To verify if a Management Agent is up and running, from the **Setup** menu, select **Manage Cloud Control**, then select **Agents**. Check the **Status** column of the required Management Agent.

  If the Management Agent is unreachable, click the Management Agent name to navigate to the Management Agent home page. Click the Agent Unreachable icon, and perform the recommended actions.

- Ensure that the Management Agents you want to upgrade are secure.
  
  To verify if a Management Agent is secure, from the **Setup** menu, select **Manage Cloud Control**, then select **Agents**. Check the **Secure Upload** column of the required Management Agent.

  If the Management Agent is not secure, from the **Agent** menu, select **Secure** to secure it.

  Also, you can run the following command to verify if a Management Agent is secure:

  ```
  <EMSTATE>/bin/emctl status agent
  <EMSTATE> refers to the Management Agent instance directory, that is, <AGENT_BASE_DIRECTORY>/agent_inst
  
  If the Management Agent is secure, the Management Agent URL displayed is a HTTPS URL. However, if the Management Agent URL displayed is a HTTP URL, secure the Management Agent by running the following command:

  ```
  <EMSTATE>/bin/emctl secure agent
  
- Ensure that OMS collections are run on all the Management Agents that you want to upgrade.

  If OMS collections are not run on some Management Agents, they are not upgradable. These Management Agents are displayed on the Not Upgradable Agents page, with the reason displayed as *Oracle Home Property Missing*. For information on how to access this page, see Section 6.4.3.

  To run OMS collections on a Management Agent that you want to upgrade, run the following command from the Management Agent host:

  ```
  <EMSTATE>/bin/emctl control agent runCollection <TARGET_NAME>:oracle_home oracle_home_config
  <EMSTATE> refers to the Management Agent instance directory, that is, <AGENT_BASE_DIRECTORY>/agent_inst

  <TARGET_NAME> refers to the Management Agent target of target type oracle_home that is present in <EMSTATE>/sysman/emd/targets.xml
  
- Ensure that the old Management Agent does not come up during the Management Agent upgrade process.
You may have scheduled certain cron jobs, or configured certain notification managers that start up a Management Agent when it is down. The old Management Agent is shut down as part of the upgrade process. Ensure that this Management Agent is not brought up.

- Ensure that the install user has read permissions on all the files present in Oracle Inventory, and write permissions on the Oracle Inventory directory.

To grant read permissions on all the files present in Oracle Inventory, run the following command as the install user:

```bash
chmod -R +r $<INVENTORY_LOCATION>
```

To grant write permissions on the Oracle Inventory directory, run the following command as the install user:

```bash
chmod +rw $<INVENTORY_LOCATION>
```

- If the temporary directory (that is, the stage location) you specify and the agent base directory of the Management Agent you want to upgrade are present on the same disk, then ensure that the disk has at least 2.5 GB of free space. If they are present on different disks, ensure that the temporary directory has at least 1.5 GB of free space, and the agent base directory has at least 750 MB of free space.

### 6.4 Upgrade Procedure

This section describes the actions involved in upgrading Management Agents. It consists of the following:

- Upgrading Management Agents
- Additional Parameters for Management Agent Upgrade
- Viewing Management Agents That Cannot Be Upgraded
- Performing Postupgrade Clean Up of Old Management Agents
- Viewing Management Agent Clean Up Jobs

#### 6.4.1 Upgrading Management Agents

This section describes the methods you can use to upgrade 12.1.0.x Management Agents. It consists of the following:

- Upgrading Management Agents using Agent Upgrade Console
- Upgrading Management Agents using EM CLI

#### 6.4.1.1 Upgrading Management Agents using Agent Upgrade Console

To upgrade 12.1.0.x Management Agents using Agent Upgrade Console, follow these steps:

1. From the **Setup** menu, select **Manage Cloud Control**, then select **Upgrade Agents**.
2. For **Job Name**, accept the default job name, or enter a unique job name.

   A unique job name enables you to identify the upgrade job, know details of its execution, and track its progress on the Agent Upgrade Status page.

   The job name can have a maximum length of 64 characters. It can contain alphabets, numerals, and special characters, and can begin with any of these.
3. Click **Add** to select the Management Agents you want to upgrade.

In the Upgradable Agents window, search for the Management Agents you want to upgrade, using the **Agent**, **Installed Version**, **Platform**, and **Group** fields.

Select the Management Agents you want to upgrade. Click **OK**.

---

**Important:**

- In this release, you can upgrade your 12c Release 1 Bundle Patch 1 (12.1.0.1) Management Agents to only 12c Release 3 (12.1.0.3), and not to 12c Release 2 (12.1.0.2).

- If you select a Management Agent that is part of a particular group for upgrade, the other Management Agents of the group are not selected for upgrade by default. If you want to upgrade the other Management Agents of the group, you must select them in the Upgradable Agents window.

---

4. (Optional) For **Pre-upgrade Script** and **Post-upgrade Script**, enter the absolute path of the script you want to run before and after the upgrade, respectively.

   For example, `/scratch/software/oracle/configure.sh`.

   The scripts you want to run must be present at the location you specify, on the Oracle Management Service (OMS) host, or on all the Management Agent hosts selected for upgrade. They can reside in a shared, NFS-mounted location accessible by the Management Agent hosts selected for upgrade.

   If the script you want to run is present only on the OMS host, and not on the Management Agent hosts selected for upgrade, then select **Script on OMS Host**. The scripts you specify are first copied to the stage location you specified earlier, then run, and then deleted from the stage location.

---

**Note:**

- You can specify only one pre-upgrade script and one post-upgrade script per session.

- Only shell scripts (.sh) and batch (.bat) scripts are supported. You should run only shell scripts while upgrading Management Agents installed on Unix platforms, and only batch scripts while upgrading Management Agents installed on Microsoft Windows platforms.

- If you want to upgrade a Management Agent installed on a Unix platform and a Management Agent installed on a Microsoft Windows platform in the same session, ensure that you do not specify a pre-upgrade or a post-upgrade script. If you want to specify a pre-upgrade or a post-upgrade script for upgrading these Management Agents, upgrade the Management Agents installed on different platforms in different sessions.

---

5. (Optional) For **Additional Parameters**, enter the additional options you want to use for the upgrade.
For example, specify -ignorePrereqs to skip running the prerequisite checks and directly perform the Management Agent upgrade. If you want to specify multiple additional parameters, separate them using a space.

Refer Section 6.4.2 for a list of parameters you can specify.

6. For **Stage Location**, accept the default stage location, or enter a custom location.
   
   For example, /tmp/software/oracle/EMStage.

   Ensure that the Management Agent install user has write permissions on the custom location you enter. The custom location you enter can be a shared, NFS-mounted location.

   **Note:** Ensure that the Management Agent host user has write permission in the custom location.

   The stage location is used to store temporary Management Agent upgrade files.

7. **Click Submit.**

   Once you click **Submit**, a Management Agent upgrade job is created, which is sent to the Enterprise Manager job system. You are automatically taken to the Agent Upgrade Status page for the job, which displays the details of the job steps.

   To view a summary of all the submitted Management Agent upgrade jobs, or search for and view a particular set of Management Agent upgrade jobs, use the Agent Upgrade Results page of the Agent Upgrade Console. To access this page, from the Setup menu, select **Manage Cloud Control**, then select **Upgrade Agents**. Click **Agent Upgrade Results**.

   To revisit the Agent Upgrade Status page for a Management Agent upgrade job, click the name of the job on the Agent Upgrade Results page.

   If you encounter an error during the Management Agent upgrade process, or if the Management Agent upgrade fails, refer Section 6.6.

8. If the root.sh step was skipped, or if this step failed, log in to the Management Agent host as the root user, navigate to $<AGENT_BASE_DIR>/core/12.1.0.3.0/ and run the root.sh script on the host manually.

   After root.sh is run, you can clean up your old Management Agents, as described in Section 6.4.4.

### 6.4.1.2 Upgrading Management Agents using EM CLI

To upgrade 12.1.0.x Management Agents using EM CLI, follow these steps:

1. **Log in to EM CLI** from the /bin directory present within the OMS home:

   $<OMS_HOME>/bin/emcli login -username=<user_name>

   For example, /scratch/aime/Oracle/MW/oms/bin/emcli login -username=sysman

   Once you run this command, EM CLI will prompt you for a password. Enter the password for the user name you specified.

2. **Synchronize EM CLI**:

   $<OMS_HOME>/bin/emcli sync
3. Run the `get_upgradable_agents` verb to obtain a list of the Management Agents that can be upgraded:

   ```
   $<OMS_HOME>/bin/emcli get_upgradable_agents
   [-agents]
   [-platforms]
   [-versions]
   [-groups]
   [-output_file]
   ```

   Note that the parameters mentioned in [ ] are optional.

   For example, 
   ```
   $<OMS_HOME>/bin/emcli get_upgradable_agents
   -agents="abc%,xyz.domain.com:1243" -platforms="Linux x86,Microsoft Windows x64 (64-bit)" -versions="12.1.0.2.0" -output_file="/scratch/agents_file.txt"
   ```

   Use the `-output_file` option to copy the list of upgradable Management Agents into a file. This file can be used later as an input parameter for the `upgrade_agents` verb.

   To view more information on the syntax and the usage of the `get_upgradable_agents` verb, run the following command:

   ```
   $<OMS_HOME>/bin/emcli/help get_upgradable_agents
   ```

4. Run the `upgrade_agents` verb to upgrade your ManagementAgents:

   ```
   $<OMS_HOME>/bin/emcli upgrade_agents
   [-agents | -input_file="agents_file:<absolute_location>"
   -input_file="response_file:<absolute_location>
   [-validate_only]
   [-pre_script_loc]
   [-pre_script_on_oms]
   [-post_script_loc]
   [-post_script_on_oms]
   [-job_name]
   [-override_credential]
   [-additional_parameters]
   [-stage_location]
   ```

   Note that the parameters mentioned in [ ] are optional.

   Ensure that you specify all the Management Agents that you want to upgrade by using either `-agents`, or `-input_file="agents_file:<file_name>"`, or by specifying agents in a response file, and then using `-input_file="response_file:<absolute_location>"`.

   For example, 
   ```
   $<OMS_HOME>/bin/emcli upgrade_agents
   -agents="abc%,xyz.domain.com:1243" -input_file="agents_file:/scratch/agents_file.txt" -input_file="response_file:/scratch/agent.rsp" -stage_location=/tmp
   ```

   Use the `-input_file="agents_file:<absolute_location>"` option to specify a file containing the list of Management Agents that you want to upgrade. Use the `-input_file="response_file:<absolute_location>"` option to specify a response file containing all the upgrade parameters that you want to use. A response file contains parameters in name value pairs, as shown:

   ```
   job_name=UPGRADE_AGENT
   additional_parameters="-ignorePrereq START_PRIORITY_LEVEL=98"
   ```
The parameters that you specify with the verb override the parameters that you specify in the response file.

To view more information on the syntax and the usage of the `upgrade_agents` verb, run the following command:

`$<OMS_HOME>/bin/emcli help upgrade_agents`

If you encounter an error during the Management Agent upgrade process, or if the Management Agent upgrade fails, refer Section 6.6.

5. To view the status of the submitted Management Agent upgrade jobs, run the `get_agent_upgrade_status` verb:

`$<OMS_HOME>/bin/emcli get_agent_upgrade_status
   [-agent] [-job_name] [-status]`

Note that the parameters mentioned in [ ] are optional.

For example, `$<OMS_HOME>/bin/emcli get_agent_upgrade_status -status="Success"` will display all the Management Agent upgrade jobs that are in progress.

You can view the detailed job step status of a particular Management Agent that was part of a particular upgrade job by using the `get_agent_upgrade_status` verb with the `-agent` and the `-job_name` options.

For example, `$<OMS_HOME>/bin/emcli get_agent_upgrade_status -agent=abc.example.com:1243 -job_name=UPGRADE_AGT_13603`

If a particular Management Agent upgrade job failed, check the inputs and run the `upgrade_agents` verb again. If you want to specify a custom job name (using the `-job_name` parameter) while retrying the verb, ensure that you provide a unique job name, and not the name of the job that failed. For Management Agent upgrade troubleshooting tips, see Section 6.6.

To view more information on the syntax and the usage of the `get_agent_upgrade_status` verb, run the following command:

`$<OMS_HOME>/bin/emcli/help get_agent_upgrade_status`

6. If the `root.sh` step was skipped, or if this step failed, log in to the Management Agent host as the `root` user, navigate to `$<AGENT_BASE_DIR>/core/12.1.0.3.0/` and run the `root.sh` script on the host manually.

After `root.sh` is run, you can clean up your old Management Agents, as described in Section 6.4.4.

---

**Note:** For more information on how to use the EM CLI verbs mentioned in this section, refer Oracle Enterprise Manager Command Line Interface.

---

### 6.4.2 Additional Parameters for Management Agent Upgrade

Table 6–1 describes the additional parameters you can use while upgrading Management Agents using Agent Upgrade Console, or EM CLI. You can enter more than one parameter, using a whitespace as a separator.
6.4.3 Viewing Management Agents That Cannot Be Upgraded

You may not be able to upgrade certain Management Agents using the Agent Upgrade Console or EM CLI. Table 6–2 describes the reasons for this.

<table>
<thead>
<tr>
<th>Reason</th>
<th>Description and Recommended Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Latest Agent Software Missing</td>
<td>The latest Management Agent software of the OMS version is not available in Oracle Software Library. &lt;br&gt;To upgrade a Management Agent for which the latest Management Agent software is not available, you need to first download and apply the latest software using the Self Update console, then use the Upgrade Agents page. &lt;br&gt;To access the Self Update Console, from the Setup menu, select Extensibility, then select Self Update. To download the latest Management Agent software, click Agent Software, select the required software, then click Download. For more information on using the Self Update console to download and apply the latest Management Agent software, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.</td>
</tr>
</tbody>
</table>
The Management Agent is not secure.

To upgrade a Management Agent which is not secure, you need to first secure the Management Agent, then use the Upgrade Agents page.

To secure the Management Agent, from the Setup menu, select Manage Cloud Control, then select Agents. Click the required Management Agent name. From the Agent menu, click Secure.

You can also run the following command to secure the Management Agent:

```bash
<EMSTATE>/bin/emctl secure agent
```

Oracle Home Property Missing

The Oracle Home property for the Management Agent is missing.

This property is required to obtain the platform of the Management Agent that you want to upgrade. For upgrading Shared Agents, this property plays a key role in maintaining the relationship between the Shared Agent and the Master Agent. It is also required for certain essential Management Agent lifecycle operations, such as patching.

To upgrade a Management Agent for which the Oracle Home property is missing, first run OMS collections on the Management Agent. To run OMS collections on a Management Agent, run the following command from the Management Agent host:

```bash
<EMSTATE>/bin/emctl control agent runCollection
<TARGET_NAME>:oracle_home oracle_home_config
```

Agent Unreachable

The Management Agent is not reachable, that is, the Oracle Management Service (OMS) cannot communicate with the Management Agent.

A Management Agent is generally unreachable when it is down, when it is blocked by the OMS, or when the Management Agent host is down. A Management Agent may also be unreachable due to network problems or certain other issues.

To upgrade a Management Agent that is unreachable, you need to first restore communication between the OMS and the Management Agent. To restore communication between the OMS and the Management Agent, from the Setup menu, select Manage Cloud Control, then select Agents. Click the required Management Agent name to navigate to the Management Agent home page. Click the displayed error icon, and perform the recommended actions.

<table>
<thead>
<tr>
<th>Reason</th>
<th>Description and Recommended Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agent Unsecured</td>
<td>The Management Agent is not secure. To upgrade a Management Agent which is not secure, you need to first secure the Management Agent, then use the Upgrade Agents page. To secure the Management Agent, from the Setup menu, select Manage Cloud Control, then select Agents. Click the required Management Agent name. From the Agent menu, click Secure. You can also run the following command to secure the Management Agent: &lt;EMSTATE&gt;/bin/emctl secure agent</td>
</tr>
<tr>
<td>Oracle Home Property Missing</td>
<td>The Oracle Home property for the Management Agent is missing. This property is required to obtain the platform of the Management Agent that you want to upgrade. For upgrading Shared Agents, this property plays a key role in maintaining the relationship between the Shared Agent and the Master Agent. It is also required for certain essential Management Agent lifecycle operations, such as patching. To upgrade a Management Agent for which the Oracle Home property is missing, first run OMS collections on the Management Agent. To run OMS collections on a Management Agent, run the following command from the Management Agent host: &lt;EMSTATE&gt;/bin/emctl control agent runCollection &lt;TARGET_NAME&gt;:oracle_home oracle_home_config</td>
</tr>
<tr>
<td>Agent Unreachable</td>
<td>The Management Agent is not reachable, that is, the Oracle Management Service (OMS) cannot communicate with the Management Agent. A Management Agent is generally unreachable when it is down, when it is blocked by the OMS, or when the Management Agent host is down. A Management Agent may also be unreachable due to network problems or certain other issues. To upgrade a Management Agent that is unreachable, you need to first restore communication between the OMS and the Management Agent. To restore communication between the OMS and the Management Agent, from the Setup menu, select Manage Cloud Control, then select Agents. Click the required Management Agent name to navigate to the Management Agent home page. Click the displayed error icon, and perform the recommended actions.</td>
</tr>
</tbody>
</table>
You can use the Not Upgradable Agents page to search for and view a set of Management Agents that currently cannot be upgraded. To search for and view these Management Agents, follow these steps:

1. From the Setup menu, select Manage Cloud Control, then select Upgrade Agents.
2. Click Not Upgradable Agents.
3. Enter or select values for parameters you want to use to search for Management Agents. You can search for Management Agents using the Management Agent name, version, platform, and the reason why the Management Agent cannot be upgraded.
4. For Match, select All or Any to search for results that match all the search parameters, or any of the search parameters, respectively.
5. Click Search.

6.4.4 Performing Postupgrade Clean Up of Old Management Agents

When you upgrade your 12.1.0.x Management Agents, the old agent homes, old Oracle Home targets, and backup directories of the old Management Agents remain, and are not deleted automatically. To delete these post upgrade and free up disk space, you can clean up the old Management Agents using Agent Upgrade Console or EM CLI.

This section describes the methods you can use to clean up 12.1.0.x Management Agents after upgrading them. It consists of the following:

- Performing Postupgrade Clean Up of Old Management Agents Using Agent Upgrade Console
- Performing Postupgrade Clean Up of Old Management Agents Using EM CLI

6.4.4.1 Performing Postupgrade Clean Up of Old Management Agents Using Agent Upgrade Console

To clean up your old Management Agents using the Clean Up Agents page of the Agent Upgrade Console, follow these steps:

---

Table 6–2 (Cont.) Why Some Management Agents Cannot Be Upgraded

<table>
<thead>
<tr>
<th>Reason</th>
<th>Description and Recommended Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agent Pending Activation</td>
<td>The Management Agent version is not 12c Release 1 Bundle Patch 1 (12.1.0.1) or later. You cannot upgrade 10g or 11g Management Agents using the Upgrade Agents page. Deinstall these Management Agents and install fresh Management Agents on the hosts. For information on deinstalling Management Agents, refer to Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide. For information on installing fresh Management Agents, refer to Oracle Enterprise Manager Cloud Control Basic Installation Guide.</td>
</tr>
</tbody>
</table>
1. From the Setup menu, select Manage Cloud Control, then select Upgrade Agents.
2. Click Post Agent Upgrade Tasks.
3. To change the default clean up job name, enter a unique value for Job Name.
   A unique job name enables you to identify the clean up job, know details of its execution, and track its progress.
   The job name can have a maximum length of 64 characters. It can contain alphabets, numerals, and special characters, and can begin with any of these.
4. Click Add to add Management Agents for clean up.
5. In the Agents for Clean Up window, search for the Management Agents you want to clean up, using the Agent, Platform, Installed Version, and Group fields.
6. Select the Management Agents you want to clean up. Click OK.
7. Click Submit.

6.4.4.2 Performing Postupgrade Clean Up of Old Management Agents Using EM CLI
To clean up your old Management Agents using EM CLI, follow these steps:
1. Log in to EM CLI from the /bin directory present within the OMS home:
   `$<OMS_HOME>/bin/emcli login -username=<user_name>`
   For example, `/scratch/aime/Oracle/MW/oms/bin/emcli login -username=sysman`
   Once you run this command, EM CLI will prompt you for a password. Enter the password for the user name you specified.
2. Synchronize EM CLI:
   `$<OMS_HOME>/bin/emcli sync`
3. Run the get_signoff_agents verb to obtain a list of the Management Agents for which clean up can be performed:
   Note that the parameters mentioned in [ ] are optional.
   For example, `$<OMS_HOME>/bin/emcli get_signoff_agents -output_file="/scratch/signoff_agents_file.txt"`
   Use the -output_file option to copy the output of the get_signoff_agents verb into a file, which you can later use as an input parameter for the signoff_agents verb.
   To view more information on the syntax and the usage of the get_signoff_agents verb, run the following command:
   `$<OMS_HOME>/bin/emcli help get_signoff_agents`
   4. Run the signoff_agents verb to clean up your Management Agents:
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$<OMS_HOME>/bin/emcli/signoff_agents
   -agents | -input_file="agents_file:<absolute_location>" |
   -input_file="response_file:<absolute_location>"
   [-job_name]

Note that the parameters mentioned in [ ] are optional.

Ensure that you specify all the Management Agents that you want to clean up by
using either -agents, or -input_file="agents_file:<file_name>", or by
specifying agents in a response file, and then using -input_file="response_
file:<absolute_location>".

For example, $<OMS_HOME>/bin/emcli signoff_agents
   -agents="abc%,xyz.domain.com:1243" -input_file="agents_file:/scratch/signoff_agents_file.txt" -input_file="response_file:/scratch/agent.rsp" -job_name=CLEAN_UP_12102

Use the -input_file="agents_file:<absolute_location>" option to specify a
file containing the list of Management Agents that you want to clean up. Use the
-input_file="response_file:<absolute_location>" option to specify a
response file containing all the parameters that you want to use. A response file
contains parameters in name value pairs, as shown:

agents=abc%,xyz%
job_name=CLEAN_UP_AGT_121020

The parameters that you specify with the verb override the parameters that you
specify in the response file.

To view more information on the syntax and the usage of the signoff_agents
verb, run the following command:

$<OMS_HOME>/bin/emcli help signoff_agents

---

**Note:** For more information on how to use the EM CLI verbs
mentioned in this section, refer Oracle Enterprise Manager Command
Line Interface.

6.4.5 Viewing Management Agent Clean Up Jobs

You can use the Clean Up Agents page or EM CLI to delete the old agent homes, old
Oracle Home targets, and backup directories of your old Management Agents. Once
you select the Management Agents you want to clean up and click Submit on the
Clean Up Agents page, or run the signoff_agents EM CLI verb, a Management Agent
clean up job is created, which is sent to the Enterprise Manager job system. You can
use the Clean Up Agent Results page, or the get_signoff_status EM CLI verb to
search for and view a particular set of Management Agent clean up jobs.

This section describes the methods you can use to view a particular set of Management
Agent clean up jobs. It consists of the following:

- Viewing Management Agent Clean Up Jobs Using Agent Upgrade Console
- Viewing Management Agent Clean Up Jobs Using EM CLI

6.4.5.1 Viewing Management Agent Clean Up Jobs Using Agent Upgrade Console

To view a particular set of Management Agent clean up jobs using the Clean Up Agent
Results page of the Agent Upgrade Console, follow these steps:
1. From the **Setup** menu, select **Manage Cloud Control**, then select **Upgrade Agents**.

2. Click **Post Agent Upgrade Tasks**.

3. Click **Clean Up Agent Results**.

4. Enter or select values for parameters that you want to use to search for Management Agent clean up jobs. You can search for these jobs using the job name, the Management Agents that were part of the clean up, and the status of the job.

5. For **Match**, select **All** or **Any** to search for results that match all the search parameters, or any of the search parameters, respectively.

6. Click **Search**.

### 6.4.5.2 Viewing Management Agent Clean Up Jobs Using EM CLI

To view a particular set of Management Agent clean up jobs using EM CLI, follow these steps:

1. Log in to EM CLI from the `/bin` directory present within the OMS home:
   
   ```bash
   $<OMS_HOME>/bin/emcli login -username=<user_name>
   
   For example, `/scratch/aime/Oracle/MW/oms/bin/emcli login -username=sysman`
   
   Once you run this command, EM CLI will prompt you for a password. Enter the password for the user name you specified.
   
2. Synchronize EM CLI:
   
   ```bash
   $<OMS_HOME>/bin/emcli sync
   
   3. Run the `get_signoff_status` verb to view a particular set of Management Agent clean up jobs:
   
   ```bash
   $<OMS_HOME>/bin/emcli get_signoff_status
   [-agent]
   [-job_name]
   [-status]
   
   Note that the parameters mentioned in [ ] are optional.
   
   For example, `$<OMS_HOME>/bin/emcli get_signoff_status -status="Success"` displays the Management Agent clean up jobs that succeeded.
   
   To view more information on the syntax and the usage of the `get_signoff_status` verb, run the following command:
   
   ```bash
   $<OMS_HOME>/bin/emcli help get_signoff_status
   ```

---

**Note:** For more information on how to use the EM CLI verbs mentioned in this section, refer *Oracle Enterprise Manager Command Line Interface*.

### 6.5 After You Upgrade

This section describes the various tasks you can perform after upgrading your Management Agents. It consists of the following:
6.5.1 Performing Postupgrade Verification Tasks

This section describes how to verify the Management Agent upgrade. It consists of the following sections:

- Verifying the Upgrade Using the Enterprise Manager Console
- Verifying the Upgrade Using EM CLI

6.5.1.1 Verifying the Upgrade Using the Enterprise Manager Console

After you upgrade your Management Agents, follow these methods to verify the upgrade using the Enterprise Manager console:

- From the Setup menu, select Manage Cloud Control, then select Upgrade Agents. Click Agent Upgrade Results. Verify that the job you created to upgrade the Management Agents succeeded.

- From the Setup menu, select Manage Cloud Control, then select Agents. Click the name of a Management Agent that you want to verify the upgrade for, and verify the Management Agent version. The Management Agent version after the upgrade must be the same as the OMS version.
  
  Also, on the Agents page, verify that the Management Agent is up and running, is not blocked, and is not under blackout.

- From the Setup menu, select Manage Cloud Control, then select Agents. Click the name of the Management Agent that you want to verify the upgrade for. From the Agent menu, select Configuration, then select Last Collected. In the Configuration Properties tab, ensure that none of the configuration properties mention the old Management Agent home.

6.5.1.2 Verifying the Upgrade Using EM CLI

After you upgrade your Management Agents, follow these methods to verify the upgrade using EM CLI:

- Run the `get_agent_upgrade_status` verb to verify that the job you created to upgrade the Management Agents succeeded. This is described in detail in Step 5 of Section 6.4.1.2.

- Run the `get_agent_properties` verb to verify the version of the Management Agent and its configuration properties after the upgrade:

  ```
  $<OMS_HOME>/bin/emcli get_agent_properties -format=csv -agent_name=<agent_host_name>:<agent_port>
  ```

  For example, `$<OMS_HOME>/bin/emcli get_agent_properties -format=csv -agent_name=abc.us.oracle.com:1872`

- Run the `get_targets` verb to verify the status of the Management Agent (it should be up and running, and not be blocked, under blackout, etc.):

  ```
  $<OMS_HOME>/bin/emcli get_targets -format="name:csv" -targets=<agent_host_name>:<agent_port>:oracle_emd -alerts
  ```

  For example, `$<OMS_HOME>/bin/emcli get_targets -format="name:csv" -targets=abc.us.oracle.com:3872:oracle_emd -alerts`
6.5.2 Moving Central Agent Base Directory Outside Oracle Middleware Home

After upgrading the central agent, if you find the agent base directory of the upgraded central agent in the old Oracle Middleware home, and if you want to move it outside that old Oracle Middleware home, then follow the instructions outlined in the My Oracle Support note 1520010.1 available at the following URL:

https://support.us.oracle.com/oip/faces/secure/km/DocumentDisplay.jspx?id=1520010.1

**Important:** Moving the agent base directory is recommended only for the central agent, and not for the other Management Agents.

6.6 Troubleshooting

Table 6–3 describes how to troubleshoot certain errors you may encounter while upgrading your Management Agents.
**Table 6–3  Troubleshooting Oracle Management Agent Upgrade**

<table>
<thead>
<tr>
<th>Problem</th>
<th>Troubleshooting Tip</th>
</tr>
</thead>
</table>
| When you click **Submit** on the Upgrade Agents page, you encounter an error mentioning that root.sh could not be run for a Management Agent as the preferred privileged credentials for the Oracle Home of the Management Agent are not set. | Do one of the following:  
  - When the error message is displayed, click **OK** to continue the upgrade. After the upgrade, log in to the Management Agent host as the root user, and run the `$<AGENT_BASE_DIR>/core/12.1.0.3.0/root.sh` script on the host.  
  - When the error message is displayed, click **Cancel** to cancel the upgrade, then do the following:  
    1. Set the preferred privileged credentials for the Oracle Home target of the Management Agent.  
       For information on how to set the preferred privileged credentials for a Management Agent Oracle Home target, refer Oracle Enterprise Manager Cloud Control Administrator’s Guide.  
    2. Upgrade the Management Agent.  

If you do not want to set the preferred privileged credentials for the Oracle Home target of the Management Agent, when the error message is displayed, click **Cancel**, then do the following:  

1. Select **Override Privileged Credentials**, then create a new credential by clicking the displayed icon. If the credential you create is not a root credential, select Sudo or PowerBroker for **Run Privilege**, and enter root for **Run as**.  
2. Configure privilege delegation settings on the Management Agent host.  
3. Upgrade the Management Agent.  

If the root.sh job step fails after the upgrade, log in to the Management Agent host as the root user, and run the `$<AGENT_BASE_DIR>/core/12.1.0.3.0/root.sh` script manually.
When you click **Submit** on the Upgrade Agents page, you encounter an error mentioning that `root.sh` could not be run for a Management Agent as the privilege delegation settings for the Management Agent host are not set.

Do one of the following:

- When the error message is displayed, click **OK** to continue the upgrade. After the upgrade, log in to the Management Agent host as the `root` user, and run the `$<AGENT_BASE_DIR>/core/12.1.0.3.0/root.sh` script on the host.

- When the error message is displayed, click **Cancel** to cancel the upgrade, then do the following:

  1. Configure privilege delegation settings on the Management Agent host.

     For information on how to configure privilege delegation settings on a host, see Oracle Enterprise Manager Lifecycle Management Administrator’s Guide.

  2. Upgrade the Management Agent.

     If the `root.sh` job step fails after the upgrade, log in to the Management Agent host as the `root` user, and run the `$<AGENT_BASE_DIR>/core/12.1.0.3.0/root.sh` script manually.

When you click **Submit** on the Upgrade Agents page, you encounter an error mentioning that `root.sh` could not be run for a Management Agent as `Run as root` is not set for the Management Agent Oracle Home preferred privileged credential.

Do one of the following:

- When the error message is displayed, click **OK** to continue the upgrade. After the upgrade, log in to the Management Agent host as the `root` user, and run the `$<AGENT_BASE_DIR>/core/12.1.0.3.0/root.sh` script on the host.

- When the error message is displayed, click **Cancel** to cancel the upgrade, then do the following:

  1. Edit the preferred privileged credentials for the Oracle Home target of the Management Agent to ensure that the credential has `root` privileges. For information on how to do this, see Oracle Enterprise Manager Lifecycle Management Administrator’s Guide.

  2. Upgrade the Management Agent.

     If the `root.sh` job step fails after the upgrade, log in to the Management Agent host as the `root` user, and run the `$<AGENT_BASE_DIR>/core/12.1.0.3.0/root.sh` script manually.

---

**Table 6–3 (Cont.) Troubleshooting Oracle Management Agent Upgrade**

<table>
<thead>
<tr>
<th>Problem</th>
<th>Troubleshooting Tip</th>
</tr>
</thead>
<tbody>
<tr>
<td>When you click <strong>Submit</strong> on the Upgrade Agents page, you encounter an error mentioning that <code>root.sh</code> could not be run for a Management Agent as the privilege delegation settings for the Management Agent host are not set.</td>
<td>Do one of the following:</td>
</tr>
</tbody>
</table>

- When the error message is displayed, click **OK** to continue the upgrade. After the upgrade, log in to the Management Agent host as the `root` user, and run the `$<AGENT_BASE_DIR>/core/12.1.0.3.0/root.sh` script on the host.

- When the error message is displayed, click **Cancel** to cancel the upgrade, then do the following:

  1. Configure privilege delegation settings on the Management Agent host.

     For information on how to configure privilege delegation settings on a host, see Oracle Enterprise Manager Lifecycle Management Administrator’s Guide.

  2. Upgrade the Management Agent.

     If the `root.sh` job step fails after the upgrade, log in to the Management Agent host as the `root` user, and run the `$<AGENT_BASE_DIR>/core/12.1.0.3.0/root.sh` script manually.

---
### Table 6–3 (Cont.) Troubleshooting Oracle Management Agent Upgrade

<table>
<thead>
<tr>
<th>Problem</th>
<th>Troubleshooting Tip</th>
</tr>
</thead>
</table>
| When you click **Submit** on the Upgrade Agents page, the Upgrade Agents step fails. | Diagnose the problem by following these steps:  
1. View the output logs of the failed Management Agent upgrade job steps.  
   To view the output log of a Management Agent upgrade job step, on the Upgrade Agents page, click **Agent Upgrade Results**. Click the name of the Management Agent upgrade job, and select the required Management Agent. Click the name of the failed job step.  
2. View the Management Agent deployment log available at the following location:  
   `<AGENT_BASE_DIRECTORY>/core/12.1.0.3.0/cfgtoollogs/agentDeploy/agentDeploy_<TIMESTAMP>.log`  
3. View the plug-in upgrade configuration log available at the following location:  
   `<AGENT_BASE_DIRECTORY>/backup_<TIMESTAMP>/agtNew/install/logs/agentplugindeploy_<TIMESTAMP>.log` |
| The Management Agent cannot be upgraded as the 32-bit Management Agent software for the host platform is not present in Software Library. | You may encounter this error while upgrading Management Agents that run on the Oracle Enterprise Linux 4.x, Red Hat Enterprise Linux 4.x, and SUSE Linux Enterprise 10 64-bit platforms. If you encounter this error, click **OK**. Download and apply the latest 32-bit Management Agent software for these platforms, using the Self Update console, then upgrade the Management Agent. For information on using the Self Update console to download and apply the latest Management Agent software, refer to *Oracle Enterprise Manager Cloud Control Basic Installation Guide*. |
| The links on the Agent Upgrade Status page or the Agent Upgrade Results page are not working. | Diagnose the problem by viewing the following logs:  
   - `<OMS_INSTANCE_HOME>/user_projects/domains/EMGC_DOMAIN/servers/EMGC_OMS1/logs/*.out`  
   - `<OMS_INSTANCE_HOME>/user_projects/domains/EMGC_DOMAIN/servers/EMGC_OMS1/logs/*.log` |
| A job step in the Management Agent upgrade process hangs or is executed multiple times. | Diagnose the problem by viewing the following log:  
   `<OMS_INSTANCE_HOME>/em/EMGC_OMS1/sysman/log/*.trc` |
| EM CLI log in or synchronization fails. | Diagnose the problem by viewing the following log:  
   `<NEW_AGENT_ORACLE_HOME>/EMGC_OMS1/sysman/emcli/setup/.emcli/*.log` |
| The upgraded Management Agent is blocked. | Raise an Oracle Support service request. Do not resynchronize the Management Agent. |

For additional Management Agent troubleshooting tips, see the My Oracle Support note 1569883.1.
Upgrading and Redeploying ADP and JVMD

While upgrading Enterprise Manager, all the Application Dependency and Performance (ADP) Engines and JVM Diagnostics (JVMD) Engines are stopped as a part of the preupgrade process. Once Enterprise Manager is successfully upgraded to 12c Release 3 (12.1.0.3), the ADP and JVMD Engines that were part of your enterprise before the upgrade must be re-created.

**Note:** For information about deploying JVMD Engines and JVMD Agents with basic and advanced options, see the following guides:

- Oracle Enterprise Manager Cloud Control Basic Installation Guide
- Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide

For information about deploying ADP Engines and ADP Agents with basic and advanced options, see the following guides:

- Oracle Enterprise Manager Cloud Control Basic Installation Guide
- Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide

**Note:** ADP Engines and JVMD Engines are both part of Application Performance Management (APM). In this document, we may refer to either of the engines as APM Engines.

This chapter consists of the following sections:

- Upgrading or Redeploying JVMD and ADP Engine
- Upgrading or Redeploying JVMD and ADP Agents
- After Upgrading or Redeploying

### 7.1 Upgrading or Redeploying JVMD and ADP Engine

This section describes the methods to upgrade or redeploy ADP and JVMD Engines. It consists of the following:

- Upgrading JVMD Engine
- Redeploying JVMD Engine
- Upgrading ADP Engine
Upgrading or Redeploying JVMD and ADP Engine

7.1 Redeploying ADP Engine

7.1.1 Upgrading JVMD Engine

This section describes the methods to upgrade JVMD Engine. It consists of the following:

- Upgrading JVMD Engine Using Application Performance Management Page
- Upgrading JVMD Engine Manually Using ApmEngineSetup.pl

**Important:**
- When you upgrade the Enterprise Manager for Oracle Fusion Middleware plug-in to version 12.1.0.5, the deployed JVMD Engines are not upgraded automatically. You must upgrade them using the methods described in this section.
- Before upgrading the deployed JVMD Engines, it is recommended that you first shut them down manually.

7.1.1.1 Upgrading JVMD Engine Using Application Performance Management Page

To upgrade JVMD Engine deployed on a Managed Server using the Application Performance Management page, follow these steps:

**Note:** After performing an OMS upgrade, you can only upgrade the JVMD Engines that are deployed on OMS hosts, and not the JVMD Engines that are deployed on remote hosts. However, after performing a plug-in upgrade, you can upgrade the JVMD Engines deployed on both OMS and remote hosts.

To upgrade a JVMD Engine deployed on a remote host, after performing a plug-in upgrade, follow these steps:

1. Remove the deployed JVMD Engine.
   For information on how to remove a JVMD Engine, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

2. Deploy a fresh JVMD Engine on the remote host.
   For information on how to deploy a JVMD Engine on a remote host, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

1. From the Setup menu, select Middleware Management, then select Application Performance Management.

2. On the Application Performance Management page, click the upgrade icon displayed beside JVM Diagnostics Engines.

**Note:** The upgrade icon is displayed only if a software version different from the current deployed version of the JVMD Engine software is available.
3. On the Upgrade JVMD Engines page, select the JVMD Engine you want to upgrade.

4. Specify a value for **Host Credentials**. These credentials are the host credentials of the host on which the JVMD Engine you selected is deployed. Click **Apply**.

5. If you want to upgrade more than one JVMD Engine, on the Upgrade JVMD Engines page, select the JVMD engines you want to upgrade, then specify and apply values for **Host Credentials**, for each one of them.

6. Specify a value for **Admin WebLogic Credentials**. These credentials are the credentials for the Administration Server of the Enterprise Manager WebLogic domain.

7. Click **Upgrade**.

    If you encounter any errors during the upgrade, see *Oracle Enterprise Manager Cloud Control Advanced Installation Guide*.

---

**Note:** After upgrading a JVMD Engine, if two entries exist for the same JVMD Engine on the Application Performance Management page, delete the old entry from the `jam_managers` table by logging in to the Enterprise Manager Repository as the `sysman` user and executing the following query:

```sql
delete from jam_managers where manager_id =<manager_id_of_old_jvmd_engine>
```

---

### 7.1.1.2 Upgrading JVMD Engine Manually Using ApmEngineSetup.pl

You can upgrade JVMD Engine manually, using the `ApmEngineSetup.pl` script. You can run this script in the following ways:

- In interactive mode, where you are prompted for input details in an interactive manner
- In silent mode, where you specify all the input details using a properties file

**Important:** You can use the `ApmEngineSetup.pl` script to upgrade JVMD Engine only on a host that is running the OMS, and not on a remote host.

To upgrade JVMD Engine manually using the `ApmEngineSetup.pl` script, follow these steps:

1. Navigate to the following location on the OMS host:

   ```bash
   $<MIDDLEWARE_HOME>/plugins/oracle.sysman.emas.oms.plugin_12.1.0.5.0/archives/jvmd/deployment_Scripts/engine/
   ```

2. View the `README.txt` file, for information on using the `ApmEngineSetup.pl` script.

3. Run the `ApmEngineSetup.pl` script.

    If you want to run the `ApmEngineSetup.pl` script in interactive mode, such that you are prompted for the input details, use the following command:

    ```bash
    perl ApmEngineSetup.pl
    ```

    Ensure that you specify the operation as **upgrade**, and the Engine Type as **JVMD**.
If you want to run the `ApmEngineSetup.pl` script in silent mode, specify all the input details in a properties file, then use the following command:

```bash
perl ApmEngineSetup.pl -silent -file <properties_file_name> -password <password>
```

`<properties_file_name>` is the name of the properties file where the JVMD Engine and operation details are provided. `<password>` is the WebLogic console password.

To learn how to specify the input details in a properties file, view the sample properties file `SAMPLE_engine.properties`.

---

**Note:** After upgrading a JVMD Engine, if two entries exist for the same JVMD Engine on the Application Performance Management page, delete the old entry from the `jam_managers` table by logging in to the Enterprise Manager Repository as the `sysman` user and executing the following query:

```sql
DELETE FROM jam_managers WHERE manager_id =<manager_id_of_old_jvmd_engine>
```

---

### 7.1.2 Redeploying JVMD Engine

To redeploy JVMD Engine deployed on a Managed Server, follow these steps:

---

**Note:** You can only redeploy JVMD Engine if a different version of the JVMD Engine software is not available. If a newer version or an older version of the JVMD Engine software is available, you can only upgrade the existing JVMD Engine to the newer version, or downgrade it to the older version, but not redeploy the existing JVMD Engine version. For information on how to upgrade JVMD Engine, refer [Section 7.1.1](#).

---

1. From the **Setup** menu, select **Middleware Management**, then select **Application Performance Management**.

2. If you want to redeploy a single JVMD Engine, on the Application Performance Management page, select the JVMD Engine you want to redeploy, then click **Redeploy**.

   If you want to redeploy more than one JVMD Engine, on the Application Performance Management page, select the **JVM Diagnostics Engines** node, then click **Redeploy**.

3. On the Redeploy JVMD Engines page, select the JVMD Engines you want to redeploy.

4. For each JVMD Engine you have chosen to redeploy, specify a value for **Host Credentials**. These credentials are the host credentials of the host on which the JVMD Engine you selected is deployed. Click **Apply**.

5. Specify a value for **Admin WebLogic Credentials**. These credentials are the credentials for the Administration Server of the Enterprise Manager WebLogic domain.

6. Click **Redeploy**.
If you encounter any errors during the redeployment, see *Oracle Enterprise Manager Cloud Control Advanced Installation Guide*.

### 7.1.3 Upgrading ADP Engine

This section describes the methods to upgrade JVMD Engine. It consists of the following:

- Upgrading ADP Engine Using Application Performance Management Page
- Upgrading ADP Engine Manually Using ApmEngineSetup.pl

---

#### Important:

- When you upgrade the Enterprise Manager for Oracle Fusion Middleware plug-in to version 12.1.0.5, the deployed ADP Engines are not upgraded automatically. You must upgrade them using the methods described in this section.
- Before upgrading the deployed ADP Engines, it is recommended that you first shut them down manually.

---

#### 7.1.3.1 Upgrading ADP Engine Using Application Performance Management Page

To upgrade ADP Engine deployed on a Managed Server using the Application Performance Management page, follow these steps:

---

**Note:** After performing an OMS upgrade, you can only upgrade the ADP Engines that are deployed on OMS hosts, and not the ADP Engines that are deployed on remote hosts. However, after performing a plug-in upgrade, you can upgrade the ADP Engines deployed on both OMS and remote hosts.

To upgrade an ADP Engine deployed on a remote host, after performing a plug-in upgrade, follow these steps:

1. Remove the deployed ADP Engine.
   
   For information on how to remove an ADP Engine, see *Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide*.

2. Deploy a fresh ADP Engine on the remote host.
   
   For information on how to deploy an ADP Engine on a remote host, see *Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide*.

---

1. From the **Setup** menu, select **Middleware Management**, then select **Application Performance Management**.

2. On the Application Performance Management page, click the upgrade icon displayed beside **ADP Engines**.

---

**Note:** The upgrade icon is displayed only if a software version different from the current deployed version of the ADP Engine software is available.
3. On the Upgrade ADP Engines page, select the ADP Engine you want to upgrade.

4. Specify a value for **Host Credentials**. These credentials are the host credentials of the host on which the ADP Engine you selected is deployed. Click **Apply**.

5. If you want to upgrade more than one ADP Engine, on the Upgrade ADP Engines page, select the ADP engines you want to upgrade, then specify and apply values for **Host Credentials**, for each one of them.

6. Specify a value for **Admin WebLogic Credentials**. These credentials are the credentials for the Administration Server of the Enterprise Manager WebLogic domain.

7. Click **Upgrade**.

   If you encounter any errors during the upgrade, see *Oracle Enterprise Manager Cloud Control Advanced Installation Guide*.

### 7.1.3.2 Upgrading ADP Engine Manually Using ApmEngineSetup.pl

You can upgrade ADP Engine manually, using the ApmEngineSetup.pl script. You can run this script in the following ways:

- In interactive mode, where you are prompted for input details in an interactive manner
- In silent mode, where you specify all the input details using a properties file

**Important:** You can use the ApmEngineSetup.pl script to upgrade ADP Engine only on a host that is running the OMS, and not on a remote host.

To upgrade ADP Engine manually using the ApmEngineSetup.pl script, follow these steps:

1. Navigate to the following location on the OMS host:
   ```
   $<MIDDLEWARE_HOME>/plugins/oracle.sysman.emas.oms.plugin_12.1.0.5.0/archives/jvmd/deploymentScripts/engine/
   ```

2. View the README.txt file, for information on using the ApmEngineSetup.pl script.

3. Run the ApmEngineSetup.pl script.

   If you want to run the ApmEngineSetup.pl script in interactive mode, such that you are prompted for the input details, use the following command:

   ```perl
   perl ApmEngineSetup.pl
   ```

   Ensure that you specify the operation as `upgrade`, and the Engine Type as `ADP`.

   If you want to run the ApmEngineSetup.pl script in silent mode, specify all the input details in a properties file, then use the following command:

   ```perl
   perl ApmEngineSetup.pl -silent -file <properties_file_name> -password <password>
   ```

   `<properties_file_name>` is the name of the properties file where the ADP Engine and operation details are provided. `<password>` is the WebLogic console password.

   To learn how to specify the input details in a properties file, view the sample properties file `SAMPLE_engine.properties`. 
7.1.4 Redeploying ADP Engine

To redeploy ADP Engine deployed on a Managed Server, follow these steps:

Note: You can only redeploy ADP Engine if a different version of the ADP Engine software is not available. If a newer version or an older version of the ADP Engine software is available, you can only upgrade the existing ADP Engine to the newer version, or downgrade it to the older version, but not redeploy the existing ADP Engine version. For information on how to upgrade ADP Engine, refer Section 7.1.3.

1. From the Setup menu, select Middleware Management, then select Application Performance Management.
2. If you want to redeploy a single ADP Engine, on the Application Performance Management page, select the ADP Engine you want to redeploy, then click Redeploy.
   If you want to redeploy more than one ADP Engine, on the Application Performance Management page, select the ADP Engines node, then click Redeploy.
3. On the Redeploy ADP Engines page, select the ADP Engines you want to redeploy.
4. For each ADP Engine you have chosen to redeploy, specify a value for Host Credentials. These credentials are the host credentials of the host on which the ADP Engine you selected is deployed. Click Apply.
5. Specify a value for Admin WebLogic Credentials. These credentials are the credentials for the Administration Server of the Enterprise Manager WebLogic domain.
6. Click Redeploy.
   If you encounter any errors during the redeployment, see Oracle Enterprise Manager Cloud Control Advanced Installation Guide.

7.2 Upgrading or Redeploying JVMD and ADP Agents

This section describes the methods to upgrade or redeploy JVMD and ADP Agents. It consists of the following:

- Upgrading or Redeploying JVMD and ADP Agents Using Application Performance Management Page
- Upgrading JVMD Agents Manually Using deploy_jvmdagent.pl
- Upgrading ADP Agents Manually Using deploy_adpagent.pl

7.2.1 Upgrading or Redeploying JVMD and ADP Agents Using Application Performance Management Page

To upgrade or redeploy JVMD and ADP Agents on monitored WebLogic domains using the Application Performance Management page, follow these steps:
1. From the **Setup** menu, select **Middleware Management**, then select **Application Performance Management**.

2. On the Application Performance Management page, under the Application Performance Management Agents section, click **Manage Diagnostics Agents**.

   **Note:** If no active JVMD or ADP Engines are present, and no JVMD or ADP Agents are deployed, the **Manage Diagnostics Agents** button is disabled.

3. For **Operation**, select **Upgrade**.

   **Note:** Alternatively, to reach this point, you can click the upgrade icon displayed beside **ADP Agents Count** or **JVMD Agents Count**, on the Application Performance Management page. Note that this icon is displayed only if a software version different from the current version of the ADP or JVMD Agent software is available.

If you select **Expand All** from the **View** menu, you can view the target name, target type, target host, target status, platform, and so on of all the discovered WebLogic Administration Servers and Managed Servers (part of all discovered WebLogic domains).

Select the JVMD and ADP Agents you want to upgrade or redeploy. Click **Next**.

4. On the Target Credentials page, for each WebLogic domain, specify a value for **Oracle WebLogic Administration Server Host Credentials** and **Oracle WebLogic Domain Credentials**, then click **Apply**.

   Oracle WebLogic Administration Server Host Credentials are the host credentials for the host on which the Management Agent that is monitoring the selected WebLogic domain is running. Oracle WebLogic Domain Credentials are the credentials for the Administration Server of the selected WebLogic domain.

   Click **Next**.

5. On the ADP Agents Configurations page, for each WebLogic domain, select an ADP Engine for **Available ADP Engines**, then click **Apply**. All the ADP Agents deployed on the Managed Servers of the selected WebLogic domain will report to the selected ADP Engine.

   You can specify an alternate location for the ADP Agent software, which is used if the specified Administration Server Host Credentials do not have write permissions on the default location. To do this, under the Agent Directory section, select **Edit the default ADP Agent Directory location if required**, then specify a value for **Agent Directory**.
If the **WebLogic Home** and **Middleware Home** fields are displayed under the Additional Configuration section, specify values for them. The **WebLogic Home** and **Middleware Home** fields are displayed if their values could not be obtained internally.

Also, sometimes when the WebLogic Administration Server is behind a firewall or on a virtual host, the application may not be able to connect to it, using the default host value. In this case, you may need to provide some additional information in the Additional Configuration section. For example, if the WebLogic Administration Server is on a virtual host, and the application cannot connect to it using the default host value, you may have to provide the virtual host IP address in the Additional Configuration section.

Click **Next**.

6. On the JVMD Agents Configurations page, for each WebLogic domain, select a JVMD Engine for **Available JVMD Engines**, then click **Apply**. All the JVMD Agents deployed on Managed Servers of the selected WebLogic domain will report to this JVMD Engine. Alternatively, you can select **Other** to connect to a load balancer in case of multiple engines.

If the **WebLogic Home** and **Middleware Home** fields are displayed under the Additional Configuration section, specify values for them. The **WebLogic Home** and **Middleware Home** fields are displayed if their values could not be obtained internally.

Also, sometimes when the WebLogic Administration Server is behind a firewall or on a virtual host, the application may not be able to connect to it, using the default host value. In this case, you may need to provide some additional information in the Additional Configuration section. For example, if the WebLogic Administration Server is on a virtual host, and the application cannot connect to it using the default host value, you may have to provide the virtual host IP address in the Additional Configuration section.

Click **Next**.

7. On the Enterprise Manager OMS Credentials page, specify a value for **Oracle Enterprise Manager WebLogic Administration Server Host Credentials**, and **Oracle Enterprise Manager WebLogic Domain Credentials**.

   **Note:** The Enterprise Manager OMS Credentials page is displayed when you click **Next** on the JVMD Agents Configurations page, only if you have chosen to redeploy or upgrade at least one ADP Agent. OMS credentials are not required to redeploy or upgrade JVMD Agents.

   Oracle Enterprise Manager WebLogic Administration Server Host Credentials are the host credentials of the OMS host. The Oracle Enterprise Manager WebLogic Domain Credentials are the domain credentials of the Enterprise Manager WebLogic domain.

   Click **Next**.

8. On the Review page, review all the information, then click **Upgrade**.

   When you click **Upgrade**, the Diagnostic Agents Deployment Status page appears, which you can use to monitor the progress of the submitted job.
If you encounter any errors during the upgrade or redeployment, see Oracle Enterprise Manager Cloud Control Advanced Installation Guide.

7.2.2 Upgrading JVMD Agents Manually Using deploy_jvmdagent.pl

You can upgrade JVMD Agents manually, using the deploy_jvmdagent.pl script. You can run this script only in silent mode, that is, you must specify all the input details using a properties file.

To upgrade JVMD Agents manually using deploy_jvmdagent.pl, follow these steps:

1. Navigate to the following location on the OMS host:
   
   `$<MIDDLEWARE_HOME>/plugins/oracle.sysman.emas.oms.plugin_12.1.0.5.0/archives/jvmd/deployment_Scripts/agent/jvmd/
   
2. View the README.txt file, for information on using the deploy_jvmdagent.pl script.

3. Specify all the inputs in a properties file, then use the following command:

   perl deploy_jvmdagent.pl [-appserver <server_type>] [-file <name_of_properties_file>]

   For example, perl deploy_jvmdagent.pl -appserver WLS -file wls_deploy.properties.

   The -appserver parameter specifies the application server software on which you are using the deploy_jvmdagent.pl script to upgrade the deployed JVMD Agents. If you do not specify this parameter, it is assigned the default value WLS, which denotes WebLogic Server. Currently, upgrading JVMD Agents using deploy_jvmdagent.pl is supported only on WebLogic Server, and not on other application servers.

   The -file parameter specifies the name of the properties file containing the upgrade inputs. If you do not specify this parameter, deploy_jvmdagent.pl looks for a properties file named jvmdagent_deploy.properties in the same folder. To learn how to specify the input details in a properties file, view the sample properties file sample_jvmdagent_deploy.properties.

7.2.3 Upgrading ADP Agents Manually Using deploy_adpagent.pl

You can upgrade ADP Agents manually, using the deploy_adpagent.pl script. You can run this script only in silent mode, that is, you must specify all the input details using a properties file.

To upgrade ADP Agents manually using deploy_adpagent.pl, follow these steps:

1. Navigate to the following location on the OMS host:

   `$<MIDDLEWARE_HOME>/plugins/oracle.sysman.emas.oms.plugin_12.1.0.5.0/archives/jvmd/deploymentScripts/agent/adp/

2. View the README.txt file, for information on using the deploy_adpagent.pl script.

3. Specify all the inputs in a properties file, then use the following command:

   perl deploy_adpagent.pl <properties_file_name>

   If you do not pass the name of the properties file as a parameter while running deploy_adpagent.pl, deploy_adpagent.pl looks for a properties file named adpagent.properties in the same folder. To learn how to specify the input details in a properties file, view the sample properties file SAMPLE_adpagent.properties.
7.3 After Upgrading or Redeploying

After upgrading or redeploying your JVMD Engines or JVMD Agents, verify the deployment of their new versions, as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide.

After upgrading or redeploying your ADP Engines or ADP Agents, verify the deployment of their new versions, as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide.
Part III

Upgrading 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3)

This part describes how you can upgrade Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) and Enterprise Manager 11g Grid Control Release 1 (11.1.0.1) to Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3).

This part covers the following chapters:

- Chapter 8, "Getting Started"
- Chapter 9, "Meeting the Preupgrade Requirements"
- Chapter 10, "Upgrading Oracle Management Agents"
- Chapter 11, "Upgrading Oracle Management Service and Oracle Management Repository"
- Chapter 12, "Performing Postupgrade Tasks"
This chapter describes the high-level process for upgrading your Enterprise Manager Grid Control 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) using different upgrade approaches. In particular, this chapter describes the following:

- Upgrading with 1-System Upgrade Approach
- Upgrading with 2-System Upgrade Approach
- Upgrading with 1-System Approach on a Different Host

### 8.1 Upgrading with 1-System Upgrade Approach

Table 8–1 describes the steps for upgrading your Enterprise Manager Grid Control to Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) with 1-system upgrade approach.

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Step 1</td>
<td>Prepare Yourself</td>
<td></td>
</tr>
<tr>
<td>(a)</td>
<td>Learn about the 1-System upgrade approach.</td>
<td>Section 2.2</td>
</tr>
<tr>
<td>(b)</td>
<td>Review the important facts you need to know before you begin.</td>
<td>Chapter 3</td>
</tr>
<tr>
<td>Step 2</td>
<td>Perform Preupgrade Tasks</td>
<td></td>
</tr>
<tr>
<td>(a)</td>
<td>Apply the preupgrade console patch on all your OMS instances to get access to the Preupgrade Console.</td>
<td>Section 2.2.3.1</td>
</tr>
</tbody>
</table>
| (b)      | Manually download the following software, and stage them to an accessible location:  
  ■ Oracle Management Agent 12c  
  ■ All the required plug-ins | Section 3.6.2.1 |
| (c)      | Provide information about the location of the software you manually downloaded and staged in Step 2 (b) | Section 9.4 |
| (d)      | Analyze your environment to identify Oracle Management Agents (Management Agent) with valid and invalid inventory, check their upgradability status, and identify the problematic Management Agents. If a required software is missing, then repeat Step (b) and Step (c). | Section 9.5 |
| (e)      | Meet the prerequisites for upgrading the Management Agents as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide. |          |
Table 8–1 (Cont.) Upgrading Enterprise Manager Grid Control with 1-System Upgrade Approach

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Step 3</td>
<td>Upgrade Oracle Management Agent</td>
<td></td>
</tr>
<tr>
<td>(a)</td>
<td>Deploy and configure the software binaries of Oracle Management Agent 12c.</td>
<td>Section 10.1</td>
</tr>
<tr>
<td>(b)</td>
<td>Generate a health report and check the readiness of the predeployed Management Agents.</td>
<td>Section 10.2</td>
</tr>
<tr>
<td>(c)</td>
<td>Verify and sign off the health check report.</td>
<td>Section 10.3</td>
</tr>
</tbody>
</table>
| (d)      | Switch over the old Management Agents to the newly deployed ones so that they can communicate with the upgraded Enterprise Manager Cloud Control.  
     Note: If you have a large number of agents, then you can choose to upgrade one set of Oracle Management Agents in one attempt, and the next set in the subsequent attempt. In this case, you can repeat Step 3 (a) to Step 3 (d) for each attempt. | Section 10.4                   |
| (e)      | If you have a Server Load Balancer (SLB) configured, then modify the settings of your monitors. | Appendix E                     |

Step 4 Upgrade Oracle Management Service and Oracle Management Repository

(a) Meet the following prerequisites:

- Meet the Oracle Management Service-related prerequisites described in the chapter on installing Enterprise Manager Cloud Control, in the Oracle Enterprise Manager Cloud Control Basic Installation Guide.

- Upgrade to 12c Release 3 (12.1.0.3) is an out-of-place upgrade, therefore make sure your host meets the hardware requirements specific to 12c Release 3 (12.1.0.3) as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide. Here, host refers to the host on which your current Enterprise Manager, which you want to upgrade, is running.

- Meet the Management Agent-related prerequisites described in Oracle Enterprise Manager Cloud Control Basic Installation Guide.

- Ensure that you are upgrading only on the supported platforms as listed in Section 3.2.

- Ensure that the ports used by Enterprise Manager are not set to a value lower than or equal to 1024. If they are, then the upgrade will fail. Ports up to 1024 are typically reserved for root users (super users). Therefore, make sure the ports are greater than 1024.

- Back up the OMS, the Management Repository, and the Software Library. In case the upgrade fails, you can always restore using the backup.

For instructions to back up, refer to Oracle Enterprise Manager Cloud Control Administrator’s Guide.
(b) On the Management Repository, meet the following prerequisites:

- Ensure that the `MGMT_CONNECTOR_CONFIG` table does not have any NULL rows. To verify this, run the following SQL query:
  ```sql
  SELECT * FROM mgmt_cntr_config WHERE connector_type_guid IS NULL AND connector_guid IS NULL;
  ```
  Typically, the command must not return any rows. If it does return any rows, then run the following SQL query to clean the table:
  ```sql
  DELETE FROM mgmt_cntr_config WHERE connector_guid IS NULL OR connector_type_guid IS NULL;
  COMMIT;
  ```

- Ensure that there are no custom-created materialized views in the Management Repository. To verify this, run the following SQL query. Typically, the command must not return any rows. If it does return any rows, then contact Oracle Support.
  ```sql
  SELECT count(1) FROM ALL_MVIEW_LOGS WHERE log_owner='<EM_REPOS_USER>'
  ```

- Ensure that the tables do not have any snapshots created. To verify this, log in to the Management Repository and run the following SQL query as SYSMAN user:
  ```sql
  SELECT master, log_table FROM all_mview_logs WHERE log_owner='<EM_REPOS_USER>'
  ```
  For example,
  ```sql
  SELECT master, log_table FROM all_mview_logs WHERE log_owner='SYSMAN'
  ```
  If there are snapshots created in a table, then the query displays the master table and the snapshot details. For example,
  ```sql
  SQL> master log_table
  em-violations em$violation_log
  ```
  If there are snapshots, then drop them by running the following command as SYSMAN user:
  ```sql
  SQL> Drop snapshot log on <master>
  ```
  For example,
  ```sql
  SQL> Drop snapshot log on em-violations
  ```

(c) Stop all running and scheduled deployment procedures in your existing Enterprise Manager system before upgrading the system.

To verify this, run the following query, and note down the GUID of the running or scheduled deployment procedures.

```sql
SELECT i.instance_guid FROM SYSMAN.MGMT_PAF_STATES s, SYSMAN.MGMT_PAF_INSTANCES i, SYSMAN.MGMT_PAF_PROCEDURES p WHERE p.procedure_guid = i.procedure_guid AND s.instance_guid = i.instance_guid AND s.state_type = 0 AND s.status in (0,1)
```

To stop the running or scheduled deployment procedures, run the following query, and pass the GUID you noted down from the output of the preceding command:

```sql
eemcli stop_instance -instance=<instance id from sql query>
```
**Table 8–1 (Cont.) Upgrading Enterprise Manager Grid Control with 1-System Upgrade Approach**

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(d)</td>
<td>(Only if you have Application Dependency and Performance (ADP) or JVM Diagnostics (JVMD) installed)</td>
<td>Perform the following steps before the upgrade:</td>
</tr>
</tbody>
</table>
| 1. | Take an inventory of the JVMs and WebLogic domains being monitored by JVMD and/or ADP. | To view a list of deployed JVMD Agents, run the following query: 
  
  select * from jam_jvm;  
  
  To view a list of the WebLogic domains being monitored by ADP, access the ADP home page. In the Enterprise Manager console, from the **Targets** menu, select **Middleware**. From the **Middleware Features** menu on the Middleware page, select **Application Dependency and Performance**. |
| 2. | Deinstall the JVMD and ADP applications from your inventory by logging into the WebLogic Administration Console for each monitored domain and removing the *jamagent* and *Acsera* application deployments (or by using the Oracle WebLogic Scripting Tool). | |
| 3. | Take an inventory of the deployed ADP and JVMD Engines. Shut down all of your ADP and JVMD Engines using the WebLogic Administration Console or the Oracle WebLogic Scripting Tool (WLST). | To view a list of deployed JVMD Engines, run the following query:  
  
  select * from jam_managers;  
  
  To view a list of deployed ADP Engines, run the following query:  
  
  select * from ocamm_manager_configuration; |
| 4. | Remove all the ADP and JVMD managed servers from the GCDomain using the WebLogic Administration Console. | Perform the following steps after the upgrade: |
| 1. | Run the purge scripts for JVMD: | (a) Navigate to the following location:  
  <middleware_home>/plugins/oracle.sysman.emas.oms.plugin__12.1.0.4.0/archives/jvmd/  
  (b) Extract the file *jvmd.zip*.  
  (c) View *README.txt*.  
  (d) Run the script *jvmd_monitoringupgrade11_12.sql*.  
  (e) Run the script *jvmd_traceupgrade11_12.sql* if there are existing Thread Snapshots from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1). |
| 2. | Run the following upgrade script for a schema upgrade: | <middleware_home>/plugins/oracle.sysman.emas.oms.plugin__12.1.0.4.0/sql/ias/12.1.0.3/camm_product/camm_schema_upgrade.sql |
### Table 8–1 (Cont.) Upgrading Enterprise Manager Grid Control with 1-System Upgrade Approach

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(e)</td>
<td>Copy the emkey from the existing OMS, either the Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) or Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), to the existing Management Repository. To do so, run the following command on the old OMS home you are trying to upgrade. The following command is applicable for both releases.</td>
<td>$&lt;OMS_HOME&gt;/bin/emctl config emkey -copy_to_repos [-sysman_pwd &lt;sysman_pwd&gt;]</td>
</tr>
<tr>
<td></td>
<td><strong>Note:</strong> When the following command is run on Enterprise Manager 11g Grid Control (11.1.0.1), you will be prompted for an admin password. To verify whether the emkey is copied, run the following command:</td>
<td>&lt;OMS_HOME&gt;/bin/emctl status emkey</td>
</tr>
<tr>
<td></td>
<td>If the emkey is copied, then you will see the following message:</td>
<td>The EMKey is configured properly, but is not secure. Secure the EMKey by running &quot;emctl config emkey -remove_from_repos&quot;.</td>
</tr>
<tr>
<td>(f)</td>
<td>Stop the OMS you are about to upgrade and also the other OMS instances that connect to it.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>■ If you are upgrading in graphical mode, then you can stop the OMS later as described in the note for Step (12) in Section 11.1.1.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>■ If you are installing software only and upgrading later in graphical mode, then you can stop the OMS later as described in the note for Step (4) in Section 11.3.1.3.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>■ If you are upgrading in silent mode, then stop the OMS now:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>▪ If you are upgrading from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), then first run this command:</td>
<td>$&lt;OMS_HOME&gt;/bin/emctl stop oms -all</td>
</tr>
<tr>
<td></td>
<td>▪ If you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5), then run this command:</td>
<td>$&lt;OMS_HOME&gt;/opmn/bin/opmnctl stopall</td>
</tr>
<tr>
<td>(g)</td>
<td>Shut down the Management Agent that monitors the Management Services and Repository target, to prevent the Management Agent from connecting to the Management Repository for metric collections. Not shutting down this Management Agent might cause the OMS upgrade to fail.</td>
<td></td>
</tr>
</tbody>
</table>

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**Getting Started 8-5**
Upgrading with 1-System Upgrade Approach

Table 8–1 (Cont.) Upgrading Enterprise Manager Grid Control with 1-System Upgrade Approach

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(h)</td>
<td>Upgrade the OMS and the Management Repository. You can choose to upgrade in graphical or silent mode. You can also choose to install the software binaries at one point and upgrade them later in graphical or silent mode. If you see an error message stating that you have not copied the emkey, then do the following: 1. Copy the emkey from the old OMS to the old Management Repository. To do so, run the following command from the old OMS home you are trying to upgrade: For 11g Release 1 (11.1.0.1) $&lt;OMS_HOME&gt;/bin/emctl config emkey -copy_to_repos_from_file -repos_conndesc &lt;conndesc&gt; -repos_user &lt;username&gt; [-repos_pwd &lt;pwd&gt;] -emkey_file &lt;OMS_HOME&gt;/sysman/config/emkey.ora For example, /u01/software/oracle/middleware/oms/bin/emctl config emkey -copy_to_repos_from_file -repos_conndesc (DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=dbhost.mydomain.com)(PORT=1521)))(CONNECT_DATA=(SID=emrepos12))) -repos_user sysman -emkey_file /u01/software/oracle/middleware/oms/sysman/config/emkey.ora For 10g Release 5 (10.2.0.5) $&lt;OMS_HOME&gt;/bin/emctl config emkey -copy_to_repos [-sysman_pwd &lt;sysman_password&gt;] 2. Try upgrading the OMS and the Management Repository again.</td>
<td>For single-OMS environment, see:  ■ Section 11.1.1  ■ Section 11.2.1  ■ Section 11.3.1  ■ Section 11.4.1 For multi-OMS environment (with additional OMS instances), see Section 11.6</td>
</tr>
<tr>
<td>Step 5</td>
<td>Perform Postupgrade Task</td>
<td></td>
</tr>
<tr>
<td>(a)</td>
<td>Check agent upgrade status.</td>
<td>Section 12.4</td>
</tr>
<tr>
<td>(b)</td>
<td>Perform the general post-upgrade tasks.</td>
<td>Section 12.5</td>
</tr>
<tr>
<td>(c)</td>
<td>Track the status of deferred data migration jobs.</td>
<td>Section 12.6</td>
</tr>
<tr>
<td>(d)</td>
<td>Sign off agent migration process.</td>
<td>Section 12.10</td>
</tr>
<tr>
<td>(e)</td>
<td>Sign off the upgrade process and exit the upgrade mode.</td>
<td>Section 12.11</td>
</tr>
<tr>
<td>(f)</td>
<td>Delete the old OMS home.</td>
<td>Section 12.14</td>
</tr>
</tbody>
</table>
Table 8–2 describes the steps for upgrading your Enterprise Manager Grid Control to Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) with 2-system upgrade approach.

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
</table>
| (e)      | (Only if you have Application Dependency and Performance (ADP) or JVM Diagnostics (JVMD) installed) | 1. If you have not deinstalled the JVMD and ADP applications from your inventory by logging into the WebLogic Administration Console for each monitored domain and removing the jamagent and Acsera application deployments, then do so now.  
2. Delete all ad4Target targets:  
   (a) Navigate to the following location:  
      <middleware_home>/plugins/oracle.sysman.emas.oms.plugin__12.1.0.3.0/archives/jvmd/  
      (b) Extract the file jvmd.zip.  
      (c) Run the script jvmd_targetupgrade11_12.sql.  
3. Delete all OCAMM Manager targets:  
   (a) Navigate to the following location:  
      <middleware_home>/plugins/oracle.sysman.emas.oms.plugin__12.1.0.3.0/archives/ocamm/  
   (b) Extract the file ADPManager.zip.  
   (c) Run the script adp_targetupgrade11_12.sql.  
4. Deploy new JVMD and ADP managers.  
5. Deploy new JVMD and ADP agents based on your inventory. |

8.2 Upgrading with 2-System Upgrade Approach

Table 8–2 describes the steps for upgrading your Enterprise Manager Grid Control to Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) with 2-system upgrade approach.

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Step 1</td>
<td>Prepare Yourself</td>
<td></td>
</tr>
</tbody>
</table>
  (a) Learn about the 2-System upgrade approach.  
  (b) Review the important facts you need to know before you begin. |
| Step 2   | Perform Preupgrade Tasks |  
  (a) Apply the preupgrade console patch on all your OMS instances to get access to the Preupgrade Console.  
  (b) Provide information about the host where you plan to upgrade your existing OMS. |
Table 8–2 (Cont.) Upgrading Enterprise Manager Grid Control with 2-System Upgrade Approach

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
</table>
| (c) | Manually download the following software, and stage them to an accessible location:  
   - Oracle Management Agent 12c  
   - All the required plug-ins | Section 3.6.2.1 |
| (d) | Provide information about the location of the software you manually downloaded and staged in Step 2 (c) | Section 9.4 |
| (e) | Analyze your environment to identify Oracle Management Agents (Management Agent) with valid and invalid inventory, check their upgradability status, and identify the problematic Management Agents. If a required software is missing, then repeat Step 2 (c) and Step 2 (d). | Section 9.5 |
| (f) | Meet the prerequisites for upgrading the Management Agents as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide. | |

Step 3 Upgrade Oracle Management Service and Oracle Management Repository

Note: Optionally, you can choose to deploy and configure your Oracle Management Agents before upgrading the Oracle Management Service and Oracle Management Repository. In this case, perform Step 4 (a) before Step 3 (a) to Step 3 (m).

(a) On the remote host where you plan to install Enterprise Manager Cloud Control, meet the following prerequisites:

   - Meet the Oracle Management Service-related prerequisites described in the chapter on installing Enterprise Manager Cloud Control, in the Oracle Enterprise Manager Cloud Control Basic Installation Guide.

   - Make sure your host meets the hardware requirements specific to 12c Release 3 (12.1.0.3) as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide.

   - Meet the Management Agent-related prerequisites described in as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide.

   - Ensure that you are upgrading only on the supported platforms as listed in Section 3.2.

   - Ensure that the ports used by Enterprise Manager are not set to a value lower than or equal to 1024. If they are, then the upgrade will fail. Ports up to 1024 are typically reserved for root users (super users). Therefore, make sure the ports are greater than 1024.
**Table 8–2 (Cont.) Upgrading Enterprise Manager Grid Control with 2-System Upgrade Approach**

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
</table>
| (b)      | Stop all running and scheduled deployment procedures in your existing Enterprise Manager system before upgrading the system. To verify this, run the following query, and note down the GUID of the running or scheduled deployment procedures.  
SELECT i.instance_guid FROM SYSMAN.MGMT_PAF_STATES s, SYSMAN.MGMT_PAF_INSTANCES i, SYSMAN.MGMT_PAF_PROCEDURES p WHERE p.procedure_guid = i.procedure_guid AND s.instance_guid = i.instance_guid AND s.state_type = 0 AND s.status in (0,1)  
To stop the running or scheduled deployment procedures, run the following query, and pass the GUID you noted down from the output of the preceding command:  
emcli stop_instance -instance=<instance id from sql query> | |
Perform the following steps before the upgrade:

1. Take an inventory of the JVMs and WebLogic domains being monitored by JVMD and/or ADP.
   
   To view a list of deployed JVMD Agents, run the following query:
   
   ```sql
   select * from jam_jvm;
   ```
   
   To view a list of the WebLogic domains being monitored by ADP, access the ADP home page. In the Enterprise Manager console, from the Targets menu, select Middleware. From the Middleware Features menu on the Middleware page, select Application Dependency and Performance.
   
2. Deinstall the JVMD and ADP applications from your inventory by logging into the WebLogic Administration Console for each monitored domain and removing the jamagent and Acsera application deployments (or by using the Oracle WebLogic Scripting Tool).
   
3. Take an inventory of the deployed ADP and JVMD Engines. Shut down all of your ADP and JVMD Engines using the WebLogic Administration Console or the Oracle WebLogic Scripting Tool (WLST).
   
   To view a list of deployed JVMD Engines, run the following query:
   
   ```sql
   select * from jam_managers;
   ```
   
   To view a list of deployed ADP Engines, run the following query:
   
   ```sql
   select * from ocamm_manager_configuration;
   ```
   
4. Remove all the ADP and JVMD managed servers from the GCDomain using the WebLogic Administration Console.

Perform the following steps after the upgrade:

1. Run the purge scripts for JVMD:
   
   (a) Navigate to the following location:
   
   ```bash
   <middleware_home>/plugins/oracle.sysman.emas.oms.plugin_12.1.0.4.0/archives/jvmd/
   ```
   
   (b) Extract the file jvmd.zip.
   
   (c) View README.txt.
   
   (d) Run the script `jvmd_monitoringupgrade11_12.sql`.
   
   (e) Run the script `jvmd_traceupgrade11_12.sql` if there are existing Thread Snapshots from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1).
   
2. Run the following upgrade script for a schema upgrade:
   
   ```bash
   <middleware_home>/plugins/oracle.sysman.emas.oms.plugin_12.1.0.4.0/sql/ias/12.1.0.3/camm_product/camm_schema_upgrade.sql
   ```

---

**Table 8–2 (Cont.) Upgrading Enterprise Manager Grid Control with 2-System Upgrade Approach**

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(c)</td>
<td>(Only if you have Application Dependency and Performance (ADP) or JVM Diagnostics (JVMD) installed)</td>
<td>Perform the following steps before the upgrade:</td>
</tr>
</tbody>
</table>
| 1.       | Take an inventory of the JVMs and WebLogic domains being monitored by JVMD and/or ADP. | To view a list of deployed JVMD Agents, run the following query:
|          | To view a list of the WebLogic domains being monitored by ADP, access the ADP home page. In the Enterprise Manager console, from the Targets menu, select Middleware. From the Middleware Features menu on the Middleware page, select Application Dependency and Performance. | |
| 2.       | Deinstall the JVMD and ADP applications from your inventory by logging into the WebLogic Administration Console for each monitored domain and removing the jamagent and Acsera application deployments (or by using the Oracle WebLogic Scripting Tool). | |
| 3.       | Take an inventory of the deployed ADP and JVMD Engines. Shut down all of your ADP and JVMD Engines using the WebLogic Administration Console or the Oracle WebLogic Scripting Tool (WLST). | To view a list of deployed JVMD Engines, run the following query:
|          | To view a list of deployed ADP Engines, run the following query: | |
| 4.       | Remove all the ADP and JVMD managed servers from the GCDomain using the WebLogic Administration Console. | |
| 1.       | Run the purge scripts for JVMD: | To view a list of deployed JVMD Agents, run the following query:
|          | (a) Navigate to the following location: | |
|          | (b) Extract the file jvmd.zip. | |
|          | (c) View README.txt. | |
|          | (d) Run the script jvmd_monitoringupgrade11_12.sql. | |
|          | (e) Run the script jvmd_traceupgrade11_12.sql if there are existing Thread Snapshots from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1). | |
| 2.       | Run the following upgrade script for a schema upgrade: | To view a list of deployed JVMD Engines, run the following query:
|          | (a) Navigate to the following location: | |
|          | (b) Extract the file jvmd.zip. | |
|          | (c) View README.txt. | |
|          | (d) Run the script jvmd_traceupgrade11_12.sql if there are existing Thread Snapshots from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1). | |
Copy the emkey from the existing OMS, either the Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) or Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), to the existing Management Repository before creating a backup of the Management Repository. To do so, run the following command on the old OMS home you are trying to upgrade. The following command is applicable for both releases.

```
$<OMS_HOME>/bin/emctl config emkey -copy_to_repos [-sysman_pwd <sysman_pwd>]
```

**Note:** When the following command is run on Enterprise Manager 11g Grid Control (11.1.0.1), you will be prompted for an admin password.

To verify whether the emkey is copied, run the following command:

```
<OMS_HOME>/bin/emctl status emkey
```

If the emkey is copied, then you will see the following message:

```
The EMKey is configured properly, but is not secure. Secure the EMKey by running "emctl config emkey -remove_from_repos".
```

(Only if your old Enterprise Manager system has Oracle Software Library configured)

If you have Oracle Software Library (Software Library) configured, then back up each of the configured Software Library directories to a location accessible from the remote host where you plan to install Enterprise Manager Cloud Control.

The location to which you back up the directories is required for reconfiguring the Software Library [as described in Step 5 (b)] once you install Enterprise Manager Cloud Control.

For example, if your Software Library was configured in `/programs/swlib` and `/software/swlib`, then create two separate archives, one for each configured directory. In this case, create `programs_swlib.zip` and `software_swlib.zip`, respectively.
Clone (or back up) your existing database, which houses the Management Repository, using the RMAN utility, to a host that can be either a completely new host or the host where your existing OMS is running.

Choose to clone (or back up) the repository on the host where your existing OMS is running only if you have sufficient space to accommodate it.

Then, restore it and create a new database instance out of it so that the repository configured in it can be upgraded.

**Note:**
- Before cloning (or backing up) the database, ensure that you stop all the running and scheduled deployment procedures in your existing Enterprise Manager system as described in Step 3 (b).
- Oracle recommends using the RMAN utility to clone (or back up) the database.
- Ensure that the character set is not changed in the cloned (or backed up) Management Repository before it is upgraded.
- If you clone (or back up) the database using DBCA, then ensure that you unlock all the user accounts, except for MGMT_VIEW user, before installing Enterprise Manager Cloud Control.
- Do not clone (or back up) the repository using the DB cloning feature in the Enterprise Manager console. If you do, then you will not see the cloned database discovered in the Enterprise Manager console.
- Do not clone (or back up) while you are in the daylight saving window.
- Oracle recommends that you unblock all blocked Management Agents before taking a clone (or backup) of the Management Repository.
- Any Management Agent or target added to the existing Enterprise Manager system after cloning (or backing up) the Management Repository will not be upgraded and will need to be manually added to the upgraded Enterprise Manager system. To identify the targets that need to be manually added to the upgraded system, see the diff report as described in Section 12.8.
- Do not make any configuration changes, for example, the metric thresholds, job definitions, templates, and so on, after you have cloned (or taken the backup). If you do, then those changes will not be carried over to the new Enterprise Manager system; only historical data will be migrated.

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(f)</td>
<td>Clone (or back up) your existing database, which houses the Management Repository, using the RMAN utility, to a host that can be either a completely new host or the host where your existing OMS is running. Choose to clone (or back up) the repository on the host where your existing OMS is running only if you have sufficient space to accommodate it. Then, restore it and create a new database instance out of it so that the repository configured in it can be upgraded. <strong>Note:</strong></td>
<td></td>
</tr>
</tbody>
</table>
On the cloned (or backed up) Management Repository, meet the following prerequisites:

- Ensure that the MGMT_CONNECTOR_CONFIG table does not have any NULL rows. To verify this, run the following SQL query.
  
  ```sql
  select * from mgmt_cntr_config where connector_type_guid IS NULL and connector_guid IS null;
  ```

  Typically, the command must not return any rows. If it does return any rows, then run the following SQL query to clean the table:

  ```sql
  delete from mgmt_cntr_config where connector_guid IS NULL or connector_type_guid IS NULL;
  commit;
  ```

- Ensure that there are no custom-created materialized views in the Management Repository. To verify this, run the following SQL query. Typically, the command must not return any rows. If it does return any rows, then contact Oracle Support.

  ```sql
  select count(1) from ALL_MVIEW_LOGS where log_owner='<EM_REPOS_USER>'
  ```

- Ensure that the tables do not have any snapshots created. To verify this, log in to the Management Repository and run the following SQL query as SYSMAN user:

  ```sql
  select master , log_table  from all_mview_logs where log_owner='<EM_REPOS_USER>'
  ```

  For example,

  ```sql
  select master , log_table  from all_mview_logs where log_owner='SYSMAN'
  ```

  If there are snapshots created in a table, then the query displays the master table and the snapshot details. For example,

  ```sql
  SQL> master log_table
  em-violations em$violation_log
  ```

  If there are snapshots, then drop them by running the following command as SYSMAN user:

  ```sql
  SQL> Drop snapshot log on <master>
  ```

  For example,

  ```sql
  SQL> Drop snapshot log on em-violations
  ```

---

### Table 8–2 (Cont.) Upgrading Enterprise Manager Grid Control with 2-System Upgrade Approach

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(g)</td>
<td>8-13</td>
<td>On the cloned (or backed up) Management Repository, meet the following prerequisites:</td>
</tr>
</tbody>
</table>
Ensure that the character set in the cloned (or backed up) Management Repository is the same as the one in the original Management Repository.

If they are different, you will see the following error:

```
ERROR emschema.wpexeavgm6wkg ~ ERROR:ORA-06502: PL/SQL: numeric or value error: character to number conversion error
ORA-06512: at "SYSMAN.EM_CRYPTO", line 62
ORA-06512: at "SYSMAN.EM_CRYPTO", line 229
ORA-06512: at line 1
ORA-06512: at line 24
```

To verify if the character set is the same, run the following query on the Management Repository:

```
select * from nls_database_parameters where parameter like '%CHARACTERSET'
```

If the character set is not the same, then make them identical.

Remove the emkey from the old Management Repository by running the following command from the old OMS home, either Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) or Enterprise Manager 11g Grid Control Release 1 (11.1.0.1):

```
$<OMS_HOME>/bin/emctl config emkey -remove_from_repos [-sysman_pwd <pwd>]
```

Provide the date and time when the Management Repository was cloned (or backed up).

*Note:* Any Management Agent or target added to the existing Enterprise Manager system after cloning (or backing up) the Management Repository will not be upgraded and will need to be manually added to the upgraded Enterprise Manager system. To identify the targets that need to be manually added to the upgraded system, see the diff report as described in Section 12.8.

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(h)</td>
<td>Ensure that the character set in the cloned (or backed up) Management Repository is the same as the one in the original Management Repository. If they are different, you will see the following error:</td>
<td></td>
</tr>
<tr>
<td>(i)</td>
<td>Remove the emkey from the old Management Repository by running the following command from the old OMS home, either Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) or Enterprise Manager 11g Grid Control Release 1 (11.1.0.1):</td>
<td><code>{&lt;OMS_HOME&gt;/bin/emctl config emkey -remove_from_repos [-sysman_pwd &lt;pwd&gt;]}</code></td>
</tr>
<tr>
<td>(j)</td>
<td>Provide the date and time when the Management Repository was cloned (or backed up).</td>
<td>Section 9.6</td>
</tr>
</tbody>
</table>

Table 8–2 (Cont.) Upgrading Enterprise Manager Grid Control with 2-System Upgrade Approach

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(h)</td>
<td>Ensure that the character set in the cloned (or backed up) Management Repository is the same as the one in the original Management Repository. If they are different, you will see the following error:</td>
<td></td>
</tr>
<tr>
<td>(i)</td>
<td>Remove the emkey from the old Management Repository by running the following command from the old OMS home, either Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) or Enterprise Manager 11g Grid Control Release 1 (11.1.0.1):</td>
<td><code>{&lt;OMS_HOME&gt;/bin/emctl config emkey -remove_from_repos [-sysman_pwd &lt;pwd&gt;]}</code></td>
</tr>
<tr>
<td>(j)</td>
<td>Provide the date and time when the Management Repository was cloned (or backed up).</td>
<td>Section 9.6</td>
</tr>
</tbody>
</table>

Note: Any Management Agent or target added to the existing Enterprise Manager system after cloning (or backing up) the Management Repository will not be upgraded and will need to be manually added to the upgraded Enterprise Manager system. To identify the targets that need to be manually added to the upgraded system, see the diff report as described in Section 12.8.
## Installing and Upgrading Management Repository

- Install Enterprise Manager Cloud Control on the remote host and upgrade the Management Repository in the database you cloned (or backed up) in Step 3 (f). You can choose to install in graphical or silent mode. You can also choose to install the software binaries at one point and upgrade them later in graphical or silent mode.

- If you see an error message that states that you cannot upgrade your OMS because the host on which you are performing the 2-system upgrade does not match with the host name you have entered in the Preupgrade Console, go to the Prepgrade Console and provide the correct host name.

- If you see an error message stating that you have not copied the emkey, then do the following:
  1. Copy the emkey from the old OMS to the cloned Management Repository, the one you cloned (or backed up) in Step 3 (f). To do so, run the following command from the old OMS home you are trying to upgrade:

     ```bash
     $<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_conn desc <conndesc> -repos_user <username> [-repos_pwd <pwd>] -emkey_file <OMS_HOME>/sysman/config/emkey.ora
     ```

     For example,

     ```bash
     /u01/software/oracle/middleware/oms/bin/emctl config emkey -copy_to_repos_from_file -repos_conn desc (DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=dbhost.mydomain.com)(PORT=1521)))(CONNECT_DATA=(SID=emrepos12))) -repos_user sysman -emkey_file /u01/software/oracle/middleware/oms/sysman/config/emkey.ora
     ```

     **Note:** Here, the Management Repository details are the details of the cloned Management Repository, the one you cloned (or backed up) in Step 3 (f). `<OMS_HOME>` is the OMS home you are trying to upgrade. You will be prompted for the Admin Server password.

  2. Try upgrading the OMS and the Management Repository again.

## For 11g Release 1 (11.1.0.1)

1. Copy the emkey from the old OMS to the cloned Management Repository, the one you cloned (or backed up) in Step 3 (f). To do so, run the following command from the old OMS home you are trying to upgrade:

   ```bash
   $<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_conn desc -repos_user <username> [-repos_pwd <pwd>] -emkey_file <OMS_HOME>/sysman/config/emkey.ora
   ```

   **Note:** In this command, the Management Repository details are the details of the cloned Management Repository, the one you cloned (or backed up) in Step 3 (f).

## For 10g Release 5 (10.2.0.5)

1. Copy the emkey from the old OMS to the old Management Repository. To do so, run the following command from the old OMS home you are trying to upgrade:

   ```bash
   $<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_conn desc [-sysman_pwd <sysman password>]
   ```

2. Clone (or back up) the Management Repository as described in Step 3 (f).

3. Meet the prerequisites on the cloned (or backed up) Management Repository as described in Step 3 (g).

4. Remove the emkey from the old Management Repository as described in Step 3 (i).

5. Try upgrading the OMS and the Management Repository again.

### Table 8–2 (Cont.) Upgrading Enterprise Manager Grid Control with 2-System Upgrade Approach

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
</table>
| (k)      | Install Enterprise Manager Cloud Control on the remote host and upgrade the Management Repository in the database you cloned (or backed up) in Step 3 (f). You can choose to install in graphical or silent mode. You can also choose to install the software binaries at one point and upgrade them later in graphical or silent mode. If you see an error message that states that you cannot upgrade your OMS because the host on which you are performing the 2-system upgrade does not match with the host name you have entered in the Preupgrade Console, go to the Prepgrade Console and provide the correct host name. **(Note: If you had chosen to deploy your Management Agents before upgrading the OMS, and if you see this error, fix the error in the Preupgrade Console, and then deploy your Management Agents again, before upgrading the OMS.)** If you see an error message stating that you have not copied the emkey, then do the following: For 11g Release 1 (11.1.0.1) 1. Copy the emkey from the old OMS to the cloned Management Repository, the one you cloned (or backed up) in Step 3 (f). To do so, run the following command from the old OMS home you are trying to upgrade: `bash` 
`$<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_conn desc -repos_user <username> [-repos_pwd <pwd>] -emkey_file <OMS_HOME>/sysman/config/emkey.ora` 
**Note:** Here, the Management Repository details are the details of the cloned Management Repository, the one you cloned (or backed up) in Step 3 (f). `<OMS_HOME>` is the OMS home you are trying to upgrade. You will be prompted for the Admin Server password. 2. Try upgrading the OMS and the Management Repository again. For 10g Release 5 (10.2.0.5) 1. Copy the emkey from the old OMS to the old Management Repository. To do so, run the following command from the old OMS home you are trying to upgrade: `bash` 
`$<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_conn desc [-sysman_pwd <sysman password>]` 
2. Clone (or back up) the Management Repository as described in Step 3 (f). 3. Meet the prerequisites on the cloned (or backed up) Management Repository as described in Step 3 (g). 4. Remove the emkey from the old Management Repository as described in Step 3 (i). 5. Try upgrading the OMS and the Management Repository again. **(Note: If you had chosen to deploy your Management Agents before upgrading the OMS, and if you see this error, then after running the previous command, make sure you deploy all the Management Agents again. However, after running the command, and before re-deploying the Management Agents, Oracle recommends that you run the installer to For single-OMS environment, see: - Section 11.1.2 - Section 11.2.2 - Section 11.3.2 - Section 11.4.2 For multi-OMS environment (with additional OMS instances), see Section 11.6 |
If your database domain name has any characters other than A-Z (letters), 0-9 (numerals), _ (underscore), # (hash), $ (dollar), . (period), or @ (at the rate), then the Run Presync step of the switchover operation might fail, and you will see the following error in the emoms.trc trace file. For example, a database domain name with a hyphen (-) can result in this issue.

ORA-20000: Found exception Error Message :ORA-02083: database name has illegal character '-' Error Number ;-2083

To avoid this issue, as a prerequisite, follow the instructions outlined in Section K.1. If the database domain name has an illegal character, such as a hyphen, then correct it.

Link the earlier release of the Management Repository with the upgraded Management Repository.

Upgrade Oracle Management Agent

Deploy and configure the software binaries of Oracle Management Agent 12c.

Note: After deploying and configuring the Management Agents, do not make any configuration changes, for example, the metric thresholds, job definitions, templates, and so on, in the old Management Repository. If you do, then those changes will not be carried over when you switch over to the new Management Agents; the only way to transfer the changes is to reconfigure the new Management Agents. For information about reconfiguring them, see the note in Step (6) of Section 10.1.2.

Generate a health report and check the readiness of the predeployed Management Agents.

Verify and sign off the health check report.

Switch over the old Management Agents to the newly deployed ones so that they can communicate with the upgraded Enterprise Manager Cloud Control.

Note: If you have a large number of agents, then you can choose to upgrade one set of Oracle Management Agents in one attempt, and the next set in the subsequent attempt. In this case, you can repeat Step 4 (a) to Step 4 (d) for each attempt.

Perform Postupgrade Task

Verify the host on which you installed Enterprise Manager Cloud Control

(Only if your old Enterprise Manager had Software Library configured)

Reconfigure the Software Library in Enterprise Manager Cloud Control so that it is independent of the Software Library configured for the old Enterprise Manager system.

Check the agent upgrade status

Perform the general post-upgrade tasks.

Track the status of deferred data migration jobs.

Track the status of accrued data migration jobs.
### Table 8–3  Upgrading Enterprise Manager Grid Control with 1-System Upgrade Approach on a Different Host

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(g)</td>
<td>Generate diff reports to identify all configuration or setup-related changes that were manually made to the earlier release of the Enterprise Manager system while it was being upgraded.</td>
<td>Section 12.8</td>
</tr>
<tr>
<td>(h)</td>
<td>View a list of targets that are currently inactive in the upgraded Enterprise Manager system.</td>
<td>Section 12.9</td>
</tr>
<tr>
<td>(i)</td>
<td>Sign off agent migration process.</td>
<td>Section 12.10</td>
</tr>
<tr>
<td>(j)</td>
<td>Sign off the upgrade process and exit the upgrade mode</td>
<td>Section 12.11</td>
</tr>
<tr>
<td>(k)</td>
<td>Delete unwanted central agents.</td>
<td>Section 12.12.1</td>
</tr>
<tr>
<td>(l)</td>
<td>(Optional) Monitor the targets that were monitored by the deleted central agent.</td>
<td>Section 12.13</td>
</tr>
<tr>
<td>(m)</td>
<td>Delete the old OMS home.</td>
<td>Section 12.14</td>
</tr>
<tr>
<td>(n)</td>
<td>(Only if you have Application Dependency and Performance (ADP) or JVM Diagnostics (JVMD) installed)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>1. If you have not deinstalled the JVMD and ADP applications from your inventory by logging into the WebLogic Administration Console for each monitored domain and removing the jamagent and Acsera application deployments, then do so now.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>2. Delete all ad4jTarget targets:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(a) Navigate to the following location:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>&lt;middleware_home&gt;/plugins/oracle.sysman.emas.oms.plugin_ _12.1.0.3.0/archives/jvmd/</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(b) Extract the file jvmd.zip.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(c) Run the script jvmd_targetupgrade11_12.sql</td>
<td></td>
</tr>
<tr>
<td></td>
<td>3. Delete all OCAMM Manager targets:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(a) Navigate to the following location:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>&lt;middleware_home&gt;/plugins/oracle.sysman.emas.oms.plugin_ _12.1.0.3.0/archives/ocamm/</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(b) Extract the file ADPManager.zip</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(c) Run the script adp_targetupgrade11_12.sql</td>
<td></td>
</tr>
<tr>
<td></td>
<td>4. Deploy new JVMD and ADP managers.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>5. Deploy new JVMD and ADP agents based on your inventory.</td>
<td></td>
</tr>
</tbody>
</table>

### 8.3 Upgrading with 1-System Approach on a Different Host

Table 8–3 describes the steps for upgrading your Enterprise Manager Grid Control to Enterprise Manager Cloud Control 12c: Release 3 (12.1.0.3) with 1-system upgrade approach on a different host.

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Step 1</td>
<td>Prepare Yourself</td>
<td>Section 2.2</td>
</tr>
<tr>
<td></td>
<td>(a) Learn about the 1-System upgrade approach on a different host.</td>
<td></td>
</tr>
</tbody>
</table>
Table 8–3  (Cont.) Upgrading Enterprise Manager Grid Control with 1-System Upgrade Approach on a Different Host

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(b)</td>
<td>Review the important facts you need to know before you begin.</td>
<td>Chapter 3</td>
</tr>
<tr>
<td>Step 2</td>
<td>Perform Preupgrade Tasks</td>
<td></td>
</tr>
<tr>
<td>(a)</td>
<td>Apply the preupgrade console patch on all your OMS instances to get access to the Preupgrade Console.</td>
<td>Section 2.2.3.1</td>
</tr>
<tr>
<td>(b)</td>
<td>Provide information about the host where you plan to upgrade your existing OMS.</td>
<td>Section 9.3</td>
</tr>
</tbody>
</table>
| (c)      | Manually download the following software, and stage them to an accessible location:  
  - Oracle Management Agent 12c  
  - All the required plug-ins | Section 3.6.2.1 |
| (d)      | Provide information about the location of the software you manually downloaded and staged in Step 2 (c). | Section 9.4 |
| (e)      | Analyze your environment to identify Oracle Management Agents (Management Agent) with valid and invalid inventory, check their upgradability status, and identify the problematic Management Agents. If a required software is missing, then repeat Step (c) and Step (d). | Section 9.5 |
| (f)      | Meet the prerequisites for upgrading the Management Agents as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide. | |
| Step 3   | Upgrade Oracle Management Agent | |
| (a)      | Deploy and configure the software binaries of Oracle Management Agent 12c. | Section 10.1 |
| (b)      | Generate a health report and check the readiness of the predeployed Management Agents. | Section 10.2 |
| (c)      | Verify and sign off the health check report. | Section 10.3 |
| (d)      | Switch over the old Management Agents to the newly deployed ones so that they can communicate with the upgraded Enterprise Manager Cloud Control.  
  **Note:** If you have a large number of agents, then you can choose to upgrade one set of Oracle Management Agents in one attempt, and the next set in the subsequent attempt. In this case, you can repeat Step 3 (a) to Step 3 (d) for each attempt. | Section 10.4 |
| Step 4   | Upgrade Oracle Management Service and Oracle Management Repository | |
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(a) On the remote host where you plan to install Enterprise Manager Cloud Control, meet the following prerequisites:

- Meet the Oracle Management Service-related prerequisites described in the chapter on installing Enterprise Manager Cloud Control, in the Oracle Enterprise Manager Cloud Control Basic Installation Guide.

- Make sure your host meets the hardware requirements specific to 12c Release 3 (12.1.0.3) as described in Oracle Enterprise Manager Cloud Control Basic Installation Guide.

- Meet the Management Agent-related prerequisites described in Oracle Enterprise Manager Cloud Control Basic Installation Guide.

- Ensure that you are upgrading only on the supported platforms as listed in Section 3.2.

- Ensure that the ports used by Enterprise Manager are not set to a value lower than or equal to 1024. If they are, then the upgrade will fail. Ports up to 1024 are typically reserved for root users (super users). Therefore, make sure the ports are greater than 1024.

- Back up the OMS, the Management Repository, and the Software Library. In case the upgrade fails, you can always restore using the backup. For instructions to back up, refer to Oracle Enterprise Manager Cloud Control Administrator’s Guide.

- Oracle recommends that you identify the deployment size (small, medium, or large) of your Enterprise Manager system, and fine-tune the database parameters as required for your deployment size. For instructions to identify the deployment size and set these database parameters, refer to the Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

While upgrading the OMS and the Management Repository using the Enterprise Manager Installation Wizard, the EM Prerequisite Kit is run automatically to verify if the database parameters are set as required for your deployment size. If they are not set to the desired values, then the EM Prerequisite Kit displays a warning against such prerequisite checks. There is a possibility that you might not notice these warnings, or you might notice them but choose to ignore them for a reason—this will increase the upgrade time exponentially. Therefore, Oracle recommends that you set these database parameters as required for your deployment size beforehand.
On the Management Repository, meet the following prerequisites:

- Ensure that the `MGMT_CONNECTOR_CONFIG` table does not have any NULL rows. To verify this, run the following SQL query.
  
  ```sql
  select * from mgmt_cntr_config where connector_type_guid IS NULL and connector_guid IS null;
  
  Typically, the command must not return any rows. If it does return any rows, then run the following SQL query to clean the table:
  
  ```sql
  delete from mgmt_cntr_config where connector_guid IS NULL or connector_type_guid IS NULL;
  commit;
  ```

- Ensure that there are no custom-created materialized views in the Management Repository. To verify this, run the following SQL query. Typically, the command must not return any rows. If it does return any rows, then contact Oracle Support.
  
  ```sql
  select count(1) from ALL_MVIEW_LOGS where log_owner='<EM_REPOS_USER>'
  ```

- Ensure that the tables do not have any snapshots created. To verify this, log in to the Management Repository and run the following SQL query as SYSMAN user:
  
  ```sql
  select master , log_table from all_mview_logs where log_owner='<EM_REPOS_USER>'
  ```

  For example,
  
  ```sql
  select master , log_table from all_mview_logs where log_owner='SYSMAN'
  ```

  If there are snapshots created in a table, then the query displays the master table and the snapshot details. For example,

  ```sql
  SQL> master log_table
  em-violations em$violation_log
  ```

  If there are snapshots, then drop them by running the following command as SYSMAN user:

  ```sql
  SQL> Drop snapshot log on <master>
  ```

  For example,

  ```sql
  SQL> Drop snapshot log on em-violations
  ```

Stop all running and scheduled deployment procedures in your existing Enterprise Manager system before upgrading the system.

To verify this, run the following query, and note down the GUID of the running or scheduled deployment procedures.

```sql
SELECT i.instance_guid FROM SYSMAN.MGMT_PAF_STATES s,
SYSMAN.MGMT_PAP_INSTANCES i, SYSMAN.MGMT_PAP_PROCEDURES p
WHERE p.procedure_guid = i.procedure_guid AND s.instance_guid = i.instance_guid AND s.state_type = 0 AND s.status in (0,1)
```

To stop the running or scheduled deployment procedures, run the following query, and pass the GUID you noted down from the output of the preceding command:

```sql
emcli stop_instance -instance=<instance id from sql query>
```
Table 8–3 (Cont.) Upgrading Enterprise Manager Grid Control with 1-System Upgrade Approach on a Different Host

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(d)</td>
<td>(Only if you have Application Dependency and Performance (ADP) or JVM Diagnostics (JVMD) installed) Perform the following steps before the upgrade:</td>
<td></td>
</tr>
<tr>
<td>1.</td>
<td>Take an inventory of the JVMs and WebLogic domains being monitored by JVMD and/or ADP. To view a list of deployed JVMD Agents, run the following query: <code>select * from jam_jvm;</code> To view a list of the WebLogic domains being monitored by ADP, access the ADP home page. In the Enterprise Manager console, from the Targets menu, select Middleware. From the Middleware Features menu on the Middleware page, select Application Dependency and Performance.</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>Deinstall the JVMD and ADP applications from your inventory by logging into the WebLogic Administration Console for each monitored domain and removing the jamagent and Acsera application deployments (or by using the Oracle WebLogic Scripting Tool).</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>Take an inventory of the deployed ADP and JVMD Engines. Shut down all of your ADP and JVMD Engines using the WebLogic Administration Console or the Oracle WebLogic Scripting Tool (WLST). To view a list of deployed JVMD Engines, run the following query: <code>select * from jam_managers;</code> To view a list of deployed ADP Engines, run the following query: <code>select * from ocamm_manager_configuration;</code></td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td>Remove all the ADP and JVMD managed servers from the GCDomain using the WebLogic Administration Console. Perform the following steps after the upgrade:</td>
<td></td>
</tr>
<tr>
<td>1.</td>
<td>Run the purge scripts for JVMD: (a) Navigate to the following location: <code>&lt;middleware_home&gt;/plugins/oracle.sysman.emas.oms.plugin__12.1.0.4.0/archives/jvmd/</code> (b) Extract the file jvmd.zip. (c) View README.txt. (d) Run the script <code>jvmd_monitoringupgrade11_12.sql</code>. (e) Run the script <code>jvmd_traceupgrade11_12.sql</code> if there are existing Thread Snapshots from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1).</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>Run the following upgrade script for a schema upgrade: <code>&lt;middleware_home&gt;/plugins/oracle.sysman.emas.oms.plugin__12.1.0.4.0/sql/ias/12.1.0.3/camm_product/camm_schema_upgrade.sql</code></td>
<td></td>
</tr>
</tbody>
</table>
Copy the emkey from the existing OMS, either the Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) or Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), to the existing Management Repository. To do so, run the following command on the old OMS home you are trying to upgrade. The following commands are applicable for both releases.

```
$<OMS_HOME>/bin/emctl config emkey -copy_to_repos [-sysman_pwd <sysman_pwd>]
```

**Note:** When the following command is run on Enterprise Manager 11g Grid Control (11.1.0.1), you will be prompted for an admin password.

To verify whether the emkey is copied, run the following command:

```
<OMS_HOME>/bin/emctl status emkey
```

If the emkey is copied, then you will see the following message:

The EMKey is configured properly, but is not secure. Secure the EMKey by running "emctl config emkey -remove_from_repos".

If you have a Server Load Balancer (SLB) configured, then make changes to your monitors.

If your old Enterprise Manager has Oracle Software Library (Software Library) configured on a shared, NFS-mounted drive, then ensure that the shared drive is accessible from the remote host where you plan to install Enterprise Manager Cloud Control. However, if the Software Library is configured on the local file system where the existing, earlier release of Enterprise Manager is running, then copy the Software Library to the remote host where you plan to install Enterprise Manager Cloud Control, in the same directory path as the one maintained in the old Enterprise Manager system.

Install Enterprise Manager Cloud Control on the remote host and upgrade the Management Repository in the existing database.

---

### Table 8–3 (Cont.) Upgrading Enterprise Manager Grid Control with 1-System Upgrade Approach on a Different Host

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(e)</td>
<td>Copy the emkey from the existing OMS, either the Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) or Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), to the existing Management Repository. To do so, run the following command on the old OMS home you are trying to upgrade. The following commands are applicable for both releases.</td>
<td>Appendix E</td>
</tr>
<tr>
<td>(f)</td>
<td>If you have a Server Load Balancer (SLB) configured, then make changes to your monitors.</td>
<td>Section 11.5</td>
</tr>
<tr>
<td>(g)</td>
<td>If your old Enterprise Manager has Oracle Software Library (Software Library) configured on a shared, NFS-mounted drive, then ensure that the shared drive is accessible from the remote host where you plan to install Enterprise Manager Cloud Control. However, if the Software Library is configured on the local file system where the existing, earlier release of Enterprise Manager is running, then copy the Software Library to the remote host where you plan to install Enterprise Manager Cloud Control, in the same directory path as the one maintained in the old Enterprise Manager system.</td>
<td>Section 12.4</td>
</tr>
<tr>
<td>(h)</td>
<td>Install Enterprise Manager Cloud Control on the remote host and upgrade the Management Repository in the existing database.</td>
<td>Section 12.5</td>
</tr>
<tr>
<td>Step 5</td>
<td><strong>Perform Postupgrade Task</strong></td>
<td>Section 12.6</td>
</tr>
<tr>
<td>(a)</td>
<td>Check the agent upgrade status.</td>
<td>Section 12.10</td>
</tr>
<tr>
<td>(b)</td>
<td>Perform the general post-upgrade tasks.</td>
<td>Section 12.11</td>
</tr>
<tr>
<td>(c)</td>
<td>Track the status of deferred data migration jobs.</td>
<td>Section 12.12</td>
</tr>
<tr>
<td>(d)</td>
<td>Sign off agent migration process.</td>
<td>Section 12.12 .1</td>
</tr>
<tr>
<td>(e)</td>
<td>Sign off the upgrade process and exit the upgrade mode</td>
<td>Section 12.13</td>
</tr>
<tr>
<td>(f)</td>
<td>Deleted unwated central agents.</td>
<td>Section 12.14</td>
</tr>
<tr>
<td>(g)</td>
<td>(Optional) Monitor the targets that were monitored by the deleted central agent.</td>
<td>Section 12.14</td>
</tr>
<tr>
<td>(h)</td>
<td>(Optional) Delete the old OMS home.</td>
<td>Section 12.14</td>
</tr>
</tbody>
</table>
### Table 8–3 (Cont.) Upgrading Enterprise Manager Grid Control with 1-System Upgrade Approach on a Different Host

<table>
<thead>
<tr>
<th>Step No.</th>
<th>Step</th>
<th>Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>(i)</td>
<td>(Only if you have Application Dependency and Performance (ADP) or JVM Diagnostics (JVMD) installed)</td>
<td>If you have not deinstalled the JVMD and ADP applications from your inventory by logging into the WebLogic Administration Console for each monitored domain and removing the jamagent and Acsera application deployments, then do so now.</td>
</tr>
<tr>
<td></td>
<td>1. Delete all ad4jTarget targets:</td>
<td>Navigate to the following location: &lt;middleware_home&gt;/plugins/oracle.sysman.emas.oms.plugin__/12.1.0.3.0/archives/jvmd/ (\text{(b) Extract the file jvmd.zip.}) (\text{(c) Run the script jvmd_targetupgrade11_12.sql.})</td>
</tr>
<tr>
<td></td>
<td>2. Delete all OCAMM Manager targets:</td>
<td>Navigate to the following location: &lt;middleware_home&gt;/plugins/oracle.sysman.emas.oms.plugin__/12.1.0.3.0/archives/ocamm/ (\text{(b) Extract the file ADPManager.zip.}) (\text{(c) Run the script adp_targetupgrade11_12.sql.})</td>
</tr>
<tr>
<td></td>
<td>3.</td>
<td>Deploy new JVMD and ADP managers.</td>
</tr>
<tr>
<td></td>
<td>5.</td>
<td>Deploy new JVMD and ADP agents based on your inventory.</td>
</tr>
</tbody>
</table>
This chapter describes the preupgrade requirements you must meet. In particular, this part covers the following:

- Applying the Preupgrade Console Patch
- Configuring Postupgrade Tasks
- Identifying the Host for Enterprise Manager Cloud Control
- Managing the Software
- Analyzing Your Environment
- Providing Repository Backup Details

9.1 Applying the Preupgrade Console Patch

For instructions to apply the Preupgrade Console patch, see Section 2.2.3.1.

9.2 Configuring Postupgrade Tasks

By default, soon after the upgrade, Enterprise Manager Cloud Control automatically runs the deferred data migration process (DDMP) jobs. These jobs migrate historical data such as metrics, configuration, and so on from the format stored in the earlier release of Enterprise Manager to the format compatible with Enterprise Manager Cloud Control. For more information DDMP jobs, see Section 12.6.1.

Depending on the size of your Enterprise Manager system, these jobs consume a high amount of Management Repository resources and take longer time to complete. In particular, when you upgrade using the 1-System upgrade approach, you might face resource contention as all the Management Agents will be up and running soon after the upgrade.

For better control on these jobs, you may choose to disable the auto-run of these DDMP jobs. If you do so, you must run these jobs later explicitly from the Post Upgrade Tasks page within the Enterprise Manager Cloud Control console.

To disable the auto-run of DDMP jobs, follow these steps:

1. In Grid Control, click Deployments.
2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.

3. On the Upgrade Console page, in the Select Upgrade Type section, select **1-System**.

   Enterprise Manager Grid Control refreshes the page and displays a table with a list of tasks you need to perform for the upgrade approach you selected.

4. In the Preupgrade Steps section, from the table, click **Configure Postupgrade Tasks**.

5. On the Configure Postupgrade Tasks page, select **Disable automatic DDMP jobs**.

6. Click **Save**.

   Enterprise Manager Grid Control saves the information you provided and returns to the Upgrade Console page that shows a list of tasks to perform for the upgrade approach you selected.

---

**Note:** If you want to run the DDMP jobs explicitly after upgrading to Enterprise Manager Cloud Control, perform Step (3) as described in Section 12.6.2—select each component and click **Start**.

### 9.3 Identifying the Host for Enterprise Manager Cloud Control

**Note:** Follow these instructions only if you are upgrading using the 2-System upgrade approach or the 1-System upgrade approach on a different host. Perform these steps in the Enterprise Manager Grid Control console of the earlier release.

To identify and provide information about the host where you plan to install Enterprise Manager Cloud Control, follow these steps:

1. In Grid Control, click **Deployments**.

2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.

3. On the Upgrade Console page, in the Select Upgrade Type section, select one of the following:
   - To upgrade your Enterprise Manager system with "near zero" downtime (even if you manually install Management Agents), select **2-System**.
   - To upgrade your Enterprise Manager system with downtime on a different host, select **1-System on a Different Host**.

   Enterprise Manager Grid Control refreshes the page and displays a table with a list of tasks you need to perform for the upgrade approach you selected.

4. In the Preupgrade Steps section, from the table, click **Identify Host and Port for New Enterprise Manager System**.

5. On the Identify Host and Port for New Enterprise Manager System page, enter the following:
   - The fully qualified name of the host where you plan to install Enterprise Manager Cloud Control. For example, `example.com`.
The secure port and the unsecure upload port you plan to assign for that Enterprise Manager Cloud Control console. For example, 1768.

In case of a multi-OMS environment, if the host on which you plan to install Oracle Management Service 12c is managed by a Server Load Balancer (SLB), then select **Click if you wish to have Server Load Balancer (SLB) configured for your Oracle Management Service 12c Release 3 (12.1.0.3.0).** Then, enter the fully qualified name, and the secure and unsecure upload ports of the host where the SLB is running.

---

**Note:**

- For 2-System upgrade approach and 1-System upgrade approach on a different host, understandably, the host referred to here is any host other than the one where your existing OMS is running. In a Multi-OMS environment this means that a new virtual host name must be used and a new SLB configuration created for this virtual host name.

- Ensure that you install Oracle Management Service 12c on the host entered here, and ensure that you use the same HTTPS and HTTP upload ports entered here. In the installation wizard, on the Port Configuration Details screen, enter the HTTPS upload port for the component **Enterprise Manager Upload Http SSL Port**, and the HTTP upload port for the component **Enterprise Manager Upload Http Port**.

  If you have already installed Oracle Management Service 12c, then retrieve the values from the `emgc.properties` file, which is available in the OMS home. For HTTPS upload port, look for the value assigned to the parameter `EM_UPLOAD_HTTPS_PORT`, and for HTTP upload port, look for the value assigned to the parameter `EM_UPLOAD_HTTP_PORT`.

- Once you enter the secure and unsecure upload ports here, avoid changing them once the deployment starts.

---

6. In the Configure Postupgrade Tasks section, select one of the following options:

- **Disable automatic DDMP jobs**, if you want to prevent the DDMP jobs from running automatically. This option is applicable for 1-System upgrade approach as well as 1-System upgrade approach on a different host.

  If you want to run the DDMP jobs explicitly after upgrading to Enterprise Manager Cloud Control, perform Step (3) as described in **Section 12.6.2**—select each component and click **Start**.

  **Note:** If you disable the DDMP jobs, the ADMP jobs are also disabled by default.

- **Disable automatic ADMP jobs**, if you want to prevent the ADMP jobs from running automatically. This option is applicable only for 1-System upgrade approach.

  If you want to run the DDMP jobs explicitly after upgrading to Enterprise Manager Cloud Control, perform Step (3) as described in **Section 12.7.2**.
7. Click Save.

Enterprise Manager Grid Control saves the information you provided and returns to the Upgrade Console page that shows a list of tasks to perform for the upgrade approach you selected.

9.4 Managing the Software

**Note:** Perform these steps in the Enterprise Manager Grid Control console of the earlier release.

To manage information about the location of the core Management Agent software and its associated plug-ins, follow these steps:

1. In Grid Control, click Deployments.
2. On the Deployments page, in the Upgrade section, click Enterprise Manager 12c Upgrade Console.
3. On the Upgrade Console page, in the Select Upgrade Type section, select one of the following. For information about these upgrade approaches, see Chapter 2.
   - To upgrade your Enterprise Manager system with downtime (even if you manually install Management Agents), select 1-System.
   - To upgrade your Enterprise Manager system with "near zero" downtime (even if you manually install Management Agents), select 2-System.
   - To upgrade your Enterprise Manager system with downtime on a different host, select 1-System on a Different Host.

Enterprise Manager Grid Control refreshes the page and displays a table with a list of tasks you need to perform for the upgrade approach you selected.

4. In the Preupgrade Steps section, from the table, click Manage Software.
5. On the Manage Software page, in the Agent Upgradability and Target Upgradability pie charts, click the hyperlinks in the respective legends and identify the Management Agents and targets that can be upgraded, and that cannot be upgraded due to unavailability of the Management Agent software or the plug-in software.
6. In the Provide Software Location section, enter the absolute path to the directory where the core Management Agent software and the plug-in software are present for the required platforms.

For example, /john/software/oracle/em/.

And then, click Validate to register that location with the Enterprise Manager system.
Note:

- The absolute path you enter must be to a staging location that contains both the Management Agent software and the plug-in software.

To download the Management Agent software and the plug-ins, follow these steps:

(a) Access the following URL:


(b) Download the Management Agent software to an accessible location. Do NOT extract the contents of the software ZIP file. The Management Agent software is platform-specific, so ensure that you copy the software for the platform on which you want to install.

(c) Download all the required plug-ins to the same location. Plug-ins are generic, so they are common for all platforms.

Ensure that you download all the plug-ins listed as required plug-ins on the Manage Software page, whether or not you want to monitor a target with them. You may feel that a few plug-ins are not required because you do not have targets to be monitored by them, but those plug-ins may be required for upgrading your system. Therefore, download all the plug-ins listed on the Manage Software page. Ensure that you download these plug-ins before backing up the database that contains the Management Repository.

- Ensure that the core Management Agent software and the plug-in software you provide have read permission, and ensure that the location in which they are present is accessible and has read and write permission.

- If you plan to install multiple Oracle Management Services (OMS instances), then ensure that the location you enter is writable and accessible to all the OMS instances.

- If you have a plug-in version deployed to the earlier release of Enterprise Manager that is not supported in Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3), for example, EBS 2.0 and 3.0 plug-in versions, then do NOT provide the software of the higher version of that plug-in even if its available for download. This ensures that the unsupported version is removed while upgrading to 12c Release 3 (12.1.0.3). After you upgrade, you can deploy the higher version directly from the Plug-In Manager.

- After registering the locations of the Management Agent software and the plug-in software, if you download some more plug-ins at a later stage in the same or a different location, then register the location of those recently downloaded plug-ins as well.

- The validation and registration process might take some time to complete, so after you click Validate, wait for some time for the process to end. Once the process ends, you should see a message confirming that the location has been successfully registered.
This chapter describes how you can analyze your existing Oracle Management Agents (Management Agent) and prepare yourself to upgrade them. In particular, this chapter covers the following:

- Identifying Oracle Management Agents with Valid Inventory
- Identifying Oracle Management Agents with Invalid Inventory
- Checking the Upgradability Status of Oracle Management Agents
- Checking the Upgradability Status of Targets
- Identifying Problematic Oracle Management Agents
- Identifying Oracle Management Agents That Need to Be Reconfigured
- Identifying Oracle Management Agents That are Not Supported in Enterprise Manager 12c
- Identifying Oracle Management Agents That are Installed after Repository Back-Up
- Identifying Broken Targets

**Note:** Perform these steps in the Enterprise Manager Grid Control console of the earlier release.
9.5.1 Identifying Oracle Management Agents with Valid Inventory

Every Oracle software product, including Oracle Management Agent (Management Agent) installed on a host is registered with the central inventory (oraInventory). Every Oracle software product has an entry in the inventory.xml file, which is present in the oraInventory directory. If a Management Agent is registered with the central inventory, then it is a Management Agent with valid inventory.

To identify Management Agents with valid inventory, follow these steps:

1. In Grid Control, click Deployments.
2. On the Deployments page, in the Upgrade section, click Enterprise Manager 12c Upgrade Console.
3. On the Upgrade Console page, in the Agent Upgrade Status section, view the count displayed against Agents with Valid Inventory.

To drill down and view more information about each of the Management Agents, click the count value.

Enterprise Manager Grid Control displays the Upgrade Status page that provides information such as the platform on which the Management Agents are running; their versions; their old and new Oracle home locations; and their deployment, configuration, health check, and switch over status.

Note:

- The count displayed here does not include the Management Agents with invalid inventory and the Management Agents that were installed after the count was determined.
- To consider the Management Agents that have an invalid inventory, first resolve the issue with such Management Agents. Ensure that there are no such faulty Management Agents. For information on how to identify such Management Agents and resolve them, see Section 9.5.2. Once you have resolved the issue, click Refresh Agents and Targets List.
- To consider the Management Agents that were installed after the count was determined, refresh the list by clicking Refresh Agents and Targets List.

9.5.2 Identifying Oracle Management Agents with Invalid Inventory

Every Oracle software product, including Management Agent installed on a host is registered with the central inventory (oraInventory). Every Oracle software product has an entry in the inventory.xml file, which is present in the oraInventory directory.

However, there may be circumstances when an entry for a Management Agent might be missing, incomplete, or corrupt, or the entry might be existing with an incorrect syntax. In addition, there is also a possibility that the host configuration was not refreshed and the latest configuration was not collected. If a Management Agent is not registered with the central inventory for such reasons, then it is a Management Agent with invalid inventory.

Management Agents with invalid inventory do not appear in the Preupgrade Console, and as a result, you will not be able to upgrade them until the issues are fixed.

To identify Management Agents with invalid inventory, follow these steps:
1. In Grid Control, click **Deployments**.

2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.

3. On the Upgrade Console page, in the Agent Upgrade Status section, view the count displayed against **Agents with Invalid Inventory**.

   To drill down and view more information about each of the Management Agents, click the count value.

---

**Note:** To resolve this issue, follow these steps:

1. Check the entry for these Management Agents in the **inventory.xml** file.
   - The **inventory.xml** file is present in the **oraInventory** directory (central inventory). The location of the **oraInventory** directory is mentioned in the **oraInst.loc** file that you pass while invoking the installer in silent mode. Ensure that the entry is present with the correct syntax.

2. Refresh the host configuration in one of the following ways:
   - (a) Go to the home page of the host. Click the **Configuration** tab. On the Configuration page, click **Refresh**.
   - (b) Go to the Deployments page. In the Configuration section, click **Refresh Host Configuration**.
     
     If Step 2 (a) or Step 2 (b) fail, then run the following command from the Management Agent home:
     
     `$<AGENT_HOME>/bin/emctl control agent runCollection`

3. Refresh the agent list in the **Preupgrade Console**.
   - Go to the Preupgrade Console. In the Agent Upgrade Status section, click **Refresh Agents and Targets List**.

---

**9.5.3 Checking the Upgradability Status of Oracle Management Agents**

To check the upgradability status of the Management Agents, follow these steps:

1. In Grid Control, click **Deployments**.

2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.

3. On the Upgrade Console page, in the Preupgrade Steps section, from the table, click **Manage Software**.

4. On the Manage Software page, view the Agent Upgradability pie chart. The pie chart graphically shows the following information:

   - **Completely Upgradable** - Number of Management Agents that can be fully upgraded because the Management Agent software and all the associated, target-specific management plug-in software are available.

   - **Missing Plug-Ins Software** - Number of Management Agents that can be partially upgraded because the Management Agent software and only a few of the associated, target-specific management plug-in software are available. Some management plug-in software are either missing or unsupported in the upgraded Enterprise Manager system.
9.5.4 Checking the Upgradability Status of Targets

To check the upgradability status of the targets, follow these steps:

1. In Grid Control, click **Deployments**.
2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.
3. On the Upgrade Console page, do one of the following:
   - In the Other Links section, click **Targets Upgradability Status**. On the Targets Upgradability Status page, use the search functionality to search and identify the targets that can either be monitored or not monitored in the upgraded Enterprise Manager system.
     
     For example, to list the targets that cannot be monitored in the upgraded Enterprise Manager system, from the **Upgrade Status** list, select **Not Upgradable**. Similarly, to list the target that can be monitored, select **Upgradable**.

   - In the Preupgrade Steps section, from the table, click **Manage Software**.
     
     On the Manage Software page, view the Target Upgradability pie chart. The pie chart graphically shows the following information:

     - **Upgradable** - Number of targets that can be monitored in the upgraded Enterprise Manager system because the Management Agent software and the associated, target-specific management plug-in software are available.

     - **Missing Agent/Plug-In Software** - Number of targets that cannot be monitored in the upgraded Enterprise Manager system because either the Management Agent software is missing or the associated management plug-in software is missing.

     - **Not Supported** - Number of targets that cannot be monitored in the upgraded Enterprise Manager system because either Oracle Management Agent 12c has not been released for the platforms on which the targets are running, or the management plug-ins for those targets are not supported in the upgraded Enterprise Manager system.

     - **Plug-In Software Not Certified** - Number of targets that cannot be monitored in the upgraded Enterprise Manager system because the management plug-ins for those targets are not certified.

9.5.5 Identifying Problematic Oracle Management Agents

There may be Management Agents that cannot be upgraded due to technical issues such as missing host target, association with multiple host targets, unreachable state, invalid inventory, and so on.
To identify such problematic Management Agents, follow these steps:

1. In Grid Control, click **Deployments**.
2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.
3. On the Upgrade Console page, in the Other Links section, click **Problematic Agents**.
4. On the Problematic Agents page, view the Management Agents that cannot be upgraded.
   - To search for a particular Management Agent, enter the Management Agent name in the **Agent** field, and click **Search**.
   - To filter the Management Agents based on a particular technical reason, select one of the following reasons from the **Reason** list, and click **Search**:
     - **Missing Repository Inventory** - Lists the Management Agents that are not registered with the central inventory (oraInventory).
     - **Multiple Host Target** - Lists the Management Agents that are either associated with or monitoring more than one host.
     - **Agent Unreachable** - Lists the Management Agents that are down.
     - **Host Target Missing** - Lists the Management Agents whose hosts are not discovered and monitored in the Enterprise Manager Grid Control console. The Management Agents themselves may be monitored but their hosts may not be monitored for some reason.
     - **Central agent** - Lists the central agents (Management Agents installed with the first OMS) that cannot be upgraded for 2-system upgrade.
     - **All** - Lists all the Management Agents that have one or more issues listed in the **Reason** list.

### 9.5.6 Identifying Oracle Management Agents That Need to Be Reconfigured

You might have to reconfigure the Management Agents for one of the following reasons:

- If the OMS host and port with which you deployed a Management Agent do not match with the OMS host and port with which you deployed the other Management Agents.
- You have either deleted one or more targets monitored by this Management Agent, or added new targets to be monitored by this Management Agent. To resolve this issue, reconfigure this Management Agent so that the new configuration can take effect.
- The versions of the plug-ins you deployed with this Management Agent are different from the versions of the plug-ins you deployed with the other Management Agents. To resolve this issue, deploy this Management Agent again with the correct plug-in versions.

To identify the Management Agents that need to be reconfigured, follow these steps:

1. In Grid Control, click **Deployments**.
2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.
3. On the Upgrade Console page, in the Other Links section, click Agents Needing Reconfiguration.

4. On the Agents Needing Reconfiguration page, view the Management Agents that need to be reconfigured. The table not only lists the Management Agents but also provides the following reasons for you to reconfigure them.

- OMS Host and Port Mismatch
  Indicates that the OMS host and port with which you deployed the Management Agent do not match with the OMS host and port with which you deployed the other Management Agents. To resolve this issue, access the Identify Host and Port for New Enterprise Manager System page, correct the OMS host and port, and deploy this Management Agent again.

- Targets Deleted or Added
  Indicates that you have either deleted one or more targets monitored by this Management Agent, or added new targets to be monitored by this Management Agent. To resolve this issue, reconfigure this Management Agent so that the new configuration can take effect.

- Plug-In Version Mismatch
  Indicates that the versions of the plug-ins you deployed with this Management Agent are different from the versions of the plug-ins you deployed with the other Management Agents. To resolve this issue, deploy this Management Agent again with the correct plug-in versions.

9.5.7 Identifying Oracle Management Agents That are Not Supported in Enterprise Manager 12c

If the core agent software has not been released for the platforms on which the Management Agents run, then these Management Agents will not be supported in Enterprise Manager 12c. Technically, the target or target monitored by those agents can no longer be monitored in the upgraded Enterprise Manager system.

To identify the Management Agents that are not supported in Enterprise Manager 12c, follow these steps:

1. In Grid Control, click Deployments.
2. On the Deployments page, in the Upgrade section, click Enterprise Manager 12c Upgrade Console.
3. On the Upgrade Console page, in the Other Links section, click Agents Not Supported in Enterprise Manager 12c.
4. On the Agents Not Supported in Enterprise Manager 12c page, view the Management Agents that are not supported in Enterprise Manager 12c.

9.5.8 Identifying Oracle Management Agents That are Installed after Repository Back-Up

When upgrading Management Agents to Enterprise Manager 12c using the 2-system approach, if agents are installed after Repository Back-Up, then these agents will not be able to migrate to Enterprise Manager 12c system. You will have to push these 12c Management Agents from the 12c system and rediscover these targets.

To identify the Management Agents that are not supported in Enterprise Manager 12c, follow these steps:
1. In Grid Control, click **Deployments**.
2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.
3. On the Upgrade Console page, in the Other Links section, click **Agents Installed after Repository Back-Up**.

### 9.5.9 Identifying Broken Targets

A broken target indicates that the deployed Management Agent from the Enterprise Manager 12c system is not in a position to monitor the target that was monitored earlier by the old Management Agent.

To identify the broken targets, follow these steps:

1. In Grid Control, click **Deployments**.
2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.
3. On the Upgrade Console page, in the Other Links section, click **Broken Targets**.
4. On the Broken Targets page, view the list of broken targets. The table not only lists the broken targets but also provides the reason for the broken target, the type of target, the name of the Agent, the name of the Plug-In, and the Platform.

### 9.6 Providing Repository Backup Details

**Note:** Follow these instructions only if you are upgrading using the 2-System upgrade approach. Perform these steps in the Enterprise Manager Grid Control console of the earlier release.

To provide information on when you backed up your Oracle Management Repository, follow these steps:

1. In Grid Control, click **Deployments**.
2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.
3. On the Upgrade Console page, in the Select Upgrade Type section, select **2-System**. For information about these upgrade approaches, see **Chapter 2**.

   Enterprise Manager Grid Control refreshes the page and displays a table with a list of tasks you need to perform for the upgrade approach you selected.

4. In the OMS and Repository Upgrade Steps section, from the table, click **Provide Repository Backup Details**.
5. On the Provide Repository Backup Details page, provide the date and time when you backed up your Oracle Management Repository.
Note: Ensure that the backup time you enter is based on the time zone to which your existing Management Repository belongs.

If you are noting down the backup time stamp from your clock in a time zone that is different from the time zone of the region where the Management Repository resides, then make sure you change the time stamp to the time zone to which the Management Repository belongs.

For example, to convert the time stamp "1999-12-01 11:00:00" in the America/Newyork time zone to America/LosAngeles time zone, run the following query. The result of this query displays the time in 'America/LosAngeles' time zone.

```sql
SELECT FROM_TZ(CAST(TO_DATE('1999-12-01 11:00:00', 'YYYY-MM-DD HH:MI:SS') AS TIMESTAMP), 'America/New_York') AT TIME ZONE 'America/Los_Angeles' "West Coast Time" FROM DUAL;
```

6. Click Save.
This chapter describes how you can upgrade your Oracle Management Agents. In particular, this part covers the following:

- Deploying and Configuring Oracle Management Agents
- Generating a Health Report of Deployed Oracle Management Agents
- Verifying and Signing Off the Health Report of Deployed Oracle Management Agents
- Switching Over to Oracle Management Agent 12c

10.1 Deploying and Configuring Oracle Management Agents

This section covers the following:

- Before You Begin
- Deploying and Configuring Oracle Management Agents

10.1.1 Before You Begin

Before you deploy and configure Oracle Management Agent, keep these points in mind:

- You cannot deploy and configure Oracle Management Agent 12c for problematic Management Agents. To identify the problematic Management Agents, see Section 9.5.5.

- You must deploy and configure Oracle Management Agent 12c only on existing Management Agents that are completely upgradable. To identify whether the Management Agents are completely upgradable, see Section 9.5.3.

- For 2-system upgrade, do NOT deploy Oracle Management Agent 12c on the remote OMS host because the Enterprise Manager installer will take care of installing it while installing Oracle Management Service 12c.

- For 1-system upgrade, use the Preupgrade Console to deploy Oracle Management Agent 12c for the central agent. Central agent is the Management Agent installed with the old OMS.

However, for 2-system upgrade and 1-system upgrade on a different host, do NOT use the Preupgrade Console to deploy Oracle Management Agent 12c as the central agent on that remote host. Instead, use the Enterprise Manager Cloud Control Installation Wizard to install the Management Agent along with the OMS. In the Preupgrade Console, you will be able to select the central agents, but not proceed with the deployment and configuration job. When you try to submit the
job, the selected central agents are validated and reported as problematic agents, which cannot be upgraded. If you want to continue monitoring the targets that were monitored by the central agent, then follow the instructions outlined in Section 12.13.

- You need the Management Agent credentials to deploy and configure the software binaries, and the root credentials to run the `root.sh` script. In this regard, the following use cases are supported:
  - **Use Case 1:** You have the agent as well as the root credentials. In this case, you can deploy and configure successfully.
  - **Use Case 2:** You have the agent user name and root user name, but not their passwords. However, you have SUDO or PowerBroker utility installed in your environment that can enable you to switch over to another user.

    In this case, you can still deploy and configure successfully. You can use SUDO or PowerBroker, depending on what is installed in your environment, to switch over to another user account that has the required privileges.
  - **Use Case 3:** You have the agent credentials, but not the root credentials, and you do not have SUDO or PowerBroker utility in your environment.

    In this case, you can still deploy and configure successfully. In the Deploy and Configure Agents Wizard, in the Agent Credentials section, enter the agent credentials as described in Step (10) and as shown in Figure 10–1.

    **Figure 10–1  Agent Credentials**

    ![Agent Credentials](image)

    Then, on the Optional Details page of the wizard, in the Root Credentials section as described in Step (16) and as shown in Figure 10–2, enter any dummy user name and password.

    **Figure 10–2  Root Credentials**

    ![Root Credentials](image)

    Proceed with the deployment and configuration. Note that the deployment will succeed, but the `root.sh` script will not run. One of the steps in the upgrade job will fail stating that the root password was incorrect and that the root script was not run. Ignore the error and proceed.
After the deployment, contact the administrator who has the root credentials, and request him or her to manually run this `root.sh` script on each of the Management Agents.

---
**Note:** The `root.sh` script can be run any time after the deployment, and not necessarily immediately after the deployment. Even if the script is not run, the upgrade process will not be affected in any way—the health check, sign-off, and switchover operations will work without any issues. However, Oracle strongly recommends that you run the script before using the Enterprise Manager Cloud Control. Otherwise, you will not be able to run jobs on the Management Agents and you will see `nmo` errors.

- To deploy and configure Oracle Management Agent 12c, the existing connectivity between the old Management Agent and the OMS is used; SSH is not used.

- For 2-system upgrade, if your old Management Agent was running in secure (or unsecure) mode before backing up the Management Repository, then ensure that it continues to run in the same mode while you deploy and configure the new Management Agent for it. Do not resecure the Management Agents after backing up the Management Repository. If you do so, the ping test might fail while performing the health check because of a mismatch between the configuration stored in the repository and the actual configuration of the Management Agent. You will see a `KEY_MISMATCH` error in `gcagent.log`. For information on this error and to know how to secure the Management Agents without facing this issue, see Appendix H.

- For 2-system upgrade, after cloning the old Management Repository (or taking a backup), do not make any configuration changes to the Management Agent. If you do, then you must redeploy and reconfigure the Management Agents. Despite redeploying and reconfiguring the Management Agents if you see an error during the switchover process, then log out and log in to the Enterprise Manager Grid Control Console (old EM Console) and retry the switchover process.

---

**10.1.2 Deploying and Configuring Oracle Management Agents**

To deploy Oracle Management Agent 12c, follow these steps:

---
**Note:** Perform these steps in the Enterprise Manager Grid Control console of the earlier release.

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1. In Grid Control, click **Deployments**.

2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.

3. On the Upgrade Console page, in the Select Upgrade Type section, select one of the following. For information about these upgrade approaches, see Chapter 2.

   - To upgrade your Enterprise Manager system with downtime (even if you manually install Management Agents), select **1-System**.
   
   - To upgrade your Enterprise Manager system with "near zero" downtime (even if you manually install Management Agents), select **2-System**.
To upgrade your Enterprise Manager system with downtime on a different host, select **1-System on a Different Host**.

Enterprise Manager Grid Control refreshes the page and displays a table with a list of tasks you need to perform for the upgrade approach you selected.

4. In the Preupgrade Steps section, from the table, click **Deploy and Configure Agents**.

5. On the Deploy and Configure Agents page, for **Operation Name**, enter a unique name for the deployment operation you are about to perform. The custom name you enter can be any intuitive name, and need not necessarily be in the same format as the default name.

   For example, *Deploy_Agents_Phase1_2010_12_23*.

   **Note:** The operation name you enter here is only a logical name and will be used for saving the details of the operation you are about to perform. After deploying the software binaries of Oracle Management Agent 12c, at a later point in time, if you want to check the health of the deployed Management Agents or switch over from old to newly deployed and configured Management Agents, then you can use the operation name to identify and track the Management Agents selected as part of this operation.

6. In the Select Operation Type section, select **Deploy Agent and Plug-In Software** and **Configure Agent and Plug-In Software**.

   **Note:** If you had already deployed the software binaries of the Management Agent, then you can choose to only configure them now. In this case, select only **Configure Agent and Plug-In Software**.

7. In the Search Agents section, search and add the existing, old Management Agents for which you want to deploy the software. To understand how to search and add Management Agents, see **Appendix G**.
8. In the table that lists the Management Agents, enter an installation base directory and an instance home directory for each of the Management Agents.

Note:

- Ensure that the installation base directory you enter here is NOT inside the Middleware home.
- Ensure that the installation base directory has at least 1 GB of free disk space.
- If you had already deployed the software binaries of the Management Agent, and if you are only configuring them at this point, then enter the same installation base directory and the instance home directory where you had deployed the software binaries.
- Ensure that the installing user owns the agent base directory. Ensure that the installing user or the root user owns all the parent directories. Ensure that the root user owns the root directory. And ensure that all of these directories have read and execute permissions for group and other users.

For example, if the agent base directory is `/scratch/OracleHomes/agent`, and if `oracle` is the installing user, then the `/scratch/OracleHomes/agent` directory must be owned by `oracle`, directories `/scratch` and `OracleHomes` must be owned by either `oracle` or `root` user, and the root directory (`/`) must be owned by `root` user. And directories `/`, `/scratch`, and `/OracleHomes` have read and execute permissions.
Select these additional options if required:

- If you want the paths to the installation base directory and the instance home directory to be the same across Management Agents, then select **Use Same Paths for All Agents**, and enter the absolute path for installation base directory and instance home directory, just once, for the first Management Agent listed in the table. Enterprise Manager Grid Control will consider the same paths for other Management Agents listed in the table.

**Note:** You cannot select **Use Same Paths for All Agents** with the installation base directory mentioned for one Management Agent and the instance home directory mentioned for another Management Agent in the table. Only the paths specified for the first Management Agent will be considered as the same paths for other Management Agents in the table.

- If the agent base directory or the agent instance home directory specified by you already exists, and if you want to overwrite them, then select **Overwrite Any Existing Directories**. Typically, you will select this option when you choose to redeploy the software binaries in the same base directory.

- If you want to ignore any deployment and configuration-related prerequisite checks, then select **Ignore Deploy Prerequisites**. Oracle recommends that you run the prerequisite checks, so select this option only if you are sure you want to ignore the checks.

- If you want to clean up all the traces for failed deployments, then select **Cleanup Failed Deployments**. Note that this will clean up all the files, directories, and even log files for failed deployments. So select this option only if you are sure you want to lose all the data.

9. After you add the Management Agents, select each of them from the **Select** column.

10. In the Agent Credentials section, retain the default selection, that is, **Use Oracle Home Preferred Credentials**, so that the preferred credentials stored in the Management Repository can be used for this job.

**Note:** Ensure that the preferred credentials were registered with the Enterprise Manager system using the Enterprise Manager Command Line Interface (EM CLI). For more information, see Section F.

You can optionally override these preferred credentials. To do so, select **Override Oracle Home Preferred Credentials** and enter one set of credentials that can be used for all Oracle homes.

**Note:** Ensure that you use the same credentials that you used for the existing, earlier release of the Management Agent

11. In the Run Privilege section, by default, **None** is selected assuming that the credentials you have provided in the previous step have the privileges to run this job.
However, if those credentials do not have the privileges to run this job, and if you want to switch over as another user for this purpose, then select either SUDO or Power Broker, depending on the authentication utility you want to use, and provide the user account name and profile.

12. (Applicable Only for 2-System Upgrade) In the OMS Host and Port section, validate the name and the secure port of the host where you plan to install Oracle Management Service 12c. To change the values, click Edit.

   Note: If you had already upgraded your existing OMS to Oracle Management Service 12c, then ensure that the port you enter here matches with the Enterprise Manager Upload HTTP SSL Port you selected or specified in the installer while upgrading the OMS.

13. Click Next.

14. On the Optional Details page, in the Pre-Deploy Options section, select Run Pre-Command/Script if you want to run any script before deploying the software binaries of the Management Agents.

   Enter the absolute path to a location on the destination host where the script is available. The script can also be in a shared location, but you must have execute privileges on it to run the script.

   Note: By default, the credentials provided in Step (10) are used for running the script. And by default, None is selected assuming that the credentials have the privileges to run the script.

   However, if those credentials do not have the privileges to run the script, and if you want to switch over as another user for this purpose, then select either SUDO or Power Broker, depending on the authentication utility you want to use, and provide the user account name and profile.

15. In the Post-Config Options section, select Run Post-Command/Script if you want to run any script after configuring the deployed Management Agents.

   Enter the absolute path to a location on the destination host where the script is available. The script can also be in a shared location, but you must have execute privileges on it to run the script.

   Note: By default, the credentials provided in Step (10) are used for running the script. And by default, None is selected assuming that the credentials have the privileges to run the script.

   However, if those credentials do not have the privileges to run the script, and if you want to switch over as another user for this purpose, then select either SUDO or Power Broker, depending on the authentication utility you want to use, and provide the user account name and profile.

16. (Only for UNIX) In the Root Credentials section, enter the credentials of a user account to run the root.sh script.
**Note:** By default, **None** is selected assuming that the credentials have the privileges to run the script. However, if those credentials do not have the privileges to run the script, and if you want to switch over as another user for this purpose, then select either **SUDO** or **Power Broker**, depending on the authentication utility you have, and provide the user account name and profile.

If you do not have root credentials, then enter the agent credentials. In this case, the `root.sh` script is not run, but the deployment succeeds. Ensure that you manually run this script after deployment. Otherwise, you will not be able to run jobs on the Management Agent.

17. Click **Submit**.

**WARNING:** After deploying and configuring the Management Agents, do not make any configuration changes, for example, the metric thresholds, job definitions, templates, and so on. If you do, then those changes will not be carried over when you switch over to the new Enterprise Manager system; the only way to transfer the changes is to reconfigure the new Management Agents. To reconfigure them, see the note in Step (6).
Generating a Health Report of Deployed Oracle Management Agents

Note:

- After you submit the operation, if you see the following error, then first run root.sh from the old Management Agent home, and then, resubmit this operation.

  ERROR: NMO not setuid-root (Unix-only)

- If you see the following error, then verify the credentials entered in Step (10).

  Local Authentication Failed...Attempt PAM authentication...PAM failed . . .

- If the deployment operation fails at some point, you can choose to only reconfigure the Management Agents, and not copy the software binaries all over again. To only reconfigure the Management Agents, repeat the instructions outlined in this procedure, but for Step (6) ensure that you select only Configure Agent and Plug-In Software.

- When the operation is in progress, if a Management Agent being deployed and configured becomes unavailable for some reason, you may see the status Suspended on Event. Once the Management Agent becomes available, the status automatically changes to show the current state. This is an expected behavior.

- If the credentials entered in Step (10) are incorrect, then the step for running the root.sh script fails in the predeployment job, but the job as such shows that it is successful. In this case, manually run the root.sh script using the correct root credentials. Otherwise, the Management Agent will result in NMO errors.

- In case you have issues, review the log files mentioned in Appendix L.

10.2 Generating a Health Report of Deployed Oracle Management Agents

Before you switch over from your old, existing Management Agent to the newly deployed and configured Oracle Management Agent 12c, generate a report to check the health and the readiness of the deployed Management Agents so that any issues with the deployment can be identified beforehand.

For all the Management Agents to switch over successfully and function properly in the upgraded Enterprise Manager system, you must ensure that the Management Agents are in a position to contact the upgraded Oracle Management Service, monitor all the targets they monitored before, and collect all the metrics details they collected before. The health report helps in identifying any issues related to these requirements so that you can resolve them beforehand.

Note: Perform these steps in the Enterprise Manager Grid Control console of the earlier release.

Note: You can generate health reports only for Management Agents that have been successfully deployed and configured.
To generate a health report of the deployed Management Agents and check their readiness, follow these steps:

1. In Grid Control, click **Deployments**.

2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.

3. On the Upgrade Console page, in the Agent Upgrade Steps section, from the table, click **Generate Health Report of Deployed Agents**.

4. On the Generate Health Report for Deployed Agents page, in the Provide Inputs section, do the following:
   
   a. Enter a unique name for the deployment operation you are about to perform. The custom name you enter can be any intuitive name, and need not necessarily be in the same format as the default name. For example, **CheckHealth_Agents_Phase1_2010_12_23**.

   b. If you want to perform the readiness check for the Management Agents you had previously deployed, then click the torch icon against **Load Agents from Previous Operations**, and select the operation you had submitted for deploying those Management Agents, click **Go**.

   Enterprise Manager Grid Control populates the table in the Search Agents section with a list of Management Agents that are associated with the operation you selected.

5. In the Search Agents section, search and add the Management Agents for which you want to perform the readiness check:

   - If you specified a readiness operation name as described in Step 4 (a), then search (or add) and select the existing, old Management Agents for which you have already deployed the software and for which you want to check the health now. To understand how to search and add Management Agents, see **Appendix G**.

   - If you selected a deployment operation as described in Step 4 (b), then review the Management Agents that are displayed based on the operation you selected.

6. After you add the Management Agents, select each of them from the **Select** column.

7. In the Agent Credentials section, retain the default selection, that is, **Use Oracle Home Preferred Credentials**, so that the preferred credentials stored in the Management Repository can be used for this job.

---

**Note:** The operation name you enter here is only a logical name and will be used for saving the details of the operation you are about to perform. After checking the health of the deployed Management Agents, at a later point in time, if you want to switch over from old to newly deployed and configured Management Agents, then you can use the operation name to identify and track the Management Agents selected as part of this operation.
Verifying and Signing Off the Health Report of Deployed Oracle Management Agents

Note: Ensure that the preferred credentials were registered with the Enterprise Manager system using the Enterprise Manager Command Line Interface (EM CLI). For more information, see Appendix F.

You can optionally override these preferred credentials. To do so, select *Override Oracle Home Preferred Credentials* and enter one set of credentials that can be used for all Oracle homes.

Note: Ensure that you use the same credentials that you used for the existing, earlier release of the Management Agent.

8. Click *Submit*.

Note:

- After you submit the operation, if you see the following error, then first run `root.sh` from the old Management Agent home, and then, resubmit this operation.

  ERROR: NMO not setuid-root (Unix-only)

- If you see the following error, then verify the credentials entered in Step (10).

  Local Authentication Failed...Attempt PAM authentication...PAM failed . . .

- When the operation is in progress, if a Management Agent for which you are performing a readiness check becomes unavailable for some reason, you may see the status *Suspended on Event*. Once the Management Agent becomes available, the status automatically changes to show the current state. This is an expected behavior.

- If you were monitoring a SOA target in your earlier release of Enterprise Manager, then in the health report, you might see metric collection errors for the following metrics:

  - Top SOA SQL Queries
  - Dehydration Store Tables

  As this stage, you can ignore these errors and proceed further. However, ensure that you provide the database credentials for this target as described in Section 12.5.

- In case you have issues, review the log files mentioned in Appendix L.

10.3 Verifying and Signing Off the Health Report of Deployed Oracle Management Agents

Before you switch over from your old, existing Management Agent to the newly deployed and configured Oracle Management Agent 12c, verify and sign off the health report of deployed Management Agents.
For all the Management Agents to switch over successfully and function properly in Enterprise Manager Cloud Control, you must ensure that the Management Agents are in a position to contact the upgraded Oracle Management Service (OMS), monitor all the targets they monitored before, and collect all the metrics details they collected before. The health report helps in identifying any issues related to these requirements so that you can resolve them beforehand.

**Note:** Perform these steps in the Enterprise Manager Grid Control console of the earlier release.

To verify and sign off the health report, follow these steps:

1. In Grid Control, click **Deployments**.
2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.
3. On the Upgrade Console page, in the Agent Upgrade Steps section, from the table, click **Sign Off Health Report for Deployed Agents**.
4. On the Sign Off Health Report for Deployed Agents page, view the health and the readiness details for each of the Management Agents.
   - Verify the **Ping Test** column, which indicates whether or not the deployed Oracle Management Agent 12c will be able to contact the upgraded OMS.

**Note:**

For 1-System upgrade approach and and 1-System upgrade approach on a different host, in the **Ping Test** column, you will see the value N/A.

For 2-System upgrade, you will see either **Passed** or **Failed**. The status **Passed** indicates that the ping test was successful, and the status **Failed** indicates that the test was unsuccessful. If you see the status **Failed** for any Management Agent, then do the following:

- Verify the OMS host name and OMS port entered in the Identify Host and Port for New Enterprise Manager System page.
- Alternatively, verify the `REPOSITORY_URL` parameter in the following file. Here, `<Agent_Instance_Home>` refers to the 12c agent home.
  ```
  <Agent_Instance_Home>/sysman/config/emd.properties
  ```
  If the OMS host name and port are correct, then check for errors in the following log file:
  ```
  <Agent_Instance_Home>/sysman/log/gcagent.log
  ```
- If any Management Agent is in unsecure mode, and if you have already upgraded the OMS, then run these commands on the upgraded OMS (12c OMS), generate the health check report again, and then switch over:
  ```
  $<OMS_HOME>/bin/emctl secure unlock -console
  $<OMS_HOME>/bin/emctl secure unlock -upload
  ```
Verifying and Signing Off the Health Report of Deployed Oracle Management Agents

Caution: If your old Management Agent was running in secure (or unsecure) mode before backing up the Management Repository in case of the 2-System upgrade approach, then ensure that it continues to run in the same mode while you deploy and configure the new Management Agent for it. Otherwise, the ping test can fail due to a mismatch between the configuration stored in the repository and the actual configuration of the Management Agent.

- Verify the Broken Targets column, which indicates whether or not the deployed Oracle Management Agent 12c will be in a position to monitor all the targets that were monitored earlier by the old Management Agent. The numeric value you see in the column indicates the number of targets that cannot be monitored in the upgraded system.
  
  If you see any value other than 0, then view the detailed report (as described in the next step) to see a list of broken targets and the reasons why they are broken.

- Verify the Failed Metrics column, which indicates whether or not the deployed and configured Oracle Management Agent 12c will be able to collect all the metrics in the upgraded Enterprise Manager system. The numeric value you see in the column indicates the number of metrics that have an issue.
  
  If you see any value other than 0, then view the detailed report (as described in the next step) to see a list of failed metrics and the reasons for their failure.

- Verify the Sign-Off User column and the User Verified Column, which indicate whether or not the health report was signed off for a Management Agent. If you do not see any value in these columns, then formally sign off the report as described in Step (6).

5. If you want to view a detailed report, select the Management Agent for which you want to view a detailed report, and click View Detailed Report.

6. After checking the report, click Verify and Sign Off Report to sign off. Ensure that the Management Agent for which you viewed a detailed report is selected.
10.4 Switching Over to Oracle Management Agent 12c

This section covers the following:
- Switching Over to Oracle Management Agent 12c
- Troubleshooting Issues with the Switchover Operation

10.4.1 Switching Over to Oracle Management Agent 12c

To switch over the existing, earlier release of Oracle Management Agents (Management Agent) to the newly deployed Management Agents, so that they can communicate with Enterprise Manager Cloud Control, follow these steps:

**Note:** Perform these steps in the Enterprise Manager Grid Control console of the earlier release.

---

**Note:**
- After you submit the operation, if you see the following error, then first run `root.sh` from the old Management Agent home, and then, resubmit this operation.
  
  ERROR: NMO not setuid-root (Unix-only)

- If you see the following error, then verify the credentials entered in Step (10).
  
  Local Authentication Failed...Attempt PAM authentication...PAM failed . . .

- If you were monitoring a SOA target in your earlier release of Enterprise Manager, then in the health report, you might see metric collection errors for the following metrics:
  - Top SOA SQL Queries
  - Dehydration Store Tables

As this stage, you can ignore these errors and proceed further.
Switching Over to Oracle Management Agent 12c

1. In Grid Control, click **Deployments**.
2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.
3. On the Upgrade Console page, in the Agent Upgrade Steps section, from the table, click **Switch Agents**.
4. On the Switch Agents page, in the Provide Inputs section, do the following:
   a. Enter a unique name for the switchover operation you are about to perform. The custom name you enter can be any intuitive name, and need not necessarily be in the same format as the default name.
      
      For example, *Switch_Agents_Phase1_2010_12_23*
   
   **Note**: The operation name you enter here is only a logical name and will be used for saving the details of the operation you are about to perform. After submitting this operation, if you want to track its status, then you can use the operation name.

   b. If you want to switch over the Management Agents for which you had previously performed a readiness check, then click the torch icon against **Load Agents from Previous Operations**, and select the operation you had submitted for performing the readiness check for those Management Agents, click **Go**.

      Enterprise Manager Grid Control populates the table in the Search Agents section with a list of Management Agents that are associated with the operation you selected.

5. In the Search Agents section, search and add the Management Agents you want to switch over:

---

**Note:**

- If you are upgrading using the 2-system upgrade approach or the 1-system upgrade approach on a different host, then do NOT select the old central agent, that is, the Management Agent installed with the old OMS, for switchover.

- If you want to continue monitoring the targets that were monitored by the central agent, then follow the instructions outlined in Section 12.13.

- If the agent configuration has changed after backup, then you must redploy and reconfigure the Management Agents. If this is already done after the configuration changes, but you still see an error during the switchover process, then log out and log in to the Enterprise Manager Grid Control Console (old EM Console) and retry the switchover process.

- Do not stop or cancel a switchover job and resubmit it immediately for the same set of Management Agents. If you are forced to do so, then first restart the database to make sure all lingering process are cleaned before a resubmission of the switchover operation.

---
If you specified a switchover operation name as described in Step 4 (a), then search (or add) and select the deployed Management Agents that you want to switch over now. To understand how to search and add Management Agents, see Appendix G.

**Note:** If you are upgrading using the 2-system upgrade approach or the 1-system upgrade approach on a different host, then do NOT select the old central agent, that is, the Management Agent installed with the old OMS, for switchover. If you want to continue monitoring the targets that were monitored by the central agent, then follow the instructions outlined in Section 12.13.

- If you selected an operation name as described in Step 4 (b), then review the Management Agents that are displayed based on the operation you selected.

6. After you add the Management Agents, select each of them from the Select column.

7. In the Agent Credentials section, retain the default selection, that is, Use Oracle Home Preferred Credentials, so that the preferred credentials stored in the Management Repository can be used for this job.

**Note:** Ensure that the preferred credentials were registered with the Enterprise Manager system using the Enterprise Manager Command Line Interface (EM CLI). For more information, see Appendix F.

You can optionally override these preferred credentials. To do so, select Override Oracle Home Preferred Credentials and enter one set of credentials that can be used for all Oracle homes.

**Note:** Ensure that you use the same credentials that you used for the existing, earlier release of the Management Agent

8. Click Submit.

**Note:** If you have any issues with the switchover operation, then troubleshoot them as described in Section 10.4.2. In case of other issues, review the log files mentioned in Appendix L.

9. *(Applicable Only for 2-System Upgrade Approach and 1-System Upgrade Approach on a Different Host)* After the switchover, delete the central agents as described in Section 12.12.

After deleting the central agents, if you want to continue monitoring the targets that were monitored by them, then follow the instructions outlined in Section 12.13.

### 10.4.2 Troubleshooting Issues with the Switchover Operation

The following are some common issues and workarounds related to the switchover operation:
After you submit the operation, if you see the following error, then first run root.sh from the old Management Agent home, and then, resubmit this operation.

ERROR: NMO not setuid-root (Unix-only)

If you see the following error, then verify the credentials entered in Step (10) of Section 10.1.

Local Authentication Failed...Attempt PAM authentication...PAM failed . . .

If the Run Presync step of the switchover operation fails, then you will see the following error in the emoms.trc trace file. This is possible if your database domain name has any characters other than A-Z (letters), 0-9 (numerals), _ (underscore), # (hash), $ (dollar), . (period), or @ (at the rate). For example, a database domain name with a hyphen (-) can result in this issue.

ORA-20000: Found exception Error Message :ORA-02083: database name has illegal character '-' Error Number ;-2083
To resolve this issue, follow the instructions outlined in Section K.2. If the database domain name has an illegal character, such as a hyphen, then correct it.

When the operation is in progress, if a Management Agent being deployed and configured becomes unavailable for some reason, you may see the status Suspended on Event. Once the Management Agent becomes available, the status automatically changes to show the current state, and eventually gets switched over. This is an expected behavior.

In the 2-System upgrade approach, all the old Management Agents you selected are switched over, and the upgraded Management Agents are started so that they can communicate with the upgraded OMS.

In the 1-System upgrade approach, if you missed switching over any Management Agent and upgraded the OMS inadvertently, then you must manually switch over those Management Agents by first stopping the old Management Agents and then starting the new ones:

(a) Stop the old Management Agent home:

$<AGENT_HOME>/bin/emctl stop agent

(b) Start the deployed Oracle Management Agent 12c from its instance home:

$<AGENT_INSTANCE_DIR>/bin/emctl start agent

Even after switching over a Management Agent, if the status shows that it is down, then manually start it:

$<AGENT_INSTANCE_DIR>/bin/emctl start agent

While switching over a deployed Management Agent to the new Enterprise Manager system, the switchover job might fail at the uploadAgent step. If the step fails with that error, then do the following:

1. On the Management Agent host, run the following command:
   
   cat /dev/null > access_log

2. From the Management Agent instance home, run the following command and verify if the upload operation succeeds. Repeat this command until the upload operation succeeds.

   $<AGENT_INSTANCE_HOME>/bin/emctl upload agent
3. Once the upload operation succeeds, access the Preupgrade Console from your Enterprise Manager Grid Control console, and in the Agent Upgrade Steps section, click **Switch Agents**.

4. On the Switch Agents page, select the Management Agent that had the error, and submit the switchover job again.

   - In case of other issues, review the log files mentioned in **Appendix L**.
This chapter describes the different ways of upgrading Oracle Management Service (OMS) and Oracle Management Repository (Management Repository) of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1). Select the one that best suits your requirement, and follow the instructions outlined in the respective section.

This chapter describes the following:

- Upgrading Oracle Management Service and Oracle Management Repository in Graphical Mode
- Upgrading Oracle Management Service and Oracle Management Repository in Silent Mode
- Installing the Software Now and Upgrading It Later in Graphical Mode
- Installing the Software Now and Upgrading It Later in Silent Mode
- Upgrading Oracle Management Service and Oracle Management Repository with 1-System on a Different Host Approach
- Upgrading a Multi-OMS Environment

11.1 Upgrading Oracle Management Service and Oracle Management Repository in Graphical Mode

This section describes how you can upgrade your existing OMS and Management Repository of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3) in graphical mode using one of the upgrade approaches.

In particular, this section describes the following:

- Upgrading with 1-System Upgrade Approach in Graphical Mode
- Upgrading with 2-System Upgrade Approach in Graphical Mode

Note: You can find the OMS and Management Agent entries in the /etc/oragchomelist file for all UNIX platforms except HPUNIX, HPia64, Solaris Sparc. On HPUNIX, HPia64, Solaris Sparc platforms, the entries are present in /var/opt/oracle/oragchomelist.
To upgrade your existing OMS and Management Repository with 1-System upgrade approach in graphical mode, follow these steps:

1. Invoke the Enterprise Manager Cloud Control Installation Wizard on the host where your existing OMS is running.

   `<Software_Location>/runInstaller`

   In this command, `<Software_Location>` refers to the location where you have extracted the software kit.

   **Note:** If you see an error message stating that you have not copied the emkey, run the following command.

   `$<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_host <repository_host> -repos_port <port> -repos_sid <sid> -repos_user <username> [-repos_pwd <pwd>] -emkey_file <OMS_HOME>/sysman/config/emkey.ora`

   (Here, the Management Repository details are details of the existing or old Management Repository. And when you run this command, you might be prompted for the admin server password.)

### 11.1.1 Upgrading with 1-System Upgrade Approach in Graphical Mode

**Note:** When you upgrade using the 1-System upgrade approach, the Enterprise Manager Cloud Control Installation Wizard neither installs a new Management Agent with the OMS it installs, nor upgrades the existing Management Agent. The Management Agent is predeployed using the **Preupgrade Console**. This is an expected behavior.
Note:

- For information about the additional, advanced options you can pass while invoking the installer, refer to Section 5.1.1.
- To invoke the installation wizard on UNIX platforms, run runInstaller. To invoke on Microsoft Windows platforms, run setup.exe.
- When you invoke runInstaller or setup.exe, if the Enterprise Manager Cloud Control Installation Wizard does not appear, then it is possible that you do not have access to the /stage directory.

There is a classpath variable that the installation wizard computes for OPatch as ../stage/Components/, and when the TEMP variable is set to /tmp, the installation wizard tries to look for the opatch JAR file in the /tmp/../stage directory, which is equivalent to /stage. However, if you do not have the permission on /stage, then the installation wizard can hang. Under such circumstances, verify if you have access to the /stage directory. If you do not have access to it, then set the TEMP variable to a location where the install user has access, and then relaunch the installation wizard.

- While upgrading on IBM AIX, if you see an error message stating that your JDK version in the middleware home is not of a supported version, then make sure you install the supported version mentioned in the message, and then invoke the installer passing the --skipJDKValidation argument.

   For example,
   
   ./.runInstaller -skipJDKValidation

2. (Optional) On the My Oracle Support Details screen, enter your My Oracle Support credentials to enable Oracle Configuration Manager. If you do not want to enable Oracle Configuration Manager now, go to Step (3).

   If the host from where you are running the installation wizard does not have a connection to the Internet, then enter only the e-mail address and leave the other fields blank. After you complete the installation, manually collect the configuration information and upload it to My Oracle Support.

   Note: Beginning with Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3), My Oracle Support accesses support.oracle.com directly. This means that you must provide network access to this URL, or grant proxy access to it from any client that will access My Oracle Support.

3. Click Next.

4. On the Software Updates screen, apply the latest software updates, including the latest PSU patches.

   You can download the software updates in offline mode (if you do not have Internet connectivity) or online mode (if you have Internet connectivity). For
Upgrading Oracle Management Service and Oracle Management Repository in Graphical Mode

instructions, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

5. Click Next.

6. On the Prerequisite Checks screen, check the status of the prerequisite checks run by the installation wizard, and verify whether your environment meets all the minimum requirements for a successful upgrade.

The installation wizard runs the prerequisite checks automatically when you come to this screen. It checks for the required operating system patches, operating system packages, and so on.

The status of the prerequisite check can be either Warning, Failed, or Succeeded.

If some checks result in Warning or Failed status, then investigate and correct the problems before you proceed with the upgrade. The screen provides details on why the prerequisites failed and how you can resolve them. After you correct the problems, return to this screen and click Rerun to check the prerequisites again.

7. Click Next.

8. On the Install Types screen, select Upgrade an Existing Enterprise Manager System, then select One System Upgrade. Then select the OMS home you want to upgrade.

9. Click Next.

10. On the Installation Details screen, do the following:

a. Enter or validate the Middleware home.

---

Note: If a prerequisite check fails reporting a missing package, then make sure you install the required package, and click Rerun. The installation wizard validates the package name as well as the version, so make sure you install the packages of the minimum versions mentioned in Oracle Enterprise Manager Cloud Control Basic Installation Guide. To understand the logic the installation wizard uses to verify these packages, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.

---

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b. Validate the host name. By default, the host name is the name of the host where the existing, earlier release of Enterprise Manager was installed. This is a non-editable field.

11. Click Next.

12. On the Database Connection Details screen, do the following:
   - Enter the passwords for the SYS and SYSMAN user accounts of the database that houses the Management Repository for the selected OMS.
   - Confirm that you have backed up the Oracle Management Repository (Management Repository). As a prerequisite, you must back up the Management Repository before starting the upgrade process. If you have not already taken a backup, then do so immediately, and then return to the installer to continue with the upgrade.

**Note:** Before you proceed to the next screen, stop all the associated OMS instances.

   - If you are upgrading from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), then run this command from the OMS home:
     ```
     $<OMS_HOME>/bin/emctl stop oms -all
     ```
   - If you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5), then run this command from the OMS home:
     ```
     $<OMS_HOME>/opmn/bin/opmnctl stopall
     ```

**Note:** For information about the various prerequisite checks that are run on the database at this point, see *Oracle Enterprise Manager Cloud Control Basic Installation Guide*.

13. Click Next.
On the Select Plug-ins screen, select the optional plug-ins you want to deploy in addition to the plug-ins that will automatically be installed while upgrading the OMS.

**Note:** The pre-selected rows might also include the plug-ins you installed while predeploying Oracle Management Agent 12c using the Preupgrade Console.

However, if the pre-selected rows do not include the plug-ins you installed then, it means that those plug-ins are not available in the software kit (DVD, downloaded software).

If you want to install the plug-ins that are not listed on this screen, then follow these steps:

1. Manually download the plug-ins from the Enterprise Manager download page on OTN, and store them in an accessible location.
   

2. Invoke the installer with the following option, and pass the location where the plug-ins you downloaded are available:

   ```
   ./runInstaller -pluginLocation <absolute_path_to_plugin_software_location>
   ```

You might have a plug-in version deployed to the earlier release of Enterprise Manager that is not supported in Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). In this case, when you invoke the installer with `-pluginLocation` argument, make sure you do NOT provide the software of the higher version of the unsupported plug-in even if the higher version is available for download. This ensures that the unsupported version is removed while upgrading to 12c Release 2 (12.1.0.2). After you upgrade, you can deploy the higher version directly from the Plug-In Manager.
15. Click Next.

16. Provide WebLogic Server Configuration Details

- If you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5), then on the WebLogic Server Configuration Details screen, enter the credentials for the WebLogic Server user account and the Node Manager user account, and validate the path to the OMS instance base location.

**Note:**

- Ensure that your password contains at least 8 characters without any spaces, begins with a letter, and includes at least one numeric value.

- If you are creating the OMS instance base directory (gc_inst) on an NFS-mounted drive, then after you install, move the lock files from the NFS-mounted drive to a local file system location. Modify the lock file location in the httpd.conf file to map to a location on a local file system. For instructions, refer to Section 5.1.4.

By default, the WebLogic Domain name is GCDomain, and the Node Manager name is nodemanager. These are non-editable fields. The installer uses this information for creating Oracle WebLogic Domain and other associated components such as the admin server, the managed server, and the node manager. A Node Manager enables you to start, shut down, or restart an Oracle WebLogic Server instance remotely, and is recommended for applications with high availability requirements.

- If you are upgrading from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), then validate the AdminServer host name and its port, and the WebLogic user name, and enter the WebLogic user account password. This is required to create a new WebLogic domain (GCDomain) on the same port and host name as the AdminServer used by the earlier release of the OMS you are upgrading.

**Note:** If you are creating the OMS instance base directory (gc_inst) on an NFS-mounted drive, then after you install, move the lock files from the NFS-mounted drive to a local file system location. Modify the lock file location in the httpd.conf file to map to a location on a local file system. For instructions, refer to Section 5.1.4.

- If you are upgrading an additional OMS from 10g Release 5 (10.2.0.5) or from 11g Release 1 (11.1.0.1), then enter the host name and port of the AdminServer configured for the first OMS that you have already upgraded, and then, enter the credentials for the existing WebLogic Server user account.
17. Click Next.

18. On the Tablespace Location screen, validate the location where the data file (`mgmt_deepdive.dbf`) for JVM Diagnostics data tablespace can be stored. You can choose to edit it if you want. In that case, ensure that the path leads up to the file name. Enterprise Manager Cloud Control requires this data file to store monitoring data related to JVM Diagnostics and Application Dependency Performance (ADP).

Note: This screen appears only if you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5).

19. Click Next.

20. Provide the port configuration details:

   - If you are upgrading from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), then you will NOT see the Port Configuration Details screen because the ports used by the old OMS will be reused by the upgraded OMS. Hence, go to Step (22).

   - If you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5), then on the Port Configuration Details screen, customize the ports to be used for various components.

   - By default, this screen lists the default ports for all the core components. However, if you are upgrading an additional OMS, then the screen does not list the Admin Server HTTP SSL port because you will reuse the Admin Server configured for the first OMS.

   - If all the ports on this screen appear as -1, then it indicates that the installer is unable to bind the ports on the host. To resolve this issue, exit the installer, verify the host name and the IP configuration of this host (ensure that the IP address of the host is not being used by another host), restart the installer, and try again.
You can enter a free custom port that is either within or outside the port range recommended by Oracle.

To verify if a port is free, run the following command:

On Unix:
```
netstat -anp | grep <port no>
```

On Microsoft Windows:
```
netstat -an|findstr <port_no>
```

However, the custom port must be greater than 1024 and lesser than 65535. Alternatively, if you already have the ports predefined in a `staticports.ini` file and if you want to use those ports, then click **Import staticports.ini File** and select the file.

---

**Note:** If the `staticports.ini` file is passed during installation, then by default, the ports defined in the `staticports.ini` file are displayed. Otherwise, the first available port from the recommended range is displayed.

The `staticports.ini` file is available in the following location:
```
<Software_Extracted_Location>/response
```

---

21. Click **Next**.

22. On the Review screen, review the details you have provided for the upgrade.
   a. If you want to change the details, click **Back** repeatedly until you reach the screen where you want to make the changes.
   b. After you verify the details, if you are satisfied, click **Install** to begin the upgrade.

23. On the Install Progress screen, view the overall progress (in percentage) of the upgrade operation and the status of each of the Configuration Assistants.

---

**Note:** If the OMS Configuration Assistant fails with an exception, particularly when you are upgrading from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), then stop the AdminServer and try again. To stop the AdminServer, run the following command on each of the OMS hosts:
```
$<OMS_HOME>/bin/emctl stop oms -all
```
Once the software binaries are copied and configured, you are prompted to run the `allroot.sh` script. Open another window, log in as `root`, and manually run the scripts.

If you are installing on Microsoft Windows operating system, then you will NOT be prompted to run this script.

On the Finish screen, you should see information pertaining to the upgrade of Enterprise Manager. Review the information and click Close to exit the wizard.

Note: If a Configuration Assistant fails, the installer stops and none of the subsequent Configuration Assistants are run until the issue related to the failed Configuration Assistant is resolved. In this case, diagnose the issue, resolve it, and then, click Retry on the Install Progress screen to rerun the Configuration Assistants starting from the Configuration Assistant that failed.

However, if you accidently exit the installer before clicking Retry, then do NOT restart the installer to reach the same screen; instead, invoke the `runConfig.sh` script from the OMS home to rerun the Configuration Assistant in silent mode:

```
$<OMS_HOME>/oui/bin/runConfig.sh ORACLE_HOME=<absolute_path_to_OMS_home> MODE=perform ACTION=configure COMPONENT_XML={encap_oms.1_0_0_0_0.xml}
```

If the `runConfig.sh` script fails, raise a service request and contact Oracle Support.

Note: If the Management Repository upgrade fails with the following error in the schemamanager logs, then restart the database, and then try the upgrade again.

```
ORA-04020: deadlock detected while trying to lock object SYSMAN.MGMT_GLOBAL
```
11.1.2 Upgrading with 2-System Upgrade Approach in Graphical Mode

**Caution:** For 2-system upgrade approach, Oracle recommends that you use a clean, fresh host for installing Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). Therefore, ensure that the host does not already have any Management Agent installed on it.

If you attempt to install on a host that already has a Management Agent, then the new Management Agent installed as part of the 2-system upgrade process will not be able to register the same host again as a target. This is because the existing Management Agent would have already registered the host as a target in your old Management Repository, and when you clone this Management Repository and upgrade it as part of the 2-system upgrade process, the entry for this host will continue to exist, that is, even after the cloned Management Repository is upgraded. And as a result, when the new Management Agent attempts to upload data, it will not be able to register this host again.

If you are forced to use a host that already has a Management Agent installed on it, then first deinstall the existing Management Agent, and clean up information related to it and the targets monitored by it, from the Management Repository. And then start the 2-system upgrade on that host. For instructions to deinstall and clean up the existing Management Agent, see the *Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide*.

If you accidentally installed Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) on a host that already has a Management Agent on it, then follow the workaround provided in Section 12.1.

To upgrade your existing OMS and Management Repository with 2-System upgrade approach in graphical mode, follow these steps:

1. Invoke the Enterprise Manager Cloud Control Installation Wizard on the host where you plan to install Oracle Management Service 12c:

   ```
   <Software_Location>/runInstaller [ALLOW_ONLY_SECURE_ACCESS_TO_CONSOLE=FALSE LOCK_ORACLE_MANAGEMENT_SERVICE=FALSE]
   ```

   In this command, `<Software_Location>` refers to the location where you have downloaded the software kit.
Note:

- For information about the additional, advanced options you can pass while invoking the installer, refer to Section 5.1.1.
- To invoke the installation wizard on UNIX platforms, run runInstaller. To invoke on Microsoft Windows platforms, run setup.exe.
- Ensure that the host on which you are invoking the installer matches with the host you entered in the Preupgrade Console.

If you are invoking the installer on a different host, and if you choose to modify the host name in the Preupgrade Console, then follow these steps:

1. Exit the installer.
2. Discard the backed up database.
3. Access the Preupgrade Console:
   
   (a) On the Identify Host and Port for New Enterprise Manager System page, change the host name. For instructions, see Section 9.3.
   
   (b) On the Deploy and Configure Agents page, reconfigure the Management Agents that have already been deployed. For instructions, see Section 10.1.

   Important: On the Deploy and Configure Agents page, in the Select Operation Type section, ensure that you deselect Deploy Agent and Plug-In Software.
4. Take a fresh backup of the database now.
5. Invoke the installer all over again, and retry the upgrade process.

Note: When you invoke runInstaller or setup.exe, if the Enterprise Manager Cloud Control Installation Wizard does not appear, then it is possible that you do not have access to the /stage directory.

There is a classpath variable that the installation wizard computes for OPatch as ../stage/Components/, and when the TEMP variable is set to /tmp, the installation wizard tries to look for the opatch JAR file in the /tmp/../stage directory, which is equivalent to /stage. However, if you do not have the permission on /stage, then the installation wizard can hang. Under such circumstances, verify if you have access to the /stage directory. If you do not have access to it, then set the TEMP variable to a location where the install user has access, and then relaunch the installation wizard.
2. (Optional) On the My Oracle Support Details screen, enter your My Oracle Support credentials to enable Oracle Configuration Manager. If you do not want to enable Oracle Configuration Manager now, go to Step (3).

If the host from where you are running the installation wizard does not have a connection to the Internet, then enter only the e-mail address and leave the other fields blank. After you complete the installation, manually collect the configuration information and upload it to My Oracle Support.

3. Click Next.

4. On the Software Updates screen, apply the latest software updates, including the latest PSU patches.

You can download the software updates in offline mode (if you do not have Internet connectivity) or online mode (if you have Internet connectivity). For instructions, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

5. Click Next.

If Enterprise Manager Cloud Control is the first Oracle product you are installing on the host that is running on UNIX operating system, then the Oracle Inventory screen appears. For details, see step (6). Otherwise, the Check Prerequisites screen appears. For details, see step (8).

If Enterprise Manager Cloud Control is the first Oracle product you are installing on the host that is running on Microsoft Windows operating system, then the Oracle Inventory screen does not appear. On Microsoft Windows, the following is the default inventory directory:

```
<system drive>\Program Files\Oracle\Inventory
```

6. On the Oracle Inventory screen, do the following. You will see this screen only if this turns out to be your first ever installation of an Oracle product on the host.

a. Enter the full path to a directory where the inventory files and directories can be placed.
b. Select the appropriate operating system group name that will own the Oracle inventory directories. The group that you select must have write permissions on the Oracle Inventory directories.

7. Click Next.

8. On the Prerequisite Checks screen, check the status of the prerequisite checks run by the installation wizard, and verify whether your environment meets all the minimum requirements for a successful upgrade.

The installation wizard runs the prerequisite checks automatically when you come to this screen. It checks for the required operating system patches, operating system packages, and so on.

The status of the prerequisite check can be either Warning, Failed, or Succeeded. If some checks result in Warning or Failed status, then investigate and correct the problems before you proceed with the upgrade. The screen provides details on why the prerequisites failed and how you can resolve them. After you correct the problems, return to this screen and click Rerun to check the prerequisites again.

9. Click Next.

Note: If a prerequisite check fails reporting a missing package, then make sure you install the required package, and click Rerun. The installation wizard validates the package name as well as the version, so make sure you install the packages of the minimum versions mentioned in Oracle Enterprise Manager Cloud Control Basic Installation Guide. To understand the logic the installation wizard uses to verify these packages, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.

10. On the Installation Types screen, select Upgrade an Existing Enterprise Manager System, then select Two System Upgrade.

11. Click Next.

12. On the Installation Details screen, do the following:

   a. Enter or validate the Middleware home where you want to install the OMS and other core components.

   Note: If this is the first Oracle product on the host, then the default central inventory location is <home directory>/oraInventory. However, if you already have some Oracle products on the host, then the central inventory location can be found in the oraInst.loc file. The oraInst.loc file is located in the /etc directory for Linux and AIX, and in the /var/opt/oracle directory for Solaris, HP-UX, and Tru64.
b. Enter the absolute path to the agent base directory, a location outside the Oracle Middleware home where the Management Agent can be installed. For example, /oracle/agent. Ensure that this location is empty and has write permission. Also ensure that it is always maintained outside the Oracle Middleware home.

c. Validate the name of the host where you want to configure the OMS.

The host name appears as a fully qualified name, or as a virtual host name if your host is configured with virtual machine. If the installation wizard was invoked with a value for ORACLE_HOSTNAME, then this field is prepopulated with that name.

Accept the default host name, or enter a fully qualified domain name that is registered in DNS and is accessible from other network hosts. Oracle recommends that you use a fully qualified domain name.

Note: The host name must resolve to the local host because the host name is used for the local Oracle WebLogic Server as well as the Oracle Management Service. Do not provide a remote host or a load balancer virtual host in this field. Do not enter an IP address. Do not use underscores in the name. Short names are allowed, but you will see a warning, so Oracle recommends that you enter a fully qualified domain name instead.

13. On the Database Connection Details screen, enter the fully qualified name of the host where the backed up database resides, its listener port and its service name or system ID (SID), and the SYS and SYSMAN user account passwords.
The installer uses this information to connect to the backed up database for upgrading the SYSMAN schema. SYSMAN schema holds most of the relational data used in managing Enterprise Manager Cloud Control.

**Note:** For information about the various prerequisite checks that are run on the database at this point, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.

14. Click Next.
15. On the Select Plug-ins screen, select the optional plug-ins you want to install from the software kit (DVD, downloaded software) while installing the Enterprise Manager system.

The pre-selected rows are mandatory plug-ins that will be installed by default. Select the optional ones you want to install or upgrade.
**Note:** The pre-selected rows might also include the plug-ins you installed while predeploying Oracle Management Agent 12c using the *Preupgrade Console*.

However, if the preselected rows do not include the plug-ins you installed then, it means that those plug-ins are not available in the software kit (DVD, downloaded software).

If you want to install the plug-ins that are not listed on this screen, then follow these steps:

1. Manually download the plug-ins from the Enterprise Manager download page on OTN, and store them in an accessible location.
   

2. Invoke the installer with the following option, and pass the location where the plug-ins you downloaded are available:

   `./runInstaller -pluginLocation <absolute_path_to_plugin_software_location>`

You might have a plug-in version deployed to the earlier release of Enterprise Manager that is not supported in Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). In this case, when you invoke the installer with `-pluginLocation` argument, make sure you do NOT provide the software of the higher version of the unsupported plug-in even if the higher version is available for download. This ensures that the unsupported version is removed while upgrading to 12c Release 3 (12.1.0.3). After you upgrade, you can deploy the higher version directly from the Plug-In Manager.

---

16. Click Next.

17. On the WebLogic Server Configuration Details screen, enter the credentials for the WebLogic Server user account and the Node Manager user account, and validate the path to the Oracle Management Service instance base location.

**Note:**

- Ensure that your password contains at least 8 characters without any spaces, begins with a letter, and includes at least one numeric value.

- If you are creating the OMS instance base directory (gc_inst) on an NFS-mounted drive, then after you install, move the lock files from the NFS-mounted drive to a local file system location. Modify the lock file location in the httpd.conf file to map to a location on a local file system. For instructions, refer to Section 5.1.4.

By default, the WebLogic Domain name is **GCDomain**, and the Node Manager name is **nodemanager**. These are non-editable fields. The installer uses this information for creating Oracle WebLogic Domain and other associated components such as the admin server, the managed server, and the node manager. A Node Manager enables you to start, shut down, or restart an Oracle WebLogic Server instance.
Upgrading Oracle Management Service and Oracle Management Repository remotely, and is recommended for applications with high availability requirements.

18. Click Next.

**WARNING:** Verify the value set to the GLOBAL_NAMES parameter on both the databases:

- If the GLOBAL_NAMES parameter is set to FALSE on both the databases, then ensure that you do not change this value until the upgrade is complete, and all the Management Agents are switched over.
- If the GLOBAL_NAMES parameter is set to TRUE on even one of the databases, and if both the databases have the same value for the GLOBAL_NAME parameter, then change the value of the GLOBAL_NAME parameter set on the new, cloned database to a value that is different from the one set on the old database.

19. On the Old Repository Details screen, validate the connect string and enter the SYSMAN password of the old Management Repository.

The details are used to connect to the old Management Repository, check for accrued data, and seamlessly transfer it to the upgraded Management Repository. Accrued data refers to the data that was uploaded to the old Management Repository from the time it was backed up till the time it was upgraded.

**Note:** Typically, the connect string is prepopulated. However, if it is not prepopulated, then enter it in the following format:

- With SID

  ```
  (DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<hostname>)(PORT=<port>))(CONNECT_DATA=(SID=<sid>)))
  ```

- With Service Name

  ```
  (DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<hostname>)(PORT=<port>))(CONNECT_DATA=(SERVICE_NAME=<service_name>)))
  ```

20. Click Next.

21. On the Tablespace Location screen, validate the location where the data file (mgmt_deeppive.dbf) for JVM Diagnostics data tablespace can be stored. You can choose to edit it if you want. In that case, ensure that the path leads up to the file name. Enterprise Manager Cloud Control requires this data file to store monitoring data related to JVM Diagnostics and Application Dependency Performance (ADP).

**Note:** This screen appears only if you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5).

22. Click Next.
23. On the Port Configuration Details screen, customize the ports to be used for various components.
   - Ensure that the ports you enter for **Enterprise Manager Upload Http Port** and **Enterprise Manager Upload Http SSL Port** match with the unsecure and secure ports you entered in the **Preupgrade Console**.

   **Note:** If the ports mentioned in this screen are different from the ports you had entered in the **Preupgrade Console**, and if you decide to change the ports in the **Preupgrade Console**, then follow these steps:
   1. Exit the installer.
   2. Discard the backed up database.
   3. Change the ports in the **Preupgrade Console**.
   4. Take a fresh backup of the database now.
   5. Invoke the installer all over again, and retry the upgrade process.

   - For other components, you can enter a free custom port that is either within or outside the port range recommended by Oracle. However, the custom port must be greater than 1024 and lesser than 65535.

   To verify if a port is free, run the following command:
   - On Unix:
     ```bash
     netstat -anp | grep <port no>
     ```
   - On Microsoft Windows:
     ```bash
     netstat -an|findstr <port_no>
     ```

   - Alternatively, if you already have the ports predefined in a **staticports.ini** file and if you want to use those ports, then click **Import staticports.ini File** and select the file.

   **Note:** If the **staticports.ini** file is passed during installation, then by default, the ports defined in the **staticports.ini** file are displayed. Otherwise, the first available port from the recommended range is displayed. If you do pass this file, then ensure that the ports you enter for **Enterprise Manager Upload Http Port** and **Enterprise Manager Upload Http SSL Port** match with the unsecure and secure ports you entered in the **Preupgrade Console**.

   **Note:** If all the ports on this screen appear as -1, then it indicates that the installer is unable to bind the ports on the host. To resolve this issue, exit the installer, verify the host name and the IP configuration of this host (ensure that the IP address of the host is not being used by another host), restart the installer, and try again.

24. Click **Next**.

25. On the Review screen, review the details you provided for the selected installation type.
- If you want to change the details, click **Back** repeatedly until you reach the screen where you want to make the changes.

- After you verify the details, if you are satisfied, click **Install** to begin the installation process.

26. On the Install Progress screen, view the overall progress (in percentage) of the installation and the status of each of the Configuration Assistants. Configuration Assistants are run for configuring the installed components of Enterprise Manager Cloud Control.

---

**Note:** If a Configuration Assistant fails, the installer stops and none of the subsequent Configuration Assistants are run until the issue related to the failed Configuration Assistant is resolved. In this case, diagnose the issue, resolve it, and then, click **Retry** on the Install Progress screen to rerun the Configuration Assistants starting from the Configuration Assistant that failed.

However, if you accidentally exit the installer before clicking **Retry**, then do NOT restart the installer to reach the same screen; instead, invoke the `runConfig.sh` script from the OMS home to rerun the Configuration Assistant in silent mode:

```
$<OMS_HOME>/oui/bin/runConfig.sh ORACLE_HOME=<absolute_path_to_OMS_home> MODE=perform ACTION=configure COMPONENT_{XML}={encap_oms.1_0_0_0_0.xml}
```

If the `runConfig.sh` script fails, then clean up your environment and redo the installation.

---

27. Once the software binaries are copied and configured, you are prompted to run the `allroot.sh` script, and the `oraInstRoot.sh` script if this is the first Oracle product installation on the host. Open another window, log in as **root**, and manually run the scripts.

If you are installing on Microsoft Windows operating system, then you will NOT be prompted to run this script.

28. On the Finish screen, you should see information pertaining to the installation of Enterprise Manager. Review the information and click **Close** to exit the installation wizard.

For more information about this installation, refer to the following file available in the OMS home:

```
$<OMS_HOME>/install/setupinfo.txt
```

---

**Note:** If the Management Repository upgrade fails with the following error in the schemamanager logs, then restart the database, and then try the upgrade again.

ORA-04020: deadlock detected while trying to lock object

SYSMAN.MGMT_GLOBAL
11.2 Upgrading Oracle Management Service and Oracle Management Repository in Silent Mode

This section describes how you can upgrade your existing OMS and Management Repository of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) in silent mode using one of the upgrade approaches.

In particular, this section describes the following:

- Upgrading with 1-System Upgrade Approach in Silent Mode
- Upgrading with 2-System Upgrade Approach in Silent Mode

---

**Note:** You can find the OMS and Management Agent entries in the `/etc/oragchomelist` file for all UNIX platforms except HPUNIX, HPia64, Solaris Sparc. On HPUNIX, HPia64, Solaris Sparc platforms, the entries are present in `/var/opt/oracle/oragchomelist`.

---

**Note:** If you see an error message stating that you have not copied the emkey, run the following command.

```bash
$<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_host <repository_host> -repos_port <port> -repos_sid <sid> -repos_user <username> [-repos_pwd <pwd>] -emkey_file <OMS_HOME>/sysman/config/emkey.ora
```

(Here, the Management Repository details are details of the existing or old Management Repository. And when you run this command, you might be prompted for the admin server password.)

---

11.2.1 Upgrading with 1-System Upgrade Approach in Silent Mode

**Note:** When you upgrade using the 1-System upgrade approach, the Enterprise Manager Cloud Control Installation Wizard neither installs a new Management Agent with the OMS it installs, nor upgrades the existing Management Agent. The Management Agent is predeployed using the Preupgrade Console. This is an expected behavior.

To upgrade your existing OMS and Management Repository with 1-System upgrade approach in silent mode, refer to Section 5.2.
11.2.2 Upgrading with 2-System Upgrade Approach in Silent Mode

Caution: For 2-system upgrade approach, Oracle recommends that you use a clean, fresh host for installing Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). Therefore, ensure that the host does not already have any Management Agent installed on it.

If you attempt to install on a host that already has a Management Agent, then the new Management Agent installed as part of the 2-system upgrade process will not be able to register the same host again as a target. This is because the existing Management Agent would have already registered the host as a target in your old Management Repository, and when you clone this Management Repository and upgrade it as part of the 2-system upgrade process, the entry for this host will continue to exist, that is, even after the cloned Management Repository is upgraded. And as a result, when the new Management Agent attempts to upload data, it will not be able to register this host again.

If you are forced to use a host that already has a Management Agent installed on it, then first deinstall the existing Management Agent, and clean up information related to it and the targets monitored by it, from the Management Repository. And then start the 2-system upgrade on that host. For instructions to deinstall and clean up the existing Management Agent, see the Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

If you accidentally installed Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) on a host that already has a Management Agent on it, then follow the workaround provided in Section 12.1.

To upgrade your existing OMS and Management Repository using 2-System upgrade approach in silent mode, follow these steps:

1. Copy the following response file to an accessible location on your local host:

   `<Software_Location>/response/upgrade.rsp`

   In this command, `<Software_Location>` refers to the location where you have downloaded the software kit.

2. Edit the response file and enter appropriate values for the variables described in Appendix A.

3. Invoke the installer:

   `./runInstaller -silent -responseFile <absolute_path>/upgrade.rsp`

   ` -staticPortsIniFile <absolute_path_to_staticports.ini_file> [-invPtrLoc <absolute_path_to_inventory_directory>] [ALLOW_ONLY_SECURE_ACCESS_TO_CONSOLE=FALSE LOCK_ORACLE_MANAGEMENT_SERVICE=FALSE]`

Note: For information about the additional, advanced options you can pass while invoking the installer, refer to Section 5.2.1.

To invoke the installation wizard on UNIX platforms, run `runInstaller`. To invoke on Microsoft Windows platforms, run `setup.exe`. 
Note: While upgrading on IBM AIX, if you see an error message stating that your JDK version in the middleware home is not of a supported version, then make sure you install the supported version mentioned in the message, and then invoke the installer passing the -skipJDKValidation argument.

For example,

./runInstaller -skipJDKValidation

Note:

- Ensure that the host on which you are invoking the installer matches with the host you entered in the Preupgrade Console.

  If you are invoking the installer on a different host, and if you choose to modify the host name in the Preupgrade Console, then follow these steps:

  1. Exit the installer.
  2. Discard the backed up database.
  3. Access the Preupgrade Console, and change the host name in the Identify Host and Port for New Enterprise Manager System page.
  4. Take a fresh backup of the database now.
  5. Invoke the installer all over again, and retry the upgrade process.

In this case, you must ensure that all the Management Agents, which were already deployed and configured through the Preupgrade Console before upgrading the OMS, are reconfigured with the new host name.

- In the staticports.ini file, ensure that the ports you enter for Enterprise Manager Upload Http Port and Enterprise Manager Upload Http SSL Port match with the unsecure and secure ports you entered in the Preupgrade Console.

  If you decide to change the ports in the Preupgrade Console instead, then follow these steps:

  1. Exit the installer.
  2. Discard the backed up database.
  3. Change the ports in the Preupgrade Console.
  4. Take a fresh backup of the database now.
  5. Invoke the installer all over again, and retry the upgrade process.

Note: If a prerequisite check fails reporting a missing package, then make sure you install the required package, and retry the installation. The installer validates the package name as well as the version, so make sure you install the packages of the minimum versions mentioned in Oracle Enterprise Manager Cloud Control Basic Installation Guide. To understand the logic the installer uses to verify these packages, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.
11.3 Installing the Software Now and Upgrading It Later in Graphical Mode

This section explains how you can install only the software binaries of Enterprise Manager 12c Cloud Control in graphical mode at one point, and upgrade them at a later point.

In particular, this section describes the following:

- Upgrading in Software-Only Mode with 1-System Upgrade Approach in Graphical Mode
- Upgrading in Software-Only Mode with 2-System Upgrade Approach in Graphical Mode

11.3.1 Upgrading in Software-Only Mode with 1-System Upgrade Approach in Graphical Mode

This section describes how you can upgrade your OMS and Management Repository in software-only mode with 1-System upgrade approach. In particular, this section covers the following:

- Installing the Software Binaries
- Running the allroot.sh Script
- Configuring and Upgrading the Software

11.3.1.1 Installing the Software Binaries

To install the software binaries of Enterprise Manager Cloud Control, refer to Section 5.3.1.
11.3.1.2 Running the allroot.sh Script

To run the allroot.sh script, refer to Section 5.3.2.

11.3.1.3 Configuring and Upgrading the Software

To configure the software binaries of Enterprise Manager Cloud Control, follow these steps:

1. Invoke the installation wizard by running the following script:

   ```
   $<MIDDLEWARE_HOME>/oms/sysman/install/ConfigureGC.sh [-invPtrLoc <absolute_path_to_oraInst.loc>]
   ```

   **Note:**
   - While installing the software binaries as described in Section 11.3.1.1, if you had passed the argument -invPtrLoc, then pass the same argument here as well.
   - For information about the additional, advanced options you can pass while invoking the script, refer to Section 5.3.3.1.

2. On the Install Types screen, do the following:
   a. Select *Upgrade an Existing Enterprise Manager System*, and then, select *One System Upgrade*.
   b. Select the OMS home you want to upgrade.

3. Click Next.

4. On the Database Connection Details screen,
   - Enter the passwords for the SYS and SYSMAN user accounts of the database that houses the Management Repository for the selected OMS.
   - Confirm that you have backed up the Oracle Management Repository (Management Repository). As a prerequisite, you must back up the Management Repository before starting the upgrade process. If you have not already taken a backup, then do so immediately, and then return to the installer to continue with the upgrade.

   **Note:** Before you proceed to the next screen, stop all the associated OMS instances.
   - If you are upgrading from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), then run this command from the OMS home:
     ```
     $<OMS_HOME>/bin/emctl stop oms -all
     ```
   - If you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5), then run this command from the OMS home:
     ```
     $<OMS_HOME>/opmn/bin/opmnctl stopall
     ```

   **Note:** For information about the various prerequisite checks that are run on the database at this point, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.
5. Click Next.

**Note:** If you see an error about missing plug-ins, then do the following:

1. Make a note of the plug-in version and plug-in update as shown in the missing plug-ins error message. The plug-ins displayed in the error message have the following format:
   
   PluginID:PluginVersion:PluginUpdate

2. Manually download the same plug-in version and the same or higher plug-in update as shown in the missing plug-ins error message, from the following URL.


   Store the plug-ins in an accessible location, and ensure that the location is meant only for these missing plug-ins.

3. Invoke the `ConfigureGC.sh` script in the following way to install the downloaded plug-ins:

   `<OMS_HOME>/sysman/install/ConfigureGC.sh -pluginLocation <absolute_path_to_plugin_sw>`

   Proceed to the next step only after you have installed these missing plug-ins.

You might have a plug-in version deployed to the earlier release of Enterprise Manager that is not supported in Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). In this case, when you invoke the installer with `-pluginLocation` argument, make sure you do NOT provide the software of the higher version of the unsupported plug-in even if the higher version is available for download. This ensures that the unsupported version is removed while upgrading to 12c Release 3 (12.1.0.3). After you upgrade, you can deploy the higher version directly from the Plug-In Manager.

6. On the Select Plug-ins screen, select the optional plug-ins you want to deploy in addition to the plug-ins that will automatically be upgraded while upgrading the OMS.

**Note:** If you want to install any additional plug-ins that are not listed on this screen, then follow these steps:

1. Manually download the plug-ins from the Enterprise Manager download page on OTN, and store them in an accessible location.


2. Invoke the installer with the following option, and pass the location where the plug-ins you downloaded are available:

   `${<MIDDLEWARE_HOME>/oms/sysman/install/ConfigureGC.sh -pluginLocation <absolute_path_to_plugin_software_location>}`

7. Click Next.
8. Provide WebLogic Server configuration details:

- If you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5), then on the WebLogic Server Configuration Details screen, enter the credentials for the WebLogic Server user account and the Node Manager user account, and validate the path to the OMS instance base location.

---

Note:

- Ensure that your password contains at least 8 characters without any spaces, begins with a letter, and includes at least one numeric value.

- If you are creating the OMS instance base directory (gc_inst) on an NFS-mounted drive, then after you install, move the lock files from the NFS-mounted drive to a local file system location. Modify the lock file location in the httpd.conf file to map to a location on a local file system. For instructions, refer to Section 5.1.4.

---

By default, the WebLogic Domain name is GCDomain, and the Node Manager name is nodemanager. These are non-editable fields. The installer uses this information for creating Oracle WebLogic Domain and other associated components such as the admin server, the managed server, and the node manager. A Node Manager enables you to start, shut down, or restart an Oracle WebLogic Server instance remotely, and is recommended for applications with high availability requirements.

- If you are upgrading from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), then validate the AdminServer host name and its port, and the WebLogic user name, and enter the WebLogic user account password. This is required to create a new WebLogic domain (GCDomain) on the same port and host name as the AdminServer used by the earlier release of the OMS you are upgrading.

---

Note: If you are creating the OMS instance base directory (gc_inst) on an NFS-mounted drive, then after you install, move the lock files from the NFS-mounted drive to a local file system location. Modify the lock file location in the httpd.conf file to map to a location on a local file system. For instructions, refer to Section 5.1.4.

---

- If you are upgrading an additional OMS from 10g Release 5 (10.2.0.5) or from 11g Release 1 (11.1.0.1), then enter the host name and port of the AdminServer configured for the first OMS, and then, enter the credentials for the existing WebLogic Server user account.
9. **Click Next.**
10. On the Tablespace Location screen, validate the location where the data file (mgmt_deepdive.dbf) for JVM Diagnostics data tables can be stored. You can choose to edit it if you want. In that case, ensure that the path leads up to the file name. Enterprise Manager Cloud Control requires this data file to store monitoring data related to JVM Diagnostics and Application Dependency Performance (ADP).

    **Note:** This screen appears only if you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5).

11. **Click Next.**
12. On the Port Configuration Details screen, customize the ports to be used for various components.

    - If you are upgrading from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), then you will NOT see the Port Configuration Details screen because the ports used by the old OMS will be reused by the upgraded OMS. Hence, go to Step (14).
    
    - If you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5), then on the Port Configuration Details screen, customize the ports to be used for various components.

    **Note:** If all the ports on this screen appear as -1, then it indicates that the installer is unable to bind the ports on the host. To resolve this issue, exit the installer, verify the host name and the IP configuration of this host (ensure that the IP address of the host is not being used by another host), restart the installer, and try again.

    You can enter a free custom port that is either within or outside the port range recommended by Oracle.

    To verify if a port is free, run the following command:

    On Unix:
Installing the Software Now and Upgrading It Later in Graphical Mode

netstat -anp | grep <port_no>

On Microsoft Windows:
netstat -an | findstr <port_no>

However, the custom port must be greater than 1024 and lesser than 65535. Alternatively, if you already have the ports predefined in a staticports.ini file and if you want to use those ports, then click Import staticports.ini File and select the file.

**Note:** If the staticports.ini file is passed during installation, then by default, the ports defined in the staticports.ini file are displayed. Otherwise, the first available port from the recommended range is displayed.

The staticports.ini file is available in the following location:
<Software_Extracted_Location>/response

13. Click Next.

14. On the Review screen, review the details you provided for the selected installation type.
   - If you want to change the details, click Back repeatedly until you reach the screen where you want to make the changes.
   - After you verify the details, if you are satisfied, click Configure to begin the installation process.

15. On the Install Progress screen, view the overall progress (in percentage) of the installation.

**Note:** If a Configuration Assistant fails, the installer stops and none of the subsequent Configuration Assistants are run until the issue related to the failed Configuration Assistant is resolved. In this case, diagnose the issue, resolve it, and then, click Retry on the Install Progress screen to rerun the Configuration Assistants starting from the Configuration Assistant that failed.

However, if you accidentally exit the installer before clicking Retry, then do NOT restart the installer to reach the same screen; instead, invoke the runConfig.sh script from the OMS home to rerun the Configuration Assistant in silent mode:

```
$<OMS_HOME>/oui/bin/runConfig.sh ORACLE_HOME=<absolute_path_to_OMS_home> MODE=perform ACTION=configure COMPONENT_XML={encap_oms.1_0_0_0_0.xml}
```

If the runConfig.sh script fails, raise a service request and contact Oracle Support.

16. On the Finish screen, you should see information pertaining to the installation of Enterprise Manager. Review the information and click Close to exit the installation wizard.
11.3.2 Upgrading in Software-Only Mode with 2-System Upgrade Approach in Graphical Mode

This section describes how you can upgrade your OMS and Management Repository in software-only mode with 2-System upgrade approach. In particular, this section covers the following:

- Installing the Software Binaries
- Running the allroot.sh Script
- Configuring and Upgrading the Software

**Note:** If the Management Repository upgrade fails with the following error in the schemamanager logs, then restart the database, and then try the upgrade again.

ORA-04020: deadlock detected while trying to lock object SYSMAN.MGMT_GLOBAL

**Caution:** For 2-system upgrade approach, Oracle recommends that you use a clean, fresh host for installing Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). Therefore, ensure that the host does not already have any Management Agent installed on it.

If you attempt to install on a host that already has a Management Agent, then the new Management Agent installed as part of the 2-system upgrade process will not be able to register the same host again as a target. This is because the existing Management Agent would have already registered the host as a target in your old Management Repository, and when you clone this Management Repository and upgrade it as part of the 2-system upgrade process, the entry for this host will continue to exist, that is, even after the cloned Management Repository is upgraded. And as a result, when the new Management Agent attempts to upload data, it will not be able to register this host again.

If you are forced to use a host that already has a Management Agent installed on it, then first deinstall the existing Management Agent, and clean up information related to it and the targets monitored by it, from the Management Repository. And then start the 2-system upgrade on that host. For instructions to deinstall and clean up the existing Management Agent, see the Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

If you accidentally installed Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) on a host that already has a Management Agent on it, then follow the workaround provided in Section 12.1.

11.3.2.1 Installing the Software Binaries

To install the software binaries, follow the steps outlined in Section 5.3.1.

11.3.2.2 Running the allroot.sh Script

To run the allroot.sh script, Section 5.3.2.
11.3.2.3 Configuring and Upgrading the Software

To configure and upgrade your existing Enterprise Manager system, follow these steps:

1. Invoke the installation wizard by running the following script:

   ```
   $<MIDDLEWARE_HOME>/oms/sysman/install/ConfigureGC.sh [-invPtrLoc <absolute_path_to_oraInst.loc>]
   ```

   **Note:**

   - While installing the software binaries as described in Section 11.3.2.1, if you had passed the argument -invPtrLoc, then pass the same argument here as well.
   - For information about the additional, advanced options you can pass while invoking the script, refer to Section 5.3.3.1.

2. On the Install Types screen, select **Upgrade an Existing Enterprise Manager System**, and then, select **Two System Upgrade**.

3. Click Next.

4. On the Database Connection Details screen, enter the fully qualified name of the host where the backed up database resides, its listener port and its service name or system ID (SID), and the SYS and SYSMAN user account passwords.

   **Note:** Oracle Real Application Cluster (Oracle RAC) nodes are referred to by their virtual IP (vip) names. The service_name parameter is used instead of the system identifier (SID) in connect_data mode, and failover is turned on. For more information, refer to *Oracle Database Net Services Administrator’s Guide*.

   The installer uses this information to connect to the backed up database for upgrading the SYSMAN schema. SYSMAN schema holds most of the relational data used in managing Enterprise Manager Cloud Control.

   **Note:** For information about the various prerequisite checks that are run on the database at this point, see *Oracle Enterprise Manager Cloud Control Basic Installation Guide*.

5. Click Next.
Note:

- If you are connecting to an Oracle RAC database, and if you have entered the virtual IP address of one of its nodes, then the installation wizard prompts you with a Connection String dialog and requests you to update the connection string with information about the other nodes that are part of the cluster. Update the connection string and click OK. If you want to test the connection, click Test Connection.

- Oracle Real Application Cluster (Oracle RAC) nodes are referred to by their virtual IP (vip) names. The service_name parameter is used instead of the system identifier (SID) in connect_data mode, and failover is turned on. For more information, refer to Oracle Database Net Services Administrator’s Guide.

- If you encounter a Provisioning Archive Framework (PAF) prerequisite check error, then do the following:
  - Exit the installer, run the following SQL command on the backed up database, invoke the installer all over again, and retry the upgrade process.
    ```sql
    UPDATE MGMT_PAF_STATES
    SET status = 6
    WHERE state_type = 0
    AND status IN (0, 1);
    ```
  - Exit the installer, discard the backed up database, stop all the running and schedule deployment procedures in your existing Enterprise Manager system, take a fresh backup of the database now, invoke the installer all over again, and retry the upgrade process.
  - If you see a warning asking you to unlock the MGMT_VIEW user account and change the password, ignore it because the installer automatically unlocks it for you.
Note: If you see an error about missing plug-ins, then do the following:

1. Make a note of the plug-in version and plug-in update as shown in the missing plug-ins error message. The plug-ins displayed in the error message have the following format:
   PluginID:PluginVersion:PluginUpdate

2. Manually download the same plug-in version and the same or higher plug-in update as shown in the missing plug-ins error message, from the following URL.

   Store the plug-ins in an accessible location, and ensure that the location is meant only for these missing plug-ins.

3. Invoke the ConfigureGC.sh script in the following way to install the downloaded plug-ins:
   ```
   <OMS_HOME>/sysman/install/ConfigureGC.sh -pluginLocation <absolute_path_to_plugin_sw>
   ```

   Proceed to the next step only after you have installed these missing plug-ins.

You might have a plug-in version deployed to the earlier release of Enterprise Manager that is not supported in Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). In this case, when you invoke the installer with -pluginLocation argument, make sure you do NOT provide the software of the higher version of the unsupported plug-in even if the higher version is available for download. This ensures that the unsupported version is removed while upgrading to 12c Release 3 (12.1.0.3). After you upgrade, you can deploy the higher version directly from the Plug-In Manager.

Note: If you want to install any additional plug-ins that are not listed on this screen, then follow these steps:

1. Manually download the plug-ins from the Enterprise Manager download page on OTN, and store them in an accessible location.

2. Invoke the installer with the following option, and pass the location where the plug-ins you downloaded are available:
   ```
   ./runInstaller -pluginLocation <absolute_path_to_pluginソフトウェア場所>
   ```

6. On the Select Plug-ins screen, select the optional plug-ins you want to deploy in addition to the plug-ins that will automatically be upgraded while upgrading the OMS.

Note: If you want to install any additional plug-ins that are not listed on this screen, then follow these steps:

1. Manually download the plug-ins from the Enterprise Manager download page on OTN, and store them in an accessible location.

7. Click Next.

8. On the WebLogic Server Configuration Details screen, enter the credentials for the WebLogic Server user account and the Node Manager user account, and validate the path to the Oracle Management Service instance base location.
Note:

- Ensure that your password contains at least 8 characters without any spaces, begins with a letter, and includes at least one numeric value.

- If you are creating the OMS instance base directory (gc_inst) on an NFS-mounted drive, then after you install, move the lock files from the NFS-mounted drive to a local file system location. Modify the lock file location in the httpd.conf file to map to a location on a local file system. For instructions, refer to Section 5.1.4.

By default, the WebLogic Domain name is GCDomain, and the Node Manager name is nodemanager. These are non-editable fields. The installer uses this information for creating Oracle WebLogic Domain and other associated components such as the admin server, the managed server, and the node manager. A Node Manager enables you to start, shut down, or restart an Oracle WebLogic Server instance remotely, and is recommended for applications with high availability requirements.

9. Click Next.

WARNING: Verify the value set to the GLOBAL_NAMES parameter on both the databases:

- If the GLOBAL_NAMES parameter is set to FALSE on both the databases, then ensure that you do not change this value until the upgrade is complete, and all the Management Agents are switched over.

- If the GLOBAL_NAMES parameter is set to TRUE on even one of the databases, and if both the databases have the same value for the GLOBAL_NAME parameter, then change the value of the GLOBAL_NAME parameter set on the new, cloned database to a value that is different from the one set on the old database.

10. On the Old Repository Details screen, validate the connect string and enter the SYSMAN password of the old Management Repository. The details are used to connect to the old Management Repository, check for accrued data, and seamlessly transfer it to the upgraded Management Repository. Accrued data refers to the data that was uploaded to the old Management Repository from the time it was backed up till the time it was upgraded.
11. Click Next.

12. On the Tablespace Location screen, validate the location where the data file (`mgmt_deepdive.dbf`) for JVM Diagnostics data tablespace can be stored. You can choose to edit it if you want. In that case, ensure that the path leads up to the file name. Enterprise Manager Cloud Control requires this data file to store monitoring data related to JVM Diagnostics and Application Dependency Performance (ADP).

   **Note:** This screen appears only if you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5).

13. Click Next.

14. On the Port Configuration Details screen, customize the ports to be used for various components.

   - Ensure that the ports you enter for **Enterprise Manager Upload Http Port** and **Enterprise Manager Upload Http SSL Port** match with the unsecure and secure ports you entered in the Preupgrade Console.

   - For other components, you can enter a free custom port that is either within or outside the port range recommended by Oracle. However, the custom port must be greater than 1024 and lesser than 65535.

   To verify if a port is free, run the following command:

   On Unix:
   ```bash
   netstat -anp | grep <port_no>
   ```

   On Microsoft Windows:
   ```bash
   netstat -an|findstr <port_no>
   ```

   - Alternatively, if you already have the ports predefined in a `staticports.ini` file and if you want to use those ports, then click **Import staticports.ini File** and select the file.
15. Click Next.

16. On the Review screen, review the details you provided for the selected installation type.
   - If you want to change the details, click Back repeatedly until you reach the screen where you want to make the changes.
   - After you verify the details, if you are satisfied, click Configure to begin the installation process.

17. On the Install Progress screen, view the overall progress (in percentage) of the installation.

Note: If the staticports.ini file is passed during installation, then by default, the ports defined in the staticports.ini file are displayed. Otherwise, the first available port from the recommended range is displayed. If you do pass this file, then ensure that the ports you enter for Enterprise Manager Upload Http Port and Enterprise Manager Upload Http SSL Port match with the unsecure and secure ports you entered in the Preupgrade Console.

Note: If the Management Repository upgrade fails with the following error in the schemamanager logs, then restart the database, and then try the upgrade again.

ORA-04020: deadlock detected while trying to lock object SYSMAN.MGMT_GLOBAL
11.4 Installing the Software Now and Upgrading It Later in Silent Mode

This section explains how you can install only the software binaries of Enterprise Manager 12c Cloud Control in silent mode at one point, and upgrade them at a later point.

This section covers the following:

- Upgrading in Software-Only Mode with 1-System Upgrade Approach in Silent Mode
- Upgrading in Software-Only Mode with 2-System Upgrade Approach in Silent Mode

Note: You can find the OMS and Management Agent entries in the /etc/oragchomelist file for all UNIX platforms except HPUNIX, HPia64, Solaris Sparc. On HPUNIX, HPia64, Solaris Sparc platforms, the entries are present in /var/opt/oracle/oragchomelist.

Note: If you see an error message stating that you have not copied the emkey, run the following command.

```
$<OMS_HOME>/bin/emctl config emkey -copy_to_repos_from_file -repos_host <repository_host> -repos_port <port> -repos_sid <sid> -repos_user <username> [-repos_pwd <pwd>] -emkey_file <OMS_HOME>/sysman/config/emkey.ora
```

(Here, the Management Repository details are details of the existing or old Management Repository. And when you run this command, you might be prompted for the admin server password.)

11.4.1 Upgrading in Software-Only Mode with 1-System Upgrade Approach in Silent Mode

To upgrade in software-only mode with 1-System upgrade approach, refer to Section 5.4.

Note: You might have a plug-in version deployed to the earlier release of Enterprise Manager that is not supported in Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). In this case, when you invoke the installer with -pluginLocation argument, make sure you do NOT provide the software of the higher version of the unsupported plug-in even if the higher version is available for download. This ensures that the unsupported version is removed while upgrading to 12c Release 3 (12.1.0.3). After you upgrade, you can deploy the higher version directly from the Plug-In Manager.

11.4.2 Upgrading in Software-Only Mode with 2-System Upgrade Approach in Silent Mode

This section describes how you can upgrade in software-only mode with 2-System upgrade approach. In particular, this section covers the following:

- Installing the Software Binaries
Installing the Software Now and Upgrading It Later in Silent Mode

- Running the allroot.sh Script
- Configuring and Upgrading the Software

---

**Caution:** For 2-system upgrade approach, Oracle recommends that you use a clean, fresh host for installing Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). Therefore, ensure that the host does not already have any Management Agent installed on it.

If you attempt to install on a host that already has a Management Agent, then the new Management Agent installed as part of the 2-system upgrade process will not be able to register the same host again as a target. This is because the existing Management Agent would have already registered the host as a target in your old Management Repository, and when you clone this Management Repository and upgrade it as part of the 2-system upgrade process, the entry for this host will continue to exist, that is, even after the cloned Management Repository is upgraded. And as a result, when the new Management Agent attempts to upload data, it will not be able to register this host again.

If you are forced to use a host that already has a Management Agent installed on it, then first deinstall the existing Management Agent, and clean up information related to it and the targets monitored by it, from the Management Repository. And then start the 2-system upgrade on that host. For instructions to deinstall and clean up the existing Management Agent, see the *Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.*

If you accidentally installed Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3) on a host that already has a Management Agent on it, then follow the workaround provided in Section 12.1.

---

### 11.4.2.1 Installing the Software Binaries
To install the software binaries of Enterprise Manager Cloud Control, follow the steps outlined in Section 5.4.1.

### 11.4.2.2 Running the allroot.sh Script
To run the allroot.sh script, Section 5.4.2.

### 11.4.2.3 Configuring and Upgrading the Software
To configure the software binaries of Enterprise Manager Cloud Control, follow these steps:

1. Copy the following response file to an accessible location on your local host:

   `<Software_Location>/response/upgrade.rsp`

   In this command, `<Software_Location>` refers to the location where you have downloaded the software kit.

2. Edit the response file and enter appropriate values for the variables described in Appendix A.

3. Configure the software binaries by invoking the `ConfigureGC.sh` script passing the response you edited in the previous step:
Installing the Software Now and Upgrading It Later in Silent Mode

```bash
$<MIDDLEWARE_HOME>/oms/sysman/install/ConfigureGC.sh -silent
-responseFile <absolute_path>/upgrade.rsp -staticPortsIniFile
<absolute_path_to_staticports.ini_file> [-invPtrLoc <absolute_path_to_inventory_directory>]
```

**Note:**
- While installing the software binaries as described in *Section 11.4.2.1*, if you had passed the argument `-invPtrLoc`, then pass the same argument here as well.
- For information about the additional, advanced options you can pass while invoking the script, refer to *Section 5.3.3.1*.
- The staticports.ini file is available at the following location of the software kit (DVD, downloaded software, and so on):
  ```
  <software_kit>/response/staticports.ini
  ```
- In the staticports.ini file, ensure that the ports you enter for *Enterprise Manager Upload Http Port* and *Enterprise Manager Upload Http SSL Port* match with the unsecure and secure ports you entered in the *Preupgrade Console*.

**Note:** If a prerequisite check fails reporting a missing package, then make sure you install the required package, and retry the installation. The installer validates the package name as well as the version, so make sure you install the packages of the minimum versions mentioned in *Oracle Enterprise Manager Cloud Control Basic Installation Guide*. To understand the logic the installer uses to verify these packages, see *Oracle Enterprise Manager Cloud Control Basic Installation Guide*.

**Note:** If you see an error about missing plug-ins, then do the following:

1. Make a note of the plug-in version and plug-in update as shown in the missing plug-ins error message. The plug-ins displayed in the error message have the following format:
   ```
   PluginID:PluginVersion:PluginUpdate
   ```
2. Manually download the same plug-in version and the same or higher plug-in update as shown in the missing plug-ins error message, from the following URL.
   ```
   ```
   Store the plug-ins in an accessible location, and ensure that the location is meant only for these missing plug-ins.
3. Invoke the `ConfigureGC.sh` script in the following way to install the downloaded plug-ins:
   ```
   <OMS_HOME>/sysman/install/ConfigureGC.sh -pluginLocation
   <absolute_path_to_plugin_sw>
   ```
11.5 Upgrading Oracle Management Service and Oracle Management Repository with 1-System on a Different Host Approach

To upgrade your existing OMS and Management Repository of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) with 1-System on a Different Host approach, follow these steps:

1. On the remote host, install only the software binaries of Enterprise Manager Cloud Control.
   - For instructions to install only the software binaries in graphical mode, see Section 11.3.1.1.
   - For instructions to install them in silent mode, see Section 11.4.1.

   Note: If you encounter any validation error, then follow these steps:
   1. Discard the backed up database.
   2. Resolve the issue in the Preupgrade Console.
   3. Take a fresh backup of the database now.
   4. Invoke the installer all over again, and retry the upgrade process.

   Note: If the Management Repository upgrade fails with the following error in the schemamanager logs, then restart the database, and then try the upgrade again.

ORA-04020: deadlock detected while trying to lock object
SYSMAN.MGMT_GLOBAL

2. Deploy the plug-ins:

   $<OMS_HOME>/sysman/install/PluginInstall.sh

   On the Select Plug-ins screen, select the optional plug-ins you want to install.

   The screen displays only those plug-ins that were available in the software kit (DVD, downloaded software) you used in the previous step for installing the software binaries.

   The pre-selected rows on this screen are mandatory plug-ins that will be installed by default. Select the optional ones you want to install or upgrade.

   Note: This remote host must be different from the host where your existing, earlier release of Enterprise Manager is running.
3. On the host where your existing, earlier release of Enterprise Manager is running, stop the OMS. To do so, run the following command from the OMS home:

```bash
$<OMS_HOME>/bin/emctl stop oms -all
```

**Note:** On Microsoft Windows, run the following command:

```
%OMS_HOME}\bin\emctl stop oms -all
```

4. On the remote host where you installed the software binaries of Enterprise Manager Cloud Control as described in Step (1), set the environment variable ORACLE_HOME to the OMS home, and MW_HOME to the Middleware home.

- In bash terminal, run the following command:
  ```bash
  export ORACLE_HOME=<absolute_path_to_oms_home>
  export MW_HOME=<absolute_path_to_middleware_home>
  ```

- In other terminals, run the following command:
  ```bash
  setenv ORACLE_HOME <absolute_path_to_oms_home>
  setenv MW_HOME <absolute_path_to_middleware_home>
  ```

Note:

- On Microsoft Windows, run PluginInstall.bat.
- If you want to install some plug-ins that are not in the software kit, then follow these steps:

  1. Manually download the plug-ins from the Enterprise Manager Download page on OTN, and store them in an accessible location.


  2. Invoke the script with the following option, and pass the location where the plug-ins you want to install are available:

     ```bash
     $<OMS_HOME>/sysman/install/PluginInstall.sh
     -pluginLocation <absolute_path_to_plugin_software_location>
     ```

     The Select Plug-ins screen displays a list of plug-ins that were available in the software kit as well as the downloaded plug-ins available in this custom location. You can choose the ones you want to install.
5. Run the Management Repository-related prerequisite checks to verify if the Oracle Management Repository (Management Repository) is ready for upgrade:

   a. Create a directory for storing prerequisite results:
      
      ```
      mkdir <prereq_result_location>
      
      For example, on UNIX platforms, run this:
      mkdir $ORACLE_HOME/prerequisiteResults
      
      Note: Example for Microsoft Windows:
      mkdir %ORACLE_HOME%\prerequisiteResults
      ```

   b. Run the prerequisite checks:
      
      ```
      $ORACLE_HOME/install/requisites/bin/emprereqkit -executionType upgrade -prerequisiteXMLLoc $ORACLE_HOME/install/requisites/list -prereqResultLoc <prereq_result_location> -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=hostname)(PORT=listenerport))(CONNECT_DATA=(SID=<sid>)))" -dbUser SYS -dbPassword <db_password> -dbRole sysdba -configurationType <MINI/SMALL/MEDIUM/LARGE> -runPrerequisites -reposUser SYSMAN
      ```

      Note: On Microsoft Windows, run the following command:
      
      ```
      %ORACLE_HOME%\install\requisites\bin\emprereqkit.bat -executionType upgrade -prerequisiteXMLLoc %ORACLE_HOME%\install\requisites\list -prereqResultLoc <prereq_result_location> -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=hostname)(PORT=listenerport))(CONNECT_DATA=(SID=<sid>)))" -dbUser SYS -dbPassword <db_password> -dbRole sysdba -configurationType <MINI/SMALL/MEDIUM/LARGE> -runPrerequisites -reposUser SYSMAN
      ```

      For example,
      
      ```
      $ORACLE_HOME/install/requisites/bin/emprereqkit -executionType upgrade -prerequisiteXMLLoc $ORACLE_HOME/install/requisites/list -prereqResultLoc $ORACLE_HOME/prerequisiteResults -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521))(CONNECT_DATA=(SID=dbview)))" -dbUser SYS -dbPassword dbpass -dbRole sysdba -configurationType SMALL -runPrerequisites -reposUser SYSMAN
      ```
6. If the Management Repository-related prerequisite checks fail, run the auto-corrective actions to automatically correct the issues:

```
$ORACLE_HOME/install/requisites/bin/emprereqkit -executionType upgrade -prerequisiteXMLLoc $ORACLE_HOME/install/requisites/list -prereqResultLoc <prereq_result_location> -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=hostname)(PORT=listenerport))(CONNECT_DATA=(SID=<sid>)))" -dbUser SYS -dbPassword <db_password> -dbRole sysdba -configurationType SMALL -runPrerequisites -reposUser SYSMAN
```

**Note:**
- If you do not pass the argument `-configurationType`, then by default, the deployment size `MEDIUM` is honored. For more information on deployment sizes, the prerequisite checks that are run, the database parameters that are set, and how you can modify the deployment size after installation, refer to Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.
- The output of this step confirms the prerequisite checks that passed and failed. You will see the status `Pass` or `Fail`.

**Note:**
On Microsoft Windows, run the following command:

```
%ORACLE_HOME%\install\requisites\bin\emprereqkit.bat -executionType upgrade -prerequisiteXMLLoc %ORACLE_HOME%\install\requisites\list -prereqResultLoc <prereq_result_location> -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=hostname)(PORT=listenerport))(CONNECT_DATA=(SID=<sid>)))" -dbUser SYS -dbPassword <db_password> -dbRole sysdba -useHistory -runCorrectiveActions -reposUser SYSMAN
```

For example,

```
$ORACLE_HOME/install/requisites/bin/emprereqkit -executionType upgrade -prerequisiteXMLLoc $ORACLE_HOME/install/requisites/list -prereqResultLoc $ORACLE_HOME/prerequisiteResults -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521))(CONNECT_DATA=(SID=dbview)))" -dbUser SYS -dbPassword dbpass -dbRole sysdba -configurationType SMALL -runPrerequisites -reposUser SYSMAN
```
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DATA=(SID=dbview)))" -dbUser SYS -dbPassword dbpass -dbRole sysdba -useHistory -runCorrectiveActions -reposUser SYSMAN

Note: Example for Microsoft Windows:

%ORACLE_HOME%\install\requisites\bin\emprereqkit.bat -executionType upgrade -prerequisiteXMLLoc %ORACLE_HOME%\install\requisites\list -prereqResultLoc %ORACLE_HOME%\prerequisiteResults -connectString "(DESCRIPTION=(ADDRESS_ LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521))),(CONNECT_DATA=(SID=няроп)))" -dbUser SYS -dbPassword dbpass -dbRole sysdba -useHistory -runCorrectiveActions -reposUser SYSMAN

Note: The output of this step confirms whether or not corrective actions were taken by the script. If corrective actions were taken by the script, then you will see the status Pass. Otherwise, you will see the status NA.

If the status of the prerequisite check was Fail in the output of Step (4), and if it changed to NA in the output of Step (5), do the following:

1. Access the following file:
   On UNIX platforms:
   $ORACLE_HOME/prerequisiteResults/log/LATEST/emprereqkit.output
   On Microsoft Windows platforms:
   %ORACLE_HOME%\prerequisiteResults\log\LATEST\emprereqkit.output

2. Review the passed and failed tests and their corresponding corrective actions.

3. For the failed tests, manually take corrective actions.

Note: The plug-ins to be mentioned for the <pluginslist> argument can be found in the following file:

$ORACLE_HOME/sysman/install/plugins_installed.txt

Run the plug-in configuration assistant in prerequisite mode:

$ORACLE_HOME/bin/pluginca -oracleHome $ORACLE_HOME -middlewareHome $MW_HOME -action prereqCheck -plugins <list_of_plugins> -oldOracleHome <old_oms_home> -installMode gcUpgrade -client gcinstaller -customParams "connectString=(DESCRIPTION=(ADDRESS_ LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521))),(CONNECT_DATA=(SID=няроп)))",username=sysman"

Note: The plug-ins to be mentioned for the <pluginslist> argument can be found in the following file:

$ORACLE_HOME/sysman/install/plugins_installed.txt
For example,

```
$ORACLE_HOME/bin/pluginca -oracleHome $ORACLE_HOME
-middlewareHome $MW_HOME
-action prereqCheck -plugins
*oracle.sysman.db=12.1.0.1.0,oracle.sysman.xa=12.1.0.1.0,oracle.sysman.
emas=12.1.0.1.0,oracle.sysman.mos=12.1.0.1.0,oracle.em.sat=12.1.0.1.0,o
racle.em.sidb=12.1.0.1.0,oracle.em.smdn=12.1.0.1.0,oracle.em.emct=12.1.
0.1.0,oracle.em.smss=12.1.0.1.0,oracle.em.syn=12.1.0.1.0,oracle.sy
smans=12.1.0.1.0,oracle.sysman.emfa=12.1.0.1.0,oracle.sysman.empa=12
.1.0.1.0,oracle.sysman.vt=12.1.0.1.0,oracle.em.smad=12.1.0.1.0,oracle.
em.syn=12.1.0.1.0,oracle.em.smss=12.1.0.1.0,oracle.sysman.emct=12.1.
0.1.0,oracle.sysman.sma=12.1.0.1.0,oracle.sysman.smd=12.1.0.1.0,oracle.
syn=12.1.0.1.0,oracle.sysman.emfa=12.1.0.1.0,oracle.sysman.empa=12
.1.0.1.0,oracle.sysman.vt=12.1.0.1.0,oracle.em.smad=12.1.0.1.0,oracle.
em.syn=12.1.0.1.0,oracle.sysman.emct=12.1.
```

Note: Ensure that the old OMS home is accessible from the remote host where you are running this command.

8. Run Management Repository-related preupgrade checks:

```
$ORACLE_HOME/sysman/admin/ermdep/bin/RepManager -doPurging yes
<REPOSITORY_HOST> <PORT> <REPOSITORY_SID> -action preupgrade -dbUser
SYS -reposName sysman -mwHome $MW_HOME -mwOraHome $ORACLE_HOME
-oracleHome $ORACLE_HOME
```

**Note:** On Microsoft Windows, run the following command:

```
%ORACLE_HOME%\bin\pluginca -oracleHome %ORACLE_HOME%
-middlewareHome %MW_HOME% -action prereqCheck -plugins
<list_of_plugins> -oldOracleHome \ OLDOM\FEM\oms10g -installMode
gcUpgrade -client gcinstaller -customParams
*connectString=(DESCRIPTION=(ADDRESS_-
LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY-_HOST>)(PORT=<PORT>)))
(CONNECT_DATA=(SID=<REPOSITORY_SID>))),username=sysman
```
Upgrading Oracle Management Service and Oracle Management Repository with 1-System on a Different Host Approach

Note: On Microsoft Windows, run the following command:

```
%ORACLE_HOME%\sysman\admin\emdrep\bin\RepManager -doPurging yes <REPOSITORY_HOST> <PORT> <REPOSITORY_SID> -action preupgrade -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome %ORACLE_HOME% -oracleHome %ORACLE_HOME%
```

For example,

```
$ORACLE_HOME/sysman/admin/emdrep/bin/RepManager -doPurging yes example.com 1521 dbview -action preupgrade -dbUser SYS -reposName sysman -mwHome $MW_HOME -mwOraHome $ORACLE_HOME -oracleHome $ORACLE_HOME
```

Note: Example for Microsoft Windows:

```
%ORACLE_HOME%\sysman\admin\emdrep\bin\RepManager -doPurging yes example.com 1521 dbview -action preupgrade -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome %ORACLE_HOME% -oracleHome %ORACLE_HOME%
```

9. Upgrade the Management Repository:

```
$ORACLE_HOME/sysman/admin/emdrep/bin/RepManager -doPurging yes -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY_HOST>)(PORT=<PORT>))(CONNECT_DATA=(SID=<REPOSITORY_SID>)))") -action upgrade -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome $ORACLE_HOME -oracleHome $ORACLE_HOME
```

If the preceding command fails, then run the following:

```
$ORACLE_HOME/sysman/admin/emdrep/bin/RepManager -doPurging yes -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY_HOST>)(PORT=<PORT>))(CONNECT_DATA=(SID=<REPOSITORY_SID>)))") -resume retry -checkpointLocation $ORACLE_HOME/sysman/log/schemamanager -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome $ORACLE_HOME -oracleHome $ORACLE_HOME
```

Note: On Microsoft Windows, run the following command:

```
%ORACLE_HOME%\sysman\admin\emdrep\bin\RepManager -doPurging yes <REPOSITORY_HOST> <PORT> <REPOSITORY_SID> -action preupgrade -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome %ORACLE_HOME% -oracleHome %ORACLE_HOME%
```
Upgrading Oracle Management Service and Oracle Management Repository with 1-System on a Different Host Approach

Note: On Microsoft Windows, run the following command:

```bash
%ORACLE_HOME%/sysman/admin/emdrep/bin/RepManager -doPurging yes -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<example.com>)(PORT=1521)))(CONNECT_DATA=(SID=dbview)))" -action upgrade -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome %ORACLE_HOME% -oracleHome %ORACLE_HOME%
```

If the preceding command fails, then run the following:

```bash
%ORACLE_HOME%/sysman/admin/emdrep/bin/RepManager -doPurging yes -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY_HOST>)(PORT=<PORT>)))(CONNECT_DATA=(SID=<REPOSITORY_SID>)))" -resume retry -checkpointLocation $ORACLE_HOME/sysman/log/schemamanager -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome %ORACLE_HOME% -oracleHome %ORACLE_HOME%
```

Note: Example for Microsoft Windows:

```bash
%ORACLE_HOME%/sysman/admin/emdrep/bin/RepManager -doPurging yes -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521)))(CONNECT_DATA=(SID=dbview)))" -action upgrade -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome %ORACLE_HOME% -oracleHome %ORACLE_HOME%
```

For example,

```bash
$ORACLE_HOME/sysman/admin/emdrep/bin/RepManager -doPurging yes -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY_HOST>)(PORT=<PORT>)))(CONNECT_DATA=(SID=<REPOSITORY_SID>)))" -action upgrade -dbUser SYS -reposName sysman -mwHome $MW_HOME% -mwOraHome $ORACLE_HOME% -oracleHome $ORACLE_HOME%
```

Note: Example for Microsoft Windows:

```bash
$ORACLE_HOME/sysman/admin/emdrep/bin/RepManager -doPurging yes -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521)))(CONNECT_DATA=(SID=dbview)))" -action upgrade -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome %ORACLE_HOME% -oracleHome %ORACLE_HOME%
```

10. Revert the corrective actions that were automatically taken in Step (5):

```bash
$ORACLE_HOME/install/requisites/bin/emprereqkit -executionType upgrade -prerequisiteXMLLoc $ORACLE_HOME/install/requisites/list -prereqResultLoc <prereq_result_location> -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY_HOST>)(PORT=<PORT>)))(CONNECT_DATA=(SID=<REPOSITORY_SID>)))" -dbUser SYS -dbPassword <db_password> -dbRole sysdba -useHistory -runPostCorrectiveActions -reposUser SYSMAN
```

Note: On Microsoft Windows, run the following command:

```bash
%ORACLE_HOME%/sysman/admin/emdrep/bin/RepManager -doPurging yes -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<example.com>)(PORT=1521)))(CONNECT_DATA=(SID=dbview)))" -action upgrade -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome %ORACLE_HOME% -oracleHome %ORACLE_HOME%
```

If the preceding command fails, then run the following:

```bash
%ORACLE_HOME%/sysman/admin/emdrep/bin/RepManager -doPurging yes -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY_HOST>)(PORT=<PORT>)))(CONNECT_DATA=(SID=<REPOSITORY_SID>)))" -resume retry -checkpointLocation $ORACLE_HOME/sysman/log/schemamanager -dbUser SYS -reposName sysman -mwHome %MW_HOME% -mwOraHome %ORACLE_HOME% -oracleHome %ORACLE_HOME%
```
Upgrading Oracle Management Service and Oracle Management Repository with 1-System on a Different Host Approach

**Note:** On Microsoft Windows, run the following command:

```
%ORACLE_HOME%\install\requisites\bin\emprereqkit.bat
-executionType upgrade -prerequisiteXMLLoc %ORACLE_HOME%\install\requisites\list -prereqResultLoc %ORACLE_HOME%\prerequisiteResults -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY_HOST>)(PORT=<PORT>)))(CONNECT_DATA=(SID=<REPOSITORY_SID>)))") -dbUser SYS -dbPassword <db_password> -dbRole sysdba -useHistory -runPostCorrectiveActions -reposUser SYSMAN
```

For example,

```
$ORACLE_HOME/install/requisites/bin/emprereqkit -executionType upgrade
-prerequisiteXMLLoc $ORACLE_HOME/install/requisites/list
-prereqResultLoc $ORACLE_HOME/prerequisiteResults -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521)))
(CONNECT_DATA=(SID=dbview)))) -dbUser SYS -dbPassword dbpass -dbRole sysdba
-useHistory -runPostCorrectiveActions -reposUser SYSMAN
```

**Note:** Example for Microsoft Windows:

```
%ORACLE_HOME%\install\requisites\bin\emprereqkit.bat
-executionType upgrade -prerequisiteXMLLoc %ORACLE_HOME%\install\requisites\list
-prereqResultLoc %ORACLE_HOME%\prerequisiteResults -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521)))
(CONNECT_DATA=(SID=dbview)))) -dbUser SYS -dbPassword dbpass -dbRole sysdba
-useHistory -runPostCorrectiveActions -reposUser SYSMAN
```

11. Set the environment variable JAVA_HOME to the JDK location:

**For UNIX Platforms:**

```
setenv JAVA_HOME <MIDDLEWARE_HOME>/jdk16/jdk
```

For example,

```
setenv JAVA_HOME /u01/oracle/middleware/jdk16/jdk
```

**For Microsoft Windows Platforms:**

```
set JAVA_HOME=<MIDDLEWARE_HOME>\jdk16\jdk
```

For example,

```
set JAVA_HOME=C:\Oracle\Middleware\jdk16\jdk
```

**Note:** If you had installed JDK in a different location, then specify the absolute path to that location. Also ensure that it is JDK 1.6.0.43.0 (64-bit version for 64-bit platforms and 32-bit version for 32-bit platforms).

12. Create MDS schema in the Management Repository:
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$ORACLE_HOME/perl/bin/perl  $ORACLE_HOME/sysman/admin/emdrep/bin/mdsschemamanager.pl
-action=-createRepository -connectString=<REPOSITORY_HOST>:<PORT>:<REPOSITORY_SID> -dbUser=SYS -dbPassword=<db_password> -mdsPassword=<new_mds_user_password> -mwHome=$MW_HOME

**Note:** On Microsoft Windows, run the following command:

%ORACLE_HOME%\perl\bin\perl  %ORACLE_HOME%\sysman\admin\emdrep\bin\mdsschemamanager.pl
-action=-createRepository -connectString=<REPOSITORY_HOST>:<PORT>:<REPOSITORY_SID> -dbUser=SYS -dbPassword=<db_password> -mdsPassword=<new_mds_user_password> -mwHome=%MW_HOME%

For example,

$ORACLE_HOME/perl/bin/perl  $ORACLE_HOME/sysman/admin/emdrep/bin/mdsschemamanager.pl
-action=-createRepository -connectString=example.com:1521:dbview -dbUser=SYS -dbPassword=dbpass -mdsPassword=mdspass -mwHome=$MW_HOME

**Note:** Example for Microsoft Windows:

%ORACLE_HOME%\perl\bin\perl %ORACLE_HOME%\sysman\admin\emdrep\bin\mdsschemamanager.pl
-action=-createRepository
-connectString=example.com:1521:dbview -dbUser=SYS -dbPassword=dbpass -mdsPassword=mdspass -mwHome=%MW_HOME%

13. Create OPS schema in the Management Repository:

$ORACLE_HOME/sysman/admin/emdrep/bin/SecurityRepManager -action createRepository -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY_HOST>))(PORT=<PORT>))(CONNECT_DATA=(SID=<REPOSITORY_SID>)))" -dbUser SYS -dbPassword <db_password> -schemaPrefix sysman -schemaPassword <sysman_user_password> -component opss

**Note:** On Microsoft Windows, run the following command:

%ORACLE_HOME%\sysman\admin\emdrep\bin\SecurityRepManager -action createRepository -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY_HOST>))(PORT=<PORT>))(CONNECT_DATA=(SID=<REPOSITORY_SID>)))" -dbUser SYS -dbPassword <db_password> -schemaPrefix sysman -schemaPassword <sysman_user_password> -component opss

For example,

$ORACLE_HOME/sysman/admin/emdrep/bin/SecurityRepManager -action createRepository -connectString "(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com))(PORT=1521)))"
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Upgrading Oracle Management Service and Oracle Management Repository

DATA=(SID=dbview)))" -dbUser SYS -dbPassword dbpass -schemaPrefix sysman -schemaPassword sysmanpass -component opss

Note:  Example for Microsoft Windows:

%ORACLE_HOME\sysman\admin\emdrep\bin\SecurityRepManager -action createRepository -connectString *(DESCRIPTION=(ADDRESS_ LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521))(CONNECT_DATA=(SID=dbview))))" -dbUser SYS -dbPassword dbpass -schemaPrefix sysman -schemaPassword sysmanpass -component opss

14. Configure the OMS:

$ORACLE_HOME/bin/omsca NEW -lock_console -lock_upload -CONFIGURE_REPEATER true -nostart [-RESPONSE_FILE <absolute_location_to_response_file>]

Note:  On Microsoft Windows, run the following command:

%ORACLE_HOME%\bin\omsca NEW -lock_console -lock_upload -CONFIGURE_REPEATER true -nostart [-RESPONSE_FILE <absolute_location_to_response_file>]

Note:  The argument -RESPONSE_FILE <absolute_location_to_response_file> is optional.

The response file must contain the following variables:

-AS_HOST=<hostname>
-AS_USERNAME=<admin server username>
-AS_HTTPS_PORT=<admin server https port>
-MSPORT=<managed server http port>
-MS_HTTPS_PORT=<managed server https port>
-EM_INSTANCE_HOME=<MW_HOME/gc_inst by default>
-EM_NODEMGR_PORT=<node manager https port>
-WEBTIER_ORACLE_HOME=<MW_HOME/Oracle_WT>
-REP_USER=SYSMAN
-REP_CONN_STR=<connection string>
-NM_USER=<node manager username>
-EM_DOMAIN_NAME=<domain name>
-EM_INSTANCE_HOST=<hostname where the instance home will reside>
-EM_UPLOAD_PORT=<upload http port>
-EM_UPLOAD_HTTPS_PORT=<upload https port>
-EM_CONSOLE_PORT=<console http port>
-EM_CONSOLE_HTTPS_PORT=<console https port>

Example 11–1  Response File Format for UNIX

-AS_HOST=example.com
-AS_USERNAME=weblogic
-AS_HTTPS_PORT=7101
-MSPORT=7201
-MS_HTTPS_PORT=7301
-EM_INSTANCE_HOME=/home/john/oracle/em/middleware/gc_inst
-EM_NODEMGR_PORT=7401
-WEBSER_ORACLE_HOME=/home/john/oracle/em/middleware/Oracle_NT
-REP_USER=SYSMAN
-REP_CONN_STR=(DESCRIPTION=(ADDRESS_ LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521))(CONNECT_ DATA=(SID=dbview)))
-NM_USER=nodemanager
-EM_DOMAIN_NAME=GCDomain
-EM_INSTANCE_HOST=example.com
-EM_UPLOAD_PORT=4889
-EM_UPLOAD_HTTPS_PORT=1159
-EM_CONSOLE_PORT=7788
-EM_CONSOLE_HTTPS_PORT=7799

**Example 11–2 Response File Format for Microsoft Windows**

-AS_HOST=example.com
-AS_USERNAME=weblogic
-AS_HTTPS_PORT=7101
-MS_PORT=7201
-MS_HTTPS_PORT=7301
-EM_INSTANCE_HOME=C:\\Oracle\\Middleware\\gc_inst
-EM_NODEMGR_PORT=7401
-WEBSER_ORACLE_HOME=C:\\Oracle\\Middleware\\Oracle_NT
-REP_USER=SYSMAN
-REP_CONN_STR=(DESCRIPTION=(ADDRESS_ LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521))(CONNECT_ DATA=(SID=dbview)))
-NM_USER=nodemanager
-EM_DOMAIN_NAME=GCDomain
-EM_INSTANCE_HOST=example.com
-EM_UPLOAD_PORT=4889
-EM_UPLOAD_HTTPS_PORT=1159
-EM_CONSOLE_PORT=7788
-EM_CONSOLE_HTTPS_PORT=7799

15. Configure the plug-ins:

```
$ORACLE_HOME/bin/pluginca -oracleHome $ORACLE_HOME -middlewareHome $MW_HOME -action deploy -plugins <pluginlist> -oldOracleHome <old_oms_home> -installMode gcupgrade
```

**Note:** The plug-ins to be mentioned for the <pluginlist> argument can be found in the following file:

$ORACLE_HOME/sysman/install/plugins_installed.txt

**Note:** On Microsoft Windows, run the following command:

```
%ORACLE_HOME\bin\pluginca -oracleHome %ORACLE_HOME% -middlewareHome %MW_HOME% -action deploy -plugins "<pluginlist>" -oldOracleHome <old_oms_home> -installMode gcupgrade
```

For example,
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$ORACLE_HOME/bin/pluginca  -oracleHome $ORACLE_HOME -middlewareHome $MW_HOME -action deploy -plugins
"oracle.sysman.db=12.1.0.1.0,oracle.sysman.xa=12.1.0.1.0,oracle.sysman.emas=12.1.0.1.0,oracle.sysman.mos=12.1.0.1.0,oracle.sysman.em.sidb=12.1.0.1.0,oracle.sysman.em.smdb=12.1.0.1.0,oracle.sysman.em.smad=12.1.0.1.0,oracle.sysman.em.smss=12.1.0.1.0,oracle.sysman.emct=12.1.0.1.0,oracle.sysman.ssa=12.1.0.1.0,oracle.sysman.emfa=12.1.0.1.0,oracle.sysman.empa=12.1.0.1.0,oracle.sysman.vt=12.1.0.1.0,oracle.sysman.ssad=12.1.0.1.0" -oldOracleHome /net/example.com/software/oracle/middleware/oms11g -installMode gcupgrade

Note: Ensure that the old OMS home is accessible from the remote host where you are running this command.

Note: Example for Microsoft Windows:

%ORACLE_HOME%\bin\pluginca -oracleHome %ORACLE_HOME% -middlewareHome %MW_HOME% -action deploy -plugins
"oracle.sysman.db=12.1.0.1.0,oracle.sysman.xa=12.1.0.1.0,oracle.sysman.emas=12.1.0.1.0,oracle.sysman.mos=12.1.0.1.0,oracle.sysman.em.sidb=12.1.0.1.0,oracle.sysman.em.smdb=12.1.0.1.0,oracle.sysman.em.smad=12.1.0.1.0,oracle.sysman.em.smss=12.1.0.1.0,oracle.sysman.emct=12.1.0.1.0,oracle.sysman.ssa=12.1.0.1.0,oracle.sysman.emfa=12.1.0.1.0,oracle.sysman.empa=12.1.0.1.0,oracle.sysman.vt=12.1.0.1.0,oracle.sysman.ssad=12.1.0.1.0" -oldOracleHome \\comp1\c$\EM\oms10g -installMode gcupgrade

16. Migrate the plug-in inventory from old tables to the new Plug-In Lifecycle Application (PLA) inventory table:

a. Log in to the upgraded Management Repository as SYSMAN user either from the old OMS or from the new OMS.

From the Old OMS

To log in from the old OMS, follow these steps:

- Navigate to the $DB_HOME/bin directory.

For example (UNIX), /scratch/aime/DB/db/bin. For example (Microsoft Windows), C:\DB\db\bin.

- Run the following, where HOST is where the Management Repository resides:

sqlplus sysman/<password _for_sysman_ user>@"* (DESCRIPTION=(ADDRESS=(PROTOCOL=TCP) (HOST=<REPOSITORY_HOST>) (PORT=<PORT>)) (CONNECT_DATA=(SERVER=DEDICATED) (SERVICE_NAME=<REPOSITORY_SID>)))"

For example,

sqlplus
sysman/mypwd@" (DESCRIPTION=(ADDRESS=(PROTOCOL=TCP) (HOST=example.com ) (PORT=1521)) (CONNECT_DATA=(SERVER=DEDICATED) (SERVICE_ NAME=dbview)))"

From the New OMS
To log in from the new OMS, follow these steps:

- Navigate to the $MW_HOME\oms\bin directory.

- Run the following:

  sqlplus sysman/\password _for_sysman_ user:@'\(DESCRIPTION=(ADDRESS=(PROTOCOL=TCP)(HOST=<REPOSITORY_HOST>)(PORT=<PORT>))(CONNECT_DATA=(SERVER=DEDICATED)(SERVICE_NAME=<REPOSITORY_SID>)))'

For example,

  sqlplus sysman/mypwd@'(DESCRIPTION=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521))(CONNECT_DATA=(SERVER=DEDICATED)(SERVICE_NAME=dbview)))'

b. Run the following SQL query

```sql
SET SERVEROUTPUT ON SIZE 100000
DECLARE
PLUGIN_BITS_TYPE CONSTANT NUMBER := 1;
DISCOVERY_BITS_TYPE CONSTANT NUMBER := 2;
AGENT_DEST_TYPE CONSTANT NUMBER := 3;
err_num NUMBER;
err_msg VARCHAR2(100);
BEGIN
  FOR rec in ( select plugin_id, plugin_version, target_guid, plugin_home, plugin_type from PRE_UPGC_DEP_PLUGINS ) LOOP
    BEGIN
      IF rec.plugin_type = 'discoveryplugin' THEN
        EM_PLUGIN_INVENTORY.add_to_plugin_inventory(rec.plugin_id, rec.plugin_version, DISCOVERY_BITS_TYPE, AGENT_DEST_TYPE, rec.target_guid, rec.plugin_home);
      ELSE
        EM_PLUGIN_INVENTORY.add_to_plugin_inventory(rec.plugin_id, rec.plugin_version, PLUGIN_BITS_TYPE, AGENT_DEST_TYPE, rec.target_guid, rec.plugin_home);
      END IF;
    EXCEPTION
      WHEN DUP_VAL_ON_INDEX THEN
        -- ALTER SESSION CLOSE DATABASE LINK PREUPGTO_NG_LINK;
        DBMS_OUTPUT.PUT_LINE('Records already exists. ');
      WHEN OTHERS THEN
        err_num := SQLCODE;
        err_msg := SUBSTR(SQLERRM, 1, 100);
        DBMS_OUTPUT.PUT_LINE('Found exception Error Message : ' || err_msg || ' Error Number : ' || err_num);
    END;
  END LOOP;
  commit;
END;
```
17. Start the OMS:

```bash
$ORACLE_HOME/bin/emctl start oms
```

**Note:** On Microsoft Windows, run the following command:

```cmd
%ORACLE_HOME%\bin\emctl start oms
```

18. While installing the software binaries in Step (1), if you had chosen to configure the security updates, then configure Oracle Configure Manager (OCM):

```bash
$ORACLE_HOME/perl/bin/perl $ORACLE_HOME/sysman/install/RunOMSOCMConfig.pl $ORACLE_HOME $ORACLE_HOME/perl/bin/perl
```

**Note:** On Microsoft Windows, run the following command:

```cmd
%ORACLE_HOME%\perl\bin\perl %ORACLE_HOME%\sysman\install\RunOMSOCMConfig.pl %ORACLE_HOME% %ORACLE_HOME%\perl\bin\perl
```

19. Configure the Management Agent. To do so, run the following command from the Management Agent home:

```bash
$ORACLE_HOME/sysman/install/agentDeploy.sh AGENT_BASE_DIR=<absolute_path_to_agentbasedir> OMS_HOST=<oms_host> EM_UPLOAD_PORT=<secure_oms_upload_port> AGENT_REGISTRATION_PASSWORD=<agent_reg_password> -configOnly
```

**Note:** On Microsoft Windows, run the following command:

```cmd
%ORACLE_HOME%\sysman\install\agentDeploy.bat AGENT_BASE_DIR=<absolute_path_to_agentbasedir> OMS_HOST=<oms_host> EM_UPLOAD_PORT=<secure_oms_upload_port> AGENT_REGISTRATION_PASSWORD=<agent_reg_password> -configOnly
```

For example,

```
/u01/app/Oracle/agent/core/12.1.0.3.0/sysman/install/agentDeploy.sh AGENT_BASE_DIR=/u01/app/Oracle/Middleware/agent OMS_HOST=example.com EM_UPLOAD_PORT=1159 AGENT_REGISTRATION_PASSWORD=2bornot2b -configOnly
```

**Note:** Ensure that you enter the secure (HTTPS) upload port number for the argument EM_UPLOAD_PORT.

**Note:** Example for Microsoft Windows:

```
C:\Oracle\agent\core\12.1.0.3.0\sysman\install\agentDeploy.bat AGENT_BASE_DIR=C:\Oracle\Middleware\agent OMS_HOST=example.com EM_UPLOAD_PORT=1159 AGENT_REGISTRATION_PASSWORD=2bornot2b -configOnly
```

For more information, refer to the Oracle documentation.
11.6 Upgrading a Multi-OMS Environment

To upgrade an Enterprise Manager system of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) that has multiple OMS, follow these steps:

1. Upgrade the first OMS. You can use any of the upgrade approaches described in this guide — Section 11.1, Section 11.2, Section 11.3, or Section 11.4.

   **Note:** If the Management Repository upgrade fails with the following error in the schemamanager logs, then restart the database, and then try the upgrade again.

   ORA-04020: deadlock detected while trying to lock object SYSMAN.MGMT_GLOBAL

2. After upgrading the first OMS in your system, do the following:
   - If you upgraded the first OMS with 2-System upgrade approach, then for every other host where an additional OMS of the earlier release is running, install a new Oracle Management Service 12c using the Add Management Service deployment procedure available in the Enterprise Manager Cloud Control console.
     
     For information about installing an additional OMS using the Add Management Service deployment procedure, refer to the Oracle Enterprise Manager Cloud Control Basic Installation Guide.
     
     - If you upgraded the first OMS with 1-System upgrade approach, then for every other host where an additional OMS of the earlier release is running, invoke the Enterprise Manager Cloud Control Installation Wizard, and on the Install Types screen, select Upgrade an Existing Enterprise Manager System,
and then, select **One System Upgrade**. Then, select the OMS home you want to upgrade.
This chapter describes the postinstallation tasks you must perform. In particular, this part covers the following:

- Verifying the Host on Which Enterprise Manager Is Installed
- Creating a Link to the Upgraded Oracle Management Repository
- Reconfiguring Oracle Software Library
- Checking the Agent Upgrade Status
- Performing General Postupgrade Tasks
- Tracking the Status of Deferred Data Migration Jobs
- Tracking the Status of Accrued Data Migration Jobs
- Generating and Viewing Diff Reports
- Viewing Inactive Targets in the Upgraded Enterprise Manager System
- Signing Off the Accrued Data Migration Process
- Signing Off the Migration Processes and Exiting the Upgrade Mode
- Deleting Old Central Agents and the Associated Targets
- (Optional) Monitoring Targets That Were Monitored by the Deleted Central Agent
- (Optional) Deleting the Old OMS Home

12.1 Verifying the Host on Which Enterprise Manager Is Installed

For 2-system upgrade approach, Oracle recommends that you use a clean, fresh host for installing Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). Verify that you have installed on a fresh host.

To do so, on the Management Services and Repository page, click the information icon adjacent to the page title. In the Target Information region that appears, as shown in Figure 12–1, validate the host name and make sure it reflects the host on which you have installed the new 12c OMS. Also verify that the same host name appears on the top-right corner of the page, right below the Search Target Name text box, as shown in Figure 12–1.
Verifying the Host on Which Enterprise Manager Is Installed

Figure 12–1  Verifying the Host

If the host names match and if they reflect the host on which you have installed the new 12c OMS, then it is a confirmation that you have installed on a fresh host.

If one of them reflects a different host name, then it is a confirmation that you have installed on a host that already has a Management Agent. Under such circumstances, follow these steps:

1. Deinstall the Management Agent that already exists. Clean up or remove information related to this Management Agent and the targets monitored by it, from the Management Repository. For instructions, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.

2. Add the host as a target to the new Management Agent, which was installed with the new 12c OMS as part of the 2-system upgrade.
   To do so, run the following command from the new Management Agent home:
   
   ```
   $<AGENT_HOME>/bin/emctl config agent addInternalTargets
   ```

3. Verify that the host is added as a target in Enterprise Manager Cloud Control Console. To do so, on the Management Agent home page, in the Monitoring section, in the Monitored Targets tab, verify that the host is listed.

4. Relocate the Management Services and Repository target, which is monitored by the Management Agent installed with the old OMS host, to the new Management Agent, which was installed with the new 12c OMS as part of the 2-system upgrade.
   To do so, log in as SYSMAN and run the following command from any host where EM CLI is installed:
   
   ```
   emcli relocate_targets -src_agent="<agent_installed_with_old_OMS>" -dest_agent="<new_agent_installed_with_new_12c_OMS>" -target_name="Management Services and Repository" -target_type=oracle_emrep -copy_from_src
   ```

5. Set the connect descriptor and credentials of the new, upgraded Management Repository as the monitoring configuration settings of the Management Services and Repository target.
   To do so, on the Management Services and Repository Home page, from the OMS and Repository menu, select Target Setup, then select Monitoring Configuration. On the Monitoring Configuration page, enter the connect descriptor and the credentials of the new, upgraded Management Repository. Click OK.
12.2 Creating a Link to the Upgraded Oracle Management Repository

**Note:** Follow these instructions only if you are upgrading using the 2-System upgrade approach. Perform these steps in the Enterprise Manager Grid Control console of the earlier release.

As a prerequisite for upgrading your Enterprise Manager system using the 2-System upgrade approach, you are required to clone (or back up) your existing database first, and then upgrade the Oracle Management Repository (Management Repository) that is configured in it. This is to ensure that the upgraded Management Repository coexists with the earlier release of the Management Repository.

However, after you upgrade the Management Repository in the backed up database, link it to your earlier release of the Management Repository so that the two repositories are linked with each other, and any operations on the upgraded repository can be directly done from the old repository.

**WARNING:** Verify the value set to the GLOBAL_NAMES parameter on both the databases:

- If the GLOBAL_NAMES parameter is set to FALSE on both the databases, then ensure that you do not change this value until the upgrade is complete, and all the Management Agents are switched over.

- If the GLOBAL_NAMES parameter is set to TRUE on even one of the databases, and if both the databases have the same value for the GLOBAL_NAME parameter, then change the value of the GLOBAL_NAME parameter set on the new, cloned database to a value that is different from the one set on the old database.

To link the earlier release of the repository to the upgraded repository, follow these steps:

1. In Grid Control, click **Deployments**.

2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.

3. On the Upgrade Console page, in the Select Upgrade Type section, select **2-System**. For information about these upgrade approaches, see Chapter 2.

   Enterprise Manager Grid Control refreshes the page and displays a table with a list of tasks you need to perform for the upgrade approach you selected.

4. In the OMS Upgrade Steps section, from the table, click **Create Link to Upgraded Repository**.

5. On the Create Upgraded Oracle Management Repository Link page, in the Repository Link Details section, do the following:

   a. Enter the connect string to connect to the upgraded Management Repository that will be used by Enterprise Manager Cloud Control.
Reconfiguring Oracle Software Library

Note: You will find the connect string set as a value to the EM_REPOS_CONNECT_DESCRIPTOR parameter of the emgc.properties file. This file is present in the OMS Instance Base directory (gc_inst). When you enter this value as the connect string, remove all backslashes (\) and white spaces if any.

For example, the value in the emgc.properties file might be:

```
(DESCRIPTION\=(ADDRESS_LIST\=(ADDRESS\=(PROTOCOL\=TCP\)(HOST\=example.com\)(PORT\=1521)))\(CONNECT_DATA\=(SID\=emrep)))
```

When you enter this value as the connect string, it must look like this:

```
(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521)))(CONNECT_DATA=(SID=emrep)))
```

If you connect using service name instead of SID, then replace SID in the syntax with SERVICE_NAME.

b. Enter the SYSMAN password of the upgraded Management Repository that will be used by Enterprise Manager Cloud Control.

c. Enter the SYS password of the old Management Repository.

6. Click Create DB Link.

Note: If you had already provided these details and linked the two repositories, and if you are updating the connect descriptor or the SYSMAN password, then click Re-create DB Link.

12.3 Reconfiguring Oracle Software Library

Note: Follow these instructions only if you are upgrading using the 2-System upgrade approach.

To reconfigure an upgraded Software Library in a two-system upgrade, perform these steps:
Reconfiguring Oracle Software Library

Performing Postupgrade Tasks

---

**Note:**

- In a one-system upgrade, where the upgraded Enterprise Manager 12c comes up on the same host, reconfiguration is not required as the upgraded Software Library becomes functional when the system starts.

For a one system upgrade, where the upgraded Enterprise Manager 12c comes up on a different host, all the location(s) configured in the earlier, existing Enterprise Manager system for Software Library should be accessible from the new host.

- In a two-system upgrade, and sometimes in a one-system upgrade on a different host, if the location configured in the earlier, existing Enterprise Manager system is a local file system path, then follow the instructions outlined in Section 8.1 for more information.

---

1. In Cloud Control, from **Setup** menu, select **Provisioning and Patching** and then, click **Software Library**.

2. If the Software Library has been upgraded, then on the Software Library home page, the following notification will appear:

   Software Library has been upgraded recently and has not been reconfigured yet. Software Library will be usable only after the reconfiguration has been performed. To initiate the reconfiguration process, click Post Upgrade Reconfiguration.

   Click **Post Upgrade Reconfiguration**, to reconfigure the Software Library.

   ![Software Library Reconfigure Locations](image)

3. On the Software Library Reconfigure Locations page, enter the new file system location that is to be configured, corresponding to the location configured in the earlier, existing Enterprise Manager system.
The archive of the location which was configured for the old Enterprise Manager system must be unzipped in the corresponding location on the new file system. Also, ensure that the new location, and all its unarchived files and directories have read/write permissions for the 12c OMS process owner.

For example:

In the earlier, existing Enterprise Manager system, let’s assume that the Software Library was configured to use the following file system locations:

```
/shared/swlib1
/shared/swlib2
```

**Figure 12–2 Two-System Reconfiguration**
After an upgrade, on the Reconfigure Locations page, you will see:

migrated1, /shared/swlib1
migrated2, /shared/swlib2

where, migrated1 and migrated2 are names automatically assigned to the upgraded locations.

---

**Note:** Since the new locations are only reconfiguring the OMS Shared File System storage locations, you must ensure that these new locations are either NFS-mounted shared locations or OCFS2 shared locations.

---

You should then provide an alternate file system path for each of the existing locations. For example, as follows:

migrated1, /shared/swlib1, /vol/newswlib1
migrated2, /shared/swlib2, /vol/newswlib2

Before confirming this configuration, you must ensure that the new locations have the corresponding back ups of the configured Software Library directories unarchived, which means, /vol/newswlib1 should have the same content as /shared/swlib1, /vol/newswlib2 should have the same content as /shared/swlib2 had when the backup was taken.

a. Click **Validate** to submit a validation job that performs exhaustive validation checks on the entities being migrated. Ensure that you track this job to completion. If there are any validation errors, then it will appear on the job step. Some of the common validation errors are:

- The new location specified for reconfiguration does not exist.
- The new location specified for reconfiguration does not have read/write permissions for the OMS process owner.
- The content of the location(s) configured in the old Enterprise Manager Software Library is not restored in the corresponding new location specified for reconfiguration.

b. After a successful validation, click **Confirm** to reconfigure the Software Library. A job starting with SwlibUpgradeLocations is submitted, which must be tracked to successful completion, following which you should initiate any patching or provisioning tasks.

### 12.4 Checking the Agent Upgrade Status

**Note:** Perform these steps in the Enterprise Manager Grid Control console of the earlier release.

To check the status of the agent upgrade operations, follow these steps:

1. In Grid Control, click **Deployments**.
2. On the Deployments page, in the Upgrade section, click **Enterprise Manager 12c Upgrade Console**.
3. On the Upgrade Console page, do the following:
For macro-level details, in the Agent Upgrade Status section, view the count displayed against the following:

- **Successful**, to identify the Management Agents that have been successfully upgraded.
- **Failed**, to identify the Management Agents that failed to get upgraded.
- **In Progress**, to identify the Management Agents that are currently being upgraded.
- **Not Started**, to identify the Management Agents that are yet to be upgraded.
- **Not Supported**, to identify the Management Agents that are not supported in the upgraded Enterprise Manager system because Oracle Management Agent 12c is not released for a particular platform.

To drill down and view more information, click the count value. Enterprise Manager Grid Control displays the Agent Upgrade Status page that provides information.

For micro-level details, in the Other Links section, click Agent Upgrade Status.

On the Agent Upgrade Status page, do the following:

- To filter the list according to your needs and view only the upgrade operations that interest you, use the search functionality.
  
  For example, to view only the deployment operations that have failed, select **Deployment** from the Operation Type list, and select **Failed** from the Operation Status list, and click **Search**.

**Note:** An Operation Type refers to a job submitted for a particular agent upgrade step such as **Deployment**, **Configuration**, **Health Check**, **Upgrade**, or **Switch Over**. An operation name refers to the operation name you specified while submitting any of these jobs. And each of these operations can have a status such as **Not Started**, **In Progress**, **Success**, **Not Supported**, **Failed**, or **Pending Report Verification**.

- To deploy the agent software, select one or more Management Agent from the table, and click **Deploy and Configure Agent**.

**Note:** You cannot deploy and configure Oracle Management Agent 12c for problematic Management Agents. To identify problematic Management Agents, see Section 9.5.5.

Also, you can deploy and configure Oracle Management Agent 12c only on existing Management Agents that are either completely upgradable or upgradable with missing plug-ins. To identify the upgradability status of the Management Agents, see Section 9.5.3.

- To check the health and readiness of the deployed Management Agent, select one or more Management Agents, and click **Check Agent Readiness**.

- To view the readiness check details, select one or more Management Agents, and click **View and Verify Health Check Reports**.
On the Agent Readiness Check Details page, review the reports one by one. If you want to confirm that you have verified the report, then select the Management Agent and click **Verify and Sign Off Report**. If you want to view more details about the readiness check, then select the Management Agent and click **View Detailed Report**.

- To switch over the agents, select one or more Management Agents, which have successfully been deployed, configured, and health-checked, and click **Switch Agent**.

### 12.5 Performing General Postupgrade Tasks

This section describes the postupgrade steps you must follow after upgrading to Enterprise Manager Cloud Control. In particular, this section describes the following postupgrade steps:

- Performing General Postupgrade Steps
- Patching Oracle WebLogic Server
- Upgrading Oracle Exalogic System Targets
- Restarting the Central Agent When Additional OMS Is Upgraded
- Stopping the OCM Scheduler
- Deleting Obsolete Targets
- Disabling Incident Rule Sets
- Resolving Metric Collection Errors for SOA Target
- Enabling Linux Patching After Upgrading Enterprise Manager
- Updating the Console URL
- Redoing the Customization on Deployment Procedures
- Reconfiguring Oracle WebLogic Server with Custom Certificates
- Updating Incident Rules

#### 12.5.1 Performing General Postupgrade Steps

Perform the following general postupgrade tasks:

1. Follow the postupgrade steps outlined in the chapter that describes how to install a new Enterprise Manager system, in the *Oracle Enterprise Manager Cloud Control Basic Installation Guide*.

2. As a sanity check, ensure that all the database parameters are reset to their original values that existed before you started the upgrade operation, particularly for the `job_queue_process` parameter.

3. *(Only for 1-System Upgrade Approach)* Start the Management Agent that monitors the `Management Services and Repository` target. Before starting the 2-system upgrade, you would have shut it down to prevent it from connecting to the Management Repository for metric collections. After upgrade, make sure you start it.

4. Upgrade from 12c Release 1 (12.1.0.1) [with Bundle Patch 1] and 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3) is an out-of-place upgrade, and therefore, any customization done on the WebLogic Server, which is configured with the earlier
release of the Enterprise Manager system, is not carried over by the upgrade process. You will have to redo the customization on the upgraded system.

### 12.5.2 Patching Oracle WebLogic Server

While upgrading the Enterprise Manager system, in the installer if you had provided a middleware home location that already contained an Oracle WebLogic Server of the supported version, which you had installed yourself before invoking the installer, then make sure you apply patch 14482558 and patch 13349651 on that Oracle WebLogic Server.

These patches are required only if you are using an Oracle WebLogic Server that you installed yourself. They are not required if you provided an empty middleware home location and let the installer install the Oracle WebLogic Server while performing the upgrade.

### 12.5.3 Upgrading Oracle Exalogic System Targets

**Note:** This section is NOT applicable for upgrade from 10g Release 5 (10.2.0.5).

Ensure that you upgrade your Oracle Exalogic System targets.

1. To do so, from the **Enterprise** menu, select **Job**, then select **Library**.
2. On the **Job Library** page, select the job name **UPGRADE EXALOGIC SYSTEMS TO FUSION MIDDLEWARE 12.1.0.3.0 MODEL**, and click **Submit**.

For the job to run successfully and upgrade the Oracle Exalogic System targets, you should have already upgraded the Management Agents, which are monitoring these targets, to the following supported release so that they contain 12.1.0.3 or later versions of the Oracle Fusion Middleware Plug-in.

- If you upgraded from 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3), and if you had not performed this step when you upgraded to 12c Release 2 (12.1.0.2), then perform this step and the Management Agent in this case can be either 12c Release 2 (12.1.0.2) or 12c Release 3 (12.1.0.3).
- If you upgraded from 12c Release 1 (12.1.0.1) with Bundle Patch 1 to 12c Release 3 (12.1.0.3), then the Management Agent must be 12c Release 3 (12.1.0.3).
- If you upgraded from 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3), then the Management Agent must be 12c Release 3 (12.1.0.3).

If one or more such Management Agents are not upgraded yet to any of these supported releases, then the job fails and does not upgrade the Oracle Exalogic System targets. Under such circumstances, to resolve the issue, first upgrade those Management Agents, and then try submitting this job to upgrade the Oracle Exalogic System targets.

### 12.5.4 Restarting the Central Agent When Additional OMS Is Upgraded

After you upgrade an additional OMS of 10g Release 5 (10.2.0.5) to 12c Release 3 (12.1.0.3) using 1-system upgrade approach, the targets that begin with /EMGC_
GCDomain/GCDomain might appear as if they are down (see **Example 12–1**).

To resolve this issue, restart the upgraded central agent (that is, the Management Agent installed with the OMS).
Example 12–1  Targets Beginning with /EMGC_GDomain/GCDomain That Are Down

/EMGC_GDomain/GCDomain/EMGC_OMS2
/EMGC_GDomain/GCDomain/EMGC_OMS2/emgc
/EMGC_GDomain/GCDomain/EMGC_OMS2/empbs
/EMGC_GDomain/GCDomain/EMGC_OMS2/OCMRepeater
/EMGC_GDomain/GCDomain/EMGC_OMS2/oracle.security.apm(11.1.1.3.0)

12.5.5 Stopping the OCM Scheduler

If OCM was installed in the Enterprise Manager system that you upgraded, then follow these steps:

- If you upgraded from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5), then follow these steps:
  1. Set the environment variable ORACLE_HOME to the upgraded OMS home.
     - In bash terminal, run the following command:
       ```bash
       export ORACLE_HOME=<absolute_path_to_oms_home>
       ```
     - In other terminals, run the following command:
       ```bash
       setenv ORACLE_HOME <absolute_path_to_oms_home>
       ```
  2. Stop the OCM scheduler:
     ```bash
     $ORACLE_HOME/ccr/bin/emCCR stop
     ```

  Note: If you have the OCM scheduler installed on Oracle Management Agent, then repeat these steps on the Management Agent home as well. In this case, set the environment variable ORACLE_HOME to the Management Agent home as described in Step (1), and perform Step (2) from its home.

- If you upgraded from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), then
  1. Set the environment variable ORACLE_CONFIG_HOME to the OMS instance home:
     - In bash terminal, run the following command:
       ```bash
       export ORACLE_CONFIG_HOME=<absolute_path_to_gc_inst>
       ```
     - In other terminals, run the following command:
       ```bash
       setenv ORACLE_CONFIG_HOME <absolute_path_to_gc_inst>
       ```
  2. Set the environment variable ORACLE_HOME to the OMS home:
     ```bash
     setenv ORACLE_HOME <absolute_path_to_oms_home>
     ```
  3. Stop the OCM scheduler:
     ```bash
     $ORACLE_HOME/ccr/bin/emCCR stop
     ```

  Note: If you have the OCM scheduler installed on Oracle Management Agent, then repeat these steps on the Management Agent home as well. In this case, skip Step (1), set the environment variable ORACLE_HOME to the Management Agent home as described in Step (2), and perform Step (3) from its home.
12.5.6 Deleting Obsolete Targets

Targets that were created to monitor the earlier release of Enterprise Manager are not deleted automatically. This is because you might have created notification rules, metric threshold settings, compliance standard settings, jobs, and so on for these targets, and you might want to copy them to the new Oracle WebLogic Server targets of Enterprise Manager Cloud Control. After copying them, manually delete these targets.

This section describes how you can delete these obsolete targets. In particular, this section covers the following:

- Deleting the Obsolete Targets After 1-System Upgrade
- Deleting the Obsolete Targets After 2-System Upgrade

12.5.6.1 Deleting the Obsolete Targets After 1-System Upgrade

- After upgrading from Enterprise Manager Cloud Control 12c Release 1 (12.1.0.1) with Bundle Patch 1 or 12c Release 2 (12.1.0.2), you will not have any obsolete targets to delete. In this case, you can ignore this section.
- After upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5), the following targets are not deleted automatically. You must delete them manually.
  - EM Website
  - EM Website System
  - The following targets of each old OMS. You can delete these by deleting the top-level Oracle Application Server target of each old OMS.
    * Oracle Application Server
    * OC4J
    * Oracle HTTP Server
    * Web Cache

- After upgrading from Enterprise Manager 11g Grid Control Release 1 (11.1.0.1), the following targets are not deleted automatically. You must delete them manually.
  - EM Website
  - EM Website System
  - The following targets of each old OMS. You can manually delete these by deleting the top-level farm target `secFarm_GCDomain`.
    * Oracle Fusion Middleware Farm
    * Oracle Weblogic Domain
    * Application Deployment
    * Metadata Repository

**Note:** After you upgrade your Enterprise Manager using the 1-System upgrade approach, these targets might appear as if they are up and running. This status is false. In any case, do not investigate to resolve the status issue. Manually delete the targets as they are no longer needed in the Enterprise Manager Cloud Control.
12.5.6.2 Deleting the Obsolete Targets After 2-System Upgrade

- Manually delete these targets:
  - EM Website
  - EM Website System
- Manually delete the old central agent by running the following EM CLI command from any host where EM CLI is installed:

  ```
  emcli delete_target
  -name="<old_central_agent_name>"
  -type="oracle_emd"
  -delete_monitored_targets
  ```

**Note:** For information on EM CLI and instructions to set it up, see Oracle Enterprise Manager Command Line Interface Guide.

**Note:** If you want to continue to monitor some of the targets you deleted (other than the EM Website and EM Website System targets, which are not applicable to the upgraded Enterprise Manager system), then install Oracle Management Agent 12c on that host. For instructions, see Oracle Enterprise Manager Cloud Control Basic Installation Guide.

12.5.7 Updating Incident Rules

During upgrade, all Notification Rules created in the earlier release of Enterprise Manager are automatically migrated to corresponding Incident Rule sets that act on the targets originally defined in the Notification Rule. For more information about Incident Rule sets, see Appendix B.

However, for situations where the target type modeling has changed in Enterprise Manager Cloud Control, you must manually adjust the rules. This section describes how you can manually adjust the rulesets.

In particular, this section covers the following:

- Why Update Incident Rules
- Updating the Incident Rules for Moved Metrics
- Updating the Incident Rules for Renamed Metrics
- Deleting Incident Rules

12.5.7.1 Why Update Incident Rules

In the earlier releases of Enterprise Manager, OMS and Repository was a common target type defined for all the OMS instances in your environment. And the metrics collected for the different OMS instances were shown within this common target type.

However, in Enterprise Manager Cloud Control, in addition to the target type OMS and Repository, a new target type Oracle Management Service has been introduced to represent each of the OMS instances in your environment. Therefore, if you have five OMS instances in your environment, you will see one target type OMS and Repository and five instances of the target type Oracle Management Service - one for each OMS.
While the target type *OMS and Repository* captures metrics that are common to all the OMS instances in your environment, the target type *Oracle Management Service* captures metrics specific to an OMS.

Table 12–1 lists the metrics and describes the changes done to them due to the introduction of a new target type *Oracle Management Service*, and the actions you must take for each change.

**Table 12–1 Changes to Metrics and Updates to Be Done**

<table>
<thead>
<tr>
<th>Change Type</th>
<th>Change Description</th>
<th>Affected Metrics</th>
<th>Action to Be Taken by You</th>
</tr>
</thead>
</table>
| Retained    | Some metrics have been retained for the same target type — *OMS and Repository*. | The following metrics have been retained:  
  - Collections Waiting To Run  
  - DBMS Job Invalid Schedule  
  - DBMS Job Processing Time (% of Last Hour)  
  - DBMS Job UpDown  
  - Dequeue Status  
  - Enqueue Status  
  - Job Step Backlog  
  - Notification UpDown  
  - Number of Active Agents  
  - Overall Files Pending Load - Agent  
  - Overall Rows Processed by Loader in the Last Hour  
  - Number of Agent Restarts  
  - Target Addition Rate (Last Hour)  
  - User Addition Rate (Last Hour) | No changes required. |
| Moved       | Some metrics have been moved to the individual target type — *Oracle Management Service*. | The following metrics have been moved:  
  - Loader Throughput (rows per second)  
  - Total Loader Runtime in the Last Hour (seconds)  
  - Management Service Status  
  - Job Dispatcher Processing Time, (% of Last Hour)  
  - Service Status  
  - Repository Session Count  
  [Note: This metric has moved under the child targets *OMS Console* and *OMS Platform*] | Set up new incident rules to respond to the metrics that have been moved to different target types. See Section 12.5.7.2 |
### Table 12–1 (Cont.) Changes to Metrics and Updates to Be Done

<table>
<thead>
<tr>
<th>Change Type</th>
<th>Change Description</th>
<th>Affected Metrics</th>
<th>Action to Be Taken by You</th>
</tr>
</thead>
</table>
| Renamed     | Some metrics have been renamed for easy understanding. | The following metrics have been renamed:  
- Average Notification Delivery Time (ms) Per Method [Renamed to Average Notification Time (seconds)]  
- Notifications Waiting [Renamed to Pending Notifications Count] | Update the incident rules set to the metrics that have been renamed.  
See Section 12.5.7.3 |
| Decommissioned | Some metrics have been decommissioned due to lack of support in Enterprise Manager Cloud Control. | The following metrics have been decommissioned:  
- Number of Duplicate Targets  
- Notification Processing Time (% of Last Hour)  
- Overall Files Pending Load - Loader  
- Notifications Waiting  
(Note: This is different from the Notifications Waiting metric that is renamed. This one does not have objects, while the one renamed has objects.) | Remove decommissioned metrics from the incident rules.  
See Section 12.5.7.4 |

#### 12.5.7.2 Updating the Incident Rules for Moved Metrics

To update the incident rules set to the metrics that have been moved to the individual target type *Oracle Management Service* in Enterprise Manager Cloud Control, follow these steps:

1. In Cloud Control, from the **Setup** menu, select **Incidents**, and then, click **Incident Rules**.
2. On the Incident Rules page, from the table, select an incident rule created for Oracle Management Service (OMS), click **Edit**.
3. On the Edit Rule Set page, in the Rules tab, select the event rule that operates on the moved metrics, and click **Edit**.

   Enterprise Manager displays the Edit Rule Wizard where you can edit the incident rule for the selected incident rule set.

4. In the Edit Rule Wizard, do the following:
   a. On the Select Events page, select the metrics listed in the second row of Table 12–1, and click **Remove**.
   b. Click **Next**.
   c. On the Add Actions page and the Specify Name and Description page, click **Next** without making any change.
   d. On the Review page, click **Continue**.
5. Click **Save**.

Enterprise Manager displays the Incident Rules page.
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6. On the Incident Rules page, click **Create Rule Set**.

7. On the Create Rule Set page, enter a unique name for the rule set.

8. In the Targets tab, select **All targets of types**, and select **Oracle Management Service**.

9. In the Rules tab, click **Create**.

10. In the Select Type of Rule to Create dialog, select **Event Rule**, and click **Continue**.

    Enterprise Manager displays the Create New Rule Wizard where you can create a new incident rule set.

11. In the Create New Rule Wizard, do the following:

    a. On the Select Events page, from the **Event Type** list, select **Metric Alert**.

    b. Select **Specific metric alerts**, and click **Add**.

    c. In the Select Specific Metric Alert dialog, do the following:

        a. In the Search region, from the **Target Type** list, select **Oracle Management Service**, and then, click **Search**.

        b. From the table, select the moved metrics listed in the second row of Table 12–1.

        c. In the Severity and Corrective Action Status region, from the **Severity** list, select an appropriate severity level.

    d. Click **OK**.

    d. Click **Next**.

    e. On the Add Actions page and the Specify Name and Description page, click **Next** without making any change.

    f. On the Review page, click **Continue**.

12. Click **Save**.

    Enterprise Manager displays the Incident Rules page.

    **Note:** Repeat the procedure for all other incident rules created for the OMS.

### 12.5.7.3 Updating the Incident Rules for Renamed Metrics

To update the incident rules set to the metrics that have been renamed in Enterprise Manager Cloud Control, follow these steps:

1. In Cloud Control, from the **Setup** menu, select **Incidents**, and then, click **Incident Rules**.

2. On the Incident Rules page, from the table, select an incident rule created for Oracle Management Service (OMS), click **Edit**.

3. On the Edit Rule Set page, in the Rules tab, select the event rule that operates on the renamed metrics, and click **Edit**.

---

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Enterprise Manager displays the Edit Rule Wizard where you can edit the incident rule for the selected incident rule set.

4. In the Edit Rule Wizard, do the following:
   a. On the Select Events page, select the metrics listed in the third row of Table 12–1, and click Remove.
   b. Click Add.
   c. In the Select Specific Metric Alert dialog, do the following:
      a. In the Search region, from the Target Type list, select OMS and Repository, and then, click Search.
      b. From the table, select the renamed metrics listed in the third row of Table 12–1.
      c. In the Severity and Corrective Action Status region, from the Severity list, select an appropriate severity level.
      d. Click OK.
   d. Click Next.
   e. On the Add Actions page and the Specify Name and Description page, click Next without making any change.
   f. On the Review page, click Continue.

   Note: Repeat the procedure for all other incident rules created for the OMS.

12.5.7.4 Deleting Incident Rules
To remove the decommissioned metrics from the the incident rules, follow these steps:

1. In Cloud Control, from the Setup menu, select Incidents, and then, click Incident Rules.

2. On the Incident Rules page, from the table, select an incident rule created for Oracle Management Service (OMS), click Edit.


   Enterprise Manager displays the Edit Rule Wizard where you can edit the incident rule for the selected incident rule set.

4. In the Edit Rule Wizard, do the following:
   a. On the Select Events page, select the metrics listed in the last row of Table 12–1, and click Remove.
   b. Click Next.
   c. On the Add Actions page and the Specify Name and Description page, click Next without making any change.
   d. On the Review page, click Continue.

   Note: Repeat the procedure for all other incident rules created for the OMS.
12.5.8 Disabling Incident Rule Sets

If you upgraded using the 2-System upgrade approach, then disable the default incident rule sets. If you do not disable them, the incidents are automatically created for all critical metric alerts.

To disable the default incident rule sets, follow the steps:

1. In Cloud Control, from the Setup menu, select Incidents, and then select Incident Rules.
2. On the Incident Rules - All Enterprise Rules page, scroll down the table, and expand Incident management Ruleset for all targets.
3. Select the row for the rule set you want to disable.
4. From the Actions menu, click Disable.
5. On the pop-up window, click OK.
6. Repeat Step (3) to Step (5) for every rule set you want to disable.
7. Once all the rule sets are disabled, ensure that the Enabled column of the table shows No with a warning icon next to it.

12.5.9 Resolving Metric Collection Errors for SOA Target

If you were monitoring a SOA target in your earlier release of Enterprise Manager, then in the health report you generated as described in Section 10.2, you might have seen metric collection errors for the metrics Top SOA SQL Queries and Dehydration Store Tables.

To resolve that issue, access the Monitoring Configuration page of the SOA target, and provide the database credentials.

12.5.10 Enabling Linux Patching After Upgrading Enterprise Manager

---

**Note:** This section is applicable only when you upgrade from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) or Enterprise Manager 11g Grid Control Release 1 (11.1.0.1) to Enterprise Manager Cloud Control 12c.

---

After upgrading Enterprise Manager, you must stop all active jobs for Linux patching that were submitted prior to the upgrade, and resubmit them on the same targets. This section describes how you can achieve this.

In particular, this section covers the following:

- Configuring the DownloadLatestPackages Job
- Configuring the UpdateHostPackages Job

12.5.10.1 Configuring the DownloadLatestPackages Job

To configure the DownloadLatestPackages job, follow these steps:

1. Stop active jobs which were scheduled prior to upgrade as follows:
   a. From the Enterprise menu, select **Job** and then **Activity**. The Job Activity page is displayed.
   b. In the Job Activity page, click the **Advanced Search** link.
c. In the Advanced Search options, select Job Type as DownloadLatestPkgs, Target Type as Host, Status as Active, Scheduled Start as All, and then click Go.

d. In the Advanced Search result, select each job listed in the table and click Stop.

2. For each host target on which an active DownloadLatestPkgs job was stopped, resubmit a new DownloadLatestPkgs job on it as follows:

   a. From the Setup menu, select Provisioning and Patching and then Linux Patching. The Patching Setup page is displayed.

   b. In the Patching Setup page, click Setup RPM Repository.

   c. In the Setup RPM Repository page, select the Host target and set Normal Host Credentials and Privileged Host Credentials for this host target.

   d. Click Apply to submit the new job.

12.5.10.2 Configuring the UpdateHostPackages Job

To configure the UpdateHostPackages job, follow these steps:

1. Stop active jobs which were scheduled prior to upgrade as follows:

   a. From the Enterprise menu, select Job and then Activity. The Job Activity page is displayed.

   b. In the Job Activity page, click the Advanced Search link.

   c. In the Advanced Search options, select Job Type as UpdateHostPackages, Target Type as All Target Types against which jobs were submitted, Status as Active, Scheduled Start as All, and then click Go.

   d. In the Advanced Search result, select each job listed in the table and click Stop.

2. For each group target on which an active UpdateHostPackages job was stopped, resubmit a new UpdateHostPackages job on it as follows:

   a. From the Setup menu, select Provisioning and Patching and then Linux Patching.

   b. In the Patching Setup page, click Setup Groups. The Setup Groups page is displayed.

   c. In the Setup Groups page, select the group on which you stopped an active UpdateHostPackages job and click Edit. The Edit Group wizard is displayed.

   d. In the Edit Group: Properties page, click Next.

   e. In the Edit Group: Package Repositories page, click Next.

   f. In the Edit Group: Credentials page, either use Host Preferred Credentials or Override Preferred Credentials and specify Normal Host Credentials and Privileged Host Credentials. Click Next.

---

**Note:** Before editing this step, ensure that the host Preferred credentials are set already or the appropriate Named Credentials have been created already. If not, from the Setup menu, select Security and then Named Credentials to create Named Credentials or Preferred Credentials to set the Preferred Credentials for the host targets contained in the Linux Patching group.
g. In the Edit Group: Review page, click Finish to submit the new job.

12.5.11 Updating the Console URL

If you have a multi-OMS environment with a Server Load Balancer (SLB) configured for the upgraded OMS instances, then make sure you update the console URL on the Management Services and Repository page. The console URL you enter here is used to compose links pointing back to the Enterprise Manager Cloud Control Console in the e-mails sent by Enterprise Manager.

To update the Console URL, follow these steps:

1. From the Setup menu, select Manage Cloud Control, then select Health Overview.

2. On the Management Services and Repository page, in the Overview section, click Edit against the Console URL label, and modify the URL to the SLB URL.


Note: Do NOT end the Console URL with /em.

3. Verify if the updated console URL appears correctly in the e-mails sent by Enterprise Manager.

12.5.12 Redoing the Customization on Deployment Procedures

Customization done on deployment procedures in 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1) are not carried over when they are upgraded to 12c Release X (12.1.0.X). Therefore, if you had done some customization on the deployment procedures before upgrading, then after upgrading, redo the customization.

12.5.13 Reconfiguring Oracle WebLogic Server with Custom Certificates

If custom certificates were configured for Oracle WebLogic Servers (admin server as well as managed servers) in 12c Release 2 (12.1.0.2) or 12c Release 3 (12.1.0.3), then after upgrading to 12c Release 2 (12.1.0.2), then after upgrading to 12c Release 3 (12.1.0.3), the custom certificates are not carried over, and Oracle WebLogic Servers are configured with Demo Certificates. Therefore, after upgrading, make sure you reconfigure the Oracle WebLogic Servers with custom certificates. To do so, follow these steps:

1. Run the following command on all the OMS instances. For information on the command and the options you can pass, see the Oracle Enterprise Manager Cloud Control Security Guide.

   $<OMS_Home>/bin/emctl secure wls <options>

Note: This section is applicable only if you are upgrading from 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3).

Note: This section is applicable only for Oracle WebLogic Server custom certificates, and not applicable for Enterprise Manager Console certificates. The Enterprise Manager Console certificates are automatically copied over during upgrade.
2. Stop all the OMS instances:
   $<OMS_Home>/bin/emctl stop oms -all
3. Start all the OMS instances:
   $<OMS_Home>/bin/emctl start oms

12.6 Tracking the Status of Deferred Data Migration Jobs

This section describes the following:
- About Deferred Data Migration Jobs
- Tracking the Status of Deferred Data Migration Jobs

12.6.1 About Deferred Data Migration Jobs

Deferred Data Migration is a post-upgrade activity to migrate the format of the data stored in an earlier release of Enterprise Manager to the format compatible with the upgraded Enterprise Manager system. The migration activity is essentially a job in Enterprise Manager that is submitted when the Oracle Management Repository gets upgraded and is scheduled to run in the background when the upgraded Enterprise Manager system starts functioning.

The format of the data stored in Enterprise Manager Cloud Control is different from the format in which the data was stored in any earlier release of Enterprise Manager.

When you upgrade from an earlier release of Enterprise Manager to Enterprise Manager Cloud Control, the data format gets automatically converted or migrated to the format that is compatible with Enterprise Manager Cloud Control.

However, the time taken to migrate the data format depends on the volume of data available in your earlier release of Enterprise Manager. Therefore, if you have a large amount of data, then it takes longer to migrate, and as a result, the upgrade process takes more time to complete. Unfortunately, until the upgrade process completes, your existing Enterprise Manager system might be unavailable, particularly when you use a 1-System upgrade approach (either on the local host or on a different, remote host).

Considering this, Oracle has fine-tuned its upgrade process to migrate the data format in two distinct phases.

In the first phase, when the Enterprise Manager system is shut down and upgraded, the most critical data relevant to the functioning of Enterprise Manager Cloud Control is migrated within a short time so that the new system can start functioning without much downtime. At this point, only some historical data is unavailable, but you can start monitoring the targets in the upgraded Enterprise Manager system, and see new alerts generated by the upgraded Oracle Management Agent.

In the second phase, after the upgraded Enterprise Manager system starts functioning, the remaining data is migrated.

The data whose format is migrated in the second phase, after Enterprise Manager Cloud Control starts functioning, is called the Deferred Data, and this process of migrating from old to new format is called the Deferred Data Migration.
Tracking the Status of Deferred Data Migration Jobs

To track the status of deferred data migration jobs, follow these steps:

1. In Cloud Control, from the Setup menu, select Manage Cloud Control and then, click Post Upgrade Tasks.
2. On the Post Upgrade Tasks page, click the Deferred Data Migration tab.

   The tab provides a list of data migration jobs with their status, start date and time, and end date and time.
3. In this tab, you can do the following:
   - To start a data migration job for a component, select that component and click Start. By default, the job starts immediately. You cannot change this behavior.
   - To rerun a failed job, click the status icon to reach the Job Run page. On the Job Run page, click Edit. On the Edit <JobName> Job page, click Submit to rerun the job.
   - To hide or unhide the table columns, from the View list, select an appropriate option.
   - To detach the table from the screen, click Detach.

Tracking the Status of Accrued Data Migration Jobs

This section describes the following:

- About Accrued Data Migration Jobs
- Tracking the Status of Accrued Data Migration Jobs

12.7.1 About Accrued Data Migration Jobs

Accrued Data Migration is a post-upgrade activity to migrate the accrued data stored in an earlier release of Oracle Management Repository (Management Repository) to the upgraded Management Repository. The accrued data relates to functional areas such as blackouts, alerts, events, metrics, and so on.
The migration activity is essentially a job in Enterprise Manager that runs in the background immediately after the earlier releases of Oracle Management Agents (Management Agents) are switched over to Oracle Management Agent 12c, particularly in the case of 2-System upgrade approach.

As part of the upgrade process, when you switch over the earlier releases of Oracle Management Agents to Oracle Management Agent 12c, the new Management Agents start communicating with Enterprise Manager Cloud Control, and start uploading data to the upgraded Oracle Management Repository (Management Repository).

In the 1-System upgrade approach, the entire Enterprise Manager system is upgraded, and therefore, all the Management Agents are switched over at a given time. In this approach, earlier releases of Management Agents cannot coexist with the upgraded ones, and once they are switched over, the upgraded Management Agents upload data only to one Management Repository at any given point, which is the upgraded repository. Therefore, there is no scope for accrued data.

However, in the 2-System upgrade approach, you can choose to switch over your Management Agents in phases. When one set of Management Agents are switched over, they start uploading data about their hosts and targets to the upgraded Management Repository, whereas the ones that are not switched over yet, continue to upload data about their hosts and targets to the old Management Repository. Therefore, the earlier releases of Management Agents coexist with the upgraded ones when only some of them are switched over, and under such circumstances, the data is uploaded to the old Management Repository as well as the new one at a given point. However, note that only one Management Agent represents a host at any given time, so the coexistence does not indicate two Management Agents on the same host. It only indicates an earlier release of Management Agent monitoring a host that coexists with an upgraded Management Agent monitoring another host.

When you switch over the next set of Management Agents in the next phase, although they start uploading data to the upgraded Management Repository, the data uploaded to the old Management Repository before being switched over continues to exist in the

---

**Note:** The *Accrued Data Migration* process does not migrate any of the following changes if they were done after the Management Repository was backed up. If you want these changes in the upgraded Enterprise Manager system, you must manually redo these changes after upgrade.

- Security data (new users created, passwords changed)
- Jobs created, deleted, or submitted
- Customized deployment procedures
- Patches
- Targets added or deleted
- Reports created, modified, or deleted
- Software Library configuration changes
- Templates created, modified, or deleted
- User-defined metrics

Check the Diff Report as described in Section 12.8 to know what all changes are not migrated via the ADMP process and what needs to be migrated manually.
old Management Repository. This data is called the *Accrued Data*, and the process of migrating this accrued data from the old Management Repository to the new one is called the *Accrued Data Migration Process*.

---

**Note:** The accrued data migration jobs migrate ECM history data with the following exceptions:

- If the ECM history data is associated with a target that is no longer part of the Enterprise Manager system, then the ECM history data for that target is not migrated to Enterprise Manager Cloud Control.

- For Oracle WebLogic Server targets, the WebLogic configuration data is not migrated. This configuration data has changed significantly in Enterprise Manager Cloud Control.

- As part of the data migration process, summary counts are now calculated and displayed in the ECM Summary region. For migrated data, the summary counts are only calculated for the last seven days.

If you do not see key values that you saw in the past, or if you see new values as key values, then note that this is an expected behavior. Some snapshots have had metadata changes in the last release and key values have changed. If metadata keys were removed from the metadata, those values will no longer be displayed in the Enterprise Manager Cloud Control as key columns, though the data is still present in the migrated database. If existing columns are now flagged as key columns, those columns will start appearing as key columns for newly collected data only. For new columns that are added either as key columns or non key columns, data will start appearing for newly collected data only.

---

**Note:**

- If you want to prevent the ADMP jobs from running immediately by default, then see Section 9.3 (Step 6).

- Do NOT change any database link-related settings during ADMP process. If you do, the ADMP process will fail to use the database link.

- ADMP uses DBMS_SCHEDULER, so make sure all scheduler-related settings are turned on (on the server) to submit any jobs using DBMS_SCHEDULER.

  To verify this, run the following query:

  ```sql
  select value from dba_scheduler_global_attribute where attribute_name='SCHEDULER_DISABLED'
  ```

  If the query returns TRUE, then run the following query:

  ```sql
  exec dbms_scheduler.set_scheduler_attribute('SCHEDULER_DISABLED','FALSE');
  ```

---
12.7.2 Tracking the Status of Accrued Data Migration Jobs

To track the status of accrued data migration jobs, follow these steps:

1. In Cloud Control, from the **Setup** menu, select **Manage Cloud Control** and then, click **Post Upgrade Tasks**.

2. On the Post Upgrade Tasks page, click the **Accrued Data Migration** tab.

   The Accrued Data Migration page displays information about the accrued data migration jobs related to all the targets available in your Enterprise Manager system. By default, for every target, the accrued data migration job migrates the ECM history details as well as the metric details.

3. In this tab, you can do the following:
   - To control the data being migrated for all the targets, from the **Run accrued data migration for** list, select one of the following options:
     - **ECM HISTORY MIGRATION**, to migrate only the ECM history details.
     - **METRIC DATA MIGRATION**, to migrate only the metric details.
     - **All Components**, to migrate the ECM history details as well as the metric details.
   - To view different types of data, from the **View Targets** list, select one of the following options:
     - **All**, to view all the accrued data migration jobs for all the targets in your Enterprise Manager system.
     - **Active**, to view the accrued data migration jobs that either failed or are currently running.
     - **History**, to view the accrued data migration jobs that succeeded.
     - **Not Started**, to view the accrued data migration jobs that have not started yet.
   - To retry a failed job, select the target for which the job failed and click **Retry**.
   - To stop a running job, select the target for which you want to stop the job and click **Stop**.

12.8 Generating and Viewing Diff Reports

**Note:** This section is applicable only for 2-system upgrade of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) of Enterprise Manager system.

This section describes the following:

- **About Diff Reports**
- **Generating and Viewing Diff Reports**
12.8.1 About Diff Reports

Diff Reports provide information about the configuration or setup-related changes that were manually made to the earlier release of Enterprise Manager while it was being upgraded to Enterprise Manager Cloud Control using the 2-System upgrade approach.

In the 2-System upgrade approach, the earlier release of Enterprise Manager coexists with Enterprise Manager Cloud Control until the upgrade operation ends. In some production environments, the upgrade operation might take a long time to complete due to a large volume of data, and during this period, you might make some changes to the functional areas in the earlier release.

For example, you might add additional Software Library entity, new jobs, new deployment procedures, and so on.

Such changes must be carried over to Enterprise Manager Cloud Control so that the upgraded system is identical to the earlier release. The Diff Reports show a summary of such changes so that you can manually redo those changes in Enterprise Manager Cloud Control.

12.8.2 Generating and Viewing Diff Reports

To generate and view diff reports, follow these steps:

1. In Cloud Control, from the Setup menu, select Manage Cloud Control and then, click Post Upgrade Tasks.

2. On the Post Upgrade Tasks page, click the Diff Report tab.

The Diff Report page lists the reports that were generated in the past for various components. However, when you visit this page the first time, you will not see any reports.

3. On this page, you can do the following:
   - To generate the reports for various components, click Regenerate Report.
   - To view a report of a particular component, select a component (row) from the table, and click Show Report.
   - To refresh a report and view the latest changes related to a component, select a component (row) from the table, and click Regenerate Report.

Note: This section is applicable only for 2-system upgrade of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) of Enterprise Manager system.

Note: All the changes shown in the diff report are not migrated by the upgrade process from old to new Enterprise Manager system, so you must migrate them manually. Ensure that the database link-related settings are intact while you use the diff report.

Note: On clicking Regenerate Report, the status in the Status column changes to In Progress. Keep refreshing the page manually until the status changes to Completed.
- To hide or unhide the table columns, or to reorder the table columns, from the View list, select an appropriate option.
- To detach the table from the screen, click Detach.

### 12.9 Viewing Inactive Targets in the Upgraded Enterprise Manager System

**Note:** This section is applicable only for 2-system upgrade of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) of Enterprise Manager system.

Targets available in the existing Enterprise Manager system are active (monitored) in the upgraded Enterprise Manager system only when the Oracle Management Agents that were monitoring those targets are switched over to the upgraded Enterprise Manager system using Oracle Management Agent 12c.

To view a list of targets that are currently inactive in the upgraded Enterprise Manager system, follow these steps:

1. In Cloud Control, from the Setup menu, select Manage Cloud Control and then, click Post Upgrade Tasks.
2. On the Post Upgrade Tasks page, click the Targets with Pending Activation tab.
3. In this tab, you can view a list of inactive targets.

To search for targets of your choice, enter a keyword or a part of the target name (or target type) in the search text box placed right above the Target Name column (or Target Type column).

Alternatively, you can click the links in the Refine Search pane to filter the table and list the targets of your choice.

**Note:** To convert these inactive targets to active targets, switch over the Management Agents that are monitoring these targets in the existing Enterprise Manager system to the upgraded Enterprise Manager system. For information about switching over the Management Agents, see Section 10.4.

### 12.10 Signing Off the Accrued Data Migration Process

**Note:** This section is applicable only for 1-system upgrade, 2-system upgrade, and 1-system upgrade on a different host for 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) of Enterprise Manager system.

After you switch over the Oracle Management Agents (Management Agent) to the new Enterprise Manager system, you can deinstall the earlier release of Management Agents. Instead of manually deinstalling the Management Agents, you can formally sign off the Accrued Data Migration Process (ADMP) for each of the Management Agents and have the Post Upgrade Console automatically deinstall them for you.
To sign off the ADMP process for each of the Management Agents, follow these steps:

1. In Cloud Control, from the Setup menu, select Manage Cloud Control and then, click Post Upgrade Tasks.
2. On the Post Upgrade Tasks page, click the Sign Off tab.
   By default, the page lists all the Management Agents regardless of their sign-off status.
3. On this page, search Management Agents for which you have signed off the ADMP process or for which you are yet to sign off.
   (i) From the Search Criteria list, select an appropriate option.
   (ii) In the Agent field, enter the name of the Management Agent. You can leave this blank if you want to search all Management Agents with a particular sign-off status. Alternatively, you can enter a part of the name and use wildcards to search for Management Agents with a similar name.
   (iii) Click Search.
4. Select Management Agents you want to formally sign off. To select all the Management Agents in one attempt, enable Select All.
   
   **Note:** If you are selecting a Master Agent to sign off, then make sure you sign it off only after all its Shared Agents have been signed off. If they have not already been signed off, then select those Shared Agents to be signed off along with the Master Agent.

5. Click Sign off Agents.
6. Provide credentials to access the hosts on which the selected Management Agents were running.
7. Click Submit.
   
   **Note:** Once you sign off, the selected Management Agents will automatically be deinstalled and removed, and they cannot be recovered in any way. Therefore, before you sign off, ensure that your Management Agents have switched over successfully and they are fully functional, and their targets are successfully being monitored in the new Enterprise Manager system.

### 12.11 Signing Off the Migration Processes and Exiting the Upgrade Mode

**Note:** This section is applicable only for 1-system upgrade, 2-system upgrade, and 1-system upgrade on a different host for 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) of Enterprise Manager system.
After the Deferred Data Migration Process (DDMP), the Management Agent switchover, the Accrued Data Migration Process (ADMP), the manual data migration as calculated by the diff report, you can formally sign off the migration process, and exit upgrade mode.

**WARNING:** Sign-off must be the last step of the upgrade process. Once this is done, all upgrade-related processes and resources are cleaned up, and you must not run any ADMP or DDMP jobs, or generate any diff reports.

To sign off the migration processes and formally exit the upgrade mode, follow these steps:

1. In Cloud Control, from the Setup menu, select Manage Cloud Control and then, click Post Upgrade Tasks.
2. On the Post Upgrade Tasks page, click the Sign Off tab.
3. On this page, select Sign off Migration, and click Go. This option is placed at the bottom-left corner below the table.
4. *(Applicable Only for 2-System Upgrade Approach and 1-System Upgrade Approach on a Different Host)* After exiting the upgrade mode, delete the old central agents as described in Section 12.12.

After deleting the central agents, if you want to continue monitoring the targets that were monitored by them, then follow the instructions outlined in Section 12.13.

### 12.12 Deleting Old Central Agents and the Associated Targets

This section describes the following procedures for deleting the old central agents and their associated targets:

- Deleting Unwanted Central Agents After Upgrading from 10.2.0.5/11.1.0.1 to 12.1.0.3
- Deleting Unwanted Central Agents After Upgrading from 12.1.0.1 with Bundle Patch 1/12.1.0.2 to 12.1.0.3

#### 12.12.1 Deleting Unwanted Central Agents After Upgrading from 10.2.0.5/11.1.0.1 to 12.1.0.3

**Note:** This section is applicable only for 2-system upgrade and 1-system upgrade on a different host for 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) of Enterprise Manager system.

If you upgraded 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1) using the 2-system upgrade approach or the 1-system upgrade approach on a different host, then delete the old central agent that was not switched over. You do not require this central agent.
To delete the old central agent and the associated targets, follow these steps:

1. As a prerequisite, verify the host where you installed Enterprise Manager Cloud Control as described in Section 12.1.

2. Identify the central agents by running the following query as SYSMAN user in the upgraded Management Repository (12.1.0.3 repository). The query reports central agents and the targets monitored by them, but make note of only the central agent names. Deleting the central agents anyway deletes the targets monitored by them.

   ```sql
   SELECT ag.target_name agent_name, t.target_name target_name, t.target_type target_type
   FROM sysman.mgmt_targets ag, sysman.em_current_availability eca, sysman.PRE_UPGC_AGT_STAT_MGMT puasm, sysman.mgmt_targets t
   WHERE ag.target_guid = eca.target_guid AND eca.current_status = 4 AND eca.current_sub_status = 1 AND ag.target_type='oracle_emd' AND puasm.target_guid = ag.target_guid AND puasm.UPGRADE_STATUS !='IGNORE_UPGRADE' AND ag.emd_url IN (SELECT emd_url FROM mgmt_targets WHERE target_type = 'host' AND target_name IN (SELECT value FROM mgmt_oms_parameters WHERE name = 'HOST_NAME')) AND ag.emd_url NOT IN (SELECT emd_url FROM sysman.mgmt_targets WHERE target_type='oracle_emrep') AND t.emd_url = ag.emd_url ORDER BY ag.target_name, t.target_type, t.target_name
   ```

3. Delete the identified, old central agent:
   
a. On the remote host where you installed the new Enterprise Manager Cloud Control, from the OMS home, log in to the EM CLI client. EM CLI Client is available by default with every OMS installation, so you need not install the client separately.

   ```
   $<OMS_HOME>/bin/emcli login -username=SYSMAN
   -password=<sysman-passwd>
   ```

   b. Synchronize EM CLI:

   ```
   $<OMS_HOME>/bin/emcli sync
   ```

   c. Delete the central agent. Here, `agentName` is the name of the central agent you want to delete.

   ```
   $<OMS_HOME>/bin/emcli delete_target -name = <agentName>
   -type=oracle_emd -delete_monitored_targets
   ```

4. (Optional) After deleting the central agent, if you want to continue monitoring the targets that were monitored by it, then follow the instructions outlined in Section 12.13.
12.12.2 Deleting Unwanted Central Agents After Upgrading from 12.1.0.1 with Bundle Patch 1/12.1.0.2 to 12.1.0.3

Note: This section is applicable only for 1-system upgrade from 12c Release 1 (12.1.0.1) with Bundle Patch 1 and 12c Release 2 (12.1.0.2).

After upgrading from 12c Release 1 (12.1.0.1) with Bundle Patch 1 or 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3), if central agents that existed in the pre-12c release appear in Activation Pending state in the Enterprise Manager Cloud Control Console, then delete them by following these steps:

1. As a prerequisite, verify the host where you installed/upgraded Enterprise Manager Cloud Control as described in Section 12.1.

2. Identify the unwanted central agents to be deleted by running the following query as SYSMAN user in the upgraded Management Repository (12.1.0.3 repository). The query reports central agents and the targets monitored by them, but make note of only the central agent names. Deleting the central agents anyway deletes the targets monitored by them.

   ```sql
   SELECT ag.target_name agent_name, t.target_name target_name, t.target_type target_type FROM sysman.mgmt_targets ag, sysman.em_current_availability eca, sysman.PRE_UPGC_AGT_STAT_MGMT puasm, sysman.mgmt_targets t WHERE ag.target_guid = eca.target_guid AND eca.current_status = 4 AND eca.current_sub_status = 1 AND ag.target_type='oracle_emd' AND puasm.target_guid = ag.target_guid AND puasm.UPGRADE_STATUS !='IGNORE UPGRADE' AND ag.emd_url NOT IN (SELECT emd_url FROM sysman.mgmt_targets WHERE target_type='oracle_emrep') AND t.emd_url = ag.emd_url ORDER BY ag.target_name, t.target_type, t.target_name
   ```

3. Delete the unwanted central agents:

   a. On the upgraded OMS host, from the OMS home, log in to the EM CLI client. EM CLI Client is available by default with every OMS installation, so you need not install the client separately.

      ```bash
      $<OMS_HOME>/bin/emcli login -username=SYSMAN -password=<sysman-passwd>
      ```

   b. Synchronize EM CLI:

      ```bash
      $<OMS_HOME>/bin/emcli sync
      ```

   c. Delete the unwanted central agents. Here, `agentName` is the name of the central agent you want to delete.

      ```bash
      $<OMS_HOME>/bin/emcli delete_target -name = <agentName> -type=oracle_emd -delete_monitored_targets
      ```

12.13 (Optional) Monitoring Targets That Were Monitored by the Deleted Central Agent

Note: This section is applicable only for 2-system upgrade and 1-system upgrade on a different host for 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1) of Enterprise Manager system.
If you upgraded 10g Release 5 (10.2.0.5) or 11g Release 1 (11.1.0.1) using the 2-system upgrade approach or the 1-system upgrade approach on a different host, then you are required to delete the unwanted old central agent as described in Section 12.12. After deleting this central agent, if you want to continue monitoring the targets that were monitored by the deleted central agent, then follow these steps:

1. Install a fresh Oracle Management Agent 12c using the Add Host Targets Wizard from the new OMS, on the old central agent host.
2. Discover all the targets running on that host so that they can be monitored in the new OMS.

### 12.14 (Optional) Deleting the Old OMS Home

After upgrading your Enterprise Manager system completely, if you want to delete your old OMS home, follow these steps:

- If you upgraded from 12c Release 1 (12.1.0.1) [with Bundle Patch 1] or 12c Release 2 (12.1.0.2) to 12c Release 3 (12.1.0.3), either full release or an additional OMS, then follow the instructions outlined in Appendix J.
- If you upgraded from 11g Release 1 (11.1.0.1) to 12c Release 3 (12.1.0.3), either full release or an additional OMS, then follow the instructions outlined in the Oracle Enterprise Manager Grid Control Advanced Installation and Configuration Guide.
- If you upgraded from 10g Release 5 (10.2.0.5) to 12c Release 3 (12.1.0.3), either full release or an additional OMS, then follow the instructions outlined in the Oracle Enterprise Manager Grid Control Installation and Configuration Guide.
Part IV
Appendixes

This part contains the following appendixes:

- Appendix A, "Editing the Response File for Upgrading Oracle Management Service and Oracle Management Repository in Silent Mode"
- Appendix B, "Overview of the Notification System in Enterprise Manager Cloud Control"
- Appendix C, "Overview of the Metric Changes in Enterprise Manager Cloud Control"
- Appendix D, "Identifying the Jobs That Will Not Run in Enterprise Manager for 2-System Upgrade Approach"
- Appendix E, "Updating Server Load Balancer Configuration Settings"
- Appendix F, "Setting Preferred Credentials Using EM CLI"
- Appendix G, "Searching and Adding Oracle Management Agents"
- Appendix H, "Securing Oracle Management Agents After Backing Up Oracle Management Repository"
- Appendix I, "Recovering Database 11.1.0.7/10.2.0.5 from Microsoft Windows 32 to Microsoft Windows 64"
- Appendix J, "Deleting the Old OMS Home"
- Appendix L, "Viewing Log Files"
**Table A–1** describes what variables you must update and how you must update them in the `upgrade.rsp` response file for upgrading your OMS and Management Repository in silent mode.

### Table A–1  Editing the Response File for Upgrading the OMS and the Management Repository in Silent Mode

<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>UNIX_GROUP_NAME</td>
<td><em>(Required only when central inventory does not exist)</em> Enter the name of the UNIX group you belong to. For example, “dba”</td>
</tr>
<tr>
<td>INVENTORY_LOCATION</td>
<td><em>(Required only when central inventory does not exist)</em> Enter the absolute path to the Central Inventory. For example, <code>/scratch/oracle/oraInventory</code></td>
</tr>
</tbody>
</table>
| SECURITY_UPDATES_VIA_MYORACLESUPPORT | - Enter true if you want to download and install security updates. Then, enter the credentials for the following variables:  
    - MYORACLESUPPORT_USERNAME  
    - MYORACLESUPPORT_PASSWORD  
    - Enter false if you do not want to download and install security updates:  
    **Important:** If you are performing a software-only upgrade, then comment out this variable. |
| DECLINE_SECURITY_UPDATES       | - Enter true if you want to decline the security updates. In this case, you should have entered false for SECURITY_UPDATES_VIA_MYORACLESUPPORT.  
    - Enter false if you do not want to decline the security updates. In this case, you should have entered true for SECURITY_UPDATES_VIA_MYORACLESUPPORT.  
    **Important:** If you are performing a software-only upgrade, then comment out this variable. |
<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>INSTALL_UPDATES_SELECTION</td>
<td>By default, this variable is set to 'skip' indicating that the software updates will not be installed during installation.</td>
</tr>
<tr>
<td></td>
<td>- If you want to install the software updates from My Oracle Support, then set this variable to 'download'. Then, enter the credentials for the following variables:</td>
</tr>
<tr>
<td></td>
<td>MYORACLESUPPORT_USERNAME_FOR_SOFTWAREUPDATES</td>
</tr>
<tr>
<td></td>
<td>MYORACLESUPPORT_PASSWORD_FOR_SOFTWAREUPDATES</td>
</tr>
<tr>
<td></td>
<td>- If you want to install the software updates from a staged location, then set this variable to 'staged'. Then, for the STAGE_LOCATION variable, enter the absolute path, which leads to the Updates directory, where the software updates are available.</td>
</tr>
<tr>
<td></td>
<td>For this option, as a prerequisite, you must have already downloaded the software updates. For instructions, see Oracle Enterprise Manager Cloud Control Advanced Installation and Configuration Guide.</td>
</tr>
<tr>
<td></td>
<td>Important: If you are performing a software-only upgrade, then comment out this variable.</td>
</tr>
<tr>
<td>ORACLE_MIDDLEWARE_HOME_LOCATION</td>
<td>Upgrade to 12c Release 3 (12.1.0.3) is an out-of-place upgrade, therefore you must specify an existing middleware home or enter a new middleware home where Oracle WebLogic Server and Java Development Kit are installed.</td>
</tr>
<tr>
<td></td>
<td>Enter the location where you have installed Oracle WebLogic Server 11g Release 1 (10.3.6) and Java Development Kit 1.6.0.43.0. If you do not already have them installed, then enter the location where you want the installer to install them.</td>
</tr>
<tr>
<td></td>
<td>For example, /u01/app/Oracle/Middleware.</td>
</tr>
<tr>
<td></td>
<td>Ensure that the middleware location has write permission.</td>
</tr>
<tr>
<td></td>
<td>If you have already installed them manually, then enter the location where you have installed them.</td>
</tr>
<tr>
<td>Note</td>
<td>Ensure that the Middleware home you enter here is used only for Enterprise Manager Cloud Control. Ensure that no other Oracle Fusion Middleware products or components are installed in the same Middleware home.</td>
</tr>
<tr>
<td>Important</td>
<td>If you are performing a software-only upgrade, then comment out this variable.</td>
</tr>
</tbody>
</table>
### Variable Description

<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
</table>
| ORACLE_INSTANCE_HOME_LOCATION | By default, gc_inst is considered as the OMS Instance Base directory for storing all OMS-related configuration files, and by default, it is created outside the Middleware home.  
  - To accept the default location and the directory name, leave the variable blank.  
  - To have a custom location and a custom directory name, enter the absolute path to the custom location leading up to the custom directory name.  
  **Important:** If you are creating the OMS instance base directory (gc_inst) on an NFS-mounted drive, then after you install, move the lock files from the NFS-mounted drive to a local file system location. Modify the lock file location in the httpd.conf file to map to a location on a local file system. For instructions, refer to Section 5.1.4. |
| OLD_BASE_DIR | (Applicable only for 1-system upgrade) Enter the base directory of the OMS you want to upgrade.  
  **Important:** If you are performing a 2-system upgrade, then comment out this variable. |
| ORACLE_HOSTNAME | (Applicable only for 2-system upgrade) By default, the fully qualified name of the host on which you have invoked the installer, is considered.  
  - To accept the default value, leave it blank.  
  - To install with a different host name, then enter another host name.  
  **Important:** If you are performing a 1-system upgrade, then comment out this variable. |
| ONE_SYSTEM | By default, this variable is set to true, which indicates that you are performing a 1-system upgrade.  
  - If you are indeed performing a 1-system upgrade, retain this value.  
  - If you are performing a 2-system upgrade, then set it to false. |
| AGENT_BASE_DIR | (Applicable only for 2-system upgrade) Enter the absolute path to the agent base directory, a location outside the Oracle Middleware home where the Management Agent can be installed.  
  For example, u01/app/software/agent. Ensure that this location is empty and has write permission. Also ensure that it is always maintained outside the Oracle Middleware home.  
  **Important:** If you are performing a 1-system upgrade, then comment out this variable. |
OLD_DATABASE_CONNECTION_DESCRIPTION (Applicable only for 2-system upgrade)

By default, the connect string of the old Management Repository you entered in the Preupgrade Console is considered. However, if you had not entered there, then you can enter here in the following format:

- To connect using SID, enter the connect string in the following format:

  \( \text{DESCRIPTION = (ADDRESS\_LIST = (ADDRESS = (PROTOCOL = TCP)(HOST = <hostname>)(PORT = <port>)) (CONNECT\_DATA = (SID = <sid>)))} \)

  For example,

  \( \text{DESCRIPTION = (ADDRESS\_LIST = (ADDRESS = (PROTOCOL = TCP)(HOST = example.com)(PORT = 1521))) (CONNECT\_DATA = (SID = emrep)))} \)

- To connect using the service name, enter the connect string in the following format:

  \( \text{DESCRIPTION = (ADDRESS\_LIST = (ADDRESS = (PROTOCOL = TCP)(HOST = <hostname>)(PORT = <port>)) (CONNECT\_DATA = (SERVICE\_NAME = <service_name>)))} \)

  For example,

  \( \text{DESCRIPTION = (ADDRESS\_LIST = (ADDRESS = (PROTOCOL = TCP)(HOST = example.com)(PORT = 1521))) (CONNECT\_DATA = (SERVICE\_NAME = emrep)))} \)

The connect string is used to connect to the old Management Repository, check for accrued data, and seamlessly transfer it to the upgraded Management Repository. Accrued data refers to the data that was uploaded to the old Management Repository from the time it was backed up till the time it was upgraded.

**IMPORTANT:** Verify the value set to the GLOBAL_NAMES parameter on both the databases.

- If the GLOBAL_NAMES parameter is set to FALSE on both the databases, then ensure that you do not change this value until the upgrade is complete, and all the Management Agents are switched over.

- If the GLOBAL_NAMES parameter is set to TRUE on even one of the databases, and if both the databases have the same value for the GLOBAL_NAME parameter, then change the value of the GLOBAL_NAME parameter set on the new, cloned database to a value that is different from the one set on the old database.

**Important:** If you are performing a 1-system upgrade, then comment out this variable.

---

**Table A–1 (Cont.) Editing the Response File for Upgrading the OMS and the Management Repository in Silent Mode**

<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>By default, the connect string of the old Management Repository you entered in the Preupgrade Console is considered. However, if you had not entered there, then you can enter here in the following format:</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION (Applicable only for 2-system upgrade)</td>
<td></td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>- To connect using SID, enter the connect string in the following format:</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>( \text{DESCRIPTION = (ADDRESS_LIST = (ADDRESS = (PROTOCOL = TCP)(HOST = &lt;hostname&gt;)(PORT = &lt;port&gt;)) (CONNECT_DATA = (SID = &lt;sid&gt;)))} )</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>For example,</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>( \text{DESCRIPTION = (ADDRESS_LIST = (ADDRESS = (PROTOCOL = TCP)(HOST = example.com)(PORT = 1521))) (CONNECT_DATA = (SID = emrep)))} )</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>- To connect using the service name, enter the connect string in the following format:</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>( \text{DESCRIPTION = (ADDRESS_LIST = (ADDRESS = (PROTOCOL = TCP)(HOST = &lt;hostname&gt;)(PORT = &lt;port&gt;)) (CONNECT_DATA = (SERVICE_NAME = &lt;service_name&gt;)))} )</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>For example,</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>( \text{DESCRIPTION = (ADDRESS_LIST = (ADDRESS = (PROTOCOL = TCP)(HOST = example.com)(PORT = 1521))) (CONNECT_DATA = (SERVICE_NAME = emrep)))} )</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>The connect string is used to connect to the old Management Repository, check for accrued data, and seamlessly transfer it to the upgraded Management Repository. Accrued data refers to the data that was uploaded to the old Management Repository from the time it was backed up till the time it was upgraded.</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td><strong>IMPORTANT:</strong> Verify the value set to the GLOBAL_NAMES parameter on both the databases.</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>- If the GLOBAL_NAMES parameter is set to FALSE on both the databases, then ensure that you do not change this value until the upgrade is complete, and all the Management Agents are switched over.</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td>- If the GLOBAL_NAMES parameter is set to TRUE on even one of the databases, and if both the databases have the same value for the GLOBAL_NAME parameter, then change the value of the GLOBAL_NAME parameter set on the new, cloned database to a value that is different from the one set on the old database.</td>
</tr>
<tr>
<td>OLD_DATABASE_CONNECTION_DESCRIPTION</td>
<td><strong>Important:</strong> If you are performing a 1-system upgrade, then comment out this variable.</td>
</tr>
<tr>
<td>Variable</td>
<td>Description</td>
</tr>
<tr>
<td>----------</td>
<td>-------------</td>
</tr>
</tbody>
</table>
| OLD_DATABASE_SYSMAN_PASSWORD  
(Applicable only for 2-system upgrade) | Enter the SYSMAN password of the old Management Repository. The password is used to connect to the old Management Repository using the connect string entered either in the Preupgrade Console or in the previous variable; check for accrued data; and seamlessly transfer it to the upgraded Management Repository. Accrued data refers to the data that was uploaded to the old Management Repository from the time it was backed up till the time it was upgraded. **Important:** If you are performing a 1-system upgrade, then comment out this variable. |
| WLS_ADMIN_SERVER_USERNAME  
(Applicable only for 1-system upgrade of 10g Release 5 (10.2.0.5), and 2-system upgrade of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1)) | By default, weblogic is the name assigned to the default user account that is created for the Oracle WebLogic Domain.  
- To accept the default name, leave this variable blank.  
- To have a custom name, then enter the name of your choice.  
**Important:** If you are performing a 1-system upgrade of 11g Release 1 (11.1.0.1) or 12c Release 1 (12.1.0.1), or if you are upgrading an additional OMS from 10g Release 5 (10.2.0.5), 11g Release 1 (11.1.0.1), or 12c Release 1 (12.1.0.1), then comment out this variable. |
| WLS_ADMIN_SERVER_PASSWORD  
(Applicable only for 1-system upgrade of 10g Release 5 (10.2.0.5), and 2-system upgrade of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1)) | Enter a password for the WebLogic user account. Ensure that your password contains at least 8 characters without any spaces, begins with a letter, and includes at least one numeric value.  
**Important:** If you are performing a 1-system upgrade of 11g Release 1 (11.1.0.1) or 12c Release 1 (12.1.0.1), or if you are upgrading an additional OMS from 10g Release 5 (10.2.0.5), 11g Release 1 (11.1.0.1), or 12c Release 1 (12.1.0.1), then comment out this variable. |
| WLS_ADMIN_SERVER_CONFIRM_PASSWORD  
(Applicable only for 1-system upgrade of 10g Release 5 (10.2.0.5), and 2-system upgrade of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1)) | Confirm the password for the WebLogic user account.  
**Important:** If you are performing a 1-system upgrade of 11g Release 1 (11.1.0.1) or 12c Release 1 (12.1.0.1), or if you are upgrading an additional OMS from 10g Release 5 (10.2.0.5), 11g Release 1 (11.1.0.1), or 12c Release 1 (12.1.0.1), then comment out this variable. |
| NODE_MANAGER_PASSWORD  
(Applicable only for 1-system upgrade of 10g Release 5 (10.2.0.5), and 2-system upgrade of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1)) | By default, nodemanager is the name assigned to the default user account that is created for the node manager. Enter a password for this node manager user account. Ensure that your password contains at least 8 characters without any spaces, begins with a letter, and includes at least one numeric value.  
**Important:** If you are performing a 1-system upgrade of 11g Release 1 (11.1.0.1) or 12c Release 1 (12.1.0.1), or if you are upgrading an additional OMS from 10g Release 5 (10.2.0.5), 11g Release 1 (11.1.0.1), or 12c Release 1 (12.1.0.1), then comment out this variable. |
<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>NODE_MANAGER_CONFIRM_PASSWORD</strong>&lt;br&gt;(Applicable only for 1-system upgrade of 10g Release 5 (10.2.0.5), 2-system upgrade of 10g Release 5 (10.2.0.5) and 11g Release 1 (11.1.0.1))</td>
<td>Confirm the password for the node manager user account. <strong>Important:</strong> If you are performing a 1-system upgrade of 11g Release 1 (11.1.0.1) or 12c Release 1 (12.1.0.1), or if you are upgrading an additional OMS from 10g Release 5 (10.2.0.5), 11g Release 1 (11.1.0.1), or 12c Release 1 (12.1.0.1), then comment out this variable.</td>
</tr>
<tr>
<td><strong>WLS_ADMIN_SERVER_PASSWORD</strong>&lt;br&gt;(Applicable only for 1-system upgrade of 11g Release 1 (11.1.0.1) and 12c Release 1 (12.1.0.1))</td>
<td>Enter the password for the WebLogic user account you had created for the old Enterprise Manager system. <strong>Important:</strong> If you are upgrading 10g Release 5 (10.2.0.5), or if you are upgrading an additional OMS from 10g Release 5 (10.2.0.5), 11g Release 1 (11.1.0.1), or 12c Release 1 (12.1.0.1), then comment out this variable.</td>
</tr>
<tr>
<td><strong>ADMIN_SERVER_HOSTNAME</strong>&lt;br&gt;(Applicable only for 1-system upgrade)</td>
<td>Enter the host name of the AdminServer that is configured for the first OMS. This is required for creating a new WebLogic domain (GCDomain) for the upgraded OMS on the same host as the Admin Server used by the earlier release of the OMS you are upgrading. <strong>Important:</strong> If you are upgrading the first OMS of 10g Release 5 (10.2.0.5), then comment out this variable.</td>
</tr>
<tr>
<td><strong>ADMIN_SERVER_PORT</strong>&lt;br&gt;(Applicable only for 1-system upgrade)</td>
<td>Enter the port of the AdminServer that is configured for the first OMS. This is required for creating a new WebLogic domain (GCDomain) for the upgraded OMS on the same port as the Admin Server used by the earlier release of the OMS you are upgrading. <strong>Important:</strong> If you are upgrading the first OMS of 10g Release 5 (10.2.0.5), then comment out this variable.</td>
</tr>
</tbody>
</table>
| **WLS_ADMIN_SERVER_USERNAME**<br>(Applicable only for 1-system upgrade) | By default, `weblogic` is the name assigned to the default user account that is created for the Oracle WebLogic Domain.  
- To accept the default name, then leave it blank.  
- To have a custom name, then enter the name of your choice.  
**Important:** If you are upgrading the first OMS of 10g Release 5 (10.2.0.5), then comment out this variable. |
| **WLS_ADMIN_SERVER_PASSWORD**<br>(Applicable only for 1-system upgrade) | Enter a password for the WebLogic user account.  
Ensure that your password contains at least 8 characters without any spaces, begins with a letter, and includes at least one numeric value. **Important:** If you are upgrading the first OMS of 10g Release 5 (10.2.0.5), then comment out this variable. |
### Table A–1 (Cont.) Editing the Response File for Upgrading the OMS and the Management Repository in Silent Mode

<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
</table>
| JVM_DIAGNOSTICS_TABLESPACE_LOCATION | *(Applicable only if you are upgrading 10g Release 5 (10.2.0.5)) During upgrade, an additional tablespace called JVM diagnostics data tablespace is created for storing all JVM-related monitoring data. Enter the absolute path to a location where the data file (*mgmt_deepdive.dbf*) for this tablespace can be stored. Ensure that the entered path leads up to the file name. For example, */home/john/oradata/mgmt_deepdive.dbf*  
Important: If you are upgrading 11g Release 1 (11.1.0.1) or 12c Release 1 (12.1.0.1), then comment out this variable. |
| DATABASE_HOSTNAME | *(Applicable only for 2-system upgrade)* Enter the fully qualified name of the host where the existing database resides. For example, example.com  
If you are connecting to an Oracle RAC Database, and if the nodes have virtual host names, then enter the virtual host name of one of its nodes. The connection to the database is established with a connect string that is formed using only this virtual host name, and the installation ends successfully. However, if you want to update the connect string with other nodes of the cluster, then after the installation, do the following:  
1. Open the following file:  
   `<OMS_Instance_Home>/em/EMGC_OMS#/emgc.properties`  
2. Enter the other nodes for the variable `EM_RESPOS_CONNECTDECRIPTOR`.  
Important: If you are performing a 1-system upgrade, then comment out this variable. |
| LISTENER_PORT | *(Applicable only for 2-system upgrade)* Enter the listener port to connect to the existing database. For example, 1532  
Important: If you are performing a 1-system upgrade, then comment out this variable. |
| SERVICENAME_OR_SID | *(Applicable only for 2-system upgrade)* Enter the service name or the system ID (SID) of the existing database. For example, orcl  
Important: If you are performing a 1-system upgrade, then comment out this variable. |
| SYS_PASSWORD | Enter the SYS user account’s password. |
| SYSMAN_PASSWORD | Enter the SYSMAN user account’s password. |
| REPOSITORY_BACKUP_DONE | As a prerequisite, you must back up the Management Repository before you start upgrading your Enterprise Manager system. If you have taken a backup, then set this parameter to *TRUE*. Otherwise, set it to *FALSE*.  
Important: If you are performing a 2-system upgrade, then comment out this variable. |
PLUGIN_SELECTION

By default, mandatory plug-ins such as Oracle Database Management Plug-In, Oracle Fusion Middleware Management Plug-In, Oracle My Oracle Support Management Plug-In, and Oracle Exadata Management Plug-In get automatically installed when you upgrade your Enterprise Manager.

However, if you want to install any of the other optional plug-ins that are available in the software kit (DVD or downloaded software), then enter the names of those plug-ins for this variable. The plug-ins are available in the Disk1/plugins directory.

For example,

If you want to install 12.1.0.2.0_oracle.sysman.empa_2000_0.opar and 12.1.0.2.0_oracle.sysman.vt_2000_0.opar,

PLUGIN_SELECTION={"oracle.sysman.empa","oracle.sysman.vt"}

If you want to install any plug-in that is not available in the software kit, then do the following:

1. Manually download the plug-ins from the following URL, and store them in an accessible location.

2. Update this variable (PLUGIN_SELECTION) to the names of those plug-ins you downloaded.

3. Invoke the installer with the following option, and pass the location where you downloaded the plug-ins:

   ./runInstaller -pluginLocation <absolute_path_to_plugin_software_location>

You might have a plug-in version deployed to the earlier release of Enterprise Manager that is not supported in Enterprise Manager Cloud Control 12c Release 3 (12.1.0.3). In this case, when you invoke the installer with -pluginLocation argument, make sure you do NOT provide the software of the higher version of the unsupported plug-in even if the higher version is available for download. This ensures that the unsupported version is removed while upgrading to 12c Release 3 (12.1.0.3). After you upgrade, you can deploy the higher version directly from the Plug-In Manager.
Overview of the Notification System in Enterprise Manager Cloud Control

Note: This appendix is applicable only if you are upgrading from Enterprise Manager 10g Grid Control Release 5 (10.2.0.5) or Enterprise Manager 11g Grid Control Release 1 (11.1.0.1).

Prior to Enterprise Manager Cloud Control, the notification system notified administrators of target availability status changes, metric alerts, and status changes of job executions. In addition to notifying the administrators, the notification system performed actions such as running operating system commands, including scripts, and PL/SQL procedures when an alert was triggered.

This appendix describes how the notification system has changed significantly in Enterprise Manager Cloud Control. In particular, this appendix covers the following:

- About Events, Incidents, and Incident Rulesets
- Accessing Incident Rulesets
- Migrating Notification Rules to Incident Rulesets
- Working with Notification Methods and Actions

B.1 About Events, Incidents, and Incident Rulesets

In the earlier releases of Enterprise Manager, the notification system operated using Notification Rules, which were a set of predetermined rules or conditions indicating when a notification had to be sent and what actions had to be taken.

In Enterprise Manager Cloud Control, this concept of Notification Rules has been enhanced and subsumed into a much larger and newer concept called Incident Rulesets.

Before discussing Incident Rulesets, understand the concept of Events and Incidents.

- Events are significant and interesting occurrences in your managed environment. Target availability status changes, metric alerts, and status changes of job executions are examples of the types of events that Enterprise Manager detects. Users may be interested in getting notified about these events and responding accordingly to resolve the underlying issues. By formalizing the concept of events, Enterprise Manager extends the monitoring functionality to additional occurrences in the enterprise that might be of interest, such as metric evaluation errors or compliance standard violations.
Incidents are a subset of related events that may indicate potential or actual disruption to the dependent IT services, and require attention from an administrator or a team. Incidents can be created for such events, which can then be assigned to an administrator and tracked to completion.

Incident Ruleset is a set of rules that typically operate on a set of targets, and include individual rules to notify appropriate personnel and take appropriate action on events, incidents, and problems.

A rule can operate on events to send notifications as well as create incidents. It can also operate on specific incidents, so you can auto-assign, prioritize, or even escalate them via time-based conditions.

Incident Rulesets are further classified into enterprise and private rulesets.

- Enterprise Rulesets are used to automate and enforce enterprise level behavior such as notifying one or more users, sending notifications using advanced notification methods, raising tickets in external ticketing systems, creating and updating incidents. Only administrators with the Create Enterprise Ruleset privilege can create these rulesets.

- Private Rulesets are useful for administrators to e-mail themselves about specific changes. They can be created by any administrator and can only be used to send e-mails to the owner of the ruleset.

All Incident Rulesets can be edited by the owner of the ruleset and any super administrator. In addition, the owners can designate other users as coauthors of Enterprise Rulesets. This enables shared management of the ruleset.

**Note:** For more information about events, incidents, and incident rulesets, see the Oracle Enterprise Manager Cloud Control Administrator’s Guide.

### B.2 Accessing Incident Rulesets

To view all Incident Rulesets, in Enterprise Manager Cloud Control, from the Setup menu, click Incidents, and then, select Incident Rules. Figure B–1 shows the Incident Ruleset page:
B.3 Migrating Notification Rules to Incident Rulesets

During upgrade, all Notification Rules created in the earlier release of Enterprise Manager are automatically migrated to corresponding Incident Rulesets that act on the targets originally defined in the Notification Rule.

The following Notification Rules are migrated to Enterprise Rulesets:

- Notification Rules that send e-mails to owners who have not created the rules
- Notification Rules that invoke an advanced notification method

The following Notification Rules are migrated to Private Rulesets:

- Notification Rules that have no actions.
- Notification Rules that send e-mails to owners who have created the rules.

Each of the three possible criteria—availability, metrics, jobs—defined in an old Notification Rule are migrated to three individual rules that operate on appropriate events. The action defined at the Notification Rule level is copied over to each of these rules.

One exception is that the concept of Policy Violations is being withdrawn in Enterprise Manager Cloud Control, and therefore, all policy-specific criteria are ignored and none of the rules that solely operate on policies are migrated.

The owners of Notification Rules, which were created in the earlier release of Enterprise Manager, become the owners of the corresponding Incident Rulesets in Enterprise Manager Cloud Control. These owners can edit the Enterprise Rulesets and Private...
Rulesets. In addition, all administrators can create Private Rulesets to send e-mails to themselves.

Going forward, the super administrators should determine which administrators can create Enterprise Rulesets by granting the Create Enterprise Ruleset resource privilege.

**Note:** For situations where the target type modeling has changed in Enterprise Manager Cloud Control, you must manually adjust the rules as described in Section 12.5.7.

### B.4 Working with Notification Methods and Actions

Notification methods and actions defined in earlier releases of Enterprise Manager will continue to work in Enterprise Manager Cloud Control, and the input to these original notification methods are backward compatible in Enterprise Manager Cloud Control. So if you had an OS command or a PL/SQL notification method that operated on metric alerts, you will continue to receive the same input after upgrade. However, all new notification methods you create in Enterprise Manager Cloud Control will accept input based on the new event and incident model.

**Note:** The OS commands, and the PL/SQL and SNMP Trap notification methods created in the pre-12c version will have the Event Compatible column set to No on the Notification Methods page. To access this page, from the Setup menu, select Notifications, then select Notification Methods.

**Note:** Oracle strongly recommends you to replace the Notification Methods created in the earlier releases of Enterprise Manager with the new Enterprise Manager Cloud Control-specific notification methods that operate on the new event model. With the next major release of Enterprise Manager Cloud Control, the older notification methods will no longer function. For instructions, see Oracle Enterprise Manager Cloud Control Administrator’s Guide.
Overview of the Metric Changes in Enterprise Manager Cloud Control

This appendix describes the changes to the metrics of the following targets:

- Oracle SOA Infrastructure
- Oracle SOA Composite
- Oracle Service Bus
- Oracle WebLogic Server
- JBoss Application Server
- Siebel Enterprise
- Siebel Server

C.1 Oracle SOA Infrastructure

The following are the changes to the metrics related to Oracle SOA Infrastructure:

- The old metric SOA Infrastructure - Service Engine Detail Metrics appears on the All Metrics page to show historical data. However, there is no further collection for this metric.

- The new metric SOA Infrastructure - Service Engine Detail Metrics has now moved under the target component type SOA Infrastructure Engine.

- In addition, the following metrics have been introduced:
  - Top SOA SQL Queries
  - Dehydration Store Tables

- In the Preupgrade Console, when you generate a health report, you might see metric collection errors for the new metrics. You can ignore these errors and proceed further. However, ensure that you provide the database credentials for this target as described in Chapter 12.

C.2 Oracle SOA Composite

The following are the changes to the metrics related to Oracle SOA Composite:

- The old metrics SOA Composite - Component Detail Metrics and SOA Composite - Services/References Detail Metrics appear on the All Metrics page to show historical data. However, there is no further collection for this metric.
- The new metric SOA Composite - Component Detail Metrics has now moved under the target component type SOA Composite Component.

- The new metric SOA Composite - Services/References Detail Metrics has now been divided into the following metrics:
  - SOA Composite Service Metric that appears under the target component type SOA Composite Service.
  - SOA Composite Reference Metric that appears under the target component type SOA Composite Reference.

C.3 Oracle Service Bus

- The old metric OSB Service Metrics appears on the All Metrics page to show historical data. However, there is no further collection for this metric.

- The new metric OSB Service Metrics has now been divided into the following metrics:
  - OSB Proxy Service Metrics that appears under the target component type OSB Proxy Service.
  - OSB Business Service Metrics that appears under the target component type OSB Business Service.

C.4 Oracle WebLogic Server

- The following configuration metrics are collected for the J2EE Application target, and not for the Oracle WebLogic Server target.
  - General
  - Web Services
  - Web Service Ports
  - JRF Web Services
  - JRF Web Services Ports
  - JRF Web Services Operations
  - JRF Web Services Policy References
  - Web Modules
  - EJB Modules

- The following configuration metrics are available for Oracle WebLogic Server version 7, 8, and 9 and higher:
  - General
  - Web Modules
  - EJB Modules

- The following configuration metrics are available for Oracle WebLogic Server version 9 and higher:
  - Web Services
  - Web Service Ports
The configuration metric *JDBC Datasource* is now available for all Oracle WebLogic Server targets.

- The data for JDBC Multi Datasource was earlier collected by the metric *JDBC Datasource*. Now the data is collected by the metric *JDBC Multi Datasource*.
- The data for JDBC Connection Pool was earlier collected by the metric *JDBC Connection Pool*. However, this metric is no longer available. All the data collected by that metric has now been moved to the metric *JDBC Datasource*.

These are configuration metrics, and therefore, they do not appear on the *All Metrics* page. Instead, they appear on the *Last Collected* page of the J2EE application.

### C.5 JBoss Application Server

In the earlier releases of Enterprise Manager, for monitoring JBoss Application Server, the Management Agent required some JAR files listed in the following file of the agent home:

```bash
$<AGENT_HOME>/sysman/config/classpath.lst
```

This file was populated during discovery, and the Management Agent had to restart to honor the JAR files location and append to its classpath.

In Enterprise Manager Cloud Control, the `classpath.lst` file is not used because there is a dynamic classloader that loads the classes specific to the target after discovery. So the Management Agent need not restart.

There is a new target instance property `Library Path` that is added to the target metadata. This property is needed by the monitoring logic to differentiate between the case of local and remote monitoring (target monitored by a local or remote agent).

In the case of local monitoring, this property is null.

In the case of remote monitoring, this property is set to the library path location you provide during discovery, which contains the JAR files required for discovering and monitoring JBoss.

During upgrade, ignore this error.

After upgrade, the monitored target status shows as down and no metrics are collected. So set the Library path instance property on the Monitoring Configuration page of the target. Set it to the JAR files location.

### C.6 Siebel Enterprise

All the metrics available for Siebel Enterprise target have been moved to Siebel Gateway target in Enterprise Manager Cloud Control. As a result, to view the ECM metrics, access the Siebel Gateway target.

### C.7 Siebel Server

In the earlier releases of Enterprise Manager, for SARM reports, event log, server process control, and workflow processes, you were required to set only the preferred credentials of the hosts on which Siebel Server and Siebel Gateway Server were running. However, in Enterprise Manager Cloud Control, for these features, you must set several other credentials, besides the host credentials. This section describes the different credentials you must set for various Siebel target types.

This section covers the following:
C.7.1 Setting Preferred Credentials for Siebel Server

For Siebel Server, set the following credentials:

<table>
<thead>
<tr>
<th>Credentials Type</th>
<th>Instructions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Host Preferred Credentials</td>
<td>To do so, in Cloud Control, from the Setup menu, select Security, and then, click Preferred Credentials. On the Preferred Credentials page, select Host and click Manage Preferred Credentials. On the Host Preferred Credentials page, set the operating system credentials of the host on which the Siebel Server is running.</td>
</tr>
<tr>
<td>Host Credentials for Siebel Server</td>
<td>To do so, in Cloud Control, from the Setup menu, select Security, and then, click Preferred Credentials. On the Preferred Credentials page, select Siebel Server and click Manage Preferred Credentials. On the Siebel Server Preferred Credentials page, in the Target Preferred Credentials section, select the credential set Host Credentials, and click Set to set the operating system credentials of the host on which the Siebel Server is running.</td>
</tr>
<tr>
<td>Server Manager Credentials for Siebel Server</td>
<td>To do so, in Cloud Control, from the Setup menu, select Security, and then, click Preferred Credentials. On the Preferred Credentials page, select Siebel Server and click Manage Preferred Credentials. On the Siebel Gateway Server Preferred Credentials page, in the Target Preferred Credentials section, select the credential set Server Manager Credentials, and click Set to set the server manager credentials.</td>
</tr>
</tbody>
</table>

C.7.2 Setting Preferred Credentials for Siebel Gateway Server

For Siebel Gateway Server, set the following credentials:

<table>
<thead>
<tr>
<th>Credentials Type</th>
<th>Instructions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Host Preferred Credentials</td>
<td>To do so, in Cloud Control, from the Setup menu, select Security, and then, click Preferred Credentials. On the Preferred Credentials page, select Host and click Manage Preferred Credentials. On the Host Preferred Credentials page, set the operating system credentials of the host on which the Siebel Gateway Server is running.</td>
</tr>
<tr>
<td>Host Credentials for Siebel Gateway Server</td>
<td>To do so, in Cloud Control, from the Setup menu, select Security, and then, click Preferred Credentials. On the Preferred Credentials page, select Siebel Gateway Server and click Manage Preferred Credentials. On the Siebel Gateway Server Preferred Credentials page, in the Target Preferred Credentials section, select the credential set Host Credentials, and click Set to set the operating system credentials of the host on which the Siebel Gateway Server is running.</td>
</tr>
<tr>
<td>Server Manager Credentials for Siebel Gateway Server</td>
<td>To do so, in Cloud Control, from the Setup menu, select Security, and then, click Preferred Credentials. On the Preferred Credentials page, select Siebel Gateway Server and click Manage Preferred Credentials. On the Siebel Gateway Server Preferred Credentials page, in the Target Preferred Credentials section, select the credential set Manage Preferred Credentials, and click Set to set the server manager credentials.</td>
</tr>
</tbody>
</table>

C.7.3 Setting Preferred Credentials for Siebel Database Repository

For Siebel Database Repository, set the Siebel DBStore Credentials.

To do so, in Cloud Control, from the Setup menu, select Security, and then, click Preferred Credentials. On the Preferred Credentials page, select Siebel Database Repository and click Manage Preferred Credentials. On the Siebel Database
Repository Preferred Credentials page, in the Target Preferred Credentials section, select the credential set **Siebel DBStore Credentials**, and click **Set** to set the credentials.
Identifying the Jobs That Will Not Run in Enterprise Manager for 2-System Upgrade Approach

This appendix describes how you can identify the jobs that will not run in the existing Enterprise Manager system and in the upgraded Enterprise Manager system.

- Identifying the Jobs That Will Not Run in the New, Upgraded Enterprise Manager System
- Identifying the Jobs That Will Not Run in the Existing Enterprise Manager System

D.1 Identifying the Jobs That Will Not Run in the New, Upgraded Enterprise Manager System

The following SQL query will help identify any jobs that are currently blocked from running if only certain Oracle Management Agents for their targets are migrated. Run the query in Enterprise Manager Cloud Control.

At the end of the query, is an optional query for modifications that can be used to give a list of Oracle Management Agents that need to be migrated.

For more information on the need for identifying jobs that will not run in the upgraded or new Enterprise Manager System, refer to Chapter 3, "Things to Know"

```
SET TRIMSPOOL ON
SET VERIFY   OFF
SET LINESIZE 80
SET PAGESIZE 500

PROMPT ======================          
PROMPT Valid on "new" 12.1 EM          
PROMPT ======================          
PROMPT List jobs that will not be run on either system  
PROMPT due to a partially migrated target list  
PROMPT ======================          

WITH
  -- list of migrated targets
migrated_targets AS
  (  
    SELECT   target_guid
    FROM     EM_CURRENT_AVAILABILITY
  )
  
```
WHERE current_status = 4 -- G_STATUS_UNREACHABLE
AND current_sub_status = 1 -- G_SUB_STATUS_UNMIGRATED
),
-- list of job related migrated targets
migrate_job_targets AS
( SELECT job_id, execution_id, target_guid
FROM MGMT$JOB_EXECUTION_HISTORY JOIN
migrated_targets USING(target_guid)
WHERE STATE_CYCLE NOT IN ('FINISHED', 'RUNNING')
),
-- list of jobs against the migrate job targets
effected_jobs AS
( SELECT count(1) migrated_target_count, job_id, execution_id
FROM migrate_job_targets
GROUP BY job_id, execution_id
),
-- list of jobs with some unmigrated targets and some migrate targets
partly_migrated_jobs AS
( SELECT je.job_id,
ej.execution_id,
ej.job_name,
ej.job_owner,
ej.job_type,
ej.target_name,
ej.target_type,
ej.target_guid
FROM MGMT$JOB_EXECUTION_HISTORY je,
effected_jobs ej
WHERE je.job_id = ej.job_id
AND je.execution_id = ej.execution_id
AND target_guid NOT IN
{ SELECT target_guid
FROM migrate_job_targets
}
)
-- list jobs, targets and agents
SELECT job_name, target_name, target_type
FROM partly_migrated_jobs
ORDER BY job_name, target_type, target_name;

/*
Could change the last select to
SELECT DISTINCT job_name, job_owner
or
SELECT DISTINCT target_guid

to get the distinct list of jobs or target guids.

or
SELECT DISTINCT emd_url
FROM partly_migrated_jobs JOIN
MGMT_TARGETS USING (target_guid)

to get the distinct list of agents
*/
D.2 Identifying the Jobs That Will Not Run in the Existing Enterprise Manager System

The following SQL query will help identify any jobs that are currently blocked from running if only certain Oracle Management Agents for their targets are migrated. Run the query in Enterprise Manager Cloud Control.

At the end of the query, is an optional query for modifications that can be used to give a list of Oracle Management Agents that need to be migrated.

For more information on the need for identifying jobs that will not run in the existing Enterprise Manager System, refer to Chapter 3, "Things to Know"

```
SET TRIMSPOOL ON
SET VERIFY   OFF
SET LINESIZE  80
SET PAGESIZE 500

PROMPT ======================  
PROMPT Valid on 'original' EM  
PROMPT ======================  
PROMPT List jobs that will not be run on either system  
PROMPT due to a partially migrated target list  
PROMPT ======================  
PROMPT Enter a quoted, comma separated list of agent guids about to be migrated  
PROMPT OR any quoted character to list currently stuck jobs  
PROMPT ======================  

WITH  
  -- list of targets the user is about to migrate  
migrating_targets AS  
  (SELECT   target_name, target_type, target_guid  
   FROM     MGMT_TARGETS t  
   WHERE    t.emd_url IN ( SELECT emd_url  
                           FROM   MGMT_TARGETS  
                           WHERE  target_guid IN (&p_agent_guid_list)  
                           AND    target_type = 'oracle_emd') --MGMT_GLOBAL.G_AGENT_  
   TARGET_TYPE )  
),  
  -- list of already migrated targets  
migrated_targets AS  
  (SELECT   target_name, target_type, target_guid  
   FROM     PRE_UPGC_TGT_SW  
   WHERE    STATUS  =  'AVAILABLE'  
   -- NOTE: neither system will monitor targets <> 'AVAILABLE'  
   -- How to treat them here?  
   -- For now, treat them as unmigrated  
   AND      emd_url IN ( SELECT emd_url  
                          FROM   PRE_UPGC_AGT_STAT_MGMT JOIN  
                          MGMT_TARGETS           USING(target_guid)  
                          WHERE  SWITCH_STATUS='STATUS_SUCCESS'  
                          OR     SWITCH_STATUS='STATUS_IN_PROGRESS')  
   ),  
  -- list of job related targets (either migrating or already migrated)  
migrate_job_targets AS  
  (SELECT   target_name, target_type, target_guid  
   FROM     MGMT_JOB  
   WHERE    target_guid IN (  
   SELECT   target_guid  
   FROM     MGMT_TARGETS  
   WHERE    target_type = 'oracle_emd'  
   AND      target_guid IN (&p_agent_guid_list)  
   AND      target_guid IN (migrating_targets.target_guid)  
   OR      target_guid IN (migrated_targets.target_guid)  
   )  

FROM   MGMT_JOB  
WHERE   target_guid IN (migrate_job_targets.target_guid)
```
IDENTIFYING THE JOBS THAT WILL NOT RUN IN THE EXISTING ENTERPRISE MANAGER SYSTEM

```
SELECT   -- use DISTINCT to cover target overlap case
  DISTINCT job_id, execution_id, target_guid
FROM     MGMT$JOB_EXECUTION_HISTORY JOIN
  ( SELECT target_guid FROM migrating_targets
    UNION ALL
    SELECT target_guid FROM migrated_targets
  ) USING(target_guid)
WHERE    status NOT IN ('Error',
                         'Failed',
                         'Succeeded',
                         'Skipped',
                         'Stopped')
),
-- list of jobs against the migrate job targets
  effected_jobs AS
  ( SELECT  count(1) migrated_target_count, job_id, execution_id
    FROM    migrate_job_targets
    GROUP BY job_id, execution_id
  ),
-- list of jobs with some unmigrated targets and some migrate targets
  partly_migrated_jobs AS
  ( SELECT je.job_id,
         je.execution_id,
         je.job_name,
         je.job_owner,
         je.job_type,
         je.target_name,
         je.target_type,
         je.target_guid
    FROM   MGMT$JOB_EXECUTION_HISTORY je,
            effected_jobs              ej
    WHERE  je.job_id       = ej.job_id
           AND  je.execution_id = ej.execution_id
           AND  target_guid     NOT IN
                 ( SELECT target_guid
                   FROM   migrate_job_targets
                 )
  )
-- list jobs, targets and agents
SELECT job_name, target_name, target_type
FROM   partly_migrated_jobs
ORDER BY job_name, target_type, target_name;

/*
Could change the last select to
SELECT DISTINCT job_name, job_owner
or
SELECT DISTINCT target_guid

to get the distinct list of jobs or target guids.

or

SELECT DISTINCT emd_url
FROM   partly_migrated_jobs JOIN
        MGMT_TARGETS         USING (target_guid)

to get the distinct list of agents
*/
```
/*
to test already migrated target part, do
update PRE_UPGC_AGT_STAT_MGMT set SWITCH_STATUS = 'STATUS_SUCCESS' where target_guid = (select target_guid from mgmt_targets where target_type = 'oracle_emd' and emd_url = (select emd_url from mgmt_targets where target_name = 'db.us.oracle.com'));

but DO NOT COMMIT
rollback when done.
*/
Updating Server Load Balancer Configuration Settings

If you have a Server Load Balancer (SLB) configured, make the changes described in Table E–1 to your monitors.

**Note:** The ports mentioned in Table E–1 are default ports used for all SLB monitors. However, if you use non-default ports, then make sure you adjust the SLB configuration accordingly.

<table>
<thead>
<tr>
<th>Monitor Name</th>
<th>Configuration</th>
<th>Send String</th>
<th>Receive String</th>
<th>Associated With</th>
</tr>
</thead>
<tbody>
<tr>
<td>mon_gcsu1159</td>
<td>http</td>
<td>60</td>
<td>181</td>
<td>GET /empbs/upload</td>
</tr>
<tr>
<td>mon_gcar4889</td>
<td>http</td>
<td>60</td>
<td>181</td>
<td>GET /empbs/genwallet</td>
</tr>
<tr>
<td>mon_gcsc7799</td>
<td>http</td>
<td>5</td>
<td>16</td>
<td>GET /em/consoleStatus.jsp</td>
</tr>
<tr>
<td>mon_gcu7788 (optional)</td>
<td>http</td>
<td>5</td>
<td>16</td>
<td>GET /em/consoleStatus.jsp</td>
</tr>
</tbody>
</table>

**Note:** Some Load Balancers require <CR><LF> characters to be added explicitly to the Send String using literal “\r\n”. This is vendor-specific. Refer to your SLB documentation for details.
When you provide the agent credentials, you can choose to enter the preferred credentials registered with the Enterprise Manager system. However, ensure that the credentials were registered using EM CLI.

- To register the credentials as preferred credentials for one host at a time, run the following command on the host where the Management Agent is running:

  ```bash
  emcli set_credential -target_type=host -target_name="<host_name>" -credential_set=OHCreds -column="OHUsername:<em_job_user>;OHPassword:<em_job_pwd>" -oracle_homes="<agent_home>"
  ```

  For example,

  ```bash
  emcli set_credential -target_type=host -target_name="example.com" -credential_set=OHCreds -column="OHUsername:myuser;OHPassword:2bornot2b" -oracle_homes="/home/john/programs/EM/agent10g"
  ```

- To register the credentials as default preferred credentials for all hosts at a time, run the following command on one of the hosts where the Management Agent is running. Ensure that the user is a shared user on all the hosts.

  ```bash
  emcli set_credential -target_type=host -credential_set=OHCreds -column="OHUsername:<em_job_user>;OHPassword:<em_job_pwd>" -oracle_homes="<agent_home>"
  ```

  For example,

  ```bash
  emcli set_credential -target_type=host -credential_set=OHCreds -column="OHUsername:myuser;OHPassword:2bornot2b"
  ```

**Note:** To run these commands, you must have the EM CLI Client installed on the hosts. If you do not have EM CLI, install one following the instructions outlined in *Oracle Enterprise Manager Command Line Interface Guide.*
Searching and Adding Oracle Management Agents

To search and add Oracle Management Agents (Management Agent) on the Deploy and Configure Agents page, Generate Health Report of Deployed Agents page, and on the Switch Agents page, follow these steps:

- To search Management Agents, select the platform and the version of the Management Agents you are searching for, from the Platform and Version lists, respectively. Then, click Search.

  Alternatively, if you know the name of the Management Agent, then enter the name in Agent, and click Search. If you have created a logical group of Management Agents in Enterprise Manager Grid Control, and if you want to list the Management Agents of that group, then from the Group list, select the name of that group, and click Search.

- The search results in a list of Management Agents discovered in your environment. If you do not want to deploy the software now for some of the Management Agents, then select those Management Agents and click Remove.

- In case the search result does not list the Management Agents you are searching for, then click Add and manually add the Management Agents of your choice.

- The Management Agent you have added might be part of multiple groups (an Enterprise Manager group), systems (an Enterprise Manager system), or clusters of Management Agents, or it might be associated with multiple shared Management Agents.

  In such cases, if you want to select and add the Management Agents of all the groups and clusters, and also the associated shared Management Agents, then click Add Related Agents.

**Note:** If you are adding a Shared Agent, then ensure that you add the Master Agent to which it communicates, and all the Shared Agents that communicate with this Master Agent.
Securing Oracle Management Agents After Backing Up Oracle Management Repository

Before you deploy and configure Oracle Management Agents 12c (Management Agent) as described in Section 10.1, you must ensure that the old Management Agents remain in the same mode as they were before the Management Repository was backed up. In other words, if your old Management Agents were running in secure (or unsecure) mode before the Management Repository was backed up, then they must continue to run in the same mode while you deploy and configure the new Management Agents for them.

Do not resecure the Management Agents after backing up the Management Repository. If you do so, the ping test might fail while performing the healthcheck because of a mismatch between the configuration stored in the repository and the actual configuration of the Management Agent. You will see the following KEY_MISMATCH error in the gcagent.log file.

```
gcagent.log:2011-09-28 05:41:46,192 [82:B5BF5C12:GC.Executor.1 (Ping OMS)] WARN - Received response status KEY_MISMATCH
gcagent.log:2011-09-28 05:42:16,231 [89:D485F18E:GC.Executor.5 (Ping OMS)] WARN - Received response status KEY_MISMATCH
```

If you still want to resecure the Management Agents for some reason, then follow these steps:

1. Disable the health check by running the following SQL query against the old Management Repository.

   ```sql
   BEGIN PRE_UPG_UTIL.set_param('bypass_hc', '1'); END;
   / commit;
   ```

2. Switch over the Management Agent as described in Section 10.4.

3. Secure the new Management Agents.

   ```
   $<AGENT_INSTANCE_DIR>/bin/emctl secure agent
   ```

4. Start the new Management Agents.

   ```
   $<AGENT_INSTANCE_DIR>/bin/emctl start agent
   ```

5. Enable the health check.

   ```sql
   BEGIN PRE_UPG_UTIL.set_param('bypass_hc', '0'); END;
   / commit;
   ```
Recovering Database 11.1.0.7/10.2.0.5 from Microsoft Windows 32 to Microsoft Windows 64

This appendix is based on note:

How To Change Oracle 11g Wordsize from 32-bit to 64-bit. [ID 548978.1]

For information on repository recovery, refer to How To Change Oracle 11g Wordsize from 32-bit to 64-bit [ID 548978.1].

In case of some olap data in win32, at the end of step 21., follow the steps mentioned in Note [ID 386990.1].

This appendix contains the following sections:

- On Source Host (Microsoft Windows 32)
- On Destination Host (Microsoft Windows 64)
- Final Steps

I.1 On Source Host (Microsoft Windows 32)

To recover Database 11.1.0.7/10.2.0.5 from Microsoft Windows 32 to Microsoft Windows 64, on source host follow these steps:

1. Go to DB Oracle Home <DB_HOME>/bin/sqlplus /NOLOG
2. Run the following command:
   
   SQL> CONNECT / AS SYSDBA :

   **Note:** If you are using 10.2.0.5 Database, go to <DB HOME>/admin/<SID>/udump and make a backup of this in your local system.

3. Run the following command:

   SQL> ALTER DATABASE BACKUP CONTROLFILE TO TRACE;
4. Perform a full offline database backup (optional).

**Note:** If you are using 10.2.0.5 Database, run the following command:

```sql
<OMS_HOME>/bin
emctl config emkey -copy_to_repos -sysman_pwd <sysman_password>
```

For example:

```bash
C:\Oracle\Middleware\oms\BIN>emctl config emkey -copy_to_repos -sysman_pwd Welcome1
```

**Note:** The **STARTUP** command should also be run after Step 6 on the destination host.

5. Run the following command:

```sql
SQL> SHUTDOWN IMMEDIATE;
STARTUP;
```

**Note:** The **STARTUP** command should also be run after Step 6 on the destination host.

### I.2 On Destination Host (Microsoft Windows 64)

To recover Database 11.1.0.7/10.2.0.5 from Microsoft Windows 32 to Microsoft Windows 64, on the destination host follow these steps:

1. Install only the software on the computer.
2. Copy the entire `<DB_Base>`\oradata directory from Microsoft Windows 32 to `<DB_Base>` directory.

3. Run the following command:
   
   `<DB_HOME>`\bin\oradim -new -sid `<SID>` -maxusers `<no. of users>` -startmode auto -pfile `<DB_HOME>`\database\init`<SID>`\.ora

   **Note:** MAXUSERS may not work with Database 10.2.0.5

4. Copy the entire `<DB_Base>`\admin directory from win32 to the `<DB_Base>` directory.

5. Copy the entire `<DB_Base>`\fast_recovery_area directory from win32 to the `<DB_Base>` directory of win64.

6. Copy `<DBHOME>`\database`\*.* files from win32 to `<DBHOME>`\database` of win64.

   **Note:** If you are using Database 10.2.0.5, copy `<DB_HOME>`\dbs\SPFILE`<SID>`\.ORA from win32 to the same location on win64. An example of this file is as follows:

   C:\DB\db\dbs\SPFILEORCL.ORA

   **Note:** If you cannot find sqlplus inside this, then go to `<DB_HOME>`\bin

7. Set the following environment variables:
   - `set ORACLE_HOME=`<DB_home>`
   - `set ORACLE_SID=`<SID>`

8. Go to the 64-bit `<DB_HOME>`\rdbms\admin directory.

   **Note:** If you cannot find sqlplus inside this, then go to `<DB_HOME>`\bin

9. Run the following command:

   sqlplus /NOLOG

10. Connect /as sysdba.
11. Run the following command:

    SQL> create pfile from spfile;

**Note:** If you are using Database 10.2.0.5, run the following command:

    create pfile='<DB_HOME>\database\init<SID>.ora' from SPFILE='<DB_HOME>\dbs\SPFILE<SID>.ORA';

    For example:
    create pfile='C:\DB\db\database\initorcl.ora' from SPFILE='C:\DB\db\dbs\SPFILEORCL.ORA';

**Note:** If you are using Database 11.1.0.7, run the following command:

    create pfile='<DB_HOME>\database\init<SID>.ora' from SPFILE='<DB_HOME>\database\SPFILE<SID>.ORA';

    For example:
    create pfile='C:\DB\db\database\initorcl.ora' from SPFILE='C:\DB\db\database\SPFILEORCL.ORA';

12. Edit <DB_HOME>\database\init<SID>.ora by adding _SYSTEM_TRIG_ENABLED=FALSE.

13. Run the following command:

    SQL> create spfile from pfile

    The spfile gets created in the same directory.

14. Run the following command:

    SQL> startup nomount

    The Instance starts as nomount.

**Note:** You may receive a failure message as follows:

    ORA-00119: invalid specification for system parameter LOCAL_LISTENER

    ORA-00132: syntax error or unresolved network name '<listener name>'

    To correct this you may need to edit pfile, spfile;

    To edit the pfile and spfile, open '<DB_HOME>\database\init<SID>.ora' and delete the following line:

    LOCAL_LISTENER

    Next, run the following command:

    SQL> create spfile from pfile

15. Go to the file <sid>_ora_xx.trc as identified in 3. of Microsoft Windows 32. Steps 15, 16 and 17 are to be carried out from this file. For your reference, example of these steps are as follows:
SQL > CREATE CONTROLFILE REUSE DATABASE "ORCL" NORESETLOGS NOARCHIVELOG MAXLOGFILES 16 MAXLOGMEMBERS 3 MAXDATAFILES 100 MAXINSTANCES 8 MAXLOGHISTORY 292
LOGFILE
  GROUP 1 'C:\DB\ORADATA\ORCL\REDO01.LOG'  SIZE 50M BLOCKSIZE 512,
  GROUP 2 'C:\DB\ORADATA\ORCL\REDO02.LOG'  SIZE 50M BLOCKSIZE 512,
  GROUP 3 'C:\DB\ORADATA\ORCL\REDO03.LOG'  SIZE 50M BLOCKSIZE 512
DATAFILE
  'C:\DB\ORADATA\ORCL\SYSTEM01.DBF',
  'C:\DB\ORADATA\ORCL\SYSAUX01.DBF',
  'C:\DB\ORADATA\ORCL\UNDOTBS01.DBF',
  'C:\DB\ORADATA\ORCL\USERS01.DBF',
  'C:\DB\ORADATA\ORCL\MGMT_ECM_DEPOT1.DBF',
  'C:\DB\ORADATA\ORCL\MGMT.DBF',
  'C:\DB\ORADATA\ORCL\mgmt_deepdive.dbf'
CHARACTER SET WE8MSWIN1252;

**Note:** In case you find an error here, try the following command:

```
SQL> RECOVER DATABASE;
```

---

16. Run the following command:

```
SQL>ALTER DATABASE OPEN;
```

17. Run the following command:

```
SQL>ALTER TABLESPACE TEMP ADD TEMPFILE 'C:\DB\ORADATA\ORCL\TEMP01.DBF'
SIZE 20971520 REUSE AUTOEXTEND ON NEXT 655360 MAXSIZE 32767M;
```

This command updates the temp table space.

18. Run the following command:

```
SQL> SHUTDOWN IMMEDIATE;
```

19. Run the following command:

```
SQL> startup upgrade;
```

20. Run the following command:

```
SQL> SPOOL mig32-64.log;
```

21. Run the following command:

```
SQL> SET ECHO ON;
```

22. Run the following command:

```
SQL> @utlrirp.sql;
```
23. Run the following command:
   SQL> spool off;

24. Run the following command:
   SQL> shutdown immediate;

25. Run the following command:
   SQL> startup

26. Run the following script. To run the script paste it in SQL>prompt and press Enter.

   begin
   update obj$ set status=5 where obj#=(select obj# from obj$,javasnm$
   where owner#=0 and type#=29 and short(+)=name and
   nvl(longdbcs,name)=‘oracle/aurora/rdbms/Compiler’);
   commit;
   declare
   cursor C1 is select
   ‘DROP JAVA DATA ‘ || u.name || ‘.’ || o.name || ‘‘’
   from obj$ o,user$ u where o.type#=56 and u.user#=o.owner#;
   ddl_statement varchar2(200);
   iterations number;
   previous_iterations number;
   loop_count number;
   my_err number;
   begin
   previous_iterations := 1000000;
   loop
   -- To make sure we eventually stop, pick a max number of iterations
   select count(*) into iterations from obj$ where type#=56;
   exit when iterations=0 or iterations >= previous_iterations;
   previous_iterations := iterations;
   loop_count := 0;
   open C1;
   loop
   begin
   fetch C1 into ddl_statement;
   exit when C1%NOTFOUND or loop_count > iterations;
   exception when others then
   my_err := sqlcode;
   if my_err = -1555 then -- snapshot too old, re-execute fetch query
   exit;
   else
   raise;
   end if;
   end;
   initjvmaux.exec(ddl_statement);
   loop_count := loop_count + 1;
   end loop;
   -- Note: If you are using sqlplus from <DB_HOME>\bin\ then run the following command:
   SQL> @ <DB_HOME>\RDBMS\ADMIN\utlirp.sql
   or
   SQL> @ ?/RDBMS/ADMIN?utlirp.sql
On Destination Host (Microsoft Windows 64)

Recovering Database 11.1.0.7/10.2.0.5 from Microsoft Windows 32 to Microsoft Windows 64

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close C1;
end loop;
end;
commit;
initjvmaux.drp('delete from java$policy$shared$table');
update obj$ set status=1 where obj#=(select obj# from obj$,javasnm$
    where owner#=0 and type#=29 and short(+)='name and
    nvl(longdbcs,name)='oracle/aurora/rdbms/Compiler');
commit;
end;
/
create or replace java system;
/

27. Run the following command:

SQL> @utlrp.sql

---

Note: If you are using sqlplus from <DB_HOME>\bin\ then, run
the following command:

SQL> @ <DB_HOME>\RDBMS\ADMIN\utlrp.sql

or

SQL> @ ?/RDBMS/ADMIN?utlrp.sql

---

If it succeeds, then continue to 28. Else, if you receive an error, do the following:

a. Run the following command:

SQL> alter system reset "_system_trig_enabled" scope = spfile;

If you see errors, ignore them and continue to the next step.

b. Remove olap by running the following commands:

SQL> @?/olap/admin/catnoamd.sql

---

Note: If you get an error related to files not found then, for each of
those files, use the following command:

SQL>@C:\DB\db\olap\admin\<filename>.sql;

However, even if you receive errors, you can just continue.

---

SQL> @?/olap/admin/catnoaps.sql
SQL> @?/olap/admin/catnoaps.sql
SQL> @?/olap/admin/olapidrp.plb

c. Add olap by running the following commands:

SQL> connect SYS as SYSDBA
SQL> spool olap.log
SQL> @?/olap/admin/olap.sql SYSAUX TEMP;

d. Reenter the following command:

SQL> @utlrp.sql
Note: If you are using sqlplus from <DB_HOME>\bin\ then, run the following command:

SQL> @ <DB_HOME>\RDBMS\ADMIN\utlrp.sql
or

SQL> @ ?/RDBMS/ADMIN?utlrp.sql

E. Check for the following (optional):
- Invalid OLAPSYS objects, by running the following command:

SQL> select owner, object_name, object_type, status from dba_objects where status = 'INVALID' and OWNER = 'OLAPSYS';

- OLAP components in the DBA_REGISTRY, by running the following commands:

SQL> column comp_name format a35
SQL> SELECT comp_name, status, substr(version,1,10) as version from dba_registry;
SQL> Spool Off

28. Delete the line _SYSTEM_TRIG_ENABLED(FALSE in init<SID>.ora in step 11 and run the following commands:

SQL> ALTER SYSTEM RESET "_system_trig_enabled" SCOPE=SPFILE sid='*';
commit;
shutdown immediate;
startup;

I.3 Final Steps

To finalize the process, do the following:

I.3.1 Microsoft Windows 32

On Microsoft Windows 32 computer, do the following:

1. Go to <DB_HOME>\bin.
   sqlplus */as sysdba
   SQL> startup;

2. Run the following command:
   <OMS_HOME>\bin>emctl config emkey -remove_from_repos
   For example:
   C:\Oracle\Middleware\oms\BIN>emctl config emkey -remove_from_repos

3. Go to <DB_HOME>\bin.
   sqlplus */as sysdba
   shutdown immediate;
   startup;
### I.3.2 Microsoft Windows 64

On Microsoft Windows 64 computer, do the following:

1. Start the listener, by running the following command:

   ```bash
   <DB_HOME>/bin/listener.exe start
   ```

   Alternatively, you can start the listener, by running the following command:

   ```bash
   <DB_HOME>/bin/netca
   ```

   This can be done before or after recovery.

2. If the listener does not start, perform the following commands with `<SID>`:

   ```bash
   <DB_HOME>/bin/sqlplus /as sysdba
   SQL>alter system register;
   SQL>commit;
   SQL>shutdown immediate;
   SQL>startup;
   ```

### I.3.3 Troubleshooting

If you still face any issues, follow these steps:

---

**Note:** These steps have been tested with Database 10.2.0.5.

---

1. Run the following command:

   ```bash
   <DB_HOME>/BIN/orapwd file=<DB_HOME>/database/PWDorcl.ora
   password=<enter_password> entries=30 FORCE=Y
   ```

2. You may need to add an entry in `tnsnames.ora`. An example of the entry is as follows:

   ```
   ORCL =
   (DESCRIPTION =
    (ADDRESS = (PROTOCOL = TCP)(HOST = adc2111519.us.oracle.com)(PORT = 1521))
    (CONNECT_DATA =
     (SERVER = DEDICATED)
     (SERVICE_NAME = orcl.us.oracle.com)
    )
   )
   ```

   Where, `orcl` is the SID.

3. Bounce the database from SQLPlus by running the following commands:

   ```bash
   <DB_HOME>/bin/sqlplus /as sysdba
   SQL>shutdown immediate;
   SQL>startup;
   ```

4. Bounce the listener by running the following commands:

   ```bash
   <DB_HOME>/bin\listener.exe stop
   <DB_HOME>/bin\listener.exe start
   ```
<DB_HOME>\bin\listener.exe status (to be repeated till you see the service name)
Deleting the Old OMS Home

This chapter describes how you can delete the old OMS home after upgrading from 12c Release 1 (12.1.0.1) [with Bundle Patch 1] to 12c Release 3 (12.1.0.3). In particular, this chapter covers the following:

- Deinstalling in Graphical Mode
- Deinstalling in Silent Mode

J.1 Deinstalling in Graphical Mode

To deinstall the old OMS home in graphical mode, follow these steps:

**IMPORTANT:**

1. Deinstall the components in the order described in this procedure. Otherwise, the installation wizard displays an error.
2. Ensure that you do NOT remove the Management Agent that is present in the old middleware home.
   For information on moving the agent base directory of this Management Agent (that is, the central agent) outside the old Oracle Middleware home, refer the My Oracle Support note 1520010.1 available at the following URL:
   https://support.us.oracle.com/oip/faces/secure/km/DocumentDisplay.jspx?id=1520010.1

**Note:**

- You can invoke the installer even from the directory where you downloaded the software. For example, `<software_location>/`
- The `-invPtrLoc` parameter is supported only on UNIX platforms, and not on Microsoft Windows platforms.
- When you run `runInstaller -help`, you will see the option `-nowarningonremovefiles` listed. This option is currently not supported and has no effect even if you use it.

To deinstall the old OMS home in graphical mode, follow these steps:

1. Invoke the installer from the OMS home by running the following command:

   `$<OMS_HOME>/oui/bin/runInstaller -deinstall [-removeAllFiles -invPtrLoc <absolute_path_to_oraInst.loc>]`


Deinstalling in Graphical Mode

2. On the Inventory screen, select only the OMS-related plug-in homes, and click Remove.

Caution: Make sure you have selected only the OMS-related plug-in homes, and not any agent-related plug-ins.

3. On the Inventory screen, select the Java Development Kit (JDK) home, and click Remove.

Note: Deinstall JDK only if it was installed by the installation wizard while installing the Enterprise Manager system. Otherwise, you can skip this step.

Note: After deinstalling JDK, do NOT exit the installer. If you exit the installer inadvertently, then follow these steps:

1. Manually download and install JDK 1.6.0.43.0 on the OMS host. If you already have this supported version, then you can reuse it.

2. Invoke the installer again and pass the absolute path to the location where you have JDK:

```
$<OMS_HOME>/oui/bin/runInstaller -deinstall -jreLoc <JDK_HOME> [-removeAllFiles] [-invPtrLoc <absolute_path_to_oraInst.loc>]
```

4. On the Inventory screen, select the Oracle WebTier home, and click Remove.

5. On the Inventory screen, select the following, and click Remove.
   - OMS home
   - Oracle Common directory

6. On the Inventory screen, click Close to exit the wizard.

Note: When you invoke runInstaller or setup.exe, if the Enterprise Manager Cloud Control Installation Wizard does not appear, then it is possible that you do not have read and write access to /stage, which a subdirectory in the Disk1 directory of the Enterprise Manager software.

There is a classpath variable that the installation wizard computes for OPatch as ../stage/Components/, and when the TEMP variable is set to /tmp, the installation wizard tries to look for the opatch JAR file in the /tmp/../stage directory, which is equivalent to /stage. However, if you do not have read and write permission on /stage, then the installation wizard can hang. Under such circumstances, verify if you have read and write access to the /stage directory. If you do not have, then set the TEMP variable to a location where the install user has access to, and then relaunch the installation wizard.
Deinstalling in Silent Mode

To deinstall the old OMS home in silent mode, follow these steps:

---

**IMPORTANT:**

1. Deinstall the plug-in homes:

   ```shell
   $<OMS_HOME>/oui/bin/runInstaller -deinstall -silent "REMOVE_HOMES={absolute_path_to_oms_plug-in_home}" ORACLE_HOME=<absolute_path_to_oms_home> [-removeAllFiles -invPtrLoc <absolute_path_to_oraInst.loc>]
   ```

   For example,
   ```shell
   $<OMS_HOME>/oui/bin/runInstaller -deinstall -silent "REMOVE_HOMES={/home/oracle/middleware/plugins/oracle.sysman.ssa.oms.plugin_12.1.0.2.0}" ORACLE_HOME=/home/oracle/middleware/oms [-removeAllFiles -invPtrLoc /home/oracle/oraInst.loc]
   ```

**Note:**

- You can invoke the installer even from the directory where you downloaded the software. For example, `<software_location>/`. If you invoke the installer from here, then do NOT pass `-removeAllFiles`.
- When you run `runInstaller -help`, you will see the option `-nowarningonremovefiles` listed. This option is currently not supported and has no effect even if you use it.
- To deinstall multiple plug-ins, enter the plug-in homes separated by a comma.
- The `-invPtrLoc` parameter is supported only on UNIX platforms, and not on Microsoft Windows platforms.

---

For example,

```shell
$<OMS_HOME>/oui/bin/runInstaller -deinstall -silent "REMOVE_HOMES=({/home/oracle/middleware/plugins/oracle.sysman.ssa.oms.plugin_12.1.0.2.0})" ORACLE_HOME=/home/oracle/middleware/oms -removeAllFiles -invPtrLoc /home/oracle/oraInst.loc
```

2. Deinstall the Java Development Kit (JDK) home:

   ```shell
   $<OMS_HOME>/oui/bin/runInstaller -deinstall -silent "REMOVE_HOMES={absolute_path_to_jdk_home}" ORACLE_HOME=<absolute_path_to_oms_home> [-removeAllFiles] [-invPtrLoc <absolute_path_to_oraInst.loc>]
   ```

   For example,
Deinstalling in Silent Mode

$<OMS_HOME>/oui/bin/runInstaller -deinstall -silent "REMOVE_HOMES={/home/oracle/middleware/jdk16}" ORACLE_HOME=/home/oracle/middleware/oms -removeAllFiles -invPtrLoc /home/oracle/oraInst.loc

**Note:** Deinstall JDK only if it was installed by the installation wizard while installing the Enterprise Manager system. Otherwise, you can skip this step.

3. Manually download and install JDK 1.6.0.43.0 on the OMS host. If you already have this supported version, then you can reuse it.

   You must reinstall JDK because the installer has a dependency on it. The new JDK can be installed anywhere on the OMS host, not necessarily in the same location where it existed before. However, ensure that you pass the `-jreLoc` parameter (as described in the following steps) while invoking the installer to indicate the location where you have installed the JDK.

4. Deinstall the Oracle WebTier home:

   $<OMS_HOME>/oui/bin/runInstaller -deinstall -silent "REMOVE_HOMES={absolute_path_to_web_tier}" -jreLoc <JDK_HOME> ORACLE_HOME=<absolute_path_to_oms_home> [-removeAllFiles] [-invPtrLoc <absolute_path_to_oraInst.loc>]

   For example,

   $<OMS_HOME>/oui/bin/runInstaller -deinstall -silent "REMOVE_HOMES={/home/oracle/middleware/Oracle_WT}" -jreLoc </home/oracle/jdk> ORACLE_HOME=/home/oracle/middleware/oms -removeAllFiles -invPtrLoc /home/oracle/oraInst.loc

5. Deinstall the OMS and the Oracle Common directory:

   $<OMS_HOME>/oui/bin/runInstaller -deinstall -silent "REMOVE_HOMES={absolute_path_to_oms_oracle_home_and_common_directory_to_be_deinstalled}" -jreLoc <JDK_HOME> ORACLE_HOME=<absolute_path_to_oms_home> [-removeAllFiles] [-invPtrLoc <absolute_path_to_oraInst.loc>]

   **Note:** The argument REMOVE_HOMES accepts more than one path separated by a comma.

   For example,

   $<OMS_HOME>/oui/bin/runInstaller -deinstall -silent "REMOVE_HOMES={/home/oracle/middleware/oms,/home/oracle/middleware/oracle_common}" -jreLoc </home/oracle/jdk> ORACLE_HOME=/home/oracle/middleware/oms -removeAllFiles -invPtrLoc /home/oracle/oraInst.loc
Correcting the Database Domain Name to Avoid or Resolve Database Link Error

If your database domain name has any characters other than A-Z (letters), 0-9 (numerals), _ (underscore), # (hash), $ (dollar),. (period), or @ (at the rate), then the Run Presync step of the switchover operation might fail, and you will see the following error in the emoms.trc trace file. For example, a database domain name with a hyphen (-) can result in this issue.

ORA-20000: Found exception Error Message :ORA-02083: database name has illegal character '-' Error Number ;-2083

This appendix describes how you can circumvent this error if you have not already started your upgrade, and how you can work around this error if you have already started the upgrade and if you are seeing an error on the Create Upgraded Oracle Management Repository Link page.

In particular, this section covers the following:

- Avoiding the Database Link Error Before Starting the Upgrade Process
- Resolving the Database Link Error That Appears While the Upgrade Process Is in Progress

K.1 Avoiding the Database Link Error Before Starting the Upgrade Process

To circumvent the database link issue, as a prerequisite, follow these steps:

1. Run the following SQL commands as SYS user on the old as well as the new Management Repository:
   a. Verify the domain name:
      ```sql
      show parameters db_domain;
      ```
   b. If the domain name has any characters other than A-Z (letters), 0-9 (numerals), _ (underscore), # (hash), $ (dollar),. (period), or @ (at the rate), for example, a '-' (hyphen), then change the domain name.
      ```sql
      alter system set db_domain='<domain_name_with_illegal_char_replaced_with_legal_char>' scope=spfile sid='*';
      ```
   c. Verify the service name:
      ```sql
      show parameters service_names;
      ```
**Resolving the Database Link Error That Appears While the Upgrade Process Is in Progress**

To resolve the database link issue if you see an error on the Create Link to Upgraded Repository page of the Preupgrade Console, run the following commands as SYS user:

1. **Drop the database link:**
   - If the database link is already created in the old repository, run the following command:
     ```sql
drop public database link PREUPGTO_NG_LINK;
```

2. **Update the SERVICE_NAME value with the changed or corrected service name in the following files:**
   - `$<DB_HOME>/network/admin/listener.ora`
   - `$<DB_HOME>/network/admin/tnsnames.ora`

3. **Perform these steps on the old as well as the new OMS:**
   - **Verify the connect descriptor for OMS:**
     ```bash
     $<OMS_HOME>/bin/emctl config oms -list_repos_details
     ```
   - **If the service name in the connect descriptor has any characters other than A-Z (letters), 0-9 (numerals), _ (underscore), # (hash), $ (dollar), (period), or @ (at the rate), for example, a '-' (hyphen), then change the connect descriptor.**
     ```bash
     $<OMS_HOME>/bin/emctl config oms -store_repos_details -repos_conndesc 'DESCRIPTION = (ADDRESS_LIST = (ADDRESS = (PROTOCOL = TCP)(HOST = example.com)(PORT = 1521))) (CONNECT_DATA = (SERVICE_NAME = service_name_with_illegal_char_replaced_with_legal_char)))' -repos_user sysman
     ```
   - **Restart the OMS.**
     ```bash
     $<OMS_HOME>/bin/emctl stop oms -all
     $<OMS_HOME>/bin/emctl start oms
     ```

4. **Restart the database:**
   ```sql
   SQL>shutdown immediate;
   SQL>start the database
   ```
If the database link is already created in the new repository, run the following command:

```
drop public database link PREUPG_EMREPO_LINK;
```

2. Run the following SQL commands as SYS user on the old as well as the new Management Repository:

   a. Verify the domain name:

      ```
      show parameters db_domain;
      ```

   b. If the domain name has any characters other than A-Z (letters), 0-9 (numerals), _ (underscore), # (hash), $ (dollar), (period), or @ (at the rate), for example, a ‘-’ (hyphen), then change the domain name.

      ```
      alter system set db_domain='domain_name_with_illegal_char_replaced_with_legal_char' scope=spfile sid='*';
      ```

   c. Verify the service name:

      ```
      show parameters service_names;
      ```

   d. If the service name has any characters other than A-Z (letters), 0-9 (numerals), _ (underscore), # (hash), $ (dollar), (period), or @ (at the rate), for example, a ‘-’ (hyphen), then change the service name.

      ```
      alter system set service_names='service_name_with_illegal_char_replaced_with_legal_char' scope=both sid='*';
      ```

   e. Verify the global name:

      ```
      select * from global_name;
      ```

   f. If the global name has any characters other than A-Z (letters), 0-9 (numerals), _ (underscore), # (hash), $ (dollar), (period), or @ (at the rate), for example, a ‘-’ (hyphen), then change global name.

      ```
      alter database rename GLOBAL_NAME to "global_name_with_illegal_char_replaced_with_legal_char";
      ```

3. Update the SERVICE_NAME value with the changed/corrected service name in the following files:

   ```
   $<DB_HOME>/network/admin/listener.ora
   $<DB_HOME>/network/admin/tnsnames.ora
   ```

4. Perform these steps on the old as well as the new OMS:

   a. Verify the connect descriptor for OMS:

      For 11g and 12c OMS, run the following command:

      ```
      $<OMS_HOME>/bin/emctl config oms -list_repos_details
      ```

      For 10g OMS, open the emoms.properties file.

   b. If the service name in the connect descriptor has any characters other than A-Z (letters), 0-9 (numerals), _ (underscore), # (hash), $ (dollar), (period), or @ (at the rate), for example, a ‘-’ (hyphen), then change the connect descriptor.

      For 11g and 12c OMS, run the following command:

      ```
      $<OMS_HOME>/bin/emctl config oms -store_repos_details -repos_conn desc '(DESCRIPTION = (ADDRESS_LIST = (ADDRESS = (PROTOCOL = TCP)(HOST = example.com)(PORT = 1521))) (CONNECT_DATA = (SERVICE_...'
      ```

Correcting the Database Domain Name to Avoid or Resolve Database Link Error  K-3
Resolving the Database Link Error That Appears While the Upgrade Process Is in Progress

NAME = service_name_with_illegal_char_replaced_with_legal_char)))'' -repos_user sysman

For 10g OMS, edit the connect descriptor directly in the emoms.properties file, and save the file.

c. Restart the OMS:

   $<OMS_HOME>/bin/emctl stop oms -all
   $<OMS_HOME>/bin/emctl start oms

5. Restart the database:

   SQL> shutdown immediate;
   SQL> start the database

6. Create or re-create the database link from the Create Link to Upgraded Repository page of the Preupgrade Console. For instructions, see Section 12.2.

7. Create the database link in the new Management Repository.

   a. Verify the global names parameter:

   show parameters global_names;

   If global_names is set to FALSE, then use the database link name PREUPG_EMREPO_LINK. If it is set to TRUE, then use the database link name '<value_of_"select * from global_name"_from_the_old_Management_Repository>'.

   Run the following command to use the database link:

   CREATE PUBLIC DATABASE LINK <db_link_name> CONNECT TO SYSMAN IDENTIFIED BY welcome1 using '(DESCRIPTION=(ADDRESS_LIST=(ADDRESS=(PROTOCOL=TCP)(HOST=example.com)(PORT=1521)))(CONNECT_DATA=(SID=orcl)))';

   b. Update the database link name in the new Management Repository:

   UPDATE sysman.MGMT_UPGRADEUTIL_PROPS SET property_value='<db_link_name>' WHERE property_name = 'PREUPG_EMREPO_DBLINK_NAME'

8. Verify the database link in the old as well as the new Management Repository:

   - Run the following SQL commands on the old Management Repository:

     DECLARE
     l_count number;
     BEGIN
     SELECT count(*) INTO l_count FROM mgmt_targets@PREUPGTO_NG_LINK;
     END;
     /

   - Run the following SQL commands on the new Management Repository:

     DECLARE
     l_count number;
     BEGIN
     SELECT count(*) INTO l_count FROM mgmt_targets@<db_link_name>;
     END;
Resolving the Database Link Error That Appears While the Upgrade Process Is in Progress

K-6  Oracle Enterprise Manager Cloud Control Upgrade Guide
Table L–1 lists the log files to be reviewed for various upgrade operations.

### Table L–1 Log Files to Review for Various Upgrade Operations

<table>
<thead>
<tr>
<th>Upgrade Operation</th>
<th>Console Name</th>
<th>Log Files to Review</th>
</tr>
</thead>
</table>
| Agent Health Check and Startup | Preupgrade Console\(^1\) | Review the gcagent.log file in the following location of the 12c Management Agent:  
  \(<AGENT_INSTANCE_DIR>/sysman/log/gcagent.log\)                                                                                                           |
| Agent Deployment and Configuration | Preupgrade Console | Review the log files in the following locations of the 12c Management Agent:  
  - \(<AGENT_BASE_DIR>/core/<version>/cfgtoollogs/agent Deploy/\)  
  - \(<AGENT_BASE_DIR>/core/<version>/cfgtoollogs/cfgfw /\)                                                                                           |
| Jobs (Agent Deployment and Configuration Jobs, Health Check Jobs, Switchover Jobs) | Preupgrade Console | Review the job output logs. To do so, follow these steps:
  1. Go to the Jobs page.
  2. Search for the job name.
  3. Click the job name, and then click on each step name to view the output logs for each step. |
| Plug-In Deployment (While upgrading Management Agents using the Agent Upgrade Console) | Agent Upgrade Console\(^1\) | To troubleshoot plug-in deployment issues, review the log files in the following location of the 12c Management Agent:  
  \(<AGENT_INSTANCE_DIR>/install/logs/\)                                                                                                                |
| Ping Tests | Preupgrade Console | Review the gcagent.log file in the following location of the 12c Management Agent:  
  \(<AGENT_INSTANCE_DIR>/sysman/log/gcagent.log\)                                                                                                      |

\(^1\) Preupgrade Console is essentially the Enterprise Manager 12c Upgrade Console accessed from the earlier release of Enterprise Manager.
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